NGOC MINH TRAN

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I have been holding a director level position in data science at Mastercard with expertise in fundamental AI/ML, focusing on GenAI and LLM. Before that I was a staff engineer with IBM Research lab working on AI Security, AutoAI and AI Federated Learning. I also had experience with a US startup company in Silicon Valley working on AI and Big Data, after I finished my PhD and Postdoc in ML. Altogether, I have 15+ years experience in AI domains. Links within the CV are track records.

EDUCATION

 Postdoc, Institute for Research in Computer Science and Automation (INRIA), France 	2012 – 2013
PhD. in Computer Science, Leiden University, The Netherlands	2008 – 2012

ACHIEVEMENTS

Awards

- IBM Research Division Award in Security AI 2019.
- IBM Research Division Award in Auto Al 2019.
- IBM Outstanding Technical Achievement Award in Security Al 2019.
- IBM Outstanding Technical Achievement Award in Federated Al for Hybrid Cloud and Edge 2021.
- IBM First Plateau Invention Achievement Award 2019.
- IBM Second Plateau Invention Achievement Award 2019.
- IBM Third Plateau Invention Achievement Award 2020.

Open Source Recognitions

- Open Source Strategic Committer in 2019.
- Pull-Shark achievements 2 times in Github.
- Arctic Code Vault contributor in Github.

Publications and Patents

- First author (10) and co-author (6) of 16 scientific papers in artificial intelligence (AI) and clouds.
- Lead inventor (12) and co-inventor (9) of 21 US and EU patents in AI domains like security AI, federated AI, auto AI, generative AI and Fintech AI.
- See <u>Google Scholar</u> for the full list of publications and patents.

Professional Certificates

- Developer Certification for Apache Spark, certified by Databricks and O'Reilly Media, Jan 2016.
- Oracle Certified Professional, Java SE 6 Programmer, Oct 2015.
- Revolution R Enterprise Certified Specialist, Aug 2015.
- Cloudera Certified Specialist in Apache HBase, Dec 2014.
- Cloudera Certified Developer for Apache Hadoop, Oct 2014.
- Cloudera Certified Administrator for Apache Hadoop, Oct 2014.

TECHNICAL AND PROJECT MANAGEMENT SKILLS

• Al, machine learning, deep learning, genAl, LLM <u>Very good</u>

• Python <u>Very good</u>

Hadoop, PySpark
 Good

Tensorflow, Pytorch, MLOps, Mlflow, Docker, Databricks
 Good

Agile Scrum, Jira, Git
 Experienced

Kubernetes, AWS
 Knowledgeable

PROFESSIONAL EXPERIENCE

Principal Data Scientist at Mastercard in Ireland (3 years and 6 months)

Oct 2021 - Current

- Architect of several generative AI / LLM / data science projects:
 - Design and implement from scratch Transformer-based models pretrained on transactional time series data to predict future payment transactions. Models are developed with Pytorch framework and automatically deployed on Databricks with Databricks bundle.
 - Build a RAG system on Databricks, fine-tune foundational LLM models on internal data to support cross-functional departments to onboard new projects.
 - Design and implement from scratch machine learning applications for predicting SME credit risk scores, estimating expected loss and merchant utilization, forecasting liquidity, and predicting churn customers.
- Lead a globally distributed team of data scientists across the US and EU and collaborate with multiple stakeholders including senior leaderships and product managers across the projects.

Staff Research Engineer at IBM Research Lab in Ireland (4 years and 9 months)

Feb 2017 - Oct 2021

- Lead research projects for papers and patents in AI such as <u>Automation of Image Data Preprocessing (AutoDP)</u>.
- Be a <u>key member</u> of the open source AI project <u>Adversarial Robustness Toolbox (ART)</u>, play the role of architect, maintainer, reviewer and contributor with <u>1355 commits</u>, <u>73423++ and 45949-- of lines of codes</u>. ART is supported by the US Defense Advanced Research Projects Agency (DARPA), contract number HR001120C0013. **ART has received 5100+ stars**, <u>1200+ forks on Github and has been cited by 750+ research papers</u>.
- Contribute to the key Al project of IBM, the Federated Learning (IBMFL). IBMFL is a Python framework for federated learning in an enterprise environment. It has 2 versions: an <u>enterprise version</u> and an <u>open source</u> <u>version</u>, which has received 500+ stars, 130+ forks on Github and has been cited by 180+ research papers.
- Contribute to the key Al project of IBM, the <u>One Button Machine</u>, which belongs to the AutoAl domain and is implemented as a Watson Machine Learning service of IBM Watson Studio.

Senior Data Scientist at ARIMO (Panasonic, Remote)

2016 - 2017

ARIMO is a California-based leader in deep learning and AI, <u>ranked among the ten most innovative startup companies in data science in 2016</u>. My work includes developing IoT-centric AI models for commercial and manufacturing applications.

Solution Architect (Data Science) at DirecTV (AT&T, Remote)

2014 - 2016

- Manage and coach an R&D team of junior data scientists.
- Data science tech lead of big data project, which aims at building a cloud-based recommendation engine to recommend TV/movies/sport programs to ~40 millions end users of DirecTV.

Postdoc at INRIA, France

2012 - 2013

Involve in an <u>EU-FP7 project</u>, which aims at incorporating innovative hardware and network technologies seamlessly into data centers that provide PaaS cloud infrastructures.

PhD candidate at Leiden University, The Netherlands

2008 - 2012

My PhD mainly focuses on statistical data analysis, modeling and machine learning, especially with stochastic random processes and long-term time series in the domain of clusters and clouds.