

## Example 101: canonical examples for overbinding w.r.t. order

Here, the second lower prooftree shows what huang would produce.

### Ex 101a

$$\begin{array}{c}
 \frac{\frac{P(\textcolor{red}{u}, f(\textcolor{red}{u})) \vee Q(\textcolor{red}{u})}{P(a, f(a))} \quad \frac{\neg Q(a)}{u \mapsto a}}{\square} \quad \frac{\neg P(x, y)}{x \mapsto a, y \mapsto f(a)} \\
 \\
 \frac{\frac{\perp}{Q(a)} \quad \top}{P(a, f(a)) \vee Q(a)} \quad \top \quad \frac{\frac{\perp}{\forall x_1 Q(x_1)} \quad \top}{\forall x_1 \exists x_2 (P(x_1, x_2) \vee Q(x_1))} \quad \top
 \end{array}$$

Direct overbinding would not work without merging same variables!:  $\forall x_1 \forall x_2 \exists x_3 (P(x_2, x_3) \vee Q(x_1))$

counterexample:  $Q \sim \{0\}, P \sim \{(1, 0)\}$

Direct overbinding would work when considering original dependencies as highlighted above

### Ex 101b – other resolution order

$$\begin{array}{c}
 \frac{\frac{P(u, f(u)) \vee Q(u)}{Q(u)} \quad \frac{\neg P(x, y)}{y \mapsto f(u), x \mapsto u}}{\square} \quad \frac{\neg Q(a)}{u \mapsto a} \\
 \\
 \frac{\frac{\perp}{P(u, f(u))} \quad \top}{P(a, f(a)) \vee Q(a)} \quad \top \quad \frac{\frac{\perp}{\exists x_1 P(u, x_1)} \quad \top}{\forall x_1 \exists x_2 (P(x_1, x_2) \vee Q(x_1))} \quad u \mapsto a
 \end{array}$$

### Ex 101c – $\Pi$ and $\Sigma$ swapped

$$\begin{array}{c}
 \frac{\frac{P(u, f(u)) \vee Q(u)}{Q(u)} \quad \frac{\neg P(x, y)}{y \mapsto f(u), x \mapsto u}}{\square} \quad \frac{\neg Q(a)}{u \mapsto a} \\
 \\
 \frac{\frac{\top}{\neg P(u, f(u))} \quad \perp}{\neg P(a, f(a)) \wedge \neg Q(a)} \quad \perp \quad \frac{\frac{\top}{\forall x_2 \neg P(u, x_2)} \quad \perp}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \wedge \neg Q(x_1))} \quad \perp
 \end{array}$$

Ex 101d –  $\Pi$  and  $\Sigma$  swapped, other resolution order

$$\frac{\frac{P(u, f(u)) \vee Q(u) \quad \neg Q(a)}{P(a, f(a))} \quad \frac{\neg Q(a)}{u \mapsto a} \quad \frac{\neg P(x, y)}{x \mapsto a, y \mapsto f(a)} \quad \square$$

$$\frac{\frac{\top \quad \perp}{\neg Q(a)} \quad y \mapsto a}{\neg Q(a) \wedge \neg P(a, f(a))} \quad \perp \quad x \mapsto a, y \mapsto f(a)$$

$$\frac{\frac{\top \quad \perp}{\exists x_1 \neg Q(x_1)} \quad \perp}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \wedge \neg Q(x_1))}$$

102 – similar to 101 but with intra-clause-set inferences

Ex 102a

$$\frac{\frac{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z) \quad \neg P(y)}{Q(f(x), z)} \quad \frac{\neg Q(x_1, \textcolor{blue}{y}) \vee R(\textcolor{blue}{y}) \quad \neg R(g(z_1))}{\neg Q(x_1, g(z_1))} \quad y \mapsto g(z_1), x_1 \mapsto f(x)}{x_1 \mapsto f(x), z \mapsto g(z_1)} \quad \square$$

$$\frac{\frac{\perp \quad \top}{P(f(x))} \quad \frac{\perp \quad \top}{R(g(z_1))}}{P(f(x)) \vee R(g(z_1))} \quad y \mapsto g(z_1), x_1 \mapsto f(x)$$

$$\frac{\frac{\perp \quad \top}{\exists x_1 P(x_1)} \quad \frac{\perp \quad \top}{\forall x_2 R(x_2)}}{\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))} \quad (\text{order irrelevant!})$$

Ex 102b

$$\frac{\frac{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z) \quad \neg P(y)}{Q(f(x), z)} \quad \frac{\neg Q(f(\textcolor{blue}{y}), z_1) \vee R(\textcolor{blue}{y}) \quad \neg R(a)}{\neg Q(f(a), z_1)} \quad y \mapsto a}{x \mapsto a, z \mapsto z_1} \quad \square$$

$$\frac{\frac{\perp \quad \top}{P(f(x))} \quad \frac{\perp \quad \top}{R(a)}}{P(f(a)) \vee R(a)} \quad y \mapsto a$$

$$\frac{\frac{\perp \quad \top}{\exists x_1 P(x_1)} \quad \frac{\perp \quad \top}{\forall x_2 R(x_2)}}{\forall x_2 \exists x_1 (P(x_1) \vee R(x_2))} \quad y \mapsto a, z \mapsto z_1$$

direct:

$$\frac{\frac{\perp \quad \top}{\exists x_1 P(x_1)} \quad x_1 \sim f(x) \quad \frac{\perp \quad \top}{\forall x_2 R(x_2)} \quad x_2 \sim a}{\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))} \quad \text{order irrelevant!}$$

Ex 102b' with  $Q$  grey

$$\begin{array}{c}
 \frac{\frac{\Sigma}{P(f(\textcolor{red}{x})) \vee Q(f(\textcolor{red}{x}), z)} \quad \frac{\Pi}{\neg P(y)}}{Q(f(x), z)} \quad \frac{\frac{\Sigma}{\neg Q(f(\textcolor{blue}{y}), z_1) \vee R(\textcolor{blue}{y})} \quad \frac{\Pi}{\neg R(a)}}{\neg Q(f(a), z_1)} y \mapsto a \\
 \hline
 \square \quad x \mapsto a, z_1 \mapsto z \\
 \\
 \frac{\frac{\perp}{P(f(x))} \quad \frac{\top}{R(a)}}{(\neg Q(f(a), z) \wedge P(f(a))) \vee (Q(f(a), z) \wedge R(a))} y \mapsto a \\
 \hline
 x \mapsto a, z_1 \mapsto z
 \end{array}$$

Huang:

$$\frac{\frac{\perp}{\exists x_2 P(x_2)} \quad \frac{\top}{\forall x_1 R(x_1)}}{\forall x_1 \exists x_2 (\neg Q(x_2, z) \wedge P(x_2)) \vee (Q(x_2, z) \wedge R(x_1))} y \mapsto a \\
 \hline
 x \mapsto a, z_1 \mapsto z$$

direct:

$$\frac{\frac{\perp}{\exists x_2 P(x_2)} \quad \frac{\top}{\forall x_1 R(x_1)}}{\forall x_1 \exists x_2 \exists x_3 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))} x_2 \sim f(x) \quad x_1 \sim a \\
 \hline
 x_3 \sim f(a); x_2 \parallel x_3, x_1 < x_3 \\
 \text{OR: } \exists x_2 \forall x_1 \exists x_3 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1)) \\
 \text{OR: } \exists x_1 \exists x_3 \forall x_2 (\neg Q(x_3, z) \wedge P(x_2)) \vee (Q(x_3, z) \wedge R(x_1))$$

Huang-Version passt weil man für existenzielle quantoren eh gleichen term einsetzt

### Example 103: variables in interpolant get unified and order might have to be changed

103a: length changes but old version is still valid, despite huang's algorithm doing a sort

[illegible]

 $X:$ 

Huang's algo gives:

$$\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

Direct overbinding gives:  $x_3 < x_1$ , rest arbitrary, hence:

$\forall x_3 \exists x_1 \forall x_2 (Q(x_1) \vee P(x_2) \vee R(x_3))$  <- this you do not get with huang

$$\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

$$\forall x_3 \forall x_2 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))$$

103b: length changes “uniformly”

$$\frac{\frac{\frac{Q(f(f(\textcolor{red}{x}))) \vee P(f(\textcolor{red}{x})) \vee R(\textcolor{red}{x})}{P(f(x)) \vee R(x)} \quad \frac{\neg Q(y_1)}{y_1 \mapsto f(f(x))} \quad \frac{\neg P(y_2)}{y_2 \mapsto f(x)} \quad \frac{\neg R(g(a))}{x \mapsto g(a)}}{R(x)} \quad \square$$

$$\frac{\frac{\frac{\perp}{Q(f(f(x)))} \quad \top}{y_1 \mapsto f(f(x))} \quad \top}{\frac{Q(f(f(x))) \vee P(f(x))}{y_2 \mapsto f(x)}} \quad \top}{\frac{Q(f(f(g(a)))) \vee P(f(g(a))) \vee R(g(a))}{x \mapsto g(a)}} \quad \top$$

$$\frac{\frac{\frac{\perp}{\exists x_1 Q(x_1)} \quad \top}{\exists x_2 \exists x_1 (Q(x_1) \vee P(x_2))} \quad \top}{\forall x_3 \exists x_2 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))} \quad \top$$

Huang and direct overbinding somewhat coincide as  $x_2 < x_1$  in both cases, and  $x_3 < x_1$  and  $x_3 < x_2$

103c: Failed attempt: different variables, accidentally the same terms appear but no logical connection

$$\frac{P(a, x) \quad \frac{\frac{\neg Q(a)}{\Sigma} \quad \frac{\neg P(y, f(z)) \vee Q(z)}{\Pi} \quad z \mapsto a}{\neg P(y, f(a))} \quad y \mapsto a, x \mapsto f(a)}{\square}$$

$$\frac{\perp \quad \frac{\frac{\perp}{\neg Q(a)} \quad \top}{z \mapsto a}}{P(a, f(a)) \wedge \neg Q(a)} \quad y \mapsto a, x \mapsto f(a) \quad \frac{\perp \quad \frac{\frac{\perp}{\exists x_1 \neg Q(x_1)} \quad \top}{\exists x_1 \forall x_2 (P(x_1, x_2) \wedge \neg Q(x_1))}}$$

order required for  $\Pi$

direct:

$$\frac{\frac{\perp \quad \frac{\frac{\perp}{\exists x_1 \neg Q(x_1)} \quad \top}{x_1 \sim a}}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}} \quad x_2 \sim a, x_3 \sim f(a); x_1 < x_3}{\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))}$$

invariant:

$$\frac{\frac{\exists x_2 (P(x_2, x) \vee \perp) \quad \frac{\frac{\exists x_1 (Q(x_1) \vee \perp) \quad \forall x_3 ((\neg P(y, \mathbf{x}_3) \vee Q(\mathbf{z})) \vee \top)}{x_1 \sim a}}{\exists x_1 \forall x_3 \neg P(y, \mathbf{x}_3) \vee \neg Q(\mathbf{x}_1)}}}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}} \quad x_2 \sim a, x_3 \sim f(a); x_1 < x_3}{\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))}$$

invariant in other resolution order

$$\frac{\frac{\perp \quad \frac{\frac{\perp}{Q(\mathbf{z}) \vee \exists x_2 \forall x_3 P(x_2, \mathbf{x}_3)} \quad \top}{x_2 \sim a, x_3 \sim f(\mathbf{z})}}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}} \quad x_1 \sim a; x_1 < x_3}{\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))}$$

invariant if  $\Sigma$  and  $\Pi$  swapped:

$$\frac{\frac{\perp \quad \frac{\frac{\top}{\neg P(y, f(x_1)) \vee \forall x_1 Q(x_1)} \quad \perp}{x_1 \sim a}}{\forall x_1 \forall x_2 \exists x_3 (\neg P(x_2, x_3) \vee Q(x_1))}} \quad x_2 \sim a, x_3 \sim f(a); x_1 < x_3}{\text{OR: } \forall x_1 \exists x_3 \forall x_2 (\neg P(x_2, x_3) \vee Q(x_1))}$$

SECOND ATTEMPT:

$$\begin{array}{c}
\frac{\frac{\frac{\frac{\Sigma}{P(a)} \quad \frac{\Sigma}{Q(z)} \quad \frac{\frac{\Sigma}{\neg S(a)} \quad \frac{\Pi}{\neg P(y) \vee \neg Q(f(\textcolor{red}{x})) \vee S(\textcolor{red}{x})}}{\neg P(y) \vee \neg Q(f(a))} x \mapsto a}}{\neg P(y)} z \mapsto f(a)}{\neg P(y)} y \mapsto a \\
\hline
\Box
\end{array}$$

$$\begin{array}{c}
\frac{\frac{\frac{\perp}{P(a)} \quad \frac{\top}{\neg S(a)}}{\neg S(a) \wedge Q(f(a))} z \mapsto f(a)}{\frac{\perp}{P(a) \wedge \neg S(a) \wedge Q(f(a))} y \mapsto a}
\end{array}$$

Huang:

$$\begin{array}{c}
\frac{\frac{\frac{\perp}{\exists x_1 \neg S(x_1)} \quad \top}{\exists x_1 \neg S(x_1)}}{\frac{\perp}{\exists x_1 \forall x_2 (P(x_1) \wedge \neg S(x_1) \wedge Q(x_2))}}
\end{array}$$

$$\forall x_1 \exists x_2 (\neg P(x_1) \vee S(x_1) \vee \neg Q(x_2))$$

**similar fail**

$\Rightarrow$  anytime there is  $P(a, f(a))$ , either they have a dependency or they are not both differently colored (grey is uncolored)  
for the record, direct method anyway:

$$\begin{array}{c}
\frac{\frac{\frac{\frac{\perp}{\exists x_1 \neg S(x_1)} \quad \top}{\exists x_1 \neg S(x_1)} x \sim a}{\frac{\perp}{\exists x_1 \forall x_2 \neg S(x_1) \wedge Q(x_2)} z \sim f(a); x_1 < x_2}}{\frac{\perp}{\exists x_1 \forall x_2 \exists x_3 P(x_3) \wedge \neg S(x_1) \wedge Q(x_2)} x_3 \sim a; x_3 \text{ need not be merged w } x_1}
\end{array}$$

## Example: ordering on both ancestors where the merge forces a new ordering

202a – canonical

$$\frac{\frac{P(a, x_1) \vee R(y)}{R(y)} \quad \frac{\neg P(\textcolor{violet}{x}, f\textcolor{violet}{x})}{x_1 \mapsto fa} \quad \frac{Q(\textcolor{red}{x}_2, g\textcolor{red}{x}_2) \vee \neg R(u)}{\neg R(u)} \quad \frac{\frac{\neg S(a)}{\neg Q(f\textcolor{blue}{z}, x_3) \vee S(\textcolor{blue}{z})} \quad \frac{\neg Q(fa, x_3)}{x_2 \mapsto fa,} \quad \frac{z \mapsto a}{x_3 \mapsto gfa}}{\square}$$

$$\frac{\frac{\perp}{P(a, f(a))} \quad \frac{\top}{x_1 \mapsto f(a)} \quad \frac{\perp}{Q(f(a), g(f(a))) \wedge \neg S(a)} \quad \frac{\frac{\perp}{\neg S(a)} \quad \top}{z \mapsto a} \quad \frac{x_2 \mapsto f(a),}{x_3 \mapsto g(f(a))}}{P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a))}$$

Huang

$$\frac{\frac{\perp}{\exists x_1 \forall x_2 P(x_1, x_2)} \quad \top}{\exists x_1 \forall x_2 \exists x_3 P(x_1, x_2) \vee (Q(x_2, x_3) \wedge \neg S(x_1))} \quad \frac{\perp}{\exists x_1 \forall x_2 \exists x_3 Q(x_2, x_3) \wedge \neg S(x_1)} \quad \frac{\frac{\perp}{\exists x_1 \neg S(x_1)} \quad \top}{\exists x_1 \neg S(x_1)}$$

direct:

$$\frac{\frac{\perp}{\exists x_1 \forall x_2 P(x_1, x_2)} \quad \top}{\exists x_1 \forall x_2 \exists x_5 P(x_1, x_2) \vee (Q(x_2, x_5) \wedge \neg S(x_5))} \quad \frac{x_1 \sim a, x_2 \sim fa \quad x_3 \sim a, x_4 \sim fa, x_5 \sim gfa}{\textcolor{violet}{x}_1 < \textcolor{violet}{x}_2 \quad \textcolor{red}{x}_3 < \textcolor{red}{x}_4, \textcolor{blue}{x}_4 < \textcolor{blue}{x}_5} \quad \frac{\frac{\perp}{\exists x_3 \neg S(x_3)} \quad \top}{\exists x_3 \neg S(x_3)} \quad \frac{x_3 \sim a}{x_3 \mapsto x_1, x_4 \mapsto x_2} \quad \frac{x_1 < x_2, x_2 < x_5}{x_1 < x_2, x_2 < x_5}$$

without merge in end:  $(x_1 < x_2, x_3 < x_4, x_4 < x_5)$

$\exists x_1 \forall x_2 \exists x_3 \forall x_4 \exists x_5 P(x_1, x_2) \vee (Q(x_4, x_5) \wedge \neg S(x_3))$

$\exists x_3 \forall x_4 \exists x_5 \exists x_1 \forall x_2 P(x_1, x_2) \vee (Q(x_4, x_5) \wedge \neg S(x_3))$

(also interwoven ones appear to work)

combined presentation:

$$\frac{\frac{\perp \mid P(a, x_1) \vee R(y) \quad \top \mid -}{P(a, f(a)) \mid R(y)} \quad \frac{x_1 \mapsto f(a)}{x \mapsto a} \quad \frac{\perp \mid Q(x_2, g(x_2)) \vee \neg R(u)}{Q(f(a), g(f(a))) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(z), x_3) \vee S(z)}{\neg S(a) \mid \neg Q(f(a), x_3)} \quad \frac{z \mapsto a}{x_2 \mapsto f(a)},}{x_3 \mapsto g(f(a))} \\ P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a)) \mid \square$$

combined presentation ground:

$$\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(x, f(x))}{(P(a, f(a)) \wedge \top) \vee (\neg P(a, f(a)) \wedge \perp) \mid R(y)} \quad \frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{Q(f(a), g(f(a))) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\neg S(a) \mid \neg Q(f(a), g(f(a)))}}{P(a, f(a)) \vee (Q(f(a), g(f(a))) \wedge \neg S(a)) \mid \square}$$

combined presentation ground with direct method but only  $\Delta$ -terms removed :

$$\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(x, f(x))}{(P(a, x_2) \wedge \top) \vee (\neg P(a, x_2) \wedge \perp) \mid R(y)} \quad \frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{Q(x_4, g(x_4)) \wedge \neg S(a) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\neg S(a) \mid \neg Q(f(a), g(f(a)))}}{P(a, x_2) \vee (Q(x_4, g(x_4)) \wedge \neg S(a)) \mid \square}$$

combined presentation ground with direct method:

$$\frac{\frac{\perp \mid P(a, f(a)) \vee R(y) \quad \top \mid \neg P(x, f(x))}{\exists x_1 \forall x_2 (P(x_1, x_2) \wedge \top) \vee (\neg P(x_1, x_2) \wedge \perp) \mid R(y)} \quad \frac{\perp \mid Q(f(a), g(f(a))) \vee \neg R(u)}{\exists x_3 \forall x_4 \exists x_5 (Q(x_4, x_5) \wedge \neg S(x_3)) \mid \neg R(u)} \quad \frac{\frac{\perp \mid \neg S(a) \quad \top \mid \neg Q(f(a), g(f(a))) \vee S(a)}{\exists x_3 \neg S(x_3) \mid \neg Q(f(a), g(f(a)))}}{\exists x_1 \forall x_2 \exists x_3 \forall x_4 \exists x_5 (P(x_1, x_2) \vee (Q(x_4, x_5) \wedge \neg S(x_3))) \mid \square}$$



## 203a – some alternations

[illegible]

Huang:

$$\frac{\frac{\frac{\perp}{\exists x_1 \neg P(x_1)} \quad \top}{\exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1))} \quad \perp}{\top \quad \forall x_0 \exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0))} \quad \top \quad \frac{\forall x_0 \exists x_1 \forall x_2 \exists x_3 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0) \vee S(x_3))}{\forall x_0 \exists x_1 \forall x_2 \exists x_3 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0) \vee S(x_3))}$$

Direct:

$$\frac{\frac{\frac{\frac{\perp}{\exists x_1 \neg P(x_1)}}{\top} x_1 \sim f(x)}{\top} x_2 \sim g(f(x)); x_1 < x_2}{\top} x_0 \sim a; x_0 < x_1, x_0 < x_2}{\forall x_0 \exists x_1 \forall x_2 (\neg Q(x_2) \wedge \neg P(x_1) \vee R(x_0))} x_3 \sim h(g(f(a))); x_0 < x_3, x_1 < x_3, x_2 < x_3$$

203b – many  $\Sigma$ -literals, coloring per occurrence

[illegible]

$$\rightarrow \forall x_1 \exists x_2 (R(x_1) \vee S(x_2))$$

203b' – many  $\Sigma$ -literals, coloring per symbol, all predicates grey

$$\begin{array}{c}
 \frac{\frac{\frac{\Pi}{\neg R(a)} \quad \frac{\Sigma}{R(x) \vee \neg P(f(x))} \quad x \mapsto a}{R(a) \mid \neg P(fa)} \quad \frac{\Sigma}{P(z) \vee Q(g(z))} \quad z \mapsto fa}{\frac{\Pi}{\neg S(x_1)} \quad \frac{P(fa) \vee R(a) \mid Q(gfa)}{\neg Q(y) \vee S(h(y))} \quad \Sigma}
 \end{array}$$

TODO

## Example where variables are not the outermost symbol but order is still relevant

204a

$$\Sigma = \{P(f(x), g(f(x)))\}$$

$$\Pi = \{P(f(a), y)\}$$

$$\Rightarrow \forall x_1 \exists x_2 P(f(x_1), x_2)$$

204b

$$\Sigma = \{P(f^5(x), g(f(x)))\}$$

$$\Pi = \{P(f^5(a), y)\}$$

$$\Rightarrow \forall x_1 \exists x_2 P(f^5(x_1), x_2)$$

example with aufschaukelnde unification, such that direction of arrow isn't clear

205a

$$\frac{P(ff\textcolor{blue}{y}, g\textcolor{blue}{y})}{\frac{\frac{\frac{\frac{\frac{\Sigma}{\neg R(a)} \quad \frac{\Pi}{\neg Q(ff\textcolor{violet}{z}) \vee R\textcolor{violet}{z}}}{\neg R(a) \mid \neg Q(ff\textcolor{red}{a})} \quad z \mapsto a}{\neg P(\textcolor{red}{x}, y) \vee Q(\textcolor{red}{x})} \quad \frac{\Sigma}{\neg R(a) \wedge Q(ff\textcolor{red}{a}) \mid \neg P(ff\textcolor{red}{a}, y)} \quad y \mapsto a}{\neg R(a) \wedge Q(ff\textcolor{red}{a}) \vee \neg P(ff\textcolor{red}{a}, ga)}} \quad x \mapsto ffa$$

direct

$$\frac{P(ff\textcolor{blue}{y}, g\textcolor{blue}{y})}{\frac{\frac{\frac{\frac{\frac{\Sigma}{\neg R(a)} \quad \frac{\Pi}{\neg Q(ff\textcolor{violet}{z}) \vee R\textcolor{violet}{z}}}{\exists x_1 \neg R(x_1) \mid \neg Q(ff\textcolor{red}{a})} \quad z \mapsto a}{\neg P(\textcolor{red}{x}, y) \vee Q(\textcolor{red}{x})} \quad \frac{\Sigma}{\exists x_1 \forall x_2 (\neg R(x_1) \wedge Q(x_2)) \mid \neg P(ff\textcolor{red}{a}, u)} \quad y \mapsto a, u \mapsto ga}{\exists x_1 \forall x_2 \forall x_3 ((\neg R(x_1) \wedge Q(x_2)) \vee \neg P(x_2, x_3))} \quad x \mapsto ffa$$

ground:

$$\frac{P(ff\textcolor{blue}{a}, ga)}{\frac{\frac{\frac{\frac{\frac{\Sigma}{\neg R(a)} \quad \frac{\Pi}{\neg Q(ff\textcolor{violet}{a}) \vee R\textcolor{violet}{a}}}{\neg R(a) \mid \neg Q(ff\textcolor{red}{a})} \quad \frac{\Sigma}{\neg P(ff\textcolor{red}{a}, y) \vee Q(ff\textcolor{red}{a})} \quad \frac{\Sigma}{\neg R(a) \wedge Q(ff\textcolor{red}{a}) \mid \neg P(ff\textcolor{red}{a}, a)} \quad \frac{\Sigma}{\neg R(a) \wedge Q(ff\textcolor{red}{a}) \vee \neg P(ff\textcolor{red}{a}, ga)}} \quad y \mapsto a, u \mapsto ga$$

205b ~ 205a, but simpler

Suppose  $P$  occurs somewhere in  $\Sigma$  (result not that optimal in this setting, but correct)

not nice for proving,  $\neg R(a)$  is a nice interpolant already

$$\frac{\frac{\frac{\frac{\frac{\Sigma}{\neg R(a)} \quad \frac{\Pi}{\neg P(ff\textcolor{violet}{z}, x) \vee R\textcolor{violet}{z}}}{\neg R(a) \mid \neg P(ff\textcolor{red}{a}, x)} \quad z \mapsto a}{\neg R(a) \vee \neg P(ff\textcolor{red}{a}, ga) \mid \square}} \quad \frac{\frac{\frac{\frac{\frac{\Sigma}{\perp \mid \neg R(a)} \quad \frac{\Pi}{\neg P(ff\textcolor{violet}{z}, x) \vee R\textcolor{violet}{z}}}{\exists x_1 \neg R(x_1) \mid \neg P(ff\textcolor{red}{a}, x)} \quad z \mapsto a}{\exists x_1 \forall x_2 \forall x_3 \neg R(x_1) \vee \neg P(x_2, x_3) \mid \square}} \quad \frac{\Pi}{\top \mid P(ff\textcolor{blue}{y}, g\textcolor{blue}{y})} \quad x \mapsto ga, y \mapsto a$$

$\exists x_1 R(x_1)$

$\exists x_1 \forall x_2 \forall x_3 (R(x_1) \vee \neg P(x_2, x_3))$

## misc examples

201a

$$\frac{\frac{P(x, y) \vee \neg Q(y) \quad \neg P(a, y_2)}{\neg Q(y)} \quad x \mapsto a \quad \frac{Q(f(z)) \vee R(z) \quad \neg R(a)}{Q(f(a))} \quad z \mapsto a}{\square} \quad y \mapsto f(a)$$

$$\frac{\frac{\perp \quad \top}{P(a, y)} \quad x \mapsto a \quad \frac{\perp \quad \top}{R(a)} \quad z \mapsto a}{P(a, f(a)) \vee R(a)} \quad y \mapsto f(a) \quad \frac{\frac{\perp \quad \top}{\forall x_1 P(x_1, y)} \quad x \mapsto a \quad \frac{\perp \quad \top}{\forall x_3 R(x_3)} \quad z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 (P(x_1, x_2) \vee R(x_3))} \quad y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_1)$

201b

$$\frac{\frac{P(x, f(y)) \vee \neg Q(f(y)) \quad \neg P(a, y_2)}{\neg Q(f(y))} \quad x \mapsto a \quad \frac{Q(f(z)) \vee R(z) \quad \neg R(a)}{Q(f(a))} \quad z \mapsto a}{\square} \quad y \mapsto f(a)$$

$$\frac{\frac{\perp \quad \top}{P(a, f(y))} \quad x \mapsto a \quad \frac{\perp \quad \top}{R(a)} \quad z \mapsto a}{P(a, f(a)) \vee R(a)} \quad y \mapsto a \quad \frac{\frac{\perp \quad \top}{\forall x_1 \exists x_2 P(x_1, x_2)} \quad x \mapsto a \quad \frac{\perp \quad \top}{\forall x_3 R(x_3)} \quad z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_3)} \quad y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \vee R(x_1)$

arrow in element which is not in interpolant or resolution clause

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$$\begin{array}{c}
 \frac{\frac{P(x) \vee \neg Q(f(x))}{\forall x_1 P(x_1) \mid \neg Q(f(a))} \quad \frac{\neg P(a)}{x \mapsto a} \quad \frac{\frac{Q(y) \vee R(g(y))}{\exists x_2 R(x_2) \mid Q(y)} \quad \frac{\neg R(z)}{z \mapsto g(y)}}{\frac{\forall x_1 \exists x_2 (P(x_1) \vee R(x_2)) \mid \Box}{y \mapsto f(a)}} \\
 \hline
 P(a) \vee R(g(f(a)))
 \end{array}$$

for first interpolant,  $\Sigma \not\models \ell_{\Delta,x}[\text{PI}(C)] \vee C$