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Part 5: Testing Conformance of extended XAdES signatures

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Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Electronic Signatures and Infrastructures (ESI).

The present document is part 5 of a multi-part deliverable covering XAdES digital signatures testing conformance and interoperability. Full details of the entire series can be found in part 1 [i.2].

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

1 Scope

The present document defines the sets of checks required for testing conformance of XAdES signatures against extended XAdES signatures as specified ETSI EN 319 132-2 [2]. It defines only the checks that are specific to extended XAdES signatures. The set of checks that are common to both extended and baseline XAdES signatures, are defined in ETSI TS 119 134-4 [4].

The complete set of checks to be performed by any tool on XAdES extended signatures is the union of the sets defined within the present document and the set of common checks for testing conformance against ETSI EN 319 132-1 [1] and ETSI EN 319 132-2 [2] defined in ETSI TS 119 134-4 [4], as indicated in the normative clauses of the present document.

The present document does not specify checks leading to conclude whether a signature is technically valid or not (for instance, it does not specify checks for determining whether the cryptographic material present in the signature may be considered valid or not). In consequence no conclusion may be inferred regarding the technical validity of a signature that has been successfully tested by any tool conformant to the present document. The only possible inferences are the ones explicitly mentioned in the second paragraph of the present clause.

Checks specified by the present document are exclusively constrained to elements specified by ETSI EN 319 132-1 [1] and to certain elements specified by XMLSig [3] that are re-used in XAdES schema definition (like ds:keyInfo).

Regarding XAdES properties, the present document does not addresses the structural requirements that are defined by the XAdES XML Schema that are suitably addressed in ETSI TS 119 134-4 [4]. The present document does not address either requirements that are common to both XAdES signatures as specified in ETSI EN 319 132-1 [1] and ETSI EN 319 132-2 [2].

The present document is intentionally not linked to any software development technology and is also intentionally agnostic on implementation strategies. This is one of the reasons why the test assertions set specified in the present document includes tests on the correctness of the structure of all the elements specified by XAdES [1].

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at http://docbox.etsi.org/Reference.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

[1]	ETSI EN 319 132-1: "Electronic Signatures and Infrastructures (ESI); XAdES digital signatures;
	Part 1: Building blocks and XAdES baseline signatures".

- [2] ETSI EN 319 132-2: "Electronic Signatures and Infrastructures (ESI); XAdES digital signatures; Part 2: Extended XAdES signatures".
- [3] W3C Recommendation (2008): "XML-Signature Syntax and Processing (Second Edition)".
- [4] ETSI TS 119 134-4: "Electronic Signatures and Infrastructures (ESI); XAdES digital signatures Testing Conformance and Interoperability; Part 4: Testing Conformance of XAdES baseline signatures".
- [5] IETF RFC 5035: "Enhanced Security Services (ESS) Update: Adding CertID Algorithm Agility".

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] OASIS Standard: "Test Assertions Model Version 1.0".
- [i.2] ETSI TR 119 134-1: "Electronic Signatures and Infrastructures (ESI); XAdES digital signatures Testing Conformance and Interoperability; Part 1: Overview".

3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

BER	Basic Encoding Rules
CER	Canonical Encoding Rules
DER	Distinguished Encoding Rules
HTTP	Hyper Text Transfer Protocol
OCSP	Online Certificate Status Protocol
OID	Object IDentifier
PER	Packed Encoding Rules
TSP	Trusted Service Providers
URI	Uniform Resource Identifier
URN	Uniform Resource Name

XER XML Encoding Rules XML eXtensible Markup Language

XMLDSIG eXtensible Markup Language Digital SIGnature

4 Overview

The present clause describes the main aspects of the technical approach used for specifying the whole set of tests to be performed for testing conformance to ETSI EN 319 132-2 [2].

In order to test conformance against the aforementioned specification, several types of tests are identified, namely:

- 1) Tests on the signature structure that are directly derived from the part of the XML Schema specified in ETSI EN 319 132-1 [1] that defines elements that are specific to extended XAdES signatures and not incorporated into XAdES baseline signatures. These tests are specified in annex A.
- 2) Tests on the signature structure that are not defined by the XML schema of ETSI EN 319 132-1 [1] and that in consequence may not be tested by a XML Schema validator tool.
- 3) Tests on values of specific elements and/or attributes that cannot be tested by a XML Schema validator tool.
- 4) Tests on interrelationship between different elements present in the signature (URIs that point to certain elements, for instance).
- 5) Tests on computations reflected in the contents of the signatures (for instance message imprints for a time-stamping service, computed by digesting the concatenation of a number of elements of the signature).

No tests are included testing actual validity of the cryptographic material that might be present at the signature or should be used for its verification (for instance status of certificates).

Tests are defined as test assertions following the work produced by OASIS in "Test Assertions Model Version 1.0" [i.1]. The structure of the test assertions is defined in ETSI TS 119 134-4 [4].

For each XAdES qualifying property, and for certain relevant elements specified in XMLDSIG [3], the present document defines a number of test assertions corresponding to the requirements specified in the aforementioned specifications.

ETSI EN 319 132-2 [2] defines requirements for extended XAdES signatures, where the degree of optionality is higher than in XAdES baseline signatures. For the purpose of identifying the whole set of test assertions required for testing conformance against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], all the requirements defined within the present document are grouped in the group "XAdES_ES", after "XAdES extended signatures."

The set of test assertions to check when the conformance of a certain XAdES signature is tested against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], shall be the addition of the set of test assertions with code "XAdES_BB" in ETSI TS 119 134-4 [4], the set of test assertions with code "XAdES_SCH" in ETSI TS 119 134-4 [4], and the set of test assertions defined within the present document.

Table 1 shows the prefixes used to refer to specific elements in the XAdES signature associated to the URIs of the corresponding namespaces.

 XML Namespace URI
 Prefix

 http://www.w3.org/2000/09/xmldsig#
 ds

 http://uri.etsi.org/01903/v1.3.2#
 xades

 http://uri.etsi.org/01903/v1.4.1#
 xadesv141

Table 1: Prefixes used

Testing conformance to E-BES level of extended XAdES signatures

5.1 Introduction

The present clause specifies the set of assertions, not directly derived from XAdES XML schema and specific to only XAdES-E-BES signatures, to be tested on applications claiming conformance to the XAdES- E-BES signatures as specified in ETSI EN 319 132-2 [2].

Clause 5.2 specifies the assertions for testing conformance on elements that are specified by the W3C XML Signature Recommendation [3].

Clause 5.3 specifies the assertions for testing conformance on the XAdES qualifying properties.

5.2 Testing XML Signature elements and containers of XAdES qualifying properties

5.2.1 Testing XML Signature elements

5.2.1.1 Testing ds:Signature element

TA id: XADES_ES/XMLSIG/DSIG/1

Normative source: [1] Clause 4.3, [2] - Clause 4.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Predicate: The signature has 0 or more ds:Object children.

Prescription level: mandatory
Tag: extended XAdES signatures.

5.2.1.2 Testing ds:KeyInfo element

TA id: XADES_ES/XMLSIG/KEYINFO/1

Normative source: [1] Clause 4.3, [2] - Clause 4.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Prerequisite: The signature does not incorporate the SigningCertificateV2 signed property.

Predicate: One of its ds:X509Certificate descendant elements contains the signing certificate of the

XAdES signature AND this element is signed by the signature itself.

Prescription level: mandatory
Tag: extended XAdES signatures.

5.2.2 Testing containers of XAdES qualifying properties and references to containers of XAdES qualifying properties

5.2.2.1 Testing incorporation of XAdES qualifying properties to the signature

For testing that the incorporation of XAdES qualifying properties is conformant, the following test assertions shall apply:

TA id: XAdES_ES/PROPERTIES/INCORPORATION/1

Normative source: [1]- Clause 4.4.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The signature has 0 or more xades:QualifyingPropertyReferences descendant elements.

Prescription level: mandatory
Tag: extended XAdES signatures.

TA id: XAdes_es/properties/incorporation/2

Normative source: [1]- Clause 4.4.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The signature contains 0 or one xades: Qualifying Properties descendant elements.

Prescription level: mandatory
Tag: extended XAdES signatures.

TA id: XAdES_ES/PROPERTIES/INCORPORATION/3

Normative source: [1]- Clause 4.4.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

 $\label{eq:pre-equisite:} \begin{tabular}{ll} Pre-equisite: The XAdES signature contains 0 or more xades: Qualifying Property References descendant elements. \end{tabular}$

Predicate: All the xades:QualifyingPropertyReferences elements are children of the same ds:Object element.

Prescription level: mandatory

Tag: extended XAdES signatures.

TA id: XAdes_es/properties/incorporation/3

Normative source: [1]- Clause 4.4.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Prerequisite: The XAdES signature contains one xades:QualifyingProperties descendant element. Predicate: The xades:QualifyingProperties element is child of one of the ds:Object element.

Prescription level: mandatory
Tag: extended XAdES signatures.

TA id: XAdES_ES/PROPERTIES/INCORPORATION/4

Normative source: [1]- Clause 4.4.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Prerequisite: The XAdES signature contains some xades:QualifyingPropertyReferences descendant elements and one xades:QualifyingProperties descendant element.

Predicate: All the xades:QualifyingPropertyReferences elements and the xades:QualifyingProperties are children of the same ds:Object element.

Prescription level: mandatory Tag: extended XAdES signatures.

5.2.2.2 Testing xades:QualifyingPropertiesReference

For testing conformance of xades: QualifyingPropertiesReference element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions specified in clause A.2 of the present document, and the following test assertions shall apply:

TA id: XAdES_ES/QPREFS/1 Normative source: [1]- Clause 4.4.1 Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2] Predicate: The URI attribute of xades:QualifyingPropertiesReference element contains a bare-name XPointer fragment AND references an external xades: Qualifying Properties element. Prescription level: mandatory Tag: extended XAdES signatures. TA id: XAdES_ES/QPREFS/2 Normative source: [1]- Clause 4.4.1 Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2] Predicate: In the value of the URI attribute of xades:QualifyingPropertiesReference element, the not-fragment part identifies the enclosing document, and the bare-name XPointer fragment identifies the xades:QualifyingProperties element. Prescription level: mandatory

5.2.2.3 Testing xades:QualifyingProperties

Tag: extended XAdES signatures.

For testing conformance of xades: QualifyingProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4], clauses 5.2.2.2.1 and A.2.1.1 test assertions shall apply.

5.2.2.4 Testing xades:SignedProperties

For testing conformance of xades: SignedProperties element against extended XAdES signatures, the test assertions defined in ETSI TS 119 134-4 [4], clause A.2.1.2, and the following test assertions shall apply:

```
TA id: XADES_ES/SIGPROP/1
Normative source: [1] Clause 4.3, [2] - Clause 4.2
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Prerequisite: The ds:KeyInfo does not contain the signing certificate of the signature.
Predicate: A XAdES signature incorporates one xades: SignedProperties container element.
Prescription level: mandatory
Tag: extended XAdES signatures.
TA id: XADES_ES/SIGPROP/2
Normative source: [1] Clause 4.3, [2] - Clause 4.2
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Prerequisite: The ds:KeyInfo contains the signing certificate of the signature within one of its
ds:X509Certificate descendants AND this element IS NOT signed by the signature itself.
Predicate: A XAdES signature incorporates one xades:SignedProperties container element.
Prescription level: mandatory
Tag: extended XAdES signatures.
TA id: XAdES_ES/SIGPROP/3
Normative source: [1]- Clause 4.3.2
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Predicate: The xades:SignedProperties element is not an empty element.
Prescription level: mandatory
Tag: extended XAdES signatures.
TA id: XADES_ES/SIGPROP/4
Normative source: [1] Clause 4.3, [2] - Clause 4.2
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Prerequisite: The ds:KeyInfo does not contain the signing certificate of the signature.
Predicate: The xades:SignedProperties element contains one xades:SignedSignatureProperties container
element.
Prescription level: mandatory
Tag: extended XAdES signatures.
```

```
TA id: XADES_ES/SIGSIGPROP/5
```

Normative source: [1] Clause 4.3, [2] - Clause 4.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The ds:KeyInfo contains the signing certificate of the signature within one of its ds:X509Certificate descendants AND this element IS NOT signed by the signature itself.

Predicate: The xades:SignedProperties element contains one xades:SignedSignatureProperties container element.

Prescription level: mandatory
Tag: extended XAdES signatures.

5.2.2.5 Testing xades:SignedSignatureProperties

For testing conformance of xades: SignedSignatureProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4], clause A.2.1.2.2, and the following test assertions shall apply:

```
TA id: XADES_ES/SIGSIGPROP/1
```

Normative source: [1] - Clause 4.3.4

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The xades:SignedSignatureProperties element is not an empty element.

Prescription level: mandatory
Tag: extended XAdES signatures.

TA id: XADES_ES/SIGSIGPROP/2

Normative source: [1] Clause 4.3, [2] - Clause 4.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Prerequisite: The ds:KeyInfo does not contain the signing certificate of the signature.

Predicate: The xades:SignedSignatureProperties element contains one xades:SigningCertificateV2 qualifying property.

Prescription level: mandatory
Tag: extended XAdES signatures.

TA id: XADES ES/SIGSIGPROP/3

Normative source: [1] Clause 4.3, [2] - Clause 4.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Prerequisite: The ds:KeyInfo contains the signing certificate of the signature within one of its ds:X509Certificate descendants AND this element IS NOT signed by the signature itself.

Predicate: The xades:SignedSignatureProperties element contains one xades:SigningCertificateV2 qualifying property.

Prescription level: mandatory
Tag: extended XAdES signatures.

5.2.2.6 Testing xades:SignedDataObjectProperties

For testing conformance of xades: SignedDataObjectProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.2.2.5.1 and A.2.1.2.3 shall apply.

5.2.2.7 Testing xades:UnSignedProperties

For testing conformance of xades: UnsignedProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.2.2.6.1 and A.2.1.3.1 shall apply.

5.2.2.8 Testing xades:UnSignedSignatureProperties

For testing conformance of xades: UnsignedSignatureProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.2.2.7.1 and A.2.1.3.2 test assertions shall apply.

5.2.2.9 Testing xades:UnSignedDataObjectProperties

For testing conformance of xades: UnsignedSignatureProperties element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.2.2.8.1 and A.2.1.3.3 shall apply.

NOTE: So far no property of this type has been specified within XAdES framework. It is out of the scope of the present document to specify any conformance assertion for this property or their potential children other than the assertion above.

5.3 Testing XAdES qualifying properties

5.3.1 Testing xades: Signing Time element

For testing conformance of xades: SigningTime element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clause A.3.2 test assertions shall apply.

5.3.2 Testing xades:SigningCertificateV2 element

For testing conformance of xades: SigningCertificateV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.2.1 and A.3.3, and the following test assertions shall apply:

```
TA id: XADES_ES/SIGCERTV2/1
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
[2]
Predicate: The xades: Cert children do not contain the IssuerSerialV2 child.
Prescription level: preferred
Tag: extended XAdES signatures
TA id: XADES_ES/SIGCERTV2/2
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Predicate: The content of IssuerSerialV2 child element of xades: Cert is the base-64 encoding of one
DER-encoded instance of type IssuerSerial type defined in IETF RFC 5035 [5].
Prescription level: mandatory
Tag: extended XAdES signatures
TA id: XADES_ES/SIGCERTV2/3
Normative source: [1] - Clause 5.2.2
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
[2]
Predicate: The URI attribute value references a place containing the X509 certificate referenced by
its xades:Cert parent element.
Prescription level: mandatory
Tag: extended XAdES signatures
```

5.3.3 Testing xades:CommitmentTypeIndication element

For testing conformance of xades: CommitmentTypeIndication element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.3.1 and A.3.4 shall apply.

5.3.4 Testing xades:DataObjectFormat element

For testing conformance of xades: DataObjectFormat element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.4.1 and A.3.5 shall apply.

5.3.5 Testing xades:SignatureProductionPlaceV2 element

For testing conformance of xades: SignatureProductionPlaceV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.5.1 and A.3.6 shall apply.

5.3.6 Testing xades:SignerRoleV2 element

For testing conformance of xades: SignerRoleV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.6.1 and A.3.7 shall apply.

5.3.7 Testing xades:CounterSignature element

For testing conformance of xades: CounterSignature element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.7.1 and A.3.8, and the following requirements shall apply.

For testing conformance of the countersignature itself against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], its components shall be checked as specified in the present document.

NOTE: ETSI TS 119 134-4 [4] provides details on how to proceed for testing conformance of the countersignature against XAdES extended signatures as specified in ETSI EN 319 132-1 [1].

5.3.8 Testing xades:AllDataObjectsTimeStamp element

For testing conformance of xades:AllDataObjectsTimeStamp element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.8.1 and A.3.9 shall apply.

5.3.9 Testing xades:IndividualDataObjectsTimeStamp element

For testing conformance of xades: IndividualDataObjectsTimeStamp element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.9.1 and A.3.10 shall apply.

5.3.10 Testing properties from upper levels

According to ETSI EN 319 132-2 [2], XAdES-E-BES extended signatures should not incorporate the following qualifying properties: xades:SignaturePolicyIdentifier, xadesv141:SignaturePolicyStore, xades:SignatureTimeStamp, xadesv141:CompleteCertificateRefsV2, xadesv141:AttributeCertificateRefsV2, xades:CompleteRevocationRefs, xadesv141:RefsOnlyTimeStampV2, xades:AttributeRevocationRefs, xadesv141:RefsOnlyTimeStampV2, xadesv141:SigAndRefsTimeStampV2, xades:CertificateValues, xades:AttrAuthoritiesCertValues, xades:RevocationValues, xades:AttributeRevocationValues, xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-BES extended signature can incorporate any of them. The list below specifies how to test these properties in case they are present:

- 1) For testing conformance of xades: SignaturePolicyIdentifier qualifying property against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.10.1 and A.3.11, and the test assertions defined in clauses 6.2.3, 6.2.4, and 6.2.5 of the present document shall apply.
- 2) For testing conformance of xadesv141:SignaturePolicyStore qualifying property against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.11.1 and A.3.12, and the test assertions defined in clause 6.3 of the present document shall apply.

- 3) For testing conformance of xades: SignatureTimeStamp qualifying property against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 6.2.1 and A.3.13 shall apply.
- 4) For testing conformance of xadesv141: CompleteCertificateRefsV2 element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.12.1 and A.3.14, and clause 8.2.3 of the present document shall apply.
- 5) For testing conformance of xadesv141: AttributeCertificateRefsV2 element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.13.1 and A.3.14, and clause 8.3 of the present document shall apply.
- 6) For testing conformance of xades: CompleteRevocationRefs element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.14.1 and A.3.15, and clause 8.4.3 of the present document shall apply.
- 7) For testing conformance of xades: AttributeRevocationRefs element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.15.1 and A.3.15, and clause 8.5 of the present document shall apply.
- 8) For testing conformance of xadesv141:SigAndRefsTimeStampV2 element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.16.1 and A.3.16, and clause 9.2.3 of the present document shall apply.
- 9) For testing conformance of xadesv141: RefsOnlyTimeStampV2 element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.17.1 and A.3.17, and clause 9.3.3 of the present document shall apply.
- 10) For testing conformance of xades: CertificateValues element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.2.1 and A.3.18, and clause 10.2 of the present document shall apply.
- 11) For testing conformance of xades: RevocationValues element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.3.1 and A.3.19, and clause 10.3 of the present document shall apply.
- 12) For testing conformance of xades: AttrAuthoritiesCertValues element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.4.1 and A.3.18, and clause 10.4.3 of the present document shall apply.
- 13) For testing conformance of xades: AttributeRevocationValues element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.5.1 and A.3.19, and clause 10.5.3 of the present document shall apply.
- 14) For testing conformance of xadesv141: TimeStampValidationData element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.6.1 and A.3.20 shall apply.
- 15) For testing conformance of xadesv141:ArchiveTimeStamp element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 8.2.1 and A.3.21, and clause 12.3.3 shall apply.
- 16) For testing conformance of xadesv141:RenewedDigests element against XAdES-E-BES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 8.3.1 and A.3.22 shall apply.

Testing conformance to E-EPES level of extended XAdES signatures

6.1 Introduction

The clause 6 specifies the set of assertions not directly derived from XAdES XML schema to be tested on XAdES qualifying properties corresponding to XAdES-E-EPES level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xades:SignaturePolicyIdentifier, and xadesv141:SignaturePolicyStore.

6.2 Testing xades:SignaturePolicyIdentifier element

6.2.1 General requirements

For testing conformance of xades: SignaturePolicyIdentifier element against XAdES-E-EPES extended signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.10.1 and A.3.11, and clauses 6.2.2, 6.2.3, 6.2.4, and 6.2.5 of the present document shall apply.

6.2.2 Test assertions specific to E-EPES level

TA id: XADES_ES/E_EPES/SIGPOLID/1
Normative source: [2] - clause 4.3

Target: XAdES signature generator claiming conformance to XAdES baseline signatures as specified in

[1]

Predicate: The xades:SignaturePolicyIdentifier property is present in the signature.

Prescription level: mandatory
Tag: extended XAdES signatures

6.2.3 Testing xades:SPURI signature policy qualifier

For testing conformance of xades: SPURI signature policy qualifier against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.10.2.1 and A.3.11.2 shall apply.

6.2.4 Testing xades:SPUserNotice signature policy qualifier

For testing conformance of xades: SPUserNotice signature policy qualifier against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clause A.3.11.3 shall apply.

6.2.5 Testing xadesv141:SPDocSpecification signature policy qualifier

For testing conformance of xadesv141:SPDocSpecification signature policy qualifier against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.10.3.1 and A.3.11.4 shall apply.

6.3 Testing xadesv141:SignaturePolicyStore element

For testing conformance of xadesv141:SignaturePolicyStore element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.11.1 and A.3.12 shall apply.

6.4 Testing properties of upper levels

According ETSI EN 319 132-2 [2], XAdES-E-PES extended signatures should not incorporate the following qualifying properties: xades:SignatureTimeStamp, xadesv141:CompleteCertificateRefsV2, xadesv141:AttributeCertificateRefsV2, xades:CompleteRevocationRefs, xades:AttributeRevocationRefs, xadesv141:RefsOnlyTimeStampV2, xadesv141:SigAndRefsTimeStampV2, xades:CertificateValues, xades:AttrAuthoritiesCertValues, xades:RevocationValues, xades:AttributeRevocationValues, xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-EPES extended signature can incorporate any of them. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-EPES signature, it shall be tested as indicated in clause 5.3.10.

7 Testing conformance to E-T level of extended XAdES signatures

7.1 Introduction

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying property corresponding to XAdES-E-T level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xades:SignatureTimeStamp.

7.2 Testing xades:SignatureTimeStamp element

7.2.1 General requirements

Tag: extended XAdES signatures

For testing conformance of xades: SignatureTimeStamp element against XAdES-E-T extended signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 6.2.1 and A.3.13, and the test assertions defined in clause 7.2.2 of the present document shall apply.

7.2.2 Test assertions specific to E-T level

TA id: XADES_ES/E_T/SIGTST/1
Normative source: [2] - clause 4.3
Target: XAdES signature generator claiming conformance to XAdES baseline signatures as specified in [1]
Predicate: The xades:SignatureTimeStamp property is present in the signature.
Prescription level: mandatory

7.3 Testing properties from upper levels

According ETSI EN 319 132-2 [2], XAdES-E-T extended signatures should not incorporate the following qualifying properties: xadesv141:CompleteCertificateRefsV2, xadesv141:AttributeCertificateRefsV2, xades:CompleteRevocationRefs, xades:AttributeRevocationRefs, xadesv141:RefsOnlyTimeStampV2, xadesv141:SigAndRefsTimeStampV2, xades:CertificateValues, xades:AttrAuthoritiesCertValues, xades:RevocationValues, xades:AttributeRevocationValues, xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-T extended signature can incorporate any of them. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-T signature, it shall be tested as indicated in clause 5.3.10.

8 Testing conformance to E-C level of extended XAdES signatures

8.1 Introduction

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying properties corresponding to XAdES-E-C level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely:

xadesv141:CompleteCertificateRefsV2, xadesv141:AttributeCertificateRefsV2, xades:CompleteRevocationRefs, and xades:AttributeRevocationRefs.

8.2 Testing xadesv141:CompleteCertificateRefsV2 element

8.2.1 General requirements

For testing conformance of xadesv141:CompleteCertificateRefsV2 element against XAdES-E-C extended signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.12.1 and A.3.14, and the test assertions defined in clauses 8.2.2 and 8.2.3 of the present document shall apply.

8.2.2 Test assertions specific to E-C level

TA id: XADES_ES/E_C/CCERTREFSV2/1
Normative source: [2] - Clause 4.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Predicate: The xadesv141:CompleteCertificateRefsV2 property is present in the signature.

Prescription level: mandatory
Tag: extended XAdES signatures

8.2.3 Test assertions no specific to E-C level

TA id: XADES_ES/CCERTREFSV2/1

Normative source: [2] - Clause 4.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: At most one instance of xadesv141:CompleteCertificateRefsV2 property is incorporated into the signature.

Prescription level: mandatory
Tag: extended XAdES signatures

TA id: XADES_ES/CCERTREFSV2/2
Normative source: [2] - Clause 4.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The xades: Cert children do not contain the IssuerSerialV2 child.

Prescription level: preferred Tag: extended XAdES signatures

TA id: XADES_ES/CCERTREFSV2/3
Normative source: [1]- Clause A.1.1

Target XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The content of IssuerSerialV2 element is the base-64 encoding of one DER-encoded instance of type IssuerSerial type defined in IETF RFC 5035 [5].

Prescription level: mandatory
Tag: extended XAdES signatures

8.3 Testing xadesv141:AttributeCertificateRefsV2 element

For testing conformance of xadesv141: AttributeCertificateRefsV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.13.1 and A.3.14, and the following test assertions shall apply:

```
TA id: XADES_ES/ATTCERTREFSV2/1
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Predicate: At most one instance of xadesv141:AttributeCertificateRefsV2 property is incorporated
into the signature.
Prescription level: mandatory
Tag: extended XAdES signatures
TA id: XADES_ES/ATTCERTREFSV2/2
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in
[2]
Predicate: The xades: Cert children do not contain the IssuerSerial V2 child.
Prescription level: preferred
Tag: extended XAdES signatures
TA id: XADES_ES/ATTCERTREFSV2/3
Normative source: [1]- Clause A.1.3
Target XAdES signature generator claiming conformance to XAdES extended signatures as specified in
Predicate: The content of IssuerSerialV2 element is the base-64 encoding of one DER-encoded instance
of type IssuerSerial type defined in IETF RFC 5035 [5].
Prescription level: mandatory
Tag: extended XAdES signatures
```

8.4 Testing xades:CompleteRevocationRefs element

8.4.1 General requirements

For testing conformance of xades: CompleteRevocationRefs element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.14.1 and A.3.15, and the test assertions defined in clauses 8.4.2 and 8.4.3 of the present document shall apply.

8.4.2 Test assertions specific to E-C level

```
TA id: XADES_ES/E_C/CREVREFS/1
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]
Predicate: The xadesv141: CompleteRevocationRefs property is present in the signature.
Prescription level: mandatory
Tag: extended XAdES signatures
```

8.4.3 Test assertions no specific to E-C level

```
TA id: XADES_ES/CREVREFS/1
Normative source: [2] - Clause 4.3
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]
Predicate: At most one instance of xades:CompleteRevocationRefs property is incorporated into the signature.
Prescription level: mandatory
Tag: extended XAdES signatures
```

8.5 Testing xades: AttributeRevocationRefs element

For testing conformance of xades: AttributeRevocationRefs element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.15.1 and A.3.15, and the following test assertions shall apply:

TA id: XADES_ES/ATTREVREFS/1 Normative source: [2] - Clause 4.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Predicate: The xades: AttributeRevocationRefs property is not present in the signature.

Prescription level: preferred Tag: extended XAdES signatures

TA id: XADES_BS/ATTREVREFS/2 Normative source: [2] - Clause 4.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Predicate: At most one instance of xades: AttributeRevocationRefs property is incorporated into the

signature.

Prescription level: mandatory Tag: extended XAdES signatures

Testing properties from upper levels 8.6

According ETSI EN 319 132-2 [2], XAdES-E-C extended signatures should not incorporate the following qualifying properties: xadesv141:RefsOnlyTimeStampV2, xadesv141:SigAndRefsTimeStampV2, xades:CertificateValues, xades:AttrAuthoritiesCertValues, xades:RevocationValues, xades:AttributeRevocationValues, xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-C extended signature can incorporate any of them. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-C signature, it shall be tested as indicated in clause 5.3.10.

Testing conformance to E-X level of extended 9 XAdES signatures

9.1 Introduction

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying properties corresponding to XAdES-E-X level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xadesv141:SiqAndRefsTimeStampV2, xadesv141:RefsOnlyTimeStampV2.

9.2 Testing xadesv141:SigAndRefsTimeStampV2 element

9.2.1 General requirements

For testing conformance of xadesv141: SigAndRefsTimeStampV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.16.1 and A.3.16, and the test defined in clauses 9.2.2 and 9.2.3 assertions shall apply.

9.2.2 Test assertions specific to E-X level

TA id: XADES_ES/SIGREFSTSTV2/1
Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Prerequisite: The XAdES signature does not incorporate any xadesv141:RefsOnlyTimeStampV2 element.

Predicate: XAdES-E-X signatures contain one or more xades:SigAndRefsTimeStamp.

Prescription level: mandatory
Tag: extended XAdES signatures

9.2.3 Test assertions no specific to E-X level

TA id: XADES_ES/SIGREFSTSTV2/2
Normative source: [2] - Clause A.1

 $\textbf{Target:} \ \texttt{XAdES} \ \texttt{signature} \ \texttt{generator} \ \texttt{claiming} \ \texttt{conformance} \ \texttt{to} \ \texttt{XAdES} \ \texttt{extended} \ \texttt{signatures} \ \texttt{as} \ \texttt{specified} \ \texttt{in}$

[2]

Prerequisite: The XAdES signature incorporates one or more xadesv141:RefsOnlyTimeStampV2 element

contains some xades: Include children elements.

Predicate: XAdES-E-X signatures contain one or more xades:SigAndRefsTimeStamp.

Prescription level: permitted Tag: extended XAdES signatures

TA id: XADES_ES/SIGREFSTSTV2/3

Normative source: [1] - Clause A.1.5.1.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The xadesv141:SigAndRefsTimeStampV2 element contains some xades:Include children elements.

Predicate: The message imprint computation input of any time-stamp token encapsulated by xadesv141:SigAndRefsTimeStamp is the concatenation of the objects obtained as specified in ETSI EN

319 132-1 [1] clause A.1.5.1.3. Prescription level: mandatory Tag: extended XAdES signatures

9.3 Testing xadesv141:RefsOnlyTimeStampV2 element

9.3.1 General requirements

For testing conformance of xadesv141: RefsOnlyTimeStampV2 element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 5.3.17.1 and A.3.17 and the test assertions defined in clauses 9.3.2 and 9.3.3 shall apply.

9.3.2 Test assertions specific to E-X level

TA id: XADES_ES/REFSONTSTV2/1
Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Prerequisite: The XAdES signature does not incorporate any xadesv141:SigAndRefsTimeStamp.

 $\textbf{Predicate:} \ \texttt{XAdES-E-X} \ \texttt{signatures} \ \texttt{contain} \ \texttt{one} \ \texttt{or} \ \texttt{more} \ \texttt{xades:RefsOnlyTimeStampV2.}$

Prescription level: mandatory
Tag: extended XAdES signatures

9.3.3 Test assertions no specific to E-X level

TA id: XADES_ES/REFSONTSTV2/2
Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The XAdES signature incorporates one or more xadesv141:SigAndRefsTimeStamp element.

Predicate: XAdES-E-X signatures contain one or more xades:RefsOnlyTimeStampV2.

Prescription level: permitted
Tag: extended XAdES signatures

TA id: XADES_ES/REFSONTSTV2/3

Normative source: [1] - Clause A.1.5.2.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The xadesv141:RefsOnlyTimeStampV2element contains some xades:Include children

elements.

Predicate: The message imprint computation input of any time-stamp token encapsulated by

 $\verb|xadesv141:RefsOnlyTimeStamp| is the concatenation of the objects obtained as specified in \verb|ETSI| EN 319| \\$

132-1 [1] clause A.1.5.2.3.

Prescription level: mandatory
Tag: extended XAdES signatures

9.4 Testing properties from upper levels

According ETSI EN 319 132-2 [2], XAdES-E-X extended signatures should not incorporate the following qualifying properties: xades:CertificateValues, xades:AttrAuthoritiesCertValues,

xades:RevocationValues, xades:AttributeRevocationValues,

 $\verb|xadesv141:TimeStampValidationData|, \verb|xadesv141:ArchiveTimeStamp|, and \\$

xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-X-L extended signature can incorporate any of them. The list below specifies how to test these properties in case they are present. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-X signature, it shall be tested as indicated in clause 5.3.10.

Testing conformance to E-X-L level of extended XAdES signatures

10.1 Introduction

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying properties corresponding to XAdES-E-X-L level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xades:CertificateValues, xades:RevocationValue, xades:AttrAuthoritiesCertValues, and xades:AttributeRevocationValues.

10.2 Testing xades:CertificateValues element

For testing conformance of xades: CertificateValues element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.2.1 and A.3.18, and the following test assertions shall apply:

TA id: XAdES_ES/CERTVALS/1

Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: A XAdES signature contains at most one xades: Certificate Values qualifying property.

Prescription level: mandatory
Tag: extended XAdES signatures

TA id: XAdES_ES/CERTVALS/2

Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Predicate: The xades:CertificateValues property does not include certificates that are present

elsewhere in the XAdES signature. Prescription level: preferred Tag: extended XAdES signatures

10.3 Testing xades:RevocationValues element

For testing conformance of xades: RevocationValues element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.3.1 and A.3.19, and the following test assertions shall apply:

```
TA id: XAdes_es/RevVals/1
Normative source: [2] - Clause A.1
Target: XAdes signature generator claiming conformance to XAdes extended signatures as specified in [2]
Predicate: A XAdes signature contains at most one xades:RevocationValues qualifying property.
Prescription level: mandatory
Tag: extended XAdes signatures

TA id: XAdes_es/RevVals/2
Normative source: [2] - Clauses A.1, A.2
Target: XAdes signature generator claiming conformance to XAdes extended signatures as specified in [2]
Predicate: The xades:RevocationValues property does not include revocation values that are present elsewhere in the XAdes signature.
Prescription level: preferred
Tag: extended XAdes signatures
```

10.4 Testing xades: AttrAuthorities CertValues element

10.4.1 General requirements

For testing conformance of xades: AttrAuthoritiesCertValues element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.4.1 and A.3.18, and the test assertions defined in clauses 10.4.2 and 10.4.3 of the present document shall apply.

10.4.2 Test assertions specific to the E-X-L and E-X-Long levels

```
TA id: XAdES_ES/E-X-LONG/ATTCERTVALS/1

Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Prerequisite: The signature incorporates attribute certificate(s) and/or signed assertion(s) AND the rest of elements and properties miss some of the certificates required for their validation.

Predicate: The xades:AttrAuthoritiesCertValues property is present in the signature.

Prescription level: mandatory

Tag: extended XAdES signatures
```

10.4.3 Test assertions no specific to the E-X-L and E-X-Long levels

```
TA id: XAdES_ES/ATTCERTVALS/1
Normative source: [2] - Clause A.1
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]
Predicate: A XAdES-E-X-Long contains at most one xades:AttrAuthoritiesCertValues qualifying property.
Prescription level: mandatory
Tag: extended XAdES signatures

TA id: XAdES_ES/ATTCERTVALS/2
Normative source: [2] - Clause A.1
Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]
Predicate: The xades:AttrAuthoritiesCertValues property does not include certificates that are present elsewhere in the XAdES signature.
Prescription level: preferred
Tag: extended XAdES signatures
```

10.5 Testing xades: Attribute Revocation Values element

10.5.1 General requirements

For testing conformance of xades: AttributeRevocationValues element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.5.1 and A.3.19, and the test assertions defined clauses 10.5.2 and 10.5.3 of the present document shall apply.

10.5.2 Test assertions specific to the E-X-L and E-X-Long levels

TA id: XAdES_ES/E-X-LONG/ATTREVVALS/1 Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

[2]

Prerequisite: The signature incorporates attribute certificate(s) and/or signed assertion(s) AND the rest of elements and properties miss some of the revocation values required for their validation.

Predicate: The xades: AttributeRevocationValues property is present in the signature.

Prescription level: mandatory Tag: extended XAdES signatures

Test assertions no specific to the E-X-L and E-X-Long levels 10.5.3

TA id: XAdES_ES/ATTREVVALS/1

Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: A XAdES-E-X-Long contains at most one xades: AttributeRevocationValues qualifying

property.

Prescription level: mandatory Tag: extended XAdES signatures

TA id: XAdES_ES/ATTREVVALS/2

Normative source: [2] - Clause A.1

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Predicate: The xades: AttributeRevocationValues property does not include revocation values that are present elsewhere in the XAdES signature.

Prescription level: preferred Tag: extended XAdES signatures

Testing properties from upper levels 10.6

According ETSI EN 319 132-2 [2], XAdES-E-X-L extended signatures should not incorporate the following qualifying properties: xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-X extended signature can incorporate any of them. The list below specifies how to test these properties in case they are present. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-X-L signature, it shall be tested as indicated in clause 5.3.10.

Testing conformance to E-X-Long level of extended 11 XAdES signatures

11 1 General requirements

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying properties corresponding to XAdES-E-X-Long level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xades: CertificateValues, xades:RevocationValue, xades:AttrAuthoritiesCertValues, and

xades:AttributeRevocationValues.

In addition to that the following qualifying properties from E-X-Long level should not be present: xadesv141:SigAndRefsTimeStampV2, and xadesv141:RefsOnlyTimeStampV2. Nevertheless, a XAdES-E-X-Long extended signature can incorporate any of them. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-X-Long signature, it shall be tested as indicated in clause 5.3.10.

11.2 Test assertions for qualifying properties specific of the level

For testing conformance of xades: CertificateValues element against XAdES-E-X-Long signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in clause 10.2 of the present document shall apply.

For testing conformance of xades: RevocationValues element against XAdES-E-X-Long signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in clause 10.3 of the present document shall apply.

For testing conformance of xades: AttrAuthoritiesCertValues element against XAdES-E-X-Long signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in clause 10.4 of the present document shall apply.

For testing conformance of xades: AttributeRevocationValues element against XAdES-E-X-Long signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in clause 10.5 of the present document shall apply.

11.3 Testing properties from upper levels

According ETSI EN 319 132-2 [2], XAdES-E-X-Long extended signatures should not incorporate the following qualifying properties: xadesv141:TimeStampValidationData, xadesv141:ArchiveTimeStamp, and xadesv141:RenewedDigests.

Nevertheless, a XAdES-E-X-Long extended signature can incorporate any of them. If any of the aforementioned qualifying properties is incorporated into a XAdES-E-X-Long signature, it shall be tested as indicated in clause 5.3.10.

12 Testing conformance to E-A level of extended XAdES signatures

12.1 Introduction

The present clause specifies the set of assertions not directly derived from XAdES XML schema to be tested on the XAdES qualifying properties corresponding to XAdES-E-A level as specified in ETSI EN 319 132-2 [2], which should not be present in lower levels of extended XAdES signatures, namely: xadesv141:ArchiveTimeStamp, xadesv141:TimeStampValidationData, and xadesv141:RenewedDigests.

12.2 Testing xadesv141:TimeStampValidationData element

12.2.1 General requirements

For testing conformance of xadesv141: TimeStampValidationData element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 7.6.1 and A.3.20, and the test assertions defined in clause 12.2.2 of the present document shall apply.

12.2.2 Test assertions specific to E-A level

TA id: XAdES_ES/E_A/TSTVALDAT/1

Normative source: [2] - Clauses 4.3, A.1, A.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The XAdES-A signature incorporates a xadesv141:ArchiveTimeStamp that time-stamps one

or more XAdES qualifying properties that are containers of time-stamp tokens.

Predicate: The XAdES signature incorporates one xadesv141:TimeStampValidation qualifying property for each time-stamp token incorporated before the last archive time-stamp for which the XAdES

signature did not incorporated all be validation data required for its validation.

Prescription level: preferred Tag: extended XAdES signatures

12.3 Testing xadesv141:ArchiveTimeStamp element

12.3.1 General requirements

For testing conformance of xadesv141: ArchiveTimeStamp element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 8.2.1 and A.3.21, and the test assertions defined in clauses 12.3.2 and 12.3.3 of the present document shall apply.

12.3.2 Test assertions specific to E-A level

TA id: XAdES_ES/E_A/ATSTV141/1

Normative source: [2] - Clauses 4.3, A.1, A.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Predicate: The XAdES-E-A signature incorporates one or more xadesv141:ArchiveTimeStamp qualifying

properties.

Prescription level: mandatory Tag: extended XAdES signatures

Test assertions no specific to E-A level

TA id: XADES_ES/ATSTV141/1

Normative source: [1] - Clause 5.5.2.3

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in

Prerequisite: The xadesv141:ArchiveTimeStamp contains some xades:Include children elements.

Predicate: The message imprint computation input of any time-stamp token encapsulated by

xadesv141:ArchiveTimeStamp is the concatenation of the objects obtained as specified in ETSI EN 319

132-1 [1] clause 5.5.2.3. Prescription level: mandatory Tag: extended XAdES signatures

Testing xadesv141:RenewedDigests element 124

12.4.1 General requirements

For testing conformance of xadesv141: RenewedDigests element against extended XAdES signatures as specified in ETSI EN 319 132-2 [2], the test assertions defined in ETSI TS 119 134-4 [4] clauses 8.3.1 and A.3.22, and the test assertions defined in clause 12.4.2 of the present document shall apply.

Test assertions specific to E-A level 12.4.2

TA id: XAdES_ES/E_A/ RENDIG /1

Normative source: [2] - Clauses 4.3, A.1, A.2

Target: XAdES signature generator claiming conformance to XAdES extended signatures as specified in [2]

Predicate: The XAdES-E-A signature incorporates one or more xadesv141:RenewedDigests qualifying properties.

Prescription level: permitted Tag: extended XAdES signatures

Annex A (normative):

Test assertions derived from XML Schema

A.1 Introduction

This annex specifies test assertions focussed on testing the structure of the elements specified by XAdES [1] according to its XML Schema. The notation used is the same as the one detailed and used in annex A of ETSI TS 119 134-4 [4].

Testing xades: Qualifying Properties Reference

Below follow the test assertions on xades: QualifyingPropertiesReference element.

TA id: XADES_SCH/SCHEMA/QPREF/1 Normative source: [1]- Clause 5.2

Target: XAdES signature generator claiming conformance to extended XAdES signatures as specified in

[2]

Predicate: The xades:QualifyingPropertiesReference element does not have any child element.

Prescription level: mandatory Tag: extended XAdES signatures

TA id: XADES_SCH/SCHEMA/QPREF/2 Normative source: [1]- Clause 5.2

Target: XAdES signature generator claiming conformance to extended XAdES signatures as specified in [2]

Predicate: The set of attributes (leaving apart the attributes declaring namespaces) of one instance of xades:QualifyingPropertiesReference is as follows: noOrder(URI,Id?).

Prescription level: mandatory

Tag: extended XAdES signatures

TA id: XADES_SCH/SCHEMA/QPREF/3 Normative source: [1]- Clause 5.2

Target: XAdES signature generator claiming conformance to extended XAdES signatures as specified in

[2]

Predicate: The value of the attribute URI is a URI reference.

Prescription level: mandatory Tag: extended XAdES signatures

History

Document history				
V1.1.1	April 2012	Publication		
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