

# Methodology for Assessing the State of the Practice for Domain X

**Spencer Smith**

McMaster University, Canada  
[smiths@mcmaster.ca](mailto:smiths@mcmaster.ca)

**Jacques Carette**

McMaster University, Canada  
[carette@mcmaster.ca](mailto:carette@mcmaster.ca)

**Olu Owojaiye**

McMaster University, Canada  
[owojaiyo@mcmaster.ca](mailto:owojaiyo@mcmaster.ca)

**Peter Michalski**

McMaster University, Canada  
[michap@mcmaster.ca](mailto:michap@mcmaster.ca)

**Ao Dong**

McMaster University, Canada  
[donga9@mcmaster.ca](mailto:donga9@mcmaster.ca)

---

## Abstract

...

**2012 ACM Subject Classification** Author: Please fill in 1 or more `\ccsdesc` macro

**Keywords and phrases** Author: Please fill in `\keywords` macro

## Contents

<b>1</b>	<b>Introduction</b>	<b>2</b>
<b>2</b>	<b>Research Questions</b>	<b>3</b>
<b>3</b>	<b>Overview of Steps in Assessing Quality of the Domain Software</b>	<b>3</b>
<b>4</b>	<b>How to Identify the Domain</b>	<b>4</b>
<b>5</b>	<b>How to Identify Candidate Software</b>	<b>4</b>
<b>6</b>	<b>How to Initially Filter the Software List</b>	<b>4</b>
<b>7</b>	<b>Domain Analysis</b>	<b>5</b>
<b>8</b>	<b>Empirical Measures</b>	<b>5</b>
8.1	Raw Data . . . . .	5
8.2	Processed Data . . . . .	5
8.3	Tool Tests . . . . .	6
8.3.1	git-stats . . . . .	6
8.3.2	git-stats . . . . .	6
8.3.3	git-of-theseus . . . . .	6
8.3.4	hercules . . . . .	6
8.3.5	git-repo-analysis . . . . .	6
8.3.6	HubListener . . . . .	6

8.3.7 gitinspector . . . . .	7
<b>9 Measure Using Shallow Measurement Template</b>	<b>7</b>
<b>10 Analytic Hierarchy Process</b>	<b>7</b>
<b>11 Rank Short List</b>	<b>8</b>
<b>12 Quality Specific Measures</b>	<b>8</b>
12.1 Installability [owner —OO] . . . . .	8
12.2 Correctness [owner —OO] . . . . .	8
12.3 Verifiability/Testability [owner —OO] . . . . .	8
12.4 Validatability [owner —OO] . . . . .	8
12.5 Reliability [owner —OO] . . . . .	8
12.6 Robustness [owner —PM] . . . . .	8
12.7 Performance [owner —PM] . . . . .	8
12.8 Usability [owner —JC] . . . . .	8
12.9 Maintainability [owner —PM] . . . . .	8
12.10 Reusability [owner —PM] . . . . .	8
12.11 Portability [owner —PM] . . . . .	8
12.12 Understandability [owner —JC] . . . . .	8
12.13 Interoperability [owner —AD] . . . . .	8
12.14 Visibility/Transparency [owner —AD] . . . . .	8
12.15 Reproducibility [owner —SS] . . . . .	8
12.16 Productivity [owner —AD] . . . . .	8
12.17 Sustainability [owner —SS] . . . . .	8
12.18 Completeness [owner —AD] . . . . .	8
12.19 Consistency [owner —AD] . . . . .	8
12.20 Modifiability [owner —JC] . . . . .	8
12.21 Traceability [owner —JC] . . . . .	8
12.22 Unambiguity [owner —SS] . . . . .	8
12.23 Verifiability [owner —SS] . . . . .	8
12.24 Abstract [owner —SS] . . . . .	8
<b>13 Using Data to Rank Family Members</b>	<b>8</b>
<b>A Appendix</b>	<b>9</b>
A.1 Survey for the Selected Projects . . . . .	9
A.1.1 Information about the developers and users . . . . .	9
A.1.2 Information about the software . . . . .	9

## 1 Introduction

Purpose and scope of the document. [Needs to be filled in. Should reference the overall research proposal, and the “state of the practice” exercise in particular. Reference questions we are trying to answer. —SS]

## 2 Research Questions

In general questions:

1. Comparison between domains
2. How to measure qualities
3. How does the quality compare for projects with the most resources to those with the fewest?
4. What skills/knowledge are needed by future developers?
5. How can the development process be improved?
6. What are the common pain points?

For each domain questions”

1. Best examples within the domain
2. What software artifacts?
3. What are the pain points?
4. Any advice on what can be done about the pain points?

Measure the effort invested and the reward. Related to sustainability.

Collect the data and see what conclusions follow. For an individual domain, between domains. The process isn't so much about ranking the software as it is about looking at the software closely and see what conclusions arise. The measurements are intended to force scrutiny, from different perspectives.

## 3 Overview of Steps in Assessing Quality of the Domain Software

1. Start with state of practice research questions. (Section 2) [To be completed —PM]
2. Identify the domain. (Section 4) [To be reviewed —PM]
3. *Domain Experts*: Create a top ten list of software packages in the domain. (Meeting Agenda with Domain Experts)
4. Brief the Domain Experts on the overall objective, research proposal, research questions, measurement template, survey for short list projects, usability tests, performance benchmarks, maintainability experiments. (Meeting Agenda with Domain Experts)
5. Identify broad list of candidate software packages in the domain. (Section 5) [To be reviewed —PM]
6. Preliminary filter of software packages list. (Section 6) [To be reviewed —PM]
7. *Domain Experts*: Review domain software list. (Meeting Agenda with Domain Experts)
8. Domain Analysis. (Section 7)[To be completed —PM]
9. *Domain Experts*: Vet domain analysis. (Meeting Agenda with Domain Experts) [This was part of the original Steps in Assessing Quality list. We need to add it to the Meeting Agenda —PM]
10. Gather source code and documentation for each prospective software package.
11. Collect empirical measures. (Section 8) [To be completed - go over how to clean this up in meeting, or through an issue —PM]
12. Measure using “shallow” measurement template. (Section 9) [To be reviewed —PM]
13. Use AHP process to rank the software packages. (Section 10) [To be reviewed —PM]
14. Identify a short list of top software packages, typically four to six, for deeper exploration according to the AHP rankings of the shallow measurements.

15. *Domain Experts*: Vet AHP ranking and short list. (Meeting Agenda with Domain Experts) [This was part of the original Steps in Assessing Quality list. We need to add it to the Meeting Agenda —PM]
16. With short list:
  - a. Survey developers (Questions to Developers)
  - b. Usability experiments (User Experiments)
  - c. Performance benchmarks[note: this is still in consideration —PM]
  - d. Maintainability experiments[note: this is still in consideration —PM]
17. Rank short list. (Section 11) [To be completed —PM]
18. Document answers for research questions.

[The domain expert is involved in multiple steps in the process. How best to get their feedback? The domain experts are busy and are unlikely to devote significant time to the project. We need to quickly get to the point. Maybe something around task based inspection? Directed interview? —SS]

#### 4 How to Identify the Domain

1. The domain must fall within the research software scope.
2. The domain must be specific.
3. The domain must be well understood.
4. There must be a community of people studying the domain.
5. There must to be a variety of software solutions for the domain.
6. These software solutions must have a user community.

#### 5 How to Identify Candidate Software

The candidate software can be found through search engine queries and domain related publications. The candidate software should have the following properties:

1. Major function(s) must fall within the identified domain.
2. Must have viewable source code.
3. Ideally have a git repository or ability to gather empirical measures found in Section 8.

#### 6 How to Initially Filter the Software List

The initial list of candidate software should be filtered using the following properties:

1. Organization - The software and any related documentation should appear to be easy to gather and understand.
2. Available documentation - The purpose of the software and the installation and usage procedures should appear to be moderately clear or easy to find.
3. Status - The software cannot be marked as incomplete or in an initial development phase.

Copies of both the initial and filtered lists should be kept for traceability purposes.

## 7 Domain Analysis

Commonality analysis. Follow as for mesh generator (likely with less detail). Final result will be tables of commonalities, variabilities and parameters of variation.

Commonality analysis document Steps:

1. Introduction
2. Overview of Domain
3. Add Commonalities - Split into simulation, input, output, and nonfunctional requirements
4. Add Variabilities - Split into simulation, input, output, system constraints, and nonfunctional requirements
5. Add Parameters of Variation - Split into simulation, input, output, system constraints, and nonfunctional requirements
6. Add Terminology, Definitions, Acronyms

Commonality analysis for Lattice Boltzmann Solvers can be found [here](#).

## 8 Empirical Measures

### 8.1 Raw Data

Measures that can be extracted from on-line repos.

[\[Still at brainstorm stage. —AD\]](#)

- number of contributors
- number of watches
- number of stars
- number of forks
- number of clones
- number of commits
- number of total/code/document files
- lines of total/logical/comment code
- lines/pages of documents (can pdf be extracted?)
- number of total/open/closed/merged pull requests
- number of total/open/closed issues
- number of total/open/closed issues with assignees

Instead of only focus on the current status of the above numbers, we may find the time history of them to be more valuable. For example, the number of contributors over time, the number of lines of code over time, the number of open issues over time, etc.

### 8.2 Processed Data

Metrics that can be calculated from the raw data.

[\[Still at brainstorm stage. —AD\]](#)

- percentage of total/open/closed issues with assignees - Visibility/Transparency
- lines of new code produced per person-month - Productivity
- lines/pages of new documents produced per person-month - Productivity
- number of issues closed per person-month - Productivity
- percentage of comment lines in the code - maintainability [\[Not Ao's qualities —AD\]](#)

In the above calculations, a month can be determined to be 30 days.

### 8.3 Tool Tests

[This section is currently a note of unorganized contents. Most parts will be removed or relocated. —AD]

[This citation needs to be deleted later. It's here because my compiler doesn't work with 0 citations —AD] Emms [2019]

Most tests were done targeting to the repo of 3D Slicer [GitHub repo](#)

#### 8.3.1 git-stats

[GitHub repo](#)

Test results: <http://git-stats-slicer.ao9.io/> the results are output as webpages, so I hosted for you to check. Data can be downloaded as spreadsheets.

#### 8.3.2 git-stats

[GitHub repo](#)

#### 8.3.3 git-of-theseus

[GitHub repo](#)

Test results: It took about 100 minutes for one repo on a 8 core 16G ram Linux machine. It only outputs graphs.

#### 8.3.4 hercules

[GitHub repo](#)

Test results: this one seems to be promising, but the installation is complicated with various errors.

#### 8.3.5 git-repo-analysis

[GitHub repo](#)

#### 8.3.6 HubListener

[GitHub repo](#)

The data that HubListener can extract.

Raw:

- Number of Files
- Number of Lines
- Number of Logical Lines
- Number of Comments

Cyclomatic: [Intro](#)

- Cyclomatic Complexity

Halstead: [Intro](#)

- Halstead Effort
- Halstead Bugs
- Halstead Length
- Halstead Difficulty

- Halstead Time
- Halstead Vocabulary
- Halstead Volume

Test results: HubListener works well on the repo of itself, but it did not work well on some other repos.

### 8.3.7 gitinspector

[GitHub repo](#)

Test results: it doesn't work well. Instead of creating output results, it prints the results directly in the console.

## 9 Measure Using Shallow Measurement Template

For each software package fill out one column in the [Measurement Template](#) following these steps:

1. Gather the summary information for rows 3 to 20
2. Using the GitStats tool found in Section [8.3.1](#) gather the measurements for rows 105 to 112
3. Using the SCC tool found in Section [8.3.2](#) gather the measurements for rows 114 to 118
4. If the software package is found on git, gather the measurements for rows 120 to 124
5. Review installation documentation and attempt to install the software package
6. Gather the measurements for rows 22 to 37
7. Gather the measurements for correctness and verifiability in rows 39 to 48
8. Gather the measurements for surface reliability in rows 50 to 56
9. Gather the measurements for surface robustness in rows 58 to 61
10. Gather the measurements for surface usability in rows 63 to 68
11. Gather the measurements for maintainability in rows 70 to 78
12. Gather the measurements for reusability in rows 80 to 83
13. Gather the measurements for surface understandability in rows 85 to 94
14. Gather the measurements for visibility and transparency in rows 96 to 101

## 10 Analytic Hierarchy Process

The Analytical Hierarchy Process (AHP) is a decision making technique that can be used when comparing multiple options by multiple criteria. In our work AHP is used for comparing and ranking the software packages of a domain using the quality scores that are gathered in the [Measurement Template](#). AHP performs a pairwise analysis between each of the quality options using a matrix which is then used to generate an overall score for each software package for the given criteria. More information on AHP is available in [Smith et al. \[2016\]](#) We have developed a tool for conducting this process. The tool includes an AHP JAR script and a sensitivity analysis JAR script that is used to ensure that the software package rankings are appropriate with respect to the uncertainty of the quality scores. The README file of the tool is found [here](#). This file outlines the requirements for, and configuration and usage of the JAR scripts. The JAR scripts, source code, and required libraries are located in the same folder as the README file.

## 11 Rank Short List

Rank using pairwise comparison of short list results.

1. Compare with respect to usability
2. ...

## 12 Quality Specific Measures

- 12.1 **Installability** [owner —OO]
- 12.2 **Correctness** [owner —OO]
- 12.3 **Verifiability/Testability** [owner —OO]
- 12.4 **Validatability** [owner —OO]
- 12.5 **Reliability** [owner —OO]
- 12.6 **Robustness** [owner —PM]
- 12.7 **Performance** [owner —PM]
- 12.8 **Usability** [owner —JC]
- 12.9 **Maintainability** [owner —PM]
- 12.10 **Reusability** [owner —PM]
- 12.11 **Portability** [owner —PM]
- 12.12 **Understandability** [owner —JC]
- 12.13 **Interoperability** [owner —AD]
- 12.14 **Visibility/Transparency** [owner —AD]
- 12.15 **Reproducibility** [owner —SS]
- 12.16 **Productivity** [owner —AD]
- 12.17 **Sustainability** [owner —SS]
- 12.18 **Completeness** [owner —AD]
- 12.19 **Consistency** [owner —AD]
- 12.20 **Modifiability** [owner —JC]
- 12.21 **Traceability** [owner —JC]
- 12.22 **Unambiguity** [owner —SS]
- 12.23 **Verifiability** [owner —SS]
- 12.24 **Abstract** [owner —SS]

## 13 Using Data to Rank Family Members

Describe AHP process (or similar).



## A Appendix

### A.1 Survey for the Selected Projects

[Several questions are borrowed from Jegatheesan2016, and needed to be cited later. —AD]

#### A.1.1 Information about the developers and users

1. Interviewees' current position/title? degrees?
2. Interviewees' contribution to/relationship with the software?
3. Length of time the interviewee has been involved with this software?
4. How large is the development group?
5. What is the typical background of a developer?
6. How large is the user group?
7. What is the typical background of a user?

#### A.1.2 Information about the software

1. [General —AD] What is the most important software quality(ies) to your work? (set of selected qualities plus "else")
2. [General —AD] Are there any examples where the documentation helped? If yes, how it helped. (yes\*, no)
3. [General —AD] Is there any documentation you feel you should produce and do not? If yes, what is it and why? (yes\*, no)
4. [Completeness —AD] Do you address any of your quality concerns using documentation? If yes, what are the qualities and the documents. (yes\*, no)
5. [Visibility/Transparency —AD] Is there a certain type of development methodologies used during the development? ({Waterfall, Scrum, Kanban, else})
6. [Visibility/Transparency —AD] Is there a clearly defined development process? If yes, what is it. ({yes\*, no})
7. [Visibility/Transparency —AD] Are there any project management tools used during the development? If yes, what are they. ({yes\*, no})
8. [Visibility/Transparency —AD] Going forward, will your approach to documentation of requirements and design change? If not, why not. ({yes, no\*})
9. [Correctness and Verifiability —AD] During the process of development, what tools or techniques are used to build confidence of correctness? (string)
10. [Correctness and Verifiability —AD] Do you use any tools to support testing? If yes, what are they. (e.g. unit testing tools, regression testing suites) ({yes\*, no})
11. [Correctness and Verifiability —AD] Is there any document about the requirements specifications of the program? If yes, what is it. ({yes\*, no})
12. [Portability —AD] Do you think that portability has been achieved? If yes, how? ({yes\*, no})
13. [Maintainability —AD] How was maintainability considered in the design? (string)
14. [Maintainability —AD] What is the maintenance type? (set of {corrective, adaptive, perfective, unclear})
15. [Reusability —AD] How was reusability considered in the design? (string)
16. [Reusability —AD] Are any portions of the software used by another package? If yes, how they are used. (yes\*, no)
17. [Reproducibility —AD] Is reproducibility important to you? (yes\*, no)

18. [Reproducibility —AD] Do you use tools to help reproduce previous software results? If yes, what are they. (e.g. version control, configuration management) (yes\*, no)
19. [Completeness —AD] Is any of the following documents used during the development? (yes\*, no)
  20. [General —AD] Will this experience influence how you develop software? Do you see yourself maintaining the same level of documentation, tool support as you go forward? (string)
    - Module Guide
    - Module Interface Specification
    - Verification and Validation Plan
    - Verification and Validation Report

## References

- Steve Emms. 16 best free linux medical imaging software. <https://www.linuxlinks.com/medicalimaging/>, 2019. [Online; accessed 02-February-2020].
- W. Spencer Smith, Adam Lazzarato, and Jacques Carette. State of practice for mesh generation software. *Advances in Engineering Software*, 100:53–71, October 2016.