

# 1 Attempt without $P_P$

Intuition of  $\sigma'$ :

If we pull a substitution out of a lifting which replaced  $\Delta$ -terms, we also have to replace the  $\Delta$ -terms in the “domain” of the substitution. This is the lower case in the definition of  $\sigma'$  below.

There is just a problem in the following case:  $\ell_{\Delta,x}[f(x)\sigma]$ , where  $x\sigma = a$  and  $f$  is a  $\Delta$ -symbol. Then  $\ell_{\Delta,x}[f(x)\sigma] = \ell_{\Delta,x}[f(a)] = x_i$ , but  $\ell_{\Delta,x}[f(x)]\sigma = x_j$  with  $i \neq j$ . The first case of the definition of  $x_j$  then fixes this by replacing  $x_j$  with  $x_i$ .

**Lemma 1.** *Let  $C$  be a clause and  $t_1, \dots, t_n$  the set of maximal  $\Delta$ -terms in  $C$ ,  $x_1, \dots, x_n$  the corresponding fresh variables to replace the  $t_i$ , and  $\sigma$  be a substitution. Let*

$$r\sigma' = \begin{cases} x_l & \text{if } r = x_k \text{ for some } k, l \neq k, \text{ and } t_k\sigma = t_l \\ \ell_{\Delta,x}[r\sigma] & \text{otherwise} \end{cases}$$

Then  $\ell_{\Delta,x}[C\sigma] = \ell_{\Delta,x}[C]\sigma'$ .

*Proof.* We prove this for an atom  $P(s_1, \dots, s_m)$  in  $C$ , which works since lifting and substitution commute over binary connectives and into an atom.

We show that  $\ell_{\Delta,x}[s_j\sigma] = \ell_{\Delta,x}[s_j]\sigma'$  for  $1 \leq j \leq m$ .

Note that anything in the term structure above a maximal  $\Delta$ -term is unaffected by both substitution and abstraction.

Let  $t_i$  be a maximal  $\Delta$ -term in  $s_i\sigma$ .

We show that  $\ell_{\Delta,x}[t_i\sigma] = \ell_{\Delta,x}[t_i]\sigma'$ , which proves the lemma.

Let  $t_i\sigma = t_j$ . Then  $\ell_{\Delta,x}[t_i\sigma] = \ell_{\Delta,x}[t_j] = x_j$ .

We show that  $x_j = \ell_{\Delta,x}[t_i]\sigma'$ .

Suppose that  $t_i = t_j$ , i.e.  $\sigma$  is trivial on  $t_i$ . Then  $i = j$  as the  $\Delta$ -terms have a unique number. Hence  $\ell_{\Delta,x}[t_i]\sigma' = x_i\sigma' = x_i = x_j$ .

Otherwise  $t_i \neq t_j$ . Then  $i \neq j$  and  $x_j \neq x_i$ .

$\ell_{\Delta,x}[t_i]\sigma' = x_i\sigma'$ . By the definition of  $\sigma'$ , as  $t_i\sigma = t_j$ ,  $x_i\sigma' = x_j$ . □

**Lemma 2.**  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C) \vee C)]$ .

*Proof.* By induction on the resolution refutation.

Base case: Either  $C \in \Gamma$ , then it does not contain  $\Delta$ -terms. Otherwise  $C \in \Delta$  and  $\text{PI}(C) = \top$ .

Induction step:

Resolution.

$$\frac{C_1 : D \vee l \quad C_2 : E \vee \neg l'}{C : (D \vee E)\sigma} \quad l\sigma = l'\sigma$$

By the induction hypothesis, we can assume that:

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_1) \vee (D \vee l)]$$

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_2) \vee (E \vee \neg l')]$$

1.  $\text{PS}(l) \in \text{L}(\Gamma) \setminus \text{L}(\Delta)$ : Then  $\text{PI}(C) = [\text{PI}(C_1) \vee \text{PI}(C_2)]\sigma$ .

We show that  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \vee \text{PI}(C_2))\sigma \vee (D \vee E)\sigma]$ ,

i.e.  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \vee \text{PI}(C_2) \vee D \vee E)\sigma]$ .

Let  $\sigma'$  be as in Lemma 1.  $t_1, \dots, t_n$  must contain all  $\Delta$ -terms occuring here in formulas and substitutions.

Hence by Lemma 1  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \vee \text{PI}(C_2) \vee D \vee E)]\sigma'$ .

By Lemma 11 (Huang) and the induction hypothesis,

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_1)] \vee \ell_{\Delta,x}[D] \vee \ell_{\Delta,x}[l] \quad (*)$$

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_2)] \vee \ell_{\Delta,x}[E] \vee \neg \ell_{\Delta,x}[l'] \quad (\circ)$$

Since  $l\sigma = l'\sigma$  (by resolution rule application),  $\ell_{\Delta,x}[l\sigma] = \ell_{\Delta,x}[l'\sigma]$ .

As by Lemma 1  $\ell_{\Delta,x}[l\sigma] = \ell_{\Delta,x}[l]\sigma'$  and  $\ell_{\Delta,x}[l'\sigma] = \ell_{\Delta,x}[l']\sigma'$ , we get  $\ell_{\Delta,x}[l]\sigma' = \ell_{\Delta,x}[l']\sigma'$ .

So by applying  $\sigma'$  to  $(*)$  and  $(\circ)$ , we can do a resolution on  $\ell_{\Delta,x}[l]\sigma'$  and get

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_1)]\sigma' \vee \ell_{\Delta,x}[D]\sigma' \vee \ell_{\Delta,x}[\text{PI}(C_2)]\sigma' \vee \ell_{\Delta,x}[E]\sigma'.$$

and

$$\Gamma \models \ell_{\Delta,x}[\text{PI}(C_1) \vee \text{PI}(C_2) \vee D \vee E]\sigma'.$$

So by Lemma 1,

$$\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \vee \text{PI}(C_2) \vee D \vee E)\sigma].$$

2.  $\text{PS}(l) \in \text{L}(\Delta) \setminus \text{L}(\Gamma)$ :

Then  $\text{PI}(C) = [\text{PI}(C_1) \wedge \text{PI}(C_2)]\sigma$ .

We show that  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \wedge \text{PI}(C_2)) \vee D \vee E]\sigma$  By lemma 1 with  $\sigma'$  as in the lemma,  $\Gamma \models \ell_{\Delta,x}[(\text{PI}(C_1) \wedge \text{PI}(C_2)) \vee D \vee E]\sigma'$ .

TODO

Paramodulation.

$$\frac{C_1 : D \vee s = t \quad C_2 : E[r]}{C : (D \vee E[t])\sigma} \quad \sigma = \text{mgu}(s, r)$$

By the induction hypothesis, we have:

$$\Gamma \models \ell_{\Delta, x}[\text{PI}(C_1) \vee (D \vee s = t)]$$

$$\Gamma \models \ell_{\Delta, x}[\text{PI}(C_2) \vee (E[r])]$$

easy case:  $\text{PI}(C) = [(s = t \wedge \text{PI}(C_2)) \vee (s \neq t \wedge \text{PI}(C_1))]\sigma$

to show:  $\Gamma \models \ell_{\Delta, x}[[((s = t \wedge \text{PI}(C_2)) \vee (s \neq t \wedge \text{PI}(C_1))) \vee (D \vee E[t])]\sigma]$

proof idea: either  $s = t$ , then also  $\text{PI}(C_2)$ , or else  $s \neq t$ , but then also  $\text{PI}(C_1)$

by lemma 1 for  $\sigma'$  as in lemma,  $\Gamma \models \ell_{\Delta, x}[(s = t \wedge \text{PI}(C_2)) \vee (s \neq t \wedge \text{PI}(C_1))] \vee (D \vee E[t])\sigma'$

by lemma 11 (huang)  $\Gamma \models [(\ell_{\Delta, x}[s] = \ell_{\Delta, x}[t] \wedge \ell_{\Delta, x}[\text{PI}(C_2)]) \vee (\ell_{\Delta, x}[s \neq t] \wedge \ell_{\Delta, x}[\text{PI}(C_1)])] \vee (\ell_{\Delta, x}[D] \vee \ell_{\Delta, x}[E[t]])\sigma'$

reformulate:  $\Gamma \models ((\ell_{\Delta, x}[s]\sigma' = \ell_{\Delta, x}[t]\sigma' \wedge \ell_{\Delta, x}[\text{PI}(C_2)]\sigma') \vee (\ell_{\Delta, x}[s]\sigma' \neq \ell_{\Delta, x}[t]\sigma' \wedge \ell_{\Delta, x}[\text{PI}(C_1)]\sigma')) \vee (\ell_{\Delta, x}[D]\sigma' \vee \ell_{\Delta, x}[E[t]]\sigma')$

By the rule:  $s\sigma = r\sigma$ , hence also  $\ell_{\Delta, x}[s\sigma] = \ell_{\Delta, x}[r\sigma]$  and  $\ell_{\Delta, x}[s]\sigma' = \ell_{\Delta, x}[r]\sigma'$  REALLY TRUE? – think so...

Suppose  $M \models \Gamma$  and  $M \not\models (\ell_{\Delta, x}[D]\sigma' \vee \ell_{\Delta, x}[E[t]]\sigma')$ .

Suppose  $M \models \ell_{\Delta, x}[s]\sigma' = \ell_{\Delta, x}[t]\sigma'$ .

By induction hypothesis (and lemma 11 (huang) and adding the substitution  $\sigma'$ ),  $\Gamma \models \ell_{\Delta, x}[\text{PI}(C_2)]\sigma' \vee \ell_{\Delta, x}[(E[r])]\sigma'$ .

However by assumption  $\Gamma \not\models \ell_{\Delta, x}[E[t]]\sigma'$ .

Hence  $\Gamma \not\models \ell_{\Delta, x}[E[s]]\sigma'$ , and  $\Gamma \not\models \ell_{\Delta, x}[E[r]]\sigma'$ . Therefore  $\Gamma \models \ell_{\Delta, x}[\text{PI}(C_2)]\sigma'$ .

Suppose on the other hand  $M \models \ell_{\Delta, x}[s]\sigma' \neq \ell_{\Delta, x}[t]\sigma'$ .

By the induction hypothesis,  $M \models \ell_{\Delta, x}[\text{PI}(C_1)]\sigma' \vee (\ell_{\Delta, x}[D]\sigma' \vee (\ell_{\Delta, x}[s] = \ell_{\Delta, x}[t])\sigma')$ , hence then  $M \models \ell_{\Delta, x}[\text{PI}(C_1)]\sigma'$ .

Consequently,  $M \models (\ell_{\Delta, x}[s]\sigma' \neq \ell_{\Delta, x}[t]\sigma' \wedge \ell_{\Delta, x}[\text{PI}(C_1)]\sigma') \vee (\ell_{\Delta, x}[s]\sigma' = \ell_{\Delta, x}[t]\sigma' \wedge \ell_{\Delta, x}[\text{PI}(C_2)]\sigma')$ .

By lemma 11 (huang),  $M \models \ell_{\Delta, x}[(s \neq t \wedge \text{PI}(C_1)) \vee (s = t \wedge \text{PI}(C_2))]\sigma'$ .

Hence  $\Gamma \models \ell_{\Delta, x}[(s \neq t \wedge \text{PI}(C_1)) \vee (s = t \wedge \text{PI}(C_2))]\sigma' \vee (\ell_{\Delta, x}[D] \vee \ell_{\Delta, x}[E[t]])\sigma'$ .

is this really what i need to show?

□