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#### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project; Technical Specification Group Services and System Aspects; Telecommunication management; as identified below:

- 32.441 "Trace Management Integration Reference Point (IRP): Requirements".
- 32.442 "Trace Management Integration Reference Point (IRP): Information Service (IS)".
- 32.443 "Trace Management Integration Reference Point (IRP): Common Object Request Broker Architecture (CORBA) Solution Set (SS)".
- 32.445 "Trace Management Integration Reference Point (IRP): eXtensible Markup Language (XML) file format definition".

The present document is part of a TS-family which describes the information service necessary for the Telecommunication Management (TM) of 3G systems. The TM principles and TM architecture are specified in 3GPP TS 32.101 [1] and 3GPP TS 32.102 [2].

Trace provides very detailed information on call level for a specific subscriber or MS. This data is an additional information source to Performance Measurements and allows deeper investigations in problems solving or in case of optimization.

## 1 Scope

The present document describes the mechanism used for control and configuration of the Trace, Minimization of Drive Test (MDT) and Radio Link Failure (RLF) reporting functionality through Itf-N. This specification is applicable to UMTS networks and EPS networks. GSM Trace is outside of the scope of this specification.

The conditions for supporting Network Sharing are stated in 3GPP TS 32.441 [13].

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".
[2]	3GPP TS 32.102: "Telecommunication management; Architecture".
[3]	3GPP TS 32.150: "Telecommunication management; Integration Reference Point (IRP) Concept and definitions".
[4]	Void.
[5]	3GPP TS 32.622: "Telecommunication management; Configuration Management (CM); Generic network resources Integration Reference Point (IRP); Network Resource Model (NRM)".
[6]	3GPP TS 32.302: "Telecommunication management; Configuration Management (CM); Notification Integration Reference Point (IRP): Information Service (IS)".
[7]	3GPP TS 32.342: "Telecommunication management; File Transfer (FT) Integration Reference Point (IRP): Information Service (IS)".
[8]	3GPP TS 32.312: "Telecommunication management; Generic Integration Reference Point (IRP) management: Information Service (IS)".
[9]	3GPP TS 32.422: "Telecommunication management; Subscriber and equipment trace; Trace control and configuration management".
[10]	3GPP TS 32.602: "Telecommunication management; Configuration Management (CM); Basic CM Integration Reference Point (IRP): Information Service (IS)".
[11]	3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification"
[12]	3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
[13]	3GPP TS 32.441: "Trace Management Integration Reference Point (IRP): Requirements".
[14]	3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".

#### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TS 32.101 [1], 3GPP TS 32.102 [2], 3GPP TS 32.150 [3] and the following apply:

**IRPAgent:** See 3GPP TS 32.102 [2].

**IRPManager:** See 3GPP TS 32.102 [2]. **MBSFN Area**: See 3GPP TS 36.300 [14]

MBSFN Area Reserved Cell: See 3GPP TS 36.300 [14]

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TS 32.101 [1], 3GPP TS 32.102 [2], 3GPP TS 32.150 [3] and the following apply:

IOC Information Object Class
IRP Integration Reference Point
IS Information Service

IS Information Service

MBMS Multimedia Broadcast Multicast Services
MBSFN MBMS over a Single Frequency Network

MDT Minimization of Drive Tests
OMG Object Management Group

RCEF RRC Connection Establisment Failure

RLF Radio Link Failure

UML Unified Modelling Language (OMG)

## 4 System Overview

## 4.1 System context

The general definition of the System Context for the present IRP is found in 3GPP TS 32.150 [3] subclause 4.7.

In addition, the set of related IRP(s) relevant to the present IRP is shown in the two diagrams below.

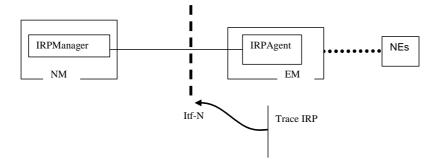


Figure 4.1.1: System Context A

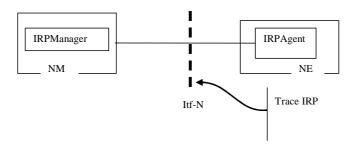


Figure 4.1.2: System Context B

## 4.2 Compliance rules

For general definitions of compliance rules related to qualifiers (Mandatory/Optional/Conditional) for *operations*, *notifications and parameters* (of operations and notifications) please refer to 3GPP TS 32.150 [3].

## 5 Information Object Classes

## 5.1 Imported information entities and local labels

Label reference	Local label
3GPP TS 32.622 [5], information object class, Top	Тор
3GPP TS 32.622 [5], information object class, IRPAgent	IRPAgent
3GPP TS 32.622 [5], information object class, GenericIRP	GenericIRP
3GPP TS 32.302 [6], information object class, NotificationIRP	NotificationIRP
3GPP TS 32.342 [7], information object class, FileTransferIRP	FileTransferIRP
3GPP TS 32.602 [10], information object class, ManagedEntity	ManagedEntity

## 5.2 Class diagram

## 5.2.1 Attributes and relationships

This clause introduces the set of Information Object Classes (IOCs) that encapsulate information within the IRPAgent. The intent is to identify the information required for the TraceIRP implementation of its operations and notification emission. This clause provides the overview of all support object classes in UML. Subsequent clauses provide more detailed specification of various aspects of these support object classes.

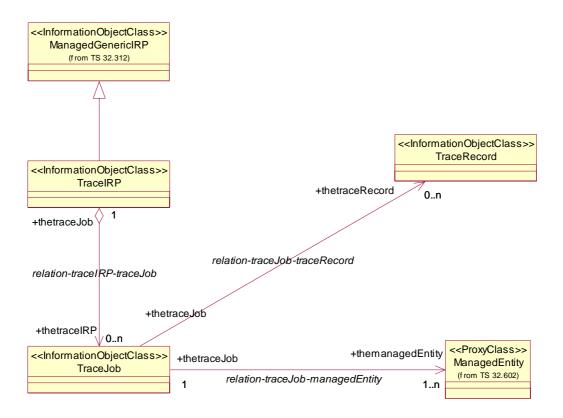
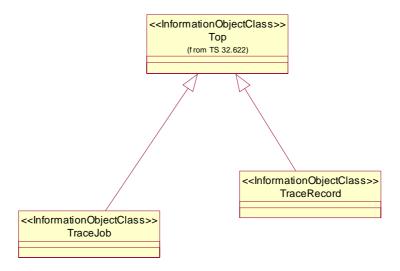


Figure 5.2.1: Information Object Class (IOC) UML diagram

#### 5.2.2 Inheritance



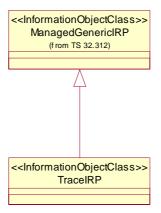


Figure 5.2.2: Information Object Class Inheritance UML Diagram

## 5.3 Information object class definitions

#### 5.3.1 TraceJob

#### 5.3.1.1 Definition

It represents a task that controls the Trace Sessions and collects the trace data (i.e. collects the TraceRecord of multiple ManagedEntity instances). The TraceReference is a unique ID, which identifies the Trace Session that has been created by the TraceJob and activated to one or multiple ManagedEntity instance(s).

It represents also the task that controls the UE based network performance measurements.

When a TraceJob is created the following attributes cannot be modified via the Itf-N:

- TraceReference
- ListOfInterfaces
- ListofNeTypes
- TraceDepth
- TraceTarget
- TriggeringEvent
- JobType
- areaScope
- ListOfMeasurements
- ReportingTrigger
- ReportInterval
- ReportAmount
- EventThreshold
- LoggingInterval
- LoggingDuration
- IPAddressOfTCE
- AnonymizationofMDTData
- MeasurementPeriodLTE
- MeasurementPeriodUMTS
- CollectionPeriodRrmUmts
- CollectionPeriodRrmLte
- PositioningMethod
- MeasurementQuantity
- PLMNTarget
- MBSFNAreaList

If for any reason the TraceIRP determines that a Trace Session has been activated in its ManagedEntity(ies) the TraceIRP shall emit the "noitfyTraceSessionLocalActivation" notification to the subscribed IRPManagers to inform the active Trace Sessions. The IRPManagers can decide whether they deactivate the Trace Session or keep the Trace Session active. (E.g. if the TraceReference is colliding with an existing TraceJob's TraceReference, the IRPManager may decide to immediately deactivate the Trace Session in that ManagedEntity.)

The TraceJob shall use its information to activate and configure Trace Session(s) in the requested ManagedEntity instance(s). When the TraceIRP determines that there are available TraceRecord files, it shall emit a notification to all subscribed IRPManagers informing the availability of the files. The method and the notification of the available files is described in the File Transfer IRP (3GPP TS 32.342 [7]).

If a TraceJob receives an indication from one of its ManagedEntity that starting a Trace Recording Session is failed for any reason, the "notifyTraceRecordingSessionFailure" notification may be emitted to inform all subscribed IRPManagers that there was a Trace Recording Session that was not started in the ManagedEntity.

#### 5.3.1.2 Attributes

Attribute name	Support Qualifier
traceReference	М
listOfInterfaces	0
listOfNeTypes	CM
traceDepth	CM
traceTarget	М
triggeringEvent	CM
traceCollectionEntityAddress	М
jobType	М
listOfMeasurements	CM
reportingTrigger	CM
reportInterval	CM
reportAmount	CM
eventThreshold	CM
loggingInterval	CM
loggingDuration	CM
areaScope	CM
anonymizationOfMDTData	CM
measurementPeriodLTE	CM
measurementPeriodUMTS	CM
collectionPeriodRrmUmts	CM
collectionPeriodRrmLte	CM
positioningMethod	CM
measurementQuantity	CM
pLMNTarget	CM
mBSFNAreaList	CM

#### 5.3.1.3 Attribute constraints

The listOfNeTypes attributes shall be present only for Signalling Based Activation.

The traceTarget shall be public ID in case of a Management Based Activation is done to an ScscfFunction. The TraceTarget shall be cell only in case of the UTRAN cell traffic trace function.

The TraceTarget shall be E-UtranCell only in case of E-UTRAN cell traffic trace function. The traceTarget shall be either IMSI or IMEI(SV) if the Trace Session is activated to any of the following ManagedEntity(ies):

- HssFunction
- MscServerFunction
- SgsnFunction
- GgsnFunction
- BmscFunction
- RncFunction
- MmeFunction

The traceTarget shall be IMSI if the Trace Session is activated to a ManagedEntity playing a role of ServinGWFunction.

In case of subscription based MDT, the traceTarget attribute shall be able to carry (IMSI or IMEI(SV)), the areaScope attribute shall be able to carry a list of (cell or EUtranCell or TA/LA/RA).

In case of area based Immediate MDT, the traceTarget attribute shall be null value, the areaScope attribute shall carry a list of (Utrancell or E-UtranCell).

In case of area based Logged MDT, the traceTarget attribute shall carry an eNodeBs or a RNC. The Logged MDT should be initiated on the specified eNodeB/RNC in TraceTarget. The areaScope attribute shall carry a list of (Utrancell or E-UtranCell or TA/LA/RA)..

In case of RLF reporting, or RCEF reporting, the traceTarget attribute shall be null value, the areaScope attribute shall carry one or list of eNBs.

- traceTarget: This attribute shall be present if Trace or MDT RLF or RCEF reporting is supported.
- areaScope: This attribute shall be present if MDT is supported.
- triggeringEvent: This attribute shall be present only if Trace is supported.
- listOfMeasurements: This attribute shall be present only if MDT is supported and the JobType attribute is set to ImmediateMDT.
- reportingTrigger: This attribute shall be present only if MDT is supported and the JobType attribute is set to ImmediateMDT and the ListOfMeasurements attribute is configured for M1 (for both UMTS and LTE) or M2 (only for UMTS).
- reportInterval: This attribute shall be present only if MDT is supported and the JobType attribute is set to ImmediateMDT and the ReportingTrigger is configured for PeriodicMeasurements
- reportAmount: This attribute shall be present only if MDT is supported and the JobType attribute is set to ImmediateMDT and the ReportingTrigger attribute is configured for PeriodicMeasurements.
- eventThreshold: This attribute shall be present only if MDT is supported and the JobType attribute is set to ImmediateMDT and the ReportTrigger attribute is configured for A2EventReporting in LTE or 1F/1IEventReporting in UMTS.
- loggingInterval: This attribute shall be present only if MDT is supported and the JobType attribute is set to LoggedMDT or Logged MBSFN MDT.
- loggingDuration: This attribute shall be present only if MDT is supported and the JobType attribute is set to LoggedMDT or Logged MBSFN MDT.
- anonymizationOfMDTData: This attribute shall be present only if MDT is supported and the mdtAreaScope attribute is present.
- measurementPeriodLTE: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combine Trace and Immediate MDT and the listOfMeasurements parameter for LTE has either M4 or M5 measurement set.
- measurementPeriodUMTS: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combine Trace and Immediate MDT and the listOfMeasurements parameter for UMTS has M6 or M7 measurements set.
- collectionPeriodRrmUmts: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combine Trace and Immediate MDT and the listOfMeasurements parameter has any of M3, M4, M5 measurement set in case of UMTS.
- collectionPeriodRrmLte: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combine Trace and Immediate MDT and the listOfMeasurements parameter has any of M2, M3 measurement set in case of LTE.
- positioningMethod: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combine Trace and Immediate MDT.
- measurementQuantity: This attribute shall be present only if MDT is supported and the JobType attribute is set to Immediate MDT or combined Trace and Immediate MDT and the reportingTrigger parameter is set to event 1F.

- pLMNTarget: This attribute shall be present for management based activation when several PLMNs are supported in the RAN.
- mBSFNAreaList: This attribute shall be present only if Logged MBSFN MDT is supported and the JobType attribute is set to Logged MBSFN MDT. This is applicable only for eUTRAN.

#### 5.3.2 TraceRecord

#### 5.3.2.1 Definition

TraceRecord is the representation of the files containing the logged information from the Trace Recording Sessions.

#### 5.3.2.2 Attributes

Attribute name	Visibility	Support Qualifier	Read Qualifier	Write Qualifier
fileName	+	M	M	-

#### 5.3.3 TraceIRP

#### 5.3.3.1 Definition

TraceIRPis the representation of the trace management capabilities specified by the present document. This IOC inherits from ManagedGenericIRP IOC specified in 3GPP TS 32.312 [8].

#### 5.3.4 ManagedEntity

#### 5.3.4.1 Definition

In case of Signalling Based Activation the ManagedEntity represents the role that can be played by an instance of one of the following IOCs:

- HssFunction
- MscServerFunction
- SgsnFunction
- MmeFunction

In case of Management Based Activation the ManagedEntity represents the role that can be played by an instance of the following IOCs:

- HssFunction
- MscServerFunction
- SgsnFunction
- GgsnFunction
- BmscFunction
- RncFunction
- CscfFunction
- MmeFunction
- ServingGWFunction

In case of Cell Traffic Trace the ManagedEntity represents the role that can be played by an instance of the following IOCs:

- UtranCell
- E-UtranCell

## 5.4 Information relationship definitions

#### 5.4.1 relation-traceIRP-traceJob (M)

#### 5.4.1.1 Definition

This represents the relationship between TraceIRP and the TraceJob.

#### 5.4.1.2 Roles

Name	Definition
theTraceIRP	It represents the TraceIRP
theTraceJobList	It represents the TraceJobList

#### 5.4.2 relation-traceJob-managedEntity (M)

#### 5.4.2.1 Definition

This represents the relationship between TraceJob and the ManagedEntity.

#### 5.4.2.2 Roles

Name	Definition	
theManagedEntity	The ManagedEntity, when playing this role, represents the actual network resource instance	
	where a Trace Session is activated.	
theTraceJob	It represents the TraceJob	

## 5.4.3 relation-traceJob-traceRecord (M)

#### 5.4.3.1 Definition

This represents the relationship between TraceJob and the TraceRecord.

#### 5.4.3.2 Roles

Name	Definition
theTraceJob	It represents the TraceJob
theTraceRecord	It represnts the TraceRecord.

- 5.5 Information attribute definitions
- 5.5.1 Definition and legal values

Attribute Name	Definition	Legal Values
anonymizationOfMDTData	It specifies the level of anonymization for an area based MDT.	See 3GPP TS 32.422 [9]
areaScope	MDT job. For RLF and RCEF reporting it specifies the eNB or list of eNBs where the RLF or RCEF reports should	List of cells/TA/LA/RA for subscription based MDT or area based Logged MDT. List of cells for area based Immediate MDT.
		Cell, TA, LA, RA are mutually exclusive. One or list of eNBs for RLF and RCEFreporting
collectionPeriodRrmLte	configured measurement samples for M2, M3 in LTE. The attribute is applicable only for Immediate MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
collectionPeriodRrmUmts	configured measurement samples for M3, M4, M5 in UMTS. The attribute is applicable only for Immediate MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
eventThreshold	It specifies the threshold which should trigger the reporting in case A2 event reporting in LTE or 1F/1I event in UMTS. The attribute is applicable only for Immediate MDT and when reportingTrigger is configured for A2 event in LTE or 1F event or 1I event in UMTS. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9] , 3GPP TS 25.331 [11] , 3GPP TS 36.331 [12]
jobType	It specifies the MDT mode and it specifies also whether the TraceJob represents only MDT, Logged MBSFN MDT, Trace or a combined Trace and MDT job. The attribute is applicable for Trace, MDT, RCEF and RLF reporting.	See 3GPP TS 32.422 [9]
listOfInterfaces		See 3GPP TS 32.422 [9]
listOfMeasurements		See 3GPP TS 32.422 [9]
listOfNETypes	It specifies in which type of ManagedFunction the trace should be activated. The attribute is applicable only for Trace with Signalling Based Trace activation. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
loggingDuration	It specifies how long the MDT configuration is valid at the UE in case of Logged MDT. The attribute is applicable only for Logged MDT and Logged MBSFN MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
loggingInterval	It specifies the periodicty for Logged MDT. The attribute is applicable only for Logged MDT and Logged MBSFN MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9], 3GPP TS 25.331 [11] , 3GPP TS 36.331 [12]
measurementPeriodLTE	It specifies the measurement period for the Data Volume and Scheduled IP throughput measurements for MDT taken by the eNB. The attribute is applicable only for Immediate MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]

Attribute Name	Definition	Legal Values
measurementPeriodUMTS	It specifies the measurement period for the Data Volume and Throughput measurements for MDT taken by RNC. The attribute is applicable only for Immediate MDT. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
measurementQuantity		See 3GPP TS 32.422 [9]
pLMNTarget		See 3GPP TS 32.422 [9]
positionigMethod	It specifies what positioning method should be used in the MDT job.	
reportAmount	It specifies the number of measurement reports that shall be taken for periodic reporting while the UE is in connected. The attribute is applicable only for Immediate MDT and when reportingTrigger is configured for periodical measurements. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
reportInterval	It specifies the interval between the periodical measurements that shall be taken when the UE is in connected mode. The attribute is applicable only for Immediate MDT and when reportingTrigger is configured for periodical measurements. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
reportingTrigger		See 3GPP TS 32.422 [9]
traceCollectionEntityAddress		See 3GPP TS 32.422 [9]
traceDepth	It specifies the trace depth of the  ManagedEntityFunction instances. The attribute is applicable only for Trace. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]
traceReference	A globally unique identifier, which uniquely identifies the Trace Session that is created by the TraceJob.  In case of shared network, it is the MCC and MNC of the Participating Operator that request the trace session that shall be provided.  The attribute is applicable for both Trace and MDT.	See 3GPP TS 32.422 [9]
traceTarget	It specifies the target object of the Trace and MDT. The attribute is applicable for both Trace and MDT. This attribute includes the ID type of the target and the ID value.	The ID type may be IMSI or IMEI or IMEISV or Public ID or Private ID or a Cell or an eNB or a RNC. The ID value can be a string.  If the ID type is a Cell, the ID value can be identified by its DN id.  IMSI, IMEI, IMEISV, Public ID, cell, eNB and RNC are mutually exclusive.
triggeringEvent	It specifies the triggering event parameter of the trace session. The attribute is applicable only for Trace. In case this attribute is not used, it carries a null semantic.	See 3GPP TS 32.422 [9]

Attribute Name	Definition	Legal Values
	The MBSFN Area consists of a MBSFN Area ID and Carrier Frequency (EARFCN). The target MBSFN area List can have up to 8 entries. This parameter is applicable only if the job type is Logged MBSFN MDT.	See 3GPP TS 32.422 [9]

## 6 Interface Definition

## 6.1 Class diagram representing interfaces

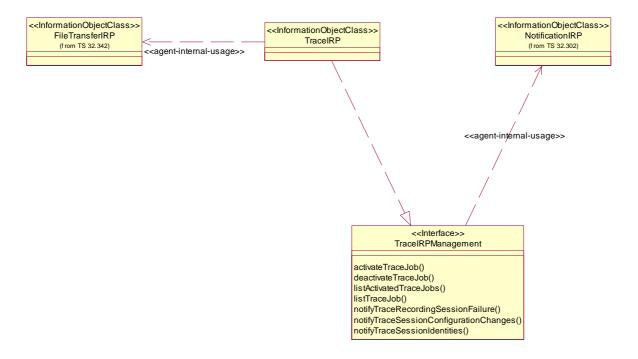


Figure 6.1: Class Diagram

#### 6.2 Generic rules

- **Rule 1:** each operation with at least one input parameter supports a pre-condition valid\_input\_parameter which indicates that all input parameters shall be valid with regards to their information type. Additionally, each such operation supports an exception operation\_failed\_invalid\_input\_parameter which is raised when pre-condition valid\_input\_parameter is false. The exception has the same entry and exit state.
- **Rule 2:** each operation with at least one optional input parameter supports a set of pre-conditions supported\_optional\_input\_parameter\_xxx where "xxx" is the name of the optional input parameter and the pre-condition indicates that the operation supports the named optional input parameter. Additionally, each such operation supports an exception operation\_failed\_unsupported\_optional\_input\_parameter\_xxx which is raised when (a) the pre-condition supported\_optional\_input\_parameter\_xxx is false and (b) the named optional input parameter is carrying information. The exception has the same entry and exit state.
- **Rule 3:** each operation shall support a generic exception operation\_failed\_internal\_problem which is raised when an internal problem occurs and that the operation cannot be completed. The exception has the same entry and exit state.

NOTE: These rules are mapped at the solution set level. Pre-conditions and exceptions, generated by these rules, need not appear explicitly in the present document.

## 6.3 TraceIRPManagement (M)

## 6.3.1 Operation activateTraceJob (M)

#### 6.3.1.1 Definition

This operation support IRPManager's request to create a TraceJob through Itf-N.

Once the TraceJob has been created, the attributes of the TraceJob will not be modified during the lifetime of the TraceJob.

One TraceJob can manage Trace Sessions in one or more ManagedEntity.

6.3.1.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
iOCInstance	M	ManagedEntity.objectInstance	It specifies the DN of ManagedEntity instance where Trace
			Session is to be activated.
listOfInterfaces	0	TraceJob.listOfInterfaces	activated.
listOfNeTypes	CM	TraceJob.listOfNeTypes	It specifies the type of
			ManagedFunctions.
traceDepth	М	TraceJob.traceDepth	It shows the
			traceDepth set to the Trace Session.
traceReference	М	TraceJob.traceReference	It identifies the
			TraceSession.
traceTarget	М	TraceJob.traceTarget	IMSI or IMEI or
			IMEISV or Public ID
			or Private ID or a Cell(identified by its
			DN id) or an eNB or a
			RNC.
			IMSI, IMEI,
			IMEISV, Public ID,
			Cell, eNB and RNC are mutually
			exclusive.
triggeringEvent	CO	TraceJob.triggeringEvent	
traceCollectionEntityAddress	CM,CO	TraceJob.traceCollectionEntityAddress	
			address to the Trace
			Collection Entity that is associated to the
			TraceJob. See
			3GPP TS 32.422 [9].
jobType	М	TraceJob.jobType	It specifies the type of the TraceJob
areaScope	СМ	TraceJob.areaScope	It specifies MDT area (Cells/TA/RA/LA)
			where the Logged
			MDT measurements
			or Logged MBSFN MDT shall be
			collected.
			It specifies one or list
			of eNBs where the
			RLF or RCEF reports
			shall be collected. It specifies MDT area
			(list of cells) where
			the Immediate MDT
			measurements shall
listOfMeasurements	CM	TraceJob.listOfMeasurements	be collected.
11stOrMeasurements	Civi	liaceJob. HistorMeasurements	It specifies the measurements to be
			collected from the UE
reportingTrigger	CM	TraceJob.reportingTrigger	It specifies the
			reporting trigger (event based
			reporting or periodic
report Interval	CM	Trage Job, report Interval	reporting) in the UE.
reportInterval	СМ	TraceJob.reportInterval	It specifies the interval between the
			periodical
			measurements to be
			taken by the UE.

Parameter Name	Qualifier	Information type	Comment
reportAmount	CM	TraceJob.reportAmount	It specifies the
			number of measurement reports
			to be taken in
			periodical reporting in
			the UE
eventThreshold	СМ	TraceJob.eventThreshold	It specifies the threshold triggering
			the reporting in case
			of A2 event reporting
			in LTE or 1F/1I event
loggingInterval	CM	TraceJob.loggingInterval	reporting in UMTS. It specifies the
10ggingineer var	Civi	litaccoob. 10gg ing interval	periodicity of Logged
			MDT or Logged
			MBSFN MDT.
loggingDuration	СМ	TraceJob.loggingDuration	It specifies the duration of the
			Logged MDT or
			Logged MBSFN MDT
			at the UE.
anonymizationOfMDTData	СМ	TraceJob.anonymizationOfMDTData	It specifies the anonymization level
			of an area based
			MDT.
measurementPeriodLTE	CM	TraceJob.measurementPeriodLTE	It specifies the
			measurement period for the Data Volume
			and Scheduled IP
			Throughput
			measurements in the
			eNB within an
measurementPeriodUMTS	CM	TraceJob. measurementPeriodUMTS	Immediate MDT job. It specifies the
			measurement period
			for the Data Volume
			and Throughput measurements in the
			RNC within an
			Immediate MDT job.
collectionPeriodRrmUmts	CM	TraceJob.collectionPeriodRrmUmts	It specifies the
			collection period for M3, M4, M5 in UMTS
			within an Immediate
			MDT job.
collectionPeriodRrmLte	CM	TraceJob.collectionPeriodRrmLte	It specifies the
			collection period for M2, M3 in LTE within
			an Immediate MDT
			job.
positioningMethod	СО	TraceJob.positioningMethod	It specifies the positioning method to
			be used for the
			Immediate MDT job.
measurementQuantity	CM	TraceJob.measurementQuantity	It specifies which
			measurement should be collected in an
			event triggered
			measurement
n I MAIII a sou a tr	011	The sea Tale and MATTER STATE	collection.
pLMNTarget	СМ	TraceJob.pLMNTarget	It specifies which PLMN that the
			subscriber of the
			session to be
			recorded uses as
			selected PLMN.

Parameter Name	Qualifier	Information type	Comment
mBSFNAreaList	СМ		It specifies MBSFN Area(s) for MBSFN MDT measurement logging.

#### 6.3.1.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
status	М	ENUM (Success, Failure, PartialSuccess)	
unsupportedList	M	List of <managedentity, td="" tracedepth,<=""><td>It specifies what attributes</td></managedentity,>	It specifies what attributes
		ListOfInterfaces, TraceTarget, areaScope,	are not supported when a
		listOfMeasurements,reportingTrigger,	Trace Session is activated.
		reportInterval,reportAmount,eventThreshold,	The list can contain one or
		loggingInterval, loggingDuration,	all of the elements and
		anonymizationOfMDTData, measurementPeriodLTE,	relevant only for error
		measurementPeriodUMTS, positioningMethod,	cases.
		measurementQuantity reason, pLMNTarget)	

#### 6.3.1.4 Pre-condition

 $\verb|validTraceReference|| AND | \verb|validTraceDepth|| AND | \verb|validTraceTarget||$ 

Assertion Name	Definition
validTraceDepth	The traceDepth input parameter is valid.
	The traceReference given is not matching to any existing traceReference value in the
	activated TraceJobs.
validTraceTarget	The traceTarget input parameter is valid.

#### 6.3.1.5 Post-condition

traceSessionActivated

Assertion Name	Definition
traceSessionActivated	The Trace Session identified by the traceReference is activated in the given
	ManagedEntity instances.

#### 6.3.1.6 Exceptions

Exception Name	Definition
invalidTraceDepth	Condition: (validTraceDepth) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.
invalidTraceTarget	Condition: (validTraceTarget) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.
notuniqueTraceReference	Condition: (validTraceReference) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.
pLMNTargetNotSupported	Condition: (validPLMNTarget) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.

#### 6.3.1.7 Constraints

Name	Definition
listOfNeTypes	It is a Signalling Based Activated trace that is requested.
traceCollectionEntityAddress CM, CO qualifier	Mandatory when tracing in EPS is supported; Mandatory when MDT is supported; Optional when tracing in UMTS is supported.
areaScope	MDT is supported or RLF or RCEF reporting is supported
listOfMeasurements	MDT is supported
reportingTrigger	MDT is supported
reportInterval	MDT is supported
reportAmount	MDT is supported
eventThreshold	MDT is supported
loggingInterval	MDT is supported
loggingDuration	MDT is supported
listOfMeasurements	MDT is supported
traceDepth	Trace is supported
triggeringEvent	Trace is supported
anonymizationOfMDTData	MDT is supported
measurementPeriodLTE	MDT is supported
measurementPeriodUMTS	MDT is supported
collectionPeriodRrmUmts	MDT is supported
collectionPeriodRrmLte	MDT is supported
positioningMethod	MDT is supported

pLMNTarget	Several PLMNs are supported in the RAN and a Management Based Activation Trace Session is requested.
mBSFNAreaList	Logged MBSFN MDT is supported

## 6.3.2 Operation deactivateTraceJob (M)

#### 6.3.2.1 Definition

This operation supports IPRManager's request to stop a TraceJob through Itf-N. When this operation is received in the TraceJob shall deactivate the requested Trace Session in the requested ManagedEntity instances.

#### 6.3.2.2 Input parameters

Parameter Name	Qualifier	Information type	Comment
traceReference	М	TraceJob.traceReference	This is a unique ID of the TraceJob
traceTarget	М		(IMSI or IMEI or IMEISV or Public ID or Private ID or a Cell(identified by its DN id) or an eNB or a RNC. IMSI, IMEI, IMEISV,Public ID, Cell, eNB and RNC are mutually exclusive.

#### 6.3.2.3 Output parameters

Parameter Name	Qualifier	Matching	Comment
		Information	
status	M	ENUM (Success,	The operation may fail because of a specified
		Failure)	or an unspecified reason.
traceRecordingSessionReference	CM		This would indicate if a Trace Recording
			Session is ongoing when the deactivation
			command has been given.

#### 6.3.2.4 Pre-condition

validTraceReference

Assertion Name	Definition
	The TraceReference input parameter is valid, which means that the TraceIRP is aware
	of such TraceJob, which has this traceReference value and is aware of the
	ManagedEntity holding such Trace Session.

#### 6.3.2.4 Post-condition

TraceSessionisdeactivated

Assertion Name	Definition
TraceSessionisdeactivate	The Trace Session identified by the traceReference is deactivated in the
	requested ManagedEntity instance and the TraceJob is stopped.

#### 6.3.2.6 Exceptions

Exception Name	Definition
notuniqueTraceReference	Condition: (validTraceReference) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.

## 6.3.3 Operation listTraceJob (M)

#### 6.3.3.1 Definition

This operation support IPRManager's request to list the parameters of a specific TraceJob through Itf-N.

#### 6.3.3.2 Input parameters

<b>Parameter Name</b>	Qualifier	Information type	Comment
traceReference	М	TraceJob.traceReference	It specifies the Trace Session that is requested for
			interrogation.

## 6.3.3.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment	
i0CInstance	М		It specifies the DN of ManagedElement instance where	
		tInstance	a Trace Session is activated.	
listOfInterface	0		It specifies the list of interfaces trace control and	
S		faces	configuration parameter that is associated with the	
			TraceJob. See 3GPP TS 32.422 [9]	
Status	M	ENUM (Success, Failure)	The operation may fail because of a specified or an	
+		Mara and Talla there are Doroth	unspecified reason.	
traceDepth	M	TraceJob.traceDepth	It shows the traceDepth trace control and configuration	
	CM		parameter that is associated to the TraceJob.	
traceRecordingS essionReference	CIVI		This would indicate if a Trace Recording Session is ongoing when the deactivation command has been given.	
traceTarget	M	TraceJob.traceTarget	IMSI or IMEI or IMEISV or Public ID or Private ID or a	
01400141900	171		Cell (identified by its DN id) or an eNB or a RNC.	
			IMSI, IMEI, IMEISV, Public ID, Cell, eNB and RNC are	
			mutually exclusive.	
triggeringEvent	CO	TraceJob.triggeringE	It specifies the triggering event trace control and	
criggeringsvenc		vent	configuration parameter that is associated to the	
			TraceJob. <b>See 3GPP TS 32.422 [9]</b> .	
traceCollection	CM,CO	TraceJob.traceCollec	It specifies the address to the Trace Collection Entity that	
EntityAddress		tionEntityAddress	is associated to the TraceJob. See 3GPP TS 32.422 [9].	
jobType	M	TraceJob.jobType	It specifies the type of the TraceJob. It can be one of the	
			following: Trace, MDT data collection, Trace and MDT data collection, RLF reporting, RCEF reporting.	
areaScope	CM	TraceJob.areaScope	It specifies MDT area (Cells/TA/RA/LA) where the Logged	
areascope	Civi	liaceoub.areascope	MDT measurements shall be collected.	
			It specifies one or list of eNBs where the RLF reports, or	
			RCEF reports shall be collected.	
			It specifies MDT area (list of cells) where the Immediate	
			MDT measurements shall be collected.	
listOfMeasureme	CM		It specifies the measurements to be collected from the UE	
nts	014	rements		
reportingTrigge	CM	TraceJob.reportingTr igger	It specifies the reporting trigger (event based reporting or	
reportInterval	CM		periodic reporting) in the UE.  It specifies the interval between the periodical	
reportineervar	OW	val	measurements to be taken by the UE.	
reportAmount	CM	TraceJob.reportAmoun	It specifies the number of measurement reports to be	
		t	taken in periodical reporting in the UE	
eventThreshold	CM		It specifies the threshold triggering the reporting in case	
		old	of A2 event reporting in LTE or 1F/1I event reporting in	
1 1	014	manage Tala la series su Tarka	UMTS in LTE or 1F/1I event reporting in UMTS	
logginginterval	СМ	rval	It specifies the periodicity of the logging for dowlink pilot	
loggingDuration	CM		strength measurement in Logged MDT.  It specifies the duration of the Logged MDT at the UE.	
roggingDaracion	Civi	tion	it specifies the duration of the Logged MD1 at the OL.	
anonymizationOf	CM	TraceJob.anonymizati	It specifies the anonymization level of an area based	
MDTData		onOfMDTData	MDT.	
measurementPeri	CM		It specifies the measurement period for the Data Volume	
odlTE		PeriodLTE	and Scheduled IP Throughput measurements in the eNB	
	014		within an Immediate MDT job.	
measurementPeri	СМ	TraceJob. measurementPeriodUMT	It specifies the measurement period for the Data Volume and Throughput measurements in the RNC within an	
odUMTS		S	Immediate MDT job.	
collectionPerio	СМ		It specifies the collection period for M3, M4, M5 in UMTS	
dRrmUmts	J	eriodRrmUmts	within an Immediate MDT job.	
collectionPerio	CM	TraceJob.collectionP	It specifies the collection period for M2, M3 in LTE within	
dRrmLte		eriodRrmLte	an Immediate MDT job.	
positioningMeth	CO	TraceJob.positioning	It specifies the positioning method to be used for the	
od		Method	Immediate MDT job.	
measurementQuan	CM		It specifies which measurement should be collected in an	
tity pLMNTarget	CM	Quantity TraceJob.pLMNTarget	event triggered measurement collection.  It specifies which PLMN that the subscriber of the session	
ביייייייייייייייייייייייייייייייייייייי	CIVI	Tracenop. Priminiar der	to be recorded uses as selected PLMN.	
mBSFNAreaList	CM	TraceJob.	It specifies MBSFN Area(s) for MBSFN MDT	
		mBSFNAreaList	measurement logging.	
		•		

#### 6.3.3.4 Pre-condition

validTraceReference

Assertion Name	Definition
validTraceReference	The traceReference input parameter is valid, which means that the TraceIRP is aware of
	such TraceJob, which has this traceReference value and is aware of the
	ManagedEntity holding such Trace Session.

#### 6.3.3.5 Post-condition

TraceSessionFound

Assertion Name	Definition
TraceSessionFound	The TraceIRP has found the requested TraceJob with the traceReference and can read
	the configured parameters.

#### 6.3.3.6 Exceptions

Exception Name	Definition
notuniqueTraceReference	Condition: (validTraceReference) is false.
	Returned Information: output parameter status is set to "Failure".
	Exit state: Entry State.

#### 6.3.3.7 Constraints

Name	Definition
traceCollectionEntityAddress CM,CO	Mandatory when tracing in EPS is supported;
qualifier	Mandatory when MDT is supported;
	Optional when tracing in UMTS is supported.
anonymizationOfMDTData	MDT is supported
areaScope	MDT is supported or RLF reporting is supported
listOfMeasurements	MDT is supported
reportingTrigger	MDT is supported
reportInterval	MDT is supported
reportAmount	MDT is supported
eventThreshold	MDT is supported
loggingInterval	MDT is supported
loggingDuration	MDT is supported
traceDepth	Trace is supported
triggeringEvent	Trace is supported
measurementPeriodLTE	MDT is supported
measurementPeriodUMTS	MDT is supported
collectionPeriodRrmUmts	MDT is supported

collectionPeriodRrmLte	MDT is supported
positioningMethod	MDT is supported
measurementQuantity	MDT is supported
pLMNTarget	Several PLMNs are supported in the RAN and a Managment Based Activation Trace Session is activated.
mBSFNAreaList	Logged MBSFN MDT is supported

### 6.3.4 Operation listActivatedTraceJobs (M)

#### 6.3.4.1 Definition

This operation support IRPManager's request to list all the activated TraceJobs through Itf-N.

#### 6.3.4.2 Input parameters

No input parameters for this operation.

#### 6.3.4.3 Output parameters

Parameter Name	Qualifier	Matching Information	Comment
traceReferenceList		TraceJob.traceRefe rence.objectinstan	The TraceReferenceList provides the identification of each activated Trace Session.  If no TraceReference can be found, then this list is empty and status is "Success"
status	М	ENUM (Success, Failure)	The operation may fail because of a specified or an unspecified reason.

## 6.3.5 Notification notifyTraceRecordingSessionFailure (O)

#### 6.3.5.1 Definition

The TraceIRP notifies all subscribed IRPManagers and the Trace Collection Entity (if its address is provided) if a Trace Recording Session in a ManagedEntity has not been started due to any problem.

#### 6.3.5.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
objectClass	M,Y	TraceIRP.objectClass	Notification header
objectInstance	M,Y	TraceIRP.objectInstance	Notification header
eventTime	M,Y		Notification header
notificationType	M,Y	"notifyTraceRecordingSessionFailure"	Notification header
systemDN	M,Y		Notification header
notificationID	O,Y		Notification header
traceRecordingSessionReference	O,N		The Trace Recording Session Reference may be visible only in signalling based activation.
traceReference	M,Y	TraceJob.traceReference	
reason	O,N		

#### 6.3.5.3 Triggering event

#### 6.3.5.3.1 From state

internalProblemInManagedEntity

Assertion Name	Definition
internalProblemInMa	Because of an internal problem the ManagedEntity cannot start a Trace Recording
nagedEntity	Session.

#### 6.3.5.3.2 To state

newNotificationReported

Assertion Name	Definition						
newNotificationRepo	The " notifyTraceRecordingSessionFailure " notification is emitted to the subscribed						
rted	IRPManager(s).						

## 6.3.6 Notification notifyTraceSessionLocalActivation (M)

#### 6.3.6.1 Definition

The TraceIRP notifies all subscribed IRPManagers if a Trace Session is configured by the Element Manager.

#### 6.3.6.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
objectClass	M,Y	3	Notification header
objectInstance	M,Y	TraceIRP.objectInstance	Notification header
eventTime	M,Y		Notification header
notificationType	M,Y	"notifyTraceSessionLocalActivation"	Notification header
systemDN	M,Y		Notification header
notificationID	O,Y		Notification header
traceReference	M,Y	TraceJob.traceReference	
traceTarget	M,Y	TraceJob.traceTarget	
iOCInstance	M,Y	ManagedEntity.objectInstance	

#### 6.3.6.3 Triggering event

#### 6.3.6.3.1 From state

unknownTraceReference

Assertion Name	Definition
unknownTraceReference	The TraceIRP has detected a traceReference associated to a Trace Session in a
	ManagedEntity that is not initiated via the ltf-N.

#### 6.3.6.3.2 To state

newNotificationReported

Assertion Name	Definition
newNotificationReported	The " notifyTraceSessionLocalActivation " notification is emitted to the
	subscribed IRPManager(s).

## 6.3.7 Notification notifyTraceSessionIdentities (CM)

#### 6.3.7.1 Definition

The  ${\tt TraceIRP}$  or the  ${\tt MmeFunction}$  notifies the Trace Collection Entity about the identities of the subscriber and Equipment in case of tracing a session in E-UTRAN only.

#### 6.3.7.2 Input parameters

Parameter Name	Qualifiers	Matching Information	Comment
objectClass	M,Y	TraceIRP.objectClass, or	Notification
		MmeFunction.objectClass	header
objectInstance	M,Y	TraceIRP.objectInstance, or	Notification
		MmeFunction.objectClass	header
eventTime	M,Y		Notification
			header
notificationType	M,Y	"notifyTraceSessionIds"	Notification
			header
systemDN	M,Y		Notification
			header
notificationID	O,Y		Notification
			header
traceReference	M,Y	TraceJob.traceReference	
traceRecordingSessionReference	M,Y	TraceJob.traceRecordingSessionReference	
traceTarget	M,Y	TraceJob.traceTarget	

#### 6.3.7.3 Triggering event

#### 6.3.7.3.1 From state

**FFS** 

Assertion Name	Definition
FFS	FFS

#### 6.3.7.3.2 To state

**FFS** 

Assertion Name	Definition
FFS	FFS

#### 6.3.7.4 Constraint

Name	Definition
notifyTraceSessionIdentities Notification CM qualifier	Tracing is performed only in E-UTRAN

## Annex A (informative): Change history

Change history								
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Cat	Old	New
Apr 2007		S5-070445			Submitted by SA5 prior SA#36 for Information with the intention to get SA#36 Rel-7 Approval for this TS and the CORBA Solution Set TS 32.443		1.0.0	
Jun 2007	SP-36	SP-070288			Submitted to SA#36 for Approval		1.0.0	7.0.0
Mar 2008	SP-39	SP-080058	0001		Standardize the DN id of UTRAN cell as the identification for the trace target in case of cell traffic trace	F	7.0.0	7.1.0
Dec 2008	SP-42	SP-080846	0002		Introducing EPS in Subscriber and Equipment Trace	С	7.1.0	8.0.0
Dec 2009					Upgrade to Release 9		8.0.0	9.0.0
Jan 2010					Removal of track changes and correction of change history		9.0.0	9.0.1
Dec 2010	SP-50	SP-100833	003	1	Correcting the Identification of IMS Subscriber Tracing - Align with 32.421	F	9.0.1	10.0.0
Mar 2011	SP-51	SP-110102	004	-	Adding Minimization of Drive Tests (MDT) to Trace IRP	В	10.0.0	10.1.0
May 2011	SP-52	SP-110292	007	1	Add areascope parameter as a MDT configuration	F	10.1.0	10.2.0
May 2011	SP-52	SP-110286	800	1	Modify the defintion of traceTarget	С	10.2.0	11.0.0
Dec 2011	SP-54	SP-110716	013	1	Add RLF reporting configuration -Align with 32.422	В	11.0.0	11.1.0
Dec 2011	SP-54	SP-110715	015	2	Support multiple cells in area based MDT	Α	11.0.0	11.1.0
Dec 2011	SP-54	SP-110715	017	2	Add TCE address for UTRAN MDT activation A		11.0.0	11.1.0
March 2012	SP-55	SP-120053	020	1	Inconsistency correction on trace target -Align with 32.422		11.1.0	11.2.0
June- 2012	SP-56	SP-120368	0215		Alignment of the Anonymization parameter with TS 32.422		11.2.0	11.3.0
Sep-2012	SP-57	SP-120571	0216	1	Adding new MDT configuration parameters to align with TS 37.320 and TS 32.422		11.3.0	11.4.0
Sep-2012	SP-57	SP-120571	0219	1	Add missing threshold parameter for UMTS event triggered measurements		11.3.0	11.4.0
		SP-120795	0217	2	Add RCEF reporting	В		
		SP-120795		3	Correction on scope, references and abreviations	F	İ	
		SP-120794	0226	1	Correction of UMTS M2 reporting trigger configuration -Align with 32.422	A		
Dec-2012	SP-58	SP-120796	0227	1	Introducing common MDT measurement period attribute in Trace IRP	В	11.4.0	11.5.0
		SP-120796	0228	1	Addition of Network Sharing	С	Ī	
		SP-120795	0229	1	Add measurement M7	В	Ī	
		SP-120795	0230	-	Combine measurement period parameters for LTE	С	Ī	
Mar-2013	SP-59	SP-130057	0231	1	Editorial corrections in 32.442		11.5.0	11.6.0
Sep-2014	SP-65	SP-140558	0232	-	Addition of missing parameters relating to RCEF		11.6.0	11.7.0
Oct 2014					Automatic upgrade (MCC)		11.7.0	12.0.0
Dec 2014	SP-66	SP-140800	0237	_	Clarify the Trace Reference for shared networks	В	12.0.0	12.1.0
Jun 2015	SP-68	SP-150315	0238	2	Multi-Broadcast Single Frequency Network (MBSFN) Minimization of Drive Tests (MDT) enhancement.	В	12.1.0	13.0.0

	Change history							
Date	Meeting	Tdoc	CR	Rev	Cat	Subject/Comment	New version	
2016-12						Correction of LTE logo (MCC)	13.0.1	
2017-04	SA#75	-	-	-		Promotion to Release 14 without technical change	14.0.0	

## History

Document history								
V14.0.0	April 2017	Publication						