

# Write More Robust Code with Weak References

Jim Baker

`jim.baker@{python.org, rackspace.com}`

# Some possible questions

Write More  
Robust Code  
with Weak  
References

Jim Baker

Questions you might have in coming to this talk:

- What exactly are weak references?

# Some possible questions

Write More  
Robust Code  
with Weak  
References

Jim Baker

Questions you might have in coming to this talk:

- What exactly are weak references?
- How do they differ from strong references?

# Some possible questions

Write More  
Robust Code  
with Weak  
References

Jim Baker

Questions you might have in coming to this talk:

- What exactly are weak references?
- How do they differ from strong references?
- When would I use them anyway?

# About me

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Core developer of Jython

# About me

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Core developer of Jython
- Co-author of *Definitive Guide to Jython* from Apress

# About me

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Core developer of Jython
- Co-author of *Definitive Guide to Jython* from Apress
- Software developer at Rackspace

# About me

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Core developer of Jython
- Co-author of *Definitive Guide to Jython* from Apress
- Software developer at Rackspace
- Lecturer in CS at Univ of Colorado at Boulder



# Defining a weak reference

Write More  
Robust Code  
with Weak  
References

Jim Baker

*A weak reference to an object is not enough to keep the object alive: when the only remaining references to a referent are weak references, garbage collection is free to destroy the referent and reuse its memory for something else. However, until the object is actually destroyed the weak reference may return the object even if there are no strong references to it.*

(<https://docs.python.org/3/library/weakref.html>)

# Weak references

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Initially proposed in PEP 205

# Weak references

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Initially proposed in PEP 205
- Implemented in Python 2.1 (released April 2001)

# Weak references

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Initially proposed in PEP 205
- Implemented in Python 2.1 (released April 2001)
- **Released 14 years ago!**

# Example: WeakSet

Write More  
Robust Code  
with Weak  
References

Jim Baker

First, let's import `WeakSet`. Many uses of weak references are with respect to the collections provided by the `weakref` module:

```
from weakref import WeakSet
```

# Weak referenceable classes

Write More  
Robust Code  
with Weak  
References

Jim Baker

Define a class X like so:

```
class X(object):  
    pass
```

NB: `str` and certain other classes are not weak referenceable in CPython, but their subclasses can be

# Construction

Write More  
Robust Code  
with Weak  
References

Jim Baker

Construct a weak set and add an element to it. We then list the set:

```
s = WeakSet()  
s.add(X())  
list(s)
```

# Conclusions

Write More  
Robust Code  
with Weak  
References

Jim Baker

- `s` is (eventually) empty - with `list(s)`, we get `[]`



# Conclusions

Write More  
Robust Code  
with Weak  
References

Jim Baker

- `s` is (eventually) empty - with `list(s)`, we get `[]`
- May require a round of garbage collection with `gc.collect()`

# Some possible questions

Write More  
Robust Code  
with Weak  
References

Jim Baker

Questions you might have in coming to this talk:

- What exactly are weak references?
- How do they differ from strong references?
- When would I use them anyway?

To prevent memory and resource leaks.

# Resource leaks

Write More  
Robust Code  
with Weak  
References

Jim Baker

Often you can write code like this, without explicitly calling `f.close()`:

```
f = open("foo.txt")  
...
```

But not always...

# Garbage collection is not magical

Write More  
Robust Code  
with Weak  
References

Jim Baker

GC works by determining that some some set of objects is unreachable:

- Doesn't matter if it's reference counting

# Garbage collection is not magical

Write More  
Robust Code  
with Weak  
References

Jim Baker

GC works by determining that some some set of objects is unreachable:

- Doesn't matter if it's reference counting
- Or a variant of mark-and-sweep

# Garbage collection is not magical

Write More  
Robust Code  
with Weak  
References

Jim Baker

GC works by determining that some set of objects is unreachable:

- Doesn't matter if it's reference counting
- Or a variant of mark-and-sweep
- Or the combination used by CPython, to account for reference cycles

# Takeaway

Write More  
Robust Code  
with Weak  
References

Jim Baker

- It cannot read your mind, developer though you may be!

# Takeaway

Write More  
Robust Code  
with Weak  
References

Jim Baker

- It cannot read your mind, developer though you may be!
- GC is not sufficient to manage the lifecycle of resources



# Manual clearance

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Clean up resources - setting to `None`, calling `close()`, ...

# Manual clearance

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Clean up resources - setting to None, calling `close()`, ...
- Use `try/finally`

# try/finally

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
try:
    f = open("foo.txt")
    ...
finally:
    f.close()
```

# Manual clearance

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Clean up resources - setting to None, calling `close()`, ...
- Use `try/finally`
- Apply deeper knowledge of your code

# Manual clearance

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Clean up resources - setting to `None`, calling `close()`, ...
- Use `try/finally`
- Apply deeper knowledge of your code
- Or do cleanup by some other scheme

# Finalizers with `__del__`

Write More  
Robust Code  
with Weak  
References

Jim Baker

- May use finalizers because of explicit external resource management

# Finalizers with `__del__`

Write More  
Robust Code  
with Weak  
References

Jim Baker

- May use finalizers because of explicit external resource management
- Especially in conjunction with some explicit ref counting

# socket.makefile

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
socket.makefile([mode[, bufsize]])
```

*Return a file object associated with the socket. (File objects are described in File Objects.) The file object does not close the socket explicitly when its close() method is called, but only removes its reference to the socket object, so that the socket will be closed if it is not referenced from anywhere else.*



# errno.EMFILE?

Write More  
Robust Code  
with Weak  
References

Jim Baker

Otherwise we may see an `IOError` raised with `errno.EMFILE`  
(“Too many open files”)

# socket.makefile

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
socket.makefile([mode[, bufsize]])
```

*Return a file object associated with the socket. (File objects are described in File Objects.) The file object does not close the socket explicitly when its close() method is called, but only removes its reference to the socket object, so that the socket will be closed if it is not referenced from anywhere else.*

Implementation is done through a separate ref counting scheme

# \_fileobject

Write More  
Robust Code  
with Weak  
References

Jim Baker

Prevent resource leaks (of underlying sockets) in the socket module:

```
class _fileobject(object):  
    ...  
    def __del__(self):  
        try:  
            self.close()  
        except:  
            # close() may fail if __init__ didn't complete  
            pass
```

NB: changed in Python 3.x, above is 2.7 implementation

# with statement for ARM

Write More  
Robust Code  
with Weak  
References

Jim Baker

You are already using automatic resource management, right?

```
with open("foo.txt") as f:  
    ...
```

# So far, so good

Write More  
Robust Code  
with Weak  
References

Jim Baker

- No weak references yet

# So far, so good

Write More  
Robust Code  
with Weak  
References

Jim Baker

- No weak references yet
- Keeping it simple!

# So far, so good

Write More  
Robust Code  
with Weak  
References

Jim Baker

- No weak references yet
- Keeping it simple!
- No need to be in this talk, right?

# What if...

Write More  
Robust Code  
with Weak  
References

Jim Baker

- An object is a child in a parent-child relationship?



# What if...

Write More  
Robust Code  
with Weak  
References

Jim Baker

- An object is a child in a parent-child relationship?
- And needs to track its parent?

# What if...

Write More  
Robust Code  
with Weak  
References

Jim Baker

- An object is a child in a parent-child relationship?
- And needs to track its parent?
- And the parent wants to track the child?

# What if...

Write More  
Robust Code  
with Weak  
References

Jim Baker

- An object is a child in a parent-child relationship?
- And needs to track its parent?
- And the parent wants to track the child?
- Example: `xml.sax.expatriereader`

# Make it even simpler

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Let's implement a doubly-linked list - next and previous references

# Make it even simpler

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Let's implement a doubly-linked list - next and previous references
- But also add `__del__` to clean up resources

# OrderedDict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Dict that preserves the order of insertion, for iteration and indexed access

# OrderedDict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Dict that preserves the order of insertion, for iteration and indexed access
- Asymptotic performance (big-O running time) same as regular dicts

# OrderedDict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Dict that preserves the order of insertion, for iteration and indexed access
- Asymptotic performance (big-O running time) same as regular dicts
- Uses a doubly-linked list to preserve insertion order



# Avoiding reference cycles

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why is avoiding strong reference cycles important?

# Avoiding reference cycles

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why is avoiding strong reference cycles important?
- CPython's GC usually does reference counting

# Avoiding reference cycles

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why is avoiding strong reference cycles important?
- CPython's GC usually does reference counting
- But a cycle cannot go to **zero**

# Under the hood

Write More  
Robust Code  
with Weak  
References

Jim Baker

- CPython's weak reference scheme stores a list of containers to be cleared out, including proxies

# Under the hood

Write More  
Robust Code  
with Weak  
References

Jim Baker

- CPython's weak reference scheme stores a list of containers to be cleared out, including proxies
- Performed when the referred object is deallocated

# Under the hood

Write More  
Robust Code  
with Weak  
References

Jim Baker

- CPython's weak reference scheme stores a list of containers to be cleared out, including proxies
- Performed when the referred object is deallocated
- Which occurs when the refcount goes to zero

# Under the hood

Write More  
Robust Code  
with Weak  
References

Jim Baker

- CPython's weak reference scheme stores a list of containers to be cleared out, including proxies
- Performed when the referred object is deallocated
- Which occurs when the refcount goes to zero
- **No waiting on the garbage collector!**

# Example: set

Write More  
Robust Code  
with Weak  
References

Jim Baker

From `setobject.c` in CPython 3.5

```
static void
set_dealloc(PySetObject *so)
{
    setentry *entry;
    Py_ssize_t fill = so->fill;
    PyObject_GC_UnTrack(so);
    Py_TRASHCAN_SAFE_BEGIN(so)
    if (so->weakreflist != NULL)
        PyObject_ClearWeakRefs((PyObject *) so);
    ...
}
```

Also explains why many lightweight objects in CPython are not weak referenceable - avoid the cost of extra overhead of the `weakreflist`



# Ref cycles using GC in CPython

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Strong reference cycles have to wait for mark-and-sweep GC

# Ref cycles using GC in CPython

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Strong reference cycles have to wait for mark-and-sweep GC
- CPython's GC is stop-the-world

# Ref cycles using GC in CPython

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Strong reference cycles have to wait for mark-and-sweep GC
- CPython's GC is stop-the-world
- Runs only per decision criteria in the `gc.set_threshold`, which is now generational

# Ref cycles using GC in CPython

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Strong reference cycles have to wait for mark-and-sweep GC
- CPython's GC is stop-the-world
- Runs only per decision criteria in the `gc.set_threshold`, which is now generational
- Doesn't occur when you need it to close that file, or some other issue

# Useful points to consider

Write More  
Robust Code  
with Weak  
References

Jim Baker

- My experience with garbage collectors is that they work well, except when they don't

# Useful points to consider

Write More  
Robust Code  
with Weak  
References

Jim Baker

- My experience with garbage collectors is that they work well, except when they don't
- Especially around a small object pointing to an expensive resource

# Useful points to consider

Write More  
Robust Code  
with Weak  
References

Jim Baker

- My experience with garbage collectors is that they work well, except when they don't
- Especially around a small object pointing to an expensive resource
- Which you might see with resources that have limits

# Bug!

Write More  
Robust Code  
with Weak  
References

Jim Baker

<http://bugs.python.org/issue9825>

- For 2.7, removed `__del__` in r84725



# Bug!

Write More  
Robust Code  
with Weak  
References

Jim Baker

<http://bugs.python.org/issue9825>

- For 2.7, removed `__del__` in r84725
- For 3.2, replaced `__del__` with weakrefs in r84727

# Bug!

Write More  
Robust Code  
with Weak  
References

Jim Baker

<http://bugs.python.org/issue9825>

- For 2.7, removed `__del__` in r84725
- For 3.2, replaced `__del__` with weakrefs in r84727
- For 3.4, using `__del__` no longer means ref cycles are uncollectable garbage

# Python 2.7 solution

Write More  
Robust Code  
with Weak  
References

Jim Baker

*Issue #9825: removed `__del__` from the definition of `collections.OrderedDict`. This prevents user-created self-referencing ordered dictionaries from becoming permanently uncollectable GC garbage. The downside is that removing `__del__` means that the internal doubly-linked list has to wait for GC collection rather than freeing memory immediately when the `refcnt` drops to zero.*

So this is an important fix - don't want uncollectable garbage!

# Bug!

Write More  
Robust Code  
with Weak  
References

Jim Baker

<http://bugs.python.org/issue9825>

- For 2.7, removed `__del__` in r84725
- For 3.2, replaced `__del__` with weakrefs in r84727

# Bug!

Write More  
Robust Code  
with Weak  
References

Jim Baker

<http://bugs.python.org/issue9825>

- For 2.7, removed `__del__` in r84725
- For 3.2, replaced `__del__` with weakrefs in r84727
- For 3.4, using `__del__` no longer means ref cycles are uncollectable garbage

# Weak references to the rescue!

Write More  
Robust Code  
with Weak  
References

Jim Baker

See implementation of `collections.OrderedDict`

# Crux of the code

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Use `__slots__` to minimize overhead - no need for a dict per object here

```
__slots__ = 'prev', 'next', 'key', '__weakref__'
```

# Crux of the code

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Use `__slots__` to minimize overhead - no need for a dict per object here
- `__weakref__` means that a slots-built class should be weak referenceable

```
__slots__ = 'prev', 'next', 'key', '__weakref__'
```



# Crux of the code

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Use `__slots__` to minimize overhead - no need for a dict per object here
- `__weakref__` means that a slots-built class should be weak referenceable
- NB: no-op in implementations like Jython

```
__slots__ = 'prev', 'next', 'key', '__weakref__'
```

# Crux of the code (2)

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
root.prev = proxy(link)
```

# Lookup tables

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Want to provide more information about a given object

# Lookup tables

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Want to provide more information about a given object
- Without extending/monkeypatching it

# Lookup tables

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Want to provide more information about a given object
- Without extending/monkeypatching it
- (So no use of `__dict__` for extra properties)

# Using a dict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Could use the object as a key

# Using a dict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Could use the object as a key
- But need to manually clean up the dict when the object is no longer needed

# Using a dict

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Could use the object as a key
- But need to manually clean up the dict when the object is no longer needed
- Maybe you know, maybe you don't. Especially useful for libraries



# WeakKeyDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Insert the object as the key

# WeakKeyDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Insert the object as the key
- Associate anything you want as a value - list of properties, another object, etc

# WeakKeyDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Insert the object as the key
- Associate anything you want as a value - list of properties, another object, etc
- When the object used as key goes away, the value is also cleared out (if nothing else is holding onto it)

# Example: Django signals

Write More  
Robust Code  
with Weak  
References

Jim Baker

Django uses weak references in the implementation of its signal mechanism:

*Django includes a “signal dispatcher” which helps allow decoupled applications get notified when actions occur elsewhere in the framework. In a nutshell, signals allow certain senders to notify a set of receivers that some action has taken place. They’re especially useful when many pieces of code may be interested in the same events.*

# WeakKeyDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

Avoid computing the senders-receivers coupling on the fly, the easy way:

```
self.sender_receivers_cache = weakref.WeakKeyDict  
    if use_caching else {}
```

# WeakValueDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?

# WeakValueDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?
- Used by multiprocessing (track processes), logging (track handlers) , symtable. . .

# WeakValueDictionary

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?
- Used by multiprocessing (track processes), logging (track handlers) , symtable. . .
- Useful for when you want to track the object by some id, and there should only be one, but once the object is no longer needed, you can let it go



# Object lifecycle independence

Write More  
Robust Code  
with Weak  
References

Jim Baker

- One side may depend on the other, but not vice versa

# Object lifecycle independence

Write More  
Robust Code  
with Weak  
References

Jim Baker

- One side may depend on the other, but not vice versa
- Use weak references for the independent side - process is terminated, can remove the lookup by process id

# Object lifecycle independence

Write More  
Robust Code  
with Weak  
References

Jim Baker

- One side may depend on the other, but not vice versa
- Use weak references for the independent side - process is terminated, can remove the lookup by process id
- -> WeakValueDictionary

# Combining *both* weak keys and weak values?

Write More  
Robust Code  
with Weak  
References

Jim Baker

Yes, it does make sense. Both sides are independent.

# Example: Mapping Java classes to Python wrappers

Write More  
Robust Code  
with Weak  
References

Jim Baker

Jython implements this variant of the Highlander pattern:

- Map the Java class to Python wrappers (strong ref from using Java code)

# Example: Mapping Java classes to Python wrappers

Write More  
Robust Code  
with Weak  
References

Jim Baker

Jython implements this variant of the Highlander pattern:

- Map the Java class to Python wrappers (strong ref from using Java code)
- Python classes to any using Java class (strong ref from using Python code)

# Example: Mapping Java classes to Python wrappers

Write More  
Robust Code  
with Weak  
References

Jim Baker

Jython implements this variant of the Highlander pattern:

- Map the Java class to Python wrappers (strong ref from using Java code)
- Python classes to any using Java class (strong ref from using Python code)
- AND *there can only be one* mapping (or at least should be)

# Either might go away

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?



# Either might go away

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?
- Java classes will be garbage collected if no `ClassLoader` (the parent of the class effectively) or objects of that class exist;

# Either might go away

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Why?
- Java classes will be garbage collected if no `ClassLoader` (the parent of the class effectively) or objects of that class exist;
- But Python usage of this class will be GCed if no usage on the Python side - no subclasses in Python, etc

# Implementations

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Pure Python Recipe available  
(<http://code.activestate.com/recipes/528879-weak-key-and-value-dictionary/>) but I haven't  
evaluated

# Implementations

Write More  
Robust Code  
with Weak  
References

Jim Baker

- Pure Python Recipe available  
(<http://code.activestate.com/recipes/528879-weak-key-and-value-dictionary/>) but I haven't evaluated
- Easy Jython version, because of JVM ecosystem

# Jython version

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
from jythonlib import MapMaker, dict_builder

class WeakKeyValueTypeDictionary(dict):
    def __new__(cls, *args, **kw):
        return WeakKeyValueTypeDictionaryBuilder(*args, *

# also add intervaluerefs, valuerefs,
# iterkeyrefs, keyrefs
```

# Hook into Google Guava Collections

Write More  
Robust Code  
with Weak  
References

Jim Baker

```
WeakKeyValueDictionaryBuilder = dict_builder(  
    MapMaker().weakKeys().weakValues().makeMap,  
    WeakKeyValueDictionary)
```