Chapter 4

Paths in graphs

4.1 Distances

Depth-first search readily identifies all the vertices of a graph that can be reached from a designated starting point. It also finds explicit paths to these vertices, summarized in its search tree (Figure 4.1). However, these paths might not be the most economical ones possible. In the figure, vertex C is reachable from S by traversing just one edge, while the DFS tree shows a path of length 3. This chapter is about algorithms for finding *shortest paths* in graphs.

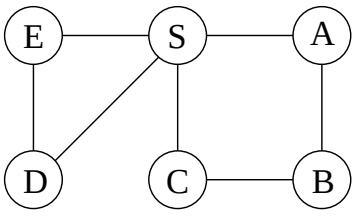
Path lengths allow us to talk quantitatively about the extent to which different vertices of a graph are separated from each other:

The *distance* between two nodes is the length of the shortest path between them.

To get a concrete feel for this notion, consider a physical realization of a graph that has a ball for each vertex and a piece of string for each edge. If you lift the ball for vertex s high enough, the other balls that get pulled up along with it are precisely the vertices reachable from s. And to find their distances from s, you need only measure how far below s they hang.

Figure 4.1 (a) A simple graph and (b) its depth-first search tree.

(a)



(b)

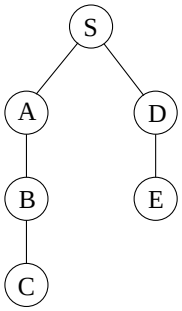
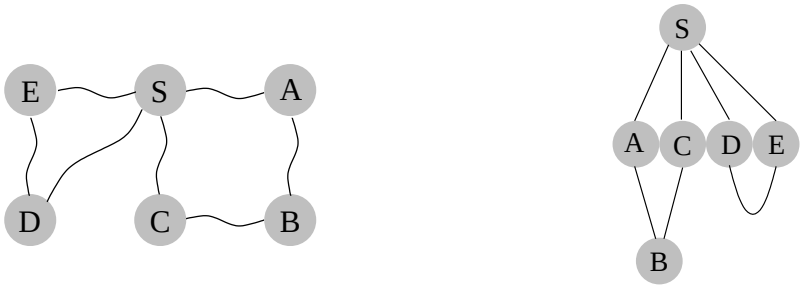


Figure 4.2 A physical model of a graph.



In Figure 4.2 for example, vertex B is at distance 2 from S, and there are two shortest paths to it. When S is held up, the strings along each of these paths become taut. On the other hand, edge (D, E) plays no role in any shortest path and therefore remains slack.

4.2 Breadth-first search

In Figure 4.2, the lifting of s partitions the graph into layers: s itself, the nodes at distance 1 from it, the nodes at distance 2 from it, and so on. A convenient way to compute distances from s to the other vertices is to proceed layer by layer. Once we have picked out the nodes at distance $0, 1, 2, \ldots, d$, the ones at $d + 1$ are easily determined: they are precisely the as-yet-unseen nodes that are adjacent to the layer at distance d. This suggests an iterative algorithm in which two layers are active at any given time: some layer d, which has been fully identified, and $d + 1$, which is being discovered by scanning the neighbors of layer d.

Breadth-first search (BFS) directly implements this simple reasoning (Figure 4.3). Initially the queue Q consists only of s, the one node at distance 0. And for each subsequent distance $d = 1, 2, 3, \ldots$, there is a point in time at which Q contains all the nodes at distance d and nothing else. As these nodes are processed (ejected off the front of the queue), their as-yet-unseen neighbors are injected into the end of the queue.

Let's try out this algorithm on our earlier example (Figure 4.1) to confirm that it does the right thing. If S is the starting point and the nodes are ordered alphabetically, they get visited in the sequence shown in Figure 4.4. The breadth-first search tree, on the right, contains the edges through which each node is initially discovered. Unlike the DFS tree we saw earlier, it has the property that all its paths from S are the shortest possible. It is therefore a *shortest-path tree*.

Correctness and efficiency

We have developed the basic intuition behind breadth-first search. In order to check that the algorithm works correctly, we need to make sure that it faithfully executes this intuition. What we expect, precisely, is that

For each $d = 0, 1, 2, \ldots$, there is a moment at which (1) all nodes at distance $\leq d$

Figure 4.3 Breadth-first search.

```
procedure bfs(G, s)
Input:    Graph G = (V, E), directed or undirected; vertex s ∈ V
Output:   For all vertices u reachable from s, dist(u) is set
          to the distance from s to u.

for all u ∈ V:
   dist(u) = ∞

dist(s) = 0
Q = [s] (queue containing just s)
while Q is not empty:
   u = eject(Q)
   for all edges (u, v) ∈ E:
      if dist(v) = ∞:
         inject(Q, v)
         dist(v) = dist(u) + 1
```

from s have their distances correctly set; (2) all other nodes have their distances set to ∞; and (3) the queue contains exactly the nodes at distance d.

This has been phrased with an inductive argument in mind. We have already discussed both the base case and the inductive step. Can you fill in the details?

The overall running time of this algorithm is linear, $O(|V| + |E|)$, for exactly the same reasons as depth-first search. Each vertex is put on the queue exactly once, when it is first encountered, so there are $2|V|$ queue operations. The rest of the work is done in the algorithm's innermost loop. Over the course of execution, this loop looks at each edge once (in directed graphs) or twice (in undirected graphs), and therefore takes $O(|E|)$ time.

Now that we have both BFS and DFS before us: how do their exploration styles compare? Depth-first search makes deep incursions into a graph, retreating only when it runs out of new nodes to visit. This strategy gives it the wonderful, subtle, and extremely useful properties we saw in the Chapter 3. But it also means that DFS can end up taking a long and convoluted route to a vertex that is actually very close by, as in Figure 4.1. Breadth-first search makes sure to visit vertices in increasing order of their distance from the starting point. This is a broader, shallower search, rather like the propagation of a wave upon water. And it is achieved using almost exactly the same code as DFS—but with a queue in place of a stack.

Also notice one stylistic difference from DFS: since we are only interested in distances from s, we do not restart the search in other connected components. Nodes not reachable from s are simply ignored.

Figure 4.4 The result of breadth-first search on the graph of Figure 4.1.

Order of visitation	Queue contents after processing node
	$[S]$
S	$[A\ C\ D\ E]$
A	$[C\ D\ E\ B]$
C	$[D\ E\ B]$
D	$[E\ B]$
E	$[B]$
B	$[\,]$

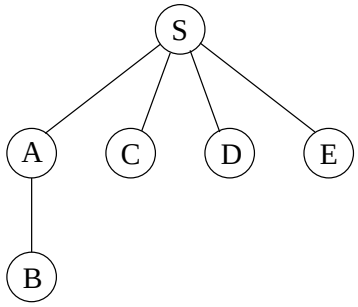
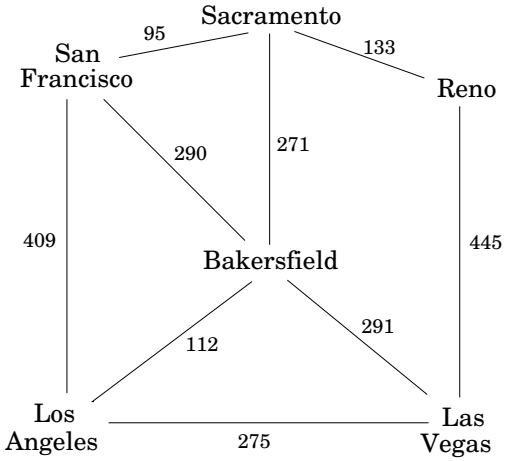


Figure 4.5 Edge lengths often matter.

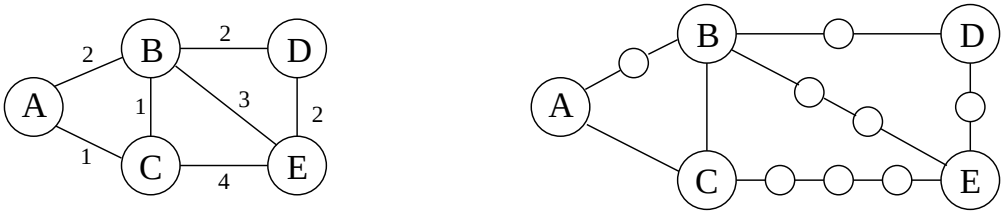


4.3 Lengths on edges

Breadth-first search treats all edges as having the same length. This is rarely true in applications where shortest paths are to be found. For instance, suppose you are driving from San Francisco to Las Vegas, and want to find the quickest route. Figure 4.5 shows the major highways you might conceivably use. Picking the right combination of them is a shortest-path problem in which the length of each edge (each stretch of highway) is important. For the remainder of this chapter, we will deal with this more general scenario, annotating every edge $e \in E$ with a length l_e. If $e = (u, v)$, we will sometimes also write $l(u, v)$ or l_{uv}.

These l_e's do not have to correspond to physical lengths. They could denote time (driving time between cities) or money (cost of taking a bus), or any other quantity that we would like to conserve. In fact, there are cases in which we need to use negative lengths, but we will briefly overlook this particular complication.

Figure 4.6 Breaking edges into unit-length pieces.



4.4 Dijkstra's algorithm

4.4.1 An adaptation of breadth-first search

Breadth-first search finds shortest paths in any graph whose edges have unit length. Can we adapt it to a more general graph $G = (V, E)$ whose edge lengths l_e are *positive integers*?

A more convenient graph

Here is a simple trick for converting G into something BFS can handle: break G's long edges into unit-length pieces, by introducing "dummy" nodes. Figure 4.6 shows an example of this transformation. To construct the new graph G',

> For any edge $e = (u, v)$ of E, replace it by l_e edges of length 1, by adding $l_e - 1$ dummy nodes between u and v.

Graph G' contains all the vertices V that interest us, and the distances between them are exactly the same as in G. Most importantly, the edges of G' all have unit length. Therefore, we can compute distances in G by running BFS on G'.

Alarm clocks

If efficiency were not an issue, we could stop here. But when G has very long edges, the G' it engenders is thickly populated with dummy nodes, and the BFS spends most of its time diligently computing distances to these nodes that we don't care about at all.

To see this more concretely, consider the graphs G and G' of Figure 4.7, and imagine that the BFS, started at node s of G', advances by one unit of distance per minute. For the first 99 minutes it tediously progresses along $S - A$ and $S - B$, an endless desert of dummy nodes. Is there some way we can snooze through these boring phases and have an alarm wake us up whenever something *interesting* is happening—specifically, whenever one of the real nodes (from the original graph G) is reached?

We do this by setting two alarms at the outset, one for node A, set to go off at time $T = 100$, and one for B, at time $T = 200$. These are *estimated times of arrival*, based upon the edges currently being traversed. We doze off and awake at $T = 100$ to find A has been discovered. At

this point, the estimated time of arrival for B is adjusted to $T = 150$ and we change its alarm accordingly.

More generally, at any given moment the breadth-first search is advancing along certain edges of G, and there is an alarm for every endpoint node toward which it is moving, set to go off at the estimated time of arrival at that node. Some of these might be overestimates because BFS may later find shortcuts, as a result of future arrivals elsewhere. In the preceding example, a quicker route to B was revealed upon arrival at A. However, *nothing interesting can possibly happen before an alarm goes off*. The sounding of the next alarm must therefore signal the arrival of the wavefront to a real node $u \in V$ by BFS. At that point, BFS might also start advancing along some new edges out of u, and alarms need to be set for their endpoints.

The following "alarm clock algorithm" faithfully simulates the execution of BFS on G'.

- Set an alarm clock for node s at time 0.
- Repeat until there are no more alarms:

  Say the next alarm goes off at time T, for node u. Then:

  - The distance from s to u is T.
  - For each neighbor v of u in G:
    - If there is no alarm yet for v, set one for time $T + l(u, v)$.
    - If v's alarm is set for later than $T + l(u, v)$, then reset it to this earlier time.

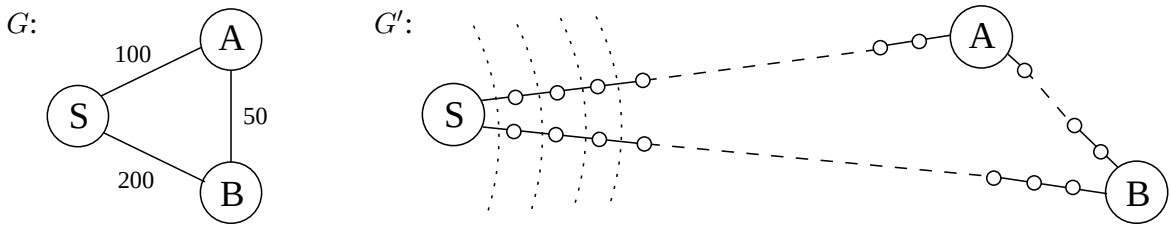
Dijkstra's algorithm. The alarm clock algorithm computes distances in any graph with positive integral edge lengths. It is almost ready for use, except that we need to somehow implement the system of alarms. The right data structure for this job is a *priority queue* (usually implemented via a *heap*), which maintains a set of elements (nodes) with associated numeric key values (alarm times) and supports the following operations:

Insert. Add a new element to the set.

Decrease-key. Accommodate the decrease in key value of a particular element.[1]

[1] The name *decrease-key* is standard but is a little misleading: the priority queue typically does not itself change key values. What this procedure really does is to notify the queue that a certain key value has been decreased.

Figure 4.7 BFS on G' is mostly uneventful. The dotted lines show some early "wavefronts."



Delete-min. Return the element with the smallest key, and remove it from the set.

Make-queue. Build a priority queue out of the given elements, with the given key values. (In many implementations, this is significantly faster than inserting the elements one by one.)

The first two let us set alarms, and the third tells us which alarm is next to go off. Putting this all together, we get Dijkstra's algorithm (Figure 4.8).

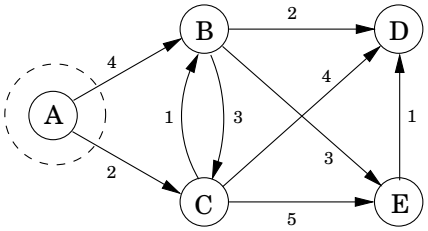
In the code, `dist`(u) refers to the current alarm clock setting for node u. A value of ∞ means the alarm hasn't so far been set. There is also a special array, `prev`, that holds one crucial piece of information for each node u: the identity of the node immediately before it on the shortest path from s to u. By following these back-pointers, we can easily reconstruct shortest paths, and so this array is a compact summary of all the paths found. A full example of the algorithm's operation, along with the final shortest-path tree, is shown in Figure 4.9.

In summary, we can think of Dijkstra's algorithm as just BFS, except it uses a priority queue instead of a regular queue, so as to prioritize nodes in a way that takes edge lengths into account. This viewpoint gives a concrete appreciation of how and why the algorithm works, but there is a more direct, more abstract derivation that doesn't depend upon BFS at all. We now start from scratch with this complementary interpretation.

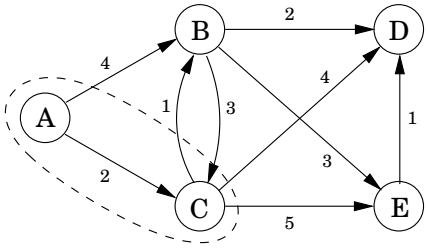
Figure 4.8 Dijkstra's shortest-path algorithm.

```
procedure dijkstra(G, l, s)
Input:    Graph G = (V, E), directed or undirected;
          positive edge lengths {l_e : e ∈ E}; vertex s ∈ V
Output:   For all vertices u reachable from s, dist(u) is set
          to the distance from s to u.

for all u ∈ V:
   dist(u) = ∞
   prev(u) = nil
dist(s) = 0

H = makequeue(V)  (using dist-values as keys)
while H is not empty:
   u = deletemin(H)
   for all edges (u, v) ∈ E:
      if dist(v) > dist(u) + l(u, v):
         dist(v) = dist(u) + l(u, v)
         prev(v) = u
         decreasekey(H, v)
```

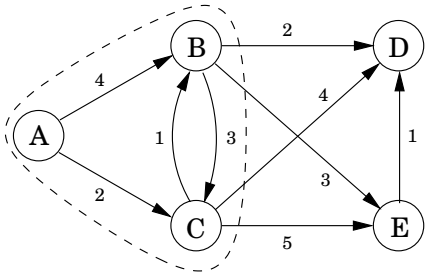
Figure 4.9 A complete run of Dijkstra's algorithm, with node A as the starting point. Also shown are the associated `dist` values and the final shortest-path tree.



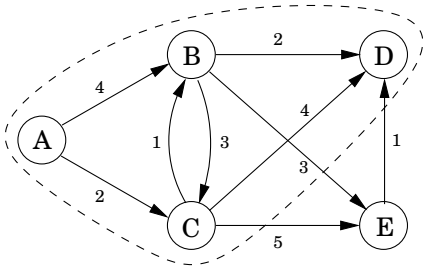
A: 0	D: ∞
B: 4	E: ∞
C: 2	



A: 0	D: 6
B: 3	E: 7
C: 2	



A: 0	D: 5
B: 3	E: 6
C: 2	



A: 0	D: 5
B: 3	E: 6
C: 2	

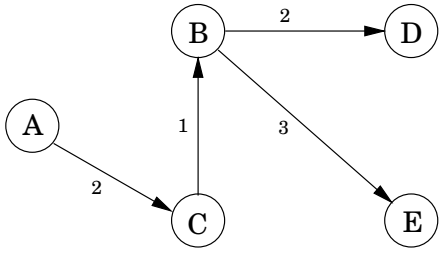
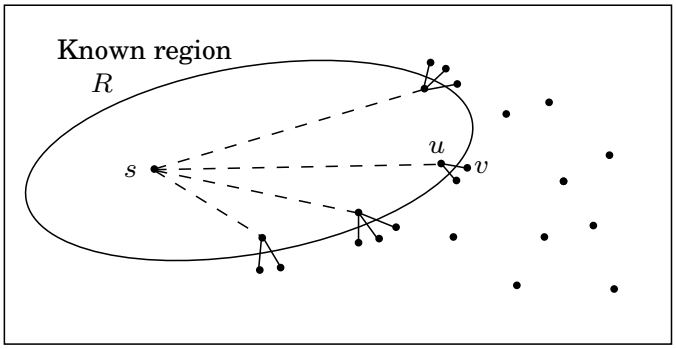


Figure 4.10 Single-edge extensions of known shortest paths.



4.4.2 An alternative derivation

Here's a plan for computing shortest paths: expand outward from the starting point s, steadily growing the region of the graph to which distances and shortest paths are known. This growth should be orderly, first incorporating the closest nodes and then moving on to those further away. More precisely, when the "known region" is some subset of vertices R that includes s, the next addition to it should be *the node outside R that is closest to s*. Let us call this node v; the question is: how do we identify it?

To answer, consider u, the node just before v in the shortest path from s to v:

Since we are assuming that all edge lengths are positive, u must be closer to s than v is. This means that u is in R—otherwise it would contradict v's status as the closest node to s outside R. So, the shortest path from s to v is simply *a known shortest path extended by a single edge.*

But there will typically be many single-edge extensions of the currently known shortest paths (Figure 4.10); which of these identifies v? The answer is, *the shortest of these extended paths*. Because, if an even shorter single-edge-extended path existed, this would once more contradict v's status as the node outside R closest to s. So, it's easy to find v: it is the node outside R for which the smallest value of distance$(s, u) + l(u, v)$ is attained, as u ranges over R. In other words, *try all single-edge extensions of the currently known shortest paths, find the shortest such extended path, and proclaim its endpoint to be the next node of R.*

We now have an algorithm for growing R by looking at extensions of the current set of shortest paths. Some extra efficiency comes from noticing that on any given iteration, the only *new* extensions are those involving the node most recently added to region R. All other extensions will have been assessed previously and do not need to be recomputed. In the following pseudocode, `dist`(v) is the length of the currently shortest single-edge-extended path leading to v; it is ∞ for nodes not adjacent to R.

```
Initialize dist(s) to 0, other dist(·) values to ∞
R = { } (the ''known region'')
while R ≠ V:
   Pick the node v ∉ R with smallest dist(·)
   Add v to R
   for all edges (v, z) ∈ E:
      if dist(z) > dist(v) + l(v, z):
         dist(z) = dist(v) + l(v, z)
```

Incorporating priority queue operations gives us back Dijkstra's algorithm (Figure 4.8).

To justify this algorithm formally, we would use a proof by induction, as with breadth-first search. Here's an appropriate inductive hypothesis.

> At the end of each iteration of the while loop, the following conditions hold: (1) there is a value d such that all nodes in R are at distance $\leq d$ from s and all nodes outside R are at distance $\geq d$ from s, and (2) for every node u, the value `dist`(u) is the length of the shortest path from s to u whose intermediate nodes are constrained to be in R (if no such path exists, the value is ∞).

The base case is straightforward (with $d = 0$), and the details of the inductive step can be filled in from the preceding discussion.

4.4.3 Running time

At the level of abstraction of Figure 4.8, Dijkstra's algorithm is structurally identical to breadth-first search. However, it is slower because the priority queue primitives are computationally more demanding than the constant-time `eject`'s and `inject`'s of BFS. Since `makequeue` takes at most as long as $|V|$ `insert` operations, we get a total of $|V|$ `deletemin` and $|V| + |E|$ `insert`/`decreasekey` operations. The time needed for these varies by implementation; for instance, a binary heap gives an overall running time of $O((|V| + |E|) \log |V|)$.

Which heap is best?

The running time of Dijkstra's algorithm depends heavily on the priority queue implementation used. Here are the typical choices.

Implementation	`deletemin`	`insert`/ `decreasekey`	$\|V\| \times$ `deletemin` + $(\|V\| + \|E\|) \times$ `insert`
Array	$O(\|V\|)$	$O(1)$	$O(\|V\|^2)$
Binary heap	$O(\log \|V\|)$	$O(\log \|V\|)$	$O((\|V\| + \|E\|) \log \|V\|)$
d-ary heap	$O(\frac{d \log \|V\|}{\log d})$	$O(\frac{\log \|V\|}{\log d})$	$O((\|V\| \cdot d + \|E\|)\frac{\log \|V\|}{\log d})$
Fibonacci heap	$O(\log \|V\|)$	$O(1)$ (amortized)	$O(\|V\| \log \|V\| + \|E\|)$

So for instance, even a naive array implementation gives a respectable time complexity of $O(|V|^2)$, whereas with a binary heap we get $O((|V| + |E|) \log |V|)$. Which is preferable?

This depends on whether the graph is *sparse* (has few edges) or *dense* (has lots of them). For all graphs, $|E|$ is less than $|V|^2$. If it is $\Omega(|V|^2)$, then clearly the array implementation is the faster. On the other hand, the binary heap becomes preferable as soon as $|E|$ dips below $|V|^2/\log |V|$.

The d-ary heap is a generalization of the binary heap (which corresponds to $d = 2$) and leads to a running time that is a function of d. The optimal choice is $d \approx |E|/|V|$; in other words, to optimize we must set the degree of the heap to be equal to the *average degree* of the graph. This works well for both sparse and dense graphs. For very sparse graphs, in which $|E| = O(|V|)$, the running time is $O(|V| \log |V|)$, as good as with a binary heap. For dense graphs, $|E| = \Omega(|V|^2)$ and the running time is $O(|V|^2)$, as good as with a linked list. Finally, for graphs with intermediate density $|E| = |V|^{1+\delta}$, the running time is $O(|E|)$, linear!

The last line in the table gives running times using a sophisticated data structure called a *Fibonacci heap*. Although its efficiency is impressive, this data structure requires considerably more work to implement than the others, and this tends to dampen its appeal in practice. We will say little about it except to mention a curious feature of its time bounds. Its `insert` operations take varying amounts of time but are guaranteed to *average* $O(1)$ over the course of the algorithm. In such situations (one of which we shall encounter in Chapter 5) we say that the *amortized* cost of heap `insert`'s is $O(1)$.

4.5 Priority queue implementations

4.5.1 Array

The simplest implementation of a priority queue is as an unordered array of key values for all potential elements (the vertices of the graph, in the case of Dijkstra's algorithm). Initially, these values are set to ∞.

An `insert` or `decreasekey` is fast, because it just involves adjusting a key value, an $O(1)$ operation. To `deletemin`, on the other hand, requires a linear-time scan of the list.

4.5.2 Binary heap

Here elements are stored in a *complete* binary tree, namely, a binary tree in which each level is filled in from left to right, and must be full before the next level is started. In addition, a special ordering constraint is enforced: *the key value of any node of the tree is less than or equal to that of its children*. In particular, therefore, the root always contains the smallest element. See Figure 4.11(a) for an example.

To `insert`, place the new element at the bottom of the tree (in the first available position), and let it "bubble up." That is, if it is smaller than its parent, swap the two and repeat (Figure 4.11(b)–(d)). The number of swaps is at most the height of the tree, which is $\lfloor \log_2 n \rfloor$ when there are n elements. A `decreasekey` is similar, except that the element is already in the tree, so we let it bubble up from its current position.

To `deletemin`, return the root value. To then remove this element from the heap, take the last node in the tree (in the rightmost position in the bottom row) and place it at the root. Let it "sift down": if it is bigger than either child, swap it with the smaller child and repeat (Figure 4.11(e)–(g)). Again this takes $O(\log n)$ time.

The regularity of a complete binary tree makes it easy to represent using an array. The tree nodes have a natural ordering: row by row, starting at the root and moving left to right within each row. If there are n nodes, this ordering specifies their positions $1, 2, \ldots, n$ within the array. Moving up and down the tree is easily simulated on the array, using the fact that node number j has parent $\lfloor j/2 \rfloor$ and children $2j$ and $2j + 1$ (Exercise 4.16).

4.5.3 d-ary heap

A d-ary heap is identical to a binary heap, except that nodes have d children instead of just two. This reduces the height of a tree with n elements to $\Theta(\log_d n) = \Theta((\log n)/(\log d))$. Inserts are therefore speeded up by a factor of $\Theta(\log d)$. Deletemin operations, however, take a little longer, namely $O(d \log_d n)$ (do you see why?).

The array representation of a binary heap is easily extended to the d-ary case. This time, node number j has parent $\lceil (j-1)/d \rceil$ and children $\{(j-1)d + 2, \ldots, \min\{n, (j-1)d + d + 1\}\}$ (Exercise 4.16).

Figure 4.11 (a) A binary heap with 10 elements. Only the key values are shown. (b)–(d) The intermediate "bubble-up" steps in inserting an element with key 7. (e)–(g) The "sift-down" steps in a delete-min operation.

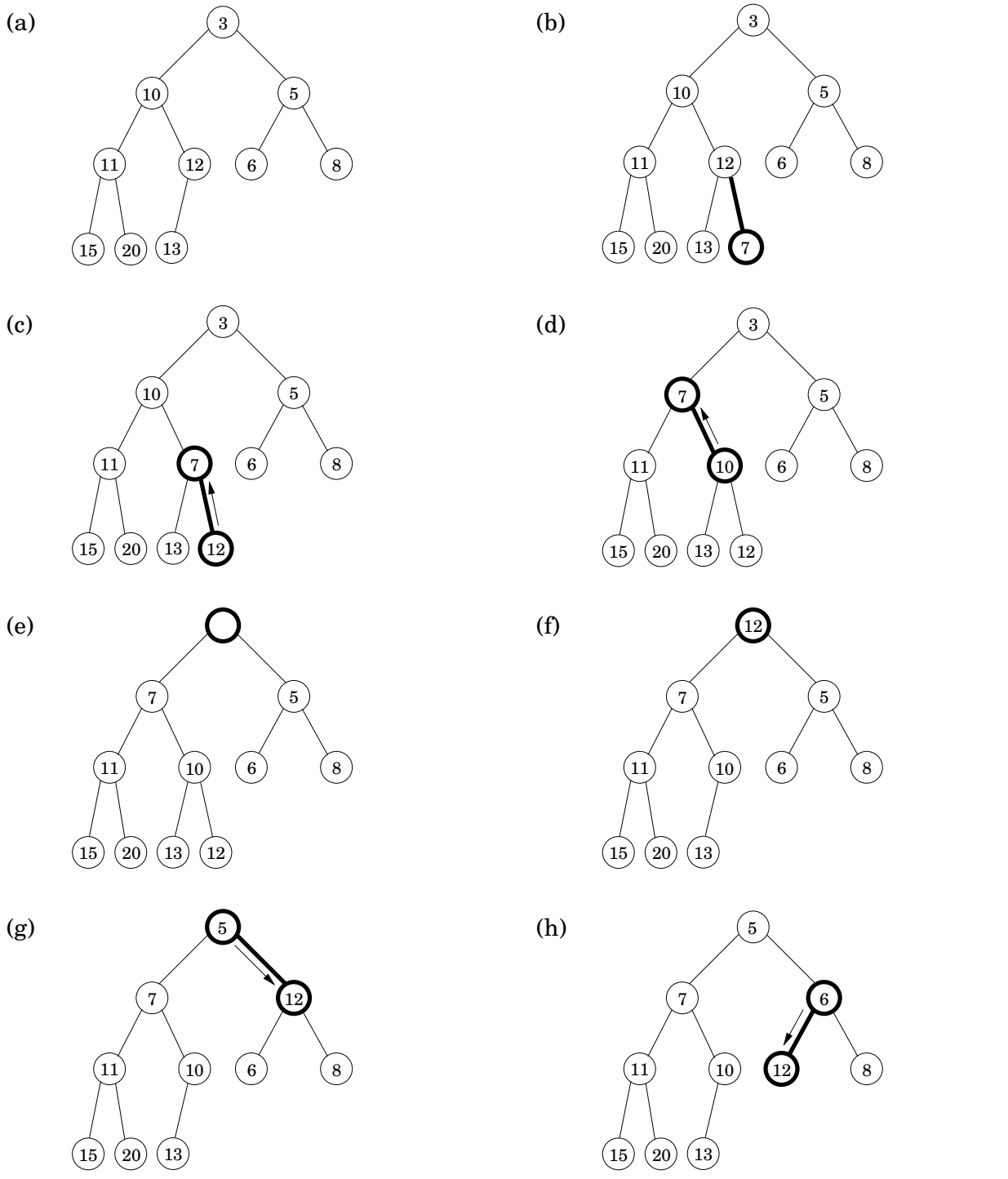
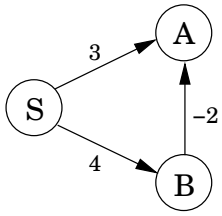


Figure 4.12 Dijkstra's algorithm will not work if there are negative edges.



4.6 Shortest paths in the presence of negative edges

4.6.1 Negative edges

Dijkstra's algorithm works in part because the shortest path from the starting point s to any node v must pass exclusively through nodes that are closer than v. This no longer holds when edge lengths can be negative. In Figure 4.12, the shortest path from S to A passes through B, a node that is further away!

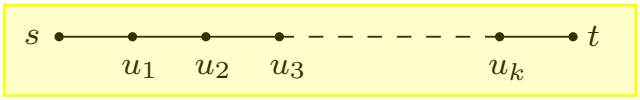
What needs to be changed in order to accommodate this new complication? To answer this, let's take a particular high-level view of Dijkstra's algorithm. A crucial invariant is that the `dist` values it maintains are always either overestimates or exactly correct. They start off at ∞, and the only way they ever change is by updating along an edge:

`procedure update`$((u, v) \in E)$
`dist`$(v) = \min\{$`dist`$(v),$ `dist`$(u) + l(u, v)\}$

This *update* operation is simply an expression of the fact that the distance to v cannot possibly be more than the distance to u, plus $l(u, v)$. It has the following properties.

1. It gives the correct distance to v in the particular case where u is the second-last node in the shortest path to v, and `dist`(u) is correctly set.
2. It will never make `dist`(v) too small, and in this sense it is *safe*. For instance, a slew of extraneous `update`'s can't hurt.

This operation is extremely useful: it is harmless, and if used carefully, will correctly set distances. In fact, Dijkstra's algorithm can be thought of simply as a sequence of `update`'s. We know this particular sequence doesn't work with negative edges, but is there some other sequence that does? To get a sense of the properties this sequence must possess, let's pick a node t and look at the shortest path to it from s.



This path can have at most $|V| - 1$ edges (do you see why?). If the sequence of updates performed includes $(s, u_1), (u_1, u_2), (u_2, u_3), \ldots, (u_k, t)$, *in that order* (though not necessarily consecutively), then by the first property the distance to t will be correctly computed. It doesn't

Figure 4.13 The Bellman-Ford algorithm for single-source shortest paths in general graphs.

```
procedure shortest-paths(G, l, s)
Input:    Directed graph G = (V, E);
          edge lengths {l_e : e ∈ E} with no negative cycles;
          vertex s ∈ V
Output:   For all vertices u reachable from s, dist(u) is set
          to the distance from s to u.

for all u ∈ V:
   dist(u) = ∞
   prev(u) = nil

dist(s) = 0
repeat |V| − 1 times:
   for all e ∈ E:
      update(e)
```

matter what other updates occur on these edges, or what happens in the rest of the graph, because updates are *safe*.

But still, if we don't know all the shortest paths beforehand, how can we be sure to update the right edges in the right order? Here is an easy solution: simply update *all* the edges, $|V| - 1$ times! The resulting $O(|V| \cdot |E|)$ procedure is called the Bellman-Ford algorithm and is shown in Figure 4.13, with an example run in Figure 4.14.

A note about implementation: for many graphs, the maximum number of edges in any shortest path is substantially less than $|V| - 1$, with the result that fewer rounds of updates are needed. Therefore, it makes sense to add an extra check to the shortest-path algorithm, to make it terminate immediately after any round in which no update occurred.

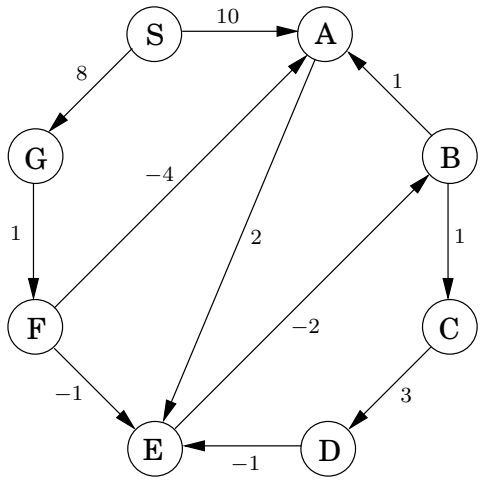
4.6.2 Negative cycles

If the length of edge (E, B) in Figure 4.14 were changed to -4, the graph would have a *negative cycle* $A \to E \to B \to A$. In such situations, it doesn't make sense to even ask about shortest paths. There is a path of length 2 from A to E. But going round the cycle, there's also a path of length 1, and going round multiple times, we find paths of lengths $0, -1, -2$, and so on.

The shortest-path problem is ill-posed in graphs with negative cycles. As might be expected, our algorithm from Section 4.6.1 works only in the absence of such cycles. But where did this assumption appear in the derivation of the algorithm? Well, it slipped in when we asserted the *existence* of a shortest path from s to t.

Fortunately, it is easy to automatically detect negative cycles and issue a warning. Such a cycle would allow us to endlessly apply rounds of `update` operations, reducing `dist` estimates every time. So instead of stopping after $|V| - 1$ iterations, perform one extra round. There is a negative cycle if and only if some `dist` value is reduced during this final round.

Figure 4.14 The Bellman-Ford algorithm illustrated on a sample graph.



	Iteration							
Node	0	1	2	3	4	5	6	7
S	0	0	0	0	0	0	0	0
A	∞	10	10	5	5	5	5	5
B	∞	∞	∞	10	6	5	5	5
C	∞	∞	∞	∞	11	7	6	6
D	∞	∞	∞	∞	∞	14	10	9
E	∞	∞	12	8	7	7	7	7
F	∞	∞	9	9	9	9	9	9
G	∞	8	8	8	8	8	8	8

4.7 Shortest paths in dags

There are two subclasses of graphs that automatically exclude the possibility of negative cycles: graphs without negative edges, and graphs without cycles. We already know how to efficiently handle the former. We will now see how the single-source shortest-path problem can be solved in just linear time on directed acyclic graphs.

As before, we need to perform a sequence of updates that includes every shortest path as a subsequence. The key source of efficiency is that

> In any path of a dag, the vertices appear in increasing linearized order.

Therefore, it is enough to linearize (that is, topologically sort) the dag by depth-first search, and then visit the vertices in sorted order, updating the edges out of each. The algorithm is given in Figure 4.15.

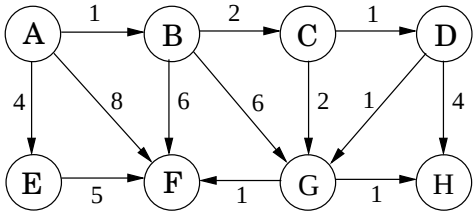
Notice that our scheme doesn't require edges to be positive. In particular, we can find *longest paths* in a dag by the same algorithm: just negate all edge lengths.

Figure 4.15 A single-source shortest-path algorithm for directed acyclic graphs.

```
procedure dag-shortest-paths(G, l, s)
Input:    Dag G = (V, E);
          edge lengths {l_e : e ∈ E}; vertex s ∈ V
Output:   For all vertices u reachable from s, dist(u) is set
          to the distance from s to u.

for all u ∈ V:
   dist(u) = ∞
   prev(u) = nil

dist(s) = 0
Linearize G
for each u ∈ V, in linearized order:
   for all edges (u, v) ∈ E:
      update(u, v)
```

Exercises

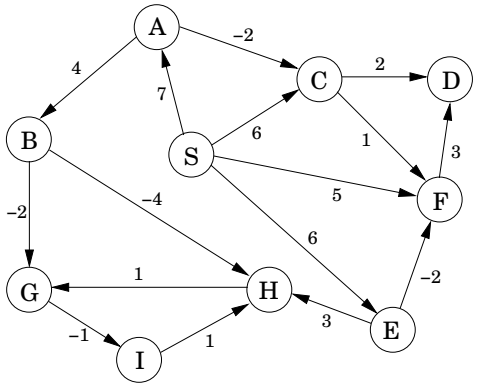
4.1. Suppose Dijkstra's algorithm is run on the following graph, starting at node A.



(a) Draw a table showing the intermediate distance values of all the nodes at each iteration of the algorithm.

(b) Show the final shortest-path tree.

4.2. Just like the previous problem, but this time with the Bellman-Ford algorithm.



4.3. *Squares.* Design and analyze an algorithm that takes as input an undirected graph $G = (V, E)$ and determines whether G contains a simple cycle (that is, a cycle which doesn't intersect itself) of length four. Its running time should be at most $O(|V|^3)$.

You may assume that the input graph is represented either as an adjacency matrix or with adjacency lists, whichever makes your algorithm simpler.

4.4. Here's a proposal for how to find the length of the shortest cycle in an undirected graph with unit edge lengths.

> When a back edge, say (v, w), is encountered during a depth-first search, it forms a cycle with the tree edges from w to v. The length of the cycle is level$[v]$ − level$[w] + 1$, where the level of a vertex is its distance in the DFS tree from the root vertex. This suggests the following algorithm:
>
> - Do a depth-first search, keeping track of the level of each vertex.
> - Each time a back edge is encountered, compute the cycle length and save it if it is smaller than the shortest one previously seen.

Show that this strategy does not always work by providing a counterexample as well as a brief (one or two sentence) explanation.

4.5. Often there are multiple shortest paths between two nodes of a graph. Give a linear-time algorithm for the following task.

> *Input:* Undirected graph $G = (V, E)$ with unit edge lengths; nodes $u, v \in V$.
> *Output:* The number of distinct shortest paths from u to v.

4.6. Prove that for the array `prev` computed by Dijkstra's algorithm, the edges $\{u, \texttt{prev}[u]\}$ (for all $u \in V$) form a tree.

4.7. You are given a directed graph $G = (V, E)$ with (possibly negative) weighted edges, along with a specific node $s \in V$ and a tree $T = (V, E'), E' \subseteq E$. Give an algorithm that checks whether T is a shortest-path tree for G with starting point s. Your algorithm should run in linear time.

4.8. Professor F. Lake suggests the following algorithm for finding the shortest path from node s to node t in a directed graph with some negative edges: add a large constant to each edge weight so that all the weights become positive, then run Dijkstra's algorithm starting at node s, and return the shortest path found to node t.

Is this a valid method? Either prove that it works correctly, or give a counterexample.

4.9. Consider a directed graph in which the only negative edges are those that leave s; all other edges are positive. Can Dijkstra's algorithm, started at s, fail on such a graph? Prove your answer.

4.10. You are given a directed graph with (possibly negative) weighted edges, in which the shortest path between any two vertices is guaranteed to have at most k edges. Give an algorithm that finds the shortest path between two vertices u and v in $O(k|E|)$ time.

4.11. Give an algorithm that takes as input a directed graph with positive edge lengths, and returns the length of the shortest cycle in the graph (if the graph is acyclic, it should say so). Your algorithm should take time at most $O(|V|^3)$.

4.12. Give an $O(|V|^2)$ algorithm for the following task.

> *Input:* An undirected graph $G = (V, E)$; edge lengths $l_e > 0$; an edge $e \in E$.
> *Output:* The length of the shortest cycle containing edge e.

4.13. You are given a set of cities, along with the pattern of highways between them, in the form of an undirected graph $G = (V, E)$. Each stretch of highway $e \in E$ connects two of the cities, and you know its length in miles, l_e. You want to get from city s to city t. There's one problem: your car can only hold enough gas to cover L miles. There are gas stations in each city, but not between cities. Therefore, you can only take a route if every one of its edges has length $l_e \leq L$.

(a) Given the limitation on your car's fuel tank capacity, show how to determine in linear time whether there is a feasible route from s to t.

(b) You are now planning to buy a new car, and you want to know the minimum fuel tank capacity that is needed to travel from s to t. Give an $O((|V| + |E|) \log |V|)$ algorithm to determine this.

4.14. You are given a strongly connected directed graph $G = (V, E)$ with positive edge weights along with a particular node $v_0 \in V$. Give an efficient algorithm for finding shortest paths between *all pairs of nodes*, with the one restriction that these paths must all pass through v_0.

4.15. Shortest paths are not always unique: sometimes there are two or more different paths with the minimum possible length. Show how to solve the following problem in $O((|V| + |E|) \log |V|)$ time.

Input: An undirected graph $G = (V, E)$; edge lengths $l_e > 0$; starting vertex $s \in V$.
Output: A Boolean array `usp`$[\cdot]$: for each node u, the entry `usp`$[u]$ should be `true` if and only if there is a *unique* shortest path from s to u. (Note: `usp`$[s] =$ `true`.)

Figure 4.16 Operations on a binary heap.

```
procedure insert(h, x)
bubbleup(h, x, |h| + 1)

procedure decreasekey(h, x)
bubbleup(h, x, h^{-1}(x))

function deletemin(h)
if |h| = 0:
    return null
else:
    x = h(1)
    siftdown(h, h(|h|), 1)
    return x

function makeheap(S)
h = empty array of size |S|
for x ∈ S:
    h(|h| + 1) = x
for i = |S| downto 1:
    siftdown(h, h(i), i)
return h

procedure bubbleup(h, x, i)
(place element x in position i of h, and let it bubble up)
p = ⌈i/2⌉
while i ≠ 1 and key(h(p)) > key(x):
    h(i) = h(p);  i = p;  p = ⌈i/2⌉
h(i) = x

procedure siftdown(h, x, i)
(place element x in position i of h, and let it sift down)
c = minchild(h, i)
while c ≠ 0 and key(h(c)) < key(x):
    h(i) = h(c);  i = c;  c = minchild(h, i)
h(i) = x

function minchild(h, i)
(return the index of the smallest child of h(i))
if 2i > |h|:
    return 0 (no children)
else:
    return arg min{key(h(j)) : 2i ≤ j ≤ min{|h|, 2i + 1}}
```

4.16. Section 4.5.2 describes a way of storing a complete binary tree of n nodes in an array indexed by $1, 2, \ldots, n$.

(a) Consider the node at position j of the array. Show that its parent is at position $\lfloor j/2 \rfloor$ and its children are at $2j$ and $2j + 1$ (if these numbers are $\leq n$).

(b) What the corresponding indices when a complete d-ary tree is stored in an array?

Figure 4.16 shows pseudocode for a binary heap, modeled on an exposition by R.E. Tarjan.[2] The heap is stored as an array h, which is assumed to support two constant-time operations:

- $|h|$, which returns the number of elements currently in the array;
- h^{-1}, which returns the position of an element within the array.

The latter can always be achieved by maintaining the values of h^{-1} as an auxiliary array.

(c) Show that the `makeheap` procedure takes $O(n)$ time when called on a set of n elements. What is the worst-case input? (*Hint:* Start by showing that the running time is at most $\sum_{i=1}^{n} \log(n/i)$.)

(a) What needs to be changed to adapt this pseudocode to d-ary heaps?

4.17. Suppose we want to run Dijkstra's algorithm on a graph whose edge weights are integers in the range $0, 1, \ldots, W$, where W is a relatively small number.

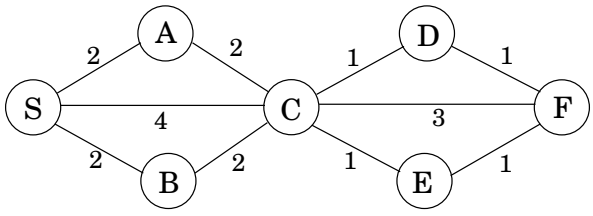
(a) Show how Dijkstra's algorithm can be made to run in time $O(W|V| + |E|)$.

(b) Show an alternative implementation that takes time just $O((|V| + |E|) \log W)$.

4.18. In cases where there are several different shortest paths between two nodes (and edges have varying lengths), the most convenient of these paths is often *the one with fewest edges*. For instance, if nodes represent cities and edge lengths represent costs of flying between cities, there might be many ways to get from city s to city t which all have the same cost. The most convenient of these alternatives is the one which involves the fewest stopovers. Accordingly, for a specific starting node s, define

$$\texttt{best}[u] = \text{minimum number of edges in a shortest path from } s \text{ to } u.$$

In the example below, the `best` values for nodes S, A, B, C, D, E, F are $0, 1, 1, 1, 2, 2, 3$, respectively.



Give an efficient algorithm for the following problem.

Input: Graph $G = (V, E)$; positive edge lengths l_e; starting node $s \in V$.
Output: The values of `best`$[u]$ should be set for *all* nodes $u \in V$.

[2] See: R. E. Tarjan, *Data Structures and Network Algorithms*, Society for Industrial and Applied Mathematics, 1983.

4.19. *Generalized shortest-paths problem.* In Internet routing, there are delays on lines but also, more significantly, delays at routers. This motivates a generalized shortest-paths problem.

Suppose that in addition to having edge lengths $\{l_e : e \in E\}$, a graph also has *vertex costs* $\{c_v : v \in V\}$. Now define the cost of a path to be the sum of its edge lengths, *plus* the costs of all vertices on the path (including the endpoints). Give an efficient algorithm for the following problem.

> *Input:* A directed graph $G = (V, E)$; positive edge lengths l_e and positive vertex costs c_v; a starting vertex $s \in V$.
>
> *Output:* An array $\texttt{cost}[\cdot]$ such that for every vertex u, $\texttt{cost}[u]$ is the least cost of any path from s to u (i.e., the cost of the cheapest path), under the definition above.

Notice that $\texttt{cost}[s] = c_s$.

4.20. There is a network of roads $G = (V, E)$ connecting a set of cities V. Each road in E has an associated length l_e. There is a proposal to add one new road to this network, and there is a list E' of pairs of cities between which the new road can be built. Each such potential road $e' \in E'$ has an associated length. As a designer for the public works department you are asked to determine the road $e' \in E'$ whose addition to the existing network G would result in the maximum decrease in the driving distance between two fixed cities s and t in the network. Give an efficient algorithm for solving this problem.

4.21. Shortest path algorithms can be applied in currency trading. Let $c_1, c_2, \ldots, c_n$ be various currencies; for instance, c_1 might be dollars, c_2 pounds, and c_3 lire. For any two currencies c_i and c_j, there is an exchange rate $r_{i,j}$; this means that you can purchase $r_{i,j}$ units of currency c_j in exchange for one unit of c_i. These exchange rates satisfy the condition that $r_{i,j} \cdot r_{j,i} < 1$, so that if you start with a unit of currency c_i, change it into currency c_j and then convert back to currency c_i, you end up with less than one unit of currency c_i (the difference is the cost of the transaction).

(a) Give an efficient algorithm for the following problem: Given a set of exchange rates $r_{i,j}$, and two currencies s and t, find the most advantageous sequence of currency exchanges for converting currency s into currency t. Toward this goal, you should represent the currencies and rates by a graph whose edge lengths are real numbers.

The exchange rates are updated frequently, reflecting the demand and supply of the various currencies. Occasionally the exchange rates satisfy the following property: there is a sequence of currencies $c_{i_1}, c_{i_2}, \ldots, c_{i_k}$ such that $r_{i_1,i_2} \cdot r_{i_2,i_3} \cdots r_{i_{k-1},i_k} \cdot r_{i_k,i_1} > 1$. This means that by starting with a unit of currency c_{i_1} and then successively converting it to currencies $c_{i_2}, c_{i_3}, \ldots, c_{i_k}$, and finally back to c_{i_1}, you would end up with more than one unit of currency c_{i_1}. Such anomalies last only a fraction of a minute on the currency exchange, but they provide an opportunity for risk-free profits.

(b) Give an efficient algorithm for detecting the presence of such an anomaly. Use the graph representation you found above.

4.22. *The tramp steamer problem.* You are the owner of a steamship that can ply between a group of port cities V. You make money at each port: a visit to city i earns you a profit of p_i dollars. Meanwhile, the transportation cost from port i to port j is $c_{ij} > 0$. You want to find a cyclic route in which the ratio of profit to cost is maximized.

To this end, consider a directed graph $G = (V, E)$ whose nodes are ports, and which has edges between each pair of ports. For any cycle C in this graph, the profit-to-cost ratio is

$$r(C) \;=\; \frac{\sum_{(i,j)\in C} p_j}{\sum_{(i,j)\in C} c_{ij}}.$$

Let r^* be the maximum ratio achievable by a simple cycle. One way to determine r^* is by binary search: by first guessing some ratio r, and then testing whether it is too large or too small.

Consider any positive $r > 0$. Give each edge (i, j) a weight of $w_{ij} = rc_{ij} - p_j$.

(a) Show that if there is a cycle of negative weight, then $r < r^*$.

(b) Show that if all cycles in the graph have strictly positive weight, then $r > r^*$.

(c) Give an efficient algorithm that takes as input a desired accuracy $\epsilon > 0$ and returns a simple cycle C for which $r(C) \geq r^* - \epsilon$. Justify the correctness of your algorithm and analyze its running time in terms of $|V|$, ϵ, and $R = \max_{(i,j)\in E}(p_j/c_{ij})$.