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# Reference RTS/TSGR-0538522vf11 Keywords 5G

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
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The present document is one part of a multi-part Technical Specification (TS) covering the New Radio (NR) User Equipment (UE) conformance specification, which is divided in the following parts:

3GPP TS 38.521-1 [1]: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Range 1 Standalone;

3GPP TS 38.521-2 [2]: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone;

3GPP TS 38.521-3 [3]: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios;

3GPP TS 38.521-4 [4]: NR; User Equipment conformance specification; Radio transmission and reception; Part 4: Performance;

3GPP TS 38.522: NR; User Equipment (UE) conformance specification; Applicability of RF and RRM test cases;

3GPP TS 38.533 [5]: NR; User Equipment (UE) conformance specification; Radio resource management;

# 1 Scope

The present document provides the Implementation Conformance Statement (ICS) proforma for 5G New Radio (NR) User Equipment (UE), in compliance with the relevant requirements.

The present document specifies the recommended applicability statement for the test cases included in 3GPP TS 38.521-1 [1], TS 38.521-2 [2], TS 38.521-3 [3], TS 38.521-4 [4] and TS 38.533 [5]. These applicability statements are based on the features implemented in the UE.

Special conformance testing functions can be found in 3GPP TS 38.509 [6] and the common test environments are included in 3GPP TS 38.508-1 [7]. Common implementation conformance statement (ICS) proforma can be found in 3GPP TS 38.508-2 [8].

The present document is valid for UE implemented according to 3GPP releases starting from Release 15 up to the Release indicated on the cover page of the present document.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document unless the context in which the reference is made suggests a different Release is relevant (information on the applicable release in a particular context can be found in e.g. test case title, description or applicability, message description or content).

| [1] | 3GPP TS 38.521-1: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Range 1 Standalone   |
|-----|---|
| [2] | 3GPP TS 38.521-2: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Range 2 Standalone   |
| [3] | 3GPP TS 38.521-3: NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios |
| [4] | 3GPP TS 38.521-4: NR; User Equipment conformance specification; Radio transmission and reception; Part 4: Performance   |
| [5] | 3GPP TS 38.533: NR; User Equipment (UE) conformance specification; Radio resource management  |
| [6] | 3GPP TS 38.509: 5GS; Special conformance testing functions for User Equipment (UE)  |
| [7] | 3GPP TS 38.508-1: 5GS; User Equipment (UE) conformance specification; Part 1: Common test environment   |
| [8] | 3GPP TS 38.508-2: 5GS; User Equipment (UE) conformance specification; Part 2: Common Implementation Conformance Statement (ICS) proforma                                    |

Editor's note: More specifications need to be added.

[9]

3GPP TR 21.905: Vocabulary for 3GPP Specifications

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [9] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [9].

**EIRP(Link=Link angle, Meas=Link angle):** measurement of the UE such that the link angle is aligned with the measurement angle. EIRP (indicator to be measured) can be replaced by EIS, Frequency, EVM, carrier Leakage, Inband eission and OBW. Beam peak search grids, TX beam peak direction, and RX beam peak direction can be selected to describe Link.

**EIRP(Link=Link angle, Meas=beam peak direction):** measurement of the EIRP of the UE such that the measurement angle is aligned with the beam peak direction within an acceptable measurement error uncertainty.

**Implementation Conformance Statement (ICS):** statement made by the supplier of an implementation or system claimed to conform to a given specification, stating which capabilities have been implemented

ICS proforma: document, in the form of a questionnaire, which when completed for an implementation or system becomes an ICS

**Implementation extra Information for Testing (IXIT):** A statement made by a supplier or implementer of an UEUT which contains or references all of the information (in addition to that given in the ICS) related to the UEUT and its testing environment, which will enable the test laboratory to run an appropriate test suite against the UEUT

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

Intra-band contiguous carrier aggregation: Contiguous carriers aggregated in the same operating band.

Intra-band non-contiguous carrier aggregation: Non-contiguous carriers aggregated in the same operating band.

IXIT proforma: A document, in the form of a questionnaire, which when completed for an UEUT becomes an IXIT

**Protocol Implementation Conformance Statement (PICS):** An ICS for an implementation or system claimed to conform to a given protocol specification

**Protocol Implementation eXtra Information for Testing (PIXIT):** An IXIT related to testing for conformance to a given protocol specification

**Static conformance review**: A review of the extent to which the static conformance requirements are claimed to be supported by the UEUT, by comparing the answers in the ICS(s) with the static conformance requirements expressed in the relevant specification(s)

**TRP**(**Link=Link angle**): measurement of the TRP of the UE such that the measurement angle is aligned with the beam peak direction within an acceptable measurement uncertainty. TX beam peak direction and RX beam peak direction can be selected to describe Link.

NOTE: For requirements based on EIRP/EIS, the radiated interface boundary is associated to the far-field region

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> < Explanation>

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [9].

For the purposes of the present document, the following abbreviations apply:

CA Carrier Aggregation

EN-DC E-UTRA NR-Dual Connection

FR1 Frequency Range 1 (450 MHz - 6000 MHz)
FR2 Frequency Range 2 (24250 MHz - 52600 MHz)
ICS Implementation Conformance Statement
IXIT Implementation eXtra Information for Testing

NR New Radio

PIXIT Protocol Implementation eXtra Information for Testing

SCS System Conformance Statement

SUL Supplementary UpLink

TC Test Case

TRP Total Radiated Power
UEUT User Equipment Under Test

# 4 Recommended test case applicability

The applicability of each individual test is identified in the tables 4.1.1-1 / 4.1.2-1 / 4.1.3-1 / 4.1.4-1 / 4.2-1. This is just a recommendation based on the purpose for which the test case was written.

The test case applicability condition of every test is formally expressed by the use of Boolean expression that are based on parameters (ICS) included in annex A of TS 38.508-2 [8] without reference.

Selection criteria of tested bands and tested CA configurations for each applicable test is formally expressed using group theory based on parameters (ICS) included in annex A of TS 38.508-2 [8] without reference.

Additional information related to the Test Case (TC), e.g. affecting its dynamic behaviour or its execution may be provided as well.

The columns in tables 4.1.1-1 / 4.1.2-1 / 4.1.3-1 / 4.1.4-1 / 4.2-1 have the following meaning:

#### Clause

The clause column indicates the clause number in TS 38.521-1 [1], TS 38.521-2 [2], TS 38.521-3 [3], TS 38.521-4 [4] and TS 38.533 [5] that contains the test body.

#### Title

The title column describes the name of the test and contains the clause title of the clause in TS 38.521-1 [1], TS 38.521-2 [2], TS 38.521-3 [3], TS 38.521-4 [4] and TS 38.533 [5] that contains the test body.

#### Release

The release column indicates the earliest release from which each test case is applicable. It may also indicate a range of releases or a single release to which a test case is applicable.

#### Applicability - Condition

The following notations are used for the applicability column:

R recommended - the test case is recommended to all terminals supporting NR

O optional - the test case is optional

N/A not applicable - in the given context, the test case is not recommended.

Ci conditional - the test is recommended ("R") or not ("N/A") depending on the support of other

items. "i" is an integer identifying a unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF ... THEN (IF ...

THEN ... ELSE...) ELSE ..." is used to avoid ambiguities.

#### Applicability - Comments

This comments column contains a verbal description of the condition included in the applicability column.

#### Tested Bands / CA-Configurations Selection

This column defines a set of bands / CA Configurations the test is to be run for, if the test is applicable. If the set is empty, the test is considered as not applicable.

The following notations are used in the tested bands selection column:

Di Derive the set based on Band Selection Criteria Di defined in table [FFS].

Eli Derive the set based on CA Configurations Selection Criteria Ei defined in table [FFS].

TBD Band selection not defined at this time, in the meantime test all Bands / CA Configurations

Text For more complex selection criteria, or if the criteria are already specified somewhere else in the

spec, text reference to the section is given.

#### Additional Information

This column contains indication if the test case may perform differently depending on the UE capabilities.

NOTE 1: To meet the validation requirements from certification bodies then there is a need to uniquely reference the FDD and TDD branch (i.e. different behaviour within one and the same TC) of common FDD and TDD RF test cases in table 4.1-1. The FDD and TDD branches of common FDD and TDD test cases can be referenced by amending a "FDD" or "TDD" suffix to the test case clause number.

NOTE 2: To meet the validation requirements from certification bodies then there is a need to uniquely reference the 2Rx (UE supports 2 Rx antenna ports in the tested band) and 4Rx (UE supports 4 Rx antenna ports in the tested band) branch of common 2Rx and 4Rx RRM test cases in table 4.2-1. The 2Rx and 4Rx branches of common 2Rx and 4Rx test cases can be referenced by amending a "2Rx" or "4Rx" suffix to the test case clause number. For example for test case 4.2.1 the 2Rx and 4Rx branches can be identified by "4.2.1\_2Rx" and "4.2.1\_4Rx".

Editor's note: The above description will be updated when necessary, for example 1Tx and 2Tx differentiation.

# 4.1 RF conformance test cases

NOTE: To determine applicability of a test case, FGI support in combined or fdd-Add-UE-NR-Capabilities or tdd-Add-UE- NR-Capabilities, as well as supported CBW and SCS in the *RF-Parameters* IE (see TS 38.331) which conveys RF related capabilities for NR operation, is taken into account.

# 4.1.1 FR1 standalone conformance test cases

Table 4.1.1-1: Applicability of RF conformance test cases, ref. TS 38.521-1 [1]

| Clause         | Title  | Release | Appli       | icability                                    | Tested Bands/<br>CA-     | Additional Information         |
|----------------|--|---------|-------------|--|--------------------------|--------------------------------|
|                |  |         | Condition   | Comments                                     | Configurations Selection |                                |
|                |  |         | Transmitter | Characteristics                              |                          |                                |
| 6.2.1          | UE maximum output power                      | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1 PC3                | FR1_D01                  | PC3<br>requirements<br>applied |
|                |  |         |             | UEs supporting<br>5GS FR1 PC2                | FR1_D02                  | PC2<br>requirements<br>applied |
| 6.2.4          | Configured transmitted power                 | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.2C.1         | Configured transmitted power for SUL         | Rel-15  | FFS         | UEs supporting<br>5GS FR1 and SUL            | FFS                      |                                |
| 6.3.1          | Minimum output power                         | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.2          | Transmit OFF power                           | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.3.2        | General ON/OFF time mask                     | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.3.4        | PRACH time mask                              | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.4.2        | Absolute power tolerance                     | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.4.3        | Power Control<br>Relative power<br>tolerance | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.3.4.4        | Aggregate power tolerance                    | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.4.1          | Frequency error                              | Rel-15  | FR1_C01     | UEs supporting 5GS FR1                       | FR1_D01                  |                                |
| 6.4.2.1        | Error Vector<br>Magnitude                    | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.4.2.2        | Carrier leakage                              | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.4.2.3        | In-band emissions                            | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.4.2.4        | EVM equalizer spectrum flatness              | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1                    | FR1_D01                  |                                |
| 6.4A.1.1       | Frequency error for CA (2UL CA)              | Rel-15  | FFS         | UEs supporting<br>5GS FR1 and CA<br>(2UL CA) | FFS                      |                                |
| 6.4A.2.1.<br>1 | Error Vector<br>Magnitude for CA<br>(2UL CA) | Rel-15  | FFS         | UEs supporting<br>5GS FR1 and CA<br>(2UL CA) | FFS                      |                                |
| 6.4A.2.2.<br>1 | Carrier leakage for CA (2UL CA)              | Rel-15  | FFS         | UEs supporting<br>5GS FR1 and CA<br>(2UL CA) | FFS                      |                                |
| 6.4A.2.3.<br>1 | In-band emissions for CA (2UL CA)            | Rel-15  | FFS         | UEs supporting<br>5GS FR1 and CA<br>(2UL CA) | FFS                      |                                |
| 6.5.1          | Occupied bandwidth                           | Rel-15  | C01         | UEs supporting<br>5GS FR1                    | D01                      |                                |
| 6.5.4          | Transmit intermodulation                     | Rel-15  | C01         | UEs supporting<br>5GS FR1                    | D01                      |                                |
| 6.5.2.2        | Spectrum Emission<br>Mask                    | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1 PC3                | FR1_D01                  | PC3 requirements applied       |
|                |  |         |             | UEs supporting<br>5GS FR1 PC2                | FR1_D02                  | PC2<br>requirements<br>applied |
| 6.5.2.3        | Additional spectrum emission mask            | Rel-15  | FR1_C01     | UEs supporting<br>5GS FR1 PC3                | FR1_D01                  | PC3<br>requirements<br>applied |

| Clause           | Title  | Release | Арр     | licability  | Tested Bands/<br>CA- | Additional<br>Information      |  |
|------------------|--|---------|---------|---|----------------------|--------------------------------|--|
|                  |  |         | Condit  | Condition   | Comments             | Configurations<br>Selection    |  |
|                  |  |         |         | UEs supporting<br>5GS FR1 PC2                                       | FR1_D02              | PC2<br>requirements<br>applied |  |
| 6.5.2.4.1        | NR ACLR  | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1 PC3                                       | FR1_D01              | PC3<br>requirements<br>applied |  |
|                  |  |         |         | UEs supporting<br>5GS FR1 PC2                                       | FR1_D02              | PC2<br>requirements<br>applied |  |
| 6.5.3.1          | General spurious emissions                                   | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 6.5.3.2          | Spurious emission for UE co-existence                        | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 6.5.3.3          | Additional spurious emissions                                | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 6.5.4            | Transmit intermodulation                                     | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 6.5A.2.2.<br>1   | Spectrum emission<br>mask for CA (2UL<br>CA)                 | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 6.5A.2.4.<br>1.1 | NR ACLR for CA<br>(2UL CA)                                   | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 6.5A.2.4.<br>2.1 | UTRA ACLR for CA<br>(2UL CA)                                 | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 6.5A.3.1.<br>1   | General spurious<br>emissions for CA<br>(2UL CA)             | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 6.5A.3.2.<br>1   | Spurious emissions<br>for UE co-existence<br>for CA (2UL CA) | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 6.5A.4.1         | Transmit intermodulation for CA (2UL CA)                     | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and CA<br>(2UL CA)                        | FFS                  |                                |  |
| 7.3.2            | Reference<br>sensitivity power<br>level                      | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 7.3.2_1          | Reference<br>sensitivity level with<br>4 Rx antenna ports    | Rel-15  | FFS     | UEs supporting<br>5GS FR1 with 4Rx<br>antenna ports                 | FR1_D01              |                                |  |
| 7.3A.2.1.<br>1   | Intra-band contiguous CA 2CC                                 | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and<br>intra-band<br>contiguous CA<br>2CC | FFS                  |                                |  |
| 7.3A.2.[X<br>2]  | Inter-band CA  | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and<br>inter-band CA                      | FFS                  |                                |  |
| 7.3C.2           | Reference<br>sensitivity power<br>level                      | Rel-15  | FFS     | UEs supporting<br>5GS FR1 and SUL                                   | FFS                  |                                |  |
| 7.5              | Adjacent channel selectivity                                 | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 7.6.2            | Inband Blocking  | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |
| 7.6.3            | Out-of-band<br>blocking                                      | Rel-15  | FR1_C01 | UEs supporting<br>5GS FR1   | FR1_D01              |                                |  |

## Table 4.1.1-1a: Applicability of RF conformance test cases Conditions

| FR1_C01 IF (A.4.1-1/1 OR A.4.1-1/2) THEN R ELSE N/A |  |
|---|--|
|   |  |

### **Table 4.1.1-1b: Tested Bands Selection Criteria**

| Code    | Selection              | Comment                     |
|---------|------------------------|-----------------------------|
| FR1_D01 | A.4.3.1-1 OR A.4.3.1-2 | All supported FR1 Bands     |
| FR1_D02 | A.4.3.1-4              | All supported FR1 PC2 Bands |

## Table 4.1.1-1c: Tested CA Configurations Selection Criteria

| Code    | Selection | Comment |
|---------|-----------|---------|
| FR1_Exy |           |         |

# 4.1.2 FR2 standalone conformance test cases

Table 4.1.2-1: Applicability of RF conformance test cases, ref. TS 38.521-2 [2]

| Clause  | Title                                   | Release | Applicability |                           | Tested Bands/<br>CA-        | Additional Information |  |  |
|---------|---|---------|---------------|---------------------------|-----------------------------|------------------------|--|--|
|         |   |         | Condition     | Comments                  | Configurations<br>Selection |                        |  |  |
|         | Transmitter Characteristics             |         |               |                           |                             |                        |  |  |
| 6.2.1.1 | EIRP and TRP                            | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.2.1.2 | Spherical coverage                      | Rel-15  | FR2_C01       | UEs supporting 5GS FR2    | FR2_D01                     |                        |  |  |
| 6.3.1   | Minimum output power                    | Rel-15  | FR2_C01       | UEs supporting 5GS FR2    | FR2_D01                     |                        |  |  |
| 6.3.2   | Transmit OFF power                      | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.3.3.2 | General ON/OFF time mask                | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.3.3.4 | PRACH time mask                         | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.4.1   | Frequency error                         | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.4.2.1 | Error vector magnitude                  | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.4.2.2 | Carrier leakage                         | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.5.1   | Occupied bandwidth                      | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.5.2.1 | Spectrum Emission<br>Mask               | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.5.2.3 | Adjacent channel leakage ratio          | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 6.5.3.1 | Transmitter Spurious emissions          | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 7.3.2   | Reference<br>sensitivity power<br>level | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 7.5     | Adjacent channel selectivity            | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |
| 7.6.2   | In-band Blocking                        | Rel-15  | FR2_C01       | UEs supporting<br>5GS FR2 | FR2_D01                     |                        |  |  |

Table 4.1.2-1a: Applicability of RF conformance test cases Conditions

| FR2_C01 IF A.4.1-1/2 THEN R ELSE N/A |  |
|--------------------------------------|--|
|                                      |  |

Table 4.1.2-1b: Tested Bands Selection Criteria

| Code    | Selection | Comment                 |
|---------|-----------|-------------------------|
| FR2_D01 | A.4.3.1-3 | All supported FR2 Bands |

**Table 4.1.2-1c: Tested CA Configurations Selection Criteria** 

|         | T         |         |
|---------|-----------|---------|
| Code    | Selection | Comment |
| FR2 Exv |           |         |

4.1.3 NR interworking between NR FR1 and NR FR2 and between NR and LTE conformance test cases

Table 4.1.3-1: Applicability of RF conformance test cases, ref. TS 38.521-3 [3]

| Clause         | Title  | Release | Appli       | cability  | Tested Bands/<br>CA-     | Additional Information |
|----------------|--|---------|-------------|---|--------------------------|------------------------|
|                |  |         | Condition   | Comments  | Configurations Selection |                        |
|                |  |         | Transmitter | Characteristics   |                          |                        |
| 6.2B.1.1       | UE Maximum Output Power for Intra-Band Contiguous EN-DC                              | Rel-15  | C01         | UEs supporting<br>Intra-Band<br>Contiguous EN-DC                    | D01                      |                        |
| 6.2B.1.3       | UE Maximum Output Power for Inter-Band EN-DC within FR1                              | Rel-15  | C02         | UEs supporting<br>Intra-Band Non-<br>Contiguous EN-DC               | D01                      |                        |
| 6.2B.2.1       | UE Maximum Output Power reduction for Intra- Band Contiguous EN-DC                   | Rel-15  | C03         | UEs supporting<br>Inter-Band EN-DC<br>within FR1                    | D01                      |                        |
| 6.2B.4.1.<br>1 | Configured Output<br>Power for Intra-<br>Band Contiguous<br>EN-DC                    | Rel-15  | C01         | UEs supporting<br>Intra-Band<br>Contiguous EN-DC                    | D01                      |                        |
| 6.2B.4.1.<br>2 | Configured Output Power for Intra- Band Non- Contiguous EN-DC                        | Rel-15  | C02         | UEs supporting<br>Intra-Band Non-<br>Contiguous EN-DC               | D01                      |                        |
| 6.2B.4.1.<br>3 | Configured Output<br>Power for Inter-<br>Band EN-DC within<br>FR1                    | Rel-15  | C03         | UEs supporting<br>Inter-Band EN-DC<br>within FR1                    | D01                      |                        |
| 6.2B.4.1.<br>4 | Configured Output<br>Power for Inter-<br>Band EN-DC<br>including FR2                 | Rel-15  | C04         | UEs supporting<br>Inter-Band EN-DC<br>including FR2                 | D02                      |                        |
| 6.2B.4.1.<br>5 | Configured Output<br>Power for Inter-<br>Band EN-DC<br>including both FR1<br>and FR2 | Rel-15  | C05         | UEs supporting<br>Inter-Band EN-DC<br>including both FR1<br>and FR2 | D03                      |                        |
| 6.3B.1.1       | Minimum Output power for intraband contiguous EN-DC                                  | Rel-15  | C01         | UEs supporting intra-band contiguous EN-DC                          | D01                      |                        |
| 6.3B.1.2       | Minimum output power for intraband non-contiguous EN-DC                              | Rel-15  | C02         | UEs supporting intra-band non-contiguous EN-DC                      | D01                      |                        |
| 6.3B.1.3       | Minimum output power for interband EN-DC within FR1                                  | Rel-15  | C03         | UEs supporting inter-band EN-DC within FR1                          | D01                      |                        |
| 6.5B.1         | Occupied bandwidth for EN-DC   | Rel-15  | C06         | UEs supporting EN-DC  | D03                      |                        |
| 6.5B.1.3       | Occupied bandwidth for interband EN-DC within FR1                                    | Rel-15  | C03         | UEs supporting inter-band EN-DC within FR1                          | D01                      |                        |
| 6.5B.2.1.<br>2 | Additional<br>Spectrum<br>emissions mask for<br>intra-band<br>contiguous EN-DC       | Rel-15  | C01         | UEs supporting intra-band contiguous EN-DC                          | D01                      |                        |
| 6.5B.2.1.<br>3 | Adjacent channel leakage ratio for intra-band contiguous EN-DC                       | Rel-15  | C01         | UEs supporting intra-band contiguous EN-DC                          | D01                      |                        |

| Clause         | Title  | Release | Appli     | cability   | Tested Bands/<br>CA-     | Additional Information   |
|----------------|--|---------|-----------|--|--------------------------|--|
|                |  |         | Condition | Comments   | Configurations Selection |  |
| 6.5B.2.2.<br>3 | Adjacent channel leakage ratio for intra-band non-contiguous EN-DC             | Rel-15  | C02       | UEs supporting intra-band non-contiguous EN-DC   | D01                      |  |
| 6.5B.2.3.<br>1 | Spectrum<br>emissions mask for<br>Inter-band EN-DC<br>within FR1               | Rel-15  | C03       | UEs supporting<br>Inter-band EN-DC<br>within FR1 | D01                      | Test execution not necessary if TS 38.521-1 6.5.2.2 is executed. E-UTRA is tested standalone using TS 36.521-1 6.6.2.1   |
| 6.5B.2.3.<br>3 | Adjacent channel<br>leakage ratio for<br>inter-band EN-DC<br>within FR1        | Rel-15  | C03       | UEs supporting<br>Inter-band EN-DC<br>within FR1 | D01                      | Test execution not necessary if TS 38.521-1 6.5.2.4.1 is executed. E-UTRA is tested standalone using TS 36.521-1 6.6.2.3 |
| 6.5B.3.1.<br>1 | General Spurious<br>Emissions for intra-<br>band contiguous<br>EN-DC           | Rel-15  | C01       | UEs supporting intra-band contiguous EN-DC       | D01                      |  |
| 6.5B.3.2.<br>1 | General Spurious<br>Emissions for intra-<br>band non-<br>contiguous EN-DC      | Rel-15  | C02       | UEs supporting intra-band non-contiguous EN-DC   | D01                      |  |
| 6.5B.3.3.<br>1 | General Spurious<br>Emissions for Inter-<br>band EN-DC within<br>FR1           | Rel-15  | C03       | UEs supporting<br>Inter-band EN-DC<br>within FR1 | D01                      |  |
| 6.5B.3.4       | Spurious emission<br>band UE co-<br>existence for Inter-<br>band including FR2 | Rel-15  | C04       | UEs supporting<br>Inter-band<br>including FR2    | D02                      | Test execution not necessary if TS 38.521-2 6.5.3.1 is executed. E-UTRA is tested standalone using TS 36.521-1 6.6.2.3   |
| 7.3B.2.1       | Reference<br>sensitivity for intra-<br>band contiguous<br>EN-DC                | Rel-15  | C01       | UEs supporting intra-band contiguous EN-DC       | D01                      |  |

| Clause   | Title   | Release | Appli     | cability  | Tested Bands/<br>CA-        | Additional Information   |
|----------|---|---------|-----------|---|-----------------------------|--|
|          |   |         | Condition | Comments  | Configurations<br>Selection |  |
| 7.3B.2.3 | Reference<br>sensitivity for inter-<br>band EN-DC within<br>FR1             | Rel-15  | C03       | UEs supporting inter-band EN-DC within FR1            | D01                         | Test execution not necessary if TS 38.521-1 7.3.2 is executed. E-UTRA is tested standalone using TS 36.521-1 7.3.1 |
| 7.3B.2.4 | Reference<br>sensitivity for inter-<br>band EN-DC<br>including FR2          | Rel-15  | C04       | UEs supporting inter-band EN-DC within FR2            | D01                         | Test execution not necessary if TS 38.521-2 7.3.2 is executed. E-UTRA is tested standalone using TS 36.521-1 7.3.1 |
| 7.4B.1   | Maximum Input<br>Level for Intra-Band<br>Contiguous EN-DC                   | Rel-15  | C01       | UEs supporting<br>Intra-Band<br>Contiguous EN-DC      | D01                         |  |
| 7.4B.2   | Maximum Input<br>Level for Intra-Band<br>Non-Contiguous<br>EN-DC            | Rel-15  | C02       | UEs supporting<br>Intra-Band Non-<br>Contiguous EN-DC | D01                         |  |
| 7.4B.3   | Maximum Input<br>Level for Inter-band<br>EN-DC within FR1                   | Rel-15  | C03       | UEs supporting<br>Inter-band EN-DC<br>within FR1      | D01                         |  |
| 7.5B.1   | Adjacent Channel<br>Selectivity for intra-<br>band contiguous<br>EN-DC      | Rel-15  | C01       | UEs supporting intra-band contiguous EN-DC            | D01                         |  |
| 7.5B.2   | Adjacent Channel<br>Selectivity for intra-<br>band non-<br>contiguous EN-DC | Rel-15  | C02       | UEs supporting intra-band non-contiguous EN-DC        | D01                         |  |
| 7.5B.3   | Adjacent Channel<br>Selectivity for inter-<br>band EN-DC within<br>FR1      | Rel-15  | C03       | UEs supporting inter-band EN-DC within FR1            | D01                         |  |

Table 4.1.3-1a: Applicability of RF conformance test cases Conditions

| C01 | IF A.4.1-4/1 THEN R ELSE N/A  |
|-----|---|
| C02 | IF A.4.1-4/2 THEN R ELSE N/A  |
| C03 | IF A.4.1-4/3 THEN R ELSE N/A  |
| C04 | IF A.4.1-4/4 THEN R ELSE N/A  |
| C05 | IF (A.4.1-4/3 OR A.4.1-4/4) THEN R ELSE N/A                           |
| C06 | IF (A.4.1-4/1 OR A.4.1-4/2 OR A.4.1-4/3 OR A.4.1-4/4) THEN R ELSE N/A |

Table 4.1.3-1b: Tested Bands Selection Criteria

| Code | Selection                             | Comment                 |
|------|---------------------------------------|-------------------------|
| D01  | A.4.3.1-1 AND A.4.3.1-2               | All supported FR1 Bands |
| D02  | A.4.3.1-3                             | All supported FR2 Bands |
| D03  | A.4.3.1-1 AND A.4.3.1-2 AND A.4.3.1-3 | All supported NR Bands  |

Table 4.1.3-1c: Tested CA Configurations Selection Criteria

| Code | Selection | Comment |
|------|-----------|---------|
| Exy  |           |         |

## 4.1.4 Performance conformance test cases

Table 4.1.4-1: Applicability of performance test cases, ref. TS 38.521-4 [4]

| Clause | Title                       | Release | Applicability |          | Tested Bands/<br>CA-     | Additional<br>Information |
|--------|-----------------------------|---------|---------------|----------|--------------------------|---------------------------|
|        |                             |         | Condition     | Comments | Configurations Selection |                           |
|        | Transmitter Characteristics |         |               |          |                          |                           |
| FFS    |                             | FFS     | FFS           |          |                          | FDD                       |
|        |                             |         |               |          |                          | TDD                       |

Table 4.1.4-1a: Applicability of RF conformance test cases Conditions

FFS

# 4.2 RRM conformance test cases

NOTE: To determine applicability of a test case, FGI support in combined or fdd-Add-UE-NR-Capabilities or tdd-Add-UE- NR-Capabilities is taken into account.

Table 4.2-1: Applicability of RRM EN-DC FR1 conformance test cases, ref. TS 38.533 [5]

| Clause    | Title  | Releas<br>e | Applicability |                        | Additional Infor | mation |
|-----------|--|-------------|---------------|------------------------|------------------|--------|
|           |  |             | Condition     | Description            | Comments         | Branch |
| 4.7       | Measurement performa                                       | nce requir  | ements        |                        |                  |        |
| 4.7.1     | SS-RSRP  |             |               |                        |                  |        |
| 4.7.1.1   | Intra-frequency measur                                     | ements      |               |                        |                  |        |
| 4.7.1.1.1 | EN-DC FR1 SS-RSRP absolute measurement accuracy            | Rel-15      | RE1_C001      | UE supporting<br>EN-DC |                  |        |
| 4.7.1.1.2 | EN-DC FR1 SS-RSRP relative measurement accuracy            | Rel-15      | RE1_C001      | UE supporting<br>EN-DC |                  |        |
| 4.7.1.2   | Inter-frequency measur                                     | ements      |               |                        |                  |        |
| 4.7.1.2.1 | EN-DC FR1-FR1 SS-<br>RSRP absolute<br>measurement accuracy | Rel-15      | RE1_C001      | UE supporting<br>EN-DC |                  |        |
| 4.7.1.2.2 | EN-DC FR1-FR1 SS-<br>RSRP relative<br>measurement accuracy | Rel-15      | RE1_C001      | UE supporting<br>EN-DC |                  |        |

Table 4.2-1a: Applicability of RRM EN-DC FR1 conformance test cases Conditions

| RE1_C001    | IF (FFS) THEN R ELSE N/A                       |
|-------------|--|
| Note 1: The | e ICS proforma are defined in TS 38.508-2 [8]. |

Table 4.2-2: Applicability of RRM EN-DC FR2 conformance test cases, ref. TS 38.533 [5]

| Clause | Title                                | Releas<br>e | Applicability |             | Additional Informa | ation  |
|--------|--------------------------------------|-------------|---------------|-------------|--------------------|--------|
|        |                                      |             | Condition     | Description | Comments           | Branch |
| 5.7    | Measurement performance requirements |             |               |             |                    |        |
| 5.7.1  | SS-RSRP                              |             |               |             |                    |        |
|        |                                      |             |               |             |                    |        |

### Table 4.2-2a: Applicability of RRM EN-DC FR2 conformance test cases Conditions

| FFS     | IF (FFS) THEN R ELSE N/A                         |
|---------|--|
|         |  |
| Note 1: | The ICS proforma are defined in TS 38.508-2 [8]. |

### Table 4.2-3: Applicability of RRM NR SA FR1 conformance test cases, ref. TS 38.533 [5]

| Clause    | Title  | Releas<br>e | Ар        | plicability              | Additional Inforr | nation |
|-----------|--|-------------|-----------|--------------------------|-------------------|--------|
|           |  |             | Condition | Description              | Comments          | Branch |
| 6.7       | Measurement performa                                       | nce requir  | ements    |                          |                   |        |
| 6.7.1     | SS-RSRP  |             |           |                          |                   |        |
| 6.7.1.1   | Intra-frequency measur                                     | ements      |           |                          |                   |        |
| 6.7.1.1.1 | NR SA FR1 SS-RSRP absolute measurement accuracy            | Rel-15      | RS1_C001  | UE supporting<br>5GS FR1 |                   |        |
| 6.7.1.1.2 | NR SA FR1 SS-RSRP relative measurement accuracy            | Rel-15      | RS1_C001  | UE supporting<br>5GS FR1 |                   |        |
| 6.7.1.2   | Inter-frequency measur                                     | ements      |           |                          |                   |        |
| 6.7.1.2.1 | NR SA FR1-FR1 SS-<br>RSRP absolute<br>measurement accuracy | Rel-15      | RS1_C001  | UE supporting<br>5GS FR1 |                   |        |
| 6.7.1.2.2 | NR SA FR1-FR1 SS-<br>RSRP relative<br>measurement accuracy | Rel-15      | RS1_C001  | UE supporting<br>5GS FR1 |                   |        |

#### Table 4.2-3a: Applicability of RRM NR SA FR1 conformance test cases Conditions

| RS1_C001    | IF (FFS) THEN R ELSE N/A                     |
|-------------|--|
| Note 1: The | ICS proforma are defined in TS 38.508-2 [8]. |

## Table 4.2-4: Applicability of RRM NR SA FR2 conformance test cases, ref. TS 38.533 [5]

| Clause | Title                                | Releas<br>e | Applicability |             | Additional Information |        |  |
|--------|--------------------------------------|-------------|---------------|-------------|------------------------|--------|--|
|        |                                      |             | Condition     | Description | Comments               | Branch |  |
| 7.7    | Measurement performance requirements |             |               |             |                        |        |  |
| 7.7.1  | SS-RSRP                              |             |               |             |                        |        |  |
|        |                                      |             |               |             |                        |        |  |

## Table 4.2-2a: Applicability of RRM NR SA FR2 conformance test cases Conditions

| FFS  | IF (FFS) THEN R ELSE N/A |  |  |  |  |  |
|--|--------------------------|--|--|--|--|--|
|  |                          |  |  |  |  |  |
| Note 1: The ICS proforma are defined in TS 38.508-2 [8]. |                          |  |  |  |  |  |

# Annex A (informative): FFS

# Annex B (informative): Change history

| Change history |                           |           |          |     |     |  |             |
|----------------|---------------------------|-----------|----------|-----|-----|--|-------------|
| Date           | Meeting                   | TDoc      | CR       | Rev | Cat | Subject/Comment  | New version |
| 2017-08        | RAN5#76                   | R5-173911 | -        | -   | -   | Draft skeleton   | 0.0.1       |
| 2018-01        | RAN5#1-<br>5G-NR<br>Adhoc | R5-180107 | -        | -   | -   | Updated after RAN5#1-5G-NR Adhoc: - Foreword, scope, references, definitions, symbols and abbreviations, recommended test case applicability updated - Sub-clause 4.1.1, 4.1.2, 4.1.3 and 4.1.4 added - Change history added |             |
| 2018-03        | RAN5 #78                  | R5-181687 | -        | -   | -   | TP for Clause 4.1.1 Range 1 standalone conformance test cases  | 0.2.0       |
| 2018-03        | RAN5 #78                  | R5-181688 | -        | -   | -   | TP for Clause 4.1.2 Range 2 standalone conformance test cases  | 0.2.0       |
| 2018-03        | RAN5 #78                  | R5-181689 | -        | -   | -   | TP for Clause 4.1.3 NR interworking between NR range1 and NR range2 and between NR and LTE conformance test cases  |             |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182013 | -        | -   | -   | TP for Clause 3 Definitions, symbols and abbreviations   |             |
| 2018-04        | RAN5#2-<br>5G-NR<br>Adhoc | R5-182047 | -        | -   | -   | TP for Clause 4 Recommended test case applicability  |             |
| 2018-08        | RAN5#80                   | R5-185209 | -        | -   | -   | TP for Clause 4.1.1 of TS 38.522   |             |
| 2018-08        | RAN5#80                   | R5-185210 | -        | -   | -   | TP for Clause 4.1.2 of TS 38.522   | 1.0.1       |
| 2018-08        | RAN5#80                   | R5-185211 | -        | -   | -   | TP for Clause 4.1.3 of TS 38.522   | 1.0.1       |
| 2018-09        | RAN#81                    | -         | -        | -   | -   | raised to v15.0.0 with editorial changes only  |             |
| 2018-12        | RAN#82                    | R5-186501 | 001<br>3 | -   | F   | Applicability rules implementation in 38.522   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-188223 | 001<br>5 | -   | F   | Applicability for RRM NR tests   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-187566 | 001<br>6 | -   | F   | Update note in section 4.1 to include CBW and SCS in RF test applicability   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-187849 | 001<br>4 | 1   | F   | Adding applicability for new 38.521-1 CA TCs   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-187881 | 000<br>8 | 1   | F   | Update Clause 1 Scope of TS 38.522   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-187884 | 001<br>1 | 1   | F   | TP for Clause 4.1.2 of TS 38.522   | 15.1.0      |
| 2018-12        | RAN#82                    | R5-187922 | 001<br>7 | -   | F   | Removing FR2 test case 7.4 from TS 38.522 due to testability issue   | 15.1.0      |
| 2019-03        | RAN#82                    | R5-187882 | 000<br>9 | 1   | F   | Update Clause 3 of TS 38.522   |             |
| 2019-03        | RAN#82                    | R5-187883 | 001<br>0 | 1   | F   | TP for Clause 4.1.1 of TS 38.522   |             |
| 2019-03        | RAN#82                    | R5-187885 | 001<br>2 | 1   | F   | TP for Clause 4.1.3 of TS 38.522   | 15.1.1      |

# History

| Document history |              |             |  |  |  |
|------------------|--------------|-------------|--|--|--|
| V15.0.0          | October 2018 | Publication |  |  |  |
| V15.1.1          | April 2019   | Publication |  |  |  |
|                  |              |             |  |  |  |
|                  |              |             |  |  |  |
|                  |              |             |  |  |  |