

1 Univerzita Karlova v Praze, Filozofická fakulta
2 Katedra logiky

3 MIKULÁŠ MRVA

4 REFLECTION PRINCIPLES AND LARGE
5 CARDINALS
6 Bakalářská práce

7 Vedoucí práce: Mgr. Radek Honzík, Ph.D.

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¹⁰ Prohlašuji, že jsem bakalářskou práci vypracoval samostatně a že jsem uvedl
¹¹ všechny použité prameny a literaturu.

¹² V Praze 22. května 2016

Abstract

Práce zkoumá vztah tzv. principů reflexe a velkých kardinálů. Lévy ukázal, že v ZFC platí tzv. věta o reflexi a dokonce, že věta o reflexi je ekvivalentní schématu nahrazení a axiomu nekonečna nad teorií ZFC bez axiomu nekonečna a schématu nahrazení. Tedy lze na větu o reflexi pohlížet jako na svého druhu axiom nekonečna. Práce zkoumá do jaké míry a jakým způsobem lze větu o reflexi zobecnit a jaký to má vliv na existenci tzv. velkých kardinálů. Práce definuje nedosažitelné, Mahlovy a nepopsatelné kardinály a ukáže, jak je lze zavést pomocí reflexe. Přirozenou limitou kardinálů získaných reflexí jsou kardinály nekonzistentní s L. Práce nabídne intuitivní zdůvodnění, proč tomu tak je.

Abstract

This thesis aims to examine relations between the so called Reflection Principles and Large cardinals. Lévy has shown that the Reflection Theorem is a sound theorem of ZF and it is equivalent to the Replacement Scheme and the Axiom of Infinity. From this point of view, Reflection theorem can be seen a specific version of an Axiom of Infinity. This paper aims to examine the Reflection Principle and its generalisations with respect to the existence of Large Cardinals. This thesis will establish the Inaccessible, Mahlo and Indescribable cardinals and show how can those be defined via reflection. A natural limit of Large Cardinals obtained via reflection are cardinals inconsistent with L. This thesis will offer an intuitive explanation of why this holds.

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1 Introduction

1.1 Motivation and Origin

“The Universe of sets cannot be uniquely characterised (i. e. distinguished from all its initial elements) by any internal structural property of the membership relation in it, which is expressible in any logic of finite or transfinite type, including infinitary logics of any cardinal order.”

— Kurt Gödel [Wang, 1997]

1.2 Notation and Terminology

1.2.1 The Language of Set Theory

This text assumes the knowledge of basic terminology and some results from first-order predicate logic.¹ All proofs are based on [Jech, 2006] unless explicitly stated otherwise. Notable amount of material is also drawn from [Kanamori, 2003] and [Drake, 1974].

We will now shortly review the basic notions that allow us to define the *Zermelo–Fraenkel* set theory.

When we talk about a *class*, we have the notion of a definable class in mind. If $\varphi(x, p_1, \dots, p_n)$ is a formula in the language of set theory, we call

$$A = \{x : \varphi(x, p_1, \dots, p_n)\} \quad (1.1)$$

a class of all sets satisfying $\varphi(x, p_1, \dots, p_n)$ in a sense that

$$x \in A \leftrightarrow \varphi(x, p_1, \dots, p_n) \quad (1.2)$$

Given classes A, B , one can easily define the elementary set operations such as $A \cap B$, $A \cup B$, $A \setminus B$, $\bigcup A$, see the first part of [Jech, 2006] for details. Axioms are the tools by which we can decide whether a particular class is “small enough” to be considered a set². A class that fails to be considered a set is called a *proper class*.

We will often write something like “ M is a limit ordinal”, it should always be clear that this can be rewritten as a formula that was introduced earlier.

¹todo odkaz na pripadny zdroj? svejdar? neco en?

²“Small enough” means that it doesn’t introduce a paradox similar to Russell’s.

84 **1.2.2 The Axioms**85 **Definition 1.1** (*The Existence of a Set*)

$$\exists x(x = x) \quad (1.3)$$

86 **Definition 1.2** (*Axiom of Extensionality*)

$$\forall x, y(x = y \leftrightarrow \forall z(z \in x \leftrightarrow z \in y)) \quad (1.4)$$

87 **Definition 1.3** (*Axiom Schema of Specification*)

88 *The following yields an axiom for every first-order formula $\varphi(x, p_1, \dots, p_n)$*
 89 *with no free variables other than x, p_1, \dots, p_n .*

$$\forall x, p_1, \dots, p_n \exists y \forall z(z \in y \leftrightarrow z \in x \ \& \ \varphi(z, p_1, \dots, p_n)) \quad (1.5)$$

90 We will now provide two definitions that are not axioms, but will be
 91 helpful in establishing some axioms in a more comprehensible way.

92 **Definition 1.4** ($x \subseteq y, x \subset y$)

$$x \subseteq y \leftrightarrow (\forall z(z \in x \rightarrow z \in y)) \quad (1.6)$$

93

$$x \subset y \leftrightarrow x \subseteq y \ \& \ x \neq y \quad (1.7)$$

94 *We read $x \subseteq y$ as x is a subset of y and $x \subset y$ as x is a proper subset of y .*

95 **Definition 1.5** (*Empty Set*) *For an arbitrary set x , the empty set, repre-*
 96 *sented by the symbol " \emptyset ", is defined by the following formula:*

$$(\forall y(y \in \emptyset \leftrightarrow \neg(y = y))) \quad (1.8)$$

97 \emptyset is a set due to Specification.98 **Definition 1.6** (*Axiom of Pairing*)

$$\forall x, y \exists z \forall q(q \in z \leftrightarrow q = x \vee q = y) \quad (1.9)$$

99 **Definition 1.7** (*Axiom of Union*)

$$\forall x \exists y \forall z(z \in y \leftrightarrow \exists q(z \in q \ \& \ q \in x)) \quad (1.10)$$

100 Now we can introduce more axioms.

101 **Definition 1.8** (*Axiom of Foundation*)

$$\forall x(x \neq \emptyset \rightarrow (\exists y(y \in x)(x \cap y = \emptyset))) \quad (1.11)$$

102 **Definition 1.9** (*Axiom of Powerset*)

$$\forall x \exists y \forall z (z \in y \leftrightarrow z \subseteq x) \quad (1.12)$$

103 **Definition 1.10** (*Axiom of Infinity*)

$$\exists x (\emptyset \in x \ \& \ (\forall y \in x) (y \cup \{y\} \in x)) \quad (1.13)$$

104 *The least set satisfying this is denoted “ ω ”.*

105 **Definition 1.11** (*Function*)

106 *Given arbitrary first-order formula $\varphi(x, y, p_1, \dots, p_n)$, we say that φ is a func-*
 107 *tion iff*

$$\forall x, y, z, p_1, \dots, p_n (\varphi(x, y, p_1, \dots, p_n) \ \& \ \varphi(x, z, p_1, \dots, p_n) \rightarrow y = z) \quad (1.14)$$

108 When a $\varphi(x, y)$ is a function, we also write the following:

$$f(x) = y \leftrightarrow \varphi(x, y) \quad (1.15)$$

109 Alternatively, $f = \{\langle x, y \rangle : \varphi(x, y)\}$ is a class.

110 Let us introduce a few more definitions that will make the two remaining
 111 axioms more comprehensible.

112 **Definition 1.12** (*Powerset Function*)

113 *Given a set x , the powerset of x , denoted $\mathcal{P}(x)$ and satisfying (1.9), is*
 114 *defined as follows:*

$$\mathcal{P}(x) \stackrel{\text{def}}{=} \{y : y \subseteq x\} \quad (1.16)$$

115 **Definition 1.13** (*Domain of a Function*)

116 *Let f be a function. We call the domain of f the set of all sets for which f*
 117 *yields a value. We use “ $\text{Dom}(f)$ ” to refer to this set.*

$$x \in \text{Dom}(f) \leftrightarrow \exists y (f(x) = y) \quad (1.17)$$

118 We say “ f is a function on A ”, A being a class, if $A = \text{dom}(f)$.

119 **Definition 1.14** (*Range of a Function*)

120 *Let f be a function. We call the range of f the set of all sets that are images*
 121 *of other sets via f . We use “ $\text{Rng}(f)$ ” to refer to this set.*

$$x \in \text{Rng}(f) \leftrightarrow \exists y (f(y) = x) \quad (1.18)$$

122 We say that f is a *function into* A , A being a class, iff $\text{rng}(f) \subseteq A$. We say
 123 that f is a *function onto* A iff $\text{rng}(f) = A$. We say a function f is a *one to*
 124 *one function*, iff

$$(\forall x_1, x_2 \in \text{dom}(f))(f(x_1) = f(x_2) \rightarrow x_1 = x_2) \quad (1.19)$$

125 We say that f is a *bijection* iff it is a one to one function that is onto.

126 Note that $\text{Dom}(f)$ and $\text{Rng}(f)$ are not definitions in a strict sense, they
 127 are in fact definition schemas that yield definitions for every function f given.
 128 Also note that they can be easily modified for φ instead of f , with the only
 129 difference being the fact that it is then defined only for those φ s that are
 130 functions, which must be taken into account. This is worth noting as we will
 131 use the notions of *function* and *formula* interchangeably.

132 **Definition 1.15** (*Function Defined For All Ordinals*)

133 We say a function f is defined for all ordinals, this is sometimes written
 134 $f : \text{Ord} \rightarrow A$ for any class A , if $\text{Dom}(f) = \text{Ord}$. Alternatively,

$$(\forall \alpha \in \text{Ord})(\exists y \in A)(f(\alpha) = y) \quad (1.20)$$

135 And now for the axioms.

136 **Definition 1.16** (*Axiom Schema of Replacement*)

137 The following is an axiom for every first-order formula $\varphi(x, p_1, \dots, p_n)$ with
 138 no free variables other than x, p_1, \dots, p_n .

$$“\varphi \text{ is a function}” \rightarrow \forall x \exists y \forall z (z \in y \leftrightarrow (\exists q \in x)(\varphi(x, y, p_1, \dots, p_n))) \quad (1.21)$$

139 **Definition 1.17** (*Choice*)

140

$$\begin{aligned} &\forall x \exists f ((f \text{ is a function with } \text{dom}(f) = x \setminus \{\emptyset\}) \\ &\quad \& \forall y ((y \in x \& y \neq \emptyset) \rightarrow f(y) \in y)) \end{aligned} \quad (1.22)$$

141 We will refer to the axioms by their name, written in italic type, e.g.
 142 *Foundation* refers to the Axiom of Foundation. Now we need to define the
 143 set theories to be used in the article.

144 **Definition 1.18** (S)

145 We call \mathbf{S} an *axiomatic theory* in the language $\mathcal{L} = \{=, \in\}$ with exactly the
 146 following axioms:

- 147 (i) Existence of a set (see (1.1))
- 148 (ii) Extensionality (see (1.2))
- 149 (iii) Specification (see (1.3))

- 150 (iv) Foundation (see (1.8))
- 151 (v) Pairing (see (1.6))
- 152 (vi) Union (see (1.7))
- 153 (vii) Powerset (see (1.9))

154 **Definition 1.19** (ZF)

155 We call ZF an axiomatic theory in the language $\mathcal{L} = \{=, \in\}$ that contains
 156 all the axioms of S in addition to the following:

- 157 (i) Replacement schema (see (1.16))
- 158 (ii) Infinity (see (1.10))
- 159 Existence of a set is usually left out because it is a consequence of infinity.

160 **Definition 1.20** (ZFC)

161 ZFC is an axiomatic theory in the language $\mathcal{L} = \{=, \in\}$ that contains all the
 162 axioms of ZF plus Choice (1.17).

163

164 **1.2.3 The Transitive Universe**

165 **Definition 1.21** (Transitive Class)

166 We say a class A is transitive iff

$$(\forall x \in A)(x \subseteq A) \quad (1.23)$$

167 **Definition 1.22** (Well Ordered Class) A class A is said to be well ordered
 168 by \in iff the following hold:

- 169 (i) $(\forall x \in A)(x \not\subseteq x)$ (Antireflexivity)
- 170 (ii) $(\forall x, y, z \in A)(x \in y \ \& \ y \in z \rightarrow x \in z)$ (Transitivity)
- 171 (iii) $(\forall x, y \in A)(x = y \vee x \in y \vee y \in x)$ (Linearity)
- 172 (iv) $(\forall x \subseteq A)(x \neq \emptyset \rightarrow (\exists y \in x)(\forall z \in x)(z = y \vee z \in y))$ (Existence of the
 173 least element)

174 **Definition 1.23** (Ordinal Number)

175 A set x is said to be an ordinal number if it is transitive and well-ordered
 176 by \in .

177 For the sake of brevity, we usually just say “ x is an ordinal”. Note that
 178 “ x is an ordinal” is a well-defined formula in the language of set theory, since
 179 1.21 is a first-order formula and 1.22 is in fact a conjunction of four first-
 180 order formulas. Ordinals will be usually denoted by lower case greek letters,
 181 starting from the beginning of the alphabet: $\alpha, \beta, \gamma, \dots$. Given two different
 182 ordinals α, β , we will write $\alpha < \beta$ for $\alpha \in \beta$, see Lemma 2.11 in [Jech, 2006]
 183 for technical details.

184 **Definition 1.24** (*Non-Zero Ordinal*) We say an ordinal α is non-zero iff
 185 $\alpha \neq \emptyset$.

186 **Definition 1.25** (*Successor Ordinal*)
 187 Consider the following function defined for all ordinals. Let β be an arbitrary
 188 ordinal. We call S the successor function.

$$S(\beta) = \beta \cup \{\beta\} \quad (1.24)$$

189 An ordinal α is called a successor ordinal iff there is an ordinal β , such that
 190 $\alpha = S(\beta)$. We also write $\alpha = \beta + 1$.

191 **Definition 1.26** (*Limit Ordinal*)
 192 A non-zero ordinal α is called a limit ordinal iff it is not a successor ordinal.

193 **Definition 1.27** (*Ord*)
 194 The class of all ordinal numbers, which we will denote “Ord”³ is the proper
 195 class defined as follows.

$$x \in \text{Ord} \leftrightarrow x \text{ is an ordinal} \quad (1.25)$$

196 **Definition 1.28** (*Von Neumann’s Hierarchy*)
 197 The Von Neumann’s Hierarchy is a collection of sets indexed by elements of
 198 Ord, defined recursively in the following way:

(i)

$$V_0 = \emptyset \quad (1.26)$$

(ii)

$$V_{\alpha+1} = \mathcal{P}(V_\alpha) \text{ for any ordinal } \alpha \quad (1.27)$$

(iii)

$$V_\lambda = \bigcup_{\beta < \lambda} V_\beta \text{ for a limit ordinal } \lambda \quad (1.28)$$

199 We will also refer to the Von Neumann’s Hierarchy as Von Neumann’s Uni-
 200 verse or the Cumulative Hierarchy.

201 **Definition 1.29** (*Rank*)
 202 Given a set x , we say that the rank of x (written as $\text{rank}(x)$) is the least
 203 ordinal α such that $x \in V_{\alpha+1}$

204 Due to Regularity, every set has a rank.⁴

205 **Definition 1.30** (*Order-type*)
 206 Given an arbitrary well-ordered set x , we say that an ordinal α is the order-
 207 type of x iff x and α are isomorphic.

208

³Other authors use “On”, we will stick to the notation used in [Jech, 2006]

⁴See chapter 6 of [Jech, 2006] for details.

1.2.4 Cardinal Numbers

Definition 1.31 (Cardinality)

Given a set x , let the cardinality of x , written $|x|$, be defined as the smallest ordinal number such that there is a one to one mapping from x onto α .

Definition 1.32 (Aleph function)

Let ω be the set defined by ω . We will recursively define the function \aleph for all ordinals.

(i) $\aleph_0 = \omega$

(ii) $\aleph_{\alpha+1}$ is the least cardinal larger than \aleph_α ⁵

(iii) $\aleph_\lambda = \bigcup_{\beta < \lambda} \aleph_\beta$ for a limit ordinal λ

If $\kappa = \aleph_\alpha$ and α is a successor ordinal, we call κ a successor cardinal. If α is a limit ordinal, we call κ a limit cardinal.

Definition 1.33 (Cardinal number)

(i) A set x is called a finite cardinal iff $x \in \omega$.

(ii) A set is called an infinite cardinal iff there is an ordinal α such that $\aleph_\alpha = x$

(iii) A set is called a cardinal iff it is either a finite cardinal or an infinite cardinal.

We say κ is an uncountable cardinal iff it is an infinite ordinal and $\aleph_0 < \kappa$. Infinite cardinals will be notated by lowercase greek letters from the middle of the alphabet, e.g. κ, μ, ν, \dots with the exception of λ , which is next to κ in the greek alphabet, but is also sometimes used for limit ordinal.

For formal details as well as why every set can be well-ordered assuming Choice, and therefore has a cardinality, see [Jech, 2006].

Definition 1.34 (Sequence)

We say that a function $\varphi(x, y)$ is a sequence iff there is an ordinal α such that $\text{dom}(\varphi) = \alpha$. In other words, a function is called a sequence if it is defined exactly for every ordinal from 0 to some α . We then say it is an α -sequence. We usually write $\langle \beta_i : i \in \alpha \rangle$ or $\langle \beta_0, \beta_1, \dots \rangle$ when referring to a sequence, β_i then denotes the elements of $\text{rng}(\varphi)$ for every $i \in \text{dom}(\varphi)$.

Definition 1.35 (Cofinal Subset)

Given a class A of ordinals, we say that $B \subseteq A$ is cofinal in A iff

$$(\forall x \in A)(\exists y \in B)(x \in y) \quad (1.29)$$

⁵“The least cardinal larger than \aleph_α ” is sometimes notated as \aleph_α^+

242 **Definition 1.36** (*Cofinality of a Limit Ordinal*)

243 Let λ be a limit ordinal. We say that the cofinality of λ is κ iff κ is the least
244 cardinal, such that there is a cofinal κ -sequence $\langle \beta_\xi : \xi < \kappa \rangle$, such that

$$\sup(\{\beta_\xi : \xi < \kappa\}) = \lambda \quad (1.30)$$

245 We write $cf(\lambda) = \kappa$.

246 **Definition 1.37** (*Regular Cardinal*)

247 We say a cardinal κ is regular iff $cf(\kappa) = \kappa$.

248 **Definition 1.38** (*Strong Limit Cardinal*)

249 We say that an ordinal κ is a strong limit cardinal if it is a limit cardinal
250 and

$$(\forall \alpha \in \kappa)(|\mathcal{P}(\alpha)| \in \kappa). \quad (1.31)$$

251 **Definition 1.39** (*Generalised Continuum Hypothesis*)

252

$$(\forall \alpha \in Ord) \aleph_{\alpha+1} = |\mathcal{P}(\aleph_\alpha)| \quad (1.32)$$

253 If *GCH* holds (for example in Gödel's L , see chapter 3), the notions of limit
254 cardinal and strong limit cardinal are equivalent.

255

256 1.2.5 Relativisation and Absoluteness

257 **Definition 1.40** (*Relativization*)

258 Let M be a class, $R \subseteq M \times M$ and let $\varphi(p_1, \dots, p_n)$ be a first-order formula
259 with no free variables besides p_1, \dots, p_n . The relativization of φ to M and R
260 is the formula, written as $\varphi^{M,R}$, defined in the following inductive manner:

- 261 (i) $(x \in y)^{M,R} \leftrightarrow R(x, y)$
- 262 (ii) $(x = y)^{M,R} \leftrightarrow x = y$
- 263 (iii) $(\neg \varphi)^{M,R} \leftrightarrow \neg \varphi^{M,R}$
- 264 (iv) $(\varphi \ \& \ \psi)^{M,R} \leftrightarrow \varphi^{M,R} \ \& \ \psi^{M,R}$
- 265 (v) $(\varphi \vee \psi)^{M,R} \leftrightarrow \varphi^{M,R} \vee \psi^{M,R}$
- 266 (vi) $(\varphi \rightarrow \psi)^{M,R} \leftrightarrow \varphi^{M,R} \rightarrow \psi^{M,R}$
- 267 (vii) $(\exists x \varphi(x))^{M,R} \leftrightarrow (\exists x \in M) \varphi^{M,R}(x)$
- 268 (viii) $(\forall x \varphi(x))^{M,R} \leftrightarrow (\forall x \in M) \varphi^{M,R}(x)$

269 When $R = \in \cap (M \times M)$, we usually write φ^M instead of $\varphi^{M,R}$. When we talk
270 about $\varphi^M(p_1, \dots, p_n)$, it is understood that $p_1, \dots, p_n \in M$. We will also use
271 $\langle M, R \rangle \models \varphi(p_1, \dots, p_n)$ and $\varphi^M(p_1, \dots, p_n)$ interchangeably.

Definition 1.41 (*Absoluteness*) Given a transitive class M , we say a formula φ is absolute in M if for all $p_1, \dots, p_n \in M$

$$\varphi^M(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n) \quad (1.33)$$

Definition 1.42 (*Hierarchy of First-Order Formulas*)

- (I) A first-order formula φ is Δ_0 iff it is logically equivalent to a first-order formula φ' satisfying any of the following:
- (i) φ' contains no quantifiers
 - (ii) y is a set, ψ is a Δ_0 -formula, and φ' is either $(\exists x \in y)\psi(y)$ or $(\forall x \in y)\psi(y)$.
 - (iii) ψ_1, ψ_2 are Δ_0 -formulas and φ' is any of the following: $\psi_1 \vee \psi_2$, $\psi_1 \& \psi_2$, $\psi_1 \rightarrow \psi_2$, $\neg\psi_2$,
- (II) If a formula is Δ_0 it is also Σ_0 and Π_0
- (III) A formula φ is $\Pi_n + 1$ if it is logically equivalent to a formula φ' such that $\varphi' = \forall x\psi$ where ψ is a Σ_n -formula for any $n < \omega$.
- (IV) A formula φ is $\Sigma_n + 1$ if it is logically equivalent to a formula φ' such that $\varphi' = \forall x\psi$ where ψ is a Π_n -formula for any $n < \omega$.

Note that we can use the pairing function so that for $\forall p_1, \dots, p_n \psi(p_1, \dots, p_n)$, there is a logically equivalent formula of the form $\forall x\psi'(x)$.

Lemma 1.43 (Δ_0 absoluteness) Let φ be a Δ_0 -formula, then φ is absolute in any transitive class M .

Proof. This will be proven by induction over the complexity of a given Δ_0 -formula φ . Let M be an arbitrary transitive class.

Atomic formulas are always absolute by the definition of relativisation, see (1.40). Suppose that Δ_0 -formulas ψ_1 and ψ_2 are absolute in M . Then from relativization, $(\psi_1 \& \psi_2)^M \leftrightarrow \psi_1^M \& \psi_2^M$, which is, from the induction hypothesis, equivalent to $\psi_1 \& \psi_2$. The same holds for \vee, \rightarrow, \neg .

Suppose that a Δ_0 -formula ψ is absolute in M . Let y be a set and let $\varphi = (\exists x \in y)\psi(x)$. From relativization, $(\exists x\psi(x))^M \leftrightarrow (\exists x \in M)\psi^M(x)$. Since the hypotheses makes it clear that $\psi^M \leftrightarrow \psi$, we get $((\exists x \in y)\psi(x))^M \leftrightarrow (\exists x \in y \cap M)\psi(x)$, which is the equivalent of $\varphi^M \leftrightarrow \varphi$. The same applies to $\varphi = (\forall x \in y)\psi(x)$. \square

Lemma 1.44 (*Downward Absoluteness*)

Let φ be a Π_1 -formula and M a transitive class. Then the following holds:

$$(\forall p_1, \dots, p_n \in M)(\varphi(p_1, \dots, p_n) \rightarrow \varphi(p_1, \dots, p_n)^M) \quad (1.34)$$

305 *Proof.* Since $\varphi(p_1, \dots, p_n)$ is Π_1 , there is a Δ_0 -formula $\psi(p_1, \dots, p_n, x)$ such
 306 that $\varphi = \forall x \psi(p_1, \dots, p_n, x)$. From relativization and lemma (1.43), $\varphi^M(p_1, \dots, p_n) \leftrightarrow$
 307 $(\forall x \in M) \psi(p_1, \dots, p_n, x)$.

308 Assume that for $p_1, \dots, p_n \in M$ fixed, that $\forall x \psi(p_1, \dots, p_n, x)$ holds, but
 309 $(\forall x \in M) \psi(p_1, \dots, p_n, x)$ does not. Therefore $\exists x \neg \psi(p_1, \dots, p_n, x)$, which
 310 contradicts $\forall x \psi(p_1, \dots, p_n, x)$. \square

311 **Lemma 1.45** (*Upward Absoluteness*)

312 *Let φ be a Σ_1 -formula and M a transitive class. Then the following holds:*

$$(\forall p_1, \dots, p_n \in M)(\varphi^M(p_1, \dots, p_n) \rightarrow \varphi(p_1, \dots, p_n)) \quad (1.35)$$

313 *Proof.* Since $\varphi(p_1, \dots, p_n)$ is Σ_1 , there is a Δ_0 -formula $\psi(p_1, \dots, p_n, x)$ such
 314 that $\varphi = \exists x \psi(p_1, \dots, p_n, x)$. From relativization and lemma (1.43), $\varphi^M(p_1, \dots, p_n) \leftrightarrow$
 315 $(\exists x \in M) \psi(p_1, \dots, p_n, x)$.

316 Assume that for $p_1, \dots, p_n \in M$ fixed, that $(\exists x \in M) \psi(p_1, \dots, p_n, x)$
 317 holds, but $\exists x \psi(p_1, \dots, p_n, x)$ does not. This is an obvious contradiction. \square

318 1.2.6 More Functions

319 **Definition 1.46** (*Strictly Increasing Function*)

320 *A function $f : \text{Ord} \rightarrow \text{Ord}$ is said to be strictly increasing iff*

$$\forall \alpha, \beta \in \text{Ord} (\alpha < \beta \rightarrow f(\alpha) < f(\beta)). \quad (1.36)$$

321 **Definition 1.47** (*Continuous Function*)

322 *A function $f : \text{Ord} \rightarrow \text{Ord}$ is said to be continuous iff*

$$\lambda \text{ is limit} \rightarrow f(\lambda) = \bigcup_{\alpha < \lambda} f(\alpha). \quad (1.37)$$

323 **Definition 1.48** (*Normal Function*)

324 *A function $f : \text{Ord} \rightarrow \text{Ord}$ is said to be normal iff it is strictly increasing*
 325 *and continuous.*

326 **Definition 1.49** (*Fixed Point*)

327 *We say x is a fixed point of a function f iff $x = f(x)$.*

328 **Definition 1.50** (*Unbounded Class*)

329 *We say a class A of ordinals is unbounded iff*

$$\forall x (\exists y \in A)(x < y) \quad (1.38)$$

330 **Definition 1.51** (*Limit Point*)

331 *Given a class $x \subseteq \text{Ord}$, we say that $\alpha \neq \emptyset$ is a limit point of x iff*

$$\alpha = \bigcup (x \cap \alpha) \quad (1.39)$$

332 **Definition 1.52** (*Closed Class*)

333 *We say a class $A \subseteq \text{Ord}$ is closed iff it contains all its limit points.*

334 **Definition 1.53** (*Club set*)

335 *For a regular uncountable cardinal κ , a set $x \subset \kappa$ is a closed unbounded*
 336 *subset, abbreviated as a club set, iff x is both closed and unbounded in κ .*

337 **Definition 1.54** (*Stationary set*)

338 *For a regular uncountable cardinal κ , we say a set $A \subset \kappa$ is stationary in κ*
 339 *iff it intersects every club subset of κ .*

340 1.2.7 Structure, Substructure and Embedding

341 Structures will be denoted $\langle M, \in, R \rangle$ where M is a domain, \in stands for the
 342 standard membership relation, it is assumed to be restricted to the domain⁶,
 343 $R \subseteq M$ is a relation on the domain. When R is not needed, we can as well
 344 only write M instead of $\langle M, \in \rangle$.

345 **Definition 1.55** (*Elementary Embedding*)

346 *Given the structures $\langle M_0, \in, R \rangle$, $\langle M_1, \in, R \rangle$ and a one-to-one function $j :$
 347 $M_0 \rightarrow M_1$, we say j is an elementary embedding of M_0 into M_1 , we write
 348 $j : M_0 \prec M_1$, when the following holds for every formula $\varphi(p_1, \dots, p_n)$ and
 349 every $p_1, \dots, p_n \in M_0$:*

$$\langle M_0, \in, R \rangle \models \varphi(p_1, \dots, p_n) \leftrightarrow \langle M_1, \in, R \rangle \models \varphi(j(p_1), \dots, j(p_n)) \quad (1.40)$$

350 **Definition 1.56** (*Elementary Substructure*)

351 *Given the structures $\langle M_0, \in, R \rangle$, $\langle M_1, \in, R \rangle$ and a one-to-one function $j :$
 352 $M_0 \rightarrow M_1$ such that $j : M_0 \prec M_1$, we say that M_0 is an elementary sub-
 353 structure of M_1 , denoted as $M_0 \prec M_1$, iff j is an identity on M_0 . In other
 354 words*

$$\langle M_0, \in, R \rangle \models \varphi(p_1, \dots, p_n) \leftrightarrow \langle M_1, \in, R \rangle \models \varphi(p_1, \dots, p_n) \quad (1.41)$$

355 *for $p_1, \dots, p_n \in M_0$*

⁶To be totally explicit, we should write $\langle M, \in \cap M \times M, R \rangle$

2 Levy's First-Order Reflection

2.1 Lévy's Original Paper

This section is based on Lévy's paper *Axiom Schemata of Strong Infinity in Axiomatic Set Theory*, [Lévy, 1960]. It presents Lévy's general reflection principle and its equivalence to *Replacement* and *Infinity* under S^7 .

First, we should point out that set theory has changed over the last 66 years and show a few notable, albeit only formal, differences. Firstly, when reading Lévy's article, one should bear in mind that while the author often speaks about a model of ZF, usually denoted u , it doesn't necessarily mean that there is a set u that is a model of ZF⁸, we are nowadays used to using the notion of universal class V in similar sense, even though independently from a particular axiomatic set theory. The theory ZF is practically identical to the theory we have established in (1.19), the differences are only formal. One might be confused by the fact that Lévy treats the *Subsets* axiom, which we call *Specification*, as a single axiom rather than a schema. He even takes the conjunction of all axioms of ZF and treats it like a formula. This is possible because the underlying logic calculus is different. Lévy works with set theories formulated in the *non-simple applied first order functional calculus*, see Chapter IV in [Church, 1996] for details. For now, we only need to know that the calculus contains a substitution rule for functional variables. This way, *Subsets* is de facto a schema even though it sometimes treated as a single formula⁹. It should also be noted that the logical connectives look different. The now usual symbol for an universal quantifier does not appear, $\forall x\varphi(x)$ would be written as $(x)\varphi(x)$. The symbol for negation is " \sim ", implication is written as " \supset " and equivalence is " \equiv ". We will use standard notation with " \neg ", " \rightarrow " and " \leftrightarrow " respectively when presenting Lévy's results.

This subsection uses ZF instead of the usual ZFC as the underlying theory.

Definition 2.1 (*Standard Complete Model of a Set Theory*)

Let \mathbf{Q} be an arbitrary axiomatic set theory. We say that u is a standard complete model of \mathbf{Q} iff

(i) $(\forall \sigma \in \mathbf{Q})(u \models \sigma)$

(ii) $\forall y(y \in u \rightarrow y \subset u)$

We write $Scm^{\mathbf{Q}}(u)$.

⁷See definition (1.18).

⁸This is indeed impossible to prove in ZF due to Gödel's Incompleteness.

⁹This way, the conjunction of all axioms is then in fact an axiom schema.

391 **Definition 2.2** (*Cardinals Inaccessible With Respect to Q*)
 392 *Let Q be an arbitrary axiomatic set theory. We say that a cardinal κ is*
 393 *inaccessible with respect to theory Q iff*

$$Scm^Q(V_\kappa) \quad (2.42)$$

394 *We write $In^Q(\kappa)$*

395 **Definition 2.3** (*Inaccessible Cardinal With Respect to ZF*)
 396 *When a cardinal κ is inaccessible with respect to ZF, we only say that it is*
 397 *inaccessible. We write $In(\kappa)$.*

$$In(\kappa) \leftrightarrow In^{ZF}(\kappa) \quad (2.43)$$

398 The above definition of inaccessibles is used because it doesn't require *Choice*.
 399 For the definition of relativization, see (1.40). The notation used by Lévy
 400 is " $Rel(u, \varphi)$ ", we will stick to " φ^u ".

401 **Definition 2.4** (*N*)
 402 *The following is an axiom schema of complete reflection over ZF, denoted as*
 403 *N. For every first-order formula φ in the language of set theory with no free*
 404 *variables except for p_1, \dots, p_n , the following is an instance of schema N.*

$$\exists u(Scm^{ZF}(u) \ \& \ (\forall p_1, \dots, p_n \in u)(\varphi \leftrightarrow \varphi^u)) \quad (2.44)$$

405 Let S be an axiomatic set theory defined in (1.18).

406 **Definition 2.5** (*N₀*)
 407 *Axiom schema N₀ is similar to N defined above, but with S instead of ZF.*
 408 *For every φ , a first-order fomula in the language of set theory with no free*
 409 *variables except p_1, \dots, p_n , the following is an instance of N₀.*

$$\exists u(Scm^S(u) \ \& \ (\forall p_1, \dots, p_n \in u)(\varphi \leftrightarrow \varphi^u)) \quad (2.45)$$

410 We will now show that in S, N₀ implies both *Replacement* and *Infinity*.

411
 412 Let N₀ be defined as in (2.5), for *Infinity* see (1.10).

413 **Theorem 2.6** *In S, the axiom schema N₀ implies Infinity.*

414 *Proof.* Let $\varphi = \forall x \exists y (y = x \cup \{x\})$. This clearly holds in S because given a
 415 set x , there is a set $y = x \cup \{x\}$ obtained via *Pairing* and *Union*. From N₀,
 416 there is a set u such that φ^u holds. This u satisfies the conditions required
 417 by *Infinity*. \square

418 Lévy proves this theorem in a different way. He argues that for an arbitrary
 419 formula φ , N_0 gives us $\exists u Scm^S(u)$ and this u already satisfies *Infinity*.
 420 To do this, we would need to prove lemma (2.12) now, which would make
 421 second half of this chapter quite confusing.

422

423 Let S be a set theory defined in (1.18), N_0 a schema defined in (2.5) and
 424 *Replacement* a schema defined in (1.16).

425 **Theorem 2.7** *In S , axiom the schema N_0 implies Replacement.*

426 *Proof.* Let $\varphi(x, y, p_1, \dots, p_n)$ be a formula with no free variables except
 427 x, y, p_1, \dots, p_n . Let χ be an instance of the *Replacement* schema for the
 428 φ given. We want to verify that χ holds in S with N_0 .

$$\begin{aligned} \chi = \forall x, y, z (\varphi(x, y, p_1, \dots, p_n) \ \& \ \varphi(x, z, p_1, \dots, p_n) \rightarrow y = z) \\ \rightarrow \forall x \exists y \forall z (z \in y \leftrightarrow (\exists q \in x) (\varphi(x, y, p_1, \dots, p_n))) \end{aligned} \quad (2.46)$$

429 Now consider the following formulas.

- 430 (i) $(\forall x, y, p_1, \dots, p_n \in u) (\varphi \leftrightarrow \varphi^u)$
- 431 (ii) $(\forall x, p_1, \dots, p_n \in u) (\exists y \varphi \leftrightarrow (\exists y \varphi)^u)$
- 432 (iii) $(\forall x, p_1, \dots, p_n \in u) (\chi \leftrightarrow \chi^u)$
- 433 (iv) $\forall x, p_1, \dots, p_n \chi \leftrightarrow (\forall x, p_1, \dots, p_n \chi)^u$

434 The above formulas are instances of the N_0 schema for φ , $\exists y \varphi$, χ and the
 435 universal closure of χ respectively. By N_0 , there exists a set u where all four
 436 formulas hold.¹⁰ From relativization, $(\exists y \varphi)^u$ is equivalent to $(\exists y \in u) \varphi^u$,
 437 together with (i) and (ii), we get

$$(\forall x, p_1, \dots, p_n \in u) ((\exists y \in u) \varphi \leftrightarrow \exists y \varphi) \quad (2.47)$$

438 If φ is a function, then for every $x \in u$, which is also $x \subset u$ since $Scm^S(u)$
 439 and therefore u is transitive, it maps elements of x into u . From the *Speci-*
 440 *fication*, we can find y , a set of all images of the elements of x . That gives
 441 us $x, p_1, \dots, p_n \in u \rightarrow \chi$. By (iii) we get that $x, p_1, \dots, p_n \in u \rightarrow \chi^u$
 442 holds. The universal closure of this formula is $\forall x, p_1, \dots, p_n (x, p_1, \dots, p_n \in$
 443 $u \rightarrow \chi^u)$ which is equivalent to $(\forall x, p_1, \dots, p_n \in u) (\chi)^u$, which is exactly
 444 $(\forall x, p_1, \dots, p_n \chi)^u$. From (iv), $\forall x, p_1, \dots, p_n \chi$ holds. \square

445 What we have just proven is only a single theorem from Lévy's afore-
 446 mentioned article, we will introduce other interesting results, mostly related
 447 to Mahlo and inaccessible cardinals, later in their appropriate context in
 448 chapter 3.

¹⁰Despite the fact that N_0 is defined for one formula, we have just used it for four at once. To make this formally possible, we can either prove that N_0 is equivalent to a more general version for any finite number of formulas or we can reflect their conjunction and argue that if $u \models \varphi_1 \ \& \ \dots \ \& \ \varphi_n$, then $(u \models \varphi_1), \dots, (u \models \varphi_n)$.

2.2 Contemporary Restatement

We will now introduce and prove a theorem that is called Lévy's Reflection in contemporary set theory. The only difference is that while Lévy originally reflects a formula φ from V to a set u which is a *standard complete model of* S , we say that there is a V_λ for a limit λ that reflects φ . Those two conditions are equivalent due to lemma (2.12).

Lemma 2.8 *Let $\varphi_1, \dots, \varphi_n$ be first-order formulas in the language of set theory, all with m free variables*¹¹.

(i) *For each set M_0 there is such set M that $M_0 \subset M$ and the following holds for every i , $1 \leq i \leq n$:*

$$\exists x \varphi_i(p_1, \dots, p_{m-1}, x) \rightarrow (\exists x \in M) \varphi_i(p_1, \dots, p_{m-1}, x) \quad (2.48)$$

for every $p_1, \dots, p_{m-1} \in M$.

(ii) *Furthermore, there is a limit ordinal λ such that $M_0 \subset V_\lambda$ and the following holds for each i , $1 \leq i \leq n$:*

$$\exists x \varphi_i(p_1, \dots, p_{m-1}, x) \rightarrow (\exists x \in V_\lambda) \varphi_i(p_1, \dots, p_{m-1}, x) \quad (2.49)$$

for every $p_1, \dots, p_{m-1} \in M$.

(iii) *Assuming Choice, there is M , $M_0 \subset M$ such that (2.48) holds for every M , $i \leq n$ and $|M| \leq |M_0| \cdot \aleph_0$.*

Proof. We will simultaneously prove statements (i) and (ii), denoting M^T the transitive set required by part (ii). Steps in the construction of M^T that are not explicitly included are equivalent to steps for M .

Let us first define an operation $H_i(p_1, \dots, p_{m-1})$ that yields the set of x 's with minimal rank¹² satisfying $\varphi_i(p_1, \dots, p_{m-1}, x)$ for p_1, \dots, p_{m-1} and for every i , $1 \leq i \leq n$.

$$H_i(p_1, \dots, p_n) = \{x \in C_i : (\forall z \in C)(\text{rank}(x) \leq \text{rank}(z))\} \quad (2.50)$$

for each $1 \leq i \leq n$, where

$$C_i = \{x : \varphi_i(p_1, \dots, p_{m-1}, x)\} \text{ for } 1 \leq i \leq n \quad (2.51)$$

¹¹For formulas with a different number of free variables, take for m the highest number of parameters among those formulas. Add spare parameters to every formula that has less than m parameters in a way that preserves the last parameter, which we will denote x . E.g. let φ'_i be the a formula with k parameters, $k < m$. Let us set $\varphi_i(p_1, \dots, p_{m-1}, x) = \varphi'_i(p_1, \dots, p_{k-1}, x)$, notice that the parameters p_k, \dots, p_{m-1} are not used.

¹²Rank is defined in (1.29)

472

473 Next, let's construct M from given M_0 by induction.

$$M_{i+1} = M_i \cup \bigcup_{j=0}^n \{H_j(p_1, \dots, p_{m-1}) : p_1, \dots, p_{m-1} \in M_i\} \quad (2.52)$$

474

475 In other words, in each step we include into the construction the elements
 476 satisfying $\varphi(p_1, \dots, p_{m-1}, x)$ for p_1, \dots, p_{m-1} from the previous step. For
 477 statement (ii), this is the only part that differs from (i). To end up with a
 478 transitive M , we need to extend every step to its transitive closure
 479 closure of M_{i+1} from (i). In other words, let γ be the smallest ordinal such
 that

$$(M_i^T \cup \bigcup_{j=0}^n \{\bigcup \{H_j(p_1, \dots, p_{m-1}) : p_1, \dots, p_{m-1} \in M_i\}\}) \subset V_\gamma \quad (2.53)$$

480

Then the incremental step is

$$M_{i+1}^T = V_\gamma \quad (2.54)$$

481

and the final M is obtained by joining the previous steps.

$$M = \bigcup_{i=0}^{\infty} M_i, \quad M^T = \bigcup_{i=0}^{\infty} M_i^T = V_\lambda \text{ for some limit } \lambda. \quad (2.55)$$

482

483 We have yet to finish part (iii). Let's try to construct a set M' that
 484 satisfies the same conditions like M but is kept as small as possible. As-
 485 suming the Axiom of Choice, we can modify the construction so that the
 486 cardinality of M' is at most $|M_0| \cdot \aleph_0$. Note that the size of M in the previous
 487 construction is determined by the size of M_0 and, most importantly, by the
 488 size of $H_i(p_1, \dots, p_{m-1})$ for every i , $1 \leq i \leq n$ in individual iterations of
 489 the construction. Since (i) only ensures the existence of an x that satisfies
 490 $\varphi_i(p_1, \dots, p_{m-1}, x)$ for any i , $1 \leq i \leq n$, we only need to add one x for ev-
 491 ery set of parameters but $H_i(u_1, \dots, u_{m-1})$ can be arbitrarily large. Let F be
 492 a choice function on $\mathcal{P}(M')$. Also let $h_i(p_1, \dots, p_{m-1}) = F(H_i(p_1, \dots, p_{m-1}))$
 493 for i , where $1 \leq i \leq n$, which means that h is a function that outputs an x
 494 that satisfies $\varphi_i(p_1, \dots, p_{m-1}, x)$ for i such that $1 \leq i \leq n$ and has minimal
 495 rank among all such sets. The induction step needs to be redefined to

$$M'_{i+1} = M'_i \cup \bigcup_{j=0}^n \{h_j(p_1, \dots, p_{m-1}) : p_1, \dots, p_{m-1} \in M'_i\} \quad (2.56)$$

496 This way, the amount of elements added to M'_{i+1} in each step of the con-
 497 struction is the same as the amount of m -tuples of parameters that yielded
 498 elements not included in M'_i . It is easy to see that if M_0 is finite, M' is
 499 countable because it was constructed as a countable union of sets that are
 500 themselves at most countable. If M_0 is countable or larger, the cardinality
 501 of M' is equal to the cardinality of M_0 .¹³ Therefore $|M'| \leq |M_0| \cdot \aleph_0$ \square

502 **Theorem 2.9** (*Lévy's first-order reflection theorem*)

503 Let $\varphi(p_1, \dots, p_n)$ be a first-order formula.

504 (i) For every set M_0 there exists a set M such that $M_0 \subset M$ and the
 505 following holds:

$$\varphi^M(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n) \quad (2.57)$$

506 for every $p_1, \dots, p_n \in M$.

507 (ii) For every set M_0 there is a transitive set M , $M_0 \subset M$ such that the
 508 following holds:

$$\varphi^M(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n) \quad (2.58)$$

509 for every $p_1, \dots, p_n \in M$.

510 (iii) For every set M_0 there is a limit ordinal λ such that $M_0 \subset V_\lambda$ and the
 511 following holds:

$$\varphi^{V_\lambda}(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n) \quad (2.59)$$

512 for every $p_1, \dots, p_n \in M$.

513 (iv) Assuming Choice, for every set M_0 there is M such that $M_0 \subset M$ and
 514 $|M| \leq |M_0| \cdot \aleph_0$ and the following holds:

$$\varphi^M(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n) \quad (2.60)$$

515 for every $p_1, \dots, p_n \in M$.

516 *Proof.* Let's now prove (i) for given φ via induction by complexity. We
 517 can safely assume that φ contains no quantifiers besides " \exists " and no logical
 518 connectives other than " \neg " and " $\&$ ". Let $\varphi_1, \dots, \varphi_n$ be all subformulas of φ .
 519 Then there is a set M , obtained by the means of lemma (2.8), for all of the
 520 formulas $\varphi_1, \dots, \varphi_n$.

¹³It can not be smaller because $|M'_{i+1}| \geq |M'_i|$ for every i . It may not be significantly larger because the maximum of elements added is the number of n -tuples in M'_i , which is of the same cardinality as M'_i .

Let's first consider atomic formulas in the form of either $x_1 = x_2$ or $x_1 \in x_2$. It is clear from relativisation¹⁴ that (2.57) holds for both cases, $(x_1 = x_2)^M \leftrightarrow (x_1 = x_2)$ and $(x_1 \in x_2)^M \leftrightarrow (x_1 \in x_2)$.

We now want to verify the inductive step. First, take $\varphi = \neg\varphi'$. From relativization, we get $(\neg\varphi')^M \leftrightarrow \neg(\varphi'^M)$. Because the induction hypothesis tells us that $\varphi'^M \leftrightarrow \varphi'$, the following holds:

$$(\neg\varphi')^M \leftrightarrow \neg(\varphi'^M) \leftrightarrow \neg\varphi' \quad (2.61)$$

The same holds for $\varphi = \varphi_1 \& \varphi_2$. From the induction hypothesis, we know that $\varphi_1^M \leftrightarrow \varphi_1$ and $\varphi_2^M \leftrightarrow \varphi_2$, which together with relativization for formulas in the form of $\varphi_1 \& \varphi_2$ gives us

$$(\varphi_1 \& \varphi_2)^M \leftrightarrow \varphi_1^M \& \varphi_2^M \leftrightarrow \varphi_1 \& \varphi_2 \quad (2.62)$$

Let's now examine the case when $\varphi = \exists x\varphi'(p_1, \dots, p_n, x)$. The induction hypothesis tells us that $\varphi'^M(p_1, \dots, p_n, x) \leftrightarrow \varphi'(p_1, \dots, p_n, x)$, so, together with above lemma (2.8), the following holds:

$$\begin{aligned} & \varphi(p_1, \dots, p_n, x) \\ & \leftrightarrow \exists x\varphi'(p_1, \dots, p_n, x) \\ & \leftrightarrow (\exists x \in M)\varphi'(p_1, \dots, p_n, x) \\ & \leftrightarrow (\exists x \in M)\varphi'^M(p_1, \dots, p_n, x) \\ & \leftrightarrow (\exists x\varphi'(p_1, \dots, p_n, x))^M \\ & \leftrightarrow \varphi^M(p_1, \dots, p_n, x) \end{aligned} \quad (2.63)$$

Which is what we wanted to prove for part (i).

We now need to verify that the same holds for any finite number of formulas $\varphi_1, \dots, \varphi_n$. This has in fact been already done since lemma (2.8) gives us a set M for any finite amount of formulas and given M_0 . We can therefore find a set M for the union of all of their subformulas. When we obtain such M , it should be clear that it also reflects every formula in $\varphi_1, \dots, \varphi_n$.

Since V_λ is a transitive set, by proving (iii) we also satisfy (ii). To do so, we only need to look at part (ii) of lemma (2.8). All of the above proof also holds for $M = V_{\text{lambda}}$.

¹⁴See (1.40). This only holds for relativization to $M, \in \cap M \times M$, not M, R for an arbitrary R .

545 To finish part (iv), we take M of size $\leq |M_0| \cdot \aleph_0$, which exists due to
 546 part (iii) of lemma (2.8), the rest being identical. \square

547
 548 Let \mathbf{S} be a set theory defined in (1.18), for ZFC see definition (1.20).

549 The two following lemmas are based on [Drake, 1974][Chapter 3, Theorem
 550 1.2].

551 **Lemma 2.10** *If M is a transitive set, then $M \models \text{Extensionality}$.*

552 *Proof.* Given a transitive set M , we want to show that the following holds.

$$M \models \forall x, y (x = y \leftrightarrow \forall z (z \in x \leftrightarrow z \in y)) \quad (2.64)$$

553 Given arbitrary $x, y \in M$, we want to prove that $M \models (x = y \leftrightarrow \forall z (z \in$
 554 $x \leftrightarrow z \in y))$. This is equivalent to $M \models x = y$ iff $M \models \forall z (z \in x \leftrightarrow z \in y)$,
 555 which is the same as $x = y$ iff $M \models \forall z (z \in x \leftrightarrow z \in y)$.

556 So all elements of x are also elements of y in M , and vice versa. Because
 557 M is transitive, all elements of x and y are in M , so $M \models \forall z (z \in x \leftrightarrow z \in y)$
 558 holds iff x and y contain the same elements and are therefore equal. \square

559 **Lemma 2.11** *If M is a transitive set, then $M \models \text{Foundation}$.*

560 *Proof.* We want to prove the following:

$$M \models \forall x (x \neq \emptyset \rightarrow (\exists y \in x) (x \cap y = \emptyset)) \quad (2.65)$$

561 Given an arbitrary non-empty $x \in M$ let's show that $M \models (\exists y \in x) (x \cap$
 562 $y = \emptyset)$.

563 Because M is transitive, every element of x is an element of M . Take for
 564 y the element of x with the lowest rank¹⁵. It should be clear that there is no
 565 $z \in y$ such that $z \in x$, because then $\text{rank}(z) < \text{rank}(y)$, which would be a
 566 contradiction. \square

567 Let \mathbf{S} be a set theory as defined in (1.18).

568 **Lemma 2.12** *The following holds for every λ .*

$$“\lambda \text{ is a limit ordinal}” \rightarrow V_\lambda \models \mathbf{S} \quad (2.66)$$

569 *Proof.* Given an arbitrary limit ordinal λ , we will verify the axioms of \mathbf{S} one
 570 by one.

571 (i) *The existence of a set* comes from the fact that V_λ is a non-empty set
 572 because limit ordinal is non-zero by definition.

¹⁵Rank is defined in (1.29).

573 (ii) *Extensionality* holds from (2.10).

574 (iii) *Foundation* holds from (2.11).

575 (iv) *Union*:

576 Given any $x \in V_\lambda$, we want verify that $y = \bigcup x$ is also in V_λ . Note that
 577 $y = \bigcup x$ is a Δ_0 -formula.

$$y = \bigcup x \leftrightarrow (\forall z \in y)(\exists q \in x)z \in q \ \& \ (\forall z \in x)(\forall q \in z)q \in y \quad (2.67)$$

578 So by lemma (1.43)

$$y = \bigcup x \leftrightarrow V_\lambda \models y = \bigcup x \quad (2.68)$$

579 (v) *Pairing*:

580 Given two sets $x, y \in V_\lambda$, we want to show that $z = \{x, y\}$ is also an
 581 element of V_λ .

$$z = \{x, y\} \leftrightarrow x \in z \ \& \ y \in z \ \& \ (\forall q \in z)(q = x \vee q = y) \quad (2.69)$$

582 So $z = \{x, y\}$ is a Δ_0 -formula, and thus by lemma (1.43) it holds that

$$z = \{x, y\} \leftrightarrow V_\lambda \models z = \{x, y\} \quad (2.70)$$

583 (vi) *Powerset*:

584 Given any $x \in V_\lambda$, we want to make sure that $\mathcal{P}(x) \in V_\lambda$. Let $\varphi(y)$ denote
 585 the formula $y \in \mathcal{P}(x) \leftrightarrow y \subset x$. according to definition of subset (1.4),
 586 $y \subset x$ is Δ_0 , so for any given $x, y \in V_\lambda$, $y = \mathcal{P}(x) \leftrightarrow V_\lambda \models y = \mathcal{P}(x)$.
 587 Because λ is limit and $\text{rank}(\mathcal{P}(x)) = \text{rank}(x) + 1$, if $\mathcal{P}(x) \in V_\lambda$ for every
 588 $x \in V_\lambda$.

589 (vii) *Specification*:

590 Given a first-order formula φ , we want to show the following:

$$V_\lambda \models \forall x, p_1, \dots, p_n, \exists y \forall z (z \in y \leftrightarrow z \in x \ \& \ \varphi(z, p_1, \dots, p_n)) \quad (2.71)$$

591 Given any x along with parameters p_1, \dots, p_n in V_λ , we set

$$y = \{z \in x : \varphi^{V_\lambda}(z, p_1, \dots, p_n)\} \quad (2.72)$$

592 From transitivity of V_λ and the fact that $y \subset x$ and $x \in V_\lambda$, we know that
 593 $y \in V_\lambda$, so $V_\lambda \models \forall z (z \in y \leftrightarrow z \in x \ \& \ \varphi(z, p_1, \dots, p_n))$.
 594 □

595 **Definition 2.13** (*First-Order Reflection Schema*)

596 For every first-order formula φ , the following is an axiom:

$$\forall M_0 \exists M (M_0 \subseteq M \ \& \ (\varphi(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n)^M)) \quad (2.73)$$

597 We will refer to this axiom schema as First-order reflection.

598 Let *Infinity* and *Replacement* be as defined in (1.10) and (1.16) respec-
599 tively.

600 **Theorem 2.14** First-order reflection *is equivalent to* Infinity & Replace-
601 ment *under* S.

602 *Proof.* Since (2.9) already gives us one side of the implication, we are only
603 interested in showing the converse which we shall do in two parts:

604 *First-order reflection \rightarrow Infinity* This is done exactly like (2.6). We pick
605 for φ the formula $(\forall y \in x)(y \cup \{y\} \in x)$, $M_0 = \{\emptyset\}$. From (2.13), there is a
606 set M that satisfies φ , so there is an inductive set. We have picked M_0 so
607 that $\emptyset \in M$ obviously holds and M is the witness for

$$\exists x(\emptyset \in x \ \& \ (\forall y \in x)(y \cup \{y\} \in x)) \quad (2.74)$$

608 which is exactly (1.10).

609

610 *First-order reflection \rightarrow Replacement*

611 Let's first point out that while *First-order reflection* gives us a set for
612 one formula, we can generalize it to hold for any finite number of formulas.
613 We will show how is it done for two formulas, which is what we will use in
614 this proof. Given two first-order formulas φ, ψ , we can suppose that there
615 are formulas φ' and ψ' that are equivalent to φ and ψ respectively, but their
616 free variables are different ¹⁶. Let $\xi = \varphi \ \& \ \psi$, given any M_0 , we can find a
617 M such that $\xi \leftrightarrow \xi^M$. It is easy to see that from relativisation, the following
618 holds:

$$\varphi \ \& \ \psi \leftrightarrow \varphi' \ \& \ \psi' \leftrightarrow \xi \leftrightarrow \xi^M \leftrightarrow (\varphi' \ \& \ \psi')^M \leftrightarrow \varphi'^M \ \& \ \psi'^M \leftrightarrow \varphi^M \ \& \ \psi^M \quad (2.75)$$

619 Now given a function $\varphi(x, y)$, we know from *First-order reflection* that
620 for every M_0 , there is a set M such that $M_0 \subseteq M$ and both

$$(\forall x, y \in M)(\varphi(x, y) \leftrightarrow \varphi^M(x, y)) \quad (2.76)$$

621 and

$$(\forall x, y \in M)(\exists y \varphi(x, y) \leftrightarrow (\exists y \varphi(x, y))^M) \quad (2.77)$$

622 hold, the latter being equivalent to

$$(\forall x, y \in M)(\exists y \varphi(x, y) \leftrightarrow (\exists y \in M) \varphi^M(x, y)) \quad (2.78)$$

¹⁶This is plausible since we can for example substitute all free variables in φ' for x_0, x_2, x_4, \dots and use x_1, x_3, x_5, \dots for free variables in ψ' , the resulting formulas will be equivalent.

623 Therefore

$$(\forall x, y \in M)(\exists y \varphi(x, y) \leftrightarrow (\exists y \in M)\varphi(x, y)) \quad (2.79)$$

624 holds too. That means that we have a set M such that for every $x \in M$, if
625 φ is defined for x , $(\exists y \in M)\varphi(x, y)$.

626 To show that *Replacement* holds for this particular φ , we need to verify
627 that given a set M_0 , $M'_0 = \{y : (\exists x \in M_0)\varphi(x, y)\}$ is also a set. But since
628 $M_0 \subseteq M$ and because given any $x \in M$, there is $y \in M$ satisfying $\varphi(x, y)$,
629 the following is a set due to *Specification*:

$$M'_0 = \{y : (\exists x \in M_0)\varphi(x, y)\} = \{y \in M : (\exists x \in M_0)\varphi(x, y)\} \quad (2.80)$$

630

□

631

632 We have shown that *Reflection* for first-order formulas, *First-order reflec-*
633 *tion* is a theorem of ZFC. We have also shown that it can be used instead of
634 the *Infinity* and *Replacement* scheme, but ZFC + *First-order reflection* is
635 a conservative extension of ZF. Besides being a starting point for more gen-
636 eral and powerful statements, it can be used to show that ZF is not finitely
637 axiomatizable. This follows from the fact that *Reflection* gives a model to
638 any consistent finite set of formulas. So if $\varphi_1, \dots, \varphi_n$ would be the axioms
639 of ZFC, *Reflection* would prove that every model of ZFC contains a smaller
640 model of ZFC, which would in turn contradict the Second Gödel's Theorem¹⁷.

641 It is also worthwhile to note that, in a way, Reflection is dual to compact-
642 ness. Compactness says that given a set of sentences, if every finite subset
643 yields a model, so does the whole set. Reflection, on the other hand, says
644 that while the whole set has no model in the underlying theory, every finite
645 subset has a model.

646 Furthermore, *Reflection* can be used in ways similar to upward Löwenheim–Skolem
647 theorem. Since Reflection extends any set M_0 into a model of given formulas
648 $\varphi_1, \dots, \varphi_n$, we can choose the lower bound of the size of M by appropriately
649 choosing M_0 .

650 In the next section, we will try to generalize *Reflection* in a way that
651 transcends ZF and yields some large cardinals.

¹⁷See chapter ?? for further details.

3 Reflection And Large Cardinals

3.1 Regular Fixed-Point Axioms

Lévy's article mentions various schemata that are not instances of reflection per se, but deal with fixed points of normal ordinal functions. We will introduce them and show that they are equivalent to *First-Order Reflection*¹⁸.

Lemma 3.1 (*Fixed-point lemma for normal functions*)

Let f be a normal function defined for all ordinals¹⁹. Then all of the following hold:

- (i) $\forall \lambda$ ("λ is a limit ordinal" \rightarrow " $f(\lambda)$ is a limit ordinal")
- (ii) $\forall \alpha (\alpha \leq f(\alpha))$
- (iii) $\forall \alpha \exists \beta (\alpha < \beta \ \& \ f(\beta) = \alpha)$
- (iv) The fixed points of f form a closed unbounded class.²⁰

Proof. Let f be a normal function defined for all ordinals.

- (i) Suppose λ is a limit ordinal. For an arbitrary ordinal $\alpha < \lambda$, the fact that f is strictly increasing means that $f(\alpha) < f(\lambda)$ and for any ordinal β , satisfying $\alpha < \beta < \lambda$, $f(\alpha) < f(\beta) < f(\lambda)$. We know that there is such β from limitness of λ . Because f is continuous and λ is limit, $f(\lambda) = \bigcup_{\gamma < \lambda} f(\gamma)$. That means that if λ is limit, so is $f(\lambda)$.

- (ii) This step will be proven using the transfinite induction. Since f is defined for all ordinals, there is an ordinal α such that $f(\emptyset) = \alpha$ and because \emptyset is the least ordinal, (ii) holds for \emptyset .

Suppose (ii) holds for some β from the induction hypothesis. It then holds for $\beta + 1$ because f is strictly increasing.

For a limit ordinal λ , suppose (ii) holds for every $\alpha < \lambda$. (i) implies that $f(\lambda)$ is also limit, so there is a strictly increasing κ -sequence $\langle \alpha_0, \alpha_1, \dots \rangle$ for some κ such that $\lambda = \bigcup_{i < \kappa} \alpha_i$. Because f is strictly increasing, the κ -sequence $\langle f(\alpha_0), f(\alpha_1), \dots \rangle$ is also strictly increasing, the induction hypothesis implies that $\alpha_i \leq f(\alpha_i)$ for each $i \leq \kappa$. Thus, $\lambda \leq f(\lambda)$.

- (iii) For a given ordinal α , let there be an ω -sequence $\langle \alpha_0, \alpha_1, \dots \rangle$, such that $\alpha_0 = \alpha$ and $\alpha_{i+1} = f(\alpha_i)$ for each $i < \omega$. This sequence is strictly increasing because so is f . Now, there's a limit ordinal $\beta = \bigcup_{i < \omega} \alpha_i$, we want to show that this is the fixed point. So $f(\beta) = f(\bigcup_{i < \omega} \alpha_i) = \bigcup_{i < \omega} f(\alpha_i)$ because f is continuous. We have defined the above sequence so that $\beta, \bigcup_{i < \omega} f(\alpha_i) = \bigcup_{i < \omega} \alpha_{i+1}$, which means we are done, since $\bigcup_{i < \omega} \alpha_{i+1} = \bigcup_{i < \omega} \alpha_i = \beta$.

¹⁸For definition, see (2.13).

¹⁹For the definition of normal function, see (1.48).

²⁰See (1.52.) for the definition of closed class, (1.50) for the definition of unboundedness.

(iv) The class of fixed points of f is obviously unbounded by (iii). It remains to show that it is closed, this is based on [Drake, 1974], chapter 4. Let Y be a non-empty set of fixed points of f such that $\bigcup Y \notin Y$. Since f is defined on ordinals, Y is a set of ordinals, so $\bigcup Y$ is an ordinal because a supremum of a set of ordinals is an ordinal. $\bigcup Y$ is a limit ordinal. If it were a successor ordinal, suppose that $\alpha + 1 = \bigcup Y$, then $\alpha \in \bigcup Y$, which means that there is some x such that $\alpha \in x \in Y$. But the least such x is $\alpha + 1$, so $\bigcup Y \in Y$.
 Note that $\alpha < \bigcup Y$ iff $\exists \xi \in Y (\alpha < \xi)$. Since f is defined for all ordinals and $\bigcup Y$ is a limit ordinal, $f(\bigcup Y) = \bigcup_{\alpha \in Y} f(\alpha)$, but because Y is a set of fixed points of f , $f(\bigcup Y) = \bigcup_{\alpha \in Y} \alpha = \bigcup Y$, so $\bigcup Y$ is also a limit point of Y .

□

Lemma 3.2 *Let α be a limit ordinal. Then the following hold:*

- (i) *If C is a club set in α , then there is an ordinal β and a normal function $f : \beta \rightarrow \alpha$ such that $\text{rng}(f) = C$. We say that f enumerates C .*
- (ii) *If β is an ordinal and f is a normal function such that $f : \beta \rightarrow \alpha$ and $\text{rng}(f)$ is unbounded in α , then $\text{rng}(f)$ is a closed unbounded set in α .*

This proof comes from (<http://euclid.colorado.edu/~monkd/m6730/gradsets09.pdf> TODO cite!) *Proof.*

- (i) Let β be the order-type²¹ of C , let f be the isomorphism from β onto C . Since $C \subseteq \alpha$, f is also an increasing function from β into α . In order to be continuous, let γ be a limit ordinal under β , let $\epsilon = \bigcup_{\delta < \gamma} f(\delta)$. We want to verify that $f(\gamma) = \epsilon$. Since ϵ is a limit ordinal, we only need to show that $C \cap \epsilon$ is unbounded in ϵ .
 Take $\zeta < \epsilon$. Then there is a $\delta < \gamma$ such that $\zeta < f(\delta)$. Since γ is limit, $\delta + 1 < \gamma$ and also $f(\delta + 1) < f(\gamma)$, we know that $f(\delta) \in C \cap \epsilon$. But that means that $C \cap \epsilon$ is unbounded in ϵ , so $\epsilon \in C$. We have also shown that ϵ is closed unbounded in the image of γ over f . Therefore, $f(\gamma) = \epsilon = \bigcup_{\delta < \gamma} f(\delta)$, so f is normal.
- (ii) TODO (potrebuj to?)

□ It

should be clear that while this lemma works with club subsets of an ordinal, we can formulate analogous statement for club classes, which then yields a normal function defined for all ordinals, with the only exception that there is no such β because f is a function from Ord to Ord .

²¹See definition (1.30).

723 **Definition 3.3** (Axiom Schema M_1)

724 “Every normal function defined for all ordinals has at least one inaccessible
725 number in its range.”

726 Lévy uses “ M ” to refer to this axiom but since we also use “ M ” for sets and
727 models, for example in (2.13), we will call the above axiom “*Axiom Schema*
728 M_1 ” to avoid confusion.

729 Let $\varphi(x, y, p_1, \dots, p_n)$ be a first-order formula with no free variables be-
730 sides x, y, p_1, \dots, p_n . The following is equivalent to *Axiom* M_1 .

$$\begin{aligned} & \text{“}\varphi \text{ is a normal function”} \ \& \ \forall x(x \in \text{Ord} \rightarrow \exists y(\varphi(x, y, p_1, \dots, p_n))) \rightarrow \\ & \rightarrow \exists y(\exists x \varphi(x, y, p_1, \dots, p_n) \ \& \ cf(y) = y \ \& \ (\forall x \in \kappa)(\exists y \in \kappa)(x > y)) \end{aligned} \quad (3.81)$$

731 **Definition 3.4** (Axiom Schema M_2)

732 “Every normal function defined for all ordinals has at least one fixed point
733 which is inaccessible.”

734 **Definition 3.5** (Axiom Schema M_3)

735 “Every normal function defined for all ordinals has arbitrarily great fixed
736 points which are inaccessible.”

737 Similar axiom is proposed in [Drake, 1974].

738 **Definition 3.6** (Axiom Schema F)

739 “Every normal function has a regular fixed point.”

740 **Lemma 3.7** Let f be a normal function defined for all ordinals.

- 741 (i) There is a normal function g_1 defined for all ordinals that enumerates
742 the class $\{\alpha : f(\alpha) = \alpha \ \& \ \alpha \in \text{Ord}\}$.
743 (ii) There is a normal function g_2 defined for all ordinals that enumerates
744 the class $\{\lambda : \text{“}f(\lambda) \text{ is a strong limit cardinal.”}\}$.

745 *Proof.* We know that (ii) holds from lemma (3.1) and lemma (3.2).

746 For (i), It should be clear that there is no largest strong limit ordinal ν ,
747 because the limit of $\nu, \mathcal{P}(\nu), \mathcal{P}(\mathcal{P}(\nu)), \dots$ is again a limit ordinal. The class
748 of limit ordinals is closed because a limit of strong limit ordinals is clearly
749 always a strong limit ordinal. Let h be a function enumerating limit ordinals
750 which exists from lemma (3.2). Then $g_1(\alpha) = f(h(\alpha))$ for every ordinal α is
751 normal and defined for all ordinals. \square

752 The following is *Theorem 1* in [Lévy, 1960], the parts dealing with *Axiom*
753 *Schema* F come from [Drake, 1974].

754 **Theorem 3.8** *The following are all equivalent:*

- 755 (i) Axiom Schema M_1
- 756 (ii) Axiom Schema M_2
- 757 (iii) Axiom Schema M_3
- 758 (iv) Axiom Schema F

759 *Proof.* It is clear that *Axiom Schema M_3* is a stronger version of *Axiom*
 760 *Schema M_2* , which is in turn a stronger version of both *Axiom Schema M_1*
 761 and *Axiom Schema F_1* .

762 We will now prove that *Axiom Schema $F \rightarrow$ Axiom Schema M_2* . Lemma
 763 (3.7) tells us that given a normal function f defined for all ordinals, there is
 764 a normal function g_1 defined for all ordinals that enumerates the fixed-points
 765 of f . There is also a function g_2 that enumerates the strong limit ordinals in
 766 $\text{rng}(f)$. By *Axiom Schema F* , g_2 has a regular fixed-point κ , which is also a
 767 strong limit ordinal, so

$$f(\kappa) = g_2(\kappa) = \kappa \text{ and } \kappa \text{ is inaccessible.} \quad (3.82)$$

768 So every normal function d.f.a.o. has a regular fixed-point.

769 We have yet to show *Axiom Schema $M_1 \rightarrow$ Axiom Schema M_3* . Again
 770 by lemma (3.7), there is a normal function g defined for all ordinals that
 771 enumerates the fixed points of f . Let $h_\alpha(\beta) = g(\alpha + \beta)$ for any given ordinal
 772 α , then h_α is a normal function defined for all ordinals. Then, given an
 773 arbitrary α , from *Axiom Schema M_1* , there is a β such that $\gamma = h_\alpha(\beta)$ is
 774 inaccessible. Because $\gamma = g(\alpha + \beta)$, $f(\gamma) = \gamma$. Since $\alpha \leq f'(\alpha)$ for any
 775 ordinal α and any normal function f' , we know that $\alpha \leq \alpha + \gamma \leq \gamma$, so γ is
 776 inaccessible and arbitrarily large, depending on the choice of α . \square

777 But how do those schemata relate to reflection? Let's introduce a stronger
 778 version of *First-order reflection schema* from the previous chapter to see it
 779 more clearly. But in order to do this, we must establish the inaccessible
 780 cardinal first.

781 3.2 Inaccessible Cardinal

782 **Definition 3.9** *An uncountable cardinal κ is inaccessible iff it is regular*
 783 *and strongly limit. We write $\text{In}(\kappa)$ to say that κ is an inaccessible cardinal.*

784 An uncountable cardinal that is regular and limit is called a *weakly in-*
 785 *accessible cardinal*, we will only use the (strongly) inaccessible cardinal, but
 786 most of the results are similar for weakly inaccessible, including higher types
 787 of ordinals that will be presented later in this chapter.

788 **Theorem 3.10** *Let κ be an inaccessible cardinal.*

$$V_\kappa \models \text{ZFC} \quad (3.83)$$

789 We will prove this theorem in a way similar to [Kanamori, 2003]. *Proof.*
 790 Most of this is already done in lemma (2.12), we only need to verify that
 791 *Replacement* and *Infinity* axioms hold in V_κ .

792 *Infinity* holds because κ is uncountable, so $\omega \in V_\kappa$.

793 To verify *Replacement*, let x be an element of V_κ and f a function from
 794 x to V_κ . Let $y = \{z \in V_\kappa : (\exists q \in x)f(q) = z\}$, so $y \subset V_\kappa$, it remains to show
 795 that $y \in V_\kappa$. Because f is a function, we know that $|y| \leq |x| \leq \kappa$. But since
 796 κ is regular, $\{\text{rank}(z) : z \in y\} \subseteq \alpha$ for some $\alpha < \kappa$, and so $x \in V_{\alpha+1} \subseteq V_\kappa$.
 797 Therefore $y \in V_\kappa$. \square

798 **Definition 3.11** (*Inaccessible Reflection Schema*)

799 *For every first-order formula φ , the following is an axiom:*

$$\forall M_0 \exists \kappa (M_0 \subseteq V_\kappa \ \& \ In(\kappa) \ \& \ (\varphi(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n)^{V_\kappa})) \quad (3.84)$$

800 *We will refer to this axiom schema as Inaccessible reflection schema.*

801 We have added the requirement that α is inaccessible, which trivially
 802 means that there is an inaccessible cardinal. By taking appropriate M_0 ,
 803 it can be shown that in a theory that includes the *Inaccessible reflection*
 804 *schema*, there is a closed unbounded class of inaccessible cardinals. Since we
 805 know that for an inaccessible κ , V_κ is a model of ZFC, *Inaccessible reflection*
 806 *schema* is equivalent to

$$\forall M_0 \exists \kappa (M_0 \subseteq V_\kappa \ \& \ V_\kappa \models \text{ZFC} \ \& \ (\varphi(p_1, \dots, p_n) \leftrightarrow \varphi(p_1, \dots, p_n)^{V_\kappa})) \quad (3.85)$$

807 because we have proven in the last section that for an inaccessible κ , $V_\kappa \models \text{ZFC}$.

808 **Theorem 3.12** *Inaccessible reflection schema is equivalent to Axiom schema*
 809 *F.*

810 This is *Theorem 4.1* in chapter four of [Drake, 1974], also equivalent to
 811 *Theorem 3* in [?]. *Proof.* Let's start by showing that *Inaccessible reflection*
 812 *schema* implies *Axiom schema F*. It should be clear that we can reflect two
 813 formulas to a single set, just form a new formula as a conjunction of universal
 814 closures of the two.

815 Given a normal function f defined for all ordinals, we want to show that it
 816 has a regular fixed point. For any ordinal α , there is an ordinal κ such that

$$\alpha < \kappa \ \& \ In(\kappa) \ \& \ (\forall \gamma, \delta \in V_\kappa) (f(\gamma) = \delta \leftrightarrow (f(\gamma) = \delta)^{V_\kappa}) \quad (3.86)$$

817 and

$$\alpha < \kappa \ \& \ In(\kappa) \ \& \ \forall \gamma \exists \delta (f(\gamma) = \delta) \leftrightarrow (\forall \gamma \exists \delta f(\gamma) = \delta)^{V_\kappa} \quad (3.87)$$

818 Since V_κ is the set of all sets of rank less than κ and since every ordinal is the
819 rank of itself, there is an inaccessible ordinal κ such that

$$\forall \gamma < \kappa \exists \delta < \kappa (f^{V_\kappa}(\gamma) = \delta) \quad (3.88)$$

820 We also know that $f(\gamma) = \delta \leftrightarrow (f(\gamma) = \delta)^{V_\kappa}$. Now since κ is a limit ordinal
821 and f is continuous we get

$$f(\kappa) = \bigcup_{\gamma < \kappa} f^{V_\kappa}(\gamma) = \bigcup_{\gamma < \kappa} f(\gamma). \quad (3.89)$$

822 From (3.88) and the fact that f is increasing, we know that $\kappa \leq \bigcup_{\gamma < \kappa} f(\gamma) \leq \kappa$.
823 Therefore κ is an inaccessible fixed point of f .

824 For the opposite direction, it suffices to show that since there is an inacces-
825 sible cardinal from *Axiom schema F*, given a first-order formula φ , there is an
826 arbitrarily large inaccessible cardinal κ for which

$$\varphi \leftrightarrow V_\kappa \models \varphi. \quad (3.90)$$

827 Note that the arbitrary size of κ means given an arbitrary ordinal α , there is a κ
828 satisfying (3.90). In the previous chapter, in theorem (2.9), we have shown that
829 we can easily obtain a limit ordinal satisfying (3.90). Note that since for any set
830 M_0 , there is such α that $M_0 \subseteq V_\alpha$, there is a closed unbounded class of sets
831 satisfying (3.90), which are levels in the cumulative hierarchy, so there is a club
832 sets of κ s satisfying (3.90).

833 Let f be a normal function defined for all ordinals that enumerates this club
834 class, there is such by lemma (3.2). Let g be the function that enumerates
835 strong limit ordinals in $rng(f)$. Then g has a regular fixed point κ , which is also
836 a regular fixed point of f , so (3.90) holds for κ .

837 □

838 Definition 3.13 (ZMC)

839 We will call ZMC an axiomatic set theory that contains all axioms and schemas
840 of ZFC together with Axiom Schema M_1 .

841 We have decided to call it ZMC, because Lévy uses ZM, derived from ZF, which
842 is more intuitive, but we also need the axiom of choice, thus, ZMC.

3.3 Mahlo Cardinals

We have shown that ZMC contains arbitrarily large inaccessible cardinals. To return to reflection-style argument, is there a set that satisfies this property? To be able to properly answer this question, we have to formulate the notion of "containing arbitrarily large cardinals" more carefully. While we have previously used club sets, this is not an option because inaccessible cardinals don't form a club class in ZMC²², we could try to formulate stronger versions of *Axiom Schema M_1* .

Let's shortly review what *Axiom Schema M_1* says. We have shown earlier in this chapter that there is a simple relation between normal function defined for all ordinals and closed unbounded classes. So by saying that for a class of ordinals C , a normal function f has at least one element of C in its range, we say that C is stationary. Or, as Drake puts it for C , the class of inaccessible cardinals, and a κ , in which C is stationary:

"The class of inaccessible cardinals is so rich that there are members κ of the class such that no normal function on κ can avoid this class; however we climb through κ , provided we are continuous at limits (so that we are enumerating a closed subset of κ), we shall eventually have to hit an inaccessible."

Definition 3.14 (Mahlo Cardinal)

We say that κ is a Mahlo Cardinal iff it is an inaccessible cardinal and the set $\{\lambda < \kappa : \lambda \text{ is inaccessible}\}$ is stationary in κ .

Alternatively, κ is Mahlo iff $V_\kappa \models \text{ZMC}$ as shown above, this is also sometimes written as *Ord is Mahlo*. There are also *weakly Mahlo cardinals*, that are defined via weakly inaccessible cardinal below them, Mahlo cardinals are then also called *strongly Mahlo* to highlight the difference, but we will only use the term *Mahlo cardinal*.

Mahlo cardinals are related to reflection principles in an interesting way. Note that given a formula φ , reflection gave us a club set of ordinals α such that V_α reflects φ , all below the first inaccessible cardinal. We have then used a different reflection schema to obtain arbitrarily high inaccessible cardinals. Now we have a cardinal in which this reflection schema holds, so we're in fact reflecting reflection. Beware that this is done rather informally, because *Axiom Schema M_1* is a countable set of axioms, which is too large to be reflected via the schemas introduced so far. One way to deal with this would be to extend reflection for second- and possibly higher-order formulas, but we would have to be very careful with the notion of satisfaction. For now, explore where can stationary sets take us because as we have shown, their connection to reflection is quite clear.

²²Note that cofinality of the limit of the first ω inaccessible cardinals is ω , which makes it singular.

880 What would happen if we strengthened *Axiom Schema M_1* to say that every
 881 normal function has a Mahlo cardinal in its range?

882 **Definition 3.15** (*hyper-Mahlo cardinal*)

883 We say that κ is a hyper-Mahlo cardinal iff it is inaccessible and the set $\{\lambda < \kappa :$
 884 $\lambda \text{ is Mahlo}\}$ is stationary in κ .

885 **Definition 3.16** (*hyper-hyper-Mahlo cardinal*)

886 We say that κ is a hyper-hyper-Mahlo cardinal iff it is inaccessible and the set
 887 $\{\lambda < \kappa : \lambda \text{ is hyper-Mahlo}\}$ is stationary in κ .

888 It is clear that one can continue in this direction, but the nomenclature gets
 889 increasingly overwhelming even if we introduce *hyper $^\alpha$ -Mahlo cardinals*.

890 TODO Mahlo operation

891 **4 Conclusion**

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