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## Master's Thesis

# Music Similarity Analysis Using the Big Data Framework Spark

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# Abbreviations

<b>BH</b>	Beat Histogram
<b>BPM</b>	Beats Per Minute
<b>BRP</b>	Bucketed Random Projection
<b>DAG</b>	Sirected Acyclic Graph
<b>DCT</b>	Siscrete Cosine Transformation
<b>DF</b>	Spark DataFrame
<b>DTW</b>	Dynamic Time Warping
<b>ESA</b>	Explicit Semantic Analysis
<b>FFT</b>	Fast Fourier Transformation
<b>FMA</b>	Free Music Archive
<b>GMM</b>	Gaussian Mixture Model
<b>HDFS</b>	Hadoop Distributed File System
<b>HT</b>	Hyperthreading
<b>JS divergence</b>	Jensen Shannon divergence
<b>JVM</b>	Java Virtual Machine
<b>KL divergence</b>	Kullback-Leibler divergence
<b>LSH</b>	Locality-Sensitive Hashing
<b>MFCC</b>	Mel Frequency Cepstral Coefficients
<b>MIDI</b>	Musical Instrument Digital Interface
<b>MIR</b>	Music Information Retrieval
<b>MP</b>	Mutual Proximity
<b>MSD</b>	Million Song Dataset
<b>RDD</b>	Resilient Distributed Dataset
<b>RH</b>	Rhythm Histogram
<b>RP</b>	Rhythm Pattern
<b>SKL</b>	Symmetric Kullback-Leibler divergence
<b>SQL</b>	Structured Query Language
<b>TF-IDF</b>	Term Frequency - Inverse Document Frequency
<b>UDF</b>	User Defined Function
<b>YARN</b>	Yet Another Resource Negotiator

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## **Zusammenfassung**

### **Schätzung der Ähnlichkeit von Musikstücken unter Verwendung mehrerer Abstandsmaße mithilfe des Big Data Frameworks Spark**

Die Musikinformationsverarbeitung ist ein Teilgebiet der Informatik, das meist datengetrieben und sehr mathematisch angegangen wird. Das Ziel dieser Arbeit ist es, verschiedene Teilgebiete der Informatik mit musiktheoretischem Wissen zu verbinden um Schwachstellen aktueller Algorithmen zur Empfehlung ähnlicher Musikstücke, die sich auf einzelne Teilespekte der Musik, wie Melodie, Rhythmus oder Klangfarbe beschränken, zu finden.

## **Abstract**

### **Estimating music similarity by merging various similarity measurements with the help of the big data framework Spark.**

The field of music information retrieval (MIR) in computer science is mostly a data-driven and purely mathematical topic. The goal of this thesis is to merge the fields of computer science with music-theoretical knowledge and to find potential weak spots of current music recommender systems focusing on single aspects like melody, rhythm, and timbre.

# 1. Introduction

The idea originated from Dr. T. Bosse from the chair for advanced computing at the Friedrich-Schiller-University (FSU) in Jena. When proposing the idea for a master thesis with the topic of "Music similarity measurement using genre-specific features" by using different guitar play styles in modern-day metal music, he jokingly said that he would also like to know how metal music compares to construction building noise. The idea is actually not so groundless, considering that most people would agree on the fact that metal music is often described as noise by people not used to listening to genres like death and black metal. While refining the original idea of the theme for this master's thesis and during the first tests, it became apparent, that while there is a lot of research in the area of music similarity for single aspects of music like melody, timbre, or rhythm and even for a few fixed combinations thereof, there was no attempt made yet, to build a parameterizable system combining various of these features in a big data environment.

With music streaming services like Spotify, Amazon Music, Deezer or Tidal and music sharing websites like SoundCloud, access to millions of songs is given. To explore this humongous amount of data, the need for music recommender systems rises. SoundCloud Go+, the streaming service of SoundCloud alone gives access to more than 135 million songs [1]. Of course the streaming platforms are aware of these challenges. When using services like "[ . . . ] Spotify Radio, iTunes Radio, Google Play Access All Areas and Xbox Music. Recommendations are typically made using (undisclosed) content-based retrieval techniques, collaborative filtering data or a combination thereof." [2, p. 9] But music similarity is not well defined. This is one of the first problems, dealing with this topic. It is a rather subjective value that can differ from listener to listener. Two tracks could be considered as "similar" when they are equal in tempo, loudness, melody, instrumentation, key, rhythm mood, lyrics, or a combination of more than a few of these features.

## 1.1 Goals

The goal of this thesis is to propose a transparent music similarity recommendation system based on various weighted aspects of the music instead of a fixed combination. Applying different weights to different features allows similarity retrieval methods to search for different kinds of similarities, empowering the user to decide which aspects are most important to him and returning song recommendations based on the user's preferences. E.g., weighing the tempo and beat of a song more than melodic similarity allows the creation of playlists for workout and sport, while melodic/ timbre, etc. similarities allows to search for similar songs from musical subgenres.

The usage of a big data framework Spark allows the creation of a variable/ fuzzy metric definition. Various aspects of the music could easily be merged and taken into consideration when calculating the musical distance between two different pieces. Using a big data framework, the problem of the fuzzy definition of music similarity can be avoided, by using a similarity estimation algorithm, that takes multiple of the accounted features of this thesis into consideration. Merging multiple approaches with different weights can offer a more diverse music recommendation system than already existing ones.

To do this, a lot of different features are required and have to be extracted from the audio data first. Content (e.g., audio features) and context (e.g., listener behavior) data can then be fed into a big data framework to speed up operations. For this thesis, however, the focus lies on content-based data/ audio features only.

Context-based collaborative-filtering techniques in combination with big data frameworks are already well researched. Also they take the music taste of other users into account. But this thesis is meant to propose a user-centric recommendation engine, relying on musical properties of the songs only. By solely relying on the musical features of the songs, no biasing due to the popularity of artists is to be expected.

## 1.2 Outline

The thesis is structured into four main problems, pictured in Figure 1.1. These different tasks are solved throughout the chapters of the thesis.

First of all, a lot of data is required. In Chapter 2, different scientific datasets and sources for audio files are evaluated. It also explains the basics of Music Information Retrieval (MIR) and gives a short overview of different similarity measurements based on different audio features and aspects of the music. In the last section of this chapter an introduction to big data frameworks is given and the choice of Spark as the big data

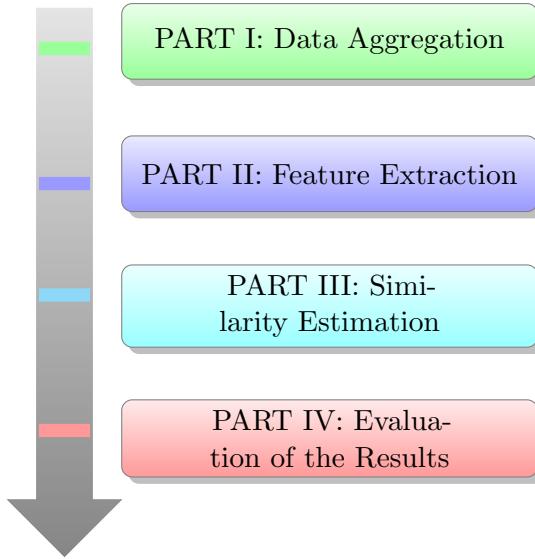


Figure 1.1: Structure

processing framework is explained.

Chapter 3 multiple algorithms and approaches for the computation of similarity between timbral, melodic and rhythmic features are evaluated and selected.

The following Chapter 4 explains the implementation of the feature extraction process in parallel on a cluster and the implementation of the recommender system with Spark. Lastly, in Chapter 5 the resulting song recommendations are proposed and evaluated, and Chapter 6 summarizes all results, and provides an outlook for possible future research.

## 2. Music Information Retrieval and Big Data

The field of music information retrieval (MIR) is a large research area combining studies in computer science like signal processing and machine learning with psychology and academic music study. To get started, a brief overview is given in the next section providing the most important information about publicly available datasets, MIR toolkits, and different approaches to music similarity using various audio features. An overview over big data frameworks is included as well. More in-depth information about selected metrics is later given in Chapter 3.

### 2.1 Audio Features

#### 2.1.1 Fourier Transformation

Most of the algorithms for audio data analysis start with switching from the time domain to the frequency domain, by performing a Discrete Fourier transform as described in equation 2.1 and then computing the power spectrum (equation 2.2).

$$X_m = \sum_{k=0}^{K-1} x_k \cdot e^{-\frac{K}{2\pi i} \cdot k \cdot m} \quad (2.1)$$

$$|X_m| = \sqrt{Re(X_m)^2 + Im(X_m)^2} \quad (2.2)$$

Figure 2.1a shows the resulting spectrogram (spectrum of frequencies over time) of the first bars of the song Layla by Eric Clapton. The sound sample was recorded on an electric guitar. Since the human ear perceives sound in a non-linear matter, a logarithmic or Mel-scale fits better to represent different pitches. For example, the note A4 is perceived at a frequency of 440Hz, the A note of next octave (A5) is at 880Hz and the next one is at 1600Hz and so on. The Mel-scale was introduced to resemble the

non-linear human perception of frequency (see equation 2.3) [2, pp. 53f].

$$m = 1127 \cdot \ln\left(1 + \frac{f}{700}\right) \quad (2.3)$$

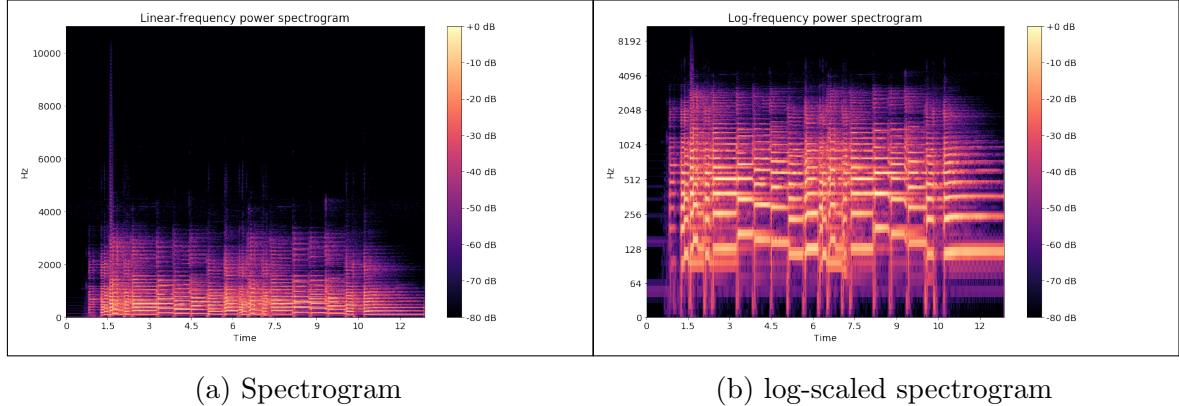


Figure 2.1: Frequency Space

The high dimensionality of the spectrogram is a problem for machine learning applications and music similarity tasks, as computation based on vectors with such a high dimensionality on larger datasets would take too long for real-time applications. Given a sample rate  $f_s = 44,1\text{kHz}$  (usual CD sample-rate) and the length of a song of about  $t = 180\text{s}$ , the time domain contains 7938000 data points usually with 16-bit resolution for mono-channel audio.

$$K = f_s \cdot t \quad (2.4)$$

Calculating an FFT with a window size of 1024 samples and a hop size of 512 samples (resulting in the factor 1.5 in equation 2.5) [2, p. 41], the full, resulting spectrogram would contain 11627 frames with 1024 frequency values per frame. (eq: 2.5)

$$N_{fv} = 1.5 \cdot \left( \frac{44100 \text{ samples/s}}{1024 \text{ samples/frame}} \right) \cdot t \quad (2.5)$$

To reduce the dimensionality of the feature vector, a typical approach in MIR would be to calculate the so-called Mel Frequency Cepstral Coefficients (MFCCs).

### 2.1.2 MFCCs

Out of all features presented in this chapter, the MFCC is the hardest one to grasp because of its abstract nature and hardly visible relatedness to musical aspects of the audio files like pitch or rhythm. This section gives a brief overview of the computation

of the MFCC as stated in [2, pp. 55ff]. Figure 2.2 shows the magnitude spectrum of a frequency sweep signal as an example for better understanding.

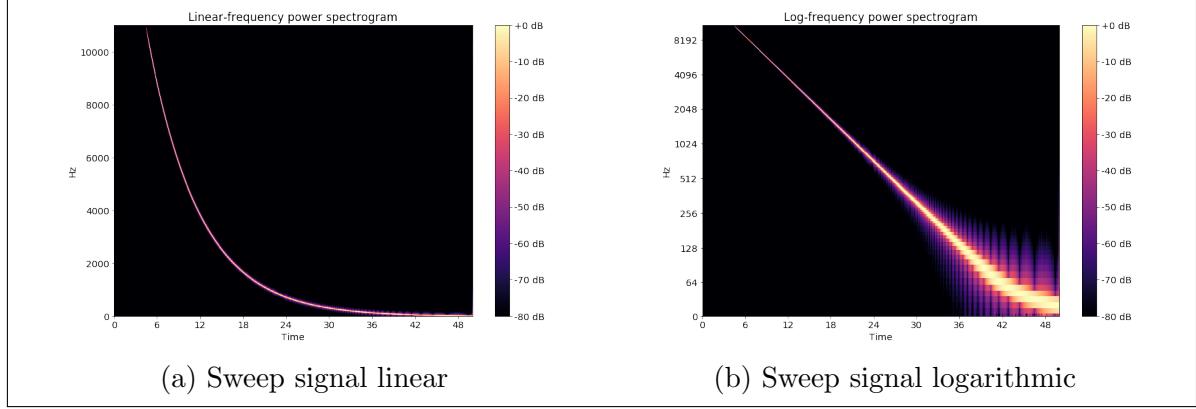


Figure 2.2: Sweep signal

First of all the magnitude spectrum is transformed to the Mel-scale following equation 2.3 by assigning each frequency value to a Mel-band. Doing this, dimensionality reduction can be achieved by assigning multiple frequency values to one of typically 12 to 40 Mel-bands. The resulting vectors are then fed into a discrete cosine transformation (DCT) resulting in the MFCCs for each frame.

$$X_k = \sum_{n=0}^{N-1} x_n \cos \left[ \frac{\pi}{N} \left( n + \frac{1}{2} \right) k \right] \quad (2.6)$$

Figure 2.3a shows the resulting MFCCs with a high resolution of 1024 Mel-bands. This is not what in a usual application would be done, because this is nearly as high-dimensional as the original spectrogram. In comparison, Figure 2.3b shows the MFCC reduced to 13 Mel-Bands. To better visualize the MFCCs, all values are typically scaled to have a standard deviation of 1 and a mean value of 0 per band in the plots.

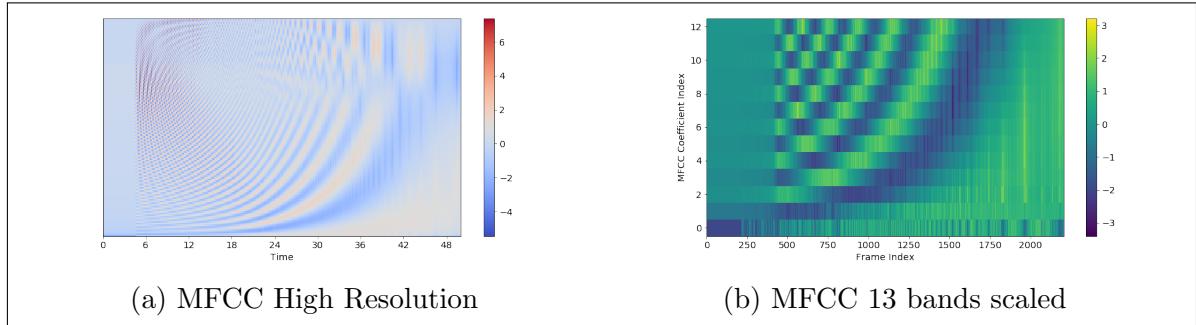


Figure 2.3: MFCCs

MFCCs were found to be suited to represent the timbral attributes of music [2, p. 55 ff]. To describe a tone, three moments can be used according to [3, pp. 15]: tonal

intensity perceived as loudness, the tonal quality perceived as the pitch and the timbre or tonal color as the third moment. Looking at an example melody line played on a electric distorted guitar and a piano, distinct differences can be seen. Due to the physical properties of a string, every note played consists of the main frequency (the actually played key) and so-called harmonic overtones because of the way a string, e.g. in a piano, vibrates and the wooden body resonates. Typically the overtones of a piano consist of the main key, the same key a few octaves higher and major thirds and fifths of the octave. Depending on the instrument, these harmonics decline faster or slower or don't appear at all. An electrically amplified guitar amplifies these overtones as well, which is visible in Figure 2.4b.

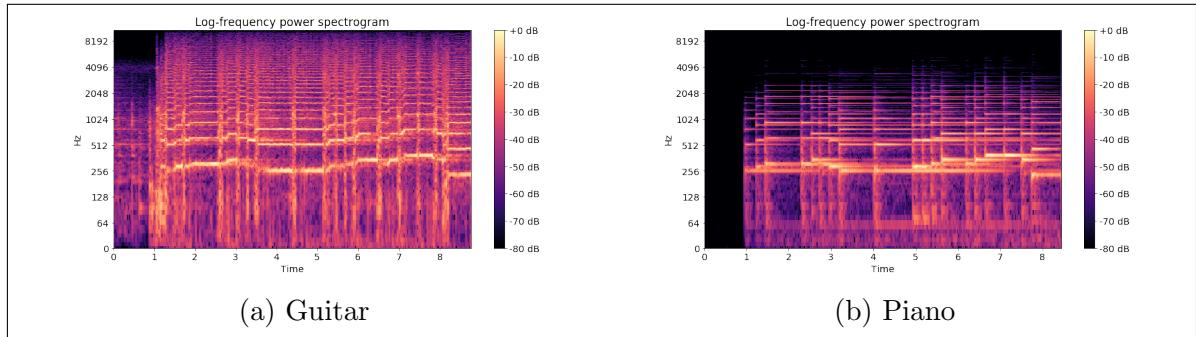


Figure 2.4: Timbre guitar vs. piano

These differences in timbre are also visible when looking at the MFCCs in Figure 2.5. This time the MFCC plots are pictured without the previously mentioned scaling. Additionally, the mean value and standard deviance of the MFCCs four to 13 are pictured in Figure 2.6. This calculation of statistical features is later explained in Section 3.1. Although both times the exact same melody is played in the same tempo, the MFCC features vary due to the different timbral properties of the instruments.

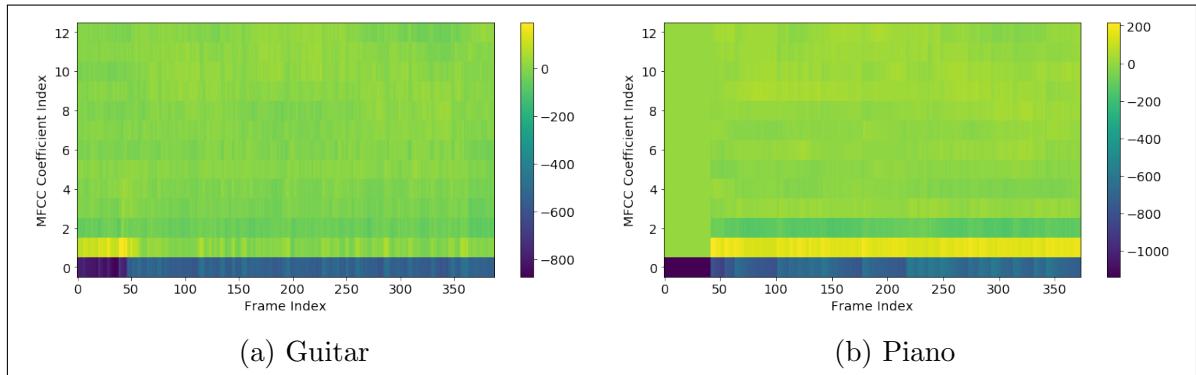


Figure 2.5: MFCC statistics guitar vs. piano

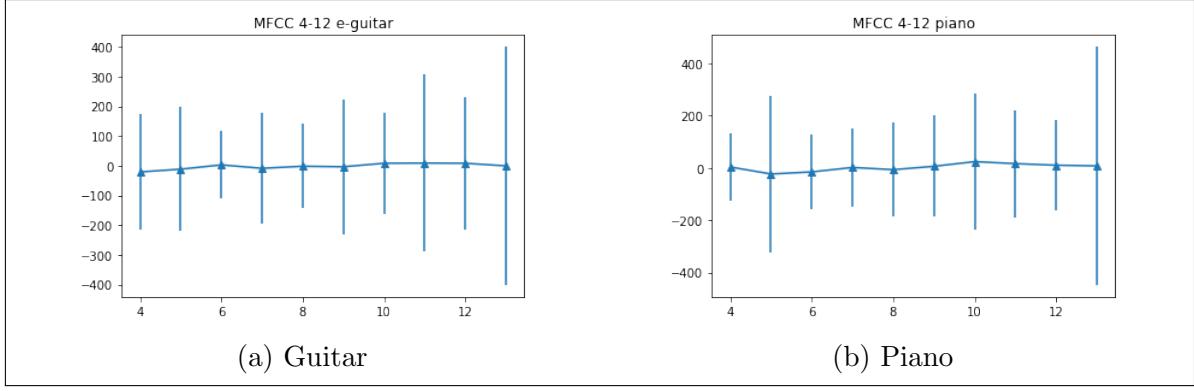


Figure 2.6: MFCC statistics guitar vs. piano

### 2.1.3 Other Audio Features

As another, better comprehensible, higher-level set of features, the chromagram represents the melodic/ harmonic properties of a song. The chroma plot shows the distribution of the different pitches mapped to the various semi-tones in one octave (see Figure 2.7b). The values of each time frame are normalized to one by the strongest dimension. So if all values are close to one, it is most likely that there is only noise or silence at that frame in the recording, as depicted in the first frames of Figure 2.7b. The chromagram has one significant downside because it is reduced to one octave and thus can not represent the melody of a song to its full extent.

Figure 2.8a shows the pitch curve of the recording. None but the most dominant frequencies are shown. Pitches below a certain threshold are filtered out. In contrast to the chromagram the pitch curve provides information over the whole spectrum and is not limited to one octave. These Pitch curves can be used to estimate and transcribe musical notes from audio data as presented in 2.2.3.

The low-level rhythmic features of a song include the estimation of the overall tempo, beats, and onset events. The plot in Figure 2.8b depicts the onsets and estimated beats in the first 10 seconds from a recording of the song Layla by Eric Clapton.

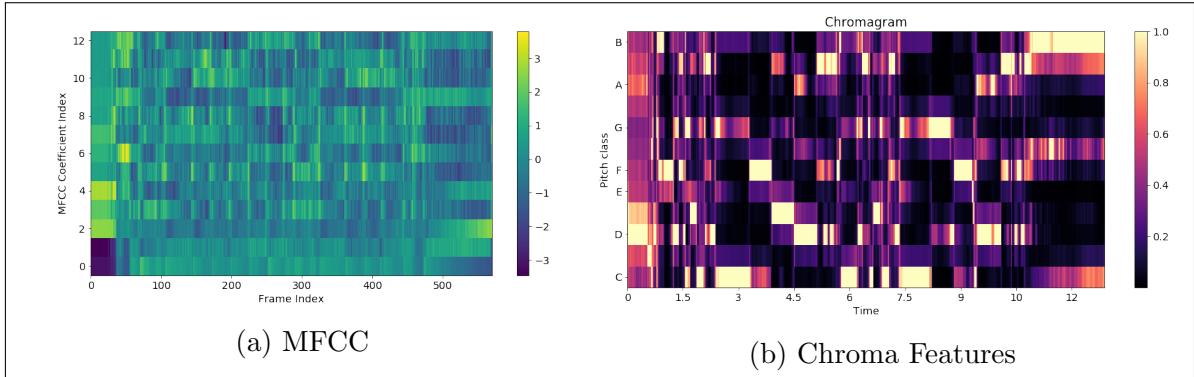


Figure 2.7: Features of the song Layla by Eric Clapton

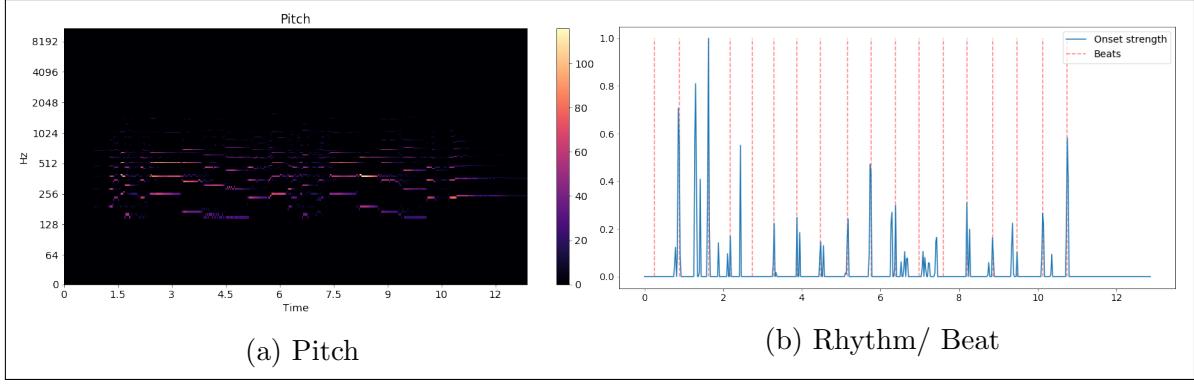


Figure 2.8: Features of the song Layla by Eric Clapton

## 2.2 MIR Toolkits

### 2.2.1 Low-Level Audio Feature Extraction

To extract audio features like the ones presented in Section 2.1.1 (MFCCs, chromagram, beats, onsets), a wide variety of toolkits is publicly available, a few are presented in [4]. The YAAFE toolkit [5] is able to extract a lot of different audio features like energy, MFCC, or loudness directly into the Hadoop file format \*.h5 making it ideal for big data frameworks to use. It can be used with C++, Python, or Matlab.

The Essentia toolkit [6] is pretty similar to YAAFE, extending it by the calculation of rhythm descriptors, bpm, etc. It can also be used with C++ and Python

The Librosa Toolkit provides similar functionality [7] as Essentia. It is user-friendly, well documented, and can be called from a Jupyter-Notebook [8], allowing rapid prototyping and testing of different algorithms. Most of the plots in this chapter were created using librosa. Code snippets for the extraction of low-level features with Essentia and librosa are given in Section 4.1 as well as a performance analysis of both.

### 2.2.2 Music Similarity

An easy way to test state-of-the-art music similarity algorithms is to use the open-source toolkit Musly [9]. It is based on statistical models of MFCC features and calculates the distances between songs very fast, supporting OpenMP acceleration. It automatically extracts the features it requires from the audio files. To compare the extracted features and calculate the distances, it implements the method introduced by Mandel-Ellis [10] and a timbre based improved version of the Mandel-Ellis algorithm using a Jensen-Shannon-like divergence [11]. More details and a re-implementation of some of the

features from this toolkit are presented in Section 3.1

As another option, the MIR Toolkit [12] is a toolbox for Matlab [13]. A port to GNU Octave [14] is also available [15]. The Code Snippet 2.1 is all it takes to compute a similarity matrix based on MFCC features, but the calculation is rather slow.

```

mydata = cell(1, numfiles);
for k = 1:numfiles
    myfilename = sprintf('%d.wav', k);
    mydata{k} = mirmfcc(myfilename);
    close all force
endfor
simmat = zeros(numfiles, numfiles);
for k = 1:numfiles
    for l = 1:numfiles
        simmat(k, l) = mirgetdata( ...
            mirdist(mydata{k}, ...
            mydata{l}));
    endfor
endfor

```

Code Snippet 2.1: MIR Toolkit Similarity

### 2.2.3 Melody/ Pitch Extraction

To test the various pitch extraction toolkits, one piece by Rachmaninoff and one composed by Beethoven was used. The first three bars of Rachmaninoff's Prelude in C Sharp Minor can be found in Figure 2.9a. Figure 2.9b shows the first five bars of Beethoven's Bagatelle in A Minor ("Für Elise"). The first toolkit tested is called "aubio"

(a) Rachmaninoff Prelude in C Sharp Minor  
[16]

(b) Für Elise [17]

Figure 2.9: Original Scores

[18]. The result can be seen in Figure 2.10a and Figure 2.10b. The upper subplot shows the waveform of the first few seconds of each piece. The second subplot figures the estimated pitch with green dots. If the pitch is zero, then no pitch can be estimated,

most likely because the associated frame contains silence. The blue dots resemble the estimated pitches where the confidence (shown as the blue graphs in the third subplot) is above a certain threshold (the orange lines in the third subplots).

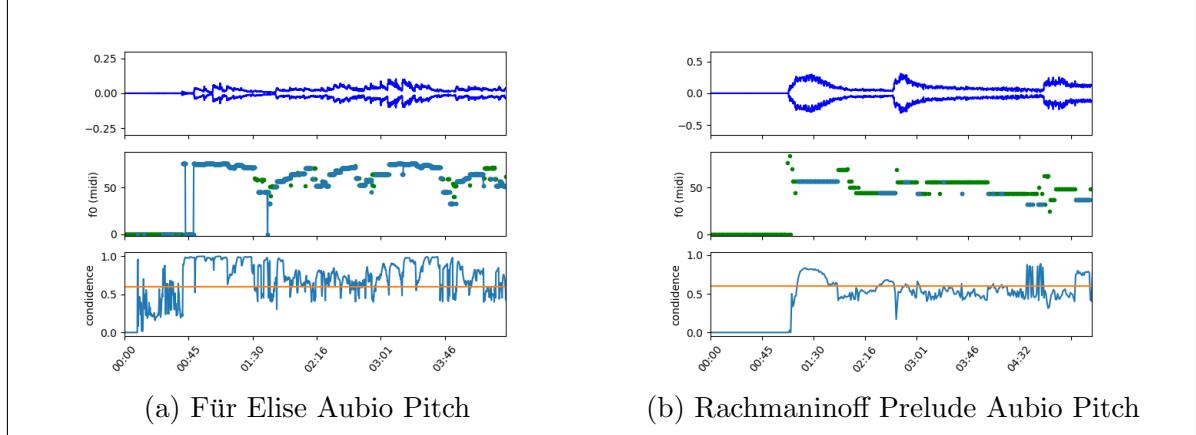


Figure 2.10: Aubio

The other melody extraction tool is called "Melodia" [19], which is available as a VAMP plugin and can be used together with the Sonic Visualizer [20].

The results are shown in Figure 2.11a and 2.11b. The purple line is the estimated pitch; however, there are unwanted jumps between different octaves of the harmonics.

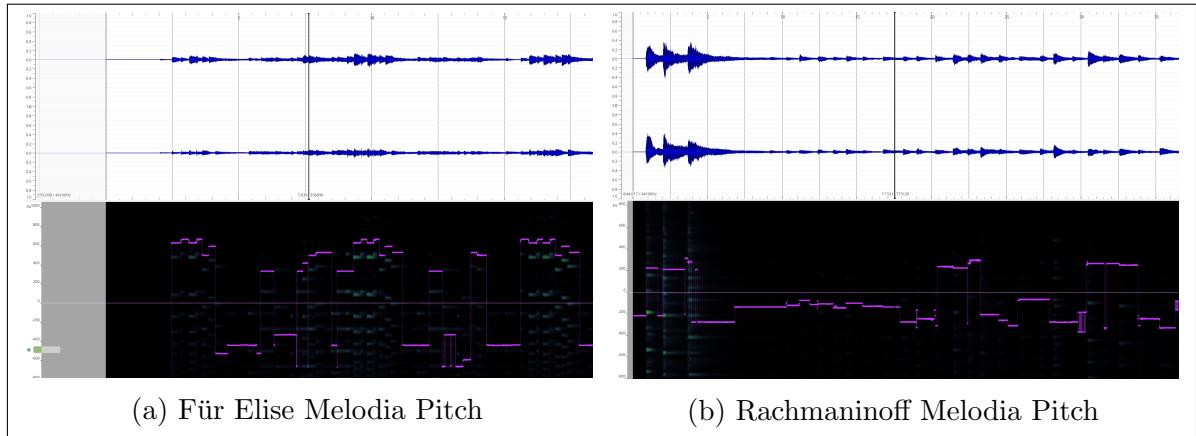


Figure 2.11: Melodia

Sadly the conversion from the extracted pitches to MIDI notes does not work flawlessly. It is apparent in Figure 2.12 that the transcription does not work accurately enough, even for a classical music piece with only one instrument. Figure 2.12a shows the output of a python script using the Melodia VAMP plugin to calculate a MIDI file containing the main melody line, and Figure 2.12b shows the transcribed MIDI notes from Aubio. The detected melody lines are jumping between different octaves, and finding the right threshold for the separation between silence and detected notes turns out to be problematic as well.

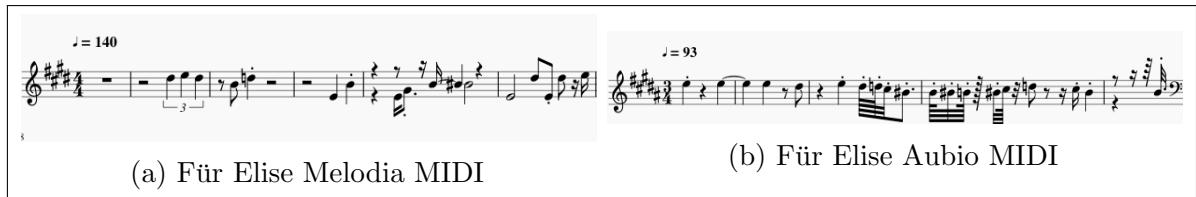


Figure 2.12: Transcription

### 2.3 Music Similarity Measurements

### 2.3.1 Timbre Based

The proposed approach by Dominik Schnitzer [21], the creator of the Musly toolkit introduced earlier, is, to take MFCCs as low-level features and then compute statistical features like mean, standard deviation, and covariances of the different MFCCs to reduce dimensionality before computing the similarities. Another example for the computation of approximate nearest neighbors was published in the paper titled "Large-scale music similarity search with spatial trees" by Brian McFee and Gert Lanckriet [22].

A selection of different timbre based similarity measurements is evaluated later in Section 3.1.

### 2.3.2 Pitch Based

One proposed approach by Matija Marolt in 2006 is, to take mid-level melodic representations of audio files like the chromagram instead of high-level features like sheet music or low-level features like Gaussian mixture models of MFCCs, to compute the similarity between songs [23]. A more detailed analysis of this topic is given in Section 3.2.

### 2.3.3 Note Based

For comparing musical pieces by their symbolic representation (notes, tablatures, etc.) different text retrieval methods can be used. MIDI files as a digital representation of notes are a good starting point. For example Xia (et. al) uses a variation of the Levenshtein distance measurement to compute similarities between MIDI files [24]. The problem with notation based algorithms is that there are not many datasets available containing audio and MIDI information. As shown in Section 2.2.3, the automatic transcription of notes from raw audio does not work flawlessly. There is still ongoing research to automatically annotate musical notes with the help of neural networks [25]. In Section 3.3 an attempt to extract note information as text features from chromagrams and calculating the similarity by using the Levenshtein distance is shown and evaluated.

### **2.3.4 Rhythm Based**

Rhythm based music similarity algorithms use timing information of various events as a baseline. For example low-level features like the onset and beat data from the plot in Figure 2.8b could be used as a starting point for rhythmic similarity retrieval. As an example, Foote (et. al) introduced a feature called the "beat spectrum" for the computation of rhythmic similarities. Other more recent or advanced approaches make use of the rhythm histogram, beat histogram, and rhythm patterns later evaluated in Section 3.3.

### **2.3.5 Metadata Based/ Collaborative Filtering**

Most of the research that combines the field of music information retrieval with big data frameworks relies on data based on the listening behavior of many users of, e.g., music streaming platforms. In 2012 the MSD Challenge was brought to the MIR community. Researchers were challenged to give a list of song recommendations based on a large set of user data, the Million Song Dataset (MSD, see Section 2.4.1). As an example, if user X listens a lot to artist A and B and user Y listens mostly to artist A and C, then user X could probably like artist C as well. These kinds of collective listening behavior based recommendations are called "collaborative filtering" and are pretty common in large music streaming services, although not necessarily representing direct musical similarity. [2, p. 192f.]

Recommendation systems based on collaborative filtering tend to propose commonly well-known artists rather than not so well-known ones, possibly biasing the resulting recommendation. On the other hand, these kinds of similarity algorithms can work very fast and efficient in a big data environment. The usage of annotations and metadata information like genre and artist based recommendations are common as well. The recommendation of songs based on lyrics and also hybrid recommendation systems that combine lyrics, metadata, and collaborative filtering are also possible.

However, all of these recommendation strategies are not directly based on musical features and are not evaluated further throughout this thesis. But they are a possible addition for a hybrid recommendation engine for future research. An example using user-based collaborative filtering is the paper "Design and Implementation of Music Recommendation System Based on Hadoop" [26].

### **2.3.6 Genre Specific Features**

The impact of the choice of parameters for similarity measurements on different music subgenres was evaluated by Gulati (et al.) for Indian art music (Carnatic and Hindustani

music) [27]. They state: "We evaluate all possible combinations of the choices made at each step of the melodic similarity computation discussed in Section 2. We consider 5 different sampling rates of the melody representation, 8 different normalization scenarios, 2 possibilities of uniform time-scaling and 7 variants of the distance measures. In total, we evaluate 560 different variants" [27, p. 3]. This evaluation showed that the choice of features and parameters for music similarity measurement is a critical point. "Sampling rates do not have a significant impact for Hindustani music, but can significantly degrade the performance for Carnatic music." [27, p. 3]. So using different kind of feature sets and parameters for the recommendation of songs from different genres could be an option but would go beyond the frame of this thesis.

As another idea, e.g., in Rock, Pop and Metal music, the analysis of different guitar playing techniques would be imaginable. Guitar tablature extraction [28] Toolkits could be used to extract information, whether the guitar in a song is mostly plucked or strummed, for instance. Or if there are Hammer-on/ Pull-off/ side bending or tapping techniques used. In classical music, the play style of the string section of an orchestra could be taken into consideration (staccato, pizzicato, etc.). These kinds of information could be used as a baseline for song recommendations. However, there is no MIR toolkit available for the estimation of play styles, so this idea would have to be evaluated in future research.

### 2.3.7 Summary

In this thesis, music similarity measurements based on three different types of features are evaluated. The first is based on MFCCs to represent timbral features of the songs and therefore offering a set of features to make recommendations that are similar in tone color and should be able to make recommendations inside the boundaries of different genres. The second is based on chroma features/ note information to provide a measurement of melodic similarity. With these features, the detection of cover versions should be possible. The third set of features is based on the rhythmic properties of a song. This should enable the recommendation of songs with the same tempo and rhythmic structure, and possibly also enable the recommendation of songs within the same genre.

The usage of MIDI files is not considered further due to the rather poor performance of automatic score extraction tools for songs with multiple instruments and melody lines, and the lack of datasets containing MIDI and audio files. Also, the melodic component of the songs is already represented by the chroma features, although that limits the representation to one or at most a few octaves.

Collaborative filtering is left out because it does not necessarily represent the musical features and properties, but instead the personal taste of other people. And secondly, it

is left out because no fitting dataset with the required information and matching music files was found (see Section 2.4).

Lastly, genre-specific features are also not an option because this field is not yet very well researched, and the development of algorithms and the extraction of features would go beyond the scope of this thesis.

## 2.4 Data Aggregation

To evaluate the music similarity algorithms and metrics, a lot of music data is needed.

### 2.4.1 Datasets

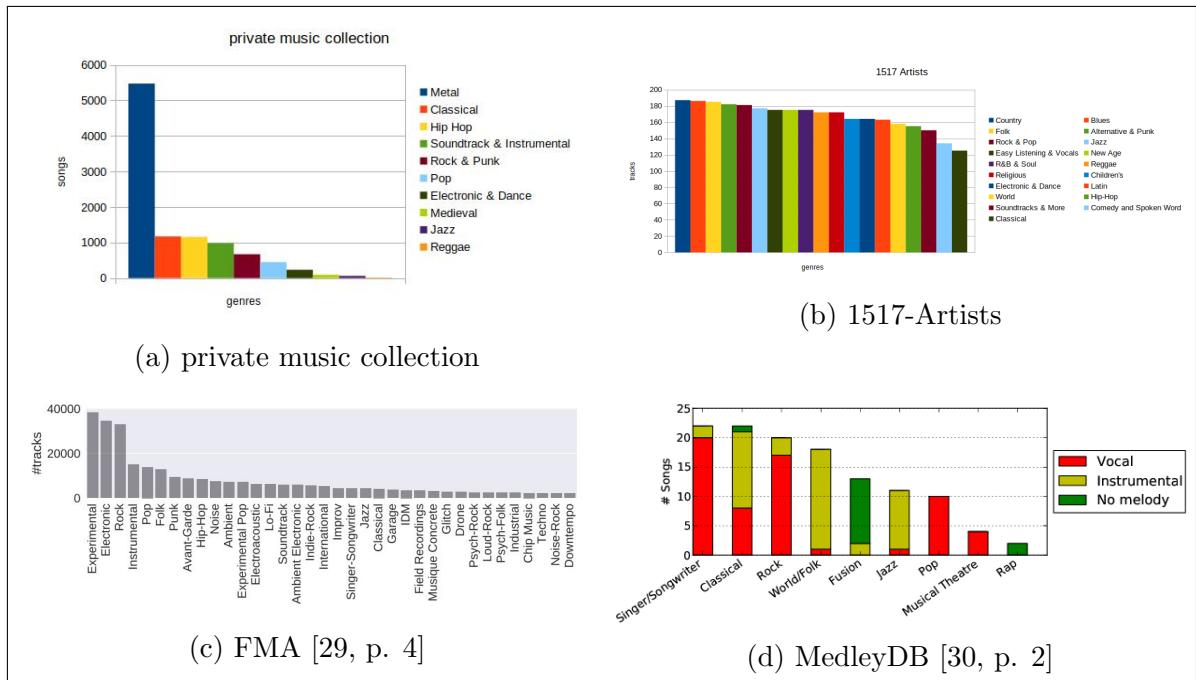


Figure 2.13: Datasets

### Free Music Archive

The largest dataset is the Free Music Archive (FMA) consisting of 106733 different songs totaling an amount of nearly one terabyte of music data from all kinds of different music genres [29]. There is also a lot of metadata like genre tags available for most of the songs.

## **Private Music Collection**

The private music collection used in this work consists mainly of metal music. The music was legally purchased; all rights belong to the respective owners. Therefore this dataset can not be published alongside this thesis. But the private music collection is fully cataloged, and the according PDF file is the appendices. The distribution of different songs per genre for this dataset is visualized in Figure 2.13a.

Additionally, a private recording dataset was used, consisting of ambient recordings and self-produced music. Most of these files are available on SoundCloud [31].

Because music recommendation is always something personal and the perception of the quality of the results may differ, the inclusion of the private music collection is necessary to enable a subjective evaluation of the results from the developed recommendation engine.

## **1517-Artists and Musicnet**

Other sources of music are the Musicnet dataset [32] and the 1517-Artists dataset [33]. The Musicnet dataset includes 330 pieces of classical music with musical notes as annotations and the 1517-Artists dataset contains 3180 songs of multiple genres (see Figure 2.13b).

## **Covers80**

For cover song detection analysis, the covers80 dataset is available [34] containing eighty original songs mostly from the musical genres rock and pop and 84 cover versions. These cover versions tend to differ significantly from the original in musical style, rhythm, and timbre.

## **MedleyDB**

For a melody/ pitch based similarity analysis, multitrack datasets could provide useful data, because the pitch estimation can be done instrument by instrument. There is, e.g., the MedleyDB [30] and MedleyDB2 [35] dataset, as well as the Open Multitrack Dataset [36] currently consisting of 593 multitracks in which the MedleyDB dataset is already included, leaving 481 other tracks for analysis.

## **Overview and Other Sources**

The music sources and amounts of songs used for the task at hand are listed in Table 2.1.

FMA	106.733 Songs
private	8484 Songs
1517-Artists	3180 Songs
Maestro	1184 Songs (piano) + MIDI
musicnet	330 Songs (classical) + note annotation
Open Multitrack Testbed	593(481) Songs/ Multitracks
covers80	164 Songs (80 originals + 84 covers)
MedleyDB	122 Songs/ Multitracks
MedleyDB2	74 Songs/ Multitracks

Table 2.1: Music datasets

### 2.4.2 Alternatives

#### Spotify API/ Echonest

Another way of getting music samples, audio features, and metadata could be by using the Spotify API [37]. The Downside using the Spotify API is that there is no packed and ready to use test dataset containing the relevant features. So for scientific purposes, a test dataset would have to be created first. With a small Python library named Spotify, the available information can be accessed very easily. [38]

Appendix 7.2 lists a small script, that is able to download all audio features and analysis data from selected songs in a playlist that contain a preview URL to a 30-second audio snippet. The audio features and analysis data is saved as a JSON file containing information over:

- acousticness
- danceability
- instrumentalness
- liveness
- loudness
- speechiness
- valence
- predicted key
- tempo

as well as pitch and timbre information, beats, and bars. In Figure 2.14a the returned chroma features of the piano piece "Für Elise" by Beethoven are shown and Figure 2.14b shows the beginning of the piece in more detail, including green dots that resemble estimated bar markings. The blue dots represent the note values of one octave. That means they can resemble a value between zero and eleven with zero representing the key C and 11 is representing a B. The Spotify API actually returns a chroma feature value

for every single one of the semi-tones per segment, with one segment being a section of samples that are relatively uniform in timbre and harmony. But in the plots, only the most dominant key per segment is shown.

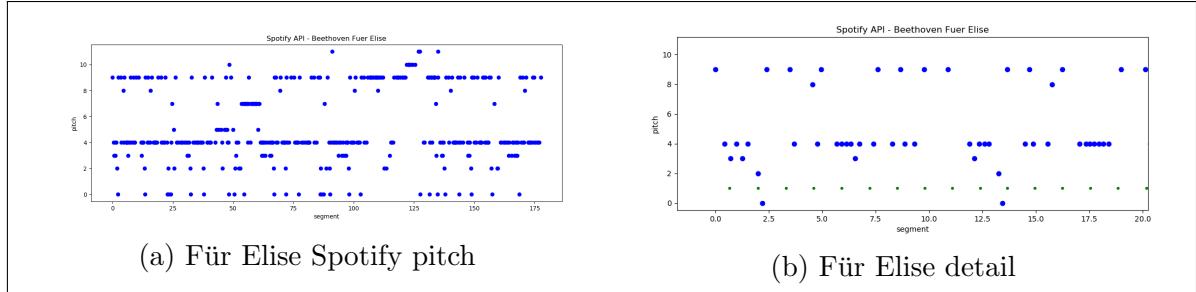


Figure 2.14: Spotify API

Together with the 30-second audio sample from which more features like MFCCs could be extracted, Spotify could provide all the information needed to build a large dataset for MIR. However, the terms and conditions explicitly prohibit crawling the Spotify service. As stated by the Spotify "Terms and Conditions of Use", section 9 (User guidelines):

"The following is not permitted for any reason whatsoever:

[...]

12. "crawling" the Spotify Service or otherwise using any automated means (including bots, scrapers, and spiders) to view, access, or collect information from Spotify or the Spotify Service" [39]

Therefore a larger dataset based on the Spotify API can not be created without the risk of legal infringements. One could argue, that there is a difference between data mining and data crawling and for small datasets these restrictions may not apply. Spotify states that by creating an algorithmically generated playlists similar to the "Discover Weekly" playlists one may run into challenges if using such features commercially [40]. However it does not prohibit the usage for non-commercial cases.

Upon an initial request, the Spotify API developer team did not respond and therefore in this thesis the Spotify API won't be used to create a test dataset. Without further reaching out to Spotify, using the Spotify API to create a test dataset is not an option.

## Million Song Dataset

Another outstanding and very large dataset is the Million Song Dataset (MSD)[41]. It contains a large set of metadata per track as well as a lot of supplementary datasets, like the tagtraum genre annotation (Figure 2.15)[42] and the Last.fm dataset[43]. On top of that, the Echo Nest API dataset contains a lot of additional audio features like

pitch, loudness, energy, and danceability to name just a few [44]. Another addition is the secondhand dataset, containing a list of cover songs in the Million Song Dataset[45]

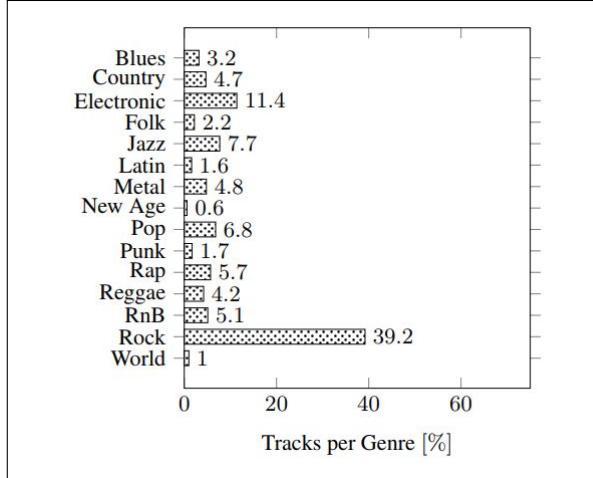


Figure 2.15: Million Song Dataset genre distribution [42, p. 6]

Due to the fact that the Spotify API[37] also works with audio features from the Echo Nest[46], the MSD could be used in a big data environment to simulate the work with Spotify data, without the need of mining the actual data. The MSD was already used with big data frameworks for music similarity retrieval based on metadata and user information (see [22]). Although the MSD does not contain any audio files in the first place, 30-second samples could be gathered through simple scripts from 7digital.com when the dataset was made publicly available. Sadly 7digital does not offer the download of the 30-second sample files any longer, which makes this dataset unusable for this thesis, because missing audio features like MFCCs can not be computed from the audio files itself.

## 2.5 Big Data

After evaluating different data sources presenting various methods to extract and process different audio features, the following section describes the data analysis with big data processing frameworks like Apache Spark [47] and Hadoop [48]. Most of the basic information on Hadoop and Spark in the next few sections are taken from the book "Data Analytics with Spark using Python" by Jeffrey Aven, which gives a very comprehensible and practical introduction to the field of big data processing with PySpark [49]. Later, Section 4.2 deals with the implementation of the various similarity measurements with Spark, the handling of larger amounts of data, runtime analysis and the combination of multiple similarity measurements, while Chapter 5 gives a short overview over the achieved results using the big data framework to compare audio

features.

### 2.5.1 Hadoop

With the ever-growing availability of huge amounts of high-dimensional data, the need for toolkits and efficient algorithms to handle these grew as well over the past years. One key to handle big data problems is the use of parallelism.

Search engine providers like Google and Yahoo firstly ran into the problem of using "internet-scale" data in the early 2000s when being faced with the problem of storing and processing the ever-growing amount of indexes from documents on the internet. In 2003, Google presented their white paper called "The Google File System" [50]. MapReduce is a programming paradigm introduced by Google as an answer to the problem of internet-scale data and dates back to 2004 when the paper "MapReduce: Simplified Data Processing on Large Clusters" was published [51].

Doug Cutting and Mike Cafarella worked on a web crawler project called "Nutch" during that time. Inspired by the two papers Cutting incorporated the storage and processing principles from Google, leading to what we know as Hadoop today. Hadoop joined the Apache Software Foundation in 2006. [49, p. 6]

Hadoop is a scalable solution able to run on large computer clusters. It does not necessarily require a supercomputing environment and is able to run on clusters of lower-cost commodity hardware. The data is stored redundantly on multiple nodes with a configurable replication factor defining how many copies of each data chunk are stored redundantly on other nodes. This enables an error management where faulty operations can simply be restarted.

Hadoop is based on the idea of data locality. In contrast to the usual approach, where the data is requested from its location and transferred to a remote processing system or host, Hadoop brings the computation to the data instead. This minimizes the problem of data transfer times over the network at compute time when working with very large-scale data/ big data. One prerequisite is that the operations on the data are independent of each other. Hadoop follows this approach called "shared nothing", where data is processed locally on many nodes at the same time in parallel by splitting the data into independent, small subsets without the need for communication with other nodes. Additionally, Hadoop is a schemaless (schema-on-read) system which means that it is able to store and process unstructured, semi-structured (JSON, XML), or well structured data (relational database). [49, p. 7]

To make all this possible, Hadoop relies on its core components YARN (Yet Another Resource Negotiator) as the processing and resource scheduling subsystem and the Hadoop Distributed File System (HDFS) as Hadoop's data storage subsystem

## MapReduce

Figure 2.16 shows the basic scheme of a MapReduce program.

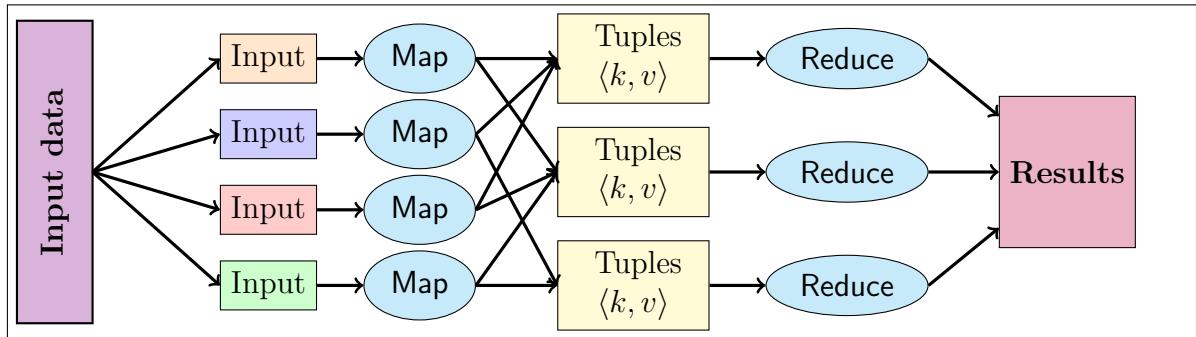


Figure 2.16: MapReduce [52]

In the first stage, the input data is split into chunks and distributed over the nodes of a cluster. This is usually managed by a distributed file system like the HDFS. One master node stores the addresses of all data chunks.

The data is then fed into the mappers which operate on the input data and finally transforms the input into key-value tuples.

In an intermediate step the key-value pairs are usually grouped by their keys before being fed into the reducers. The reducers apply another method to all tuples with the same key.

The amount of key-value pairs at the output from all mappers divided by the number of input files is called "replication rate" ( $r$ ). The highest count of values for one key being fed into a reducer can be denoted as  $q$  (reducer size). Usually, there is a trade-off between a high replication rate  $r$  and small reducer size  $q$  (highly parallel with more network traffic) or small  $r$  and larger  $q$  (less network traffic but worse parallelism due to an overall smaller reducer count).

### 2.5.2 Spark

Hadoop as a big data processing framework has a few downsides compared to other, newer options like Spark. The Spark project was started in 2009 and was created as a part of the Mesos research project. It was developed as an alternative to the implementation of MapReduce in Hadoop. Spark is written in the programming language Scala and runs in Java Virtual Machines (JVM) but also provides native support for programming interfaces in Python, Java and R. One major advantage compared to Hadoop is the efficient way of caching intermediate data to the main memory instead of writing it to the hard drive. While Hadoop has to read all data from the disk and writes all results back to the disk, Spark can efficiently take advantage of the RAM

from the different nodes, making it suitable for interactive queries and iterative machine learning operations. To be able to offer these kinds of in-memory operations Spark uses a structure called "Resilient Distributed Dataset" (RDD). [49, p. 13]

Figure 2.17 shows the simplified architecture of a compute cluster running Spark.

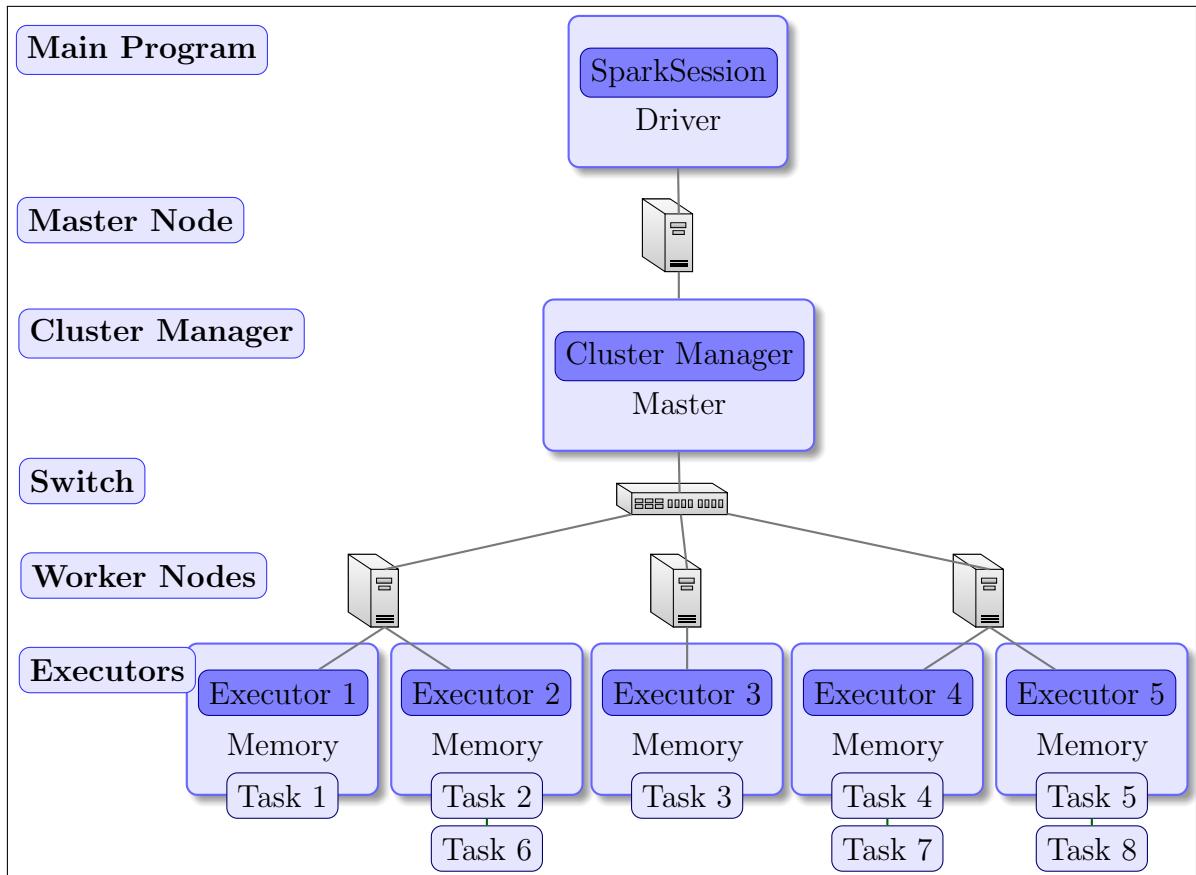


Figure 2.17: Spark Cluster

The core components of a Spark application are the Driver, the Master, the Cluster Manager, and the Executors. The Driver is the process where clients submit their applications to. It is responsible for the planning and execution of a Spark program and returns status logs and results to the clients. It can be located on a remote client or on a node in the cluster. The SparkSession is created by the Driver and represents a connection to a Spark cluster. The SparkContext and SparkConf as child objects of the SparkSession contain the necessary information to configure the cluster parameters, e.g., the number of CPU cores and memory assigned to the executors and the number of executors that get spawned overall on the cluster. Up until version 2.0 entry points for Spark applications included the SparkContext, SQLContext, HiveContext, and StreamingContext. In more recent versions these were combined into one SparkSession object providing a single entry point. The execution of the Spark application is planned and directed acyclic graphs (DAG) are created by the Spark Driver. The nodes of these

DAGs represent transformational or computational steps on the data. These DAGs can be visualized using the Spark application UI typically running on port 4040 of the Driver node. The Spark application UI is a useful tool to improve the performance of Spark applications and for debugging, as it also gives information about the computation time of the distinct tasks within a Spark program. [49, pp. 45 ff]

Two examples of information provided by the Spark application UI are shown in Figure 2.18.

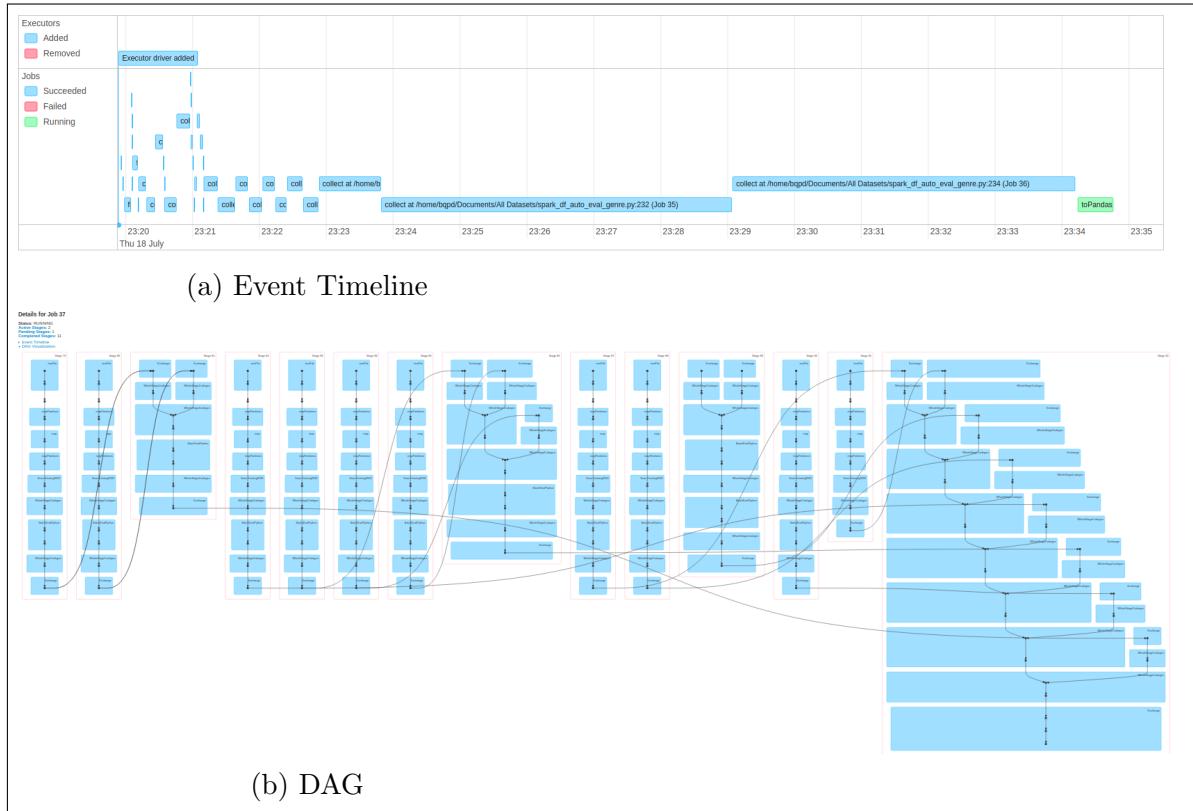


Figure 2.18: Spark Application UI

The Workers are the nodes in the cluster where the actual computation of the Spark DAG tasks take place. As defined within the `SparkConf`, the Worker nodes spawn a finite or fixed number of Executors that reserve CPU and memory resources and run in parallel. The Executors are hosted in JVMs on the Workers. Finally, the Spark Master and the Cluster Manager are the processes that monitor, reserve and allocate the resources for the executors. Spark can work on top of various Cluster Managers like Apache Mesos, Hadoop, YARN, and Kubernetes. Spark can also work in standalone mode, where the Spark Master also takes control of the Cluster Managers' tasks. If Spark is running on top of a Hadoop cluster, it uses the YARN ResourceManager as the Cluster Manager and the ApplicationMaster as the Spark Master. The ApplicationMaster is the first task allocated by the ResourceManager and negotiates the resources

(containers) for the Executors and makes them available to the Driver. [49, pp. 49 ff] When running on top of a Hadoop installation, Spark can additionally take advantage of the HDFS by reading data directly out of it.

## Cluster Configuration and Execution

There are multiple options of passing a Spark programm to the cluster. The first one is to use a spark shell e.g. by calling `pyspark` when working with the Spark Python API or `spark-shell` (Scala). If the interactive option of using a spark shell is chosen, a `SparkSession` is automatically created and exited once the spark shell gets closed. Alternatively the Spark application can be passed to the cluster directly using `spark-submit application.py -options` (Python). As mentioned previously the configuration of the Spark cluster can be changed. This can either be done by using a cluster configuration file (e.g. `spark-defaults.conf`), by submitting the parameters as arguments passed to `pyspark`, `spark-console` or `spark-submit` or by directly setting the configuration properties inside the Spark application code (see Code Snippet 2.2)

```
1 confCluster = SparkConf().setAppName("MusicSimilarity Cluster")
2 confCluster.set("spark.driver.memory", "1g")
3 confCluster.set("spark.executor.memory", "1g")
4 confCluster.set("spark.executor.memoryOverhead", "500m")
5 #Sum of the driver or executor memory plus the driver or executor memory overhead
#is always less than the value of yarn.nodemanager.resource.memory-mb
6 #confCluster.set("yarn.nodemanager.resource.memory-mb", "8192")
7 confCluster.set("spark.yarn.executor.memoryOverhead", "512")
8 #set cores of each executor and the driver -> less than avail -> more executors
#spawn
9 confCluster.set("spark.executor.cores", "1")
10 confCluster.set("spark.shuffle.service.enabled", "True")
11 confCluster.set("spark.dynamicAllocation.enabled", "True")
12 confCluster.set("spark.dynamicAllocation.minExecutors", "4")
13 confCluster.set("spark.dynamicAllocation.maxExecutors", "8")
14 confCluster.set("yarn.nodemanager.vmem-check-enabled", "false")
15 sc = SparkContext(conf=confCluster)
16 sqlContext = SQLContext(sc)
17 spark = SparkSession.builder.master("cluster").appName("MusicSimilarity").
18     getOrCreate()
```

Code Snippet 2.2: Example cluster configuration python

## Spark Advantages

For this thesis, the programming language of choice is Python. With its high-level Python API, Spark applications can take advantage of commonly known and widely used Python libraries such as Numpy or Scipy. It also contains its own powerful libraries like the Spark ML library for machine learning applications or GraphX for the work with large graphs.

Spark can be used in combination with SQL (e.g., the Hive project) and NoSQL Systems like Cassandra and HBase. Spark SQL enables the transformation of RDDs to well structured DataFrames. The DataFrame concept is later used in Section 4.2.

One other important concept Spark uses is its lazy evaluation or lazy execution. Spark differentiates between data transformations (e.g. `filter()`, `join()`, and `map()`) and actions (e.g. `take` or `count`). The actual processing and transformation of data is deferred until an action is called. In the example Code Snippet 2.3 the `map()` and `filter()` transformations are only executed once the `count()` action is called. Only then a DAG is created together with logical and physical execution plans and the tasks are distributed across the Executors. The lazy evaluation allows Spark to combine as many operations as possible which may lead to a drastic reduction of processing stages and data shuffling (data transferred between executors) and thus reducing unnecessary overhead and data/ network traffic. But the lazy execution has to be kept in mind during debugging and performance testing. [49, p.73]

```
1 chroma = sc.textFile("features.txt").repartition(repartition_count)
2 chroma = chroma.map(lambda x: x.split(';'))
3 chroma = chroma.filter(lambda x: x[0] == "OrbitCulture_SunOfAll.mp3")
4 chroma = chroma.count()
```

Code Snippet 2.3: Lazy evaluation

Another important part of Spark is its ability to process streaming data. While Hadoop is good at batch processing very large datasets but rather slow when it comes to iterative tasks on the same data due to its persistent write operations to the hard drive, Spark outperforms Hadoop with its ability to use RDDs and the main memory during iterative tasks. With Spark streaming the possibility to process data streams, e.g., from social networks, in real-time is given.

The combination of batch- and stream-processing methods is called "Lambda architecture" in data science literatur. It describes a data-processing architecture consisting of a Batch-Layer, a Speed-Layer for real-time processing and a Serving-Layer managing the data [53, pp. 8 f]. Spark offers the possibility to take care of both, batch- and stream-processing jobs. Combined with other frameworks like the Apache SMACK

stack (Spark, Mesos, Akka, Cassandra, and Kafka), Spark offers manyfold possibilities for high-throughput big data processing [54, p. 5].

This thesis preliminary only focuses on batch processing and finding similar items. But the possibility to pass song titles in real-time to Spark and getting recommendation lists of similar songs in a few seconds in return could be a long-term goal of future work.

### 2.5.3 Music Similarity with Big Data Frameworks

Given the short introduction to big data frameworks, the decision to use Spark for the computation of the similarities between audio features can be justified as follows.

The computation of the "one-to-many-item" similarity follows the shared nothing approach of Spark. All of the features from different songs are independent of each other, and the distances can be computed in parallel. Only the scaling of the result requires an aggregation of maximum and minimum values. And to return the top results, some kind of sorting has to be performed. But apart from these operations that require data shuffling, all the features can be distributed on a cluster and the similarity to one broadcasted song can be calculated independently, following the data locality principle. This offers a fully scalable solution for very large datasets. Additionally, Spark enables efficient ways to cache the audio feature data into the main memory. Under the prerequisite that the sum of all features from all songs fit into the main memory of the cluster, interactive consecutive song requests could be answered without the need of reading the features from the hard drive every time. One limitation is, that Spark itself is unable to read and handle audio files. So the feature extraction itself has to be performed separately, and only the extracted features are loaded into the cluster and processed with Spark. The feature extraction process is later described in Section 4.1.

The similarities can be calculated as "one-to-many-items" similarities. That means that for only one song at a time the similarities to all other songs have to be calculated. This is the approach investigated in this thesis. The other option would be to pre-calculate a full similarity matrix (All-pairs similarity). But looking at large-scale datasets with millions of songs this would take a considerable amount of time. A combination of both approaches would be to calculate the similarities for one song request at a time but store these similarities in a sparse similarity matrix once they got computed, to speed up subsequent requests of the same songs. But this won't be the topic of this thesis.

To clarify the usage of a few terms further throughout this thesis (especially later in Section 4.2), the term "song request" describes the song title passed to the recommendation engine to estimate the similarities. The terms similarities and distances are used synonymously in this thesis because all the similarity estimations are based on distances

between feature vectors of different feature types (see equation 2.7). The smaller the distance  $d(x, y)$  between the audio features of two songs  $x$  and  $y$  is, the greater gets the similarity  $sim(x, y)$  between these songs.

$$sim(x, y) = \frac{1}{d(x, y)} \quad (2.7)$$

# 3. Similarity Analysis

## 3.1 Timbre Similarity

This section focuses on different similarity measurements and metrics based on MFCCs. Mel Frequency Cepstral Coefficients have already been introduced in Section 2.1.2 as a feature to describe timbre.

### 3.1.1 Euclidean Distance

To further reduce the dimensionality of the original MFCC features, a statistical summarization can be calculated. For each of the Mel-bands (13 in this case) the mean and standard deviation over all frames is calculated, resulting in a vector of 13 mean values, a 13 by 13 covariance matrix ( $\frac{13*(13-1)}{2}$  covariance values, because of the triangular shape - the upper triangle contains the covariances and the main diagonal contains the variances) and 13 variances. These vectors are not dependent on the length of the actual song. [2, pp. 51ff]

Using such a model, the distance between two songs can be calculated using the  $L_p$  distance as in equation 3.1, where  $x$  and  $y$  are the n-dimensional feature vectors of two different musical pieces:

$$d(x, y) = ||x - y||_p = \left( \sum_{i=1}^n |x_i - y_i|^p \right)^{\frac{1}{p}} \quad (3.1)$$

Most of the times, the Euclidean ( $L_2$ ) or the Manhattan ( $L_1$ ) distance would be used in real-world scenarios. [2, p. 58]

This very basic metric of timbre similarity has been refined and improved over the past years.

### 3.1.2 Single Gaussian Model

#### Symmetric Kullback-Leibler Divergence

The second approach was first proposed by Mandel and Ellis in 2005 [10] and is briefly summarized in [2, pp. 65f].

After computing the mean value of each MFCC ( $\mu_P$  and  $\mu_Q$ ) and the covariance matrix of the different MFCC vectors ( $\Sigma_P$  and  $\Sigma_Q$ ) of two musical pieces  $P$  and  $Q$ , the Kullback-Leibler divergence (KL divergence) can be calculated as follows, with  $tr(\cdot)$  being the trace (i.e., the sum of the diagonal of a matrix),  $d$  being the dimensionality (number of MFCCs) and  $|\Sigma_P|$  being the determinant of  $\Sigma_P$ .

$$KL_{(P||Q)} = \frac{1}{2} [\log \frac{|\Sigma_P|}{|\Sigma_Q|} + tr(\Sigma_P^{-1} \Sigma_Q) + (\mu_P - \mu_Q)^T \Sigma_P^{-1} (\mu_Q - \mu_P) - d] \quad (3.2)$$

As a second step the result has to be symmetrized.

$$d_{KL}(P, Q) = \frac{1}{2} (KL_{(P||Q)} + KL_{(Q||P)}) \quad (3.3)$$

This approach is one of the two available similarity metrics in the Musly [9] toolkit (see Section 2.2). It can be simplified and written as a closed form according to [21, p. 44]:

$$d_{SKL}(P, Q) = \frac{1}{4} (tr(\Sigma_P \Sigma_Q^{-1}) + tr(\Sigma_Q \Sigma_P^{-1}) + tr((\Sigma_Q^{-1} \Sigma_P^{-1})(\mu_P - \mu_Q)^2) - 2d) \quad (3.4)$$

#### Jensen-Shannon-Like Divergence

The second available similarity method in the Musly toolkit by Schnitzer is using the Jensen-Shannon divergence (in a slightly adapted way). "The Jensen-Shannon (JS) divergence is another symmetric divergence derived from the Kullback-Leibler divergence. To compute it, a mixture  $X_m$  of the two distributions is defined" [21, p. 43]. "To use the Jensen-Shannon divergence [...] to estimate similarities between Gaussians, an approximation of  $X_m$  as a single multivariate Gaussian can be used [...] This approximation of  $X_m$  is exactly the same as the left-type Kullback-Leibler centroid of the two Gaussian distributions [...]" [21, p. 45]

$$\mu_m = \frac{1}{2} \mu_P + \frac{1}{2} \mu_Q \quad (3.5)$$

$$\Sigma_m = \frac{1}{2} (\Sigma_P + \mu_P \mu_P^T) + \frac{1}{2} (\Sigma_Q + \mu_Q \mu_Q^T) - \mu_m \mu_m^T \quad (3.6)$$

$$JS(P, Q) = \frac{1}{2} \log |\Sigma_m| - \frac{1}{4} \log |\Sigma_P| - \frac{1}{4} \log |\Sigma_Q| \quad (3.7)$$

## Mutual Proximity

After calculating a similarity matrix for all songs, Musly normalizes the similarities with mutual proximity (MP). [11]. This method wants to reduce the effect of a phenomenon called "hubness", which appears as a general problem of machine learning in high-dimensional data spaces. "Hubs are data points which keep appearing unwontedly often as nearest neighbors of a large number of other data points." [21, p. 66].

Schedl and Knees state: "To apply MP to a distance matrix, it is assumed that the distances  $D_{x,i=1..N}$  from an object  $x$  to all other objects in the data set follow a certain probability distribution; thus, any distance  $D_{x,y}$  can be reinterpreted as the probability of  $y$  being the nearest neighbor of  $x$ , given the distance  $D_{x,y}$  and the probability distribution  $P(x)$  [...] MP is then defined as the probability that  $y$  is the nearest neighbor of  $x$  given  $P(x)$  and  $x$  is the nearest neighbor of  $y$  given  $P(y)$ " [2, p. 80]

Resulting in:

$$P(X > D_{x,y}) = 1 - P(X \leq D_{x,y}) = 1 - \mathcal{F}(D_{x,y}) \quad (3.8)$$

$$MP(D_{x,y}) = P(X > D_{x,y} \cap Y > D_{x,y}) \quad (3.9)$$

according to [2, p. 80]

### 3.1.3 Gaussian Mixture Models and Block-Level Features

Another, more compute-heavy distance measurement would make use of Gaussian Mixture Models (GMMs) of MFCCs. As Knees and Schedl state "Other work on music audio feature modeling for similarity has shown that aggregating the MFCC vectors of each song via a single Gaussian may work almost as well as using a GMM [...] Doing so decreases computational complexity by several magnitudes, in comparison to GMM-based similarity computations." [2, p. 65] Therefore, the usage of GMMs is not further considered in this thesis.

The last method mentioned, but not implemented in this thesis for timbral similarity uses block-level features as proposed by Seyerlehner [55] and described in short by Knees and Schedl [2, p. 67]. Instead of using single frames and summarizing them into statistical or probabilistic models, block-level features use larger, e.g., multiple-second long, audio frames. Features like fluctuation patterns (later introduced in Section 3.3.2) and spectral patterns (containing timbre information) are computed for these larger blocks of frames.

### 3.1.4 Validation

For this thesis, the Kullback-Leibler divergence, the Jensen-Shannon divergence and the Euclidean distance are chosen and tested. There is always a trade-off between the complexity and functionality of the distance computing algorithms and a re-implementation of the block-level features remains left open for future research due to its rather compute heavy nature.

Using the Musly toolkit, a first evaluation unsing the symmetric KL divergence is presented in this section. The feature extraction and distance calculation can also be done in python using the librosa library, and a small re-implementation of the Mandel-Ellis approach was tested as well.

#### Genre Recall Rate/ Construction Noise

In general, a good measurement for the efficiency of timbre similarity algorithms, is the ability to recommend songs of the same genre. Alternatively, the example proposed by Dr. Bosse from the introduction was tested (see Chapter 1). Comparing a construction noise sound sample with the private music collection containing mostly metal, rock, pop, classical and hip hop music, the following six best results were returned in descending order:

- Ziegenmühlen Session - Down On The Corner (Folk Musik)
- While She Sleeps - The Divide (Metalcore)
- Delain - Mother Machine (Live) (Symphonic Metal)
- Within Temptation - Sanctuary (Intro Live) (Symphonic Metal)
- Without A Martyr - Medusa's Gaze (Death Metal)
- 100 Meisterwerke der Klassik - Orpheus In The Underworld (Orphée aux enfers) - Can-Can (Live At Grosser Saal, Musikverein) (Klassik)

Figure 3.1a and 3.1b show the distribution of the genres of 100 most similar songs compared to the construction noise sample.

Using an extended dataset consisting of the private music collection, private field recordings, the full FMA dataset, and the musicnet data, the following results could be achieved:

- Born Pilot - Birds Fell (FMA, Electronic, Noise)
- mrandmrsBrian - sun is boring (FMA, Avant-Garde, field recordings)
- steps in snow (private field recording)
- Sawako - Paris Children (FMA, field recordings)
- Jeremy Gluck and Michael Dent - Olivier (FMA, Ambient Electronic)

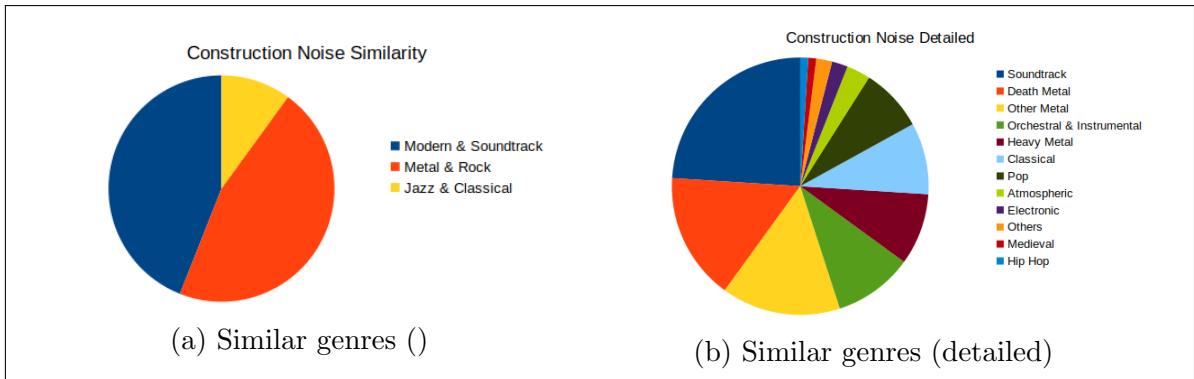


Figure 3.1: Construction Noise

Especially the second test shows, that the timbre based recommendations are able to recommend similar sounding audio files by returning mostly music containing ambient noises once these were included to the dataset.

### Different Recordings and Cover Versions

Another experiment was, to get the most similar songs to the famous 'Rondo alla Turca' by Mozart. The recording used as a starting point was taken from the CD "100 Meisterwerke der Klassik" and has a length of 3:33 minutes. This piece by Mozart appears overall four times in the dataset and is recorded by different pianists. Every recording has a different length as listed in the following overview of the recordings by CD.

- 100 Meisterwerke der Klassik (3:33)
- Piano Perlen (3:30)
- The Piano Collection - Disk 18 (3:28)
- Mozart Premium Edition - Disk 31 (4:29)

The top ten most similar songs to the 3 minutes and 33 seconds version are listed below:

- Mozart - Concert No. 10 for 2 Pianos and Orchestra in E Flat Major, KV 365 - 2. Andante
- Schubert - Sonata in B Flat, D. 960 - III. Scherzo (Allegro vivace con delicatezza)
- Albeniz - Iberia, Book I - Evocación
- Mozart Sonate Nr. 11 in A-Dur, K. 33 - Mozart - Alla Turca Allegretto (3:28)
- Beethoven - Bagatellen Op 119 -Allemande in D major
- Mozart - Rondo No. 1 in D Major, K. 485
- Mozart - Sonata For Piano No. 8 KV 310 A Minor - Allegro Maestoso
- Sonata For Piano No. 16 KV 545 C Major - Rondo: Allegretto
- Mozart Sonate Nr. 11 in A-Dur, K. 33 - III. Tuerkischer Marsch (3:30)

- Mozart - Piano Sonata No. 13 in B flat major, K. 333 (K. 315c): Allegretto grazioso

The interesting conclusion is that only two out of the three other versions were considered as most similar songs. The other recording wasn't even in the top 30 list of the most similar songs. However, the recommendations are all from the same genre (classical music). The inability to detect cover versions was also observable for other songs in the dataset like Serj Tankians song "Lie Lie Lie" from the CD "Harakiri" and an orchestral recording of the same piece. This is probably due to the usage of MFCCs valuing the timbre of the music predominantly instead of the pitches and melody movements.

## 3.2 Melodic Similarity

### 3.2.1 Representation

As presented in Section 2.2.3, there are tools for the extraction of the pitch curve of the main melody line in a song. However, in polyphonic music, these kinds of algorithms struggle to get reasonable results. In musical genres like Metal with distorted instruments, it is hardly possible to get good results. In conclusion, the main pitch-line extraction and the following conversion of a song with multiple concurrent audio tracks to MIDI using up-to-date open-source toolkits doesn't produce very reasonable results as shown in 2.2.3.

Another possible representation of melodic features is the transformation of the structural information to graphs, as Orio and Roda did [56].

But a better and also widely used approach is to use chroma features as described in the next Section 3.2.2.

### 3.2.2 Chroma Features Pre-Processing

Chroma features, as described in Section 2.1.1, are a good and lower-dimensional way to describe the melody of a song. Most MIR toolkits already offer functions to extract the chromagram from audio files. The plots in this chapter were created using the Essentia [6] and librosa [57] toolkits. The reduction of dimensionality, however, comes with a loss of information, especially what octaves the notes are played in. In addition to the pure computation of the chroma features, some pre- and post-processing steps were implemented and tested and will be presented throughout in this chapter.

First of all Figure 3.2 shows the chromagram plots from two different recordings of the first thirty seconds of the song "Chandelier". Figure 3.2b shows the original version sung by the artist Sia and Figure 3.2a shows the features of a cover version by the band Pvris. In the last third of each sample, the chroma features seemingly get noisier. At

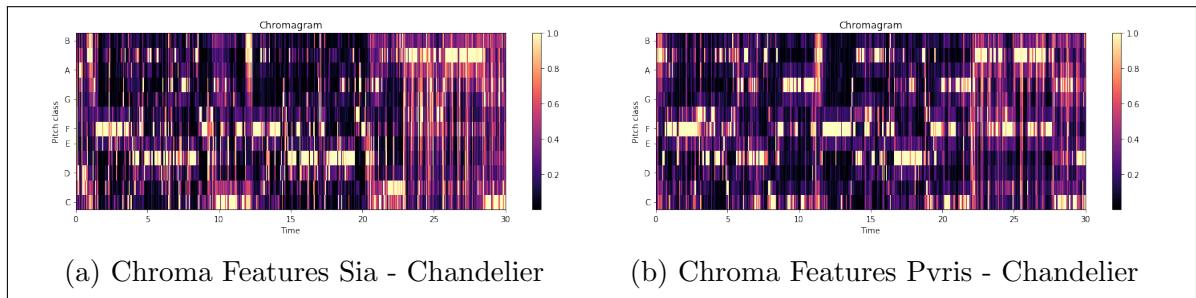


Figure 3.2: Chroma Features

these timings in both songs, the bass and drum begin to play. To reduce the impact

of rhythm elements over the melodic voice and instrument lines, the audio signal was filtered with a high-pass filter with a cut-off frequency at 128Hz (nearly equal to C3 Key) and secondly by a low-pass filter with a cut-off frequency at 4096Hz (C8 Key). This limits the frequency range to about 5 octaves. In Figure 3.3, the filter frequencies and the original audio signals are visualized in blue color, and the filtered audio signal is green. The spectrogram before and after filtering the audio signal is also shown.

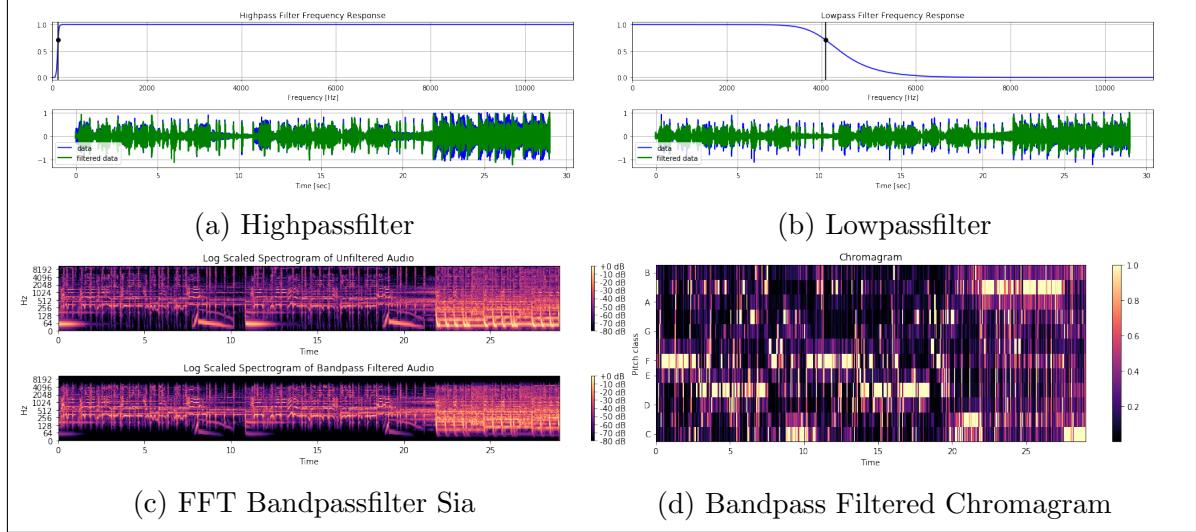


Figure 3.3: Bandpass - Sia

In the chromagram of the bandpass-filtered audio signal, the last 10 seconds look cleaner, and the melody line is more distinct from the rest in comparison to the chromagram of the unfiltered audio in Figure 3.2.

The next step is to calculate the most dominant note value for each timeframe. Since the chromagram normalizes every timeframe to the maximum note value, the most dominant note is always assigned to the value 1. The closer the rest of the notes are to one, the more likely the timeframe contains silence. If only a few values are close to one, then a chord or harmony is played. To filter out silence the sum over all note values of every timeframe is calculated and if this sum is twice as high as the average sum of notes of the whole song, then the frame is considered as silence. Otherwise, the most dominant pitch is set to a fixed value while the rest of the notes are set to zero. To extract the main melody, in most cases only the most dominant pitch is needed, but sometimes the main melody is superimposed by other accompanying instruments. To prevent this the second most dominant pitches can also be taken into consideration if their values are greater than a specific threshold. The result is shown in Figure 3.4 with a threshold of 0.8.

After that, a beat tracking algorithm is applied to the song, and the count of appearances of each note between two beats is calculated. The notes that appear the most between

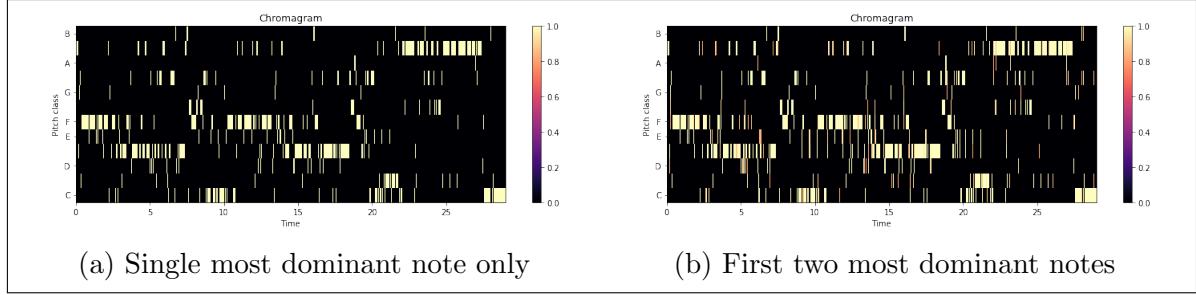


Figure 3.4: Thresholded Chroma Features - Sia

two beats are then set to 1 while the rest is set to 0 for each section between two beats. This beat-alignment serves to make the similarity measurement invariant to the global tempo of the song. Even if the cover of a song is played with half the tempo of the original song, then the melody segment of each bar is still the same as in the faster original version. Figure 3.5 shows the different beat aligned features of both example songs with bandpass-filtered audio and unfiltered audio. The red lines resemble the detected beat events. Another option would be to separate the frames between the beats in even smaller sections. This would result in a better resolution of the melodic movement but at the same time increase the length of the data vectors that have to be compared to each other. The last processing step is to key shift the chroma features to

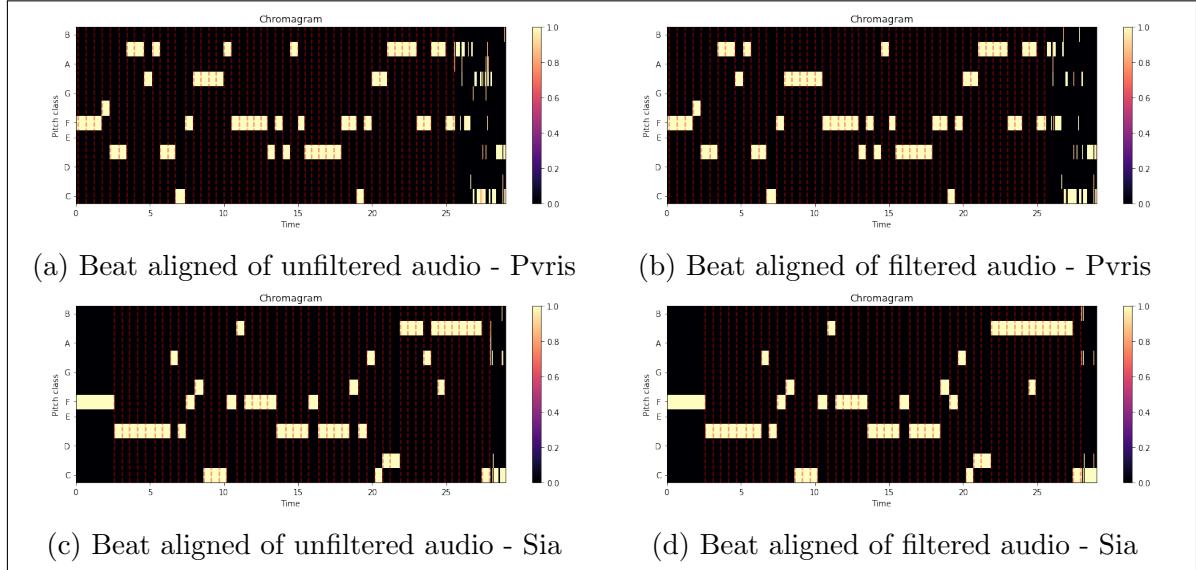


Figure 3.5: Processed Chroma Features - Sia

make the similarity analysis key invariant. One way to do so would be to estimate the key in which each song is played in and then shift all chroma features to the same base key, e.g., C Major or A Minor. Due to the structure of the chroma features, this can easily be done by assigning all estimated notes a new value a few keys higher or lower and thus shifting the whole song by a few semitones.

The whole workflow to extract the chroma features for this thesis is shown in Figure 3.6.

Another consideration is to use the original chromagram without the extraction of

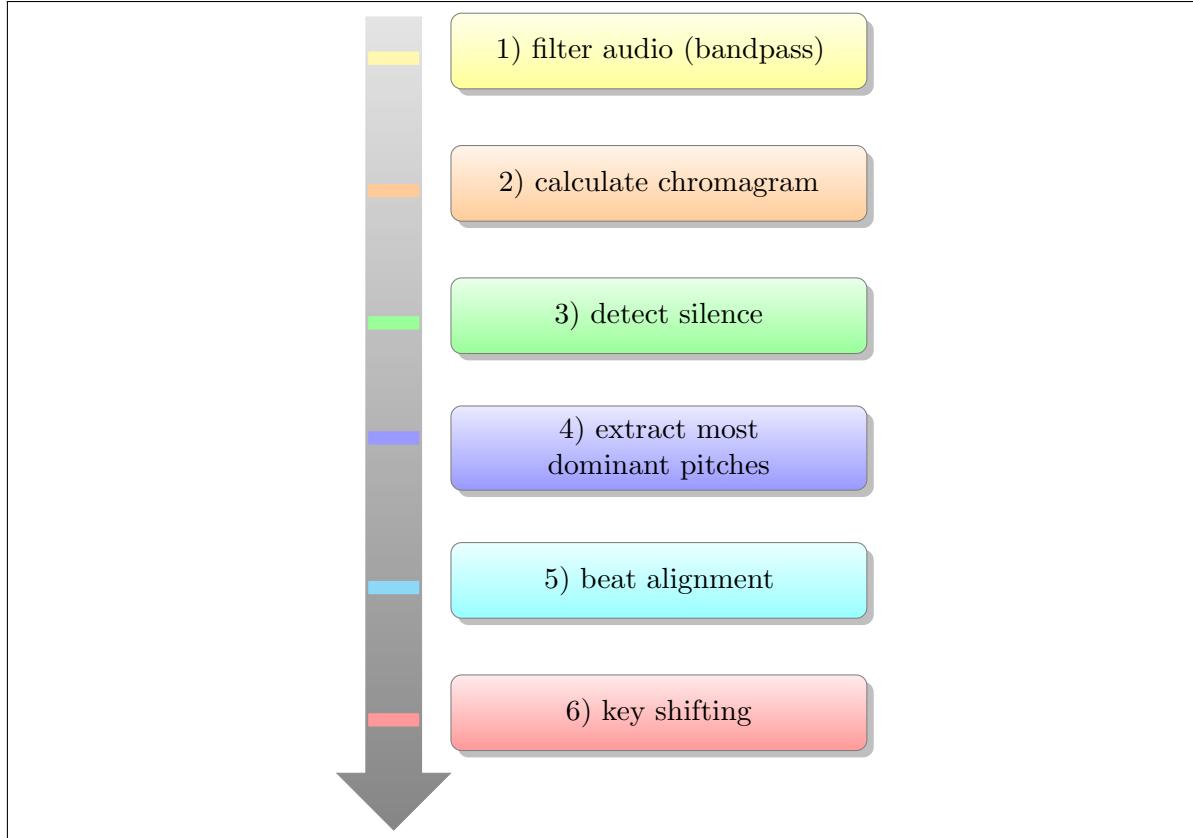


Figure 3.6: Workflow chroma feature extraction

only the most dominant keys and thus leaving the processing step 4 out. This means a possible tradeoff between accuracy and computation time. The results for the example song by Sia don't show a major impact, as can be seen in Figure 3.7. In this thesis, step 4 will be used in an attempt to get rid of the pitches of the accompaniment from the main melody line.

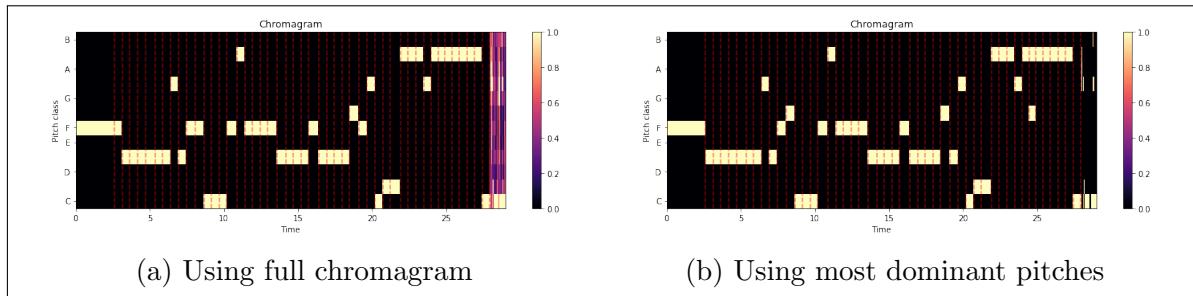


Figure 3.7: Processing Step 3 Chroma Features

### 3.2.3 Similarity of Melodic Features

In this section, two completely different approaches to measure the melodic similarity between two songs will be presented. The first one as proposed by [58] or [24] uses text retrieval methods to compare the chroma features of two songs and the second evaluates the usage of cross-correlation of beat-aligned chromagrams as a signal processing approach [59] and [60]

#### Text Retrieval

One possibility to process the chromagrams and to estimate the similarity between the melodic features of different songs is to handle the pre-processed chromagrams as texts consisting of note values. Due to the extraction of only the main melody line in our feature vector, there is only one note for every detected beat. This main melody line gets converted into a vector of subsequent notes and this vector is converted into a string. The beat- and pitch-alignment done in the previous steps makes the features relatively time- and key invariant. One problem that remains is the different length of the various feature vectors. [24] mentions that this is indeed a problem when using the Levenshtein distance (also known as the edit-distance) to compute similarities. In their paper, they use MIDI files instead of chroma features, but both contain information about the melody of songs so an adaption to chroma features is not an issue, because they can also easily be interpreted as simple strings. The Levenshtein distance between the first  $i$  characters of a string  $S$  and the first  $j$  characters of  $T$  can be calculated as follows [24, p. 7]

$$lev_{S,T}(i,j) = \begin{cases} max(i,j), & \text{if } min(i,j) = 0 \\ \min \begin{cases} lev_{S,T}(i-1,j) + 1 \\ lev_{S,T}(i,j-1) + 1 \\ lev_{S,T}(i-1,j-1) \\ +cost[S_i \neq T_j] \end{cases} & \text{else} \end{cases} \quad (3.10)$$

Xia (et al.) made some adjustments to this to be able to handle musical information.[24, pp. 7ff] For example to get rid of the problem of various lengths between the songs, they only took the first 200 and the last 200 notes of every song because it could be observed that cover songs tend to share more common notes in the beginning and at the end of each song.

Due to the fact that this thesis has no actual note information from MIDI files but rather short lists of estimated main pitches from the beat aligned chroma features, most of the feature vectors are already smaller than 200 notes. Therefore the implemented

algorithm does not split the vectors. This tends to favor cover songs that share the same length.

Englmeier (et al.) uses a more advanced information retrieval technique called "TF-IDF weights" (term frequency - inverse document frequency) and explicit semantic analysis (ESA). "The TF-IDF weight is a measure which expresses the meaning of a term or a document within a collection of documents." [58, p. 186] To do so, "audio words" have to be created from the song database by splitting the audio signal into snippets, creating chroma features and clustering them with the k-means algorithm. The centroids are then added to a database. These audio words can then be evaluated using the TF-IDF weights and ESA. Although their approach looks promising, a re-implementation of their algorithms would exceed the frame of this thesis.

### Cross-Correlation

Another possibility to handle the extracted chroma features is by viewing them as ordinary discrete time signals and creating opportunities to apply classical signal processing algorithms. For this approach, the pre-processing steps laid out in Figure 3.6 can be simplified by skipping steps three and four and possibly even step 6, as explained later, resulting in beat-aligned chromagrams as shown in Figure 3.10a and 3.10b.

Ellis and Poliner use the cross-correlation in their 2007 published paper [60]. Serra (et al.) also references the work of Ellis and Poliner and discusses different weak points and influences of processing steps like beat tracking and key transposition to the overall performance of this similarity measurement. They also discuss and improve another approach called "dynamic time warping" (DTW) further in their paper [59]. The focus of this thesis is set on the cross-correlation method. Given two discrete-time signals  $x[n]$  and  $y[n]$  the cross-correlation between the both signals  $k[n] = (x \star y)[n]$  can be denoted as follows:

$$k[n] = (x \star y)[n] = \sum_{m=-\infty}^{\infty} x[m]y[m-n] \quad (3.11)$$

For two two-dimensional input matrices  $X$  with the dimensions  $M$  by  $N$  and  $H$  as a  $P$  by  $Q$  matrix the cross-correlation result is a matrix  $C$  of size  $M + P - 1$  rows and  $N + Q - 1$  columns. Its elements are given by equation 3.12 [61], the bar over Y denotes complex conjugation (in this case H is a matrix with real values only).

$$C(k, l) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} X(m, n)\bar{Y}(m - k, n - l) \quad (3.12)$$

with

$$-(P - 1) \leq k \leq M - 1 \quad (3.13)$$

$$-(Q-1) \leq l \leq N-1 \quad (3.14)$$

An example for the one-dimensional cross-correlation is shown in Figure 3.8 and the full two-dimensional cross-correlation of two songs is depicted in Figure 3.9 and 3.10. Ellis

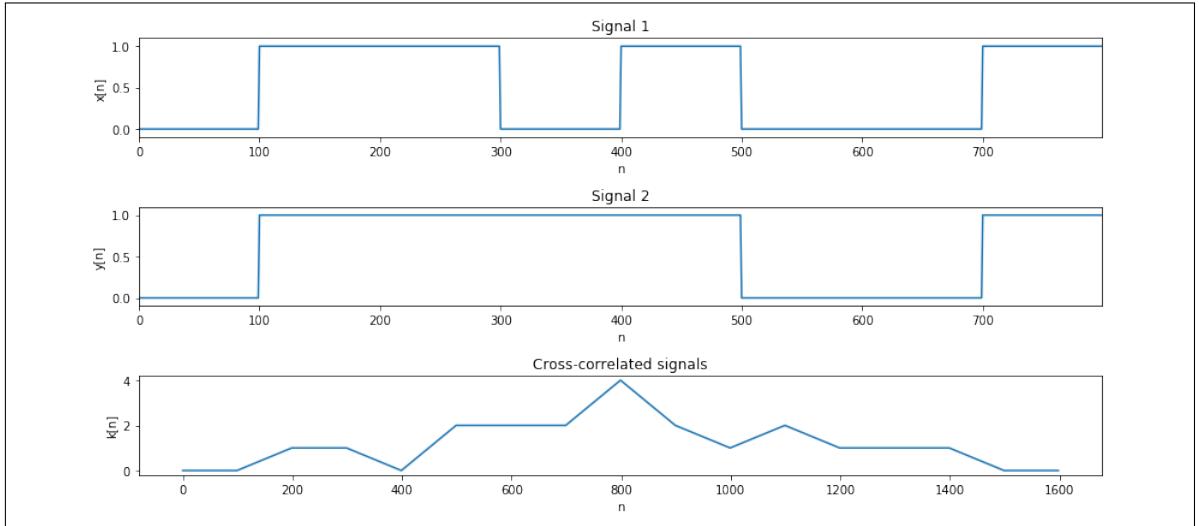


Figure 3.8: Cross-correlation

and Poliner did not transpose the songs in the pre-processing step to match the keys of both audio files. Instead, they calculated the full cross-correlation for all 12 possible transpositions and chose the best one. As input matrices, they averaged all notes of the chroma features per beat and additionally scaled them to have unit norm at each time slice/ beat frame. In the original paper, the cross-correlation is normalized by the length of the shorter song segment to bind the correlation result to an interval between 0 and 1. But in a later published work from Ellis and Cotton, this step was left out, as it seemingly resulted in slightly worse detection ratios of cover songs [62]. Additionally, they filtered the result of the cross-correlation with a high-pass filter. "We found that genuine matches were indicated not only by cross-correlations of large magnitudes, but that these large values occurred in narrow local maxima in the cross-correlations that fell off rapidly as the relative alignment changed from its best value. To emphasize these sharp local maxima, we choose the transposition that gives the largest peak correlation then high-pass filter that cross-correlation function with a 3dB point at 0.1 rad/sample" [60, p. 3]. The later published paper also states that changes to the filter parameters improved the cover song recognition rate further [62]. However, the exact values, e.g., for the cutoff frequency weren't given, so in this thesis, the older parameters for the filter are used.

Serra (et al.) also discusses the effects of different pre-processing steps that improve the algorithm even further, and they note that a higher chroma resolution of 3 octaves gives better results. Also, the key detection and transposition before the cross-correlation

gives slightly worse results in comparison to the method Ellis and Poliner used.

In this thesis, a version where the songs are all key aligned before the cross-correlation was tested, to reduce the computation time overhead when estimating the similarities on a cluster. In summary, the implementation in this thesis is similar to the approach by Ellis and Poliner [60], but some of the steps from the newer paper [62] leave some space for further improvements.

The chroma features are beat aligned, averaged per beat, and normalized to unit length as well. Additionally, all chroma features are transposed to a common key (A in this case) in the pre-processing step. The full cross-correlation according to equation 3.12 including "key shifts" with zero padding at the edges, by letting  $k$  run from  $-(P - 1) \leq k \leq M - 1$  is shown in the Figures 3.9 and 3.10. But due to the previously already performed key shift during the pre-processing steps and the fact that both input matrices share the same amount of rows (12, one per semi-tone) the full cross-correlation is not necessary and computation time can be saved by altering the computation according to equation 3.15 resulting in a vector  $C$  with the correlation results without additional key-shifting. This simplified version is reliant on an accurate key detection of the songs during the pre-processing.

$$C(l) = \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} X(m, n) \overline{H}(m, n - l) \quad (3.15)$$

$$-(Q - 1) \leq l \leq N - 1 \quad (3.16)$$

or even faster without calculating the edges of the matrix (without zero-padding).

$$0 \leq l \leq N - Q \quad (3.17)$$

The post-processing step from Ellis and Poliner, more precisely the high-pass filtering of the result was also implemented.

Figure 3.9 shows two beat aligned, key shifted and per beat averaged chroma features of two short guitar audio samples and their cross-correlation results. The most interesting row of the cross-correlation matrix is the middle row marked with the B key. In Figure 3.10 the cross-correlation of the song "Chandelier" by the singer Sia and covered by Pvris are shown in 3.10c and in contrast to this the cross-correlation of "Chandelier" with the song "Rock you like a Hurricane" by The Scorpions is shown (Figure 3.10d). Due to the previous key shifting, plot 3.10a shows the maximum peak right in the center row. Originally the version by Sia is detected to be written in C sharp and the cover version in F sharp, but both songs are shifted to the A key in the pre-processing step. The unrelated songs result in much smaller correlation values, especially when looking at the middling row of the matrix (marked as the F-key), but also if the songs are

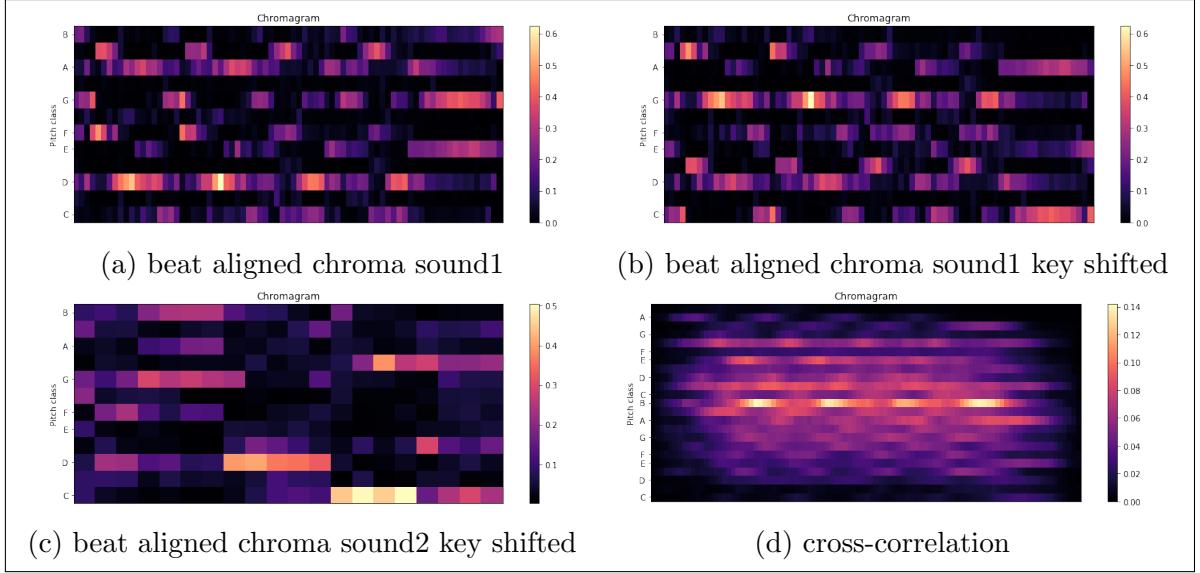


Figure 3.9: Beat-aligned chromagram

transposed additionally even then they do not correlate well, but this is also related to the zero-padding when additional key-shifts are performed. In contrast to this, the cover songs have multiple visible peaks in the center row. The row with the maximum

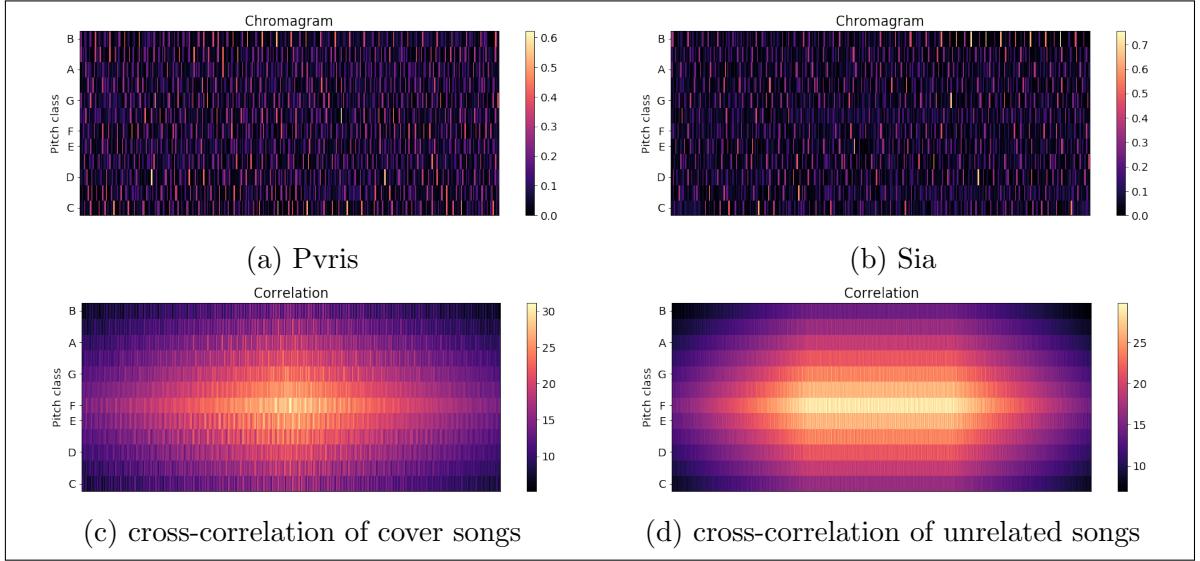


Figure 3.10: Cross-correlation

correlation value is extracted, and the resulting plot shows, that the cover songs do correlate much better than the unrelated songs (3.11a and 3.11b). The center rows of the cross-correlation matrices from Figure 3.10 are separately pictured in Figure 3.11 and 3.12. After applying the high-pass filter to the extracted row with the maximum correlation value, the peaks in 3.11a when cross-correlating the cover songs are clearly visible compared to the unrelated songs. An interesting detail that can be pointed out

is that the song structure is also visible in plot 3.12a with clearly visible recurring peaks when the refrain is repeated.

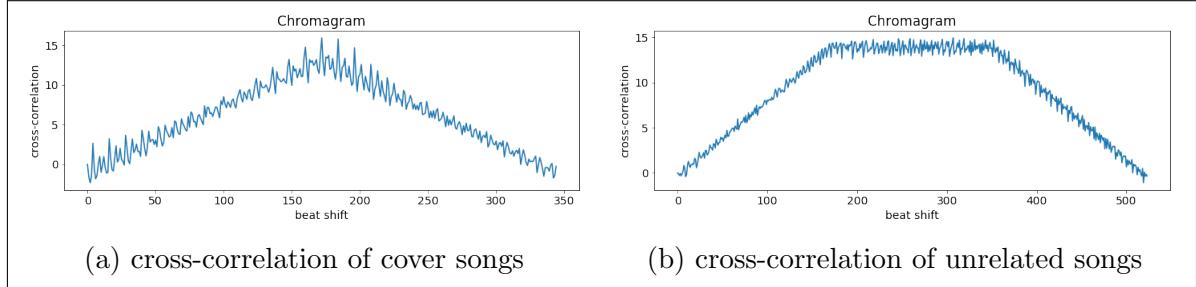


Figure 3.11: Cross-correlation

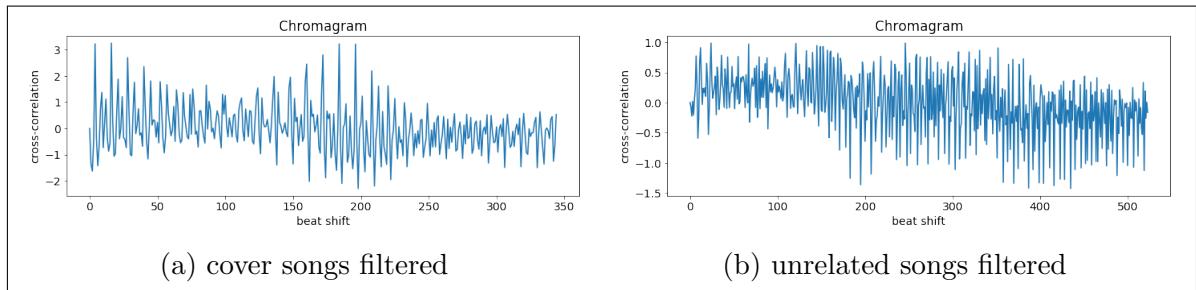


Figure 3.12: Cross-correlation filtered

### 3.2.4 Validation

A good measurement for the efficiency of a melodic similarity algorithm is the ability to find cover songs, remixes, and recordings of the same song from different artists.

## 3.3 Rhythmic Similarity

This chapter provides an overview of some of the possibilities for computing music similarity by focusing on rhythmic features of different songs.

Nearly every MIR toolkit provides an extraction tool for the beats per minute (BPM) and thus the tempo of each song. The most trivial solution of computing very low-level rhythmic similarities is by sorting and comparing songs only by their main tempo (BPM). Of course, there are far better and more accurate solutions. This chapter presents some of the most promising approaches to compute rhythm similarities regarding the applicability in a big data framework.

### 3.3.1 Beat Histogram

One possible similarity measurements is, e.g., the usage of beat histograms as proposed by Tsanetakis and Cook [63]. The Essentia toolkit offers methods to extract the beat histogram. The different detected BPMs are normalized to 1. If a song changes its tempo, then multiple peaks can be seen.

Figure 3.13 shows the beat histograms of the song "Rock you like a hurricane" by the Scorpions and covered by Knightsbridge as well as two different versions of the song "Behind Space" from the Swedish metal band In Flames, one is sung by Stanne Mikkels in 1994 and the second version was recorded with Anders Friden as the singer in 1999. The 1994 version changes its tempo in the outro of the song, and the tempo change can be seen in the histogram in Figure 3.13c as a second large peak around 120 BPM. Similarities between two beat histograms can be computed using the Euclidean distance.

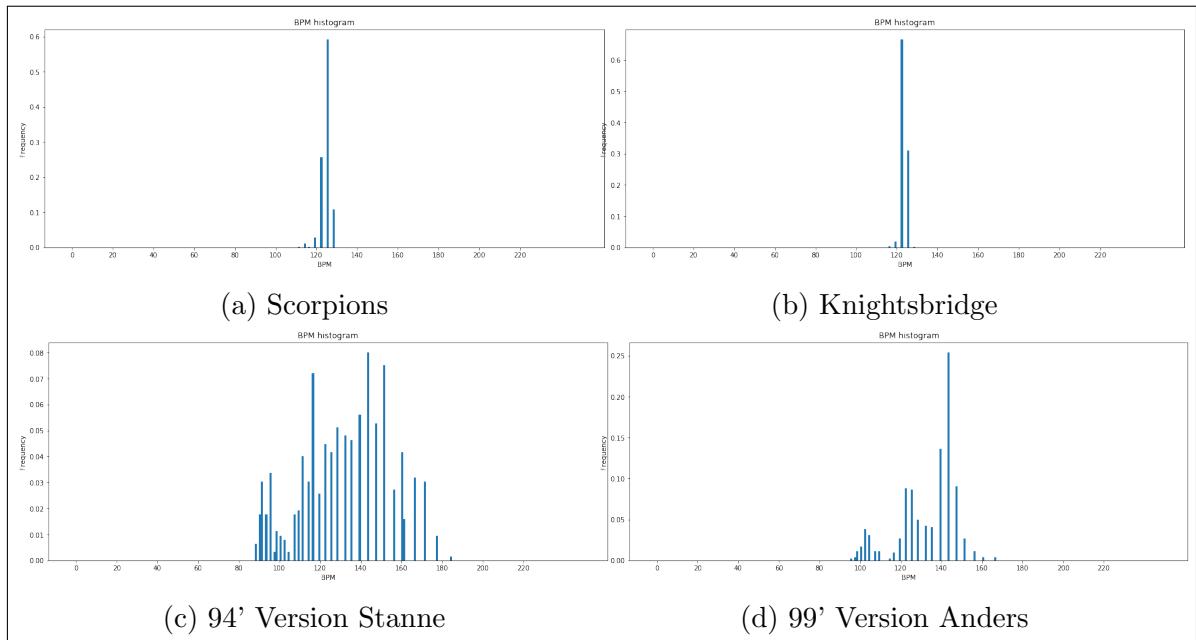


Figure 3.13: Beat Histogram

Gruhne (et al.) further improved the beat histograms and suggested an additional post-processing step before calculating the similarity between songs with the euclidean distance. They found that logarithmic re-sampling of the lag axis of the histogram and cross-correlation with an artificial rhythmic grid improves the performance of this similarity measurement further (see [64, p. 182]). This thesis doesn't use the additional re-sampling.

Another paper that is just mentioned here (one of the older ones from 2002) uses the beat spectrum as a feature [65] to compute similarities.

### 3.3.2 Rhythm Patterns

A more state-of-the-art feature is the so-called rhythm pattern, also known as fluctuation patterns, for instance evaluated by Lidy and Rauber in [66]. To extract these features, the rp\_extractor library for python [67] was made publicly available by the TU Vienna [68]. Figure 3.14 shows the extracted rhythmic patterns of the previously mentioned songs "Rock you like a Hurricane" and "Behind Space". The similarities of the different versions from the same songs are quite visible, while at the same time substantial differences between the different songs are recognizable.

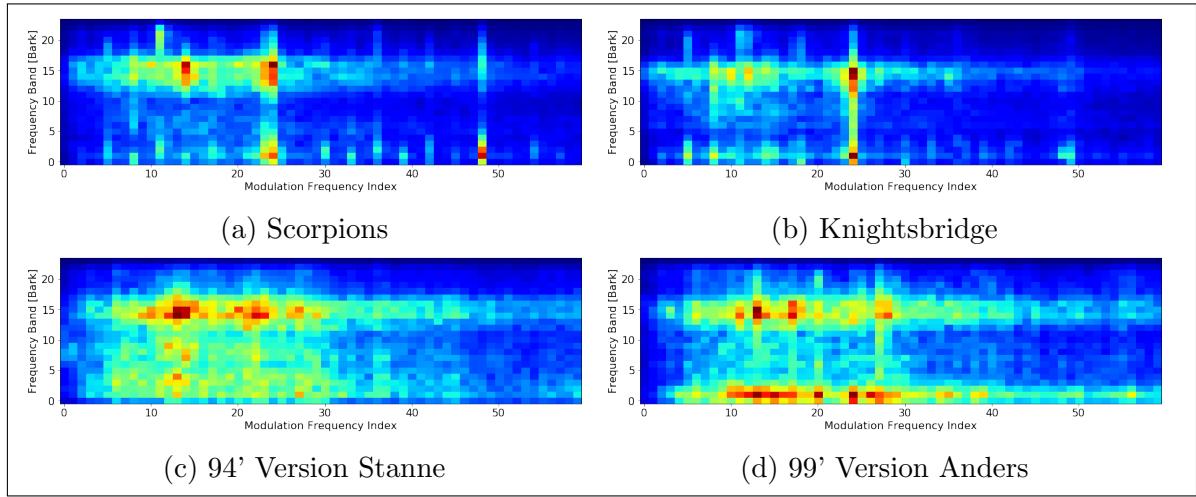


Figure 3.14: Rhythmic Patterns

The x-axis represents the frequency bands converted to the Bark-scale (a scale representing the human auditory system comparable to the Mel-scale from equation 2.3), and the y-axis represents the modulation frequency index representing the modulation frequencies up to 10Hz (around 600 BPM). The Bark of a frequency  $f$  can be determined using formula 3.18.

$$Bark = 13 \arctan(0.00076f) + 3.5 \arctan((f/7500)^2) \quad (3.18)$$

The algorithm to extract the rhythm patterns, the rhythm histogram, and statistical spectrum descriptors measuring the variations over the critical frequency bands, can be seen in Figure 3.15.

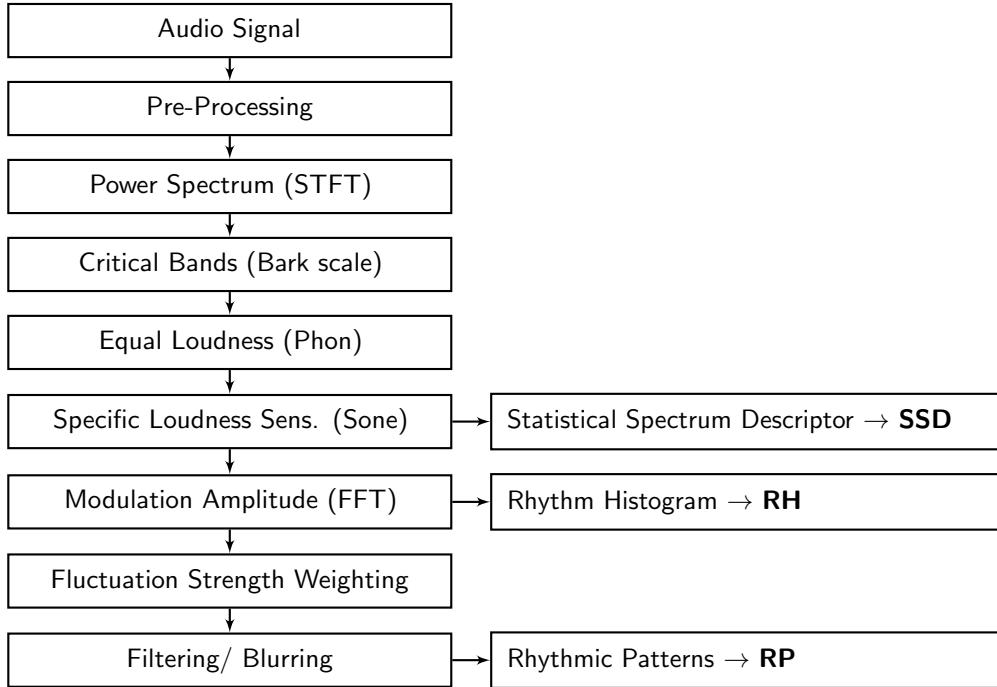


Figure 3.15: Rhythm Pattern extraction [68]

So in conclusion, the rhythm patterns basically represent the BPM of various frequency bands. To compare two different songs the euclidean distance between the vectorized rhythm pattern matrices can be calculated as Pampalk suggests [69, p. 40].

Pohle, Schnitzer et al. later refined fluctuation patterns into onset patterns, e.g., by using semitone bands instead of fewer critical bands to detect onsets [70]. This thesis, however, focuses on fluctuation-/ rhythm patterns extracted with the rp\_extractor library.

### 3.3.3 Rhythm Histogram

A more simplistic and lower-dimensional feature coming with the rp\_extractor toolkit is the Rhythm histogram. "The Rhythm Histogram features we use are a descriptor for general rhythmicics in an audio document. Contrary to the Rhythm Patterns and the Statistical Spectrum Descriptor, information is not stored per critical band. Rather, the magnitudes of each modulation frequency bin of all 24 critical bands are summed up, to form a histogram of "rhythmic energy" per modulation frequency. The histogram contains 60 bins which reflect modulation frequency between 0 and 10 Hz." [66, p. 3]. The difference in comparison to the beat histogram mentioned earlier in Section 3.3.1 appears to be, that the beat histogram focuses on the basic tempo of the whole song while the rhythm histogram takes all frequency bands and therefore the sub-rhythms of single instruments into account.

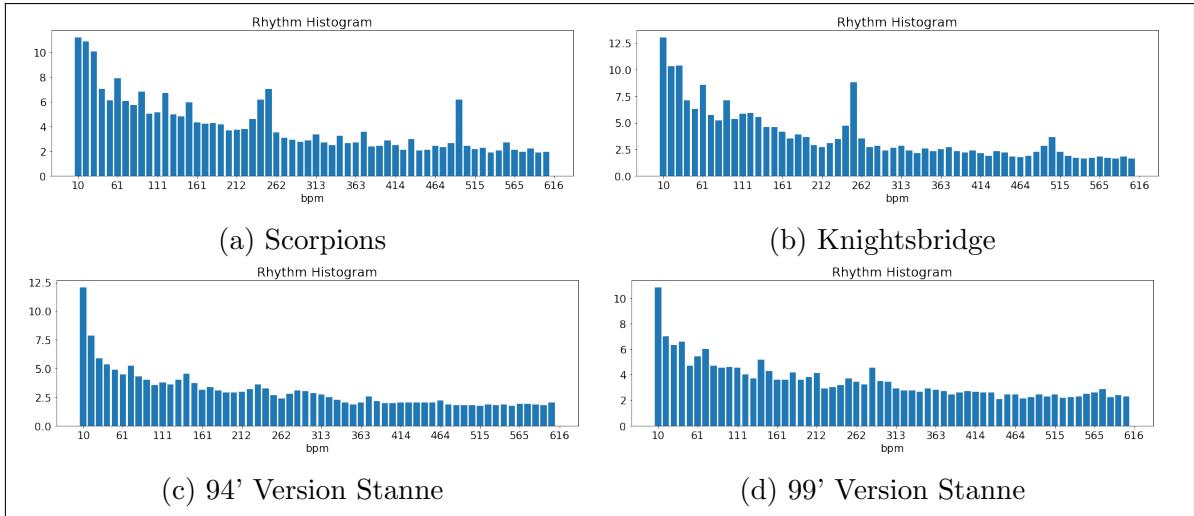


Figure 3.16: Rhythm Histogram

### 3.3.4 Cross-Correlation

Estimating the onset strength per beat and creating a discrete-time signal for each song is another option. Similar to the chroma features the cross-correlation of the onset functions could be used as a similarity measurement.

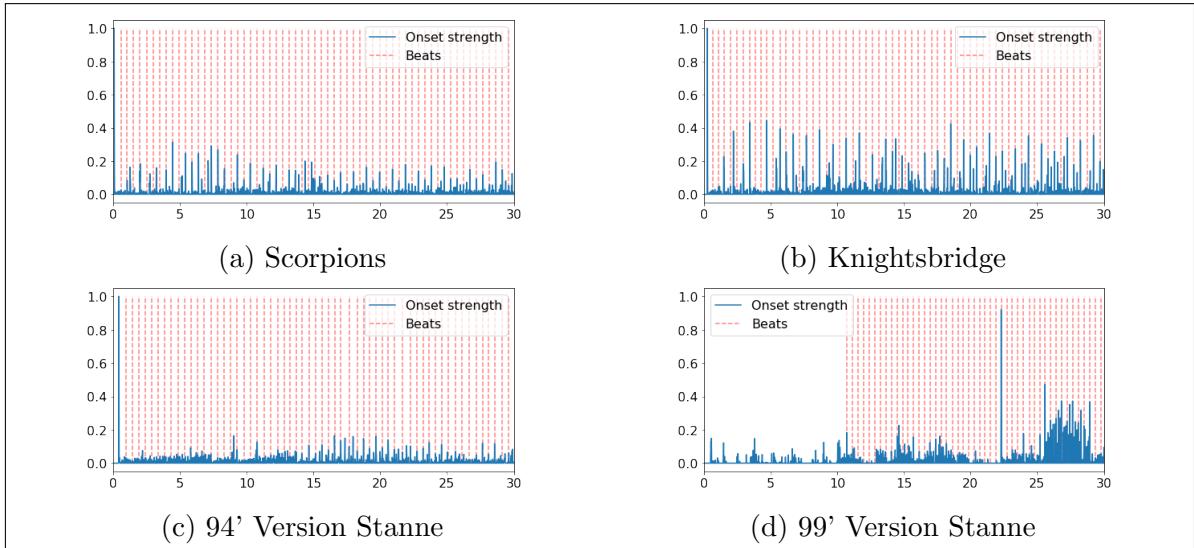


Figure 3.17: Detected Onsets (first 30 seconds)

Looking at the extracted onset features of the Song "Behind Space" by In Flames (sung by Anders Frieden 99' and Stanne Mikkels 94') in Figure 3.17, one can see that the quality of these signals is greatly dependent on the underlying beat extraction and onset detection algorithms. E.g., the librosa toolkit struggles to detect beats in the first 10 seconds of the song "Behind Space" recorded in 1999. Also, this representation seems to contain a lot less valuable and comparable information in contrast to fluctuation

patterns. In conclusion, this approach is discarded and not further considered and tested in this thesis.

## 3.4 Summary

After evaluating various options of similarity measurements for different aspects of music (timbre, rhythm, and melody/ pitch), all of the chosen approaches that are implemented in the next chapters are summarized in this section.

### Timbre Similarity

The chosen similarity metrics for timbre similarity are :

- Euclidean Distance
- symmetric Kullback-Leibler divergence
- Jensen-Shannon-like Divergence

To calculate the distances, for each song the mean and variance vectors and covariance matrix has to be computed from the MFCCs. These are stored in two different output text files:

- out.mfcc (containing mean vector (length  $b$ ), variance vector (length  $b$ ) and vectorized upper triangular covariance matrix (length  $\frac{b \cdot (b+1)}{2}$ ))
- out.mfcckl (containing mean vector (length  $b$ ) and full covariance matrix (length  $b \cdot b$ ))

The amount of Mel-bands chosen is  $b = 13$ . The second \*.mfcckl file is created to get rid of the necessity to rearrange the covariance matrix inside the big data framework and reduce the computation time when a similarity estimation request is processed. To even further save storage space the variance vector from the \*.mfcc files could have been left out because these values are also stored within the main diagonal of the covariance matrix and left within the triangular matrix, leading to  $\frac{b \cdot (b+1)}{2}$  instead of  $\frac{b \cdot (b-1)}{2}$  values (as mentioned in Section 3.1.1) in the triangular matrix.

### Melodic Similarity

For the computation of the melodic similarities, two different similarity metrics are chosen:

- Levenshtein Distance

- cross-correlation on full beat aligned and per beat averaged chroma features, key shifted to A

These are stored in two different output text files. The vector length is dependent on the numbers of detected beats  $n$

- `out.notes` (containing the estimated original key, the scale and a list of most dominant key per beat, key-shifted to the A key (length  $n$ ))
- `out.chroma` (full beat aligned chromagram, containing a  $12 \times n$  matrix)

## Rhythm Similarity

Three different similarity measurements are chosen for the rhythm features:

- Euclidean distance between beat histograms
- Euclidean distance between rhythm histograms
- Euclidean distance between rhythm patterns

These are stored in three different output text files.

- `out.bh` (containing the estimated overall bpm and a vector for the beat histogram normalized to one (length 250))
- `out.rh` (containing a vector for the rhythm histogram extracted with `rp_extract` (length 60))
- `out.rp` (containing a vectorized matrix for the rhythm patterns extracted with `rp_extract` (length  $24 \times 60$ ))

## Feature Files

The feature files contain strings like the following:

```
out.mfcc: music/song.mp3; [-498.03763, ... ,4.321189]; [8943.487, ... ,61.624344];
[8944.3907652, ... ,74.17548092]
out.mfcc: music/song.mp3; [-498.03763, ... ,4.321189]; [[6568.27958735, ... ,74.64776425],
... ,[74.64776425, ... ,69.1589048]]
out.notes: music/song.mp3; G; minor; [6, 2, 5, 7, 7 ... , 0, 0]
out.chroma: music/song.mp3; [[0.5209161, 0.82440507, ... , 0.68443549] ... [0.31470749,
0.02552716, ... ,0.01234249]]
out.bh: music/song.mp3; 86.9380264282; [0. ... 0.01453488 ... 0. 0.]
out.rh: music/song.mp3, 15.2521291416, 10.10441871, ... , 2.2519330706
out.rp: music/song.mp3, 0.0237481782333, 0.0208784207788, ... , 0.00204177442894
```

An additional file containing a list of all song names is stored as `out.files`

# 4. Implementation

The implementation consists of two separate parts. The first one contains the feature extraction and preparation of the data from the audio files. The results are stored in the feature files. These features files then have to be processed with the big data framework Spark to compute the similarities between songs.

Both parts are implemented in Python and can be executed on computer clusters. The source code can be found on the CD in the appendices and it can be pulled from GitHub [71]. Details for the usage of the python scripts are also documented there.

## 4.1 Audio Feature Extraction

So far the required audio features as well as toolkits to extract those features from the audio data have been selected in Chapter 2. In Section 2.4, different sources for audio files have been presented. Section 3.1, 3.2, and 3.3 presented algorithms to pre-process the low-level features and use these to compute similarities. This section focuses on the selection of fitting datasets to extract features from and the performance of the feature extraction and pre-processing software implementation.

### 4.1.1 Test Datasets

A lot of data is needed to test the algorithms in a big data environment, so the Free Music Archive with its over 106000 songs is a solid option for performance tests. It has to be kept in mind, that the genre distribution in the FMA dataset is quite one sided. Most of the songs are tagged as experimental, electronic and rock. Also this dataset may not be representative for actual popular music, a lot of the songs are live recordings with poor audio quality. The 1517-Artists dataset offers 19 different genres with songs relatively equally distributed. For an objective evaluation of the proposed algorithms, e.g., by genre recall, this dataset is ideal. For cover song detection, the covers80 dataset is included as well. The last source used in this thesis is the private music collection. This collection is biased towards metal music, but due to the match with personal taste, it enables a subjective evaluation of the results from the implemented recommender

system.

In conclusion that sums up to about 117000 songs for performance tests and about 12000 songs for a detailed evaluation of the algorithms in this thesis, from which at the end overall 114210 could be used (see Section 4.1.2 for the details on the dropout). As mentioned in Section 2.4.1, all albums from the private music collections are cataloged as well, and the associated document is in the appendices.

FMA	106.733 Songs
private	8484 Songs
1517-Artists	3180 Songs
covers80	164 Songs (80 originals + 84 covers)

Table 4.1: Selected music datasets

### 4.1.2 Feature Extraction Performance

After evaluating the different features in the last three chapters, this section only discusses the performance of the feature extraction process without going too much into the details of the code for the feature pre- and post-processing, like the note estimation from the chroma features and the calculation of statistic features from the MFCCs. These additional steps were already explained in-depth in the previous chapters and are therefore left out here. The full code is in the appendices.

#### Librosa

For most of the plots in Chapter 2, the python toolkit librosa was used because of its ease of use and very good documentation. The following code example shows the necessary methods to extract the most important features like MFCC, chromagram and beats/ onsets.

```

1 path = ('music/guitar2.mp3')
2 x, fs = librosa.load(path)
3 mfcc = librosa.feature.mfcc(y=x, sr=fs, n_mfcc=12)
4 onset_env = librosa.onset.onset_strength(x, fs, aggregate=np.median)
5 tempo, beats = librosa.beat.beat_track(onset_envelope=onset_env, sr=fs)
6 times = librosa.frames_to_time(np.arange(len(onset_env)), sr=fs, hop_length= 512)
7 chroma = librosa.feature.chroma_stft(x, fs)

```

Code Snippet 4.1: librosa

But when extracting features from batches of audio files, the librosa library turned out to be very slow. For a tiny dataset of 100 songs, the extraction of just the mean,

variance, and covariance of the MFCCs and the estimated notes from the chromagram took about 48 minutes. For larger datasets like the 1517-Artists dataset, the feature extraction process would have taken about 22 hours.

## Essentia

Moffat (et al.) compares different Audio feature extraction toolboxes and shows that Essentia is a much faster alternative to librosa due to the underlying C++ Code and provides even more features, but it is a bit less well documented and requires more effort in implementation at the same time [4].

In the end, the code to extract the necessary features had to be rewritten for the usage of Essentia due to the slow performance of librosa. Essentia offers two different ways to handle audio files. The first one is to use the Essentia standard library. It provides similar methods to librosa and uses an imperative programming style. The audio file has to be read, sliced and pre-processed by hand. The second way is to use Essentia streaming. Basically, a network of connected algorithms is created, and they handle and schedule the "how and when" of the execution whenever a process is called.

The melodic and timbral features and the beat histograms are all computed with Essentia. Only the rhythm patterns and rhythm histograms are computed in a separate step, as stated below.

### Essentia Standard

In the final code for the audio feature extraction, the computation of the MFCCs and beat histogram is done with the Essentia standard library, because it offers a fast and easy way to implement the basic feature extraction tasks.

```
1 audio = es.MonoLoader(filename=path, sampleRate=fs)()
2 hamming_window = es.Windowing(type='hamming')
3 spectrum = es.Spectrum()
4 mfcc = es.MFCC(numberCoefficients=13)
5 mfccs = numpy.array([mfcc(spectrum(hamming_window(frame)))][1]
6   for frame in es.FrameGenerator(audio, frameSize=2048, hopSize=1024)])
7 rhythm_extractor = es.RhythmExtractor2013(method="multifeature")
8 bpm, beats, beats_confidence, _, beats_intervals = rhythm_extractor(audio)
9 peak1_bpm, peak1_weight, peak1_spread, peak2_bpm, peak2_weight, peak2_spread,
10 histogram =
    es.BpmHistogramDescriptors()(beats_intervals)
```

Code Snippet 4.2: Essentia standard

## Essentia Streaming

The Essentia streaming library is used to calculate the chroma features in the final code. It eases up the filtering with the high- and a lowpass filter. The audio signal is passed through various stages of processing and ultimately results in the chroma features of the high-pass filtered audio signal.

```
1 loader = ess.MonoLoader(filename=path, sampleRate=44100)
2 HP = ess.HighPass(cutoffFrequency=128)
3 LP = ess.LowPass(cutoffFrequency=4096)
4 framecutter = ess.FrameCutter(frameSize:frameSize, hopSize:hopSize,
5   silentFrames='noise')
6 windowing = ess.Windowing(type='blackmanharris62')
7 spectrum = ess.Spectrum()
8 spectralpeaks = ess.SpectralPeaks(orderBy='magnitude', magnitudeThreshold=0.00001,
9   minFrequency=20, maxFrequency=3500, maxPeaks=60)
10 hpcp = ess.HPCP()
11 hpcp_key = ess.HPCP(size=36, referenceFrequency=440, bandPreset=False,
12   minFrequency=20,
13   maxFrequency=3500, weightType='cosine', nonLinear=False, windowSize=1.)
14 key = ess.Key(profileType='edma', numHarmonics=4, pcpSize=36, slope=0.6,
15   usePolyphony=True, useThreeChords=True)
16 pool = essentia.Pool()
17 loader.audio >> HP.signal
18 HP.signal >> LP.signal
19 LP.signal >> framecutter.signal
20 framecutter.frame >> windowing.frame >> spectrum.frame
21 spectrum.spectrum >> spectralpeaks.spectrum
22 spectralpeaks.magnitudes >> hpcp.magnitudes
23 spectralpeaks.frequencies >> hpcp.frequencies
24 spectralpeaks.magnitudes >> hpcp_key.magnitudes
25 spectralpeaks.frequencies >> hpcp_key.frequencies
26 hpcp_key.hpcp >> key.pcp
27 hpcp.hpcp >> (pool, 'tonal.hpcp')
28 essentia.run(loader)
29 chroma = pool['tonal.hpcp'].T
```

Code Snippet 4.3: Essentia streaming

## Essentia Performance

The calculation with the Essentia streaming and standard library for 100 songs took less than half of the time librosa needed. This is a significant improvement, however the Essentia library uses only one CPU core so that performance was further improved

by using the parallel python library.

## Parallel Python

Parallel python is a Python module that enables the execution of python code in parallel. On a single PC, multiple CPU cores get a part of the filelist of all songs and can compute the features fully parallel.

```
1 job_server = pp.Server()
2 job_server.set_ncpus(ncpus)
3 jobs = [ ]
4 for index in xrange(startjob, parts):
5     starti = start+index*step
6     endi = min(start+(index+1)*step, end)
7     jobs.append(job_server.submit(parallel_python_process, (index,
8         filelist[starti:endi],1,1,1,1,1)))
9     gc.collect()
10 times = sum([job() for job in jobs])
11 job_server.print_stats()
```

Code Snippet 4.4: Parallel python

The computation time takes about 15.4 seconds per song and processor core. Using 4 CPU cores for 100 songs, the overall processing time could be reduced to about 385 seconds.

$$time = \frac{\#songs}{\#CPUs} \cdot 15.4s \quad (4.1)$$

Parallel python also opens up the possibility to use a cluster instead of a single-node PC.

For convenience, every processor gets a batch of files instead of single songs. For every batch, different output files for the various features are created. The batch size determines the overall size of these feature-files. For example, for the 1517-Artists dataset a batch size of 400 songs was chosen, so overall four CPUs had to process two batches, resulting in eight different output files with the chroma feature files being the largest with about 25MB per file.

One problem that appeared when using parallel python was that the main memory usage increased over time. The explicit usage of the garbage collector and the deletion of unwanted objects also couldn't solve that problem. So after processing a few hundred songs, the processes eventually ran out of memory and had to be restarted. By replacing parallel python with mpi4py, this problem could be solved later (see Section 4.1.2).

## Rp\_extractor

For the extraction of the rhythm patterns and rhythm histogram features as described in Section 3.3, the rp\_extractor tool provided by the TU Wien was used. Although running in parallel on all CPU cores on a single node, the extraction of the features from 100 songs takes about 442 seconds.

## Performance on a Single PC

The extraction of the rhythm patterns and the rhythm histogram is performed by the rp\_extractor tool. The feature extraction and processing of all the other features (beat histogram, MFCC statistics, notes and beat-aligned chromagram) had to be implemented separately and the performance of the different MIR toolkits is shown in Figure 4.1.

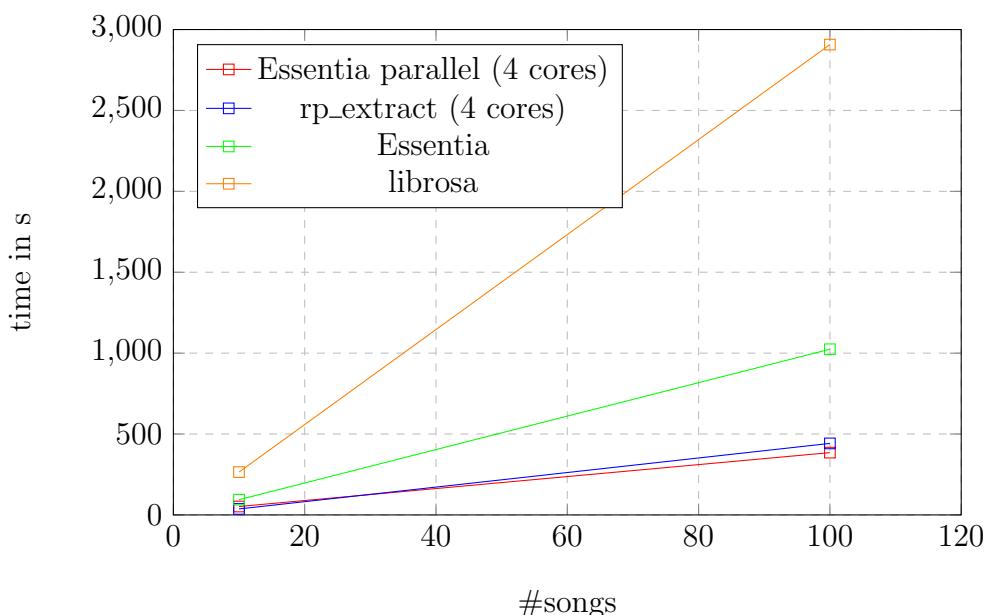


Figure 4.1: Performance of various toolkits on a single computer

In summary, the estimated time for the feature extraction of larger datasets on a single computer based on the performance measurements can be calculated and is listed below, leading to the conclusion that the features for the full dataset including the FMA dataset can only be extracted with the help of a computer cluster.

## Estimated feature extraction times

- 3h24 - 1517-Artists - Essentia parallel, single-node, 4 CPU cores
- 3h54 - 1517-Artists - rp\_extract
- 9h06 - private dataset - Essentia parallel, single-node, 4 CPU cores
- 10h24 - private dataset - rp\_extract

- (125h - full dataset - Essentia parallel, single-node, 4 CPU cores)
- (143h - full dataset - rp\_extract)

## Performance on a Cluster with mpi4py

For the extraction of the features from the audio files of the FMA dataset on the computer cluster of the Friedrich-Schiller-University in Jena (the "ARA-cluster"), parallel python had to be replaced with mpi4py. Mpi4py provides Python bindings for the Message Passing Interface standard (MPI) [72]. Every compute-process gets a rank number and is aware of the overall count of all processes. With these two values, the file list of all audio files is split, and each process only processes its respective data. The audio files were stored in a parallel cluster file system called "beegfs" [73]. Equally to the implementation using parallel python every process stores the results in separate output files, each of them containing batches of 25 songs.

```

1 comm = MPI.COMM_WORLD # get MPI communicator object
2 size = comm.size # total number of processes
3 rank = comm.rank # rank of this process
4 status = MPI.Status() # get MPI status object
5 files_per_part = 25
6 start = 0
7 last = len(filelist)
8 parts = (len(filelist) / files_per_part) + 1
9 step = (last - start) / parts + 1
10 for index in xrange(start + rank, last, size):
11     if index < parts:
12         starti = start+index*step
13         endi = min(start+(index+1)*step, last)
14         parallel_python_process(index, filelist[starti:endi])

```

Code Snippet 4.5: Mpi4py

All audio files larger than 25MB were filtered out of the FMA dataset in advance, to avoid memory overflows, still leaving 102813 songs out of the 106733 songs to process. A total of 36 compute nodes were used. Every node had 192GB of RAM and 36 CPU cores (72 using hyper-threading (HT)). To increase the available memory per CPU, only 18 CPU cores per node were used. Overall, 648 processes were spawned. During the computation of the audio features with Essentia, one out of the 648 processes ran out of memory, so only 102793 out of the 102813 songs were processed in the end. For performance tests, this doesn't make a huge difference, but for future work, the feature extraction script should be adapted accordingly. The extraction of the features took

1439 seconds (fastest process) up to 1950 seconds (slowest). With better balancing and messaging between the processes, the tasks could be distributed in a way where idle tasks take parts of the file list from other tasks that are still processing. For the extraction of the rhythm features with the rp\_extract tool, the script of the TU Wien was adapted for usage with mpi4py as well. The same amount of processes gets spawned on the cluster (648), but each of the processes is able to make use of two CPU cores plus HT. The fastest process finished after 1657 seconds and the slowest one took 1803 seconds.

### Total Amount of Songs

Due to the above-mentioned filtering of audio files larger than 25MB, the out-of-memory error of one process executing the Essentia task, and since the rhythm pattern extraction script is not able to handle some audio file formats like Ogg Vorbis, not all features from all songs could be extracted. So, in the end, the overall amount of songs where all features could be obtained is 114210.

## 4.2 Big Data Framework Spark

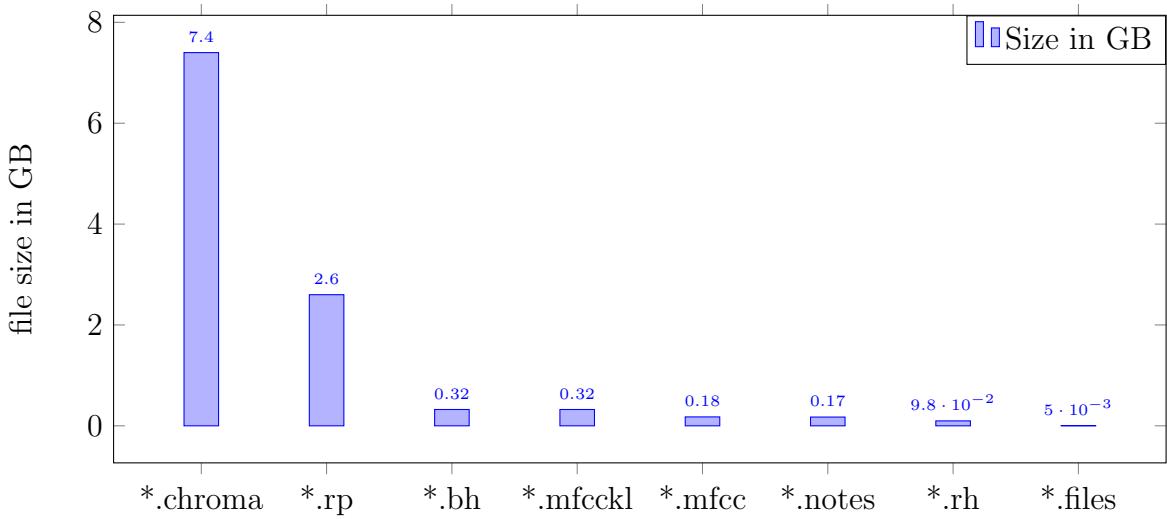


Figure 4.2: Feature file sizes

After all features are extracted, the next step is to load the feature files into the HDFS. All feature files of the same type (forming the feature sets) get merged into one large file. For the about 114000 songs all feature files sum up to about 11.2 GB (see Figure 4.2). Large streaming platforms like Spotify give access to about 30 million songs in their databases. At this scale, the feature files would approximately sum up to

about 3 TB.

### 4.2.1 Underlying Hardware

The first tests with Spark were performed on a single PC with 4 CPU cores (8 with HT) (Intel Core i7-3610QM CPU, 2.30GHz × 4) running Spark 2.4.0.

The cluster tests were performed on the ARA-cluster, that offers 16 compute-nodes with 32 CPU-cores (Dual Socket, 2 x Intel Xeon "Scalable" 6140, 2.30 GHz x 18) per node (72 with HT), and 192GB of RAM. The cluster was running an older version of Spark (1.6.0)

### 4.2.2 Workflow

Although multiple different implementations were tested to evaluate the fastest and most efficient way to compute the similarities, all of these different approaches follow the same basic steps. These can be seen in Figure 4.3.

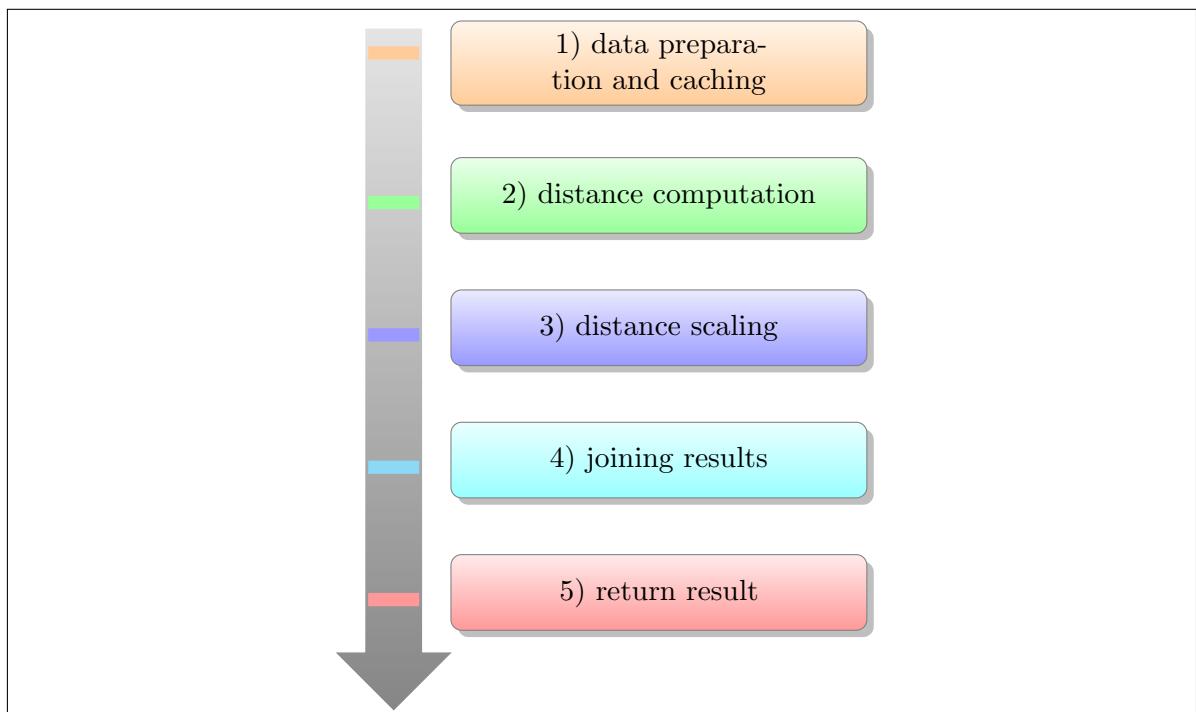


Figure 4.3: Workflow Spark

The following sections explain the various stages in more detail, also giving more details over a few subtle differences between the different implemented and tested approaches like the usage of RDDs, single DataFrames for each feature or one large DataFrame containing all features.

### 4.2.3 Data Preparation

The features are stored in many small text files as described in Section 3.4. Due to the fact that the features were extracted in parallel and in batches of only a few songs, each of the feature files only contains the features of a small number of songs. Because many small files are inefficient to process with Spark, all files containing the same feature type are merged to one large file, before being loaded into the HDFS [49, p. 153]. By loading larger files into the HDFS, the partitioning into data blocks is performed according to the standard parameters of the HDFS (e.g. 128 MB partitions). Additional repartitioning on the cluster is later performed with Spark by using the `rdd.repartition(repartition_count)` command. Finally, to work with the features a few transformations have to be performed on the data. For example the extracted note values are stored as lists of integers, each representing a certain note. To compare these using the Levenshtein distance, the lists are converted into strings.

```

1 chroma = sc.textFile("features/out[0-9]*.notes").repartition(repartition_count)
2 chroma = chroma.map(lambda x: x.split(','))
3 chroma = chroma.map(lambda x: (x[0], x[1], x[2], x[3].replace("0", 'A').replace("1",
4   'B').replace("2", 'C').replace("3", 'D').replace("4", 'E').replace("5", 'F').replace("6",
5   'G').replace("7", 'H').replace("8", 'I').replace("9", 'J').replace("10", 'K').
6   replace("11", 'L'))).map(lambda x: (x[0], x[1], x[2], x[3].replace(',', '').replace(
7   ',', '')))
4 df = spark.createDataFrame(chroma, ["id", "key", "scale", "notes"])

```

Code Snippet 4.6: Notes preprocessing

All the other features are stored as lists of floats and have to be converted into vectors.

```

1 from pyspark.mllib.linalg import Vectors
2 list_to_vector_udf = udf(lambda l: Vectors.dense(l), VectorUDT())
3 rp = sc.textFile("features[0-9]*/out[0-9]*.rp").repartition(repartition_count)
4 rp = rp.map(lambda x: x.split(","))
5 kv_rp = rp.map(lambda x: (x[0].replace(";", "").replace(".", "").replace(" ", ""),
6   list(x[1:]))))
6 rp_df = spark.createDataFrame(kv_rp, ["id", "rp"])
7 rp_df = rp_df.select(rp_df["id"], list_to_vector_udf(rp_df["rp"]).alias("rp"))

```

Code Snippet 4.7: Rhythm patterns preprocessing

The Spark ML library and the older MLlib library offer sparse and dense vectors as a data type. The only feature type that contains a lot of zeros, where sparse vectors could improve performance, is the beat histogram. But compared to other features like the chromagram, the beat histogram vectors are relatively small, with a length of only 200 values, so all lists including the beat histograms are converted to dense vectors by

calling `Vectors.dense()`. An example is given for the rhythm pattern features in Code Snippet 4.7. The data is read out of the HDFS into an RDD and repartitioned with `sc.textFile("feaures.txt").repartition(repartition_count)`. The repartitioning is optional but improves the overall performance (see Section 4.2.7). After the pre-processing steps are done, the RDD can be converted into a Spark SQL DataFrame by calling `spark.createDataFrame(rdd)`, to ease up the access to the data and improve the code readability. The features can then be accessed via column names instead of the RDD indices, making the code better readable and understandable.

For the performance tests, three different kinds of implementations were tested. The first one merges all audio features into one large DataFrame in the beginning and persists this to the main memory. The second implementation uses single DataFrames for each feature set, and the third uses RDDs instead of DataFrames. The results of the performance analysis of DataFrames vs. RDDs are given in Section 4.2.7.

#### 4.2.4 Distance Computation

After the data preparation, the similarities between a requested single song and all other songs in the database can be calculated. The code differs slightly when RDDs instead of DataFrames are used. The full source code is attached in the appendices on the included CD and can be checked out from GitHub [71]. Most of the following code examples were written for the usage with DataFrames. The examples for the usage with RDDs are annotated accordingly.

#### Euclidean Distance

The Euclidean distance is used as a metric to compute the distances between the vectors of beat histograms, rhythm histograms, rhythm patterns, and the MFCCs, making it the most versatile distance measurement introduced in this thesis. To compute the Euclidean distance in Spark, a user-defined function (UDF) gets declared (see 4.8).

```

1 from scipy.spatial import distance
2 from pyspark.sql import functions as F
3 distance_udf = F.udf(lambda x: float(distance.euclidean(x, comparator_value)),
4                      FloatType())
5 result = feature_vec_df.withColumn('distances', distance_udf(F.col('features')))
6 result = result.select("id", "distances").orderBy('distances', ascending=True)
7 result = result.rdd.flatMap(list).collect()

```

Code Snippet 4.8: Euclidean distance DF

This UDF is then applied to all elements of the 'features' column. Inside the UDF the Euclidean distance is computed using python's scipy library. Alternatively the numpy library could be used as well (`numpy.linalg.norm(x-comparator_value)`). The `comparator_value` variable contains the feature of the requested example song to which the distances are calculated. Assuming that all features were merged into one large DataFrame (`fullFeatureDF`) and cached to the main memory, the `comparator_value` can be found by filtering the DataFrame for the requested song's ID (e.g., the pathname of the original song).

```
1 song = fullFeatureDF.filter(fullFeatureDF.id == songname).collect()
2 comparator_value = song[0]["mfccEuc"]
```

Code Snippet 4.9: Filter for requested song

When working with RDDs instead of DataFrames, the computation of the distances between the feature vectors is performed with a `map()` instead of a UDF (see Code Snippet 4.10).

```
1 resultRP = rp_vec.map(lambda x: (x[0], distance.euclidean(np.array(x[1]), np.array
(comparator_value))))
```

Code Snippet 4.10: euclidean distance RDD

## Bucketed Random Projection

As an alternative to the Euclidean UDF, Spark offers an implementation of a locality-sensitive hashing (LSH) family for the Euclidean distance called "Bucketed Random Projection". The Spark API documentation describes the idea behind LSH: "The general idea of LSH is to use a family of functions ("LSH families") to hash data points into buckets, so that the data points which are close to each other are in the same buckets with high probability, while data points that are far away from each other are very likely in different buckets." [74] The BRP projects the feature vectors  $x$  onto a random unit vector  $v$  and portions the projected result into hash buckets with the bucket-length  $r$ . "A larger bucket length (i.e., fewer buckets) increases the probability of features being hashed to the same bucket (increasing the numbers of true and false positives)." [74]

$$h(x) = \left\lfloor \frac{x \cdot v}{r} \right\rfloor \quad (4.2)$$

The method `model.approxNearestNeighbors(dfA, key, k)` searches for the  $k$  nearest neighbors of `dfA` to the `key`, but the Spark API documentation mentions that "Approximate nearest neighbor search will return fewer than  $k$  rows when there are not enough candi-

dates in the hash bucket.” [74] This means that the smaller (and therefore more precise) the bucket length is, the fewer nearest neighbors get returned by this function. This is problematic when searching for the nearest neighbors of different feature sets because the resulting distances calculated from the different kinds of features have to be joined to get the resulting similarities as a combination of different distance measurements (see Section 4.2.6). If the BRP only returns a handful of nearest neighbors, the overall distances to all the other songs can not be determined.

Due to the fact that the ARA-cluster is running with PySpark version 1.6.0 and the Bucketed Random Projection (BRP) was introduced later, with PySpark version 2.2.0, the algorithm could only be tested on the single-node test platform, where it performed worse than the naive Euclidean implementation from Code Snippet 4.8 on a dataset consisting of about 11500 songs. Whether the BRP outperforms the naive approach on a cluster with larger datasets could be investigated further.

```

1 from pyspark.ml.feature import BucketedRandomProjectionLSH
2 #...
3 brp = BucketedRandomProjectionLSH(inputCol="features", outputCol="hashes", seed
4 =12345, bucketLength=100.0)
5 model = brp.fit(feature_vec_df)
6 comparator_value = Vectors.dense(comparator[0])
7 result = model.approxNearestNeighbors(feature_vec_df, comparator_value,
8 feature_vec_df.count()).collect()
9 rf = spark.createDataFrame(result)
10 result = rf.select("id", "distCol").rdd.flatMap(list).collect()
```

Code Snippet 4.11: Bucketed Random Projection

## Cross-Correlation

As laid out in Section 3.2, there are different options to calculate the cross-correlation of the beat-aligned chroma features. The chroma features are already key-shifted to a common key, but the possibility to perform a full 2D-cross-correlation with additional key-shifting as explained in equation 3.12, 3.13, and 3.14 still exists. But due to the fact that the computation of the cross-correlation already takes the most time, even without additional key-shifting (see Section 4.2.7), the implementation on the cluster and in Code Snippet 4.13 calculates the simplified cross-correlation (equations 3.15 and 3.17). Whether or not the results are compromised because of that is left open and requires further investigation.

The cross-correlation was used to detect cover songs on the same dataset Ellis and Cotton used in their paper [62]. The results are presented in Section 5.1.2. There are some differences in the returned recommendations compared to the original paper.

These can be explained with the different underlying beat tracking, different filter parameters, and a few improvements that are left out compared to the implementation of Ellis [62] as mentioned in Section 3.2.2.

```
1 corr = scipy.signal.correlate2d(chroma1, chroma2, mode='valid')
```

Code Snippet 4.12: Cross-correlation scipy

Concerning the actual implementation of the cross-correlation, two different libraries were tested. Code Snippet 4.12 shows the cross-correlation function coming with the `scipy` library.

```
1 from scipy.signal import butter, lfilter, freqz, correlate2d, sosfilt
2 import numpy as np
3 def cross_correlate(chroma1, chroma2):
4     length1 = chroma1_par.size/12
5     chroma1 = np.empty([12, length1])
6     length2 = chroma2_par.size/12
7     chroma2 = np.empty([12, length2])
8     if(length1 > length2):
9         chroma1 = chroma1_par.reshape(12, length1)
10        chroma2 = chroma2_par.reshape(12, length2)
11    else:
12        chroma2 = chroma1_par.reshape(12, length1)
13        chroma1 = chroma2_par.reshape(12, length2)
14    correlation = np.zeros([max(length1, length2)])
15    for i in range(12):
16        correlation = correlation + np.correlate(chroma1[i], chroma2[i], "same")
17    #remove offset to get rid of initial filter peak (highpass filter jump 0-20)
18    correlation = correlation - correlation[0]
19    sos = butter(1, 0.1, 'high', analog=False, output='sos')
20    correlation = sosfilt(sos, correlation)[:]
21    return np.max(correlation)
22 distance_udf = F.udf(lambda x: float(cross_correlate(x, comparator_value)), 
23 DoubleType())
24 result = df_vec.withColumn('distances', distance_udf(F.col('chroma')))
25 result = result.select("id", "distances").orderBy('distances', ascending=False)
26 result = result.rdd.flatMap(list).collect()
```

Code Snippet 4.13: Cross-correlation numpy

The parameter `mode='valid'` when using `scipy`, determines whether or not additional key shifting is included. The '`valid`' option already includes additional key-shifting but without zero-padding. Other options would be `mode='same'`(no key-shifting) and

`mode='full'` (with zero-padding).

The other variant is shown in Code Snippet 4.13. It uses the numpy library. Although numpy only offers a 1D-cross-correlation function, which had to be nested inside a for-loop to get the 2D-cross-correlation, performance tests showed that the numpy version was faster than the scipy version by orders of magnitude. Calculating and scaling the distances of the chroma features from one song to about 114000 other songs took about 22 seconds with numpy and around 725 seconds with scipy on the ARA-cluster.

### Jensen-Shannon-Like Divergence

While computing the Jensen-Shannon-like Divergence, for some of the MFCC features, a problem with negative determinants was encountered. Because the logarithm of negative numbers is not defined, no similarity for these features could be calculated. Schnitzer mentioned a problem with "skyrocketing values of determinants, which lead to inaccurate results" [21, p.45]. He proposed a solution by using the sum of the upper triangular matrix of the Cholesky decomposition to compute the logarithm of the determinant of the covariance matrix in equation 3.7. This approach was also considered for the encountered issue mentioned above but ultimately did not work out because of the covariance matrices causing the error not being positive definite.

```
1 import numpy as np
2 def jensen_shannon(vec1, vec2):
3     #preprocessing: splitting vec1 and vec2 into mean1, mean2, cov1 and cov2
4     mean_m = 0.5 * (mean1 + mean2)
5     cov_m = 0.5 * (cov1 + mean1 * np.transpose(mean1)) + 0.5 *
6         (cov2 + mean2 * np.transpose(mean2)) - (mean_m * np.transpose(mean_m))
7     div = 0.5 * np.log(np.linalg.det(cov_m)) - 0.25 * np.log(np.linalg.det(cov1)) -
8         0.25 * np.log(np.linalg.det(cov2))
9     if np.isnan(div):
10        div = np.inf
11    return div
12 distance_udf = F.udf(lambda x: float(jensen_shannon(x, comparator_value)),
13 DoubleType())
14 result = df_vec.withColumn('distances', distance_udf(F.col('features')))
15 result = result.filter(result.distances_js != np.inf)
16 result = result.select("id", "distances").orderBy('distances', ascending=True)
17 result = result.rdd.flatMap(list).collect()
```

Code Snippet 4.14: Jensen-Shannon-like Divergence

Because no immediate solution to that problem was found, the rows where this issue

appears just get filtered out by setting the distance to `np.inf` and later dropping these rows. This problem seems to appear for about 5-10% of the distances calculated with the Jensen-Shannon divergence. Further investigation to solve this problem would be necessary. An example Code Snippet is given in 4.14.

## Symmetric Kullback-Leibler Divergence

When implementing the symmetric Kullback-Leibler divergence a few interesting observations could be made. First of all, this metric seems to be prone to outliers. While only very few distances get disproportionately large, most of the distances lie between 0 and 100. The large outliers lead to problems when scaling the resulting distances to an interval between 0 and 1 (see Section 4.2.5 and 5.1.1). As a temporary solution all distances larger than a certain threshold get filtered out.

Secondly when using the FMA dataset a few of the songs returned an error, where the covariance matrix could not be inverted. These songs get filtered out as well. The example code for the calculation of distance using DataFrames can be seen in Code Snippet 4.15.

```

1 import numpy as np
2 def symmetric_kullback_leibler(vec1, vec2):
3     #preprocessing: splitting vec1 and vec2 into mean1, mean2, cov1 and cov2
4     if (is_invertible(cov1) and is_invertible(cov2)):
5         d = 13
6         div = 0.25 * (np.trace(cov1 * np.linalg.inv(cov2)) +
7             np.trace(cov2 * np.linalg.inv(cov1)) +
8             np.trace((np.linalg.inv(cov1) +
9                 np.linalg.inv(cov2)) * (mean1 - mean2)**2) - 2*d)
10    else:
11        div = np.inf
12        #print("ERROR: NON INVERTIBLE SINGULAR COVARIANCE MATRIX\n")
13    return div
14 distance_udf = F.udf(lambda x: float(symmetric_kullback_leibler(x,
15                                         comparator_value)), DoubleType())
16 result = df_vec.withColumn('distances', distance_udf(F.col('features')))
17 #thresholding for outliers
18 result = result.filter(result.distances <= 100)
19 #result = result.filter(result.distances != np.inf)
20 result = result.select("id", "distances").orderBy('distances', ascending=True)
21 result = result.rdd.flatMap(list).collect()

```

Code Snippet 4.15: Kullback-Leibler Divergence

After implementing this similarity measurement in Spark, some tests and comparisons to the results of the Musly toolkit [9] were done. While overall the genre recall is quite

good (see Section 5.1.3) and the results seem reasonable, they do differ from the ones returned by Musly. These differences could be explained with the choice of only 13 Mel-bands during the computation of the MFCCs in this thesis compared to the 25 bands in Musly [9] and some other decisions like leaving the normalization with mutual proximity (Section 3.1.2) out. The same goes for the Jensen-Shannon-like divergence.

## Levenshtein Distance

Spark already offers a function for the computation of the Levenshtein distance when the feature vectors are stored in a DataFrame. The Levenshtein distance can then be computed between two columns for all rows. Code Snippet 4.16 shows a minimal example.

```

1 from pyspark.sql.functions import levenshtein
2 df_merged = featureDF.withColumn("compare", lit(comparator_value))
3 df_levenshtein = df_merged.withColumn("word1_word2_levenshtein", levenshtein(col("notes"), col("compare")))
4 df_levenshtein.sort(col("word1_word2_levenshtein")).asc().show()
```

Code Snippet 4.16: Levenshtein DataFrame

As an alternative for the RDD based variant of the Spark application, the python wrapper for the C/C++ library "edlib" was used. During initial tests, when experimenting with a naive implementation of the Levenshtein distance using a python function with numpy, immense performance issues were encountered. Due to the underlying C/C++ code of the edlib the computation of the Levenshtein distance in Code Snippet 4.17 performs comparably well as the Spark-native DataFrame equivalent and is a good alternative.

```

1 import edlib
2 def naive_levenshtein(seq1, seq2):
3     result = edlib.align(seq1, seq2)
4     return(result["editDistance"])
5 ...
6 resultNotes = notes.map(lambda x: (x[0], naive_levenshtein(str(x[1]), str(
comparator_value)), x[1], x[2]))
```

Code Snippet 4.17: Levenshtein RDD

## Lazy Evaluation and Data Caching

As described in Section 2.5.2, Spaks main advantage is its ability to use the main memory of the nodes in a cluster to safe intermediate data without the need of writing it back to the disk. However Spark does not automatically cache the data. RDDs

and DataFrames have to be explicitly assigned to the main memory, by either calling `persist()` (optionally with the parameter `storageLevel=StorageLevel.MEMORY_ONLY_SER`) or `cache()` and even then Spark only takes this as a suggestion. If not enough main memory is available, the data is still written onto the hard drives.

As introduced in Section 2.5.2, Spark uses an optimization technique called "lazy evaluation" that differentiates between transformations on data and actions. The `cache()` and `persist()` commands both do not count as actions. Instead they are executed only when an actual action on the data is called. This has to be kept in mind when optimizing Spark applications and evaluating the performance by measuring execution times. The Code Snippet 4.18 gives a short example.

```

1 import time
2 #...
3 featureDf = preprocess_features().persist() #p3
4 print(featureDf.first()) #p4
5 tic1 = int(round(time.time() * 1000))
6 neighbors = get_distances(songname, featureDf).persist() #p6
7 neighbors = neighbors.orderBy('scaled_dist', ascending=True).persist() #p7
8 neighbors.show()
9 neighbors.toPandas().to_csv("neighbors.csv", encoding='utf-8')
10 neighbors.unpersist()
11 tac1 = int(round(time.time() * 1000))
12 time_dict['time: '] = tac1 - tic1
13 print time_dict

```

Code Snippet 4.18: Spark lazy evaluation

`preprocess_features()` is a function, where the chroma features get read into RDDs, pre-processed, repartitioned, and converted to a DataFrame. `get_distances()` calculates all distances between the song belonging to the ID `songname` and the other 114209 songs in the database. Within this function, the results are then scaled to an interval between 0 and 1 by dividing all distances by their maximum value. The result is stored in the DataFrame `neighbors` and after that, two actions are performed subsequently on this result. The first (`show()`) prints the 20 nearest neighbors to the standard output. The second action (`toPandas().to_csv()`) prints the whole list of all 114210 distances into a \*.csv file.

In a simple experiment, the impact of ineffective caching and the impact of the lazy evaluation on time and performance tests is shown. The results are plotted in Figure 4.4. The first bar shows the `print time_dict` output when executing the full code from Code Snippet 4.18. In the second bar (labeled with "p6"), the `persist()` command in line 6 got removed. Due to the fact that the scaling of the distances inside the function `get_distances()` requires an action on the data but the results are no longer persistent

in the cache, this part of the code has to be executed twice. For the third bar (labeled with "p7"), the `persist()` command in line 7 is removed as well. The result `neighbors` is no longer stored in the main memory, and every time an action requires the results of this DataFrame, it has to be recalculated which is the case for both actions in line 8 and line 9 in the code example.

When further removing the print command in line 4, the lazy evaluation no longer executes line 3 before starting to measure the time in line 5 because the action `first()` is no longer executed on the DataFrame. Instead, line 3 gets called later, when `get_distances()` is executed, because only then an action on the `featureDf` DataFrame is called for the first time. This is shown in the bar labeled with "l4". Up until this point, the original `featureDf` still gets persisted to the main memory but if the `persist()` command in line 3 gets removed as well in the last test labeled with "p3", the `preprocess_features()` has to be executed every time `get_distances()` is called.

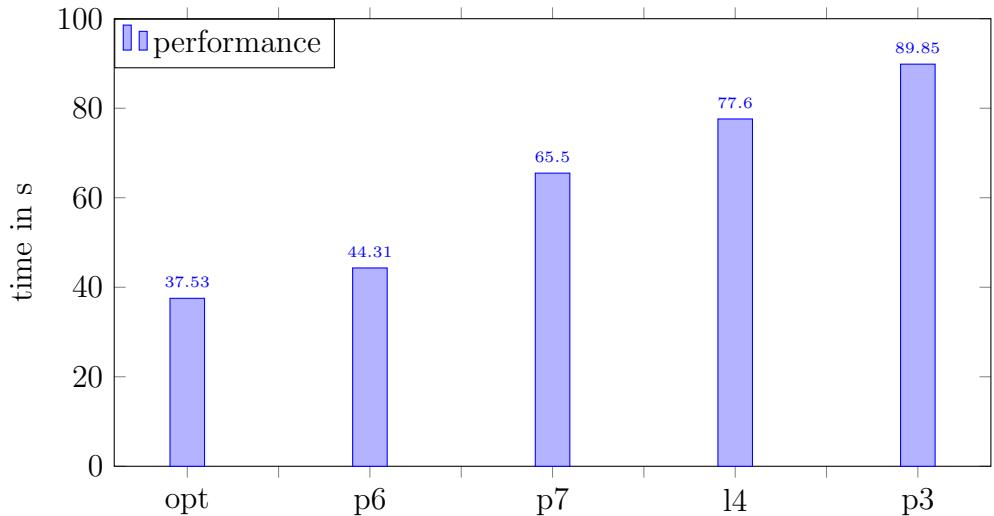


Figure 4.4: Lazy evaluation and caching

So, in summary, the correct way of caching the data is a tricky task. Writing everything into the main memory is no solution either because then the cluster will run out of memory eventually. As a rule of thumb, the best way to persist data is to cache it every time more than one subsequent action is performed on it.

That means that especially in the field of music similarity, all pre-processed features have to fit into the main memory of the cluster to speed up consecutive song requests.

#### 4.2.5 Distance Scaling

To combine different distance measurements into one combined distance, the various results from the different kinds of features have to be rescaled to avoid biasing the overall distance. The easiest way is to subtract the minimum  $\min(d)$  from all distances

$d$  and to divide by the difference between the maximum  $\max(d)$  and the minimum distance, as described in equation 4.3.

$$d' = \frac{d - \min(d)}{\max(d) - \min(d)} \quad (4.3)$$

The minimum distance should always be the self-similarity of the requested song with a value of 0. But in the implementation of the symmetric Kullback-Leibler distance, this isn't always the case. Sometimes the self-similarity is just very close to zero. The analysis of the distances in Section 5.1.1 also shows that, e.g., the Levenshtein distances and cross-correlation results are unequally distributed over the unit interval  $[0, 1]$ . Dropping the self-similarity out of the distance vector and rescaling it afterward with a new minimum distance unequal to zero could solve this, but was not tested in this thesis. A second issue was already mentioned in Section 4.2.4, where outliers tend to bias the results. These can get filtered out before rescaling the distances. This is further evaluated in Section 5.1.1.

Another option to rescale the features, laid out by Sebastian Stober in [3, pp. 543ff], but not implemented in this thesis, would be to rescale all distances to have a mean value of 1 by using equation 4.4 and by dividing the distance by the mean distance  $\mu_f$ . Outliers should be detected and removed before calculating the mean distance. A better way to rescale the data could be evaluated in future research.

$$d' = \frac{d}{\mu_f} \quad (4.4)$$

Implementation-wise the aggregation of the minimum and maximum value went through different tests.

```

1 max_val = result.agg({"distances": "max"}).collect()[0]
2 max_val = max_val["max(distances)"]
3 min_val = result.agg({"distances": "min"}).collect()[0]
4 min_val = min_val["min(distances)"]

```

Code Snippet 4.19: Minimum and maximum aggregation separate

During the first tests, the aggregation of minimum and maximum value were performed separately (see Code Snippet 4.19). This turned out to be very inefficient because the data had to be accessed multiple times. An improved version, shown in Code Snippet 4.20, only uses one action to gather minimum and maximum value, which improved the overall performance significantly. Another alternative would be the usage of the `describe()` function for DataFrames. For the implementation using RDDs the `stats()` function was used, returning minimum, maximum, mean, and variance values all at once.

```

1 from pyspark.sql import functions as F
2 aggregated = result.agg(F.min(result.distances),F.max(result.distances))
3 max_val = aggregated.collect()[0]["max(distances)"]
4 min_val = aggregated.collect()[0]["min(distances)"]

```

Code Snippet 4.20: Minimum and maximum aggregation optimized

#### 4.2.6 Combining Different Measurements

To finally compute the overall similarity of what Stober calls the facet distances (the different distances computed using different feature sets) in [3, pp. 543ff], the weighted arithmetic mean of the previously scaled facet distances is calculated by using equation 4.5.

$$dist = \frac{\sum_{m=0}^{M-1} w_m \cdot d_m}{\sum_{m=0}^{M-1} w_m} \quad (4.5)$$

In this thesis, only binary weights were tested by either including a facet distance with a weight of one or just leaving it out of the overall similarity by setting its weight to zero. The impact of different weights is left open for future research.

#### 4.2.7 Performance

##### Cluster Configuration

The first thing that had to be done was to alter the spark cluster configuration for the ARA-cluster, as described in Section 2.5.2. The cluster configuration in the Code Snippet 4.21 turned out to perform well compared to other test configurations. The cluster is configured in a way where 16 up to 32 Executors are spawned with each Executor requesting as many CPU cores and memory resources as possible. The `repartition_count` variable is used with the `repartition()` method during the data preparation stage to evenly distribute all chunks of feature files across the cluster.

With the help of the `spark.dynamicAllocation` parameters, the number of Executors spawned can be determined [49, p. 153]. While normally the executors are spawned and then retained for the life of the application, with dynamic allocation Spark is able to free the resources of Executors that are idle for a long time and to reassign the belonging system resources. It should be mentioned that normally `spark.shuffle.service.enabled` should also be set to true when using the dynamic allocation, and an external shuffle service should be configured to avoid shuffle data gets lost in case an Executor gets deleted, but during the tests this option was disabled. This shouldn't be a problem because the dynamic allocation is mainly used to ensure that a certain minimum amount

of Executors is spawned at all and with this configuration, no more than 16 Executors can be spawned anyway because of the missing resources on the ARA-cluster, so for this configuration, the Executors never actually get killed and no shuffling data gets lost.

```

1 confCluster = SparkConf().setAppName("MusicSimilarity Cluster")
2 confCluster.set("spark.driver.memory", "64g")
3 confCluster.set("spark.executor.memory", "64g")
4 confCluster.set("spark.driver.memoryOverhead", "32g")
5 confCluster.set("spark.executor.memoryOverhead", "32g")
6 #confCluster.set("yarn.nodemanager.resource.memory-mb", "196608")
7 confCluster.set("spark.yarn.executor.memoryOverhead", "4096")
8 confCluster.set("spark.driver.cores", "32")
9 confCluster.set("spark.executor.cores", "32")
10 #confCluster.set("spark.shuffle.service.enabled", "True")
11 confCluster.set("spark.dynamicAllocation.enabled", "True")
12 #confCluster.set("spark.dynamicAllocation.initialExecutors", "16")
13 #confCluster.set("spark.dynamicAllocation.executorIdleTimeout", "30s")
14 confCluster.set("spark.dynamicAllocation.minExecutors", "16")
15 confCluster.set("spark.dynamicAllocation.maxExecutors", "32")
16 confCluster.set("yarn.nodemanager.vmem-check-enabled", "false")
17 repartition_count = 32

```

Code Snippet 4.21: Cluster setup

The Spark driver program is executed on the ARA-cluster login-node where also software from clients runs, possibly influencing the results of the performance tests. Fine-tuning the cluster settings is a tricky task. Increasing the number of Executors also increases the additional overhead of managing the Executors and shuffling the data while on the other side, more unique tasks can be distributed better over the compute nodes. To get a performant cluster configuration, various other cluster settings were tested. Increasing the `repartition_count` and the amount of Executors spawned (with fewer resources each) seemingly increased the overhead and network traffic on the cluster without reducing the overall computation time. Increasing the `repartition_count` while keeping the Executors the same size as in the Code Snippet turned out to be slower as well.

Although each node on the ARA-cluster has 36 CPU cores (without hyper-threading), only 32 cores were assigned to each Executor because this turned out to perform just a little bit better when calculating the similarities for only one song in the first tests. Therefore the cluster configuration was set as described in the Code Snippet 4.21 for the following tests in this section to keep the tests comparable to each other.

Later, when calculating the similarities on already cached feature data for consecutive

song requests, 36 cores per executor performed slightly better than 32 cores. Increasing the CPU core count to 72 per Executor performed far worse.

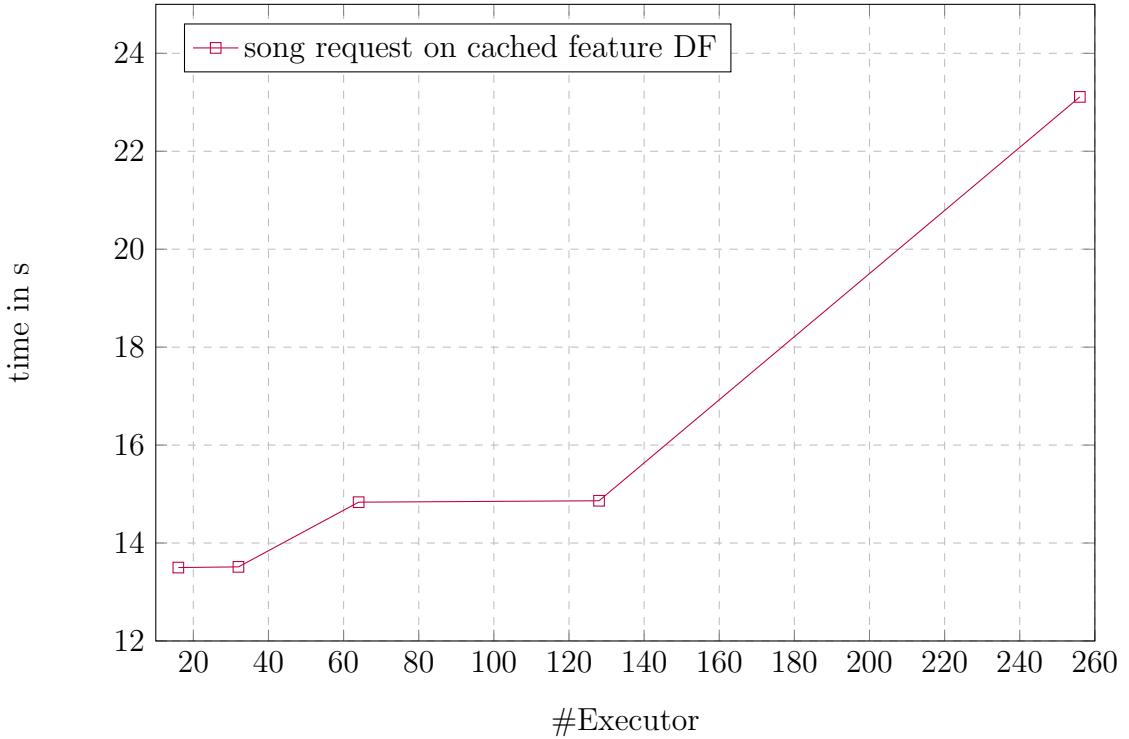


Figure 4.5: #Executors spawned

Figure 4.5 shows the execution time of one full song request for all features on all 114210 songs, on an already cached large DataFrame containing all of the different features (this approach is explained later on in more detail, see Figure 4.9). The x-axis shows the numbers of Executors that are spawned on the cluster. Because there are limited resources on the cluster, the number of CPU cores assigned to each Executor decreases when more Executors get spawned. In total there are 576 cores on 16 nodes, so the number of CPU cores per Executor can be calculated as  $\#CPUs = \frac{576}{\#Executors}$ . The available main memory per node (192GB) is split equally. The large DataFrame is cached and split in twice as many parts as Executors are spawned, so every Executor has to handle two data chunks.

### Differences Between the Feature Types

Due to the different complexity of the various similarity measurements and metrics, the time needed to calculate the distances between all songs and a single requested song differs for the various feature types. The computation time for all feature types (with respect to the lazy evaluation as described in Section 4.2.4) is pictured in Figure 4.6.

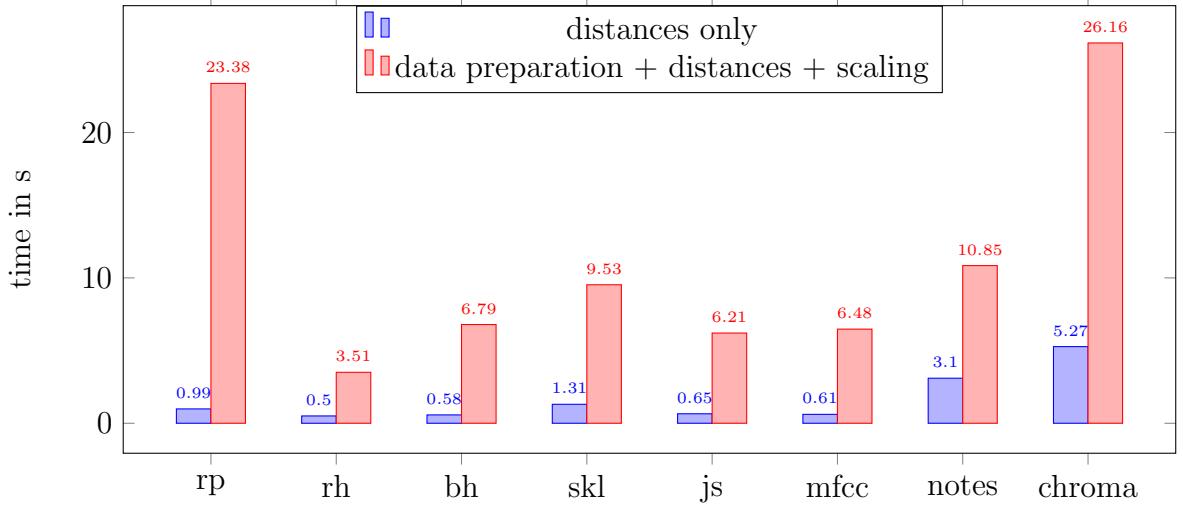


Figure 4.6: Performance of different feature types

The blue bars figure the computation time required to compute the distances between one requested song and all 114210 songs in the dataset without loading the data and without scaling. That means that the features are already stored in the main memory. The measured times for the whole computation of the similarities for each feature set, including the data time taken for pre-processing and the scaling of the results to the unit interval, are shown in the red bar. The plot shows the importance of proper caching for fast response times. The labels on the x-axis represent the different distance measurements and are used further throughout this thesis, mainly in different plots.

- bh (beat histogram, Euclidean distance)
- rh (rhythm histogram, Euclidean distance)
- notes (notes, Levenshtein distance)
- rp (rhythm patterns, Euclidean distance)
- mfcc (MFCCs, Euclidean distance)
- js (MFCCs, Jensen-Shannon-like divergence)
- skl (MFCCs, symmetric Kullback-Leibler divergence)
- chroma (beat aligned chromagram, cross-correlation)

## Data Representation

Figure 4.7 and 4.8 show the performance of three different approaches on the ARA-cluster for different combinations of features (see caption).

For the approach annotated with "Merged DF" all features are pre-processed, joined and stored in one large DataFrame that then gets repartitioned across all nodes and cached into the main memory. The idea behind this approach is to reduce shuffling operations during the computation of the similarities by bringing all feature types of

the same songs to the same compute nodes. The downside of this method is a higher initial workload that has to be tolerated during the pre-processing stage. Once the pre-processing of the features is done, the similarities between the songs are computed, and the results are stored in new, smaller DataFrames, one for each feature type. Due to the previous joining of the feature data by the song IDs, the repartitioning, and the caching, distances of the same songs but for different feature types are in theory calculated on the same node, reducing unnecessary shuffling operations during the compute time. The resulting small DataFrames containing the facet distances of one feature set are then joined by the song IDs once all similarities are computed. Then the joined results are scaled using only one `agg()` call for all feature types (see Section 4.2.5), and the combined distances are summed up and sorted.

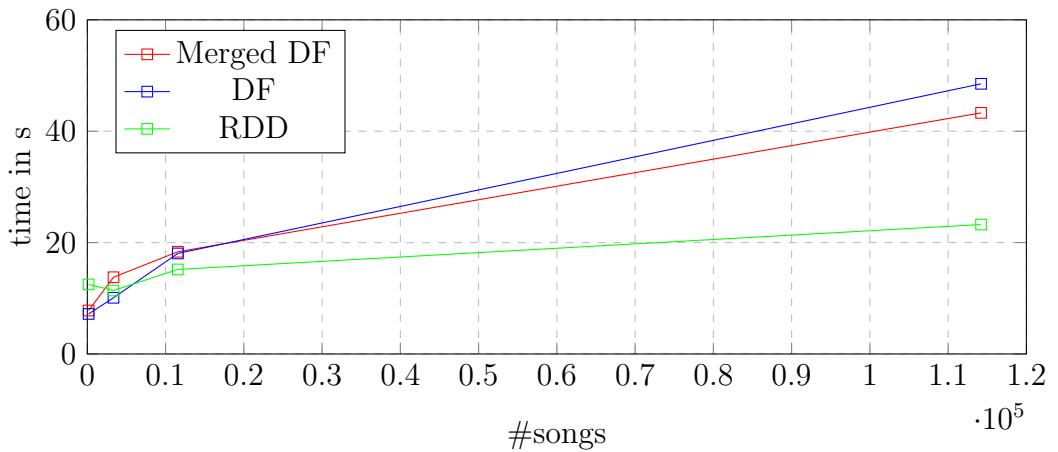


Figure 4.7: Performance ARA, full workload, (MFCC + Notes + RP)

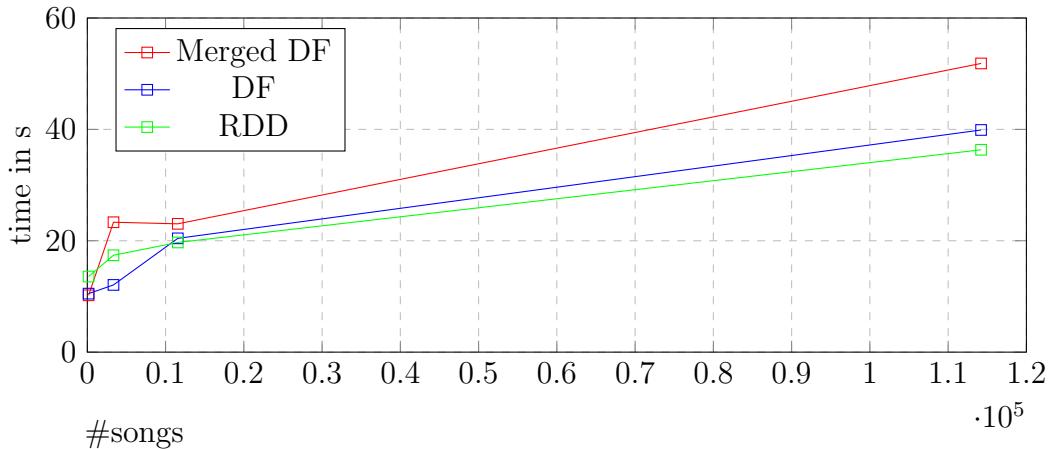


Figure 4.8: Performance ARA, full workload, (JS + Chroma + RP)

Figure 4.9 shows the adapted workflow (original, see Figure 4.3) of this approach.

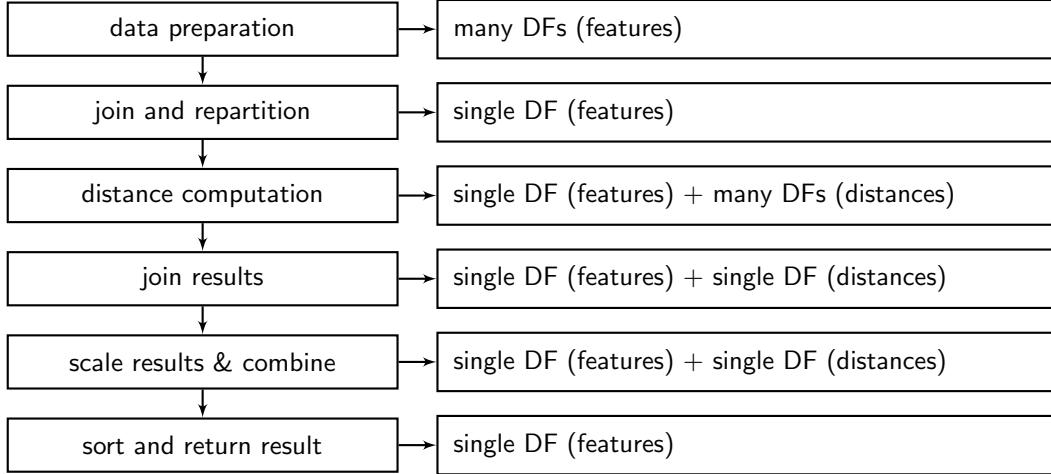


Figure 4.9: Workflow Merged DF

The second approach annotated with "DF" also uses DataFrames, but stores the different pre-processed feature types in separate smaller DataFrames instead. This increases the shuffling during the computation of the similarities but has less initial overhead during the pre-processing stage.

The third approach doesn't use DataFrames at all and uses single RDDs for the pre-processed features. This approach has no additional overhead during the pre-processing stage, but the code is harder to read, and the workload during the computation of the similarities is also higher.

Each of the times measured include the full workflow, including data pre-processing, calculating, scaling, and combining the similarities for a single song request. The plots show the time required to compute the similarities for that single requested song for growing datasets starting from 163 (covers80) to 114210 songs (all datasets combined). Unsurprisingly the Merged DF approach performed relatively poorly compared to the other approaches due to its initial overhead. The next section will make up for this when presenting the performance on the calculation of subsequent song requests on the same, already cached and pre-processed features.

## Performance for Subsequent Song Requests

In contrast to the performance analysis from the last section, Figure 4.10 shows the time measured to process two subsequent song requests. That means that the second consecutive song request is able to use the already pre-processed and cached feature data. Figure 4.10 shows the results.

The plots annotated with "Merge DF total", "DF total" and "RDD total" depicture the overall computation time including the pre-processing and the handling of both song requests. The other graphs show the computation time of only the second song request

on persisted data, only including the calculation of the distances, the scaling, and the join operation of the different result-types.

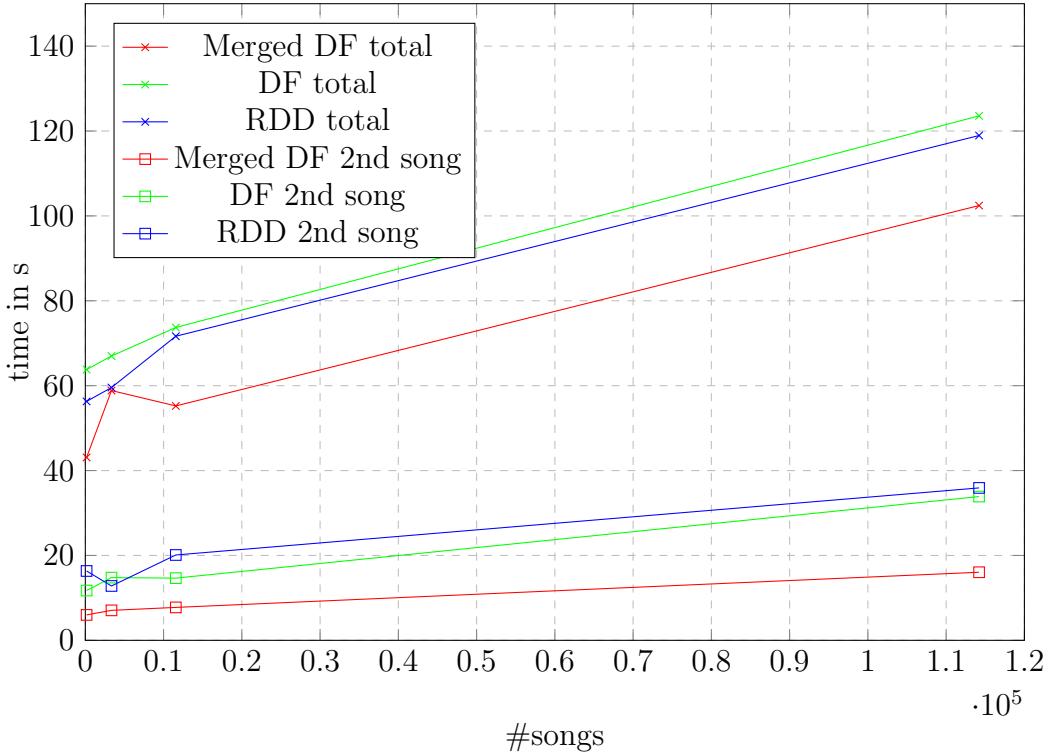


Figure 4.10: Two subsequent song requests, all features

The results show that the pre-merged DataFrame approach performs best, returning the 20 nearest neighbors for the second song request in about 16 seconds and 14 seconds when using 36 cores per executor (as mentioned in Section 4.2.7).

### Descending Importance Filter and Refine

To improve the performance even further, a filter and refine method was tested. The similarities are computed for one feature set at a time, and all songs to which the distance is larger than the mean value these distances, get filtered out of the feature DataFrame. From the thinned-out dataset, another less important feature set is chosen, and this is repeated until all feature sets were used. The implementation is based on the "Merge DF" approach described and pictured in 4.9 earlier on, but a few changes had to be made. After all features are pre-processed, joined and repartitioned, this large feature DataFrame gets cloned and persisted to the main memory as well. It is important that the cluster has enough main memory available to cache the full feature DataFrame twice. The first feature set is chosen, and the distances are calculated and appended to the cloned version of the full feature DataFrame. Then, the column with the original features gets dropped out of the cloned DataFrame to free some memory.

In the next step, all rows of the DataFrame where the freshly calculated distances are larger than some threshold (the mean value of the distance column in this case) get dropped out of the DataFrame, drastically reducing the size of all feature sets remaining. When using the mean value, about half of the songs get dropped out of the DataFrame, reducing the problem size for the next feature set to half the size. This is also the reason why the data had to be copied in-memory because now the clone can be altered and thinned out without impacting the original DataFrame. Of course, the copying of the data, on the other hand, is an additional overhead.

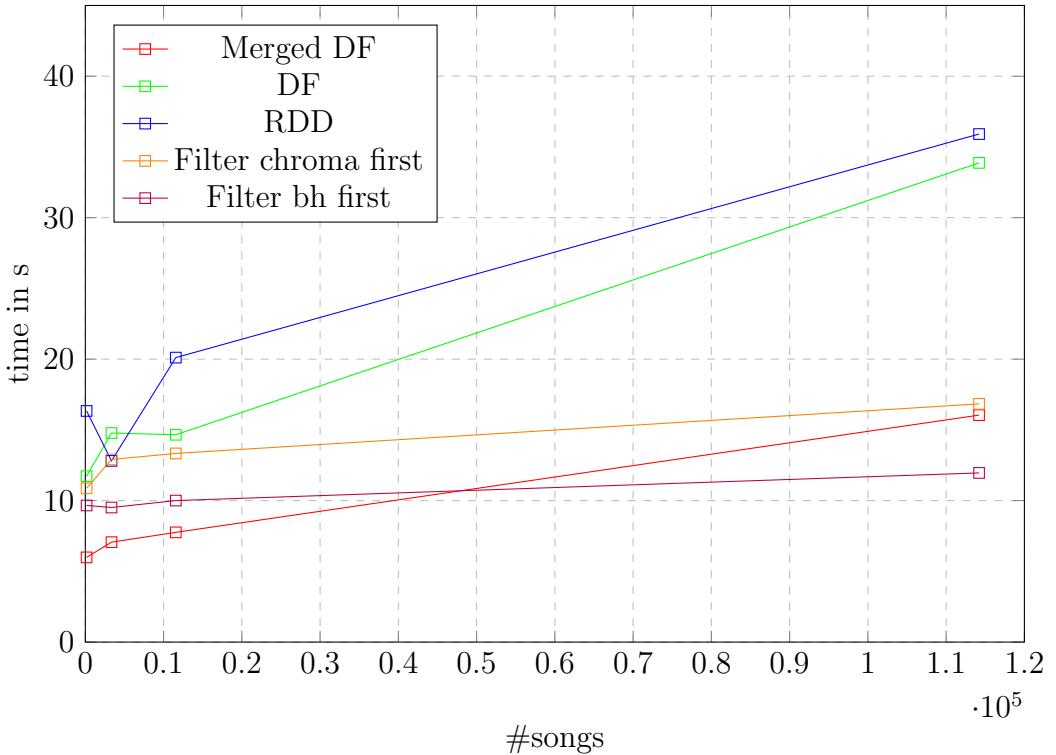


Figure 4.11: Descending importance filter and refine, all features

But when looking at the results in Figure 4.11, it shows that the filter and refine method scales very well with increasing sizes of the dataset. The plots show the performance of full requests on already cached feature DataFrames or RDDs but the plots of the filter and refine tests include the time necessary to create a copy of the cached feature DataFrames, so the additional overhead is taken into account. The order of filter operations in the filter chain for the plot labeled with "Filter chroma first" is:

*chroma → (js → skl → mfcc) → rp → rh → bh → notes*

and *bh → rh → notes → rp → (js → skl → mfcc) → chroma* for the plot labeled with "Filter bh first". The order of the different filter and refine operations is very important. For example, when searching for cover songs, the cross-correlation and the Levenshtein distance should be calculated at the very beginning of the filter chain or otherwise the cover songs could be filtered out. When running a simple test with the song "Für Elise"

by Beethoven that appears three times in the full dataset, the filter and refine method starting with the chroma features was still able to detect one alternative recording as the top recommendation and the other recording was placed as recommendation number 14, even scoring higher than in a test without the filter and refine method because other non-matching songs got filtered out.

Admittedly, the computation of the cross-correlation between the chroma features is the most compute-intensive one so for performance reasons it is better to start with a distance measurement like the Euclidean distance of the beat histograms because later when the more demanding computations follow the data set is already thinned out. This is also the reason this approach is called "descending importance filter and refine" in this thesis because the client, who requests the song recommendations, has to define which aspect is most important to him (speed, melodic/ rhythm/ timbral features or cover song detection), before choosing an order for the filter chain (descending importance). The results get better, the further the application progresses in the filter chain (filter and refine).

### Cluster Size

The runtime and its dependencies on cluster configuration, size of the input dataset, and implementation details were already given in the previous Section 4.2.7. With about twelve seconds response time for the filter and refine method and 16 seconds for the merged DataFrame approach on 16 compute nodes, and for 114000 songs, the result is reasonably fast but probably not yet fast enough for real-time processing.

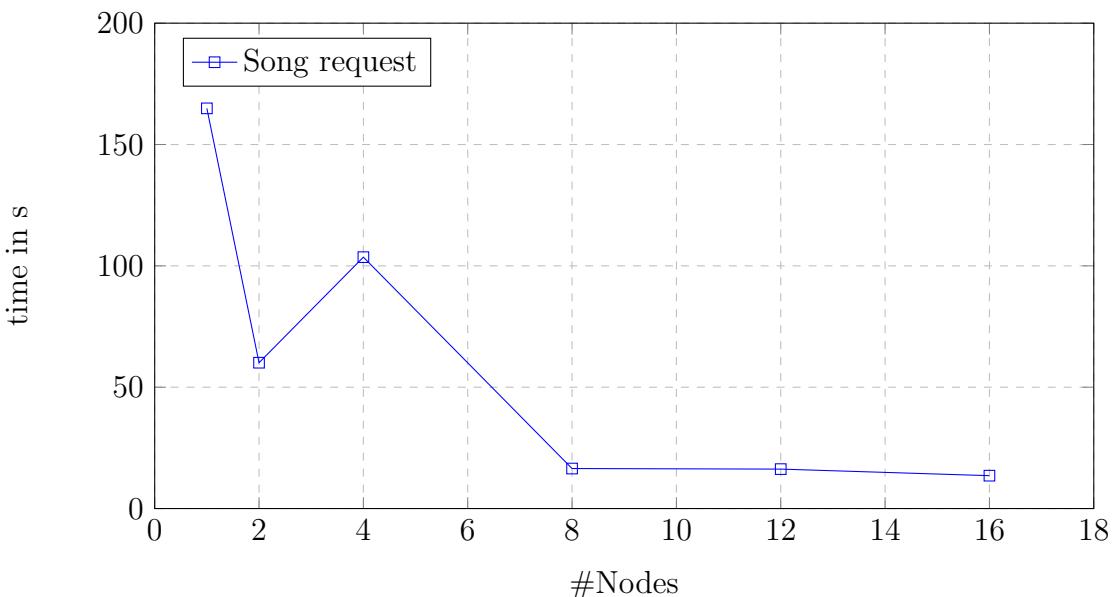


Figure 4.12: Performance / Executors (36 CPU cores each)

To simulate the impact of growing cluster sizes in Figure 4.12, the cluster configuration was changed from one up to 16 Executors spawned, each reserving 36 CPU cores (the maximum number of available cores on one node (without HT)) and 64GB (+ 32GB overhead) of main memory. To do this, the parameters of the dynamic allocation were changed. When setting the minimum Executor count above 16 but there are not enough resources on the cluster, the Spark Driver only spawns as many as he is able to (16 on the ARA-cluster with 36 CPU cores/ Executor). As the tests algorithm, the merged DataFrame approach (repartitioned in 32 chunks) with two subsequent song requests was chosen, and the computation time of the second song request for all feature-sets is shown in Figure 4.12.

#### 4.2.8 Possible Improvements and Additions

Spark offers a few other, interesting alternatives to compute similarities that are only mentioned here and not further evaluated. The so-called "DIMSUM all-pairs similarity" (Dimension Independent Similarity Computation using MapReduce) is a MapReduce algorithm to compute full similarity matrices ("all-pairs" similarity instead of the "one-to-many-items" similarity implemented here) and could be of interest as well.

Also, an implementation of the TF-IDF weights is already part of the Spark framework, possibly enabling a future addition of the melodic similarity computation using the mentioned approach in Section 3.2.3. The Alternating Least Squares algorithm to perform collaborative filtering (see Section 2.3.5) would be an interesting addition. Although this thesis only focuses on audio features, a future additional implementation of metadata and listening behavior information could provide valuable information.

# 5. Results

In this last chapter of this thesis, the results concerning the quality of the recommendations are shown. An attempt to quantify the results and the quality of the recommendations is made by choosing objective tests like genre recall and cover song recognition. In the second part, a few subjective impressions are also given, including personal taste and listening preferences.

## 5.1 Objective Evaluation

For the scientific/ objective evaluation at first, the resulting distances are analyzed and visualized in Section 5.1.1. To test the quality of the resulting song recommendations returned by the Spark application, some tests were made. To test the quality of the timbre and rhythm based distances, the genre recall rate is examined in Section 5.1.3. Another indicator of the quality of rhythm features is the ability to recommend songs around the same BPM count (see Section 5.1.4). As mentioned in Section 3.2.4, a way of evaluating the quality of the melodic similarities is the ability to recognize cover songs. This will be examined in Section 5.1.2.

### 5.1.1 Feature Correlation and Distance Distribution

This section analyzes the results from the similarity analysis to find out how the distances from different feature sets are correlated to each other, and how they are distributed over the unit interval  $[0, 1]$ . To analyze this, a test dataset consisting of distances returned by the Spark application, had to be created. Ninety-five songs (five songs from every genre) were randomly chosen from the 1517-Artists dataset, and the distances to all other songs of the 1517-Artists dataset were calculated. The dataset contains 3180 songs evenly distributed over 19 different genres (see Figure 2.13b).

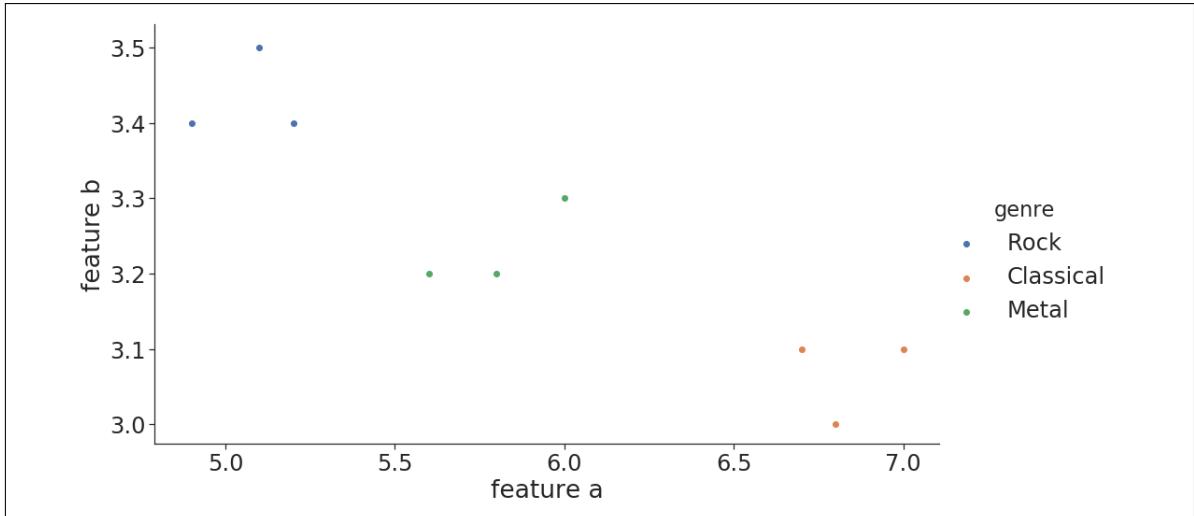


Figure 5.1: Feature space example

The sampling of distances from different genres is vital for the analysis of the distribution of the distances, because the distances and their distribution vary, depending on where in the feature space the actual song is located. A song taken from the edge of the distribution of the feature space will end up with different distances than a song taken from the center. To visualize this, Figure 5.1 shows a minimal example. While the distances from songs tagged with "Metal" to the songs tagged with "Rock" and "Classical" are about the same, the distances from a song taken off the Classical genre to the "Rock" or "Metal" songs are different in this example.

Figure 5.2 shows the correlation between the distances from the various feature types. The eight different distances for each song pair are summed up into one new combined distance (following formula 4.5 with all weights  $w = 1$ ). This combined distance is labeled as "agg" in the following plots.

	rp	rh	bh	js	skl	mfcc	chroma	notes	agg
rp	1	0.918345	0.258626	-0.0131253	0.0357719	0.105182	0.0455418	0.00375641	0.752988
rh	0.918345	1	0.192452	0.0207377	0.0443187	0.150032	0.0396717	-0.00201152	0.7558
bh	0.258626	0.192452	1	-0.203041	-0.160113	-0.0695903	0.0286554	-0.00464233	0.323581
js	-0.0131253	0.0207377	-0.203041	1	0.747947	0.0894321	-0.021468	-0.00046403	0.435151
skl	0.0357719	0.0443187	-0.160113	0.747947	1	0.0580153	-0.0458679	0.0222944	0.461898
mfcc	0.105182	0.150032	-0.0695903	0.0894321	0.0580153	1	0.047422	0.0705918	0.378666
chroma	0.0455418	0.0396717	0.0286554	-0.021468	-0.0458679	0.047422	1	0.169881	0.142827
notes	0.00375641	-0.00201152	-0.00464233	-0.00046403	0.0222944	0.0705918	0.169881	1	0.25369
agg	0.752988	0.7558	0.323581	0.435151	0.461898	0.378666	0.142827	0.25369	1

Figure 5.2: Correlation 95 songs, 19 genres (5 each), 1517-Artists

The correlation of a feature type with the overall distance is a sign of the impact of

the feature type on the overall distance from the weighted sum. But because not all distances are equally distributed over the unit interval, the different feature types have different impacts on the sum of distances. This problem was already mentioned in Section 4.2.5 and Section 4.2.4. Figure 5.3 shows how the distances are distributed with the cumulative histograms over the unit interval. It is apparent that the cross-correlation distances are not evenly distributed. In Section 4.2.5, a few proposals were already given how this problem could be solved in the future.

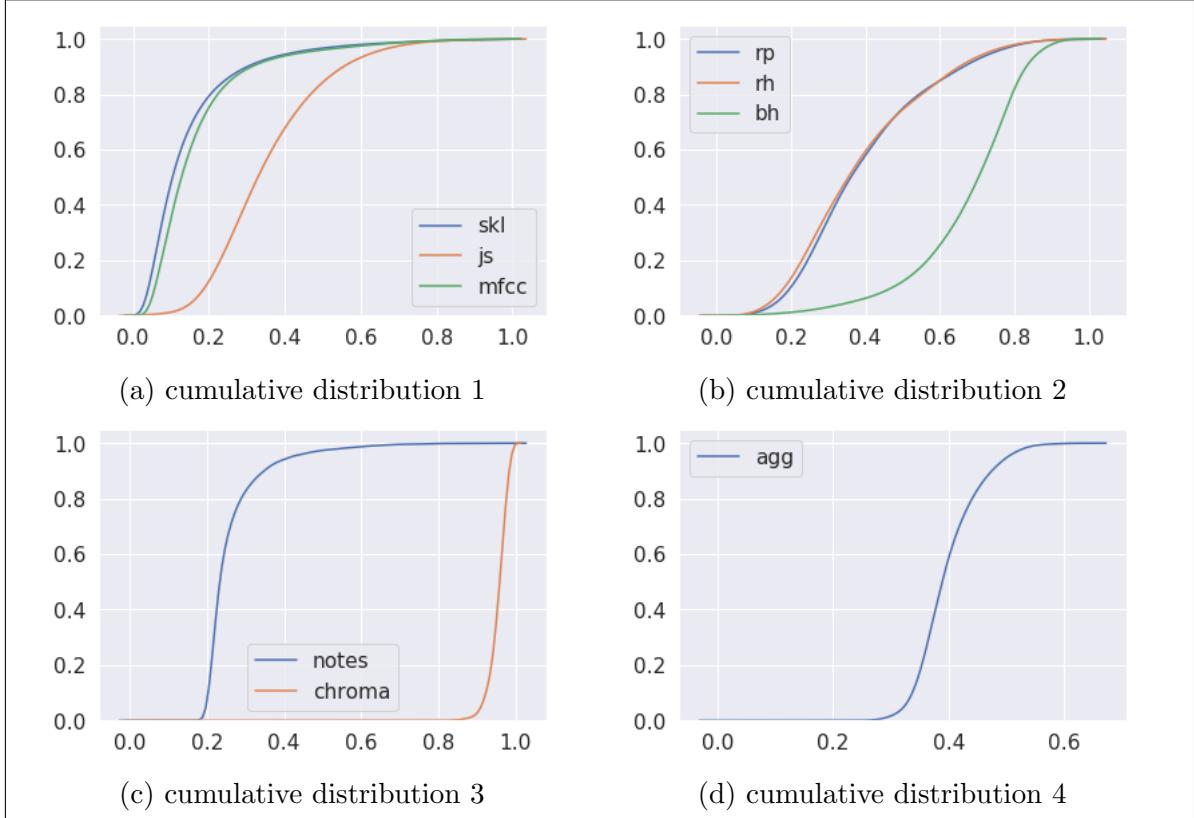


Figure 5.3: Cumulative distributions

As mentioned in Section 4.2.4, the SKL divergence was also prone to outliers and had issues when scaling distances to the unit interval. The solution was to filter out all song pairs with an SKL divergence larger than a certain threshold before scaling the distances. If this filter operation is left out, nearly all distances calculated with the symmetric Kullback-Leibler divergence are close to zero after the scaling. The impact can be seen in Figure 5.4 and Figure 5.5. If the outliers are not filtered, the correlation of the unfiltered SKL distances with the combined distance ("agg") decreases significantly (see Figure 5.5). Interestingly also the correlation of the Jensen-Shannon-like divergence and the combined distance ("agg") is decreasing.

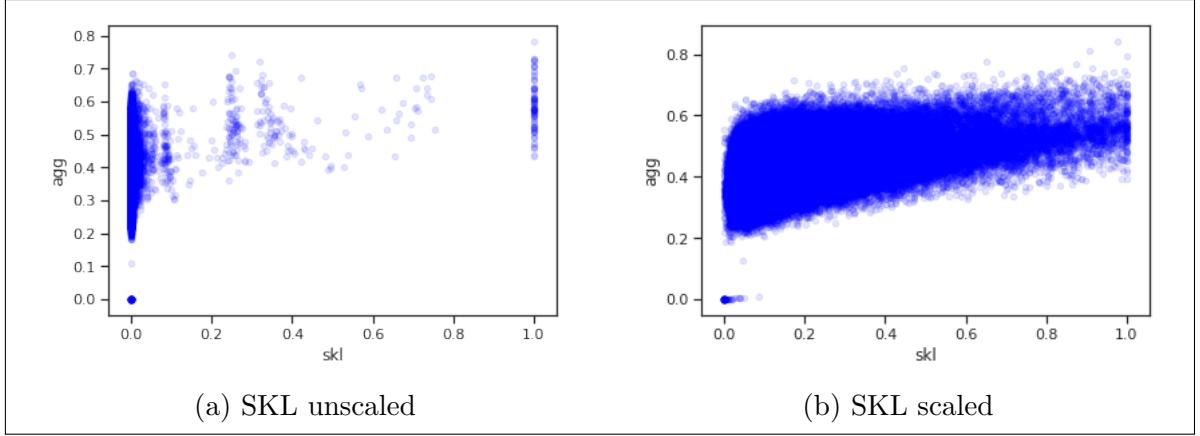


Figure 5.4: Correlation of features depending on SKL scaling

A possible explanation could be that the SKL and JS distances are indeed highly correlated, but due to the bad scaling, the SKL has no impact on the overall distance. The results from the JS divergence alone are not able to impact the weighted sum of the combined distance in the same way both features together could.

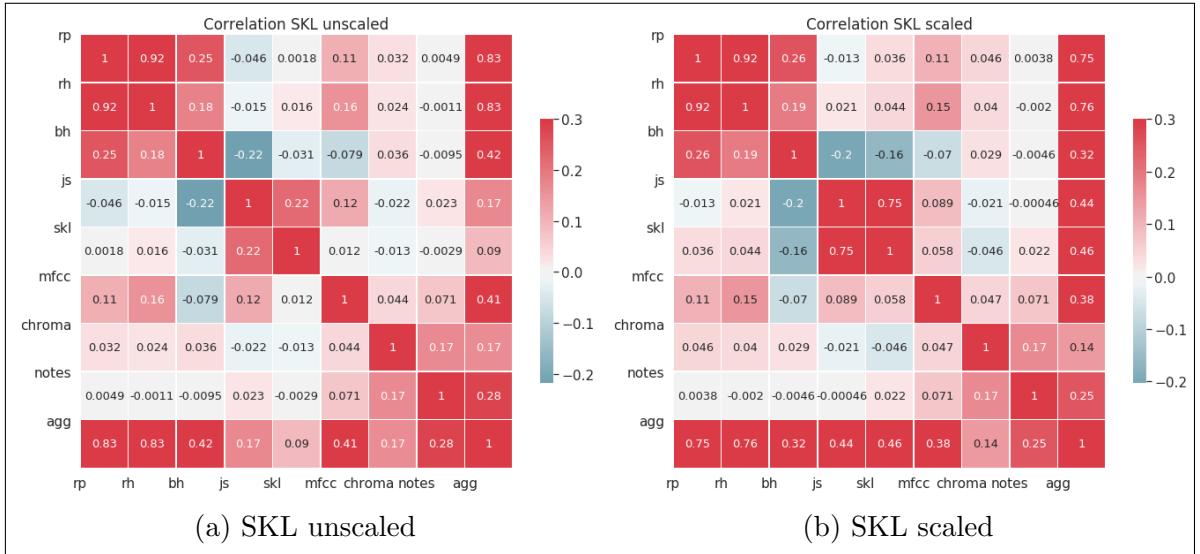


Figure 5.5: Correlation of features

The last plot (Figure 5.6) shows the full scatter matrix of the various distances for the 95 song sample from different genres. The main diagonal shows the histograms of the distances from the respective unique feature-sets.

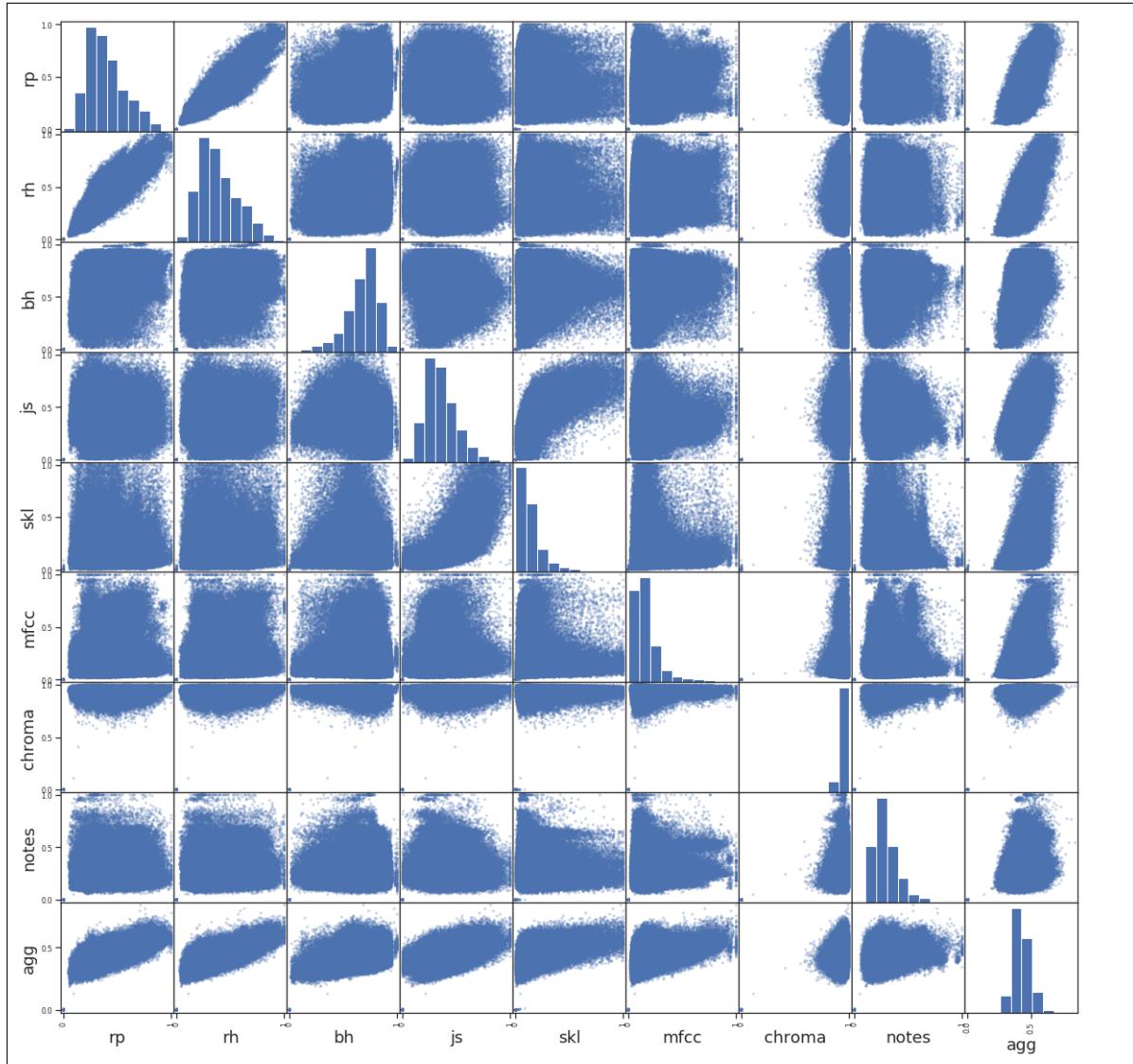


Figure 5.6: Correlation 95 songs, 19 genres (5 each), 1517-Artists

### 5.1.2 Cover Song Identification

As mentioned in Section 3.1.4, purely MFCC based recommender systems lack the ability to detect cover songs. Melody based similarity algorithms like the cross-correlation approach by Ellis and Poliner (see Section 3.2.3) and the approach using the Levenshtein distance by Xia (et al.) in Section 3.2.3, were primarily implemented to detect cover songs.

chroma	30
chroma + notes	27
chroma + skl	26
chroma + notes + rp	24
chroma + rp	22
chroma + skl + rp	22
chroma + mfcc	19
chroma + js + rp	17
chroma + js	17
notes	17
chroma + mfcc + rp	15
all	15
notes + rp	13
mfcc + notes + rp	7
rp	7
mfcc + js + skl	3

Table 5.1: Cover recognition - Top 1

chroma	33
chroma + notes	31
chroma + notes + rp	30
chroma + skl	29
chroma + rp	29
chroma + skl + rp	26
chroma + mfcc + rp	24
notes	23
all	23
chroma + mfcc	22
chroma + js + rp	22
chroma + js	21
notes + rp	19
rp	15
mfcc + notes + rp	14
mfcc + js + skl	10

Table 5.2: Cover recognition - Top 5

Running the first tests on the full dataset consisting of 114210 songs, the Spark implementation was able to find the cover of "Rock you like a Hurricane" by the Scorpions and covered by Knightsbridge as the top recommendation when using the cross-correlation. The application was also able to find an alternative recording of the piece "Für Elise" cover as a top recommendation in over 114210 songs, even when using the filter and refine algorithm (starting with chroma features) presented in Section 4.2.7.

As a third example the famous "Rondo Alla Turca (Allegretto)" also known as the Turkish March by Mozart was tested. This song was also used in Section 3.1.4 where the ability of the Musly toolkit to detect cover songs was tested. Two different versions were detected as the top results, and the fourth recommendation even listed a variation of the original song theme. At first, a combination of js, chroma, and rp features was used. The top five results are listed below.

*Song request: 100 Meisterwerke der Klassik/ Mozart - Alla Turca (Allegretto) (private collection), JS + RP + CHROMA*

- 1) Piano Perlen/ Mozart - Türkischer Marsch (private collection)
- 2) FRITZ STEINEGGER - RONDO ALLA TURCA KV 331 (1517-Artists)
- 3) 136071 (2Kutup - We Shall Cuddle Up And Sleep) (FMA dataset)
- 4) Sean Bennett - Variations on the Turkish March (1517-Artists)
- 5) Mozart - Fantasie in D minor (1517-Artists)

Although the private music collection contains two additional versions of this song (see Section 3.1.4), the other versions could not be detected because the rp\_extract tool failed during the extraction of the features from these songs, due to file format issues. So for the second attempt, the rhythm patterns were left out and only js and chroma features were used. The six top recommendations are again listed below:

*Song request: 100 Meisterwerke der Klassik/ Mozart - Alla Turca (Allegretto) (private collection), JS + CHROMA*

- 1) Mozart Collection/ CD31/ KV331-3 Alla turca allegretto (private collection)
- 2) Piano Collection/ CD25 - Mozart - Alla Turca Allegretto (private collection)
- 3) Piano Perlen/ Mozart - Türkischer Marsch (private collection)
- 4) FRITZ STEINEGGER - RONDO ALLA TURCA KV 331 (1517-Artists)
- 5) 136071 (2Kutup - We Shall Cuddle Up And Sleep) (FMA dataset)
- 6) Sean Bennett - Variations on the Turkish March (1517-Artists)

Even if the JS features are also left out and only the cross-correlation is computed, the top 6 results don't change. But on the other hand, even when the JS features are included, they aren't interfering with the cover song recognition. In a third request where only the Jensen-Shannon-like divergence was tested to detect the alternative recordings, the first alternative recording appeared as the 13th recommendation. This confirmed the presumption that timbral features and the Jensen-Shannon-like divergence and the symmetric Kullback-Leibler divergence are not appropriate for cover song recognition. But there are also song requests where the cross-correlation fails to detect the cover song, one example being the song Chandelier by Sia and the cover version by Pvris that was used in Section 3.2.2 to explain the computation of the chroma features.

To further quantify the ability to detect cover songs after the promising first tests, the covers80 dataset introduced in Section 2.4.1 was loaded onto the cluster. The 80 "A-versions" songs were passed to the Spark application as song requests, and the resulting nearest neighbors were analyzed. Table 5.1 counts the appearance of the

“B-version” songs as the first recommendation while Table 5.2 lists the count of the recommended cover versions in the top five results, when using different combinations of feature sets. As expected, the approaches using melodic similarity features perform best. The combination of the different timbre based features performs worst. Interestingly the distances based on rhythm patterns are also able to detect some cover songs. Although 30 out of 80 on the first doesn’t seem like a surprisingly good hit rate and is not quite as good as the results from the original paper, it has to be mentioned that most of the cover versions in the cover80 dataset differ a lot in musical style, instrumentation, rhythm and even genre from the original recordings. These differences in musical style were also mentioned in the original paper from Ellis and Cotton [62, p. 3]. As an interesting side note, it has to be mentioned that the detected cover versions of the “chroma-” and “notes-only” requests were mostly the same. Besides two songs, the chroma feature cross-correlation approach detected all of the cover songs that the Levenshtein distance also detected. So in conclusion, the cross-correlation is more precise but also more compute heavy.

### 5.1.3 Genre Similarity

Another way to quantify the quality of the distances and therefore the quality of the music recommendations is to measure the genre recall rate. In a simple test on the 1517-Artists dataset, five classical songs are passed to Spark, and the nearest neighbors based on the rhythm and timbre features (skl, js, mfcc, rp, rh, and bh) are calculated. Then the genres of the top ten recommendations from all five song requests are analyzed. The result is pictured in Figure 5.7a.

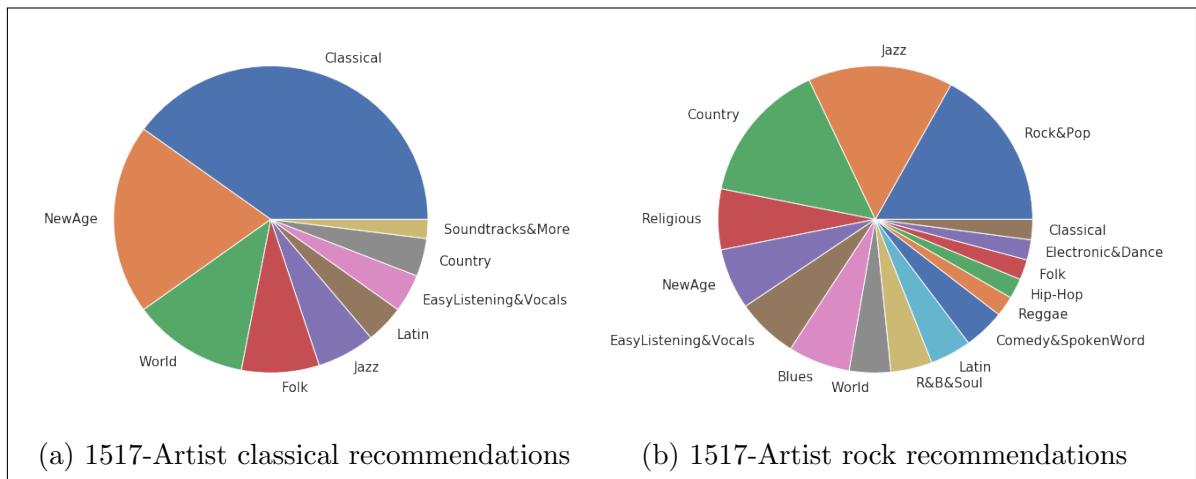


Figure 5.7: Genre recall

Although not all recommendations are classical songs, the recommended other genres like New Age, World, Folk and Jazz music are somewhat closely related to classical music.

Not a single song from more "modern" genres like Hip-Hop, Rock & Pop, Electronic & Dance or Reggae appears. The same was tested with five songs from the Rock & Pop genre (see Figure 5.7b). The results are scattered across 16 out of 19 different genres from 1517-Artists dataset. A possible explanation for this is, that the songs annotated with "Rock & Pop" in this dataset come from a wider variety of sub-genres. When taking a closer look at the dataset, it shows that, e.g., also Metal songs are tagged as Rock & Pop.

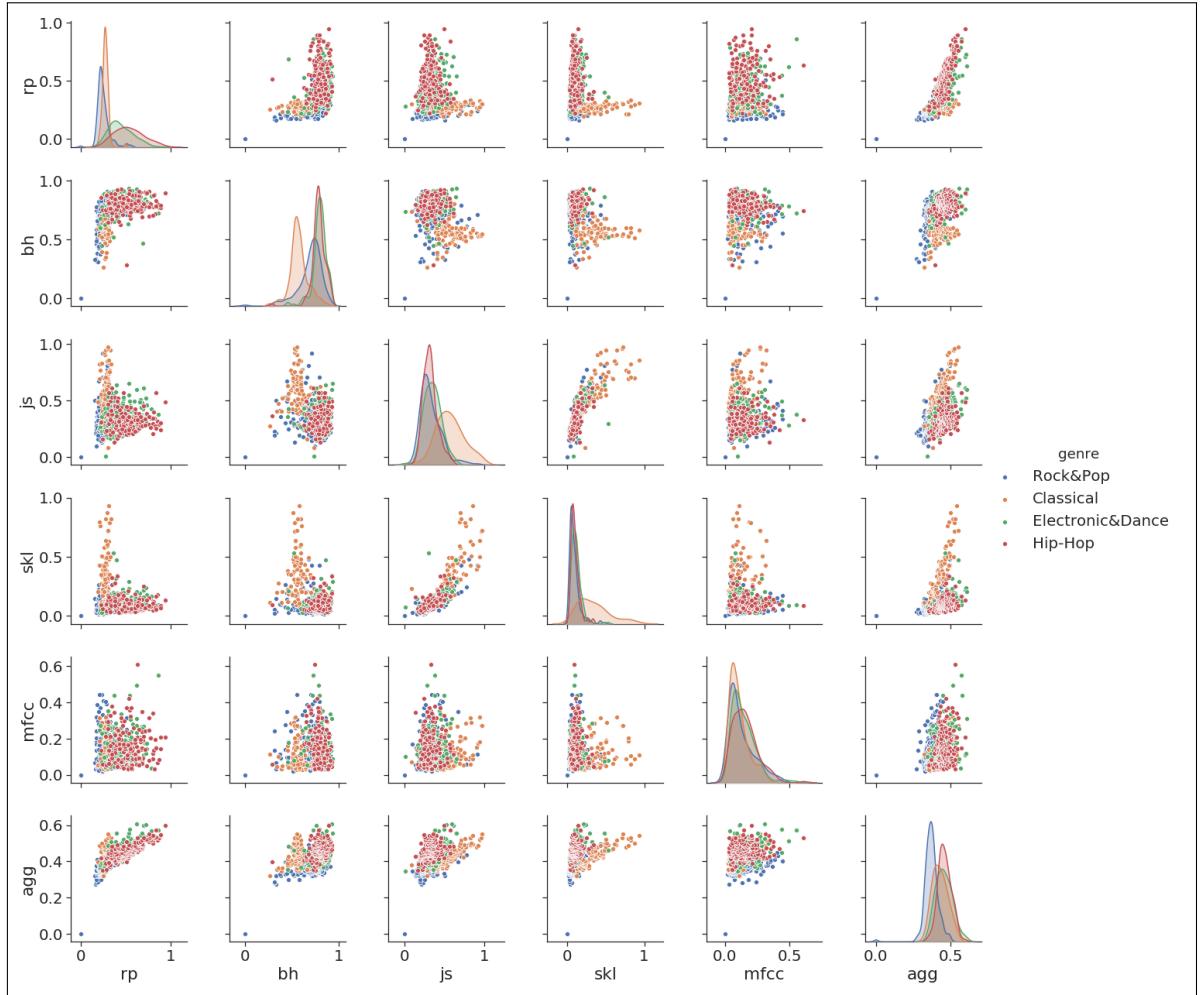


Figure 5.8: Distances 1 song, Rock&Pop, 1517-Artists, 4 genres

To investigate the impact of different feature types on the overall recommendations and to visualize the distribution of distances for different genres, another test was performed. For single song requests, all distances to the songs from a subset of the 1517-Artists dataset containing the genres "classical music", "hip-hop", "electronic & dance" and "rock & pop" were computed. Figure 5.8 shows the scatter matrices of all distances from one song request from the genre Rock & Pop. The different distances of the recommendations are colored by the genre of the recommended song. On the main

diagonal the Kernel Density Estimation of the respective feature type is shown. One interesting detail that should be pointed out is that the JS distance alone is unable to distinguish between Rock/Pop songs and Hip-Hop songs but is able to separate between classical music and the rest. On the other hand, the rhythm patterns alone can't separate classical music from Rock/Pop. But when both feature types are combined, all three genres can be separated. The scatter plot of the distances from the Rhythm Patterns and Jensen-Shannon-like divergence in combination shows three clusters of songs belonging to different genres. The fourth genre, "Electronic & Dance" however can not be separated from Hip-Hop songs no matter what feature-set is used. But it has to be kept in mind that all these distances are distances coming from a song request from the Rock/Pop genre.

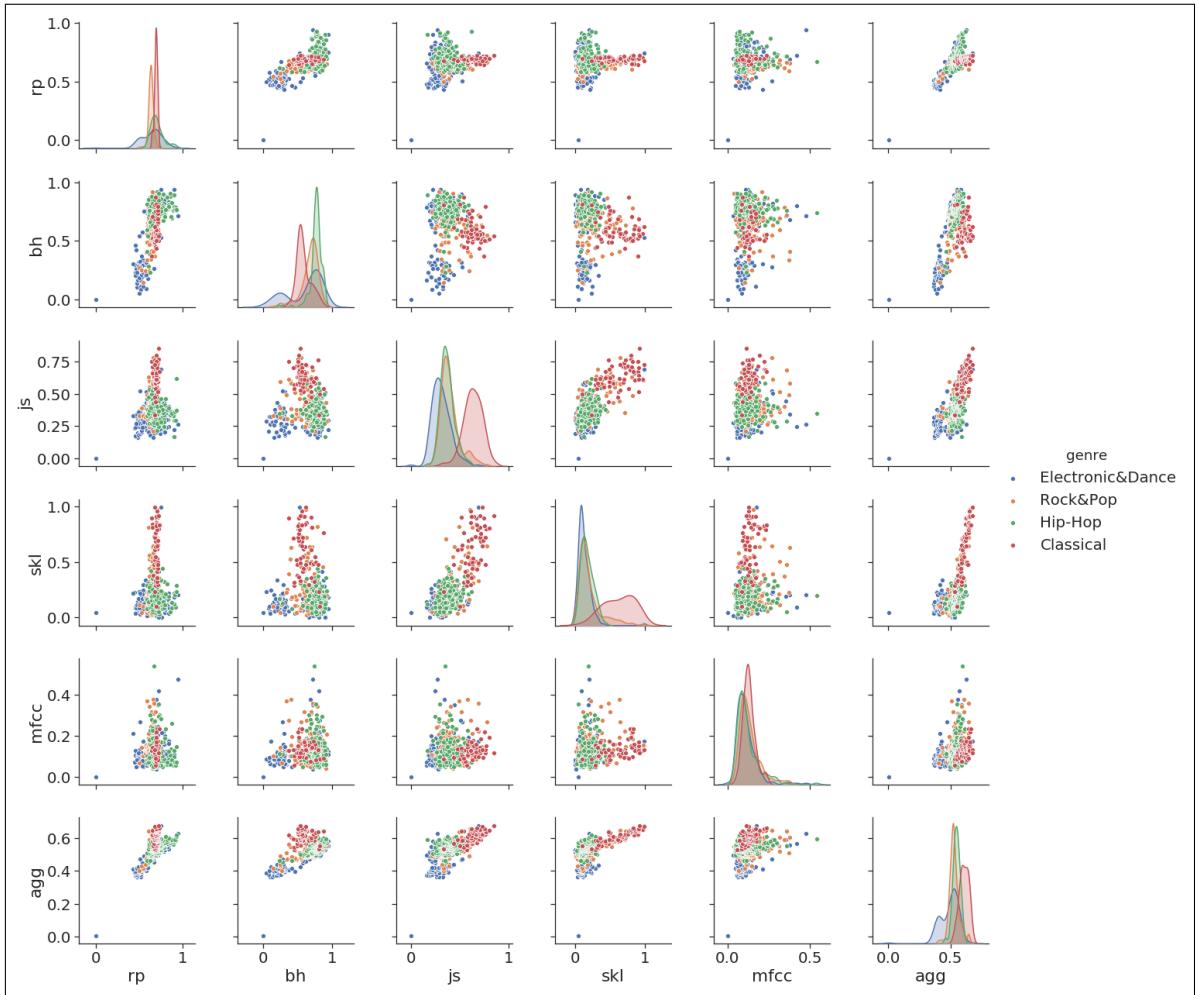


Figure 5.9: Distances 1 song, electronic, 1517-Artists, 4 genres

As mentioned in Section 5.1.1 and visualized in Figure 5.1, the distribution of the distances varies depending on where in the feature space the song request is located. Apparently the songs of the Hip-Hop and Electronic/Dance genre are on average all about the same distance away from the requested Rock/Pop song. When requesting a

song from the genre Electronic/Dance, the distribution of the distances look entirely different (see Figure 5.9). The "agg" - plots represent the weighted sum of all features combined (also including cross-correlation and Levenshtein distances not shown in the plots). After the combination of all feature types, the returned results primarily recommend other Rock & Pop songs in Figure 5.8 and Electronic & Dance songs in Figure 5.9.

When using only one feature set, the Spark recommendation engine would not be able to separate all four of the different genres from each other. Only due to the combination of different rhythmic and timbral features an overall satisfying list of recommendations can be returned.

#### 5.1.4 Rhythm Features

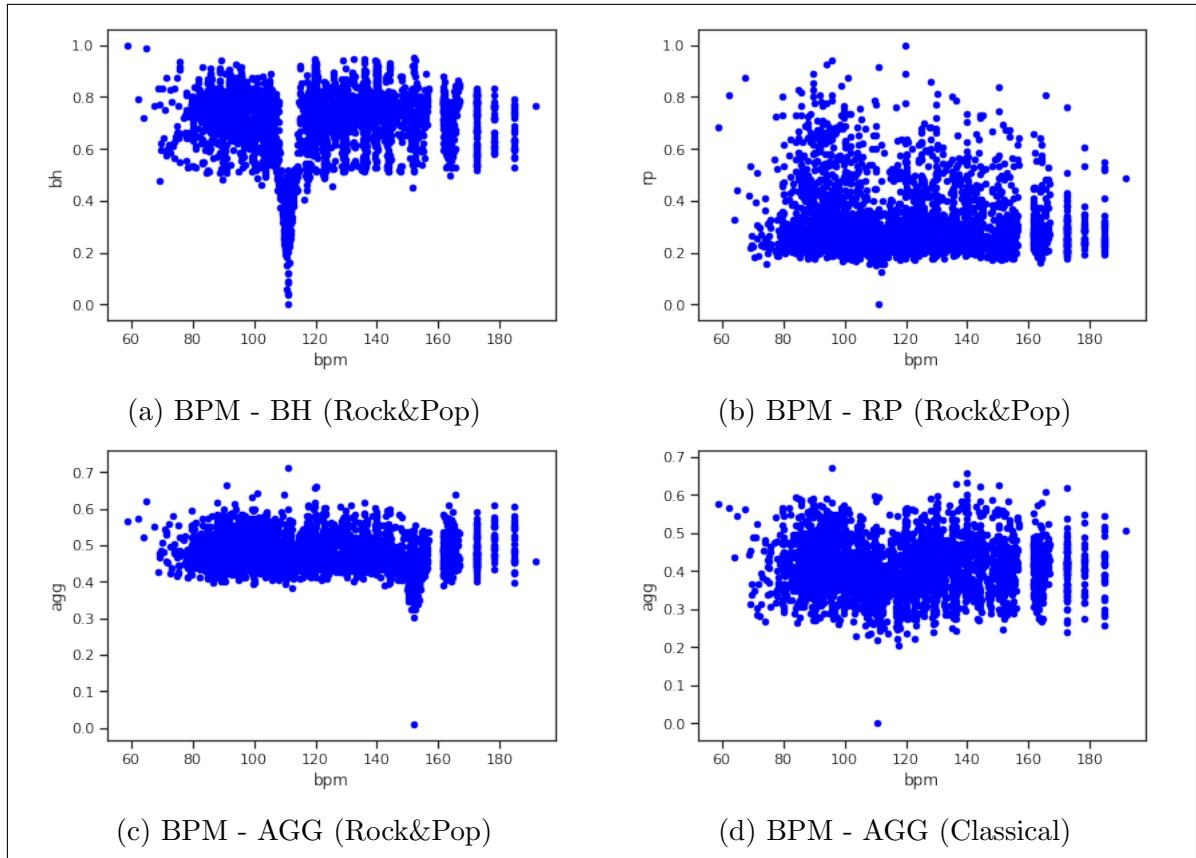


Figure 5.10: Rhythm features/ BPM

Another critical requirement for the recommendation engine is the ability to return songs that are about the same tempo. To investigate the capabilities of the rhythm features, Figure 5.10 shows the resulting distances of two song requests performed on the 1517-Artists dataset. The scatter plots show that the beat histogram and the

rhythm patterns are closely related to the overall BPM of the songs. The "agg" value (the weighted sum) includes all eight different feature types, so the overall impact of the rhythm features on the recommendations can be seen. All in all, the Spark recommendation engine is more likely to recommend songs that have similar BPM when rhythm features are included in the weighted sum. The classical song request in Figure 5.10d also shows that the overall distances still are not exclusively dominated by the BPM but rather slightly influenced.

## 5.2 Subjective Evaluation

This section includes the personal opinion and music taste of the author. Although these results are not "scientific", music taste is something personal and judging music recommendation solely from an objective perspective would be the wrong approach for this thesis. The core strength of this Spark-based recommender system is that its parameters can be used to personalize the music recommendations.

### 5.2.1 Beyond Genre Boundaries

The main reason for the choice of the topic of this thesis was that recommender systems as they come with streaming platforms like Spotify tend to value the music context information over music content. For example, the "Song Radio"- option coming with Spotify stays in the boundaries of genres and is heavily influenced by other peoples listening behavior. Although this is not necessarily a bad thing, this thesis tried to focus directly on the timbral, rhythmic, and melodic properties. As a result, songs from other genres are recommended as can be seen in the following example. When searching for the nearest neighbors of the "Prelude in C- Sharp Minor (Op. 3 No. 2)" by the Russian composer Sergei Rachmaninoff based on the Euclidean distance of MFCCs, the following results were returned:

- Klassik/Rachmaninoff - Piano Concerto No2 In C Minor Op18-1 Moderato
- Klassik/Liszt - Piano Concerto No 1 in E flat major S124(LWH4) Allegro maestoso
- Klassik/Brahms - Piano Sonata No2 in F sharp minor Op2 - III Scherzo allegro
- Metal&Rock/Steve Moore - Intro & Credits
- Klassik/Liszt - Piano Concerto No 1 in E flat major S124(LWH4) Allegro animato

The "Metal & Rock" recommendation seems out of place at first glance, but when taking a closer look, the recommended song is called "Intro & Credits" and it is not a typical Metal song. When listening to it, some similarities are recognizable; it is a calm, dark instrumental piece made of synthesizer sounds. The original requested Prelude

is a dark piano piece. Of course, this is just one example, and the recommendation is arguably not perfect. In general some of the timbre based recommendations seem out of place. This might be due to the choice of 13 MFCC bands over 25 as the Musly toolkit uses, or maybe there are some unnoticed issues with the implementation left, which would have to be investigated in future work. But as also stated in Section 5.1.3, the overall performance concerning the genre recall rate is reasonably good aside from a few outliers.

### 5.2.2 Personal Music Taste

As a last side note on personal music taste, a song request using one of my favorite songs was made. As already mentioned, my private music collection was a part of this thesis. To retain some kind of reproducibility the whole collection is documented, and the according list of albums and songs is on a document on the CD in the appendix. On the last pages of this document, there is also a list containing my personal song favorites in the metal music genre. One of these songs was chosen, and the recommendations were calculated for the private music collection. The song is called "The Art Of Dying" by the band Gojira. The recommendations based purely on rhythm patterns are listed below. Another track from my personal list of favorite song appears as a recommendation.

- Numenorean - Adore
- Shylmagoghnar - Transience
- Amon Amarth - The Last Stand Of Frej
- Delain - We Are the Others
- Ensiferum - Descendants Defiance Domination

This could be an indication that my taste in music is closely related to the rhythmic properties of the music. An idea for future research could be to reverse engineer a user's musical taste by looking at a list of favorite songs. The information which songs a user likes the most is already available to all streaming platforms because most likely the songs a user listens to the most are also the songs he likes the best. Spark could be used to calculate the similarities between these favorite songs of a user and analyze the distances. Whether or not these songs are more similar in rhythm, melody or timbre could enhance the parametrization of a recommender engine and further personalize music recommendations by adapting the weights of a recommendation engine.

Of course, the field of personalized music recommendation is an already existing one, but maybe the addition of Spark and big data opportunities of using audio content instead of contextual information and collaborative filtering could enhance these existing systems.

# 6. Summary

## 6.1 Conclusion

Looking back at the content of this thesis, Chapter 2 provided an overview of the field of music information retrieval. Different high- and low-level audio features were explained, and various ways to measure the similarities between audio files based on the audio features were introduced. Additionally, a short introduction to big data frameworks, especially Apache Spark and Hadoop was given, and different audio data sources were gathered. Chapter 3 presented ways to extract and pre-process timbre, rhythm, and melodic features from audio files and multiple algorithms for calculating the distances between the extracted features were given. With the theoretic knowledge from the first chapters, the implementation could be planned. The data was collected. Over 1TB of music files containing 114000 different songs were aggregated.

In the first part of the implementation, the necessary audio features were extracted and pre-processed (e.g., by extracting the melody estimation) in parallel using MPI on a computer cluster, paving the way for the usage with the big data processing framework Spark. The features were loaded into the HDFS of a cluster, and multiple similarity measurements were implemented, tested, evaluated, and improved using the Spark framework. With Spark, multiple approaches (RDD, DataSet, Filter and Refine, Cluster Configurations) were implemented, tested, and the runtime was measured. The resulting distances presented, analyzed, and visualized.

The final application is able to recommend songs similar to a song request by computing the distances. The recommendations are parameterized, giving the user the option to prioritize different aspects of the music. The system is scalable. More songs can be added, the cluster size can be increased, and the possibility to add different kinds of audio features and more state-of-the-art similarity measurements is also given.

## 6.2 Outlook

There are still a few minor flaws, especially when looking at the implementation of the symmetric Kullback-Leibler divergence and the Jensen-Shannon divergence and the scaling of the distances. The different starting points for possible future research were laid out during the whole thesis and are summarized here. First of all the file format issues with \*.wav audio files when using the rp\_extract tool from the TU Wien should be fixed to be able to compute all features from all the songs of a dataset (see Section 4.1.2). The next step would be to re-evaluate the Jensen-Shannon-like divergence and the symmetric Kullback-Leibler divergence and fix the issues with the outliers. Also, the issues with non-invertible or non-singular covariance matrices should be investigated (see Section 4.2.4 and 4.2.4). Maybe also the proposed enhancements by Schnitzer [21] of reducing the hubness with mutual proximity and by using more Mel-bands for the computation of the MFCCs would be sufficient to improve the quality of the recommendations (see Section 3.1.2). The scaling of the different features could be improved in a way, where all features are evenly distributed over the unit interval (see Section 4.2.5).

Tests of the performance on larger clusters and with more data would be critical to assess the scaling of the problem. An implementation of the Spark streaming abilities to enable real-time computation of similarities instead of using batch-processing jobs would be the next logical step if the goal is to develop a system able to run with music streaming platforms. When evaluating the genre recall rate with Spark, an issue with the garbage collection running out of memory after about 40 subsequent song requests was encountered and should be fixed first.

As another way of improving the presented Spark application, more state-of-the-art similarity measurements like block-level features (Section 3.1.3) or the TF-IDF weights (Section 3.2.3) for melodic similarity could be added. The most promising enhancement for the developed recommendation engine in this thesis would be the addition of genre and metadata information, genre-specific features, collaborative filtering, and lyrics (see Section 2.3.5). All the contextual music information that would typically be processed by a big data framework wasn't included in this thesis but could significantly enhance the recommendations. Most streaming services already have all the information to add available, like users listening behavior or audio metadata and services like Spotify are also already using Spark for collaborative filtering so the Spark application presented in this thesis could be added and integrated into running streaming systems. A last suggestion for future enhancements is to investigate the proposal from Section 5.2.2 of personalized music recommendation based on the audio feature similarities of a user's favorite songs made available by the big data framework.

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# 7. Appendix

## 7.1 Feature Analysis

Scatter Matrix, 1 Song (Soundtrack) from 50 song sample

Main diagonal = Kernel Density Estimation

Subset of 5 genres in Figure 7.1

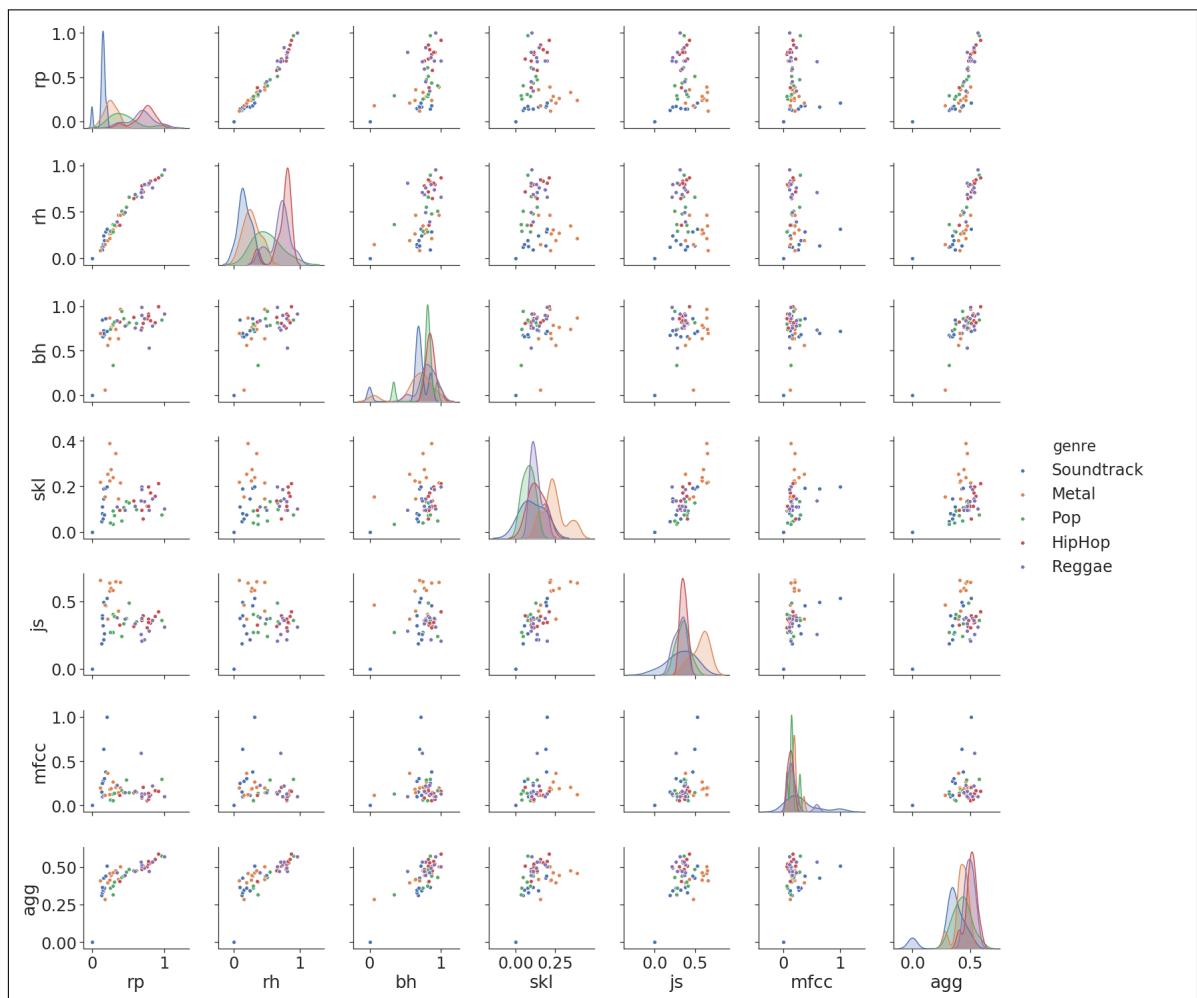


Figure 7.1: Distances 1 song (Soundtrack), 5 genres (10 songs each)

## 7.2 Spotipy Data Miner

```
from __future__ import print_function
from spotipy.oauth2 import SpotifyClientCredentials
import json, sys, spotipy, time, os.path
import requests, urllib
import matplotlib.pyplot as pl
import h5json, scipy
import numpy as np
from scipy.spatial import distance

reload(sys)
sys.setdefaultencoding('utf8')
client_credentials_manager = SpotifyClientCredentials()
sp = spotipy.Spotify(client_credentials_manager=client_credentials_manager)
if len(sys.argv) > 1:
    uri = sys.argv[1]
else:
    uri = 'spotify:user:bqpd:playlist:5oF8D71X38WwzeRUdyvpm'
username = uri.split(':')[2]
playlist_id = uri.split(':')[4]
playlist = sp.user_playlist(username, playlist_id)
results = sp.user_playlists(username, limit=50)
playlist_length = playlist['tracks']['total']
path = os.getcwd()
path = path + "/crawled_data"
playlist_name = playlist['name']
directory = path + "/" + playlist_name
if not os.path.exists(directory):
    os.makedirs(directory)
t_start = time.time()
f_feat = open(path + "/" + playlist_name + "/featurevector.txt", "w")
f_feat.write("Features: \n")
f_feat.close()
feat_vec = []
feat_num = []
feat_name = []
for num in range(0, playlist_length, 100):
    results = sp.user_playlist_tracks(username, playlist_id, limit=100, offset=int(num))
    tracks = results
    for i, item in enumerate(tracks['items']):
        track = item['track']
        track_id = str(track['id'])
        path = os.getcwd()
```

```

path = path + "/crawled_data"
artist = str(track['artists'][0]['name'])
songtitle = str(track['name'])
artist = artist.replace("/", " ")
songtitle = songtitle.replace("/", " ")
artist = artist.replace("$", " ")
songtitle = songtitle.replace("$", " ")
number = i + num
name = str(number) + " - " + artist + " - " + songtitle
directory = path + "/" + playlist_name + "/" + name
prev_url = track['preview_url']
if not prev_url == None:
    if not os.path.exists(directory):
        os.makedirs(directory)
    filename = directory + "/" + artist + " - " + songtitle + ".mp3"
    urllib.urlretrieve(prev_url, filename)
    tid = 'spotify:track:' + track['id']
    analysis = sp.audio_analysis(tid)
    with open(directory + "/" + songtitle + '_analysis.json', 'w') as outfile:
        json.dump(analysis, outfile)
    outfile.close()
    segments = analysis["segments"]
    bars = analysis["bars"]
    beats = analysis["beats"]
    tid = str(tid)
    features = sp.audio_features(tid)
    with open(directory + "/" + songtitle + '_features.json', 'w') as outfile:
        json.dump(features, outfile)
    outfile.close()
    acousticness = features[0]['acousticness']
    danceability = features[0]['danceability']
    energy = features[0]['energy']
    instrumentalness = features[0]['instrumentalness']
    liveness = features[0]['liveness']
    loudness = features[0]['loudness']
    speechiness = features[0]['speechiness']
    valence = features[0]['valence']
    feat_vec.append(scipy.array([acousticness, danceability, instrumentalness,
                                 liveness, loudness, speechiness, valence]))
else:
    print("no url - entry: " + artist + " - " + songtitle)
    print(track_id + "\n")
t_delta = time.time() - t_start
print ("features retrieved in %.2f seconds" % (t_delta,))
dist = distance.euclidean(feat_vec[0], feat_vec[1])

```

### 7.3 Contend on the CD

#### Code

- mpi4py Ara\_features
- mpi4py Ara\_files
- mpi4py Ara\_rhythm
- ...

...

PDF private music collection

...

# **Declaration of Authorship**

I hereby declare that the thesis submitted is my own unaided work. All direct or indirect sources used are acknowledged as references. This thesis was not previously presented to another examination board and has not been published in German, English or any other language.

Jena, August 30, 2019

Johannes Schoder