

# Package ‘IFAA’

July 4, 2022

**Title** Robust Inference for Absolute Abundance in Microbiome Analysis

**Version** 1.0.7

**Description** This package offers a robust approach to make inference on the association of covariates with the absolute abundance (AA) of microbiome in an ecosystem. It can be also directly applied to relative abundance (RA) data to make inference on AA because the ratio of two RA is equal ratio of their AA. This algorithm can estimate and test the associations of interest while adjusting for potential confounders. High-dimensional covariates are handled with regularization. The estimates of this method have easy interpretation like a typical regression analysis. High-dimensional covariates are handled with regularization and it is implemented by parallel computing. False discovery rate is automatically controlled by this approach. Zeros do not need to be imputed by a positive value for the analysis. The IFAA package also offers the 'MZILN' function for estimating and testing associations of abundance ratios with covariates.

**License** GPL-2

**Encoding** UTF-8

**URL** <https://pubmed.ncbi.nlm.nih.gov/35241863/>,  
<https://pubmed.ncbi.nlm.nih.gov/30923584/>,  
<https://github.com/gitlzf/IFAA>

**LazyData** FALSE

**RoxygenNote** 7.2.0

**Depends** R (>= 4.1.0),

**Imports** qtlMatrix (>= 0.9.7), methods (>= 3.3.0), mathjaxr (>= 1.0-1),  
foreach (>= 1.4.3), Matrix (>= 1.4-0), HDCI (>= 1.0-2),  
parallel (>= 3.3.0), doParallel (>= 1.0.11), parallelly ,  
glmnet, stats, utils, SummarizedExperiment, stringr, S4Vectors,  
DescTools, MatrixExtra, speedglm

**biocViews** Software, Technology, Sequencing, Microbiome, Regression

**RdMacros** mathjaxr

**Suggests** knitr, rmarkdown

**VignetteBuilder** knitr

**NeedsCompilation** no

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R topics documented:

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dataC	<i>Sample covariates data</i>
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Description

A dataset ontains 3 covariates.

Usage

dataC

Format

A data frame with 40 rows and 3 variables:

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dataM	<i>Sample microbiome data</i>
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Description

A dataset contains 60 taxa with absolute abundances and these are gut microbiome.

Usage

dataM

Format

A data frame with 40 rows and 60 variables:

IFAA

*Robust association identification and inference for absolute abundance in microbiome analyses***Description**

Make inference on the association of microbiome with covariates

**Usage**

```
IFAA(
  experiment_dat,
  testCov = NULL,
  ctrlCov = NULL,
  sampleID = NULL,
  testMany = TRUE,
  ctrlMany = FALSE,
  nRef = 40,
  nRefMaxForEsti = 2,
  refTaxa = NULL,
  adjust_method = "BY",
  fdrRate = 0.15,
  paraJobs = NULL,
  bootB = 500,
  standardize = FALSE,
  sequentialRun = FALSE,
  refReadsThresh = 0.2,
  taxDropThresh = 0,
  SDThresh = 0.05,
  SDquantilThresh = 0,
  balanceCut = 0.2,
  verbose = TRUE,
  seed = 1
)
```

**Arguments**

<code>experiment_dat</code>	A SummarizedExperiment object containing microbiome data and covariates (see example on how to create a SummarizedExperiment object). The microbiome data can be absolute abundance or relative abundance with each column per sample and each row per taxon/OTU/ASV (or any other unit). No imputation is needed for zero-valued data points. The covariates data contains covariates and confounders with each row per sample and each column per variable. The covariates data has to be numeric or binary.
<code>testCov</code>	Covariates that are of primary interest for testing and estimating the associations. It corresponds to $X_i$ in the equation. Default is NULL which means all covariates are testCov.
<code>ctrlCov</code>	Potential confounders that will be adjusted in the model. It corresponds to $W_i$ in the equation. Default is NULL which means all covariates except those in testCov are adjusted as confounders.

sampleID	Name of the sample ID variable in the data. This is not required. Default is NULL.
testMany	This takes logical value TRUE or FALSE. If TRUE, the testCov will contain all the variables in CovData provided testCov is set to be NULL. The default value is TRUE which does not do anything if testCov is not NULL.
ctrlMany	This takes logical value TRUE or FALSE. If TRUE, all variables except testCov are considered as control covariates provided ctrlCov is set to be NULL. The default value is FALSE.
nRef	The number of randomly picked reference taxa used in phase 1. Default number is 40.
nRefMaxForEsti	The maximum number of final reference taxa used in phase 2. The default is 2.
refTaxa	A vector of taxa or OTU or ASV names. These are reference taxa specified by the user to be used in phase 1. If the number of reference taxa is less than 'nRef', the algorithm will randomly pick extra reference taxa to make up 'nRef'. The default is NULL since the algorithm will pick reference taxa randomly.
adjust_method	The adjusting method for p value adjustment. Default is "BY" for dependent FDR adjustment. It can take any adjustment method for p.adjust function in R.
fdrRate	The false discovery rate for identifying taxa/OTU/ASV associated with testCov. Default is 0.15.
paraJobs	If sequentialRun is FALSE, this specifies the number of parallel jobs that will be registered to run the algorithm. If specified as NULL, it will automatically detect the cores to decide the number of parallel jobs. Default is NULL.
bootB	Number of bootstrap samples for obtaining confidence interval of estimates in phase 2 for the high dimensional regression. The default is 500.
standardize	This takes a logical value TRUE or FALSE. If TRUE, the design matrix for X will be standardized in the analyses and the results. Default is FALSE.
sequentialRun	This takes a logical value TRUE or FALSE. Default is FALSE. This argument could be useful for debug.
refReadsThresh	The threshold of proportion of non-zero sequencing reads for choosing the reference taxon in phase 2. The default is 0.2 which means at least 20% non-zero sequencing reads.
taxDropThresh	The threshold of number of non-zero sequencing reads for each taxon to be dropped from the analysis. The default is 0 which means taxon without any sequencing reads will be dropped from the analysis.
SDThresh	The threshold of standard deviations of sequencing reads for been chosen as the reference taxon in phase 2. The default is 0.05 which means the standard deviation of sequencing reads should be at least 0.05 in order to be chosen as reference taxon.
SDquantilThresh	The threshold of the quantile of standard deviation of sequencing reads, above which could be selected as reference taxon. The default is 0.
balanceCut	The threshold of the proportion of non-zero sequencing reads in each group of a binary variable for choosing the final reference taxa in phase 2. The default number is 0.2 which means at least 20% non-zero sequencing reads in each group are needed to be eligible for being chosen as a final reference taxon.
verbose	Whether the process message is printed out to the console. The default is TRUE.
seed	Random seed for reproducibility. Default is 1. It can be set to be NULL to remove seeding.

## Details

Most of the time, users just need to feed the first three inputs to the function: `experiment_dat`, `testCov` and `ctrlCov`. All other inputs can just take their default values. To model the association, the following equation is used:

$$\log(\mathcal{Y}_i^k) | \mathcal{Y}_i^k > 0 = \beta^{0k} + X_i^T \beta^k + W_i^T \gamma^k + Z_i^T b_i + \epsilon_i^k, \quad k = 1, \dots, K + 1$$

where

- $\mathcal{Y}_i^k$  is the AA of taxa  $k$  in subject  $i$  in the entire ecosystem.
- $X_i$  is the covariate matrix.
- $W_i$  is the confounder matrix.
- $Z_i$  is the design matrix for random effects.
- $\beta^k$  is the regression coefficients that will be estimated and tested with the `IFAA()` function.

The challenge in microbiome analysis is that  $\mathcal{Y}_i^k$  can not be observed. What is observed is its small proportion:  $Y_i^k = C_i \mathcal{Y}_i^k$ , where  $C_i$  is an unknown number between 0 and 1 that denote the observed proportion.

The IFAA method can successfully address this challenge. The `IFAA()` will estimate the parameter  $\beta^k$  and their 95% confidence intervals. High-dimensional  $X_i$  is handled by regularization.

## Value

A list containing 2 elements

- `full_results`: The main results for IFAA containing the estimation and testing results for all associations between all taxa and all test covariates in `testCov`. It is a dataframe with each row representing an association, and eight columns named as "taxon", "cov", "estimate", "SE.est", "CI.low", "CI.up", "adj.p.value", and "sig\_ind". The columns correspond to taxon name, covariate name, association estimates, standard error estimates, lower bound and upper bound of the 95% confidence interval, adjusted p value, and the indicator showing whether the association is significant after multiple testing adjustment.
- `metadata`: The metadata is a list.
  - `covariatesData`: A dataset containing covariates and confounders used in the analyses.
  - `final_ref_taxon`: The final 2 reference taxon used for analysis.
  - `ref_taxon_count`: The counts of selection for the associations of all taxa with test covariates in Phase 1.
  - `totalTimeMins`: The average magnitude estimates for the associations of all taxa with test covariates in Phase 1.
  - `ref_taxon_est`: Total time used for the entire analysis.
  - `seed`: The seed used for the analysis for reproducibility.
  - `fdrRate`: FDR rate used for the analysis.
  - `adjust_method`: Multiple testing adjust method used for the analysis.

## References

- Li et al.(2021) IFAA: Robust association identification and Inference For Absolute Abundance in microbiome analyses. *Journal of the American Statistical Association*
- Zhang CH (2010) Nearly unbiased variable selection under minimax concave penalty. *Annals of Statistics*. 38(2):894-942.
- Liu et al.(2020) A bootstrap lasso + partial ridge method to construct confidence intervals for parameters in high-dimensional sparse linear models. *Statistica Sinica*

## Examples

```
library(IFAA)
library(SummarizedExperiment)

## load the example microbiome data. This could be relative abundance or absolute
## abundance data. If you have a csv or tsv file for the microbiome data, you
## can use read.csv() function or read.table() function in R to read the
## data file into R.
data(dataM)
dim(dataM)
dataM[1:5, 1:8]

## load the example covariates data. If you have a csv or tsv file for the
## covariates data, you can use read.csv() function or read.table() function
## in R to read the data file into R.
data(dataC)
dim(dataC)
dataC[1:5, ]

## Merge microbiome data and covariate data by id, to avoid unmatched observations.
data_merged<-merge(dataM,dataC,by="id",all=FALSE)

## Separate microbiome data and covariate data, drop id variable from microbiome data
dataM_sub<-data_merged[,colnames(dataM)[!colnames(dataM)%in%c("id")]]
dataC_sub<-data_merged[,colnames(dataC)]

## Create SummarizedExperiment object for inputs
test_dat<-SummarizedExperiment(assays=list(counts=t(dataM_sub)), colData=dataC_sub)

## run IFAA function
results <- IFAA(experiment_dat = test_dat,
               testCov = c("v1", "v2"),
               ctrlCov = c("v3"),
               sampleID = c("id"),
               fdrRate = 0.05)

## to extract all results:
summary_res<-results$full_results
## to extract significant results:
sig_results=subset(summary_res,sig_ind==TRUE)
```

## Description

For estimating and testing the associations of abundance ratios with covariates.

**Usage**

```
MZILN(
  experiment_dat,
  refTaxa,
  allCov = NULL,
  sampleID = NULL,
  adjust_method = "BY",
  fdrRate = 0.15,
  paraJobs = NULL,
  bootB = 500,
  taxDropThresh = 0,
  standardize = FALSE,
  sequentialRun = TRUE,
  verbose = TRUE,
  seed = 1
)
```

**Arguments**

experiment_dat	A SummarizedExperiment object containing microbiome data and covarites (see example on how to create a SummarizedExperiment object). The microbiome data can be absolute abundance or relative abundance with each column per sample and each row per taxon/OTU/ASV (or any other unit). No imputation is needed for zero-valued data points. The covarites data contains covariates and confounders with each row per sample and each column per variable. The covarites data has to be numeric or binary.
refTaxa	Denominator taxa names specified by the user for the targeted ratios. This could be a vector of names.
allCov	All covariates of interest (including confounders) for estimating and testing their associations with the targeted ratios. Default is 'NULL' meaning that all covariates in covData are of interest.
sampleID	Name of the ID variable. Could be set to NULL.
adjust_method	The adjusting method for p value adjustment. Default is "BY" for dependent FDR adjustment. It can take any adjustment method for p.adjust function in R.
fdrRate	The false discovery rate for identifying taxa/OTU/ASV associated with allCov. Default is 0.15.
paraJobs	If sequentialRun is FALSE, this specifies the number of parallel jobs that will be registered to run the algorithm. If specified as NULL, it will automatically detect the cores to decide the number of parallel jobs. Default is NULL.
bootB	Number of bootstrap samples for obtaining confidence interval of estimates for the high dimensional regression. The default is 500.
taxDropThresh	The threshold of number of non-zero sequencing reads for each taxon to be dropped from the analysis. The default is 0 which means taxon without any sequencing reads will be dropped from the analysis.
standardize	This takes a logical value TRUE or FALSE. If TRUE, the design matrix for X will be standardized in the analyses and the results. Default is FALSE.
sequentialRun	This takes a logical value TRUE or FALSE. Default is TRUE. It can be set to be "FALSE" to increase speed if there are multiple taxa in the argument 'refTaxa'.
verbose	Whether the process message is printed out to the console. The default is TRUE.

**seed** Random seed for reproducibility. Default is 1. It can be set to be NULL to remove seeding.

### Details

Most of the time, users just need to feed the first three inputs to the function: `experiment_dat`, `refTaxa` and `allCov`. All other inputs can just take their default values. The regression model for `MZILN()` can be expressed as follows:

$$\log\left(\frac{\mathcal{Y}_i^k}{\mathcal{Y}_i^{K+1}}\right) | \mathcal{Y}_i^k > 0, \mathcal{Y}_i^{K+1} > 0 = \alpha^{0k} + \mathcal{X}_i^T \alpha^k + \epsilon_i^k, \quad k = 1, \dots, K$$

where

- $\mathcal{Y}_i^k$  is the AA of taxa  $k$  in subject  $i$  in the entire ecosystem.
- $\mathcal{Y}_i^{K+1}$  is the reference taxon (specified by user).
- $\mathcal{X}_i$  is the covariate matrix for all covariates including confounders.
- $\alpha^k$  is the regression coefficients along with their 95% confidence intervals that will be estimated by the `MZILN()` function.

High-dimensional  $X_i$  is handled by regularization.

### Value

A list with two elements.

- `full_results`: The main results for `MZILN` containing the estimation and testing results for all associations between all taxa ratios with `refTaxa` being the denominator and all covariates in `allCov`. It is a dataframe with each row representing an association, and ten columns named as "ref\_tax", "taxon", "cov", "estimate", "SE.est", "CI.low", "CI.up", "adj.p.value", "unadj.p.value", and "sig\_ind". The columns correspond to the denominator taxon, numerator taxon, covariate name, association estimates, standard error estimates, lower bound and upper bound of the 95% confidence interval, adjusted p value, and the indicator showing whether the association is significant after multiple testing adjustment.
- `metadata`: The metadata is a list containing total time used in minutes, random seed used, FDR rate, and multiple testing adjustment method used.

### References

Li et al.(2018) Conditional Regression Based on a Multivariate Zero-Inflated Logistic-Normal Model for Microbiome Relative Abundance Data. *Statistics in Biosciences* 10(3): 587-608

Zhang CH (2010) Nearly unbiased variable selection under minimax concave penalty. *Annals of Statistics*. 38(2):894-942.

Liu et al.(2020) A bootstrap lasso + partial ridge method to construct confidence intervals for parameters in high-dimensional sparse linear models. *Statistica Sinica*

### Examples

```
library(IFAA)
library(SummarizedExperiment)

## load the example microbiome data. This could be relative abundance or absolute
## abundance data. If you have a csv or tsv file for the microbiome data, you
```



```
## can use read.csv() function or read.table() function in R to read the
## data file into R.
data(dataM)
dim(dataM)
dataM[1:5, 1:8]

## load the example covariates data. If you have a csv or tsv file for the
## covariates data, you can use read.csv() function or read.table() function
## in R to read the data file into R.
data(dataC)
dim(dataC)
dataC[1:5, ]

## Merge microbiome data and covariate data by id, to avoid unmatched observations.
data_merged<-merge(dataM,dataC,by="id",all=FALSE)

## Separate microbiome data and covariate data, drop id variable from the microbiome data
dataM_sub<-data_merged[,colnames(dataM)[!colnames(dataM)%in%c("id")]]
dataC_sub<-data_merged[,colnames(dataC)]

## Create SummarizedExperiment object for inputs
test_dat<-SummarizedExperiment(assays=list(counts=t(dataM_sub)), colData=dataC_sub)

### Run MZILN function
results <- MZILN(experiment_dat = test_dat,
                 refTaxa=c("rawCount11"),
                 allCov=c("v1", "v2", "v3"),
                 sampleID=c("id"),
                 fdrRate=0.05)

## to extract the results for all ratios with rawCount11 as the denominator:
summary_res<-results$full_results
## to extract results for the ratio of a specific taxon (e.g., rawCount45) over rawCount11:
target_ratio=summary_res[summary_res$taxon=="rawCount45",]
## to extract all of the ratios having significant associations:
sig_ratios=subset(summary_res,sig_ind==TRUE)
```

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