# BreakingTheCycle: An Exact Solver for Calculating the Minimum Directed Feedback Vertex Set in the 2022 PACE Challenge

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#### — Abstract

The goal of the directed feedback vertex set problem is to, given a directed graph, find the smallest subset of nodes to be removed to render the graph acyclic. In this report, we give a short description of our exact solver found in *BreakingTheCycle*.

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Supplementary Material The project's source code can be found on GitHub https://github.com/goethe-tcs/breaking-the-cycle and Zenodo https://doi.org/10.5281/zenodo.6602946.

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# 1 Introduction

Our Breaking The Cycle DFVS solver, follows a traditional algorithm design. We start by exhaustively applying a set of data reduction rules (see Section 2). Since several of these kernelization rules have a super-linear time complexity, we regularly attempt to decompose the input instance into strongly connected components (SCCs) which we then process independently. The remaining kernels are solved using a branch and bound algorithm incorporating several branching strategies (see Section 3).

### 1.1 Preliminaries

Let G = (V, E) be a directed graph. Denote the open in- and out-neighborhoods of node  $u \in V$  as  $N_{\text{in}}(u) = \{v \mid (v, u) \in E\}$  and  $N_{\text{out}}(u) = \{v \mid (u, v) \in E\}$ , respectively, and let  $N_{\text{und}}(u) = N_{\text{in}}(u) \cap N_{\text{out}}(u)$ . Denote the closed neighborhoods as  $N_{\text{in}}[u] = N_{\text{in}}(u) \cup \{u\}$ ,

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 $N_{\text{out}}[u] = N_{\text{out}}(u) \cup \{u\}$ , and  $N_{\text{und}}[u] = N_{\text{und}}(u) \cup \{u\}$ . Let  $G \setminus u = G(V \setminus \{u\}, \{(x,y) | (x,y) \in E : x \neq u \land y \neq u\})$  be the graph after deleting node u and  $G \times u = G(V \setminus \{u\}, \{(x,y) | (x,y) \in E : x \neq u \land y \neq u\} \cup N_{\text{in}}(u) \times N_{\text{out}}(u))$  be the graph after contracting node u (valid only if  $(u,u) \notin E$ ). The directed feedback vertex set problem (DFVS) asks for a minimum set of nodes  $V' \subseteq V$ , such that the graph resulting from removing all nodes of V' from G is acyclic.

# 2 Reduction Rules

The following data reduction rules are used in the exact solver we submitted. Observe that DFVS on undirected instances degenerates into the well studied Vertex Cover problem. Thus, we can adopt rules for the Vertex Cover and Independent Set problems for DFVS.

# 2.1 Existing Kernelization Rules

We use the following kernelization rules previously described in literature:

- Rules 1 to 6 due to Fleischer et al. [4]
- PIE Rule due to Lin and Jou [8]
- Dominance Rule due to Fomin et al. [5] and Akiba and Iwata [2]
- Unconfined vertices due to Kneis et al. [7]
- Crown Rule, which is inspired by Abu-Khzam et al. [1]
- Vertex folding due to Chen et al. [3]
- Twin Rule due to Xiao and Nagamochi [9] as described by Hespe et al. [6]
- Desk Rule and Funnel Rule due to Hespe et al. [6]

## 2.2 Novel Kernelization Rules

Our solver also includes the following novel rules:

## DiClique Rule

For every node  $u \in G$ , the DiClique Rule checks whether  $N_{\text{und}}[u]$  is a clique. If additionally u is not part of a cycle in  $G \setminus N_{\text{und}}(u)$ , we add  $N_{\text{und}}(u)$  to the DFVS and remove  $N_{\text{und}}[u]$  from G. This rule is a variation of the CORE Rule from Lin and Jou [8].

### **DOMN Rule**

If the contraction  $G \times v$  of a node  $v \in G$  does not add any new edges to the graph G, we remove node v from G.

## Redundant Cycles Rule

This rule searches for small cycles of length two (undirected edges), three, and four. Let  $C \subseteq V$  be such a cycle. If there exists an edge outside of C that is not part of any cycle every time any of C's nodes is deleted, we can delete that edge safely since we know that we have to delete at least one of C's nodes eventually.

### C4 rule

We search four pairwise disjoint nodes u, v, w, x such that there are undirected edges  $\{u, v\}$ ,  $\{u, w\}$ ,  $\{v, x\}$ , and  $\{w, x\}$ . In such a structure, we have to delete at least  $A = \{u, x\}$  or  $B = \{v, w\}$ . If -wlog- the nodes A are not in any cycle in  $G \setminus B$ , we add B to the DFVS and remove  $A \cup B$ .

## 3 Branch and Bound

We employ a branch-and-bound algorithm which maintains lower- and upper-bounds on the solution size to prune the search tree if both bounds agree (returning a minimal DFVS) or if the lower-bound exceeds the upper-bound (signalling an infeasible branch). All branching strategies are build around two base operations: We either add a node into the DFVS and recurse on  $G \setminus u$  (delete branch) or exclude u from the DFVS and recurse on  $G \times u$ . We typically begin with the delete branch since a minimal DFVS of size k' for  $G \setminus u$  also implies the lower-bound k' for  $G \times u$ . If some branch returned a feasible solution, we artificially decrease the upper bound for subsequent branches on that parent such that they either yield a strictly smaller solution or can be easier pruned.

In each step of the branch-and-bound algorithm, we first apply kernelization rules. If the remaining graph is not strongly connected, we process the SCCs independently. We consider the SCCs in increasing size as solution size bounds inherited from the parent can only be forwarded to the last SCCs.

Next, we attempt to forcefully split the instance into smaller subproblems by finding small vertex cuts to branch on. Here, a node u with  $N_{\rm in}(u) = N_{\rm und}(u)$  or  $N_{\rm out}(u) = N_{\rm und}(u)$  is especially valuable since we either need to remove u or its neighbors  $N_{\rm und}(u)$  from G which both cuts the graph.

In the next two steps we use by-products of the lower-bound heuristic:

If a maximal clique  $C \subseteq V$  with |C| > 2 was found, we branch on it. Observe that for any DFVS D we have  $|D \cap C| \ge |C| - 1$  leading to |C| + 1 branches. In the first branch, we delete all nodes C to obtain an almost tight lower bound. The remaining |C| branches (one for each node in C to be spared) can only improve the total solution size by one, i.e. if one returns a solution, we can prune the remaining branches.

Otherwise, the lower-bound heuristic may return a shortest cycle on which we can branch (using the same machinery we use to branch on cut-vertices).

If all other attempts failed, we branch on a single vertex with high degree.

# 3.1 Lower Bound

Working on a copy of the graph, we execute the following rules exhaustively in that order:

- Each node with a self-loop is removed, increasing the lower bound by 1
- $\blacksquare$  Cliques of size k > 2 are removed, increasing the lower bound by k-1
- Undirected paths of length  $k \ge 4$  are removed, increasing the lower bound by k/2
- For k = 2, ..., 10 apply exhaustively: iteratively search and remove a cycle of length k, increasing the lower bound by 1

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