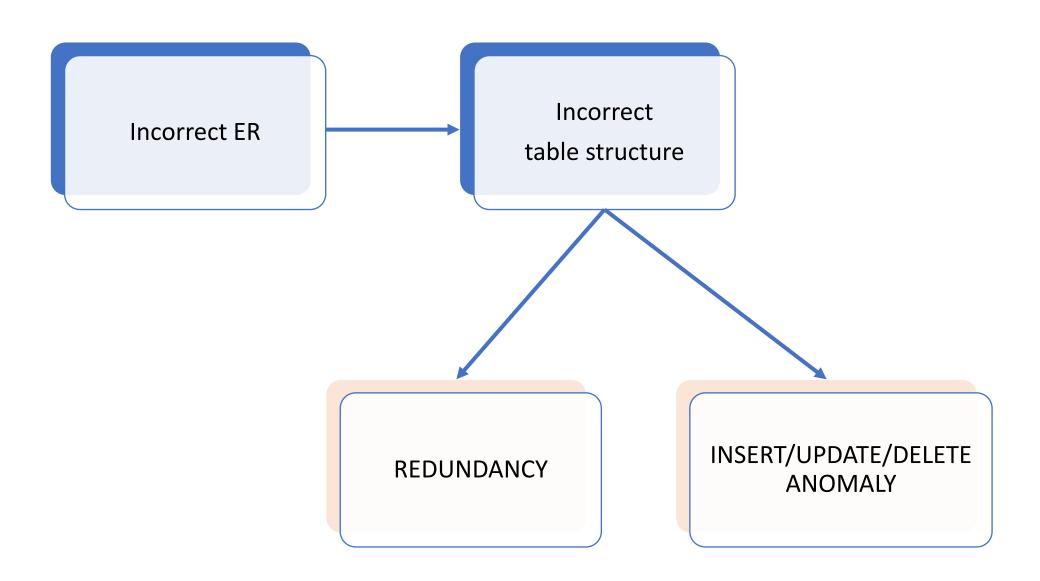
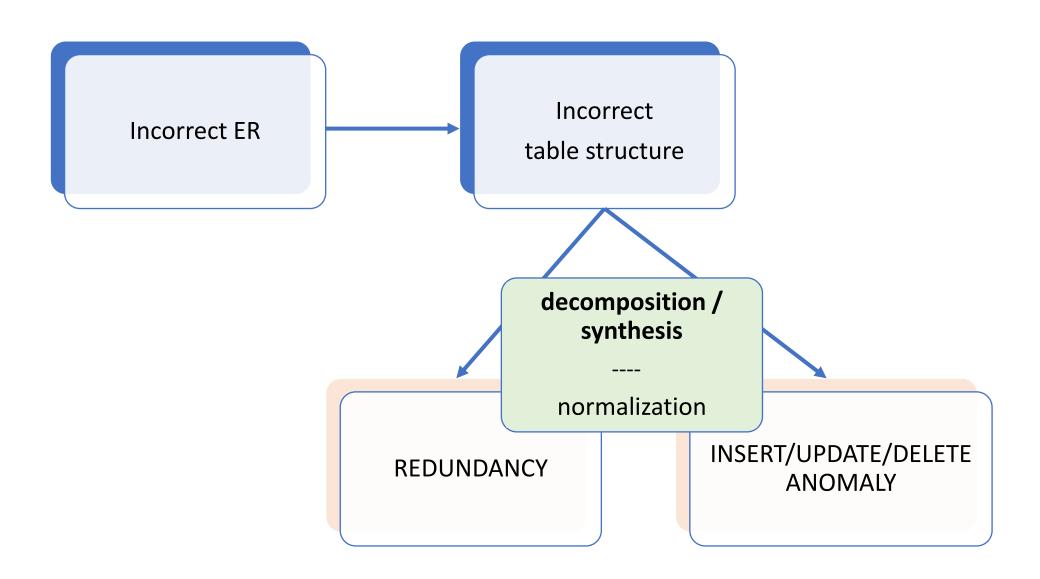
**COURSE 5: Databases** 

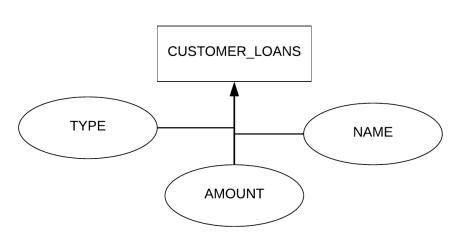
when and why

- Informal:
  - Organize data in a relational database in order to avoid redundancy and data manipulation anomalies.
  - Decompose a relation (table) without loosing information.



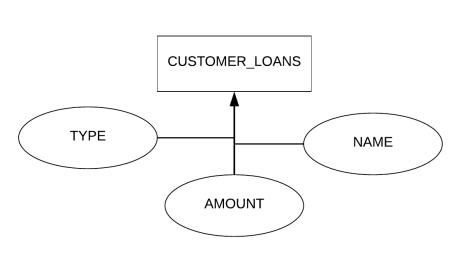


#### Avoid redundancy



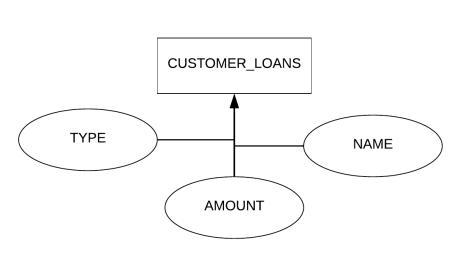
CUSTOMER_ID	NAME	LOAN_ID	ТҮРЕ	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
2	Green	127	mortgage	20000
3	Avery	389	mortgage	75000
3	Avery	486	credit card	5000
3	Avery	769	mortgage	45000

• INSERT anomaly: insert a new customer



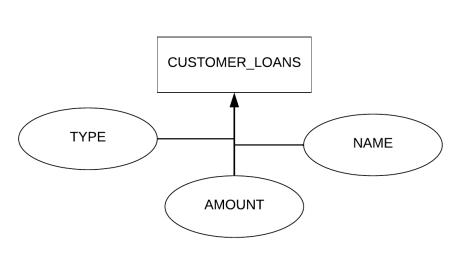
CUSTOMER_ID	NAME	LOAN_ID	ТҮРЕ	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
2	Green	127	mortgage	20000
3	Avery	389	mortgage	75000
3	Avery	486	credit card	5000
4	Stark	???	null	null

• UPDATE anomaly: update customer name



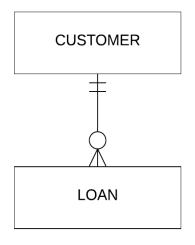
CUSTOMER_ID	NAME	LOAN_ID	ТҮРЕ	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
2	Green	127	mortgage	20000
3	Avery	389	mortgage	75000
3	Avery	486	credit card	5000
3	Avery	769	mortgage	45000

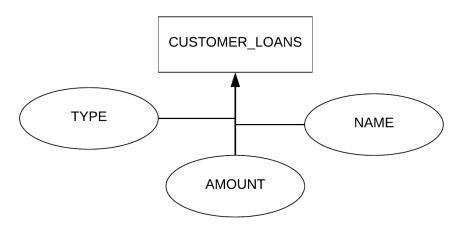
• DELETE anomaly: delete loan



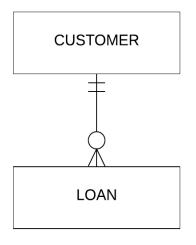
CUSTOMER_ID	NAME	LOAN_ID	ТҮРЕ	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
2	Green	127	mortgage	20000
3	Avery	389	mortgage	75000
3	Avery	486	credit card	5000
4	Stark	700	mortgage	45000

• Decompose relation



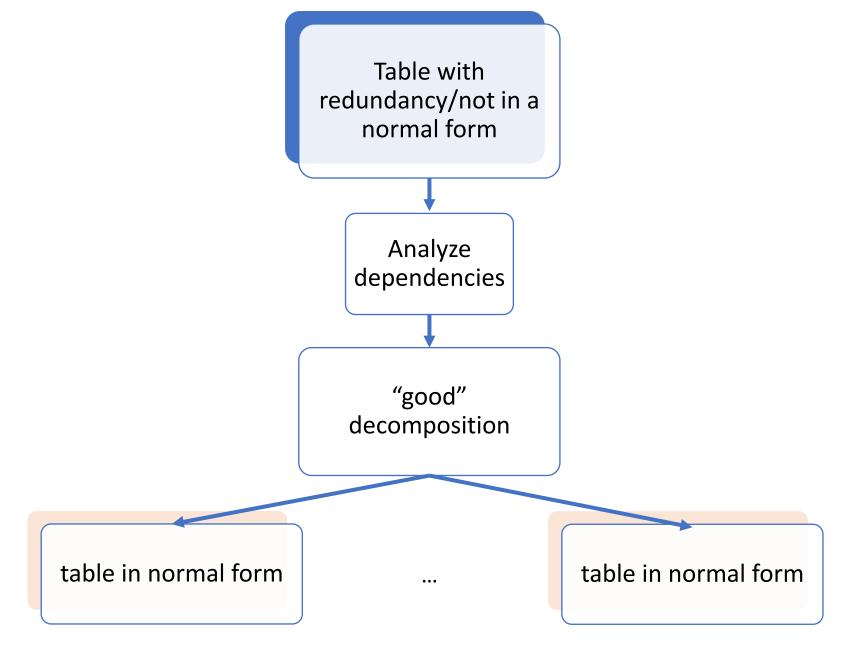


• Decompose relation



CUSTOMER					
CUSTOMER_ID	LAST_NAME		••••		
1	Smith				
2	Green				
3	Avery				

LOAN					
LOAN_ID	CUSTOMER_ID	AMOUNT	DATE		
101	1	125000	18/04/21		
102	1	25000	14/04/22		
103	2	12500	03/05/21		
127	2	20000	•••		
389	3	75000	•••		



# Decomposition

#### Decomposition Step 1: Projection

$$\gt S_1 = \prod_{(NAME, LOANID, TYPE, AMOUNT)} R$$

CUSTOMER_ID	NAME	LOAN_ID	TYPE	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
3	Smith	389	mortgage	75000

NAME	LOAN_ID	ТҮРЕ	AMOUNT
Smith	101	mortgage	125000
Smith	102	credit card	25000
Green	103	credit card	12500
Smith	389	mortgage	75000

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

$$> S_2 = \prod_{(CUSTOMER\_ID, NAME)} R$$

### Decomposition Step 2: Join

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

NAME	LOAN_ID	ТҮРЕ	AMOUNT
Smith	101	mortgage	125000
Smith	102	credit card	25000
Green	103	credit card	12500
Smith	389	mortgage	75000

• Lossy decomposition  $S_1 \bowtie S_2 \supseteq R$ 

CUSTOMER_ID	NAME	LOAN_ID	TYPE	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
1	Smith	389	mortgage	75000
3	Smith	101	mortgage	125000
3	Smith	102	credit card	25000
3	Smith	389	mortgage	75000
2	Green	103	credit card	12500

### Decomposition Step 1: Projection

$$\triangleright S_1 = \prod_{(CUSTOMERID, LOANID, TYPE, AMOUNT)}$$

CUSTOMER_ID	NAME	LOAN_ID	TYPE	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
2	Green	103	credit card	12500
3	Smith	389	mortgage	75000

	CUSTOMER_ID	LOAN_ID	ТҮРЕ	AMOUNT
)	1	101	mortgage	125000
	1	102	credit card	25000
	2	103	credit card	12500
	3	389	mortgage	75000

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

$$\triangleright S_2 = \prod_{(CUSTOMERID, NAME)} R$$

### Decomposition Step 2: Join

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

CUSTOMER_ID	LOAN_ID	ТҮРЕ	AMOUNT
1	101	mortgage	125000
1	102	credit card	25000
2	103	credit card	12500
3	389	mortgage	75000

• Lossless decomposition  $S_1 \bowtie S_2 = R$ 

CUSTOMER_ID	NAME	LOAN_ID	TYPE	AMOUNT
1	Smith	101	mortgage	125000
1	Smith	102	credit card	25000
3	Smith	389	mortgage	75000
2	Green	103	credit card	12500

### Decomposition

• lossy decompositions and lossless decompositions.

• Lossy:  $R \rightarrow decompose(R)$ : S1, S2  $\rightarrow$  recompose(S1,S2)  $\blacksquare$  R

lossy =/= less data, (less is more!)
lossy = lost information

• Lossless R  $\rightarrow$  decompose(R): S1, S2  $\rightarrow$  recompose(S1,S2) = R

### Decomposition

Lossy

$$\prod_{R_1} R \bowtie \prod_{R_2} R \supseteq R$$

Lossless

$$\prod_{R1} R \bowtie \prod_{R2} R = R$$

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

X	Υ	Z	Т
X1	Y1	Z1	T1
X1	Y2	Z1	T2
X2	Y2	Z2	T2
X2	Y3	Z2	T3
Х3	Y3	Z2	T4

- CUSTOMER\_ID → NAME
- $X \rightarrow Z$
- $Z \nrightarrow T$ ,  $(Y,Z) \nrightarrow X$
- $\bullet \ \mathsf{X} \to \mathsf{X}$

U → V functional dependency:
 every value of U uniquely
 determines the value of V
 U determinant, V dependent

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

X	Υ	Z	Т
X1	Y1	Z1	T1
X1	Y2	Z1	T2
X2	Y2	Z2	T2
X2	Y3	Z2	T3
Х3	Y3	Z2	T4

- CUSTOMER\_ID → CUSTOMER\_ID
- $(X, Y) \rightarrow (X, Y)$
- $Z \rightarrow Z$
- $(Z,T) \rightarrow T$

$$U \rightarrow V$$
 trivial dependency:

$$V \subseteq U$$

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

X	Υ	Z	Т
X1	Y1	Z1	T1
X1	Y2	Z1	T2
X2	Y2	Z2	T2
X2	Y3	Z2	T3
Х3	Y3	Z2	T4

- CUSTOMER\_ID -> NAME
- $(X, Y) \rightarrow (T)$

$$U \rightarrow V$$
 non-trivial dependency:  
 $V \nsubseteq U$ 

CUSTOMER_ID	NAME
1	Smith
2	Green
3	Smith

•	<b>CUSTOMER</b>	ID -> NAME

- $(X, Y) \rightarrow T$
- X →T
- Y → T

Х	Υ	Z	Т
X1	Y1	Z1	T1
X1	Y2	Z1	T2
X2	Y2	Z2	T2
X2	Y3	Z2	T3
Х3	Y3	Z2	T4

U → V fully-functional dependency:

$$U' \subseteq U \Rightarrow U' \rightarrow V$$

#### Functional dependencies properties

- Reflexive if  $V \subseteq U$  then  $U \rightarrow V$
- Transitivity  $U \rightarrow V$  and  $V \rightarrow W$ , then  $U \rightarrow W$
- Augmentation

- $X \rightarrow Y$  then  $X \cup Z \rightarrow Y \cup Z$
- $X \rightarrow Y$  then  $X \cup Z \rightarrow Y$
- $X \rightarrow Y$  and  $X \subseteq Z$ , then  $Z \rightarrow Y$
- $X \rightarrow Y$  and  $W \subseteq Z$ , then  $X \cup Z \rightarrow Y \cup W$

# Normal Forms

NF1 NF2 NF3 BCNF NF4 NF5

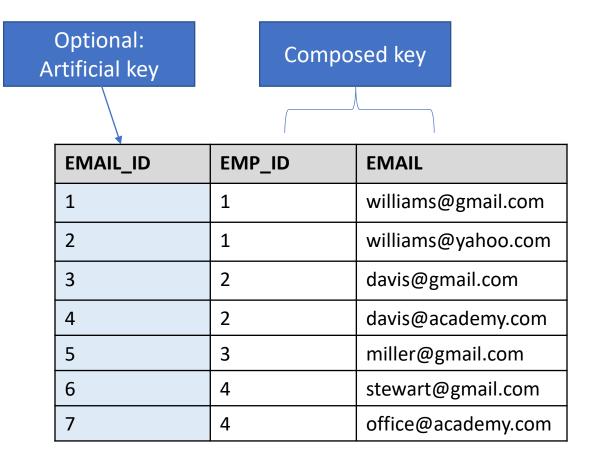
ATOMIC ATTRIBUTES

- Atomic attributes
- No multi-valued attributes

• The domain of each attribute contains only atomic values and each attribute contains only a value of its domain.

• A relational database is at least in NF1

EMP_ID	NAME	EMAIL
1	Williams	williams@gmail.com williams@yahoo.com
2	Davis	davis@gmail.com davis@academy.com
3	Miller	miller@gmail.com
4	Stewart	stewart@gmail.com office@academy.com



NO PARTIAL DEPENDENCIES

- Tables in NF1
- No non-key attributes (not part of the key) that depend on a subset of the attributes forming the key.

There are no partial dependencies.

Х	Υ	Z	Т
X1	Y1	<b>Z1</b>	T1
X2	Y1	Z1	T2
X2	Y2	Z2	Т3
X2	Y3	Z2	Т3
X2	Y3	Z2	T3

Х	Υ	Z	Т
X1	<b>Y1</b>	Z1	•••
X2	<b>Y1</b>	Z1	
X2	<b>Y2</b>	Z2	
X2	<b>Y3</b>	Z2	•••
X2	<b>Y3</b>	Z2	•••

- partial  $(X,Y) \rightarrow Z$ 
  - $Y \rightarrow Z$

Х	Υ	Z	T
X1	Y1	•••	T1
X2	Y1	•••	T2
X2	Y2	•••	T3
X2	Y3	•••	T3
X2	Y3	•••	T3

- total  $(X,Y) \rightarrow T$ 
  - X → T
  - Y → T

Х	Υ	Z	Т
	<b>Y1</b>		T1
	<b>Y1</b>	•••	T2
	•••	•••	•••
•••	•••	•••	•••
	•••		

Х	Υ	Z	Т
	•••	•••	•••
X2	•••		T2
X2			T3
	•••	•••	•••

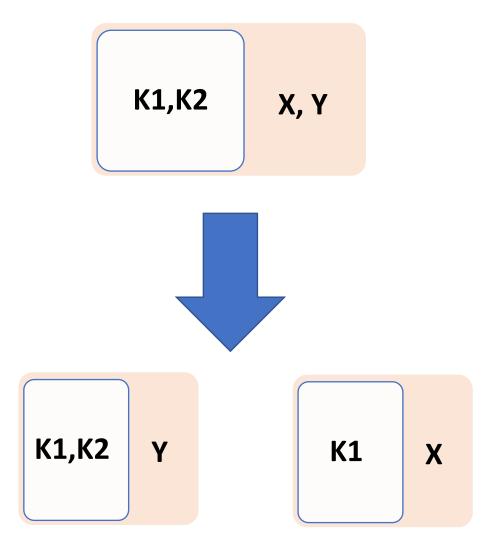
AIRPORT_ID	AIRPLANE_ID	DEPARTURE	AIRPLANE_MODEL	BOARDING_GATE
1	101	30/03/20 17:00	Boeing 777	42
1	102	02/05/20 09:30	Airbus A320	50
2	201	06/08/20 10:45	Boeing 757	35
2	202	10/10/20 06:20	Airbus A320	10
1	101	06/04/20 16:35	Boeing 777	23



dependencies

K1 -> X

(K1, K2) -> Y



dependencies

K1 -> X

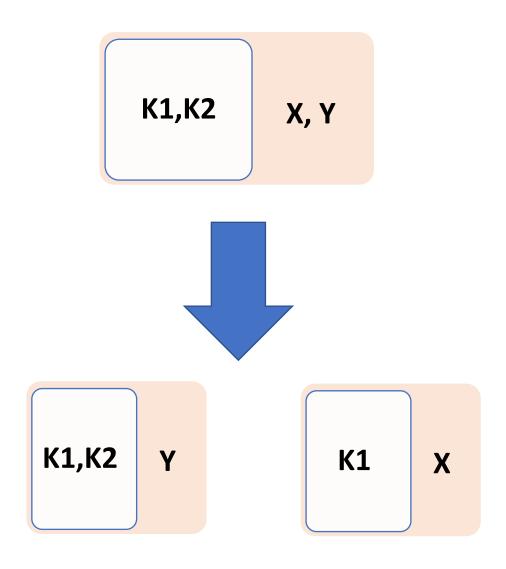
(K1, K2) -> Y

K1 = AIRPLANE\_ID

K2 = AIRPORT\_ID, DEPARTURE

Y = BOARDING\_GATE

X = AIRPLANE\_MODEL



AIRPORT_ID	AIRPLANE_ID	DEPARTURE	AIRPLANE_MODEL	BOARDING_GATE
1	101	30/03/20 17:00	Boeing 777	42
1	102	02/05/20 09:30	Airbus A320	50
2	201	06/08/20 10:45	Boeing 757	35
2	202	10/10/20 06:20	Airbus A320	10
1	101	06/04/20 16:35	Boeing 777	23

AIRPORT_ID	AIRPLANE_ID	DEPARTURE	BOARDING_GATE
1	101	30/03/20 17:00	42
1	102	02/05/20 09:30	50
2	201	06/08/20 10:45	35
2	202	10/10/20 06:20	10
1	101	06/04/20 16:35	23

AIRPLANE_ID	AIRPLANE_MODEL
101	Boeing 777
102	Airbus A320
201	Boeing 757
202	Airbus A320

SCORES(PLAYER\_ID, TOURNAMENT\_ID, SCOR, PLAYER\_NAME, RANK)

BILL(BILL\_ID, CLIENT\_ID, CLIENT\_NAME, CLIENT\_PHONES, PRODUCT\_ID, PRODUCT\_NAME, PROD\_CAT\_ID, PROD\_CAT\_NAME, QUANTITY)

RENT(CLIENT\_ID, RENT\_DATE, CAR\_ID, CAR\_MODEL, DISCOUNT, PRICE)

RENT\_DATE → DISCOUNT

RESERVATION(CUSTOMER\_ID, HOTEL\_ID, CHECKIN\_DATE, HOTEL\_CITY, CUSTOMER\_NAME)

k1 -> X

(K1, K2) -> Y total

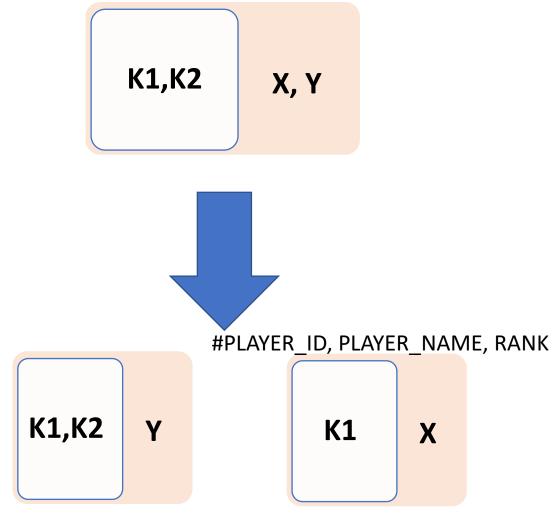
SCORES(#PLAYER\_ID, #TOURNAMENT\_ID, SCOR, PLAYER\_NAME, RANK)

K1 = PLAYER\_ID

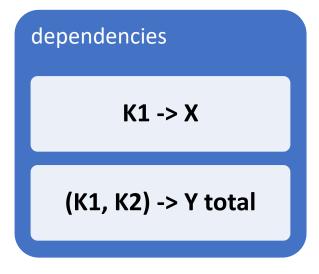
K2 = TOURNAMENT\_ID

Y = SCOR

X = PLAYER\_NAME, RANK

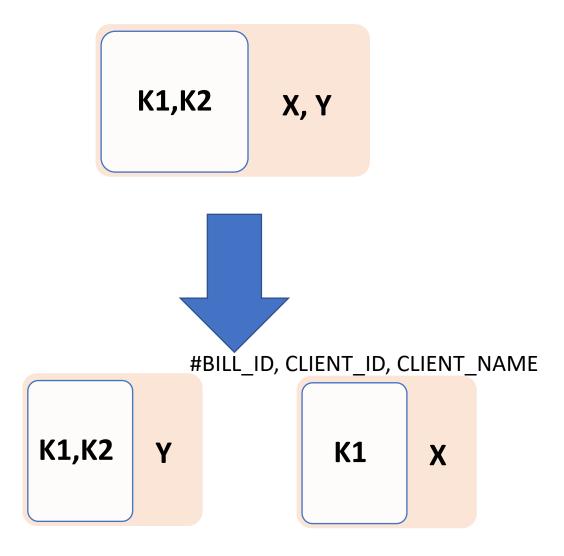


#PLAYER\_ID, #TOURNAMENT\_ID, SCOR



BILL\_PROD(#BILL\_ID, CLIENT\_ID, CLIENT\_NAME, #PRODUCT\_ID, PRODUCT\_NAME, PROD\_CAT\_ID, PROD\_CAT\_NAME, QUANTITY)

K1 = BILL\_ID
K2 = PRODUCT\_ID
Y = PRODUCT\_NAME,PROD\_CAT\_ID,
PROD\_CAT\_NAME, QUANTITY
X = CLIENT\_NAME, CLIENT\_ID



#BILL\_ID, #PRODUCT\_ID, PRODUCT\_NAME, PROD\_CATE\_ID, PROD\_CAT\_NAME, QUANTITY

dependencies

K1 -> X

(K1, K2) -> Y total

K1,K2

X, Y

\_NAME, PROD\_CATE\_ID, PROD\_CAT\_NAME,

#BILL\_ID, #PRODUCT\_ID, PRODUCT\_NAME, PROD\_CATE\_ID, PROD\_CAT\_NAME, QUANTITY

K1 = PRODUCT\_ID

 $K2 = BILL_ID$ 

Y = QTE

X = PRODUCT\_NAME, PROD\_CAT\_ID,

PROD\_CAT\_NAME

K1,K2

Y

**K1** 

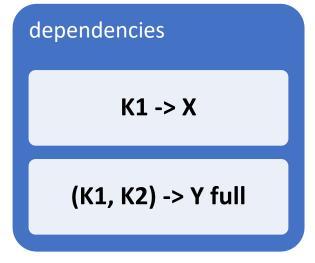
X

#BILL\_ID, #PRODUCT\_ID,QTE

#BILL\_ID, CLIENT\_ID, CLIENT\_NAME

Databases C6: Normal Forms

#PRODUCT\_ID,
PRODUCT\_NAME,PROD\_CATE\_ID,
PROD\_CAT\_NAME



K1,K2 X, Y



RENT(#CLIENT\_ID, #RENT\_DATE, CAR\_ID, CAR\_MODEL, DISCOUNT, PRICE)

 $\mathsf{RENT\_DATE} \to \mathsf{DISCOUNT}$ 

CLIENT\_ID, RENT\_DATE  $\rightarrow$  CAR\_ID, CAR\_MODEL, PRICE

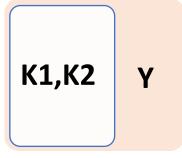
CAR\_MODEL -> PRICE

K1 = RENT\_DATE

K2 = CLIENT\_ID, RENT\_DATE

Y = CAR\_ID, CAR\_MODEL, PRICE

X = DISCOUNT



K1 X

#CLIENT\_ID, #RENT\_DATE, CAR\_ID, CAR MODEL, PRICE

#RENT\_DATE, DISCOUNT

NO TRANSITIVE DEPENDENCIES

- Tables in NF2
- Non-key attributes (not part of the key) depend on the entire key and only on the key.

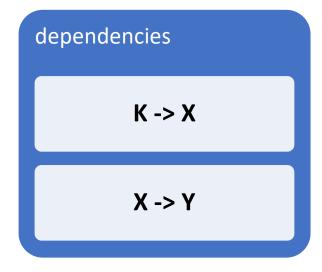
There are no transitive dependencies.

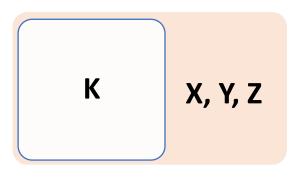
AIRPORT_ID	AIRPLANE_ID	DEPARTURE	MODEL	CAPACITY	REVISION_DATE	BOARDING_GATE
1	101	30/03/20 17:00	Boeing 777	451	01/01/2021	42
1	102	02/05/20 09:30	Airbus A320	150	01/03/2020	50
2	201	06/08/20 10:45	Boeing 757	295	03/05/2020	35
2	202	10/10/20 06:20	Airbus A320	150	04/06/2021	10
1	101	06/04/20 16:35	Boeing 777	451	08/09/2020	23

AIRPORT_ID	AIRPLANE_ID	DEPARTURE	BOARDING_GATE
1	101	30/03/20 17:00	42
1	102	02/05/20 09:30	50
2	201	06/08/20 10:45	35
2	202	10/10/20 06:20	10
1	101	06/04/20 16:35	23

AIRPLANE_ID	MODEL	CAPACITY	REVISION_DATE
101	Boeing 777	451	01/01/2021
102	Airbus A320	150	01/03/2020
201	Boeing 757	259	03/05/2020
202	Airbus A320	150	04/06/2021

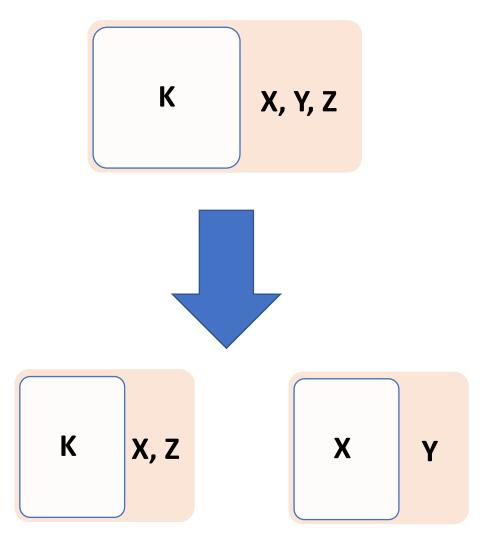
AIRPLANE_ID	MODEL	CAPACITY	REVISION_DATE
101	Boeing 777	451	01/01/2021
102	Airbus A320	150	01/03/2020
201	Boeing 757	259	03/05/2020
202	Airbus A320	150	04/06/2021





K -> X

X -> Y



dependencies

K -> X

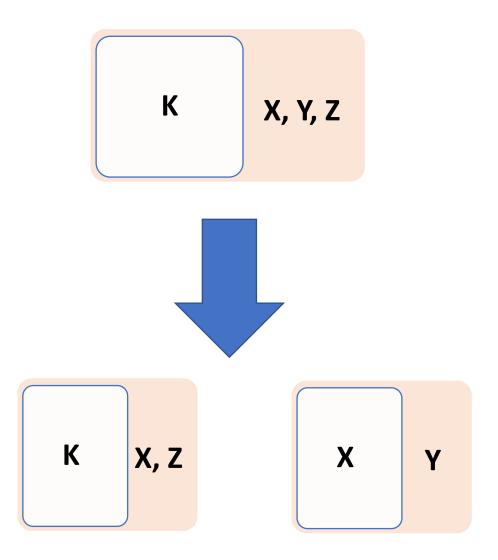
X -> Y

K = AIRPLANE\_ID

X = AIRPLANE\_MODEL

Y = CAPACITY

Z= REVISION\_DATE



AIRPLANE_ID	MODEL	CAPACITY	REVISION_DATE
101	Boeing 777	451	01/01/2021
102	Airbus A320	150	01/03/2020
201	Boeing 757	259	03/05/2020
202	Airbus A320	150	04/06/2021

AIRPLANE_ID	REVISION_DATE
101	01/01/2021
102	01/03/2020
201	03/05/2020
202	04/06/2021

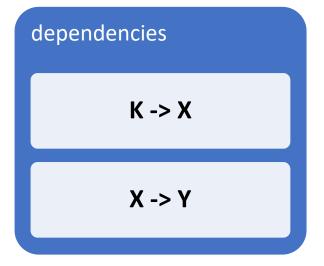
MODEL	CAPACITY
Boeing 777	451
Airbus A320	150
Boeing 757	259

PAYMENTS(EMPLOYEE\_ID, JOB\_ID, SALARY, JOB\_BONUS)

AWARD(YEAR, CATEGORY, WINNER, WINNER\_NATIONALITY, CEREMONY\_DATE, CEREMONY\_LOCATION)

EXAMS(TEST\_ID, STUDENT\_ID, SUBJECT\_ID, S\_CREDITS, GRADE, CREDITS)

INSURANCE(CLIENT\_ID, AUTO\_ID, TYPE, PRICE, AUTO\_NO, DATE, CLIENT\_NAME)



PAYMENTS(EMPLOYEE\_ID, JOB\_ID, SALARY, JOB\_BONUS)

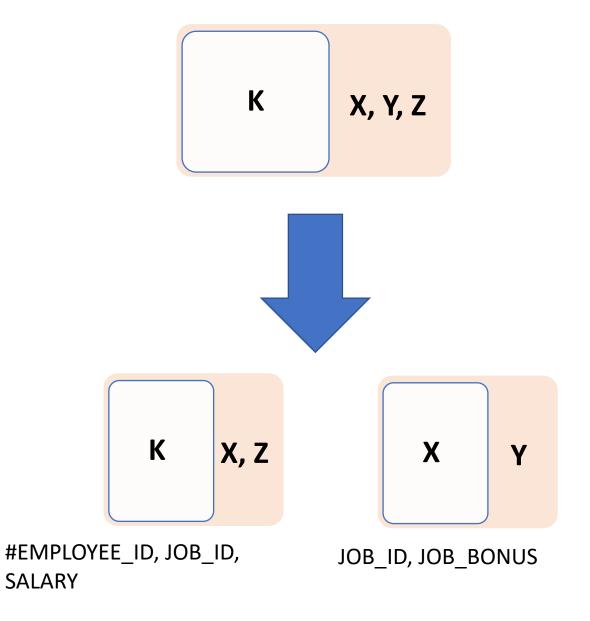
JOB\_ID -> JOB\_BONUS

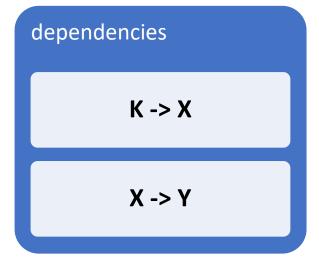
K = EMPLOYEE\_ID

 $X = JOB_ID$ 

Y = JOB\_BONUS

Z= SALARY





AWARD(#YEAR, #CATEGORY, WINNER, WINNER\_NATIONALITY, CEREMONY\_DATE, CEREMONY\_LOCATION)

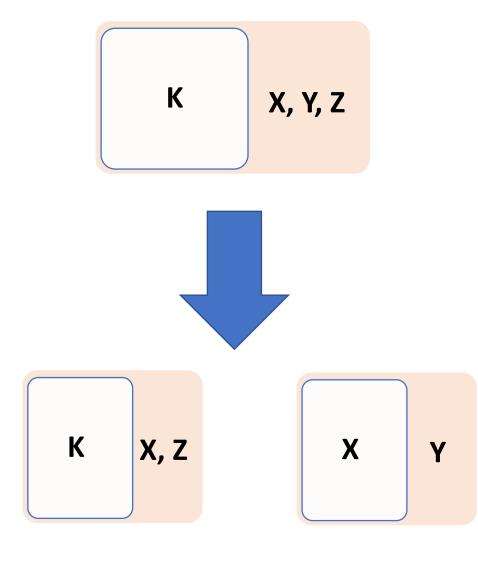
YEAR - > CEREMONY\_DATE
YEAR -> CEREMONY\_LOCATION
(YEAR, CATEGORY) -> WINNER ....

K =

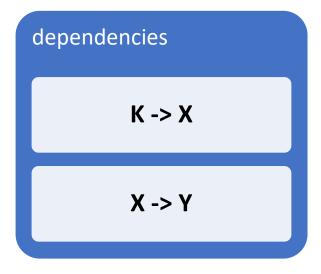
X =

**Y** =

Z=



#



AWARD(#YEAR, #CATEGORY, WINNER, WINNER\_NATIONALITY, CEREMONY\_DATE, CEREMONY\_LOCATION)

(YEAR, CATEGORY) -> WINNER -> WINNER\_NATIONALITY

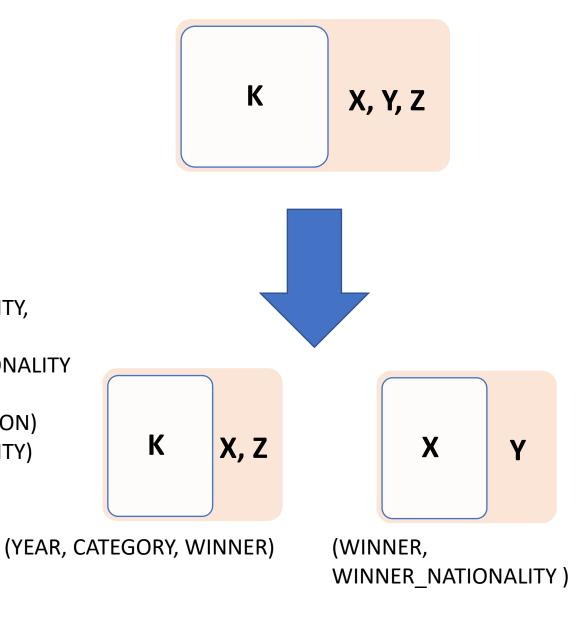
CEREMONY(#YEAR, CEREMONY\_DATE, CEREMONY\_LOCATION)
AWARD(#YEAR, #CATEGORY, WINNER, WINNER\_NATIONALITY)

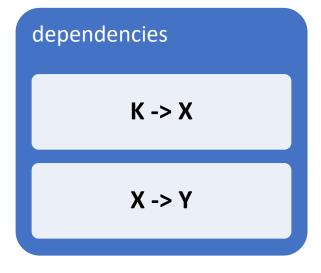
K = (YEAR, CATEGORY)

X = WINNER

Y = WINNER\_NATIONALITY

**Z**=





K X, Y, Z



EXAMS( #TEST\_ID, #STUDENT\_ID, SUBJECT\_ID, S\_CREDITS, GRADE, CREDITS, DATE)

```
SUBJECT_ID -> S_CREDITS

TEST_ID -> SUBJECT_ID

#TEST_ID, #STUDENT_ID -> GRADE

#TEST_ID, #STUDENT_ID -> CREDITS

#TEST_ID, #STUDENT_ID -> SUBJECT_ID
```

K X, Z

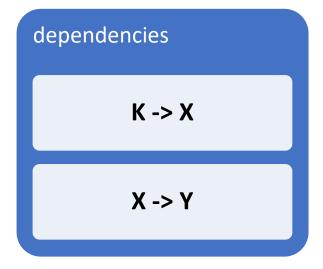
Х

K =

X =

Y =

**Z=** 



```
EXAMS( #TEST_ID, #STUDENT_ID, GRADE, CREDITS, DATE)

TEST(#TEST_ID, SUBJECT_ID, S_CREDITS)

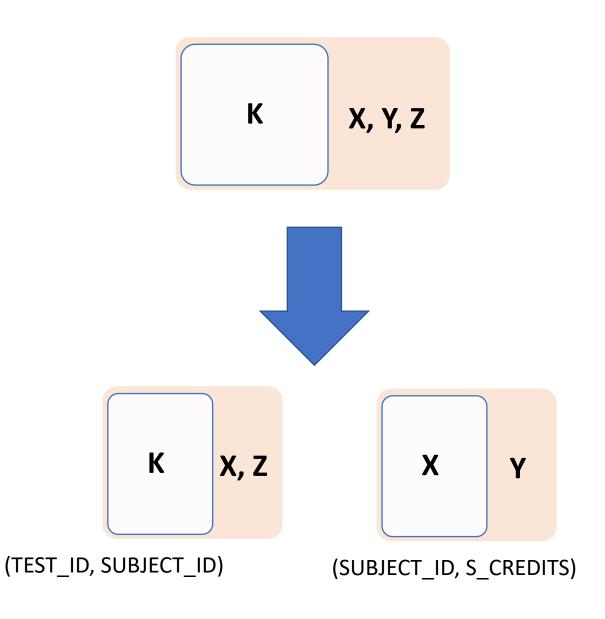
SUBJECT_ID -> S_CREDITS TEST_ID -> SUBJECT_ID

#TEST_ID, #STUDENT_ID -> GRADE

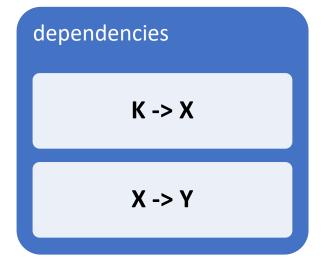
#TEST_ID, #STUDENT_ID -> CREDITS

#TEST_ID, #STUDENT_ID -> SUBJECT_ID
```

K = TEST\_ID
X = SUBJECT\_ID
Y =
Z = S\_CREDITS



Databases C6: Normal Forms



EXAMS( #TEST\_ID, #STUDENT\_ID, GRADE, CREDITS, DATE)

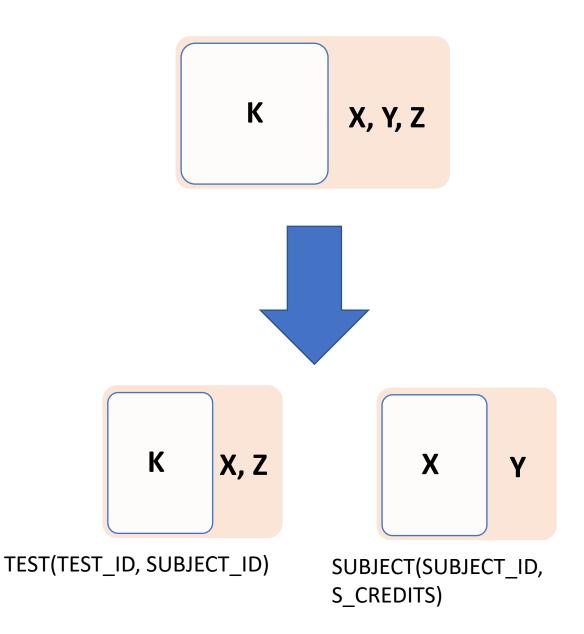
```
SUBJECT_ID -> S_CREDITS TEST_ID -> SUBJECT_ID

#TEST_ID, #STUDENT_ID -> GRADE

#TEST_ID, #STUDENT_ID -> CREDITS

#TEST_ID, #STUDENT_ID -> SUBJECT_ID
```

K = TEST\_ID
X = SUBJECT\_ID
Y =
Z = S\_CREDITS

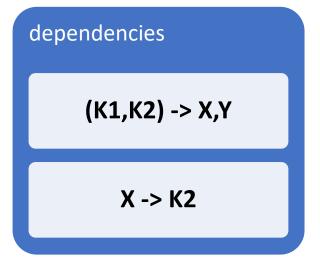


Databases C6: Normal Forms

ALL DETERMINANT ARE CANDIDATE KEYS

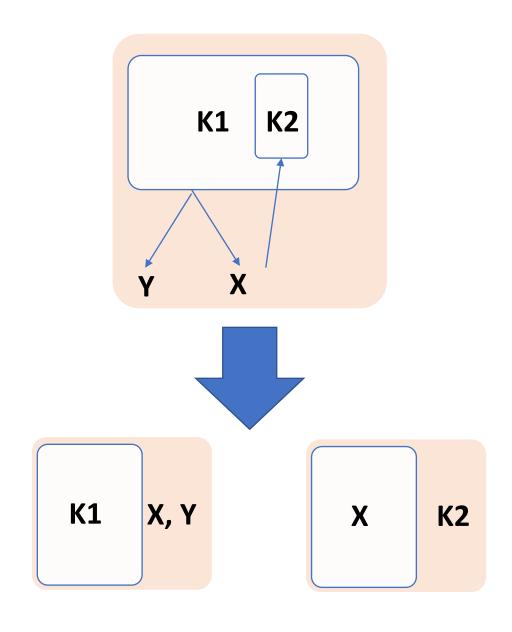
- Tables in NF3
- For any functional dependency U → V, U is a candidate key.

All determinants are candidate keys.



MANUFACTURING(CAR, STEP, STATION, TIME)
STATION -> STEP
(CAR, STEP) -> STATION
(CAR, STEP) -> TIME

K1 = CAR K2 = STEP X = STATION Y= TIME

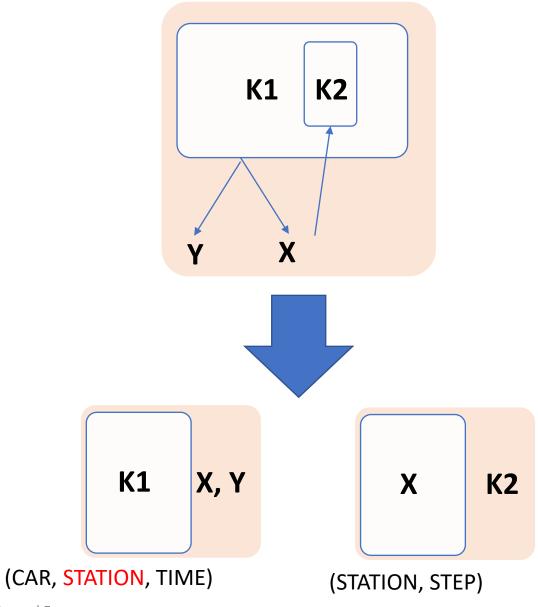


(K1,K2) -> X,Y

X -> K2

MANUFACTURING(CAR, STEP, STATION, TIME)
STATION -> STEP
(CAR, STEP) -> STATION
(CAR, STEP) -> TIME

K1 = CAR K2 = STEP X = STATION Y= TIME



Databases C6: Normal Forms

(K1,K2) -> X,Y

X -> K2



--fiecare utilizator isi allege un produs preferat pentru o categorie,

--un utilizator alege un unic produs pentru o categorie

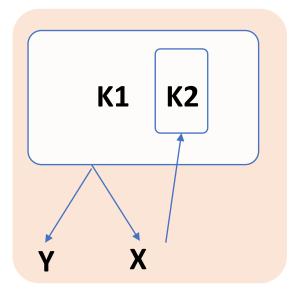
K1 = USER
K2 = PROD\_CATEGORY
X = WIN\_PROD
Y=

(USER, WIN\_PROD , !!!
PROD\_CATEGORY)

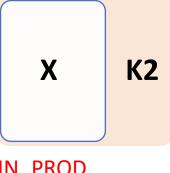
Databases C6: Normal Forms

**K1** 

X, Y







(WIN\_PROD, PROD\_CATEGORY)