

1 Univerzita Karlova v Praze, Filozofická fakulta
2 Katedra logiky

3 MIKLUÁŠ MRVA

4 REFLECTION PRINCIPLES AND LARGE
5 CARDINALS
6 Bakalářská práce

7 Vedoucí práce: Mgr. Radek Honzík, Ph.D.

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¹⁰ Prohlašuj, že jsem bakalářskou práci vypracoval samostatně a že jsem uvedl
¹¹ všechny použité prameny a literaturu.

¹² V Praze 14. dubna 2015

Abstract

Práce zkoumá vztah tzv. principů reflexe a velkých kardinálů. Lévy ukázal, že v ZFC platí tzv. věta o reflexi a dokonce, že věta o reflexi je ekvivalentní schématu nahrazení a axiomu nekonečna nad teorií ZFC bez axiomu nekonečna a schématu nahrazení. Tedy lze na větu o reflexi pohlížet jako na svého druhu axiom nekonečna. Práce zkoumá do jaké míry a jakým způsobem lze větu o reflexi zobecnit a jaký to má vliv na existenci tzv. velkých kardinálů. Práce definuje nedosažitelné, Mahlovy a nepopsatelné kardinály a ukáže, jak je lze zavést pomocí reflexe. Přirozenou limitou kardinálů získaných reflexí jsou kardinály nekonzistentní s L. Práce nabídne intuitivní zdůvodnění, proč tomu tak je.

Abstract

This thesis aims to examine relations between so called "Reflection Principles" and Large cardinals. Lévy has shown that Reflection Theorem is a sound theorem of ZFC and it is equivalent to Replacement Scheme and the Axiom of Infinity. From this point of view, Reflection theorem can be seen a specific version of an Axiom of Infinity. This paper aims to examine the Reflection Principle and it's generalisations with respect to existence of Large Cardinals. This thesis will establish Inaccessible, Mahlo and Indescribable cardinals and their definition via reflection. A natural limit of Large Cardinals obtained via reflection are cardinals inconsistent with L. The thesis will offer an intuitive explanation of why this is the case.

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1 Introduction

1.1 Motivation and Origin

The Universe of sets cannot be uniquely characterized (i. e. distinguished from all its initial elements) by any internal structural property of the membership relation in it, which is expressible in any logic of finite or transfinite type, including infinitary logics of any cardinal order.

— Kurt Gödel [9]

To understand why we need reflection in the first place, let's think about infinity for a moment. In the intuitive sense, infinity is an upper limit of all numbers. But for centuries, this was merely a philosophical concept, closely bound to religious and metaphysical way of thinking, considered separate from numbers used for calculations or geometry. It was a rather vague concept. In ancient Greece, Aristotle's response to famous Zeno's paradoxes introduced the distinction between actual and potential infinity. He argued, that potential infinity is (in today's words) well defined, as opposed to actual infinity, which remained a vague incoherent concept. He didn't think it's possible for infinity to inhabit a bounded place in space or time, rejecting Zeno's thought experiments as a whole. Aristotle's thoughts shaped western thinking partly due to Aquinas, who himself believed actual infinity to be more of a metaphysical concept for describing God than a mathematical property attributed to any other entity. In his *Summa Theologica* ¹ he argues:

A geometrician does not need to assume a line actually infinite, but takes some actually finite line, from which he subtracts whatever he finds necessary; which line he calls infinite.

Less than hundred years later, Gregory of Rimini wrote

If God can endlessly add a cubic foot to a stone—which He can—then He can create an infinitely big stone. For He need only add one cubic foot at some time, another half an hour later, another a quarter of an hour later than that, and so on ad infinitum. He would then have before Him an infinite stone at the end of the hour.

Which is basically a Zeno's Paradox made plausible with God being the actor. In contrast to Aquinas' position, Gregory of Rimini theoretically constructs an object with actual infinite magnitude that is essentially different from God.

¹Part I, Question 7, Article 3, Reply to Objection 1

Even later, in the 17th century, pushing the property of infiniteness from the Creator to his creation, Nature, Leibniz wrote to Foucher in 1662:

I am so in favor of the actual infinite that instead of admitting that Nature abhors it, as is commonly said, I hold that Nature makes frequent use of it everywhere, in order to show more effectively the perfections of its Author. Thus I believe that there is no part of matter which is not, I do not say divisible, but actually divided; and consequently the least particle ought to be considered as a world full of an infinity of different creatures.

But even though he used potential infinity in what would become foundations of modern Calculus and argued for actual infinity in Nature, Leibniz refused the existence of an infinite, thinking that Galileo's Paradoxon² is in fact a contradiction. The so called Galileo's Paradoxon is an observation Galileo Galilei made in his final book "Discourses and Mathematical Demonstrations Relating to Two New Sciences". He states that if all numbers are either squares and non-squares, there seem to be less squares than there is all numbers. On the other hand, every number can be squared and every square has its square root. Therefore, there seem to be as many squares as there are all numbers. Galileo concludes, that the idea of comparing sizes makes sense only in the finite realm.

Salviati: So far as I see we can only infer that the totality of all numbers is infinite, that the number of squares is infinite, and that the number of their roots is infinite; neither is the number of squares less than the totality of all the numbers, nor the latter greater than the former; and finally the attributes "equal," "greater," and "less," are not applicable to infinite, but only to finite, quantities. When therefore Simplicio introduces several lines of different lengths and asks me how it is possible that the longer ones do not contain more points than the shorter, I answer him that one line does not contain more or less or just as many points as another, but that each line contains an infinite number.

Leibniz insists in part being smaller than the whole saying

Among numbers there are infinite roots, infinite squares, infinite cubes. Moreover, there are as many roots as numbers. And there are as many squares as roots. Therefore there are as many squares

²zneni galileova paradoxu

as numbers, that is to say, there are as many square numbers as there are numbers in the universe. Which is impossible. Hence it follows either that in the infinite the whole is not greater than the part, which is the opinion of Galileo and Gregory of St. Vincent, and which I cannot accept; or that infinity itself is nothing, i.e. that it is not one and not a whole.

TODO nejakej Hegel-strucne?

TODO Cantor

TODO mene teologie, vice matematiky

TODO definovat pojmy (trida etc)

TODO neni V v nejakem smyslu porad potencialni nekonecno, zatimco mnoziny vetsi nez omega jsou aktualni? nebo jsou potencialni protoze se stavaji pres indukci, od spoda.

In his work, he defined transfinite numbers to extend existing natural number structure so it contains more objects that behave like natural numbers and are based on an object (rather a meta-object) that doesn't explicitly exist in the structure, but is closely related to it. This is the first instance of reflection. This paper will focus on taking this principle a step further, extending Cantor's (or Zermelo–Fraenkel's, to be more precise) universe so it includes objects so big, they could be considered the universe itself, in a certain sense.

TODO dal asi smazat

The original idea behind reflection principles probably comes from what could be informally called “universality of the universe”. The effort to precisely describe the universe of sets was natural and could be regarded as one of the impulses for formalization of naive set theory. If we try to express the universe as a set $\{x|x = x\}$, a paradox appears, because either our set is contained in itself and therefore is contained in a set (itself again), which contradicts the intuitive notion of a universe that contains everything but is not contained itself.

TODO ???

If there is an object containing all sets, it must not be a set itself. The notion of class seems inevitable. Either directly the ways for example the Bernays–Gödel set theory, we will also discuss later in this paper, does in, or on a meta-level like the Zermelo–Fraenkel set theory, that doesn't refer to them in the axioms but often works with the notion of a universal class. duet Another obstacle of constructing a set of all sets comes from Georg Cantor, who proved that the set of all subsets of a set (let A be the set and $\mathcal{P}(A)$ its powerset) is strictly larger than A . That would turn every aspiration to

finally establish an universal set into a contradictory infinite regression.³ We will use V to denote the class of all sets. From previous thoughts we can easily argue, that it is impossible to construct a property that holds for V and no set and is neither paradoxical like $\{x|x = x\}$ nor trivial. Previous observation can be transposed to a rather naive formulation of the reflection principle:

Reflection Any property which holds in V already holds in some initial segment of V .

To avoid vagueness of the term "property", we could informally reformulate the above statement into a schema:

For every first-order formula⁴ φ holds in $V \leftrightarrow \varphi$ holds in some initial segment of V .

Interested reader should note that this is a theorem scheme rather than a single theorem.⁵

1.2 A few historical remarks on reflection

Reflection made it's first in set-theoretical appearance in Gödel's proof of GCH in L (citace Kanamori ? Lévy and set theory), but it was around even earlier as a concept. Gödel himself regarded it as very close to Russel's reducibility axiom (an earlier equivalent of the axiom schema of Zermelo's separation). Richard Montague then studied reflection properties as a tool for verifying that Replacement is not finitely axiomatizable (citace?). a few years later Lévy proved in [2] the equivalence of reflection with Axiom of infinity together with Replacement in proof we shall examine closely in chapter 2.

TODO co dal? recent results?

³An intuitive analogy of this *reductio ad infinitum* is the status of ω , which was originally thought to be an unreachable absolute, only to become starting point of Cantor's hierarchy of sets growing beyond all boundaries around the end of the 19th century

⁴this also works for finite sets of formulas [3, p. 168]

⁵If there were a single theorem stating "for any formula φ that holds in V there is an initial segment of V where φ also holds", we would obtain the following contradiction with the second Gödel's theorem: In ZFC, any finite group of axioms of ZFC holds in some initial segment of the universe. If we take the largest of those initial segments it is still strictly smaller than the universe and thus we have, via compactness, constructed a model of ZFC within ZFC. That is, of course a harsh contradiction. This also leads to an elegant way to prove that ZFC is not finitely axiomatizable.

1.3 Notation (??) TODO

1. *Reflection* je obecne reflexe (jaka presne)
2. *Reflection*₁ je reflexe prvoradovych formul
3. *Reflection*₂ je reflexe druhoradovych formul
4. etc...

203 2 Levy's first-order reflection

204 2.1 Introduction

205 This section will try to present Lévy's proof of a general reflection principle
 206 being equivalent to Replacement and Infinity under ZF minus Replacement
 207 and Infinity. We will first introduce a few axioms and definitions that were
 208 a different in Lévy's paper[2], but are equivalent to today's terms. We will
 209 write them in contemporary notation, our aim is the result, not history of
 210 set theory notation.

211 Please note that Lévy's paper was written in a period when Set theory
 212 was oriented towards semantics, which means that everything was done in
 213 a model. All proofs were theodel that of ZFC was V_α (notated as $R(\alpha)$ at
 214 the time) for some cardinal α , which means that α is a inaccessible cadinal.
 215 Please bear in mind that this is vastly different from saying that there is
 216 an inaccessible α inside the model. This V_α is also referred to as $Scm^Q(u)$,
 217 which means that u ($u = V_\alpha$ in our case) is a standard complete model of
 218 an undisclosed axiomatic set theory Q formulated in the "non-simple applied
 219 first order functional calculus", which is second-order theory is today's ter-
 220 minology, we are allowed to quantify over functions and thus get rid of axiom
 221 schemes. (Note that Lévy always speaks of "the axiom of replacement"). Be-
 222 sides placeholder set theory Q and ZF, which the reader should be familiar
 223 with, theories Z , S , and SF are used in the text. Z is ZF minus replacement,
 224 S is ZF minus replacement and infinity, and finally SF is ZF minus infinity.
 225 "The axiom of subsets" is an older name for the axiom scheme of specifica-
 226 tion (and it's not a scheme since we are now working in second order logic).
 227 Also note that universal quantifier does not appear, $\forall x\varphi(x)$ would be written
 228 as $(x)\varphi(x)$, the symbol for negation is " \sim ".

229 2.2 Lévy's Original Paper

230 The following are a few definitions that are used in Lévy's original article. ⁶

231 **Definition 2.1** *Relativization[3, Definition 12.6]*
 232 *Let M be a class, E a binary relation on M and let $\varphi(x_1, \dots, x_n)$ be a formula.*
 233 *The relativization of φ to M and E is the formula*

$$\varphi^{M,E}(x_1, \dots, x_n) \quad (2.1)$$

⁶While some of them won't be of much use in this paper, they will provide extremely helpful when reading the original article as set theory notation and terminology has evolved in the last 50 years considerably.

234 Defined in the following inductive manner:

$$\begin{aligned}
 (x \in y)^{M,E} &\leftrightarrow xEx \\
 (x = y)^{M,E} &\leftrightarrow x = y \\
 (\neg\varphi)^{M,E} &\leftrightarrow \neg\varphi^{M,E} \\
 (\varphi \ \& \ \psi)^{M,E} &\leftrightarrow \varphi^{M,E} \ \& \ \psi^{M,E} \\
 (\exists x\varphi)^{M,E} &\leftrightarrow (\exists x \in M)\varphi^{M,E}
 \end{aligned} \tag{2.2}$$

235 Next two definitions are not used in contemporary set theory, but they
 236 illustrate 1960's set theory mind-set and they are used heavily in Lévy's text,
 237 so we will include and explain them for clarity. Generally in this chapter, \mathbf{Q}
 238 stands for an undisclosed axiomatic set theory, u is usually a model, coun-
 239 terpart of today's V^7 , e is a relation that serves as \in in the given model.

240 TODO je to relativizovany, jak rika shepherdson?

241 **Definition 2.2** *Standard model of a set theory*

242 We say the u is a standard model of \mathbf{Q} with a membership relation e , written
 243 as $Sm^{\mathbf{Q}}(u)$, if both of the following hold

- 244 (i) $(x, y) \in e \leftrightarrow y \in u \ \& \ x \in y$
- 245 (ii) $y \in u \ \& \ x \in y \rightarrow x \in u$

246 **Definition 2.3** *Standard complete model of a set theory*

247 We say that that u is a standard complete model of a set theory \mathbf{Q} with a
 248 membership relation e if:

- 249 (i) u is a transitive set with respect to \in
- 250 (ii) $\forall e((x, y) \in e \leftrightarrow (y \in u \ \& \ x \in y) \ \& \ Sm^{\mathbf{Q}}(u, e))$
- 251 this is written as $Scm^{\mathbf{Q}}(u)$.

252

253 TODO what is "simple first-order functional calculus" a "non-simple first-
 254 order functional calculus"? Levyho ucebnice?

255

256 **Definition 2.4** *Cardinal inaccessible with respect to \mathbf{Q}*

$$In^{\mathbf{Q}}(\alpha) = Scm^{\mathbf{Q}}(V_{\alpha}) \tag{2.3}$$

257 TODO tohle je lepsi protoze nepotrebuje AC

⁷Which is of course not referred to as a model, but it is used in a similar fashion, in this case the term "model" was a metamathematical notion because it was not based on any underlying structure of theory. It can be easily formalized in any set theory, but it's not helpful for our case.

258 **Definition 2.5** *Strictly increasing function*

259 *A function $F(\alpha)$ defined on the ordinal number into the ordinal numbers is*
 260 *said to be strictly increasing if $\forall \alpha, \beta \in On(\alpha < \beta \rightarrow F(\alpha) < F(\beta))$.*

261 **Definition 2.6** *Continuous function*

262 *A function $F(\alpha)$ defined on the ordinal number into the ordinal numbers is*
 263 *said to be continuous if for any limit α , $F(\alpha) = \lim_{\beta < \alpha} F(\beta)$.*

264 **Definition 2.7** *Normal function*

265 *A function $F(\alpha)$ defined on the ordinal number into the ordinal numbers is*
 266 *said to be normal if it is strictly increasing and continuous*

267 TODO vse nize presunout do patricne sekce ve velkych kardinalech?

268 TODO jak znamim usporadane dvojice?

269 TODO porovnani Mahlovy a Lévyho konstrukce, viz ref{mahlovy kardi-
 270 naly}

271 TODO asi doplnit jak to souvisi se soucasnou definici slabe Mahlovych
 272 kardinalu pres stacionarni mnoziny?

273

274 Lévy's article mentions various schemata that are not instances of reflec-
 275 tion themselves. We will mention them because they are equivalent to N_0
 276 and because they are fixed-point theorems, which we will find useful later in
 277 this thesis.

278 **Definition 2.8** *M Every normal function defined for all ordinals has at least*
 279 *one inaccessible number in its range.*

280 **Definition 2.9** *M' Every normal function defined for all ordinals has at*
 281 *least one fixed point which is inaccessible.*

282 **Definition 2.10** *M'' Every normal function defined for all ordinals has ar-*
 283 *bitrarily great fixed points which are inaccessible.*

Theorem 2.11

$$M \leftrightarrow M' \leftrightarrow M'' \quad (2.4)$$

284 We will omit this proof because it is not essential for our goal. An inter-
 285 ested reader will find it in [2,].

286 TODO Pak i lambda konstrukce, zobecnene

287

288 The following is a principle of complete reflection over ZF.

2.3 $S \models \text{Reflection} \leftrightarrow (\text{Replacement} \ \& \ \text{Infinity})$ Levy's first-order reflection

289 **Definition 2.12** $N(\varphi)$

$$\exists u(Scm^{ZF}(u) \ \& \ \forall x_1, \dots, x_n(x_1, \dots, x_n \in u \rightarrow \varphi \leftrightarrow \varphi^u)) \quad (2.5)$$

290 where φ is a formula which does not contain free variables except x_1, \dots, x_n .

291 Note that this by (2.4) equivalent to $\exists u(In^{ZF}(u) \ \& \ \forall x_1, \dots, x_n(x_1, \dots, x_n \in$
 292 $u \rightarrow \varphi \leftrightarrow \varphi^u))$, where $In(\alpha)$ is equivalent to the standard notion of inacces-
 293 sibility.

Theorem 2.13

$$M \leftrightarrow N \quad (2.6)$$

294 *Proof.* TODO (Theorem 3)? neudelam ho spis v dalsi sekci v modernejsi
 295 variante? \square

296 **2.3 $S \models \text{Reflection} \leftrightarrow (\text{Replacement} \ \& \ \text{Infinity})$**

297 **Definition 2.14** $N_0(\varphi)$

$$\exists u(Scm^S(u) \ \& \ \forall x_1, \dots, x_n(x_1, \dots, x_n \in u \rightarrow \varphi \leftrightarrow \varphi^u)) \quad (2.7)$$

298 where φ is a formula which does not contain free variables except x_1, \dots, x_n .

299 Note that the only difference between N and N_0 is the set theory used.

300 **Theorem 2.15** *In S , the schema N_0 implies the Axiom of Infinity.*

301 *Proof.* For any φ , N_0 gives us $\exists u Scm^S(u)$, which means that there is a set u
 302 that is identical to V_α for some alpha, so $\exists \alpha Scm^S(V_\alpha)$. We don't know the
 303 exact size of this α , but we know that $\alpha \geq \omega$, otherwise α would be finite,
 304 therefore not closed under the powerset operation, which would contradict
 305 the axiom of powersets. In order to prove that it is a model of S , we would
 306 need to verify all axioms of S . We have already shown that ω is closed under
 307 the powerset operation. Foundation, extensionality and comprehension are
 308 clear from the fact that we work in ZF^8 , pairing is clear from the fact, that
 309 given two sets A, B , they have ranks a, b , without loss of generality we can
 310 assume that $a \leq b$, which means that $A \in V_a \in V_b$, therefore V_b is a set that
 311 satisfies the paring axiom: it contains both A and B .

312 TODO vyhodit axiomy, staci vyrobit ω

⁸We only need to verify axioms that provide means of constructing larger sets from smaller to make sure they don't exceed ω . Since ω is an initial segment of ZF , the axiom scheme of specification can't be broken, the same holds for foundation and extensionality.

2.3 $S \models \text{Reflection} \leftrightarrow (\text{Replacement} \ \& \ \text{Infinity}) \text{ by Levy's first-order reflection}$

We now want to prove that V_α leads to existence of an inductive set, which is a set that satisfies $\exists A(\emptyset \in A \ \& \ \forall x \in A ((x \cup \{x\}) \in A))$. If we can find a way to construct V_ω from any V_α satisfying $\alpha \geq \omega$, we are done. Since ω is the least limit ordinal, all we need is the following

$$\bigcap \{V_\kappa \mid \forall \lambda (\lambda < \kappa \rightarrow \exists \mu (\lambda < \mu < \kappa))\} \quad (2.8)$$

because V_κ is a transitive set for every κ , thus the intersection is non-empty unless empty set satisfies the property or the set of V_κ s is itself empty. \square

Theorem 2.16 *In S , the schema N_0 implies Replacement schema.*

Proof. TODO vysvetlit! (podle contemporary verze)

Let $\varphi(v, w)$ be a formula wth no free variables except v, w, x_1, \dots, x_n where n is any natural number. Let χ be an instance of replacement schema for this φ :

$$\chi = \forall r, s, t (\varphi(r, s) \& \varphi(r, t) \rightarrow s = t) \rightarrow \forall x \exists y \forall w (w \in y \leftrightarrow \exists v (v \in x \& \varphi(v, w))) \quad (2.9)$$

We can deduce the following from N_0 :

- (i) $x_1, \dots, x_n, v, w \in u \rightarrow (\varphi \leftrightarrow \varphi^u)$
- (ii) $x_1, \dots, x_n, v \in u \rightarrow (\exists w \varphi \leftrightarrow (\exists w \varphi)^u)$
- (iii) $x_1, \dots, x_n, x \in u \rightarrow (\chi \leftrightarrow \chi^u)$
- (iv) $\forall x_1, \dots, x_n \forall x (\chi \leftrightarrow (\forall x_1, \dots, x_n \forall x \chi)^u)$

Note that (i), (ii), (iii) are obtained from instances of N_0 for φ , $\exists w \varphi$ and χ respectively. From relativization we also know that $(\exists w \varphi)^u$ is equivalent to $\exists w (w \in u \& \varphi^u)$. Therefore (ii) is equivalent to $x_1, \dots, x_n, v \in u \rightarrow (\exists w (w \in u \& \varphi^u))$.

If φ is a function $(\forall r, s, t (\varphi(r, s) \& \varphi(r, t) \rightarrow r = t))$, then for every $x \in u$, which is also $x \subset u$ by $Scm^S(u)$, it maps elements of x onto u . From the axiom scheme of comprehension⁹, we can find a set of all images of elements of x . Let's call it y . That gives us $x_1, \dots, x_n, x \in u \rightarrow \chi$. By (iii) we get $x_1, \dots, x_n, x \in u \rightarrow \chi^u$, closure of this formula is $(\forall x_1, \dots, x_n \forall x \chi)^u$, which together with (iv) yields $\forall x_1, \dots, x_n \forall x \chi$. By the means of specification we end up with χ , which is all we need for now. \square

TODO shrnout zbytek clanku, fixed-point vety a spol, rict ze to udelame v sekci "velke kardinaly"

⁹axiom of subsets in Levy's version

345 TODO $S- > ZM- > ZM'- > ZM''$, neco jako mahlovy kardinaly,
 346 presunout do dane kapitoly
 347 TODO shrnout v par vetach.

348 2.4 Contemporary restatement

349 We will now prove what is also Lévy's reflection theorem, but a little stronger,
 350 rephrased with more up to date set theory. The main difference is, that while
 351 Lévy reflects φ from V into a set u that is a "standard complete model of
 352 S "¹⁰, we say that there is a V_α that reflects φ .

353 We will prove the equivalence of *Reflection*₁ with *Replacement* and *In-*
 354 *finiteness* in two parts. First, we will show that *Reflection*₁ is a theorem of
 355 ZF, then the second implication which proves *Infinity* and *Replacement* from
 356 *Reflection*₁ in S.

357 The following lemma is usually done in more parts, the first being with one
 358 formula and the other with n . We will only state and prove the generalised
 359 version for n formulas, knowing that $n = 1$ is just a specific case and the
 360 proof is exactly the same.

361 **Lemma 2.17** *Lemma Let $\varphi_1, \dots, \varphi_n$ be any formulas with m parameters*¹¹.
 362 (i) *For each set M_0 there is such M that $M_0 \subset M$ and the following holds*
 363 *for every $i \leq n$:*

$$\exists x \varphi_i(u_1, \dots, u_{m-1}, x) \rightarrow (\exists x \in M) \varphi_i(u_1, \dots, u_{m-1}, x) \quad (2.10)$$

364 *for every $u_1, \dots, u_{m-1} \in M$.*

365 (ii) *Furthermore there is an ordinal α such that $M_0 \subset V_\alpha$ and the following*
 366 *holds for each $i \leq n$:*

$$\exists x \varphi_i(u_1, \dots, u_{m-1}, x) \rightarrow (\exists x \in V_\alpha) \varphi_i(u_1, \dots, u_{m-1}, x) \quad (2.11)$$

367 *for every $u_1, \dots, u_{m-1} \in M$.*

368 (iii) *Assuming Choice, there is M , $M_0 \subset M$ such that 2.10 holds for every*
 369 *M , $i \leq n$ and $|M| \leq |M_0| \cdot \aleph_0$.*

¹⁰Any limit ordinal is in fact a model of S, we shall pay more attention to that in a moment.

¹¹For formulas with different number of parameters take for m the highest number of parameters among given formulas. Add spare parameters to the other formulas so that x remains the last parameter. That can be done in a following manner: Let φ'_i be the a formula with k parameters, $k < m$. Let us set $\varphi_i(u_1, \dots, u_{m-1}, x) = \varphi'_i(u_1, \dots, u_{k-1}, u_k, \dots, u_{m-1}, x)$, notice that u_k, \dots, u_{m-1} are spare variables added just for formal simplicity.

370 *Proof.* We will simultaneously prove statements (i) and (ii), denoting M^T
 371 the transitive set required by part (ii). Unless explicitly stated otherwise for
 372 specific steps, it is thought to be equivalent to M .

373 Let us first define operation $H(u_1, \dots, u_{m-1})$ that gives us the set of
 374 x 's with minimal rank satisfying $\varphi_i(u_1, \dots, u_{m-1}, x)$ for given parameters
 375 u_1, \dots, u_{m-1} for every $i \leq n$.

$$H_i(u_1, \dots, u_n) = \{x \in C_i : (\forall z \in C)(\text{rank}(x) \leq \text{rank}(z))\} \quad (2.12)$$

376 for each $i \leq n$, where

$$C_i = \{x : \varphi_i(u_1, \dots, u_{m-1}, x)\} \text{ for } i \leq n \quad (2.13)$$

377

378 Next, let's construct M from given M_0 by induction.

$$M_{i+1} = M_i \cup \bigcup_{j=0}^n \{H_j(u_1, \dots, u_{m-1}) : u_1, \dots, u_{m-1} \in M_i\} \quad (2.14)$$

379 In other words, in each step we add the elements satisfying $\varphi(u_1, \dots, u_{m-1}, x)$
 380 for all parameters that were either available earlier or were added in the
 381 previous step. For statement (ii), this is the only part that differs from (i).
 382 Let us take for each step transitive closure of M_{i+1} from (i). In other words,
 383 let γ be the smallest ordinal such that

$$(M_i^T \cup \bigcup_{j=0}^n \{H_j(u_1, \dots, u_{m-1}) : u_1, \dots, u_{m-1} \in M_i\}) \subset V_\gamma \quad (2.15)$$

384 Then the incremental step is like so:

$$M_{i+1}^T = V_\gamma \quad (2.16)$$

385 The final M is obtained by joining all incremental steps together.

$$M = \bigcup_{i=0}^{\infty} M_i, \quad M^T = \bigcup_{i=0}^{\infty} M_i^T \quad (2.17)$$

386

387 We have yet to finish part (iii). Let's try to construct a set M' that
 388 satisfies the same conditions like M but is kept as small as possible. Assuming
 389 the Axiom of Choice, we can modify the process so that cardinality of M' is
 390 at most $|M_0| \cdot \aleph_0$. Note that the size of M' is determined by the size of M_0 and,

most importantly, by the size of $H_i(u_1, \dots, u_{m-1})$ for any $i \leq n$ in individual levels of the construction. Since the lemma only states existence of some x that satisfies $\varphi_i(u_1, \dots, u_{m-1}, x)$ for any $i \leq n$, we only need to add one x for every set of parameters but $H_i(u_1, \dots, u_{m-1})$ can be arbitrarily large. Since Axiom of Choice ensures that there is a choice function, let F be a choice function on $\mathcal{P}(\cup M')$. Also let $h_i(u_1, \dots, u_{m-1}) = F(H_i(u_1, \dots, u_{m-1}))$ for $i \leq n$, which means that h is a function that outputs an x that satisfies $\varphi_i(u_1, \dots, u_{m-1}, x)$ for $i \leq n$ and has minimal rank among all such witnesses. The induction step needs to be redefined to

$$M'_{i+1} = M'_i \cup \bigcup_{j=0}^n \{H_j(u_1, \dots, u_{m-1}) : u_1, \dots, u_{m-1} \in M'_i\} \quad (2.18)$$

In every step, the amount of elements added in M'_{i+1} is equivalent to the amount of sets of parameters the yielded elements not included in M'_i . So the cardinality of M'_{i+1} exceeds the cardinality of M'_i only for finite M'_i . It is easy to see that if M_0 is finite, M' is countable because it was built from countable union of finite sets. If M_0 is countable or larger, cardinality of M' is equal to the cardinality of M_0 .¹² Therefore $|M'| \leq |M_0| \cdot \aleph_0$ \square

And now for the theorem itself

Theorem 2.18 *First-order Reflection*

Let $\varphi(x_1, \dots, x_n)$ be a first-order formula.

- (i) For every set M_0 there exists M such that $M_0 \subset M$ and the following holds:

$$\varphi^M(x_1, \dots, x_n) \leftrightarrow \varphi(x_1, \dots, x_n) \quad (2.19)$$

for every x_1, \dots, x_n .

- (ii) For every set M_0 there is a transitive set M , $M_0 \subset M$ such that the following holds:

$$\varphi^M(x_1, \dots, x_n) \leftrightarrow \varphi(x_1, \dots, x_n) \quad (2.20)$$

for every x_1, \dots, x_n .

- (iii) For every set M_0 there is α such that $M_0 \subset V_\alpha$ and the following holds:

$$\varphi^{V_\alpha}(x_1, \dots, x_n) \leftrightarrow \varphi(x_1, \dots, x_n) \quad (2.21)$$

for every x_1, \dots, x_n .

¹²It can not be smaller because $|M'_{i+1}| \geq |M'_i|$ for every i . It may not be significantly larger because the maximum of elements added is the number of n -tuples in M'_i , which is of the same cardinality is M'_i .

417 (iv) Assuming the Axiom of Choice, for every set M_0 there is M such that
 418 $M_0 \subset M$ and $|M| \leq |M_0| \cdot \aleph_0$ and the following holds:

$$\varphi^M(x_1, \dots, x_n) \leftrightarrow \varphi(x_1, \dots, x_n) \quad (2.22)$$

419 for every x_1, \dots, x_n .

420 *Proof.* Let's prove (i) for one formula φ via induction by complexity first.
 421 We can safely assume that φ contains no quantifiers besides \exists and no logical
 422 connectives other than \neg and $\&$. Assume that this M is obtained from
 423 lemma 2.17. The fact, that atomic formulas are reflected in every M comes
 424 directly from definition of relativization and the fact that they contain no
 425 quantifiers.¹³ The same holds for formulas in the form of $\varphi = \neg\varphi'$. Let us
 426 recall the definition of relativization for those formulas in 2.1.

$$(\neg\varphi_1)^M \leftrightarrow \neg(\varphi_1^M) \quad (2.23)$$

427 Because we can assume from induction that $\varphi'^M \leftrightarrow \varphi'$, the following holds:

$$(\neg\varphi')^M \leftrightarrow \neg(\varphi'^M) \leftrightarrow \neg\varphi' \quad (2.24)$$

428 The same holds for $\varphi = \varphi_1 \& \varphi_2$. From the induction hypothesis we know
 429 that $\varphi_1^M \leftrightarrow \varphi_1$ and $\varphi_2^M \leftrightarrow \varphi_2$, which together with relativization for formulas
 430 in the form of $\varphi_1 \& \varphi_2$ gives us

$$(\varphi_1 \& \varphi_2)^M \leftrightarrow \varphi_1^M \& \varphi_2^M \leftrightarrow \varphi_1 \& \varphi_2 \quad (2.25)$$

431 Let's now examine the case when from the induction hypothesis, M re-
 432 flects $\varphi'(u_1, \dots, u_n, x)$ and we are interested in $\varphi = \exists x\varphi'(u_1, \dots, u_n, x)$. The
 433 induction hypothesis tells us that
 434

$$\varphi'^M(u_1, \dots, u_n, x) \leftrightarrow \varphi'(u_1, \dots, u_n, x) \quad (2.26)$$

435 so, together with above lemma 2.17, the following holds:

$$\begin{aligned} & \varphi(u_1, \dots, u_n, x) \\ & \leftrightarrow \exists x\varphi'(u_1, \dots, u_n, x) \\ & \leftrightarrow (\exists x \in M)\varphi'(u_1, \dots, u_n, x) \\ & \leftrightarrow (\exists x \in M)\varphi'^M(u_1, \dots, u_n, x) \\ & \leftrightarrow (\exists x\varphi'(u_1, \dots, u_n, x))^M \\ & \leftrightarrow \varphi^M(u_1, \dots, u_n, x) \end{aligned} \quad (2.27)$$

¹³Note that this does not hold generally for relativizations to M, E , but only for relativization to M, \in , which is our case.

436 Which is what we have needed to prove:

437
438 So far we have proven part (i) of this theorem for one formula φ , we only
439 need to verify that the same holds for any finite number of formulas. This
440 has in fact been already done since lemma 2.17 gives us M for any (finite)
441 amount of formulas. We can than use the induction above to verify that it
442 reflects each of the formulas individually.

443
444 Now we want to verify other parts of our theorem. Since V_α is a transitive
445 set, by proving (iii) we also satisfy (ii). To do so, we only need to look at
446 part (ii) of lemma 2.17. All of the above proof also holds for $M = V_\alpha$.

447 To finish part (iv), we take M of size $\leq |M_0| \cdot \aleph_0$, which exists due to part
448 (iii) of lemma 2.17, the rest being identical. \square

450 **Theorem 2.19** *Reflection is equivalent to Infinity & Replacement under*
451 *ZFC minus Infinity & Replacement*

452
453 *Proof.* Since 2.18 already gives one side of the implication, we are only
454 interested in showing the converse which we shall do in two parts:

455 *Reflection \rightarrow Infinity*

456 Let us first find a formula to be reflected that requires a set M at least
457 as large as V_ω . Let us consider the following formula:

$$\varphi'(x) = \forall \lambda (\lambda < x \rightarrow \exists \mu (\lambda < \mu < x)) \quad (2.28)$$

458 Because φ says "there is a limit ordinal", if it holds for some x , the Infinity
459 axiom is very easy to satisfy. But we know that there are limit ordinals in
460 ZF, therefore $\varphi = \exists x \varphi'(x)$ is a valid statement. *Reflection* then gives us a set
461 M in which φ^M holds, that is, a set that contains a limit ordinal. So the set
462 of off limit ordinals is non-empty and because ordinals are well-founded, it
463 has a minimal element. Let's call it μ .

$$\mu = \bigcap \{V_\kappa : \forall \lambda (\lambda < \kappa \rightarrow \exists \mu (\lambda < \mu < \kappa))\} \quad (2.29)$$

464 We can see that μ is the least limit ordinal and therefore it satisfies *Infinity*.

465

466 *Reflection \rightarrow Replacement*

467 Given a formula $\varphi(x, y, u_1, \dots, u_n)$, we can suppose that it is reflected in
468 any M ¹⁴ What we want to obtain is the following:

$$\forall x, y, z (\varphi(x, y, u_1, \dots, u_n) \& \varphi(x, z, u_1, \dots, u_n) \rightarrow y = z) \rightarrow \quad (2.30)$$

¹⁴Which means that for $x, y, u_1, \dots, u_n \in M$, $\varphi^M(x, y, u_1, \dots, u_n) \leftrightarrow \varphi(x, y, u_1, \dots, u_n)$.

$$\rightarrow \forall X \exists Y \forall y (y \in Y \leftrightarrow \exists x (\varphi(x, y, u_1, \dots, u_n) \ \& \ x \in X)) \quad (2.31)$$

We do also know that $x, y \in M$, in other words for every $X, Y = \{y \mid \varphi(x, y, u_1, \dots, u_n)\}$ we know that $X \subset M$ and $Y \subset M$, which, together with the comprehension schema¹⁵ implies that Y , the image of X over φ , is a set. Which is exactly the Replacement Schema we hoped to obtain. \square

We have shown that *Reflection* for first-order formulas, *Reflection*₁ is a theorem of ZF, which means that it won't yield us any large cardinals. We have also shown that it can be used instead of the Axiom of Infinity and Replacement Scheme, but ZF + *Reflection*₁ is a conservative extension of ZF. Besides being a starting point for more general and powerful statements, it can be used to show that ZF is not finitely axiomatizable. That is because *Reflection* gives a model to any finite number of (consistent) formulas. So if $\varphi_1, \dots, \varphi_n$ for any finite n would be the axioms of ZF, *Reflection* would always contain a model of itself, which would in turn contradict the Second Gödel's Theorem¹⁶. Notice that, in a way, reflection is complementary to compactness. Compactness argues that given an infinite¹⁷ set of sentences, if every finite subset yields a model, so does the whole set. Reflection, on the other hand, says that while the whole set has no model in the underlying theory, every finite subset does have one.

Also, notice how reflection can be used in ways similar to upward Löwenheim–Skolem theorem. Since Reflection extends any set M_0 into a model of given formulas $\varphi_1, \dots, \varphi_n$, we can choose M_0 such that the final M is at least as big as we need it to be.¹⁸

In the next section, we will try to generalize Reflection in a way that transcends ZF and finally yields some large cardinals.

¹⁵Called the axiom of subsets in Levy's proof.

¹⁶See chapter 3.3 for further details.

¹⁷Countable?

¹⁸Too vague?

3 Reflecting Large Cardinals

TODO nekam dat M a Levyho theorem 3

TODO "v nasledujici kapitole budeme reflektovat vlastnosti univerzalni tridy a budeme se divat jake initial segmenty/kardinaly dostavame podle toho, jak se meni presny vyznam pojmu 'vlastnost' atd..."

TODO neco jako: for every process for obtaining larger sets, this process can't reach V and, from reflection, there is an initial segment of V that is strictly smaller than V but still can't be reached via said process.

In this chapter we aim to explore the possible generalisations of *Reflection* for second-order formulas and use those to establish the existence of various large cardinals. We will also argue whether there is a limit to the size of large cardinals obtainable via generalised form of *Reflection*.

3.1 Reflecting Second-order Formulas

TODO ze "uplne totalni" reflexe se zacykli a rozbije

To see that there is a way to transcend ZF, let us briefly show how a model of ZF can be obtained in $ZF_2 + \text{"second-order reflection"}^{19}$. This will be more closely examined in section 3.3.

We know that ZF can not be finitely axiomatized in first-order formulas, however if Replacement and Comprehension schemes can be substituted by second-order formulas, ZF becomes ZF_2 , which is finitely axiomatizable in second-order logic. Therefore if we take second-order reflection into consideration, we can obtain a set M that is a model of ZF_2 . For now, we have left out the details of how exactly is first-order reflection generalised into stronger statements and how second-order axiomatization of ZF looks like as we will examine those problems closely in the following pages.

We will now define reflection for second-order formulas.

Definition 3.1 *Second-order reflection*

TODO

TODO see Hanf-Scott [kanamori:61]?

TODO full reflection, partial reflection? viz Levy60, ten druhý clanek

3.2 Preliminaries

But first, let's establish some elementary terms that will allow us to define the relevant large cardinals.

¹⁹ ZF_2 is an axiomatization of ZF in second-order formulas, to be more rigorously established later.

528 **Definition 3.2** (*limit cardinal*) *kappa* is a limit cardinal if it is \aleph_α for some
 529 limit ordinal α .

530 **Definition 3.3** (*strong limit cardinal*) *kappa* is a strong limit cardinal if for
 531 every $\lambda < \kappa$, $2^\lambda < \kappa$

532 TODO splyvaji kdyz plati GCH

533 We also need to rigorously define \mathbf{ZF}_2 , the second-order axiomatization
 534 of \mathbf{ZF} we have already used in the previous section. Let's take advantage of
 535 second-order variables and replace Replacement and Specification schemes
 536 with a single Replacement and a Specification axiom respectively. Lower-case
 537 letters represent first-order variables and upper-case P represents a second-
 538 order variable. [8]

539 **Definition 3.4** Replacement²

$$\begin{aligned} \forall P(\forall x, y, z(P(x, y) \& P(x, z) \rightarrow y = z) \rightarrow \\ \rightarrow (\forall x \exists y \forall z (z \in y \leftrightarrow \exists w \in x (P(w, z)))))) \end{aligned} \quad (3.32)$$

541 We will denote this axiom Replacement₂.

542 **Definition 3.5** Specification₂

$$\forall P \forall x \exists y \forall z (z \in y \leftrightarrow [z \in x \& P(z, x)]) \quad (3.33)$$

544 **Definition 3.6** \mathbf{ZF}_2

545 Let \mathbf{ZF}_2 be a theory with all axioms identical with the axioms of \mathbf{ZF} with the
 546 exception of Replacement and Specification schemes, which are replaced with
 547 Replacement₂ and Specification₂ respectively.

548 TODO vsechny jmena axiomu emph?

549 TODO sjednotit Replacement₂ s Replacement²

550

551 TODO budeme potrebovat club sety, stacionarni mnoziny? treba aby-
 552 chom dokazali ekvivalence?

553 3.3 Inaccessibility

554 The inaccessible cardinal is the smallest of large cardinals²⁰

555 **Definition 3.7** (*weak inaccessibility*) An uncountable cardinal κ is weakly
 556 inaccessible \leftrightarrow it is regular and limit.

²⁰citation needed.

Definition 3.8 (*inaccessibility*) An uncountable cardinal κ is inaccessible \leftrightarrow it is regular and strongly limit.

To see why this is important, let's dedicate a few lines to the intuition behind the notions of limitness, regularity and inaccessibility in a manner strongly influenced by [7]. To see why limit and strongly limit cardinals are worth mentioning, note that they are "limit" not only in a sense of being a supremum of an ordinal sequence, they also show that a certain way of obtaining bigger objects from smaller ones²¹ limited in terms of possibilities. \aleph_λ is a limit cardinal iff there is no α such that $\aleph_{\alpha+1} = \aleph_\lambda$. Strongly limit cardinals point to the limits of the powerset operation. It has been too obvious so far, so let's look at the regular cardinals in this manner. Regular cardinals are those that cannot be²², expressed as a supremum of smaller amount of smaller objects²³. More precisely, κ is regular if there is no way to define it as a union of less than κ ordinals, all smaller than κ . It is interesting to point out, that many of these sets wouldn't be considered sets without *Replacement*, therefore regular cardinals are, in a way, "limits" of how far can we get by taking limits of increasing sequences of ordinals obtained via *Replacement*.

That all being said, it is easy to see that no cardinals in ZF are both strongly limit and regular, the only exception being \aleph_0 . This makes it clear why the definition 3.8 explicitly calls for $\kappa > \aleph_0$. It should be also obvious why the fact that κ is inaccessible implies that $\kappa = \aleph_\kappa$.²⁴

The above should make a clear picture of why *Infinity* is a specific case of *Reflection*.

TODO proc je Refl zaroven zobecneny replacement?

TODO nize budeme zkoumat ktere mnoziny jsou "nedosazitelne" reflexi ruznych skupin "properties of V".

We will now show that the above notion is equivalent to the definition Levy uses in [2], which is, in more contemporary notation, the following:

Theorem 3.9 *The following are equivalent:*

1. κ in inaccessible
2. $\langle V_\kappa, \in \rangle \models \text{ZFC}$

²¹TODO elegantnejsi formulace?

²²Assuming *Choice*.

²³Just like ω can not be expressed as a supremum of a finite set consisting solely of finite numbers.

²⁴This doesn't work backwards, the first fixed point of the \aleph function is the limit of $\{\aleph_0, \aleph_{\aleph_0}, \aleph_{\aleph_{\aleph_0}}, \dots\}$ is singular since the sequence has countably many elements.

Proof. Let's first prove that if κ is inaccessible, it is a model of ZFC. We will do that by verifying the axioms of ZFC just like Kanamori does it in 1.2 in [1]. Because κ is a limit ordinal, there's no need for us to verify the Powerset, Foundation, Extensionality, Subset, Pairing and Union axioms and the Specification scheme. Thus we only have the Replacement Scheme to verify.

Given an arbitrary set $x \in V_\kappa$ and a function $F : x \rightarrow V_\kappa$, we need to verify that $y = F[x]$ is indeed a set and that it is an element of V_κ . The fact that F is a function implies that $|y| \leq |x|$. It follows from Specification that $y \subset V_\kappa$, which is still not exactly what we want. Let $\alpha < \kappa$ be the least ordinal such that $y \in V_\alpha$ ²⁵, since $V_{\alpha+1} = \mathcal{P}(V_\alpha)$, $y \in V_{\alpha+1}$, together with $\alpha + 1 < \kappa$ this means that $y \in V_\kappa$.

We will now show that if a set is a model of ZFC, it is in fact an inaccessible cardinal. So let V_κ be a model of ZFC which means that it is closed under the powerset operation, in other words:

$$\forall \lambda (\lambda < \kappa \rightarrow 2^\lambda < \kappa) \quad (3.34)$$

which is exactly the definition of strong limitness. κ is regular from the following argument by contradiction:

Let us suppose for a moment that κ is singular. Therefore there is an ordinal $\alpha < \kappa$ and a function $F : \alpha \rightarrow \kappa$ such that the range of F is unbounded in κ , in other words, $F[\alpha] \subseteq V_\kappa$ and $\sup(F[\alpha]) = \kappa$. In order to achieve the desired contradiction, we need to see that it is the case that $F[\alpha] \in V_\kappa$. Let $\varphi(x, y)$ be the following first-order formula:

$$F(x) = y \quad (3.35)$$

Then there is an instance of Axiom Schema of Replacement that states the following:

$$\begin{aligned} &(\forall x, y, z (\varphi(x, y) \& \varphi(x, z) \rightarrow y = z)) \rightarrow \\ &\rightarrow (\forall x \exists y \forall z (z \in y \leftrightarrow \exists w (\varphi(w, z)))) \end{aligned} \quad (3.36)$$

Which in turn means that there is a set $y = F[\alpha]$ and $y \in V_\kappa$, which is the contradiction with $\sup(y) = \kappa$ we are looking for. \square

The same holds for ZF_2 , the proof is very similar.

Theorem 3.10

$$V_\kappa \models \text{ZF}_2 \leftrightarrow \kappa \text{ is inaccessible} \quad (3.37)$$

²⁵TODO pozor – jak vime ze takove alpha existuje?

619 *Proof.* κ is a strong limit cardinal because from \mathbf{ZF}_2 and the Powerset Axiom
 620 we know that for every $\lambda < \kappa$, we know that $2^\lambda < \kappa$.

621 κ is also regular, because otherwise there would be an ordinal α and
 622 a function $F : \alpha \rightarrow \kappa$ with a range unbounded in κ . *Replacement*² gives us
 623 a set $y = F[\alpha]$, so $y \in V_\kappa$, which contradicts the fact that $\sup(y) = \kappa$. It
 624 can not be the case that $\kappa \in V_\kappa$.

625

626 The other direction is exactly like the first part of above theorem 3.9. \square

627

628 We have transcended \mathbf{ZF} , but that is just a start. Naturally, we could
 629 go on and consider the next inaccessible cardinal, which is inaccessible with
 630 respect to the theory $\mathbf{ZF} + \exists \kappa (\kappa \models \mathbf{ZF})$. But let's try to find a faster way up,
 631 informally at first.

632 TODO muzu rict "inaccessible cardinals are unbounded in V "?

633 Since we can find an inaccessible set larger than any chosen set M_0 , it is
 634 clear that inaccessible cardinals are unbounded in V . If V were a cardinal,
 635 we could say that there are V inaccessible cardinals less than V , but this
 636 statement of course makes no sense in a set theory as is because V is not a
 637 set. But being more careful, we could find a property that can be formalized
 638 in first- or second-order logic and reflect it to an initial segment of V . That
 639 would allow us to construct large cardinals more efficiently than by adding
 640 inaccessibles one by one. The property we are looking for ought to look like
 641 something like this:

$$\begin{aligned} &\kappa \text{ is an inaccessible cardinal and} \\ &\text{there are } \kappa \text{ inaccessible cardinals } \mu < \kappa \end{aligned} \tag{3.38}$$

642 This is in fact a fixed-point type of statement. We shall call those cardinals
 643 hyper-inaccessible. Now consider the following definition.

644

645 **Definition 3.11** *0-inaccessible cardinal*

646 *A cardinal κ is 0-inaccessible if it is inaccessible.*

647 We can define α -weakly-inaccessible cardinals analogously with the only dif-
 648 ference that those are limit, not strongly limit.

649 **Definition 3.12** *α -hyper-inaccessible cardinal*

650 *For any ordinal α , κ is called α -inaccessible, if κ is inaccessible and for each*
 651 *$\beta \prec \alpha$, the set of β -inaccessible cardinals less than κ is unbounded in κ .*

Because κ is inaccessible and therefore regular, the number of β -inaccessibles below κ is equal to κ . We have therefore successfully formalized the above vague notion of hyper-inaccessible cardinal into a hierarchy of α -inaccessibles.

Let's now consider iterating this process over again. Since, informally, V would be α -inaccessible for any α , this property of the universal class could possibly be reflected to an initial segment, the smallest of those will be the first hyper-inaccessible cardinal. Such κ is larger than any α -inaccessible since from regularity of κ , for given $\alpha < \kappa$, κ is κ -th α -hyper-inaccessible cardinal. It is in fact "inaccessible" via α -inaccessibility.

Definition 3.13 *Hyper-inaccessible cardinal*

κ is called the hyper-inaccessible, also 0-hyper-inaccessible, cardinal if it is α -inaccessible for every $\alpha < \kappa$.

Definition 3.14 *α -hyper-inaccessible cardinal*

For any ordinal α , κ is called α -hyper-inaccessible cardinal if for each ordinal $\beta < \alpha$, the set of β -hyper-inaccessible cardinals less than κ is bounded in κ .

Obviously we could go on and iterate it ad libitum, but the nomenclature would be increasingly confusing. A smarter way to accomplish the same goal is carried out in the following section.

TODO typografie – mezery kolem vseh = a asi i vyrokovych ostatnich spojek

3.4 Mahlo Cardinals

While the previous chapter introduced us to a notion of inaccessibility and the possibility of iterating it ad libitum in new theories, there is an even faster way to travel upwards in the cumulative hierarchy, that was proposed by Paul Mahlo in his papers (see [4], [5] and [6]) at the very beginning of the 20th century, and which can be easily reformulated using (*Reflection*). To see how Lévy's initial statement of reflection was influenced by Mahlo's work, refer to section 2.2. The aim of the following paragraphs is to give an intuitive explanation of the idea behind Mahlo's hierarchy of cardinals, all claims made here ought to be stated formally later in the very same chapter.

At the very end of section 3.3, we have tried to establish the notion of hyper-inaccessibility and iterate it to yield even larger large cardinals. In order to avoid too bulky cardinal names, let's try a different route and establish those cardinals directly via reflection.

The following two definitions come from [7] and while they are rather informal, we will find them very helpful for understanding the Mahlo cardinals.

Definition 3.15 *Fixed-point property*

For any $\psi(x, u_1, \dots, u_n)$ which is any property of ordinals, we say that a property φ is a fixed-point property if φ has the form

$$x \text{ is an inaccessible cardinal and there are } x \text{ ordinals less than } x \text{ that have the property } \psi(x, u_1, \dots, u_n) \quad (3.39)$$

TODO this is fixed-point property because ... (/fixed-point ceho?)

Definition 3.16 *Fixed-point reflection*

If φ is a fixed-point property that holds for V , it also holds for some V_α , an initial segment of V .

Obviously those are in on way rigorous definitions because we have no idea what $\psi(x, u_1, \dots, u_n)$ looks like. Let's try to restate the same idea in a useful way. But first, let's show that the formal counterpart of the idea of containing "enough" ordinals with a property is the notion of stationary set.

Definition 3.17 *Supremum*

Given A a set of ordinals, the supremum of A , denoted $\sup(A)$, is the least upper bound of A .

$$\sup(A) = \bigcup A \quad (3.40)$$

where α is an ordinal.

Definition 3.18 *Limit point*

Given A , a set of ordinals and an ordinal α , we say that α is a limit point of A if $\sup(A \cap \alpha) = \alpha$

Definition 3.19 *Club set*

For a regular uncountable κ , a set $A \subset \kappa$ is a closed unbounded subset (often abbreviated as a club set) iff A is both closed, which means it contains all it's limit points, and unbounded, which means that for every $\beta < \kappa$ there is a $\beta' \in A$ such that $\beta < \beta' < \kappa$.

720

721 **Definition 3.20** *Stationary set*722 *For a regular uncountable κ , a set $A \subset \kappa$ is stationary if it intersects every*
723 *club subset of κ .*

724

725 **Theorem 3.21** *The intersection of fewer than κ^{26} club subsets of κ is a club*
726 *set.*

727 For proof, see [3, Theorem 8.3]

728

729 **Definition 3.22** *Normal function: see definition 2.7.*730 **Definition 3.23** *Fixed point*731 *We say α is a fixed point of ordinal function f when $\alpha = f(\alpha)$.*732 **Definition 3.24** *Weakly Mahlo Cardinal*733 *κ is weakly Mahlo \leftrightarrow it is a regular limit ordinal and the set of all regular*
734 *ordinals less than κ is stationary in κ* 735 **Definition 3.25** *Mahlo Cardinal*736 *κ is a Mahlo Cardinal iff it is an inaccessible cardinal and the set of all*
737 *inaccessible ordinals less than κ is stationary in κ .*738 It is interesting to note, that weakly-Mahlo cardinals are fixed points of
739 α -weakly inaccessible cardinals, so if κ is weakly mahlo, .. viz Kanamori
740 Proposition 1.1 Analogously,741 **Definition 3.26** *α -Mahlo Cardinal*742 *κ is a α -Mahlo Cardinal iff it is an α -inaccessible cardinal and the set of all*
743 *α -inaccessible ordinals less than κ is stationary in κ .*

744

745 In other words, κ is a mahlo cardinal if it is inaccessible and every club
746 set in κ contains an inaccessible cardinal. This is exactly the notion of fixed-
747 point reflection we were trying to show earlier.

748

749 The following are two formulations of the same principle, the former is
750 a scheme and the latter a second-order formula, hence the indexes. TODO
751 cite drake?

²⁶ κ is again a regular uncountable cardinal and it will always be when we will be talking about club sets.

Definition 3.27 *Axiom* F_1

If F is a normal function, F has a regular fixed point.

Definition 3.28 *Axiom* F_2

$\forall F$ (" F is a normal function" $\rightarrow \exists \alpha$ (" α is a regular fixed point of F "))

27 28

TODO cite drake?

Definition 3.29 *The following definitions are equivalent:*

- (i) κ is Mahlo
- (ii) κ is weakly Mahlo and strong limit
- (iii) The set $\{\lambda < \kappa : \lambda \text{ is inaccessible}\}$ is stationary in κ .
- (iv) Every normal function on κ has an inaccessible fixed point.

Proof. (i) \leftrightarrow (ii) Let κ_1 be a mahlo cardinal and let κ_2 be a strong limit weakly Mahlo cardinal. We know from the definitions that the set $\{\lambda < \kappa : \lambda \text{ is inaccessible}\}$ is stationary in both κ_1 and κ_2 , the only difference being that κ_1 is a strongly limit cardinal, but κ_2 would be limit from weak Mahloness, wasn't it for the fact that it is also strong limit. This eliminates the only difference between them and therefore κ_1 is also strong limit weakly Mahlo cardinal and κ_2 is Mahlo.

(i) \rightarrow (iii) We know that κ is uncountable, regular, strong limit and that the set $S = \{\lambda < \kappa : \lambda \text{ is regular}\}$ is stationary in κ . We want to prove that $S' = \{\lambda < \kappa : \lambda \text{ is inaccessible}\}$ is thus also stationary in κ .

Since stationary set intersects every club set in κ , let C be any such set. Let $D = \{\lambda < \kappa : \lambda \text{ is strong limit}\}$. D is a club set because TODO. Since intersection of less than κ club sets is a club set, $C \cap D \neq \emptyset$.

TODO proc $\lambda = S \cap C \cap D$ je inaccessible?

(iii) \rightarrow (iv)

TODO jak to tam dela Levy?

(iv) \rightarrow (i)

TODO jak to tam dela Levy?

range kazde normalni funkce je club v On. (nevadi ze On je trida?)

co treba lemma ze pevne body tvori taky club set

mozna by stacilo ze jsou unbounded, tedy kazda normalni funkce ma libovolne velke pevne body. \square

²⁷" F is a normal function" is a first-order formula, see definition 2.7

²⁸" α is a regular fixed point of F " is in fact the following first-order formula: $\alpha = f(\alpha) = cf(\alpha)$.

3.5 Indescribability and Weakly Compact Cardinals Reflecting Large Cardinals

787 TODO obdoba pro α -Mahlo kardinaly

788

789 TODO κ is hyper-Mahlo iff κ is inaccessible and the set $\{\lambda < \kappa : \lambda \text{ is Mahlo}\}$ is stationary in κ .

791 ” We also state the appropriate generalization for greatly Mahlo cardinals.”

792

793 TODO veta na zaver, shrnuti

794 3.5 Indescribability and Weakly Compact Cardinals

795

796 TODO indescribable – reflecting indescribability – we can’t reach V by a Σ_1^1 formula, so there’s some initial segment V_α that is also unreachable (we say indescribable) by the means of a ... formula

798

799 TODO co je ”partition property”?

800 TODO pak dk. ekvivalenci

801 TODO Kanamori 6.3

802 **Definition 3.30** *A cardinal κ is weakly compact if it is uncountable and satisfies the partition property $\kappa \rightarrow (\kappa)^2$*

804 opsano z jecha!

805 3.6 Bernays–Gödel Set Theory

806

807 TODO Plagiat – prepsat a vysvetlit

808 **TODO**

809 3.7 Reflection and the constructible universe

810 TODO reflektovat muzeme jenom kardinaly konzistentni s $V=L$, proc?

811 TODO Plagiat – prepsat a vysvetlit

812 *L* was introduced by Kurt Gödel in 1938 in his paper *The Consistency of the Axiom of Choice and of the Generalised Continuum Hypothesis* and denotes a class of sets built recursively in terms of simpler sets, somewhat similar to Von Neumann universe V . Assertion of their equality, $V = L$, is called the *axiom of constructibility*. The axiom implies GCH and therefore also AC and contradicts the existence of some of the large cardinals, our goal is to decide whether those introduced earlier are among them.

3.7 Reflection and the constructible universe 3. Reflecting Large Cardinals

819 On order to formally establish this class, we need to formalize the notion
820 of definability first:

821 TODO zduvodneni

822

823 TODO kratka diskuse jestli refl implikuje transcendenci na L - polemika,
824 nazor - $V=L$ a slaba kompaktnost a dalsi

825

826 TODO asi nekde bude meritelny kardinal

827 **4 Higher-order reflection**

828 TODO rict ze to je zobecneni a nejaky dalsi uvodni veci

829 **4.1 Sharp**

830 TODO je tohle higher-order vec?

831 **4.2 Welek: Global Reflection Principles**

832 TODO ma to vubec cenu?

833 **5 Conclusion**

834 TODO na konec

References

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