

# Keras: Theory and Examples

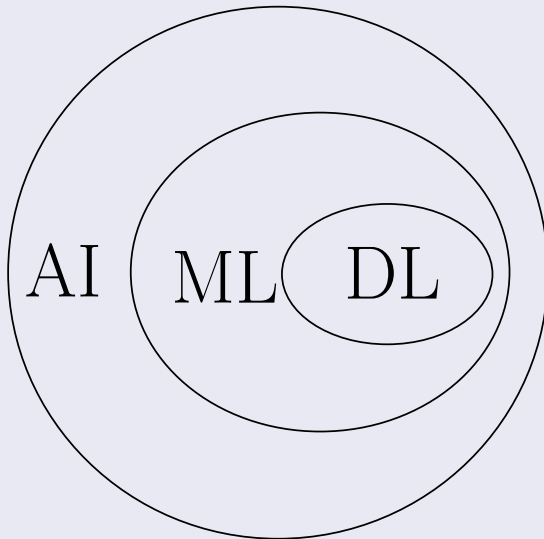
IIT PATNA

December 11, 2018

# OUTLINE

- 1 Keras: Introduction
- 2 Installing Keras
- 3 Keras: Building, Testing, Improving A Simple Network

# Introduction



# Architecture of a Neural Network

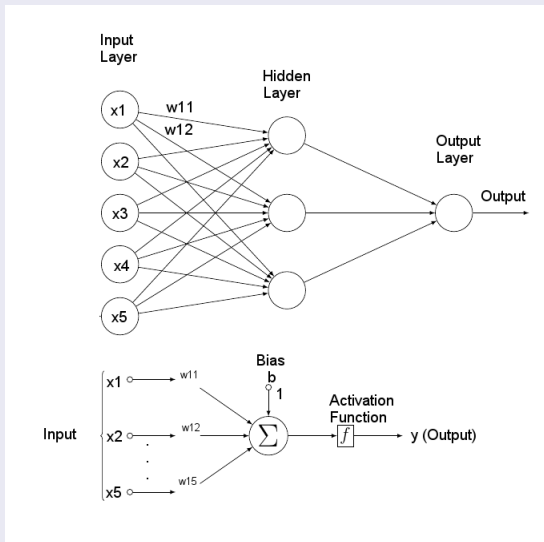


Figure: A Neural Network

# Architecture of a Neural Network

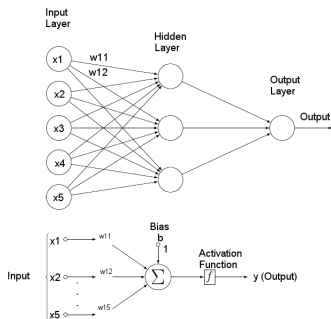


Figure: A Neural Network

## Learning Steps (Decisions to be made):

- 1 Application (Problem)
- 2 Type of model
- 3 No. of layers
- 4 No. of nodes
- 5 Initialization of weights
- 6 Activation Function
- 7 Optimization Function
- 8 Evaluation Metrics
- 9 Dataset
- 10 Testing and Training Data
- 11 Batch size
- 12 Epoch

- NN: development (implementation and experimentation) is difficult.

## Keras is

- high-level neural networks library
- written in Python
- capable of running on top of
  - TensorFlow (open source software library for numerical computation)
  - Theano (numerical computation library for Python)
  - CNTK (Microsoft Cognitive Toolkit): Deep learning framework
- developed with a focus on enabling fast experimentation (through user friendliness, modularity, and extensibility)
- and much more

# Guiding principles

- Modularity
  - configurable modules
    - neural layers, cost functions, optimizers, initialization schemes, activation functions, regularization schemes are all standalone modules that you can combine to create new models
- Minimalism
  - Each module should be kept short and simple
- Easy extensibility
  - New modules are simple to add (as new classes and functions)
  - suitable for advanced research
- Work with Python
  - Models are described in Python code, which is compact, easier to debug, and allows for ease of extensibility
- User friendliness

# Dependencies

- No need to worry
- Python 2.7+
- numpy: fundamental package for scientific computing with Python
- scipy: library used for scientific computing and technical computing
- Matplotlib (Optional, recommended for exploratory analysis)
- HDF5 and h5py (Optional, required if you use model saving/loading functions)
- Theano



# Installation

- Once again no need to worry
- Follow instructions provided in "keras installation" file
- Alternatively you may visit [Keras Installation Page](#)

# Keras provides

## What is in the toolbox ?

- Models
- Layers
- Preprocessing
- Metrics
- Optimizers
- Activations
- Datasets
- Constraints
- Initializers
- Loss (Objective) Function
- and many more...

- Model
  - core data structure of Keras
  - a way to organize layers
- Two types:
  - Sequential
  - Model class API
- Sequential Model: a linear stack of layers
- functional API: for defining complex models, such as models with shared layers

# Layers

- Core Layers
  - Dense
  - Activation
  - Dropout
  - Flatten
  - many more ...
- Convolutional Layers
- Pooling Layers
- Recurrent Layers
- Your own Keras layers
- and many more ...

# Core Layers

## Dense

- fully connected NN layer: connection to all activation in previous layer

## Activation

- Applies an activation function
  - detailed next

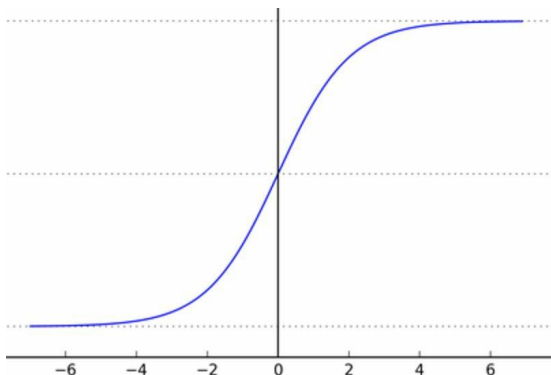
## Dropout

- Applies Dropout to the input
- randomly setting a fraction  $p$  of input units to 0
- prevent overfitting

## Flatten

- Flattens the input
- many more

# Activation Function: Sigmoid



$$\sigma(x) = \frac{1}{1 + e^{-x}} \quad (1)$$

Figure: Sigmoid Function

# Activation Function: ReLU (rectified linear unit)

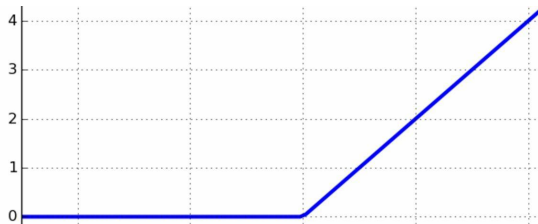


Figure: ReLU

$$f(x) = \max(0, x) \quad (2)$$

# Activation Function: softmax

- usually used on the output layer to turn the outputs into probability-like values
- Sigmoid: two class
- softmax: multiclass

$$\sigma(z)_i = \frac{e^{z_i}}{\sum_{j=1}^K e^{z_j}} \quad (3)$$

for  $i=1$  to  $K$  and  $K$  is number of output units in output layer



# Activation Function

linear

$$f(x) = x \quad (4)$$

- and many more...

# Keras provides

## Optimizer

- the specific algorithm used to update weights while we train our model
- such as *sgd* (Stochastic gradient descent optimizer)

## objective function or loss function

- used by the optimizer to navigate the space of weights
- such as *mse* (mean squared error)

## metrics

- used to judge the performance of your model
- such as *accuracy*

- Keras provides nice API
- documentation
- few with small example

# Building a Simple Deep Learning Network Using Keras

## Steps

- Import libraries and modules
- Load image data
- Preprocess data
- Define model architecture
- Compile model
- Fit and evaluate Model
- Improvements

- mnist

# Building a Simple Deep Learning Network Using Keras

## Import libraries and modules

- as in example file

## Dataset

- Keras provides in-built support to many datasets
- such as MNIST
  - database of handwritten digits
  - used extensively in optical character recognition and machine learning research
  - training set of 60,000 examples, and a test set of 10,000 examples
  - digits have been size-normalized and centered in a fixed-size image
  - black and white digits
  - 28×28 pixels
  - Keras provides method to load MNIST data set (example file)

# Building a Simple Deep Learning Network Using Keras

## Preprocessing input data for Keras

- With Theano backend, the depth of the input image must be declared explicitly
- MNIST images have a depth of 1
- Also, convert data type to *float32* and normalize values
- as in example

## Preprocessing class labels for Keras

- 10 different classes, one for each digit
- as in example

# Building a Simple Deep Learning Network Using Keras

## Model Architecture

- Usually most time consuming
- Use sequential model
- a Sequential model is declared as  
`model = Sequential()`
- Adding layers
  - The model needs to know what input shape it should expect
  - first layer in a Sequential model (and only the first, because following layers can do automatic shape inference) needs to receive information about its input shape
  - `Dense(32, input_dim=784)` specifies that it is
    - first (input) layer
    - output dimension is 32 (1<sup>st</sup> argument
    - input dimension is 784
    - If no activation function specified, no activation is applied (ie. "linear" activation:  $a(x) = x$ ).



# Model architecture

- one hidden layer with the same number of neurons as there are inputs (784)
- init: name of initialization function for the weights of the layer. normal for values randomly drawn from normal distribution.
- there are many other initializations available in Keras
- rectifier activation function is used for the neurons in the hidden layer
- softmax activation function is used on the output layer to turn the outputs into probability-like values and allow one class of the 10 to be selected as the models output prediction

# Compile model

- Before training, configure the learning process, using `compile()` method. Three arguments:
  - optimizer: ANN training process is an optimization task with the aim of finding a set of weights to minimize some objective function
  - loss function: the objective function that model try to minimize
  - list of metrics: used to judge performance of model, similar to objective function however not used for training purpose
- Logarithmic loss is used as the loss function
- ADAM gradient descent algorithm is used to learn the weights

# Train and Evaluate model

## Train model

using `fit()` function

## Evaluate model on test data

using `evaluate()` function

# Performance of Simple Network

```
temp : bash - Konsole
File Edit View Bookmarks Settings Help
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)

Layer (type)                Output Shape                Param #                     Connected to
=====
dense_1 (Dense)              (None, 784)                 615440                      dense_input_1[0][0]
=====
dense_2 (Dense)              (None, 10)                 7850                       dense_1[0][0]
=====

Total params: 623,290
Trainable params: 623,290
Non-trainable params: 0

None
Train on 60000 samples, validate on 10000 samples
Epoch 1/2
60000/60000 [=====] - 4s - loss: 0.2744 - acc: 0.9221 - val_loss: 0.1356 - val_acc: 0.9601
Epoch 2/2
60000/60000 [=====] - 4s - loss: 0.1078 - acc: 0.9688 - val_loss: 0.0957 - val_acc: 0.9707
9600/10000 [=====>...] - ETA: 0s Error: 2.93%
niraj@niraj-Veriton-M200-Q87:~/temp$
```

# Improving Performance of Simple Network: additional hidden layers

```
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)
```

Layer (type)	Output Shape	Param #	Connected to
dense_1 (Dense)	(None, 784)	615440	dense_input_1[0][0]
dense_2 (Dense)	(None, 784)	615440	dense_1[0][0]
dense_3 (Dense)	(None, 10)	7850	dense_2[0][0]

```
Total params: 1,238,730
Trainable params: 1,238,730
Non-trainable params: 0

None
Train on 60000 samples, validate on 10000 samples
Epoch 1/2
60000/60000 [=====] - 8s - loss: 0.2184 - acc: 0.9354 - val_loss: 0.1094 - val_acc: 0.9639
Epoch 2/2
60000/60000 [=====] - 8s - loss: 0.0755 - acc: 0.9767 - val_loss: 0.0852 - val_acc: 0.9720
9824/10000 [=====>.] - ETA: 0s Error: 2.80%
niraj@niraj-Veriton-M200-Q87:~/temp$
```

# Improving Performance of Simple Network: additional hidden layers

```
temp : bash - Konsole
File Edit View Bookmarks Settings Help
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)

Layer (type)                Output Shape          Param #      Connected to
=====
dense_1 (Dense)              (None, 784)           615440       dense_input_1[0][0]
=====
dense_2 (Dense)              (None, 784)           615440       dense_1[0][0]
=====
dense_3 (Dense)              (None, 784)           615440       dense_2[0][0]
=====
dense_4 (Dense)              (None, 10)            7850         dense_3[0][0]
=====
Total params: 1,854,170
Trainable params: 1,854,170
Non-trainable params: 0

None
Train on 60000 samples, validate on 10000 samples
Epoch 1/2
60000/60000 [=====] - 11s - loss: 0.1999 - acc: 0.9388 - val_loss: 0.0950 - val_acc: 0.9712
Epoch 2/2
60000/60000 [=====] - 12s - loss: 0.0751 - acc: 0.9770 - val_loss: 0.0914 - val_acc: 0.9738
9920/10000 [=====.] - ETA: 0s Error: 2.62%
niraj@niraj-Veriton-M200-Q87:~/temp$
```

# Improving Performance of Simple Network: introducing dropout layer

```
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)
```

Layer (type)	Output Shape	Param #	Connected to
dense_1 (Dense)	(None, 784)	615440	dense_input_1[0][0]
dense_2 (Dense)	(None, 784)	615440	dense_1[0][0]
dense_3 (Dense)	(None, 784)	615440	dense_2[0][0]
dropout_1 (Dropout)	(None, 784)	0	dense_3[0][0]
dense_4 (Dense)	(None, 10)	7850	dropout_1[0][0]

```
=====
Total params: 1,854,170
Trainable params: 1,854,170
Non-trainable params: 0

None
Train on 60000 samples, validate on 10000 samples
Epoch 1/2
60000/60000 [=====] - 13s - loss: 0.2014 - acc: 0.9386 - val_loss: 0.1017 - val_acc: 0.9697
Epoch 2/2
60000/60000 [=====] - 14s - loss: 0.0771 - acc: 0.9760 - val_loss: 0.0811 - val_acc: 0.9740
10000/10000 [=====] - 1s
Error: 2.60%
niraj@niraj-Veriton-M200-Q87:~/temp$
```

# Improving Performance of Simple Network: using different optimizers

```
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)
```

Layer (type)	Output Shape	Param #	Connected to
dense_1 (Dense)	(None, 784)	615440	dense_input_1[0][0]
dense_2 (Dense)	(None, 784)	615440	dense_1[0][0]
dense_3 (Dense)	(None, 784)	615440	dense_2[0][0]
dropout_1 (Dropout)	(None, 784)	0	dense_3[0][0]
dense_4 (Dense)	(None, 10)	7850	dropout_1[0][0]

```
=====
Total params: 1,854,170
Trainable params: 1,854,170
Non-trainable params: 0
=====
None
Train on 60000 samples, validate on 10000 samples
Epoch 1/2
60000/60000 [=====] - 9s - loss: 1.0352 - acc: 0.7382 - val_loss: 0.4882 - val_acc: 0.8791
Epoch 2/2
60000/60000 [=====] - 10s - loss: 0.4422 - acc: 0.8784 - val_loss: 0.3497 - val_acc: 0.9051
9984/10000 [=====>.] - ETA: 0s Error: 9.49%
niraj@niraj-Veriton-M200-Q87:~/temp$
```



# Improving Performance of Simple Network: training for more number of epochs

```
niraj@niraj-Veriton-M200-Q87:~/temp$ python 1.py
Using Theano backend.
(60000, 28, 28)
(60000,)
```

Layer (type)	Output Shape	Param #	Connected to
dense_1 (Dense)	(None, 784)	615440	dense_input_1[0][0]
dense_2 (Dense)	(None, 784)	615440	dense_1[0][0]
dense_3 (Dense)	(None, 784)	615440	dense_2[0][0]
dropout_1 (Dropout)	(None, 784)	0	dense_3[0][0]
dense_4 (Dense)	(None, 10)	7850	dropout_1[0][0]

```
=====
Total params: 1,854,170
Trainable params: 1,854,170
Non-trainable params: 0
=====
None
Train on 60000 samples, validate on 10000 samples
Epoch 1/20
60000/60000 [=====] - 10s - loss: 1.0537 - acc: 0.7378 - val_loss: 0.4933 - val_acc: 0.8815
Epoch 2/20
60000/60000 [=====] - 9s - loss: 0.4407 - acc: 0.8813 - val_loss: 0.3505 - val_acc: 0.9054
Epoch 3/20
60000/60000 [=====] - 10s - loss: 0.3512 - acc: 0.9016 - val_loss: 0.3008 - val_acc: 0.9187
Epoch 4/20
```

# Improving Performance of Simple Network: training for more number of epochs

```
temp : bash - Konsole
File Edit View Bookmarks Settings Help
60000/60000 [=====] - 9s - loss: 0.2415 - acc: 0.9314 - val_loss: 0.2227 - val_acc: 0.9377
Epoch 8/20
60000/60000 [=====] - 10s - loss: 0.2280 - acc: 0.9348 - val_loss: 0.2114 - val_acc: 0.9404
Epoch 9/20
60000/60000 [=====] - 9s - loss: 0.2150 - acc: 0.9386 - val_loss: 0.2007 - val_acc: 0.9428
Epoch 10/20
60000/60000 [=====] - 9s - loss: 0.2036 - acc: 0.9420 - val_loss: 0.1931 - val_acc: 0.9454
Epoch 11/20
60000/60000 [=====] - 10s - loss: 0.1934 - acc: 0.9446 - val_loss: 0.1835 - val_acc: 0.9477
Epoch 12/20
60000/60000 [=====] - 10s - loss: 0.1845 - acc: 0.9476 - val_loss: 0.1775 - val_acc: 0.9497
Epoch 13/20
60000/60000 [=====] - 10s - loss: 0.1757 - acc: 0.9500 - val_loss: 0.1714 - val_acc: 0.9508
Epoch 14/20
60000/60000 [=====] - 9s - loss: 0.1689 - acc: 0.9516 - val_loss: 0.1649 - val_acc: 0.9525
Epoch 15/20
60000/60000 [=====] - 10s - loss: 0.1614 - acc: 0.9541 - val_loss: 0.1584 - val_acc: 0.9532
Epoch 16/20
60000/60000 [=====] - 10s - loss: 0.1546 - acc: 0.9556 - val_loss: 0.1549 - val_acc: 0.9547
Epoch 17/20
60000/60000 [=====] - 9s - loss: 0.1484 - acc: 0.9583 - val_loss: 0.1491 - val_acc: 0.9564
Epoch 18/20
60000/60000 [=====] - 10s - loss: 0.1429 - acc: 0.9593 - val_loss: 0.1455 - val_acc: 0.9565
Epoch 19/20
60000/60000 [=====] - 10s - loss: 0.1373 - acc: 0.9611 - val_loss: 0.1412 - val_acc: 0.9579
Epoch 20/20
60000/60000 [=====] - 10s - loss: 0.1324 - acc: 0.9623 - val_loss: 0.1381 - val_acc: 0.9583
10000/10000 [=====] - 1s
Error: 4.17%
niraj@niraj-Veriton-M200-Q87:~/temp$
```

# Improving Performance of Simple Network

## other options to explore

- different learning rate for optimizer
- number of neurons in hidden layer
- batch size
- with additional hidden layers
- with dropout
- with different optimizers
- with more number of epochs
- Controlling the optimizer learning rate
- Increasing the number of internal hidden neurons
- Increasing the size of batch computation

# Constructing the Right Network

steps to follow to make an efficient image classifier?

- lot of experimentation and testing to get the optimal structure and parameters

## Links

- 1 Keras Official Documentation Page
- 2 keras official github
- 3 Keras GitHub page
- 4 Another GitHub Page
- 5 GitHub Page MNIST example
- 6 Keras Tutorial
- 7 An Example
- 8 Another Example
- 9 Deep Learning with Keras (Book)

# The End