

Reconstructing Propositional Proofs in Type Theory

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Goal

Formalization in type theory of the classical propositional derivations generated by the Metis theorem prover

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Topics

- ▶ Automatic reasoning using automatic theorem provers (ATPs) (e. g., `Metis`, `EProver`)
- ▶ Interactive proving using Proof-assistants (e. g., `Agda`, `Coq`)
- ▶ Formal methods to verify outputs of ATPs in Proof-assistants

Related Work

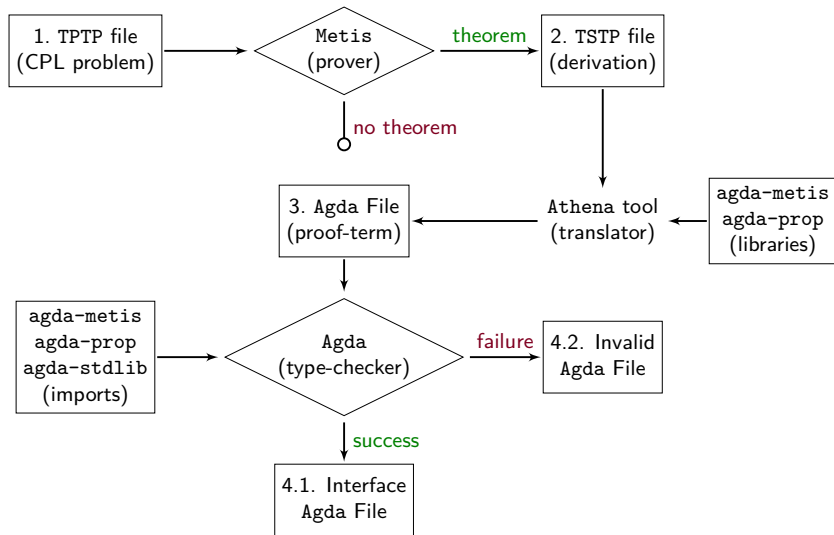
In type theory:

- ▶ Kanso in [5] reconstructs in Agda propositional proofs generated by EProver and Z3
- ▶ Foster and Struth in [2] describe proof-reconstruction in Agda for equational logic of Waldmeister prover
- ▶ Bezem, Hendriks, and Nivelle in [1] transform a proof produced by the first-order prover Bliksem in a Coq proof-term

In classical logic:

- ▶ Paulson and Susanto in [6] introduce SledgeHammer, a tool able to reconstruct proofs of well-known ATPs: EProver, Vampire, among others using SystemOnTPTP server
- ▶ Hurd in [3] integrates the first-order resolution prover Gandalf prover for HOL proof-assistant
- ▶ Kaliszyk and Urban in [4] reconstruct proofs of different ATPs for HOL Light

Proof Reconstruction: Overview



Metis Theorem Prover

Metis is an automatic theorem prover for first-order logic with equality.

- ▶ Open source implemented
- ▶ Reads problems in TPTP format
- ▶ Outputs *detailed* proofs in TSTP format
- ▶ For the propositional logic, Metis has only three inference rules:

$$\frac{}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n} \text{ axiom } \varphi_1, \dots, \varphi_n$$

$$\frac{}{\Gamma \vdash \varphi \vee \neg \varphi} \text{ assume } \varphi$$

$$\frac{\Gamma \vdash \varphi_1 \vee \dots \vee l \vee \dots \vee \varphi_n \quad \Gamma \vdash \psi_1 \vee \dots \vee \neg l \vee \dots \vee \psi_m}{\Gamma \vdash \varphi_1 \vee \dots \vee \varphi_n \vee \psi_1 \vee \dots \vee \psi_m} \text{ resolve } l$$

Inference Rules of Metis

TSTP derivations by Metis exhibit the following inferences:

Metis rule	Purpose
strip	Strip a goal into subgoals
conjunct	Takes a formula from a conjunction
resolve	A general form of the resolution theorem
canonicalize	Normalization of the formula
clausify	Performs clausification
simplify	Simplify definitions and theorems

Metis Algorithm

The following algorithm is no official, but it aims to explain the basics of the Metis reasoning, and how it generates the proofs.

Algorithm 1 Metis refutation strategy

procedure METIS

input: the goal and a set of *premises* a_i

output: maybe a derivation when $\{a_i\} \vdash \text{goal}$, otherwise nothing.

Strip the goal into a list of *subgoals*.

for each subgoal s_i **do**

Try to find by a refutation for $\neg s_i$:

Apply clausification for the negated subgoal $\neg s_i$

if a premise a_j is relevant **then**

Apply clausification to a_j

end if

Application of Metis rules to get \perp using $\neg s_i$ and a_j

if \perp can be derived **then**

Keep the refutation and continue with the others subgoals

else

Exit. It's not a theorem.

...

Proof Reconstruction

Stripping a Goal

Splitting a Conjunct

Resolution

Canonicalize

Clausification

Simplification

Formalization Challenges

- ▶ Terminating of functions to reconstruct inference rules
- ▶ Intuitionistic logic implementation

Complete Example

The problem¹:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

In TPTP syntax:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).
```

Its TSTP solution using Metis:

```
fof(a1, axiom, (p => q) ^ (q => p)).  
fof(goal, conjecture, (p v q) => (p ^ q)).  
fof(s1, (p v q) => p, inf(strip, goal)).  
fof(s2, ((p v q) ^ p) => q, inf(strip, goal)).  
...
```

¹Problem No. 13 in Disjunction Section in [7]

```

fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).
fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).
fof(n03, p ∨ q, inf(conjunct, n02)).
fof(n04, ¬ p, inf(conjunct, n02)).
fof(n05, q, inf(simplify, [n03, n04])).
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).
cnf(r01, q, inf(canonicalize, n05)).
cnf(r02, p, inf(resolve, q, [r01, r00])).
cnf(r03, ¬ p, inf(canonicalize, n04)).
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify, [n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

TSTP Refutation of Subgoal No. 1

```
fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).  
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).  
fof(n00, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).  
fof(n01, ¬ q ∨ p, inf(conjunct, n00)).  
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).  
fof(n03, p ∨ q, inf(conjunct, n02)).  
fof(n04, ¬ p, inf(conjunct, n02)).  
fof(n05, q, inf(simplify, [n03, n04])).  
cnf(r00, ¬ q ∨ p, inf(canonicalize, n01)).  
cnf(r01, q, inf(canonicalize, n05)).  
cnf(r02, p, inf(resolve, q, [r01, r00])).  
cnf(r03, ¬ p, inf(canonicalize, n04)).  
cnf(r04, ⊥, inf(resolve, p, [r02, r03])).
```

Tree for the Subgoal No. 1: $(p \vee q) \Rightarrow p$

fof(a₁, axiom, (p \Rightarrow q) \wedge (q \Rightarrow p)).

...

fof(n00, (\neg p \vee q) \wedge (\neg q \vee p), inf(canonicalize, a₁)).

fof(n01, \neg q \vee p, inf(conjunct, n00)).

...

$$\begin{array}{c} \frac{}{\Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p)} \text{axiom } a_1 \\ \frac{}{\neg s_1 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p)} \text{weaken} \\ \frac{}{\neg s_1 \vdash (\neg p \vee q) \wedge (\neg q \vee p)} \text{canonicalize} \\ \frac{}{\neg s_1 \vdash \neg q \vee p} \text{conjunct} \end{array} \quad (\mathcal{D}_1)$$

```

...
fof(s1, (p ∨ q) ⇒ p, inf(strip, goal)).
fof(neg1, ¬ ((p ∨ q) ⇒ p), inf(negate, s1)).
...
fof(n02, ¬ p ∧ (p ∨ q), inf(canonicalize, neg1)).
fof(n03, p ∨ q, inf(conjunct, n02)).
fof(n04, ¬ p, inf(conjunct, n02)).
...

```

$$(\mathcal{D}_2) \quad \frac{\frac{\frac{}{\neg s_1 \vdash \neg s_1} \text{assume}}{\neg s_1 \vdash \neg p \wedge (p \vee q)} \text{canonicalize}}{\neg s_1 \vdash p \vee q} \text{conjunct}$$

$$(\mathcal{D}_3) \quad \frac{\frac{\frac{\frac{}{\neg s_1 \vdash \neg s_1} \text{assume } \neg s_1}}{\neg s_1 \vdash \neg p \wedge (p \vee q)} \text{canonicalize}}{\neg s_1 \vdash \neg p} \text{conjunct}$$

$$(\mathcal{D}_4) \quad \frac{\frac{\mathcal{D}_2}{\neg s_1 \vdash p \vee q} \quad \frac{\mathcal{D}_3}{\neg s_1 \vdash \neg p}}{\neg s_1 \vdash q} \text{ simplify}$$

$$(\mathcal{R}_1) \quad \frac{\frac{\frac{\mathcal{D}_1}{\neg s_1 \vdash \neg q \vee p} \quad \frac{\mathcal{D}_4}{\neg s_1 \vdash q}}{\neg s_1 \vdash p} \text{ resolve } q \quad \frac{\mathcal{D}_3}{\neg s_1 \vdash \neg p}}{\neg s_1 \vdash \perp} \text{ resolve } p$$

$$\frac{\neg s_1 \vdash \perp}{\Gamma \vdash s_1} \text{ RAA}$$

Tree for the Subgoal No. 2: $((p \vee q) \wedge p) \Rightarrow q$

```

fof(s2, ((p ∨ q) ∧ p) ⇒ q, inf(strip, goal)).
fof(neg2, ¬ (((p ∨ q) ∧ p) ⇒ q), inf(negate, s2)).
fof(n10, ¬ q ∧ p ∧ (p ∨ q), inf(canonicalize, neg2)).
fof(n11, (¬ p ∨ q) ∧ (¬ q ∨ p), inf(canonicalize, a1)).
fof(n12, ¬ p ∨ q, inf(conjunct, n11)).
fof(n13, ⊥, inf(simplify, [n10, n12])).
cnf(r10, ⊥, inf(canonicalize, n13)).

```

$$\begin{array}{c}
 \text{axiom } a_1 \\
 \hline
 \Gamma \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{weaken} \\
 \hline
 \neg s_2 \vdash (p \Rightarrow q) \wedge (q \Rightarrow p) \\
 \hline
 \text{canonicalize} \\
 \hline
 \neg s_2 \vdash (\neg p \vee q) \wedge (\neg q \vee p) \\
 \hline
 \text{conjunct} \\
 \hline
 \neg s_2 \vdash \neg p \vee q \\
 \hline
 \text{simplify} \\
 \hline
 \neg s_2 \vdash \perp \\
 \hline
 \text{RAA} \\
 \hline
 \Gamma \vdash s_2
 \end{array}
 \quad
 \begin{array}{c}
 \text{assume } (\neg s_2) \\
 \hline
 \Gamma, \neg s_2 \vdash \neg s_2 \\
 \hline
 \text{canonicalize} \\
 \hline
 \neg s_2 \vdash \neg q \wedge p \wedge (p \vee q)
 \end{array}$$

(\mathcal{R}_2)

Summarizing the Example

The problem was:

$$(p \Rightarrow q) \wedge (q \Rightarrow p) \vdash (p \vee q) \Rightarrow (p \wedge q)$$

Its TSTP solution using Metis was:

```
fof(a1, axiom, (p  $\Rightarrow$  q)  $\wedge$  (q  $\Rightarrow$  p)).  
fof(goal, conjecture, (p  $\vee$  q)  $\Rightarrow$  (p  $\wedge$  q)).  
fof(s1, (p  $\vee$  q)  $\Rightarrow$  p, inf(strip, goal)).  
fof(s2, ((p  $\vee$  q)  $\wedge$  p)  $\Rightarrow$  q, inf(strip, goal)).  
...
```

The proof is:

$$\frac{\frac{\Gamma \vdash (s_1 \wedge s_2) \Rightarrow \text{goal}}{\Gamma \vdash \text{goal}} \text{strip} \quad \frac{\frac{\frac{\mathcal{R}_1}{\Gamma \vdash s_1} \quad \frac{\mathcal{R}_2}{\Gamma \vdash s_2}}{\Gamma \vdash s_1 \wedge s_2} \wedge\text{-intro}}{\Gamma \vdash \text{goal}} \Rightarrow\text{-elim}$$

Results

Academic results: paper (work in progress)

Software related results:

- ▶ Athena²: a translator tool for Metis proofs to Agda in Haskell
- ▶ Agda libraries:
 - ▶ Agda-Metis³: Metis prover reasoning for propositional logic
 - ▶ Agda-Prop⁴: intuitionistic propositional logic with PEM
- ▶ Bugs found in Metis: see issues No. 2, No. 4, and commit 8a3f11e in Metis official repository⁵

In parallel, we develop:

- ▶ Online-ATPs⁶: a client for the TPTP world in Haskell This tool allowed us to use Metis without installing it
- ▶ Prop-Pack⁷: Compendium of TPTP problems in classical propositional logic used to test Athena

²<https://github.com/jonaprieto/athena>.

³<https://github.com/jonaprieto/agda-metis>.

⁴<https://github.com/jonaprieto/agda-prop>.

⁵<https://github.com/gilith/metis>.

⁶<https://github.com/jonaprieto/online-atps>.

⁷<https://github.com/jonaprieto/prop-pack>.

Further research directions include, but are not limited to:

- ▶ improve the performance of the `canonicalize` rule
- ▶ extend the proof-reconstruction presented in this paper to
 - ▶ support the proposition logic with equality of `Metis`
 - ▶ support other ATPs for propositional logic like `EProver` or `Z3`.
See Kanso's Ph.D. thesis [5]
 - ▶ support `Metis` first-order proofs

References I



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-  Lawrence C. Paulson and Kong Woei Susanto. Source-level Proof Reconstruction For Interactive Theorem Proving. In: TPHOLs. Vol. 4732. Springer. 2007, pp. 232–245 (cit. on p. 4).
-  Jonathan Prieto-Cubides. A Collection of Propositional Problems in TPTP Format. June 2017. DOI: 10.5281/ZENODO.817997 (cit. on p. 17).

TPTP Syntax

Thousands of Problems for Theorem Provers

- ▶ Is a language⁸ to encode problems
- ▶ Is the input of the ATPs
- ▶ Annotated formulas with the form

`language(name, role, formula).`

`language` FOF or CNF

`name` to identify the formula within the problem

`role` axiom, definition, hypothesis, conjecture

`formula` formula in TPTP format

⁸<http://www.cs.miami.edu/~tptp/TPTP/SyntaxBNF.html>.

TSTP Syntax

A TSTP derivation⁹

- ▶ Is a **D**irected **A**cyclic **G**raph where
 - `leaf` is a formula from the TPTP input
 - `node` is a formula inferred from parent formula
 - `root` the final derived formula
- ▶ Is a list of annotated formulas with the form

```
language(name, role, formula, source [,useful info]).
```

where `source` typically is an inference record

```
inference(rule, useful info, parents).
```

⁹<http://www.cs.miami.edu/~tptp/TPTP/QuickGuide/Derivations.html>.

TSTP Example

- Proof found by Metis for the problem $p \vdash p$

```
$ metis --show proof problem.tptp
fof(a, axiom, p).
fof(goal, conjecture, p).
fof(subgoal_0, plain, p),
    inference(strip, [], [goal])).
fof(negate_0_0, plain, ~ p,
    inference(negate, [], [subgoal_0])).
fof(normalize_0_0, plain, ~ p,
    inference(canonicalize, [], [negate_0_0])).
fof(normalize_0_1, plain, p,
    inference(canonicalize, [], [a])).
fof(normalize_0_2, plain, $false,
    inference(simplify, [],
        [normalize_0_0, normalize_0_1])).
cnf(refute_0_0, plain, $false,
    inference(canonicalize, [], [normalize_0_2])).
```


DAG Example

By refutation, we proved $p \vdash p$:

