- 1 Binding in Finnish and the language-cognition interface
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- 3 **Abstract**. A comprehensive and systematic analysis of binding in Finnish is developed with a
- 4 focus on the language-cognition interface. It is argued that binding regulates semantic
- 5 assignment management at the language-cognition interface by blanking out portions of the
- 6 transient discourse available for coreference computations at the hearer's end. Assignments
- 7 that are marked for filtering are not deleted, however, but downgraded so that they may get
- 8 resurrected downstream by global cognition under pragmatic conditions, creating a variety of
- 9 exempt anaphors. The analysis is applied to Finnish (and English) sentences exemplifying the
- standard binding configurations, but also to binding in infinitivals, picture nouns, null subject
- sentences, sentences with noncanonical word orders and Ā-chains, and whole conversations
- 12 containing several sentences. Syntax-internal binding theories are considered redundant, and
- were rejected on such basis.
- 14 **Keywords**: Binding, Finnish, picture nouns, pro-drop

1 Introduction

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- 16 Successful linguistic communication presupposes that both the speaker and hearer construct
- mutually consistent inventories of semantic objects corresponding to the subject matter under
- discussion. This allows the interlocutors to position themselves inside a common discourse
- 19 space and share an implicit notion of what the conversation "is about." The framework
- 20 presupposes a cognitive mechanism at the hearer's end for deciding if an incoming expression
- 21 denotes an object that already exists in the shared discourse space or if the speaker requested a
- 22 new object to be assumed. For example, the first use of a proper name or an indefinite article
- 23 invites the hearer to assume a new object, whereas an unstressed pronoun or the use of a definite
- 24 article signals that the reference is discourse old. These restrictions can also be structure-
- dependent. Sentences (1-3) illustrate structure-dependent coreference patterns for reflexives
- 26 (1), pronouns (2) and proper names (3) in English.
- 27 (1) a. John₁ admires himself_{1,*2}.
- b. *John's₁ sister admires himself₁.

- 29 (2) a. John₁ admires $him*_{1,2}$.
- 30 b. John's₁ sister admires $him_{1,2}$.
- 31 (3) a. He₁ admires John $*_{1,2}$.
- b. His_{1,2} sister hates John₁.
- 33 The reflexive *himself* must denote the same thing as the subject of its own clause (1), as shown
- by the shared subscript; *him* cannot denote the same object as the subject of its own clause (2);
- and a proper name like *John* must introduce a new semantic object (3). These rules, which
- 36 restrict the way the discourse space is explored during language comprehension, are known as
- 37 the binding conditions (Chomsky, 1981). Their ultimate nature is controversial, although the
- 38 phenomenon has received considerable attention in linguistics (Büring, 2005; Chomsky, 1980,
- 39 1981, 1982; Helke, 1971; Chomsky & Lasnik, 1977; Lebeaux, 2009; Lees & Klima, 1963;
- 40 Reinhart, 1983; Postal, 1971; Reinhart & Reuland, 1993; Reuland, 2001) and psycholinguistics
- 41 (Aoshima, Yoshida, & Phillips, 2009; Cunnings & Felser, 2013; Cunnings & Sturt, 2014; Sturt,
- 42 2003)(for a general introduction, see Büring, 2005; Huang, 2000a; Safir, 2004 and Fischer,
- 43 2015). Here we examine binding from the point of view of Finnish. I will argue that the Finnish
- 44 binding patterns follow if we assume that binding takes place at the language-cognition
- 45 interface, and is not internal to syntax. Specifically, the effects of the binding theory emerge
- 46 when a language-external cognitive module responds to lexical assignment management
- features that hijack the system for linguistic purposes and "blanks out large portions of the
- 48 discourse" (Hankamer & Sag, 1976, p. 425) making comprehension more efficient. I claim that
- 49 this "blanking out of portions of discourse" is what we see in (1)-(3).
- 50 This article is organized as follows. Section 2 presents a minimal background required for the
- analysis. It explicates what it means for the speaker and hearer to construct a "discourse space"
- on the basis of linguistic communication, and also defines the core notions used throughout the
- analysis, such as assignment, denotation and discourse inventory. Section 3 describes the
- 54 hypothesis. Section 4 reports a computational simulation where the hypothesis is tested against
- a dataset from Finnish (and English, for comparison).

2 Background

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- 57 Let us assume that the language faculty maps linguistic inputs through a lexico-morphological
- 58 component into syntactic parses that are interpreted semantically (e.g., Nicol & Swinney,
- 59 2003). For example, the input string the + horse + raced + past + the + barn is mapped into

a syntactic parse, such as [s[DP the horse] [VP raced [PP past [DP the barn]]]], that feeds semantic interpretation and generates the reading 'the horse raced past the barn'. To establish a connection between referential expressions in the parsed input and their meanings, we posit a global discourse inventory that holds all semantic objects and their known properties that have been mentioned during the ongoing conversation (e.g., Heim, 1982; Kamp, 1981). In the case of the horse raced past the barn, the inventory holds two spatiotemporal objects, the horse and the barn. The global discourse inventory is a language-external representational system that is accessed by general cognitive processes, such as thinking, decision making and problem solving.

Suppose the next sentence is *it was very fast*. The most likely interpretation for this continuation is one where the inanimate pronoun *it* refers to the horse, but it could also refer to the barn, a third entity, or to the whole event. When all referential expressions are provided with a denotation, we say, following the standard model theoretical nomenclature, that the sentence is provided with an *assignment*. Thus, under any particular assignment all referential expressions are assigned some denotation. Because expressions can often denote several semantic objects, each sentence will typically have several possible assignments. This is especially true for pronouns. Denotations, and therefore also assignments, are selected on the basis of what is contained in the discourse inventory at the moment the sentence is processed.

These assumptions presuppose at least two cognitive systems, one which provides the hearer cognitive access to possible denotations and assignments for any referential expression in the input sentence, given a context (system i), and another which evaluates the assignments for plausibility (system ii). I will call the system (i) *narrow semantics* in this article. System (ii) is part of global cognition. Consider again the conversation *the horse raced past the barn*; *it was very fast.* The referential expressions *the horse* and *the barn* project corresponding semantic objects 'the horse'₁ and 'the barn'₂ to the global discourse inventory. The pronoun *it* projects an inanimate third person object 'it'₃. When the whole expression is interpreted, narrow semantics, system (i) in our analysis, provides each referential expression with a set of possible denotations, for example, *it* will be linked with {'the horse'₁, 'the barn'₂, 'it, thing'₃ and 'it, event'₄}, which generates assignments 'the horse₁ raced past the barn₂; it_{1,2,3,4} was very fast' (notice that pronouns like *it* also have nominal features, such as person, number and gender, which further limit the selection of denotations and assignments during language comprehension, see Kazanina et al., 2007; Nicol & Swinney, 2003; Parker, 2019; Parker &

Phillips, 2017). The list of assignments therefore provides the sentence with "all possible readings" when it comes to its referential expressions. Global cognitive processes (ii) rank these assignments and select the most plausible one(s) for consideration by drawing information from multiple sources (e.g., Asher & Wada, 1988; Badecker & Straub, 2002; Garrod & Sanford, 1994; Kaiser, 2011). In this case, the most plausible interpretation is one where it refers to the horse. An interpretation where it refers to the barn is possible but unlikely. These rankings are formalized by providing each assignment with a numerical weight corresponding to its plausibility (0=completely implausible, 1=very plausible).

This system presupposes a mechanism for separating referential expressions like *the horse* from the nonreferential ones such as *raced* or *past*. I use phi-features (e.g., number, person) as reference-anchoring devices in this study. Referential phi-features were further correlated with feature [REF] for 'referential', which excludes nonreferential phi-marking such as concord or DP predicates from the mechanism. This assumption need not be correct; it prevents the algorithm from projecting 'thing objects' for verbs, prepositions, mood, tense, complementizers, conjunctions and in general for any elements we do not fall into the domain of the binding rules. This assumption is stipulative, but perhaps not completely implausible.

The hypothesis

The core assumption of our hypothesis is that binding restricts the way global discourse inventory is harvested for assignment generation. The legitimate assignments for reflexive pronouns such as *himself*, for example, only include the denotations of its clause-mate subjects (under the same assignment). The legitimate assignments for ordinary pronouns exclude clause-mate subjects but include everything else. In this way, binding principles will "blank out" portions of the discourse inventory during language comprehension, as proposed by Hankamer & Sag (1976: 425). Viewed from this perspective, binding is neither syntax-internal nor syntax-external affair; rather, it emerges from the way language is anchored to general cognition at the language-cognition interface. We can perhaps say that it operates at the "edge of language."

Let us express the hypothesis rigorously. Let us assume that global cognition contains a function EVAL which evaluates whether a cognitive object X is new or old in relation to a *reference set* of other cognitive objects. EVAL could perhaps be depicted as a basic attention mechanism that allows the cognitive system to focus selectively on some mental objects by

- excluding others. Since EVAL is part of global cognition, it is not language-specific. It operates
- with semantic objects such as 'the horse' and 'the barn' accessed by general cognition, and is
- not aware of the linguistic properties (or even the existence) of expressions such as *the horse*
- and *the barn*. We will now develop an analysis according to which the binding theory expresses
- 127 a "grammaticalization of EVAL."
- Specifically, let us assume that assignment computations (narrow semantics, component (i) in
- our model) can determine the reference set for EVAL on the basis of phrase structure objects
- 130 it accesses at the syntax-semantics interface. Suppose EXP_i is a referential expression in a
- phrase structure object α denoting object *i* in the global discourse inventory. Then
- 132 (4) Reference set
- the reference set for EXP_i is a set of cognitive objects accessed by narrow semantics from
- 134 EXP_i in α by using an upward path (5), where
- 135 (5) Upward path
- the *upward path* from EXP_i contains the nodes dominating EXP_i plus the heads of their
- daughters such that they can be reached from EXP_i without encountering a head with an
- intervention feature.
- EXP_i is the expression (pronoun, reflexive, r-expression) that will be subjected to the binding
- principles; the reference set will contain objects that are relevant for determining its coreference
- possibilities. Because the reference set is computed on the basis of the syntax-semantic
- interface, its construction will be structure-dependent (notice the similarity between the notion
- of upward path and c-command). Psycholinguistic experimentation has shown, convincingly,
- 144 that structure-dependent mechanisms limit the antecedent search during language
- 145 comprehension (e.g., Aoshima et al., 2009; Asher & Wada, 1988; Clifton, Kennison, &
- Albrecht, 1997; Cunnings & Felser, 2013; Cunnings & Sturt, 2014; Dillon, Mishler, Sloggett,
- 47 & Phillips, 2013; Fedele & Kaiser, 2014; Hankamer & Sag, 1976; Kazanina et al., 2007;
- Koornneef & Reuland, 2016; Nicol & Swinney, 1989; Sturt, 2003), further motivating these
- 149 assumptions.
- The intervention feature, essentially a reformulation of the lexical opacity factor of Manzini &
- Wexler (1987), will create locality domains for binding. Several possible intervention features
- 152 for binding have been provided in the literature (e.g., Raposo, 1986), and there appears to be
- 153 considerable crosslinguistic variation among the locality properties of anaphors, such as

- reflexives (Déchaine & Wiltschko, 2012, 2017). Manzini and Wexler (1987) mention several options such as subject, Infl, tense, referential tense and root tense (see their (29), p. 422) and propose that the matter is subject to parametrization. It therefore makes little sense to limit the feature system *a priori* pending further crosslinguistic investigation. For Finnish and English, feature [REF] suffices. Recall from Section 2 that [REF] will be part of all referential lexical items, which will limit binding domains in our calculations on the basis of "subjects" and other argument-like expressions.
- Finally, we assume that lexical items can contain the following features, explained below:
- 162 (6) Binding features
- a. [OLD:REF] (for reflexives),
- b. [NEW:REF] (for pronouns),
- c. [NEW:_] (for r-expressions),
- d. [OLD:_] (long-distance anaphors?).
- 167 These features are used during assignment computations. The label NEW/OLD tells EVAL 168 whether the object X must be new or old in relation to a reference set and [REF] will be the 169 intervention feature. Translated into English, these features read as follows: [OLD:REF] = 'under 170 any possible assignment, the denotation must come from a reference set restricted into a local domain by feature [REF]', which captures the behavior of reflexives in our dataset; [NEW:REF] 171 172 = 'under any possible assignment, the denotation cannot come from the reference set restricted 173 into a local domain by feature [REF]', which captures the behavior of pronouns; [NEW:_] = 174 'under any possible assignment, the denotation must differ from any object in the unrestricted reference set', capturing the behavior of r-expression; [OLD:] = 'under any possible 175 assignment, the denotation must be in the unrestricted reference set', capturing the possible of 176 177 long-distance anaphors (unattested in Finnish and excluded here). We then assume that 178 assignment generation must satisfy EVAL when fed with a binding feature and a reference set.
- 179 To see how the rules work, consider (7a-b).
- 180 (7) a. John₁ admires $him_{1,2}$.
- b. John₁ admires himself_{1.*2}
- The parser maps both sentences into [s [DP John] [VP admires [DP him/himself]]] and links John and him/himself with semantic objects 'John' and 'he' (=him/himself) in the global discourse

inventory. Since the discourse inventory holds two objects, accessible denotations will be $John_{\{1,2\}}$ admires $him/himself_{\{1,2\}}$ (if the sentence was part of a larger conversation, then many more possible denotations would emerge). Next we generate assignments $John_1$ admires him_1 , $John_1$ admires him_2 , $John_2$ admires him_1 and $John_2$ admires him_2 . The proper name $John_1$ is associated with an empty reference set by rule (4). It has feature [NEW:_] (6)c which requires $John_1$ to refer to objects not in that set, hence John can denote any object in the global discourse inventory which matches with its lexical features (same name, masculine, singular etc.). The pronoun him has feature [NEW:REF] (6)b and can be assigned to an object that is not in the reference set {'John'i} as defined by the upward path (5). Therefore, under any possible assignment, John and him cannot refer to the same object. The opposite result is calculated for himself (6)a. In sum, the assignment management features restrict the denotations for referential expressions.

The important empirical assumptions of this analysis are the following. First, referential expressions are not associated with syntactic referential indexes regulated by syntactic binding principles; rather, binding takes place at the language-cognition interface where assignments are generated and evaluated. There is no syntactic coindexing or syntactic binding mechanisms (contra Chomsky, 1981; Fiengo & May, 1994; Hicks, 2008; Reuland, 2001, 2006; Rooryck & Wyngaerd, 2011). I follow the style of analysis in (Chomsky, 1995; Culicover & Jackendoff, 1995; Schlenker, 2005) where binding regulates semantic interpretation at the outer edge of language.

Second, the way the assignments are constrained depends on the lexical features of the referential expressions. I am therefore assuming that pronouns, reflexives and r-expressions are distinguished from each other by their lexical feature compositions which regulate their assignment options. These lexical features, much like ordinary phi-features such as person and number, facilitate communication by limiting the set of possible denotations.

Third, notice that neither *he* nor *himself* is in any way defective in its capacity to refer. They are both assigned independent denotations. This could be seen as controversial in the case of reflexive pronoun *himself*, but the assumption is made due to the data reported by Pollard & Sag (1992), discussed later, which shows that reflexives can in fact sustain independent denotations under special pragmatic conditions. Since the operation takes place inside narrow semantics, component (i), the rankings can be adjusted downstream within the processing

pathway. This will capture situations where binding restrictions are ameliorated by pragmatic context (Section 4.4.3).

Fourth, the model is not limited to single sentences. It works, as defined above, with whole conversations modelled as sequences of sentences sharing the global discourse inventory. If the first sentence introduces objects into the discourse inventory, subsequent sentences will take those objects into account when evaluation assignments. Suppose that the first sentence is *John admires Mary*. This creates two semantic objects, John and Mary, into the discourse space. If the next sentence is *he likes her*, then John and Mary will appear as possible denotations for the pronouns *he* and *her*, respectively, and will be taken into account in all assignment computations. They are also taken into account when computing possible readings for sentences involving reflexives and r-expressions. The number of sentences any conversation can contain, hence also the number of possible objects of denotations in the discourse inventory, is not limited.

Fifth, long-distance anaphors and logophors do not follow the standard binding conditions (e.g., Clements, 1975; Hellan, 1988; Huang, 2000a: Ch. 2.3; Sells, 1987; Thráinsson, 1990, 1991), thus the mechanism posited here is unable to calculate them. On the other hand, it is assumed that pronouns can denote any objects in the global discourse inventory that are consistent with their lexical properties. This gives the model an in-principle access to discourse-based and/or long-distance anaphors. One possibility is to add semantic metadata corresponding to salience, source, self and point of view (e.g., Sells, 1987) to the conversations and use them to bias logophoric dependencies. A mechanism of this type might be required on independent grounds since there is linguistic (e.g., Ariel, 1990; Givón, 1983; Grosz, Joshi, & Weinstein, 1995; Huang, 2000b; Pollard & Sag, 1992; Schlenker, 2005; Sperber & Wilson, 1995; Thráinsson, 1991) and psycholinguistic (e.g., Almor, 1999; Cunnings & Sturt, 2014; Kazanina, Lau, Lieberman, Yoshida, & Phillips, 2007; Malt, 1985; Murphy, 1985a, b; Nicol & Swinney, 2003; Parker, 2019) evidence that assignment management utilizes context. This matter was left for future study.

Finally, I would like to offer a brief comment on what motivated the assumption that EVAL is part of general cognition, and is not part of narrow semantics. For the present author, the most puzzling aspect of binding is the question of *why* some classes of referential expressions are so limited in their assignment options. The intuitive idea pursued in this study is that this is because the output of the syntactic processing pathway and the input to EVAL are so closely

connected by our innate cognitive architecture that language can and will instinctively grammaticalize properties of EVAL. The lexical features [NEW:REF] etc. are considered (and modelled literally) as "instructions" for the language-external system. This is, of course, speculation on my part and only meant as clarifying the intended model behind the architecture.

4 Simulation experiment

4.1 Design and procedure

A test corpus containing Finnish and English binding constructions was fed to an algorithm implementing the hypothesis. Contents of the test corpus are elucidated in Section 4.2, and are available in the public domain.* A Python-based minimalist sentence processor (Brattico, 2019a) was used for the parsing task (Section 2) and was endowed with the post-syntactic interpretation mechanism elucidated in the previous sections (Sections 2, 3), also implemented in Python. The source code is at http://www.github.com/pajubrat/parser-grammar, maintained by its author. I will use the term *syntactic background theory* in this article to refer to the existing algorithm. The algorithm provided each input sentence with a grammaticality judgment and, if it was judged grammatical, also a syntactic derivation, syntactic analysis and semantic interpretation. Semantic interpretation contained the weighted/ranked assignments and the contents of the global discourse inventory, as predicated by the analysis specified in this article. The output was compared with native speaker judgments provided by the author. The whole output of the algorithm is in the public domain.†

4.2 Stimuli (test corpus)

The hypothesis was tested against a test corpus that contained binding constructions, both grammatical and ungrammatical, and both in Finnish and English. Some test sentences formed conversations, sequences of sentences which shared the global discourse inventory, others were isolated. The test sentences were selected to cover the core of the whole binding theory, including special constructions exhibiting null subjects, DP-internal syntax, picture nouns, noncanonical word orders and embedded infinitivals. Test sentences were written by the algorithm into a file, normalized and organized hierarchically.[‡] No morphosyntactic tagging was used apart from few cases where the input item was disambiguated to facilitate the examination of the output. When two or more sentences were assumed to be part of the same conversation, they were separated by semicolon; otherwise, no special punctuation was used. The contents of the test corpus are summarized in Table 1.

278 Table 1. Structure and contents of the test corpus.

#	Category (sentence numbers)	examples and/or explanation		
0	Core sentences from the article			
0.1	Binding conditions (1-19)	Sentences (8, 12-14, 17, 18, 19, 26, 27) from this article		
0.2	Null pro subjects (20-25)	Sentences (31-34) from this article		
0.3	DP-internal syntax (27-31)	Sentences (36, 38, 40, 41) from this article		
0.4	Embedded infinitivals (32-37)	Sentences (45, 46, 47) from this article		
1	Proper names (R-expressions)			
1.1	Grammatical, assignment possible (38-45)	John sleeps. John admires Mary.		
		Pekka nukku-u. 'Pekka.NOM sleep-PRS.3SG'		
		Pekka ihaile-e Merja-a. 'Pekka.NOM admire-PRS.3SG Merja-PAR'		
1.2	Proper names inside that-clauses (46-47)	John said that Mary admires Bill.		
		Pekka sanoi että Merja ihaile-e Jukka-a. 'Pekka.NOM said that Merja.NOM admire-3SG.PRS Jukka- PAR'		
1.3	Proper names inside embedded	John wants Mary to admire Bill.		
	infinitival (48-49)	Pekka sanoo Merja-n ihaile-van Jukka-a. 'Pekka.NOM says.PRS.3SG Merja-GEN admire-VA/INF Jukka-PAR'		
1.4	Condition C (50-58)	He admires John. She admires John. He admires Mary. She admires Mary. He said that John admires Mary. He wants John to admire Mary.		
		Hän ihaile-e Merja-a. 'He.NOM admire-3SG.PRS Merja-PAR'		
		Hän sanoo että Pekka ihaile-e Merja-a. 'He.NOM says that Pekka.NOM admire-3SG.PRS Merja-PAR'		
		Hänen siskonsa sanoo että Pekka ihaile-e Merja-a. 'His/her sister says that Pekka.NOM admire-3SG.PRS Merja-PAR'		
1.5	Conversations (59-62)	John sleeps; John admires Mary. John sleeps; Mary sleeps.		
1.6	Pro-drop sentences (63)	Ihaile-n Merja-a. 'admire-PRS.1SG Merja-PAR'		
2	Regular pronouns			
2.1	Pronouns and proper names (64-83)	John admires him. John admires her. He admires Mary. She admires John. It admires John. It admires Mary. It admires him. It admires him. It admires her. John admires it. Mary admires it.		

He admires it. She admires it. It admires it. John admires his sister. John admires her sister.

Pekka ihaile-e hän-tä.

'Pekka.NOM admire-3SG.PRS he-PAR'

Pekka ihaile-e sitä.

'Pekka.NOM admire-.3SG.PRS it.PAR'

Se ihaile-e Pekka-a.

'it.NOM admire-3SG.PRS Pekka-PAR'

Se ihaile-e sitä.

'It.NOM admire-3SG.PRS it.PAR'

Pekka ihaile-e hän-en sisko-a-an.

'Pekka.NOM admire-3SG.PRS he-GEN sister-PAR(Px/3sg)'

2.2 Only pronouns (84-89)

He admires him. She admires her. He admires her. She admires him.

Hän ihailee häntä.

'He.NOM admire.3SG.PRS he.PAR'

Se ihaile-e sitä.

'it.NOM admire-3SG.PRS it.PAR'

2.3 *Pronouns with wrong case forms (90-97)

*Him admires he.

*Him admires she.
*Him admires him.
*Him admires her.
*Her admires he.
*Her admires she.

*Her admires him. *Her admires her.

Not applicable to Finnish due to free word order profile

2.4 Pronouns inside that-clauses (98-107)

John said that Mary admires Bill. John said that Mary admires him. John said that he admires Mary. John said that he admires him. He said that he admires him. He said that John admires Mary.

Pekka sanoo että Merja ihaile-e Jukka-a.

'Pekka.NOM says that Merja.NOM admire-3SG.PRS Jukka-PAR'

Pekka sanoo että Merja ihaile-e hän-tä.

'Pekka.NOM says that Merja.NOM admire-3SG.PRS he-

Pekka sanoo että hän ihaile-e Jukka-a.

'Pekka.NOM says that he.NOM admire-3SG.PRS Jukka-PAR'

Pekka sanoo että hän ihaile-e hän-tä.

'Pekka.NOM says that he.NOM admire-3SG.PRS he-PAR'

2.5 Pronouns inside infinitivals (108-121)

John wants Mary to admire him. Mary wants John to admire her. John wants John to admire him. Mary wants Mary to admire her. John wants Mary to admire it. Mary wants John to admire it. John wants him to admire Mary. John wants her to admire Mary. Mary wants him to admire Mary. Mary wants her to admire Mary. Mary wants her to admire Mary.

2.6	Possessive pronouns (122-124)	His sister sleeps. Her sister sleeps.
		Hänen siskonsa nukku-u. His/her sister sleep-PRS.3SG
2.7	Pronouns inside conversations (125-136)	John sleeps; he admires Mary. John admires Mary; he admires her. John admires Mary; his sister sleeps. It sleeps; he admires Mary. It sleeps; he admires her. It sleeps; it admires her.
2.8	Human vs. nonhuman pronouns (137-144)	John admires Mary; it sleeps. John admires Mary; he sleeps.
		Pekka ihaile-e Merja-a; se nukkuu. 'Pekka.NOM admire-PRS.3SG Merja-PAR; it sleeps'
		Pekka ihaile-e Merja-a; hän nukkuu. 'Pekka.NOM admire-PRS.3SG Merja-PAR; he sleeps'
2.9	C-command tests (145-146)	John's sister admires him.
		Peka-n sisko ihaile-e hän-tä. 'Pekka-GEN sister admire-PRS.3SG he.PAR'
2.10	Pronouns and null subject sentences (147-150)	Pekka sanoo että ihaile-e hän-tä. 'Pekka says that admire.3SG.PRS he-PAR'
		Pekka sanoo että ihaile-n hän-tä. 'Pekka says that admire.1SG.PRS he-PAR'
		Hän sanoo että ihaile-e hän-tä. 'He says that admire.3SG.PRS he-PAR'
		Hän sanoo että ihaile-n hän-tä 'He says that admire.1SG.PRS h.e-PAR'
3	Reflexive pronouns, anaphors	
3.1	Grammatical, assignment possible (151-155)	John admires himself. Mary admires herself. he admires himself. she admires herself.
		Pekka ihaile-e itse-ä-än. 'Pekka.NOM admire-3SG.PRS self-PAR-Px/3sg'
		Hän ihaile-e itse-ä-än. 'He.NOM admire-3SG.PRS self-PAR-Px/3sg'
3.2	*Gender mismatch (157-160)	John admires herself. Mary admires himself. He admires herself. She admires himself.
3.3	*Human mismatches (161-162)	It admires herself. It admires himself.
3.4	*Subject reflexives (163-176)	Himself admires John. Himself admires Mary. Himself admires he. Himself admires him. Himself admires she. Himself admires her. Himself admires it. Herself admires John. Herself admires Mary. Herself admires he. Herself admires him. Herself admires she. Herself admires her. Herself admires her. Herself admires it.

3.5 Reflexives in conversations (177-John admires Bill; he admires himself. 182) John admires himself; he sleeps. John admires Mary; Bill admires himself. 3.6 C-command condition (183-184) John's sister admires himself. Peka-n sisko ihaile-e itse-ä-än. 'Pekka-GEN sister.NOM admire-3SG.PRS self-PAR-Px/3sg' 3.7 Reflexives and control (185-201) John wants Mary to admire herself. *John wants Mary to admire himself. John wants to admire himself. He wants to admire himself. Mary wants to admire herself. She wants to admire herself. *John wants to admire herself. *He wants to admire herself. John wants himself to admire Mary. *John wants herself to admire John. *Mary wants himself to admire John. Pekka halua-a Merja-n ihailevan itse-ä-än. 'Pekka.NOM want-3SG.PRS Merja-GEN admire.VA/inf self-PAR-Px/3SG' Pekka halu-si Merja-n ihaile-van itse-ä-än. 'Pekka.NOM want-3SG.PRS Merja-GEN admire-VA/inf self-PAR-Px/3SG' Pekka halua-a ihail-la itse-ä-än. 'Pekka.NOM want-3SG.PRS admire-A/inf self-PAR-Px/3SG' Hän halua-a ihail-la itse-ä-än. 'He.NOM want-3SG.PRD admire-A/inf self-PAR-Px/3sg' Pekka halua-a itse-nsä ihaile-van Merja-a. 'Pekka.NOM want-3SG.PRS self-GEN.Px/3SG admire-VA/inf Merja-PAR' 3.8 Ungrammatical reflexive inside that-John said that Mary admires himself. clause (202-203) Mary said that John admires herself. Reflexives and null subjects (204-3.8 Pekka sanoo että ihaile-e itse-ä-än. 'Pekka says that admire-3SG.PRS self-PAR-.PX/3SG' Pekka sanoo että ihaile-n itse-ä-ni. 'Pekka says that admire-1SG.PRS self-PAR-PX/1SG'

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280 4.3 Results

4.3.1 Observational adequacy

Observational adequacy (the extent to which native speaker and model grammaticality judgments match) was verified by comparing native speaker grammaticality judgments against the grammaticality judgments generated by the model. Comparison was done by mechanical file-comparison tool. The model reached 100% accuracy. Notice that grammaticality judgments as such do not include assignments and do not verify the correctness of the binding principles; to do the latter we must consult the actual assignments generated by the algorithm and recorded into external files at runtime.

4.3.2 Condition A

Binding condition A, illustrated by the example (1) from Finnish, requires reflexives to be bound locally. Symbol # refers to the same or equivalent sentence in the raw data generated by the algorithm (also Table 1).¹

293 (8) a. Pekka₁ ihaile-e itse-ä(-än)_{1,*2}/ *itse-ä(-ni). (#1, 2)

294 Pekka.NOM admire-3sg.PRs self-PAR(-PX/3sg) self-PAR(-PX/1sg)

295 'Pekka admires himself/*myself.'

b. Minä₁ ihaile-n *itse-ä(-än)/ itse-ä(-ni)_{1,*2} (#3, 4)

I.NOM admire-1sg.PRs self-PAR(-PX/3sg) self-PAR(-PX/1sg)

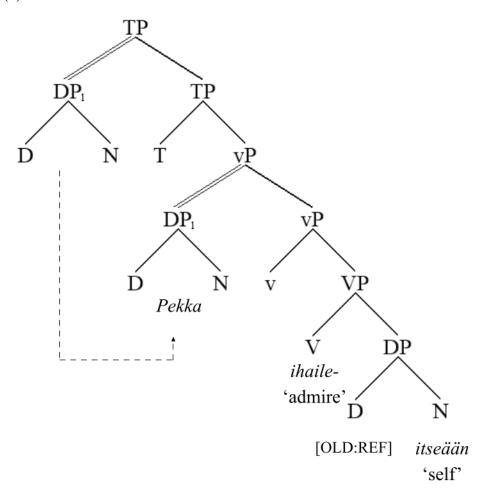
'I admire *himself/myself.'

The relevant assignments, calculated by the algorithm and available in the output files, are marked by the subscripts. In (8a), the mechanism filters out all assignments where *Pekka* and *itseään* 'self.PX/3SG' do not refer to same entity in the discourse inventory. If the reflexive is marked for the first person singular, this solution is filtered out on the basis of the mismatching phi-features, and therefore no solutions are found. Example (8b) is calculated in the same way. The syntactic analysis calculated by the algorithm for (8) is (9)(all phrase structure images presented here were generated by the algorithm, the author added few illustrations; the original images are available online**).

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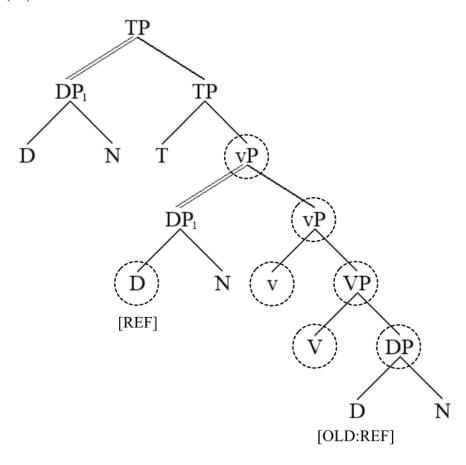
Abbreviations: 1/2/3 = first, second and third person; A/INF = A-infinitival; ACC = accusative case, any form; GEN = genitive case; NOM = nominative case; PAR = partitive case; PL = plural; PST = past tense; PRS = present tense; PX = possessive suffix; Q = yes/no interrogative operator; REF = referential, a feature that all referential expressions have; SG = singular; VA/INF = VA-infinitival.

307 (9)



On the basis of the output of the syntactic processing pathway, shown in (9), narrow semantics, component (i) in our model, generates two semantic objects into the global discourse space, 'Pekka'₁ and 'self'₂. Four possible assignments were considered: 'Pekka_{1,2} admires himself_{1,2}', and of these four, two are accepted by the principled proposed in Section 3: 'Pekka₁ admires himself₁' and 'Pekka₂ admires himself₂'. For any assignment, feature [OLD:REF] at the reflexive (6)a requires that whatever denotation is provided for this element under the particular assignment considered must be found from the reference set that can be accessed by upward path (5) until the intervention feature [REF] is encountered. The following elements belong to the upward path and are included into the reference set and thus considered by the algorithm:

319 (10)



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The intervention feature [REF] at the thematic agent DP halts the search at vP. It follows that under any assignment the denotation for the reflexive must be the same as the denotation of the higher DP at SpecvP. Reflexive binding also ignores all referential elements that are not inside the upward path from the reflexive pronoun, which derives (11) in our dataset.

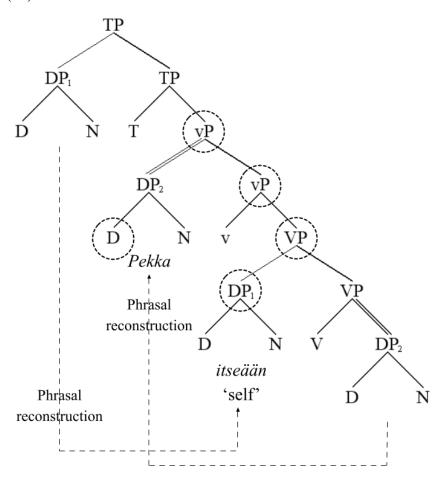
- 325 (11) a. *[John's₁ sister] admires himself₁. (#183)
- 326 b. *[Peka- n_1 sisko] ihaile-e itse-ä-ä n_1 . (#184)
- 327 Pekka-GEN sister admire-PRS.3SG self-PAR-PX/3SG
- 328 'Pekka's sister admires himself/*herself.'

John cannot be accessed from the reflexive by (5); the only option is coreference betweenJohn's sister and himself that is rejected on the basis of the gender feature mismatch.

The morphological form of the Finnish reflexive pronoun merits a comment. The Finnish reflexive contains a SELF-pronoun *itse* 'self' suffixed with an infinitival agreement marker (also called "possessive suffix" in the literature), glossed as PX, that must match with the antecedent in person and number. There is some controversy over the syntactic nature of the Finnish

335 possessive suffix *ni/An/nsA* in the literature (Huhmarniemi & Brattico, 2015; Kaiser, 2003; Kanerva, 1987; Toivonen, 2000; Trosterud, 1990, 1993; Vainikka, 1989; van Steenbergen, 336 337 1987, 1991). Because it is optional in these contexts and has a wide variety of other uses, I did 338 not attempt to include it into the calculations as a separate morpheme. The reflexive itse-nsä 339 'self-PX/3SG' was represented as a reflexive pronoun with no further syntactic structure. Note 340 that the binding possibilities for the SELF + PX construction and for the possessive suffix PX 341 alone are not the same (Trosterud, 1990: 2.1.2; Vainikka, 1989, pp. 196-197, 213-216). This 342 assumption might require revision in the future, but it was sufficient to calculate the present 343 dataset. 344 The formal details of the parsing solutions generated by the algorithm (e.g., (9)) depend on the 345 detailed of the Python formalization of the syntactic background theory used here as the starting 346 point. I will not elucidate them in any detail in this paper, since the focus will be on binding and assignments which, according to the present hypothesis, are not part of the syntactic 347 348 module. Moreover, the binding theory proposed here could be tested in connection with 349 different grammatical formalisms. For a detailed description of the syntactic background theory 350 and the parsing process, see Brattico (2019a). 351 Narrow semantics, component (i), works with the endpoint of syntax in our analysis. If we 352 reverse the order of the arguments in the input, the syntactic component normalizes the 353 expression first and only then calculates assignments on the basis of the normalized representation. The result is (12). Binding condition A indeed ignores discourse-based word 354 355 order permutations, as shown by (13), so this assumption seems valid.

356 (12)



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358 (13) Itse-ä-än₁ ihaile-e Pekka₁. (#5) 359 self-PAR-PX/3SG admire-3SG.PRS Pekka.NOM

360 'Pekka (information focus) admires himself (topic).'

The same reasoning applies to simple Ā-dependencies such as interrogatives: operators are canonicalized inside the syntactic processing pathway (Brattico & Chesi, 2020) and only then considered for assignment according to our analysis (14).

364 (14) Itse-ä-än_{1,*2}-kö Pekka₁ ihailee ___? (#6) 365 self-PAR-PX/3SG-Q Pekka.NOM admire-3SG.PRS 366 'Was it himself that Pekka admires?'

Q is a yes/no particle corresponding to yes/no interrogativization and an interrogative operator reading of the fronted reflexive direct object argument (see the translation). Data from Finnish Ā-reconstruction and adjunct reconstruction therefore support the hypothesis that narrow semantics accesses only the endpoint of syntax. The case with successive-cyclic Ā-

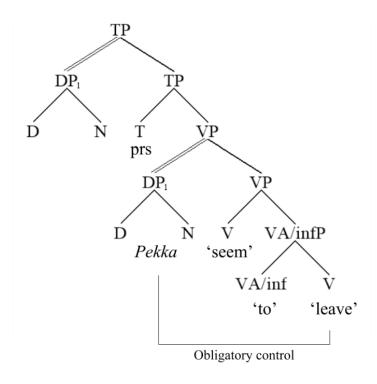
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371	reconstruction and A-reconstruction is more complex (e.g., Barss, 1986; Hicks, 2008; Lebeaux,								
372	2009). To illustrate the issue in Finnish, I used Finnish equivalents of the A-reconstruction								
373	constructions discussed by Lebeaux (2009). They are provided in (15).								
374	(15) a.	Pekka _{1, i}	näyttä-ä	omasta	mielest	ä-än _{1i}	ole-va-n(sa)		valmis.
375		Pekka.NOM	seem-3sg.P	RS own	mind-P	x/3sg	be-VA/INF-(PX/3	Bsg)	ready
376	'Pekka seems to his own mind to be ready.'								
377	b.	Pekka _{1, i}	näyttä-ä	opettaja	ı-nsa ₁	mielestä	ii ole-van	älyk	äs.
378		Pekka.NOM	seem-3sg.P	RS teache	r-px/3sg	opinion	be-VA/INF	intel	lligent
379		'Pekka seem to his teacher to be intelligent.'							
380	c.	Pekka _{1, i}	näyttä-ä	itse-nsä	ı mie	elestä	i komealta.		
381		Pekka.NOM	seem-3sg.P	RS self-PX	x/3sg opi	nion	handsome		
382	'Pekka seem to himself (to be) handsome.'								
383	The subject constitutes a binder for an anaphoric and/or reflexive element inside the main								
384	clause. Many linguistic theories assume, however, that it is A-reconstructed into the trace								
385	position, marked by the gap, where the required interpretations can no longer be accessed.								
386	This is a potential problem. It does not arise here, however, because the syntactic background								
		-					<u>-</u>		-

algorithm does not reconstruct the grammatical subject into the embedded infinitival; rather,

these sentences are analysed as obligatory control constructions (16)(#7).

389 (16)



This is not of course self-evidently correct. If the control analysis of the Finnish raising construction is deemed as problematic, or perhaps incorrect, then I do not see any other option than to question the assumption that assignments are determined on the basis of the output of the syntax alone. In the case of (15), for example, assignment computations must access some type of "surface representations." This would require some rethinking of the overall architecture and was left for future research, also because the binding *was* calculated correctly by the assumptions made here, making any adjustments irrelevant and untestable in the context of the present dataset.

English reflexives are processed in the same way, but involve an additional gender factor. Sentence *John admires herself (#8, 157) receives no assignment because the gender feature of the reflexive does not match with the gender feature of any object that appears in its reference set (e.g., 'John'). English reflexives and gender mismatches are tested by sentences #151-154 and #157-162. Several additional English gender mismatches were tested by sentences in groups §3.2, 3.3. Finnish pronouns and reflexives do not exhibit gender distinctions; human and nonhuman distinction (se 'it' ~ $h\ddot{a}n$'s/he') was used for testing purposes (#9-11). Examples like (17)(#11) show that the system works correctly. Thus, in a context where some nonhuman object, such as a pet dog, is discussed, the following assignments are possible:

- 408 (17) Pekka₁ sanoi että Merja₂ ihaile-e sitä*_{1,*2,3} (#11)
- Pekka.NOM said that Merja.NOM admire-PRS.3SG it.PAR (e.g., a pet dog)
- 410 'Pekka said that Merja admires it (a pet dog, for example).'
- The test corpus has sentences testing inverse subject-reflexive constructions such as himself
- 412 admires John (§3.4), which were correctly ruled out, and reflexives inside conversations (§3.5).
- 413 Reflexive binding was also tested in connection with control constructions (§3.6). Examples
- 414 (20) show the tested patterns in English, but the same constructions or equivalents were also
- 415 tested in Finnish.
- 416 (18) a. John wants Mary₁ to admire herself₁/*himself. (#185, 187)
- 417 b. John₁ wants to admire himself_{1,*2}/*herself (#189-192, 195-6)
- c. John₁ wants himself_{1,*2}/*herself to admire Mary (#197-201)
- Presence of the infinitival subject, more specifically its [REF] feature, cuts the upward paths
- 420 (18a); its absence allows the binding dependency to link an embedded object with the main
- clause subject (18b). On the other hand, infinitivals involve an extra complication because their
- 422 grammatical analyses are controversial, and particularly so in Finnish. The syntactic analyses
- 423 calculated by the syntactic background model, both Finnish and English, are visible in the
- output and must be assessed in a separate study; to me they do not appear completely
- implausible. I will ignore the issue here, because the infinitival data was calculated correctly
- and no change in the underlying assumptions was needed. Binding from an embedded that-
- clause into the main clause subject was correctly ruled out (group §3.7 in the dataset, Table 1).
- 428 *4.3.3 Condition B*
- Condition B of the binding theory, tested systematically by sentences in group §2, restricts
- pronouns into positions where they are not locally bound in Finnish, as first discussed by van
- Steenbergen (1991). Pronouns are endowed with [NEW:REF] requiring an assignment that does
- 432 not denote the same object as another expression inside the reference set. This assumption
- derives (19) in our dataset. Only the relevant assignments are shown.
- 434 (19) a. Pekka₁ ihaile-e hän-tä*_{1,2}. (#12, #79-80)
- 435 Pekka.NOM admire-3sg.PRs he-PAR
- 436 'Pekka₁ admires him*_{1,2}.'
- b. Pekka₁ sanoo että Merja₂ ihaile-e hän-tä_{1,*2,3}. (#13)

- 438 Pekka.NOM says that Merja.NOM admire-3SG.PRS he-PAR 439 'Pekka₁ said that Merja admires him_{1,2}.' 440 [Peka-n₁ sisko]₂ ihaile-e hän-tä_{1,*2,3}. (#14, §2.9) 441 Pekka-GEN sister admire-3SG.PRS he-PAR 442 'Pekka's sister admires him.' 443 d. Pekka₁ ihaile-e [hän-en_{1.2} sisko-a-an.] (#83) 444 Pekka.NOM admire-PRS.3SG he-GEN sister-PAR-PX/3SG 445 'Pekka admires his sister.' 446 The assignments marked in (19) are calculated in this way because under no assignment can 447 the pronoun refer to the same person as the subject. This condition does not prevent coreference 448 in (19), because the embedded clause triggers intervention by [REF] at the head of the embedded 449 subject. In example (19), the possessive DP Peka-n 'Pekka-GEN' does not occur inside the 450 reference set calculated for the pronoun hän-tä 'he-PAR', because it is not visible in the upward 451 path from the pronoun. Example (19b) will be discussed in detail in Section 4.4.4; the internal 452 structure of the possessive constructions more generally is discussed in Section 4.4.5. 453 Combinations of pronouns, proper names and various phi-features (gender, human) in English, 454 formed from (20), are in the group §2.1 (sentences #64-78) and in group §2.2 (sentences #84-455 87). The case assignment patters were tested in group §2.3, sentences #90-97. They were 456 computed correctly. 457 (20) John/Mary/he/she/it admires John/Mary/him/her/it (all combinations). The testing of English possessive constructions such as *John admires his sister* (#77, 78) 458 459 requires a comment. The possessive sentence is represented by *John admires he='s sister* in the test corpus, where symbol = denotes a clitic boundary and 's is the possessive clitic. The 460 461 syntactic model calculates (21), where his can share reference with the main clause subject due 462 to the intervening referential constituent D('s) and is [REF] feature. I will return to the internal 463 analysis of DPs in Section 4.4.5.
- 465 [REF]

(21) [John₁ [admires [DP D('s) [NP he_{1,2} sister]]]

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Pronouns can co-refer with the main clause subject if they occur inside embedded that-clauses (24), #98-103 for equivalent sentences in English. These tests are in group §2.4.

- 468 (22) a. Pekka₁ sanoi että hän_{1,2} ihaile-e Jukka-a*_{1,*2,3}. (#106)
- Pekka.NOM said that he.NOM admire-PRS.3SG Jukka-PAR
- 470 'Pekka said that he (Pekka, third party) admires Jukka.'
- b. Pekka₁ sanoi että Merja₂ ihaile-e hän-tä_{1,*2,3}. (#105)
- 472 Pekka.NOM said that Merja.NOM admire-PRS.3SG he-PAR
- 473 'Pekka said that Merja admires him (Pekka, third party).'
- 474 c. Pekka₁ sanoi että hän_{1,2} ihaile-e hän-tä_{1,*2,3} (#107)
- Pekka.NOM said that he.NOM admire-PRS.3SG he-PAR
- 476 'Pekka said that he (Pekka, third party) admires him (Pekka, fourth party).'
- 477 The calculated assignment possibilities for the second pronoun in (22c) depend on the
- assignments provided for the first pronoun. If the first pronoun *hän* 'he' denotes the same object
- as Pekka under some assignment, then under that same assignment the second pronoun cannot
- denote Pekka because the denotation is reserved by the first pronoun ($Pekka_1 he_1 him_{*1,2}$).
- 481 If the first pronoun denotes somebody else, then coreference is again possible $(Pekka_1 he_2 -$
- $him_{1,*2,3}$). These were calculated correctly by the algorithm (these data were important in
- convincing the author that binding must operate at the level of assignments).
- These data suggest that the complementizer creates an intervention, which follows if it has
- 485 [REF]. This is supported by the observation that infinitivals, such as (23), do not have the
- coreference reading (group §2.5). The complementizer is missing, hence no intervention.
- 487 (23) a. Pekka₁ halua-a [hän-en_{*1,2} ihaile-van Merja-a.]
- 488 Pekka want-PRS.3SG he-GEN admire-VA/INF Merja-PAR
- 489 'Pekka wants him (≠Pekka) to admire Merja.'
- b. John₁ wants him*_{1,2} to admire Mary.
- 491 Perhaps complementizers function like sentential D-elements. They will project propositional
- 492 'thing objects' into the discourse inventory that can function as antecedents of nonhuman
- 493 pronouns (e.g., Mary claimed that [the dog was dead]₁ and John believed it₁). Projection of
- 494 'propositional thing objects' and propositional binding of this type was excluded from this
- study, however. If the phi-features match, coreference reading is available from the direct
- object position, as the embedded subject causes intervention (24)(#108-111, #118-120, #121).
- 497 (24) John₁ wants Mary₂ to admire him_{1 *2 3}/her_{*1 *2 3}.

- 498 Pronouns can refer to any object inside the global discourse inventory as long as the phi-
- 499 features match. This feature was tested by embedding pronouns inside conversations (group
- 500 §2.7). The model calculates these dependencies correctly, as shown by example (25).
- 501 (25) a. John₁ admires Mary₂; he_{1,3} admires her_{2,4}. (#127-128)
- b. It₁ sleeps; he_{1,2} admires Mary_{1,*2,3}. (#131-132)
- In (25a), the first sentence generates two objects 'John' and 'Mary' into the discourse
- inventory. This inventory is available when denotations are calculated for the pronouns in the
- second sentence. Taking the phi-features into account, both pronouns can refer either to an
- existing object in the discourse inventory (he = 'John', her = 'Mary') or to new objects
- 507 generated while reading the second sentence. Phi-feature mismatches prevent all coreference
- readings in (25b). Systematic phi-feature tests are in group §2.8.
- 509 *4.3.4* Condition C
- 510 Condition C of the binding theory, which was tested systematically by sentences in group §1.4,
- states that an r-expression (represented by proper names in the present study) cannot be bound.
- This condition was captured by feature [NEW:_] which requires that the denotation must be new
- 513 in relation to its reference set calculated by assuming no intervention. This derives the dataset
- 514 (26). All coreference readings are ruled out in these examples unless specifically marked as
- 515 possible (e.g., example c).
- 516 (26) a. Hän₁ ihaile-e Merja-a_{*1, 2}. (#15, #56)
- he.NOM admire-3sg.PRs Merja-PAR
- 518 'He admires Merja.'
- 519 b. Pekka₁ sanoi että Merja₂ ihaile-e Jukka-a₃. (#16)
- 520 Pekka.NOM said that Merja.NOM admire-3SG.PRS Jukka-PAR
- 521 'Pekka said that Merja admires Jukka.'
- 522 c. [Hänen₁ siskonsa]₂ sanoi että Pekka_{1,3} ihaile-e Merja-a_{1,*2,*3}. (#17, #58)
- 523 S/he.GENsister said that Pekka.NOM admire-3sg.PRs Merja-PAR
- 524 'His/her₁ sister said that Pekka admires Merja_{1,2}.'
- 525 d. Hän₁ sanoi että Pekka₂ ihaile-e Merja-a₃. (#57)
- 526 S/he said that Pekka.NOM admire-PRS.3SG Merja-PAR
- 527 'He said that Pekka admires Merja.'

- The corresponding English sentences are #50-55, group §1.4. Without intervention feature the
- dependency extends through the whole structure (26b) (group §1.2 in test corpus). These
- dependencies are regulated by the upward path mechanism (26c); hence it is possible to use a
- proper name to denote a discourse old object, as shown by (27) below.
- 532 (27) John₁ sleeps; John_{1, 2} admires Mary. (#18-19, also group §1.5, #59-62)
- The same logic extends to infinitivals (28)(group §1.3), where all proper names must be disjoint
- in reference.
- 535 (28) a. John₁ wants Mary $_{1,2}$ to admire Bill $_{1,*2,3}$. (#48)
- 536 b. Pekka₁ sanoo Merja-n*_{1,2} ihaile-van Jukka-a*_{1,*2,3}. (#49)
- Pekka says Merja-GEN admire-VA/INF Jukka-PAR
- 'Pekka says that Merja admires Jukka.'
- 539 Sentences (29) were also calculated correctly.
- 540 (29) a. John₁ admires Mary_{*1,2}. (#40)
- 541 b. John₁ admires John_{*1,2}. (#42)
- The coreference reading in (29a) is ruled out both by the binding conditions and by gender
- mismatch; in (29b) it is ruled out only by binding. Thus, repetition of upward path connected
- 544 proper names generates disjoint reference readings that can be resurrected downstream if
- prompted by pragmatic conditions. All sentences of this type, for English and Finnish, are in
- group §1.1 in the test corpus.
- 547 *4.3.5* Apparent violations of the Binding Conditions
- 548 Previous literature has reported several examples where binding conditions are seemingly
- violated. The following examples were discussed by Reinhart (1983, pp. 168–169), who cites
- 550 Evans (1980).
- 551 (30) a. I know what John and Bill have in common. John thinks that Bill is terrific and Bill
- thinks that Bill is terrific. (Example (55a), p. 168, in the original)
- b. I know what Bill and Mary have in common. Mary adores Bill and Bill adores Bill /
- and Bill adores him too. (Example (57a), p. 169, in the original)

- Example (30a) violates Condition C; example (30b) Condition B. A long list of similar exempt anaphors including reflexives were listed in Pollard & Sag (1992), reviewing and relying on much previous work, and then discussed by many others (see Charnavel 2021 for recent work). According to the model proposed here, violations of binding conditions reduce the weights of the corresponding assignments to zero instead of eliminating them, so that downstream cognitive processes can still resurrect them. These data motivated the cognitive architecture underlying the present hypothesis.
- 562 4.3.6 Null subject sentences
- 563 Finnish is a partial pro-drop language, which allows grammatical subject pronouns to be
- dropped in all person and number configurations with the exception of the third person (31a-
- 565 b) (Vainikka, 1989; Vainikka & Levy, 1999).
- 566 (31) a. Ihaile-n Merja-a. (#20, 63)
- admire-1sg.prs Merja-par
- '(I) admire Merja.'
- b. *Ihaile-e Merja-a.
- admire-3sg.prs Merja-par
- 571 Intended: 'He admires Merja.'
- The calculated output for (31a) is [TP T_{pro} [vP v [DP Merjaa]]] where the first person agreement
- suffix -n in the input projects a phi-set $\varphi = [1SG]$ inside the tense node $T = T_{[3SG]}$. The
- pronominal agreement cluster then functions as a pro-element in syntax, generating T_{pro}.
- Because the assignment mechanism is triggered by nominal phi-features, T_{pro} projects the
- singular first person object (speaker) to the discourse inventory and derives (32), where the
- 577 reflexive is bound by the pro-element inside the predicate.
- 578 (32) Ihaile- n_1 itse- \ddot{a} - $ni_{1,*2}$. (#21)
- admire-1sg.prs self-par-px/3sg
- 7 i admire myself.'
- $[T_{pro1} [v [DP self_1]]]$
- 582
- Notice that the model correctly calculates a disjoint reference reading for (31b) due to the
- Condition C of the binding theory: T_{pro} and the proper name cannot refer to the same thing

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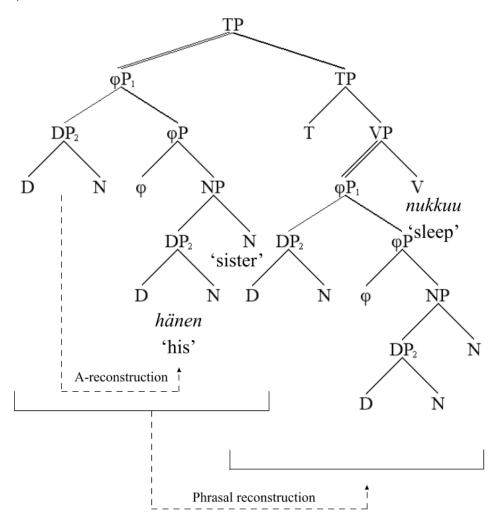
even though their phi-features match (#63). Up to this point, then, the system works as intended. A complication, however, comes from the fact that a third person embedded pro-drop clause is grammatical in Finnish if (and only if) the null pronoun can be paired with a c-commanding antecedent (33). As shown by the translation, the thematic subject of the embedded but subjectless clause must be the same as the subject of the main clause.

- 590 (33) Pekka sanoo että [ihaile-e Merja-a.] (#22)
- 591 Pekka says that admire-3sg.prs Merja-PAR
- 'Pekka₁ says that he (=Pekka₁) admires Merja.'
 - This phenomenon has received much interest in the literature (Brattico, 2017; Holmberg, 2005; Holmberg & Sheehan, 2010; Vainikka, 1989; Vainikka & Levy, 1999), one view being that the third person pro-element is too 'weak' and requires semantic support from an antecedent (Holmberg & Sheehan, 2010). The syntactic background theory follows this analysis and assumes that the third person pro element lacks a fully specified D-feature, which triggers antecedent support (Brattico, 2021b). The problem is that *antecedent support does not constraint assignments*. What we need to do is to introduce an additional condition which makes it so that if a predicate is assigned an argument by antecedent support, as in (33), its own pro-element must be assigned the same interpretation. Once I added this restriction to the algorithm, the data comes our correctly (34)(also group §2.10). Also reflexive binding was tested inside these environments (§3.8, #204-207).
- 604 (34) a. Pekka₁ sanoo että pro_{1.*2} ihaile-e Merja-a_{*1.*2, 3}. (#22, #147)
- 605 Pekka says that admire-3sg.prs Merja-par
- 'Pekka says that he =(Pekka) admires Merja.'
- b. Pekka₁ sanoo että pro_{1,*2} ihaile-e itse-ä-än_{1,*2,*3}. (#23)
- Pekka says that admire-3SG.PRS self-PAR-PX/3SG
- 'Pekka says that he (=Pekka) admires himself.'
- 610 c. Pekka₁ sanoo että pro_{1,*2} ihaile-e hän-tä $*_{1,*2,3}$. (#24)
- Pekka says that admire-3sg.prs he-par
- 'Pekka says that he (=Pekka) admires him (≠ Pekka).'
- d. Pekka₁ sanoo että pro*_{1,2} ihaile-n hän-tä_{1,*2,3}. (#25, #148)
- Pekka says that admire-1sg.prs he-par
- 'Pekka says that I admire him (Pekka or someone else).'

- Pro-subject functions as an intervention element for the pronoun, allowing the pronoun to pair
- with the main clause subject. This is because it contains the intervention feature [REF]. This
- means that both the subject and an Agr head (here T_{pro}) can define a local domain for anaphors
- 619 (Chomsky, 1981: 209–211). The results are the same if *Pekka* is replaced with hän 'he' (#149,
- 620 150).
- When the input sentence contains both a grammatical subject and a T_{pro}-element, the model
- still creates impossible interpretations illustrated in (35).
- 623 (35) $H\ddot{a}n_1$ ihaile- $e_{1,2,3}$ Merja- a_3 .
- he.NOM admire-3sg.PRs Merja-PAR
- 'He admires Merja.'
- Again, the pro-element inside T_{pro} behaves as a rogue referential entity establishing its own
- denotations. The subject and the pro-element at T_{pro} cannot, however, refer to separate semantic
- objects. This problem was solved by assuming that the operation Agree, which checks the phi-
- 629 features of the predicate against the properties of the grammatical subject, pre-empts both
- antecedent support and the projection of a separate pro-element into the discourse inventory.
- The fact that these connections had to be forced into the algorithm suggests that the three
- 632 mechanisms control, agreement and binding share an underlying (to me also unknown)
- mechanism. What this shared resource might be was left for future study.
- 634 4.3.7 DP-internal binding
- The syntax and semantics of full argument DPs and binding inside such constructions present
- special issues. In some earlier models that used the same syntactic background theory (Brattico,
- 637 2020, 2021b; Brattico & Chesi, 2020), full arguments were assumed to consist of [DP D NP]
- structures, following the DP-hypothesis (Longobardi, 1994). The problem is that Finnish lacks
- grammaticalized articles and D elements cannot be assumed to be present in the input. This
- 640 issue was solved in this study by assuming, following the line of analysis by Déchaine &
- Wiltschko (2017), Déchaine & Wiltschko (2002) and van Steenbergen (1987, 1991), that full
- bare nominal arguments can be analyzed as $[\varphi P \varphi N]$ structures, where φ is a nominal agreement
- cluster carrying phi-features. All bare noun arguments in Finnish were analyzed in this way
- 644 (e.g., sisko 'sister' $\rightarrow [_{\Phi P} \oplus \text{sister}]$). The possessive argument is then generated to Spec $_{\Phi}P$ and
- gets reconstructed to SpecNP (36-37).

646 (36) Hän-en sisko-nsa nukku-u. (#26) 647 S/he-GEN sister.NOM-PX/3SG sleep-3SG.PRS 648 'His/her sister sleeps.'

649 (37)



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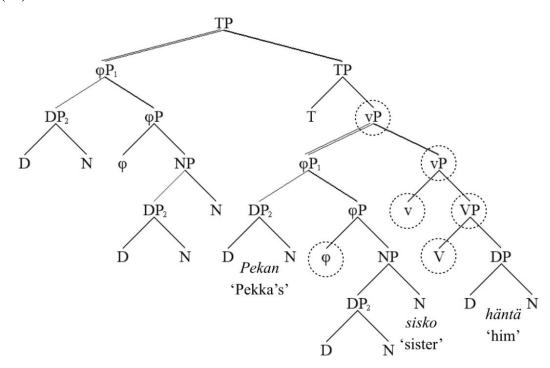
In English, 's is interpreted by the syntactic background theory as a clitic, projecting his sister = [DP D(s) [D he] N], assuming that 's represents D. While this is controversial, what matters is that the possessive DP is invisible for upward paths emerging from any element inside the hosting clause. Such upward paths will see φ but not the possessive pronoun:

(38) [φP Peka-n1 sisko]2 ihaile-e hän-tä1,*2,3. (#27)
 Pekka-GEN sister admire-3SG.PRS s/he-PAR
 'Pekka's sister admires him.'

The analysis, showing the upward path calculations from the direct object pronoun, is (39).

659 (39)

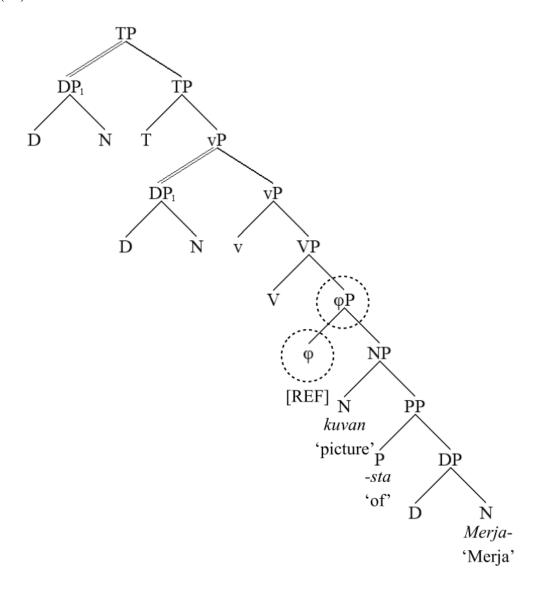
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- Another consequence of this analysis is that both (40a-b) are calculated correctly. D^0 and ϕ^0 intervene, allowing the pronoun to refer to the main clause subject.
- 663 (40) a. John₁ admires [DPD his_{1,2} sister]₃. (#28)
- b. Pekka₁ ihaile-e [$\varphi P \varphi$ (hän-en₁) sisko-a(-an).] (#29)
- Pekka.NOM admire-3SG.PRS (he-GEN) sister-PAR(-PX/3SG)
- 'Pekka admires his sister.'
- The Finnish version (40b) with the coreference reading feels marginal but not ungrammatical.
- 668 Chomsky (1981, p. 65) proposes that these constructions exhibit an "avoid pronoun" principle
- that prefers null pronouns when an overt pronoun would be redundant; see also Kaiser (2003),
- 670 footnote 2, p. 9, who notices that her native speakers "permit" reading (41b) against "standard
- judgments." It is unclear to me what "standard judgments" means here; to me, (39b) is possible,
- but marginal.
- A potential issue is that both pronouns and reflexives are possible in the complement positions
- 674 of nouns (41).
- 675 (41) a. Pekka₁ ott-i kuva-n itse-stä-än_{1,*2}
- Pekka.NOM take-3sg.Pst picture-ACC self-ELA-PX/3sg

677		'Pekka took a picture of himself.'				
678	b.	Pekka ₁	ott-i	kuva-n	hän-estä??1,2.	
679		Pekka.NOM	take-3sg.pst	picture-ACC	he-ELA	
680		'Pekka took	a picture of h	im.'		
681	c.	Pekka ₁	ott-i	kuva-n	Merja-sta ₂ . (#31)	
682		Pekka.NOM	take-3sg.pst	picture-ACC	Merja-ELA	
683		'Pekka took	a picture of M	Ierja.'		
684	At first this	data seems t	o contradict	the present h	ypothesis, which predicts pronouns and	
685	reflexives to be complementary. Feeding these expressions to the syntactic background model					
686	reveals a different picture. Due to the discourse-configurationality of Finnish, the syntactic					
687	background model treats both the accusative-marked direct object and the preposition as					
688	adjuncts. This means that input sentences such as (41a-c) will have multiple ambiguities					
689	depending on the assumed position of both the direct object and the PP. The first solution found					
690	by the model	is (42)(see #	31).			

691 (42)



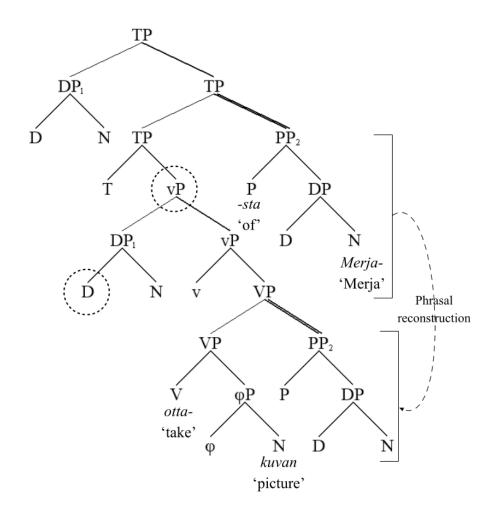
The PP 'of Merja' was analysed as the complement of the noun head 'picture' to create a complex DP with the meaning 'a picture of Merja'. Because φ causes intervention, shown by the circles in (42), upward path reaches φ , licensing 'Pekka₁ took a picture of him₁' but not 'Pekka₁ took a picture of himself₁'. The second parse is (43), line 1398.

697 (43)

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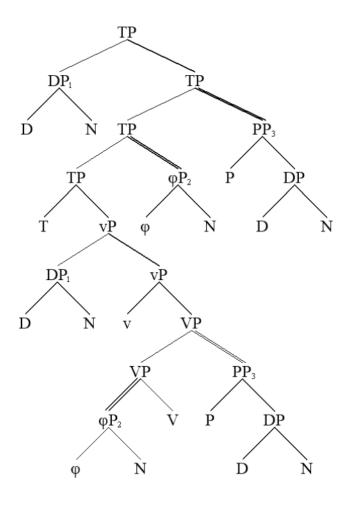
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is (44).



The parser assumed that the PP is right-adjoined to the TP, and reconstructed it as right-adjoined to VP. Under this interpretation, the sentence means roughly 'Pekka took a picture, and it was of Merja', so that 'of Merja' is interpreted as an argument of the verb or event and not as the complement of N. The reference set for the DP inside PP contains everything up to the subject and the direct object is invisible, thus we get 'Pekka₁ took a picture of himself₁' and not 'Pekka₁ took a picture of him₁'. This ambiguity derives the data in (41). The third analysis

706 (44)



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Here both the direct object and the PP were initially analysed as being adjoined to the right of TP, and both were reconstructed. The binding possibilities are those of (43). If we assume that in English picture PPs can be adjoined while DPs can't, then we get (42) and (43) but not (44), which is sufficient to capture data from English.

- These calculations are not uncontroversial. Some of the particulars do not matter, however.
- What does matter is that a right-adjoined PP can be analysed both as a complement of the noun
- and as an "extraposed" right-adjoined VP-modifier, and this ambiguity, when available, causes
- 715 the complementarity between *him* and *himself* to break down.

716 4.3.8 Embedded infinitivals

Embedded infinitivals are transparent to upward paths. Embedded pronouns cannot co-refer with main clause subjects, while reflexives can. The model calculates these dependencies

- correctly, both for English (45) and Finnish (46). Note that Finnish has several infinitival forms
- 720 glossed as A/INF (A-infinitival) and VA/INF (VA-infinitival)(Koskinen, 1998; Vainikka, 1989).
- 721 (45) a. John₁ wants to admire $him_{1,2}$. (#32)
- 722 b. John₁ wants to admire himself_{1,*2} (#33)
- 723 (46) a. Pekka₁ halua-a [ihail-la hän-tä*_{1,2}.] (#34)
- Pekka.NOM want-3SG.PRS admire-A/INF he-PAR
- 725 'Pekka wants to admire him.'
- 726 b. Pekka₁ halua-a [ihail-la itse-ä-än_{1,*2}.] (#35)
- Pekka.NOM want-3SG.PRS admire-A/INF self-PAR-PX/3SG
- 728 'Pekka wants to admire himself.'
- An embedded subject, however, does cause intervention and reverses the pattern (47). These
- results again correspond to the effects of the Specified Subject Condition of the standard
- binding theory (Chomsky, 1977, 1981; Chomsky & Lasnik, 1977).
- 732 (47) a. Pekka₁ halua-a [Merja-n₂ ihaile-van hän-tä_{1,*2,3}.] (#36)
- 733 Pekka.NOM want-3sg.prs Merja-gen admire-va/INF he-par
- 'Pekka wants Merja to admire him.'
- 735 b. Pekka₁ halua-a [Merja-n₂ ihaile-van itse-ä-än*_{1, 2,*3}.] (#37)
- 736 Pekka.Nom want-3sg.prs Merja-gen admire-VA/INF self-par-PX/3sg
- 737 'Pekka wants Merja to admire herself/*himself.'
- Positioning the pronouns to the embedded subject position calculates the expected results:
- pronouns cannot co-refer with the main clause subject while reflexives can. van Steenbergen
- 740 (1991) suggests however that the binding domain for the Finnish reflexive is determined by
- tense and supports the generalization by citing the VA-infinitival construction (example (6a)
- in the original, p. 235, my glossing):
- 743 (48) Pekka₁ näki [v_{A/infP} Mat-in₂ katso-van itse-ä-än_{1,2}.]
- Pekka.nom see.3sg.pst Matti-gen look-va/inf self-par-px/3sg
- 745 'Pekka saw Matti watch himself.'
- To me the long-distance binding configuration is marginal, and indeed van Steenbergen cites
- other infinitival constructions where she agrees with my judgment (e.g., example (8) in her

- paper). This claim is not isolated, however; also Trosterud (1990, p. 69) judges (49)
- 749 grammatical (=ex. 35b in the original).
- 750 (49) Maija₁ käsk-i meidän pes-tä itse-nsä₁.
- 751 Maija.NOM order-3SG.PST we.GEN wash-A/INF self-PX/3SG
- 'Maija ordered us to wash herself.'
- 753 I find this marginal, and ungrammatical if the embedded subject and the reflexive agree in phi-
- 754 features (meidän itsemme 'us self.PX/1PL' or hänen itsensä 'self.PX/3SG'). Trosterud
- reports the same result from Pyssyjoki Finnish (pp. 95-96), thus his informants did not accept
- long-distance binding when the intervening subject and the anaphor agreed. Long-distance
- binding was absent from the Finnmark dialect (pp. 93-94). Finally, Trosterud reported that
- 758 there was between-speaker variation in the elicited judgments. This instability corresponds
- with my own intuition, equally unclear and marginal at best. Therefore, I think that a clear-cut
- conclusion cannot be reached without further data. The analysis proposed in this article allows,
- however, one to define locality on the basis of the minimal tense phrase (by feature [OLD:T]),
- but the hypothesis must be tested over several constructions in the dialect/language where it
- looks reasonable. In my Finnish, it does not.

764 **5 Conclusions**

- Properties of binding in Finnish (and English) were calculated from a formal model which
- assumes that binding operates at the language-cognition interface. In addition to standard
- binding configurations, also picture nouns, noncanonical word orders, operator constructions,
- null subject clauses, and embedded infinitivals follow from the hypothesis. The hypothesis was
- 769 formulated as a Python algorithm and tested against a dataset. Syntax-internal binding
- mechanisms were not needed in the calculations, and were rejected as redundant.
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^{*} File for review purposes: https://we.tl/t-FMyVI8NP5W

[†] Files for review purposes, grammaticality judgments calculated by the model (https://we.tl/t-TjVzFKuwSu); results file which contains syntactic analyses and semantic interpretations (https://we.tl/t-mKadNoXy3x); derivational log file which contains whole derivations for each input sentence (https://we.tl/t-D7qjmZyN1Y). The original test corpus is also available (https://we.tl/t-FMyVI8NP5W).

[‡] File for review purposes: https://we.tl/t-FMyVI8NP5W

[§] File for review purposes: https://we.tl/t-mKadNoXy3x.

^{**} All phrase structure images can be downloaded from https://we.tl/t-74yZXJNtZI.