

## Example 101: canonical examples for overbinding w.r.t. order

Here, the second lower prooftree shows what huang would produce.

### Ex 101a

$$\begin{array}{c}
 \frac{P(\textcolor{red}{u}, f(\textcolor{red}{u})) \vee Q(\textcolor{red}{u}) \quad \neg Q(a)}{P(a, f(a))} \quad \frac{\neg Q(a)}{u \mapsto a} \quad \frac{\neg P(x, y)}{x \mapsto a, y \mapsto f(a)} \\
 \hline
 \square
 \end{array}$$

$$\frac{\frac{\perp}{Q(a)} \quad \top}{P(a, f(a)) \vee Q(a)} \quad \frac{\top}{x \mapsto a, y \mapsto f(a)}$$

$$\frac{\frac{\perp}{\forall x_1 Q(x_1)} \quad \top}{\forall x_1 \exists x_2 (P(x_1, x_2) \vee Q(x_1))} \quad \top$$

Direct overbinding would not work without merging same variables!:  $\forall x_1 \forall x_2 \exists x_3 (P(x_2, x_3) \vee Q(x_1))$

counterexample:  $Q \sim \{0\}, P \sim \{(1, 0)\}$

Direct overbinding would work when considering original dependencies as highlighted above

arrow lemma:

$$\frac{\Gamma \models \exists y_1 (P(\textcolor{red}{u}, y_1) \vee Q(\textcolor{red}{u}) \vee \perp) \quad \Gamma \models \neg Q(x_1) \vee \top}{\Gamma \models \exists y_1 (P(\textcolor{red}{x}_1, y_1) \vee Q(\textcolor{red}{x}_1))} \quad \frac{\Gamma \models \neg P(x, y) \vee \top}{x \mapsto a, y \mapsto f(a)}$$

$$\frac{\Gamma \models \exists y_1 (P(\textcolor{red}{x}_1, y_1) \vee Q(\textcolor{red}{x}_1)) \quad \Gamma \models \neg P(x, y) \vee \top}{\Gamma \models (\forall x_1) \exists y_1 (Q(\textcolor{red}{x}_1) \vee P(\textcolor{red}{x}_1, y_1))}$$

### Ex 101b – other resolution order

$$\frac{P(u, f(u)) \vee Q(u) \quad \neg P(x, y)}{Q(u)} \quad \frac{\neg P(x, y)}{y \mapsto f(u), x \mapsto u} \quad \frac{\neg Q(a)}{u \mapsto a} \\
 \hline
 \square$$

$$\frac{\frac{\perp}{P(u, f(u))} \quad \top}{P(a, f(a)) \vee Q(a)} \quad \top \quad u \mapsto a$$

$$\frac{\frac{\perp}{\exists x_1 P(u, x_1)} \quad \top}{\forall x_1 \exists x_2 (P(x_1, x_2) \vee Q(x_1))} \quad \top \quad u \mapsto a$$

### Ex 101c – $\Pi$ and $\Sigma$ swapped

$$\frac{P(u, f(u)) \vee Q(u) \quad \neg P(x, y)}{Q(u)} \quad \frac{\neg P(x, y)}{y \mapsto f(u), x \mapsto u} \quad \frac{\neg Q(a)}{u \mapsto a} \\
 \hline
 \square$$

$$\frac{\top \quad \perp}{\neg P(u, f(u))} \quad \top \quad \frac{\perp}{\neg P(a, f(a)) \wedge \neg Q(a)} \quad u \mapsto a$$

$$\frac{\top \quad \perp}{\forall x_2 \neg P(u, x_2)} \quad \perp \quad \frac{\perp}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \wedge \neg Q(x_1))}$$

arrow lemma:

$$\begin{array}{c}
\frac{\Gamma \models P(u, x_1) \vee Q(u) \vee \top \quad \Gamma \models \neg P(x, y) \vee \perp}{\left( Q(u) \mid (\neg P(x, x_1) \wedge \top) \vee (P(u, /f(u)) \wedge \perp) \right) \sigma} y \mapsto f(u), x \mapsto u \\
Q(u) \mid (\neg P(u, x_1) \wedge \top) \vee (P(u, f(u)) \wedge \perp) \\
\text{employ } \sigma' \text{ !?!?!?!?!?!?!?!?!} \\
\frac{\Gamma \models Q(u) \mid \neg P(u, x_1) \quad \Delta \models Q(u) \mid \exists x_1 P(u, x_1)}{\Gamma \models \exists y_1 \neg Q(y_1)} u \mapsto a \\
\text{both } u\text{'s on LHS need to become } a \text{ and then } y_1 \\
\Gamma \models (\forall x_1) \exists y_1 (\neg P(y_1, x_1) \vee \neg Q(y_1)) \\
\Delta \models (\exists x_1) \forall y_1 (P(y_1, x_1) \wedge Q(y_1))
\end{array}$$

**Ex 101d –  $\Pi$  and  $\Sigma$  swapped, other resolution order**

$$\frac{\frac{P(u, f(u)) \vee Q(u) \quad \neg Q(a)}{P(a, f(a))} u \mapsto a \quad \neg P(x, y)}{P(a, f(a))} x \mapsto a, y \mapsto f(a) \quad \square$$

$$\frac{\frac{\top \quad \perp}{\neg Q(a)} y \mapsto a \quad \perp}{\neg Q(a) \wedge \neg P(a, f(a))} x \mapsto a, y \mapsto f(a) \quad \frac{\frac{\top \quad \perp}{\exists x_1 \neg Q(x_1)} \quad \perp}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \wedge \neg Q(x_1))}$$

**102 – similar to 101 but with intra-clause-set inferences**

**Ex 102a**

$$\frac{\frac{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z) \quad \neg P(y)}{Q(f(x), z)} \quad \frac{\neg Q(x_1, \textcolor{blue}{y}) \vee R(\textcolor{blue}{y}) \quad \neg R(g(z_1))}{\neg Q(x_1, g(z_1))} y \mapsto g(z_1), x_1 \mapsto f(x)}{x_1 \mapsto f(x), z \mapsto g(z_1)} \quad \square$$

$$\frac{\frac{\perp \quad \top}{P(f(x))} \quad \frac{\perp \quad \top}{R(g(z_1))}}{P(f(x)) \vee R(g(z_1))} y \mapsto g(z_1), x_1 \mapsto f(x) \quad \frac{\frac{\perp \quad \top}{\exists x_1 P(x_1)} \quad \frac{\perp \quad \top}{\forall x_2 R(x_2)}}{\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))} \text{ (order irrelevant!)}$$

**Ex 102b**

$$\frac{\frac{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z) \quad \neg P(y)}{Q(f(x), z)} \quad \frac{\neg Q(f(\textcolor{blue}{y}), z_1) \vee R(\textcolor{blue}{y}) \quad \neg R(a)}{\neg Q(f(a), z_1)} y \mapsto a}{x \mapsto a, z \mapsto z_1} \quad \square$$

$$\frac{\frac{\perp}{P(f(x))} \quad \top}{\frac{\perp}{R(a)} \quad y \mapsto a} \quad \frac{\perp}{x \mapsto a, z \mapsto z_1}$$

$$\frac{\frac{\perp}{\exists x_1 P(x_1)} \quad \top}{\forall x_2 R(x_2)} \quad \frac{\perp}{y \mapsto a} \quad \frac{\perp}{x \mapsto a, z \mapsto z_1}$$

direct:

$$\frac{\frac{\perp}{\exists x_1 P(x_1)} \quad \top \quad x_1 \sim f(x) \quad \frac{\perp}{\forall x_2 R(x_2)} \quad \top \quad x_2 \sim a}{\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))} \text{ order irrelevant!}$$

Ex 102b' with  $Q$  grey

$$\frac{\frac{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z) \quad \neg P(y)}{Q(f(x), z)} \quad \frac{\neg Q(f(\textcolor{blue}{y}), z_1) \vee R(\textcolor{blue}{y}) \quad \neg R(a)}{\neg Q(f(a), z_1)} \quad y \mapsto a}{x \mapsto a, z_1 \mapsto z} \quad \square$$

$$\frac{\frac{\perp \quad \top}{P(f(x))} \quad \frac{\perp \quad \top}{R(a)} \quad y \mapsto a}{(\neg Q(f(a), z) \wedge P(f(a))) \vee (Q(f(a), z) \wedge R(a))} \quad x \mapsto a, z_1 \mapsto z$$

arrow lemma: (change of specification:  $P(g(z_3))$  in clause in  $\Sigma$  instead of  $P(f(x))$ )

$$\frac{\frac{\exists x_1 (P(x_4) \vee Q(x_1, z)) \quad \neg P(y)}{\exists x_1 (P(g(z_3)) \wedge \perp) \vee (P(x_4) \wedge \top) \mid Q(x_1, z)} \quad \frac{\frac{\exists x_2 (\neg Q(x_2, z_1) \vee R(y)) \quad \forall x_3 \neg R(x_3)}{\forall x_3 \exists x_2 ((\neg R(x_3) \wedge \perp) \vee (R(a) \wedge \top) \mid \neg Q(x_2, z_1))} \quad y \mapsto a}{\frac{\exists x_1 (P(x_4) \mid Q(x_1, z)) \quad \forall x_3 \exists x_2 ((\neg R(x_3) \wedge \perp) \vee (R(x_3) \wedge \top) \mid \neg Q(x_2, z_1))}{\forall x_1 \forall x_3 \exists x_2 ((\neg Q(x_2, z_1) \wedge P(x_4)) \vee (Q(x_1, z) \wedge R(x_3)))} \quad x \mapsto a, z_1 \mapsto z}$$

arrow order:  $x_3 < x_2$ ,  $x_2$  same-block-as  $x_4$ :  $\forall x_3 \exists x_2 \exists x_4 \forall x_1 ((\neg Q(x_2, z_1) \wedge \neg P(x_4)) \vee (Q(x_1, z) \wedge R(x_3)))$

$\rightarrow$  bad example, plus some errors still in there

Huang:

$$\frac{\frac{\perp \quad \top}{\exists x_2 P(x_2)} \quad \frac{\perp \quad \top}{\forall x_1 R(x_1)} \quad y \mapsto a}{\forall x_1 \exists x_2 (\neg Q(x_2, z) \wedge P(x_2)) \vee (Q(x_2, z) \wedge R(x_1))} \quad x \mapsto a, z_1 \mapsto z$$

direct:

$$\frac{\frac{\perp \quad \top}{\exists x_2 P(x_2)} \quad x_2 \sim f(x) \quad \frac{\perp \quad \top}{\forall x_1 R(x_1)} \quad x_1 \sim a}{\forall x_1 \exists x_2 \exists x_3 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))} \quad x_3 \sim f(a); x_2 \parallel x_3, x_1 < x_3$$

$$\text{OR: } \frac{\exists x_2 \forall x_1 \exists x_3 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))}{\text{OR: } \exists x_1 \exists x_3 \forall x_2 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))}$$

Huang-Version passt weil man für existenzielle quantoren eh gleichen term einsetzt

direct w mixed, slightly different:

$$\frac{\frac{\perp \mid P(f(x)) \vee Q(x, z) \quad \top \mid \neg P(y)}{\exists x_2 P(x_2) \mid Q(x, z)} \quad x_2 \sim f(x) \quad \frac{\perp \mid \neg Q(f(y), z_1) \vee R(y) \quad \top \mid \neg R(a)}{\forall x_1 R(x_1) \mid \neg Q(f(a), z_1)} \quad x_1 \sim a}{\frac{\forall x_1 \exists x_3 \exists x_2 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))}{(\neg Q(f(a), z) \wedge P(f(f(a)))) \vee (Q(f(a), z) \wedge R(a))} \quad x_3 \sim f(a); x_2 \parallel x_3, x_1 < x_3}$$

last dependency not crucial because other arrow is a  $\Sigma$ -arrow as well, but just changing it to  $\Pi$  (and changing  $f$  for  $g$  should produce a quantifier alternation)

## Example 103: variables in interpolant get unified and order might have to be changed

103a: length changes but old version is still valid, despite huang's algorithm doing a sort

$$\frac{\frac{\frac{\perp}{Q(f(\textcolor{red}{x}))} \top}{Q(f(x)) \vee P(y) \vee R(\textcolor{red}{x})} \neg Q(y_1)}{P(y) \vee R(x)} y_1 \mapsto f(x) \quad \frac{\neg P(h(g(a)))}{R(x)} y \mapsto h(g(a)) \quad \frac{\neg R(g(g(a)))}{\square} x \mapsto g(g(a))$$

$$\frac{\frac{\frac{\perp}{Q(f(x))} \top}{Q(f(x)) \vee P(h(g(a)))} \top}{Q(f(g(g(a)))) \vee P(h(g(a))) \vee R(g(g(a)))} y \mapsto h(g(a)) \quad \top \quad \frac{\frac{\frac{\perp}{\exists x_1 Q(x_1)} \top}{\exists x_1 \forall x_2 (Q(x_1) \vee P(x_2))} \top}{X} \top$$

$X$ :

Huang's algo gives:

$$\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

Direct overbinding gives:  $x_3 < x_1$ , rest arbitrary, hence:

$$\forall x_3 \exists x_1 \forall x_2 (Q(x_1) \vee P(x_2) \vee R(x_3)) \leftarrow \text{this you do not get with huang}$$

$$\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

$$\forall x_3 \forall x_2 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

103b: length changes “uniformly”

$$\frac{\frac{\frac{\perp}{Q(f(f(\textcolor{red}{x})))} \top}{Q(f(f(x))) \vee P(f(x))} \neg Q(y_1)}{P(f(x)) \vee R(x)} y_1 \mapsto f(f(x)) \quad \frac{\neg P(y_2)}{R(x)} y_2 \mapsto f(x) \quad \frac{\neg R(g(a))}{\square} x \mapsto g(a)$$

$$\frac{\frac{\frac{\perp}{Q(f(f(x)))} \top}{Q(f(f(x))) \vee P(f(x))} \top}{Q(f(f(g(a)))) \vee P(f(g(a))) \vee R(g(a))} y_2 \mapsto f(x) \quad \top \quad \frac{\frac{\frac{\perp}{\exists x_1 Q(x_1)} \top}{\exists x_2 \exists x_1 (Q(x_1) \vee P(x_2))} \top}{\forall x_3 \exists x_2 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))} \top$$

Huang and direct overbinding somewhat coincide as  $x_2 < x_1$  in both cases, and  $x_3 < x_1$  and  $x_3 < x_2$

103c: Failed attempt: different variables, accidentally the same terms appear but no logical connection

$$\frac{\frac{\frac{\perp}{P(a, x)} \top}{\neg Q(a)} \neg P(y, f(\textcolor{red}{z})) \vee Q(\textcolor{red}{z})}{\neg P(y, f(a))} z \mapsto a \quad \frac{\neg P(y, f(a))}{\square} y \mapsto a, x \mapsto f(a)$$

Huang:

$$\frac{\frac{\perp}{P(a, f(a)) \wedge \neg Q(a)} \quad \frac{\frac{\perp}{\neg Q(a)} \quad \top}{z \mapsto a}}{y \mapsto a, x \mapsto f(a)} \quad \frac{\frac{\perp}{\exists x_1 \neg Q(x_1)} \quad \top}{\exists x_1 \forall x_2 (P(x_1, x_2) \wedge \neg Q(x_1))}$$

order required for  $\Pi$

direct:

$$\frac{\frac{\frac{\perp}{\exists x_1 \neg Q(x_1)} \quad \top}{x_1 \sim a} \quad \frac{\perp}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}}{x_2 \sim a, x_3 \sim f(a); x_1 < x_3}$$

$$\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))$$

invariant:

$$\frac{\frac{\frac{\exists x_1 (Q(x_1) \vee \perp)}{\exists x_1 \forall x_3 \neg P(y, \mathbf{x}_3) \vee \neg Q(\mathbf{x}_1)} \quad \frac{\forall x_3 ((\neg P(y, \mathbf{x}_3) \vee Q(\mathbf{z})) \vee \top)}{x_1 \sim a}}{\exists x_2 (P(x_2, x) \vee \perp)} \quad \frac{\perp}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}}{x_2 \sim a, x_3 \sim f(a); x_1 < x_3}$$

$$\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))$$

invariant in other resolution order

$$\frac{\frac{\frac{\perp}{Q(\mathbf{z}) \vee \exists x_2 \forall x_3 P(x_2, \mathbf{x}_3)} \quad \top}{x_2 \sim a, x_3 \sim f(z)} \quad \frac{\perp}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}}{x_1 \sim a; x_1 < x_3}$$

$$\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))$$

invariant if  $\Sigma$  and  $\Pi$  swapped:

$$\frac{\frac{\frac{\top}{\neg P(y, f(x_1)) \vee \forall x_1 Q(x_1)} \quad \frac{\perp}{x_1 \sim a}}{\forall x_1 \forall x_2 \exists x_3 (\neg P(x_2, x_3) \vee Q(x_1))} \quad \frac{\perp}{\forall x_1 \exists x_3 \forall x_2 (\neg P(x_2, x_3) \vee Q(x_1))}}{x_2 \sim a, x_3 \sim f(a); x_1 < x_3}$$

$$\text{OR: } \forall x_1 \exists x_3 \forall x_2 (\neg P(x_2, x_3) \vee Q(x_1))$$

SECOND ATTEMPT:

$$\frac{\frac{\frac{\frac{\frac{\frac{\Sigma}{P(a)} \quad \frac{\Sigma}{Q(z)}}{\neg P(y)} \quad \frac{\frac{\frac{\Sigma}{\neg S(a)} \quad \frac{\Pi}{\neg P(y) \vee \neg Q(f(\mathbf{x})) \vee S(\mathbf{x})}}{\neg P(y) \vee \neg Q(f(a))}}{x \mapsto a}}{z \mapsto f(a)}}{y \mapsto a} \quad \frac{\perp}{\neg P(y)}}{\square} \quad \frac{\frac{\frac{\perp}{\neg S(a)} \quad \top}{x \mapsto a} \quad \frac{\perp}{\neg S(a) \wedge Q(f(a))}}{z \mapsto f(a)}}{P(a) \wedge \neg S(a) \wedge Q(f(a))} \quad y \mapsto a$$

Huang:

$$\frac{\perp \quad \frac{\perp \quad \frac{\top}{\exists x_1 \neg S(x_1)}}{\exists x_1 \neg S(x_1)}}{\exists x_1 \forall x_2 (\neg S(x_1) \wedge Q(x_2))} \quad \perp \quad \frac{\perp \quad \frac{\perp \quad \frac{\top}{\exists x_1 \neg S(x_1)}}{\exists x_1 \neg S(x_1)}}{\exists x_1 \forall x_2 (\neg S(x_1) \wedge Q(x_2))}}{\exists x_1 \forall x_2 (P(x_1) \wedge \neg S(x_1) \wedge Q(x_2))}$$

$$\forall x_1 \exists x_2 (\neg P(x_1) \vee S(x_1) \vee \neg Q(x_2))$$

**similar fail**

$\Rightarrow$  anytime there is  $P(a, f(a))$ , either they have a dependency or they are not both differently colored (grey is uncolored)  
for the record, direct method anyway:

$$\frac{\perp \quad \frac{\perp \quad \frac{\top}{\exists x_1 \neg S(x_1)} \quad x \sim a}{\exists x_1 \neg S(x_1)}}{\exists x_1 \forall x_2 \neg S(x_1) \wedge Q(x_2)} \quad z \sim f(a); x_1 < x_2 \quad \perp \quad \frac{\perp \quad \frac{\perp \quad \frac{\top}{\exists x_1 \neg S(x_1)} \quad x \sim a}{\exists x_1 \neg S(x_1)}}{\exists x_1 \forall x_2 \neg S(x_1) \wedge Q(x_2)}}{\exists x_1 \forall x_2 \exists x_3 P(x_3) \wedge \neg S(x_1) \wedge Q(x_2)} \quad x_3 \sim a; x_3 \text{ need not be merged w } x_1$$

## Example: ordering on both ancestors where the merge forces a new ordering

202a – canonical

$$\begin{array}{c}
 \frac{\frac{P(a, x_1) \vee R(y)}{R(y)} \quad \frac{\neg P(\textcolor{violet}{x}, f\textcolor{violet}{x})}{x \mapsto fa} \quad \frac{Q(\textcolor{red}{x}_2, g\textcolor{red}{x}_2) \vee \neg R(u)}{\neg R(u)} \quad \frac{\frac{\neg S(a)}{\neg S(a)} \quad \frac{\neg Q(f\textcolor{blue}{z}, x_3) \vee S(\textcolor{blue}{z})}{\neg Q(fa, x_3)}}{z \mapsto a} \quad \frac{x_2 \mapsto fa, \quad x_3 \mapsto gfa}{x_3 \mapsto gfa} \\
 \hline
 \frac{\frac{\frac{\perp}{\perp} \quad \top}{P(a, f(a))} \quad \frac{\frac{\perp}{\perp} \quad \top}{Q(f(a), g(f(a))) \wedge \neg S(a)} \quad \frac{\frac{\perp}{\perp} \quad \top}{z \mapsto a} \quad \frac{\frac{\perp}{\perp} \quad \top}{x_2 \mapsto f(a), \quad x_3 \mapsto g(f(a))} \\
 \hline
 P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a))
 \end{array}$$

Huang

$$\frac{\frac{\frac{\perp}{\perp} \quad \top}{\exists x_1 \forall x_2 P(x_1, x_2)} \quad \frac{\frac{\perp}{\perp} \quad \frac{\frac{\perp}{\perp} \quad \top}{\exists x_1 \neg S(x_1)}}{\exists x_1 \forall x_2 \exists x_3 Q(x_2, x_3) \wedge \neg S(x_1)}}{\exists x_1 \forall x_2 \exists x_3 P(x_1, x_2) \vee (Q(x_2, x_3) \wedge \neg S(x_1))}$$

direct:

$$\frac{\frac{\frac{\perp}{\perp} \quad \top}{\exists x_1 \forall x_2 P(x_1, x_2)} \quad \frac{x_1 \sim a, x_2 \sim fa \quad x_3 \sim a, x_4 \sim fa, x_5 \sim gfa}{\textcolor{violet}{x}_1 < \textcolor{violet}{x}_2 \quad \textcolor{red}{x}_3 < \textcolor{red}{x}_4, \textcolor{blue}{x}_4 < \textcolor{blue}{x}_5} \quad \frac{\frac{\perp}{\perp} \quad \frac{\frac{\perp}{\perp} \quad \top}{\exists x_3 \neg S(x_3)}}{\exists x_3 \forall x_4 \exists x_5 Q(x_4, x_5) \wedge \neg S(x_3)}}{\frac{\exists x_1 \forall x_2 \exists x_5 P(x_1, x_2) \vee (Q(x_2, x_5) \wedge \neg S(x_5))}{x_3 \mapsto x_1, x_4 \mapsto x_2} \quad x_1 < x_2, x_2 < x_5}$$

without merge in end:  $(x_1 < x_2, x_3 < x_4, x_4 < x_5)$

$\exists x_1 \forall x_2 \exists x_3 \forall x_4 \exists x_5 P(x_1, x_2) \vee (Q(x_4, x_5) \wedge \neg S(x_3))$

$\exists x_3 \forall x_4 \exists x_5 \exists x_1 \forall x_2 P(x_1, x_2) \vee (Q(x_4, x_5) \wedge \neg S(x_3))$

(also interwoven ones appear to work)



combined presentation:

$$\begin{array}{c}
\frac{\frac{\frac{\perp \mid P(a, x_1) \vee R(y) \quad \top \mid \neg P(x, f(x))}{P(a, f(a)) \mid R(y)} \quad x_1 \mapsto f(a)}{x \mapsto a} \quad \frac{\frac{\perp \mid Q(x_2, g(x_2)) \vee \neg R(u)}{Q(f(a), g(f(a))) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(z), x_3) \vee S(z)}{\neg S(a) \mid \neg Q(f(a), x_3)} \quad \frac{z \mapsto a}{x_2 \mapsto f(a)},}{x_3 \mapsto g(f(a))} \\
\hline
P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a)) \mid \square
\end{array}$$

combined presentation ground:

$$\begin{array}{c}
\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(a, f(a))}{(P(a, f(a)) \wedge \top) \vee (\neg P(a, f(a)) \wedge \perp) \mid R(y)} \quad \frac{\frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{Q(f(a), g(f(a))) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\neg S(a) \mid \neg Q(f(a), g(f(a)))}}{P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a)) \mid \square}
\end{array}$$

combined presentation ground with direct method but only  $\Delta$ -terms removed :

$$\begin{array}{c}
\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(a, f(a))}{(P(a, x_2) \wedge \top) \vee (\neg P(a, x_2) \wedge \perp) \mid R(y)} \quad \frac{\frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{Q(x_4, g(x_4)) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\neg S(a) \mid \neg Q(f(a), g(f(a)))}}{P(a, x_2) \vee (Q(x_4, g(x_4)) \wedge \neg S(a)) \mid \square}
\end{array}$$

combined presentation ground with direct method:

$$\begin{array}{c}
\frac{\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(a, f(a))}{\exists x_1 \forall x_2 (P(x_1, x_2) \wedge \top) \vee (\neg P(x_1, x_2) \wedge \perp) \mid R(y)} \quad \frac{\frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{\exists x_3 \forall x_4 \exists x_5 (Q(x_4, x_5)) \wedge \neg S(x_3) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\exists x_3 \neg S(x_3) \mid \neg Q(f(a), g(f(a)))}}{\exists x_1 \forall x_2 \exists x_3 \forall x_4 \exists x_5 (P(x_1, x_2) \vee (Q(x_4, x_5)) \wedge \neg S(x_3)) \mid \square}
\end{array}$$

## 203a – some alternations

$$\begin{array}{c}
\frac{\frac{\frac{\frac{\frac{}{R(x) \vee \neg P(f(x))}}{\Sigma} \quad \frac{\frac{}{P(z) \vee Q(g(z))}}{\Pi}}{R(x) \vee Q(g(f(x)))} \quad z \mapsto f(x)}{\Pi} \quad \frac{\frac{}{-Q(y) \vee S(h(y))}}{\Sigma}}{y \mapsto g(f(x))} \\
\frac{\frac{\frac{}{-R(a)}}{\Pi} \quad R(x) \vee S(h(g(f(x))))}{x \mapsto a} \\
\frac{\frac{}{\neg S(x_1)}}{\Pi} \quad S(h(g(f(a))))}{x_1 \mapsto h(g(f(a)))} \\
\hline
\Box
\end{array}$$

$$\frac{\frac{\frac{\frac{\perp}{\neg P(f(x))} z \mapsto f(x)}{\neg Q(g(f(x))) \wedge \neg P(f(x))} \frac{\perp}{y \mapsto g(f(x))}}{\neg Q(g(f(a))) \wedge \neg P(f(a)) \vee R(a)} \frac{\top}{x \mapsto a}}{\neg Q(g(f(a))) \wedge \neg P(f(a)) \vee R(a) \vee S(h(g(f(a))))} \frac{\top}{x_1 \mapsto h(g(f(a)))}$$

Huang:

$$\frac{\frac{\frac{\perp}{\exists x_1 \neg P(x_1)} \quad \top}{\exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1))} \quad \perp}{\top \quad \frac{\top}{\forall x_0 \exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0))}} \frac{}{\forall x_0 \exists x_1 \forall x_2 \exists x_3 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0) \vee S(x_3))}$$

Direct:

$$\frac{\frac{\frac{\frac{\perp}{\exists x_1 \neg P(x_1)} \quad \top}{x_1 \sim f(x)} \quad \perp}{\exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(f(x)))} \quad x_2 \sim g(f(x)); x_1 < x_2}{\top \quad \frac{\frac{\frac{\frac{\top}{\forall x_0 \exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0))} \quad x_0 \sim a; x_0 < x_1, x_0 < x_2}{\forall x_0 \exists x_1 \forall x_2 \forall x_3 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0) \vee S(x_3))} \quad x_3 \sim h(g(f(a))); x_0 < x_3, x_1 < x_3, x_2 < x_3}{\top}}}$$

203b – many  $\Sigma$ -literals, coloring per occurrence

[illegible]

$$\rightarrow \forall x_1 \exists x_2 (R(x_1) \vee S(x_2))$$

203b' – many  $\Sigma$ -literals, coloring per symbol, all predicates grey

$$\frac{\frac{\frac{\neg R(a)}{\Pi} \quad \frac{R(x) \vee \neg P(f(x))}{\Sigma} \quad x \mapsto a}{R(a) \mid \neg P(fa)} \quad \frac{P(z) \vee Q(g(z))}{\Sigma} \quad z \mapsto fa}{\frac{\neg S(x_1)}{\Pi} \quad \frac{P(fa) \vee R(a) \mid Q(gfa)}{\Sigma} \quad \neg Q(y) \vee S(h(y))}$$

TODO

## Example where variables are not the outermost symbol but order is still relevant

204a

$$\Sigma = \{P(f(x), g(f(x)))\}$$

$$\Pi = \{P(f(a), y)\}$$

$$\Rightarrow \forall x_1 \exists x_2 P(f(x_1), x_2)$$

204b

$$\Sigma = \{P(f^5(x), g(f(x)))\}$$

$$\Pi = \{P(f^5(a), y)\}$$

$$\Rightarrow \forall x_1 \exists x_2 P(f^5(x_1), x_2)$$

example with aufschaukelnde unification, such that direction of arrow isn't clear

205a

$$\frac{P(ff\textcolor{blue}{y},gy) \quad \frac{\neg P(\textcolor{red}{x},y) \vee Q(\textcolor{red}{x}) \quad \frac{\neg R(a) \quad \neg Q(ff\textcolor{violet}{z}) \vee R\textcolor{violet}{z}}{\neg R(a) \mid \neg Q(ffa)} z \mapsto a}{\neg R(a) \wedge Q(ffa) \mid \neg P(ffa,y)} x \mapsto ffa}{(\neg R(a) \wedge Q(ffa)) \vee \neg P(ffa,ga)} y \mapsto a$$

direct

$$\frac{P(ff\textcolor{blue}{y},gy) \quad \frac{\neg P(\textcolor{red}{x},y) \vee Q(\textcolor{red}{x}) \quad \frac{\neg R(a) \quad \neg Q(ff\textcolor{violet}{z}) \vee R\textcolor{violet}{z}}{\exists x_1 \neg R(x_1) \mid \neg Q(ffa)} z \mapsto a}{\exists x_1 \forall x_2 (\neg R(x_1) \wedge Q(x_2)) \mid \neg P(ffa,u)} x \mapsto ffa}{\exists x_1 \forall x_2 \forall x_3 ((\neg R(x_1) \wedge Q(x_2)) \vee \neg P(x_2, x_3))} y \mapsto a, u \mapsto ga$$

ground:

$$\frac{P(ff\textcolor{blue}{a},ga) \quad \frac{\neg P(\textcolor{red}{ffa},y) \vee Q(\textcolor{red}{ffa}) \quad \frac{\neg R(a) \quad \neg Q(ff\textcolor{violet}{a}) \vee R\textcolor{violet}{a}}{\neg R(a) \mid \neg Q(ffa)} }{(\neg R(a) \wedge Q(ffa)) \vee \neg P(ffa,ga)} }{\frac{\neg P(x,y) \vee Q(x) \mid \perp \quad \frac{\exists y_3 (\neg R(y_3) \mid \perp) \quad \forall x_4 (\neg Q(x_4) \vee Rz \mid \perp)}{\exists y_3 \forall x_4 ((\neg R(y_3) \wedge \top) \vee (R(a) \wedge \perp) \mid \neg Q(x_4))} z \mapsto a}{\exists y_3 \forall x_4 ((\neg Q(x_4) \wedge \perp) \vee (Q(x) \sigma \wedge \neg R(y_3)) \mid \neg P(x,y) \sigma)} x \mapsto ffa} \quad (*)$$

$$\frac{\forall x_1 \forall x_4 (P(x_1, x_2) \mid \top) \quad \frac{\exists y_3 \forall x_4 ((Q(x_5) \wedge \neg R(y_3)) \mid \neg P(x_5, y))}{(\neg P(x_5, y) \wedge \top) \vee (P(x_1, x_2) \wedge (Q(x_5) \wedge \neg R(y_3)))} y \mapsto a}{(\neg P(x_5, a) \wedge \top) \vee (P(x_1, x_2) \wedge (Q(x_5) \wedge \neg R(y_3)))}$$

$$\forall x_1 \forall x_2 \exists y_3 \forall x_4 \forall x_5 \forall x_6 (\neg P(x_5, y_6) \wedge \top) \vee (P(x_1, x_2) \wedge (Q(x_5) \wedge \neg R(y_3)))$$

(\*) "luckily", same overbinding for  $ffa$ , so this works  
dashed underline: problem, but does not cause issues here.

situations not critical here :-)

205b  $\sim$  205a, but simpler

Suppose  $P$  occurs somewhere in  $\Sigma$  (result not that optimal in this setting, but correct)

not nice for proving,  $\neg R(a)$  is a nice interpolant already

$$\frac{P(ff\textcolor{blue}{y},gy) \quad \frac{\neg R(a) \quad \neg P(ff\textcolor{violet}{z},x) \vee R\textcolor{violet}{z}}{\neg R(a) \mid \neg P(ffa,x)} z \mapsto a}{\neg R(a) \vee \neg P(ffa,ga) \mid \square} x \mapsto ga, y \mapsto a$$

$$\frac{\top \mid P(ff\textcolor{blue}{y},gy) \quad \frac{\perp \mid \neg R(a) \quad \top \mid \neg P(ff\textcolor{violet}{z},x) \vee R\textcolor{violet}{z}}{\exists x_1 \neg R(x_1) \mid \neg P(ffa,x)} z \mapsto a}{\exists x_1 \forall x_2 \forall x_3 \neg R(x_1) \vee \neg P(x_2, x_3) \mid \square} x \mapsto ga, y \mapsto a$$

$\exists x_1 R(x_1)$

$\exists x_1 \forall x_2 \forall x_3 (R(x_1) \vee \neg P(x_2, x_3))$

example to demonstrate that literals being resolved upon have to be overbound with the same variable

206a

$$\frac{\frac{R(f(x)) \quad \neg R(y) \vee P(y)}{(\neg R(x_3) \wedge \top) \vee (R(x_3) \wedge \perp) \mid P(x_3)} \quad \frac{\neg P(f(z)) \vee S(z) \quad \neg S(a)}{(\neg S(y_2) \wedge \top) \vee (S(y_2) \wedge \perp) \mid \neg P(x_4)}}{(\forall x_3) \exists y_2 \forall x_4 (\forall x_3) \left( (\neg P(x_4) \wedge \neg R(x_3)) \vee (P(x_3) \wedge S(y_2)) \right)}$$

Gist of this example:  $P(f(x))$  is lifted to the left, but  $P(f(a))$  to the right. So it's  $P(x_3)$  vs  $P(x_4)$ , but both of them have to have the same variable.

$R(x_3) \in \text{AI}_{\text{mat}}(C_7)$

$P(x_3) \in \text{AI}_{\text{cl}}(C_7)$

$P(x_4) \in \text{AI}_{\text{cl}}(C_8)$

$\Sigma \models (\forall x_3) \exists y_2 \forall x_4 (\forall x_3) \left( (\neg P(x_4) \wedge \neg R(x_3)) \vee (P(x_3) \wedge S(y_2)) \right)$

$\Sigma \models (\forall x_3) \forall x_4 (\forall x_3) \left( (\neg P(x_4) \wedge \neg R(x_3)) \vee P(x_3) \right)$

$\Sigma \not\models (\neg P(1) \wedge \neg R(0)) \vee P(0) \quad // \text{ if } P \sim \{1\} \text{ and } R \sim \{0\}$

we know that for original clauses  $l$  and  $l'$  of  $P(x_4)$  and  $P(x_3)$ ,

$l\sigma = l'\sigma$

hence same color, and can use different var as same value works.

inductive hypothesis:

$\Gamma \models \top \vee R(x_3)$

$\Gamma \models \perp \vee \neg R(y) \vee P(y)$

$\Gamma \models (\neg R(x_3) \wedge \top) \vee (R(x_3) \wedge \perp) \vee P(x_3) \equiv \neg R(x_3) \vee P(x_3)$

$\Gamma \models \top \vee \neg P(x_4) \vee S(z)$

$\Gamma \models \perp \vee \neg S(a)$

$\Gamma \models (\neg S(a) \wedge \top) \vee (S(a) \wedge \perp) \vee \neg P(x_4) \equiv \neg S(a) \vee \neg P(x_4)$

$\Gamma \models (\neg P(x_3) \wedge \neg R(x_3)) \vee (P(x_3) \wedge S(a))$

206b

WRONG: if a variable  $x_3$  occurs, it always refers to  $f(x)$ , so it is always substituted to a particular value and cannot become  $f(a)$  and  $f(b)$  in the same clause as just the unifier  $\sigma$  is used.

$$\frac{\frac{R(f(x), f(x)) \quad \neg R(y, u) \vee P(y, u)}{(\neg R(x_3, x_3) \wedge \top) \vee (R(x_3, x_3) \wedge \perp) \mid P(x_3, x_3)} \quad \frac{\neg P(f(z), f(v)) \vee S(z, v) \quad \neg S(a, b)}{(\neg S(y_2, y_6) \wedge \top) \vee (S(y_2, y_6) \wedge \perp) \mid \neg P(x_4, x_7)}}{(\forall x_3) \exists y_2 \forall x_4 (\forall x_3) \left( (\neg P(x_4) \wedge \neg R(x_3)) \vee (P(x_3) \wedge S(y_2)) \right)}$$

$f(x) \vee g(x)$  **with**  $f, g$  **different colors**

$$\begin{array}{c}
 \frac{\frac{\frac{\Pi}{\neg P(z)} \quad \frac{\Pi}{\neg R(g(a))} \quad \frac{\frac{\Sigma}{P(f(x)) \vee Q(x)}{\forall x_1 Q(x_1) \mid P(f(x)) \vee R(g(x))} \quad \frac{\Pi}{R(g(y)) \vee \neg Q(y)}{y \mapsto x}}{x \mapsto a} \quad \frac{\Pi}{\forall x_1 Q(x_1) \mid P(f(a)) \vee R(g(a))}}{z \mapsto f(a)} \\
 \frac{\forall x_1 \exists x_2 (Q(x_1) \vee P(x_2)) \vee R(g(a))}{\forall x_1 \exists x_2 (Q(x_1) \vee P(x_2)) \vee R(g(a))}
 \end{array}$$

$\Rightarrow$  free vars in the interpolant have to be overbound (if there are arrows, but we can just always do so)

## misc examples

201a

$$\frac{\frac{P(x, y) \vee \neg Q(y) \quad \neg P(a, y_2)}{\neg Q(y)} \quad x \mapsto a \quad \frac{Q(f(z)) \vee R(z) \quad \neg R(a)}{Q(f(a))} \quad z \mapsto a}{\square} \quad y \mapsto f(a)$$

$$\frac{\frac{\perp \quad \top}{P(a, y)} \quad x \mapsto a \quad \frac{\perp \quad \top}{R(a)} \quad z \mapsto a}{P(a, f(a)) \vee R(a)} \quad y \mapsto f(a)$$

$$\frac{\frac{\perp \quad \top}{\forall x_1 P(x_1, y)} \quad x \mapsto a \quad \frac{\perp \quad \top}{\forall x_3 R(x_3)} \quad z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 (P(x_1, x_2) \vee R(x_3))} \quad y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_1)$

201b

$$\frac{\frac{P(x, f(y)) \vee \neg Q(f(y)) \quad \neg P(a, y_2)}{\neg Q(f(y))} \quad x \mapsto a \quad \frac{Q(f(z)) \vee R(z) \quad \neg R(a)}{Q(f(a))} \quad z \mapsto a}{\square} \quad y \mapsto f(a)$$

$$\frac{\frac{\perp \quad \top}{P(a, f(y))} \quad x \mapsto a \quad \frac{\perp \quad \top}{R(a)} \quad z \mapsto a}{P(a, f(a)) \vee R(a)} \quad y \mapsto a$$

$$\frac{\frac{\perp \quad \top}{\forall x_1 \exists x_2 P(x_1, x_2)} \quad x \mapsto a \quad \frac{\perp \quad \top}{\forall x_3 R(x_3)} \quad z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_3)} \quad y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_1)$



arrow in element which is not in interpolant or resolution clause

206

$$\begin{array}{c}
 \frac{P(x) \vee \neg Q(f(x)) \quad \neg P(a)}{\forall x_1 P(x_1) \mid \neg Q(f(a))} x \mapsto a \quad \frac{Q(y) \vee R(g(y)) \quad \neg R(z)}{\exists x_2 R(x_2) \mid Q(y)} z \mapsto g(y) \\
 \hline
 \frac{\quad}{\forall x_1 \exists x_2 (P(x_1) \vee R(x_2)) \mid \square} y \mapsto f(a) \\
 \hline
 P(a) \vee R(g(f(a)))
 \end{array}$$

for first interpolant,  $\Sigma \not\models \ell_{\Delta,x}[\text{PI}(C)] \vee C$

$\Rightarrow$  need to overbind clause as well