

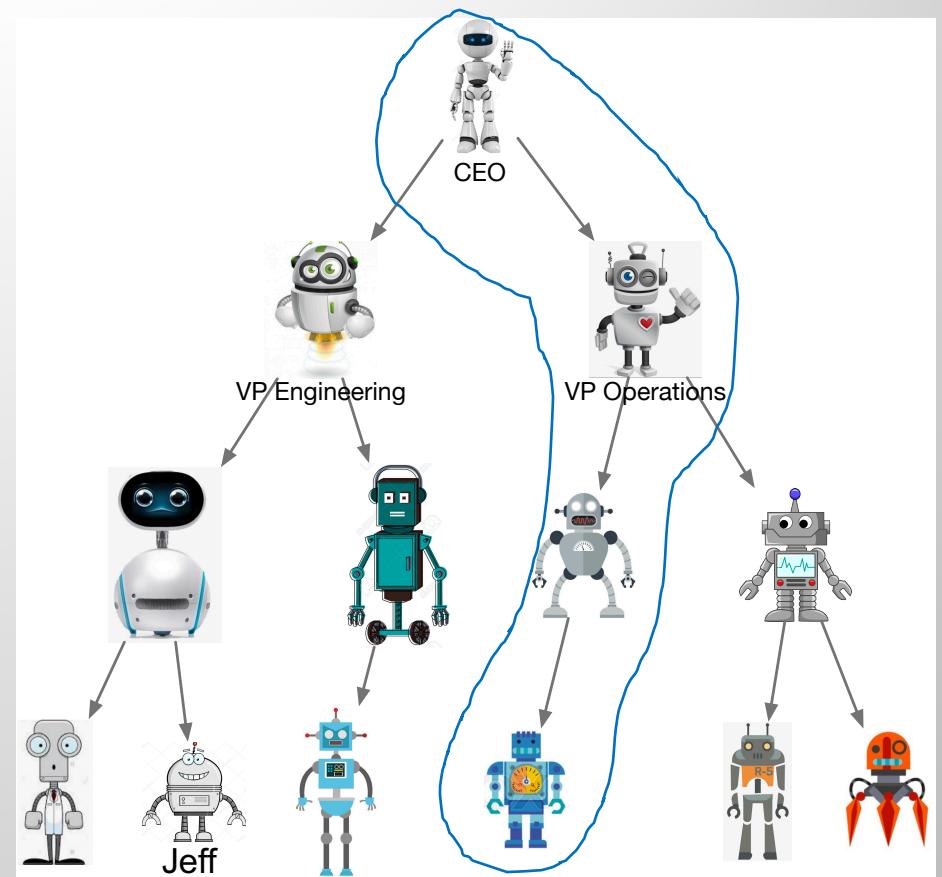
# **Getting a grip on recursion**

“To understand recursion, one must first understand recursion”

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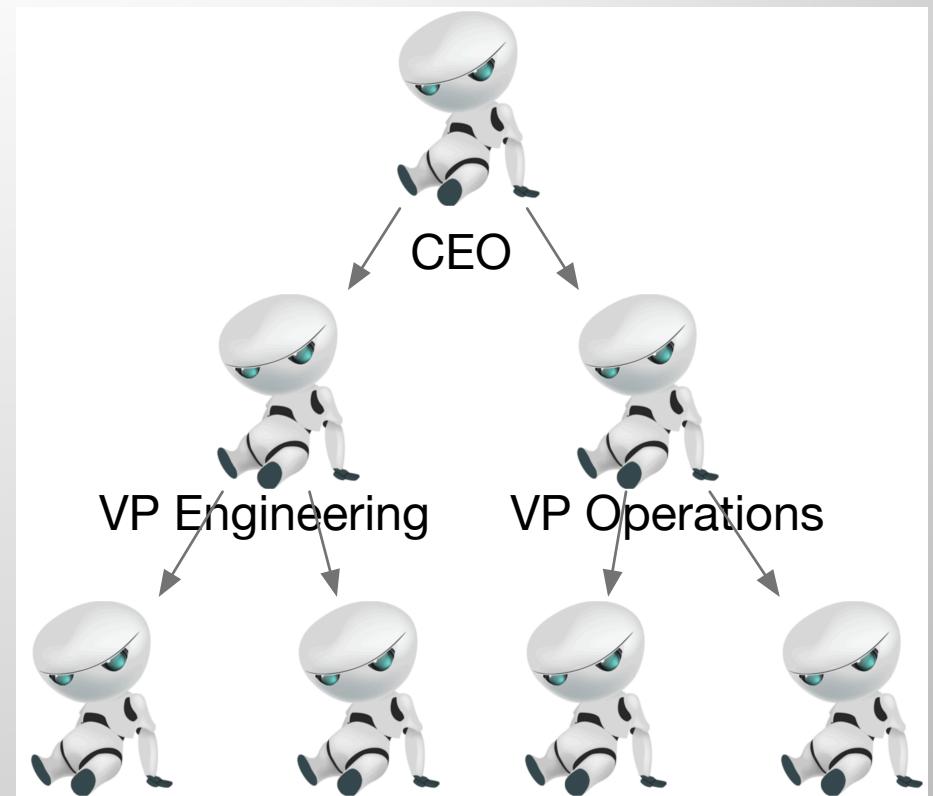
# Recursion is just delegation

- Consider an org chart
- Bosses delegate to subordinates
- CEO wants work done:
  - sends emails to 2 VPs
  - who launch 2 other workers
  - those workers launch emails too
  - until potentially all  $n$  contacted
- Max work is  $n$  workers times work each worker performs
- Trace from CEO to mailroom cost is only height of org chart:  $\log(n)$  in typical case



# Recursion is more like startup org chart

- One person has to play every role, cloning themselves
- Person "pauses" current work, performs subtask(s), then continues where they left off, possibly using subtask results
- Each call or email to worker is analogous to a function call
- (A large company could launch multiple core/employees)



# Let's start with math recurrence relations

- Factorial definition:
  - Let  $0! = 1$
  - Define  $n! = n * (n-1)!$  for  $n >= 1$
- Recurrent math function calls become recursive function call in Python
- Non-recursive version is harder to understand and less natural
- This has linear time  $O(n)$ ; more clear in non-recursive version

```
def fact(n):
    if n==0: return 1
    return n * fact(n-1)
```

```
def factloop(n):
    r = 1
    for i in range(1,n+1):
        r *= i
    return r
```



# Fibonacci sequence

- Let  $F(0) = F(1) = 1$
- Define  $F(n) = F(n-1) + F(n-2)$  for  $n \geq 2$
- Recursive implementation is very natural but mucho inefficient!
- We do small bit of work to combine results of two subproblems, and each subproblem is only 1 and 2 elements smaller
- Compare this to  $\text{fact}(n)$  where we do small bit of work using result of a **single** subproblem, which has linear time

```
def fib(n):  
    if n==0 or n==1: return 1  
    return fib(n-1) + fib(n-2)
```



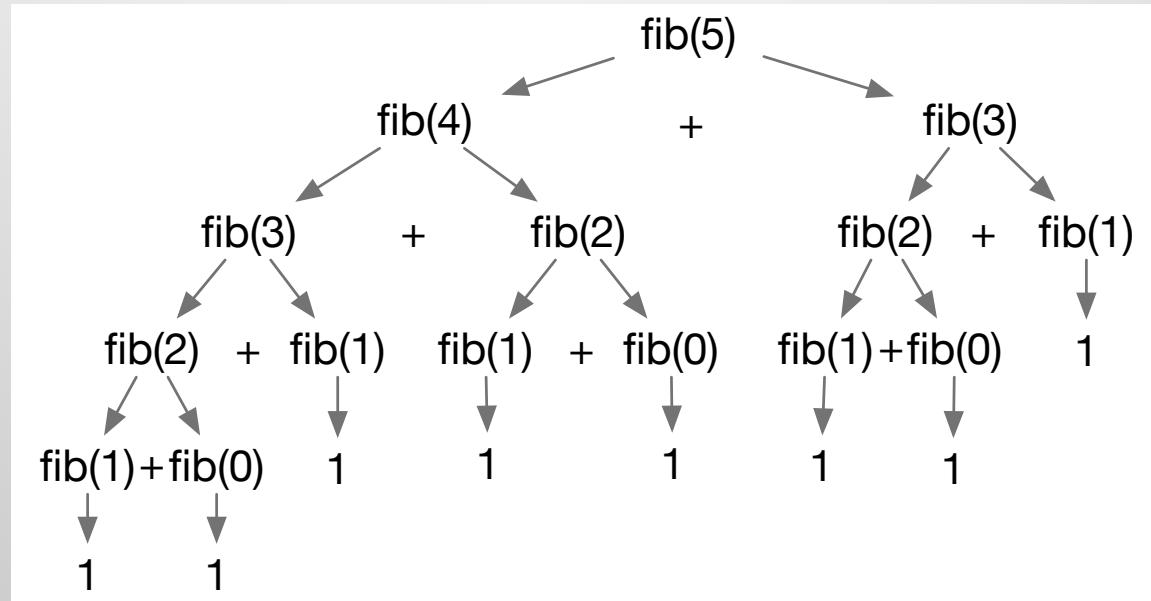
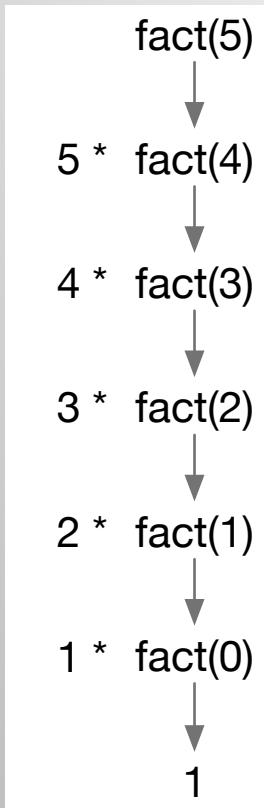
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# How fast is fib(n)?

- Seems like it should linear
- Computation of fib(n-1) and fib(n-2) overlap, repeating same computations
- Solving and combining results from two similarly-sized subproblems yields exponential complexity,  $O(k^n)$
- fib(30) takes 0.5s
- fib(36) takes 9.0s
- fib(37) takes 16.7s
- fact(n) invokes a single similarly-sized subproblem so is linear

# Compare fact, fib call trees visually

Difference comes down to two versus one subproblem!  
Key idea: recursion traces out a tree of function calls,  
meaning recursion is most natural way to walk trees



# An aside: Trade memory for speed

- Use *dynamic programming* to solve Fibonacci in  $O(n)$  not  $O(k^n)$

```
def cachefib(n):
    F = [0 for i in range(n+1)]
    F[0] = F[1] = 1
    for i in range(2,n+1): # work up not down
        F[i] = F[i-1] + F[i-2]
    return F[n]
```

- `cachefib(1000)` take 0.3s compared to `fib(37)` at 16.7s (wow)
- Algorithmic changes matter much more than code optimizations

# Summary: formula for recursive functions

```
def f(input):
```

1. check termination condition
2. process the active input region / current node, etc...
3. invoke f on subregion(s)
4. combine and return results

Steps 2 and 4 are optional

```
def fact(n):  
    if n==0: return 1  
    return n * fact(n-1)
```

```
def fib(n):  
    if n==0 or n==1: return 1  
    return fib(n-1) + fib(n-2)
```

Terminology: *currently-active region* is what function is currently trying to process.  
Here, that is argument n (the “region” is the numbers 0..n)



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# Recursion at its finest: Divide and conquer

- The big idea: break a big problem down into smaller subproblems via recursion until subproblems are so small we can solve in constant time; then, merge partial results in linear time as you climb back up the recursive calls
- Examples: merge sort, quick sort, decision tree construction
- Recursion is easiest way to describe algorithms that break problems into multiple subproblems
- In contrast, algorithms that use a single recursive call are easy to convert to loops

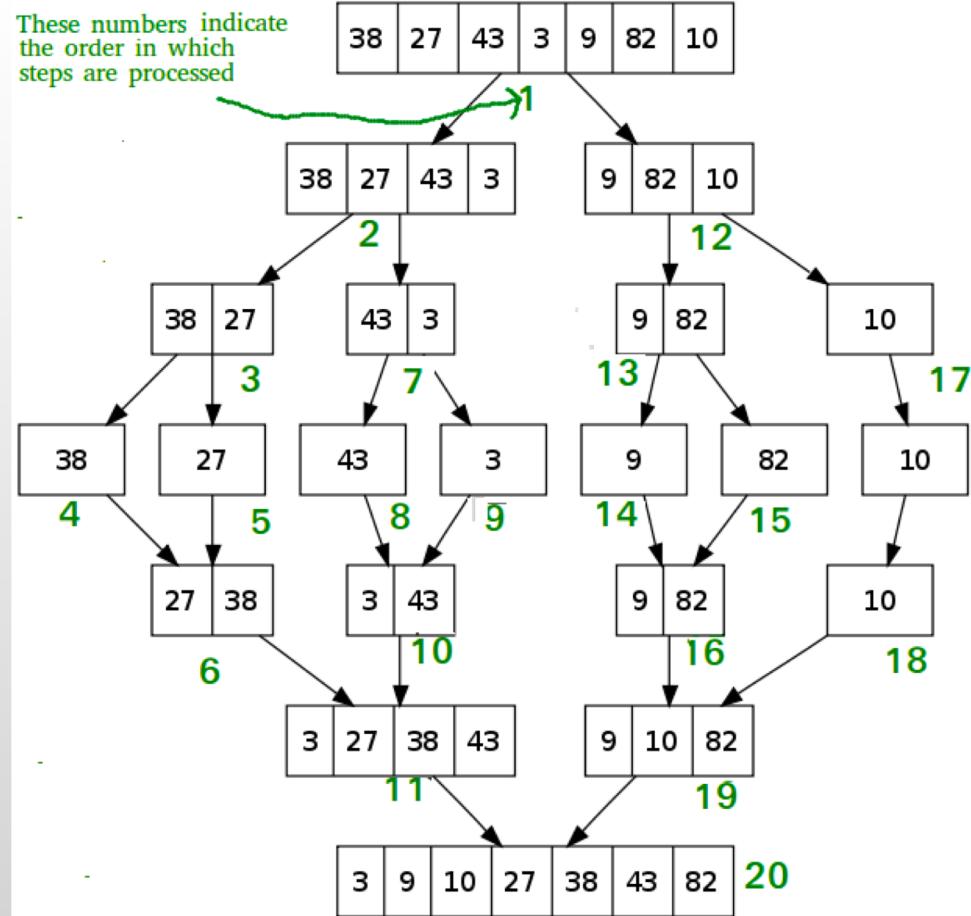
# The nature of divide and conquer alg's

- Divide and conquer algorithms make 2 or more recursive calls where each subproblem is a **fraction** of the size of the currently active problem
- (Binary search splits problem in half each step but it descends into just one half with one recursive call not two and it doesn't merge results; technically, not divide and conquer...just divide)
- The cost of any recursive algorithms depends on:
  - the number of recursive subproblem calls per active region
  - the size of the subproblems; for example,  $n-1$  or  $n/2$  for active size  $n$ ?
  - the work required for each active region

# Example: Merge sort $O(n \log n)$

- The idea is to split the currently active region in half, sorting both the left and right subregions, then merge two sorted pieces
- Eventually, the regions are so small we can sort in constant time; i.e., sorting 2 nums is easy
- Merging two sorted lists can be done in linear time

<https://www.geeksforgeeks.org/merge-sort/>



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