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1  ┌────────────────── MODULE TxCure ───────────────────┐
    Transactional Cure Protocol without Strong Transactions.
    TODO:
    - Values are irrelevant.
9  EXTENDS Naturals, FiniteSets, TLC, SequenceUtils, RelationUtils, MathUtils
10 ───────────────────┐
11 CONSTANTS
12   Key,           the set of keys, ranged over by  $k \in Key$ 
13   Value,         the set of values, ranged over by  $v \in Value$ 
14   Client,        the set of clients, ranged over by  $c \in Client$ 
15   Partition,     the set of partitions, ranged over by  $p \in Partition$ 
16   Datacenter,    the set of datacenters, ranged over by  $d \in Datacenter$ 
17   KeySharding,   the mapping from Key to Partition
18   ClientAttachment the mapping from Client to Datacenter
20 NotVal  $\triangleq$  CHOOSE  $v : v \notin Value$ 
22 ASSUME
23    $\wedge KeySharding \in [Key \rightarrow Partition]$ 
24    $\wedge ClientAttachment \in [Client \rightarrow Datacenter]$ 
25 ───────────────────┐
26 VARIABLES
27   At the client side:
28   cvc,           cvc[ $c$ ]: vector clock of client  $c \in Client$ 
29   tid,           tid[ $c$ ]: transaction identifier of the current ongoing transaction of client  $c \in Client$ 
30   coord,         coord[ $c$ ]: coordinator (partition) of the current ongoing transaction of client  $c \in Client$ 
31   At the server side (each for partition  $p \in Partition$  in  $d \in Datacenter$ ):
32   rs,            rs[ $p$ ][ $d$ ][tid][ $i$ ]: read set of transaction tid, indexed by partition  $i \in Partition$ 
33   ws,            ws[ $p$ ][ $d$ ][tid][ $i$ ]: write set of transaction tid, indexed by partition  $i \in Partition$ 
34   seq,           seq[ $p$ ][ $d$ ]: seq number
35   opLog,         opLog[ $p$ ][ $d$ ]: log
36   clock,         clock[ $p$ ][ $d$ ]: current clock
37   knownVC,       knownVC[ $p$ ][ $d$ ]: vector clock
38   stableVC,      stableVC[ $p$ ][ $d$ ]: stable snapshot
39   uniformVC,     uniformVC[ $p$ ][ $d$ ]: uniform snapshot
40   snapshotVC,    snapshotVC[ $p$ ][ $d$ ][ $t$ ]: snapshot vector clock of transaction  $t$ 
41   history:
42   L,             L[ $c$ ]: local history at client  $c \in Client$ 
43   communication:
44   msgs,          the set of messages in transit
45   incoming      incoming[ $p$ ][ $d$ ]: incoming FIFO channel for propagating updates and heartbeats
47   cVars  $\triangleq$   $\langle cvc, tid, coord \rangle$ 
48   sVars  $\triangleq$   $\langle opLog, clock, knownVC, stableVC, uniformVC, snapshotVC \rangle$ 
49   mVars  $\triangleq$   $\langle msgs, incoming \rangle$ 

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50  $hVars \triangleq \langle L \rangle$ 
51  $vars \triangleq \langle cVars, sVars, mVars, hVars \rangle$ 
52 |-----|
53  $Tid \triangleq [seq : Nat, p : Partition, d : Datacenter]$  transaction identifier
54
55  $VC \triangleq [Datacenter \rightarrow Nat]$  vector clock with an entry per datacenter  $d \in Datacenter$ 
56  $VCInit \triangleq [d \in Datacenter \mapsto 0]$ 
57  $Merge(vc1, vc2) \triangleq [d \in Datacenter \mapsto Max(vc1[d], vc2[d])]$  TODO: except  $d$ ?
58
59  $DC \triangleq Cardinality(Datacenter)$ 
60  $DCIndex \triangleq \text{CHOOSE } f \in [1 .. DC \rightarrow Datacenter] : Injective(f)$ 
61  $LTE(vc1, vc2) \triangleq$  less-than-or-equal-to comparator for vector clocks
62   LET RECURSIVE  $LTEHelper(-, -, -)$ 
63      $LTEHelper(vc1h, vc2h, index) \triangleq$ 
64       IF  $index > DC$  THEN TRUE EQ
65       ELSE LET  $d \triangleq DCIndex[index]$ 
66         IN CASE  $vc1h[d] < vc2h[d] \rightarrow$  TRUE LT
67           □  $vc1h[d] > vc2h[d] \rightarrow$  FALSE GT
68           □ OTHER  $\rightarrow LTEHelper(vc1h, vc2h, index + 1)$ 
69   IN  $LTEHelper(vc1, vc2, 1)$ 
70
71  $KVTuple \triangleq [key : Key, val : Value \cup \{NotVal\}, vc : VC]$ 
72  $OpTuple \triangleq [type : \{ "R", "W" \}, kv : KVTuple, c : Client, cnt : Nat]$ 
73
74  $Message \triangleq$ 
75    $[type : \{ "StartRequest" \}, vc : VC, c : Client, p : Partition, d : Datacenter]$ 
76    $\cup [type : \{ "StartReply" \}, tid : Tid, vc : VC, c : Client]$ 
77    $\cup [type : \{ "ReadRequest" \}, tid : Tid, key : Key, c : Client, p : Partition, d : Datacenter]$ 
78    $\cup [type : \{ "ReadReply" \}, val : Value \cup \{NotVal\}, c : Client]$  val is irrelevant
79    $\cup [type : \{ "UpdateRequest" \}, tid : Tid, key : Key, val : Value, c : Client, p : Partition, d : Datacenter]$ 
80    $\cup [type : \{ "UpdateReply" \}, c : Client]$ 
81    $\cup [type : \{ "CommitRequest" \}, tid : Tid, c : Client, p : Partition, d : Datacenter]$  val is irrelevant
82    $\cup [type : \{ "CommitReply" \}, vc : VC, c : Client]$ 
83    $\cup [type : \{ "Replicate" \}, d : Datacenter, kv : KVTuple]$ 
84    $\cup [type : \{ "Heartbeat" \}, d : Datacenter, ts : Nat]$ 
85
86  $Send(m) \triangleq msgs' = msgs \cup \{m\}$ 
87  $SendAndDelete(sm, dm) \triangleq msgs' = (msgs \cup \{sm\}) \setminus \{dm\}$ 
88
89  $TypeOK \triangleq$ 
90    $\wedge cvc \in [Client \rightarrow VC]$ 
91    $\wedge clock \in [Partition \rightarrow [Datacenter \rightarrow Nat]]$ 
92    $\wedge knownVC \in [Partition \rightarrow [Datacenter \rightarrow VC]]$ 
93    $\wedge stableVC \in [Partition \rightarrow [Datacenter \rightarrow VC]]$ 
94    $\wedge uniformVC \in [Partition \rightarrow [Datacenter \rightarrow VC]]$ 
95    $\wedge snapshotVC \in [Partition \rightarrow [Datacenter \rightarrow [Tid \rightarrow VC]]]$ 
96    $\wedge opLog \in [Partition \rightarrow [Datacenter \rightarrow SUBSET KVTuple]]$ 

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97  $\wedge \text{ msgs } \subseteq \text{ Message }$   
 98  $\wedge \text{ incoming } \in [\text{ Partition } \rightarrow [\text{ Datacenter } \rightarrow \text{ Seq}(\text{ Message })]]$   
 99  $\wedge \text{ L } \in [\text{ Client } \rightarrow \text{ Seq}(\text{ OpTuple })]$   
 100  $\vdash$   
 101  $\text{ Init } \triangleq$   
 102  $\wedge \text{ cvc } = [c \in \text{ Client } \mapsto \text{ VCInit}]$   
 103  $\wedge \text{ clock } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto 0]]$   
 104  $\wedge \text{ knownVC } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto \text{ VCInit}]]$   
 105  $\wedge \text{ stableVC } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto \text{ VCInit}]]$   
 106  $\wedge \text{ uniformVC } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto \text{ VCInit}]]$   
 107  $\wedge \text{ snapshotVC } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto [t \in \text{ Tid } \mapsto \text{ VCInit}]]]$   
 108  $\wedge \text{ opLog } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto$   
 109  $\quad [key : \{k \in \text{ Key } : \text{ KeySharding}[k] = p\}, val : \{\text{ NotVal }\}, vc : \{\text{ VCInit }\}]]]$   
 110  $\wedge \text{ msgs } = \{\}$   
 111  $\wedge \text{ incoming } = [p \in \text{ Partition } \mapsto [d \in \text{ Datacenter } \mapsto \langle \rangle]]$   
 112  $\wedge \text{ L } = [c \in \text{ Client } \mapsto \langle \rangle]$   
 113  $\vdash$   
 114  $\text{ Client operations at client } c \in \text{ Client.}$   
 116  $\text{ CanIssue}(c) \triangleq \forall m \in \text{ msgs } : \text{ to ensure well-formedness of clients}$   
 117  $\quad m.type \in \{\text{ "StartRequest", "StartReply",}$   
 118  $\quad \text{ "ReadRequest", "ReadReply",}$   
 119  $\quad \text{ "UpdateRequest", "UpdateReply"}$   
 120  $\quad \text{ "CommitRequest", "CommitReply"}\} \Rightarrow m.c \neq c$   
 122  $\text{ Start}(c) \triangleq \text{ c } \in \text{ Client starts a transaction}$   
 123  $\wedge \text{ CanIssue}(c)$   
 124  $\wedge \exists p \in \text{ Partition } :$   
 125  $\quad \wedge \text{ coord}' = [\text{ coord EXCEPT } ![c] = p]$   
 126  $\quad \wedge \text{ Send}([type \mapsto \text{ "StartRequest", } vc \mapsto \text{ cvc}[c],$   
 127  $\quad \quad c \mapsto c, p \mapsto p, d \mapsto \text{ ClientAttachment}[c])$   
 128  $\quad \wedge \text{ UNCHANGED } \langle \text{ cvc, tid, sVars, incoming, hVars } \rangle$   
 130  $\text{ StartReply}(c) \triangleq \text{ c } \in \text{ Client handles the reply to its start request}$   
 131  $\wedge \exists m \in \text{ msgs } :$   
 132  $\quad \wedge m.type = \text{ "StartReply" } \wedge m.c = c \text{ such } m \text{ is unique due to well-formedness}$   
 133  $\quad \wedge \text{ cvc}' = [\text{ cvc EXCEPT } ![c] = m.\text{ snapshotVC}]$   
 134  $\quad \wedge \text{ tid}' = [\text{ tid EXCEPT } ![c] = m.\text{ tid}]$   
 135  $\quad \wedge \text{ msgs}' = \text{ msgs } \setminus \{m\}$   
 136  $\quad \wedge \text{ UNCHANGED } \langle \text{ coord, sVars, incoming, hVars } \rangle$   
 138  $\text{ Read}(c, k) \triangleq \text{ c } \in \text{ Client reads from } k \in \text{ Key}$   
 139  $\wedge \text{ CanIssue}(c)$   
 140  $\wedge \text{ Send}([type \mapsto \text{ "ReadRequest", } tid \mapsto \text{ tid}[c], key \mapsto k,$   
 141  $\quad \quad c \mapsto c, p \mapsto \text{ coord}[c], d \mapsto \text{ ClientAttachment}[c])$   
 142  $\quad \wedge \text{ UNCHANGED } \langle \text{ cVars, sVars, incoming, hVars } \rangle$

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144  $ReadReply(c) \triangleq$   $c \in Client$  handles the reply to its read request
145  $\wedge \exists m \in msgs :$ 
146  $\wedge m.type = \text{"ReadReply"} \wedge m.c = c$  such  $m$  is unique due to well-formedness
147  $\wedge msgs' = msgs \setminus \{m\}$ 
148  $\wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$ 

150  $Update(c, k, v) \triangleq$   $c \in Client$  updates  $k \in Key$  with  $v \in Value$ 
151  $\wedge CanIssue(c)$ 
152  $\wedge Send([type \mapsto \text{"UpdateRequest"}, tid \mapsto tid[c], key \mapsto k, val \mapsto v,$ 
153  $c \mapsto c, p \mapsto coord[c], d \mapsto ClientAttachment[c]])$ 
154  $\wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$ 

156  $UpdateReply(c) \triangleq$   $c \in Client$  handles the reply to its update request
157  $\wedge \exists m \in msgs :$ 
158  $\wedge m.type = \text{"UpdateReply"} \wedge m.c = c$  such  $m$  is unique due to well-formedness
159  $\wedge msgs' = msgs \setminus \{m\}$ 
160  $\wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$ 

162  $Commit(c) \triangleq$   $c \in Client$  commits the ongoing transaction  $tid[c]$ 
163  $\wedge CanIssue(c)$ 
164  $\wedge Send([type \mapsto \text{"CommitRequest"}, tid \mapsto tid[c],$ 
165  $c \mapsto c, p \mapsto coord[c], d \mapsto ClientAttachment[c]])$ 
166  $\wedge \text{UNCHANGED } \langle cVars, sVars, incoming, hVars \rangle$ 

168  $CommitReply(c) \triangleq$   $c \in Client$  handles the reply to its commit request
169  $\wedge \exists m \in msgs :$ 
170  $\wedge m.type = \text{"CommitReply"} \wedge m.c = c$  such  $m$  is unique due to well-formedness
171  $\wedge cvc' = [cvc \text{ EXCEPT } !c = [m.vc]]$ 
172  $\wedge msgs' = msgs \setminus \{m\}$ 
173  $\wedge \text{UNCHANGED } \langle tid, coord, sVars, incoming, hVars \rangle$ 
174 |-----|
175  $\text{Server operations at partition } p \in Partition \text{ in datacenter } d \in Datacenter.$ 

177  $StartRequest(p, d) \triangleq$  handle a "StartRequest"
178  $\wedge \exists m \in msgs :$ 
179  $\wedge m.type = \text{"StartRequest"} \wedge m.p = p \wedge m.d = d$ 
180  $\wedge uniformVC' = [uniformVC \text{ EXCEPT } ![p][d] = Merge(m.vc, @)]$ 
181  $\wedge seq' = [seq \text{ EXCEPT } ![p][d] = @ + 1]$ 
182  $\wedge \text{LET } t \triangleq [seq \mapsto seq, p \mapsto p, d \mapsto d]$ 
183  $\text{IN } \wedge snapshotVC' = [snapshotVC \text{ EXCEPT }$ 
184  $![p][d][t] = [dc \in Datacenter \mapsto$ 
185  $\text{IF } dc = d$ 
186  $\text{THEN } Max(m.vc[d], uniformVC[p][d][d])$ 
187  $\text{ELSE } uniformVC'[p][d][d]]$ 
188  $\wedge SendAndDelete([type \mapsto \text{"StartReply"}, tid \mapsto t,$ 
189  $vc \mapsto snapshotVC'[p][d][t], c \mapsto m.c], m)$ 

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$\wedge \text{cVars}, \text{clock}, \text{knownVC}, \text{stableVC}, \text{opLog}, \text{incoming}\rangle$   
192  $\text{ReadRequest}(p, d) \triangleq \text{handle a "ReadRequest"}$   
193  $\wedge \exists m \in \text{msgs} :$   
194  $\wedge m.\text{type} = \text{"ReadRequest"} \wedge m.p = p \wedge m.d = d$   
195  $\wedge \text{SendAndDelete}([type \mapsto \text{"ReadReply"}, val \mapsto lkv.val, vc \mapsto lkv.vc, c \mapsto m.c], m)$   
196  $\wedge L' = [L \text{ EXCEPT } ![m.c] = \text{Append}(@, [type \mapsto \text{"R"}, kv \mapsto lkv, c \mapsto m.c, cnt \mapsto \text{Len}(@) + 1])]$   
197  $\wedge \text{UNCHANGED} \langle \text{cVars}, \text{clock}, \text{knownVC}, \text{opLog}, \text{incoming} \rangle$   
  
199  $\text{UpdateRequest}(p, d) \triangleq \text{handle a "UpdateRequest"}$   
200  $\wedge \exists m \in \text{msgs} :$   
201  $\wedge m.\text{type} = \text{"UpdateRequest"} \wedge m.p = p \wedge m.d = d$   
202  $\wedge ws' = [ws \text{ EXCEPT } ![p][d][m.tid][\text{KeySharding}[m.key]][m.key] = m.val]$   
203  $\wedge \text{SendAndDelete}([type \mapsto \text{"UpdateReply"}, c \mapsto m.c], m)$   
204  $\wedge L' = [L \text{ EXCEPT } ![m.c] = \text{Append}(@, [type \mapsto \text{"W"}, kv \mapsto kv, c \mapsto m.c, cnt \mapsto \text{Len}(@) + 1])]$   
205  $\wedge \text{UNCHANGED} \langle \text{cVars}, \text{clock}, \text{knownVC} \rangle$   
  
207  $\text{Replicate}(p, d) \triangleq \text{handle a "Replicate"}$   
208  $\wedge \text{incoming}[p][d] \neq \langle \rangle$   
209  $\wedge \text{LET } m \triangleq \text{Head}(\text{incoming}[p][d])$   
210  $\text{IN } \wedge m.\text{type} = \text{"Replicate"}$   
211  $\wedge \text{opLog}' = [\text{opLog} \text{ EXCEPT } ![p][d] = @ \cup \{m.kv\}]$   
212  $\wedge \text{knownVC}' = [\text{knownVC} \text{ EXCEPT } ![p][d][m.d] = m.kv.vc[m.d]]$   
213  $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p][d] = \text{Tail}(@)]$   
214  $\wedge \text{UNCHANGED} \langle \text{cVars}, \text{cvc}, \text{clock}, \text{stableVC}, L, \text{msgs} \rangle$   
  
216  $\text{Heartbeat}(p, d) \triangleq \text{handle a "Heartbeat"}$   
217  $\wedge \text{incoming}[p][d] \neq \langle \rangle$   
218  $\wedge \text{LET } m \triangleq \text{Head}(\text{incoming}[p][d])$   
219  $\text{IN } \wedge m.\text{type} = \text{"Heartbeat"}$   
220  $\wedge \text{knownVC}' = [\text{knownVC} \text{ EXCEPT } ![p][d][m.d] = m.ts]$   
221  $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p][d] = \text{Tail}(@)]$   
222  $\wedge \text{UNCHANGED} \langle \text{cVars}, \text{cvc}, \text{clock}, \text{stableVC}, \text{opLog}, L, \text{msgs} \rangle$   


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224  $\text{Clock management at partition } p \in \text{Partition in datacenter } d \in \text{Datacenter}$   
225  $\text{Tick}(p, d) \triangleq \text{clock}[p][d] \text{ ticks}$   
226  $\wedge \text{clock}' = [\text{clock} \text{ EXCEPT } ![p][d] = @ + 1]$   
227  $\wedge \text{knownVC}' = [\text{knownVC} \text{ EXCEPT } ![p][d][d] = \text{clock}'[p][d]]$   
228  $\wedge \text{incoming}' = [\text{incoming} \text{ EXCEPT } ![p] = [dc \in \text{Datacenter} \mapsto$   
229  $\text{IF } dc = d \text{ THEN } @[dc] \text{ ELSE } \text{Append}(@[dc], [type \mapsto \text{"Heartbeat"}, d \mapsto d, ts \mapsto kn$   
230  $\wedge \text{UNCHANGED} \langle \text{cVars}, \text{cvc}, \text{stableVC}, \text{opLog}, L, \text{msgs} \rangle$   
  
232  $\text{UpdateCSS}(p, d) \triangleq \text{update stableVC}[p][d]$   
233  $\wedge \text{stableVC}' = [\text{stableVC} \text{ EXCEPT } ![p][d] =$   
234  $[dc \in \text{Datacenter} \mapsto \text{SetMin}(\{\text{knownVC}[pp][d][dc] : pp \in \text{Partition}\})]$   
235  $\wedge \text{UNCHANGED} \langle \text{cVars}, m\text{Vars}, \text{clock}, \text{knownVC}, \text{opLog}, L \rangle$

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236 |-----|
237 Next  $\triangleq$ 
238    $\vee \exists c \in Client, k \in Key : Read(c, k)$ 
239    $\vee \exists c \in Client, k \in Key, v \in Value : Update(c, k, v)$ 
240    $\vee \exists c \in Client : ReadReply(c) \vee UpdateReply(c)$ 
241    $\vee \exists p \in Partition, d \in Datacenter :$ 
242      $\vee ReadRequest(p, d)$ 
243      $\vee UpdateRequest(p, d)$ 
244      $\vee Replicate(p, d)$ 
245      $\vee Heartbeat(p, d)$ 
246      $\vee Tick(p, d)$ 
247      $\vee UpdateCSS(p, d)$ 
249 Spec  $\triangleq Init \wedge \Box[Next]_{vars}$ 
250 |-----|
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