

# Investigating open covers for $\mathbb{R}$ around elements of $\mathbb{Q}$ with open intervals of length $2/n$ .

Let  $\{x_n\} \subset \mathbb{R}$ , and,

$$\mathcal{I}_N(\{x_n\}) := \bigcup_{n=1}^N \left( x_n - \frac{1}{n}, x_n + \frac{1}{n} \right), \text{ and } \mathcal{I}(\{x_n\}) = \lim_{N \rightarrow \infty} \mathcal{I}_N$$

In the following sections we would see that for an enumeration  $\{q_n\}$  of  $\mathbb{Q}$ ,  $\mathcal{I}(\{q_n\})$  may or may not be an open cover for  $\mathbb{R}$ .

An enumeration of  $\{q_n\}$  of  $\mathbb{Q}$  for which  $\mathcal{I}(\{q_n\})$  is an open cover for  $\mathbb{R}$

**Idea,** use the fact that the partial sums of the harmonic series are rational numbers going to infinity. Use them to construct an open cover for the positive real line and extend to the whole real line. Fit these numbers appropriately in an enumeration of all rational numbers.

1. Let  $s_n = \sum_{k=1}^n \frac{1}{k}$ . Then  $\mathcal{I}(\{s_n\})$  is an open cover for  $(0, \infty)$ .

By definition of  $s_n$  we have the following inequality,

$$s_{n-1} = s_n - \frac{1}{n} < s_n = s_{n+1} - \frac{1}{n+1} < s_n + \frac{1}{n} < s_{n+1} + \frac{1}{n+1}$$

Then  $\mathcal{I}_N(\{s_n\}) = (s_1 - 1, s_N + \frac{1}{N})$ . As  $s_N \rightarrow \infty$ ,  $\mathcal{I}(\{s_n\}) = (s_1 - 1, \infty) = (0, \infty)$ .

2. Let  $\{t_n\} \subset \mathbb{Q}$  such that  $t_{2n} = \frac{s_n}{2}$ , and  $t_{2n-1} = \frac{-s_n}{2}$ , then  $\mathcal{I}(\{t_n\})$  is an open cover for  $\mathbb{R}$ .

If  $x > 0$ , then  $2x > 0$ . From (1) there exists  $n$  such that  $2x \in \left( s_n - \frac{1}{n}, s_n + \frac{1}{n} \right)$ . Or,

$$\begin{aligned} s_n - \frac{1}{n} < 2x < s_n + \frac{1}{n} &\implies \frac{s_n}{2} - \frac{1}{2n} < x < \frac{s_n}{2} + \frac{1}{2n} \\ \implies t_{2n} - \frac{1}{2n} < x < t_{2n} + \frac{1}{2n} &\implies x \in \left( t_{2n} - \frac{1}{2n}, t_{2n} + \frac{1}{2n} \right) \subset \mathcal{I}(\{t_n\}) \end{aligned}$$

Or if  $x < 0$ , then  $-2x > 0$ . Again, from (1) there is  $n$  such that  $-2x \in \left( s_n - \frac{1}{n}, s_n + \frac{1}{n} \right)$ . Or,

$$\begin{aligned} s_n - \frac{1}{n} < -2x < s_n + \frac{1}{n} &\implies \frac{-s_n}{2} - \frac{1}{2n} < x < \frac{-s_n}{2} + \frac{1}{2n} \\ \implies \frac{-s_n}{2} - \frac{1}{2n-1} < \frac{-s_n}{2} - \frac{1}{2n} < x < \frac{-s_n}{2} + \frac{1}{2n} < \frac{-s_n}{2} - \frac{1}{2n-1} \\ \implies t_{2n-1} - \frac{1}{2n-1} < x < t_{2n-1} + \frac{1}{2n-1} \\ \implies x \in \left( t_{2n-1} - \frac{1}{2n-1}, t_{2n-1} + \frac{1}{2n-1} \right) &\subset \mathcal{I}(\{t_n\}) \end{aligned}$$

Finally, if  $x = 0$ , then  $x \in \left(-\frac{3}{2}, \frac{1}{2}\right) = (t_1 - 1, t_1 + 1)$ . This shows that  $\mathcal{I}(\{t_n\})$  is an open cover for  $\mathbb{R}$ .

3. There exists an enumeration  $\{q_n\}$  of the rational numbers such that  $\mathcal{I}(\{q_n\})$  is an open cover for  $\mathbb{R}$ .

Let  $p_n$  be an enumeration of  $\mathbb{Q} \setminus \{t_n\}$  which is at most countable. Now enumerate the rational numbers as  $\{q_n\}$  as follows,

$$q_{2n} = t_n, q_{2n-1} = p_n$$

Here we will reuse the idea used in the first part of (2). Let  $x \in \mathbb{R}$ . Then  $2x \in \mathbb{R}$ . Then by (2) there exists  $n$  such that,

$$t_n - \frac{1}{n} < 2x < t_n + \frac{1}{n} \implies \frac{t_n}{2} - \frac{1}{2n} < x < \frac{t_n}{2} + \frac{1}{2n} \implies x \in \left(q_{2n} - \frac{1}{2n}, q_{2n} + \frac{1}{2n}\right)$$

Therefore,  $\mathbb{R} \subset \mathcal{I}(\{q_{2n}\}) \subset \mathcal{I}(\{q_n\})$ . This completes the construction.  $\square$

## Finding enumerations of $\mathbb{Q}$ which do not cover $\mathbb{R}$

**Idea**, push the rationals close to  $x$  far behind in the enumeration and draw the rationals away from  $x$  towards the front. In particular, modify an enumeration  $\{t_m\}$  of  $\mathbb{Q}$  to make another enumeration  $\{q_n\}$ . Whenever  $t_m$  is within a distance of  $\frac{1}{m}$  of  $x$ , push  $t_m$  far enough in  $\{q_n\}$  such that  $t_m$  is outside the  $\frac{1}{n}$  neighbourhood of  $x$ . At the same time, pick a  $j$  large enough for which  $t_j$  is farther than  $\frac{1}{m}$  from  $x$  and place in  $q_m$ .

**Algorithm**, let  $x \in \mathbb{R} \setminus \mathbb{Q}$ , and let  $\{t_n\}$  be any enumeration of  $\mathbb{Q}$ . We can construct a new enumeration  $\{q_n\}$  of  $\mathbb{Q}$  such that  $x \notin \mathcal{I}(\{q_n\})$  with the following algorithm.

Steps.

- a) Let  $M$  denote the highest index seen by the algorithm at any given instance. Initialize  $M = 0$ . We will “fill”  $q_n$  for every  $n$  by “assigning”  $t_m$  to it for some  $m$ . Initialize  $q_n$  as “unfilled” for every  $n$  and  $t_m$  as “unassigned” for every  $m$ .
- b)
  - <sub>1</sub> Iterate starting with  $m, n = 1$ ,
  - <sub>2</sub> if  $q_n$  is unfilled and  $t_m$  is unassigned,
  - <sub>3</sub> if  $|x - t_m| < \frac{1}{n}$ ,
  - <sub>4</sub> Choose the smallest  $j > \max\{M, \frac{1}{|x - t_m|}\}$  such that  $|x - t_j| > \frac{1}{n}$ ,
  - <sub>5</sub> And put  $q_n = t_j, q_j = t_m, M = j$ ;
  - <sub>6</sub>  $q_n, q_j$  is filled,  $t_j, t_m$  is assigned.
  - <sub>7</sub> else,
  - <sub>8</sub> put  $q_n = t_m$ ;
  - <sub>9</sub>  $q_n$  is filled,  $t_m$  is assigned.
  - <sub>10</sub>  $n \leftarrow n + 1, m \leftarrow m + 1$
  - <sub>11</sub> else if  $q_n$  is filled,  $n \leftarrow n + 1$ .
  - <sub>12</sub> else if  $t_m$  is assigned,  $m \leftarrow m + 1$ .

*Proof of Correctness in parts.*

4. The sequence  $q : \mathbb{N} \rightarrow \mathbb{Q}$  is well defined, or for each  $n \in \mathbb{N}$ ,  $q_n$  is well defined.

We know there are infinitely many rational numbers outside any given interval. In particular for any  $M' > 0$  there exists  $j > M'$  such that  $t_j \notin (x - \frac{1}{n}, x + \frac{1}{n})$  for every  $n \in \mathbb{N}$ . Therefore the choice of  $j$  in  $\cdot_4$  is well defined, and hence  $q_n = t_j$  in  $\cdot_5$  is well defined. Again as  $t_m$  is well defined, the “filling” of  $q_n$  in  $\cdot_6$  and  $q_j$  in  $\cdot_5$  are also well defined.

5. The sequence  $q : \mathbb{N} \rightarrow \mathbb{Q}$  is bijective, or  $\mathbb{Q} = \{q_n\}$  and  $q_n \neq q_k$  when  $n \neq k$ .

If  $t_m$  is “unassigned” in  $\cdot_2$ , then  $t_m$  gets assigned by the end of that “if” block. Hence every  $t_m$  is assigned, or  $\mathbb{Q} = \{t_m\} \subset \{q_n\}$ . But again as  $q_n$  picks values only from the set  $\{t_m\}$  we also have  $\mathbb{Q} \supset \{q_n\}$ . This shows  $\mathbb{Q} = \{q_n\}$ .

For the one-one part observe that every  $t_m$  is assigned exactly once, that is  $m$  is incremented in  $\cdot_{10}$  and  $\cdot_{12}$  every time after  $t_m$  gets assigned. Hence if  $n \neq k$ , the “filling” of  $q_n$  and  $q_k$  are done with assignments of (say)  $t_m$  and  $t_s$  with  $m \neq s$ . As  $\{t_m\}$  is an enumeration  $t_m \neq t_s$ ; therefore  $q_m \neq q_k$ . This ensures that  $\{q_n\}$  is a valid enumeration of  $\mathbb{Q}$ .

6.  $x \notin \mathcal{I}(\{q_n\})$ , and hence  $\mathcal{I}(\{q_n\})$  is not an open cover for  $\mathbb{R}$ .

Finally by construction, at every assignment of  $q$  we see,

(i) In  $\cdot_5$  if  $q_n = t_j$ , then  $|x - q_n| > \frac{1}{n}$ .

(ii) In  $\cdot_5$  if  $q_j = t_m$ , then by choice of  $j$ ,  $|x - q_j| > \frac{1}{j}$ .

(iii) Finally in  $\cdot_8$ , if  $q_n = t_m$  is executed when the condition in  $\cdot_3$  fails, hence  $|x - q_n| > \frac{1}{n}$ .

Combining these,  $x \notin (q_n - \frac{1}{n}, q_n + \frac{1}{n})$  for all  $n \in \mathbb{N}$ ; hence  $x \notin \mathcal{I}(\{q_n\})$ , which is therefore not an open cover for  $\mathbb{R}$ .  $\square$

**Remark**, the above algorithm works even when  $\frac{1}{n}$  is replaced by a sequence  $\{x_n\}$  of distances converging to 0.