# Example 101: canonical examples for overbinding w.r.t. order

Here, the second lower prooftree shows what huang would produce.

#### Ex 101a

$$\frac{P(\mathbf{u}, f(\mathbf{u})) \vee Q(\mathbf{u}) \qquad \neg Q(a)}{P(a, f(a))} \quad u \mapsto a \qquad \prod_{\mathbf{v} \in \mathcal{V}} P(x, y) \quad x \mapsto a, y \mapsto f(a)$$

$$\frac{\bot \quad \top}{Q(a)} \stackrel{U}{u} \mapsto a \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \qquad \frac{\bot \quad \top}{\forall x_1 Q(x_1)} \quad \top}{P(a, f(a)) \lor Q(a)} \quad x \mapsto a, y \mapsto f(a) \qquad \qquad \qquad \frac{\bot \quad \top}{\forall x_1 \exists x_2 (P(x_1, x_2) \lor Q(x_1))}$$

Direct overbinding would not work without merging same variables!:  $\forall x_1 \forall x_2 \exists x_3 (P(x_2, x_3) \lor Q(x_1))$  counterexample:  $Q \sim \{0\}, P \sim \{(1, 0)\}$ 

Direct overbinding would work when considering original dependencies as highlighted above arrow lemma:

$$\frac{\Gamma \models \exists y_1(P(\mathbf{u}, y_1) \lor Q(\mathbf{u}) \lor \bot) \qquad \Gamma \models \neg Q(x_1) \lor \top}{\Gamma \models \exists y_1(P(\mathbf{x_1}, y_1) \lor Q(\mathbf{x_1})) \qquad \Gamma \models \neg P(x, y) \lor \top} x \mapsto a, y \mapsto f(a)$$

$$\frac{\Gamma \models \exists y_1(P(\mathbf{x_1}, y_1) \lor Q(\mathbf{x_1})) \qquad \Gamma \models \neg P(x, y) \lor \top}{\Gamma \models (\forall x_1) \exists y_1(Q(\mathbf{x_1}) \lor P(\mathbf{x_1}, y_1))}$$

#### Ex 101b – other resolution order

$$\frac{P(u, f(u)) \vee Q(u) \qquad \neg P(x, y)}{Q(u)} \xrightarrow{P} y \mapsto f(u), x \mapsto u \qquad \prod_{q \in Q(a)} u \mapsto a$$

$$\frac{\bot \quad \top}{P(u,f(u))} \xrightarrow{x \mapsto f(u), x \mapsto u} \quad \top} u \mapsto a \qquad \qquad \frac{\bot \quad \top}{\exists x_1 P(u,x_1)} \quad \top}{\forall x_1 \exists x_2 (P(x_1,x_2) \vee Q(x_1))} \quad u \mapsto a$$

### Ex 101c – $\Pi$ and $\Sigma$ swapped

$$\frac{P(u, f(u)) \vee Q(u) \qquad \neg P(x, y)}{Q(u)} \xrightarrow{P} y \mapsto f(u), x \mapsto u \qquad \xrightarrow{\Sigma} \neg Q(a) \qquad u \mapsto a$$

$$\frac{\frac{\top \perp}{\neg P(u, f(u))} x \mapsto f(u), x \mapsto u}{\neg P(a, f(a)) \land \neg Q(a)} \perp u \mapsto a \qquad \frac{\frac{\top \perp}{\forall x_2 \neg P(u, x_2)} \perp}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \land \neg Q(x_1))}$$

arrow lemma:

$$\frac{\Gamma \models P(u, x_1) \lor Q(u) \lor \top \qquad \Gamma \models \neg P(x, y) \lor \bot}{\left(Q(u) \mid (\neg P(x, x_1) \land \top) \lor (P(u, /f(u)) \land \bot)\right)\sigma} y \mapsto f(u), x \mapsto u$$

$$\frac{Q(u) \mid (\neg P(u, x_1) \land \top) \lor (P(u, f(u)) \land \bot)}{employ \sigma' !?!!?!?!??!?????!!!}$$

$$\frac{\Gamma \models Q(u) \mid \neg P(u, x_1) \qquad \qquad \Gamma \models \exists y_1 \neg Q(y_1) \\
both u's on LHS need to become a and then y_1$$

$$\Gamma \models (\forall x_1) \exists y_1 (\neg P(y_1, x_1) \lor \neg Q(y_1))$$

$$\Delta \models (\exists x_1) \forall y_1 (P(y_1, x_1) \land Q(y_1))$$

#### Ex 101d – $\Pi$ and $\Sigma$ swapped, other resolution order

$$\frac{P(u, f(u)) \vee Q(u) \qquad \neg Q(a)}{P(a, f(a))} u \mapsto a \qquad \sum_{\neg P(x, y)} x \mapsto a, y \mapsto f(a)$$

$$\frac{\neg \bot \quad y \mapsto a}{\neg Q(a) \quad \neg P(a, f(a))} \quad x \mapsto a, y \mapsto f(a)$$

$$\frac{\neg \bot \quad \bot}{\exists x_1 \neg Q(x_1)} \quad \bot}{\exists x_1 \forall x_2 (\neg P(x_1, x_2) \land \neg Q(x_1))}$$

# 102 - similar to 101 but with intra-clause-set inferences

Ex 102a

$$\frac{P(f(\mathbf{x})) \vee Q(f(\mathbf{x}), z)}{Q(f(x), z)} \qquad \neg P(y) \qquad \frac{\neg Q(x_1, y) \vee R(y) \qquad \neg R(g(z_1))}{\neg Q(x_1, y) \vee R(z_1)} y \mapsto g(z_1), x_1 \mapsto f(x)$$

$$\frac{\bot \ \top}{P(f(x))} \frac{\bot \ \top}{R(g(z_1))} y \mapsto g(z_1), x_1 \mapsto f(x)$$

$$\frac{\bot \ \top}{R(g(z_1))} \frac{\bot \ \top}{\exists x_1 P(x_1)} \frac{\bot \ \top}{\forall x_2 R(x_2)}$$

$$\frac{\bot \ \top}{\exists x_1 P(x_1)} \frac{\bot \ \top}{\forall x_2 R(x_2)}$$

$$\frac{\bot \ \top}{\exists x_1 P(x_1)} \frac{\bot \ \top}{\forall x_2 R(x_2)}$$

combined:

$$\frac{\perp \mid P(x_1) \vee Q(x_1, z) \quad \top}{\exists x_1 P(x_1)} \quad \frac{\perp \quad \top}{\forall x_2 R(x_2)}$$
$$\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))$$

Ex 102b

$$\frac{P(f(\boldsymbol{x})) \vee Q(f(\boldsymbol{x}), z)}{Q(f(x), z)} \quad \frac{\overset{\Pi}{\neg P(y)}}{-P(y)} \quad \frac{\overset{\Sigma}{\neg Q(f(y), z_1)} \vee R(y)}{\neg Q(f(a), z_1)} \xrightarrow{\boldsymbol{x} \mapsto a, z \mapsto z_1}$$

$$\frac{\bot \ \top}{P(f(x))} \frac{\bot \ \top}{R(a)} y \mapsto a$$

$$\frac{\bot \ \top}{\exists x_1 P(x_1)} \frac{\bot \ \top}{\forall x_2 R(x_2)} y \mapsto a$$

$$\forall x_2 \exists x_1 (P(x_1) \lor R(x_2)) x \mapsto a, z \mapsto z_1$$

direct:

$$\frac{\frac{\bot}{\exists x_1 P(x_1)} x_1 \sim f(x) \quad \frac{\bot}{\forall x_2 R(x_2)} x_2 \sim a}{\exists x_1 \forall x_2 (P(x_1) \vee R(x_2))}$$
 order irrelevant!

Ex 102b' with Q grey

$$\frac{P(f(\mathbf{x})) \vee Q(f(\mathbf{x}), z)}{Q(f(\mathbf{x}), z)} \quad \frac{\Pi}{\neg P(y)} \quad \frac{\neg Q(f(y), z_1) \vee R(y)}{\neg Q(f(a), z_1)} \quad \frac{\Pi}{\neg R(a)} \quad y \mapsto a$$

$$\qquad \qquad \square$$

$$\frac{\frac{\bot}{P(f(x))} \quad \frac{\bot}{R(a)} \quad y \mapsto a}{(\neg Q(f(a), z) \land P(f(a))) \lor (Q(f(a), z) \land R(a))} \quad x \mapsto a, z_1 \mapsto z$$

arrow lemma: (change of specification:  $P(g(z_3))$  in clause in  $\Sigma$  instead of P(f(x))

$$\frac{\exists x_{1}(P(x_{4}) \vee Q(x_{1}, z)) \quad \neg P(y)}{\exists x_{1}(P(x_{2})) \wedge \bot) \vee (P(x_{4}) \wedge \top) \mid Q(x_{1}, z)} \qquad \frac{\exists x_{2}(\neg Q(x_{2}, z_{1}) \vee R(y)) \quad \forall x_{3} \neg R(x_{3})}{\exists x_{2} \left( (\neg R(x_{3}) \wedge \bot) \vee (R(a) \wedge \top) \mid \neg Q(x_{2}, z_{1}) \right)} \qquad y \mapsto a$$

$$\frac{\exists x_{1}(P(x_{4}) \mid Q(x_{1}, z)) \quad \forall x_{3} \neg R(x_{3})}{\exists x_{2} \left( (\neg R(x_{3}) \wedge \bot) \vee (R(a) \wedge \top) \mid \neg Q(x_{2}, z_{1}) \right)} \qquad x \mapsto a, z_{1} \mapsto z$$

$$\frac{\forall x_{1}}{\forall x_{3}} \qquad \forall x_{2} \qquad \forall x_{3} \qquad \exists x_{2} \left( (\neg R(x_{3}) \wedge \bot) \vee (R(x_{3}) \wedge \top) \mid \neg Q(x_{2}, z_{1}) \right)}{\exists x_{2} \left( (\neg R(x_{2}) \wedge \bot) \vee (R(x_{3}) \wedge \bot) \vee (R(x_{3})$$

 $\text{arrow order: } x_3 < x_2, \, x_2 \, \text{same-block-as} \, x_4 \colon \, \forall x_3 \exists x_2 \exists x_4 \forall x_1 \Big( (\neg Q(x_2, z_1) \wedge \neg P(x_4)) \vee (Q(x_1, z) \wedge R(x_3)) \Big)$ 

# $\rightarrow$ bad example, plus some errors still in there

Huang:

$$\frac{\frac{\bot}{\exists x_2 P(x_2)} \quad \frac{\bot}{\forall x_1 R(x_1)} \quad y \mapsto a}{\forall x_1 \exists x_2 (\neg Q(x_2, z) \land P(x_2)) \lor (Q(x_2, z) \land R(x_1))} \quad x \mapsto a, z_1 \mapsto z$$

direct:

$$\frac{\frac{\bot}{\exists x_{2}P(x_{2})} x_{2} \sim f(x) \quad \frac{\bot}{\forall x_{1}R(x_{1})} x_{1} \sim a}{\forall x_{1}\exists x_{2}\exists x_{3}(\neg Q(x_{3},z) \land P(x_{2})) \lor (Q(x_{3},z) \land R(x_{1}))} x_{3} \sim f(a); x_{2} \parallel x_{3}, x_{1} < x_{3}} \\ \frac{\text{OR:} \quad \exists x_{2}\forall x_{1}\exists x_{3}(\neg Q(x_{3},z) \land P(x_{2})) \lor (Q(x_{3},z) \land R(x_{1}))}{\text{OR:} \quad \exists x_{1}\exists x_{3}\forall x_{2}(\neg Q(x_{3},z) \land P(x_{2})) \lor (Q(x_{3},z) \land R(x_{1}))}$$

Huang-Version passt weil man für existenzielle quantoren eh gleichen term einsetzt direct w mixed, slightly different:

$$\frac{\perp \mid P(f(x)) \lor Q(x,z) \quad \top \mid \neg P(y)}{\exists x_{2} P(x_{2}) \mid Q(x,z)} x_{2} \sim f(x) \quad \frac{\perp \mid \neg Q(f(y),z_{1}) \lor R(y) \quad \top \mid \neg R(a)}{\forall x_{1} R(x_{1}) \mid \neg Q(f(a),z_{1})} x_{1} \sim a 
\frac{\forall x_{1} \exists x_{3} \exists x_{2} (\neg Q(x_{3},z) \land P(x_{2})) \lor (Q(x_{3},z) \land R(x_{1}))}{(\neg Q(f(a),z) \land P(f(f(a)))) \lor (Q(f(a),z) \land R(a))} x_{3} \sim f(a); x_{2} \parallel x_{3}, x_{1} < x_{3}$$

last dependency not crucial because other arrow is a  $\Sigma$ -arrow as well, but just changing it to  $\Pi$  (and changing f for g should produce a quantifier alternation)

# Example 103: variables in interpolant get unified and order might have to be changed

103a: length changes but old version is still valid, despite huang's algorithm doing a sort 
$$\frac{Q(f(\textbf{x})) \vee P(y) \vee R(\textbf{x})}{P(y) \vee R(\textbf{x})} \xrightarrow{\neg Q(y_1)} y_1 \mapsto f(x) \xrightarrow{\Pi} \neg P(h(g(a))) y \mapsto h(g(a)) \xrightarrow{\Pi} \neg R(g(g(a))) x \mapsto g(g(a))$$

$$\frac{\frac{\bot}{Q(f(x))} y_1 \mapsto f(x)}{\frac{Q(f(x)) \vee P(h(g(a)))}{Q(f(g(g(a)))) \vee P(h(g(a)))}} \xrightarrow{T} x \mapsto g(g(a)) \qquad \frac{\frac{\bot}{\exists x_1 Q(x_1)} \top}{\exists x_1 \forall x_2 (Q(x_1) \vee P(x_2))} \qquad \top}{X}$$

X:

Huang's algo gives:

 $\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \lor P(x_2) \lor R(x_3))$ 

Direct overbinding gives:  $x_3 < x_1$ , rest arbitrary, hence:

 $\forall x_3 \exists x_1 \forall x_2 (Q(x_1) \lor P(x_2) \lor R(x_3)) < \text{-this you do not get with huang}$ 

 $\forall x_2 \forall x_3 \exists x_1 (Q(x_1) \lor P(x_2) \lor R(x_3))$ 

 $\forall x_3 \forall x_2 \exists x_1 (Q(x_1) \lor P(x_2) \lor R(x_3))$ 

103b: length changes "uniformly"

03b: length changes "uniformly" 
$$\frac{Q(f(f(\boldsymbol{x}))) \vee P(f(\boldsymbol{x})) \vee R(\boldsymbol{x})}{P(f(\boldsymbol{x})) \vee R(\boldsymbol{x})} \xrightarrow{\neg Q(y_1)} y_1 \mapsto f(f(\boldsymbol{x})) \xrightarrow{\Pi} y_2 \mapsto f(\boldsymbol{x}) \xrightarrow{\Pi} P(g(\boldsymbol{x})) \times R(g(\boldsymbol{x})) \xrightarrow{\Pi} R(g(\boldsymbol{x})) \times R(g(\boldsymbol{x})$$

$$\frac{\frac{\bot}{Q(f(f(x)))} y_1 \mapsto f(f(x))}{\frac{Q(f(f(x))) \vee P(f(x))}{Q(f(f(g(a)))) \vee P(f(g(a)))} y_2 \mapsto f(x)}{\top} \xrightarrow{T} \underbrace{\frac{\bot}{\exists x_1 Q(x_1)} \top}_{\exists x_2 \exists x_1 (Q(x_1) \vee P(x_2))} \top}_{\forall x_3 \exists x_2 \exists x_1 (Q(x_1) \vee P(x_2) \vee R(x_3))}$$

Huang and direct overbinding somewhat coincide as  $x_2 < x_1$  in both cases, and  $x_3 < x_1$  and  $x_3 < x_2$ new algo:

$$\frac{ \begin{array}{c|c} \bot \mid Q(x_1) \lor P(x_2) \lor R(x) & \top \mid \neg Q(y_1) \\ \hline Q(x_1) \mid P(x_2) \lor R(x) & T \mid \neg P(y_2) \\ \hline Q(x_1) \lor P(x_2) \mid R(x) & \top \mid P(y_2) \\ \hline Q(x_1) \lor P(x_2) \mid R(x) & \top \mid R(x_3) \\ \hline Q(f(f(g(a)))) \lor P(f(g(a))) \lor R(g(a)) \\ \hline \end{array}} x \mapsto g(a)$$

NB: in the last line, the terms corresponding to  $x_1$  and  $x_2$  change, but the interpolant stays the same

103c: Failed attempt: different variables, accidentally the same terms appear but no logical connection

$$P(a,x) = \frac{P(a,x)}{P(a,x)} = \frac{P(y,f(z)) \lor Q(z)}{\neg P(y,f(a))} z \mapsto a \\ P(a,x) = \frac{\neg P(y,f(a))}{\neg P(y,f(a))} y \mapsto a, x \mapsto f(a)$$

Huang:

$$\frac{\bot \qquad \bot \qquad \top}{\neg Q(a)} z \mapsto a \qquad \qquad \qquad \qquad \bot \qquad \frac{\bot \qquad \top}{\exists x_1 \neg Q(x_1)} \\ P(a, f(a)) \land \neg Q(a)} y \mapsto a, x \mapsto f(a) \qquad \qquad \qquad \qquad \qquad \exists x_1 \forall x_2 (P(x_1, x_2) \land \neg Q(x_1))$$

order required for  $\Pi$ 

direct:

$$\frac{\frac{\bot}{\exists x_1 \neg Q(x_1)} x_1 \sim a}{\frac{\bot}{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \land \neg Q(x_1))} x_2 \sim a, x_3 \sim f(a); x_1 < x_3}{\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \land \neg Q(x_1))}$$

invariant:

$$\frac{\exists x_1(Q(x_1) \vee \bot) \quad \forall x_3((\neg P(y, x_3) \vee Q(z)) \vee \top)}{\exists x_1 \forall x_3 \neg P(y, x_3) \vee \neg Q(x_1)} x_1 \sim a \underline{\exists x_1 \exists x_2 \forall x_3(P(x_2, x_3) \wedge \neg Q(x_1))} \underline{\exists x_1 \exists x_2 \forall x_3(P(x_2, x_3) \wedge \neg Q(x_1))} x_2 \sim a, x_3 \sim f(a); x_1 < x_3$$

invariant in other resolution order

$$\frac{\bot \qquad \top}{Q(z) \vee \exists x_2 \forall x_3 P(x_2, \frac{x_3}{3})} x_2 \sim a, x_3 \sim f(z)$$

$$\frac{\exists x_1 \exists x_2 \forall x_3 (P(x_2, x_3) \wedge \neg Q(x_1))}{\text{OR: } \exists x_1 \forall x_3 \exists x_2 (P(x_2, x_3) \wedge \neg Q(x_1))} x_1 \sim a; x_1 < x_3$$

invariant if  $\Sigma$  and  $\Pi$  swapped:

$$\frac{\bot}{\neg P(y, f(x_1)) \lor \forall x_1 Q(x_1)} x_1 \sim a$$

$$\forall x_1 \forall x_2 \exists x_3 (\neg P(x_2, x_3) \lor Q(x_1)) x_2 \sim a, x_3 \sim f(a); x_1 < x_3$$

$$OR: \forall x_1 \exists x_3 \forall x_2 (\neg P(x_2, x_3) \lor Q(x_1))$$

SECOND ATTEMPT:

$$\underbrace{ \begin{array}{c} \Sigma \\ Q(z) \end{array} \quad \frac{ \begin{array}{c} \Sigma \\ \neg S(a) \\ \hline P(y) \lor \neg Q(f(x)) \lor S(x) \\ \hline \neg P(y) \lor \neg Q(f(a)) \\ \hline \\ \hline \square \end{array} }_{\square} x \mapsto a$$

$$\frac{\bot \qquad \frac{\bot}{\neg S(a)} x \mapsto a}{\neg S(a) \land Q(f(a))} z \mapsto f(a)$$

$$\frac{\bot}{P(a) \land \neg S(a) \land Q(f(a))} y \mapsto a$$

Huang:

$$\begin{array}{c|c}
 & \frac{\bot}{\exists x_1 \neg S(x_1)} \\
 & \bot & \overline{\exists x_1 \forall x_2 (\neg S(x_1) \land Q(x_2))} \\
 & \overline{\exists x_1 \forall x_2 (P(x_1) \land \neg S(x_1) \land Q(x_2))}
\end{array}$$

$$\forall x_1 \exists x_2 (\neg P(x_1) \lor S(x_1) \lor \neg Q(x_2))$$

#### similar fail

 $\Rightarrow$  anytime there is P(a, f(a)), either they have a dependency or they are not both differently colored (grey is uncolored) for the record, direct method anyway:

$$\frac{\bot}{\exists x_1 \neg S(x_1)} \frac{\bot}{\exists x_1 \neg S(x_1)} \frac{\bot}{z \sim a} \frac{\bot}{\exists x_1 \forall x_2 \neg S(x_1) \land Q(x_2)} \frac{\bot}{z \sim f(a); x_1 < x_2} \frac{\bot}{\exists x_1 \forall x_2 \exists x_3 P(x_3) \land \neg S(x_1) \land Q(x_2)} \frac{\bot}{x_3 \sim a; x_3 \text{ need not be merged w } x_1}$$

# Example: ordering on both ancestors where the merge forces a new ordering

202a - canonical

$$\underbrace{\frac{\sum\limits_{P(a,x_{564})\vee R(y)}^{\Sigma} \quad \prod\limits_{\neg P(x,fx)}^{\Pi} \quad x_{1} \mapsto fa}_{P(a,x_{564})\vee R(y)} \quad \frac{\sum\limits_{P(x,fx)}^{\Sigma} \quad \prod\limits_{x_{1}\mapsto fa}^{\Pi} \quad \neg Q(fz,x_{3})\vee S(z)}{\sum\limits_{\neg Q(fa,x_{3})}^{\Sigma} \quad x_{2}\mapsto fa}, \\ \frac{R(y)}{Z} \quad \underbrace{\frac{X}{X} \mapsto a} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X}, \\ \underbrace{\frac{X}{Y} \mapsto f(a)}_{Y} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X}, \\ \underbrace{\frac{X}{Y} \mapsto f(a)}_{Y} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{Y} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{X}, \\ \underbrace{\frac{X}{Y} \mapsto f(a)}_{Y} \quad \underbrace{\frac{X}{X} \mapsto f(a)}_{Y}, \\ \underbrace{\frac{X}{Y} \mapsto f(a)}_{Y} \quad \underbrace{\frac{X}{Y} \mapsto f(a)}_{Y}, \\ \underbrace{\frac{X}{Y} \mapsto$$

Huang

$$\frac{\bot}{\exists x_1 \forall x_2 P(x_1, x_2))} \frac{\bot}{\exists x_1 \forall x_2 \exists x_3 Q(x_2, x_3) \land \neg S(x_1)}$$
$$\exists x_1 \forall x_2 \exists x_3 P(x_1, x_2) \lor (Q(x_2, x_3)) \land \neg S(x_1))$$

direct:

$$\frac{\bot}{\exists x_{1} \forall x_{2} P(x_{1}, x_{2}))} \xrightarrow{x_{1} \sim a, x_{2} \sim fa} \xrightarrow{x_{3} \sim a, x_{4} \sim fa, x_{5} \sim gfa)} \underbrace{\bot}_{\exists x_{3} \neg S(x_{3})} \xrightarrow{\bot} \xrightarrow{\exists x_{3} \neg S(x_{3})} x_{3} \sim a}_{\exists x_{1} \forall x_{2} \exists x_{5} P(x_{1}, x_{2}) \vee (Q(x_{2}, x_{5}) \wedge \neg S(x_{5}))} \xrightarrow{\exists x_{3} \forall x_{4} \exists x_{5} Q(x_{4}, x_{5}) \wedge \neg S(x_{3})} \xrightarrow{x_{3} \leftrightarrow x_{1}, x_{4} \leftrightarrow x_{2}} x_{1} < x_{2}, x_{2} < x_{5}$$

without merge in end:  $(x_1 < x_2, x_3 < x_4, x_4 < x_5)$  $\underline{\exists x_1 \forall x_2} \underline{\exists x_3 \forall x_4 \exists x_5} \Big( P(x_1, x_2) \lor (Q(x_4, x_5) \land \neg S(x_3)) \Big)$  $\exists x_3 \forall x_4 \exists x_5 \exists x_1 \forall x_2 (P(x_1, x_2) \lor (Q(x_4, x_5) \land \neg S(x_3)))$ (also interwoven ones appear to work)

combined presentation of 202a:

combined presentation ground:

combined presentation ground with direct method but only  $\Delta$ -terms removed :

$$\begin{array}{c|c} \bot \mid P(a,f(a)) \lor R(y) & \top \mid \neg P(a,f(a)) & \bot \mid Q(f(a),g(f(a))) \lor \neg R(u) & \dfrac{\bot \mid \neg S(a) & \top \mid \neg Q(f(a),g(f(a))) \lor S(a)}{\neg S(a) \mid \neg Q(f(a),g(f(a)))} \\ \hline (P(a,x_2) \land \top) \lor (\neg P(a,x_2) \land \bot) \mid R(y) & Q(x_4,g(x_4)) \land \neg S(a) \mid \neg R(u) \\ \hline P(a,x_2) \lor (Q(x_4,g(x_4)) \land \neg S(a)) \mid \Box \\ \end{array}$$

combined presentation ground with direct method:

$$\frac{\bot \mid P(a,f(a)) \lor R(y) \quad \top \mid \neg P(a,f(a))}{\exists x_1 \forall x_2 (P(x_1,x_2) \land \top) \lor (\neg P(x_1,x_2) \land \bot) \mid R(y)} \quad \frac{\bot \mid Q(f(a),g(f(a))) \lor \neg R(u) \quad \frac{\bot \mid \neg S(a) \quad \top \mid \neg Q(f(a),g(f(a))) \lor S(a)}{\exists x_3 \neg S(x_3) \mid \neg Q(f(a),g(f(a)))}}{\exists x_3 \forall x_4 \exists x_5 (Q(x_4,x_5)) \land \neg S(x_3)) \mid \neg R(u)}$$

#### 203a - some alternations

$$\begin{array}{c} & \begin{array}{c} & \begin{array}{c} & \begin{array}{c} & \begin{array}{c} & \\ & \\ \end{array} \end{array} \end{array} \end{array} \begin{array}{c} \begin{array}{c} \begin{array}{c} \sum \\ & \\ \end{array} \end{array} \begin{array}{c} \\ & \\ \end{array} \end{array} \begin{array}{c} \begin{array}{c} \\ & \\ \end{array} \end{array} \begin{array}{c} \\ & \\ \end{array} \begin{array}{c} \\ \\ & \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c$$

$$\frac{\frac{\bot}{\neg P(f(x))} z \mapsto f(x)}{\frac{\bot}{\neg Q(g(f(x))) \land \neg P(f(x))} y \mapsto g(f(x))} \xrightarrow{T} \frac{Q(g(f(a))) \land \neg P(f(a)) \lor R(a)}{\neg Q(g(f(a))) \land \neg P(f(a)) \lor R(a)} \xrightarrow{x \mapsto a} x_1 \mapsto h(g(f(a)))$$

Huang:

$$\frac{\frac{\bot}{\exists x_1 \neg P(x_1)} \bot}{\exists x_1 \forall x_2 (\neg Q(x_2) \land \neg P(x_1))}$$

$$\top \frac{\forall x_0 \exists x_1 \forall x_2 (\neg Q(x_2) \land \neg P(x_1) \lor R(x_0))}{\forall x_0 \exists x_1 \forall x_2 \exists x_3 (\neg Q(x_2) \land \neg P(x_1) \lor R(x_0) \lor S(x_3))}$$

Direct:

$$\frac{\frac{\bot}{\exists x_{1} \neg P(x_{1})} x_{1} \sim f(x)}{\exists x_{1} \neg P(x_{1})} x_{2} \sim g(f(x)); x_{1} < x_{2}}{\exists x_{1} \forall x_{2} (\neg Q(x_{2}) \wedge \neg P(f(x)))} x_{2} \sim g(f(x)); x_{1} < x_{2}}$$

$$\frac{\top}{\forall x_{0} \exists x_{1} \forall x_{2} (\neg Q(x_{2}) \wedge \neg P(x_{1}) \vee R(x_{0}))} x_{0} \sim a; x_{0} < x_{1}, x_{0} < x_{2}}{x_{0} \Rightarrow x_{1} \forall x_{2} \exists x_{3} (\neg Q(x_{2}) \wedge \neg P(x_{1}) \vee R(x_{0}) \vee S(x_{3}))} x_{3} \sim h(g(f(a))); x_{0} < x_{3}, x_{1} < x_{3}, x_{2} < x_{3}}$$

#### 203b – many $\Sigma$ -literals, coloring per occurrence

$$\underbrace{\frac{\prod\limits_{\substack{\Pi \\ \neg S(x_1)}} \frac{R(x) \vee \neg P(f(x)) \qquad P(z) \vee Q(g(z))}{R(x) \vee Q(gfx)} z \mapsto fx \qquad \sum\limits_{\substack{\Gamma \\ \neg Q(y) \vee S(h(y)) \\ R(x) \vee S(hgfx) \\ x \mapsto a} y \mapsto gfx }_{\square}$$

$$\frac{\frac{\bot}{\bot} z \mapsto fx}{\bot x \mapsto a} y \mapsto gfx$$

$$\frac{\bot}{S(hgfa) \lor R(a)} x_1 \mapsto hgfa$$

$$\rightarrow \forall x_1 \exists x_2 (R(x_1) \lor S(x_2))$$

# Example where variables are not the outermost symbol but order is still relevant

## 204a

$$\Sigma = \{P(f(x), g(f(x)))\}$$

$$\Pi = \{P(f(a), y)\}$$

$$\Rightarrow \forall x_1 \exists x_2 P(f(x_1), x_2)$$

#### 204b

$$\Sigma = \{P(f^{5}(x), g(f(x)))\}$$

$$\Pi = \{P(f^{5}(a), y)\}$$

$$\Rightarrow \forall x_{1} \exists x_{2} P(f^{5}(x_{1}), x_{2})$$

#### example with aufschaukelnde unification, such that direction of arrow isn't clear

#### 205a

situation not critical here

Here
$$\frac{\sum_{\substack{P(ffy,gy)}} \frac{\neg P(x,y) \lor Q(x)}{\neg R(a)} \frac{\neg R(a) & \neg Q(ffz) \lor Rz}{\neg R(a) & | \neg Q(ffa)} z \mapsto a}{\neg R(a) \land Q(ffa) & | \neg P(ffa,y)} y \mapsto a} \xrightarrow{z \mapsto a}$$

direct

$$\frac{P(ffy,gy)}{P(ffy,gy)} \frac{\frac{\sum\limits_{P(x,y)\vee Q(x)} \frac{\neg R(a) \quad \neg Q(ffz)\vee Rz}{\exists x_1\neg R(x_1)\mid \neg Q(ffa)} z\mapsto a}{\exists x_1\neg R(x_1)\mid \neg P(ffa,u) \quad x\mapsto ffa} \\ \frac{\exists x_1\forall x_2\forall x_3((\neg R(x_1)\wedge Q(x_2))\mid \neg P(ffa,u) \quad y\mapsto a, u\mapsto ga}{\exists x_1\forall x_2\forall x_3((\neg R(x_1)\wedge Q(x_2))\vee \neg P(x_2,x_3))}$$

ground:

$$P(ffa,ga) = \frac{P(ffa,y) \lor Q(ffa)}{P(ffa,ga)} = \frac{P(ffa,y) \lor Q(ffa)}{P(ffa,ga)} = \frac{P(ffa,ga) \lor Ra}{P(ffa,ga)} = \frac{P(ffa,ga) \lor P(ffa,ga)}{P(ffa,ga)}$$

arrow lemma:  $x_1 \sim ffy, x_2 \sim gy, y_3 \sim a \ x_4 \sim ffz, x_5 \sim ffa, y_6 \sim a,$ 

$$\frac{\sum\limits_{P(x,y)\vee Q(x)|\perp} \frac{\sum\limits_{\exists y_3 (\neg R(y_3)|\perp} \frac{\prod}{\forall x_4 (\neg Q(x_4)\vee Rz|\perp)}}{\exists y_3 \forall x_4 ((\neg R(y_3)\wedge \top)\vee (R(a)\wedge \bot)|\neg Q(x_4))}} z\mapsto a \\ \frac{\sum\limits_{P(x,y)\vee Q(x)|\perp} \frac{\sum\limits_{\exists y_3 \forall x_4 ((\neg R(y_3)\wedge \top)\vee (R(a)\wedge \bot)|\neg Q(x_4))}}{\exists y_3 \forall x_4 ((\neg Q(x_4\wedge \bot))\vee (Q(x)\sigma \wedge \neg R(y_3))|\neg P(x,y)\sigma)}} x\mapsto ffc \\ \frac{\exists y_3 \forall x_4 ((Q(ffa)\wedge \neg R(y_3))|\neg P(ffa,y))}{\exists y_3 \forall x_4 (Q(ffa)\wedge \neg R(y_3))|\neg P(x_5,y))}} y\mapsto a \\ \frac{(\neg P(x_5,y)\wedge \top)\vee (P(x_1,x_2)\wedge (Q(x_5)\wedge \neg R(y_3)))}{(\neg P(x_5,a)\wedge \top)\vee (P(x_1,x_2)\wedge (Q(x_5)\wedge \neg R(y_3)))}} y\mapsto a \\ \frac{(\neg P(x_5,y)\wedge \top)\vee (P(x_1,x_2)\wedge (Q(x_5)\wedge \neg R(y_3)))}{(\neg P(x_5,y)\wedge T)\vee (P(x_1,x_2)\wedge (Q(x_5)\wedge \neg R(y_3)))}}$$

(\*) "luckily", same overbinding for ffa, so this works

dashed underline: problem, but does not cause issues here.

#### 205b $\sim$ 205a, but simpler

Suppose P occurs somewhere in  $\Sigma$  (result not that optimal in this setting, but correct) not nice for proving,  $\neg R(a)$  is a nice interpolant already

$$\frac{P(ffy,gy)}{P(ffx,gy)} \xrightarrow{\neg R(a)} \frac{\neg P(ffz,x) \lor Rz}{\neg R(a) | \neg P(ffa,x)} z \mapsto a$$

$$\neg R(a) \lor \neg P(ffa,ga) | \square$$

$$\frac{\top |P(ffy,gy)|}{\exists x_1 \forall x_2 \forall x_3 \neg R(x_1)} \frac{\bot |\neg R(x_1)| \neg P(ffz,x) \lor Rz}{\exists x_1 \neg R(x_1) | \neg P(ffa,x)}$$

$$\frac{\exists x_1 \Big(\bot \mid \neg R(x_1)\Big) \quad \forall x_5 \Big(\top \mid \neg P(x_5, x) \lor Rz\Big)}{\exists x_1 \forall x_2 \forall x_3 \neg R(x_1) \lor \neg P(x_2, x_3) \mid \Box}$$

in au,  $x_2$  and  $x_3$  win since these are the "actual" terms

last step in slow motion:

$$\zeta_{1} = a \qquad \qquad \zeta_{2} = f(f(a)) \qquad \zeta_{3} = g(a) \qquad \qquad \zeta_{5} = f(f(z)) \qquad \zeta_{7} = f(f(y)) \qquad \zeta_{8} = g(y)$$

$$AI_{\text{mat}}(\cdot) = \\
= \ell[\left(\left(P(x_{7}, x_{8}) \land \neg R(x_{1})\right) \lor \left(\neg P(x_{5}, x) \land \top\right)\right) \sigma]\tau \\
= \left(P(x_{7}, x_{8}) \land \neg R(x_{1})\right) \tau \lor \left(\neg P(x_{5}, x_{3}) \land \top\right)\right)\tau \\
\text{au}(x_{7}, x_{5}) = \{x_{7} \mapsto x_{2}, x_{5} \mapsto x_{2}\} \\
\text{au}(x_{8}, x_{3}) = \{x_{8} \mapsto x_{3}, x_{8} \mapsto x_{3}\} \\
\exists x_{1}R(x_{1}) \\
\exists x_{1} \forall x_{2} \forall x_{3}(R(x_{1}) \lor \neg P(x_{2}, x_{3}))$$

# example to demonstrate that literals being resolved upon have to be overbound with the same variable

206a

$$\frac{R(f(x)) \qquad \neg R(y) \lor P(y) \qquad \qquad \Pi \qquad \qquad \Sigma \\ \neg P(f(z)) \lor S(z) \qquad \neg S(a) \\ \hline (\neg R(x_3) \land \top) \lor (R(x_3) \land \bot) \mid P(x_3) \qquad \qquad (\neg S(y_2) \land \top) \lor (S(y_2) \land \bot) \mid \neg P(x_4) \\ \hline (\forall x_3) \exists y_2 \forall x_4 (\forall x_3) \Big( (\neg P(x_4) \land \neg R(x_3)) \lor (P(x_3) \land S(y_2)) \Big)$$

Gist of this example: P(f(x)) is lifted on the left, but P(f(a)) on the right. So it's  $P(x_3)$  vs  $P(x_4)$ , but both of them have to have the same variable.

$$R(x_3) \in \operatorname{AI}_{\mathrm{mat}}(C_7)$$

$$P(x_3) \in \operatorname{AI}_{\mathrm{cl}}(C_7)$$

$$P(x_4) \in \operatorname{AI}_{\mathrm{cl}}(C_8)$$

$$\Sigma \models (\forall x_3) \exists y_2 \forall x_4 (\forall x_3) \Big( (\neg P(x_4) \land \neg R(x_3)) \lor (P(x_3) \land S(y_2)) \Big)$$

$$\Sigma \models (\forall x_3) \forall x_4 (\forall x_3) \Big( (\neg P(x_4) \land \neg R(x_3)) \lor P(x_3) \Big)$$

$$\Sigma \not\models (\neg P(1) \land \neg R(0)) \lor P(0) \ // \ \text{if} \ P \sim \{1\} \ \text{and} \ R \sim \{0\}$$
we know that for original clauses  $l$  and  $l'$  of  $P(x_4)$  and  $P(x_3)$ ,

hence same color, and can use different var as same value works.

inductive hypothesis:

$$\Gamma \models \top \vee R(x_3) 
\Gamma \models \bot \vee \neg R(y) \vee P(y) 
\Gamma \models (\neg R(x_3) \wedge \top) \vee (R(x_3) \wedge \bot) \vee P(x_3) \equiv \neg R(x_3) \vee P(x_3) 
\hline
\Gamma \models \top \vee \neg P(x_4) \vee S(z) 
\Gamma \models \bot \vee \neg S(a) 
\Gamma \models (\neg S(a) \wedge \top) \vee (S(a) \wedge \bot) \vee \neg P(x_4) \equiv \neg S(a) \vee \neg P(x_4)$$

$$\Gamma \models (\neg P(x_3) \land \neg R(x_3)) \lor (P(x_3) \land S(a))$$

#### 206b

WRONG: if a variable  $x_3$  occurs, it always refers to f(x), so it is always substituted to a particular value and cannot become f(a) and f(b) in the same clause as just the unifier  $\sigma$  is used.

$$\frac{R(f(x), f(x))}{R(f(x), f(x))} \frac{\Sigma}{\neg R(y, u) \lor P(y, u)} \qquad \frac{\neg P(f(z), f(v)) \lor S(z, v)}{\neg P(f(z), f(v)) \lor S(z, v)} \frac{\Sigma}{\neg S(a, b)}$$
$$\frac{(\neg R(x_3, x_3) \land \top) \lor (R(x_3, x_3) \land \bot) \mid P(x_3, x_3)}{((\neg P(x_4) \land \neg R(x_3)) \lor (P(x_3) \land S(y_2)))}$$

## problems due to $x_i$ not referring to actual term

208a

# WRONG: variable x is used in two clauses

NB: as the  $x_1$  in the literal is actually f(a), this way, all  $x_1$  become  $x_5$ , but the other one is supposed to stand for f(b)

### ACTUALLY:

Hence a term with a free variable in a clause can never be lifted by the same variable as a term in another clause. If two terms in the same clause are lifted with a certain variable, they are bound together in the derivation anyway.

#### clause used multiple times

209a

NB: we need to rename lifting variables, possibly rename all lifting variables which refer to a term which contains variables (an actual implementation might do this more efficiently, i.e. not always)

$$\begin{array}{c|c} & \underline{\bot \mid P(a) \quad \top \mid \neg P(x) \vee P(x_1) \vee Q(y)} \\ \hline \underline{\bot \mid P(a) \quad Q(a) \mid P(x_1)} \\ \hline P(a) \wedge Q(a) \mid P(x_1) \\ \hline P(a) \wedge Q(a) \mid P(x_1) \\ \hline NB: x_1 \text{ used to refer to } f(x), \text{ now: } f(a) \\ \hline (\neg P(x_1) \wedge P(a) \wedge Q(a)) \vee (P(x_1) \wedge Q(a)) \mid P(x_1') \\ \hline (\neg P(x_3) \wedge (\neg P(x_1) \wedge P(a) \wedge Q(a)) \vee (P(x_1) \wedge Q(a))) \vee P(x_3) \mid \Box \\ \hline au(x_1', x_2) = \{x_1' \mapsto \ell[f(f(a))], x_2 \mapsto \ell[f(f(a))] \\ \hline (\neg P(x_3) \wedge (\neg P(x_1) \wedge P(a) \wedge Q(a)) \vee (P(x_1) \wedge Q(a))) \vee P(x_3) \\ \hline \equiv \left(Q(a) \wedge \left((\neg P(x_1) \wedge P(a)) \vee P(x_1)\right)\right) \wedge \neg P(x_3) \\ \hline \Sigma \checkmark \\ \text{negated: } \left(\neg Q(a) \vee \left((P(x_1) \vee \neg P(a)) \wedge \neg P(x_1)\right)\right) \wedge \neg P(x_3) \\ \hline \equiv \left(\neg Q(a) \vee \left(\neg P(a) \wedge \neg P(x_1)\right)\right) \wedge \neg P(x_3) \\ \hline \Pi \checkmark \\ \end{array}$$

(none of the  $P(f^n(x))$ ,  $n \leq 2$ , are allowed to be true in a model of  $\Phi$ )

 $f(x) \vee g(x)$  with f, g different colors

207a

ORIGINAL VERSION:

$$\frac{\prod\limits_{\substack{\neg R(g(a))}}^{\Pi} \frac{P(f(x)) \lor Q(x)}{\lor x_1 Q(x_1)} \frac{R(g(y)) \lor \neg Q(y)}{\lor P(f(x)) \lor R(g(x))} y \mapsto x}{\forall x_1 Q(x_1) \mid P(f(a)) / R(g(a))} x \mapsto a}$$

⇒ free vars in the interpolant have to be overbound (if there are arrows, but we can just always do so)

VERSION WITH "CURRENT" ALGO:

$$x_{4} = g(x) \qquad x_{5} = g(a) \qquad x_{6} = g(y) \qquad x_{7} = f(x) \qquad x_{8} = f(a)$$

$$\frac{\exists x_{7} \mid \bot \mid P(x_{7}) \lor Q(x) \qquad \forall x_{6} \mid \top \mid R(x_{6}) \lor \neg Q(y) \qquad \forall x_{7} \forall x_{8} \exists x_{7} \mid (Q(x_{1}) \land \top) \lor (\neg Q(x) \land \bot) \mid P(x_{7}) \lor R(x_{6}) \qquad y \mapsto x}{\forall x_{1} \forall x_{6} \exists x_{7} \mid Q(x_{1}) \mid P(x_{7}) / / R(x_{6}) \qquad x \mapsto a}$$

$$\frac{\neg P(z)}{\forall x_{1} \exists x_{8} \mid (Q(x_{1}) \lor P(x_{8})) / / R(x_{6})} \qquad x \mapsto a$$

 $\Rightarrow$  a free variable can be left as is as it is implicitly universally bound at highest level, which is also the case in the preceding clauses (else it would have been unified and changed)

#### misc examples

201a

$$\frac{P(x,y) \vee \neg Q(y)}{\neg Q(y)} \quad \neg P(a,y_2) \atop \neg Q(y) \quad \Box \quad x \mapsto a \quad \frac{Q(f(z)) \vee R(z)}{Q(f(a))} \quad \neg R(a) \atop \neg Q(f(a)) \quad z \mapsto a$$

$$\frac{\bot}{P(a,y)} \xrightarrow{T} x \mapsto a \quad \frac{\bot}{R(a)} \xrightarrow{T} z \mapsto a$$
$$P(a,f(a)) \lor R(a) \quad y \mapsto f(a)$$

$$\frac{\frac{\bot}{\forall x_1 P(x_1, y)} x \mapsto a \quad \frac{\bot}{\forall x_3 R(x_3)} z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 (P(x_1, x_2) \lor R(x_3))} y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \lor R(x_1)$ 

201b

$$\frac{\frac{\bot}{P(a, f(y))} \xrightarrow{x \mapsto a} \frac{\bot}{R(a)} \xrightarrow{y \mapsto a} P(a, f(a)) \lor R(a)}{P(a, f(a)) \lor R(a)} \xrightarrow{y \mapsto a}$$

$$\frac{\frac{\bot}{\forall x_1 \exists x_2 P(x_1, x_2)} x \mapsto a \quad \frac{\bot}{\forall x_3 R(x_3)} z \mapsto a}{\forall x_3 \forall x_1 \exists x_2 P(x_1, x_2) \lor R(x_3)} y \mapsto f(a)$$

Huang would produce:  $\forall x_1 \exists x_2 P(x_1, x_2) \lor R(x_1)$ 

# arrow in element which is not in interpolant or resolution clause

206

for first interpolant,  $\Sigma \not\models \ell_{\Delta,x}[\operatorname{PI}(C)] \vee C$ 

=> need to overbind clause as well

203b' – many 
$$\Sigma$$
-literals, coloring per symbol, all predicates grey 
$$\frac{\neg R(a) \quad R(x) \vee \neg P(f(x))}{R(a) \mid \neg P(fa) \quad x \mapsto a \quad \sum\limits_{P(z) \vee Q(g(z))} z \mapsto fa \quad \sum\limits_{Q(y) \vee S(h(y))} \sum\limits_{P(fa) \vee R(a) \mid Q(gfa)} z \mapsto fa$$

TODO