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# Reference RTS/TSGS-0532532vg00 Keywords LTE,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

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## Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project Technical Specification Group Services and System Aspects, Telecommunication management; as identified below:

- 32.531: Telecommunication management; Software management; Concepts and Integration Reference Point (IRP) Requirements
- 32.532: Telecommunication management; Software management Integration Reference Point (IRP); Information Service (IS)
- 32.536: Telecommunication management; Software management Integration Reference Point (IRP); Solution Set (SS) definitions

## 1 Scope

The present document contains the Software Management Interface IRP Information Services descriptions.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
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- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications". [2] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements". 3GPP TS 32.102: "Telecommunication management; Architecture". [3] [4] Void. Void. [5] [6] 3GPP TS 32.531: "Telecommunication management; Software management; Concepts and Integration Reference Point (IRP) Requirements". 3GPP TS 32.622: "Telecommunication management; Generic network resources Integration [7] Reference Point (IRP); Network Resource Model (NRM)". [8] 3GPP TS 32.312: "Telecommunication management; Generic Integration Reference Point (IRP) management: Information Services".

3GPP TS 32.302: Telecommunication management; Configuration Management (CM);

## 3 Definitions and abbreviations

## 3.1 Definitions

[9]

For the purposes of the present document, the terms and definitions given in TS 32.101 [2], TS 32.102 [3] and TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TS 32.531 [6], TS 32.101 [2], TS 32.102 [3] and TS 21.905 [1], in that order.

Notification Integration Reference Point (IRP); Information Service (IS).

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 32.531 [6] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] and TS 32.531 [6].

## 4 Information Object Classes

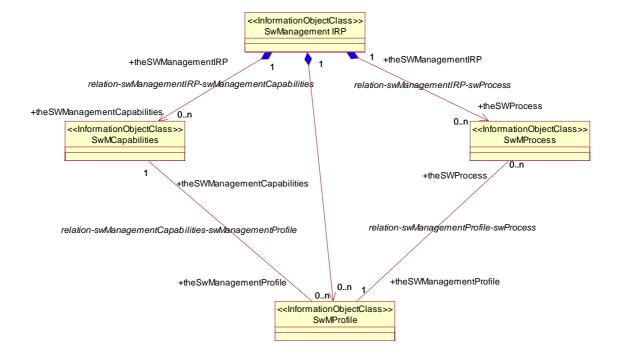
## 4.1 Imported information entities and local labels

Label reference	Local label
3GPP TS 32.622 [7], information object class, Top	top
3GPP TS 32.312 [8], information object class, managedGenericIRP	managedGenericIRP

## 4.2 Class diagram

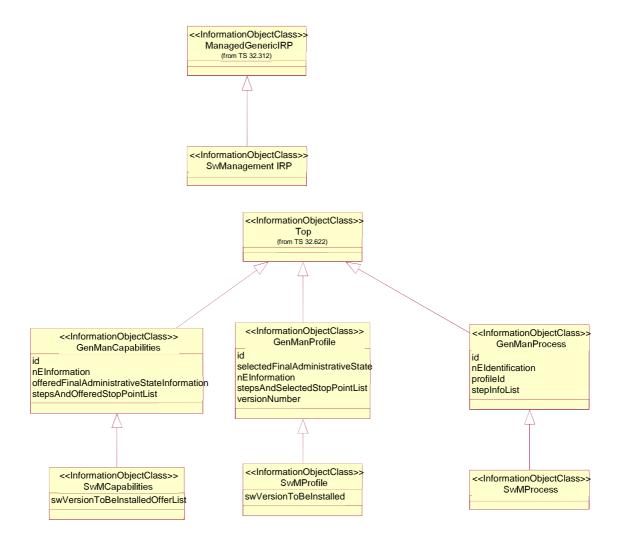
## 4.2.1 Attributes and relationships

The diagram reflects the definitions in the text of the following clauses. In case of conflict text takes precedence.



#### 4.2.2 Inheritance

The diagram reflects the definitions in the text of the following clauses. In case of conflict text takes precedence.



## 4.3 Information object class definitions

## 4.3.1 GenManCapability

#### 4.3.1.1 Definition

This object class is a support object class. Sub-classes of this IOC represent the IRPAgent's capability in support of automated management.

It is created by the IRPAgent and cannot be modified by the IRPManager.

An instance of a sub-class of genManCapability object is valid for a certain NE type or a set of NE types. Multiple genManCapability objects may be instantiated in the IRPAgent.

The object identifies:

- a) the sequence of the self-configuration steps and for each step
  - a.1) the possibility, whether before the step a stop point can be selected, such that the self-configuration step is suspended and waits for a request by the IRPManager to resume.
- b) the final administrativeState (ITU-T X.731) of the NE after successful self-configuration.

#### 4.3.1.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
id	М	М	-
nEInformation	М	М	-
stepsAndOfferedStopPointList	М	M	-
offeredFinalAdministrativeStateInformation	M	М	-

#### 4.3.1.3 Notifications

#### 4.3.2 GenManProfile

#### 4.3.2.1 Definition

This object class is a support object class. Sub-classes of this IOC represent the IRPManager's decision related to automated management.

An instance of a sub-class of GenManProfile is valid for a certain NE type or a set of NE types.

For an NE starting its self-configuration process (see genManProcess) there shall be no ambiguity which instance of a sub-class of GenManProfile is valid for a certain NE type or a set of NE types.

Multiple instances of sub-classes of GenManProfile objects may be instantiated in the IRPAgent.

By using an instance of a sub-class of this object the IRPManager decides which of the possible stop points offered in the related instance of a sub-class of genManCapability are used to suspend the automated management process of the specified NE type (or set of NE types) and which of the

offeredFinalAdministrativeStateInformation is selected.

#### 4.3.2.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
id	М	M	-
versionNumber	М	M	-
nEInformation	М	M	-
stepsAndSelectedStopPointList	М	M	-
selectedFinalAdministrativeState	M	M	-

#### 4.3.2.3 Notifications

#### 4.3.3 GenManProcess

#### 4.3.3.1 Definition

This object class is a support object class. Sub-classes of this IOC describe the automated management process for an NE. They allow the IRPManager to be informed about the current progress of the process and where stop points are set. No intervention of the IRPManager is foreseen except resume after a stop point was reached or termination of the self-configuration.

When the automated management process for an NE starts, an instance of the sub-class of genManProcess is created automatically.

The steps in the stepInfoList shall conform to the content of the relevant sub-class of genManProfile instance. Example:

If the stepsAndOfferedStopPointList of a sub-class instance of genManProfile indicates stopPointCanBeSetBeforeThisStep for step X, then the entry for step X in the stepInfoList of the sub-class instance of genManProcess can only have the value stopPointIsNotSet.

When there is no relevant genManProfile at creation time of genManProcess, then the IRPAgent creates the genManProcess based on the relevant genManCapability. In this case preferably no stop point shall be set in the self configuration process.

When the last step of the self configuration process is completed successfully, the genManProcess instance is deleted automatically.

When self configuration process is terminated by the IRPManager, the genManProcess instance is deleted automatically.

#### 4.3.3.2 Attributes

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
id	M	M	-
nEIdentification	М	М	-
profileId	М	М	-
stepInfoList	М	M	-

#### 4.3.3.3 Notifications

#### 4.3.4 SwMCapability

#### 4.3.4.1 Definition

This object class is a sub-class of genManCapability and represents the IRPAgent's capability in support of SWM.

It is created by the IRPAgent and cannot be modified by the IRPManager.

A SwMManagementCapability object is valid for a certain NE type or a set of NE types with a certain SW version or set of versions. For an NE there shall be no ambiguity which SwMManagementCapability object is valid for the NE

Multiple SwMManagementCapability objects may be instantiated in the IRPAgent.

The object identifies:

a) the sequence of the self-configuration steps

and for each step:

- a.1) the possibility, whether before the step a stop point can be selected, such that the self-configuration step is suspended and waits for a request by the IRPManager to resume.
- b) the final administrativeState of the NE after successful self-configuration.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_SWM_FUN_5	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_1	

#### 4.3.4.2 Attributes

All attributes inherited from IOC GenManCapability.

Additional attributes:

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
swVersionToBeInstalledOfferList	CM *)	M	-

<sup>\*)</sup> Condition: objectClass\_is\_swMCapability

#### 4.3.4.3 Attribute constraints

Name	Definition	
objectClass_is_swMCapability	objectClass is equal to swMCapabilities	

#### 4.3.4.4 Notifications

Name	Qualifier	Notes
notifyNewSwAvailability	M	

#### 4.3.5 SwMProfile

#### 4.3.5.1 Definition

This object class is a sub-class of genManProfile. It allows the IRPManager to select from the stop points offered in the swMCapabilites object those which should be used to stop the SW management process for NEs, which fit to the nEInformation and swVersionToBeInstalled, and which of the offeredFinalAdministrativeStateInformation is selected.

For an NE starting its SWM process there shall be no ambiguity which swMManagementProfile is valid for the NE. Therefore the nEInformation of different swMProfile instances shall not intersect. Example for a not allowed intersection: profile 1 has nEInformation=(neType=eNB), profile 2 has nEInformation=((neType=eNB) and (Id=1))).

#### Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_1	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_3	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_4	
3GPP TS 32.531 [6]		The part of the requirement to avoid service impact can be fulfilled by creating a swmProfile with selectedFinalAdministrativeState equal locked

#### 4.3.5.2 Attributes

All attributes inherited from IOC GenManProfile.

Additional attributes:

Attribute name	Support Qualifier	Read Qualifier	Write Qualifier
swVersionToBeInstalled	CM	M	-

Condition: objectClass\_is\_swMProfile

#### 4.3.5.3 Attribute constraints

Name	Definition	
objectClass_is_swMProfile	objectClass is equal to swMProfile	

#### 4.3.5.4 Notifications

Name	Qualifier	Notes
notifySwMProfileCreation	M	
notifySwMProfileChange	CM	Condition: Present if operation changeSwMProfile is supported.
notifySwMProfileDeletion	M	

#### 4.3.6 SwMProcess

#### 4.3.6.1 Definition

This object class is a sub-class of genManProcess. It describes the SW management process for an NE. It allows the IRPManager to be informed about the current progress of the SWM process and where stop points are set. No intervention of the IRPManager is foreseen except to provide indication to resume after a stop point was reached or to abort the self-configuration.

When the automated management process for an NE starts, an instance of the swMProcess is created automatically.

The id of the swMProcess shall be identical to the identifier of the NE and identify the swMProcess instance uniquely.

The steps in the stepInfoList shall conform to the content of the relevant swMProfile instance. Example:

If the stepsAndOfferedStopPointList of swMProfile indicates stopPointCanBeSetBeforeThisStep for step X, then the entry for step X in the stepInfoList of swMProcess can only have the value stopPointIsNotSet.

When there is no relevant swMProfile at creation time of swMProcess, then the IRPAgent creates the swMProcess based on the relevant swMCapability. In this case preferably no stop point shall be set in the self configuration process.

When the last step of the self configuration process is completed successfully, the swMProcess instance is deleted automatically.

When self configuration process is terminated by the IRPManager, the swMProcess instance is deleted automatically.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_3	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_4	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_5	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_6	

#### 4.3.6.2 Attributes

All attributes inherited from IOC GenManProcess.

Additional attributes: None.

#### 4.3.6.3 Notifications

Name	Qualifier	Notes
notifySwMProcessCreation	М	
notifySwMProcessStage	М	
notifySwMProcessDeletion	М	

#### **4.3.7** Swmirp

#### 4.3.7.1 Definition

This information object represents a Software Management IRP. It inherits from IOC managedGenericIRP.

#### 4.3.7.2 Attributes

All attributes inherited from IOC managedGenericIRP.

Additional attributes: None.

#### 4.3.7.3 Notifications

All notifications inherited from IOC managedGenericIRP.

Additional notifications: None.

## 4.3.8 SwMManagedEntity

#### 4.3.8.1 Definition

The proxy IOC, SwMManagedEntity represents the role that can be played by an instance of a managed element. The objectClass and objectInstance identify a managed element instance.

## 4.4 Information relationship definitions

### 4.4.1 relation-swMIRP-swMCapability (M)

#### 4.4.1.1 Definition

This represents the relationship between SwMIRP and SwMCapability.

#### 4.4.1.2 Roles

Name	Definition	
theSwmIRP	It represents the SwmIRP.	
theSwMCapability	oility It represents the SwMCapabilit	

#### 4.4.1.3 Constraints

There is no constraint for this relationship.

## 4.4.2 relation-SwmIRP-swMProfile (M)

#### 4.4.2.1 Definition

This represents the relationship between SwmIRP and SwMProfile.

#### 4.4.2.2 Roles

Name	Definition
theSwmIRP	It represents the SwmIRP.
theSwMProfile	It represents the SwMProfile.

#### 4.4.2.3 Constraints

There is no constraint for this relationship.

## 4.4.3 relation-swMIRP-swMProcess (M)

#### 4.4.3.1 Definition

This represents the relationship between SwmIRP and SwMProcess.

#### 4.4.3.2 Roles

Name	Definition
theSwMIRP	It represents the SwMIRP.
theSwMProcess	It represents the SwMProcess.

#### 4.4.3.3 Constraints

There is no constraint for this relationship.

#### 4.4.4 relation-swMCapabilites-swMProfile (M)

#### 4.4.4.1 Definition

This represents the relationship between swMCapability and swMProfile.

#### 4.4.4.2 Roles

Name	Definition	
theSwMCapability	It represents the swMCapability.	
theSwMProfile	It represents the swMProfile.	

#### 4.4.4.3 Constraints

A relation can only exist between a SwMProfile and a SwMCapability when

a) all steps which are entries in the stepsAndSelectedStopPointList of SwMProfile have

stopPointCanBeSetBeforeThisStep = Yes in the stepsAndOfferedStopPointList of the SwMCapability.b)

nEInformation of SwMProfile is a subset of nEInformation of SwMCapability

#### 4.4.5 relation swMProfile-swMProcess (M)

#### 4.4.5.1 Definition

This represents the relationship between SwMProfile and SwMProcess.

#### 4.4.5.2 Roles

Name	Definition
theSwMProfile	It represents the theSwMProfile.
theSwMProcess	It represents the SwMProcess.

#### 4.4.5.3 Constraints

A SwMProcess shall perform all self-configuration steps according to stepsAndOfferedStopPointList of SwMProfile.

A relation can only exist between a SwMProcess and a SwMProfile when nEIdentification of SwMProcess falls into nEInformation of SwMProfile.

- 4.5 Information attribute definitions
- 4.5.1 Definition and legal values

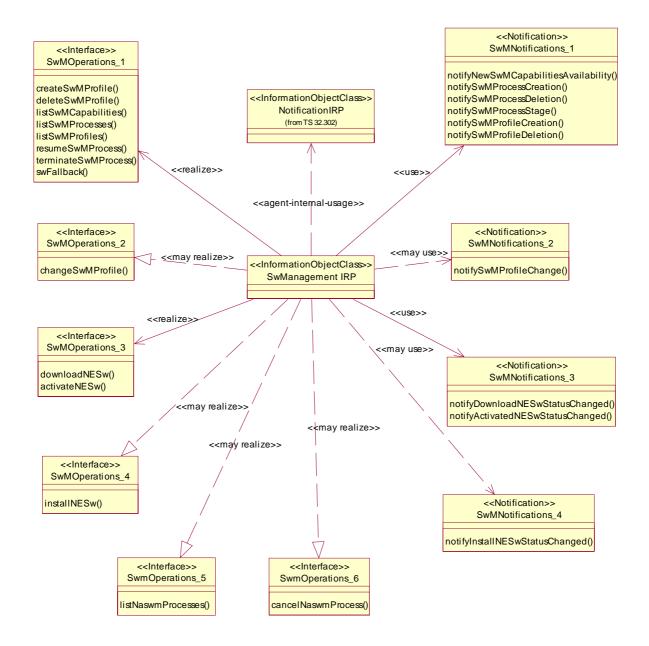
Attribute Name	Definition	Legal Values
id	It identifies uniquely an instance of its object class.	
nEIdentification	This attribute identifies the NE for which the self management activity is done.	
nEInformation	This attribute defines the neType or NE instance/s - with optional software identification information - , for which this capability/profile instance is valid.	"NE instance/s" only applies for instance/s already known to the IRPManager, e.g. in case of re-configuration or SW update.
startStepName	nameOfStep, this attribute defines the start step for resume operation.	The legal value of startStepName could be one of the step which defined in stepsAndOfferedStopPointList
swVersionToBeInstalled	This attribute describes which SW identification information shall be used at the end of self management in NEs for which this swMCapability/swMProfile applies.	
stepsAndOfferedStopPoint List	Each entry in the list contains for each step the following information:  • nameOfStep: This list shall be exhaustive; if a certain step is not visible or not supported in the SWM process, then it shall not be shown (listed) in the stepsAndOfferedStopPointList.  • sequenceNumberInProcess • stopPointCanBeSetBeforeThisStep	nameOfStep: nEHealthCheck, swDownload, swInstallation, swActivation More values for nameOfStep may be used by other IRPs. All steps may be offered as stop points. sequenceNumberInProcess: Positive Integer
stepsAndSelectedStopPoin tList	Each entry in the list contains for each step the following information:  • nameOfStep: • sequenceNumberInProcess • stopPointSetIndication	<pre>stopPointCanBeSetBeforeThisStep: Yes, No nameOfSwMStep, sequenceNumberInProcess: see stepsAndOfferedStopPointList stopPointSetIndication: stopPointIsSetBeforeThisStep, stopPointIsNotSet</pre>
stepInfoList	This list attribute contains information about all steps and how far they have progressed. Each entry in the list contains:  • nameOfStep  • sequenceNumberInProcess  • stopPointSetIndication  • stepProgress	nameOfSwMStep, sequenceNumberInProcess: see stepsAndOfferedStopPointList  stopPointSetIndication: see stepsAndSelectedStopPointList  stepProgress: notYetStarted, running, completed, awaitingResume, failure, terminated

contains (SET OF):	See individual definitions of the list entry content.
• stepInfoList	
It describes which selection is offered regarding the administrativeState of the NE after	One or more of the following values:
successful automated management: If it may have the value locked or unlocked or if the value of	
the administrativeState may be determined by the configuration data which is uploaded in the	unlocked,
course of the automated management.	determinedByConfigurationData
	The value unlocked should always be present.
Determines which of the offers made regarding the administrativeState of the NE after successful	One of the following values:
self-configuration is taken.	locked,
	unlocked,
	determinedByConfigurationData
	Default value is value unlocked.
This list describes for which SW version/s the capability object is valid. The first element in the	Minimum size of list: 1 entry
	-
This number is the version number of a profile. Its value is 1 when a profile is created. It is incremented by 1 each time a profile is successfully changed.	Integer
This parameter records the identification of the profile used by the process. It consists of two data:	See versionNumber
• id (of the profile)	
• versionNumber	
This parameter records the information of the NE which was matching with the nEInformation of	See nEInformation
This parameter records the result of an operation.	success,
	failure,
	stepNameNotMatch: The current process step doesn't match the
	startStepName in the operation.
	nEInformationIntersection: There shall be no ambiguity
	which swMManagementProfile is valid for the NE. Therefore the
	nEInformation of different swMProfile instances shall not
	intersect. Example for a not allowed intersection: profile 1 has
	nEInformation=(neType=eNB), profile 2 has
	<ul> <li>id</li> <li>nEIdentification</li> <li>stepInfoList</li> <li>It describes which selection is offered regarding the administrativeState of the NE after successful automated management: If it may have the value locked or unlocked or if the value of the administrativeState may be determined by the configuration data which is uploaded in the course of the automated management.</li> <li>Determines which of the offers made regarding the administrativeState of the NE after successful self-configuration is taken.</li> <li>This list describes for which SW version/s the capability object is valid. The first element in the list indicates the default software version to be installed.</li> <li>This number is the version number of a profile. Its value is 1 when a profile is created. It is incremented by 1 each time a profile is successfully changed.</li> <li>This parameter records the identification of the profile used by the process. It consists of two data:         <ul> <li>id (of the profile)</li> <li>versionNumber</li> </ul> </li> <li>This parameter records the information of the NE which was matching with the nEInformation of the profile when determining which profile is to be used for the process.</li> </ul>

## 4.5.2 Constraints

## 5 IRP descriptions: Interface Definitions

## 5.1 Class diagram representing interfaces



Additionally, the operations and notifications of this document are specified and grouped under Interfaces as shown in the following sections. To allow the flexible support of the necessary and sufficient operations and notifications for software management, the operations and notifications of this specification are packaged into two groups, one related to automatic software management (ASWM) and the other related to non-automated software management (NASWM).

Automatic Software Management requires the following operations and notifications:

- 1. SwMOperations\_1 and SwMNotifications\_1 shall be mandatory
- 2. SwMOperations\_2 and SwMNotifications\_2 shall be optional

Non Automatic Software Management requires the following operations and notifications:

- 1. SwMOperations\_3 and SwMNotifications\_3 shall be mandatory
- 2. SwMOperations\_4 and SwMNotifications\_4 shall be optional
- 3. SwMOperations\_5 shall be conditional mandatory; the condition is: progress reporting for or cancellation of NASWM operations is supported.
- 4. SwMOperations\_6 shall be conditional mandatory; the condition is: cancellation of NASWM operations is supported.

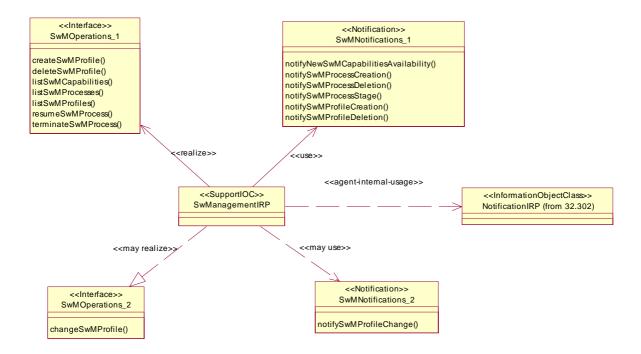


Figure 5.1-2 Operations and Notifications for ASWM

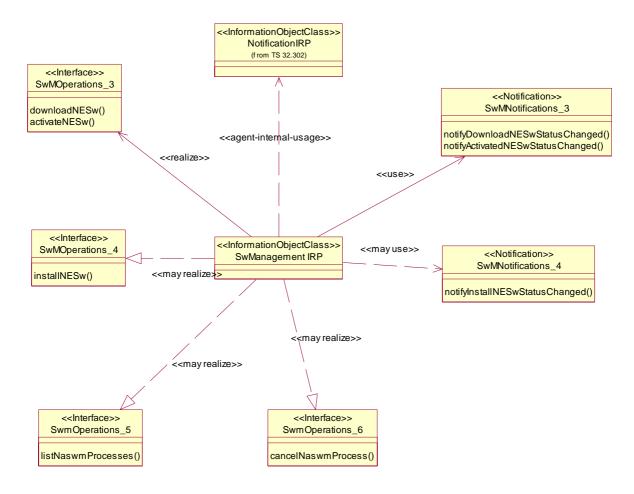


Figure 5.1-3 Operations and Notifications for NASWM

#### 5.2 Generic rules

- **Rule 1:** each operation with at least one input parameter supports a pre-condition valid\_input\_parameter which indicates that all input parameters shall be valid with regards to their information type. Additionally, each such operation supports an exception operation\_failed\_invalid\_input\_parameter which is raised when pre-condition valid\_input\_parameter is false. The exception has the same entry and exit state.
- **Rule 2:** each operation with at least one optional input parameter supports a set of pre-conditions supported\_optional\_input\_parameter\_xxx where "xxx" is the name of the optional input parameter and the pre-condition indicates that the operation supports the named optional input parameter. Additionally, each such operation supports an exception operation\_failed\_unsupported\_optional\_input\_parameter\_xxx which is raised when (a) the pre-condition supported\_optional\_input\_parameter\_xxx is false and (b) the named optional input parameter is carrying information. The exception has the same entry and exit state.
- Rule 3: each operation shall support a generic exception operation\_failed\_internal\_problem which is raised
  when an internal problem occurs and that the operation cannot be completed. The exception has the same entry
  and exit state.

NOTE: These rules are mapped at the solution set level. Pre-conditions and exceptions, generated by these rules, need not appear explicitly in the present document.

## 5.3 SwMIRPOperations\_1 Interface (M)

## 5.3.1 Operation listSwMCapabilities (M)

#### 5.3.1.1 Definition

This operation allows the IRPManager to determine on the Itf-N interface which steps in the SW management are performed in NEs of a certain type, what is done by the NE in case a step does not perform normally and before which steps a stop point can be set, such that the software download halts and waits for a continuation request by the IRPManager.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_1	

#### 5.3.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
nEInformation	M		If this input parameter contains no information, all (offered) SwMCapability instances are to be listed in the output.

#### 5.3.1.3 Output parameters

Parameter Name	Qualifie r	Matching Information	Comment
capabilityLis t	М	swM.capabilityLis t	Each entry in the list contains:  Id of SwMCapability  nEInformation of SwMCapability  swVersionToBeInstalledOfferList of SwMCapability  stepsAndOfferedStopPointList of SwMCapability  offeredFinalAdministrativeStateInformatio n of SwMCapability
result	М	swM.result	result=success and empty swMCapabilityList mean: No instance found

#### 5.3.1.4 Post-condition

<b>Assertion Name</b>	Definition
dataDelivered	The requested data is delivered.

#### 5.3.1.5 Exceptions

#### 5.3.1.5.1 operation\_failed

Exception Name	Definition
operation_failed	Condition: Pre-condition is false or post-condition is false.
	Returned Information: The output parameter result.
	Exit state: Entry state.

## 5.3.2 Operation listSwMProfiles (M)

#### 5.3.2.1 Definition

This operation allows the IRPManager to find out which instances of SwMProfile are valid NEs of a certain type.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_1	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.3.2.2 Input parameters

Parameter	Qualifier	Information type	Comment
Name			
nEInformation	M	swM.nEInformation	If this input parameter contains no information, all profile instances are to
			be listed in the output.

#### 5.3.2.3 Output parameters

Parameter Name		<b>Matching Information</b>	Comment
swMProfileList	М	swM.swMProfileList	Each entry in the list contains:  • Id of profile  • versionNumber of swMprofile  • nEInformation of profile  • stepsAndSelectedStopPointList of profile  • selectedFinalAdministrativeState of profile  • conditionally swVersionToBeInstalled of swMprofile
result	M	swM.result	

## 5.3.3 Operation createSwMProfile (M)

#### 5.3.3.1 Definition

This operation allows the IRPManager to establish an instance of SwMProfile to be valid for NEs of a certain type.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.3.3.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
id	0		Identifier of swMprofile
nEInformation	М	swM.nEInformation	See 4.5
swVersionToBeInstalled	М	swM.swVersionToBeInstalled	See 4.5
stepsAndSelectedStopPointList	М	swM.stepsAndSelectedStopPointList	See 4.5
selectedFinalAdministrativeState	М	swM.selectedFinalAdministrativeState	See 4.5

## 5.3.3.3 Output parameters

Paramete r Name	Qualifie r	Matching Information	Comment
id	CM	SwMProfile.id	See the definition of the result field described below
result		ENUM { success, failure, nEInformationIntersection, notAllowedBecauseOfOngoingSwmActiv ity }	If result = success, then parameter id contains the id of the created swMProfile.  If result = failure, then parameter id is absent.  If result = nEInformationIntersection, then parameter id contains the id of a swMProfile whose nEInformation would intersect with the proposed nEInformation for the new swMProfile, which was not created in this case.  If result = notAllowedBecauseOfOngoingSwmOperat ion, then parameter id is absent.

## 5.3.4 Operation deleteSwMProfile (M)

#### 5.3.4.1 Definition

This operation allows the IRPManager to delete an instance of swMProfile.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

## 5.3.4.2 Input parameters

<b>Parameter Name</b>	Qualifier	Information type	Comment
id	M	swM.id	Identifier of swMprofile

## 5.3.4.3 Output parameters

<b>Parameter Name</b>	Qualifier	<b>Matching Information</b>	Comment
result	M	swM.result	

## 5.3.5 Operation listSwMProcesses (M)

#### 5.3.5.1 Definition

This operation allows the IRPManager to find out the status of one or several swMProcess instances

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_4	

#### 5.3.5.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
nEIdentification	0	swM.nEIdentification	It describes for which NE the swMprocess is to be listed.
			If this parameter is not present, all swMprocess instances are to be listed in the output.

#### 5.3.5.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
swMprocessList	M	swM.swMprocessList	See 4.5
result	M	swM.result	result=success and empty swMProcessList mean: No
			instance found

## 5.3.6 Operation resumeSwMProcess (M)

#### 5.3.6.1 Definition

This operation allows the IRPManager to resume a SW management process which currently has stopped at a stop point step.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_3	

#### 5.3.6.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
id	M	swM.id	Identifier of swMprocess
startStepName	M	swM.NameOfStep	The start step for the resume operation.
			If the current process step is equal to the startStepName value, the process
			will start from startStepName.
			If the current process step does not match the startStepName value, then it
			will be indicated in the result of the operation. Not matching
			startStepName value can either be the case that the process has already
			started from the specified step (i.e. request is too late) or that the process has
			not yet reached the specified step (i.e request is too early).

## 5.3.6.3 Output parameters

Para	ameter Name	Qualifier	<b>Matching Information</b>	Comment
res	ult	М	swM.result	

## 5.3.7 Operation swFallback (M)

#### 5.3.7.1 Definition

This operation enables the IRPManager to initiate a SW fallback.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_SWM_FUN_6	

## 5.3.7.2 Input parameters

<b>Parameter Name</b>	Qualifier	Information type	Comment
filter	M	swM.filter	To describe properties of the NEs to be selected.

#### 5.3.7.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
nEList	M	swM.nEList	Each entry in the list contains: nEIdentification
			<pre>swFallbackStatus (Values: fallbackSuccessful, fallbackUnsuccessful)</pre>
result	M	swM.result	Success,     Partly successful - swFallbackStatus is fallbackUnsuccessful for at least one NE and fallbackSuccessful for at least one other NE     Failure  Empty NEList and Result=Success means: No NEs fulfilling filter were found.

## 5.3.8 Operation terminateSwMProcess (M)

#### 5.3.8.1 Definition

This operation allows the IRPManager to terminate a SW management process which is currently ongoing.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ ASWM FUN 6	

#### 5.3.8.2 Input parameters

<b>Parameter Name</b>	Qualifier	Information type	Comment
id	M	swM.id	Identifier of swMprocess .

## 5.3.8.3 Output parameters

<b>Parameter Name</b>	Qualifier	Matching Information	Comment
result	М	swM.result	

## 5.4 SwMIRPOperations\_2 Interface (O)

## 5.4.1 Operation changeSwMProfile (O)

#### 5.4.1.1 Definition

This operation allows the IRPManager to change an instance of SwMProfile.

A change in a profile which was already used at the start of an swMProcess does not affect that swMProcess (which is run to its completion according to the former version of the profile.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ ASWM FUN 2	

#### 5.4.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
id	M	swM.id	Identifier of
			swMprofile
nEInformation	M	swM.nEInformation	See 4.5
swVersionToBeInstalled	M	swM.swVersionToBeInstalled	See 4.5
stepsAndSelectedStopPointList	M	swM.stepsAndSelectedStopPointList	See 4.5
selectedFinalAdministrativeState	M	swM.selectedFinalAdministrativeState	See 4.5

#### 5.4.1.3 Output parameters

Parameter Name	Qualifi er	Matching Information	Comment
result	M	ENUM { success, failure, nEInformationIntersection, notAllowedBecauseOfOngoingSwmA ctivity }	If result = success or failure, then parameter id may be absent or contain the id of the changed swMprofile. If result = nEInformationIntersection, then parameter conflictingProfileId contains the id of a SwMProfile "A" whose nEInformation would intersect with the proposed nEInformation for the SwMProfile"B" = input parameter id. SwMProfile"B" will not be changed in this case. If result = notAllowedBecauseOfOngoingSwmA ctivity, (which means that the operation is rejected because another SWM activity is ongoing for at least one NE covered by input parameter neInformation), then parameter conflictingProfileId is absent.
versionNumber	М	SwMProfile.versionNumber	See 4.5. This parameter has value 0 when result <> success.
conflictingProfi leId	C *)	SwMProfile.id	See definition of result above.

Editor Note: whether this parameter needs to be conditional or mandatory needs further discussion. The condition: result\_is\_nEInformationIntersection

## 5.4.1.4 Constraints

Name	Definition		
result_is_nEInformationIntersection	result is equal to nEInformationIntersection		

## 5.5 SwMIRPNotifications\_1 Interface (M)

## 5.5.1 Notification notifySwMProfileCreation (M)

#### 5.5.1.1 Definition

This notification conveys information about the creation of an instance of IOC swMProfile.

#### 5.5.1.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
id	M,Y	swM.id	Identifier of
			swMprofile
versionNumber	M, Y	swM.versionNumber	See 4.5
nEInformation	M,Y	swM.nEInformation	See 4.5
swVersionToBeInstalled	M,Y	swM.swVersionToBeInstalled	See 4.5
stepsAndSelectedStopPointList	M,N	swM.stepsAndSelectedStopPointList	See 4.5
selectedFinalAdministrativeState	M,N	${\tt swM.selectedFinalAdministrativeState}$	See 4.5

## 5.5.2 Notification notifySwMProfileDeletion (M)

#### 5.5.2.1 Definition

This notification conveys information about the deletion of an instance of IOC swMProfile.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.5.2.2 Input parameters

<b>Parameter Name</b>	Qualifiers	<b>Matching Information</b>	Comment
id	M.Y	swM.id	Identifier of swMprofile

## 5.5.3 Notification notifySwMProcessCreation (M)

#### 5.5.3.1 Definition

This notification conveys information about the creation of an instance of IOC swMProcess.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.5.3.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
id	M,Y	swM.id	Identifier of swMprocess
nEIdentification	M,Y	swM.nEIdentification	see 4.5
profileId	M,N	swM.profileId	see 4.5
matchingNEInformation	M,N	swM.matchingNEInformation	see 4.5
stepInfoList	M,N	swM.stepInfoList	see 4.5

## 5.5.4 Notification notifySwMProcessStage (M)

#### 5.5.4.1 Definition

This notification conveys information about the stage of an instance of IOC swMProcess that has been completed or at which that process has been stopped (based on pre-set stop points).

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_5	

#### 5.5.4.2 Input parameters

<b>Parameter Name</b>	Qualifiers	<b>Matching Information</b>	Comment
id	M,Y	swM.id	Identifier of swMprocess
stepInfoList	M,N	swM.stepInfoList	see 4.5

#### 5.5.5 Notification notifySwMProcessDeletion (M)

#### 5.5.5.1 Definition

This notification conveys information about the deletion of an instance of IOC swmProcess

IRPAgent shall also send out this notification in case of a process termination caused by an exception, for example IRP Agent terminates the process because it had to wait too long after a suspend operation.

Information on Requirements Traceability:

Referenced TS	Requirement label	
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.5.5.2 Input parameters

Parameter Name	<b>Qualifiers</b>	Matching Information	Comment
id	M,Y	swM.id	Identifier of swMprocess
triggerForDeletion	M, Y	swM.triggerForDeletion	This parameter describes what triggered the deletion of the swMprocess instance: triggerForDeletion: irpAgentTermination, irpManagerTermination, automatedSWMSuccesfullyConcluded
additionalInformation	O, N	swM.additionalInformation	

## 5.5.6 Notification notifyNewSwAvailability (M)

#### 5.5.6.1 Definition

This notification conveys information about the availability of new SW.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ ASWM FUN 2	

#### 5.5.6.2 Input parameters

Parameter Name	Qualifiers	<b>Matching Information</b>	Comment
nEandSWversion	M,Y	swM.NEandSWversion	Informs about new available SW, SW version and NE / NE
			version (types) for which it is valid

## 5.6 SwMIRPNotifications\_2 Interface (O)

#### 5.6.1 Notification notifySwMProfileChange (C/O)

#### 5.6.1.1 Definition

This notification conveys information about a change of an instance of IOC swMProfile.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_ASWM_FUN_2	

#### 5.6.1.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
id	M,Y	swM.id	Identifier of
			swMprofile
versionNumber	M,Y	swM.versionNumber	See 4.5
nEInformation	M,Y	swM.nEInformation	See 4.5
swVersionToBeInstalled	M,N	swM.swVersionToBeInstalled	See 4.5
stepsAndSelectedStopPointList	M,N	swM.stepsAndSelectedStopPointList	See 4.5
selectedFinalAdministrativeState	M	${\tt swM.selectedFinalAdministrativeState}$	See 4.5

## 5.7 SwMIRPOperations\_3 Interface (M)

## 5.7.1 Operation downloadNESw (M)

#### 5.7.1.1 Definition

This operation allows IRPManager to request an IRPAgent to download network element software entities from a specified location. IRPManager provides a unique reference where IRPAgent can download NE software from.

NOTE: The file transfer may not happen over Itf-N and the details on how to transfer file from IRPAgent to NE(s) is vendor specific and outside the scope of this specification.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_1	
3GPP TS 32.531 [6]	REQ_NASWM_FUN_2	

## 5.7.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
Parameter Name swToBeDownloaded	Qualifier M	Information type  List of STRUCT < swLocation, swFileSize, swFileCompression, swFileFormat >	These attributes represent information about the NE software which will be downloaded by IRPAgent.  swLocation: Denotes a unique location of software. This attribute includes the name of the software or a software version.  swFileSize: It identifies the size of the file. Its value is positive Integer (the unit is byte). It is optional to fill in this attribute value.  swFileCompression: It identifies the name of the compression algorithm used for the file. An empty fileCompression means that there is no compression on the file. Choice of compression algorithm is vendor-specific but is encouraged to use industrial standard
neIdentifier	M	Distinguished Name (DN)	algorithm such as GZIP. It is optional to fill in this attribute value.  swFileFormat: It identifies the encoding technique used by the file. It is optional to fill in this attribute value  Identifies the destination where the software can be downloaded and can include network element, managed element or managed functionality etc. The information is represented using a full Distinguished Name according to 3GPP TS 32.300.

## 5.7.1.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
ownloadProcessId	М	Integer	An Identifier generated by IRPAgent upon receiving a non-automated software management request from IRPManager. In this case, it identifies the NE software download operation request.
esult	М	<pre>ENUM { requestAccepted, requestFailed, notAllowedBecauseOfOngoingSwmActivity }</pre>	Indicates whether SwMIRP has accepted or rejected the download request.  It can have any one of the three possible values:  - "requestAccepted" which means that IRPAgent would perform the NE software download operation  - "requestFailed" which means that IRPAgent has failed to initiate the NE software download operation. Specific error condition can be captured in reason field  - notAllowedBecauseOfOngoingSwmActivit which means that the operation is rejected because another SWM activity is ongoing for the requested NE
eason	0	String	To capture detailed error reason. The field is empty when there is no error.
istOfStepNumbersAnd- urations	CM Note 1)	List of { Integer; (Integer;Integer)	This identifies a list of steps of the non-automated software management process and for each step the estimated duration (hours, minutes, seconds) for its completion.

Note 1:Condition: Progress reporting of NASWM operations is supported.

#### 5.7.1.4 Pre condition

Assertion Name	Definition
swDownloadable	NE software is available which IRPAgent can download

#### 5.7.1.5 Post-condition

Assertion Name	Definition	
swDownloadInProgress	The SwMIRP has accepted the download request to perform the requested	
	operation.	
swAvailable	This is the final state when downloadNESw operation is complete and	
	notifyDownloadNESwStatusChanged has been generated.	

#### 5.7.1.6 Exceptions

Exception Name	Definition
operationFailed	Condition: Pre-condition is false or post-condition is false.
	Returned Information: The output parameter status.
	Exit state: Entry state.
resourceLimitation	Condition: Operation not performed due to resource limitation.
	Returned Information: The output parameter status.
	Exit state: Entry state.

## 5.7.2 Operation activateNESw (M)

#### 5.7.2.1 Definition

This operation allows IRPManager to activate network element software entity which has been previously downloaded or installed on the request of IRPManager. This operation may be service affecting.

NOTE: activateNESw can be triggered through automatic or manual ways.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_5	
3GPP TS 32.531 [6]	REQ_NASWM_FUN_8	

#### 5.7.2.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
swVersionToBeActivated	М	swVersion	swVersion denotes the software version which would be
			activated. The details on how to activate a software version is
			vendor specific.
neIdentifier	М	Distinguished	Identifies the destination where software has to be activated.
		Name (DN)	This is a full Distinguished Name according to
			3GPP TS 32.300

## 5.7.2.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
ctivateProcessId	М	Integer	An Identifier is generated by IRPAgent upon receivin a non-automated software management request fron IRPManager. In this case, it identifies the NE softwar activation operation request. This id is unique for activateNESw operations.
esult	M	ENUM { requestAccepted, requestFailed, notAllowedBecauseOfOngoingSwmActivity }	Indicates whether SwMIRP has accepted or rejected the NE software activation request.  It can have any one of the three possible values:  - "requestAccepted" which means that IRPAgent would perform the NE software activation operation  - "requestFailed" which means that IRPAgent has failed to initiate the NE software activation operation. Specific error condition can be captured in reason field  - notAllowedBecauseOfOngoingSwmActivit which means that the operation is rejected because another SWM activity is ongoing for the requested NE
eason	0	String	To capture specific error conditions. The field is empty when there is no error.
istOfStepNumbersAnd- arations	CM Note 1)	See 5.7.1.3	See 5.7.1.3

Note 1: Condition: Progress reporting of NASWM operations is supported.

#### 5.7.2.4 Pre condition

swAvailable or swInstalled

Assertion Name	Definition
swAvailable	The NE software has been successfully downloaded
swInstalled	The NE software has been installed

#### 5.7.2.5 Post-condition

Assertion Name	Definition
swActivationInProgress	The SwMIRP has accepted the request to perform the requested activation operation.
swActivated	This is the final state when activateNESw operation is complete and
	notifyActivateNESwStatusChanged has been generated

## 5.7.2.6 Exceptions

Exception Name	Definition
operationFailed	Condition: Pre-condition is false or post-condition is false.
	Returned Information: The output parameter status.
	Exit state: Entry state.
resourceLimitation	Condition: Operation not performed due to resource limitation.
	Returned Information: The output parameter status.
	Exit state: Entry state.

## 5.8 SwMIRPOperations\_4 Interface (O)

## 5.8.1 Operation installNESw (O)

#### 5.8.1.1 Definition

This operation allows IRPManager to initiate installation of NE software entity which has been previously downloaded on the request of IRPManager. Installation may also be initiated from a remote location.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_3	
3GPP TS 32.531 [6]	REQ_NASWM_FUN_4	

#### 5.8.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
swTobeInstalled	М		swLocation denotes a unique location (local or remote) of software which can be a directory path or a URL and includes 1) the name of software or 2) a software version
neIdentifier	M	Distinguished Name (DN)	Identifies the destination where the NE software needs to be installed. This is a full Distinguished Name according to 3GPP TS 32.300

#### 5.8.1.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
nstallProcessId	M	Integer	An Identifier generated by IRPAgent upon receiving a non-automated software management request from IRPManager. In this case, it identifies the NE softwar installation operation request. This id is unique for installNESw operations.
esult	М	ENUM { requestAccepted, requestFailed, notAllowedBecauseOfOngoingSwmActivi ty }	Indicates whether SwMIRP has accepted or rejected the installation request.  It can have any one of the twhreeo possible values:  - "requestAccepted" which means that IRPAgent uld perform the NE software installation operation  - "requestFailed" which means that IRPAgent has failed to initiate the NE software installation operation. Specific error condition can be captured in reason field  - notAllowedBecauseOfOngoingSwmActivit which means that the operation is rejected because automatic SWM is ongoing for the requested NE
eason	0	String	To capture detailed error conditions. The field is empwhen there is no error.
istOfStepNumbersAnd- urations	CM Note 1)	See 5.7.1.3	See 5.7.1.3

Note 1: Condition: Progress reporting of NASWM operations is supported...

#### 5.8.1.4 Pre condition

Asse	ertion Name	Definition
swAva	ailable	NE software is available

## 5.8.1.5 Post-condition

Assertion Name	Definition	
swInstallationInProgress	The SwMIRP has successfully accepted the request to perform the requested	
	installation operation	
swInstalled	This is the final state when installNESw operation is complete and	
	notifyInstallNESwStatusChanged has been generated	

## 5.8.1.6 Exceptions

Exception Name	Definition
operationFailed	Condition: Pre-condition is false or post-condition is false.
	Returned Information: The output parameter status.
	Exit state: Entry state.
resourceLimitation	Condition: Operation not performed due to resource limitation.
	Returned Information: The output parameter status.
	Exit state: Entry state.
swNotAvailable	Condition: NE software is not available.
	Returned Information: The output parameter status.
	Exit state: Entry state.

## 5.9 SwMIRPNotifications\_3 Interface (M)

## 5.9.1 Notification notifyDownloadNESwStatusChanged (M)

#### 5.9.1.1 Definition

This notification, generated by IRPAgent conveys information about the status of the downloadNESw operation.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_1	

## 5.9.1.2 Input parameters

Parameter Name	Qualifie r	Matching Information	Comment
objectClass	·	SwMManagedEntity.objectClass	Represents the network element object class generating this event. Also refer to Notification header [9].
objectInstance	M,Y	SwMManagedEntity.objectInstance	Represents the network element instance generating the event. Also refer to Notification header [9].
notificationId	O,N		Refer to Notification header [9].
eventTime	M,Y		Refer to Notification header [9].
systemDN	C,Y		Refer to Notification header [9].
notificationType	M,Y	"notifyDownloadNESwStatusChanged"	
downloadProcessId	M, Y	Integer	To allow IRPManager correlate this notification with the downloadNESw operation request. Also refer to section 5.7.1
downloadOperationSta tus		enum { NE_SWDOWNLOAD_SUCCESSFUL, NE_SWDOWNLOAD_FAILED, NE_SWDOWNLOAD_PARTIALLY_SUCCESS FUL }	Provides information on the status of downloadNESw operation for the network element involved. Note: When only one software entity has to be downloaded, downloadOperationStatus can be either NE_SWDOWNLOAD_SUCCESSFUL or NE_SWDOWNLOAD_FAILED.
downloadedNESwInfo	O,N	LIST <downloadednesw></downloadednesw>	Information on where the software or version got downloaded on the NE
failedSwInfo	O,N	LIST <failedsw, failurereason=""></failedsw,>	Information on software not abled to be downloaded and the corresponding failure reason.

## 5.9.1.3 Triggering Event

#### 5.9.1.3.1 From State

neSwDownloadInProgress

Assertion Name	Definition
neSwDownloadInProgress	IRPAgent has accepted the request to download software and downloadProcessId is
	available

#### 5.9.1.3.2 To State

neSwDownloadSuccessful or neSwDownloadFailed or neSwDownloadPartiallySuccessful.

Assertion Name	Definition
neSwDownloadSuccessful	Software has been successfully downloaded. When multiple software have to be downloaded, it means that all software entities have been downloaded successfully
neSwDownloadFailed	Software has not been downloaded. When multiple software have to be downloaded, it means that no software has been downloaded
neSwDownloadPartiallySuccessful	At least one of the software has not been downloaded (hence can be used only when multiple software need to be downloaded)

#### 5.9.1.4 Constraints

None

## 5.9.2 Notification notifyActivateNESwStatusChanged (M)

#### 5.9.2.1 Definition

This notification, generated by IRPAgent conveys information about the status of the activateNESw operation.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_5	

#### 5.9.2.2 Input parameters

Parameter Name	Qualifier	Matching Information	Comment
objectClass	M, Y	SwMManagedEntity.objectClass	Represents the network element
			object class generating this event.
			Also refer to Notification header [9].
objectInstance	M, Y	SwMManagedEntity.objectInstance	Represents the network element
			instance generating the event. Also
			refer to Notification header [9].
notificationId	O,N		Refer to Notification header [9].
eventTime	M,Y		Refer to Notification header [9].
systemDN	C,Y		Refer to Notification header [9].
notificationType	M,Y	"notifyActivateNESwStatusChanged"	
activateProcessId	M, Y	Integer	To allow IRPManager correlate this notification with the activateNESw operation request. Also Refer to section 5.7.2
activateOperationStatus	M,Y	ENUM{     NE_SWACTIVATION_SUCCESSFUL,     NE_SWACTIVATION_FAILED,     NE_SWACTIVATION_PARTIALLY_SUCCESSFUL }	Provides information on the status of activateNESw operation for the network element involved. When the activation could get completed to on a certain extent, partial success may be used.
swVersion	M, Y	swVersion	The software version which the activateNESw operation has tried to activate, no matter the activateNES operation is successful or failed or partially successful.
failureReason	CM,N	String	The error reason when the activateNESw operation is not successful.  Condition: activateOperationStatus <> NE SWACTIVATION SUCCESSFL

## 5.9.2.3 Triggering Event

#### 5.9.2.3.1 From State

neSwActivationInProgress

Assertion Name	Definition
neSwActivationInProgress	IRPAgent has accepted the request to activate software and activateProcessId is available

#### 5.9.2.3.2 To State

 $\verb"neSwActivationSuccessful" or \verb"neSwActivationFailed" or \verb"neSwActivationPartiallySuccessful".$ 

Assertion Name	Definition
neSwActivationSuccessful	Software has been successfully activated.
neSwActivationFailed	Software has not been activated.
neSwActivationPartiallySuccessful	When activation can be completed only to a certain extent, partial success may be
	used.

#### 5.9.2.4 Constraints

None.

## 5.10 SwMIRPNotifications\_4 Interface (O)

## 5.10.1 Notification notifyInstallNESwStatusChanged (O)

#### 5.10.1.1 Definition

This notification, generated by IRPAgent conveys information about the status of the installNESw operation.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_3	

#### 5.10.1.2 Input parameters

Parameter Name	Qualifier		Comment
objectClass	M, Y		Represents the network element object class
			generating this event. Also refer to Notification header [9].
objectInstance	M, Y	SwMManagedEntity.objectInstance	Represents the network element instance generating the event. Also refer to Notification header [9]
notificationId	O,N		Refer to Notification header [9].
eventTime	M,Y		Refer to Notification header [9].
systemDN	C,Y		Refer to Notification header [9].
notificationType	M,Y	"notifyInstallNESwStatusChanged"	
installProcessId	M, Y		To allow IRPManager correlate this notification with the installNESw operation request. Also refer to section 5.8.1
installOperationStatus	M,Y	ENUM {     NE_SWINSTALLATION_SUCCESSFUL,     NE_SWINSTALLATION_FAILED,     NE_SWINSTALLATION_PARTIALLY_SUCCESSFUL }	Provides information on the status of installNESw operation for the network element involved.
installedNESwInfo	O,N		Information on where the software or version got installed on the NE
failedSwInfo	O,N	LIST <failedsw, failurereason=""></failedsw,>	It provides information on the software which failed in installation

#### 5.10.1.3 Triggering Event

#### 5.10.1.3.1 From State

neSwInstallationInProgress

Assertion Name	Definition	
neSwInstallationInProgress	IRPAgent has accepted the request to install software and installProcessId is available	

#### 5.10.1.3.2 To State

neSwInstallationSuccessful or neSwInstallationFailed or neSwInstallationPartiallySuccessful.

Assertion Name	Definition
neSwInstallationSuccessful	Software has been successfully installed
neSwInstallationFailed	Software has not been installed
neSwInstallationPartiallySuccessful	At least one of software has not been installed

#### 5.10.1.4 Constraints

None.

## 5.11 SwmOperations\_5 Interface (CM)

## 5.11.1 Operation listNaswmProcesses (M)

#### 5.11.1.1 Definition

This operation allows the IRPManager to find out the status of one or several downloadNESw, installNESw or activateNESw operations which have not yet been completed.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_7	

#### 5.11.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
naswmProcessId	0	swM.naswmProcessId	It identifies the ongoing downloadProcessId or
			installProcessId or activateProcessId of the
			operation about which information is to be listed in the
			output.
			If this parameter is not present, all processes of the input
			naswmOperationType are to be listed in the output.
naswmOperationType	M	swM.naswmOperationType	It describes whether information is requested about an
			ongoing downloadNESw, installNESw or
			activateNESw operation.

#### 5.11.1.3 Output parameters

Parameter Name	Qualifie	Matching Information	Comment
	r		
naswmProcessLis	M	swM.naswmProcessLi	Each entry of this list contains:
t		st	naswmProcessId (M), see 5.11.1.2
			neIdentification (M), see 4.5.1
			naswmOperationType (M), see 5.11.1.2
			estimatedRemainingCompletionTime (M) - indicating
			the estimated remaining time until completion of the operation
			in hours, minutes, seconds
			listOfStepNumbersAndDurations (O), see 5.7.1.3
			numberOfCurrentProcessStep (O) - indicating which of
			the steps is currently performed
			estimatedRemainingCompletionTimeForTheCurrentS
			tep (O) – indicating the estimated remaining time until
			completion of the current process step in hours, minutes,
			seconds
result	М	swM.result	result=success and empty naswmProcessList mean: No
			ongoing NASWM process found
reason	0	String	To capture detailed error conditions. The field is empty when
			there is no error.

## 5.12 SwmOperations\_6 Interface (CM)

## 5.12.1 Operation cancelNaswmProcesses (M)

#### 5.12.1.1 Definition

This operation allows the IRPManager to request from the IRPAgent to cancel a download NESw or install NESw or activate NESw operation.

Information on Requirements Traceability:

Referenced TS	Requirement label	Comment
3GPP TS 32.531 [6]	REQ_NASWM_FUN_2,	
	REQ_NASWM_FUN_4,	
	REQ_NASWM_FUN_8	

#### 5.12.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
processId	M	Integer	It describes which
			download/activate/installNESw operation is to
			be cancelled, i.e. gives its
			download/install/activationProcessId as
			provided in the output parameters of
			download/activate/installNESw operation.
naswmOperationType	M	swM.naswmOperationType	It describes whether cancellation is requested of a ongoing
			downloadNESw, installNESw or activateNESw
			operation.

## 5.12.1.3 Output parameters

<b>Parameter Name</b>	Qualifier	<b>Matching Information</b>	Comment
result	М	swM.result	success Or
			operationIsAlreadyCompleted Of noSuchProcess Of failure
reason	0		To capture detailed error conditions. The field is empty when there is no error.

#### 5.12.1.4 Pre condition

Assertion Name	Definition		
operationStoppable	The specified operation is ongoing and can be terminated		

#### 5.12.1.5 Post-condition

downloadedSWIsDeleted or swCanBeActivatedLater or swUninstalled

Assertion Name	Definition
downloadedSWIsDeleted	This post-condition applies if the operation to be cancelled has naswmOperationType
	downloadNESw: If software has been downloaded before the download operation was
	cancelled, then it is deleted.
swCanBeActivatedLater	This post-condition applies if the operation to be cancelled has naswmOperationType
	activateNESw: The software which was not activated can been activated at another
	later time.
swUninstalled	This post-condition applies if the operation to be cancelled has naswmOperationType
	installNESw: The software which was not completely installed is completely
	uninstalled.

## 5.12.1.6 Exceptions

Exception Name	Definition
operationFailed	Condition: Pre-condition is false or post-condition is false.
	Returned Information: The output parameter status.
	Exit state: Entry state.
resourceLimitation	Condition: Operation not performed due to resource limitation.
	Returned Information: The output parameter status.
	Exit state: Entry state.

# Annex A (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2008-12	SP-42	SP-080717			Submitted to SA#42 for information and approval	1.0.0	8.0.0
2009-06	SP-44	SP-090408	001		Correction of naming errors	8.0.0	8.1.0
2009-06	SP-44	SP-090408	002		Add missing start step parameter for resume operation	8.0.0	8.1.0
2009-06	SP-44	SP-090290	003		To add a Non-Automated Software Management operation,	8.1.0	9.0.0
					downloadNESw		
2009-06	SP-44	SP-090290	004		To add a Non-Automated Software Management operation,	8.1.0	9.0.0
2000 00	SP-44	CD 000000	005		activateNESw	0.4.0	0.0.0
2009-06	SP-44	SP-090290	005		To add a Non-Automated Software Management operation, installNESw	8.1.0	9.0.0
2009-06	SP-44	SP-090290	006		Editorial cleanup and correction of various qualifiers for TS 32.532	8.1.0	9.0.0
2009-06	SP-44	SP-090290	007		To update SWM Class diagram to include Non-Automated	8.1.0	9.0.0
					Software Management interfaces		
2009-09	SP-45	SP-090627	800	-	Adding missing Editor's Notes on Automatic software management	9.0.0	9.1.0
2009-09	SP-45	SP-090627	009	-	Remove duplication of SWM functionalities	9.0.0	9.1.0
2009-09	SP-45	SP-090627			To rephrase the definitions of installNESw and activateNESw	9.0.0	9.1.0
			010	-	operations		
2009-09	SP-45	SP-090627			Addition of a new NASWM notification	9.0.0	9.1.0
			011	-	notifyInstallNESwStatusChanged		
2009-09	SP-45	SP-090627			Addition of a new NASWM notification	9.0.0	9.1.0
			012	-	notifyActivateNESwStatusChanged		
2009-09	SP-45	SP-090627	013	-	Adding error reason	9.0.0	9.1.0
2009-09	SP-45	SP-090627			Addition of a new NASWM notification	9.0.0	9.1.0
			014	-	notifyDownloadNESwStatusChanged		
2009-09	SP-45	SP-090627			To update Software Management Class Diagram to include	9.0.0	9.1.0
			015	-	NASWM notifications		
2009-12	SP-46	SP-090719			To move editor notes related to NASWM operations	9.1.0	9.2.0
2009-12	SP-46	SP-090719			Extend requirements traceability table for IOC swmProfile	9.1.0	9.2.0
2009-12	SP-46	SP-090719	018		To update SwManagement IRP Class Diagram	9.1.0	9.2.0
2010-01					Formatting changes (removal of bold for	9.2.0	9.2.1
	00.45	00 10000			notAllowedBecauseOfOngoingSwmActivity)		
2010-03	SP-47	SP-100035			Remove editor notes	9.2.1	9.3.0
2010-03	SP-47	SP-100035			Add clarification to parameter swVersionToBeInstalledOfferList	9.2.1	9.3.0
2010-03	SP-47	SP-100035			Correct the input parameters of notifyActivateNESwStatusChanged	9.2.1	9.3.0
2010-03	SP-47	SP-100035			Rapporteur's cleanup	9.2.1	9.3.0
2010-09	SP-49	SP-100489			Modify the Class diagram representing interfaces	9.3.0	10.0.0
2010-12	SP-50	SP-100833	024	2	Modify the input parameters of notifyActivateNESwStatusChanged	10.0.0	
2010-12	SP-50	SP-100833	027	1	Add NE health check step in automatic software management - Align with NGMN	10.0.0	10.1.0
2010-12	SP-50	SP-100831			Correcting requirements traceability and presence qualifier of	10.0.0	10.1.0
			030	1	notifyNewSwAvailability		
2010-12	SP-50	SP-100833			Correcting the traced requirement of NotifyNewSwAvailability -	10.0.0	10.1.0
			026	1	Align with 32.531		
2012-09	SP-57	SP-120558	035		Correction on TS-family members in introduction	10.1.0	
2012-09	SP-57	SP-120645	037	1	Addition of progress reporting and cancellation of Non-Automated Software Management operations	10.2.0	11.0.0
2014-10	<del> </del>	1_	-	<u> </u>	Update to Rel-12 version (MCC)	11.0.0	12.0.0
2014-10	<del> </del>	+	F	+	Update to Rel-12 version (MCC)		13.0.0
2016-01	C A #7F	+	Ε	F			
	SA#75	+-	ļ <del>-</del>	-	Promotion to Release 14 without technical change	-	14.0.0
2018-06	-	-	-	-	Update to Rel-15 version (MCC)		15.0.0
2020-07	-	-	-	-	Update to Rel-16 version (MCC)	15.0.0	16.0.0

## History

	Document history				
V16.0.0	August 2020	Publication			