# NLP Practical 2 - Sentiment Classification using Paragraph Vectors

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### 1 Introduction

To represent words in a continuous word vector, Mikolov, Chen, Corrado, & Dean (2013) introduced word2vec that embeds meaning in vector space. As an extension to word2vec, Le & Mikolov (2014) introduced paragraph vectors, or doc2vec, that represent word sequences. They demonstrated sentiment classification with the new model, where paragraph vectors performed better than bag-of-word features. In this report, we aim to (1) recreate such results and strengthen the claim by using Permutation Test and (2) show the robustness of doc2vec by deployment test.

# 2 Background

word2vec consists of two architectures: Continuous Bag-of-words (cbow) and Skip-grams. Both are feed-forward NNLMs that optimize for  $\sum_t P(w_t|w_{t-k},...,w_{t+k})$  where t is the current word, and k the window of surroundings. They do so, however, with different methods: cbow predicts the current word given its surroundings, and Skip-gram the surrounding given the word. Mikolov et al. (2013) claim that these models are effective in representing semantic meaning; for example, analogies are preserved: to gain the vector for the word smallest  $(v_{smallest})$ , you can simply compute  $v_{biggest} - v_{big} + v_{small}$ .

doc2vec, an extension for word sequences, also consist of two models: Distributive Memory (dm) and Distributive Bag-of-words (dbow). dm is analogous

to cbow, where the paragraph vector acts as the shared memory for the current context. The paragraph vector is included in the generative process of the current word, whereby back-propagation will yield a combinative vector for the sequence of words. dbow models are similar to Skip-gram; paragraph vectors are trained to predict the words given a small window. Order of words is lost in this model; therefore, it is named Distributed Bag Of Words. (Lau & Baldwin, 2016; Le & Mikolov, 2014)

Paragraph vectors can then be used for classification tasks. We used ThunderSVM(Wen, Shi, Li, He, & Chen, 2018) for any classification task below, and gensim for computation of doc2vec.(Řehůřek & Sojka, 2010)

## 3 Sentiment Classification

A classifier on paragraph vectors was trained on the Stanford IMDB Dataset to show the difference in accuracy between paragraph vectors and bag-of-words features, recreating results of Le & Mikolov (2014). The dataset consists of 100,000 movie reviews with sentiments pre-classified by ratings. (Maas et al., 2011)

## 3.1 Methods

We trained gensim on all documents in the dataset, since a large corpus of documents is needed. After training, only 2,000 documents, balanced between the sentiments, was selected from the dataset for the SVM classifiers in the sections below.

Epochs	Window Size	Architecture				
		dbow	dmm	dmc	dbow+dmm	dbow+dmc
10	8	87.5%	83.0%	64.5%	89.5%	82.0%
	12	<b>88.0</b> % (C)	86.0%	60.0%	85.5%	81.5%
20	8	87.5% (B)	81.5%	71.0%	86.5%	81.5%
	12	87.0% (A)	<b>85.0</b> % (D)	<b>71.0</b> % (E)	<b>85.0</b> % (F)	<b>85.0</b> % (G)

Table 1: Accuracy results of each models for hyper-parameter selection

#### 3.1.1 Step 1: choosing hyper-parameter

Multiple models of paragraph vectors have been trained to select an optimal set of parameters. The parameters tested are: architecture, window size<sup>1</sup>, and number of epoch for training. Three models (dbow, dm with vector averaging (dmm), dm with concatenation (dmc)), and concatenation of these vectors were used for architecture. Minimum count for words and vector size were fixed at 3 and 100 respectively.

**Dataset** A set of 1,800 documents of 2,000 above was set for training, and the other 200 to test for parameters. In the steps following, the testing set of this experiment were discarded to prevent introducing bias to the test results.

#### 3.1.2 Step 2: doc2vec vs bag-of-words

SVM classifiers for the previously selected doc2vec model and the bag-of-words model were compared for accuracy. Stratified 10-fold cross-validation was performed on the 1,800 documents to avoid overfitting and ensure consistent results. Permutation test was performed on the concatenation of the test results.

# 3.2 Evaluation

#### 3.2.1 Step 1: Hyper Parameter Selection

Table 1 shows the accuracies of classifiers trained with each models. Results are different from the

Models	p-value
(A), (B) (A), (C) (A), (D) (A), (E) (A), (F)	1.0 0.827 0.593 0.0002 0.533
(A), (G)	0.502

Table 2: Permutation test results against model (A)

original paper; (1) dbow performed as well as dm on all parameter sets, and (2) 7 out of 8 models of concatenation of vectors did not generate better result than any singular model. Moreover, dmc stands out performing worse than other architectures.

For verification, model (A) was selected as baseline, and six Monte Carlo Permutation Test at R=5000 was performed as shown on Table 2. Permutation Test results affirm poor results of dmc but no statistically significant difference otherwise at  $\alpha=0.05$ .

#### 3.2.2 Step 2: doc2vec vs bag-of-words

The concatenated model of dbow and dmm with window size 12 and epoch size 20 was selected to be tested against the bag-of-words model.

Paragraph Vector	87.4%
Bag of Words	78.8%
Difference	9.1%
p-value	0.0002

Table 3: Accuracies of Paragraph Vector and Bag of Words classifiers and their difference with p-values

The Permutation Test with  $\alpha = 0.05$  reported a

<sup>&</sup>lt;sup>1</sup>Note that in for the **gensim** library, window size needs to be halved for **dmc** models to adhere to the results on the paper.

statistically significant difference between the two classifiers, where the paragraph vector model performed  $\sim 4\%$  better, alike the result of Le & Mikolov (2014).

# 4 Robustness Analysis

We aim to find here how the two classifiers perform given different types of dataset to measure the robustness of the classifiers by the means of deployment test.

#### 4.1 Methods

**Dataset** A variety of review data was needed for this analysis. We used the dataset of Amazon reviews compiled by McAuley, which is categorized by product type and ratings. Since the ratings range from 0 to 5, ratings over 3.5 have been sorted as positive, and less than 2 as negative, to match the classification for the Stanford IMDB Dataset.(He & McAuley, 2016; Maas et al., 2011)

The classifiers are trained against all 2,000 documents from the experiment above, and tested against 200 of following product categories in the Amazon review: Movie, Instant Video, Digital Music, Clothings, Gardening, Home, Office, Sports.

## 4.2 Results

Category	Ac	p-value	
Category	doc2vec	Bag of Words	p varae
Movie	85.5%	77.0%	0.0126
Instant Video	83.5%	76.0%	0.0512
Music	83.5%	68.5%	0.0008
Home	78.5%	66.5%	0.0030
Office	76.0%	65.5%	0.0150
Clothings	69.5%	69.0%	1.0000
Gardening	65.0%	64.0%	0.9004
Sports	65.0%	59.0%	0.2346

Table 4: Accuracies of two classifiers with p-values, ranked by Accuracy

Table 4 shows the accuracies and Monte Carlo Permutation Test results, ranked by the accuracy of doc2vec classifier. We see decrease in accuracy and increase in p-value as we change product categories to those that use a different set of vocabulary. It shows that both vectorization method are dependent on the set of vocabulary that they have have been train on.

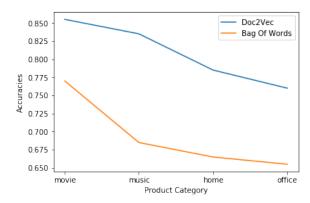


Figure 1: Plot of accuracies that yielded p < 0.05

1 shows results that had Permutation Test results p < 0.05, since data with high p-value are likely have difference in result out of chance. We see that the rate at which doc2vec models decrease in accuracy is less than than that of Bag of Words. Therefore, we conclude that doc2vec is more robust with a newer dataset.

## 5 Conclusion

We reimplemented sentiment analysis using doc2vec, and examined robustness of the classifiers by deployment test. These results prove that paragraph vectors are effective in replacing traditional bag-of-words models in representing documents as vectors. Further research should be conducted, however, to prove the claim of meaning representation in paragraph vectors.

## References

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