

Hoare's logic revisited

TINY

Generalising

Rather than just working with **Int**, consider an arbitrary underlying data type given by:

- Σ : an algebraic signature with sort *Bool* and boolean constants and connectives
- \mathcal{A} : a Σ -structure with the boolean part interpreted in the standard way

TINY _{\mathcal{A}}

Syntax: As in TINY, except that:

- Σ -terms used instead of integer expressions
- variables classified by the sorts of Σ , assignments allowed only when the sorts of the variable and the term coincide
- Σ -terms of sort *Bool* used instead of boolean expressions

Semantic domains: As in TINY, except with a modified notion of state:

$$\text{State}_{\mathcal{A}} = \text{Var} \rightarrow |\mathcal{A}|$$

(with variables and their values classified by the sorts of Σ)

Semantic functions: As in TINY, except that referring to \mathcal{A} for interpretation of the operations on $|\mathcal{A}|$.

Hoare's logic

$$\{\varphi\} S \{\psi\}$$

— — — *as before* — — —

For instance

- add the following to the original signature Σ for TINY:

sorts $Array$;
opns $newarr: Array$;
 $put: Array \times Int \times Int \rightarrow Array$;
 $get: Array \times Int \rightarrow Int$;

- and expand the original algebra \mathcal{A} for TINY as follows:

carriers $\mathcal{A}_{Array} = Int \rightarrow Int$
operations $newarr_{\mathcal{A}}(j) = 0$
 $put_{\mathcal{A}}(a, i, n) = a[i \mapsto n]$
 $get_{\mathcal{A}}(a, i) = a(i)$

Example

```
{a:Array ∧ 0 ≤ n}
  m := 0;
  while {0 ≤ m ≤ n ∧ is-sorted(a, 0, m)} m + 1 ≤ n do
    m := m + 1; k := m;
    while {0 ≤ k ≤ m ≤ n ∧ is-nearly-sorted(a, 0, k, m)} 1 ≤ k do
      k := k - 1;
      if get(a, k) ≤ get(a, k + 1) then k := 0
      else x := get(a, k + 1); a := put(a, k + 1, get(a, k)); a := put(a, k, x)
    {is-sorted(a, 0, n)}
```

where:

$$\begin{aligned} is\text{-sorted}(a, i, j) &\equiv a:\text{Array} \wedge \forall i', j': \text{Int}. i \leq i' \leq j' \leq j \Rightarrow get(a, i') \leq get(a, j') \\ is\text{-nearly-sorted}(a, i, k, j) &\equiv is\text{-sorted}(a, i, k - 1) \wedge is\text{-sorted}(a, k, j) \wedge \\ &\quad \forall i', j': \text{Int}. (i \leq i' \leq k - 1 \wedge k + 1 \leq j' \leq j) \Rightarrow get(a, i') \leq get(a, j') \end{aligned}$$

Hoare's logic: proof rules

— — — *as before* — — —

$$\frac{}{\{\varphi[x \mapsto e]\} x := e \{\varphi\}}$$

$$\frac{}{\{\varphi\} \text{skip} \{\varphi\}}$$

$$\frac{\{\varphi\} S_1 \{\theta\} \quad \{\theta\} S_2 \{\psi\}}{\{\varphi\} S_1; S_2 \{\psi\}}$$

$$\frac{\{\varphi \wedge b\} S_1 \{\psi\} \quad \{\varphi \wedge \neg b\} S_2 \{\psi\}}{\{\varphi\} \text{if } b \text{ then } S_1 \text{ else } S_2 \{\psi\}}$$

$$\frac{\{\varphi \wedge b\} S \{\varphi\}}{\{\varphi\} \text{while } b \text{ do } S \{\varphi \wedge \neg b\}}$$

$$\frac{\varphi' \Rightarrow \varphi \quad \{\varphi\} S \{\psi\} \quad \psi \Rightarrow \psi'}{\{\varphi'\} S \{\psi'\}}$$

Soundness

Fact: *Hoare's proof calculus is **sound**, that is:*

if $\mathcal{TH}(\mathcal{A}) \vdash \{\varphi\} S \{\psi\}$ *then* $\models_{\mathcal{A}} \{\varphi\} S \{\psi\}$

Proof

— — — *as before* — — —

Toward completeness

We have to ensure that all the assertions necessary in the proofs may be formulated in the assertion logic.

Given $S \in \mathbf{Stmt}_\Sigma$ and $\psi \in \mathbf{Form}_\Sigma$, define:

$$wpre_{\mathcal{A}}(S, \psi) = \{s \in \mathbf{State}_{\mathcal{A}} \mid \text{if } \mathcal{S}_{\mathcal{A}}[S] \ s = s' \in \mathbf{State}_{\mathcal{A}} \text{ then } \mathcal{F}_{\mathcal{A}}[\psi] \ s' = \mathbf{tt}\}$$

Definition: First-order logic is *expressive* over \mathcal{A} for $\mathbf{TINY}_{\mathcal{A}}$ (\mathcal{A} is expressive) if for all $S \in \mathbf{Stmt}_\Sigma$ and $\psi \in \mathbf{Form}_\Sigma$, there exists the *weakest liberal precondition* for S and ψ , that is, a formula $\varphi_0 \in \mathbf{Form}_\Sigma$ such that

$$\{\varphi_0\}_{\mathcal{A}} = wpre_{\mathcal{A}}(S, \psi)$$

Relative completeness of Hoare's logic

(completeness in the sense of Cook)

Fact: If \mathcal{A} is expressive then Hoare's proof calculus is sound and relatively complete, that is:

$$\mathcal{TH}(\mathcal{A}) \vdash \{\varphi\} S \{\psi\} \quad \text{iff} \quad \models_{\mathcal{A}} \{\varphi\} S \{\psi\}$$

Proof: By structural induction on S . In fact: given expressivity and arbitrary use of facts from $\mathcal{TH}(\mathcal{A})$, all the cases go through easily!

Fact: \mathcal{A} is expressive if and only if either the standard model of Peano arithmetic is definable in \mathcal{A} , or for each $S \in \mathbf{Stmt}_{\Sigma}$, there is a finite bound on the number of states reached in any computation of S .

Beyond TINY

Procedures: Given **proc** p **is** (S_p) :

$$\frac{\{\varphi\} \text{call } p \{\psi\} \vdash \{\varphi\} S_p \{\psi\}}{\{\varphi\} \text{call } p \{\psi\}}$$

Not quite good enough; requires additional rules to manipulate auxiliary variables to ensure relative completeness

Variables: Given a fresh variable y :

$$\frac{\{\varphi \wedge y = ??\} S[x \mapsto y] \{\psi\}}{\{\varphi\} \text{begin var } x \text{ } S \text{ end } \{\psi\}}$$

etc...

But there are limits...

Fact: *There exists no Hoare's proof system which is sound and relatively complete in the sense of Cook for a programming language which admits recursive procedures with procedure parameters, local procedures and global variables with static binding.*

Key to the proof:

Fact: *The halting problem is undecidable for programs of such a language even for finite data types \mathcal{A} (with at least two elements).*

Total correctness revisited

What about $\text{TINY}_{\mathcal{A}}$?

GOOD NEWS:

Proving termination using well-founded relations works as before!

Still, recall the basic rule:

$$\frac{(\text{nat}(l) \wedge \varphi(l+1)) \Rightarrow b \quad [\text{nat}(l) \wedge \varphi(l+1)] S [\varphi(l)] \quad \varphi(0) \Rightarrow \neg b}{[\exists l. \text{nat}(l) \wedge \varphi(l)] \text{ while } b \text{ do } S [\varphi(0)]}$$

Problem?

Given a signature Σ , let Σ^+ be its extension by the language of (Peano) arithmetic: predicates $nat(-)$ and $- \leq -$, constants $0, 1$, operations $- + -$, $- - -$, $- * -$.

Let \mathcal{A} be a Σ^+ -structure; assume that the interpretation of $nat(-)$ in \mathcal{A} is closed under the arithmetical constants and operations as expected.

Even then:

the loop rule need not be sound for $\text{TINY}_{\mathcal{A}}$

For instance, we will typically get:

$\mathcal{TH}(\mathcal{A}) \vdash [nat(x)] \text{ while } x > 0 \text{ do } x := x - 1 [\text{true}]$

Serious trouble?

BUT: This is not valid for instance if \mathcal{A} is a non-standard model of arithmetic.

Soundness and completeness

A Σ^+ -structure \mathcal{A} is *arithmetical* if the interpretations in \mathcal{A} of the arithmetical operations and predicates restricted to those elements $n \in |\mathcal{A}|$ for which $\text{nat}(n)$ holds in \mathcal{A} form *the standard model of arithmetic*.

Fact: If \mathcal{A} is arithmetical then

if $\mathcal{TH}(\mathcal{A}) \vdash [\varphi] S [\psi]$ then $\models_{\mathcal{A}} [\varphi] S [\psi]$

Soundness

If moreover, finite sequences of elements in $|\mathcal{A}|$ can be encoded using a formula as a single element in $|\mathcal{A}|$, then

$\mathcal{TH}(\mathcal{A}) \vdash [\varphi] S [\psi]$ iff $\models_{\mathcal{A}} [\varphi] S [\psi]$

Soundness
&
completeness