

## 8 Advanced Counting techniques

### Key Terms and Results

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#### TERMS

**recurrence relation:** a formula expressing terms of a sequence, except for some initial terms, as a function of one or more previous terms of the sequence

**initial conditions for a recurrence relation:** the values of the terms of a sequence satisfying the recurrence relation before this relation takes effect

**dynamic programming:** an algorithmic paradigm that finds the solution to an optimization problem by recursively breaking down the problem into overlapping subproblems and combining their solutions with the help of a recurrence relation

**linear homogeneous recurrence relation with constant coefficients:** a recurrence relation that expresses the terms of a sequence, except initial terms, as a linear combination of previous terms

**characteristic roots of a linear homogeneous recurrence relation with constant coefficients:** the roots of the polynomial associated with a linear homogeneous recurrence relation with constant coefficients

**linear nonhomogeneous recurrence relation with constant coefficients:** a recurrence relation that expresses the terms of a sequence, except for initial terms, as a linear combination of previous terms plus a function that is not identically zero that depends only on the index

**divide-and-conquer algorithm:** an algorithm that solves a problem recursively by splitting it into a fixed number of smaller non-overlapping subproblems of the same type

**generating function of a sequence:** the formal series that has the  $n$ th term of the sequence as the coefficient of  $x^n$

**sieve of Eratosthenes:** a procedure for finding the primes less than a specified positive integer

**derangement:** a permutation of objects such that no object is in its original place

#### RESULTS

**the formula for the number of elements in the union of two finite sets:**

$$|A \cup B| = |A| + |B| - |A \cap B|$$

**the formula for the number of elements in the union of three finite sets:**

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

**the principle of inclusion-exclusion:**

$$\begin{aligned} |A_1 \cup A_2 \cup \dots \cup A_n| &= \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| \\ &+ \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| \\ &- \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n| \end{aligned}$$

**the number of onto functions from a set with  $m$  elements to a set with  $n$  elements:**

$$\begin{aligned} n^m - C(n, 1)(n-1)^m + C(n, 2)(n-2)^m \\ - \dots + (-1)^{n-1} C(n, n-1) \cdot 1^m \end{aligned}$$

**the number of derangements of  $n$  objects:**

$$D_n = n! \left[ 1 - \frac{1}{1!} + \frac{1}{2!} - \dots + (-1)^n \frac{1}{n!} \right]$$

## 9. Relations

### Key Terms and Results

#### TERMS

**binary relation from  $A$  to  $B$ :** a subset of  $A \times B$

**relation on  $A$ :** a binary relation from  $A$  to itself (i.e., a subset of  $A \times A$ )

$S \circ R$ : composite of  $R$  and  $S$

$R^{-1}$ : inverse relation of  $R$

$R^n$ :  $n$ th power of  $R$

**reflexive:** a relation  $R$  on  $A$  is reflexive if  $(a, a) \in R$  for all  $a \in A$

**symmetric:** a relation  $R$  on  $A$  is symmetric if  $(b, a) \in R$  whenever  $(a, b) \in R$

**antisymmetric:** a relation  $R$  on  $A$  is antisymmetric if  $a = b$  whenever  $(a, b) \in R$  and  $(b, a) \in R$

**transitive:** a relation  $R$  on  $A$  is transitive if  $(a, b) \in R$  and  $(b, c) \in R$  implies that  $(a, c) \in R$

**$n$ -ary relation on  $A_1, A_2, \dots, A_n$ :** a subset of  $A_1 \times A_2 \times \dots \times A_n$

**relational data model:** a model for representing databases using  $n$ -ary relations

**primary key:** a domain of an  $n$ -ary relation such that an  $n$ -tuple is uniquely determined by its value for this domain

**composite key:** the Cartesian product of domains of an  $n$ -ary relation such that an  $n$ -tuple is uniquely determined by its values in these domains

**selection operator:** a function that selects the  $n$ -tuples in an  $n$ -ary relation that satisfy a specified condition

**projection:** a function that produces relations of smaller degree from an  $n$ -ary relation by deleting fields

**join:** a function that combines  $n$ -ary relations that agree on certain fields

**directed graph or digraph:** a set of elements called vertices and ordered pairs of these elements, called edges

**loop:** an edge of the form  $(a, a)$

**lexicographic order:** a partial ordering of Cartesian products or strings

**Hasse diagram:** a graphical representation of a poset where loops and all edges resulting from the transitive property are not shown, and the direction of the edges is indicated by the position of the vertices

**maximal element:** an element of a poset that is not less than any other element of the poset

**minimal element:** an element of a poset that is not greater than any other element of the poset

**greatest element:** an element of a poset greater than all other elements in this set

**least element:** an element of a poset less than all other elements in this set

**upper bound of a set:** an element in a poset greater than all other elements in the set

**lower bound of a set:** an element in a poset less than all other elements in the set

**least upper bound of a set:** an upper bound of the set that is less than all other upper bounds

**greatest lower bound of a set:** a lower bound of the set that is greater than all other lower bounds

**lattice:** a partially ordered set in which every two elements have a greatest lower bound and a least upper bound

**closure of a relation  $R$  with respect to a property  $P$ :** the relation  $S$  (if it exists) that contains  $R$ , has property  $P$ , and is contained within any relation that contains  $R$  and has property  $P$

**path in a digraph:** a sequence of edges  $(a, x_1), (x_1, x_2), \dots, (x_{n-2}, x_{n-1}), (x_{n-1}, b)$  such that the terminal vertex of each edge is the initial vertex of the succeeding edge in the sequence

**circuit (or cycle) in a digraph:** a path that begins and ends at the same vertex

**$R^*$  (connectivity relation):** the relation consisting of those ordered pairs  $(a, b)$  such that there is a path from  $a$  to  $b$

**equivalence relation:** a reflexive, symmetric, and transitive relation

**equivalent:** if  $R$  is an equivalence relation,  $a$  is equivalent to  $b$  if  $a R b$

**$[a]_R$  (equivalence class of  $a$  with respect to  $R$ ):** the set of all elements of  $A$  that are equivalent to  $a$

**$[a]_m$  (congruence class modulo  $m$ ):** the set of integers congruent to  $a$  modulo  $m$

**partition of a set  $S$ :** a collection of pairwise disjoint nonempty subsets that have  $S$  as their union

**partial ordering:** a relation that is reflexive, antisymmetric, and transitive

**poset  $(S, R)$ :** a set  $S$  and a partial ordering  $R$  on this set

**comparable:** the elements  $a$  and  $b$  in the poset  $(A, \preceq)$  are comparable if  $a \preceq b$  or  $b \preceq a$

**incomparable:** elements in a poset that are not comparable

**total (or linear) ordering:** a partial ordering for which every pair of elements are comparable

**totally (or linearly) ordered set:** a poset with a total (or linear) ordering

**well-ordered set:** a poset  $(S, \preceq)$ , where  $\preceq$  is a total order and every nonempty subset of  $S$  has a least element

**compatible total ordering for a partial ordering:** a total ordering that contains the given partial ordering

**topological sort:** the construction of a total ordering compatible with a given partial ordering

#### RESULTS

The reflexive closure of a relation  $R$  on the set  $A$  equals  $R \cup \Delta$ , where  $\Delta = \{(a, a) \mid a \in A\}$ .

The symmetric closure of a relation  $R$  on the set  $A$  equals  $R \cup R^{-1}$ , where  $R^{-1} = \{(b, a) \mid (a, b) \in R\}$ .

The transitive closure of a relation equals the connectivity relation formed from this relation.

Warshall's algorithm for finding the transitive closure of a relation

Let  $R$  be an equivalence relation. Then the following three statements are equivalent: (1)  $a R b$ ; (2)  $[a]_R \cap [b]_R \neq \emptyset$ ; (3)  $[a]_R = [b]_R$ .

The equivalence classes of an equivalence relation on a set  $A$  form a partition of  $A$ . Conversely, an equivalence relation can be constructed from any partition so that the equivalence classes are the subsets in the partition.

The principle of well-ordered induction

The topological sorting algorithm

## 10. Graphs

### Key Terms and Results

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#### TERMS

**undirected edge:** an edge associated to a set  $\{u, v\}$ , where  $u$  and  $v$  are vertices

**directed edge:** an edge associated to an ordered pair  $(u, v)$ , where  $u$  and  $v$  are vertices

**multiple edges:** distinct edges connecting the same vertices

**multiple directed edges:** distinct directed edges associated with the same ordered pair  $(u, v)$ , where  $u$  and  $v$  are vertices

**loop:** an edge connecting a vertex with itself

**undirected graph:** a set of vertices and a set of undirected edges each of which is associated with a set of one or two of these vertices

**simple graph:** an undirected graph with no multiple edges or loops

**multigraph:** an undirected graph that may contain multiple edges but no loops

**$\deg^-(v)$  (the in-degree of the vertex  $v$  in a graph with directed edges):** the number of edges with  $v$  as their terminal vertex

**$\deg^+(v)$  (the out-degree of the vertex  $v$  in a graph with directed edges):** the number of edges with  $v$  as their initial vertex

**underlying undirected graph of a graph with directed edges:** the undirected graph obtained by ignoring the directions of the edges

**$K_n$  (complete graph on  $n$  vertices):** the undirected graph with  $n$  vertices where each pair of vertices is connected by an edge

**bipartite graph:** a graph with vertex set that can be partitioned into subsets  $V_1$  and  $V_2$  so that each edge connects a vertex in  $V_1$  and a vertex in  $V_2$ . The pair  $(V_1, V_2)$  is called a **bi-partition** of  $V$ .

**$K_{m,n}$  (complete bipartite graph):** the graph with vertex set partitioned into a subset of  $m$  elements and a subset of  $n$  elements with two vertices connected by an edge if and only if one is in the first subset and the other is in the second subset

**$C_n$  (cycle of size  $n$ ),  $n \geq 3$ :** the graph with  $n$  vertices  $v_1, v_2, \dots, v_n$  and edges  $\{v_1, v_2\}, \{v_2, v_3\}, \dots, \{v_{n-1}, v_n\}, \{v_n, v_1\}$

**$W_n$  (wheel of size  $n$ ),  $n \geq 3$ :** the graph obtained from  $C_n$  by adding a vertex and edges from this vertex to the original vertices in  $C_n$

**$Q_n$  ( $n$ -cube),  $n \geq 1$ :** the graph that has the  $2^n$  bit strings of length  $n$  as its vertices and edges connecting every pair of bit strings that differ by exactly one bit

**matching in a graph  $G$ :** a set of edges such that no two edges have a common endpoint

**complete matching  $M$  from  $V_1$  to  $V_2$ :** a matching such that every vertex in  $V_1$  is an endpoint of an edge in  $M$

**maximum matching:** a matching containing the most edges among all matchings in a graph

**isolated vertex:** a vertex of degree zero

**pendant vertex:** a vertex of degree one

**regular graph:** a graph where all vertices have the same degree

**pseudograph:** an undirected graph that may contain multiple edges and loops

**directed graph:** a set of vertices together with a set of directed edges each of which is associated with an ordered pair of vertices

**directed multigraph:** a graph with directed edges that may contain multiple directed edges

**simple directed graph:** a directed graph without loops or multiple directed edges

**adjacent:** two vertices are adjacent if there is an edge between them

**incident:** an edge is incident with a vertex if the vertex is an endpoint of that edge

**$\deg v$  (degree of the vertex  $v$  in an undirected graph):** the number of edges incident with  $v$  with loops counted twice

**path from  $u$  to  $v$  in a graph with directed edges:** a sequence of edges  $e_1, e_2, \dots, e_n$ , where  $e_i$  is associated to  $(x_i, x_{i+1})$  for  $i = 0, 1, \dots, n$ , where  $x_0 = u$  and  $x_{n+1} = v$

**simple path:** a path that does not contain an edge more than once

**circuit:** a path of length  $n \geq 1$  that begins and ends at the same vertex

**connected graph:** an undirected graph with the property that there is a path between every pair of vertices

**cut vertex of  $G$ :** a vertex  $v$  such that  $G - v$  is disconnected

**cut edge of  $G$ :** an edge  $e$  such that  $G - e$  is disconnected

**nonseparable graph:** a graph without a cut vertex

**vertex cut of  $G$ :** a subset  $V'$  of the set of vertices of  $G$  such that  $G - V'$  is disconnected

**$\kappa(G)$  (the vertex connectivity of  $G$ ):** the size of a smallest vertex cut of  $G$

**$k$ -connected graph:** a graph that has a vertex connectivity no smaller than  $k$

**edge cut of  $G$ :** a set of edges  $E'$  of  $G$  such that  $G - E'$  is disconnected

**$\lambda(G)$  (the edge connectivity of  $G$ ):** the size of a smallest edge cut of  $G$

**connected component of a graph  $G$ :** a maximal connected subgraph of  $G$

**strongly connected directed graph:** a directed graph with the property that there is a directed path from every vertex to every vertex

**strongly connected component of a directed graph  $G$ :** a maximal strongly connected subgraph of  $G$

**Euler path:** a path that contains every edge of a graph exactly once

**Euler circuit:** a circuit that contains every edge of a graph exactly once

**Hamilton path:** a path in a graph that passes through each vertex exactly once

**Hamilton circuit:** a circuit in a graph that passes through each vertex exactly once

**weighted graph:** a graph with numbers assigned to its edges

**shortest-path problem:** the problem of determining the path



**subgraph of a graph**  $G = (V, E)$ : a graph  $(W, F)$ , where  $W$  is a subset of  $V$  and  $F$  is a subset of  $E$

$G_1 \cup G_2$  (**union of  $G_1$  and  $G_2$** ): the graph  $(V_1 \cup V_2, E_1 \cup E_2)$ , where  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$

**adjacency matrix**: a matrix representing a graph using the adjacency of vertices

**incidence matrix**: a matrix representing a graph using the incidence of edges and vertices

**isomorphic simple graphs**: the simple graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are isomorphic if there exists a one-to-one correspondence  $f$  from  $V_1$  to  $V_2$  such that  $\{f(v_1), f(v_2)\} \in E_2$  if and only if  $\{v_1, v_2\} \in E_1$  for all  $v_1$  and  $v_2$  in  $V_1$

**invariant for graph isomorphism**: a property that isomorphic graphs either both have or both do not have

**path from  $u$  to  $v$  in an undirected graph**: a sequence of edges  $e_1, e_2, \dots, e_n$ , where  $e_i$  is associated to  $\{x_i, x_{i+1}\}$  for  $i = 0, 1, \dots, n$ , where  $x_0 = u$  and  $x_{n+1} = v$

**chromatic number**: the minimum number of colors needed in a coloring of a graph

## RESULTS

**The handshaking theorem**: If  $G = (V, E)$  be an undirected graph with  $m$  edges, then  $2m = \sum_{v \in V} \deg(v)$ .

**Hall's marriage theorem**: The bipartite graph  $G = (V, E)$  with bipartition  $(V_1, V_2)$  has a complete matching from  $V_1$  to  $V_2$  if and only if  $|N(A)| \geq |A|$  for all subsets  $A$  of  $V_1$ .

There is an Euler circuit in a connected multigraph if and only if every vertex has even degree.

There is an Euler path in a connected multigraph if and only if at most two vertices have odd degree.

in a weighted graph such that the sum of the weights of the edges in this path is a minimum over all paths between specified vertices

**traveling salesperson problem**: the problem that asks for the circuit of shortest total length that visits every vertex of a weighted graph exactly once

**planar graph**: a graph that can be drawn in the plane with no crossings

**regions of a representation of a planar graph**: the regions the plane is divided into by the planar representation of the graph

**elementary subdivision**: the removal of an edge  $\{u, v\}$  of an undirected graph and the addition of a new vertex  $w$  together with edges  $\{u, w\}$  and  $\{w, v\}$

**homeomorphic**: two undirected graphs are homeomorphic if they can be obtained from the same graph by a sequence of elementary subdivisions

**graph coloring**: an assignment of colors to the vertices of a graph so that no two adjacent vertices have the same color

**Dijkstra's algorithm**: a procedure for finding a shortest path between two vertices in a weighted graph (see Section 10.6).

**Euler's formula**:  $r = e - v + 2$  where  $r$ ,  $e$ , and  $v$  are the number of regions of a planar representation, the number of edges, and the number of vertices, respectively, of a connected planar graph.

**Kuratowski's theorem**: A graph is nonplanar if and only if it contains a subgraph homeomorphic to  $K_{3,3}$  or  $K_5$ . (Proof beyond scope of this book.)

**The four color theorem**: Every planar graph can be colored using no more than four colors. (Proof far beyond the scope of this book!)

## 11. Trees

### Key Terms and Results

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#### TERMS

**tree**: a connected undirected graph with no simple circuits

**forest**: an undirected graph with no simple circuits

**rooted tree**: a directed graph with a specified vertex, called the root, such that there is a unique path to every other vertex from this root

**subtree**: a subgraph of a tree that is also a tree

**descendant of a vertex  $v$  in a rooted tree**: any vertex that has  $v$  as an ancestor

**internal vertex**: a vertex that has children

**leaf**: a vertex with no children

**level of a vertex**: the length of the path from the root to this vertex

**height of a tree**: the largest level of the vertices of a tree

**$m$ -ary tree**: a tree with the property that every internal vertex has no more than  $m$  children

**full  $m$ -ary tree**: a tree with the property that every internal vertex has exactly  $m$  children

**binary tree**: an  $m$ -ary tree with  $m = 2$  (each child may be designated as a left or a right child of its parent)

**ordered tree**: a tree in which the children of each internal vertex are linearly ordered

**parent of  $v$  in a rooted tree**: the vertex  $u$  such that  $(u, v)$  is an edge of the rooted tree

**child of a vertex  $v$  in a rooted tree**: any vertex with  $v$  as its parent

**sibling of a vertex  $v$  in a rooted tree**: a vertex with the same parent as  $v$

**ancestor of a vertex  $v$  in a rooted tree**: any vertex on the path from the root to  $v$

**postorder traversal**: a listing of the vertices of an ordered rooted tree defined recursively—the subtrees are listed in the order they occur from left to right, followed by the root

**infix notation**: the form of an expression (including a full set of parentheses) obtained from an inorder traversal of the binary tree representing this expression

**prefix (or Polish) notation**: the form of an expression obtained from a preorder traversal of the tree representing this expression

**postfix (or reverse Polish) notation**: the form of an expression obtained from a postorder traversal of the tree representing this expression

**spanning tree**: a tree containing all vertices of a graph

**minimum spanning tree**: a spanning tree with smallest possible sum of weights of its edges

**balanced tree:** a tree in which every leaf is at level  $h$  or  $h - 1$ , where  $h$  is the height of the tree

**binary search tree:** a binary tree in which the vertices are labeled with items so that a label of a vertex is greater than the labels of all vertices in the left subtree of this vertex and is less than the labels of all vertices in the right subtree of this vertex

**decision tree:** a rooted tree where each vertex represents a possible outcome of a decision and the leaves represent the possible solutions of a problem

**game tree:** a rooted tree where vertices represent the possible positions of a game as it progresses and edges represent legal moves between these positions

**prefix code:** a code that has the property that the code of a character is never a prefix of the code of another character

**minimax strategy:** the strategy where the first player and second player move to positions represented by a child with maximum and minimum value, respectively

**value of a vertex in a game tree:** for a leaf, the payoff to the first player when the game terminates in the position represented by this leaf; for an internal vertex, the maximum or minimum of the values of its children, for an internal vertex at an even or odd level, respectively

**tree traversal:** a listing of the vertices of a tree

**preorder traversal:** a listing of the vertices of an ordered rooted tree defined recursively—the root is listed, followed by the first subtree, followed by the other subtrees in the order they occur from left to right

**inorder traversal:** a listing of the vertices of an ordered rooted tree defined recursively—the first subtree is listed, followed by the root, followed by the other subtrees in the order they occur from left to right

## RESULTS

A graph is a tree if and only if there is a unique simple path between every pair of its vertices.

A tree with  $n$  vertices has  $n - 1$  edges.

A full  $m$ -ary tree with  $i$  internal vertices has  $mi + 1$  vertices.

The relationships among the numbers of vertices, leaves, and internal vertices in a full  $m$ -ary tree (see Theorem 4 in Section 11.1)

There are at most  $m^h$  leaves in an  $m$ -ary tree of height  $h$ .

If an  $m$ -ary tree has  $l$  leaves, its height  $h$  is at least  $\lceil \log_m l \rceil$ . If the tree is also full and balanced, then its height is  $\lceil \log_m l \rceil$ .

**Huffman coding:** a procedure for constructing an optimal binary code for a set of symbols, given the frequencies of these symbols

**depth-first search, or backtracking:** a procedure for constructing a spanning tree by adding edges that form a path until this is not possible, and then moving back up the path until a vertex is found where a new path can be formed

**breadth-first search:** a procedure for constructing a spanning tree that successively adds all edges incident to the last set of edges added, unless a simple circuit is formed

**Prim's algorithm:** a procedure for producing a minimum spanning tree in a weighted graph that successively adds edges with minimal weight among all edges incident to a vertex already in the tree so that no edge produces a simple circuit when it is added

**Kruskal's algorithm:** a procedure for producing a minimum spanning tree in a weighted graph that successively adds edges of least weight that are not already in the tree such that no edge produces a simple circuit when it is added

## 12. Boolean Algebra

### Key Terms and Results

#### TERMS

**Boolean variable:** a variable that assumes only the values 0 and 1

$\bar{x}$  (**complement of  $x$** ): an expression with the value 1 when  $x$  has the value 0 and the value 0 when  $x$  has the value 1

$x \cdot y$  (or  $xy$ ) (**Boolean product or conjunction of  $x$  and  $y$** ): an expression with the value 1 when both  $x$  and  $y$  have the value 1 and the value 0 otherwise

$x + y$  (**Boolean sum or disjunction of  $x$  and  $y$** ): an expression with the value 1 when either  $x$  or  $y$ , or both, has the value 1, and 0 otherwise

**Boolean expressions:** the expressions obtained recursively by specifying that 0, 1,  $x_1, \dots, x_n$  are Boolean expressions and  $\bar{E}_1$ ,  $(E_1 + E_2)$ , and  $(E_1 E_2)$  are Boolean expressions if  $E_1$  and  $E_2$  are

**dual of a Boolean expression:** the expression obtained by interchanging  $+$  signs and  $\cdot$  signs and interchanging 0s and 1s

**Boolean function of degree  $n$ :** a function from  $B^n$  to  $B$  where  $B = \{0, 1\}$

**Boolean algebra:** a set  $B$  with two binary operations  $\vee$  and  $\wedge$ , elements 0 and 1, and a complementation operator  $\bar{\phantom{x}}$  that satisfies the identity, complement, associative, commutative, and distributive laws

**literal of the Boolean variable  $x$ :** either  $x$  or  $\bar{x}$

**minterm of  $x_1, x_2, \dots, x_n$ :** a Boolean product  $y_1 y_2 \cdots y_n$ , where each  $y_i$  is either  $x_i$  or  $\bar{x}_i$

$x \downarrow y$  (or  $x$  **NOR**  $y$ ): the expression that has the value 0 when either  $x$  or  $y$  or both have the value 1 and the value 0 otherwise

**inverter:** a device that accepts the value of a Boolean variable as input and produces the complement of the input

**OR gate:** a device that accepts the values of two or more Boolean variables as input and produces their Boolean sum as output

**AND gate:** a device that accepts the values of two or more Boolean variables as input and produces their Boolean product as output

**half adder:** a circuit that adds two bits, producing a sum bit and a carry bit

**full adder:** a circuit that adds two bits and a carry, producing a sum bit and a carry bit

**K-map for  $n$  variables:** a rectangle divided into  $2^n$  cells where each cell represents a minterm in the variables

**minimization of a Boolean function:** representing a Boolean function as the sum of the fewest products of literals such that these products contain the fewest literals possible among all sums of products that represent this Boolean function

**implicant of a Boolean function:** a product of literals with the property that if this product has the value 1, then the value of this Boolean function is 1

**prime implicant of a Boolean function:** a product of literals that is an implicant of the Boolean function and no product obtained by deleting a literal is also an implicant of this function



**sum-of-products expansion (or disjunctive normal form):** the representation of a Boolean function as a disjunction of minterms

**functionally complete:** a set of Boolean operators is called functionally complete if every Boolean function can be represented using these operators

$x \mid y$  (or  $x \text{ NAND } y$ ): the expression that has the value 0 when both  $x$  and  $y$  have the value 1 and the value 1 otherwise

An identity between Boolean functions represented by Boolean expressions remains valid when the duals of both sides of the identity are taken.

Every Boolean function can be represented by a sum-of-products expansion.

**essential prime implicant of a Boolean function:** a prime implicant of the Boolean function that must be included in a minimization of this function

**don't care condition:** a combination of input values for a circuit that is not possible or never occurs

## RESULTS

The identities for Boolean algebra (see Table 5 in Section 12.1).

Each of the sets  $\{+, -\}$  and  $\{\cdot, \div\}$  is functionally complete.

Each of the sets  $\{\downarrow\}$  and  $\{\mid\}$  is functionally complete.

The use of K-maps to minimize Boolean expressions.

The Quine–McCluskey method for minimizing Boolean expressions.

# 13. Modeling Computation

## Key Terms and Results

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### TERMS

**alphabet (or vocabulary):** a set that contains elements used to form strings

**language:** a subset of the set of all strings over an alphabet

**phrase-structure grammar  $(V, T, S, P)$ :** a description of a language containing an alphabet  $V$ , a set of terminal symbols  $T$ , a start symbol  $S$ , and a set of productions  $P$

**the production  $w \rightarrow w_1$ :**  $w$  can be replaced by  $w_1$  whenever it occurs in a string in the language

$w_1 \Rightarrow w_2$  ( **$w_2$  is directly derivable from  $w_1$** ):  $w_2$  can be obtained from  $w_1$  using a production to replace a string in  $w_1$  with another string

$w_1 \stackrel{*}{\Rightarrow} w_2$  ( **$w_2$  is derivable from  $w_1$** ):  $w_2$  can be obtained from  $w_1$  using a sequence of productions to replace strings by other strings

**type 0 grammar:** any phrase-structure grammar

**type 1 grammar:** a phrase-structure grammar in which every production is of the form  $w_1 \rightarrow w_2$ , where  $w_1 = lAr$  and  $w_2 = lwr$ , where  $A \in N$ ,  $l, r, w \in (N \cup T)^*$  and  $w \neq \lambda$ , or  $w_1 = S$  and  $w_2 = \lambda$  as long as  $S$  is not on the right-hand side of another production

**type 2, or context-free, grammar:** a phrase-structure grammar in which every production is of the form  $A \rightarrow w_1$ , where  $A$  is a nonterminal symbol

**type 3, or regular, grammar:** a phrase-structure grammar where every production is of the form  $A \rightarrow aB$ ,  $A \rightarrow a$ , or  $S \rightarrow \lambda$ , where  $A$  and  $B$  are nonterminal symbols,  $S$  is the start symbol, and  $a$  is a terminal symbol

**derivation (or parse) tree:** an ordered rooted tree where the root represents the starting symbol of a type 2 grammar, internal vertices represent nonterminals, leaves represent terminals, and the children of a vertex are the symbols on the right side of a production, in order from left to right, where the symbol represented by the parent is on the left-hand side

**Backus–Naur form:** a description of a context-free grammar in which all productions having the same nonterminal as

their left-hand side are combined with the different right-hand sides of these productions, each separated by a bar, with nonterminal symbols enclosed in angular brackets and the symbol  $\rightarrow$  replaced by  $::=$

**finite-state machine  $(S, I, O, f, g, s_0)$  (or a Mealy machine):** a six-tuple containing a set  $S$  of states, an input alphabet  $I$ , an output alphabet  $O$ , a transition function  $f$  that assigns a next state to every pair of a state and an input, an output function  $g$  that assigns an output to every pair of a state and an input, and a starting state  $s_0$

**$AB$  (concatenation of  $A$  and  $B$ ):** the set of all strings formed by concatenating a string in  $A$  and a string in  $B$  in that order

**$A^*$  (Kleene closure of  $A$ ):** the set of all strings made up by concatenating arbitrarily many strings from  $A$

**deterministic finite-state automaton  $(S, I, f, s_0, F)$ :** a five-tuple containing a set  $S$  of states, an input alphabet  $I$ , a transition function  $f$  that assigns a next state to every pair of a state and an input, a starting state  $s_0$ , and a set of final states  $F$

**nondeterministic finite-state automaton  $(S, I, f, s_0, F)$ :** a five-tuple containing a set  $S$  of states, an input alphabet  $I$ , a transition function  $f$  that assigns a set of possible next states to every pair of a state and an input, a starting state  $s_0$ , and a set of final states  $F$

**language recognized by an automaton:** the set of input strings that take the start state to a final state of the automaton

**regular expression:** an expression defined recursively by specifying that  $\emptyset$ ,  $\lambda$ , and  $x$ , for all  $x$  in the input alphabet, are regular expressions, and that  $(AB)$ ,  $(A \cup B)$ , and  $A^*$  are regular expressions when  $A$  and  $B$  are regular expressions

**regular set:** a set defined by a regular expression (see page 820)

**Turing machine  $T = (S, I, f, s_0)$ :** a four-tuple consisting of a finite set  $S$  of states, an alphabet  $I$  containing the blank symbol  $B$ , a partial function  $f$  from  $S \times I$  to  $S \times I \times \{R, L\}$ , and a starting state  $s_0$

**nondeterministic Turing machine:** a Turing machine that may have more than one transition rule corresponding to each (state, tape symbol) pair

**decision problem:** a problem that asks whether statements from a particular class of statements are true

**solvable problem:** a problem with the property that there is an effective algorithm that can solve all instances of the problem

**unsolvable problem:** a problem with the property that no effective algorithm exists that can solve all instances of the problem

**computable function:** a function whose values can be computed using a Turing machine

**uncomputable function:** a function whose values cannot be computed using a Turing machine

**P, the class of polynomial-time problems:** the class of problems that can be solved by a deterministic Turing machine in polynomial time in terms of the size of the input

**NP, the class of nondeterministic polynomial-time problems:** the class of problems that can be solved by a nonde-

terministic Turing machine in polynomial time in terms of the size of the input

**NP-complete:** a subset of the class of NP problems with the property that if any one of them is in the class P, then all problems in NP are in the class P

## RESULTS

For every nondeterministic finite-state automaton there is a deterministic finite-state automaton that recognizes the same set.

**Kleene's theorem:** A set is regular if and only if there is a finite-state automaton that recognizes it.

A set is regular if and only if it is generated by a regular grammar.

The halting problem is unsolvable.