

# Reconstructing Propositional Proofs in Type Theory

Jonathan Prieto-Cubides  
Advisor: Andrés Sicard-Ramírez

Master in Applied Mathematics  
Universidad EAFIT  
Medellín, Colombia

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## Goal

Formalization in type theory, classical propositional derivations generated by the Metis theorem prover.

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## Topics

- ▶ Automatic reasoning using automatic theorem provers (ATPs) (e. g., `Metis`, `EProver`)
- ▶ Interactive proving using proof-assistants (e. g., `Agda`, `Coq`)
- ▶ Formal methods to verify outputs of ATPs in proof-assistants

# Outcomes of the Research

Academic result: paper (work in progress)

Software related results:

- ▶ Athena<sup>1</sup>: a translator tool for Metis proofs to Agda in Haskell
- ▶ Agda libraries:
  - ▶ Agda-Metis<sup>2</sup>: Metis prover reasoning for propositional logic
  - ▶ Agda-Prop<sup>3</sup>: intuitionistic propositional logic with PEM
- ▶ Bugs found in Metis: see issues No. 2, No. 4, and commit 8a3f11e in Metis official repository<sup>4</sup>

In parallel, we develop:

- ▶ Online-ATPs<sup>5</sup>: a client for the TPTP world in Haskell This tool allowed us to use Metis without installing it
- ▶ Prop-Pack<sup>6</sup>: compendium of TPTP problems in classical propositional logic used to test Athena

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<sup>1</sup><https://github.com/jonaprieto/athena>.

<sup>2</sup><https://github.com/jonaprieto/agda-metis>.

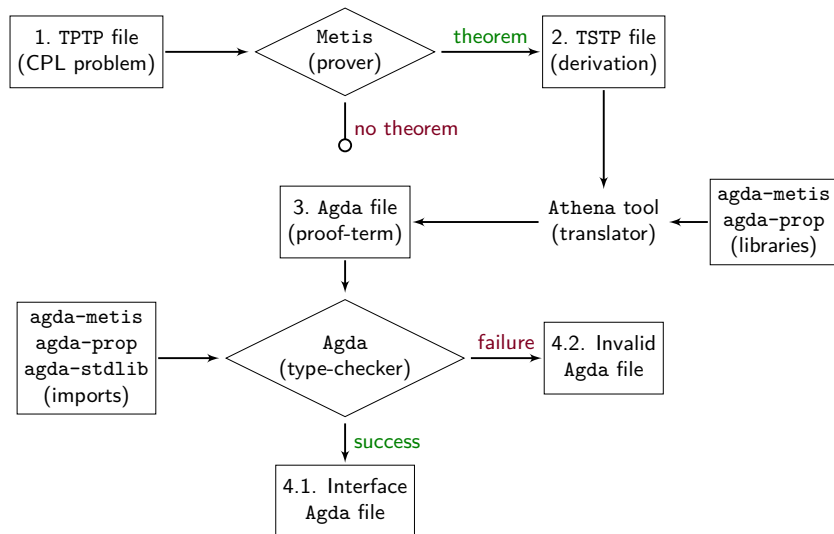
<sup>3</sup><https://github.com/jonaprieto/agda-prop>.

<sup>4</sup><https://github.com/gilith/metis>.

<sup>5</sup><https://github.com/jonaprieto/online-atps>.

<sup>6</sup><https://github.com/jonaprieto/prop-pack>.

# Proof Reconstruction: Overview



# Inference Rules of Metis

TSTP derivations by Metis exhibit the following inferences:

| Metis rule   | Purpose                                  |
|--------------|--|
| strip        | Strip a goal into subgoals               |
| conjunct     | Takes a formula from a conjunction       |
| resolve      | A general form of the resolution theorem |
| canonicalize | Normalization of the formula             |
| clausify     | Performs clausification                  |
| simplify     | Simplify definitions and theorems        |

# Proposition Type

A data type for formulas

```
data Prop : Set where
  Var : Fin n → Prop
  ⊤    : Prop
  ⊥    : Prop
  _∧_  : (φ ψ : Prop) → Prop
  _∨_  : (φ ψ : Prop) → Prop
  _⇒_  : (φ ψ : Prop) → Prop
  _⇔_  : (φ ψ : Prop) → Prop
  ¬_   : (φ : Prop)  → Prop
```

# Inference Rules For Propositional Logic I

Intuitionistic Propositional Logic + PEM ( $\Gamma \vdash \varphi \vee \neg \varphi$ )

$$\frac{}{\Gamma, \varphi \vdash \varphi} \text{assume}$$

$$\frac{}{\Gamma \vdash \top} \top\text{-intro}$$

$$\frac{}{\Gamma \vdash \varphi \vee \neg \varphi} \text{PEM}$$

$$\frac{\Gamma \vdash \perp}{\Gamma \vdash \varphi} \perp\text{-elim}$$

$$\frac{\Gamma, \varphi \vdash \perp}{\Gamma \vdash \neg \varphi} \neg\text{-intro}$$

$$\frac{\Gamma \vdash \neg \varphi \quad \Gamma \vdash \varphi}{\Gamma \vdash \perp} \neg\text{-elim}$$

$$\frac{\Gamma \vdash \varphi \quad \Gamma \vdash \psi}{\Gamma \vdash \varphi \wedge \psi} \wedge\text{-intro}$$

$$\frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \varphi} \wedge\text{-proj}_1$$

$$\frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \psi} \wedge\text{-proj}_2$$



# Inference Rules For Propositional Logic II

$$\frac{\Gamma \vdash \varphi}{\Gamma \vdash \varphi \vee \psi} \vee\text{-intro}_1$$

$$\frac{\Gamma \vdash \psi}{\Gamma \vdash \varphi \vee \psi} \vee\text{-intro}_2$$

$$\frac{\Gamma, \varphi \vdash \gamma \quad \Gamma, \psi \vdash \gamma}{\Gamma, \varphi \vee \psi \vdash \gamma} \vee\text{-elim}$$

$$\frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \Rightarrow \psi} \Rightarrow\text{-intro}$$

$$\frac{\Gamma \vdash \varphi \Rightarrow \psi \quad \Gamma \vdash \varphi}{\Gamma \vdash \psi} \Rightarrow\text{-elim}$$

# Useful Rules

$$\frac{\Gamma \vdash \varphi}{\Gamma, \psi \vdash \varphi} \text{ weaken}$$

$$\frac{\Gamma, \neg \varphi \vdash \perp}{\Gamma \vdash \varphi} \text{ RAA}$$

# Syntactical Consequence Relation in Agda

- ▶ Inductive family  $\_ \vdash \_$  with two indexes: a set of propositions  $\Gamma$  (the premises) and a proposition  $\varphi$  (the conclusion)

```
data _ $\vdash$ _ : ( $\Gamma$  : Ctxt)( $\varphi$  : Prop)  $\rightarrow$  Set
```

- ▶ Constructors (inference rules)

```
assume,  $\top$ -intro,  $\perp$ -elim,  $\neg$ -intro,  
 $\neg$ -elim,  $\wedge$ -intro,  $\wedge$ -proj1,  $\wedge$ -proj2,  $\vee$ -intro1,  
 $\vee$ -intro2,  $\vee$ -elim,  $\Rightarrow$ -intro,  $\Rightarrow$ -elim,  $\Leftrightarrow$ -intro,  
 $\Leftrightarrow$ -elim1,  $\Leftrightarrow$ -elim2.
```

For example, the introduction rule for conjunction  $\wedge$ -intro is the constructor:

```
 $\wedge$ -intro  
  : { $\Gamma$ } { $\varphi$   $\psi$ }  
   $\rightarrow \Gamma \vdash \varphi \rightarrow \Gamma \vdash \psi$   
   $\rightarrow \Gamma \vdash \varphi \wedge \psi$ 
```

- ▶ In **[AgdaProp]** we can find more than 99 theorems to reasoning in classical propositional logic

```
 $\wedge$ -assoc,  $\wedge$ -comm,  $\wedge$ -dist,  $\neg \wedge$ -to- $\neg \vee \neg$ ,  $\vee$ -assoc,  $\vee$ -comm,  $\vee$ -dist,  $\vee$ -equiv  
 $\neg \neg \vee \neg \neg$ -to- $\vee$ , cnf, nnf, dnf, ...
```

# Reconstructing Metis Rules in Type Theory

Let `metisRule` be a `Metis` inference rule. We define in Agda the function `metisRule` which has the following pattern<sup>7</sup>:

`metisRule : PREMISE → CONCLUSION → PROP`

$$\text{metisRule } \varphi \ \psi = \begin{cases} \psi, & \text{if metisRule built } \psi \text{ by applying inference} \\ & \text{rules to } \varphi; \\ \varphi, & \text{otherwise;} \end{cases}$$

To justify all transformations done by the `metisRule` rule, we prove its soundness with a theorem like the following:

If  $\Gamma \vdash \varphi$  then  $\Gamma \vdash \text{metisRule } \varphi \ \psi$ , where  $\psi : \text{CONCLUSION}$ .

---

<sup>7</sup>`PREMISE` and `CONCLUSION` as synonyms of the `PROP` type to describe in the function types the role of the arguments

# Reconstructing Example

The `clausify` rule transforms a formula into its clausal normal form.

## Example

In the following TSTP derivation by Metis, we see how `clausify` transforms the `norm0` formula to get `norm1` formula.

```
fof(norm0,  $\neg p \vee (q \wedge r)$  ...  
fof(norm1,  $(\neg p \vee q) \wedge (\neg p \vee r)$ , inf(clausify, norm0)).
```

## Theorem

*Let  $\psi$  : CONCLUSION. If  $\Gamma \vdash \varphi$  then  $\Gamma \vdash \text{clausify } \varphi \ \psi$ , where*

$\text{clausify} : \text{PREMISE} \rightarrow \text{CONCLUSION} \rightarrow \text{PROP}$

$$\text{clausify } \varphi \ \psi = \begin{cases} \psi, & \text{if } \varphi \equiv \psi; \\ \text{reorder}_{\wedge \vee} (\text{cnf } \varphi) \ \psi, & \text{otherwise.} \end{cases}$$

# The Intuition behind the Metis Algorithm

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**Algorithm 1** Metis refutation strategy

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**procedure** METIS

**input:** the goal and a set of *premises*  $a_1, \dots, a_n$

**output:** maybe a derivation when  $a_1, \dots, a_n \vdash \text{goal}$ , otherwise nothing.

strip the goal into a list of *subgoals*  $s_i$

**for** each subgoal  $s_i$  **do**

try to find by a refutation for  $\neg s_i$ :

    apply clausification for the negated subgoal  $\neg s_i$

**if** a premise  $a_j$  is relevant **then**

        apply clausification to  $a_j$

**end if**

        application of Metis inference rules

**if** a contradiction can be derived the assumptions **then**

        keep the refutation and continue with the others subgoals

**else**

        exit without a proof. The conjecture can not be derived  
from the premises

**end if**

**end for**

print the conjecture and the premises

print each refutation for each negated subgoal

**end procedure**

---

# Challenges

- ▶ Formalization
  - ▶ Understanding the Metis reasoning without a proper documentation or description from the Metis author
  - ▶ Terminating of functions that reconstruct Metis inference rules
  - ▶ Intuitionistic logic implementation
- ▶ Software related
  - ▶ Parsing of TSTP derivations
  - ▶ Printing valid Agda files
  - ▶ Testing

# Complete Example

The problem<sup>8</sup>:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

In TPTP syntax:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).
```

Its TSTP solution using Metis:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).  
fof(s1, (p v q) => p, inf(strip, goal)).  
fof(s2, ((p v q) ^ p) => q, inf(strip, goal)).  
...
```

---

<sup>8</sup>Problem No. 13 in Disjunction Section in [Prieto-Cubides2017]



```

fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).
fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).
fof(n03, p ∨ q, inf(conjunct, n02)).
fof(n04, ¬ p, inf(conjunct, n02)).
fof(n05, q, inf(simplify,[n03, n04])).
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).
cnf(r01, q, inf(canonicalize, n05)).
cnf(r02, p, inf(resolve, q, [r01, r00])).
cnf(r03, ¬ p, inf(canonicalize, n04)).
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify,[n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

# TSTP Refutation of Subgoal No. 1

```
fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).  
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).  
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).  
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).  
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).  
fof(n03, p ∨ q, inf(conjunct, n02)).  
fof(n04, ¬ p, inf(conjunct, n02)).  
fof(n05, q, inf(simplify, [n03, n04])).  
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).  
cnf(r01, q, inf(canonicalize, n05)).  
cnf(r02, p, inf(resolve, q, [r01, r00])).  
cnf(r03, ¬ p, inf(canonicalize, n04)).  
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
```

# Tree for the Subgoal No. 1: $(p \vee q) \Rightarrow p$

fof(a<sub>1</sub>, axiom, (p  $\Rightarrow$  q)  $\wedge$  (q  $\Rightarrow$  p)).

...

fof(n00, ( $\neg$  p  $\vee$  q)  $\wedge$  ( $\neg$  q  $\vee$  p), inf(canonicalize, a<sub>1</sub>)).

fof(n01,  $\neg$  q  $\vee$  p, inf(conjunct, n00)).

...

$$\begin{array}{c}
 \frac{}{\Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p)} \text{axiom } a_1 \\
 \frac{}{\Gamma, \neg s_1 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p)} \text{weaken} \\
 \frac{}{\Gamma, \neg s_1 \vdash (\neg p \vee q) \wedge (\neg q \vee p)} \text{canonicalize} \\
 \frac{}{\Gamma, \neg s_1 \vdash \neg q \vee p} \text{conjunct}
 \end{array}
 \quad (\mathcal{D}_1)$$

...  
 fof(s<sub>1</sub>, (p ∨ q) ⇒ p, inf(strip, goal)).  
 fof(neg<sub>1</sub>, ¬ ((p ∨ q) ⇒ p), inf(negate, s<sub>1</sub>)).  
 ...  
 fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg<sub>1</sub>)).  
 fof(n03, p ∨ q, inf(conjunct, n02)).  
 fof(n04, ¬ p, inf(conjunct, n02)).  
 ...

$$(\mathcal{D}_2) \quad \frac{\frac{\overline{\Gamma, \neg s_1 \vdash \neg s_1} \text{ assume}}{\Gamma, \neg s_1 \vdash \neg p \wedge (p \vee q)} \text{ canonicalize}}{\Gamma, \neg s_1 \vdash p \vee q} \text{ conjunct}$$

$$(\mathcal{D}_3) \quad \frac{\frac{\frac{\overline{\Gamma, \neg s_1 \vdash \neg s_1} \text{ assume } \neg s_1}}{\Gamma, \neg s_1 \vdash \neg p \wedge (p \vee q)} \text{ canonicalize}}{\Gamma, \neg s_1 \vdash \neg p} \text{ conjunct}$$

$$(\mathcal{D}_4) \quad \frac{\frac{\mathcal{D}_2}{\Gamma, \neg s_1 \vdash p \vee q} \quad \frac{\mathcal{D}_3}{\Gamma, \neg s_1 \vdash \neg p}}{\Gamma, \neg s_1 \vdash q} \text{ simplify}$$

$$(\mathcal{R}_1) \quad \frac{\frac{\frac{\mathcal{D}_1}{\Gamma, \neg s_1 \vdash \neg q \vee p} \quad \frac{\mathcal{D}_4}{\Gamma, \neg s_1 \vdash q}}{\Gamma, \neg s_1 \vdash p} \text{ resolve } q \quad \frac{\mathcal{D}_3}{\Gamma, \neg s_1 \vdash \neg p}}{\Gamma, \neg s_1 \vdash \perp} \text{ resolve } p$$

$$\frac{\Gamma, \neg s_1 \vdash \perp}{\Gamma \vdash s_1} \text{ RAA}$$

## Tree for the Subgoal No. 2: $((p \vee q) \wedge p) \Rightarrow q$

```

fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify, [n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

$$\begin{array}{c}
 \text{axiom } a_1 \\
 \hline
 \Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{weaken} \\
 \hline
 \Gamma, \neg s_2 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{canonicalize} \\
 \hline
 \Gamma, \neg s_2 \vdash (\neg p \vee q) \wedge (\neg q \vee p) \\
 \hline
 \text{conjunct} \\
 \hline
 \Gamma, \neg s_2 \vdash \neg p \vee q \\
 \hline
 \text{simplify} \\
 \hline
 \Gamma, \neg s_2 \vdash \perp \\
 \hline
 \text{RAA} \\
 \hline
 \Gamma \vdash s_2
 \end{array}$$

$(\mathcal{R}_2)$

# Summarizing the Example

The problem was:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

Its TSTP solution using Metis was:

```
fof(a1, axiom, (p  $\Rightarrow$  q)  $\wedge$  (q  $\Rightarrow$  p)).  
fof(goal, conjecture, (p  $\vee$  q)  $\Rightarrow$  (p  $\wedge$  q)).  
fof(s1, (p  $\vee$  q)  $\Rightarrow$  p, inf(strip, goal)).  
fof(s2, ((p  $\vee$  q)  $\wedge$  p)  $\Rightarrow$  q, inf(strip, goal)).  
...
```

The proof is:

$$\frac{\frac{\Gamma \vdash (s_1 \wedge s_2) \Rightarrow \text{goal}}{\Gamma \vdash (s_1 \wedge s_2) \Rightarrow \text{goal}} \text{strip} \quad \frac{\frac{\mathcal{R}_1}{\Gamma \vdash s_1} \quad \frac{\mathcal{R}_2}{\Gamma \vdash s_2}}{\Gamma \vdash s_1 \wedge s_2} \wedge\text{-intro}}{\Gamma \vdash \text{goal}} \Rightarrow\text{-elim}$$

Further research directions include, but are not limited to:

- ▶ improve the performance of the `canonicalize` rule
- ▶ extend the proof-reconstruction presented in this paper to
  - ▶ support the proposition logic with equality of `Metis`
  - ▶ support other ATPs for propositional logic like `EProver` or `Z3`.  
See Kanso's Ph.D. thesis [**Kanso2012**]
  - ▶ support `Metis` first-order proofs



# Related Work

In type theory:

- ▶ **Kanso2012** in [Kanso2012] reconstructs in Agda propositional proofs generated by EProver and Z3
- ▶ **foster2011integrating** in [foster2011integrating] describe proof-reconstruction in Agda for equational logic of Waldmeister prover
- ▶ **Bezem2002** in [Bezem2002] transform a proof produced by the first-order prover Bliksem in a Coq proof-term

In classical logic:

- ▶ **paulson2007source** in [paulson2007source] introduce SledgeHammer, a tool ables to reconstructs proofs of well-known ATPs: EProver, Vampire, among others using SystemOnTPTP server
- ▶ **Hurd1999** in [Hurd1999] integrates the first-order resolution prover Gandalf prover for HOL proof-assistant
- ▶ **kaliszyk2013** in [kaliszyk2013] reconstruct proofs of different ATPs for HOL Light

# References I

# BONUS SLIDES

# TPTP Syntax

Thousands of Problems for Theorem Provers

- ▶ Is a language<sup>9</sup> to encode problems
- ▶ Is the input of the ATPs
- ▶ Annotated formulas with the form  
language(name, role, formula).

language FOF or CNF

name to identify the formula within the problem

role axiom, definition, hypothesis, conjecture

formula formula in TPTP format

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<sup>9</sup><http://www.cs.miami.edu/~tptp/TPTP/SyntaxBNF.html>.

# Metis Theorem Prover

Metis is an automatic theorem prover for first-order logic with equality.

- ▶ Open source implemented
- ▶ Reads problems in TPTP format
- ▶ Outputs *detailed* proofs in TSTP format
- ▶ For the propositional logic, Metis has only three inference rules:

$$\frac{}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n} \text{ axiom } \varphi_1, \dots, \varphi_n$$

$$\frac{}{\Gamma \vdash \varphi \vee \neg \varphi} \text{ assume } \varphi$$

$$\frac{\Gamma \vdash \varphi_1 \vee \dots \vee l \vee \dots \vee \varphi_n \quad \Gamma \vdash \psi_1 \vee \dots \vee \neg l \vee \dots \vee \psi_m}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n \vee \psi_1 \vee \dots \vee \psi_m} \text{ resolve } l$$

# TSTP Syntax

A TSTP derivation<sup>10</sup>

- ▶ Is a **D**irected **A**cyclic **G**raph where
  - `leaf` is a formula from the TPTP input
  - `node` is a formula inferred from parent formula
  - `root` the final derived formula
- ▶ Is a list of annotated formulas with the form

```
language(name, role, formula, source [,useful info]).
```

where `source` typically is an inference record

```
inference(rule, useful info, parents).
```

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<sup>10</sup><http://www.cs.miami.edu/~tptp/TPTP/QuickGuide/Derivations.html>.

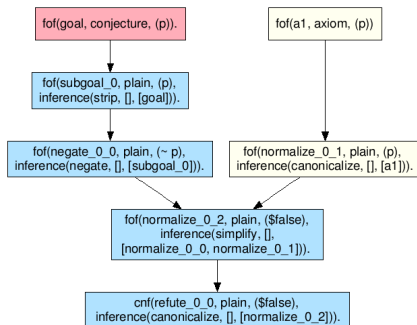
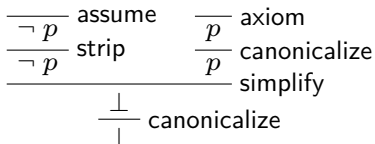
# Another TSTP Example

- Proof found by Metis for the problem  $p \vdash p$

```
$ metis --show proof problem.tptp
fof(a, axiom, p).
fof(goal, conjecture, p).
fof(subgoal_0, plain, p),
    inference(strip, [], [goal])).
fof(negate_0_0, plain, ~ p,
    inference(negate, [], [subgoal_0])).
fof(normalize_0_0, plain, ~ p,
    inference(canonicalize, [], [negate_0_0])).
fof(normalize_0_1, plain, p,
    inference(canonicalize, [], [a])).
fof(normalize_0_2, plain, $false,
    inference(simplify, [],
        [normalize_0_0, normalize_0_1])).
cnf(refute_0_0, plain, $false,
    inference(canonicalize, [], [normalize_0_2])).
```

# DAG Example

By refutation, we proved  $p \vdash p$ :





Is a Haskell program that translates proofs given by Metis in TSTP format to Agda code

- ▶ Parsing of TSTP language<sup>11</sup>
- ▶ Creation<sup>??</sup> and analysis of **DAG** derivations
- ▶ Analysis of inference rules used in the TSTP derivation
- ▶ Agda code generation

| Library    | Purpose  |
|------------|--|
| Agda-Prop  | axioms and theorems of classical propositional logic |
| Agda-Metis | versions of the inference rules used by Metis        |

---

<sup>11</sup><https://github.com/agomez1/tstp2agda>.