

# Approximating Knapsack and Partition via Dense Subset Sums

Jan Klimczak, Szymon Wojtulewicz

Uniwersytet Jagielloński

26.10.2023

# Abstract

## Knapsack

*Knapsack* can be  $(1 - \varepsilon)$ -approximated in  $\tilde{O}(n + (1/\varepsilon)^{2.2})$  time, improving the previous  $\tilde{O}(n + (1/\varepsilon)^{2.25})$  by Jin (ICALP'19). There is a known conditional lower bound of  $(n + 1/\varepsilon)^{2-o(1)}$  based on  $(\min, +)$ -convolution hypothesis.

## Partition

*Partition* can be  $(1 - \varepsilon)$ -approximated in  $\tilde{O}(n + (1/\varepsilon)^{1.25})$  time, improving the previous  $\tilde{O}(n + (1/\varepsilon)^{1.5})$  by Bringmann and Nakos (SODA'21). There is a known conditional lower bound of  $(1/\varepsilon)^{1-o(1)}$  based on Strong Exponential Time Hypothesis.

# Problem statements

## Knapsack

The input is a list of  $n$  items  $(p_1, w_1), \dots, (p_n, w_n) \in \mathbb{N} \times \mathbb{N}$  together with a knapsack capacity  $W \in \mathbb{N}$ , and the optimal value is

$$\text{OPT} := \max_{J \subseteq [n]} \left\{ \sum_{j \in J} p_j \mid \sum_{j \in J} w_j \leq W \right\}.$$

## Partition

The input is a list of  $n$  integers  $x_1, \dots, x_n \in \mathbb{N}$ , and the optimal value is

$$\text{OPT} := \max_{J \subseteq [n]} \left\{ \sum_{j \in J} x_j \mid \sum_{j \in J} x_j \leq \frac{1}{2} \sum_{i \in [n]} x_i \right\}.$$

# Approximation

## Approximation

Given a Knapsack (or a Partition) instance and a parameter  $\varepsilon \in (0, 1)$ , an  $(1 - \varepsilon)$ -*approximation algorithm* is required to output a number SOL such that  $(1 - \varepsilon)\text{OPT} \leq \text{SOL} \leq \text{OPT}$ .

In both problems, we can assume  $n = O(\varepsilon^{-4})$  and hence  $\log n = O(\log \varepsilon^{-1})$ . For larger  $n$ , Lawler's algorithm for Knapsack in  $O(n \log \frac{1}{\varepsilon} + (\frac{1}{\varepsilon})^4)$  time is already near-optimal.

## Definition

Given a Knapsack (or a Partition) instance and a parameter  $\varepsilon \in (0, 1)$ , an  $(1 - \varepsilon)$ -*approximation algorithm* is required to output a number SOL such that  $(1 - \varepsilon)\text{OPT} \leq \text{SOL} \leq \text{OPT}$ .

In both problems, we can assume  $n = O(\varepsilon^{-4})$  and hence  $\log n = O(\log \varepsilon^{-1})$ . For larger  $n$ , Lawler's algorithm for Knapsack in  $O(n \log \frac{1}{\varepsilon} + (\frac{1}{\varepsilon})^4)$  time is already near-optimal.

## Definition 2.2

For functions  $\tilde{f}, f$ , and real numbers  $t, \Delta \in \mathbb{R}_{\geq 0}, \delta \in [0, 1]$ , we say that  $\tilde{f}$  is a  $(1 - \delta, \Delta)$  approximation of  $f$  up to  $t$ , if

- ①  $\tilde{f}(w) \leq f(w)$  holds for all  $w \geq 0$
- ②  $\tilde{f}(w) \geq (1 - \delta)f(w) - \Delta$  holds whenever  $f(w) \leq t, w \geq 0$

# Sumsets and Subset Sums

## Definition

In a multiset  $A$ , an element  $a$  could appear multiple times (the number of times it appears is the *multiplicity* of  $a$  in  $A$ ). We use  $A \uplus B$  to denote union without removing duplicates (i.e., possibly resulting in a multiset).

## Definition

For a multiset  $Y \subset \mathbb{N}$ , let  $\Sigma(Y) = \sum_{y \in Y} y$  denote the sum of its elements (without removing duplicates).

## Definition

For a multiset  $X \subset \mathbb{N}$ , let  $\mathcal{S}(X) = \{\Sigma(Y) : Y \subseteq X\}$  be the set of its subset sums, and let  $\mathcal{S}(X; t) = \mathcal{S}(X) \cap [0, t]$  be the set of its subset sums up to  $t$ .

# Approximation for Integer Sets

## Definition

For integer sets  $A, B \subseteq \mathbb{N}$ , and a real number  $\delta \in [0, 1)$ , we say that  $A$  is a  $(1 - \delta)$ -multiplicative approximation of  $B$ , if

- 1 for every  $b \in B$ , there exists  $a \in A$  such that  $(1 - \delta)b \leq a \leq b$ , and,
- 2 for every  $a \in A$ , there exists  $b \in B$  such that  $(1 - \delta)b \leq a \leq b$ .

## Definition

For integer sets  $A, B \subseteq \mathbb{N}$ , and a real number  $\Delta \in \mathbb{R}_{\geq 0}$ , we say that  $A$  is a  $\Delta$ -additive approximation of  $B$  up to  $t$ , if

- 1 for every  $b \in B$ , there exists  $a \in A$  such that  $b - \Delta \leq a \leq b$ , and,
- 2 for every  $a \in A$ , there exists  $b \in B$  such that  $b - \Delta \leq a \leq b$ .



# Approximation for Integer Sets

## Definition

For integer sets  $A, B \subseteq \mathbb{N}$ , and real numbers  $\Delta \in \mathbb{R}_{\geq 0}, \delta \in [0, 1)$ , we say that  $A$  is a  $(1 - \delta, \Delta)$  *approximation* of  $B$ , if

- 1 for every  $b \in B$ , there exists  $a \in A$  such that  $(1 - \delta)b - \Delta \leq a \leq b$ , and,
- 2 for every  $a \in A$ , there exists  $b \in B$  such that  $(1 - \delta)b - \Delta \leq a \leq b$ .

$(1, \Delta)$  approximation is a  $\Delta$ -*additive approximation*, and  $(1 - \delta, 0)$  approximation is a  $(1 - \delta)$ -*multiplicative approximation*, or simply  $(1 - \delta)$  *approximation*.

## Definition

For integer sets  $A, B \subseteq \mathbb{N}$ , and real numbers  $t, \Delta \in \mathbb{R}_{\geq 0}, \delta \in [0, 1)$ , we say that  $A$  is a  $(1 - \delta, \Delta)$  *approximation of  $B$  up to  $t$* , if

- 1 for every  $b \in B \cap [0, t]$ , there exists  $a \in A$  such that  $(1 - \delta)b - \Delta \leq a \leq b$ , and,
- 2 for every  $a \in A$ , there exists  $b \in B$  such that  $(1 - \delta)b - \Delta \leq a \leq b$ .

One can assume  $A \subseteq \mathbb{N} \cap [0, t]$  in this case without loss of generality.

## Proposition 2.4

For  $i \in \{1, 2\}$ , suppose  $A_i$  is a  $(1 - \delta, \Delta_i)$  approximation of  $\mathcal{S}(X_i)$  up to  $t$ . Then,  $(A_1 + A_2) \cap [0, t]$  is a  $(1 - \delta, \Delta_1 + \Delta_2)$  approximation of  $\mathcal{S}(X_1 \uplus X_2)$  up to  $t$ .

## Proposition 2.5

For  $i \in \{1, 2\}$ , suppose  $\tilde{f}_i$  is a  $(1 - \delta, \Delta_i)$  approximation of the profit function  $f_{I_i}$  up to  $t$ . Then  $(\tilde{f}_1 \oplus \tilde{f}_2)$  is a  $(1 - \delta, \Delta_1 + \Delta_2)$  approximation of  $f_{I_1 \uplus I_2}$  up to  $t$ .

## Lemma 3.2

Given a list  $I$  of items  $(p_1, w_1), \dots, (p_n, w_n)$  with weights  $w_i \in \mathbb{N}^+$  and profits  $p_i$  being multiples of  $\varepsilon$  in the interval  $[1, 2)$ , one can  $(1 - \varepsilon)$ -approximate the profit function  $f_I$  up to  $B$  in  $\tilde{O}(n + \varepsilon^{-2} B^{1/3} / 2^{\Omega(\sqrt{\log(1/\varepsilon)})})$

## Lemma 3.3

<https://www.overleaf.com/project/65352a0d01190f831e160cb6> Given a list  $I$  of items  $(p_1, w_1), \dots, (p_n, w_n)$  with weights  $w_i \in \mathbb{N}^+$  and profits  $p_i$  being multiples of  $\varepsilon$  in the interval  $[1, 2)$ , if there are only  $m$  distinct profit values  $p_i$ , then one can  $(1 - \varepsilon)$ -approximate the profit function  $f_I$  in  $\tilde{O}(n + \varepsilon^{-3/2} m / 2^{\Omega(\sqrt{\log(1/\varepsilon)})})$

## Lemma 3.4

Let  $f_1, \dots, f_m$  be monotone step functions with total complexity  $O(n)$  and ranges contained in  $\{0\} \cup [A, B]$ . Then we can compute a monotone step function that has complexity  $\tilde{O}(\frac{1}{\varepsilon} \log B/A)$  and  $(1 - O(\varepsilon))$ -approximates  $f_1 \oplus \dots \oplus f_m$  in  $O(n) + \tilde{O}((\frac{1}{\varepsilon})^2 m / 2^{\Omega(\sqrt{\log(1/\varepsilon)})} \log B/A)$  time.

## Problem 1

Assume  $\varepsilon \in (0, 1/2)$  and  $1/\varepsilon \in \mathbb{N}^+$ . Given a list  $I$  of items  $(p_1, w_1), \dots, (p_n, w_n)$  with weights  $w_i \in \mathbb{N}$  and profits  $p_i$  being multiples of  $\varepsilon$  in the interval  $[1, 2)$ , compute a profit function that  $(1 - \varepsilon)$ -approximates  $f_I$  up to  $2/\varepsilon$ .

## Lemma 3.1

If for some  $c \geq 2$ , Problem 1 can be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time, then  $(1 - \varepsilon)$ -approximating Knapsack can also be done in  $\tilde{O}(n + 1/\varepsilon^c)$  time.

# Knapsack

## Lemma 3.6

Given a list  $I$  of  $n = O(1/\varepsilon)$  items with  $p_i$  being multiples of  $\varepsilon$  in interval  $[1, 2)$ , one can compute in  $\tilde{O}(n^{4/5} \varepsilon^{-7/5} / 2^{\Omega(\sqrt{\log(1/\varepsilon)})})$  time a profit function that  $(n\varepsilon)$ -additively approximates  $f_I$ .

## Definition

We say a monotone step function is  $p$ -uniform if its function values are  $0, p, 2p, \dots, lp$  for some  $l$ . A  $p$ -uniform function is said to be *pseudo-concave*, if the differences of consecutive  $x$ -breakpoints are nondecreasing from left to right

## Definition

For a set  $\Delta$  of numbers, we say that  $p$  is  $\Delta$ -multiple if it is a multiple of  $\delta$  for some  $\delta \in \Delta$

## Lemma 3.10

Let  $f_1, \dots, f_m$  be monotone step functions with ranges contained in  $[0, B]$ . Let  $\Delta \subset [\delta, 8\delta]$ . If every  $f_i$  is  $p_i$ -uniform and pseudo-concave for some  $p_i \in [1, 2]$  which is a  $\Delta$ -multiple, then we can compute a monotone step function that  $O(|\Delta|\delta)$ -additively approximates  $\min\{f_1 \oplus \dots \oplus f_m, B\}$  in  $\tilde{O}(Bm/\delta)$  time.

## Lemma 3.11

For parameters  $0 < \varepsilon < \delta < 1/2$ , let  $r = \lceil \log_{1+\varepsilon}(1 + 2\delta) \rceil = O(\delta/\varepsilon)$ , and define  $a_i = \delta(1 + \varepsilon)^i$  for  $0 \leq i \leq r + 1$ . Let  $\Delta = \{a_i\}$  be the set of  $a_i$ . Then for any  $t \in [1, 2]$ , there is a multiple of some  $a_i$  in the range  $[t, t + 2\varepsilon]$ . Thus, every real number in  $[1, 2]$  can be approximated by a  $\Delta$ -multiple with  $O(\varepsilon)$  additive error, where  $|\Delta| = r + 2 = O(\delta/\varepsilon)$  and all elements in  $\Delta$  are within  $[\delta, 8\delta]$ .



## Lemma 3.6

Given a list  $I$  of  $n = O(1/\varepsilon)$  items with  $p_i$  being multiples of  $\varepsilon$  in interval  $[1, 2)$ , one can compute in  $\tilde{O}(n^{4/5}\varepsilon^{-7/5}/2^{\Omega(\sqrt{\log(1/\varepsilon)})})$  time a profit function that  $(n\varepsilon)$ -additively approximates  $f_I$ .

## Claim 3.12

We can partition elements of  $I$  into  $\Theta(\Delta_1)$  groups  $G_1, \dots, G_k$ , each of size  $O(n/\Delta_1)$ , while all elements within group  $G_i$  are  $(1 + \varepsilon)$ -approximated by multiples of  $p_i$  for some  $p_i = \Theta(\Delta_1 \varepsilon)$ .

From now on, assume that  $G_1, \dots, G_k$  are groups satisfying conditions in Claim 3.12.

We now randomly partition  $\{1, 2, \dots, k\}$  into  $\Delta_0$  parts  $I_1, \dots, I_{\Delta_0}$ , by assigning each  $1 \leq i \leq k$  into some  $I_j$  independently and uniformly. Then, set  $X_j = \bigcup_{i \in I_j} G_i$ .

## Claim 3.13

With probability  $\geq 3/4$ ,  $|I_j| = O(\Delta_1/\Delta_0)$ , and hence  $|X_j| \leq O(n/\Delta_0)$

## Claim 3.14

We can approximate  $\bigoplus_{x \in X_j} f_x$  with additive error  $O(n\varepsilon/\Delta_0)$  for all  $1 \leq j \leq \Delta_0$  in  $\tilde{O}(n^2\varepsilon^{-1}/(\Delta_0\Delta_1)) = \tilde{O}(n^{4/5}\varepsilon^{-7/5}/2^{\Omega(\sqrt{\log(1/\varepsilon)})})$

## Claim 3.15

Assume  $i \leq \log_2 \Delta_0$  and  $|S_1| = |S_2| = 2^i$ , where  $S_1, S_2 \subseteq \{1, 2, \dots, \Delta_0\}$  and  $S_1 \cap S_2 = \emptyset$ . Assume that  $A_1$  is an approximation of  $F(S_1)$  with additive error  $err_1$ ,  $A_2$  is an approximation of  $F(S_2)$  with additive error  $err_2$ . Then with probability  $\geq (1 - 1/(5\Delta_0))$ , we can compute an approximation of  $F(S_1 \cup S_2)$  with additive error  $err_1 + err_2 + O(2^{0.9i}n\varepsilon/\Delta_0)$  in time  $O(\varepsilon^{-2}\Delta_0^{0.5}/(\Delta_1^{0.5}2^{\Omega(\sqrt{\log(1/\varepsilon)})}))$

## Lemma 2.6

Let  $n$  distinct positive integers  $X = \{x_1, \dots, x_n\} \subseteq [l, 2l]$  be given, where  $l = o(n^2 / \log n)$ . Then, for a universal constant  $c \geq 1$ , for every  $cl^2/n \leq t \leq \sum(X)/2$ , there exists  $t' \in \mathcal{S}(X)$  such that  $0 \leq t' - t \leq 8l/n$ .

## Lemma 3.5

Given a list  $l$  of  $n$  items with  $p_i$  being multiples of  $\varepsilon$  in interval  $[1, 2)$ , and integer  $1 \leq m \leq n$  with  $m = O(1/\varepsilon)$ , one can compute in  $O(n + \varepsilon^{11/5} / 2^{\Omega(\sqrt{\log(1/\varepsilon)})})$  time a profit function that  $(m\varepsilon)$ -additively approximates  $f_l$  up to  $2m$ .

From now on, assume that items are sorted by non-decreasing order of efficiency,  $p_1/w_1 \geq p_2/w_2 \geq \dots \geq p_n/w_n$ .

## Definition 3.7

For  $1 \leq i \leq n$ , let  $D(i) = \min_J C([i] \setminus J)$ , where the minimization is over all subsets  $J \subseteq [i]$  with  $|J| \leq 2m$ , and  $C([i] \setminus J)$  denote the number of distinct values in  $\{p_j : j \in [i] \setminus J\}$

## Observation 3.8

For all  $2 \leq i \leq n$ ,  $0 \leq D(i) - D(i-1) \leq 1$ .

## Lemma 3.9

Let  $S \subseteq [n]$  be any item set with total profit  $\sum_{s \in S} p_s \leq 2m$ . Let  $B := 9c\epsilon^{-1}/\Delta$ , where  $c \geq 1$  is the universal constant in Lemma 2.6. Then, there exists an item set  $\tilde{S} \subseteq [n]$ , such that the total profit  $\tilde{p}$  contributed by items  $[n] \setminus [i]$  in  $\tilde{S}$  satisfies:

1

$$\tilde{p} := \sum_{s \in \tilde{S} \cap ([n] \setminus [i])} p_s \leq B$$

2

$$\sum_{s \in \tilde{S}} p_s \geq (1 - \epsilon) \sum_{s \in S} p_s$$

3

$$\sum_{s \in \tilde{S}} w_s \leq \sum_{s \in S} w_s$$

## Lemma 3.9

We define  $\tilde{S} \subseteq [n]$  as the maximizer of

$$\sum_{s \in \tilde{S} \cap [i]} p_s + \sum_{s \in \tilde{S} \cap ([n] \setminus [i])} (1 - \varepsilon) p_s$$

## Lemma 3.5

Given a list  $I$  of  $n$  items with  $p_i$  being multiples of  $\varepsilon$  in interval  $[1, 2)$ , and integer  $1 \leq m \leq n$  with  $m = O(1/\varepsilon)$ , one can compute in  $O(n + \varepsilon^{11/5} / 2^{\Omega(\sqrt{\log(1/\varepsilon)})})$  time a profit function that  $(m\varepsilon)$ -additively approximates  $f_I$  up to  $2m$ .

Recall that  $i \in \{1, 2, \dots, n\}$  is the maximum such that  $D(i) \leq \Delta$ , which can be found with binary search in  $\tilde{O}(n)$ . Let  $J \subset [i]$  with  $|J| \leq 2m$  be the minimizer of  $D(i)$ .

- Use Lemma 3.6 to  $(2m\varepsilon)$ -additively approximate  $f_J$  in  $O(m^{4/5}\varepsilon^{-7/5})$
- By definition of  $i$ , items in  $[i] \setminus J$  have no more than  $\Delta$  distinct profit values. Use Lemma 3.3 to find  $(1 - \varepsilon)$ -approximation of  $f_{[i] \setminus J}$  in  $\tilde{O}(\varepsilon^{-17/8})$ .
- Use Lemma 3.2 to find  $(1 - \varepsilon)$ -approximation of  $f_{[n] \setminus [i]}$  in  $\tilde{O}(B^{1/3}\varepsilon^{-2})$ .



## Theorem 1.2

There is a deterministic algorithm for  $(1 - \varepsilon)$ -approximating Partition with running time

$$\tilde{O}\left(n + \varepsilon^{-5/4}\right).$$

## High-level outline

- Reduce the Partition problem to constructing an additive approximation.
- Use *densified* FFT when merging two approximations. The result will have additional additive error.
- Transform an additive approximation algorithm to a multiplicative one.
- Recursively merge the approximations with cumulative multiplicative error.
- Use previous works combined with the new results to construct the additive approximation

Many steps in this work revolve around transforming additive approximations to multiplicative ones and vice versa.

## Problem 2

Assume  $\varepsilon \in (0, 1/2)$  and  $1/\varepsilon \in \mathbb{N}^+$ . Given a set  $X$  of  $n$  *distinct* integers in the interval  $[1/\varepsilon, 2/\varepsilon)$ , compute a set  $A \subset \mathbb{N}$  that  $n$ -additively approximates  $\mathcal{S}(X)$ .

## Lemma 4.1 (Tedious reduction)

If for some  $c \geq 1$ , Problem 2 can be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time, then  $(1 - \varepsilon)$ -approximating *Partition* can also be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time.

# Lemma 4.1

## Problem 2

Assume  $\varepsilon \in (0, 1/2)$  and  $1/\varepsilon \in \mathbb{N}^+$ . Given a set  $X$  of  $n$  *distinct* integers in the interval  $[1/\varepsilon, 2/\varepsilon)$ , compute a set  $A \subset \mathbb{N}$  that  $n$ -additively approximates  $\mathcal{S}(X)$ .

## Lemma 4.1 (Tedious reduction)

If for some  $c \geq 1$ , Problem 2 can be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time, then  $(1 - \varepsilon)$ -approximating *Partition* can also be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time.

## Lemma 4.2

### Lemma 4.2

Let  $\delta \in (0, 1/2)$ , and  $\ell, d, t, \Delta \in \mathbb{N}^+$  such that  $d \leq \ell \leq t$ .

Let  $X_1, X_2 \subseteq \mathbb{N}^+ \cap [\ell, \ell + d]$  be two integer sets. Given  $A_1, A_2 \subset \mathbb{N}$  as input where for  $i \in \{1, 2\}$ ,  $A_i$  is an  $(1 - \delta)$  approximation of  $\mathcal{S}(X_i)$  up to  $t$ , one can compute a set  $A \subset \mathbb{N}^+$  of size  $|A| \leq Z$  that  $(1 - \delta, \Delta - 1)$ -approximates  $\mathcal{S}(X_1 \uplus X_2)$  up to  $t$ , with time complexity:

- 1  $\tilde{O}(\lceil \frac{t}{\Delta} \rceil + |A_1| + |A_2|)$
- 2  $\tilde{O}(\frac{t}{\ell} \cdot \lceil \frac{td}{\ell\Delta} \rceil + |A_1| + |A_2|)$
- 3  $\tilde{O}(Z + |A_1| + |A_2|)$ , where  $Z \leq O(\min\{\lceil \frac{t}{\Delta} \rceil, \frac{t}{\ell} \cdot \lceil \frac{td}{\ell\Delta} \rceil\})$ .

3 follows immediately from 1 and 2.

## Lemma 4.2

### Lemma (1D-FFT)

For a number  $c$  and a set  $X$ , define  $c \cdot X = \{cx : x \in X\}$ . For two sets  $X, Y$ , define their *sumset*  $X + Y = \{x + y : x \in X, y \in Y\}$ . Given sets  $X \subseteq [n], Y \subseteq [n]$ , the sumset  $X + Y$  can be computed in  $O(n \log n)$  time using FFT.

### Proposition 2.4

For  $i \in \{1, 2\}$ , suppose  $A_i$  is a  $(1 - \delta, \Delta_i)$  approximation of  $\mathcal{S}(X_i)$  up to  $t$ . Then,  $(A_1 + A_2) \cap [0, t]$  is a  $(1 - \delta, \Delta_1 + \Delta_2)$  approximation of  $\mathcal{S}(X_1 \uplus X_2)$  up to  $t$ .

## Lemma 4.2

### Proof of Lemma 4.2.1

Let  $\bar{\Delta} := \lceil \Delta/2 \rceil$ . For  $i \in \{1, 2\}$ , by rounding the integers in  $A_i$  down to multiples of  $\bar{\Delta}$ , we obtain set  $A'_i \subset \bar{\Delta} \cdot \mathbb{N}$  that  $(\bar{\Delta} - 1)$ -additively approximates  $A_i$ . Then, since  $A'_i \subseteq [0, t]$ , their sumset  $A'_1 + A'_2$  can be computed by FFT in  $\tilde{O}(\lceil t/\bar{\Delta} \rceil)$  time. Note that  $A := A'_1 + A'_2$  is a  $(1 - \delta, \Delta - 1)$ -approximation of  $\mathcal{S}(X_1 \uplus X_2)$  up to  $t$ .

## Lemma 4.2

### Lemma 2.1(2D-FFT)

Given two sets  $A_1, A_2 \subseteq [n] \times [m]$ , one can compute

$$A_1 + A_2 := \{(x_1 + x_2, y_1 + y_2) : (x_1, y_1) \in A_1, (x_2, y_2) \in A_2\}$$

in  $O(nm \log(nm))$  time deterministically.



## Lemma 4.2

### Proof of Lemma 4.2.2

Every  $s \in \mathcal{S}(X_i; t)$  can be expressed as  $s = k\ell + b'$ , so "corresponding"  $a \in A_i$  can be expressed as  $a = k\ell + b$ . Round  $b$  down to integer multiples of  $\bar{\Delta}$ :

$$a' = k\ell + j\bar{\Delta},$$

for some  $k \in \mathbb{N} \cap [0, t/\ell]$  and  $j \in \mathbb{Z} \cap [-1 - s\delta/\bar{\Delta}, dt/(\ell\bar{\Delta})]$ . We have obtained  $A'_1$  which is a  $(\bar{\Delta} - 1)$ -additive approximation of  $A_i$ . Using this 2-dimensional  $(k, j)$  representation of  $A'_1$ , compute  $A'_1 + A'_2$  using 2D FFT.

Observe that  $\delta \leq O(d/\ell)$

## Lemma 4.3

### Lemma 4.3

Let  $\delta, \delta_0 \in (0, 1/2)$ , and  $\ell, d, T \in \mathbb{N}^+$  such that  $d \leq \ell \leq T$ . Let  $X_1, X_2 \subseteq \mathbb{N}^+ \cap [\ell, \ell + d]$  be two integer sets. Given  $A_1, A_2 \subset \mathbb{N}$  as input where for  $i \in \{1, 2\}$ ,  $A_i$  is an  $(1 - \delta)$  approximation of  $\mathcal{S}(X_i)$  up to  $T$ , one can compute a set  $A \subset \mathbb{N}^+$  of size  $|A| \leq Z$  that  $(1 - \delta - \delta_0)$ -approximates  $\mathcal{S}(X_1 \uplus X_2)$  up to  $T$ , in  $\tilde{O}(Z + (|A_1| + |A_2|) \log(2T/\ell))$  time, where

$$Z \leq O\left(\min\left\{\frac{\log(2T/\ell)}{\delta_0}, \frac{T}{\ell} \cdot \left\lceil \frac{d}{\ell\delta_0} \right\rceil\right\}\right).$$

## Lemma 4.3

### Algorithm outline for Lemma 4.3

- Initialize set  $A = \{0\}$ .
- For each  $r$  being integer powers of 2 such that  $\ell/6 \leq r \leq T$ :
  - apply Lemma 4.2 to  $A_1$  and  $A_2$  with  $t := 6r$  and  $\Delta := \lceil \delta_0 r \rceil$ , and obtain a set  $A_r \subseteq \mathbb{N} \cap [0, 6r]$
  - Insert all elements in  $A_r \cap [r, 6r]$  into  $A$

On every step  $A_r$   $(1 - \delta, \lceil \delta_0 r \rceil - 1)$ -approximates  $\mathcal{S}(X_1 \uplus X_2)$  up to  $6r$ .

## Proof of Lemma 4.3

For every  $a \in A_r \cap [r, 6r]$ , there exists  $s \in \mathcal{S}(X_1 \uplus X_2)$  such that  $a \leq s$  and

$$a \geq (1 - \delta)s - (\lceil \delta_0 r \rceil - 1) > (1 - \delta)s - \delta_0 r \geq (1 - \delta - \delta_0)s$$

For every  $s \in \mathcal{S}(X_1 \uplus X_2; T)$ , let  $r$  be a power of two such that  $3r \leq s \leq 6r$ . Then there exists  $a \in A_r$  such that  $a \leq s \leq 6r$  and

$$a \geq (1 - \delta)s - (\lceil \delta_0 r \rceil - 1) \geq (1 - \delta)s - \delta_0 r \geq s/2 - r/2 \geq r,$$

so  $a \in A_r \cap [r, 6r]$  and hence will be included in  $A$ , and  $a \geq (1 - \delta_0 - \delta)s$ .

## Corollary 4.4

### Corollary 4.4

Let  $\delta, \delta_0 \in (0, 1/2)$ , and  $\ell, d \in \mathbb{N}^+$  such that  $d \leq \ell$ . Let  $X_1, X_2 \subseteq \mathbb{N}^+ \cap [\ell, \ell + d]$  be two integer sets of total size  $|X_1| + |X_2| = n$ . Given  $A_1, A_2 \subset \mathbb{N}$  as input where for  $i \in \{1, 2\}$ ,  $A_i$  is a  $(1 - \delta)$  approximation of  $\mathcal{S}(X_i)$ , one can compute a set  $A \subset \mathbb{N}^+$  of size  $|A| \leq Z$  that  $(1 - \delta_0 - \delta)$ -approximates  $\mathcal{S}(X_1 \uplus X_2)$ , in  $\tilde{O}(Z + (|A_1| + |A_2|) \log n)$  time, where

$$Z \leq O \left( \min \left\{ \frac{1}{\delta_0}, \frac{nd}{\ell \delta_0} + n \right\} \cdot \log n \right).$$

### Proof

Immediately follows from Lemma 4.3 by setting  $T = n \cdot (\ell + d)$ , which is an upper bound on the largest element of  $\mathcal{S}(X_1 \uplus X_2)$ .

## Lemma 4.5

### Lemma 4.5

Given an integer set  $X \subseteq \mathbb{N}^+ \cap [\ell, 2\ell]$  of  $n$  integers, one can compute a set  $A \subset \mathbb{N}^+$  that  $(1 - \delta)$ -approximates  $\mathcal{S}(X)$ , in  $\tilde{O}(n + \sqrt{n}/\delta)$  time.

### Proof

Set  $\delta_0 := \delta / \lceil \log_2 n \rceil$ . Build a balanced binary tree with  $n$  leaf nodes representing the items and  $\lceil \log_2 n \rceil$  levels. At each node apply Corollary 4.4 thus obtaining a  $(1 - \delta)$  approximation of  $\mathcal{S}(X)$  at the root node.

## Lemma 4.6

### Problem 2

Assume  $\varepsilon \in (0, 1/2)$  and  $1/\varepsilon \in \mathbb{N}^+$ . Given a set  $X$  of  $n$  *distinct* integers in the interval  $[1/\varepsilon, 2/\varepsilon)$ , compute a set  $A \subset \mathbb{N}$  that  $n$ -additively approximates  $\mathcal{S}(X)$ .

### Lemma 4.6

We can solve Problem 2 in  $\tilde{O}(n + \min\{\varepsilon^{-1}n^{1/2}, \varepsilon^{-1} + \varepsilon^{-2}/n^{3/2}\})$  time, which is at most  $\tilde{O}(n + 1/\varepsilon^{5/4})$ .

Depending on the parameters:

- When  $n \leq \tilde{O}(1/\varepsilon^{1/2})$  run Algorithm 1
- Otherwise run Algorithm 2

## Lemma 4.6 Algorithm 1

### Algorithm 1

Directly apply Lemma 4.5 with  $\delta := \varepsilon$ , in  $\tilde{O}(n + \sqrt{n}/\varepsilon)$  time. Because  $n \leq \tilde{O}(1/\varepsilon^{1/2})$ , the running time of Algorithm 1 is  $\tilde{O}(n + 1/\varepsilon^{5/4})$ .



## Lemma 4.6

### Lemma 2.7 [BW21]

Given  $n$  distinct positive integers  $X = \{x_1, \dots, x_n\} \subseteq [\ell, 2\ell]$ , there exists  $\lambda = \tilde{\Theta}(\ell^2/n)$  such that, if  $\lambda \leq \Sigma(X)/2$ , then in  $\tilde{O}(n)$  time we can construct a deterministic data structure supporting the following query in  $O(1)$  time: given  $L, R$  such that  $\lambda \leq L \leq R \leq \Sigma(X)/2$ , report whether there exists  $t \in [L, R]$  such that  $t \in S(X)$ .

## Lemma 4.6 Algorithm 2

### Algorithm 2

Let  $\sigma = \Sigma(X)$ , and let  $\lambda$  be the threshold value from Lemma 2.7

- Initialize  $A = \emptyset$
- Apply Lemma 4.5 in to compute a set  $A_\delta$  that  $n$ -additive approximates  $\mathcal{S}(X)$  up to  $\lambda$ .
- Insert all elements in  $A_\delta \cap [0, \lambda]$  to  $A$ .
- Using the data structure from Lemma 2.7, compute an  $n$ -additive approximation of  $\mathcal{S}(X) \cap [\lambda, \sigma/2]$  using binary search and insert it into  $A$
- Let  $A' := \{\sigma - a - n : a \in A\}$ ,  $A \cup A'$  is an  $n$ -additive approximation of  $\mathcal{S}(X)$  (up to  $\sigma$ )

# Known reductions from the Partition Problem

## Lemma C.1

One may assume w.l.o.g. that for any Subset Sum instance  $\text{OPT} \geq t/2$ . Otherwise the instance can be solved exactly in  $\tilde{O}(n)$  time.

## Lemma C.2

Let  $X \subset \mathbb{N}^+$  be a multiset with sum of elements  $\sigma = \Sigma(X)$ , and let  $\varepsilon \in (0, 1/2)$ . Given a set  $A \subset \mathbb{N}$  that  $\varepsilon\sigma/4$ -additively approximates  $\mathcal{S}(X)$ , one can immediately solve  $(1 - \varepsilon)$ -approximation Partition on  $X$ .

## Proof of Lemma C.2

$t = \sigma/2$ , and  $\text{OPT} = \max\{\Sigma(Y) : \Sigma(Y) \leq t, Y \subseteq X\}$ . Given  $A$ , let  $a := \max\{a \in A : a \leq t\}$ . Claim:

$$(1 - \varepsilon)\text{OPT} \leq \min\{a, t(1 - \varepsilon/2)\} \leq \text{OPT},$$

# Known reductions from the Partition Problem

## Lemma C.3 MWW19

Given a multiset  $S$  of  $n$  integers from  $[t]$ , one can compute a multiset  $T$  in  $O(n \log n)$  time such that:

- $\mathcal{S}(S; t) = \mathcal{S}(T; t)$ .
- $|T| \leq |S|$ .
- No element in  $T$  has multiplicity exceeding two.
- For every  $y \in T$ , there is a corresponding  $x \in S$  such that  $y = 2^k \cdot x$  for some  $k \in \mathbb{N}$ .

## Lemma 4.1

If for some  $c \geq 1$ , Problem 2 can be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time, then  $(1 - \varepsilon)$ -approximating *Partition* can also be solved in  $\tilde{O}(n + 1/\varepsilon^c)$  time.