

The informational content of geographical indications

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Abstract

This file contains the Replication Material (RM) associated to the AAWE Working Paper No XXX entitled *The informational content of geographical indications*. Data, code and prediction materials are under the copyleft license GNU GPL V3, which means that license notices must be preserved. Raw data are available from the INRA dataverse server <https://data.inra.fr/geoInd>. Some R functions are reported in the Appendix to preserve the readability of codes in the main text. The most recent version of this document and a Shiny application about vineyards' classification in *Côte d'Or* (Burgundy, France) are available from the shared repository <https://github.com/jsay/geoInd>.

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1 Descriptive Statistics

1.1 Data shaping

The data construction process is presented (in French) in a data paper available at the shared repository <https://github.com/jsay/geoInd/blob/master/DataPaper.pdf>. This data paper also presents in details of the variables used here. The result of these preliminary treatments can be directly downloaded from the INRA dataverse server <https://data.inra.fr/geoInd/GeoRas.Rda>.

The following R code allows to load the data once downloaded and located in the `/Inter/` folder at the root of the working directory of the R session. It loads a `SpatialPolygonDataFrame` object from the `sp` package (cite) that contains the characteristics of the vineyard plots under consideration (session information used for this article is reported at Section XX). It also reshapes some variables of particular interest:

- It reorders the *commune* levels along the North-South gradient
- It standardizes the variable about solar radiation
- It recodes the variable about exposition in 8 quadrants
- It projects the geographical coordinates inside the WGS84 system
- It selects the parcels with GIs and drop omitted values

```
library(sp) ; load("Inter/GeoRas.Rda")
Geo.Ras$LIBCOM <- factor(Geo.Ras$LIBCOM, levels=
  unique(Geo.Ras$LIBCOM[order(Geo.Ras$YCHF, decreasing= T)]))
Geo.Ras$RAYAT <- as.numeric(scale(Geo.Ras$SOLAR))
Geo.Ras$EXPO <- cut(Geo.Ras$ASPECT, breaks= c(-2, 1: 8* 45))
GR84 <- spTransform(Geo.Ras, CRS("+proj=longlat +ellps=WGS84"))
dd <- coordinates(GR84) ; Geo.Ras$X= dd[, 1] ; Geo.Ras$Y= dd[, 2]
dim(Reg.Ras <- subset(Geo.Ras, !is.na(AOC1b) & !is.na(DEM) & !is.na(DESCR)
  & !is.na(RUD) & !is.na(AOC36lab) & !is.na(REGION)))
```

[1] 59113 72

The resulting object is a `SpatialPolygonDataFrame` that contains 59 113 observations of vineyard plots with 72 variables without omitted values.

1.2 Geology and pedology

Another pre-regression treatment is the transformation of the geological and pedological variables into dummy variables in order to control sub-soil and soil characteristics of vineyards with fixed effects. A too small number of observation within a given fixed effect can be a problem for the precision and convergence of the estimation, hence we choose to include a fixed effects only for geological and pedological polygons with more than 1 000 vineyard plots. The details and robustness of this arbitrary choice are presented in the data paper mentioned above.

```
Reg.Ras$NOTATION <- factor(Reg.Ras$NOTATION)
tmp <- table(Reg.Ras$NOTATION)< 1000
Reg.Ras$GEOL <- factor(
  ifelse(Reg.Ras$NOTATION %in% names(tmp[ tmp]), "0AREF",
        as.character(Reg.Ras$NOTATION)))
Reg.Ras$NOUC <- factor(Reg.Ras$NOUC)
tmp <- table(Reg.Ras$NOUC)< 1000
Reg.Ras$PEDO <- factor(
  ifelse(Reg.Ras$NOUC %in% names(tmp[tmp]), "0AREF",
        as.character(Reg.Ras$NOUC)))
apply(Reg.Ras@data[, c("GEOL", "PEDO")], 2, table)
```

\$GEOL

0AREF	C	E	Fu	Fx	Fy	GP	j3	j3a	j3b	j4a
5208	19014	1997	1060	2142	1460	8372	1288	2570	2539	1531
j5a	j5b	j6a	p-IV							
3526	3928	3087	1391							

\$PEDO

0AREF	13	14	26	28	29	30	32	34	35	36
3310	1553	17475	3718	8687	6241	4563	1802	1700	5255	1116
5	69	8								
1051	1484	1158								

The characteristics of sub-soils and soils are modeled with respectively 14 and 13 fixed effects. In each case, the reference modality coded 0AREF is equal to 1 for all vineyards plots inside geological and pedological polygons without sufficient observations. Robustness checks have been made with other threshold values than 1 000 without this arbitrary choice changes the results.

1.3 Crossing GIs dimensions

The data are now ready for the econometric analysis. The GIs on the area of interest contains both an horizontal (*commune*) and a vertical (*rank*) dimension as detailed in the Working Paper. The balance of the 2 distributions can be assessed with the following Figure 3 (p.36) in the Working Paper.

```
library(lattice) ; library(RColorBrewer)
fig.dat <- aggregate(model.matrix(~0+ factor(Reg.Ras$AOC))*
  Reg.Ras$AREA/ 1000, by= list(Reg.Ras$LIBCOM), sum)
names(fig.dat) <- c("LIBCOM", "BGOR", "BOUR", "VILL", "PCRU", "GCRU")
fig.dat$LIBCOM <- factor(fig.dat$LIBCOM, lev= rev(levels(fig.dat$LIBCOM)))
fig.crd <- t(apply(fig.dat[, -1], 1, function(t) cumsum(t)- t/2))
fig.lab <- round(t(apply(fig.dat[, -1], 1, function(t) t/ sum(t)))* 100)
my.pal <- brewer.pal(n= 9, name = "BuPu")[ 2: 8]
barchart(LIBCOM~ BGOR+ BOUR+ VILL+ PCRU+ GCRU, xlim= c(-100, 10200),
  xlab="Vineyards delineated as Geographical Indications (hectare)",
  data= fig.dat, horiz= T, stack= T, col= my.pal, border= "black",
  par.settings= list(superpose.polygon= list(col= my.pal)),
  auto.key= list(space= "top", points= F, rectangles= T, columns= 5,
    text=c("Coteaux b.", "Bourgogne",
      "Village", "Premier cru", "Grand cru"))),
  panel=function(x, y, ...) {
    panel.grid(h= 0, v = -11, col= "grey60")
    panel.barchart(x, y, ...)
    ltext(fig.crd, y, lab= ifelse(fig.lab> 0, fig.lab, ""))})
```

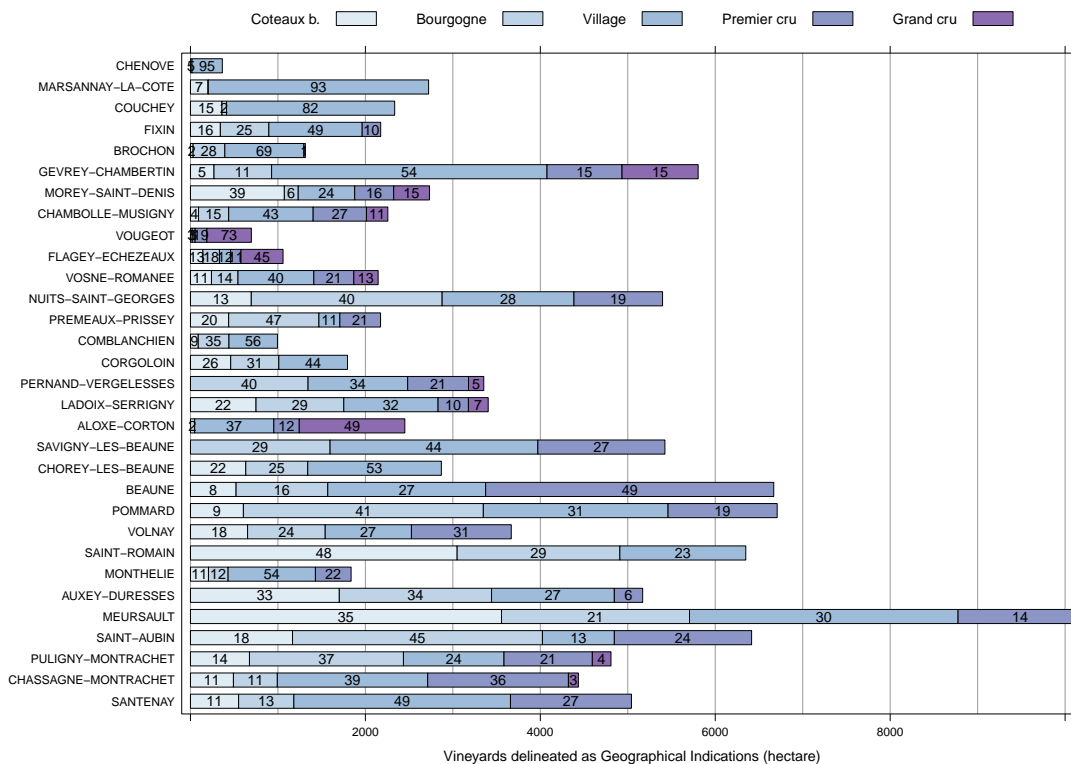


Figure 1: Cross-distribution of GI levels among communes

2 Models of GI designation

2.1 Parametric ordered logit models

We first estimate the benchmark parametric ordered logistic model `polm1` that corresponds to model (0) of Table 1 (p.XX) in the Working Paper. Model `polm1a` is the auxiliary regression without *commune* fixed effects used to test the presence of omitted *terroir* effect as detailed in the Working Paper. Model `polm1b` is also an auxiliary regression without smoothing of spatial coordinates to compute the Fisher statistics associated to these terms in Table 1. We use for this the standard `polr` function from MASS package (cite).

```
library(MASS)
polm1 <- polr(factor(AOC)~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
              + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
              + poly(X, 3)* poly(Y, 3), data= Reg.Ras, Hess= TRUE)
polm1a <- polr(factor(AOC)~ 0+ EXPO+ GEOL+ PEDO
               + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
               + poly(X, 3)* poly(Y, 3), data= Reg.Ras, Hess= TRUE)
polm1b <- polr(factor(AOC)~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
               + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
               , data= Reg.Ras, Hess= TRUE)
```

Warning messages:

```
1: In polr(factor(AOC) ~ 0 + LIBCOM + EXPO + GEOL + PEDO + poly(DEM, :
  une coordonnée à l'origine est nécessaire et assumée
2: In polr(factor(AOC) ~ 0 + LIBCOM + EXPO + GEOL + PEDO + poly(DEM, :
  le plan ne semble pas de rang plein, des coefs seront ignorés
```

The warning messages come from the choice to drop the intercept in order to estimate a coefficient for each *commune* from the variable LIBCOM. This does not have any effect on the other estimated coefficients. This choice is made to compute more easily the ordinal superiority measures from fixed effects.

2.2 Ordered generalized additive models

We estimate the series of ordered generalized additive models (OGAMs) of GIs designations within a loop. Models (I) to (V) reported in Table XX (p.XX) of the Working Paper are only a subset of all models of the `gamod` object that can be downloaded directly from the INRA server, <https://data.inra.fr/geoInd/gamod.Rda>. Models with high complexities for the spatial effects (more than 600 edf) are long to run. They require about 8 hours each, with the full loop requires about 2 days to run with Intel Core i7-7820HQ CPU 2.90 GHz x 8 and 64 Go of RAM.

```
library(mgcv)
listk <- c(50, 100, 200, 300, 400, 500, 600, 700, 800, 900)
gamod <- vector("list", length(listk))
system.time(
  for (i in 1: length(listk)){
    gamod[[ i]] <- gam(AOC~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
                      + s(DEM)+ s(SLOPE)+ s(RAYAT)+ s(X, Y, k= listk[ i])
                      , data= Reg.Ras, family= ocat(R= 5))
  })
names(gamod) <- paste0("gam", listk)
save(gamod, file= "Inter/gamod.Rda")
```

utilisateur	système	écoulé
113038	384	109562

The second loop below produces the `gammod` object that contains the auxiliary regressions to test the omitted *terroir* effects as presented in the Working Paper, Section 3.XX. The reader is not expected to run the loop entirely but pick some value of `k` in `listk` between 0 and 1 000 to estimate each model individually.

```
gammod <- vector("list", length(listk))
system.time(
  for (i in 1: length(listk)){
    gammod[[ i]] <- gam(AOC~ 0+ EXPO+ GEOL+ PEDO
                      + s(DEM)+ s(SLOPE)+ s(RAYAT)+ s(X, Y, k= listk[ i])
                      , data= Reg.Ras, family= ocat(R= 5))
  })
names(gammod) <- paste0("gam", listk)
save(gammod, file= "Inter/gammod.Rda")
```

utilisateur	système	écoulé
103037	262	102775

3 Diagnostics

3.1 Significance

We first reports the Chi-square statistics for the joint significance of the parametric ordered logit model `polm1` that corresponds to model (0) of Table XX in the Working Paper.

```
library(car)
resla <- anova(polm1, polm1b)
(res1 <- Anova(polm1))
```

Le chargement a nécessité le package : carData

Analysis of Deviance Table (Type II tests)

Response: factor(AOC)

	LR	Chisq	Df	Pr(>Chisq)
LIBCOM	9768	31		<2e-16 ***
EXPO	743	7		<2e-16 ***
GEOL	1716	14		<2e-16 ***
PEDO	8811	13		<2e-16 ***
poly(DEM, 2)	4030	2		<2e-16 ***
poly(SLOPE, 2)	532	2		<2e-16 ***
poly(RAYAT, 2)	1885	2		<2e-16 ***
poly(X, 3)	1933	3		<2e-16 ***
poly(Y, 3)	178	3		<2e-16 ***
poly(X, 3):poly(Y, 3)	5257	9		<2e-16 ***

codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

Warning messages:

- 1: glm.fit: fitted probabilities numerically 0 or 1 occurred
- 2: glm.fit: fitted probabilities numerically 0 or 1 occurred
- 3: glm.fit: fitted probabilities numerically 0 or 1 occurred
- 4: glm.fit: fitted probabilities numerically 0 or 1 occurred
- 5: glm.fit: fitted probabilities numerically 0 or 1 occurred

Then, we compute the same Chi-square statistics for all the OGAMs with the function `resume`. They are also reported in Table XX in the Working Paper. Recall that the estimated models can be downloaded from <https://data.inra.fr/geoInd/gamod.Rda>.

```
load("Inter/gamod.Rda")
resume <- function(mod){
  tmp <- anova(mod)
  res <- c(as.vector(rbind(tmp$s.table[, 3], tmp$s.table[, 1])),
          as.vector(rbind(tmp$pTerms.tab[, 2], tmp$pTerms.tab[, 1])))
  names(res) <- c(as.vector(rbind(rownames(tmp$s.table), rep("", 4))),
                 as.vector(rbind(rownames(tmp$pTerms.tab), rep("", 2))))
  round(res, 1)
}
sapply(gamod[ 1: 5* 2], resume)
```

	gam100	gam300	gam500	gam700	gam900
s(DEM)	4123.2	1793.1	1189.9	1014.1	867.0
	8.9	8.9	8.9	8.8	8.8
s(SLOPE)	922.5	343.6	168.5	155.5	190.1
	8.3	8.2	8.3	8.2	7.7
s(RAYAT)	2091.3	981.6	797.7	646.5	531.0
	8.1	8.1	8.3	8.0	7.3
s(X,Y)	32524.2	59293.9	74154.2	78445.3	86597.1
	98.6	295.0	483.2	666.6	841.4
LIBCOM	3007.9	2295.2	2353.7	1721.6	1363.5
	31.0	31.0	31.0	31.0	31.0
EXPO	61.0	81.3	171.5	159.0	130.5
	7.0	7.0	7.0	7.0	7.0
GEOL	977.4	557.4	500.5	406.4	440.9
	14.0	14.0	14.0	14.0	14.0
PEDO	2447.2	713.1	450.4	408.6	387.9
	13.0	13.0	13.0	13.0	13.0

3.2 Goodness of fit

We report below the code used to compute the goodness-of-fit measures for model (0) reported in Table XX: McFadden R^2 , Akaike information criteria (AIC), and percent of good predictions.

```
psR2 <- function(x) 1- (logLik(x)/ logLik(update(x, . ~ + 1)))
round(c(psR2= psR2(polm1), AIC= AIC(polm1)/ 1000,
      Pcgp= sum(diag(table(predict(polm1),
                             Reg.Ras$AOC)))/nrow(Reg.Ras)), 2)
```

psR2	AIC	Pcgp
0.37	104.15	0.64

And the same goodness of fit measures for OGAMs.

```
library(mgcv)
pcgp <- function(x){
  sum(diag(table(cut(x$line, c(-Inf, x$family$getTheta(TRUE), Inf)),
                  x$model[, 1])))/ nrow(x$model)* 100
}
rbind(psR2= sapply(gamod[ 1: 5* 2], psR2),
      AIC= sapply(gamod[ 1: 5* 2], AIC)/ 1000,
      Pcgp= sapply(gamod[ 1: 5* 2], pcgp))
```

Le chargement a nécessité le package : nlme

This is mgcv 1.8-28. For overview type 'help("mgcv-package")'.

	gam100	gam300	gam500	gam700	gam900
psR2	0.5323	0.631	0.684	0.7248	0.7565
AIC	77.2170	61.397	53.088	46.7579	41.9259
Pcgp	74.8600	80.387	84.376	87.2566	89.4778

3.3 Omitted variable bias

As indicated in the Working Paper (Section 3.X), we evaluate the potential omitted *terroir* variables through the joint significance of *commune* fixed effects on the residuals from auxiliary regressions without such fixed effects. Code below allows to compute the bootstrapped Fisher statistics with 100 replications from parametric ordered logistic model. The absence of correlated effects is strongly rejected. Note that we use the *sure* package (cite) to compute the surrogate residuals from this parametric model.

```
library(lmtest) ; library(sandwich) ; library(sure)
wall <- rep(NA, times= nsim <- 100)
for (i in 1: nsim){
  tmp <- surrogate(polmla)- polmla$lp
  wall[ i] <- waldtest(lm(tmp~ Reg.Ras$LIBCOM), .~ 1, vcov= vcovHC)$F[ 2]
}
quantile(wall, c(.05, .5, .95))
```

	5%	50%	95%
	151.3	155.9	160.6

Note that the values obtained are not exactly equal to those reported in the Working Paper because of the bootstrap procedure.

The *sure* package does not allow to compute surrogate residuals for *gam* models from the *mgcv* package. Because this framework is also consistent for OGAMs, we write the function *sureOGAM* presented and tested in Appendix A.3 to adapt the framework. This function is also available in the file of custom function *./myFcts.R* that is sourced in the following code. Hence, we compute the bootstrapped F-statistics for the full set of OGAM belows. The estimation of auxiliary models is presented above, they can be directly downloaded from <https://data.inra.fr/geoInd/gamod.Rda>.

```
load("Inter/gammod.Rda") ; library(ggplot2) ; source("myFcts.R")
omitVar <- function(mod, var, nsim= 100){
  usq <- rep(NA, nsim)
  for(i in 1: nsim) {
    RES <- sureOGAM(mod)
    tmp <- lm(I(RES- mod$linear.pred)~ factor(var))
    usq[ i] <- waldtest(tmp, . ~ 1, vcov= vcovHC)$F[ 2]
  }
  usq
}
wal2 <- sapply(gammod, function(x) omitVar(x, Reg.Ras$LIBCOM, nsim= 100))
apply(wal2[, -1], 2, function(x) quantile(x, c(.05, .5, .95)))
```

	gam100	gam200	gam300	gam400	gam500	gam600	gam700	gam800	gam900
5%	15.22	5.724	4.983	4.033	3.522	2.787	2.032	1.699	1.361
50%	16.86	6.504	5.658	4.690	4.056	3.373	2.439	2.132	1.722
95%	18.35	7.429	6.536	5.487	4.916	4.024	3.195	2.827	2.203

Again, the values are not exactly the same. Note that the critical value at 0.01 % for the F-distribution in this case is 2.3, as can be assessed from `qf(.9999, 31, Inf)`.

The following plot resumes the specification diagnostics and shows the relevance of OGAMs to control for omitted spatial effects. It corresponds to Figure XX in the Working Paper.

```
library(lattice)
pltdat <- stack(data.frame(logit= wall, wal2))
Fstat <- round(qf(.9999, 31, Inf), 2)
bwplot(log(values)~ ind, data= pltdat, type=c("l","g"), horizontal= FALSE,
       xlab= 'Model of GI designation',
       ylab= 'Bootstraped F-statistics (log scale)',
       par.settings = list(box.rectangle=list(col='black'),
                           plot.symbol = list(pch='.', cex = 0.1)),
       scales=list(y= list(at= log((1: 15)^2), lab= (1: 15)^2)),
       panel = function(..., box.ratio) {
         panel.grid(h= 0, v = -11)
         panel.abline(h= log((1: 15)^2), col= "grey80")
         panel.violin(..., col = "lightblue",
                      varwidth = FALSE, box.ratio = box.ratio)
         panel.bwplot(..., col='black',
                      cex=0.8, pch='|', fill='gray', box.ratio = .1)
         panel.abline(h= log(Fstat), col= "red", lty= 2, cex= 1.5)
         panel.text(2, log(Fstat)+ .1,
                   paste0("F= ", Fstat, " : critical value at .01%"))})
```

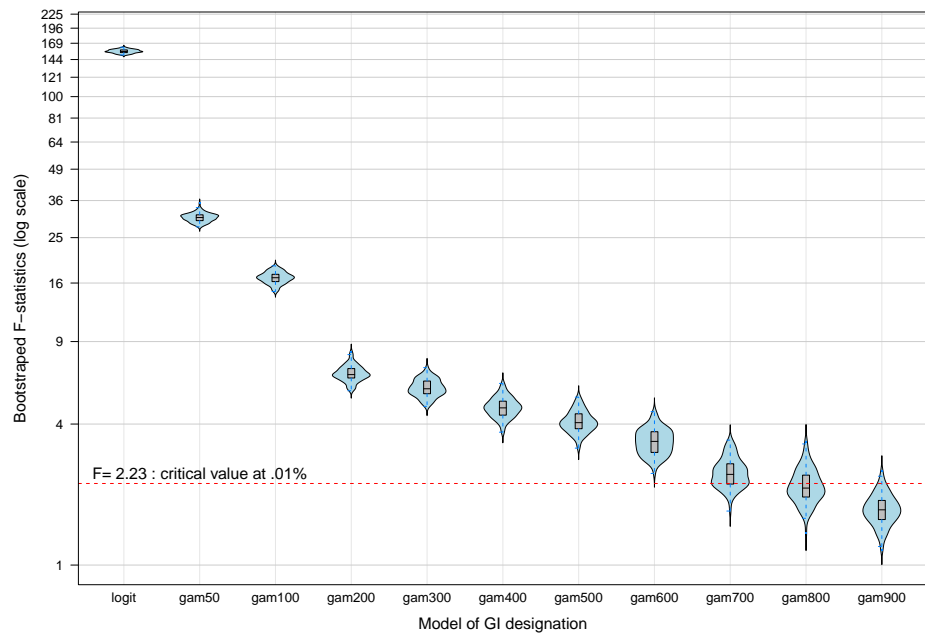


Figure 2: F-statistics for the diagnostic of correlated residual effects

3.4 Specification

The estimation of surrogate residuals from the full models can be used to test the specification of the effects of explanatory variables. The Figures from the code below are not reported in this document as they are too detailed.

```
library(sure) ; library(gridExtra)
var <- c("DEM", "SLOPE", "RAYAT", "EXPO", "LIBCOM", "X", "Y")
plots <- lapply(var, function(.x)
  autoplot(polml, what= "covariate", x= Reg.Ras@data[, .x], xlab= .x))
do.call(grid.arrange, c(list(autoplot(polml, what= "qq")), plots))
restmp <- sureOGAM(gamod$gam900)- gamod$gam900$line
plot(qlogis(1: nrow(Reg.Ras)/ nrow(Reg.Ras), scale= 1), sort(restmp))
abline(0, 1)
pltSURE <- function(resid, xvar, lab){
  plot(xvar, resid, xlab= lab, main= paste("Surrogate Analysis", lab))
  abline(h= 0, col= "red", lty= 3, lwd= 2)
  lines(smooth.spline(resid ~ xvar), lwd= 3, col= "blue")
}
par(mfrow= c(3, 3)) ; for (i in var) pltSURE(restmp, Reg.Ras@data[, i], i)
```

4 Marginal effects

4.1 Parametric ordered logit

The marginal effects from parametric model `polm1` can be directly plotted with the package `effect`. The following plots corresponds to the dotted lines in Figure XX, Appendix XX of the Working Paper.

```
library(effects)
plot(predictorEffects(polm1, ~ DEM+ SLOPE+ RAYAT+ EXPO, latent= TRUE,
  xlevels=list(DEM= 200: 500,
    SLOPE= 0: 400/ 10, RAYAT= -60: 30/ 10)))
```

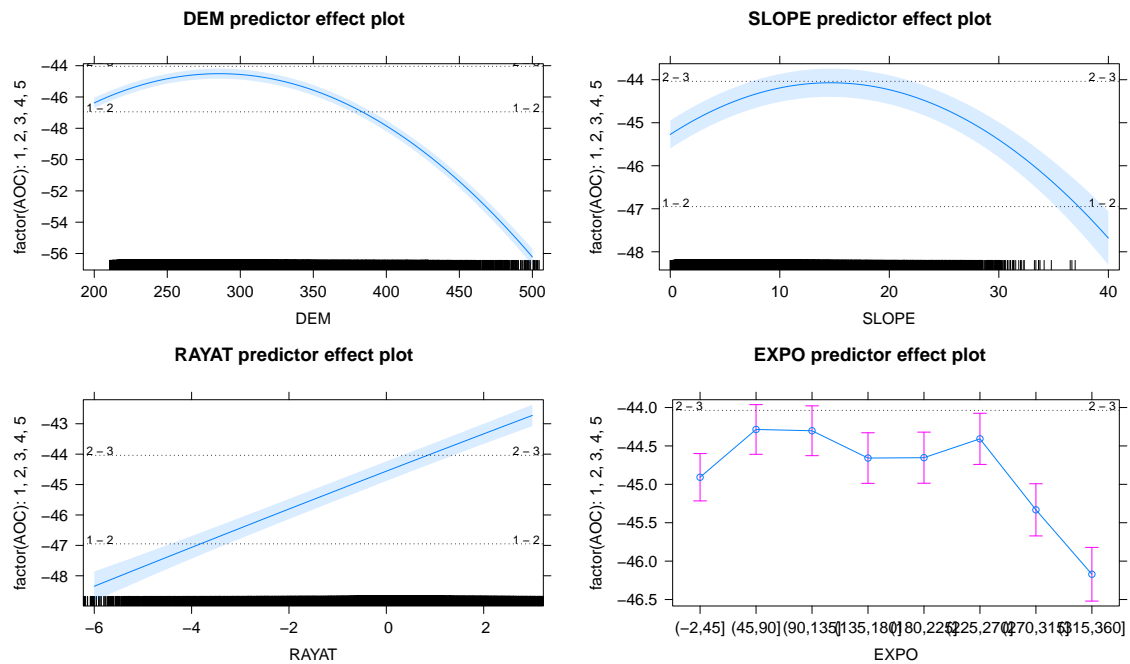


Figure 3: Marginal effects of topographic variables from ordered logit

4.2 Ordered generalized additive

The same effect plots can be drawn for the OGAMs models. We report below the effects from the OGAM `gam900` which corresponds to a maximum effective degrees of freedom of 900. For all models of `gamod`, we obtain the gray curves of Figure XX, Appendix XX of the Working Paper.

```
plot(gamod[[ 10]], pages= 1, scale= 0)
```

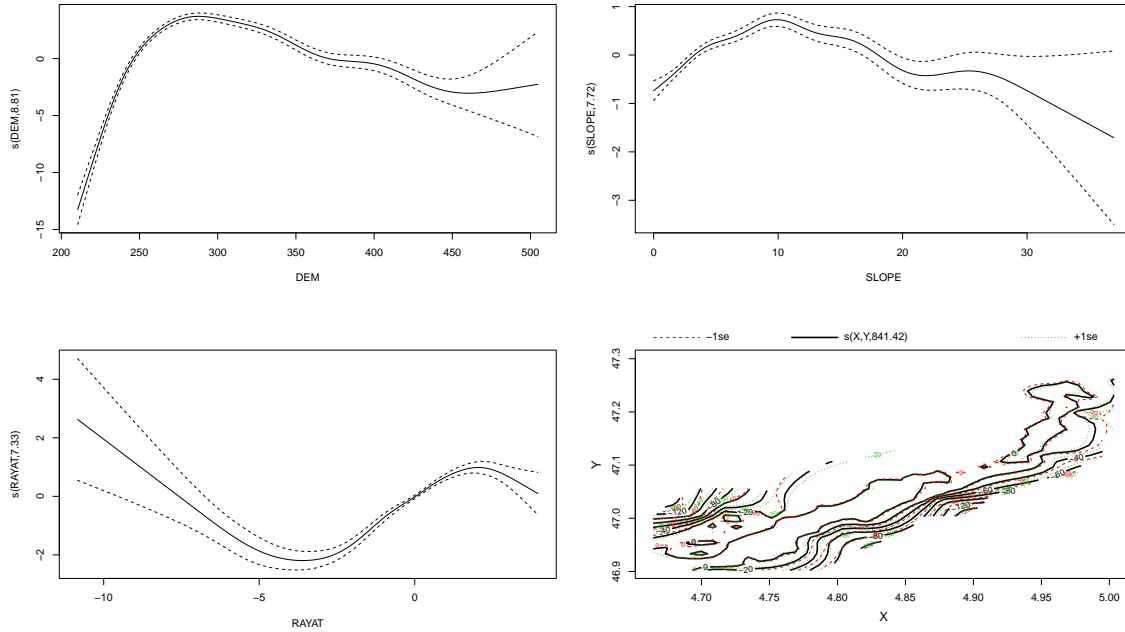


Figure 4: Marginal effects of topographic variables from OGAM with $\text{edf} = 900$

4.3 Ordinal superiority figure

From the equation XX of the Working Paper, we can compute ordinal superiority measures for each *communes* relatively to the average. The code below reproduces the Figure XX (p. XX) of the Working Paper. Note that we drop the isolated Northern *communes* of *Chenôve*, *Marsannay-la-Côte* and *Couchey* which do not have comparable neighbors. The effect of the proximity to Dijon is too high for these *communes* and they present high ordinal superiority measures without high rated vineyards.

```
library(latticeExtra)
plogi <- function(x) exp(x/ sqrt(2))/(1+ exp(x/ sqrt(2)))
xx <- data.frame(sapply(gamod, function(x)
  2* plogi(1(x$coeff[ 4: 31]- mean(x$coeff[ 4: 31]))- 1))
ww <- data.frame(xx,
  LIBCOM= substr(names(gamod[[1]]$coef[ 4: 31]), 7, 30),
  MIN= apply(xx[ 8: 10], 1, min),
  MAX= apply(xx[ 8: 10], 1, max),
  MEAN= apply(xx[ 8: 10], 1, mean))
segplot(reorder(factor(LIBCOM), MEAN)~ MIN+ MAX, length= 5, draw.bands= T,
  data= ww[order(ww$MEAN), ], center= MEAN, type= "o",
  unit = "mm", axis = axis.grid, col.symbol= "black", cex= 1,
  xlab= "Min, Mean and Max of Ordinal Superiority Measures")
```

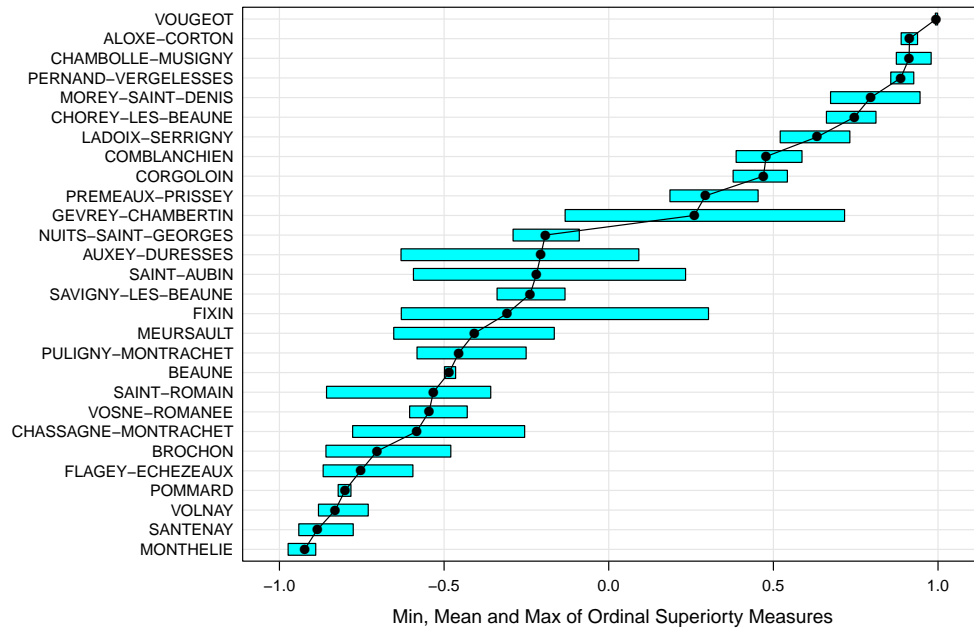


Figure 5: Ordinal superiority measures for the *communes* of the region

4.4 Correlation between *Communes*

Below the code to produce the Figure XX in Appendix XX of the Working Paper. This Figure illustrates the claim that "*commune* with higher GIs do not have necessary a preferential treatment" (p.XX). It shows the correlation between the average vertical GI score and the mean ordinal superiority measures estimated from OGAMs with high effective degrees of freedom.

```
library(plyr) ; library(ggrepel)
yy <- ddply(Reg.Ras@data, .(LIBCOM),
           function(x) weighted.mean(x$AOC, x$AREA))
zz <- merge(ww, yy, by= "LIBCOM")
m <- lm(V1~ MEAN, data= zz)
a <- signif(coef(m)[1], digits = 2)
b <- signif(coef(m)[2], digits = 2)
c <- signif(summary(m)$r.sq, digits = 2)
textlab <- paste("y = ", a, " + ", b, " x ", " ", R2 = " ", c, sep= "")
ggplot(zz, aes(MEAN, V1, label= LIBCOM)) +
  geom_smooth(method= lm, aes(MEAN, V1))+
  geom_text_repel(point.padding = NA) +
  annotate("text", x= -.75, y= 4, label= textlab, size= 4, parse= F)+
  xlab("Ordinal superiority measure") +
  ylab("Average GI grade (between 0 and 5)")
```

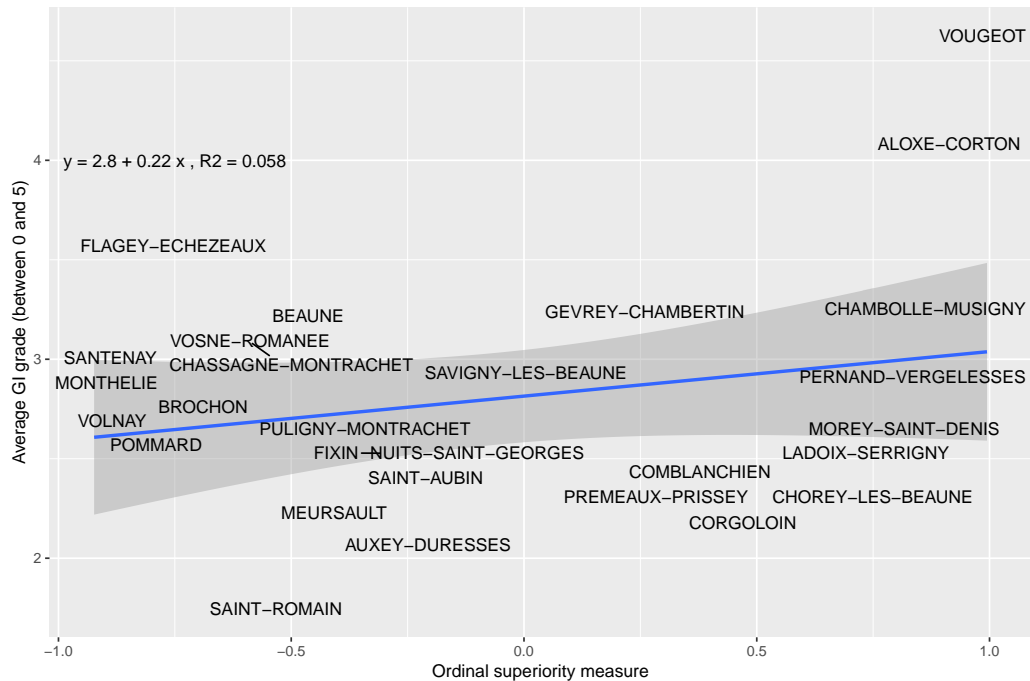


Figure 6: Correlation between ranking and ordinal superiority

5 Informational content

5.1 Decomposition tables

We proceed now to the decomposition of variance of the latent quality index from the GI designations. The mathematical formula and codes used in the decomposition are presented and tested in Appendix A.4. These functions are also available in the file of custom function `./myFcts.R` that can be directly sourced. The following codes perform the decomposition for the subset of models reported in Table XX of the Working Paper. The predictions of the latent quality index in the first rows need some time to run, the decomposition that follow are computed rapidly.

```
ddtt <- data.frame(AOC= Reg.Ras$AOC, LIBCOM= Reg.Ras$LIBCOM,
                  supply(gamod[ 1: 5* 2], function(x)
                    rowSums(predict(x, type= 'terms')[, -1])))
dcmp <- supply(names(ddtt[, 3: 7]), function(x)
  c("Total Signal"= var(ddtt[, x]), "Total Noise"= pi^2/ 3,
    jointSignal(ddtt, x), jointNoise(ddtt, x),
    vertiSignal(ddtt, x), vertiResid(ddtt, x), vertiNoise(ddtt, x),
    horizSignal(ddtt, x), horizResid(ddtt, x), horizNoise(ddtt, x)))
round(t(apply(dcmp, 1, function(x) x/ (pi^2/ 3+ dcmp[1, ])* 100)), 1)
```

	gam100	gam300	gam500	gam700	gam900
Total Signal	85.3	94.5	96.0	97.3	97.5
Total Noise	14.7	5.5	4.0	2.7	2.5
Joint Signal	69.7	70.1	76.7	75.2	78.6
Joint Noise	15.6	24.3	19.3	22.2	18.9
Vertical Signal	54.1	48.8	51.7	56.2	65.2
Vertical Residual	15.7	21.4	25.0	18.9	13.4
Vertical Noise	31.3	45.7	44.4	41.1	32.3
Horizontal Signal	18.3	16.6	25.6	22.6	23.8
Horizontal Residual	51.4	53.6	51.1	52.6	54.8
Horizontal Noise	67.0	77.9	70.5	74.7	73.7

6 Models for GIs of 1936

6.1 Descriptive statistics

We turn now to the detail of the analysis with past 1936 GIs. We make the same analysis than for actual GIs, first with some descriptive statistics.

```
Reg.Old <- subset(Reg.Ras, !Reg.Ras$LIBCOM %in%  
  c("CHENOVE", "MARSANNAY-LA-COTE", "COUCHEY",  
    "COMBLANCHIEN", "CORGOLOIN", "SAINT-ROMAIN"))  
Reg.Old$LIBCOM <- factor(Reg.Old$LIBCOM)  
Reg.Old$AOC <- as.numeric(ifelse(Reg.Old$AOC36lvl== "0", 1,  
  ifelse(Reg.Old$AOC36lvl== "3", 2, 3)))  
#table(Reg.Old$AOC, Reg.Old$AOC)  
table(Reg.Old$LIBCOM, Reg.Old$AOC)
```

	1	2	3
FIXIN	1152	174	0
BROCHON	638	375	0
GEVREY-CHAMBERTIN	658	2837	381
MOREY-SAINT-DENIS	1340	605	37
CHAMBOLLE-MUSIGNY	654	1125	34
VOUGEOT	29	37	145
FLAGEY-ECHEZEAUX	253	252	273
VOSNE-ROMANEE	394	904	60
NUITS-SAINT-GEORGES	873	1409	0
PREMEAUX-PRISSEY	648	95	0
PERNAND-VERGELESSES	1147	616	113
LADOIX-SERRIGNY	1039	718	39
ALOE-CORTON	248	333	377
SAVIGNY-LES-BEAUNE	323	1097	0
CHOREY-LES-BEAUNE	653	576	0
BEAUNE	910	1308	0
POMMARD	2023	1556	0
VOLNAY	786	1152	0
MONTHELIE	658	585	0
AUXEY-DURESSES	1813	747	0
MEURSAULT	2402	1624	0
SAINT-AUBIN	3150	1498	0
PULIGNY-MONTRACHET	1333	1034	89
CHASSAGNE-MONTRACHET	583	1986	73
SANTENAY	594	1435	0

6.2 Estimation

We estimate both the parametric and generalized additive models we the following codes. Because of the long computation times, the reader would prefer to fit the models individually.

```
library(MASS)
polm2 <- polr(factor(AOCo)~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
              + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
              + poly(X, 3)* poly(Y, 3), data= Reg.Old, Hess= T)
polm2a <- polr(factor(AOCo)~ 0+ EXPO+ GEOL+ PEDO
               + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
               + poly(X, 3)* poly(Y, 3), data= Reg.Old, Hess= T)
polm2b <- polr(factor(AOCo)~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
               + poly(DEM, 2)+ poly(SLOPE, 2)+ poly(RAYAT, 2)
               , data= Reg.Old, Hess= T)

library(mgcv)
listk <- c(25, 50, 75, 100, 125, 150, 200, 250)
gamold <- vector("list", length(listk))
system.time(
  for (i in 1: length(listk)){
    gamold[[ i]] <- gam(AOCo~ 0+ LIBCOM+ EXPO+ GEOL+ PEDO
                      + s(DEM)+ s(SLOPE)+ s(RAYAT)
                      + s(X, Y, k= listk[ i])
                      , data= Reg.Old, family= ocat(R= 3))
  }
)
names(gamold) <- paste0("gam", listk)
save(gamold, file= "Inter/gamold.Rda")

gammold <- vector("list", length(listk))
system.time(
  for (i in 1: length(listk)){
    gammold[[ i]] <- gam(AOCo~ 0+ EXPO+ GEOL+ PEDO
                      + s(DEM)+ s(SLOPE)+ s(RAYAT)
                      + s(X, Y, k= listk[ i])
                      , data= Reg.Old, family= ocat(R= 3))
  }
)
names(gammold) <- paste0("gam", listk)
save(gammold, file= "Inter/gammold.Rda")
```

utilisateur	système	écoulé
20454.2	309.5	20766.0
utilisateur	système	écoulé
28307.5	462.8	28772.0

6.3 Significance

We first assess the joint significance of variables in all OGAMs of GIs designation. The following results are reported in Table XX in Appendix XX of the Working Paper (p.XX).

```
load("Inter/gamold.Rda")
res2a <- anova(polm2, polm2b)
res2 <- Anova(polm2)
sapply(gamold[ 1: 7], resume)
```

	gam25	gam50	gam100	gam125	gam150	gam200	gam250
s(DEM)	1503.8	1196.2	197.7	219.6	144.8	265.0	253.0
	8.6	8.8	7.6	8.4	8.2	8.7	7.4
s(SLOPE)	534.2	478.1	466.5	332.8	297.1	190.4	169.1
	8.7	8.8	8.7	8.8	8.7	8.8	7.5
s(RAYAT)	339.4	208.8	139.4	150.2	99.2	87.7	142.8
	8.3	8.0	1.1	8.0	8.1	7.4	7.4
s(X,Y)	4789.1	6760.0	14558.9	15981.2	17285.3	18979.3	20905.7
	23.9	48.7	98.0	122.4	147.1	194.3	235.3
LIBCOM	5828.9	3720.9	2639.2	2378.3	2177.2	1831.7	1264.7
	25.0	25.0	25.0	25.0	25.0	25.0	25.0
EXPO	258.0	177.5	131.9	101.2	58.5	43.0	64.0
	7.0	7.0	7.0	7.0	7.0	7.0	7.0
GEOL	1018.5	1047.0	692.1	772.8	710.2	585.8	509.3
	14.0	14.0	14.0	14.0	14.0	14.0	14.0
PEDO	3335.3	2820.6	898.8	660.3	599.4	537.0	539.3
	12.0	12.0	12.0	12.0	12.0	12.0	12.0

6.4 Goodness of fit

Goodness of fit measures from the same Table XX in Appendix XX of the Working Paper.

```
round(c(McFaddenR2= psR2(polm2), AIC= AIC(polm2)/ 1000,
       Pcgp= sum(diag(table(predict(polm2), Reg.Old$AOCo)))/ nrow(Reg.Old)), 2)
rbind(Pcgp= sapply(gamold[1: 7 ], pcgp),
      AIC= sapply(gamold[1: 7 ], AIC)/ 1000,
      psR2= sapply(gamold[1: 7 ], psR2))
```

McFaddenR2	AIC	Pcgp					
0.45	45.22	0.82					
	gam25	gam50	gam100	gam125	gam150	gam200	gam250
Pcgp	82.8820	83.7580	87.8840	88.606	89.8400	91.3480	92.2060
AIC	43.9251	41.2140	31.8196	30.039	28.0878	25.1203	23.1212
psR2	0.4629	0.4968	0.6132	0.636	0.6606	0.6982	0.7236

6.5 Omitted variable

Bootstrapped statistics for omitted variables, not reported in the working paper, mentioned at p.XX.

```
library(lmtest) ; library(sandwich) ; library(sure) ; library(ggplot2)
wal3 <- rep(NA, nsim <- 100)
for (i in 1: nsim){
  tmp <- surrogate(polm2a)- polm2a$lp
  wal3[ i] <- waldtest(lm(tmp~ Reg.Old$LIBCOM), . ~ 1, vcov= vcovHC)$F[ 2]
}
load("Inter/gammold.Rda") ; library(ggplot2) ; source("myFcts.R")
wal4 <- sapply(gammold, function(x) omitVar(x, Reg.Old$LIBCOM, nsim))
wold <- data.frame(logit= wal3, wal4)
apply(wold, 2, function(x) quantile(x, c(.05, .5, .95)))
```

	logit	gam25	gam50	gam100	gam125	gam150	gam200	gam250
5%	88.18	24.15	8.776	3.903	3.577	2.025	1.700	1.207
50%	92.78	26.62	10.171	4.802	4.372	2.740	2.308	1.748
95%	97.08	28.57	11.472	5.594	5.647	3.638	3.452	2.583

Now the same violon plot as for current GIs, not reported the Working Paper but mentioned at p.XX.

```
library(lattice)
poldat <- stack(wold)
Fstat <- round(qf(.9999, 31, Inf), 2)
bwplot(log(values)~ ind, data= poldat, type=c("l","g"), horizontal= FALSE,
       xlab= 'Model of GI designation',
       ylab= 'Bootstraped F-statistics (log scale)',
       par.settings = list(box.rectangle=list(col='black'),
                           plot.symbol = list(pch='.', cex = 0.1)),
       scales=list(y= list(at= log((1: 15)^2), lab= (1: 15)^2)),
       panel = function(..., box.ratio) {
         panel.grid(h= 0, v = -11)
         panel.abline(h= log((1: 15)^2), col= "grey80")
         panel.violin(..., col = "lightblue",
                      varwidth = FALSE, box.ratio = box.ratio)
         panel.bwplot(..., col='black',
                      cex=0.8, pch='|', fill='gray', box.ratio = .1)
         panel.abline(h= log(Fstat), col= "red", lty= 2, cex= 1.5)
         panel.text(2, log(Fstat)+ .1,
                   paste0("F= ", Fstat, " : critical value at .01%"))})
```

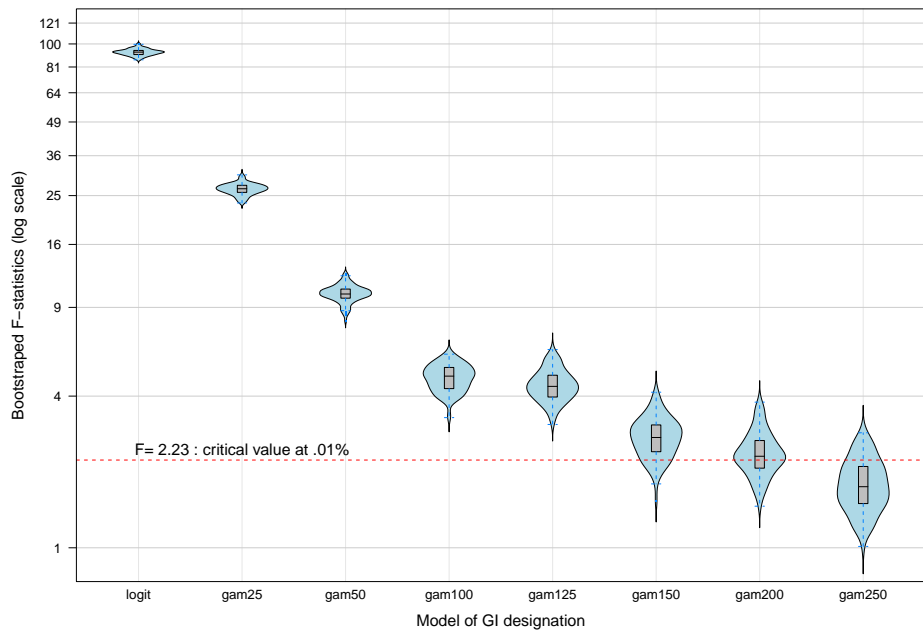


Figure 7: F-statistics for the omitted *terroir* effects in 1936 GIs

6.6 Specification

the use of surrogate residuals to test the specification process for models of 1936 GI designations. As before, the results are not reported because the resulting file is too big.

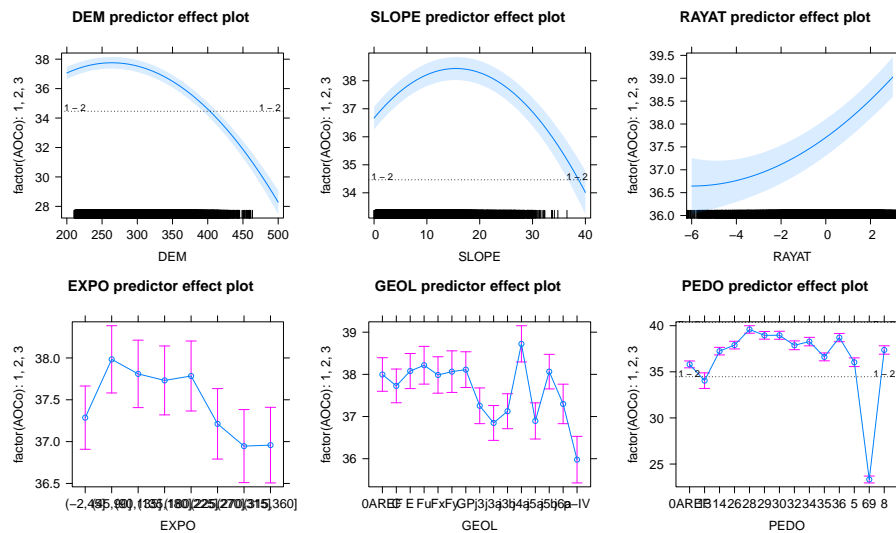
```
library(sure) ; library(ggplot2) ; library(gridExtra)
var <- c("DEM", "SLOPE", "RAYAT", "EXPO", "LIBCOM", "X", "Y")
plots <- lapply(var, function(.x)
  autoplot(polm2, what= "covariate", x= Reg.Old@data[, .x], xlab= .x))
do.call(grid.arrange, c(list(autoplot(polm2, what= "qq")), plots))

restmp <- sureOGAM(gamold$gam150)- gamold$gam150$line
plot(qlogis(1: nrow(Reg.Old)/ nrow(Reg.Old), scale= 1), sort(restmp))
abline(0, 1)
var <- c("DEM", "SLOPE", "RAYAT", "EXPO", "LIBCOM", "X", "Y")
par(mfrow= c(3, 3)) ; for (i in var) pltSURE(restmp, Reg.Old@data[, i], i)
```

6.7 Marginal effects

Marginal effect can be assessed as for current GIs, the code belows can be used on the models from the gamold object to produce Figure XX in the Appendix XX in the Working Paper.

```
library(effects)
plot(predictorEffects(polm2, ~ DEM+ SLOPE+ RAYAT+ EXPO+ GEOL+ PEDO,
  latent= TRUE,
  xlevels=list(DEM= 200: 500,
    SLOPE= 0: 400/ 10, RAYAT= -60: 30/ 10)))
# plot(gamold$gam125, pages= 1, scale= 0)
```



6.8 Ordinal superiority

Ordinal superiority for the GIs of 1936, that corresponds to Figure XX in the Appendix of the Working Paper.

```
plogi <- function(x) exp(x/ sqrt(2))/ (1+ exp(x/ sqrt(2)))
xxx <- data.frame(sapply(gamold, function(x)
  2* plogi(I(x$coeff[ 1: 25]- mean(x$coeff[ 1: 25]))- 1))
www <- data.frame(xxx,
  LIBCOM= substr(names(gamold[[ 1]]$coef[ 1: 25]), 7, 30),
  MIN= apply(xxx[ 11: 13], 1, min),
  MAX= apply(xxx[ 11: 13], 1, max),
  MEAN= apply(xxx[ 11: 13], 1, mean))
segplot(reorder(factor(LIBCOM), MEAN)~ MIN+ MAX, length= 5, draw.bands= T,
  data= www[order(www$MEAN), ], center= MEAN, type= "o",
  unit = "mm", axis = axis.grid, col.symbol= "black", cex= 1,
  xlab= "Min, Mean and Max of Ordinal Superiority Measures")
```

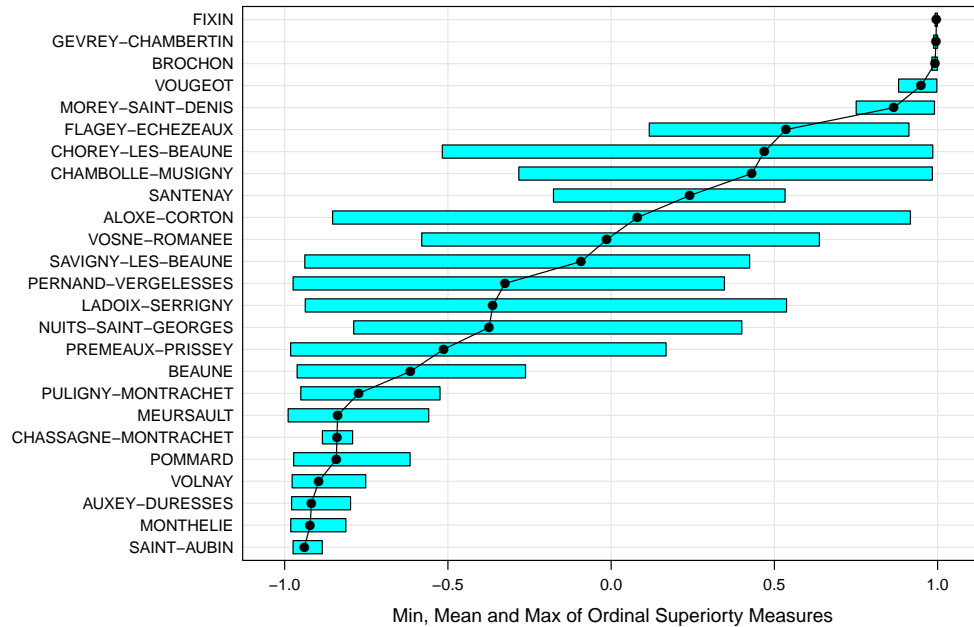


Figure 8: Ordinal superiority measures for the 1936 GIs

6.9 Correlation between models

An additional unreported Figure to show the claim that "the importance of *communes* has decreased since the 1936 scheme" (p.XX)

```
zzz <- merge(ww, www, by= "LIBCOM")
segplot(reorder(factor(LIBCOM), MEAN.x)~ MEAN.y+ MEAN.x, data= zzz,
        segments.fun = panel.arrows, length = 5, unit = "mm",
        draw.bands= F, axis = axis.grid, lwd= 2,
        xlab= "Variation for ordinal superiority between 1936 and now")
```

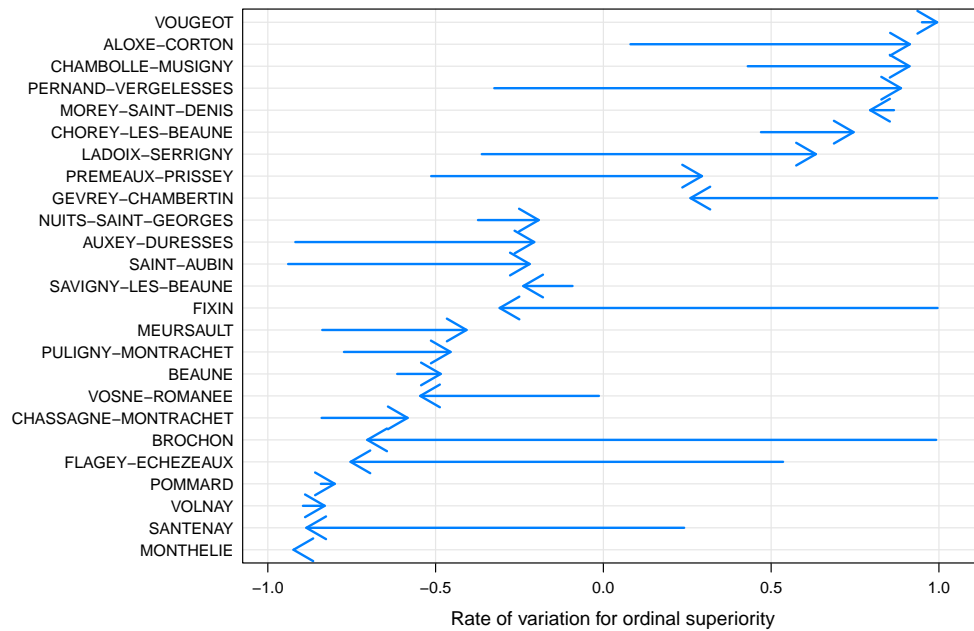


Figure 9: Evolution of superiority measures between 1936 and now

We obtain 11 *communes* for which the measure keeps the same sign and decrease in absolute value, against 6 for which the value keeps the same sign but increase in absolute value. We have 7 *communes* for which the measure changes its sign.

6.10 Decomposition table

The code below compute the decomposition table for GIs of 1936, reported as Table XX in Appendix (p.XX) of the working Paper. Again, the first row are long to run.

```
ddoo <- data.frame(AOCo= Reg.Old$AOCo, LIBCOM= Reg.Old$LIBCOM,
                  sapply(gamold[ 1: 7], function(x)
                        rowSums(predict(x, type= 'terms')[, -1])))
dcop <- sapply(names(ddoo[, 3: 9]), function(x)
  c("Total Signal"= var(ddoo[, x]), "Total Noise"= pi^2/ 3,
    jointSignal(ddoo, x, "AOCo"), jointNoise(ddoo, x, "AOCo"),
    vertiSignal(ddoo, x, "AOCo"), vertiResid(ddoo, x, "AOCo"),
    vertiNoise(ddoo, x, "AOCo"),
    horizSignal(ddoo, x, "AOCo"), horizResid(ddoo, x, "AOCo"),
    horizNoise(ddoo, x, "AOCo")))
round(t(apply(dcop, 1, function(x) x/ (pi^2/ 3+ dcop[1, ]* 100)), 1)
```

	gam25	gam50	gam100	gam125	gam150	gam200	gam250
Total Signal	95.9	98.3	97.2	97.4	100.0	99.1	99.6
Total Noise	4.1	1.7	2.8	2.6	0.0	0.9	0.4
Joint Signal	90.8	95.0	72.2	56.1	98.5	59.2	84.4
Joint Noise	5.1	3.4	25.0	41.4	1.5	39.9	15.2
Vertical Signal	2.4	1.3	19.7	16.8	3.1	20.3	13.0
Vertical Residual	88.4	93.7	52.6	39.2	95.4	38.9	71.4
Vertical Noise	93.5	97.1	77.5	80.6	96.9	78.8	86.6
Horizontal Signal	86.0	92.0	54.5	31.7	97.8	39.7	74.8
Horizontal Residual	4.8	3.0	17.7	24.4	0.7	19.5	9.6
Horizontal Noise	9.9	6.4	42.7	65.8	2.1	59.4	24.8

7 Alternative GI designations

7.1 Change latent vineyard quality

We conclude this work with the simulations of alternative GIs designations schemes. Below are scenarios S0 to S3 where the counterfactual GI designations are computed according to (we note $\hat{q}_i^{gam} = B(X_i)^\top \hat{\beta}^{gam}$):

$$\begin{aligned}
 y_i^{S0} &= \sum_{j=0}^5 j \cdot \mathbb{1}[\hat{\alpha}_{j_i-1} + \hat{\mu}_{c_i} \geq \hat{q}_i^{gam} + \hat{\xi}_i^{sur} \geq \hat{\alpha}_{j_i} + \hat{\mu}_{c_i}] \\
 y_i^{S1} &= \sum_{j=0}^5 j \cdot \mathbb{1}[\hat{\alpha}_{j_i-1} + \hat{\mu}_{c_i} \geq \hat{q}_i^{gam} \geq \hat{\alpha}_{j_i} + \hat{\mu}_{c_i}] \\
 y_i^{S2} &= \sum_{j=0}^5 j \cdot \mathbb{1}[\hat{\alpha}_{j_i-1} \geq \hat{q}_i^{gam} + \hat{\xi}_i^{sur} \geq \hat{\alpha}_{j_i}] \\
 y_i^{S3} &= \sum_{j=0}^5 j \cdot \mathbb{1}[\hat{\alpha}_{j_i-1} \geq \hat{q}_i^{gam} \geq \hat{\alpha}_{j_i}]
 \end{aligned}$$

```

prdd <- predict(gamod$gam900, type= 'terms')
thsld <- c(-Inf, gamod$gam900$family$getTheta(TRUE), Inf)
ltt0 <- rowSums(prdd)- (sureOGAM(gamod$gam900)- gamod$gam900$line)
ltt1 <- rowSums(prdd)
ltt2 <- mean(prdd[, 1])+ rowSums(prdd[, -1])-
      (sureOGAM(gamod$gam900)- gamod$gam900$line)
ltt3 <- mean(prdd[, 1])+ rowSums(prdd[, -1])
Simu <- data.frame(Reg.Ras, ltt= rowSums(prdd[, -1]),
                  OLD= Reg.Ras$AOC36lv1, S0= cut(ltt0, thsld),
                  SI= cut(ltt1, thsld), SII= cut(ltt2, thsld),
                  SIII= cut(ltt3, thsld))
table(Simu$AOC, Simu$S0) ; table(Simu$AOC, Simu$SI)
table(Simu$AOC, Simu$SII) ; table(Simu$AOC, Simu$SIII)

```

	(-Inf, -1]	(-1, 5.34]	(5.34, 14]	(14, 21]	(21, Inf]
1	7847	1510	269	40	9
2	1688	9476	2126	158	98
3	146	2360	20652	2005	146
4	25	117	2160	5956	421
5	0	1	84	455	1364

	(-Inf, -1]	(-1, 5.34]	(5.34, 14]	(14, 21]	(21, Inf]
1	8592	1021	62	0	0
2	562	11787	1147	50	0
3	7	929	23528	834	11
4	0	9	1089	7446	135
5	0	0	1	363	1540

	(-Inf, -1]	(-1, 5.34]	(5.34, 14]	(14, 21]	(21, Inf]
1	7580	1770	280	34	11
2	2150	7655	3482	150	109
3	409	5038	16162	3521	179
4	28	127	2039	5389	1096
5	0	8	185	611	1100

	(-Inf, -1]	(-1, 5.34]	(5.34, 14]	(14, 21]	(21, Inf]
1	8197	1403	73	2	0
2	1624	8961	2875	85	1
3	111	4655	17666	2873	4
4	0	24	1631	6229	795
5	0	0	83	636	1185

7.2 Add a vertical level in GIs

Here we simulate counterfactual GIs designations from scenarios S4, S5, and S6. In each case, we use the GIs from S0 and add a vertical level by computing the mean of the thresholds.

```
thrldBOUR <- mean(ltt0[Reg.Ras$AOC== 2])
thrldVILL <- mean(ltt0[Reg.Ras$AOC== 3])
thrldPCRU <- mean(ltt0[Reg.Ras$AOC== 4])
Simv <- data.frame(Simu,
  SIV= ifelse(Reg.Ras$AOC< 2, Reg.Ras$AOC,
    ifelse(Reg.Ras$AOC== 2 & ltt0< thrldBOUR, 2,
      ifelse(Reg.Ras$AOC== 2 & ltt0>= thrldBOUR, 3, Reg.Ras$AOC+1))),
  SV = ifelse(Reg.Ras$AOC< 3, Reg.Ras$AOC,
    ifelse(Reg.Ras$AOC== 3 & ltt0< thrldVILL, 3,
      ifelse(Reg.Ras$AOC== 3 & ltt0>= thrldVILL, 4, Reg.Ras$AOC+1))),
  SVI= ifelse(Reg.Ras$AOC< 4, Reg.Ras$AOC,
    ifelse(Reg.Ras$AOC== 4 & ltt0< thrldPCRU, 4,
      ifelse(Reg.Ras$AOC== 4 & ltt0>= thrldPCRU, 5, Reg.Ras$AOC+1))))
table(Simv$AOC, Simv$SIV) ; table(Simv$AOC, Simv$SV) ; table(Simv$AOC, Simv$SVI)
```

	1	2	3	4	5	6
1	9675	0	0	0	0	0
2	0	7400	6146	0	0	0
3	0	0	0	25309	0	0
4	0	0	0	0	8679	0
5	0	0	0	0	0	1904

	1	2	3	4	5	6
1	9675	0	0	0	0	0
2	0	13546	0	0	0	0
3	0	0	12792	12517	0	0
4	0	0	0	0	8679	0
5	0	0	0	0	0	1904

	1	2	3	4	5	6
1	9675	0	0	0	0	0
2	0	13546	0	0	0	0
3	0	0	25309	0	0	0
4	0	0	0	4072	4607	0
5	0	0	0	0	0	1904

7.3 Decomposition table

And we conclude with the decomposition that are reported Table XX (p.XX) of the Working Paper.

```
decf <- sapply(names(Simv[, 76: 83]), function(x)
  c("Total Signal"= var(Simv[, "ltt"]), "Total Noise"= pi^2/ 3,
    jointSignal(Simv, "ltt", vt= x), jointNoise(Simv, "ltt", vt= x),
    vertiSignal(Simv, "ltt", vt= x), vertiResid(Simv, "ltt", vt= x),
    vertiNoise(Simv, "ltt", vt= x),
    horizSignal(Simv, "ltt", vt= x), horizResid(Simv, "ltt", vt= x),
    horizNoise(Simv, "ltt", vt= x)))
round(t(apply(decf, 1, function(x) x/ (pi^2/ 3+ decf[1, ])* 100)), 1)
```

	OLD	S0	SI	SII	SIII	SIV	SV	SVI
Total Signal	97.5	97.5	97.5	97.5	97.5	97.5	97.5	97.5
Total Noise	2.5	2.5	2.5	2.5	2.5	2.5	2.5	2.5
Joint Signal	48.0	78.2	81.0	79.5	81.5	79.0	79.5	78.9
Joint Noise	49.5	19.3	16.5	18.1	16.0	18.5	18.0	18.6
Vertical Signal	34.4	64.6	68.2	69.7	72.6	65.6	66.1	65.5
Vertical Residual	13.6	13.6	12.8	9.7	8.9	13.4	13.4	13.4
Vertical Noise	63.1	32.9	29.3	27.8	24.9	31.9	31.4	32.0
Horizontal Signal	23.8	23.8	23.8	23.8	23.8	23.8	23.8	23.8
Horizontal Residual	24.2	54.4	57.2	55.7	57.7	55.2	55.7	55.1
Horizontal Noise	73.7	73.7	73.7	73.7	73.7	73.7	73.7	73.7

8 Session information

```
sessionInfo()
```

```
R version 3.6.0 (2019-04-26)
Platform: x86_64-pc-linux-gnu (64-bit)
Running under: Ubuntu 18.04.2 LTS
Matrix products: default
BLAS:   /usr/lib/x86_64-linux-gnu/blas/libblas.so.3.7.1
LAPACK: /usr/lib/x86_64-linux-gnu/lapack/liblapack.so.3.7.1
locale:
 [1] LC_CTYPE=fr_FR.UTF-8      LC_NUMERIC=C
 [3] LC_TIME=fr_FR.UTF-8      LC_COLLATE=fr_FR.UTF-8
 [5] LC_MONETARY=fr_FR.UTF-8  LC_MESSAGES=fr_FR.UTF-8
 [7] LC_PAPER=fr_FR.UTF-8     LC_NAME=C
 [9] LC_ADDRESS=C             LC_TELEPHONE=C
[11] LC_MEASUREMENT=fr_FR.UTF-8 LC_IDENTIFICATION=C
attached base packages:
[1] stats      graphics  grDevices  utils      datasets  methods
[7] base
other attached packages:
 [1] latticeExtra_0.6-28 effects_4.0-3      gridExtra_2.3
 [4] ggplot2_3.1.0      sure_0.2.0        sandwich_2.5-0
 [7] lmtest_0.9-36      zoo_1.8-4         mgcv_1.8-28
[10] nlme_3.1-140       car_3.0-2         carData_3.0-1
[13] MASS_7.3-51.1      RColorBrewer_1.1-2 lattice_0.20-38
[16] sp_1.3-1
loaded via a namespace (and not attached):
 [1] tidymodels_0.2.5  purrr_0.3.2      splines_3.6.0
 [4] haven_1.1.2       colorspace_1.3-2 survival_2.43-3
 [7] rlang_0.3.4       nloptr_1.0.4     pillar_1.3.0
[10] foreign_0.8-71    glue_1.3.0       withr_2.1.2
[13] readxl_1.1.0      bindrcpp_0.2.2   plyr_1.8.4
[16] bindr_0.1.1       munsell_0.5.0    gtable_0.2.0
[19] cellranger_1.1.0  zip_1.0.0        labeling_0.3
[22] rio_0.5.10        forcats_0.3.0    curl_3.2
[25] Rcpp_1.0.0        scales_1.0.0     abind_1.4-5
[28] lme4_1.1-18-1     hms_0.4.2        openxlsx_4.1.0
[31] dplyr_0.7.8       survey_3.33-2    grid_3.6.0
[34] rgdal_1.3-6       tools_3.6.0      magrittr_1.5
[37] lazyeval_0.2.1    tibble_1.4.2     crayon_1.3.4
[40] pkgconfig_2.0.2   Matrix_1.2-17    data.table_1.11.4
[43] minqa_1.2.4       assertthat_0.2.1 R6_2.4.0
[46] nnet_7.3-12       compiler_3.6.0
```

A Custom R functions

A.1 Translation of geology

```
trans_geol <- data.frame(  
  GEOL= Reg.Ras$GEOL[!duplicated(Reg.Ras$GEOL)],  
  GEOf= c(  
    "Calcaires massifs de \"Comblanchien\" (Bathonien sup.)",  
    "Marnes et calcaires divers (Callovien inférieur)",  
    "Marnes et calcaires argileux (Oxfordien moyen)",  
    "Eboulis ordonnés cryoclastiques et colluvions diverses",  
    "Oolithe ferrugineuse (Oxfordien moyen-sup)",  
    "Calcaires hydrauliques de Molesmes et Noiron (Oxfordien sup.)",  
    "Colluvions diverses",  
    "Dépôts argilo-limoneux, sables et graviers du Villafranchien",  
    "Calcaires de Tonnerre, Oisellemont et calcaires á Astartes",  
    "Eboulis et glissements de terrains",  
    "Calcaires grenus bicolores (Bathonien terminal)",  
    "Terrasse argilo-limoneuse de Saint-Usage",  
    "Formation de Saint-Cosme (marnes fluvio-lacustres varvées)",  
    "Alluvions anciennes indifférenciées, argilo-limoneuses",  
    "Calcaires bioclastiques, graveleux, á oolithes (Bathonien inf.)"  
  ),  
  GEOe= c(  
    "Massive limestones from \"Comblanchien\" (upper Bathonian)",  
    "Various marls and limestones (lower Callovian)",  
    "Marls and argillaceous limestones (middle Oxfordian)",  
    "Ordered cryoclastic scree and various colluviums",  
    "Ferruginous Oolite (middle-upper Oxfordian)",  
    "Hydraulic limestones of Molesmes and Noiron (upper Oxfordian)",  
    "Various colluviums",  
    "Clay-silt deposits, sand and gravel from Villafranchien",  
    "Limestones of Thunder, Oisellemont and limestones in Astartes",  
    "Scree and landslides",  
    "Two-tone gray limestones (terminal Bathonian)",  
    "Clay-silty terrace of Saint-Usage",  
    "Formation of Saint-Cosme (varnished fluvio-lacustrine marls)",  
    "Undifferentiated ancient alluvium, clay-silty",  
    "Bioclastic limestones, gravelly, with oolites (lower Bathonian)"  
  )  
)
```

A.2 Translation of pedology

```
trans_pedo <- data.frame(  
  PEDO= Reg.Ras$PEDO[!duplicated(Reg.Ras$PEDO)],  
  PEDf= c(  
    "Vignoble de la Côte de de Beaune",  
    "Cônes de déjection du pied de Côte",  
    "Côteaux viticoles des Hautes Côtes de Nuits",  
    "Courtes pentes marneuses des plateaux plio-pléistocène",  
    "Piedmont de la côte viticole",  
    "Versants pentus des Hautes Côtes de Beaune",  
    "Sommetts des collines des Hautes Côtes de Beaune",  
    "Alluvions récentes calcaires des vallées (Ouche, Tille, Meuzin)",  
    "Pentes liasiques du Haut-Auxois",  
    "Basses terrasses gravelo-caillouteuses des plaines alluviales",  
    "Basses terrasses argileuses des plaines alluviales",  
    "Terrasse argilo-limoneuse de Saint-Usage",  
    "Vignoble de la Côte de Nuits",  
    "Rebord oriental des plateaux calcaires dominant la Côte viticole"  
  ),  
  PEDe= c(  
    "Vineyard of the Côte de Beaune",  
    "Coot footing cones",  
    "Wine hills of Hautes Côtes de Nuits",  
    "Oxfordian limestone-marly trays of the Hautes Côtes",  
    "Short marly slopes of Plio-Pleistocene plateaus",  
    "Piedmont of the vineyard of the Côte",  
    "Sloping slopes of the Hautes Côtes de Beaune",  
    "Summits of the hills of the Hautes Côtes de Beaune",  
    "Recent alluvial limestone valleys (Ouche, Tille, Meuzin)",  
    "Liastic slopes of Haut-Auxois",  
    "Gravelo-stony low terraces of alluvial plains",  
    "Low clay terraces of alluvial plains",  
    "Vineyard of the Côte de Nuits",  
    "Eastern edge of the limestone plateaus overlooking the Côte"  
  )  
)
```

A.3 Surrogate Residuals

The R package `sure` allows to simulate the surrogate residuals from a large panel of ordered parametric models <https://koalaverse.github.io/sure/index.html>. Actually, it is not possible to compute the residuals for semiparametric ordered generalized additive model fitted with the package `mgcv`. Here, we first define the `truncLogis` function for the simulation of random draws from a truncated logistic distribution with a vector of inputs (locations and thresholds) as the package `truncdist` is only designed for a given value of location and thresholds. Then, we code the function `surePOLR` inspired from the `sure` package which simulate surrogate residuals from `polr` models from the `MASS` package. This will be used to check the validity of used functions.

```
truncLogis <- function(n, spec, a = -Inf, b = Inf, ...) {
  require(truncdist)
  p <- runif(n, min = 0, max = 1)
  G <- get(paste("p", spec, sep = ""), mode = "function")
  Gin <- get(paste("q", spec, sep = ""), mode = "function")
  G.a <- G(a, ...)
  G.b <- G(b, ...)
  pmin(pmax(a, Gin(G(a, ...) + p * (G(b, ...) - G(a, ...)), ...)), b)
}

surePOLR <- function(mod, newd= NULL){
  if (mod$method!= "logistic") stop("Logistic required")
  gg <- as.numeric(mod$zeta)
  if (is.null(newd)){
    g1 <- as.integer(model.response(model.frame(mod)))
    g6 <- mod$lp
  } else {
    g1 <- as.integer(newd[, "AOCC"])
    g6 <- gg[ 1]-qlogis(predict(mod, newdata= newd, type= 'probs')[, 1])
  }
  nn <- length(g1)
  suls <- sapply(g1, switch,
    "1"= c(-Inf , gg[ 1]), "2"= c(gg[ 1], gg[ 2]),
    "3"= c(gg[ 2], gg[ 3]), "4"= c(gg[ 3], gg[ 4]),
    "5"= c(gg[ 4], Inf ))
  sls <- data.frame(unlist(t(suls)))
  truncLogis(nn, spec= "logis", a= sls[, 1], b= sls[, 2],
    location= g6, scale= 1)
}
```

```
sure1 <- surrogate(polml1)+ polml1$zeta[ 1]
sure2 <- resids(polml1)
polr1 <- surePOLR(polml1) ; polr2 <- surePOLR(polml1)- polml1$lp
```

The custom function `surePOLR` allows to compute the same surrogate value and surrogate residuals than the functions `surrogate` and `resids` from the `sure` package.

Now we use the same structure to simulate the surrogate residuals for the OGAM through the function `sureOGAM`. Again, the function is tested for a random OGAM.

```
sureOGAM <- function(mod, newd= NULL){
```

```

if (is.null(newd)){
  g1 <- as.integer(mod$y)
  g6 <- mod$linear.predictors
} else {
  g1 <- as.integer(newd[, names(mod$model[ 1])])
  g6 <- predict(mod, newdata= newd)
}
nn <- length(g1)
gt <- data.frame(rep(NA, nn), rep(NA, nn))
gg <- c(mod$family$getTheta(TRUE), Inf)
kk <- c(- Inf, gg[ 1])
for (j in 2: length(unique(g1))) kk <- rbind(kk, c(gg[ j- 1], gg[ j]))
gt <- data.frame(t(sapply(g1, function(x) kk[x, ])))
truncLogis(nn, spec= "logis", a= gt[, 1], b= gt[, 2], location= g6)
}

```

```

library(mgcv)
fit.ogam <- gam(AOC~ poly(DEM, 2)+ poly(SLOPE, 2)
  + poly(RAYAT, 2)+ poly(ASPECT, 2)+ poly(PERMEA, 2)
  , family= ocat(R= 5), data= Reg.Ras)
ogam1 <- sureOGAM(fit.ogam)
ogam2 <- sureOGAM(fit.ogam)- fit.ogam$linear.pred

par(mfrow= c(3, 2))
plot(sure1, polr1)
abline(h= fit.polr$zeta, v= fit.polr$zeta, lty= 2, col= "blue")
abline(0, 1, col= "orange")
plot(sure2, polr2)
abline(0, 1, col= "orange")

plot(polr1, ogam1- mean(ogam1))
abline(h= fit.ogam$family$getTheta(TRUE)- mean(ogam1),
  v= fit.polr$zeta, lty= 2, col= "blue")
abline(0, 1, col= "orange")
plot(polr2, ogam2)
abline(0, 1, col= "orange")

plot(sure1, ogam1- mean(ogam1))
abline(h= fit.ogam$family$getTheta(TRUE)- mean(ogam1),
  v= fit.polr$zeta, lty= 2, col= "blue")
abline(0, 1, col= "orange")
plot(sure2, ogam2)
abline(0, 1, col= "orange")

```

A.4 Decomposition terms

For each terms of the decomposition presented in the main text, we code a different function as reported below. First note the vector of predicted latent quality index $\hat{q}_i = B(X_i)^\top \hat{\beta}$. With N_y , N_c and $N_{y,c}$ the numbers of vineyard plots respectively in rank y , in *commune* c and both in rank y and *commune* c , we define:

$$\begin{aligned}\bar{q}_{y_i} &= \frac{1}{N_{y_i}} \sum_{\ell=1}^N \mathbb{1}[y_\ell = y_i] \cdot \hat{q}_\ell \\ \bar{q}_{c_i} &= \frac{1}{N_{c_i}} \sum_{\ell=1}^N \mathbb{1}[c_\ell = c_i] \cdot \hat{q}_\ell \\ \bar{q}_{y_i, c_i} &= \frac{1}{N_{y_i, c_i}} \sum_{\ell=1}^N \mathbb{1}[(y_\ell, c_\ell) = (y_i, c_i)] \cdot \hat{q}_\ell\end{aligned}$$

The **joint signal** terms is the variance of the expected quality conditionally on vertical and horizontal dummies:

$$\mathbb{V}\{\mathbb{E}[q(X^*) \mid y, c]\} = \frac{1}{N} \sum_{i=1}^N [\bar{q}_{y_i, c_i} - \bar{q}]^2 \quad (1)$$

```
jointSignal <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  jS <- rep(0, nrow(dat))
  for (i in unique(dat[, vt])){
    for (j in unique(dat[, hz])){
      tmp <- dat[, vt]== i & dat[, hz]== j
      jS[ tmp] <- mean(dat[tmp, lt])
    }
  }
  c("Joint Signal"= var(jS))
}
```

The **joint noise** terms is the expectation of the variance quality conditionally on vertical and horizontal dummies:

$$\mathbb{E}\{\mathbb{V}[q(X^*) \mid y, c]\} = \sum_{y=1}^J \sum_{c=1}^C \left[\frac{N_{y,c}}{N} \sum_{i=1}^N \mathbb{1}[(y_i, c_i) = (y, c)] \cdot (\hat{q}_i - \bar{q}_{y_i, c_i})^2 \right] \quad (2)$$

```
jointNoise <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  jN <- 0
  for (i in unique(dat[, vt])){
    for (j in unique(dat[, hz])){
      tmp <- dat[, vt]== i & dat[, hz]== j
      if (sum(tmp)> 1) jN <- jN+ var(dat[ tmp, lt])* mean(tmp)
    }
  }
  c("Joint Noise"= jN)
}
```

The **vertical signal** terms is the variance of the expectation quality conditionally on vertical GI dummies:

$$\mathbb{V}\{\mathbb{E}[q(X^*) | y]\} = \frac{1}{N} \sum_{i=1}^N [\bar{q}_{y_i} - \bar{q}]^2 \quad (3)$$

```

vertiSignal <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  vS <- rep(0, nrow(dat))
  for (i in unique(dat[, vt])){
    vS[ dat[, vt]== i] <- mean(dat[dat[, vt]== i, lt])
  }
  c("Vertical Signal"= var(vS))
}

```

The **vertical residual** terms is the expectation of the conditional on horizontal variance of the expectation quality conditionally on vertical GI dummies:

$$\mathbb{E}\{\mathbb{V}[\mathbb{E}(q(X^*) | y, c) | c]\} = \sum_{y=1}^J \left[\frac{N_y}{N} \sum_{i=1}^N (\bar{q}_{y_i} - \bar{q})^2 \right] \quad (4)$$

```

vertiResid <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  sig <- rep(0, nrow(dat)) ; vR <- 0
  for (i in unique(dat[, vt])){
    for (j in unique(dat[, hz])){
      tmp <- dat[, vt]== i & dat[, hz]== j
      sig[ tmp] <- mean(dat[ tmp, lt])
    }
  }
  for (i in unique(dat[, vt])){
    vR <- vR+ var(sig[dat[, vt]== i])* mean(dat[, vt]== i)
  }
  c("Vertical Residual"= vR)
}

```

The **vertical Noise** terms is the expectation of the variance of the quality conditionally on vertical GI dummies:

$$\mathbb{E}\{\mathbb{V}[q(X^*) | y]\} = \sum_{y=1}^J \left[\frac{N_y}{N} \sum_{i=1}^N \mathbb{1}[y_i = y] \cdot (\hat{q}_i - \bar{q}_{y_i})^2 \right] \quad (5)$$

```

vertiNoise <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  vN <- 0
  for (i in unique(dat[, vt])){
    vN <- vN+ var(dat[dat[, vt]== i, lt])* mean(dat[, vt]== i)
  }
  c("Vertical Noise"= vN)
}

```

The **horizontal signal** terms is the variance of the expectation quality conditionally on horizontal GI dummies:

$$\mathbb{V}\{\mathbb{E}[q(X^*) | c]\} = \frac{1}{N} \sum_{i=1}^N [\bar{q}_{c_i} - \bar{q}]^2 \quad (6)$$

```

horizSignal <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  hS <- rep(0, nrow(dat))
  for (j in unique(dat[, hz])){
    hS[ dat[, hz]== j] <- mean(dat[dat[, hz]== j, lt])
  }
  c("Horizontal Signal"= var(hS))
}

```

The **horizontal residual** terms is the expectation of the conditional on vertical variance of the expectation quality conditionally on horizontal GI dummies:

$$\mathbb{E}\{\mathbb{V}[\mathbb{E}(q(X^*) | y, c) | y]\} = \sum_{c=1}^C \left[\frac{N_c}{N} \sum_{i=1}^N (\bar{q}_{c_i} - \bar{q})^2 \right] \quad (7)$$

```

horizResid <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  sig <- rep(0, nrow(dat)) ; hR <- 0
  for (i in unique(dat[, vt])){
    for (j in unique(dat[, hz])){
      tmp <- dat[, vt]== i & dat[, hz]== j
      sig[ tmp] <- mean(dat[ tmp, lt])
    }
  }
  for (j in unique(dat[, hz])){
    hR <- hR+ var(sig[dat[, hz]== j])* mean(dat[, hz]== j)
  }
  c("Horizontal Residual"= hR)
}

```

The **horizontal Noise** terms is the expectation of the variance of the quality conditionally on horizontal GI dummies:

$$\mathbb{E}\{\mathbb{V}[q(X^*) | c]\} = \sum_{c=1}^C \left[\frac{N_c}{N} \sum_{i=1}^N \mathbb{1}[c_i = c] \cdot (\hat{q}_i - \bar{q}_{c_i})^2 \right] \quad (8)$$

```

horizNoise <- function(dat, lt, vt= "AOC", hz= "LIBCOM"){
  hN <- 0
  for (j in unique(dat[, hz])){
    hN <- hN+ (var(dat[dat[, hz]== j, lt])* mean(dat[, hz]== j))
  }
  c("Horizontal Noise"= hN)
}

```
