

CASE ASSIGNMENT, THEMATIC ROLES AND INFORMATION STRUCTURE

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Abstract. A formal model is presented that deduces the properties of Finnish structural case assignment by relying on graph-theoretical paths and intervention. More complex and controversial features such as nonlocal dependencies, adverbial case marking, case competition, DP internal case patterns and interaction of case with agreement, aspect and polarity are also calculated from the model. Finally, the model links case assignment with word order, thematic roles and information structure, suggesting that case could play a role in language comprehension.

Keywords: Structural case; case; case assignment; thematic roles; information structure; Finnish

1 Introduction

Finnish structural case assignment has evoked a considerable amount of controversy in the linguistic literature. The most interesting features of the system are its nonlocal dependencies (Anttila & Kim, 2017; Brattico, 2009, 2014; Ikola, 1950, 1986, 1989; Linden, 1956; Toivonen, 1995; Vainikka & Brattico, 2014; Wiik, 1972), sensitivity to agreement, aspect and polarity in addition to syntactic position (e.g., Anttila & Kim, 2010; Brattico, 2020b; Heinämäki, 1994; Huomo, 2013; Itkonen, 1976, 1977; Kiparsky, 1998, 2001; Nelson, 1998; Reime, 1993; Timberlake, 1975; Vainikka, 1988, 1989), adverbial case marking (Maling,

1993; Vainikka & Maling, 1996) and layered case assignment and case competition (Brattico, 2010, 2011; Nelson, 1998). Moreover, Finnish exhibits at least fifteen different case forms, with four separate structural case forms alone. Thus, the empirical footprint of the Finnish structural case assignment is considerable.

I present a formula that seizes the structural case assignment signature of this language. The formula is based on the hypothesis that overt morphological case features in the linguistic input are checked against lexical elements (“case assigners”) by means of a graph-theoretical upward path dependency, developed on the basis of Kayne’s connectness hypothesis (1983, 1984). Path dependencies are limited by intervention, however, which confines case checking into well-defined domains. Abstract Case plays no role in the model. The case checking mechanism is further embedded inside a Python-based recognition grammar that maps input sentences into syntactic and semantic representations. The resulting model detects oddball arguments that appear in noncanonical positions and attempts reconstruction on the basis of overt case information, and filters out failed solutions that come out of the syntactic parsing pathway before they are forwarded to the semantic systems for interpretation. These mechanisms, which operate during language comprehension, correlate morphological case forms with thematic roles and information structure.

Section 2 introduces the basic properties of Finnish structural case assignment, key principles of the analysis, and provides few examples towards justification. Section 3 reports a computational experiment where the model is applied to a dataset containing a significant portion of the structural case assignment signature of Finnish. Most of the concrete empirical data concerning Finnish case assignment can be found from this section. Section 4 contains the conclusions. There is also a technical supplementary that provides some details excluded from the main article.

2 Case assignment, upward paths, and intervention

2.1 Background

In many languages, nominal words such as adjectives and nouns take different morphological forms depending on their morphological, syntactic, and semantic context. For example, the two forms *he* ~ *him* are traditionally classified as the two case forms, nominative and accusative, respectively, of the one and the same underlying masculine singular pronoun. The term *case assignment* is used in this connection to refer to the process of selecting some case form, given a context. For example, English prepositions can be said to assign the accusative to their complement since they control its distribution (e.g., *to him*, **to he*). A linguistic theory of case assignment is concerned with specifying the necessary and sufficient conditions for the distribution of some or all case forms, in one or several languages. Here we are interested in developing a theory of Finnish case assignment in this sense.

Finnish has fifteen nominal case forms, with four structural case forms (these numbers depend slightly on the theoretical prism used in the analysis)(1). Further comments concerning this system are provided below.¹ All examples in this article are in Finnish unless otherwise stated.

(1) a. *Nominative*

Merja/	kengä-t/	hän	hävis-i.
Merja.NOM	show-PL.NOM	he.NOM	disappear-PST.3SG

'Merja/shoes/he disappeared.'

b. *Partitive*

Pekka	ihaile-e	Merja-a/	kenk-i-ä/	hän-tä
Pekka.NOM	admire-PRS.3SG	Merja-PAR	shoe-PL-PAR	he-PAR

'Pekka admires Merja/shoes/him.'

75 c. *Accusative (n-accusative ACC(N), t-accusative ACC(T))*

76 Pekka näk-i Merja-n/ kengä-t/ hän-et.

77 Pekka.NOM see-PST.3SG Merja-ACC(N) shoe-PL.ACC(T) he-ACC(T)

78 ‘Pekka saw Merja/shoes/him.’

79 d. *Accusative (0-accusative ACC(0), t-accusative)*

80 Me näh-tiin Merja/ kengä-t/ hän-et.

81 we.NOM see-PST.IMPASS Merja.ACC(0) shoe-PL.ACC(T) he-ACC(T)

82 ‘We saw Merja/shoes/him.’

83 e. *Genitive*

84 Pekka käsk-i Merja-n/ kenk-i-en/ hän-en tulla.

85 Pekka.NOM order-PST.3SG Merja-GEN shoe-PL-GEN he-GEN came.

86 ‘Pekka ordered/asked Merja/shoes/he to come.’

87 Nominative case (1a) can be regarded as the canonical subject case. It is assigned to the
 88 grammatical subjects of both canonical intransitive and transitive clauses. Partitive and
 89 accusative (1c-d) are canonical direct object cases and tend to represent objects or patients.
 90 The genitive is assigned to the subject of the infinitival in the example (1e) but has many
 91 more uses. The system is complicated by the existence of the three accusative forms: the t-
 92 accusative (for plurals and pronouns), n-accusative ACC(N) and the zero-accusative ACC(0)
 93 (for singular full DPs). I will have much to say about these three forms later. The case forms
 94 are summarized in Table 1 for later reference.

95

96 Table 1. Case marking of full argument DPs and pronouns

	FULL DPS		PRONOUNS	
	SINGULAR	PLURAL	SINGULAR	PLURAL
NOM	<i>hevonen</i> ‘horse’	<i>hevos-et</i> ‘horses’	<i>minä, se</i> ‘I, it’	<i>me, ne</i> ‘we, it.pl’

GEN	<i>hevos-en</i>	<i>hevos-ten</i>	<i>minu-n, se-n</i>	<i>me-idän, ni-den</i>
ACC	<i>hevonnen</i> (ACC(0)) <i>hevos-en</i> (ACC(N))	<i>hevose-t</i> (ACC(T))	<i>minu-t</i> , (ACC(T)), <i>se-n</i> (ACC(N))	<i>me-idät</i> (ACC(T)), <i>ne</i> (ACC(0))
PAR	<i>hevos-ta</i>	<i>hevos-i-a</i>	<i>minu-a, si-tä</i>	<i>me-itä, nii-tä</i>

97

98 Notice that the two accusative forms, the zero-accusative (ACC(0)) and the n-accusative
99 (ACC(N)), are homophonous with the nominative and genitive cases, respectively. This pattern
100 is restricted to full singular DPs: pronouns and plurals have their own unique t-accusative
101 forms (ACC(T)) in all contexts where the zero-accusative and n-accusative are attested. Most
102 descriptive grammars assume that the zero-accusative is the nominative, the n-accusative the
103 genitive. So far I have been unable to calculate the data from a system that makes this
104 simplification, so the issue was left for future research. Consequently, the n-accusative will be
105 glossed as ACC(N), the zero-accusative as ACC(0).

106 2.2 The hypothesis

107 We propose two principles regulating the distribution of the Finnish case forms listed in (1)
108 and Table 1. First, overt morphological case forms are checked against sets of lexical features
109 instead of a single feature or head.² This will capture situations in Finnish where several
110 syntactic and/or semantic factors (e.g., aspect, polarity, agreement) affect one case form. The
111 assignment dependency between the assigner and assignee is then defined by means of a
112 graph theoretical *path*. Suppose a case assignee α requires checking by lexical features $F = \{f_1$
113 $\dots f_n\}$; then

114 (2) Case checking and feature intervention

115 F checks α if and only if F occurs inside an *upward path* from α such
116 that there is no closer nonempty set G , $G \subset F$, inside the same path;

117 (3) *Upward path*

118 the upward path from α contains all constituents that dominate α and their immediate
119 daughters.

120 We imagine the case assignee as searching for a suitable case checker by “scanning through
121 the path.” The search continues until the case assignee encounters either a full match of
122 features F , leading into checking, or partial match G , $G \subset F$, leading into failure; or reaches
123 the end of the structure, which will also lead into failure. Intuitively case forms are licensed
124 inside the “syntactic scopes” of lexical elements, where the notion of syntactic scope is
125 defined by (2-3) and the relevant lexical elements by F . I will use the term “government”
126 when referring to the syntactic scope from the point of view of the case assigner. Case
127 checking establishes that the case form and its grammatical context match. To illustrate both
128 the terminology and analysis, consider (4a-b).

- 129 (4) a. Pekka [NegP **e-i** voitta-nut *kilpailu-n/ kilpailu-a.]
130 Pekka.NOM not-3SG win-PST.PRTCPL competition-ACC(N) competition-PAR
131 ‘Pekka did not win the competition.’
132 b. Pekka [AuxP **o-n** voitta-nut kilpailu-n/ *kilpailu-a.]
133 Pekka.NOM be-pst.3sg win-PST.PRTCPL competition-ACC(N) competition-PAR
134 ‘Pekka did win the competition.’

135 These data show that the Finnish partitive-accusative alteration is in some way sensitive to
136 polarity. The accusative cannot be governed by the negation, while the partitive has the
137 opposite profile. Furthermore, this is a well-known feature of the Finnish case system. The
138 principles (2-3) proposed above define the relevant checking configuration, shown in (5).

139 (5) Pekka [NegP e-i [voitta-nut *kilpailu-n.]]
 140 Pekka not-3SG win-PST.PRTCPL competition-ACC(N)
 141 └──────────────────────────────────┘

142 The dependency, like all case dependencies examined in this study, is formed by creating a
 143 path from the case assignee to the assigner through the phrase structure. The assigner, in turn,
 144 is defined by a set of features F, in this case features that have to do with polarity (the details
 145 are examined later). As a consequence, the direct object appears inside the syntactic scope of
 146 the negation, and the latter is said to govern the former. Standard local case assignment, such
 147 as that holding between a preposition and its complement, is modelled as a special case of the
 148 same dependency. If the case form and the grammatical context match, we say that the case
 149 features are checked.

150 2.3 Case forms and their features

151 2.3.1 Introduction

152 Next, we specify the feature sets F involved in the mechanism. These features define the
 153 elements that will govern case assignees in our model. For example, suppose we want to say
 154 that arguments with direct object cases must be governed by transitive verbs. We would then
 155 use F to define what we mean by “transitive verb,” most likely by means of at least two
 156 features ‘being a verb’ and ‘being transitive’. Similarly, if we want to capture (4-5) by linking
 157 the Finnish direct object case forms to polarity, F will define what we mean by “polarity.”
 158 Finally, these definitions must be provided in a fully formal way so that the system can be
 159 implemented computationally.

160 2.3.2 Partitive

161 Vainikka (1988, 1989, 1993, 2003) has argued that the Finnish partitive behaves like a
 162 “default complement case.” It occurs in the complement position of prepositions (*kohti talo-a*

163 ‘towards house-PAR’), numerals (*kolme talo-a* ‘three house-PAR’), participle adjectives (*talo-a*
 164 *ostava* ‘house-PAR buying’), noun heads (*joukko sukki-a* ‘stack sock-PAR’), quantificational
 165 elements (*paljon sukki-a* ‘many socks-PAR’), and further encodes aspectual properties when
 166 occurring as a direct object (4). For an explicit argument that the Finnish partitive constitutes
 167 a structural complement case, not semantic or inherent case, see (Vainikka & Maling, 1996).
 168 While the notion of “complement” does not occur in (2-3), the preposition is inside the
 169 upward path generated from the case assignee at the complement position. Furthermore, the
 170 cases just mentioned are unified by the fact that none of the lexical items assigning the
 171 partitive agree in phi-features with the case assignee. I will show in this article that the facts
 172 follow if we assume that the partitive is checked against non-agreeing case assigners.

173 This requires that we define the class of case assigners. Case assigners will be
 174 distinguished in this study by the lexical feature +ARG. This will prevent determiners,
 175 conjunctions, complementizers, numerals and many other case-neutral lexical items, lacking
 176 this feature, from participating in case dependencies. Whether a lexical item can exhibit
 177 agreement is marked by lexical feature +VAL: +VAL allows the head to exhibit overt
 178 agreement, –VAL prohibits it. Hence, we will assume $PAR \sim +ARG, -VAL$ which says that the
 179 partitive DP must occur inside the syntactic scope of a non-agreeing (–VAL) case assigner
 180 (+ARG)(i.e. F in (2-3) will be {–VAL, +ARG}). We show that this calculates the correct results
 181 over the whole dataset and subsumes Vainikka’s default complement rule. To illustrate,
 182 consider the Finnish adposition data (6).

183	(6) a.	<i>lähellä minu-a/</i>	b.	<i>minu-n lähellä(-ni)/</i>	c.	<i>*lähellä-ni minu-a</i>
184		near I-PAR		I.GEN near(-PX/1SG)		near-PX/1SG I-PAR
185		‘near me’		‘near me’		‘near me’

186 Some Finnish adpositions have two forms, one that assigns the partitive to the argument at the
 187 complement position (6a), another assigning the genitive to the specifier position (6b). When
 188 the genitive is assigned, the adposition exhibits optional phi-agreement with its argument.
 189 Agreement is not possible if the argument is marked for the partitive case (6c). Rule PAR ~
 190 +ARG, -VAL is designed to capture generalizations of this type in our dataset.

191 2.3.3 *Accusative (three forms)*

192 In addition to the partitive, direct objects of verbs and deverbal predicates can be assigned the
 193 accusative. Its presence correlates with certain telic properties of the event denoted by the
 194 verb phrase (e.g., Carlson, 1981; Csirmaz, 2012; Heinämäki, 1994; Kiparsky, 1998; Thomas,
 195 2003; Vainikka, 1989)(7).

196 (7) a. Pekka pes-i hevos-en.
 197 Pekka wash-PST.3SG horse-ACC(N)
 198 ‘Pekka washed the (whole) horse.’

199 b. Pekka pes-i hevos-ta.
 200 Pekka wash-PST.3SG horse-PAR
 201 ‘Pekka washed the horse (but the horse did not necessarily become clean).’

202 Let us assume, following Kiparsky (1998), that (7a-b) are distinguished from each other by
 203 whether the event denoted by the verb phrase includes an end point (‘complete action’)(7a) or
 204 not (‘incomplete action’)(7b), and that the feature representing the relevant distinction in the
 205 lexicon is ASP:BOUNDED. The feature is part of a verbal head (V, v), possibly a separate Asp
 206 head. To capture (7), we assume that the accusative rule refers to this aspectual feature. The
 207 hypothesis is illustrated in (8).

208 (8) Pekka pesi hevos-en.
 209 Pekka wash_[+ASP] horse-ACC(N)
 210 └──────────┘

211 There is a complication, however. The accusative, when licensed by aspect in the manner
 212 illustrated in (8), can take several forms depending on whether the upward path contains an
 213 agreeing predicate (1c-d). This is illustrated by (9).³

214 (9) a. Me pes-i-**mme** hevose-n/ *hevonon/ hevose-t.
 215 We.NOM wash-PST-1PL horse-ACC(N) horse.ACC(0) horse.ACC(T).PL
 216 ‘We washed the horse.’
 217 b. Me pest-**tiin** *hevose-n/ hevonon/ hevose-t
 218 we.NOM wash-PST.IMPASS.0 horse.ACC(N) horse.ACC(0) horse-ACC(T).PL
 219 ‘We washed the horse.’

220 To capture (9), I assume that also overt agreement enters into the feature sets checked by the
 221 accusative. Since plural full DPs are not affect, as shown by (9), this rule is restricted to
 222 singular full DPs. Finally, polarity is also relevant, as already shown by (4). Consequently, we
 223 add polarity into the accusative rule. Finally, both the agreement and polarity effects are
 224 nonlocal. This is an uncontroversial feature of the Finnish case system (for recent work, see
 225 Anttila & Kim, 2017; Vainikka & Brattico, 2014). Example (10) shows how the main clause
 226 agreement affects direct object case forms inside an infinitival complement clause α .

227 (10) a. Me halus-i-mme [_{α} rakenta-a *talo / talo-n.]
 228 we.NOM want-PST-1PL build-A/INF home.ACC(0) home-ACC(N)
 229 ‘We wanted to build a house.’
 230 b. Me halut-tiin [_{α} rakenta-a talo / *talo-n.]

231 we.NOM want-PST.IMPASS.0 build-A/INF home.ACC(0) home-ACC(N)

232 ‘We wanted to build a house.’

233 The first person plural agreement in the main clause affects the direct object case forms inside
 234 the infinitival complement clause. The case assigner and assignee are separated from each
 235 other by at least four grammatical heads and the infinitival clause boundary. This type of
 236 nonlocality is an intrinsic feature of the path mechanism (2-3): the upward path may continue
 237 until either there is intervention or no more structure. This is how the proposed analysis will
 238 calculate nonlocal case dependencies in the dataset.

239 In sum, to calculate the distribution of all accusative forms in Finnish we will refer to
 240 four features: case activity (+ARG), aspect (ASP:BOUNDED), agreement (\pm PHI) and polarity
 241 (\pm NEG), all which must be checked by (2-3).

242 2.3.4 *Genitive and nominative*

243 Vainikka suggested that the Finnish genitive case is a “default specifier case.” The genitive is
 244 assigned to what looks to be specifier positions of prepositions (*minun lähelläni* ‘I.GEN near’),
 245 infinitival complement clauses (*Pekka käski minun lähteä* ‘Pekka ordered I.GEN to.leave’),
 246 nouns (*minun auto* ‘I.GEN car’), participle adjectives (*minun löytämä* ‘I.GEN found’, i.e.
 247 something found by me), and certain finite constructions, such as the modal construction
 248 (*minun täytyy lähteä* ‘I.GEN must leave’). The present approach is incompatible with her
 249 proposal because there is no upward path from the specifier to its head. On the other hand, it
 250 is not uncommon that at least one element in a chain headed by the genitive argument occurs
 251 in a potential licensing position. Brattico (2020a) proposed on such grounds that the genitive
 252 is checked at the base position of the chain headed by the genitive argument. To illustrate,
 253 consider the modal construction (11).

254 (11) Minun täyty-y lähte-ä
 255 I.GEN must-PRS.0 leave-A/INF
 256 ‘I must leave.’

257 There is no licencing structure inside the upward path from the genitive argument at the
 258 preverbal subject position. On the other hand, the subject receives its thematic role from the
 259 A-infinitival verb *lähte-ä* ‘leave-A/INF’, which suggests that it reconstructs into the infinitival
 260 phrase. If we assume rule $GEN \sim +ARG, -FIN$ and allow the genitive argument to check its case
 261 against the A-infinitival head inside the reconstructed position (thus at $__1$ in $[DP_1$
 262 $[must...[A/inf [__1 \text{leave}]]])$, the dataset can be calculated correctly. Nominative case can
 263 then be handled by rule $NOM \sim +ARG, +VAL, +FIN$ which checks it against agreeing finite verbs
 264 from the base position of the subject chain (12). In this example, the finite T checks the
 265 nominative case from the grammatical subject at the reconstructed position $__1$.

266 (12) Minä₁ T_{fin} $__1$ v löysin avaim-en.
 267 I.NOM T v found key-ACC(N)
 268 [+ARG] ‘is a case checker’
 269 [+VAL] ‘can (and often does) exhibit phi-agreement’
 270 [+FIN] ‘is finite’

271 This generalization will capture nominative case checking in connection with grammatical
 272 subjects.

273 2.3.5 Summary

274 The complete feature system, elucidated above, is summarized in Table 2.

275

276 Table 1. Case checking rules

Case	Suffix	Feature set	Example
NOM	-0	{+ARG, +VAL, +FIN}	<i>Pekka nukkuu</i> 'Pekka.NOM sleeps'
PAR	-(t)A	{+ARG, -VAL}	<i>Pekka söi omenaa</i> 'Pekka.NOM ate apple.PAR'
GEN	-n	{+ARG, -FIN}	<i>Merja näki Pekan lähtevän</i> 'Merja saw Pekka.GEN to.leave'
ACC(T)	-t	{+ARG, +ASP:BOUNDED}, {-NEG}	<i>Merja näki hän-et</i> 'Merja saw he-ACC(T)' <i>Merja osti kuka-t</i> 'Merja bought flower-PL.ACC(T)' <i>Me nähtiin hän-et</i> 'We saw.IMPASS he-ACC(T)' <i>Me näimme hän-et</i> 'We saw.1PL he-ACC(T)' <i>Me ei nähty *hän-et/hän-tä</i> 'We did not see he-ACC(T)/he-PAR'
ACC(N)	-n	{+ARG, +ASP:BOUNDED}, {-NEG}, {+PHI}	<i>Me näimme talo-n</i> 'We saw.1PL house-N/ACC' <i>*Me nähtiin talo-n</i> 'We saw.IMPASS house-N/ACC'
ACC(0)	0	{+ARG, +ASP:BOUNDED}, {-NEG}, {-PHI}	<i>Me nähtiin talo</i> 'We saw.IMPASS house-0/ACC' <i>*Me näimme talo</i> 'We saw.1PL house-0/ACC'

277 ±FIN = finiteness; ±ARG = case assigner; ±VAL = whether overt phi-agreement is possible; ±NEG = negative
278 polarity; ±PHI = actual overt phi-agreement, ASP:BOUNDED = aspectual boundedness.
279

280 A few details concerning the Table 2 require a further comment. First, pronouns and plural
281 DPs are marked by the t-accusative form (ACC(T)) that does not involve checking +PHI. It is an
282 empirical fact that this form is not sensitive to agreement, while it is sensitive to polarity and
283 aspect. See the examples in Table 2, row ACC(T).

284 Second, -NEG and ±PHI occur inside separate sets in Table 2. This is because according to
285 the more or less standard theory of Finnish finite clause structure (e.g., Holmberg et al., 1993;
286 Huhmarniemi, 2012; Manninen, 2003; Mitchell, 1991), aspect, polarity and agreement occur
287 inside different heads: aspect at a verbal head (v, V, Asp), agreement at finite T, and the
288 polarity at Neg. Each must therefore be checked by a separate dependency established
289 between the case assignee and the corresponding head. If the analysis is applied inside a
290 grammatical framework that does not make this assumption, then the feature sets have to be
291 adjusted accordingly.

292 Third, there is no binary distinction between structural and semantic cases. The
293 accusative is sensitive to both syntax and semantics: while aspect and polarity can be said to
294 be semantic, the presence/absence of verbal phi-agreement is a formal property. We can
295 perhaps say that the Finnish accusative represents a “mixed” case. The nominative, partitive

and genitive can be said to be structural: only formal features, agreement (\pm VAL), finiteness (\pm FIN) and case activity (\pm ARG), are relevant.

Finally, the features listed in Table 2 appear to have very little intuitive justification. Why AGR, VAL or PHI enter into case checking? These features are posited solely on the grounds that the proposed case checking mechanism plus these features yielded the simplest formula I could come up with for calculating all the data.

3 Simulation experiment

3.1 Introduction

We will verify that the logical consequences of the hypothesis converge with empirical observations. There are two ways to do this. One is to formulate the theory as an enumerative grammar that generates sentences and their meanings by using the linguistic mechanisms, principles and lexical resources posited in the theory. We check that the theory generates only grammatical and/or acceptable sentences and further provides them with correct or at the very least plausible syntactic and semantic analyses. This corresponds to a literal generative grammar. An alternative is recognition grammar, which analyses sentences instead of generating them. Thus, instead of deriving sentences from a given set of lexical items, recognition grammars derive syntactic and semantic analyses from surface sentences. Enumerative and recognition grammars are mathematically equivalent under very weak assumptions, thus either one can be used in principle, although they do have nontrivial empirical differences. A recognition grammar was used in this study.

To this end, the analysis was embedded inside a minimalist-oriented Python-based language processing algorithm that maps linguistic inputs into syntactic and semantic representations (Brattico, 2019a). Once the system was up and running, it was tested with a battery of Finnish sentences exhibiting possible and impossible case configurations. The

background model is explained in Section 3.3, with some further details available in the supplementary (→S2).

3.2 Test corpus

Recognition grammars are tested by feeding them with sentences, both grammatical and ungrammatical. A *test corpus* was created for this purpose. The test corpus used in the present study contains most of the structural case assignment signature of Finnish. Contents of the test corpus are summarized in Table 3.

Table 3. Contents of the test corpus file (containing a total of 293 construction types)

MAJOR GROUPS	SUBGROUP (# OF CONSTRUCTION TYPES)	EXAMPLES
1.1. Finite clause	1.1.1 Grammatical, canonical (4)	<i>Pekka ihailee Merja-a</i> (SVO) Pekka.NOM admires Merja-PAR 'Pekka admires Merja.' <i>Pekka antoi kirja-n Merjalle</i> (SVO-IO) Pekka.NOM gave book-ACC to.Merja 'Pekka gave a/the book to Merja.'
	1.1.2 Noncanonical (32)	<i>Merja-a ihailee Pekka</i> (OVS) Merja-PAR admire Pekka.NOM 'It is Pekka who admires Merja.' <i>Merja-a halusi ihailla Pekka</i> (OVvS) Merja-PAR wanted to.admire Pekka.NOM 'It was Pekka who wanted to admire Merja.'
	1.1.3 Wrong case forms (43)	<i>*Merja-a nukkuu</i> Merja-PAR sleeps <i>*Merja ihailee Merja-n</i> Merja-NOM admires Merja-GEN
	1.1.4 Superfluous arguments (6)	<i>*Pekka ihailee Merjaa Merjaa</i> Pekka.NOM admires Merja-PAR Merja-PAR <i>*Pekka Pekka ihailee Merja-a</i> Pekka.NOM Pekka.NOM admires Merja-PAR
1.2. Infinitivals	1.2.1 Grammatical, canonical (2)	<i>Pekka halusi ihailla Merja-a</i> Pekka.nom wanted to.admire Merja-PAR 'Pekka wanted to admire Merja.' <i>Pekka nukahti lukemalla kirja-a</i> Pekka.NOM sleep by.reading book-PAR 'Pekka fell asleep by reading a/the book.'
	1.2.2 Noncanonical (5)	<i>Merja-a halusi ihailla Pekka</i> Merja-PAR wanted to.admire Pekka.NOM 'It was Pekka who wanted to admire Merja.' <i>Kirja-a lukemalla nukahti Pekka</i> book-PAR by.reading sleep Pekka.NOM 'It was by reading a/the book that Pekka fell asleep.'
	1.2.3 Noncanonical + wrong case (32)	<i>*Pekka-a halusi ihailla Merja-a</i> Pekka-PAR wanted to.admire Merja-PAR <i>*Peka-n halusi ihailla Merja-a</i> Pekka-GEN wanted to.admire Merja-PAR
1.3 Adpositions	1.3.1 Grammatical (3)	<i>lähellä Pekka-a</i> near Pekka-PAR 'near Pekka' <i>Peka-n lähellä</i> Pekka-GEN near

	1.3.2 Wrong case forms (6)	'near Pekka' * <i>lähellä Pekka</i> near Pekka.NOM * <i>Pekka lähellä</i> Pekka.NOM near
	1.3.3 Superfluous arguments (4)	* <i>lähellä Pekka-a Pekka-a</i> near Pekka-PAR Pekka-PAR * <i>Pekka-a Pekka-a lähellä</i> Pekka-PAR Pekka-PAR near * <i>lähellä Peka-n</i> near Pekka-GEN <i>Pekka-a pelottaa</i> Pekka-PAR feels.frightened 'Pekka feels frightened.'
	1.3.4 Ungrammatical, wrong order (1)	* <i>Pekka pelottaa</i> Pekka.NOM feels.frightened * <i>Peka-n pelottaa</i> Pekka.GEN feels.frightened
1.4 Partitive subjects	1.4.1 Grammatical, canonical (1)	<i>ne kaksi sukka-a hävisi</i> those.0 two.0 sock.sg-PAR disappeared 'those two socks disappeared.'
	1.4.2 Ungrammatical (4)	<i>Pekka löysi ne kaksi sukka-a</i> Pekka found those.0 two.0 sock.SG-PAR 'Pekka found those two socks.'
1.5 Numerals	1.5.1 Grammatical, canonical (6)	* <i>ne kaksi sukka-n hävisi</i> those.0 two.0 sock.NOM disappeared * <i>ne kaksi suka-n hävisi</i> those.0 two.0 sock-GEN disappeared. <i>Pekka voitti Merja-n</i> Pekka.NOM won Merja-ACC 'Pekka won/beat Merja.'
	1.5.2 Wrong case forms (13)	<i>Pekka pesi Merja-a</i> Pekka.NOM washed Merja-PAR 'Pekka washed Merja.'
2. Accusative Case	2.1.1 Grammatical, aspect-related (6)	* <i>Pekka tönäisi Merja</i> Pekka.nom pushed Merja.nom * <i>Pekka tönäisi Merja-n</i> Pekka.NOM pushed Merja-GEN <i>Merja-n voitti Pekka</i> Merja-ACC won Pekka.NOM 'It was Merja who Pekka won/beat.'
2.1 Accusative and aspect	2.1.2 Wrong case form, aspect-related (5)	<i>Merja-a pesi Pekka</i> Merja-PAR washed Pekka.NOM 'It was Merja who Pekka washed.'
	2.1.3 Noncanonical (3)	* <i>Merja tönäisi Pekka</i> 'Merja.NOM pushed Pekka.NOM' * <i>Merja-n tönäisi Pekka</i> 'Merja-GEN pushed Pekka.NOM'
	2.1.4 Wrong case form, aspect-related (4)	<i>Pekka ei voittanut Merjaa</i> Pekka.NOM not.3sg won Merja-PAR 'Pekka did not win/beat Merja.'
2.2 Accusative and negation	2.2.1 Grammatical, canonical (1)	* <i>Pekka ei voittanut Merja</i> Pekka.NOM not won Merja.NOM * <i>Pekka ei voittanut Merja-n</i> Pekka.NOM not won Merja-GEN <i>Merjaa ei voittanut Pekka</i> 'Merja-PAR not won Pekka.NOM' 'It was Pekka who didn't win/beat Merja.'
	2.2.2 Wrong case forms (4)	<i>Merja-a Pekka ei voittanut</i> Merja-PAR Pekka.NOM not won 'When it comes to Merja and Pekka, he didn't win her.'
	2.2.3 Noncanonical (5)	* <i>Merja-n ei voittanut Pekka</i> Merja-ACC not won Pekka.NOM * <i>Merja ei voittanut Pekka</i> Merja.0ACC not won Pekka.NOM <i>Me löysi-mme avaimen</i> we.NOM found-1pl key-ACC 'We found a/the key.'
2.3 Accusative and agreement	2.3.1 Grammatical, canonical (2)	<i>Me löydet-tiin avain</i> we.NOM found.IMPASS key.0ACC 'We found a/the key.'
	2.3.2 Noncanonical (2)	<i>Avaimen löysi-mme me</i> key-ACC found-1SG we.NOM 'It was us who found the key.'
		<i>Avain löydet-tiin me</i>

		key.0ACC found.IMPASS we.NOM 'It was us who found the key.' <i>*Me löydettiin avaimen</i> we.NOM found.IMPASS key-ACC <i>*Me löysimme avain</i> 'we.NOM found-1PL key.0ACC' <i>Me ei löydetty avain-ta</i> we.NOM not found key-PAR 'We did not find a/the key.' <i>Avain-ta me ei löydetty</i> key-PAR we.NOM not found 'As for the key, we did not find it.' <i>Me ei avain-ta löydetty</i> we.NOM not key-PAR found 'We didn't find the key.' <i>*Me ei löydetty avain</i> we.NOM not found key.NOM <i>*Me ei löydetty avaimen</i> we.NOM not found key-GEN
2.4 Negation and agreement	2.4.1 Grammatical, canonical (1)	
	2.4.2 Noncanonical (3)	
	2.4.3 Wrong case forms (4)	
2.5 Long-distance effects	2.5.1 Grammatical, V + infinitival (7)	<i>Pekka halusi voittaa Merja-n</i> Pekka.NOM wanted to.win Merja-ACC' 'Pekka wanted to win/beat Merja,ä <i>Me ei haluttu voittaa Merja-a</i> we.NOM not want to.win Merja-PAR 'We didn't want to win/beat Merja.' <i>Merja-n halusi voittaa Pekka</i> Merja-ACC wanted to.win Pekka.NOM 'It was Pekka who wanted to beat Merja' <i>Merja haluttiin voittaa me</i> Merja.0ACC want.IMPASS to.win we.NOM 'It was us who wanted to beat Merja,ä <i>*Me haluttiin voittaa Merja-n</i> we.NOM want.IMPASS to.win Merja-ACC <i>*Me ei haluttu voittaa Merja-n</i> we.NOM not wanted to.win Merja-ACC <i>Pekka käski Merja-n lähteä</i> Pekka.NOM ordered Merja-GEN to.leave' 'Pekka ordered Merja to leave.' <i>Pekka-n täytyy lähteä</i> Pekka.GEN must to.leave 'Pekka must leave.' <i>*Pekka käski lähteä Merja-n</i> Pekka.NOM ordered to.leave Merja-GEN <i>*Pekka sanoi lähtevän Merja-n</i> Pekka.NOM said to.leave Merja-GEN <i>*Pekka käski Merja lähteä</i> Pekka.NOM ordered Merja.NOM to.leave <i>*Pekka käski Merja-a lähteä</i> Pekka ordered Merja-PAR to.leave <i>*Pekka käski lähteä Merja</i> Pekka.NOM ordered to.leave Merja.NOM <i>*Pekka käski lähteä Merja-a</i> Pekka.NOM ordered to.leave Merja-PAR <i>Se Merja-n kello hävisi</i> that Merja-GEN watch disappeared 'That Merja's watch disappeared.' <i>*se kello Merja-n hävisi</i> that watch Merja-GEN disappeared <i>*se kello hävisi Merja-n</i> that watch disappeared Merja-GEN <i>*se Merja kello hävisi</i> that Merja.NOM watch disappeared <i>*se Merja-a kello hävisi</i> that Merja-PAR watch disappeared <i>Merja nukkui Pekan lähtellä</i> Merja.NOM slept Pekka-GEN near 'Merja slept near Pekka.' <i>Merja nukkui lähellä Pekkaa</i> Merja.NOM slept near Pekka-PAR 'Merja slept near Pekka.' <i>*Merja nukkui lähellä Peka-n</i> Merja.NOM slept near Pekka-GEN <i>Ne kaksi Merja-n puhelinta hävisi</i> those two Merja-GEN phones disappeared 'Those two Merja's phones disappeared.' <i>Ne Merja-n kaksi puhelinta hävisi</i>
	2.5.2 Noncanonical, V + infinitival (7)	
	2.5.3 Wrong case forms (2)	
3. Genitive case	3.1.1 Grammatical, canonical (3)	
3.1 Infinitival subject	3.1.2 Noncanonical (2)	
	3.1.3 Wrong subject case (4)	
	3.1.4 Wrong S case, noncanonical (4)	
3.2 Possessive use	3.2.1 Grammatical, canonical (1)	
	3.2.2 Noncanonical (3)	
	3.2.3 Wrong case forms (4)	
3.3 Adpositions	3.3.1 Grammatical, canonical (2)	
	3.3.2 Noncanonical (1)	
3.4 Possessor + numeral	3.4.1 Grammatical, canonical (2)	

	3.4.2 Wrong case (4)	those Merja-GEN two phones disappeared 'Those two Merja's phones disappeared.' <i>*Ne kaksi Merja puhelinta hävisi</i> those two Merja.NOM phones disappeared <i>*Ne kaksi Merja-a puhelinta hävisi</i> those two Merja-PAR phones disappeared <i>Me löysimme avaimen</i> we.NOM found-IPL key-ACC 'We found a/the key.' <i>Me löydettiin avain</i> we.NOM found-IMPASS key.0ACC 'We found a/the key.'
4. Special constructions	4.1.1 Grammatical, canonical (4)	
4.1 Impersonal passive	4.1.2 Wrong object case (2)	<i>*Me löysi-mme avain</i> we.NOM found-IPL key.0ACC <i>*Me löydet-tiin avaimen</i> we.NOM found-IMPASS key-ACC <i>Merja näyttää lähtevän</i> Merja.NOM seems to.leave 'Merja seems to leave.' <i>*Merjaa näyttää lähtevän</i> Merja-PAR seems to.leave <i>*Merjan näyttää lähtevän</i> Merja-GEN seems to.leave
4.2 Raising constructions	4.2.1 Grammatical, canonical (1)	<i>Pekka on Pekka</i> Pekka.NOM is Pekka.NOM 'Pekka is Pekka.' <i>Merjalla on Pekka</i> Merja.ALL is Pekka.NOM 'Merja has Pekka.'
	4.2.2. Wrong case (4)	<i>*Pekka on Pekkaa</i> Pekka.NOM is Pekka-PAR <i>*Pekka on Pekan</i> Pekka.NOM is Pekka-ACC(N) <i>Pekka nukkui koko päivän</i> Pekka.NOM slept all day-ACC 'Pekka slept all day.' <i>Pekka ei nukkunut koko päivän</i> Pekka.NOM not slept all day-PAR 'Pekka did not sleep all day.'
4.3 Copular constructions	4.3.1 Grammatical, canonical (2)	<i>*Pekka ei nukkunut koko päivän</i> Pekka not slept all day-ACC <i>*Pekka ihailee Merja-a koko päivää</i> Pekka.NOM admires Merja-PAR all day-PAR
	4.3.2 Wrong case (8)	
5. Adverbials and case marking	5.1 Grammatical, canonical (5)	
	5.2 Wrong case forms (7+1)	

329

330 The test sentences were linear lists of bare phonological words without morphosyntactic or
331 syntactic tagging or analyses. All words were normalized (e.g., capitals, punctuation and
332 some umlauts were removed), while some words were disambiguated when testing specific
333 lexical items for an otherwise ambiguous word. Disambiguation blocks irrelevant parsing
334 derivations but has no impact on the evaluation of the case checking analysis. Virtually the
335 whole case assignment signature was included. Special complex constructions exhibiting
336 labile case alternations where both the zero-accusative and n-accusative are possible were left
337 for future research and excluded from the dataset. See (Anttila & Kim, 2017). The matter is
338 discussed in the supplementary (→S5.2.4, pp. 30-31). Some predicative copular sentences

were tested but the examination was not systematic due to the controversial and to me still unclear nature of this class.

3.3 Procedure

The test sentences were fed into a Python based recognition grammar (Brattico, 2019a) that was assumed as a syntactic background theory in this study. The algorithm creates an idealized brain model for the speaker of any language that it uses to model language processing in that language. It maps input sentences into phrase structure representations and interprets them semantically. Figure 1 illustrates the information flow in the system. The underlying grammatical theory is minimalist in orientation.

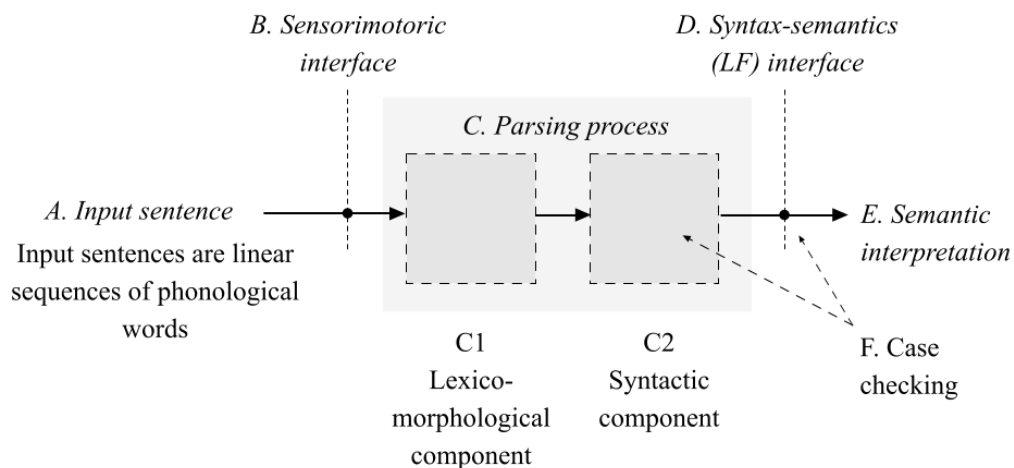


Figure 1. Syntactic background theory and the position of the proposed case checking mechanisms within the architecture. See the main text for explanation.

Each input sentence is processed through (i) the *lexico-morphological component*, retrieving lexical items on the basis of the phonological words present in the input, and (ii) the *syntactic component* which generates parsing solutions on the basis of the lexical items it receives from the former. For example, a sentence such as *the horse ran past the barn* is mapped into a

syntactic parsing solution $[[_{DP} \textit{the horse}] [_{VP} \textit{ran} [_{PP} \textit{past the barn}]]]$ where the terminal elements are lexical items. Lexical elements are sets of features. Case checking principles (2-3) function as a filter before α is forwarded to semantic interpretation. In addition, the algorithm detects oddball arguments that occur in “wrong” positions in the input where their case features cannot be checked and attempts to reconstruct them into canonical thematic positions where the case features can be checked (Brattico, 2020a). We can perhaps think of overt morphological case forms “guiding” the parser towards plausible solutions. This mechanism then feeds an independent pragmatic pathway that links noncanonical word orders with information structural interpretations (e.g., topic, focus). We are interested in whether the resulting model is able to separate grammatical case configurations from the ungrammatical ones and provide the former with plausible syntactic and semantic interpretations. Since the model uses case forms to guide reconstruction, we are also interested in whether the proposed case checking mechanism handles noncanonical word orders.

3.4 Results: Observational adequacy

First, we compare the grammaticality judgments provided by the model with grammaticality intuitions provided by a native speaker (here, the author). The model and native speaker judgments were compared by an automatic file comparison tool. The model judged 290 out of 293 constructions correctly. Correct judgment means that the grammaticality judgment of the model matched with that of a native speaker. Overall, then, the case checking principles proposed here suffice to separate grammatical case configurations from the ungrammatical ones. The three errors were: a spurious reconstruction inside a complex noun phrase leading the model to accept an ungrammatical expression (13a)(\rightarrow S5.5.3); an illegitimate reconstruction of a rightward genitive argument (13b)(\rightarrow S5.3.4); a partitive-marked adverbial

381 (13c)(→S5.10). These are all judged ungrammatical by native speakers, but wrongly accepted
 382 by the model.

- 383 (13) a. *Ne sukka-a₁ kolme ___₁ hävi-si. (#162)
 384 those sock.SG-PAR three.0 disappear-PST.3SG
 385 Intended: ‘Those/the three socks disappeared.’
- 386 b. *Pekka sano-i ___₁ lähte-vän Merja-n₁. (#234)
 387 Pekka say-PST.3SG leave-VA/inf Merja-GEN
 388 Intended: ‘Pekka said that Merja will leave.’
- 389 c. *Pekka nukku-i koko päivä-ä. (#293)
 390 Pekka sleep-PST.3SG all day-PAR
 391 Intended: ‘Pekka slept all day.’

392 The fact that they appear here means that I was unable to find a formula that calculates all the
 393 data: adjusting the grammar to derive these data correctly always caused errors elsewhere.
 394 Further exploration of these errors (after this article was finalized) suggests that (13a-b) are
 395 most likely irrelevant to case checking and involve issues that have to do with reconstruction,
 396 while (13c) is indicative of a residuum problem in the adverbial case checking analysis.⁴

397 3.5 Results: Descriptive adequacy

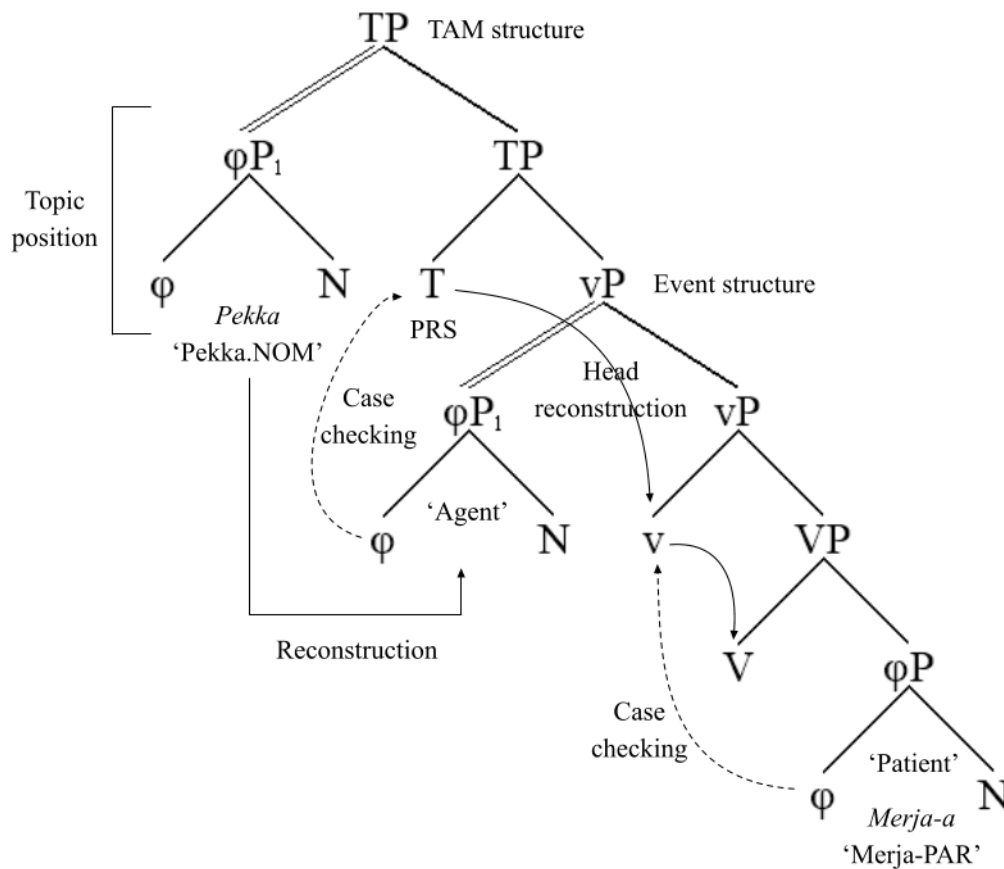
398 3.5.1 Canonical and noncanonical finite clauses

399 Here we examine if the model calculates analyses and interpretations that are linguistically
 400 plausible and/or match with the syntactic and semantic interpretations elicited from native
 401 speakers.

402 We begin by considering the processing of a canonical transitive finite clause *Pekka*
 403 *ihaile-e Merja-a* ‘Pekka.NOM admire-PRS.3SG Merja-PAR’ (sentence #5 in the test corpus) with
 404 a canonical nominative subject and canonical partitive object. The model judges the input

sentence as grammatical and calculates (14). The underlying phrase structure image was generated by the algorithm while some text and other symbology was added by the author to facilitate readability. The original figures generated by the model are available online. Case checking dependencies established by (2-3) are notated by dashed arrows, reconstruction by solid arrows.

(14)



The model generates binary-branching asymmetric bare phrase structure representations of the form $\alpha = [A B]$ where A and B are the immediate left and right constituents of α , respectively. They are created by operation *Merge*, which joins the two constituents A and B to form a new constituent $[A B]$. We can think of $[A B]$ as a “chunk” of two previously assembled elements. Constituents A and B can be primitive or complex. A primitive constituent has no daughters; complex constituent has two. The system, apart from

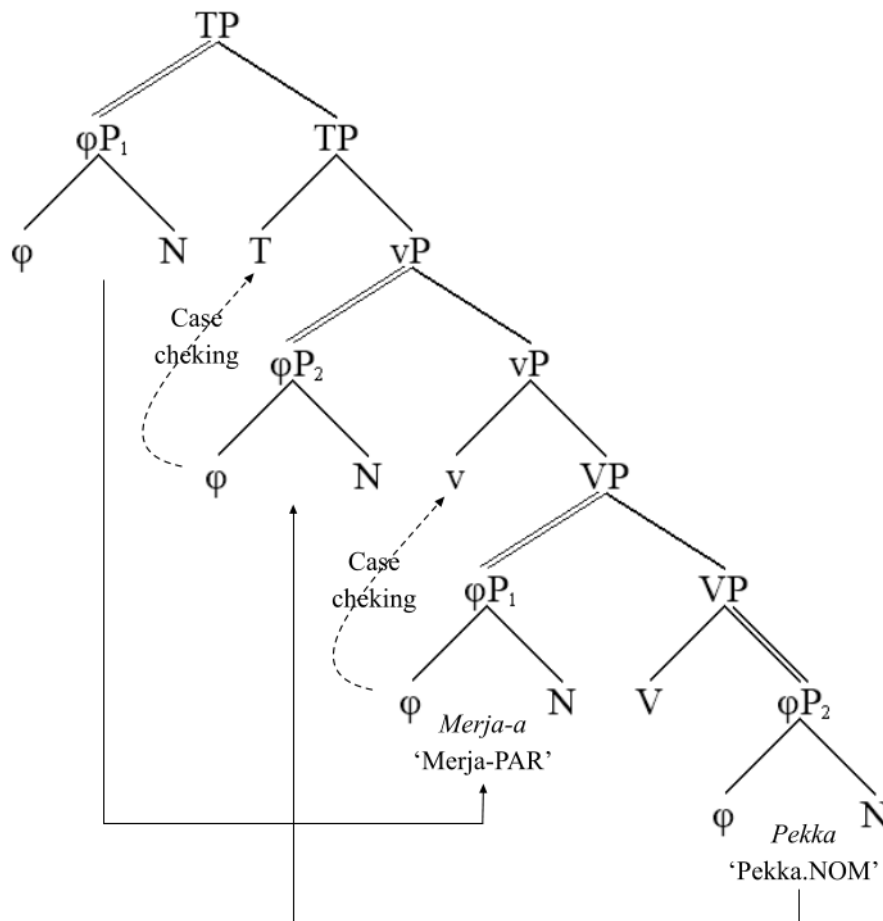
asymmetry, is based on the bare phrase structure model proposed by Chomsky (2001, 2008). Since the phrase structure system is used to support a recognition grammar, these representations are constructed from the input feed of lexical items, not by selecting lexical items by “free will.” In this case the feed is a linear string of words /Pekka/ + /ihailee/ + /Merjaa/ ‘Pekka + admires + Merja’. Consequently, the arguments that appear in these representations have their case forms in place and are subjected to a compatibility check by (2-3).

Let us consider case checking. The subject *Pekka* is marked for the nominative case.⁵ The nominative case was mapped into $F = \{+ARG, +FIN, +VAL\}$, but this set cannot be checked at the surface position SpecTP. The argument is therefore reconstructed from SpecTP into SpecvP, where it checks F against finite T . Finite verbs are both finite (+FIN) and show agreement (+VAL), hence we capture the connection between nominative case, finiteness and agreement. The reconstructed SpecvP position is further associated with an *agent* interpretation during semantic interpretation. The preverbal SpecTP, on the other hand, can be occupied by almost anything in Finnish as long as it constitutes the topic of the sentence or is otherwise topical (Brattico, 2019b; Holmberg & Nikanne, 2002; Huhmarniemi, 2019a; Vilkuna, 1995). The whole reconstruction operation therefore pairs the subject with *two* semantic attributes: topic and agent. The partitive object *Merja-a* ‘Merja-PAR’, on the other hand, was merged directly into the postverbal position where it checks +ARG and –VAL against v . It will be interpreted as the *patient*. There is no object agreement in Finnish, hence v has –VAL. No reconstruction occurred, and therefore the patient argument was not linked with any special information structural interpretation.

Consider next how the model reacts to a noncanonical OVS structure *Merja-a ihaile-e Pekka* ‘Merja-PAR admire-PRS.3SG Pekka.NOM’ (#11) where both the thematic agent and patient occur in unexpected noncanonical positions. The thematic patient is in the preverbal

443 topic position, while the grammatical subject occurs postverbally. OVS sentences are
 444 grammatical in Finnish. Neither argument can check their case features at the surface
 445 positions. The calculated result is (15).

446 (15)



447

448 The postverbal argument reconstructs to SpecvP, the preverbal partitive argument to VP. This
 449 assigns them the correct thematic roles 'agent' and 'patient', respectively. The direct object is
 450 further interpreted as the topic, while the postverbal subject is interpreted as representing the
 451 information focus (that is, new information in the discourse). In sum, then, the postverbal
 452 grammatical subject is interpreted as the focus/agent, the preverbal direct object as
 453 topic/patient. In this way, case information is linked with the computation of two semantic

454 attributes: it allows an argument to retrieve its thematic role even if it encodes topic/focus
 455 information by appearing in an unexpected position.

456 The same mechanism works if the grammatical subject occurs further to the right, as in
 457 the sentence (16).

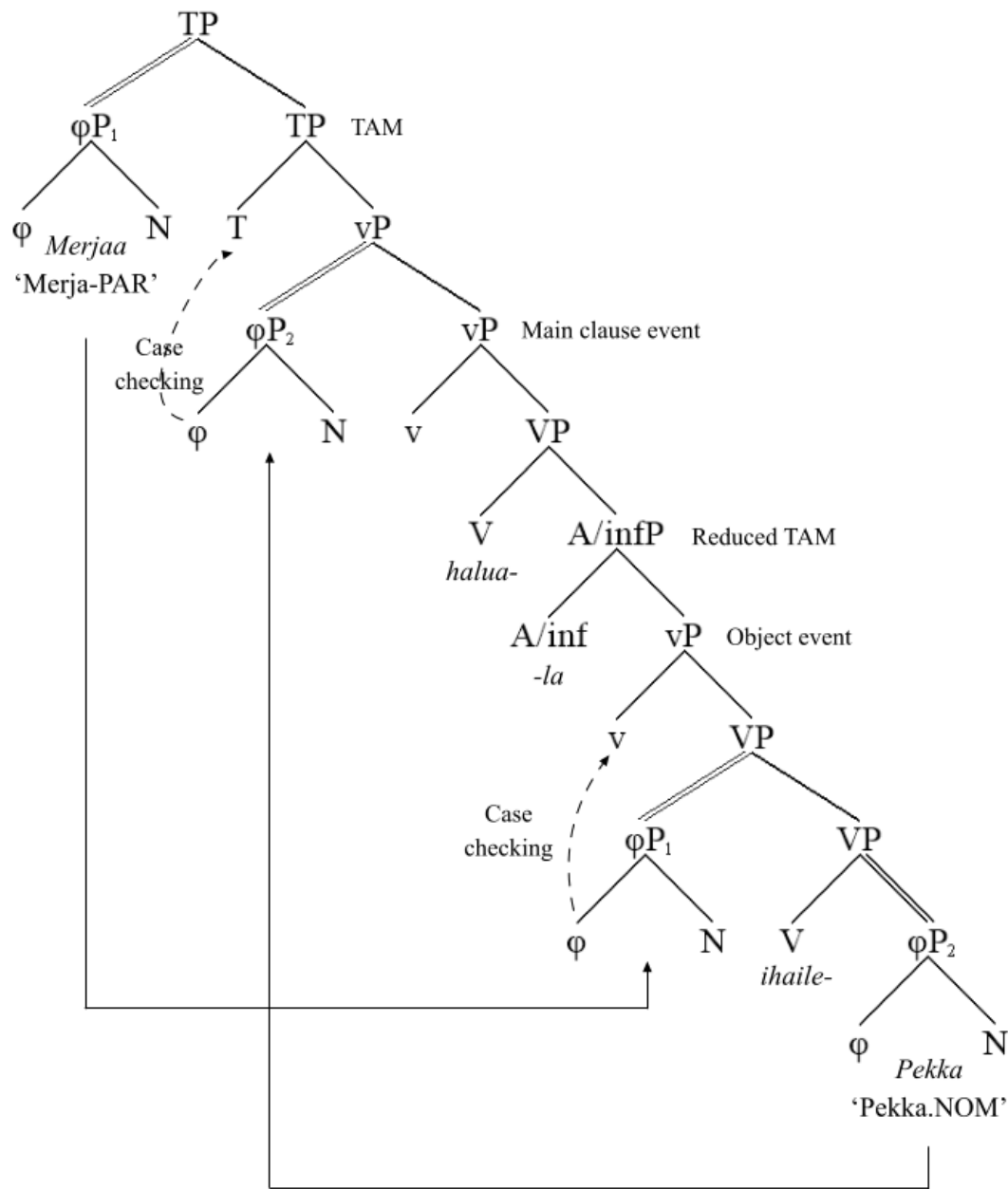
458 (16) Merja-a halu-si [ihail-la Pekka.] (#14)

459 Merja-PAR want-PST.3SG admire-A/INF Pekka.NOM

460 ‘When it comes to Merja, it was Pekka who wanted to admire her.’

461 The model calculates (17).

462 (17)



463

464 The nominative subject is reconstructed from the rightmost/lowest position in the clause to
 465 SpecvP, while the partitive argument goes to CompVP. The infinitival clause itself is derived
 466 by combining the v/VP-shell with an infinitival head corresponding to the overt infinitival
 467 suffix *-(t)A*. This provides an analysis of Finnish infinitivals, in which their syntactic and
 468 semantic structure mirrors closely the overt morphological composition in the input (\rightarrow S5.3),
 469 an idea that goes back to Koskinen (1998). Because the grammatical subject occurs further to

470 the right, it is interpreted as the marked focus. There is a very strong sense in which Finnish
 471 rightward movement represents marked focus, as if the speaker specifically wanted to
 472 designate new information by using a markedly unexpected word order.

473 The model was tested with all logically possible word order variations for the transitive
 474 clause (sentences #8-13 in the test corpus, see examples (18)) and for the clause containing a
 475 complement infinitival (#14-37, 87-93, some examples (19)), which it calculated correctly
 476 (S=main clause subject, O=main clause object, s = embedded clause subject, o=embedded
 477 clause object, V=main clause verb, v=embedded infinitival verb).

478 (18) a. Pekka Merja-a ihaile-e. (#8)

479 Pekka.NOM Merja-PAR admire-PRS.3SG

480 S O V

481 b. *Ihaile-e Pekka Merja-a. (#12)

482 admire-PRS.3SG Pekka.NOM Merja-PAR

483 V S O

484 (19) a. Merja-a halus-i Pekka ihail-la. (#15)

485 Merja-PAR want-PST.3SG Pekka.NOM admire-A/INF

486 o V S v

487 b. Merja-a Pekka halu-si ihail-la (oSVv, #16)

488 Merja-PAR Pekka.NOM want-PST.3SG admire-A/INF

489 o S V v

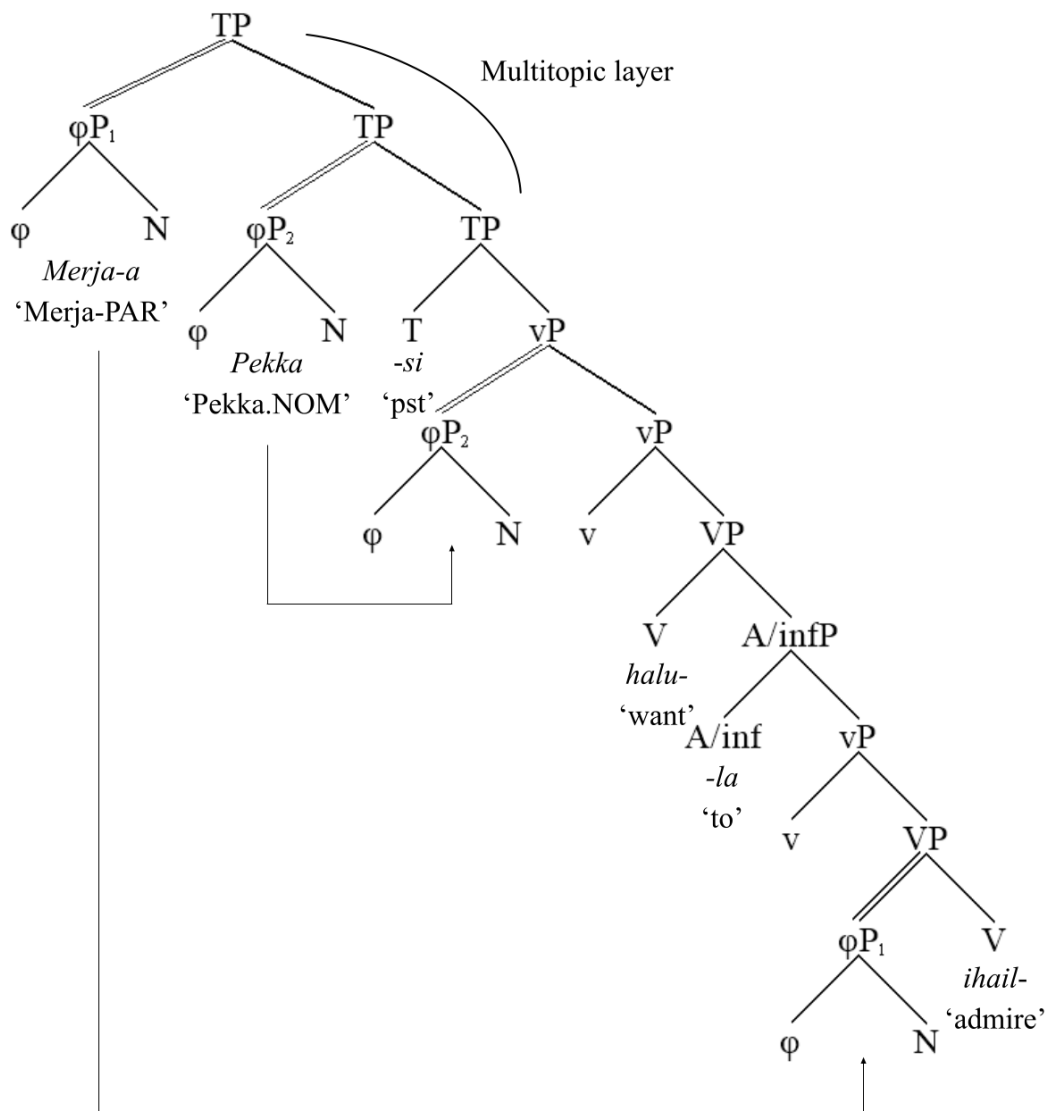
490 c. Pekka halu-si Merja-a ihail-la. (SVov, #18)

491 Pekka.NOM want-PST.3SG Merja-PAR admire-A/INF

492 S V o v

Canonical verb-initial clauses are ungrammatical in Finnish (18b), as correctly judged by the model. A preverbal object (19a,b) is always interpreted as the marked topic, and is correctly interpreted as such. If both the object and subject are fronted, they are both interpreted as topics (19b)(line 495). Sentence internal fronting is registered as creating secondary topics (19c, line 559), but whether this is semantically correct is difficult to judge. (20) illustrates how the model calculates multitopic constructions (19b). Both topics are reconstructed into correct thematic positions.

(20)



Ungrammatical case combinations, correctly ruled out, are sentences #45-80, 94-125 in the test corpus. Some of these sentences are illustrated in (21). All possible case combinations were tested.

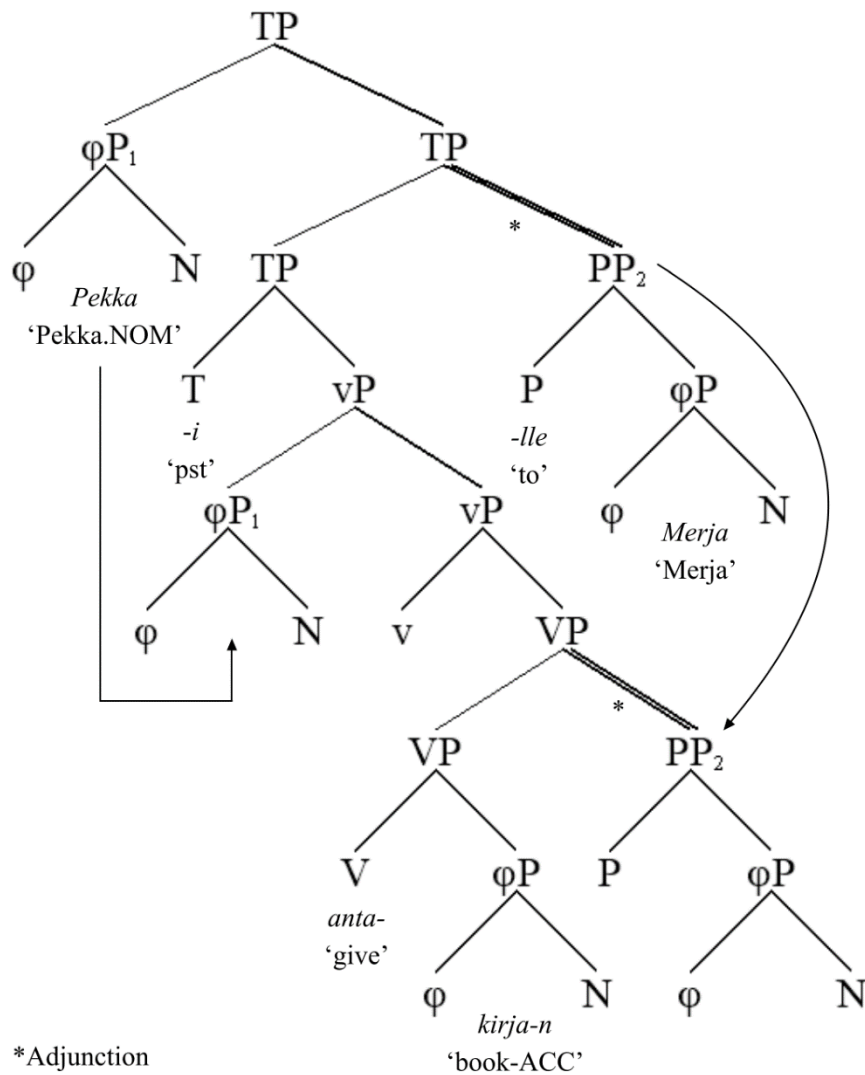
- (21) a. *Merja ihaile-e Merja. (#45, 48)
 Merja.NOM admire-PRS.3SG Merja.NOM/0ACC
 b. *Merja ihaile-e Merja-n. (#46, 47)
 Merja.NOM admire-PRS.3SG Merja-GEN/ACC
 c. *Merja-a ihaile-e Merja-a. (#49)
 Merja-PAR admire-PRS.3SG Merja-PAR

When a wrong case form is encountered, reconstruction is always attempted, hence we must make sure that there are no spurious reconstruction sites. In the case of (21a), for example, the model reconstructs both arguments to SpecvP where their nominative case features are checked by finite T, but correctly rejects this configuration because only one argument can be theta-marked at this position (see line 34990 in the derivational log file) and *admires* lacks an obligatory patient argument. The result is rejected at the syntax-semantics interface (line 34999). I tested also sentences that had too many or too few case-marked arguments (#38-44, 81-86), which the model correctly classified as ungrammatical. Some examples are provided in (22).

- (22) a. *Merja-a/ *Merja-n nukku-u. (#38, 39)
 Merja-PAR Merja-GEN/ACC(N) sleep-PRS.3SG
 b. *Merja/ *Merja ihaile-e. (#40, 41)
 Merja.NOM Merja.ACC(0) admire-PRS.3SG
 c. *Pekka Pekka nukkuu. (#80)
 Pekka.NOM Pekka.NOM sleep-PRS.3SG

Finnish semantic cases, although not in the focus in this study, deserve a comment. Example (21) illustrates how the model analyses ditransitive clauses such as *Pekka antoi kirjan Merjalle* ‘Pekka.NOM gave book-ACC(N) Merja-ALL’ (#6) that contains an allative argument *Merja-lle* ‘to Merja’. Allative is one of the Finnish semantic cases.

(23)



This analysis follows Nikanne (1993), who proposed that Finnish semantic cases such as the allative are checked by a phonologically covert preposition. The preposition P *-lle* ‘to’ selects a ϕ P complement. The parser attached the preposition phrase at a high right position and then reconstructed it into a lower position inside the VP. The reconstruction mechanism is almost

536 identical to the one that reconstructs thematic arguments: P must be linked with a lexical
 537 feature (or several) that controls its syntactic distribution and semantic interpretation.⁶

538 Finnish licenses partitive subjects in an experiencer construction (24).

539 (24) Pekka-a pelo-tta-a. (#140)

540 Pekka-PAR fear-CAU-PRS.3SG

541 ‘Pekka feels frightened.’

542 Partitive arguments cannot be licensed at the subject position according to the analysis
 543 proposed in this article, so the sentence looks problematic. The algorithm nevertheless accepts
 544 these sentences and reconstructs the partitive subject inside the VP (25).

545 (25) [TP Pekka-a_i [TP T [VP Cau⁰ [VP ____i pelkää-]]]] (#139)

546 Pekka-PAR PRT -tta- fear-

547 ‘(Something, not mentioned) causes Pekka to fear.’

548 The causative morpheme has +ARG and –VAL checking the partitive. The calculated output
 549 agrees with the style of analysis proposed by Huhmarniemi (2019b, 2019c) and Pylkkänen
 550 (2002). This construction was also tested with ungrammatical case configurations (#139-143).
 551 In general, partitive preverbal subjects cannot be reconstructed to SpecvP if finite T is
 552 encountered locally in the path; they must always have a lower reconstruction site.

553 3.5.2 Accusative

554 All accusative case forms (t-accusative, n-accusative and the zero-accusative) presuppose that
 555 the verb or deverbal phrase containing the case marked direct object has a specific aspectual
 556 interpretation. The data is repeated in (26).

557 (26) a. Pekka pes-i hevos-en. (#166)

558 Pekka.NOM wash-PST.3SG horse-ACC(N)

559 ‘Pekka washed the (whole) horse.’

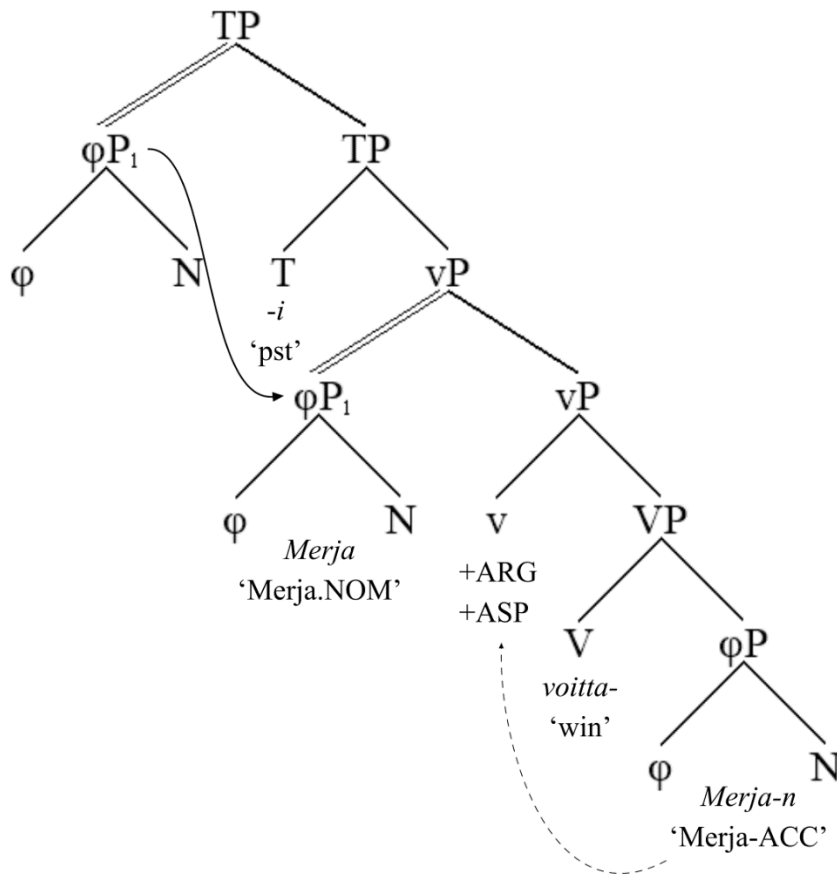
560 b. Pekka pes-i hevos-ta. (#167)

561 Pekka.NOM wash-PST.3SG horse-PAR

562 ‘Pekka washed the horse (but the horse did not necessarily become clear).’

563 Presence of the accusative direct object correlates with an interpretation where the whole
 564 horse was washed and washing reached an endpoint; this is not true when the partitive is used.
 565 To model these data, it was assumed that the accusative is checked by ASP:BOUNDED that is
 566 part of the verb or any verbal head. Aspectually non-telic verbs such as *tönnäistä* ‘to nudge’,
 567 which accept only the partitive when occurring without further modifiers, do not have
 568 ASP:BOUNDED, correctly rendering the accusative ungrammatical in the dataset.⁷ If the verb is
 569 ambiguous, the feature may be present or absent, which was handled in this study by lexical
 570 ambiguity. These assumptions are illustrated by (25), which shows how the algorithm
 571 calculates *Pekka voitti Merja-n* ‘Pekka.NOM won Merja-ACC(N)’ (#163). I abbreviate
 572 ASP:BOUNDED as +ASP. The aspectual feature +ASP is part of the lexical entry of the verb
 573 ‘win’.

574 (27)



575

576 Since the accusative rule requires checking of +ARG and +ASP, the dependency cannot look
 577 past any head with +ARG. This causes partial match and intervention. For example, if the
 578 accusative occurred together with a non-telic verb, lacking +ASP, the presence of +ARG would
 579 terminate the checking mechanism and lead into rejection independently of what happens
 580 higher up in the clause (see #171). This captures locality. Furthermore, I have assumed above
 581 that the relevant features are part of the small verb v. Case marking of adverbials (Section
 582 3.5.5) suggests that both features can be part of V and T; indeed, perhaps all verbal
 583 constituents can and do host aspectual features. Finally, when the accusative case form is
 584 matched with an aspectually unbounded verbal element, the results file contains an aspect
 585 field which reads “Aspectually bounded.” It is here then that we record the fact that the model

586 interpreted the construction as denoting an aspectually bounded event. This information is
 587 calculated in the semantic system.

588 All verb types were successfully tested, both with grammatical and ungrammatical case
 589 forms (#163-180), with some examples shown in (28).

590 (28) a. Pekka pes-i Merja-n/ Merja-a. (#166, 167)

591 Pekka.NOM wash-PST.3SG Merja-ACC(N) Merja-PAR

592 (Ambiguous, both interpretations possible.)

593 b. Pekka tönai-si *Merja-n/ Merja-a (#171, 165)

594 Pekka.nom push-PST.3SG Merja-ACC(N) Merja-PAR

595 (Telic reading not possible.)

596 Achievement verbs such as (29) constitutes a problem, however.

597 (29) Pekka voitt-i Merja-n/ *Merja-a. (#163, 164)

598 Pekka beat-PST.3SG Merja-ACC(N) Merja-PAR

599 'Pekka beat Merja.'

600 Rule PAR ~ +ARG, -VAL does not refer to aspect and ignores the fact that 'win/beat' must
 601 contain a culmination point. The model is unable to rule out partitive direct objects in
 602 connection with aspectually bounded events. Sentence (29) with the partitive direct object has
 603 a coerced or anomalous reading in which 'an event that occurred in an instant is ongoing'.
 604 This should be judged ungrammatical, in my view. There is, however, a second reading
 605 analogous to 'Pekka won money', which translates into something like 'Pekka won part of
 606 Merja, such as a piece of her hair'. The sentence is grammatical under this interpretation. The
 607 problem is how the algorithm could predict what the intended reading is while it is still
 608 parsing and does not know what the structure of the sentence is. It seems, moreover, that the
 609 difference between *Pekka voitti raha-a/Merja-a* 'Pekka won money-PAR/Merja-PAR' can only

be established once the whole sentence has been parsed and further evaluated in a larger context by accessing knowledge of the world (e.g., what is gold, who is Merja, and so on). I therefore propose that the partitive case checking mechanism is not sensitive to aspect, but the semantic system is. Specifically, when the partitive is connected by an upward path to a lexical item marked for ASP:BOUNDED, the semantic component alerts language-external systems that (29) is possibly aspectually anomalous. This information occurs in the aspect field in the results file which reads “aspectually anomalous.” This means that we account for the contrast (29) in the semantic component.

There are two empirical arguments supporting this hypothesis. First, the partitive can occur with an achievement verb if the object is in plural (30).

(30) Pekka voitt-i kilpailu-i-ta.

Pekka.NOM win-PST.3SG competition-PL-PAR

‘Pekka won competitions.’

This is interpreted to mean that Pekka won several competitions. The sentence is grammatical. In addition, it is well-known that the aspectual properties of the sentence depend on the properties of the whole VP, not just on the verb, which suggests that at least some aspect computations target the parsed output structure. This motivates further the hypothesis that some aspectual computations take place in the semantic component that has access to the larger context. See (Kiparsky, 1998) for discussion.⁸

Let us consider polarity and agreement. The accusative constitutes a positive polarity case and is ungrammatical inside a negative polarity context (31).

(31)

a. Pekka e-i voitta-nut *Merja-n/ Merja-a. (#184, 181)

Pekka not-3SG beat-PST.PRTCPL Merja-ACC Merja-PAR

634 'Pekka did not beat Merja.'

635 b. Pekka ei halun-nut voitta-a *Merja-n/ Merja-a.

636 Pekka not-3SG win-PST.PRTCPL beat-A/INF Merja-ACC Merja-PAR

637 'Pekka did not want to beat Merja.'

638 They were judged and calculated correctly. The mechanism checks the accusative case against

639 –NEG. The test sentences are #182-195 in the test corpus, probing grammatical and

640 ungrammatical case combinations with and without noncanonical word orders. Few examples

641 are provided in (32).

642 (32) a. *Pekka e-i voitta-nut Merja. (#182, 185)

643 Pekka.NOM not-3SG win-PST.PRTCPL Merja.NOM/ACC(0)

644 b. *Pekka e-i voitta-nut Merja-n. (#183, 184)

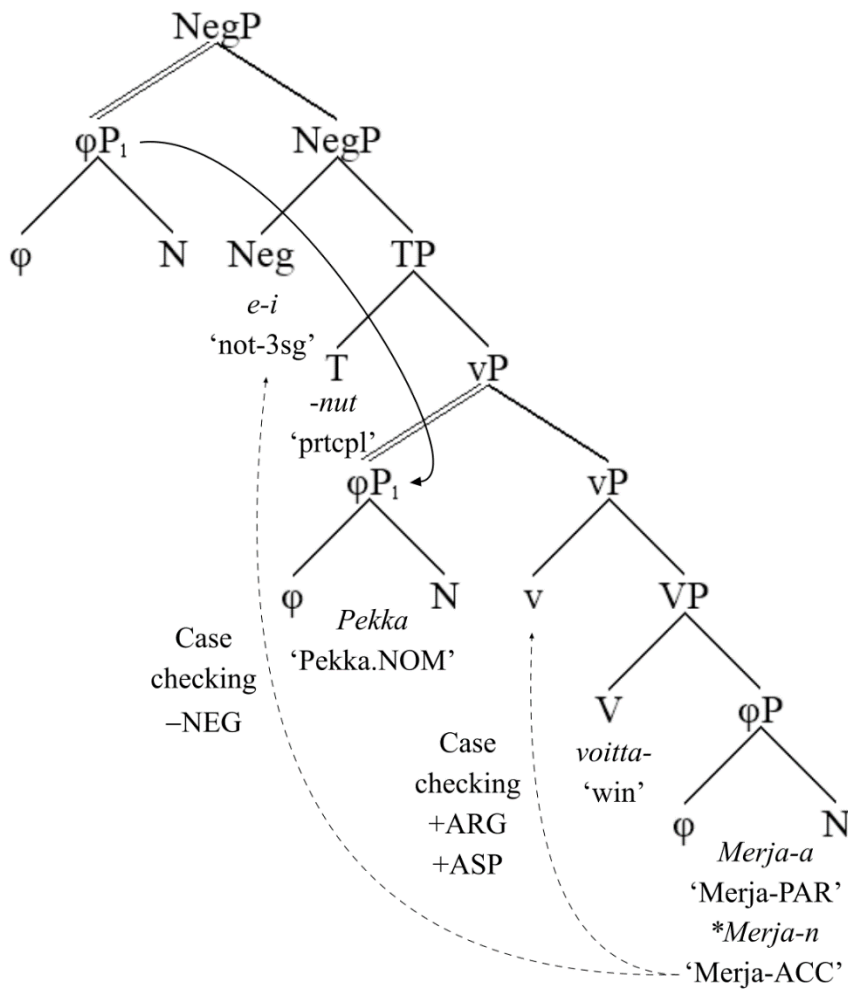
645 Pekka.NOM not-3SG win-PST.PRTCPL Merja-GEN/ACC(N)

646 Crucially, since only –NEG is checked, partial feature match is impossible, and the

647 dependency becomes nonlocal. This is illustrated by (33), which shows the phrase structure

648 analysis and case checking dependencies generated for (32a).

649 (33)



650

651 Aspect (+ASP, +ARG) and polarity (–NEG) are checked separately by v and Neg. Some word
 652 order variations, affecting information structure, were also tested (34)([T] = marked topic, [F]
 653 = marked new information focus).

654	(34) a.	Merja-a _[T]	e-i	voitta-nut	Pekka _[F] . (#186)
655		Merja-PAR	not-3SG	win-PST.PRTCPL	Pekka.NOM
656		O	Neg	V	S
657	b.	Merja-a _[T]	e-i	Pekka _[F]	voitta-nut. (#187)
658		Merja-PAR	not-3SG	Pekka.NOM	win-PST.PRTCPL
659		O	Neg	S	V

660	c.	Pekka _[T]	e-i	Merja-a _[T]	voitta-nut. (#188)
661		Pekka.NOM	not-3SG	Merja-PAR	win-PST.PRTCPL
662		S	Neg	O	V
663	d.	Merja-a _[T]	Pekka _[T]	e-i	voitta-nut. (#189)
664		Merja-PAR	Pekka.NOM	not-3SG	win-PST.PRTCPL
665		O	S	Neg	V
666	e.	Pekka _[T]	Merja-a _[T]	e-i	voitta-nut. (#190)
667		Pekka.NOM	Merja-PAR	not-3SG	win-PST.PRTCPL
668		S	O	Neg	V

669 I also tested the combination of noncanonical word orders and ungrammatical case forms
 670 (#191-194). An alternative hypothesis which assumes that negated events are necessarily
 671 aspectually unbounded is discussed in the supplementary (→S5.2.3, pp. 28-29).

672 Let us consider agreement. The nominative-looking accusative is grammatical if the
 673 direct object is not c-commanded by an agreeing predicate, whereas the genitive-looking
 674 alternative occurs when there is overt agreement, an analysis that goes back to Timberlake
 675 (1975) and Reime (1993). These assumptions cover the following accusative data (#196-212):

676 (35)

677	a.	Me	löys-i-mme	avaim-en /	*avain.
678		we.NOM	found-PST-1PL	key-ACC(N)	key.ACC(0)
679		‘We found the key.’			
680	b.	Me	löydet-tiin	*avaim-en /	avain.
681		we.NOM	found-PST.IMPASS	key-ACC(N)	key.ACC(0)
682		‘We found the key.’			
683	c.	Pekka	tönäis-i	*Merja-n /	Merja-a / *Merja.

684 Pekka push-PST.3SG Merja-ACC(N) Merja-PAR Merja.ACC(0)

685 ‘Pekka pushed Merja.’

686 d. Me e-i löydet-ty avain-ta / *avaim-en / *avain.

687 we.NOM not-3SG find-PST.PRTCPL key-PAR key-ACC(N) key-ACC(0)

688 ‘We did not find the key.’

689 Presence of the specific accusative forms ACC(0) and ACC(N) require that \pm PHI is checked.

690 Because these checking relations are based only on one feature, long-distance effects are also

691 captured (#212-229)(36).

692 (36)

693 a. Me halut-tiin [voitta-a Merja / *Merja-n.] (#216, 226)

694 we.NOM want-PST.IMPASS win-A/INF Merja.ACC(0) Merja-ACC(N)

695 ‘We wanted to win Merja.’

696 b. Me halus-i-mme [voitta-a *Merja / Merja-n.] (#227, 218)

697 we.NOM want-PST-1PL win-A/INF Merja.ACC(0) Merja-ACC(N)

698 (37) Me e-i haluttu [voitta-a *Merja / *Merja-n / Merja-a.]

699 We not-3SG want win-A/INF Merja.ACC(0) Merja-ACC(N) Merja-PAR

700 ‘We did not want to win Merja.’ (#228, 229, 217)

701 The checking mechanism explores the structure until either one of the relevant features

702 \pm NEG/ \pm PHI is encountered or there is no more structure.⁹ Uncanonical words orders were also

703 tested (#219-225).

704 Pronouns, which take an unambiguous *t*-suffix in these contexts, were correctly judged

705 and analyzed. Plural direct objects are assigned the *t*-accusative forms. These facts are

706 captured in the lexicon: accusative pronouns and plural DPs (*hän-et* ‘he-ACC’, *auto-t* ‘car-

707 PL.ACC') map into ACC(T) that does not require checking against $\pm\text{PHI}$. However, polarity and
 708 aspect are still relevant (38).

709 (38) a. Pekka pes-i hän-et. (#168)

710 Pekka.NOM wash-PST.3SG he-ACC(T)

711 'Pekka washed him.'

712 b. *Pekka tönäis-i hän-et. (#172)

713 Pekka.NOM push-PST.3SG he-ACC(T)

714 c. *Pekka e-i voitta-nut hän-et.

715 Pekka.NOM not-3SG win-PST.PRTCPL he-ACC(T)

716 d. Me löydet-tiin hän-et.

717 we.NOM found-PST.IMPASS he-ACC(T)

718 'We found him.'

719 3.5.3 Genitive

720 Vainikka (1989, 1993, 2011) showed that the Finnish genitive behaves like a default specifier

721 case. This generalization is quite powerful, but incompatible with the analysis proposed here.

722 We derive it by assuming that final case checking is applied after reconstruction. Brattico

723 (2020a) applied this analysis to the A-infinitival (39).

724 (39) Pekka käsk-i [Merja-n lähte-ä.] (#230)

725 Pekka.NOM order-PST.3SG Merja-GEN leave-A/INF

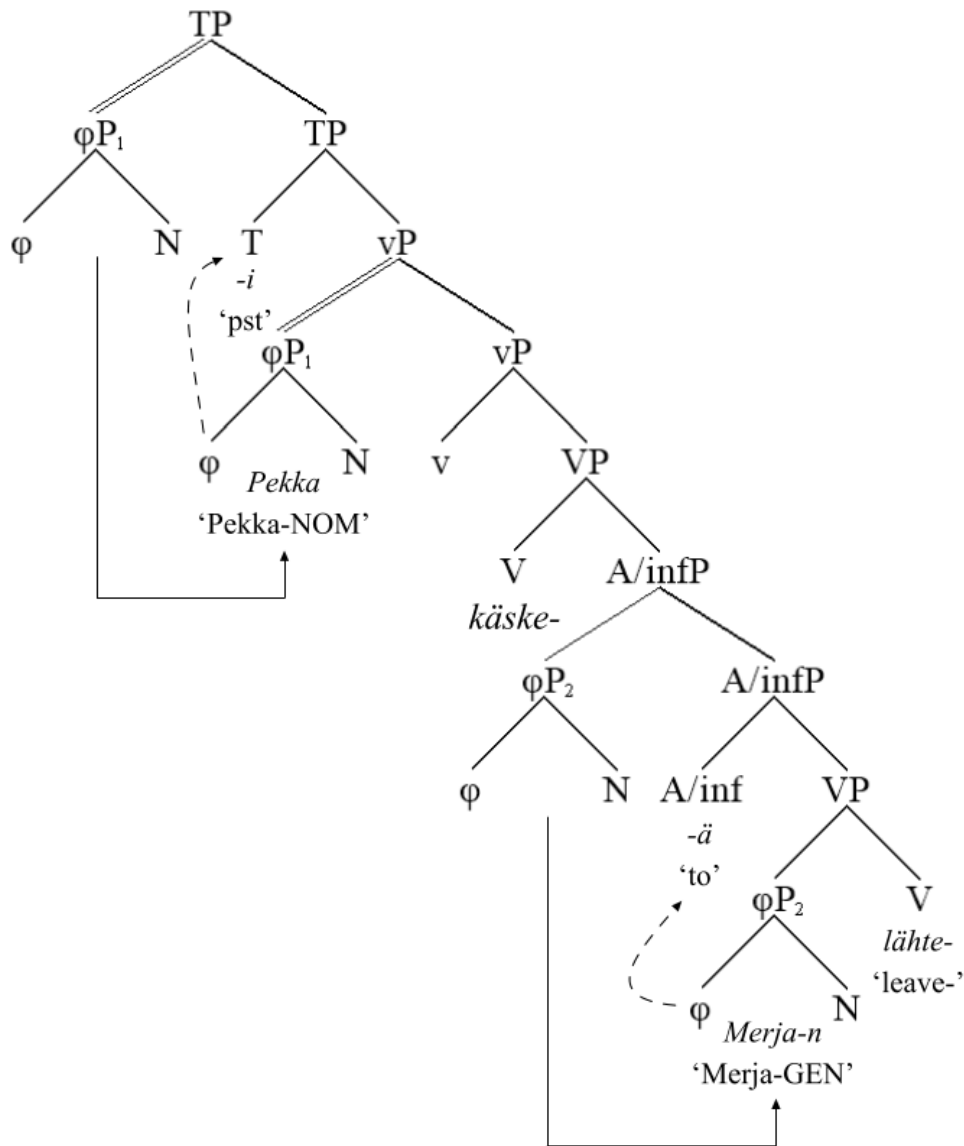
726 'Pekka ordered Merja to leave.'

727 In that study, the genitive was associated with $-\text{FIN}$ that was checked against the infinitival

728 head A/inf $-(t)A-$ after the argument reconstructed to SpecVP. Rule $\text{GEN} \sim +\text{ARG}, -\text{FIN}$

729 calculates essentially the same output.

730 (40)



731

732 The preverbal subject is reconstructed to SpecvP, where it checks the nominative, while the
 733 infinitival subject reconstructs to SpecVP inside the infinitival where it receives its thematic
 734 role. The genitive is checked against the A/inf head. The relevant test sentences are #230-242,
 735 which cover grammatical and ungrammatical case forms together with noncanonical word
 736 orders. Some of these sentences are illustrated in (41).

737 (41) a. Pekka sano-i Merja-n lähte-vän. (#231)

738 Pekka.NOM say-PST.3SG Merja-GEN leave-VA/INF

739 'Pekka said that Merja would leave.'

740 b. *Pekka kask-i Merja/Merja-a lähte-ä. (#235, 236)

741 Pekka.NOM order-PST-3SG Merja.NOM/Merja-PAR leave-A/INF

742 c. *Pekka kask-i Merja-n lähte-ä.

743 Pekka.NOM order-PST.3SG Merja-ACC(N) leave-A/INF

744 The assumption that the genitive subject reconstructs into VP is not ad hoc: it links the
745 argument with a thematic role.

746 The Finnish modal construction (42) seems at first to violate the rule $GEN \sim -FIN, +ARG$.

747 The genitive is in the subject position of the finite modal verb, which cannot, by the analysis
748 proposed here, check it. Finite verbs can only check the nominative case.

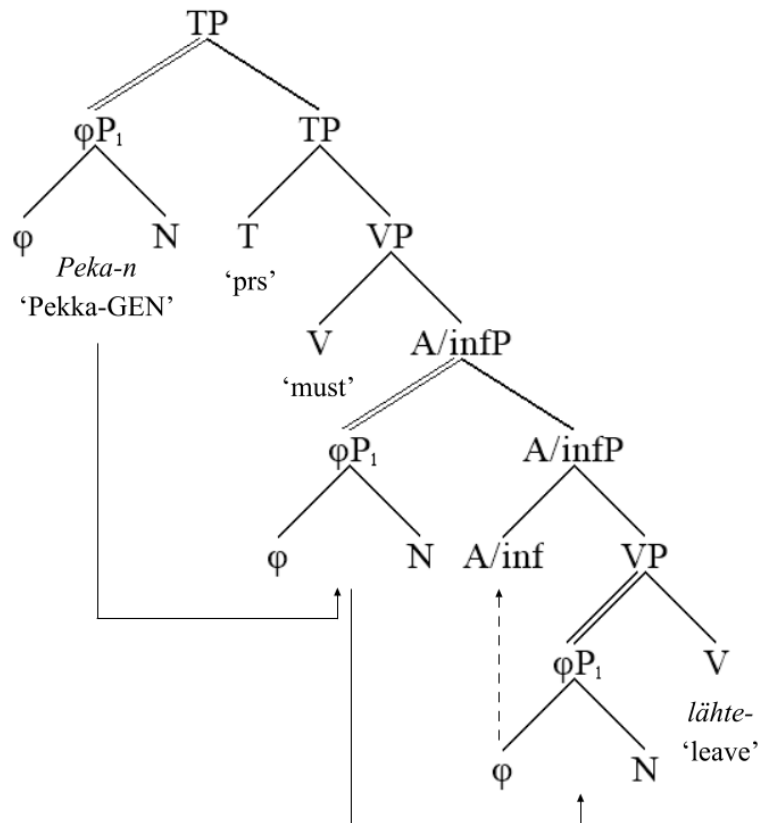
749 (42) Peka-n täyty-y lähte-ä. (#232)

750 Pekka-GEN must-PRS.0 leave-A/INF

751 'Pekka must leave.'

752 The model judges these sentences correctly as grammatical and returns (44). The genitive
753 argument is reconstructed successively-cyclically ($\rightarrow S5.8$) to the SpecVP inside the A-
754 infinitival, where it checks the genitive at the lowest position of the three-member chain.

755 (43)



756

757 This structure cannot be judged outright implausible, since the genitive subject is the thematic
 758 subject of the infinitival ('who is leaving') and the construction is monoclausal, sustaining
 759 thematic positions for one standard set of arguments and adverbials. The meaning is
 760 approximately 'must: Merja to leave'. The A-infinitival clause appears in the analysis (44)
 761 because the complement of the modal verb is morphologically an A-infinitival *lähte-ä* 'leave-
 762 A/INF'.

763 3.5.4 Noun phrase

764 The genitive has also a possessive role (44).

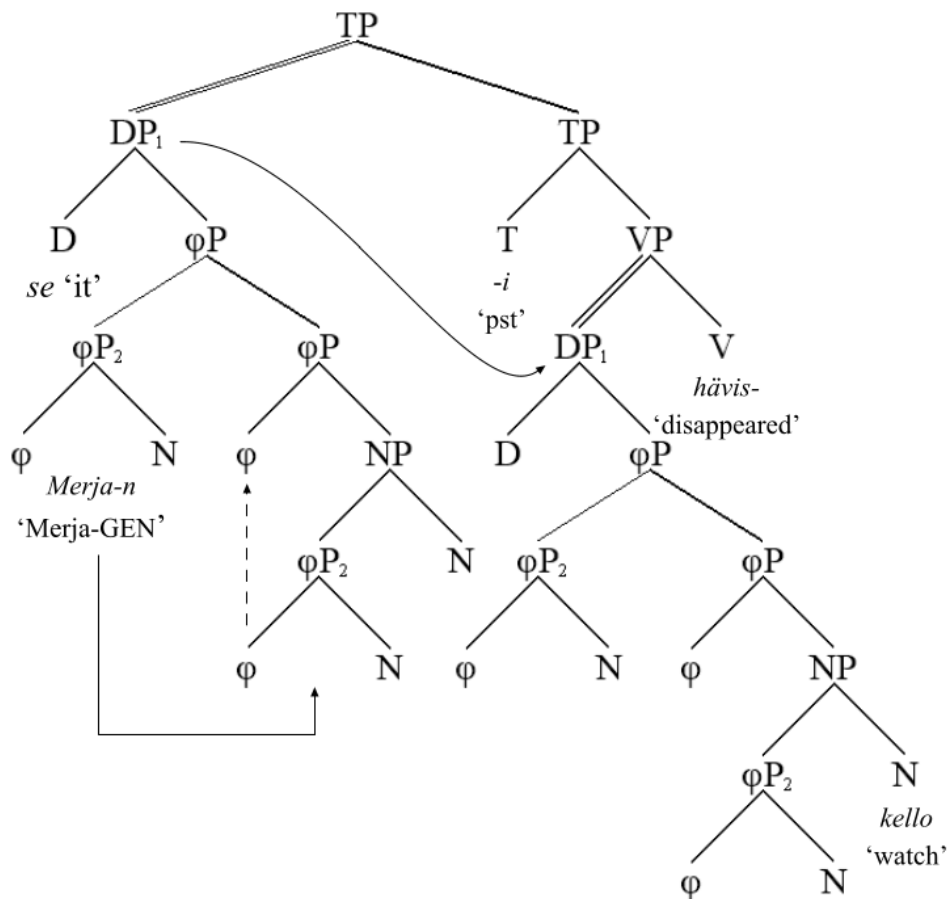
765 (44) Peka-n avain

766 Pekka-GEN key

767 'Pekka's key'

This construction violates the GEN \sim -FIN, +ARG rule since the genitive is not governed locally with an infinitival head. In fact, there appears to no governing head. One solution is to assume that the Finnish noun head decomposes into ‘ n + root’ structure (Brattico, 2005; Brattico & Leinonen, 2009; Pylkkänen, 2002) and that the genitive is checked against n (n = nominalizer, as in *juokse-minen* ‘run-ing’, possibly a zero morpheme). Another possibility is that the genitive case is checked against φ that is part of all noun phrases, as suggested earlier. I assumed the latter in this study. The algorithm calculates (45) for the input sentence *se Merja-n kello hävisi* ‘the/that Merja-GEN watch disappeared’ (#243, variations 244-250).

(45)



The essence of this analysis is that the possessor *Merja-n* ‘Merja-GEN’ reconstructs to NP, where it checks the genitive case against φ .

780 Adpositions were tested both with grammatical and ungrammatical case configurations.

781 The basic cases are (46a-b), where the argument occurs either in the complement position of
782 the adposition (46a) or its specifier position (46b).

783 (46) a. lähellä Pekka-a. (#125)

784 near Pekka-PAR

785 'near Pekka'

786 b. Peka-n lähellä. (#127)

787 Pekka.GEN near

788 'near Pekka'

789 The model reconstructs the genitive argument in (46b) to CompPP, where the preposition
790 checks its case. It looks contradictory that the preposition checks both the partitive and
791 genitive, but on closer inspection we find that two lexical items are at stake. First, the
792 adposition assigning the genitive exhibits overt phi-agreement, while the adposition assigning
793 the partitive does not:

794 (47) a. *lähellä-ni minu-a/ b. minun lähellä-ni.

795 near-PX/1SG I-PAR I.GEN near-PX/1SG

796 'near me' 'near me'

797 Example (47a) follows directly from the partitive rule $PAR \sim +AGR, -VAL$. Second, the
798 agreeing form requires that the genitive argument occurs at its specifier position (48a); this is
799 not true of the non-agreeing form (48b).

800 (48) a. minu-n lähellä/ *lähellä minu-n. (#138)

801 I-GEN near near I-GEN

802 'near me'

803 b. minua lähellä/ lähellä minua (#126, 125)

804 I.PAR near near I.PAR

805 'near me' 'near me'

806 This means that the agreeing adposition must have an additional EPP requirement forcing the
807 genitive argument to SpecPP. In sum, adpositions checking the genitive case have the
808 agreement/EPP profile, while adpositions checking the partitive do not. Ungrammatical case
809 configurations were tested by #128-138.

810 One feature that complicates the analysis of the Finnish noun phrase is the behavior of
811 numerals. Finnish cardinal numerals fall into two paradigms. The first contains bare singular
812 numerals that assign the partitive inside the hosting noun phrase. These facts follow if these
813 numerals have +ARG and –VAL. The model calculates (49)(#144, variations 145-149).

814 (49)kaksi sukka-a

815 two.0 sock.SG-PAR

816 'two socks'

817 Numerals in the second group inflect like adjectives (or are adjectives) and do not assign
818 cases to the elements inside their syntactic scope (50) (#145).

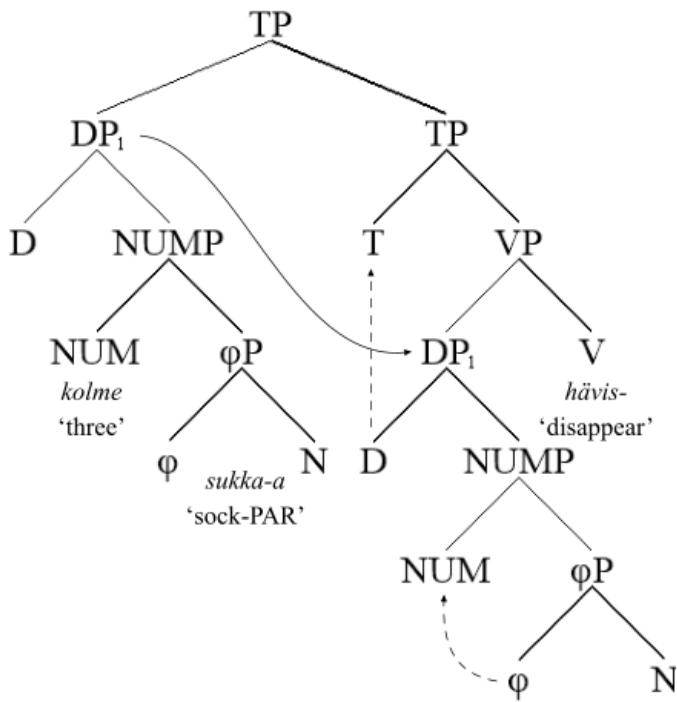
819 (50) [Ne kahde-t suka-t/ *sukka-a] hävis-i-vät.

820 those.NOM two-PL.NOM sock-PL.NOM sock-SG.PAR disappear-PST-3PL

821 'Those two pairs of socks (=4 socks) disappeared.'

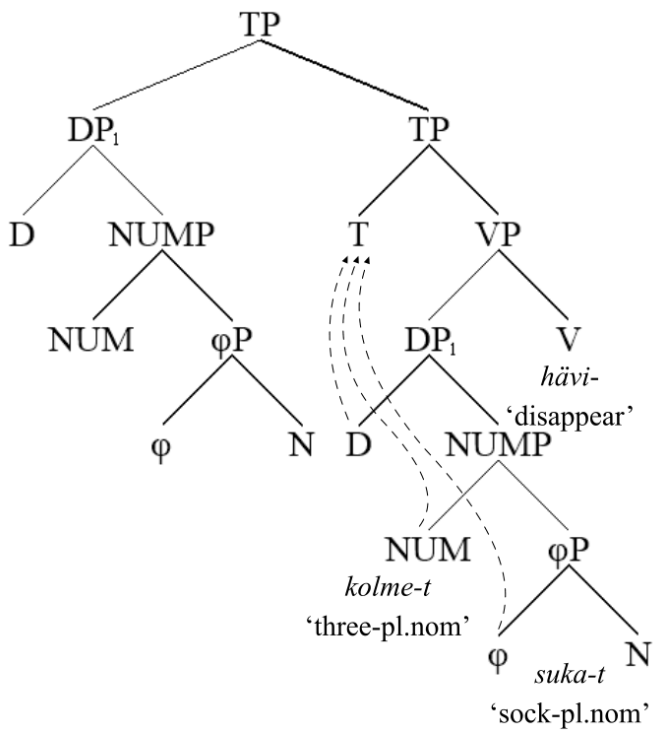
822 If these numerals do not have +ARG, then case checking ignores them and finds the relevant
823 assigners from the main clause (e.g., T, v)(#144-147). These properties were correctly
824 derived. Example (52) illustrates the first group, (53) the second.

825 (51)



826

827 (52)



828

829 The distinction between case concord and case assignment disappears: all nominal words

830 check their case features independently. What has made the behavior of the numerals subject

831 to some debate is the fact that the numerals in the first group only occur in contexts where the
 832 hosting DP is assigned either the nominative or the accusative case. If the DP is assigned
 833 either the genitive or any of the lexico-semantic cases, the numeral-partitive pattern
 834 disappears (53).

835 (53)

836 a. Pekka sanoi [kahde-n suka-n häviä-vän.] (#148)

837 Pekka said two.SG-GEN sock.SG-GEN disappear-VA/INF

838 'Pekka said that the two socks will disappear.'

839 b. *Pekka sanoi [kaksi sukka-a häviä-vän.] (#158)

840 Pekka said two.0 sock-PAR disappear-VA/INF

841 Brattico (2010, 2011), following a tradition in the Slavic linguistics that exhibits somewhat
 842 similar phenomenon (e.g., Babby, 1987), analyzed this pattern by relying on case competition.
 843 The “weak cases” accusative and nominative are outperformed by the “strong cases” genitive
 844 and the lexico-semantic cases. A case competition analysis for Finnish structural case
 845 assignment was also presented by Nelson (1998). However, these data follow if we assume
 846 that the bare numerals are not caseless but exhibit an ambiguous NOM/ACC case form. This
 847 prevents them from appearing in any other context and derives (53) and correctly rules out
 848 constructions where the case forms are wrong (#148-153, 257-260). Ungrammatical word
 849 orders (54) are also correctly ruled out, with the exception of (54)d that the model wrongly
 850 accepts. I was not able to solve the root problem with (d). The analysis predicts a phantom
 851 reconstruction (\rightarrow S5.5.3).

852 (54) a. *Sukka-a₁ ne kaksi ₋₁ hävisi. (#160)

853 sock-PAR those two disappeared

854 b. *Kaksi₁ ne ₋₁ sukka-a hävisi. (#161)

855		two	those	sock-PAR	disappeared
856	c.	*[Kaksi sukka-a] ₁	ne	_1	hävisi. (#162)
857		two	sock-PAR	those	disappeared
858	d.	*Ne sukka-a ₁	kaksi	_1	hävisi. (#162, wrongly accepted)
859		those	sock-PAR	two	disappeared

860 3.5.5 *Special constructions*

861 Some special constructions that have played a major role in Finnish case theories were added
 862 to the dataset. The impersonal passive construction, shown again in (55a), is one.

863 (55)

864	a.	Me	löydet-tiin	avain/	*avaim-en.
865		we.NOM	found-PST.IMPASS	key.ACC(0)	key-ACC(N)
866		‘We found the key.’			
867	b.	Me	löys-i-mme	*avain/	avaim-en.
868		we.NOM	found-PST-1PL	key.ACC(0)	key-ACC(N)
869		‘We found the key.’			

870 This effect is captured by the rule which associates the two accusative forms with phi-
 871 agreement ($\pm\text{PHI}$). The impersonal passive form (*löydet-tiin* ‘found-PST.IMPASS’) is created by
 872 a special impersonal functional head replacing standard v, following the analysis of
 873 (Manninen & Nelson, 2004). If the first-person plural subject is suppressed, the model accepts
 874 the sentence with a plural generic meaning (56). This agrees with the fact that the implicit
 875 agent of the Finnish impersonal prototypically represents a collective (perhaps plural) sentient
 876 agent.

877 (56)

878	a.	Löydet-tiin	avain.
-----	----	-------------	--------

879 find.PST.IMPASS key.ACC(0)

880 ‘A key was found (by a collection of people).’

881 b. Avain löydet-tiin.

882 key.ACC(0) found-PST.IMPASS

883 ‘The key was found (by a collection of people).’

884 The test sentences are #260-265 in the test materials.

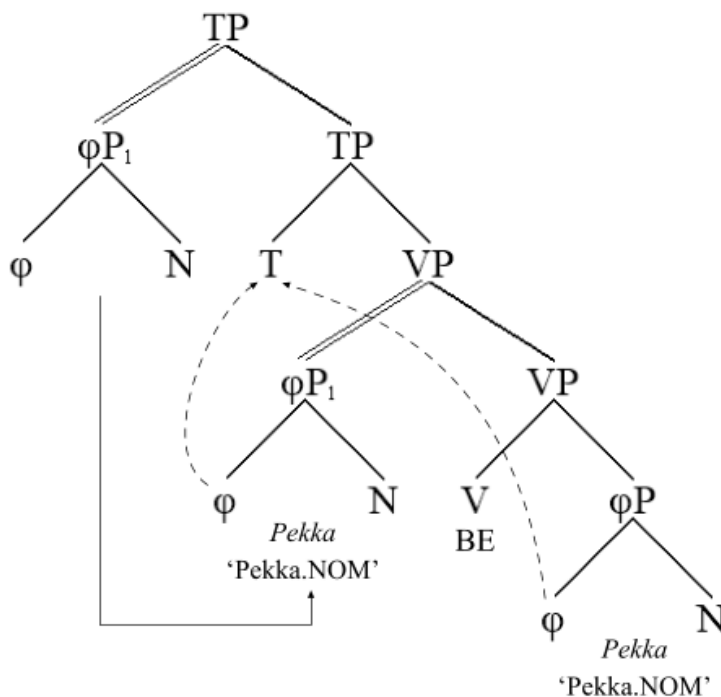
885 Copular constructions (57) were correctly judged as grammatical and calculated as (59).

886 (57) Pekka o-n Pekka.

887 Pekka.NOM be-PRS.3SG Pekka.NOM

888 ‘Pekka is Pekka.’

889 (58)



890

891 The grammatical subject reconstructs to SpecVP, the direct object remains in situ. Both check

892 their nominative cases by T because the copula does not, by assumption, intervene in case

checking, leading into nonlocal case checking. The test sentences are #271-280. Copular and predicative constructions have complex and controversial properties and were not examined systematically in this study.

Finnish has one possible raising construction (59a), which should be compared to the non-raising variant (59b).

- (59) a. Merja₁ näyttä-ä ___₁ lähte-vän.
 Merja.NOM seem-PRS.3SG leave-VA/INF
 'Merja seems to be leaving,'
 b. Pekka näk-i Merja-n lähte-vän.
 Pekka.NOM see-PST.3SG Merja-GEN leave-VA/INF
 'Pekka saw Merja leaving.'

The model cannot reconstruct the preverbal subject to the infinitival clause SpecVP because it cannot check the nominative at that position. The position is associated with the genitive (59b). If we assume that 'seem' projects a thematic role, then the subject stops at its specifier position and the thematic agent for 'leave' will be determined by control, effectively making (59) an obligatory control (OC) construction and not a raising structure. Although the existence of this derivation makes the model observationally adequate (#266-270), I judge that the analysis is not obviously correct and an anonymous *SL* reviewer concurs. The model does not allow an argument to change its case during reconstruction, which prevents it from reconstructing a nominative marked argument (59a) into a genitive position (59b). This is impossible since the model uses case forms to guide reconstruction; it cannot change them during parsing. The issue was left for future research (→S5.8).

Finnish DP-adverbials can be marked with direct object cases (60)(Maling, 1993).

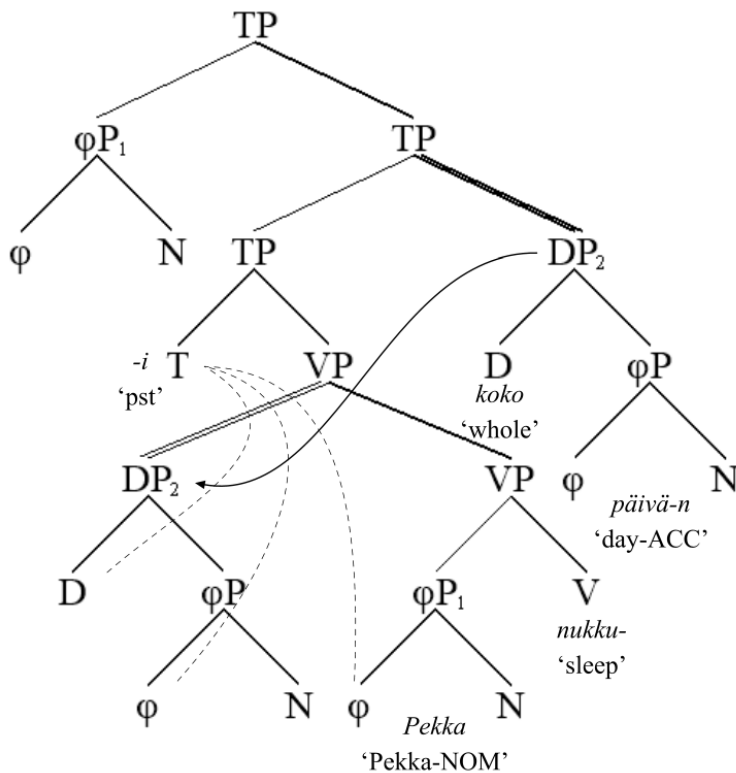
916 (60) Pekka nukku-i [koko päivä-n.] (#281)

917 Pekka.NOM sleep-PST.3SG all day-N/ACC

918 'Pekka slept all day.'

919 Adverbial case marking follows from the proposed analytic principles because the relevant
 920 lexical features occur inside the upward paths generated from the adverbs. Example (60)
 921 shows that the accusative case occurs in connection with intransitive verbs, communicating
 922 that the event has a fixed duration. The aspectual feature could be at T or V. If it is at T, then
 923 the model calculates (61).

924 (61)



925

926 The adverbial is reconstructed to SpecVP where it checks the accusative case against T. The
 927 aspectual feature is at T, corresponding to the telic interpretation. If neither T nor V has the
 928 aspectual feature, the sentence is judged ungrammatical. Similarly, the accusative is
 929 ungrammatical if the clause is negated, grammatical if the adverbial is in the partitive (62a).

930 Also the zero-accusative is correctly licensed (62b). These forms are correctly checked
 931 against properties of T and Neg, both which appear inside the upward path from the
 932 reconstructed adverbial. Notice that no contradiction results if T checks both the nominative
 933 and accusative case: case checking is based on features, not functional heads.

934 (62)

935 a. Pekka e-i nukku-nut *koko päivä-n / koko päivä-ä.

936 Pekka not-3SG sleep-PST.PRTCPL all day-ACC(N) all day-PAR

937 ‘Pekka did not sleep all day.’

938 b. Me nukut-tiin koko päivä / ??koko päivän.

939 we slept-PST.IMPASS all day.ACC(0) all day-ACC(N)

940 ‘We slept all day.’

941 The analysis succeeds in deriving the relevant pattern (#281-292) with the exception of
 942 **Pekka nukkui koko päivää* ‘Pekka.NOM slept all day-PAR’ (#293) which the model judges
 943 wrongly as grammatical. I do not know at present how to solve this issue (→S5.10).

944 Furthermore, the accusative object in (62b) is regarded as ungrammatical in the underlying
 945 test corpus, based on my own grammaticality judgment, although this variant is sometimes
 946 used (see Anttila & Kim, 2017).¹⁰

947 Finnish VP-fronting raises nontrivial questions. This matter came up in the present study
 948 because some of the word order permutations give rise to a possible VP-fronting analyses.
 949 Since verb-initial clauses are ungrammatical in Finnish (e.g., #24-29), this phenomenon is
 950 limited to sentences that contain fronted infinitivals. Example (63) shows one.

951 (63) [_{A/infP} ihail-la Merja-a]₁ halus-i ____₁ Pekka. (#21)

952 admire-A/INF Merja-PAR want-PST.3SG Pekka.NOM

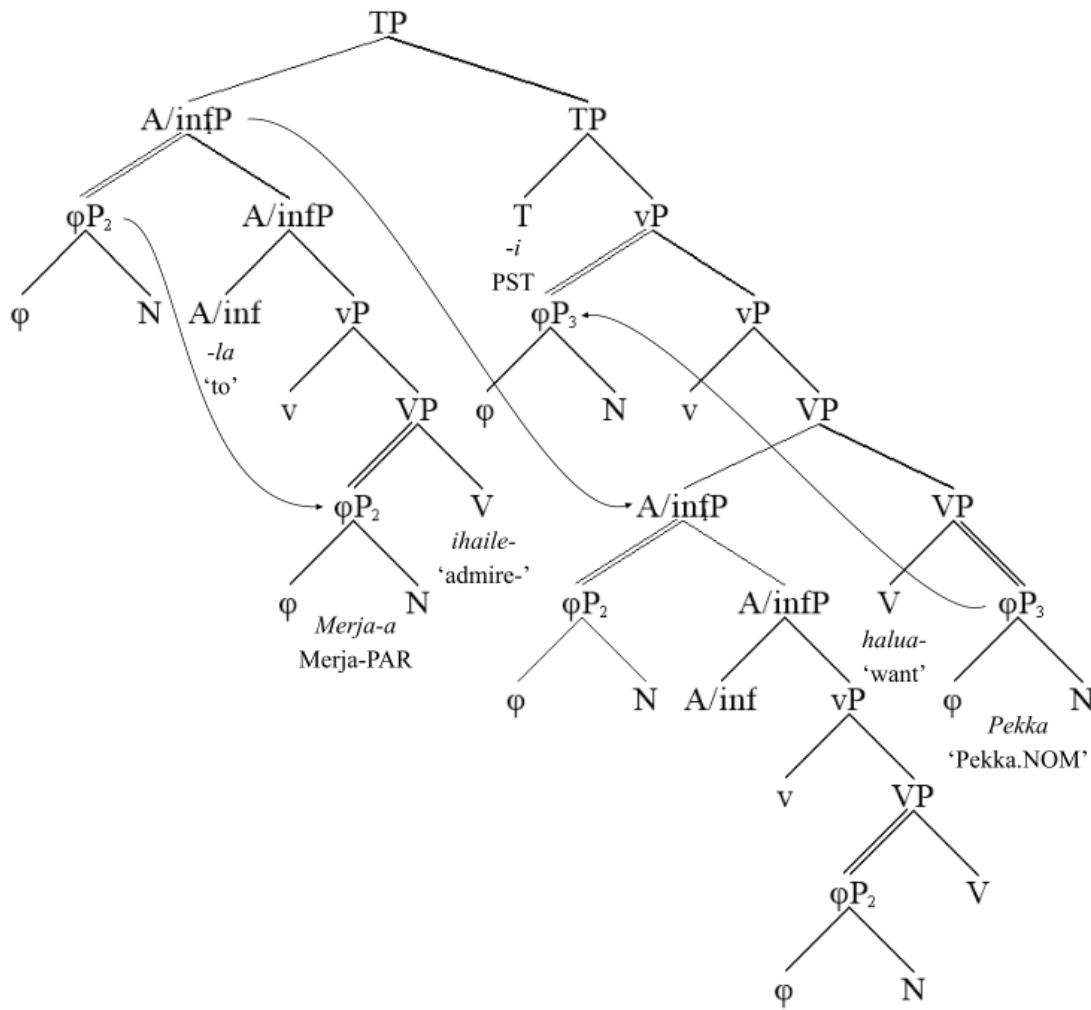
953 ‘To admire Merja, Pekka wanted.’

954 To me (63) is grammatical, and was marked as such in the test corpus, but does not seem to
 955 have any use in communication. The fronted infinitival does not have a clear topic reading. It
 956 seems to function as an idle EPP filler. The model analyses sentences like this by
 957 reconstructing the A-infinitival from the preverbal subject position into the complement
 958 position of ‘want’, and furthermore by reconstructing the postverbal grammatical subject to
 959 SpecvP. Several variations were tested. Example (64) contains few examples (I ignore
 960 reconstruction of the grammatical subject).

961	(64) a.	[Merja-a ₁	ihail-la	___ ₁] ₂	halus-i	___ ₂	Pekka. (#20)
962		Merja-PAR	admire-A/INF	want-PST.3SG		Pekka.NOM	
963		o	v		V		S
964	b.	Pekka	[Merja-a ₁	ihail-la	___ ₁] ₂	halus-i	___ ₂ . (#22)
965		Pekka.NOM	Merja-PAR	admire-A/INF	want-PST.3SG		
966		S	o	v		V	
967	c.	[Merja-a ₁	ihail-la	___ ₁] ₂	Pekka	halus-i	___ ₂ . (#30)
968		Merja-PAR	admire-A/INF	Pekka.NOM	want-PST.3SG		
969		o	v		S		V

970 A calculated full analysis of (64a) is shown in (65). This shows how both the grammatical
 971 subject and the fronted infinitival were reconstructed into correct thematic positions.

972 (65)



973

974 Since fronted infinitivals do not elicit clear topic interpretations, the model was designed so
 975 that they were excluded from calculations involving information structure. This is the reason
 976 they do not appear in the marked topic and marked focus fields in the output.

977 4 Conclusions

978 Finnish structural case assignment was explored by developing a formal model that judges
 979 and analyses sentences involving the nominative, partitive, accusative (three forms) and the
 980 genitive cases. The model was observationally and descriptively adequate over a
 981 representative test corpus. Some remaining problems were noted.

The proposal in a nutshell is that morphological case forms are linked with a notion of syntactic scope defined by the upward path mechanism. If the surface position of the element does not satisfy its case requirements, reconstruction is attempted. Both abstract Case and case concord were eliminated. No binary distinction was made between syntactic and semantic cases; indeed, in Finnish some case forms such as the accusative exhibit a mixed profile, responding to both syntactic and semantic features. Locality properties were captured by relying on relativized feature intervention.

Overt case forms guide reconstruction. Detection of a case checking violation causes the system to seek alternative positions where case checking succeeds. This allows the system to survive a limited amount of word order perturbations that it uses to communicate information structural notions such as a topic and focus. In some cases argument dislocation can grammaticalize, leading into the specifier case marking pattern first documented for Finnish by Vainikka (1989). The Finnish genitive, in particular, behaves in this way. It was assumed, contra Vainikka, that the surface position is not where case checking takes place; rather, checking is applied at the reconstruction site. In languages with little or no overt case forms, such as English, the link between word order and thematic interpretation freezes.

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- 1112 Conflicts of interest
- 1113 No conflicts of interest

¹ Abbreviations: 0 = no agreement or the default agreement; 1, 2, 3 = first, second and third person; ACC = accusative case, any form; ACC(0) = zero accusative, homophonous with the nominative in singular; ACC(N) = n-accusative, homophonous with the genitive in singular; ACC(T) = the t-accusative (assigned for pronoun and plural direct objects); A/INF = A-infinitival (corresponding loosely to the English *to*-infinitival); \pm ARG = case assigner (also “predicate”); CAU = causative morpheme/head; GEN = genitive; MALLA = MALLA-infinitival (i.e. one particular MA-infinitival, such as *juokse-malla* ‘by running’); NEG = negation; NOM = nominative; IMPASS = impersonal passive; O/o = object of the main clause/embedded infinitival clause; PAR = partitive; PL = plural; PRS = present tense; PRTCPL = participle; PST = past tense; S/s = subject of the main clause/embedded infinitival clause; SG = singular; V/v = verb of the main clause/embedded infinitival clause; VA/INF = VA-infinitival (a ‘propositional’ complement clause); \pm VAL = licenses overt agreement.

² Theories of case can rely on assignment or checking. Case assignment is an operation where one element determines the case form of the other. Case checking, on the other hand, verifies elements for compatibility. For most purposes assignment and checking are interchangeable. Case checking is perhaps the more natural choice for recognition grammars, and even more so when case forms are used for reconstruction purposes, as is the case here.

³ Sentence (a) is part of written Finnish, (b) colloquial language. The Institute for the Languages of Finland writes that (b) is “frequently used” but not “recommended” due to its colloquial character (<http://www.kielitoimistonohjepankki.fi/ohje/345>, retrieved 4. 8. 2020). Use of the standard form in colloquial speech feels hypercorrect, whereas the use of the impersonal form in standard written Finnish is (still) “not recommended” but not grammatically offensive either, not to me at least.

⁴ An anonymous *SL* reviewer pointed out that the sentence *Pekka on Peka-n* ‘Pekka.NOM is Pekka-GEN’ (#274) is wrongly marked as ungrammatical in the test corpus and has a reading ‘Pekka owns/possesses Pekka’. The reason it was marked ungrammatical is because the intended reading is the identity statement ‘Pekka is Pekka’. If we assume that ‘Pekka owns/possesses Pekka’ involves the same (feature-wise identical) copular verb used in identity statements, then this specimen must be added to the list of problems. Predicative copular sentences were not tested systematically in this study, however.

⁵ Referential arguments are represented as ϕ Ps, which are regarded as minimal elements able to sustain referential interpretation. ϕ is a grammatical head that contains phi-features (number, person) triggering reference management computations inside the semantic component. This system is used in the background theory because Finnish lacks grammaticalized articles. If the input contains D-elements, such as articles or demonstrative pronouns, a full DP is projected and is visible in the output (\rightarrow S5.6).

⁶ I have not been able to accomplish complete unification between DP and PP reconstruction, but since this work is not focused on semantic cases, I leave the details to the supplementary document (→S6.1).

⁷ A telic reading is possible if a further modifier is added to the VP, as in *Pekka tänäisi naise-n päin seinää* ‘Pekka pushed/nudged woman-ACC(N) against wall’. It is a general feature of Finnish that the aspectual properties of the sentence depend on the VP as a whole. “Readings” were implemented in this study by relying on lexical ambiguity, but this cannot be the whole explanation (→S5.2.1).

⁸ One alternative hypothesis is to assume that ASP carries no specific aspectual interpretation; rather, it represents a more general verbal-aspectual feature that licenses the accusative and only biases the interpretations towards telic readings. Whether the event is interpreted as bounded or not is created in the semantic component by synthesizing information from multiple sources. This change has no impact on the formal case checking mechanism.

⁹ The current rules allow polarity and agreement-based accusative rules to penetrate finite clause boundaries, which is not correct. Agreement in the main clause cannot determine direct object forms inside finite embedded clauses. This could be handled by stipulating the restriction to the upward path mechanism, positing the relevant polarity and agreement features to the finite clause boundary, or by relying on the phase theory (Chomsky, 2000, 2001) which generates locality domains, CP among them. I excluded this issue from the present study, however, because as a matter of fact the negation effect *does* penetrate the finite clause boundary. One example is *Pekka ei uskonut että Merja voittaa kilpailu-a/kilpailu-n* ‘Pekka not believe that Merja wins competition-PAR/competition-ACC’, where the

negation in the main clause licenses the partitive inside the embedded finite clause. This makes the issue very nontrivial.

¹⁰ I had marked sentences of this type as ungrammatical in the original test corpus, but an anonymous reviewer pointed out that also the n-accusative could also be used (for me, that option is ungrammatical or extremely marginal). If so, then this data falls under the category of labile case constructions (excluded here).