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4 REFLECTION PRINCIPLES AND LARGE
5 CARDINALS

6 Bakalářská práce

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¹⁰ Prohlašuj, že jsem bakalářskou práci vypracoval samostatně a že jsem uvedl
¹¹ všechny použité prameny a literaturu.

¹² V Praze 14. dubna 2015

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Abstract

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Práce zkoumá vztah tzv. principů reflexe a velkých kardinálů. Lévy ukázal, že v ZFC platí tzv. věta o reflexi a dokonce, že věta o reflexi je ekvivalentní schématu nahrazení a axiomu nekonečna nad teorií ZFC bez axiomu nekonečna a schématu nahrazení. Tedy lze na větu o reflexi pohlížet jako na svého druhu axiom nekonečna. Práce zkoumá do jaké míry a jakým způsobem lze větu o reflexi zobecnit a jaký to má vliv na existenci tzv. velkých kardinálů. Práce definuje nedosažitelné, Mahlovy a nepopsatelné kardinály a ukáže, jak je lze zavést pomocí reflexe. Přirozenou limitou kardinálů získaných reflexí jsou kardinály nekonzistentní s L. Práce nabídne intuitivní zdůvodnění, proč tomu tak je.

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Abstract

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Resumé práce v anglickém jazyce.

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1 Introduction

1.1 Motivation and Origin

The Universe of sets cannot be uniquely characterized (i. e. distinguished from all its initial elements) by any internal structural property of the membership relation in it, which is expressible in any logic of finite or transfinite type, including infinitary logics of any cardinal order.

— Kurt Gödel [?]

To understand why we need reflection in the first place, let's think about infinity for a moment. In the intuitive sense, infinity is an upper limit of all numbers. But for centuries, this was merely a philosophical concept, closely bound to religious and metaphysical way of thinking, considered separate from numbers used for calculations or geometry. It was a rather vague concept. In ancient Greece, Aristotle's response to famous Zeno's paradoxes introduced the distinction between actual and potential infinity. He argued, that potential infinity is (in today's words) well defined, as opposed to actual infinity, which remained a vague incoherent concept. He didn't think it's possible for infinity to inhabit a bounded place in space or time, rejecting Zeno's thought experiments as a whole. Aristotle's thoughts shaped western thinking partly due to Aquinas, who himself believed actual infinity to be more of a metaphysical concept for describing God than a mathematical property attributed to any other entity. In his *Summa Theologica*¹ he argues:

A geometrician does not need to assume a line actually infinite, but takes some actually finite line, from which he subtracts whatever he finds necessary; which line he calls infinite.

Less than hundred years later, Gregory of Rimini wrote

If God can endlessly add a cubic foot to a stone—which He can—then He can create an infinitely big stone. For He need only add one cubic foot at some time, another half an hour later, another a quarter of an hour later than that, and so on ad infinitum. He would then have before Him an infinite stone at the end of the hour.

Which is basically a Zeno's Paradox made plausible with God being the actor. In contrast to Aquinas' position, Gregory of Rimini theoretically constructs

¹Part I, Question 7, Article 3, Reply to Objection 1

79 an object with actual infinite magnitude that is essentially different from
 80 God. Even later, in the 17th century, pushing the property of infiniteness
 81 from the Creator to his creation, Nature, Leibniz wrote to Foucher in 1662:

82 I am so in favor of the actual infinite that instead of admitting
 83 that Nature abhors it, as is commonly said, I hold that Nature
 84 makes frequent use of it everywhere, in order to show more ef-
 85 fectively the perfections of its Author. Thus I believe that there
 86 is no part of matter which is not, I do not say divisible, but ac-
 87 tually divided; and consequently the least particle ought to be
 88 considered as a world full of an infinity of different creatures.

89 But even though he used potential infinity in what would become foundations
 90 of modern Calculus and argued for actual infinity in Nature, Leibniz refused
 91 the existence of an infinite, thinking that Galileo's Paradoxon² is in fact a
 92 contradiction. The so called Galileo's Paradoxon is an observation Galileo
 93 Galilei made in his final book "Discourses and Mathematical Demonstrations
 94 Relating to Two New Sciences". He states that if all numbers are either
 95 squares and non-squares, there seem to be less squares than there is all
 96 numbers. On the other hand, every number can be squared and every square
 97 has it's square root. Therefore, there seem to be as many squares as there
 98 are all numbers. Galileo concludes, that the idea of comparing sizes makes
 99 sense only in the finite realm.

100 Salviati: So far as I see we can only infer that the totality of all
 101 numbers is infinite, that the number of squares is infinite, and
 102 that the number of their roots is infinite; neither is the number
 103 of squares less than the totality of all the numbers, nor the lat-
 104 ter greater than the former; and finally the attributes "equal,"
 105 "greater," and "less," are not applicable to infinite, but only to
 106 finite, quantities. When therefore Simplicio introduces several
 107 lines of different lengths and asks me how it is possible that the
 108 longer ones do not contain more points than the shorter, I answer
 109 him that one line does not contain more or less or just as many
 110 points as another, but that each line contains an infinite number.

111 Leibniz insists in part being smaller than the whole saying

112 Among numbers there are infinite roots, infinite squares, infinite
 113 cubes. Moreover, there are as many roots as numbers. And there
 114 are as many squares as roots. Therefore there are as many squares

²zneni galileova paradoxu

115 as numbers, that is to say, there are as many square numbers as
 116 there are numbers in the universe. Which is impossible. Hence it
 117 follows either that in the infinite the whole is not greater than the
 118 part, which is the opinion of Galileo and Gregory of St. Vincent,
 119 and which I cannot accept; or that infinity itself is nothing, i.e.
 120 that it is not one and not a whole.

121 In his work, he defined transfinite numbers to extend existing natural
 122 number structure so it contains more objects that behave like natural num-
 123 bers and are based on an object (rather a meta-object) that doesn't explicitly
 124 exist in the structure, but is closely related to it. This is the first instance
 125 of reflection. This paper will focus on taking this principle a step further,
 126 extending Cantor's (or Zermelo–Fraenkel's, to be more precise) universe so
 127 it includes objects so big, they could be considered the universe itself, in a
 128 certain sense.

129 The original idea behind reflection principles probably comes from what
 130 could be informally called “universality of the universe”. The effort to pre-
 131 cisely describe the universe of sets was natural and could be regarded as one
 132 of the impulses for formalization of naive set theory. If we try to express
 133 the universe as a set $\{x|x = x\}$, a paradox appears, because either our set
 134 is contained in itself and therefore is contained in a set (itself again), which
 135 contradicts the intuitive notion of a universe that contains everything but is
 136 not contained itself. If there is an object containing all sets, it must not be
 137 a set itself. The notion of class seems inevitable. Either directly the ways
 138 for example the Bernays–Gödel set theory, we will also discuss later in this
 139 paper, does in, or on a meta-level like the Zermelo–Fraenkel set theory, that
 140 doesn't refer to them in the axioms but often works with the notion of a
 141 universal class. duet Another obstacle of constructing a set of all sets comes
 142 from Georg Cantor, who proved that the set of all subsets of a set (let A
 143 be the set and $\mathcal{P}((A)$ its powerset) is strictly larger than A . That would
 144 turn every aspiration to finally establish an universal set into a contradictory
 145 infinite regression.³ We will use V for the class of all sets.

³An intuitive analogy of this *reductio ad infinitum* is the status of ω , which was orig-
 inally thought to be an ureachable absolute, only to become starting point of Cantor's
 hierarchy of sets growing beyond all boundaries around the end of the 19th century

From previous thoughts we can easily argue, that it is impossible to construct a property that holds for V and no set and is neither paradoxical like $\{x|x = x\}$ nor trivial. Previous observation can be transposed to a rather naive formulation of the reflection principle:

(Refl) Any property which holds in V already holds in some initial segment of V .

To avoid vagueness of the term "property", we could informally reformulate the above statement into a schema:

For every first-order formula⁴ φ holds in $V \leftrightarrow \varphi$ holds in some initial segment of V .

Interested reader should note that this is a theorem scheme rather than a single theorem.⁵

1.2 A few historical remarks on reflection

Reflection made its first in set-theoretical appearance in Gödel's proof of GCH in L (citace Kanamori ? Levy and set theory), but it was around even earlier as a concept. Gödel himself regarded it as very close to Russell's reducibility axiom (an earlier equivalent of the axiom schema of Zermelo's separation). Richard Montague then studied reflection properties as a tool for verifying that Replacement is not finitely axiomatizable (citace?). A few years later Levy proved (citace?) equivalence of reflection with Axiom of infinity together with Replacement.

⁴this also works for finite sets of formulas [?, p. 168]

⁵If there were a single theorem stating "for any formula φ that holds in V there is an initial segment of V where φ also holds", we would obtain the following contradiction with the second Gödel's theorem: In ZFC, any finite group of axioms of ZFC holds in some initial segment of the universe. If we take the largest of those initial segments it is still strictly smaller than the universe and thus we have, via compactness, constructed a model of ZFC within ZFC. That is, of course a harsh contradiction. This also leads to an elegant way to prove that ZFC is not finitely axiomatizable.

2 Levy's Reflection

2.1 Levy's Axiom Schemata of Strong Infinity

This section will try to present Levy's proof of a general reflection principle being equivalent to Replacement and Infinity under ZF minus Replacement and Infinity. We will first introduce a few axioms and definitions that were a bit different in Levy's paper, but are equivalent to today's terms. We will write them in contemporary notation, our aim is the result, not history of set theory notation.

Definition 2.1 *The Axiom of Subsets* $\forall x \exists y \forall z (z \in y \leftrightarrow (z \in x))$

Definition 2.2 *Standard Complete Model of $S(Scm^S)$* ???

Definition 2.3 *Rel(u, φ)* ???

Definition 2.4 *S ZF minus Replacement Scheme minus Axiom of Infinity*

Definition 2.5 N_0

$$\exists u (Scm^S \& x_1, \dots, x_n \in u \rightarrow \varphi \leftrightarrow Rel(u, \varphi)) \quad (2.1)$$

where φ is a formula which does not contain free variables except x_1, \dots, x_n .

Theorem 2.6 *In S the axiom schema of replacement in conjunction with the axiom of infinity is equivalent to the schema N_0 .*

Proof.

□

2.2 Contemporary restatement

As we have mentioned above, Levy has proved that the following is equivalent to Replacement (R) and Infinity (I) axioms (under ZF minus R and I), which we shall prove later. [?]

Theorem 2.7 (Lévy) *ZFC:*

- (i) *Let $\varphi(x_1, \dots, x_n)$ be a first-order formula with free variables shown. Then for each set M_0 there exists a set $M \supset M_0$ such that*

$$\varphi^M(x_1, \dots, x_n) \leftrightarrow \varphi(x_1, \dots, x_n) \quad (2.2)$$

(We say that M reflects φ)

193 (ii) There is transitive $M \supset M_0$ that reflects φ ; moreover, there is a limit
 194 ordinal α such that $M \subset V_\alpha$ and V_α reflects φ .

195 In order to prove this theorem let's first state a lemma, similarly to [?].

196 **Lemma 2.8** (i) Let $\varphi(u_1, \dots, u_n, x)$ be a formula. For each set M_0 there
 197 exists a set $M \supset M_0$ such that

$$\text{If } \exists x \varphi(u_1, \dots, u_n, x) \text{ then } (\exists x \in M) \varphi(u_1, \dots, u_n, x) \quad (2.3)$$

198 (ii) If $\varphi_1, \dots, \varphi_k$ are formulas, then for each M_0 there is an $M \supset M_0$ such
 199 that 2.3 holds for each $\varphi_1, \dots, \varphi_k$.

200 *Proof.* Let's first prove (i). For every u_1, \dots, u_n , let

$$H(u_1, \dots, u_n) = \hat{C} \quad (2.4)$$

201 where \hat{C} is defined as follows:

$$\hat{C} = \{x \in C : (\forall z \in C) \text{ rank } x \leq \text{rank } z\}, \quad (2.5)$$

202

$$C = \{x : \varphi(u_1, \dots, u_n, x)\}. \quad (2.6)$$

203 Intuitively, C is a set of all witnesses of property φ with n fixed paramet-
 204 ers. \hat{C} contains the elements of C that are minimal with respect to rank.
 205 $H(u_1, \dots, u_n)$ is in a fact a set with the following property

$$\text{if } \exists x \varphi(u_1, \dots, u_n, x), \text{ then } (\exists x \in H(u_1, \dots, u_n)) \varphi(u_1, \dots, u_n, x) \quad (2.7)$$

206 In other words, if there is are witnesses of φ being valid with fixed parameters
 207 u_1, \dots, u_n , at least one of them has is an element of $H(u_1, \dots, u_n)$.

208 We can now inductively construct the set M . Note that M_0 is given to us
 209 from the very beginning.

$$M_{i+1} = M_i \cup \bigcup \{H(u_1, \dots, u_n) : u_1, \dots, u_n \in M_i\}, \quad (2.8)$$

210

$$M = \bigcup_{i=0}^{\infty} M_i \quad (2.9)$$

211 We have defined H and M in a way that if $u_1, \dots, u_n \in M$, then there is
 212 some $i \in \mathbb{N}$ such that $u_1, \dots, u_n \in M_i$ and if $\varphi(u_1, \dots, u_n, x)$ holds for some
 213 x , it then holds for some $x \in M_{i+1}$.

214

215 In order to modify this proof to work also for (ii), we need to change the
 216 definition of $H(u_1, \dots, u_n) = \hat{C}$ to $H_i(u_1, \dots, u_n) = \hat{C}_i$ where \hat{C}_i uses C_i

217 instead of C , which in turn contains φ_i in place of φ . Next, we modify the
 218 contruction of M in a similar manner:

$$219 \quad M_{i+1} = M_i \cup \bigcup_{j \in 1, \dots, k} \{H_j(u_1, \dots, u_n) : u_1, \dots, u_n \in M_i\}, \quad (2.10)$$

220 Last step of the construction stays the same, which means we are finished
 221 with this lemma. \square

222
 223 We are now ready to prove our first version of the Reflection principle. *Proof.*
 224 Let $\varphi(x_1, \dots, x_n)$ be a formula with no universal quantifiers and $\varphi_1, \dots, \varphi_k$
 225 all sub formulas in φ . Given a set M_0 , thanks to the previous lemma we
 226 know, that there exists a set $M \supset M_0$, such that

$$\exists x \varphi_j(u, \dots, x) \rightarrow (\exists x \in M) \varphi_j(u, \dots, x), \quad j = 1, \dots, k \quad (2.11)$$

227 for all $u, \dots \in M$.

228
 229 TODO (ii) \square

230 **Theorem 2.9** *(Refl) is equivalent to (Infinity) & (Replacement) under ZFC*
 231 *minus (Infinity) & (Replacement)*

232 *Proof.* Since (Refl) is a sound theorem in ZFC, we are only interested in
 233 showing the converse: (Refl) \rightarrow (Infinity)

234 This is the easy part since Infinity says that *there is an infinite set* and
 235 (Refl) is just a stronger version that says "there is an inaccessible cardinal"
 236 which is all we need.

237 (Refl) \rightarrow (Replacement)
 238 \square

239 **Definition 2.10** *Let $\varphi(R)$ be a Π_m^n -formula which contains only one free*
 240 *variable R which is second-order. Given $R \subseteq V_\kappa$, we say that $\varphi(R)$ reflects*
 241 *in V_κ if there is some $\alpha < \kappa$ such that:*

$$\text{If } (V_\kappa, \in, R) \models \varphi(R), \text{ then } (V_\alpha, \in, R \cap V_\alpha) \models \varphi(R \cap V_\alpha). \quad (2.12)$$

242 3 Large Cardinals

243 3.1 Preliminaries

244 To avoid confusion⁶, let's first define some basic terms.

245 **Definition 3.1** (*weak limit cardinal*) κ is a weak limit cardinal if it is
246 \aleph_α for some limit α .

247 **Definition 3.2** (*strong limit cardinal*) κ is a strong limit cardinal if for
248 every $\lambda < \kappa$, $2^\lambda < \kappa$

249 3.2 Inaccessibility

250 **Definition 3.3** (*weak inaccessibility*) κ is weakly inaccessible \leftrightarrow it is regu-
251 lar and weakly limit.

252 **Definition 3.4** (*inaccessibility*) κ is inaccessible \leftrightarrow it is regular and strongly
253 limit.

254 **Theorem 3.5** [Lévy] The following are equivalent:

- 255 (i) κ is inaccessible.
- 256 (ii) For every $R \subseteq V_\kappa$ and every first-order formula $\varphi(R)$, $\varphi(R)$ reflects in
257 V_κ .
- 258 (iii) For every $R \subseteq V_\kappa$, the set $C = \{\alpha < \kappa \mid \langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle\}$ is
259 closed unbounded.

260 *Proof.* Let's start with (i) \rightarrow (iii) in a way similar to [?].

261 The set $\{\alpha < \kappa \mid \langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle\}$ is clearly closed, it remains to
262 show that it is also unbounded. To do so, let $\alpha < \kappa$ be arbitrary. Define
263 $\alpha_n < \kappa$ for $n \in \omega$ by recursion as follows:

264 Set $\alpha_0 = \alpha$. Given $\alpha_n < \kappa$ define α_{n+1} to be the least $\beta \geq \alpha_n$ such as when-
265 ever $y_1, \dots, y_k \in V_{\alpha_n}$ and $\langle V_\kappa, \in, R \rangle \models \exists v_0 \varphi[v_0, y_1, \dots, y_k]$ for some formula
266 φ , there is an $x \in V_\beta$ such that $\langle V_\kappa, \in, R \rangle \models \varphi[x, y_1, \dots, y_k]$.

267 Since κ is inaccessible, $|V_{\alpha_n}| < \kappa$ and so $\alpha_{n+1} < \kappa$.

268 Finally, set $\alpha = \sup(\alpha_n \mid n \in \omega)$. Then $\langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle$ by the
269 usual (Tarski) criterion for elementary substructure.

270

271 The next part, proving (iii) \rightarrow (ii), should be elementary since C is closed

⁶While in most sources refer to *weak limit cardinal* as a *limit cardinal* and to *strong limit cardinal*, in some cases the distinction is *weak limit cardinal* and *limit cardinal* respectively. That's why I have decided to explicitly define those otherwise elementary terms.

unbounded, which means that it contains at least countably many elements but we need only one such α to satisfy (2.10).

Finally, we shall prove that (ii) \rightarrow (i). Since it obviously holds that $\kappa > \omega$, we have yet to prove that κ is regular and a strong limit. Let's argue by contradiction that it is regular. If it wasn't, there would be a $\beta < \kappa$ and a function $F : \beta \rightarrow \kappa$ with range unbounded in κ . Set $R = \{\beta\} \cup F$. By hypothesis there is an $\alpha < \kappa$ such that $\langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle$. Since β is the single ordinal in R , $\beta \in V_\alpha$ by elementarity. This yields the desired contradiction since the domain of $F \cap V_\alpha$ cannot be all of β .

Next, let's see whether κ is indeed a strong limit, again by contradiction. If not, there would be a $\lambda < \kappa$ such that $2^\lambda \geq \kappa$. Let $G : \mathcal{P}(\lambda) \rightarrow \kappa$ be surjective and set $R = \{\lambda + 1\} \cup G$. By hypothesis, there is an $\alpha < \kappa$ such that $\langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle$. $\lambda + 1 \in V_\alpha$ and so $\mathcal{P}(\lambda) \in V_\alpha$, but this is again a contradiction. \square

3.3 Mahlo cardinals

Definition 3.6 *Weakly Mahlo Cardinals* κ is weakly Mahlo \leftrightarrow it is a limit ordinal and the set of all regular ordinals less than κ is stationary in κ

Definition 3.7 *Mahlo cardinals* The following definitions are equivalent:

- (i) κ is Mahlo
- (ii) κ is weakly Mahlo and strong limit
- (iii) κ is inaccessible and the regular cardinals below κ form a stationary subset of κ .
- (iv) κ is regular and the stationary sets below κ form a stationary subset of κ .

Theorem 3.8 κ is Mahlo \leftrightarrow for any $R \subset V_\kappa$ there is an inaccessible cardinal $\alpha < \kappa$ such that $\langle V_\alpha, \in, R \cap V_\alpha \rangle \prec \langle V_\kappa, \in, R \rangle$.

Proof. Start with the proof of (3.5) and add the following:

κ is Mahlo by the following contradiction. If not, there would be a C closed unbounded in κ containing no inaccessible cardinals. By the hypothesis there is an inaccessible $\alpha < \kappa$ such that $\langle V_\alpha, \in, C \cap V_\alpha \rangle \prec \langle V_\kappa, \in, C \rangle$. By elementarity $C \cap \alpha$ is unbounded in α . But then, $\alpha \in C$, which is the contradiction we need. \square

3.4 Weakly Compact Cardinals

Definition 3.9 A cardinal κ is weakly compact if it is uncountable and satisfies the partition property $\kappa \rightarrow (\kappa)^2$

308 **Lemma 3.10** *Every weakly compact cardinal is inaccessible*

309 *Proof.* Let κ be a weakly compact cardinal. To show that κ is regular, let
 310 us assume that κ is the disjoint union $\bigcup \{A_\gamma : \gamma < \lambda\}$ such that $\lambda < \kappa$ and
 311 $|A_\gamma| < \kappa$ for each $\gamma < \lambda$. We define a partition $F : [\kappa]^2 \rightarrow \{0, 1\}$ as follows:
 312 $F(\{\alpha, \beta\}) = 0$ just in case α and β are the same size A_γ . Obviously, this
 313 partition does not have a homogenous set $H \subset \kappa$ of size κ . That κ is a
 314 strong limit cardinal follows from Lemma 9.4: (?? doplnit z jecha): If $\kappa \geq 2^\lambda$
 315 for some $\lambda < \kappa$, then because $2^\lambda \leq (\lambda^+)^2$, we have $\kappa \leq (\lambda^+)^2$ and hence
 316 $\kappa \leq (\kappa)^2$. \square

317 **Theorem 3.11** *Let κ be a weakly compact cardinal. Then for every station-*
 318 *ary set $S \subset \kappa$ there is an uncountable regular cardinal $\lambda < \kappa$ such that the*
 319 *set $S \cap \lambda$ is stationary in λ .*

320 *Proof.* TODO \square

321 3.5 Indescribable Cardinals

322 **Definition 3.12 (Indescribability)** *For Q either Π_n^m or Σ_n^m*
 323 *A cardinal κ is Q -indescribable if whenever $U \subseteq V_\kappa$ and φ is a Q sentence*
 324 *such that $\langle V_\kappa, \in, U \rangle \models \varphi$, then for some $\alpha < \kappa$, $\langle V_\alpha, \in, U \cap V_\alpha \rangle \models \varphi$.*

325 3.6 Measurable Cardinals

326 TODO

327 3.7 Supercompact cardinals

328 TODO

3.8 Bernays–Gödel Set Theory

Gödel–Bernays set theory, also known as Von Neumann–Bernays–Gödel set theory is an axiomatic set theory that explicitly talks about proper classes as well as sets, which allows it to be finitely axiomatizable, albeit our version stated below contains one schema. It is a conservative extension of Zermalo–Fraenkel set theory. Using forcing, one can prove equiconsistency of BGC and ZFC.

Bernays–Gödel set theory contains two types of objects: proper classes and sets. The notion of set, usually denoted by a lower case letter, is identical to set in ZF, whereas proper classes are usually denoted by upper case letters. The difference between the two is in a fact, that proper classes are not members of other classes, sets, on the other hand, have to be members of classes.

Definition 3.13 (*Gödel–Bernay set theory*)

(i) extensionality for sets

$$\forall a \forall b [\forall x (x \in a \leftrightarrow x \in b) \rightarrow a = b] \quad (3.13)$$

(ii) pairing for sets

$$\forall x \forall y \exists z \forall w [w \in z \leftrightarrow (w = x \vee w = y)] \quad (3.14)$$

(iii) union for sets

$$\forall a \exists b \forall c [c \in b \leftrightarrow \exists d (c \in d \wedge d \in a)] \quad (3.15)$$

(iv) powers for sets

$$\forall a \exists p \forall b [b \in p \leftrightarrow (c \in b \rightarrow c \in a)] \quad (3.16)$$

(v) infinity for sets

$$\text{There is an inductive set.} \quad (3.17)$$

(vi) Extensionality for classes

$$\forall x (x \in A \leftrightarrow x \in B) \rightarrow A = B \quad (3.18)$$

(vii) Foundation for classes

$$\text{Each nonempty class is disjoint from each of its elements.} \quad (3.19)$$

(viii) Limitation of size for sets

$$\text{For any class } C \text{ a set } x \text{ such that } x=C \text{ exists iff} \quad (3.20)$$

$$\text{there is no bijection between } C \text{ and the class } V \text{ of all sets} \quad (3.21)$$

353 (ix) Comprehension schema for classes

For any formula φ with no quantifiers over classes, there is a class A such that $\forall x(x \in A \leftrightarrow \varphi(x))$.
(3.22)

354 The first five axioms are identical to axioms in ZF.

355 Comprehension schema tells us, that proper classes are basically first-order
356 predicates. ...

357 **Definition 3.14** We say that $\varphi(R)$ with a class parameter R reflects if there
358 is α such that

$$\varphi(R) \rightarrow (V_\alpha, V_{\alpha+1}) \models \varphi(R \cap V_\alpha). \quad (3.23)$$

359 **Theorem 3.15** There is a second-order sentence φ which is provable in GB
360 such that if φ reflects at α , i.e. if

$$\varphi \rightarrow (V_\alpha, V_{\alpha+1}) \models \varphi, \quad (3.24)$$

361 then α is an inaccessible cardinal.

362 *Proof.* Take φ to say “there is no function from $\gamma \in \text{ORD}$ cofinal in ORD
363 and for every $\gamma \in \text{ORD}$, $2^\gamma \in \text{ORD}$ ”. Clearly, if φ reflects at some α ,
364 then α is inaccessible (here we use that the second-order variable range over
365 $\mathcal{P}(V_\alpha) = V_{\alpha+1}$). \square

366 As a corollary we obtain:

367 **Corollary 3.16** Second-order reflection in GB implies the existence of an
368 inaccessible cardinal.

3.9 Morse–Kelley Set Theory

Axioms not

(i) *Extensionality*

$$\forall X \forall Y (\forall z (z \in X \leftrightarrow z \in Y) \rightarrow X = Y). \quad (3.25)$$

(ii) *Pairing*

$$asdfg \quad (3.26)$$

(iii) *Foundation For Classes*

$$asdf \quad (3.27)$$

(iv) *Class Comprehension*

$$\forall W_1, \dots, W_n \exists Y \forall x (x \in Y \leftrightarrow (\phi(x, W_1, \dots, W_n) \& set(x))). \quad (3.28)$$

Where $set(x)$ is monadic predicate stating that class x is a set.

(v) *Limitation Of Size For Classes*

$$asdf \quad (3.29)$$

(vi) *Pairing*

$$asdf \quad (3.30)$$

(vii) *Pairing*

$$asdf \quad (3.31)$$

TODO

3.10 Reflection and the constructible universe

L was introduced by Kurt Gödel in 1938 in his paper *The Consistency of the Axiom of Choice and of the Generalised Continuum Hypothesis* and denotes a class of sets built recursively in terms of simpler sets, somewhat similar to Von Neumann universe V . Assertion of their equality, $V = L$, is called the *axiom of constructibility*. The axiom implies GCH and therefore also AC and contradicts the existence of some of the large cardinals, our goal is to decide whether those introduced earlier are among them.

On order to formally establish this class, we need to formalize the notion of definability first:

Definition 3.17 (Definable sets)

$$Def(X) := \{\{y | x \in X \wedge \langle X, \in \rangle \models \varphi(y, z_1, \dots, z_n)\} \mid \varphi \text{ is a first-order formula, } z_1, \dots, z_n \in X\} \quad (3.32)$$

Now we can recursively build L .

Definition 3.18 (The Constructible universe) (i)

$$L_0 := \emptyset \quad (3.33)$$

(ii)

$$L_{\alpha+1} := Def(L_\alpha) \quad (3.34)$$

(iii)

$$L_\lambda = \bigcup_{\alpha < \lambda} L_\alpha \text{ If } \lambda \text{ is a limit ordinal} \quad (3.35)$$

(iv)

$$L = \bigcup_{\alpha \in Ord} L_\alpha \quad (3.36)$$

Fact 3.19 *The reflection – constructed as explained in the previous paragraph (!!! preformulovat !!!) – with second-order parameters for higher-order formulas (even of transfinite type) does not yield transcendence over L .*

TODO zduvodneni

TODO kratka diskuse jestli refl implikuje transcendenci na L - polemika, nazor - $V=L$ a slaba kompaktnost a dalsi