on Irreducible			
Unsigned-Integer Identifier	3		

Noah Syrkis

February 12, 2024

Mechanistic Interpretability

4 | MIII

Grokking

 \mathbb{Z} -sequences

5 | Simple trans. [4]

1 | Mech. interp. (MI)

1 | Mech. interp. (MI)

- ► Reverse-engineering neural network circuits.
- ▶ Nanda et al. [5] shows MI modular addition transformer.
- ► There are (allegedly) low hanging fruits in MI.

2 | Grokking

- ▶ Grokking is when a model suddenly generalises.
- ▶ Nanda et al. [5] shows grokking in a transformer.
- ▶ Grokking means the weights represents an algorithm...
- ▶ ... rather than a dataset.

2 | Grokking (cont.)

- ► Since MI is about reverse-engineering circuits...
- ▶ ... grokking is a good sign for MI ...
- ▶ ... as it means circuits are *there*.

$3 \mid \mathbb{Z}$ -sequences

- ▶ Belcák et al. [2] shows that transformers can sequences $\in \mathbb{Z}$.
- ▶ They work in thousands of squences from OEIS [6].
- ▶ They have four tasks: (1) sequence classification, (2) sequence comparission, (3) sequence continuation, and (4) sequence unmasking.
- ► Each task is strictly harder than the previous one.

$3 \mid \mathbb{Z}$ -sequences (cont.)

- ightharpoonup Though \mathbb{Z} -sequences are simple to see, some can be hard to impossible to understand.
- ▶ 1, 2, 3, ..., 100 is easy, while the busy beaver sequence [1] is hard/impossible.
- ► Complexity ranges from trivial to fuck-off-forever.

4 | MIII

- ► MI on primes.
- ▶ Base 10 centric.
- ▶ Last digits $d_l \in \{1, 3, 7, 9\}$
- ► Conmy et al. [3] tries to automate this.

4 | MIII (cont.)

Table 1: Four digit dataset with numbers and labels $([\mathbf{X}|\mathbf{Y}])$.

x_0	x_1	x_2	x_3	y_0	y_1	y_2	y_3
1001	1003	1007	1009	0	0	0	1
1011	1013	1017	1019	0	1	0	1
:							:
9981	9983	9987	9989	0	0	0	0
9991	9993	9997	9999	0	0	0	0

4 | MIII (cont.)

▶ I will focus on He and Hofmann [4]'s simple transformer (see sec. 5).

5 | Simple trans. [4]

$$\mathbf{A}(\mathbf{X}) \leftarrow (\alpha I_T + \beta \mathbf{A}(\mathbf{X}))$$
 (1)

5 | Simple trans. [4] (cont.)

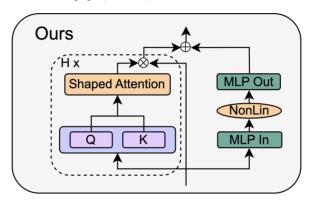


Figure 1: He and Hofmann [4]'s transformer block

References

- [1] Scott Aaronson. "The Busy Beaver Frontier". In: *ACM SIGACT News* 51.3 (Sept. 2020), pp. 32–54. DOI: 10.1145/3427361.3427369.
- [2] Peter Belcák et al. FACT: Learning Governing Abstractions Behind Integer Sequences. Sept. 2022. arXiv: 2209.09543 [cs].
- [3] Arthur Conmy et al. Towards Automated Circuit Discovery for Mechanistic Interpretability. Oct. 2023. DOI: 10.48550/arXiv.2304.14997. arXiv: 2304.14997 [cs].
- [4] Bobby He and Thomas Hofmann. Simplifying Transformer Blocks. Nov. 2023. DOI: 10.48550/arXiv.2311.01906. arXiv: 2311.01906 [cs].

- [5] Neel Nanda et al. Progress Measures for Grokking via Mechanistic Interpretability. Oct. 2023. arXiv: 2301.05217
 [cs].
- [6] N. J. A. Sloane. The On-Line Encyclopedia of Integer Sequences. Dec. 2003. DOI: 10.48550/arXiv.math/0312448. arXiv: math/0312448.