

# Theory of Automata

Languages	
Formal	Informal
Syntactic	Semantic
↳ structure	
↳ logic focused	

ALGOL : has 113 letters

automata: something that works automatically

## Strings

concatenation of finite symbols from alphabet  
 $\Sigma = \{a, b\} \rightarrow a, ab, aaab, \dots$

## Length of string ( $|s|$ )

↳ no of letters  
 $\Sigma = \{B, aB, bab, d\}$   
 $s = \underline{B} \underline{aB} \underline{bab} \underline{Bd}$   
 $|s| = 5$

## Empty/NULL string ( $\lambda / \emptyset$ )

### Alphabets ( $\Sigma$ )

- ↳ finite non empty set of symbols
- ↳ letters, digits, GOTO, IF, operators
- ↳  $\Sigma = \{a, b\}$

### Defining alphabet rule

- no letter should be prefix of another
- $\Sigma_1 = \{\underline{B}, ab, bab, d\}$
- $\Sigma_2 = \{\underline{B}, Ba, bab, d\}$  → invalid  
 invalid as both start with same element

## words

↳ strings belonging to language

- ↳ if ✓
- ↳ iff ✗

$L = \{x^n : n = 1, 2, 3, \dots\}$   
 $\downarrow$   
 concatenation

## Reverse of string ( $\text{rev}(s) / s^r$ )

↳ reverse words order → not letter  
 $s = \underline{B} \underline{aB} \underline{bab} \underline{Bd}$   
 $\text{rev}(s) = \underline{d} \underline{B} \underline{bab} \underline{aB} \underline{B}$

## Concatenations

↳  $w^n = \overbrace{ww\dots w}^n$   
 $abba^0 = \underline{abba} \underline{abba}$   
 $w^0 = \lambda \rightarrow \text{NULL/EMPTY string}$   
 $abba^0 = \lambda$

## + operation

- all possible strings from alphabet
- all possible combinations
- includes null too
- $\Sigma = \{a, b\}$
- $\Sigma^* = \{\lambda, a, b, aa, bb, ab, ba, \dots\}$

## + operation

- all possible combinations
- doesnt include null too
- $\Sigma = \{a, b\}$
- $\Sigma^* = \{a, b, aa, bb, ab, ba, \dots\}$

language alphabet  
 any subset of  $\Sigma^*$   
 $\{\lambda\} \rightarrow \text{diff language}$   
 $\{a, aa, aab\} \rightarrow \text{diff language}$   
 ↳ words

Sets  $\emptyset = \{\} \neq \{\lambda\}$

- set size=0
- string length=0
- set size=1

$L = \{a^n b^n : n \geq 0\}$

- exactly n
- infinite language
- can be zero so null string included
- language where a and b are equal

all words are strings  
 not all strings are words

order doesn't matter in sets

## Set Operations

$A = \{a, ab, aaaa\}$ ,  $B = \{bb, ab\}$

• Union ' $\cup$ ' = combines

$\hookrightarrow A \cup B = \{a, ab, aaaa, bb\}$

• Intersection ' $\cap$ ' = common

$\hookrightarrow A \cap B = \{ab\}$

• Complement ' $\overline{\text{set}}$ ' = opposite

$\hookrightarrow \overline{A} = \Sigma^* - A$

$\hookrightarrow \{\overline{a}, \overline{ba}\} = \lambda, b, ab, aa, bb, aaaa\}$

• Difference 'setA - setB' = remove all elements of setB from set A

$\hookrightarrow A - B = \{a, aaaa\}$

## STAR CLOSURE (Kleene)

$\hookrightarrow L^* = L^0 \cup L^1 \cup L^2 \dots$

## POSITIVE CLOSURE

$\hookrightarrow L^+ = L^0 \cup L^1 \cup L^2 \dots$

doesnt include  $\lambda$

## REGULAR EXPRESSIONS

: generator of language

$\hookrightarrow (a+b+c)^* = \{\lambda, a, bc, abc, bca, aa, \dots\}$

$\hookrightarrow a^* = aa^* = \{a, aa, \dots\}$

$\hookrightarrow (ab)^* = \{\lambda, ab, abab, \dots\}$  → can be used for even  
b never comes first except when a is  $\lambda$

$\hookrightarrow (a'b)^* = \{\lambda, a, aa, b, bb, aab, abb\}$

$\hookrightarrow (a+b)^* = \{\lambda, a, b, ba, ab, aa, bb, \dots\}$  → all a and b

$\hookrightarrow (b^*a^*) = \{a, bab, ba, ab, bbab\}$  → only 1 a exists

$\hookrightarrow (ab^*) = \{a, ab, abb\}$  → only 1 a but at the start  
choose one time since no star outside

$\hookrightarrow (b^*a + ba^*) = \{a, b, bba, baa\}$

$\hookrightarrow (ab)^* = \{abab\}$

$\hookrightarrow ((ab)(a+b))^* = \{\lambda, aa, ab, ba, bb\}$  → all possible even length

a	a	aa, aaa
b	b	ab, abb
a	b	ba, bab
b	a	bb, bbb

$\hookrightarrow ((a+b)^*)^* = \{\lambda, aa, bb, ab, ba, bba, baa, \dots\}$  → all possible even length

$\hookrightarrow (a+b)((a+b)(a+b))^* = \{a, b, aab, \dots\}$  → all possible odd length

$\hookrightarrow (a+b)^*a(a+b)^* = \{a, ab, ba, bba, \dots\}$  → atleast 1 a

$\hookrightarrow (a+b)^3 = (a+b)(a+b)(a+b) = \{aaa, bbb, abab\}$  → exactly 3 length

## Reverse of a language

↳ reverse word by letter → not order

$\hookrightarrow L^R = \{w^R : w \in L\}$ ,  $\Sigma = \{a, b\}$

$\{ab, aab, baba\}^R = \{ba, baa, abab\}$

$\hookrightarrow L = \{a^n b^n : n \geq 0\}$ ,  $L^R = \{b^n a^n : n \geq 0\}$

$\hookrightarrow \Sigma = \{a, \underline{aB}, b\}$

$\{a, \underline{aBb}\}^R = \{a, baB\}$

switch alphabets

## Concatenation of a language

$\hookrightarrow L^r = LL \dots L$

$\{a, b\}^3 = \{a, b\} \{a, b\} \{a, b\} =$

aaa, aab, aba, abb, baa, bab, bba, bbb

$\hookrightarrow L^0 = \{\lambda\}$

↳ special case:

$\{a, bba\}^0 = \{\lambda\}$

## REGULAR EXPRESSION IN UNIX

↳ [digit] = [0-9]

↳ uppercase letters = [A-Z]

↳ [alpha] = [A-Z a-z]

↳ [alnum] = [A-Z a-z 0-9]

Q) 123 A Main St

RE = [0-9]^+ [A-Z]? [A-Z][a-z]\* [A-Z][a-z]^\*

What is question mark

imagine \* as a loop

## Finite automata (FA) : detector of language

$A = \{ Q, \Sigma, \delta, q_0, F \}$  collection of 5 tuples

$Q$  finite set of all states

$\Sigma$  finite set of symbols, called alphabets  $\rightarrow$  inputs

$\delta$  transition function

$q_0$  initial state

$F$  final state  $\rightarrow$  accepting state cuz finite state

$\Sigma = \{ \lambda, \alpha, \beta, m, lm \} \quad (\lambda + \alpha + m + lm)$

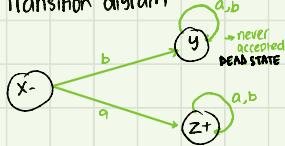
$\hookrightarrow$  automata starting with a

$\hookrightarrow$  Transitions table

states	new state		old state	read	read
	a	b			
X-	z	y			
Y	y	y			
Z+	z	z			

transition function ( $\delta$ )

$\hookrightarrow$  Transition diagram



$\hookrightarrow$  regular expression

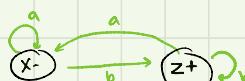
$$a(a+b)^*$$

$\hookrightarrow$  automata ending with b

$\hookrightarrow$  Transitions table

old state	new state		read	read
	a	b		
X-	X	z		
Z+	X	Z		

$\hookrightarrow$  Transition diagram



$\hookrightarrow$  regular expression

$$(a+b)^*b$$

$$Q = \{x, y, z\}$$

$$\Sigma = \{a, b\}$$

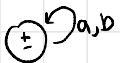
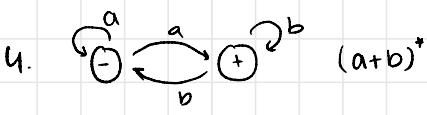
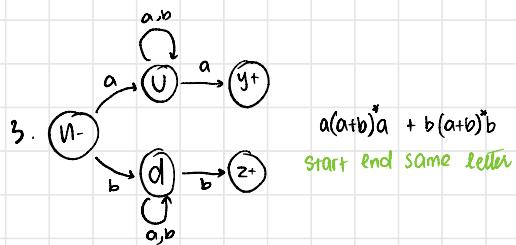
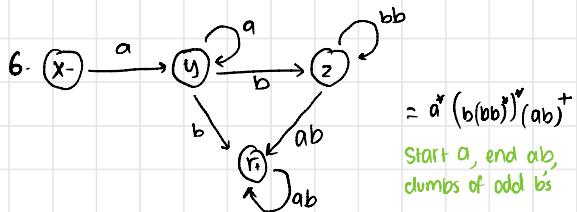
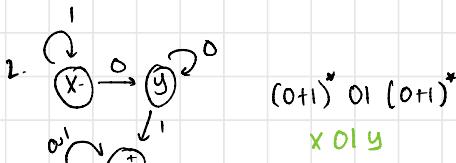
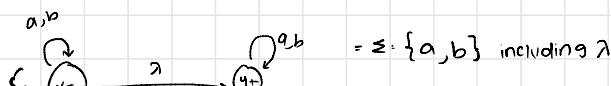
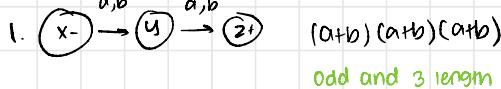
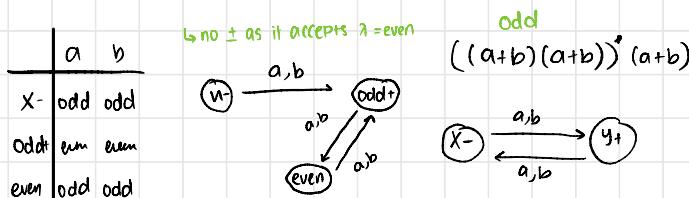
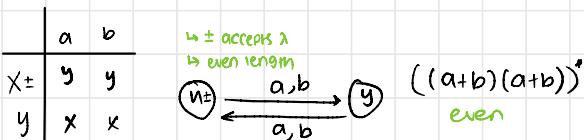
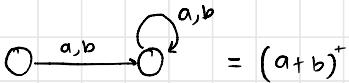
$$q_0 = X$$

$$F = Z$$

$$\delta$$

## Transition Graph

@ rigix



# DFA DETERMINISTIC FINITE AUTOMATA

- ↳ 1 initial state
  - ↳ final state  $\geq 0$
  - ↳ 1 transition of character
  - ↳ every possibility
    - include dead states
  - ↳ no null transition
  - ↳ unique next state

TG

- ↳ initial state  $\text{GO}$
  - ↳ final state  $\text{GO}$  ✓
  - ↳ finite states, letters, transitions
  - ↳ null transitions ✓

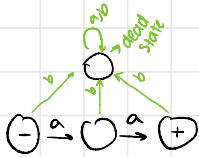
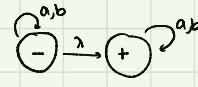
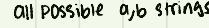
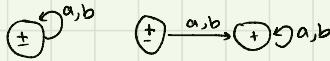
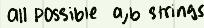
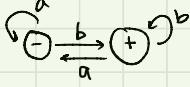
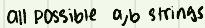
NFA

- NFA** NON DETERMINISTIC FINITE AUTOMATA

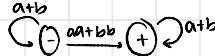
  - ↳ 1 initial state
  - ↳ final state  $\geq 0$
  - ↳ multiple transition of characters
  - ↳ not necessary every possibility
  - ↳ null transition
  - ↳ can be multiple next states

dead states  
not necessary



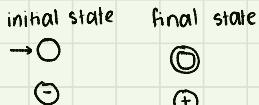


- ↳ TG
  - ↳ regular expressions

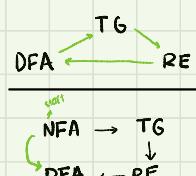


if asked to make FA  $\xrightarrow{\text{NFA}}$   $\xrightarrow{\text{DFA}}$   $\rightarrow$  usually

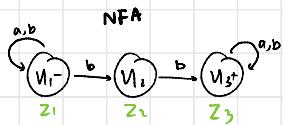
DFA	all string starting with 0	NFA
 Dead state	$0, 1$	



DFA → NFA      DFA → NFA- $\Lambda$   
 NFA → TG      NFA- $\Lambda$  → TG



## NFA TO DFA

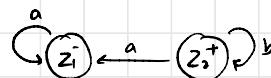
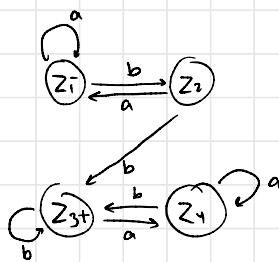


**DFA**

Old states	a	b
$Z_1^- = \{X_1^-\}$	$Z_1^- = X_1^-$	$Z_1^+ = \{X_1^-, X_1\}$ <small>S complement set of states</small>
$Z_2^- = \{X_1, X_2\}$	$Z_2^- = \{X_1, \emptyset\}$ <small>constant final state</small>	$Z_2^+ = \{X_1, X_2, X_3\}$
$Z_3^- = \{X_1, X_2, X_3\}$	$Z_3^- = \{X_1, Y_3\}$	$Z_3^+ = \{X_1, X_2, Y_3\}$
$Z_4^+ = \{X_1, X_2\}$	$Z_4^+ = \{X_1, Y_3\}$	$Z_4^+ = \{X_1, X_2, Y_3\}$

**DFA**

Old states	a	b
$Z_1^- = X_1^-$	$Z_1^- = X_1^-$	$Z_1^+ = \{X_1^-, X_1\}$
$Z_2^- = \{X_1^-, X_2^-\}$	$Z_2^- = X_1^-$	$Z_2^+ = \{X_1^-, X_2^+\}$

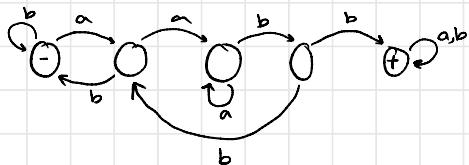


EPSILON  
MISSING

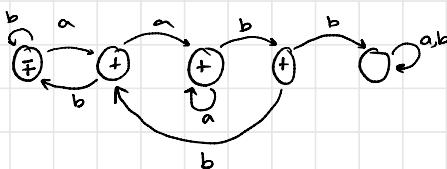
Q) doesn't have aabb DFA

- ↳ first make does have aabb
- ↳ convert final state to non final state
- ↳ convert non final states to final state

exists aabb



Converting states



## GENERALIZED TRANSITION GRAPH (GTG)

- ↳ initial state  $> 0$
- ↳ final state  $\geq 0$
- ↳ finite states, input letters
- ↳ directed edges connecting regular expression states



## NON DETERMINISM

- ↳ TG, GTG

- ↳ There may exist none or more than one path for a certain string

## TG TO GTG CONVERSION

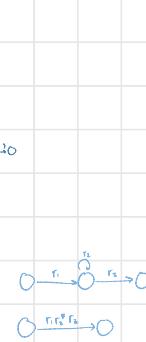
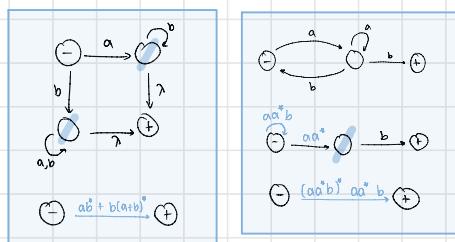
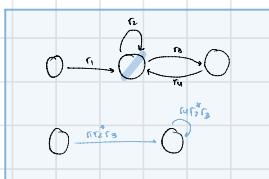
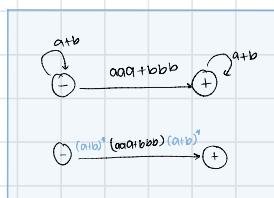
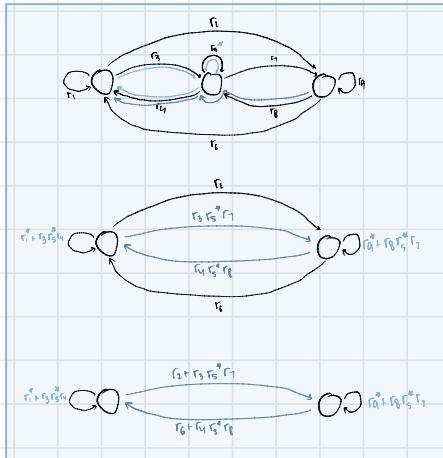
- more than 1 start states = add new start state and connect old states with  $\lambda$
- more than 1 final states = add new final state and connect old states with  $\lambda$
- if a state has two or more edges = convert to 1 transition

$\hookrightarrow 100PS = *$

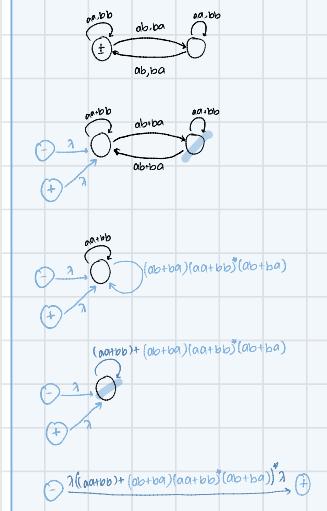
$\hookrightarrow 2 \text{ POSSIBILITIES} = +$

## 4. Bypass and state elimination

- ↳ if 3 states connected in sequence: eliminate mid state and join the other two



- ↳ Since start and final state same
- ↳ and no other final state exists
- add separate start and final state and connect in old state



# KLEENES THEOREMS

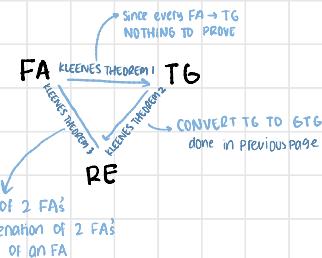
## REGULAR EXPRESSIONS

↳ FA

if can be expressed by one then the other two can be expressed as well

↳ TG

↳ RE



### 1. UNION OF 2 FA's

↳ sum of 2 FA's =  $r_1 + r_2$

↳ make transition table

↳  $r_3$  initial state =  $r_1, r_2$  initial state

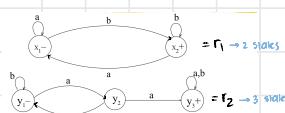
↳  $r_3$  final state =  $r_1, r_2$  final state

↳ take  $z_i \equiv \{r_i \text{ initial}^\pm, r_i \text{ final}^\pm\}$

↳  $r_3$  final =  $r_1$  and  $r_2$  finals

↳ total no of possible states

$$= \text{states of } r_1 \times \text{states of } r_2$$



$$r_3 = r_1 + r_2 \rightarrow 6 \text{ possible states}$$

Old states	a	b
$z_1^- \equiv \{x_1^-, y_1^-\}$	$z_2^- \equiv \{x_1^-, y_1^+\}$	$z_3^- \equiv \{x_1^+, y_1^-\}$
$z_2^- \equiv \{x_1^-, y_1^-\}$	$z_4^- \equiv \{x_1^-, y_1^+\}$	$z_5^- \equiv \{x_1^+, y_1^+\}$
$z_3^- \equiv \{x_1^+, y_1^-\}$	$z_2^- \equiv \{x_1^+, y_1^+\}$	$z_6^- \equiv \{x_1^+, y_1^-\}$
$z_4^- \equiv \{x_1^+, y_1^+\}$	$z_5^- \equiv \{x_1^+, y_1^+\}$	$z_6^- \equiv \{x_1^+, y_1^-\}$
$z_5^- \equiv \{x_1^+, y_1^+\}$	$z_4^- \equiv \{x_1^+, y_1^-\}$	$z_7^- \equiv \{x_1^-, y_1^+\}$
$z_6^- \equiv \{x_1^-, y_1^+\}$	$z_5^- \equiv \{x_1^-, y_1^-\}$	$z_7^- \equiv \{x_1^-, y_1^-\}$

### 2. CONCATENATION OF 2 FA's

↳  $r_1 r_2$

↳ make transition table

↳  $r_3$  initial state =  $r_1$  initial state

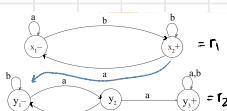
↳  $r_3$  final state =  $r_2$  final state

↳  $r_1$  final state =  $r_2$  initial state

↳ take  $z_i \equiv \{r_i \text{ initial}^\pm\}$

↳  $r_3$  final =  $r_2$  finals

↳  $r_3 = r_1 r_2$



$$r_3 = r_1 r_2$$

### 3. CLOSURE OF AN FA

↳  $r^*$

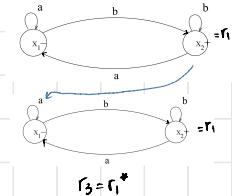
↳ make transition table

↳  $r_3$  initial =  $r_1$  initial  $\pm$

↳  $r_1$  final state =  $r_1$  initial state

↳ take  $z_i \equiv \{r_i \text{ initial}^\pm\}$

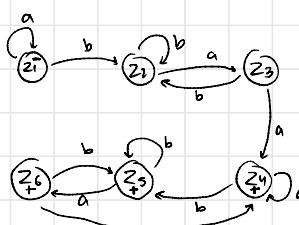
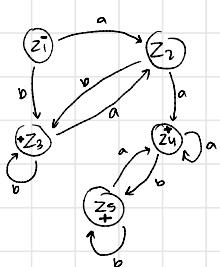
↳  $r_3$  final =  $r_1$  finals



$$r_3 = r_1^*$$

Old states	a	b
$z_1^- \equiv \{x_1^-\}$	$z_1^- \equiv \{x_1^-\}$	$z_2^- \equiv \{x_1^+, y_1^-\}$
$z_2^- \equiv \{x_1^+, y_1^-\}$	$z_3^- \equiv \{x_1^-, y_1^-\}$	$z_2^- \equiv \{x_1^+, y_1^-\}$
$z_3^- \equiv \{x_1^-, y_1^-\}$	$z_4^- \equiv \{x_1^-, y_1^+\}$	$z_2^- \equiv \{x_2^+, y_1^-\}$
$z_4^- \equiv \{x_1^-, y_1^+\}$	$z_4^- \equiv \{x_1^-, y_1^+\}$	$z_5^- \equiv \{x_1^-, y_1^-\}$
$z_5^- \equiv \{x_1^-, y_1^-\}$	$z_6^- \equiv \{x_1^-, y_1^-\}$	$z_5^- \equiv \{x_1^-, y_1^-\}$
$z_6^- \equiv \{x_1^-, y_1^-\}$	$z_6^- \equiv \{x_1^-, y_1^-\}$	$z_6^- \equiv \{x_1^-, y_1^-\}$

Old states	a	b
final $\rightarrow z_i \equiv \{x_i^\pm\}$	$z_2^- \equiv \{x_1^-\}$	$z_3^- \equiv \{x_1^+, x_1^-\}$
non final $\rightarrow z_2^- \equiv \{x_1^-\}$	$z_2^- \equiv \{x_1^-\}$	$z_3^- \equiv \{x_1^+, x_1^-\}$
$z_3^- \equiv \{x_1^+, x_1^-\}$	$z_2^- \equiv \{x_1^-\}$	$z_2^- \equiv \{x_2^-, x_1^-\}$

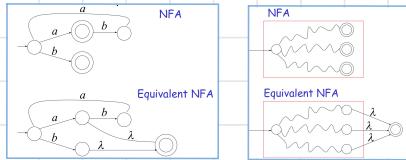


## Properties of Regular Languages

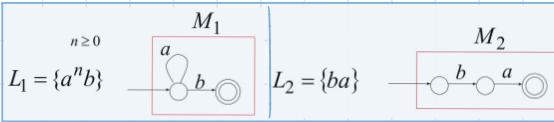
- Union  $L_1 \cup L_2$
- Concatenation  $L_1 L_2$
- Star  $L_1^*$

- Reversal  $L_1^R$
- Complement  $\overline{L_1}$
- Intersection  $L_1 \cap L_2$

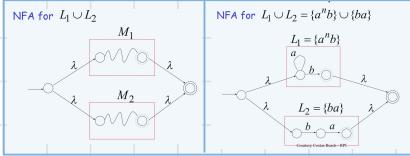
Any NFA converted to equivalent NFA with single final state



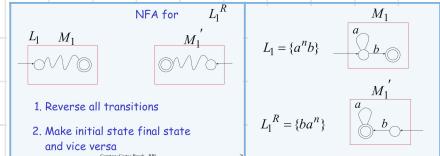
### Show Regular Languages closed under



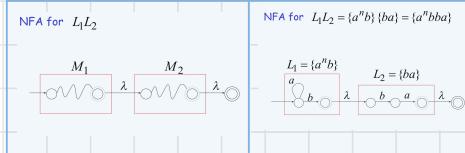
### union



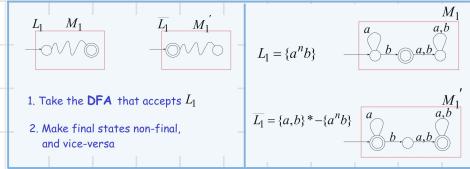
### Reversal



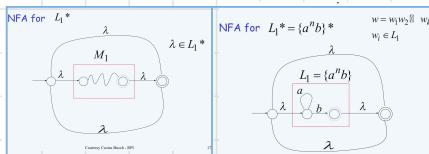
### Concatenation



### complement



### Star



### Intersection

DeMorgan's Law: $L_1 \cap L_2 = \overline{L_1} \cup \overline{L_2}$	$L_1 = \{a^n b\}$ regular	$L_1 = \{ab, ba\}$ regular
$\overline{L_1} \cup \overline{L_2}$ regular	$L_2 = \{ab, ba\}$ regular	$L_2 = \{ab, ba\}$ regular
$\overline{L_1} \cup \overline{L_2}$ regular	$M$ simulates in parallel: $M_1$ and $M_2$	$M$ accepts string $w$ if and only if:
$\overline{L_1} \cup \overline{L_2}$ regular		$M_1$ accepts string $w$ and $M_2$ accepts string $w$
$L_1 \cap L_2$ regular		
		$L(M) = L(M_1) \cap L(M_2)$

# MINIMISING DFA's by PARTITION

## WHY Minimise DFA's

- ↳ Efficiency
- ↳ Better understanding of the language
- ↳ Compute similarity b/w the two FA's
- ↳ Determine if two DFA's recognise the same language

## Minimization Process

- ↳ Remove inaccessible states
- ↳ Group equivalent states
- ↳ 2 states equal if all behaviors same

## Minimization Steps

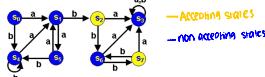
### 1. Places States of DFA into 2 equivalent classes

↳ final states      } 2 partitions  
↳ non final states

### 2. Repeat until no more change

↳ for each state in same partition  
if transition diff than those in its group  
partition to new equivalence class

Q)



—Accepting states  
—Non accepting states

- $S_2$  and  $S_3$  are really the same:  
Both Final states  
Both go to  $S_6$  under input  $b$   
Both go to  $S_3$  under an  $a$
- $S_0$  and  $S_5$  really the same. Why?  
• We say each pair is equivalent

### 1 final, non final partitions

	a	b
$S_0$	$S_1$	$S_4$
$S_1$	$S_9$	$S_2$
$S_2$	$S_3$	$S_3$
$S_4$	$S_1$	$S_4$
$S_5$	$S_1$	$S_4$
$S_6$	$S_3$	$S_1$
$S_7$	$S_3$	$S_6$
$S_8$	$S_3$	$S_6$

### $S_1, S_6$ both end on final states

	a	b
$S_0$	$S_1$	$S_4$
$S_1$	$S_9$	$S_2$
$S_2$	$S_3$	$S_3$
$S_3$	$S_1$	$S_3$
$S_4$	$S_1$	$S_1$
$S_5$	$S_1$	$S_1$
$S_6$	$S_3$	$S_{11}$
$S_7$	$S_3$	$S_1$
$S_8$	$S_3$	$S_6$

### $S_0, S_4, S_5$ same partition unlike $S_3$

	a	b
$S_0$	$S_{11}$	$S_1$
$S_1$	$S_9$	$S_{11}$
$S_2$	$S_3$	$S_3$
$S_3$	$S_1$	$S_{11}$
$S_4$	$S_{11}$	$S_1$
$S_5$	$S_{11}$	$S_1$
$S_6$	$S_3$	$S_{11}$
$S_7$	$S_3$	$S_{11}$
$S_8$	$S_3$	$S_6$

### $S_1, S_6$ not same partition for a

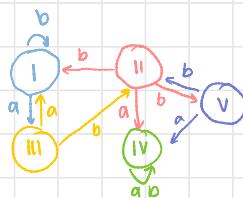
	a	b
$S_0$	$S_{11}$	$S_1$
$S_1$	$S_{11}$	$S_1$
$S_2$	$S_{11}$	$S_1$
$S_3$	$S_3$	$S_{11}$
$S_4$	$S_3$	$S_{11}$
$S_5$	$S_3$	$S_{11}$
$S_6$	$S_3$	$S_{11}$
$S_7$	$S_3$	$S_{11}$
$S_8$	$S_3$	$S_6$

### $S_0, S_4, S_5$ same partition for b

	a	b
$S_0$	$S_{11}$	$S_1$
$S_1$	$S_{11}$	$S_1$
$S_2$	$S_{11}$	$S_1$
$S_3$	$S_3$	$S_{11}$
$S_4$	$S_3$	$S_{11}$
$S_5$	$S_3$	$S_{11}$
$S_6$	$S_3$	$S_{11}$
$S_7$	$S_3$	$S_{11}$
$S_8$	$S_3$	$S_6$

	a	b
I	III	I
II	IV	V
III	I	II
IV	IV	IV
V	IV	II

} 5 partitions in order

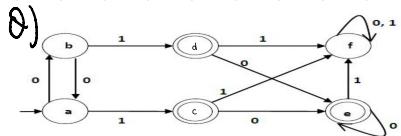


# MINIMISING DFA's by Myhill-Nerode Theorem

## TF ALGORITHM

### Minimization Steps.

1. DRAW table for all pairs of state P, Q
2. MARK every pair in DFA where P → final, Q → not final, vice versa  
 ↳ doesn't have to be connected
3. REPEAT until unable to mark more
  - ↳ check for unmarked pairs  $\delta(P, x), \delta(Q, x)$
  - UM ↳ unmarked pair → leave it as is
  - DE ↳ pair doesn't exist → leave it as is
  - M ↳ marked pair → mark Q, P
4. COMBINE UNMARKED PAIRS AND MAKE SINGLE STATE



### Step 3

unmarked pairs

$$(a, b) \rightarrow \delta(a, 0) = b \text{ UM} \quad \delta(a, 1) = c \text{ UM}$$

$$\delta(b, 0) = a \quad \delta(b, 1) = d$$

$$(c, d) \rightarrow \delta(c, 0) = e \text{ DE} \quad \delta(c, 1) = f \text{ DE}$$

$$\delta(d, 0) = e \quad \delta(d, 1) = f$$

$$(e, c) \rightarrow \delta(e, 0) = e \text{ DE} \quad \delta(e, 1) = f \text{ DE}$$

$$\delta(c, 0) = e \quad \delta(c, 1) = f$$

$$(e, d) \rightarrow \delta(e, 0) = e \text{ DE} \quad \delta(e, 1) = f \text{ DE}$$

$$\delta(d, 0) = e \quad \delta(d, 1) = f$$

$$(f, a) \rightarrow \delta(f, 0) = f \text{ UM} \quad \delta(f, 1) = c \text{ M}$$

$$\delta(a, 0) = b \quad \delta(a, 1) = c$$

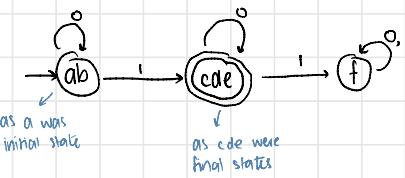
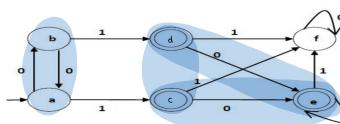
$$(f, b) \rightarrow \delta(f, 0) = f \text{ M} \quad \delta(b, 0) = a$$

### Step 1, 2

a	a	b	c	d	e	f
a						
b						
c	✓	✓				
d	✓	✓				
e	✓	✓				
f	✓	✓	✓	✓	✓	✓

### Step 4

$$(a, b) (c, d) (e, c) (e, d)$$



# PUMPING LEMMA

used to prove that a language is not regular by contradiction

## Pumping Lemma Steps

1. Assume A is a regular language  $\rightarrow$  for contradiction

CAN NOT BE  
USED TO PROVE  
A LANGUAGE  
IS REGULAR

$|S| \geq P \rightarrow$  assume

Divide S into  $x y z$

$$S = xyz$$

6. Show 3 pumping conditions unsatisfied

$\hookrightarrow 1. xy^i z \in A$  for every  $i \geq 0$

$\hookrightarrow 2. |y| > 0$

$\hookrightarrow 3. |xy| \leq P$

7. S cannot be pumped = CONTRADICTION

Q) Prove  $A = \{a^n b^n \mid n \geq 0\}$  is not regular

$\hookrightarrow$  Assume A is regular  $\rightarrow$  for contradiction

Pumping length = P

$$S = a^P b^P \quad \text{taking } P=7$$

$\overbrace{x}^1 \overbrace{y}^1 \overbrace{z}^1 \quad aaaaaaaaa bbbbbbb$

case 1: y is in the 'a' part

$\overbrace{aaaaaaa}^x \overbrace{aaaaaaa}^y \overbrace{bbb...}^z$

$$xy^1 z \Rightarrow x y^2 z$$

repeat 2 for  $y^2$

aa. aaaaaaa abbbbbbb

$$a = b \rightarrow ns \ a^n b^n$$

$$1. |l| \neq 7 \quad x$$

$$2. |y| = 4 \quad \checkmark$$

$$3. |xy| = 6 \quad P=7 \quad \checkmark$$

case 2: y is in the 'b' part

aaaaaaa bbbb...  $\overbrace{bbb...}^y \overbrace{bbb...}^z$

$$xy^1 z \Rightarrow x y^2 z$$

aa. aaaaaaa bb. bbbbbb b

$$a = b$$

$$3. |l| \neq 11 \quad x$$

$$2. |y| = 4 \quad \checkmark$$

$$3. |xy| = 13 \quad P=7 \quad x$$

case 3: y is in the 'a' and 'b' part

aaaaaa aabb...  $\overbrace{bbb...}^y \overbrace{bbb...}^z$

$$xy^1 z \Rightarrow x y^2 z$$

aaaa aabb aabb bbbb

Pattern should be as followed by bs

$$1. \text{ pattern not followed} \quad x$$

$$2. |y| = 4 \quad \checkmark$$

$$3. |xy| = 9 \quad P=7 \quad x$$

HENCE NOT REGULAR

Q) PROVE  $A = \{yy \mid y \in \{0,1\}^*\}$  is not regular

Assume A is regular

Pumping length = P

$s = 0^P 1 0^P 1 \rightarrow$  choose any string  
 $\xrightarrow{x \quad y \quad z}$

taking  $P=7 \rightarrow$  choose any y

CASE 1: y is in the 'a' part

00,0000,01 00000001  
 $\xrightarrow{x \quad y \quad z}$

00,0000,00000100000001  
 $y^2$

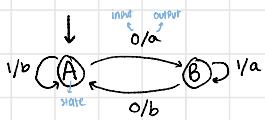
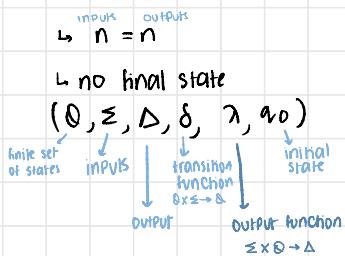
1. doesn't follow pattern  $yy$  X  
b. start and end pattern should be the same ✓
2.  $|y|=4$
3.  $|xy| = 6 \quad P=7$  ✓

Hence not regular

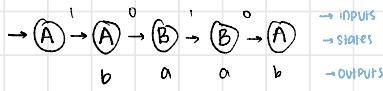
# DFA WITH OUTPUTS

## MEALY STATE MACHINES

↳ Output depends on current state and input



e.g. 1010

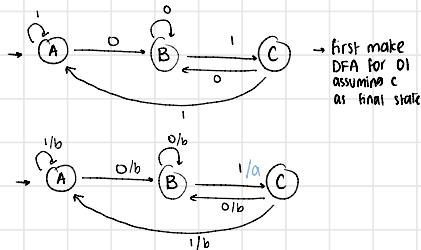


Q) construct mealy machine that produces a

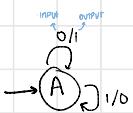
when 01 is input string

$$\Sigma = \{0, 1\}$$

$$\Delta = \{a, b\}$$



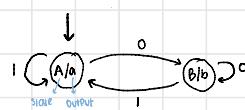
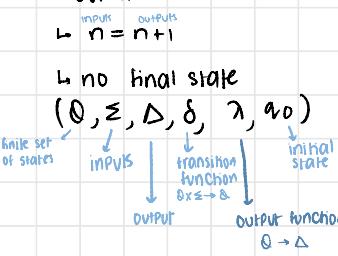
Q) construct mealy machine that produces 1's complement



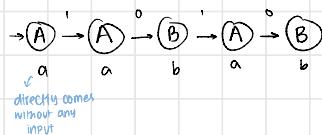
↳ aka complementing machine

## MOORE STATE MACHINES

↳ Output depends on current state



e.g. 1010

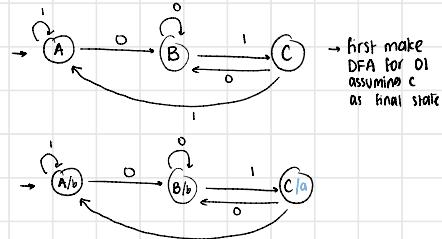


Q) construct moore machine that produces a

when 01 is input string

$$\Sigma = \{0, 1\}$$

$$\Delta = \{a, b\}$$



# THEOREM

Mealy  $\rightleftharpoons$  Moore

↳ ignore extra output

## Mealy $\rightarrow$ Moore

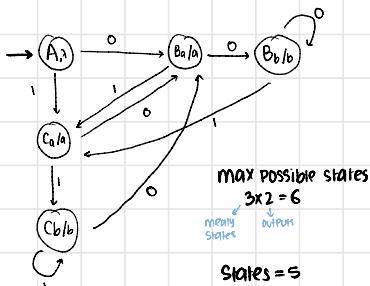
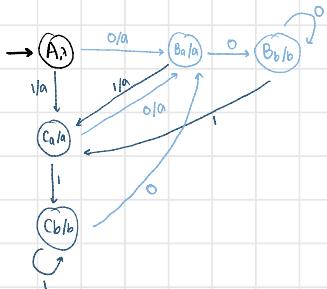
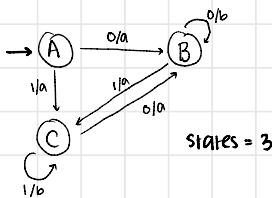
↳ NO OF STATES increased      max no of moore state = mealy states  $\times$  outputs

↳ OUTPUT of me state = incoming edges output

↳ If state already has a diff output

↳ Create new state

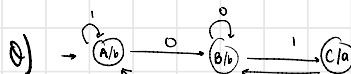
↳ According to outputs make edges for new states



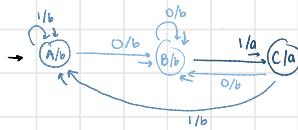
## Moore $\rightarrow$ Mealy

↳ NO OF STATES same

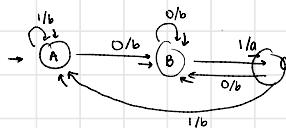
↳ incoming edges output = output of me state



Old State	0	1	Output
A	B	A	b
B	B	C	b
C	B	A	a



Old State	0	1	Output
A	B/b	A/b	b
B	B/b	C/a	b
C	B/b	A/b	a

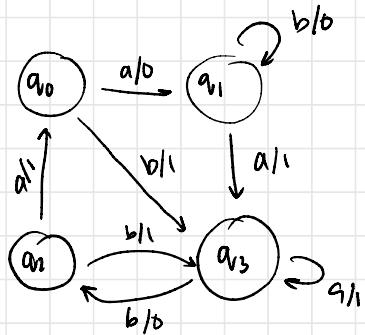


Old State	0	1	Output
A	B/b/b	A/b/b	b
B	B/b/b	C/b/b	b
C	B/b/b	A/b/b	a

PRAD  
long

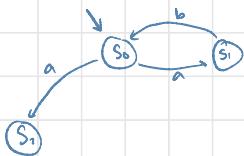
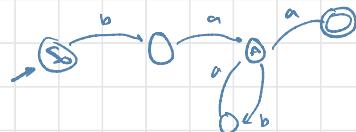
meroko

$$\begin{array}{r}
 100110'0'1'1 \\
 \hline
 1001101000
 \end{array}$$



$$\begin{aligned}
 G_1 & \\
 S \rightarrow abS & \\
 s \rightarrow a & \\
 L(G_1) \cdot (ab)^*a &
 \end{aligned}$$

$$\begin{aligned}
 G_2 & \\
 S \rightarrow Aab & \\
 A \rightarrow Aab \mid B & \\
 B \rightarrow a &
 \end{aligned}$$



## GRAMMAR

$$G = (V, T, S, P)$$

variables  
start symbol  
Terminal symbols

Q)  $G = \left( \begin{matrix} V \\ \{s, A, B\} \end{matrix}, \begin{matrix} T \\ \{a, b\} \end{matrix}, \begin{matrix} S \\ S \end{matrix}, \begin{matrix} P \\ \{S \rightarrow AB, A \rightarrow a, B \rightarrow b\} \end{matrix} \right)$   
 $S \rightarrow AB \rightarrow aB \rightarrow ab$

Q)  $G = \left( \begin{matrix} V \\ \{s\} \end{matrix}, \begin{matrix} T \\ \{a, b\} \end{matrix}, \begin{matrix} S \\ S \end{matrix}, \begin{matrix} P \\ \{S \rightarrow asb | \lambda\} \end{matrix} \right)$   
 $S \rightarrow asb \rightarrow aasbb \rightarrow aaasbbb \rightarrow aaabb$

## \* SIGN

Write

$$S \xrightarrow{*} aabb$$

$$W_1 \xrightarrow{*} W_n$$

instead of

$$S \rightarrow aSb \rightarrow aasbb \rightarrow aaabb$$

$$W_1 \rightarrow W_2 \rightarrow W_3 \rightarrow \dots \rightarrow W_n$$

B)  $G = \{S \rightarrow asb | \lambda\}$

↳ Derivations

$$S \xrightarrow{*} \lambda$$

$$S \xrightarrow{*} aasbb$$

$$S \xrightarrow{*} ab$$

$$S \xrightarrow{*} aaabb$$

$$S \xrightarrow{*} aaabb$$

## LANGUAGE OF GRAMMAR

Q)  $G = \{S \rightarrow Ab, A \rightarrow aAb | \lambda\}$

$$L(G) = \{a^n b^n : n \geq 0\}$$

B)  $G = \{S \rightarrow Ab, A \rightarrow aAb | \lambda\}$

↳ Derivations

$$S \xrightarrow{*} \lambda$$

$$S \xrightarrow{*} Ab \xrightarrow{*} b$$

$$S \xrightarrow{*} Ab \xrightarrow{*} aAb \xrightarrow{*} abb$$

$$S \xrightarrow{*} Ab \xrightarrow{*} aAb \xrightarrow{*} aaAb \xrightarrow{*} aabb$$

$$S \xrightarrow{*} a^n b^n$$

## Sentential form

↳ sentence containing variables and terminals

Q)  $S \rightarrow aSb \rightarrow aasbb \rightarrow aaasbbb \rightarrow aaabb$

Sentential forms

Sentence

## Linear Grammars

↳ G with 1 or 0 variable in a production

Q)  $S \rightarrow aSb | Ab | \lambda$ ,  $A \rightarrow aAb | \lambda$  ✓

Q)  $S \rightarrow Ss | asb | bsa | \lambda$  ✗

$L(G) = \{w : n_a(w) = n_b(w)\}$

Q)  $S \rightarrow A$ ,  $A \rightarrow aB | \lambda$ ,  $B \rightarrow Ab$  ✓

$L(G) = \{a^n b^n : n \geq 0\}$

## Right Linear Grammar

↳ all productions form

↳ no variable

↳ variable but only in the end

$$A \rightarrow xB \quad \text{or} \quad A \rightarrow x$$

String of terminals

Q)  $S \rightarrow abS$

Q)  $S \rightarrow a$

## Left Linear Grammar

↳ all productions form

↳ no variable

↳ variable but only in the start

$$A \rightarrow Bx \quad \text{or} \quad A \rightarrow x$$

String of terminals

Q)  $S \rightarrow Aab$

Q)  $S \rightarrow Aab | B$

Q)  $S \rightarrow a$

## Regular Grammar

↳ It is a Right Linear / Left Linear Grammar

↳ Regular grammars generate only regular languages

Q)  $G = \{S \rightarrow abS | a\}$

$L(G) = (ab)^* a$

Q)  $G = \{S \rightarrow Aab, A \rightarrow Aab | B, B \rightarrow a\}$

$L(G) = aab(ab)^*$

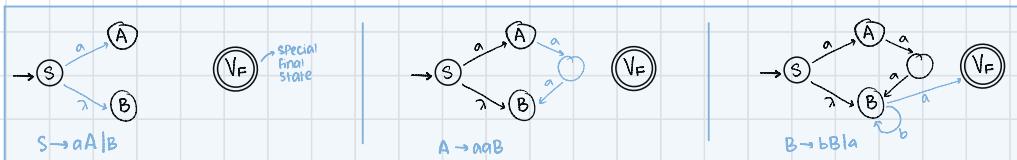
↳ Union $L_1 \cup L_2$	↳ Reversal $L_1^R$
↳ Concatenation $L_1 L_2$	↳ Complement $\overline{L_1}$
↳ Star $L_1^*$	↳ Intersection $L_1 \cap L_2$

## Proof Right Linear Grammar is Regular → part 1

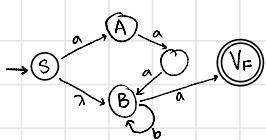
↳ construct NFA M

such that  $L(M) = L(G)$

$$\textcircled{0} \quad G = \{ S \rightarrow aA \mid B, A \rightarrow aaB, B \rightarrow bBla \}$$



NFA M



$$L(M) = L(G) = aaab^*a + b^*a$$

## Proof Left Linear Grammar is Regular

↳ construct right Linear grammar  $G'$

such that  $L(G) = L(G')^R$

$$\textcircled{0} \quad G = \{ A \rightarrow BabC_k \mid Bv \} \rightarrow \text{left linear } G$$

$$G' = \{ A \rightarrow kCbaB \mid vB \} \rightarrow \text{right linear } G$$

$$L(G') \xrightarrow{\text{Regular Language}} L(G')^R \xrightarrow{\text{Regular Language}} L(G)$$

Regular Language

Regular Language

Regular Language

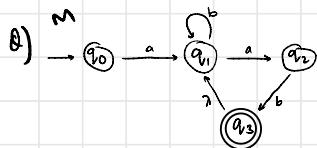
$$\textcircled{0} \quad G = \{ A \rightarrow abC_k \mid v \} \rightarrow \text{left linear } G$$

$$G' = \{ A \rightarrow kCba \mid vr \} \rightarrow \text{right linear } G$$

## PROOF REGULAR LANGUAGE IS LINEAR GRAMMAR → part 2

↳ CONSTRUCT FROM M A REGULAR GRAMMAR G

↳ SUCH THAT  $L(M) = L(G)$



$$L = ab^*ab(b^*ab)^*$$

$$L = L(M)$$

## CONVERT TO RIGHT LINEAR GRAMMAR

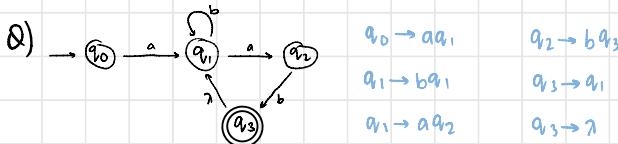
1. FOR ANY TRANSITION

$$(q) \xrightarrow{a} (p)$$

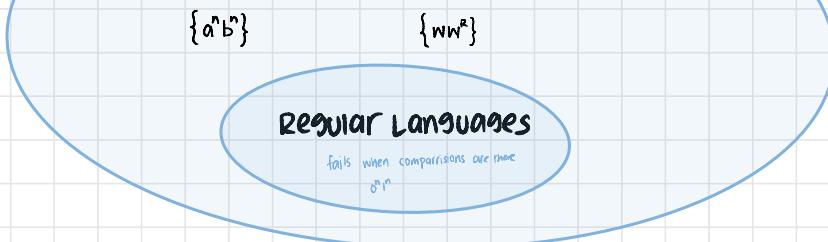
$q \xrightarrow{\downarrow \text{variable}} a p \xrightarrow{\downarrow \text{terminal}}$

2. FOR ANY FINAL TRANSITION

$$(q_f) \xrightarrow{} \lambda$$



# CONTEXT FREE LANGUAGES

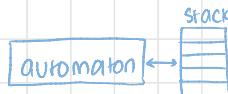


# CONTEXT FREE LANGUAGES

## CONTEXT FREE GRAMMAR

## PUSHDOWN AUTOMATA

Q) generate equal no of a's and b's in the form  $a^n b^n$   
 not regular lang  
 $P$   
 $G = \{ (S, A), (a, b), (S \xrightarrow{\alpha} A \beta, A \xrightarrow{\gamma} \alpha \beta | \epsilon), \text{ stack symbol } \}$   
 (empty stack symbol)



$$S \xrightarrow{} aA \underline{b} \quad (\text{replace } A \xrightarrow{\gamma} a \beta)$$

$$V: \{S, A\}$$

$$\rightarrow aaA \underline{bb} \quad (\text{replace } A \xrightarrow{\gamma} a \beta)$$

$$T: \{a, b\}$$

$$\rightarrow aa \underline{aAb} bb \quad (\text{replace } A \xrightarrow{\gamma} \epsilon)$$

$$\rightarrow aaabb$$

$$\rightarrow a^3b^3 \rightarrow a^n b^n$$

## CFL TO CFG

$$1) a^n b^n, n \geq 1$$

$$S \xrightarrow{} aSb | \lambda$$

$$4) a^{\frac{2n+3}{2}} b^n, n \geq 0$$

$$S \xrightarrow{} aaSb | aaa$$

$$2) a^n b^{n^2}, n \geq 0$$

$$S \xrightarrow{} aSb | bb$$

$$5) a^m b^n, m \geq n$$

$$S \xrightarrow{} AS,$$

$$S_i \xrightarrow{} aS_i b | \lambda$$

$$3) a^n b^n$$

$$S \xrightarrow{} aasb | \lambda$$

$$6) \{w \mid n_a(w) = n_b(w)\}$$

$$S \xrightarrow{} aSb | bSa | SS | \lambda$$

$\rightarrow a^n b^n + b^n a^n$

$$7) ww^R \cup w(atb)w^R$$

$$S \xrightarrow{} aSa | bSb | a | b | \lambda$$

$$8) a^m b^m c^n, m, n \geq 0$$

$$S \xrightarrow{} S, C$$

$$S_i \xrightarrow{} aS_i b | \lambda$$

$$C \xrightarrow{} CC | \lambda$$

## Context Free Grammar

$$G = (V, T, S, P)$$

Variables  $\downarrow$  Start Variable  $\downarrow$  Production Rule  
 Terminal Symbols  $\downarrow$   
 A  $\rightarrow$  a  
 a  $\in$  {v, U, }  
 A  $\in$  V

Production rule

1.  $G = \{ S \rightarrow aSb | \lambda \}$

$$S \rightarrow aSb \rightarrow aaSbb \rightarrow aabb$$

$$L(G) = \{ a^n b^n : n \geq 0 \}$$

2.  $G = \{ S \rightarrow aSa | bSb | \lambda \}$

multiple derivations

$$\begin{cases} S \rightarrow ASA \rightarrow abSba \rightarrow abba \\ S \rightarrow ASA \rightarrow abSba \rightarrow abaSaba \rightarrow abaaba \end{cases}$$

$$L(G) = \{ ww^r : w \in \{a, b\}^* \}$$

## Context free languages

$$\hookrightarrow L = L(G)$$

$$1. L = \{ a^n b^n : n \geq 0 \}, G = \{ S \rightarrow aSb | \lambda \} \quad \checkmark \quad L(G) = L$$

## NOTATION: Context Free Grammar

beg lower case letters: a, b, c ... Terminal symbols T

end lower case letters: w, x, y ... strings of terminals

beg upper case letters: A, B, C ... variables V

end upper case letters: X, Y ... terminals/strings

lower case Greek letters: α, β, γ ... strings of terminals/variables

## Derivation order

1.  $S \rightarrow AB$
2.  $A \rightarrow aaA | \lambda$
3.  $B \rightarrow Bb | \lambda$

Derive a string aab

$\hookrightarrow$  Leftmost derivation order of string

$$S \xrightarrow{1} AB \xrightarrow{2} aaAB \xrightarrow{3} aaAb \xrightarrow{4} aaBb \xrightarrow{5} aab$$

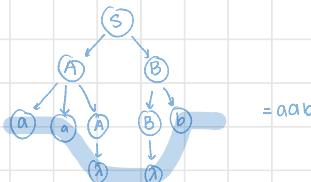
$\hookrightarrow$  Rightmost derivation order of string

$$S \xrightarrow{1} AB \xrightarrow{2} ABb \xrightarrow{3} Ab \xrightarrow{4} aaAb \xrightarrow{5} aab$$

## Derivation Trees/parse tree

$$S \rightarrow AB \quad A \rightarrow aaA | \lambda \quad B \rightarrow Bb | \lambda$$

$$S \rightarrow AB \rightarrow aaAB \rightarrow aaABB \rightarrow aab$$

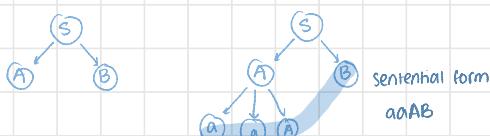


## Partial Derivation Tree

$$S \rightarrow AB \quad A \rightarrow aaA | \lambda \quad B \rightarrow Bb | \lambda$$

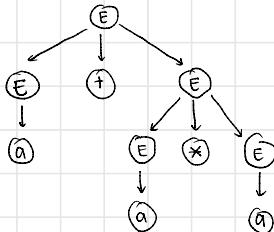
1.  $S \rightarrow AB$

2.  $S \rightarrow AB \rightarrow aaAB$



- Ambiguity** → bad for programming
- ↳ When 2 or more derivation trees
  - ↳ two left most derivation trees

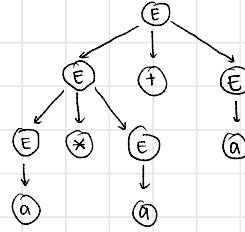
left most derivation



$$2+2*2=6 \rightarrow \text{CORRECT}$$

$E \rightarrow E+E \rightarrow a+E \rightarrow a+E*E \rightarrow a+a*E \rightarrow a+a*a$

left most derivation



$$2+2*2=8$$

$E \rightarrow E*E \rightarrow E+E*E \rightarrow a+E*E \rightarrow a+a*E \rightarrow a+a*a$

2 left most derivation trees hence ambiguous

## HOW TO REMOVE Ambiguity

- ↳ It is difficult to achieve and sometimes possible

Q)  $E \rightarrow E+E|E*E|E|a \rightarrow \text{Ambiguous Grammar}$

CONVERT  
 $E \rightarrow E+T|T, T \rightarrow T*F|F, F \rightarrow E|a \rightarrow \text{non Ambiguous Grammar}$

## Inherent Ambiguity

- ↳ Will always have ambiguous grammar

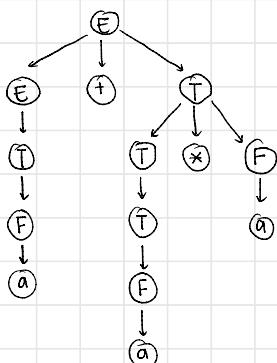
Example:  $L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$

$S \rightarrow S_1 | S_2$      $S_1 \rightarrow S_1 c | A$      $S_2 \rightarrow aS_2 | I$   
 $A \rightarrow aAb | \lambda$      $B \rightarrow bBc | \lambda$

Courtesy Costa Busch - EPFL

## Unique derivation tree

$a+a*a$



$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$

$n, m \geq 0$

Costa Busch - EPFL

Courtesy Costa Busch - EPFL

# Substitution Rules

## 1. NULLABLE VARIABLES

$$\textcircled{1) } S \rightarrow aMb$$

$$M \rightarrow aMb$$

$$M \rightarrow \lambda$$

Nullable variable

$$\text{Substitute } M \rightarrow \lambda$$

$$S \rightarrow aMb | ab$$

$$M \rightarrow aMb | ab$$

$$\textcircled{2) } S \rightarrow ABC$$

$$A \rightarrow aA | \lambda$$

$$B \rightarrow bB | \lambda$$

$$C \rightarrow C$$

$$S \rightarrow ABC | AC | BC | C$$

$$A \rightarrow aA | a$$

$$B \rightarrow bB | b$$

$$C \rightarrow C$$

$$\textcircled{3) } S \rightarrow aS | A$$

$$\text{Substitute } A \rightarrow \lambda$$

$$S \rightarrow aS | \lambda$$

$$\text{Substitute } S \rightarrow \lambda$$

$$S \rightarrow aS | a | \lambda$$

shift here?  
\*if  $L(G) = \lambda$   
then don't remove it

as  $A \rightarrow \lambda$

Nullable variable

## 2. UNIT Productions

↳ remove single variable on both sides  $A \rightarrow B$

↳ remove same variable on both sides  $A \rightarrow A$

↳ remove repeated productions  $A \rightarrow aB | bA$

↳ transitive property  $A \rightarrow B, B \rightarrow a \Rightarrow A \rightarrow a$

$$\textcircled{1) } S \rightarrow aA$$

$$A \rightarrow a$$

$$S \rightarrow aA | ab$$

$$A \rightarrow a$$

$$S \rightarrow aA | aB$$

$$A \rightarrow a$$

$$S \rightarrow aA | ab | aA$$

$$A \rightarrow a$$

$$S \rightarrow aA | ab$$

$$A \rightarrow a$$

$$B \rightarrow bb$$

unit productions

$$A \rightarrow B$$

$$B \rightarrow A$$

$$B \rightarrow bb$$

$$\textcircled{2) } S \rightarrow AB$$

$$A \rightarrow a$$

$$B \rightarrow C | b$$

$$C \rightarrow D$$

$$D \rightarrow E$$

$$E \rightarrow a$$

transitive  
property

$$S \rightarrow AB$$

$$A \rightarrow a$$

$$B \rightarrow a | b$$

$$C \rightarrow a$$

$$D \rightarrow a$$

$$E \rightarrow a$$

remove  
 $C, D, E$

$$S \rightarrow AB$$

$$A \rightarrow a$$

$$B \rightarrow a | b$$

unreachable  
transitions

unit productions

### 3. USELESS PRODUCTIONS

↳ Production may cause derivations to never end

$$Q) S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$S \rightarrow A$$

$$A \rightarrow aA$$

↳ USELESS production

$$Q) S \rightarrow aSb$$

$$S \rightarrow \lambda$$

$$S \rightarrow A$$

$$A \rightarrow aA$$

$$B \rightarrow C$$

$$C \rightarrow D$$

↳ USELESS

### TO REMOVE USELESS PRODUCTIONS

↳ Find all variables that can produce strings with only terminals

↳ Keep only variables that use other variables

↳ Find all reachable variables from S

$$Q) S \rightarrow aS | A | C$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

$$C \rightarrow aCb$$

ONLY TERMINALS  
ROUND 1  
{A, B, S}

$$S \rightarrow aS | A$$

$$A \rightarrow a$$

$$B \rightarrow aa$$

variables  
reachable  
from S

$$S \rightarrow aS | A$$

$$A \rightarrow a$$

### SIMPLIFICATION OF CFG

1. remove nullable variables

2. remove unit productions

3. remove useless productions

$$Q) S \rightarrow aB$$

$$A \rightarrow aaA$$

Substitute  
 $B \rightarrow b$

$$A \rightarrow abBc$$

$$S \rightarrow ab | ab$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc | abbc$$

$$B \rightarrow aA$$

$$B \rightarrow b$$

Substitute  
 $B \rightarrow aA$

$$A \rightarrow aaA$$

$$S \rightarrow ab | ab | aaA$$

$$A \rightarrow aaA$$

$$A \rightarrow abBc | abbc | abaAc$$

$$S \rightarrow ab | aaa$$

$$A \rightarrow aaA$$

$$A \rightarrow abbc | abaAc$$

EQUIVALENT GRAMMAR

use substitution rules

ishma haliez  
notes

repst  
sheet