

# Reconstructing Propositional Proofs in Type Theory

Jonathan Prieto-Cubides  
Advisor: Andrés Sicard-Ramírez

Master in Applied Mathematics  
Universidad EAFIT  
Medellín, Colombia

Novembe The logo of Universidad EAFIT, featuring the word "UNIVERSIDAD" in a smaller, blue, sans-serif font above the word "EAFIT" in a larger, bold, blue, sans-serif font. A blue horizontal line is positioned below "EAFIT", and a registered trademark symbol (®) is located to the right of the line.

## Goal

Formalization in type theory of the classical propositional derivations generated by the Metis theorem prover

## Goal

Formalization in type theory of the classical propositional derivations generated by the `Metis` theorem prover

## Topics

- ▶ Automatic reasoning using automatic theorem provers (ATPs) (e. g., `Metis`, `EProver`)
- ▶ Interactive proving using Proof-assistants (e. g., `Agda`, `Coq`)
- ▶ Formal methods to verify outputs of ATPs in Proof-assistants

# Related Work

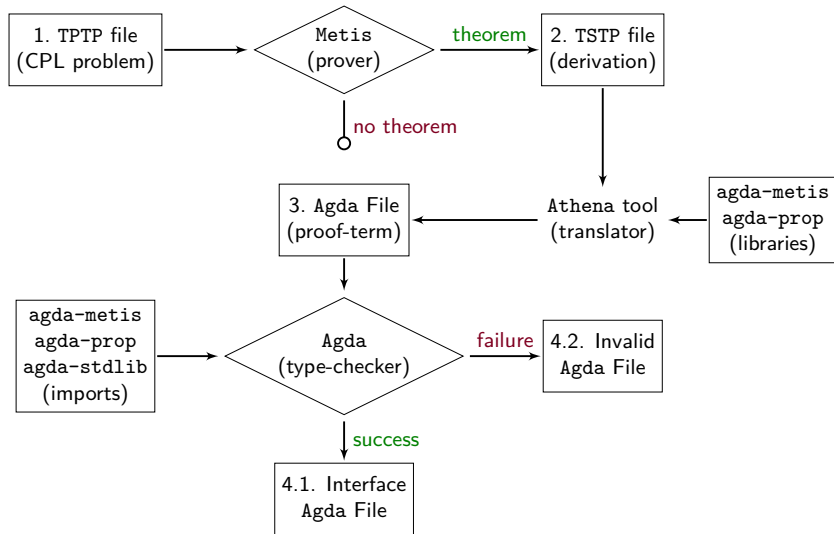
In type theory:

- ▶ **Kanso2012** in [Kanso2012] reconstructs in Agda propositional proofs generated by EProver and Z3
- ▶ **foster2011integrating** in [foster2011integrating] describe proof-reconstruction in Agda for equational logic of Waldmeister prover
- ▶ **Bezem2002** in [Bezem2002] transform a proof produced by the first-order prover Bliksem in a Coq proof-term

In classical logic:

- ▶ **paulson2007source** in [paulson2007source] introduce SledgeHammer, a tool ables to reconstructs proofs of well-known ATPs: EProver, Vampire, among others using SystemOnTPTP server
- ▶ **Hurd1999** in [Hurd1999] integrates the first-order resolution prover Gandalf prover for HOL proof-assistant
- ▶ **kaliszyk2013** in [kaliszyk2013] reconstruct proofs of different ATPs for HOL Light

# Proof Reconstruction: Overview



# Metis Theorem Prover

Metis is an automatic theorem prover for first-order logic with equality.

- ▶ Open source implemented
- ▶ Reads problems in TPTP format
- ▶ Outputs *detailed* proofs in TSTP format
- ▶ For the propositional logic, Metis has only three inference rules:

$$\frac{}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n} \text{ axiom } \varphi_1, \dots, \varphi_n$$

$$\frac{}{\Gamma \vdash \varphi \vee \neg \varphi} \text{ assume } \varphi$$

$$\frac{\Gamma \vdash \varphi_1 \vee \dots \vee l \vee \dots \vee \varphi_n \quad \Gamma \vdash \psi_1 \vee \dots \vee \neg l \vee \dots \vee \psi_m}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n \vee \psi_1 \vee \dots \vee \psi_m} \text{ resolve } l$$

# Inference Rules of Metis

TSTP derivations by Metis exhibit the following inferences:

Metis rule	Purpose
strip	Strip a goal into subgoals
conjunct	Takes a formula from a conjunction
resolve	A general form of the resolution theorem
canonicalize	Normalization of the formula
clausify	Performs clausification
simplify	Simplify definitions and theorems

# Metis Refutation Strategy

---

**procedure** METIS

**input:** a *goal* and a set of *premises*  $a_i$

**output:** maybe a derivation when  $\{a_i\} \vdash \textit{goal}$ , otherwise nothing.

Strip the goal into a list of *subgoals*.

**for** each subgoal  $s_i$  **do**

Try to find by a refutation of  $\neg s_i$

**end for**

**end procedure**

---



# Proof Reconstruction

# Stripping a Goal

# Splitting a Conjunct

# Resolution

# Canonicalize

# Clausification

# Simplification

# Formalization Challenges

- ▶ Terminating of functions to reconstruct inference rules
- ▶ Intuitionistic logic implementation



# Complete Example

The problem<sup>1</sup>:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

In TPTP syntax:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).
```

Its TSTP solution using Metis:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).  
fof(s1, (p v q) => p, inf(strip, goal)).  
fof(s2, ((p v q) ^ p) => q, inf(strip, goal)).  
...
```

---

<sup>1</sup>Problem No. 13 in Disjunction Section in [Prieto-Cubides2017]

```

fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).
fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).
fof(n03, p ∨ q, inf(conjunct, n02)).
fof(n04, ¬ p, inf(conjunct, n02)).
fof(n05, q, inf(simplify, [n03, n04])).
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).
cnf(r01, q, inf(canonicalize, n05)).
cnf(r02, p, inf(resolve, q, [r01, r00])).
cnf(r03, ¬ p, inf(canonicalize, n04)).
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify, [n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

# TSTP Refutation of Subgoal No. 1

```
fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).  
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).  
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).  
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).  
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).  
fof(n03, p ∨ q, inf(conjunct, n02)).  
fof(n04, ¬ p, inf(conjunct, n02)).  
fof(n05, q, inf(simplify, [n03, n04])).  
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).  
cnf(r01, q, inf(canonicalize, n05)).  
cnf(r02, p, inf(resolve, q, [r01, r00])).  
cnf(r03, ¬ p, inf(canonicalize, n04)).  
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
```

# Tree for the Subgoal No. 1: $(p \vee q) \Rightarrow p$

fof(a<sub>1</sub>, axiom, (p  $\Rightarrow$  q)  $\wedge$  (q  $\Rightarrow$  p)).

...

fof(n00, ( $\neg$  p  $\vee$  q)  $\wedge$  ( $\neg$  q  $\vee$  p), inf(canonicalize, a<sub>1</sub>)).

fof(n01,  $\neg$  q  $\vee$  p, inf(conjunct, n00)).

...

$$\begin{array}{c} \text{axiom } a_1 \\ \hline \Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\ \hline \neg s_1 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \quad \text{weaken} \\ \hline \neg s_1 \vdash (\neg p \vee q) \wedge (\neg q \vee p) \quad \text{canonicalize} \\ \hline \neg s_1 \vdash \neg q \vee p \quad \text{conjunct} \end{array}$$

( $\mathcal{D}_1$ )

```

...
fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).
...
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).
fof(n03, p ∨ q, inf(conjunct, n02)).
fof(n04, ¬ p, inf(conjunct, n02)).
...

```

$$(\mathcal{D}_2) \quad \frac{\frac{\frac{}{\neg s_1 \vdash \neg s_1} \text{assume}}{\neg s_1 \vdash \neg p \wedge (p \vee q)} \text{canonicalize}}{\neg s_1 \vdash p \vee q} \text{conjunct}$$

$$(\mathcal{D}_3) \quad \frac{\frac{\frac{\frac{}{\neg s_1 \vdash \neg s_1} \text{assume } \neg s_1}}{\neg s_1 \vdash \neg p \wedge (p \vee q)} \text{canonicalize}}{\neg s_1 \vdash \neg p} \text{conjunct}$$

$$(\mathcal{D}_4) \quad \frac{\frac{\mathcal{D}_2}{\neg s_1 \vdash p \vee q} \quad \frac{\mathcal{D}_3}{\neg s_1 \vdash \neg p}}{\neg s_1 \vdash q} \text{ simplify}$$

$$(\mathcal{R}_1) \quad \frac{\frac{\frac{\mathcal{D}_1}{\neg s_1 \vdash \neg q \vee p} \quad \frac{\mathcal{D}_4}{\neg s_1 \vdash q}}{\neg s_1 \vdash p} \text{ resolve } q \quad \frac{\mathcal{D}_3}{\neg s_1 \vdash \neg p}}{\neg s_1 \vdash \perp} \text{ resolve } p$$

$$\frac{\neg s_1 \vdash \perp}{\Gamma \vdash s_1} \text{ RAA}$$

## Tree for the Subgoal No. 2: $((p \vee q) \wedge p) \Rightarrow q$

```

fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify, [n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

$$\begin{array}{c}
 \text{axiom } a_1 \\
 \hline
 \Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{weaken} \\
 \hline
 \neg s_2 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{canonicalize} \\
 \hline
 \neg s_2 \vdash (\neg p \vee q) \wedge (\neg q \vee p) \\
 \hline
 \text{conjunct} \\
 \hline
 \neg s_2 \vdash \neg p \vee q \\
 \hline
 \text{simplify} \\
 \hline
 \neg s_2 \vdash \perp \\
 \hline
 \text{RAA} \\
 \hline
 \Gamma \vdash s_2
 \end{array}$$

$\frac{\Gamma, \neg s_2 \vdash \neg s_2 \quad \text{assume } (\neg s_2) \quad \neg s_2 \vdash \neg q \wedge p \wedge (p \vee q) \quad \text{canonicalize}}{\neg s_2 \vdash \neg q \wedge p \wedge (p \vee q) \quad \text{canonicalize}}$

$(\mathcal{R}_2)$

# Summarizing the Example

The problem was:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

Its TSTP solution using Metis was:

```
fof(a1, axiom, (p  $\Rightarrow$  q)  $\wedge$  (q  $\Rightarrow$  p)).  
fof(goal, conjecture, (p  $\vee$  q)  $\Rightarrow$  (p  $\wedge$  q)).  
fof(s1, (p  $\vee$  q)  $\Rightarrow$  p, inf(strip, goal)).  
fof(s2, ((p  $\vee$  q)  $\wedge$  p)  $\Rightarrow$  q, inf(strip, goal)).  
...
```

The proof is:

$$\frac{\frac{}{\Gamma \vdash (s_1 \wedge s_2) \Rightarrow \text{goal}} \text{strip} \quad \frac{\frac{\mathcal{R}_1}{\Gamma \vdash s_1} \quad \frac{\mathcal{R}_2}{\Gamma \vdash s_2}}{\Gamma \vdash s_1 \wedge s_2} \wedge\text{-intro}}{\Gamma \vdash \text{goal}} \Rightarrow\text{-elim}$$



# Results

Academic results: paper (work in progress)

Software related results:

- ▶ Athena<sup>2</sup>: a translator tool for Metis proofs to Agda in Haskell
- ▶ Agda libraries:
  - ▶ Agda-Metis<sup>3</sup>: Metis prover reasoning for propositional logic
  - ▶ Agda-Prop<sup>4</sup>: intuitionistic propositional logic with PEM
- ▶ Bugs found in Metis: see issues No. 2, No. 4, and commit 8a3f11e in Metis official repository<sup>5</sup>

In parallel, we develop:

- ▶ Online-ATPs<sup>6</sup>: a client for the TPTP world in Haskell This tool allowed us to use Metis without installing it
- ▶ Prop-Pack<sup>7</sup>: Compendium of TPTP problems in classical propositional logic used to test Athena

---

<sup>2</sup><https://github.com/jonaprieto/athena>.

<sup>3</sup><https://github.com/jonaprieto/agda-metis>.

<sup>4</sup><https://github.com/jonaprieto/agda-prop>.

<sup>5</sup><https://github.com/gilith/metis>.

<sup>6</sup><https://github.com/jonaprieto/online-atps>.

<sup>7</sup><https://github.com/jonaprieto/prop-pack>.

Further research directions include, but are not limited to:

- ▶ improve the performance of the `canonicalize` rule
- ▶ extend the proof-reconstruction presented in this paper to
  - ▶ support the proposition logic with equality of `Metis`
  - ▶ support other ATPs for propositional logic like `EProver` or `Z3`.  
See Kanso's Ph.D. thesis [**Kanso2012**]
  - ▶ support `Metis` first-order proofs

# References I



# TPTP Syntax

Thousands of Problems for Theorem Provers

- ▶ Is a language<sup>8</sup> to encode problems
- ▶ Is the input of the ATPs
- ▶ Annotated formulas with the form

`language(name, role, formula).`

`language` FOF or CNF

`name` to identify the formula within the problem

`role` axiom, definition, hypothesis, conjecture

`formula` formula in TPTP format

---

<sup>8</sup><http://www.cs.miami.edu/~tptp/TPTP/SyntaxBNF.html>.

# TSTP Syntax

A TSTP derivation<sup>9</sup>

- ▶ Is a **D**irected **A**cyclic **G**raph where
  - `leaf` is a formula from the TPTP input
  - `node` is a formula inferred from parent formula
  - `root` the final derived formula
- ▶ Is a list of annotated formulas with the form

```
language(name, role, formula, source [,useful info]).
```

where `source` typically is an inference record

```
inference(rule, useful info, parents).
```

---

<sup>9</sup><http://www.cs.miami.edu/~tptp/TPTP/QuickGuide/Derivations.html>.

# TSTP Example

- Proof found by Metis for the problem  $p \vdash p$

```
$ metis --show proof problem.tptp
fof(a, axiom, p).
fof(goal, conjecture, p).
fof(subgoal_0, plain, p),
    inference(strip, [], [goal])).
fof(negate_0_0, plain, ~ p,
    inference(negate, [], [subgoal_0])).
fof(normalize_0_0, plain, ~ p,
    inference(canonicalize, [], [negate_0_0])).
fof(normalize_0_1, plain, p,
    inference(canonicalize, [], [a])).
fof(normalize_0_2, plain, $false,
    inference(simplify, [],
        [normalize_0_0, normalize_0_1])).
cnf(refute_0_0, plain, $false,
    inference(canonicalize, [], [normalize_0_2])).
```

# DAG Example

By refutation, we proved  $p \vdash p$ :

