MIIII

Mechanistic

University of Copenhagen

Feb. 23, 2024

"This disgusting pile of matrices with some non-linearities in between is an impressively poorly written beautiful and concise algorithm"  $^{1}$ 

<sup>&</sup>lt;sup>1</sup>Neel Nanda (not verbatim)

### 1 | Mech. interp. (MI)

- ► To look into black (or opaque) machine learning (ML) boxes.
- ► Reverse-engineering deep learned circuits.
- ▶ Nanda et al. [7] shows MI on modular addition transformer.
- ▶ We show MI on prime number classification transformer.

▶ ML complexity (probably) grows faster than MI [9].

- ▶ ML complexity (probably) grows faster than MI [9].
- ▶ Lessons from MI might still inform ML development and risk.

- ▶ ML complexity (probably) grows faster than MI [9].
- ▶ Lessons from MI might still inform ML development and risk.
- ▶ Work on automatic MI [3]: ML might have a rôle in MI.

- ▶ ML complexity (probably) grows faster than MI [9].
- ▶ Lessons from MI might still inform ML development and risk.
- ▶ Work on automatic MI [3]: ML might have a rôle in MI.
- ► Current ML is sub-symbolic (lacks the rigor of formal systems).

- ▶ ML complexity (probably) grows faster than MI [9].
- ▶ Lessons from MI might still inform ML development and risk.
- ▶ Work on automatic MI [3]: ML might have a rôle in MI.
- ► Current ML is sub-symbolic (lacks the rigor of formal systems).
- ▶ Metric frenzy has made ML more engineering than science.

### 1 | Grokking

- ▶ When a model suddenly generalizes [8].
- ► Grokking means the weights represent an algorithm . . .
- ▶ ... rather than a dataset.
- ▶ Good for MI, as it means circuits are there to be discovered.

#### 2 | Transformers

- ► Famously introduced by Vaswani et al. [11].
- ▶ Batch normalization, residual streams, projections, etc.
- ▶ We use He and Hofmann [4]'s simplified transformer block.

"God made the natural numbers; all else is the work of man."  $^2\,$ 

<sup>&</sup>lt;sup>2</sup>Leopold Kronecker (also not verbatim)

#### $3 \mid \mathbb{Z}$

Complexity from trivial in seq. 1 to impossible in seq. 2 (busy beaver [1]).
OEIS [10] is a big database of ℤ-seqs.
Four ℤ tasks: classify, compare, continue, and unmask [2].
(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(5)
(6)
(7)
(8)
(9)
(9)
(1)
(1)
(2)
(3)
(4)
(4)
(5)
(6)
(7)
(8)
(9)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(4)
(5)
(6)
(7)
(7)
(8)
(9)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(4)
(5)
(6)
(7)
(7)
(8)
(9)
(9)
(1)
(1)
(1)
(2)
(3)
(4)
(4)
(5)
(6)
(7)
(7)
(8)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(9)
(

▶ We focus on primes (seq. 3), which Lee

and Kim [6] shows is doable.

 $2, 3, 5, 7, 9, \dots$  (3)

### 3 | Irreducible integers<sup>3</sup>

- $\blacktriangleright$  Given a sequence from  $\mathbb{Z}$ , which numbers are prime?
- ► Tests to determine primality include:
  - ▶ Wilson's Theorem: n > 1 is prime if  $(n-1) \equiv -1 \mod n$ .
  - ▶ Fermat's Little Theorem:  $a^{n-1} \mod n = 1$ , for a < n.
  - ► Euler's Criterion, AKS Primality Test, Miller-Rabin Primality Test, and more.

 $<sup>^3</sup>$ Is what you call prime numbers when you really want the acronym of your project title to be MIIII.

### 3 | Irreducible integers (cont.)

Table 1: Four digit dataset with numbers and labels ([X|Y]).

$x_0$	$x_1$	$x_2$	$x_3$	$y_0$	$y_1$	$y_2$	$y_3$
1001	1003	1007	1009	0	0	0	1
1011	1013	1017	1019	0	1	0	1
i i							:
9981	9983	9987	9989	0	0	0	0
9991	9993	9997	9999	0	0	0	0

# 4 | The MU puzzle [5]

- ► Can you get MI from MU by:
  - 1. Adding a U to the end of any string ending in I.
  - 2. Doubling the string after the M.
  - 3. Replacing any III with a U.
  - 4. Removing any UU.

## 5 | MIIII

- ► We something soemthign
- ► Then this
- ► Then this
- ► Then this

5 | MIIII (cont.)

▶ But then this.

## 5 | MIIII (cont.)

#### The algorithm

#### References

- [1] Scott Aaronson. "The Busy Beaver Frontier". In: *ACM SIGACT News* 51.3 (Sept. 2020), pp. 32–54. DOI: 10.1145/3427361.3427369.
- [2] Peter Belcák et al. FACT: Learning Governing Abstractions Behind Integer Sequences. Sept. 2022. arXiv: 2209.09543 [cs].
- [3] Arthur Conmy et al. Towards Automated Circuit Discovery for Mechanistic Interpretability. Oct. 2023. DOI: 10.48550/arXiv.2304.14997. arXiv: 2304.14997 [cs].
- [4] Bobby He and Thomas Hofmann. Simplifying Transformer Blocks. Nov. 2023. DOI: 10.48550/arXiv.2311.01906. arXiv: 2311.01906 [cs].

- [5] Douglas R. Hofstadter. Gödel, Escher, Bach: An Eternal Golden Braid. 20th-anniversary ed. London: Penguin, 2000. ISBN: 978-0-14-028920-6.
- [6] Serin Lee and S. Kim. Exploring Prime Number Classification: Achieving High Recall Rate and Rapid Convergence with Sparse Encoding. Feb. 2024. arXiv: 2402.03363 [cs, math].
- [7] Neel Nanda et al. Progress Measures for Grokking via Mechanistic Interpretability. Oct. 2023. arXiv: 2301.05217 [cs].
- [8] Alethea Power et al. Grokking: Generalization Beyond
  Overfitting on Small Algorithmic Datasets. Jan. 2022. DOI:
  10.48550/arXiv.2201.02177. arXiv: 2201.02177 [cs].

- [9] Advait Sarkar. "Is Explainable AI a Race Against Model Complexity?" In: Joint Proceedings of the IUI 2022 Workshops: APEx-UI, HAI-GEN, HEALTHI, HUMANIZE, TEXSS, SOCIALIZE. Ed. by Alison Smith-Renner and Ofra Amir. Vol. 3124. CEUR Workshop Proceedings. Virtual Event, Helsinki: CEUR, Mar. 2022, pp. 192–199.
- [10] N. J. A. Sloane. The On-Line Encyclopedia of Integer Sequences. Dec. 2003. DOI: 10.48550/arXiv.math/0312448. arXiv: math/0312448.
- [11] Ashish Vaswani et al. Attention Is All You Need. Dec. 2017.

  DOI: 10.48550/arXiv.1706.03762. arXiv: 1706.03762 [cs].