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ma:lifting\_order\_not\_relevant \rangle Lemma 1. Basically  $\ell_{\Gamma}^{y}[\ell_{\Delta}^{x}[\varphi]] = \ell_{\Delta}^{x}[\ell_{\Gamma}^{y}[\varphi]].$ 

0.2. proof 2

## 0.2 proof

**Definition 2**  $(\tau(\iota))$ . For an inference  $\iota$  with  $\sigma = \text{mgu}(\iota)$ , we define the infinite substitution  $\tau(\iota)$  with  $\text{dom}(\tau(\iota)) = \text{dom}(\sigma) \cup \{z_s \mid s\sigma \neq s\}$  as follows for a variable x:

$$x\tau(\iota) = \begin{cases} x\sigma & x \text{ is a non-lifting variable} \\ z_{t\sigma} & x \text{ is a lifting variable } z_t \end{cases}$$

define infinite substitutions properly and apply definition here

Δ

**Definition 3** (Incremental lifting). Let  $\pi$  be a resolution refutation of  $\Gamma \cup \Delta$ . We define  $LI(\pi)$  ( $LI_{cl}(\pi)$ ) to be  $LI(\square)$  ( $LI_{cl}(\square)$ ), where  $\square$  is the empty clause derived in  $\pi$ .

Let C be a clause in  $\pi$ . For a literal  $\lambda$  in C, we denote the corresponding literal in  $\mathrm{LI}_{\mathrm{cl}}(C)$  by  $\lambda_{\mathrm{LIcl}}$ , which is exists by Proposition 4.

We define LI(C) and  $LI_{cl}(C)$  as follows:

Base case. If  $C \in \Gamma$ ,  $\text{LI}(C) \stackrel{\text{def}}{=} \bot$ . If otherwise  $C \in \Delta$ ,  $\text{LI}(C) \stackrel{\text{def}}{=} \top$ .

In any case,  $LI_{cl}(C) \stackrel{\text{def}}{=} \ell[C]$ .

Resolution. If the clause C is the result of a resolution step  $\iota$  of  $C_1: D \vee l$  and  $C_2: E \vee \neg l'$  using a unifier  $\sigma$  such that  $l\sigma = l'\sigma$ , then let  $\tau = \tau(\iota)$  and define  $\mathrm{LI}(C)$  and  $\mathrm{LI}_{\mathrm{cl}}(C)$  as follows:

$$\operatorname{LI}_{\operatorname{cl}}(C) \stackrel{\operatorname{def}}{=} \ell[(\operatorname{LI}_{\operatorname{cl}}(C_1) \setminus \{l_{\operatorname{LIcl}}\})\tau] \vee \ell[(\operatorname{LI}_{\operatorname{cl}}(C_2) \setminus \{l_{\operatorname{LIcl}}'\})\tau]$$

- 1. If l is Γ-colored: LI(C)  $\stackrel{\text{def}}{=} \ell[\text{LI}(C_1)\tau] \vee \ell[\text{LI}(C_2)\tau]$
- 2. If l is  $\Delta$ -colored:  $LI(C) \stackrel{\text{def}}{=} \ell[LI(C_1)\tau] \wedge \ell[LI(C_2)\tau]$
- 3. If l is grey:  $LI(C) \stackrel{\text{def}}{=} (\ell[l_{LIcl}\tau] \wedge \ell[LI(C_2)\tau]) \vee (\neg \ell[l'_{LIcl}\tau] \wedge \ell[LI(C_1)\tau])$

Factorisation. If the clause C is the result of a factorisation step  $\iota$  of  $C_1$ :  $l \lor l' \lor D$  using a unifier  $\sigma$  such that  $l\sigma = l'\sigma$ , then  $\mathrm{LI}(C) \stackrel{\mathrm{def}}{=} \ell[\mathrm{LI}(C_1)\tau(\iota)]$  and  $\mathrm{LI}_{\mathrm{cl}}(C) \stackrel{\mathrm{def}}{=} \ell[(\mathrm{LI}_{\mathrm{cl}}(C_1) \setminus \{l'_{\mathrm{LIcl}}\})\tau(\iota)].$ 

 $\langle prop: corresponding\_literal \rangle$  **Proposition 4.** Every literal  $\lambda$  in C has a corresponding literal  $\lambda_{LIcl}$  in  $LI_{cl}(C)$ .

**Definition 5.**  $LI^{\Delta}(C)$  ( $LI_{cl}^{\Delta}(C)$ ) for a clause C is defined as LI(C) ( $LI_{cl}(C)$ ) with the difference that in its inductive definition, every lifting  $\ell[\varphi]$  for a formula or term  $\varphi$  is replaced by a lifting of only the  $\Delta$ -terms  $\ell_{\Delta}[\varphi]$ .  $\triangle$ 

(lemma:gamma\_proves\_pide) Lemma 6. For a clause C of a resolution refutation of  $\Gamma \cup \Delta$ ,  $\Gamma \models LI^{\Delta}(C) \lor LI^{\Delta}_{cl}(C)$ .

*Proof.* Induction of the strengthening  $\Gamma \models LI^{\Delta}(C) \vee LI^{\Delta}_{cl}(C_{\Gamma})$ 

Base case. ✓

0.2. proof 3

Resolution.

Ind hyp gives  $\Gamma \models LI^{\Delta}(C_1) \vee LI_{cl}^{\Delta}(D) \vee l_{LIcl^{\Delta}}$  and similar for  $C_2$ .

 $\Gamma \models \mathrm{LI}^{\Delta}(C_1) \vee \mathrm{LI}_{\mathrm{cl}}^{\Delta}(D) \vee l_{\mathrm{LIcl}^{\Delta}}$ 

+ lemma:substitute\_and\_lift

$$\Gamma \models \ell_{\Delta}^{x}[\mathrm{LI}^{\Delta}(C_{1})\tau] \vee \ell_{\Delta}^{x}[\mathrm{LI}_{\mathrm{cl}}^{\Delta}(D)\tau] \vee \ell_{\Delta}^{x}[l_{\mathrm{LIcl}^{\Delta}}\tau]$$

have that  $l\sigma = l'\sigma$ , get also that  $\ell_{\Delta}[l_{\text{LIcl}^{\Delta}}\tau] = \ell_{\Delta}[l'_{\text{LIcl}^{\Delta}}\tau]$ . Proof: Suppose not lifted, then same. Otw. lifting variables, but then for p pos of lft var  $z_t$  in  $l_{\text{LIcl}^{\Delta}}$ ,  $l|_p$  is t after applying  $\tau$ . Hence have  $z_t$  for both.

- supp  $\Gamma$  resolved literals not removed due to coloring. literals are equal, can do resolution. get everything in disjunction
- $\bullet$  supp  $\Delta$  literals removed. have: either one of the clauses, or else both interpolant pairs
- supp grey. as literals same, if l, then  $\neg l$  not, so get rest there and vice versa

Factorisation.

Ind hyp gives  $\Gamma \models \operatorname{LI}^{\Delta}(C_1) \vee \operatorname{LI}^{\Delta}_{\operatorname{cl}}(D) \vee l_{\operatorname{LIcl}^{\Delta}} \vee l'_{\operatorname{LIcl}^{\Delta}}$  also have that  $l\sigma = l'\sigma$  implies  $\ell_{\Delta}[l_{\operatorname{LIcl}}\tau] = \ell_{\Delta}[l'_{\operatorname{LIcl}}\tau]$ .

+ lemma:substitute\_and\_lift:

$$\Gamma \models \ell_{\Delta}^{x}[\mathrm{LI}^{\Delta}(C_{1})\tau] \vee \ell_{\Delta}^{x}[\mathrm{LI}_{\mathrm{cl}}^{\Delta}(D)\tau] \vee \ell_{\Delta}^{x}[l_{\mathrm{LIcl}^{\Delta}}\tau] \vee \ell_{\Delta}^{x}[l_{\mathrm{LIcl}^{\Delta}}^{\prime}\tau]$$

hence can factorise here

Don't really say  $\operatorname{LI}^{\Delta}_{\operatorname{cl}}(D)$  here, we only have  $\operatorname{LI}^{\Delta}_{\operatorname{cl}}(C)$ 

only have

 $LI_{cl}^{\Delta}(C)$ 

?(def:arrow\_quantifier\_block)? **Definition 7** (Quantifier block). Let C be a clause in a resolution refutation  $\pi$  of  $\Gamma \cup \Delta$  and  $\bar{x}$  be the  $\Delta$ -lifting variables and  $\bar{y}$  the  $\Gamma$ -lifting variables occurring in  $\mathrm{LI}(C)$  and  $\mathrm{LI}_{\mathrm{cl}}(C)$ . Q(C) denotes an arrangement of the elements of  $\{\forall x_t \mid x_t \in \bar{x}\} \cup \{\exists y_t \mid y_t \in \bar{y}\}$  such that for two lifting variable  $z_s$  and  $z_r$ , if s is a subterm of r, then  $z_s$  is listed before  $z_r$ . We denote  $Q(\Box)$  by  $Q(\pi)$ .  $\triangle$ 

Conjectured Lemma 8.  $\ell[\ell[\varphi]\tau] = \ell[\varphi\tau]$ .

*Proof.* proof by induction.

Supp constant: done.

Supp grey function: apply to children.

supp variable:  $\ell[\ell[x]\tau] = \ell[x\tau]$ 

supp lft var:  $\ell[\ell[z_t]\tau] = \ell[z_t\tau]$ 

supp col term t

 $\ell[\ell[t]\tau] = \ell[z_t\tau] = \ell[z_{t\sigma}] = z_{t\sigma} = \ell[t\sigma] = \ell[t\tau]$ 

 $\langle \text{lemma:gamma\_lifted\_lide} \rangle$  Lemma 9. For a clause C of a resolution refutation of  $\Gamma \cup \Delta$ ,  $\ell_{\Gamma}[LI^{\Delta}(C) \vee LI^{\Delta}_{cl}(C)] = LI(C) \vee LI_{cl}(C)$ .

Proof. Base case.

 $LI^{\Delta}$ : easy.

 $LI_{cl}^{\Delta}$ : By Lemma 1,  $\ell_{\Gamma}[\ell_{\Delta}[C]] = \ell[C]$ 

0.2. proof

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Resolution.
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IH:
\ell_{\Gamma}[\operatorname{LI}^{\Delta}(C_1) \vee \operatorname{LI}^{\Delta}_{\operatorname{cl}}(C_1)] = \operatorname{LI}(C_1) \vee \operatorname{LI}_{\operatorname{cl}}(C_1).
\ell_{\Gamma}[\operatorname{LI}^{\Delta}(C_2) \vee \operatorname{LI}^{\Delta}_{\operatorname{cl}}(C_2)] = \operatorname{LI}(C_2) \vee \operatorname{LI}_{\operatorname{cl}}(C_2).
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$$\begin{split} \operatorname{LI}_{\operatorname{cl}}^{\Delta} \colon \\ \ell_{\Gamma}[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C_1)] &= \operatorname{LI}_{\operatorname{cl}}(C_1) \\ \ell_{\Delta}[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C_1)\tau] &\subseteq \operatorname{LI}_{\operatorname{cl}}^{\Delta}(C) \\ \ell[\operatorname{LI}_{\operatorname{cl}}(C_1)\tau] &\subseteq \operatorname{LI}_{\operatorname{cl}}(C) \\ \text{to show: } \ell_{\Gamma}^y[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C)] &= \operatorname{LI}_{\operatorname{cl}}(C) \\ \ell[\ell_{\Gamma}[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C_1)]\tau] &= \ell[\operatorname{LI}_{\operatorname{cl}}(C_1)\tau] & \text{IH } + \text{same op on both sides} \\ \text{new lemma above} \\ \ell[\ell_{\Gamma}[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C_1)]\tau] &= \ell[\operatorname{LI}_{\operatorname{cl}}^{\Delta}(C_1)\tau] \end{split}$$

 $LI^{\Delta}$ :

• Supp Γ:

IH:  $\ell_{\Gamma}[LI^{\Delta}(C_1)] = LI(C_1)$ hence also:  $\ell[LI^{\Delta}(C_1)] = LI(C_1)$  (by lemma: no  $\Delta$ -terms in . . . ) +  $\tau$ :  $\ell[LI^{\Delta}(C_1)]\tau = LI(C_1)\tau$  $+ \ell$ :  $\ell[\ell[LI^{\Delta}(C_1)]\tau] = \ell[LI(C_1)\tau]$ by new lemma  $\ell[LI^{\Delta}(C_1)\tau] = \ell[LI(C_1)\tau]$ hence by Lemma 1,  $\ell_{\Gamma}[\ell_{\Delta}[LI^{\Delta}(C_1)\tau]] \subseteq LI^{\Delta}(C)$ hence  $\ell_{\Gamma}[LI^{\Delta}(C)] \subseteq LI^{\Delta}(C)$ 

Factorisation.

**Lemma 10.** For a clause C of a resolution refutation of  $\Gamma \cup \Delta$ ,  $\Gamma \models$  $Q(C)(LI(C) \vee LI_{cl}(C)).$ 

*Proof.* By Lemma 9  $\ell_{\Gamma}[LI^{\Delta}(C) \vee LI_{cl}^{\Delta}(C)] = LI(C) \vee LI_{cl}(C)$ . By Lemma 6,  $\Gamma \models LI^{\Delta}(C) \vee LI_{cl}^{\Delta}(C)$ . Hence the terms in  $LI^{\Delta}(C) \vee LI_{cl}^{\Delta}(C)$ provide witness terms for the  $\Gamma$ -lifting variables in  $LI(C) \vee LI_{cl}(C)$ , which are existentially quantified in  $Q(C)(LI(C) \vee LI_{cl}(C))$ .

Furthermore, the ordering imposed on the quantifiers in Q(C) implies that if a  $\Delta$ -lifting variable  $x_s$  occurs in a witness term for a  $\Gamma$ -lifting variable  $y_r, y_r$ is quantified in the scope of the quantifier of  $x_s$  as s is a subterm of r. This however ensures that the witness terms are valid.

 $? \\ \texttt{lemma:li\_symmetry}? \ \textbf{Lemma 11.} \ \ symmetry: \ Q(C)(\text{LI}(C)) \Leftrightarrow Q(\hat{C})(\text{LI}(\hat{C})).$ 

*Proof.* todo: copy from other pdf

Theorem 12. same as other pdf