

# Alexnet

March 6, 2023

## 1 *AlexNet (2012)*

**AlexNet** is a convolutional neural network architecture that was developed by **Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton in 2012**. It won the ImageNet Large Scale Visual Recognition Challenge (ILSVRC) in the same year and marked a breakthrough in the field of computer vision.

The architecture consists of eight layers, including five convolutional layers and three fully connected layers. It was the first architecture to use rectified linear units (ReLU) as the activation function, which **helped overcome the vanishing gradient problem** commonly experienced in deep neural networks.

## 2 *Architectural Flow*

### 2.1 *Import Necessary Libraries*

```
[1]: import tensorflow as tf
      from tensorflow import keras
      import keras
      from keras.models import Sequential
      from keras.layers import Dense, Activation, Dropout, Flatten, Conv2D,
      MaxPooling2D
      from tensorflow.keras.layers import BatchNormalization
```

```
[2]: !pip install tflearn
```

Looking in indexes: <https://pypi.org/simple>, <https://us-python.pkg.dev/colab-wheels/public/simple/>

Requirement already satisfied: tflearn in /usr/local/lib/python3.8/dist-packages (0.5.0)

Requirement already satisfied: six in /usr/local/lib/python3.8/dist-packages (from tflearn) (1.15.0)

Requirement already satisfied: numpy in /usr/local/lib/python3.8/dist-packages (from tflearn) (1.22.4)

Requirement already satisfied: Pillow in /usr/local/lib/python3.8/dist-packages (from tflearn) (8.4.0)

## 2.2 Alexnet using oxford17 dataset

```
[3]: # Get Data
import tflearn.datasets.oxflower17 as oxflower17
x, y = oxflower17.load_data(one_hot=True)
```

WARNING:tensorflow:From /usr/local/lib/python3.8/dist-packages/tensorflow/python/compat/v2\_compat.py:107: disable\_resource\_variables (from tensorflow.python.ops.variable\_scope) is deprecated and will be removed in a future version.

Instructions for updating:

non-resource variables are not supported in the long term

```
[4]: # Split data into training and test sets

from sklearn.model_selection import train_test_split
x_train, x_test, y_train, y_test = train_test_split(x, y, test_size=0.2,
                                                    random_state=42)

# Print the shapes of the resulting arrays
print("x_train shape:", x_train.shape)
print("y_train shape:", y_train.shape)
print("x_test shape:", x_test.shape)
print("y_test shape:", y_test.shape)
```

x\_train shape: (1088, 224, 224, 3)

y\_train shape: (1088, 17)

x\_test shape: (272, 224, 224, 3)

y\_test shape: (272, 17)

```
[5]: x
```

```
[5]: array([[[[0.3647059 , 0.6901961 , 0.84705883],
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```

```

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```

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```

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```

```
[6]: y
```

```

[6]: array([[1., 0., 0., ..., 0., 0., 0.],
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          [0., 0., 0., ..., 0., 0., 0.],
          ...,
          [0., 0., 1., ..., 0., 0., 0.],
          [0., 0., 0., ..., 0., 0., 0.],
          [0., 0., 0., ..., 0., 0., 0.]])

```

```
[7]: x.shape
```

```
[7]: (1360, 224, 224, 3)
```

```
[8]: y.shape
```

```
[8]: (1360, 17)
```

## 2.3 Model Architecture

```

[9]: # Create a sequential model
model = Sequential()

# 1st Convolutional Layer
model.add(Conv2D(filters=96, input_shape=(224,224,3), kernel_size=(11,11),
    strides=(4,4), padding="valid"))
model.add(Activation("relu"))

# Pooling
model.add(MaxPooling2D(pool_size=(3,3), strides=(2,2), padding="valid"))
# Batch Normalisation before passing it to the next layer
model.add(BatchNormalization())

# 2nd Convolutional Layer
model.add(Conv2D(filters=256, kernel_size=(5,5), strides=(1,1), padding="same"))
model.add(Activation("relu"))

```

```

# Pooling
model.add(MaxPooling2D(pool_size=(3,3), strides=(2,2), padding='valid'))
# Batch Normalisation
model.add(BatchNormalization())

# 3rd Convolutional Layer
model.add(Conv2D(filters=384, kernel_size=(3,3), strides=(1,1),
padding='valid'))
model.add(Activation('relu'))
# Batch Normalisation
model.add(BatchNormalization())

# 4th Convolutional Layer
model.add(Conv2D(filters=384, kernel_size=(3,3), strides=(1,1),
padding='valid'))
model.add(Activation('relu'))
# Batch Normalisation
model.add(BatchNormalization())

# 5th Convolutional Layer
model.add(Conv2D(filters=256, kernel_size=(3,3), strides=(1,1),
padding='valid'))
model.add(Activation('relu'))

# Pooling
model.add(MaxPooling2D(pool_size=(3,3), strides=(2,2), padding='valid'))
# Batch Normalisation
model.add(BatchNormalization())

# Passing it to a dense layer
model.add(Flatten())

# 1st Dense Layer
model.add(Dense(4096, input_shape=(224*224*3,)))
model.add(Activation('relu'))
# Add Dropout to prevent overfitting
model.add(Dropout(0.4))
# Batch Normalisation
model.add(BatchNormalization())

# 2nd Dense Layer
model.add(Dense(4096))

```

```

model.add(Activation("relu"))
# Add Dropout
model.add(Dropout(0.4))
# Batch Normalisation
model.add(BatchNormalization())

# Output Layer
model.add(Dense(17))
model.add(Activation("softmax"))

model.summary()

```

WARNING:tensorflow:From /usr/local/lib/python3.8/dist-packages/keras/layers/normalization/batch\_normalization.py:561: \_colocate\_with (from tensorflow.python.framework.ops) is deprecated and will be removed in a future version.

Instructions for updating:

Colocations handled automatically by placer.

Model: "sequential"

| Layer (type)                                | Output Shape        | Param # |
|---|---------------------|---------|
| =====                                       |                     |         |
| conv2d (Conv2D)                             | (None, 54, 54, 96)  | 34944   |
| activation (Activation)                     | (None, 54, 54, 96)  | 0       |
| max_pooling2d (MaxPooling2D)                | (None, 26, 26, 96)  | 0       |
| batch_normalization (Batch Normalization)   | (None, 26, 26, 96)  | 384     |
| conv2d_1 (Conv2D)                           | (None, 26, 26, 256) | 614656  |
| activation_1 (Activation)                   | (None, 26, 26, 256) | 0       |
| max_pooling2d_1 (MaxPooling2D)              | (None, 12, 12, 256) | 0       |
| batch_normalization_1 (Batch Normalization) | (None, 12, 12, 256) | 1024    |
| conv2d_2 (Conv2D)                           | (None, 10, 10, 384) | 885120  |
| activation_2 (Activation)                   | (None, 10, 10, 384) | 0       |
| batch_normalization_2 (Batch Normalization) | (None, 10, 10, 384) | 1536    |

hNormalization)

|   |                   |          |
|---|-------------------|----------|
| conv2d_3 (Conv2D)                           | (None, 8, 8, 384) | 1327488  |
| activation_3 (Activation)                   | (None, 8, 8, 384) | 0        |
| batch_normalization_3 (Batch Normalization) | (None, 8, 8, 384) | 1536     |
| conv2d_4 (Conv2D)                           | (None, 6, 6, 256) | 884992   |
| activation_4 (Activation)                   | (None, 6, 6, 256) | 0        |
| max_pooling2d_2 (MaxPooling2D)              | (None, 2, 2, 256) | 0        |
| batch_normalization_4 (Batch Normalization) | (None, 2, 2, 256) | 1024     |
| flatten (Flatten)                           | (None, 1024)      | 0        |
| dense (Dense)                               | (None, 4096)      | 4198400  |
| activation_5 (Activation)                   | (None, 4096)      | 0        |
| dropout (Dropout)                           | (None, 4096)      | 0        |
| batch_normalization_5 (Batch Normalization) | (None, 4096)      | 16384    |
| dense_1 (Dense)                             | (None, 4096)      | 16781312 |
| activation_6 (Activation)                   | (None, 4096)      | 0        |
| dropout_1 (Dropout)                         | (None, 4096)      | 0        |
| batch_normalization_6 (Batch Normalization) | (None, 4096)      | 16384    |
| dense_2 (Dense)                             | (None, 17)        | 69649    |
| activation_7 (Activation)                   | (None, 17)        | 0        |

=====  
Total params: 24,834,833  
Trainable params: 24,815,697  
Non-trainable params: 19,136

---

We have used 224 x 224 x 3 as input shape, consider the above image for the same, as the methodology of this CNN Architecture remains the same.

```
[10]: !pip install tensorflow-addons==0.16.1
```

```
Looking in indexes: https://pypi.org/simple, https://us-python.pkg.dev/colab-
wheels/public/simple/
Requirement already satisfied: tensorflow-addons==0.16.1 in
/usr/local/lib/python3.8/dist-packages (0.16.1)
Requirement already satisfied: typeguard>=2.7 in /usr/local/lib/python3.8/dist-
packages (from tensorflow-addons==0.16.1) (2.7.1)
```

```
[11]: # Compile the model
model.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.
    optimizers.Adadelta(learning_rate=0.01), metrics=['accuracy'])
```

```
[12]: # Train
history_alexnet_batchnorm = model.fit(x_train, y_train, batch_size=128,
    epochs=12, verbose=1, validation_data=(x_test, y_test))
```

Train on 1088 samples, validate on 272 samples

Epoch 1/12

1088/1088 [=====] - ETA: 0s - loss: 3.4831 - acc: 0.0754

/usr/local/lib/python3.8/dist-packages/keras/engine/training\_v1.py:2333:

UserWarning: `Model.state\_updates` will be removed in a future version. This property should not be used in TensorFlow 2.0, as `updates` are applied automatically.

updates = self.state\_updates

1088/1088 [=====] - 7s 7ms/sample - loss: 3.4831 - acc: 0.0754 - val\_loss: 2.8329 - val\_acc: 0.0478

Epoch 2/12

1088/1088 [=====] - 2s 2ms/sample - loss: 2.7744 - acc: 0.1673 - val\_loss: 2.8327 - val\_acc: 0.0625

Epoch 3/12

1088/1088 [=====] - 2s 2ms/sample - loss: 2.3161 - acc: 0.3079 - val\_loss: 2.8350 - val\_acc: 0.0588

Epoch 4/12

1088/1088 [=====] - 2s 2ms/sample - loss: 2.0319 - acc: 0.3695 - val\_loss: 2.8389 - val\_acc: 0.0588

Epoch 5/12

1088/1088 [=====] - 2s 2ms/sample - loss: 1.8058 - acc: 0.4283 - val\_loss: 2.8449 - val\_acc: 0.0588

Epoch 6/12

1088/1088 [=====] - 2s 2ms/sample - loss: 1.6174 - acc:

```

0.4743 - val_loss: 2.8529 - val_acc: 0.0588
Epoch 7/12
1088/1088 [=====] - 2s 2ms/sample - loss: 1.4455 - acc:
0.5441 - val_loss: 2.8680 - val_acc: 0.0588
Epoch 8/12
1088/1088 [=====] - 3s 3ms/sample - loss: 1.2881 - acc:
0.5846 - val_loss: 2.8859 - val_acc: 0.0588
Epoch 9/12
1088/1088 [=====] - 3s 2ms/sample - loss: 1.1605 - acc:
0.6369 - val_loss: 2.9080 - val_acc: 0.0588
Epoch 10/12
1088/1088 [=====] - 2s 2ms/sample - loss: 1.0783 - acc:
0.6599 - val_loss: 2.9318 - val_acc: 0.0588
Epoch 11/12
1088/1088 [=====] - 3s 2ms/sample - loss: 0.9744 - acc:
0.6958 - val_loss: 2.9608 - val_acc: 0.0735
Epoch 12/12
1088/1088 [=====] - 3s 2ms/sample - loss: 0.9527 - acc:
0.7068 - val_loss: 2.9972 - val_acc: 0.0993

```

```

[13]: # Evaluate the model on the test data
score = model.evaluate(x_test, y_test, verbose=0)
print("Test loss:", score[0])
print("Test accuracy:", score[1])

```

```

Test loss: 2.997237570145551
Test accuracy: 0.0992647

```

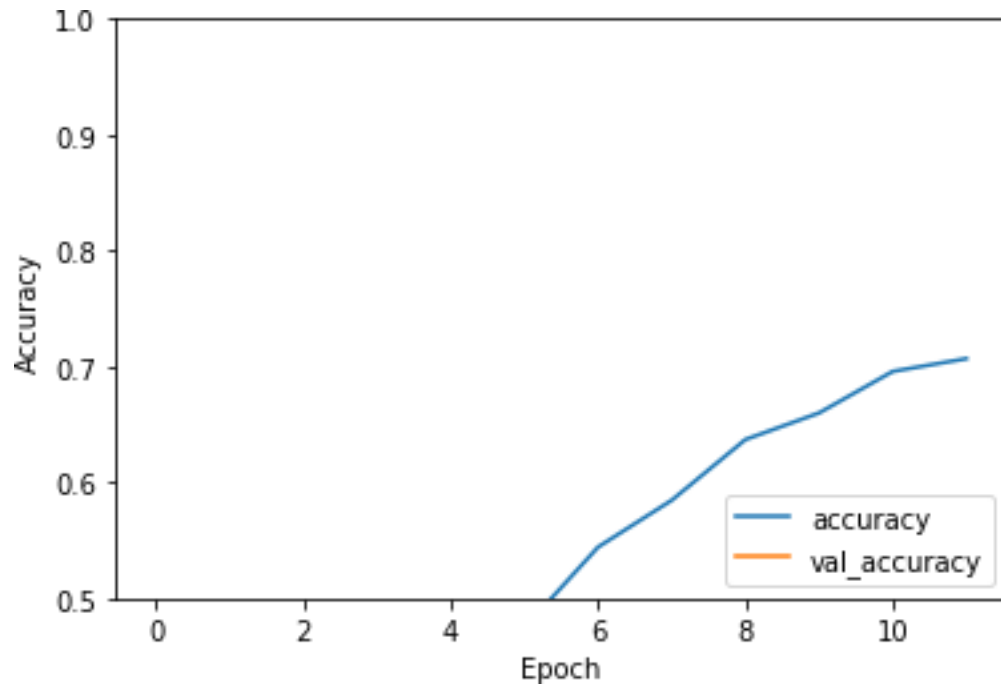
## 2.4 Model Loss

```

[14]: import matplotlib.pyplot as plt

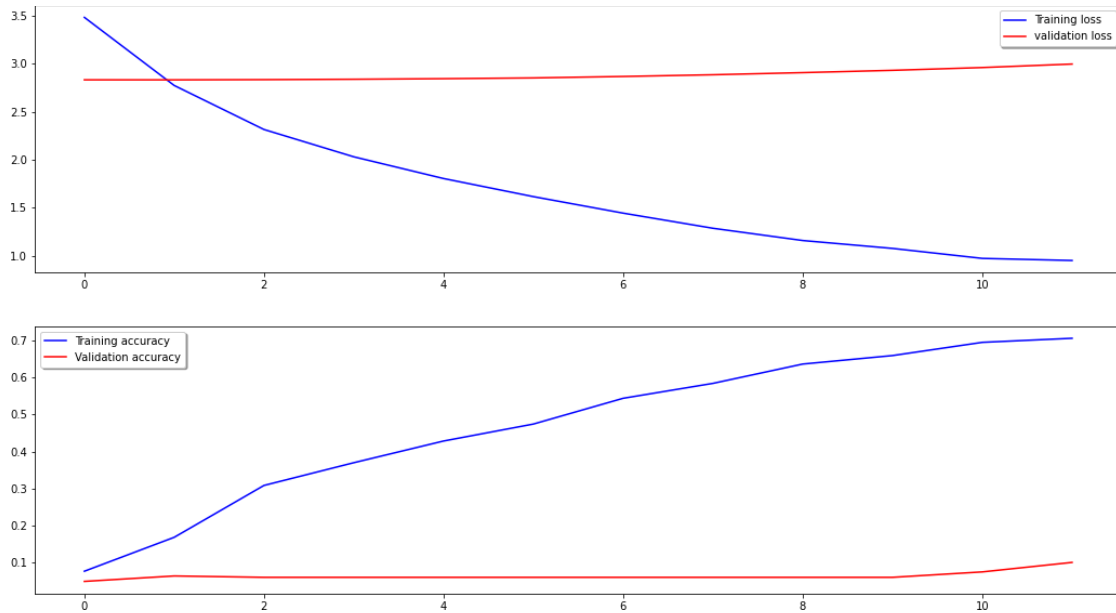
plt.plot(history_alexnet_batchnorm.history["acc"], label="accuracy")
plt.plot(history_alexnet_batchnorm.history["val_acc"], label="val_accuracy")
plt.xlabel("Epoch")
plt.ylabel("Accuracy")
plt.ylim([0.5, 1])
plt.legend(loc="lower right")
test_loss, test_acc = model.evaluate(x_test, y_test, verbose=2)

```



## 2.5 Model Accuracy

```
[15]: # Plot the loss and accuracy curves for training and validation
fig, ax = plt.subplots(2,1, figsize=(18, 10))
ax[0].plot(history_alexnet_batchnorm.history['loss'], color='b',
           label="Training loss")
ax[0].plot(history_alexnet_batchnorm.history['val_loss'], color='r',
           label="validation loss", axes=ax[0])
legend = ax[0].legend(loc='best', shadow=True)
ax[1].plot(history_alexnet_batchnorm.history['acc'], color='b', label="Training_
           accuracy")
ax[1].plot(history_alexnet_batchnorm.history['val_acc'],
           color='r', label="Validation accuracy")
legend = ax[1].legend(loc='best', shadow=True)
```



## 2.6 Alexnet using MNIST dataset

```
[16]: from keras.datasets import mnist
      # Load the MNIST dataset
      (x_train, y_train), (x_test, y_test) = mnist.load_data()
```

### 2.6.1 Reshaping the dataset

```
[17]: # Preprocess the data
      x_train = x_train.reshape(x_train.shape[0], 28, 28, 1)
      x_test = x_test.reshape(x_test.shape[0], 28, 28, 1)
      x_train = x_train.astype('float32')
      x_test = x_test.astype('float32')
      x_train /= 255
      x_test /= 255
      y_train = keras.utils.np_utils.to_categorical(y_train, 10)
      y_test = keras.utils.np_utils.to_categorical(y_test, 10)
```

```
[18]: import numpy as np

      def plot_images_sample(X, Y):
          # Draw plot for images sample
          plt.figure(figsize=(10,10))
          rand_indicies = np.random.randint(len(X), size=25)
          for i in range(25):
              plt.subplot(5,5,i+1)
```



```

plt.xticks([])
plt.yticks([])
plt.grid(False)
index = rand_indicies[i]
plt.imshow(np.squeeze(X[index]), cmap=plt.cm.binary)
plt.xlabel(Y[index])
plt.show()

```

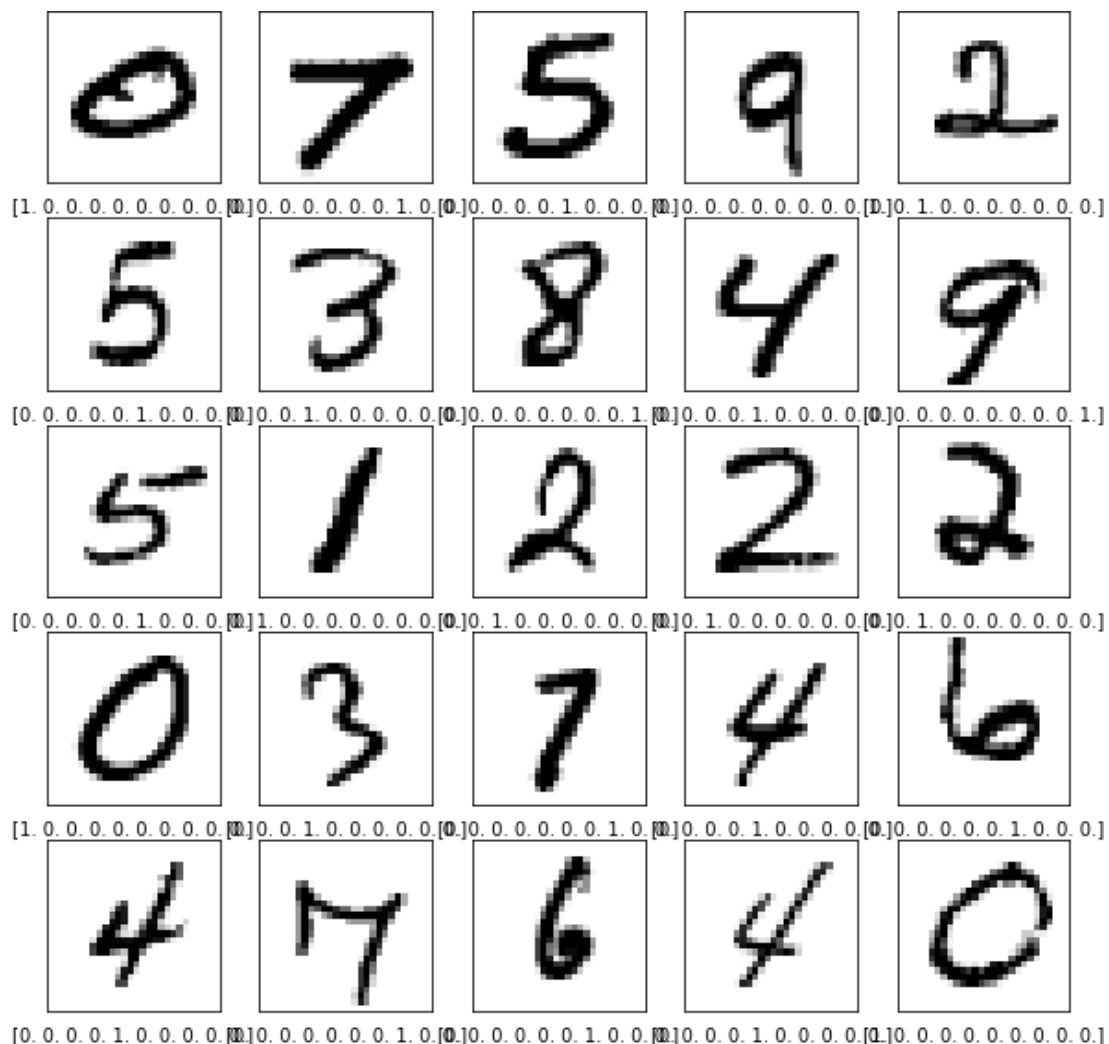
## 2.7 Train Dataset

```

[19]: # Draw plot for images sample
      plot_images_sample(x_train,y_train)

```

/usr/local/lib/python3.8/dist-packages/matplotlib/text.py:1223: FutureWarning: elementwise comparison failed; returning scalar instead, but in the future will perform elementwise comparison  
if s != self.\_text:



### 3 Model Architecture

```
[20]: # Define the AlexNet model

model_alex = Sequential()
model_alex.add(Conv2D(32, kernel_size=(3, 3), activation='relu',
    input_shape=(28, 28, 1)))
model_alex.add(MaxPooling2D(pool_size=(2, 2)))
model_alex.add(Conv2D(64, kernel_size=(3, 3), activation='relu'))
model_alex.add(MaxPooling2D(pool_size=(2, 2)))

model_alex.add(Flatten())
model_alex.add(Dense(128, activation='relu'))
model_alex.add(Dropout(0.5))
model_alex.add(Dense(10, activation='softmax'))
```

```
[21]: model_alex.summary()
```

Model: "sequential\_1"

| Layer (type)                    | Output Shape       | Param # |
|---------------------------------|--------------------|---------|
| =====                           |                    |         |
| conv2d_5 (Conv2D)               | (None, 26, 26, 32) | 320     |
| max_pooling2d_3 (MaxPooling 2D) | (None, 13, 13, 32) | 0       |
| conv2d_6 (Conv2D)               | (None, 11, 11, 64) | 18496   |
| max_pooling2d_4 (MaxPooling 2D) | (None, 5, 5, 64)   | 0       |
| flatten_1 (Flatten)             | (None, 1600)       | 0       |
| dense_3 (Dense)                 | (None, 128)        | 204928  |
| dropout_2 (Dropout)             | (None, 128)        | 0       |
| dense_4 (Dense)                 | (None, 10)         | 1290    |

```
=====
Total params: 225,034
Trainable params: 225,034
Non-trainable params: 0
```

---

```
[22]: # Compile the model
model_alex.compile(loss=keras.losses.categorical_crossentropy, optimizer=keras.
    optimizers.Adadelta(), metrics=['accuracy'])

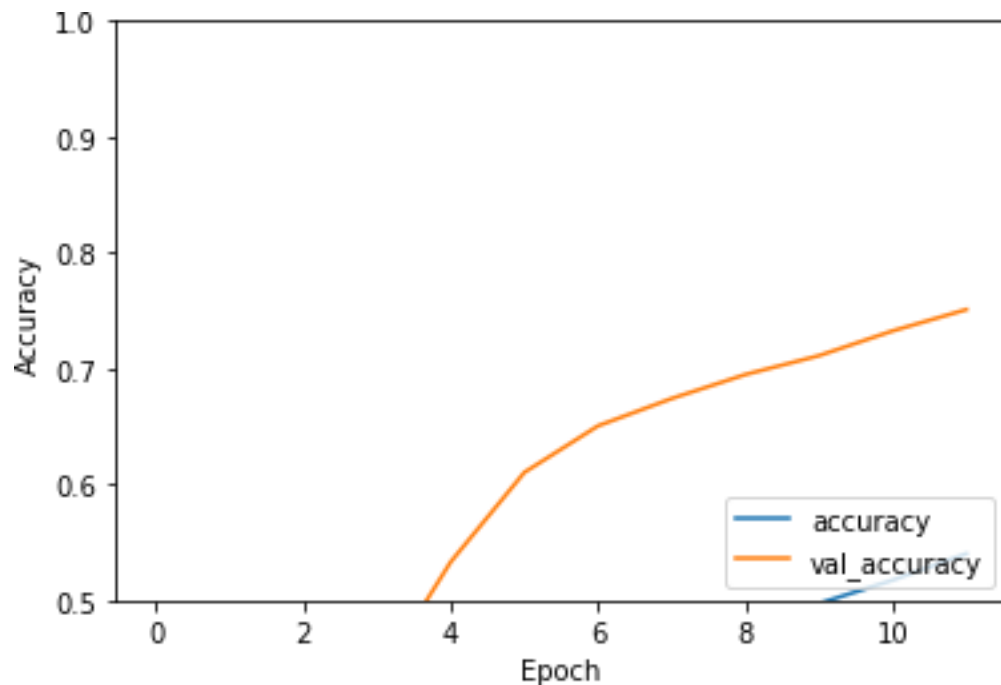
# Train the model
history_alexnet = model_alex.fit(x_train, y_train, batch_size=128, epochs=12,
    verbose=1, validation_data=(x_test, y_test))
```

Train on 60000 samples, validate on 10000 samples

```
Epoch 1/12
60000/60000 [=====] - 3s 46us/sample - loss: 2.3166 -
acc: 0.1258 - val_loss: 2.2846 - val_acc: 0.2235
Epoch 2/12
60000/60000 [=====] - 2s 36us/sample - loss: 2.2731 -
acc: 0.1628 - val_loss: 2.2443 - val_acc: 0.2562
Epoch 3/12
60000/60000 [=====] - 2s 38us/sample - loss: 2.2360 -
acc: 0.2039 - val_loss: 2.2072 - val_acc: 0.3285
Epoch 4/12
60000/60000 [=====] - 3s 53us/sample - loss: 2.1986 -
acc: 0.2527 - val_loss: 2.1689 - val_acc: 0.4315
Epoch 5/12
60000/60000 [=====] - 2s 41us/sample - loss: 2.1625 -
acc: 0.3001 - val_loss: 2.1275 - val_acc: 0.5330
Epoch 6/12
60000/60000 [=====] - 3s 55us/sample - loss: 2.1219 -
acc: 0.3475 - val_loss: 2.0818 - val_acc: 0.6103
Epoch 7/12
60000/60000 [=====] - 4s 60us/sample - loss: 2.0767 -
acc: 0.3951 - val_loss: 2.0305 - val_acc: 0.6505
Epoch 8/12
60000/60000 [=====] - 5s 90us/sample - loss: 2.0268 -
acc: 0.4321 - val_loss: 1.9731 - val_acc: 0.6741
Epoch 9/12
60000/60000 [=====] - 4s 73us/sample - loss: 1.9714 -
acc: 0.4653 - val_loss: 1.9091 - val_acc: 0.6948
Epoch 10/12
60000/60000 [=====] - 5s 81us/sample - loss: 1.9101 -
acc: 0.4972 - val_loss: 1.8394 - val_acc: 0.7111
Epoch 11/12
60000/60000 [=====] - 5s 86us/sample - loss: 1.8474 -
acc: 0.5173 - val_loss: 1.7642 - val_acc: 0.7325
Epoch 12/12
60000/60000 [=====] - 4s 73us/sample - loss: 1.7755 -
acc: 0.5402 - val_loss: 1.6838 - val_acc: 0.7509
```

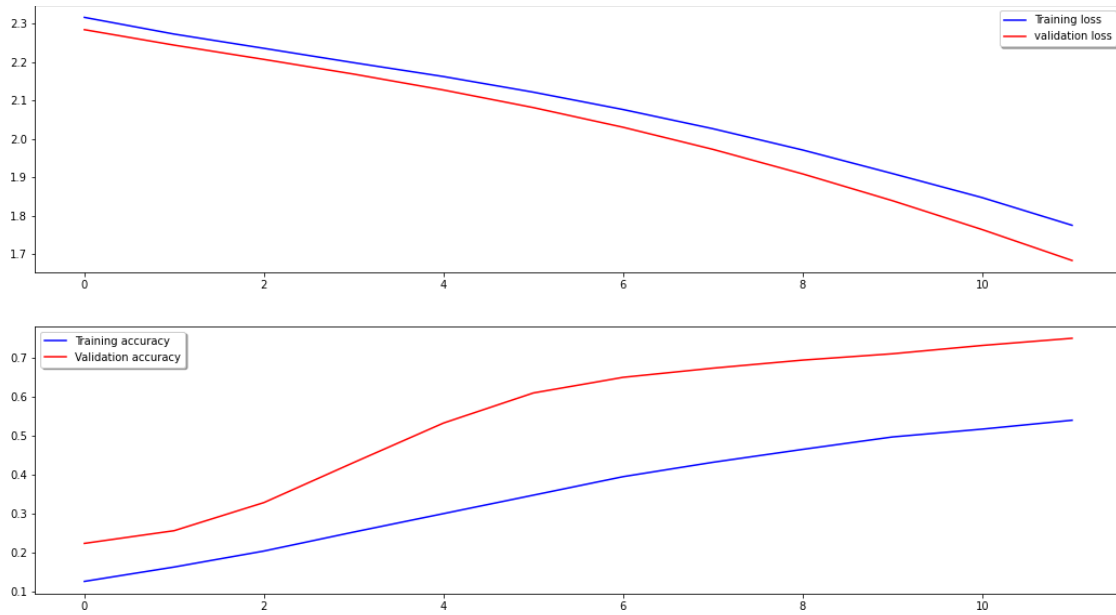
### 3.1 Model Loss

```
[23]: plt.plot(history_alexnet.history['acc'], label='accuracy')
plt.plot(history_alexnet.history['val_acc'], label = 'val_accuracy')
plt.xlabel("Epoch")
plt.ylabel("Accuracy")
plt.ylim([0.5, 1])
plt.legend(loc='lower right')
test_loss, test_acc = model_alex.evaluate(x_test, y_test, verbose=2)
```



### 3.2 Model Accuracy

```
[24]: # Plot the loss and accuracy curves for training and validation
fig, ax = plt.subplots(2,1, figsize=(18, 10))
ax[0].plot(history_alexnet.history['loss'], color='b', label="Training loss")
ax[0].plot(history_alexnet.history['val_loss'], color='r', label="validation_
    loss", axes=ax[0])
legend = ax[0].legend(loc='best', shadow=True)
ax[1].plot(history_alexnet.history['acc'], color='b', label="Training accuracy")
ax[1].plot(history_alexnet.history['val_acc'], color='r', label="Validation_
    accuracy")
legend = ax[1].legend(loc='best', shadow=True)
```

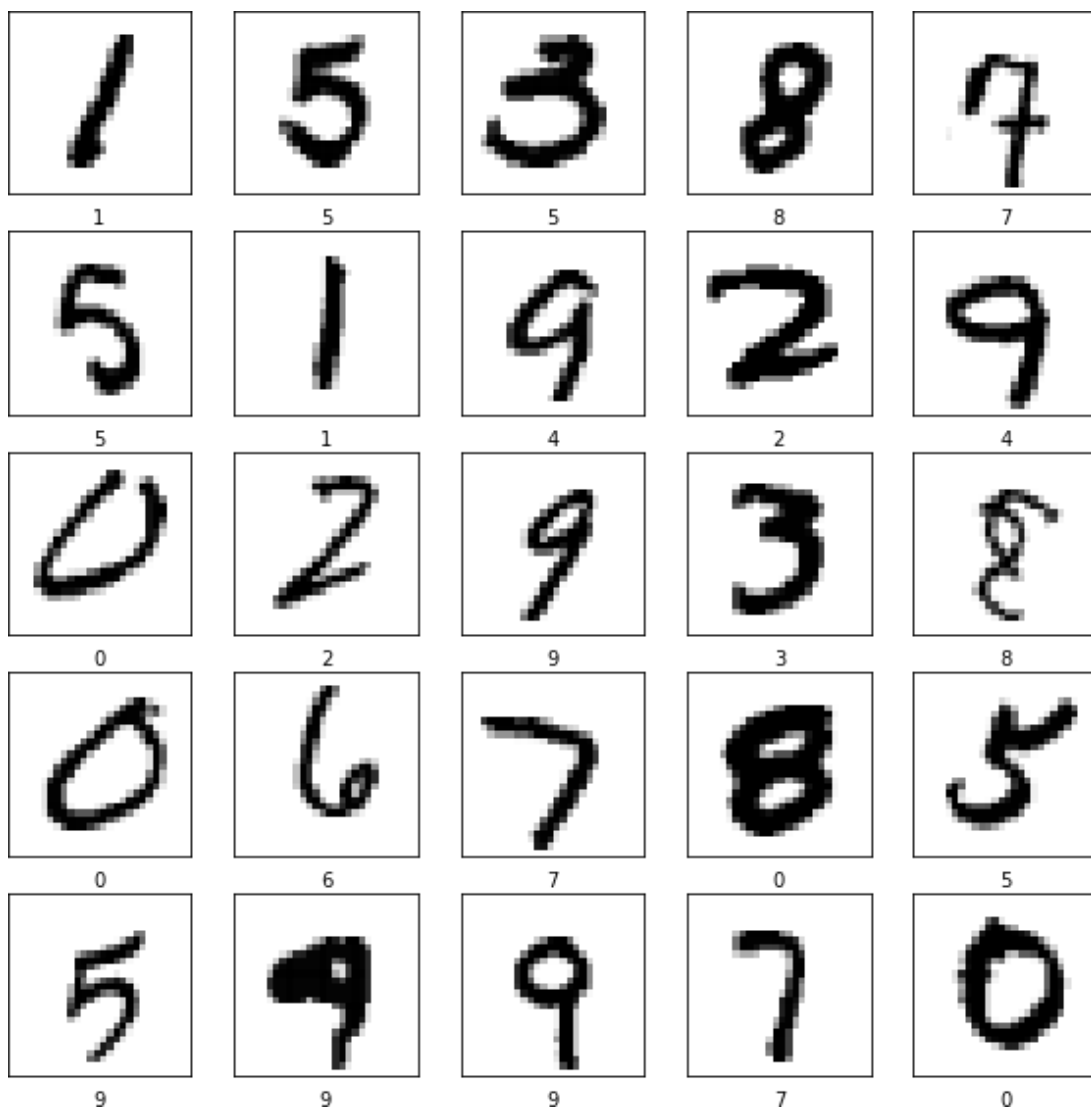


```
[25]: def get_predictions(X_test):
      # Digits prediction
      predictions_alex = model_alex.predict(X_test)
      predictions_alex = np.argmax(predictions_alex, axis=1)
      return predictions_alex
```

### 3.3 Final predictions by the Model

```
[26]: # Prediction and display it
      predictions_alex = get_predictions(x_test)
      plot_images_sample(x_test, predictions_alex)
```

/usr/local/lib/python3.8/dist-packages/keras/engine/training\_v1.py:2357:  
 UserWarning: `Model.state\_updates` will be removed in a future version. This property should not be used in TensorFlow 2.0, as `updates` are applied automatically.  
 updates=self.state\_updates,



[26]:

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[ ]: