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eXtensible Markup Language (XML) file format definition
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#### **Foreword**

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where:

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  - 1 presented to TSG for information;
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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project: Technical Specification Group Services and System Aspects; Telecommunication management, as identified below:

TS 32.432: "Performance measurement: File format definition";

TS 32.435: "Performance measurement: eXtensible Markup Language (XML) file format definition";

TS 32.436: "Performance measurement: Abstract Syntax Notation 1 (ASN.1) file format definition".

The present document is part of a set of specifications, which describe the requirements and information model necessary for the standardised Operation, Administration and Maintenance (OA&M) of a multi-vendor PLMN.

During the lifetime of a PLMN, its logical and physical configuration will undergo changes of varying degrees and frequencies in order to optimise the utilisation of the network resources. These changes will be executed through network configuration management activities and/or network engineering, see 3GPP TS 32.600 [4].

Many of the activities involved in the daily operation and future network planning of a PLMN network require data on which to base decisions. This data refers to the load carried by the network and the grade of service offered. In order to produce this data performance measurements are executed in the NEs, which comprise the network. The data can then be transferred to an external system, e.g. an Operations System (OS) in TMN terminology, for further evaluation. The purpose of the present document and the other related 3GPP TSs listed above is to describe the mechanisms involved in the collection of the data.

#### 1 Scope

The present document describes the XML file format of performance measurement results whose semantics is defined in 3GPP TS 32.432 [5].

This specification is related to 3GPP TS 32.432 V10.2.X.

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*
- [1] 3GPP TS 32.101: "Telecommunication management; Principles and high level requirements". [2] 3GPP TS 32.102: "Telecommunication management; Architecture". [3] 3GPP TS 32.401: "Telecommunication management; Performance Management (PM); Concept and requirements". [4] 3GPP TS 32.600: "Telecommunication management; Configuration Management (CM); Concept and high-level requirements". [5] 3GPP TS 32.432: "Telecommunication management; Performance measurement: File format definition ". 3GPP TS 32.300: "Telecommunication management; Configuration Management (CM); Name [6] convention for Managed Objects".
- [7] W3C REC-xml-20001006: "Extensible Markup Language (XML) 1.0 (Second Edition)".
- [8] W3C REC-xmlschema-0-20010502: "XML Schema Part 0: Primer".
- [9] W3C REC-xmlschema-1-20010502: "XML Schema Part 1: Structures".
- [10] W3C REC-xmlschema-2-20010502: "XML Schema Part 2: Datatypes".
- [11] W3C REC-xml-names-19990114: "Namespaces in XML".

#### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**network Element Manager (EM):** provides a package of end-user functions for management of a set of closely related types of Network Elements. These functions can be divided into two main categories:

- Element Management Functions for management of Network Elements on an individual basis. These are basically the same functions as supported by the corresponding local terminals.
- Sub-Network Management Functions that are related to a network model for a set of Network Elements constituting a clearly defined sub-network, which may include relations between the Network Elements. This model enables additional functions on the sub-network level (typically in the areas of network topology presentation, alarm correlation, service impact analysis and circuit provisioning).

**Network Manager (NM):** provides a package of end-user functions with the responsibility for the management of a network, mainly as supported by the EM(s) but it may also involve direct access to the Network Elements. All communication with the network is based on open and well-standardised interfaces supporting management of multivendor and multi-technology Network Elements.

**Operations System (OS):** generic management system, independent of its location level within the management hierarchy.

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation EM Element Manager

GSM Global System for Mobile communications

IRP Integration Reference Point

NE Network Element NM Network Manager

PLMN Public Land Mobile Network PM Performance Management

#### 4 XML file format definition

This clause describes the format of measurement result files that can be transferred from the network (NEs or EM) to the NM. The XML file format definition is based on XML schema (see [8], [9], [10] and [11]).

The XML file format definitions implement the measurement result structure and parameters defined in clauses 5.2 and 5.3 of 3GPP TS 32.401 [3].

#### 4.1 Mapping table

Table 4.1 maps the file content items in the 3GPP TS 32.432([5]) document to those used in the XML schema based file format definitions. XML tag attributes are useful where data values bind tightly to its parent element. They have been used where appropriate.

Table 4.1 Mapping of File Content Items to XML tags

File Content Item	XML schema	Description
	based XML tag	·
measDataCollection	measCollecFile	
measFileHeader	fileHeader	
measData	measData	
measFileFooter	fileFooter	
fileFormatVersion	fileHeader	
	fileFormatVersion	
senderName	fileHeader	For the XML schema based XML format, the DN is split into the DN prefix and
	dnPrefix	the Local DN (LDN) (see 3GPP TS 32.300 [6]). XML attribute specification
	and	"dnPrefix" may be absent in case the DN prefix is not configured in the
	fileSender	sender. XML attribute specification "localDn" may be absent in case the LDN
	localDn	is not configured in the sender.
senderType	fileSender	For the XML schema based XML format, XML attribute specification
	elementType	"elementType" may be absent in case the "senderType" is not configured in
		the sender.

File Content Item	XML schema	Description
1 1	based XML tag	
vendorName	fileHeader vendorName	For the XML schema based XML format, XML attribute specification "vendorName" may be absent in case the "vendorName" is not configured in the sender.
collectionBeginTime	measCollec beginTime	
neld	managedElement	
neUserName	managedElement userLabel	For the XML schema based XML format, XML attribute specification "userLabel" may be absent in case the "nEUserName" is not configured in the CM applications.
neDistinguishedName	fileHeader dnPrefix and managedElement localDn	For the XML schema based XML format, the DN is split into the DN prefix and the Local DN (LDN) (see 3GPP TS 32.300 [6]). XML attribute specification "localDn" may be absent in case the LDN is not configured in the CM applications.
neSoftwareVersion	managedElement swVersion	For the XML schema based XML format, XML attribute specification "swVersion" may be absent in case the "nESoftwareVersion" is not configured in the CM applications.
measInfo	measInfo	
measInfold	measInfold	
measTimeStamp	granPeriod endTime	
jobld	job jobld	
granularityPeriod	granPeriod duration	For the XML schema based XML format, the value of XML attribute specification "duration" shall use the truncated representation "PTnS" (see [10]).
reportingPeriod	repPeriod duration	For the XML schema based XML format, the value of XML attribute specification "duration" shall use the truncated representation "PTnS" (see [10]).
measTypes	measTypes or measType	For the XML schema based XML format, depending on sender's choice for optional positioning presence, either XML element "measTypes" or XML elements "measType" will be used.
measValues	measValue	•
measObjInstId	measValue measObjLdn	
measResults	measResults or r	For the XML schema based XML format, depending on sender's choice for optional positioning presence, either XML element "measResults" or XML elements "r" will be used.
suspectFlag	suspect	
timeStamp	measCollec endTime	
There is no corresponding File Content Item.	measType p	An optional positioning XML attribute specification of XML element "measType" (XML schema based), used to identify a measurement type for the purpose of correlation to a result. The value of this XML attribute specification is expected to be a non-zero, non-negative integer value that is unique for each instance of XML element "measType" that is contained within the measurement data collection file.
There is no corresponding File Content Item.	rр	An optional positioning XML attribute specification of XML element "r", used to correlate a result to a measurement type. The value of this XML attribute specification should match the value of XML attribute specification "p" of the corresponding XML element "measType" (XML schema based).

#### 4.2 XML schema based XML file format definition

#### 4.2.1 Measurement collection data file XML diagram

Figure 4.1 describes the XML element structure of the measurement collection data file.

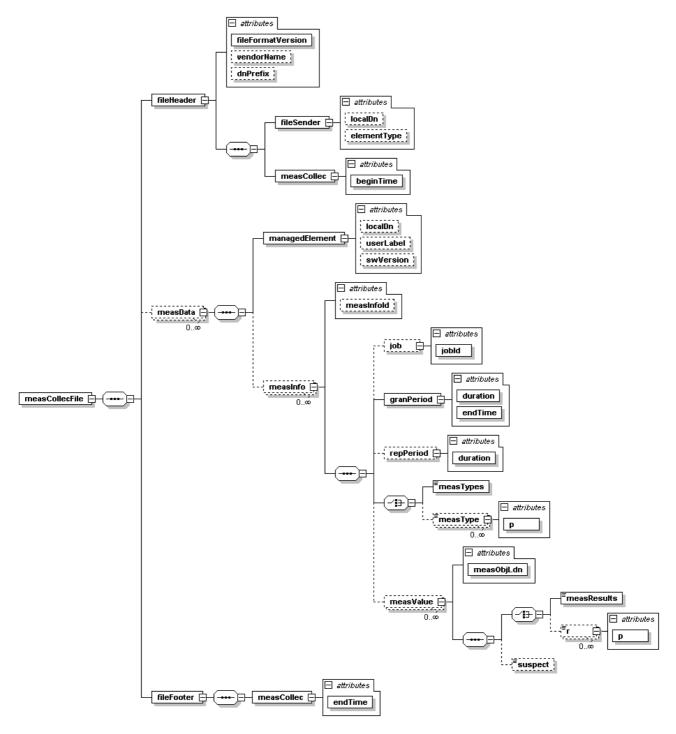


Figure 4.1: XML diagram of the measurement collection data file

#### 4.2.2 Measurement collection data file XML schema

The following XML schema measCollec.xsd is the schema for measurement collection data XML files:

```
<?xml version="1.0" encoding="UTF-8"?>
 3GPP TS 32.435 Performance Measurement XML file format definition
  data file XML schema
 measCollec.xsd
<schema
  targetNamespace=
"http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec"
 elementFormDefault="qualified"
  xmlns="http://www.w3.org/2001/XMLSchema"
 xmlns:mc=
"http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec"
  <!-- Measurement collection data file root XML element -->
  <element name="measCollecFile">
    <complexType>
      <sequence>
        <element name="fileHeader">
          <complexType>
            <sequence>
              <element name="fileSender">
                <complexType>
                  <attribute name="localDn" type="string" use="optional"/>
                  <attribute name="elementType" type="string" use="optional"/>
                </complexType>
              </element>
              <element name="measCollec">
                <complexType>
                  <attribute name="beginTime" type="dateTime" use="required"/>
                </complexType>
              </element>
            </sequence>
            <attribute name="fileFormatVersion" type="string" use="required"/>
            <attribute name="vendorName" type="string" use="optional"/>
            <attribute name="dnPrefix" type="string" use="optional"/>
          </complexType>
        </element>
        <element name="measData" minOccurs="0" maxOccurs="unbounded">
          <complexType>
            <sequence>
              <element name="managedElement">
                <complexType>
                  <attribute name="localDn" type="string" use="optional"/>
                  <attribute name="userLabel" type="string" use="optional"/>
                  <attribute name="swVersion" type="string" use="optional"/>
                </complexType>
              </element>
              <element name="measInfo" minOccurs="0" maxOccurs="unbounded">
                <complexType>
                  <sequence>
                    <element name="job" minOccurs="0">
                      <complexType>
                        <attribute name="jobId" type="string" use="required"/>
                      </complexType>
                    </element>
                    <element name="granPeriod">
                      <complexType>
                        <attribute
                          name="duration"
                          type="duration"
                          use="required"
                        />
                        <attribute
                          name="endTime"
                          type="dateTime"
                          use="required"
                      </complexType>
```

</element>

```
<element name="repPeriod" minOccurs="0">
                    <complexType>
                      <attribute name="duration"</pre>
                                 type="duration" use="required"/>
                    </complexType>
                  </element>
                  <choice>
                    <element name="measTypes">
                      <simpleType>
                        <list itemType="Name"/>
                      </simpleType>
                    </element>
                    <element name="measType"
                             minOccurs="0" maxOccurs="unbounded">
                      <complexType>
                        <simpleContent>
                          <extension base="Name">
                            <attribute name="p"
                                        type="positiveInteger" use="required"/>
                          </extension>
                        </simpleContent>
                      </complexType>
                    </element>
                  </chaice>
                  <element name="measValue"</pre>
                           minOccurs="0" maxOccurs="unbounded">
                    <complexType>
                      <sequence>
                        <choice>
                           <element name="measResults">
                            <simpleType>
                               <list itemType="mc:measResultType"/>
                             </simpleType>
                           </element>
                           <element name="r"
                                   minOccurs="0" maxOccurs="unbounded">
                             <complexType>
                               <simpleContent>
                                <extension base="mc:measResultType">
                                   <attribute name="p" type="positiveInteger"</pre>
                                                               use="required"/>
                                 </extension>
                               </simpleContent>
                             </complexType>
                           </element>
                        </choice>
                        <element name="suspect" type="boolean" minOccurs="0"/>
                       </sequence>
                      <attribute name="measObjLdn"
                                 type="string" use="required"/>
                    </complexType>
                  </element>
                </sequence>
                <attribute name="measInfoId" type="string" use="optional"/>
              </complexType>
            </element>
          </sequence>
        </complexType>
      </element>
      <element name="fileFooter">
        <complexType>
          <sequence>
            <element name="measCollec">
              <complexType>
                <attribute name="endTime" type="dateTime" use="required"/>
              </complexType>
            </element>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>
</element>
<simpleType name="measResultType">
  <union memberTypes="float">
    <simpleType>
```

#### 4.2.3 Measurement collection data file XML header

The following header shall be used in actual XML measurement result files (cf. Annex A for examples):

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type="text/xs1" href="MeasDataCollection.xs1"?>
<measCollecFile
    xmlns=
"http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec"
>
```

## Annex A (informative): Examples

### A.1 XML schema based XML measurement report file without use of optional positioning attributes on measurement types and results

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type="text/xsl" href="MeasDataCollection.xsl"?>
<measCollecFile xmlns="http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance'
xsi:schemaLocation="http://www.3gpp.org/ftp/specs/archive/32 series/32.435#measCollec
http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec">
    <fileHeader fileFormatVersion="32.435 V7.0" vendorName="Company NN"
dnPrefix="DC=a1.companyNN.com,SubNetwork=1,IRPAgent=1">
        <fileSender localDn="SubNetwork=CountryNN,MeContext=MEC-Gbg-1,ManagedElement=RNC-Gbg-1"</pre>
elementType="RNC"/>
        <measCollec beginTime="2000-03-01T14:00:00+02:00"/>
    </fileHeader>
    <measData>
        <managedElement localDn="SubNetwork=CountryNN, MeContext=MEC-Gbg-1, ManagedElement=RNC-Gbg-1"</pre>
userLabel="RNC Telecomville"/>
        <measInfo>
            <job jobId="1231"/>
            <qranPeriod duration="PT900S" endTime="2000-03-01T14:14:30+02:00"/>
            <repPeriod duration="PT1800S"/>
            <measTypes>attTCHSeizures succTCHSeizures attImmediateAssignProcs
        succImmediateAssignProcs</measTypes>
            <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbg-997">
                <measResults>234 345 567 789/measResults>
            </measValue>
            <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbg-998">
                <measResults>890 901 123 234/measResults>
            </measValue>
            <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbq-999">
                <measResults>456 567 678 789</measResults>
                <suspect>true</suspect>
            </measValue>
        </measInfo>
    </measData>
    <fileFooter:
        <measCollec endTime="2000-03-01T14:15:00+02:00"/>
    </fileFooter>
</measCollecFile>
```

# A.2 XML schema based XML measurement report file with use of optional positioning attributes on measurement types and results

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type="text/xsl" href="MeasDataCollection.xsl"?>
<measCollecFile xmlns="http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.3gpp.org/ftp/specs/archive/32 series/32.435#measCollec
http://www.3gpp.org/ftp/specs/archive/32 series/32.435#measCollec">
    <fileHeader fileFormatVersion="32.435 V7.0" vendorName="Company NN"</pre>
dnPrefix="DC=a1.companyNN.com, SubNetwork=1, IRPAgent=1">
        <fileSender localDn="SubNetwork=CountryNN,MeContext=MEC-Gbg-1,ManagedElement=RNC-Gbg-1"</pre>
elementType="RNC"/>
        <measCollec beginTime="2000-03-01T14:00:00+02:00"/>
    </fileHeader>
        <managedElement localDn="SubNetwork=CountryNN, MeContext=MEC-Gbg-1, ManagedElement=RNC-Gbg-1"</pre>
userLabel="RNC Telecomville"/>
        <measInfo>
            <job jobId="1231"/>
            <qranPeriod duration="PT900S" endTime="2000-03-01T14:14:30+02:00"/>
            <repPeriod duration="PT1800S"/>
            <measType p="1">attTCHSeizures
            <measType p="2">succTCHSeizures
            <measType p="3">attImmediateAssignProcs</measType>
            <measType p="4">succImmediateAssignProcs</measType>
            <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbg-997">
                 < r p = "1" > 234 < /r >
                 <r p="2">345</r>
                 <r p="3">567</r>
                < r p = "4" > 789 < /r >
            </measValue>
             <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbg-998">
                < r p = "1" > 890 < /r >
                 < r p = "2" > 901 < /r >
                <r p="3">123</r>
                 < r p = "4" > 234 < /r >
            <measValue measObjLdn="RncFunction=RF-1,UtranCell=Gbg-999">
                 < r p = "1" > 456 < /r >
                 < r p = "2" > 567 < /r >
                <r p="3">678</r>
                < r p = "4" > 789 < /r >
                <suspect>true</suspect>
            </measValue>
        </measInfo>
    </measData>
    <fileFooter>
        <measCollec endTime="2000-03-01T14:15:00+02:00"/>
    </fileFooter>
</measCollecFile>
```

## A.3 XML schema based XML measurement report file with use of optional measInfold attribute

```
<?xml version="1.0" encoding="UTF-8"?>
<?xml-stylesheet type="text/xsl" href="MeasDataCollection.xsl"?>
<!-- Sample PM File. All values are hypothetical but syntactically correct -->
<measCollecFile xmlns="http://www.3gpp.org/ftp/specs/archive/32 series/32.435#measCollec"</pre>
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec
http://www.3gpp.org/ftp/specs/archive/32_series/32.435#measCollec">
    <fileHeader fileFormatVersion="32.435 v6.1" vendorName="Company NN" dnPrefix="SubNetwork=1">
        <fileSender elementType="Element Manager" localDn="OMC PS=10"/>
        <measCollec beginTime="2005-06-09T13:00:00-05:00"/>
    </fileHeader>
    <measData>
        <managedElement localDn="ManagedElement=PS Core" userLabel="SGSN" swVersion="R30.1.5"/>
        <measInfo measInfoId="Category A">
            <job jobId="01"/>
            <granPeriod endTime="2005-06-09T13:15:00-06:00" duration="PT900S"/>
            <repPeriod duration="PT1800S"/>
            <measTypes>MM.AttGprsAttach MM.SuccGprsAttach MM. AbortedGprsAttach
MM.AttIntraSgsnRaUpdate</measTypes>
            <measValue measObjLdn="SgsnFunction=1">
               <measResults>10 20 30 40</measResults>
            </measValue>
        </measInfo>
        <measInfo measInfoId="Category B">
            <job jobId="02"/>
            <granPeriod endTime="2005-06-09T13:15:00-06:00" duration="PT900S"/>
            <repPeriod duration="PT1800S"/>
            <measTypes>MM.AttCombiAttach MM.SuccCombiAttach MM.
MM.AbortedCombiAttachMM.AttCombiDetachMs</measTypes>
            <measValue measObjLdn="SgsnFunction=2">
                <measResults>10 20 30 40</measResults>
            </measValue>
        </measInfo>
        <measInfo measInfoId="Category C">
            <job jobId="03"/>
            <granPeriod endTime="2005-06-09T13:15:00-06:00" duration="PT1800S"/>
            <repPeriod duration="PT900S"/>
            <measTypes>MM.AttPsPagingProcIu MM.SuccPsPagingProcIu/measTypes>
            <measValue measObjLdn="SgsnFunction=3">
                <measResults>25 25</measResults>
            </measValue>
        </measInfo>
    </measData>
    <fileFooters
        <measCollec endTime="2005-06-09T13:15:00-06:00"/>
    </fileFooter>
</measCollecFile>
```

# Annex B (informative): Void

# Annex C (informative): Change history

Change history							
TSG#	TSG Doc.	CR	Rev	Subject/Comment	Cat	Old	New
S_25	SP-			Draft created based on 32.401 V6.1.0 and submitted to SA#25 for		1.0.0	
S_26				Submitted to SA#26 for Approval		2.0.0	6.0.0
	040787						
SA_29	SP-	0001		Enhance PM XML file format with measInfo	С	6.0.0	7.0.0
	050585						
SA_30	SP-	0003		Inconsistent support for measurement values of type real between XML	Α	7.0.0	7.1.0
	050707			and ASN.1			
SA 32	SP-	0005		Correction of fileFormatVersion, namespace and link	Α	7.1.0	7.2.0
_	060251			· '			
SA_42				Upgrade to Release 8		7.2.0	8.0.0
SA_46	SP-	0006		Discontinue from Rel-9 onwards the XML schema extraction and storage	F	8.0.0	9.0.0
_	090719						
-	-	-	-	Update to Rel-10 version (MCC)		9.0.0	10.0.0
SA 51	SD-	0007		Correction of stage-2 TS reference	F	10.0.0	10 1 0
UA_U4	110706	0007		Confection of stage-2 10 feleration		10.0.0	10.1.0
SA_55	SP-	0010	-	Add missing elements and content in XML measurement report file	Α	10.1.0	10.2.0
	120043						
	S_25 S_26 SA_29 SA_30 SA_32 SA_42 SA_46 - SA_54	S_25   SP- 040579   S_26   SP- 040787   SA_29   SP- 050585   SA_30   SP- 050707   SA_32   SP- 060251   SA_42   SA_46   SP- 090719   - SA_54   SP- 110706   SA_55   SP-	S_25         SP- 040579 040579           S_26         SP- 040787 050585           SA_29         SP- 050585         0001           SA_30         SP- 050707         0003           SA_32         SP- 060251         0005           SA_42             SA_46         SP- 090719         0006           SA_54         SP- 110706         0007           SA_55         SP-         0010	S_25       SP- 040579           S_26       SP- 040787           SA_29       SP- 050585       0001          SA_30       SP- 050707       0003          SA_32       SP- 060251       0005          SA_42            SA_46       SP- 090719       0006          SA_54       SP- 110706       0007          SA_55       SP-       0010       -	TSG # TSG Doc.CRRev Subject/CommentS_25SP- 040579Draft created based on 32.401 V6.1.0 and submitted to SA#25 for InformationS_26SP- 040787Submitted to SA#26 for ApprovalSA_29SP- 0505850001Enhance PM XML file format with measInfoSA_30SP- 0507070003Inconsistent support for measurement values of type real between XML and ASN.1SA_32SP- 0602510005Correction of fileFormatVersion, namespace and linkSA_42Upgrade to Release 8SA_46SP- 0907190006Discontinue from Rel-9 onwards the XML schema extraction and storageSA_54SP- 1107060007Correction of stage-2 TS referenceSA_55SP-0010-Add missing elements and content in XML measurement report file	TSG # TSG Doc.CR Rev Subject/CommentCatS_25SP- 040579	TSG #         TSG Doc.         CR         Rev         Subject/Comment         Cat         Old           S_25         SP- 040579           Draft created based on 32.401 V6.1.0 and submitted to SA#25 for Information          1.0.0           S_26         SP- 040787           Submitted to SA#26 for Approval          2.0.0           SA_29         SP- 050585         0001          Enhance PM XML file format with measInfo         C         6.0.0           SA_30         SP- 050707         0003          Inconsistent support for measurement values of type real between XML and ASN.1         A         7.0.0           SA_32         SP- 060251         0005          Correction of fileFormatVersion, namespace and link         A         7.1.0           SA_42            Upgrade to Release 8          7.2.0           SA_46         SP- 090719         0006          Discontinue from Rel-9 onwards the XML schema extraction and storage         F         8.0.0           SA_54         SP- 110706         0007          Correction of stage-2 TS reference         F         10.0.0           SA_55         SP-         0010         -

### History

Document history				
V10.0.0	April 2011	Publication		
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