

Coordinating Open Innovation through Tokenization: A Methodology

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Abstract

This is the abstract. It consists of two paragraphs.

1 Introduction

This paper presents the preliminary results of an ongoing study that aims at designing new technological solutions for data storage, such as blockchain, to allow firms to perform open innovation by means of decentralized idea challenges. Open innovation is *a paradigm that assumes that firms can and should use external ideas as well as internal ideas, and internal and external paths to market, as the firms look to advance their technology* (Chesbrough, Vanhaverbeke, and West 2006; Vanhaverbeke and Chesbrough 2014). Among the different technologies that have enabled open innovation, blockchain could actually be considered those that instantiate open innovation in an infrastructure for transactions, products, and services (Shrier, Wu, and Pentland 2016). Risen as the technology paradigm on which the bitcoin relied and spread (Nakamoto 2008), blockchain has been receiving increasing attention as the basis for applications beyond cryptocurrencies (D. Tapscott 2017). This is due to the individual relevance of different technologies making it up, such as smart contracts, encryption, and distributed Ledger (Halaburda 2018).

Taking these issues into account, a blockchain generally defined as a *distributed ledger of transactions* (Halaburda 2018) is a continuously growing list of records, called blocks, which are linked and secured using cryptography. Each block typically contains a cryptographic hash of the previous block, a timestamp, and transaction data (Swan 2015; D. Tapscott and Tapscott 2016). Blockchain allows tokenization, *the process of substituting a sensitive data element with a non-sensitive equivalent, referred to as a token, that has no extrinsic or exploitable meaning or value*.

In this article we assess how to use blockchain to perform tokenization of content and to manage idea challenges in a decentralized fashion, in order to increase the number of innovative ideas, defined here as *ideas that differ from each other*

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and meet the requirements of the solution seeker.

Thus, our research question is: **how to use tokenization to manage idea challenges in a decentralized fashion?**

The rest of the paper proceeds as it follows. In section 2 we briefly review the existing literature and we identify the relevant concepts needed to answer our research question. Section 3 summarizes how we used design science to create an artefact under the shape of a method, whereas section 4 describes how we tested it by means of simulation. Section 5 concludes the paper by discussing its limitation and by suggesting further directions of investigation.

2 Background and motivations

In this section, we briefly review existing literature on management literature concerning different solutions to decentralize the innovation process. In the last decade, open innovation (Chesbrough and Borghers 2014) has been more and more considered by private as well as public organizations (Viscusi, Poulin, and Tucci 2015) as a way to obtain competitive advantage through the exploitation of the opportunities and capabilities offered by digitalization (Tilson, Lyytinen, and Sørensen 2010; Yoo 2013) and exploration of alternative solutions to research and development. Among the phenomena related to open innovation the tokenization of work and the adoption of collective contests for ideas searching and problem solving through crowdsourcing (Afuah and Tucci 2012; Tucci, Afuah, and Viscusi 2018; Boudreau and Lakhani 2013; Felin, Lakhani, and Tushman 2017) received an increasing attention as a way to exploit collective intelligence for innovation as well as to improve performance of organizations (Malone and Bernstein 2015; Woolley, Aggarwal, and Malone 2015, Riedl and Woolley (2017)). Taking these issues into account, web-based idea competitions such as Innocentive has already been proven successful at gathering a large set of solvers to address complex problems (K. Lakhani, Lifshitz-Assaf, and Tushman 2012). Bullinger et al. (2010) have reviewed 52 publications on ideas competition and identified 10 design elements for ideas competition, noticing the most common combination is: an (1) online idea competition (2) initiated by a firm (3) that sets a medium amount of specificity concerning the required tasks, which can range from (4) ideas, sketches, concepts, prototype, and working solution, for a (5) specific target group of (6) individuals that (7) competes and cooperates thanks to community functions in the online platform, for (8) a large amount of time, (9) in exchange of a mix between monetary and non-monetary rewards that are given by (10) a jury of experts. With respect to the process used to generate ideas, we refer to Faste et al. (2013), who compared classic brainstorming with two new solutions: (a) chainstorming, where solvers have to use ideas from a previous brainstorming to solve a new problem and (b) cheatstorming, where solvers cannot use new ideas and can only use ideas from previous brainstorming. Since performance of cheatstorming seems to outperform the other options, the authors suggested that idea generation is less about idea and more about dealing with cultural

influence exerted by unconventional ideas on the ideating team. Therefore, tokenization could be used to list the ideas submitted and rewards those that are winning as well as those that are reused, as long as a way to regroup similar ideas in a decentralized fashion.

3 Methodology

In this section we illustrate the chosen methodology to answer our research question. We position our study in the field of design science research (A. Hevner et al. 2004) and we developed an artefact under the shape of a method, as defined by S. T. March and Smith (1995). Accordingly, we have followed the guidelines of Gregor and Hevner (2013) to create a set of design principles as part of a nascent design theory, which is at level 2 of the contribution types. Finally, we have selected simulation as an experimental way to test our assumptions ex-ante in a setting that allowed to control the variables. Artificial evaluation can be seen as unreal due to (a) unreal users, (b) unreal systems, and (c) unreal problems since it is not held by the users and/or not real tasks, etc.(Sun and Kantor 2006). Nonetheless, Pries-Heje, Baskerville, and Venable (2008; Venable, Pries-Heje, and Baskerville 2012) recommend to use this type of artificial evaluation as an ex-ante assessment of the system, before testing it on larger scale with real users (naturalistic evaluations).

In what follows we present our artefact in the shape of a method composed of four phases, as shown in figure 1, and we illustrate how it works by a simple example.

Table 1 illustrates the results of an idea competition concerning how to reduce energy consumption in private households. For sake of simplicity, we will assume to obtain four ideas. In the previous example, there were 5 solvers that generated 9 ideas for 3 idea challenge.

- phase 00 starts by generating the three types of agents: (1) clients, (2) experts and (3) challenge participants.

To create agents participating to the idea challenges (hereinafter referred to as *solvers*), the system takes as initial parameters the whole set of possible market dimensions assessed by the client (*market_dim=2*), the whole set of possible market dimensions assessed by the client (*product_dim=2*), the maximum skill level per dimension (*levels=100*), the number of solvers (*solvers_num=5*).

Name	Solver_ID	Engineering	Finance	Construction	Electronics
Arnold	S1	27	90	21	50
Boris	S2	37	94	18	72
Carla	S3	57	66	69	99
Damien	S4	91	63	38	38
Esteban	S5	20	6	77	78

To create agents as experts, the system takes the overall number of experts (=5) and it sets the minimum skill for *product* dimensions at 50/100.

Name	Expert_ID	Engineering	Finance	Construction	Electronics
Alice	E1	97	69	48	67
Bob	E2	61	51	60	79
Charlie	E3	83	69	49	11
Daniela	E4	56	93	19	72
Eric	E5	63	67	83	41

To create agents as clients, the system uses the number of clients (*clients_num*=3), and it sets the minimum skill for *business* dimensions at 50/100.

Name	Client_ID	Engineering	Finance	Construction	Electronics
Anais	C1	82	55	51	85
Bodgan	C2	65	53	74	74
Charles	C3	78	79	87	93

- phase 01 creates an idea challenge by assigning ideas to a random pool of solvers (one solver can have multiple ideas in the same idea challenge).

Solver_ID	Idea_ID	Engineering	Finance	Construction	Electronics	Prize
S3	I1	17	22	66	24	0
S2	I2	17	79	8	10	0
S1	I3	9	31	15	12	0
S1	I4	18	30	8	3	0
S2	I5	10	45	6	46	0
S3	I6	27	59	52	87	0
S4	I7	70	54	8	30	0
S3	I8	5	26	49	79	0
S5	I9	18	5	9	36	0

- In phase 02, a client and 2 experts assess the ideas of the solvers. Each agent (client or expert) can only appreciate an idea up to his/her skill. Hence, if P1 of an idea is 99 and the P1 of an agent is 9, the score of the idea for P1 is 9.

Idea_ID	Engineering	Finance	Construction	Electronics	Prize	score
I6	27	55	52	68	NA	50
I7	65	53	8	30	NA	39
I8	5	26	49	66	NA	36
I1	17	22	59	24	NA	30
I5	10	45	6	44	NA	26
I2	17	58	8	10	NA	23
I3	9	31	15	12	NA	17
I9	18	5	9	36	NA	17
I4	18	30	8	3	NA	15

- phase 03 rewards the best idea with a prize of 10 points.

Solver_ID	Idea_ID	Engineering	Finance	Construction	Electronics	Prize
S3	I1	17	22	66	24	0
S2	I2	17	79	8	10	0
S1	I3	9	31	15	12	0
S1	I4	18	30	8	3	0
S2	I5	10	45	6	46	0
S3	I6	27	59	52	87	10
S4	I7	70	54	8	30	0
S3	I8	5	26	49	79	0
S5	I9	18	5	9	36	0

TO DO:

Under the assumption of adverse selection, the experts are allowed to evaluate only product dimensions and the client only business dimensions.

Under the assumption of near-knightian uncertainty, the business scores of the winning idea are transferred to the client, who learns about new business dimensions.

Under the assumption of creative-destruction, the scores of the winning idea are transferred to all solvers of the challenge, making it harder for the winner to win again with the same idea.

Each idea receives a unique identification number, which is associated with the unique ID of the user (Step 1). Then, by means of automatic or manual classification, the ideas are assigned to clusters showing the “stance” of each idea: for example, “behavioural” vs. “technical” or “engineering” oriented idea (Step 2). For sake of discussion, we can also assume that a set of evaluators give a score between 1 and 9 for each idea according to three dimensions, which have different weights (D1 is 100, D2 is 10 and D3 is 1). Finally, the first idea of each cluster is selected, the selected players (065, 177 and 356) win a prize and are invited for phase 2.

In the second phase, the players perform chainstorm and combine the ideas that passed phase 01, to produce new ideas. Table 2 shows the creation of two new ideas. In this step, the ideas are assessed by evaluators, who give a score between 1 and 9 for each idea according to three dimensions, which have different weights (D1 is 100, D2 is 10 and D3 is 1). For sake of illustration, we can assume that the score of two ideas in one dimension is the sum of the scores of the two individual ideas in that dimension. That allows us to predict the score of idea 005 and idea 006, and choose idea 686, since it maximizes the satisfaction of the client.

A standard idea challenge would have rewarded the best idea (in this case idea 003, with a score of 724). Nonetheless, that would require an expert assessment of all ideas, whereas our model allows assessing only the pooled ideas, which are the sum of possible combinations among ideas selected in phase 01. Hence, ideas to assess = $\{[1+(\text{clusters}/2)]*(\text{cluster}/2)]/2$ That leads to the first design guideline for a decentralized idea challenge, which is associated with a testable proposition.

P1: automatic clustering of tokenized ideas in a blockchain and random se-

lection significantly reduce the number of ideas to assess but it decreases the quality of the outcome.

Moreover, one could expect the client to seek for a solution that scores 9 in every dimension, which leads to 999 as target in our example. Thus, it is possible to estimate the difference between the target and the chosen idea in phase 02, which is $999 - 687 = 312$. Setting 312 as the new target, we could select the ideas from phase 01 that are below the target, in our case idea 002 and idea 004. Then, we could set up a cheatstorming competition that rewards all ideas below the target for contribution, and then select the best pool of ideas created on a previous context. In our case, the combination of idea 002 and idea 004 reaches 312. This idea would have not be retained in phase 02, but it now perfectly fits the narrow problem of the client. Moreover, the number of pooled ideas might be significant in this step, but it still below the number of ideas to assess by experts in a standard idea challenge.

That leads to the second design guideline for a decentralized idea challenge, which is associated with a testable proposition.

P2: collection of tokenized ideas in a blockchain done in a previous challenge increases the quality of the outcome but it increases the number of ideas to assess

4 Preliminary results by simulation

We use R Statistical package Team (2013) to write an agent-based simulation, that is a computerized simulation of a number of decision-makers (agents), which interact through prescribed rules (Farmer and Foley 2009). Our code has four functions, corresponding to the following phases:

Challenge	Solver_ID	Idea_ID	P1	P2	B1	B2	Prize	UID
1	S5	I1	22	51	26	3	10	C1I1
1	S3	I2	18	26	1	10	0	C1I2
1	S2	I3	46	35	83	23	0	C1I3
1	S1	I4	18	9	47	78	0	C1I4
1	S2	I5	22	10	19	7	0	C1I5
1	S5	I6	3	42	35	4	0	C1I6
1	S1	I7	25	44	36	28	0	C1I7
1	S5	I8	22	42	64	2	0	C1I8
1	S1	I9	30	38	1	61	0	C1I9
2	S4	I1	2	42	3	1	0	C2I1
2	S4	I2	2	42	5	1	0	C2I2
2	S2	I3	55	13	29	9	0	C2I3
2	S1	I4	23	35	12	61	0	C2I4
2	S3	I5	49	22	69	20	0	C2I5
2	S1	I6	22	37	30	76	10	C2I6
2	S2	I7	13	26	9	7	0	C2I7
2	S2	I8	28	55	10	17	0	C2I8
2	S1	I9	24	19	32	75	0	C2I9
3	S1	I1	32	32	11	11	0	C3I1
3	S5	I2	15	26	40	5	0	C3I2
3	S2	I3	22	7	17	9	0	C3I3
3	S4	I4	11	30	3	1	0	C3I4
3	S2	I5	45	57	14	24	10	C3I5
3	S1	I6	25	11	6	29	0	C3I6
3	S1	I7	29	31	14	50	0	C3I7
3	S3	I8	11	34	28	16	0	C3I8
3	S1	I9	26	45	48	35	0	C3I9

5 Discussions

In this section we assess our article by using the six guidelines for a design science paper of (A. Hevner et al. 2004). The first guideline states that design-science research must produce a viable artifact in the form of a construct, a model, a method, or an instantiation: our artefact is a model for a decentralized idea challenge, which uses tokenization in a blockchain to store ideas. The second guideline claims that the objective of design-science research is to develop technology-based solutions to important and relevant business problems. Our solution is based on a new technology and it addresses an increasing need for firms that seek to innovate. The third guideline reminds that the utility, quality, and efficacy of a design artifact must be rigorously demonstrated via well-executed evaluation methods. We have chosen to use agent-based simulation as a form of ex-ante artificial assessment, being aware of its advantages and shortcomings. The fourth guideline says that effective design-science research must provide clear and verifiable contributions in the areas of the design

artifact, design foundations, and/or design methodologies. Our artefact builds on previous research on idea challenges and it extends it by offering design guidelines to implement it in a business context. The fifth guidelines suggests that design-science research relies upon the application of rigorous methods in both the construction and evaluation of the design artifact. In this paper we have illustrated the kernel theories that led to our solution and the underlying assumption in our evaluation. The sixth guideline concerns the search for an effective artifact that requires utilizing available means to reach desired ends while satisfying laws in the problem environment. Although the simulation has used a large set of agents to test the boundary conditions of our design theory, while building our model, we took into account the tools that a firm would have to create an idea challenge. Finally, the seventh guideline justify our choice of targeting this conference, since design-science research must be presented effectively both to technology-oriented as well as management-oriented audiences. This research project is currently ongoing and it has two major limitations. On the one hand, future research shall evaluate our model in a real context, by performing experimental tests with people solving the same problem while using different techniques. On the other hand, in a future paper we shall describe how to perform automatic idea clustering of the ideas by means of unsupervised algorithm that analyses the corpora of all the idea and performs text analysis. Nonetheless, our model already offers to small and big firms the possibility to exploit a new technology to manage idea challenges in an effective and efficient way, and it opens new directions for research, such as combining game theory to assess the payoff of agents playing different theories as well as exploring the other features of blockchain to improve the performance of open innovation.

6 Conclusion

In this paper we have proposed a method for exploiting tokenization as a way to identify, select, and create new ideas from contests, challenges, and crowd-sourcing. The current proposals present a set of limitations, whose solution will be explored in future work. In particular, the assignment of values to ideas would require further investigation. Actually, imagining that each idea receives a grade of 1 to 9 on three dimensions, and the three dimensions have different weights (100, 10 and 1), one can describe the value of the idea with numbers from 1 to 1000. The target will always be $9 * 10^n$ is the number of dimensions, because the customer would like to have everything at its best. Furthermore, in this paper we have considered $10^n + 1$ to model the fact that the customer will never be satisfied. Another limitation is that the artefact is still at experimental level and the number of tested results is still too small for both justifying it and to have an explicit theory from it. As to this issue, in future work we are going to explore too different solutions that are, 1) to divide ideas into clusters (with a clustering algorithm) and take the first idea of each cluster, which allows to reduce the costs of evaluation drastically, and 2) developing and analyzing a multiple-step challenge, which can increase the payoff for agents, at

limited costs for the customer. Notwithstanding the current limitations, we believe that the method would represent a first step towards a full understanding of how to exploit the opportunities offered by technologies such as blockchain for open innovation, thus bridging the gap between technical and managerial perspectives characterizing the two state of the art stances with regard the two topics.

7 Annexes: R code of the agent-based simulation

```
# Setting up a function to generate ideas
phase00 <- function(target, totAgents, totIdeas, clusters) {
  set.seed(1) #Setting a seed to allow comparable results
  # this allows multiple ideas to one owner
  agentsId <-
  as.integer(rnorm(totIdeas, totAgents / 2, totAgents / 10))
  set.seed(1) #Setting a seed again
  # Generating random numbers in a uniform distribution
  idea <-
  as.integer(runif(totIdeas, min = 0, max = target))
  #Extracting the cluster by dividing each number to ...
  # ... the number of clusters
  ideaClusters <-
  1 + as.integer(idea / (target / clusters))
  agentsReward_01 <-
  rep(0, totIdeas) # A variable associated to phase 01
  agentsReward_02 <-
  rep(0, totIdeas) # A variable associated to phase 02
  #Creating a dataframe with ID, value and cluster
  ideas <-
  data.frame(agentsId,
  idea,
  ideaClusters,
  agentsReward_01,
  agentsReward_02)
  return(ideas)
}

# Setting up a function to select ideas
phase01 <- function(clusters, ideas) {
  #Creating a dataframe with Cluster ID, Winner ID and value
  idClusters <- seq(1:clusters)
  idWinners <- rep(NA, clusters)
  valueWinners <- rep(NA, clusters)
  listWinners <- data.frame(idClusters, idWinners, valueWinners)
  lenIdeas <- dim(ideas)[1]
```

```

for (i in 1:lenIdeas) {
  #Reward the owners of the selected ideas
  j <- ideas[i, 3] # Check the cluster of the idea
  if (is.na(listWinners[j, 2])) {
    # If the cluster of the agent does not have a winner ...
    # ... (it's value is NA) ...
    listWinners[j, 2] <- ideas[i, 1] # ... use the agent's ID
    listWinners[j, 3] <- ideas[i, 2] # ... use the idea's value
    # rewarding the selected agent by increasing the reward to 1
    # (taking into account multiple challenges)
    ideas[i, 4] <-
    1 + ideas[i, 4]
  }
}
return(ideas)
}

# Setting up a function to pool ideas
phase02 <- function (selectedIdeas, target) {
  library(lpSolve)
  ideas <- selectedIdeas
  #Filtering the list of winners before performing a left join ...
  # ... with the pooled ideas
  listWinners <-
  filter(ideas, agentsReward_01 > 0)
  # Trying to pool the retained ideas by looking for ...
  #... the best combination of coefficients
  objective.in <-
  listWinners[, 2]
  # The sum of the pooled ideas ...
  mat <-
  matrix(listWinners[, 2], nrow = 1, byrow = TRUE)
  dir <- "<=" # ... should be below ...
  rhs <- target # ... the target
  optimum <-
  lp(direction = "max",
  objective.in,
  mat,
  dir,
  rhs,
  all.bin = TRUE) # Which is the best combination of pooled ideas?
  optimum$solution # The selected ideas
  listWinners[, 5] <- optimum$solution #Assign prizes
  pooledIdeas <- ideas %>%
  left_join(listWinners,
  by = c("agentsId", "idea", "ideaClusters", "agentsReward_01"))
}

```

```

# Taking into account previous idea challenges
agentsReward_02 <-
polledIdeas$agentsReward_02.x + polledIdeas$agentsReward_02.y
polledIdeas <-
data.frame(polledIdeas, agentsReward_02) #add the new column
polledIdeas[5] <- NULL # Removing the redundant columns
polledIdeas[5] <- NULL # Removing the redundant columns
return(polledIdeas)
}

# Setting up a function to summarize the rewards
phase03 <- function(ideas, target) {
library(tidyverse)
agentsRewards <- ideas %>%
gather('agentsReward_01',
'agentsReward_02',
key = "Phase",
value = "Rewards")
winners <-
summarise(group_by(agentsRewards, agentsId, idea),
Rewards = sum(Rewards, na.rm = TRUE))
winners <- arrange(winners, idea) #Sorting ideas
problemSolved <- sum(filter(winners, Rewards > 1)[, 2])
print(filter(winners, Rewards > 0)) # Print results
print(mean(winners$Rewards))
print(problemSolved)
print(target - problemSolved)
return(agentsRewards)
}

# Setting up a function to run an idea Challenge
ideaChallenge <-
function(target,
totAgents,
totIdeas,
clusters,
sortedIdea) {
generatedIdeas <- as.data.frame(seq(1:totIdeas))
# Generating ideas
generatedIdeas <-
phase00(target, totAgents, totIdeas, clusters)
if (sortedIdea)
generatedIdeas <-
arrange(generatedIdeas, desc(idea)) # Sorting ideas by experts
selectedIdeas <-
phase01(clusters, generatedIdeas) # Selecting ideas
polledIdeas <- phase02(selectedIdeas, target) # Polling ideas

```

```

agentsRewards <- phase03(polledIdeas, target)
return(agentsRewards)
}
##RUN THE SIMULATION
# MODEL 0. Standard idea challenge Benchmark
target <- 1e9 # we set the target at 1'000'000'000
totAgents <- 1e3
totIdeas <- 1e4
clusters <- 1
generatedIdeas0 <- as.data.frame(seq(1:totIdeas))
# Generating ideas
generatedIdeas0 <-
phase00(target, totAgents, totIdeas, clusters)
generatedIdeas0 <-
arrange(generatedIdeas0, desc(idea)) #Sorting ideas by experts
selectedIdeas0 <-
phase01(clusters, generatedIdeas0) # Selecting ideas
agentsRewards0 <- selectedIdeas0 %>%
gather('agentsReward_01',
'agentsReward_02',
key = "Phase",
value = "Rewards")
winners <- dim(filter(agentsRewards0, Rewards > 0))[1]
quality <- filter(agentsRewards0, Rewards == 1)[, 2]
prize <- mean(agentsRewards0$Rewards) * totAgents
challengeID <- "Single Winner"
performance <- data.frame(challengeID, winners, quality, prize)
performance$winners # Winners
performance$quality # Sum of the pooled ideas
performance$prize # Cost of prizes
# MODEL 1A: Selecting the first idea for each cluster
target <- 1e9 # we set the target at 1'000'000'000
totAgents <- 1e3
totIdeas <- 1e4
clusters <- 10
agentsRewards1_1 <-
ideaChallenge(target, totAgents, totIdeas, clusters, FALSE)
# Model 1B: Selecting the idea for each cluster with ...
#...the highest score

#using sorted ideas
agentsRewards2 <-
ideaChallenge(target, totAgents, totIdeas, clusters, TRUE)
# MODEL 2: Cheats storming
target <- remainder1_1 # New target

```

```

generatedIdeas <- agentsRewards1_1 %>%
spread(key = Phase, value = Rewards) # Spread the table
generatedIdeas <-
filter(generatedIdeas, idea < target) #Select ideas
# New clusters
generatedIdeas$ideaClusters <-
1 + as.integer(generatedIdeas$idea / (target / clusters))
# Reward all contributions in phase 01
generatedIdeas$agentsReward_01 <-
1 / dim(generatedIdeas)[1] + generatedIdeas$agentsReward_01
if (is.na(generatedIdeas$agentsReward_02)) {
generatedIdeas$agentsReward_02 <- 0 # Remove NA from column 2
}
selectedIdeas <- generatedIdeas
pooledIdeas <- phase02(selectedIdeas, target) # Pooling ideas
# Check number of winners of phase
agentsRewards <- phase03(pooledIdeas, target)
filter(agentsRewards, Rewards >= 1)

```

8 References

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