

Methodology for Assessing the State of the Practice for Domain X

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Abstract

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1 Introduction

Purpose and scope of the document. [Needs to be filled in. Should reference the overall research proposal, and the “state of the practice” exercise in particular. Reference questions we are trying to answer. —SS]

Note the following formatting conventions of this document. Red text denotes a link to information within the document. Purple text denotes a link to supplementary information that is external to this document. Blue text denotes a URL link.[\[needs to be added to intro to explain convention —PM\]](#)

2 Research Questions

Questions from Olu's Research Proposal:

1. What artifacts are recommended for research software development? [\[remove —PM\]](#)
2. What artifacts do current software projects produce? [\[keep —PM\]](#)
3. What information is captured by current software project artifacts?[\[keep —PM\]](#)
4. What information is necessary to capture for sustainability?[\[remove —PM\]](#)
5. What information is necessary to capture for productivity?[\[remove —PM\]](#)
6. What commonalities exist among software artifacts?[\[need to review —PM\]](#)
7. How can we improve sustainability in research softifacts development practices?[\[remove —PM\]](#)
8. What are the consequences of technology tools towards sustainability and productivity?[\[remove —PM\]](#)
9. What are attitudes of research software developers and engineer towards sustainability and productivity with respect to producing softifacts? [\[keep —PM\]](#)
10. What are the factors that lead to sustainability and productivity?[\[remove —PM\]](#)
11. What relationships exist between 'high rated' software projects and sustainability with respect to research softifacts production? [\[remove —PM\]](#)

Questions from Overall Research Project folder

1. What knowledge is currently captured in the artifacts generated by existing open source SCS projects?[\[keep —PM\]](#)
2. What principles, processes, methodologies and tools are used by existing open source SCS projects?[\[keep —PM\]](#)
3. What are the pain points" for developers working on SCS projects? What aspects of the existing processes, methodologies and tools do they consider could potentially be improved?[\[keep —PM\]](#)
4. For a given software product (softifacts), how can we produce a reasonable measure of the software qualities (correctness, reliability, usability etc.) with a few hours of effort?[\[remove —PM\]](#)
5. For a given software product (softifacts), how can we produce a meaningful measure of usability with a few days worth of effort?[\[remove —PM\]](#)
6. For a given software product (softifacts), how can we produce a meaningful measure of maintainability (with respect to likely changes) with a few days worth of effort?[\[remove —PM\]](#)
7. For a given software product (softifacts), how can we produce a meaningful measure of reproducibility/replicability with a few days worth of effort?[\[remove —PM\]](#)
8. With a reasonable effort and time, how can we compare the productivity between different processes, methodologies and tools?[\[remove —PM\]](#)
9. Can a correlation be identified between software projects that use best practices for the development process and methodologies and improvement in the qualities of usability, performance and reproducibility/replicability?[\[remove —PM\]](#)

10. Ignoring the time and effort required, what knowledge is necessary to capture for sustainable SCS? How can this knowledge best be documented in software artifacts?[remove —PM]
11. How can Model Driven Engineering and Code Generation be
t in the development process for SCS to remove as many repetitive, tedious and error prone tasks as possible? We will call this the ideal process."[remove —PM]
12. How well do existing modelling and code generation tools do at implementing the ideal process"? Candidates for comparison include Drasil and Epsilon.[remove —PM]
13. How well does a model driven approach to compared to a traditional approach for usability, productivity and sustainability?[remove —PM]
14. What are the attitudes and preferences of typical users towards an implementation of the ideal process"?[remove —PM]

3 Overview of Steps in Assessing Quality of the Domain Software

1. Start with state of practice research questions. (Section 2) [To be completed —PM]
2. Identify the domain. (Section 4) [To be completed based on suggestions —PM]
3. *Domain Experts*: Create a top ten list of software packages in the domain. (Meeting Agenda with Domain Experts)
4. Brief the Domain Experts on the overall objective, research proposal, research questions, measurement template, survey for short list projects, usability tests, performance benchmarks, maintainability experiments. (Meeting Agenda with Domain Experts)
5. Identify broad list of candidate software packages in the domain. (Section 5) [To be reviewed —PM]
6. Preliminary filter of software packages list. (Section 6) [To be reviewed —PM]
7. *Domain Experts*: Review domain software list. (Meeting Agenda with Domain Experts)
8. Domain Analysis. (Section 7)[To be reviewed —PM]
9. *Domain Experts*: Vet domain analysis. (Meeting Agenda with Domain Experts) [This was part of the original Steps in Assessing Quality list. We need to add it to the Meeting Agenda —PM]
10. Gather source code and documentation for each prospective software package.
11. Collect empirical measures. (Section 8) [To be completed - go over how to clean this up in meeting, or through an issue —PM]
12. Measure using measurement template. (Section 9) [To be reviewed —PM]
13. Use AHP process to rank the software packages. (Section 10) [To be reviewed —PM]
14. Identify a short list of top software packages, typically four to six, for deeper exploration according to the AHP rankings of the measurements.
15. *Domain Experts*: Vet AHP ranking and short list. (Meeting Agenda with Domain Experts)
16. With short list:
 - a. Survey developers (Questions to Developers)
 - b. Usability experiments (User Experiments)
 - c. Performance benchmarks[note: this is still in consideration —PM]
 - d. Maintainability experiments[note: these experiments need to be completed - to be discussed at next meeting —PM]
17. Rank short list. (Section 11) [To be completed —PM]
18. Document answers for research questions.

[The domain expert is involved in multiple steps in the process. How best to get their feedback? The domain experts are busy and are unlikely to devote significant time to the project. We need to quickly get to the point. Maybe something around task based inspection? Directed interview? —SS]

4 How to Identify the Domain

A domain of research software must be identified. Research software is defined in this exercise as “software that is used to generate, process or analyze results that [are intended] to appear in a publication” [Hettrick et al., 2014]. The chosen domain must have the following properties:

1. The domain must fall within the research software scope.
2. The domain must be well understood.
3. There must be a community of people studying the domain.
4. A preliminary search, or discussion with experts, suggests that there will be numerous, at least about 15, candidate software packages to study.
5. The software packages must have open source options.

Some examples of domains that fit these criteria are seismology software, as well as mesh generators and processors. These are described in [Smith et al., 2018] and [Smith et al., 2016b], respectively.

5 How to Identify Candidate Software

The candidate software can be found through search engine queries targeting authoritative lists of software from the domain, such as lists on GitHub and swMATH, and through searching domain related publications. Domain experts are also asked for their suggestions and are asked to review the list. The candidate software should have the following properties:

1. Major function(s) must fall within the identified domain.
2. Must have viewable source code.
3. Ideally have a git repository or ability to gather empirical measures found in Section 8.
4. [“Ideally have the latest release or source code commit within the last 5 years.” How about this one? Do we care about the “vintage” software? —AD][I tested some software that has not been updated in about 8 years, as this software was mentioned in multiple places and looked professional. That being said, age should be noted as something that is considered along with other qualities. “Ideally the latest release or source code commit should be relatively recent, such as within the last 5 years, unless the candidate software appears to be well recommended and currently in use.” —PM]

6 How to Initially Filter the Software List

The initial list of candidate software packages should first be filtered to a manageable size. Our target is about 30 packages. We want the list to include packages that are not marked as incomplete and are at least somewhat organized and understandable. We also want the list to be a good representation of the software domain, with packages having enough in common with respect to functionality that it makes sense to compare the software. The initial list of candidate software should be filtered using the following properties:

1. Organization - The software and any related documentation should appear to be easy to gather and understand.
2. Available documentation - The purpose of the software and the installation and usage procedures should appear to be moderately clear or easy to find. [What if installation or usage procedures are unavailable? I think we're measuring that if the software have installation instructions and user manuals, so perhaps they should be kept even if these docs are missing. —AD] [How are we installing the software if such documents and procedures are missing? Should we expect users to be able to install? I did not encounter a situation where even basic installation steps were missing. —PM]
3. Status - The software cannot be marked as incomplete or in an initial development phase.
4. [What if there are still more candidates than we need? Should we also filter out the "older" or "less popular" ones if needed? —AD][Good question. Let's discuss during meeting. —PM]

Copies of both the initial and filtered lists should be kept for traceability purposes.

7 Domain Analysis

[SS:"We should have more of an introduction on what is a commonality analysis, and more generally, what is a program family. We should also have more of a literature review, and a pointer to examples. Some publications to look at include ArdisAndWeiss1997, Chen2003, SmithAndChen2004, SmithEtAl2008, SmithMcCutchanAndCarette2017, Weiss1998" —PM]

The domain analysis consists of a commonality analysis of the software packages. Its purpose is to show the relationships between these packages, and to facilitate an understanding of the informal specification and development of them. The final result of the analysis will be tables of commonalities, variabilities and parameters of variation.

Steps to produce a commonality analysis:

1. Write an Introduction
2. Write the Overview of Domain
3. List Commonalities
4. List Variabilities
5. List Parameters of Variation
6. Add Terminology, Definitions, Acronyms

A sample commonality analysis for Lattice Boltzmann Solvers can be found [here](#).

8 Empirical Measures

[Add introduction. We should orient the reader on the purpose of this section, and provide a brief roadmap of the subsections. —PM]

8.1 Raw Data

The following raw data measures are extracted from repositories: [SS:"we should say why we are collecting this data. It is okay to say that we are collecting data that is reasonably easy to collect. We will then put the data together and analyze it. However, we should also relate the measures we are collecting to questions we want to answer. Ideally, we will relate the data to our research questions. For instance, we are collecting some of the data to see how large a project is. More files, more lines of code, etc, means a larger project. The number of developers is another measure of size. Other measures are intended to ascertain a project's

popularity, like the number of stars and forks. Other measures are intended to see how active the project is, like the measures related to the history of commits." —PM]

- Number of stars.
- Number of forks.
- Number of people watching the repository.
- Number of open pull requests.
- Number of closed pull requests.
- Number of developers.
- Number of open issues.
- Number of closed issues.
- Initial release date.
- Last commit date.
- Programming languages used.
- Number of text-based files.
- Number of total lines in text-based files.
- Number of code lines in text-based files.
- Number of comment lines in text-based files.
- Number of blank lines in text-based files.
- Number of binary files.
- Number of total lines added to text-based files.
- Number of total lines deleted from text-based files.
- Number of total commits.
- Numbers of commits by year in the last 5 years. (Count from as early as possible if the project is younger than 5 years.)
- Numbers of commits by month in the last 12 months.

8.2 Processed Data

The following measures are calculated from the raw data:

- Status of software package (dead or alive). Alive is defined as the presence of repository commits or software package version releases in the last 18 months.
- Percentage of identified issues that are closed.
- Percentage of code that is comments.

8.3 Tools

[GitStats](#) is used to measure the number of binary files as well as the number of added and deleted lines in a repository. The tool is also used to measuring the number of commits over different intervals of time. [Sloc Cloc and Code \(scc\)](#) is used to measure the number of text based files as well as the number of total, code, comment, and blank lines in a repository. These tools were selected due to their installability, usability, and ability to gather the above empirical measures. A guide for installing and running them can be found [here](#).

9 Measure Using Measurement Template

For each software package fill out one column of the **Measurement Template** spreadsheet found in our repository. Follow these steps: [Maybe a one or two sentences introduction to the template? —AD] [SS:"A screenshot of a portion of the template would be very helpful here" —PM]

[SS:"please add an estimate of how long each measurement typically takes" —PM]

[SS:"explain any tricky parts with interpreting the parameters of variation for measuring a particular indicator. A few example would help." —PM]

1. Gather the summary information into the top section of the document
 2. Using the GitStats tool found in Section ?? gather the measurements for the Repo Metrics - GitStats section found near the bottom of the document
 3. Using the SCC tool found in Section ?? gather the measurements for the Repo Metrics - SCC section found near the bottom of the document
 4. If the software package is found on git, gather the measurements for the Repo Metrics - GitHub section found near the bottom of the document
 5. Review installation documentation and attempt to install the software package on a virtual machine
 6. Gather the measurements for installability [SS:"we should mention that the project owners will be contacted for help with installation, if necessary, but that there is a cap on the amount of time spent on installation" —PM]
 7. Gather the measurements for correctness and verifiability
 8. Gather the measurements for surface reliability
 9. Gather the measurements for surface robustness
 10. Gather the measurements for surface usability
 11. Gather the measurements for maintainability
 12. Gather the measurements for reusability
 13. Gather the measurements for surface understandability
 14. Gather the measurements for visibility and transparency
 15. Assign a score out of ten for each quality [SS:"add some text about how the measure out of 10 is assigned for each quality", link to scoring document —PM]
- [SS:"add something about the sensitivity analysis tool and its purpose", explain what the tool is and its purpose, indicate that it will be used in the next section to verify rankings, add it to the next section —PM]

10 Analytic Hierarchy Process

The Analytical Hierarchy Process (AHP) is a decision-making technique that can be used when comparing multiple options by multiple criteria. In our work AHP is used for comparing and ranking the software packages of a domain using the quality scores that are gathered in the **Measurement Template**. AHP performs a pairwise analysis between each of the quality options using a matrix which is then used to generate an overall score for each software package for the given criteria. Smith et al. [2016a] shows how AHP is applied to ranking software based on quality measures. We have developed a tool for conducting this process. The tool includes an AHP JAR script and a sensitivity analysis JAR script that is used to ensure that the software package rankings are appropriate with respect to the uncertainty of the quality scores. The README file of the tool is found [here](#). This file outlines the

requirements for, and configuration and usage of, the JAR scripts. The JAR scripts, source code, and required libraries are located in the same folder as the README file.

11 Rank Short List

Rank using pairwise comparison of short list software packages with respect to usability survey results.

[SS: let's ask the people that do the usability/performance/maintainability experiments to do an AHP pair-wise comparison between the short-list software packages —PM]

12 Quality Specific Measures

- 12.1 **Installability** [owner —OO]
- 12.2 **Correctness** [owner —OO]
- 12.3 **Verifiability/Testability** [owner —OO]
- 12.4 **Validatability** [owner —OO]
- 12.5 **Reliability** [owner —OO]
- 12.6 **Robustness** [owner —PM]
- 12.7 **Performance** [owner —PM]
- 12.8 **Usability** [owner —JC]
- 12.9 **Maintainability** [owner —PM]
- 12.10 **Reusability** [owner —PM]
- 12.11 **Portability** [owner —PM]
- 12.12 **Understandability** [owner —JC]
- 12.13 **Interoperability** [owner —AD]
- 12.14 **Visibility/Transparency** [owner —AD]
- 12.15 **Reproducibility** [owner —SS]
- 12.16 **Productivity** [owner —AD]
- 12.17 **Sustainability** [owner —SS]
- 12.18 **Completeness** [owner —AD]
- 12.19 **Consistency** [owner —AD]
- 12.20 **Modifiability** [owner —JC]
- 12.21 **Traceability** [owner —JC]
- 12.22 **Unambiguity** [owner —SS]
- 12.23 **Verifiability** [owner —SS]
- 12.24 **Abstract** [owner —SS]

13 Using Data to Rank Family Members

Describe AHP process (or similar).

A Appendix

A.1 Survey for the Selected Projects

[Several questions are borrowed from Jegatheesan2016, and needed to be cited later. —AD]

A.1.1 Information about the developers and users

1. Interviewees' current position/title? degrees?
2. Interviewees' contribution to/relationship with the software?
3. Length of time the interviewee has been involved with this software?
4. How large is the development group?
5. What is the typical background of a developer?
6. How large is the user group?
7. What is the typical background of a user?

A.1.2 Information about the software

1. [General —AD] What is the most important software quality(ies) to your work? (set of selected qualities plus "else")
2. [General —AD] Are there any examples where the documentation helped? If yes, how it helped. (yes*, no)
3. [General —AD] Is there any documentation you feel you should produce and do not? If yes, what is it and why? (yes*, no)
4. [Completeness —AD] Do you address any of your quality concerns using documentation? If yes, what are the qualities and the documents. (yes*, no)
5. [Visibility/Transparency —AD] Is there a certain type of development methodologies used during the development? ({Waterfall, Scrum, Kanban, else})
6. [Visibility/Transparency —AD] Is there a clearly defined development process? If yes, what is it. ({yes*, no})
7. [Visibility/Transparency —AD] Are there any project management tools used during the development? If yes, what are they. ({yes*, no})
8. [Visibility/Transparency —AD] Going forward, will your approach to documentation of requirements and design change? If not, why not. ({yes, no*})
9. [Correctness and Verifiability —AD] During the process of development, what tools or techniques are used to build confidence of correctness? (string)
10. [Correctness and Verifiability —AD] Do you use any tools to support testing? If yes, what are they. (e.g. unit testing tools, regression testing suites) ({yes*, no})
11. [Correctness and Verifiability —AD] Is there any document about the requirements specifications of the program? If yes, what is it. ({yes*, no})
12. [Portability —AD] Do you think that portability has been achieved? If yes, how? ({yes*, no})
13. [Maintainability —AD] How was maintainability considered in the design? (string)
14. [Maintainability —AD] What is the maintenance type? (set of {corrective, adaptive, perfective, unclear})
15. [Reusability —AD] How was reusability considered in the design? (string)
16. [Reusability —AD] Are any portions of the software used by another package? If yes, how they are used. (yes*, no)
17. [Reproducibility —AD] Is reproducibility important to you? (yes*, no)
18. [Reproducibility —AD] Do you use tools to help reproduce previous software results? If yes, what are they. (e.g. version control, configuration management) (yes*, no)
19. [Completeness —AD] Is any of the following documents used during the development? (yes*, no)

20. [General —AD] Will this experience influence how you develop software? Do you see yourself maintaining the same level of documentation, tool support as you go forward? (string)
- Module Guide
 - Module Interface Specification
 - Verification and Validation Plan
 - Verification and Validation Report

A.2 Empirical Measures Considerations - Raw Data

Measures that can be extracted from on-line repos.

[Still at brainstorm stage. —AD]

- number of contributors
- number of watches
- number of stars
- number of forks
- number of clones
- number of commits
- number of total/code/document files
- lines of total/logical/comment code
- lines/pages of documents (can pdf be extracted?)
- number of total/open/closed/merged pull requests
- number of total/open/closed issues
- number of total/open/closed issues with assignees

Instead of only focus on the current status of the above numbers, we may find the time history of them to be more valuable. For example, the number of contributors over time, the number of lines of code over time, the number of open issues over time, etc.

A.3 Empirical Measures Considerations - Processed Data

Metrics that can be calculated from the raw data.

[Still at brainstorm stage. —AD]

- percentage of total/open/closed issues with assignees - Visibility/Transparency
- lines of new code produced per person-month - Productivity
- lines/pages of new documents produced per person-month - Productivity
- number of issues closed per person-month - Productivity
- percentage of comment lines in the code - maintainability [Not Ao's qualities —AD]

In the above calculations, a month can be determined to be 30 days.

A.4 Empirical Measures Considerations - Tool Tests

[This section is currently a note of unorganized contents. Most parts will be removed or relocated. —AD]

[This citation needs to be deleted later. It's here because my compiler doesn't work with 0 citations —AD] Emms [2019]

Most tests were done targeting to the repo of 3D Slicer [GitHub repo](#)

A.4.1 git-stats

[GitHub repo](#)

Test results: <http://git-stats-slicer.ao9.io/> the results are output as webpages, so I hosted for you to check. Data can be downloaded as spreadsheets.

A.4.2 scc

[GitHub repo](#)

A.4.3 git-of-theseus

[GitHub repo](#)

Test results: It took about 100 minutes for one repo on a 8 core 16G ram Linux machine. It only outputs graphs.

A.4.4 hercules

[GitHub repo](#)

Test results: this one seems to be promising, but the installation is complicated with various errors.

A.4.5 git-repo-analysis

[GitHub repo](#)

A.4.6 HubListener

[GitHub repo](#)

The data that HubListener can extract.

Raw:

- Number of Files
- Number of Lines
- Number of Logical Lines
- Number of Comments

Cyclomatic: [Intro](#)

- Cyclomatic Complexity

Halstead: [Intro](#)

- Halstead Effort
- Halstead Bugs
- Halstead Length
- Halstead Difficulty
- Halstead Time
- Halstead Vocabulary
- Halstead Volume

Test results: HubListener works well on the repo of itself, but it did not work well on some other repos.

A.4.7 gitinspector

[GitHub repo](#)

Test results: it doesn't work well. Instead of creating output results, it prints the results directly in the console.

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