noteworthy thoughts

* if x occurs in $y\sigma$, then add an arrow from every grey occurrence of x to the network of y-occurrences. It should be possible to have this network reach every occurrence. not sure how widereaching this is as it does not cover any color-alternating terms.
* seem to not be able to construct $Q(f(h(x)), g(x))$ without arrow between arguments (either merge or a directed one)
* need some kind of backwards merging
** a possibly useful criterion: $z\sigma$ occurs in $y\sigma$ for $y, z \in C_1 \cup C_2$.
* what about label for arrows containing the variable, which is manipulated by the unifier?

basic facts which should be used in the algorithm

* variables only occur per clause and are only changed by unification. Hence need to establish conditions at beginning which are not violated by unification.
* without $x \leftrightarrow f(x)$ situation, no mixed-colored terms can occur
* other unifications transfer mixed-colored terms without producing them.

1 current version

TODO: finish new version of lemma 1
TODO: find formulation of merge arrows: lemma about how terms of color are related when they share variables
TODO: check if old lemma 2 occurs anywhere else
TODO: basically check A, B and C for which new lemma 2 we need; consider longer NB comment in lemma 1

_grey_to_colored⟩

Conjectured Lemma 1. *Let x be a variable in $\mathrm{AI}_{\mathrm{cl}}^{\Delta}(C)$ occurs in the maximal colored term $t[x]$. If it has a grey occurrence in some literal ($\mathrm{AI}(C)$ or or also in literals with colored predicates), then $x \rightsquigarrow t[x]$.*

Proof. Induction start by definition.
Induction step with usual notation.
We consider introductions of $t[x]$ by changing the variable y. Let $\hat{y}$ be the position of y which causes the variable to be changed by the unification algorithm. $\hat{y}$ is in a resolved literal, say l, so we denote it by $l|_{\hat{y}}$ and its counterpart in l' by $l'|_{\hat{y}}$.

Suppose x occurs grey in $y\sigma$ and a colored $t[y]$ occurs in C_1. Then $t[x]$ occurs in C and we have to show that $x \rightsquigarrow t[x]$ if x occurs grey in C.

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- Suppose y has a grey occurrence $\dot{y}$ in C_1. Then by the induction hypothesis, $\dot{y} \rightsquigarrow t[y]$. As σ is applied in C, $\dot{y}\sigma[x] \rightsquigarrow t\sigma[x]$.

- Otherwise there are only colored occurrences of y, so also $l|_{\hat{y}}$ is a colored occurrence. Let it be contained in the maximal colored term $s[y]$.

  figure: $Q(\ldots t[y] \ldots) \vee P(\ldots s[y]_p \ldots) \qquad \neg P(\ldots s[x]_p)$

  - Suppose that x occurs grey in C_2. Then by the induction hypothesis, $x \rightsquigarrow l'|_{\hat{y}}$ and so $x \rightsquigarrow l|_{\hat{y}}$.

    As all other occurences of y are contained in colored terms, TODO: merge arrows NB: this case is contained in the blue notes below

  - Suppose that x does not occur grey in C_2. Suppose that x does occur grey in C as otherwise we are done.

    Then there exists a grey occurrence of a variable z in C_i such that x occurs grey in $z\sigma$.

    * Suppose $C_i = C_1$.

      figure: $Q(t[y]) \vee z \vee l[f(y), g(z)] \qquad \neg l[f(h(x)), g(x)] \qquad z\sigma = x; y\sigma = h(x)$

      By backwards merge special case 1', $z \rightsquigarrow t|_y$.

      NB: the backwards merge special case again does a lot; without it, we know that:

      - there is an arrow from z to $g(z)$ by the induction hypothesis
      - there should be some arrow at $f(h(x)), g(x)$, so after the resolution step, the same arrow applies to $f(y), g(z)$.
      - as y occurs colored, there should again be some arrow between $t[y]$ and $f(y)$.
      - these combined should yield $z \rightsquigarrow t(y)$, which is what we want to show

    * Suppose $C_i = C_2$.

      By backwards merge special case 1', $z \rightsquigarrow t|_y$

      figure: $Q(t[y]) \vee l[f(y), s[u], r[u]] \qquad \neg l[f(h(x)), s'[z], r'[x]] \vee z$
      x grey in $u\sigma$, x grey in $z\sigma$,

      NB: without special case: z occurs grey in C_2, and also in the resolved literal, say at $\hat{z}$.

      - merge arrow at $s[u], r[u], f(h(x)), r'[x]$ and regular arrow from z to $s'[z]$
      - merge arrow at $t[y], f(y)$

Suppose x occurs colored in $y\sigma$ and y occurs in C_1 (colored or grey).

figure: $C_1 : Q(\dots \dot{y} \dots) \lor l[\hat{y}]_p \qquad C_2 : \neg l[\hat{y}']_p \quad (\hat{y}'$ is abstraction of $t[x])$

- Suppose $l'|_{\hat{y}}$ contains x.

  - Suppose that x occurs grey in C_2. then by the induction hypothesis, $x \rightsquigarrow l'|_{\hat{y}}$ and hence $x \rightsquigarrow l|_{\hat{y}}$. Let $\dot{y}$ be an occurrence of y in C_1 different from $l|_{\hat{y}}$.

    If $l|_{\hat{y}}$ is a grey occurrence and $\dot{y}$ occurs colored in C_1, then by the induction hypothesis, $l|_{\hat{y}} \rightsquigarrow \dot{y}$. By combining the paths, we get that $x \rightsquigarrow \dot{y}$.

    If $l|_{\hat{y}}$ is a grey occurrence and $\dot{y}$ occurs grey in C_1, then by Lemma 4, there is a merge path between $l|_{\hat{y}}$ and $\dot{y}$ and hence $x \rightsquigarrow \dot{y}$.

    If $l|_{\hat{y}}$ is a colored occurrence and $\dot{y}$ occurs grey in C_1, then by the backwards merging case 3, $x \rightsquigarrow \dot{y}$.

    If $l|_{\hat{y}}$ is a colored occurrence and $\dot{y}$ occurs colored in C_1, TODO: apply merge arrows

  - Otherwise x occurs only colored in C_2.

    If x does not occur grey in C, we are done, so assume it does.

    Then there exists a grey occurrence of a variable z in C_i such that x occurs grey in $z\sigma$.

    * Suppose $C_i = C_1$.

      · Suppose y occurs grey in C_1. As x occurs grey in $z\sigma$ and x occurs colored in $y\sigma$, by the backwards merging 1 special case, we have an arrow from z to y. Full stop as in C, $x \rightsquigarrow y\sigma$.

      · Otherwise y occurs colored in C_1. Then a similar reasoning goes through by backwards merging case 2.

      figure: $r[y] \lor z \lor l[f(y), g(z)] \quad \neg l[f(h(x)), g(x)] \quad z\sigma = x; y\sigma = h(x)$

      NB: without special case: Then there is an occurrence of z in the resolved literal, sat at $l|_{\hat{z}}$ such that $l'|_{\hat{z}}$ is an occurrence of x. As x occurs grey in $z\sigma$ and x only occurs colored, both $l|_{\hat{z}}$ and $l'|_{\hat{z}}$ are colored occurrences.

      · arrow $z, g(z)$, merge path $f(h(x)), g(x)$
      · if $r[y]$ colored occurrence of y, then $f(y), r[y]$
      · if $r[y]$ grey occurrence of y, really need some special case, but at least y is visible (probably special case 1)

    * Suppose $C_i = C_2$.

need to see variable here

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figure: $r[y] \vee l[f(y), s[u], r[u]] \qquad \neg l[f(h(x)), s'[z], r'[x]] \vee z$

x grey in $u\sigma$, x grey in $z\sigma$, x colored in $y\sigma$

We get $z \rightsquigarrow y$ by either backwards special case 1 or 2, depending whether y is a grey or colored occurrence.

NB: version without special case appears to be similar as above

- Suppose $l'|_{\hat{y}}$ does not contain x. Then it contains a variable u such that x occurs grey in $u\sigma$. So the situation repeats in C_2 as $l'|_{\hat{y}}$ is contained in a colored term and u is what y was now. Hence we obtain the result by Remark $(*)$.

$\square$

Conjectured Lemma 2. *deprecated to version above*

Let x be a variable in $\mathrm{AI}_{\mathrm{cl}}^{\Delta}(C)$ which has a grey occurrence and a colored occurrence. Then for every colored occurrence $\hat{x}$ of x, there is an path from a term containing some grey occurrence to a term containing $\hat{x}$ using arrows from $\mathcal{A}(C)$.

Proof. Proof by induction; base case obvious; suppose resolution with usual notation. Suppose the paths exist for C_1 and C_2. We consider the different possibilities of introduction of colored occurrences of x and show that in each of them, there is a path from a term containing a grey occurrence to a term containing the colored occurrence.

Suppose x is introduced into a maximal colored term t by means of unification. So $t\sigma$ is a term containing x. Let y be a variable in t such that $y\sigma$ is a term containing x.

Let $\hat{y}$ be the position of y which causes the variable to be changed by the unification algorithm. $\hat{y}$ is in a resolved literal, say l, so we denote it by $l|_{\hat{y}}$ and its counterpart in l' by $l'|_{\hat{y}}$.

- Suppose $l|_{\hat{y}}$ is a grey occurrence.

  figure: $P(f(y)) \vee Q(\hat{y}) \qquad \neg Q(\cdot)$

  Then by the induction hypothesis, there is an path from a term containing some grey occurrence of y to a term containing y in t. After applying σ, the path leads from $y\sigma[x]$ to $t\sigma[x]$. If $y\sigma[x]$ has a grey occurrence of x, we are done. Otherwise it has a colored occurrence of x. But as $l|_{\hat{y}}\sigma = l'|_{\hat{y}}\sigma$, there is a colored occurrence of x in C_2. If there also is a grey occurrence, then by the induction hypothesis, there is an arrow from some grey occurrence of x to $l'|_{\hat{y}}$ and hence there is a path from that grey occurrence to $t\sigma[x]$. If there is no grey occurrence of x in C_2, suppose that x originates from C_1 and there is a grey occurrence of x in C_1, as otherwise we are done. As $l'|_{\hat{y}}\sigma$ contains x but $l|_{\hat{y}}\sigma$, x must occur in l, say at $\hat{x}$ and its corresponding term in l' is a variable, say z, such that $z\sigma = x$. z also occurs in $l'|_{\hat{y}}\sigma$.

  - Suppose $l|_{\hat{x}}$ is a grey occurrence. Then $l'|_{\hat{x}}$ is so as well and by the induction hypothesis, there is a path from a term containing a grey occurrence of z in C_1 to $l'|_{\hat{y}}\sigma$ and we are done.
  - Otherwise $l|_{\hat{x}}$ is a colored occurrence. Then so is $l'|_{\hat{x}}$ and by Lemma 3, there is a merge edge between a term containing $l'|_{\hat{x}}$ and a term containing $l'|_{\hat{y}}\sigma$. As there is a grey occurrence of x in C_1, by the induction hypothesis, there is a path from a term containing a grey occurrence of x to $l'|_{\hat{x}}$ and we are done.

- Suppose $l|_{\hat{y}}$ is contained in a maximal colored term, say $s[y]$. Then in case $t[y] \neq s[y]$,

  if y occurs grey in C_1, since $y\sigma$

  by the induction hypothesis, $y \rightsquigarrow s[y]$

  by Lemma 3, there is a merge arrow between a term containing $t[y]$ and a term containing $s[y]$.

  As the arrows from terms containing $l|_{\hat{y}}$ and the corresponding terms containing $l'|_{\hat{y}}$ are merged, we need to show that there is an arrow in the other clause. $l'|_{\hat{y}}$ is an abstraction from a term containing x.

  $(*)$

  - Suppose $l'|_{\hat{y}}$ contains x.

    If there is a grey occurrence of x in C_2, we are done as the arrows between $l|_{\hat{y}}$ and $l'|_{\hat{y}}$ are merged and by the induction hypothesis, $x \rightsquigarrow l'|_{\hat{y}}$.

    If there is a grey occurrence of y in C_1 and $y\sigma$ contains a grey occurrence of x, we are done as by the induction hypothesis, $y \rightsquigarrow t[y]$, and after applying σ, this path leads from a grey occurrence of x to $t[y]\sigma$.

    Otherwise there are no grey occurrences of x and there is nothing to prove.

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– Suppose $l'|_{\hat{y}}$ does not contain x. Then it contains a variable v such that $v\sigma$ is a term containing x.

As $l'|_{\hat{y}}$ is by assumption contained in a colored term, where x is introduced, we know that there is an appropriate arrow by Remark $(*)$.

Suppose a term containing $t[x]$ **with** t **colored is in** $\mathrm{ran}(\sigma)$. So $y\sigma$ contains $t[x]$ for some y, which occurs in w.l.o.g. C_1. Let $\dot{y}$ be an arbitrary occurrence of y.

There is an occurrence of y in l, say $l|_{\hat{y}}$, whose corresponding term $l'|_{\hat{y}}$ is an abstraction of $t[x]$. Note that the arrows of $l|_{\hat{y}}$ and $l'|_{\hat{y}}$ are merged.

figure: $C_1 : Q(\ldots \dot{y} \ldots) \vee l[\hat{y}]$ $\qquad$ $C_2 : \neg l[\hat{y}']$ $\quad$ ($\hat{y}'$ is abstraction of $t[x]$)

Now we can argue quite like starting at $(\circledast)$. This establishes that $x \rightsquigarrow l'|_{\hat{y}}$ and also $x \rightsquigarrow l|_{\hat{y}}$.

If y and $\hat{y}$ are grey occurrences, then by Lemma 4, there is a merge edge and we are done.

If $\hat{y}$ is grey and $\dot{y}$ is colored, then by the induction hypothesis, $y \rightsquigarrow \hat{y}$ NB: (which is fine if we order by symbols, not their occurrences).

If $\hat{y}$ is colored and y is grey, then by construction of $\mathcal{A}(C)$, in particular the special treatment of 210g' and as $y\sigma = t[x]$ there is an arrow from any grey occurrence of x to y (if there is one; if there are none, we are done anyway).

If $\hat{y}$ is colored and y is colored, then by Lemma 3, there is a merge edge between $\hat{y}$ and y and we are done. $\qquad\square$

Conjectured Lemma 3. *WRONG: does not work out Let x be a variable in $\mathrm{AI}^{\Delta}_{\mathrm{cl}}(C)$. Then there is a merge path from every colored occurrence of x to every other colored occurrence of x in C.*

Lemma 4. *Let x be a variable in $\mathrm{AI}^{\Delta}_{\mathrm{cl}}(C)$. Then there is a merge arrow between every pair of distinct grey occurrences of x. // possibly not really needed as same var is always lifted by same lifting var, they can never diverge syntactically. Still this is used in the proof.*

Proof. Induction start: by definition.

Suppose it holds for C_1 and C_2, usual notation.

Suppose for some grey variable occurrence x that y occurs grey in $x\sigma$ for some variable y which has a grey occurrence in C (so either it was there in C_i and $y\sigma = y$ or y occurs grey in $z\sigma$ for some z, but then some y occurs elsewhere).

Then there is a position $\hat{x}$ in a resolved literal, say w.l.o.g. l, such that $l|_{\hat{x}} = x$ and $l'|_{\hat{x}} = y$.

- Suppose that $l|_{\hat{x}}$ is a grey occurrence. Then so is $l'|_{\hat{x}}$. By the induction hypothesis, both occurrences have merge edges to all other occurrences of the variable, and these are merged. Note that C_1 and C_2 are variable disjoint, so x does not occur in C_2 and y does not occur in C_1.

- Otherwise suppose that $l|_{\hat{x}}$ is a colored occurrence. There are merge edges between all grey occurrences of x in C_1 and y in C_2 by the induction hypothesis. As y occurs grey in $x\sigma$, by backwards merge case 4, there is a merge edge between every grey occurrence of x and y. $\qquad\square$

2 original proof

Ideas for simplification:

* Lemma for all cases about what is on the other side

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Example 5. $\Gamma = \{Q(\gamma(x)) \vee P(x), \neg Q(\gamma(z)), R(\ldots)\}$

$\Delta = \{\neg P(\delta(y)) \vee R(y), \neg R(a), Q(\ldots)\}$

$a \sim x_k, \delta(y) \sim x_i, \delta(a) \sim x_j$

R only for coloring

Q only for coloring

$$\frac{\dfrac{\bot \mid Q(\gamma(x)) \vee P(x) \qquad \top \mid \neg P(x_i) \vee R(y)}{P(x_i) \mid Q(\gamma(x_i)) \vee R(y)} \qquad \top \mid \neg R(x_k)}{(\neg R(x_k) \wedge P(x_i)) \vee (R(x_k) \wedge \top) \mid Q(\gamma(x_i))}$$

$$\frac{\dfrac{P(x_i) \vee R(x_k) \mid Q(\gamma(x_i)) \qquad\qquad \bot \mid \neg Q(\gamma(z))}{(\neg Q(x_j) \wedge (P(x_i) \vee R(x_k))) \vee (Q(x_j) \wedge \top) \mid \Box}}{\neg Q(x_j) \wedge (P(x_i) \vee R(x_k)) \mid \Box}$$

Gist: When $Q(\gamma(x_i))$ is the only symbol in $\text{AI}^{\Delta}(\cdot)$, the lifting var means $\delta(x)$, but in the actual derivation, it's $\delta(a)$. however τ fixes this. So before Q is resolved, there is an arrow, but with the wrong lifting var (x_i instead of x_j) $\qquad\qquad\qquad\qquad\qquad\qquad \triangle$

Remark (∗). Any substitution, in particular σ, only changes a finite number of variables. Furthermore a result of a run of the unification algorithm is acyclic in the sense that if a substitution $u \mapsto t$ is added to the resulting substitution, it is never the case that at a later stage $t \mapsto u$ is added. This can easily be seen by considering that at the point when $u \mapsto t$ is added to the resulting substitution, every occurrence of u is replaced by t, so u is not encountered by the algorithm at a later stage.

Therefore in order to show that a statement holds for every $u \mapsto t$ in a unifier σ, it suffices to show by an induction argument that for every substitution $v \mapsto s$ which is added to the resulting unifier by the unification algorithm that it holds for $v \mapsto s$ under the assumption that it holds for every $w \mapsto r$ such that w occurs in s and $w \mapsto r$ is added to the resulting substitution at a later stage. $\qquad\qquad\qquad\qquad \triangle$

Conjecture 6. *Let C be a clause in a resolution refutation. Suppose that $\text{AI}^{\Delta}(C)$ contains a maximal Γ-term $\gamma_j[z_i]$ which contains a lifting variable z_i. Then $z_i <_{\hat{\mathcal{A}}(C)} z_j$.* *TODO: there still is the case that z_i does not occur. also, it can be randomly introduced with no logical connection*

Proof. We proceed by induction. For the base case, note that no multicolored terms occur in initial clauses, so no lifting term can occur inside of a Γ-term.

Suppose a clause C is the result of a resolution of $C_1 : D \vee l$ and $C_2 : E \vee \neg l$ with $l\sigma = l'\sigma$. Furthermore suppose that for every lifting term inside a Γ-term in the clauses C_1 and C_2 of the refutation, for every term of the form $\gamma_j[z_i]$ we have that $z_i <_{\hat{\mathcal{A}}(C_1)} z_j$ or $z_i <_{\hat{\mathcal{A}}(C_2)} z_j$ respectively. Hence there is an arrow (p_1, p_2) in $\hat{\mathcal{A}}(C_1)$ or $\hat{\mathcal{A}}(C_2)$ such that z_i is contained in $\text{P}(p_1)$ and z_j is contained in $\text{P}(p_2)$. In $\text{AI}^{\Delta}(C)$, $\text{P}(p_1)$ contains $\ell[z_i\sigma]\tau = z_i\tau$ and $\text{P}(p_2)$

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contains $\ell[z_j\sigma]\tau = z_j\tau$. Hence the indices of the lifting variables might change, but this renaming does not affect the relation of the objects as $\hat{\mathcal{A}}(C_1) \cup \hat{\mathcal{A}}(C_2) \subseteq \hat{\mathcal{A}}(C)$.

We show that $z_i <_{\hat{\mathcal{A}}(C)} z_j$ holds true also for every new term of the form $\gamma_j[z_i]$ for some j, i in $\mathrm{AI}^\Delta(C)$. By "new", we mean terms which are not present in $\mathrm{AI}^\Delta(C_1)$ or $\mathrm{AI}^\Delta(C_2)$. Note that new terms in $\mathrm{AI}^\Delta(C)$ are of the form $\ell_\Delta^x[t\sigma]\tau$ for some $t \in \mathrm{AI}^\Delta(C_1) \cup \mathrm{AI}^\Delta(C_2)$. By Lemma **??**, σ does not introduce lifting variables. Hence a new term of the form $\gamma_j[z_i]$ is created either by introducing a Δ-term into a Γ-term or by introducing $\gamma_j[\delta_i]$ via σ, both followed by the lifting. Note that τ only substitutes lifting variables by other lifting variables and hence does not introduce lifting variables. Furthermore by Lemma **??**, τ only substitutes lifting variables for other lifting variables, whose corresponding term is more specialised. Hence if there exists an arrow from a lifting variable to $\gamma_j[z_i]$ according to this lemma, it is also an appropriate arrow if $\gamma_j[z_i]$ is replaced by $\gamma_j[z_i]\tau$.

We now distinguish the two cases under which a new term $\gamma_j[z_i]$ can occur in $\mathrm{AI}^\Delta(C)$:

Suppose for some Γ-term $\tilde{\gamma}_{j'}[u]$ in $\mathrm{AI}^\Delta(C_1)$ or $\mathrm{AI}^\Delta(C_2)$, $u\sigma$ contains a Δ-term.

Hence we have that $(\tilde{\gamma}_{j'}[u])\sigma = \gamma_j[\delta_i]$ for some i. Note that the position of u in $\tilde{\gamma}_{j'}[u]$ does not necessarily coincide with the position of δ_i in $\gamma_j[\delta_i]$ as u might be substituted by σ for a grey term containing δ_i.

We have that $\ell_\Delta[\tilde{\gamma}_{j'}[u]\sigma]\tau = \gamma_j[z_i]$.

At some well-defined point of application of the unification algorithm, u is substituted by an abstraction of a term which contains δ_i. This occurrence of u is in l and we denote it by $\hat{u}$. We furthermore denote the term at the corresponding position in l' by $t_{\hat{u}}$.

We distinguish cases based on the occurrences of $\hat{u}$ and $t_{\hat{u}}$.

- Suppose $\hat{u}$ is a grey occurrence.

$$\frac{C_1 : P(\tilde{\gamma}_{j'}[u]) \vee Q(\hat{u}) \qquad C_2 : \neg Q(t_{\hat{u}})}{C : P(\gamma_j[\delta_i])}$$

Figure 1: Example for this case

Then by Lemma 1, there is an arrow from a term containing u to a term containing $\gamma_j[u]$ in $\hat{\mathcal{A}}(C)$. As $\hat{u}\sigma$ is a term containing the Δ-term δ_i, the term at the position of $\hat{u}$ in $\mathrm{AI}^\Delta(C)$ is $\ell[\hat{u}\sigma]\tau$, which by assumption contains z_i. But there is an arrow from this term containing z_i to $\gamma_j[z_i]$, so $z_i <_{\hat{\mathcal{A}}(C)} z_j$.

- Suppose $\hat{u}$ occurs in a maximal colored term which is a Γ-term.

Then either $\hat{u}$ is the occurrence of u in $\tilde{\gamma}_{j'}[\hat{u}]$ or it occurs in a different Γ-term $\gamma_j[\hat{u}]$. In the latter case, by Lemma **??**, there is a merge edge between $\tilde{\gamma}_{j'}[\hat{u}]$ and $\gamma_j[\hat{u}]$.

$$\frac{C_1 : P(\tilde{\gamma}_{j'}[u]) \vee Q(\gamma_k[\hat{u}]_p) \qquad C_2 : \neg Q(\gamma_m[t_{\hat{u}}]_p)}{C : P(\gamma_j[\delta_i])}$$

$$\frac{C_1 : Q(\tilde{\gamma}_{j'}[\hat{u}]) \qquad C_2 : \neg Q(\gamma_m[t_{\hat{u}}])}{C : \square}$$

$$//\gamma_j[\delta_i] \text{ occurs in the interpolant}$$

Figure 2: Examples for this case

TODO: or no direct connection but via other term <u>Hence in both cases, it suffices to show that there is an arrow from a term containing an occurrence of z_i to $t_{\hat{u}}$.</u> We distinguish on the shape of $t_{\hat{u}}$:

– $t_{\hat{u}}$ is a term which does not contain a Δ-term. Then it contains a variable that is be substituted by σ by a term which contains a Δ-term as $u\sigma = t_{\hat{u}}\sigma$ is a term containing a Δ-term. We denote by v the variable in $t_{\hat{u}}$ which is substituted by a term containing a Δ-term in case $t_{\hat{u}}$ is a grey term.

In the course of the unification algorithm, there are further unifications of v since we know that $u\sigma = v\sigma$ is a term containing a Δ-term. Therefore by Remark $(*)$, we can assume that there is an appropriate arrow to $t_{\hat{u}}$.

– $t_{\hat{u}}$ is a term which contains a Δ-term. As $t_{\hat{u}}$ occurs in a Γ-term in C_1, say in $\gamma_m[t_{\hat{u}}]$, C_1 contains a multicolored Γ-term. Hence the corresponding term in $\mathrm{AI}^\Delta(C_1)$, is of the form $\gamma_m[z_{i'}]$ for some i'. Observe that i' in general is not equal to i as demonstrated in Example 5, even though we have that $t_{\hat{u}}\sigma = u\sigma$. This is because the lifting variables in $\mathrm{AI}(\cdot)$ represent abstractions of the terms in the clauses of the resolution derivation (cf. Lemma **??**). Therefore we only know by the induction hypothesis that $z_{i'} <_{\hat{\mathcal{A}}(C_1)} \ell[\gamma_m[z_{i'}]] = \ell[t_{\hat{u}}]$.

However by Lemma **??** and due to the fact that $\hat{u}$ and $t_{\hat{u}}$ respectively occur in the resolved literal, $\ell_\Delta[\hat{u}\sigma]\tau = \ell_\Delta[t_{\hat{u}}\sigma]\tau$. As $\ell_\Delta[\hat{u}\sigma]\tau = \ell_\Delta[\delta_i]\tau = z_i\tau$ as well as $\ell_\Delta[t_{\hat{u}}\sigma]\tau = \ell_\Delta[z_{i'}\sigma]\tau = z_{i'}\tau$, we must have that $z_i\tau = z_{i'}\tau$. As however $u\sigma = \delta_i$, by the definition of τ, we have that $\{z_i \mapsto z_i\} \in \tau$, so $z_{i'}\tau = z_i$.

Since τ is applied to every literal in $\mathrm{AI}^\Delta(C)$ and in $\mathrm{AI}^\Delta(C_1)$ an arrow from a term containing $z_{i'}$ to $t_{\hat{u}}$ exists, the same arrow applied to $\mathrm{AI}^\Delta(C)$ points from a term containing $z_{i'}\tau = z_i$ to $t_{\hat{u}}$. Therefore $z_i <_{\hat{\mathcal{A}}(C)} z_j$.

• Suppose $\hat{u}$ occurs in a maximal colored term which is a Δ-term.

$$\frac{C_1 : P(\tilde{\gamma}_{j'}[u]) \vee Q(\delta_k[\hat{u}]_p) \qquad C_2 : \neg Q(\delta_m[t_{\hat{u}}]_p)}{C : P(\gamma_j[\delta_i])}$$

By Lemma **??**, TODO:

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A (does not work like this)

B (check how we need colored term arrows)

Suppose for some variable v in $\mathrm{AI}^\Delta(C_1)$ or $\mathrm{AI}^\Delta(C_2)$, $v\sigma = \gamma_j[\delta_i]$ for some i.

As v is affected by the unifier, it occurs in the literal being unified, say w.l.o.g. in l in C_1. At some well-defined point in the unification algorithm, v is substituted by an abstraction of $\gamma_j[\delta_i]$. Let p be the position of the occurrence of v in l which causes this substitution. Furthermore, let p' be the position corresponding to p in l'.

Note that any arrow from or to p' also applies to p in $\hat{\mathcal{A}}(C)$ and hence to $\gamma_j[z_i]$ as they are merged due to occurring in the resolved literal. So it suffices to show that there is an arrow from an appropriate lifting variable to p'. We denote the term at p' by t.

Note that $t\sigma = \gamma_j[\delta_i]$. So t is either a Γ-term containing a Δ-term, in which case we know that there is an appropriate arrow by the induction hypothesis as t occurs in l' in C_2, or t is an abstraction of $\gamma_j[\delta_i]$, in which case we can assume the existence of an appropriate arrow by Remark $(*)$. WRONG: probably last half sentence, this is usually not the situation where remark $(*)$ is applicable $\qquad\qquad\qquad\square$

C (might need some lemma as well)

something about when i started with connected components

unification is for resolved literals.

connections between resolved literals and the rest of the clauses is covered by arrows.

if a term enters, merge arrows ensure that everything is propagated.

the special thing about colored occurrences is the fact that they can create multicolored terms in cooperation with grey occurrences..

a variable only occurs in a clause if it was never substituted by anything. Hence in particular all grey occurrences are "original" (TODO: renamings of variables)

Let u be a grey occurrence. let $f(u)$ be a colored occurrence. either it is original, then we are fine by arrow propagation. otherwise it has been introduced, but then it has used the network of another variable.

more precisely: a variable v occurs in a related literal in a related position in another clause as u in $f(u)$. so the variable is substituted by a term containing u, say $t[u]$ the arrows at the entry points are merged.

effect: $t[u]$ occurs at every grey occurrence of v. all arrows mentioning them are merged with the ones mentioning the entry point. this is justified as the terms there appear "as they are", i.e. as they are produced at the entry point.

however a colored occurrence cannot be produced from a grey occurrence ($\mathrm{mgu}(x, f(u))$) but only if a grey occ is in the literal and a colored occ is elsewhere in the clause (the network of the other var). but then there are (directed) arrows.

Every variable has a connected network in a clause.

there is a barrier between colored terms.

3 misc results

Proposition 7. *In* $\mathrm{AI}^\Delta(C)$, *all terms are either variables, grey, Γ-terms or Δ-lifting variables. In particular, no Γ-term is contained in a Δ-term and there is at most one color alternation.*

In other words, the coloring of all terms follows this grammar: (grey | gamma)* [delta]

// not sure how this is really useful in the end of the proof where we have to switch colors and show that it also works from the Δ-side

4 results in spe

Conjectured Lemma 8. *there is a merge path between all occurrences of a variable x in all colored terms of the same "stage" (and directed arrows between stages).NB: not sure where this is going and if it's true*

Proof. a stage means the color alternation level: only Γ, $1\Gamma + 1\Delta$, and so on.

more formally: on the prefix to x in a maximal colored term t, iterate in order and increase counter whenever the current symbol has a different color than the previously encountered color. the counter is increased for the first colored symbol. this number plus the color of t define the stage.

induction start: by def.

induction step, usual notation.

Suppose a term $t[y]$ changes its stage. So it contains a variable y h

□

5 missteps

Conjectured Lemma 9. *WRONG: $Q(f(x)) \vee R(x); \neg R(g(y))$ If a variable x occurs in a maximal colored term $s[x]$ which is a Γ-term as well as in a maximal colored term $t[x]$ which is a Δ-term in C, then $x \rightsquigarrow s[x]$ and $x \rightsquigarrow t[x]$.*

Proof. This situation does not occur in the induction start.

Induction step, usual notation.

We consider resolution steps which create this situation. Clauses are variable disjoint, so if a variable occurs in a term of a color, it can only also occur in a term of another color by entering the other term via unification.

TODO: case distinction: var x introduced into t or $y\sigma$ gives such a term ?

□

Conjectured Lemma 10. *WRONG: probably wrong for same reason as 11*   *Let x be a variable $s[x]$ and $t[x]$ be terms containing x such that $x \not\rightsquigarrow s[x]$ and $x \not\rightsquigarrow t[x]$. Then $s[x] \rightsquigarrow_= t[x]$.*

Conjectured Lemma 11. *WRONG: consider: $f(x)$, $g(f(x))$, $h(g(f(x)))$, $f, h : \Gamma, g : \Delta$ Let $s[x]$ and $t[x]$ be maximal colored terms of the same color containing a variable x. Then $s[x] \rightsquigarrow_= t[x]$*

Lemma 12. **not true in this formulation, we can have x, $f(x)$ and $g(x)$ with arrows just from x to the two colored occurrences, even if f and g of same color.** *Let x be a variable in $\mathrm{AI}^\Delta_{\mathrm{cl}}(C)$ which has a grey occurrence and a colored occurrence. Then there is an arrow in $\mathcal{A}(C)$ from a term containing a grey occurrence to a term containing a colored occurrence. // Should also hold for all of AI^Δ, but is currently not needed in the proof*

Proof. For clauses C in the initial clause set, $\mathcal{A}(C)$ is defined to contain an arrow from every grey occurrence to every colored occurrence for every variable occurring in the clause.

For the induction step, suppose the lemma holds for C_1 and C_2. Note that C_1 and C_2 are variable disjoint. TODO: how to continue without checking every single case?

Note that terms are only changed by means of substitution.

If a variable is substituted, it does not occur any further in the derivation.

If a variable is substituted by a term containing variables, this is fine because the original arrows still apply for the new terms.   □

Lemma 13. **(same as above) not true in this formulation, we can have x, $f(x)$ and $g(x)$ with arrows just from x to the two colored occurrences, even if f and g of same color.**

Let x be a variable which occurs colored in $\mathrm{AI}^\Delta_{\mathrm{cl}}(C)$ and again colored in the same color somewhere else in $\mathrm{AI}^\Delta(C)$. Then there is a merge edge between the maximal colored terms containing the two occurrences. // This is exactly the case we need, possibly show something more general

Proof. TODO: □