#### **Time Complexity**

f(n)	g(n)	$O/\Omega/\Theta$
n - 100	n - 200	Θ
$n^{1/2}$	$n^{2/_{3}}$	0
$100n + \log n$	$n + (\log n)^2$	Θ (a)
$\log 2n$	$\log 3n$	$\Theta\left(b ight)$
$10\log n$	$\log n^2$	Θ (c)
$n^{1/2}$	$5^{log_2n}$	0 (d)
2 <sup>n</sup>	$2^{n+1}$	Θ (e)

(a):  $n \text{ dominates } (\log n)^c \to n + (\log n)^2 = \Theta(n)$ 

(b):  $\log ab = \log a + \log b$ 

(c):  $\log a^b = b \log a$ 

(d):  $5 = 2^{2x}$  where  $x > 0 \to 5^{\log_2 n} = (2^{2x})^{\log_2 n} = (2^{\log_2 n})^{2x} = \Omega(n^{1/2})$ 

(e):  $2^{n+1} = 2 \times 2^n$ 

#### Fibonacci 1

$$F_n = \begin{cases} 0, if \ n = 0 \\ 1, if \ n = 1 \\ F_{n-1} + F_{n-2}, otherwise \end{cases}$$

Prove:  $F_n = \Omega(\sqrt{2^n})$ .

By trial and error: It appears that  $F(n) \ge 2^{n/2}$  for all  $n \ge 7$ 

To prove: For all positive integers  $n \ge 7 \to F_n \ge 2^{n/2}$ 

By induction on n. Base case: n = 7

Step, assume: Indeed, true that for all  $i = 7, 8, ..., k \rightarrow F_i \ge 2^{i/2}$ 

To prove:  $F_{k+1} \ge 2^{k+1/2}$ 

LHS: 
$$F_{k+1} = F_k + F_{k-1} \ge 2^{k/2} + 2^{(k-1)/2}$$
  
Suffices to prove:  $2^{k/2} + 2^{(k-1)/2} \ge 2^{(k+1)/2}$   
 $2^{1/2} + 1 \ge 2^{2/2}$  (by dividing the above by  $2^{(k-1)/2}$ )  
It is indeed true that  $2^{1/2} + 1 \ge 2^{2/2} = 1$ 

#### It is indeed true that $2^{7/2} + 1 \ge 2^{7/2} =$

#### Multiplication

**Figure 1.1** Multiplication à la Français.

```
function multiply (x, y)

Input: Two n-bit integers x and y, where y \ge 0

Output: Their product

if y = 0: return 0

z = \text{multiply}(x, \lfloor y/2 \rfloor)

if y is even:
   return 2z

else:
   return x + 2z
```

Suppose instead of both x and y being n-bit, x is n-bit and y is m-bit. What is the worst-case time efficiency of multiply?

Proposed: O(nm)

Time Efficiency:

- # recursive calls x time/call
- # worst case recursive calls = O(m)
- Worst case time/call =
  - 2z is at worst  $O(n+m) \rightarrow because very last addition is <math>2z = xy x$
  - x is n bits
  - So, addition's time:  $O(\max\{n, n + m\}) = O(\max\{n, m\})$

So, final answer:  $O(m \times \max\{n, m\})$ 

#### Fibonacci 2

Let  $F_n$  be the n<sup>th</sup> Fibonacci number, Prove  $F_n = O(2^n)$ .

- Somewhere, we have shown:  $F_n = \Omega(\sqrt{2}^n)$
- But here, seek to show: There exists positive real  $F_n \le c \cdot 2^n$ , for all n in N
- Natural proof strategy for "there exists" construction (i.e., propose some concrete *c*, and show that it works)
- Try some small values for n, and see what c would work
  - $n = 0, F_0 = 0, 2^0 = 1 \rightarrow c = 1 \text{ works}$
  - $n = 1, F_1 = 1, 2^1 = 2 \rightarrow c = 1 \text{ works}$
  - $n = 2, F_2 = 1, 2^2 = 4 \rightarrow c = 1 \text{ works}$
  - $n = 3, F_3 = 2, 2^3 = 8 \rightarrow c = 1 \text{ works}$
  - $n = 4, F_4 = 3, 2^4 = 16 \rightarrow c = 1 \text{ works}$
- Appears that c = 1 works. Adopt it and check if proof goes through. Now, proof by induction with c = 1
- Base case,  $n = 1, F_1 = 1, 2^1, 1 \le 2 \rightarrow True$
- Step: Seek to show  $F_n \le 2^n$  given that  $F_k \le 2^k$  for all k = 1, 2, ..., n 1
- $F_n = F_{n-1} + F_{n-2} \le 2^{n-1} + 2^{n-2}$  by induction assumption
- $F_n = 2^{n-2} (2+1) = 3 \times 2^{n-2} \le 2^n = 2^2 \times 2^{n-2} = 4 \times 2^{n-2} \to Done$

#### Fibonacci 3

Let  $F_n$  be the n<sup>th</sup> Fibonacci number, Prove  $F_n \neq O(n^2)$ .

- Recall from logic: not (there exists an egg-laying mammal) = for all mammals *m*, *m* is not egg-laying
- Here, f = O(g): There exists positive real c, for all natural  $n, f(n) \le c \cdot g(n)$
- So here, need to prove: Given any positive real c, it is true that there exists n such that  $F_n > c \cdot n^2$
- By contradiction: Suppose that there exists positive real c, such that, for all natural n,  $F_n \le c \cdot n^2$
- Then:  $F_n = F_{n-1} + F_{n-2} \le c(n-1)^2 + c(n-2)^2 = c(n^2 2n + 1 + n^2 4n + 4) = c(2n^2 6n + 5) \le cn^2$
- $\bullet \quad 2n^2 6n + 5 \le n^2$

• 
$$2 - \frac{1}{n^2}(6n - 5) \le 1$$

• This is true only if 
$$\frac{1}{n^2}(6n-5)$$
 is "large" compared to  $2n^2$ 

• What is large? We need 
$$\frac{1}{n^2}(6n-5) \ge 1 \rightarrow true \ for \ n=1$$

• Try 
$$n = 2: \frac{1}{4}(12 - 5) = \frac{7}{4} \ge 1$$

• Try 
$$n = 3: \frac{1}{8}(18 - 5) = \frac{13}{8} \ge 1$$

• Try 
$$n = 4$$
:  $\frac{1}{16}(24 - 5) = \frac{19}{16} \ge 1$ 

• Try 
$$n = 5$$
:  $\frac{1}{25}(30 - 5) = 1$ 

• Try 
$$n = 6$$
:  $\frac{1}{36}(36 - 5) < 1$ 

• Try 
$$n = 7: \frac{1}{49}(42 - 5) < 1$$

• Prove by induction: 
$$6n - 5 < n^2$$
 for all natural  $n > 5$ 

• Base case 
$$n = 6$$
: See above

• Step: 
$$6(n-1)-5 \le (n-1)^2 \to from induction assumption$$

• 
$$6n - 5 - 6 < n^2 - 2n + 1$$

• 
$$6n-5 \le n^2-(2n-7) \le n^2$$
 whenever  $2n-7 \ge 0 \rightarrow$  which it is for  $n \ge 6$ 

• So far: We have shown that indeed, for 
$$n \ge 6$$
,  $F_n < cn^2 \to Done$ 

#### **Selection Sort**

SELECTIONSORT (A[1,...,n])
foreach i from 1 to n do

$$m \leftarrow i - 1 + INDEXOFMIN(A[i,...,n])$$
if  $i \neq m$  then swap  $A[i], A[m]$ 

$$INDEXOFMIN(B[1,...,m])$$
 $min \leftarrow B[1], idx \leftarrow 1$ 
foreach j from 2 to m do

if  $B[j] < \min$  then

 $\min \leftarrow B[j], idx \leftarrow j$ 

return  $idx$ 

What is a meaningful characterization of the time efficiency of SELECTIONSORT?

- Suppose we invoke INDEXOFMIN(A[5,...,13]). In INDEXOFMIN: B[1,...,9].
   Suppose now, min is at index 3 in B[1,...,9]. This → index of a min in A[5,...,13] is at index (5-1) + 3 = 7
- Suppose on input: A[1, ..., 5] = [13, -23, 45, -23, 1]. Then A evolves in *SELECTIONSORT* as follows:
  - i = 1, m = 2, [-23, 13, 45, -23, 1]
  - i = 2, m = 4, [-23, -23, 45, 13, 1]
  - i = 3, m = 4, [-23, -23, 13, 45, 1]
- For time efficiency: Need to make meaningful assumption(s)
- Customary Assumptions: (1) n is unbounded, (ii) each A[i] is bounded
- What should we count? Suppose we all agree that counting # swaps is a meaningful measure for time efficiency
- Then: Worst case # swaps  $= n 1 = \Theta(n)$
- Now, let's say we want to get a bit more fine-grained. Incorporate (worst case) time for each swap x # swaps
- So now, time efficiency:  $(n-1) + (n-2) + \cdots + 1 = \Theta(n^2)$

#### **Modular Simplification**

1. Is 
$$6^6 \equiv 5^3 \pmod{31}$$
?

$$6 \times 6 = 36 \equiv 5 \pmod{31}$$

So: 
$$(6^2)^3 \equiv (5)^3 \pmod{31}$$

2. 
$$2^{125} \equiv ? \pmod{127}$$

$$2^7 = 128 = 127 + 1$$

So: 
$$128 \mod 127 = 1$$

Now: 
$$125/7 = 17 + 6/7$$

So: 
$$2^{125} = 2^{17 \times 7 + 6} = 2^{17 \times 7} \times 2^6$$

So: 
$$2^{125} \equiv 2^{17 \times 7} \times 2^6 \equiv (2^7)^{17} \times 2^6 \equiv 1^{17} \times 2^6 \equiv 64 \pmod{127}$$

3. Is  $4^{1536} - 9^{4824}$  divisible by 35?

$$4^{1536} \equiv 9^{4824} \pmod{35}$$

Trick: Keep exponentiating until numbers start to repeat.

Suppose we repeatedly exponentiate 4:

$$\rightarrow 16$$

$$\rightarrow$$
 64  $\equiv$  29 (mod 35)

$$\rightarrow 116 = 35 \times 3 + 11 = 11 \pmod{35}$$

$$\rightarrow$$
 9 (mod 35)

$$\rightarrow$$
 36  $\equiv$  1 (mod 35)

So: 
$$4^6 \equiv 1 \pmod{35}$$
. And  $1536 = 6 \times 256$ . So  $4^{1536} \equiv 1 \pmod{35}$ 

Now check whether 1536 is divisible by 4. Indeed:  $1536 = 4 \times 384$ 

Repeat with 9. Repeated exponentiation of 9:

$$\rightarrow$$
 81  $\equiv$  11 (mod 35)

$$\rightarrow$$
 99  $\equiv$  29 (mod 35)

$$\rightarrow$$
 261 = 7 × 35 + 16  $\equiv$  16 (mod 35)

$$\rightarrow 144 \equiv 4 \times 35 + 4 \equiv 4 \pmod{35}$$

$$\rightarrow$$
 36  $\equiv$  1 (mod 35)

So:  $9^6 \equiv 1 \ (mod \ 35)$ 

Now: 
$$9^{4824} = 9^{804 \times 6} \equiv 1 \pmod{35}$$
.

 $\therefore$  It is divisible by 35.

4. 
$$2^{2^{2006}} \pmod{3} = ?$$

$$2^{2^{2006}} = (2^2)^{2^{2005}} = 4^{2^{2005}} \equiv 1 \pmod{3}$$

5. Is  $5^{30000} - 6^{123456}$  a multiple of 31?

31 is prime. And  $5^{30000} = (5^{30})^{1000} \equiv 1 \pmod{31}$ .

Compare with  $6^{123456} = 6^{123450} \times 6^6$ :

$$1 \times 6^6 \equiv 5^3 \equiv 125 \equiv 31 \times 4 + 1 \pmod{31} \equiv 1 \pmod{31}$$

 $\therefore$  It is a multiple of 31.

Show that if a has a multiplicative inverse modulo N, then this inverse is unique (modulo N).

Let's assume  $a \in \{1, ..., N-1\}$ .

Suppose  $b, c \in \{1, ..., N-1\}$  are both multiplicative inverses of a modulo N. Then:

$$ab \equiv 1 \pmod{N}$$

$$ac \equiv 1 \pmod{N}$$

$$ab \equiv ac \pmod{N}$$

$$ab \cdot b \equiv ac \cdot b \pmod{N}$$
 (1)

(1): Substitution Rule:

$$x\equiv x',y\equiv y'(mod\ N)$$

$$xy \equiv x'y' \pmod{N}$$

Then:

$$(ab) \cdot b \equiv (ab) \cdot c \pmod{N}$$
 (2)

(2): Commutativity

$$1 \cdot b \equiv 1 \cdot c \pmod{N}$$

$$b \equiv c \pmod{N}$$

$$b = c$$

Suppose  $p \equiv 3 \pmod{4}$ . Show that (p+1)/4 is an integer.

$$p \equiv 3 \pmod{4}$$
$$p = 4k + 3 \text{ for some } k \in \mathbb{Z}$$

So: p + 1 = 4k + 4, which is divisible by 4.

We say that x is a square root of y modulo a prime p if  $y \equiv x^2 \pmod{p}$ . Show that if (i)  $p \equiv 3 \pmod{4}$  and (ii) y has a square root modulo p, then  $y^{(p+1)/4}$  is such a square root.

Let x be the square root of y modulo p. Then:  $y \equiv x^2 \pmod{p}$ .

Write 
$$p = 4k + 3$$
. Then,  $\left(y^{\frac{p+1}{4}}\right)^2 = y^{2(p+1)/4} = y^{2(4k+3+1)/4} = y^{2k+2}$ 

Keep in mind: (p + 1)/4 = k + 1.

Try plugging in x in the last expression:

Is 
$$y^{2k+2} = x^{4k+4} \equiv x^2$$
?

So, we're asking: Is  $x^{4k+4} - x^2 \equiv 0 \pmod{p}$ ?

$$x^{4k+4} - x^2 = (x^{2k+2} - x)(x^{2k+2} + x)$$

So at least one of:  $x^{2k+2} - x$  or  $x^{2k+2} + x$  must be  $\equiv 0 \pmod{p}$ .

$$\bullet \quad \frac{(p+1)}{4} = \frac{(4k+3+1)}{4} = k+1$$

$$\bullet \quad 2 \cdot \frac{(p+1)}{4} = 2k + 2$$

• 
$$p-1=4k+2$$

We know: There exists  $x \in \{1, ..., p-1\}$  such that  $y \equiv x^2 \pmod{p}$ .

We seek to prove:  $\left(y^{\frac{(p+1)}{4}}\right)^2 \equiv y \pmod{p}$ . Sufficient condition for that to be true:

$$\left(y^{\frac{(p+1)}{4}}\right)^2 \cdot y^{-1} \equiv 1 \pmod{p} \to \text{is okay, because } y \text{ is invertible modulo } p$$

$$\Rightarrow (y^{2k+2}) \cdot y^{-1} \equiv 1 \pmod{p}$$

$$\Rightarrow y^{2k+1} \equiv 1 \pmod{p}$$

$$\Rightarrow (x^2)^{2k+1} \equiv 1 \pmod{p}$$

$$\Rightarrow x^{4k+2} \equiv 1 \pmod{p}$$

$$\Rightarrow x^{p-1} \equiv 1 \pmod{p}$$

$$\Rightarrow True (Fermat's little theorem)$$

#### **Proving Recurrence 1**

Suppose  $x \in \mathbb{Z}^+$ ,  $y \in \mathbb{Z}_0^+$ . Prove recurrence correctness.

$$x^{y} = \begin{cases} 1, & \text{if } y = 0\\ (x^{2})^{\lfloor y/2 \rfloor}, & \text{if } y \text{ is even}\\ x \cdot (x^{2})^{\lfloor y/2 \rfloor}, & \text{otherwise} \end{cases}$$

Case Analysis:

- 1. If y = 0, then  $x^y = x^0$ . So, the recurrence is correct for the case where y = 0
- 2. If  $y \neq 0$ , y is even: then  $\lfloor y/2 \rfloor = y/2$ . So  $x^y = x^{2 \times y/2} = (x^2)^{y/2} = (x^2)^{\lfloor y/2 \rfloor}$
- 3. If  $y \neq 0$ , y is odd: then |y/2| = (y 1)/2. So now:

$$x^y = x^{(2 \times (y-1)/2)+1} = x^{(2 \times [y/2])+1} = x \cdot x^{2 \times [y/2]}$$

#### **Proving Recurrence 2**

Let  $\langle q, r \rangle$  be the quotient and remainder of x/y and  $\langle q', r' \rangle$  be the quotient and remainder of (|x/2|)/y. Prove recurrence correctness.

$$\langle q,r \rangle = \begin{cases} \langle 0,0 \rangle, if \ x = 0 \\ \langle 2q', 2r' \rangle, if \ x \ even \ and \ 2r' < y \\ \langle 2q', 2r' + 1 \rangle, if \ x \ odd \ and \ 2r' + 1 < y \\ \langle 2q' + 1, 2r' - y \rangle, if \ x \ even \ and \ 2r' \ge y \\ \langle 2q' + 1, 2r' + 1 - y \rangle, otherwise \end{cases}$$

To be absolutely clear, what are the quotient and remainder of x/y?

We call q the quotient, and r the remainder if and only if q and r are non-negative integers that satisfy:

$$x = q \cdot y + r$$
, where  $r \in \{0, 1, ..., y - 1\}$ 

Proof by case analysis:

- 1. If x = 0, then  $x = 0 = 0 \cdot y + 0$ . So, recurrence is correct for this case.
- 2. If x is even and 2r' < y: then |x/2| = x/2. So:

$$[x/2] = x/2 = q' \cdot y + r'$$
$$x = (2q') \cdot y + 2r'$$
$$q = 2q', r = 2r'$$

Where we infer the last line from the facts that: (i) equation is of the form from definition for quotient and remainder, (ii)  $r' \ge 0 \rightarrow 2r' \ge 0$ , and (iii) we are given  $2r' \le y - 1$ .

3. If x is odd and 2r' + 1 < y: |x/2| = (x - 1)/2

$$[x/2] = (x - 1)/2 = q' \cdot y + r'$$
$$x - 1 = (2q') \cdot y + 2r'$$
$$x = (2q') \cdot y + (2r' + 1)$$

4. x is even,  $2r' \ge y$ : |x/2| = x/2. So:

$$\lfloor x/2 \rfloor = x/2 = q' \cdot y + r'$$
$$x = (2q') \cdot y + 2r'$$

This is of the form of the definition of quotient and remainder, except that we need to confirm that 2r' indeed lies between 0 and y-1. Which it does not necessarily. Actually, we are given that  $2r' \ge y$  and therefore not between 0 and y-1. Now we observe:

$$x = (2q') \cdot y + 2r'$$
$$x = (2q' + 1) \cdot y + (2r' - y)$$

Now only question that remains: is it the case that  $2r' - y \in \{0, 1, ..., y - 1\}$ ?

- Is  $2r' y \ge 0$ ? Yes, because  $2r' \ge y$
- Is  $2r' y \le y 1$ ? Yes, because:

$$r' \le y - 1$$
$$2r' \le 2y - 2$$
$$2r' - y \le y - 2 \le y - 1$$

5.  $x \text{ odd}, 2r' + 1 \ge y$ :

$$[x/2] = (x-1)/2 = q' \cdot y + r'$$
$$x = (2q') \cdot y + (2r'+1)$$
$$x = (2q'+1) \cdot y + (2r'+1-y)$$

Now:

- $2r' + 1 y \ge 0$  because  $2r' + 1 \ge y$ .
- $2r' + 1 y \le y 1$  because:

$$r' \le y - 1$$
$$2r' + 1 \le 2y - 1$$
$$2r' + 1 - y \le y - 1$$

#### **Proving Recurrence 3**

Prove that *BinSearch* is correct.

BinSearch(A[1,...,n],lo,hi,i)

- 1. *if*  $lo \le hi$  *then*
- 2.  $mid \leftarrow \lfloor (lo + hi)/2 \rfloor$
- 3. **if** A[mid] = i **then return** true
- 4. **if** A[mid] < i **then return** BinSearch(A, mid + 1, hi, i)
- 5.  $else\ return\ BinSearch(A, lo, mid-1, i)$
- 6. **else return** false

Above is recursive version of binary search. Iterative version:

BinSearch(A[1,...,n],lo,hi,i)

- 1. while  $lo \le hi do$
- 2.  $mid \leftarrow |(lo + hi)/2|$
- 3. if A[mid] = i then return true
- 4. **if** A[mid] < i **then**  $lo \leftarrow mid + 1$

- 5. *else*  $hi \leftarrow mid 1$
- 6. else return false

Typically, for iterative algorithms, towards correctness, we articulate a *loop invariant*:

Let  $lo^{(in)}$  and  $hi^{(in)}$  be the values of lo and hi respectively on input. Just before we successfully enter an iteration of the **while** loop of Line (1), it is true that:

$$i \in A[lo^{(in)}, ..., hi^{(in)}] \rightarrow i \in A[lo, ..., hi]$$

Going back to the recursive version, what is a correctness property?

Given A[1, ..., n] an array that is sorted, non-decreasing, lo, hi are each  $\epsilon \{1, ..., n\}$  on input, BinSearch(A, lo, hi, i) returns:

- True  $\rightarrow$  (lo  $\leq$  hi) and (i  $\in$  A[lo, ..., hi])
- False  $\rightarrow$  either (lo > hi) or (i is not  $\in$  A[lo,...,hi])

Proof by case analysis:

Case 1: lo > hi on input: then if condition of Line (1) evaluates to **false**, and we correctly return **false** in Line (6). Then, this is either from (a) Line (6) without making any recursive calls, or (b) as the return value from a recursive call from one of Lines (4) or (5).

For (b), we first observe that  $lo \le hi$  because the only recursive calls are within the if block of Line (1). So, all that remains to be proven is that indeed:  $i \notin A[lo, ..., hi]$ .

We prove that by induction on hi - lo + 1. Base case: hi - lo + 1 = 1. We claim we return false within the first recursive invocation. That is, we claim: (i) mid + 1 > hi and lo > mid - 1, (ii) mid = lo = hi, and (iii)  $i \ne A[mid]$ .

(ii) easy to prove:

$$\begin{aligned} hi - lo + 1 &= 1 \\ \Rightarrow lo &= hi \\ \Rightarrow mid &= \left\lfloor \frac{(lo + hi)}{2} \right\rfloor = \left\lfloor \frac{(lo + lo)}{2} \right\rfloor = \left\lfloor \frac{(2 \cdot lo)}{2} \right\rfloor = \frac{2 \cdot lo}{2} = lo = hi \end{aligned}$$

(iii) is **true**, because then we would have returned **true** in Line (3).

To prove (i): we simply exploit: mid = hi = lo

$$mid = hi \Rightarrow mid + 1 > hi$$

$$mid = lo \Rightarrow mid - 1 < lo$$

So, the algorithm is correct if it returns false, and hi - lo + 1 = 1.

For the step, we know that on input lo < hi. So, we returned **false** in some recursive call. So, all we have to prove to appeal to induction assumption: hi - (mid + 1) < hi - lo and (mid - 1) - lo < hi - lo.

#### **Proving Master Theorem**

Give a closed form solution for the following recurrence. Assume:  $f: \mathbb{R}^+ \to \mathbb{R}^+$  is non-decreasing,  $a > 0, b > 1, d \ge 0$ .

$$f(n) = \begin{cases} \Theta(1), ifn \le 1\\ a \cdot f\left(\frac{n}{b}\right) + \Theta(n^d), otherwise \end{cases}$$

Proposed approach: Inductive "rewriting" of the function f. But first: adopt concrete functions wherever we have  $\Theta(\cdot)$ ,  $O(\cdot)$  or  $\Omega(\cdot)$ . In this case: adopt 1 for  $\Theta(1)$ , and  $n^d$  for  $\Theta(n^d)$ . Now onto the rewriting:

$$f(n) = a \cdot f\left(\frac{n}{b}\right) + n^{d}$$

$$= a \cdot \left(a \cdot f\left(\frac{n}{b^{2}}\right) + \left(\frac{n}{b}\right)^{d}\right) + n^{d}$$

$$= a^{2} \cdot f\left(\frac{n}{b^{2}}\right) + a \cdot \left(\frac{n}{b}\right)^{d} + n^{d}$$

$$= a^{2} \left(a \cdot f\left(\frac{n}{b^{3}}\right) + \left(\frac{n}{b^{2}}\right)^{d}\right) + a \cdot \left(\frac{n}{b}\right)^{d} + n^{d}$$

$$= a^{3} \cdot f\left(\frac{n}{b^{3}}\right) + a^{2} \cdot \left(\frac{n}{b^{2}}\right)^{d} + a \cdot \left(\frac{n}{b}\right)^{d} + n^{d}$$

$$= a^{3} \cdot f\left(\frac{n}{b^{3}}\right) + n^{d} \left(\left(\frac{a}{b^{d}}\right)^{2} + \left(\frac{a}{b^{d}}\right)^{1} + \left(\frac{a}{b^{d}}\right)^{0}\right)$$

$$= a^{4} \cdot f\left(\frac{n}{b^{4}}\right) + n^{d} \left(\left(\frac{a}{b^{d}}\right)^{3} + \left(\frac{a}{b^{d}}\right)^{2} + \left(\frac{a}{b^{d}}\right)^{1} + \left(\frac{a}{b^{d}}\right)^{0}\right)$$
...
$$= a^{\log_{b} n} \cdot f(1) + n^{d} \cdot \left(\left(\frac{a}{b^{d}}\right)^{(\log_{b} n) - 1} + \left(\frac{a}{b^{d}}\right)^{(\log_{b} n) - 2} + \dots + \left(\frac{a}{b^{d}}\right)^{0}\right)$$

$$= a^{\log_{b} n} + n^{d} \cdot \left(\left(\frac{a}{b^{d}}\right)^{(\log_{b} n) - 1} + \left(\frac{a}{b^{d}}\right)^{(\log_{b} n) - 2} + \dots + \left(\frac{a}{b^{d}}\right)^{0}\right)$$

To figure out the power of a in that last term:

Power of a is the same as the power of b inside the  $f\left(\frac{n}{b^x}\right)$ . In other words, we're asking: what is the power of b, i.e., x for which  $\frac{n}{b^x} = 1$ ? Answer:  $\frac{n}{b^x} = 1 \iff n = b^x \iff x = \log_b n$ .

Our next step: Simplify/figure out:

$$S = \left(\frac{a}{b^d}\right)^{(\log_b n) - 1} + \left(\frac{a}{b^d}\right)^{(\log_b n) - 2} + \dots + \left(\frac{a}{b^d}\right)^0$$

Suppose:

$$T = r^{q-1} + r^{q-2} + \dots + r^0$$
  
$$\Rightarrow r \cdot T = r^q + r^{q-1} + \dots + r$$

Now subtract one from the other:

$$\Rightarrow T - r \cdot T = r^{0} - r^{q}$$

$$\Rightarrow (1 - r) \cdot T = 1 - r^{q}$$

$$\Rightarrow T = \frac{1 - r^{q}}{1 - r}, provided \ r \neq 1$$

When r = 1, how do we figure out what T is? Answer: then, T is:

$$T = 1^{q-1} + 1^{q-2} + \dots + 1^{0}$$

$$= 1 + 1 + \dots + 1 \rightarrow q \text{ instances of } 1$$

$$= q$$

So, going back to our *S*:

$$S = \left(\frac{a}{b^d}\right)^{(\log_b n) - 1} + \left(\frac{a}{b^d}\right)^{(\log_b n) - 2} + \dots + \left(\frac{a}{b^d}\right)^0$$

$$\Rightarrow S = \frac{1 - \left(\frac{a}{b^d}\right)^{\log_b n}}{1 - \left(\frac{a}{b^d}\right)}, provided \frac{a}{b^d} \neq 1$$

And:

$$S = \log_b n$$
, when  $\frac{a}{h^d} = 1$ 

When is  $\frac{a}{b^d} = 1$ ? Answer:  $d = \log_b a$ .

So, going back to our f(n): first, the case that  $d = \log_b a$ .

But even before that: rewrite  $a^{\log_b n} = n^{\log_b a}$ . Because:

$$x = a^{\log_b n} \iff \log_b x = \log_b a \cdot \log_b n \iff x = n^{\log_b a}$$

$$f(n) = n^{\log_b a} + n^d \cdot S$$

So, when  $d = \log_b a$ ,  $S = \log_b n$ . So, in this case:

$$f(n) = n^d + n^d \cdot \log_b n$$
$$= \Theta(n^d \cdot \log n)$$

Onto the other two cases:  $d \neq \log_b a$ .

$$f(n) = n^{\log_b a} + \dots + n^d \cdot S$$

Before we continue: a closer look at  $\left(\frac{a}{b^d}\right)^{\log_b n}$ :

$$\left(\frac{a}{b^d}\right)^{\log_b n} = \frac{a^{\log_b n}}{(b^d)^{\log_b n}}$$

$$= \frac{n^{\log_b a}}{(b^{\log_b n})^d}$$

$$= \frac{n^{\log_b a}}{n^d}$$

So: when  $d \neq \log_b a$ 

$$S = \frac{1 - \frac{n^{\log_b a}}{n^d}}{1 - \left(\frac{a}{b^d}\right)}$$

So, going back to f(n):

$$f(n) = n^{\log_b a} + n^d \cdot S$$

$$= n^{\log_b a} + \frac{1}{1 - \left(\frac{a}{b^d}\right)} \cdot \left(n^d - n^{\log_b a}\right)$$

$$= c \cdot n^{\log_b a} + c' \cdot n^d, \text{ for positive constants } c, c'$$

So, if  $d > \log_b a$ :  $f(n) = \Theta(n^d)$ 

And if  $d < \log_b a$ :  $f(n) = \Theta(n^{\log_b a})$ 

#### **Proving Greediness**

Given as input n meeting requests,  $\langle s_1, f_1 \rangle, \langle s_2, f_2 \rangle, \ldots, \langle s_n, f_n \rangle$ , where each  $s_i, f_i \in \mathbb{Z}^+$  is a start- and finish-time and  $s_i < f_i$ . We want a subset of those requests that is of maximum size that are pairwise conflict-free.

Two requests  $\langle s_i, f_i \rangle$ ,  $\langle s_j, f_j \rangle$  are in conflict if  $s_i \leq f_j$ , and  $s_j \leq f_i$ , or vice versa.

Example input, 9 requests:

Request 5 is in conflict with each Request 6 and 7. But is conflict-free with Request 2.

An optimal (maximum-sized) conflict-free set:  $\{1, 3, 5, 9\}$ . Another:  $\{1, 4, 7, 8\}$ .

Prove: this problem possesses a greedy choice.

Candidate greedy choice: request with earliest finish time.

Proof strategy: "cut and paste."

For this problem, we prove two claims in order:

Claim 1: Suppose for some input of n requests,  $O = \{o_1, ..., o_k\}$  is an optimal (maximum-sized) set of requests which are pairwise conflict-free ordered in increasing finish time. Suppose our

greedy algorithm outputs  $G = \{g_1, ..., g_l\}$ , ordered in increasing finish time. Then, it is true that: for every  $i = 1, 2, ..., l, f(g_i) \le f(o_i)$ .

*Proof.* Note: it must be the case that  $l \leq k$ . And therefore, k = l, i.e., greedy is optimal.

Proof by induction on i. Base case: i = 1. In our greedy algorithm, we first pick exactly a meeting that finishes earliest amongst all requests. Therefore, immaterial of what  $o_1$  is,  $f(g_1) \le f(o_1)$ .

Induction assumption: for i = j - 1, it is true that  $f(g_i) \le f(o_i)$ .

Step: to prove that  $f(g_i) \le f(o_i)$ . We observe:

- $f(o_{j-1}) \le s(o_j)$  because the set O is conflict-free requests, ordered in increasing finish, and therefore, start times.
- $f(g_{j-1}) \le f(o_{j-1})$  induction assumption.
- Therefore,  $f(g_{j-1}) \le s(o_j)$ . Therefore  $f(g_j) \le f(o_j)$  because after we greedily choose  $g_{j-1}$  and eliminate all requests that are in conflict,  $o_j$  still remains. And our greedy choice is exactly to pick a request that remains that finishes earliest, and we happened to pick  $g_j$ .

Claim 2: Given sets 0, G as in Claim 1,  $o_{l+1}$  cannot exist in 0.

*Proof.* By Claim 1,  $f(g_l) \le f(o_l)$ . And because the 0 set is all conflict-free,  $f(o_l) \le s(o_{l+1})$ . Therefore,  $f(g_l) \le s(o_{l+1})$ . So,  $o_{l+1}$  not in conflict with  $g_l$ , and so was available to be chosen after  $g_l$  was chosen and all conflicts were eliminated.

Contradiction to the assumption that greedy algorithm terminates only when no more requests available to choose from.

#### **Graph Algorithm 1**

Given an undirected graph  $G = \langle V, E \rangle$  encoded as an adjacency list, define an array  $\mathsf{snd}[\cdot]$  as: for each  $u \in V, \mathsf{snd}[u]$  is the sum of the degrees of the neighbours of u.

Devise an algorithm that given input G, computes and outputs an array snd.

 $SNDStraightForward(G = \langle V, E \rangle)$ 

- 1. snd ← new array of |V| entries
- 2. **foreach**  $u \in V$  **do**  $snd[u] \leftarrow 0$
- 3. for each  $u \in V$  do
- 4. foreach  $v \in Adj[u]$  do
- 5.  $degreev \leftarrow 0$
- 6. **foreach**  $w \in Adj[v]$  **do** degreev  $\leftarrow$  degreev +1
- 7.  $snd[u] \leftarrow snd[u] + degreev$
- 8. return snd

Time efficiency of *SNDStraightForward*:  $O(|V| \cdot (|E|)^2)$ 

Perhaps a better (more efficiency) approach:

- Visit each vertex as though it is someone's neighbor.
- Measure its degree.
- Walk its adj list again and inform each neighbor of the degree so they can update their snd.

 $SNDLinearTime(G = \langle V, E \rangle)$ 

- $1.snd \leftarrow new \ array \ of \ |V| \ entries$
- 2. **foreach**  $u \in V$  **do**  $snd[u] \leftarrow 0$
- $3. deg \leftarrow new \ array \ of \ |V| \ entries$
- 4. *foreach*  $u \in V$  *do*  $deg[u] \leftarrow 0$
- 5.  $foreach u \in V do$
- 5.  $deg[u] \leftarrow 0$
- 6.  $foreach \ v \in Adj[u] \ do \deg[u] \leftarrow \deg[u] + 1$
- 7.  $foreach \ v \in Adj[u] \ do \ snd[v] \leftarrow snd[v] + deg[u]$
- 8. return snd

#### Time efficiency:

- We visit each vertex once Line (4) *foreach* loop.
- We visit each edge four times Line (6) and Line (7), we walk each adj list twice.
- So total time: O(|V| + |E|).

#### **Graph Algorithm 2**

Given an undirected graph G as an adjacency list and an edge e in it, devise a linear-time algorithm to determine whether there is a cycle in G that contains e.

"Go-to" linear time algorithms for graphs: DFS and BFS.

#### Idea:

- DFS, check if back edge results in DFS tree.
- In fact, edit the explore routine as follows:
  - Keep track of parent in DFS tree.
  - Every time we hit a vertex, check if edge to root of DFS tree, and root is not parent in DFS tree.
  - If yes, immediately output **true**.

$$HasCycle(G = \langle V, E \rangle, e = \langle u, v \rangle)$$

- 1. for each  $u \in V$  do
- 2.  $visited(u) \leftarrow false$
- 3.  $\pi(u) \leftarrow NIL$
- 4. **return** ExploreModified( $\langle V, E \rangle$ , u, u)

ExploreModified( $\langle V, E \rangle, \langle u, v \rangle, x$ )

- 1.  $visited(x) \leftarrow true$
- 2. foreach  $y \in Adj[x]$  do
- 3. **if** visited(y) = false **then**
- 4. **if**  $(x \neq u)$  or (x = u and y = v) **then**
- 5.  $\pi(y) \leftarrow x$
- 6.  $ret \leftarrow ExploreModified(\langle V, E \rangle, \langle u, v \rangle, y)$
- 7. if ret = true then
- 8. **return** true
- 9. *else*
- 10. *if* y = r and  $\pi(x) \neq u$  then
- 11. **return** true
- 12. return false