

<b>ALSTOM</b> Transport Information Solutions	<b>OPEN ETCS</b>	Ref: V10
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## 1. OBJECTIVES AND STRATEGY

The main objective is to propose a technical plan for Open-ETCS in relation with UNISIG specification.

The aim of Open-ETCS is to insure that “Subset\_026 version\_3.3.0” shall be implemented and interpreted following the same understanding by every supplier of ETCS devices.

Given the difficulties, it has been defined that consists in working on application software of EVC. Trackside application software is anticipated as enough transparent from EVC.

Three phases are involved :

- **First Phase** : The Subset-026 chapter3 being basically a system requirement definition, it needs to be analysed and structured through SysML methodology or equivalent. That permits to build a breakdown structure as follows :
  - Basic Software and hardware, is proprietary software of each ETCS supplier,
  - Application Software (so-called “kernel software”),
    - Balise Data storage and update,
    - Positioning,
    - Speed Control Monitoring,
    - etc..
  - Application Program Interface : Alstom solution is acting as reference.
- **Second Phase** : The Breakdown Structure of Application Software is refined up to reach a level of detail that permits to proceed to a formal (non ambiguous) specification and encoding.
  - This phase leads to include most of the modules within the Open-ETCS library.
  - On a first step, it has been agreed to work on “Balise Data Storage” and “Positionning”.
  - It may appear the need to define a database structure when study of other functions.
  - At the end of this phase, “Kernel Software” is ready for integration on “Host Machine” or on “Real Time Machine”.
- **Third Phase** : In order to consolidate the specification, a model is developed for the most complex functions that permits to get a reference for each signalling implementation. This is the concept of Virtual Machine.

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## 2. CONTEXT OF OPEN-ETCS

### 2.1 REFERENCES

UNISIG Subset\_026 version\_3.3.0

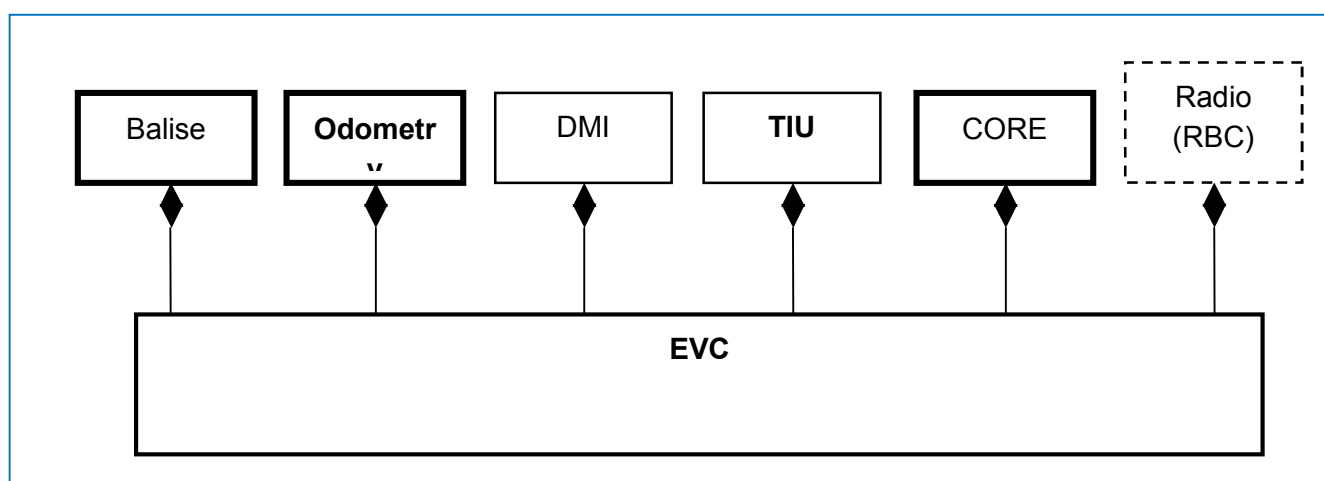
Chapter 3 : ERTMS / ETCS Principles

Chapter 5 : ERTMS / ETCS Procedures

Chapter 7 : ERTMS / ETCS Language

### 2.2 HIGH LEVEL ARCHITECTURE

The following Block Diagram Definition (BDD) gives an abstract of global ETCS from the on-board (EVC) point of view :



**Block Diagram Definition**

EVC is composed of :

- Balise reception
- Odometry
- DMI : Display Man Interface
- TIU : Train Interface Unit
- CORE : Computer
- Radio sub-system (GSM) and RBC (Radio Block Center)
- Euroloop (optional)
- Recorder Unit

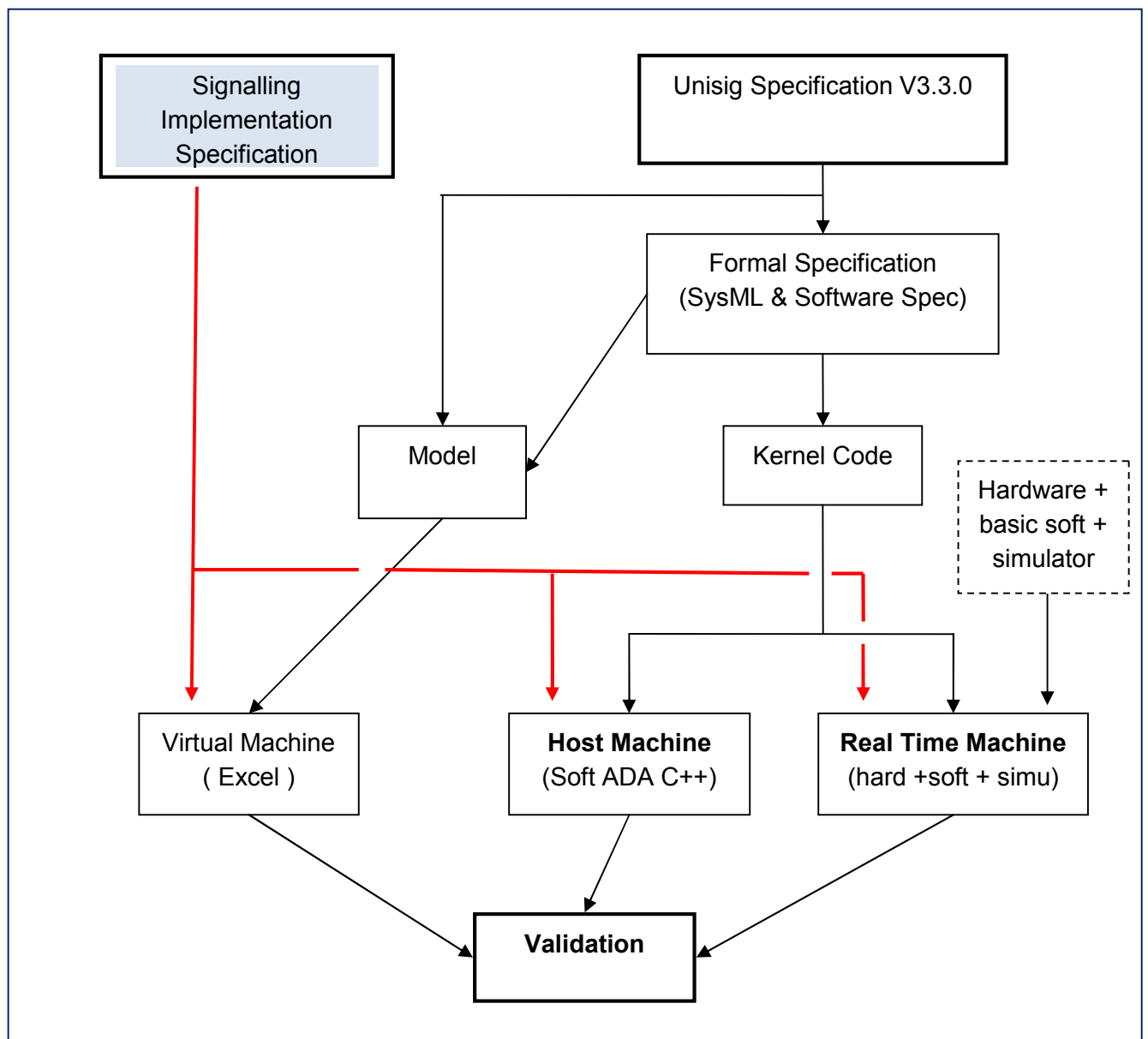
Communication are insured through a triple Bus (CAN bus for instance).

Specification of communication are defined by API (Application Program Interface) from functional point of view.

## 2.3 CONCEPT OF OPEN ETCS

Open ETCS aims to provide a link between the UNISIG Specification (Mainly Subset\_026) and any implementation of On-board provider.

For reaching this challenge, a double activity diagram is proposed as follows :



**Block “Actigram”**

This actigram shows the 3 branches of activity that are involved in order to validate any Signaling Application.

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### **2.3.1      *Unisig Specification***

The main document of reference is the subset 026 in version V3.3.0.

All chapters are applicable and all subset that are referenced in it.

### **2.3.2      *Signalling Application Specification***

The Signalling Application Specification should include :

- track layout with balise group (BG) and signals,
- definition of each BG coverage, in normal and reverse direction if needing,
- definition of mandatory data for each BG,
- definition of optional data for each BG,
- definition of system data.

### **2.3.3      *Formal Specification***

The Formal Specification shall include two steps :

- SysML defines the architecture & Software Spec,
- Formal language specifies the software or can be used as retro engineering.

### **2.3.4      *Model***

The use of "Excel" is a solution to study braking curves of each signal and for a run of a virtual train.  
Other solution ?

### **2.3.5      *Kernel Code***

Programing language : ADA vs C++.

Formal language : B language.

Bridge to pass from B to ADA.

### **2.3.6      *Virtual Machine***

Excel, solution linked to Model.

### **2.3.7      *Host Machine***

Solution to compare result with virtual machine.

### **2.3.8      *Real Time Machine***

Idem Host.

### **2.3.9      *Validation***

Automatic validation is interesting for checking in case of software updating.



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### 3. DATA FOR SAFE MOVEMENT

#### 3.1 GENERAL

This chapter aims to provide an overview of EVC Data Packets and Variables following the definition hereafter :

- Data Packets related to Linking,
- Data Packets related to basic track description,
- Data Packets related to optional track description,
- Data Packets and Variables related to radio communication with RBC,
  - o Additional Data Packets for radio communication
  - o Track To Train Radio Data Messages,
  - o Train To Track Radio Data Messages.

All Data are structure of variables as defined hereafter (see Subset-026, chapter 8) :

- A **Telegram** is a set of Packet transmitted through one balise which includes a “header”,
- A **Balise Message** is a set of all Telegrams of a BG,
- A **Radio Message** is a set of Variables and Packets transmitted through GSM\_R and includes a “header”,
- A **Packet** is a set of variables which may include option and repeating.

#### 3.2 “LINKING” VERSUS “LINKED” AND RE-POSITIONING

##### 3.2.1 Definition

“Linking” data are playing a major role in management of track description, that is :

- To know in advance which BG will be met from any current BG (several BG can be defined),
- To check the train position regarding the window related to the last BG position,
- To check the train position regarding the route provided by the previous BG,
- To up-date the track description provided by the previous BG,
- To reduce the train position error to the position inaccuracy of the last BG,
- To permit or not an immediate reaction in case of BG missing.

But the use of linking is only an option of design, and depending on the confidence in odometry. As result, “Linking” is submitted to a qualifier meaning “Linking Use” or “Linking Not Used”. All information about “Linking” are given and described within SRS chapter 3-4-4.

“Linked” is a qualifier included within the header of any balise group and which defines the nature of data that are included in it :

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- “Linked” means that BG :
  - can be announced in the current LRBG to up-date data.
  - can become the new LRBG if consistent with opened window,
  - can be not announced but may still become the new LRBG without checking.
  - if not announced, position is given by train position and current LRBG.
- “Unlinked” means that BG :
  - can be announced in the current LRBG, to provide additional data.
  - cannot become the new LRBG even if consistent with opened window,
  - can be not announced but may still provide additional data.
  - if not announced, position is given by train position and current LRBG.

Re-Positioning is involved to reduce positioning error when needing.

Linking is defined by packet 5 for all level

Linked / Unlinked is defined by one header qualifier.

Repositioning is defined by packet 16 :

- only through balise in 1 level.

### 3.2.2 *True Table*

When “Linking” is used, the true table is :

<b>LINKING USE on-board</b>	<b>Linked BG</b>	<b>Unlinked BG</b>
<b>Linking exists in message</b>	Window is computed Train Position is checked BG becomes LRBG if checking OK & Downstream Data are up-dated	Window is computed Train Position is checked Additionnal Data are stored if checking OK
<b>No Linking in message</b>	No action	Additionnal Data are stored

When “Linking” is not used, the true table is :

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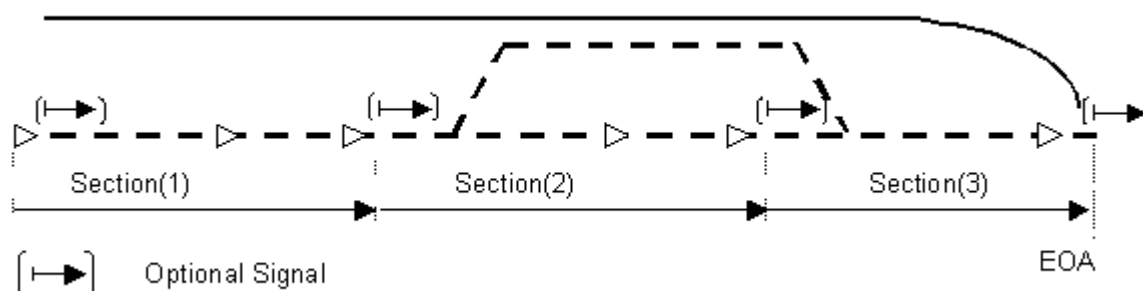
LINKING NOT USE	Linked BG	Unlinked BG
<b>Linking exists</b>	Train Position gives BG position BG becomes LRBG & Downstream Data are up-dated	Train Position gives BG position Additionnal Data are stored
<b>No Linking</b>	Train Position gives BG position BG becomes LRBG & Downstream Data are up-dated	Train Position gives BG position Additionnal Data are stored

### 3.3 BASIC TRACK DESCRIPTION

“Movement Authority”, “Standard Speed Profile” and “Gradient Profile” are the basic element for a Track Description. These data are provided by “Linked BG” or “Un-Linked BG” or by Radio.

#### 3.3.1 Movement Authority

- Section : the MA is composed of several section with optional timer to enter,
- LOA : is the length of MA starting from LRBG, and is terminated by EOA,
  - o must be covered by SSP and Gradient Profile,
- DP : Danger Point is beyond the EOA and permits to approach EOA,
- OL : Overlap is beyond the EOA and requires a locking from some other routes.
- Veoa : is the limit speed related to EOA.
- Vsig : is the maximum speed over MA level 1.



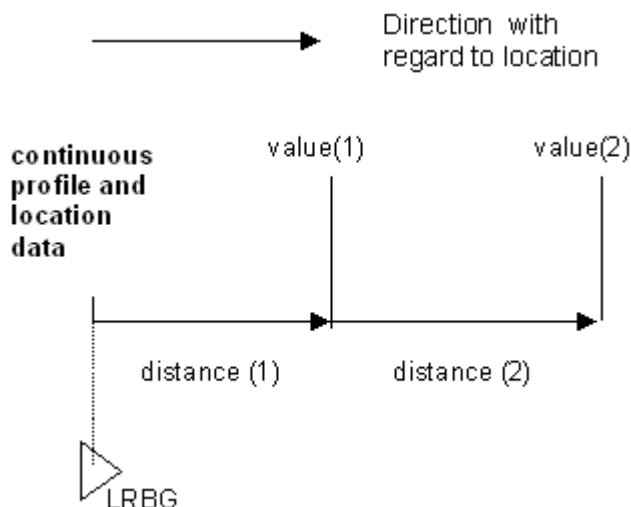
MA level 1 is defined by packet 12 through balise (includes Veoa).

MA level 2/3 is defined by packet 15 through radio (no Veoa).

#### 3.3.2 Standard Speed Profile

SSP is a continuous profile defined by a set of : {distance, value}.

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SSP is defined by packet 27 through balise in level 1.

SSP is defined by packet 27 through radio in level 2/3.

### 3.3.3 Gradients Profile

Gradient Profile is a continuous profile defined by a set of {distance, value}.

Gradient Profile describes the worst case of grade over the maximum length of train, as follows :

- Unit is 1/1000,
- Value positive for up-hill,
- Value negative for down-hill.

Gradient Profile is defined by packet 21 through balise in level 1.

Gradient Profile is defined by packet 21 through radio in level 2/3.

## 3.4 OPTIONAL TRACK DESCRIPTION

The following packets are related to control speed (See Subset-026, Chapter 3.11).

- Axle Speed Profile is defined through packet 51.
- Level Transition Order is defined through packet 41.
- Conditional Level Transition Order is defined through packet 46.
- Temporary Speed Restriction is defined through packet 65.
- TSR Revocation is defined through packet 66.

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- Adhesion factor is defined through packet 71.
- Mode Profile and Related Speed Restriction is defined through packet 80.
- LX Speed Restriction is defined through packet 88.
- Speed Restriction to ensure Permitted Braking Distance is defined through packet 52.
- Track Ahead Free is defined through packet 90.

The following packets are related to DMI (See Subset-026, Chapter 3.12).

- Track Conditions are defined through packet 39, 40, 67, 68, 69.
- Route Suitability is defined through packet 70.
- Text Transmission is defined through packet 72 & 76.
- Geographical Position is defined through packet 79.

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### 3.5 OTHER TRACK TO TRAIN DATA

Most of the following packets are inserted in radio messages and used for miscellaneous functions (See subset-026, chapter 4.8.3).

- Virtual Balise Cover ( packets 0 & 6 ).
- Version ( packet 2 ).
- National Values ( packet 3 ).
  
- SR Distance from loop ( packet 13 ).
- Session Management ( packet 42 ).
- Radio Network Registration ( packet 45 ).
- List of Balises for SH ( packet 49 ).
- List of Balises for SR ( packet 63 ).
  
- MA Request Parameters ( packet 57 ).
- Position Report Parameters ( packet 58 ).
- Inhibition of revocable TSR ( packet 64 ).
  
- RBC Transition Order( packet 131 ).
- Danger for SH ( packet 132 ).
- Radio Infill Area Info ( packet 133 ).
- End Of Loop Management ( packet 134 ).
- Stop Shunting ( packet 135 ).
- Infill Location Reference ( packet 136 ).
- Stop if in SR Mode ( packet 137 ).
- Reversing Area Information ( packet 138 ).
- Reversing Supervision Information ( packet 139 ).
- Train Running Number from RBC ( packet 140 ).
- Default Gradient for TSR ( packet 141 ).
- Session Management with RIU ( packet 143 ).
- Inhibition of BG consistency reaction ( packet 145 ).
  
- Default Balise / Loop / RIU ( packet 254 ).
- End of Telegram / Message ( packet 255 ).

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### 3.6 RADIO COMMUNICATION WITH RBC.

The Radio Communication messages are described in 2 tables hereafter (See subset-026, chap 4.8.3 & chap 3.8.3).

The Radio Communication with the RBC involves Radio Messages in both direction (Train To Track and Track To Train) and uses the same variables as those of Balise Communication.

Then, a list of new Data Packets is defined in order to address the "Track To Train" radio message.

#### 3.6.1 Train To Track Packets for Radio

- Position Report ( packet 0 ).
- Position Report based on two balise groups ( packet 1 ).
- Onboard telephone numbers ( packet 3 ).
- Error Reporting ( packet 4 ).
- Train running number from EVC ( packet 5 ).
- Level 2/3 transition information ( packet 9 ).
- Validated train data ( packet 11 ).
- Data used by applications outside the ERTMS/ETCS system ( packet 44 ).
- End of Telegram / Message ( packet 255 ).

#### 3.6.2 Track to Train Message

Common packet list = 3, 5, 39, 40, 41, 42, 44, 45, 51, 52, 57, 58, 64, 65, 66, 68, 69, 70, 71, 72, 79, 88, 131, 138, 139, 140.

Mess. Id.	Message Name	From	Packets / Variables ( OP = optional packets )
2	SR Authorisation + optional list	RBC	T_TRAIN, M_ACK, NID_LRBG + OP + D_SR ( OP = 63 )
3	Movement Authority	RBC	T_TRAIN, M_ACK, NID_LRBG + OP + 15 ( OP = 21, 27, 49, 80, + common )
6	Recognition of exit from TRIP mode	RBC	T_TRAIN, M_ACK, NID_LRBG
8	Acknowledgement of Train Data	RBC	T_TRAIN, M_ACK, NID_LRBG + T_TRAIN of train
9	Request to Shorten MA	RBC	T_TRAIN, M_ACK, NID_LRBG + OP + 15 ( OP = 49, 80 + common )
15	Conditional Emergency Stop	RBC	T_TRAIN, M_ACK, NID_LRBG + D_EMERGENCY + NID_EM
16	Unconditional Emergency Stop	RBC	T_TRAIN, M_ACK, NID_LRBG + NID_EM
18	Revocation of Emergency Stop	RBC	T_TRAIN, M_ACK, NID_LRBG + NID_EM
24	General message	RBC, RIU	T_TRAIN, M_ACK, NID_LRBG + OP RBC : ( OP = 21, 27 + common )

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Mess. Id.	Message Name	From	Packets / Variables ( OP = optional packets)
			RIU : ( OP = 45, 143, 254 )
27	SH Refused	RBC	T_TRAIN, M_ACK, NID_LRBG + T_TRAIN of train
28	SH Authorisation + optional list	RBC	T_TRAIN, M_ACK, NID_LRBG + T_TRAIN of train + OP ( OP = 3, 44, 49 )
32	RBC/RIU System Version	RBC, RIU	T_TRAIN, M_ACK, NID_LRBG + M_VERSION
33	MA with Shifted Location Reference	RBC	T_TRAIN, M_ACK, NID_LRBG + OP + D_REF + 15 ( OP = 21, 27, 49, 80, + common )
34	Track Ahead Free Request	RBC	T_TRAIN, M_ACK, NID_LRBG + D_REF + D-TAF + L_TAF
37	Infill MA	RIU	T_TRAIN, M_ACK, NID_LRBG + 12 + 136
38	Initiation of a communication session	RBC	T_TRAIN, M_ACK, NID_LRBG (unknown)
39	End of communication session Acknowledgement	RBC, RIU	T_TRAIN, M_ACK, NID_LRBG
40	Train Rejected	RBC	T_TRAIN, M_ACK, NID_LRBG
41	Train Accepted	RBC	T_TRAIN, M_ACK, NID_LRBG
43	SoM position report confirmed by RBC	RBC	T_TRAIN, M_ACK, NID_LRBG
45	Assignment of coordinate system	RBC	T_TRAIN, M_ACK, NID_LRBG + Q_ORIENTATION

### 3.6.3 Train To Track Radio Message

Mess. Id.	Message Name	Toward	Packets / Variables ( OP = optional packets)
129	Validated Train Data	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + 11
130	Request for Shunting	RBC	T_TRAIN, NID_ENGINE + 0 / 1
132	MA Request	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + (OP = 9) + Q_MARQSTRESON
136	Train Position Report	RBC, RIU	T_TRAIN, NID_ENGINE + 0 / 1 + OP= 4, 5, 44
137	Request to shorten MA is granted	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + T_TRAIN of request
138	Request to shorten MA is rejected	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + T_TRAIN of request
146	Acknowledgement	RBC, RIU	T_TRAIN, NID_ENGINE + T_TRAIN of acknowledged message
147	Acknowledgement of Emergency Stop	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + NID_EM + Q_EMERGENCYSTOP
149	Track Ahead Free Granted	RBC	T_TRAIN, NID_ENGINE + 0 / 1
150	End of Mission	RBC	T_TRAIN, NID_ENGINE + 0 / 1
153	Radio infill request	RIU	T_TRAIN, NID_ENGINE + 0 / 1 + NID_C + NID_BG + Q_INFILL



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Mess. Id.	Message Name	Toward	Packets / Variables ( OP = optional packets)
154	No compatible version supported	RBC, RIU	T_TRAIN, NID_ENGINE
155	Initiation of a communication session	RBC, RIU	T_TRAIN, NID_ENGINE
156	Termination of communication session	RBC, RIU	T_TRAIN, NID_ENGINE
157	SoM Position Report	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + Q_STATUS + OP= 4, 5, 44
158	Text message acknowledged by driver	RBC	T_TRAIN, NID_ENGINE + 0 / 1 + NID_TEXTMESSAGE
159	Session Established	RBC, RIU	T_TRAIN, NID_ENGINE + OP

### 3.7 SYSTEM DATA

See subset-026 chap3.18.

#### 3.7.1 Fixed Values

Data are defined in basic software before a compilation.

#### 3.7.2 National Values

Data are transmitted with "Country Number" through packet 3 :

- by balise for all levels,
- by radio for level 2/3.

#### 3.7.3 Train Data

Data must be defined at standstill, before a mission :

- a) Train category(ies)
- b) Train length
- c) Traction / brake parameters
- d) Maximum train speed
- e) Loading gauge
- f) Axle load category
- g) Traction system(s) accepted by the engine
- h) Train fitted with airtight system
- i) List of National Systems available on-board
- j) Intentionally deleted
- k) Axle number

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### **3.7.4 Additional Data**

Data are provided through DMI :

- a) Driver\_ Id
- b) ETCS level
- c) Radio Network identification
- d) RBC Identification
- e) Telephone Number
- f) ETCS Identity
- g) Train Running Number
- h) Adhesion factor

### **3.7.5 Date and Time**

#### **3.7.5.1 UTC**

### **3.7.6 Data view**

TBD.

## **3.8 PRELIMINARY DATA ARCHITECTURE**

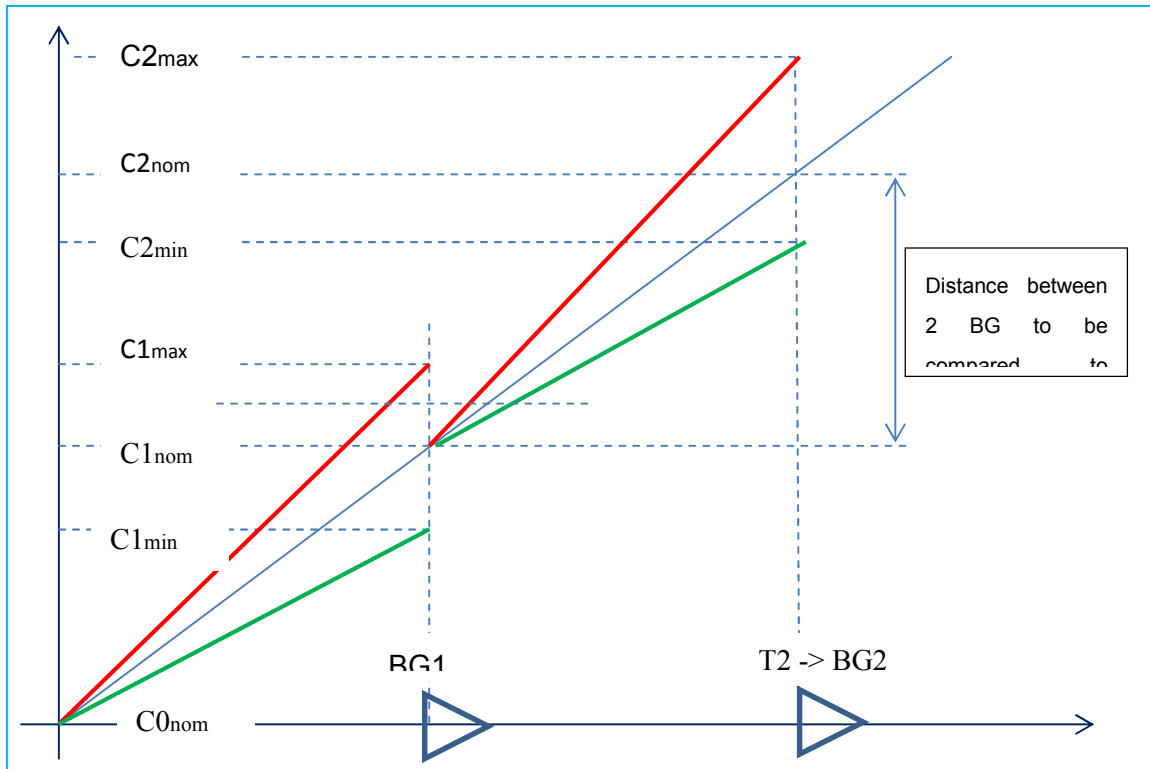
As a conclusion of this chapter, we describe a preliminary architecture of stored data with the following objectives :

- To distinguish data associated with speed control and data additional that are involved in secondary function,
- To distinguish linked balise with unlinked balise,
- To facilitate storage of data coming from balise and radio,
- To make easy the computation associated to speed control..

This preliminary description is achieved into several steps in order to facilitate understanding.

### **3.8.1 Step 0 : Odometry Principles description**

Odometry Model and Train Position Correction are described by a drawing as hereafter :

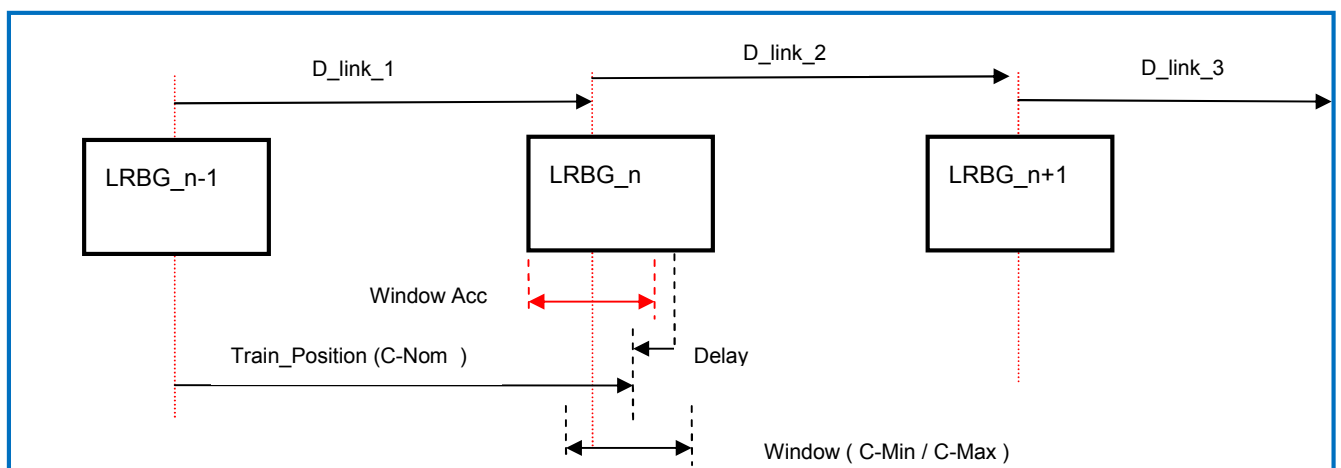


**Comments :**

- Odometry provides a coordinate system through three counters of 32 bits with sign.
- These counters are vital and are set to value "0" on power-up.
- These counters can increase or decrease following train orientation.
- These counters re-start with the nominal value when overpassing a BG.

**3.8.2 Step 1: set of LRBG :**

At this step, we suppose train is meeting only "Linked Balise" and "Linking" is used.  
All linked BG become LRBG and the Database is fill-up as described hereafter :



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Comments :

- Balises must be of type “linked” to become LRBG, unlinked balise cannot become LRBG.
- If Linking was not used, LRBG position should be given by train position when passing over balise without checking.
- As Linking is used, LRBG position is given by “D\_LINK” of package “linking” and train position is checked and restored accordingly with calculated LRBG position.
- If no Linking is provided ( that is linking list dos’nt contain the linked balise group), the “Linked Balise” are ignored.
- “Unlinked Balise” are always taken into account.
- Condition of acceptance for Train\_Position :
  - o  $D\_link + \frac{1}{2} \text{Window-Acc} > \text{Train\_Position} > D\_link - \frac{1}{2} \text{Window-Acc}$
- Condition of acceptance for D\_Link :
  - o  $C\_Max > D\_Link > C\_Min$
  - o  $\text{New Train\_Position} = \text{dbgcenterdetection}$

### 3.8.3 Step 2 : set of Unlinked Balise or linking not used :

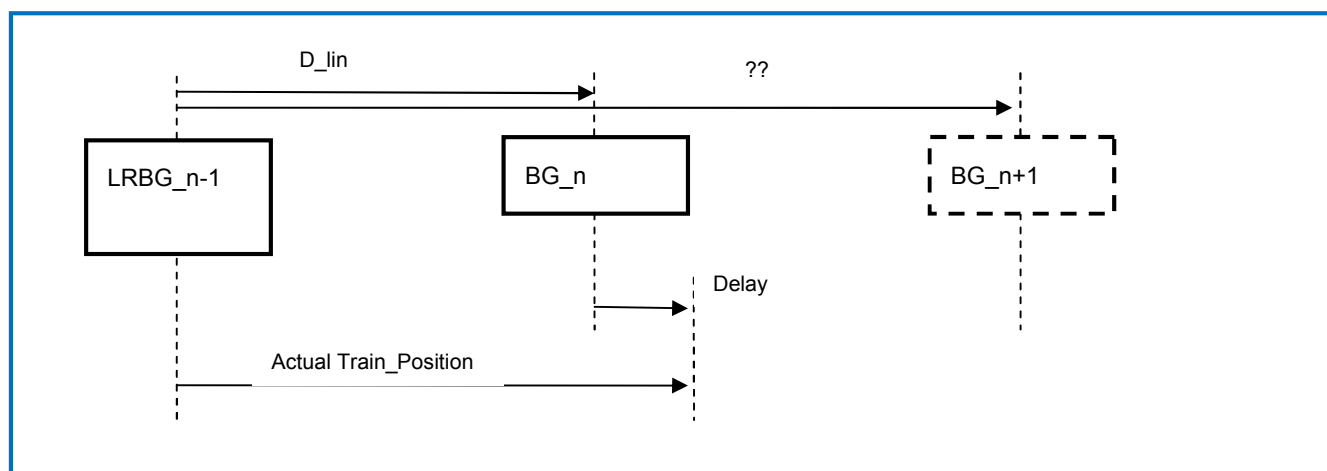
At this step, we suppose :

- Train is meeting “Unlinked Balise”, or, “Linking” is not used.

In any case, the result is :

- BG cannot become LRBG,
- There is no D\_Link excepted if packet 16 is provided,
- BG position is given by train position,
- Associated data are stored with train position as reference.

The Database is fill-up as described hereafter :



Comments :

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- Train receives several Unlinked BG in downstream of LRBG\_n-1.
- If “Linking” is not used, the position of Unlinked BG is :
  - o  $D\_link = Train\_Position - Delay$
  - o All packets are stored as they are received.
  - o Packets are stored at LRBG level (because in harmony with radio message).

### 3.8.4 Step 3 : set of Packets :

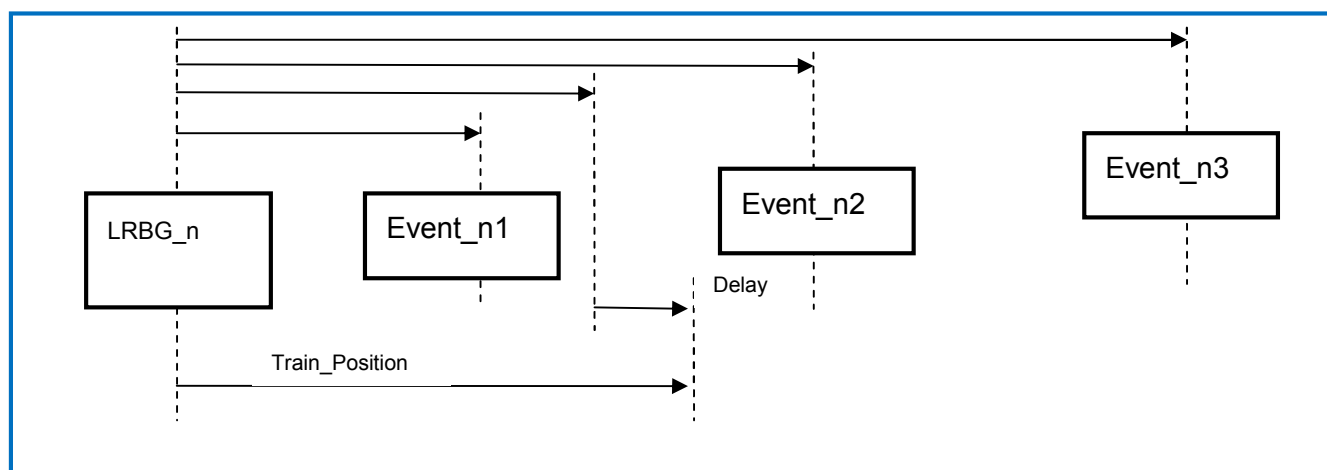
At this step, we deploy all packets that have been stored within each LRBG.

Packets are broken into several “events” that need to be positioned relating to LRBG.

$$Event\_Position = ( Packet\_Position\_relating\_LRBG ) + ( Event\_Position\_relating\_packet )$$

We suppose that enough passed LRBG are stored to take into account all anticipated constraints in downstream of current train position.

The Database is fill-up as described hereafter :



Comments :

- Event “n1” has been overpassed and cannot be swept, excepted by turning buffer process.
- Event “n2” & “n3” are events to come and may be swept and replaced every time memorized packets are changing.

### 3.8.5 Step 4 : Radio Message :

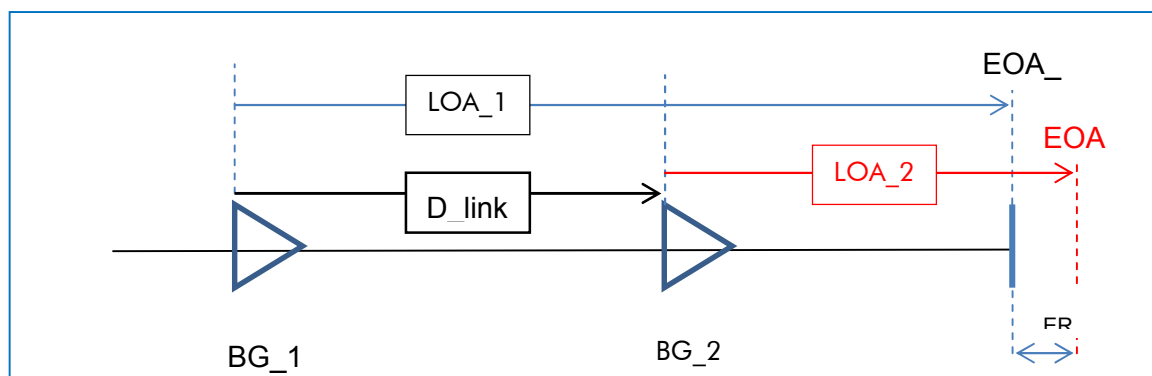
It is anticipated that Radio Communication behaviour is identical to multiple fictive and “unlinked” balise.

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### 3.8.6 Step 5 : Inaccuracy Issues

Inaccuracy issues arise when changing of reference.

Let be the handover between two BG providing the same EOA.



- The BG\_1 provides the target EOA\_1 defined through the MA length LOA\_1.
- The BG\_2 provides the target EOA\_2 defined through the MA length LOA\_2.

Position of BG\_1 and BG\_2 are defined by D\_link with an inaccuracy of +/- Loc\_Acc for each BG.

Length of LOA\_1 and LOA\_2 are defined at “data preparation” level without inaccuracy.

The issue is how to have always : Maximum of “EOA\_1 position” < Minimum of “EOA\_2 position”  
Considering that condition avoids any restrictive situation when approaching the target.

With BG\_1 position taken as reference and Loc\_Acc is the global accuracy related to BG reading.

$$\text{Maximum of "EOA_1 position"} = \text{LOA}_1 + \text{Loc\_Acc}$$

$$\text{Minimum of "EOA_2 position"} = (\text{LOA}_2 - \text{Loc\_Acc}) + (\text{D\_link} - \text{Loc\_Acc}) \quad (1)$$

As result from equation (1) :

$$\text{LOA}_1 + \text{Loc\_Acc} < \text{LOA}_2 - \text{Loc\_Acc} + \text{D\_link} - \text{Loc\_Acc}$$

$$\text{LOA}_1 < \text{LOA}_2 + \text{D\_link} - 3 * \text{Loc\_Acc} \quad (2)$$

D\_Link must verify equation (2) when programming.

**When D\_link does not exist**, odometry measurement is used to measure distance between BG :

$$\text{Minimum of "EOA_2 position"} = (\text{LOA}_2 - \text{Loc\_Acc}) + (\text{O}_{2.\text{min}} - \text{O}_{1.\text{max}})$$

With  $\text{O}_{2.\text{min}} - \text{O}_{1.\text{max}}$  = minimum value of D\_bg

Therefore :

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$$LOA\_1 + Loc\_Acc < ( LOA\_2 - Loc\_Acc) + ( O2.min - O1.max)$$

$$LOA\_1 - LOA\_2 + 2 * Loc\_Acc < ( O2.min - O1.max)$$

$$D\_bg + 2 * Loc\_Acc < D\_bg * (1 - (2 * 5\%))$$

$$Loc\_Acc < D\_bg * 5\%$$

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## 4. PROCESSES OVER DATA

### 4.1 DATA PRELIMINARY OPERATION

In level 1, data are received by telegrams that are transmitted by balises which are grouped by BG of 1 up to 8 balises.

Each balise telegram is composed of 1023 bits which are composed of :

- 110 bits of CRC,
- 83 bits of expansion 11/10 ( 913 -> 830 ),
- only 830 bits are used for data.

In case of simple application, telegrams of 341 bits could be involved as follows :

- 110 bits of CRC,
- 21 bits of expansion 11/10 ( 231 -> 210 ),
- only 210 bits are used for data.

The 830 ( or 210 ) bits of each balise are composed of :

- one header of 50 bits that are used to manage the data of BG and is composed at least of :
  - o one balise group identity,
  - o the number of balises included in the group,
  - o the ranking of each balise,
  - o one redundancy indicator.
- “n” packets of variable size to provide mandatory and optional data, each of them including :
  - o one packet identity,
  - o the length of each packet,
  - o the orientation of validity (normal or reverse).
- one “end” packet.

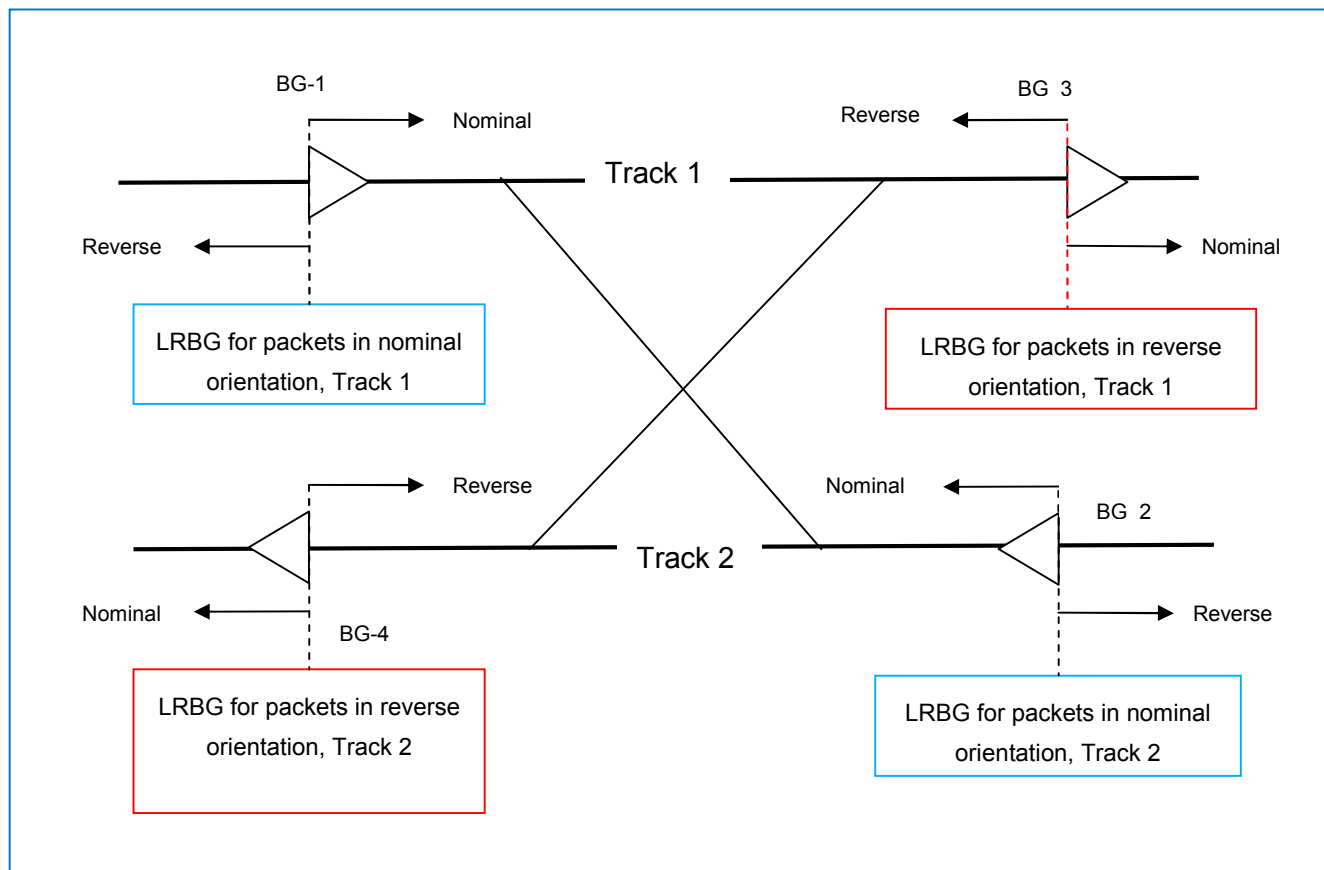
The direction of orientation is given by the order of the balise included in BG :

- Increasing order is “Nominal” orientation,
- Decreasing order is “Reverse” orientation.

Basically, a double track orientation is designed as follows :



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### LRBG Orientation

Comments :

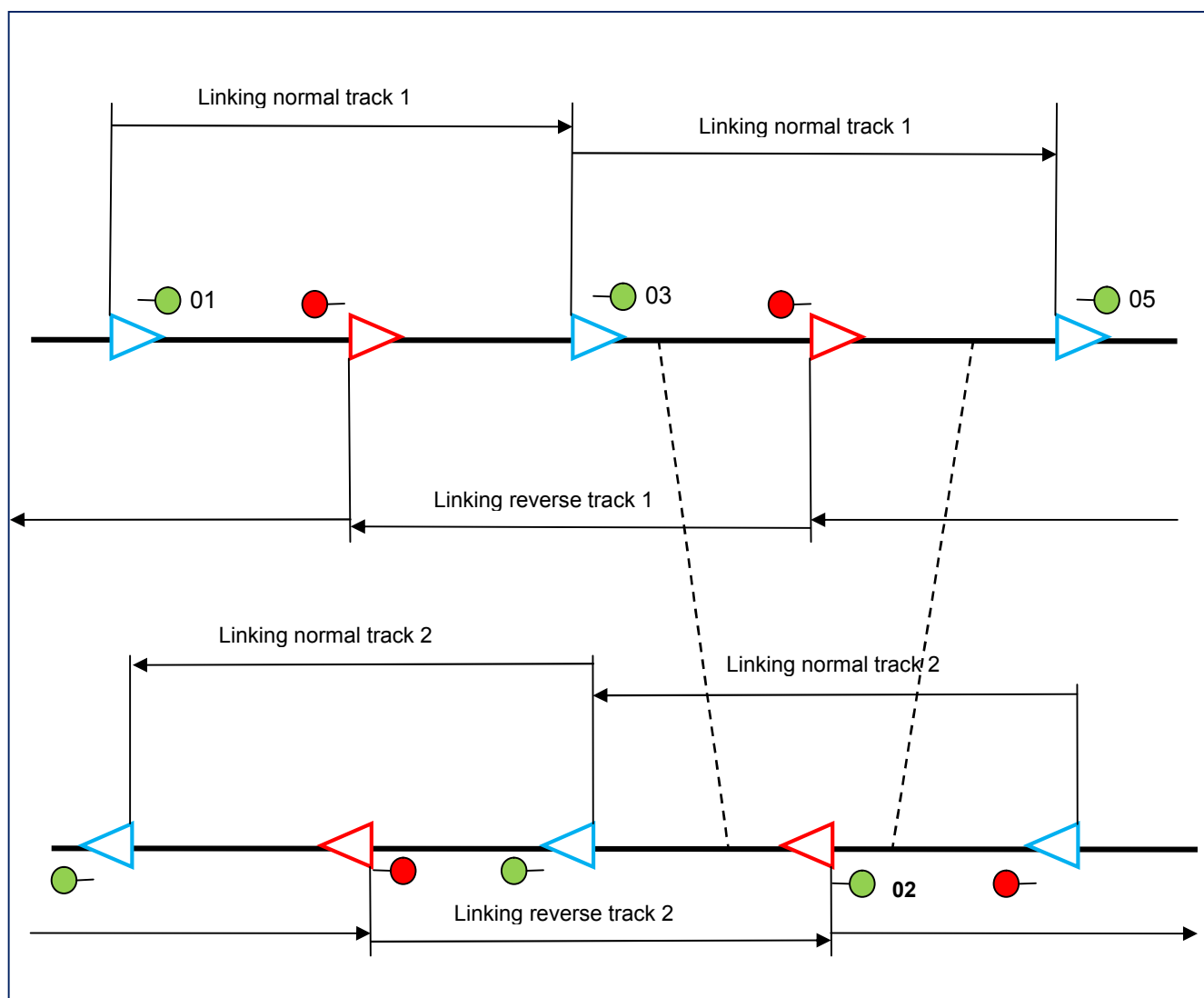
The drawing hereunder shows a double track with “cross over”, following characteristics hereafter :

- track “1” has its nominal orientation from left to right, by convention,
  - o BG\_1 is linked to BG\_3 or further BG to go straight on track\_1,
  - o BG\_1 is linked to BG\_2 or further BG to joint track\_2 in reverse orientation.
- track “2” has its nominal orientation from right to left by convention,
  - o BG\_2 is linked to BG\_4 or further BG to go straight on track 2,
  - o BG\_2 is linked to BG\_1 or further BG to joint track\_1 in reverse orientation.

Notice that any BG can provide data packets for both orientation, in case of run in both orientation.

But in presence of signal, each BG must be dedicated to one orientation at a time, as hereafter :

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### LRBG on a cross-over

#### Comments :

The drawing hereunder shows a double track with dedicated BG for each signal (recommended) :

- In normal situation :
  - BG blue are linked together in normal orientation and signals are green.
  - BG red are linked together in reverse orientation and signals are red.
- In case of route going from "track\_1" to "track\_2" :
  - BG\_03 is linked to BG\_02,
  - Train can run over track\_2 in reverse direction, once signal\_02 is green.

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## 4.2 STORAGE OF DATA

### 4.2.1 General Consideration

A Database Architecture needs to be defined in order to classify and to compute data.

All packets must be stored in the database with the following consideration :

- All packets available in nominal orientation (Q\_DIR = nominal) need to be ordered if :
  - o Train orientation is nominal (Q\_DIRLRBG = nominal) when passing over LRBG or,
  - o If radio track-to-train message refers to a LRBG whose directionality is nominal, and
  - o If train is moving ahead (Q\_DIRTRAIN = forward).
- All packets available in reverse orientation (Q\_DIR = reverse) need to be ordered if :
  - o Train orientation is reverse (Q\_DIRLRBG = reverse) when passing over LRBG or,
  - o If radio track-to-train message refers to a LRBG whose directionality is reverse, and
  - o If train is moving ahead (Q\_DIRTRAIN = nominal).
- If train is moving in reverse (Q\_DIRTRAIN = backward), no data is stored.
- A maximum of 8 balises must be stored in memory.
- Linking is involved to insure continuity between LRBG and to permit switching between nominal and reverse orientation, in case of double direction of traffic.
- In case of repeat, packet needs to be repeated as much as necessary.
- A Data Ranking is necessary before computation of other functions (MRSP, Integration of brake energy and gravity, etc...).
- A Completeness Checking consists in verifying that no mandatory data is missing : gradient and SSP are defined up to EOA.

A full definition of Q\_DIRLRBG, Q\_DIRTRAIN, Q\_DLRBG and Q\_DIR is given in chapter 4.4.

### 4.2.2 Data Stored on-board

Data are stored or rejected following filters specified in SRS-026 chapter 4.

Accepted data are dispatched following table hereafter with a given destination that could be :

- |                      |  |
|----------------------|--|
| - System Data :      | Part of Database where are stored parameters.                |
| - Dynamic Database : | Database with a turning buffer,                              |
| - Train Position :   | Set of variables related to "Loc-Report".                    |
| - Level and Mode :   | Set of variables related to Mode and Level,                  |
| - TSR Function :     | Set of variables related to TSR.                             |
| - Radio Function :   | Set of variables related to dialog "trackside / trainborne". |

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- Dedicated Function : Set of dedicated variables.

DATA	DESTINATION
National Values	System Data
Linking	Dynamic Database + Train Position
Movement Authority	Dynamic Database
Gradient Profile	Dynamic Database
International SSP	Dynamic Database
Axle load speed profile	Dynamic Database
STM max speed	Dynamic Database
STM system speed/distance	Dynamic Database
Level Transition Order	Level Function
Stop Shunting on desk opening	Dedicated Function
List of balises for SH area	Dedicated Function
MA Request Parameters	Dedicated Function
Position Report parameters	Dedicated Function
List of Balises in SR Authority + SR mode speed limit and distance	Dedicated Function
Temporary Speed Restrictions	TSR Function
Inhibition of revocable TSRs from balises in L2/3	TSR Function
Default Gradient for TSR	TSR Function
Signalling related Speed Restriction	Dynamic Database
Route Suitability Data	Dedicated Function
Plain Text Information (location based)	Dedicated Function
Plain Text Information (not location based)	Dedicated Function
Fixed Text Information (location based)	Dedicated Function
Fixed Text Information (not location based)	Dedicated Function
Geographical Position	Dedicated Function
Mode Profile	Mode Function
RBC Transition Order	Dedicated Function
Radio Infill Area information	Dedicated Function
EOLM information	Dedicated Function
Track Conditions excluding big metal masses	Dedicated Function
Track condition big metal masses	Dedicated Function
Unconditional Emergency Stop	Radio Function

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DATA	DESTINATION
Conditional Emergency Stop	Radio Function
Train Position	Radio Function
Train Data	Radio Function
Adhesion factor	Dynamic Database
ERTMS/ETCS level	Level Function
Table of priority of trackside supported levels	Level Function
Driver ID	Radio Function
Radio Network ID	Radio Function
RBC ID/Phone Number	Radio Function
Train Running Number	Radio Function
Reversing Area Information	Train Position
Reversing Supervision Information	Train Position
Track Ahead Free Request	Radio Function
Level Crossing information	Dynamic Database
Permitted Braking Distance Information	Dynamic Database
RBC/RIU System Version	Dedicated Function
Operated System Version	Dedicated Function
Language used to display information to the driver	Dedicated Function
Virtual Balise Covers	Dedicated Function

### 4.3 UPDATE OF DATA

Purge of Data : is automatic by saving the last 8 LRBG.

Save of Data : same as purge, thanks to saving the last 8 LRBG, taking in consideration that a very long train may need 8 LRBG when crossing over a complex station.

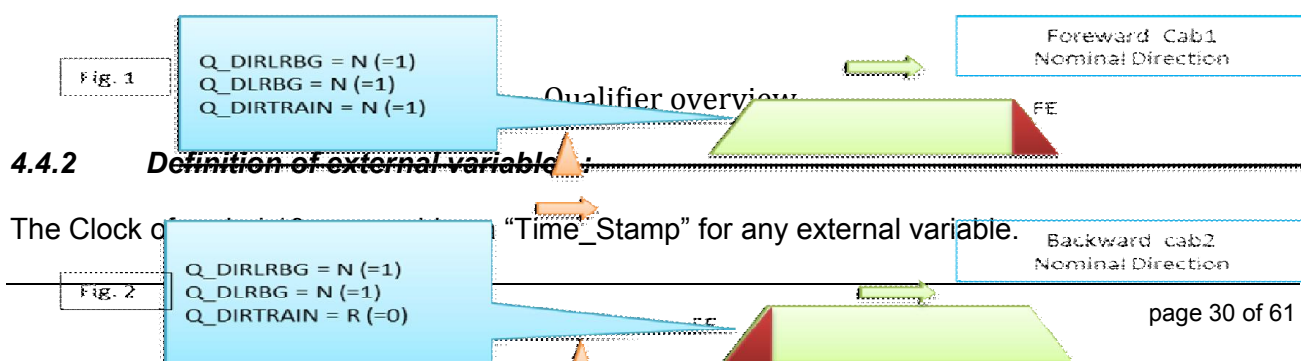
Reference of Data Distance : LRBG position is still used as reference of Data Distance. But LRBG is by definition continuously changing and cautions are taken, related to inaccuracies of position and detection (see chapter 3.8.6).

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## 4.4 TRAIN POSITIONING AND LINKING

### 4.4.1 Definition of internal variables :

- NID\_LRBG : identifier of reference BG, can be over 24 bits or 14 bits.
- D\_LRBG : distance to reference BG is without sign or orientation.
- Q\_DIR :
  - o **qualifier of direction**, attached to most of track-to-train data packet ,
  - o must be in compliance with Q\_DIRLRBG to take into account the data,
  - o does not exist for train-to-track data packet,
- Q\_DIRLRBG : **qualifier of orientation**,
  - o is defined by the order in which balise group is read :
    - 1, 2, 3.. is nominal,
    - 3, 2, 1 is reverse.
  - o decoded when overpassing the BG providing the data packets (level 1),
  - o or qualifier decoded when taking into account LRBG (level 2/3),
- Q\_DIRTRAIN : **qualifier of running**,
  - o determined on-board by cabine number and odometry counter,
  - o for instance, nominal is :
    - Front End is cabine1 + counter increasing,
    - or Front End is cabine 2 + counter decreasing,
  - o and reverse is :
    - Front End is cabine1 + counter decreasing,
    - or Front End is cabine 2 + counter increasing,
- Q\_DLRBG : **qualifier of position**,
  - o indicate on which side of LRBG is the Front End :
    - should be equal to DIR\_LRBG if no reverse running,
    - but can be changed after a reverse running.
- Q\_LINKORIENTATION : **advance qualifier of orientation**,
  - o is given by linking packet.



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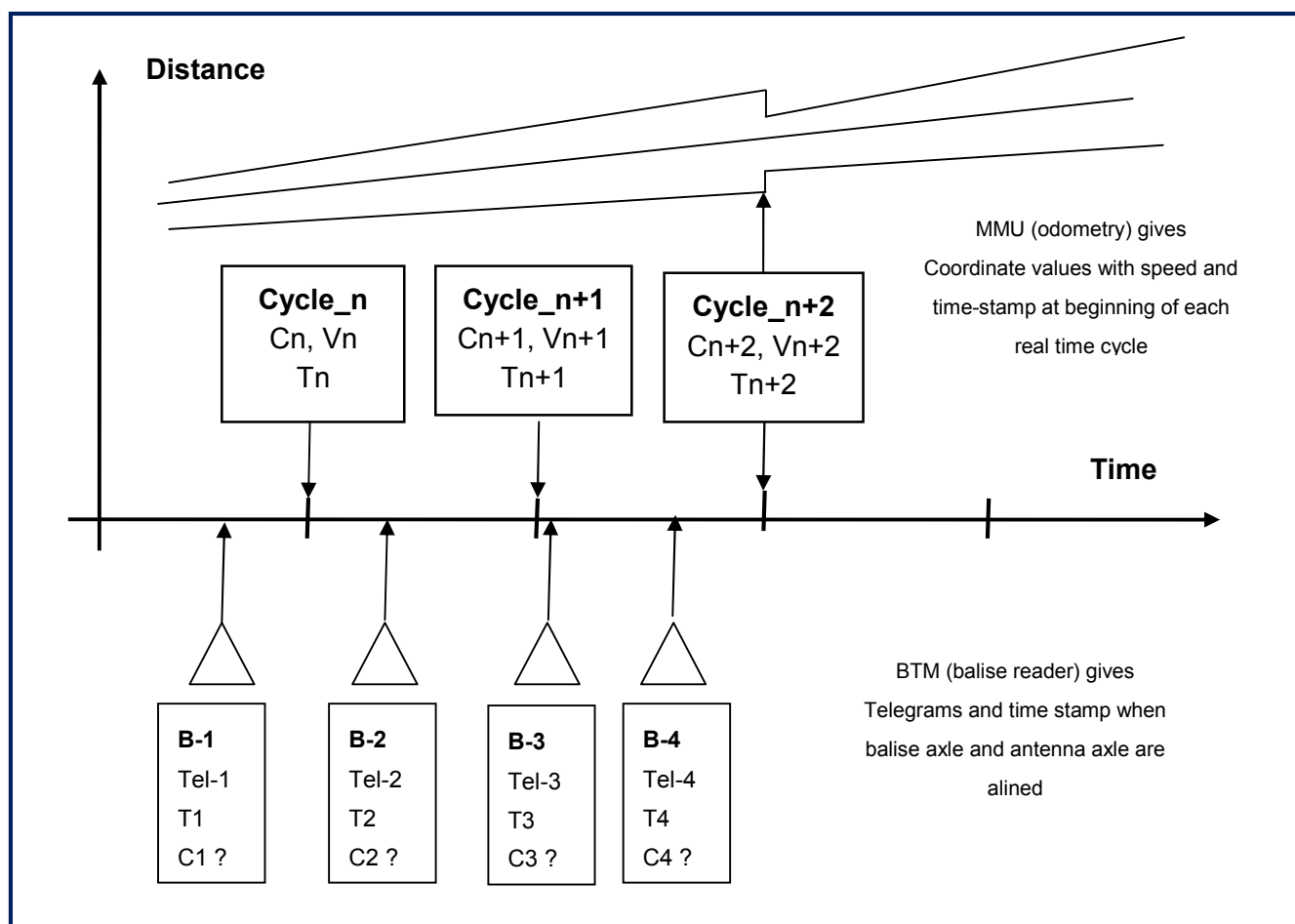
The MMU (odometry) provides the following MMU\_Data at the beginning of each real time cycle :

- Coordinate : 3 absolute counters of distance :
  - o C\_estimate : nominal estimated value, so-called "Cn",
  - o C\_doubt-over : maximal value, so-called "Cmax",
  - o C\_doubt-under : minimal value. so-called "Cmin".
- Speed : vital speed so-called "Vn",
- Acceleration : not vital (?) so-called "Acc",
- Motion\_State : vital boolean so-called "Motion",
- Motion\_Direction : vital boolean so-called "Q\_DIRTRAIN",
- Time\_Stamp : Timer value "Tn" when evaluating MMU Data ( Cn, Vn, Tn).

The BTM ( balise\_reader) provides 1 up to 8 telegrams composed of :

- Telegram Tel\_k, with k=1..8,
- Time\_Stamp Tk, with k=1..8.

The timing is described hereafter with 4 balises read over 3 real-time cycles :



Objective is to estimate Coordinate values at each balise axle.

- Cycle "n" : LRBG is positionned by C0,
  - o MMU provides Cn, Vn, Tn,
  - o BTM has provided Tel-1, T1,
  - o Balise B1 position is :  $C1 = Cn - Vn * (Tn - T1)$ ,
  - o Compute C1 with Doubt-Over and Doubt-Under.
  
- Cycle "n+1" : LRBG is still positionned by C0,
  - o MMU provides Cn+1, Vn+1, Tn+1,
  - o BTM has provided Tel-2, T2,
  - o Balise B2 position is :  $C2 = Cn+1 - Vn+1 * (Tn+1 - T2)$ ,
  - o Compute C2 with Doubt-Over and Doubt-Under.



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- Cycle “N+2” : LRBG is still positionned by C0,
  - MMU provides  $C_{n+2}$ ,  $V_{n+2}$ ,  $T_{n+2}$ ,
  - BTM has provided Tel-3, Tel-4, T3, T4,
  - Balise B3 position is :  $C3 = C_{n+2} - V_{n+2} * (T_{n+2} - T3)$ .
  - Balise B4 position is :  $C4 = C_{n+2} - V_{n+2} * (T_{n+2} - T4)$ ,
  - Compute C3 and C4 with Doubt-Over and Doubt-Under.
  
- IF (Tel-1, Tel-2, Tel-3, Tel-4) are all consistant THEN :
  - Balise B1 becomes LRBG,
  - Reference position is now C1 instead of C0,
  - Order is given to MMU to restore inaccuracy at minimum,
  - Message composed of { Tel-1, Tel-2, Tel-3, Tel-4 } can be stored.

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## **4.5 EMERGENCY STOP**

See subset-026 chap3.10. Only in level 2.

## **4.6 SPEED AND DISTANCE MONITORING**

### **4.6.1 *Computation of Deceleration and Brake Build up Time***

See subset-026 chap3.13.6.

### **4.6.2 *MRSP computation***

See subset-026 chap3.13.7.

### **4.6.3 *Computation of Target and Curves***

See Excel Model on EB curves.

### **4.6.4 *Supervision Limits Computation***

4.6.4.1 Ceiling Speed Monitoring

4.6.4.2 Target Speed Monitoring

4.6.4.3 Release Speed Monitoring

## **4.7 PROTECTION AGAINST UNDESIRABLE MOVEMENT**

### **4.7.1 *Roll Away***

### **4.7.2 *Reverse***

### **4.7.3 *Standstill***

## **4.8 MODE MANAGEMENT**

See State Machine Diagram of Intercity project.

## **4.9 BRAKE COMMAND HANDLING**

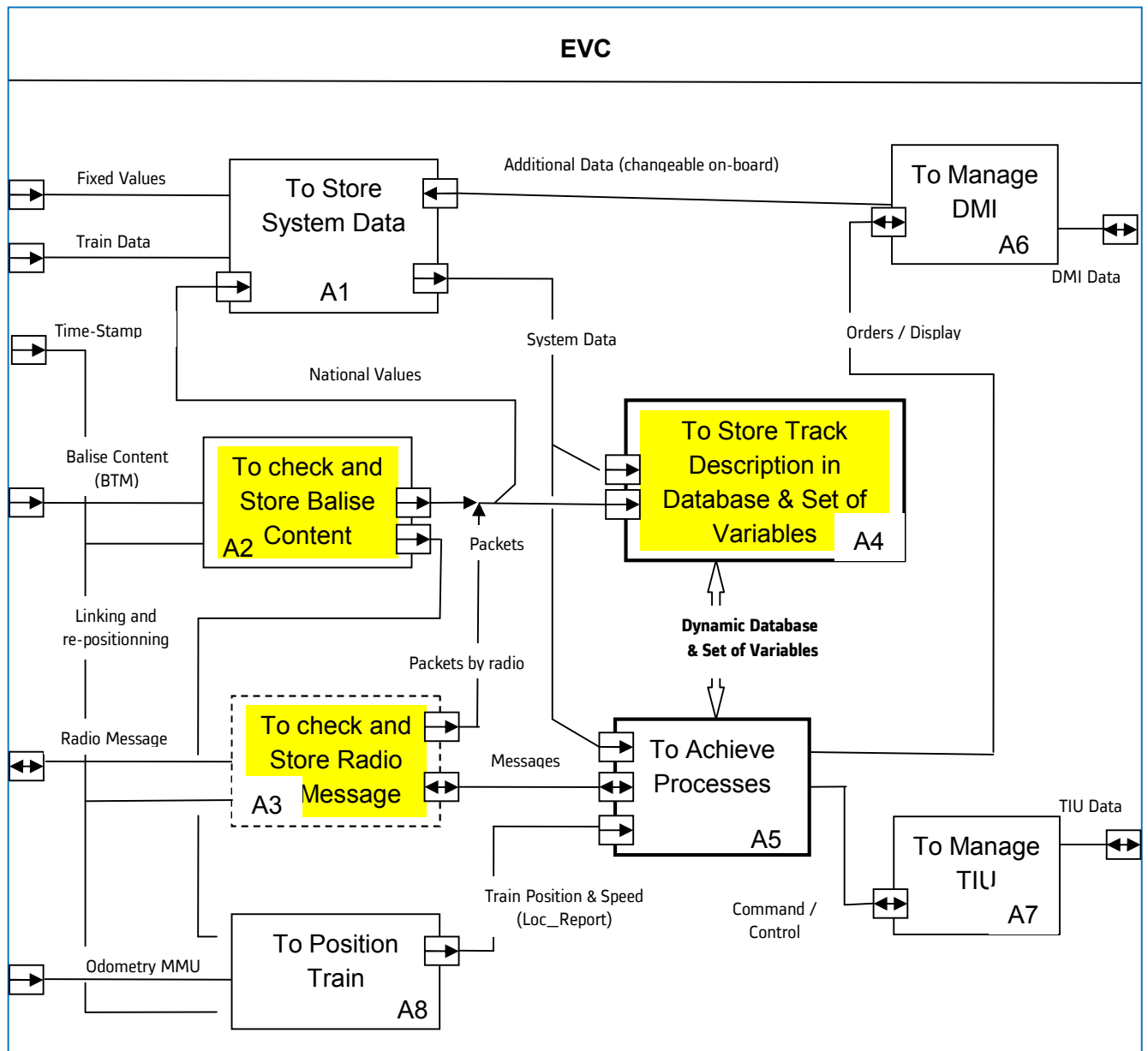
## **4.10 SPECIAL FUNCTION**

## **4.11 VERSION MANAGEMENT**

## 5. SYSMML FIRST DRAFT

### 5.1 FIRST LEVEL IBD

Hereafter is a SysML “IBD” first draft of EVC , with boxes out of Scade Control in yellow.:



#### IBD “EVC” of First Level

Boxes A1, A2, A3 and A4 are dedicated to data management with the objective of restauring expensed database..

Boxe A5 is dedicated to define all processes.

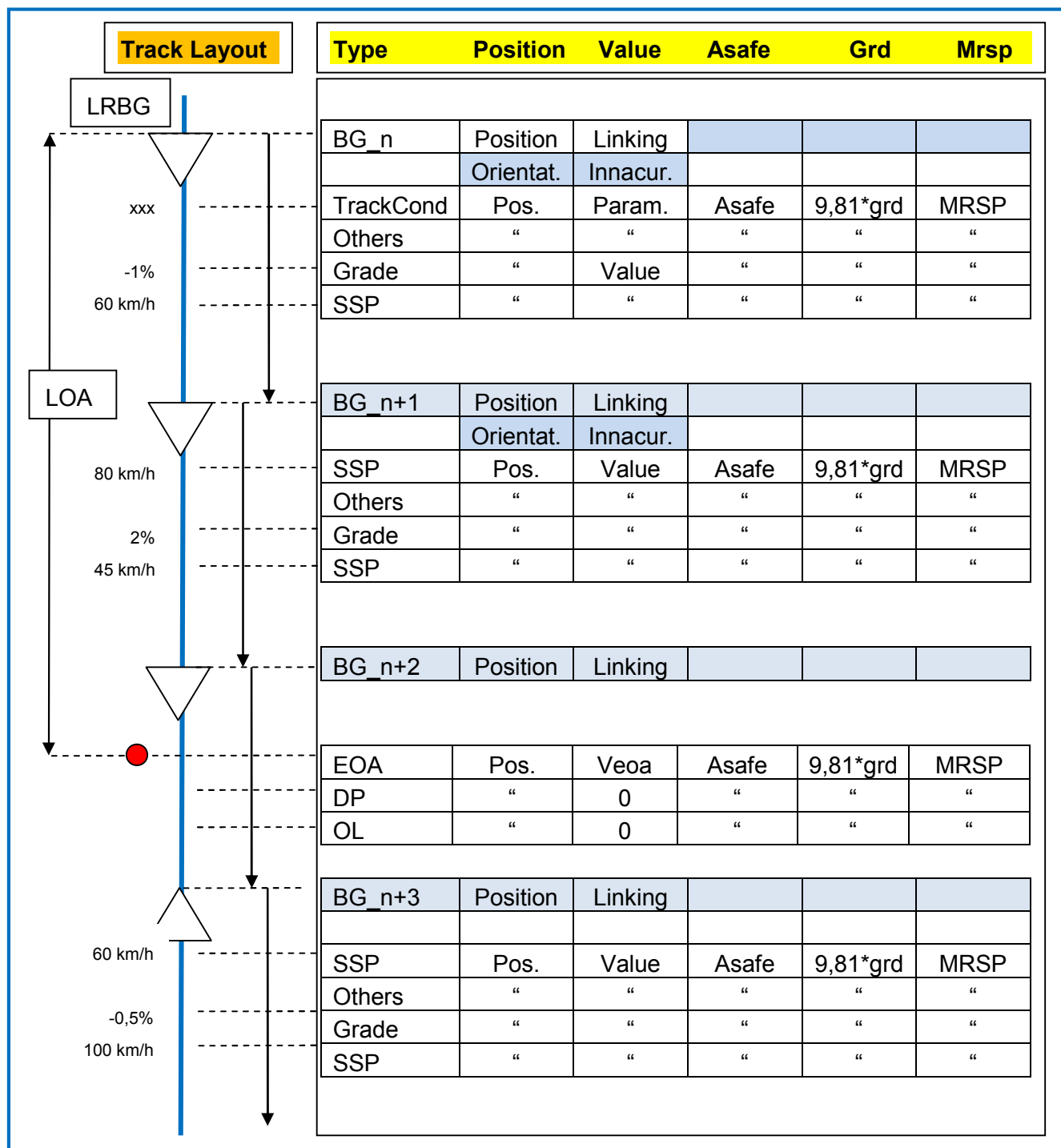
Boxes A6, A7 and A8 are technical function related to devices.

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## 5.2 SECOND LEVEL

Both boxes **“To Check and Store Balise Content”** and **“To Store Track Description in Database”** are using the schematic dynamic database matrix as follows :

### 5.2.1 General



Dynamic Database of “EVC”

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### 5.2.2 Comments

- Assuming the hereunder matrix, the convention is :
- 
- Direction of travel is top to down, whatever is the direction of travel or orientation,
- Dimension is enough to keep in memory :
  - the data related to the last 8 passed balises,
  - and the data related to 8 linked balises as anticipation,
  - only packets in correct orientation are stored.
- The 3 first columns are filled by packet data :
  - Type is packet identity,
  - Position is distance from related LRBG,
  - Value is data related to the type,
  - In case of iteration, as much lines as necessary are filled up,
  - Line are classified by increasing position in relation with orientation.
- The 3 other columns are computed once classification is achieved :
  - Asafe : emergency brake deceleration, can be a function of speed ) and other data (adhesion factor),
  - Grd : gravitation acceleration or deceleration, depend on related packet (gradient),
  - Mrsp : depends on numerous packet.
- Other columns can be added for energy computation.
- Assuming that message received through BG\_n is acting as LRBG :
  - Linking packet is covering 3 BG (BG\_n+1, BG\_n+2, BG\_n+3),
  - MA packet defines an EOA close to BG\_n+2
  - BG\_n+3 is in reverse orientation, meaning a possible change of track,
  - SSP and Gradient packets are enough to cover the track over the EOA (consistency criteria),
  - EOA indicates that route signal is at stop.
  - Assuming later on, when overpassing the BG\_n+1 acting as new LRBG :
- Linking is verified by Identity and Position regarding the permitted window,

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### 5.2.3 *Management of “Balises Linked” :*

It is assumed that “Linked Balises” authorise the following features :

- when **any linked balise** is overpassed and consistent, a re-positioning operation is proceeded with cancellation of positioning error (max- min = 1 meter) and this balise becomes the new origine of distance (new LRBG) for further computations.
- “Linking Information” may be used or not. If not used, (not present), no checking of position is achieved when error is cancelled.
- **passed information** remained unchanged in order to take them into account as long as necessary,
- in level 1, **downstream information** are refreshed as soon as new LRBG is set in place.
- in level 2, **downstream information** remained unchanged up to radio response with a new track description related to new LRBG.
- in case of TSR, information packet need to be set again as long as no revocation has occurred.

### 5.2.4 *Management of “Balises Unlinked” :*

It is assumed that “Unlinked Balises” does not authorise the following features :

Balise groups, which are marked as unlinked, shall never be used as LRBG

Justification: The location of an unlinked balise group, or the balise group itself, may not be known to the RBC

### 5.2.5 Algorithm of Box A2

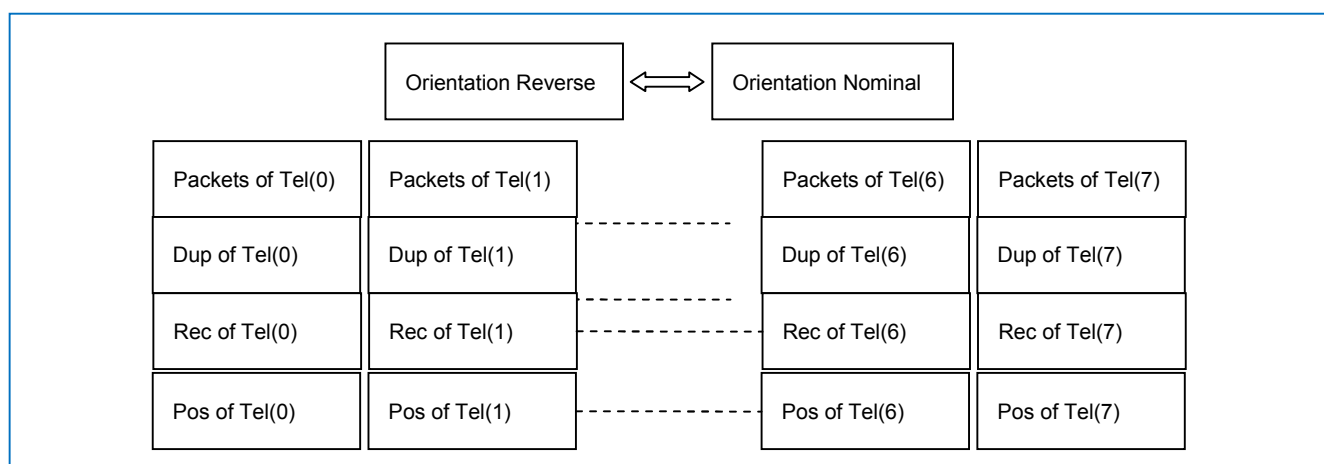
Compressed data are split in telegram whose structure permits to recover decompressed data.  
Telegram structure is : one header of 50 bits followed by one set of data of 780 bits (or 160 bits).  
This algorithm is part of data decompression and should not be under the control of Scade.  
This algorithm is activated at each real time cycle.

Inputs are :

- Cn is the distance counter at cycle "n", coming from MMU,
- Vn is the speed at cycle "n", coming from MMU,
- Tn is the time counter at cycle "n", coming from Clock,
- "k" is the ranking (ie : order of receiving) for one received telegram and can be 1 up to 8,
- Tel(k) is the telegram "k", coming from BTM,
- T(k) is the date of telegram "k", coming from BTM,

Outputs are :

- Id-BG = Identifier of BG is composed of NID-C and NID-BG
- Orient-BG = { Nominal / Reverse } is used to filter packets of same orientation
- Q-Link = { (0 : not linked), (1 : linked) }
- Mess-BG = vector of telegram received at real time cycle "n",
- Each vector Mess-n is composed of 8 records composed of :
  - Set of data packets = { (Ident, Size, Data)...}
  - Dup = { (00 : no\_dup) / (01 : next\_dup) / (10 : prev\_dup) / (11 : spare ) }
  - Rec = { (0 : no ) / (1 : yes) }
  - Pos = Counter of distance



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### “Compilation of telegram(s) into one message (A2) ”

Parameters related to position needs to be computed as follows :

- Pos(k) is the computed position when receiving telegram “k” :

$$\text{Pos}(k) := C_n - V_n \cdot (T(k) - T_n)$$

Parameters related to telegram needs to be extracted from header :

N\_PIG(k) : position in the group of telegram whose ranking is “k”, position can be 0 up to 7,

N\_PIG(1) = position of 1<sup>st</sup> balise = 0 in nominal orientation (last in reverse),

N\_TOTAL : total number of telegram whatever is “k”, can be 0 up to 7.

N\_TOTAL = position of 1<sup>st</sup> balise = Nbm1 in reverse orientation (last in nominal),

M\_DUP(k) : duplication indicator of telegram “k” : 01 for next in nominal / 10 in reverse,

M\_MCOUNT(k) : telegram fitting,

NID\_BG(k) : identifier of LRBG, permits to detect change of BG,

Q\_LINK(k) : link indicator.

Criteria of acceptance for any telegram :

First-balise criteria : change of M\_COUNT                      THEN Mess(n) = EMPTY for n=0..7

Nominal direction criteria :  $C_n = (N\_PIG(1) = 0)$  OR  $[(N\_PIG(1) = 1) \text{ AND } (M\_DUP(1) = 10)]$ .

Reverse direction criteria :  $C_r = (N\_PIG(1) = Nbm1)$  OR  $[(N\_PIG(1) = Nbm1-1) \text{ AND } (M\_DUP(1) = 01)]$ .

Current-balise criteria :  $0 \leq N\_PIG(k) \leq Nbm1$       THEN Mess(N\_PIG(k)) = Tel(k)

Nominal Last-balise criteria :  $(N\_PIG(k) = Nbm1)$  is End-BG in nominal

Reverse Last-balise criteria :  $(N\_PIG(k) = 0)$  is End-BG in reverse

Criteria of completeness :

When End-BG is true, we should study all case in both nominal and reverse orientation with duplication only authorised for odd number of balise :

Case no balise : Complete\_BG := false

Case 1 balise : Complete\_BG :=  $\text{Rec}(0) \text{ AND } (\text{Dup}(0)=00)$

Case 2 balises : Complete\_BG :=  $\{ \text{Rec}(0) \text{ AND } [ \text{Rec}(1) \text{ OR } (\text{Dup}(0)=01) ] \}$   
OR  $\{ \text{Rec}(1) \text{ AND } [ \text{Rec}(0) \text{ OR } (\text{Dup}(1)=10) ] \}$

Case 3 balises : Complete\_BG :=  $\text{Rec}(0) \text{ AND } \text{Rec}(1) \text{ AND } \text{Rec}(2)$

Case 4 balises : Complete\_BG :=  $\{ \{ \text{Rec}(0) \text{ AND } [ \text{Rec}(1) \text{ OR } (\text{Dup}(0)=01) ] \}$   
OR  $\{ \text{Rec}(1) \text{ AND } [ \text{Rec}(0) \text{ OR } (\text{Dup}(1)=10) ] \} \}$   
AND  $\{ \{ \text{Rec}(2) \text{ AND } [ \text{Rec}(3) \text{ OR } (\text{Dup}(1)=01) ] \}$   
OR  $\{ \text{Rec}(3) \text{ AND } [ \text{Rec}(2) \text{ OR } (\text{Dup}(3)=10) ] \} \}$

Etc..

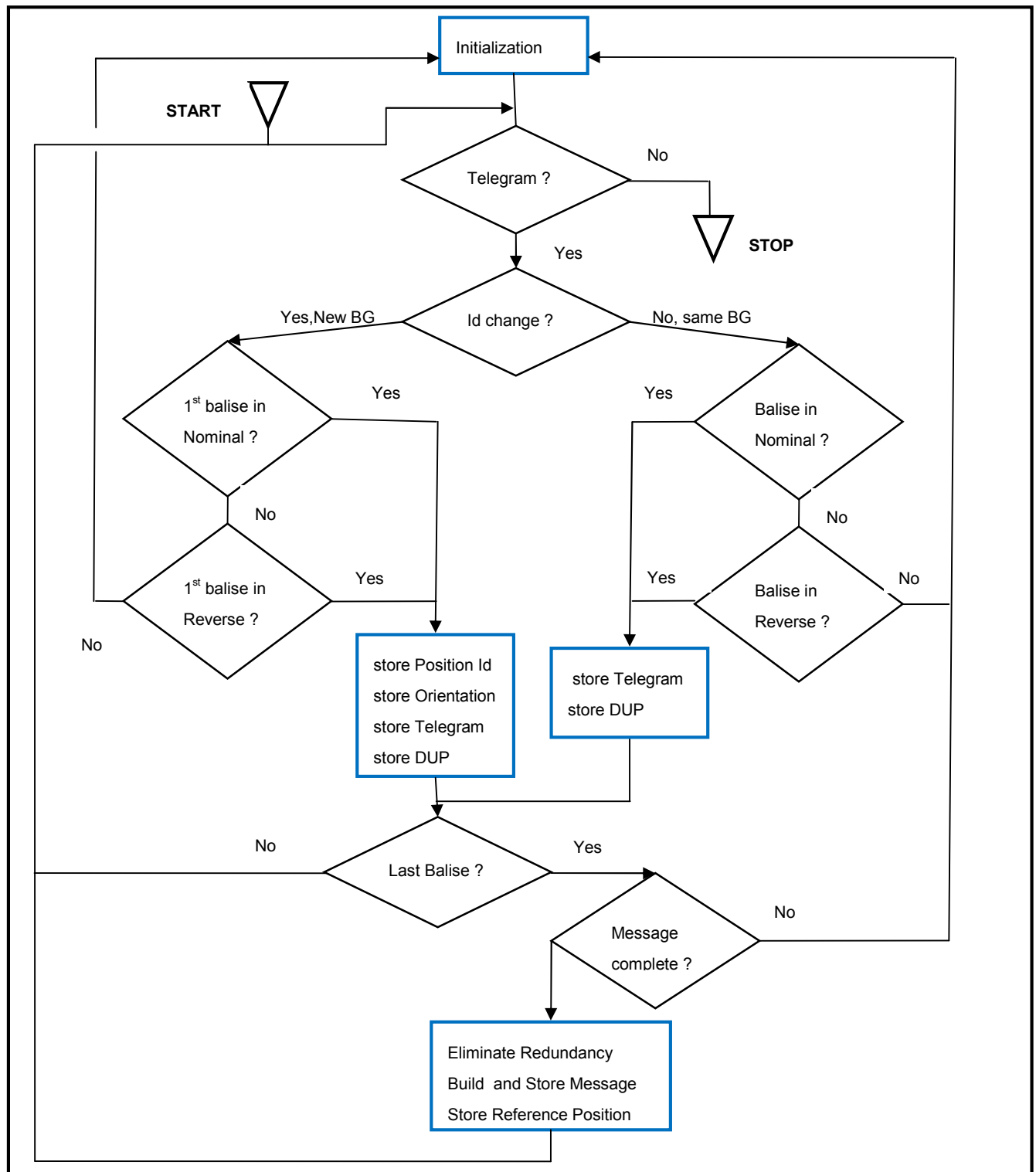


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Criteria of cancellation of duplication when End-BG is true :

Each time a telegram has been received with Dup=01, the next telegram has to be cancelled in order to avoid replication within database.

The algorithm hereafter permits to build a complete message by receiving telegram by telegram.



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### **“To Chek and Store Balise Telegram (A2) ”**

Notice 1 :The test “Id. Change ?” includes:

- A change of NID\_BG,
- A change of NID\_Country,
- A distance between balise over 5 m,
- A distance between balise lower 3m.

Notice\_2 : The test “1<sup>st</sup> balise” includes :

- N\_PIG is equal “1”
- N\_PIG is equal “2” if redundancy of balise 1.

#### **5.2.6      *Algorithm of Box A3***

With radio, each message is received in one piece (no telegram). .

It exists various types of radio messages

All of them are composed of :

- Identifier of message,
- Dedicated variables,
- Standard packets.

#### **5.2.7      *Algorithm of Box A4***

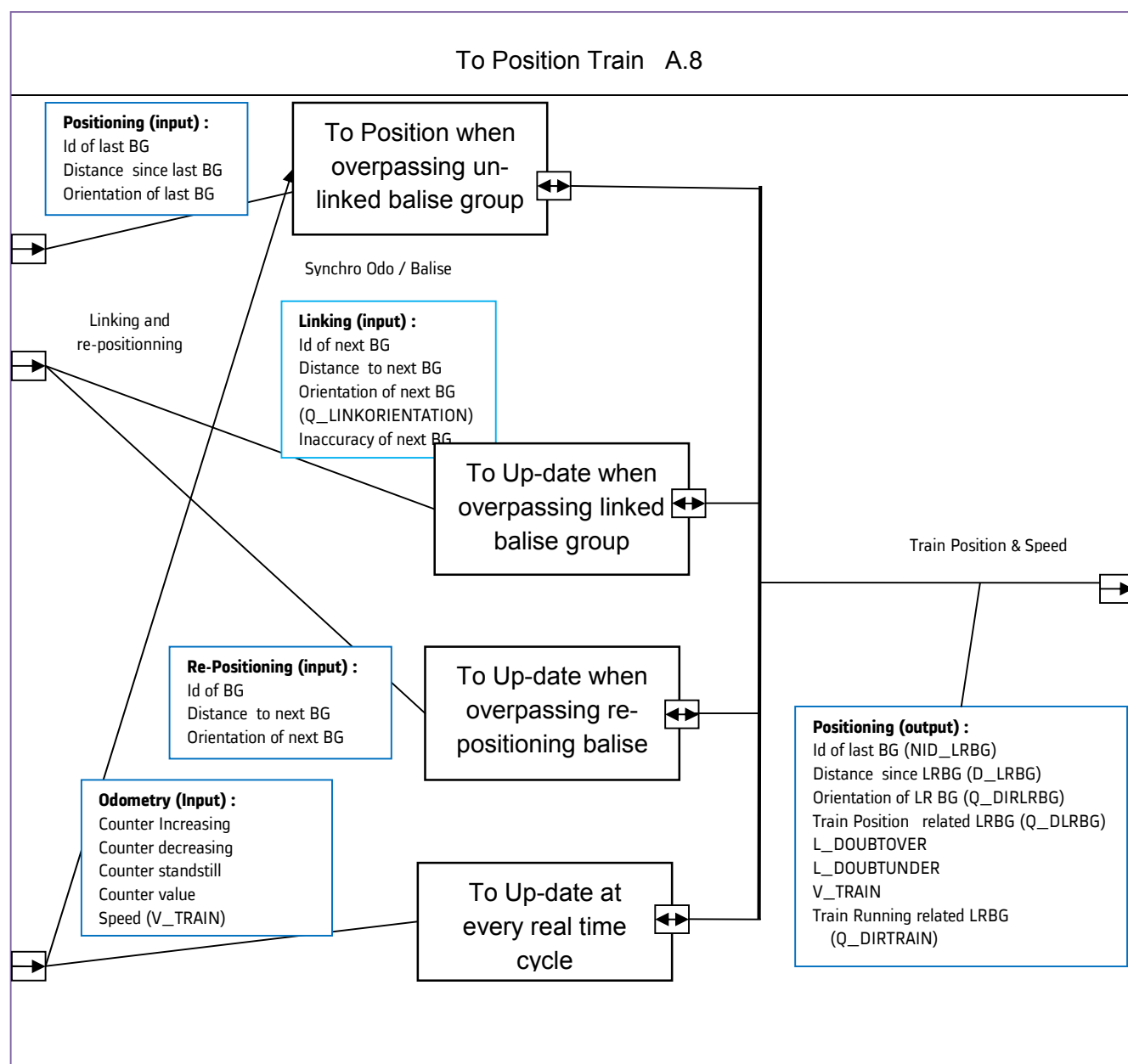
TBD

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### 5.3 To POSITION TRAIN

To see what is done by other partners...

A proposed draft is given hereafter :



**“To Position Train”**

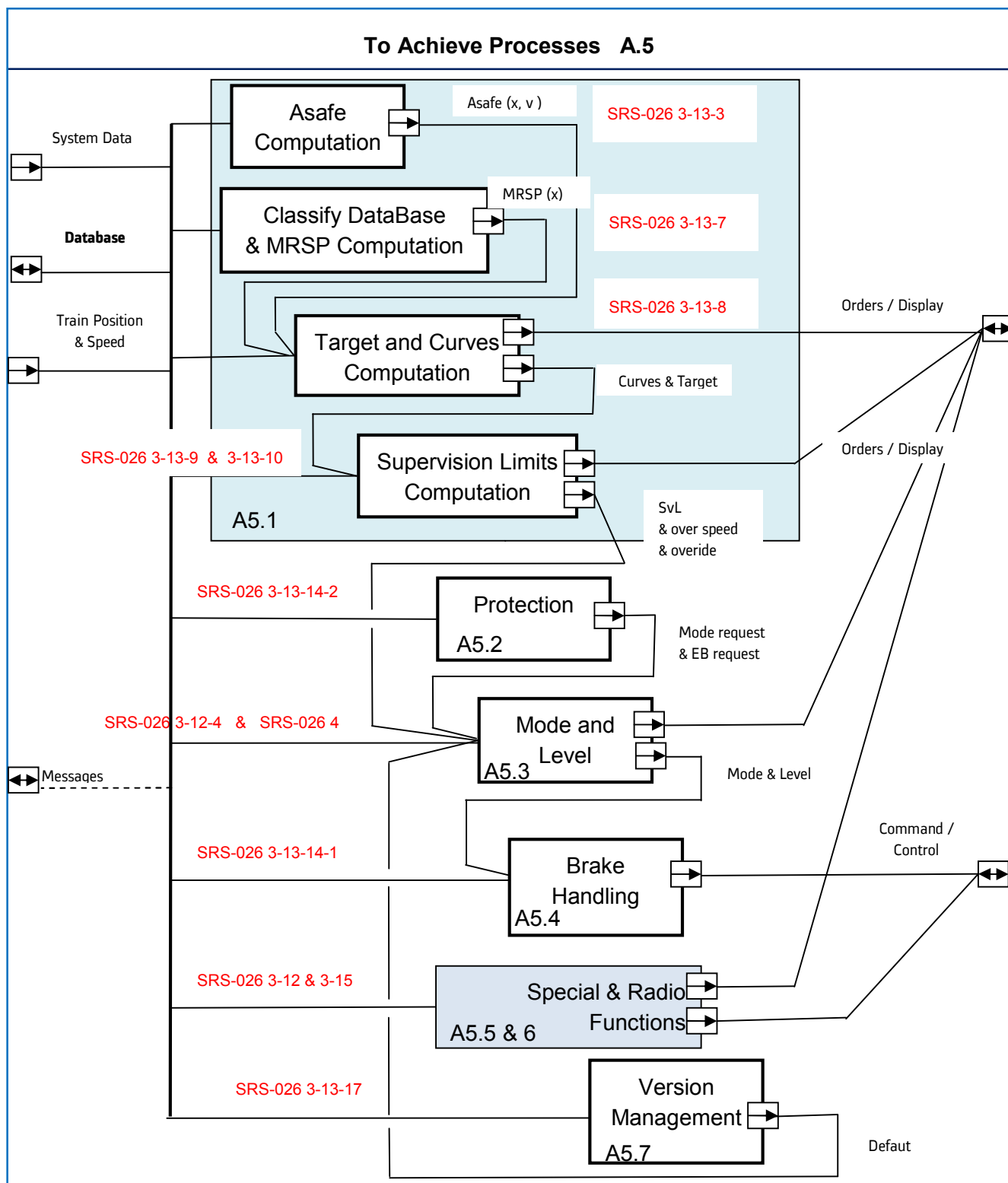
**Comments :**

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- To Up-date at every **real time cycle** :
  - Input are:
    - Counter of odometry including :
      - Value, Increasing, Decreasing, Standstill,
      - Speed.
  - Output are up-dated by :
    - $D\_LRBG = (Counter - Counter0)_{nom, min, max}$ 
      - Counter is the current counter of odometry,
      - Counter0 is the counter value when over-passing LRBG.
    - $NID\_LRBG = unchanged,$
    - $Q\_DIRLRBG = unchanged,$
    - $Q\_DLRBG = inverted \text{ if } D\_LRBG \text{ changes of sign},$
    - $Q\_DIRTRAIN = inverted \text{ if } D\_LRBG \text{ changes of variation sign},$
    - $L\_DOUBTOVER = D\_LRBG_{max},$
    - $L\_DOUBTUNDER = D\_LRBG_{min},$
    - $V\_TRAIN = Speed (MMU)$
- To Position when overpassing balise :
  - If balise is **“Re-positioning”**, only D\_LRBG is updated by :
    - $D\_LRBG = D\_LINK - L\_SECTION$
  - If balise is **linked** with previous one, checking with parameters in red must be true :
    - $| ( D\_LRBG - L\_DOUBTOVER < D\_LINK ,$
    - $| ( D\_LRBG + L\_DOUBTUNDER > D\_LINK ),$
    - $NID\_LRBG = NID\_BG,$
    - $Q\_DIRLRBG = Q\_LINKORIENTATION.$
  - Output are estimated by :
    - Counter0 = Counter,
    - $D\_LRBG = 0,$
    - $NID\_LRBG = new,$
    - $Q\_DIRLRBG = new,$
    - $Q\_DLRBG = inverted \text{ if } D\_LRBG \text{ changes of sign},$
    - $Q\_DIRTRAIN = Q\_DIRLRBG,$
    - $L\_DOUBTOVER = LRBG\_Inaccuracy,$
    - $L\_DOUBTUNDER = LRBG\_Inaccuracy ,$
    - $V\_TRAIN = provided \text{ by odometry}$

## 5.4 TO ACHIEVE PROCESSES

See SyML IBD draft hereafter.



**“To Achieve EVC Process”**

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The breakdown structure makes to appear a great number of boxes, and each box needs to be analysed through SysML IBD ( Mainly Special and Radio Functions).

The great box in blue corresponds to “SRS-026 3-13” which is so-called “Speed and Distance Monitoring”. The breakdown structure appears within the IBD.

The small box in blue corresponds to “SRS-026 3-12” and to “SRS-026 3-15” which is so-called “Special Functions” ( 1 : mandatory, 2 : optional ).

## **5.5      BREAKDOWN STRUCTURE OF EVC**

### **5.5.1      *General***

### **5.5.2      *First Level***

The breakdown structure of IBD “first level” could be established as hereafter.

- A1 : To Store System Data
- A2 : To Check and Store Balise Data
- A3 : To Check and Store Radio Messages
- A4 : To Store Track Description in Database
- A5 : To Achieve Processes
- A6 : To Manage DMI
- A7 : To Manage TIU
- A8 : To Position Train

### **5.5.3      *Second Level***

The final breakdown structure of IBD “second level” could be established as hereafter, by distinguishing mandatory and secondary functions.

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### **Mandatory :**

- A5.1 : Speed and Distance Monitoring :
  - A5.1.1 : Asafe( x, v ) computation,
  - A5.1.2 : MRSP(x ) computation,
  - A5.1.3 : Target and Curves computation,
  - A5.1.4 : Supervision Limits computation,
  - A5.1.5 : Commands.
- A5.2 : Protection :
  - A5.2.1: Emergency Stop (UES, CES, revocation, inhibition)
  - A5.2.2 : Track ahead Free,
  - A5.2.3 : MA Shorten,
  - A5.2.4 : Roll Away Protection,
  - A5.2.5 : Reverse Movement Protection,
  - A5.2.6 : Standstill Supervision.
- A5.3 : Mode and Level Monitoring :
  - A5.3.1: Level Handling,
  - A5.3.2 : Mode Handling.
- A5.4 : Brake Command Handling.

### **Secondary :**

- A5.5 : Special Functions 1 :
  - A.5.5.1 : Track Conditions Handling,
  - A.5.5.2 : Route Suitability,
  - A.5.5.3 : Text Transmission,
  - A.5.5.4 : Level Crossing.
- A5.6 : Special Functions 2 :
  - A.5.6.1 : RBC Handover,
  - A.5.6.2 : Non Leading Handling,
  - A.5.6.3 : Splitting/ joining,
  - A.5.6.4 : Reversing Movement,
  - A.5.6.5 : National Systems Handling,
  - A.5.6.6 : Tolerance of Big Metal Mass,
  - A.5.6.7 : Virtual Balise,
  - A.5.6.8 : Route Advanced Display.
- A5.7 : Version Management.

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## 6. DEVELOPMENT OF A5.1 ( EXAMPLE)

### 6.1 REFERENCES

UNISIG Subset\_026 version\_3.3.0

Chapter 3 : ERTMS / ETCS Principes

Chapter 4 : ERTMS / ETCS Modes

Chapter 5 : ERTMS / ETCS Procedures

Chapter 7 : ERTMS / ETCS Language

### 6.2 OVERVIEW

[SRS-026-chapter : 3.13.9.3]

“Speed and Distance Monitoring” (A5.1) consists in computation of EBD, EBI, SBD and SBI curves.

“GUI curve” is not addressed.

The use of an Excel database is involved in this exercise.

The technics to fill up the database starting from balise contents is not approached.

The structure of the database is defined and Excel capabilities such as classification of data lists following increasing or decreasing abscissa are used.

We shall start from a typical BG of 2 or 3 balises that are crossed by one train in level 1.

This BG will provide basic data packets such as :

- a) National values,
- b) Movement Authorization,
- c) Balise linking,
- d) Speed Profile,
- e) Gradient Profile,
- f) Level Order,
- g) Temporary Speed Restriction.
- h) etc...

### 6.3 CONTEXT OF SPEED AND DISTANCE MONITORING

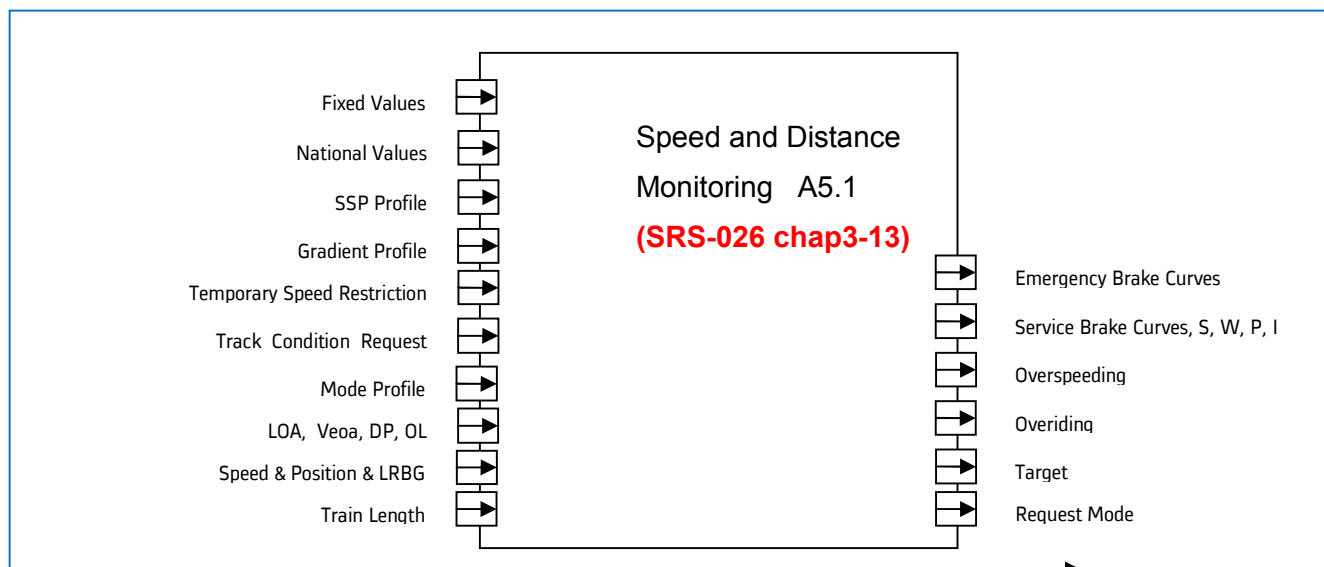
The context is defined with SysML diagram through one BDD (Block Diagram Definition) and several IBD (Internal Block Diagram) that will need to be linked with other parts of the OpenETCS definition.

The Block Diagram Definition should be composed of functions related to chapter 13 of the subset-026 chapter 3.



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The Speed and Distance Monitoring ( Function A5.1) is one IBD of BDD :



### Block A51 “Speed and Distance Monitoring”

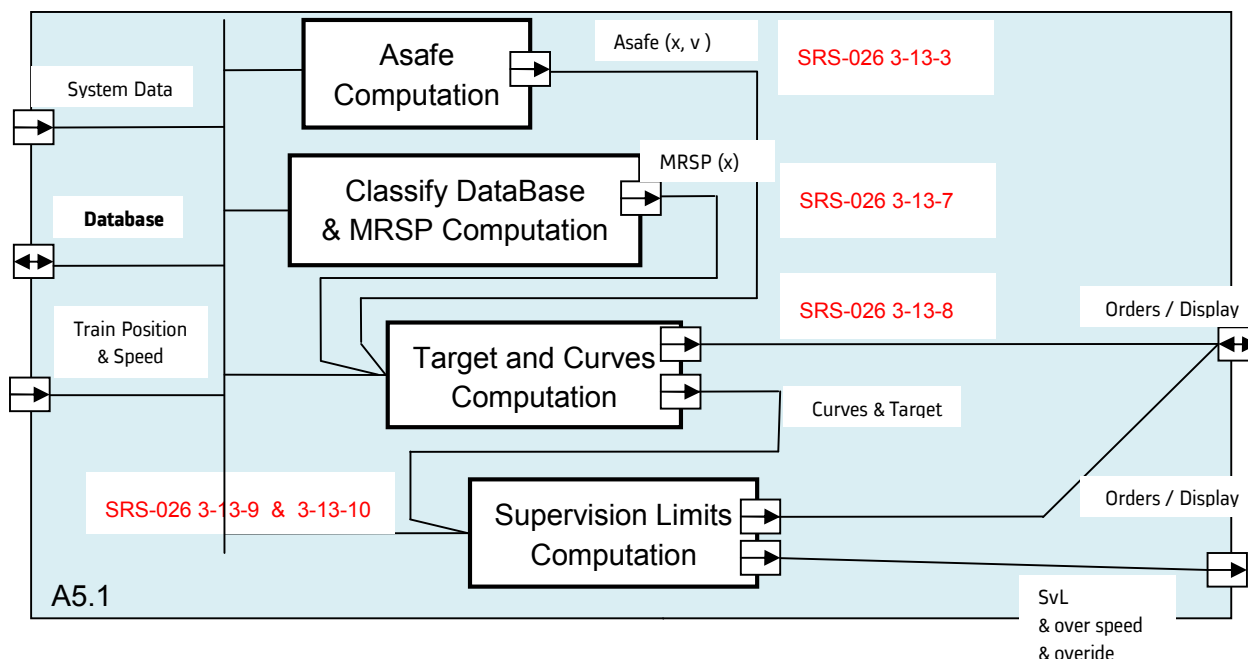
Inputs :

- From “Balise Decoding” :
  - National Values,
  - SSP Profile,
  - Gradient Profile,
  - Temporary Speed Restriction,
  - LOA, Veoa, Danger Point, Overlap.
- From “Internal” :
  - Fixed Values,
  - Train Length,
  - Request Mode,
- Track Condition Request.

Outputs :

- Emergency Brake Curves,
- Service Brake Curves (Service, Warning, Permitted, Indication),
- Overspeeding (cell and target),
- Overiding,
- Target,
- Release Speed.

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**Detail of Block A51 "Speed and Distance Monitoring"**

#### 6.4 EXAMPLE OF BALISE GROUP IN LEVEL 1

We shall show an example of database configuration for a Balise Group in level 1.

- In first, we shall deploy the database following the singular points that are involved within the BG. We shall see that is not fully meeting the requirement of an EB deceleration variable with the speed.
- In second, we shall deploy the database by quantum of 10 meters.

Definition file of such BG is given within excel file, chapter 5.7.

#### 6.5 EB SUPERVISION CURVE

All parameters for braking to target supervision limits for EBD curve are defined within the drawing hereafter :



- Origin :
  - o  $x_0$  : location where the EB intervenes,
  - o  $v_0$  : speed at location  $x_0$ , so-called “Vest”, can be increased by inaccuracy,
  - o  $h_0$  : hight of gravity centre at  $x_0$ .
- Braking :
  - o  $x_b$  : location where the EB is active after two phases of transition,
  - o  $v_b$  : speed at location  $x_b$ , is  $v_0$  increased after 2 phases of transition,
  - o  $h_b$  : hight of gravity centre at  $x_b$ .
- Target :
  - o  $x_1$  : target location,
  - o  $v_1$  : target speedn
  - o  $h_1$  : hight of gravity centre at target.

**Cumul\_EB > Cumul\_K**

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With the following development :

- Kinetic Variation Energy =  $\frac{1}{2} (v_0^2 - v_1^2)$ 
  - this value concerns the total mass :  $M * (1+\alpha)$
  - $\alpha$  can be a function of position "x".
  
- Brake Energy =  $(x_1 - x_0) * A_{eb}$ 
  - this value concerns the total mass :  $M * (1+\alpha)$
  - $A_{eb}$  is the average value of the function  $A_{safe}(x,v)$
  - $A_{safe}(x,v)$  needs to be integrated between  $x_0$  and  $x_1$
  - what about  $v$  ? (speed at origin  $x_0$  or something else )
  
- Response\_Correction =  $\frac{1}{2} [ (A_{est1} * T_1)^2 + (A_{est2} * T_2)^2 ] + (Debc * A_{eb})$ 
  - response is in 2 phases, lasting  $T_1$  and  $T_2$ ,
  - the running distance is so-called  $Debc$ ,
  - brake energy over  $Debc$  must be added to kinetic energy
  - power energy ( $A_{est1}$  and  $A_{est2}$ ) must be added too.
  
- Potential\_Variation\_Energy =  $(h_1 - h_0) * g / \alpha$ 
  - this value concerns only the mass  $M$ ,
  - $g = 9,81 \text{ m/s}^2$  must be taken into account,
  - potential energy must be compensated by division with  $\alpha = 1+\alpha$ ,
  - $h_1 - h_0$  is the integration of function  $Grade(x)$  between  $x_0$  and  $x_1$ .

## 6.7 EB SUPERVISION EXCEL FILE

The use of an Excel database is involved in this exercise in 3 steps :

- Step 1 : to acquire data from balise content,
- Step 2 : to classify acquired data into another file,
- Step 3 : to simul.

Step 1 Excel File :

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Alpha =	Aest1 =	traction :	Aest2 =	Tberem =
1,15	1,5	1,5	0,4	1

#### DATA EXTRACTED FROM BALISE CONTENT

Position	Type	DOT	Pos_Inc	Asafe	Grade	Target				
0	B1	1	10	0,85	*	*				
10	B2	1	10	0,85	*	*				
20	B3	1	1980	0,85	*	*				
2000	EOA	1	50	0,85	*	*				
2050	DP	1	-2050	0,85	*	0				
0	SSP_0	1	1000	*	*	160				
1000	SSP_1	1	-1000	*	*	60				
0	Grd_0	1	200	*	0,5%	*				
200	Grd_1	1	200	*	0,6%	*				
400	Grd_2	1	200	*	0,7%	*				
600	Grd_3	1	100	*	0,8%	*				
700	Grd_4	1	100	*	0,9%	*				
800	Grd_5	1	200	*	-0,6%	*				
1000	Grd_6	1	200	*	-0,5%	*				
1200	Grd_7	1	100	*	-0,4%	*				
1300	Grd_8	1	150	*	-0,2%	*				
1450	Grd_9	1	150	*	0,0%	*				
1600	Grd_10	1	100	*	0,3%	*				
1700	Grd_11	1	50	*	0,6%	*				
1750	Grd_12	1	250	*	0,9%	*				
2000	Grd_13	1	100	*	1,2%	*				
2100	Grd_14	1	-2100	*	1,0%	*				

**Position** is the distance of singular point from the reference balise B1,

**Type** is the nature of singular point,

**DOT** is the Direction of Travel.

**Pos. Inc.** is the incremental position to the next singular point.

**Asafe** is the safe deceleration value of the Emergency Braking, related to increment.

**Grade** is the slope value which permits high computation, related to increment.

**Target** is the singular point of MRSP or a DP which can be a potential target.

Step 2 : singular point of database are classified following increasing position.

Step 3 : computation of final parameters.

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Alpha =	Aest1 =	T1 =	Aest2 =	T2 =
1,15	1,5	1,5	0,4	1

#### DATA computed FROM BALISE CONTENT

Position	Type	DOT	Inc	MRSP	Asafe	Grade	Target	EB_Ener	G_Ener	Cumul_EB
0	B1	1	0		0,85	*	*	0,00	0,00	0,00
0	SSP_0	1	0	160	0,85	*	60	0,00	0,00	1792,40
0	Grd_0	1	10	160	0,85	0,5%	60	8,50	0,43	1792,40
10	B2	1	10	160	0,85	0,5%	60	8,50	0,43	1783,48
20	B3	1	180	160	0,85	0,5%	60	153,00	7,68	1774,55
200	Grd_1	1	200	160	0,85	0,6%	60	170,00	10,24	1613,87
400	Grd_2	1	200	160	0,85	0,7%	60	170,00	11,94	1433,64
600	Grd_3	1	100	160	0,85	0,8%	60	85,00	6,82	1251,69
700	Grd_4	1	100	160	0,85	0,9%	60	85,00	7,68	1159,87
800	Grd_5	1	200	160	0,85	-0,6%	60	170,00	-10,24	1067,19
1000	SSP_1	1	0	60	0,85	-0,6%	30	0,00	0,00	907,43
1000	Grd_6	1	200	60	0,85	-0,5%	30	170,00	-8,53	907,43
1200	Grd_7	1	100	60	0,85	-0,4%	30	85,00	-3,41	745,96
1300	Grd_8	1	150	60	0,85	-0,2%	30	127,50	-2,56	664,37
1450	Grd_9	1	150	60	0,85	0,0%	30	127,50	0,00	539,43
1600	Grd_10	1	100	60	0,85	0,3%	30	85,00	2,56	411,93

**EB\_Ener** is the Emergency Brake Incremental Energy.

**G\_Ener** is the Gravitation Incremental Energy.

**Cumul\_EB** is the sum of EB and G energy variation from target DP to current position.

**K\_Ener** is the Kinetic Energy variation from target DP to current position.

**Debc** is the running distance during both transition.

**Corr\_E** is the increased transition energy.

**Cumul\_K** is the sum of Kinetic and Transition Increased Energy.

**E\_Maxi** is the minimum of both "Cumul".

SSP\_1 60 km/h is intermediate target and DP is the final target.

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## 6.8 EB SUPERVISION PER QUANTUM

We come back on previous equations :

$$(\text{Brake\_Energy}) + (\text{Potential\_Variation\_Energy}) > (\text{Kinetic Variation Energy}) + (\text{Response\_Correction})$$

In order to eliminate the response correction, we shall take in consideration the energy between target "x1" and current location "xb". That gives :

$$(\text{Brake\_Energy\_B}) + (\text{Potential\_Variation\_Energy\_B}) \geq (\text{Kinetic Variation Energy\_B})$$

and 
$$v_b \leq \text{MRSP}(x_b)$$

With the following development :

- Kinetic Variation Energy B =  $\frac{1}{2} (v_b^2 - v_1^2)$  ( v1 is target, vb is current v )
- Brake Energy B =  $(x_1 - x_b) * A_{eb}$  (integration from xb to x1)
- Potential\_Variation\_Energy\_B =  $(h_1 - h_b) * g / \text{Alpha}$  (integration xb – x1)

The process is to define "vb" from the target location (basically the Danger Point DP) up to the balise location. Then we have at the beginning :

- $\frac{1}{2} (v_b^2 - v_1^2) = (x_1 - x_b) * A_{eb} + (h_1 - h_b) * g / \text{Alpha}$  or
- $v_b^2 = v_1^2 + 2 * [(x_1 - x_b) * A_{eb} + (h_1 - h_b) * g / \text{Alpha}]$  and
- $v_b \leq \text{MRSP}(x_b)$

Starting from DP location (or EOA), to define "x1",

$v_1 = 0$  or  $V_{sig}$ ,

$h_1 = 0$

Then to compute vb for each "xb" iteration, with a limitation by MRSP.

When previous MRST is higher, we have a new target.

Then the EBI curve can be computed through Debc and V\_delta computation:

$$V_{\text{delta}} = A_{est1} * T_1 + A_{est2} * T_2$$

$$v_0 = v_b - V_{\text{delta}}$$

$$\text{Debc} = \frac{1}{2} (A_{est1} * T_1^2 + A_{est2} * T_2^2) + v_0 * (T_1 + T_2)$$

$$x_0 = x_b - \text{Debc}$$

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Quantum	Alpha	Aest1	T1	Aest2	T2	V_delta
10 10	1,15	1,5	1,5	0,4	1	9,54

#### DATA computed Quantum per quantum

A	B	C	D	E	F	G	H	I	J	K	L
Pos. xb	Type	DOT	MRSP	Asafe	Grade	Target	EB_Ener	G_Ener	Cumul_EB	v1_carré	vb
0	B1	up	160	0,85	*	60	8,50	0,00	603,92	277,78	125,1
0	SSP	up	160	0,85	*	60	8,50	0,00	595,42	277,78	124,2
0	Grd	up	160	0,85	0,5%	60	8,50	0,43	586,92	277,78	123,3
10	B2	up	160	0,85	0,5%	60	8,50	0,43	577,99	277,78	122,4
20	B3	up	160	0,85	0,5%	60	8,50	0,43	569,07	277,78	121,5
30	*	up	160	0,85	0,5%	60	8,50	0,43	560,14	277,78	120,5
40	*	up	160	0,85	0,5%	60	8,50	0,43	551,21	277,78	119,5
50	*	up	160	0,85	0,5%	60	8,50	0,43	542,29	277,78	118,6
60	*	up	160	0,85	0,5%	60	8,50	0,43	533,36	277,78	117,6
70	*	up	160	0,85	0,5%	60	8,50	0,43	524,44	277,78	116,6
80	*	up	160	0,85	0,5%	60	8,50	0,43	515,51	277,78	115,6
90	*	up	160	0,85	0,5%	60	8,50	0,43	506,58	277,78	114,6
100	*	up	160	0,85	0,5%	60	8,50	0,43	497,66	277,78	113,6
110	*	up	160	0,85	0,5%	60	8,50	0,43	488,73	277,78	112,6
120	*	up	160	0,85	0,5%	60	8,50	0,43	479,80	277,78	111,5
130	*	up	160	0,85	0,5%	60	8,50	0,43	470,88	277,78	110,5
140	*	up	160	0,85	0,5%	60	8,50	0,43	461,95	277,78	109,4
150	*	up	160	0,85	0,5%	60	8,50	0,43	453,02	277,78	108,4
160	*	up	160	0,85	0,5%	60	8,50	0,43	444,10	277,78	107,3
170	*	up	160	0,85	0,5%	60	8,50	0,43	435,17	277,78	106,2
180	*	up	160	0,85	0,5%	60	8,50	0,43	426,24	277,78	105,1
190	*	up	160	0,85	0,5%	60	8,50	0,43	417,32	277,78	104,0
200	Grd	up	160	0,85	0,6%	60	8,50	0,51	408,39	277,78	102,9
210	*	up	160	0,85	0,6%	60	8,50	0,51	399,38	277,78	101,7
220	*	up	160	0,85	0,6%	60	8,50	0,51	390,37	277,78	100,6
230	*	up	160	0,85	0,6%	60	8,50	0,51	381,36	277,78	99,4
240	*	up	160	0,85	0,6%	60	8,50	0,51	372,34	277,78	98,2
250	*	up	160	0,85	0,6%	60	8,50	0,51	363,33	277,78	97,0
260	*	up	160	0,85	0,6%	60	8,50	0,51	354,32	277,78	95,8
270	*	up	160	0,85	0,6%	60	8,50	0,51	345,31	277,78	94,6
280	*	up	160	0,85	0,6%	60	8,50	0,51	336,30	277,78	93,4
290	*	up	160	0,85	0,6%	60	8,50	0,51	327,28	277,78	92,1
300	*	up	160	0,85	0,6%	60	8,50	0,51	318,27	277,78	90,8
310	*	up	160	0,85	0,6%	60	8,50	0,51	309,26	277,78	89,5
320	*	up	160	0,85	0,6%	60	8,50	0,51	300,25	277,78	88,2
330	*	up	160	0,85	0,6%	60	8,50	0,51	291,24	277,78	86,9
340	*	up	160	0,85	0,6%	60	8,50	0,51	282,23	277,78	85,5
350	*	up	160	0,85	0,6%	60	8,50	0,51	273,21	277,78	84,2
360	*	up	160	0,85	0,6%	60	8,50	0,51	264,20	277,78	82,8
370	*	up	160	0,85	0,6%	60	8,50	0,51	255,19	277,78	81,3
380	*	up	160	0,85	0,6%	60	8,50	0,51	246,18	277,78	79,9
390	*	up	160	0,85	0,6%	60	8,50	0,51	237,17	277,78	78,4



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*Variables of speed limit*

Pos. xb	is the position of control at speed vb, incremental quantum=10 m.	{ m }
Type	is the type of singular point ( “ * ” means no type).	
DOT	is up or down.	
MRSP	is the Most Restrictive Speed Profile ( compile SSP, TSR, Vmax..).	{ km/h }
Asafe	is safe deceleration value, is a function of x and v0. Formula : <b>Asafe(n) = SI((v0 &lt; 60); 0,80; 0,85)</b>	{ m/s <sup>2</sup> }
Grade	is slope grade, positive in uphill.	
Target	is the closest target speed, it can be : MRSP reduction, EOA, DP.	{ km/h }
EB_Ener	is the Emergency Brake Incremental Energy. Formula : <b>EB_Ener(n) = Asafe(n) * Quantum</b>	{ m <sup>2</sup> /s <sup>2</sup> }
G_Ener	is the Grade Incremental Energy. Formula : <b>G_Ener(n) = Grade * 9,81 * Quantum</b>	{ m <sup>2</sup> /s <sup>2</sup> }
Cumul_EB	is cumul “EB_Ener +G_Ener”, since smallest target energy. Formula : <b>Cumul_EB(n) = MIN( (Cumul_EB(n+1) + EB_Ener + G_Ener) ; ½ * (MRSP/3,6)<sup>2</sup> )</b>	{ m <sup>2</sup> /s <sup>2</sup> }
v1_carré	is 2 times Kinetic energy of smallest target. Formula : <b>v1_carré = ½ Target<sup>2</sup></b>	{ m <sup>2</sup> /s <sup>2</sup> }
vb	is max speed to respect smallest target without response time. Formula : <b>vb = ( (RACINE( 2 * Cumul_EB )) * 3,6 )</b>	{ km/h }
v_delta	is speed variation during the transition phase T1+ T2. Formula : <b>v_delta = ((Aest1*T1) + (Aest2*T2)) * 3,6 )</b>	{ km/h }
v0	is max speed to respect smallest target with response time. Formula : <b>v0 = vb – v_delta</b>	{ km/h }
Debc	is running distance during response time. Formula : <b>d = ½ ( (Aest1*T1<sup>2</sup>) + (Aest2*T2<sup>2</sup>) ) + ( Aest1* T1 * T2 )</b> Formula : <b>Debc = d + (v0 * (T1+T2) / 3,6)</b>	{ m }
x0	is max position to respect smallest target with response time. Formula : <b>x0 = xb - Debc</b>	{ m }

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## Track configuration

Simple Track Configuration is:

Balise : B1, B2, B3.

SSP : 160 km/h at position 0, 60km/h at position 160.

Grade changes at position 0, 200, 500, 610, 710, 820, 920, 1030, 1040, 1050, 1080.

EOA at position 1060 with 30 km/h.

DP at position 1070.

## Train Simulation (for model only)

Pos.xb is geographical milestone quantum per quantum of 10m = Pos(n)

Pos(0) is initiale position = 0 =>  $Pos(n) = Pos(n-1) + 10$   
 $Pos(n+1) = Pos(n) + 10$

Aest is train acceleration =>  $Aest(n) = f(v, n)$

Vest is train speed at Pos(n) =>  
 $Vest(n+1) = RACINE( (20 * Aest(n)) + Vest(n)^2 )$

Tdelta is time to reach Pos(n+1) and Vest(n+1)  
 $Tdelta = (Vest(n+1) - Vest(n)) / Aest(n)$   
 $Tdelta = \{ RACINE [ (20 * Aest(n)) + Vest(n)^2 ] - Vest(n) \} / Aest(n)$

## 6.9 ADDITIONAL ISSUE ON EB SUPERVISION PER SINGULAR POINT

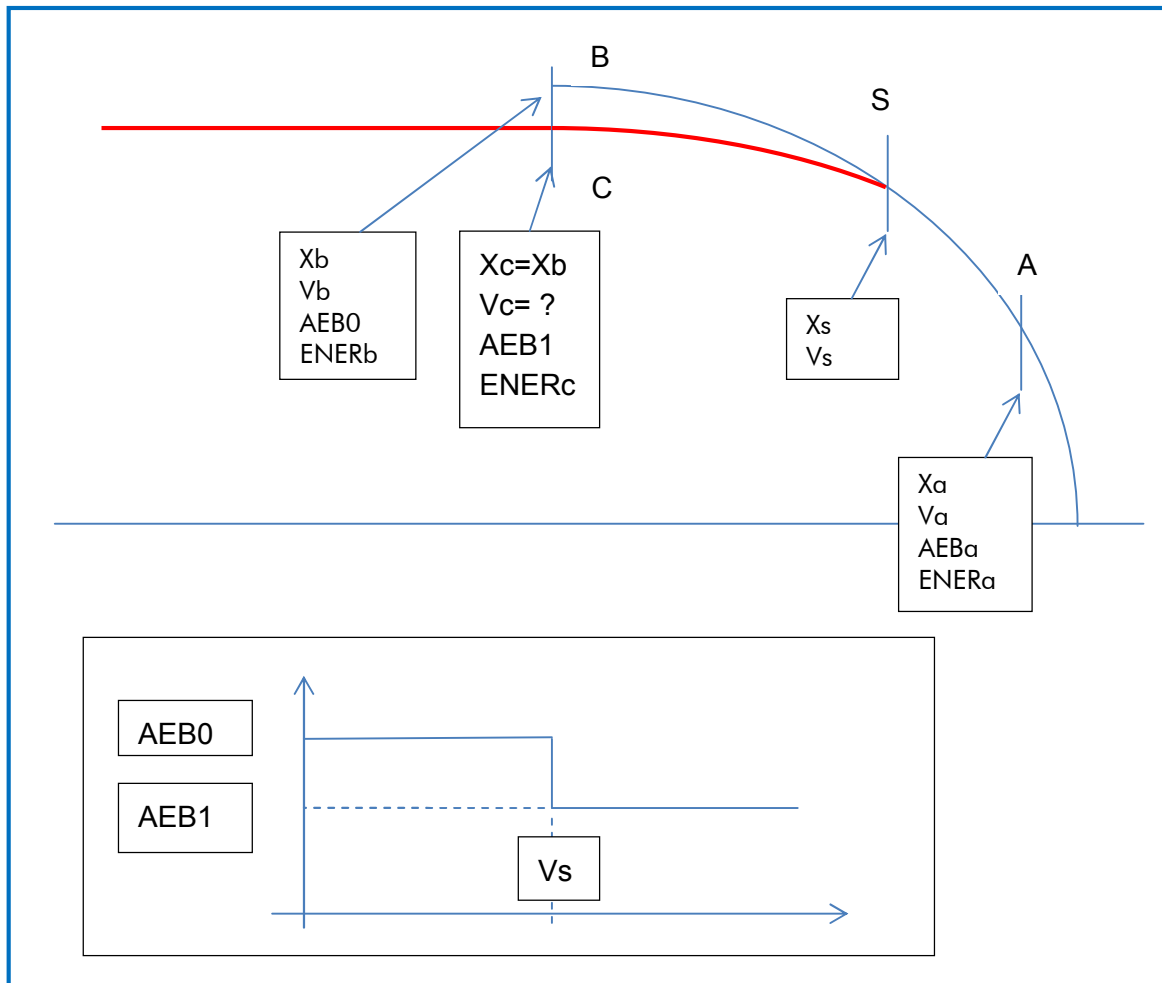
This additional issue aims to address one change of EB deceleration value between two singular points named "A" and "B".

The initial EB deceleration value is AEB0 and is reduced to AEB1 when the train speed is over "Vs".

The first step of computation consists in finding the location of Xs related to Vs on the curve AEB0.

The second step consists in evaluating the speed Vc at location "C" or "B" in reason of AEB1 lower than AEB0.

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### AEB change between 2 singular points

Assuming an interval between 2 singular location "A" and "B":

On this interval, the deceleration due to gradient is constant, is so-called GRD, In case of change of gradient, the interval is split into 2 parts at given location. The interval is limited by 2 singular location "A" and "B".

Assuming singular location "A" with:

- $X_a$  = position of "A"
- $V_a$  = speed control at "A"
- $AEB_0$  = initial EB deceleration (at "A")
- $ENER_a$  = energy countdown at "A"

Assuming singular location "B" with:

- $X_b$  = position of "B"
- $V_b$  = speed control at "B"
- $AEB_0$  = initial EB deceleration (suppose same value at "A")
- $ENER_b$  = energy countdown at "B" with same  $AEB_0$

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Taking into account the reduction of deceleration at speed "Vs", we have to estimate :

- Xs = location where speed control is "Vs"
  - o Xs solution of equation :  $\frac{1}{2} * (Vs^2 - Va^2) = (Xa - Xs) * AEB0$
  - o or  $Xs = Xa - (Vs^2 - Va^2) / (2 * AEB0)$
  - o or  $Xs = Xa + (Va^2 / (2 * AEB0)) - (Vs^2 / (2 * AEB0))$
- To replace singular location "B" by "C" :
  - o  $Xc = Xb$
  - o Vc solution of equation :  $\frac{1}{2} * (Vc^2 - Vs^2) = (Xs - Xc) * AEB1$
  - o or  $Vc^2 = Vs^2 + 2 * AEB1 * (Xs - Xc)$
- At the final, "B" is replace by "C" at the same location with Vc<sup>2</sup> computed by equation :

$$Vc^2 = Vs^2 + (2 * AEB1 * Xs) - (2 * AEB1 * Xc)$$

$$Vc^2 = Vs^2 + (2 * AEB1 * (Xa - Xc)) - ((Vs^2 - Va^2) * AEB1 / AEB0)$$

$$ENERc = \frac{1}{2} * Vc^2$$

Impact of gradient through GRD = Grade \* 9,81 / Alpha :

$$Vc^2 = Vs^2 + [2 * (AEB1+GRD) * (Xa - Xc)] - [(Vs^2 - Va^2) * (AEB1+GRD) / (AEB0+GRD)]$$

Verification of formula :

$$\text{If } AEB0 = AEB1 \Rightarrow Vc^2 = Vs^2 - Vs^2 + Va^2 + 2 * AEB0 * (Xa - Xc)$$

$$\text{or } 0 = Va^2 - Vc^2 + 2 * AEB0 * (Xa - Xc)$$

Application :

$$AEB0 = 1; AEB1 = 0.7; GRD = 0; Vs = 20 \quad \Rightarrow Xs = 400 + (10^2 / 2) - (20^2 / 2) = 50$$

$$Xa = 400; Va = 10; AEB0 = 1; ENERa = 50$$

$$Xb = 0; Vb = 30; AEB0 = 1; ENERb = 450 \quad \Rightarrow Vc^2 = 20^2 + 2 * 0.7 * 50 = 470 \quad \Rightarrow Vc = 21,7$$

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