

The background of the slide features a large, faint watermark of the Tianjin University seal. The seal is circular with a scalloped edge. Inside the circle, the text "TIANJIN UNIVERSITY" is written in English along the top arc, and "天津大学" is written in Chinese along the bottom arc. In the center of the seal, there is a shield-shaped emblem containing the Chinese characters "洋" and "大" (likely part of "Nankai University" or "Tianjin University"), and the year "1895" is written below it. The entire slide has a light blue background with a faint illustration of a traditional Chinese building on the left side.

程序的机器级表示：过程

Machine-Level Programming : Procedures



本章内容

Topic

□ 栈的结构

Stack Structure

□ 过程调用规范

Calling Conventions

□ 递归

Recursion



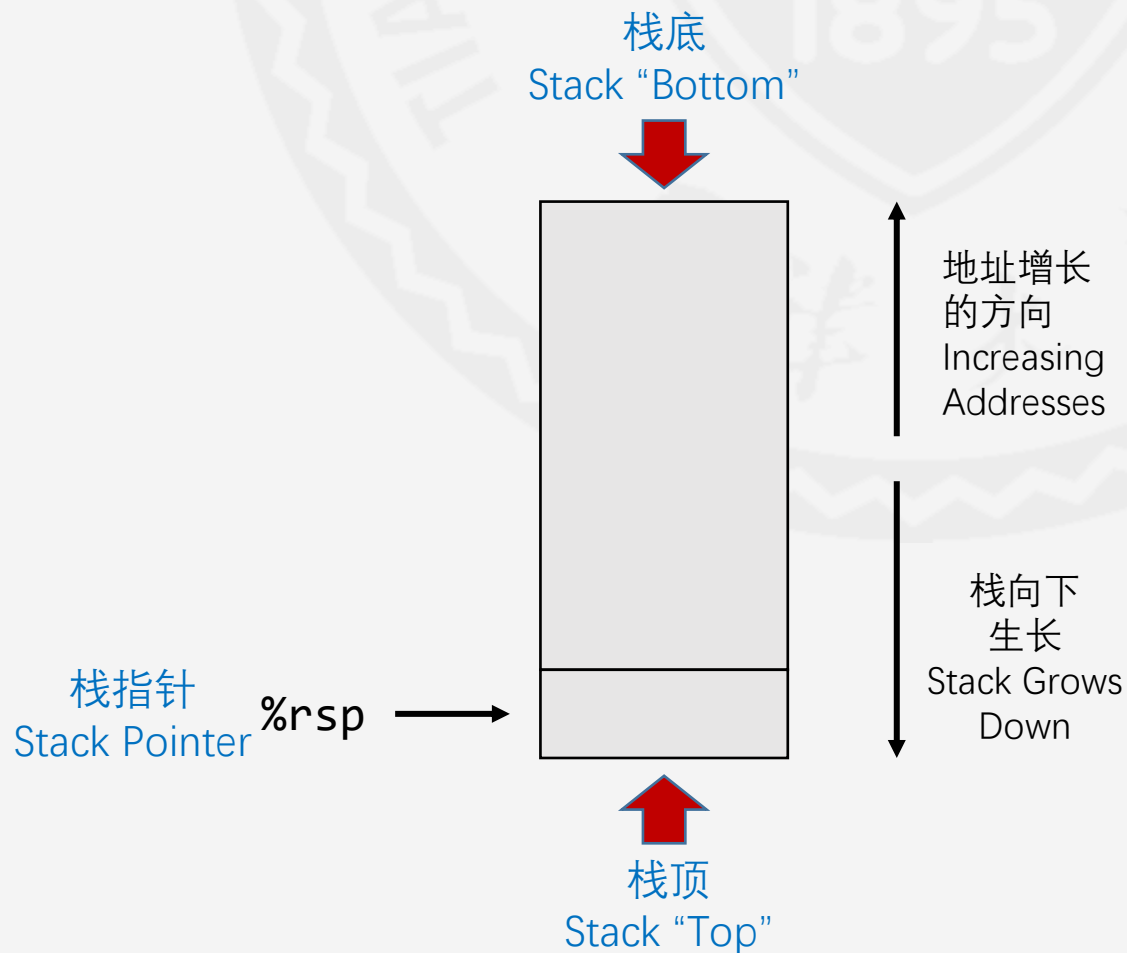


栈的结构

Stack Structure

x86-64的栈 x86-64 Stack

- 使用栈的规则管理内存区域
Region of memory managed with stack discipline
- 向低地址方向生长
Grows toward lower addresses
- 寄存器 `%rsp` 栈的最低地址
Register `%rsp` contains lowest stack address





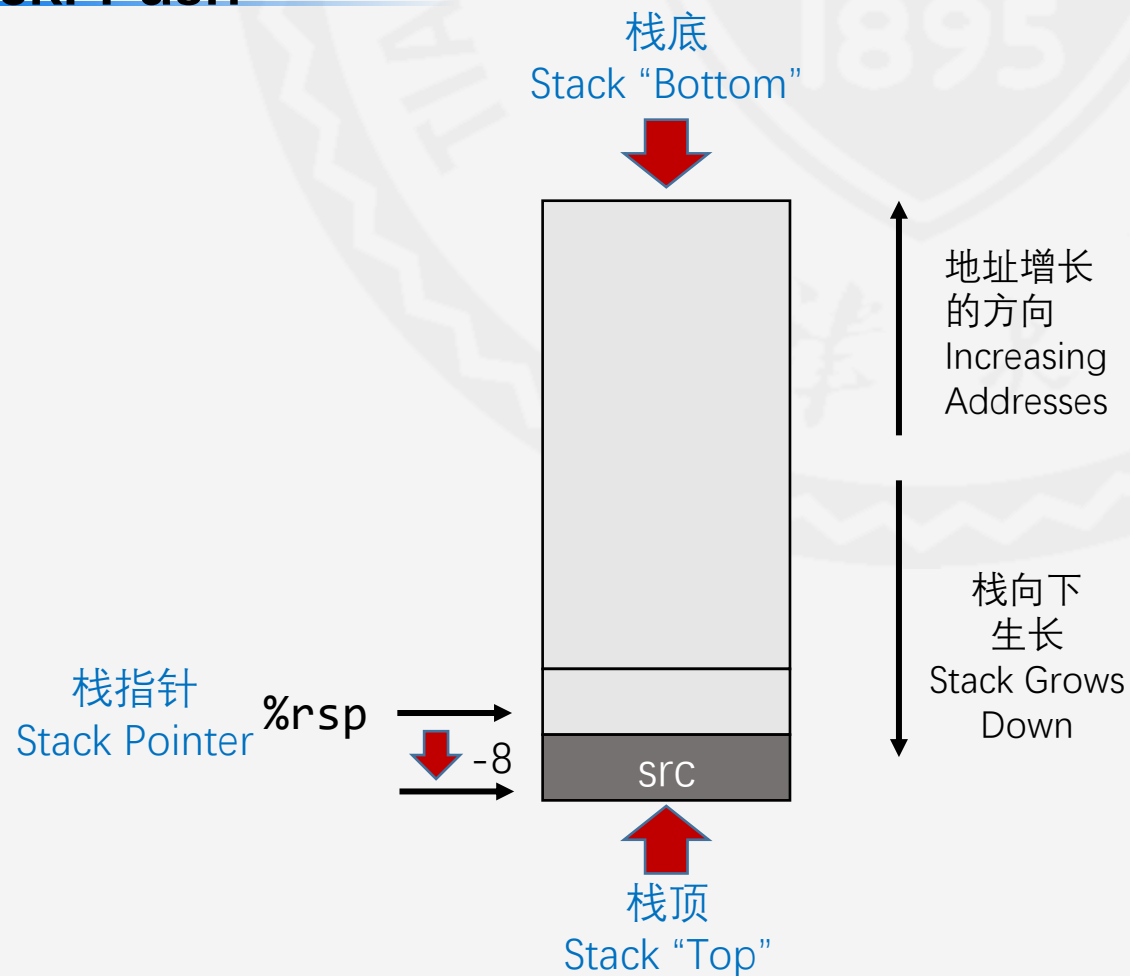
栈的结构

Stack Structure

x86-64的栈：入栈 x86-64 Stack: Push

■ pushq src

1. 从 src 中取出操作数
Fetch operand at src
2. %rsp 减 8
Decrement %rsp by 8
3. 将操作数的值写入%rsp指向的地址
Write operand at address given by %rsp





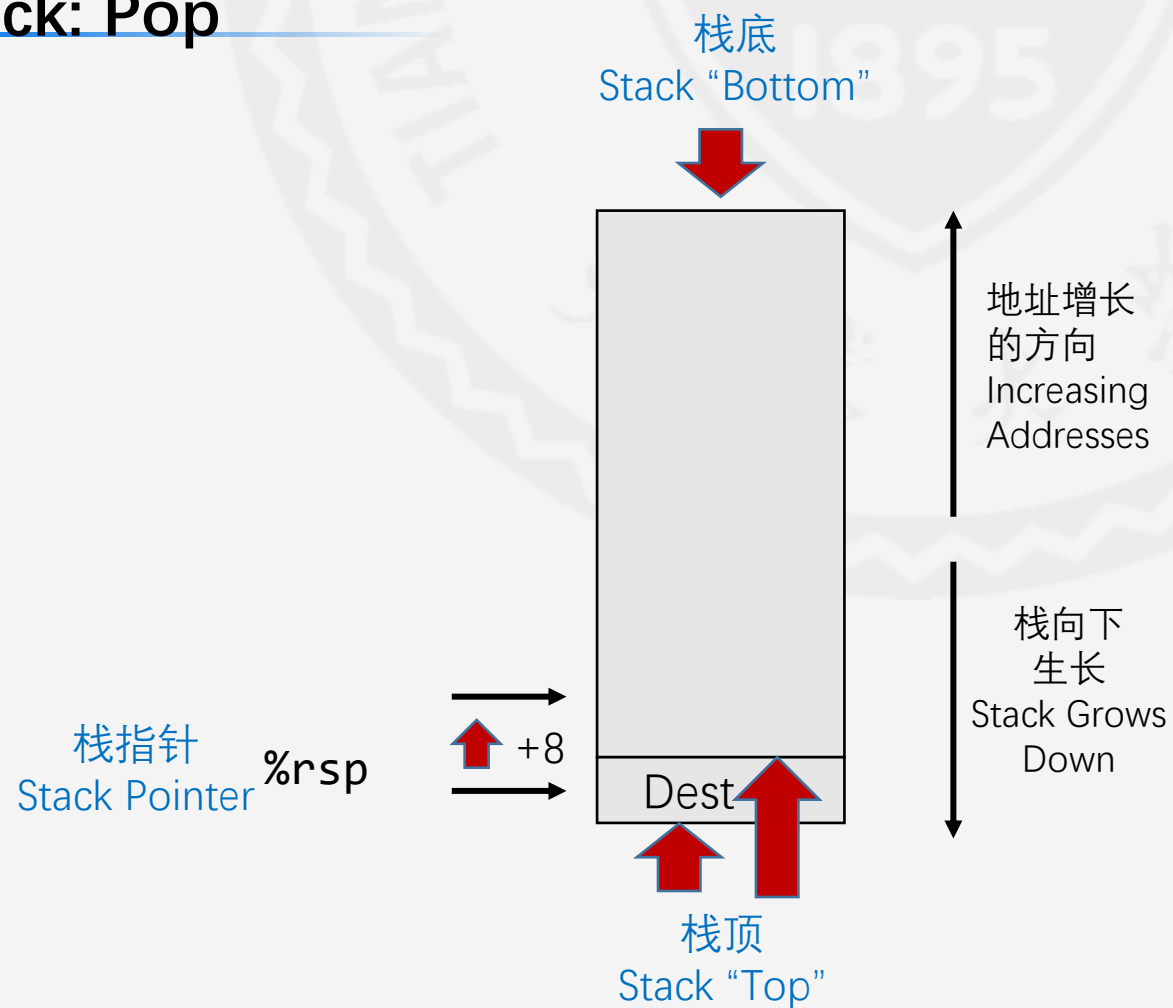
x86-64的栈：出栈

1. 从 %rsp 指向的地址中取出值

Fetch value in %rsp

Write value to operand **dest**

Increment %rsp by 8





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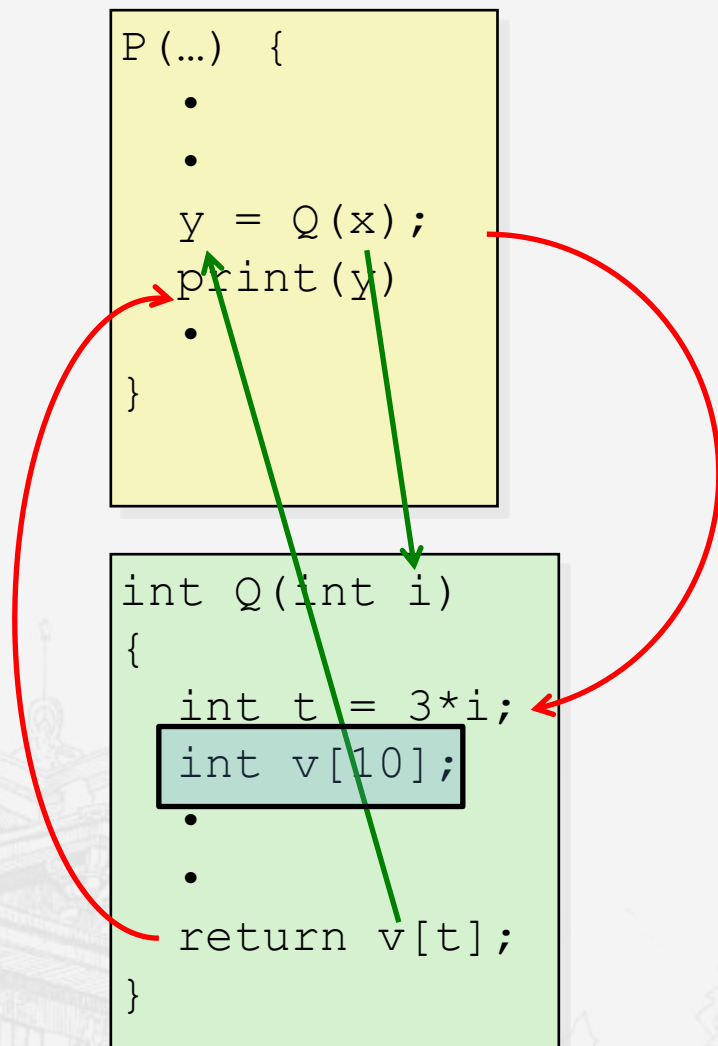
Recursion





过程调用规范

Calling Conventions



- 控制流转移
Passing control flow
 - 跳转至过程代码的开始位置（过程调用时）
To beginning of procedure code
 - 跳转至返回点（过程返回时）
Back to return point
- 数据传递
Passing data
 - 过程的参数
Procedure arguments
 - 返回值
Return value
- 存储管理
Memory management
 - 在过程执行时分配存储空间
Allocate during procedure execution
 - 在过程返回时回收空间
Deallocate upon return
- 过程调用机制都是通过指令实现的（软件实现而非硬件实现）
Mechanisms all implemented with machine instructions
- x86-64编译器在某个过程的具体实现时，只选择实现所必需的机制
x86-64 implementation of a procedure uses only those mechanisms required



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过程控制流 Procedure Control Flow

- 使用栈支持过程的调用和返回
Use stack to support procedure call and return
- **过程调用:** `call label`
Procedure call: `call label`
 - 将返回地址压入栈
Push return address on stack
 - 跳转至 **label** 标签
Jump to **label**
- 返回地址
Return address:
 - `call`指令后面指令所在的地址
Address of the next instruction right after call

- **过程返回:** `ret`
Procedure return: `ret`
 - 从栈中弹出返回地址
Pop address from stack
 - 跳转至返回地址
Jump to address



举例：控制流转移 Passing Control Flow Examples

```
void multstore
(long x, long y, long *dest)
{
    long t = mult2(x, y);
    *dest = t;
}
```

```
0000000000400540 <multstore>:
400540: push    %rbx           # Save %rbx
400541: mov     %rdx,%rbx      # Save dest
400544: callq   400550 <mult2> # mult2(x,y)
400549: mov     %rax,(%rbx)    # Save at dest
40054c: pop     %rbx           # Restore %rbx
40054d: retq                      # Return
```

```
long mult2 (long a, long b)
{
    long s = a * b;
    return s;
}
```

```
0000000000400550 <mult2>:
400550: mov     %rdi,%rax      # a
400553: imul    %rsi,%rax      # a * b
400557: retq                      # Return
```



过程调用规范

Passing Control

举例：控制流转移 #1 Passing Control Flow Examples #1

0000000000400540 <multstore>:

-
-
- 400544: callq 400550 <mult2>
- 400549: mov %rax, (%rbx)
-
-

0000000000400550 <mult2>:

400550: mov %rdi,%rax

-
-

400557: retq

0x130

0x128

0x120

%rsp

0x120

%rip

0x400544



过程调用规范

Passing Control

举例：控制流转移 #2 Passing Control Flow Examples #2

0000000000400540 <multstore>:

•

•

400544: callq 400550 <mult2>

400549: mov %rax, (%rbx)

•

•

0000000000400550 <mult2>:

400550: mov %rdi,%rax

•

•

400557: retq

0x130

0x128

0x120

0x118

%rsp

%rip

0x400549

0x118

0x400550

•
•
•





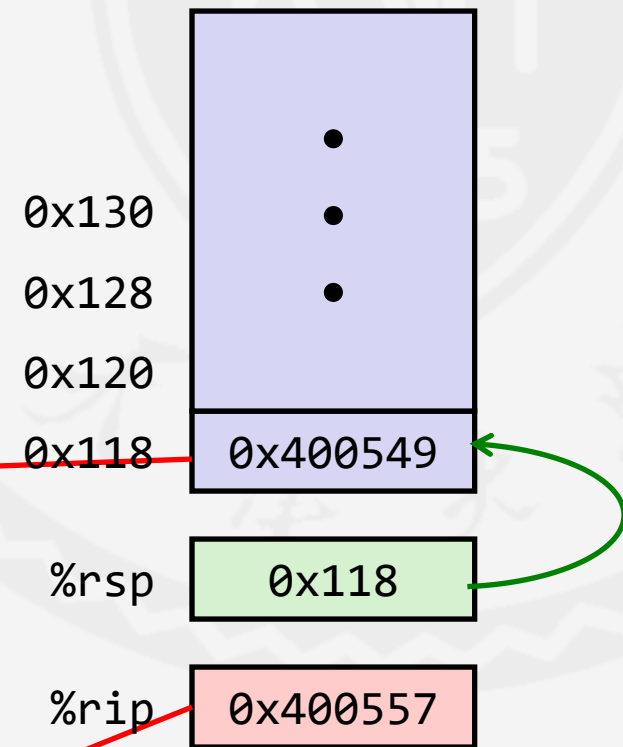
举例：控制流转移 #3

```

•
•
400544: callq  400550 <mult2>
400549: mov     %rax,%rbx
•
•

```

```
400550:  mov    %rdi,%rax
•
•
400557:  retq   ←
```





举例：控制流转移 #4 Passing Control Flow Examples #4

0000000000400540 <multstore>:

•
•
•
•
•

400544: callq 400550 <mult2>

400549: mov %rax, (%rbx)

0000000000400550 <mult2>:

400550: mov %rdi, %rax

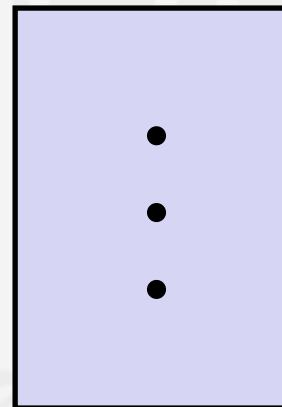
•
•

400557: retq

0x130

0x128

0x120

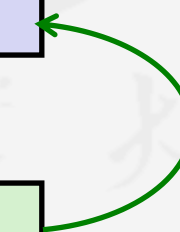


%rsp

0x120

%rip

0x400549





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□ 控制流转移

Passing Control Flow

□ 数据传递

Passing Data

□ 存储管理

Memory Management

□ 递归

Recursion





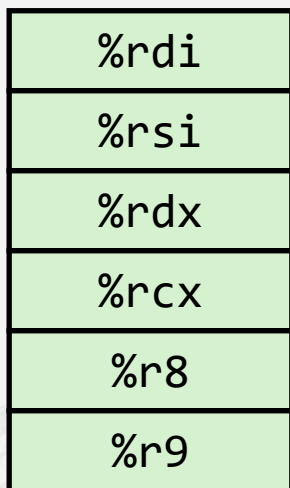
过程调用规范

Passing Control

过程数据流 Procedure Data Flow

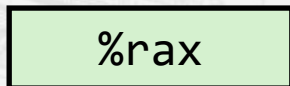
- 前6个参数通过寄存器传递

First 6 arguments passed by registers



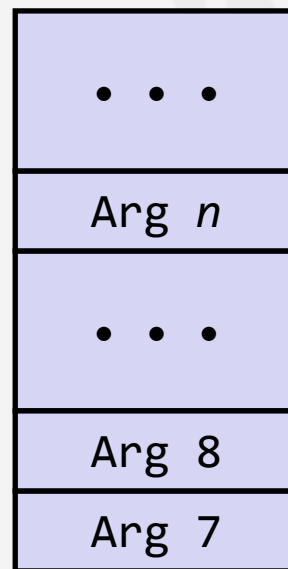
- 返回值

Return value



- 剩余参数通过栈传递

- Other arguments passed by Stack



- 仅当需要的时候栈才会分配空间

Only allocate stack space when needed



举例：过程数据流 Data Flow Example

```
void multstore
(long x, long y, long *dest)
{
    long t = mult2(x, y);
    *dest = t;
}
```

```
long mult2 (long a, long b)
{
    long s = a * b;
    return s;
}
```

```
0000000000400540 <multstore>:
    # x in %rdi, y in %rsi, dest in %rdx
    . . .
400541: mov     %rdx,%rbx      # Save dest
400544: callq   400550 <mult2>  # mult2(x,y)
    # t in %rax
400549: mov     %rax,(%rbx)     # Save at dest
    . . .
```

```
0000000000400550 <mult2>:
    # a in %rdi, b in %rsi
400550: mov     %rdi,%rax      # a
400553: imul    %rsi,%rax      # a * b
    # s in %rax
400557: retq                               # Return
```



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基于栈的程序设计语言 Stack-Based Languages

- 支持递归的编程语言
Languages that support recursion
 - e.g., C, Pascal, Java ,C#
 - 代码必须是可重入的
Code must be "Reentrant"
 - 一个过程可以有多个实例
Multiple simultaneous instantiations of single procedure
 - 需要空间存储每个过程实例的状态
Need some place to store state of each instantiation
 - 参数
Arguments
 - 局部变量
Local variables
 - 返回地址
Return address

- 栈的规则
Stack discipline
 - 每个过程的状态只需要保存有限的时间
State for given procedure needed for limited time
 - 从调用开始至返回结束
From when called to when return
 - 被调用者先于调用者返回
Callee returns before caller does
- 栈空间以**帧**的方式进行分配
Stack allocated in **Frames**
 - 存储每个过程实例的状态
state for single procedure instantiation



举例：调用链 Call Chain Example

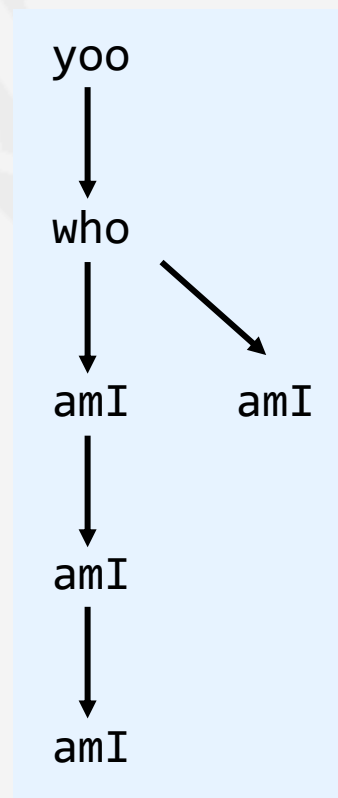
```
yoo(...)  
{  
  .  
  .  
  who();  
  .  
  .  
}
```

```
who(...)  
{  
  ...  
  amI();  
  .  
  ...  
  amI();  
  ...  
}
```

```
amI(...)  
{  
  .  
  .  
  amI();  
  .  
  .  
}
```

amI() 是递归的
Procedure amI() is recursive

调用链示意
Call Chain

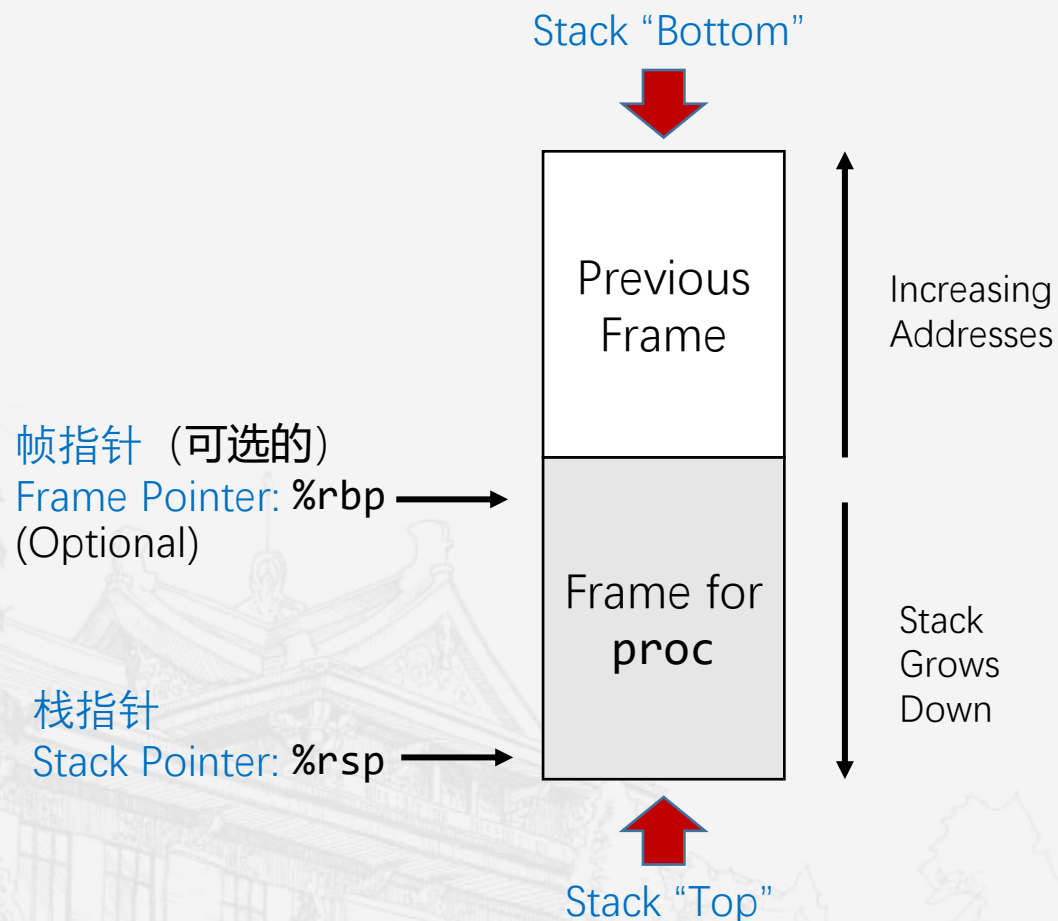




过程调用规范

Passing Control

栈帧 Stack Frames



内容

Contents

- 返回地址
Return address
- 局部变量 (如果需要)
Local variables (if needed)
- 参数 (如果需要)
Other Arguments (if needed)
- 其他临时空间 (如果需要)
Temporary space (if needed)

管理

Management

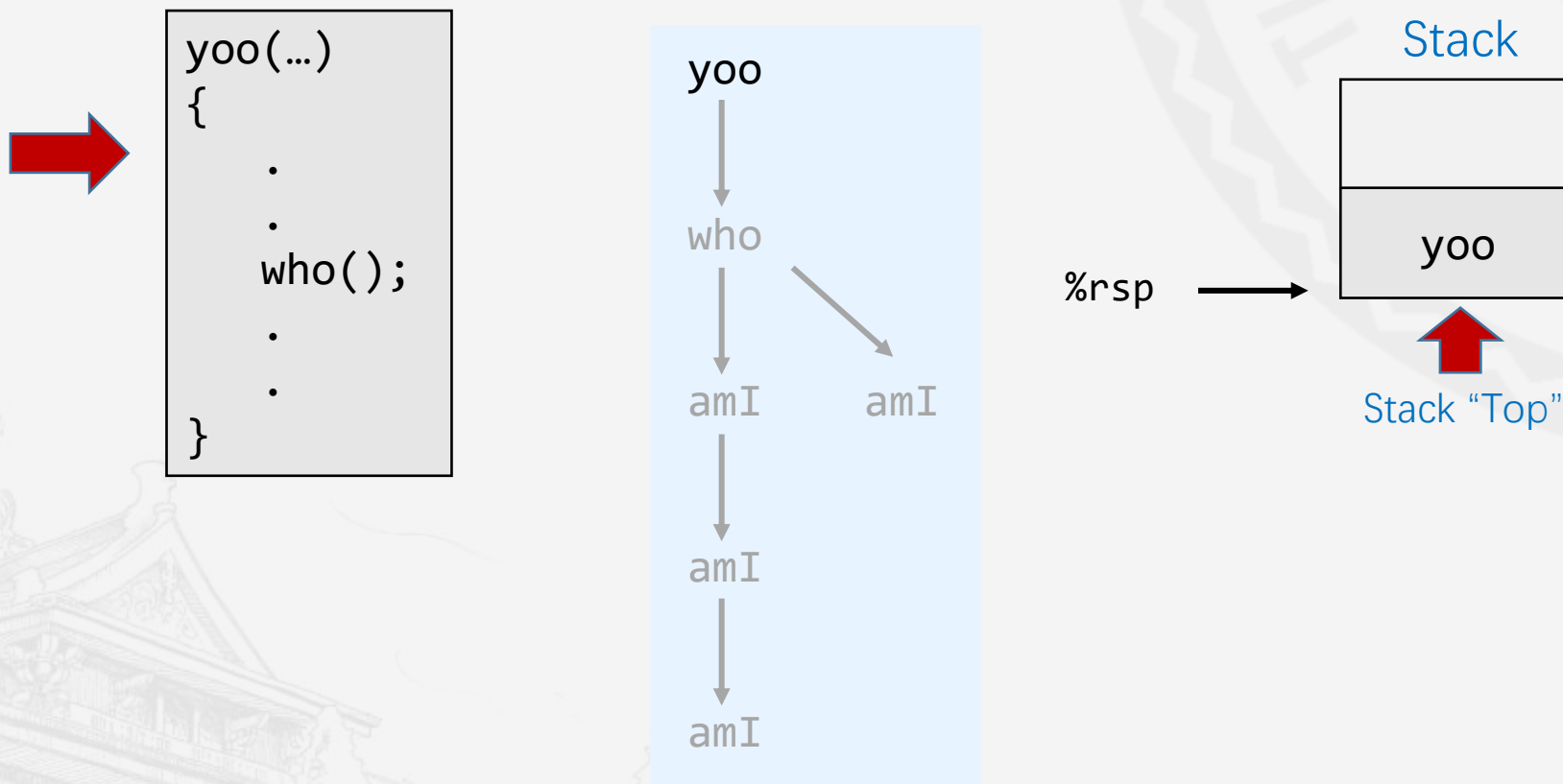
- 进入过程后, 分配栈帧空间
Space allocated when enter procedure
 - “建立”代码
“Set-up” code
 - 包括 call指令中的入栈操作
Includes push by call instruction
- 过程返回前, 释放栈帧空间
Deallocated when return
 - “结束”代码
“Finish” code
 - 包括 ret 指令中的出栈操作
Includes pop by ret instruction



过程调用规范

Passing Control

举例：调用链 Call Chain Example

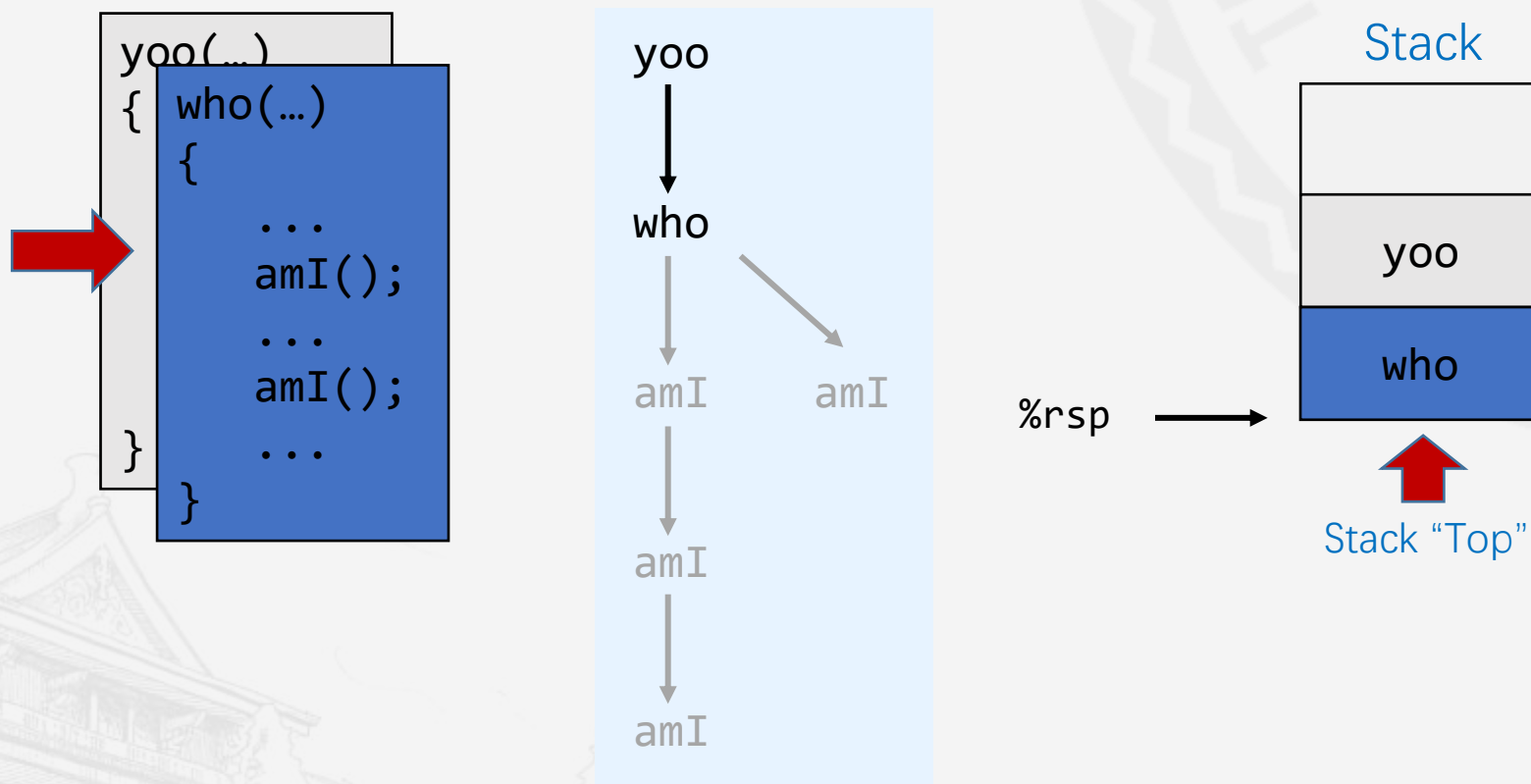




过程调用规范

Passing Control

举例：调用链 Call Chain Example

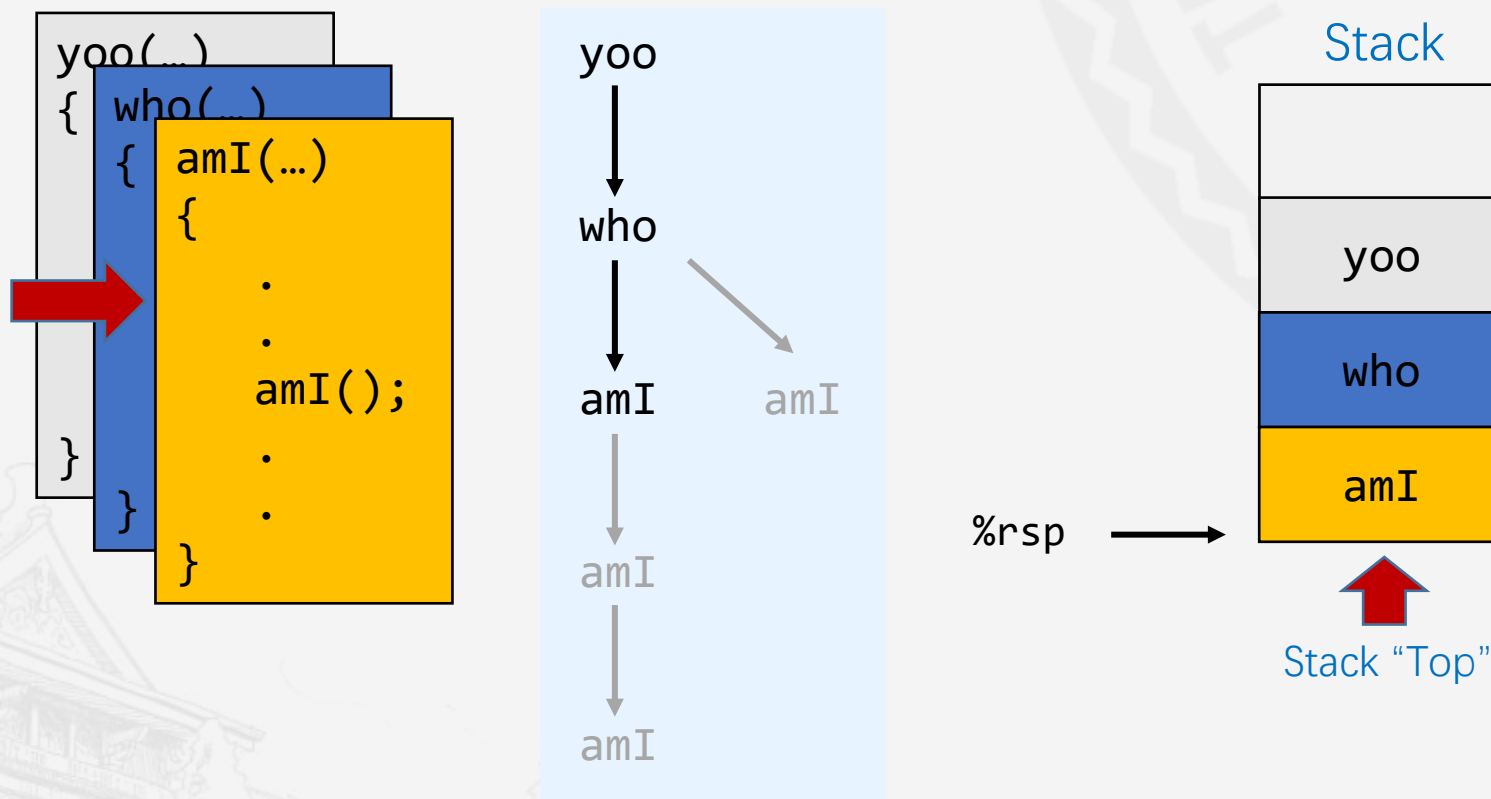




过程调用规范

Passing Control

举例：调用链 Call Chain Example

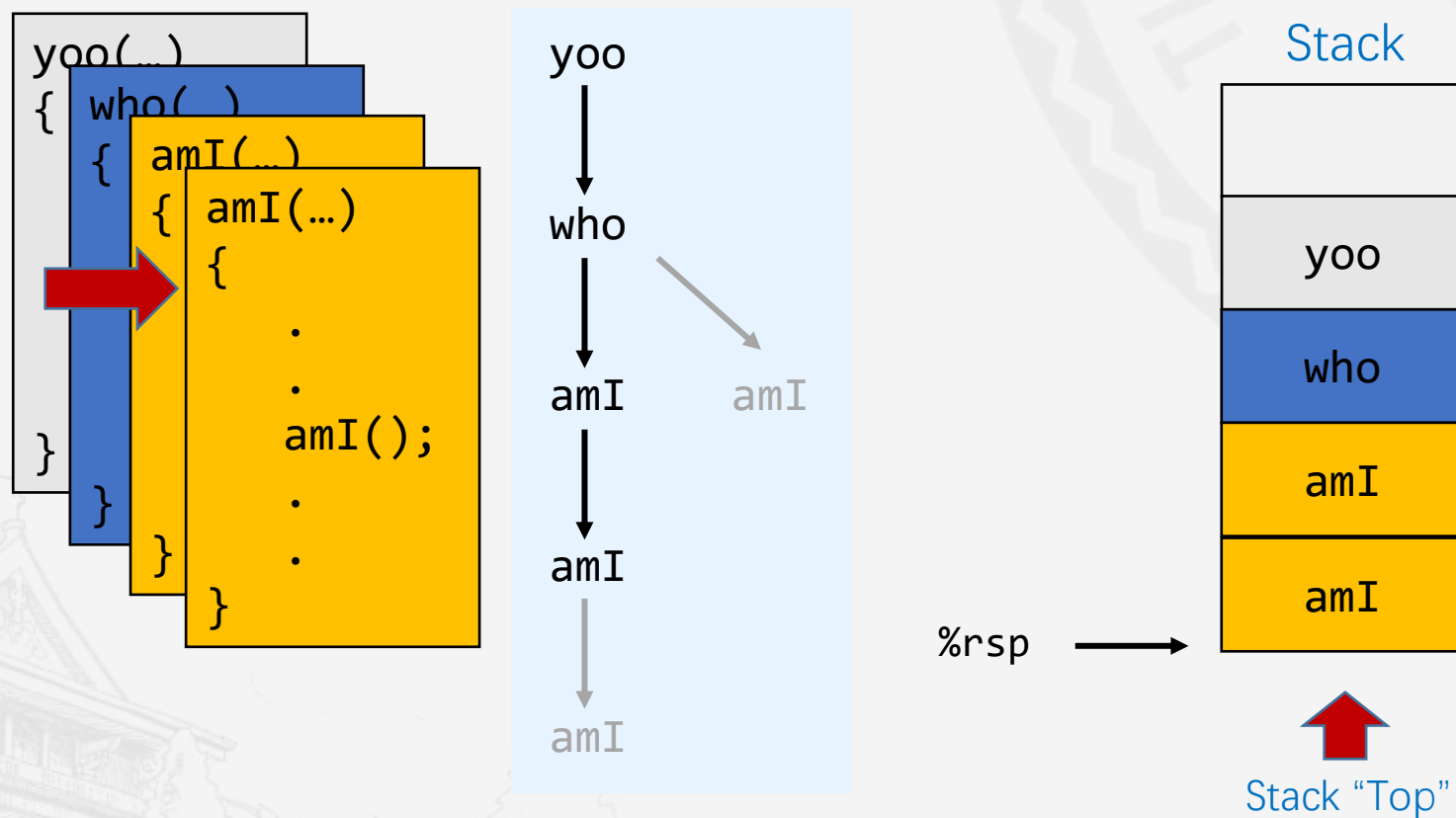




过程调用规范

Passing Control

举例：调用链 Call Chain Example

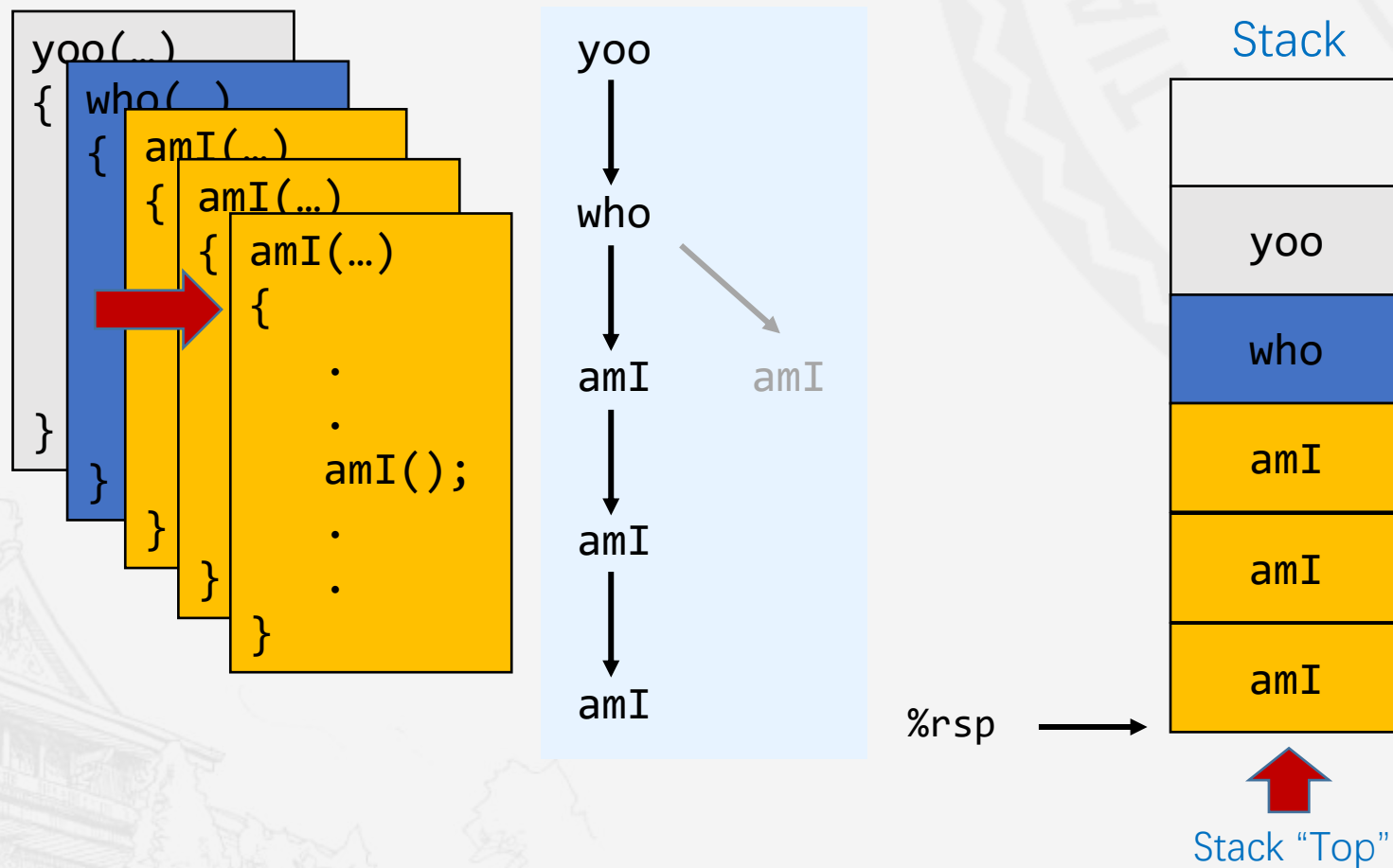




过程调用规范

Passing Control

举例：调用链 Call Chain Example

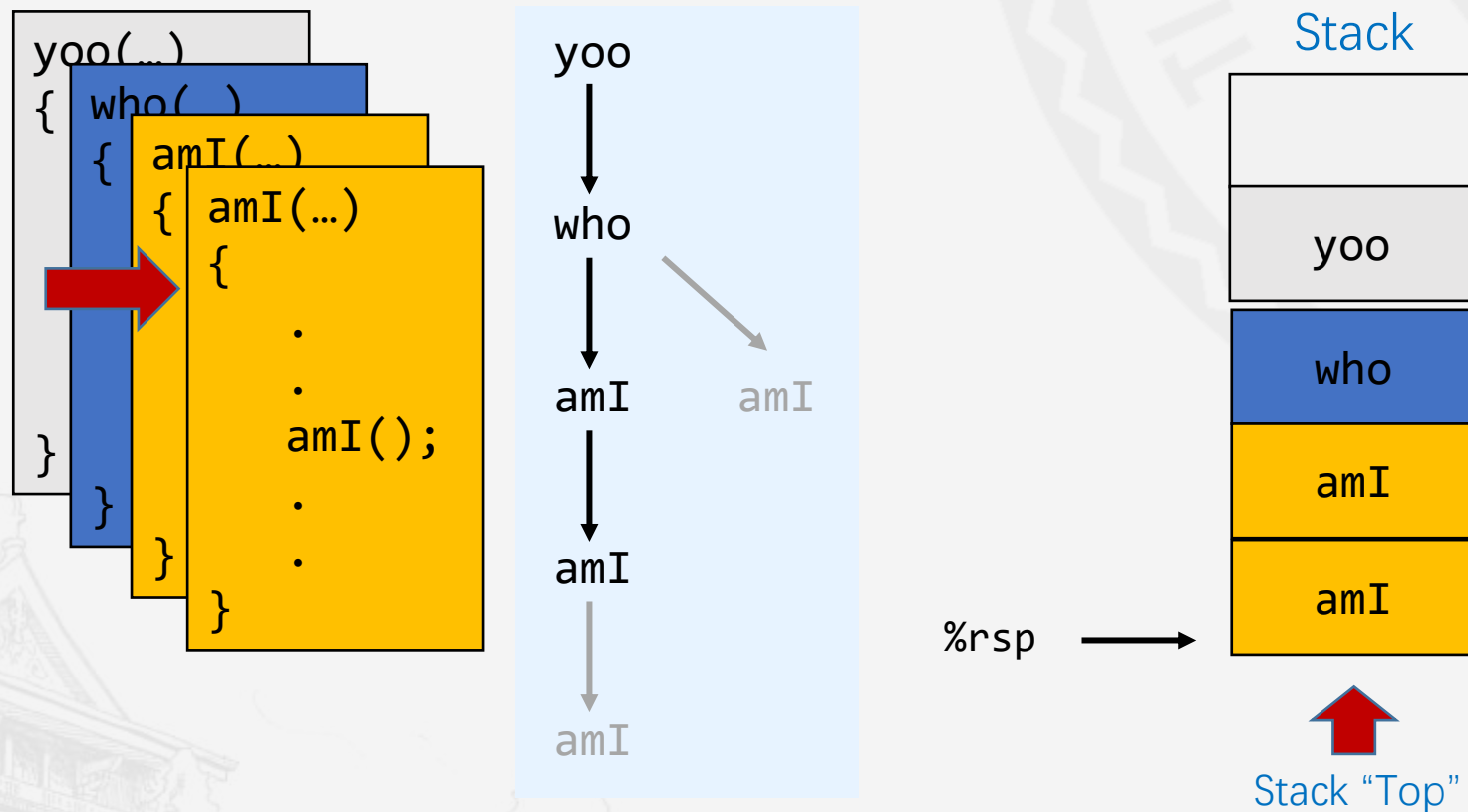




过程调用规范

Passing Control

举例：调用链 Call Chain Example

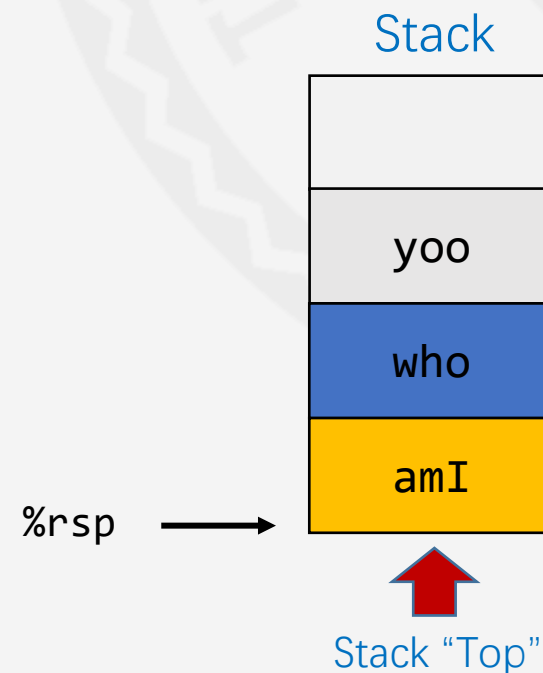
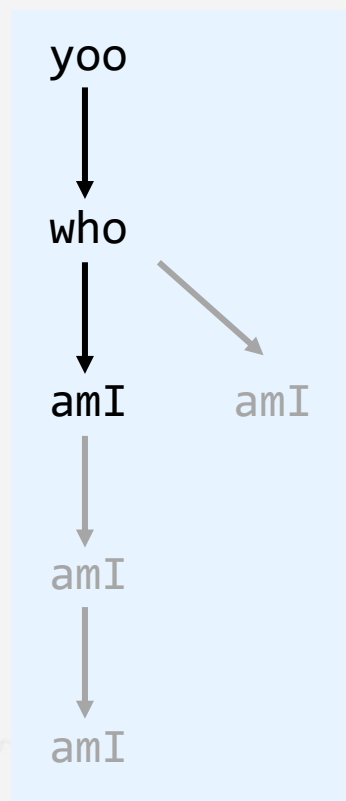
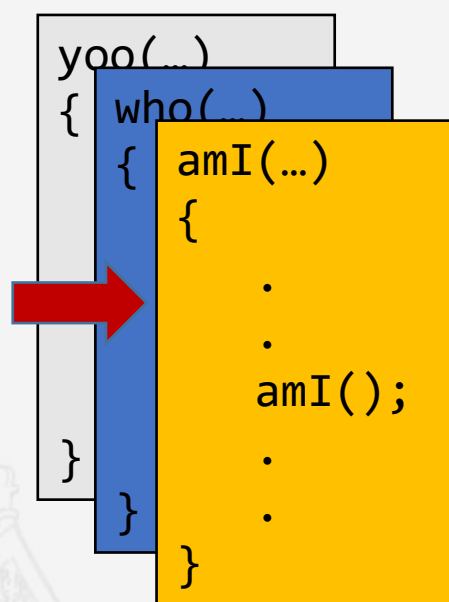




过程调用规范

Passing Control

举例：调用链 Call Chain Example

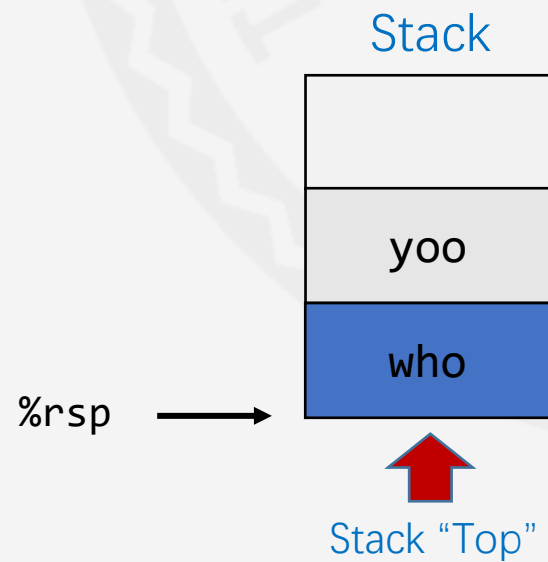
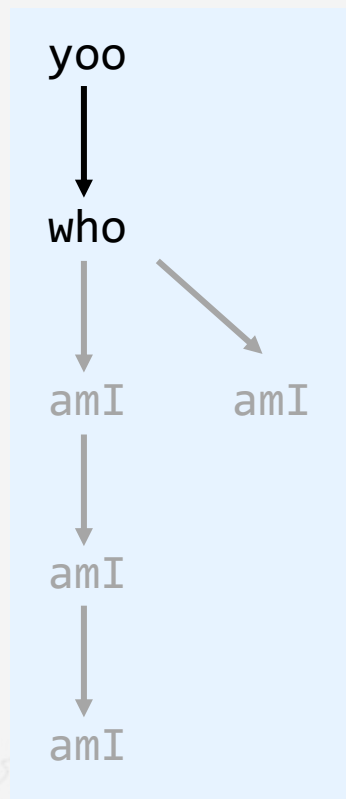
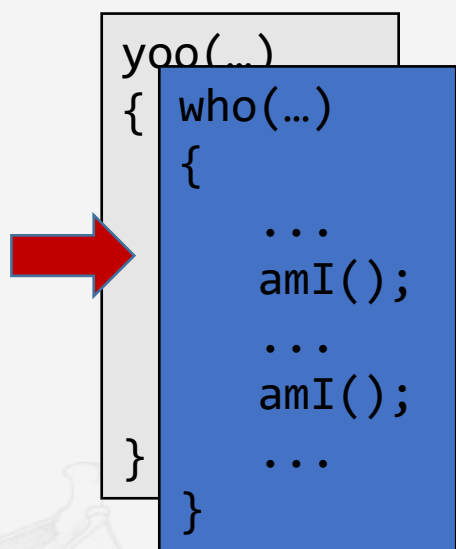




过程调用规范

Passing Control

举例：调用链 Call Chain Example

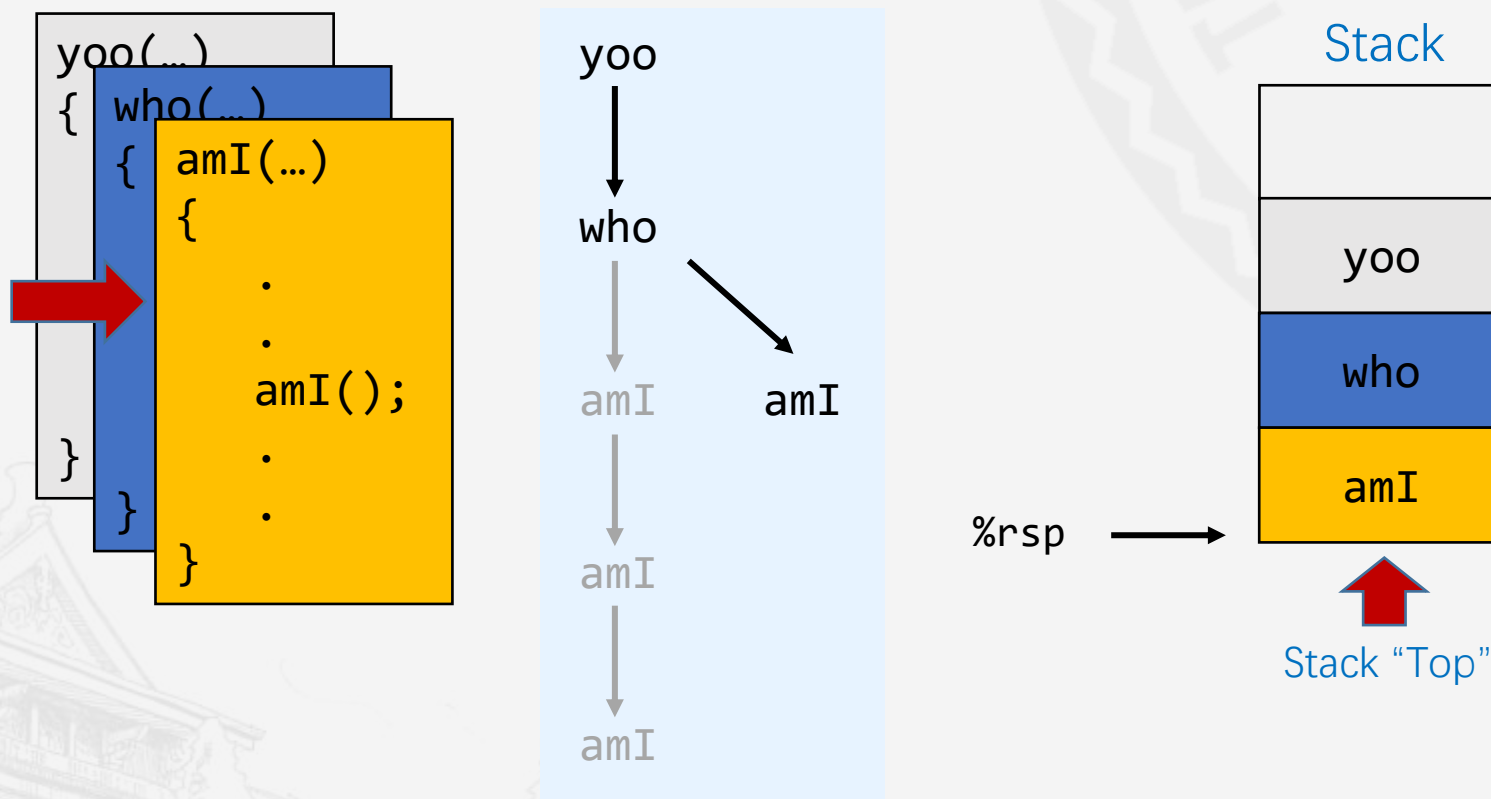




过程调用规范

Passing Control

举例：调用链 Call Chain Example

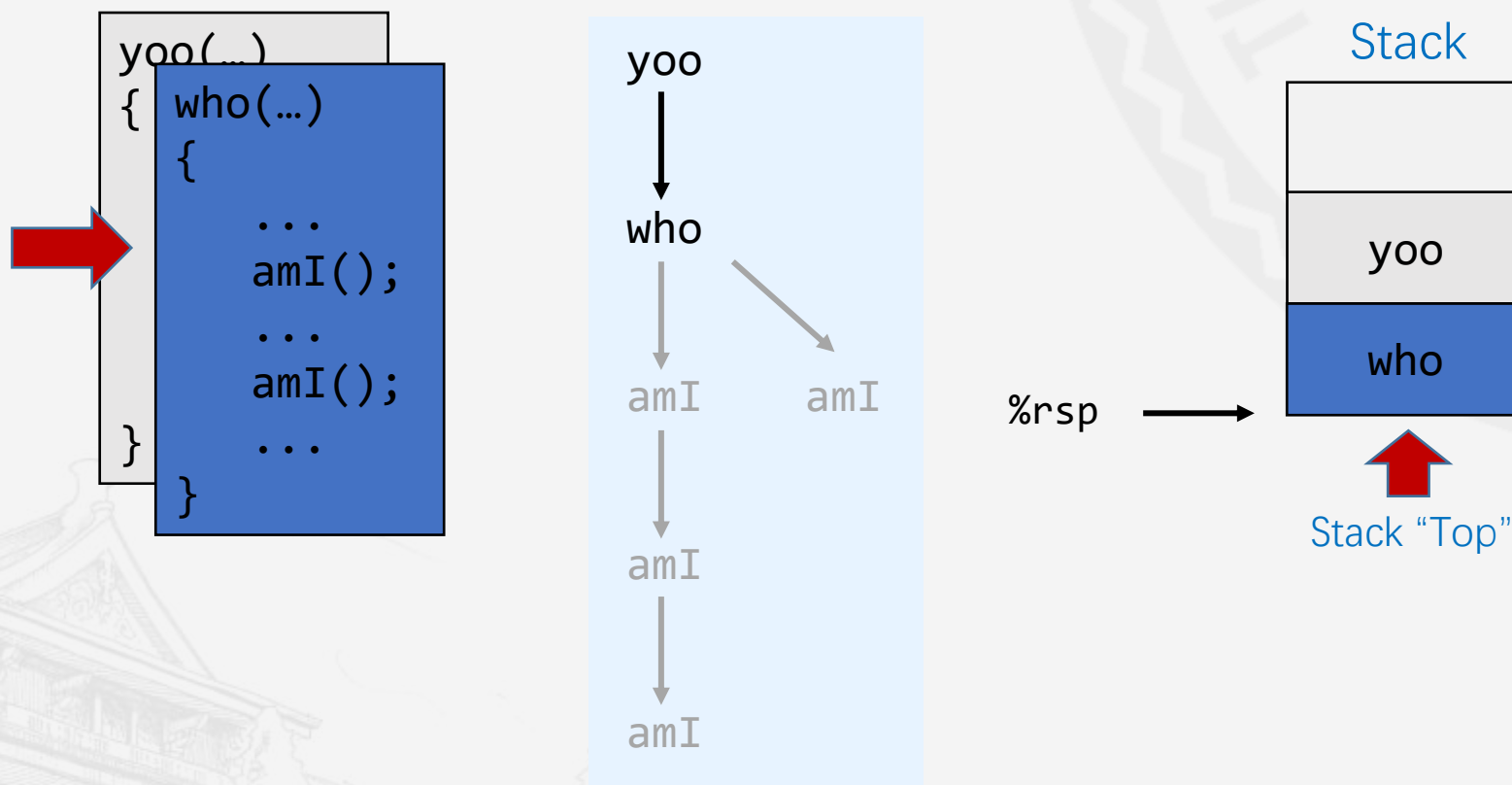




过程调用规范

Passing Control

举例：调用链 Call Chain Example

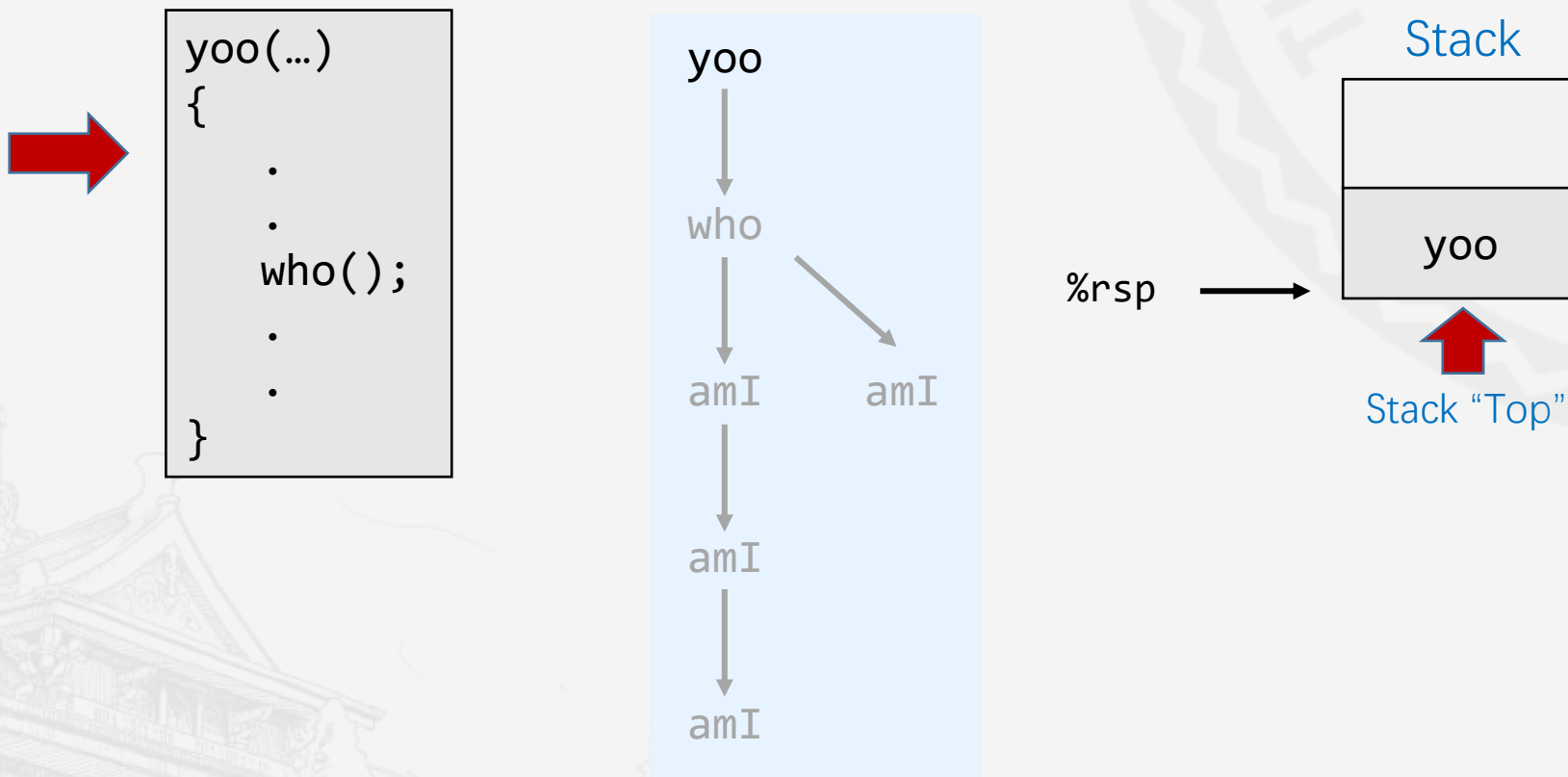




过程调用规范

Passing Control

举例：调用链 Call Chain Example



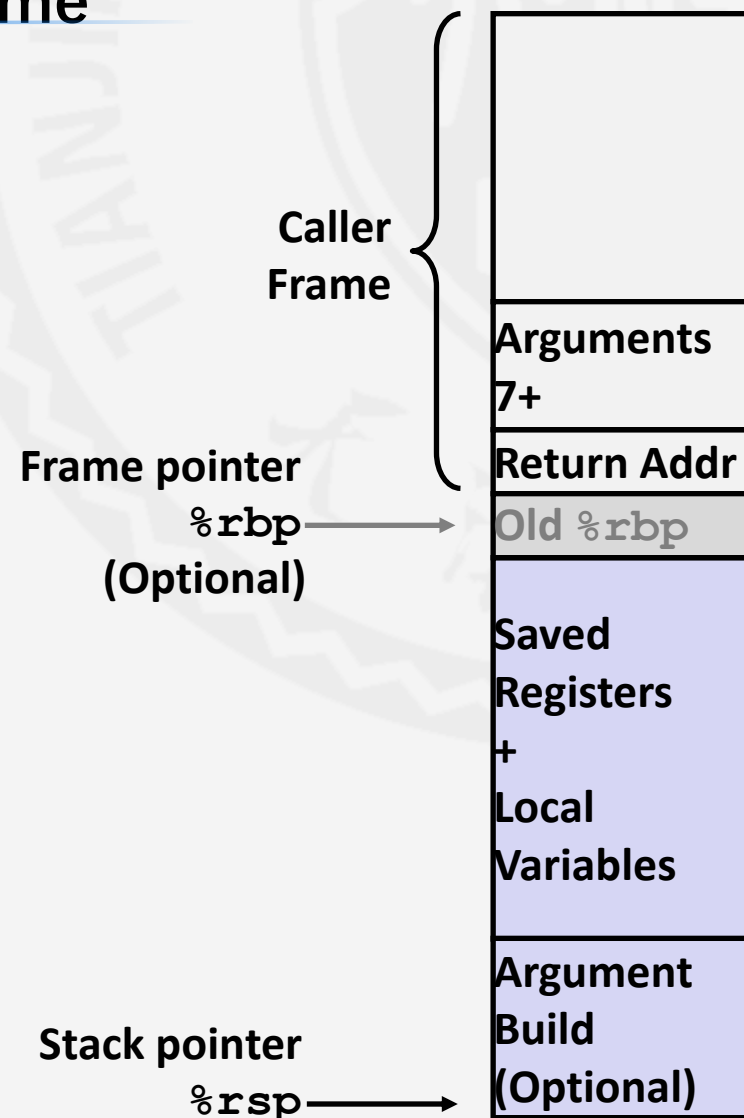


过程调用规范

Passing Control

x86-64/Linux 栈帧 x86-64/Linux Stack Frame

- 当前栈帧（从顶至底）
Contents
 - 参数：即将要调用的函数的参数
“Argument build:” Parameters for function about to call
 - 本地变量：在寄存器中保存不下的
Local variables: If can't keep in registers
 - 保存的寄存器上下文
Saved register context
 - 指向调用者的栈帧底部的指针（可选）
Old frame pointer (optional)
- 调用者栈帧
Caller Stack Frame
 - 返回地址
Return address
 - 调用call指令时入栈
Pushed by call instruction
 - 本次调用的参数
Arguments for this call





过程调用规范

Passing Control

举例: incr 过程

Example: incr

```
long incr(long *p, long val)
{
    long x = *p;
    long y = x + val;
    *p = y;
    return x;
}
```

```
incr:
    movq    (%rdi), %rax
    addq    %rax, %rsi
    movq    %rsi, (%rdi)
    ret
```

Register	Use(s)
%rdi	Argument p
%rsi	Argument val , y
%rax	x , Return value



过程调用规范

Passing Control

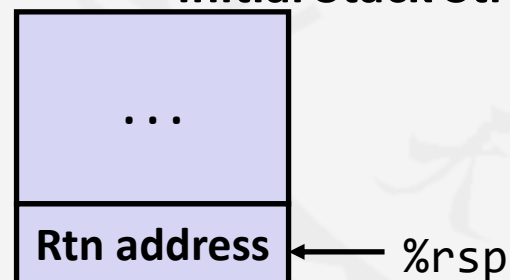
举例：调用 incr #1 Example: Calling incr #1

```
long call_incr() {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return v1+v2;  
}
```

```
call_incr:  
    subq    $16, %rsp  
    movq    $15213, 8(%rsp)  
    movl    $3000, %esi  
    leaq    8(%rsp), %rdi  
    call    incr  
    addq    8(%rsp), %rax  
    addq    $16, %rsp  
    ret
```

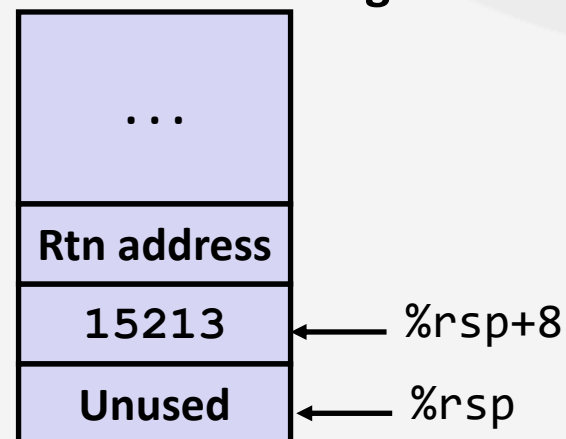
栈的初始结构

Initial Stack Structure



指令执行后的栈的结构

Resulting Stack Structure





过程调用规范

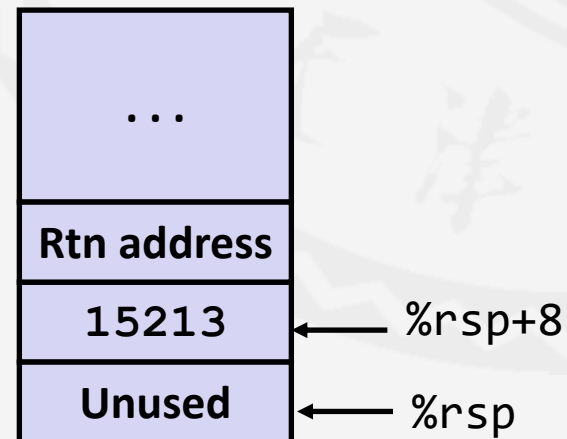
Passing Control

举例：调用 incr #2 Example: Calling incr #2

```
long call_incr() {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return v1+v2;  
}
```

```
call_incr:  
    subq    $16, %rsp  
    movq    $15213, 8(%rsp)  
    movl    $3000, %esi  
    leaq    8(%rsp), %rdi  
    call    incr  
    addq    8(%rsp), %rax  
    addq    $16, %rsp  
    ret
```

Stack Structure



Register	Use(s)
$\%rdi$	$\&v1$
$\%rsi$	3000



过程调用规范

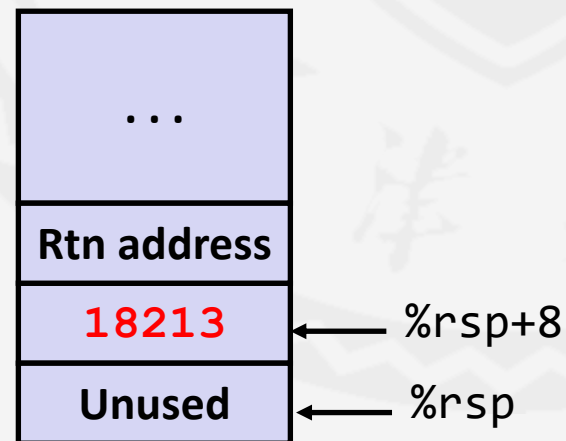
Passing Control

举例：调用 incr #3 Example: Calling incr #3

```
long call_incr() {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return v1+v2;  
}
```

```
call_incr:  
    subq    $16, %rsp  
    movq    $15213, 8(%rsp)  
    movl    $3000, %esi  
    leaq    8(%rsp), %rdi  
    call    incr  
    addq    8(%rsp), %rax  
    addq    $16, %rsp  
    ret
```

Stack Structure



Register	Use(s)
%rdi	&v1
%rsi	3000



过程调用规范

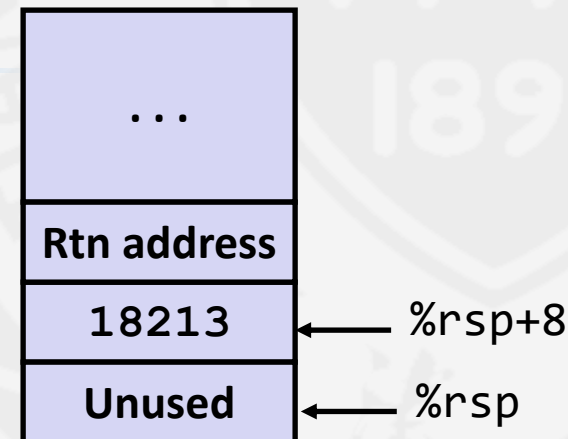
Passing Control

举例：调用 incr #4 Example: Calling incr #4

```
long call_incr() {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return v1+v2;  
}
```

```
call_incr:  
    subq    $16, %rsp  
    movq    $15213, 8(%rsp)  
    movl    $3000, %esi  
    leaq    8(%rsp), %rdi  
    call    incr  
    addq    8(%rsp), %rax  
    addq    $16, %rsp  
    ret
```

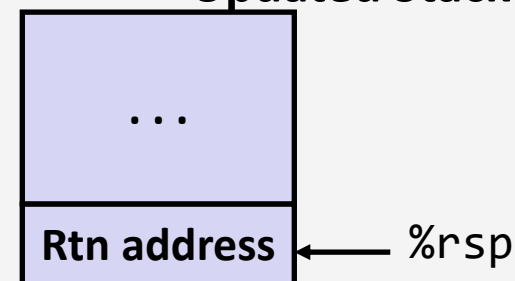
Stack Structure



Register	Use(s)
%rax	Return value

更新后栈的结构

Updated Stack Structure





过程调用规范

Passing Control

举例：调用 incr #5 Example: Calling incr #5

```
long call_incr() {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return v1+v2;  
}
```

```
call_incr:  
    subq    $16, %rsp  
    movq    $15213, 8(%rsp)  
    movl    $3000, %esi  
    leaq    8(%rsp), %rdi  
    call    incr  
    addq    8(%rsp), %rax  
    addq    $16, %rsp  
    ret
```

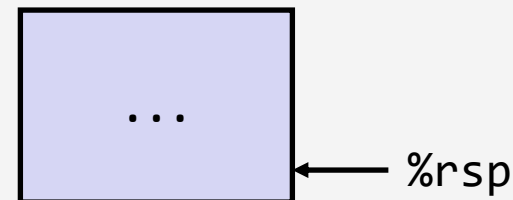
Updated Stack Structure



Register	Use(s)
%rax	Return value

栈的最终结构

Final Stack Structure





寄存器使用惯例 Register Saving Conventions

- 寄存器可以用做临时存储吗?
Can register be used for temporary storage?

yoo:

```
• • •  
movq $15213, %rdx  
call who  
addq %rdx, %rax  
• • •  
ret
```

who:

```
• • •  
subq $18213, %rdx  
• • •  
ret
```

- %rdx 的内容会被 who 覆盖
Contents of register %rdx overwritten by who
- 这会引发逻辑错误 → 需要做点什么
This could be trouble → something should be done!
 - 建立一种使用寄存器的协调机制
Need some coordination



寄存器使用惯例 Register Saving Conventions

■ 当 yoo 调用 who:

When procedure yoo calls who:

■ yoo是调用者

yoo is the caller

■ Who是被调用者

who is the callee

■ “调用者保护”

“Caller Saved”

■ 在调用前，调用者把临时数据保存到自己的栈帧中

Caller saves temporary values in its frame before the call

■ “被调用者保护”

“Callee Saved”

■ 被调用者在使用寄存器前将降临时数据保存至自己的栈帧中

Callee saves temporary values in its frame before using

■ 在被调用者返回前恢复这些数据

Callee restores them before returning to caller



x86-64 Linux 中寄存器的用途 #1 x86-64 Linux Register Usage #1

■ %rax, %rdi, %rsi, %rdx,
%rcx, %r8, %r9, %r10,
%r11

■ 调用者保护
Caller-saved

■ 在过程中值可能被修改
Can be modified by
procedure

■ %rax

■ 返回值
Return value

■ %rdi, ..., %r9

■ 参数
Arguments

Return value

Arguments

Caller-saved
temporaries

%rax

%rdi

%rsi

%rdx

%rcx

%r8

%r9

%r10

%r11



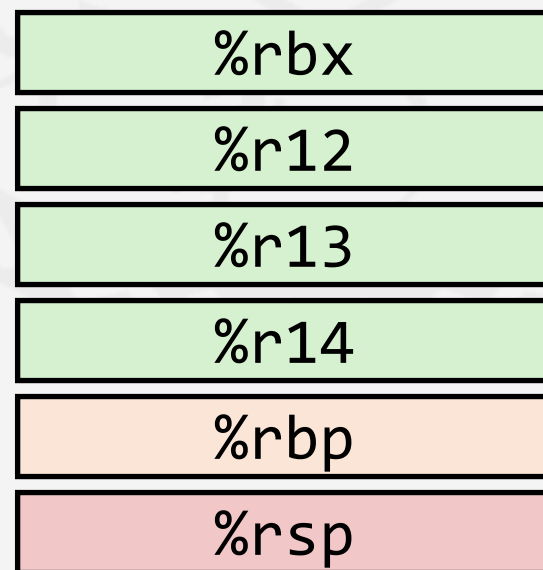
x86-64 Linux 中寄存器的用途 #2 x86-64 Linux Register Usage #2

- `%rbx`, `%r12`, `%r13`, `%r14`, `%rbp`, `%rsp`
 - 被调用者保护
Callee-saved
 - 被调用者必须保护和恢复
(如果被调用者使用)
Callee must save & restore

- `%rbp`
 - 可以用于存储指向栈底指针
May be used as frame pointer
 - 也可用作普通临时数据的存储
Arguments
- `%rsp`
 - 特殊的调用者保护形式
Special form of callee save
 - 过程返回前恢复
Restored to original value upon exit from procedure

Callee-saved
Temporaries

Special





过程调用规范

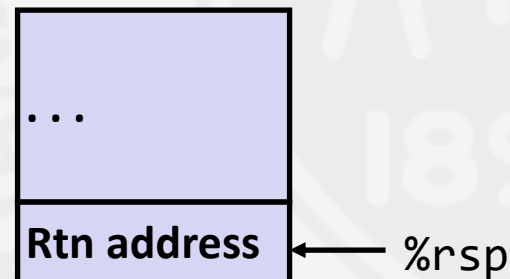
Passing Control

举例：被调用者保护 #1 Callee-Saved Example #1

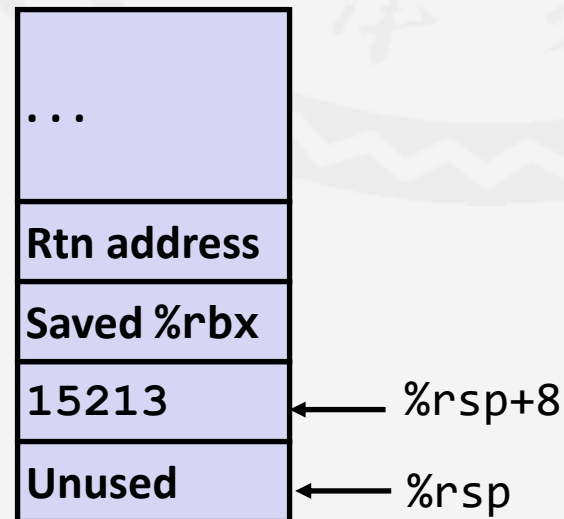
```
long call_incr2(long x) {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return x+v2;  
}
```

```
call_incr2:  
    pushq    %rbx  
    subq     $16, %rsp  
    movq     %rdi, %rbx  
    movq     $15213, 8(%rsp)  
    movl     $3000, %esi  
    leaq     8(%rsp), %rdi  
    call     incr  
    addq     %rbx, %rax  
    addq     $16, %rsp  
    popq     %rbx  
    ret
```

Initial Stack Structure



Resulting Stack Structure





过程调用规范

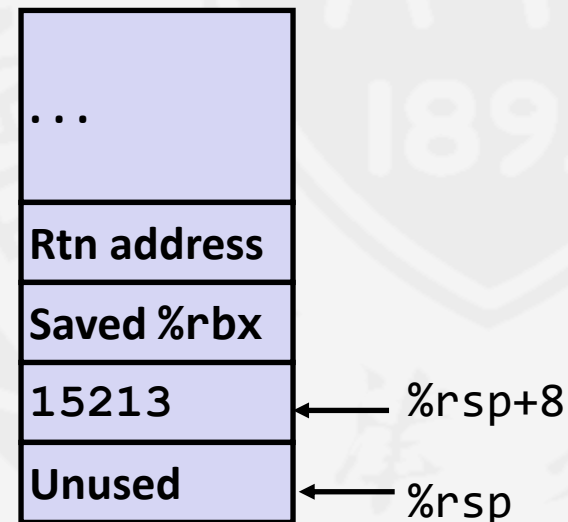
Passing Control

举例：被调用者保护 #2 Callee-Saved Example #2

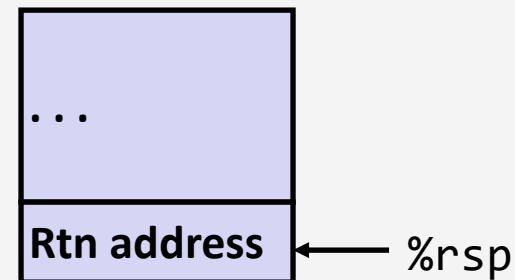
```
long call_incr2(long x) {  
    long v1 = 15213;  
    long v2 = incr(&v1, 3000);  
    return x+v2;  
}
```

```
call_incr2:  
    pushq    %rbx  
    subq     $16, %rsp  
    movq     %rdi, %rbx  
    movq     $15213, 8(%rsp)  
    movl     $3000, %esi  
    leaq     8(%rsp), %rdi  
    call     incr  
    addq     %rbx, %rax  
    addq     $16, %rsp  
    popq     %rbx  
    ret
```

Resulting Stack Structure



Pre-return Stack Structure





本章内容

Topic

□ 栈的结构

Stack Structure

□ 过程调用规范

Calling Conventions

□ 递归

Recursion





递归函数 Recursive Function

```
/* Recursive popcount */  
long pcount_r(unsigned long x)  
{  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1) + pcount_r(x >> 1);  
}
```

```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```

递归函数的出口 Recursive Function Terminal Case

```
/* Recursive popcount */  
long pcount_r(unsigned long x) {  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1)  
            + pcount_r(x >> 1);  
}
```

Register	Use(s)	Type
%rdi	x	Argument
%rax	Return value	Return value

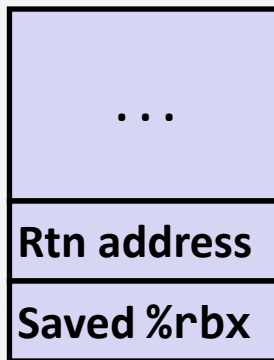
```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```




递归函数的寄存器保护 Recursive Function Register Save

```
/* Recursive popcount */  
long pcount_r(unsigned long x) {  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1) + pcount_r(x >> 1);  
}
```

Register	Use(s)	Type
%rdi	x	Argument



```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```

递归函数的调用前的准备 Recursive Function Call Setup

```
/* Recursive popcount */  
long pcount_r(unsigned long x) {  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1) + pcount_r(x >> 1);  
}
```

Register	Use(s)	Type
%rdi	x >> 1	Rec. argument
%rbx	x & 1	Callee-saved

```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```

递归函数的调用 Recursive Function Call

```
/* Recursive popcount */  
long pcount_r(unsigned long x) {  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1) + pcount_r(x >> 1);  
}
```

Register	Use(s)	Type
%rbx	x & 1	Callee-saved
%rax	Recursive call return value	

```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```

递归函数的结果

Recursive Function Result

```
/* Recursive popcount */
long pcount_r(unsigned long x) {
    if (x == 0)
        return 0;
    else
        return (x & 1) + pcount_r(x >> 1);
}
```

Register	Use(s)	Type
%rbx	x & 1	Callee-saved
%rax	Return value	

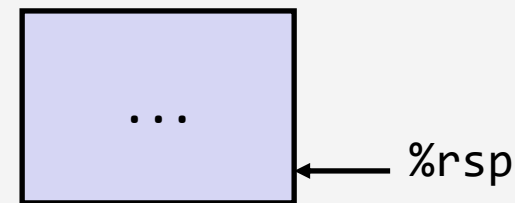
```
pcount_r:
    movl    $0, %eax
    testq   %rdi, %rdi
    je      .L6
    pushq   %rbx
    movq    %rdi, %rbx
    andl    $1, %ebx
    shrq    %rdi # (by 1)
    call    pcount_r
    addq    %rbx, %rax
    popq    %rbx
.L6:
    rep; ret
```

递归函数的结束 Recursive Function Completion

```
/* Recursive popcount */  
long pcount_r(unsigned long x) {  
    if (x == 0)  
        return 0;  
    else  
        return (x & 1) + pcount_r(x >> 1);  
}
```

Register	Use(s)	Type
%rax	Return value	Return value

```
pcount_r:  
    movl    $0, %eax  
    testq   %rdi, %rdi  
    je      .L6  
    pushq   %rbx  
    movq    %rdi, %rbx  
    andl    $1, %ebx  
    shrq    %rdi # (by 1)  
    call    pcount_r  
    addq    %rbx, %rax  
    popq    %rbx  
.L6:  
    rep; ret
```



对递归函数的观察 Observations About Recursion

- 和普通函数调用相比并没有什么特殊的处理
Handled Without Special Consideration
- 栈帧：每次函数调用都会分配一个私有的存储空间
Stack frames mean that each function call has private storage
 - 保存寄存器和局部变量
Saved registers & local variables
 - 保存返回地址
Saved return pointer
- 寄存器使用惯例保证了一次函数调用不会破坏其他函数的数据
Register saving conventions prevent one function call from corrupting another's data
- 栈与过程调用/返回在工作模式上完美契合
Stack discipline follows call / return pattern
 - 如果 P 调用 Q，则 Q 先于 P 返回
If P calls Q, then Q returns before P
 - 后进先出
Last-In, First-Out

- 同样也适用于相互递归
Also works for mutual recursion
 - P 调用 Q；Q 调用 P
P calls Q; Q calls P



程序的机器级表示：过程

Machine-Level Programming : Procedures

X86-64 过程总结 x86-64 Procedure Summary

■ 要点

Important Points

- 对于过程的调用与返回，栈是一种恰当的数据结构
Stack is the right data structure for procedure call / return
- 如果 P 调用 Q，则 Q 先于 P 返回
If P calls Q, then Q returns before P
- 递归和普通函数调用的处理方式相同
Recursion (& mutual recursion) handled by normal calling conventions
- 指针的值是地址
Pointers are addresses of values

