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1  |----- MODULE TxCure -----|
   |
   | Transactional Cure Protocol without Strong Transactions.
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   | TODO:
   |
   | - Values are irrelevant.
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9  | EXTENDS Naturals, FiniteSets, TLC, SequenceUtils, RelationUtils, MathUtils
10 |-----|
11 | CONSTANTS
12 |   Key,           the set of keys, ranged over by  $k \in Key$ 
13 |   Value,         the set of values, ranged over by  $v \in Value$ 
14 |   Client,        the set of clients, ranged over by  $c \in Client$ 
15 |   Partition,     the set of partitions, ranged over by  $p \in Partition$ 
16 |   Datacenter,    the set of datacenters, ranged over by  $d \in Datacenter$ 
17 |   KeySharding,    the mapping from Key to Partition
18 |   ClientAttachment the mapping from Client to Datacenter
   |
20 |  $NotVal \triangleq \text{CHOOSE } v : v \notin Value$ 
   |
22 | ASSUME
23 |    $\wedge KeySharding \in [Key \rightarrow Partition]$ 
24 |    $\wedge ClientAttachment \in [Client \rightarrow Datacenter]$ 
25 |-----|
26 | VARIABLES
27 |   At the client side:
28 |     cvc,         cvc[c]: vector clock of client  $c \in Client$ 
29 |     tid,         tid[c]: transaction identifier of the current ongoing transaction of client  $c \in Client$ 
30 |     coord,       coord[c]: coordinator (partition) of the current ongoing transaction of client  $c \in Client$ 
31 |   At the server side (each for partition  $p \in Partition$  in  $d \in Datacenter$ ):
32 |     opLog,       opLog[p][d]: log
33 |     clock,       clock[p][d]: current clock
34 |     knownVC,     knownVC[p][d]: vector clock
35 |     stableVC,    stableVC[p][d]: stable snapshot
36 |     uniformVC,   uniformVC[p][d]: uniform snapshot
37 |     snapshotVC,  snapshotVC[p][d][t]: snapshot vector clock of transaction t
38 |   history:
39 |     L,           L[c]: local history at client  $c \in Client$ 
40 |   communication:
41 |     msgs,        the set of messages in transit
42 |     incoming    incoming[p][d]: incoming FIFO channel for propagating updates and heartbeats
   |
44 |  $cVars \triangleq \langle cvc, tid, coord \rangle$ 
45 |  $sVars \triangleq \langle opLog, clock, knownVC, stableVC, uniformVC, snapshotVC \rangle$ 
46 |  $mVars \triangleq \langle msgs, incoming \rangle$ 
47 |  $hVars \triangleq \langle L \rangle$ 
48 |  $vars \triangleq \langle cVars, sVars, mVars, hVars \rangle$ 
49 |-----|

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50  $Tid \triangleq [seq : Nat, p : Partition, d : Datacenter]$  transaction identifier  
 52  $VC \triangleq [Datacenter \rightarrow Nat]$  vector clock with an entry per datacenter  $d \in Datacenter$   
 53  $VCInit \triangleq [d \in Datacenter \mapsto 0]$   
 54  $Merge(vc1, vc2) \triangleq [d \in Datacenter \mapsto Max(vc1[d], vc2[d])]$   
 56  $DC \triangleq Cardinality(Datacenter)$   
 57  $DCIndex \triangleq \text{CHOOSE } f \in [1 .. DC \rightarrow Datacenter] : \text{Injective}(f)$   
 58  $LTE(vc1, vc2) \triangleq$  less-than-or-equal-to comparator for vector clocks  
 59     LET RECURSIVE  $LTEHelper(-, -, -)$   
 60          $LTEHelper(vc1h, vc2h, index) \triangleq$   
 61             IF  $index > DC$  THEN TRUE  $EQ$   
 62             ELSE LET  $d \triangleq DCIndex[index]$   
 63                 IN CASE  $vc1h[d] < vc2h[d] \rightarrow$  TRUE  $LT$   
 64                      $\square$   $vc1h[d] > vc2h[d] \rightarrow$  FALSE  $GT$   
 65                      $\square$  OTHER  $\rightarrow LTEHelper(vc1h, vc2h, index + 1)$   
 66     IN  $LTEHelper(vc1, vc2, 1)$   
 68  $KVTuple \triangleq [key : Key, val : Value \cup \{NotVal\}, vc : VC]$   
 69  $OpTuple \triangleq [type : \{“R”, “W”\}, kv : KVTuple, c : Client, cnt : Nat]$   
 71  $Message \triangleq$   
 72      $[type : \{“StartRequest”\}, vc : VC, c : Client, p : Partition, d : Datacenter]$   
 73      $\cup [type : \{“StartReply”\}, tid : Tid, vc : VC, c : Client]$   
 74      $\cup [type : \{“ReadRequest”\}, tid : Tid, key : Key, c : Client, p : Partition, d : Datacenter]$   
 75      $\cup [type : \{“ReadReply”\}, val : Value \cup \{NotVal\}, c : Client]$   $val$  is irrelevant  
 76      $\cup [type : \{“UpdateRequest”\}, tid : Tid, key : Key, val : Value, c : Client, p : Partition, d : Datacenter]$   
 77      $\cup [type : \{“UpdateReply”\}, c : Client]$   
 78      $\cup [type : \{“CommitRequest”\}, tid : Tid, c : Client, p : Partition, d : Datacenter]$   $val$  is irrelevant  
 79      $\cup [type : \{“CommitReply”\}, vc : VC, c : Client]$   
 80      $\cup [type : \{“Replicate”\}, d : Datacenter, kv : KVTuple]$   
 81      $\cup [type : \{“Heartbeat”\}, d : Datacenter, ts : Nat]$   
 83  $Send(m) \triangleq msgs' = msgs \cup \{m\}$   
 84  $SendAndDelete(sm, dm) \triangleq msgs' = (msgs \cup \{sm\}) \setminus \{dm\}$   
 86  $TypeOK \triangleq$   
 87      $\wedge cvc \in [Client \rightarrow VC]$   
 88      $\wedge clock \in [Partition \rightarrow [Datacenter \rightarrow Nat]]$   
 89      $\wedge knownVC \in [Partition \rightarrow [Datacenter \rightarrow VC]]$   
 90      $\wedge stableVC \in [Partition \rightarrow [Datacenter \rightarrow VC]]$   
 91      $\wedge opLog \in [Partition \rightarrow [Datacenter \rightarrow \text{SUBSET } KVTuple]]$   
 92      $\wedge msgs \subseteq Message$   
 93      $\wedge incoming \in [Partition \rightarrow [Datacenter \rightarrow Seq(Message)]]$   
 94      $\wedge L \in [Client \rightarrow Seq(OpTuple)]$   
 95  $\vdash$   
 96  $Init \triangleq$

97  $\wedge cvc = [c \in Client \mapsto VCInit]$   
 98  $\wedge clock = [p \in Partition \mapsto [d \in Datacenter \mapsto 0]]$   
 99  $\wedge knownVC = [p \in Partition \mapsto [d \in Datacenter \mapsto VCInit]]$   
 100  $\wedge stableVC = [p \in Partition \mapsto [d \in Datacenter \mapsto VCInit]]$   
 101  $\wedge opLog = [p \in Partition \mapsto [d \in Datacenter \mapsto$   
 102  $\quad [key : \{k \in Key : KeySharding[k] = p\}, val : \{NotVal\}, vc : \{VCInit\}]]]$   
 103  $\wedge msgs = \{\}$   
 104  $\wedge incoming = [p \in Partition \mapsto [d \in Datacenter \mapsto \langle \rangle]]$   
 105  $\wedge L = [c \in Client \mapsto \langle \rangle]$   
 106  $\vdash$   
 107 **Client operations at client  $c \in Client$ .**  
 109  $CanIssue(c) \triangleq \forall m \in msgs :$  **to ensure well-formedness of clients**  
 110  $m.type \in \{\text{"StartRequest"}, \text{"StartReply"},$   
 111  $\quad \text{"ReadRequest"}, \text{"ReadReply"},$   
 112  $\quad \text{"UpdateRequest"}, \text{"UpdateReply"}$   
 113  $\quad \text{"CommitRequest"}, \text{"CommitReply"}\} \Rightarrow m.c \neq c$   
 115  $Start(c) \triangleq$   **$c \in Client$  starts a transaction**  
 116  $\wedge CanIssue(c)$   
 117  $\wedge \exists p \in Partition :$   
 118  $\quad \wedge coord' = [coord \text{ EXCEPT } ![c] = p]$   
 119  $\quad \wedge Send([type \mapsto \text{"StartRequest"}, vc \mapsto cvc[c],$   
 120  $\quad \quad c \mapsto c, p \mapsto p, d \mapsto ClientAttachment[c]])$   
 121  $\quad \wedge \text{UNCHANGED } \langle cvc, tid, sVars, incoming, hVars \rangle$   
 123  $StartReply(c) \triangleq$   **$c \in Client$  handles the reply to its start request**  
 124  $\wedge \exists m \in msgs :$   
 125  $\quad \wedge m.type = \text{"StartReply"} \wedge m.c = c$  **such  $m$  is unique due to well-formedness**  
 126  $\quad \wedge cvc' = [cvc \text{ EXCEPT } ![c] = m.snapshotVC]$   
 127  $\quad \wedge tid' = [tid \text{ EXCEPT } ![c] = m.tid]$   
 128  $\quad \wedge msgs' = msgs \setminus \{m\}$   
 129  $\quad \wedge \text{UNCHANGED } \langle coord, sVars, incoming, hVars \rangle$   
 131  $Read(c, k) \triangleq$   **$c \in Client$  reads from  $k \in Key$**   
 132  $\wedge CanIssue(c)$   
 133  $\wedge Send([type \mapsto \text{"ReadRequest"}, tid \mapsto tid[c], key \mapsto k,$   
 134  $\quad \quad c \mapsto c, p \mapsto coord[c], d \mapsto ClientAttachment[c]])$   
 135  $\quad \wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$   
 137  $ReadReply(c) \triangleq$   **$c \in Client$  handles the reply to its read request**  
 138  $\wedge \exists m \in msgs :$   
 139  $\quad \wedge m.type = \text{"ReadReply"} \wedge m.c = c$  **such  $m$  is unique due to well-formedness**  
 140  $\quad \wedge msgs' = msgs \setminus \{m\}$   
 141  $\quad \wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$   
 143  $Update(c, k, v) \triangleq$   **$c \in Client$  updates  $k \in Key$  with  $v \in Value$**



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190       $\wedge \text{SendAndDelete}([type \mapsto \text{"UpdateReply"}, ts \mapsto \text{clock}[p][d], c \mapsto m.c, d \mapsto d], m)$ 
191       $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p] = [dc \in \text{Datacenter} \mapsto$ 
192          IF  $dc = d$  THEN  $@[dc]$  ELSE  $\text{Append}(@[dc], [type \mapsto \text{"Replicate"}, d \mapsto d, kv \mapsto kv])]$ 
193       $\wedge L' = [L \text{ EXCEPT } ![m.c] = \text{Append}(@, [type \mapsto \text{"W"}, kv \mapsto kv, c \mapsto m.c, cnt \mapsto \text{Len}(@) + 1])]$ 
194       $\wedge \text{UNCHANGED } \langle cVars, clock, knownVC \rangle$ 

196 Replicate( $p, d$ )  $\triangleq$  handle a "Replicate"
197      $\wedge \text{incoming}[p][d] \neq \langle \rangle$ 
198      $\wedge \text{LET } m \triangleq \text{Head}(\text{incoming}[p][d])$ 
199     IN  $\wedge m.type = \text{"Replicate"}$ 
200          $\wedge opLog' = [opLog \text{ EXCEPT } ![p][d] = @ \cup \{m.kv\}]$ 
201          $\wedge knownVC' = [knownVC \text{ EXCEPT } ![p][d][m.d] = m.kv.vc[m.d]]$ 
202          $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p][d] = \text{Tail}(@)]$ 
203      $\wedge \text{UNCHANGED } \langle cVars, cvc, clock, stableVC, L, msgs \rangle$ 

205 Heartbeat( $p, d$ )  $\triangleq$  handle a "Heartbeat"
206      $\wedge \text{incoming}[p][d] \neq \langle \rangle$ 
207      $\wedge \text{LET } m \triangleq \text{Head}(\text{incoming}[p][d])$ 
208     IN  $\wedge m.type = \text{"Heartbeat"}$ 
209          $\wedge knownVC' = [knownVC \text{ EXCEPT } ![p][d][m.d] = m.ts]$ 
210          $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p][d] = \text{Tail}(@)]$ 
211      $\wedge \text{UNCHANGED } \langle cVars, cvc, clock, stableVC, opLog, L, msgs \rangle$ 
212 |-----|
213 Clock management at partition  $p \in \text{Partition}$  in datacenter  $d \in \text{Datacenter}$ 
214 Tick( $p, d$ )  $\triangleq$   $\text{clock}[p][d]$  ticks
215      $\wedge \text{clock}' = [\text{clock} \text{ EXCEPT } ![p][d] = @ + 1]$ 
216      $\wedge knownVC' = [knownVC \text{ EXCEPT } ![p][d][d] = \text{clock}'[p][d]]$ 
217      $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p] = [dc \in \text{Datacenter} \mapsto$ 
218         IF  $dc = d$  THEN  $@[dc]$  ELSE  $\text{Append}(@[dc], [type \mapsto \text{"Heartbeat"}, d \mapsto d, ts \mapsto knownVC'[p][d][d]])]$ 
219      $\wedge \text{UNCHANGED } \langle cVars, cvc, stableVC, opLog, L, msgs \rangle$ 

221 UpdateCSS( $p, d$ )  $\triangleq$  update  $\text{stableVC}[p][d]$ 
222      $\wedge \text{stableVC}' = [\text{stableVC} \text{ EXCEPT } ![p][d] =$ 
223          $[dc \in \text{Datacenter} \mapsto \text{SetMin}(\{knownVC[pp][d][dc] : pp \in \text{Partition}\})]$ 
224      $\wedge \text{UNCHANGED } \langle cVars, mVars, clock, knownVC, opLog, L \rangle$ 
225 |-----|
226 Next  $\triangleq$ 
227      $\vee \exists c \in \text{Client}, k \in \text{Key} : \text{Read}(c, k)$ 
228      $\vee \exists c \in \text{Client}, k \in \text{Key}, v \in \text{Value} : \text{Update}(c, k, v)$ 
229      $\vee \exists c \in \text{Client} : \text{ReadReply}(c) \vee \text{UpdateReply}(c)$ 
230      $\vee \exists p \in \text{Partition}, d \in \text{Datacenter} :$ 
231          $\vee \text{ReadRequest}(p, d)$ 
232          $\vee \text{UpdateRequest}(p, d)$ 
233          $\vee \text{Replicate}(p, d)$ 
234          $\vee \text{Heartbeat}(p, d)$ 
235          $\vee \text{Tick}(p, d)$ 

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236  $\vee \text{UpdateCSS}(p, d)$

238  $\text{Spec} \stackrel{\Delta}{=} \text{Init} \wedge \square[\text{Next}]_{\text{vars}}$

239

\\* Modification History

\\* Last modified *Fri Nov 20 21:27:11 CST 2020* by *hengxin*

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