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Technical Specification

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## **Foreword**

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# 1 Scope

[18]

The present document specifies the E-UTRAN radio network layer signalling protocol for the S1 interface. The S1 Application Protocol (S1AP) supports the functions of S1 interface by signalling procedures defined in this document. S1AP is developed in accordance to the general principles stated in [2] and [3].

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TR 21.905: 'Vocabulary for 3GPP Specifications'.
[2]	3GPP TS 36.401: 'E-UTRAN Architecture Description'.
[3]	3GPP TS 36.410: 'S1 General Aspects and Principles'.
[4]	ITU-T Recommendation X.691 (07/2002): 'Information technology – ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)'.
[5]	$ITU-T\ Recommendation\ X.680\ (07/2002): 'Information\ technology-Abstract\ Syntax\ Notation\ One\ (ASN.1):\ Specification\ of\ basic\ notation'.$
[6]	$ITU-T\ Recommendation\ X.681\ (07/2002): \ 'Information\ technology-Abstract\ Syntax\ Notation\ One\ (ASN.1): \ Information\ object\ specification'.$
[7]	3GPP TS 32.421: 'Trace concepts and requirements'.
[8]	3GPP TS 23.402: 'Architecture enhancements for non-3GPP accesses'.
[9]	3GPP TS 23.216: 'Single Radio Voice Call Continuity (SRVCC)'.
[10]	3GPP TS 32.422: 'Trace control and configuration management'.
[11]	3GPP TS 23.401: 'General Packet Radio Service (GPRS) enhancements for E-UTRAN access'.
[12]	3GPP TS 36.414: 'Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 data transport'.
[13]	3GPP TS 23.203: 'Policy and charging control architecture'
[14]	3GPP TS 36.300: 'Evolved Universal Terrestrial Radio Access (E-UTRA), Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; stage 2'.
[15]	3GPP TS 33.401: 'Security architecture'.
[16]	3GPP TS 36.331: 'Evolved Universal Terrestrial Radio Access (E-UTRAN); Radio Resource Control (RRC) Protocol Specification'.
[17]	3GPP TS 23.272: 'Circuit Switched Fallback in Evolved Packet System; stage 2'.
54.03	

3GPP TS 48.018: 'General Packet Radio Service (GPRS); BSS GPRS Protocol (BSSGP)'.

[19]	3GPP TS 25.413: 'UTRAN Iu interface RANAP signalling'
[20]	3GPP TS 36.304: 'Evolved Universal Terrestrial Radio Access (E-UTRA), User Equipment (UE) procedures in idle mode'.
[21]	3GPP TS 23.003: 'Technical Specification Group Core Network and Terminals; Numbering, addressing and identification'.
[22]	3GPP TS 36.423: 'Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)'.
[23]	3GPP TS 48.008: 'Mobile Switching Centre-Base Station System (MSC-BSS) interface; Layer 3 specification'.
[24]	3GPP TS 24.301: 'Non-Access Stratum (NAS) protocol for Evolved Packet System (EPS)'; stage 3.
[25]	3GPP2 A.S0008-C: 'Interoperability Specification (IOS) for High Rate Packet Data (HRPD) Radio Access Network Interfaces with Session Control in the Access Network'.
[26]	3GPP TS 36.213: 'Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures'.
[27]	3GPP2 C.S0024-A v3.0: 'cdma2000 High Rate Packet Data Air Interface Specification'.
[28]	3GPP TS 22.220: 'Service requirements for Home NodeBs and Home eNodeBs'.
[29]	3GPP TS 23.041: 'Technical realization of Cell Broadcast Service (CBS)'.
[30]	3GPP TS 48.016: 'General Packet Radio Service (GPRS); Base Station System (BSS) - Serving GPRS Support Node (SGSN) interface; Network service'.

# 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**CSG Cell**: an E-UTRAN cell broadcasting a CSG indicator set to true and a CSG identity. This cell operates in Closed Access Mode as defined in [28].

Elementary Procedure: S1AP consists of Elementary Procedures (Eps). An Elementary Procedure is a unit of interaction between eNBs and the EPC. These Elementary Procedures are defined separately and are intended to be used to build up complete sequences in a flexible manner. If the independence between some Eps is restricted, it is described under the relevant EP description. Unless otherwise stated by the restrictions, the Eps may be invoked independently of each other as stand alone procedures, which can be active in parallel. The usage of several S1AP Eps together or together with Eps from other interfaces is specified in stage 2 specifications (e.g. [11] and [14]).

An EP consists of an initiating message and possibly a response message. Two kinds of Eps are used:

- Class 1: Elementary Procedures with response (success and/or failure).
- Class 2: Elementary Procedures without response.

For Class 1 Eps, the types of responses can be as follows:

#### Successful:

 A signalling message explicitly indicates that the elementary procedure successfully completed with the receipt of the response.

#### Unsuccessful:

- A signalling message explicitly indicates that the EP failed.
- On time supervision expiry (i.e. absence of expected response).

Successful and Unsuccessful:

- One signalling message reports both successful and unsuccessful outcome for the different included requests. The response message used is the one defined for successful outcome.

Class 2 Eps are considered always successful.

eNB UE S1AP ID: as defined in [2].

**Hybrid Cell**: an E-UTRAN cell broadcasting a CSG indicator set to false and a CSG identity. This cell operates in Hybrid Access Mode as defined in [28].

MME UE S1AP ID: as defined in [2].

**E-RAB:** as defined in [2].

NOTE: The E-RAB is either a default E-RAB or a dedicated E-RAB.

**E-RAB ID**: the E-RAB ID uniquely identifies an E-RAB for one UE.

NOTE: The E-RAB ID remains unique for the UE even if the UE-associated logical S1-connection is released during periods of user inactivity.

**Data Radio Bearer**: the Data Radio bearer transports the packets of an E-RAB between a UE and an eNB. There is a one-to-one mapping between the E-RAB and the Data Radio Bearer.

**UE-associated signalling:** When S1-AP messages associated to one UE uses the UE-associated logical S1-connection for association of the message to the UE in eNB and EPC.

**UE-associated logical S1-connection:** The UE-associated logical S1-connection uses the identities *MME UE S1AP ID* and *eNB UE S1AP ID* according to definition in [23.401]. For a received UE associated S1-AP message the MME identifies the associated UE based on the MME UE S1AP ID IE and the eNB identifies the associated UE based on the *eNB UE S1AP ID* IE. The UE-associated logical S1-connection may exist before the S1 UE context is setup in eNB.

# 3.2 Symbols

-

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

CDMA Code Division Multiple Access

CS Circuit Switched

CSG Closed Subscriber Group

CN Core Network DL Downlink

E-RAB E-UTRAN Cell Global Identifier E-RAB E-UTRAN Radio Access Bearer

eNB E-UTRAN NodeB
EP Elementary Procedure
EPC Evolved Packet Core
E-UTRAN Evolved UTRAN
GBR Guaranteed Bit Rate

GUMMEI Globally Unique MME Identifier

GTP GTP Tunneling Protocol
HFN Hyper Frame Number
HRPD High Rate Packet Data
IE Information Element
MME Mobility Management Entity

NAS Non Access Stratum
PS Packet Switched

PDCP Packet Data Convergence Protocol PLMN Public Land Mobile Network

PS Packet Switched
RRC Radio Resource Control
RIM RAN Information Management

SN Sequence Number

S-TMSI S-Temporary Mobile Subscriber Identity

TAI Tracking Area Identity
TEID Tunnel Endpoint Identifier

UE User Equipment

UE-AMBR UE-Aggregate Maximum Bitrate

UL Uplink

### 4 General

# 4.1 Procedure Specification Principles

The principle for specifying the procedure logic is to specify the functional behaviour of the terminating node exactly and completely. Any rule that specifies the behaviour of the originating node shall be possible to be verified with information that is visible within the system.

The following specification principles have been applied for the procedure text in clause 8:

- The procedure text discriminates between:
  - 1) Functionality which 'shall' be executed

The procedure text indicates that the receiving node 'shall' perform a certain function Y under a certain condition. If the receiving node supports procedure X but cannot perform functionality Y requested in the REQUEST message of a Class 1 EP, the receiving node shall respond with the message used to report unsuccessful outcome for this procedure, containing an appropriate cause value.

2) Functionality which 'shall, if supported' be executed

The procedure text indicates that the receiving node 'shall, if supported,' perform a certain function Y under a certain condition. If the receiving node supports procedure X, but does not support functionality Y, the receiving node shall proceed with the execution of the EP, possibly informing the requesting node about the not supported functionality.

- Any required inclusion of an optional IE in a response message is explicitly indicated in the procedure text. If the procedure text does not explicitly indicate that an optional IE shall be included in a response message, the optional IE shall not be included. For requirements on including *Criticality Diagnostics* IE, see section 10. For examples on how to use the *Criticality Diagnostics* IE, see Annex A.2.

# 4.2 Forwards and Backwards Compatibility

The forwards and backwards compatibility of the protocol is assured by mechanism where all current and future messages, and IEs or groups of related IEs, include ID and criticality fields that are coded in a standard format that will not be changed in the future. These parts can always be decoded regardless of the standard version.

# 4.3 Specification Notations

For the purposes of the present document, the following notations apply:

Procedure When referring to an elementary procedure in the specification the Procedure Name is written with

the first letters in each word in upper case characters followed by the word 'procedure', e.g. E-RAB

procedure.

Message When referring to a message in the specification the MESSAGE NAME is written with all letters

in upper case characters followed by the word 'message', e.g. MESSAGE NAME message.

IE When referring to an information element (IE) in the specification the *Information Element Name* 

is written with the first letters in each word in upper case characters and all letters in Italic font

followed by the abbreviation 'IE', e.g. Information Element IE.

Value of an IE When referring to the value of an information element (IE) in the specification the 'Value' is

written as it is specified in subclause 9.2 enclosed by quotation marks, e.g. 'Value'.

# 5 S1AP Services

S1AP provides the signalling service between E-UTRAN and the evolved packet core (EPC) that is required to fulfil the S1AP functions described in clause 7. S1AP services are divided into two groups:

Non UE-associated services: They are related to the whole S1 interface instance between the eNB and MME

utilising a non UE-associated signalling connection.

UE-associated services: They are related to one UE. S1AP functions that provide these services are

associated with a UE-associated signalling connection that is maintained for the UE

in question.

# 6 Services Expected from Signalling Transport

The signalling connection shall provide in sequence delivery of S1AP messages. S1AP shall be notified if the signalling connection breaks.

# 7 Functions of S1AP

Editor"s Note: Description of S1AP functions.

S1AP protocol has the following functions:

- E-RAB management function: This overall functionality is responsible for setting up, modifying and releasing E-RABs, which are triggered by the MME The release of E-RABs may be triggered by the eNB as well.
- Initial Context Transfer function: This functionality is used to establish an S1UE context in the eNB, to setup the default IP connectivity, to setup one or more E-RAB(s) if requested by the MME, and to transfer NAS signalling related information to the eNB if needed.
- UE Capability Info Indication function: This functionality is used to provide the UE Capability Info when received from the UE to the MME.
- Mobility Functions for Ues in LTE\_ACTIVE in order to enable
  - a change of eNBs within SAE/LTE (Inter MME/Serving SAE-GW Handovers) via the S1 interface (with EPC involvement).
  - a change of RAN nodes between different RATs (Inter-3GPP-RAT Handovers) via the S1 interface (with EPC involvement).
- Paging: This functionality provides the EPC the capability to page the UE.
- S1 interface management functions comprise the:
  - Reset functionality to ensure a well defined initialisation on the S1 interface.
  - Error Indication functionality to allow a proper error reporting/handling in cases where no failure messages are defined.
  - Overload function to indicate the load situation in the control plane of the S1 interface.
  - Load balancing function to ensure equally loaded MMEs within an MME pool area
  - S1 Setup functionality for initial S1 interface setup for providing configuration information
  - eNB and MME Configuration Update functions are to update application level configuration data needed for the eNB and MME to interoperate correctly on the S1 interface.
- NAS Signalling transport function between the UE and the MME is used:
  - to transfer NAS signalling related information and to establish the S1 UE context in the eNB.
  - to transfer NAS signalling related information when the S1 UE context in the eNB is already established.
- S1 UE context Release function: This functionality is responsible to manage the release of UE specific context in the eNB and the MME.
- UE Context Modification function: This functionality allows to modify the established UE Context partly.
- Status Transfer: This functionality transfers PDCP SN Status information from source eNB to target eNB in support of in-sequence delivery and duplication avoidance for intra LTE handover.
- Trace function: This functionality is to control a trace recording for a UE in ECM\_CONNECTED.
- Location Reporting: This functionality allows MME to be aware of the UE"s current location.
- LPPa Signalling transport: This functionality transfers LPPa messages between eNB and E-SMLC over the S1 interface.
- S1 CDMA2000 Tunneling function: This functionality is to carry CDMA2000 signalling between UE and CDMA2000 RAT over the S1 Interface.

- Warning message transmission function:
  This functionality provides the means to start and overwrite the broadcasting of warning message.
- RAN Information Management (RIM) function: This functionality allows the request and transfer of RAN system information (e.g. GERAN system information) between two RAN nodes via the core network.
- Configuration Transfer function: This functionality allows the request and transfer of RAN configuration information (e.g. SON information) between two RAN nodes via the core network.

# 8 S1AP Procedures

# 8.1 List of S1AP Elementary procedures

In the following tables, all Eps are divided into Class 1 and Class 2 Eps (see subclause 3.1 for explanation of the different classes):

Table 1: Class 1 procedures

Elementary	Initiating Message	Successful Outcome	Unsuccessful Outcome
Procedure		Response message	Response message
Handover	HANDOVER	HANDOVER COMMAND	HANDOVER
Preparation	REQUIRED		PREPARATION FAILURE
Handover	HANDOVER	HANDOVER REQUEST	HANDOVER FAILURE
Resource	REQUEST	ACKNOWLEDGE	
Allocation			
Path Switch	PATH SWITCH	PATH SWITCH	PATH SWITCH REQUEST
Request	REQUEST	REQUEST	FAILURE
		ACKNOWLEDGE	
Handover	HANDOVER CANCEL	HANDOVER CANCEL	
Cancellation		ACKNOWLEDGE	
E-RAB Setup	E-RAB SETUP	E-RAB SETUP	
	REQUEST	RESPONSE	
E-RAB Modify	E-RAB MODIFY	E-RAB MODIFY	
	REQUEST	RESPONSE	
E-RAB Release	E-RAB RELEASE	E-RAB RELEASE	
	COMMAND	RESPONSE	
Initial Context	INITIAL CONTEXT	INITIAL CONTEXT	INITIAL CONTEXT SETUP
Setup	SETUP REQUEST	SETUP RESPONSE	FAILURE
Reset	RESET	RESET	
		ACKNOWLEDGE	
S1 Setup	S1 SETUP REQUEST	S1 SETUP RESPONSE	S1 SETUP FAILURE
UE Context	UE CONTEXT	UE CONTEXT RELEASE	
Release	RELEASE COMMAND	COMPLETE	
UE Context	UE CONTEXT	UE CONTEXT	UE CONTEXT
Modification	MODIFICATION	MODIFICATION	MODIFICATION FAILURE
	REQUEST	RESPONSE	
eNB	ENB	ENB CONFIGURATION	ENB CONFIGURATION
Configuration	CONFIGURATION	UPDATE	UPDATE FAILURE
Update	UPDATE	ACKNOWLEDGE	
MME	MME	MME CONFIGURAION	MME CONFIGURATION
Configuration	CONFIGURATION	UPDATE	UPDATE FAILURE
Update	UPDATE	ACKNOWLEDGE	
Write-Replace	WRITE-REPLACE	WRITE-REPLACE	
Warning	WARNING REQUEST	WARNING RESPONSE	
Kill	KILL REQUEST	KILL RESPONSE	

Table 2: Class 2 procedures

Elementary Procedure	Message
Handover Notification	HANDOVER NOTIFY
E-RAB Release Indication	E-RAB RELEASE INDICATION
Paging	PAGING
Initial UE Message	INITIAL UE MESSAGE
Downlink NAS Transport	DOWNLINK NAS TRANSPORT
Uplink NAS Transport	UPLINK NAS TRANSPORT
NAS non delivery indication	NAS NON DELIVERY INDICATION
Error Indication	ERROR INDICATION
UE Context Release Request	UE CONTEXT RELEASE REQUEST
DownlinkS1 CDMA2000 Tunneling	DOWNLINK S1 CDMA2000
	TUNNELING
Uplink S1 CDMA2000 Tunneling	UPLINK S1 CDMA2000 TUNNELING
UE Capability Info Indication	UE CAPABILITY INFO INDICATION
eNB Status Transfer	eNB STATUS TRANSFER
MME Status Transfer	MME STATUS TRANSFER
Deactivate Trace	DEACTIVATE TRACE
Trace Start	TRACE START
Trace Failure Indication	TRACE FAILURE INDICATION
Location Reporting Control	LOCATION REPORTING CONTROL
Location Reporting Failure	LOCATION REPORTING FAILURE
Indication	INDICATION
Location Report	LOCATION REPORT
Overload Start	OVERLOAD START
Overload Stop	OVERLOAD STOP
eNB Direct Information Transfer	eNB DIRECT INFORMATION
	TRANSFER
MME Direct Information Transfer	MME DIRECT INFORMATION
	TRANSFER
eNB Configuration Transfer	eNB CONFIGURATION TRANSFER
MME Configuration Transfer	MME CONFIGURATION TRANSFER
Cell Traffic Trace	CELL TRAFFIC TRACE
Downlink UE Associated LPPa	DOWNLINK UE ASSOCIATED LPPA
Transport	TRANSPORT
Uplink UE Associated LPPa	UPLINK UE ASSOCIATED LPPA
Transport	TRANSPORT
Downlink Non UE Associated LPPa	DOWNLINK NON UE ASSOCIATED
Transport	LPPA TRANSPORT
Uplink Non UE Associated LPPa	UPLINK NON UE ASSOCIATED
Transport	LPPA TRANSPORT

The following applies concerning interference between Elementary Procedures:

- The Reset procedure takes precedence over all other Eps.
- The UE Context Release procedure takes precedence over all other Eps that are using the UE-associated signalling.

# 8.2 E-RAB Management procedures

# 8.2.1 E-RAB Setup

#### 8.2.1.1 General

The purpose of the E-RAB Setup procedure is to assign resources on Uu and S1 for one or several E-RABs and to setup corresponding Data Radio Bearers for a given UE. The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation

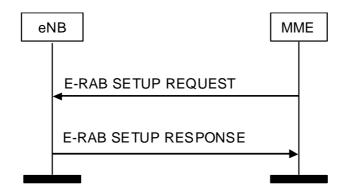


Figure 8.2.1.2-1: E-RAB Setup procedure. Successful operation.

The MME initiates the procedure by sending an E-RAB SETUP REQUEST message to the eNB.

- The E-RAB SETUP REQUEST message shall contain the information required by the eNB to build the E-RAB configuration consisting of at least one E-RAB and for each E-RAB to setup include an *E-RAB to be Setup Item* IE.

Upon reception of the E-RAB SETUP REQUEST message, and if resources are available for the requested configuration, the eNB shall execute the requested E-RAB configuration. For each E-RAB and based on the *E-RAB level QoS parameters* IE the eNB shall establish a Data Radio Bearer and allocate the required resources on Uu. The eNB shall pass the *NAS-PDU* IE and the value contained in the *E-RAB ID* IE received for the E-RAB for each established Data Radio Bearer to the UE. The eNB does not send the NAS PDUs associated to the failed Data radio bearers to the UE. The eNB shall allocate the required resources on S1 for the E-RABs requested to be established.

The E-RAB SETUP REQUEST message may contain

- the *UE Aggregate Maximum Bit Rate* IE.

If the UE Aggregate Maximum Bit Rate IE is included in the E-RAB SETUP REQUEST the eNB shall

replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context; the eNB shall use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.

If the *UE Aggregate Maximum Bit Rate* IE is not contained in the E-RAB SETUP REQUEST message, the eNB shall use the previously provided UE Aggregate Maximum Bit Rate which is stored in the UE context.

The eNB shall establish or modify the resources according to the values of the *Allocation and Retention Priority* IE (priority level and pre-emption indicators) and the resource situation as follows:

- The eNB shall consider the priority level of the requested E-RAB, when deciding on the resource allocation.
- The priority levels and the pre-emption indicators may (individually or in combination) be used to determine whether the E-RAB setup has to be performed unconditionally and immediately. If the requested E-RAB is marked as 'may trigger pre-emption' and the resource situation requires so, the eNB may trigger the pre-emption procedure which may then cause the forced release of a lower priority E-RAB which is marked as 'pre-emptable'. Whilst the process and the extent of the pre-emption procedure is operator-dependent, the pre-emption indicators shall be treated as follows:
  - 1. The values of the last received *Pre-emption Vulnerability* IE and *Priority Level* IE shall prevail.
  - 2. If the *Pre-emption Capability* IE is set to 'may trigger pre-emption', then this allocation request may trigger the pre-emption procedure.
  - 3. If the *Pre-emption Capability* IE is set to 'shall not trigger pre-emption', then this allocation request shall not trigger the pre-emption procedure.
  - 4. If the *Pre-emption Vulnerability* IE is set to 'pre-emptable', then this E-RAB shall be included in the pre-emption process.

- 5. If the *Pre-emption Vulnerability* IE is set to 'not pre-emptable', then this E-RAB shall not be included in the pre-emption process.
- 6. If the *Priority Level* IE is set to 'no priority' the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values 'shall not trigger pre-emption' and 'not pre-emptable' shall prevail.
- The E-UTRAN pre-emption process shall keep the following rules:
  - 1. E-UTRAN shall only pre-empt E-RABs with lower priority, in ascending order of priority.
  - 2. The pre-emption may be done for E-RABs belonging to the same UE or to other Ues.

The eNB shall report to the MME, in the E-RAB SETUP RESPONSE message, the result for all the requested E-RABs.

- A list of E-RABs which are successfully established shall be included in the E-RAB Setup List IE.
- A list of E-RABs which failed to be established shall be included in the E-RAB Failed to Setup List IE.

In case of the establishment of an E-RAB the EPC must be prepared to receive user data before the E-RAB SETUP RESPONSE message has been received.

When the eNB reports unsuccessful establishment of an E-RAB, the cause value should be precise enough to enable the MME to know the reason for an unsuccessful establishment e.g.: 'Radio resources not available', 'Failure in the Radio Interface Procedure'.

#### **Interactions with Handover Preparation procedure:**

If a handover becomes necessary during E-RAB Setup, the eNB may interrupt the ongoing E-RAB Setup procedure and initiate the Handover Preparation procedure as follows:

- 1. The eNB shall send the E-RAB SETUP RESPONSE message in which the eNB shall indicate, if necessary
  - all the E-RABs fail with an appropriate cause value e.g.'S1 intra system Handover triggered', 'S1 inter system Handover triggered' or 'X2 Handover triggered'.
- 2. The eNB shall trigger the handover procedure.

#### 8.2.1.3 Unsuccessful Operation

The unsuccessful operation is specified in the successful operation section.

#### 8.2.1.4 Abnormal Conditions

If the eNB receives a E-RAB SETUP REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in [13]), and which does not contain the *GBR QoS Information* IE, the eNB shall consider the establishment of the corresponding E-RAB as failed.

If the eNB receives an E-RAB SETUP REQUEST message containing several *E-RAB ID* IEs (in the *E-RAB To Be Setup List* IE) set to the same value, the eNB shall report the establishment of the corresponding E-RABs as failed in the E-RAB SETUP RESPONSE with the appropriate cause value, e.g. 'Multiple E-RAB ID instances'.

If the eNB receives an E-RAB SETUP REQUEST message containing a *E-RAB ID* IE (in the *E-RAB To Be Setup List* IE) set to the value that identifies an active E-RAB (established before the E-RAB SETUP REQUEST message was received), the eNB shall report the establishment of the new E-RAB as failed in the E-RAB SETUP RESPONSE with the appropriate cause value, e.g. 'Multiple E-RAB ID instances'.

### 8.2.2 E-RAB Modify

#### 8.2.2.1 General

The purpose of the E-RAB Modify procedure is to enable modifications of already established E-RABs for a given UE. The procedure uses UE-associated signalling.

#### 8.2.2.2 Successful Operation

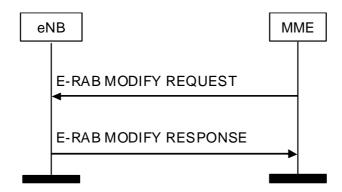


Figure 8.2.2.2-1: E-RAB Modify procedure. Successful operation.

The MME initiates the procedure by sending an E-RAB MODIFY REQUEST message to the eNB.

- The E-RAB MODIFY REQUEST message shall contain the information required by the eNB to modify one or several E-RABs of the existing E-RAB configuration.

Information shall be present in the E-RAB MODIFY REQUEST message only when any previously set value for the E-RAB configuration is requested to be modified.

Upon reception of the E-RAB MODIFY REQUEST message, and if resources are available for the requested target configuration, the eNB shall execute the modification of the requested E-RAB configuration. For each E-RAB that shall be modified and based on the new *E-RAB level QoS parameters* IE the eNB shall modify the Data Radio Bearer configuration and change allocation of resources on Uu according to the new resource request. The eNB shall pass the *NAS-PDU* IE received for the E-RAB to the UE when modifying the Data Radio Bearer configuration. The eNB does not send the NAS PDUs associated to the failed Data radio bearers to the UE. The eNB shall change allocation of resources on S1 according to the new resource request.

If the E-UTRAN failed to modify an E-RAB the E-UTRAN shall keep the E-RAB configuration as it was configured prior the E-RAB MODIFY REQUEST.

The E-RAB MODIFY REQUEST message may contain the

- the *UE Aggregate Maximum Bit Rate* IE.

If the UE Aggregate Maximum Bit Rate IE is included in the E-RAB MODIFY REQUEST the eNB shall

replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context; the eNB shall use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.

If the *UE Aggregate Maximum Bit Rate* IE is not contained in the E-RAB MODIFY REQUEST message, the eNB shall use the previously provided UE Aggregate Maximum Bit Rate which is stored in the UE context.

The modification of resources according to the values of the *Allocation and Retention Priority* IE shall follow the principles described for the E-RAB Setup procedure.

The eNB shall report to the MME, in the E-RAB MODIFY RESPONSE message, the result for all the requested E-RABs to be modified.

- A list of E-RABs which are successfully modified shall be included in the E-RAB Modify List IE.
- A list of E-RABs which failed to be modified shall be included in the E-RAB Failed to Modify List IE.

When the eNB reports unsuccessful modification of an E-RAB, the cause value should be precise enough to enable the MME to know the reason for an unsuccessful modification e.g.: 'Radio resources not available', 'Failure in the Radio Interface Procedure'.

In case of a modification of an E-RAB the EPC must be prepared to receive user data according to the modified E-RAB profile prior to the E-RAB MODIFY RESPONSE message.

#### **Interactions with Handover Preparation procedure:**

If a handover becomes necessary during E-RAB modify, the eNB may interrupt the ongoing E-RAB Modify procedure and initiate the Handover Preparation procedure as follows:

- 1. The eNB shall send the E-RAB MODIFY RESPONSE message in which the eNB shall indicate, if necessary
  - all the E-RABs fail with an appropriate cause value e.g.'S1 intra system Handover triggered', 'S1 inter system Handover triggered' or 'X2 Handover triggered'.
- 2. The eNB shall trigger the handover procedure.

#### 8.2.2.3 Unsuccessful Operation

The unsuccessful operation is specified in the successful operation section.

#### 8.2.2.4 Abnormal Conditions

If the eNB receives a E-RAB MODIFY REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in [13]) for a E-RAB previously configured as a non-GBR bearer (as defined in [13]), and which does not contain the *GBR QoS Information* IE, the eNB shall consider the modification of the corresponding E-RAB as failed.

If the eNB receives an E-RAB MODIFY REQUEST message containing several *E-RAB ID* IEs (in the *E-RAB to be Modified List* IE) set to the same value, the eNB shall report the modification of the corresponding E-RABs as failed in the E-RAB MODIFY RESPONSE with the appropriate cause value, e.g. 'Multiple E-RAB ID instances'.

If the eNB receives an E-RAB MODIFY REQUEST message containing some *E-RAB ID* IEs that eNB does not recognize, the eNB shall report the corresponding invalid E-RABs as failed in the E-RAB MODIFY RESPONSE with the appropriate cause value, e.g. 'Unknown E-RAB ID'.

#### 8.2.3 E-RAB Release

#### 8.2.3.1 General

The purpose of the E-RAB Release procedure is to enable the release of already established E-RABs for a given UE. The procedure uses UE-associated signalling.

### 8.2.3.2 Successful Operation

#### 8.2.3.2.1 E-RAB Release – MME initiated

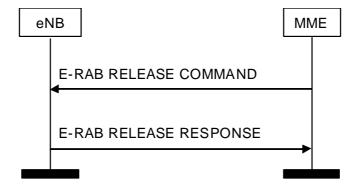


Figure 8.2.3.2.1-1: E-RAB Release procedure. Successful operation.

The MME initiates the procedure by sending an E-RAB RELEASE COMMAND message.

The E-RAB RELEASE COMMAND message shall contain the information required by the eNB to release at least one E-RAB in the *E-RAB To Be Released List* IE. If a *NAS-PDU* IE is contained in the message, the eNB shall pass it to the UE.

Upon reception of the E-RAB RELEASE COMMAND message the eNB shall execute the release of the requested E-RABs. For each E-RAB to be released the eNB shall release the corresponding Data Radio Bearer and release the allocated resources on Uu. The eNB shall pass the value contained in the *E-RAB ID* IE received for the E-RAB to the radio interface protocol for each Data Radio Bearer to be released. The eNB shall release allocated resources on S1 for the E-RABs requested to be released.

The E-RAB RELEASE COMMAND message may contain

- the *UE Aggregate Maximum Bit Rate* IE.

If the UE Aggregate Maximum Bit Rate IE is included in the E-RAB RELEASE COMMAND the eNB shall

- replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context; the eNB shall use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.

If the *UE Aggregate Maximum Bit Rate* IE is not contained in the E-RAB RELEASE COMMAND message, the eNB shall use the previously provided UE Aggregate Maximum Bit Rate which is stored in the UE context.

The eNB shall report to the MME, in the E-RAB RELEASE RESPONSE message, the result for all the E-RABs to be released.

- A list of E-RABs which are released successfully shall be included in the E-RAB Release List IE.
- A list of E-RABs which failed to be released shall be included in the E-RAB Failed to Release List IE.

The eNB shall be prepared to receive an E-RAB RELEASE COMMAND message on an established UE-associated logical S1-connection containing an *E-RAB Release List* IE at any time and shall always reply to it with an E-RAB RELEASE RESPONSE message.

After sending an E-RAB RELEASE RESPONSE message containing an E-RAB ID within the *E-RAB Release List* IE, the eNB shall be prepared to receive an E-RAB SETUP REQUEST message requesting establishment of an E-RAB with this E-RAB ID.

#### 8.2.3.2.2 E-RAB Release Indication – eNB initiated

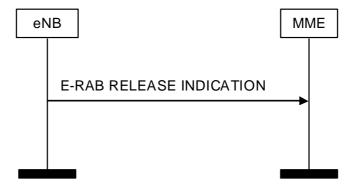


Figure 8.2.3.2.2-1: E-RAB Release INDICATION procedure. Successful operation.

The eNB initiates the procedure by sending an E-RAB RELEASE INDICATION message towards the MME.

The E-RAB RELEASE INDICATION message shall contain at least one E-RAB released at the eNB, in the *E-RAB Released List* IE.

Upon reception of the E-RAB RELEASE INDICATION message the MME shall normally initiate the appropriate release procedure on the core network side for the E-RABs identified in the E-RAB RELEASE INDICATION message.

#### **Interaction with UE Context Release Request procedure:**

If the eNB wants to remove all remaining E-RABs e.g. for user inactivity, the UE Context Release Request procedure shall be used instead.

#### 8.2.3.3 Abnormal Conditions

If the eNB receives an E-RAB RELEASE COMMAND message containing multiple *E-RAB ID* IEs (in the *E-RAB Released List* IE) set to the same value, the eNB shall initiate the release of one corresponding E-RAB of the *E-RAB Released List* IE and ignore the duplication of the instances of the selected corresponding E-RABs.

If the MME receives an E-RAB RELEASE INDICATION message containing several *E-RAB ID* IEs (in the *E-RAB Released List* IE) set to the same value, the MME shall initiate the release of the corresponding E-RAB.

If the eNB receives an E-RAB RELEASE COMMAND message containing some *E-RAB ID* IEs that eNB does not recognize, the eNB shall report the corresponding invalid E-RABs as failed in the E-RAB RELEASE RESPONSE message with the appropriate cause e.g. 'Unknown E-RAB ID'.

# 8.3 Context Management procedures

### 8.3.1 Initial Context Setup

#### 8.3.1.1 General

The purpose of the Initial Context Setup procedure is to establish the necessary overall initial UE Context including E-RAB context, the Security Key, Handover Restriction List, UE Radio capability and UE Security Capabilities etc. The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation

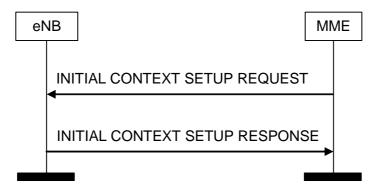


Figure 8.3.1.2-1: Initial Context Setup procedure. Successful operation.

In case of the establishment of an E-RAB the EPC must be prepared to receive user data before the INITIAL CONTEXT SETUP RESPONSE message has been received by the MME. If no UE-associated logical S1-connection exists, the UE-associated logical S1-connection shall be established at reception of the INITIAL CONTEXT SETUP REQUEST message.

The INITIAL CONTEXT SETUP REQUEST message shall contain within the *E-RAB to be Setup List* IE the information required by the eNB to build the new E-RAB configuration consisting of at least one additional E-RAB.

The E-RAB to be Setup Item IE may contain:

- the *NAS-PDU* IE.

The INITIAL CONTEXT SETUP REQUEST message may contain

- the *Trace Activation* IE.
- the Handover Restriction List IE, which may contain roaming, area or access restrictions.
- the UE Radio Capability IE.
- the Subscriber Profile ID for RAT/Frequency priority IE.

- the CS Fallback Indicator IE.
- the SRVCC Operation Possible IE.
- the CSG Membership Status IE.

The INITIAL CONTEXT SETUP REQUEST message shall contain the *Subscriber Profile ID for RAT/Frequency priority* IE, if available in the MME.

The INITIAL CONTEXT SETUP REQUEST message shall contain the *CSG Membership Status* IE, if the cell accessed by the UE is a hybrid cell.

Upon receipt of the INITIAL CONTEXT SETUP REQUEST message the eNB shall

- attempt to execute the requested E-RAB configuration.
- store the UE Aggregate Maximum Bit Rate in the UE context, and use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.
- pass the value contained in the *E-RAB ID* IE and the *NAS-PDU* IE received for the E-RAB for each established Data radio bearer to the radio interface protocol. The eNB shall not send the NAS PDUs associated to the failed Data radio bearers to the UE.
- store the received Handover Restriction List in the UE context.
- store the received UE Radio Capability in the UE context.
- store the received Subscriber Profile ID for RAT/Frequency priority in the UE context and use it as defined in [14].
- store the received SRVCC Operation Possible in the UE context and use it as defined in [9].
- store the received UE Security Capabilities in the UE context.
- store the received Security Key in the UE context, take it into use and associate it with the initial value of NCC as defined in [15].
- store the received CSG Membership Status in the UE context.

For the Initial Context Setup an initial value for the Next Hop Chaining Count is stored in the UE context.

The allocation of resources according to the values of the *Allocation and Retention Priority* IE shall follow the principles described for the E-RAB Setup procedure.

The eNB shall use the information in the *Handover Restriction List* IE if present in the INITIAL CONTEXT SETUP REQUEST message to determine a target cell for handover. If the *Handover Restriction List* IE is not contained in the INITIAL CONTEXT SETUP REQUEST message, the eNB shall consider that no roaming area nor access restriction applies to the UE. The eNB shall also consider that no roaming area nor access restriction applies to the UE when one of the setup E-RABs has some particular ARP values [11].

If the *Trace activation* IE is included in the INITIAL CONTEXT SETUP REQUEST message then eNB shall, if supported, initiate the requested trace function as described in [10].

If the *CS Fallback Indicator* IE is included in the INITIAL CONTEXT SETUP REQUEST message, it indicates that the UE Context to be set-up is subject to CS Fallback. The eNB shall reply with the INITIAL CONTEXT SETUP RESPONSE message and then act as defined in [17].

If the *UE Security Capabilities* IE included in the INITIAL CONTEXT SETUP REQUEST message only contains the EIA0 algorithm as defined in [15] and if this EIA0 algorithm is defined in the configured list of allowed integrity protection algorithms in the eNB [15], the eNB shall take it into use and ignore the keys received in the *Security Key* IE.

The eNB shall report to the MME, in the INITIAL CONTEXT SETUP RESPONSE message, the successful establishment of the security procedures with the UE, and, the result for all the requested E-RABs in the following way:

- A list of E-RABs which are successfully established shall be included in the E-RAB Setup List IE
- A list of E-RABs which failed to be established shall be included in the E-RAB Failed to Setup List IE.

When the eNB reports the unsuccessful establishment of an E-RAB, the cause value should be precise enough to enable the MME to know the reason for the unsuccessful establishment e.g.: 'Radio resources not available', 'Failure in the Radio Interface Procedure'.

After sending the INITIAL CONTEXT SETUP RESPONSE message, the procedure is terminated in the eNB.

#### 8.3.1.3 Unsuccessful Operation

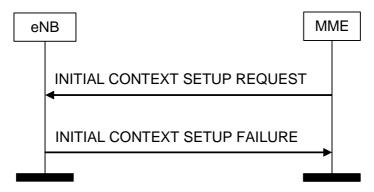


Figure 8.3.1.3-1: Initial Context Setup procedure. Unsuccessful operation.

If the eNB is not able to establish an S1 UE context, or cannot even establish one non GBR bearer it shall consider the procedure as failed and reply with the INITIAL CONTEXT SETUP FAILURE message.

#### 8.3.1.4 Abnormal Conditions

If the eNB receives an INITIAL CONTEXT SETUP REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in [13]), and which does not contain the *GBR QoS Information* IE, the eNB shall consider the establishment of the corresponding E-RAB as failed.

If the eNB receives an INITIAL CONTEXT SETUP REQUEST message containing several *E-RAB ID* IEs (in the *E-RAB to Be Setup List* IE) set to the same value, the eNB shall consider the establishment of the corresponding E-RABs as failed.

If the supported algorithms for encryption defined in the *Encryption Algorithms* IE in the *UE Security Capabilities* IE, plus the mandated support of EEA0 in all Ues [15], do not match any allowed algorithms defined in the configured list of allowed encryption algorithms in the eNB [15], the eNB shall reject the procedure using the INITIAL CONTEXT SETUP FAILURE message.

If the supported algorithms for integrity defined in the *Integrity Protection Algorithms* IE in the *UE Security Capabilities* IE, plus the mandated support of the EIAO algorithm in all Ues [15], do not match any allowed algorithms defined in the configured list of allowed integrity protection algorithms in the eNB [15], the eNB shall reject the procedure using the INITIAL CONTEXT SETUP FAILURE message.

### 8.3.2 UE Context Release Request – eNB initiated

#### 8.3.2.1 General

The purpose of the UE Context Release Request procedure is to enable the eNB to request the MME to release the UE-associated logical S1-connection due to E-UTRAN generated reason (e.g.  $TX2_{RELOCOverall}$  Expiry'). The procedure uses UE-associated signalling.

#### 8.3.2.2 Successful Operation



Figure 8.3.2.2-1: UE Context Release Request procedure. Successful operation.

The eNB controlling a UE-associated logical S1-connection initiates the procedure by generating a UE CONTEXT RELEASE REQUEST message towards the affected MME node.

The UE CONTEXT RELEASE REQUEST message shall indicate the appropriate cause value e.g. 'User Inactivity', 'Radio Connection With UE Lost', 'CSG Subscription Expiry', 'UE Not Available for PS Service', for the requested UE-associated logical S1-connection release.

#### **Interactions with UE Context Release procedure:**

The UE Context Release procedure should be initiated upon reception of a UE CONTEXT RELEASE REQUEST message.

### 8.3.3 UE Context Release (MME initiated)

#### 8.3.3.1 General

The purpose of the UE Context Release procedure is to enable the MME to order the release of the UE-associated logical connection due to various reasons, for example completion of a transaction between the UE and the EPC, or completion of successful handover, or completion of handover cancellation, or release of the old UE-associated logical S1-connection when two UE-associated logical S1-connections toward the same UE is detected after the UE has initiated the establishment of a new UE-associated logical S1-connection, or the UE is no longer allowed to access the CSG cell (i.e. the UE becomes a non-member of the currently used CSG cell). The procedure uses UE-associated S1 connection.

#### 8.3.3.2 Successful Operation

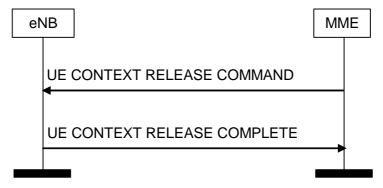


Figure 8.3.3.2-1: UE Context Release procedure. Successful operation.

The MME initiates the procedure by sending the UE CONTEXT RELEASE COMMAND message to the eNB.

The UE CONTEXT RELEASE COMMAND message shall contain the *UE S1AP ID pair* IE if available, otherwise the message shall contain the *MME UE S1AP ID* IE.

The MME provides the *cause* IE set to 'Load Balancing TAU Required' in the UE CONTEXT RELEASE COMMAND message sent to the eNB for all load balancing and offload cases in the MME.

Upon reception of the UE CONTEXT RELEASE COMMAND message, the eNB shall release all related signalling and user data transport resources and reply with the UE CONTEXT RELEASE COMPLETE message.

#### 8.3.3.3 Abnormal Conditions

If the UE Context Release procedure is not initiated towards the eNB before the expiry of the timer  $TS1_{RELOCOverall}$ , the eNB shall request the MME to release the UE context.

If the UE returns to the eNB before the reception of the UE CONTEXT RELEASE COMMAND message or the expiry of the timer TS1<sub>RELOCOverall</sub>, the eNB shall stop the TS1<sub>RELOCOverall</sub> and continue to serve the UE.

#### 8.3.4 UE Context Modification

#### 8.3.4.1 General

The purpose of the UE Context Modification procedure is to modify the established UE Context partly (e.g. with the Security Key or the Subscriber Profile ID for RAT/Frequency priority). The procedure uses UE-associated signalling.

#### 8.3.4.2 Successful Operation

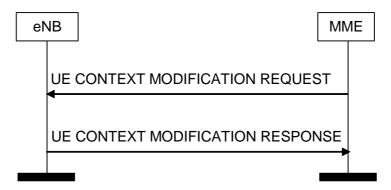


Figure 8.3.4.2-1: UE Context Modification procedure. Successful operation.

The UE CONTEXT MODIFICATION REQUEST message may contain.

- the Security Key IE.
- the Subscriber Profile ID for RAT/Frequency priority IE.
- the *UE Aggregate Maximum Bit Rate* IE.
- the CS Fallback Indicator IE.
- the UE Security Capabilities IE.
- the CSG Membership Status IE.

Upon receipt of the UE CONTEXT MODIFICATION REQUEST message the eNB shall

- store the received *Security Key* IE, take it into use and associate it with the initial value of NCC as defined in [15]
- store the UE Security Capabilities IE and take them into use together with the received keys according to [15].
- store the Subscriber Profile ID for RAT/Frequency priority IE and use it as defined in [14].

If the *UE Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message the eNB shall:

replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context; the eNB shall use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.

If the *CSG Membership Status* IE is received in the UE CONTEXT MODIFICATION REQUEST message, the eNB shall take following action:

- If the cell that serves the UE is a hybrid cell, the eNB shall store the value contained in the *CSG Membership Status* IE and replace any previously stored membership status value by this new one. It shall then use it as defined in [14].
- If the cell that serves the UE is a CSG cell, and the *CSG Membership Status* IE is set to 'not-member', the eNB should initiate actions to ensure that the UE is no longer served by the CSG cell as defined in [14].

If the *UE Aggregate Maximum Bit Rate* IE is not contained in the UE CONTEXT MODIFICATION REQUEST message, the eNB shall use the previously provided UE Aggregate Maximum Bit Rate which is stored in the UE context.

If the *CS Fallback Indicator* IE is included in the UE CONTEXT MODIFICATION REQUEST message, it indicates that the concerned UE Context is subject to CS Fallback. The eNB shall reply with the UE CONTEXT MODIFICATION RESPONSE message and then act as defined in [17].

The eNB shall report, in the UE CONTEXT MODIFICATION RESPONSE message to the MME the successful update of the UE context.

After sending the UE CONTEXT MODIFICATION RESPONSE message, the procedure is terminated in the eNB.

#### 8.3.4.3 Unsuccessful Operation

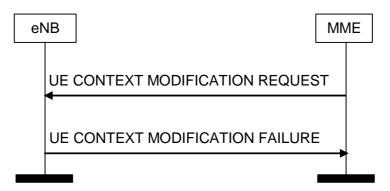


Figure 8.3.4.3-1: UE Context Modification procedure. Unsuccessful operation.

In case the UE context update cannot be performed successfully the eNB shall respond with the UE CONTEXT MODIFICATION FAILURE message to the MME with an appropriate cause value in the *Cause* IE.

# 8.4 Handover Signalling

### 8.4.1 Handover Preparation

#### 8.4.1.1 General

The purpose of the Handover Preparation procedure is to request the preparation of resources at the target side via the EPC. There is only one Handover Preparation procedure ongoing at the same time for a certain UE.

#### 8.4.1.2 Successful Operation

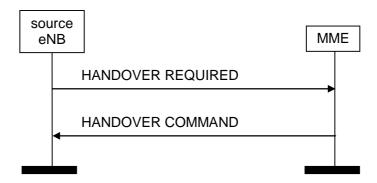


Figure 8.4.1.2-1: Handover preparation: successful operation

The source eNB initiates the handover preparation by sending the HANDOVER REQUIRED message to the serving MME. When the source eNB sends the HANDOVER REQUIRED message, it shall start the timer TS1<sub>RELOCprep</sub>. The source eNB shall indicate the appropriate cause value for the handover in the *Cause* IE.

The source eNB shall include the *Source to Target Transparent Container* IE in the HANDOVER REQUIRED message.

In case of intra-system handover, the container shall be encoded according to the definition of the *Source eNB to Target eNB Transparent Container* IE. In case of handover to UTRAN, the information in the *Source to Target Transparent Container* IE shall be encoded according to the *Source RNC to Target RNC Transparent Container* IE definition as specified in [19] and the source eNB shall include the *UE History Information* IE in the *Source RNC to Target RNC Transparent Container* IE. If the handover is to GERAN A/Gb mode then the *Source to Target Transparent Container* IE shall be encoded according to the definition of the *Source BSS to Target BSS Transparent Container* IE.

When the preparation, including the reservation of resources at the target side is ready, the MME responds with the HANDOVER COMMAND message to the source eNB.

If the *Target to Source Transparent Container* IE has been received by the MME from the handover target then the transparent container shall be included in the HANDOVER COMMAND message.

Upon reception of the HANDOVER COMMAND message the source eNB shall stop the timer  $TS1_{RELOCprep}$  and start the timer  $TS1_{RELOCOverall}$ .

In case of intra-system handover, the information in the *Target to Source Transparent Container* IE shall be encoded according to the definition of the *Target eNB to Source eNB Transparent Container* IE. In case of inter-system handover to UTRAN, the *Target to Source Transparent Container* IE shall be encoded according to the *Target RNC to Source RNC Transparent Container* IE definition as specified in [19]. In case of inter-system handover to GERAN A/Gb mode, the *Target to Source Transparent Container* IE shall be encoded according to the *Target BSS to Source BSS Transparent Container* IE definition as described in [18].

If there are any E-RABs that could not be admitted in the target, they shall be indicated in the *E-RABs to Release List* IE.

If the *DL forwarding* IE is included within the *Source eNB to Target eNB Transparent Container* IE of the HANDOVER REQUIRED message and it is set to 'DL forwarding proposed', it indicates that the source eNB proposes forwarding of downlink data.

If the MME receives the *Direct Forwarding Path Availability* IE in the HANDOVER REQUIRED message indicating that a direct data path is available, it shall handle it as specified in [11].

If the CSG Id IE and no Cell Access Mode IE are received in the HANDOVER REQUIRED message, the MME shall perform the access control according to the CSG Subscription List of that UE and if the access control is successful it shall continue the handover and propagate the CSG Id IE to the target side.

If the CSG Id IE and the Cell Access Mode IE set to 'hybrid' are received in the HANDOVER REQUIRED message, the MME shall provide the membership status of the UE and the CSG Id to the target side.

The source eNB shall include the *SRVCC HO Indication* IE in the HANDOVER REQUIRED message if the SRVCC operation is needed as defined in [9]. The source eNB shall indicate to the MME in the *SRVCC HO Indication* IE if the handover shall be prepared for PS and CS domain or only for CS domain. The *SRVCC HO Indication* IE is set according to the target cell DTM capability and UE DTM capability. In case the target system is GERAN without DTM support or the UE is without DTM support, the source eNB shall indicate 'CS only' in the *SRVCC HO Indication* IE and 'PS service not available' in *PS Service Not Available* IE.. In case the target system is either GERAN with DTM but without DTM HO support and the UE is supporting DTM or the target system is UTRAN without PS HO support, the source eNB shall indicate 'CS only' in the *SRVCC HO Indication* IE. Otherwise, the source eNB shall indicate 'PS and CS' in the *SRVCC HO Indication* IE. In case of inter-system handover from E-UTRAN, the source eNB shall indicate in the *Target ID* IE, in case of inter-system handover to UTRAN, the Target RNC-ID of the RNC, in case of inter-system handover to GERAN A/Gb mode the Cell Global Identity (including the Routing Area Code) of the cell in the target system.

In case the SRVCC operation is performed, *SRVCC HO Indication* IE indicates that handover shall be prepared only for CS domain, the source eNB shall include in the HANDOVER REQUIRED message one *Source to Target Transparent Container* IE and encode the information in the *Source to Target Transparent Container* IE according to the definition of the *Old BSS to New BSS information* IE as specified in [23].

In case the SRVCC operation is performed, *SRVCC HO Indication* IE in the HANDOVER REQUIRED message indicates that handover shall be prepared for PS and CS domain, and if

- the target system is GERAN with DTM HO support, then the source eNB shall include in the HANDOVER REQUIRED message the *Source to Target Transparent Container* IE and the *Source to Target Transparent Container Secondary* IE. The first shall be encoded according to the definition of the *Source BSS to Target BSS Transparent Container* IE as described in [18]. The second shall be encoded according to the definition of the *Old BSS to New BSS information* IE as specified in [23].
- the target system is UTRAN, then the source eNB shall include in the HANDOVER REQUIRED message the Source to Target Transparent Container IE encoded according to the definition of the Source RNC to the Target RNC Transparent Container IE as specified in [19] and the source eNB shall include the UE History Information IE in the Source RNC to Target RNC Transparent Container IE.

In case the SRVCC operation is performed, *SRVCC HO Indication* IE in the HANDOVER REQUIRED message indicates that handover shall be prepared only for CS domain, the corresponding HANDOVER COMMAND message shall contain one *Target to Source Transparent Container* IE that shall be encoded according to the definition of the *Layer 3 Information* IE as specified in [23].

In case the SRVCC operation is performed, *SRVCC HO Indication* IE in the HANDOVER REQUIRED message indicates that handover shall be prepared for PS and CS domain, and if

- the target system is GERAN with DTM HO support, then the corresponding HANDOVER COMMAND message shall contain the *Target to Source Transparent Container* IE and the *Target to Source Transparent Container Secondary* IE. The first IE shall be encoded according to the definition of the *Layer 3 Information* IE as specified in [23]. The second IE shall be encoded according to the definition of the *Target BSS to Source BSS Transparent Container* IE as specified in [18].
- the target system is UTRAN, then the corresponding HANDOVER COMMAND message shall contain *Target to Source Transparent Container* IE encoded according to the definition of the *Target RNC to Source RNC Transparent Container* IE as specified in [19].

If the HANDOVER COMMAND message contains *DL GTP-TEID* IE and *DL Transport Layer Address* IE for a bearer in *E-RABs Subject to Forwarding List* IE then the target eNB accepts the forwarding of downlink data for this bearer, proposed by the source eNB.

If the HANDOVER COMMAND message contains *UL GTP-TEID* IE and *UL Transport Layer Address* IE for a bearer in *E-RABs Subject to Forwarding List* IE then the target eNB requests forwarding of uplink data for this bearer.

#### **Interactions with E-RAB Management procedures:**

If, after a HANDOVER REQUIRED message is sent and before the Handover Preparation procedure is terminated, the source eNB receives an MME initiated E-RAB Management procedure on the same UE associated signaling connection, the source eNB shall either:

cancel the Handover Preparation procedure by executing the Handover Cancel procedure with an appropriate
cause value. After successful completion of the Handover Cancel procedure, the source eNB shall continue the
MME initiated E-RAB Management procedure

or

2. terminate the MME initiated E-RAB Management procedure by sending the appropriate response message with an appropriate cause value e.g. 'S1 intra system Handover Triggered', 'S1 inter system Handover Triggered' to the MME and then the source eNB shall continue with the handover procedure.

## 8.4.1.3 Unsuccessful Operation

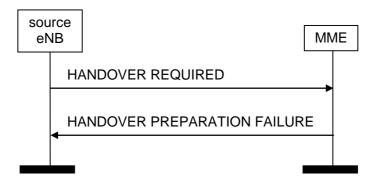


Figure 8.4.1.3-1: Handover preparation: unsuccessful operation

If the EPC or the target system is not able to accept any of the bearers or a failure occurs during the Handover Preparation, the MME sends the HANDOVER PREPARATION FAILURE message with an appropriate cause value to the source eNB.

If the CSG Id IE and no Cell Access Mode IE are received in the HANDOVER REQUIRED message and the access control is unsuccessful the MME shall send the HANDOVER PREPARATION FAILURE message with an appropriate cause value to the source eNB. Upon reception, the source eNB may decide to prevent handover for that UE towards closed access mode cells with corresponding CSG Id.

#### **Interaction with Handover Cancel procedure:**

If there is no response from the EPC to the HANDOVER REQUIRED message before timer TS1<sub>RELOCprep</sub> expires in the source eNB, the source eNB should cancel the Handover Preparation procedure by initiating the Handover Cancel procedure with the appropriate value for the *Cause* IE. The source eNB shall ignore any HANDOVER COMMAND or HANDOVER PREPARATION FAILURE message received after the initiation of the Handover Cancel procedure.

#### 8.4.1.4 Abnormal Conditions

If the eNB receives some E-RAB ID included in the *E-RABs Subject to Forwarding List* IE without any associated DL GTP-TEID and DL Transport Layer Address pair or UL GTP-TEID and UL Transport Layer Address pair, it shall terminate the procedure as a logical error.

### 8.4.2 Handover Resource Allocation

## 8.4.2.1 General

The purpose of the Handover Resource Allocation procedure is to reserve resources at the target eNB for the handover of a UE.

## 8.4.2.2 Successful Operation

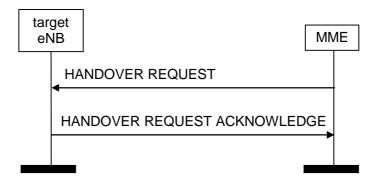


Figure 8.4.2.2-1: Handover resource allocation: successful operation

The MME initiates the procedure by sending the HANDOVER REQUEST message to the target eNB. The HANDOVER REQUEST message may contain the *Handover Restriction List* IE, which contains the serving PLMN and may contain equivalent PLMNs, and roaming area or access restrictions.

If the *Handover Restriction List* IE is contained in the HANDOVER REQUEST message, the target eNB shall store this information in the UE context. This information shall however not be considered whenever one of the handed over E-RABs has some particular ARP values [11].

The target eNB shall use the information in *Handover Restriction List* IE if present in the HANDOVER REQUEST message to determine a target cell for subsequent handover attempts. If the *Handover Restriction List* IE is not contained in the HANDOVER REQUEST message, the target eNB shall consider that no access restriction applies to the UE.

Upon receiption of the HANDOVER REQUEST message the eNB shall store the received *UE Security Capabilities* IE in the UE context and use it to prepare the configuration of the AS security relation with the UE.

If the SRVCC Operation Possible IE is included in the HANDOVER REQUEST message, the target eNB shall store the received SRVCC operation possible in the UE context and, if supported, use it as defined in [9].

Upon reception of the HANDOVER REQUEST message the eNB shall store the received *Security Context* IE in the UE context and the eNB shall use it to derive the security configuration as specified in [15]

If the *Trace activation* IE is included in the HANDOVER REQUEST message, the target eNB shall if supported, initiate the requested trace function as described in [10].

If the CSG Id IE is received in the HANDOVER REQUEST message, the eNB shall compare the received value with the CSG Id broadcast by the target cell.

If the CSG Membership Status IE is received in the HANDOVER REQUEST message and the CSG Membership Status is set to 'member', the eNB may provide the QoS to the UE as for member provided that the CSG Id received in the HANDOVER REQUEST messages corresponds to the CSG Id broadcast by the target cell.

If the CSG Membership Status IE and the CSG Id IE are received in the HANDOVER REQUEST message and the CSG Id does not correspond to the CSG Id broadcast by the target cell, the eNB may provide the QoS to the UE as for a non member and shall send back in the HANDOVER REQUEST ACKNOWLEDGE message the actual CSG Id broadcast by the target cell.

If the Subscriber Profile ID for RAT/Frequency priority IE is contained in the Source eNB to Target eNB Transparent Container IE, the target eNB shall store the received Subscriber Profile ID for RAT/Frequency priority in the UE context and use it as defined in [14].

Upon reception of the *UE History Information* IE, which is included within the *Source eNB to Target eNB Transparent Container* IE in the HANDOVER REQUEST message, the target eNB shall collect the information defined as mandatory in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

After all necessary resources for the admitted E-RABs have been allocated the target eNB generates the HANDOVER REQUEST ACKNOWLEDGE message. The target eNB shall include in the *E-RABs Admitted List* IE the E-RABs for

which resources have been prepared at the target cell. The E-RABs that have not been admitted in the target cell shall be included in the *E-RABs Failed to Setup List* IE.

If the HANDOVER REQUEST message contains the *Data Forwarding Not Possible* IE associated with a given E-RAB within the *E-RABs To Be Setup List* IE, set to 'Data forwarding not possible' then the target eNB may decide not to include the *DL Transport Layer Address* IE and the *DL GTP-TEID* IE and for intra LTE handover the *UL Transport Layer Address* IE and the *UL GTP-TEID* IE within the *E-RABs Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message for that E-RAB.

For each bearer that target eNB has decided to admit and for which *DL forwarding* IE is set to 'DL forwarding proposed', the target eNB may include the *DL GTP-TEID* IE and the *DL Transport Layer Address* IE within the *E-RABs Admitted List* IE of the HANDOVER REQUEST ACKNOWLEDGE message indicating that it accepts the proposed forwarding of downlink data for this bearer.

If the HANDOVER REQUEST ACKNOWLEDGE message contains *UL GTP-TEID* IE and *UL Transport Layer Address* IE for a bearer in *E-RABs Admitted List* IE then the target eNB requests forwarding of uplink data for this bearer.

If the *Request Type* IE is included in the HANDOVER REQUEST message then the target eNB should perform the requested location reporting functionality for the UE as described in section 8.11.

If the *UE Security Capabilities* IE included in the HANDOVER REQUEST message only contains the EIA0 algorithm as defined in [15] and if this EIA0 algorithm is defined in the configured list of allowed integrity protection algorithms in the eNB [15], the eNB shall take it into use and ignore the keys received in the *Security Context* IE.

### 8.4.2.3 Unsuccessful Operation

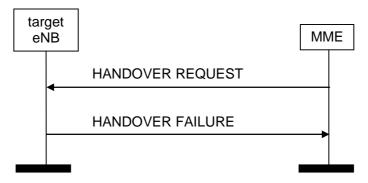


Figure 8.4.2.3-1: Handover resource allocation: unsuccessful operation

If the target eNB does not admit at least one non-GBR E-RAB, or a failure occurs during the Handover Preparation, it shall send the HANDOVER FAILURE message to the MME with an appropriate cause value.

If the target eNB does not receive the *CSG Membership Status* IE but does receive the *CSG Id* IE in the HANDOVER REQUEST message and the CSG Id does not correspond to the CSG Id of the target cell, the eNB shall send the HANDOVER FAILURE message to the MME with an appropriate cause value.

If the target eNB receives a HANDOVER REQUEST message containing *RRC Container* IE that does not include required information as specified in [16], the target eNB shall send the HANDOVER FAILURE message to the MME.

#### 8.4.2.4 Abnormal Conditions

If the eNB receives a HANDOVER REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in [13]), and which does not contain the *GBR QoS Information* IE, the eNB shall not admit the corresponding E-RAB.

If the eNB receives a HANDOVER REQUEST message containing several *E-RAB ID* IEs (in the *E-RABs To Be Setup List* IE) set to the same value, the eNB shall not admit the corresponding E-RABs.

If the Subscriber Profile ID for RAT/Frequency priority IE is not contained in the Source eNB to Target eNB Transparent Container IE whereas available in the source eNB, the target eNB shall trigger a local error handling (Note that it is assumed that the information needed to verify this condition is visible within the system, see section 4.1).

If the supported algorithms for encryption defined in the *Encryption Algorithms* IE in the *UE Security Capabilities* IE, plus the mandated support of EEA0 in all Ues [15], do not match any allowed algorithms defined in the configured list of allowed encryption algorithms in the eNB [15], the eNB shall reject the procedure using the HANDOVER FAILURE message.

If the supported algorithms for integrity defined in the *Integrity Protection Algorithms* IE in the *UE Security Capabilities* IE plus the mandated support of the EIA0 algorithm in all Ues [15], do not match any allowed algorithms defined in the configured list of allowed integrity protection algorithms in the eNB [15], the eNB shall reject the procedure using the HANDOVER FAILURE message.

If the eNB receives a HANDOVER REQUEST message which does not contain the *Handover Restriction List* IE, and the serving PLMN cannot be determined otherwise by the eNB, the eNB shall reject the procedure using the HANDOVER FAILURE message.

If the eNB receives a HANDOVER REQUEST message containing the *Handover Restriction List* IE, and the serving PLMN indicated is not supported by the target cell, the eNB shall reject the procedure using the HANDOVER FAILURE message.

## 8.4.3 Handover Notification

#### 8.4.3.1 General

The purpose of the Handover Notification procedure is to indicate to the MME that the UE has arrived to the target cell and the S1 handover has been successfully completed.

## 8.4.3.2 Successful Operation



Figure 8.4.3.2-1: Handover notification

The target eNB shall send the HANDOVER NOTIFY message to the MME when the UE has been identified in the target cell and the S1 handover has been successfully completed.

#### 8.4.3.3 Abnormal Conditions

## 8.4.4 Path Switch Request

#### 8.4.4.1 General

The purpose of the Path Switch Request procedure is to request the switch of a downlink GTP tunnel towards a new GTP tunnel endpoint.

## 8.4.4.2 Successful Operation

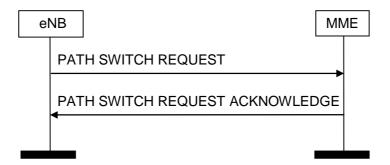


Figure 8.4.4.2-1: Path switch request: successful operation

The eNB initiates the procedure by sending the PATH SWITCH REQUEST message to the MME.

If the *E-RAB To Be Switched in Downlink List* IE in the PATH SWITCH REQUEST message does not include all E-RABs previously included in the UE Context, the MME shall consider the non included E-RABs as implicitly released by the eNB.

After all necessary updates including the UP path switch have been successfully completed in the EPC for at least one of the E-RABs included in the PATH SWITCH REQUEST *E-RAB To Be Switched in Downlink List* IE, the MME shall send the PATH SWITCH REQUEST ACKNOWLEDGE message to the eNB and the procedure ends. The UE-associated logical S1-connection shall be established at reception of the PATH SWITCH REQUEST ACKNOWLEDGE message.

In case the EPC failed to perform the UP path switch for at least one, but not all, of the E-RABs included in the PATH SWITCH REQUEST *E-RAB To Be Switched in Downlink List* IE, the MME shall include the E-RABs it failed to perform UP path switch in the PATH SWITCH REQUEST ACKNOWLEDGE *E-RAB To Be Released List* IE. In this case, the eNB shall release the corresponding data radio bearers, and the eNB shall regard the E-RABs indicated in the *E-RAB To Be Released List* IE as being fully released.

Upon reception of the PATH SWITCH REQUEST ACKNOWLEDGE message the eNB shall store the received *Security Context* IE in the UE context and the eNB shall use it for the next X2 handover or Intra eNB handovers as specified in [15].

The PATH SWITCH REQUEST ACKNOWLEDGE message may contain

- the *UE Aggregate Maximum Bit Rate* IE.

If the *UE Aggregate Maximum Bit Rate* IE is included in the PATH SWITCH REQUEST ACKNOWLEDGE message the eNB shall

- replace the previously provided UE Aggregate Maximum Bit Rate by the received UE Aggregate Maximum Bit Rate in the UE context; the eNB shall use the received UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE.

If the *UE Aggregate Maximum Bit Rate* IE is not contained in the PATH SWITCH REQUEST ACKNOWLEDGE message, the eNB shall use the previously provided UE Aggregate Maximum Bit Rate which is stored in the UE context.

In case the EPC decides to change the uplink termination point of the tunnels it may include the *E-RAB To Be Switched in Uplink List* IE in the PATH SWITCH REQUEST ACKNOWLEDGE message to specify a new uplink transport layer address and uplink GTP-TEID for each respective E-RAB for which it wants to change the uplink tunnel termination point.

When the eNB receives the PATH SWITCH REQUEST ACKNOWLEDGE message and if this message includes the *E-RAB To Be Switched in Uplink List* IE, the eNB shall start delivering the uplink packets of the concerned E-RABs to the new uplink tunnel endpoints as indicated in the message.

## 8.4.4.3 Unsuccessful Operation

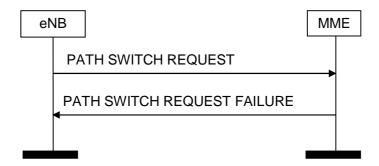


Figure 8.4.4.3-1: Path switch request: unsuccessful operation

If the EPC fails to switch the downlink GTP tunnel endpoint towards a new GTP tunnel endpoint for all E-RAB included in the *E-RAB To Be Switched in Downlink List* IE during the execution of the Path Switch Request procedure, the MME shall send the PATH SWITCH REQUEST FAILURE message to the eNB with an appropriate cause value. In this case, the eNB is expected to decide its subsequent actions and the MME to behave as described in [11].

#### 8.4.4.4 Abnormal Conditions

If the MME receives a PATH SWITCH REQUEST message containing several *E-RAB ID* IEs (in the *E-RAB To Be Switched in Downlink List* IE) set to the same value, the MME shall send the PATH SWITCH REQUEST FAILURE message to the eNB.

## 8.4.5 Handover Cancellation

#### 8.4.5.1 General

The purpose of the Handover Cancel procedure is to enable a source eNB to cancel an ongoing handover preparation or an already prepared handover.

The procedure uses UE-associated signalling.

## 8.4.5.2 Successful Operation

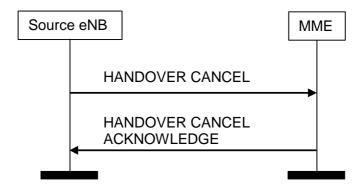


Figure 8.4.5.2-1: Handover Cancel procedure. Successful operation.

The source eNB initiates the procedure by sending a HANDOVER CANCEL message to the EPC.

The HANDOVER CANCEL message shall indicate the reason for cancelling the handover by the appropriate value of the *Cause* IE

Upon reception of a HANDOVER CANCEL message, the EPC shall terminate the ongoing Handover Preparation procedure, release any resources associated with the handover preparation and send a HANDOVER CANCEL ACKNOWLEDGE message to the source eNB.

Transmission and reception of a HANDOVER CANCEL ACKNOWLEDGE message terminate the procedure in the EPC and in the source eNB. After this, the source eNB does not have a prepared handover for that UE-associated logical S1-connection.

## 8.4.5.3 Unsuccessful Operation

Not applicable.

#### 8.4.5.4 Abnormal Conditions

If the source eNB becomes aware of the fact that an expected HANDOVER CANCEL ACKNOWLEDGE message is missing, the source eNB shall consider the Handover Cancellation as successfully terminated.

### 8.4.6 eNB Status Transfer

## 8.4.6.1 General

The purpose of the eNB Status Transfer procedure is to transfer the uplink PDCP-SN and HFN receiver status and the downlink PDCP-SN and HFN transmitter status from the source to the target eNB via the MME during an intra LTE S1 handover for each respective E-RAB for which PDCP-SN and HFN status preservation applies.

### 8.4.6.2 Successful Operation



Figure 8.4.6.2-1: eNB Status Transfer procedure

The source eNB initiates the procedure by stop assigning PDCP SNs to downlink SDUs and sending the eNB STATUS TRANSFER message to the MME at the time point when it considers the transmitter/receiver status to be freezed.

- For each E-RAB for which PDCP-SN and HFN status preservation applies the source eNB shall include the *E-RAB ID* IE, the *UL COUNT value* IE and the *DL COUNT value* IE within the *E-RABs Subject to Status Transfer Item* IE in the *eNB Status Transfer Transparent Container* IE of the eNB STATUS TRANSFER message.

The source eNB may also include in the eNB STATUS TRANSFER message the missing and received uplink SDUs in the *Receive Status Of UL PDCP SDUs* IE for each bearer for which the source eNB has accepted the request from the target eNB for uplink forwarding.

#### 8.4.6.3 Unsuccessful Operation

Not applicable.

### 8.4.6.4 Abnormal Conditions

#### 8.4.7 MME Status Transfer

#### 8.4.7.1 General

The purpose of the MME Status Transfer procedure is to transfer the uplink PDCP-SN and HFN receiver status and the downlink PDCP-SN and HFN transmitter status from the source to the target eNB via the MME during an S1 handover for each respective E-RAB for which PDCP SN and HFN status preservation applies.

## 8.4.7.2 Successful Operation

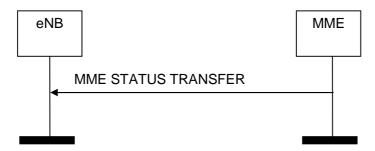


Figure 8.4.7.2-1: MME Status Transfer procedure

The MME initiates the procedure by sending the MME STATUS TRANSFER message to the eNB.

For each bearer within the *E-RABs Subject to Status Transfer List* IE within the *eNB Status Transfer Transparent Container* IE for which the *UL COUNT value* IE is received in the MME STATUS TRANSFER message, the target eNB shall use it and not deliver any uplink packet which has a PDCP SN lower than the value contained in the *PDCP SN* IE of this IE.

For each bearer in *E-RABs Subject to Status Transfer List* IE within the *eNB Status Transfer Transparent Container* IE received in the MME STATUS TRANSFER message, the target eNB shall use *DL COUNT value* IE for the first downlink packet for which there is no PDCP SN yet assigned.

If the *Receive Status Of UL PDCP SDUs* IE is included for at least one bearer in the *eNB Status Transfer Transparent Container* IE of the MME STATUS TRANSFER message, the target eNB may use it in a Status Report message sent to the UE over the radio.

## 8.4.7.3 Unsuccessful Operation

Not applicable.

#### 8.4.7.4 Abnormal Conditions

If the target eNB receives this message for a UE for which no prepared handover exists at the target eNB, the target eNB shall ignore the message.

# 8.5 Paging

## 8.5.1 General

The purpose of the Paging procedure is to enable the MME to page a UE in the specific eNB.

# 8.5.2 Successful Operation

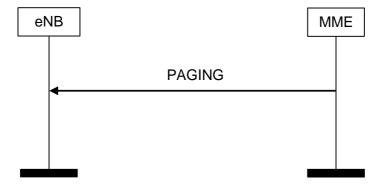


Figure 8.5.2-1: Paging procedure

The MME initiates the paging procedure by sending the PAGING message to the eNB.

At the reception of the PAGING message, the eNB shall perform paging of the UE in cells which belong to tracking areas as indicated in the *List of TAIs* IE.

The CN Domain IE shall be transferred transparently to the UE.

The Paging DRX IE may be included in the PAGING message, and if present the eNB shall use it according to [20].

A list of CSG IDs may be included in the PAGING message.

If included, the EUTRAN may use the list of CSG IDs to avoid paging the UE at CSG cells whose CSG ID does not appear in the list.

For each cell that belongs to any of the TA indicated in the *List of TAIs* IE, the eNB shall generate one page on the radio interface.

## 8.5.3 Unsuccessful Operation

Not applicable.

## 8.5.4 Abnormal Conditions

Not applicable.

# 8.6 NAS transport

## 8.6.1 General

The purpose of the NAS Transport procedure is to carry UE – MME signalling over the S1 Interface. The NAS messages are not interpreted by the eNB, and their content is outside the scope of this specification. The procedure may use an existing UE-associated logical S1-connection. If no UE-associated logical S1-connection exists, the establishment of the UE-associated logical S1-connection is initiated (and may be established) as part of the procedure.

The NAS messages are transported in an IE of the INITIAL UE MESSAGE, DOWNLINK NAS TRANSPORT or UPLINK NAS TRANSPORT messages.

# 8.6.2 Successful Operations

## 8.6.2.1 Initial UE Message

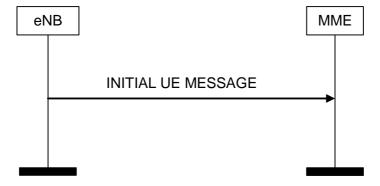


Figure 8.6.2.1-1: Initial UE Message procedure

When the eNB has received from the radio interface the first UL NAS message transmitted on an RRC connection to be forwarded to an MME, the eNB shall invoke the NAS Transport procedure and send the INITIAL UE MESSAGE message to the MME including the NAS message as a *NAS-PDU* IE. The eNB shall allocate a unique eNB UE S1AP ID to be used for the UE and the eNB shall include this identity in the INITIAL UE MESSAGE message. In case of

network sharing, the selected PLMN is indicated by the PLMN ID part of the *TAI* IE included in the INITIAL UE MESSAGE message. When the eNB has received from the radio interface the *S-TMSI* IE, it shall include it in the INITIAL UE MESSAGE message. If the eNB does not support NNSF and the eNB has received from the radio interface the *GUMMEI* IE, the eNB may include it in the INITIAL UE MESSAGE message.

If the establishment of the UE-associated logical S1-connection towards the CN is performed due to an RRC connection establishment originating from a CSG cell, the *CSG Id* IE shall be included in the INITIAL UE MESSAGE message.

If the establishment of the UE-associated logical S1-connection towards the CN is performed due to an RRC connection establishment originating from a Hybrid cell, the *CSG Id* IE and the *Cell Access Mode* IE shall be included in the INITIAL UE MESSAGE message.

NOTE: the first UL NAS message is always received in the RRC CONNECTION SETUP COMPLETE message.

## 8.6.2.2 DOWNLINK NAS TRANSPORT



Figure 8.6.2.2-1: DOWNLINK NAS Transport Procedure

If the MME only needs to send a NAS message transparently via the eNB to the UE and a UE-associated logical S1-connection exists for the UE or if the MME has received the *eNB UE S1AP ID* IE in an INITIAL UE MESSAGE message, the MME shall send a DOWNLINK NAS TRANSPORT message to the eNB including the NAS message as a *NAS-PDU* IE. If the UE-associated logical S1-connection is not established the MME shall allocate a unique MME UE S1AP ID to be used for the UE and include that in the DOWNLINK NAS TRANSPORT message. By the reception of *MME UE S1AP ID* IE in eNB the UE-associated logical S1-connection is established.

The NAS-PDU IE contains an MME – UE message that is transferred without interpretation in the eNB.

The DOWNLINK NAS TRANSPORT message may contain the *Handover Restriction List* IE, which may contain roaming area or access restrictions.

If the *Handover Restriction List* IE is contained in the DOWNLINK NAS TRANSPORT message, the eNB shall store this information in the UE context.

The eNB shall use the information in *Handover Restriction List* IE if present in the DOWNLINK NAS TRANSPORT message to determine a target cell for handover. If the *Handover Restriction List* IE is not contained in the DOWNLINK NAS TRANSPORT message and there is no previously stored Handover restriction information, the eNB shall consider that no access restriction applies to the UE.

#### 8.6.2.3 UPLINK NAS TRANSPORT



Figure 8.6.2.3-1: UPLINK NAS TRANSPORT Procedure

When the eNB has received from the radio interface a NAS message to be forwarded to the MME to which a UE-associated logical S1-connection for the UE exists, the eNB shall send the UPLINK NAS TRANSPORT message to the MME including the NAS message as a *NAS-PDU* IE. The eNB shall include the TAI and ECGI of the current cell in every S1-AP UPLINK NAS TRANSPORT message.

The NAS-PDU IE contains a UE – MME message that is transferred without interpretation in the eNB.

#### 8.6.2.4 NAS NON DELIVERY INDICATION



Figure 8.6.2.4-1: NAS NON DELIVERY INDICATION Procedure

When the eNB decides to not start the delivery of a NAS message that has been received over a UE-associated logical S1-connection or the eNB is unable to ensure that the message has been received by the UE, it shall report the non-delivery of this NAS message by sending a NAS NON DELIVERY INDICATION message to the MME including the non-delivered NAS message within the *NAS-PDU* IE and an appropriate cause value within an appropriate *Cause* IE e.g. 'S1 intra system Handover Triggered', 'S1 inter system Handover Triggered' or 'X2 Handover Triggered'.

# 8.6.3 Unsuccessful Operation

Not applicable

## 8.6.4 Abnormal Conditions

If the S-TMSI is not received by the MME in the INITIAL UE MESSAGE message whereas expected, the MME shall consider the procedure as failed.

# 8.7 Management procedures

## 8.7.1 Reset

#### 8.7.1.1 General

The purpose of the Reset procedure is to initialise or re-initialise the E-UTRAN, or part of E-UTRAN S1AP UE-related contexts, in the event of a failure in the EPC or vice versa. This procedure does not affect the application level configuration data exchanged during the S1 Setup procedure.

The procedure uses non-UE associated signalling.

## 8.7.1.2 Successful Operation

#### 8.7.1.2.1 Reset Procedure Initiated from the MME

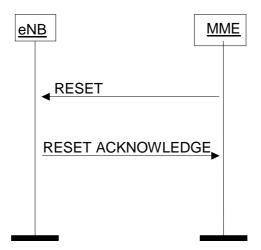


Figure 8.7.1.2.1-1: Reset procedure initiated from the MME. Successful operation.

In the event of a failure at the MME, which has resulted in the loss of some or all transaction reference information, a RESET message shall be sent to the eNB.

At reception of RESET message the eNB shall release all allocated resources on S1 and Uu related to the UE association(s) indicated explicitly or implicitly in the RESET message and remove the indicated UE contexts including S1AP ID.

After the eNB has released all assigned S1 resources and the UE S1AP IDs for all indicated UE associations which can be used for new UE-associated logical S1-connections over the S1 interface, the eNB shall respond with the RESET ACKNOWLEDGE message. The eNB does not need to wait for the release of radio resources to be completed before returning the RESET ACKNOWLEDGE message.

If the RESET message contains the UE-associated logical S1-connection list IE, then:

- The eNB shall use the *MME UE S1AP ID* IE and/or the *eNB UE S1AP ID* IE to explicitly identify the UE association(s) to be reset.
- The eNB shall in the RESET ACKNOWLEDGE message include, for each UE association to be reset, the *UE-associated logical S1-connection Item* IE in the *UE-associated logical S1-connection Item* IEs shall be in the same order as received in the RESET message and shall include also unknown UE-associated logical S1-connections. Empty *UE-associated logical S1-connection Item* IEs, received in the RESET message, may be omitted in the RESET ACKNOWLEDGE message.
- If the *MME UE S1AP ID* IE is included in the *UE-associated logical S1-connection Item* IE for a UE association, the eNB shall include the *MME UE S1AP ID* IE in the corresponding *UE-associated logical S1-connection Item* IE in the RESET ACKNOWLEDGE message.

- If the *eNB UE S1AP ID* IE is included in the *UE-associated logical S1-connection Item* IE for a UE association, the eNB shall include the *eNB UE S1AP ID* IE in the corresponding *UE-associated logical S1-connection Item* IE in the RESET ACKNOWLEDGE message.

#### **Interactions with other procedures:**

If the RESET message is received, any other ongoing procedure (except another Reset procedure) on the same S1 interface related to a UE association, indicated explicitly or implicitly in the RESET message, shall be aborted.

#### 8.7.1.2.2 Reset Procedure Initiated from the E-UTRAN

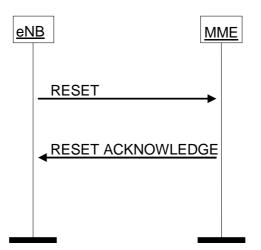


Figure 8.7.1.2.2-1: Reset procedure initiated from the E-UTRAN. Successful operation.

In the event of a failure at the eNB, which has resulted in the loss of some or all transaction reference information, a RESET message shall be sent to the MME.

At reception of RESET message the MME shall release all allocated resources on S1 related to the UE association(s) indicated explicitly or implicitly in the RESET message and remove the S1AP ID for the indicated UE associations.

After the MME has released all assigned S1 resources and the UE S1AP IDs for all indicated UE associations which can be used for new UE-associated logical S1-connections over the S1 interface, the MME shall respond with the RESET ACKNOWLEDGE message.

If the RESET message contains the UE-associated logical S1-connection list IE, then:

- The MME shall use the MME UE S1AP ID IE and/or the eNB UE S1AP ID IE to explicitly identify the UE association(s) to be reset.
- The MME shall in the RESET ACKNOWLEDGE message include, for each UE association to be reset, the *UE-associated logical S1-connection Item* IE in the *UE-associated logical S1-connection Item* IEs shall be in the same order as received in the RESET message and shall include also unknown UE-associated logical S1-connections. Empty *UE-associated logical S1-connection Item* IEs, received in the RESET message, may be omitted in the RESET ACKNOWLEDGE message.
- If the *MME UE S1AP ID* IE is included in the *UE-associated logical S1-connection Item* IE for a UE association, the MME shall include the *MME UE S1AP ID* IE in the corresponding *UE-associated logical S1-connection Item* IE in the RESET ACKNOWLEDGE message.
- If the *eNB UE S1AP ID* IE is included in a *UE-associated logical S1-connection Item* IE for a UE association, the MME shall include the eNB *UE S1AP ID* IE in the corresponding *UE-associated logical S1-connection Item* IE in the RESET ACKNOWLEDGE message.

#### **Interactions with other procedures:**

If the RESET message is received, any other ongoing procedure (except another Reset procedure) on the same S1 interface related to a UE association, indicated explicitly or implicitly in the RESET message, shall be aborted.

#### 8.7.1.3 Abnormal Conditions

#### 8.7.1.3.1 Abnormal Condition at the EPC

If the RESET message includes the *UE-associated logical S1-connection list* IE, but neither the *MME UE S1AP ID* IE nor the *eNB UE S1AP ID* IE is present for a *UE-associated logical S1-connection Item* IE, then the MME shall ignore the *UE-associated logical S1-connection Item* IE. The MME may return the empty *UE-associated logical S1-connection Item* IE in the *UE-associated logical S1-connection list* IE in the RESET ACKNOWLEDGE message.

#### 8.7.1.3.2 Abnormal Condition at the E-UTRAN

If the RESET message includes the *UE-associated logical S1-connection list* IE, but neither the *MME UE S1AP ID* IE nor the *eNB UE S1AP ID* IE is present for a *UE-associated logical S1-connection Item* IE, then the eNB shall ignore the *UE-associated logical S1-connection Item* IE. The eNB may return the empty *UE-associated logical S1-connection Item* IE in the *UE-associated logical S1-connection list* IE in the RESET ACKNOWLEDGE message.

### 8.7.1.3.3 Crossing of Reset Messages

If Reset procedure is ongoing in eNB and the eNB receives a RESET message from the peer entity on the same S1 interface related to one or several UE associations previously requested to be reset, indicated explicitly or implicitly in the received RESET message, the eNB shall respond with RESET ACKNOWLEDGE message as described in 8.7.1.2.1.

If Reset procedure is ongoing in MME and the MME receives a RESET message from the peer entity on the same S1 interface related to one or several UE associations previously requested to be reset, indicated explicitly or implicitly in the received RESET message, the MME shall respond with RESET ACKNOWLEDGE message as described in 8.7.1.2.2.

## 8.7.2 Error Indication

#### 8.7.2.1 General

The Error Indication procedure is initiated by a node to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

If the error situation arises due to reception of a message utilising UE associated signalling, then the Error Indication procedure uses UE associated signalling. Otherwise the procedure uses non-UE associated signalling.

#### 8.7.2.2 Successful Operation



Figure 8.7.2.2-1: Error Indication procedure, MME originated. Successful operation.



Figure 8.7.2.2-2: Error Indication procedure, eNB originated. Successful operation.

When the conditions defined in clause 10 are fulfilled, the Error Indication procedure is initiated by an ERROR INDICATION message sent from the receiving node.

The ERROR INDICATION message shall contain at least either the Cause IE or the Criticality Diagnostics IE.

In case the Error Indication procedure is triggered by utilising UE associated signalling the *MME UE S1AP ID* IE and the *eNB UE S1AP* IE shall be included in the ERROR INDICATION message. If one or both of *MME UE S1AP ID* IE and the *eNB UE S1AP* IE are not correct, the cause shall be set to appropriate value e.g. 'Unknown MME UE S1AP ID', 'Unknown eNB UE S1AP' or 'Unknown pair of UE S1AP ID'.

#### 8.7.2.3 Abnormal Conditions

Not applicable.

## 8.7.3 S1 Setup

#### 8.7.3.1 General

The purpose of the S1 Setup procedure is to exchange application level data needed for the eNB and MME to interoperate correctly on the S1 interface. This procedure shall be the first S1AP procedure triggered after the TNL association has become operational. The procedure uses non-UE associated signalling.

This procedure erases any existing application level configuration data in the two nodes and replaces it by the one received. This procedure also re-initialises the E-UTRAN S1AP UE-related contexts (if any) and erases all related signalling connections in the two nodes like a Reset procedure would do, and clears MME overload state information at the eNB. If the eNB initiating the S1 Setup procedure supports a CSG cell, the procedure shall report the CSG ID(s) of the supported CSGs.

## 8.7.3.2 Successful Operation

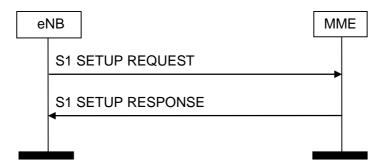


Figure 8.7.3.2-1: S1 Setup procedure: Successful Operation.

The eNB initiates the procedure by sending a S1 SETUP REQUEST message including the appropriate data to the MME. The MME responds with a S1 SETUP RESPONSE message including the appropriate data.

The exchanged data shall be stored in respective node and used for the duration of the TNL association. When this procedure is finished S1 interface is operational and other S1 messages can be exchanged.

If the eNB initiating the S1 SETUP procedure supports one (or more) CSG cell(s), the S1 SETUP REQUEST message shall contain the CSG ID(s) of the supported CSG(s).

If the S1 SETUP REQUEST message contains the *eNB Name* IE the MME may use this IE as a human readable name of the eNB.

If the S1 SETUP RESPONSE message contains the *MME Name* IE the eNB may use this IE as a human readable name of the MME.

## 8.7.3.3 Unsuccessful Operation

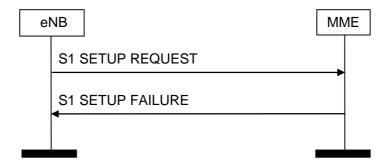


Figure 8.7.3.3-1: S1 Setup procedure: Unsuccessful Operation.

If the MME can not accept the setup it should respond with a S1 SETUP FAILURE and appropriate cause value.

If the S1 SETUP FAILURE message includes the *Time To Wait* IE the eNB shall wait at least for the indicated time before reinitiating the S1 setup towards the same MME.

#### 8.7.3.4 Abnormal Conditions

If the eNB initiates the procedure by sending a S1 SETUP REQUEST message including the *PLMN Identity* IEs and none of the PLMNs provided by the eNB is identified by the MME, then the MME shall reject the eNB S1 Setup Request procedure with the appropriate cause value e.g 'Unknown PLMN'.

# 8.7.4 eNB Configuration Update

### 8.7.4.1 General

The purpose of the eNB Configuration Update procedure is to update application level configuration data needed for the eNB and MME to interoperate correctly on the S1 interface. This procedure does not affect existing UE-related contexts, if any.

## 8.7.4.2 Successful Operation

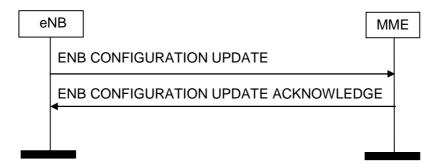


Figure 8.7.4.2-1: ENB Configuration Update procedure: Successful Operation.

The eNB initiates the procedure by sending an ENB CONFIGURATION UPDATE message to the MME including an appropriate set of updated configuration data that it has just taken into operational use. The MME responds with ENB CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If information element(s) is/are not included in the ENB CONFIGURATION UPDATE message, the MME shall interpret that the corresponding configuration data is/are not changed and shall continue to operate the S1 with the existing related configuration data.

If the supported TA(s) is(are) to be updated, the whole list of supported Tas including those that are not to be updated shall be included in the *Supported Tas* IE. The MME shall overwrite the whole list of Tas.

If the supported CSG ID(s) is(are) to be updated, the whole list of supported CSG IDs including those that are not to be updated shall be included in the CSG Id List IE. The MME shall overwrite the whole list of CSG Ids.

If the ENB CONFIGURATION UPDATE message contains the *eNB Name* IE the MME may use this IE as a human readable name of the eNB.

If the *Default Paging DRX* IE is included, the MME shall overwrite any previously stored default paging DRX value for the eNB.

The updated configuration data shall be stored in both eNB and MME and used for the duration of the TNL association or until any further update is triggered by the eNB.

The eNB may initiate a further eNB Configuration Update procedure only after a previous eNB Configuration Update procedure has been completed.

## 8.7.4.3 Unsuccessful Operation

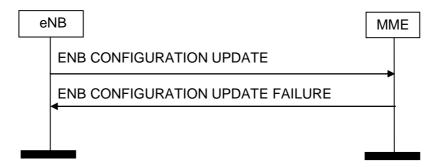


Figure 8.7.4.3-1: ENB Configuration Update procedure: Unsuccessful Operation.

If the MME can not accept the update it shall respond with an ENB CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the ENB CONFIGURATION UPDATE FAILURE messages includes the *Time To Wait* IE the eNB shall wait at least for the indicated time before reinitiating the ENB Configuration Update procedure towards the same MME. Both nodes shall continue to operate the S1 with their respective configuration data.

#### 8.7.4.4 Abnormal Conditions

If the eNB after initiating eNB Configuration Update procedure receives neither an ENB CONFIGURATION UPDATE ACKOWLEDGE nor an ENB CONFIGURATION UPDATE FAILURE message, the eNB may reinitiate a further eNB Configuration Update procedure towards the same MME provided that the content of the new ENB CONFIGURATION UPDATE message is identical to the content of the previously unacknowledged ENB CONFIGURATION UPDATE message.

## 8.7.5 MME Configuration Update

## 8.7.5.1 General

The purpose of the MME Configuration Update procedure is to update application level configuration data needed for the eNB and MME to interoperate correctly on the S1 interface. This procedure does not affect existing UE-related contexts, if any.

## 8.7.5.2 Successful Operation

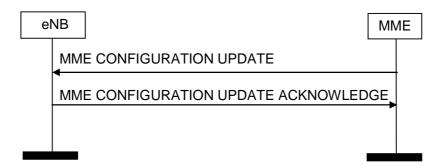


Figure 8.7.5.2-1: MME Configuration Update procedure: Successful Operation.

The MME initiates the procedure by sending an MME CONFIGURATION UPDATE message including the appropriate updated configuration data to the eNB. The eNB responds with an MME CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If information element(s) is/are not included in the MME CONFIGURATION UPDATE message, the eNB shall interpret that the corresponding configuration data is/are not changed and shall continue to operate the S1 with the existing related configuration data.

If the served PLMNs is(are) to be updated, the eNB shall overwrite the whole list of PLMNs.

If the MME CONFIGURATION UPDATE message contains the *MME Name* IE the eNB may use this IE as a human readable name of the MME.

The updated configuration data shall be stored in respective node and used for the duration of the TNL association or until any further update is performed from the MME.

The MME may initiate a further MME Configuration Update procedure only after a previous MME Configuration Update procedure has been completed.

## 8.7.5.3 Unsuccessful Operation

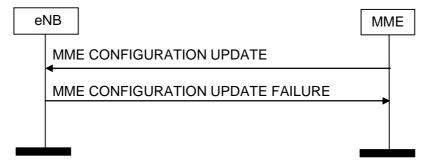


Figure 8.7.5.3-1: MME Configuration Update: Unsuccessful Operation.

If the eNB can not accept the update it shall respond with an MME CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the MME CONFIGURATION UPDATE FAILURE message includes the *Time To Wait* IE the MME shall wait at least for the indicated time before reinitiating the MME Configuration Update procedure towards the same eNB. Both nodes shall continue to operate the S1 with the existing configuration data.

## 8.7.5.4 Abnormal Conditions

If the MME neither receives an MME CONFIGURATION UPDATE ACKOWLEDGE nor an MME CONFIGURATION UPDATE FAILURE message, the MME may reinitiate MME Configuration Update procedure towards the same eNB provided that the content of the new MME CONFIGURATION UPDATE message is identical to the content of the previously unacknowledged MME CONFIGURATION UPDATE message.

## 8.7.6 Overload Start

#### 8.7.6.1 General

The purpose of the Overload Start procedure is to inform an eNB to reduce the signalling load towards the concerned MME.

The procedure uses non-UE associated signalling.

## 8.7.6.2 Successful Operation



Figure 8.7.6.2-1: Overload Start procedure

The eNB receiving the OVERLOAD START message shall assume the MME from which it receives the message as being in an overloaded state.

If the Overload Action IE in the OVERLOAD START message is set to

- 'reject all RRC connection establishments for non-emergency mobile originated data transfer ' (i.e. reject traffic corresponding to RRC cause 'mo-data '[16]), or
- 'reject all RRC connection establishments for signalling ' (i.e. reject traffic corresponding to RRC cause 'mo-data' and 'mo-signalling'[16]),or
- 'only permit RRC connection establishments for emergency sessions and mobile terminated services' (i.e. only permit traffic corresponding to RRC cause 'emergency' and 'mt-Access' [16]).

The eNB shall ensure that only signalling traffic corresponding to permitted RRC connections is sent to the MME.

## 8.7.6.3 Unsuccessful Operation

Not applicable.

## 8.7.7 Overload Stop

#### 8.7.7.1 General

The purpose of the Overload Stop procedure is to signal to an eNB the MME is connected to that the overload situation at the MME has ended and normal operation shall resume.

The procedure uses non-UE associated signalling.

## 8.7.7.2 Successful Operation



Figure 8.7.7.2.-1: Overload Stop procedure

The eNB receiving the OVERLOAD STOP message shall assume that the overload situation at the MME from which it receives the message has ended and shall resume normal operation towards this MME.

## 8.7.7.3 Unsuccessful Operation

Not applicable.

# 8.8 S1 CDMA2000 Tunneling Procedures

## 8.8.1 General

The purpose of S1 CDMA2000 Tunneling procedures is to carry CDMA2000 signalling between UE and CDMA2000 RAT over the S1 Interface. This includes signalling for pre-registration of UE with CDMA2000 HRPD network, signalling for handover preparation for handover from E-UTRAN to CDMA2000 HRPD/1xRTT and pre-registration and paging of UE with CDMA2000 1xRTT CS system. The CDMA2000 messages are not interpreted by the eNB, and their content is outside the scope of this specification, however, additional information may be sent along with the tunnelled CDMA2000 message to assist the eNB and MME in the tunneling procedure. These procedures use an established UE-associated logical S1-connection.

The CDMA2000 messages are transported in an IE of the DOWNLINK S1 CDMA2000 TUNNELING or UPLINK S1 CDMA2000 TUNNELING messages.

# 8.8.2 Successful Operations

## 8.8.2.1 Downlink S1 CDMA2000 Tunneling

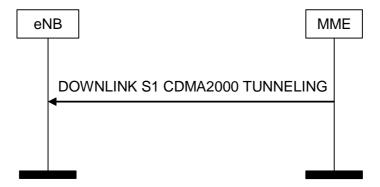


Figure 8.8.2.1-1: Downlink S1 CDMA2000 Tunneling Procedure

If a CDMA2000 message shall be sent from the MME to the UE and a UE-associated logical S1-connection exists for the UE the MME should send a DOWNLINK S1 CDMA2000 TUNNELING message to the eNB including the

CDMA2000 message in the *CDMA2000-PDU* IE. The eNB forwards the received *CDMA2000-PDU* IE to the UE along with an indication of the RAT Type associated with the *CDMA2000-PDU* IE based on the *CDMA2000 RAT Type* IE.

If the MME receives handover status information along with the tunnelled downlink CDMA2000 message the MME should include the handover status information in *CDMA2000 HO Status* IE in the DOWNLINK S1 CDMA2000 TUNNELING message.

If the DOWNLINK S1 CDMA2000 TUNNELING message contains the *E-RABs Subject to Forwarding List* IE it indicates that DL forwarding is available for the indicated E-RABs towards the tunnel endpoint identified by the *DL GTP-TEID* IE for those E-RABs.

Editor"s Note: The DL data forwarding behaviour of the eNB for handover to CDMA2000 HRPD/1xRTT should be aligned to the DL data forwarding behaviour of eNB for 3GPP inter-RAT handover.

## 8.8.2.2 Uplink S1 CDMA2000 Tunneling

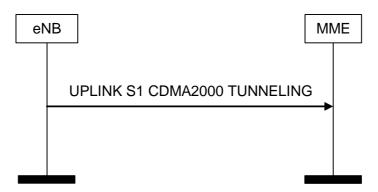


Figure 8.8.2.2-1: Uplink S1 CDMA2000 Tunneling Procedure

When the eNB has received from the radio interface a CDMA2000 message to be forwarded to the MME to which a UE-associated logical S1-connection for the UE exists, the eNB shall send the UPLINK S1 CDMA2000 TUNNELING message to the MME including the CDMA2000 message in the *CDMA2000-PDU* IE.

If the MME receives the *CDMA2000 HO Required Indication* IE set to 'true' in UPLINK S1 CDMA2000 TUNNELING message the MME should send the necessary handover preparation information to the CDMA2000 target RAT.

If the MME receives any of the *CDMA2000 1xRTT SRVCC Info* IE, or the *CDMA2000 1xRTT RAND* IE in the UPLINK S1 CDMA2000 TUNNELING message the MME shall forward the received information to the CDMA2000 1xRTT RAT.

If the MME receives the *EUTRAN Round Trip Delay Estimation Info* IE in the UPLINK S1 CDMA2000 TUNNELING message the MME shall forward the received information to the target HRPD access. The MME shall forward the received *CDMA2000 Sector ID* IE and *CDMA2000-PDU* IE to the proper destination node in the CDMA2000 RAT.

#### **Interactions with E-RAB Management procedures:**

If, after an UPLINK S1 CDMA2000 TUNNELING message with *CDMA2000 HO Required Indication* IE set to 'true' is sent but before the DOWNLINK S1 CDMA2000 TUNNELING message with *CDMA2000 HO Status* IE is received, the source eNB receives an MME initiated E-RAB Management procedure on the same UE associated signaling connection, the source eNB shall terminate the MME initiated E-RAB Management procedure by sending the appropriate response message with an appropriate cause value e.g. 'S1 inter system Handover Triggered' to the MME.

# 8.8.3 Unsuccessful Operation

Not applicable

## 8.8.4 Abnormal Conditions

If the eNB receives at least one E-RAB ID included in the *E-RABs Subject to Forwarding Items* IE without any associated DL GTP-TEID and DL Transport Layer Address pair in the DOWNLINK S1 CDMA2000 TUNNELING message, it shall terminate the procedure as a logical error.

The eNB shall ignore the UL GTP-TEID IE and/or UL Transport Layer Address IE in the *E-RABs Subject to Forwarding Items* IE, when the IEs are included in the DOWNLINK S1 CDMA2000 TUNNELING message.

# 8.9 UE Capability Info Indication

## 8.9.1 General

The purpose of the UE Capability Info Indication procedure is to enable the eNB to provide to the MME UE capability-related information.

## 8.9.2 Successful Operation

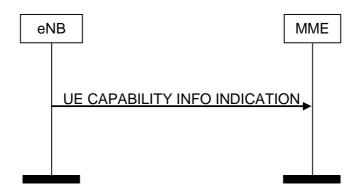


Figure 8.9.2-1: UE Capability Info Indication procedure. Successful operation.

The eNB controlling a UE-associated logical S1-connection initiates the procedure by sending a UE CAPABILITY INFO INDICATION message to the MME including the UE capability information. The UE capability information received by the MME shall replace any previously stored UE capability information in the MME for the UE.

## 8.10 Trace Procedures

## 8.10.1 Trace Start

#### 8.10.1.1 General

The purpose of the Trace Start procedure is to allow the MME to request the eNB to start a trace session for a UE in ECM\_CONNECTED mode. The procedure uses UE-associated signalling. If no UE-associated logical S1-connection exists, the UE-associated logical S1-connection shall be established as part of the procedure.

## 8.10.1.2 Successful Operation



Figure 8.10.1.2-1: Trace Start procedure.

The MME initiates the procedure by sending a TRACE START message. On receipt of a TRACE START message, the eNB shall initiate the requested trace function as described in [10].

#### Interactions with other procedures:

If the eNB is not able to initiate the trace session due to ongoing handover of the UE to another eNB, the eNB shall initiate a Trace Failure Indication procedure with appropriate cause value.

## 8.10.2 Trace Failure Indication

#### 8.10.2.1 General

The purpose of the Trace Failure Indication procedure is to allow the eNB to inform the MME that a Trace Start procedure or a Deactivate Trace procedure has failed due to an interaction with a handover procedure. The procedure uses UE-associated signalling.

### 8.10.2.2 Successful Operation



Figure 8.10.2.2-1: Trace Failure Indication procedure.

The eNB initiates the procedure by sending a TRACE FAILURE INDICATION message. Upon reception of the TRACE FAILURE INDICATION message, the MME shall take appropriate action based on the failure reason indicated by the *Cause* IE.

## 8.10.3 Deactivate Trace

#### 8.10.3.1 General

The purpose of the Deactivate Trace procedure is to allow the MME to request the eNB to stop the trace session, for the indicated trace reference.

## 8.10.3.2 Successful Operation

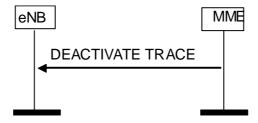


Figure 8.10.3.2-1: Deactivate Trace procedure. Successful operation.

The MME invokes the Deactivate Trace procedure by sending a DEACTIVATE TRACE message to the eNB as described in [10].

Upon reception of this message, the eNB shall stop the trace session for the indicated trace reference in the *E-UTRAN Trace ID* IE.

### Interactions with other procedures:

If the eNB is not able to stop the trace session due to ongoing handover of the UE to another eNB, the eNB shall initiate a Trace Failure Indication procedure with appropriate cause value.

## 8.10.4 Cell Traffic Trace

#### 8.10.4.1 General

The purpose of the Cell Traffic Trace procedure is to send the allocated Trace Recording Session Reference and the Trace Reference to MME. The procedure uses UE-associated signalling.

## 8.10.4.2 Successful Operation



Figure 8.10.4.2-1: Cell Traffic Trace procedure. Successful operation.

The procedure is initiated with a CELL TRAFFIC TRACE message sent from the eNB to the MME.

# 8.11 Location Reporting Procedures

## 8.11.1 Location Reporting Control

## 8.11.1.1 General

The purpose of Location Reporting Control procedure is to allow the MME to request the eNB to report where the UE is currently located. The procedure uses UE-associated signalling.

## 8.11.1.2 Successful Operation



Figure 8.11.1.2-1: Location Reporting Control procedure. Successful operation.

The MME initiates the procedure by sending a LOCATION REPORTING CONTROL message. On receipt of a LOCATION REPORTING CONTROL message the eNB shall perform the requested location reporting control action for the UE.

The Request Type IE indicates to the eNB whether:

- to report directly;
- to report upon change of serving cell, or
- to stop reporting at change of serving cell.

If reporting upon change of serving cell is requested, the eNB shall report whenever the UE changes its serving cell to another cell belonging to the eNB.

The *Request Type* IE also indicates what type of location information the eNB shall report. The location information is E-UTRAN CGI and TAI.

## 8.11.1.3 Abnormal Conditions

Not applicable.

## 8.11.2 Location Report Failure Indication

#### 8.11.2.1 General

The Location Report Failure Indication procedure is initiated by an eNB in order to inform the MME that a Location Reporting Control procedure has failed. The procedure uses UE-associated signalling.

## 8.11.2.2 Successful Operation



Figure 8.11.2.2-1: Location Report Failure Indication procedure.

Upon reception of the LOCATION REPORT FAILRE INDICATION message the MME shall based on the failure reason indicated by the *Cause* IE take appropriate action.

## 8.11.3 Location Report

### 8.11.3.1 General

The purpose of Location Report procedure is to provide the UE"s current location to the MME. The procedure uses UE-associated signalling.

## 8.11.3.2 Successful Operation



Figure 8.11.3.2-1: Location Report procedure. Successful operation.

The eNB initiates the procedure by generating a LOCATION REPORT message. The LOCATION REPORT message may be used as a response to a LOCATION REPORTING CONTROL message.

In case reporting at change of serving cell has been requested, the eNB shall send a LOCATION REPORT message whenever the information given to the EPC in any S1AP message is not anymore valid.

#### 8.11.3.3 Abnormal Conditions

Not applicable.

# 8.12 Warning Message Transmission Procedures

# 8.12.1 Write-Replace Warning

#### 8.12.1.1 General

The purpose of Write-Replace Warning procedure is to start or overwrite the broadcasting of warning message.

The procedure uses non UE-associated signalling.

## 8.12.1.2 Successful Operation

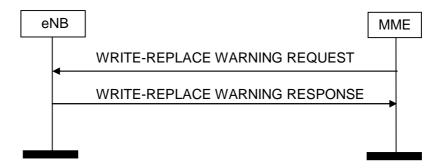


Figure 8.12.1.2-1: Write-Replace Warning procedure. Successful operation.

The MME initiates the procedure by sending a WRITE-REPLACE WARNING REQUEST message to the eNB.

Upon receipt of the WRITE-REPLACE WARNING REQUEST, eNB shall prioritise its resources to process the warning message.

If, in a certain area, broadcast of a warning message is already ongoing and the eNB receives a WRITE-REPLACE WARNING REQUEST message with *Message Identifier* IE and/or *Serial Number* IE which are different from those in the warning message being broadcast, and if the *Concurrent Warning Message Indicator* IE is not present, the eNB shall replace the warning message being broadcast with the newly received one for that area.

If the eNB receives a WRITE-REPLACE WARNING REQUEST message with a warning message identified by the *Message Identifier* IE and *Serial Number* IE and if there are no prior warning messages being broadcast in any of warning areas indicated in the *Warning Area List* IE, the eNB shall broadcast the received warning message for those area(s).

If, in a certain area, broadcast of one or more warning messages are already ongoing and the eNB receives a WRITE-REPLACE WARNING REQUEST message with a *Message Identifier* IE and/or *Serial Number* IE which are different from those in any of the warning messages being broadcast, and if the *Concurrent Warning Message Indictor* IE is present, the eNB shall schedule the received warning message for broadcast, for that area.

If the *Concurrent Warning Message Indicator* IE is present and if a value '0' is received in the *Number of Broadcast Requested* IE, the eNB shall broadcast the received warning message indefinitely until requested otherwise to stop broadcasting, except if the *Repetition Period* IE is set to '0'.

If the eNB receives two or more WRITE-REPLACE WARNING REQUEST messages with the same *Message Identifier* IE and *Serial Number* IE, the eNB shall broadcast only one of the warning messages.

If Warning Area List IE is not included in the WRITE-REPLACE WARNING REQUEST message, the eNB shall broadcast the indicated message in all of the cells within the eNB.

If *Warning Type* IE is included in WRITE-REPLACE WARNING REQUEST message, the eNB shall broadcast the Primary Notification irrespective of the setting of the *Repetition Period* IE and the *Number of Broadcasts Requested* IE, and process the Primary Notification according to [16].

If the *Warning Security Information* IE is included in the WRITE-REPLACE WARNING REQUEST message, the eNB shall send this IE together with the *Warning Type* IE in the Primary Notification.

If the *Data Coding Scheme* IE and the *Warning Message Contents* IE are both included in the WRITE-REPLACE WARNING REQUEST message, the eNB shall schedule a broadcast of the warning message according to the value of the *Repetition Period* IE and *Number of Broadcasts Requested* IE and process the warning message according to [16].

The eNB acknowledges the WRITE-REPLACE WARNING REQUEST message by sending a WRITE-REPLACE WARNING RESPONSE message to the MME.

If the *Broadcast Completed Area List* IE is not included in the WRITE-REPLACE WARNING RESPONSE message, the MME shall consider that the broadcast is unsuccessful in all the cells within the eNB.

If the *Extended Repetition Period* IE is included in the WRITE-REPLACE WARNING REQUEST message, the eNB shall ignore the value in the *Repetition Period* IE.

#### 8.12.1.3 Abnormal Conditions

If the *Concurrent Warning Message Indicator* IE is not present, and if a value '0' is received in the *Number of Broadcast Requested* IE, the eNB shall not broadcast the received warning message.

If a value '0' is received in the *Repetition Period* IE, the eNB shall not broadcast the received warning message except if the *Number of Broadcast Requested* IE is set to '1'.

### 8.12.2 Kill

#### 8.12.2.1 General

The purpose of Kill procedure is to cancel an already ongoing broadcast of a warning message.

The procedure uses non UE-associated signalling.

## 8.12.2.2 Successful Operation

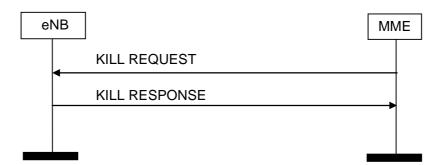


Figure 8.12.2.2-1: Kill procedure. Successful operation.

The MME initiates the procedure by sending a KILL REQUEST message to the eNB.

If, in a certain area, broadcast of one or more warning messages are already ongoing and the eNB receives a KILL REQUEST message with the same *Message Identifier* and *Serial Number* IE from those in any of the warning messages being broadcast the eNB shall stop broadcasting and discard the warning message, for that area.

If Warning Area List IE is not included in the KILL REQUEST message, the eNB shall stop broadcasting and discard the warning message identified by the Message Identifier IE and Serial Number IE in all of the cells in the eNB.

The eNB shall acknowledge the KILL REQUEST message by sending the KILL RESPONSE message, with the *Message Identifier* IE and *Serial Number* IE copied from the KILL REQUEST message and include the *Broadcast Cancelled Area List* IE.

If an area included in the *Warning Area List* IE does not appear in the *Broadcast Cancelled Area List* IE, the MME shall consider that the eNB had no ongoing broadcast to stop for the same *Message Identifier* and *Serial Number* in that area.

If the Broadcast Cancelled Area List IE is not included in the KILL RESPONSE message, the MME shall consider that the eNB had no ongoing broadcast to stop for the same *Message Identifier* and *Serial Number*.

## 8.13 eNB Direct Information Transfer

## 8.13.1 General

The purpose of the eNB Direct Information Transfer procedure is to transfer RAN information from the eNB to the MME in unacknowledged mode. The MME does not interpret the transferred RAN information.

This procedure uses non-UE associated signalling.

## 8.13.2 Successful Operation

## 8.13.2.1 eNB Direct Information Transfer



Figure 8.13.1.2-1: ENB Direct Information Transfer procedure. Successful operation.

The procedure is initiated with an ENB DIRECT INFORMATION TRANSFER message sent from the eNB to the MME.

The RIM Transfer IE shall contain RIM Routing Address IE that identifies the final RAN destination node where the RIM information needs to be transferred by the core network.

## 8.13.3 Abnormal Conditions

Not applicable.

# 8.14 MME Direct Information Transfer

## 8.14.1 General

The purpose of the MME Direct Information Transfer procedure is to transfer RAN information from the MME to the eNB in unacknowledged mode.

This procedure uses non-UE associated signalling.

## 8.14.2 Successful Operation

#### 8.14.2.1 MME Direct Information Transfer



Figure 8.14.1.2-1: MME Direct Information Transfer procedure. Successful operation.

The procedure is initiated with a DIRECT INFORMATION TRANSFER message sent from the MME to the eNB.

The *Inter-system Information Transfer Type* IE indicates the nature of the transferred information. When the transferred information is of RIM nature, the *RIM Information* IE within the *RIM Transfer* IE shall contain a BSSGP RIM PDU. The *RIM Routing Address* IE shall not be present since the eNB is the final destination node.

### 8.14.3 Abnormal Conditions

Not applicable.

# 8.15 eNB Configuration Transfer

## 8.15.1 General

The purpose of the eNB Configuration Transfer procedure is to transfer RAN configuration information from the eNB to the MME in unacknowledged mode. The MME does not interpret the transferred RAN configuration information.

This procedure uses non-UE associated signalling.

# 8.15.2 Successful Operation

## 8.15.2.1 eNB Configuration Transfer



Figure 8.15.2.1-1: eNB Configuration Transfer procedure. Successful operation.

The procedure is initiated with an ENB CONFIGURATION TRANSFER message sent from the eNB to the MME.

If the MME receives the SON Configuration Transfer IE it shall transparently transfer the SON Configuration Transfer IE towards the eNB indicated in the Target eNB-ID IE which is included in the SON Configuration Transfer IE.

## 8.15.3 Abnormal Conditions

Not applicable.

# 8.16 MME Configuration Transfer

## 8.16.1 General

The purpose of the MME Configuration Transfer procedure is to transfer RAN configuration information from the MME to the eNB in unacknowledged mode.

This procedure uses non-UE associated signalling.

## 8.16.2 Successful Operation

## 8.16.2.1 MME Configuration Transfer



Figure 8.16.2.1-1: MME Configuration Transfer procedure. Successful operation.

The procedure is initiated with an MME CONFIGURATION TRANSFER message sent from the MME to the eNB.

If the eNB receives the *SON Information* IE containing the *SON Information Request* IE, it may transfer back the requested information towards the eNB indicated in *the Source eNB-ID* IE of the *SON Configuration Transfer* IE by initiating the eNB Configuration Transfer procedure.

If the eNB receives the *SON Information* IE containing the *SON Information Reply* IE containing the *X2 TNL Configuration Info* IE as an answer to a former request, it may use it to initiate the X2 TNL establishment.

If the eNB receives the *SON Information* IE containing the *SON Information Reply* IE containing the *Synchronization Info* IE as an answer to a former request, it may use it for over-the-air synchronization using network listening.

#### 8.16.3 Abnormal Conditions

Not applicable.

# 8.17 LPPa transport

## 8.17.1 General

The purpose of the LPPa Transport procedure is to carry LPPa signalling between eNB and E-SMLC over the S1 Interface. The procedure may use UE-associated signalling or non-UE associated signalling. The UE-associated signalling is used to support E-CID positioning of a specific UE. The non-UE associated signalling is used to obtain assistance data from an eNodeB to support OTDOA positioning for any UE.

# 8.17.2 Successful Operations

## 8.17.2.1 DOWNLINK UE ASSOCIATED LPPA TRANSPORT



Figure 8.17.2.1-1: DOWNLINK UE ASSOCIATED LPPA Transport Procedure

The MME initiates the procedure by sending the DOWNLINK UE ASSOCIATED LPPA TRANSPORT message to eNB.

## 8.17.2.2 UPLINK UE ASSOCIATED LPPA TRANSPORT

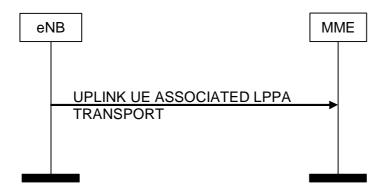


Figure 8.17.2.2-1: UPLINK UE ASSOCIATED LPPA TRANSPORT Procedure

The eNB initiates the procedure by sending the UPLINK UE ASSOCIATED LPPA TRANSPORT message to MME.

## 8.17.2.3 DOWNLINK NON UE ASSOCIATED LPPA TRANSPORT



Figure 8.17.2.3-1: DOWNLINK NON UE ASSOCIATED LPPA Transport Procedure

The MME initiates the procedure by sending the DOWNLINK NON UE ASSOCIATED LPPA TRANSPORT message to eNB.

## 8.17.2.4 UPLINK NON UE ASSOCIATED LPPA TRANSPORT

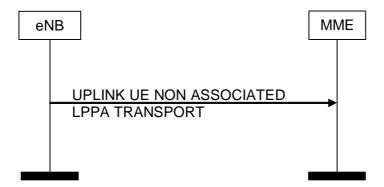


Figure 8.17.2.4-1: UPLINK NON UE ASSOCIATED LPPA TRANSPORT Procedure

The eNB initiates the procedure by sending the UPLINK NON UE ASSOCIATED LPPA TRANSPORT message to MME.

# 8.17.3 Unsuccessful Operation

Not applicable

## 8.17.4 Abnormal Conditions

If an MME receives a UPLINK UE ASSOCIATED LPPA TRANSPORT message with an unknown Routing ID for the UE, the MME shall ignore the message.

If an MME receives an UPLINK NON UE ASSOCIATED LPPA TRANSPORT message indicating an unknown or unreachable Routing ID, the MME shall ignore the message.

# 9 Elements for S1AP Communication

# 9.1 Message Functional Definition and Content

Editor"s Note: Description of functional definition and content.

Editor"s note: Tabular format tables content (e.g. semantic description, criticality assignment, etc.) will be updated in forthcoming meetings.

## 9.1.1 General

# 9.1.2 Message Contents

#### 9.1.2.1 Presence

All information elements in the message descriptions below are marked mandatory, optional or conditional according to table 4.

Table 4: Meaning of abbreviations used in S1AP messages

Abbreviation	Meaning
M	IEs marked as Mandatory (M) shall always be included in the
	message.
0	IEs marked as Optional (O) may or may not be included in the
	message.
С	IEs marked as Conditional (C) shall be included in a message only if the condition is satisfied. Otherwise the IE shall not be included.

## 9.1.2.2 Criticality

Each Information Element or Group of Information Elements may have criticality information applied to it. Following cases are possible:

Table 5: Meaning of content within 'Criticality' column

Abbreviation	Meaning
<del>-</del>	No criticality information is applied explicitly.
YES	Criticality information is applied. This is usable only for non- repeatable IEs
GLOBAL	The IE and all its repetitions together have one common criticality information. This is usable only for repeatable IEs.
EACH	Each repetition of the IE has its own criticality information. It is not allowed to assign different criticality values to the repetitions. This is usable only for repeatable IEs.

## 9.1.2.3 Range

The Range column indicates the allowed number of copies of repetitive IEs/IE groups.

## 9.1.2.4 Assigned Criticality

This column provides the actual criticality information as defined in subclause 10.3.2, if applicable.

# 9.1.3 E-RAB Management Messages

## 9.1.3.1 E-RAB SETUP REQUEST

This message is sent by the MME and is used to request the eNB to assign resources on Uu and S1 for one or several E-RABs.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and	Semantics	Criticality	Assigned
			reference	description		Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
UE Aggregate Maximum Bit Rate	0		9.2.1.20		YES	reject
E-RAB to be Setup List		1			YES	reject
>E-RAB To Be Setup		1 to <maxnoof E-RABs&gt;</maxnoof 			EACH	reject
>>E-RAB ID	М		9.2.1.2		-	
>>E-RAB Level QoS Parameters	М		9.2.1.15	Includes necessary QoS parameters	-	
>>Transport Layer Address	M		9.2.2.1		-	
>>GTP-TEID	M		9.2.2.2	EPC TEID	-	
>>NAS-PDU	М		9.2.3.5		-	

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.3.2 E-RAB SETUP RESPONSE

This message is sent by the eNB and is used to report the outcome of the request from the E-RAB SETUP REQUEST message.

Direction: eNB  $\rightarrow$  MME

IE/Group Name	Presence	Range	IE type and	Semantics	Criticality	Assigned
			reference	description		Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
E-RAB Setup List		01			YES	ignore
>E-RAB Setup Item les		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	M				-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	M		9.2.2.2	eNB TEID	-	
E-RAB Failed to Setup List	0		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB Setup List IE + in E-RAB Failed to Setup List IE	YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.3.3 E-RAB MODIFY REQUEST

This message is sent by the MME and is used to request the eNB to modify the Data Radio Bearers and the allocated resources on Uu and S1 for one or several E-RABs.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
UE Aggregate Maximum Bit Rate	0		9.2.1.20		YES	reject
E-RAB to be Modified List		1			YES	reject
>E-RAB To Be Modified Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	reject
>>E-RAB ID	M		9.2.1.2		-	
>>E-RAB Level QoS Parameters	М		9.2.1.15	Includes necessary QoS parameters	-	
>>NAS-PDU	M		9.2.3.5		-	

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.3.4 E-RAB MODIFY RESPONSE

This message is sent by the eNB and is used to report the outcome of the request from the E-RAB MODIFY REQUEST message.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	ignore
eNB UE S1AP ID	М		9.2.3.4		YES	ignore
E-RAB Modify List		01			YES	ignore
>E-RAB Modify Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RABID	M		9.2.1.2		-	
E-RAB Failed to Modify List	0		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB Modify List IE + E-RAB Failed to Modify List IE	YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.3.5 E-RAB RELEASE COMMAND

This message is sent by the MME and is used to request the eNB to release allocated resources on Uu and S1 for one or several E-RABs.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
UE Aggregate Maximum Bit Rate	0		9.2.1.20		YES	reject
E-RAB To Be Released List	M		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB To Be Released List IE	YES	ignore
NAS-PDU	0		9.2.3.5		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.3.6 E-RAB RELEASE RESPONSE

This message is sent by the eNB and is used to report the outcome of the request from the E-RAB RELEASE COMMAND message.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1	description	YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
E-RAB Release List	141	01	0.2.0.1		YES	ignore
>E-RAB Release Item		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	
E-RAB Failed to Release List	0		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB Release List IE + E-RAB Failed to Release List IE	YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

### 9.1.3.7 E-RAB RELEASE INDICATION

This message is sent by the eNB and is used to indicate the MME to release one or several E-RABs for one UE.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-RAB Released List	M		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB Released List IE	YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.4 Context Management Messages

### 9.1.4.1 INITIAL CONTEXT SETUP REQUEST

This message is sent by the MME to request the setup of a UE context.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
UE Aggregate Maximum Bit Rate	М		9.2.1.20		YES	reject
E-RAB to Be Setup List		1			YES	reject
>E-RAB to Be Setup Item IEs		1 to <maxnoofe- RABs&gt;</maxnoofe- 			EACH	reject
>>E-RAB ID	M		9.2.1.2		-	
>>E-RAB Level QoS Parameters	М		9.2.1.15	Includes necessary QoS parameters	-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	M		9.2.2.2		•	
>>NAS-PDU	0		9.2.3.5		-	

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
UE Security Capabilities	M		9.2.1.40		YES	reject
Security Key	M		9.2.1.41	The KeNB is provided after the key- generation in the MME, see [15]	YES	reject
Trace Activation	0		9.2.1.4		YES	ignore
Handover Restriction List	0		9.2.1.22		YES	ignore
UE Radio Capability	0		9.2.1.27		YES	ignore
Subscriber Profile ID for RAT/Frequency priority	0		9.2.1.39		YES	ignore
CS Fallback Indicator	0		9.2.3.21		YES	reject
SRVCC Operation Possible	0		9.2.1.58		YES	ignore
CSG Membership Status	0		9.2.1.73		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.1.4.3 INITIAL CONTEXT SETUP RESPONSE

This message is sent by the eNB to confirm the setup of a UE context.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
E-RAB Setup List		1			YES	ignore
> E-RAB Setup Item les		1 to <maxnoofe- RABs&gt;</maxnoofe- 			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	M		9.2.2.2		-	
E-RAB Failed to Setup List	0		E-RAB List 9.2.1.36	a value for E- RAB ID shall only be present once in E-RAB Setup List IE + E-RAB Failed to Setup List IE	YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

### 9.1.4.4 INITIAL CONTEXT SETUP FAILURE

This message is sent by the eNB to indicate that the setup of the UE context was unsuccessful.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and	Semantics	Criticality	Assigned
			reference	description		Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
Cause	M		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.4.5 UE CONTEXT RELEASE REQUEST

This message is sent by the eNB to request the release of the UE-associated S1-logical connection over the S1 interface.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Cause	M		9.2.1.3		YES	ignore

### 9.1.4.6 UE CONTEXT RELEASE COMMAND

This message is sent by the MME to request the release of the UE-associated S1-logical connection over the S1 interface.

Direction:  $MME \rightarrow eNB$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
CHOICE UE S1AP IDs	M				YES	reject
>UE S1AP ID pair	M		9.2.3.18			
>MME UE S1AP ID	M		9.2.3.3			
Cause	M		9.2.1.3		YES	ignore

### 9.1.4.7 UE CONTEXT RELEASE COMPLETE

This message is sent by the eNB to confirm the release of the UE-associated S1-logical connection over the S1 interface.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.4.8 UE CONTEXT MODIFICATION REQUEST

This message is sent by the MME to provide UE Context information changes to the eNB.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Security Key	0		9.2.1.41	A fresh KeNB is provided after performing a key-change on the fly procedure in the MME, see [15]	YES	reject
Subscriber Profile ID for RAT/Frequency priority	0		9.2.1.39		YES	ignore
UE Aggregate Maximum Bit Rate	0		9.2.1.20		YES	ignore
CS Fallback Indicator	0		9.2.3.21		YES	reject
UE Security Capabilities	0		9.2.1.40		YES	reject
CSG Membership Status	0		9.2.1.73		YES	ignore

### 9.1.4.9 UE CONTEXT MODIFICATION RESPONSE

This message is sent by the eNB to confirm the performed UE context updates.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.4.10 UE CONTEXT MODIFICATION FAILURE

This message is sent by the eNB in case the performed UE context update is not successful.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
Cause	M		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

# 9.1.5 Handover Signalling Messages

## 9.1.5.1 HANDOVER REQUIRED

This message is sent by the source eNB to the MME to request the preparation of resources at the target.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1	description	YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	М		9.2.3.4		YES	reject
Handover Type	М		9.2.1.13		YES	reject
Cause	M		9.2.1.3		YES	ignore
Target ID	М		9.2.1.6		YES	reject
Direct Forwarding Path Availability	0		9.2.3.15		YES	ignore
SRVCC HO Indication	0		9.2.1.59		YES	reject
Source to Target Transparent Container	М		9.2.1.56		YES	reject
Source to Target Transparent Container Secondary	0		Source to Target Transparent Container 9.2.1.56		YES	reject
MS Classmark 2	C- ifSRVCCto GERAN		9.2.1.64		YES	reject
MS Classmark 3	C- ifSRVCCto GERAN		9.2.1.65		YES	ignore
CSG Id	0		9.2.1.62		YES	reject
Cell Access Mode	0		9.2.1.74		YES	reject
PS Service Not Available	0		9.2.1.77		YES	ignore

Condition	Explanation
ifSRVCCtoGERAN	This IE shall be present if the Handover Type IE is set to the 'Value'
	LTEtoGERAN and the SRVCC HO Indication IE is present.

### 9.1.5.2 HANDOVER COMMAND

This message is sent by the MME to inform the source eNB that resources for the handover have been prepared at the target side.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	reject
eNB UE S1AP ID	М		9.2.3.4		YES	reject
Handover Type	М		9.2.1.13		YES	reject
NAS Security Parameters from E-UTRAN	C- iftoUTRAN GERAN		9.2.3.30	The eNB shall use this IE as specified in [15].	YES	reject
E-RABs Subject to Forwarding List		01		[10].	YES	ignore
>E-RABs Subject to Forwarding Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	
>>DL Transport Layer Address	0		9.2.2.1		-	
>>DL GTP-TEID	0		9.2.2.2	To deliver forwarded DL PDCP SDUs	-	
>>UL Transport Layer Address	0		9.2.2.1		-	
>>UL GTP-TEID	0		9.2.2.2	To deliver forwarded UL PDCP SDUs	-	
E-RABs to Release List	0		E-RAB List 9.2.1.36		YES	ignore
Target to Source Transparent Container	М		9.2.1.57		YES	reject
Target to Source Transparent Container Secondary	0		Target to Source Transparent Container 9.2.1.57		YES	reject
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Condition	Explanation
iftoUTRANGERAN	This IE shall be present if the Handover Type IE is set to the value
	'LTEtoUTRAN ' or 'LTEtoGERAN'

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.

### 9.1.5.3 HANDOVER PREPARATION FAILURE

This message is sent by the MME to inform the source eNB that the Handover Preparation has failed.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	ignore
eNB UE S1AP ID	М		9.2.3.4		YES	ignore
Cause	M		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.5.4 HANDOVER REQUEST

This message is sent by the MME to the target eNB to request the preparation of resources.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	reject
Handover Type	М		9.2.1.13		YES	reject
Cause	М		9.2.1.3		YES	ignore
UE Aggregate Maximum Bit Rate	М		9.2.1.20		YES	reject
E-RABs To Be Setup List		1			YES	reject
>E-RABs To Be Setup Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	reject
>>E-RAB ID	М		9.2.1.2		-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	М		9.2.2.2	To deliver UL PDUs	-	
>> E-RAB Level QoS Parameters	M		9.2.1.15	Includes necessary QoS parameters	-	
>>Data Forwarding Not Possible	0		9.2.1.76		YES	ignore
Source to Target Transparent Container	М		9.2.1.56		YES	reject
UE Security Capabilities	M		9.2.1.40		YES	reject
Handover Restriction List	0		9.2.1.22		YES	ignore
Trace Activation	0		9.2.1.4		YES	ignore
Request Type	0		9.2.1.34		YES	ignore
SRVCC Operation Possible	0		9.2.1.58		YES	ignore
Security Context	М		9.2.1.26		YES	reject
NAS Security Parameters to E-UTRAN	C- iffromUTR ANGERA N		9.2.3.31	The eNB shall use this IE as specified in [15].	YES	reject

CSG Id	0	9.2.1.62	YES	reject
CSG Membership Status	0	9.2.1.73	YES	ignore

Editor"s Note: The details of required IEs to indicate security parameters in the message (e.g., encryption and integrity protection information) are FFS.

Condition	Explanation
C-iffromUTRANGERAN	This IE shall be present if the Handover Type IE is set to the value
	'UTRANtoLTE' or 'GERANtoLTE'

Range bound	Explanation			
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.			

## 9.1.5.5 HANDOVER REQUEST ACKNOWLEDGE

This message is sent by the target eNB to inform the MME about the prepared resources at the target.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4	allocated at the target eNB	YES	ignore
E-RABs Admitted List		1			YES	ignore
>E-RABs Admitted Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	М		9.2.2.2	To deliver DL PDUs	-	
>>DL Transport Layer Address	0		9.2.2.1		-	
>>DL GTP-TEID	0		9.2.2.2	To deliver forwarded DL PDCP SDUs.	-	
>>UL Transport Layer Address	0		9.2.2.1		-	
>>UL GTP-TEID	0		9.2.2.2	To deliver forwarded UL PDCP SDUs.	-	
E-RABs Failed to Setup List	0		E-RAB List 9.2.1.36	a value for E-RAB ID shall only be present once in E-RABs Admitted List IE + E-RABs Failed to Setup List IE	YES	ignore
Target to Source Transparent Container			9.2.1.57		YES	reject
CSG Id	0		9.2.1.62		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

I	Range bound	Explanation			
	maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.			

### 9.1.5.6 HANDOVER FAILURE

This message is sent by the target eNB to inform the MME that the preparation of resources has failed.

Direction: eNB  $\rightarrow$  MME.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	ignore
Cause	М		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.5.7 HANDOVER NOTIFY

This message is sent by the target eNB to inform the MME that the UE has been identified in the target cell and the S1 handover has been completed.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	М		9.2.3.3		YES	reject
eNB UE S1AP ID	М		9.2.3.4		YES	reject
E-UTRAN CGI	М		9.2.1.38		YES	ignore
TAI	М		9.2.3.16		YES	ignore

### 9.1.5.8 PATH SWITCH REQUEST

This message is sent by the eNB to request the MME to switch DL GTP tunnel termination point(s) from one end-point to another.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-RAB To Be Switched in Downlink List		1			YES	reject
>E-RABs Switched in Downlink Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	reject
>>E-RAB ID	M		9.2.1.2		-	
>>Transport layer address	М		9.2.2.1		-	
>>GTP-TEID	М		9.2.2.2	To deliver DL PDUs	-	
Source MME UE S1AP ID	M		9.2.3.3		YES	reject
E-UTRAN CGI	M		9.2.1.38		YES	ignore
TAI	M		9.2.3.16		YES	ignore
UE Security Capabilities	M		9.2.1.40		YES	ignore

Range bound	Explanation				
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.				

### 9.1.5.9 PATH SWITCH REQUEST ACKNOWLEDGE

This message is sent by the MME to inform the eNB that the path switch has been successfully completed in the EPC.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1	-	YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
UE Aggregate Maximum Bit Rate	0		9.2.1.20		YES	ignore
E-RAB To Be Switched in Uplink List		01			YES	ignore
>E-RABs Switched in Uplink Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	M		9.2.1.2		-	
>>Transport Layer Address	М		9.2.2.1		-	
>>GTP-TEID	M		9.2.2.2		-	
E-RAB To Be Released List	0		E-RAB List 9.2.1.36	a value for E-RAB ID shall only be present once in E-RAB To Be Switched in Uplink List IE + E-RAB to Be Released List IE	YES	ignore
Security Context	M		9.2.1.26	One pair of {NCC, NH} is provided	YES	reject
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation			
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.			

### 9.1.5.10 PATH SWITCH REQUEST FAILURE

This message is sent by the MME to inform the eNB that a failure has occurred in the EPC during the Path switch request procedure.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	ignore
Cause	M		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.5.11 HANDOVER CANCEL

This message is sent by the source eNB to the MME to request the cancellation of an ongoing handover.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Cause	M		9.2.1.3		YES	ignore

### 9.1.5.12 HANDOVER CANCEL ACKNOWLEDGE

This message is sent by the MME to the source eNB to confirm that the ongoing handover was cancelled.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME UE S1AP ID	М		9.2.3.3		YES	ignore
eNB UE S1AP ID	М		9.2.3.4		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.5.13 eNB STATUS TRANSFER

This message is sent by the source eNB to transfer the PDCP SN receiver and transmitter status.

Direction: eNB  $\rightarrow$  MME.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
eNB Status Transfer Transparent Container	М		9.2.1.31		YES	reject

### 9.1.5.14 MME STATUS TRANSFER

This message is sent by the MME to transfer the PDCP SN receiver and transmitter status.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
eNB Status Transfer Transparent Container	M		9.2.1.31		YES	reject

### 9.1.6 PAGING

This message is sent by the MME and is used to page a UE in one or several tracking areas.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
UE Identity Index value	M		9.2.3.10		YES	ignore
UE Paging Identity	M		9.2.3.13		YES	ignore
Paging DRX	0		9.2.1.16		YES	ignore
CN Domain	М		9.2.3.22		YES	ignore
List of TAIs		1			YES	ignore
>TAI List Item		1 to < maxnoofTAls >			EACH	ignore
>>TAI	M		9.2.3.16		-	
CSG Id List		01			GLOBAL	ignore
>CSG Id		1 to < maxnoofCSGId >	9.2.1.62		-	

Range bound	Explanation					
maxnoofTAIs	Maximum no. of TAIs. Value is 256.					
maxnoofCSGlds	Maximum no. of CSG Ids within the CSG Id List. Value is 256.					

# 9.1.7 NAS Transport Messages

## 9.1.7.1 INITIAL UE MESSAGE

This message is sent by the eNB to transfer the initial layer 3 message to the MME over the S1 interface.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
eNB UE S1AP ID	M		9.2.3.4		YES	reject
NAS-PDU	M		9.2.3.5		YES	reject
TAI	М		9.2.3.16	Indicating the Tracking Area from which the UE has sent the NAS message.	YES	reject
E-UTRAN CGI	М		9.2.1.38	Indicating the E-UTRAN CGI from which the UE has sent the NAS message.	YES	ignore
RRC Establishment cause	M		9.2.1.3a	J	YES	Ignore
S-TMSI	0		9.2.3.6		YES	reject
CSG Id	0		9.2.1.62		YES	reject
GUMMEI	0		9.2.3.9		YES	reject
Cell Access Mode	0		9.2.1.74		YES	reject

### 9.1.7.2 DOWNLINK NAS TRANSPORT

This message is sent by the MME and is used for carrying NAS information over the S1 interface.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
NAS-PDU	M		9.2.3.5		YES	reject
Handover Restriction List	0		9.2.1.22		YES	ignore

#### 9.1.7.3 UPLINK NAS TRANSPORT

This message is sent by the eNB and is used for carrying NAS information over the S1 interface.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
NAS-PDU	M		9.2.3.5		YES	reject
E-UTRAN CGI	M		9.2.1.38		YES	ignore
TAI	M		9.2.3.16		YES	ignore

### 9.1.7.4 NAS NON DELIVERY INDICATION

This message is sent by the eNB and is used for reporting the non delivery of a NAS PDU previously received within a DOWNLINK NAS TRANSPORT message over the S1 interface.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
NAS-PDU	M		9.2.3.5		YES	ignore
Cause	M		9.2.1.3		YES	ignore

## 9.1.8 Management messages

#### 9.1.8.1 RESET

This message is sent by both the MME and the eNB and is used to request that the S1 interface, or parts of the S1 interface, to be reset.

Direction : MME  $\rightarrow$  eNB and eNB  $\rightarrow$  MME

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Cause	M		9.2.1.3		YES	ignore
CHOICE Reset Type	M				YES	reject
>S1 interface						
>>Reset All	M		ENUMERAT ED (Reset all,)		-	
>Part of S1 interface						
>>UE-associated logical S1-connection list		1			-	
>>>UE-associated logical S1-connection ltem		1 to < maxnoofIndividual S1ConnectionsTo Reset >			EACH	reject
>>>MME UE S1AP ID	0		9.2.3.3		-	
>>>eNB UE S1AP ID	0		9.2.3.4		-	

Range bound	Explanation
maxnoofIndividualS1ConnectionsToReset	Maximum no. of UE-associated logical S1-connections allowed to
	reset in one message. Value is 256.

### 9.1.8.2 RESET ACKNOWLEDGE

This message is sent by both the MME and the eNB as a response to a RESET message.

Direction : eNB  $\rightarrow$  MME and MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
UE-associated logical S1-connection list		01			YES	ignore
>UE-associated logical S1-connection Item		1 to < maxnoofIndividual S1ConnectionsTo Reset >			EACH	ignore
>>MME UE S1AP ID	0		9.2.3.3		-	
>>eNB UE S1AP ID	0		9.2.3.4		-	
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation				
maxnoofIndividualS1ConnectionsToReset	Maximum no. of UE-associated logical S1-connections allowed to				
	reset in one message. Value is 256.				

### 9.1.8.3 ERROR INDICATION

This message is sent by both the MME and the eNB and is used to indicate that some error has been detected in the node.

Direction : MME  $\rightarrow$  eNB and eNB  $\rightarrow$  MME

	Presence	Range	IE type and	Semantics	Criticality	Assigned
IE/Group Name			reference	description		Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	0		9.2.3.3		YES	ignore
eNB UE S1AP ID	0		9.2.3.4		YES	ignore
Cause	0		9.2.1.3		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.8.4 S1 SETUP REQUEST

This message is sent by the eNB to transfer information for a TNL association.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Global eNB ID	M		9.2.1.37		YES	reject
eNB Name	0		PrintableStri ng(1150,		YES	ignore
Supported TAs		1 <maxnooftacs< td=""><td>,</td><td>Supported Tas in the eNB</td><td>GLOBAL</td><td>reject</td></maxnooftacs<>	,	Supported Tas in the eNB	GLOBAL	reject
>TAC	М		9.2.3.7	Broadcasted TAC	-	
>Broadcast PLMNs		1 <maxnoofbplm Ns&gt;</maxnoofbplm 		Broadcasted PLMNs	-	
>>PLMN Identity	M		9.2.3.8			
CSG Id List		01			GLOBAL	reject
>CSG Id	М	1 to < maxnoofCSGIds >	9.2.1.62			
Default paging DRX	M		9.2.1.16		YES	ignore

Range bound	Explanation
maxnoofTACs	Maximum no. of TACs. Value is 256.

Range bound	Explanation
maxnoofBPLMNs	Maximum no. of Broadcasted PLMNs. Value is 6.

Range bound	Explanation
maxnoofCSGlds	Maximum no. of CSG lds within the CSG ld List. Value is 256.

### 9.1.8.5 S1 SETUP RESPONSE

This message is sent by the MME to transfer information for a TNL association.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
MME Name	0		PrintableStri ng(1150,		YES	ignore
Served GUMMEIs		1 <maxnoofrats &gt;</maxnoofrats 		The LTE related pool configuration is included on the first place in the list.	GLOBAL	reject
>Served PLMNs		1 <maxnoofplmn sPer MME&gt;</maxnoofplmn 			-	
>>PLMN Identity	М		9.2.3.8		-	
>Served GroupIDs		1 <maxnoofgroup IDs&gt;</maxnoofgroup 			-	
>>MME Group ID	М		OCTET STRING (2)		-	
>Served MMECs		1 <maxnoofmme Cs&gt;</maxnoofmme 			•	
>>MME Code	M		9.2.3.12		-	
Relative MME Capacity	M		9.2.3.17		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

Range bound	Explanation				
maxnoofPLMNsPer MME	Maximum no. of PLMNs per MME. Value is 32.				
maxnoofRATs	Maximum no. of RATs. Value is 8.				
maxnoofGroupIDs	Maximum no. of GroupIDs per node per RAT. Value is 65535.				
maxnoofMMECs	Maximum no. of MMECs per node per RAT. Value is 256.				

### 9.1.8.6 S1 SETUP FAILURE

This message is sent by the MME to indicate S1 Setup failure.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Cause	M		9.2.1.3		YES	ignore
Time to wait	0		9.2.1.61		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

## 9.1.8.7 ENB CONFIGURATION UPDATE

This message is sent by the eNB to transfer updated information for a TNL association.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
eNB Name	0		PrintableStri ng(1150,		YES	ignore
Supported TAs		0 <maxnooftacs< td=""><td>,</td><td>Supported Tas in the eNB</td><td>GLOBAL</td><td>reject</td></maxnooftacs<>	,	Supported Tas in the eNB	GLOBAL	reject
>TAC	M		9.2.3.7	Broadcasted PLMNs	-	
>Broadcast PLMNs		1 <maxnoofbplm Ns&gt;</maxnoofbplm 		Broadcasted PLMNs	-	
>>PLMN Identity	M		9.2.3.8		-	
CSG Id List		01			GLOBAL	reject
>CSG Id		1 to < maxnoofCSGId >	9.2.1.62		-	
Default paging DRX	0		9.2.1.16		YES	ignore

Range bound	Explanation
maxnoofTACs	Maximum no. of TACs. Value is 256.
maxnoofBPLMNs	Maximum no. of Broadcasted PLMNs. Value is 6.
maxnoofCSGlds	Maximum no. of CSG Ids within the CSG Id List. Value is 256.

### 9.1.8.8 ENB CONFIGURATION UPDATE ACKNOWLEDGE

This message is sent by the MME to acknowledge the eNB transfer updated information for a TNL association.

Direction:  $MME \rightarrow eNB$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.8.9 ENB CONFIGURATION UPDATE FAILURE

This message is sent by the MME to indicate S1 eNB Configuration Update failure.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Cause	M		9.2.1.3		YES	ignore
Time to wait	0		9.2.1.61		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.8.10 MME CONFIGURATION UPDATE

This message is sent by the MME to transfer updated information for a TNL association.

Direction:  $MME \rightarrow eNB$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1	•	YES	reject
MME Name	0		PrintableStri ng(1150,		YES	ignore
Served GUMMEIs		0 <maxnoofrats &gt;</maxnoofrats 		The LTE related pool configuration is included on the first place in the list.	GLOBAL	reject
>Served PLMNs		• 1 <maxnoofp LMNsPer MME&gt;</maxnoofp 			-	
>>PLMN Identity	М		9.2.3.8		-	
>Served GroupIDs		1 <maxnoofgrou pIDs&gt;</maxnoofgrou 			-	
>>MME GroupID	М		OCTET STRING (2)		-	
>Served MMECs		1 <maxnoofmme Cs&gt;</maxnoofmme 	- (-/		-	
>>MME Code	М		9.2.3.12		-	
Relative MME Capacity	0		9.2.3.17		YES	reject

Range bound	Explanation
maxnoofPLMNsPer MME	Maximum no. of PLMNs per MME. Value is 32.
maxnoofRATs	Maximum no. of RATs. Value is 8.
maxnoofGroupIDs	Maximum no. of GroupIDs per node per RAT. Value is 65535.
maxnoofMMECs	Maximum no. of MMECs per node per RAT. Value is 256.

### 9.1.8.11 MME CONFIGURATION UPDATE ACKNOWLEDGE

This message is sent by the eNB to acknowledge the MME transfer updated information for a TNL association.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.8.12 MME CONFIGURATION UPDATE FAILURE

This message is sent by the eNB to indicate S1 MME Configuration Update failure.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Cause	M		9.2.1.3		YES	ignore
Time to wait	0		9.2.1.61		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.8.13 OVERLOAD START

This message is sent by the MME and is used to indicate to the eNB that the MME is overloaded.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Overload Response	М		9.2.3.19		YES	reject

### 9.1.8.14 OVERLOAD STOP

This message is sent by the MME and is used to indicate that the MME is no longer overloaded.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject

## 9.1.9 S1 CDMA2000 Tunneling Messages

### 9.1.9.1 DOWNLINK S1 CDMA2000 TUNNELING

This message is sent by the MME and is used for carrying CDMA2000 information over the S1 interface.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics	Criticality	Assigned Criticality
Message Type	M		9.2.1.1	description	YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-RABs Subject to	101	01	0.2.0.1		YES	ignore
Forwarding List		0			. 20	ignore
>E-RABs Subject to Forwarding Item IEs		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	М	10.20	9.2.1.2		-	
>>DL Transport Layer Address	0		9.2.2.1		-	
>>DL GTP-TEID	0		9.2.2.2	This IE indicates the tunnel endpoint for forwarding of DL data.	-	
>>UL Transport Layer Address	0		9.2.2.1		-	
>>UL GTP-TEID	0		9.2.2.2		-	
CDMA2000 HO Status	0		9.2.1.28		YES	ignore
CDMA2000 RAT Type	M		9.2.1.24		YES	reject
CDMA2000-PDU	M		9.2.1.23		YES	reject

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.

### 9.1.9.2 UPLINK S1 CDMA2000 TUNNELING

This message is sent by the eNB and is used for carrying CDMA2000 information over the S1 interface.

Direction: eNB → MME

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
CDMA2000 RAT Type	M		9.2.1.24		YES	reject
CDMA2000 Sector ID	M		9.2.1.25		YES	reject
CDMA2000 HO Required Indication	0		9.2.1.29		YES	ignore
CDMA2000 1xRTT SRVCC Info	0		9.2.1.35		YES	reject
CDMA2000 1xRTT RAND	0		9.2.1.33		YES	reject
CDMA2000-PDU	M		9.2.1.23		YES	reject
EUTRAN Round Trip Delay Estimation Info	0		9.2.1.69		YES	ignore

### 9.1.10 UE CAPABILITY INFO INDICATION

This message is sent by the eNB to provide UE Radio Capability information to the MME.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
UE Radio Capability	M		9.2.1.27		YES	ignore

## 9.1.11 Trace Messages

### 9.1.11.1 TRACE START

This message is sent by the MME to initiate trace recording for a UE.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Trace Activation	M		9.2.1.4		YES	ignore

### 9.1.11.2 TRACE FAILURE INDICATION

This message is sent by the eNB to indicate that a Trace Start procedure or a Deactivate Trace procedure has failed for a UE.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-UTRAN Trace ID	M		OCTET STRING (8)	As per E- UTRAN Trace ID IE in Trace Activation IE (9.2.1.4)	YES	ignore
Cause	M		9.2.1.3		YES	ignore

### 9.1.11.3 DEACTIVATE TRACE

This message is sent by the MME to deactivate trace.

Direction:  $MME \rightarrow eNB$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-UTRAN Trace ID	M		OCTET STRING (8)	As per E- UTRAN Trace ID IE in Trace Activation IE (9.2.1.4)	YES	ignore

# 9.1.12 Location Reporting Messages

### 9.1.12.1 LOCATION REPORTING CONTROL

This message is sent by the MME and is used to request the eNB to report where the UE is currently located.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and	Semantics	Criticality	Assigned
			reference	description		Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	М		9.2.3.3		YES	reject
eNB UE S1AP ID	М		9.2.3.4		YES	reject
Request Type	M		9.2.1.34		YES	ignore

### 9.1.12.2 LOCATION REPORT FAILURE INDICATION

This message is sent by the eNB and is used to indicate the failure of location report.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Cause	M		9.2.1.3		YES	ignore

### 9.1.12.3 LOCATION REPORT

This message is sent by the eNB and is used to provide the UE"s location to the MME.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
E-UTRAN CGI	M		9.2.1.38		YES	ignore
TAI	M		9.2.3.16		YES	ignore
Request Type	M		9.2.1.34	The Request Type IE is sent as it has been provided.	YES	ignore

## 9.1.13 Warning Message Transmission Messages

### 9.1.13.1 WRITE-REPLACE WARNING REQUEST

This message is sent by the MME to request the start or overwrite of the broadcast of a warning message.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Message Identifier	M		9.2.1.44		YES	reject
Serial Number	M		9.2.1.45		YES	reject
Warning Area List	0		9.2.1.46		YES	ignore
Repetition Period	M		9.2.1.48		YES	reject
Extended Repetition Period	0		9.2.1.75		YES	reject
Number of Broadcasts Requested	М		9.2.1.49		YES	reject
Warning Type	0		9.2.1.50		YES	ignore
Warning Security Information	0		9.2.1.51		YES	ignore
Data Coding Scheme	0		9.2.1.52		YES	ignore
Warning Message Contents	0		9.2.1.53		YES	ignore
Concurrent Warning Message Indicator	0		9.2.1.72		YES	reject

### 9.1.13.2 WRITE-REPLACE WARNING RESPONSE

This message is sent by the eNB to acknowledge the MME on the start or overwrite request of a warning message.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Message Identifier	M		9.2.1.44		YES	reject
Serial Number	M		9.2.1.45		YES	reject
Broadcast Completed Area List	0		9.2.1.54		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.13.3 KILL REQUEST

This message is forwarded by the MME to eNB to cancel an already ongoing broadcast of a warning message.

Direction: MME → eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	reject
Message Identifier	M		9.2.1.44		YES	reject
Serial Number	M		9.2.1.45		YES	reject
Warning Area List	0		9.2.1.46		YES	ignore

### 9.1.13.4 KILL RESPONSE

This message is sent by the eNB to indicate the list of warning areas where cancellation of the broadcast of the identified message was successful and unsuccessful.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	reject
Message Identifier	M		9.2.1.44		YES	reject
Serial Number	M		9.2.1.45		YES	reject
Broadcast Cancelled Area List	0		9.2.1.70		YES	ignore
Criticality Diagnostics	0		9.2.1.21		YES	ignore

### 9.1.14 eNB DIRECT INFORMATION TRANSFER

This message is sent by the eNB in order to transfer specific information.

Direction:  $eNB \rightarrow MME$ .

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Inter-system Information Transfer Type	М		9.2.1.55		YES	ignore

### 9.1.15 MME DIRECT INFORMATION TRANSFER

This message is sent by the MME in order to transfer specific information.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Inter-system Information	M		9.2.1.55		YES	ignore
Transfer Type						

### 9.1.16 eNB CONFIGURATION TRANSFER

This message is sent by the eNB in order to transfer RAN configuration information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
SON Configuration Transfer	0		9.2.3.26		YES	ignore

## 9.1.17 MME CONFIGURATION TRANSFER

This message is sent by the MME in order to transfer RAN configuration information.

Direction: MME  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
SON Configuration Transfer	0		9.2.3.26		YES	ignore

## 9.1.18 CELL TRAFFIC TRACE

This message is sent by eNB to transfer specific information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1	-	YES	ignore
MME UE S1 AP ID	M		9.2.3.3		YES	reject
eNB UE S1 AP ID	M		9.2.3.4		YES	reject
E-UTRAN Trace ID	M		OCTET STRING (8)	The E-UTRAN Trace ID IE is composed of the following: Trace Reference defined in [10] (leftmost 6 octets), and Trace Recording Session Reference defined in [10] (last 2 octets)	YES	ignore
E-UTRAN CGI	M		9.2.1.38		YES	ignore
Trace Collection Entity IP Address	M		Transport Layer Address 9.2.2.1	Defined in [10]	YES	ignore

### 9.1.19 LPPa Transport Messages

### 9.1.19.1 DOWNLINK UE ASSOCIATED LPPA TRANSPORT

This message is sent by the MME and is used for carrying LPPa message over the S1 interface.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Routing ID	M		9.2.3.33		YES	reject
LPPa-PDU	M		9.2.3.32		YES	reject

### 9.1.19.2 UPLINK UE ASSOCIATED LPPA TRANSPORT

This message is sent by the eNB and is used for carrying LPPa message over the S1 interface.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
MME UE S1AP ID	M		9.2.3.3		YES	reject
eNB UE S1AP ID	M		9.2.3.4		YES	reject
Routing ID	M		9.2.3.33		YES	reject
LPPa-PDU	M		9.2.3.32		YES	reject

### 9.1.19.3 DOWNLINK NON UE ASSOCIATED LPPA TRANSPORT

This message is sent by the MME and is used for carrying LPPa message over the S1 interface.

Direction: MME  $\rightarrow$  eNB

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Routing ID	M		9.2.3.33		YES	reject
LPPa-PDU	M		9.2.3.32		YES	reject

### 9.1.19.4 UPLINK NON UE ASSOCIATED LPPA TRANSPORT

This message is sent by the eNB and is used for carrying LPPa message over the S1 interface.

Direction:  $eNB \rightarrow MME$ 

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.1.1		YES	ignore
Routing ID	M		9.2.3.33		YES	reject
LPPa-PDU	М		9.2.3.32		YES	reject

## 9.2 Information Element Definitions

Editor"s Note: Information element definitions.

### 9.2.0 General

Subclause 9.2 presents the S1AP IE definitions in tabular format. The corresponding ASN.1 definition is presented in subclause 9.3. In case there is contradiction between the tabular format in subclause 9.2 and the ASN.1 definition, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, where the tabular format shall take precedence.

When specifying information elements which are to be represented by bitstrings, if not otherwise specifically stated in the semantics description of the concerned IE or elsewhere, the following principle applies with regards to the ordering of bits:

- The first bit (leftmost bit) contains the most significant bit (MSB);
- The last bit (rightmost bit) contains the least significant bit (LSB);
- When importing bitstrings from other specifications, the first bit of the bitstring contains the first bit of the concerned information;

## 9.2.1 Radio Network Layer Related IEs

### 9.2.1.1 Message Type

The Message Type IE uniquely identifies the message being sent. It is mandatory for all messages.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Message Type				Assumed max no of messages is 256.
>Procedure Code	M		(Handover Preparation, Handover Resource Allocation, Handover Notification, Patch Switch Request, Handover Cancellation, E-RAB Setup, E-RAB Modify, E-RAB Release, E-RAB Release Indication, Initial Context Setup, Paging, Downlink NAS transport, Initial UE Message, Uplink NAS transport, Reset, Error Indication, NAS Non Delivery Indication, S1 Setup, UE Context Release Request, UE Context Release, Downlink S1 CDMA2000 Tunneling, Uplink S1 CDMA2000 Tunneling; UE Context Modification, UE Capability Info Indication, eNB Status Transfer, MME Status Transfer, Deactivate Trace, Trace Start, Trace Failure Indication, eNB Configuration Update, MME Configuration Update, Location Reporting Control, Location Reporting Failure Indication, Location Report, Overload Start, Overload Stop, Private Message, Write-Replace Warning, eNB Direct Information Transfer, MME Direct Information Transfer, Cell Traffic Trace, eNB Configuration Transfer, MME Configuration Transfer, Downlink UE Associated LPPa transport, Uplink UE Associated LPPa transport, Uplink Non UE Associated LPPa transport,)	
>Type of Message	М		CHOICE (Initiating Message, Successful Outcome, Unsuccessful Outcome,)	

#### 9.2.1.2 E-RAB ID

This element uniquely identifies a radio access bearer for a particular UE, which makes the E-RAB ID unique over one S1 connection. The E-RAB ID shall remain the same for the duration of the E-RAB even if the UE-associated logical S1-connection is released or moved using S1 handover.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
E-RAB ID	M		INTEGER	
			(015,)	

### 9.2.1.3 Cause

The purpose of the *Cause* IE is to indicate the reason for a particular event for the S1AP protocol.

CHOICE Cause Group  >Radio Network Layer  >>Radio Network Layer Cause  M  ENUMERATED (Unspecified, TX2 <sub>RELOCOverall</sub> Expiry, Successful Handover, Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Target EPC/eNB Or Target System, Handover Target not allowed,	Description
>Radio Network Layer  >>Radio Network Layer Cause  M (Unspecified, TX2 <sub>RELOCOverall</sub> Expiry, Successful Handover, Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
Network Layer  >>Radio Network Layer Cause  M (Unspecified, TX2 <sub>RELOCOverall</sub> Expiry, Successful Handover, Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
>>Radio Network Layer Cause    TX2 <sub>RELOCOverall</sub> Expiry,   Successful Handover,   Release due to E-UTRAN Generated Reason,   Handover Cancelled, Partial Handover, Handover,   Failure In Target EPC/eNB Or Target System,	
Network Layer Cause  (Unspecified, TX2 <sub>RELOCOverall</sub> Expiry, Successful Handover, Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
Layer Cause  TX2 <sub>RELOCOverall</sub> Expiry, Successful Handover, Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
Release due to E-UTRAN Generated Reason, Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
Handover Cancelled, Partial Handover, Handover Failure In Target EPC/eNB Or Target System,	
Failure In Target EPC/eNB Or Target System,	
TS1 <sub>RELOCoverall</sub> Expiry,	
TS1 <sub>RELOCprep</sub> Expiry,	
Cell not available,	
Unknown Target ID,	
No Radio Resources Available in Target Cell, Unknown or already allocated MME UE S1AP ID,	
Unknown or already allocated wint of STAP ID,	
Unknown or inconsistent pair of UE S1AP ID,	
Handover desirable for radio reasons,	
Time critical handover,	
Resource optimisation handover,	
Reduce load in serving cell, User inactivity, Radio Connection With UE Lost, Load Balancing TAU	
Required, CS Fallback Triggered,	
UE Not Available For PS Service, Radio resources	
not available,	
Failure in the Radio Interface Procedure,	
Invalid QoS combination, Inter-RAT redirection,	
Interaction with other procedure, Unknown E-RAB ID, Multiple E-RAB ID instances, Encryption and/or	
integrity protection algorithms not supported, S1 intra	
system Handover triggered, S1 inter system	
Handover triggered, X2 Handover triggered	
De dissertion towards 4. DTT	
Redirection towards 1xRTT, Not supported QCI value,	
invalid CSG Id)	
>Transport	
Layer	
Layer Cause (Transport Resource Unavailable,	
Unspecified,	
)	
>NAS	
>>NAS M ENUMERATED (Normal Release, Cause Authentication failure,	
Detach.	
Unspecified,	
CSG Subscription Expiry)	
>Protocol ENLIMEDATED	
>>Protocol M ENUMERATED Cause (Transfer Syntax Error,	
Abstract Syntax Error (Reject),	
Abstract Syntax Error (Ignore and Notify),	
Message not Compatible with Receiver State,	
Semantic Error,	
Abstract Syntax Error (Falsely Constructed Message),	
Unspecified,)	
>>Miscellan M ENUMERATED	
eous Cause (Control Processing Overload, Not enough User	
Plane Processing Resources,	
Hardware Failure,	

	O&M Intervention,	
	Unspecified, Unknown PLMN,)	

The meaning of the different cause values is described in the following table. In general, 'not supported' cause values indicate that the related capability is missing. On the other hand, 'not available' cause values indicate that the related capability is present, but insufficient resources were available to perform the requested action.

Radio Network Layer cause	Meaning
Unspecified	Sent for radio network layer cause when none of the specified
•	cause values applies
TX2RELOCOverall Expiry	The timer guarding the handover that takes place over X2 has
	abnormally expired.
Successful Handover	Successful handover.
Release due to E-UTRAN	Release is initiated due to E-UTRAN generated reason.
generated reason	
Handover Cancelled	The reason for the action is cancellation of Handover
Partial Handover	Provides a reason for the handover cancellation. The HANDOVER COMMAND message from MME contained <i>E-RABs to Release List</i> IE and the source eNB estimated service continuity for the UE would be better by not proceeding with handover towards this particular target eNB.
Handover Failure In Target EPC/eNB Or Target System	The handover failed due to a failure in target EPC/eNB or target system.
Handover Target not allowed	Handover to the indicated target cell is not allowed for the UE in question.
TS1 <sub>RELOCoverall</sub> Expiry	The reason for the action is expiry of timer TS1 <sub>RELOCoverall</sub> .
TS1 <sub>RELOCprep</sub> Expiry	Handover Preparation procedure is cancelled when timer TS1 <sub>RELOCprep</sub> expires.
Cell not available	The concerned cell is not available.
Unknown Target ID	Handover rejected because the target ID is not known to the EPC.
No radio resources available in target cell	Load on target cell is too high.
Unknown or already allocated MME UE S1AP ID	The action failed because the MME UE S1AP ID is either unknown, or (for a first message received at the eNB) is known and already allocated to an existing context.
Unknown or already allocated eNB UE S1AP ID	The action failed because the eNB UE S1AP ID is either unknown, or (for a first message received at the MME) is known and already allocated to an existing context.
Unknown or inconsistent pair of UE S1AP ID	The action failed because both UE S1AP IDs are unknown, or are known but do not define a single UE context.
Handover Desirable for Radio Reasons	The reason for requesting handover is radio related.
Time Critical Handover	handover is requested for time critical reason i.e. this cause value is reserved to represent all critical cases where the connection is likely to be dropped if handover is not performed.
Resource Optimisation Handover	The reason for requesting handover is to improve the load distribution with the neighbour cells.
Reduce Load in Serving Cell	Load on serving cell needs to be reduced.

the UE.  Load Balancing TAU Required  CS Fallback triggered  UE Not Available for PS Service  The action is requested for all load balancing and offload cases in the MME.  The action is due to a CS fallback that has been triggered  The action is requested due to a Cell Change Order that has been triggered. In case of CS fallback to GERAN, it indicates that either the target GERAN cell or the UE has no DTM capability.  Radio resources not available  Invalid QoS combination  Inter-RAT Redirection  Failure in the Radio Interface Procedure  Interaction with other procedure  Interaction with other procedure  Unknown E-RAB ID  Multiple E-RAB ID Instances  Multiple E-RAB ID Instances  Encryption and/or integrity protection algorithms not supported  S1 Intra system Handover triggered  The action is due to a S1 intra system handover that has been triggered.  The action is due to a CS fallback that has been triggered.  The action is requested for all load balancing and offload cases in the MME.  The action is due to a CS fallback that has been triggered.  The action is equested due to a CEII Change Order that has been triggered.  The action is due to a CS fallback that has been triggered.  The action is due to a CS fallback that has been triggered.  The action is due to a CS fallback that has been triggered.  The action is due to a CS fallback to a CEII Change Order that has been triggered.		
Radio Connection With UE Lost Load Balancing TAU Required The action is requested for all load balancing and offload cases in the MME.  CS Fallback triggered The action is due to a CS fallback that has been triggered UE Not Available for PS Service The action is requested due to a Cell Change Order that has been triggered. In case of CS fallback to GERAN, it indicates that either the target GERAN cell or the UE has no DTM capability.  Radio resources not available Invalid QoS combination Inter-RAT Redirection Inter-RAT Redirection Failure in the Radio Interface Procedure Interaction with other procedure Unknown E-RAB ID Multiple E-RAB ID Instances Fand bad been provided to the eNB Encryption and/or integrity protection algorithms not supported S1 Intra system Handover triggered The action is due to a S1 intra system handover that has been triggered.  X2 Handover triggered The action is requested due to load balancing and offload cases in the MME.  The action is due to a CS fallback that has been triggered.  The action is due to a Cell Change Order that has been triggered.  The action is due to inter-RAT redirection.  Radio interface procedure has failed  The action failed because the E-RAB ID is unknown in the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  Encryption and/or integrity protection algorithms not supported The eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE.  S1 Intra system Handover triggered The action is due to a S1 intra system handover that has been triggered.  X2 Handover triggered The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.	User Inactivity	e.g. S1 is requested to be released in order to optimise the
CS Fallback triggered  UE Not Available for PS Service  The action is due to a CS fallback that has been triggered  The action is requested due to a Cell Change Order that has been triggered.  In case of CS fallback to GERAN, it indicates that either the target GERAN cell or the UE has no DTM capability.  Radio resources not available  Invalid QoS combination  Inter-RAT Redirection  Failure in the Radio Interface Procedure  Interaction with other procedure  Unknown E-RAB ID  Multiple E-RAB ID Instances  Faction failed because the E-RAB ID is unknown in the eNB  Encryption and/or integrity protection algorithms not supported  S1 Intra system Handover triggered  S1 Inter system Handover triggered  The action is due to a S1 intra system handover that has been triggered.  X2 Handover triggered  Redirection towards 1xRTT  The release is requested due to redirection towards a 1xRTT system.	Radio Connection With UE Lost	The action is requested due to loosing the radio connection to
UE Not Available for PS Service  The action is requested due to a Cell Change Order that has been triggered. In case of CS fallback to GERAN, it indicates that either the target GERAN cell or the UE has no DTM capability.  Radio resources not available Invalid QoS combination Inter-RAT Redirection Failure in the Radio Interface Procedure Interaction with other procedure  Interaction with other procedure  Unknown E-RAB ID The action failed because the E-RAB ID is unknown in the eNB  Multiple E-RAB ID Instances Faction failed because multiple instance of the same E-RAB had been provided to the eNB  Encryption and/or integrity protection algorithms not supported S1 Intra system Handover triggered  S1 Inter system Handover triggered The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered Redirection towards 1xRTT  The release is requested due to redirection towards a 1xRTT system.	Load Balancing TAU Required	
been triggered. In case of CS fallback to GERAN, it indicates that either the target GERAN cell or the UE has no DTM capability.  Radio resources not available Invalid QoS combination Inter-RAT Redirection Failure in the Radio Interface Procedure Interaction with other procedure Interaction with other procedure  Unknown E-RAB ID Multiple E-RAB ID Instances Encryption and/or integrity protection algorithms not supported S1 Intra system Handover triggered  X2 Handover triggered  Radio resources are available The action was failed because of invalid QoS combination. The release is requested due to inter-RAT redirection. Radio interface procedure has failed The action is due to an ongoing interaction with another procedure  The action failed because the E-RAB ID is unknown in the eNB The action failed because multiple instance of the same E-RAB had been provided to the eNB The eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE.  The action is due to a S1 intra system handover that has been triggered.  X2 Handover triggered The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.		The action is due to a CS fallback that has been triggered
Radio resources not available Invalid QoS combination Inter-RAT Redirection Failure in the Radio Interface Procedure Interaction with other procedure Unknown E-RAB ID Multiple E-RAB ID Instances Encryption and/or integrity protection algorithms not supported S1 Inter system Handover triggered S1 Inter system Handover triggered X2 Handover triggered Radio resources are available The action was failed because of invalid QoS combination. The release is requested due to inter-RAT redirection. Radio interface procedure has failed The action is due to an ongoing interaction with another procedure The action failed because the E-RAB ID is unknown in the eNB The action failed because multiple instance of the same E-RAB had been provided to the eNB The eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE. The action is due to a S1 intra system handover that has been triggered.  X2 Handover triggered Redirection towards 1xRTT The release is requested due to redirection towards a 1xRTT system.	UE Not Available for PS Service	been triggered. In case of CS fallback to GERAN, it indicates that either the
Invalid QoS combination Inter-RAT Redirection Failure in the Radio Interface Procedure Interaction with other procedure Unknown E-RAB ID Multiple E-RAB ID Instances Encryption and/or integrity protection algorithms not supported S1 Intra system Handover triggered S1 Inter system Handover triggered X2 Handover triggered X2 Handover triggered Interaction with other procedure The action was failed because of invalid QoS combination. The release is requested due to inter-RAT redirection. Radio interface procedure has failed Procedure The action is due to an ongoing interaction with another procedure The action failed because the E-RAB ID is unknown in the eNB The action failed because multiple instance of the same E-RAB had been provided to the eNB The eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE. The action is due to a S1 intra system handover that has been triggered. The action is due to an X2 handover that has been triggered. The release is requested due to redirection towards a 1xRTT system.	Radio resources not available	
Failure in the Radio Interface Procedure  Interaction with other procedure  Unknown E-RAB ID  Multiple E-RAB ID Instances  Encryption and/or integrity protection algorithms not supported  S1 Intra system Handover triggered  X2 Handover triggered  Radio interface procedure has failed  Procedure  The action is due to an ongoing interaction with another procedure  The action failed because the E-RAB ID is unknown in the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  Encryption and/or integrity protection algorithms supported by the UE.  The action is due to a S1 intra system handover that has been triggered.  The action is due to a S1 inter system handover that has been triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.	4	
Interaction with other procedure  Unknown E-RAB ID  Multiple E-RAB ID Instances  Encryption and/or integrity protection algorithms not supported  S1 Intra system Handover triggered  X2 Handover triggered  Redirection towards 1xRTT  Inter action is due to an ongoing interaction with another procedure  The action is due to an ongoing interaction with another procedure  The action is due to an ongoing interaction with another procedure  The action failed because multiple instance of the same E-RAB had been provided to the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  The action algorithms supported by the UE.  The action is due to a S1 inter system handover that has been triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.	Inter-RAT Redirection	The release is requested due to inter-RAT redirection.
Unknown E-RAB ID  Multiple E-RAB ID Instances  Encryption and/or integrity protection algorithms not supported S1 Intra system Handover triggered  The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered  Redirection towards 1xRTT  The action failed because the E-RAB ID is unknown in the eNB Encryption failed because multiple instance of the same E-RAB had been provided to the eNB The action failed because multiple instance of the same E-RAB had been provided to the eNB The action failed because the E-RAB ID is unknown in the eNB  The action failed because the E-RAB ID is unknown in the eNB  RaB had been provided to the eNB The action algorithms supported by the UE.  The action is due to a S1 intra system handover that has been triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.		Radio interface procedure has failed
eNB  Multiple E-RAB ID Instances  Encryption and/or integrity protection algorithms not supported  S1 Intra system Handover triggered  S1 Inter system Handover triggered  X2 Handover triggered  Redirection towards 1xRTT  Encryption and/or integrity protection failed because multiple instance of the same E-RAB had been provided to the eNB  The action failed because multiple instance of the same E-RAB had been provided to the eNB  The eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE.  The action is due to a S1 intra system handover that has been triggered.  The action is due to a S1 inter system handover that has been triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.	Interaction with other procedure	
RAB had been provided to the eNB  Encryption and/or integrity protection algorithms not supported integrity protection algorithms supported by the UE.  S1 Intra system Handover triggered The action is due to a S1 intra system handover that has been triggered.  S1 Inter system Handover triggered The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered The action is due to an X2 handover that has been triggered.  Redirection towards 1xRTT The release is requested due to redirection towards a 1xRTT system.	Unknown E-RAB ID	
protection algorithms not supported integrity protection algorithms supported by the UE.  S1 Intra system Handover triggered triggered.  S1 Inter system Handover triggered The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered The action is due to an X2 handover that has been triggered.  Redirection towards 1xRTT The release is requested due to redirection towards a 1xRTT system.	Multiple E-RAB ID Instances	
S1 Intra system Handover triggered  S1 Inter system Handover triggered  S1 Inter system Handover triggered  The action is due to a S1 inter system handover that has been triggered.  The action is due to a S1 inter system handover that has been triggered.  X2 Handover triggered  The action is due to a S1 inter system handover that has been triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.		
triggered.  X2 Handover triggered  Redirection towards 1xRTT  triggered.  The action is due to an X2 handover that has been triggered.  The release is requested due to redirection towards a 1xRTT system.	S1 Intra system Handover triggered	The action is due to a S1 intra system handover that has been triggered.
Redirection towards 1xRTT  The release is requested due to redirection towards a 1xRTT system.	S1 Inter system Handover triggered	
system.	X2 Handover triggered	The action is due to an X2 handover that has been triggered.
	Redirection towards 1xRTT	system.
supported.	Not supported QCI Value	The E-RAB setup failed because the requested QCI is not
Invalid CSG Id The CSG ID provided to the target eNB was found invalid.	Invalid CSG Id	The CSG ID provided to the target eNB was found invalid.

Transport Layer cause	Meaning
Transport Resource Unavailable	The required transport resources are not available
Unspecified	Sent when none of the above cause values applies but still
	the cause is Transport Network Layer related

NAS cause	Meaning			
Normal Release	The release is normal			
Authentication Failure	The action is due to authentication failure.			
Detach	The action is due to detach.			
Unspecified	Sent when none of the above cause values applies but still			
·	the cause is NAS related			
CSG Subscription Expiry	The action is due to the UE becoming a non-member of the currently used CSG.			

Protocol cause	Meaning
Transfer Syntax Error	The received message included a transfer syntax error.
Abstract Syntax Error (Reject)	The received message included an abstract syntax error and
	the concerning criticality indicated 'reject'.
Abstract Syntax Error (Ignore And	The received message included an abstract syntax error and
Notify)	the concerning criticality indicated 'ignore and notify'.
Message Not Compatible With	The received message was not compatible with the receiver
Receiver State	state.
Semantic Error	The received message included a semantic error.
Abstract Syntax Error (Falsely	The received message contained IEs or IE groups in wrong
Constructed Message)	order or with too many occurrences.
Unspecified	Sent when none of the above cause values applies but still
	the cause is Protocol related

Miscellaneous cause	Meaning
Control Processing Overload	Control processing overload
Not Enough User Plane Processing	No enough resources are available related to user plane
Resources Available	processing.
Hardware Failure	Action related to hardware failure
O&M Intervention	The action is due to O&M intervention.
Unspecified Failure	Sent when none of the above cause values applies and the
	cause is not related to any of the categories Radio Network
	Layer, Transport Network Layer, NAS or Protocol.
Unknown PLMN	The MME does not identify any PLMN provided by the eNB

### 9.2.1.3a RRC Establishment Cause

The purpose of the *RRC Establishment Cause* IE is to indicate to the MME the reason for RRC Connection Establishment. The encoding is the same as that of the Establishment Cause IE defined in [16]

IE/Group Name	Presence	Range	IE type and reference	Semantics description
RRC Establishment Cause	М		ENUMERATED(emergency, highPriorityAccess, mt-Access, mo-Signalling, mo-Data,)	

### 9.2.1.4 Trace activation

Defines parameters related to a trace activation.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
E-UTRAN Trace ID	M		OCTET STRING (8)	The E-UTRAN Trace ID IE is composed of the following: Trace Reference defined in [10] (leftmost 6 octets), and Trace Recording Session Reference defined in [10] (last 2 octets)		
Interfaces To Trace	M		BIT STRING (8)	Each position in the bitmap represents a eNB interface first bit =S1-MME, second bit =X2, third bit =Uu other bits reserved for future use. Value "1" indicates "should be traced". Value "0" indicates "should not be trace".		
Trace depth	M		ENUMERATED( minimum, medium, maximum, MinimumWithoutVe ndorSpecificExtensi on, MediumWithoutVen dorSpecificExtensio n, MaximumWithoutVe ndorSpecificExtensi on,)	Defined in [10]		
Trace Collection Entity IP Address	М		Transport Layer Address 9.2.2.1	Defined in [10]		

### 9.2.1.5 Source ID

Void.

## 9.2.1.6 Target ID

The *Target ID* IE identifies the target for the handover. The target ID may be e.g. the target Global eNB-ID (for intra SAE/LTE), the RNC-ID (for SAE/LTE-UMTS handover) or the Cell Global ID of the handover target (in case of SAE/LTE to GERAN A/Gb mode handover).

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
CHOICE Target ID					-	-
>Target eNB-ID					-	-
>>Global eNB ID	M		9.2.1.37		-	-
>>Selected TAI	М		TAI 9.2.3.16		-	1
>Target RNC-ID					-	1
>>LAI	М		9.2.3.1		-	-
>>RAC	0		9.2.3.2		-	-
>>RNC-ID	M		INTEGER (04095)	If the Extended RNC-ID IE is included in the Target ID IE, the RNC-ID IE shall be ignored.	-	-
>>Extended RNC- ID	0		9.2.1.14	The Extended RNC-ID IE shall be used if the RNC identity has a value larger than 4095.	-	-
>CGI					-	-
>>PLMN identity	M		OCTET STRING (SIZE (3))	- digits 0 to 9, encoded 0000 to 1001,  - 1111 used as filler digit, two digits per octet,  - bits 4 to 1 of octet n encoding digit 2n-1  - bits 8 to 5 of octet n encoding digit 2n  -The PLMN identity consists of 3 digits from MCC followed by either  -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or  -3 digits from MNC (in case of a 3 digit MNC).	-	-
>>LAC	М		OCTET STRING (2)	0000 and FFFE not allowed.	-	-
>>CI	М		OCTET STRING (2)		-	-
>>RAC	0		9.2.3.2		-	-

# 9.2.1.7 Source eNB to Target eNB Transparent Container

The *Source eNB to target eNB Transparent Container* IE is an information element that is produced by the source eNB and is transmitted to the target eNB. For inter-system handovers to E-UTRAN, the IE is transmitted from the external handover source to the target eNB.

This IE is transparent to the EPC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
RRC Container	М		OCTET STRING	Includes the RRC Handover Preparation Information message as defined in subclause 10.2.2 of [16].	-	•
E-RABs Information List		01			-	
>E-RABs Information Item		1 to <maxnoof E-RABs&gt;</maxnoof 			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	
>>DL Forwarding	0		9.2.3.14		-	
Target Cell ID	M		E-UTRAN CGI 9.2.1.38		-	
Subscriber Profile ID for RAT/Frequency priority	0		9.2.1.39		-	
UE History Information	М		9.2.1.42		-	

Range bound	Explanation		
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.		

### 9.2.1.8 Target eNB to Source eNB Transparent Container

The *Target eNB to Source eNB Transparent Container* IE is an information element that is produced by the target eNB and is transmitted to the source eNB. For inter-system handovers to E-UTRAN, the IE is transmitted from the target eNB to the external relocation source.

This IE is transparent to EPC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
RRC Container	М		OCTET STRING	Includes the RRC E-UTRA Handover Command message as defined in subclause 10.2.2 of [16].	-	Chalcanty

## 9.2.1.9 Source RNC to Target RNC Transparent Container

This IE is used to transparently pass radio related information between the handover source and the handover target through the EPC. This container is used for inter 3GPP RAT handovers from SAE/LTE to UTRAN.

This IE defined in [19].

### 9.2.1.10 Target RNC to Source RNC Transparent Container

This container is used to transparently pass radio related information between the handover target and the handover source through the EPC. This container is used inter 3GPP RAT handovers from SAE/LTE to UTRAN.

This IE defined in [19].

### 9.2.1.11 Source BSS to Target BSS Transparent Container

This container is used to transparently pass radio related information between the handover source and the handover target through the EPC. This container is used for inter 3GPP RAT handovers from SAE/LTE to GERAN A/Gb mode.

This IE is defined in [18].

### 9.2.1.12 Target BSS to Source BSS Transparent Container

This container is used to transparently pass radio related information between the handover source and the handover target through the EPC. This container is used for inter 3GPP RAT handovers from SAE/LTE to GERAN A/Gb mode.

This IE is defined in [18].

## 9.2.1.13 Handover Type

This IE indicates which kind of handover was triggered in the source side.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Handover Type	M		ENUMERATED (IntraLTE, LTEtoUTRAN, LTEtoGERAN, UTRANtoLTE, GERANtoLTE)	

### 9.2.1.14 Extended RNC-ID

The Extended RNC-ID is used to identify an RNC.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
Extended RNC-ID	M		INTEGER	The Extended RNC-ID IE shall
			(409665535)	be used if the RNC identity
				has a value larger than 4095.

#### 9.2.1.15 E-RAB Level QoS Parameters

This IE defines the QoS to be applied to an E-RAB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
E-RAB Level QoS Parameters				
>QCI	M		INTEGER (0255)	QoS Class Identifier defined in [11]. Coding specified in [13]
>Allocation and Retention Priority	М		9.2.1.60	
>GBR QoS Information	0		9.2.1.18	This IE applies to GBR bearers only and shall be ignored otherwise.

### 9.2.1.16 Paging DRX

This IE indicates the Paging DRX as defined in [20].

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Paging DRX	M		ENUMERAT		-	
			ED(32, 64,			
			128, 256,)			

#### 9.2.1.17 Paging Cause

Void

#### 9.2.1.18 GBR QoS Information

This IE indicates the maximum and guaranteed bit rates of a GBR bearer for downlink and uplink.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
E-RAB Maximum Bit Rate Downlink	M		Bit Rate 9.2.1.19	Desc.: This IE indicates the maximum downlink E-RAB Bit Rate (i.e. from the EPC to E-UTRAN) for this bearer.
E-RAB Maximum Bit Rate Uplink	M		Bit Rate 9.2.1.19	Desc.: This IE indicates the maximum uplink E-RAB Bit Rate (i.e. from the E-UTRAN to the EPC) for this bearer.
E-RAB Guaranteed Bit Rate Downlink	М		Bit Rate 9.2.1.19	Desc.: This IE indicates the downlink guaranteed E-RAB Bit Rate (provided that there is data to deliver) from the EPC to the E-UTRAN for this bearer.
E-RAB Guaranteed Bit Rate Uplink	M		Bit Rate 9.2.1.19	Desc.: This IE indicates the uplink guaranteed E-RAB Bit Rate (provided that there is data to deliver) from the E-UTRAN to the EPC for this bearer

#### 9.2.1.19 Bit Rate

This IE indicates the number of bits delivered by E-UTRAN in UL or to E-UTRAN in DL within a period of time, divided by the duration of the period. It is used, for example, to indicate the maximum or guaranteed bit rate for a GBR bearer, or an aggregated maximum bit rate.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Bit Rate			INTEGER	The unit is: bit/s
			(010,000,0	
			00,000)	

## 9.2.1.20 UE Aggregate Maximum Bit Rate

The UE Aggregate Maximum Bitrate is applicable for all Non-GBR bearers per UE which is defined for the Downlink and the Uplink direction and provided by the MME to the eNB.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
UE Aggregate Maximum				Desc.:
Bit Rate				Applicable for non-GBR E-
				RABs
>UE Aggregate Maximum	M		Bit Rate	Desc.: This IE indicates the
Bit Rate Downlink			9.2.1.19	UE Aggregate Maximum Bit
				Rate in the downlink direction
>UE Aggregate Maximum	M		Bit Rate	Desc.: This IE indicates the
Bit Rate Uplink			9.2.1.19	UE Aggregate Maximum Bit
-				Rate in the uplink direction

## 9.2.1.21 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the eNB or the MME when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs were not comprehended or were missing.

For further details on how to use the Criticality Diagnostics IE, (see section 10).

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
Triggering Message	0		ENUMERATED( initiating message, successful outcome, unsuccessful outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
Procedure Criticality	0		ENUMERATED( reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).
Information Element Criticality Diagnostics		0 to <maxnoof errors=""></maxnoof>		
>IE Criticality	М		ENUMERATED( reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value "ignore" shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Type of Error	M		ENUMERATED( not understood, missing,)	

Range bound	Explanation		
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single		
	message. The value for maxnooferrors is 256.		

#### 9.2.1.22 Handover Restriction List

This IE defines area roaming or access restrictions for handover. If the eNB receives the Handover Restriction List, it shall overwrite previously received restriction information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Serving PLMN	М		9.2.3.8	
Equivalent PLMNs		0 <maxnoofepl MNs&gt;</maxnoofepl 		Allowed PLMNs in addition to Serving PLMN. This list corresponds to the list of 'equivalent PLMNs' as defined in [TS 24.008].
>PLMN Identity	M		9.2.3.8	
Forbidden TAs		0 <maxnoofepl MNsPlusOne&gt;</maxnoofepl 		intra LTE roaming restrictions
>PLMN Identity	М		9.2.3.8	The PLMN of forbidden TACs
>Forbidden TACs		1 <maxnooffor btacs=""></maxnooffor>		
>>TAC	М		9.2.3.7	The TAC of the forbidden TAI
Forbidden LAs		0 <maxnoofepl MNsPlusOne&gt;</maxnoofepl 		inter-3GPP RAT roaming restrictions
>PLMN Identity	M		9.2.3.8	
>Forbidden LACs		1 <maxnooffor blacs=""></maxnooffor>		
>>LAC	М		OCTET STRING(2)	
Forbidden inter RATs	0		ENUMERATED( ALL, GERAN, UTRAN, CDMA2000,, GERAN and UTRAN, CDMA2000 and UTRAN)	inter-3GPP and 3GPP2 RAT access restrictions

Range bound	Explanation
maxnoofEPLMNs	Maximum no. of equivalent PLMN lds. Value is 15.
maxnoofEPLMNsPlusOne	Maximum no. of equivalent PLMN lds plus one. Value is 16.
maxnoofForbTACs	Maximum no. of forbidden Tracking Area Codes. Value is 4096.
maxnoofForbLACs	Maximum no. of forbidden Location Area Codes. Value is 4096.

#### 9.2.1.23 CDMA2000-PDU

This information element contains a CDMA2000 message between the UE and CDMA2000 RAT that is transferred without interpretation in the eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000-PDU	M		OCTET	
			STRING	

## 9.2.1.24 CDMA2000 RAT Type

In the uplink, this information element, along with the *CDMA2000 Sector ID* IE is used for routing the tunnelled CDMA2000 message to the proper destination node in the CDMA2000 RAT and is set by the eNB to the CDMA2000 RAT type received from the UE.

NOTE: In the downlink, this information element is used by the eNB to provide an indication of the RAT Type associated with the tunnelled CDMA2000 message to the UE to help it route the tunnelled downlink CDMA2000 message to the appropriate CDMA upper layer.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
CDMA2000 RAT Type	М		ENUMERATED (HRPD, 1xRTT,)	This IE is used to identify which CDMA2000 RAT the tunnelled CDMA2000 signalling is associated with. The source of this information in the uplink is
				the UE and in the downlink it is the CDMA2000 system.

#### 9.2.1.25 CDMA2000 Sector ID

This information element, along with the *RAT Type* IE is used for routing the tunnelled CDMA2000 message to the proper destination node in the CDMA2000 RAT.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000 Sector ID	М		OCTET STRING	This IE is set to CDMA2000 Reference Cell ID corresponding to the HRPD/1xRTT sector under the HRPD AN/1xBS towards which the signalling is performed. The CDMA2000 Reference Cell ID is statically configured in the eNB. If the RAT type is HRPD, this IE contains the HRPD Sector ID as specified in 3GPP2 C.S0024 [27]. If the RAT type is 1x RTT, this IE is encoded as the Reference Cell ID IE in 3GPP2 A.S0008 [25].

## 9.2.1.26 Security Context

The purpose of the *Security Context* IE is to provide security related parameters to the eNB which are used to derive security keys for user plane traffic and RRC signalling messages and for security parameter generation for subsequent X2 or intra eNB Handovers, or for the security parameters for the current S1 Handover. For intra LTE S1 Handover one pair of {NCC, NH} is provided for 1-hop security, see [15].

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Next Hop Chaining Count	М		INTEGER (07)	Next Hop Chaining Counter (NCC) defined in [15]. For inter-RAT Handover into LTE the Next Hop Chaining Count IE takes the value defined for NCC at initial setup, i.e. Next Hop Chaining Count IE = '0'.
Next-Hop NH	М		9.2.1.41 Security Key	The NH together with the NCC is used to derive the security configuration as defined in [15]. For inter RAT Handover the Next-Hop NH IE is the KeNB to be used in the new configuration.

### 9.2.1.27 UE Radio Capability

This IE contains UE Radio Capability information.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
UE Radio Capability	М		OCTET STRING	Includes the UERadioAccessCapabilityInf ormation message as defined in 10.2.2 of [16]

#### 9.2.1.28 CDMA2000 HO Status

This IE is used to indicate to the eNB which initiated an inter-RAT HO towards CDMA2000 about the outcome of the handover preparation to CDMA2000.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000 HO Status	M		ENUMERATED	This IE indicates the status of
			(HO Success, HO	the handover resource
			Failure,)	allocation in the CDMA2000
			, ,	RAT.

#### 9.2.1.29 CDMA2000 HO Required Indication

This information element is set by the eNB to provide an indication about whether the UE has initiated the handover preparation with the CDMA2000 RAT.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000 HO Required Indication	М		ENUMERATED (true,)	This IE indicates to MME that handover preparation to CDMA2000 has been started. It helps MME to decide when to send certain handover preparation information for HRPD [8] and 1xRTT [9] to the CDMA2000 RAT.

#### 9.2.1.30 1xRTT MEID

Void.

## 9.2.1.31 eNB Status Transfer Transparent Container

The *eNB Status Transfer Transparent Container* IE is an information element that is produced by the source eNB and is transmitted to the target eNB. This IE is used for the intra SAE/LTE S1 handover case.

This IE is transparent to the EPC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
E-RABs Subject to Status Transfer List		1		-	-	-
>E-RABs Subject to Status Transfer Item		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>>E-RAB ID	М		9.2.1.2		-	-
>>UL COUNT value	М		COUNT Value 9.2.1.32	PDCP-SN and HFN of first missing UL PDCP SDU	-	-
>>DL COUNT value	М		COUNT Value 9.2.1.32	PDCP-SN and HFN that the target eNB should assign for the next DL SDU not having an SN yet	-	-
>>Receive Status Of UL PDCP SDUs	0		BIT STRING (4096)	PDCP Sequence Number = (First Missing SDU Number + bit position) modulo 4096  0: PDCP SDU has not been received. 1: PDCP SDU has been received correctly.		

Range bound	Explanation		
maxnoofE-RABs	Maximum no. of E-RABs for one UE. Value is 256.		

#### 9.2.1.32 COUNT value

This IE contains a PDCP sequence number and a hyper frame number.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
PDCP-SN	M		INTEGER (04095)		-	-
HFN	М		INTEGER (01048575)		-	-

#### 9.2.1.33 CDMA2000 1xRTT RAND

This information element is a random number generated by the eNB and tunnelled to the 1xCS IWS [8] and is transparent to MME.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000 1xRTT RAND	М		OCTET STRING	This IE is a Random Challenge that is used for authentication of UE during 1xCS registration, eCSFB to 1xRTT or handover from E- UTRAN to CDMA2000 1xRTT RAT.
				This IE is coded as the RAND (32bits) of the Authentication Challenge Parameter (RAND) in [25].

## 9.2.1.34 Request Type

The purpose of the Request Type IE is to indicate the type of location request to be handled by the eNB.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Request Type				
>Event	М		ENUMERATED(Direct, Change of service cell, Stop Change of service cell)	
>Report Area	M			
			ENUMERATED (ECGI,)	

#### 9.2.1.35 CDMA2000 1xRTT SRVCC Info

This IE defines SRVCC related information elements that are assembled by the MME to be tunnelled transparently to the 1xCS IWS [8] system.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CDMA2000 1xRTT SRVCC Info				
>CDMA2000 1xRTT MEID	М		OCTET STRING	This information element is the Mobile Equipment Identifier or Hardware ID that is tunnelled from the UE and is transparent to the eNB. This IE is used to derive a MEID-based PLCM that is used for channelization in CDMA2000 1xRTT network.
>CDMA2000 1xRTT Mobile Subscription Information	М		OCTET STRING	This IE provides the list of UE supported 1x RTT Band classes and Band Subclasses. It is provided by the UE to the eNB as part of the UE capability. It is transparent to the eNB.
>CDMA2000 1xRTT Pilot List	М		OCTET STRING	This IE provides the measured pilot information. Encoded as the <i>Pilot List</i> IE from the A21-1x air interface signalling message in [25].

#### 9.2.1.36 E-RAB List

This IE contains a list of E-RAB IDs with a cause value. It is used for example to indicate failed bearers or bearers to be released.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
E-RAB List Item		1 to <maxnoof e-<br="">RABs&gt;</maxnoof>			EACH	ignore
>E-RAB ID	М		9.2.1.2		-	-
>Cause	М		9.2.1.3		-	-

Range bound	Explanation
maxnoofE-RABs	Maximum no. of E-RAB allowed towards one UE, the maximum
	value is 256.

## 9.2.1.37 Global eNB ID

This information element is used to globally identify an eNB (see [2]).

IE/Group Name	Presence	Range	IE type and reference	Semantics description
PLMN Identity	М		9.2.3.8	
CHOICE eNB ID	M			
>Macro eNB ID				
>>Macro eNB ID	M		BIT STRING (20)	Equal to the 20 leftmost bits of the <i>Cell Identity</i> IE contained in the <i>E-UTRAN CGI</i> IE (see section 9.2.1.38) of each cell served by the eNB
>Home eNB ID				
>>Home eNB ID	M		BIT STRING (28)	Equal to the <i>Cell Identity</i> IE contained in the <i>E-UTRAN CGI</i> IE (see section 9.2.1.38) of the cell served by the eNB

#### 9.2.1.38 E-UTRAN CGI

This information element is used to globally identify a cell (see [2]).

IE/Group Name	Presence	Range	IE type and reference	Semantics description
PLMN Identity	M		9.2.3.8	
Cell Identity	M		BIT STRING (28)	The leftmost bits of the Cell Identity correspond to the eNB ID (defined in section 9.2.1.37).

## 9.2.1.39 Subscriber Profile ID for RAT/Frequency priority

The *Subscriber Profile ID* IE for RAT/Frequency Selection Priority is used to define camp priorities in Idle mode and to control inter-RAT/inter-frequency handover in Active mode [14].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Subscriber Profile ID for RAT/Frequency Priority	М		INTEGER (1256)	

## 9.2.1.40 UE Security Capabilities

The UE Security Capabilities IE defines the supported algorithms for encryption and integrity protection in the UE.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
<b>UE Security Capabilities</b>				
>Encryption Algorithms	M		BIT STRING (16,)	Each position in the bitmap represents an encryption algorithm: 'all bits equal to 0' – UE supports no other algorithm than EEA0 'first bit' – 128-EEA1, 'second bit' – 128-EEA2, other bits reserved for future use. Value "1" indicates support and value "0" indicates no support of the algorithm. Algorithms are defined in [15]
>Integrity Protection Algorithms	M		BIT STRING (16,)	Each position in the bitmap represents an integrity protection algorithm: 'all bits equal to 0' – UE supports no other algorithm than EIA0 ([15]) 'first bit' – 128-EIA1, 'second bit' – 128-EIA2, other bits reserved for future use. Value "1" indicates support and value "0" indicates no support of the algorithm. Algorithms are defined in [15].

## 9.2.1.41 Security Key

The Security Key IE is used to apply security in the eNB for different scenarios as defined in [15].

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Security Key	М		BIT STRING	Key material for KeNB or
			(SIZE(256))	Next Hop Key as defined in
				[15]

## 9.2.1.42 UE History Information

The *UE History Information* IE contains information about cells that a UE has been served by in active state prior to the target cell.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Last Visited Cell List		1 to <maxnrofcells></maxnrofcells>		Most recent information is added to the top of this list	<u>-</u>	-
>Last Visited Cell Information	М		9.2.1.43		-	-

Range bound	Explanation			
MaxNrOfCells	Maximum length of the list. Value is 16.			

#### 9.2.1.43 Last Visited Cell Information

The Last Visited Cell Information may contain E-UTRAN or UTRAN cell specific information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
CHOICE Last Visited Cell Information	M				-	-
>E-UTRAN Cell						
>>Last Visited E-UTRAN Cell Information	М		9.2.1.43a		-	-
>UTRAN Cell						
>>Last Visited UTRAN Cell Information	М		OCTET STRING	Defined in [19]	-	-
>GERAN Cell						
>>Last Visited GERAN Cell Information	М		9.2.1.43b		-	-

## 9.2.1.43a Last Visited E-UTRAN Cell Information

The Last Visited E-UTRAN Cell Information contains information about a cell that is to be used for RRM purposes.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Global Cell ID	M		E-UTRAN CGI 9.2.1.38		-	
Cell Type	М		9.2.1.66		-	
Time UE stayed in Cell	M		INTEGER (04095)	The duration of the time the UE stayed in the cell in seconds. If the UE stays in a cell more than 4095s, this IE is set to 4095	-	

#### 9.2.1.43b Last Visited GERAN Cell Information

The Last Visited Cell Information for GERAN is currently undefined.

NOTE: If in later Releases this is defined, the choice type may be extended with the actual GERAN specific information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
CHOICE Last Visited GERAN Cell Information	М				-	
>Undefined	М		NULL		-	

## 9.2.1.44 Message Identifier

The purpose of the *Message Identifier* IE is to identify the warning message. Message Identifier IE is set by the EPC and transferred to the UE by the eNB

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Message Identifier	M		BIT STRING (16)	This IE is set by the EPC, transferred to the UE by the eNB. The eNB shall treat it as an identifier of the message.

#### 9.2.1.45 Serial Number

The Serial Number IE identifies a particular message from the source and type indicated by the Message Identifier and is altered every time the message with a given Message Identifier is changed.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Serial Number	M		BIT STRING(16)	

## 9.2.1.46 Warning Area List

The Warning Area List IE indicates the areas where the warning message needs to be broadcast.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE Warning Area				
>Cell ID List		1 to <maxnoofce IIID&gt;</maxnoofce 		
>>E-CGI	M		9.2.1.38	
>TAI List		1 to <maxnoofta Is&gt;</maxnoofta 		
>>TAI	M		9.2.3.16	
>Emergency Area ID List		1 to <maxnoofe mergencyAr ealD&gt;</maxnoofe 		
>>Emergency Area ID	M		9.2.1.47	

Range bound	Explanation
maxnoofCellID	Maximum no. of Cell ID subject for warning message broadcast.
	Value is 65535.
maxnoofTAI	Maximum no. of TAI subject for warning message broadcast. Value
	is 65535.
maxnoofEmergencyAreaID	Maximum no. of Emergency Area ID subject for warning message
	broadcast. Value is 65535.

## 9.2.1.47 Emergency Area ID

The *Emergency Area ID* IE is used to indicate the area which has the emergency impact.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Emergency Area ID	М		OCTET STRING(3)	Emergency Area ID may consist of several cells. Emergency Area ID is defined by the operator.

## 9.2.1.48 Repetition Period

The  $Repetition\ Period\ IE$  indicates the periodicity of the warning message to be broadcast.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Repetition Period	M		INTEGER (04095)	-Range 1 to 4095: each unit will represent a repetition of one second to a maximum of once per ~1 hour

#### 9.2.1.49 Number of Broadcasts Requested

The Number of Broadcast Requested IE indicates the number of times a message is to be broadcast.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Number of Broadcasts Requested	M		INTEGER (065535)	

### 9.2.1.50 Warning Type

The *Warning Type* IE indicates types of the disaster. This IE also indicates that a Primary Notification is included. This IE can be used by the UE to differentiate the type of alert according to the type of disaster.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Warning Type	M		OCTET STRING(2)	

#### 9.2.1.51 Warning Security Information

The Warning Security Information IE provides the security information needed for securing the Primary Notification.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Warning Security Information	M		OCTET STRING(50)	

## 9.2.1.52 Data Coding Scheme

The *Data Coding Scheme* IE identifies the alphabet or coding employed for the message characters and message handling at the UE (it is passed transparently from the EPC to the UE).

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Data Coding Scheme	M		BIT STRING(8)	

#### 9.2.1.53 Warning Message Contents

The Warning Message Content IE contains user information e.g. the message with warning contents, and will be broadcast over the radio interface.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics
				Description
Warning Message Contents	M		OCTET STRING (SIZE(19600))	The length of
				this IE varies
				between 1 to
				9600 bytes.

## 9.2.1.54 Broadcast Completed Area List

The Broadcast Completed Area List IE indicates the areas where broadcast was performed successfully.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE Broadcast				
Completed Area				
>Broadcast Completed				
Area				
>>Cell ID Broadcast		1 to <maxnoofce IIID&gt;</maxnoofce 		
>>>E-CGI	M		9.2.1.38	
>TAI Broadcast				
>>TAI Broadcast		1 to <maxnoofta Is&gt;</maxnoofta 		
>>>TAI	M		9.2.3.16	
>>>Completed Cell in TAI List		1 to <maxnoofce IIIDinTA&gt;</maxnoofce 		
>>>>E-CGI	М			
>Emergency Area ID				
>>Emergency Area ID Broadcast		1 to <maxnoofe mergencyAr ealD&gt;</maxnoofe 		
>>>Emergency Area ID	М		9.2.1.47	
>>>Completed Cell in Emergency Area ID List		1 to <maxnoofce IlinEA&gt;</maxnoofce 		
>>>E-CGI	М			

Range bound	Explanation
maxnoofCellID	Maximum no. of Cell ID subject for warning message broadcast.
	Value is 65535.
maxnoofTAI	Maximum no. of TAI subject for warning message broadcast. Value
	is 65535.
maxnoofEmergencyAreaID	Maximum no. of Emergency Area ID subject for warning message
	broadcast. Value is 65535.
maxnoofCellIDinTA	Maximum no. of Cell ID within a TAI. Value is 65535.
maxnoofCellIDinEA	Maximum no. of Cell ID within an Emergency Area. Value is 65535.

## 9.2.1.55 Inter-system Information Transfer Type

The *Inter-system Information Type* IE indicates the type of information that the eNB requests to transfer.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE Inter-system Information Transfer Type				
>RIM				
>>RIM Transfer			9.2.3.23	

## 9.2.1.56 Source To Target Transparent Container

The *Source to Target Transparent Container* IE is an information element that is used to transparently pass radio related information from the handover source to the handover target through the EPC; it is produced by the source RAN node and is transmitted to the target RAN node.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Source to Target Transparent Container	M		OCTET STRING	This IE includes a transparent container from the source RAN node to the target RAN node. In inter-system handovers from E-UTRAN, the IE is encoded according to the specifications of the target system.  Note: in the current version of the specification, this IE may either carry the Source eNB to Target eNB Transparent Container IE or the Source RNC to Target RNC Transparent Container IE as defined in [19] or the Source BSS to Target BSS Transparent Container IE as defined in [18] or the Old BSS to New BSS information IE as defined in [23].

## 9.2.1.57 Target To Source Transparent Container

The *Target to Source Transparent Container* IE is an information element that is used to transparently pass radio related information from the handover target to the handover source through the EPC; it is produced by the target RAN node and is transmitted to the source RAN node.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Target to Source Transparent Container	M		OCTET STRING	This IE includes a transparent container from the target RAN node to the source RAN node. In inter-system handovers from E-UTRAN, the IE is encoded according to the specifications of the target system.  Note: in the current version of the specification, this IE may either carry the Target eNB to Source eNB Transparent Container IE or the Target RNC to Source RNC Transparent Container IE as defined in [19] or the Target BSS to Source BSS Transparent Container IE as defined in [18] or the Layer 3 Information IE as defined in [23].

## 9.2.1.58 SRVCC Operation Possible

This element indicates that both UE and MME are SRVCC-capable. E-UTRAN behaviour on receipt of this IE is specified in [9].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SRVCC operation possible	M		ENUMERATED	
			(Possible,)	

#### 9.2.1.59 SRVCC HO Indication

This information element is set by the source eNB to provide an indication that E-RAB may be subjected to handover via SRVCC means.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SRVCC HO Indication	M		ENUMERATED	
			(PS and CS, CS only,)	

# 9.2.1.60 Allocation and Retention Priority

This IE specifies the relative importance compared to other E-RABs for allocation and retention of the E-UTRAN Radio Access Bearer.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Allocation/Retention Priority				
>Priority Level	M		INTEGER (015)	Desc.: This IE should be understood as 'priority of allocation and retention' (see [11]). Usage: Value 15 means 'no priority'. Values between 1 and 14 are ordered in decreasing order of priority, i.e. 1 is the highest and 14 the lowest. Value 0 shall be treated as a logical error if received.
>Pre-emption Capability	M		ENUMERAT ED(shall not trigger pre- emption, may trigger pre-emption)	Descr.: This IE indicates the pre-emption capability of the request on other E-RABs Usage: The E-RAB shall not pre-empt other E-RABs or, the E-RAB may pre-empt other E-RABs The Pre-emption Capability indicator applies to the allocation of resources for an E-RAB and as such it provides the trigger to the pre-emption procedures/processes of the eNB.
>Pre-emption Vulnerability	M		ENUMERAT ED(not pre- emptable, pre- emptable)	Desc.: This IE indicates the vulnerability of the E-RAB to preemption of other E-RABs. Usage: The E-RAB shall not be preempted by other E-RABs or the E-RAB may be pre-empted by other RABs. Pre-emption Vulnerability indicator applies for the entire duration of the E-RAB, unless modified and as such indicates whether the E-RAB is a target of the pre-emption procedures/processes of the eNB

## 9.2.1.61 Time to wait

This IE defines the minimum allowed waiting times.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Time to wait	M		ENUMERATED(1s,	
			2s, 5s, 10s, 20s,	
			60s)	ļ

## 9.2.1.62 CSG ld

This information element indicates the identifier of the Closed Subscriber Group, as defined in [21].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CSG Id	М		BIT STRING (SIZE (27))	

#### 9.2.1.63 CSG ld List

Void

#### 9.2.1.64 MS Classmark 2

The coding of this element is described in [23].

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
MS Classmark 2	М		OCTET STRING	Coded same way as the Mobile Station Classmark 2 IE
				defined in [23]

#### 9.2.1.65 MS Classmark 3

The coding of this element is described in [23].

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
MS Classmark 3	М		OCTET STRING	Coded same way as the Mobile Station Classmark 3 IE defined in [23]

#### 9.2.1.66 Cell Type

The cell type provides the cell coverage area.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Cell Size	M		ENUMERAT		-	-
			ED			
			(verysmall,			
			small,			
			medium,			
			large,)			

#### 9.2.1.67 Old BSS to New BSS Information

This container is used to transparently pass radio related information between the handover source and the handover target through the EPC. This container is used for inter 3GPP RAT handovers from SAE/LTE to GERAN A/Gb mode.

This IE is defined in [23].

#### 9.2.1.68 Layer 3 Information

This container is used to transparently pass radio related information between the handover target and the handover source through the EPC. This container is used for inter 3GPP RAT handovers from SAE/LTE to GERAN A/Gb mode.

This IE is defined in [23].

### 9.2.1.69 EUTRAN Round Trip Delay Estimation Info

This IE contains the information to assist target HRPD access with the acquisition of the UE.

IE/Group Name	Presence	Range	IE Type and	Semantics Description
			Reference	
EUTRAN Round Trip Delay	M		INTEGER (02047)	Includes the Round Trip
Estimation Info				Delay between the eNB and
				the UE. The unit is $16T_s$ (see
				section 4.2.3 in [26]).

## 9.2.1.70 Broadcast Cancelled Area List

The Broadcast Cancelled Area List IE indicates the areas where broadcast was stopped successfully.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE Broadcast Cancelled Area				
>CID Cancelled				
>>Cell ID Cancelled		1 to <maxnoofce IIID&gt;</maxnoofce 		
>>>E-CGI	M		9.2.1.38	
>>>Number of Broadcasts	М		9.2.1.71	
>TAI Cancelled				
>>TAI Cancelled		1 to <maxnoofta Is&gt;</maxnoofta 		
<b>&gt;</b> >>TAI	М		9.2.3.16	
>>>Cancelled Cell in TAI List		1 to <maxnoofce IIIDinTA&gt;</maxnoofce 		
>>>E-CGI	M			
>>>Number of Broadcasts	М		9.2.1.71	
>Emergency Area Cancelled				
>>Emergency Area ID Cancelled		1 to <maxnoofe mergencyAr eaID&gt;</maxnoofe 		
>>>Emergency Area ID	M		9.2.1.47	
>>>Cancelled Cell in Emergency Area ID List		1 to <maxnoofce IlinEA&gt;</maxnoofce 		
>>>E-CGI	M			
>>>Number of Broadcasts	М		9.2.1.71	

Range bound	Explanation
maxnoofCellID	Maximum no. of Cell ID subject for warning message broadcast.
	Value is 65535.
maxnoofTAI	Maximum no. of TAI subject for warning message broadcast. Value
	is 65535.
maxnoofEmergencyAreaID	Maximum no. of Emergency Area ID subject for warning message
	broadcast. Value is 65535.
maxnoofCellIDinTA	Maximum no. of Cell ID within a TAI. Value is 65535.
maxnoofCellIDinEA	Maximum no. of Cell ID within an Emergency Area. Value is 65535.

#### 9.2.1.71 Number of Broadcasts

The *Number of Broadcasts* IE indicates the number of times that a particular message has been broadcast in a given warning area.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Number of Broadcasts	M		INTEGER(065535)	This IE is set to "0" if valid results are not known or not available. It is set to 65535 if the counter results have overflown.

## 9.2.1.72 Concurrent Warning Message Indicator

The *Concurrent Warning Message Indicator* IE indicates to eNB that the received warning message is a new message to be scheduled for concurrent broadcast with any other ongoing broadcast of warning messages.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Concurrent Warning Message Indicator	М		ENUMERATED(true)	This IE allows ETWS and CMAS messages defined in [29] to be differentiated over S1

## 9.2.1.73 CSG Membership Status

This element indicates the membership status of the UE to a particular CSG.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
CSG Membership Status	M		ENUMERATED	
_			(member, not-	
			member)	

#### 9.2.1.74 Cell Access Mode

This element indicates the access mode of the cell accessed by the UE.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Cell Access Mode	М		ENUMERATED (hybrid,)	

## 9.2.1.75 Extended Repetition Period

The Extended Repetition Period IE indicates the periodicity of the warning message to be broadcast.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Extended Repetition Period	M		INTEGER (40962 <sup>17</sup> -1)	The Extended Repetition Period IE is used if the Repetition Period of a CMAS warning message defined in [29] has a value larger than 4095.

## 9.2.1.76 Data Forwarding Not Possible

This information element indicates that the MME decided that the corresponding E-RAB bearer will not be subject to data forwarding.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Data Forwarding Not	M		ENUMERATED (Data	
Possible			forwarding not possible,)	

#### 9.2.1.77 PS Service Not Available

This IE indicates that the UE is not available for the PS service in the target cell in case of SRVCC to GERAN.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
PS Service Not Available	M		ENUMERATED (PS	
			service not Available,)	

# 9.2.2 Transport Network Layer Related IEs

## 9.2.2.1 Transport Layer Address

This information element is an IP address.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
Transport Layer Address	M		BIT STRING (1160,)	The Radio Network Layer is not supposed to interpret the address information. It should pass it to the transport layer for interpretation. For details on the Transport Layer Address, see ref. [12].

#### 9.2.2.2 GTP-TEID

This information element is the GTP Tunnel Endpoint Identifier to be used for the user plane transport between eNB and the serving gateway.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
GTP-TEID	М		OCTET STRING (4)	

## 9.2.3 NAS Related IEs

#### 9.2.3.1 LAI

This information element is used to uniquely identifies a Location Area.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
LAI				
>PLMN identity	M		OCTET STRING (SIZE (3))	- digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n-1 - bits 8 to 5 of octet n encoding digit 2n  -The PLMN identity consists of 3 digits from MCC followed by either -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC).
>LAC	М		OCTET STRING (2)	0000 and FFFE not allowed.

#### 9.2.3.2 RAC

This information element is used to identify a Routing Area within a Location Area. It is used for PS services.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
RAC	М		OCTET STRING (1)	

## 9.2.3.3 MME UE S1AP ID

The MME UE S1AP ID uniquely identifies the UE association over the S1 interface within the MME.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
MME UE S1AP ID	M		INTEGER (0 2 <sup>32</sup> -1)	

#### 9.2.3.4 eNB UE S1AP ID

The eNB UE S1AP ID uniquely identify the UE association over the S1 interface within the eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
eNB UE S1AP ID	M		INTEGER (0 2 <sup>24</sup> -1)	

#### 9.2.3.5 NAS-PDU

This information element contains an EPC – UE or UE – EPC message that is transferred without interpretation in the eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
NAS-PDU	M		OCTET	
			STRING	

#### 9.2.3.6 S-TMSI

The Temporary Mobile Subscriber Identity is used for security reasons, to hide the identity of a subscriber.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
MMEC	M		9.2.3.12			
M-TMSI	M		OCTET STRING (SIZE (4))	M-TMSI is unique within MME that allocated it.		

#### 9.2.3.7 TAC

This information element is used to uniquely identify a Tracking Area Code.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
TAC	М		OCTET	
			STRING	
			(SIZE (2))	

## 9.2.3.8 PLMN Identity

This information element indicates the PLMN Identity.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
PLMN identity	M		OCTET STRING (SIZE (3))	- digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n-1 - bits 8 to 5 of octet n encoding digit 2n  -The Selected PLMN identity consists of 3 digits from MCC followed by either -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC).

## 9.2.3.9 GUMMEI

This information element indicates the globally unique MME identity.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
GUMMEI				
>PLMN identity	M		OCTET STRING (SIZE (3))	- digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n-1 - bits 8 to 5 of octet n encoding digit 2n  -The Selected PLMN identity consists of 3 digits from MCC followed by either -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digits MNC).
>MME Group ID	M		OCTET STRING	or a o digit ivil 40).
			(2)	
>MME code	M		9.2.3.12	

# 9.2.3.10 UE Identity Index value

The UE Identity Index value IE is used by the eNB to calculate the Paging Frame [20].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
UE Identity Index Value	М		BIT STRING (10)	Coded as specified in [20]

#### 9.2.3.11 IMSI

This information element contains an International Mobile Subscriber Identity, which is commonly used to identify the UE in the CN.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
IMSI	М		OCTET STRING (SIZE (38))	- digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bit 4 to 1 of octet n encoding digit 2n-1 - bit 8 to 5 of octet n encoding digit 2n  -Number of decimal digits shall be from 6 to 15 starting with the digits from the PLMN identity. When the IMSI is made of an odd number of digits, the filler digit shall be added at the end to make an even number of digits of length 2N. The filler digit shall then be consequently encoded as bit 8 to 5 of octet N.

#### 9.2.3.12 MMEC

This information element represents the MME Code to uniquely identify an MME within an MME pool area.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
MMEC	M		OCTET	
			STRING	
			(SIZE (1))	

## 9.2.3.13 UE Paging Identity

This IE represents the Identity with which the UE is paged.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE UE Paging Identity				
>IMSI				
>>IMSI	М		9.2.3.11	
>S-TMSI				
>>S-TMSI	М		9.2.3.6	

## 9.2.3.14 DL Forwarding

This information element indicates that the E-RAB is proposed for forwarding of downlink packets.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
DL Forwarding				
>DL Forwarding	M		ENUMERATED	
			(DL forwarding	
			proposed,)	

## 9.2.3.15 Direct Forwarding Path Availability

The availability of a direct forwarding path shall be determined by the source eNB. The EPC behaviour on receipt of this IE is specified in [11].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Direct Forwarding Path	M		ENUMERATED	
Availability			(Direct Path	
			Available,)	

### 9.2.3.16 TAI

This information element is used to uniquely identify a Tracking Area.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
TAI				
>PLMN identity	M		9.2.3.8	
>TAC	M		9.2.3.7	

## 9.2.3.17 Relative MME Capacity

This IE indicates the relative processing capacity of an MME with respect to the other MMEs in the pool in order to load-balance MMEs within a pool [defined 11].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Relative MME Capacity	M		INTEGER	
			(0255)	

### 9.2.3.18 UE S1AP ID pair

This IE contains a pair of UE S1AP identities.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
MME UE S1AP ID	М		9.2.3.3		-	-
eNB UE S1AP ID	М		9.2.3.4		-	-

## 9.2.3.19 Overload Response

The Overload Response IE indicates the required behaviour of the eNB in an overload situation.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE Overload Response				
>Overload Action				
>>Overload Action	M		9.2.3.20	

#### 9.2.3.20 Overload Action

The *Overload Action* IE indicates which signalling traffic needs to be rejected/permitted by the eNB in an MME overload situation as defined in [11],

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Overload Action	M	······································	ENUMERATED (Reject all RRC connection establishments for non-emergency MO DT, Reject all RRC connection establishments for Signalling, Permit Emergency Sessions and mobile terminated services only,)	
		l		

#### 9.2.3.21 CS Fallback Indicator

The IE indicates that a fallback to the CS domain is needed.

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
CS Fallback Indicator	M		ENUMERAT	
			ED(CS	
			Fallback	
			required,,	
			CS Fallback	
			High Priority)	

#### 9.2.3.22 CN Domain

This IE indicates whether Paging is originated from the CS or PS domain.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CN Domain	M		ENUMERAT ED(PS, CS)	

#### 9.2.3.23 RIM Transfer

This IE contains the RIM Information (e.g. NACC information) and additionally in uplink transfers the RIM routing address of the destination of this RIM information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
RIM Transfer				
>RIM Information	М		9.2.3.24	
>RIM Routing Address	0		9.2.3.25	

#### 9.2.3.24 RIM Information

This IE contains the RIM Information (e.g. NACC information) i.e. the BSSGP RIM PDU from the RIM application part contained in the eNB, or the BSSGP RIM PDU to be forwarded to the RIM application part in the eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
RIM Information				
>RIM Information	М		OCTET STRING	Contains the BSSGP RIM PDU as defined in ref [18].

## 9.2.3.25 RIM Routing Address

This IE identifies the destination node where the RIM Information needs to be routed by the CN.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
CHOICE RIM Routing Address						
>GERAN-Cell-ID					-	
>>LAI	М		9.2.3.1		-	
>>RAC	M		9.2.3.2		-	
>>Cl	M		OCTET STRING (2)		-	
>Target RNC-ID			, ,		-	
>>LAI	М		9.2.3.1		-	
>>RAC	0		9.2.3.2		-	
>>RNC-ID	М		INTEGER (04095)	If the Extended RNC-ID IE is included in the Target ID IE, the RNC-ID IE shall be ignored.	-	
>>Extended RNC- ID	0		9.2.1.14	The Extended RNC-ID IE shall be used if the RNC identity has a value larger than 4095.	-	

# 9.2.3.26 SON Configuration Transfer

This IE contains the SON Information and additionally includes the eNB identifier of the destination of this SON information and the eNB identifier of the source of this information.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SON Configuration Transfer				
>Target eNB-ID	M			
>>Global eNB ID	M		9.2.1.37	
>>Selected TAI	M		TAI 9.2.3.16	
>Source eNB-ID	M			
>>Global eNB ID	M		9.2.1.37	
>>Selected TAI	M		TAI 9.2.3.16	
>SON Information	М		9.2.3.27	

#### 9.2.3.27 SON Information

This IE identifies the nature of the SON information transferred i.e. a request or a reply.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
CHOICE SON Information						
>SON Information Request						
>>SON Information Request				ENUMERATED(X 2 TNL Configuration Info, , Time synchronization Info)	-	
>SON Information Reply						
>>SON Information Reply				9.2.3.28	-	

## 9.2.3.28 SON Information Reply

This IE contains the SON Information to be replied to the eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SON Information Reply				
>X2 TNL Configuration Info	0		9.2.3.29	
>Time synchronization Info	0		9.2.3.34	

## 9.2.3.29 X2 TNL Configuration Info

The X2 TNL Configuration Info IE is used for signalling X2 TNL Configuration information for automatic X2 SCTP association establishment.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
eNB X2 Transport Layer Addresses		1 to <maxnoofenbx 2TLAs&gt;</maxnoofenbx 		
>Transport Layer Address	M		9.2.2.1	Transport Layer Addresses for X2 SCTP end-point.

Range bound	Explanation
maxnoofeNBX2TLAs	Maximum no. of eNB X2 Transport Layer Addresses for an SCTP
	end-point. Value is 2.

## 9.2.3.30 NAS Security Parameters from E-UTRAN

The purpose of the *NAS Security Parameters from E-UTRAN* IE is to provide security related parameters for I-RAT handovers from E-UTRAN via the eNB to the UE.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
NAS Security Parameters from E-UTRAN	М		OCTET STRING	Coded as the value part of NAS security parameters from E-UTRA IE defined in [24].

## 9.2.3.31 NAS Security Parameters to E-UTRAN

The purpose of the *NAS Security Parameters to E-UTRAN* IE is to provide security related parameters for I-RAT handovers to E-UTRAN via the RNC or BSS to the UE.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
NAS Security Parameters to E-UTRAN	M		OCTET STRING	Coded as the value part of NAS security parameters to E-UTRA IE defined in [24].

#### 9.2.3.32 LPPa-PDU

This information element contains an eNB-E-SMLC or E-SMLC-eNB message that is transferred without interpretation in the MME.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
LPPa-PDU	M		OCTET	
			STRING	

## 9.2.3.33 Routing ID

This information element is used to identify an E-SMLC within the EPC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Routing ID	М		INTEGER	
			(0255)	

## 9.2.3.34 Time Synchronization Info

The *Time Synchronization Info* IE is used for signalling stratum level and synchronization status for over-the-air synchronization using network listening.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Synchronization Info				
>Stratum Level	M		INTEGER (03,)	
>Synchronization status	М		ENUMERATED( Synchronous, Asynchronous, )	

# 9.3 Message and Information Element Abstract Syntax (with ASN.1)

#### 9.3.0 General

S1AP ASN.1 definition conforms to [4] and [5].

The ASN.1 definition specifies the structure and content of S1AP messages. S1AP messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct a S1AP message according to the PDU definitions module and with the following additional rules (Note that in the following IE means an IE in the object set with an explicit id. If one IE needed to appear more than once in one object set, then the different occurrences have different IE ids):

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.
- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list where the list elements reside. The second part defines list elements. The IE container list appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

If a S1AP message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax Error in subclause 10.3.6.

Subclause 9.3 presents the Abstract Syntax of S1AP protocol with ASN.1. In case there is contradiction between the ASN.1 definition in this subclause and the tabular format in subclause 9.1 and 9.2, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, where the tabular format shall take precedence.

## 9.3.1 Usage of private message mechanism for non-standard use

The private message mechanism for non-standard use may be used:

- for special operator- (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor interoperability;
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The private message mechanism shall not be used for basic functionality. Such functionality shall be standardised.

## 9.3.2 Elementary Procedure Definitions

```
__ *******************
-- Elementary Procedure definitions
S1AP-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) slap (1) version1 (1) slap-PDU-Descriptions (0)}
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
  *****************
-- IE parameter types from other modules.
__ *********************
IMPORTS
   Criticality,
   ProcedureCode
FROM S1AP-CommonDataTypes
   CellTrafficTrace,
   DeactivateTrace,
   DownlinkUEAssociatedLPPaTransport,
   DownlinkNASTransport,
   DownlinkNonUEAssociatedLPPaTransport,
   DownlinkS1cdma2000tunneling,
   ENBDirectInformationTransfer,
   ENBStatusTransfer,
   ENBConfigurationUpdate,
   ENBConfigurationUpdateAcknowledge,
   ENBConfigurationUpdateFailure,
   ErrorIndication,
   HandoverCancel,
   HandoverCancelAcknowledge,
   HandoverCommand,
   HandoverFailure,
   HandoverNotify,
   HandoverPreparationFailure,
   HandoverRequest,
   HandoverRequestAcknowledge,
   HandoverRequired,
   InitialContextSetupFailure,
   InitialContextSetupRequest,
   InitialContextSetupResponse,
   InitialUEMessage,
   KillRequest,
```

```
KillResponse,
    LocationReportingControl,
    LocationReportingFailureIndication,
    LocationReport,
   MMEConfigurationUpdate,
   MMEConfigurationUpdateAcknowledge,
    MMEConfigurationUpdateFailure,
   MMEDirectInformationTransfer.
    MMEStatusTransfer,
    NASNonDeliveryIndication,
    OverloadStart,
    OverloadStop,
    Paging,
    PathSwitchRequest,
    PathSwitchRequestAcknowledge,
    PathSwitchRequestFailure,
    PrivateMessage,
    Reset,
    ResetAcknowledge,
    S1SetupFailure,
    S1SetupRequest,
    S1SetupResponse,
    E-RABModifyRequest,
    E-RABModifvResponse,
    E-RABReleaseCommand,
    E-RABReleaseResponse,
    E-RABReleaseIndication,
    E-RABSetupRequest,
    E-RABSetupResponse,
    TraceFailureIndication,
    TraceStart,
    UECapabilityInfoIndication,
    UEContextModificationFailure,
    UEContextModificationRequest,
    UEContextModificationResponse,
    UEContextReleaseCommand,
    UEContextReleaseComplete,
    UEContextReleaseRequest,
    UplinkUEAssociatedLPPaTransport,
    UplinkNASTransport,
    UplinkNonUEAssociatedLPPaTransport,
    UplinkS1cdma2000tunneling,
    WriteReplaceWarningRequest,
    WriteReplaceWarningResponse,
    ENBConfigurationTransfer,
    MMEConfigurationTransfer
FROM S1AP-PDU-Contents
    id-CellTrafficTrace,
    id-DeactivateTrace,
    id-downlinkUEAssociatedLPPaTransport,
```

id-downlinkNASTransport,

```
id-downlinkNonUEAssociatedLPPaTransport,
   id-DownlinkS1cdma2000tunneling,
   id-eNBStatusTransfer,
   id-ErrorIndication,
   id-HandoverCancel.
   id-HandoverNotification,
   id-HandoverPreparation,
   id-HandoverResourceAllocation.
   id-InitialContextSetup,
   id-initialUEMessage,
   id-ENBConfigurationUpdate,
   id-Kill,
   id-LocationReportingControl,
   id-LocationReportingFailureIndication,
   id-LocationReport,
   id-eNBDirectInformationTransfer,
   id-MMEConfigurationUpdate,
   id-MMEDirectInformationTransfer,
   id-MMEStatusTransfer,
   id-NASNonDeliveryIndication,
   id-OverloadStart,
   id-OverloadStop,
   id-Paging,
   id-PathSwitchRequest,
   id-PrivateMessage,
   id-Reset,
   id-S1Setup,
   id-E-RABModify,
   id-E-RABRelease,
   id-E-RABReleaseIndication,
   id-E-RABSetup,
   id-TraceFailureIndication,
   id-TraceStart,
   id-UECapabilityInfoIndication,
   id-UEContextModification,
   id-UEContextRelease,
   id-UEContextReleaseRequest,
   id-uplinkUEAssociatedLPPaTransport,
   id-uplinkNASTransport,
   id-uplinkNonUEAssociatedLPPaTransport,
   id-UplinkS1cdma2000tunneling,
   id-WriteReplaceWarning,
   id-eNBConfigurationTransfer,
   id-MMEConfigurationTransfer
FROM S1AP-Constants:
__ *******************
-- Interface Elementary Procedure Class
__ *******************
S1AP-ELEMENTARY-PROCEDURE ::= CLASS {
```

```
&InitiatingMessage
    &SuccessfulOutcome
                                  OPTIONAL.
    &UnsuccessfulOutcome
                                      OPTIONAL.
    &procedureCode
                          ProcedureCode UNIQUE,
    &criticality
                          Criticality
                                         DEFAULT ignore
WITH SYNTAX {
   INITIATING MESSAGE
                              &InitiatingMessage
    [SUCCESSFUL OUTCOME
                              &SuccessfulOutcomel
                              &UnsuccessfulOutcomel
    [UNSUCCESSFUL OUTCOME
                              &procedureCode
    PROCEDURE CODE
                              &criticality]
    [CRITICALITY
    ***************
  Interface PDU Definition
S1AP-PDU ::= CHOICE {
   initiatingMessage
                      InitiatingMessage,
    successfulOutcome
                     SuccessfulOutcome,
   unsuccessfulOutcome UnsuccessfulOutcome
InitiatingMessage ::= SEQUENCE
   procedureCode S1AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                             ({S1AP-ELEMENTARY-PROCEDURES}),
   criticality S1AP-ELEMENTARY-PROCEDURE.&criticality
                                                             ({S1AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
                                                             ({S1AP-ELEMENTARY-PROCEDURES} { @procedureCode})
   value
               S1AP-ELEMENTARY-PROCEDURE.&InitiatingMessage
SuccessfulOutcome ::= SEQUENCE {
                                                             ({S1AP-ELEMENTARY-PROCEDURES}),
   procedureCode S1AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                             ({S1AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality S1AP-ELEMENTARY-PROCEDURE.&criticality
               S1AP-ELEMENTARY-PROCEDURE. & Successful Outcome
                                                             ({S1AP-ELEMENTARY-PROCEDURES}{@procedureCode})
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode S1AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                             ({S1AP-ELEMENTARY-PROCEDURES}),
                                                             ({S1AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality S1AP-ELEMENTARY-PROCEDURE.&criticality
   value
               S1AP-ELEMENTARY-PROCEDURE.&UnsuccessfulOutcome
                                                             ({S1AP-ELEMENTARY-PROCEDURES}{@procedureCode})
     -- Interface Elementary Procedure List
S1AP-ELEMENTARY-PROCEDURES S1AP-ELEMENTARY-PROCEDURE ::= {
   S1AP-ELEMENTARY-PROCEDURES-CLASS-1
   S1AP-ELEMENTARY-PROCEDURES-CLASS-2,
```

```
S1AP-ELEMENTARY-PROCEDURES-CLASS-1 S1AP-ELEMENTARY-PROCEDURE ::= {
    handoverPreparation
    handoverResourceAllocation
    pathSwitchRequest
    e-RABSetup
    e-RABModify
    e-RABRelease
    initialContextSetup
    handoverCancel
    kill
    reset
    s1Setup
    uEContextModification
    uEContextRelease
    eNBConfigurationUpdate
    mMEConfigurationUpdate
    writeReplaceWarning
S1AP-ELEMENTARY-PROCEDURES-CLASS-2 S1AP-ELEMENTARY-PROCEDURE ::= {
    handoverNotification
    e-RABReleaseIndication
    paging
    downlinkNASTransport
    initialUEMessage
    uplinkNASTransport
    errorIndication
    nASNonDeliveryIndication
    uEContextReleaseRequest
    downlinkS1cdma2000tunneling
   uplinkS1cdma2000tunneling
    uECapabilityInfoIndication
    eNBStatusTransfer
    mMEStatusTransfer
    deactivateTrace
    traceStart
    traceFailureIndication
    cellTrafficTrace
    locationReportingControl
    locationReportingFailureIndication
    locationReport
    overloadStart
    overloadStop
    eNBDirectInformationTransfer
    mMEDirectInformationTransfer
    eNBConfigurationTransfer
    mMEConfigurationTransfer
    privateMessage
    . . . ,
```

```
downlinkUEAssociatedLPPaTransport
   uplinkUEAssociatedLPPaTransport
   downlinkNonUEAssociatedLPPaTransport
    uplinkNonUEAssociatedLPPaTransport
    Interface Elementary Procedures
  ****************
handoverPreparation S1AP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE HandoverRequired
   SUCCESSFUL OUTCOME HandoverCommand
   UNSUCCESSFUL OUTCOME
                          HandoverPreparationFailure
                          id-HandoverPreparation
    PROCEDURE CODE
    CRITICALITY
                  reject
handoverResourceAllocation S1AP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE HandoverRequest
   SUCCESSFUL OUTCOME HandoverRequestAcknowledge
                         HandoverFailure
   UNSUCCESSFUL OUTCOME
                          id-HandoverResourceAllocation
   PROCEDURE CODE
    CRITICALITY
                  reject
handoverNotification S1AP-ELEMENTARY-PROCEDURE ::=
   INITIATING MESSAGE HandoverNotify
                          id-HandoverNotification
    PROCEDURE CODE
   CRITICALITY
                  ignore
pathSwitchRequest S1AP-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE PathSwitchRequest
    SUCCESSFUL OUTCOME PathSwitchRequestAcknowledge
   UNSUCCESSFUL OUTCOME
                          PathSwitchRequestFailure
                          id-PathSwitchRequest
    PROCEDURE CODE
    CRITICALITY
                  reject
e-RABSetup S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE E-RABSetupRequest
   SUCCESSFUL OUTCOME E-RABSetupResponse
                      id-E-RABSetup
   PROCEDURE CODE
    CRITICALITY
                  reject
e-RABModify S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE E-RABModifyRequest
    SUCCESSFUL OUTCOME E-RABModifyResponse
   PROCEDURE CODE
                      id-E-RABModify
    CRITICALITY
                  reject
```

```
e-RABRelease S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE E-RABReleaseCommand
    SUCCESSFUL OUTCOME E-RABReleaseResponse
                        id-E-RABRelease
    PROCEDURE CODE
    CRITICALITY
                    reiect
e-RABReleaseIndication S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE E-RABReleaseIndication
                        id-E-RABReleaseIndication
    PROCEDURE CODE
    CRITICALITY
                    ignore
initialContextSetup S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE InitialContextSetupRequest
    SUCCESSFUL OUTCOME InitialContextSetupResponse
    UNSUCCESSFUL OUTCOME InitialContextSetupFailure
                        id-InitialContextSetup
    PROCEDURE CODE
    CRITICALITY
                    reject
uEContextReleaseRequest S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UEContextReleaseRequest
    PROCEDURE CODE
                            id-UEContextReleaseRequest
    CRITICALITY
                            ignore
paging S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Paging
    PROCEDURE CODE
                        id-Paging
    CRITICALITY
                        ignore
downlinkNASTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            DownlinkNASTransport
    PROCEDURE CODE
                            id-downlinkNASTransport
    CRITICALITY
                            ignore
initialUEMessage S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            InitialUEMessage
    PROCEDURE CODE
                            id-initialUEMessage
    CRITICALITY
                            ignore
uplinkNASTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UplinkNASTransport
    PROCEDURE CODE
                            id-uplinkNASTransport
    CRITICALITY
                            ignore
nASNonDeliveryIndication S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            NASNonDeliveryIndication
```

```
id-NASNonDeliveryIndication
    PROCEDURE CODE
    CRITICALITY
                            ignore
handoverCancel S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE HandoverCancel
    SUCCESSFUL OUTCOME HandoverCancelAcknowledge
    PROCEDURE CODE
                            id-HandoverCancel
    CRITICALITY
                    reject
reset S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE Reset
    SUCCESSFUL OUTCOME ResetAcknowledge
    PROCEDURE CODE
                        id-Reset
    CRITICALITY
                        reject
errorIndication S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE ErrorIndication
    PROCEDURE CODE
                        id-ErrorIndication
    CRITICALITY
                    ignore
s1Setup S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            S1SetupRequest
                            S1SetupResponse
    SUCCESSFUL OUTCOME
                            S1SetupFailure
    UNSUCCESSFUL OUTCOME
                            id-S1Setup
    PROCEDURE CODE
                            reject
    CRITICALITY
eNBConfigurationUpdate S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ENBConfigurationUpdate
                            ENBConfigurationUpdateAcknowledge
    SUCCESSFUL OUTCOME
                            ENBConfigurationUpdateFailure
    UNSUCCESSFUL OUTCOME
                            id-ENBConfigurationUpdate
    PROCEDURE CODE
                            reject
    CRITICALITY
mMEConfigurationUpdate S1AP-ELEMENTARY-PROCEDURE ::= {
                            MMEConfigurationUpdate
    INITIATING MESSAGE
    SUCCESSFUL OUTCOME
                            MMEConfigurationUpdateAcknowledge
                            MMEConfigurationUpdateFailure
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-MMEConfigurationUpdate
    CRITICALITY
                            reject
downlinkS1cdma2000tunneling S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            DownlinkS1cdma2000tunneling
    PROCEDURE CODE
                            id-DownlinkS1cdma2000tunneling
    CRITICALITY
                            ignore
```

```
uplinkS1cdma2000tunneling S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UplinkS1cdma2000tunneling
                            id-UplinkS1cdma2000tunneling
    PROCEDURE CODE
    CRITICALITY
                            ignore
uEContextModification S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UEContextModificationRequest
    SUCCESSFUL OUTCOME
                            UEContextModificationResponse
                            UEContextModificationFailure
    UNSUCCESSFUL OUTCOME
                        id-UEContextModification
    PROCEDURE CODE
    CRITICALITY
                    reject
uECapabilityInfoIndication S1AP-ELEMENTARY-PROCEDURE ::= {
                            UECapabilityInfoIndication
    INITIATING MESSAGE
                            id-UECapabilityInfoIndication
    PROCEDURE CODE
    CRITICALITY
                            ignore
uEContextRelease S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UEContextReleaseCommand
    SUCCESSFUL OUTCOME
                            UEContextReleaseComplete
                            id-UEContextRelease
    PROCEDURE CODE
    CRITICALITY
                            reject
eNBStatusTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ENBStatusTransfer
                            id-eNBStatusTransfer
    PROCEDURE CODE
    CRITICALITY
                            ignore
mMEStatusTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            MMEStatusTransfer
    PROCEDURE CODE
                            id-MMEStatusTransfer
    CRITICALITY
                            ignore
deactivateTrace S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            DeactivateTrace
    PROCEDURE CODE
                            id-DeactivateTrace
    CRITICALITY
                            ignore
traceStart S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE TraceStart
                        id-TraceStart
    PROCEDURE CODE
    CRITICALITY
                        ignore
traceFailureIndication S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE TraceFailureIndication
```

```
id-TraceFailureIndication
    PROCEDURE CODE
    CRITICALITY
                        ignore
cellTrafficTrace S1AP-ELEMENTARY-PROCEDURE ::={
    INITIATING MESSAGE CellTrafficTrace
                        id-CellTrafficTrace
    PROCEDURE CODE
    CRITICALITY
                        ignore
locationReportingControl S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            LocationReportingControl
    PROCEDURE CODE
                            id-LocationReportingControl
    CRITICALITY
                            ignore
locationReportingFailureIndication S1AP-ELEMENTARY-PROCEDURE ::=
                            LocationReportingFailureIndication
    INITIATING MESSAGE
                            id-LocationReportingFailureIndication
    PROCEDURE CODE
    CRITICALITY
                            ignore
locationReport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            LocationReport
    PROCEDURE CODE
                            id-LocationReport
    CRITICALITY
                            ignore
overloadStart S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE OverloadStart
    PROCEDURE CODE
                        id-OverloadStart
    CRITICALITY
                    ignore
overloadStop S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE OverloadStop
    PROCEDURE CODE
                        id-OverloadStop
    CRITICALITY
                    reject
writeReplaceWarning S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE WriteReplaceWarningRequest
    SUCCESSFUL OUTCOME WriteReplaceWarningResponse
    PROCEDURE CODE
                        id-WriteReplaceWarning
    CRITICALITY
                        reject
eNBDirectInformationTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE ENBDirectInformationTransfer
                        id-eNBDirectInformationTransfer
    PROCEDURE CODE
    CRITICALITY
                        ignore
mMEDirectInformationTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE MMEDirectInformationTransfer
```

```
id-MMEDirectInformationTransfer
    PROCEDURE CODE
    CRITICALITY
                        ignore
eNBConfigurationTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ENBConfigurationTransfer
    PROCEDURE CODE
                            id-eNBConfigurationTransfer
    CRITICALITY
                            ignore
mMEConfigurationTransfer S1AP-ELEMENTARY-PROCEDURE ::= {
                            MMEConfigurationTransfer
    INITIATING MESSAGE
                            id-MMEConfigurationTransfer
    PROCEDURE CODE
    CRITICALITY
                            ignore
privateMessage S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE PrivateMessage
                        id-PrivateMessage
    PROCEDURE CODE
    CRITICALITY
                        ignore
kill S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE KillRequest
    SUCCESSFUL OUTCOME KillResponse
                        id-Kill
    PROCEDURE CODE
    CRITICALITY
                        reject
downlinkUEAssociatedLPPaTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            DownlinkUEAssociatedLPPaTransport
    PROCEDURE CODE
                            id-downlinkUEAssociatedLPPaTransport
    CRITICALITY
                            ignore
uplinkUEAssociatedLPPaTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UplinkUEAssociatedLPPaTransport
    PROCEDURE CODE
                            id-uplinkUEAssociatedLPPaTransport
    CRITICALITY
                            ignore
downlinkNonUEAssociatedLPPaTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            DownlinkNonUEAssociatedLPPaTransport
    PROCEDURE CODE
                            id-downlinkNonUEAssociatedLPPaTransport
    CRITICALITY
                            ignore
uplinkNonUEAssociatedLPPaTransport S1AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UplinkNonUEAssociatedLPPaTransport
                            id-uplinkNonUEAssociatedLPPaTransport
    PROCEDURE CODE
    CRITICALITY
                            ignore
END
```

## 9.3.3 PDU Definitions

```
__ *******************
-- PDU definitions for S1AP.
__ *********************
S1AP-PDU-Contents {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) slap (1) version1 (1) slap-PDU-Contents (1) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ *******************
-- IE parameter types from other modules.
__ *********************
IMPORTS
   UEAggregateMaximumBitrate,
   Cause,
   CellAccessMode,
   Cdma2000HORequiredIndication,
   Cdma2000HOStatus,
   Cdma20000neXSRVCCInfo,
   Cdma20000neXRAND,
   Cdma2000PDU,
   Cdma2000RATType,
   Cdma2000SectorID,
   EUTRANRoundTripDelayEstimationInfo,
   CNDomain,
   ConcurrentWarningMessageIndicator,
   CriticalityDiagnostics,
   CSFallbackIndicator,
   CSG-Id,
   CSG-IdList,
   CSGMembershipStatus,
   Data-Forwarding-Not-Possible,
   Direct-Forwarding-Path-Availability,
   Global-ENB-ID,
   EUTRAN-CGI,
   ENBname,
   ENB-StatusTransfer-TransparentContainer,
   ENB-UE-S1AP-ID,
   ExtendedRepetitionPeriod,
   GTP-TEID,
   GUMMEI,
   HandoverRestrictionList,
```

```
HandoverType,
LPPa-PDU,
MMEname.
MME-UE-S1AP-ID,
MSClassmark2.
MSClassmark3,
NAS-PDU,
NASSecurityParametersfromE-UTRAN,
NASSecurityParameterstoE-UTRAN,
OverloadResponse,
PagingDRX,
PLMNidentity,
RIMTransfer,
RelativeMMECapacity,
RequestType,
E-RAB-ID,
E-RABLevelOoSParameters,
E-RABList,
Routing-ID,
SecurityKey,
SecurityContext,
ServedGUMMEIs,
SONConfigurationTransfer,
Source-ToTarget-TransparentContainer,
SourceBSS-ToTargetBSS-TransparentContainer,
SourceeNB-ToTargeteNB-TransparentContainer,
SourceRNC-ToTargetRNC-TransparentContainer,
SubscriberProfileIDforRFP,
SRVCCOperationPossible,
SRVCCHOIndication,
SupportedTAs,
TAI,
Target-ToSource-TransparentContainer,
TargetBSS-ToSourceBSS-TransparentContainer,
TargeteNB-ToSourceeNB-TransparentContainer,
TargetID,
TargetRNC-ToSourceRNC-TransparentContainer,
TimeToWait,
TraceActivation,
E-UTRAN-Trace-ID,
TransportLayerAddress,
UEIdentityIndexValue,
UEPagingID,
UERadioCapability,
UE-S1AP-IDs.
UE-associatedLogicalS1-ConnectionItem,
UESecurityCapabilities,
S-TMSI,
MessageIdentifier,
SerialNumber,
WarningAreaList,
RepetitionPeriod,
NumberofBroadcastRequest,
WarningType,
```

WarningSecurityInfo,

```
DataCodingScheme,
    WarningMessageContents,
    BroadcastCompletedAreaList,
    RRC-Establishment-Cause.
    BroadcastCancelledAreaList,
    PS-ServiceNotAvailable
FROM S1AP-IEs
    PrivateIE-Container{},
    ProtocolExtensionContainer{},
    ProtocolIE-Container{},
    ProtocolIE-ContainerList{},
    ProtocolIE-ContainerPair{},
    ProtocolIE-ContainerPairList{},
    ProtocolIE-SingleContainer{},
    S1AP-PRIVATE-IES,
    S1AP-PROTOCOL-EXTENSION,
    S1AP-PROTOCOL-IES,
    S1AP-PROTOCOL-IES-PAIR
FROM S1AP-Containers
    id-uEaggregateMaximumBitrate,
    id-Cause,
    id-CellAccessMode,
    id-cdma2000HORequiredIndication,
    id-cdma2000HOStatus,
    id-cdma20000neXSRVCCInfo,
    id-cdma20000neXRAND,
    id-cdma2000PDU,
    id-cdma2000RATType,
    id-cdma2000SectorID,
    id-EUTRANRoundTripDelayEstimationInfo,
    id-CNDomain,
    id-ConcurrentWarningMessageIndicator,
    id-CriticalityDiagnostics,
    id-CSFallbackIndicator,
    id-CSG-Id,
    id-CSG-IdList,
    id-CSGMembershipStatus,
    id-Data-Forwarding-Not-Possible,
    id-DefaultPagingDRX,
    id-Direct-Forwarding-Path-Availability,
    id-Global-ENB-ID,
    id-EUTRAN-CGI,
    id-eNBname,
    id-eNB-StatusTransfer-TransparentContainer,
    id-eNB-UE-S1AP-ID,
    id-GERANtoLTEHOInformationRes,
    id-GUMMEI-ID,
    id-HandoverRestrictionList,
    id-HandoverType,
```

```
id-InitialContextSetup,
id-Inter-SystemInformationTransferTypeEDT,
id-Inter-SystemInformationTransferTypeMDT,
id-LPPa-PDU.
id-NAS-DownlinkCount.
id-MMEname.
id-MME-UE-S1AP-ID,
id-MSClassmark2.
id-MSClassmark3,
id-NAS-PDU,
id-NASSecurityParametersfromE-UTRAN,
id-NASSecurityParameterstoE-UTRAN,
id-OverloadResponse,
id-pagingDRX,
id-RelativeMMECapacity,
id-RequestType,
id-Routing-ID,
id-E-RABAdmittedItem,
id-E-RABAdmittedList,
id-E-RABDataForwardingItem,
id-E-RABFailedToModifyList,
id-E-RABFailedToReleaseList,
id-E-RABFailedtoSetupItemHORegAck,
id-E-RABFailedToSetupListBearerSURes.
id-E-RABFailedToSetupListCtxtSURes,
id-E-RABFailedToSetupListHORegAck,
id-E-RABFailedToBeReleasedList,
id-E-RABModify,
id-E-RABModifyItemBearerModRes,
id-E-RABModifyListBearerModRes,
id-E-RABRelease,
id-E-RABReleaseItemBearerRelComp,
id-E-RABReleaseItemHOCmd,
id-E-RABReleaseListBearerRelComp,
id-E-RABReleaseIndication.
id-E-RABSetup,
id-E-RABSetupItemBearerSURes,
id-E-RABSetupItemCtxtSURes,
id-E-RABSetupListBearerSURes,
id-E-RABSetupListCtxtSURes,
id-E-RABSubjecttoDataForwardingList,
id-E-RABToBeModifiedItemBearerModReg,
id-E-RABToBeModifiedListBearerModReg.
id-E-RABToBeReleasedList,
id-E-RABReleasedList,
id-E-RABToBeSetupItemBearerSUReg,
id-E-RABToBeSetupItemCtxtSUReg,
id-E-RABToBeSetupItemHOReq,
id-E-RABToBeSetupListBearerSUReg,
id-E-RABToBeSetupListCtxtSUReq,
id-E-RABToBeSetupListHOReq,
id-E-RABToBeSwitchedDLItem,
id-E-RABToBeSwitchedDLList,
id-E-RABToBeSwitchedULList.
```

```
id-E-RABToBeSwitchedULItem,
id-E-RABtoReleaseListHOCmd.
id-SecurityKey,
id-SecurityContext,
id-ServedGUMMEIs,
id-SONConfigurationTransferECT,
id-SONConfigurationTransferMCT,
id-Source-ToTarget-TransparentContainer,
id-Source-ToTarget-TransparentContainer-Secondary,
id-SourceMME-UE-S1AP-ID,
id-SRVCCOperationPossible,
id-SRVCCHOIndication,
id-SubscriberProfileIDforRFP,
id-SupportedTAs,
id-S-TMSI,
id-TAI,
id-TAIItem,
id-TAIList,
id-Target-ToSource-TransparentContainer,
id-Target-ToSource-TransparentContainer-Secondary,
id-TargetID,
id-TimeToWait,
id-TraceActivation,
id-E-UTRAN-Trace-ID,
id-UEIdentityIndexValue,
id-UEPagingID,
id-UERadioCapability,
id-UTRANtoLTEHOInformationRes,
id-UE-associatedLogicalS1-ConnectionListResAck,
id-UE-associatedLogicalS1-ConnectionItem,
id-UESecurityCapabilities,
id-UE-S1AP-IDs,
id-ResetType,
id-MessageIdentifier,
id-SerialNumber.
id-WarningAreaList,
id-RepetitionPeriod,
id-NumberofBroadcastRequest,
id-WarningType,
id-WarningSecurityInfo,
id-DataCodingScheme,
id-WarningMessageContents,
id-BroadcastCompletedAreaList,
id-BroadcastCancelledAreaList,
id-RRC-Establishment-Cause,
id-TraceCollectionEntityIPAddress, maxnoofTAIs,
maxNrOfErrors,
maxNrOfE-RABs,
maxNrOfIndividualS1ConnectionsToReset.
maxnoofEmergencyAreaID,
maxnoofCellID,
maxnoofTAIforWarning,
maxnoofCellinTAI,
maxnoofCellinEAI,
```

id-ExtendedRepetitionPeriod,

```
id-PS-ServiceNotAvailable
FROM S1AP-Constants:
     *****************
-- Common Container Lists
__ ******************
E-RAB-IE-ContainerList
                                                                       ::= ProtocolIE-ContainerList

    maxNrOfE-RABs,

                                                                                                                           {IEsSetParam}
                                S1AP-PROTOCOL-IES
                                                     : IEsSetParam
E-RAB-IE-ContainerPairList
                                S1AP-PROTOCOL-IES-PAIR : IEsSetParam
                                                                       ::= ProtocolIE-ContainerPairList

    maxNrOfE-RABs,

                                                                                                                            IEsSetParam
ProtocolError-IE-ContainerList { S1AP-PROTOCOL-IES
                                                                       ::= ProtocolIE-ContainerList
                                                                                                       { 1, maxNrOfE-RABs,
                                                                                                                           {IEsSetParam}
                                                     : IEsSetParam
-- HANDOVER PREPARATION ELEMENTARY PROCEDURE
      -- Handover Required
HandoverRequired ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                { { HandoverRequiredIEs} },
   . . .
HandoverRequiredIEs S1AP-PROTOCOL-IES ::= {
   { ID id-MME-UE-S1AP-ID
                                                 CRITICALITY reject TYPE MME-UE-S1AP-ID
   PRESENCE mandatory } |
   { ID id-eNB-UE-S1AP-ID
                                                 CRITICALITY reject TYPE ENB-UE-S1AP-ID
   PRESENCE mandatory } |
    { ID id-HandoverType
                                                 CRITICALITY reject TYPE HandoverType
   PRESENCE mandatory } |
    { ID id-Cause
                                                 CRITICALITY ignore TYPE Cause
   PRESENCE mandatory } |
   { ID id-TargetID
                                                 CRITICALITY reject TYPE TargetID
   PRESENCE mandatory } |
     ID id-Direct-Forwarding-Path-Availability
                                                 CRITICALITY ignore TYPE Direct-Forwarding-Path-Availability
                                                                                                                 PRESENCE optional }
     ID id-SRVCCHOIndication
                                                 CRITICALITY reject TYPE SRVCCHOIndication
   PRESENCE optional } |
     ID id-Source-ToTarget-TransparentContainer
                                                 CRITICALITY reject TYPE Source-ToTarget-TransparentContainer
                                                                                                                 PRESENCE mandatory } |
     ID id-Source-ToTarget-TransparentContainer-Secondary CRITICALITY reject TYPE Source-ToTarget-TransparentContainer
                                                                                                                        PRESENCE optional } |
     ID id-MSClassmark2
                                                 CRITICALITY reject TYPE MSClassmark2
   PRESENCE conditional } |
    { ID id-MSClassmark3
                                                 CRITICALITY ignore TYPE MSClassmark3
   PRESENCE conditional } |
```

```
{ ID id-CSG-Id
                                                  CRITICALITY reject TYPE CSG-Id
    PRESENCE optional } |
    { ID id-CellAccessMode
                                                  CRITICALITY reject TYPE CellAccessMode
    PRESENCE optional } |
    { ID id-PS-ServiceNotAvailable
                                                  CRITICALITY ignore TYPE PS-ServiceNotAvailable
   PRESENCE optional },
    ******************
-- Handover Command
__ **********************
HandoverCommand ::= SEQUENCE {
   protocolIEs
                       ProtocolIE-Container { { HandoverCommandIEs} },
   . . .
HandoverCommandIEs S1AP-PROTOCOL-IES ::= {
    { ID id-MME-UE-S1AP-ID
                                                  CRITICALITY reject TYPE MME-UE-S1AP-ID
       PRESENCE mandatory }
    { ID id-eNB-UE-S1AP-ID
                                                  CRITICALITY reject TYPE ENB-UE-S1AP-ID
       PRESENCE mandatory }
    { ID id-HandoverType
                                                  CRITICALITY reject TYPE HandoverType
       PRESENCE mandatory } |
    { ID id-NASSecurityParametersfromE-UTRAN
                                                  CRITICALITY reject TYPE NASSecurityParametersfromE-UTRAN
    PRESENCE conditional
                                                                                                                   } |
    -- This IE shall be present if HandoverType IE is set to value "LTEtoUTRAN" or "LTEtoGERAN" --
    { ID id-E-RABSubjecttoDataForwardingList
                                                  CRITICALITY ignore TYPE E-RABSubjecttoDataForwardingList
    PRESENCE optional } |
    { ID id-E-RABtoReleaseListHOCmd
                                                  CRITICALITY ignore TYPE E-RABList
       PRESENCE optional } |
    { ID id-Target-ToSource-TransparentContainer
                                                  CRITICALITY reject TYPE Target-ToSource-TransparentContainer
                                                                                                                                  PRESENCE
mandatory }
    { ID id-Target-ToSource-TransparentContainer-Secondary CRITICALITY reject TYPE Target-ToSource-TransparentContainer
    PRESENCE optional }
    { ID id-CriticalityDiagnostics
                                                  CRITICALITY ignore TYPE CriticalityDiagnostics
       PRESENCE optional },
E-RABSubjecttoDataForwardingList ::= E-RAB-IE-ContainerList { {E-RABDataForwardingItemIEs} }
E-RABDataForwardingItemIEs S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABDataForwardingItem
                                          CRITICALITY ignore TYPE E-RABDataForwardingItem
                                                                                                     PRESENCE mandatory
    . . .
E-RABDataForwardingItem ::= SEQUENCE {
    e-RAB-ID
                                      E-RAB-ID,
    dL-transportLayerAddress
                                      TransportLayerAddress
                                                                                                                   OPTIONAL,
```

```
dL-qTP-TEID
                                  GTP-TEID
                                                                                                       OPTIONAL,
   uL-TransportLayerAddress
                                  TransportLayerAddress
                                                                                                       OPTIONAL,
   uL-GTP-TEID
                                  GTP-TEID
                                                                                                       OPTIONAL.
                                  ProtocolExtensionContainer { { E-RABDataForwardingItem-ExtIEs} } OPTIONAL,
   iE-Extensions
E-RABDataForwardingItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
    *****************
-- Handover Preparation Failure
__ ********************
HandoverPreparationFailure ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                            { { HandoverPreparationFailureIEs} },
   . . .
HandoverPreparationFailureIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                     CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                    PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                     CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                    PRESENCE mandatory
     ID id-Cause
                                     CRITICALITY ignore TYPE Cause
                                                                                    PRESENCE mandatory
    { ID id-CriticalityDiagnostics
                                     CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                    PRESENCE optional
    ****************
-- HANDOVER RESOURCE ALLOCATION ELEMENTARY PROCEDURE
  *****************
-- Handover Request
__ ********************
HandoverRequest ::= SEQUENCE {
                                            { {HandoverRequestIEs} },
   protocolIEs
                    ProtocolIE-Container
   . . .
HandoverRequestIEs S1AP-PROTOCOL-IES ::= {
   { ID id-MME-UE-S1AP-ID
                                             CRITICALITY reject TYPE MME-UE-S1AP-ID
   PRESENCE mandatory } |
   { ID id-HandoverType
                                             CRITICALITY reject TYPE HandoverType
   PRESENCE mandatory } |
```

```
{ ID id-Cause
                                               CRITICALITY ignore TYPE Cause
   PRESENCE mandatory } |
   { ID id-uEaggregateMaximumBitrate
                                               CRITICALITY reject TYPE UEAggregateMaximumBitrate
                                                                                                                          PRESENCE
mandatory }|
   { ID id-E-RABToBeSetupListHOReg
                                               CRITICALITY reject TYPE E-RABToBeSetupListHOReg
   PRESENCE mandatory } |
     ID id-Source-ToTarget-TransparentContainer
                                               CRITICALITY reject TYPE Source-ToTarget-TransparentContainer
                                                                                                             PRESENCE mandatory
   { ID id-UESecurityCapabilities
                                               CRITICALITY reject TYPE UESecurityCapabilities
   PRESENCE mandatory } |
   { ID id-HandoverRestrictionList
                                               CRITICALITY ignore TYPE HandoverRestrictionList
   PRESENCE optional }
   { ID id-TraceActivation
                                               CRITICALITY ignore TYPE TraceActivation
   PRESENCE optional }|
   { ID id-RequestType
                                               CRITICALITY ignore TYPE RequestType
   PRESENCE optional }|
   { ID id-SRVCCOperationPossible
                                               CRITICALITY ignore TYPE SRVCCOperationPossible
   PRESENCE optional }
   { ID id-SecurityContext
                                               CRITICALITY reject TYPE SecurityContext
   PRESENCE mandatory}
   { ID id-NASSecurityParameterstoE-UTRAN
                                               CRITICALITY reject TYPE NASSecurityParameterstoE-UTRAN
                                                                                                                   PRESENCE conditional
   -- This IE shall be present if the Handover Type IE is set to the value "UTRANtoLTE" or "GERANtoLTE" --
                                                                                                      } |
   { ID id-CSG-Id
                                               CRITICALITY reject TYPE CSG-Id
   PRESENCE optional } |
   { ID id-CSGMembershipStatus
                                               CRITICALITY ignore TYPE CSGMembershipStatus
   PRESENCE optional } ,
                                       ::= E-RAB-IE-ContainerList { {E-RABToBeSetupItemHORegIEs} }
E-RABToBeSetupListHOReg
E-RABToBeSetupItemHORegIEs S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABToBeSetupItemHOReq
                                       CRITICALITY reject TYPE E-RABToBeSetupItemHOReg
                                                                                               PRESENCE mandatory
   . . .
E-RABToBeSetupItemHOReg ::= SEQUENCE {
   e-RAB-ID
                                    E-RAB-ID,
   transportLayerAddress
                                    TransportLayerAddress,
   qTP-TEID
                                    GTP-TEID,
   e-RABlevelOosParameters
                                    E-RABLevelOoSParameters,
                                    ProtocolExtensionContainer { {E-RABToBeSetupItemHOReq-ExtIEs} }
   iE-Extensions
                                                                                                             OPTIONAL,
E-RABTOBeSetupItemHOReg-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
   . . .
  *****************
-- Handover Request Acknowledge
__ **********************
```

```
HandoverRequestAcknowledge ::= SEQUENCE {
    protocolIEs
                       ProtocolIE-Container
                                                   { {HandoverRequestAcknowledgeIEs} },
HandoverRequestAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
    { ID id-MME-UE-S1AP-ID
                                                    CRITICALITY ignore TYPE MME-UE-S1AP-ID
    PRESENCE mandatory } |
    { ID id-eNB-UE-S1AP-ID
                                                    CRITICALITY ignore TYPE ENB-UE-S1AP-ID
    PRESENCE mandatory } |
    { ID id-E-RABAdmittedList
                                                    CRITICALITY ignore TYPE E-RABAdmittedList
    PRESENCE mandatory } |
     ID id-E-RABFailedToSetupListHORegAck
                                                    CRITICALITY ignore TYPE E-RABFailedtoSetupListHORegAck
                                                                                                                                PRESENCE optional
     ID id-Target-ToSource-TransparentContainer
                                                    CRITICALITY reject TYPE Target-ToSource-TransparentContainer
                                                                                                                         PRESENCE mandatory } |
     ID id-CSG-Id
                                                    CRITICALITY ignore TYPE CSG-Id
    PRESENCE optional }
    { ID id-CriticalityDiagnostics
                                                    CRITICALITY ignore TYPE CriticalityDiagnostics
    PRESENCE optional },
                                    ::= E-RAB-IE-ContainerList { {E-RABAdmittedItemIEs} }
E-RABAdmittedList
E-RABAdmittedItemIEs S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABAdmittedItem
                                        CRITICALITY ignore TYPE E-RABAdmittedItem
                                                                                            PRESENCE mandatory },
    . . .
E-RABAdmittedItem ::= SEQUENCE
    e-RAB-ID
                                    E-RAB-ID,
    transportLayerAddress
                                    TransportLayerAddress,
    qTP-TEID
                                    GTP-TEID,
    dL-transportLayerAddress
                                    TransportLayerAddress
                                                            OPTIONAL,
    dL-qTP-TEID
                                    GTP-TEID
                                                            OPTIONAL,
    uL-TransportLayerAddress
                                    TransportLayerAddress
                                                            OPTIONAL,
    uL-GTP-TEID
                                    GTP-TEID
                                                            OPTIONAL,
    iE-Extensions
                                    ProtocolExtensionContainer { {E-RABAdmittedItem-ExtIEs} }
                                                                                                OPTIONAL,
E-RABAdmittedItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
E-RABFailedtoSetupListHORegAck
                                                ::= E-RAB-IE-ContainerList { {E-RABFailedtoSetupItemHOReqAckIEs} }
E-RABFailedtoSetupItemHOReqAckIEs S1AP-PROTOCOL-IES ::= {
     ID id-E-RABFailedtoSetupItemHORegAck
                                                    CRITICALITY ignore TYPE E-RABFailedToSetupItemHOReqAck
                                                                                                                                PRESENCE mandatory
```

OPTIONAL,

```
E-RABFailedToSetupItemHORegAck ::= SEQUENCE {
   e-RAB-ID
                              E-RAB-ID,
   cause
                    Cause.
   iE-Extensions
                              ProtocolExtensionContainer { { E-RABFailedToSetupItemHOReqAckExtIEs} } 
E-RABFailedToSetupItemHOReqAckExtIEs S1AP-PROTOCOL-EXTENSION ::= {
__ **********************
-- Handover Failure
__ *******************
HandoverFailure ::= SEQUENCE {
                                           { { HandoverFailureIEs} },
   protocolIEs
                ProtocolIE-Container
HandoverFailureIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                                 CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                             PRESENCE mandatory
    ID id-Cause
                                 CRITICALITY ignore TYPE Cause
                                                                             PRESENCE mandatory
   { ID id-CriticalityDiagnostics
                                 CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                             PRESENCE optional
  *****************
-- HANDOVER NOTIFICATION ELEMENTARY PROCEDURE
  **********************
-- Handover Notify
__ ********************
HandoverNotify ::= SEQUENCE {
                                           { { HandoverNotifyIEs} },
   protocolIEs
                   ProtocolIE-Container
   . . .
HandoverNotifyIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                       PRESENCE mandatory
                                                                       PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                       PRESENCE mandatory } |
    ID id-EUTRAN-CGI
                              CRITICALITY ignore TYPE EUTRAN-CGI
   { ID id-TAI
                              CRITICALITY ignore TYPE TAI
                                                                       PRESENCE mandatory },
```

```
-- PATH SWITCH REQUEST ELEMENTARY PROCEDURE
__ ********************
-- Path Switch Request
  ********************
PathSwitchRequest ::= SEQUENCE {
   protocolIEs
                     ProtocolIE-Container
                                              { { PathSwitchRequestIEs} },
PathSwitchRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-eNB-UE-S1AP-ID
                                        CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                       PRESENCE mandatory
     ID id-E-RABToBeSwitchedDLList
                                        CRITICALITY reject TYPE E-RABToBeSwitchedDLList
                                                                                       PRESENCE mandatory
     ID id-SourceMME-UE-S1AP-ID
                                        CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                       PRESENCE mandatory
     ID id-EUTRAN-CGI
                                                                                       PRESENCE mandatory}
                                        CRITICALITY ignore TYPE EUTRAN-CGI
     ID id-TAI
                                        CRITICALITY ignore TYPE TAI
                                                                                       PRESENCE mandatory}
                                        CRITICALITY ignore TYPE UESecurityCapabilities
    { ID id-UESecurityCapabilities
                                                                                       PRESENCE mandatory
                                        ::= E-RAB-IE-ContainerList { {E-RABToBeSwitchedDLItemIEs} }
E-RABToBeSwitchedDLList
E-RABToBeSwitchedDLItemIEs S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABToBeSwitchedDLItem
                                        CRITICALITY reject TYPE E-RABToBeSwitchedDLItem
                                                                                                PRESENCE mandatory
   . . .
E-RABToBeSwitchedDLItem ::= SEQUENCE {
   e-RAB-ID
                                E-RAB-ID,
   transportLayerAddress
                                    TransportLayerAddress,
   qTP-TEID
                            GTP-TEID,
   iE-Extensions
                                ProtocolExtensionContainer { { E-RABToBeSwitchedDLItem-ExtIEs} }
                                                                                                              OPTIONAL,
E-RABTOBeSwitchedDLItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- Path Switch Request Acknowledge
__ *********************
PathSwitchRequestAcknowledge ::= SEQUENCE {
```

```
{ { PathSwitchRequestAcknowledgeIEs} },
                      ProtocolIE-Container
   protocolIEs
PathSwitchRequestAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                         CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                            PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                         CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                          PRESENCE mandatory
     ID id-uEaggregateMaximumBitrate
                                         CRITICALITY ignore TYPE UEAggregateMaximumBitrate PRESENCE optional
     ID id-E-RABToBeSwitchedULList
                                     CRITICALITY ignore TYPE E-RABTOBESwitchedULList PRESENCE optional }
     ID id-E-RABToBeReleasedList
                                     CRITICALITY ignore TYPE E-RABList
                                                                                   PRESENCE optional
     ID id-SecurityContext
                                     CRITICALITY reject TYPE
                                                                                      PRESENCE mandatory}
                                                                SecurityContext
    { ID id-CriticalityDiagnostics
                                     CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                          PRESENCE optional
E-RABTOBeSwitchedULList ::= E-RAB-IE-ContainerList { {E-RABTOBeSwitchedULItemIEs} }
E-RABTOBeSwitchedULItemIEs S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABToBeSwitchedULItem
                                     CRITICALITY ignore TYPE E-RABToBeSwitchedULItem
                                                                                      PRESENCE mandatory },
E-RABToBeSwitchedULItem ::= SEQUENCE {
   e-RAB-ID
                                     E-RAB-ID,
   transportLayerAddress
                                     TransportLayerAddress,
   qTP-TEID
                                     GTP-TEID,
   iE-Extensions
                                     ProtocolExtensionContainer { { E-RABToBeSwitchedULItem-ExtIEs} }
                                                                                                                 OPTIONAL,
E-RABTOBeSwitchedULItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
    ****************
-- Path Switch Request Failure
  *****************
PathSwitchRequestFailure ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                { { PathSwitchRequestFailureIEs} },
PathSwitchRequestFailureIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                         CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                            PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                         CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                            PRESENCE mandatory
     ID id-Cause
                                         CRITICALITY ignore TYPE Cause
                                                                                            PRESENCE mandatory
                                                                                            PRESENCE optional
    ID id-CriticalityDiagnostics
                                         CRITICALITY ignore TYPE CriticalityDiagnostics
```

```
__ *********************
-- HANDOVER CANCEL ELEMENTARY PROCEDURE
   *****************
-- Handover Cancel
__ *******************
HandoverCancel ::= SEQUENCE {
  protocolIEs
                ProtocolIE-Container
                                   { { HandoverCancelIEs} },
HandoverCancelIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                    PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                                                                    PRESENCE mandatory
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
   { ID id-Cause
                        CRITICALITY ignore TYPE Cause
                                                          PRESENCE mandatory },
   . . .
  ****************
-- Handover Cancel Request Acknowledge
__ *********************
HandoverCancelAcknowledge ::= SEQUENCE {
  protocolIEs
                ProtocolIE-Container
                                    { { HandoverCancelAcknowledgeIEs} },
  . . .
HandoverCancelAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
                    CRITICALITY ignore TYPE MME-UE-S1AP-ID
    ID id-MME-UE-S1AP-ID
                                                                    PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                    PRESENCE mandatory
   { ID id-CriticalityDiagnostics
                           CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                   PRESENCE optional
  *****************
-- E-RAB SETUP ELEMENTARY PROCEDURE
   **********************
   -- E-RAB Setup Request
__ *********************
```

```
E-RABSetupRequest ::= SEQUENCE {
   protocolIEs
                       ProtocolIE-Container
                                                  { {E-RABSetupRequestIEs} },
    . . .
E-RABSetupRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                           CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                                              PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                           CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                                              PRESENCE mandatory
     ID id-uEaggregateMaximumBitrate
                                           CRITICALITY reject TYPE UEAggregateMaximumBitrate
                                                                                                              PRESENCE optional
     ID id-E-RABTOBeSetupListBearerSUReq CRITICALITY reject TYPE E-RABTOBeSetupListBearerSUReq
                                                                                                              PRESENCE mandatory
E-RABTOBESetupListBearerSUReq ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABTOBESetupItemBearerSUReqIEs} }
E-RABToBeSetupItemBearerSURegIEs
                                   S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABToBeSetupItemBearerSUReq CRITICALITY reject
                                                                                                       PRESENCE mandatory },
                                                                  TYPE E-RABToBeSetupItemBearerSUReg
E-RABToBeSetupItemBearerSUReg ::= SEQUENCE {
   e-RAB-ID
                                   E-RAB-ID,
   e-RABlevelQoSParameters
                                   E-RABLevelQoSParameters,
   transportLayerAddress
                                   TransportLayerAddress,
   aTP-TEID
                                   GTP-TEID,
   nAS-PDU
                                   NAS-PDU,
                                   ProtocolExtensionContainer { {E-RABToBeSetupItemBearerSURegExtIEs} } OPTIONAL,
   iE-Extensions
E-RABTOBESetupItemBearerSUReqExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- E-RAB Setup Response
__ ********************
E-RABSetupResponse ::= SEQUENCE {
                                                  { {E-RABSetupResponseIEs} },
   protocolIEs
                       ProtocolIE-Container
    . . .
E-RABSetupResponseIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                       CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                              PRESENCE mandatory
                                       CRITICALITY ignore TYPE ENB-UE-S1AP-ID
     ID id-eNB-UE-S1AP-ID
                                                                                              PRESENCE mandatory
                                                                                                                                            } |
     ID id-E-RABSetupListBearerSURes
                                                   CRITICALITY ignore TYPE E-RABSetupListBearerSURes
                                                                                                                     PRESENCE optional
     ID id-E-RABFailedToSetupListBearerSURes
                                                   CRITICALITY ignore TYPE E-RABList
                                                                                                       PRESENCE optional
     ID id-CriticalityDiagnostics
                                       CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                              PRESENCE optional
```

```
E-RABSetupListBearerSURes ::= SEQUENCE (SIZE(1.. maxNrOfE-RABS)) OF ProtocolIE-SingleContainer { {E-RABSetupItemBearerSUResIEs} }
E-RABSetupItemBearerSUResIEs
                               S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABSetupItemBearerSURes
                                      CRITICALITY ignore
                                                              TYPE E-RABSetupItemBearerSURes PRESENCE mandatory },
E-RABSetupItemBearerSURes ::= SEQUENCE {
    e-RAB-ID
                               E-RAB-ID,
   transportLayerAddress
                              TransportLayerAddress,
   qTP-TEID
                              GTP-TEID,
                               ProtocolExtensionContainer { {E-RABSetupItemBearerSUResExtIEs} } OPTIONAL,
   iE-Extensions
E-RABSetupItemBearerSUResExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- E-RAB MODIFY ELEMENTARY PROCEDURE
-- E-RAB Modify Request
__ *********************
E-RABModifyRequest ::= SEQUENCE {
                                                 { {E-RABModifyRequestIEs} },
   protocolIEs
                      ProtocolIE-Container
   . . .
E-RABModifyRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                                                            PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                                                            PRESENCE mandatory
     ID id-uEaggregateMaximumBitrate
                                              CRITICALITY reject TYPE UEAggregateMaximumBitrate
                                                                                                                            PRESENCE optional
    { ID id-E-RABToBeModifiedListBearerModReg
                                              CRITICALITY reject TYPE E-RABToBeModifiedListBearerModReq
                                                                                                                     PRESENCE mandatory
E-RABTOBEMOdifiedListBearerModReq ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABTOBEModifiedItemBearerModReqIEs} }
```

```
E-RABToBeModifiedItemBearerModRegIEs
                                 S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABToBeModifiedItemBearerModReg CRITICALITY reject
                                                                 TYPE E-RABToBeModifiedItemBearerModReq PRESENCE mandatory },
E-RABToBeModifiedItemBearerModReg ::= SEQUENCE {
                                E-RAB-ID,
   e-RABLevelQoSParameters
                                E-RABLevelQoSParameters,
   nAS-PDU
                                NAS-PDU,
   iE-Extensions
                                ProtocolExtensionContainer { {E-RABToBeModifyItemBearerModReqExtIEs} } OPTIONAL,
E-RABTOBeModifyItemBearerModRegExtIEs S1AP-PROTOCOL-EXTENSION ::= {
  *****************
-- E-RAB Modify Response
  E-RABModifyResponse ::= SEQUENCE {
                                              { {E-RABModifyResponseIEs} },
   protocolIEs
                    ProtocolIE-Container
E-RABModifyResponseIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID CRITICALITY ignore TYPE MME-UE-S1AP-ID ID id-eNB-UE-S1AP-ID CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                      PRESENCE mandatory
                                                                                      PRESENCE mandatory
                                               CRITICALITY ignore TYPE E-RABModifyListBearerModRes
                                                                                                     PRESENCE optional
     ID id-E-RABModifyListBearerModRes
    PRESENCE optional
   { ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                      PRESENCE optional
E-RABModifyListBearerModRes ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABModifyItemBearerModResIEs} }
E-RABModifyItemBearerModResIEs S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABModifyItemBearerModRes CRITICALITY ignore
                                                         TYPE E-RABModifyItemBearerModRes PRESENCE mandatory },
   . . .
E-RABModifyItemBearerModRes ::= SEQUENCE {
   e-RAB-ID
                                ProtocolExtensionContainer { {E-RABModifyItemBearerModResExtIEs} } OPTIONAL,
   iE-Extensions
```

```
E-RABModifyItemBearerModResExtIEs S1AP-PROTOCOL-EXTENSION ::= {
  *****************
-- E-RAB RELEASE ELEMENTARY PROCEDURE
      **********************
-- E-RAB Release Command
__ **********************
E-RABReleaseCommand ::= SEQUENCE {
                     ProtocolIE-Container
                                              { {E-RABReleaseCommandIEs} },
   protocolIEs
   . . .
E-RABReleaseCommandIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                   CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                      PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                   CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                      PRESENCE mandatory
                                       CRITICALITY reject TYPE UEAggregateMaximumBitrate
                                                                                      PRESENCE optional
     ID id-uEaggregateMaximumBitrate
     ID id-E-RABToBeReleasedList
                                   CRITICALITY ignore TYPE E-RABList
                                                                                  PRESENCE mandatory } |
     ID id-NAS-PDU
                                       CRITICALITY ignore TYPE NAS-PDU
                                                                                       PRESENCE optional
-- E-RAB Release Response
__ ********************
E-RABReleaseResponse ::= SEQUENCE {
                                             { { E-RABReleaseResponseIEs } },
   protocolIEs
                     ProtocolIE-Container
   . . .
E-RABReleaseResponseIEs S1AP-PROTOCOL-IES ::= {
                                   CRITICALITY ignore TYPE MME-UE-S1AP-ID
     ID id-MME-UE-S1AP-ID
                                                                                      PRESENCE mandatory
                                   CRITICALITY ignore TYPE ENB-UE-S1AP-ID
     ID id-eNB-UE-S1AP-ID
                                                                                      PRESENCE mandatory
                                                                                                                               } |
     ID id-E-RABReleaseListBearerRelComp
                                                  CRITICALITY ignore TYPE E-RABReleaseListBearerRelComp
                                                                                                           PRESENCE optional
     ID id-E-RABFailedToReleaseList
                                       CRITICALITY ignore TYPE E-RABList
                                                                                  PRESENCE optional
    { ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                      PRESENCE optional
```

```
E-RABReleaseListBearerRelComp ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABReleaseItemBearerRelCompIEs} }
E-RABReleaseItemBearerRelCompIEs
                           S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABReleaseItemBearerRelComp
                                 CRITICALITY ignore
                                                   TYPE E-RABReleaseItemBearerRelComp
                                                                              PRESENCE mandatory },
E-RABReleaseItemBearerRelComp ::= SEQUENCE {
                       E-RAB-ID,
  iE-Extensions
                           ProtocolExtensionContainer { {E-RABReleaseItemBearerRelCompExtIEs} } OPTIONAL,
E-RABReleaseItemBearerRelCompExtIEs S1AP-PROTOCOL-EXTENSION ::= {
  *****************
-- E-RAB RELEASE INDICATION ELEMENTARY PROCEDURE
  *****************
-- E-RAB Release Indication
__ *********************
E-RABReleaseIndication ::= SEQUENCE {
  protocolIEs
             ProtocolIE-Container
                                     { {E-RABReleaseIndicationIEs} },
E-RABReleaseIndicationIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                        PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                         PRESENCE mandatory
                              CRITICALITY ignore TYPE E-RABList
                                                                     PRESENCE mandatory },
   { ID id-E-RABReleasedList
  *****************
-- INITIAL CONTEXT SETUP ELEMENTARY PROCEDURE
  __ *********************
```

```
-- Initial Context Setup Request
InitialContextSetupRequest ::= SEQUENCE
                                                  { {InitialContextSetupRequestIEs} },
   protocolIEs
                       ProtocolIE-Container
InitialContextSetupRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                       CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                              PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                       CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                              PRESENCE mandatory
     ID id-uEaggregateMaximumBitrate
                                           CRITICALITY reject TYPE UEAggregateMaximumBitrate
                                                                                               PRESENCE mandatory
     ID id-E-RABToBeSetupListCtxtSUReq
                                               CRITICALITY reject TYPE E-RABToBeSetupListCtxtSUReq
                                                                                                              PRESENCE mandatory
     ID id-UESecurityCapabilities
                                       CRITICALITY reject TYPE UESecurityCapabilities
                                                                                              PRESENCE mandatory
     ID id-SecurityKey
                           CRITICALITY reject TYPE SecurityKey
                                                                          PRESENCE mandatory
     ID id-TraceActivation
                                       CRITICALITY ignore TYPE TraceActivation
                                                                                              PRESENCE optional
     ID id-HandoverRestrictionList
                                       CRITICALITY ignore TYPE HandoverRestrictionList
                                                                                              PRESENCE optional
                                       CRITICALITY ignore TYPE UERadioCapability
                                                                                              PRESENCE optional
     ID id-UERadioCapability
                                      CRITICALITY ignore TYPE SubscriberProfileIDforRFP PRESENCE optional }
     ID id-SubscriberProfileIDforRFP
     ID id-CSFallbackIndicator
                                       CRITICALITY reject
                                                              TYPE CSFallbackIndicator
                                                                                              PRESENCE optional
     ID id-SRVCCOperationPossible
                                           CRITICALITY ignore TYPE SRVCCOperationPossible
                                                                                              PRESENCE optional
     ID id-CSGMembershipStatus
                                       CRITICALITY ignore
                                                              TYPE CSGMembershipStatus
                                                                                              PRESENCE optional
    . . .
E-RABTOBESetupListCtxtSUReq ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABTOBESetupItemCtxtSUReqIEs} }
E-RABToBeSetupItemCtxtSUReqIEs S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABToBeSetupItemCtxtSUReq CRITICALITY reject
                                                              TYPE E-RABToBeSetupItemCtxtSUReq PRESENCE mandatory },
    . . .
E-RABToBeSetupItemCtxtSUReq ::= SEQUENCE {
    e-RAB-ID
                               E-RAB-ID,
    e-RABlevelQoSParameters
                                           E-RABLevelOoSParameters,
    transportLayerAddress
                                   TransportLayerAddress,
   qTP-TEID
                       GTP-TEID,
   nAS-PDU
                       NAS-PDU
                                   OPTIONAL,
                                   ProtocolExtensionContainer { {E-RABToBeSetupItemCtxtSUReqExtIEs} } OPTIONAL,
   iE-Extensions
E-RABTOBESetupItemCtxtSUReqExtIEs S1AP-PROTOCOL-EXTENSION ::= {
   ******************
```

```
-- Initial Context Setup Response
  ******************
InitialContextSetupResponse ::= SEQUENCE {
                                             { {InitialContextSetupResponseIEs} },
                    ProtocolIE-Container
   protocolIEs
InitialContextSetupResponseIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                   CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                     PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                   CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                     PRESENCE mandatory
     ID id-E-RABSetupListCtxtSURes
                                          CRITICALITY ignore TYPE E-RABSetupListCtxtSURes
                                                                                                   PRESENCE mandatory
     PRESENCE optional
   { ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                     PRESENCE optional
E-RABSetupListCtxtSURes ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABSetupItemCtxtSUResIEs} }
E-RABSetupItemCtxtSUResIEs S1AP-PROTOCOL-IES ::= {
   { ID id-E-RABSetupItemCtxtSURes CRITICALITY ignore
                                                     TYPE E-RABSetupItemCtxtSURes
                                                                                 PRESENCE mandatory },
   . . .
E-RABSetupItemCtxtSURes ::= SEQUENCE {
   e-RAB-ID
                            E-RAB-ID,
   transportLayerAddress
                               TransportLayerAddress,
   qTP-TEID
                    GTP-TEID,
   iE-Extensions
                               ProtocolExtensionContainer { {E-RABSetupItemCtxtSUResExtIEs} } OPTIONAL,
E-RABSetupItemCtxtSUResExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- Initial Context Setup Failure
  ***********************
InitialContextSetupFailure ::= SEQUENCE
   protocolIEs
                    ProtocolIE-Container
                                             { {InitialContextSetupFailureIEs} },
InitialContextSetupFailureIEs S1AP-PROTOCOL-IES ::= 
     ID id-MME-UE-S1AP-ID
                                   CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                     PRESENCE mandatory
    { ID id-eNB-UE-S1AP-ID
                                   CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                                     PRESENCE mandatory
```

},

```
ID id-Cause
                                 CRITICALITY ignore TYPE Cause
                                                                             PRESENCE mandatory }
   { ID id-CriticalityDiagnostics
                                 CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                PRESENCE optional
__ ********************
-- PAGING ELEMENTARY PROCEDURE
  *******************
__ **********************
-- Paging
__ *********************
Paging ::= SEQUENCE {
                                          {{PagingIEs}},
   protocolIEs
                    ProtocolIE-Container
PagingIEs S1AP-PROTOCOL-IES ::= {
    ID id-UEIdentityIndexValue
                                 CRITICALITY ignore TYPE UEIdentityIndexValue PRESENCE mandatory
    ID id-UEPagingID
                                    CRITICALITY ignore TYPE UEPagingID
                                                                            PRESENCE mandatory
    ID id-pagingDRX
                                 CRITICALITY ignore TYPE PagingDRX
                                                                      PRESENCE optional
                                                                      PRESENCE mandatory
    ID id-CNDomain
                                 CRITICALITY ignore
                                                    TYPE CNDomain
                                                                  PRESENCE mandatory
    ID id-TAIList
                             CRITICALITY ignore TYPE TAIList
   { ID id-CSG-IdList
                              CRITICALITY ignore TYPE CSG-IdList PRESENCE optional },
   . . .
TAIList::= SEQUENCE (SIZE(1.. maxnoofTAIs)) OF ProtocolIE-SingleContainer {{TAIItemIEs}}
TAIItemIEs S1AP-PROTOCOL-IES ::= {
   { ID id-TAIItem CRITICALITY ignore
                                    TYPE TAILtem PRESENCE mandatory },
   . . .
TAIItem ::= SEQUENCE {
                              TAI,
   iE-Extensions
                             ProtocolExtensionContainer { {TAIItemExtIEs} } OPTIONAL,
TAIItemExtIEs S1AP-PROTOCOL-EXTENSION ::= {
  *****************
-- UE CONTEXT RELEASE ELEMENTARY PROCEDURE
```

```
-- UE CONTEXT RELEASE REQUEST
__ ******************
UEContextReleaseRequest ::= SEQUENCE {
   protocolIEs
                               ProtocolIE-Container
                                                        {{UEContextReleaseRequest-IEs}},
   . . .
UEContextReleaseRequest-IEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
ID id-eNB-UE-S1AP-ID CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                              PRESENCE mandatory }
                                                                              PRESENCE mandatory }
     ID id-Cause
                               CRITICALITY ignore TYPE Cause
                                                                       PRESENCE mandatory },
  *****************
-- UE Context Release Command
  UEContextReleaseCommand ::= SEQUENCE {
                                                        {{UEContextReleaseCommand-IEs}},
   protocolIEs
                               ProtocolIE-Container
UEContextReleaseCommand-IEs S1AP-PROTOCOL-IES ::= {
                                                                                 PRESENCE mandatory }
   { ID id-UE-S1AP-IDs
                       CRITICALITY reject TYPE UE-S1AP-IDs
   { ID id-Cause
                              CRITICALITY ignore TYPE Cause
                                                                              PRESENCE mandatory } ,
  *****************
-- UE Context Release Complete
UEContextReleaseComplete ::= SEQUENCE {
   protocolIEs
                               ProtocolIE-Container
                                                        {{UEContextReleaseComplete-IEs}},
UEContextReleaseComplete-IEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                        CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                              PRESENCE mandatory }
     ID id-eNB-UE-S1AP-ID
                               CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                              PRESENCE mandatory }
    { ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                     PRESENCE optional
```

```
-- UE CONTEXT MODIFICATION ELEMENTARY PROCEDURE
    -- UE Context Modification Request
__ **********************
UEContextModificationRequest ::= SEQUENCE {
   protocolIEs
                                          { { UEContextModificationRequestIEs} },
                ProtocolIE-Container
   . . .
UEContextModificationRequestIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                                CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                               PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                                CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                           PRESENCE mandatory }
    ID id-SecurityKey
                                CRITICALITY reject TYPE SecurityKey
                                                                               PRESENCE optional
    ID id-SubscriberProfileIDforRFP CRITICALITY ignore TYPE SubscriberProfileIDforRFP PRESENCE optional
    PRESENCE optional
    ID id-CSFallbackIndicator
                                CRITICALITY reject
                                                    TYPE CSFallbackIndicator
                                                                               PRESENCE optional
    ID id-UESecurityCapabilities
                                CRITICALITY reject TYPE UESecurityCapabilities
                                                                               PRESENCE optional
                                                                               PRESENCE optional
   { ID id-CSGMembershipStatus
                                CRITICALITY ignore TYPE CSGMembershipStatus
    -- UE Context Modification Response
UEContextModificationResponse ::= SEQUENCE {
   protocolIEs
                   ProtocolIE-Container
                                          { { UEContextModificationResponseIEs} },
UEContextModificationResponseIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                                    CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                                PRESENCE mandatory
                                CRITICALITY ignore TYPE ENB-UE-S1AP-ID
    ID id-eNB-UE-S1AP-ID
                                                                           PRESENCE mandatory } |
   { ID id-CriticalityDiagnostics
                                CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                               PRESENCE optional
-- UE Context Modification Failure
__ **********************
```

```
UEContextModificationFailure ::= SEQUENCE {
   protocolIEs
              ProtocolIE-Container
                                        { { UEContextModificationFailureIEs} },
UEContextModificationFailureIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
ID id-eNB-UE-S1AP-ID CRIT
                                  CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                                              PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                                         PRESENCE mandatory }
    ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE mandatory }
   PRESENCE optional
-- NAS TRANSPORT ELEMENTARY PROCEDURES
__ ********************
-- DOWNLINK NAS TRANSPORT
__ ******************
DownlinkNASTransport ::= SEQUENCE {
                                                  {{DownlinkNASTransport-IEs}},
   protocolIEs
                            ProtocolIE-Container
DownlinkNASTransport-IEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                   PRESENCE mandatory }
    ID id-eNB-UE-S1AP-ID CRITICALITY reject TYPE ENB-UE-S1AP-ID ID id-NAS-PDU CRITICALITY reject TYPE NAS-PDU
                                                                      PRESENCE mandatory }
                                                                   PRESENCE mandatory }
    *****************
-- INITIAL UE MESSAGE
InitialUEMessage ::= SEQUENCE {
   protocolIEs
                            ProtocolIE-Container
                                                  {{InitialUEMessage-IEs}},
InitialUEMessage-IEs S1AP-PROTOCOL-IES ::= {
    ID id-eNB-UE-S1AP-ID
                               CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                            PRESENCE mandatory
    ID id-NAS-PDU
                               CRITICALITY reject TYPE NAS-PDU
                                                                            PRESENCE mandatory }
   { ID id-TAI
                               CRITICALITY reject TYPE TAI
                                                                            PRESENCE mandatory }
```

```
ID id-EUTRAN-CGI
                                  CRITICALITY ignore TYPE EUTRAN-CGI
                                                                                  PRESENCE mandatory
     ID id-RRC-Establishment-Cause
                                  CRITICALITY ignore TYPE RRC-Establishment-Cause
                                                                                  PRESENCE mandatory }
     ID id-S-TMSI
                                  CRITICALITY reject TYPE S-TMSI
                                                                                  PRESENCE optional }
    ID id-CSG-Id
                                  CRITICALITY reject TYPE CSG-Id
                                                                                  PRESENCE optional
     ID id-GUMMEI-ID
                                  CRITICALITY reject TYPE GUMMEI
                                                                                  PRESENCE optional
                                  CRITICALITY reject TYPE CellAccessMode
                                                                                  PRESENCE optional },
    ID id-CellAccessMode
  *****************
-- UPLINK NAS TRANSPORT
__ **********************
UplinkNASTransport ::= SEQUENCE {
                                                      {{UplinkNASTransport-IEs}},
   protocolIEs
                              ProtocolIE-Container
   . . .
UplinkNASTransport-IEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                        CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                          PRESENCE mandatory
                                                                           PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                              CRITICALITY reject TYPE NAS-PDU
                                                                       PRESENCE mandatory}
    ID id-NAS-PDU
    ID id-EUTRAN-CGI
                        CRITICALITY ignore TYPE EUTRAN-CGI
                                                                PRESENCE mandatory}
   { ID id-TAI
                           CRITICALITY ignore TYPE TAI
                                                                       PRESENCE mandatory },
    ****************
-- NAS NON DELIVERY INDICATION
__ *******************************
NASNonDeliveryIndication ::= SEQUENCE {
   protocolIEs
                              ProtocolIE-Container
                                                      {{NASNonDeliveryIndication-IEs}},
NASNonDeliveryIndication-IEs S1AP-PROTOCOL-IES ::= {
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
    ID id-MME-UE-S1AP-ID
                                                                           PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                           PRESENCE mandatory }
    ID id-NAS-PDU
                              CRITICALITY ignore TYPE NAS-PDU
                                                                       PRESENCE mandatory}
    ID id-Cause
                              CRITICALITY ignore TYPE Cause
                                                                    PRESENCE mandatory } .
  ************************
-- RESET ELEMENTARY PROCEDURE
  ****************
```

```
-- Reset
Reset ::= SEQUENCE {
                                                { {ResetIEs} },
   protocolIEs
                      ProtocolIE-Container
ResetIEs S1AP-PROTOCOL-IES ::= {
    { ID id-Cause
                                      CRITICALITY ignore TYPE Cause
                                                                                       PRESENCE mandatory } |
    { ID id-ResetType
                                  CRITICALITY reject TYPE ResetType
                                                                            PRESENCE mandatory
    . . .
ResetType ::= CHOICE {
   s1-Interface
                      ResetAll,
   partOfS1-Interface
                          UE-associatedLogicalS1-ConnectionListRes,
ResetAll ::= ENUMERATED {
   reset-all,
   . . .
UE-associatedLogicalS1-ConnectionListRes ::= SEQUENCE (SIZE(1.. maxNrOfIndividualS1ConnectionsToReset)) OF ProtocolIE-SingleContainer { { UE-
associatedLogicalS1-ConnectionItemRes } }
UE-associatedLogicalS1-ConnectionItemRes
                                         S1AP-PROTOCOL-IES ::= {
   { ID id-UE-associatedLogicalS1-ConnectionItem
                                                CRITICALITY reject
                                                                        TYPE UE-associatedLogicalS1-ConnectionItem PRESENCE mandatory },
    . . .
  -- Reset Acknowledge
ResetAcknowledge ::= SEQUENCE {
   protocolIEs
                      ProtocolIE-Container
                                                { {ResetAcknowledgeIEs} },
ResetAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
    { ID id-UE-associatedLogicalS1-ConnectionListResAck
                                                         CRITICALITY ignore TYPE UE-associatedLogicalS1-ConnectionListResAck
   PRESENCE optional }
    { ID id-CriticalityDiagnostics
                                     CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                                  },
                                                                                           PRESENCE optional
```

```
UE-associatedLogicalS1-ConnectionListResAck ::= SEQUENCE (SIZE(1.. maxNrOfIndividualS1ConnectionsToReset)) OF ProtocolIE-SingleContainer { { UE-
associatedLogicalS1-ConnectionItemResAck } }
TYPE UE-associatedLogicalS1-ConnectionItem
                                                                                      PRESENCE mandatory
__ **********************
-- ERROR INDICATION ELEMENTARY PROCEDURE
  __ **********************
-- Error Indication
ErrorIndication ::= SEQUENCE {
  protocolIEs
               ProtocolIE-Container
                                  {{ErrorIndicationIEs}},
ErrorIndicationIEs S1AP-PROTOCOL-IES ::= {
   ID id-MME-UE-S1AP-ID
                          CRITICALITY ignore TYPE MME-UE-S1AP-ID
                                                              PRESENCE optional
   ID id-eNB-UE-S1AP-ID
                          CRITICALITY ignore TYPE ENB-UE-S1AP-ID
                                                              PRESENCE optional
   ID id-Cause
                          CRITICALITY ignore TYPE Cause
                                                              PRESENCE optional
  ID id-CriticalityDiagnostics
                          CRITICALITY ignore TYPE CriticalityDiagnostics
                                                              PRESENCE optional
  -- S1 SETUP ELEMENTARY PROCEDURE
  -- S1 Setup Request
__ *********************
S1SetupRequest ::= SEQUENCE {
                                  { {S1SetupRequestIEs} },
  protocolIEs
             ProtocolIE-Container
  . . .
```

```
S1SetupRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-Global-ENB-ID
                           CRITICALITY reject TYPE Global-ENB-ID
                                                                  PRESENCE mandatory } |
     ID id-eNBname
                           CRITICALITY ignore TYPE ENBname
                                                                  PRESENCE optional }
     ID id-SupportedTAs
                           CRITICALITY reject TYPE SupportedTAs
                                                                  PRESENCE mandatory
     ID id-DefaultPagingDRX
                           CRITICALITY ignore TYPE PagingDRX
                                                                  PRESENCE mandatory}
    ID id-CSG-IdList
                           CRITICALITY reject TYPE CSG-IdList
                                                                  PRESENCE optional},
    ******************
-- S1 Setup Response
  *****************
S1SetupResponse ::= SEQUENCE {
   protocolIEs
                                            { {S1SetupResponseIEs} },
                    ProtocolIE-Container
S1SetupResponseIEs S1AP-PROTOCOL-IES ::= {
     ID id-MMEname CRITICALITY ignore TYPE MMEname
                                                                PRESENCE optional }|
     ID id-ServedGUMMEIs
                                  CRITICALITY reject TYPE ServedGUMMEIS
                                                                                PRESENCE mandatory } |
                                         CRITICALITY ignore TYPE RelativeMMECapacity
     ID id-RelativeMMECapacity
                                                                                                         PRESENCE mandatory
   { ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                    PRESENCE optional
-- S1 Setup Failure
__ *********************
S1SetupFailure ::= SEQUENCE {
                                            { {S1SetupFailureIEs} },
   protocolIEs
                    ProtocolIE-Container
S1SetupFailureIEs S1AP-PROTOCOL-IES ::= {
    ID id-Cause
                                  CRITICALITY ignore TYPE Cause
                                                                                PRESENCE mandatory
     ID id-TimeToWait
                                  CRITICALITY ignore TYPE TimeToWait
                                                                                PRESENCE optional
   { ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                    PRESENCE optional
                                                                                                         },
-- ENB CONFIGURATION UPDATE ELEMENTARY PROCEDURE
__ **********************
```

```
-- eNB Configuration Update
__ ********************
ENBConfigurationUpdate ::= SEQUENCE {
                                         { {ENBConfigurationUpdateIEs} },
   protocolIEs
                  ProtocolIE-Container
   . . .
ENBConfigurationUpdateIEs S1AP-PROTOCOL-IES ::= {
    ID id-eNBname
                 CRITICALITY ignore TYPE ENBname
                                                             PRESENCE optional
    ID id-SupportedTAs CRIT:
ID id-CSG-IdList
                         CRITICALITY reject TYPE SupportedTAs
                                                             PRESENCE optional
                                CRITICALITY reject TYPE CSG-IdList
                                                                      PRESENCE optional }
   PRESENCE optional },
  *****************
-- eNB Configuration Update Acknowledge
  ****************
ENBConfigurationUpdateAcknowledge ::= SEQUENCE {
   protocolIEs
                   ProtocolIE-Container
                                         { {ENBConfigurationUpdateAcknowledgeIEs} },
   . . .
ENBConfigurationUpdateAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
   { ID id-CriticalityDiagnostics
                                CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                             PRESENCE optional
   . . .
   *****************
-- eNB Configuration Update Failure
  ENBConfigurationUpdateFailure ::= SEQUENCE {
                  ProtocolIE-Container
                                         { {ENBConfigurationUpdateFailureIEs} },
   protocolIEs
ENBConfigurationUpdateFailureIEs S1AP-PROTOCOL-IES ::= {
    ID id-Cause
                                CRITICALITY ignore TYPE Cause
                                                                         PRESENCE mandatory
    ID id-TimeToWait
                                CRITICALITY ignore TYPE TimeToWait
                                                                         PRESENCE optional
    ID id-CriticalityDiagnostics
                                CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional },
```

```
__ *********************
-- MME Configuration UPDATE ELEMENTARY PROCEDURE
     ****************
-- MME Configuration Update
__ *********************
MMEConfigurationUpdate ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       { {MMEConfigurationUpdateIEs} },
MMEConfigurationUpdateIEs S1AP-PROTOCOL-IES ::= {
    ID id-MMEname
                        CRITICALITY ignore TYPE MMEname
                                                          PRESENCE optional }
                                                                                      } |
    ID id-ServedGUMMEIs
                           CRITICALITY reject TYPE ServedGUMMEIS
                                                                   PRESENCE optional
   { ID id-RelativeMMECapacity CRITICALITY reject TYPE RelativeMMECapacity
                                                             PRESENCE optional },
   . . .
    -- MME Configuration Update Acknowledge
__ ********************
MMEConfigurationUpdateAcknowledge ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                       { {MMEConfigurationUpdateAcknowledgeIEs} },
   . . .
MMEConfigurationUpdateAcknowledgeIEs S1AP-PROTOCOL-IES ::= {
   { ID id-CriticalityDiagnostics
                              CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                          PRESENCE optional
  ********************
-- MME Configuration Update Failure
__ *********************
MMEConfigurationUpdateFailure ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                       { {MMEConfigurationUpdateFailureIEs} },
   . . .
MMEConfigurationUpdateFailureIEs S1AP-PROTOCOL-IES ::= {
   { ID id-Cause
                              CRITICALITY ignore TYPE Cause
                                                                       PRESENCE mandatory }
```

```
ID id-TimeToWait
                                  CRITICALITY ignore TYPE TimeToWait
                                                                               PRESENCE optional
   { ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                  PRESENCE optional
                                                                                                       },
-- DOWNLINK S1 CDMA2000 TUNNELING ELEMENTARY PROCEDURE
  *******************
    *******************
-- Downlink S1 CDMA2000 Tunneling
          **************
DownlinkS1cdma2000tunneling ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                            { {DownlinkS1cdma2000tunnelingIEs} },
DownlinkS1cdma2000tunnelingIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                  CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                               PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                  CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                               PRESENCE mandatory
    ID id-E-RABSubjecttoDataForwardingList
                                            CRITICALITY ignore TYPE E-RABSubjecttoDataForwardingList
   PRESENCE optional } |
     ID id-cdma2000HOStatus
                                     CRITICALITY ignore TYPE Cdma2000HOStatus
                                                                                  PRESENCE optional
                                                                               PRESENCE mandatory
     ID id-cdma2000RATType
                                  CRITICALITY reject TYPE Cdma2000RATType
                                  CRITICALITY reject TYPE Cdma2000PDU
                                                                               PRESENCE mandatory
   { ID id-cdma2000PDU
-- UPLINK S1 CDMA2000 TUNNELING ELEMENTARY PROCEDURE
  *************
-- Uplink S1 CDMA2000 Tunneling
UplinkS1cdma2000tunneling ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                            { {UplinkS1cdma2000tunnelingIEs} },
UplinkS1cdma2000tunnelingIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                                  CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                               PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                                  CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                               PRESENCE mandatory
    ID id-cdma2000RATType
                                  CRITICALITY reject TYPE Cdma2000RATType
                                                                               PRESENCE mandatory
```

```
ID id-cdma2000SectorID
                              CRITICALITY reject TYPE Cdma2000SectorID
                                                                      PRESENCE mandatory }
    ID id-cdma2000HORequiredIndication
                                CRITICALITY ignore TYPE Cdma2000HORequiredIndication
                                                                                           PRESENCE optional
    ID id-cdma2000OneXSRVCCInfo
                              CRITICALITY reject TYPE Cdma20000neXSRVCCInfo
                                                                         PRESENCE optional
    ID id-cdma20000neXRAND
                              CRITICALITY reject TYPE Cdma2000OneXRAND
                                                                      PRESENCE optional
    ID id-cdma2000PDU
                              CRITICALITY reject TYPE Cdma2000PDU
                                                                      PRESENCE mandatory }
   PRESENCE optional },
   -- Extension for Release 9 to assist target HRPD access with the acquisition of the UE --
   *******************
-- UE CAPABILITY INFO INDICATION ELEMENTARY PROCEDURE
    *****************
-- UE Capability Info Indication
__ *********************
UECapabilityInfoIndication ::= SEQUENCE {
   protocolIEs
                                      { { UECapabilityInfoIndicationIEs} },
                 ProtocolIE-Container
   . . .
UECapabilityInfoIndicationIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                         PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                         PRESENCE mandatory
                              CRITICALITY ignore TYPE UERadioCapability
                                                                         PRESENCE mandatory
    ID id-UERadioCapability
   enb status transfer elementary procedure
   *****************
-- eNB Status Transfer
__ **********************
ENBStatusTransfer ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                      { {ENBStatusTransferIEs} },
   . . .
ENBStatusTransferIEs S1AP-PROTOCOL-IES ::= {
                           CRITICALITY reject TYPE MME-UE-S1AP-ID
   { ID id-MME-UE-S1AP-ID
                                                                   PRESENCE mandatory }
```

```
ID id-eNB-UE-S1AP-ID
                           CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                  PRESENCE mandatory |
    ID id-eNB-StatusTransfer-TransparentContainer CRITICALITY reject TYPE ENB-StatusTransfer-TransparentContainer
                                                                                                 PRESENCE mandatory }
__ ******************
-- MME STATUS TRANSFER ELEMENTARY PROCEDURE
   -- MME Status Transfer
__ ********************
MMEStatusTransfer ::= SEQUENCE {
  protocolIEs
                  ProtocolIE-Container
                                      { {MMEStatusTransferIEs} },
   . . .
MMEStatusTransferIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                           CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                  PRESENCE mandatory }
                           CRITICALITY reject TYPE ENB-UE-S1AP-ID
    ID id-eNB-UE-S1AP-ID
                                                                  PRESENCE mandatory
    ID id-eNB-StatusTransfer-TransparentContainer CRITICALITY reject TYPE ENB-StatusTransfer-TransparentContainer
                                                                                                 PRESENCE mandatory
__ *********************
-- TRACE ELEMENTARY PROCEDURES
__ ********************
-- Trace Start
__ ********************
TraceStart ::= SEOUENCE {
   protocolIEs
                                      { {TraceStartIEs} },
                 ProtocolIE-Container
   . . .
TraceStartIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                     PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                     PRESENCE mandatory}
   { ID id-TraceActivation
                              CRITICALITY ignore TYPE TraceActivation
                                                                     PRESENCE mandatory
```

```
******************
-- Trace Failure Indication
  **********************
TraceFailureIndication ::= SEQUENCE {
                                       { {TraceFailureIndicationIEs} },
   protocolIEs
             ProtocolIE-Container
TraceFailureIndicationIEs S1AP-PROTOCOL-IES ::= {
                                                                        PRESENCE mandatory
    ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
    ID id-eNB-UE-S1AP-ID
                               CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                        PRESENCE mandatory
                               CRITICALITY ignore TYPE E-UTRAN-Trace-ID
                                                                        PRESENCE mandatory
    ID id-E-UTRAN-Trace-ID
   { ID id-Cause
                               CRITICALITY ignore TYPE Cause
                                                                        PRESENCE mandatory }
   . . .
  *****************
-- DEACTIVATE TRACE ELEMENTARY PROCEDURE
    -- DEACTIVATE TRACE
__ *********************
DeactivateTrace ::= SEQUENCE {
   protocolIEs
                                       { { DeactivateTraceIEs} }.
                  ProtocolIE-Container
DeactivateTraceIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID
                      CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                           PRESENCE mandatory }
    ID id-eNB-UE-S1AP-ID
                        CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                           PRESENCE mandatory }
   { ID id-E-UTRAN-Trace-ID
                           CRITICALITY ignore TYPE E-UTRAN-Trace-ID
                                                                 PRESENCE mandatory },
-- CELL TRAFFIC TRACE ELEMENTARY PROCEDURE
-- CELL TRAFFIC TRACE
```

```
CellTrafficTrace ::= SEQUENCE {
                 ProtocolIE-Container
                                      { { CellTrafficTraceIEs } },
   protocolIEs
CellTrafficTraceIEs S1AP-PROTOCOL-IES ::= {
   {ID id-MME-UE-S1AP-ID
                                      CRITICALITY reject
                                                           TYPE MME-UE-S1AP-ID
                                                                                   PRESENCE mandatory }
   ID id-eNB-UE-S1AP-ID
                                      CRITICALITY reject
                                                                                   PRESENCE mandatory}
                                                          TYPE ENB-UE-S1AP-ID
   {ID id-E-UTRAN-Trace-ID
                                      CRITICALITY ignore
                                                          TYPE E-UTRAN-Trace-ID
                                                                                   PRESENCE mandatory }
   {ID id-EUTRAN-CGI
                                      CRITICALITY ignore
                                                                                   PRESENCE mandatory
                                                           TYPE EUTRAN-CGI
   TYPE TransportLayerAddress PRESENCE mandatory },
-- LOCATION ELEMENTARY PROCEDURES
-- Location Reporting Control
  LocationReportingControl ::= SEQUENCE {
                                            { { LocationReportingControlIEs} },
   protocolIEs
                   ProtocolIE-Container
LocationReportingControlIEs S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID
                              CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                            PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                            PRESENCE mandatory
    ID id-RequestType
                              CRITICALITY ignore TYPE RequestType
                                                                            PRESENCE mandatory
    ****************
-- Location Report Failure Indication
__ *********************
LocationReportingFailureIndication ::= SEQUENCE {
                                            { { LocationReportingFailureIndicationIEs} },
   protocolIEs
                    ProtocolIE-Container
   . . .
LocationReportingFailureIndicationIEs S1AP-PROTOCOL-IES ::= {
   { ID id-MME-UE-S1AP-ID
                           CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                            PRESENCE mandatory }
```

```
ID id-eNB-UE-S1AP-ID
                             CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                          PRESENCE mandatory |
   { ID id-Cause
                             CRITICALITY ignore TYPE Cause
                                                                         PRESENCE mandatory },
__ ********************
-- Location Report
__ *********************
LocationReport ::= SEQUENCE {
   protocolIEs
                                          { { LocationReportIEs} },
                  ProtocolIE-Container
LocationReportIEs S1AP-PROTOCOL-IES ::= {
    ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                         PRESENCE mandatory
    ID id-eNB-UE-S1AP-ID CRITICALITY reject TYPE ENB-UE-S1AP-ID ID id-EUTRAN-CGI CRITICALITY ignore TYPE EUTRAN-CGI ID id-TAI CRITICALITY ignore TYPE TAI
                                                                         PRESENCE mandatory
                                                                         PRESENCE mandatory
                                                                         PRESENCE mandatory
   { ID id-RequestType
                           CRITICALITY ignore TYPE RequestType
                                                                         PRESENCE mandatory }
  ******************
-- OVERLOAD ELEMENTARY PROCEDURES
       -- Overload Start
__ *********************
OverloadStart ::= SEQUENCE {
                                          { {OverloadStartIEs} },
   protocolIEs ProtocolIE-Container
   . . .
OverloadStartIEs S1AP-PROTOCOL-IES ::= {
   { ID id-OverloadResponse
                                        CRITICALITY reject TYPE OverloadResponse
                                                                                              PRESENCE mandatory
-- Overload Stop
OverloadStop ::= SEQUENCE {
                                          { {OverloadStopIEs} },
   protocolIEs
                    ProtocolIE-Container
```

```
OverloadStopIEs S1AP-PROTOCOL-IES ::= {
  WRITE-REPLACE WARNING ELEMENTARY PROCEDURE
  *****************
  Write-Replace Warning Request
  WriteReplaceWarningRequest ::= SEQUENCE
   protocolIEs
                    ProtocolIE-Container
                                           { {WriteReplaceWarningRequestIEs} },
   . . .
WriteReplaceWarningRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-MessageIdentifier
                                  CRITICALITY reject TYPE MessageIdentifier
                                                                           PRESENCE mandatory
     ID id-SerialNumber
                                  CRITICALITY reject TYPE SerialNumber
                                                                           PRESENCE mandatory
     ID id-WarningAreaList
                                  CRITICALITY ignore TYPE WarningAreaList
                                                                           PRESENCE optional
     ID id-RepetitionPeriod
                                  CRITICALITY reject TYPE RepetitionPeriod
                                                                           PRESENCE mandatory
     ID id-ExtendedRepetitionPeriod
                                  CRITICALITY reject TYPE ExtendedRepetitionPeriod PRESENCE optional
                                                                              PRESENCE mandatory
     ID id-NumberofBroadcastRequest
                                  CRITICALITY reject TYPE NumberofBroadcastRequest
     ID id-WarningType
                                  CRITICALITY ignore TYPE WarningType
                                                                           PRESENCE optional
     ID id-WarningSecurityInfo
                                  CRITICALITY ignore TYPE WarningSecurityInfo
                                                                           PRESENCE optional
                                                                           PRESENCE optional
     ID id-DataCodingScheme
                                  CRITICALITY ignore TYPE DataCodingScheme
                                  CRITICALITY ignore TYPE WarningMessageContents
     ID id-WarningMessageContents
                                                                               PRESENCE optional
     PRESENCE optional
   -- Write-Replace Warning Response
WriteReplaceWarningResponse ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                            { {WriteReplaceWarningResponseIEs} },
{\tt WriteReplaceWarningResponseIEs~S1AP-PROTOCOL-IES~::=~\{}
     ID id-MessageIdentifier
                                  CRITICALITY reject TYPE MessageIdentifier
                                                                                   PRESENCE mandatory
     ID id-SerialNumber
                                  CRITICALITY reject TYPE SerialNumber
                                                                                   PRESENCE mandatory }
    ID id-BroadcastCompletedAreaList CRITICALITY ignore TYPE BroadcastCompletedAreaList
                                                                                   PRESENCE optional
                                                                                                       } |
```

```
{ ID id-CriticalityDiagnostics
                                                                            PRESENCE optional },
                               CRITICALITY ignore TYPE CriticalityDiagnostics
  ******************
-- enb direct information transfer elementary procedure
__ *********************
    ****************
-- eNB Direct Information Transfer
__ **********************
ENBDirectInformationTransfer ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                        {{ ENBDirectInformationTransferIEs}},
   . . .
ENBDirectInformationTransferIEs S1AP-PROTOCOL-IES ::= {
   { ID id-Inter-SystemInformationTransferTypeEDT
                                           CRITICALITY reject TYPE Inter-SystemInformationTransferType
                                                                                                         PRESENCE
mandatory } ,
Inter-SystemInformationTransferType ::= CHOICE {
   rIMTransfer
               RIMTransfer,
    *****************
-- MME DIRECT INFORMATION TRANSFER ELEMENTARY PROCEDURE
     ******************
    *****************
-- MME Direct Information Transfer
__ ********************
MMEDirectInformationTransfer ::= SEOUENCE {
   protocolIEs
                  ProtocolIE-Container
                                        {{ MMEDirectInformationTransferIEs}},
   . . .
MMEDirectInformationTransferIEs S1AP-PROTOCOL-IES ::= {
   { ID id-Inter-SystemInformationTransferTypeMDT
                                           CRITICALITY reject TYPE Inter-SystemInformationTransferType
                                                                                                         PRESENCE
mandatory } ,
```

```
__ ********************
 ENB CONFIGURATION TRANSFER ELEMENTARY PROCEDURE
   *****************
-- eNB Configuration Transfer
__ *********************
ENBConfigurationTransfer ::= SEQUENCE {
  protocolIEs
          ProtocolIE-Container
                              {{ ENBConfigurationTransferIEs}},
ENBConfigurationTransferIEs S1AP-PROTOCOL-IES ::= {
  PRESENCE optional } ,
 *****************
-- MME CONFIGURATION TRANSFER ELEMENTARY PROCEDURE
__ *******************************
__ ********************
-- MME Configuration Transfer
 *****************
MMEConfigurationTransfer ::= SEQUENCE {
                              {{ MMEConfigurationTransferIEs}},
  protocolIEs
          ProtocolIE-Container
MMEConfigurationTransferIEs S1AP-PROTOCOL-IES ::= {
  { ID id-SONConfigurationTransferMCT
                        CRITICALITY ignore TYPE SONConfigurationTransfer
                                                                   PRESENCE optional } ,
 ******************
-- PRIVATE MESSAGE ELEMENTARY PROCEDURE
 ************************
  -- Private Message
```

```
__ *********************
PrivateMessage ::= SEOUENCE {
   privateIEs
                    PrivateIE-Container
                                           {{PrivateMessageIEs}},
   . . .
PrivateMessageIEs S1AP-PRIVATE-IES ::= {
  *********************
-- Kill Request
KillRequest ::= SEQUENCE {
                                            { {KillRequestIEs} },
   protocolIEs
                     ProtocolIE-Container
KillRequestIEs S1AP-PROTOCOL-IES ::= {
     ID id-MessageIdentifier
                                   CRITICALITY reject TYPE MessageIdentifier
                                                                             PRESENCE mandatory } |
     ID id-SerialNumber
                                   CRITICALITY reject TYPE SerialNumber
                                                                             PRESENCE mandatory } |
   { ID id-WarningAreaList
                                  CRITICALITY ignore TYPE WarningAreaList
                                                                             PRESENCE optional },
    ****************
-- Kill Response
KillResponse ::= SEQUENCE {
   protocolIEs
                    ProtocolIE-Container
                                             { {KillResponseIEs} },
KillResponseIEs S1AP-PROTOCOL-IES ::= {
     ID id-MessageIdentifier
                                   CRITICALITY reject TYPE MessageIdentifier
                                                                                    PRESENCE mandatory } |
     ID id-SerialNumber
                                   CRITICALITY reject TYPE SerialNumber
                                                                                    PRESENCE mandatory }
     ID id-BroadcastCancelledAreaList CRITICALITY ignore TYPE BroadcastCancelledAreaList
                                                                                    PRESENCE mandatory
    ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                    PRESENCE optional },
-- LPPA TRANSPORT ELEMENTARY PROCEDURES
__ **********************
```

```
-- DOWNLINK UE ASSOCIATED LPPA TRANSPORT
__ *********************
DownlinkUEAssociatedLPPaTransport ::= SEQUENCE {
   protocolIEs
                     ProtocolIE-Container
                                               {{DownlinkUEAssociatedLPPaTransport-IEs}},
DownlinkUEAssociatedLPPaTransport-IES S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                 PRESENCE mandatory
     ID id-eNB-UE-S1AP-ID
                               CRITICALITY reject TYPE ENB-UE-S1AP-ID
                                                                                 PRESENCE mandatory
     ID id-Routing-ID
                              CRITICALITY reject TYPE Routing-ID
                                                                                 PRESENCE mandatory
                               CRITICALITY reject TYPE LPPa-PDU
                                                                                 PRESENCE mandatory
     ID id-LPPa-PDU
-- UPLINK UE ASSOCIATED LPPA TRANSPORT
__ *********************
UplinkUEAssociatedLPPaTransport ::= SEQUENCE {
                                               {{UplinkUEAssociatedLPPaTransport-IEs}},
   protocolIEs
                     ProtocolIE-Container
UplinkUEAssociatedLPPaTransport-IES S1AP-PROTOCOL-IES ::= {
     ID id-MME-UE-S1AP-ID CRITICALITY reject TYPE MME-UE-S1AP-ID
                                                                                 PRESENCE mandatory
                              CRITICALITY reject TYPE ENB-UE-S1AP-ID
     ID id-eNB-UE-S1AP-ID
                                                                                 PRESENCE mandatory
     ID id-Routing-ID
                              CRITICALITY reject TYPE Routing-ID
                                                                                 PRESENCE mandatory
    { ID id-LPPa-PDU
                               CRITICALITY reject TYPE LPPa-PDU
                                                                                 PRESENCE mandatory }
-- DOWNLINK NON UE ASSOCIATED LPPA TRANSPORT
DownlinkNonUEAssociatedLPPaTransport ::= SEQUENCE {
   protocolIEs
                     ProtocolIE-Container
                                               {{DownlinkNonUEAssociatedLPPaTransport-IEs}},
DownlinkNonUEAssociatedLPPaTransport-IES S1AP-PROTOCOL-IES ::= {
     ID id-Routing-ID
                                CRITICALITY reject TYPE Routing-ID
                                                                                 PRESENCE mandatory }
    ID id-LPPa-PDU
                                CRITICALITY reject TYPE LPPa-PDU
                                                                                 PRESENCE mandatory
```

## 9.3.4 Information Element Definitions

```
__ *******************
-- Information Element Definitions
__ ********************
S1AP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) slap (1) version1 (1) slap-IEs (2) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   id-E-RABInformationListItem,
   id-E-RABItem,
   id-Bearers-SubjectToStatusTransfer-Item,
   id-Time-Synchronization-Info,
   maxNrOfCSGs,
   maxNrOfE-RABs,
   maxNrOfErrors.
   maxnoofBPLMNs,
   maxnoofPLMNsPerMME,
   maxnoofTACs,
   maxnoofEPLMNs,
   maxnoofEPLMNsPlusOne,
   maxnoofForbLACs,
   maxnoofForbTACs,
   maxnoofCells,
   maxnoofCellID,
   maxnoofEmergencyAreaID,
   maxnoofTAIforWarning,
   maxnoofCellinTAI,
   maxnoofCellinEAI,
   maxnoofeNBX2TLAs,
   maxnoofRATs,
   maxnoofGroupIDs,
   maxnoofMMECs
FROM S1AP-Constants
   Criticality,
   ProcedureCode,
   ProtocolIE-ID,
   TriggeringMessage
FROM S1AP-CommonDataTypes
   ProtocolExtensionContainer{},
```

```
S1AP-PROTOCOL-EXTENSION,
   ProtocolIE-SingleContainer{},
   S1AP-PROTOCOL-IES
FROM S1AP-Containers;
-- A
AllocationAndRetentionPriority ::= SEQUENCE {
   priorityLevel
                             PriorityLevel,
   pre-emptionCapability
                             Pre-emptionCapability,
   pre-emptionVulnerability
                             Pre-emptionVulnerability,
   iE-Extensions
                             ProtocolExtensionContainer { {AllocationAndRetentionPriority-ExtIEs} } OPTIONAL,
AllocationAndRetentionPriority-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- B
Bearers-SubjectToStatusTransferList ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { { Bearers-SubjectToStatusTransfer-
ItemIEs } }
Bearers-SubjectToStatusTransfer-ItemIEs S1AP-PROTOCOL-IES ::= {
    PRESENCE mandatory
Bearers-SubjectToStatusTransfer-Item ::= SEQUENCE {
   e-RAB-ID
                                        E-RAB-ID,
   uL-COUNTvalue
                                         COUNTvalue,
   dL-COUNTvalue
                                         COUNTvalue,
   receiveStatusofULPDCPSDUs
                                         ReceiveStatusofULPDCPSDUs
                                                                          OPTIONAL,
   iE-Extensions
                                         ProtocolExtensionContainer { {Bearers-SubjectToStatusTransfer-ItemExtIEs} } OPTIONAL,
Bearers-SubjectToStatusTransfer-ItemExtIEs S1AP-PROTOCOL-EXTENSION ::= {
BitRate ::= INTEGER (0..1000000000)
BPLMNs ::= SEQUENCE (SIZE(1.. maxnoofBPLMNs)) OF PLMNidentity
BroadcastCancelledAreaList ::= CHOICE {
   cellID-Cancelled
                                 CellID-Cancelled,
   tAI-Cancelled
                                 TAI-Cancelled,
   emergencyAreaID-Cancelled
                                 EmergencyAreaID-Cancelled,
    . . .
```

```
BroadcastCompletedAreaList ::= CHOICE {
    cellID-Broadcast
                                    CellID-Broadcast,
    tAI-Broadcast
                                    TAI-Broadcast,
                                    EmergencyAreaID-Broadcast,
    emergencyAreaID-Broadcast
-- C
CancelledCellinEAI ::= SEQUENCE (SIZE(1..maxnoofCellinEAI)) OF CancelledCellinEAI-Item
CancelledCellinEAI-Item ::= SEQUENCE {
    eCGI
                            EUTRAN-CGI,
    numberOfBroadcasts
                            NumberOfBroadcasts,
                            ProtocolExtensionContainer { {CancelledCellinEAI-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
CancelledCellinEAI-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CancelledCellinTAI ::= SEQUENCE (SIZE(1..maxnoofCellinTAI)) OF CancelledCellinTAI-Item
CancelledCellinTAI-Item ::= SEQUENCE{
                        EUTRAN-CGI,
    numberOfBroadcasts NumberOfBroadcasts,
                        ProtocolExtensionContainer { {CancelledCellinTAI-Item-ExtIEs} } OPTIONAL,
   iE-Extensions
CancelledCellinTAI-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Cause ::= CHOICE {
    radioNetwork
                        CauseRadioNetwork,
    transport
                        CauseTransport,
   nas
                        CauseNas,
                        CauseProtocol,
   protocol
   misc
                        CauseMisc,
CauseMisc ::= ENUMERATED {
    control-processing-overload,
    not-enough-user-plane-processing-resources,
    hardware-failure,
    om-intervention,
    unspecified,
    unknown-PLMN,
```

```
CauseProtocol ::= ENUMERATED {
    transfer-syntax-error,
    abstract-syntax-error-reject,
    abstract-syntax-error-ignore-and-notify,
    message-not-compatible-with-receiver-state,
    semantic-error,
    abstract-syntax-error-falsely-constructed-message,
    unspecified,
    . . .
CauseRadioNetwork ::= ENUMERATED {
    unspecified,
    tx2relocoverall-expiry,
    successful-handover,
    release-due-to-eutran-generated-reason,
    handover-cancelled,
    partial-handover,
    ho-failure-in-target-EPC-eNB-or-target-system,
    ho-target-not-allowed,
    tS1relocoverall-expiry,
    tS1relocprep-expiry,
    cell-not-available,
    unknown-targetID,
    no-radio-resources-available-in-target-cell,
    unknown-mme-ue-slap-id,
    unknown-enb-ue-slap-id,
    unknown-pair-ue-slap-id,
    handover-desirable-for-radio-reason,
    time-critical-handover,
    resource-optimisation-handover,
    reduce-load-in-serving-cell,
    user-inactivity,
    radio-connection-with-ue-lost,
    load-balancing-tau-required,
    cs-fallback-triggered,
    ue-not-available-for-ps-service,
    radio-resources-not-available,
    failure-in-radio-interface-procedure,
    invalid-qos-combination,
    interrat-redirection,
    interaction-with-other-procedure,
    unknown-E-RAB-ID,
    multiple-E-RAB-ID-instances,
    encryption-and-or-integrity-protection-algorithms-not-supported,
    s1-intra-system-handover-triggered,
    s1-inter-system-handover-triggered,
    x2-handover-triggered,
    redirection-towards-1xRTT,
    not-supported-QCI-value,
```

```
invalid-CSG-Id
CauseTransport ::= ENUMERATED {
    transport-resource-unavailable,
    unspecified,
CauseNas ::= ENUMERATED {
    normal-release,
    authentication-failure,
    detach.
    unspecified,
    csq-subscription-expiry
CellAccessMode ::= ENUMERATED {
    hybrid,
    . . .
CellIdentity
                      ::= BIT STRING (SIZE (28))
CellID-Broadcast ::= SEQUENCE (SIZE(1..maxnoofCellID)) OF CellID-Broadcast-Item
CellID-Broadcast-Item ::= SEQUENCE {
    eCGI
                       EUTRAN-CGI,
    iE-Extensions
                       ProtocolExtensionContainer { {CellID-Broadcast-Item-ExtIEs} } OPTIONAL,
CellID-Broadcast-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CellID-Cancelled: = SEOUENCE (SIZE(1..maxnoofCellID)) OF CellID-Cancelled-Item
CellID-Cancelled-Item ::= SEQUENCE {
                        EUTRAN-CGI,
    numberOfBroadcasts NumberOfBroadcasts,
                       ProtocolExtensionContainer { {CellID-Cancelled-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
CellID-Cancelled-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Cdma2000PDU ::= OCTET STRING
Cdma2000RATType ::= ENUMERATED {
```

```
hRPD,
    onexRTT,
Cdma2000SectorID ::= OCTET STRING
Cdma2000HOStatus ::= ENUMERATED {
    hOSuccess,
    hOFailure,
Cdma2000HORequiredIndication ::= ENUMERATED {
Cdma2000OneXSRVCCInfo ::= SEQUENCE {
                                Cdma20000neXMEID,
    cdma20000neXMEID
    cdma20000neXMSI
                                Cdma20000neXMSI,
    cdma20000neXPilot
                                Cdma2000OneXPilot,
                                ProtocolExtensionContainer { {Cdma2000OneXSRVCCInfo-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
Cdma20000neXSRVCCInfo-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Cdma20000neXMEID ::= OCTET STRING
Cdma20000neXMSI ::= OCTET STRING
Cdma20000neXPilot ::= OCTET STRING
Cdma20000neXRAND ::= OCTET STRING
Cell-Size ::= ENUMERATED {verysmall, small, medium, large, ...}
CellType ::= SEQUENCE {
    cell-Size
    iE-Extensions
                            ProtocolExtensionContainer { { CellType-ExtIEs}}
                                                                                 OPTIONAL,
    . . .
CellType-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CGI ::= SEQUENCE {
    pLMNidentity
                                PLMNidentity,
    1AC
                    LAC,
```

```
сI
                    CI,
    rAC
                   RAC
                                           OPTIONAL,
    iE-Extensions ProtocolExtensionContainer { {CGI-ExtIEs} } OPTIONAL,
CGI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CI
                 ::= OCTET STRING (SIZE (2))
CNDomain ::= ENUMERATED {
   ps,
    CS
ConcurrentWarningMessageIndicator ::= ENUMERATED {
    true
CSFallbackIndicator ::= ENUMERATED {
    cs-fallback-required,
    cs-fallback-high-priority
CSG-Id
           ::= BIT STRING (SIZE (27))
CSG-IdList ::= SEQUENCE (SIZE (1..maxNrOfCSGs)) OF CSG-IdList-Item
CSG-IdList-Item ::= SEQUENCE {
    iE-Extensions ProtocolExtensionContainer { {CSG-IdList-Item-ExtIEs} } OPTIONAL,
CSG-IdList-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CSGMembershipStatus ::= ENUMERATED {
    member,
   not-member
COUNTvalue ::= SEQUENCE {
    pDCP-SN
                    PDCP-SN,
   iE-Extensions ProtocolExtensionContainer { {COUNTvalue-ExtIEs} } OPTIONAL,
COUNTvalue-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
```

```
CriticalityDiagnostics ::= SEQUENCE {
    procedureCode
                                    ProcedureCode
                                                                    OPTIONAL.
    triggeringMessage
                                    TriggeringMessage
                                                                    OPTIONAL,
    procedureCriticality
                                    Criticality
                                                                    OPTIONAL,
                                    CriticalityDiagnostics-IE-List OPTIONAL,
    iEsCriticalityDiagnostics
    iE-Extensions
                                    ProtocolExtensionContainer {{CriticalityDiagnostics-ExtIEs}} OPTIONAL,
    . . .
CriticalityDiagnostics-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics-IE-List ::= SEOUENCE (SIZE (1..maxNrOfErrors)) OF CriticalityDiagnostics-IE-Item
CriticalityDiagnostics-IE-Item ::= SEQUENCE {
    iECriticality
                           Criticality,
    iE-ID
                           ProtocolIE-ID,
    typeOfError
                           TypeOfError,
    iE-Extensions
                            ProtocolExtensionContainer {{CriticalityDiagnostics-IE-Item-ExtIEs}} OPTIONAL,
    . . .
CriticalityDiagnostics-IE-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- D
DataCodingScheme ::= BIT STRING (SIZE (8))
DL-Forwarding ::= ENUMERATED {
    dL-Forwarding-proposed,
    . . .
Direct-Forwarding-Path-Availability ::= ENUMERATED {
    directPathAvailable,
    . . .
Data-Forwarding-Not-Possible ::= ENUMERATED {
    data-Forwarding-not-Possible,
-- E
ECGIList ::= SEQUENCE (SIZE(1..maxnoofCellID)) OF EUTRAN-CGI
```

```
EmergencyAreaIDList ::= SEQUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF EmergencyAreaID
EmergencyAreaID ::= OCTET STRING (SIZE (3))
EmergencyAreaID-Broadcast ::= SEQUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF EmergencyAreaID-Broadcast-Item
EmergencyAreaID-Broadcast-Item ::= SEQUENCE {
    emergencyAreaID
                           EmergencyAreaID,
    completedCellinEAI
                           CompletedCellinEAI,
                           ProtocolExtensionContainer { { EmergencyAreaID-Broadcast-Item-ExtIEs} } OPTIONAL,
   iE-Extensions
EmergencyAreaID-Broadcast-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
EmergencyAreaID-Cancelled ::= SEOUENCE (SIZE(1..maxnoofEmergencyAreaID)) OF EmergencyAreaID-Cancelled-Item
EmergencyAreaID-Cancelled-Item ::= SEQUENCE {
    emergencyAreaID
                           EmergencyAreaID,
    cancelledCellinEAI
                           CancelledCellinEAI,
                           ProtocolExtensionContainer { { EmergencyAreaID-Cancelled-Item-ExtIEs } } OPTIONAL,
    iE-Extensions
    . . .
EmergencyAreaID-Cancelled-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CompletedCellinEAI ::= SEQUENCE (SIZE(1..maxnoofCellinEAI)) OF CompletedCellinEAI-Item
CompletedCellinEAI-Item ::= SEQUENCE {
    eCGI
                           ProtocolExtensionContainer { {CompletedCellinEAI-Item-ExtIEs} } OPTIONAL,
   iE-Extensions
CompletedCellinEAI-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ENB-ID ::= CHOICE {
   macroENB-ID
                     BIT STRING (SIZE(20)),
   homeENB-ID
                       BIT STRING (SIZE(28)),
GERAN-Cell-ID ::= SEQUENCE {
    lai
                   LAI,
    rAC
                   RAC,
                   CI,
    iE-Extensions
                            ProtocolExtensionContainer { { GERAN-Cell-ID-ExtIEs} } OPTIONAL,
```

```
GERAN-Cell-ID-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Global-ENB-ID ::= SEQUENCE {
   pLMNidentity
                           PLMNidentity,
    eNB-ID
                           ENB-ID,
                           ProtocolExtensionContainer { {GlobalENB-ID-ExtIEs} } OPTIONAL,
   iE-Extensions
GlobalENB-ID-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ENB-StatusTransfer-TransparentContainer
                                           ::= SEQUENCE {
    bearers-SubjectToStatusTransferList
                                           Bearers-SubjectToStatusTransferList,
   iE-Extensions
                           ProtocolExtensionContainer { {ENB-StatusTransfer-TransparentContainer-ExtIEs} } OPTIONAL,
ENB-StatusTransfer-TransparentContainer-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ENB-UE-S1AP-ID
                         ::= INTEGER (0..16777215)
ENBname ::= PrintableString (SIZE (1..150,...))
ENBX2TLAs ::= SEQUENCE (SIZE(1.. maxnoofeNBX2TLAs)) OF TransportLayerAddress
EncryptionAlgorithms ::= BIT STRING (SIZE (16,...))
EPLMNs ::= SEQUENCE (SIZE(1..maxnoofEPLMNs)) OF PLMNidentity
EventType ::= ENUMERATED {
    direct,
    change-of-serve-cell,
    stop-change-of-serve-cell,
E-RAB-ID
              ::= INTEGER (0..15, ...)
E-RABInformationList ::= SEQUENCE (SIZE (1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { { E-RABInformationListIEs } }
E-RABInformationListIEs S1AP-PROTOCOL-IES ::= {
    { ID id-E-RABInformationListItem
                                               CRITICALITY ignore TYPE E-RABInformationListItem
                                                                                                                PRESENCE mandatory
E-RABInformationListItem ::= SEQUENCE {
```

OPTIONAL.

```
e-RAB-ID
                                  E-RAB-ID,
    dL-Forwarding
                                  DL-Forwarding
                                                     OPTIONAL.
   iE-Extensions
                                  ProtocolExtensionContainer { {E-RABInformationListItem-ExtIEs} }
E-RABInformationListItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
E-RABList ::= SEQUENCE (SIZE(1.. maxNrOfE-RABs)) OF ProtocolIE-SingleContainer { {E-RABItemIEs} }
E-RABItemIEs S1AP-PROTOCOL-IES ::= {
   TYPE E-RABItem PRESENCE mandatory },
E-RABItem ::= SEQUENCE {
   e-RAB-ID
                               E-RAB-ID,
   cause
                               Cause,
                               ProtocolExtensionContainer { {E-RABItem-ExtIEs} } OPTIONAL,
   iE-Extensions
E-RABItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
E-RABLevelQoSParameters ::= SEQUENCE {
               QCI,
    allocationRetentionPriority
                                      AllocationAndRetentionPriority,
    qbrQosInformation
                                      GBR-QosInformation
                                                                 OPTIONAL,
   iE-Extensions
                                      ProtocolExtensionContainer { {E-RABQoSParameters-ExtIEs} } OPTIONAL,
E-RABOOSParameters-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
EUTRAN-CGI ::= SEQUENCE {
   pLMNidentity
                           PLMNidentity,
    cell-ID
                           CellIdentity,
                          ProtocolExtensionContainer { {EUTRAN-CGI-ExtIEs} } OPTIONAL,
   iE-Extensions
EUTRAN-CGI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
EUTRANRoundTripDelayEstimationInfo ::= INTEGER (0..2047)
```

```
ExtendedRNC-ID
                                ::= INTEGER (4096..65535)
ExtendedRepetitionPeriod ::= INTEGER (4096..131071)
-- F
ForbiddenInterRATs ::= ENUMERATED {
    all,
    geran,
    utran,
    cdma2000,
    . . . ,
    geranandutran,
    cdma2000andutran
ForbiddenTAs ::= SEQUENCE (SIZE(1.. maxnoofEPLMNsPlusOne)) OF ForbiddenTAs-Item
ForbiddenTAs-Item ::= SEQUENCE {
    pLMN-Identity
                       PLMNidentity,
    forbiddenTACs
                        ForbiddenTACs,
                        ProtocolExtensionContainer { {ForbiddenTAs-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
ForbiddenTAs-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ForbiddenTACs ::= SEQUENCE (SIZE(1..maxnoofForbTACs)) OF TAC
ForbiddenLAs ::= SEQUENCE (SIZE(1..maxnoofEPLMNsPlusOne)) OF ForbiddenLAs-Item
ForbiddenLAs-Item ::= SEQUENCE {
    pLMN-Identity
                       PLMNidentity,
    forbiddenLACs
                        ForbiddenLACs,
                        ProtocolExtensionContainer { {ForbiddenLAs-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
ForbiddenLAs-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ForbiddenLACs ::= SEQUENCE (SIZE(1..maxnoofForbLACs)) OF LAC
-- G
GBR-QosInformation ::= SEQUENCE {
    e-RAB-MaximumBitrateDL
                                    BitRate,
    e-RAB-MaximumBitrateUL
                                    BitRate,
    e-RAB-GuaranteedBitrateDL
                                    BitRate,
```

```
e-RAB-GuaranteedBitrateUL
                                    BitRate,
    iE-Extensions
                                    ProtocolExtensionContainer { { GBR-QosInformation-ExtIEs} } OPTIONAL,
GBR-OosInformation-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
GTP-TEID
                           ::= OCTET STRING (SIZE (4))
GUMMEI
             ::= SEQUENCE {
    pLMN-Identity
                       PLMNidentity,
   mME-Group-ID
                        MME-Group-ID,
   mME-Code
                       MME-Code,
                        ProtocolExtensionContainer { {GUMMEI-ExtIEs} } OPTIONAL,
   iE-Extensions
GUMMEI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- H
HandoverRestrictionList ::= SEQUENCE {
    servingPLMN
                                PLMNidentity,
    equivalentPLMNs
                                EPLMNs
                                                        OPTIONAL,
    forbiddenTAs
                                ForbiddenTAs
                                                        OPTIONAL,
                                ForbiddenLAs
    forbiddenLAs
                                                        OPTIONAL,
    forbiddenInterRATs
                                ForbiddenInterRATs
                                                        OPTIONAL,
    iE-Extensions
                                ProtocolExtensionContainer { {HandoverRestrictionList-ExtIEs} } OPTIONAL,
HandoverRestrictionList-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
HandoverType ::= ENUMERATED {
   intralte,
   ltetoutran,
   ltetogeran,
    utrantolte,
    gerantolte,
    . . .
HFN ::= INTEGER (0..1048575)
-- I
IMSI
        ::= OCTET STRING (SIZE (3..8))
```

```
IntegrityProtectionAlgorithms ::= BIT STRING (SIZE (16,...))
InterfacesToTrace ::= BIT STRING (SIZE (8))
-- J
-- K
-- L
LAC ::= OCTET STRING (SIZE (2))
LAI ::= SEQUENCE {
    pLMNidentity
                               PLMNidentity,
    lac
                   LAC,
    iE-Extensions
                           ProtocolExtensionContainer { {LAI-ExtIEs} } OPTIONAL,
LAI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
LastVisitedCell-Item ::= CHOICE {
    e-UTRAN-Cell
                                   LastVisitedEUTRANCellInformation,
    uTRAN-Cell
                                   LastVisitedUTRANCellInformation,
    gERAN-Cell
                                   LastVisitedGERANCellInformation,
LastVisitedEUTRANCellInformation ::= SEQUENCE {
    global-Cell-ID
                    EUTRAN-CGI,
    cellType
                                   CellType,
    time-UE-StayedInCell
                                   Time-UE-StayedInCell,
   iE-Extensions
                                   ProtocolExtensionContainer { { LastVisitedEUTRANCellInformation-ExtIEs} } OPTIONAL,
LastVisitedEUTRANCellInformation-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
LastVisitedUTRANCellInformation ::= OCTET STRING
LastVisitedGERANCellInformation ::= CHOICE {
   undefined
                                   NULL,
L3-Information
                           ::= OCTET STRING
LPPa-PDU ::= OCTET STRING
-- M
```

```
MessageIdentifier ::= BIT STRING (SIZE (16))
MMEname ::= PrintableString (SIZE (1..150,...))
MME-Group-ID ::= OCTET STRING (SIZE (2))
MME-Code ::= OCTET STRING (SIZE (1))
MME-UE-S1AP-ID ::= INTEGER (0..4294967295)
M-TMSI
        ::= OCTET STRING (SIZE (4))
MSClassmark2 ::= OCTET STRING
MSClassmark3 ::= OCTET STRING
-- N
NAS-PDU ::= OCTET STRING
NASSecurityParametersfromE-UTRAN ::= OCTET STRING
NASSecurityParameterstoE-UTRAN ::= OCTET STRING
NumberofBroadcastRequest ::= INTEGER (0..65535)
NumberOfBroadcasts ::= INTEGER (0..65535)
-- O
OldBSS-ToNewBSS-Information
                             ::= OCTET STRING
OverloadAction ::= ENUMERATED {
  reject-non-emergency-mo-dt,
  reject-all-rrc-cr-signalling,
  permit-emergency-sessions-and-mobile-terminated-services-only,
OverloadResponse ::= CHOICE {
    overloadAction
                                   OverloadAction,
-- P
PagingDRX ::= ENUMERATED {
    v32,
    v64,
    v128,
```

```
v256,
PDCP-SN ::= INTEGER (0..4095)
PLMNidentity
                            ::= TBCD-STRING
Pre-emptionCapability ::= ENUMERATED {
    shall-not-trigger-pre-emption,
    may-trigger-pre-emption
Pre-emptionVulnerability ::= ENUMERATED {
    not-pre-emptable,
    pre-emptable
PriorityLevel
                            ::= INTEGER { spare (0), highest (1), lowest (14), no-priority (15) } (0..15)
PS-ServiceNotAvailable ::= ENUMERATED {
    ps-service-not-available,
-- O
QCI
                        ::= INTEGER (0..255)
-- R
ReceiveStatusofULPDCPSDUs ::= BIT STRING (SIZE(4096))
RelativeMMECapacity
                                ::= INTEGER (0..255)
                    ::= OCTET STRING (SIZE (1))
RAC
RequestType ::= SEQUENCE {
    eventType
                            EventType,
    reportArea
                            ReportArea,
                            ProtocolExtensionContainer { { RequestType-ExtIEs} }
    iE-Extensions
                                                                                     OPTIONAL,
RequestType-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
RIMTransfer ::= SEQUENCE {
    rIMInformation
                            RIMInformation,
    rIMRoutingAddress
                            RIMRoutingAddress
                                                    OPTIONAL,
    iE-Extensions
                            ProtocolExtensionContainer { { RIMTransfer-ExtIEs} }
                                                                                             OPTIONAL,
```

```
RIMTransfer-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
RIMInformation ::= OCTET STRING
RIMRoutingAddress ::= CHOICE {
    gERAN-Cell-ID
                           GERAN-Cell-ID,
    targetRNC-ID
                           TargetRNC-ID
ReportArea ::= ENUMERATED {
    ecgi,
RepetitionPeriod ::= INTEGER (0..4095)
RNC-ID
                      ::= INTEGER (0..4095)
RRC-Container ::= OCTET STRING
RRC-Establishment-Cause ::= ENUMERATED {
    emergency,
    highPriorityAccess,
    mt-Access,
   mo-Signalling,
   mo-Data,
    . . .
Routing-ID
             ::= INTEGER (0..255)
-- S
SecurityKey ::= BIT STRING (SIZE(256))
SecurityContext ::= SEQUENCE {
    nextHopChainingCount INTEGER (0..7),
   nextHopParameter
iE-Extensions
                               SecurityKey,
                               ProtocolExtensionContainer { { SecurityContext-ExtIEs} } OPTIONAL,
   iE-Extensions
SecurityContext-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
```

```
SerialNumber ::= BIT STRING (SIZE (16))
SONInformation ::= CHOICE{
   sONInformationRequest
                              SONInformationRequest,
    sONInformationReply
                              SONInformationReply,
SONInformationRequest ::= ENUMERATED {
   x2TNL-Configuration-Info,
   time-Synchronization-Info}
SONInformationReply ::= SEQUENCE {
   x2TNLConfigurationInfo
                                  X2TNLConfigurationInfo
                                                                 OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer {{SONInformationReply-ExtIEs}} OPTIONAL,
SONInformationReply-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- Extension for Release 9 to transfer Time synchronization information --
    EXTENSION TimeSynchronizationInfo
                                                                                                     PRESENCE optional },
    . . .
SONConfigurationTransfer ::= SEQUENCE {
   targeteNB-ID
                                  TargeteNB-ID,
   sourceeNB-ID
                                  SourceeNB-ID,
   sONInformation
                                  SONInformation,
                          ProtocolExtensionContainer { { SONConfigurationTransfer-ExtIEs} }
   iE-Extensions
                                                                                                     OPTIONAL,
SONConfigurationTransfer-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Source-ToTarget-TransparentContainer ::= OCTET STRING
SourceBSS-ToTargetBSS-TransparentContainer
                                              ::= OCTET STRING
SourceeNB-ID ::= SEQUENCE {
   global-ENB-ID Global-ENB-ID,
   selected-TAI
   iE-Extensions ProtocolExtensionContainer { {SourceeNB-ID-ExtIEs} } OPTIONAL
SourceeNB-ID-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
```

```
SRVCCOperationPossible ::= ENUMERATED {
    possible,
SRVCCHOIndication ::= ENUMERATED {
    pSandCS,
    cSonly,
    . . .
SourceeNB-ToTargeteNB-TransparentContainer
                                                  ::= SEQUENCE {
   rRC-Container RRC-Container, e-RABInformationList E-RABInformationList targetCell-ID EUTRAN-CGI,
                                                                  OPTIONAL,
   subscriberProfileIDforRFP
uE-HistoryInformation
                                     SubscriberProfileIDforRFP
                                                                       OPTIONAL,
                                     UE-HistoryInformation,
    iE-Extensions ProtocolExtensionContainer { {SourceeNB-ToTargeteNB-TransparentContainer-ExtIEs} } OPTIONAL,
SourceeNB-ToTargeteNB-TransparentContainer-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
SourceRNC-ToTargetRNC-TransparentContainer
                                              ::= OCTET STRING
ServedGUMMEIs ::= SEQUENCE (SIZE (1.. maxnoofRATs)) OF ServedGUMMEIsItem
ServedGUMMEIsItem ::= SEOUENCE {
    servedPLMNs
                            ServedPLMNs,
    servedGroupIDs
                            ServedGroupIDs,
                            ServedMMECs,
    servedMMECs
    iE-Extensions
                            ProtocolExtensionContainer { {ServedGUMMEIsItem-ExtIEs} }
                                                                                           OPTIONAL,
ServedGUMMEIsItem-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
ServedGroupIDs ::= SEQUENCE (SIZE(1.. maxnoofGroupIDs)) OF MME-Group-ID
ServedMMECs ::= SEQUENCE (SIZE(1.. maxnoofMMECs)) OF MME-Code
ServedPLMNs ::= SEQUENCE (SIZE(1.. maxnoofPLMNsPerMME)) OF PLMNidentity
SubscriberProfileIDforRFP ::= INTEGER (1..256)
SupportedTAs ::= SEQUENCE (SIZE(1.. maxnoofTACs)) OF SupportedTAs-Item
```

```
SupportedTAs-Item ::= SEQUENCE {
                       TAC.
    broadcastPLMNs
                       BPLMNs,
    iE-Extensions
                      ProtocolExtensionContainer { {SupportedTAs-Item-ExtIEs} } OPTIONAL,
SupportedTAs-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
StratumLevel ::= INTEGER (0..3, ...)
SynchronizationStatus ::= ENUMERATED { synchronous, asynchronous, ... }
TimeSynchronizationInfo ::= SEQUENCE {
    stratumLevel
                                   StratumLevel,
    synchronizationStatus
                                    SynchronizationStatus,
                                   ProtocolExtensionContainer { { TimeSynchronizationInfo-ExtIEs} } OPTIONAL,
    iE-Extensions
TimeSynchronizationInfo-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
S-TMSI ::= SEQUENCE {
    mMEC MME-Code,
   m-TMSI M-TMSI,
                       ProtocolExtensionContainer { {S-TMSI-ExtIEs} } OPTIONAL,
   iE-Extensions
S-TMSI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- T
TAC ::= OCTET STRING (SIZE (2))
TAIListforWarning ::= SEQUENCE (SIZE(1..maxnoofTAIforWarning)) OF TAI
TAI ::= SEQUENCE {
    pLMNidentity
                            PLMNidentity,
    iE-Extensions
                           ProtocolExtensionContainer { {TAI-ExtIEs} } OPTIONAL,
TAI-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
```

```
TAI-Broadcast ::= SEQUENCE (SIZE(1..maxnoofTAIforWarning)) OF TAI-Broadcast-Item
TAI-Broadcast-Item ::= SEQUENCE {
    completedCellinTAI CompletedCellinTAI,
                       ProtocolExtensionContainer { {TAI-Broadcast-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
TAI-Broadcast-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
TAI-Cancelled ::= SEQUENCE (SIZE(1..maxnoofTAIforWarning)) OF TAI-Cancelled-Item
TAI-Cancelled-Item ::= SEQUENCE {
    tAI
    cancelledCellinTAI CancelledCellinTAI,
                       ProtocolExtensionContainer { {TAI-Cancelled-Item-ExtIEs} } OPTIONAL,
    iE-Extensions
TAI-Cancelled-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
CompletedCellinTAI ::= SEQUENCE (SIZE(1..maxnoofCellinTAI)) OF CompletedCellinTAI-Item
CompletedCellinTAI-Item ::= SEQUENCE{
                       EUTRAN-CGI,
    iE-Extensions
                       ProtocolExtensionContainer { {CompletedCellinTAI-Item-ExtIEs} } OPTIONAL,
    . . .
CompletedCellinTAI-Item-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
TBCD-STRING ::= OCTET STRING (SIZE (3))
TargetID ::= CHOICE {
    targeteNB-ID
                            TargeteNB-ID,
                            TargetRNC-ID,
    targetRNC-ID
    cGI
TargeteNB-ID ::= SEQUENCE {
    global-ENB-ID Global-ENB-ID,
    selected-TAI
   iE-Extensions ProtocolExtensionContainer { {TargeteNB-ID-ExtIEs} } OPTIONAL,
```

```
TargeteNB-ID-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
TargetRNC-ID ::= SEQUENCE {
   lai
                       LAI,
    rAC
                       RAC
                                   OPTIONAL,
    rNC-TD
                       RNC-ID,
    extendedRNC-ID
                       ExtendedRNC-ID
                                           OPTIONAL,
   iE-Extensions
                       ProtocolExtensionContainer { {TargetRNC-ID-ExtIEs} } OPTIONAL,
TargetRNC-ID-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
TargeteNB-ToSourceeNB-TransparentContainer
                                             ::= SEQUENCE {
    rRC-Container
                           RRC-Container,
    iE-Extensions
                            ProtocolExtensionContainer { {TargeteNB-ToSourceeNB-TransparentContainer-ExtIEs} } OPTIONAL,
    . . .
TargeteNB-ToSourceeNB-TransparentContainer-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
Target-ToSource-TransparentContainer ::= OCTET STRING
TargetRNC-ToSourceRNC-TransparentContainer
                                            ::= OCTET STRING
TargetBSS-ToSourceBSS-TransparentContainer
                                           ::= OCTET STRING
TimeToWait ::= ENUMERATED {v1s, v2s, v5s, v10s, v20s, v60s, ...}
Time-UE-StayedInCell ::= INTEGER (0..4095)
TransportLayerAddress
                           ::= BIT STRING (SIZE(1..160, ...))
TraceActivation ::= SEQUENCE {
    e-UTRAN-Trace-ID
                                       E-UTRAN-Trace-ID,
    interfacesToTrace
                                   InterfacesToTrace,
    traceDepth
                                   TraceDepth,
    traceCollectionEntityIPAddress TransportLayerAddress,
                                   ProtocolExtensionContainer { { TraceActivation-ExtIEs} }
    iE-Extensions
                                                                                                 OPTIONAL,
TraceActivation-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
TraceDepth ::= ENUMERATED {
```

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```
minimum,
    medium.
    maximum.
    minimumWithoutVendorSpecificExtension,
    mediumWithoutVendorSpecificExtension,
    maximumWithoutVendorSpecificExtension,
E-UTRAN-Trace-ID ::= OCTET STRING (SIZE (8))
TypeOfError ::= ENUMERATED {
    not-understood,
   missing,
-- IJ
UEAggregateMaximumBitrate ::= SEQUENCE {
    uEaggregateMaximumBitRateDL
                                            BitRate,
    uEaggregateMaximumBitRateUL
                                            BitRate,
    iE-Extensions
                                             ProtocolExtensionContainer { {UEAggregate-MaximumBitrates-ExtIEs} } OPTIONAL,
    . . .
UEAggregate-MaximumBitrates-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
UE-S1AP-IDs ::= CHOICE{
    uE-S1AP-ID-pair
                        UE-S1AP-ID-pair,
                        MME-UE-S1AP-ID,
    mME-UE-S1AP-ID
UE-S1AP-ID-pair ::= SEQUENCE{
    mME-UE-S1AP-ID
                        MME-UE-S1AP-ID,
    eNB-UE-S1AP-ID
                        ENB-UE-S1AP-ID,
                        ProtocolExtensionContainer { {UE-S1AP-ID-pair-ExtIEs} } OPTIONAL,
    iE-Extensions
UE-S1AP-ID-pair-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
UE-associatedLogicalS1-ConnectionItem ::= SEQUENCE
    mME-UE-S1AP-ID
                                    MME-UE-S1AP-ID OPTIONAL,
    eNB-UE-S1AP-ID
                                    ENB-UE-S1AP-ID OPTIONAL,
    iE-Extensions
                                    ProtocolExtensionContainer { { UE-associatedLogicalS1-ConnectionItemExtIEs} } OPTIONAL,
    . . .
```

```
UE-associatedLogicalS1-ConnectionItemExtIEs S1AP-PROTOCOL-EXTENSION ::= {
UEIdentityIndexValue
                       ::= BIT STRING (SIZE (10))
UE-HistoryInformation ::= SEQUENCE (SIZE(1..maxnoofCells)) OF LastVisitedCell-Item
UEPagingID ::= CHOICE {
    s-TMSI
                S-TMSI,
    iMSI
                IMSI,
UERadioCapability ::= OCTET STRING
UESecurityCapabilities ::= SEQUENCE {
                                    EncryptionAlgorithms,
    encryptionAlgorithms
    integrityProtectionAlgorithms IntegrityProtectionAlgorithms,
    iE-Extensions
                                    ProtocolExtensionContainer { { UESecurityCapabilities-ExtIEs} }
                                                                                                                 OPTIONAL,
UESecurityCapabilities-ExtIEs S1AP-PROTOCOL-EXTENSION ::= {
-- W
WarningAreaList ::= CHOICE {
    cellIDList
                                    ECGIList,
    trackingAreaListforWarning
                                    TAIListforWarning,
    emergencyAreaIDList
                                    EmergencyAreaIDList,
WarningType ::= OCTET STRING (SIZE (2))
WarningSecurityInfo ::= OCTET STRING (SIZE (50))
WarningMessageContents ::= OCTET STRING (SIZE(1..9600))
-- X
X2TNLConfigurationInfo ::= SEQUENCE {
    eNBX2TransportLayerAddresses
                                    ENBX2TLAs,
```

### 9.3.5 Common Definitions

```
__ ********************
-- Common definitions
S1AP-CommonDataTypes {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) slap (1) version1 (1) slap-CommonDataTypes (3) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
Criticality ::= ENUMERATED { reject, ignore, notify }
              ::= ENUMERATED { optional, conditional, mandatory }
Presence
PrivateIE-ID ::= CHOICE {
   local
                      INTEGER (0..65535),
   qlobal
                      OBJECT IDENTIFIER
ProcedureCode
                ::= INTEGER (0..255)
ProtocolExtensionID ::= INTEGER (0..65535)
ProtocolIE-ID
               ::= INTEGER (0..65535)
TriggeringMessage ::= ENUMERATED { initiating-message, successful-outcome, unsuccessfull-outcome }
END
```

### 9.3.6 Constant Definitions

```
__ *******************
  IE parameter types from other modules.
    ************
IMPORTS
   ProcedureCode,
   Protocol TE-ID
FROM S1AP-CommonDataTypes;
    -- Elementary Procedures
__ *******************
id-HandoverPreparation
                                 ProcedureCode ::= 0
id-HandoverResourceAllocation
                                 ProcedureCode ::= 1
id-HandoverNotification
                                ProcedureCode ::= 2
id-PathSwitchRequest
                                 ProcedureCode ::= 3
id-HandoverCancel
                                 ProcedureCode ::= 4
                                ProcedureCode ::= 5
id-E-RABSetup
id-E-RABModify
                                 ProcedureCode ::= 6
id-E-RABRelease
                                ProcedureCode ::= 7
                                 ProcedureCode ::= 8
id-E-RABReleaseIndication
                                ProcedureCode ::= 9
id-InitialContextSetup
                                 ProcedureCode ::= 10
id-Paging
id-downlinkNASTransport
                                 ProcedureCode ::= 11
id-initialUEMessage
                                 ProcedureCode ::= 12
id-uplinkNASTransport
                                 ProcedureCode ::= 13
id-Reset
                                 ProcedureCode::= 14
id-ErrorIndication
                                 ProcedureCode ::= 15
id-NASNonDeliveryIndication
                                ProcedureCode ::= 16
id-S1Setup
                                 ProcedureCode ::= 17
id-UEContextReleaseRequest
                                 ProcedureCode ::= 18
id-DownlinkS1cdma2000tunneling
                                ProcedureCode ::= 19
id-UplinkS1cdma2000tunneling
                                ProcedureCode ::= 20
id-UEContextModification
                                 ProcedureCode ::= 21
                                 ProcedureCode ::= 22
id-UECapabilityInfoIndication
id-UEContextRelease
                                ProcedureCode ::= 23
id-eNBStatusTransfer
                                 ProcedureCode ::= 24
id-MMEStatusTransfer
                                 ProcedureCode ::= 25
id-DeactivateTrace
                                ProcedureCode ::= 26
                                ProcedureCode ::= 27
id-TraceStart
                                 ProcedureCode ::= 28
id-TraceFailureIndication
id-ENBConfigurationUpdate
                                 ProcedureCode ::= 29
id-MMEConfigurationUpdate
                                ProcedureCode ::= 30
                                 ProcedureCode ::= 31
id-LocationReportingControl
id-LocationReportingFailureIndication ProcedureCode ::= 32
id-LocationReport
                                 ProcedureCode ::= 33
id-OverloadStart
                                ProcedureCode ::= 34
```

```
ProcedureCode ::= 35
id-OverloadStop
id-WriteReplaceWarning
                                 ProcedureCode ::= 36
id-eNBDirectInformationTransfer
                                 ProcedureCode ::= 37
id-MMEDirectInformationTransfer ProcedureCode ::= 38
id-PrivateMessage
                                 ProcedureCode ::= 39
id-eNBConfigurationTransfer
                                 ProcedureCode ::= 40
id-MMEConfigurationTransfer
                                 ProcedureCode ::= 41
id-CellTrafficTrace
                                 ProcedureCode ::= 42
id-Kill
                                 ProcedureCode ::= 43
id-downlinkUEAssociatedLPPaTransport
                                     ProcedureCode ::= 44
id-uplinkUEAssociatedLPPaTransport
                                     ProcedureCode ::= 45
id-downlinkNonUEAssociatedLPPaTransport ProcedureCode ::= 46
id-uplinkNonUEAssociatedLPPaTransport ProcedureCode ::= 47
__ ********************
-- Extension constants
  ****************
maxPrivateIEs
                                 INTEGER ::= 65535
maxProtocolExtensions
                                 INTEGER ::= 65535
maxProtocol TEs
                                 INTEGER ::= 65535
__ *********************
-- Lists
maxNrOfCSGs
                                     INTEGER ::= 256
maxNrOfE-RABs
                                     INTEGER ::= 256
                                     INTEGER ::= 256
maxnoofTAIs
maxnoofTACs
                                     INTEGER ::= 256
maxNrOfErrors
                                     INTEGER ::= 256
                                     INTEGER ::= 6
maxnoofBPLMNs
maxnoofPLMNsPerMME
                                     INTEGER ::= 32
maxnoofEPLMNs
                                     INTEGER ::= 15
maxnoofEPLMNsPlusOne
                                     INTEGER ::= 16
maxnoofForbLACs
                                     INTEGER ::= 4096
maxnoofForbTACs
                                     INTEGER ::= 4096
maxNrOfIndividualS1ConnectionsToReset
                                     INTEGER ::= 256
maxnoofCells
                                     INTEGER ::= 16
maxnoofTAIforWarning
                                     INTEGER ::= 65535
maxnoofCellID
                                     INTEGER ::= 65535
maxnoofEmergencvAreaID
                                     INTEGER ::= 65535
maxnoofCellinTAI
                                     INTEGER ::= 65535
maxnoofCellinEAI
                                     INTEGER ::= 65535
maxnoofeNBX2TLAs
                                     INTEGER ::= 2
maxnoofRATs
                                     INTEGER ::= 8
maxnoofGroupIDs
                                     INTEGER ::= 65535
maxnoofMMECs
                                     INTEGER ::= 256
```

```
******************
  ****************
id-MME-UE-S1AP-ID
                                          ProtocolIE-ID ::= 0
id-HandoverTvpe
                                          ProtocolIE-ID ::= 1
id-Cause
                                          ProtocolIE-ID ::= 2
id-SourceID
                                          ProtocolIE-ID ::= 3
id-TargetID
                                          ProtocolIE-ID ::= 4
id-eNB-UE-S1AP-ID
                                          ProtocolIE-ID ::= 8
id-E-RABSubjecttoDataForwardingList
                                          ProtocolIE-ID ::= 12
id-E-RABtoReleaseListHOCmd
                                          ProtocolIE-ID ::= 13
id-E-RABDataForwardingItem
                                          ProtocolIE-ID ::= 14
id-E-RABReleaseItemBearerRelComp
                                          ProtocolIE-ID ::= 15
id-E-RABToBeSetupListBearerSUReg
                                          ProtocolIE-ID ::= 16
id-E-RABToBeSetupItemBearerSUReg
                                          ProtocolIE-ID ::= 17
id-E-RABAdmittedList
                                          ProtocolIE-ID ::= 18
id-E-RABFailedToSetupListHORegAck
                                          ProtocolIE-ID ::= 19
id-E-RABAdmittedItem
                                          ProtocolIE-ID ::= 20
                                          ProtocolIE-ID ::= 21
id-E-RABFailedtoSetupItemHOReqAck
id-E-RABToBeSwitchedDLList
                                          ProtocolIE-ID ::= 22
                                          ProtocolIE-ID ::= 23
id-E-RABToBeSwitchedDLItem
id-E-RABToBeSetupListCtxtSUReg
                                          ProtocolIE-ID ::= 24
id-TraceActivation
                                          ProtocolIE-ID ::= 25
id-NAS-PDU
                                          ProtocolIE-ID ::= 26
id-E-RABToBeSetupItemHOReg
                                          ProtocolIE-ID ::= 27
id-E-RABSetupListBearerSURes
                                          ProtocolIE-ID ::= 28
id-E-RABFailedToSetupListBearerSURes
                                          ProtocolIE-ID ::= 29
id-E-RABToBeModifiedListBearerModReg
                                          ProtocolIE-ID ::= 30
id-E-RABModifyListBearerModRes
                                          ProtocolIE-ID ::= 31
id-E-RABFailedToModifvList
                                          ProtocolIE-ID ::= 32
id-E-RABToBeReleasedList
                                          ProtocolIE-ID ::= 33
id-E-RABFailedToReleaseList
                                          ProtocolIE-ID ::= 34
id-E-RABItem
                                          ProtocolIE-ID ::= 35
id-E-RABToBeModifiedItemBearerModReg
                                          ProtocolIE-ID ::= 36
id-E-RABModifyItemBearerModRes
                                          ProtocolIE-ID ::= 37
id-E-RABReleaseItem
                                          ProtocolIE-ID ::= 38
id-E-RABSetupItemBearerSURes
                                          ProtocolIE-ID ::= 39
id-SecurityContext
                                          ProtocolIE-ID ::= 40
id-HandoverRestrictionList
                                          ProtocolIE-ID ::= 41
id-UEPagingID
                                          ProtocolIE-ID ::= 43
id-pagingDRX
                                          ProtocolIE-ID ::= 44
id-TAIList
                                          ProtocolIE-ID ::= 46
id-TAIItem
                                          ProtocolIE-ID ::= 47
id-E-RABFailedToSetupListCtxtSURes
                                          ProtocolIE-ID ::= 48
id-E-RABReleaseItemHOCmd
                                          ProtocolIE-ID ::= 49
id-E-RABSetupItemCtxtSURes
                                          ProtocolIE-ID ::= 50
id-E-RABSetupListCtxtSURes
                                          ProtocolIE-ID ::= 51
id-E-RABToBeSetupItemCtxtSUReg
                                          ProtocolIE-ID ::= 52
id-E-RABToBeSetupListHOReg
                                          ProtocolIE-ID ::= 53
id-GERANtoLTEHOInformationRes
                                              ProtocolIE-ID ::= 55
id-UTRANtoLTEHOInformationRes
                                              ProtocolIE-ID ::= 57
```

id-CriticalityDiagnostics	ProtocolIE-ID ::= 58
id-Global-ENB-ID	
	ProtocolIE-ID ::= 59
id-eNBname	ProtocolIE-ID ::= 60
id-MMEname	ProtocolIE-ID ::= 61
id-ServedPLMNs	ProtocolIE-ID ::= 63
id-SupportedTAs	ProtocolIE-ID ::= 64
id-TimeToWait	ProtocolIE-ID ::= 65
id-uEaggregateMaximumBitrate	ProtocolIE-ID ::= 66
id-TAI	ProtocolIE-ID ::= 67
id-E-RABReleaseListBearerRelComp	ProtocolIE-ID ::= 69
id-cdma2000PDU	ProtocolIE-ID ::= 70
id-cdma2000RATType	ProtocolIE-ID ::= 71
id-cdma2000SectorID	ProtocolIE-ID ::= 72
id-SecurityKey	ProtocolIE-ID ::= 73
id-UERadioCapability	ProtocolIE-ID ::= 74
id-GUMMEI-ID	ProtocolIE-ID ::= 75
id-E-RABInformationListItem	ProtocolIE-ID ::= 78
id-Direct-Forwarding-Path-Availability	ProtocolIE-ID ::= 79
id-UEIdentityIndexValue	ProtocolIE-ID ::= 80
id-cdma2000HOStatus	ProtocolIE-ID ::= 83
id-cdma2000HORequiredIndication	ProtocolIE-ID ::= 84
id-E-UTRAN-Trace-ID	ProtocolIE-ID ::= 86
id-RelativeMMECapacity	ProtocolIE-ID ::= 87
id-SourceMME-UE-S1AP-ID	ProtocolIE-ID ::= 88
id-Bearers-SubjectToStatusTransfer-Item	ProtocolIE-ID ::= 89
id-eNB-StatusTransfer-TransparentContainer	ProtocolIE-ID ::= 90
id-UE-associatedLogicalS1-ConnectionItem	ProtocolIE-ID ::= 91
id-ResetType	ProtocolIE-ID ::= 92
id-UE-associatedLogicalS1-ConnectionListResAck	ProtocolIE-ID ::= 93
id-E-RABToBeSwitchedULItem	ProtocolIE-ID ::= 94
id-E-RABToBeSwitchedULList	ProtocolIE-ID ::= 95
id-S-TMSI	ProtocolIE-ID ::= 96
id-cdma20000neXRAND	ProtocoliE-ID ::= 96 ProtocoliE-ID ::= 97
id-RequestType	ProtocolIE-ID ::= 98
id-UE-S1AP-IDs	ProtocolIE-ID ::= 99
id-EUTRAN-CGI	ProtocolIE-ID ::= 100
id-OverloadResponse	ProtocolIE-ID ::= 101
id-cdma20000neXSRVCCInfo	ProtocolIE-ID ::= 102
id-E-RABFailedToBeReleasedList	ProtocolIE-ID ::= 103
id-Source-ToTarget-TransparentContainer	ProtocolIE-ID ::= 104
id-ServedGUMMEIs	ProtocolIE-ID ::= 105
id-SubscriberProfileIDforRFP	ProtocolIE-ID ::= 106
id-UESecurityCapabilities	ProtocolIE-ID ::= 107
id-CSFallbackIndicator	ProtocolIE-ID ::= 108
id-CNDomain	ProtocolIE-ID ::= 109
id-E-RABReleasedList	ProtocolIE-ID ::= 110
id-MessageIdentifier	ProtocolIE-ID ::= 111
id-SerialNumber	ProtocolIE-ID ::= 112
id-WarningAreaList	ProtocolIE-ID ::= 113
id-RepetitionPeriod	ProtocolIE-ID ::= 114
id-NumberofBroadcastRequest	ProtocolIE-ID ::= 115
id-WarningType	ProtocolIE-ID ::= 116
id-WarningSecurityInfo	ProtocolIE-ID ::= 117
id-DataCodingScheme	ProtocolIE-ID ::= 118
=	

```
id-WarningMessageContents
                                                ProtocolIE-ID ::= 119
id-BroadcastCompletedAreaList
                                                ProtocolIE-ID ::= 120
id-Inter-SystemInformationTransferTypeEDT
                                                ProtocolIE-ID ::= 121
id-Inter-SystemInformationTransferTypeMDT
                                                ProtocolIE-ID ::= 122
id-Target-ToSource-TransparentContainer
                                                ProtocolIE-ID ::= 123
id-SRVCCOperationPossible
                                                ProtocolIE-ID ::= 124
id-SRVCCHOIndication
                                                ProtocolIE-ID ::= 125
id-NAS-DownlinkCount
                                                ProtocolIE-ID ::= 126
id-CSG-Id
                                                ProtocolIE-ID ::= 127
id-CSG-IdList
                                                ProtocolIE-ID ::= 128
id-SONConfigurationTransferECT
                                                ProtocolIE-ID ::= 129
id-SONConfigurationTransferMCT
                                                ProtocolIE-ID ::= 130
id-TraceCollectionEntityIPAddress
                                                ProtocolIE-ID ::= 131
id-MSClassmark2
                                                ProtocolIE-ID ::= 132
id-MSClassmark3
                                                ProtocolIE-ID ::= 133
id-RRC-Establishment-Cause
                                                ProtocolIE-ID ::= 134
id-NASSecurityParametersfromE-UTRAN
                                                ProtocolIE-ID ::= 135
id-NASSecurityParameterstoE-UTRAN
                                                ProtocolIE-ID ::= 136
id-DefaultPagingDRX
                                                ProtocolIE-ID ::= 137
id-Source-ToTarget-TransparentContainer-Secondary
                                                    ProtocolIE-ID ::= 138
id-Target-ToSource-TransparentContainer-Secondary
                                                    ProtocolIE-ID ::= 139
id-EUTRANRoundTripDelayEstimationInfo
                                                ProtocolIE-ID ::= 140
id-BroadcastCancelledAreaList
                                                ProtocolIE-ID ::= 141
id-ConcurrentWarningMessageIndicator
                                                ProtocolIE-ID ::= 142
id-Data-Forwarding-Not-Possible
                                                ProtocolIE-ID ::= 143
id-ExtendedRepetitionPeriod
                                                ProtocolIE-ID ::= 144
id-CellAccessMode
                                                ProtocolIE-ID ::= 145
id-CSGMembershipStatus
                                                ProtocolIE-ID ::= 146
id-LPPa-PDU
                                                ProtocolIE-ID ::= 147
id-Routing-ID
                                                ProtocolIE-ID ::= 148
id-Time-Synchronization-Info
                                                ProtocolIE-ID ::= 149
id-PS-ServiceNotAvailable
                                                ProtocolIE-ID ::= 150
```

END

## 9.3.7 Container Definitions

```
-- IE parameter types from other modules.
__ ********************
IMPORTS
   Criticality,
   Presence,
   PrivateIE-ID,
   ProtocolExtensionID,
   ProtocolIE-ID
FROM S1AP-CommonDataTypes
   maxPrivateIEs,
   maxProtocolExtensions,
   maxProtocolIEs
FROM S1AP-Constants;
__ ********************
-- Class Definition for Protocol IEs
__ *********************
S1AP-PROTOCOL-IES ::= CLASS {
   &id
                ProtocolIE-ID
                                            UNIQUE,
   &criticality
                       Criticality,
   &Value,
   &presence
                    Presence
WITH SYNTAX {
                &id
   CRITICALITY
                    &criticality
                    &Value
   PRESENCE
                    &presence
-- Class Definition for Protocol IEs
__ *********************
S1AP-PROTOCOL-IES-PAIR ::= CLASS {
                ProtocolIE-ID
                                            UNIQUE,
   &firstCriticality
                      Criticality,
   &FirstValue,
   &secondCriticality
                       Criticality,
   &SecondValue,
   &presence
                    Presence
WITH SYNTAX {
                &id
   FIRST CRITICALITY
                       &firstCriticality
   FIRST TYPE
                    &FirstValue
```

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```
SECOND CRITICALITY
                        &secondCriticality
   SECOND TYPE
                    &SecondValue
   PRESENCE
                    &presence
    -- Class Definition for Protocol Extensions
S1AP-PROTOCOL-EXTENSION ::= CLASS {
   &id
                 ProtocolExtensionID
                                                UNIQUE,
   &criticality
                        Criticality,
   &Extension,
   &presence
                 Presence
WITH SYNTAX {
                 &id
   CRITICALITY
                    &criticality
   EXTENSION
                    &Extension
   PRESENCE
                 &presence
    *****************
-- Class Definition for Private IEs
__ *********************
S1AP-PRIVATE-IES ::= CLASS {
   &id
                 PrivateIE-ID,
   &criticality
                        Criticality,
   &Value,
   &presence
                 Presence
WITH SYNTAX {
                 &id
   CRITICALITY
                    &criticality
   TYPE
                 &Value
   PRESENCE
                 &presence
-- Container for Protocol IEs
ProtocolIE-Container {S1AP-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-SingleContainer {S1AP-PROTOCOL-IES : IESSetParam} ::=
```

```
ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Field {S1AP-PROTOCOL-IES : IESSetParam} ::= SEQUENCE {
         S1AP-PROTOCOL-IES.&id
                                                ({IEsSetParam}),
   criticality
               S1AP-PROTOCOL-IES.&criticality
                                                        ({IEsSetParam}{@id}),
                 S1AP-PROTOCOL-IES.&Value
                                                        ({IEsSetParam}{@id})
   value
        -- Container for Protocol IE Pairs
__ **********************
ProtocolIE-ContainerPair {S1AP-PROTOCOL-IES-PAIR : IESSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-FieldPair {{IEsSetParam}}
ProtocolIE-FieldPair {S1AP-PROTOCOL-IES-PAIR : IESSetParam} ::= SEQUENCE {
              S1AP-PROTOCOL-IES-PAIR.&id
                                                ({IEsSetParam}),
   firstCriticality S1AP-PROTOCOL-IES-PAIR.&firstCriticality
                                                              ({IEsSetParam}{@id}),
                                                           ({IEsSetParam}{@id}),
   firstValue S1AP-PROTOCOL-IES-PAIR.&FirstValue
   secondCriticality S1AP-PROTOCOL-IES-PAIR.&secondCriticality ({IEsSetParam}{@id}),
                                                           ({IEsSetParam}{@id})
   secondValue
                    S1AP-PROTOCOL-IES-PAIR. & Second Value
  Container Lists for Protocol IE Containers
  ****************
ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, S1AP-PROTOCOL-IES : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-SingleContainer {{IEsSetParam}}
ProtocolIE-ContainerPairList {INTEGER : lowerBound, INTEGER : upperBound, S1AP-PROTOCOL-IES-PAIR : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-ContainerPair {{IEsSetParam}}
  *****************
  Container for Protocol Extensions
  *****************
ProtocolExtensionContainer {S1AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
   SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
   ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField {S1AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE {
                 S1AP-PROTOCOL-EXTENSION.&id
                                                ({ExtensionSetParam}),
                                                           ({ExtensionSetParam}{@id}),
   criticality
                    S1AP-PROTOCOL-EXTENSION.&criticality
   extensionValue
                                                           ({ExtensionSetParam}{@id})
                        S1AP-PROTOCOL-EXTENSION. & Extension
```

## 9.4 Message Transfer Syntax

S1AP shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax as specified in ref. [4].

## 9.5 Timers

## $TS1_{RELOCprep} \\$

- Specifies the maximum time for the Handover Preparation procedure in the source eNB.

## $TS1_{RELOCoverall} \\$

- Specifies the maximum time for the protection of the overall handover procedure in the source eNB.

#### $TX2_{RELOCOverall}$

- it is specified in reference [22].

## Handling of Unknown, Unforeseen and Erroneous Protocol Data

### 10.1 General

Protocol Error cases can be divided into three classes:

- Transfer Syntax Error.
- Abstract Syntax Error.
- Logical Error.

Protocol errors can occur in the following functions within a receiving node:

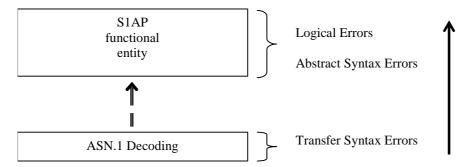


Figure 10.1: Protocol Errors in S1AP.

The information stated in subclauses 10.2, 10.3 and 10.4, to be included in the message used when reporting an error, is what at minimum shall be included. Other optional information elements within the message may also be included, if available. This is also valid for the case when the reporting is done with a response message. The latter is an exception to what is stated in subclause 4.1.

## 10.2 Transfer Syntax Error

A Transfer Syntax Error occurs when the receiver is not able to decode the received physical message. Transfer syntax errors are always detected in the process of ASN.1 decoding. If a Transfer Syntax Error occurs, the receiver should initiate Error Indication procedure with appropriate cause value for the Transfer Syntax protocol error.

Examples for Transfer Syntax Errors are:

- Violation of value ranges in ASN.1 definition of messages. E.g.: If an IE has a defined value range of 0 to 10 (ASN.1: INTEGER (0..10)), and 12 will be received, then this will be treated as a transfer syntax error.
- Violation in list element constraints. E.g.: If a list is defined as containing 1 to 10 elements, and 12 elements will be received, than this case will be handled as a transfer syntax error.
- Missing mandatory elements in ASN.1 SEQUENCE definitions (as sent by the originator of the message).
- Wrong order of elements in ASN.1 SEQUENCE definitions (as sent by the originator of the message).

## 10.3 Abstract Syntax Error

#### 10.3.1 General

An Abstract Syntax Error occurs when the receiving functional S1AP entity:

- 1. receives IEs or IE groups that cannot be understood (unknown IE ID);
- 2. receives IEs for which the logical range is violated (e.g.: ASN.1 definition: 0 to 15, the logical range is 0 to 10 (values 11 to 15 are undefined), and 12 will be received; this case will be handled as an abstract syntax error using criticality information sent by the originator of the message);
- 3. does not receive IEs or IE groups but according to the specified presence of the concerning object, the IEs or IE groups should have been present in the received message.
- 4. receives IEs or IE groups that are defined to be part of that message in wrong order or with too many occurrences of the same IE or IE group;
- 5. receives IEs or IE groups but according to the conditional presence of the concerning object and the specified condition, the IEs or IE groups should not have been present in the received message.

Cases 1 and 2 (not comprehended IE/IE group) are handled based on received Criticality information. Case 3 (missing IE/IE group) is handled based on Criticality information and Presence information for the missing IE/IE group specified in the version of the specification used by the receiver. Case 4 (IEs or IE groups in wrong order or with too many occurrences) and Case 5 (erroneously present conditional IEs or IE groups) result in rejecting the procedure.

If an Abstract Syntax Error occurs, the receiver shall read the remaining message and shall then for each detected Abstract Syntax Error that belong to cases 1-3 act according to the Criticality Information and Presence Information for the IE/IE group due to which Abstract Syntax Error occurred in accordance with subclauses 10.3.4 and 10.3.5. The handling of cases 4 and 5 is specified in subclause 10.3.6.

## 10.3.2 Criticality Information

In the S1AP messages there is criticality information set for individual IEs and/or IE groups. This criticality information instructs the receiver how to act when receiving an IE or an IE group that is not comprehended, i.e. the entire item (IE or IE group) which is not (fully or partially) comprehended shall be treated in accordance with its own criticality information as specified in subclause 10.3.4.

In addition, the criticality information is used in case of the missing IE/IE group abstract syntax error (see subclause 10.3.5).

The receiving node shall take different actions depending on the value of the Criticality Information. The three possible values of the Criticality Information for an IE/IE group are:

- Reject IE.
- Ignore IE and Notify Sender.
- Ignore IE.

The following rules restrict when a receiving entity may consider an IE, an IE group, or an EP not comprehended (not implemented), and when action based on criticality information is applicable:

- 1. IE or IE group: When one new or modified IE or IE group is implemented for one EP from a standard version, then other new or modified IEs or IE groups specified for that EP in that standard version shall be considered comprehended by a receiving entity (some may still remain unsupported).
- 2. EP: The comprehension of different Eps within a standard version or between different standard versions is not mandated. Any EP that is not supported may be considered not comprehended, even if another EP from that standard version is comprehended, and action based on criticality shall be applied.

#### 10.3.3 Presence Information

For many IEs/IE groups which are optional according to the ASN.1 transfer syntax, S1AP specifies separately if the presence of these IEs/IE groups is optional or mandatory with respect to RNS application by means of the presence field of the concerning object of class S1AP-PROTOCOL-IES, S1AP-PROTOCOL-IES-PAIR, S1AP-PROTOCOL-EXTENSION or S1AP-PRIVATE-IES.

The presence field of the indicated classes supports three values:

- 1. Optional;
- 2. Conditional;
- 3. Mandatory.

If an IE/IE group is not included in a received message and the presence of the IE/IE group is mandatory or the presence is conditional and the condition is true according to the version of the specification used by the receiver, an abstract syntax error occurs due to a missing IE/IE group.

If an IE/IE group is included in a received message and the presence of the IE/IE group is conditional and the condition is false according to the version of the specification used by the receiver, an abstract syntax error occurs due to this erroneously present conditional IE/IE group.

## 10.3.4 Not comprehended IE/IE group

#### 10.3.4.1 Procedure Code

The receiving node shall treat the different types of received criticality information of the *Procedure Code* IE according to the following:

#### **Reject IE:**

- If a message is received with a *Procedure Code* IE marked with '*Reject IE*' which the receiving node does not comprehend, the receiving node shall reject the procedure using the Error Indication procedure.

#### Ignore IE and Notify Sender:

- If a message is received with a *Procedure Code* IE marked with '*Ignore IE and Notify Sender*' which the receiving node does not comprehend, the receiving node shall ignore the procedure and initiate the Error Indication procedure.

#### **Ignore IE:**

- If a message is received with a *Procedure Code* IE marked with '*Ignore IE*' which the receiving node does not comprehend, the receiving node shall ignore the procedure.

When using the Error Indication procedure to reject a procedure or to report an ignored procedure it shall include the *Procedure Code* IE, the *Triggering Message* IE, and the *Procedure Criticality* IE in the *Criticality Diagnostics* IE.

#### 10.3.4.1A Type of Message

When the receiving node cannot decode the *Type of Message* IE, the Error Indication procedure shall be initiated with an appropriate cause value.

#### 10.3.4.2 IEs other than the Procedure Code and Type of Message

The receiving node shall treat the different types of received criticality information of an IE/IE group other than the *Procedure Code* IE and *Type of Message* IE according to the following:

#### Reject IE:

- If a message *initiating* a procedure is received containing one or more IEs/IE group marked with '*Reject IE*' which the receiving node does not comprehend; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the rejection of one or more IEs/IE group using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing one or more IEs/IE groups marked with '*Reject IE*' which the receiving node does not comprehend, the receiving node shall terminate the procedure and initiate the Error Indication procedure.

- If a *response* message is received containing one or more IEs marked with '*Reject IE*', that the receiving node does not comprehend, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### **Ignore IE and Notify Sender:**

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with '*Ignore IE and Notify Sender*' which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and report in the response message of the procedure that one or more IEs/IE groups have been ignored. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a message *initiating* a procedure that does not have a message to report the outcome of the procedure is received containing one or more IEs/IE groups marked with '*Ignore IE and Notify Sender*' which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not received (except for the reporting) using the understood IEs/IE groups, and initiate the Error Indication procedure to report that one or more IEs/IE groups have been ignored.
- If a response message is received containing one or more IEs/IE groups marked with 'Ignore IE and Notify
  Sender' which the receiving node does not comprehend, the receiving node shall ignore the content of the not
  comprehended IEs/IE groups, continue with the procedure as if the not comprehended IEs/IE groups were not
  received (except for the reporting) using the understood IEs/IE groups and initiate the Error Indication
  procedure.

#### **Ignore IE:**

- If a message *initiating* a procedure is received containing one or more IEs/IE groups marked with '*Ignore IE*' which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using the understood IEs/IE groups.
- If a *response* message is received containing one or more IEs/IE groups marked with '*Ignore IE*' which the receiving node does not comprehend, the receiving node shall ignore the content of the not comprehended IEs/IE groups and continue with the procedure as if the not comprehended IEs/IE groups were not received using the understood IEs/IE groups.

When reporting not comprehended IEs/IE groups marked with 'Reject IE' or 'Ignore IE and Notify Sender' using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting not comprehended IEs/IE groups marked with 'Reject IE' or 'Ignore IE and Notify Sender' using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

## 10.3.5 Missing IE or IE group

The receiving node shall treat the missing IE/IE group according to the criticality information for the missing IE/IE group in the received message specified in the version of this specification used by the receiver:

#### **Reject IE:**

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality 'Reject IE'; none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the missing IEs/IE groups using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.

- if a received message *initiating* a procedure that does not have a message to report unsuccessful outcome is missing one or more IEs/IE groups with specified criticality '*Reject IE*', the receiving node shall terminate the procedure and initiate the Error Indication procedure.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality '*Reject IE*, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

#### Ignore IE and Notify Sender:

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality 'Ignore IE and Notify Sender', the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and report in the response message of the procedure that one or more IEs/IE groups were missing. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the response message, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- if a received message *initiating* a procedure that does not have a message to report the outcome of the procedure is missing one or more IEs/IE groups with specified criticality '*Ignore IE and Notify Sender*', the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality '*Ignore IE and Notify Sender*', the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message and initiate the Error Indication procedure to report that one or more IEs/IE groups were missing.

#### **Ignore IE:**

- if a received message *initiating* a procedure is missing one or more IEs/IE groups with specified criticality '*Ignore IE*', the receiving node shall ignore that those IEs are missing and continue with the procedure based on the other IEs/IE groups present in the message.
- if a received *response* message is missing one or more IEs/IE groups with specified criticality '*Ignore IE*', the receiving node shall ignore that those IEs/IE groups are missing and continue with the procedure based on the other IEs/IE groups present in the message.

When reporting missing IEs/IE groups with specified criticality 'Reject IE' or 'Ignore IE and Notify Sender' using a response message defined for the procedure, the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

When reporting missing IEs/IE groups with specified criticality 'Reject IE' or 'Ignore IE and Notify Sender' using the Error Indication procedure, the Procedure Code IE, the Triggering Message IE, Procedure Criticality IE, and the Information Element Criticality Diagnostics IE shall be included in the Criticality Diagnostics IE for each reported IE/IE group.

## 10.3.6 IEs or IE groups received in wrong order or with too many occurrences or erroneously present

If a message with IEs or IE groups in wrong order or with too many occurrences is received or if IEs or IE groups with a conditional presence are present when the condition is not met (i.e. erroneously present), the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value 'Abstract Syntax Error (Falsely Constructed Message)' using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving

node shall terminate the procedure and initiate the Error Indication procedure, and use cause value 'Abstract Syntax Error (Falsely Constructed Message)'.

- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences or erroneously present, the receiving node shall consider the procedure as unsuccessfully terminated and initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

## 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IEs/IE groups containing the erroneous values.

#### Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a message to report this unsuccessful outcome, this message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a message to report this unsuccessful outcome, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.

#### Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

## 10.5 Exceptions

The error handling for all the cases described hereafter shall take precedence over any other error handling described in the other subclauses of clause 10.

- If any type of error (Transfer Syntax Error, Abstract Syntax Error or Logical Error) is detected in the ERROR INDICATION message, it shall not trigger the Error Indication procedure in the receiving Node but local error handling.
- In case a response message or Error Indication message needs to be returned, but the information necessary to determine the receiver of that message is missing, the procedure shall be considered as unsuccessfully terminated and local error handling shall be initiated.
- If an error that terminates a procedure occurs, the returned cause value shall reflect the error that caused the termination of the procedure even if one or more abstract syntax errors with criticality 'ignore and notify' have earlier occurred within the same procedure.
- If an AP ID error is detected, the error handling as described in subclause 10.6 shall be applied.

## 10.6 Handling of AP ID

NOTE:

The 'first message', the 'first returned message' and the 'last message'as used below correspond to messages for a UE-associated logical connection. The 'first message' has a new AP ID from the sending node and the 'first returned message' is the first response message, which has a new APID from the node sending the 'first returned message'. Thereafter the two APIDs are included in all messages over the UE-associated logical connection unless otherwise allowed by the specification. The 'last message' is a message sent by a node in order to complete the termination of a given UE-associated logical connection, such that no other messages for the same connection are expected in either direction.

If a node receives a first message that includes a remote AP ID which is erroneous e.g. an AP ID which has been stored previously for another UE-associated logical connection for the same peer node, the receiving node shall initiate an Error Indication procedure with inclusion of only the previously received AP ID from the peer node and an appropriate cause value. In this case, both nodes shall initiate a local release of any established UE-associated logical connection having the erroneous AP ID as local or remote identifier.

If a node receives a first returned message that includes a remote AP ID which has been stored previously for another UE-associated logical connection for the same peer node, or that includes an AP ID pair which is inconsistent (e.g. the local AP ID is unknown or already allocated to another UE-associated logical connection), the receiving node shall initiate an Error Indication procedure with inclusion of the received AP IDs from the peer node and an appropriate cause value. Both nodes shall initiate a local release of any established UE-associated logical connection (for the same S1 interface) having these AP IDs as local or remote identifier.

If a node receives a message (other than the first or first returned messages) that includes AP ID(s) identifying a logical connection which is unknown to the node (for the same S1 interface):

- if this message is not the last message for this UE-associated logical connection, the node shall initiate an Error Indication procedure with inclusion of the received AP ID(s) from the peer node and an appropriate cause value. Both nodes shall initiate a local release of any established UE-associated logical connection (for the same S1 interface) having the erroneous AP ID(s) as local or remote identifier.
- if this message is the last message for this UE-associated logical connection, the receiving node shall initiate a local release of any established UE-associated logical connection (for the same S1 interface) that have either the local or remote AP ID(s) as identifiers.

# Annex A (informative): S1AP Transparent containers content

Transparent containers are used in order to transfer information from one RAN node to another RAN node. Depending on the particular scenario the behaviour of both involved RAN nodes may be either specified according to the same radio system or according to different radio systems. During an inter-system handover the source RAN node has to adopt to the target RAN node and its requirements. Therefore the container content is encoded according to the rules which are specified for the target radio system.

In S1AP, there is a single transparent container defined for transporting information from the source to the target RAN node and a single transparent container for transporting information from the target to the source RAN node during handover preparation: the *Source to Target Transparent Container* IE and the *Target to Source Transparent Container* IE, which may carry either E-UTRAN, UTRAN or GERAN specific information.

Note: The definition of generic transparent containers for handover purposes allows to transport them through the core network in a RAT-agnostic way.

In section 8.4.1.2, it is described how the transparent container shall be encoded with respect to the scenario in which it is used.

The table below is showing all possible scenarios and definitions according to which the content of the transparent container shall be encoded. Additionally the reference to the specification defining particular IE is given.

Table A.1. Specification of Transparent Containers referenced in S1AP.

Scenario	Source to Target Transparent ( in S1AP: HANDOVER REQUIRE		Target to Source Transparent Container IE in S1AP: HANDOVER COMMAND message	
	Name of the IE	Definition in specification	Name of the IE	Definition in specification
Intra E-UTRAN handover	Source eNB to Target eNB Transparent Container	36.413	Target eNB to Source eNB Transparent Container	36.413
Inter-system handover to UTRAN or SRVCC operation to UTRAN	Source RNC to Target RNC Transparent Container	25.413	Target RNC to Source RNC Transparent Container	25.413
Inter-system handover to GERAN (PS domain only)	Source BSS to Target BSS Transparent Container	48.018	Target BSS to Source BSS Transparent Container	48.018
SRVCC operation to GERAN without DTM support	Old BSS to New BSS information	48.008	Layer 3 Information	48.008
SRVCC operation to GERAN with DTM support	Source BSS to Target BSS Transparent Container (in the Source to Target Transparent Container IE),	48.018	Target BSS to Source BSS Transparent Container (in the Target to Source Transparent Container IE),	
	Old BSS to New BSS information (in the Source to Target Transparent Container Secondary IE)	48.008	Layer 3 Information (in the Target to Source Transparent Container Secondary IE)	48.008

NOTE: In case of SRVCC operation to GERAN with DTM support two transparent containers shall be included in HANDOVER REQUIRED message and HANDOVER COMMAND message. In the table the content of the containers is showing how both transparent containers shall be encoded.

## Annex B (normative): IEs for SON Transfer

This annex defines IEs used by the SON Transfer RIM application [18].

### B.1 Tabular definition

## B.1.1 SON Transfer Application Identity

This IE indicates the application identity within the SON Transfer application.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
SON Transfer Application Identity	М		ENUMERATED (Cell Load Reporting, )	The receiving RAN node shall discard any RAN-INFORMATION-REQUEST/Multiple Report PDU containing this IE with value set to 'Cell Load Reporting'.

## B.1.2 SON Transfer Request Container

This container transfers request information for the SON Transfer application.

NOTE: The length of the *SON Transfer Request Container* IE shall remain compatible with the maximum message size on the Gb interface, this maximum size being determined depending on the lower layers used on the interface and on their configuration, a typical (default) limitation being 1600 octets for a Frame Relay sub-network as stated in [30].

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE SON Transfer Application	M			
>Cell Load Reporting			NULL	

## B.1.3 SON Transfer Response Container

This container transfers response information for the SON Transfer application.

NOTE: The length of the SON Transfer Response Container IE shall remain compatible with the maximum message size on the Gb interface, this maximum size being determined depending on the lower layers used on the interface and on their configuration, a typical (default) limitation being 1600 octets for a Frame Relay sub-network as stated in [30].

IE/Group Name	Presence	Range	IE type and	Semantics description
			reference	
CHOICE SON Transfer	М			
Application				
>Cell Load Reporting				
>>Response	M		Cell Load	
			Reporting	
			Response B.1.5	

## B.1.4 SON Transfer Cause

This container indicates the cause why the *Application Error Container* IE for the SON Transfer application defined in [18] is sent.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE SON Transfer Application	M			
>Cell Load Reporting				
>>Cell Load Reporting Cause	М		ENUMERATED (Application Container Syntax Error, Inconsistent Reporting Cell Identifier, Unspecified,)	

The meaning of the different cause values is described in the following table.

Cell Load Reporting Cause	Meaning
Application Container Syntax Error	The Application Container IE is syntactically incorrect.
Inconsistent Reporting Cell Identifier	- In case the reporting RAT is GERAN: the Reporting Cell
	Identifier in the Application Container IE does not match
	with the Destination Cell Identifier IE value (in the case of a
	RAN-INFORMATION-REQUEST PDU) or with the Source
	Cell Identifier IE value (in the case of a RAN-
	INFORMATION PDU) of the RIM header.
	- In case the reporting RAT is UTRAN or E-UTRAN: the
	cell identified by Reporting Cell Identifier in the Application
	Container IE is unknown in the RNC (UTRAN case) or in
	the eNodeB (E-UTRAN case) identified by the <i>Destination</i>
	Cell Identifier IE value in the RAN-INFORMATION-
	REQUEST PDU.
Unspecified	Sent when none of the above cause values applies

## B.1.5 Cell Load Reporting Response

This IE contains response information for inter-RAT cell load reporting.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
CHOICE Reporting RAT	M			
>E-UTRAN				
>>E-UTRAN Response	M		E-UTRAN Cell Load Reporting Response B.1.6	
>UTRAN				
>>UTRAN Response	M		OCTET STRING	Contains the Cell Load Information Group IE as defined in TS 25.413. The receiver shall ignore the value of the Source Cell Identifier IE within the Cell Load Information Group IE.
>GERAN				
>>GERAN Response	M		OCTET STRING	Contains the Cell Load Information Group IE as defined in TS 48.008. The receiver shall ignore the value of the Cell Identifier IE within the Cell Load Information Group IE.

## B.1.6 E-UTRAN Cell Load Reporting Response

This IE contains response information for inter-RAT cell load reporting.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Composite Available Capacity Group	M		OCTET STRING	Contains the Composite Available Capacity Group IE as defined in TS 36.423.

## B.2 ASN.1 definition

```
***********
-- IE definitions for the SON Transfer application
-- The IEs in this ASN.1 module shall be defined and encoded
-- using the same rules as applicable for the S1AP-IEs module.
SonTransfer-IEs
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
-- Generic IEs for the SON Transfer application
SONtransferApplicationIdentity ::= ENUMERATED {
   cell-load-reporting,
}
SONtransferRequestContainer ::= CHOICE{
   cellLoadReporting
{\tt SONtransferResponseContainer} \ ::= \ {\tt CHOICE} \{
    cellLoadReporting
                              CellLoadReportingResponse,
}
```

```
SONtransferCause ::= CHOICE {
   cellLoadReporting
                                  CellLoadReportingCause,
{\tt CellLoadReportingCause} \ ::= \ {\tt ENUMERATED} \ \big\{
    application-container-syntax-error,
    inconsistent-reporting-cell-identifier,
    unspecified,
}
-- IEs for Cell Load Reporting application
CellLoadReportingResponse::= CHOICE{
    eUTRAN EUTRANCellLoadReportingResponse,
uTRAN OCTET STRING,
gERAN OCTET STRING,
    . . .
CompositeAvailableCapacityGroup ::= OCTET STRING
EUTRANcellLoadReportingResponse ::= SEQUENCE {
   compositeAvailableCapacityGroup
                                                         CompositeAvailableCapacityGroup,
END
```

# Annex C (informative): Change history

TSG#	TSG Doc.	CR	Rev	Subject/Comment	New
38				Specification approved at TSG-RAN and placed under change control	8.0.0
39	RP-080080	0058		RAN3 agreed changes for TS 36.413	8.1.0
40	RP-080304		1	RAN3 agreed changes for TS 36.413	8.2.0
41	RP-080584	0223		changes to TS36.413 agreed in RAN3#61	8.3.0
42	RP-080846	0325	1	changes to TS36.413 agreed in RAN3#62	8.4.0
43	RP-090083	0327		Adding extension container in SEQUENCE type for forward compatibility	8.5.0
43	RP-090091	0331	1	Corrections on S1AP: eNB configuration update procedure	8.5.0
43	RP-090086	0332	1	Corrections on S1AP: Paging procedure	8.5.0
43	RP-090089	0333	1	Handling detection of two S1 connections towards one UE	8.5.0
43	RP-090089	0334	1	Interaction between UE Context Release Request and UE Context Release procedure	8.5.0
43	RP-090246	0337	2	IP address retrieval for ANRF	8.5.0
43	RP-090083	0340		Modification of RRC context indexing	8.5.0
43	RP-090086	0342	1	Completion of LTE cause values	8.5.0
43	RP-090090	0345	1	Correction of served GUMMEIs	8.5.0
43	RP-090086	0346	1	Correction of Initial Context Setup	8.5.0
43	RP-090086		1	Clarification of path switch failure	8.5.0
43	RP-090091		2	Correction of eNB Status Transfer	8.5.0
43	RP-090083	0356		Addition of the description of Timer TX2RELOCOverall	8.5.0
43	RP-090089		1	New cause value 'Interaction with other procedure'	8.5.0
43	RP-090087		1	S1AP Review on Location Reporting procedures	8.5.0
43	RP-090089		1	Definition on parameters related to a trace activation	8.5.0
43	RP-090090		2	Adding EUTRAN CELL TRAFFIC TRACE message over S1 interfaces	8.5.0
43	RP-090091		2	Adding MS Classmark 2 and MS Clssmark 3 IEs over S1 interface	8.5.0
43	RP-090086		1	New Invalid E-RAB Id causes	8.5.0
43	RP-090091		2	S1AP Review: S1 Handover Cancel procedure	8.5.0
43	RP-090158		2	S1AP Review: Write-Replace Warning procedure	8.5.0
43	RP-090246		1	Definition of Cell Type	8.5.0
43	RP-090085		1	Abnormal condition related to UE Security Capabilities	8.5.0
43	RP-090045		1	Removal of UE Security Capabilities IE from HANDOVER NOTIFY message	8.5.0
43	RP-090086		1	Corrections for the procedure concurrency	8.5.0
43	RP-090091		2	Clarification of eNB Name and MME Name IE's	8.5.0
43	RP-090083			Clarifications on access control at handover	8.5.0
43	RP-090087		1	Paging response	8.5.0
43	RP-090077			Correction on usage of UE History Information	8.5.0
43	RP-090086		1	Delete the UDP port in the note for GTP-TEID	8.5.0
43	RP-090245			S1AP CR on CDMA2000 RAT Type	8.5.0
43	RP-090246		1	Editorial Updates TS 36.413	8.5.0
43	RP-090091		3	NAS Security Parameters for to/from E-UTRAN/UTRAN handovers	8.5.0
43	RP-090085		1	Updates for Next Hop Chaining Count	8.5.0
43	RP-090245			Transparent Container content – informative annex	8.5.0
43	RP-090093		1	Transparent container handling in case of SRVCC operation to GERAN	8.5.0
43	RP-090090		2	Changes to S1AP to support paging optimization	8.5.0
43	RP-090245			S1 handover Clean up	8.5.0
43	RP-090087		1	Support blocking 3GPP2 handover	8.5.0
43	RP-090091		2	Inclusion of eNB default paging DRX in S1 setup and configuration update	8.5.0
43	RP-090087		1	Explicit resetting of overload state information on S1 Setup	8.5.0
43	RP-090090		2	Clarify Security Context IE description	8.5.0
43	RP-090091			Criticality corrections in 36.413	8.5.0
43	RP-090245		f	Add abnormal conditions section to UE Context Release and fix tabular error	8.5.0
43	RP-090245			Consistent references to S1AP	8.5.0
43	RP-090090		2	Two new cause values in the Cause IE	8.5.0
43	RP-090089		f	Alignment of QCI range	8.5.0
43	RP-090089			Remove the Handover Type IE from the HANDOVER REQUEST ACKNOWLEDGE message	8.5.0
43	RP-090090	0427	1	Correction of the trace procedural text and trace related IEs	8.5.0
March	-	<del>- 1</del>	-	Minor corrections before freezing of ASN.1	8.5.1
2009	DD 00005	0504	0		
44	RP-090637		2	Editorial Updates	8.6.0
44	RP-090637	0512		Correction of RAN#43 CR implementation	8.6.0

44	RP-090637	0510		Explicitly allow TRACE START to be the first UE-associated message received at the eNB	8.6.0
44	RP-090637	0507	1	Clarification of UE Capability Info Indication	8.6.0
44	RP-090637		1	Mandatory UE History Information IE in HANDOVER REQUIRED For Inter-	8.6.0
44	RP-090637	0482	1	RAT HO from E-UTRAN to UMTS  Clarify eNB may send Release msg rather than RRC Reject msg on receiving	8.6.0
44	RP-090637	0480	1	OVERLOAD Start msg Clarify reporting of duplicate E-RABs in E-RAB RESPONSE	8.6.0
44	RP-090637			Correction of security parameters	8.6.0
44	RP-090637		1	Emergency call Indicator during CS Fallback	8.6.0
44	RP-090638		2	Correction on Path Switch Request procedure	8.6.0
44	RP-090644		2	Removing "outcome" element from the Triggering Message IE	8.6.0
44	RP-090644		1	Missing S1AP functions	8.6.0
44	RP-090644		1	Correction of abnormal conditions in UE Context Release	8.6.0
44	RP-090644		1	Clarification of E-UTRAN Trace ID in Cell Traffice Trace message	8.6.0
44	RP-090644			Removal of duplication description of MME UE S1AP ID and eNB UE S1AP	8.6.0
44	RP-090644	0455	1	Abnormal condition for Handover Cancellation	8.6.0
44	RP-090640		3	NNSF for HeNB GW deployment scenario	8.6.0
44	RP-090640		1	Transparent Container Coding	8.6.0
44	RP-090640		2	Some Editorial Corrections on ASN.1	8.6.0
44	RP-090640		_	Failure of the eNB Configuration Update procedure	8.6.0
44	RP-090640			Rephrasing of abnormal conditions for S1 setup	8.6.0
44	RP-090640			Cause value for inter-RAT Redirection	8.6.0
44	RP-090628		2	NAS PDU in E-RAB Release Command	8.6.0
44	RP-090636		_	Alignment of eNB configuration update procedure	8.6.0
44	RP-090636		2	Add that a non-GBR must be received and admitted on S1-HO	8.6.0
44	RP-090636				
			1	Clarification of Security Context to be used in HANDOVER REQUEST message	8.6.0
44	RP-090636			Correction the text about the Handover Resource Allocation procedure	8.6.0
44	RP-090636	0502		Clarification for RAT list in S1 Setup Response and MME configuration Update	8.6.0
44	RP-090636	0501	1	Range bound for maximal number of PLMNs per MME and GUMMEIs	8.6.0
June				Correction of an ASN.1 implementation error of CR0463r1 in RP-090637	8.6.1
2009				(R3-091456)	
45	RP-090767	0515	1	Corrections for 36.413	8.7.0
45	RP-090964	0522		SRVCC to GERAN/UTRAN	8.7.0
45	RP-090964	0531		Clean up the Terminology of home eNB in S1AP	8.7.0
45	RP-090964	0534		Specify how report dup E-RAB ID in Tabular and replace MME with EPC in 8.3.1.2	8.7.0
45	RP-090964	0536	1	Indirect path use by the MME	8.7.0
45	RP-090767		1	Handling of not supported QCI values	8.7.0
45	RP-090964		1	E-RABs subject to forwarding	8.7.0
45	RP-090767		1	Mandatory NAS PDU in E-RAB Release Command	8.7.0
45	RP-090767		1	Missing reference and specification for encoding the CDMA2000 Pilot List	8.7.0
45	RP-090767		1	CR on Repetition Period IE	8.7.0
45	RP-090767			Miscellaneous correction to 36.413v8.6.1	8.7.0
45	RP-090768			ASN1 object identified correction	8.7.0
45	RP-090767			Interaction between Initial Context Setup/UE Context Modification and Handover Preparation/Redirection procedures during CS Fallback	8.7.0
09/2009				Rel-9 version is created based on v.8.7.0	9.0.0
45	RP-090767	0521	3	Adding the RTD information in UPLINK CDMA2000 TUNNELING	9.0.0
45	RP-090787		1	Handling of Emergency Calls in Limited Service Mode	9.0.0
45	RP-090787		1	Emergency Calls Mobility Handling	9.0.0
45	RP-090776		1	S1AP Kill procedure for cancellation of PWS warning messages	9.0.0
45	RP-090776		1	S1AP Write-Replace Warning procedure for PWS/CMAS	9.0.0
46	RP-090776		4	Support for paging optimization with CSG membership changes	9.1.0
46	RP-091191		3	Inclusion of Access Mode and Subscription Status for UE prioritisation in LTE	
			3	hybrid cells	
46	RP-091194			Handling of Multiple concurrent CMAS Warning Notifications	9.1.0
46	RP-091189			CR for Transportation support for LPPa	9.1.0
46	RP-091195		3	Introducing the 'Data Forwarding Not Possible' indication to HANDOVER REQUEST	9.1.0
46	RP-091183	0569		ASN.1 correction for BroadcastCompleteAreaList	9.1.0
46	RP-091183		1	Correction on abnormal handling of Subscriber Profile ID for RAT/Frequency	9.1.0
46	RP-091368			priority IE Align IE"s in Tabular for two messages with their ASN.1 for R9	9.1.0
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