

# HOT Compilation Notes

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## Disclaimer/README

These are only reference notes, and by no means fully capture what is taught in class.

Notes for 170131 (on substitution) are extremely incoherent so I did not include them by default.

There may be errors, feel free to report them to me.

## 1 Compiler Structure

SML  
 $\xrightarrow{\text{elaborate}}$  IL-Module  
 $\xrightarrow{\text{phase-splitting}}$  IL-Direct  
 $\xrightarrow{\text{cps conversion}}$  IL-CPS  
 $\xrightarrow{\text{closure conversion}}$  IL-Closure  
 $\xrightarrow{\text{hoisting}}$  IL-Hoist  
 $\xrightarrow{\text{allocation}}$  IL-Alloc  
 $\xrightarrow{\text{code-generation}}$  C

## 2 Introduction to the $F\omega$ type system

### 2.1 Grammar

$$\begin{aligned} k &::= \mathsf{T} \mid k \rightarrow k \\ c &::= \alpha \mid c \rightarrow c \mid \forall \alpha : k . c \mid c \ c \\ e &::= x \mid \lambda x : c . e \mid e \ e \mid \Lambda \alpha : k . e \mid e[c] \end{aligned}$$

Kind  $k$ , Type Constructor  $c$ , and Term  $e$ .

Note:  $\mathsf{T}$  is often referred to with just “ $\mathsf{T}$ ” (by Crary), for simplicity.

### 2.2 Context for Judgements

$$\Gamma ::= \epsilon \mid \Gamma, x : \tau \mid \Gamma, \alpha : k \tag{1}$$

Note: For simplicity, whenever a new  $\alpha$  appears in the context, we implicitly ensure that  $\alpha$  is not already in  $\Gamma$ .

### 2.3 $\Gamma \vdash c : k$

$$\begin{aligned} \frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha : k} \quad & \frac{\Gamma \vdash \tau : T \quad \Gamma \vdash \tau_2 : T}{\Gamma \vdash \tau_1 \rightarrow \tau_2 : T} \quad & \frac{\Gamma, \alpha : k \vdash \tau : T}{\Gamma \vdash \forall \alpha : k . \tau} \quad & \frac{\Gamma, \alpha : k \vdash c : k'}{\Gamma \vdash \lambda \alpha : k . c : k \rightarrow k'} \\ & \frac{\Gamma \vdash c_1 : k \rightarrow k' \quad \Gamma \vdash c_2 : k}{\Gamma \vdash c_1 \ c_2 : k'} \end{aligned}$$

### 2.4 $\Gamma \vdash e : \tau$

$$\begin{aligned} \frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \quad & \frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x : \tau . e : \tau \rightarrow \tau'} \quad & \frac{\Gamma \vdash e_1 : \tau \rightarrow \tau' \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 \ e_2 : \tau'} \quad & \frac{\Gamma, \alpha : k \vdash e : \tau}{\Gamma \vdash \Lambda \alpha : k . e : \forall \alpha : k . \tau} \\ & \frac{\Gamma \vdash e : \forall \alpha : k . e \quad \Gamma \vdash c : k}{\Gamma \vdash e[c] : [c / \alpha]e} \quad & \frac{\Gamma \vdash e : \tau \quad \Gamma \vdash \tau \equiv \tau' : T}{\Gamma \vdash e : \tau'} \end{aligned}$$

## 2.5 $\Gamma \vdash c \equiv c : k$

Definitional Equivalence.

$$\frac{\Gamma \vdash c : k}{\Gamma \vdash c \equiv c : k} \quad \frac{\Gamma \vdash c \equiv c' : k}{\Gamma \vdash c' \equiv c : k} \quad \frac{\Gamma \vdash c_1 \equiv c_2 : k \quad \Gamma \vdash c_2 \equiv c_3 : k}{\Gamma \vdash c_1 \equiv c_3 : k}$$

The above are identity, reflexivity, and transitivity respectively.

The following are “compatibility” rules.

$$\frac{\Gamma \vdash c_1 \equiv c'_1 : k \quad \Gamma \vdash c_2 \equiv c'_2 : k}{\Gamma \vdash c_1 c_2 \equiv c'_1 c'_2 : k} \quad \frac{\Gamma, \alpha : k_1 \vdash c \equiv c' : k_2}{\Gamma \vdash \lambda \alpha : k_1 . c \equiv \lambda \alpha : k_1 . c' : k_1 \rightarrow k_2}$$

$$\frac{\Gamma \vdash \tau_1 \equiv \tau'_1 : k \quad \Gamma \vdash \tau_2 \equiv \tau'_2 : k}{\Gamma \vdash \tau_1 \rightarrow \tau_2 \equiv \tau'_1 \rightarrow \tau'_2 : T} \quad \frac{\Gamma, \alpha : k \vdash \tau \equiv \tau' : T}{\Gamma \vdash \forall \alpha : k . \tau \equiv \forall \alpha : k . \tau' : T}$$

congruence = compatible equivalence relation

The following are the rules for beta equivalence and extensionality:

$$\frac{\Gamma \vdash c_2 : k \quad \Gamma, \alpha : k \vdash c_1 : k'}{\Gamma \vdash (\lambda \alpha : k . c_1) c_2 \equiv [c_2 / \alpha] c_1 : k'} \quad \frac{\Gamma, \alpha : k_1 \vdash c \alpha \equiv c' \alpha : k_2 \quad \Gamma \vdash c : k_1 \rightarrow k_2 \quad \Gamma \vdash c' : k_1 \rightarrow k_2}{\Gamma \vdash c \equiv c' : k_1 \rightarrow k_2}$$

## 2.6 Extending $F\omega$

Note: This helps in the understanding of sml's module system

Grammar:

$$k ::= \dots \mid k \times k$$

$$c ::= \dots \mid \langle c, c \rangle \mid \pi_1 c \mid \pi_2 c$$

New Judgements:

$$\frac{\Gamma \vdash c_1 : k_2 \quad \Gamma \vdash c_2 : k_2}{\Gamma \vdash \langle c_1, c_2 \rangle : k_1 \times k_2} \quad \frac{\Gamma \vdash c : k_1 \times k_2}{\Gamma \vdash \pi_i c : k_1} \quad \frac{\Gamma \vdash c_1 \equiv c'_1 : k_1 \quad \Gamma \vdash c_2 \equiv c'_2 : k_2}{\Gamma \vdash \langle c_1, c_2 \rangle \equiv \langle c'_1, c'_2 \rangle : k_1 \times k_2} \quad \frac{\Gamma \vdash c \equiv c' : k_1 \times k_2}{\Gamma \vdash \pi_i c \equiv \pi_i c' : k_i}$$

$$\frac{\Gamma \vdash c_1 : k_1 \quad \Gamma \vdash c_2 : k_2}{\Gamma \vdash \pi_i \langle c_1, c_2 \rangle \equiv c_i : k_i} \quad \frac{\Gamma \vdash \pi_1 c \equiv \pi_1 c' : k_1 \quad \Gamma \vdash \pi_2 c \equiv \pi_2 c' : k_2}{\Gamma \vdash c \equiv c' : k_1 \times k_2}$$

### 3 Algorithmic Equivalence in the $F\omega$ Type System

#### 3.1 Normalize-and-Compare

Note: We don't use this.

$\lambda\alpha : k . c_1 \ c_2 \xrightarrow{\beta} [c_2 / \alpha]c_1$   
 $\pi_i \langle c_1, c_2 \rangle \xrightarrow{\beta} c_i$   
+ some  $\eta$  reduction rules

According to some equivalence theorem, they will have normal forms and those normal forms will be equal if they are equivalent.

#### 3.2 Grammar and Properties

Paths:

$p ::= \alpha \mid p \ c \mid \pi_1 \ p \mid \pi_2 \ p$

Weak-Head Normal Form:

$n ::= p \mid c_1 \rightarrow c_2 \mid \forall\alpha : k . c.$

Regularity:

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash c_1 \equiv c_2 : k$ , then  $\Gamma \vdash c_1 : k$  and  $\Gamma \vdash c_2 : k$ .

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash c : k$ , then  $\Gamma \vdash k : \text{kind}$ .

Soundness:

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash c_1, c_2 : k$  and  $\Gamma \vdash c_1 \Leftrightarrow c_2 : k$ , then  $\vdash c_1 \equiv c_2 : k$ .

Completeness:

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash c_1 \equiv c_2 : k$ , then  $\Gamma \vdash c_1 \Leftrightarrow c_2 : k$ .

$$\frac{}{\vdash \epsilon \text{ ok}}$$

$$\frac{\vdash \Gamma \text{ ok} \quad \Gamma \vdash k : \text{kind}}{\vdash \Gamma, \alpha : k \text{ ok}}$$

$$\frac{\vdash \Gamma \text{ ok} \quad \Gamma \vdash \tau : T}{\vdash \Gamma, x : \tau \text{ ok}}$$

### 3.3 Algorithmic Constructor Equivalence

Form:  $\Gamma \vdash c_1^+ \Leftrightarrow c_2^+ : k$

Note:  $\overset{+}{x}$  indicates that  $x$  is an input.

$$\frac{\Gamma, \alpha : k_1 \vdash c \alpha \Leftrightarrow c' \alpha : k_2}{\Gamma \vdash c \Leftrightarrow c' : k_1 \rightarrow k_2} \quad \frac{\Gamma \vdash \pi_1 c \Leftrightarrow \pi_1 c' : k_1 \quad \Gamma \vdash \pi_2 c \Leftrightarrow \pi_2 c' : k_2}{\Gamma \vdash c \Leftrightarrow c' : k_1 \times k_2}$$

$$\frac{c_1 \Downarrow c'_1 \quad c_2 \Downarrow c'_2 \quad \Gamma \vdash c'_1 \Leftrightarrow c'_2 : T}{\Gamma \vdash c_1 \Leftrightarrow c_2 : T}$$

### 3.4 Algorithmic Path Equivalence

Form:  $\Gamma \vdash c_1^+ \Leftrightarrow c_2^+ : \bar{k}$

Note:  $\bar{x}$  indicates that  $x$  is an output.

$$\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha \Leftrightarrow \alpha : k} \quad \frac{\Gamma \vdash p \Leftrightarrow p' : k_1 \rightarrow k_2 \quad \Gamma \vdash c \Leftrightarrow c' : k_1}{\Gamma \vdash p c \Leftrightarrow p' c' : k_1} \quad \frac{\Gamma \vdash p \Leftrightarrow p' : k_1 \times k_2}{\Gamma \vdash \pi_i p \Leftrightarrow \pi_i p' : k_i}$$

$$\frac{\Gamma \vdash c_1 \Leftrightarrow c'_1 : T \quad \Gamma \vdash c_1 \Leftrightarrow c'_2 : T}{\Gamma \vdash c_1 \rightarrow c_2 \Leftrightarrow c'_1 \rightarrow c'_2 : T} \quad \frac{\Gamma, \alpha : k \vdash c \Leftrightarrow c' : T}{\Gamma \vdash \forall \alpha : k . c \Leftrightarrow \forall \alpha : k . c' : T}$$

### 3.5 Weak-Head Normalization

Form:  $\overset{+}{c} \Downarrow \bar{n}$

$$\frac{c \rightsquigarrow c' \quad c' \Downarrow c''}{c \Downarrow c''} \quad \frac{c \not\rightsquigarrow}{c \Downarrow c}$$

### 3.6 Weak-Head Reduction

Form:  $\overset{+}{c} \rightsquigarrow \bar{c}'$

$$\frac{}{(\lambda \alpha : k . c_1) c_2 \rightsquigarrow [c_2 / \alpha] c_1} \quad \frac{}{\pi_i \langle c_1, c_2 \rangle \rightsquigarrow c_i} \quad \frac{c_1 \rightsquigarrow c'_1}{c_1 c_2 \rightsquigarrow c'_1 c_2} \quad \frac{c \rightsquigarrow c'}{\pi_i c \rightsquigarrow \pi_i c'}$$

### 3.7 Kind Synthesis and Checking

Form:  $\Gamma \vdash \overset{+}{c} \Rightarrow \bar{k}$  and  $\Gamma \vdash \overset{+}{c} \Leftarrow \overset{+}{k}$

$$\begin{array}{c}
\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha \Rightarrow k} \quad \frac{\Gamma, \alpha : k \vdash c \Rightarrow k'}{\Gamma \vdash \lambda \alpha : k . c \Rightarrow k \rightarrow k'} \quad \frac{\Gamma \vdash c_1 \Rightarrow k \rightarrow k' \quad \Gamma \vdash c_2 \Leftarrow k}{\Gamma \vdash c_1 \ c_2 \Rightarrow k'} \quad \frac{\Gamma \vdash c_1 \Rightarrow k_1 \quad \Gamma \vdash c_2 \Rightarrow k_2}{\Gamma \vdash \langle c_1, c_2 \rangle \Rightarrow k_1 \times k_2} \\
\\
\frac{\Gamma \vdash c \Rightarrow k_1 \times k_2}{\Gamma \vdash \pi_i \ c \Rightarrow k_1} \quad \frac{\Gamma \vdash c_1 \Leftarrow T \quad \Gamma \vdash c_2 \Leftarrow T}{\Gamma \vdash c_1 \rightarrow c_2 \Rightarrow T} \quad \frac{\Gamma, \alpha : k \vdash c \Leftarrow T}{\Gamma \vdash \forall \alpha : k . c \Rightarrow T} \quad \frac{\Gamma \vdash c \Rightarrow k}{\Gamma \vdash c \Leftarrow k}
\end{array}$$

### 3.8 Type Checking and Synthesis

Form:  $\Gamma \vdash \overset{+}{e} \Rightarrow \bar{c}$  and  $\Gamma \vdash \overset{+}{e} \Leftarrow \overset{+}{c}$

$$\begin{array}{c}
\frac{\Gamma(x) = \tau}{\Gamma \vdash x \Rightarrow \tau} \quad \frac{\Gamma \vdash \tau \Leftarrow T \quad \Gamma, x : \tau \vdash e \Rightarrow \tau'}{\Gamma \vdash \lambda x : \tau . e \Rightarrow \tau \rightarrow \tau'} \quad \frac{\Gamma \vdash e_1 \Rightarrow \tau_1 \quad \tau_1 \Downarrow \tau \rightarrow \tau' \quad \Gamma \vdash e_2 \Leftarrow \tau}{\Gamma \vdash e_1 \ e_2 \Rightarrow \tau'} \\
\\
\frac{\Gamma, \alpha : k \vdash e \Rightarrow \tau}{\Gamma \vdash \Lambda \alpha : k . e \Rightarrow \forall \alpha : k . \tau} \quad \frac{\Gamma \vdash e \Rightarrow \tau \quad \tau \Downarrow \forall \alpha : k . \tau' \quad \Gamma \vdash c \Leftarrow k}{\Gamma \vdash e[c] \Rightarrow [c / \alpha] \tau'} \quad \frac{\Gamma \vdash e \Rightarrow \tau' \quad \Gamma \vdash \tau \Leftarrow \tau' : T}{\Gamma \vdash e \Leftarrow \tau}
\end{array}$$

## 4 Singleton Kinds

```
sig
  type t
  type 'a u
  type ('a, 'b) v
  type w = int
  type w' = w
  .
  .
  .
end
```

To represent this in type our type system,  $t : T$

$u : T \rightarrow T$

$v : T \rightarrow T \rightarrow T$

(or  $v : T \times T \rightarrow T$ )

$w : S(f)$

$w' : S(w)$

### 4.1 Grammar and Judgements (Attempt 1)

Grammar:

$k ::= T \mid k \rightarrow k \mid k \times k \mid S(c)$

$c ::= \dots$

Judgements:

$$\frac{}{\Gamma \vdash c : S(c)} \qquad \frac{\Gamma \vdash c : S(c)}{\Gamma \vdash c \equiv c' : T} \qquad \frac{\Gamma \vdash c : T}{\Gamma \vdash S(c) : \text{kind}}$$

Signature for `list`.

```
sig
  .
  .
  .
  type 'a s = 'a list
  type 'a t
end
```

So we have  $t : T \rightarrow T$ .

How do we represent 'a s? Is  $s : T \rightarrow S(\alpha)$ ? But then what's  $\alpha$ .

## 4.2 Dependent Kinds (Grammar)

$k ::= T \mid \Pi\alpha : k . k \mid \Sigma\alpha : k . k \mid S(c)$

$c ::= \dots$

Note:  $\Pi$  is also known as “dependent product”

$\Sigma$  is also known as “dependent sum” (but also sometimes as “dependent product”).

To avoid confusion, we name  $\Pi$  “dependent function (spaces)”.

Now, we have  $s : \Pi\alpha : T . S(list\ \alpha)$ .

New judgements we need to be able to make:

$\Gamma \vdash k : \text{kind}$

$\Gamma \vdash k \equiv k' : \text{kind}$

$\Gamma \vdash k \leq k'$

$\Gamma \vdash c : k$

$\Gamma \vdash c \equiv c' : k$

$\Gamma \vdash e : \tau$

Note:  $S(f) \leq T$

### 4.3 $\Gamma \vdash k : \text{kind}$

$$\frac{}{\Gamma \vdash T : \text{kind}} \quad \frac{\Gamma \vdash c : T}{\Gamma \vdash S(c) : \text{kind}} \quad \frac{\Gamma \vdash k_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \Pi\alpha : k_1 . k_2 : \text{kind}}$$

$$\frac{\Gamma \vdash k_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \Sigma\alpha : k_1 . k_2 : \text{kind}}$$

### 4.4 $\Gamma \vdash k \equiv k' : \text{kind}$

$$\frac{\Gamma \vdash k : \text{kind}}{\Gamma \vdash k \equiv k : \text{kind}} \quad \frac{\Gamma \vdash k_1 \equiv k_2 : \text{kind}}{\Gamma \vdash k_2 \equiv k_1 : \text{kind}} \quad \frac{\Gamma \vdash k_1 \equiv k_2 : \text{kind} \quad \Gamma \vdash k_2 \equiv k_2 : \text{kind}}{\Gamma \vdash k_1 \equiv k_2 : \text{kind}} \quad \frac{\Gamma \vdash c \equiv c' : T}{\Gamma \vdash S(c) \equiv S(c') : \text{kind}}$$

$$\frac{\Gamma \vdash k_1 \equiv k'_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 \equiv k'_2 : \text{kind}}{\Gamma \vdash \Pi\alpha : k_1 . k_2 \equiv \Pi\alpha : k'_1 . k'_2 : \text{kind}} \quad \frac{\Gamma \vdash k_1 \equiv k'_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 \equiv k'_2 : \text{kind}}{\Gamma \vdash \Sigma\alpha : k_1 . k_2 \equiv \Sigma\alpha : k'_1 . k'_2 : \text{kind}}$$

Note: for the latter two, keep  $\Pi\alpha : k_1 . k_2 \stackrel{?}{\equiv} \Pi\alpha' : k'_1 . k'_2$  in mind

### 4.5 $\Gamma \vdash \alpha : k$

$$\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha : k} \quad \frac{\Gamma \vdash c_1 : T \quad \Gamma \vdash c_2 : T}{\Gamma \vdash c_1 \rightarrow c_2 : T} \quad \frac{\Gamma \vdash k : \text{kind} \quad \Gamma, \alpha : k \vdash c : T}{\Gamma \vdash \forall\alpha : k . c : T} \quad \frac{\Gamma \vdash k_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash c : k_2}{\Gamma \vdash \lambda\alpha : k_1 . c : \Pi\alpha : k_1 . k_2}$$

$$\frac{\Gamma \vdash c_1 : \Pi\alpha : k . k' \quad \Gamma \vdash c_2 : k}{\Gamma \vdash c_1\ c_2 : [c_1 / \alpha]k'} \quad \frac{\Gamma \vdash c_1 : k_2 \quad \Gamma \vdash c_2 : [c_1 / \alpha]k_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \langle c_1, c_2 \rangle : \Sigma\alpha : k_2 . k_2}$$

$$\frac{\Gamma \vdash c : \Sigma\alpha : k_1 . k_2}{\Gamma \vdash \pi_1 c : k_1} \quad \frac{\Gamma \vdash c : \Sigma\alpha : k_1 . k_2}{\Gamma \vdash \pi_2 c : [\pi_1 c / \alpha]k_2} \quad \frac{\Gamma \vdash c : k \quad \Gamma \vdash k \leq k'}{\Gamma \vdash c : k'}$$



Additional Judgements If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash c : k$ , then  $\Gamma \vdash k : \text{kind}$ .

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash k_1 \equiv k_2$ , then  $\Gamma \vdash k_1, k_2 \text{ kind}$ .

If  $\vdash \Gamma \text{ ok}$  and  $\Gamma \vdash k_1 \leq k_2$ , then  $\Gamma \vdash k_1, k_2 \text{ kind}$ .

$$\frac{\Gamma \vdash c : T}{\Gamma \vdash c : S(c)}$$

Sub-kinding:

$$\frac{\Gamma \vdash k \equiv k' : \text{kind}}{\Gamma \vdash k \leq k'} \quad \frac{\Gamma \vdash k_1 \leq k_2 \quad \Gamma \vdash k_2 \leq k_3}{\Gamma \vdash k_1 \leq k_3} \quad \frac{\Gamma \vdash c : T}{\Gamma \vdash S(c) \leq T} \quad \frac{\Gamma \vdash c \equiv c' : T}{\Gamma \vdash S(c) \leq S(c')}$$

$$\frac{\Gamma \vdash k'_1 \leq k_1 \quad \Gamma, \alpha : k'_1 \vdash k_2 \leq k'_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \Pi \alpha : k_1 . k_2 \leq \Pi \alpha : k'_1 . k'_2}$$

$$\frac{\Gamma \vdash k_1 \leq k'_1 \quad \Gamma, \alpha : k_1 \vdash k_2 \leq k'_2 \quad \Gamma, \alpha : k'_1 \vdash k'_2 : \text{kind}}{\Gamma \vdash \Sigma \alpha : k_1 . k_2 \leq \Sigma \alpha : k'_1 . k'_2}$$

Note: Something about contravariance for 1st condition.  $\Pi$  contravariant the same way arrow is contravariant. Covariance for 2nd condition. This is for  $\Pi$ .

#### 4.6 $\Gamma \vdash c \equiv c : k$

$$\frac{\Gamma \vdash c : k}{\Gamma \vdash c \equiv c : k} \quad \frac{\Gamma \vdash c_1 \equiv c_2 : k}{\Gamma \vdash c_2 \equiv c_1 : k} \quad \frac{\Gamma \vdash c_1 \equiv c_2 : k \quad \Gamma \vdash c_2 \equiv c_3 : k}{\Gamma \vdash c_1 \equiv c_3 : k}$$

$$\frac{\Gamma \vdash c_2 : k \quad \Gamma, \alpha : k \vdash c_1 : k'}{\Gamma \vdash (\lambda \alpha : k . c_1) c_2 \equiv [c_2 / \alpha] c_1 : [c_2 / \alpha] k'} \quad \frac{\Gamma \vdash c_1 : k_1 \quad \Gamma \vdash c_2 : k_2}{\Gamma \vdash \pi_i \langle c_1, c_2 \rangle \equiv c_i : k_i} \quad \frac{\Gamma \vdash c : S(c')}{\Gamma \vdash c \equiv c' : S(c')}$$

$$\frac{\Gamma \vdash c_1 \equiv c_2 : k \quad \Gamma \vdash k \leq k'}{\Gamma \vdash c_1 \equiv c_2 : k'} \star \quad \frac{\Gamma \vdash c \equiv c' : T}{\Gamma \vdash c \equiv c' : S(c)} \star \quad \frac{\Gamma \vdash k_1 \equiv k'_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash c \equiv c' : k_2}{\Gamma \vdash \lambda \alpha : k_1 . c \equiv \lambda \alpha : k'_1 . c' : \Pi \alpha : k_1 . k_2}$$

$$\frac{\Gamma \vdash c_1 \equiv c'_1 : \Pi \alpha : k . k' \quad \Gamma \vdash c_2 \equiv c'_2 : k}{\Gamma \vdash c_1 c_2 \equiv c'_1 c'_2 : [c_2 / \alpha] k'}$$

## 5 Sub-Typing

$\tau \leq \tau'$  means you can use a  $\tau$  wherever a  $\tau'$  is expected.

$$\frac{\Gamma \vdash e : \tau \quad \tau \leq \tau'}{\Gamma \vdash e : \tau'} \quad \frac{\tau_1 \leq \tau'_1 \quad \tau_2 \leq \tau'_2}{\Gamma \vdash \tau \times \tau_2 \leq \tau'_1 \times \tau'_2} \quad \frac{\tau'_1 \leq \tau_1 \quad \tau_2 \leq \tau'_2}{\tau_1 \rightarrow \tau_2 \leq \tau'_1 \rightarrow \tau'_2}$$

Note 1: This is a case of covariance on both sides.

Note 2: This is contravariant on the left and covariant on the right.

$\mathcal{N} \leq \mathcal{R}$ .

Assume we have  $f : \mathcal{N} \rightarrow \mathcal{N}$ .

$f : \mathcal{R} \rightarrow \mathcal{R}$ .

Assume we have  $f : \mathcal{R} \rightarrow \mathcal{R}$ .

Contravariance:  $f : \mathcal{N} \rightarrow \mathcal{R}$ .

$$\frac{\tau \equiv \tau' : \mathbf{T}}{\text{ref}(\tau) \leq \text{ref}(\tau')}$$

$\text{ref}(\tau)$  is neither covariant nor contravariant. Called “invariant”. (Poorly named, but it’s what’s used in literature.)

$$\frac{\Gamma \vdash k'_1 \leq k_1 \quad \Gamma, \alpha : k'_1 \vdash k_2 \leq k'_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \Pi \alpha : k_1 . k_2 \leq \Pi \alpha : k'_1 . k'_2}$$

$$\frac{\Gamma \vdash k'_1 \leq k_1 \quad \Gamma, \alpha : k'_1 \vdash k_2 \leq k'_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \Sigma \alpha : k_1 . k_2 \leq \Sigma \alpha : k'_1 . k'_2} \quad \frac{\Gamma \vdash c : S(c')}{\Gamma \vdash c \equiv c' : S(c')} \quad \frac{\Gamma \vdash c : S(c')}{\Gamma \vdash c \equiv c' : \mathbf{T}}$$

$$\frac{\Gamma \vdash c \equiv c' : \mathbf{T}}{\Gamma \vdash c : c' : S(c)}$$

Note 1: Sound, but not what we want.

More compatibility rules.

$$\frac{\Gamma \vdash c_1 \equiv c'_1 : k_1 \quad \Gamma \vdash c_2 \equiv c'_2 : [c_1 / \alpha]k_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash \langle c_1, c_2 \rangle \equiv \langle c'_1, c'_2 \rangle : \Sigma \alpha : k_1 . k_2} \quad \frac{\Gamma \vdash c \equiv c' : \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_1 c \equiv \pi_1 c' : k_1}$$

$$\frac{\Gamma \vdash c \equiv c' : \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_2 c \equiv \pi_2 c' : [\pi_1 c / \alpha]k_2} \quad \frac{\Gamma \vdash c_1 \equiv c'_1 : \mathbf{T} \quad \Gamma \vdash c_2 \equiv c'_2 : \mathbf{T}}{\Gamma \vdash c_1 \rightarrow c_2 \equiv c'_1 \rightarrow c'_2 : \mathbf{T}} \quad \frac{\Gamma \vdash k \equiv k' : \text{kind} \quad \Gamma, \alpha : k \vdash c \equiv c' : \mathbf{T}}{\Gamma \vdash \forall \alpha : k . c \equiv \forall \alpha : k' . c' : \mathbf{T}}$$

Rules for extentionality.

$$\frac{\Gamma, \alpha : k_1 \vdash c \alpha \equiv c' \alpha : k_2 \quad \Gamma \vdash c : \Pi \alpha : k_1 . k'_2 \quad \Gamma \vdash c' : \Pi \alpha : k_1 . k''_2}{\Gamma \vdash c \equiv c' : \Pi \alpha : k_1 . k_2}$$

$$\frac{\Gamma, \alpha : k_1 \vdash c \alpha \equiv c' \alpha : k_2 \quad \Gamma \vdash c \equiv c' : \Pi \alpha : k_1 . k'_2}{\Gamma \vdash c \equiv c' : \Pi \alpha : k_1 . k_2}$$

$$\frac{\Gamma \vdash \pi_1 c \equiv \pi_1 c' : k_1 \quad \Gamma \vdash \pi_2 c \equiv \pi_2 c' : [\pi_1 c / \alpha]k_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash c \equiv c' : \Sigma \alpha : k_1 . k_2}$$

Note 1: We only need this for proofs (regularity). We can safely ignore this.

We have no way of dealing with  $S(c : k)$ . So instead of redefining everything, treat it as a macro following the following rules:

$$S(c : T) = S(c)$$

$$S(c : \Pi\alpha : k_1 . k_2) = \Pi\alpha : k_1 . S(c \alpha : k_2)$$

$$S(c : S(c')) = S(c) \text{ (note here, } c \equiv c', \text{ so we can use either, but it's easier for us to use } c)$$

$$S(c : \Pi\alpha : k_1 . k_2) = \Sigma\alpha : S(\pi_1 c : k_1) . S(\pi_2 c : k_2)$$

$$\text{OR } S(\pi_1 c : k_1) \times S(\pi_2 c : [\pi_1 c / \alpha]k_2)$$

We use the 2nd because it's nicer when not working without theory. The first is more theoretic, the second is syntactic.

1. If  $\Gamma \vdash c : k$ , then  $\Gamma \vdash c : S(c : k)$
2. If  $\Gamma \vdash c : S(c' : k)$ , then  $\Gamma \vdash c \equiv c' : k$

But the first doesn't hold. So let's make it hold. Add “declarative” rules:

$$\frac{\Gamma \vdash k_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash c \alpha : k_2}{\Gamma \vdash c : \Pi\alpha : k_1 . k_2} \quad \frac{\Gamma \vdash \pi_1 c : k_2 \quad \Gamma \vdash \pi_1 c : [\pi_1 c / \alpha]k_2 \quad \Gamma, \alpha : k_1 \vdash k_2 : \text{kind}}{\Gamma \vdash c : \Sigma\alpha : k_1 . k_2}$$

Notes on definitional equivalence:

$$\alpha : T \vdash \alpha \neq \text{int} : T$$

$$\alpha : S(\text{int}) \vdash \alpha \equiv \text{int} : T$$

$$\vdash \lambda\alpha : T . \alpha \neq \lambda\alpha : T . \text{int} : T \rightarrow T$$

$$\vdash \lambda\alpha : T . \alpha \neq \lambda\alpha : T . \text{int} : S(\text{int}) \rightarrow T$$

$$\beta : (T \rightarrow T) \rightarrow T \vdash \beta(\lambda\alpha : T . \alpha \neq \beta(\lambda\alpha : T . \text{int} : T$$

$$\beta : (S(\text{int}) \rightarrow T) \rightarrow T \vdash \beta(\lambda\alpha : T . \alpha \equiv \beta(\lambda\alpha : T . \text{int} : T$$

$$T \rightarrow T \leq S(\text{int}) \rightarrow T$$

## 5.1 Algorithm for Equivalence Checking

$$\frac{\Gamma, \alpha : k_1 \vdash c \alpha \Leftrightarrow c' \alpha : k_2}{\Gamma \vdash c \Leftrightarrow c' : \Pi\alpha : k_1 . k_2} \quad \frac{\Gamma \vdash \pi_1 c \Leftrightarrow \pi_2 c' : k_1 \quad \Gamma \vdash \pi_2 c \Leftrightarrow \pi_2 c' : [\pi_1 c / \alpha]k_2}{\Gamma \vdash c \Leftrightarrow c' : \Sigma\alpha : k_1 . k_2}$$

$$\frac{\Gamma \vdash c_1 \Downarrow c'_1 \quad \Gamma \vdash c_2 \Downarrow c'_2 \quad \Gamma \vdash c'_1 \Leftrightarrow c'_2 : T}{\Gamma \vdash c_1 \Leftrightarrow c_2 : T} \quad \frac{\Gamma \vdash c \rightsquigarrow c' \quad \Gamma \vdash c' \Downarrow c''}{\Gamma \vdash c \Downarrow c''} \quad \frac{\Gamma \vdash c \not\rightsquigarrow}{\Gamma \vdash c \Downarrow c}$$

$$\frac{}{\Gamma \vdash (\lambda\alpha : k . c_1) c_2 \rightsquigarrow [c_2 / \alpha]c_1} \quad \frac{\Gamma \vdash c_1 \rightsquigarrow c'_1}{\Gamma \vdash c_1 c_2 \rightsquigarrow c'_1 c_2} \quad \frac{}{\Gamma \vdash \pi_i \langle c_1, c_2 \rangle \rightsquigarrow c_i} \quad \frac{\Gamma \vdash c \rightsquigarrow c'}{\Gamma \vdash \pi_i c \rightsquigarrow \pi_i c'}$$

$$\frac{\Gamma \vdash p \uparrow S(c)}{\Gamma \vdash p} \quad \frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha \uparrow k} \quad \frac{\Gamma \vdash p \uparrow \Pi\alpha : k_1 . k_2}{\Gamma \vdash p c \uparrow [c / \alpha]k_2} \quad \frac{\Gamma \vdash p \uparrow \Sigma\alpha : k_1 . k_2}{\Gamma \vdash \pi_1 p \uparrow k_1} \quad \frac{\Gamma \vdash p \uparrow \Sigma\alpha : k_1 . k_2}{\Gamma \vdash \pi_2 p \uparrow [\pi_1 p / \alpha]k_2}$$

$$\frac{\Gamma \vdash p \uparrow S(c)}{\Gamma \vdash p \rightsquigarrow c}$$

Example:

$$\frac{\frac{\frac{\alpha : S(\text{int}) \vdash \alpha \uparrow S(\text{int})}{\alpha : S(\text{int}) \vdash \alpha \rightsquigarrow \text{int}} \quad \frac{\dots \vdash \text{int} \not\rightsquigarrow}{\dots \vdash \text{int} \Downarrow \text{int}}}{\dots \vdash (\lambda \alpha : T \alpha \alpha \rightsquigarrow \alpha} \quad \frac{\dots \vdash \alpha \Downarrow \text{int}}{\alpha : S(\text{int}) \vdash (\lambda \alpha : T . \alpha) \alpha \Downarrow}} \quad \frac{}{\alpha : S(\text{int}) \vdash (\lambda \alpha : T \text{int}) \alpha \Downarrow \text{int}}}{\frac{\frac{\alpha : S(\text{int}) \vdash \text{int} \Leftrightarrow \text{int} : T}{\alpha : S(\text{int}) \vdash (\lambda \alpha : T . \alpha) \alpha \Leftrightarrow (\lambda \alpha : T . \text{int}) \alpha \Leftrightarrow}}{\vdash \lambda \alpha : T . \alpha \Leftrightarrow \lambda \alpha : T . \text{int} : S(\text{int}) \rightarrow T}}$$

One final rule:

$$\overline{\Gamma \vdash c_1 \Leftrightarrow c_2 : S(c)}$$

The precondition is that both  $c_1$  and  $c_2$  belong to  $S(c)$ , meaning they are equivalent to  $c$  and by transitivity, equivalent to each other.

Some rules that we will never use:

$$\overline{\Gamma \vdash c_1 \rightarrow c_2 \uparrow T} \quad \overline{\Gamma \vdash \forall \alpha : k . c \uparrow T}$$

Structural rules:

$$\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha \Leftrightarrow \alpha : k} \quad \frac{\Gamma \vdash p \Leftrightarrow p' : \Pi \alpha : k_1 . k_2 \quad \Gamma \vdash c \Leftrightarrow c' : k_1}{\Gamma \vdash p c \Leftrightarrow p' c' : [c / \alpha] k_2} \quad \frac{\Gamma \vdash p \Leftrightarrow p' : \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_1 p \Leftrightarrow \pi_1 p' : k_1}$$

$$\frac{\Gamma \vdash p \Leftrightarrow p' : \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_1 p \Leftrightarrow \pi_1 p' : [\pi_1 p / \alpha] k_2} \quad \frac{\Gamma \vdash c_1 \Leftrightarrow c'_1 : T \quad \Gamma \vdash c_2 \Leftrightarrow c'_2 : T}{\Gamma \vdash c_1 \rightarrow c_2 \Leftrightarrow c'_1 \rightarrow c'_2 : T}$$

$$\frac{\Gamma \vdash k \Leftrightarrow k' : \text{kind} \quad \Gamma, \alpha : k \vdash c \Leftrightarrow c' : T}{\Gamma \vdash \forall \alpha : k . c \Leftrightarrow \forall \alpha : k' . c' : T}$$

If  $\Gamma \vdash c \Leftrightarrow c' : k$  then  $\Gamma \vdash c \uparrow k$  also  $\exists k' . \Gamma \vdash c' \uparrow k'$  and  $\Gamma \vdash k \equiv k' : \text{kind}$

Structural comparison:

$$\frac{}{\Gamma \vdash T \Leftrightarrow T : \text{kind}} \quad \frac{\Gamma \vdash c \Leftrightarrow c' : T}{\Gamma \vdash S(c) \Leftrightarrow S(c') : \text{kind}} \quad \frac{\Gamma \vdash k_1 \Leftrightarrow k'_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 \Leftrightarrow k'_2 : \text{kind}}{\Gamma \vdash \Pi \alpha : k_1 . k_2 \Leftrightarrow \Pi \alpha : k'_1 . k'_2}$$

$$\frac{\Gamma \vdash k_1 \Leftrightarrow k'_1 : \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 \Leftrightarrow k'_2 : \text{kind}}{\Gamma \vdash \Sigma \alpha : k_1 . k_2 \Leftrightarrow \Sigma \alpha : k'_1 . k'_2}$$

$\Gamma \vdash k \leq k'$

$$\frac{}{\Gamma \vdash T \leq T} \quad \frac{}{\Gamma \vdash S(c) \leq T} \quad \frac{\Gamma \vdash c \Leftrightarrow c' : T}{\Gamma \vdash S(c) \leq S(c')} \quad \frac{\Gamma \vdash k'_1 \leq k_1 \quad \Gamma, \alpha : k'_1 \vdash k_2 \leq k'_2}{\Gamma \vdash \Pi \alpha : k_1 . k_2 \leq \Pi \alpha : k'_1 . k'_2}$$

$$\frac{\Gamma \vdash k_1 \leq k'_1 \quad \Gamma, \alpha : k_1 \vdash k_2 \leq k'_2}{\Gamma \vdash \Sigma \alpha : k_1 . k_2 \leq \Sigma \alpha : k'_1 . k'_2}$$

$\Gamma \vdash k \Leftarrow \text{kind}$

$$\frac{}{\Gamma \vdash \mathbf{T} \Leftarrow \text{kind}} \quad \frac{\Gamma \vdash c \Leftarrow \mathbf{T}}{\Gamma \vdash S(c) \Leftarrow \text{kind}} \quad \frac{\Gamma \vdash k_1 \Leftarrow \text{kind} \quad \Gamma, \alpha : k_1 \vdash k_2 \Leftarrow \text{kind}}{\Gamma \vdash \Pi \alpha : k_1 . k_2 \Leftarrow \text{kind}}$$

Suppose  $\vdash \Gamma$  ok. Then:

Soundness

- If  $\Gamma \vdash c_1, c_2 : k$  and  $\Gamma \vdash c_1 \Leftrightarrow c_2 : k$  then  $\Gamma \vdash c_1 \equiv c_2 : k$
- If  $\Gamma \vdash k_1, k_2 : \text{kind}$  and  $\Gamma \vdash k_1 \Leftrightarrow k_2 : \text{kind}$  then  $\Gamma \vdash k_1 \equiv k_2 : \text{kind}$
- If  $\Gamma \vdash k_1, k_2 : \text{kind}$  and  $\Gamma \vdash k_1 \leq k_2$  then  $\Gamma \vdash k_1 \leq k_2$
- If  $\Gamma \vdash k \Leftarrow \text{kind}$  then  $\Gamma \vdash k : \text{kind}$
- If  $\Gamma \vdash c \Rightarrow k$  then  $\Gamma \vdash c : k$

Completeness

- If  $\Gamma \vdash c_1 \equiv c_2 : k$  then  $\Gamma \vdash c_1 \Leftrightarrow c_2 : k$
- If  $\Gamma \vdash k_1 \equiv k_2 : \text{kind}$  then  $\Gamma \vdash k_1 \Leftrightarrow k_2 : \text{kind}$
- If  $\Gamma \vdash k_1 \leq k_2$  then  $\Gamma \vdash k_1 \leq k_2$
- If  $\Gamma \vdash k : \text{kind}$  then  $\Gamma \vdash k \Leftarrow \text{kind}$
- If  $\Gamma \vdash c : k$  then  $\Gamma \vdash c \Rightarrow k'$  and  $\Gamma \vdash k' \leq S(c : k)$

TODO: principle type

TODO: principle kind is a subkind of every other kind

Checking principle...

$\Gamma \vdash c \Rightarrow k$

$$\frac{\Gamma \vdash c \Rightarrow k' \quad \Gamma \vdash k' \leq k}{\Gamma \vdash c \Leftarrow k}$$

$$\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha \Rightarrow S(\alpha : k)} \quad \frac{\Gamma \vdash k \Leftarrow \text{kind} \quad \Gamma, \alpha : k \vdash c \Rightarrow k'}{\Gamma \vdash \lambda \alpha : k . c \Rightarrow \Pi \alpha : k . k'} \quad \frac{\Gamma \vdash c_1 \Rightarrow \Pi \alpha : k . k' \quad \Gamma \vdash c_2 \Leftarrow k}{\Gamma \vdash c_1 c_2 \Rightarrow [c_2 / \alpha]k'}$$

$$\frac{\Gamma \vdash c_1 \Rightarrow k_1 \quad \Gamma \vdash c_2 \Rightarrow k_2}{\Gamma \vdash \langle c_1, c_2 \rangle \Rightarrow k_1 \times k_2} \quad \frac{\Gamma \vdash c \Rightarrow \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_1 c \Rightarrow k_1} \quad \frac{\Gamma \vdash c \Rightarrow \Sigma \alpha : k_1 . k_2}{\Gamma \vdash \pi_2 c \Rightarrow [\pi_1 c / \alpha]k_2}$$

$$\frac{\Gamma \vdash c_1 \Leftarrow \mathbf{T} \quad \Gamma \vdash c_2 \Leftarrow \mathbf{T}}{\Gamma \vdash c_1 \rightarrow c_2 \Rightarrow S(c_1 \rightarrow c_2)} \quad \frac{\Gamma \vdash k \Leftarrow \text{kind} \quad \Gamma, \alpha : k \vdash c \Leftarrow \mathbf{T}}{\Gamma \vdash \forall \alpha : k . c \Rightarrow S(\forall \alpha : k . c)}$$

## 6 Checking Expressions

$\Gamma \vdash e \Rightarrow \tau$

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x \Rightarrow \tau} \qquad \frac{\Gamma \vdash e_1 \Rightarrow \tau_1 \quad \Gamma \vdash \tau_1 \Downarrow \tau \rightarrow \tau' \quad \Gamma \vdash e_2 \Leftarrow \tau}{\Gamma \vdash e_1 e_2 \Rightarrow \tau'}$$

## 7 Type-Directed Translation / Syntax-Directed Translation

A more accurate name: “Typing-derivation-directed translation”. We proceed by the analysis of the typing derivation of the rules.

Let’s represent the source and target languages in different colors, to indicate that they are different.

Property:

$\Gamma \vdash e : \tau$  if and only if  $\exists e . \Gamma \vdash e : \tau \rightsquigarrow e$ .

We also want:

If  $\Gamma \vdash e : \tau \rightsquigarrow e$ , something like  $\Gamma \vdash e : \tau$ .

But we have no concept of  $\Gamma$  or  $\tau$  or its derivations.

Instead:

Property:

If  $\Gamma \vdash e : \tau \rightsquigarrow e$  and  $\tau \rightsquigarrow \tau$  and  $\Gamma \rightsquigarrow \Gamma$ , then  $\Gamma \vdash e : \tau$ .

Why not  $\Gamma \vdash \tau : \mathbf{T} \rightsquigarrow \tau$ .

Simply, we’ll use “ If  $\Gamma \vdash e : \tau \rightsquigarrow e$  then  $\Gamma \vdash e : \tau$ ”

### 7.1 Coherence

For Terms:

Suppose  $\Gamma \vdash e : \tau \rightsquigarrow e$  and  $\Gamma \vdash e : \tau \rightsquigarrow e'$ .

$\Gamma \vdash e \cong e' : \tau$ .

This is too hard to even define, this is left to graduate courses. We aspire to it but it’s too much of a pain to actually do.

For Types:

Suppose  $\Gamma \vdash c : k \rightsquigarrow c$  and  $\Gamma \vdash c : k \rightsquigarrow c'$ .

Then,

$\Gamma \vdash c \equiv c' : k$ .

This is not an aspiration, we cannot live without this.

The 2nd property above can’t even be made without this, but it doesn’t have to be kind directed. And instead, we’ll just make it syntax directed, which will trivially prove that the two are equivalent.

### 7.2 Definition of $e$

$$\alpha = \alpha$$

$$\tau_1 \times \tau_2 = \tau_1 \times \tau_2$$

$$\tau_1 \rightarrow \tau_2 = \text{unit} \rightarrow \tau_1 \rightarrow \tau_2$$

$$\dots$$

$$\epsilon = \epsilon$$

$$\Gamma, x : \tau = \Gamma, x : \tau$$

$$\Gamma, \alpha : k = \Gamma, \alpha : k$$

Convolut ed example:

$$\frac{\Gamma \vdash \tau : T \quad \Gamma, x : \tau \vdash e : \tau \rightsquigarrow e}{\Gamma \vdash \lambda x : \tau . e : \tau \rightarrow \tau' \rightsquigarrow \lambda z : \text{unit} . \lambda x : \tau . e}$$

NOTE: the right part (after  $\rightsquigarrow$ ) indicates the need to shift in terms of debruijn indices.

More:

$$\frac{\Gamma \vdash e_1 : \tau \rightarrow \tau' \rightsquigarrow e_1 : \tau_1 \rightarrow \tau_2 = \text{unit} \rightarrow \tau \rightarrow \tau' \quad \Gamma \vdash e_2 : \tau \rightsquigarrow e_2 : \tau}{\Gamma \vdash e_1 e_2 : \tau' \rightsquigarrow e_1 <> e_2}$$

More (only well typed things translate):

$$\frac{\Gamma \vdash e_1 \Rightarrow \tau_1 \rightsquigarrow e_1 \quad \Gamma \vdash e_1 \Downarrow \tau \rightarrow \tau' \quad \Gamma \vdash e_2 \Rightarrow \tau_2 \rightsquigarrow e_2 \quad \Gamma \vdash \tau_2 \Leftrightarrow \tau : T}{\Gamma \vdash e_1 e_2 \Rightarrow \tau' \rightsquigarrow e_1 <> e_2}$$

### 7.3 $\Gamma \vdash e : \tau \rightsquigarrow e$

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau \rightsquigarrow x}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \rightsquigarrow e_1 \quad \Gamma \vdash e_2 : \tau_2 \rightsquigarrow e_2}{\Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2 \rightsquigarrow \langle e_1, e_2 \rangle}$$

$$\frac{\Gamma \vdash e : \tau_1 \times \tau_2 \rightsquigarrow e}{\Gamma \vdash \pi_1 e : \tau_1 \rightsquigarrow \pi_1 e}$$

## 8 More Things

$$\begin{array}{c}
\frac{\Gamma \vdash c : 1 \quad \Gamma \vdash c' : 1}{\Gamma \vdash c \equiv c' : 1} \qquad \frac{}{\Gamma \vdash * : 1} \\
\\
\frac{\Gamma \vdash c : k \quad \Gamma \vdash e : [c / \alpha] \tau \quad \Gamma, \alpha : k \vdash \tau : T}{\Gamma \vdash (\text{pack}[c, e] \text{ as } \exists \alpha : k . \tau) : \exists \alpha : k . \tau} \quad \frac{\Gamma \vdash e_1 : \exists \alpha : k . \tau \quad \Gamma, \alpha : k, x : \tau \vdash e_2 : \tau' \quad \Gamma \vdash \tau' : T}{\Gamma \vdash \text{unpack}[\alpha, x] = e_1 \text{ in } e_2 : \tau'} \\
\\
\frac{\Gamma \vdash \tau : T}{\Gamma \vdash \text{newtag}[\tau] : \text{tag}t} \quad \frac{\Gamma \vdash e_1 : \text{tag} \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash \text{tag}(e_1, e_2) : \text{exn}} \\
\\
\frac{\Gamma \vdash e_1 : \text{tag} \quad \Gamma \vdash e_2 : \text{exn} \quad \Gamma, x : e \vdash e_3 : \tau' \quad \Gamma \vdash e_4 : \tau'}{\Gamma \vdash \text{iftag}(e_1, e_2, x . e_3, e_4) : \tau'} \\
\\
\frac{\Gamma \vdash e_1 : \exists \alpha : k . \tau \quad \Gamma, \alpha : k, x : \tau \vdash e_2 : \tau' \quad \Gamma \vdash \tau : T}{\Gamma \vdash \text{unpack}[\alpha, x] = e_1 \text{ in } e_2 : \tau'} \\
\\
\frac{\Gamma \vdash e_1 \Rightarrow \tau_1 \quad \Gamma \vdash c : k \quad \Gamma \vdash e_1 \Downarrow \exists \alpha : k . \tau \quad \Gamma, \alpha : k, x : \tau \vdash e_2 \Rightarrow \tau' \quad \Gamma, \alpha : k \vdash \tau \Leftrightarrow [c / \alpha] \tau' : T}{\Gamma \vdash \text{unpack}[\alpha, x] = e_1 \text{ in } e_2 \Rightarrow [c / \alpha] \tau'}
\end{array}$$



## 9 Continuation-Passing Style (CPS)

- control-flow is explicit
- name all intermediate results
- reify control-flow (continuations) as data

The first two are often called “monadic form” or in literature, “A-normal form” (or by Harper, 2/3 CPS).

### 9.1 Target Language

Still have  $k$ ,  $c$ , and now we have expressions  $e$  (which do not return) and values  $v$ .

$k ::= \dots$

$c ::= \dots \mid \cancel{\tau \rightarrow \tau} \mid \cancel{\forall \alpha : k . \tau} \mid \neg \tau$

$e ::= v \mid \text{unpack}[\alpha, x] = v \text{ in } e \mid \text{let } x = \pi_i v \text{ in } e \text{ end} \mid \text{let } x = v \text{ in } e \text{ end} \mid \text{halt} \mid \dots v ::= x \mid \lambda x : \tau . e \mid \text{pack}[c, v] \text{ as } \exists \alpha . k . \tau$

Judgements:

$\Gamma \vdash v : \tau$

$\Gamma \vdash e : 0$

( $e$  does not return, so we use ‘0’ for ‘OK’)

$$\begin{array}{c}
\frac{\Gamma \vdash \tau : \mathbf{T} \quad \Gamma, x : \tau \vdash e : 0}{\Gamma \vdash \lambda x : \tau . e : \neg \tau} \quad \frac{\Gamma \vdash v_1 : \neg \tau \quad \Gamma \vdash v_2 : \tau}{\Gamma \vdash v_1 v_2 : 0} \quad \frac{\Gamma \vdash c : k \quad \Gamma \vdash v : [c / \alpha] \tau \quad \Gamma, \alpha : k \vdash \tau : \mathbf{T}}{\Gamma \vdash \text{pack}[c, v] \text{ as } \exists \alpha : k . \tau : \exists \alpha : k . \tau} \\
\\
\frac{\Gamma \vdash v : \exists \alpha : k . \tau \quad \Gamma, \alpha : k, x : \tau \vdash e : 0}{\Gamma \vdash \text{unpack}[\alpha, x] = v \text{ in } e : 0} \quad \frac{\Gamma \vdash v_i : \tau_i \quad (\forall i \in [n])}{\Gamma \vdash \langle v_1, \dots, v_n \rangle : x[\tau_1, \dots, \tau_n]} \quad \frac{\Gamma \vdash v : x[\tau_1, \dots, \tau_n] \quad \Gamma, x : \tau_i \vdash e : 0}{\Gamma \vdash v \text{ let } x = \pi_i v \text{ in } e \text{ end} : 0} \\
\\
\frac{\Gamma \vdash v : \tau \quad \Gamma, x : \tau \vdash e : 0}{\Gamma \vdash \text{let } x = v \text{ in } e \text{ end} : 0} \quad \frac{}{\Gamma \vdash \text{halt} : 0}
\end{array}$$

## 9.2 Translation

(NOTE: this is syntax-directed)

$$T = T$$

$$\Pi\alpha : k_1 . k_2 = \Pi\alpha : k_1 . k_2$$

$$S(c) = S(c)$$

$$1 = 1$$

$$\alpha = \alpha$$

$$\lambda\alpha : k . c = \lambda\alpha : k . c$$

$$c_1 \ c_2 = c_1 \ c_2$$

$$\langle c_1, c_2 \rangle = \langle c_1, c_2 \rangle$$

$$\pi_1 c = \pi_1 c$$

$$\pi_2 c = \pi_2 c$$

$$\tau_1 \rightarrow \tau_2 = \neg(\tau_1 \times \neg\tau_2)$$

$$x[\tau_1, \dots, \tau_n] = x[\tau_1, \dots, \tau_n]$$

$$\forall\alpha : k . \tau = \neg(\exists\alpha : k . \neg\tau)$$

$$\exists\alpha : k . \tau = \exists\alpha : k . \tau$$

$$\epsilon = \epsilon$$

$$\Gamma, \alpha : k = \Gamma, \alpha : k$$

$$\Gamma, x : \tau = \Gamma, x : \tau$$

$$[c_1/\alpha]c_2 = [c_1/\alpha]c_2$$

$$\alpha = \alpha$$

Type directed translation:

Judgement:

$$\Gamma \vdash e : \tau \rightsquigarrow x . e$$

Note here that this an expression where we compute the value of  $e$  and send it to the continuation  $x$ .

Type Principle:

If  $\Gamma \vdash e : \tau \rightsquigarrow x . e$  (and  $\vdash \Gamma$  ok) then  $\Gamma, k : \neg\tau \vdash e : 0$ .

Note:  $k$  is not the metavariable for kind in this case.

### 9.3 $\Gamma \vdash e : \tau \rightsquigarrow k . e$

$$\begin{array}{c}
\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau \rightsquigarrow k : \neg \tau . kx} \quad \frac{\Gamma \vdash e : x[\tau_0, \dots, \tau_{n-1}] \rightsquigarrow k' : \neg x[\tau_0, \dots, \tau_{n-1}] . e}{\Gamma \vdash \pi_i e : \tau_i \rightsquigarrow k : \textcolor{blue}{f}_i . \text{let } k' = \lambda x : *[\tau_0, \dots, \tau_{n-1}] . \text{let } y = \pi_i x \text{ in } ky \text{ end in } e \text{ end}} \\
\\
\frac{\Gamma \vdash e_i : \tau_i \rightsquigarrow k_i : \neg \tau_i . e_i \quad (i = 1 \dots n)}{\Gamma \vdash \langle e_1, \dots, e_n \rangle : *[\tau_i, \dots, \tau_n] \rightsquigarrow} \\
k : \neg x[\tau_1, \dots, \tau_n . \text{let } k_1 = \lambda x_1 : \tau_1 . \text{let } k_2 = \lambda x_2 : \tau_2 . \dots \text{let } k_n = \lambda x_n . \tau_n k \langle x_1, \dots, x_n \text{ in } e_n \text{ end in } e_2 \text{ end in } e_1 \text{ end} \\
\\
\frac{\Gamma \vdash \tau_1 : T \quad \Gamma, x : \tau_1 \vdash e : \tau_2 \rightsquigarrow k' : \textcolor{blue}{f}_2 . e}{\Gamma \vdash \lambda x : \tau_1 . e : \tau_1 \rightarrow \tau_2 \rightsquigarrow k : \textcolor{blue}{f}_1 \rightarrow \tau_2 = (\tau_1 \times \textcolor{blue}{f}_2) . k(\lambda y : \tau_1 \times \tau_2 . \text{let } x = \pi_0 y \text{ in let } k = \pi_1 y \text{ in } e \text{ end end})} \\
\\
\frac{\Gamma \vdash e_1 : \tau \rightarrow \tau' \rightsquigarrow k : \neg \tau \rightarrow \tau' = \neg \neg (\tau_1 \times \neg \tau_2) . e \quad \Gamma \vdash e_2 : \tau \rightsquigarrow k_2 : \neg \tau . e_2}{\Gamma \vdash e_1 e_2 : \tau' \rightsquigarrow k : \neg \tau' . \text{let } k_1 = \lambda f : \neg (\tau \times \neg \tau') . \text{let } k_2 = \lambda x : \tau . f \langle x, k \rangle \text{ in } e_2 \text{ end in } e_1 \text{ end}} \\
\\
\frac{\Gamma \vdash c : k \quad \Gamma \vdash e : [c / \alpha] \tau \rightsquigarrow k' : \neg [c / \alpha] \tau . e \quad \Gamma, \alpha : k \vdash \tau : T}{\Gamma \vdash \text{pack}[c, e] \text{ as } \exists \alpha : k . \tau : \exists \alpha : k . e \rightsquigarrow k : \neg \exists \alpha : k . \tau = \neg \exists \alpha : k . \tau . \text{let } k' : \lambda x : [c / \alpha] \tau . k(\text{pack}[c, x] \text{ as } \exists \alpha : k . \tau = \text{ in } e \text{ end}} \\
\\
\frac{\Gamma \vdash e_1 : \exists \alpha : k . \tau \rightsquigarrow \neg \exists \alpha : k . \tau \quad \Gamma, \alpha : k, x : \tau \vdash e_2 : \tau' \rightsquigarrow k_2 : \neg \tau' . e_2 \quad \Gamma \vdash \tau : T}{\Gamma \vdash \text{unpack}[\alpha, x] = e_1 \text{ in } e_2 \rightsquigarrow k : \neg \tau' . \text{let } k_1 = \lambda x_1 : \exists \alpha : k \tau . \text{unpack}[\alpha, x] = x_1 \text{ in } [k / k_2] e_2 \text{ in } e_1 \text{ end}} \\
\\
\frac{\Gamma \vdash k : \text{kind} \quad \Gamma, \alpha : k \vdash e : \tau \rightsquigarrow k' : \neg \tau . e}{\Gamma \vdash \Lambda \alpha : k . e : \forall \alpha : k . \tau \rightsquigarrow k : \neg \forall \alpha : k . \tau = \neg \neg (\exists \alpha : k . \tau) . k(\lambda x : \exists \alpha : k . \neg \tau . \text{unpack}[\alpha, k'] = x \text{ in } e)}
\end{array}$$

$$\frac{\Gamma \vdash e : \forall \alpha : k . \tau \rightsquigarrow k' : \neg \forall \alpha : k . \tau = \neg \neg (\exists \alpha : k . \neg \tau) . e \quad \Gamma \vdash c : k}{\Gamma \vdash e[c] : [c / \alpha] \tau \rightsquigarrow k' : \neg [c / \alpha] \tau . \text{let } k' = \lambda f : \neg (\exists \alpha : k . \neg \tau) . f(\text{pack}[c, k] \text{ as } \exists \alpha : k . \neg \tau) \text{ in } e \text{ end}}$$

Note  $\neg[c / \alpha] \tau = \neg[c / \alpha] \tau$ .

## 9.4 Exceptions

$$\begin{aligned} \tau_1 \rightarrow \tau_2 &= \neg(\times[\tau_1, \neg \tau_2, \neg \text{exn}]) \\ \forall \alpha : k . \tau &= \neg(\exists \alpha : k . \neg \tau \times \neg \text{exn}) \end{aligned}$$

Judgement:  $\Gamma \vdash e : \tau \rightsquigarrow k' : \neg \tau k'_{\text{ex}}^{\neg \text{exn}} . e$

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau \rightsquigarrow k k_{\text{ex}} . kx}$$

$$\frac{\Gamma \vdash e_i : \tau_i \rightsquigarrow k_i' : \neg \tau_i k_{\text{ex}i}'^{\neg \text{exn}} . e_i \quad (i = 1 \dots n)}{\Gamma \vdash \langle e_1, \dots, e_n \rangle : x[\tau_1, \dots, \tau_n] \rightsquigarrow k k_{\text{ex}} . \text{let } k_1 = \lambda x_1 : \tau_1 \dots \text{let } k_n = \lambda x_n : \tau_n . k \langle x_i, \dots, x_n \rangle \text{ in } e_n \text{ end} \dots \text{in } e_1 \text{ end}}$$

$$\frac{\Gamma \vdash e : T \quad \Gamma \vdash e : \text{exn} \rightsquigarrow k' : \neg \text{exn} k_{\text{ex}}'^{\neg \text{exn}} . e}{\Gamma \vdash \text{raise}_\tau e : \tau \rightsquigarrow k' : \neg \tau k_{\text{ex}}'^{\neg \text{exn}} . \text{let } k' = k_{\text{ex}} \text{ in } e \text{ end}}$$

$$\frac{\Gamma \vdash e : \tau \rightsquigarrow k' : \neg \tau k_{\text{ex}1}' . e_1 \quad \Gamma, x : \text{exn} \vdash e_2 : \tau \rightsquigarrow k' : \neg \tau k_{\text{ex}}' . e_2}{\Gamma \vdash \text{handle}(e_1, x . e_2 : \tau \rightsquigarrow k' : \neg \tau k_{\text{ex}}'^{\neg \text{exn}} . \text{let } k_{\text{em}} = \lambda x : \text{exn} . e_2 \text{ in } e_1 \text{ end})}$$

$$\frac{\Gamma \vdash \tau_1 : T \quad \Gamma, x : \tau_1 \vdash e : \tau_2 \rightsquigarrow k' : \neg \tau_2 k_{\text{ex}}' . e_2}{\Gamma \vdash \lambda x : \tau_1 . e : \tau_1 \rightarrow \tau_2 \rightsquigarrow k' : \neg \tau_1 \rightarrow \tau_2 = \neg \neg (\times[\tau_1, \neg \tau_2, \neg \text{exn}]) k_{\text{ex}} . k(\lambda y : x[\tau_1, \neg \tau_2, \neg \text{exn}] . \text{let } x = \pi_0 y \text{ in let } k' = \pi_1 y \text{ in let } k'_{\text{ex}} = \pi_2 y \text{ in } e \text{ end end end})}$$

$$\frac{\Gamma \vdash e_1 : \tau \rightarrow \tau' \rightsquigarrow k_1' : \neg \tau \rightarrow \tau' = \neg \neg (\times[\tau, \neg \tau', \neg \text{exn}]) k_{\text{ex}} . e_1 \quad \Gamma \vdash e_2 : \tau \rightsquigarrow k_2' : \neg \tau k_{\text{ex}2}' . e_2}{\Gamma \vdash e_1 e_2 : \tau' \rightsquigarrow k' : \neg \tau' k_{\text{ex}}' . \text{let } k_1 = (\lambda f : \neg(x[\tau, \neg \tau', \neg \text{exn}]) . \text{let } k_2 = \lambda x : \tau . f \langle x, k, k_{\text{ex}} \rangle \text{ in } e_2 \text{ end}) \text{ in } e_1 \text{ end}}$$

## 9.5 Continuations

$$\begin{aligned} \text{callcc} &: ('a \text{ cont} \rightarrow 'a) \rightarrow 'a \\ \text{throw} &: ('a \text{ cont} \times 'a) \rightarrow 'b \end{aligned}$$

Typing Rules

$$\frac{\Gamma \vdash \tau : T \quad \Gamma, x : \text{cont } \tau \vdash e : \tau}{\Gamma \vdash \text{callcc}_\tau x . e : \tau} \quad \frac{\Gamma \vdash \tau' : T \quad \Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \text{cont } \tau}{\Gamma \vdash \text{throw}_{\tau'} e_1 \text{ to } e_2 : \tau'}$$

Translation Rules

$$\frac{\Gamma \vdash \tau : T \quad \Gamma, x : \text{cont } \tau \vdash e : \tau \rightsquigarrow k' : \neg \tau . e}{\Gamma \vdash \text{callcc}_\tau x . e : \tau \rightsquigarrow k' : \neg \tau . \text{let } k' = k \text{ in let } x = k \text{ in } e \text{ end end}}$$

$$\frac{\Gamma \vdash \tau' : T \quad \Gamma \vdash e_1 : \tau \rightsquigarrow k_1' : \neg \tau' . e_1 \quad \Gamma \vdash e_2 : \text{cont } \tau \rightsquigarrow k_2' : \neg \text{cont } \tau = \neg \neg \tau' . e_2}{\Gamma \vdash \text{throw}_{\tau'} e_1 \text{ to } e_2 : \tau' \rightsquigarrow k' : \neg \tau' . \text{let } k_1 = \lambda x : \tau . \text{let } x_2 = \lambda y : \neg \tau' . y x \text{ in } e_2 \text{ end in } e_1 \text{ end}}$$

## 10 Closure Conversion

A closure is a tuple containing code and the environment that will be passed in as an additional argument to the code. The code is closed, with no open terms.

Concrete example:

$\lambda x : \text{int} . x + y + z$

$\rightsquigarrow \langle (\lambda x : \text{int} . \lambda \text{env} : \text{int} \times \text{int} . \text{let } y = \pi_0 \text{env in let } z = \pi_1 \text{env in } x + y + z \text{ end end}), \langle y, z \rangle \rangle$

$e \ 5$

$\rightsquigarrow \text{let } f = \pi_0 e \text{ in let env} = \pi_1 e \text{ in } f \ 5 \text{ env end end}$

This can get really messy and really inefficient, so what we really want to do is convert some curried and some tupled functions and turn them into some special internal representation for multi-argument functions.

Types may be different if the environment is different, so when converting, we can try something like, where we don't care about the existential, since we won't be manipulating it:

$\tau_1 \rightarrow \tau_2 = \exists \alpha_{\text{env}} : \mathbf{T} . (\tau_1 \rightarrow \alpha_{\text{env}} \rightarrow \tau_2) \times \alpha_{\text{env}}$

### 10.1 Target Language (IL-Closure)

$\Delta; \Gamma \vdash e : 0$

$\Delta; \Gamma \vdash v : \tau$

$\Gamma ::= \epsilon \mid \Gamma, x : \tau$

$\Delta ::= \epsilon \mid \Delta, \alpha : k$

Only rule additional we need:

$$\frac{\Delta \vdash \tau : \mathbf{T} \quad \Gamma; (\epsilon, x : \tau) \vdash e : 0}{\Delta; \Gamma \vdash \lambda x : \tau . e : \neg \tau}$$

### 10.2 Type Translation

$\alpha = \alpha$

$\vdots$

$\neg \tau = \exists \alpha_{\text{env}} : \mathbf{T} . \neg(\tau \times \alpha_{\text{env}}) \times \alpha_{\text{env}}$

$x[\tau_1, \dots, \tau_n] = x[\tau_1, \dots, \tau_n]$

How would we deal with  $\forall \alpha : k . \tau$ ? Turns out it's really hard. But because of how we got rid of them back during CPS conversion, we don't even have to deal with it anymore!

### 10.3 Type Principle

If  $\Delta; \Gamma \vdash e : 0 \rightsquigarrow e$  then  $\Delta; \Gamma \vdash e : 0$ .

If  $\Delta; \Gamma \vdash v : \tau \rightsquigarrow v$  then  $\Delta; \Gamma \vdash v : \tau$ .

## 10.4 $\Delta; \Gamma \vdash e : 0 \rightsquigarrow \textcolor{blue}{e}, \Delta; \Gamma \vdash v : \tau \rightsquigarrow \textcolor{blue}{v}$

$$\begin{array}{c}
\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau \rightsquigarrow x} \qquad \frac{\Delta; \Gamma \vdash v_i : \tau_i \rightsquigarrow \textcolor{blue}{v}_i}{\Delta; \Gamma \vdash \langle v_1, \dots, v_n \rangle : x[\tau_1, \dots, \tau_n] \rightsquigarrow \langle \textcolor{blue}{v}_1, \dots, \textcolor{blue}{v}_n \rangle} \\
\\
\frac{\Delta \vdash \tau : \mathsf{T} \quad \Delta; \Gamma, x : \tau \vdash e : 0 \rightsquigarrow \textcolor{blue}{e} \quad \Gamma = x_1 : \tau_1, \dots, x_n : \tau_n}{\Delta; \Gamma \vdash \lambda x : \tau. e : \neg \tau \rightsquigarrow \mathsf{pack}[x[\textcolor{blue}{\tau}_1, \dots, \textcolor{blue}{\tau}_n], \langle} \\
(\lambda y : \tau \times x[\textcolor{blue}{\tau}_1, \dots, \textcolor{blue}{\tau}_n]. \mathsf{let } x = \pi_0 y \mathsf{ in let env} = \pi_1 y \mathsf{ in let } x_1 = \pi_0 \mathsf{env} \mathsf{ in } \dots \mathsf{let } x_n = \pi_{n-1} \mathsf{env} \mathsf{ in } \textcolor{blue}{e} \mathsf{ end end end end}), \\
\langle x_1, \dots, x_n \rangle \rangle] \mathsf{as } \exists \alpha_{\mathsf{env}} : \mathsf{T}. \neg(\tau \times \alpha_{\mathsf{env}}) \times \alpha_{\mathsf{env}}} \\
\\
\frac{\Delta; \Gamma \vdash v_1 : \neg \tau \rightsquigarrow \textcolor{blue}{v}_1 : \neg \tau = \exists \alpha. \neg(\tau \times \alpha) \times \alpha \quad \Delta; \Gamma \vdash v_2 : \tau \rightsquigarrow \textcolor{blue}{v}_2 : \tau}{\Delta; \Gamma \vdash v_1 \ v_2 : 0 \rightsquigarrow \mathsf{unpack}[\alpha_{\mathsf{env}}, x] = \textcolor{blue}{v}_1 \mathsf{inlet } f = \pi_0 x \mathsf{ in let env} = \pi_1 x \mathsf{ in } f \langle \textcolor{blue}{v}_2, \mathsf{env} \rangle \mathsf{ end end}}
\end{array}$$

To solve some inefficiency, instead of passing around the environment, instead, pass around the closure.

In environment passing, we had  $\tau_1 \rightarrow \tau_2 = \exists \alpha_{\mathsf{env}} : \mathsf{T}. (\tau_1 \times \alpha_{\mathsf{env}} \rightarrow \tau_2) \times \alpha_{\mathsf{env}}$ .

In closure passing, we have  $\mu \beta. \exists \alpha_{\mathsf{env}}. \tau_1 \times \beta \rightarrow \tau_2 \times \alpha_{\mathsf{env}}$ .

We can apparently use this to understand objected oriented programming better and some of the research might not even be all that wrong.

There's more stuff one can do ....

## 11 Hoisting

Type Translation:

$$\textcolor{blue}{\tau} = \tau$$

### 11.1 Target Language: IL-Hoist

$$\begin{aligned}
c &::= \dots \mid \forall \alpha : k. c \\
e &::= \dots \\
v &::= \dots \mid \lambda x : \textcolor{blue}{\tau}. e \mid v[c] \mid \overline{\Lambda \alpha : k. v} \\
f &::= \lambda x : \tau. e \mid \Lambda \alpha : k. f \\
b &::= x = f \\
P &::= \mathsf{let } b \mathsf{ in } P \mid e
\end{aligned}$$

To hoist the type: ‘type-erasure semantics’.

## 11.2 Judgements

$\Delta; \Gamma \vdash e : 0 \rightsquigarrow \text{let} \vec{b} \text{ in } e$   
 $\Delta; \Gamma \vdash v : \tau \rightsquigarrow \text{let} \vec{b} \text{ in } v$

## 11.3 $\Delta; \Gamma \vdash f : \tau$

$$\frac{\Delta, \alpha : k; \Gamma \vdash f : \tau}{\Delta; \Gamma \vdash \Lambda \alpha : k . f : \forall \alpha : k . \tau} \quad \frac{\Delta \vdash \tau : T \quad \Delta; \Gamma, x : \tau \vdash e : 0}{\Delta; \Gamma \vdash \lambda x : \tau . e : \neg \tau}$$

## 11.4 $\Gamma \vdash P : 0$

$$\frac{.; \Gamma \vdash f : \tau \quad \Gamma, x : \tau \vdash P : 0}{\Gamma \vdash \text{let } x = f \text{ in } P \text{ end} : 0} \quad \frac{.; \Gamma \vdash e : 0}{\Gamma \vdash e : 0}$$

## 11.5 $\Delta; \Gamma \vdash v : \tau \rightsquigarrow \text{let } \vec{b} \text{ in } v \text{ end}$

$$\frac{\Gamma(x) = \tau}{\Delta; \Gamma \vdash x : \tau \rightsquigarrow \text{let } \vec{b} \text{ in } x \text{ end}} \quad \frac{\Delta; \Gamma \vdash v_i : \tau_i \rightsquigarrow \text{let } \vec{b}_i \text{ in } v_i \text{ end} \quad (\text{for } i = 1 \dots n)}{\Delta; \Gamma \vdash \langle v_1 \dots v_n \rangle : \times[\tau_1 \dots \tau_n] \text{let } \vec{b}_1 \dots \vec{b}_n \text{ in } \langle \langle v_1 \dots v_n \rangle \text{ end} \rightsquigarrow \text{let } \vec{b} \text{ in } v \text{ end} \text{ end} \quad \Delta; \Gamma \vdash v_1 : \neg \tau \rightsquigarrow \text{let } \vec{b}_1 \text{ in } v_1 \text{ end} \quad \Delta; \Gamma \vdash v_2 : \tau \rightsquigarrow \text{let } \vec{b}_2 \text{ in } v_2 \text{ end}}{\Delta; \Gamma \vdash v_1 v_2 : 0 \rightsquigarrow \text{let } \vec{b}_1, \vec{b}_2 \text{ in } v_1 v_2 \text{ end}}$$

$$\frac{\Delta \vdash \tau : T \quad \Delta; x : \tau \vdash e : 0 \rightsquigarrow \text{let } \vec{b} \text{ in } e \text{ end} \quad y \notin FV(\Gamma), y \neq x, y \notin BV(\vec{b}) \quad \Delta = \alpha_1 : k_1, \dots, \alpha_n : k_n}{\Delta; \Gamma \vdash \lambda x : \tau . e : \neg \tau \rightsquigarrow \text{let } \vec{b}, y = \Lambda \alpha_1 : k_1 \dots \Lambda \alpha_n : k_n . \lambda x : \tau . e \text{ in } y[\alpha_1] \dots [\alpha_n] \text{ end}}$$

## 11.6 $\Delta; \Gamma \vdash e : 0 \rightsquigarrow \text{let } \vec{b} \text{ in } v \text{ end}$

$$\frac{.; \vdash e : 0 \rightsquigarrow \text{let } \vec{b} \text{ in } e \text{ end} \quad (\vec{b} = b_1, \dots, b_n)}{\vdash_{\text{top}} e : 0 \rightsquigarrow \text{let } b_1 \text{ in } \dots \text{let } b_n \text{ in } e \text{ end end}}$$

TODO: ASIDE PREVIOUS: CLOSURE CONVERSION

$$\frac{.; \vdash e : 0 \rightsquigarrow e}{\vdash_{\text{top}} e : 0 \rightsquigarrow e}$$

TODO: ASIDE PREVIOUS: CPS CONVERSION

$$\frac{.; \vdash e : \tau \rightsquigarrow k k_{ex} . e}{\vdash_{\text{top}} e : \tau \rightsquigarrow \text{let } k = \lambda x : \tau . \text{halt} \text{ in } \text{let } k_{ex} = \lambda x : \text{exn} . \text{let } _ = \text{print "uncaught exception" in halt end in } e \text{ end end}}$$

## 12 Alloc

### 12.1 Target Language: IL-Alloc

$$\begin{aligned}
a &::= x = \text{alloc}[n] \mid x = \pi_i y \mid \pi_i y ::= v \mid x = v \\
e &::= \text{let } a \text{ in } e \text{ end} \mid x \ x \mid \text{halt} \\
v &::= x \mid \dots \mid \langle \cancel{v_1 \dots v_n} \rangle \\
f &::= \lambda x : \tau . e \\
b &::= x = f \\
P &::= \text{let } b \text{ in } P \mid e
\end{aligned}$$

### 12.2 Judgements and Translations

$$\begin{aligned}
&\Delta; \Gamma \vdash e : 0 \rightsquigarrow e \\
&\Delta; \Gamma \vdash v : \tau \rightsquigarrow \text{let } \vec{a} \text{ in } v \text{ end}
\end{aligned}$$

### 12.3 $\Delta; \Gamma \vdash v : \tau \rightsquigarrow \text{let } \vec{a} \text{ in } v \text{ end}$

$$\frac{\Delta; \Gamma \vdash v_i : \tau_i \rightsquigarrow \text{let } \vec{a}_i \text{ in } v_i \text{ end} \quad (\text{for } i = 1 \dots n) \quad \text{fresh}[x]}{\Delta; \Gamma \vdash \langle v_1 \dots v_n \rangle : \times[\tau_1 \dots \tau_n] \rightsquigarrow \text{let } \vec{a}_1 \dots \vec{a}_n, x = \text{alloc}[n], \pi_0 x = v_1 \dots, \pi_{n-1} x = v_n \text{ in } x \text{ end}}$$

### 12.4 $\Delta; \Gamma \vdash e : 0 \rightsquigarrow e$

$$\begin{aligned}
&\frac{\Delta; \Gamma \vdash v : \tau \rightsquigarrow \text{let } \vec{a} \text{ in } i \text{ end} n v \quad \Delta; \Gamma, x : \tau \vdash e : 0 \rightsquigarrow e \quad \vec{a} = a_1, \dots, a_n}{\Delta; \Gamma \vdash \text{let } x = v \text{ in } e \text{ end} : 0 \rightsquigarrow \text{let } a_1 \text{ in } \dots \text{let } a_n \text{ in let } x = v \text{ in } e \text{ end end end}} \\
&\frac{\Delta; \Gamma \vdash v_1 : \neg \tau \rightsquigarrow \text{let } \vec{a}_1 \text{ in } v_1 \text{ end} \quad \Delta; \Gamma \vdash v_2 : \tau \rightsquigarrow \text{let } \vec{a}_2 \text{ in } v_2 \text{ end}}{\Delta; \Gamma \vdash v_1 v_2 : 0 \rightsquigarrow \text{let } \vec{a}_1 \text{ in let } \vec{a}_2 \text{ in } v_1 v_2 \text{ end end}}
\end{aligned}$$

## 13 Module

### 13.1 Target Language: IL-Module

$$\begin{aligned}
k &::= T \mid S(c) \mid \Pi \alpha : k_1 . k_2 \mid \Sigma \alpha : k_1 . k_2 \mid 1 \\
c &::= \dots \mid c_1 \rightarrow c_2 \mid \forall \alpha : k . c \mid \dots \\
e &::= \dots \mid \text{ext } M \\
\sigma &::= 1 \mid \langle k \rangle \mid \langle \tau \rangle \mid \Pi^{\text{gen}} \alpha : \sigma_1 . \sigma_2 \mid \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2 \mid \Sigma \alpha : \sigma_1 . \sigma_2 \\
M &::= * \mid \langle k \rangle \mid \langle e \rangle
\end{aligned}$$



$$\begin{aligned}
k &::= T \mid S(c) \mid \Pi \alpha : k_1 . k_2 \mid \Sigma \alpha : k_1 . k_2 \mid 1 \\
\tau, c &::= \alpha \mid \lambda \alpha : k . c \mid c \ c \mid \langle c, c \rangle \mid \pi_1 c \mid \pi_2 c \mid * \mid \tau \rightarrow \tau \mid \langle \tau_1 \dots \tau_n \rangle \mid \mathbf{ext} \ M \\
e &::= \dots \mid \mathbf{ext} \ M \\
\sigma &::= 1 \mid \langle k \rangle \mid \langle \tau \rangle \mid \Pi^{\text{gen}} \alpha / s : \sigma_1 . \sigma_2 \mid \Pi^{\text{app}} \alpha / s : \sigma_1 . \sigma_2 \mid \Sigma \alpha / s : \sigma_1 . \sigma_2 \\
M &::= s \mid * \mid \langle c \rangle \mid \langle e \rangle \mid \lambda^{\text{gen}} \alpha / s : \sigma . M \mid \lambda^{\text{app}} \alpha / s : \sigma . M \mid M \cdot M \mid M \ M \mid \langle M, M \rangle \mid \Pi_1 M \mid \Pi_2 M \mid M :> \sigma \\
\Gamma &::= \epsilon \mid \Gamma, \alpha : k \mid \Gamma, x : \tau \mid \Gamma, \alpha / s : \sigma
\end{aligned}$$

$\sigma$  for signatures

$M$  for modules

$\alpha/s$  is called twinning.  $\alpha$  stands for the static portion of  $s$ .

in  $\sigma$ , we don't need twinning because no kind ever contains  $s$ , so we can't actually even make use of it even on accident.

So how does this map to SML modules?

```

sig
  type t
  val f : t
end

struct
  type t = int
  val f = 12
end

```

The signature translates to  $\Sigma \alpha / s : \langle T \rangle . \langle \mathbf{ext} \ s \rangle$

The structure translates to  $\langle \langle \text{int} \rangle, \langle 12 \rangle \rangle$

1. Phase distinction: static vs. dynamic  
 Type Checking relies only on static components  
 so: static can never depend on dynamic  
 Having a 2nd class module system solves this problem.  
 If we had a 1st class module system, we need to try very hard to preserve phase distinction.

## 2. Static Projection

$$\begin{array}{c}
\Gamma \vdash \mathbf{Fst}(M) \gg c \qquad \Gamma \vdash \mathbf{Fst}(*) \gg * \qquad \Gamma \vdash \mathbf{Fst}(\langle c \rangle) \gg c \qquad \Gamma \vdash \mathbf{Fst}(\langle c \rangle) \gg * \\
\\
\frac{\Gamma \vdash \mathbf{Fst}(M_1) \gg c_1 \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash \mathbf{Fst}(M_1 \cdot M_2) \gg c_1 \ c_2} \qquad \frac{\Gamma \vdash \mathbf{Fst}(M_1) \gg c_1 \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash \mathbf{Fst}(\langle M_1, M_2 \rangle) \gg \langle c_1, c_2 \rangle} \\
\\
\frac{\Gamma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash \mathbf{Fst}(\pi_i M) \gg \pi_i c} \qquad \frac{\alpha / s : \sigma \in \Gamma}{\Gamma \vdash \mathbf{Fst}(s) \gg \alpha} \qquad \frac{\Gamma, \alpha / s : \sigma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash \mathbf{Fst}(\lambda^{\text{ap}} s : \sigma . M) \gg \lambda \alpha : \mathbf{Fst}(\sigma) . c}
\end{array}$$

## 3. Twinning

## 4. Sealing is an effect

$$\begin{aligned}
& \mathbf{Fst}(1) = 1 \\
& \mathbf{Fst}(\langle k \rangle) = k \\
& \mathbf{Fst}(\langle k \rangle) = 1 \\
& \mathbf{Fst}(\Pi^{\mathbf{ap}}\alpha/s : \sigma_1 . \sigma_2) = \Pi\alpha : \mathbf{Fst}(\sigma_1) . \mathbf{Fst}(\sigma_2) \\
& \mathbf{Fst}(\Sigma\alpha/s : \sigma_1 . \sigma_2) = \Sigma\alpha : \mathbf{Fst}(\sigma_1) . \mathbf{Fst}(\sigma_2)
\end{aligned}$$

### 13.2 $\Gamma \vdash s : \sigma$

$$\begin{array}{c}
\frac{\Gamma(\alpha) = k}{\Gamma \vdash \alpha : k} \quad \frac{\alpha : k \in \Gamma}{\Gamma \vdash \alpha : k} \quad \frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash \alpha : \mathbf{Fst}(\sigma)} \\
\\
\frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash s : \sigma} \quad \frac{}{\Gamma \vdash * : 1} \quad \frac{\Gamma \vdash c : k}{\Gamma \vdash \langle c \rangle : \langle k \rangle} \quad \frac{\Gamma \vdash e : \tau}{\Gamma \vdash \langle e \rangle : \langle \tau \rangle} \quad \frac{\Gamma \vdash \sigma_1 : \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash M : \sigma_2}{\Gamma \vdash \lambda^{\mathbf{ap}}\alpha/s : \sigma_1 . M : \Pi^{\mathbf{ap}}\alpha : \sigma_1 . \sigma_2} \\
\\
\frac{\Gamma \vdash M_1 : \Pi^{\mathbf{ap}}\alpha : \sigma_1 . \sigma_2 \quad \Gamma \vdash M_2 : \sigma_1 \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash M_1 \cdot M_2 : [c_2 / \alpha]\sigma_2} \quad \frac{\Gamma \vdash M_1 : \sigma_2 \quad \Gamma \vdash M_2 : \sigma_2}{\Gamma \vdash \langle M_1, M_2 \rangle : \sigma_1 \times \sigma_2} \\
\\
\frac{\Gamma \vdash M : \Sigma\alpha : \sigma_1 . \sigma_2}{\Gamma \vdash \pi_1 M : \sigma_1} \quad \frac{\Gamma \vdash M : \Sigma\alpha : \sigma_1 . \sigma_2 \quad \Gamma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash \pi_2 M : [\pi_1 c / \alpha]\sigma_2} \quad \frac{\Gamma \vdash M : \sigma \quad \Gamma \vdash \sigma \leq \sigma'}{\Gamma \vdash M : \sigma'}
\end{array}$$

### 13.3 $\Gamma \vdash s : \mathbf{sig}, \Gamma \vdash \sigma \leq \sigma$

$$\begin{array}{c}
\frac{\Gamma \vdash \sigma : \mathbf{sig}}{\Gamma \vdash \sigma \leq \sigma} \quad \frac{\Gamma \vdash \sigma_1 \leq \sigma_2 \quad \Gamma \vdash \sigma_2 \leq \sigma_3}{\Gamma \vdash \sigma_1 \leq \sigma_3} \quad \frac{\Gamma \vdash k \leq k'}{\Gamma \vdash \langle k \rangle \leq \langle k' \rangle} \quad \frac{\Gamma \vdash \tau \equiv \tau' : \mathbf{T}}{\Gamma \vdash \langle \tau \rangle \leq \langle \tau' \rangle} \\
\\
\frac{\Gamma \vdash \sigma'_1 \leq \sigma_1 \quad \Gamma, \alpha : \mathbf{Fst}(\sigma'_1) \vdash \sigma_2 \leq \sigma'_2 \quad \Gamma, \alpha : \mathbf{Fst}(\sigma_1) \vdash \sigma_2 : \mathbf{sig}}{\Gamma \vdash \Pi^{\mathbf{ap}}\alpha : \sigma_1 . \sigma_2 \leq \Pi^{\mathbf{ap}}\alpha : \sigma'_1 . \sigma'_2} \\
\\
\frac{\Gamma \vdash \sigma'_1 \leq \sigma_1 \quad \Gamma, \alpha : \mathbf{Fst}(\sigma_1) \vdash \sigma_2 \leq \sigma'_2 \quad \Gamma, \alpha : \mathbf{Fst}(\sigma'_1) \vdash \sigma'_2 : \mathbf{sig}}{\Gamma \vdash \Sigma\alpha : \sigma_1 . \sigma_2 \leq \Sigma\alpha : \sigma'_1 . \sigma'_2} \\
\\
\frac{}{\Gamma \vdash 1 : \mathbf{sig}} \quad \frac{\Gamma \vdash k : \mathbf{kind}}{\Gamma \vdash \langle k \rangle : \mathbf{sig}} \quad \frac{\Gamma \vdash \tau : \mathbf{T}}{\Gamma \vdash \langle \tau \rangle : \mathbf{sig}} \quad \frac{\Gamma \vdash \sigma : \mathbf{sig} \quad \Gamma, \alpha : \mathbf{Fst}(\sigma_1) \vdash \sigma_2 : \mathbf{sig}}{\Gamma \vdash \Pi^{\mathbf{ap}}\alpha : \sigma_1 . \sigma_2 : \mathbf{sig}}
\end{array}$$

(Last rule also works for  $\Pi^{\mathbf{gen}}$  and  $\Sigma$ )

$$\begin{array}{c}
\frac{\Gamma \vdash \sigma : \mathbf{sig}}{\Gamma \vdash \sigma \equiv \sigma : \mathbf{sig}} \quad \frac{\Gamma \vdash \sigma_1 \equiv \sigma_2 : \mathbf{sig}}{\Gamma \vdash \sigma_2 \equiv \sigma_1 : \mathbf{sig}} \quad \frac{\Gamma \vdash \sigma_1 \equiv \sigma_2 : \mathbf{sig} \quad \Gamma \vdash \sigma_2 \equiv \sigma_3 : \mathbf{sig}}{\Gamma \vdash \sigma_1 \equiv \sigma_3 : \mathbf{sig}} \quad \frac{\Gamma \vdash k \equiv k' : \mathbf{kind}}{\Gamma \vdash \langle k \rangle \equiv \langle k' \rangle : \mathbf{sig}} \\
\\
\frac{\Gamma \vdash \tau \equiv \tau' : \mathbf{T}}{\Gamma \vdash \langle \tau \rangle \equiv \langle \tau' \rangle : \mathbf{sig}} \quad \frac{\Gamma \vdash \sigma_1 \equiv \sigma'_1 : \mathbf{sig} \quad \Gamma, \alpha : \mathbf{Fst}(\sigma_1) \vdash \sigma_2 \equiv \sigma'_2 : \mathbf{sig}}{\Gamma \vdash \Pi^{\mathbf{ap}}\alpha : \sigma_1 . \sigma_2 \equiv \Pi^{\mathbf{ap}}\alpha : \sigma'_1 . \sigma'_2 : \mathbf{sig}}
\end{array}$$

(Last rule also works for  $\Pi^{\mathbf{gen}}$  and  $\Sigma$ )

If we have  $\Gamma \vdash M : \sigma \rightsquigarrow [c, e]$ , we can split it up into its static portion and the rest of it, “phase separation”. More on this next time.

Consider

```
 $\sigma$  =  
  sig  
    type t  
    val x : t  
    val f : t  $\rightarrow$  t  
    val g : t  $\rightarrow$  bool  
  end
```

```
 $M_1$  =  
  struct  
    type t = bool  
    val x = true  
    val f = not  
    val g =  $\lambda x. x$   
  end
```

```
 $M_2$  =  
  struct  
    type t = int  
    val x = 0  
    val f =  $\lambda x. x + 1$   
    val g = even?  
  end
```

$M_1 : \sigma, M_2 : \sigma$

note that the two  $t$ s are different unless they are sealed, eg:

$M_1 :> \sigma, M_2 :> \sigma$

We don't want to even be able to ask questions about the equivalence of the internal types ( $t$ ) after being sealed. We will call  $M_1 :> \sigma$  "indeterminate".

Going back to one of the old judgements,  $\Gamma \vdash \mathbf{Fst}(M) \gg c$  only applies when  $M$  is "determinate".

Now, consider

$F : \sigma_1 \rightarrow \sigma_2$

$M : \sigma_1$

$F M : \sigma_2$

Typesystem has to track whether or not a module is pure. (Pure in this sense meaning determinate meaning unsealed.) We treat sealing as an effect.

Thus, we use judgement assigning with the purity class  $\kappa$ :  $\Gamma \vdash_{\kappa} M : \sigma$ , with  $\kappa ::= P \mid I$

#### 13.4 $\Gamma \vdash e : \tau$

Only new rule we need:

$$\frac{\Gamma \vdash_I M : \langle \tau \rangle}{\Gamma \vdash \mathbf{Ext} M : \tau}$$

### 13.5 $\Gamma \vdash_{\kappa} M : \sigma$

$$\begin{array}{c}
\frac{}{\Gamma \vdash_P * : 1} \quad \frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash_P s : \sigma} \quad \frac{\Gamma \vdash c : k}{\Gamma \vdash_P \langle c \rangle : \langle k \rangle} \quad \frac{\Gamma \vdash e : \tau}{\Gamma \vdash_P \langle e \rangle : \langle \tau \rangle} \quad \frac{\Gamma \vdash_P M : \sigma}{\Gamma \vdash_I M : \sigma} \\
\\
\frac{\Gamma \vdash_{\kappa} M : \sigma \quad \Gamma \vdash \sigma \leq \sigma'}{\Gamma \vdash_{\kappa} M : \sigma'} \quad \frac{\Gamma \vdash_I M : \sigma}{\Gamma \vdash_I M : > \sigma : \sigma} \quad \frac{\Gamma \vdash \sigma_1 : \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_I M : \sigma_2}{\Gamma \vdash_P \lambda^{\mathbf{gen}} \alpha/s : \sigma_1 . M : \Pi^{\mathbf{gen}} \alpha : \sigma_1 . \sigma_2} \\
\\
\frac{\Gamma \vdash_I M_1 : \Pi^{\mathbf{gen}} \alpha : \sigma . \sigma' \quad \Gamma \vdash_P M_2 : \sigma \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash_I M_1 M_2 : [c_2 / \alpha] \sigma'} \quad \frac{\Gamma \vdash \sigma_1 : \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_P M : \sigma_2}{\Gamma \vdash_P \lambda^{\mathbf{app}} \alpha/s : \sigma_1 . M : \Pi^{\mathbf{app}} \alpha : \sigma_1 . \sigma_2} \\
\\
\frac{\Gamma \vdash_{\kappa} M_1 : \Pi^{\mathbf{app}} \alpha : \sigma . \sigma' \quad \Gamma \vdash_P M_2 : \sigma \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash_{\kappa} M_1 \cdot M_2 : [c_2 / \alpha] \sigma'} \quad \frac{\Gamma \vdash_{\kappa} M_1 : \sigma_1 \quad \Gamma \vdash_{\kappa} M_2 : \sigma_2}{\Gamma \vdash_{\kappa} \langle M_1, M_2 \rangle : \sigma_1 \times \sigma_2} \\
\\
\frac{\Gamma \vdash_P M : \Sigma \alpha : \sigma_1 . \sigma_2}{\Gamma \vdash_P \pi_1 M : \sigma_1} \quad \frac{\Gamma \vdash_P M : \Sigma \alpha : \sigma_1 . \sigma_2 \quad \Gamma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash_P \pi_2 M : [c / \alpha] \sigma_1}
\end{array}$$

### 13.6 $\Gamma \vdash \mathbf{Fst}(M) \gg k$

$$\begin{aligned}
& \mathbf{Fst}(1) = 1 \\
& \mathbf{Fst}(\langle k \rangle) = k \\
& \mathbf{Fst}(\langle \tau \rangle) = 1 \\
& \mathbf{Fst}(\Pi^{\mathbf{app}} \alpha : \sigma_1 . \sigma_2) = \Pi \alpha : \mathbf{Fst}(\sigma_1) . \mathbf{Fst}(\sigma_2) \\
& \mathbf{Fst}(\Pi^{\mathbf{gen}} \alpha : \sigma_1 . \sigma_2) = 1 \\
& \mathbf{Fst}(\Sigma \alpha : \sigma_1 . \sigma_2) = \Sigma \alpha : \mathbf{Fst}(\sigma_1) . \mathbf{Fst}(\sigma_2)
\end{aligned}$$

### 13.7 $\Gamma \vdash \mathbf{Fst}(M) \gg c$

$$\begin{array}{c}
\frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash \mathbf{Fst}(s) \gg \alpha} \quad \frac{}{\Gamma \vdash \mathbf{Fst}(*) \gg *} \quad \frac{}{\Gamma \vdash \mathbf{Fst}(\langle c \rangle) \gg c} \quad \frac{}{\Gamma \vdash \mathbf{Fst}(\langle e \rangle) \gg *} \\
\\
\frac{\Gamma \vdash \alpha/s : \sigma_1 \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash \mathbf{Fst}(\lambda^{\mathbf{app}} \alpha/s : \sigma_1 .) \lambda \alpha : \mathbf{Fst}(\sigma_1) . c} \quad \frac{\Gamma \vdash \mathbf{Fst}(M_1) \gg c_1 \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash \mathbf{Fst}(M_1 \cdot M_2) \gg c_1 c_2} \\
\\
\frac{}{\Gamma \vdash \mathbf{Fst}(\lambda^{\mathbf{gen}} \alpha/s : \sigma_1 . M) \gg *} \quad \frac{}{\Gamma \vdash \mathbf{Fst}(M_1 M_2) \gg} \quad \frac{\Gamma \vdash \mathbf{Fst}(M_1) \gg c_1 \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash \mathbf{Fst}(\langle M_1, M_2 \rangle) \gg \langle c_1, c_2 \rangle} \\
\\
\frac{\Gamma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash \mathbf{Fst}(\pi_1 M) \gg \pi_1 c}
\end{array}$$

Need to support some sort of **let** binding in the language in order for us to do anything with sealed modules. So let's change the language as such:

$M ::= \dots \mid \text{let } \alpha/s = M \text{ in } M \text{ end}$

with typing rule

$$\frac{\Gamma \vdash_I M_1 : \sigma_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash_I M_2 : \sigma_2 \quad \Gamma \vdash \sigma_2 : \mathbf{sig}}{\Gamma \vdash_I \text{let } \alpha/s = M_1 \text{ in } M_2 \text{ end}} \quad \frac{\Gamma \vdash M_1 \Rightarrow \sigma_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash M_2 \Rightarrow \sigma_2}{\Gamma \vdash \text{let } \alpha/s = M_1 \text{ in } M_2 \text{ end} \Rightarrow}$$

Big idea #5: "Avoidance Problem".

There is no "best" signature for this last rule above.

Problem:

Given  $\Gamma, \alpha : k \vdash \sigma : \mathbf{sig}$

obtain  $\sigma'$  s.t.

1.  $\Gamma \vdash \sigma' : \mathbf{sig}$
2.  $\Gamma, \alpha : k \vdash \sigma \leq \sigma'$
3. forall  $\sigma''$  if  $\Gamma \vdash \sigma'' : \mathbf{sig}$  and  $\Gamma, \alpha : k \vdash \sigma \leq \sigma''$  then  $\Gamma \vdash \sigma' \leq \sigma''$

But this problem has no solution.

Example:  $\alpha : T \vdash (T \rightarrow S(\alpha)) \times S(\alpha) : \text{kind}$

This is a sub-kind of  $\sigma\beta : T \rightarrow T . S(\beta \text{int})$

And also a sub-kind of  $\sigma\beta : T \rightarrow T . S(\beta \text{string})$

But these two that we just generated are not equivalent.

so instead, we ask the programmer for the  $\sigma_2$

$M ::= \dots \mid \text{let } \alpha/s = M \text{ in } M : \sigma \text{ end}$

$$\frac{\Gamma \vdash M_1 \Rightarrow \sigma_1 \quad \Gamma \vdash \sigma \Leftarrow \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash M_2 \Leftarrow \sigma_2}{\Gamma \vdash \text{let } \alpha/s = M_1 \text{ in } M_2 : \sigma_2 \text{ end} \Rightarrow \sigma_2}$$

But there is an issue we cannot deal with in this language. When we parse a structure into a tuple, we would have a bunch of  $: \sigma$  in the nested lets (multiple structures one after another in a structure). While this is not incorrect, it's grossly inefficient.

So instead, we add one more feature:

$M ::= \dots \mid \text{let } \alpha/s = M \text{ in } M : \sigma \text{ end} \mid \langle \alpha/s = M, M \rangle$

$$\frac{\Gamma \vdash_{\kappa} M_1 : \sigma_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash_{\kappa} M_2 : \sigma_2}{\Gamma \vdash_{\kappa} \langle \alpha/s = M_1, M_2 \rangle : \Sigma \alpha : \sigma_1 . \sigma_2}$$

This is somewhat motivated by the Avoidance Problem.

Add one more feature:

$M ::= \dots \mid \text{letp } \alpha/s = M \text{ in } M \text{ end}$

$$\frac{\Gamma \vdash_P M_1 : \sigma_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash_{\kappa} M_2 : \sigma_2 \quad \Gamma \vdash \mathbf{fst}(M_1) \gg c_1}{\Gamma \vdash_{\kappa} \text{letp } \alpha/s = M_1 \text{ in } M_2 \text{ end} : [c_1 / \alpha] \sigma_2}$$

Note, in the first rule in the next section below, we find that we can't just use  $\sigma$  because it's not the "best" signature. So we need to introduce a new  $S(\sigma)$

Kind level:

$$S(c : T) = S(c)$$

$$S(c : \Pi \alpha : k_1 . k_2) = \Pi \alpha : k_1 . S(c \alpha : k_2)$$

$$S(c : \Sigma \alpha : k_1 . k_2) = S(\pi_1 c : k_1) \times S(\pi_2 c : [\pi_1 c / \alpha] k_2)$$

$$S(c : S(c')) = S(c)$$

What we think the property should look like:

“ $c' : S(c : k)$  iff  $c' : k$  and  $c \equiv c' : k$ ” “ $\vdash_P M : S(c : \sigma)$  iff  $M : \sigma$  and  $\mathbf{Fst}(M) \equiv c : \mathbf{Fst}(\sigma)$ ” Module level:

$$S(c : 1) = 1$$

$$S(c : \langle k \rangle) = \langle S(c : k) \rangle$$

$$S(c : \langle \tau \rangle) = \langle \tau \rangle$$

$$S(c : \Pi^{\mathbf{gen}} \alpha : \sigma_1 . \sigma_2) = \Pi^{\mathbf{gen}} \alpha : \sigma_1 . \sigma_2$$

$$S(c : \Pi^{\mathbf{app}} \alpha : \sigma_1 . \sigma_2) = \Pi^{\mathbf{app}} \alpha : \sigma_1 . S(c \alpha : \sigma_2)$$

$$S(c : \Sigma \alpha : \sigma_1 . \sigma_2) = S(\pi_1 c : \sigma_1) \times S(\pi_2 c : [\pi_1 c / \alpha] \sigma_2)$$

NOTE selfification: when you take the signature of a module and write it back into the module.

So now, we want the property to be:

If  $\Gamma \vdash_P M : \sigma$  and  $\Gamma \vdash \mathbf{Fst}(M) \gg c$  then  $\Gamma \vdash_P M : S(c : \sigma)$

But as it is right now, it's not actually true. So like back in the singleton calculus, we need to add in the extensionality rules:

$$\mathbf{13.8} \quad \Gamma \vdash_{\kappa}^+ M \Rightarrow \bar{\sigma}$$

$$\frac{\alpha / s : \sigma \in \Gamma}{\Gamma \vdash_P s \Rightarrow S(\alpha : \sigma)}$$

### 13.9 Extentionality Rules

Suppose we had some  
 $F : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2$  where  
 $\text{Fst}(F) \gg \varphi$

Then,  $F : S(\varphi : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2)$  should be true, but we have no way of proving it right now.

$$\frac{\Gamma \vdash_P M : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma'_2 \quad \Gamma, \alpha : \sigma_1 \vdash_P M \cdot \alpha : \sigma_2}{\Gamma \vdash_P M : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2}$$

$$\frac{\Gamma \vdash \pi_1 M : \sigma_1 \quad \Gamma \vdash \text{Fst}(M) \gg c \quad \Gamma \vdash \pi_2 M : [\pi_1 c / \alpha] \sigma_2 \quad \Gamma, \alpha : \text{Fst}(\sigma_1) \vdash \sigma_2 : \text{sig}}{\Gamma \vdash M : \sigma \alpha : \sigma_1 . \sigma_2}$$

$$\frac{f : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2 \quad \alpha / s : \sigma_1 \vdash f s : S(\varphi \alpha : \sigma_2)}{\Gamma \vdash f : S(\varphi : \Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2)}$$

(Note in the last one,  $\Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2$  is equivalent to  $\Pi^{\text{app}} \alpha : \sigma_1 . S(\varphi \alpha : \sigma_2)$ .)

Also:

$$\frac{\Gamma \vdash_P M : \langle k' \rangle \quad \Gamma \vdash \text{Fst}(M) \gg c \quad \Gamma \vdash c : k}{\Gamma \vdash_P M : \langle k \rangle}$$

Selfification property:

If  $\vdash \Gamma \text{ ok}$ ,  $\Gamma \vdash M : \sigma$ ,  $\Gamma \vdash \text{Fst}(M) \gg c$   
then  $\Gamma \vdash M : S(c : \sigma)$ .

### 13.10 $\Gamma \vdash M \Rightarrow \sigma$

$$\begin{array}{c}
\frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash_P s \Rightarrow S(\alpha : \sigma)} \quad \frac{\Gamma \vdash c \Rightarrow k}{\Gamma \vdash_P \langle c \rangle \Rightarrow \langle k \rangle} \quad \frac{\Gamma \vdash e \Rightarrow \tau}{\Gamma \vdash_P \langle e \rangle \Rightarrow \langle \tau \rangle} \quad \frac{\Gamma \vdash \sigma_1 \Leftarrow \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_\kappa M \Rightarrow \sigma_2}{\Gamma \vdash_P \lambda^{\mathbf{gen}} \alpha/s : \sigma_1 . M \Rightarrow \Pi^{\mathbf{gen}} \alpha : \sigma_1 . \sigma_2} \\
\\
\frac{\Gamma \vdash_\kappa M_1 \Rightarrow \Pi^{\mathbf{gen}} \alpha : \sigma . \sigma' \quad \Gamma \vdash_P M_2 \Leftarrow \sigma \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash_I M_1 M_2 \Rightarrow [c_2 / \alpha] \sigma'} \\
\\
\frac{\Gamma \vdash \sigma_1 \Leftarrow \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_P M \Rightarrow \sigma_2}{\Gamma \vdash_P \lambda^{\mathbf{app}} \alpha/s : \sigma_1 . M \Rightarrow \Pi^{\mathbf{app}} \alpha : \sigma_1 . \sigma_2} \\
\\
\frac{\Gamma \vdash_\kappa M_1 \Rightarrow \Pi^{\mathbf{app}} \alpha : \sigma . \sigma' \quad \Gamma \vdash_P M_2 \Leftarrow \sigma \quad \Gamma \vdash \mathbf{Fst}(M_2) \gg c_2}{\Gamma \vdash M_1 \cdot M_2 \Rightarrow [c_2 / \alpha] \sigma'} \\
\\
\frac{\Gamma \vdash_{\kappa_1} M_1 \Rightarrow \sigma_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash_{\kappa_2} M_2 \Rightarrow \sigma_2}{\Gamma \vdash_{\kappa_1 \cup \kappa_2} \langle \alpha/s = M_1, M_2 \rangle \Rightarrow \Sigma \alpha : \sigma_1 . \sigma_2} \quad \frac{\Gamma \vdash_P M \Rightarrow \Sigma \alpha : \sigma_1 . \sigma_2}{\Gamma \vdash_P \pi_1 M \Rightarrow \sigma_1} \\
\\
\frac{\Gamma \vdash_P M \Rightarrow \Sigma \alpha : \sigma_1 . \sigma_2 \quad \Gamma \vdash \mathbf{Fst}(M) \gg c}{\Gamma \vdash_P \pi_2 M \Rightarrow [\pi_1 c / \alpha] \sigma_2} \quad \frac{\Gamma \vdash \sigma \Leftarrow \mathbf{sig} \quad \Gamma \vdash_\kappa M \Leftarrow \sigma}{\Gamma \vdash_I M :> \sigma \Rightarrow \sigma} \\
\\
\frac{\Gamma \vdash_{\kappa_1} M_1 \Rightarrow \sigma_1 \quad \Gamma \vdash \sigma_2 \Leftarrow \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_{\kappa_2} M_2 \Leftarrow \sigma_2}{\Gamma \vdash_I \mathbf{let} \ \alpha/s = M_1 \ \mathbf{in} \ M_2 \ \mathbf{end} : \sigma_2 \Rightarrow \sigma_2} \\
\\
\frac{\Gamma \vdash_P M_1 \Rightarrow \sigma_1 \quad \Gamma \vdash \mathbf{Fst}(M_1) \gg c_1 \quad \Gamma, \alpha/s : \sigma_1 \vdash_\kappa M_2 \Rightarrow \sigma_2}{\Gamma \vdash \mathbf{letp} \ \alpha/s = M_1 \ \mathbf{in} \ M_2 \ \mathbf{end} \Rightarrow [c_1 / \alpha] \sigma_2}
\end{array}$$

### 13.11 $\Gamma \vdash M \Leftarrow \sigma$

$$\frac{\Gamma \vdash_\kappa M \Rightarrow \sigma' \quad \Gamma \vdash \sigma' \trianglelefteq \sigma}{\Gamma \vdash_\kappa M \Leftarrow \sigma}$$

### 13.12 $\Gamma \vdash e : \tau$

$$\frac{\Gamma_\kappa M \Rightarrow \langle \tau \rangle}{\Gamma \vdash \mathbf{Ext} \ M \Rightarrow \tau}$$

### 13.13 Closing

We skipped  $\Gamma \vdash \sigma_1 \trianglelefteq \sigma_2$  and  $\Gamma \vdash \sigma \Leftarrow \mathbf{sig}$  because they are fairly straightforward.



## 14 Phase Splitting

With phase distinction, we separate out the static (Fst) and dynamic components.  
Target language is IL-Direct, which we already discussed in great detail.

Judgements:

$$\sigma \rightsquigarrow [\alpha : k . \tau]$$

$$\Gamma \vdash_P M : \sigma \rightsquigarrow [c, e]$$

$$\Gamma \vdash_{\mp} M : \sigma \rightsquigarrow e$$

$$\text{If } \Gamma \vdash \sigma : \mathbf{sig}, \sigma \rightsquigarrow [\alpha : k . \tau]$$

$$\text{then } \bar{\Gamma} \vdash k : \text{kind}, \bar{\Gamma}, \alpha : k \vdash \tau : \mathbf{T}.$$

$$\text{If } \sigma \rightsquigarrow [\alpha : k . \tau] \text{ then } \mathbf{Fst}(\sigma) = k.$$

$$\text{If } \sigma \rightsquigarrow [\alpha : k . \tau], \Gamma \vdash_P M : \sigma \rightsquigarrow [c, e]$$

$$\text{then } \bar{\Gamma} \vdash c : k, \bar{\Gamma} \vdash e : [c / \alpha] \tau.$$

$$\text{If } \sigma \rightsquigarrow [\alpha : k . \tau], \Gamma \vdash_I M : \sigma \rightsquigarrow e$$

$$\text{then } \bar{\Gamma} \vdash e : \exists \alpha : k . \tau.$$

### 14.1 $\sigma \rightsquigarrow [\alpha : k . \tau]$

$$\overline{1 \rightsquigarrow [\alpha : 1, \text{unit}]}$$

$$\overline{\langle k \rangle \rightsquigarrow [\alpha : k, \text{unit}]}$$

$$\overline{\langle \tau \rangle \rightsquigarrow [\alpha : 1, \tau]}$$

$$\frac{\sigma_1 \rightsquigarrow [\alpha_1 : k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [\alpha_2 : k_2, \tau_2]}{\Pi^{\text{app}} \alpha : \sigma_1 . \sigma_2 \rightsquigarrow [\beta : \Pi \alpha : k_1 . k_2, \forall \alpha : k_1 . [\alpha / \alpha_1] \tau_1 \rightarrow [\beta \alpha / \alpha_2] \tau_2]}$$

$$\frac{\sigma_1 \rightsquigarrow [\alpha_1 : k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [\alpha_2 : k_2, \tau_2]}{\Pi^{\text{gen}} \alpha : \sigma_1 . \sigma_2 \rightsquigarrow [\beta : 1, \forall \alpha : k_1 . [\alpha / \alpha_1] \tau_1 \rightarrow \exists \alpha : k_2 . \tau_2]}$$

$$\frac{\sigma_1 \rightsquigarrow [\alpha_1 : k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [\alpha_2 : k_2, \tau_2]}{\Sigma \alpha : \sigma_1 . \sigma_2 \rightsquigarrow [\beta : \Sigma \alpha : k_1 . k_2, [\pi_1 \beta / \alpha_1] \tau_1 \times [\pi_1 \beta, \pi_2 \beta / \alpha, \alpha_2] \tau_2]}$$

### 14.2 $\sigma \rightsquigarrow [k, \tau]$

Let's revisit all of the above rules in the Debruijn world.

$$\frac{\Gamma \vdash s : \Gamma' \quad \Gamma \vdash m : A[s]}{\Gamma \vdash m . s : \Gamma', A} \qquad \frac{|\Gamma'| = k}{\Gamma, \Gamma' \vdash \uparrow k : \Gamma}$$

If  $\Gamma \vdash \sigma : \mathbf{sig}$  and  $\sigma \rightsquigarrow [\alpha : k, \tau]$  then  $\Gamma \vdash k : \text{kind}$ ,  $\Gamma, \alpha : k \vdash \tau : \mathbf{T}$ .

Now the Debruijn form:

If  $\Gamma \vdash \sigma : \mathbf{sig}$  and  $\sigma \rightsquigarrow [k, \tau]$  then  $\Gamma \vdash k : \text{kind}$  and  $\Gamma, k \vdash \tau : \mathbf{T}$ .

$$\begin{array}{c} \overline{1 \rightsquigarrow [1, \text{unit}]} \qquad \overline{\langle k \rangle \rightsquigarrow [k, \text{unit}]} \qquad \overline{\langle \tau \rangle \rightsquigarrow [1, \tau[\uparrow]]} \\[10pt] \frac{\sigma_1 \rightsquigarrow [k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [k_2, \tau_2]}{\Pi^{\text{app}} \sigma_1 . \sigma_2 \rightsquigarrow [\Pi k_1 . k_2, \forall k_1[\uparrow] . \tau_1[0 . \uparrow^2] \rightarrow \tau_2[1 \ 0 . 0 . \uparrow^2]]} \\[10pt] \frac{\sigma_1 \rightsquigarrow [k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [k_2, \tau_2]}{\Pi^{\text{gen}} : \sigma_1 . \sigma_2 \rightsquigarrow [1, \forall k_1[\uparrow] . \tau_1[0 . \uparrow^2] \rightarrow \exists k_2[0 . \uparrow^2] . \tau_2[0.1 . \uparrow^3]]} \\[10pt] \frac{\sigma_1 \rightsquigarrow [k_1, \tau_1] \quad \sigma_2 \rightsquigarrow [k_2, \tau_2]}{\Sigma \sigma_1 . \sigma_2 \rightsquigarrow [\Sigma k_1 . k_2, \tau_1[\pi_1 0 . \uparrow] \times \tau_2[\pi_2 0 . \pi_1 0 . \uparrow]]} \end{array}$$

### 14.3 $\Gamma \rightsquigarrow \Gamma$

$$\begin{array}{l} \epsilon = \epsilon \\ \Gamma, \alpha : k = \Gamma, \alpha : k \\ \Gamma, x : \tau = \Gamma, x : \tau \\ \Gamma, \alpha/s : \sigma = \Gamma, \alpha : k, s : \tau \end{array}$$

\*NOTE: in the last one,  $\sigma \rightsquigarrow [\alpha : k, \tau]$

### 14.4 $\Gamma \vdash_P M : \sigma \rightsquigarrow [c, e]$

$$\begin{array}{c} \frac{\alpha/s : \sigma \in \Gamma}{\Gamma \vdash_P s : \sigma \rightsquigarrow [\alpha, s]} \quad \frac{\Gamma \vdash_P * : 1 \rightsquigarrow [*, \langle \rangle]}{\Gamma \vdash_P c : k} \quad \frac{\Gamma \vdash c : k}{\Gamma \vdash_P \langle c \rangle : \langle k \rangle \rightsquigarrow [c, \langle \rangle]} \quad \frac{\Gamma \vdash e : \tau}{\Gamma \vdash \langle e \rangle : \langle \tau \rangle \rightsquigarrow [*, e]} \\[10pt] \frac{\Gamma \vdash \sigma_1 : \mathbf{sig} \quad \Gamma, \alpha/s : \sigma_1 \vdash_P M : \sigma_2 \rightsquigarrow [c, e] \quad \sigma_1 \rightsquigarrow [\alpha_1 : k_1, \tau_1]}{\Gamma \vdash \lambda^{\text{app}} \alpha/s : \sigma_1 . M : \Pi \alpha : \sigma_1 . \sigma_2 \rightsquigarrow [\lambda \alpha : k_1 . c, \Lambda \alpha : k_1 . \lambda s : [\alpha / \alpha_1] \tau_1 . e]} \end{array}$$

### 14.5 $\Gamma \vdash_I M : \sigma \rightsquigarrow e$