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Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP) (3GPP TS 36.423 version 12.6.0 Release 12)



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## **Foreword**

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## 1 Scope

The present document specifies the radio network layer signalling procedures of the control plane between eNBs in E-UTRAN. X2AP supports the functions of X2 interface by signalling procedures defined in this document. X2AP is developed in accordance to the general principles stated in TS 36.401 [2] and TS 36.420 [3].

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- 3GPP TR 21.905: "Vocabulary for 3GPP Specifications". [1] [2] 3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Architecture Description". [3] 3GPP TS 36.420: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 General Aspects and Principles". [4] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)". ITU-T Recommendation X.691 (2002-07): "Information technology - ASN.1 encoding rules -[5] Specification of Packed Encoding Rules (PER) ". 3GPP TS 32.422: "Telecommunication Management; Subscriber and Equipment Trace; Trace [6] Control and Configuration Management". [7] 3GPP TS 32.421: "Telecommunication Management; Subscriber and Equipment Trace; Trace concepts and requirements". [8] 3GPP TS 36.424: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 data transport". [9] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRAN); Radio Resource Control (RRC) Protocol Specification". 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and [10] Modulation". 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer [11] procedures ". 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal [12] Terrestrial Radio Access Network (E-UTRAN) access". [13] 3GPP TS 23.203: "Policy and charging control architecture". 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System; Stage 3". [14] [15] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA), Evolved Universal

Terrestrial Radio Access Network (E-UTRAN); Overall description; stage 2".

| [16] | 3GPP TS 36.104: "Base Station (BS) radio transmission and reception ".  |
|------|---|
| [17] | Void.   |
| [18] | 3GPP TS 33.401: "Security architecture".  |
| [19] | 3GPP TS 36.414: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 data transport".  |
| [20] | 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC)".   |
| [21] | 3GPP TS 36.422: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 signaling transport".   |
| [22] | 3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Layer 2 - Measurements".   |
| [23] | Void.   |
| [24] | 3GPP TS 25.413: "UTRAN Iu interface RANAP signalling"   |
| [25] | 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT);Overall description; Stage 2". |
| [26] | 3GPP TS 29.281: "General Packet Radio Service (GPRS); Tunnelling Protocol User Plane (GTPv1-U)".  |
| [27] | ITU-T Recommendation X.680 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation".   |
| [28] | ITU-T Recommendation X.681 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Information object specification".  |
| [29] | 3GPP TS 23.003: "Technical Specification Group Core Network and Terminals; Numbering, addressing and identification".   |
| [30] | 3GPP TR 25.921 (version.7.0.0): "Guidelines and principles for protocol description and error handling".  |
|      |   |

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Elementary Procedure:** X2AP protocol consists of Elementary Procedures (EPs). An X2AP Elementary Procedure is a unit of interaction between two eNBs. An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- Class 1: Elementary Procedures with response (success or failure),
- Class 2: Elementary Procedures without response.

**E-RAB:** Defined in TS 36.401 [2].

**CSG Cell:** as defined in TS 36.300 [15].

**Dual Connectivity:** as defined in TS 36.300 [15].

Hybrid cell: as defined in TS 36.300 [15].

Master eNB: as defined in TS 36.300 [15].

**Secondary Cell Group:** as defined in TS 36.300 [15].

**Secondary eNB:** as defined in TS 36.300 [15].

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ABS Almost Blank Subframe
CCO Cell Change Order
CoMP Coordinated Multi Point
DC Dual Connectivity

DL Downlink

EARFCN E-UTRA Absolute Radio Frequency Channel Number

E-CID Enhanced Cell-ID (positioning method)

eNB E-UTRAN NodeB
EP Elementary Procedure
EPC Evolved Packet Core

E-RAB E-UTRAN Radio Access Bearer

E-UTRAN Evolved UTRAN

GNSS Global Navigation Satellite System
GUMMEI Globally Unique MME Identifier

HFN Hyper Frame Number IE Information Element L-GW Local GateWay MCG Master Cell Group

MDT Minimization of Drive Tests

MeNB Master eNB

MME Mobility Management Entity

NAICS Network-Assisted Interference Cancellation and Suppression

PDCP Packet Data Convergence Protocol PLMN Public Land Mobile Network

ProSe Proximity Service
SCG Secondary Cell Group
S-GW Serving Gateway
SeNB Secondary eNB

SIPTO Selected IP Traffic Offload

SIPTO@LN Selected IP Traffic Offload at the Local Network

SN Sequence Number TAC Tracking Area Code UE User Equipment

UL Uplink

#### 4 General

## 4.1 Procedure specification principles

The principle for specifying the procedure logic is to specify the functional behaviour of the terminating eNB exactly and completely. Any rule that specifies the behaviour of the originating eNB shall be possible to be verified with information that is visible within the system.

The following specification principles have been applied for the procedure text in clause 8:

- The procedure text discriminates between:
  - 1) Functionality which "shall" be executed

The procedure text indicates that the receiving node "shall" perform a certain function Y under a certain condition. If the receiving node supports procedure X but cannot perform functionality Y requested in the initiating message of a Class 1 EP, the receiving node shall respond with the message used to report unsuccessful outcome for this procedure, containing an appropriate cause value.

2) Functionality which "shall, if supported" be executed

The procedure text indicates that the receiving node "shall, if supported," perform a certain function Y under a certain condition. If the receiving node supports procedure X, but does not support functionality Y, the receiving node shall proceed with the execution of the EP, possibly informing the requesting node about the not supported functionality.

- Any required inclusion of an optional IE in a response message is explicitly indicated in the procedure text. If the procedure text does not explicitly indicate that an optional IE shall be included in a response message, the optional IE shall not be included. For requirements on including *Criticality Diagnostics* IE, see section 10.

## 4.2 Forwards and backwards compatibility

The forwards and backwards compatibility of the protocol is assured by a mechanism where all current and future messages, and IEs or groups of related IEs, include ID and criticality fields that are coded in a standard format that will not be changed in the future. These parts can always be decoded regardless of the standard version.

## 4.3 Specification notations

For the purposes of the present document, the following notations apply:

Procedure When referring to an elementary procedure in the specification the Procedure Name is written with

the first letters in each word in upper case characters followed by the word "procedure", e.g.

Handover Preparation procedure.

Message When referring to a message in the specification the MESSAGE NAME is written with all letters

in upper case characters followed by the word "message", e.g. HANDOVER REQUEST message.

IE When referring to an information element (IE) in the specification the *Information Element Name* 

is written with the first letters in each word in upper case characters and all letters in Italic font

followed by the abbreviation "IE", e.g. E-RAB ID IE.

Value of an IE When referring to the value of an information element (IE) in the specification the "Value" is

written as it is specified in sub clause 9.2 enclosed by quotation marks, e.g. "Value".

## 5 X2AP services

The present clause describes the services an eNB offers to its neighbours.

## 5.1 X2AP procedure modules

The X2 interface X2AP procedures are divided into two modules as follows:

- 1. X2AP Basic Mobility Procedures;
- 2. X2AP Global Procedures;

The X2AP Basic Mobility Procedures module contains procedures used to handle the UE mobility within E-UTRAN.

The Global Procedures module contains procedures that are not related to a specific UE. The procedures in this module are in contrast to the above module involving two peer eNBs.

#### 5.2 Parallel transactions

Unless explicitly indicated in the procedure specification, at any instance in time one protocol peer shall have a maximum of one ongoing X2AP procedure related to a certain UE.

## 6 Services expected from signalling transport

The signalling connection shall provide in sequence delivery of X2AP messages. X2AP shall be notified if the signalling connection breaks.

X2 signalling transport is described in TS 36.422 [21].

#### 7 Functions of X2AP

The X2AP protocol provides the following functions:

- Mobility Management. This function allows the eNB to move the responsibility of a certain UE to another eNB or request another eNB to provide radio resources for a certain UE while keeping responsibility for that UE. Forwarding of user plane data, Status Transfer and UE Context Release function are parts of the mobility management.
- Load Management. This function is used by eNBs to indicate resource status, overload and traffic load to each other.
- Reporting of General Error Situations. This function allows reporting of general error situations, for which function specific error messages have not been defined.
- Resetting the X2. This function is used to reset the X2 interface.
- Setting up the X2. This function is used to exchange necessary data for the eNB for setup the X2 interface and implicitly perform an X2 Reset.
- eNB Configuration Update. This function allows updating of application level data needed for two eNBs to interoperate correctly over the X2 interface.
- Mobility Parameters Management. This function allows the eNB to coordinate adaptation of mobility parameter settings with a peer eNB.
- Mobility Robustness Optimisation. This function allows reporting of information related to mobility failure events.
- Energy Saving. This function allows decreasing energy consumption by enabling indication of cell activation/deactivation over the X2 interface.
- X2 Release. This function allows an eNB to be aware that the signalling connection to a peer eNB is unavailable.
- Message transfer. This function allows indirect transport of X2AP messages to a peer eNB.

- Registration. This function allows registration of eNB in case indirect transport of X2AP messages is supported.
- Removing the X2. This function allows removing the signaling connection between two eNBs in a controlled manner.

The mapping between the above functions and X2 EPs is shown in the table below.

Table 7-1: Mapping between X2AP functions and X2AP EPs

| Function                              | Elementary Procedure(s)                 |
|---------------------------------------|---|
| Mobility Management                   | a) Handover Preparation                 |
|                                       | b) SN Status Transfer                   |
|                                       | c) UE Context Release                   |
|                                       | d) Handover Cancel                      |
| Dual Connectivity                     | a) SeNB Addition Preparation            |
|                                       | b) SeNB Reconfiguration Completion      |
|                                       | c) MeNB initiated SeNB Modification     |
|                                       | Preparation                             |
|                                       | d) SeNB initiated SeNB Modification     |
|                                       | e) MeNB initiated SeNB Release          |
|                                       | f) SeNB initiated SeNB Release          |
|                                       | g) SeNB Counter Check                   |
| Load Management                       | a) Load Indication                      |
|                                       | b) Resource Status Reporting Initiation |
|                                       | c) Resource Status Reporting            |
| Reporting of General Error Situations | Error Indication                        |
| Resetting the X2                      | Reset                                   |
| Setting up the X2                     | X2 Setup                                |
| eNB Configuration Update              | a) eNB Configuration Update             |
|                                       | b) Cell Activation                      |
| Mobility Parameters Management        | Mobility Settings Change                |
| Mobility Robustness Optimisation      | a) Radio Link Failure Indication        |
|                                       | b) Handover Report                      |
| Energy Saving                         | a) eNB Configuration Update             |
|                                       | b) Cell Activation                      |
| X2 Release                            | X2 Release                              |
| Message transfer                      | X2AP Message Transfer                   |
| Registration                          |   |
| Removing the X2                       | X2 Removal                              |

## 8 X2AP procedures

## 8.1 Elementary procedures

In the following tables, all EPs are divided into Class 1 and Class 2 EPs.

**Table 8.1-1: Class 1 Elementary Procedures** 

| Elementary                                   | Initiating Message             | Successful Outcome                            | Unsuccessful Outcome                |
|--|--------------------------------|---|-------------------------------------|
| Procedure                                    |                                | Response message                              | Response message                    |
| Handover<br>Preparation                      | HANDOVER<br>REQUEST            | HANDOVER<br>REQUEST<br>ACKNOWLEDGE            | HANDOVER<br>PREPARATION FAILURE     |
| Reset  | RESET REQUEST                  | RESET RESPONSE                                |                                     |
| X2 Setup                                     | X2 SETUP REQUEST               | X2 SETUP<br>RESPONSE                          | X2 SETUP FAILURE                    |
| eNB<br>Configuration<br>Update               | ENB<br>CONFIGURATION<br>UPDATE | ENB<br>CONFIGURATION<br>UPDATE<br>ACKNOWLEDGE | ENB CONFIGURATION<br>UPDATE FAILURE |
| Resource Status<br>Reporting<br>Initiation   | RESOURCE STATUS<br>REQUEST     | RESOURCE STATUS<br>RESPONSE                   | RESOURCE STATUS<br>FAILURE          |
| Mobility Settings<br>Change                  | MOBILITY CHANGE<br>REQUEST     | MOBILITY CHANGE<br>ACKNOWLEDGE                | MOBILITY CHANGE<br>FAILURE          |
| Cell Activation                              | CELL ACTIVATION<br>REQUEST     | CELL ACTIVATION RESPONSE                      | CELL ACTIVATION<br>FAILURE          |
| SeNB Addition<br>Preparation                 | SENB ADDITION<br>REQUEST       | SENB ADDITION<br>REQUEST<br>ACKNOWLEDGE       | SENB ADDITION<br>REQUEST REJECT     |
| MeNB initiated SeNB Modification Preparation | SENB MODIFICATION<br>REQUEST   | SENB MODIFICATION<br>REQUEST<br>ACKNOWLEDGE   | SENB MODIFICATION<br>REQUEST REJECT |
| SeNB initiated<br>SeNB<br>Modification       | SENB MODIFICATION<br>REQUIRED  | SENB MODIFICATION<br>CONFIRM                  | SENB MODIFICATION<br>REFUSE         |
| SeNB initiated<br>SeNB Release               | SENB RELEASE<br>REQUIRED       | SENB RELEASE<br>CONFIRM                       |                                     |
| X2 Removal                                   | X2 REMOVAL<br>REQUEST          | X2 REMOVAL<br>RESPONSE                        | X2 REMOVAL FAILURE                  |

**Table 8.1-2: Class 2 Elementary Procedures** 

| Elementary Procedure            | Initiating Message         |
|---------------------------------|----------------------------|
| Load Indication                 | LOAD INFORMATION           |
| Handover Cancel                 | HANDOVER CANCEL            |
| SN Status Transfer              | SN STATUS TRANSFER         |
| UE Context Release              | UE CONTEXT RELEASE         |
| Resource Status Reporting       | RESOURCE STATUS UPDATE     |
| Error Indication                | ERROR INDICATION           |
| Radio Link Failure Indication   | RLF INDICATION             |
| Handover Report                 | HANDOVER REPORT            |
| X2 Release                      | X2 RELEASE                 |
| X2AP Message Transfer           | X2AP MESSAGE TRANSFER      |
| SeNB Reconfiguration Completion | SENB RECONFIGURATION       |
|                                 | COMPLETE                   |
| MeNB initiated SeNB Release     | SENB RELEASE REQUEST       |
| SeNB Counter Check              | SENB COUNTER CHECK REQUEST |

## 8.2 Basic mobility procedures

## 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an eNB for an incoming handover.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation

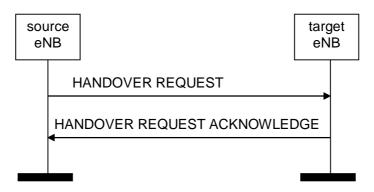


Figure 8.2.1.2-1: Handover Preparation, successful operation

The source eNB initiates the procedure by sending the HANDOVER REQUEST message to the target eNB. When the source eNB sends the HANDOVER REQUEST message, it shall start the timer  $T_{\text{RELOC}_{\text{prep.}}}$ 

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *E-RAB Level QoS Parameters* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [4].

The source eNB may include in the GUMMEI IE any GUMMEI corresponding to the source MME node.

If at least one of the requested non-GBR E-RABs is admitted to the cell indicated by the *Target Cell ID* IE, the target eNB shall reserve necessary resources, and send the HANDOVER REQUEST ACKNOWLEDGE message back to the source eNB. The target eNB shall include the E-RABs for which resources have been prepared at the target cell in the *E-RABs Admitted List* IE. The target eNB shall include the E-RABs that have not been admitted in the *E-RABs Not Admitted List* IE with an appropriate cause value.

At reception of the HANDOVER REQUEST message the target eNB shall:

- prepare the configuration of the AS security relation between the UE and the target eNB by using the information in the *UE Security Capabilities* IE and the *AS Security Information* IE in the *UE Context Information* IE.

For each E-RAB for which the source eNB proposes to do forwarding of downlink data, the source eNB shall include the *DL Forwarding* IE within the *E-RABs To be Setup Item* IE of the HANDOVER REQUEST message. For each E-RAB that it has decided to admit, the target eNB may include the *DL GTP Tunnel Endpoint* IE within the *E-RABs Admitted Item* IE of the HANDOVER REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer. This GTP tunnel endpoint may be different from the corresponding *GTP TEID* IE in the *E-RAB To Be Switched in Downlink List* IE of the PATH SWITCH REQUEST message (see TS 36.413 [4]) depending on implementation choice.

For each bearer in the *E-RABs Admitted List* IE, the target eNB may include the *UL GTP Tunnel Endpoint* IE to indicate that it requests data forwarding of uplink packets to be performed for that bearer.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message the source eNB shall stop the timer  $T_{RELOC_{prep}}$ , start the timer  $TX2_{RELOC_{overall}}$  and terminate the Handover Preparation procedure. The source eNB is then defined to have a Prepared Handover for that X2 UE-associated signalling.

If the *Trace Activation* IE is included in the HANDOVER REQUEST message then the target eNB shall, if supported, initiate the requested trace function as described in TS 32.422 [6]. In particular, the target eNB shall, if supported:

- if the *Trace Activation* IE does not include the *MDT Configuration* IE, initiate the requested trace session as described in TS 32.422 [6];
- if the *Trace Activation* IE includes the *MDT Activation* IE, within the *MDT Configuration* IE, set to 'Immediate MDT and Trace' initiate the requested trace session and MDT session as described in TS 32.422 [6];

- if the *Trace Activation* IE includes the *MDT Activation* IE, within the *MDT Configuration* IE, set to 'Immediate MDT Only' initiate the requested MDT session as described in TS 32.422 [6] and the target eNB shall ignore *Interfaces To Trace* IE, and *Trace Depth* IE;
- if the *Trace Activation* IE includes the *MDT Location Information* IE, within the *MDT Configuration* IE, store this information and take it into account in the requested MDT session;
- if the *Trace Activation* IE includes the *Signalling based MDT PLMN List* IE, within the *MDT Configuration* IE, the eNB may use it to propagate the MDT Configuration as described in TS 37.320 [31].

If the *Management Based MDT Allowed* IE only or the *Management Based MDT Allowed* IE and the *Management Based MDT PLMN List* IE is contained in the HANDOVER REQUEST message, the target eNB shall, if supported, store the received information in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [6].

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target eNB shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

The source eNB shall, if supported and available in the UE context, include the *Management Based MDT Allowed* IE and the *Management Based MDT PLMN List* IE in the HANDOVER REQUEST message, except if the source eNB selects a serving PLMN in the target eNB which is not included in the Management Based MDT PLMN List. If the *Management Based MDT PLMN List* IE is not present, the source eNB shall, if supported, include the *Management Based MDT Allowed* IE, if this information is available in the UE context, in the HANDOVER REQUEST message, except if the source eNB selects a serving PLMN in the target eNB different from the serving PLMN in the source eNB.

If the Handover Restriction List IE is

- contained in the HANDOVER REQUEST message, the target eNB shall
  - store the information received in the *Handover Restriction List* IE in the UE context;
  - use this information to determine a target for the UE during subsequent mobility action for which the eNB provides information about the target of the mobility action towards the UE, except when one of the E-RABs has a particular ARP value (TS 23.401 [12]) in which case the information shall not apply;
  - use this information to select a proper SCG during dual connectivity operation.
- not contained in the HANDOVER REQUEST message, the target eNB shall consider that no roaming and no access restriction apply to the UE.

If the *Location Reporting Information* IE is included in the HANDOVER REQUEST message then the target eNB should initiate the requested location reporting functionality as defined in TS 36.413 [4].

If the *SRVCC Operation Possible* IE is included in the HANDOVER REQUEST message, the target eNB shall store the content of such IE in the UE context and use it as defined in TS 23.216 [20].

If the *UE Security Capabilities* IE included in the HANDOVER REQUEST message only contains the EIA0 algorithm as defined in TS 33.401 [18] and if this EIA0 algorithm is defined in the configured list of allowed integrity protection algorithms in the eNB (TS 33.401 [18]), the eNB shall take it into use and ignore the keys received in the *AS Security Information* IE.

The HANDOVER REQUEST message shall contain the Subscriber Profile ID for RAT/Frequency priority IE, if available.

If the Subscriber Profile ID for RAT/Frequency priority IE is contained in the HANDOVER REQUEST message, the target eNB shall store this information and the target eNB should use the information as defined in TS 36.300 [15].

Upon reception of *UE History Information* IE in the HANDOVER REQUEST message, the target eNB shall collect the information defined as mandatory in the *UE History Information* IE and shall, if supported, collect the information defined as optional in the *UE History Information* IE, for as long as the UE stays in one of its cells, and store the collected information to be used for future handover preparations.

Upon reception of the *UE History Information from the UE* IE in the HANDOVER REQUEST message, the target eNB shall, if supported, store the collected information to be used for future handover preparations.

If the *Mobility Information* IE is provided in the HANDOVER REQUEST message, the target eNB shall, if supported, store this information and use it as defined in TS 36.300 [15]. The target eNB shall, if supported, store the C-RNTI of the source cell received in the HANDOVER REQUEST message.

If the *Expected UE Behaviour* IE is provided in the HANDOVER REQUEST message, the target eNB shall, if supported, store this information and may use it to determine the RRC connection time.

If the *ProSe Authorized* IE is contained in the HANDOVER REQUEST message and it contains one or more IEs set to "authorized", the eNB shall, if supported, consider that the UE is authorized for the relevant ProSe service(s).

#### 8.2.1.3 Unsuccessful Operation

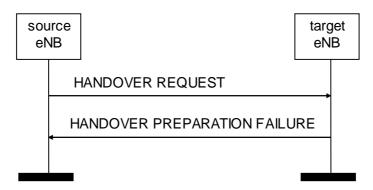


Figure 8.2.1.3-1: Handover Preparation, unsuccessful operation

If the target eNB does not admit at least one non-GBR E-RAB, or a failure occurs during the Handover Preparation, the target eNB shall send the HANDOVER PREPARATION FAILURE message to the source eNB. The message shall contain the *Cause* IE with an appropriate value.

If the target eNB receives a HANDOVER REQUEST message containing *RRC Context* IE that does not include required information as specified in TS 36.331 [9], the target eNB shall send the HANDOVER PREPARATION FAILURE message to the source eNB.

#### **Interactions with Handover Cancel procedure:**

If there is no response from the target eNB to the HANDOVER REQUEST message before timer  $T_{RELOCprep}$  expires in the source eNB, the source eNB should cancel the Handover Preparation procedure towards the target eNB by initiating the Handover Cancel procedure with the appropriate value for the *Cause* IE. The source eNB shall ignore any HANDOVER REQUEST ACKNOWLEDGE or HANDOVER PREPARATION FAILURE message received after the initiation of the Handover Cancel procedure and remove any reference and release any resources related to the concerned X2 UE-associated signalling.

#### 8.2.1.4 Abnormal Conditions

If the target eNB receives a HANDOVER REQUEST message containing multiple *E-RAB ID* IEs (in the *E-RABs To Be Setup List* IE) set to the same value, the target eNB shall not admit the corresponding E-RABs.

If the target eNB receives a HANDOVER REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in TS 23.203 [13]), and which does not contain the *GBR QoS Information* IE, the target eNB shall not admit the corresponding E-RAB.

If the supported algorithms for encryption defined in the *Encryption Algorithms* IE in the *UE Security Capabilities* IE in the *UE Context Information* IE, plus the mandated support of EEA0 in all UEs (TS 33.401 [18]), do not match any algorithms defined in the configured list of allowed encryption algorithms in the target eNB (TS 33.401 [18]), the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the supported algorithms for integrity defined in the *Integrity Protection Algorithms* IE in the *UE Security Capabilities* IE in the *UE Context Information* IE, plus the mandated support of the EIA0 algorithm in all UEs (TS 33.401 [18]), do not match any algorithms defined in the configured list of allowed integrity protection algorithms in the eNB (TS 33.401 [18]), the eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the target eNB receives a HANDOVER REQUEST message which does not contain the *Handover Restriction List* IE, and the PLMN to be used cannot be determined otherwise, the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the target eNB receives a HANDOVER REQUEST message containing the *Handover Restriction List* IE, and the serving PLMN is not supported by the target cell, the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the target eNB receives a HANDOVER REQUEST message which does not contain the *CSG Membership Status* IE, and the target cell is a hybrid cell, the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the target cell is a CSG cell and the target eNB has not received any CSG ID of the source cell, the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

If the target cell is a CSG cell with a different CSG from the source cell, the target eNB shall reject the procedure using the HANDOVER PREPARATION FAILURE message.

#### 8.2.2 SN Status Transfer

#### 8.2.2.1 General

The purpose of the SN Status Transfer procedure is to transfer the uplink PDCP SN and HFN receiver status and the downlink PDCP SN and HFN transmitter status either, from the source to the target eNB during an X2 handover, or between the eNBs involved in dual connectivity, for each respective E-RAB for which PDCP SN and HFN status preservation applies.

If the SN Status Transfer procedure is applied in the course of dual connectivity, in the subsequent specification text

- the behaviour of the eNB from which the E-RAB context is transferred, i.e., the eNB involved in dual connectivity from which data forwarding, is specified by the behaviour of the "source eNB",
- the behaviour of the eNB to which the E-RAB context is transferred, i.e., the eNB involved in dual connectivity to which data is forwarded, is specified by the behaviour of the "target eNB".

The procedure uses UE-associated signalling.

#### 8.2.2.2 Successful Operation



Figure 8.2.2.2-1: SN Status Transfer, successful operation

The source eNB initiates the procedure by stop assigning PDCP SNs to downlink SDUs and stop delivering UL SDUs towards the EPC and sending the SN STATUS TRANSFER message to the target eNB at the time point when it considers the transmitter/receiver status to be frozen. The target eNB using Full Configuration for this handover as per TS 36.300 [15] shall ignore the information received in this message.

The *E-RABs Subject To Status Transfer List* IE included in the SN STATUS TRANSFER message contains the E-RAB ID(s) corresponding to the E-RAB(s) for which PDCP SN and HFN status preservation shall be applied.

If the source eNB includes in the SN STATUS TRANSFER message, the information on the missing and received uplink SDUs in the *Receive Status Of UL PDCP SDUs* IE or *Receive Status Of UL PDCP SDUs Extended* IE for each E-RAB for which the source eNB has accepted the request from the target eNB for uplink forwarding, then the target eNB may use it in a Status Report message sent to the UE over the radio.

For each E-RAB for which the *DL COUNT Value* IE is received in the SN STATUS TRANSFER message, the target eNB shall use it to mark with the value contained in the *PDCP-SN* IE of this IE the first downlink packet for which there is no PDCP SN yet assigned. If the *DL COUNT Value Extended* IE is included in the *E-RABs Subject To Status Transfer Item* IE, the target eNB shall, if supported, use the value contained in the *PDCP-SN Extended* IE of the *DL COUNT Value Extended* IE instead of the value contained in the *PDCP-SN* IE of the *DL COUNT Value* IE.

For each E-RAB for which the *UL COUNT Value* IE is received in the SN STATUS TRANSFER message, the target eNB shall not deliver any uplink packet which has a PDCP SN lower than the value contained in the *PDCP-SN* IE of this IE. If the *UL COUNT Value Extended* IE is included in the *E-RABs Subject To Status Transfer Item* IE, the target eNB shall, if supported, use the value contained in the *PDCP-SN Extended* IE of the *UL COUNT Value Extended* IE instead of the value contained in the *PDCP-SN* IE of the *UL COUNT Value* IE.

#### 8.2.2.3 Abnormal Conditions

If the target eNB receives this message for a UE for which no prepared handover exists at the target eNB, the target eNB shall ignore the message.

#### 8.2.3 UE Context Release

#### 8.2.3.1 General

For handover, the UE Context Release procedure is initiated by the target eNB to indicate to the source eNB that radio and control plane resources for the associated UE context are allowed to be released.

For dual connectivity, UE Context Release procedure is initiated by the MeNB to finally release the UE context at the SeNB.

The procedure uses UE-associated signalling.

#### 8.2.3.2 Successful Operation



Figure 8.2.3.2-1: UE Context Release, successful operation for handover



Figure 8.2.3.2-2: UE Context Release, successful operation for dual connectivity

#### Handover

The UE Context Release procedure is initiated by the target eNB. By sending the UE CONTEXT RELEASE message the target eNB informs the source eNB of Handover success and triggers the release of resources.

Upon reception of the UE CONTEXT RELEASE message, the source eNB may release radio and control plane related resources associated to the UE context. For E-RABs for which data forwarding has been performed, the source eNB should continue forwarding of U-plane data as long as packets are received at the source eNB from the EPC or the source eNB buffer has not been emptied (an implementation dependent mechanism decides that data forwarding can be stopped). When the eNB supporting L-GW function for SIPTO@LN operation releases radio and control plane related resources associated to the UE context, it shall also request using intra-node signalling the collocated L-GW to release the SIPTO@LN PDN connection as defined in TS 23.401 [12].

#### **Dual Connectivity**

The UE Context Release procedure is initiated by the MeNB. By sending the UE CONTEXT RELEASE message the MeNB informs the SeNB that the UE Context can be removed.

Upon reception of the UE CONTEXT RELEASE message, the SeNB may release radio and control plane related resources associated to the UE context. For E-RABs for which data forwarding has been performed, the SeNB should continue forwarding of U-plane data as long as packets are received at the SeNB from the EPC or the SeNB buffer has not been emptied (an implementation dependent mechanism decides that data forwarding can be stopped).

#### 8.2.3.3 Unsuccessful Operation

Not applicable.

#### 8.2.3.4 Abnormal Conditions

If the UE Context Release procedure is not initiated towards the source eNB from any prepared eNB before the expiry of the timer  $TX2_{RELOCoverall}$ , the source eNB shall request the MME to release the UE context.

If the UE returns to source eNB before the reception of the UE CONTEXT RELEASE message or the expiry of the timer  $TX2_{RELOCoverall}$ , the source eNB shall stop the  $TX2_{RELOCoverall}$  and continue to serve the UE.

#### 8.2.4 Handover Cancel

#### 8.2.4.1 General

The Handover Cancel procedure is used to enable a source eNB to cancel an ongoing handover preparation or an already prepared handover.

The procedure uses UE-associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: Handover Cancel, successful operation

The source eNB initiates the procedure by sending the HANDOVER CANCEL message to the target eNB. The source eNB shall indicate the reason for cancelling the handover by means of an appropriate cause value.

At the reception of the HANDOVER CANCEL message, the target eNB shall remove any reference to, and release any resources previously reserved to the concerned UE context.

The New eNB UE X2AP ID IE shall be included if it has been obtained from the target eNB.

#### 8.2.4.3 Unsuccessful Operation

Not applicable.

#### 8.2.4.4 Abnormal Conditions

Should the HANDOVER CANCEL message refer to a context that does not exist, the target eNB shall ignore the message.

#### 8.3 Global Procedures

#### 8.3.1 Load Indication

#### 8.3.1.1 General

The purpose of the Load Indication procedure is to transfer load and interference co-ordination information between eNBs controlling intra-frequency neighboring cells, and additionally between eNBs controlling inter-frequency neighboring cells for TDD.

The procedure uses non UE-associated signalling.

#### 8.3.1.2 Successful Operation

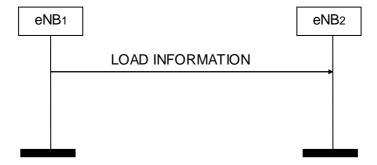


Figure 8.3.1.2-1: Load Indication, successful operation

An eNB<sub>1</sub> initiates the procedure by sending LOAD INFORMATION message to a peer eNB<sub>2</sub>.

If the *UL Interference Overload Indication* IE is received in the LOAD INFORMATION message, it indicates the interference level experienced by the indicated cell on all resource blocks, per PRB. If the *Extended UL Interference Overload Info* IE is received in the LOAD INFORMATION message, the *UL Interference Overload Indication* IE indicates the interference level experienced by the indicated cell ignoring the UL subframe(s) represented as value '1' in the *Associated Subframes* IE. The receiving eNB may take such information into account when setting its scheduling policy and shall consider the received *UL Interference Overload Indication* IE value valid until reception of a new LOAD INFORMATION message carrying an update of the same IE.

If the *UL High Interference Indication* IE is received in the LOAD INFORMATION message, it indicates, per PRB, the occurrence of high interference sensitivity, as seen from the sending eNB. The receiving eNB should try to avoid scheduling cell edge UEs in its cells for the concerned PRBs. The *Target Cell ID* IE received within the *UL High Interference Information* IE group in the LOAD INFORMATION message indicates the cell for which the corresponding UL High Interference Indication is meant. The receiving eNB shall consider the value of the *UL High Interference Information* IE group valid until reception of a new LOAD INFORMATION message carrying an update.

If the *Relative Narrowband Tx Power (RNTP)* IE is received in the LOAD INFORMATION message, it indicates, per PRB, whether downlink transmission power is lower than the value indicated by the *RNTP Threshold* IE. The receiving eNB may take such information into account when setting its scheduling policy and shall consider the received *Relative Narrowband Tx Power (RNTP)* IE value valid until reception of a new LOAD INFORMATION message carrying an update.

If the ABS Information IE is included in the LOAD INFORMATION message, the ABS Pattern Info IE indicates the subframes designated as almost blank subframes by the sending eNB for the purpose of interference coordination. The receiving eNB may take such information into consideration when scheduling UEs.

The receiving eNB may use the *Measurement Subset* IE received in the LOAD INFORMATION message, for the configuration of specific measurements towards the UE.

The receiving eNB shall consider the received information as immediately applicable. The receiving eNB shall consider the value of the *ABS Information* IE valid until reception of a new LOAD INFORMATION message carrying an update.

If an ABS indicated in the ABS pattern info IE coincides with a MBSFN subframe, the receiving eNB shall consider that the subframe is designated as almost blank subframe by the sending eNB.

If the *Invoke Indication* IE is included in the LOAD INFORMATION message, it indicates which type of information the sending eNB would like the receiving eNB to send back. The receiving eNB may take such request into account.

If the *Invoke Indication* IE is set to "ABS Information", it indicates the sending eNB would like the receiving eNB to initiate the Load Indication procedure, with the LOAD INFORMATION message containing the *ABS Information* IE indicating non-zero ABS patterns in the relevant cells. If the *Invoke Indication* IE is set to "Start NAICS Information", it indicates the sending eNB would like the receiving eNB to initiate the Load Indication procedure with the LOAD INFORMATION message containing the *Dynamic DL transmission information* IE. The first time the *Dynamic DL transmission information* IE is signalled after receiving the *Invoke Indication* IE set to "Start NAICS Information", all the NAICS parameters in the *NAICS Information* IE shall be included. If the *Invoke Indication* IE is set to "Stop NAICS Information", it indicates the sending eNB does not need NAICS information and therefore the receiving eNB should stop signalling NAICS parameters for the concerned cell.

If the *NAICS Information* IE is set to "NAICS Active", the receiving eNB may use it for the configuration of DL interference mitigation assistance information towards the UE. Information included in the *NAICS Information* IE shall replace corresponding NAICS information existing at the receiver. If the *NAICS Information* IE is set to "NAICS Inactive", the receiving eNB shall consider the existing NAICS information as invalid.

If the *Intended UL-DL Configuration* IE is included in the LOAD INFORMATION message, it indicates the UL-DL configuration intended to be used by the indicated cell. The receiving eNB may take such information into account when setting its scheduling policy and shall consider the received *Intended UL-DL Configuration* IE value valid until reception of a new LOAD INFORMATION message carrying an update of the same IE.

If the *Extended UL Interference Overload Info* IE is received in the LOAD INFORMATION message, the *Extended UL Interference Overload Indication* IE indicates the interference level experienced by the indicated cell on all resource blocks, per PRB, in the UL subframe(s) which is represented as value '1' in the *Associated Subframes* IE. The receiving eNB may take such information into account when setting its scheduling policy and shall consider the received

Extended UL Interference Overload Info IE value valid until reception of a new LOAD INFORMATION message carrying an update of the same IE.

If the *CoMP Information* IE is received in the LOAD INFORMATION message, the receiving eNB may take the IE into account for RRM. The receiving eNB shall consider the *CoMP Information* IE valid starting in the subframe indicated by the *Start SFN* IE and *Start Subframe Number* IE, if present. If the *Start SFN* IE and *Start Subframe Number* IE are not present, then the receiving eNB shall consider the *CoMP Information* IE as immediately valid. The receiving eNB shall consider the *CoMP Information* IE valid until an update of the same IE, received in a new LOAD INFORMATION message, is considered valid.

#### 8.3.1.3 Unsuccessful Operation

Not applicable.

#### 8.3.1.4 Abnormal Conditions

Void.

#### 8.3.2 Error Indication

#### 8.3.2.1 General

The Error Indication procedure is initiated by an eNB to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

If the error situation arises due to reception of a message utilising UE associated signalling, then the Error Indication procedure uses UE-associated signalling. Otherwise the procedure uses non UE-associated signalling.

#### 8.3.2.2 Successful Operation

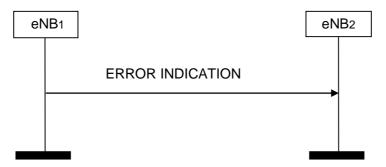


Figure 8.3.2.2-1: Error Indication, successful operation.

When the conditions defined in clause 10 are fulfilled, the Error Indication procedure is initiated by an ERROR INDICATION message sent from the node detecting the error situation.

The ERROR INDICATION message shall contain at least either the Cause IE or the Criticality Diagnostics IE.

In case the Error Indication procedure is triggered by UE associated signalling

- in the course of handover signalling the *Old eNB UE X2AP ID* IE, which is the AP ID allocated by the source eNB, and the *New eNB UE X2AP ID* IE, which is the AP ID allocated by the target eNB
- in the course of signalling for dual connectivity, the *Old eNB UE X2AP ID* IE, which is the AP ID allocated by the SeNB, and the *New eNB UE X2AP ID* IE, which is the AP ID allocated by the MeNB

shall be included in the ERROR INDICATION message. If one or both of *Old eNB UE X2AP ID* IE and *New eNB UE X2AP ID* IE are not correct, the cause shall be set to appropriate value e.g. "unknown Old eNB UE X2AP ID", "unknown New eNB UE X2AP ID" or "unknown pair of UE X2AP ID".

#### 8.3.2.3 Unsuccessful Operation

Not applicable.

#### 8.3.2.4 Abnormal Conditions

Not applicable.

#### 8.3.3 X2 Setup

#### 8.3.3.1 General

The purpose of the X2 Setup procedure is to exchange application level configuration data needed for two eNBs to interoperate correctly over the X2 interface. This procedure erases any existing application level configuration data in the two nodes and replaces it by the one received. This procedure also resets the X2 interface like a Reset procedure would do.

The procedure uses non UE-associated signalling.

#### 8.3.3.2 Successful Operation

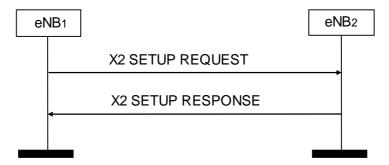


Figure 8.3.3.2-1: X2 Setup, successful operation

An eNB<sub>1</sub> initiates the procedure by sending the X2 SETUP REQUEST message to a candidate eNB<sub>2</sub>. The candidate eNB<sub>2</sub> replies with the X2 SETUP RESPONSE message. The initiating eNB<sub>1</sub> shall transfer the complete list of its served cells and, if available, a list of supported GU Group Ids to the candidate eNB<sub>2</sub>. The candidate eNB<sub>2</sub> shall reply with the complete list of its served cells and shall include, if available, a list of supported GU Group Ids in the reply.

If a cell is switched off for energy savings reasons, it should be activated before initiating or responding to the X2 Setup procedure and shall still be included in the list of served cells.

The initiating eNB<sub>1</sub> may include the *Neighbour Information* IE in the X2 SETUP REQUEST message. The candidate eNB<sub>2</sub> may also include the *Neighbour Information* IE in the X2 SETUP RESPONSE message. The *Neighbour Information* IE shall only include E-UTRAN cells that are direct neighbours of cells in the reporting eNB. A direct neighbour of one cell of a given eNB may be any cell belonging to an eNB that is a neighbour of that given eNB cell e.g. even if the cell has not been reported by a UE. The initiating eNB<sub>1</sub> may include the *TAC* IE with the *Neighbour Information* IE in the X2 SETUP REQUEST message. The candidate eNB<sub>2</sub> may also include the *TAC* IE with the *Neighbour Information* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.300 [15].

The initiating eNB<sub>1</sub> may include the *Number of Antenna Ports* IE in the X2 SETUP REQUEST message. The candidate eNB<sub>2</sub> may also include the *Number of Antenna Ports* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.331 [9].

The initiating  $eNB_1$  may include the *PRACH Configuration* IE in the X2 SETUP REQUEST message. The candidate  $eNB_2$  may also include the *PRACH Configuration* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use this information for RACH optimisation.

The initiating eNB<sub>1</sub> may include the *MBSFN Subframe Info* IE in the X2 SETUP REQUEST message. The candidate eNB<sub>2</sub> may also include the *MBSFN Subframe Info* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.331 [9].

For each CSG cell or hybrid cell served by the initiating eNB<sub>1</sub> the X2 SETUP REQUEST message shall contain the *CSG ID* IE. For each CSG cell or hybrid cell served by the candidate eNB<sub>2</sub> the X2 SETUP RESPONSE message shall contain the *CSG ID* IE. The eNB receiving the IE shall take this information into account when further deciding whether X2 handover between the source cell and the target cell may be performed.

The initiating eNB<sub>1</sub> may include the *MBMS Service Area Identity List* IE in the X2 SETUP REQUEST message. The candidate eNB<sub>2</sub> may also include the *MBMS Service Area Identity List* IE in the X2 SETUP RESPONSE message. The eNB receiving the IE may use it according to TS 36.300 [15].

For each cell served by the initiating eNB<sub>1</sub> the X2 SETUP REQUEST message may contain the *MultibandInfoList* IE. For each cell served by the candidate eNB<sub>2</sub> the X2 SETUP RESPONSE message may contain the *MultibandInfoList* IE. The eNB receiving the IE shall, if supported, take this information into account when further deciding whether subsequent mobility actions between the source cell and the target cell may be performed.

#### 8.3.3.3 Unsuccessful Operation

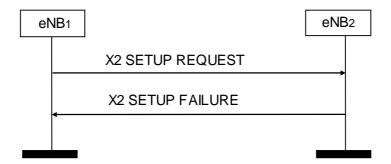


Figure 8.3.3.3-1: X2 Setup, unsuccessful operation

If the candidate  $eNB_2$  cannot accept the setup it shall respond with an X2 SETUP FAILURE message with appropriate cause value.

If the X2 SETUP FAILURE message includes the *Time To Wait* IE the initiating eNB<sub>1</sub> shall wait at least for the indicated time before reinitiating the X2 Setup procedure towards the same eNB<sub>2</sub>.

#### 8.3.3.4 Abnormal Conditions

If the first message received for a specific TNL association is not an X2 SETUP REQUEST, X2 SETUP RESPONSE, or X2 SETUP FAILURE message then this shall be treated as a logical error.

If the initiating eNB<sub>1</sub> does not receive either X2 SETUP RESPONSE message or X2 SETUP FAILURE message, the eNB<sub>1</sub> may reinitiate the X2 Setup procedure towards the same eNB, provided that the content of the new X2 SETUP REQUEST message is identical to the content of the previously unacknowledged X2 SETUP REQUEST message.

If the initiating eNB<sub>1</sub> receives an X2 SETUP REQUEST message from the peer entity on the same X2 interface:

- In case the eNB<sub>1</sub> answers with an X2 SETUP RESPONSE message and receives a subsequent X2 SETUP FAILURE message, the eNB<sub>1</sub> shall consider the X2 interface as non operational and the procedure as unsuccessfully terminated according to sub clause 8.3.3.3.
- In case the eNB<sub>1</sub> answers with an X2 SETUP FAILURE message and receives a subsequent X2 SETUP RESPONSE message, the eNB<sub>1</sub> shall ignore the X2 SETUP RESPONSE message and consider the X2 interface as non operational.

#### 8.3.4 Reset

#### 8.3.4.1 General

The purpose of the Reset procedure is to align the resources in eNB<sub>1</sub> and eNB<sub>2</sub> in the event of an abnormal failure. The procedure resets the X2 interface. This procedure doesn"t affect the application level configuration data exchanged during, e.g., the X2 Setup procedure.

The procedure uses non UE-associated signalling.

#### 8.3.4.2 Successful Operation

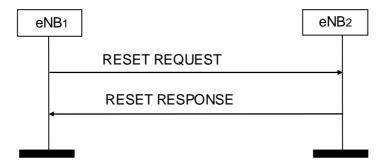


Figure 8.3.4.2-1: Reset, successful operation

The procedure is initiated with a RESET REQUEST message sent from the  $eNB_1$  to the  $eNB_2$ . Upon receipt of this message,  $eNB_2$  shall abort any other ongoing procedures over X2 between  $eNB_1$  and  $eNB_2$ . The  $eNB_2$  shall delete all the context information related to the  $eNB_1$ , except the application level configuration data exchanged during the X2 Setup or eNB Configuration Update procedures, and release the corresponding resources. After completion of release of the resources, the  $eNB_2$  shall respond with a RESET RESPONSE message.

#### 8.3.4.3 Unsuccessful Operation

Void.

#### 8.3.4.4 Abnormal Conditions

If the RESET REQUEST message is received, any other ongoing procedure (except another Reset procedure) on the same X2 interface shall be aborted.

If Reset procedure is ongoing and the eNB<sub>2</sub> receives the RESET REQUEST message from the peer entity on the same X2 interface, the eNB<sub>2</sub> shall respond with the RESET RESPONSE message as described in 8.3.4.2.

If the initiating eNB does not receive RESET RESPONSE message, the eNB<sub>1</sub> may reinitiate the Reset procedure towards the same eNB, provided that the content of the new RESET REQUEST message is identical to the content of the previously unacknowledged RESET REQUEST message.

#### 8.3.5 eNB Configuration Update

#### 8.3.5.1 General

The purpose of the eNB Configuration Update procedure is to update application level configuration data needed for two eNBs to interoperate correctly over the X2 interface.

The procedure uses non UE-associated signalling.

#### 8.3.5.2 Successful Operation

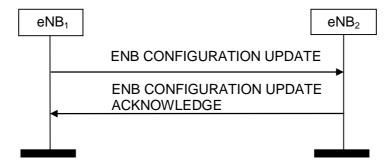


Figure 8.3.5.2-1: eNB Configuration Update, successful operation

An  $eNB_1$  initiates the procedure by sending an ENB CONFIGURATION UPDATE message to a peer  $eNB_2$ . Such message shall include an appropriate set of up-to-date configuration data, including, but not limited to, the complete lists of added, modified and deleted served cells, that  $eNB_1$  has just taken into operational use.

Upon reception of an ENB CONFIGURATION UPDATE message,  $eNB_2$  shall update the information for  $eNB_1$  as follows:

#### **Update of Served Cell Information:**

- If Served Cells To Add IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> shall add cell
  information according to the information in the Served Cell Information IE.
- If *Number of Antenna Ports* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> may use this information according to TS 36.331 [9].
- If the *PRACH Configuration* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, the eNB receiving the IE may use this information for RACH optimisation.
- If Served Cells To Modify IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> shall modify information of cell indicated by Old ECGI IE according to the information in the Served Cell Information IE.
- If MBSFN Subframe Info IE is contained in the Served Cell Information IE in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> may use this information according to TS 36.331 [9]. If a MBSFN subframe indicated in the MBSFN Subframe Info IE coincides with an ABS, the eNB<sub>2</sub> shall consider that the subframe is designated as ABS by the sending eNB.

When either served cell information or neighbour information of an existing served cell in  $eNB_1$  need to be updated, the whole list of neighbouring cells, if any, shall be contained in the Neighbour Information IE.

If the *Deactivation Indication* IE is contained in *Served Cells To Modify* IE, it indicates that the concerned cell was switched off to lower energy consumption.

The eNB<sub>2</sub> shall overwrite the served cell information and the whole list of neighbour cell information for the affected served cell.

- If *Served Cells To Delete* IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> shall delete information of cell indicated by *Old ECGI* IE.
- If *MBMS Service Area Identity List* IE is contained in the *Served Cell Information* IE in the ENB CONFIGURATION UPDATE message, the eNB receiving the IE may use it according to TS 36.300 [15].

When the MBMS Service Area Identities of a cell in eNB1 need to be updated, the whole list of MBMS Service Area Identities of the affected cell shall be contained in the *Served Cell Information* IE.

#### **Update of GU Group Id List:**

- If *GU Group Id To Add List* IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> shall add the GU Group Id to its GU Group Id List.
- If *GU Group Id To Delete List* IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> shall remove the GU Group Id from its GU Group Id List.

If *Neighbour Information* IE is contained in the ENB CONFIGURATION UPDATE message, eNB<sub>2</sub> may use this information to update its neighbour cell relations, or use it for other functions, like PCI selection. The *Neighbour Information* IE shall only include E-UTRAN cells that are direct neighbours of cells in the reporting eNB. A direct neighbour of one cell of a given eNB may be any cell belonging to an eNB that is a neighbour of that given eNB cell e.g. even if that cell has not been reported by a UE. The *Neighbour Information* IE may contain the *TAC* IE of the included cells. The receiving eNB may use *TAC* IE, as described in TS 36.300 [15].

After successful update of requested information, eNB<sub>2</sub> shall reply with the ENB CONFIGURATION UPDATE ACKNOWLEDGE message to inform the initiating eNB<sub>1</sub> that the requested update of application data was performed successfully. In case the peer eNB<sub>2</sub> receives an ENB CONFIGURATION UPDATE without any IE except for *Message Type* IE it shall reply with ENB CONFIGURATION UPDATE ACKNOWLEDGE message without performing any updates to the existing configuration.

The eNB<sub>1</sub> may initiate a further eNB Configuration Update procedure only after a previous eNB Configuration Update procedure has been completed.

For each cell served by the initiating eNB1 the ENB CONFIGURATION UPDATE message may contain the *MultibandInfoList* IE. The eNB receiving the IE shall, if supported, take this information into account when further deciding whether subsequent mobility actions between the source cell and the target cell may be performed.

#### 8.3.5.3 Unsuccessful Operation

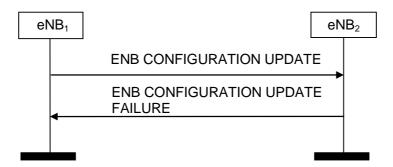


Figure 8.3.5.3-1: eNB Configuration Update, unsuccessful operation

If the  $eNB_2$  can not accept the update it shall respond with an ENB CONFIGURATION UPDATE FAILURE message and appropriate cause value.

If the ENB CONFIGURATION UPDATE FAILURE message includes the *Time To Wait* IE the eNB<sub>1</sub> shall wait at least for the indicated time before reinitiating the eNB Configuration Update procedure towards the same eNB<sub>2</sub>. Both nodes shall continue to operate the X2 with their existing configuration data.

#### 8.3.5.4 Abnormal Conditions

If the eNB<sub>1</sub> after initiating eNB Configuration Update procedure receives neither ENB CONFIGURATION UPDATE ACKNOWLEDGE message nor ENB CONFIGURATION UPDATE FAILURE message, the eNB<sub>1</sub> may reinitiate the eNB Configuration Update procedure towards the same eNB<sub>2</sub>, provided that the content of the new ENB CONFIGURATION UPDATE message is identical to the content of the previously unacknowledged ENB CONFIGURATION UPDATE message.

## 8.3.6 Resource Status Reporting Initiation

#### 8.3.6.1 General

This procedure is used by an eNB to request the reporting of load measurements to another eNB.

The procedure uses non UE-associated signalling.

#### 8.3.6.2 Successful Operation

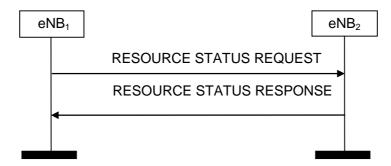


Figure 8.3.6.2-1: Resource Status Reporting Initiation, successful operation

The procedure is initiated with a RESOURCE STATUS REQUEST message sent from eNB<sub>1</sub> to eNB<sub>2</sub>. Upon receipt, eNB<sub>2</sub> shall initiate the requested measurement according to the parameters given in the request in case the *Registration Request* IE set to "start" and shall stop all cells measurements and terminate the reporting in case the *Registration Request* IE is set to 'stop".

If the *Registration Request* IE is set to "start" then the *Report Characteristics* IE shall be included in RESOURCE STATUS REQUEST message.

The Report Characteristics IE indicates the type of objects eNB<sub>2</sub> shall perform measurements on.

For each cell, the eNB<sub>2</sub> shall include in the RESOURCE STATUS UPDATE message:

- the *Radio Resource Status* IE, if the first bit, 'PRB Periodic' of the *Report Characteristics* IE included in the RESOURCE STATUS REQUEST message is set to 1;
- the *S1 TNL Load Indicator* IE, if the second bit, 'TNL Load Ind Periodic' of the *Report Characteristics* IE included in the RESOURCE STATUS REQUEST message is set to 1;
- the *Hardware Load Indicator* IE, if the third bit, 'HW Load Ind Periodic' of the *Report Characteristics* IE included in the RESOURCE STATUS REQUEST message is set to 1;
- the *Composite Available Capacity Group* IE, if the fourth bit, 'Composite Available Capacity Periodic' of the *Report Characteristics* IE included in the RESOURCE STATUS REQUEST message is set to 1. If *Cell Capacity Class Value* IE is included within the *Composite Available Capacity Group* IE, this IE is used to assign weights to the available capacity indicated in the *Capacity Value* IE;
- the ABS Status IE, if the fifth bit, 'ABS Status Periodic' of the Report Characteristics IE included in the RESOURCE STATUS REQUEST message is set to 1 and eNB<sub>1</sub> had indicated the ABS pattern to eNB<sub>2</sub>;
- the RSRP Measurement Report List IE, if the sixth bit, 'RSRP Measurement Report Periodic' of the Report Characteristics IE included in the RESOURCE STATUS REQUEST message is set to 1..

If the Reporting Periodicity IE is included in the RESOURCE STATUS REQUEST message, eNB $_2$  shall use its value as the time interval between two subsequent RESOURCE STATUS UPDATE messages that include the Radio Resource Status IE, S1 TNL Load Indicator IE, Hardware Load Indicator IE, Composite Available Capacity Group IE, or ABS Status IE.

If the *Reporting Periodicity of RSRP Measurement Report* IE is included in the RESOURCE STATUS REQUEST message, eNB<sub>2</sub> shall use its value as the minimum time interval between two subsequent RESOURCE STATUS UPDATE messages that include the *RSRP Measurement Report List* IE.

If eNB<sub>2</sub> is capable to provide all requested resource status information, it shall initiate the measurement as requested by eNB<sub>1</sub>, and respond with the RESOURCE STATUS RESPONSE message.

If eNB<sub>2</sub> is capable to provide some but not all of the requested resource status information and the *Partial Success Indicator* IE is present in the RESOURCE STATUS REQUEST message, it shall initiate the measurement for the admitted measurement objects and include the *Measurement Initiation Result* IE in the RESOURCE STATUS RESPONSE message.

If the eNB<sub>2</sub> received a RESOURCE STATUS REQUEST message which includes the *Registration Request* IE set to "stop", the *Cell To Report* IE list shall be ignored.

#### 8.3.6.3 Unsuccessful Operation

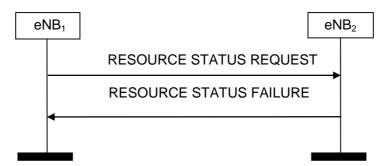


Figure 8.3.6.3-1: Resource Status Reporting Initiation, unsuccessful operation

If none of the requested measurements can be initiated,  $eNB_2$  shall send a RESOURCE STATUS FAILURE message. The *Cause* IE shall be set to an appropriate value e.g. "Measurement Temporarily not Available" or "Measurement not Supported For The Object" for each requested measurement object. The eNB may use the *Complete Failure Cause Information* IE to enhance the failure cause information per measurement in the RESOURCE STATUS FAILURE message.

#### 8.3.6.4 Abnormal Conditions

If the initiating eNB<sub>1</sub> does not receive either RESOURCE STATUS RESPONSE message or RESOURCE STATUS FAILURE message, the eNB<sub>1</sub> may reinitiate the Resource Status Reporting Initiation procedure towards the same eNB, provided that the content of the new RESOURCE STATUS REQUEST message is identical to the content of the previously unacknowledged RESOURCE STATUS REQUEST message.

If the initiating eNB<sub>1</sub> receives the RESOURCE STATUS RESPONSE message including the *Measurement Initiation Result* IE containing no admitted measurements, the eNB<sub>1</sub> shall consider the procedure as failed.

If the *Report Characteristics* IE bitmap is set to "0" (all bits are set to "0") in the RESOURCE STATUS REQUEST message then  $eNB_2$  shall initiate a RESOURCE STATUS FAILURE message, the cause shall be set to appropriate value e.g. "ReportCharacteristicsEmpty".

If the *Reporting Periodicity* IE value is not specified when at least one of the bits of the *Report Characteristics* IE, for which semantics is specified, other than the sixth bit, is set to 1 then eNB<sub>2</sub> shall initiate a RESOURCE STATUS FAILURE message, the cause shall be set to appropriate value e.g. "NoReportPeriodicity".

If the *Reporting Periodicity of RSRP Measurement Report* IE value is not specified when the sixth bit of the *Report Characteristics* IE is set to 1, then eNB<sub>2</sub> shall initiate the RESOURCE STATUS FAILURE message and the cause shall be set to appropriate value e.g. "NoReportPeriodicity".

If the eNB<sub>2</sub> received a RESOURCE STATUS REQUEST message which includes the *Registration Request* IE set to "start" and the *eNB1Measurement ID* IE corresponding to an existing on-going load measurement reporting, then eNB<sub>2</sub> shall initiate a RESOURCE STATUS FAILURE message, the cause shall be set to appropriate value e.g. "ExistingMeasurementID".

If the *Registration Request* IE is set to "stop" and the RESOURCE STATUS REQUEST message does not contain *eNB2 Measurement ID* IE, eNB<sub>2</sub> shall consider the procedure as failed and respond with the RESOURCE STATUS FAILURE message, the cause shall be set to appropriate value e.g. "Unknown eNB Measurement ID".

#### 8.3.7 Resource Status Reporting

#### 8.3.7.1 General

This procedure is initiated by eNB<sub>2</sub> to report the result of measurements admitted by eNB<sub>2</sub> following a successful Resource Status Reporting Initiation procedure.

The procedure uses non UE-associated signalling.

#### 8.3.7.2 Successful Operation



Figure 8.3.7.2-1: Resource Status Reporting, successful operation

The eNB<sub>2</sub> shall report the results of the admitted measurements in RESOURCE STATUS UPDATE message. The admitted measurements are the measurements that were successfully initiated during the preceding Resource Status Reporting Initiation procedure, and thus not reported in the *Measurement Failed Report Characteristics* IE for the concerned cell in the RESOURCE STATUS RESPONSE message.

#### 8.3.7.3 Unsuccessful Operation

Not applicable.

#### 8.3.7.4 Abnormal Conditions

If the eNB<sub>1</sub> receives a RESOURCE STATUS UPDATE message which includes the *ABS Status* IE, and all bits in the *Usable ABS Pattern Info* IE are set to '0', the eNB1 shall ignore the *DL ABS Status* IE.

#### 8.3.8 Mobility Settings Change

#### 8.3.8.1 General

This procedure enables an eNB to negotiate the handover trigger settings with a peer eNB controlling neighbouring cells.

The procedure uses non UE-associated signalling.

#### 8.3.8.2 Successful Operation

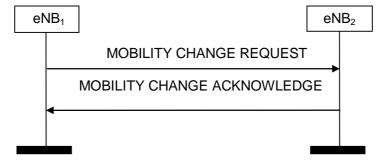


Figure 8.3.8.2-1: Mobility Settings Change, successful operation

The procedure is initiated with a MOBILITY CHANGE REQUEST message sent from eNB<sub>1</sub> to eNB<sub>2</sub>.

Upon receipt,  $eNB_2$  shall evaluate if the proposed  $eNB_2$  handover trigger modification may be accepted. If  $eNB_2$  is able to successfully complete the request it shall reply with MOBILITY CHANGE ACKNOWLEDGE.

#### 8.3.8.3 Unsuccessful Operation

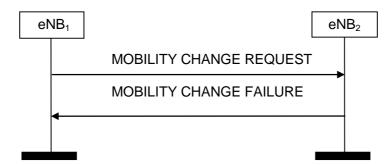


Figure 8.3.8.3-1: Mobility Settings Change, unsuccessful operation

If the requested parameter modification is refused by the  $eNB_2$ , or if the  $eNB_2$  is not able to complete the procedure, the  $eNB_2$  shall send a MOBILITY CHANGE FAILURE message with the *Cause* IE set to an appropriate value. The  $eNB_2$  may include eNB2 Mobility Parameters Modification Range IE in MOBILITY CHANGE FAILURE message, for example in cases when the proposed change is out of permitted range.

#### 8.3.8.4 Abnormal Conditions

Void.

#### 8.3.9 Radio Link Failure Indication

#### 8.3.9.1 General

The purpose of the Radio Link Failure Indication procedure is to transfer information regarding RRC re-establishment attempts, or received RLF Reports, between eNBs. The signalling takes place from the eNB at which a re-establishment attempt is made, or an RLF Report is received, to an eNB to which the UE concerned may have previously been attached prior to the connection failure. This may aid the detection of radio link failure and handover failure cases (TS 36.300 [15]).

The procedure uses non UE-associated signalling.

#### 8.3.9.2 Successful Operation

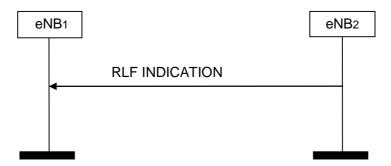


Figure 8.3.9.2-1: Radio Link Failure Indication, successful operation

 $eNB_2$  initiates the procedure by sending the RLF INDICATION message to  $eNB_1$  following a re-establishment attempt or an RLF Report reception from a UE at  $eNB_2$ , when  $eNB_2$  considers that the UE may have previously suffered a connection failure at a cell controlled by  $eNB_1$ .

eNB<sub>2</sub> may include the *ShortMAC-I* IE in the RLF INDICATION message, e.g., in order to aid the eNB<sub>1</sub> to resolve a potential PCI confusion situation or to aid the eNB<sub>1</sub> to identify the UE.

eNB<sub>2</sub> may include the *UE RLF Report Container* IE and optionally also the *UE RLF Report Container for extended bands* IE in the RLF INDICATION message, which may be used by the eNB<sub>1</sub> to determine the nature of the failure. If the *UE RLF Report Container* IE is included in the RLF INDICATION message sent after successful re-establishment,

the eNB<sub>2</sub> shall use the *Re-establishment Cell ECGI* IE in the RLF INDICATION message to indicate the ECGI of the cell where the re-establishment was successful.

eNB<sub>2</sub> may include the *RRC Conn Setup Indicator* IE in the RLF INDICATION message, which indicates that the RLF Report is retrieved after an RRC connection setup or an incoming successful handover.

If the *RRC Conn Setup Indicator* IE is present in the RLF INDICATION message, the eNB<sub>1</sub> shall ignore the values in the *Failure cell PCI* IE, *Re-establishment cell ECGI* IE, *C-RNTI* IE and *ShortMAC-I* IE.

eNB<sub>2</sub> may include the *RRC Conn Reestab Indicator* IE in the RLF INDICATION message, which may be used by the eNB<sub>1</sub> to determine where the failure occurred.

#### 8.3.9.3 Unsuccessful Operation

Not applicable.

#### 8.3.9.4 Abnormal Conditions

Void.

#### 8.3.10 Handover Report

#### 8.3.10.1 General

The purpose of the Handover Report procedure is to transfer mobility related information between eNBs.

The procedure uses non UE-associated signalling.

#### 8.3.10.2 Successful Operation



Figure 8.3.10.2-1: Handover Report, successful operation

An eNB initiates the procedure by sending an HANDOVER REPORT message to another eNB. By sending the message eNB<sub>1</sub> indicates to eNB<sub>2</sub> that a mobility-related problem was detected.

If the  $Handover\ Report\ Type\ IE$  is set to "HO too early" or "HO to wrong cell", then the  $eNB_1$  indicates to  $eNB_2$  that, following a successful handover from a cell of  $eNB_2$  to a cell of  $eNB_1$ , a radio link failure occurred and the UE attempted RRC Re-establishment either at the original cell of  $eNB_2$  (Handover Too Early), or at another cell (Handover to Wrong Cell). The detection of Handover Too Early and Handover to Wrong Cell events is made according to TS 36.300 [15].

If the UE-related information is available in eNB<sub>1</sub>, the eNB<sub>1</sub> should include in HANDOVER REPORT message:

- the Mobility Information IE, if the Mobility Information IE was sent for this handover from eNB<sub>2</sub>;
- the Source cell C-RNTI IE.

If received, the eNB<sub>2</sub> uses the above information according to TS 36.300 [15].

If the UE RLF Report received from the eNB sending the RLF INDICATION message, as described in TS 36.300 [15], is available, the eNB<sub>1</sub> may also include it in the HANDOVER REPORT as *UE RLF Report Container* IE and optionally also *UE RLF Report Container for extended bands* IE.

If the *Handover Report Type* IE is set to "InterRAT ping-pong", then the eNB<sub>1</sub> indicates to eNB<sub>2</sub> that a completed handover from a cell of eNB<sub>2</sub> to a cell in other RAT might have resulted in an inter-RAT ping-pong and the UE was successfully handed over to a cell of eNB<sub>1</sub> (indicated with *Failure cell ECGI* IE).

The report contains the source and target cells, and cause of the handover. If the *Handover Report Type* IE is set to "HO to wrong cell", then the *Re-establishment cell ECGI* IE shall be included in the HANDOVER REPORT message. If the *Handover Report Type* IE is set to "InterRAT ping-pong", then the *Target cell in UTRAN* IE shall be included in the HANDOVER REPORT message.

#### 8.3.10.3 Unsuccessful Operation

Not applicable.

#### 8.3.10.4 Abnormal Conditions

Void.

#### 8.3.11 Cell Activation

#### 8.3.11.1 General

The purpose of the Cell Activation procedure is to request to a neighbouring eNB to switch on one or more cells, previously reported as inactive due to energy saving reasons.

The procedure uses non UE-associated signalling.

#### 8.3.11.2 Successful Operation

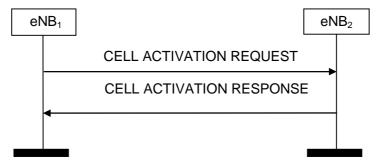


Figure 8.3.11.2-1: Cell Activation, successful operation

An eNB<sub>1</sub> initiates the procedure by sending a CELL ACTIVATION REQUEST message to a peer eNB<sub>2</sub>.

Upon receipt of this message, eNB<sub>2</sub> should activate the cell/s indicated in the CELL ACTIVATION REQUEST message and shall indicate in the CELL ACTIVATION RESPONSE message for which cells the request was fulfilled.

#### Interactions with eNB Configuration Update procedure:

 $eNB_2$  shall not send an ENB CONFIGURATION UPDATE message to  $eNB_1$  just for the reason of the cell/s indicated in the CELL ACTIVATION REQUEST message changing state, as the receipt of the CELL ACTIVATION RESPONSE message by  $eNB_1$  is used to update the information about cell activation state of  $eNB_2$  cells in  $eNB_1$ .

# 8.3.11.3 Unsuccessful Operation

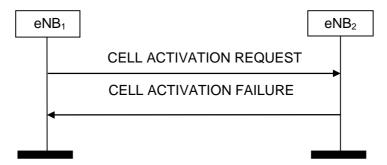


Figure 8.3.11.3-1: Cell Activation, unsuccessful operation

If the eNB<sub>2</sub> cannot activate any of the cells indicated in the CELL ACTIVATION REQUEST message, it shall respond with a CELL ACTIVATION FAILURE message with an appropriate cause value.

#### 8.3.11.4 Abnormal Conditions

Not applicable.

#### 8.3.12 X2 Removal

#### 8.3.12.1 General

The purpose of the X2 Removal procedure is to remove the signaling connection between two eNBs in a controlled manner. If successful, this procedure erases any existing application level configuration data in the two nodes.

The procedure uses non UE-associated signaling.

#### 8.3.12.2 Successful Operation

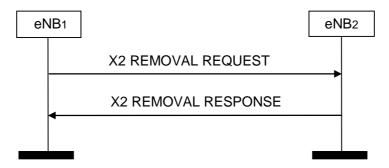


Figure 8.3.12.2-1: X2 Removal, successful operation

An  $eNB_1$  initiates the procedure by sending the X2 REMOVAL REQUEST message to a candidate  $eNB_2$ . Upon reception of the X2 REMOVAL REQUEST message the candidate  $eNB_2$  shall reply with the X2 REMOVAL RESPONSE message. After receiving the X2 REMOVAL RESPONSE message, the initiating  $eNB_1$  shall initiate removal of the TNL association towards  $eNB_2$  and may remove all resources associated with that signaling connection. The candidate  $eNB_2$  may then remove all resources associated with that signaling connection.

# 8.3.12.3 Unsuccessful Operation

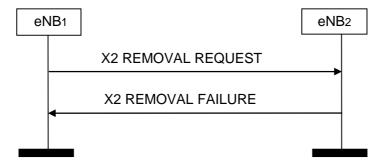


Figure 8.3.12.3-1: X2 Removal, unsuccessful operation

If the candidate  $eNB_2$  cannot accept to remove the signaling connection with  $eNB_1$  it shall respond with an X2 REMOVAL FAILURE message with an appropriate cause value.

#### 8.3.12.4 Abnormal Conditions

Void.

# 8.4 X2 Release

## 8.4.1 General

The purpose of the X2 Release procedure is to inform an eNB that the signalling (i.e. SCTP) connection to a peer eNB is unavailable.

# 8.4.2 Successful Operation



Figure 8.4.2-1: X2AP Release, successful operation

 $eNB_1$  initiates the procedure by sending the X2 RELEASE message to  $eNB_2$ . Upon the reception of X2 RELEASE message,  $eNB_2$  shall consider that the signalling connection to an eNB indicated by the eNB ID IE is unavailable.  $eNB_2$  may delete all the context information related to the indicated eNB.

# 8.4.3 Unsuccessful Operation

Not Applicable

# 8.4.4 Abnormal Condition

Not Applicable.

# 8.5 X2AP Message Transfer

## 8.5.1 General

The purpose of the X2AP Message Transfer procedure is to allow indirect transport of an X2AP message (except the X2AP MESSAGE TRANSFER message) between two eNBs and to allow an eNB to perform registration.

# 8.5.2 Successful Operation



Figure 8.5.2-1: X2AP Message Transfer, successful operation

eNB<sub>1</sub> initiates the procedure by sending the X2AP MESSAGE TRANSFER message to eNB<sub>2</sub>.

Upon the reception of X2 MESSAGE TRANSFER message the target eNB may:

- Retrieve the X2AP message included in the X2AP Message IE;
- Consider the target eNB ID contained in the *Target eNB ID* IE, included in the *RNL Header* IE, as the destination for the X2AP message signaled in the *X2AP Message* IE;
- Consider the source eNB ID contained in the *Source eNB ID* IE, included in the *RNL Header* IE, as the source of the X2AP message signaled in the *X2AP Message* IE.

In case the included RNL Header IE does not contain the Target eNB ID IE, the receiving eNB shall consider the eNB ID included in the Source eNB ID IE as the eNB ID corresponding to the TNL address(es) of the sender and update its internal information.

# 8.5.3 Unsuccessful Operation

Not Applicable.

## 8.5.4 Abnormal Condition

Not Applicable.

# 8.6 Procedures for Dual Connectivity

# 8.6.1 SeNB Addition Preparation

#### 8.6.1.1 General

The purpose of the SeNB Addition Preparation procedure is to request the SeNB to allocate resources for dual connectivity operation for a specific UE.

The procedure uses UE-associated signalling.

# 8.6.1.2 Successful Operation

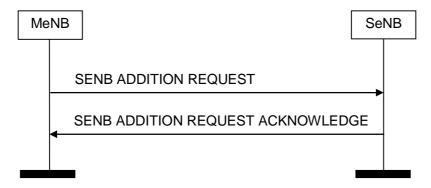


Figure 8.6.1.2-1: SeNB Addition Preparation, successful operation

The MeNB initiates the procedure by sending the SENB ADDITION REQUEST message to the SeNB. When the MeNB sends the SENB ADDITION REQUEST message, it shall start the timer  $T_{\text{DCprep}}$ .

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *E-RAB Level QoS Parameters* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [4].

If the SENB ADDITION REQUEST message contains the Serving PLMN IE, the SeNB may use it for RRM purposes.

The SeNB shall report to the MeNB, in the SENB ADDITION REQUEST ACKNOWLEDGE message, the result for all the requested E-RABs in the following way:

- A list of E-RABs which are successfully established shall be included in the *E-RABs Admitted To Be Added List* IE.
- A list of E-RABs which failed to be established shall be included in the E-RABs Not Admitted List IE.

For each E-RAB configured with the SCG bearer option

- the SeNB shall choose the ciphering algorithm based on the information in the *UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *SeNB Security Key* IE as specified in the TS 33.401 [18].
- the MeNB may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within the *E-RABs To be Added Item* IE of the SENB ADDITION REQUEST message. For each E-RAB that it has decided to admit, the SeNB may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs Admitted To Be Added Item* IE of the SENB ADDITION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer. This GTP tunnel endpoint may be different from the corresponding *DL GTP TEID* IE in the *E-RAB To Be Modified List* IE of the E-RAB MODIFICATION INDICATION message (see TS 36.413 [4]) depending on implementation choice.
- the SeNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE the *UL Forwarding GTP Tunnel Endpoint* IE to indicate that it requests data forwarding of uplink packets to be performed for that bearer.

Upon reception of the SENB ADDITION REQUEST ACKNOWLEDGE message the MeNB shall stop the timer  $T_{\text{DCprep}}$ .

#### **Interactions with the SeNB Reconfiguration Completion procedure:**

If the SeNB admits at least one E-RAB, the SeNB shall start the timer  $T_{DCoverall}$  when sending the SENB ADDITION REQUEST ACKNOWLEDGE message to the MeNB. The reception of the SENB RECONFIGURATION COMPLETE message shall stop the timer  $T_{DCoverall}$ .

#### 8.6.1.3 Unsuccessful Operation

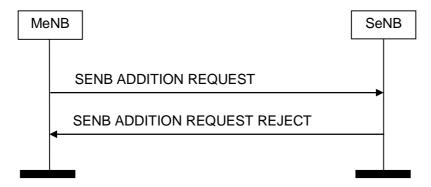


Figure 8.6.1.3-1: SeNB Addition Preparation, unsuccessful operation

If the SeNB is not able to accept any of the bearers or a failure occurs during the SeNB Addition Preparation, the SeNB sends the SENB ADDITION REQUEST REJECT message with an appropriate cause value to the MeNB.

#### 8.6.1.4 Abnormal Conditions

If the SeNB receives a SENB ADDITION REQUEST message containing multiple *E-RAB ID* IEs (in the *E-RABs To Be Added List* IE) set to the same value, the SeNB shall consider the establishment of the corresponding E-RAB as failed.

If the SeNB receives a SENB ADDITION REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in TS 23.203 [13]), and which does not contain the *GBR QoS Information* IE, the SeNB shall consider the establishment of the corresponding E-RAB as failed.

If the supported algorithms for encryption defined in the *Encryption Algorithms* IE in the *UE Security Capabilities* IE, plus the mandated support of EEA0 in all UEs (TS 33.401 [18]), do not match any algorithms defined in the configured list of allowed encryption algorithms in the SeNB (TS 33.401 [18]), the SeNB shall reject the procedure using the SENB ADDITION REQUEST REJECT message.

## Interactions with the SeNB Reconfiguration Completion and SeNB initiated SeNB Release procedure:

If the timer  $T_{DCoverall}$  expires before the SeNB has received the SENB RECONFIGURATION COMPLETE or the SENB RELEASE REQUEST message, the SeNB shall regard the requested RRC connection reconfiguration as being not applied by the UE and shall trigger the SeNB initiated SeNB Release procedure.

#### **Interactions with the MeNB initiated SeNB Release procedure:**

If the timer  $T_{DCprep}$  expires before the MeNB has received the SENB ADDITION REQUEST ACKNOWLEDGE message, the MeNB shall regard the SeNB Addition Preparation procedure as being failed and shall trigger the MeNB initiated SeNB Release procedure.

# 8.6.2 SeNB Reconfiguration Completion

#### 8.6.2.1 General

The purpose of the SeNB Reconfiguration Completion procedure is to provide information to the SeNB whether the requested configuration was successfully applied by the UE.

The procedure uses UE-associated signalling.

#### 8.6.2.2 Successful Operation



Figure 8.6.2.2-1: SeNB Reconfiguration Complete procedure, successful operation.

The MeNB initiates the procedure by sending the SENB RECONFIGURATION COMPLETE message to the SeNB.

The SENB RECONFIGURATION COMPLETE message may contain information that

- either the UE has successfully applied the configuration requested by the SeNB. The MeNB may also provide configuration information in the *MeNB to SeNB Container* IE.
- or the MeNB has not triggered configuration requested by the SeNB. The MeNB shall provide information with sufficient precision in the included *Cause* IE to enable the SeNB to know the reason for an unsuccessful reconfiguration. The MeNB may also provide configuration information in the *MeNB to SeNB Container* IE.

Upon reception of the SENB RECONFIGURATION COMPLETE message the SeNB shall stop the timer T<sub>DCoverall</sub>.

#### 8.6.2.3 Abnormal Conditions

Void.

# 8.6.3 MeNB initiated SeNB Modification Preparation

#### 8.6.3.1 General

This procedure is used to enable an MeNB to request an SeNB to modify the UE context at the SeNB.

The procedure uses UE-associated signalling.

#### 8.6.3.2 Successful Operation



Figure 8.6.3.2-1: MeNB initiated SeNB Modification Preparation, successful operation

The MeNB initiates the procedure by sending the SENB MODIFICATION REQUEST message to the SeNB. When the MeNB sends the SENB MODIFICATION REQUEST message, it shall start the timer  $T_{DCprep}$ .

The SENB MODIFICATION REQUEST message may contain

- within the *UE Context Information* IE;
  - E-RABs to be added within the E-RABs To Be Added Item IE;
  - E-RABs to be modified within the *E-RABs To Be Modified Item* IE;
  - E-RABs to be released within the *E-RABs To Be Released Item* IE;
  - the SeNB UE Aggregate Maximum Bit Rate IE;
- the MeNB to SeNB Container IE;
- the SCG Change Indication IE.

If the SENB MODIFICATION REQUEST message contains the *Serving PLMN* IE, the SeNB may use it for RRM purposes.

If the SeNB UE Aggregate Maximum Bit Rate IE is included in the SENB MODIFICATION REQUEST message, the SeNB shall:

- replace the previously provided SeNB UE Aggregate Maximum Bit Rate by the received SeNB UE Aggregate Maximum Bit Rate in the UE context;
- use the received SeNB UE Aggregate Maximum Bit Rate for non-GBR Bearers for the concerned UE as defined in TS 36.300 [15].

The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *E-RAB Level QoS Parameters* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [4].

If at least one of the requested modifications is admitted by the SeNB, the SeNB shall modify the related part of the UE context accordingly and send the SENB MODIFICATION REQUEST ACKNOWLEDGE message back to the MeNB.

The SeNB shall include the E-RABs for which resources have been either added or modified or released at the SeNB either in the *E-RABs Admitted To Be Added List* IE or the *E-RABs Admitted To Be Modified List* IE or the *E-RABs Admitted To Be Released List* IE. The SeNB shall include the E-RABs that have not been admitted in the *E-RABs Not Admitted List* IE with an appropriate cause value.

For each E-RAB configured with the SCG bearer option

- the SeNB shall, if included, choose the ciphering algorithm based on the information in the *UE Security Capabilities* IE and locally configured priority list of AS encryption algorithms and apply the key indicated in the *SeNB Security Key* IE as specified in the TS 33.401 [18].
- if applicable, the MeNB may propose to apply forwarding of downlink data by including the *DL Forwarding* IE within the *E-RABs To Be Added Item* IE of the SENB MODIFICATION REQUEST message. For each E-RAB that it has decided to admit, the SeNB may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs Admitted To Be Added Item* IE of the SENB MODIFICATION REQUEST ACKNOWLEDGE message to indicate that it accepts the proposed forwarding of downlink data for this bearer. The MeNB may also provide for an applicable E-RAB to be released the *DL Forwarding GTP Tunnel Endpoint* IE and the *UL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE of the SENB MODIFICATION REQUEST message.
- if applicable, the SeNB may include for each bearer in the *E-RABs Admitted To Be Added List* IE in the SENB MODIFICATION REQUEST ACKNOWLEDGE message the *UL Forwarding GTP Tunnel Endpoint* IE to indicate that it requests data forwarding of uplink packets to be performed for that bearer.

For each E-RAB configured with the split bearer option to be modified, if the SENB MODIFICATION REQUEST message includes the *SCG Change Indication* IE and the *MeNB GTP Tunnel Endpoint* IE in the *E-RABs To Be Modified Item* IE, the SeNB shall act as specified in TS 36.300 [15].

For each E-RAB configured with the split bearer option to be modified (released)

- if applicable, the MeNB may provide for an applicable E-RAB to be released the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE of the SENB MODIFICATION REQUEST message.

If the *E-RAB level QoS parameter* IE is included in the SENB MODIFICATION REQUEST message for an E-RAB to be modified the SeNB shall allocate respective resources and provide corresponding radio configuration information within the *SeNB to MeNB Container* IE as described in TS 36.300 [15].

If the SENB MODIFICATION REQUEST message contains for an E-RAB to be modified which is configured with the SCG bearer option the *S1 UL GTP Tunnel Endpoint* IE the SeNB shall use it as the new UL S1-U address.

If the SENB MODIFICATION REQUEST message contains for an E-RAB to be modified which is configured with the split bearer option the *MeNB GTP Tunnel Endpoint* IE the SeNB shall use it as the new UL X2-U address.

For an E-RAB to be modified which is configured with the SCG bearer option the SeNB may include in the SENB MODIFICATION REQUEST ACKNOWLEDGE message the *S1 DL GTP Tunnel Endpoint* IE.

For an E-RAB to be modified which is configured with the split bearer option the SeNB may include in the SENB MODIFICATION REQUEST ACKNOWLEDGE message the *SeNB GTP Tunnel Endpoint* IE.

If the SCG Change Indication IE is included in the SENB MODIFICATION REQUEST message, the SeNB shall act as specified in TS 36.300 [15].

Upon reception of the SENB MODIFICATION REQUEST ACKNOWLEDGE message the MeNB shall stop the timer T<sub>DCprep</sub>. If the SENB MODIFICATION REQUEST ACKNOWLEDGE message has included the *SeNB to MeNB Container* IE the MeNB is then defined to have a Prepared SeNB Modification for that X2 UE-associated signalling.

#### **Interactions with the SeNB Reconfiguration Completion procedure:**

If the SeNB admits a modification of the UE context requiring the MeNB to report about the success of the RRC connection reconfiguration procedure, the SeNB shall start the timer  $T_{DCoverall}$  when sending the SENB MODIFICATION REQUEST ACKNOWLEDGE message to the MeNB. The reception of the SeNB RECONFIGURATION COMPLETE message shall stop the timer  $T_{DCoverall}$ .

## 8.6.3.3 Unsuccessful Operation



Figure 8.6.3.3-1: MeNB initiated SeNB Modification Preparation, unsuccessful operation

If the SeNB does not admit any modification requested by the MeNB, or a failure occurs during the MeNB initiated SeNB Modification Preparation, the SeNB shall send the SENB MODIFICATION REQUEST REJECT message to the MeNB. The message shall contain the *Cause* IE with an appropriate value.

If the SeNB receives a SENB MODIFICATION REQUEST message containing the *MeNB to SeNB Container* IE that does not include required information as specified in TS 36.331 [9], the SeNB shall send the SENB MODIFICATION REQUEST REJECT message to the MeNB.

#### 8.6.3.4 Abnormal Conditions

If the SeNB receives a SENB MODIFICATION REQUEST message containing multiple *E-RAB ID* IEs (in the *E-RABs To Be Added List* IE and/or the *E-RABs To Be Modified List* IE) set to the same value, the SeNB shall not admit the action requested for the corresponding E-RABs.

If the SeNB receives an SENB MODIFICATION REQUEST message containing multiple *E-RAB ID* IEs (in the *E-RAB To Be Released List* IE) set to the same value, the SeNB shall initiate the release of one corresponding E-RAB and ignore the duplication of the instances of the selected corresponding E-RABs.

If the SeNB receives a SENB MODIFICATION REQUEST message containing a *E-RAB Level QoS Parameters* IE which contains a *QCI* IE indicating a GBR bearer (as defined in TS 23.203 [13]), and which does not contain the *GBR QoS Information* IE, the SeNB shall not admit the corresponding E-RAB.

If the supported algorithms for encryption defined in the *Encryption Algorithms* IE in the *UE Security Capabilities* IE in the *UE Context Information* IE, plus the mandated support of EEA0 in all UEs (TS 33.401 [18]), do not match any algorithms defined in the configured list of allowed encryption algorithms in the SeNB (TS 33.401 [18]), the SeNB shall reject the procedure using the SENB MODIFICATION REQUEST REJECT message.

If the timer  $T_{DCprep}$  expires before the MeNB has received the SENB MODIFICATION REQUEST ACKNOWLEDGE message, the MeNB shall regard the MeNB initiated SeNB Modification Preparation procedure as being failed and shall release the UE Context at the SeNB.

#### Interactions with the SeNB Reconfiguration Completion and SeNB initiated SeNB Release procedure:

If the timer T<sub>DCoverall</sub> expires before the SeNB has received the SENB RECONFIGURATION COMPLETE or the SENB RELEASE REQUEST message, the SeNB shall regard the requested modification RRC connection reconfiguration as being not applied by the UE and shall trigger the SeNB initiated SeNB Release procedure.

#### Interaction with the SeNB initiated SeNB Modification Preparation procedure:

If the MeNB, after having initiated the MeNB initiated SeNB Modification procedure, receives the SENB MODIFICATION REQUIRED message, the MeNB shall refuse the SeNB initiated SeNB Modification procedure with an appropriate cause value in the *Cause* IE.

If the MeNB has a Prepared SeNB Modification and receives the SENB MODIFICATION REQUIRED message, the MeNB shall respond with the SENB MODIFICATION REFUSE message to the SeNB with an appropriate cause value in the *Cause* IE.

# 8.6.4 SeNB initiated SeNB Modification

#### 8.6.4.1 General

This procedure is used by the SeNB to modify the UE context in the SeNB.

The procedure uses UE-associated signalling.

#### 8.6.4.2 Successful Operation

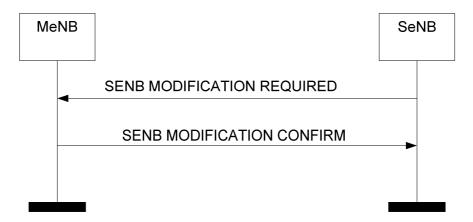


Figure 8.6.4.2-1: SeNB initiated SeNB Modification, successful operation.

The SeNB initiates the procedure by sending the SENB MODIFICATION REQUIRED message to the MeNB. When the SeNB sends the SENB MODIFICATION REQUIRED message, it shall start the timer  $T_{DCoverall}$ .

The SENB MODIFICATION REQUIRED message may contain

- the SeNB to MeNB Container IE.
- E-RABs to be released within the *E-RABs To Be Released Item* IE;
- the SCG Change Indication IE.

If the MeNB receives a SENB MODIFICATION REQUIRED message containing the *SCG Change Indication* IE, the MeNB shall act as specified in TS 36.300 [15].

If the MeNB is able to perform the modifications requested by the SeNB, the MeNB shall send the SENB MODIFICATION CONFIRM message to the SeNB. The SENB MODIFICATION CONFIRM message may contain the *MeNB to SeNB Container* IE.

Upon reception of the SENB MODIFICATION CONFIRM message the SeNB shall stop the timer T<sub>DCoverall</sub>.

#### Interaction with the MeNB initiated SeNB Modification Preparation procedure:

If applicable, as specified in TS 36.300 [15], the SeNB may receive, after having initiated the SeNB initiated SeNB Modification procedure, the SENB MODIFICATION REQUEST message including the *DL Forwarding GTP Tunnel Endpoint* IE and the *UL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released List* IE.

If applicable, as specified in TS 36.300 [15], the SeNB may receive, after having initiated the SeNB initiated SeNB Modification procedure, the SENB MODIFICATION REQUEST message including the *SeNB Security Key* IE within the *UE Context Information* IE.

If the SeNB has initiated the SeNB initiated SeNB Modification procedure with the SENB MODIFICATION REQUIRED message including the *E-RABs To Be Released Item* IE, it may receive the SENB MODIFICATION REQUEST message including the *SCG Change Indication* IE, upon which the SeNB shall provide respective information in the *SeNB to MeNB Container* IE within the SENB MODIFICATION REQUEST ACKNOWLEDGMENT message, as specified in TS 36.300 [15].

# 8.6.4.3 Unsuccessful Operation

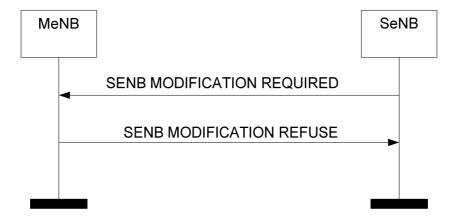


Figure 8.6.4.3-1: SeNB initiated SeNB Modification, unsuccessful operation.

In case the request modification cannot be performed successfully the MeNB shall respond with the SENB MODIFICATION REFUSE message to the SeNB with an appropriate cause value in the *Cause* IE.

The MeNB may also provide configuration information in the MeNB to SeNB Container IE.

#### 8.6.4.4 Abnormal Conditions

If the timer T<sub>DCoverall</sub> expires before the SeNB has received the SENB MODIFICATION CONFIRM or the SENB MODIFICATION REFUSE message, the SeNB shall regard the requested modification as failed and may take further actions like triggering the SeNB initiated SeNB Release procedure to release all SeNB resources allocated for the UE.

If the MeNB is aware that the SeNB didn"t receive the latest configuration information concerning the MCG, the MeNB may respond with the SENB MODIFICATION REFUSE message to the SeNB with an appropriate cause value in the *Cause* IE.

If the value received in the *E-RAB ID* IE of any of the *E-RABs To Be Released Items* IE is not known at the MeNB, the MeNB shall regard the procedure as failed and may take appropriate actions like triggering the MeNB initiated SeNB Release procedure.

#### Interaction with the MeNB initiated SeNB Modification Preparation procedure:

If the SeNB, after having initiated the SeNB initiated SeNB Modification procedure, receives the SENB MODIFICATION REQUEST message including other IEs than an applicable *SeNB Security Key* IE and/or applicable forwarding addresses and/or the *SCG Change Indication* IE the SeNB shall

- regard the SeNB initiated SeNB Modification Procedure as being failed,
- stop the T<sub>DCoverall</sub>, which was started to supervise the SeNB initiated SeNB Modification procedure,
- be prepared to receive the SENB MODIFICATION REFUSE message from the MeNB and
- continue with the MeNB initiated SeNB Modification Preparation procedure as specified in section 8.6.3.

#### 8.6.5 MeNB initiated SeNB Release

#### 8.6.5.1 General

The MeNB initiated SeNB Release procedure is triggered by the MeNB to initiate the release of the resources for a specific UE.

The procedure uses UE-associated signalling.

## 8.6.5.2 Successful Operation



Figure 8.6.5.2-1: MeNB initiated SeNB Release, successful operation

The MeNB initiates the procedure by sending the SENB RELEASE REQUEST message. Upon reception of the SENB RELEASE REQUEST message the SeNB shall stop providing user data to the UE. The *SeNB UE X2AP ID* IE shall be included if it has been obtained from the SeNB. The MeNB may provide appropriate information within the *Cause* IE.

If the bearer context in the SeNB was configured with the SCG bearer option, for each SCG bearer for which the MeNB requests forwarding of uplink/downlink data, the MeNB includes the *UL Forwarding GTP Tunnel Endpoint/DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE of the SENB RELEASE REQUEST message to indicate that the SeNB should perform data forwarding of uplink/downlink packets for that SCG bearer.

If the bearer context in the SeNB was configured with the split bearer option, for each Split bearer for which the MeNB requests forwarding of downlink data, the MeNB includes the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE of the SENB RELEASE REQUEST message to indicate that the SeNB should perform data forwarding of downlink packets for that split bearer.

# 8.6.5.3 Unsuccessful Operation

Not applicable.

#### 8.6.5.4 Abnormal Conditions

Should the SENB RELEASE REQUEST message refer to a context that does not exist, the SeNB shall ignore the message.

When the MeNB has initiated the procedure and did not include the *SeNB UE X2AP ID* IE the MeNB shall regard the resources for the UE at the SeNB as being fully released.

#### 8.6.6 SeNB initiated SeNB Release

#### 8.6.6.1 General

This procedure is triggered by the SeNB to initiate the release of the resources for a specific UE.

The procedure uses UE-associated signalling.

#### 8.6.6.2 Successful Operation

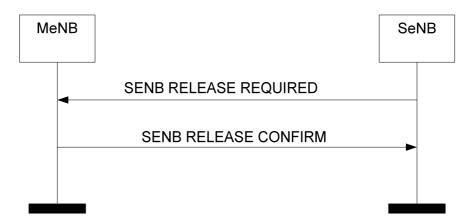


Figure 8.6.6.2-1: SeNB initiated SeNB Release, successful operation.

The SeNB initiates the procedure by sending the SENB RELEASE REQUIRED message to the MeNB.

Upon reception of the SENB RELEASE REQUIRED message, the MeNB replies with the SENB RELEASE CONFIRM message. For each E-RAB configured with the SCG bearer option, the MeNB may include the *DL Forwarding GTP Tunnel Endpoint* IE and the *UL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE to indicate that it requests data forwarding of uplink and downlink packets to be performed for that bearer. For each E-RAB configured with the split bearer option, the MeNB may include the *DL Forwarding GTP Tunnel Endpoint* IE within the *E-RABs To Be Released Item* IE to indicate that it requests data forwarding of downlink packets to be performed for that bearer.

The SeNB may start data forwarding and stop providing user data to the UE upon reception of the SENB RELEASE CONFIRM message,

#### 8.6.6.3 Unsuccessful Operation

Not applicable.

#### 8.6.6.4 Abnormal Conditions

Void.

#### 8.6.7 SeNB Counter Check

#### 8.6.7.1 General

This procedure is initiated by the SeNB to request the MeNB to execute a counter check procedure to verify the value of the PDCP COUNTs associated with SCG bearers established in the SeNB.

The procedure uses UE-associated signalling.

#### 8.6.7.2 Successful Operation

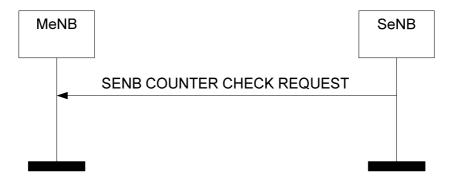


Figure 8.6.7.2-1: SeNB Counter Check procedure, successful operation.

The SeNB initiates the procedure by sending the SENB COUNTER CHECK REQUEST message to the MeNB.

Upon reception of the SENB COUNTER CHECK REQUEST message, the MeNB may perform the RRC counter check procedure as defined in TS 33.401 [18].

#### 8.6.7.3 Unsuccessful Operation

Not applicable.

# 8.6.7.4 Abnormal Conditions

Not applicable.

# 9 Elements for X2AP Communication

# 9.0 General

Sub clauses 9.1 and 9.2 describe the structure of the messages and information elements required for the X2AP protocol in tabular format. Sub clause 9.3 provides the corresponding ASN.1 definition.

The following attributes are used for the tabular description of the messages and information elements: Presence, Range Criticality and Assigned Criticality. Their definition and use can be found in TS 36.413 [4].

NOTE: The messages have been defined in accordance to the guidelines specified in TR 25.921 [30].

# 9.1 Message Functional Definition and Content

# 9.1.1 Messages for Basic Mobility Procedures

# 9.1.1.1 HANDOVER REQUEST

This message is sent by the source eNB to the target eNB to request the preparation of resources for a handover.

Direction: source eNB  $\rightarrow$  target eNB.

| IE/Group Name               | Presence | Range   | IE type and reference | Semantics<br>description | Criticality | Assigned Criticality |
|-----------------------------|----------|---|-----------------------|--------------------------|-------------|----------------------|
| Message Type                | М        |   | 9.2.13                | decomption               | YES         | reject               |
| Old eNB UE X2AP ID          | M        |   | eNB UE                | Allocated at the         | YES         | reject               |
| 0.00.01.00.02.7.27.11.10    |          |   | X2AP ID               | source eNB               |             | 10,000               |
|                             |          |   | 9.2.24                |                          |             |                      |
| Cause                       | М        |   | 9.2.6                 |                          | YES         | ignore               |
| Target Cell ID              | М        |   | ECGI                  |                          | YES         | reject               |
|                             |          |   | 9.2.14                |                          |             | ,                    |
| GUMMEI                      | М        |   | 9.2.16                |                          | YES         | reject               |
| UE Context Information      |          | 1   |                       |                          | YES         | reject               |
| >MME UE S1AP ID             | М        |   | INTEGER               | MME UE S1AP ID           | _           | _                    |
|                             |          |   | (02 <sup>32</sup> -1) | allocated at the MME     |             |                      |
| >UE Security Capabilities   | М        |   | 9.2.29                | ·····- <u>-</u>          | _           | _                    |
| >AS Security Information    | M        |   | 9.2.30                |                          | _           | _                    |
| >UE Aggregate Maximum       | M        |   | 9.2.12                |                          | _           | _                    |
| Bit Rate                    |          |   |                       |                          |             |                      |
| >Subscriber Profile ID for  | 0        |   | 9.2.25                |                          | _           | _                    |
| RAT/Frequency priority      |          |   |                       |                          |             |                      |
| >E-RABs To Be Setup         |          | 1   |                       |                          | _           | _                    |
| List                        |          |   |                       |                          |             |                      |
| >>E-RABs To Be Setup        |          | 1 <maxnoof< td=""><td></td><td></td><td>EACH</td><td>ignore</td></maxnoof<> |                       |                          | EACH        | ignore               |
| Item                        |          | Bearers>  |                       |                          |             | _                    |
| >>>E-RAB ID                 | М        |   | 9.2.23                |                          | _           | _                    |
| >>>E-RAB Level QoS          | М        |   | 9.2.9                 | Includes necessary       | _           | _                    |
| Parameters                  |          |   |                       | QoS parameters           |             |                      |
| >>>DL Forwarding            | 0        |   | 9.2.5                 |                          | _           | _                    |
| >>>UL GTP Tunnel            | М        |   | GTP Tunnel            | SGW endpoint of          | _           | _                    |
| Endpoint                    |          |   | Endpoint              | the S1 transport         |             |                      |
|                             |          |   | 9.2.1                 | bearer. For delivery     |             |                      |
|                             |          |   |                       | of UL PDUs.              |             |                      |
| >RRC Context                | М        |   | OCTET                 | Includes the RRC         | _           | _                    |
|                             |          |   | STRING                | Handover                 |             |                      |
|                             |          |   |                       | Preparation              |             |                      |
|                             |          |   |                       | Information              |             |                      |
|                             |          |   |                       | message as<br>defined in |             |                      |
|                             |          |   |                       | subclause 10.2.2 of      |             |                      |
|                             |          |   |                       | TS 36.331 [9]            |             |                      |
| >Handover Restriction List  | 0        |   | 9.2.3                 | 10 00.001 [0]            | _           | _                    |
| >Location Reporting         | 0        |   | 9.2.21                | Includes the             | _           | _                    |
| Information                 |          |   | 0.2.21                | necessary                |             |                      |
| momaton                     |          |   |                       | parameters for           |             |                      |
|                             |          |   |                       | location reporting       |             |                      |
| >Management Based MDT       | 0        |   | 9.2.59                | - coomerce permig        | YES         | ignore               |
| Allowed                     |          |   |                       |                          |             | .9                   |
| >Management Based           | 0        |   | MDT PLMN              |                          | YES         | ignore               |
| MDT PLMN List               |          |   | List                  |                          |             |                      |
|                             |          |   | 9.2.64                |                          |             |                      |
| UE History Information      | M        |   | 9.2.38                | Same definition as       | YES         | ignore               |
| -                           |          |   |                       | in TS 36.413 [4]         |             | -                    |
| Trace Activation            | 0        |   | 9.2.2                 |                          | YES         | ignore               |
| SRVCC Operation Possible    | 0        |   | 9.2.33                |                          | YES         | ignore               |
| CSG Membership Status       | 0        |   | 9.2.52                |                          | YES         | reject               |
| Mobility Information        | 0        |   | BIT STRING            | Information related      | YES         | ignore               |
|                             |          |   | (SIZE (32))           | to the handover;         |             |                      |
|                             |          |   |                       | the source eNB           |             |                      |
|                             |          |   |                       | provides it in order     |             |                      |
|                             |          |   |                       | to enable later          |             |                      |
|                             |          |   |                       | analysis of the          |             |                      |
|                             |          |   |                       | conditions that led      |             |                      |
| Mankad IMEIOV               |          |   | 0.000                 | to a wrong HO.           | VEC         | ia :                 |
| Masked IMEISV               | 0        |   | 9.2.69                | Minister dO - III - C. I | YES         | ignore               |
| UE History Information from | 0        |   | OCTET                 | VisitedCellInfoList      | YES         | ignore               |
| the UE                      |          |   | STRING                | contained in the         |             |                      |
|                             | <u> </u> | <u> </u>  |                       | UEInformationResp        | <u> </u>    |                      |

|                       |   |        | onse message (TS<br>36.331 [9]) |     |        |
|-----------------------|---|--------|---------------------------------|-----|--------|
| Expected UE Behaviour | 0 | 9.2.70 |                                 | YES | ignore |
| ProSe Authorized      | 0 | 9.2.78 |                                 | YES | ignore |

| Range bound     | Explanation   |
|-----------------|---|
| maxnoofBearers  | Maximum no. of E-RABs. Value is 256                       |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

# 9.1.1.2 HANDOVER REQUEST ACKNOWLEDGE

This message is sent by the target eNB to inform the source eNB about the prepared resources at the target.

Direction: target eNB  $\rightarrow$  source eNB.

| IE/Group Name                                  | Presence | Range   | IE type and reference           | Semantics description  | Criticality | Assigned Criticality |
|--|----------|---|---------------------------------|--|-------------|----------------------|
| Message Type                                   | М        |   | 9.2.13                          | -  | YES         | reject               |
| Old eNB UE X2AP ID                             | M        |   | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the source eNB  | YES         | ignore               |
| New eNB UE X2AP ID                             | M        |   | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the target eNB  | YES         | ignore               |
| E-RABs Admitted List                           |          | 1   |                                 |  | YES         | ignore               |
| > E-RABs Admitted                              |          | 1 <maxnoof< td=""><td></td><td></td><td>EACH</td><td>ignore</td></maxnoof<> |                                 |  | EACH        | ignore               |
| Item   |          | Bearers>  |                                 |  |             |                      |
| >>E-RAB ID                                     | M        |   | 9.2.23                          |  | _           | _                    |
| >>UL GTP Tunnel<br>Endpoint                    | 0        |   | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the<br>X2 transport<br>bearer used<br>for<br>forwarding of<br>UL PDUs   | -           | _                    |
| >>DL GTP Tunnel<br>Endpoint                    | 0        |   | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the<br>X2 transport<br>bearer. used<br>for<br>forwarding of<br>DL PDUs  | -           | _                    |
| E-RABs Not Admitted List                       | 0        |   | E-RAB List<br>9.2.28            | A value for<br>E-RAB ID<br>shall only be<br>present once<br>in E-RABs<br>Admitted List<br>IE and in E-<br>RABs Not<br>Admitted List<br>IE. | YES         | ignore               |
| Target eNB To Source eNB Transparent Container | M        |   | OCTET<br>STRING                 | Includes the RRC E-UTRA Handover Command message as defined in subclause 10.2.2 in TS 36.331 [9]   | YES         | ignore               |
| Criticality Diagnostics                        | 0        |   | 9.2.7                           | 23.00.[0]  | YES         | ignore               |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

# 9.1.1.3 HANDOVER PREPARATION FAILURE

This message is sent by the target eNB to inform the source eNB that the Handover Preparation has failed.

Direction: target eNB  $\rightarrow$  source eNB.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description       | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------------|-----------------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                      |                             | YES         | reject               |
| Old eNB UE X2AP ID      | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the source eNB | YES         | ignore               |
| Cause                   | M        |       | 9.2.6                       |                             | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                       |                             | YES         | ignore               |

# 9.1.1.4 SN STATUS TRANSFER

This message is sent by the source eNB to the target eNB to transfer the uplink/downlink PDCP SN and HFN status during a handover.

Direction: source eNB  $\rightarrow$  target eNB (handover), eNB from which the E-RAB context is transferred  $\rightarrow$  eNB to which the E-RAB context is transferred (dual connectivity).

| IE/Group Name                                | Presence | Range                                     | IE type and                          | Semantics  | Criticality | Assigned    |
|--|----------|---|--------------------------------------|--|-------------|-------------|
| -  |          | - C                                       | reference                            | description  | -           | Criticality |
| Message Type                                 | M        |   | 9.2.13                               |  | YES         | ignore      |
| Old eNB UE X2AP ID                           | М        |   | eNB UE<br>X2AP ID<br>9.2.24          | Allocated for handover<br>at the source eNB and<br>for dual connectivity at<br>the eNB from which the<br>E-RAB context is<br>transferred   | YES         | reject      |
| New eNB UE X2AP ID                           | M        |   | eNB UE<br>X2AP ID<br>9.2.24          | Allocated for handover<br>at the target eNB and<br>for dual connectivity at<br>the eNB to which the E-<br>RAB context is<br>transferred  | YES         | reject      |
| E-RABs Subject To Status<br>Transfer List    |          | 1   |                                      |  | YES         | ignore      |
| >E-RABs Subject To<br>Status Transfer Item   |          | 1<br><maxnoof<br>Bearers&gt;</maxnoof<br> |                                      |  | EACH        | ignore      |
| >>E-RAB ID                                   | М        |   | 9.2.23                               |  | _           | _           |
| >>Receive Status Of UL<br>PDCP SDUs          | 0        |   | BIT STRING<br>(4096)                 | PDCP Sequence Number = (First Missing SDU Number + bit position) modulo 4096  0: PDCP SDU has not been received. 1: PDCP SDU has been received correctly.  | _           | _           |
| >>UL COUNT Value                             | М        |   | COUNT<br>Value<br>9.2.15             | PDCP-SN and Hyper<br>Frame Number of the<br>first missing UL SDU in<br>case of 12 bit long<br>PDCP-SN  | -           | -           |
| >>DL COUNT Value                             | М        |   | COUNT<br>Value<br>9.2.15             | PDCP-SN and Hyper<br>frame number that the<br>target eNB should<br>assign for the next DL<br>SDU not having an SN<br>yet in case of 12 bit<br>long PDCP-SN   | _           | _           |
| >>Receive Status Of UL<br>PDCP SDUs Extended | 0        |   | BIT STRING<br>(116384)               | The IE is used in case of 15 bit long PDCP-SN in this release. The first bit indicates the status of the SDU after the First Missing UL PDCP SDU. The N <sup>th</sup> bit indicates the status of the UL PDCP SDU in position (N + First Missing SDU Number) modulo (1 + the maximum value of the PDCP-SN).  0: PDCP SDU has not been received. 1: PDCP SDU has been received correctly. | YES         | ignore      |
| >>UL COUNT Value<br>Extended                 | 0        |   | COUNT<br>Value<br>Extended<br>9.2.66 | PDCP-SN and Hyper<br>Frame Number of the<br>first missing UL SDU in<br>case of 15 bit long   | YES         | ignore      |

|                              |   |                    | PDCP-SN   |     |        |
|------------------------------|---|--------------------|---|-----|--------|
| >>DL COUNT Value<br>Extended | 0 | COUNT<br>Value     | PDCP-SN and Hyper Frame Number that the                             | YES | ignore |
|                              |   | Extended<br>9.2.66 | target eNB should<br>assign for the next DL<br>SDU not having an SN |     |        |
|                              |   |                    | yet in case of 15 bit long PDCP-SN                                  |     |        |

| Range bound    | Explanation                          |  |  |  |
|----------------|--------------------------------------|--|--|--|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256. |  |  |  |

#### 9.1.1.5 UE CONTEXT RELEASE

This message is sent by the target eNB to the source eNB to indicate that resources can be released.

Direction: target eNB  $\rightarrow$  source eNB (handover), MeNB  $\rightarrow$  SeNB (dual connectivity).

| IE/Group Name      | Presence | Range | IE type and reference       | Semantics description  | Criticality | Assigned Criticality |
|--------------------|----------|-------|-----------------------------|--|-------------|----------------------|
| Message Type       | M        |       | 9.2.13                      |  | YES         | ignore               |
| Old eNB UE X2AP ID | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated for handover at the source eNB and for dual connectivity at the SeNB | YES         | reject               |
| New eNB UE X2AP ID | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated for handover at the target eNB and for dual connectivity at the MeNB | YES         | reject               |

# 9.1.1.6 HANDOVER CANCEL

This message is sent by the source eNB to the target eNB to cancel an ongoing handover.

Direction: source eNB  $\rightarrow$  target eNB.

| IE/Group Name      | Presence | Range | IE type and reference       | Semantics description       | Criticality | Assigned Criticality |
|--------------------|----------|-------|-----------------------------|-----------------------------|-------------|----------------------|
| Message Type       | M        |       | 9.2.13                      |                             | YES         | ignore               |
| Old eNB UE X2AP ID | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the source eNB | YES         | reject               |
| New eNB UE X2AP ID | 0        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the target eNB | YES         | ignore               |
| Cause              | M        |       | 9.2.6                       |                             | YES         | ignore               |

# 9.1.2 Messages for global procedures

#### 9.1.2.1 LOAD INFORMATION

This message is sent by an eNB to neighbouring eNBs to transfer load and interference co-ordination information.

| IE/Group Name                                  | Presence | Range                              | IE type and reference                                       | Semantics description   | Criticality | Assigned Criticality |
|--|----------|------------------------------------|---|---|-------------|----------------------|
| Message Type                                   | M        |                                    | 9.2.13  | •   | YES         | ignore               |
| Cell Information                               | M        |                                    |   |   | YES         | ignore               |
| >Cell Information Item                         |          | 1<br><maxcellinenb></maxcellinenb> |   |   | EACH        | ignore               |
| >>Cell ID                                      | M        |                                    | ECGI<br>9.2.14  | Id of the source cell   | _           | _                    |
| >>UL Interference<br>Overload Indication       | 0        |                                    | 9.2.17  |   | _           | _                    |
| >>UL High<br>Interference<br>Information       |          | 0<br><maxcellinenb></maxcellinenb> |   |   | -           | _                    |
| >>>Target Cell ID                              | M        |                                    | ECGI<br>9.2.14  | Id of the cell<br>for which the<br>HII is meant   | -           | _                    |
| >>>UL High Interference Indication             | M        |                                    | 9.2.18  |   | -           | _                    |
| >>Relative Narrowband<br>Tx Power (RNTP)       | 0        |                                    | 9.2.19  |   | -           | _                    |
| >>ABS Information                              | 0        |                                    | 9.2.54  |   | YES         | ignore               |
| >>Invoke Indication                            | 0        |                                    | 9.2.55  |   | YES         | ignore               |
| >>Intended UL-DL Configuration                 | 0        |                                    | ENUMERAT<br>ED(sa0,<br>sa1, sa2,<br>sa3, sa4,<br>sa5, sa6,) | One of the UL-DL configuration s defined in TS 36.211 [10]. The UL subframe(s) in the indicated configuration is subset of those in SIB1 UL-DL configuration . This IE applies to TDD only. | YES         | ignore               |
| >>Extended UL<br>Interference Overload<br>Info | 0        |                                    | 9.2.67  | This IE applies to TDD only.  | YES         | ignore               |
| >>CoMP Information                             | 0        |                                    | 9.2.74  | 1   | YES         | ignore               |
| >>Dynamic DL<br>transmission<br>information    | 0        |                                    | 9.2.77  |   | YES         | ignore               |

| Range bound  | Explanation   |
|--------------|---|
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |

# 9.1.2.2 ERROR INDICATION

This message is used to indicate that some error has been detected in the eNB.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description  | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------------|--|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                      | _  | YES         | ignore               |
| Old eNB UE X2AP ID      | 0        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated for<br>handover at<br>the source<br>eNB and for<br>dual<br>connectivity<br>at the SeNB | YES         | ignore               |
| New eNB UE X2AP ID      | 0        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated for<br>handover at<br>the target<br>eNB and for<br>dual<br>connectivity<br>at the MeNB | YES         | ignore               |
| Cause                   | 0        |       | 9.2.6                       |  | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                       |  | YES         | ignore               |

# 9.1.2.3 X2 SETUP REQUEST

This message is sent by an eNB to a neighbouring eNB to transfer the initialization information for a TNL association.

| IE/Group Name            | Presence | Range  | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|--------------------------|----------|--|-----------------------|--|-------------|----------------------|
| Message Type             | M        |  | 9.2.13                |  | YES         | reject               |
| Global eNB ID            | М        |  | 9.2.22                |  | YES         | reject               |
| Served Cells             |          | 1<br><maxcellinenb></maxcellinenb>                 |                       | Complete list of cells served by the eNB   | YES         | reject               |
| >Served Cell Information | М        |  | 9.2.8                 |  | _           | -                    |
| >Neighbour Information   |          | 0<br><maxnoofneighb<br>ours&gt;</maxnoofneighb<br> |                       |  | _           | -                    |
| >>ECGI                   | M        |  | ECGI<br>9.2.14        | E-UTRAN Cell<br>Global Identifier<br>of the neighbour<br>cell  | -           | -                    |
| >>PCI                    | М        |  | INTEGER<br>(0503,)    | Physical Cell<br>Identifier of the<br>neighbour cell   | -           | I                    |
| >>EARFCN                 | M        |  | 9.2.26                | DL EARFCN for<br>FDD or<br>EARFCN for<br>TDD   | _           | -                    |
| >>TAC                    | 0        |  | OCTET<br>STRING (2)   | Tracking Area<br>Code  | YES         | ignore               |
| >>EARFCN Extension       | O        |  | 9.2.65                | DL EARFCN for FDD or EARFCN for TDD. If this IE is present, the value signalled in the EARFCN IE is ignored. | YES         | reject               |
| GU Group Id List         |          | 0 <maxfpools></maxfpools>                          |                       | List of all the pools to which the eNB belongs   | GLOBAL      | reject               |
| >GU Group Id             | М        |  | 9.2.20                |  | -           | -                    |

| Range bound       | Explanation   |
|-------------------|---|
| maxCellineNB      | Maximum no. cells that can be served by an eNB. Value is 256.     |
| maxnoofNeighbours | Maximum no. of neighbour cells associated to a given served cell. |
|                   | Value is 512.   |
| maxPools          | Maximum no. of pools an eNB can belong to. Value is 16.           |

#### 9.1.2.4 X2 SETUP RESPONSE

This message is sent by an eNB to a neighbouring eNB to transfer the initialization information for a TNL association.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name            | Presence | Range  | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|--------------------------|----------|--|-----------------------|--|-------------|----------------------|
| Message Type             | М        |  | 9.2.13                |  | YES         | reject               |
| Global eNB ID            | M        |  | 9.2.22                |  | YES         | reject               |
| Served Cells             |          | 1<br><maxcellinenb></maxcellinenb>                 |                       | Complete list of cells served by the eNB   | GLOBAL      | reject               |
| >Served Cell Information | M        |  | 9.2.8                 |  | -           | _                    |
| >Neighbour Information   |          | 0<br><maxnoofneighb<br>ours&gt;</maxnoofneighb<br> |                       |  | _           | _                    |
| >>ECGI                   | М        |  | ECGI<br>9.2.14        | E-UTRAN Cell<br>Global Identifier<br>of the neighbour<br>cell  | -           | _                    |
| >>PCI                    | М        |  | INTEGER<br>(0503,)    | Physical Cell Identifier of the neighbour cell   | _           | _                    |
| >>EARFCN                 | М        |  | 9.2.26                | DL EARFCN for<br>FDD or<br>EARFCN for<br>TDD   | -           | _                    |
| >>TAC                    | 0        |  | OCTET<br>STRING (2)   | Tracking Area<br>Code  | YES         | ignore               |
| >>EARFCN Extension       | 0        |  | 9.2.65                | DL EARFCN for FDD or EARFCN for TDD. If this IE is present, the value signalled in the EARFCN IE is ignored. | YES         | reject               |
| GU Group Id List         |          | 0 <maxpools></maxpools>                            |                       | List of all the pools to which the eNB belongs   | GLOBAL      | reject               |
| >GU Group Id             | М        |  | 9.2.20                |  | -           | -                    |
| Criticality Diagnostics  | 0        | 1  | 9.2.7                 |  | YES         | ignore               |

| Range bound       | Explanation   |
|-------------------|---|
| maxCellineNB      | Maximum no. cells that can be served by an eNB. Value is 256.     |
| maxnoofNeighbours | Maximum no. of neighbour cells associated to a given served cell. |
|                   | Value is 512.   |
| maxPools          | Maximum no. of pools an eNB can belong to. Value is 16.           |

# 9.1.2.5 X2 SETUP FAILURE

This message is sent by the eNB to indicate X2 Setup failure.

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Cause                   | M        |       | 9.2.6                 |                       | YES         | ignore               |
| Time To Wait            | 0        |       | 9.2.32                |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.2.6 RESET REQUEST

This message is sent from one eNB to another eNB and is used to request the X2 interface between the two eNB to be reset.

Direction:  $eNB_1 \rightarrow eNB_2$ .

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type  | M        |       | 9.2.13                |                       | YES         | reject               |
| Cause         | M        |       | 9.2.6                 |                       | YES         | ignore               |

# 9.1.2.7 RESET RESPONSE

This message is sent by a eNB as a response to a RESET REQUEST message.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.2.8 ENB CONFIGURATION UPDATE

This message is sent by an eNB to a peer eNB to transfer updated information for a TNL association.

| IE/Group Name            | Presence | Range  | IE type and reference                | Semantics description  | Criticality | Assigned Criticality |
|--------------------------|----------|--|--------------------------------------|--|-------------|----------------------|
| Message Type             | М        |  | 9.2.13                               |  | YES         | reject               |
| Served Cells To Add      |          | 0<br><maxcellinenb></maxcellinenb>                 |                                      | Complete list of added cells served by the eNB   | GLOBAL      | reject               |
| >Served Cell Information | М        |  | 9.2.8                                |  | _           | -                    |
| >Neighbour Information   |          | 0<br><maxnoofneighb<br>ours&gt;</maxnoofneighb<br> |                                      |  | -           | _                    |
| >>ECGI                   | М        |  | ECGI<br>9.2.14                       | E-UTRAN Cell<br>Global Identifier<br>of the neighbour<br>cell  | -           | -                    |
| >>PCI                    | М        |  | INTEGER<br>(0503,)                   | Physical Cell<br>Identifier of the<br>neighbour cell   | _           | _                    |
| >>EARFCN                 | М        |  | 9.2.26                               | DL EARFCN for<br>FDD or<br>EARFCN for<br>TDD   | -           | -                    |
| >>TAC                    | 0        |  | OCTET<br>STRING (2)                  | Tracking Area<br>Code  | YES         | ignore               |
| >>EARFCN Extension       | 0        |  | 9.2.65                               | DL EARFCN for FDD or EARFCN for TDD. If this IE is present, the value signalled in the EARFCN IE is ignored. | YES         | reject               |
| Served Cells To Modify   |          | 0<br><maxcellinenb></maxcellinenb>                 |                                      | Complete list of modified cells served by the eNB  | GLOBAL      | reject               |
| >Old ECGI                | М        |  | ECGI<br>9.2.14                       | Old E-UTRAN<br>Cell Global<br>Identifier   | -           | -                    |
| >Served Cell Information | М        |  | 9.2.8                                |  | _           | -                    |
| >Neighbour Information   |          | 0<br><maxnoofneighb<br>ours&gt;</maxnoofneighb<br> |                                      |  | _           | _                    |
| >>ECGI                   | М        |  | ECGI<br>9.2.14                       | E-UTRAN Cell<br>Global Identifier<br>of the neighbour<br>cell  | -           | П                    |
| >>PCI                    | М        |  | INTEGER<br>(0503,)                   | Physical Cell<br>Identifier of the<br>neighbour cell   | -           | _                    |
| >>EARFCN                 | М        |  | 9.2.26                               | DL EARFCN for<br>FDD or<br>EARFCN for<br>TDD   | -           | -                    |
| >>TAC                    | 0        |  | OCTET<br>STRING (2)                  | Tracking Area<br>Code  | YES         | ignore               |
| >>EARFCN Extension       | 0        |  | 9.2.65                               | DL EARFCN for FDD or EARFCN for TDD. If this IE is present, the value signalled in the EARFCN IE is ignored. | YES         | reject               |
| >Deactivation Indication | 0        |  | ENUMERAT<br>ED(deactivat<br>ed,<br>) | Indicates that   | YES         | ignore               |

|                               |   |                                    |                | saving reasons   |        |        |
|-------------------------------|---|------------------------------------|----------------|--|--------|--------|
| Served Cells To Delete        |   | 0<br><maxcellinenb></maxcellinenb> |                | Complete list of deleted cells served by the eNB             | GLOBAL | reject |
| >Old ECGI                     | М |                                    | ECGI<br>9.2.14 | Old E-UTRAN Cell Global Identifier of the cell to be deleted | -      | -      |
| GU Group Id To Add List       |   | 0 <maxpools></maxpools>            |                |  | GLOBAL | reject |
| >GU Group Id                  | M |                                    | 9.2.20         |  | -      | ı      |
| GU Group Id To Delete<br>List |   | 0 <maxpools></maxpools>            |                |  | GLOBAL | reject |
| >GU Group Id                  | М |                                    | 9.2.20         |  | -      | -      |

| Range bound       | Explanation   |
|-------------------|---|
| maxCellineNB      | Maximum no. cells that can be served by an eNB. Value is 256.     |
| maxnoofNeighbours | Maximum no. of neighbour cells associated to a given served cell. |
|                   | Value is 512.   |
| maxPools          | Maximum no. of pools an eNB can belong to. Value is 16.           |

# 9.1.2.9 ENB CONFIGURATION UPDATE ACKNOWLEDGE

This message is sent by an eNB to a peer eNB to acknowledge update of information for a TNL association.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | М        |       | 9.2.13                | •                     | YES         | reject               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.2.10 ENB CONFIGURATION UPDATE FAILURE

This message is sent by an eNB to a peer eNB to indicate eNB Configuration Update Failure.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Cause                   | M        |       | 9.2.6                 |                       | YES         | ignore               |
| Time To Wait            | 0        |       | 9.2.32                |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.2.11 RESOURCE STATUS REQUEST

This message is sent by an  $eNB_1$  to neighbouring  $eNB_2$  to initiate the requested measurement according to the parameters given in the message.

| IE/Group Name  | Presence                                | Range                                  | IE type and reference                                     | Semantics description  | Criticality | Assigned Criticality |
|--|---|--|---|--|-------------|----------------------|
| Message Type   | M                                       |  | 9.2.13  |  | YES         | reject               |
| eNB1 Measurement ID                                    | М                                       |  | INTEGER<br>(14095,)                                       | Allocated by eNB <sub>1</sub>  | YES         | reject               |
| eNB2 Measurement ID                                    | C-<br>ifRegistrati<br>onRequest<br>Stop |  | INTEGER<br>(14095,)                                       | Allocated by eNB <sub>2</sub>  | YES         | ignore               |
| Registration Request                                   | M                                       |  | ENUMERAT<br>ED(start,<br>stop,<br>)                       | A value set to 'stop', indicates a request to stop all cells measurements.   | YES         | reject               |
| Report Characteristics                                 | 0                                       |  | BITSTRING<br>(SIZE(32))                                   | Each position in the bitmap indicates measurement object the eNB <sub>2</sub> is requested to report. First Bit = PRB Periodic, Second Bit = TNL load Ind Periodic, Third Bit = HW Load Ind Periodic, Fourth Bit = Composite Available Capacity Periodic, this bit should be set to 1 if at least one of the First, Second or Third bits is set to 1, Fifth Bit = ABS Status Periodic, Sixth Bit = RSRP Measurement Report Periodic. Other bits shall be ignored by the eNB <sub>2</sub> . | YES         | reject               |
| Cell To Report   |   | 1                                      |   | Cell ID list for which measurement is needed   | YES         | ignore               |
| >Cell To Report Item                                   |   | 1<br><maxcel<br>lineNB&gt;</maxcel<br> |   |  | EACH        | ignore               |
| >>Cell ID  | M                                       |  | ECGI<br>9.2.14  |  | _           | _                    |
| Reporting Periodicity                                  | 0                                       |  | ENUMERAT<br>ED(1000ms,<br>2000ms,<br>5000ms,100<br>00ms,) | Periodicity that can be used for reporting of PRB Periodic, TNL Load Ind Periodic, HW Load Ind Periodic, Composite Available Capacity Periodic or ABS Status Periodic.   | YES         | ignore               |
| Partial Success Indicator                              | 0                                       |  | ENUMERAT<br>ED(partial<br>success<br>allowed,)            | Included if partial success is allowed   | YES         | ignore               |
| Reporting Periodicity of<br>RSRP Measurement<br>Report | 0                                       |  | ENUMERAT<br>ED(120ms,<br>240ms,<br>480ms,<br>640ms,)      | Periodicity that can be used for the reporting of RSRP Measurement Report Periodic.  | YES         | ignore               |

| Range bound  | Explanation   |
|--------------|---|
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |

| Condition                 | Explanation   |
|---------------------------|---|
| ifRegistrationRequestStop | This IE shall be present if the Registration Request IE is set to the |
|                           | value 'stop'.   |

# 9.1.2.12 RESOURCE STATUS RESPONSE

This message is sent by the  $eNB_2$  to indicate that the requested measurement, for all or for a subset of the measurement objects included in the measurement is successfully initiated.

| IE/Group Name                                 | Presence | Range   | IE type and reference   | Semantics description   | Criticality | Assigned Criticality |
|---|----------|---|-------------------------|---|-------------|----------------------|
| Message Type                                  | M        |   | 9.2.13                  |   | YES         | reject               |
| eNB1 Measurement ID                           | М        |   | INTEGER<br>(14095,)     | Allocated by eNB <sub>1</sub>   | YES         | reject               |
| eNB2 Measurement ID                           | M        |   | INTEGER<br>(14095,)     | Allocated by eNB <sub>2</sub>   | YES         | reject               |
| Criticality Diagnostics                       | 0        |   | 9.2.7                   |   | YES         | ignore               |
| Measurement Initiation<br>Result              |          | 01  |                         | List of all cells in which<br>measurement objects<br>were requested,<br>included when<br>indicating partial<br>success  | YES         | ignore               |
| >Measurement<br>Initiation Result Item        |          | 1<br><maxce<br>IlineNB&gt;</maxce<br>                   |                         |   | EACH        | ignore               |
| >>Cell ID                                     | М        |   | ECGI<br>9.2.14          |   | _           | _                    |
| >>Measurement<br>Failure Cause List           |          | 01  |                         | Indicates that eNB <sub>2</sub> could not initiate the measurement for at least one of the requested measurement objects in the cell  | -           | _                    |
| >>>Measurement<br>Failure Cause Item          |          | 1<br><maxfa<br>iledMea<br/>sObject<br/>s&gt;</maxfa<br> |                         |   | EACH        | ignore               |
| >>>>Measurement Failed Report Characteristics | M        |   | BITSTRING<br>(SIZE(32)) | Each position in the bitmap indicates measurement object that failed to be initiated in the eNB <sub>2</sub> . First Bit = PRB Periodic, Second Bit = TNL load Ind Periodic, Third Bit = HW Load Ind Periodic, Fourth Bit = Composite Available Capacity Periodic, Fifth Bit = ABS Status Periodic, Sixth Bit = RSRP Measurement Report Periodic. Other bits shall be ignored by the eNB <sub>1</sub> . |             | _                    |
| >>>Cause                                      | M        |   | 9.2.6                   | Failure cause for measurement objects for which the measurement cannot be initiated   | -           | _                    |

| Range bound          | Explanation   |
|----------------------|---|
| maxFailedMeasObjects | Maximum number of measurement objects that can fail per       |
|                      | measurement. Value is 32.                                     |
| maxCellineNB         | Maximum no. cells that can be served by an eNB. Value is 256. |

# 9.1.2.13 RESOURCE STATUS FAILURE

This message is sent by the  $eNB_2$  to indicate that for none of the requested measurement objects the measurement can be initiated.

| IE/Group Name                                  | Presence | Range   | IE type and reference   | Semantics description   | Criticality | Assigned Criticality |
|--|----------|---|-------------------------|---|-------------|----------------------|
| Message Type                                   | M        |   | 9.2.13                  |   | YES         | reject               |
| eNB1 Measurement ID                            | М        |   | INTEGER<br>(14095,)     | Allocated by eNB <sub>1</sub>   | YES         | reject               |
| eNB2 Measurement ID                            | М        |   | INTEGER<br>(14095,)     | Allocated by eNB <sub>2</sub>   | YES         | reject               |
| Cause  | M        |   | 9.2.6                   | Ignored by the receiver when the Complete Failure Cause Information IE is included  | YES         | ignore               |
| Criticality Diagnostics                        | 0        |   | 9.2.7                   |   | YES         | ignore               |
| Complete Failure Cause Information             |          | 01  |                         | Complete list of failure causes for all requested cells   | YES         | ignore               |
| >Complete Failure<br>Cause Information<br>Item |          | 1<br><maxce<br>IlineNB&gt;</maxce<br>                   |                         |   | EACH        | ignore               |
| >>Cell ID                                      | М        |   | ECGI<br>9.2.14          |   | _           | _                    |
| >>Measurement<br>Failure Cause List            |          | 1   |                         |   | _           | _                    |
| >>>Measurement Failure Cause Item              |          | 1<br><maxfa<br>iledMea<br/>sObject<br/>s&gt;</maxfa<br> |                         |   | EACH        | ignore               |
| >>>>Measuremen t Failed Report Characteristics | M        |   | BITSTRING<br>(SIZE(32)) | Each position in the bitmap indicates measurement object that failed to be initiated in the eNB <sub>2</sub> . First Bit = PRB Periodic, Second Bit = TNL load Ind Periodic, Third Bit = HW Load Ind Periodic, Fourth Bit = Composite Available Capacity Periodic, Fifth Bit = ABS Status Periodic, Sixth Bit = RSRP Measurement Report Periodic. Other bits shall be ignored by the eNB <sub>1</sub> . | _           |                      |
| >>>Cause                                       | М        |   | 9.2.6                   | Failure cause for measurements that cannot be initiated   | _           | _                    |

| Range bound          | Explanation  |
|----------------------|--|
| maxCellineNB         | Maximum no. cells that can be served by an eNB. Value is 256.    |
| maxFailedMeasObjects | Max number of measurement objects that can fail per measurement. |
|                      | Value is 32.   |

# 9.1.2.14 RESOURCE STATUS UPDATE

This message is sent by eNB<sub>2</sub> to neighbouring eNB<sub>1</sub> to report the results of the requested measurements.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name                        | Presence | Range                              | IE type and reference | Semantics description         | Criticality | Assigned Criticality |
|--------------------------------------|----------|------------------------------------|-----------------------|-------------------------------|-------------|----------------------|
| Message Type                         | М        |                                    | 9.2.13                |                               | YES         | ignore               |
| eNB1 Measurement ID                  | М        |                                    | INTEGER<br>(14095,)   | Allocated by eNB <sub>1</sub> | YES         | reject               |
| eNB2 Measurement ID                  | М        |                                    | INTEGER<br>(14095,)   | Allocated by eNB <sub>2</sub> | YES         | reject               |
| Cell Measurement Result              |          | 1                                  |                       |                               | YES         | ignore               |
| >Cell Measurement<br>Result Item     |          | 1<br><maxcellinenb></maxcellinenb> |                       |                               | EACH        | ignore               |
| >>Cell ID                            | М        |                                    | ECGI<br>9.2.14        |                               |             |                      |
| >>Hardware Load<br>Indicator         | 0        |                                    | 9.2.34                |                               |             |                      |
| >>S1 TNL Load<br>Indicator           | 0        |                                    | 9.2.35                |                               |             |                      |
| >>Radio Resource<br>Status           | 0        |                                    | 9.2.37                |                               |             |                      |
| >>Composite Available Capacity Group | 0        |                                    | 9.2.44                |                               | YES         | ignore               |
| >>ABS Status                         | 0        |                                    | 9.2.58                |                               | YES         | ignore               |
| >>RSRP Measurement<br>Report List    | 0        |                                    | 9.2.76                |                               | YES         | ignore               |

| Range bound  | Explanation   |  |  |  |  |
|--------------|---|--|--|--|--|
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |  |  |  |  |

#### 9.1.2.15 MOBILITY CHANGE REQUEST

This message is sent by an eNB<sub>1</sub> to neighbouring eNB<sub>2</sub> to initiate adaptation of mobility parameters.

Direction:  $eNB_1 \rightarrow eNB_2$ .

| IE/Group Name                        | Presence | Range | IE type and reference                  | Semantics description                                  | Criticality | Assigned Criticality |
|--------------------------------------|----------|-------|--|--|-------------|----------------------|
| Message Type                         | M        |       | 9.2.13                                 |  | YES         | reject               |
| eNB1 Cell ID                         | М        |       | ECGI<br>9.2.14                         |  | YES         | reject               |
| eNB2 Cell ID                         | М        |       | ECGI<br>9.2.14                         |  | YES         | reject               |
| eNB1 Mobility Parameters             | 0        |       | Mobility Parameters Information 9.2.48 | Configuration<br>change in eNB <sub>1</sub><br>cell    | YES         | ignore               |
| eNB2 Proposed Mobility<br>Parameters | М        |       | Mobility Parameters Information 9.2.48 | Proposed configuration change in eNB <sub>2</sub> cell | YES         | reject               |
| Cause                                | M        |       | 9.2.6                                  |  | YES         | reject               |

# 9.1.2.16 MOBILITY CHANGE ACKNOWLEDGE

This message is sent by the  $eNB_2$  to indicate that the  $eNB_2$  Proposed Mobility Parameter proposed by  $eNB_1$  was accepted.

| IE/Group Name           | Presence | Range | IE type and reference | Semantics   | Criticality | Assigned    |
|-------------------------|----------|-------|-----------------------|-------------|-------------|-------------|
|                         |          |       |                       | description |             | Criticality |
| Message Type            | М        |       | 9.2.13                |             | YES         | reject      |
| eNB1 Cell ID            | М        |       | ECGI                  |             | YES         | reject      |
|                         |          |       | 9.2.14                |             |             |             |
| eNB2 Cell ID            | M        |       | ECGI                  |             | YES         | reject      |
|                         |          |       | 9.2.14                |             |             | -           |
| Criticality Diagnostics | 0        |       | 9.2.7                 |             | YES         | ignore      |

# 9.1.2.17 MOBILITY CHANGE FAILURE

This message is sent by the  $eNB_2$  to indicate that the  $eNB_2$  Proposed Mobility Parameter proposed by  $eNB_1$  was refused.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name                          | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|--|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type                           | M        |       | 9.2.13                |                       | YES         | reject               |
| eNB1 Cell ID                           | M        |       | ECGI<br>9.2.14        |                       | YES         | ignore               |
| eNB2 Cell ID                           | M        |       | ECGI<br>9.2.14        |                       | YES         | ignore               |
| Cause                                  | M        |       | 9.2.6                 |                       | YES         | ignore               |
| Mobility Parameters Modification Range | 0        |       | 9.2.49                |                       | YES         | ignore               |
| Criticality Diagnostics                | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.2.18 RLF INDICATION

This message is sent by the  $eNB_2$  to indicate an RRC re-establishment attempt or a reception of an RLF Report from a UE that suffered a connection failure at  $eNB_1$ .

| IE/Group Name                              | Presence | Range | IE type and reference   | Semantics description  | Criticality | Assigned Criticality |
|--|----------|-------|---|--|-------------|----------------------|
| Message Type                               | M        |       | 9.2.13  | •  | YES         | ignore               |
| Failure cell PCI                           | М        |       | INTEGER (0503,)   | Physical Cell<br>Identifier  | YES         | ignore               |
| Re-establishment cell ECGI                 | М        |       | ECGI<br>9.2.14  |  | YES         | ignore               |
| C-RNTI                                     | М        |       | BIT STRING (SIZE (16))  | C-RNTI<br>contained in the<br>RRC Re-<br>establishment<br>Request<br>message (TS<br>36.331 [9])  | YES         | ignore               |
| ShortMAC-I                                 | 0        |       | BIT STRING (SIZE (16))  | ShortMAC-I<br>contained in the<br>RRC Re-<br>establishment<br>Request<br>message (TS<br>36.331 [9])  | YES         | ignore               |
| UE RLF Report Container                    | 0        |       | OCTET STRING  | RLF -Report-r9 IE contained in the UEInformationRe sponse message (TS 36.331 [9])  | YES         | ignore               |
| RRC Conn Setup<br>Indicator                | 0        |       | ENUMERATED(RR<br>C Conn Setup,)                                     | Included if the RLF Report within the UE RLF Report Container IE is retrieved after an RRC connection setup or an incoming successful handover | YES         | reject               |
| RRC Conn Reestab<br>Indicator              | 0        |       | ENUMERATED(rec onfigurationFailure, handoverFailure, otherFailure,) | The Reestablishment Cause in RRCConnection Reestablishment Request message(TS 36.331 [9])  | YES         | ignore               |
| UE RLF Report Container for extended bands | 0        |       | OCTET STRING  | RLF-Report-v9e0 IE contained in the UEInformationRe sponse message (TS 36.331 [9])   | YES         | ignore               |

# 9.1.2.19 HANDOVER REPORT

This message is sent by the eNB<sub>1</sub> to report a handover failure event or other critical mobility problem.

| IE/Group Name                                    | Presence   | Range | IE type and reference   | Semantics<br>description  | Criticality | Assigned Criticality |
|--|--|-------|---|---|-------------|----------------------|
| Message Type                                     | M  |       | 9.2.13  | •   | YES         | ignore               |
| Handover Report Type                             | М  |       | ENUMERATED<br>(HO too early,<br>HO to wrong cell,<br>, InterRAT<br>ping-pong) |   | YES         | ignore               |
| Handover Cause                                   | M  |       | Cause 9.2.6   | Indicates handover cause employed for handover from eNB <sub>2</sub>  | YES         | ignore               |
| Source cell ECGI                                 | М  |       | ECGI<br>9.2.14  | ECGI of source cell<br>for handover<br>procedure (in eNB <sub>2</sub> )   | YES         | ignore               |
| Failure cell ECGI                                | М  |       | ECGI<br>9.2.14  | ECGI of target cell<br>for handover<br>procedure (in eNB <sub>1</sub> )   | YES         | ignore               |
| Re-establishment cell ECGI                       | C-<br>ifHandoverR<br>eportType<br>HoToWrong<br>Cell    |       | ECGI<br>9.2.14  | ECGI of cell where<br>UE attempted re-<br>establishment   | YES         | ignore               |
| Target cell in UTRAN                             | C-<br>ifHandoverR<br>eportType<br>InterRATpin<br>gpong |       | OCTET STRING  | Encoded according<br>to UTRAN Cell ID in<br>the Last Visited<br>UTRAN Cell<br>Information IE, as<br>defined in in TS<br>25.413 [24] | YES         | ignore               |
| Source cell C-RNTI                               | 0  |       | BIT STRING<br>(SIZE (16))   | C-RNTI allocated at<br>the source eNB (in<br>eNB <sub>2</sub> ) contained in<br>the AS-config (TS<br>36.331 [9]).                   | YES         | ignore               |
| Mobility Information                             | 0  |       | BIT STRING<br>(SIZE (32))   | Information provided in the HANDOVER REQUEST message from eNB <sub>2</sub> .  | YES         | ignore               |
| UE RLF Report<br>Container                       | 0  |       | OCTET STRING  | The UE RLF Report<br>Container IE<br>received in the RLF<br>INDICATION<br>message.  | YES         | ignore               |
| UE RLF Report<br>Container for extended<br>bands | 0  |       | OCTET STRING  | The UE RLF Report<br>Container for<br>extended bands IE<br>received in the RLF<br>INDICATION<br>message.                            | YES         | ignore               |

| Condition                             | Explanation   |
|---------------------------------------|---|
| ifHandoverReportType HoToWrongCell    | This IE shall be present if the Handover Report Type IE is set to the |
|                                       | value "HO to wrong cell"  |
| ifHandoverReportType InterRATpingpong | This IE shall be present if the Handover Report Type IE is set to the |
|                                       | value "InterRAT ping-pong"  |

# 9.1.2.20 CELL ACTIVATION REQUEST

This message is sent by an eNB to a peer eNB to request a previously switched-off cell/s to be re-activated.

| IE/Group Name            | Presence | Range                              | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|--------------------------|----------|------------------------------------|-----------------------|-----------------------|-------------|----------------------|
| Message Type             | M        |                                    | 9.2.13                |                       | YES         | reject               |
| Served Cells To Activate |          | 1<br><maxcellinenb></maxcellinenb> |                       |                       | GLOBAL      | reject               |
| >ECGI                    | M        |                                    | 9.2.14                |                       | -           | -                    |

| Range bound  | Explanation   |  |  |  |  |
|--------------|---|--|--|--|--|
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |  |  |  |  |

## 9.1.2.21 CELL ACTIVATION RESPONSE

This message is sent by an eNB to a peer eNB to indicate that one or more cell(s) previously switched-off has(have) been activated.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range                         | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------------------------------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |                               | 9.2.13                |                       | YES         | reject               |
| Activated Cell List     |          | 1                             |                       |                       | GLOBAL      | ignore               |
|                         |          | <maxcellinenb></maxcellinenb> |                       |                       |             |                      |
| >ECGI                   | М        |                               | 9.2.14                |                       | -           | -                    |
| Criticality Diagnostics | 0        |                               | 9.2.7                 |                       | YES         | ignore               |

| Range bound  | Explanation   |  |  |  |  |
|--------------|---|--|--|--|--|
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |  |  |  |  |

# 9.1.2.22 CELL ACTIVATION FAILURE

This message is sent by an eNB to a peer eNB to indicate cell activation failure.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Cause                   | M        |       | 9.2.6                 |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

#### 9.1.2.23 X2 RELEASE

This message is used to indicate that the signalling connection to an eNB is unavailable.

Direction:  $eNB_1 \rightarrow eNB_2$ .

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type  | M        |       | 9.2.13                |                       | YES         | reject               |
| Global eNB ID | M        |       | 9.2.22                |                       | YES         | reject               |

#### 9.1.2.24 X2AP MESSAGE TRANSFER

This message is used for indirect transport of an X2AP message (except the X2AP MESSAGE TRANSFER message) between two eNBs, and to allow an eNB to perform registration.

| IE/Group Name | Presence | Range | IE type and     | Semantics  | Criticality | Assigned    |
|---------------|----------|-------|-----------------|--|-------------|-------------|
|               |          |       | reference       | description  |             | Criticality |
| Message Type  | M        |       | 9.2.13          |  | YES         | reject      |
| RNL Header    | M        |       | 9.2.68          |  | YES         | reject      |
| X2AP Message  | 0        |       | OCTET<br>STRING | Includes any X2AP message except the X2AP MESSAGE TRANSFER message | YES         | reject      |

#### 9.1.2.25 X2 REMOVAL REQUEST

This message is sent by an eNB to a neighbouring eNB to initiate the removal of the signaling connection.

Direction:  $eNB_1 \rightarrow eNB_2$ .

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type  | M        |       | 9.2.13                |                       | YES         | reject               |
| Global eNB ID | M        |       | 9.2.22                |                       | YES         | reject               |

#### 9.1.2.26 X2 REMOVAL RESPONSE

This message is sent by an eNB to a neighbouring eNB to acknowledge the initiation of removal of the signaling connection.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Global eNB ID           | M        |       | 9.2.22                |                       | YES         | reject               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

#### 9.1.2.27 X2 REMOVAL FAILURE

This message is sent by the eNB to indicate that removing the signaling connection cannot be accepted.

Direction:  $eNB_2 \rightarrow eNB_1$ .

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                |                       | YES         | reject               |
| Cause                   | M        |       | 9.2.6                 |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                 |                       | YES         | ignore               |

# 9.1.3 Messages for Dual Connectivity Procedures

# 9.1.3.1 SENB ADDITION REQUEST

This message is sent by the MeNB to the SeNB to request the preparation of resources for dual connectivity operation for a specific UE

| IE/Group Name                         | Presence                    | Range                                  | IE type and reference                            | Semantics description   | Criticality | Assigned<br>Criticality |
|---------------------------------------|-----------------------------|--|--|---|-------------|-------------------------|
| Message Type                          | М                           |  | 9.2.13   | •   | YES         | reject                  |
| MeNB UE X2AP ID                       | М                           |  | eNB UE<br>X2AP ID<br>9.2.24                      | Allocated at the MeNB   | YES         | reject                  |
| UE Security Capabilities              | C-<br>ifSCGBear<br>erOption |  | 9.2.29   |   | YES         | reject                  |
| SeNB Security Key                     | C-<br>ifSCGBear<br>erOption |  | 9.2.72   | The S-KeNB which is provided by the MeNB, see TS 33.401 [18].   | YES         | reject                  |
| SeNB UE Aggregate<br>Maximum Bit Rate | M                           |  | UE<br>Aggregate<br>Maximum Bit<br>Rate<br>9.2.12 | The UE Aggregate Maximum Bit Rate is split into MeNB UE Aggregate Maximum Bit Rate and SeNB UE Aggregate Maximum Bit Rate which are enforced by MeNB and SeNB respectively. | YES         | reject                  |
| Serving PLMN                          | 0                           |  | PLMN<br>Identity<br>9.2.4                        | The serving PLMN of the SCG in the SeNB.  | YES         | ignore                  |
| E-RABs To Be Added List               |                             | 1                                      |  |   | YES         | reject                  |
| >E-RABs To Be Added<br>Item           |                             | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |  |   | EACH        | reject                  |
| >>CHOICE Bearer<br>Option             | М                           | 20010102                               |  |   |             |                         |
| >>>SCG Bearer                         |                             |  |  |   |             |                         |
| >>>E-RAB ID                           | M                           |  | 9.2.23   |   | _           | _                       |
| >>>E-RAB Level QoS Parameters         | M                           |  | 9.2.9  | Includes necessary QoS parameters   | _           | _                       |
| >>>>DL Forwarding                     | 0                           |  | 9.2.5  |   | _           | _                       |
| >>>>S1 UL GTP Tunnel Endpoint         | M                           |  | GTP Tunnel<br>Endpoint<br>9.2.1                  | SGW endpoint of<br>the S1 transport<br>bearer. For delivery<br>of UL PDUs.  | _           | -                       |
| >>>Split Bearer                       |                             |  |  |   |             |                         |
| >>>E-RAB ID                           | M                           |  | 9.2.23   |   | _           | 1                       |
| >>>E-RAB Level QoS Parameters         | М                           |  | 9.2.9  | Includes necessary QoS parameters   | _           | -                       |
| >>>>MeNB GTP Tunnel Endpoint          | М                           |  | GTP Tunnel<br>Endpoint<br>9.2.1                  | MeNB endpoint of<br>the X2 transport<br>bearer. For delivery<br>of UL PDUs.   | -           | -                       |
| MeNB to SeNB Container                | М                           |  | OCTET<br>STRING                                  | Includes the SCG-<br>ConfigInfo<br>message as<br>defined in TS<br>36.331 [9]  | YES         | reject                  |

| Range bound    | Explanation                         |  |  |
|----------------|-------------------------------------|--|--|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |  |  |

| Condition         | Explanation  |  |  |  |  |
|-------------------|--|--|--|--|--|
| ifSCGBearerOption | This IE shall be present if the Bearer Option IE is set to the value |  |  |  |  |
|                   | "SCG bearer".  |  |  |  |  |

### 9.1.3.2 SENB ADDITION REQUEST ACKNOWLEDGE

This message is sent by the SeNB to confirm the MeNB about the SeNB addition preparation.

| IE/Group Name                         | Presence | Range                                  | IE type and reference           | Semantics description   | Criticality | Assigned Criticality |
|---------------------------------------|----------|--|---------------------------------|---|-------------|----------------------|
| Message Type                          | М        |  | 9.2.13                          |   | YES         | reject               |
| MeNB UE X2AP ID                       | M        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the MeNB   | YES         | reject               |
| SeNB UE X2AP ID                       | M        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the SeNB   | YES         | reject               |
| E-RABs Admitted To Be<br>Added List   |          | 1                                      |                                 |   | YES         | ignore               |
| >E-RABs Admitted To Be<br>Added Item  |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                                 |   | EACH        | ignore               |
| >>CHOICE Bearer<br>Option             | М        |  |                                 |   |             |                      |
| >>>SCG Bearer                         |          |  |                                 |   |             |                      |
| >>>E-RAB ID                           | M        |  | 9.2.23                          |   | _           | _                    |
| >>>S1 DL GTP Tunnel Endpoint          | M        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | SeNB<br>endpoint of<br>the S1<br>transport<br>bearer. For<br>delivery of<br>DL PDUs.  | -           | -                    |
| >>>>DL Forwarding GTP Tunnel Endpoint | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the<br>X2 transport<br>bearer used<br>for<br>forwarding of<br>DL PDUs  | F           | -                    |
| >>>>UL Forwarding GTP Tunnel Endpoint | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the<br>X2 transport<br>bearer used<br>for<br>forwarding of<br>UL PDUs  | _           | _                    |
| >>>Split Bearer                       |          |  |                                 |   |             |                      |
| >>>E-RAB ID                           | М        |  | 9.2.23                          |   | _           | _                    |
| >>>SeNB GTP Tunnel Endpoint           | M        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Endpoint of<br>the X2<br>transport<br>bearer at the<br>SeNB.  | -           | _                    |
| E-RABs Not Admitted List              | 0        |  | E-RAB List<br>9.2.28            | A value for E-<br>RAB ID shall<br>only be<br>present once<br>in E-RABs<br>Admitted List<br>IE and in E-<br>RABs Not<br>Admitted List<br>IE. | YES         | ignore               |
| SeNB to MeNB Container                | M        |  | OCTET<br>STRING                 | Includes the SCG-Config message as defined in TS 36.331 [9]   | YES         | reject               |
| Criticality Diagnostics               | 0        |  | 9.2.7                           |   | YES         | ignore               |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.1.3.3 SENB ADDITION REQUEST REJECT

This message is sent by the SeNB to inform the MeNB that the SeNB Addition Preparation has failed.

Direction: SeNB  $\rightarrow$  MeNB.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                      |                       | YES         | reject               |
| MeNB UE X2AP ID         | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB | YES         | reject               |
| SeNB UE X2AP ID         | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB | YES         | reject               |
| Cause                   | M        |       | 9.2.6                       |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                       |                       | YES         | ignore               |

#### 9.1.3.4 SENB RECONFIGURATION COMPLETE

This message is sent by the MeNB to the SeNB to indicate whether the configuration requested by the SeNB was applied by the UE.

| IE/Group Name                        | Presence | Range | IE type and reference       | Semantics description                                       | Criticality | Assigned Criticality |
|--------------------------------------|----------|-------|-----------------------------|---|-------------|----------------------|
| Message Type                         | M        |       | 9.2.13                      |   | YES         | ignore               |
| MeNB UE X2AP ID                      | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB                                       | YES         | reject               |
| SeNB UE X2AP ID                      | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB                                       | YES         | reject               |
| Response Information                 | M        |       |                             |   | YES         | ignore               |
| >CHOICE Response Type                | M        |       |                             |   |             |                      |
| >>Configuration successfully applied |          |       |                             |   |             |                      |
| >>>MeNB to SeNB<br>Container         | 0        |       | OCTET<br>STRING             | Includes the SCG-ConfigInfo message as defined in TS 36.331 | -           | -                    |
| >>Configuration rejected by the MeNB |          |       |                             |   |             |                      |
| >>>Cause                             | M        |       | 9.2.6                       |   | ı           | -                    |
| >>>MeNB to SeNB<br>Container         | 0        |       | OCTET<br>STRING             | Includes the SCG-ConfigInfo message as defined in TS 36.331 | -           | -                    |

#### 9.1.3.5 SENB MODIFICATION REQUEST

This message is sent by the MeNB to the SeNB to request the preparation to modify SeNB resources for a specific UE. Direction:  $MeNB \rightarrow SeNB$ .

| IE/Group Name                 | Presence | Range   | IE type and       | Semantics             | Criticality | Assigned    |
|-------------------------------|----------|---|-------------------|-----------------------|-------------|-------------|
|                               |          |   | reference         | description           |             | Criticality |
| Message Type                  | M        |   | 9.2.13            |                       | YES         | reject      |
| MeNB UE X2AP ID               | M        |   | eNB UE            | Allocated at the      | YES         | reject      |
|                               |          |   | X2AP ID           | MeNB                  |             |             |
| C-ND HE YOAR ID               | N.4      |   | 9.2.24            | All 4 1 - 4 4         | VEO         |             |
| SeNB UE X2AP ID               | M        |   | eNB UE<br>X2AP ID | Allocated at the SeNB | YES         | reject      |
|                               |          |   | 9.2.24            | Seind                 |             |             |
| Cause                         | М        |   | 9.2.6             |                       | YES         | ignore      |
| SCG Change Indication         | 0        |   | 9.2.73            |                       | YES         | ignore      |
| Serving PLMN                  | Ö        |   | PLMN              | The serving PLMN      | YES         | ignore      |
| Colving 1 Livin               |          |   | Identity          | of the SCG in the     | 120         | ignore      |
|                               |          |   | 9.2.4             | SeNB.                 |             |             |
| UE Context Information        |          | 01  | -                 |                       | YES         | reject      |
| >UE Security Capabilities     | 0        |   | 9.2.29            |                       | _           | _           |
| >SeNB Security Key            | 0        |   | 9.2.72            |                       | _           | _           |
| >SeNB UE Aggregate            | 0        |   | UE                |                       | _           | _           |
| Maximum Bit Rate              |          |   | Aggregate         |                       |             |             |
|                               |          |   | Maximum Bit       |                       |             |             |
|                               |          |   | Rate              |                       |             |             |
|                               |          |   | 9.2.12            |                       |             |             |
| >E-RABs To Be Added           |          | 01  |                   |                       | _           | _           |
| List                          |          |   |                   |                       |             |             |
| >>E-RABs To Be Added          |          | 1 <maxnoof< td=""><td></td><td></td><td>EACH</td><td>ignore</td></maxnoof<> |                   |                       | EACH        | ignore      |
| Item                          |          | Bearers>  |                   |                       |             |             |
| >>>CHOICE Bearer              | М        |   |                   |                       |             |             |
| Option SCC Pages              |          |   |                   |                       |             |             |
| >>>SCG Bearer<br>>>>>E-RAB ID | M        |   | 9.2.23            |                       |             |             |
| >>>>E-RAB ID                  | M        |   | 9.2.23            | Includes necessary    | _           | _           |
| QoS Parameters                | IVI      |   | 9.2.9             | QoS parameters        | _           | _           |
| >>>>DL                        | 0        |   | 9.2.5             | Q00 parameters        | _           | _           |
| Forwarding                    | O        |   | 3.2.3             |                       |             | _           |
| >>>>S1 UL GTP                 | М        |   | GTP Tunnel        | SGW endpoint of       | _           | _           |
| Tunnel Endpoint               |          |   | Endpoint          | the S1 transport      |             |             |
|                               |          |   | 9.2.1             | bearer. For delivery  |             |             |
|                               |          |   |                   | of UL PDUs.           |             |             |
| >>>Split Bearer               |          |   |                   |                       |             |             |
| >>>>E-RAB ID                  | M        |   | 9.2.23            |                       | _           | _           |
| >>>>E-RAB Level               | M        |   | 9.2.9             | Includes necessary    | _           | _           |
| QoS Parameters                |          |   |                   | QoS parameters        |             |             |
| >>>>MeNB GTP                  | M        |   | GTP Tunnel        | MeNB endpoint of      | _           | _           |
| Tunnel Endpoint               |          |   | Endpoint          | the X2 transport      |             |             |
|                               |          |   | 9.2.1             | bearer. For delivery  |             |             |
|                               |          | 0.1   |                   | of UL PDUs.           |             |             |
| >E-RABs To Be Modified        |          | 01  |                   |                       | _           | _           |
| List >>E-RABs To Be           |          | 1 , may 200   | +                 |                       | EACH        | ianoro      |
| Modified Item                 |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br>                                      |                   |                       | EACH        | ignore      |
| >>>CHOICE Bearer              | M        | Doui Groz   | +                 |                       |             |             |
| Option                        | 141      |   |                   |                       |             |             |
| >>>SCG Bearer                 |          |   | †                 |                       |             |             |
| >>>>E-RAB ID                  | М        |   | 9.2.23            |                       | _           | _           |
| >>>>E-RAB Level               | O        | 1   | 9.2.9             | Includes QoS          | _           | _           |
| QoS Parameters                | _        |   |                   | parameters to be      |             |             |
|                               |          |   |                   | modified              |             |             |
| >>>>S1 UL GTP                 | 0        |   | GTP Tunnel        | SGW endpoint of       | _           | _           |
| Tunnel Endpoint               |          |   | Endpoint          | the S1 transport      |             |             |
|                               |          |   | 9.2.1             | bearer. For delivery  |             |             |
|                               |          |   |                   | of UL PDUs.           |             |             |
| >>>Split Bearer               |          |   |                   |                       |             |             |
| >>>>E-RAB ID                  | M        |   | 9.2.23            |                       | _           | _           |
| >>>>E-RAB Level               | 0        |   | 9.2.9             | Includes QoS          | _           | _           |
| QoS Parameters                |          |   |                   | parameters to be      |             |             |
| MAND OTE                      |          |   | OTD T             | modified              |             |             |
| >>>>MeNB GTP                  | 0        | I   | GTP Tunnel        | MeNB endpoint of      | _           | _           |

| Tunnel Endpoint                             |   |  | Endpoint<br>9.2.1               | the X2 transport<br>bearer. For delivery<br>of UL PDUs.                      |      |        |
|---|---|--|---------------------------------|--|------|--------|
| >E-RABs To Be Released<br>List              |   | 01                                     |                                 |  | _    | _      |
| >>E-RABs To Be<br>Released Item             |   | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                                 |  | EACH | ignore |
| >>>CHOICE Bearer Option                     | М |  |                                 |  |      |        |
| >>>SCG Bearer                               |   |  |                                 |  |      |        |
| >>>>E-RAB ID                                | M |  | 9.2.23                          |  | 1    | 1      |
| >>>>DL<br>Forwarding GTP<br>Tunnel Endpoint | 0 |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2<br>transport bearer<br>used for forwarding<br>of DL PDUs   | -    | -      |
| >>>>UL<br>Forwarding GTP<br>Tunnel Endpoint | 0 |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2<br>transport bearer.<br>used for forwarding<br>of UL PDUs  | -    | -      |
| >>>Split Bearer                             |   |  |                                 |  |      |        |
| >>>>E-RAB ID                                | M |  | 9.2.23                          |  | _    | _      |
| >>>>DL<br>Forwarding GTP<br>Tunnel Endpoint | 0 |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2<br>transport bearer<br>used for forwarding<br>of DL PDUs   | -    | I      |
| MeNB to SeNB Container                      | 0 |  | OCTET<br>STRING                 | Includes the SCG-<br>ConfigInfo<br>message as<br>defined in TS<br>36.331 [9] | YES  | ignore |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

### 9.1.3.6 SENB MODIFICATION REQUEST ACKNOWLEDGE

This message is sent by the SeNB to confirm the MeNB"s request to modify the SeNB resources for a specific UE.

| IE/Group Name                             | Presence | Range                                  | IE type and reference                     | Semantics description  | Criticality  | Assigned<br>Criticality |
|---|----------|--|---|--|--------------|-------------------------|
| Message Type                              | М        |  | 9.2.13                                    |  | YES          | reject                  |
| MeNB UE X2AP ID                           | М        |  | eNB UE<br>X2AP ID<br>9.2.24               | Allocated at the MeNB  | YES          | ignore                  |
| SeNB UE X2AP ID                           | M        |  | eNB UE<br>X2AP ID<br>9.2.24               | Allocated at the SeNB  | YES          | ignore                  |
| E-RABs Admitted List                      |          | 01                                     |   |  | YES          | ignore                  |
| >E-RABs Admitted To Be<br>Added List      |          | 1                                      |   |  | -            | _                       |
| >>E-RABs Admitted To<br>Be Added Item     |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |   |  | EACH         | ignore                  |
| >>>CHOICE Bearer<br>Option                | М        |  |   |  |              |                         |
| >>>SCG Bearer                             |          |  |   |  |              |                         |
| >>>>E-RAB ID                              | M        |  | 9.2.23                                    |  | _            | _                       |
| >>>>S1 DL GTP Tunnel Endpoint             | М        |  | GTP Tunnel<br>Endpoint<br>9.2.1           | SeNB<br>endpoint of<br>the S1<br>transport<br>bearer. For<br>delivery of<br>DL PDUs. | -            | _                       |
| >>>>DL Forwarding GTP Tunnel Endpoint     | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1           | Identifies the X2 transport bearer used for forwarding of DL PDUs                    | -            | -                       |
| >>>>UL Forwarding GTP Tunnel Endpoint     | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1           | Identifies the<br>X2 transport<br>bearer used<br>for<br>forwarding of<br>UL PDUs     | -            | _                       |
| >>>Split Bearer                           |          |  | 0.000                                     |  |              |                         |
| >>>>E-RAB ID                              | M        |  | 9.2.23                                    |  |              | _                       |
| >>>>SeNB GTP Tunnel Endpoint              | M        |  | GTP Tunnel<br>Endpoint<br>9.2.1           | Endpoint of<br>the X2<br>transport<br>bearer at the<br>SeNB.                         | -            | _                       |
| >E-RABs Admitted To Be<br>Modified List   |          | 01                                     |   |  | _            | _                       |
| >>E-RABs Admitted To<br>Be Modified Item  |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |   |  | EACH         | ignore                  |
| >>>CHOICE Bearer<br>Option                | М        |  |   |  |              |                         |
| >>>SCG Bearer                             |          |  | 0.000                                     |  |              |                         |
| >>>>E-RAB ID                              | M        |  | 9.2.23                                    | O-ND   | -            | _                       |
| >>>>S1 DL GTP Tunnel Endpoint             | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1           | SeNB<br>endpoint of<br>the S1<br>transport<br>bearer. For<br>delivery of<br>DL PDUs. | -            | _                       |
| >>>Split Bearer                           | 1.4      |  | 0.000                                     |  |              |                         |
| >>>>E-RAB ID >>>>SeNB GTP Tunnel Endpoint | O        |  | 9.2.23<br>GTP Tunnel<br>Endpoint<br>9.2.1 | Endpoint of<br>the X2<br>transport<br>bearer at the                                  | <del>-</del> |                         |
| >E-RABs Admitted To Be                    |          | 01                                     |   | SeNB.  | _            | _                       |

| Released List            |   |   |                      |  |      |        |
|--------------------------|---|---|----------------------|--|------|--------|
| >>E-RABs Admitted To     |   | 1 <maxnoof< td=""><td></td><td></td><td>EACH</td><td>ignore</td></maxnoof<> |                      |  | EACH | ignore |
| Be Released Item         |   | Bearers>  |                      |  |      |        |
| >>>CHOICE Bearer         | M |   |                      |  |      |        |
| Option                   |   |   |                      |  |      |        |
| >>>SCG Bearer            |   |   |                      |  |      |        |
| >>>>E-RAB ID             | M |   | 9.2.23               |  | _    | _      |
| >>>Split Bearer          |   |   |                      |  |      |        |
| >>>>E-RAB ID             | M |   | 9.2.23               |  | _    | _      |
| E-RABs Not Admitted List | 0 |   | E-RAB List<br>9.2.28 | A value for<br>E-RAB ID<br>shall only be<br>present once<br>in E-RABs<br>Admitted List<br>IE and in E-<br>RABs Not<br>Admitted List<br>IE. | YES  | ignore |
| SeNB to MeNB Container   | 0 |   | OCTET<br>STRING      | Includes the SCG-Config message as defined in TS 36.331  | YES  | ignore |
| Criticality Diagnostics  | 0 |   | 9.2.7                |  | YES  | ignore |

| Range bound    | Explanation                         |  |  |  |
|----------------|-------------------------------------|--|--|--|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |  |  |  |

#### 9.1.3.7 SENB MODIFICATION REQUEST REJECT

This message is sent by the SeNB to inform the MeNB that the MeNB initiated SeNB Modification Preparation has failed.

Direction: SeNB  $\rightarrow$  MeNB.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------------|-----------------------|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                      |                       | YES         | reject               |
| MeNB UE X2AP ID         | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB | YES         | ignore               |
| SeNB UE X2AP ID         | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB | YES         | ignore               |
| Cause                   | M        |       | 9.2.6                       |                       | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                       |                       | YES         | ignore               |

#### 9.1.3.8 SENB MODIFICATION REQUIRED

This message is sent by the SeNB to the MeNB to request the modification of SeNB resources for a specific UE.

| IE/Group Name                 | Presence | Range                                  | IE type and reference       | Semantics description   | Criticality | Assigned Criticality |
|-------------------------------|----------|--|-----------------------------|---|-------------|----------------------|
| Message Type                  | M        |  | 9.2.13                      |   | YES         | reject               |
| MeNB UE X2AP ID               | M        |  | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB   | YES         | reject               |
| SeNB UE X2AP ID               | M        |  | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB   | YES         | reject               |
| Cause                         | M        |  | 9.2.6                       |   | YES         | ignore               |
| SCG Change Indication         | 0        |  | 9.2.73                      |   | YES         | ignore               |
| E-RABs To Be Released<br>List |          | 01                                     |                             |   | YES         | ignore               |
| >E-RABs To Be Released Item   |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                             |   | EACH        | ignore               |
| >>E-RAB ID                    | M        |  | 9.2.23                      |   | _           | -                    |
| >>Cause                       | M        |  | 9.2.6                       |   | _           | -                    |
| SeNB to MeNB Container        | 0        |  | OCTET<br>STRING             | Includes the SCG-<br>Config message as<br>defined in TS<br>36.331 [9] | YES         | ignore               |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.1.3.9 SENB MODIFICATION CONFIRM

This message is sent by the MeNB to inform the SeNB about the successful modification.

Direction: MeNB  $\rightarrow$  SeNB.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description                                       | Criticality | Assigned<br>Criticality |
|-------------------------|----------|-------|-----------------------------|---|-------------|-------------------------|
| Message Type            | M        |       | 9.2.13                      |   | YES         | reject                  |
| MeNB UE X2AP ID         | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB                                       | YES         | ignore                  |
| SeNB UE X2AP ID         | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB                                       | YES         | ignore                  |
| MeNB to SeNB Container  | 0        |       | OCTET<br>STRING             | Includes the SCG-ConfigInfo message as defined in TS 36.331 | YES         | ignore                  |
| Criticality Diagnostics | 0        |       | 9.2.7                       |   | YES         | ignore                  |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.1.3.10 SENB MODIFICATION REFUSE

This message is sent by the MeNB to inform the SeNB that the SeNB initiated SeNB Modification has failed.

| IE/Group Name           | Presence | Range | IE type and reference       | Semantics description                                       | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------------|---|-------------|----------------------|
| Message Type            | M        |       | 9.2.13                      |   | YES         | reject               |
| MeNB UE X2AP ID         | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB                                       | YES         | ignore               |
| SeNB UE X2AP ID         | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB                                       | YES         | ignore               |
| Cause                   | M        |       | 9.2.6                       |   | YES         | ignore               |
| MeNB to SeNB Container  | 0        |       | OCTET<br>STRING             | Includes the SCG-ConfigInfo message as defined in TS 36.331 | YES         | ignore               |
| Criticality Diagnostics | 0        |       | 9.2.7                       |   | YES         | ignore               |

### 9.1.3.11 SENB RELEASE REQUEST

This message is sent by the MeNB to the SeNB to request the release of resources.

| IE/Group Name                            | Presence | Range                                  | IE type and reference           | Semantics description  | Criticality | Assigned Criticality |
|--|----------|--|---------------------------------|--|-------------|----------------------|
| Message Type                             | M        |  | 9.2.13                          |  | YES         | ignore               |
| MeNB UE X2AP ID                          | M        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the MeNB  | YES         | reject               |
| SeNB UE X2AP ID                          | 0        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the SeNB  | YES         | reject               |
| Cause                                    | 0        |  | 9.2.6                           |  | YES         | ignore               |
| E-RABs To Be Released<br>List            |          | 01                                     |                                 |  | YES         | ignore               |
| > E-RABs To Be<br>Released Item          |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                                 |  | EACH        | ignore               |
| >>CHOICE Bearer<br>Option                | M        |  |                                 |  |             |                      |
| >>>SCG Bearer                            |          |  |                                 |  |             |                      |
| >>>E-RAB ID                              | M        |  | 9.2.23                          |  | -           | _                    |
| >>>>UL Forwarding GTP Tunnel Endpoint    | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer used for forwarding of UL PDUs  | =           | -                    |
| >>>>DL Forwarding<br>GTP Tunnel Endpoint | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer. used for forwarding of DL PDUs | -           | _                    |
| >>>Split Bearer                          |          |  |                                 |  |             |                      |
| >>>E-RAB ID                              | M        |  | 9.2.23                          |  | _           | _                    |
| >>>>DL Forwarding<br>GTP Tunnel Endpoint | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer. used for forwarding of DL PDUs | -           | _                    |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.1.3.12 SENB RELEASE REQUIRED

This message is sent by the SeNB to request the release of all resources for a specific UE at the SeNB.

Direction: SeNB  $\rightarrow$  MeNB.

| IE/Group Name   | Presence | Range | IE type and reference       | Semantics description | Criticality | Assigned Criticality |
|-----------------|----------|-------|-----------------------------|-----------------------|-------------|----------------------|
| Message Type    | M        |       | 9.2.13                      |                       | YES         | reject               |
| MeNB UE X2AP ID | M        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB | YES         | reject               |
| SeNB UE X2AP ID | М        |       | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB | YES         | reject               |
| Cause           | M        |       | 9.2.6                       |                       | YES         | ignore               |

#### 9.1.3.13 SENB RELEASE CONFIRM

This message is sent by the MeNB to confirm the release of all resources for a specific UE at the SeNB.

| IE/Group Name                            | Presence | Range                                  | IE type and reference           | Semantics description   | Criticality | Assigned Criticality |
|--|----------|--|---------------------------------|---|-------------|----------------------|
| Message Type                             | М        |  | 9.2.13                          | uooonpuon   | YES         | reject               |
| MeNB UE X2AP ID                          | М        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the MeNB   | YES         | ignore               |
| SeNB UE X2AP ID                          | M        |  | eNB UE<br>X2AP ID<br>9.2.24     | Allocated at the SeNB   | YES         | ignore               |
| E-RABs to be Released List               |          | 01                                     |                                 |   | YES         | ignore               |
| >E-RABs To Be Released Item              |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                                 |   | _           | _                    |
| >>CHOICE Bearer<br>Option                | М        |  |                                 |   |             |                      |
| >>>Split Bearer                          |          |  |                                 |   |             |                      |
| >>>E-RAB ID                              | M        |  | 9.2.23                          |   | _           | _                    |
| >>>>DL Forwarding GTP Tunnel Endpoint    | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | -           | -                    |
| >>>>UL Forwarding<br>GTP Tunnel Endpoint | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer used for forwarding of UL PDUs | -           | -                    |
| >>>SCG Bearer                            |          |  |                                 |   |             |                      |
| >>>E-RAB ID                              | M        |  | 9.2.23                          |   | -           | _                    |
| >>>>DL Forwarding GTP Tunnel Endpoint    | 0        |  | GTP Tunnel<br>Endpoint<br>9.2.1 | Identifies the X2 transport bearer used for forwarding of DL PDUs | -           | _                    |
| Criticality Diagnostics                  | 0        |  | 9.2.7                           |   | YES         | ignore               |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.1.3.14 SENB COUNTER CHECK REQUEST

This message is sent by the SeNB to request the verification of the value of the PDCP COUNTs associated with SCG bearers established in the SeNB.

| IE/Group Name                            | Presence | Range                                  | IE type and reference       | Semantics description   | Criticality | Assigned Criticality |
|--|----------|--|-----------------------------|---|-------------|----------------------|
| Message Type                             | М        |  | 9.2.13                      |   | YES         | reject               |
| MeNB UE X2AP ID                          | M        |  | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the MeNB   | YES         | ignore               |
| SeNB UE X2AP ID                          | M        |  | eNB UE<br>X2AP ID<br>9.2.24 | Allocated at the SeNB   | YES         | ignore               |
| E-RABs Subject to<br>Counter Check List  |          | 1                                      |                             |   | YES         | ignore               |
| >E-RABs Subject to<br>Counter Check Item |          | 1 <maxnoof<br>Bearers&gt;</maxnoof<br> |                             |   | EACH        | ignore               |
| >>E-RAB ID                               | M        |  | 9.2.23                      |   | •           | -                    |
| >>UL COUNT                               | M        | INTEGER(0<br>4294967295)               |                             | Indicates the value of uplink COUNT associated to this E-RAB.   | -           | -                    |
| >>DL COUNT                               | M        | INTEGER(0<br>4294967295)               |                             | Indicates the value of downlink COUNT associated to this E-RAB. | -           | -                    |

| Range bound    | Explanation                         |
|----------------|-------------------------------------|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256 |

#### 9.2 Information Element definitions

#### 9.2.0 General

When specifying information elements which are to be represented by bit strings, if not otherwise specifically stated in the semantics description of the concerned IE or elsewhere, the following principle applies with regards to the ordering of bits:

- The first bit (leftmost bit) contains the most significant bit (MSB);
- The last bit (rightmost bit) contains the least significant bit (LSB);
- When importing bit strings from other specifications, the first bit of the bit string contains the first bit of the concerned information.

### 9.2.1 GTP Tunnel Endpoint

The *GTP Tunnel Endpoint* IE identifies an X2 transport bearer or the S-GW endpoint of the S1 transport bearer associated to an E-RAB. It contains a Transport Layer Address and a GTP Tunnel Endpoint Identifier. The Transport Layer Address is an IP address to be used for the X2 user plane transport (see TS 36.424 [8]) or for the S1 user plane transport (see TS 36.414 [19]). The GTP Tunnel Endpoint Identifier is to be used for the user plane transport between eNB and the S-GW or between eNBs.

| IE/Group Name           | Presence | Range | IE type and | Semantics description        | Criticality | Assigned    |
|-------------------------|----------|-------|-------------|------------------------------|-------------|-------------|
|                         |          |       | reference   |                              |             | Criticality |
| Transport Layer Address | M        |       | BIT STRING  | For details on the Transport | _           | _           |
|                         |          |       | (1160,)     | Layer Address, see TS        |             |             |
|                         |          |       |             | 36.424 [8], TS 36.414 [19]   |             |             |
| GTP TEID                | M        |       | OCTET       | For details and range, see   | _           | _           |
|                         |          |       | STRING (4)  | TS 29.281 [26]               |             |             |

#### 9.2.2 Trace Activation

Defines parameters related to trace activation.

| IE/Group Name                         | Presence | Range | IE type and   | Semantics   | Criticality | Assigned    |
|---------------------------------------|----------|-------|---|---|-------------|-------------|
|                                       |          |       | reference   | description   |             | Criticality |
| E-UTRAN Trace ID                      | M        |       | OCTET STRING (8)  | The E-UTRAN Trace ID IE is composed of the following: Trace Reference defined in TS 32.422 [6] (leftmost 6 octets, with PLMN information coded as in 9.2.4), and Trace Recording Session Reference defined in TS 32.422 [6] (last 2 octets) | _           | _           |
| Interfaces To Trace                   | M        |       | BIT STRING (8)  | Each position in the bitmap represents a eNB interface: first bit =S1-MME, second bit =X2, third bit =Uu. Other bits reserved for future use. Value "1" indicates "should be traced". Value "0" indicates "should not be traced".           | -           | _           |
| Trace Depth                           | М        |       | ENUMERATED( minimum, medium, maximum, MinimumWithoutVend orSpecificExtension, MediumWithoutVend orSpecificExtension, MaximumWithoutVen dorSpecificExtension,) | Defined in TS 32.421 [7]  | -           | -           |
| Trace Collection Entity IP<br>Address | М        |       | BIT STRING<br>(1160,)   | For details on the<br>Transport Layer<br>Address, see TS<br>36.424 [8], TS 36.414<br>[19]   | -           | _           |
| MDT Configuration                     | 0        |       | 9.2.56  |   | YES         | ignore      |

### 9.2.3 Handover Restriction List

This IE defines roaming or access restrictions for subsequent mobility action for which the eNB provides information about the target of the mobility action towards the UE, e.g., handover and CCO, or for SCG selection during dual connectivity operation.

| IE/Group Name        | Presence | Range   | IE type and reference  | Semantics description   | Criticality | Assigned Criticality |
|----------------------|----------|---|--|---|-------------|----------------------|
| Serving PLMN         | M        |   | PLMN Identity<br>9.2.4   |   | _           | -                    |
| Equivalent PLMNs     |          | 0 <maxnoof<br>EPLMNs&gt;</maxnoof<br>             |  | Allowed PLMNs in addition to Serving PLMN. This list corresponds to the list of 'equivalent PLMNs list' as defined in TS 24.301 [14]. This list is part of the roaming restriction information. Roaming restrictions apply to PLMNs other than the serving PLMN and Equivalent PLMNs. | _           | _                    |
| >PLMN Identity       | М        |   | 9.2.4  |   | _           | _                    |
| Forbidden TAs        |          | 0 <maxnoof<br>EPLMNsPlu<br/>sOne&gt;</maxnoof<br> |  | intra E-UTRAN roaming restrictions  | -           | _                    |
| >PLMN Identity       | М        |   | 9.2.4  | The PLMN of forbidden TACs  | _           | _                    |
| >Forbidden TACs      |          | 1 <maxnoof<br>ForbTACs&gt;</maxnoof<br>           |  |   | _           | _                    |
| >>TAC                | M        |   | OCTET STRING(2)  | The forbidden TAC   | _           | _                    |
| Forbidden LAs        |          | 0 <maxnoof<br>EPLMNsPlu<br/>sOne&gt;</maxnoof<br> |  | inter-3GPP RAT roaming restrictions   | -           | -                    |
| >PLMN Identity       | М        |   | 9.2.4  |   | _           | _                    |
| >Forbidden LACs      |          | 1 <maxnoof<br>ForbLACs&gt;</maxnoof<br>           |  |   | _           | _                    |
| >>LAC                | M        |   | OCTET STRING(2)  |   | _           | _                    |
| Forbidden inter RATs | 0        |   | ENUMERATED(ALL, GERAN, UTRAN, CDMA2000,,GERAN and UTRAN, CDMA2000 and UTRAN) | inter-3GPP and<br>3GPP2 RAT<br>access restrictions  | _           | _                    |

| Range bound          | Explanation  |
|----------------------|--|
| maxnoofEPLMNs        | Maximum no. of equivalent PLMN lds. Value is 15.             |
| maxnoofEPLMNsPlusOne | Maximum no. of equivalent PLMN lds plus one. Value is 16.    |
| maxnoofForbTACs      | Maximum no. of forbidden Tracking Area Codes. Value is 4096. |
| maxnoofForbLACs      | Maximum no. of forbidden Location Area Codes. Value is 4096. |

# 9.2.4 PLMN Identity

This information element indicates the PLMN Identity.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description  |
|---------------|----------|-------|-----------------------|--|
| PLMN Identity | M        |       | OCTET STRING (3)      | - digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n- 1 - bits 8 to 5 of octet n encoding digit 2n -The PLMN identity consists of 3 digits from MCC followed by either -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC). |

# 9.2.5 DL Forwarding

This element indicates that the E-RAB is proposed for forwarding of downlink packets.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---------------|----------|-------|-----------------------|-----------------------|
| DL Forwarding | M        |       | ENUMERATED            |                       |
|               |          |       | (DL forwarding        |                       |
|               |          |       | proposed,)            |                       |

### 9.2.6 Cause

The purpose of the cause information element is to indicate the reason for a particular event for the whole protocol.

| IE/Group Name                  | Presence | Range | IE Type and Reference   | Semantics<br>Description |
|--------------------------------|----------|-------|---|--------------------------|
| CHOICE Cause Group             | М        |       |   | 2000                     |
| >Radio Network Layer           |          |       |   |                          |
| >>Radio Network Layer<br>Cause | M        |       | ENUMERATED (Handover Desirable for Radio Reasons, Time Critical Handover, Resource Optimisation Handover, Reduce Load in Serving Cell, Partial Handover, Unknown New eNB UE X2AP ID, Unknown Old eNB UE X2AP ID, Unknown Pair of UE X2AP ID, HO Target not Allowed, TX2 <sub>RELOCOVETAL</sub> Expiry, TRELOCPTE Expiry, Cell not Available, No Radio Resources Available in Target Cell, Invalid MME Group ID, Unknown MME Code, Encryption And/Or Integrity Protection Algorithms Not Supported, ReportCharacteristicsEmpty, NoReportPeriodicity, ExistingMeasurementID, Unknown eNB Measurement ID, Measurement Temporarily not Available, Unspecified,,Load Balancing, Handover Optimisation, Value out of allowed range, Multiple E-RAB ID instances, Switch Off Ongoing, Not supported QCI value, Measurement not supported for the object,T <sub>DCoverall</sub> Expiry, T <sub>DCprep</sub> Expiry, Action Desirable for Radio Reasons, Reduce Load, Resource Optimisation, Time Critical action, Target not Allowed, No Radio Resources Available, Invalid QoS combination, Encryption Algorithms Not Supported, Procedure cancelled, RRM purpose, Improve user bit rate, User Inactivity, Radio Connection With UE Lost, Failure in the Radio Interface Procedure, Bearer Option not Supported) |                          |
| >Transport Layer               | NA       |       | ENLIMEDATED   |                          |
| >>Transport Layer Cause        | M        |       | ENUMERATED (Transport Resource Unavailable, Unspecified,)   |                          |
| >Protocol                      | N/       |       | FALLIMEDATED  |                          |
| >>Protocol Cause               | M        |       | ENUMERATED (Transfer Syntax Error, Abstract Syntax Error (Reject), Abstract Syntax Error (Ignore and Notify), Message not Compatible with Receiver State, Semantic Error, Unspecified, Abstract Syntax Error (Falsely Constructed   |                          |

|                       |   | Message),)  |
|-----------------------|---|---|
| >Misc                 |   |   |
| >>Miscellaneous Cause | M | ENUMERATED (Control Processing Overload, Hardware Failure,O&M Intervention,Not enough User Plane Processing Resources,Unspecified,) |

The meaning of the different cause values is described in the following table. In general, "not supported" cause values indicate that the concerned capability is missing. On the other hand, "not available" cause values indicate that the concerned capability is present, but insufficient resources were available to perform the requested action.

| Radio Network Layer cause  | Meaning  |
|--|--|
| Cell not Available   | The concerned cell is not available.   |
| Handover Desirable for Radio<br>Reasons  | The reason for requesting handover is radio related.   |
| Handover Target not Allowed  | Handover to the indicated target cell is not allowed for the UE in question  |
| Invalid MME Group ID   | The target eNB doesn"t belong to the same pool area of the source eNB i.e. S1 handovers should be attempted instead.   |
| No Radio Resources Available in<br>Target Cell   | The target cell doesn"t have sufficient radio resources available.   |
| Partial Handover   | Provides a reason for the handover cancellation. The target eNB did not  |
|  | admit all E-RABs included in the HANDOVER REQUEST and the source eNB estimated service continuity for the UE would be better by not  |
| Poduce Load in Serving Cell  | proceeding with handover towards this particular target eNB.   |
| Reduce Load in Serving Cell  | Load in serving cell needs to be reduced. When applied to handover preparation, it indicates the handover is triggered due to load balancing.  |
| Resource Optimisation Handover   | The reason for requesting handover is to improve the load distribution with the neighbour cells.   |
| Time Critical Handover   | Handover is requested for time critical reason i.e. this cause value is reserved to represent all critical cases where the connection is likely to be dropped if handover is not performed.  |
| TX2 <sub>RELOCoverall</sub> Expiry   | The reason for the action is expiry of timer TX2 <sub>RELOCoverall</sub> .   |
| T <sub>RELOCprep</sub> Expiry  | Handover Preparation procedure is cancelled when timer T <sub>RELOCprep</sub> expires.   |
| Unknown MME Code   | The target eNB belongs to the same pool area of the source eNB and recognizes the MME Group ID. However, the MME Code is unknown to the target eNB.  |
| Unknown New eNB UE X2AP ID   | The action failed because the New eNB UE X2AP ID is unknown.   |
| Unknown Old eNB UE X2AP ID   | The action failed because the Old eNB UE X2AP ID is unknown.   |
| Unknown Pair of UE X2AP ID Encryption And/Or Integrity Protection Algorithms Not Supported | The action failed because the pair of UE X2 AP IDs is unknown.  The target eNB is unable to support any of the encryption and/or integrity protection algorithms supported by the UE.  |
| ReportCharacteristicsEmpty   | The action failed because there is no characteristic reported.   |
| NoReportPeriodicity  | The action failed because the periodicity is not defined.  |
| ExistingMeasurementID  | The action failed because measurement-ID is already used.  |
| Unknown eNB Measurement ID  Measurement Temporarily not Available                          | The action failed because some eNB Measurement-ID is unknown.  The eNB can temporarily not provide the requested measurement object.   |
| Load Balancing   | The reason for mobility settings change is load balancing.   |
| Handover Optimisation  | The reason for mobility settings change is handover optimisation.  |
| Value out of allowed range   | The action failed because the proposed Handover Trigger parameter change in the eNB <sub>2</sub> Proposed Mobility Parameters IE is too low or too high.   |
| Multiple E-RAB ID Instances  | The action failed because multiple instances of the same E-RAB had been provided to the eNB.   |
| Switch Off Ongoing   | The reason for the action is an ongoing switch off i.e. the concerned cell will be switched off after offloading and not be available. It aides the receiving eNB in taking subsequent actions, e.g. selecting the target cell for subsequent handovers. |
| Not supported QCI value Unspecified  | The action failed because the requested QCI is not supported.  Sent when none of the above cause values applies but still the cause is Radio Network Layer related.  |
| Measurement not Supported For  | At least one of the concerned cell(s) does not support the requested   |
| The Object T <sub>DCoverall</sub> Expiry   | measurement.  The reason for the action is expiry of timer T <sub>DCoveral</sub> l.  |
| T <sub>DCoverall</sub> Expiry T <sub>DCprep</sub> Expiry                                   | The reason for the action is expiry of timer T <sub>DCoverall</sub> .  The reason for the action is expiry of timer T <sub>DCoverall</sub> .   |
| Action Desirable for Radio Reasons   | The reason for requesting the action is radio related. In the current version of this specification applicable for Dual Connectivity only.   |
| Reduce Load  | Load in the cell(group) served by the requesting node needs to be reduced.  In the current version of this specification applicable for Dual Connectivity only.  |
| Resource Optimisation  | The reason for requesting this action is to improve the load distribution with the neighbour cells.  In the current version of this specification applicable for Dual Connectivity only.   |

| Time Critical action                        | The action is requested for time critical reason i.e. this cause value is reserved to represent all critical cases where radio resources are likely to be dropped if the requested action is not performed.  In the current version of this specification applicable for Dual Connectivity only. |
|---|--|
| Target not Allowed                          | Requested action towards the indicated target cell is not allowed for the UE in question. In the current version of this specification applicable for Dual Connectivity only.  |
| No Radio Resources Available                | The cell(s) in the requested node don"t have sufficient radio resources available.  In the current version of this specification applicable for Dual Connectivity only.  |
| Invalid QoS combination                     | The action was failed because of invalid QoS combination. In the current version of this specification applicable for Dual Connectivity only.  |
| Encryption Algorithms Not<br>Supported      | The requested eNB is unable to support any of the encryption algorithms supported by the UE.  In the current version of this specification applicable for Dual Connectivity only.  |
| Procedure cancelled                         | The sending node cancelled the procedure due to other urgent actions to be performed.  In the current version of this specification applicable for Dual Connectivity only.   |
| RRM purpose                                 | The procedure is initiated due to node internal RRM purposes.  In the current version of this specification applicable for Dual Connectivity only.   |
| Improve User Bit Rate                       | The reason for requesting this action is to improve the user bit rate.  In the current version of this specification applicable for Dual Connectivity only.  |
| User Inactivity                             | The action is requested due to user inactivity on all E-RABs, e.g., S1 is requested to be released in order to optimise the radio resources; or SeNB didn"t see activity on the DRB recently.  In the current version of this specification applicable for Dual Connectivity only.               |
| Radio Connection With UE Lost               | The action is requested due to losing the radio connection to the UE. In the current version of this specification applicable for Dual Connectivity only.  |
| Failure in the Radio Interface<br>Procedure | Radio interface procedure has failed. In the current version of this specification applicable for Dual Connectivity only.  |
| Bearer Option not Supported                 | The requested bearer option is not supported by the sending node. In the current version of this specification applicable for Dual Connectivity only.  |

| Transport Network Layer cause  | Meaning   |
|--------------------------------|---|
| Transport resource unavailable | The required transport resources are not available.                     |
| Unspecified                    | Sent when none of the above cause values applies but still the cause is |
|                                | Transport Network Layer related   |

| Protocol cause                                      | Meaning  |
|---|--|
| Abstract Syntax Error (Reject)                      | The received message included an abstract syntax error and the concerned criticality indicated "reject" (see sub clause 10.3 of TS 36.413 [4]).            |
| Abstract Syntax Error (Ignore and Notify)           | The received message included an abstract syntax error and the concerned criticality indicated "ignore and notify" (see sub clause 10.3 of TS 36.413 [4]). |
| Abstract syntax error (falsely constructed message) | The received message contained IEs or IE groups in wrong order or with too many occurrences (see sub clause 10.3 of TS 36.413 [4]).                        |
| Message not Compatible with Receiver State          | The received message was not compatible with the receiver state (see sub clause 10.4 of TS 36.413 [4]).  |
| Semantic Error                                      | The received message included a semantic error (see sub clause 10.4 of TS 36.413 [4]).   |
| Transfer Syntax Error                               | The received message included a transfer syntax error (see sub clause 10.2 of TS 36.413 [4]).  |
| Unspecified   | Sent when none of the above cause values applies but still the cause is<br>Protocol related  |

| Miscellaneous cause                        | Meaning   |
|--|---|
| Control Processing Overload                | eNB control processing overload   |
| Hardware Failure                           | eNB hardware failure  |
| Not enough User Plane Processing Resources | eNB has insufficient user plane processing resources available.   |
| O&M Intervention                           | Operation and Maintenance intervention related to eNB equipment   |
| Unspecified                                | Sent when none of the above cause values applies and the cause is not related to any of the categories Radio Network Layer, Transport Network Layer or Protocol |

# 9.2.7 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the eNB when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs were not comprehended or were missing.

| IE/Group Name                               | Presence | Range                                      | IE type and reference  | Semantics description   |
|---|----------|--|--|---|
| Procedure Code                              | 0        |  | INTEGER (0255)   | Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error. |
| Triggering Message                          | 0        |  | ENUMERATED(initiatin<br>g message, successful<br>outcome, unsuccessful<br>outcome) | The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.   |
| Procedure Criticality                       | 0        |  | ENUMERATED(reject, ignore, notify)   | This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).   |
| Information Element Criticality Diagnostics |          | 0 <maxnroferror<br>s&gt;</maxnroferror<br> |  |   |
| >IE Criticality                             | M        |  | ENUMERATED(reject, ignore, notify)   | The IE Criticality is used for reporting the criticality of the triggering IE. The value "ignore" shall not be used.  |
| >IE ID                                      | M        |  | INTEGER (065535)   | The IE ID of the not understood or missing IE   |
| >Type Of Error                              | M        |  | ENUMERATED(not understood, missing,)   |   |

| Range bound   | Explanation   |
|---------------|---|
| maxNrOfErrors | Maximum no. of IE errors allowed to be reported with a single |
|               | message. The value for maxnooferrors is 256.                  |

## 9.2.8 Served Cell Information

This IE contains cell configuration information of a cell that a neighbour eNB may need for the X2 AP interface.

| IE/Group Name                   | Presence | Range                                 | IE type and reference                                    | Semantics description  | Criticality | Assigned<br>Criticality |
|---------------------------------|----------|---------------------------------------|--|--|-------------|-------------------------|
| PCI                             | М        |                                       | INTEGER<br>(0503,)                                       | Physical Cell ID   | _           | -                       |
| Cell ID                         | М        |                                       | ECGI<br>9.2.14   |  | _           | -                       |
| TAC                             | М        |                                       | OCTET<br>STRING(2)                                       | Tracking Area<br>Code  | _           | -                       |
| Broadcast PLMNs                 |          | 1 <maxnoof<br>BPLMNs&gt;</maxnoof<br> |  | Broadcast<br>PLMNs   | _           | -                       |
| >PLMN Identity                  | М        |                                       | 9.2.4  |  | _           | -                       |
| CHOICE EUTRA-Mode-<br>Info      | M        |                                       |  |  | _           | ı                       |
| >FDD                            |          |                                       |  |  |             |                         |
| >>FDD Info                      |          | 1                                     |  |  | _           | ı                       |
| >>>UL EARFCN                    | M        |                                       | EARFCN<br>9.2.26   | Corresponds to N <sub>UL</sub> in TS 36.104 [16] for E-UTRA operating bands for which it is defined; ignored for E-UTRA operating bands for which N <sub>UL</sub> is not defined | -           | F                       |
| >>>DL EARFCN                    | М        |                                       | EARFCN<br>9.2.26   | Corresponds to N <sub>DL</sub> in TS 36.104 [16]   | _           | -                       |
| >>>UL Transmission<br>Bandwidth | M        |                                       | Transmission<br>Bandwidth<br>9.2.27                      | Same as DL<br>Transmission<br>Bandwidth in<br>this release;<br>ignored in case<br>UL EARFCN<br>value is ignored  | -           | -                       |
| >>>DL Transmission<br>Bandwidth | М        |                                       | Transmission<br>Bandwidth<br>9.2.27                      |  | -           | -                       |
| >>>UL EARFCN<br>Extension       | 0        |                                       | EARFCN<br>Extension<br>9.2.65                            | If this IE is present, the value signalled in the <i>UL EARFCN</i> IE is ignored.  | YES         | reject                  |
| >>>DL EARFCN<br>Extension       | 0        |                                       | EARFCN<br>Extension<br>9.2.65                            | If this IE is present, the value signalled in the DL EARFCN IE is ignored.   | YES         | reject                  |
| >TDD                            |          |                                       |  |  | _           | _                       |
| >>TDD Info                      | +        | 1                                     | 0.000  |  | _           | _                       |
| >>>EARFCN                       | М        |                                       | 9.2.26   | Corresponds to N <sub>DL</sub> /N <sub>UL</sub> in TS 36.104 [16]  | _           | _                       |
| >>>Transmission<br>Bandwidth    | М        |                                       | Transmission<br>Bandwidth<br>9.2.27                      |  | -           | _                       |
| >>>Subframe<br>Assignment       | M        |                                       | ENUMERATED<br>(sa0, sa1, sa2,<br>sa3, sa4, sa5,<br>sa6,) | Uplink-downlink<br>subframe<br>configuration<br>information<br>defined in TS<br>36.211 [10]  | -           | -                       |
| >>>Special<br>Subframe Info     |          | 1                                     |  | Special subframe   | _           | _                       |

|                              | _   |  |                  |   |        |         |
|------------------------------|-----|--|------------------|---|--------|---------|
|                              |     |  |                  | configuration information defined in TS |        |         |
| Chaoial                      | NA. |  | ENUMERATED       | 36.211 [10]                             |        |         |
| >>>Special Subframe Patterns | M   |  | (ssp0, ssp1,     |   | _      | _       |
| Submanie i alterns           |     |  | ssp0, ssp1,      |   |        |         |
|                              |     |  | ssp4, ssp5,      |   |        |         |
|                              |     |  | ssp6, ssp7,      |   |        |         |
|                              |     |  | ssp8,)           |   |        |         |
| >>>Cyclic Prefix             | M   |  | ENUMERATED       |   | _      | _       |
| DL                           |     |  | (Normal,         |   |        |         |
|                              |     |  | Extended,)       |   |        |         |
| >>>Cyclic Prefix             | M   |  | ENUMERATED       |   | _      | _       |
| UL                           |     |  | (Normal,         |   |        |         |
|                              |     |  | Extended,)       |   |        |         |
| >>>Additional                | 0   |  | ,                | Special                                 | YES    | ignore  |
| Special Subframe             |     |  |                  | subframe                                |        | 19.1010 |
| Info                         |     |  |                  | configuration                           |        |         |
|                              |     |  |                  | information                             |        |         |
|                              |     |  |                  | defined in TS                           |        |         |
|                              |     |  |                  | 36.211 [10].                            |        |         |
|                              |     |  |                  | Only for newly                          |        |         |
|                              |     |  |                  | defined                                 |        |         |
|                              |     |  |                  | configuration of                        |        |         |
|                              |     |  |                  | special                                 |        |         |
|                              |     |  |                  | subframe from                           |        |         |
|                              |     |  |                  | Release 11.                             |        |         |
| >>>>Additional               | M   |  | ENUMERATED       |   | _      | _       |
| Special Subframe             | 1   |  | (ssp0, ssp1,     |   |        |         |
| Patterns                     |     |  | ssp2, ssp3,      |   |        |         |
| T dittorrie                  |     |  | ssp4, ssp5,      |   |        |         |
|                              |     |  | ssp6, ssp7,      |   |        |         |
|                              |     |  | ssp8, ssp9,)     |   |        |         |
| >>>Cyclic Prefix             | М   |  | ENUMERATED       |   | _      | _       |
| DL                           |     |  | (Normal,         |   |        |         |
|                              |     |  | Extended,)       |   |        |         |
| >>>Cyclic Prefix             | М   |  | ENUMERATED       |   | _      | _       |
| UL                           |     |  | (Normal,         |   |        |         |
|                              |     |  | Extended,)       |   |        |         |
| >>>EARFCN                    | 0   |  | 9.2.65           | If this IE is                           | YES    | reject  |
| Extension                    |     |  |                  | present, the                            |        | •       |
|                              |     |  |                  | value signalled                         |        |         |
|                              |     |  |                  | in the EARFCN                           |        |         |
|                              |     |  |                  | IE is ignored.                          |        |         |
| Number of Antenna Ports      | 0   |  | 9.2.43           |   | YES    | ignore  |
| PRACH Configuration          | 0   |  | PRACH            |   | YES    | ignore  |
| -                            |     |  | Configuration    |   |        |         |
|                              |     |  | 9.2.50           |   |        |         |
| MBSFN Subframe Info          |     | 0 <maxnoof< td=""><td></td><td>MBSFN</td><td>GLOBAL</td><td>ignore</td></maxnoof<>     |                  | MBSFN                                   | GLOBAL | ignore  |
|                              |     | MBSFN>   |                  | subframe                                |        |         |
|                              |     |  |                  | defined in TS                           |        |         |
|                              |     |  |                  | 36.331 [9]                              |        |         |
| >Radioframe Allocation       | M   |  | ENUMERATED       |   | - T    | _       |
| Period                       |     |  | (n1, n2, n4, n8, |   |        |         |
|                              |     |  | n16, n32,)       |   |        |         |
| >Radioframe Allocation       | M   |  | INTEGER (07,     |   | - T    | _       |
| Offset                       |     |  | )                |   |        |         |
| >Subframe Allocation         | M   |  | 9.2.51           |   | _      | _       |
| CSG ID                       | 0   |  | 9.2.53           |   | YES    | ignore  |
| MBMS Service Area            |     | 0 <maxnoof< td=""><td></td><td>Supported</td><td>GLOBAL</td><td>ignore</td></maxnoof<> |                  | Supported                               | GLOBAL | ignore  |
| Identity List                |     | MBMSServic   |                  | MBMS Service                            |        |         |
|                              |     | eArealdentiti  |                  | Area Identities                         |        |         |
|                              |     | es >   |                  | in the cell                             |        |         |
| >MBMS Service Area           |     |  | OCTET            | MBMS Service                            |        |         |
| Identity                     |     |  | STRING(2)        | Area Identities                         |        |         |
|                              |     |  |                  | as defined in TS                        |        |         |
|                              |     |  |                  | 23.003 [29]                             |        |         |
|                              |     |  |                  |   |        |         |

| MultibandInfoList | 0 | 9.2.60 | YES | ignore |
|-------------------|---|--------|-----|--------|

| Range bound                      | Explanation  |
|----------------------------------|--|
| maxnoofBPLMNs                    | Maximum no. of Broadcast PLMN Ids. Value is 6.                     |
| maxnoofMBSFN                     | Maximum no. of MBSFN frame allocation with different offset. Value |
|                                  | is 8.  |
| maxnoofMBMSServiceArealdentities | Maximum no. of MBMS Service Area Identities. Value is 256.         |

## 9.2.9 E-RAB Level QoS Parameters

This IE defines the QoS to be applied to an E-RAB.

| IE/Group Name                     | Presence | Range | IE type and reference | Semantics description   | Criticality | Assigned Criticality |
|-----------------------------------|----------|-------|-----------------------|---|-------------|----------------------|
| QCI                               | M        |       | INTEGER<br>(0255)     | QoS Class Identifier defined in TS 23.401 [12]. Logical range and coding specified in TS 23.203 [13]. | -           | -                    |
| Allocation and Retention Priority | M        |       | 9.2.31                |   | _           | _                    |
| GBR QoS Information               | 0        |       | 9.2.10                | This IE applies to GBR bearers only and shall be ignored otherwise.                                   | ı           | -                    |

# 9.2.10 GBR QoS Information

This IE indicates the maximum and guaranteed bit rates of a GBR E-RAB for downlink and uplink.

| IE/Group Name                         | Presence | Range | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|---------------------------------------|----------|-------|-----------------------|--|-------------|----------------------|
| E-RAB Maximum Bit Rate<br>Downlink    | M        |       | Bit Rate<br>9.2.11    | Maximum Bit Rate in DL (i.e. from EPC to E-UTRAN) for the bearer. Details in TS 23.401 [12].   | -           | -                    |
| E-RAB Maximum Bit Rate<br>Uplink      | M        |       | Bit Rate 9.2.11       | Maximum Bit Rate in UL (i.e. from E-UTRAN to EPC) for the bearer. Details in TS 23.401 [12].   | -           | ı                    |
| E-RAB Guaranteed Bit<br>Rate Downlink | M        |       | Bit Rate 9.2.11       | Guaranteed Bit Rate (provided that there is data to deliver) in DL (i.e. from EPC to E-UTRAN) for the bearer. Details in TS 23.401 [12].                   | -           | -                    |
| E-RAB Guaranteed Bit<br>Rate Uplink   | М        |       | Bit Rate 9.2.11       | Guaranteed Bit Rate<br>(provided that there is<br>data to deliver) in UL<br>(i.e. from E-UTRAN to<br>EPC) for the bearer.<br>Details in TS 23.401<br>[12]. | -           | -                    |

#### 9.2.11 Bit Rate

This IE indicates the number of bits delivered by E-UTRAN in UL or to E-UTRAN in DL within a period of time, divided by the duration of the period. It is used, for example, to indicate the maximum or guaranteed bit rate for a GBR E-RAB, or an aggregated maximum bit rate.

| IE/Group Name | Presence | Range | IE type and reference        | Semantics description |
|---------------|----------|-------|------------------------------|-----------------------|
| Bit Rate      | M        |       | INTEGER<br>(010,000,000,000) | The unit is: bit/s    |

### 9.2.12 UE Aggregate Maximum Bit Rate

On Handover Aggregate Maximum Bitrate is transferred to the target eNB. In Dual Connectivity, UE Aggregate Maximum Bit Rate is split into MeNB UE Aggregate Maximum Bit Rate and SeNB UE Aggregate Maximum Bit Rate which are enforced by MeNB and SeNB respectively as specified in TS 36.300 [15]. The UE Aggregate Maximum Bitrate is applicable for all Non-GBR bearers per UE which is defined for the Downlink and the Uplink direction and provided by the MME to the eNB.

| IE/Group Name                          | Presence | Range | IE type and reference | Semantics<br>description | Criticality | Assigned<br>Criticality |
|--|----------|-------|-----------------------|--------------------------|-------------|-------------------------|
| UE Aggregate Maximum Bit Rate Downlink | М        |       | Bit Rate<br>9.2.11    |                          | _           | _                       |
| UE Aggregate Maximum Bit Rate Uplink   | М        |       | Bit Rate<br>9.2.11    |                          | 1           | 1                       |

### 9.2.13 Message Type

The Message Type IE uniquely identifies the message being sent. It is mandatory for all messages.

| IE/Group Name   | Presence | Range | IE type and reference  | Semantics description  |
|-----------------|----------|-------|--|--|
| Procedure Code  | M        |       | INTEGER (0255)   | "0" = Handover Preparation "1" = Handover Cancel "2" = Load Indication "3" = Error Indication "4" = SN Status Transfer "5" = UE Context Release "6" = X2 Setup "7" = Reset "8" = eNB Configuration Update "9" = Resource Status Reporting Initiation "10" = Resource Status Reporting '11' = Private Message "12" = Mobility Settings Change '13' = Radio Link Failure Indication '14' = Handover Report '15' = Cell Activation '16' = X2 Release '17" = X2AP Message Transfer |
| Type of Message | M        |       | CHOICE (Initiating Message, Successful Outcome, Unsuccessful Outcome,) |  |

#### 9.2.14 ECGI

The E-UTRAN Cell Global Identifier (ECGI) is used to globally identify a cell (see TS 36.401 [2]).

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description   | Criticality | Assigned Criticality |
|-------------------------|----------|-------|-----------------------|---|-------------|----------------------|
| PLMN Identity           | M        |       | 9.2.4                 |   | _           | 1                    |
| E-UTRAN Cell Identifier | М        |       | BIT STRING<br>(28)    | The leftmost bits of the <i>E-UTRAN Cell Identifier</i> IE value correspond to the value of the <i>eNB ID</i> IE contained in the <i>Global eNB ID</i> IE (defined in section 9.2.22) identifying the eNB that controls the cell. | +           | -                    |

#### 9.2.15 COUNT Value

This information element indicates the 12 bit PDCP sequence number and the corresponding 20 bit Hyper frame number.

| IE/Group Name | Presence | Range | IE type and | Semantics   | Criticality | Assigned    |
|---------------|----------|-------|-------------|-------------|-------------|-------------|
|               |          |       | reference   | description |             | Criticality |
| PDCP-SN       | M        |       | INTEGER     |             | _           | _           |
|               |          |       | (04095)     |             |             |             |
| HFN           | M        |       | INTEGER     |             | _           | _           |
|               |          |       | (01048575)  |             |             |             |

#### 9.2.16 GUMMEI

This information element indicates the globally unique MME identity.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| GU Group Id   | M        |       | 9.2.20                |                       | _           | _                    |
| MME code      | M        |       | OCTET                 |                       | _           | _                    |
|               |          |       | STRING (1)            |                       |             |                      |

#### 9.2.17 UL Interference Overload Indication

This IE provides, per PRB, a report on interference overload. The interaction between the indication of UL Interference Overload and UL High Interference is implementation specific.

| IE/Group Name                            | Presence | Range   | IE type and reference  | Semantics description   |
|--|----------|---|--|---|
| UL Interference Overload Indication List |          | 1 <maxnoofprbs< td=""><td></td><td></td></maxnoofprbs<> |  |   |
| >UL Interference Overload<br>Indication  | M        |   | ENUMERATED<br>(high<br>interference,<br>medium<br>interference, low<br>interference) | Each PRB is identified by its position in the list: the first element in the list corresponds to PRB 0, the second to PRB 1, etc. |

| Range bound | Explanation   |  |  |
|-------------|---|--|--|
| maxnoofPRBs | Maximum no. Physical Resource Blocks. Value is 110. |  |  |

## 9.2.18 UL High Interference Indication

This IE provides, per PRB, a 2 level report on interference sensitivity. The interaction between the indication of UL Overload and UL High Interference is implementation specific.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description  |
|---------------|----------|-------|-----------------------|--|
| HII           | M        |       | BIT STRING<br>(1110,) | Each position in the bitmap represents a PRB (first bit=PRB 0 and so on), for which value ""1" indicates "high interference sensitivity" and value "0" indicates "low interference sensitivity".  The maximum number of Physical Resource Blocks is 110. |

## 9.2.19 Relative Narrowband Tx Power (RNTP)

This IE provides an indication on DL power restriction per PRB in a cell and other information needed by a neighbour eNB for interference aware scheduling.

| IE/Group Name                            | Presence | Range | IE type and  | Semantics  | Criticality | Assigned    |
|--|----------|-------|--|--|-------------|-------------|
|  |          |       | reference  | description  |             | Criticality |
| RNTP Per PRB                             | M        |       | BIT STRING<br>(6110,)  | Each position in the bitmap represents a n <sub>PRB</sub> value (i.e. first bit=PRB 0 and so on), for which the bit value represents <i>RNTP</i> (n <sub>PRB</sub> ), defined in TS 36.213 [11]. Value 0 indicates "Tx not exceeding RNTP threshold". Value 1 indicates "no promise on the Tx power is given". | _           | _           |
| RNTP Threshold                           | М        |       | ENUMERATE<br>D (-∞, -11, -10,<br>-9, -8, -7, -6, -<br>5, -4, -3, -2, -1,<br>0, 1, 2, 3,) | RNTP <sub>threshold</sub> is defined in TS 36.213 [11].  | -           | -           |
| Number Of Cell-specific<br>Antenna Ports | М        |       | ENUMERATE<br>D (1, 2, 4,)  | P (number of antenna<br>ports for cell-specific<br>reference signals)<br>defined in TS 36.211<br>[10]  | -           | -           |
| P_B                                      | M        |       | INTEGER<br>(03,)   | P <sub>B</sub> is defined in TS 36.213 [11].   | _           | _           |
| PDCCH Interference Impact                | M        |       | INTEGER<br>(04,)   | Measured by Predicted Number Of Occupied PDCCH OFDM Symbols (see TS 36.211 [10]).  Value 0 means "no prediction is available".   | _           | _           |

### 9.2.20 GU Group Id

The GU Group Id IE is the globally unique group id corresponding to a pool area.

| IE/Group Name | Presence | Range | IE type and reference  | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|------------------------|-----------------------|-------------|----------------------|
| PLMN Id       | М        |       | PLMN Identity<br>9.2.4 |                       | _           | _                    |
| MME Group Id  | M        |       | OCTET<br>STRING(2)     |                       | _           | _                    |

### 9.2.21 Location Reporting Information

This information element indicates how the location information should be reported.

| IE/Group Name | Presence | Range | IE type and reference                      | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|--|-----------------------|-------------|----------------------|
| Event         | M        |       | ENUMERATED<br>(Change of serving<br>cell,) |                       | -           | 1                    |
| Report Area   | М        |       | ENUMERATED<br>(ECGI,)                      |                       | _           | _                    |

#### 9.2.22 Global eNB ID

This IE is used to globally identify an eNB (see TS 36.401 [2]).

| IE/Group Name | Presence | Range | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|--|-------------|----------------------|
| PLMN Identity | M        |       | 9.2.4                 |  | _           | _                    |
| CHOICE eNB ID | M        |       |                       |  | _           | _                    |
| >Macro eNB ID | М        |       | BIT STRING<br>(20)    | Equal to the 20 leftmost bits of the value of the <i>E-UTRAN Cell Identifier</i> IE contained in the <i>ECGI</i> IE (see section 9.2.14) identifying each cell controlled by the eNB | _           | _                    |
| >Home eNB ID  | М        |       | BIT STRING<br>(28)    | Equal to the value of the <i>E-UTRAN Cell Identifier</i> IE contained in the <i>ECGI</i> IE (see section 9.2.14) identifying the cell controlled by the eNB                          | _           | -                    |

#### 9.2.23 E-RAB ID

This IE uniquely identifies an E-RAB for a UE.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---------------|----------|-------|-----------------------|-----------------------|
| E-RAB ID      | М        |       | INTEGER<br>(015,)     |                       |

#### 9.2.24 eNB UE X2AP ID

This information element uniquely identifies an UE over the X2 interface within an eNB.

The Old eNB UE X2AP ID is allocated by the source eNB and the New eNB UE X2AP ID is allocated by the target eNB, as defined in TS 36.401 [2].

| IE/Group Name  | Presence | Range | IE type and reference | Semantics description |
|----------------|----------|-------|-----------------------|-----------------------|
| eNB UE X2AP ID | M        |       | INTEGER<br>(04095)    |                       |

## 9.2.25 Subscriber Profile ID for RAT/Frequency priority

The *Subscriber Profile ID* IE for RAT/Frequency Selection Priority is used to define camp priorities in Idle mode and to control inter-RAT/inter-frequency handover in Active mode (TS 36.300 [15]).

| IE/Group Name             | Presence | Range | IE type and reference | Semantics description |
|---------------------------|----------|-------|-----------------------|-----------------------|
| Subscriber Profile ID for | M        |       | INTEGER               |                       |
| RAT/Frequency Priority    |          |       | (1256)                |                       |

#### 9.2.26 EARFCN

The E-UTRA Absolute Radio Frequency Channel Number defines the carrier frequency used in a cell for a given direction (UL or DL) in FDD or for both UL and DL directions in TDD.

| Presence | Range    | IE Type and             | Semantics Description   |
|----------|----------|-------------------------|---|
|          |          | Reference               |   |
| 1        |          | INTEGER<br>(0maxEARFCN) | The relation between EARFCN and carrier frequency (in MHz) are defined in TS 36.104 [16]. |
|          | Presence | Presence Range          | Reference INTEGER   |

| Range bound | Explanation                               |
|-------------|---|
| maxEARFCN   | Maximum value of EARFCNs. Value is 65535. |

#### 9.2.27 Transmission Bandwidth

The *Transmission Bandwidth* IE is used to indicate the UL or DL transmission bandwidth expressed in units of resource blocks " $N_{RB}$ " (TS 36.104 [16]). The values bw6, bw15, bw25, bw50, bw75, bw100 correspond to the number of resource blocks ' $N_{RB}$ ' 6, 15, 25, 50, 75, 100.

| IE/Group Name          | Presence | Range | IE Type and<br>Reference | Semantics Description |
|------------------------|----------|-------|--------------------------|-----------------------|
| Transmission Bandwidth | M        |       | ENUMERATED (bw6,         |                       |
|                        |          |       | bw15, bw25, bw50,        |                       |
|                        |          |       | bw75. bw100)             |                       |

#### 9.2.28 E-RAB List

The IE contains a list of E-RAB identities with a cause value. It is used for example to indicate not admitted bearers.

| IE/Group Name   | Presence | Range                                 | IE type and reference | Semantics description | Criticality | Assigned<br>Criticality |
|-----------------|----------|---------------------------------------|-----------------------|-----------------------|-------------|-------------------------|
| E-RAB List Item |          | 1 <maxnoofbeare rs=""></maxnoofbeare> |                       |                       | EACH        | ignore                  |
| >E-RAB ID       | M        |                                       | 9.2.23                |                       | ı           | _                       |
| >Cause          | M        |                                       | 9.2.6                 |                       | 1           | _                       |

| Range bound    | Explanation                          |  |  |
|----------------|--------------------------------------|--|--|
| maxnoofBearers | Maximum no. of E-RABs. Value is 256. |  |  |

## 9.2.29 UE Security Capabilities

The UE Security Capabilities IE defines the supported algorithms for encryption and integrity protection in the UE.

| IE/Group Name                   | Presence | Range | IE Type and<br>Reference | Semantics Description  |
|---------------------------------|----------|-------|--------------------------|--|
| Encryption Algorithms           | М        |       | BIT STRING (16,)         | Each position in the bitmap represents an encryption algorithm: "all bits equal to 0" - UE supports no other algorithm than EEA0 'first bit' - 128-EEA1, 'second bit' - 128-EEA2, 'third bit' - 128-EEA3, other bits reserved for future use. Value "1" indicates support and value '0' indicates no support of the algorithm. Algorithms are defined in TS 33.401 [18].                           |
| Integrity Protection Algorithms | M        |       | BIT STRING (16,)         | Each position in the bitmap represents an integrity protection algorithm: all bits equal to 0" - UE supports no other algorithm than EIA0 (TS 33.401 [18]) 'first bit' - 128-EIA1, 'second bit' - 128-EIA2, 'third bit' - 128-EIA3, other bits reserved for future use. Value "1" indicates support and value '0' indicates no support of the algorithm. Algorithms are defined in TS 33.401 [18]. |

## 9.2.30 AS Security Information

The AS Security Information IE is used to generate the key material to be used for AS security with the UE.

| IE/Group Name           | Presence | Range | IE Type and<br>Reference | Semantics Description  |
|-------------------------|----------|-------|--------------------------|--|
| Key eNodeB Star         | M        |       | BIT STRING (256)         | KeNB* defined in TS 33.401 [18]. If the target cell belongs to multiple frequency bands, the source eNB selects the DL-EARFCN for KeNB* calculation as specified in section 10.3 of TS 36.331 [9]. |
| Next Hop Chaining Count | M        |       | INTEGER (07)             | Next Hop Chaining Count (NCC) defined in TS 33.401 [18]  |

## 9.2.31 Allocation and Retention Priority

This IE specifies the relative importance compared to other E-RABs for allocation and retention of the E-UTRAN Radio Access Bearer.

| IE/Group Name             | Presence | Range | IE type and reference   | Semantics description  |
|---------------------------|----------|-------|---|--|
| Priority Level            | M        |       | INTEGER (015)   | Desc.: This IE should be understood as 'priority of allocation and retention' (see TS 23.401 [12]). Usage: Value 15 means 'no priority'. Values between 1 and 14 are ordered in decreasing order of priority, i.e. 1 is the highest and 14 the lowest. Value 0 shall be treated as a logical error if received.  |
| Pre-emption Capability    | M        |       | ENUMERATED(sh<br>all not trigger pre-<br>emption, may<br>trigger pre-emption) | Descr.: This IE indicates the preemption capability of the request on other E-RABs Usage: The E-RAB shall not pre-empt other E-RABs or, the E-RAB may pre-empt other E-RABs The Pre-emption Capability indicator applies to the allocation of resources for an E-RAB and as such it provides the trigger to the pre-emption procedures/processes of the eNB.   |
| Pre-emption Vulnerability | M        |       | ENUMERATED(not pre-emptable, pre-emptable)                                    | Desc.: This IE indicates the vulnerability of the E-RAB to preemption of other E-RABs. Usage: The E-RAB shall not be pre-empted by other E-RABs or the E-RAB may be pre-empted by other RABs. Pre-emption Vulnerability indicator applies for the entire duration of the E-RAB, unless modified, and as such indicates whether the E-RAB is a target of the pre-emption procedures/processes of the eNB. |

### 9.2.32 Time To Wait

This IE defines the minimum allowed waiting times.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
|---------------|----------|-------|-----------------------|-----------------------|
| Time To Wait  | M        |       | ENUMERATED(1s,        |                       |
|               |          |       | 2s, 5s, 10s, 20s,     |                       |
|               |          |       | 60s,)                 |                       |

## 9.2.33 SRVCC Operation Possible

The IE indicates that both the UE and the MME are SRVCC-capable. E-UTRAN behaviour on reception of this is specified in TS 23.216 [20].

| IE/Group Name            | Presence | Range | IE type and reference  | Semantics description |
|--------------------------|----------|-------|------------------------|-----------------------|
| SRVCC Operation Possible | М        |       | ENUMERATED(Po ssible,) |                       |
|                          |          |       | SSIDIE,)               |                       |

#### 9.2.34 Hardware Load Indicator

The Hardware Load Indicator IE indicates the status of the Hardware Load experienced by the cell.

| IE/Group Name              | Presence | Range | IE type and reference | Semantics description |
|----------------------------|----------|-------|-----------------------|-----------------------|
| DL Hardware Load Indicator | M        |       | Load Indicator        |                       |
|                            |          |       | 9.2.36                |                       |
| UL Hardware Load Indicator | М        |       | Load Indicator        |                       |
|                            |          |       | 9.2.36                |                       |

#### 9.2.35 S1 TNL Load Indicator

The S1 TNL Load Indicator IE indicates the status of the S1 Transport Network Load experienced by the cell.

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description |
|-------------------------|----------|-------|-----------------------|-----------------------|
| DL S1TNL Load Indicator | M        |       | Load Indicator        |                       |
|                         |          |       | 9.2.36                |                       |
| UL S1TNL Load Indicator | M        |       | Load Indicator        |                       |
|                         |          |       | 9.2.36                |                       |

#### 9.2.36 Load Indicator

The Load Indicator IE indicates the status of Load.

| IE/Group Name  | Presence | Range | IE type and reference            | Semantics description |
|----------------|----------|-------|----------------------------------|-----------------------|
| Load Indicator | M        |       | ENUMERATED (LowLoad,             |                       |
|                |          |       | MediumLoad, HighLoad, Overload,) |                       |

#### 9.2.37 Radio Resource Status

The *Radio Resource Status* IE indicates the usage of the PRBs for all traffic in Downlink and Uplink (TS 36.314 [22], TS 23.203 [13]).

| IE/Group Name        | Presence | Range | IE type and reference | Semantics description |
|----------------------|----------|-------|-----------------------|-----------------------|
| DL GBR PRB usage     | M        |       | INTEGER (0100)        |                       |
| UL GBR PRB usage     | M        |       | INTEGER (0100)        |                       |
| DL non-GBR PRB usage | M        |       | INTEGER (0100)        |                       |
| UL non-GBR PRB usage | M        |       | INTEGER (0100)        |                       |
| DL Total PRB usage   | M        |       | INTEGER (0100)        |                       |
| UL Total PRB usage   | M        |       | INTEGER (0100)        |                       |

## 9.2.38 UE History Information

The *UE History Information* IE contains information about cells that a UE has been served by in active state prior to the target cell. The overall mechanism is described in TS 36.300 [15].

NOTE: The definition of this IE is aligned with the definition of the *UE History Information* IE in TS 36.413 [4].

| IE/Group Name          | Presence | Range  | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|------------------------|----------|--|-----------------------|-----------------------|-------------|----------------------|
|                        |          |  | reference             | description           |             | Criticality          |
| Last Visited Cell List |          | 1 <maxnoofcells< td=""><td></td><td>Most recent</td><td>_</td><td>_</td></maxnoofcells<> |                       | Most recent           | _           | _                    |
|                        |          | >  |                       | information is        |             |                      |
|                        |          |  |                       | added to the top      |             |                      |
|                        |          |  |                       | of this list          |             |                      |
| >Last Visited Cell     | M        |  | 9.2.39                |                       | _           | _                    |
| Information            |          |  |                       |                       |             |                      |

| Range bound  | Explanation   |
|--------------|---|
| maxnoofCells | Maximum number of last visited cell information records that can be |
|              | reported in the IE. Value is 16.                                    |

#### 9.2.39 Last Visited Cell Information

The Last Visited Cell Information may contain E-UTRAN or UTRAN or GERAN cell specific information.

| IE/Group Name                           | Presence | Range | IE type and reference | Semantics description        | Criticality | Assigned Criticality |
|---|----------|-------|-----------------------|------------------------------|-------------|----------------------|
| CHOICE Last Visited Cell Information    | М        |       |                       |                              | -           | -                    |
| >E-UTRAN Cell                           |          |       |                       |                              | -           | -                    |
| >>Last Visited E-UTRAN Cell Information | M        |       | 9.2.40                |                              | -           | -                    |
| >UTRAN Cell                             |          |       |                       |                              | -           | -                    |
| >>Last Visited UTRAN Cell Information   | M        |       | OCTET<br>STRING       | Defined in TS<br>25.413 [24] |             |                      |
| >GERAN Cell                             |          |       |                       |                              | -           | -                    |
| >>Last Visited GERAN Cell Information   | M        |       | 9.2.41                |                              | -           | -                    |

#### 9.2.40 Last Visited E-UTRAN Cell Information

The Last Visited E-UTRAN Cell Information contains information about a cell that is to be used for RRM purposes.

| IE/Group Name                                  | Presence | Range | IE type and      | Semantics   | Criticality | Assigned    |
|--|----------|-------|------------------|---|-------------|-------------|
|  |          |       | reference        | description   |             | Criticality |
| Global Cell ID                                 | M        |       | ECGI             |   | -           | -           |
|  |          |       | 9.2.14           |   |             |             |
| Cell Type                                      | M        |       | 9.2.42           |   | -           | -           |
| Time UE stayed in Cell                         | М        |       | INTEGER (04095)  | The duration of the time the UE stayed in the cell in seconds. If the UE stays in a cell more than 4095s, this IE is set to 4095.       | -           | -           |
| Time UE stayed in Cell<br>Enhanced Granularity | 0        |       | INTEGER (040950) | The duration of the time the UE stayed in the cell in 1/10 seconds. If the UE stays in a cell more than 4095s, this IE is set to 40950. | YES         | ignore      |
| HO Cause Value                                 | 0        |       | Cause<br>9.2.6   | The cause for the handover from the E-UTRAN cell.   | YES         | ignore      |

#### 9.2.41 Last Visited GERAN Cell Information

The Last Visited Cell Information for GERAN is currently undefined.

NOTE: If in later Releases this is defined, the choice type may be extended with the actual GERAN specific information.

| IE/Group Name          | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|------------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| CHOICE Last Visited    | M        |       |                       |                       | -           | -                    |
| GERAN Cell Information |          |       |                       |                       |             |                      |
| >Undefined             | M        |       | NULL                  |                       | -           | -                    |

## 9.2.42 Cell Type

The cell type provides the cell coverage area.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| Cell Size     | M        |       | ENUMERATED            |                       | -           | -                    |
|               |          |       | (verysmall, small,    |                       |             |                      |
|               |          |       | medium, large,)       |                       |             |                      |

#### 9.2.43 Number of Antenna Ports

The Number of Antenna Ports IE is used to indicate the number of cell specific antenna ports.

| IE/Group Name           | Presence | Range | IE Type and<br>Reference | Semantics Description    |
|-------------------------|----------|-------|--------------------------|--------------------------|
| Number of Antenna Ports |          |       | ENUMERATED (an1,         | an1 = One antenna port   |
|                         |          |       | an2, an4,)               | an2 = Two antenna ports  |
|                         |          |       |                          | an4 = Four antenna ports |

### 9.2.44 Composite Available Capacity Group

The *Composite Available Capacity Group* IE indicates the overall available resource level in the cell in Downlink and Uplink.

| IE/Group Name                            | Presence | Range | IE type and reference                        | Semantics description | Criticality | Assigned Criticality |
|--|----------|-------|--|-----------------------|-------------|----------------------|
| Composite Available Capacity<br>Downlink | M        |       | Composite<br>Available<br>Capacity<br>9.2.45 | For the Downlink      | -           | -                    |
| Composite Available Capacity Uplink      | М        |       | Composite<br>Available<br>Capacity<br>9.2.45 | For the Uplink        | -           | -                    |

## 9.2.45 Composite Available Capacity

The *Composite Available Capacity* IE indicates the overall available resource level in the cell in either Downlink or Uplink.

| IE/Group Name             | Presence | Range | IE type and reference | Semantics description   | Criticality | Assigned Criticality |
|---------------------------|----------|-------|-----------------------|---|-------------|----------------------|
| Cell Capacity Class Value | 0        |       | 9.2.46                |   | -           | -                    |
| Capacity Value            | M        |       | 9.2.47                | "0" indicates no resource is available, Measured on a linear scale. | -           | -                    |

## 9.2.46 Cell Capacity Class Value

The *Cell Capacity Class Value* IE indicates the value that classifies the cell capacity with regards to the other cells. The *Cell Capacity Class Value* IE only indicates resources that are configured for traffic purposes.

| IE/Group Name             | Presence | Range | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|---------------------------|----------|-------|-----------------------|--|-------------|----------------------|
| Cell Capacity Class Value | M        |       | INTEGER<br>(1100,)    | Value 1 shall indicate the minimum cell capacity, and 100 shall indicate the maximum cell capacity. There should be a linear relation between cell capacity and Cell Capacity Class Value. | -           | -                    |

## 9.2.47 Capacity Value

The Capacity Value IE indicates the amount of resources that are available relative to the total E-UTRAN resources. The capacity value should be measured and reported so that the minimum E-UTRAN resource usage of existing services is reserved according to implementation. The Capacity Value IE can be weighted according to the ratio of cell capacity class values, if available.

| IE/Group Name  | Presence | Range | IE type and reference | Semantics description  | Criticality | Assigned Criticality |
|----------------|----------|-------|-----------------------|--|-------------|----------------------|
| Capacity Value | М        |       | INTEGER<br>(0100)     | Value 0 shall indicate no available capacity, and 100 shall indicate maximum available capacity . Capacity Value should be measured on a linear scale. | -           | -                    |

## 9.2.48 Mobility Parameters Information

The *Mobility Parameters Information* IE contains the change of the Handover Trigger as compared to its current value. The Handover Trigger corresponds to the threshold at which a cell initialises the handover preparation procedure towards a specific neighbour cell. Positive value of the change means the handover is proposed to take place later.

| IE/Group Name           | Presence | Range | IE type and reference | Semantics description                  |
|-------------------------|----------|-------|-----------------------|--|
| Handover Trigger Change | М        |       | INTEGER (-<br>2020)   | The actual value is IE value * 0.5 dB. |

## 9.2.49 Mobility Parameters Modification Range

The Mobility Parameters Modification Range IE contains the range of Handover Trigger Change values permitted by the eNB<sub>2</sub> at the moment the MOBILITY CHANGE FAILURE message is sent.

| IE/Group Name                          | Presence | Range | IE type and reference | Semantics description                  |
|--|----------|-------|-----------------------|--|
| Handover Trigger Change<br>Lower Limit | М        |       | INTEGER (-<br>2020)   | The actual value is IE value * 0.5 dB. |
| Handover Trigger Change<br>Upper Limit | М        |       | INTEGER (-<br>2020)   | The actual value is IE value * 0.5 dB. |

## 9.2.50 PRACH Configuration

This IE indicates the PRACH resources used in neighbor cell.

| IE/Group Name               | Presence | Range | IE type and | Semantics             | Criticality | Assigned    |
|-----------------------------|----------|-------|-------------|-----------------------|-------------|-------------|
|                             |          |       | reference   | description           |             | Criticality |
| RootSequenceIndex           | M        |       | INTEGER     | See section 5.7.2. in | _           | _           |
|                             |          |       | (0837)      | TS 36.211 [10]        |             |             |
| ZeroCorrelationZoneConfigur | M        |       | INTEGER     | See section 5.7.2. in | _           | _           |
| ation                       |          |       | (015)       | TS 36.211 [10]        |             |             |
| HighSpeedFlag               | M        |       | BOOLEAN     | TRUE corresponds to   | _           | _           |
|                             |          |       |             | Restricted set and    |             |             |
|                             |          |       |             | FALSE to              |             |             |
|                             |          |       |             | Unrestricted set. See |             |             |
|                             |          |       |             | section 5.7.2 in TS   |             |             |
|                             |          |       |             | 36.211 [10]           |             |             |
| PRACH-FrequencyOffset       | M        |       | INTEGER     | See section 5.7.1 of  | _           | _           |
|                             |          |       | (094)       | TS 36.211 [10]        |             |             |
| PRACH-ConfigurationIndex    | 0        |       | INTEGER     | Mandatory for TDD,    | _           | -           |
|                             |          |       | (063)       | shall not be present  |             |             |
|                             |          |       |             | for FDD.              |             |             |
|                             |          |       |             | See section 5.7.1. in |             |             |
|                             |          |       |             | TS 36.211 [10]        |             |             |

#### 9.2.51 Subframe Allocation

The *Subframe Allocation* IE is used to indicate the subframes that are allocated for MBSFN within the radio frame allocation period as defined in TS 36.331 [9].

| IE/Group Name   | Presence | Range | IE Type and Reference | Semantics Description |
|-----------------|----------|-------|-----------------------|-----------------------|
| CHOICE Subframe | M        |       |                       |                       |
| Allocation      |          |       |                       |                       |
| >Oneframe       | M        |       | BITSTRING (SIZE(6))   |                       |
| >Fourframes     | M        |       | BITSTRING (SIZE(24))  |                       |

## 9.2.52 CSG Membership Status

This element indicates the membership status of the UE to a particular CSG.

| IE/Group Name         | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-----------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| CSG Membership Status | M        |       | ENUMERATED            |                       | -           | -                    |
|                       |          |       | (member, not-         |                       |             |                      |
|                       |          |       | member)               |                       |             |                      |

#### 9.2.53 CSG ID

This element indicates the identifier of the Closed Subscriber Group.

| IE/Group Name | Presence | Range | IE type and reference     | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|---------------------------|-----------------------|-------------|----------------------|
| CSG ID        | M        |       | BIT STRING<br>(SIZE (27)) |                       | -           | -                    |

## 9.2.54 ABS Information

This IE provides information about which sub frames the sending eNB is configuring as almost blank subframes and which subset of almost blank subframes are recommended for configuring measurements towards the UE. Almost blank subframes are subframes with reduced power on some physical channels and/or reduced activity.

| IE/Group Name                               | Presence | Range | IE type and reference    | Semantics description  |
|---|----------|-------|--------------------------|--|
| CHOICE ABS Information                      | M        |       | -                        | _  |
| >FDD  |          |       | _                        | _  |
| >>ABS Pattern Info                          | M        |       | BIT STRING<br>(SIZE(40)) | Each position in the bitmap represents a DL subframe, for which value "1" indicates "ABS" and value "0" indicates "non ABS". The first position of the ABS pattern corresponds to subframe 0 in a radio frame where SFN = 0. The ABS pattern is continuously repeated in all radio frames. The maximum number of subframes is 40.  |
| >>Number Of Cell-<br>specific Antenna Ports | M        |       | ENUMERATED (1, 2, 4,)    | P (number of antenna ports for cell-specific reference signals) defined in TS 36.211 [10]  |
| >>Measurement Subset                        | М        |       | BIT STRING<br>(SIZE(40)) | Indicates a subset of the ABS Pattern Info above, and is used to configure specific measurements towards the UE.   |
| >TDD  | <u> </u> |       |                          | _  |
| >>ABS Pattern Info                          | M        |       | BIT STRING (170,)        | Each position in the bitmap represents a subframe. Value "1" indicates "ABS" and value "0" indicates "non ABS" which is applicable only in positions corresponding to the DL direction.  The maximum number of subframes depends on UL/DL subframe configuration.  The maximum number of subframes is 20 for UL/DL subframe configuration 1~5; 60 for UL/DL subframe configuration 0.  UL/DL subframe configuration 6; 70 for UL/DL subframe configuration 0.  UL/DL subframe configuration 1TS 36.211 [10].  The first position of the ABS pattern corresponds to subframe 0 in a radio frame where SFN = 0. The ABS pattern is continuously repeated in all radio frames, and restarted each time SFN = 0. |
| >>Number Of Cell-<br>specific Antenna Ports | M        |       | ENUMERATED (1, 2, 4,)    | P (number of antenna ports for cell-specific reference signals) defined in TS 36.211 [10]  |
| >>Measurement Subset                        | М        |       | BIT STRING<br>(170,)     | Indicates a subset of the ABS Pattern Info above, and is used to configure specific measurements towards the UE  |
| >ABS Inactive                               | M        |       | NULL                     | Indicates that interference  |

|  |  | coordination by means of   |
|--|--|----------------------------|
|  |  | almost blank sub frames is |
|  |  | not active                 |

### 9.2.55 Invoke Indication

This IE provides an indication about which type of information the sending eNB would like the receiving eNB to send back.

| IE/Group Name     | Presence | Range | IE type and reference  | Semantics description |
|-------------------|----------|-------|--|-----------------------|
| Invoke Indication | M        |       | ENUMERATED<br>(ABS<br>Information,,<br>Start NAICS<br>Information,<br>Stop NAICS<br>Information) | -                     |

# 9.2.56 MDT Configuration

The IE defines the MDT configuration parameters.

| IE/Group Name                  | Presence                | Range  | IE type and reference  | Semantics description   | Criticality | Assigned Criticality |
|--------------------------------|-------------------------|--|--|---|-------------|----------------------|
| MDT Activation                 | М                       |  | ENUMERATED(Imme diate MDT only, Immediate MDT and Trace,)            |   | -           | -                    |
| CHOICE Area Scope of MDT       | M                       |  |  |   | _           | _                    |
| >Cell Based                    |                         |  |  |   | _           | _                    |
| >>Cell ID List for<br>MDT      |                         | 1 <maxno<br>ofCellIDfor<br/>MDT&gt;</maxno<br> |  |   | _           | _                    |
| >>>ECGI                        | M                       |  | 9.2.14   |   | _           | _                    |
| >TA Based                      |                         |  |  |   | _           | _                    |
| >>TA List for<br>MDT           |                         | 1 <maxno<br>ofTAforM<br/>DT&gt;</maxno<br>     |  |   | _           | _                    |
| >>>TAC                         | М                       |  | OCTET STRING (2)   | Tracking Area Code. The TAI is derived using the current serving PLMN.  | _           | _                    |
| >PLMN Wide                     |                         |  | NULL   |   | _           | _                    |
| >TAI based                     |                         |  |  |   |             |                      |
| >>TAI List for<br>MDT          |                         | 1 <maxno<br>ofTAforM<br/>DT&gt;</maxno<br>     |  |   |             |                      |
| >>>TAC                         | М                       |  | OCTET STRING (2)   | Tracking Area Code  |             |                      |
| >>>PLMN                        | М                       |  | 9.2.4  | _   |             |                      |
| Identity Measurements to       | M                       |  | BITSTRING  | Each position in the  |             |                      |
| Activate  M1 Reporting Trigger | M                       |  | (SIZE(8))  | bitmap indicates a MDT measurement, as defined in TS 37.320 [25]. First Bit = M1, Second Bit = M2, Third Bit = M3, Fourth Bit = M4, Fifth Bit = M5, Sixth Bit = logging of M1 from event triggered measurement reports according to existing RRM configuration. Other bits are reserved for future use and are ignored if received. Value '1' indicates 'activate' and value '0' indicates 'do not activate'. This IE shall be ignored if | _           | _                    |
| . 5 55                         |                         |  | (periodic, A2event-<br>triggered,,<br>A2event-triggered<br>periodic) | the Measurements to<br>Activate IE has the first bit<br>set to '0'.   | _           | _                    |
| M1 Threshold Event<br>A2       | C-<br>ifM1A2trig<br>ger |  |  | Included in case of event-<br>triggered or event-<br>triggered periodic<br>reporting for<br>measurement M1  | -           | -                    |
| >CHOICE<br>Threshold           | M                       |  |  |   | _           |                      |
| >>RSRP                         |                         |  |  |   | _           | _                    |
| >>>Threshold<br>RSRP           | М                       |  | INTEGER (097)  | This IE is defined in TS 36.331 [9].  | _           | _                    |
| >>RSRQ                         |                         |  | INTEGED (2.24)   | TI: 15: 1 (: :: TO  | _           | _                    |
| >>>Threshold<br>RSRQ           | M                       |  | INTEGER (034)  | This IE is defined in TS 36.331 [9]. Included in case of  | _           | _                    |

|                             | ifperiodic<br>MDT |  | periodic or event-triggered periodic reporting for measurement M1   |     |        |
|-----------------------------|-------------------|--|---|-----|--------|
| >Report interval            | М                 | ENUMERATED ( ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, min1, min6, min12, min30, min60) | This IE is defined in TS 36.331 [9].  | -   | _      |
| >Report amount              | М                 | ENUMERATED (1, 2, 4, 8, 16, 32, 64, infinity)  | Number of reports   | -   | _      |
| M3 Configuration            | C-ifM3            | 9.2.61   |   | YES | ignore |
| M4 Configuration            | C-ifM4            | 9.2.62   |   | YES | ignore |
| M5 Configuration            | C-ifM5            | 9.2.63   |   | YES | ignore |
| MDT Location<br>Information | 0                 | BITSTRING(SIZE(8))   | Each position in the bitmap represents requested location information as defined in TS 37.320 [31]. First Bit = GNSS Second Bit = E-CID information. Other bits are reserved for future use and are ignored if received. Value '1' indicates 'activate' and value '0' indicates 'do not activate'. The eNB shall ignore the first bit unless the Measurements to Activate IE has the first bit or the sixth bit set to '1'. | YES | ignore |
| Signalling based            | 0                 | MDT PLMN List  |   | YES | ignore |
| MDT PLMN List               |                   | 9.2.64   |   |     |        |

| Range bound         | <b>Explanation</b>   |  |  |  |
|---------------------|--|--|--|--|
| maxnoofCellIDforMDT | Maximum no. of Cell ID subject for MDT scope. Value is 32. |  |  |  |
| maxnoofTAforMDT     | Maximum no. of TA subject for MDT scope. Value is 8.       |  |  |  |

| Condition     | Explanation  |  |  |  |  |  |
|---------------|--|--|--|--|--|--|
| ifM1A2trigger | This IE shall be present if the Measurements to Activate IE has the    |  |  |  |  |  |
|               | first bit set to "1" and the M1 Reporting Trigger IE is set to "A2even |  |  |  |  |  |
|               | triggered" or to 'A2event-triggered periodic'.                         |  |  |  |  |  |
| ifperiodicMDT | This IE shall be present if the M1 Reporting Trigger IE is set to      |  |  |  |  |  |
|               | "periodic" or to 'A2event-triggered periodic'.                         |  |  |  |  |  |
| ifM3          | This IE shall be present if the Measurements to Activate IE has the    |  |  |  |  |  |
|               | third bit set to '1'.  |  |  |  |  |  |
| ifM4          | This IE shall be present if the Measurements to Activate IE has the    |  |  |  |  |  |
|               | fourth bit set to '1'.   |  |  |  |  |  |
| ifM5          | This IE shall be present if the Measurements to Activate IE has the    |  |  |  |  |  |
|               | fifth bit set to '1'.  |  |  |  |  |  |

### 9.2.57 Void

## 9.2.58 ABS Status

The ABS Status IE is used to aid the eNB designating ABS to evaluate the need for modification of the ABS pattern.

| IE/Group Name                    | Presence | Range | IE type and reference | Semantics description   |
|----------------------------------|----------|-------|-----------------------|---|
| DL ABS status                    | M        |       | INTEGER (0100)        | Percentage of used ABS resources. The numerator of the percentage calculation consists of resource blocks within the ABS indicated in the Usable ABS Pattern Info IE allocated by the eNB2 for DL traffic needing protection by ABS from inter-cell interference for DL scheduling, or allocated by the eNB2 for other reasons (e.g. some control channels). The denominator of the percentage calculation is the total quantity of resource blocks within the ABS indicated in the Usable ABS Pattern Info IE. |
| CHOICE Usable ABS<br>Information | М        |       | -                     | -   |
| >FDD                             |          |       | _                     | _   |
| >>Usable ABS Pattern Info        | M        |       | BIT STRING (SIZE(40)) | Each position in the bitmap represents a subframe, for which value "1" indicates "ABS that has been designated as protected from inter-cell interference by the eNB <sub>1</sub> , and available to serve this purpose for DL scheduling in the eNB <sub>2</sub> " and value "0" is used for all other subframes.  The pattern represented by the bitmap is a subset of, or the same as, the corresponding ABS Pattern Info IE conveyed in the LOAD INFORMATION message from the eNB <sub>1</sub> .             |
| >TDD                             |          |       | _                     | _   |
| >>Usable ABS Pattern<br>Info     | M        |       | BIT STRING (170)      | Each position in the bitmap represents a subframe, for which value "1" indicates "ABS that has been designated as protected from inter-cell interference by the eNB <sub>1</sub> , and available to serve this purpose for DL scheduling in the eNB <sub>2</sub> " and value "0" is used for all other subframes.  The pattern represented by the bitmap is a subset of, or the same as, the corresponding ABS Pattern Info IE conveyed in the LOAD INFORMATION message from the eNB <sub>1</sub> .             |

# 9.2.59 Management Based MDT Allowed

This information element is used by the eNB to allow selection of the UE for management based MDT as described in TS 32.422 [6].

| IE/Group Name        | Presence | Range | IE type and reference | Semantics description |
|----------------------|----------|-------|-----------------------|-----------------------|
| Management Based MDT | М        |       | ENUMERATED            |                       |
| Allowed              |          |       | (Allowed,)            |                       |

#### 9.2.60 MultibandInfoList

The *MultibandInfoList* IE contains the additional frequency band indicators that a cell belongs to listed in decreasing order of preference, see TS 36.331 [9].

| IE/Group Name           | Presence | Range   | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|-------------------------|----------|---|-----------------------|-----------------------|-------------|----------------------|
| BandInfo                |          | 1 <maxnoofband< td=""><td></td><td></td><td>-</td><td>-</td></maxnoofband<> |                       |                       | -           | -                    |
|                         | 1        | S>  |                       |                       |             |                      |
| >FrequencyBandIndicator | M        |   | INTEGER               | E-UTRA                | _           | _                    |
|                         |          |   | (1 256,)              | operating band        |             |                      |
|                         |          |   |                       | as defined in TS      |             |                      |
|                         |          |   |                       | 36.101 [42, table     |             |                      |
|                         |          |   |                       | 5.5-1]                |             |                      |

| Range bound  | Explanation   |
|--------------|---|
| maxnoofBands | Maximum number of frequency bands that a cell belongs to. The |
|              | value is 16.  |

## 9.2.61 M3 Configuration

This IE defines the parameters for M3 measurement collection.

| IE/Group Name        | Presence | Range | IE type and     | Semantics description |
|----------------------|----------|-------|-----------------|-----------------------|
|                      |          |       | reference       |                       |
| M3 Collection Period | M        |       | ENUMERATED      |                       |
|                      |          |       | (ms100, ms1000, |                       |
|                      |          |       | ms10000,)       |                       |

## 9.2.62 M4 Configuration

This IE defines the parameters for M4 measurement collection.

| IE/Group Name        | Presence | Range | IE type and reference  | Semantics description |
|----------------------|----------|-------|--|-----------------------|
| M4 Collection Period | M        |       | ENUMERATED<br>(ms1024, ms2048,<br>ms5120, ms10240,<br>min1,) |                       |
| M4 Links to log      | M        |       | ENUMERATED(uplin k, downlink, both-uplink-and-downlink,)     |                       |

## 9.2.63 M5 Configuration

This IE defines the parameters for M5 measurement collection.

| IE/Group Name        | Presence | Range | IE type and reference  | Semantics description |
|----------------------|----------|-------|--|-----------------------|
| M5 Collection Period | M        |       | ENUMERATED<br>(ms1024, ms2048,<br>ms5120, ms10240,<br>min1,) |                       |
| M5 Links to log      | M        |       | ENUMERATED(uplin k, downlink, both-uplink-and-downlink,)     |                       |

#### 9.2.64 MDT PLMN List

The purpose of the MDT PLMN List IE is to provide the list of PLMNs allowed for MDT.

| IE/Group Name  | Presence | Range  | IE type and reference | Semantics description |
|----------------|----------|--|-----------------------|-----------------------|
| MDT PLMN List  |          | 1 <maxnoof<br>MDTPLMNs<br/>&gt;</maxnoof<br> |                       |                       |
| >PLMN Identity | M        |  | 9.2.4                 |                       |

| Range bound     | Explanation   |  |  |
|-----------------|---|--|--|
| maxnoofMDTPLMNs | Maximum no. of PLMNs in the MDT PLMN list. Value is 16. |  |  |

#### 9.2.65 EARFCN Extension

The E-UTRA Absolute Radio Frequency Channel Number Extension defines the carrier frequency used in a cell for a given direction (UL or DL) in FDD or for both UL and DL directions in TDD.

| IE/Group Name    | Presence | Range | IE Type and<br>Reference                  | Semantics Description   |
|------------------|----------|-------|---|---|
| EARFCN Extension | M        |       | INTEGER<br>(maxEARFCN+1<br>newmaxEARFCN,) | The relation between EARFCN and carrier frequency (in MHz) are defined in TS 36.104 [16]. |

| Range bound  | Explanation                                    |
|--------------|--|
| maxEARFCN    | Maximum value of EARFCNs. Value is 65535.      |
| newmaxEARFCN | New maximum value of EARFCNs. Value is 262143. |

#### 9.2.66 COUNT Value Extended

This information element indicates the 15 bit long PDCP SN and the corresponding 17 bit long Hyper Frame Number.

| IE/Group Name    | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
|------------------|----------|-------|-----------------------|-----------------------|-------------|----------------------|
| PDCP-SN Extended | М        |       | INTEGER<br>(032767)   | -                     | _           | _                    |
| HFN Modified     | М        |       | INTEGER<br>(0131071)  |                       | _           | _                    |

#### 9.2.67 Extended UL Interference Overload Info

This IE provides report on interference overload for the set of subframes that are subject to UL-DL subframe reconfiguration. This IE applies to TDD only.

| IE/Group Name                                   | Presence | Range | IE type and reference                               | Semantics description  |
|---|----------|-------|---|--|
| Associated Subframes                            | M        |       | BITSTRING<br>(SIZE(5))                              | The set of subframe(s) to which the Extended UL interference overload indication is applicable. The bitmap from the least significant bit position to the most significant bit position represents subframes #{3, 4, 7, 8, 9} in a radio frame.  Value "1" in a bit position indicates that the Extended UL interference overload indication is applicable to the corresponding subframe; and value "0" indicates otherwise. |
| Extended UL Interference<br>Overload Indication | M        |       | UL Interference<br>Overload<br>Indication<br>9.2.17 |  |

### 9.2.68 RNL Header

The RNL Header IE indicates the target eNB ID and source eNB ID.

| IE/Group Name | Presence | Range | IE type and reference   | Semantics description | Criticality | Assigned Criticality |
|---------------|----------|-------|-------------------------|-----------------------|-------------|----------------------|
| Source eNB ID | М        |       | Global eNB ID<br>9.2.22 |                       | -           | -                    |
| Target eNB ID | 0        |       | Global eNB ID<br>9.2.22 |                       | -           | -                    |

### 9.2.69 Masked IMEISV

This information element contains the IMEISV value with a mask, to identify a terminal model without identifying an individual Mobile Equipment.

| IE/Group Name | Presence | Range | IE type and reference    | Semantics description  |
|---------------|----------|-------|--------------------------|--|
| Masked IMEISV | M        |       | BIT STRING<br>(SIZE(64)) | Coded as the International Mobile station<br>Equipment Identity and Software Version<br>Number (IMEISV) defined in TS 23.003 [29]<br>with the last 4 digits of the SNR masked by<br>setting the corresponding bits to 1. |

## 9.2.70 Expected UE Behaviour

This IE defines the behaviour of a UE with predictable activity and/or mobility behaviour, to assist the eNB in determining the optimum RRC connection time.

| IE/Group Name                  | Presence | Range | IE type and reference   | Semantics description  |
|--------------------------------|----------|-------|---|--|
| Expected UE Activity Behaviour | M        |       | 9.2.71  |  |
| Expected HO Interval           | 0        |       | ENUMERATED<br>(sec15, sec30,<br>sec60, sec90,<br>sec120, sec180,<br>long-time,) | Indicates the expected time interval between inter-eNB handovers.  If "long-time" is included, the interval between inter-eNB handovers is expected to be longer than 180 seconds. |

## 9.2.71 Expected UE Activity Behaviour

Indicates information about the expected "UE activity behaviour" as defined in TS 23.401 [12].

| IE/Group Name                               | Presence | Range | IE type and reference                                     | Semantics description  |
|---|----------|-------|---|--|
| Expected Activity Period                    | 0        |       | INTEGER<br>(130 40 50 60 80 <br>100 120 150 180 <br>181,) | If this IE is set to "181" the expected activity time is longer than 180 seconds. The remaining values indicate the expected activity time in [seconds].   |
| Expected Idle Period                        | 0        |       | INTEGER<br>(130 40 50 60 80 <br>100 120 150 180 <br>181,) | If this IE is set to "181" the expected idle time is longer than 180 seconds. The remaining values indicate the expected idle time in [seconds].   |
| Source of UE Activity Behaviour Information | 0        |       | ENUMERATED (subscription information, statistics,)        | If "subscription information" is indicated, the information contained in the Expected Activity Period IE and the Expected Idle Period IE, if present, is derived from subscription information. If "statistics" is indicated, the information contained in the Expected Activity Period IE and the Expected Idle Period IE, if present, is derived from statistical information. |

# 9.2.72 SeNB Security Key

The SeNB Security Key IE is used to apply security in the SeNB as defined in TS 33.401 [18].

| IE/Group Name        | Presence | Range | IE Type and<br>Reference  | Semantics Description   |
|----------------------|----------|-------|---------------------------|---|
| SeNB Security<br>Key | М        |       | BIT STRING<br>(SIZE(256)) | The S-KeNB which is provided by the MeNB, see TS 33.401 [18]. |

## 9.2.73 SCG Change Indication

The SCG Change Indication IE is either used to request the SeNB to prepare the SCG Change in the SeNB or to request the MeNB to initiate the SCG Change towards the UE (see TS 36.300 [15]).

| IE/Group Name | Presence | Range | IE Type and Reference | Semantics Description |
|---------------|----------|-------|-----------------------|-----------------------|
| SCG Change    | M        |       | ENUMERATED            |                       |
| Indication    |          |       | (PDCPCountWrapAround, |                       |
|               |          |       | PSCellChange, other,) |                       |

### 9.2.74 CoMP Information

This IE provides the list of CoMP hypothesis sets, where each CoMP hypothesis set is the collection of CoMP hypothesis(es) of one or multiple cells and each CoMP hypothesis set is associated with a benefit metric.

| IE/Group Name               | Presence | Range  | IE type and reference  | Semantics description   |
|-----------------------------|----------|--|------------------------|---|
| CoMP Information Item       |          | 1<br><maxnoofcomph<br>ypothesisSet&gt;</maxnoofcomph<br> |                        |   |
| >CoMP Hypothesis Set        | M        |  | 9.2.75                 |   |
| >Benefit Metric             | М        |  | INTEGER (-<br>101100,) | Value -100 indicates the maximum cost, and 100 indicates the maximum benefit. Value -101 indicates unknown benefit. Values from -100 to 100 should be calculated on a linear scale. |
| CoMP Information Start Time |          | 01   |                        |   |
| >Start SFN                  | M        |  | INTEGER<br>(01023,)    | SFN of the radio frame containing the first subframe when the CoMP Information IE is valid.   |
| >Start Subframe Number      | M        |  | INTEGER (09,)          | Subframe number, within the radio frame indicated by the <i>Start SFN</i> IE, of the first subframe when the <i>CoMP Information</i> IE is valid.                                   |

| Range bound              | Explanation   |  |
|--------------------------|---|--|
| maxnoofCoMPHypothesisSet | Maximum number of CoMP Hypothesis sets. The value is 256. |  |

## 9.2.75 CoMP Hypothesis Set

This IE provides a set of CoMP hypotheses. A CoMP hypothesis is hypothetical PRB-specific resource allocation information for a cell.

| IE/Group Name            | Presence | Range                                       | IE type and reference | Semantics description   |
|--------------------------|----------|---|-----------------------|---|
| CoMP Hypothesis Set Item |          | 1 <maxnoofcom<br>PCells&gt;</maxnoofcom<br> |                       |   |
| >Cell ID                 | M        |   | ECGI<br>9.2.14        | ID of the cell for which the<br>CoMP Hypothesis IE is<br>applied.   |
| >CoMP Hypothesis         | M        |   | BIT STRING (64400,)   | Each position in the bitmap represents a PRB in a subframe, for which value '1' indicates "interference protected resource" and value '0' indicates "resource with no utilization constraints," which is applicable only in positions corresponding to the DL direction.  The first bit corresponds to PRB 0 of the first subframe for which the IE is valid, the second bit corresponds to PRB 1 of the first subframe for which the IE is valid, and so on.  The bit string may span across multiple contiguous subframes.  The length of the bit string is an integer (maximum 40) multiple of $N_{\rm RB}^{\rm DL}$ . $N_{\rm RB}^{\rm DL}$ is defined in TS 36.211 [10]. The CoMP hypothesis pattern is continuously repeated. |

| Range bound      | Explanation  |  |
|------------------|--|--|
| maxnoofCoMPCells | Maximum number of cells in a CoMP hypothesis set. Value is 32. |  |

## 9.2.76 RSRP Measurement Report List

This IE provides RSRP measurement reports of UEs served by the sending eNB.

| IE/Group Name           | Presence | Range                           | IE type and reference | Semantics description       |
|-------------------------|----------|---------------------------------|-----------------------|-----------------------------|
| RSRP Measurement Report |          | 1                               |                       |                             |
| Item                    |          | <maxuereport></maxuereport>     |                       |                             |
| >RSRP Measurement       |          | 1                               |                       |                             |
| Result                  |          | <maxcellreport></maxcellreport> |                       |                             |
| >>RSRP Cell ID          | M        |                                 | ECGI                  | ID of the cell on which the |
|                         |          |                                 | 9.2.14                | RSRP is measured.           |
| >>RSRP Measured         | M        |                                 | INTEGER               | Measured RSRP.              |
|                         |          |                                 | (097,)                | Defined in TS 36.331 [9].   |

| Range bound   | Explanation   |  |
|---------------|---|--|
| maxUEReport   | Maximum number of UE measurement reports. Value is 128. |  |
| maxCellReport | Maximum number of reported cells. The value is 9.       |  |

# 9.2.77 Dynamic DL transmission information

This IE contains assistance information for DL interference mitigation.

| IE/Group Name            | Presence | Range                     | IE type and reference  | Semantics description   |
|--------------------------|----------|---------------------------|--|---|
| CHOICE NAICS Information | M        |                           |  |   |
| >NAICS Active            |          |                           |  |   |
| >>Transmission Modes     | 0        |                           | BIT STRING<br>(SIZE(8))  | The set bits indicate some or all transmission modes: 1, 2, 3, 4, 6, 8, 9, 10, as defined in TS 36.213 [23, 7.1]. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on. |
| >>P_B                    | 0        |                           | INTEGER (03)   | See TS 36.213 [23, Table 5.2-1]   |
| >>P_A_list               |          | 0 <maxnoofpa></maxnoofpa> |  |   |
| >>>P_A                   | М        |                           | ENUMERATED<br>(dB-6, dB-<br>4dot77, dB-3,<br>dB-1dot77,<br>dB0, dB1, dB2,<br>dB3,) | See P <sub>A</sub> TS 36.213 [23,<br>5.2]. Value dB-6<br>corresponds to -6 dB, dB-<br>4dot77 corresponds to -<br>4.77 dB etc.   |
| >NAICS Inactive          |          |                           | NULL   |   |

| Range bound | Explanation   |  |
|-------------|---|--|
| maxnoofPA   | Maximum no of P <sub>A</sub> values that can be configured. Value is 3. |  |

## 9.2.78 ProSe Authorized

This IE provides information on the authorization status of the UE for ProSe service(s).

| IE/Group Name          | Presence | Range | IE type and      | Semantics description       |
|------------------------|----------|-------|------------------|-----------------------------|
|                        |          |       | reference        |                             |
| ProSe Direct Discovery | 0        |       | ENUMERATED       | Indicates whether the UE is |
|                        |          |       | (authorized, not | authorized for ProSe Direct |
|                        |          |       | authorized,)     | Discovery                   |
| ProSe Direct           | 0        |       | ENUMERATED       | Indicates whether the UE is |
| Communication          |          |       | (authorized, not | authorized for ProSe Direct |
|                        |          |       | authorized,)     | Communication               |

## 9.3 Message and Information Element Abstract Syntax (with ASN.1)

#### 9.3.1 General

X2AP ASN.1 definition conforms to ITU-T Rec. X.680 [27] and ITU-T Rec. X.681 [28].

Sub clause 9.3 presents the Abstract Syntax of the X2AP protocol with ASN.1. In case there is contradiction between the ASN.1 definition in this sub clause and the tabular format in sub clause 9.1 and 9.2, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, in which the tabular format shall take precedence.

The ASN.1 definition specifies the structure and content of X2AP messages. X2AP messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct an X2AP message according to the PDU definitions module and with the following additional rules:

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.
- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list in which the list elements reside. The second part defines list elements. The IE container list appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

NOTE: In the above, "IE" means an IE in the object set with an explicit ID. If one IE needs to appear more than once in one object set, then the different occurrences have different IE IDs.

If an X2AP message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax Error in clause 10.

### 9.3.2 Usage of Private Message Mechanism for Non-standard Use

The private message mechanism for non-standard use may be used:

- for special operator (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor inter-operability.
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The private message mechanism shall not be used for basic functionality. Such functionality shall be standardised.

# 9.3.3 Elementary Procedure Definitions

\_\_ \*

```
-- Elementary Procedure definitions
__ **********************
X2AP-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-PDU-Descriptions (0) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
  *****************
-- IE parameter types from other modules.
__ ********************
IMPORTS
   Criticality,
   ProcedureCode
FROM X2AP-CommonDataTypes
   CellActivationRequest,
   CellActivationResponse,
   CellActivationFailure,
   ENBConfigurationUpdate,
   ENBConfigurationUpdateAcknowledge,
   ENBConfigurationUpdateFailure,
   ErrorIndication,
   HandoverCancel,
   HandoverReport,
   HandoverPreparationFailure,
   HandoverRequest,
   HandoverRequestAcknowledge,
   LoadInformation,
   PrivateMessage,
   ResetRequest,
   ResetResponse,
   ResourceStatusFailure,
   ResourceStatusRequest,
   ResourceStatusResponse,
   ResourceStatusUpdate,
   RLFIndication.
   SNStatusTransfer,
   UEContextRelease,
   X2SetupFailure,
   X2SetupRequest,
   X2SetupResponse,
   MobilityChangeRequest,
   MobilityChangeAcknowledge,
   MobilityChangeFailure,
```

```
X2Release,
    X2APMessageTransfer,
    SeNBAdditionRequest,
    SeNBAdditionRequestAcknowledge,
    SeNBAdditionRequestReject,
    SeNBReconfigurationComplete,
    SeNBModificationRequest,
    SeNBModificationRequestAcknowledge,
    SeNBModificationRequestReject,
    SeNBModificationRequired,
    SeNBModificationConfirm,
    SeNBModificationRefuse,
    SeNBReleaseRequest,
    SeNBReleaseRequired,
    SeNBReleaseConfirm,
    SeNBCounterCheckRequest,
    X2RemovalFailure,
    X2RemovalRequest,
    X2RemovalResponse
FROM X2AP-PDII-Contents
    id-cellActivation,
    id-eNBConfigurationUpdate,
    id-errorIndication,
    id-handoverCancel.
    id-handoverReport,
    id-handoverPreparation,
    id-loadIndication,
    id-privateMessage,
    id-reset,
    id-resourceStatusReporting,
    id-resourceStatusReportingInitiation,
    id-rLFIndication.
    id-snStatusTransfer,
    id-uEContextRelease,
    id-x2Setup,
    id-mobilitySettingsChange,
    id-x2Release,
    id-x2APMessageTransfer,
    id-seNBAdditionPreparation,
    id-seNBReconfigurationCompletion,
    id-meNBinitiatedSeNBModificationPreparation,
    id-seNBinitiatedSeNBModification,
    id-meNBinitiatedSeNBRelease,
    id-seNBinitiatedSeNBRelease,
    id-seNBCounterCheck,
    id-x2Removal
FROM X2AP-Constants;
__ *********************
```

```
-- Interface Elementary Procedure Class
  ******************
X2AP-ELEMENTARY-PROCEDURE ::= CLASS {
    &InitiatingMessage
    &SuccessfulOutcome
                                  OPTIONAL,
    &UnsuccessfulOutcome
                                     OPTIONAL,
   &procedureCode
                          ProcedureCode UNIQUE
   &criticality
                          Criticality
                                         DEFAULT ignore
WITH SYNTAX {
    INITIATING MESSAGE
                          &InitiatingMessage
                          &SuccessfulOut.come 1
    [SUCCESSFUL OUTCOME
    [UNSUCCESSFUL OUTCOME
                              &UnsuccessfulOut.comel
                          &procedureCode
    PROCEDURE CODE
   [CRITICALITY
                          &criticality]
      ----
  Interface PDU Definition
X2AP-PDU ::= CHOICE {
   initiatingMessage
                      InitiatingMessage,
    successfulOutcome
                      SuccessfulOutcome,
   unsuccessfulOutcome UnsuccessfulOutcome,
    . . .
InitiatingMessage ::= SEQUENCE {
   procedureCode X2AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}),
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality
                  X2AP-ELEMENTARY-PROCEDURE.&criticality
   value
                  X2AP-ELEMENTARY-PROCEDURE.&InitiatingMessage
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode})
SuccessfulOutcome ::= SEOUENCE
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}),
   procedureCode X2AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality
                  X2AP-ELEMENTARY-PROCEDURE.&criticality
   value
                  X2AP-ELEMENTARY-PROCEDURE.&SuccessfulOutcome
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode})
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode X2AP-ELEMENTARY-PROCEDURE.&procedureCode
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}),
                                                                 ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode}),
   criticality
                  X2AP-ELEMENTARY-PROCEDURE.&criticality
                  X2AP-ELEMENTARY-PROCEDURE.&UnsuccessfulOutcome
                                                                ({X2AP-ELEMENTARY-PROCEDURES}{@procedureCode})
   value
-- Interface Elementary Procedure List
```

```
X2AP-ELEMENTARY-PROCEDURES X2AP-ELEMENTARY-PROCEDURE ::= {
   X2AP-ELEMENTARY-PROCEDURES-CLASS-1
   X2AP-ELEMENTARY-PROCEDURES-CLASS-2
X2AP-ELEMENTARY-PROCEDURES-CLASS-1 X2AP-ELEMENTARY-PROCEDURE ::=
   handoverPreparation
   reset
   x2Setup
    resourceStatusReportingInitiation
    eNBConfigurationUpdate
    mobilitySettingsChange
    cellActivation
    seNBAdditionPreparation
    meNBinitiatedSeNBModificationPreparation
    seNBinitiatedSeNBModification
    seNBinitiatedSeNBRelease
   x2Removal
X2AP-ELEMENTARY-PROCEDURES-CLASS-2 X2AP-ELEMENTARY-PROCEDURE ::=
    snStatusTransfer
    uEContextRelease
   handoverCancel
    errorIndication
    resourceStatusReporting
    loadIndication
   privateMessage
   rLFIndication
   handoverReport
   x2Release
   x2APMessageTransfer
    seNBReconfigurationCompletion
   meNBinitiatedSeNBRelease
    seNBCounterCheck,
-- Interface Elementary Procedures
__ **********************
handoverPreparation X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                           HandoverRequest
    SUCCESSFUL OUTCOME
                           HandoverRequestAcknowledge
                           HandoverPreparationFailure
   UNSUCCESSFUL OUTCOME
```

```
id-handoverPreparation
    PROCEDURE CODE
    CRITICALITY
                            reject
snStatusTransfer X2AP-ELEMENTARY-PROCEDURE ::= {
                            SNStatusTransfer
    INITIATING MESSAGE
    PROCEDURE CODE
                            id-snStatusTransfer
    CRITICALITY
                            ignore
uEContextRelease X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            UEContextRelease
    PROCEDURE CODE
                            id-uEContextRelease
    CRITICALITY
                            ignore
handoverCancel X2AP-ELEMENTARY-PROCEDURE ::= {
                            HandoverCancel
    INITIATING MESSAGE
    PROCEDURE CODE
                            id-handoverCancel
    CRITICALITY
                            ignore
handoverReport X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            HandoverReport
    PROCEDURE CODE
                            id-handoverReport
    CRITICALITY
                            ignore
errorIndication X2AP-ELEMENTARY-PROCEDURE ::= {
                            ErrorIndication
    INITIATING MESSAGE
    PROCEDURE CODE
                            id-errorIndication
    CRITICALITY
                            ignore
reset X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ResetRequest
    SUCCESSFUL OUTCOME
                            ResetResponse
    PROCEDURE CODE
                            id-reset
    CRITICALITY
                            reject
x2Setup X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            X2SetupRequest
    SUCCESSFUL OUTCOME
                            X2SetupResponse
                            X2SetupFailure
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-x2Setup
    CRITICALITY
                            reject
loadIndication X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            LoadInformation
    PROCEDURE CODE
                            id-loadIndication
```

```
CRITICALITY
                            ignore
                            X2AP-ELEMENTARY-PROCEDURE ::= {
eNBConfigurationUpdate
    INITIATING MESSAGE
                            ENBConfigurationUpdate
                            ENBConfigurationUpdateAcknowledge
    SUCCESSFUL OUTCOME
                            ENBConfigurationUpdateFailure
    UNSUCCESSFUL OUTCOME
                            id-eNBConfigurationUpdate
    PROCEDURE CODE
    CRITICALITY
                            reject
{\tt resourceStatusReportingInitiation}
                                    X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                                     ResourceStatusRequest
    SUCCESSFUL OUTCOME
                                     ResourceStatusResponse
    UNSUCCESSFUL OUTCOME
                                     ResourceStatusFailure
    PROCEDURE CODE
                                     id-resourceStatusReportingInitiation
                                    reject
    CRITICALITY
resourceStatusReporting X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ResourceStatusUpdate
                            id-resourceStatusReporting
    PROCEDURE CODE
    CRITICALITY
                            ignore
rLFIndication X2AP-ELEMENTARY-PROCEDURE ::= {
                            RLFIndication
    INITIATING MESSAGE
    PROCEDURE CODE
                            id-rLFIndication
                            ignore
    CRITICALITY
                        X2AP-ELEMENTARY-PROCEDURE ::= {
privateMessage
    INITIATING MESSAGE
                            PrivateMessage
    PROCEDURE CODE
                            id-privateMessage
    CRITICALITY
                            ignore
mobilitySettingsChange X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            MobilityChangeRequest
    SUCCESSFUL OUTCOME
                            MobilityChangeAcknowledge
                            MobilityChangeFailure
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-mobilitySettingsChange
                            reject
    CRITICALITY
cellActivation X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            CellActivationRequest
    SUCCESSFUL OUTCOME
                            CellActivationResponse
    UNSUCCESSFUL OUTCOME
                            CellActivationFailure
    PROCEDURE CODE
                            id-cellActivation
    CRITICALITY
                            reject
x2Release X2AP-ELEMENTARY-PROCEDURE ::= {
```

```
INITIATING MESSAGE
                            X2Release
    PROCEDURE CODE
                            id-x2Release
    CRITICALITY
                            reject
x2APMessageTransfer X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            X2APMessageTransfer
                            id-x2APMessageTransfer
    PROCEDURE CODE
    CRITICALITY
                            reject
seNBAdditionPreparation X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            SeNBAdditionRequest
    SUCCESSFUL OUTCOME
                            SeNBAdditionRequestAcknowledge
                            SeNBAdditionRequestReject
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-seNBAdditionPreparation
                            reject
    CRITICALITY
senBReconfigurationCompletion X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            SeNBReconfigurationComplete
    PROCEDURE CODE
                            id-seNBReconfigurationCompletion
    CRITICALITY
                            ignore
{\tt meNBinitiatedSeNBModificationPreparation}
                                             X2AP-ELEMENTARY-PROCEDURE ::= {
                            SeNBModificationRequest
    INITIATING MESSAGE
    SUCCESSFUL OUTCOME
                            SeNBModificationRequestAcknowledge
                            SeNBModificationRequestReject
    UNSUCCESSFUL OUTCOME
                            \verb|id-meNB| initiated SeNBModification Preparation|
    PROCEDURE CODE
    CRITICALITY
                            reject
senBinitiatedSenBModification X2AP-ELEMENTARY-PROCEDURE ::= {
                            SeNBModificationRequired
    INITIATING MESSAGE
    SUCCESSFUL OUTCOME
                            SeNBModificationConfirm
    UNSUCCESSFUL OUTCOME
                            SeNBModificationRefuse
                            id-seNBinitiatedSeNBModification
    PROCEDURE CODE
                            reject
    CRITICALITY
meNBinitiatedSeNBRelease
                            X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            SeNBReleaseRequest
    PROCEDURE CODE
                            id-meNBinitiatedSeNBRelease
    CRITICALITY
                            ignore
seNBinitiatedSeNBRelease
                            X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            SeNBReleaseRequired
    SUCCESSFUL OUTCOME
                            SeNBReleaseConfirm
                            id-seNBinitiatedSeNBRelease
    PROCEDURE CODE
    CRITICALITY
                            reject
```

```
seNBCounterCheck X2AP-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                           SeNBCounterCheckRequest
    PROCEDURE CODE
                           id-seNBCounterCheck
    CRITICALITY
                          reject
x2Removal X2AP-ELEMENTARY-PROCEDURE ::= {
                           X2RemovalRequest
    INITIATING MESSAGE
    SUCCESSFUL OUTCOME
                           X2RemovalResponse
   UNSUCCESSFUL OUTCOME X2RemovalFailure
    PROCEDURE CODE
                           id-x2Removal
    CRITICALITY
                           reject
END
```

#### 9.3.4 PDU Definitions

```
*****************
-- PDU definitions for X2AP.
X2AP-PDU-Contents {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-PDU-Contents (1) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
    ****************
-- IE parameter types from other modules.
IMPORTS
   ABSInformation,
   ABS-Status,
   AS-SecurityInformation,
   Cause,
   CompositeAvailableCapacityGroup,
   COUNTvalue,
   CriticalityDiagnostics,
   CRNTI,
   CSGMembershipStatus,
   CSG-Id,
   DeactivationIndication,
   DL-Forwarding,
   DynamicDLTransmissionInformation,
   ECGI,
```

```
E-RAB-ID,
E-RAB-Level-OoS-Parameters,
E-RAB-List.
EUTRANTraceID,
GlobalENB-ID.
GTPtunnelEndpoint,
GUGroupIDList,
GUMMEI,
HandoverReportType,
HandoverRestrictionList,
Masked-IMEISV,
InvokeIndication,
LocationReportingInformation,
MDT-Configuration,
ManagementBasedMDTallowed,
MDTPLMNList,
Neighbour-Information,
PCI,
PDCP-SN,
PLMN-Identity,
ReceiveStatusofULPDCPSDUs,
Registration-Request,
RelativeNarrowbandTxPower,
RadioResourceStatus,
RRCConnReestabIndicator,
RRCConnSetupIndicator,
UE-RLF-Report-Container,
RRC-Context,
ServedCell-Information,
ServedCells,
ShortMAC-I,
SRVCCOperationPossible,
SubscriberProfileIDforRFP,
TargetCellInUTRAN,
TargeteNBtoSource-eNBTransparentContainer,
TimeToWait,
TraceActivation,
TraceDepth,
TransportLayerAddress,
UEAggregateMaximumBitRate,
UE-HistoryInformation,
UE-HistoryInformationFromTheUE,
UE-S1AP-ID,
UESecurityCapabilities,
UE-X2AP-ID,
UL-HighInterferenceIndicationInfo,
UL-InterferenceOverloadIndication.
HWLoadIndicator,
S1TNLLoadIndicator,
Measurement-ID,
ReportCharacteristics,
MobilityParametersInformation,
MobilityParametersModificationRange,
ReceiveStatusOfULPDCPSDUsExtended,
```

```
COUNTValueExtended,
    SubframeAssignment,
    ExtendedULInterferenceOverloadInfo,
    ExpectedUEBehaviour,
    SeNBSecurityKey,
    MeNBtoSeNBContainer,
    SeNBtoMeNBContainer,
    SCGChangeIndication,
    CoMPInformation,
    ReportingPeriodicityRSRPMR,
    RSRPMRList,
    UE-RLF-Report-Container-for-extended-bands,
    ProSeAuthorized
FROM X2AP-IEs
    PrivateIE-Container{},
    ProtocolExtensionContainer{},
    ProtocolIE-Container{},
    ProtocolIE-ContainerList{},
    ProtocolIE-ContainerPair(),
    ProtocolIE-ContainerPairList{},
    ProtocolIE-Single-Container{},
    X2AP-PRIVATE-IES,
    X2AP-PROTOCOL-EXTENSION,
    X2AP-PROTOCOL-IES,
    X2AP-PROTOCOL-IES-PAIR
FROM X2AP-Containers
    id-ABSInformation,
    id-ActivatedCellList,
    id-Cause,
   id-CellInformation,
   id-CellInformation-Item,
    id-CellMeasurementResult,
    id-CellMeasurementResult-Item,
    id-CellToReport,
    id-CellToReport-Item,
    id-CompositeAvailableCapacityGroup,
    id-CriticalityDiagnostics,
    id-DeactivationIndication,
    id-DynamicDLTransmissionInformation,
    id-E-RABs-Admitted-Item,
    id-E-RABs-Admitted-List,
    id-E-RABs-NotAdmitted-List,
    id-E-RABs-SubjectToStatusTransfer-List,
    id-E-RABs-SubjectToStatusTransfer-Item,
    id-E-RABs-ToBeSetup-Item,
    id-GlobalENB-ID,
    id-GUGroupIDList,
    id-GUGroupIDToAddList,
    id-GUGroupIDToDeleteList,
    id-GUMMEI-ID,
    id-Masked-IMEISV,
    id-InvokeIndication,
```

```
id-New-eNB-UE-X2AP-ID,
id-Old-eNB-UE-X2AP-ID.
id-Registration-Reguest,
id-ReportingPeriodicity,
id-ServedCells.
id-ServedCellsToActivate,
id-ServedCellsToAdd.
id-ServedCellsToModify,
id-ServedCellsToDelete,
id-SRVCCOperationPossible,
id-TargetCell-ID,
id-TargeteNBtoSource-eNBTransparentContainer,
id-TimeToWait,
id-TraceActivation.
id-UE-ContextInformation,
id-UE-HistoryInformation,
id-UE-X2AP-ID,
id-Measurement-ID,
id-ReportCharacteristics,
id-ENB1-Measurement-ID,
id-ENB2-Measurement-ID,
id-ENB1-Cell-ID,
id-ENB2-Cell-ID,
id-ENB2-Proposed-Mobility-Parameters,
id-ENB1-Mobility-Parameters,
id-ENB2-Mobility-Parameters-Modification-Range,
id-FailureCellPCI,
id-Re-establishmentCellECGI,
id-FailureCellCRNTI,
id-ShortMAC-I,
id-SourceCellECGI,
id-FailureCellECGI,
id-HandoverReportType,
id-UE-RLF-Report-Container,
id-PartialSuccessIndicator,
id-MeasurementInitiationResult-List,
id-MeasurementInitiationResult-Item,
id-MeasurementFailureCause-Item,
id-CompleteFailureCauseInformation-List,
id-CompleteFailureCauseInformation-Item,
id-CSGMembershipStatus,
id-CSG-Id,
id-MDTConfiguration,
id-ManagementBasedMDTallowed,
id-ABS-Status,
id-RRCConnSetupIndicator,
id-RRCConnReestabIndicator,
id-TargetCellInUTRAN,
id-MobilityInformation,
id-SourceCellCRNTI,
id-ManagementBasedMDTPLMNList,
id-ReceiveStatusOfULPDCPSDUsExtended,
id-ULCOUNTValueExtended,
id-DLCOUNTValueExtended,
```

```
id-IntendedULDLConfiguration,
    id-ExtendedULInterferenceOverloadInfo.
    id-RNL-Header.
    id-x2APMessage,
    id-UE-HistoryInformationFromTheUE,
    id-ExpectedUEBehaviour,
    id-MeNB-UE-X2AP-ID,
    id-SeNB-UE-X2AP-ID,
    id-UE-SecurityCapabilities,
    id-SeNBSecurityKey,
    id-SeNBUEAggregateMaximumBitRate,
    id-ServingPLMN,
    id-E-RABs-ToBeAdded-List,
    id-E-RABs-ToBeAdded-Item,
    id-MeNBtoSeNBContainer,
    id-E-RABs-Admitted-ToBeAdded-List,
    id-E-RABs-Admitted-ToBeAdded-Item,
    id-SeNBtoMeNBContainer,
    id-ResponseInformationSeNBReconfComp,
    id-UE-ContextInformationSeNBModReg,
    id-E-RABs-ToBeAdded-ModRegItem,
    id-E-RABs-ToBeModified-ModRegItem,
    id-E-RABs-ToBeReleased-ModRegItem,
    id-E-RABs-Admitted-ToBeAdded-ModAckList,
    id-E-RABs-Admitted-ToBeModified-ModAckList,
    id-E-RABs-Admitted-ToBeReleased-ModAckList,
    id-E-RABs-Admitted-ToBeAdded-ModAckItem,
    id-E-RABs-Admitted-ToBeModified-ModAckItem,
    id-E-RABs-Admitted-ToBeReleased-ModAckItem,
    id-SCGChangeIndication,
    id-E-RABs-ToBeReleased-ModRegd,
    id-E-RABs-ToBeReleased-ModRegdItem,
    id-E-RABs-ToBeReleased-List-RelReg,
    id-E-RABs-ToBeReleased-RelRegItem,
    id-E-RABs-ToBeReleased-List-RelConf.
    id-E-RABs-ToBeReleased-RelConfItem,
    id-E-RABs-SubjectToCounterCheck-List,
    id-E-RABs-SubjectToCounterCheckItem,
    id-CoMPInformation,
    id-ReportingPeriodicityRSRPMR,
    id-RSRPMRList,
    id-UE-RLF-Report-Container-for-extended-bands,
    id-ProSeAuthorized.
    maxCellineNB,
    maxnoofBearers,
   maxnoofPDCP-SN,
   maxFailedMeasObjects,
   maxnoofCellIDforMDT,
    maxnoofTAforMDT
FROM X2AP-Constants;
  ********************
```

```
-- HANDOVER REQUEST
HandoverRequest ::= SEQUENCE {
                                                {{HandoverRequest-IEs}},
    protocolIEs
                        ProtocolIE-Container
    . . .
HandoverRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-Old-eNB-UE-X2AP-ID
                                            CRITICALITY reject TYPE UE-X2AP-ID
                                                                                                     PRESENCE mandatory }
     ID id-Cause
                                            CRITICALITY ignore TYPE Cause
                                                                                                     PRESENCE mandatory }
     ID id-TargetCell-ID
                                                                                                     PRESENCE mandatory
                                            CRITICALITY reject TYPE ECGI
     ID id-GUMMEI-ID
                                            CRITICALITY reject TYPE GUMMEI
                                                                                                     PRESENCE mandatory }
     ID id-UE-ContextInformation
                                            CRITICALITY reject TYPE UE-ContextInformation
                                                                                                     PRESENCE mandatory }
     ID id-UE-HistoryInformation
                                            CRITICALITY ignore TYPE UE-HistoryInformation
                                                                                                     PRESENCE mandatory }
     ID id-TraceActivation
                                            CRITICALITY ignore TYPE TraceActivation
                                                                                                     PRESENCE optional}
     ID id-SRVCCOperationPossible
                                            CRITICALITY ignore TYPE SRVCCOperationPossible
                                                                                                     PRESENCE optional }
                                                                                                     PRESENCE optional}
     ID id-CSGMembershipStatus
                                            CRITICALITY reject TYPE CSGMembershipStatus
                                            CRITICALITY ignore TYPE MobilityInformation
                                                                                                     PRESENCE optional}
     ID id-MobilityInformation
     ID id-Masked-IMEISV
                                            CRITICALITY ignore TYPE Masked-IMEISV
                                                                                                     PRESENCE optional }
     ID id-UE-HistoryInformationFromTheUE CRITICALITY ignore TYPE UE-HistoryInformationFromTheUE PRESENCE optional
     ID id-ExpectedUEBehaviour
                                            CRITICALITY ignore TYPE ExpectedUEBehaviour
                                                                                                     PRESENCE optional }
                                                                                                     PRESENCE optional }.
     ID id-ProSeAuthorized
                                            CRITICALITY ignore TYPE ProSeAuthorized
UE-ContextInformation ::= SEQUENCE {
    mME-UE-S1AP-ID
                                        UE-S1AP-ID,
    uESecurityCapabilities
                                        UESecurityCapabilities,
    aS-SecurityInformation
                                        AS-SecurityInformation,
    uEaggregateMaximumBitRate
                                        UEAggregateMaximumBitRate,
    subscriberProfileIDforRFP
                                        SubscriberProfileIDforRFP
                                                                        OPTIONAL,
    e-RABs-ToBeSetup-List
                                        E-RABs-ToBeSetup-List,
    rRC-Context
                                        RRC-Context,
    handoverRestrictionList
                                        HandoverRestrictionList
                                                                    OPTIONAL,
    locationReportingInformation
                                        LocationReportingInformation
                                                                        OPTIONAL,
                                        ProtocolExtensionContainer { {UE-ContextInformation-ExtIEs} } OPTIONAL,
    iE-Extensions
UE-ContextInformation-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
 ID id-ManagementBasedMDTallowed CRITICALITY ignore EXTENSION ManagementBasedMDTallowed
                                                                                                PRESENCE optional }
{ ID id-ManagementBasedMDTPLMNList CRITICALITY ignore EXTENSION MDTPLMNList
                                                                                                 PRESENCE optional },
    . . .
E-RABs-ToBeSetup-List ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeSetup-ItemIEs} }
E-RABs-ToBeSetup-ItemIEs
                           X2AP-PROTOCOL-IES ::= {
    { ID id-E-RABs-ToBeSetup-Item
                                     CRITICALITY ignore
                                                            TYPE E-RABs-ToBeSetup-Item PRESENCE mandatory },
    . . .
```

```
E-RABs-ToBeSetup-Item ::= SEQUENCE {
   e-RAB-ID
                             E-RAB-ID.
   e-RAB-Level-OoS-Parameters
                                 E-RAB-Level-OoS-Parameters,
   dL-Forwarding
                                 DL-Forwarding
                                                                                           OPTIONAL.
   uL-GTPtunnelEndpoint
                                 GTPtunnelEndpoint,
                                 ProtocolExtensionContainer { {E-RABs-ToBeSetup-ItemExtIEs} } OPTIONAL,
   iE-Extensions
   . . .
E-RABs-ToBeSetup-ItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
MobilityInformation ::= BIT STRING (SIZE(32))
-- HANDOVER REQUEST ACKNOWLEDGE
__ ********************************
HandoverRequestAcknowledge ::= SEQUENCE {
                  ProtocolIE-Container
   protocolIEs
                                         {{HandoverRequestAcknowledge-IEs}},
   . . .
HandoverRequestAcknowledge-IES X2AP-PROTOCOL-IES ::= {
     ID id-Old-eNB-UE-X2AP-ID
                                                    CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                                      PRESENCE mandatory }
     ID id-New-eNB-UE-X2AP-ID
                                                    CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                                      PRESENCE mandatory
     ID id-E-RABs-Admitted-List
                                                    CRITICALITY ignore TYPE E-RABs-Admitted-List
                                                                                                                      PRESENCE mandatory
     ID id-E-RABs-NotAdmitted-List
                                                    CRITICALITY ignore TYPE E-RAB-List
                                                                                                                      PRESENCE optional}
     ID id-TargeteNBtoSource-eNBTransparentContainer CRITICALITY ignore TYPE TargeteNBtoSource-eNBTransparentContainer
                                                                                                                      PRESENCE mandatory }
    { ID id-CriticalityDiagnostics
                                                    CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                                      PRESENCE optional },
   . . .
E-RABs-Admitted-List
                         ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-Admitted-ItemIEs} }
E-RABs-Admitted-ItemIEs X2AP-PROTOCOL-IES ::= {
    E-RABs-Admitted-Item ::= SEQUENCE {
                             E-RAB-ID,
   e-RAB-ID
   uL-GTP-TunnelEndpoint
                                 GTPtunnelEndpoint
                                                                                             OPTIONAL,
   dL-GTP-TunnelEndpoint
                                 GTPtunnelEndpoint
                                                                                             OPTIONAL,
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-Admitted-Item-ExtIEs} }
                                                                                             OPTIONAL,
E-RABs-Admitted-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
   . . .
```

```
-- HANDOVER PREPARATION FAILURE
  ****************
HandoverPreparationFailure ::= SEOUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{HandoverPreparationFailure-IEs}},
HandoverPreparationFailure-IEs X2AP-PROTOCOL-IES ::= {
     ID id-Old-eNB-UE-X2AP-ID
                                   CRITICALITY ignore TYPE UE-X2AP-ID
                                                                               PRESENCE mandatory }
     ID id-Cause
                                   CRITICALITY ignore TYPE Cause
                                                                               PRESENCE mandatory
    ID id-CriticalityDiagnostics
                                   CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional },
-- Handover Report
HandoverReport ::= SEQUENCE {
                                       {{HandoverReport-IEs}},
   protocolIEs
                 ProtocolIE-Container
HandoverReport-IES X2AP-PROTOCOL-IES ::= {
     ID id-HandoverReportType
                                                  CRITICALITY ignore TYPE HandoverReportType
                                                                                                               PRESENCE mandatory }
     ID id-Cause
                                                  CRITICALITY ignore TYPE Cause
                                                                                                                PRESENCE mandatory
     ID id-SourceCellECGI
                                                  CRITICALITY ignore TYPE ECGI
                                                                                                                PRESENCE mandatory
     ID id-FailureCellECGI
                                                  CRITICALITY ignore TYPE ECGI
                                                                                                                PRESENCE mandatory }
     ID id-Re-establishmentCellECGI
                                                  CRITICALITY ignore TYPE ECGI
                                                                                                                PRESENCE conditional } -
- The IE shall be present if the Handover Report Type IE is set to 'HO to Wrong Cell' -- |
   { ID id-TargetCellInUTRAN
                                                                                                                PRESENCE conditional } -
                                                  CRITICALITY ignore TYPE TargetCellInUTRAN
- The IE shall be present if the Handover Report Type IE is set to "InterRAT ping-pong" --
     ID id-SourceCellCRNTI
                                                  CRITICALITY ignore TYPE CRNTI
                                                                                                                PRESENCE optional }
                                                  CRITICALITY ignore TYPE MobilityInformation
                                                                                                                PRESENCE optional }
     ID id-MobilityInformation
     ID id-UE-RLF-Report-Container
                                                  CRITICALITY ignore TYPE UE-RLF-Report-Container
                                                                                                                PRESENCE optional}
    -- SN Status Transfer
SNStatusTransfer ::= SEQUENCE {
                                       {{SNStatusTransfer-IEs}},
                 ProtocolIE-Container
```

```
SNStatusTransfer-IEs X2AP-PROTOCOL-IES ::= {
     ID id-Old-eNB-UE-X2AP-ID
                                              CRITICALITY reject TYPE UE-X2AP-ID
                                                                                            PRESENCE mandatory }
                                              CRITICALITY reject TYPE UE-X2AP-ID
     ID id-New-eNB-UE-X2AP-ID
                                                                                            PRESENCE mandatory }
   { ID id-E-RABs-SubjectToStatusTransfer-List CRITICALITY ignore TYPE E-RABs-SubjectToStatusTransfer-List PRESENCE mandatory},
E-RABs-SubjectToStatusTransfer-List ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { { E-RABs-SubjectToStatusTransfer-
ItemIEs} }
E-RABs-SubjectToStatusTransfer-ItemIEs X2AP-PROTOCOL-IES ::= {
   { ID id-E-RABs-SubjectToStatusTransfer-Item CRITICALITY ignore TYPE E-RABs-SubjectToStatusTransfer-Item PRESENCE mandatory }
E-RABs-SubjectToStatusTransfer-Item ::= SEOUENCE {
   e-RAB-ID
                                       E-RAB-ID,
   receiveStatusofULPDCPSDUs
                                       ReceiveStatusofULPDCPSDUs
                                                                       OPTIONAL,
   uL-COUNTvalue
                               COUNTvalue,
   dL-COUNTvalue
                               COUNTvalue,
   iE-Extensions
                                       ProtocolExtensionContainer { {E-RABs-SubjectToStatusTransfer-ItemExtIEs} } OPTIONAL.
E-RABs-SubjectToStatusTransfer-ItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
     PRESENCE optional }
                                                                                                      PRESENCE optional }
     ID id-ULCOUNTValueExtended
                                          CRITICALITY ignore EXTENSION COUNTValueExtended
     ID id-DLCOUNTValueExtended
                                        CRITICALITY ignore EXTENSION COUNTValueExtended
                                                                                                      PRESENCE optional },
  -- UE Context Release
__ ********************************
UEContextRelease ::= SEQUENCE {
                                       {{UEContextRelease-IEs}},
   protocolIEs
                 ProtocolIE-Container
UEContextRelease-IEs X2AP-PROTOCOL-IES ::= {
     ID id-Old-eNB-UE-X2AP-ID
                                   CRITICALITY reject TYPE UE-X2AP-ID
                                                                              PRESENCE mandatory}
   { ID id-New-eNB-UE-X2AP-ID
                                   CRITICALITY reject TYPE UE-X2AP-ID
                                                                              PRESENCE mandatory } ,
```

```
-- HANDOVER CANCEL
__ *********************
HandoverCancel ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                  {{HandoverCancel-IEs}},
HandoverCancel-IES X2AP-PROTOCOL-IES ::= {
    ID id-Old-eNB-UE-X2AP-ID CRITICALITY reject TYPE UE-X2AP-ID
                                                                    PRESENCE mandatory }
                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                    PRESENCE optional |
    ID id-New-eNB-UE-X2AP-ID
   { ID id-Cause
                              CRITICALITY ignore TYPE Cause
                                                                    PRESENCE mandatory },
   . . .
  -- ERROR INDICATION
  ····
ErrorIndication ::= SEQUENCE {
  protocolIEs
ProtocolIE-Container {{ErrorIndication-IEs}},
ErrorIndication-IES X2AP-PROTOCOL-IES ::= {
    ID id-Old-eNB-UE-X2AP-ID
                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                       PRESENCE optional }
    ID id-New-eNB-UE-X2AP-ID
                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                       PRESENCE optional}
                                                                       PRESENCE optional
    ID id-Cause
                              CRITICALITY ignore TYPE Cause
   { ID id-CriticalityDiagnostics
                              CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                       PRESENCE optional } ,
  *****************
-- Reset Request
  ******************
ResetRequest ::= SEQUENCE {
   protocolIEs ProtocolIE-Container
                                  {{ResetRequest-IEs}},
ResetRequest-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-Cause
                 CRITICALITY ignore TYPE Cause
                                                        PRESENCE mandatory },
  ****************
-- Reset Response
```

```
ResetResponse ::= SEOUENCE {
   protocolIEs
                  ProtocolIE-Container
                                         {{ResetResponse-IEs}},
ResetResponse-IEs X2AP-PROTOCOL-IES ::= {
    { ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                       PRESENCE optional },
-- X2 SETUP REQUEST
X2SetupRequest ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                         {{X2SetupRequest-IEs}},
    . . .
X2SetupRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-GlobalENB-ID
                                     CRITICALITY reject TYPE GlobalENB-ID
                                                                                       PRESENCE mandatory }
     ID id-ServedCells
                                                                                       PRESENCE mandatory}
                                         CRITICALITY reject TYPE ServedCells
     ID id-GUGroupIDList
                                         CRITICALITY reject TYPE GUGroupIDList
                                                                                       PRESENCE optional },
   -- X2 SETUP RESPONSE
X2SetupResponse ::= SEQUENCE {
    protocolIEs
                                         {{X2SetupResponse-IEs}},
                  ProtocolIE-Container
X2SetupResponse-IEs X2AP-PROTOCOL-IES ::= {
     ID id-GlobalENB-ID
                                         CRITICALITY reject TYPE GlobalENB-ID
                                                                                          PRESENCE mandatory }
     ID id-ServedCells
                                         CRITICALITY reject TYPE ServedCells
                                                                                          PRESENCE mandatory }
     ID id-GUGroupIDList
                                         CRITICALITY reject TYPE GUGroupIDList
                                                                                          PRESENCE optional}
     ID id-CriticalityDiagnostics
                                         CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                          PRESENCE optional },
```

```
__ *********************
-- X2 SETUP FAILURE
__ **********************
X2SetupFailure ::= SEOUENCE {
                                    {{X2SetupFailure-IEs}},
   protocolIEs
                ProtocolIE-Container
X2SetupFailure-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-Cause
                             CRITICALITY ignore
                                                  TYPE Cause
                                                                                 PRESENCE mandatory}
    ID id-TimeToWait
                           CRITICALITY ignore
                                                 TYPE TimeToWait
                                                                                 PRESENCE optional |
   { ID id-CriticalityDiagnostics CRITICALITY ignore
                                                  TYPE CriticalityDiagnostics
                                                                                 PRESENCE optional },
__ **********************
-- LOAD INFORMATION
  ****************
LoadInformation ::= SEQUENCE {
                                    {{LoadInformation-IEs}},
   protocolIEs
                ProtocolIE-Container
LoadInformation-IEs X2AP-PROTOCOL-IES ::=
   { ID id-CellInformation
                                 CRITICALITY ignore TYPE CellInformation-List
                                                                            PRESENCE mandatory } ,
   . . .
CellInformation-List ::= SEOUENCE (SIZE (1..maxCellineNB)) OF ProtocolIE-Single-Container { {CellInformation-ItemIEs} }
CellInformation-ItemIEs X2AP-PROTOCOL-IES ::= {
   CellInformation-Item ::= SEQUENCE {
   cell-ID
                              ECGI,
   ul-InterferenceOverloadIndication
                                    UL-InterferenceOverloadIndication
                                                                                         OPTIONAL,
   ul-HighInterferenceIndicationInfo
                                    UL-HighInterferenceIndicationInfo
                                                                                         OPTIONAL,
   relativeNarrowbandTxPower
                                    RelativeNarrowbandTxPower
                                                                                         OPTIONAL,
   iE-Extensions
                                    ProtocolExtensionContainer { {CellInformation-Item-ExtIEs} } OPTIONAL,
CellInformation-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::=
{ ID id-ABSInformation
                                                                                           PRESENCE optional } |
                                    CRITICALITY ignore EXTENSION ABSInformation
```

```
{ ID id-InvokeIndication
                                      CRITICALITY ignore EXTENSION InvokeIndication
                                                                                                PRESENCE optional }
 ID id-IntendedULDLConfiguration
                                      CRITICALITY ignore EXTENSION SubframeAssignment
                                                                                                PRESENCE optional
 ID id-ExtendedULInterferenceOverloadInfo CRITICALITY ignore EXTENSION ExtendedULInterferenceOverloadInfo PRESENCE optional
 ID id-CoMPInformation
                                      CRITICALITY ignore EXTENSION Complnformation
                                                                                                PRESENCE optional }
 ID id-DynamicDLTransmissionInformation
                                      CRITICALITY ignore EXTENSION DynamicDLTransmissionInformation
                                                                                                PRESENCE optional },
-- ENB CONFIGURATION UPDATE
__ ********************
ENBConfigurationUpdate ::= SEQUENCE
   protocolIEs
                 ProtocolIE-Container
                                      {{ENBConfigurationUpdate-IEs}},
   . . .
ENBConfigurationUpdate-IEs X2AP-PROTOCOL-IES ::= {
     ID id-ServedCellsToAdd
                               CRITICALITY reject TYPE ServedCells
                                                                             PRESENCE optional}
     ID id-ServedCellsToModify CRITICALITY reject TYPE ServedCellsToModify
                                                                             PRESENCE optional
     PRESENCE optional
     ID id-GUGroupIDToAddList
                                                                             PRESENCE optional }
                               CRITICALITY reject TYPE GUGroupIDList
                                                                             PRESENCE optional },
    ServedCellsToModify::= SEOUENCE (SIZE (1..maxCellineNB)) OF ServedCellsToModify-Item
ServedCellsToModify-Item::= SEQUENCE {
   old-ecgi
                               ECGI,
   servedCellInfo
                               ServedCell-Information,
                               Neighbour-Information
   neighbour-Info
                                                            OPTIONAL,
                               ProtocolExtensionContainer { { ServedCellsToModify-Item-ExtIEs} } OPTIONAL.
   iE-Extensions
ServedCellsToModify-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
{ ID id-DeactivationIndication
                                   CRITICALITY ignore EXTENSION DeactivationIndication
                                                                                           PRESENCE optional },
   . . .
Old-ECGIS::= SEOUENCE (SIZE (1..maxCellineNB)) OF ECGI
  ******************
-- ENB CONFIGURATION UPDATE ACKNOWLEDGE
ENBConfigurationUpdateAcknowledge ::= SEQUENCE {
   protocolIEs
                                      {{ENBConfigurationUpdateAcknowledge-IEs}},
                 ProtocolIE-Container
```

```
ENBConfigurationUpdateAcknowledge-IEs X2AP-PROTOCOL-IES ::= {
    { ID id-CriticalityDiagnostics
                                    CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                        PRESENCE optional },
-- ENB CONFIGURATION UPDATE FAIURE
__ ********************
ENBConfigurationUpdateFailure ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                        {{ENBConfigurationUpdateFailure-IEs}},
   . . .
ENBConfigurationUpdateFailure-IES X2AP-PROTOCOL-IES ::= {
     ID id-Cause
                                    CRITICALITY ignore TYPE Cause
                                                                                    PRESENCE mandatory}
     ID id-TimeToWait
                                    CRITICALITY ignore TYPE TimeToWait
                                                                                    PRESENCE optional } |
    ID id-CriticalityDiagnostics
                                    CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                    PRESENCE optional },
  ****************
-- Resource Status Request
  ResourceStatusRequest ::= SEQUENCE {
   protocolIEs
                  ProtocolIE-Container
                                        {{ResourceStatusRequest-IEs}},
ResourceStatusRequest-IEs X2AP-PROTOCOL-IES ::= {
                                    CRITICALITY reject TYPE Measurement-ID
     ID id-ENB1-Measurement-ID
                                                                                        PRESENCE mandatory}
     ID id-ENB2-Measurement-ID
                                    CRITICALITY ignore TYPE Measurement-ID
                                                                                        PRESENCE conditional \ | -- The IE shall be present if
the Registration Request IE is set to 'Stop'--
     ID id-Registration-Request
                                    CRITICALITY reject TYPE Registration-Request
                                                                                        PRESENCE mandatory}
     ID id-ReportCharacteristics
                                    CRITICALITY reject TYPE ReportCharacteristics
                                                                                        PRESENCE optional }
     ID id-CellToReport
                                    CRITICALITY ignore TYPE CellToReport-List
                                                                                        PRESENCE mandatory }
     ID id-ReportingPeriodicity
                                    CRITICALITY ignore TYPE ReportingPeriodicity
                                                                                        PRESENCE optional }
     ID id-PartialSuccessIndicator
                                    CRITICALITY ignore TYPE PartialSuccessIndicator
                                                                                        PRESENCE optional }
     ID id-ReportingPeriodicityRSRPMR CRITICALITY ignore TYPE ReportingPeriodicityRSRPMR
                                                                                        PRESENCE optional },
                     ::= SEQUENCE (SIZE (1..maxCellineNB)) OF ProtocolIE-Single-Container { {CellToReport-ItemIEs} }
CellToReport-List
```

```
CellToReport-ItemIEs X2AP-PROTOCOL-IES ::= {
   CellToReport-Item ::= SEOUENCE {
   cell-ID
                                    ECGI.
   iE-Extensions
                                    ProtocolExtensionContainer { {CellToReport-Item-ExtIEs} } OPTIONAL,
CellToReport-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ReportingPeriodicity ::= ENUMERATED {
   one-thousand-ms,
   two-thousand-ms,
   five-thousand-ms,
   ten-thousand-ms,
PartialSuccessIndicator ::= ENUMERATED {
   partial-success-allowed,
-- Resource Status Response
__ **********************
ResourceStatusResponse ::= SEQUENCE
   protocolIEs
                ProtocolIE-Container
                                    {{ResourceStatusResponse-IEs}},
   . . .
ResourceStatusResponse-IEs X2AP-PROTOCOL-IES ::= {
                                       CRITICALITY reject TYPE Measurement-ID
    ID id-ENB1-Measurement-ID
                                                                                        PRESENCE mandatory
    ID id-ENB2-Measurement-ID
                                                                                        PRESENCE mandatory}
                                       CRITICALITY reject TYPE Measurement-ID
    ID id-CriticalityDiagnostics
                                                                                        PRESENCE optional }
                                       CRITICALITY ignore TYPE CriticalityDiagnostics
    ID id-MeasurementInitiationResult-List CRITICALITY ignore TYPE MeasurementInitiationResult-List PRESENCE optional },
MeasurementInitiationResult-List ::= SEQUENCE (SIZE (1..maxCellineNB)) OF ProtocolIE-Single-Container { { MeasurementInitiationResult-ItemIEs} }
MeasurementInitiationResult-ItemIEs X2AP-PROTOCOL-IES ::= {
```

```
MeasurementInitiationResult-Item ::= SEQUENCE {
   cell-ID
   measurementFailureCause-List
                                              MeasurementFailureCause-List
                                                                           OPTIONAL.
   iE-Extensions
                                              ProtocolExtensionContainer { { MeasurementInitiationResult-Item-ExtIEs} } OPTIONAL,
MeasurementInitiationResult-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
MeasurementFailureCause-List ::= SEQUENCE (SIZE (1..maxFailedMeasObjects)) OF ProtocolIE-Single-Container { { MeasurementFailureCause-ItemIEs} }
MeasurementFailureCause-ItemIEs X2AP-PROTOCOL-IES ::= {
    { ID id-MeasurementFailureCause-Item
                                       CRITICALITY ignore TYPE MeasurementFailureCause-Item PRESENCE mandatory}
MeasurementFailureCause-Item ::= SEQUENCE {
   measurementFailedReportCharacteristics
                                              ReportCharacteristics,
   cause
                                              Cause,
                                              ProtocolExtensionContainer { { MeasurementFailureCause-Item-ExtIEs} } OPTIONAL,
   iE-Extensions
MeasurementFailureCause-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
     ******************
-- Resource Status Failure
__ **********************
ResourceStatusFailure ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{ResourceStatusFailure-IEs}},
ResourceStatusFailure-IEs X2AP-PROTOCOL-IES ::= {
     ID id-ENB1-Measurement-ID
                                              CRITICALITY reject TYPE Measurement-ID
                                                                                                       PRESENCE mandatory}
     ID id-ENB2-Measurement-ID
                                              CRITICALITY reject TYPE Measurement-ID
                                                                                                       PRESENCE mandatory
     ID id-Cause
                                              CRITICALITY ignore TYPE Cause
                                                                                                       PRESENCE mandatory }
     ID id-CriticalityDiagnostics
                                              CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                       PRESENCE optional }
   { ID id-CompleteFailureCauseInformation-List
                                              CRITICALITY ignore TYPE CompleteFailureCauseInformation-List PRESENCE optional },
CompleteFailureCauseInformation-List ::= SEQUENCE (SIZE (1..maxCellineNB)) OF ProtocolIE-Single-Container { {CompleteFailureCauseInformation-
ItemIEs} }
CompleteFailureCauseInformation-ItemIEs X2AP-PROTOCOL-IES ::= {
```

```
CompleteFailureCauseInformation-Item ::= SEOUENCE {
   cell-ID
                                               ECGI.
   measurementFailureCause-List
                                               MeasurementFailureCause-List,
                                               ProtocolExtensionContainer { { CompleteFailureCauseInformation-Item-ExtIEs} } OPTIONAL,
   iE-Extensions
CompleteFailureCauseInformation-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
  -- Resource Status Update
__ *********************************
ResourceStatusUpdate ::= SEOUENCE {
   protocolIEs
                  ProtocolIE-Container
                                        {{ResourceStatusUpdate-IEs}},
   . . .
ResourceStatusUpdate-IEs X2AP-PROTOCOL-IES ::= {
     ID id-ENB1-Measurement-ID
                                CRITICALITY reject TYPE Measurement-ID
                                                                                   PRESENCE mandatory}
     ID id-ENB2-Measurement-ID
                                CRITICALITY reject TYPE Measurement-ID
                                                                                   PRESENCE mandatory }
   PRESENCE mandatory },
CellMeasurementResult-List ::= SEQUENCE (SIZE (1..maxCellineNB)) OF ProtocolIE-Single-Container { {CellMeasurementResult-ItemIEs} }
CellMeasurementResult-ItemIEs X2AP-PROTOCOL-IES ::= {
   { ID id-CellMeasurementResult-Item CRITICALITY ignore TYPE CellMeasurementResult-Item PRESENCE mandatory}
CellMeasurementResult-Item ::= SEOUENCE {
   cell-ID
   hWLoadIndicator
                             HWLoadIndicator
                                               OPTIONAL,
   s1TNLLoadIndicator
                             S1TNLLoadIndicator OPTIONAL,
   radioResourceStatus
                             RadioResourceStatus OPTIONAL,
   iE-Extensions
                             ProtocolExtensionContainer { {CellMeasurementResult-Item-ExtIEs} }
                                                                                               OPTIONAL,
CellMeasurementResult-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
     ID id-CompositeAvailableCapacityGroup CRITICALITY ignore EXTENSION CompositeAvailableCapacityGroup
                                                                                                   PRESENCE optional }
     ID id-ABS-Status
                                       CRITICALITY ignore EXTENSION ABS-Status
                                                                                                   PRESENCE optional }
    ID id-RSRPMRList
                                       CRITICALITY ignore EXTENSION RSRPMRList
                                                                                                   PRESENCE optional },
```

```
__ *********************
-- PRIVATE MESSAGE
  *****************
PrivateMessage ::= SEQUENCE {
            PrivateIE-Container {{PrivateMessage-IEs}},
   privateIEs
PrivateMessage-IEs X2AP-PRIVATE-IES ::= {
-- MOBILITY CHANGE REQUEST
__ **********************
MobilityChangeRequest ::= SEQUENCE {
               ProtocolIE-Container
                                 {{MobilityChangeRequest-IEs}},
   protocolIEs
   . . .
MobilityChangeRequest-IEs X2AP-PROTOCOL-IES ::= {
    ID id-ENB1-Cell-ID
                                     CRITICALITY reject TYPE ECGI
                                                                                      PRESENCE mandatory
    ID id-ENB2-Cell-ID
                                                                                      PRESENCE mandatory}
                                     CRITICALITY reject TYPE ECGI
                                    CRITICALITY ignore TYPE MobilityParametersInformation
                                                                                      PRESENCE optional } |
    ID id-ENB1-Mobility-Parameters
    PRESENCE mandatory}
   { ID id-Cause
                                     CRITICALITY reject TYPE Cause
                                                                                      PRESENCE mandatory },
   . . .
  ******************
-- MOBILITY CHANGE ACKNOWLEDGE
  MobilityChangeAcknowledge ::= SEQUENCE {
   protocolIEs
             ProtocolIE-Container
                                 {{MobilityChangeAcknowledge-IEs}},
MobilityChangeAcknowledge-IEs X2AP-PROTOCOL-IES ::= {
    ID id-ENB1-Cell-ID
                    CRITICALITY reject TYPE ECGI
                                                                       PRESENCE mandatory }
                    CRITICALITY reject TYPE ECGI
    ID id-ENB2-Cell-ID
                                                                       PRESENCE mandatory }
   { ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                      PRESENCE optional },
```

```
-- MOBILITY CHANGE FAILURE
  *****************
MobilityChangeFailure ::= SEQUENCE {
                                     {{MobilityChangeFailure-IEs}},
   protocolIEs
               ProtocolIE-Container
MobilityChangeFailure-IEs X2AP-PROTOCOL-IES ::= {
    ID id-ENB1-Cell-ID
                                                   CRITICALITY ignore TYPE ECGI
                                                                                                          PRESENCE mandatory}
     ID id-ENB2-Cell-ID
                                                   CRITICALITY ignore TYPE ECGI
                                                                                                          PRESENCE mandatory
     ID id-Cause
                                                   CRITICALITY ignore TYPE Cause
                                                                                                          PRESENCE mandatory}
    ID id-ENB2-Mobility-Parameters-Modification-Range
                                                   CRITICALITY ignore TYPE MobilityParametersModificationRange
                                                                                                          PRESENCE optional }
    ID id-CriticalityDiagnostics
                                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                          PRESENCE optional },
  -- Radio Link Failure Indication
__ *********************
RLFIndication ::= SEOUENCE {
                                     {{RLFIndication-IEs}},
   protocolIEs
                 ProtocolIE-Container
RLFIndication-IEs X2AP-PROTOCOL-IES ::= {
     ID id-FailureCellPCI
                                               CRITICALITY ignore TYPE PCI
                                                                                                            PRESENCE mandatory }
    ID id-Re-establishmentCellECGI
                                               CRITICALITY ignore TYPE ECGI
                                                                                                            PRESENCE mandatory
     ID id-FailureCellCRNTI
                                               CRITICALITY ignore TYPE CRNTI
                                                                                                            PRESENCE mandatory
    ID id-ShortMAC-I
                                               CRITICALITY ignore TYPE ShortMAC-I
                                                                                                            PRESENCE optional}
    ID id-UE-RLF-Report-Container
                                               CRITICALITY ignore TYPE UE-RLF-Report-Container
                                                                                                            PRESENCE optional}
     ID id-RRCConnSetupIndicator
                                               CRITICALITY reject TYPE RRCConnSetupIndicator
                                                                                                            PRESENCE optional}
    ID id-RRCConnReestabIndicator
                                               CRITICALITY ignore TYPE RRCConnReestabIndicator
                                                                                                            PRESENCE optional }
   PRESENCE optional },
-- Cell Activation Request
CellActivationRequest ::= SEQUENCE {
              ProtocolIE-Container
                                     {{CellActivationRequest-IEs}},
```

```
CellActivationRequest-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-ServedCellsToActivate CRITICALITY reject TYPE ServedCellsToActivate
                                                                              PRESENCE mandatory },
ServedCellsToActivate::= SEOUENCE (SIZE (1..maxCellineNB)) OF ServedCellsToActivate-Item
ServedCellsToActivate-Item::= SEQUENCE {
   ecai
   iE-Extensions
                                ProtocolExtensionContainer { { ServedCellsToActivate-Item-ExtIEs} } OPTIONAL,
   . . .
ServedCellsToActivate-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
  ******************
-- Cell Activation Response
  *****************
CellActivationResponse ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{CellActivationResponse-IEs}},
CellActivationResponse-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-ActivatedCellList
                               CRITICALITY ignore TYPE ActivatedCellList
                                                                                  PRESENCE mandatory}
   { ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                  PRESENCE optional },
   . . .
ActivatedCellList ::= SEQUENCE (SIZE (1..maxCellineNB)) OF ActivatedCellList-Item
ActivatedCellList-Item::= SEOUENCE {
   ecgi
   iE-Extensions
                                   ProtocolExtensionContainer { { ActivatedCellList-Item-ExtIEs} } OPTIONAL,
ActivatedCellList-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
__*********************
-- CELL ACTIVATION FAILURE
__ ********************************
CellActivationFailure ::= SEQUENCE {
```

```
{{CellActivationFailure-IEs}},
   protocolIEs
                 ProtocolIE-Container
CellActivationFailure-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-Cause
                                  CRITICALITY ignore TYPE Cause
                                                                                PRESENCE mandatory } |
   ID id-CriticalityDiagnostics
                                  CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                PRESENCE optional },
  *****************
-- X2 RELEASE
__ ********************
X2Release ::= SEQUENCE {
                                      {{X2Release-IEs}},
   protocolIEs
                 ProtocolIE-Container
   . . .
X2Release-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-GlobalENB-ID
                                                                         PRESENCE mandatory },
                               CRITICALITY reject TYPE GlobalENB-ID
__ ********************
-- X2AP Message Transfer
__ **********************
X2APMessageTransfer ::= SEQUENCE {
                                    {{X2APMessageTransfer-IEs}},
   protocolIEs
               ProtocolIE-Container
X2APMessageTransfer-IEs X2AP-PROTOCOL-IES ::= {
     ID id-RNL-Header CRITICALITY reject TYPE RNL-Header
                                                               PRESENCE mandatory}
   { ID id-x2APMessage CRITICALITY reject TYPE X2AP-Message
                                                               PRESENCE optional },
   . . .
RNL-Header ::= SEQUENCE {
   source-GlobalENB-ID GlobalENB-ID,
   target-GlobalENB-ID GlobalENB-ID
                                  OPTIONAL,
   iE-Extensions
                                   ProtocolExtensionContainer { { RNL-Header-Item-ExtIEs} } OPTIONAL,
RNL-Header-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
   . . .
```

```
X2AP-Message ::= OCTET STRING
__ ********************
-- SENB ADDITION REQUEST
  ********************
SeNBAdditionRequest ::= SEQUENCE {
                                      {{SeNBAdditionRequest-IEs}},
   protocolIEs
               ProtocolIE-Container
SeNBAdditionRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                                                        PRESENCE mandatory } |
                                      CRITICALITY reject TYPE UE-X2AP-ID
   { ID id-UE-SecurityCapabilities
                                      CRITICALITY reject TYPE UESecurityCapabilities
                                                                                        PRESENCE conditional |
   -- This IE shall be present if the Bearer Option IE is set to the value 'SCG bearer' --
   { ID id-SeNBSecurityKey
                                      CRITICALITY reject TYPE SeNBSecurityKey
                                                                                        PRESENCE conditional |
   -- This IE shall be present if the Bearer Option IE is set to the value 'SCG bearer' --
   PRESENCE mandatory } |
     ID id-ServingPLMN
                                      CRITICALITY ignore TYPE PLMN-Identity
                                                                                        PRESENCE optional } |
                                                                                        PRESENCE mandatory } |
    ID id-E-RABs-ToBeAdded-List
                                      CRITICALITY reject TYPE E-RABs-ToBeAdded-List
                                                                                        PRESENCE mandatory },
   { ID id-MeNBtoSeNBContainer
                                      CRITICALITY reject TYPE MeNBtoSeNBContainer
   . . .
E-RABs-ToBeAdded-List ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { { E-RABs-ToBeAdded-ItemIEs} }
E-RABs-ToBeAdded-ItemIEs X2AP-PROTOCOL-IES ::= {
   PRESENCE mandatory },
   . . .
E-RABs-ToBeAdded-Item ::= CHOICE {
   sCG-Bearer
              E-RABs-ToBeAdded-Item-SCG-Bearer,
   split-Bearer E-RABs-ToBeAdded-Item-Split-Bearer,
E-RABs-ToBeAdded-Item-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                               E-RAB-ID,
   e-RAB-Level-OoS-Parameters
                               E-RAB-Level-OoS-Parameters,
   dL-Forwarding
                               DL-Forwarding
                                                                                                OPTIONAL,
   s1-UL-GTPtunnelEndpoint
                               GTPtunnelEndpoint,
                               ProtocolExtensionContainer { {E-RABs-ToBeAdded-Item-SCG-BearerExtIEs} }
                                                                                                OPTIONAL,
   iE-Extensions
E-RABs-ToBeAdded-Item-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeAdded-Item-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                               E-RAB-ID,
```

```
e-RAB-Level-QoS-Parameters
                                 E-RAB-Level-QoS-Parameters,
   meNB-GTPtunnelEndpoint
                                 GTPtunnelEndpoint,
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-ToBeAdded-Item-Split-BearerExtIEs} } OPTIONAL,
E-RABs-ToBeAdded-Item-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
                 SENB ADDITION REQUEST ACKNOWLEDGE
  *****************
SeNBAdditionRequestAcknowledge ::= SEOUENCE
   protocolIEs
                  ProtocolIE-Container
                                        {{SeNBAdditionRequestAcknowledge-IEs}},
   . . .
SeNBAdditionRequestAcknowledge-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                            CRITICALITY reject TYPE UE-X2AP-ID
                                                                                               PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                            CRITICALITY reject TYPE UE-X2AP-ID
                                                                                               PRESENCE mandatory }
     ID id-E-RABs-Admitted-ToBeAdded-List
                                            CRITICALITY ignore TYPE E-RABs-Admitted-ToBeAdded-List PRESENCE mandatory}
     ID id-E-RABs-NotAdmitted-List
                                            CRITICALITY ignore TYPE E-RAB-List
                                                                                               PRESENCE optional }
     ID id-SeNBtoMeNBContainer
                                                                                               PRESENCE mandatory |
                                            CRITICALITY reject TYPE SenBtoMenBContainer
    ID id-CriticalityDiagnostics
                                            CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                               PRESENCE optional },
E-RABs-Admitted-ToBeAdded-List ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-Admitted-ToBeAdded-ItemIEs} }
E-RABs-Admitted-ToBeAdded-ItemIEs X2AP-PROTOCOL-IES ::= {
    PRESENCE mandatory}
E-RABs-Admitted-ToBeAdded-Item ::= CHOICE
                  E-RABs-Admitted-ToBeAdded-Item-SCG-Bearer,
   sCG-Bearer
   split-Bearer
                  E-RABs-Admitted-ToBeAdded-Item-Split-Bearer,
   . . .
E-RABs-Admitted-ToBeAdded-Item-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                        E-RAB-ID,
   s1-DL-GTPtunnelEndpoint
                                        GTPtunnelEndpoint,
   dL-Forwarding-GTPtunnelEndpoint
                                        GTPtunnelEndpoint
                                                                                                            OPTIONAL,
   uL-Forwarding-GTPtunnelEndpoint
                                        GTPtunnelEndpoint
                                                                                                             OPTIONAL,
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-Item-SCG-BearerExtIEs} }
                                                                                                            OPTIONAL.
E-RABs-Admitted-ToBeAdded-Item-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
```

```
E-RABs-Admitted-ToBeAdded-Item-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                                E-RAB-ID,
   seNB-GTPtunnelEndpoint
                                GTPtunnelEndpoint,
                                ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-Item-Split-BearerExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-Admitted-ToBeAdded-Item-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
  -- SENB ADDITION REQUEST REJECT
__ ********************
SeNBAdditionRequestReject ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{SeNBAdditionRequestReject-IEs}},
   . . .
SeNBAdditionRequestReject-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                       CRITICALITY reject TYPE UE-X2AP-ID
                                                                                      PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                                                                      PRESENCE mandatory }
                                       CRITICALITY reject TYPE UE-X2AP-ID
     ID id-Cause
                                       CRITICALITY ignore TYPE Cause
                                                                                      PRESENCE mandatory}
   { ID id-CriticalityDiagnostics
                                       CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                      PRESENCE optional },
  *****************
-- SENB RECONFIGURATION COMPLETE
  *****************
SenbreconfigurationComplete ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{SeNBReconfigurationComplete-IEs}},
   . . .
SeNBReconfigurationComplete-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                              CRITICALITY reject TYPE UE-X2AP-ID
                                                                                                    PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                              CRITICALITY reject TYPE UE-X2AP-ID
                                                                                                    PRESENCE mandatory}
    { ID id-ResponseInformationSeNBReconfComp
                                              CRITICALITY ignore TYPE ResponseInformationSeNBReconfComp PRESENCE mandatory },
ResponseInformationSeNBReconfComp ::= CHOICE {
                     ResponseInformationSeNBReconfComp-SuccessItem,
                     ResponseInformationSeNBReconfComp-RejectByMeNBItem,
   reject-by-MeNB
   . . .
```

```
ResponseInformationSeNBReconfComp-SuccessItem ::= SEQUENCE
   meNBtoSeNBContainer
                                  MeNBtoSeNBContainer OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { ResponseInformationSeNBReconfComp-SuccessItemExtIEs} } OPTIONAL,
ResponseInformationSeNBReconfComp-SuccessItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ResponseInformationSeNBReconfComp-RejectByMeNBItem ::= SEQUENCE {
   cause
                                  Cause,
   meNBtoSeNBContainer
                                  MeNBtoSeNBContainer
                                                                                                                          OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { ResponseInformationSeNBReconfComp-RejectByMeNBItemExtIEs} } OPTIONAL,
    . . .
ResponseInformationSeNBReconfComp-RejectByMeNBItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
  SENB MODIFICATION REQUEST
              ****************
SenbModificationRequest ::= SEQUENCE {
                                          {{ SeNBModificationRequest-IEs}},
   protocolIEs
                  ProtocolIE-Container
SeNBModificationRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                      CRITICALITY reject TYPE UE-X2AP-ID
                                                                                        PRESENCE mandatory}
                                      CRITICALITY reject TYPE UE-X2AP-ID
     ID id-SeNB-UE-X2AP-ID
                                                                                        PRESENCE mandatory}
     ID id-Cause
                                              CRITICALITY ignore TYPE Cause
                                                                                                      PRESENCE mandatory}
     ID id-SCGChangeIndication
                                                                                                      PRESENCE optional }
                                              CRITICALITY ignore TYPE SCGChangeIndication
     ID id-ServingPLMN
                                              CRITICALITY ignore TYPE PLMN-Identity
                                                                                                      PRESENCE optional }
     ID id-UE-ContextInformationSeNBModReq
                                              CRITICALITY reject TYPE UE-ContextInformationSeNBModReq PRESENCE optional }
     ID id-MeNBtoSeNBContainer
                                              CRITICALITY ignore TYPE MeNBtoSeNBContainer
                                                                                                      PRESENCE optional }
UE-ContextInformationSeNBModReq ::= SEQUENCE {
   uE-SecurityCapabilities
                                  UESecurityCapabilities
                                                                                                         OPTIONAL.
    seNB-SecurityKey
                                  SeNBSecurityKey
                                                                                                         OPTIONAL,
    OPTIONAL,
    e-RABs-ToBeAdded
                                  E-RABs-ToBeAdded-List-ModReq
                                                                                                         OPTIONAL,
    e-RABs-ToBeModified
                                  E-RABs-ToBeModified-List-ModReg
                                                                                                         OPTIONAL,
    e-RABs-ToBeReleased
                                  E-RABs-ToBeReleased-List-ModReg
                                                                                                         OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { { UE-ContextInformationSeNBModReqExtIEs} }
                                                                                                         OPTIONAL,
    . . .
```

```
UE-ContextInformationSeNBModRegExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeAdded-List-ModReg ::= SEOUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeAdded-ModRegItemIEs} }
E-RABs-ToBeAdded-ModRegItemIEs X2AP-PROTOCOL-IES ::= {
   { ID id-E-RABs-ToBeAdded-ModReqItem CRITICALITY ignore TYPE E-RABs-ToBeAdded-ModReqItem
                                                                                          PRESENCE mandatory },
E-RABs-ToBeAdded-ModRegItem ::= CHOICE {
                  E-RABs-ToBeAdded-ModRegItem-SCG-Bearer,
   sCG-Bearer
   split-Bearer
                E-RABs-ToBeAdded-ModRegItem-Split-Bearer,
E-RABs-ToBeAdded-ModReqItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                 E-RAB-ID,
   e-RAB-Level-QoS-Parameters
                                 E-RAB-Level-QoS-Parameters,
   dL-Forwarding
                                 DL-Forwarding
                                                                                                      OPTIONAL.
   s1-UL-GTPtunnelEndpoint
                                 GTPtunnelEndpoint,
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-ToBeAdded-ModRegItem-SCG-BearerExtIEs} } OPTIONAL,
E-RABs-ToBeAdded-ModRegItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeAdded-ModReqItem-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                                 E-RAB-ID,
   e-RAB-Level-OoS-Parameters
                                 E-RAB-Level-OoS-Parameters,
   meNB-GTPtunnelEndpoint
                                 GTPtunnelEndpoint,
   iE-Extensions
                  ProtocolExtensionContainer { {E-RABs-ToBeAdded-ModRegItem-Split-BearerExtIEs} } OPTIONAL,
E-RABs-ToBeAdded-ModReqItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeModified-List-ModReg ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeModified-ModRegItemIEs} }
E-RABs-ToBeModified-ModReqItemIEs X2AP-PROTOCOL-IES ::= {
   PRESENCE mandatory },
E-RABs-ToBeModified-ModReqItem ::= CHOICE {
   sCG-Bearer
                  E-RABs-ToBeModified-ModRegItem-SCG-Bearer,
```

```
E-RABs-ToBeModified-ModRegItem-Split-Bearer,
    split-Bearer
E-RABs-ToBeModified-ModRegItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                  E-RAB-ID,
   e-RAB-Level-OoS-Parameters
                                  E-RAB-Level-OoS-Parameters
                                                                                                        OPTIONAL,
   s1-UL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                        OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { {E-RABs-ToBeModified-ModReqItem-SCG-BearerExtIEs} } OPTIONAL,
E-RABs-ToBeModified-ModReqItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeModified-ModRegItem-Split-Bearer ::= SEOUENCE {
   e-RAB-ID
                                  E-RAB-ID,
    e-RAB-Level-OoS-Parameters
                                  E-RAB-Level-OoS-Parameters
                                                                                                              OPTIONAL,
   meNB-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                              OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { {E-RABs-ToBeModified-ModRegItem-Split-BearerExtIEs} } OPTIONAL,
    . . .
E-RABs-ToBeModified-ModReqItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeReleased-List-ModReg ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeReleased-ModRegItemIEs} }
E-RABs-ToBeReleased-ModRegItemIEs X2AP-PROTOCOL-IES ::= {
    PRESENCE mandatory },
   . . .
E-RABs-ToBeReleased-ModRegItem ::= CHOICE {
   sCG-Bearer
                  E-RABs-ToBeReleased-ModRegItem-SCG-Bearer,
   split-Bearer E-RABs-ToBeReleased-ModRegItem-Split-Bearer,
E-RABs-ToBeReleased-ModReqItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                  E-RAB-ID,
   dL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                        OPTIONAL,
                                  GTPtunnelEndpoint
   uL-GTPtunnelEndpoint
                                                                                                        OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { {E-RABs-ToBeReleased-ModReqItem-SCG-BearerExtIEs} } OPTIONAL,
    . . .
E-RABs-ToBeReleased-ModReqItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeReleased-ModReqItem-Split-Bearer ::= SEQUENCE {
```

```
e-RAB-ID
                                 E-RAB-ID,
   dL-GTPtunnelEndpoint
                                 GTPtunnelEndpoint
                                                                                                     OPTIONAL.
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-ToBeReleased-ModReqItem-Split-BearerExtIEs} } OPTIONAL,
E-RABs-ToBeReleased-ModRegItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
            ************
  SENB MODIFICATION REQUEST ACKNOWLEDGE
  *****************
SenbModificationRequestAcknowledge ::= SEOUENCE {
                  ProtocolIE-Container
                                        {{SeNBModificationRequestAcknowledge-IEs}},
   protocolIEs
   . . .
SeNBModificationRequestAcknowledge-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                   CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                                PRESENCE mandatory
     ID id-SeNB-UE-X2AP-ID
                                                   CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                                PRESENCE mandatory}
     ID id-E-RABs-Admitted-ToBeAdded-ModAckList
                                                   CRITICALITY ignore TYPE E-RABs-Admitted-ToBeAdded-ModAckList
                                                                                                                PRESENCE optional }
     ID id-E-RABs-Admitted-ToBeModified-ModAckList
                                                   CRITICALITY ignore TYPE E-RABs-Admitted-ToBeModified-ModAckList PRESENCE optional}
     ID id-E-RABs-Admitted-ToBeReleased-ModAckList
                                                   CRITICALITY ignore TYPE E-RABs-Admitted-ToBeReleased-ModAckList PRESENCE optional}
     ID id-E-RABs-NotAdmitted-List
                                                   CRITICALITY ignore TYPE E-RAB-List
                                                                                                                PRESENCE optional }
     ID id-SeNBtoMeNBContainer
                                                   CRITICALITY ignore TYPE SenBtoMenBContainer
                                                                                                                PRESENCE optional |
    { ID id-CriticalityDiagnostics
                                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                                PRESENCE optional },
   . . .
E-RABs-Admitted-ToBeAdded-ModAckList ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-Admitted-ToBeAdded-
ModAckItemIEs} }
E-RABs-Admitted-ToBeAdded-ModAckItemIEs X2AP-PROTOCOL-IES ::= {
    PRESENCE mandatory}
E-RABs-Admitted-ToBeAdded-ModAckItem ::= CHOICE {
   sCG-Bearer
                  E-RABs-Admitted-ToBeAdded-ModAckItem-SCG-Bearer,
                  E-RABs-Admitted-ToBeAdded-ModAckItem-Split-Bearer,
   split-Bearer
E-RABs-Admitted-ToBeAdded-ModAckItem-SCG-Bearer ::= SEOUENCE {
   e-RAB-ID
                                        E-RAB-ID,
   s1-DL-GTPtunnelEndpoint
                                        GTPtunnelEndpoint,
   dL-Forwarding-GTPtunnelEndpoint
                                        GTPtunnelEndpoint
                                                                                                             OPTIONAL,
   uL-Forwarding-GTPtunnelEndpoint
                                        GTPtunnelEndpoint
                                                                                                             OPTIONAL,
   iE-Extensions
                                 ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-ModAckItem-SCG-BearerExtIEs} } OPTIONAL,
```

```
E-RABs-Admitted-ToBeAdded-ModAckItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-Admitted-ToBeAdded-ModAckItem-Split-Bearer ::= SEQUENCE {
                                    E-RAB-ID.
    e-RAB-ID
    seNB-GTPtunnelEndpoint
                                    GTPtunnelEndpoint,
   iE-Extensions
                                    ProtocolExtensionContainer { {E-RABs-Admitted-ToBeAdded-ModAckItem-Split-BearerExtIEs} } OPTIONAL,
E-RABs-Admitted-ToBeAdded-ModAckItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-Admitted-ToBeModified-ModAckList ::= SEOUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-Admitted-ToBeModified-
ModAckItemIEs } }
E-RABs-Admitted-ToBeModified-ModAckItemIEs X2AP-PROTOCOL-IES ::= {
    { ID id-E-RABs-Admitted-ToBeModified-ModAckItem
                                                      CRITICALITY ignore TYPE E-RABs-Admitted-ToBeModified-ModAckItem PRESENCE mandatory
E-RABs-Admitted-ToBeModified-ModAckItem ::= CHOICE {
    sCG-Bearer E-RABs-Admitted-ToBeModified-ModAckItem-SCG-Bearer.
    split-Bearer E-RABs-Admitted-ToBeModified-ModAckItem-Split-Bearer,
    . . .
E-RABs-Admitted-ToBeModified-ModAckItem-SCG-Bearer ::= SEQUENCE {
    e-RAB-ID
                                    E-RAB-ID,
    s1-DL-GTPtunnelEndpoint
                                    GTPtunnelEndpoint
                                    ProtocolExtensionContainer { {E-RABs-Admitted-ToBeModified-ModAckItem-SCG-BearerExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
E-RABs-Admitted-ToBeModified-ModAckItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-Admitted-ToBeModified-ModAckItem-Split-Bearer ::= SEQUENCE {
    e-RAB-ID
                                    E-RAB-ID,
    seNB-GTPtunnelEndpoint
                                    GTPtunnelEndpoint
                                                                                                                       OPTIONAL,
                                    ProtocolExtensionContainer { {E-RABs-Admitted-ToBeModified-ModAckItem-Split-BearerExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-Admitted-ToBeModified-ModAckItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-Admitted-ToBeReleased-ModAckList ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-Admitted-ToBeReleased-
ModAckItemIEs} }
E-RABs-Admitted-ToBeReleased-ModAckItemIEs X2AP-PROTOCOL-IES ::= {
```

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```
{ ID id-E-RABs-Admitted-ToBeReleased-ModAckItem
                                                  CRITICALITY ignore TYPE E-RABs-Admitted-ToReleased-ModAckItem PRESENCE mandatory
E-RABs-Admitted-ToReleased-ModAckItem ::= CHOICE
                 E-RABs-Admitted-ToBeReleased-ModAckItem-SCG-Bearer.
   split-Bearer E-RABs-Admitted-ToBeReleased-ModAckItem-Split-Bearer,
E-RABs-Admitted-ToBeReleased-ModAckItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                            E-RAB-ID,
   iE-Extensions
                             ProtocolExtensionContainer { {E-RABs-Admitted-ToBeReleased-ModAckItem-SCG-BearerExtIEs} } OPTIONAL,
E-RABs-Admitted-ToBeReleased-ModAckItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-Admitted-ToBeReleased-ModAckItem-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                             E-RAB-ID,
   iE-Extensions
                             ProtocolExtensionContainer { {E-RABs-Admitted-ToBeReleased-ModAckItem-Split-BearerExtIEs} } OPTIONAL,
E-RABs-Admitted-ToBeReleased-ModAckItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    *****************
-- SENB MODIFICATION REQUEST REJECT
__ **********************
SenbModificationRequestReject ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                       {{SeNBModificationRequestReject-IEs}},
   . . .
SeNBModificationRequestReject-IES X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                           CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                         PRESENCE mandatory
     ID id-SeNB-UE-X2AP-ID
                                                                                         PRESENCE mandatory}
                                           CRITICALITY ignore TYPE UE-X2AP-ID
     ID id-Cause
                                                                                         PRESENCE mandatory}
                                           CRITICALITY ignore TYPE Cause
   { ID id-CriticalityDiagnostics
                                           CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional },
  -- SENB MODIFICATION REQUIRED
```

```
SenbModificationRequired ::= SEQUENCE {
   protocolIEs
                 ProtocolIE-Container
                                           {{SeNBModificationRequired-IEs}},
SeNBModificationRequired-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                                                             PRESENCE mandatory }
                                           CRITICALITY reject TYPE UE-X2AP-ID
     ID id-SeNB-UE-X2AP-ID
                                           CRITICALITY reject TYPE UE-X2AP-ID
                                                                                             PRESENCE mandatory}
     ID id-Cause
                                           CRITICALITY ignore TYPE Cause
                                                                                             PRESENCE mandatory}
     ID id-SCGChangeIndication
                                           CRITICALITY ignore TYPE SCGChangeIndication
                                                                                             PRESENCE optional }
     ID id-E-RABs-ToBeReleased-ModRegd
                                                                                            PRESENCE optional)
                                           CRITICALITY ignore TYPE E-RABs-ToBeReleased-ModRegd
   { ID id-SeNBtoMeNBContainer
                                           CRITICALITY ignore TYPE SenBtoMenBContainer
                                                                                             PRESENCE optional },
E-RABs-ToBeReleased-ModRegd ::= SEQUENCE (SIZE (1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeReleased-ModRegdItemIEs} }
E-RABs-ToBeReleased-ModReqdItemIEs X2AP-PROTOCOL-IES ::= {
   TYPE E-RABs-ToBeReleased-ModRegdItem PRESENCE mandatory },
   . . .
E-RABs-ToBeReleased-ModRegdItem ::= SEOUENCE
   e-RAB-ID
                                E-RAB-ID,
   cause
                                Cause,
                                ProtocolExtensionContainer { {E-RABs-ToBeReleased-ModRegdItemExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-ToBeReleased-ModRegdItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
  -- SENB MODIFICATION CONFIRM
__ *******************************
SeNBModificationConfirm ::= SEQUENCE {
                                       {{SeNBModificationConfirm-IEs}},
   protocolIEs
                 ProtocolIE-Container
   . . .
SeNBModificationConfirm-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                           CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                        PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                           CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                        PRESENCE mandatory }
     ID id-MeNBtoSeNBContainer
                                           CRITICALITY ignore TYPE MeNBtoSeNBContainer
                                                                                        PRESENCE optional }
   { ID id-CriticalityDiagnostics
                                           CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional },
__ **********************
```

```
-- SENB MODIFICATION REFUSE
__ *********************
SeNBModificationRefuse ::= SEQUENCE {
   protocolIEs
                   ProtocolIE-Container
                                              {{SeNBModificationRefuse-IEs}},
SeNBModificationRefuse-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                               PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                               PRESENCE mandatory
     ID id-Cause
                                              CRITICALITY ignore TYPE Cause
                                                                                               PRESENCE mandatory}
     ID id-MeNBtoSeNBContainer
                                              CRITICALITY ignore TYPE MeNBtoSeNBContainer
                                                                                               PRESENCE optional } |
    { ID id-CriticalityDiagnostics
                                              CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional },
-- SENB RELEASE REQUEST
SenbreleaseRequest ::= SEQUENCE {
                                              {{SeNBReleaseRequest-IEs}},
   protocolIEs
                 ProtocolIE-Container
SenbreleaseRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                                                                             PRESENCE mandatory } |
                                                  CRITICALITY reject TYPE UE-X2AP-ID
     ID id-SeNB-UE-X2AP-ID
                                                   CRITICALITY reject TYPE UE-X2AP-ID
                                                                                                             PRESENCE optional }
                                                                                                             PRESENCE optional
     ID id-Cause
                                                  CRITICALITY ignore TYPE Cause
    { ID id-E-RABs-ToBeReleased-List-RelReg
                                                  CRITICALITY ignore TYPE E-RABs-ToBeReleased-List-RelReg
                                                                                                             PRESENCE optional },
E-RABs-ToBeReleased-List-RelReq ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeReleased-RelRegItemIEs} }
E-RABs-ToBeReleased-RelRegItemIEs X2AP-PROTOCOL-IES ::= {
    { ID id-E-RABs-ToBeReleased-RelReqItem
                                          CRITICALITY ignore TYPE E-RABs-ToBeReleased-RelReqItem PRESENCE mandatory },
    . . .
E-RABs-ToBeReleased-RelRegItem ::= CHOICE {
   sCG-Bearer
                  E-RABs-ToBeReleased-RelRegItem-SCG-Bearer,
   split-Bearer E-RABs-ToBeReleased-RelReqItem-Split-Bearer,
E-RABs-ToBeReleased-RelReqItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                   E-RAB-ID,
   uL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                          OPTIONAL,
   dL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                          OPTIONAL,
```

```
ProtocolExtensionContainer { {E-RABs-ToBeReleased-RelRegItem-SCG-BearerExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-ToBeReleased-RelRegItem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
E-RABs-ToBeReleased-RelReqItem-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                               E-RAB-ID,
   dL-GTPtunnelEndpoint
                               GTPtunnelEndpoint
                                                                                                 OPTIONAL,
                               ProtocolExtensionContainer { {E-RABs-ToBeReleased-RelRegItem-Split-BearerExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-ToBeReleased-RelRegItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
   -- SENB RELEASE REQUIRED
__ *********************
SenbreleaseRequired ::= SEQUENCE {
                                       {{SeNBReleaseRequired-IEs}},
   protocolIEs
               ProtocolIE-Container
Senbreleaserequired-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                                              PRESENCE mandatory }
                                          CRITICALITY reject TYPE UE-X2AP-ID
     ID id-SeNB-UE-X2AP-ID
                                          CRITICALITY reject TYPE UE-X2AP-ID
                                                                              PRESENCE mandatory }
                                          CRITICALITY ignore TYPE Cause
   { ID id-Cause
                                                                              PRESENCE mandatory },
  *************************
-- SENB RELEASE CONFIRM
  *******************
SenbreleaseConfirm ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                       {{SeNBReleaseConfirm-IEs}},
SeNBReleaseConfirm-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                              CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                    PRESENCE mandatory}
                                                                                                    PRESENCE mandatory}
     ID id-SeNB-UE-X2AP-ID
                                              CRITICALITY ignore TYPE UE-X2AP-ID
     ID id-E-RABs-ToBeReleased-List-RelConf
                                              CRITICALITY ignore TYPE E-RABs-ToBeReleased-List-RelConf
                                                                                                    PRESENCE optional }
   { ID id-CriticalityDiagnostics
                                              CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                                    PRESENCE optional },
```

```
E-RABs-ToBeReleased-List-RelConf ::= SEOUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-ToBeReleased-RelConfItemIEs} }
E-RABs-ToBeReleased-RelConfitemIEs X2AP-PROTOCOL-IES ::= {
   { ID id-E-RABs-ToBeReleased-RelConfItem
                                             CRITICALITY ignore
                                                                     TYPE E-RABs-ToBeReleased-RelConfitem
                                                                                                           PRESENCE mandatory },
E-RABs-ToBeReleased-RelConfItem ::= CHOICE {
   sCG-Bearer
               E-RABs-ToBeReleased-RelConfItem-SCG-Bearer,
   split-Bearer E-RABs-ToBeReleased-RelConfItem-Split-Bearer,
   . . .
E-RABs-ToBeReleased-RelConfItem-SCG-Bearer ::= SEQUENCE {
   e-RAB-ID
                                  E-RAB-ID,
   uL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                         OPTIONAL,
   dL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                         OPTIONAL,
                                  ProtocolExtensionContainer { {E-RABs-ToBeReleased-RelConfItem-SCG-BearerExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-ToBeReleased-RelConfitem-SCG-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
E-RABs-ToBeReleased-RelConfItem-Split-Bearer ::= SEQUENCE {
   e-RAB-ID
                                  E-RAB-ID,
   dL-GTPtunnelEndpoint
                                  GTPtunnelEndpoint
                                                                                                OPTIONAL,
                                  ProtocolExtensionContainer { {E-RABs-ToBeReleased-RelConfItem-Split-BearerExtIEs} } OPTIONAL,
   iE-Extensions
    . . .
E-RABs-ToBeReleased-RelConfItem-Split-BearerExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    **********************
  SENB COUNTER CHECK REQUEST
   ******************
SeNBCounterCheckRequest ::= SEQUENCE {
                  ProtocolIE-Container
                                          {{SeNBCounterCheckRequest-IEs}},
   protocolIEs
SeNBCounterCheckRequest-IEs X2AP-PROTOCOL-IES ::= {
     ID id-MeNB-UE-X2AP-ID
                                                                                                              PRESENCE mandatory}
                                                     CRITICALITY ignore TYPE UE-X2AP-ID
     ID id-SeNB-UE-X2AP-ID
                                                     CRITICALITY ignore TYPE UE-X2AP-ID
                                                                                                              PRESENCE mandatory}
     ID id-E-RABs-SubjectToCounterCheck-List
                                                     CRITICALITY ignore TYPE E-RABs-SubjectToCounterCheck-List PRESENCE mandatory },
```

```
E-RABs-SubjectToCounterCheck-List ::= SEQUENCE (SIZE(1..maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RABs-SubjectToCounterCheckItemIEs} }
E-RABs-SubjectToCounterCheckItemIEs X2AP-PROTOCOL-IES ::= {
   PRESENCE mandatory },
   . . .
E-RABs-SubjectToCounterCheckItem ::= SEOUENCE {
   e-RAB-ID
                            E-RAB-ID,
                            INTEGER (0..4294967295),
   uL-Count
   dL-Count
                            INTEGER (0..4294967295),
                            ProtocolExtensionContainer { {E-RABs-SubjectToCounterCheckItemExtIEs} } OPTIONAL,
   iE-Extensions
E-RABs-SubjectToCounterCheckItemExtIEs X2AP-PROTOCOL-EXTENSION ::= {
   -- X2 REMOVAL REQUEST
__ *********************
X2RemovalRequest ::= SEQUENCE {
                                   {{X2RemovalRequest-IEs}},
   protocolIEs
               ProtocolIE-Container
X2RemovalRequest-IEs X2AP-PROTOCOL-IES ::= {
   { ID id-GlobalENB-ID
                               CRITICALITY reject TYPE GlobalENB-ID
                                                                      PRESENCE mandatory },
   -- X2 REMOVAL RESPONSE
  ********************
X2RemovalResponse ::= SEQUENCE {
   protocolIEs
               ProtocolIE-Container
                                   {{X2RemovalResponse-IEs}},
X2RemovalResponse-IEs X2AP-PROTOCOL-IES ::= {
    ID id-GlobalENB-ID
                               CRITICALITY reject TYPE GlobalENB-ID
                                                                         PRESENCE mandatory }
   { ID id-CriticalityDiagnostics
                               CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                         PRESENCE optional },
   . . .
```

## 9.3.5 Information Element definitions

```
*****************
-- Information Element Definitions
__ ********************
X2AP-IEs {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-IEs (2) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   id-E-RAB-Item,
   id-Number-of-Antennaports,
   id-MBSFN-Subframe-Info,
   id-PRACH-Configuration,
   id-CSG-Id,
   id-MDTConfiguration,
   id-SignallingBasedMDTPLMNList,
   id-MultibandInfoList,
   id-NeighbourTAC,
   id-Time-UE-StayedInCell-EnhancedGranularity,
   id-MBMS-Service-Area-List,
   id-HO-cause,
   id-eARFCNExtension,
   id-DL-EARFCNExtension.
```

```
id-UL-EARFCNExtension,
    id-M3Configuration,
    id-M4Configuration,
    id-M5Configuration,
    id-MDT-Location-Info,
    id-AdditionalSpecialSubframe-Info,
    maxnoofBearers,
    maxCellineNB,
    maxEARFCN,
    maxEARFCNPlusOne,
    newmaxEARFCN,
    maxInterfaces,
    maxnoofBands,
    maxnoofBPLMNs,
    maxnoofCells,
    maxnoofEPLMNs,
    maxnoofEPLMNsPlusOne,
    maxnoofForbLACs,
    maxnoofForbTACs,
    maxnoofNeighbours,
    maxnoofPRBs,
    maxNrOfErrors,
    maxPools,
    maxnoofMBSFN,
    maxnoofTAforMDT,
    maxnoofCellIDforMDT,
    maxnoofMBMSServiceAreaIdentities,
    maxnoofMDTPLMNs,
    maxnoofCoMPHypothesisSet,
    maxnoofCoMPCells,
    maxUEReport,
    maxCellReport,
    maxnoofPA
FROM X2AP-Constants
    Criticality,
    ProcedureCode,
    ProtocolIE-ID,
    TriggeringMessage
FROM X2AP-CommonDataTypes
    ProtocolExtensionContainer{},
    ProtocolIE-Single-Container{},
    X2AP-PROTOCOL-EXTENSION,
    X2AP-PROTOCOL-IES
FROM X2AP-Containers;
-- A
ABSInformation ::= CHOICE {
    fdd
                        ABSInformationFDD,
```

```
tdd
                        ABSInformationTDD,
    abs-inactive
                        NULL,
ABSInformationFDD ::= SEQUENCE {
    abs-pattern-info
                                        BIT STRING (SIZE(40)),
    numberOfCellSpecificAntennaPorts
                                        ENUMERATED {one, two, four, ...},
    measurement-subset
                                        BIT STRING (SIZE(40)),
                                        ProtocolExtensionContainer { { ABSInformationFDD-ExtIEs} } OPTIONAL,
    iE-Extensions
ABSInformationFDD-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ABSInformationTDD ::= SEQUENCE {
    abs-pattern-info
                                        BIT STRING (SIZE(1..70, ...)),
    numberOfCellSpecificAntennaPorts
                                        ENUMERATED {one, two, four, ...},
    measurement-subset
                                        BIT STRING (SIZE(1..70, ...)),
                                        ProtocolExtensionContainer { { ABSInformationTDD-ExtIEs} } OPTIONAL,
    iE-Extensions
ABSInformationTDD-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ABS-Status ::= SEQUENCE {
    dL-ABS-status
                                                DL-ABS-status,
    usableABSInformation
                                                UsableABSInformation,
    iE-Extensions
                                                ProtocolExtensionContainer { {ABS-Status-ExtIEs} } OPTIONAL,
ABS-Status-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
DL-ABS-status::= INTEGER (0..100)
AdditionalSpecialSubframe-Info ::=
                                        SEQUENCE -
    additionalspecialSubframePatterns
                                            AdditionalSpecialSubframePatterns,
    cyclicPrefixDL
                                            CyclicPrefixDL,
    cyclicPrefixUL
                                            CyclicPrefixUL,
    iE-Extensions
                                            ProtocolExtensionContainer { { AdditionalSpecialSubframe-Info-ExtIEs} } OPTIONAL,
AdditionalSpecialSubframe-Info-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
```

```
AdditionalSpecialSubframePatterns ::= ENUMERATED {
    ssp0,
    ssp1,
    ssp2,
    ssp3,
    ssp4,
    ssp5,
    ssp6,
    ssp7,
    ssp8,
    ssp9,
    . . .
AS-SecurityInformation ::= SEQUENCE {
    key-eNodeB-star
                       Key-eNodeB-Star,
    nextHopChainingCount
                                    NextHopChainingCount,
                                        ProtocolExtensionContainer { { AS-SecurityInformation-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
AS-SecurityInformation-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
AllocationAndRetentionPriority ::= SEQUENCE
    priorityLevel
                                PriorityLevel,
    pre-emptionCapability
                                Pre-emptionCapability,
   pre-emptionVulnerability
                                Pre-emptionVulnerability,
                                ProtocolExtensionContainer { {AllocationAndRetentionPriority-ExtIEs} } OPTIONAL,
    iE-Extensions
AllocationAndRetentionPriority-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
AreaScopeOfMDT ::= CHOICE {
    cellBased
                                CellBasedMDT,
    tABased
                                TABasedMDT,
    pLMNWide
                                NULL,
    tAIBased
                                TAIBasedMDT
-- B
BenefitMetric ::= INTEGER (-101..100, ...)
BitRate ::= INTEGER (0..1000000000)
BroadcastPLMNs-Item ::= SEQUENCE (SIZE(1..maxnoofBPLMNs)) OF PLMN-Identity
```

```
-- C
CapacityValue ::= INTEGER (0..100)
CellCapacityClassValue ::= INTEGER (1..100, ...)
Cause ::= CHOICE {
                        CauseRadioNetwork,
    radioNetwork
    transport
                        CauseTransport,
    protocol
                        CauseProtocol,
                        CauseMisc,
    misc
    . . .
CauseMisc ::= ENUMERATED {
    control-processing-overload,
    hardware-failure,
    om-intervention,
    not-enough-user-plane-processing-resources,
    unspecified,
    . . .
CauseProtocol ::= ENUMERATED {
    transfer-syntax-error,
    abstract-syntax-error-reject,
    abstract-syntax-error-ignore-and-notify,
    message-not-compatible-with-receiver-state,
    semantic-error,
    unspecified,
    abstract-syntax-error-falsely-constructed-message,
CauseRadioNetwork ::= ENUMERATED {
    handover-desirable-for-radio-reasons.
    time-critical-handover,
    resource-optimisation-handover,
    reduce-load-in-serving-cell,
    partial-handover,
    unknown-new-eNB-UE-X2AP-ID,
    unknown-old-eNB-UE-X2AP-ID,
    unknown-pair-of-UE-X2AP-ID,
    ho-target-not-allowed,
    tx2relocoverall-expiry,
    trelocprep-expiry,
    cell-not-available,
    no-radio-resources-available-in-target-cell,
    invalid-MME-GroupID,
    unknown-MME-Code,
    encryption-and-or-integrity-protection-algorithms-not-supported,
    reportCharacteristicsEmpty,
    noReportPeriodicity,
    existingMeasurementID,
```

```
unknown-eNB-Measurement-ID,
    measurement-temporarily-not-available,
    unspecified,
    load-balancing,
    handover-optimisation,
    value-out-of-allowed-range,
    multiple-E-RAB-ID-instances,
    switch-off-ongoing,
    not-supported-QCI-value,
    measurement-not-supported-for-the-object,
    tDCoverall-expiry,
    tDCprep-expiry,
    action-desirable-for-radio-reasons.
    reduce-load,
    resource-optimisation,
    time-critical-action,
    target-not-allowed,
    no-radio-resources-available,
    invalid-QoS-combination,
    encryption-algorithms-not-aupported,
    procedure-cancelled,
    rRM-purpose,
    improve-user-bit-rate,
    user-inactivity,
    radio-connection-with-UE-lost,
    failure-in-the-radio-interface-procedure,
    bearer-option-not-supported
CauseTransport ::= ENUMERATED {
    transport-resource-unavailable,
    unspecified,
    . . .
CellBasedMDT::= SEQUENCE {
    cellIdListforMDT
                        CellIdListforMDT,
    iE-Extensions
                        ProtocolExtensionContainer { {CellBasedMDT-ExtIEs} } OPTIONAL,
    . . .
CellBasedMDT-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
CellIdListforMDT ::= SEQUENCE (SIZE(1..maxnoofCellIDforMDT)) OF ECGI
Cell-Size ::= ENUMERATED {verysmall, small, medium, large, ... }
CellType ::= SEQUENCE {
    cell-Size
                                     Cell-Size,
```

```
ProtocolExtensionContainer { { CellType-ExtIEs}}
    iE-Extensions
                                                                                          OPTIONAL,
CellType-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CoMPHypothesisSet ::= SEQUENCE (SIZE(1..maxnoofCoMPCells)) OF CoMPHypothesisSetItem
CoMPHypothesisSetItem ::= SEQUENCE {
    coMPCellID
                                     ECGI,
    coMPHypothesis
                                    BIT STRING (SIZE(6..4400, ...)),
    iE-Extensions
                                    ProtocolExtensionContainer { { COMPHypothesisSetItem-ExtIEs} } OPTIONAL,
COMPHypothesisSetItem-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CompInformation ::= SEQUENCE {
    coMPInformationItem
                                            CoMPInformationItem,
    coMPInformationStartTime
                                            CoMPInformationStartTime,
                                            ProtocolExtensionContainer { { CoMPInformation-ExtIEs} } OPTIONAL,
    iE-Extensions
COMPINFORMATION-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CoMPInformationItem ::= SEQUENCE (SIZE(1..maxnoofCoMPHypothesisSet)) OF
    SEQUENCE {
                                            CoMPHypothesisSet,
       coMPHypothesisSet
                                            BenefitMetric,
       benefitMetric
       iE-Extensions
                                             ProtocolExtensionContainer { CoMPInformationItem-ExtIEs} } OPTIONAL,
{\tt CoMPInformationItem-ExtIEs} \  \  {\tt X2AP-PROTOCOL-EXTENSION} \ ::= \ \{
CoMPInformationStartTime ::= SEQUENCE (SIZE(0..1)) OF
    SEOUENCE {
       startSFN
                                             INTEGER (0..1023, ...),
       startSubframeNumber
                                            INTEGER (0..9, ...),
       iE-Extensions
                                            ProtocolExtensionContainer { { CoMPInformationStartTime-ExtIEs} } OPTIONAL,
CompInformationStartTime-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
```

```
CompositeAvailableCapacityGroup ::= SEQUENCE {
    dL-CompositeAvailableCapacity
                                                     CompositeAvailableCapacity,
    uL-CompositeAvailableCapacity
                                                     CompositeAvailableCapacity,
    iE-Extensions
                                                     ProtocolExtensionContainer { { CompositeAvailableCapacityGroup-ExtIEs} } OPTIONAL,
    . . .
CompositeAvailableCapacityGroup-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CompositeAvailableCapacity ::= SEQUENCE {
    cellCapacityClassValue
                                                     CellCapacityClassValue
                                                                                         OPTIONAL,
    capacityValue
                                                     CapacityValue,
                                                     ProtocolExtensionContainer { { CompositeAvailableCapacity-ExtIEs} } OPTIONAL,
    iE-Extensions
CompositeAvailableCapacity-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
COUNTvalue ::= SEQUENCE {
    pDCP-SN
                            PDCP-SN.
    hFN
    iE-Extensions
                            ProtocolExtensionContainer { { COUNTvalue-ExtIEs} } OPTIONAL,
COUNTvalue-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
COUNTValueExtended ::= SEQUENCE {
   pDCP-SNExtended
                            PDCP-SNExtended,
   hFNModified
                            HFNModified,
    iE-Extensions
                            ProtocolExtensionContainer { { COUNTValueExtended-ExtIEs} } OPTIONAL,
    . . .
COUNTValueExtended-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics ::= SEQUENCE
    procedureCode
                                    ProcedureCode
                                                                                                           OPTIONAL,
    triggeringMessage
                                    TriggeringMessage
                                                                                                           OPTIONAL,
    procedureCriticality
                                    Criticality
                                                                                                           OPTIONAL,
    iEsCriticalityDiagnostics
                                    CriticalityDiagnostics-IE-List
                                                                                                           OPTIONAL,
    iE-Extensions
                                    ProtocolExtensionContainer { (CriticalityDiagnostics-ExtIEs) }
                                                                                                           OPTIONAL,
```

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```
CriticalityDiagnostics-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
       iECriticality
                                Criticality,
       iE-ID
                               ProtocolIE-ID,
       typeOfError
                               TypeOfError,
                               ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
       iE-Extensions
CriticalityDiagnostics-IE-List-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
CRNTI ::= BIT STRING (SIZE (16))
CSGMembershipStatus ::= ENUMERATED {
   member,
    not-member
CSG-Id ::= BIT STRING (SIZE (27))
CyclicPrefixDL ::= ENUMERATED {
   normal,
    extended,
CyclicPrefixUL ::= ENUMERATED {
   normal,
    extended.
DeactivationIndication::= ENUMERATED {
    deactivated,
DL-Forwarding ::= ENUMERATED {
    dL-forwardingProposed,
DL-GBR-PRB-usage::= INTEGER (0..100)
```

```
DL-non-GBR-PRB-usage::= INTEGER (0..100)
DL-Total-PRB-usage::= INTEGER (0..100)
DynamicDLTransmissionInformation ::= CHOICE {
    naics-active
                            DynamicNAICSInformation,
    naics-inactive
                            NULL,
DynamicNAICSInformation ::= SEQUENCE {
    transmissionModes
                                        BIT STRING (SIZE(8))
                                                                                                         OPTIONAL,
    pB-information
                                        INTEGER(0..3)
                                                                                                         OPTIONAL,
   pA-list
                                        SEQUENCE (SIZE(0..maxnoofPA)) OF PA-Values,
    iE-Extensions
                                        ProtocolExtensionContainer { { DynamicNAICSInformation-ExtIEs} } OPTIONAL,
DynamicNAICSInformation-ExtlEs X2AP-PROTOCOL-EXTENSION ::= {
-- E
EARFCN ::= INTEGER (0..maxEARFCN)
EARFCNExtension ::= INTEGER(maxEARFCNPlusOne..newmaxEARFCN, ...)
FDD-Info ::= SEQUENCE {
    uL-EARFCN
                                    EARFCN,
    dL-EARFCN
                                    EARFCN,
    uL-Transmission-Bandwidth
                                    Transmission-Bandwidth,
    dL-Transmission-Bandwidth
                                    Transmission-Bandwidth,
    iE-Extensions
                                ProtocolExtensionContainer { {FDD-Info-ExtIEs} } OPTIONAL,
FDD-Info-ExtIEs X2AP-PROTOCOL-EXTENSION ::=
     ID id-UL-EARFCNExtension
                                    CRITICALITY reject EXTENSION EARFCNExtension
                                                                                         PRESENCE optional }
     ID id-DL-EARFCNExtension
                                                                                         PRESENCE optional },
                                    CRITICALITY reject EXTENSION EARFCNExtension
TDD-Info ::= SEQUENCE {
    eARFCN
                                    EARFCN,
    transmission-Bandwidth
                                    Transmission-Bandwidth,
    subframeAssignment
                                    SubframeAssignment,
    specialSubframe-Info
                                        SpecialSubframe-Info,
    iE-Extensions
                                ProtocolExtensionContainer { {TDD-Info-ExtIEs} } OPTIONAL,
TDD-Info-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    { ID id-AdditionalSpecialSubframe-Info CRITICALITY ignore EXTENSION AdditionalSpecialSubframe-Info PRESENCE optional}
```

```
{ ID id-eARFCNExtension
                                                                                                      PRESENCE optional },
                                          CRITICALITY reject EXTENSION EARFCNExtension
EUTRA-Mode-Info ::= CHOICE {
           FDD-Info,
    fDD
    t.DD
           TDD-Info,
    . . .
ECGI ::= SEQUENCE {
                              PLMN-Identity,
   pLMN-Identity
                         EUTRANCellIdentifier,
   eUTRANcellIdentifier
   iE-Extensions
                              ProtocolExtensionContainer { {ECGI-ExtIEs} } OPTIONAL.
ECGI-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ENB-ID ::= CHOICE {
   macro-eNB-ID BIT STRING (SIZE (20)),
   home-eNB-ID BIT STRING (SIZE (28)),
EncryptionAlgorithms ::= BIT STRING (SIZE (16, ...))
EPLMNs ::= SEOUENCE (SIZE(1..maxnoofEPLMNs)) OF PLMN-Identity
E-RAB-ID ::= INTEGER (0..15, ...)
E-RAB-Level-QoS-Parameters ::= SEQUENCE {
                                  OCI,
    allocationAndRetentionPriority AllocationAndRetentionPriority,
   gbrQosInformation
                                 GBR-QosInformation
                                                                                                OPTIONAL,
   iE-Extensions
                                  ProtocolExtensionContainer { { E-RAB-Level-OoS-Parameters-ExtIEs} } OPTIONAL,
E-RAB-Level-OoS-Parameters-ExtIES X2AP-PROTOCOL-EXTENSION ::= {
E-RAB-List ::= SEQUENCE (SIZE(1.. maxnoofBearers)) OF ProtocolIE-Single-Container { {E-RAB-ItemIEs} }
E-RAB-ItemIEs X2AP-PROTOCOL-IES ::= {
   TYPE E-RAB-Item
                                                                 PRESENCE mandatory },
E-RAB-Item ::= SEQUENCE {
    e-RAB-ID
                          E-RAB-ID,
```

```
cause
    iE-Extensions
                                 ProtocolExtensionContainer { {E-RAB-Item-ExtIEs} } OPTIONAL,
E-RAB-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
EUTRANCellIdentifier ::= BIT STRING (SIZE (28))
EUTRANTraceID
                    ::= OCTET STRING (SIZE (8))
EventType ::= ENUMERATED{
    change-of-serving-cell,
ExpectedUEBehaviour ::= SEQUENCE {
    expectedActivity
                             ExpectedUEActivityBehaviour OPTIONAL,
                             ExpectedHOInterval
    expectedHOInterval
                                                          OPTIONAL,
    iE-Extensions
                             ProtocolExtensionContainer { { ExpectedUEBehaviour-ExtIEs} } OPTIONAL,
    . . .
ExpectedUEBehaviour-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ExpectedUEActivityBehaviour ::= SEQUENCE {
    expectedActivityPeriod
                                             ExpectedActivityPeriod
                                                                                       OPTIONAL,
    expectedIdlePeriod
                                             ExpectedIdlePeriod
                                                                                       OPTIONAL,
    sourceofUEActivityBehaviourInformation SourceOfUEActivityBehaviourInformation OPTIONAL,
                        ProtocolExtensionContainer { { ExpectedUEActivityBehaviour-ExtIEs} } OPTIONAL,
ExpectedUEActivityBehaviour-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ExpectedActivityPeriod ::= INTEGER (1..30|40|50|60|80|100|120|150|180|181,...)
\texttt{ExpectedIdlePeriod} ::= \texttt{INTEGER} \ (1..30 | 40 | 50 | 60 | 80 | 100 | 120 | 150 | 180 | 181, \ldots)
SourceOfUEActivityBehaviourInformation ::= ENUMERATED {
    subscription-information,
    statistics.
ExpectedHOInterval ::= ENUMERATED {
    sec15, sec30, sec60, sec90, sec120, sec180, long-time,
```

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```
ExtendedULInterferenceOverloadInfo ::= SEQUENCE {
    associatedSubframes
                                                 BIT STRING (SIZE (5)),
    {\tt extended-ul-InterferenceOverloadIndication} \quad {\tt UL-InterferenceOverloadIndication},
    iE-Extensions
                                                 ProtocolExtensionContainer { { ExtendedULInterferenceOverloadInfo-ExtIEs} } OPTIONAL,
ExtendedULInterferenceOverloadInfo-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ForbiddenInterRATs ::= ENUMERATED {
    all,
    geran,
    utran,
    cdma2000,
    geranandutran,
    cdma2000andutran
ForbiddenTAs ::= SEQUENCE (SIZE(1.. maxnoofEPLMNsPlusOne)) OF ForbiddenTAs-Item
ForbiddenTAs-Item ::= SEQUENCE {
    pLMN-Identity
                       PLMN-Identity,
    forbiddenTACs
                        ForbiddenTACs,
    iE-Extensions
                        ProtocolExtensionContainer { {ForbiddenTAs-Item-ExtIEs} } OPTIONAL,
ForbiddenTAs-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ForbiddenTACs ::= SEQUENCE (SIZE(1..maxnoofForbTACs)) OF TAC
ForbiddenLAs ::= SEQUENCE (SIZE(1..maxnoofEPLMNsPlusOne)) OF ForbiddenLAs-Item
ForbiddenLAs-Item ::= SEOUENCE {
    pLMN-Identity
                        PLMN-Identity,
    forbiddenLACs
                        ForbiddenLACs,
    iE-Extensions
                        ProtocolExtensionContainer { {ForbiddenLAs-Item-ExtIEs} } OPTIONAL,
ForbiddenLAs-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
```

```
ForbiddenLACs ::= SEOUENCE (SIZE(1..maxnoofForbLACs)) OF LAC
Fourframes ::= BIT STRING (SIZE (24))
FregBandIndicator ::= INTEGER (1..256, ...)
-- G
GBR-QosInformation ::= SEQUENCE {
    e-RAB-MaximumBitrateDL
                                    BitRate,
    e-RAB-MaximumBitrateUL
                                    BitRate,
    e-RAB-GuaranteedBitrateDL
                                    BitRate,
    e-RAB-GuaranteedBitrateUL
                                    BitRate,
    iE-Extensions
                                    ProtocolExtensionContainer { GBR-QosInformation-ExtIEs} } OPTIONAL,
GBR-OosInformation-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
GlobalENB-ID ::= SEQUENCE {
                            PLMN-Identity,
    pLMN-Identity
    eNB-ID
                            ENB-ID,
    iE-Extensions
                            ProtocolExtensionContainer { GlobalENB-ID-ExtIEs} } OPTIONAL,
GlobalENB-ID-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
GTPtunnelEndpoint ::= SEQUENCE {
    transportLayerAddress
                                    TransportLayerAddress,
    aTP-TEID
                                    GTP-TEI,
    iE-Extensions
                                    ProtocolExtensionContainer { GTPtunnelEndpoint-ExtIEs} } OPTIONAL,
GTPtunnelEndpoint-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
GTP-TEI
                        ::= OCTET STRING (SIZE (4))
GUGroupIDList
                    ::= SEQUENCE (SIZE (1..maxPools)) OF GU-Group-ID
GU-Group-ID
                    ::= SEQUENCE {
    pLMN-Identity
                        PLMN-Identity,
    mME-Group-ID
                        MME-Group-ID,
    iE-Extensions
                        ProtocolExtensionContainer { {GU-Group-ID-ExtIEs} } OPTIONAL,
    . . .
```

```
GU-Group-ID-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
                ::= SEOUENCE {
GUMMEI
    gU-Group-ID
                    GU-Group-ID,
    mME-Code
                        MME-Code,
                                    ProtocolExtensionContainer { GUMMEI-ExtIEs} } OPTIONAL,
    iE-Extensions
GUMMEI-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
-- H
HandoverReportType ::= ENUMERATED {
   hoTooEarly,
   hoToWrongCell,
    interRATpingpong
HandoverRestrictionList ::= SEQUENCE {
    servingPLMN
                                PLMN-Identity,
    equivalentPLMNs
                                EPLMNs
                                                                                                   OPTIONAL,
    forbiddenTAs
                                ForbiddenTAs
                                                                                                   OPTIONAL,
    forbiddenLAs
                                ForbiddenLAs
                                                                                                   OPTIONAL,
    forbiddenInterRATs
                                ForbiddenInterRATs
                                                                                                   OPTIONAL,
                                ProtocolExtensionContainer { {HandoverRestrictionList-ExtIEs} }
    iE-Extensions
                                                                                                   OPTIONAL,
    . . .
HandoverRestrictionList-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
HFN ::= INTEGER (0..1048575)
HFNModified ::= INTEGER (0..131071)
HWLoadIndicator ::= SEQUENCE {
    dLHWLoadIndicator
                                LoadIndicator,
    uLHWLoadIndicator
                                LoadIndicator,
                                ProtocolExtensionContainer { { HWLoadIndicator-ExtIEs} } OPTIONAL,
    iE-Extensions
```

```
HWLoadIndicator-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
-- I
Masked-IMEISV ::= BIT STRING (SIZE (64))
InvokeIndication ::= ENUMERATED{
   abs-information,
   naics-information-start,
   naics-information-stop
IntegrityProtectionAlgorithms ::= BIT STRING (SIZE (16, ...))
InterfacesToTrace ::= BIT STRING (SIZE (8))
-- J
-- K
Key-eNodeB-Star ::= BIT STRING (SIZE(256))
-- L
                 ::= OCTET STRING (SIZE (2)) --(EXCEPT ('0000'H|'FFFE'H))
LAC
LastVisitedCell-Item ::= CHOICE {
   e-UTRAN-Cell
                                LastVisitedEUTRANCellInformation,
                                LastVisitedUTRANCellInformation,
   uTRAN-Cell
   gERAN-Cell
                                LastVisitedGERANCellInformation,
LastVisitedEUTRANCellInformation ::= SEQUENCE {
   global-Cell-ID
                                ECGI,
   cellType
                                CellType,
   time-UE-StayedInCell
                                Time-UE-StayedInCell,
   iE-Extensions
                                ProtocolExtensionContainer { { LastVisitedEUTRANCellInformation-ExtIEs} } OPTIONAL,
LastVisitedEUTRANCellInformation-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
-- Extension for Rel-11 to support enhanced granularity for time UE stayed in cell --
     { ID id-HO-cause
                                                  CRITICALITY ignore EXTENSION Cause
                                                                                                  PRESENCE optional },
   . . .
LastVisitedUTRANCellInformation ::= OCTET STRING
```

```
LastVisitedGERANCellInformation ::= CHOICE {
    undefined
Links-to-log ::= ENUMERATED {uplink, downlink, both-uplink-and-downlink, ...}
LoadIndicator ::= ENUMERATED {
    lowLoad,
    mediumLoad,
   highLoad,
    overLoad,
    . . .
LocationReportingInformation ::= SEQUENCE {
    eventType
                    EventType,
    reportArea
                    ReportArea,
                       ProtocolExtensionContainer { {LocationReportingInformation-ExtIEs} } OPTIONAL,
    iE-Extensions
LocationReportingInformation-ExtIEs X2AP-PROTOCOL-EXTENSION ::={
-- M
M3Configuration ::= SEQUENCE
    m3period
                        M3period,
                        ProtocolExtensionContainer { { M3Configuration-ExtIEs} } OPTIONAL,
    iE-Extensions
M3Configuration-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
M3period ::= ENUMERATED {ms100, ms1000, ms10000, ... }
M4Configuration ::= SEQUENCE {
    m4period
                        M4period,
   m4-links-to-log
                       Links-to-log,
   iE-Extensions
                        ProtocolExtensionContainer { { M4Configuration-ExtIEs} } OPTIONAL,
M4Configuration-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    . . .
```

```
M4period ::= ENUMERATED {ms1024, ms2048, ms5120, ms10240, min1, ... }
M5Configuration ::= SEQUENCE
    m5period
                        M5period,
    m5-links-to-log
                        Links-to-log,
   iE-Extensions
                        ProtocolExtensionContainer { { M5Configuration-ExtIEs} } OPTIONAL,
M5Configuration-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
M5period ::= ENUMERATED {ms1024, ms2048, ms5120, ms10240, min1, ... }
MDT-Activation
                    ::= ENUMERATED {
    immediate-MDT-only,
    immediate-MDT-and-Trace,
MDT-Configuration ::= SEQUENCE {
    mdt-Activation
                                MDT-Activation,
    areaScopeOfMDT
                                AreaScopeOfMDT,
    measurementsToActivate
                                MeasurementsToActivate,
   mlreportingTrigger
                                MlReportingTrigger,
    m1thresholdeventA2
                                M1ThresholdEventA2
                                                            OPTIONAL,
-- Included in case of event-triggered, or event-triggered periodic reporting for measurement M1
                                MlPeriodicReporting
    mlperiodicReporting
                                                            OPTIONAL,
-- Included in case of periodic, or event-triggered periodic reporting for measurement M1
    iE-Extensions
                                ProtocolExtensionContainer { { MDT-Configuration-ExtIEs} } OPTIONAL,
    . . .
MDT-Configuration-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
    {ID id-M3Configuration
                                        CRITICALITY ignore EXTENSION M3Configuration
                                                                                             PRESENCE conditional}
    {ID id-M4Configuration
                                        CRITICALITY ignore EXTENSION M4Configuration
                                                                                             PRESENCE conditional}
    ID id-M5Configuration
                                        CRITICALITY ignore EXTENSION M5Configuration
                                                                                             PRESENCE conditional}
                                                                                             PRESENCE optional}|
    {ID id-MDT-Location-Info
                                        CRITICALITY ignore EXTENSION MDT-Location-Info
    {ID id-SignallingBasedMDTPLMNList CRITICALITY ignore EXTENSION MDTPLMNList
                                                                                             PRESENCE optional },
    . . .
MDTPLMNList ::= SEQUENCE (SIZE(1..maxnoofMDTPLMNs)) OF PLMN-Identity
MDT-Location-Info ::= BIT STRING (SIZE (8))
MeasurementsToActivate::= BIT STRING (SIZE (8))
MeasurementThresholdA2 ::= CHOICE {
    threshold-RSRP
                                Threshold-RSRP,
    threshold-RSRO
                                Threshold-RSRQ,
    . . .
```

```
MeNBtoSeNBContainer ::= OCTET STRING
MME-Group-ID
               ::= OCTET STRING (SIZE (2))
MME-Code
               ::= OCTET STRING (SIZE (1))
Measurement-ID ::= INTEGER (1..4095, ...)
MBMS-Service-Area-Identity-List ::= SEQUENCE (SIZE(1.. maxnoofMBMSServiceAreaIdentities)) OF MBMS-Service-Area-Identity
MBMS-Service-Area-Identity ::= OCTET STRING (SIZE (2))
MBSFN-Subframe-Infolist::= SEQUENCE (SIZE(1.. maxnoofMBSFN)) OF MBSFN-Subframe-Info
MBSFN-Subframe-Info ::= SEOUENCE {
    radioframeAllocationPeriod
                                  RadioframeAllocationPeriod,
    radioframeAllocationOffset
                                  RadioframeAllocationOffset,
    subframeAllocation
                                  SubframeAllocation,
    iE-Extensions
                          ProtocolExtensionContainer { { MBSFN-Subframe-Info-ExtIEs } } OPTIONAL,
MBSFN-Subframe-Info-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ManagementBasedMDTallowed ::= ENUMERATED {allowed, ...}
MobilityParametersModificationRange ::= SEQUENCE {
   handoverTriggerChangeLowerLimit
                                      INTEGER (-20..20),
   handoverTriggerChangeUpperLimit
                                     INTEGER (-20..20),
MobilityParametersInformation ::= SEQUENCE {
    handoverTriggerChange
                                 INTEGER (-20..20),
MultibandInfoList ::= SEQUENCE (SIZE(1..maxnoofBands)) OF BandInfo
BandInfo ::= SEOUENCE {
    freqBandIndicator
                          FreqBandIndicator,
   iE-Extensions
                          BandInfo-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
```

```
-- N
Neighbour-Information ::= SEQUENCE (SIZE (0..maxnoofNeighbours)) OF SEQUENCE {
                                ECGI,
    pCI
                            PCI.
    eARFCN
                                EARFCN,
    iE-Extensions
                         ProtocolExtensionContainer { {Neighbour-Information-ExtIEs} } OPTIONAL,
Neighbour-Information-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
      ID id-NeighbourTAC
                                                                                 PRESENCE optional | |
                                CRITICALITY ignore EXTENSION TAC
    { ID id-eARFCNExtension
                                CRITICALITY reject EXTENSION EARFCNExtension PRESENCE optional },
    . . .
NextHopChainingCount ::= INTEGER (0..7)
Number-of-Antennaports ::= ENUMERATED {
        an1,
        an2,
        an4,
-- O
Oneframe ::= BIT STRING (SIZE (6))
-- P
PA-Values ::= ENUMERATED {
    dB-6,
    dB-4dot77,
    dB-3,
    dB-1dot77,
    dB0,
    dB1,
    dB2,
    dB3,
    . . .
PDCP-SN ::= INTEGER (0..4095)
PDCP-SNExtended ::= INTEGER (0..32767)
PCI ::= INTEGER (0..503, ...)
M1PeriodicReporting ::= SEQUENCE {
    reportInterval
                                ReportIntervalMDT,
    reportAmount
                                ReportAmountMDT,
    iE-Extensions
                                ProtocolExtensionContainer { { MlPeriodicReporting-ExtIEs} } OPTIONAL,
    . . .
```

```
M1PeriodicReporting-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
PLMN-Identity ::= OCTET STRING (SIZE(3))
PRACH-Configuration ::= SEQUENCE {
    rootSequenceIndex
                                            INTEGER (0..837),
    zeroCorrelationIndex
                                            INTEGER (0..15),
    highSpeedFlag
                                            BOOLEAN,
    prach-FreqOffset
                                            INTEGER (0..94),
    prach-ConfigIndex
                                            INTEGER (0..63)
                                                                OPTIONAL, -- present for TDD --
    iE-Extensions
                                            ProtocolExtensionContainer { {PRACH-Configuration-ExtIEs} } OPTIONAL,
PRACH-Configuration-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
Pre-emptionCapability ::= ENUMERATED {
    shall-not-trigger-pre-emption,
    may-trigger-pre-emption
Pre-emptionVulnerability ::= ENUMERATED {
    not-pre-emptable,
    pre-emptable
PriorityLevel
                            ::= INTEGER { spare (0), highest (1), lowest (14), no-priority (15) } (0..15)
ProSeAuthorized ::= SEOUENCE {
    proSeDirectDiscovery
                                ProSeDirectDiscovery
                                                                OPTIONAL,
   proSeDirectCommunication
                                ProSeDirectCommunication
                                                                OPTIONAL,
    iE-Extensions
                                ProtocolExtensionContainer { {ProSeAuthorized-ExtIEs} } OPTIONAL,
ProSeAuthorized-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ProSeDirectDiscovery ::= ENUMERATED {
    authorized.
    not-authorized,
ProSeDirectCommunication ::= ENUMERATED {
    authorized,
    not-authorized,
```

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```
-- 0
OCI ::= INTEGER (0..255)
-- R
RadioframeAllocationOffset ::= INTEGER (0..7, ...)
RadioframeAllocationPeriod ::= ENUMERATED{
    n1,
    n2.
    n4,
    n8,
    n16,
    n32,
    . . .
RadioResourceStatus ::= SEQUENCE {
    dL-GBR-PRB-usage
                                                 DL-GBR-PRB-usage,
    uL-GBR-PRB-usage
                                                 UL-GBR-PRB-usage,
    dL-non-GBR-PRB-usage
                                                 DL-non-GBR-PRB-usage,
    uL-non-GBR-PRB-usage
                                                 UL-non-GBR-PRB-usage,
    dL-Total-PRB-usage
                                                 DL-Total-PRB-usage,
    uL-Total-PRB-usage
                                                 UL-Total-PRB-usage,
                                                 ProtocolExtensionContainer { {RadioResourceStatus-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
RadioResourceStatus-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ReceiveStatusofULPDCPSDUs ::= BIT STRING (SIZE(4096))
ReceiveStatusOfULPDCPSDUsExtended ::= BIT STRING (SIZE(1..16384))
Registration-Request
                       ::= ENUMERATED {
    start,
    stop,
    . . .
RelativeNarrowbandTxPower ::= SEQUENCE {
    rNTP-PerPRB
                                        BIT STRING (SIZE(6..110, ...)),
    rNTP-Threshold
                                        RNTP-Threshold,
                                        ENUMERATED {one, two, four, ...},
    numberOfCellSpecificAntennaPorts
                                        INTEGER (0..3,...),
    pDCCH-InterferenceImpact
                                        INTEGER (0..4,...),
```

```
ProtocolExtensionContainer { { RelativeNarrowbandTxPower-ExtIEs} } OPTIONAL,
    iE-Extensions
RelativeNarrowbandTxPower-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ReportAmountMDT ::= ENUMERATED{r1, r2, r4, r8, r16, r32, r64, rinfinity}
ReportArea ::= ENUMERATED{
    ecgi,
    . . .
ReportingPeriodicityRSRPMR ::= ENUMERATED {
    one-hundred-20-ms,
    two-hundred-40-ms,
    four-hundred-80-ms,
    six-hundred-40-ms,
ReportIntervalMDT ::= ENUMERATED {ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, min1, min6, min12, min30, min60}
ReportCharacteristics ::= BIT STRING (SIZE (32))
M1ReportingTrigger::= ENUMERATED{
    periodic,
    a2eventtriggered,
    a2eventtriggered-periodic
RNTP-Threshold ::= ENUMERATED {
   minusInfinity,
   minusEleven,
    minusTen,
    minusNine,
    minusEight,
    minusSeven,
    minusSix,
    minusFive,
    minusFour,
    minusThree,
    minusTwo,
    minusOne,
    zero,
    one,
    two,
    three,
```

```
RRC-Context ::= OCTET STRING
RRCConnReestabIndicator ::= ENUMERATED {
   reconfigurationFailure, handoverFailure, otherFailure, ...
-- The values correspond to the values of ReestablishmentCause reported from the UE in the RRCConnectionReestablishmentRequest, as defined in TS
36.331 [9]
RRCConnSetupIndicator::= ENUMERATED {
   rrcConnSetup,
RSRPMeasurementResult ::= SEQUENCE (SIZE(1..maxCellReport)) OF
   SEQUENCE {
       rSRPCellID
                                      ECGI,
                                      INTEGER (0..97, ...),
       rSRPMeasured
                                      ProtocolExtensionContainer { RSRPMeasurementResult-ExtIEs} } OPTIONAL,
       iE-Extensions
       . . .
RSRPMeasurementResult-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
RSRPMRList ::= SEQUENCE (SIZE(1..maxUEReport)) OF
   SEOUENCE {
       rSRPMeasurementResult
                                      RSRPMeasurementResult,
                                      iE-Extensions
RSRPMRList-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
-- S
S1TNLLoadIndicator ::= SEQUENCE {
   dLS1TNLLoadIndicator
                                  LoadIndicator,
   uLS1TNLLoadIndicator
                                  LoadIndicator,
                                  ProtocolExtensionContainer { { S1TNLLoadIndicator-ExtIEs} } OPTIONAL,
   iE-Extensions
S1TNLLoadIndicator-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
SCGChangeIndication ::= ENUMERATED {pDCPCountWrapAround, pSCellChange, other, ...}
SeNBSecurityKey ::= BIT STRING (SIZE(256))
SeNBtoMeNBContainer ::= OCTET STRING
```

```
ServedCells ::= SEQUENCE (SIZE (1.. maxCellineNB)) OF SEQUENCE {
    servedCellInfo
                                    ServedCell-Information,
    neighbour-Info
                                    Neighbour-Information
                                                                    OPTIONAL.
    iE-Extensions
                                    ProtocolExtensionContainer { {ServedCell-ExtIEs} } OPTIONAL,
ServedCell-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
ServedCell-Information ::= SEQUENCE {
                        PCI,
   pCI
    cellId
                        ECGI,
    tAC
                        TAC,
    broadcastPLMNs
                        BroadcastPLMNs-Item,
    eUTRA-Mode-Info
                        EUTRA-Mode-Info,
    iE-Extensions
                        ProtocolExtensionContainer { {ServedCell-Information-ExtIEs} } OPTIONAL,
ServedCell-Information-ExtIEs X2AP-PROTOCOL-EXTENSION ::=
      ID id-Number-of-Antennaports
                                        CRITICALITY ignore EXTENSION Number-of-Antennaports
                                                                                                      PRESENCE optional }
      ID id-PRACH-Configuration
                                        CRITICALITY ignore EXTENSION PRACH-Configuration
                                                                                                      PRESENCE optional
     ID id-MBSFN-Subframe-Info
                                        CRITICALITY ignore EXTENSION MBSFN-Subframe-Infolist
                                                                                                      PRESENCE optional
      ID id-CSG-Id
                                        CRITICALITY ignore EXTENSION CSG-Id
                                                                                                      PRESENCE optional }
      ID id-MBMS-Service-Area-List
                                        CRITICALITY ignore EXTENSION MBMS-Service-Area-Identity-List PRESENCE optional }
    { ID id-MultibandInfoList
                                        CRITICALITY ignore EXTENSION MultibandInfoList
                                                                                                     PRESENCE optional },
    . . .
ShortMAC-I ::= BIT STRING (SIZE(16))
SRVCCOperationPossible ::= ENUMERATED {
    possible,
    . . .
SubframeAssignment ::= ENUMERATED {
    sa0,
    sal,
    sa2,
    sa3,
    sa4,
    sa5,
    sa6,
SpecialSubframe-Info ::= SEOUENCE {
    specialSubframePatterns
                                SpecialSubframePatterns,
    cyclicPrefixDL
                                CyclicPrefixDL,
```

```
cyclicPrefixUL
                                CyclicPrefixUL,
    iE-Extensions
                                ProtocolExtensionContainer { { SpecialSubframe-Info-ExtIEs} } OPTIONAL,
SpecialSubframe-Info-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
SpecialSubframePatterns ::= ENUMERATED {
    ssp0,
    ssp1,
    ssp2,
    ssp3,
    ssp4,
    ssp5,
    ssp6,
    ssp7,
    ssp8,
SubscriberProfileIDforRFP ::= INTEGER (1..256)
SubframeAllocation ::= CHOICE {
    oneframe
                                    Oneframe,
    fourframes
                                    Fourframes,
-- Т
TAC ::= OCTET STRING (SIZE (2))
TABasedMDT::= SEQUENCE {
    tAListforMDT
                       TAListforMDT,
    iE-Extensions
                       ProtocolExtensionContainer { {TABasedMDT-ExtIEs} } OPTIONAL,
TABasedMDT-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
TAListforMDT ::= SEQUENCE (SIZE(1..maxnoofTAforMDT)) OF TAC
TAIBasedMDT ::= SEQUENCE {
    tAIListforMDT
                            TAIListforMDT,
    iE-Extensions
                            ProtocolExtensionContainer { {TAIBasedMDT-ExtIEs} } OPTIONAL,
TAIBasedMDT-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
```

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```
TAIListforMDT ::= SEQUENCE (SIZE(1..maxnoofTAforMDT)) OF TAI-Item
TAI-Item ::= SEQUENCE {
    t.AC
                        TAC,
                        PLMN-Identity,
    pLMN-Identity
   iE-Extensions
                        ProtocolExtensionContainer { { TAI-Item-ExtIEs} } OPTIONAL,
TAI-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
TargetCellInUTRAN ::= OCTET STRING -- This IE is to be encoded according to the UTRAN Cell ID in the Last Visited UTRAN Cell Information IE in TS
25.413 [24]
M1ThresholdEventA2 ::= SEQUENCE {
    measurementThreshold
                                MeasurementThresholdA2,
                                ProtocolExtensionContainer { { MlThresholdEventA2-ExtIEs} } OPTIONAL,
    iE-Extensions
MlThresholdEventA2-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
TargeteNBtoSource-eNBTransparentContainer ::= OCTET STRING
Threshold-RSRP ::= INTEGER(0..97)
Threshold-RSRQ ::= INTEGER(0..34)
TimeToWait ::= ENUMERATED {
   vls,
    v2s,
    v5s,
    v10s,
    v20s,
    v60s,
    . . .
Time-UE-StayedInCell ::= INTEGER (0..4095)
Time-UE-StayedInCell-EnhancedGranularity ::= INTEGER (0..40950)
TraceActivation ::= SEQUENCE {
    eUTRANTraceID
                                    EUTRANTraceID,
    interfacesToTrace
                                    InterfacesToTrace,
    traceDepth
                                    TraceDepth,
```

```
traceCollectionEntityIPAddress TraceCollectionEntityIPAddress,
   iE-Extensions
                                  ProtocolExtensionContainer { TraceActivation-ExtIEs} } OPTIONAL,
TraceActivation-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
   PRESENCE optional },
TraceCollectionEntityIPAddress ::= BIT STRING (SIZE(1..160, ...))
               ::= ENUMERATED {
TraceDepth
   minimum,
   medium,
   maximum,
   minimumWithoutVendorSpecificExtension,
   mediumWithoutVendorSpecificExtension,
   maximumWithoutVendorSpecificExtension,
Transmission-Bandwidth ::= ENUMERATED {
       bw6,
       bw15,
       bw25,
       bw50,
       bw75,
       bw100,
       . . .
TransportLayerAddress
                              ::= BIT STRING (SIZE(1..160, ...))
TypeOfError ::= ENUMERATED {
   not-understood,
   missing,
    . . .
-- U
UE-HistoryInformation ::= SEQUENCE (SIZE(1..maxnoofCells)) OF LastVisitedCell-Item
UE-HistoryInformationFromTheUE ::= OCTET STRING
-- This IE is a transparent container and shall be encoded as the VisitedCellInfoList field contained in the UEInformationResponse message as
defined in TS 36.331 [9]
UE-S1AP-ID
                          ::= INTEGER (0.. 4294967295)
```

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```
UE-X2AP-ID
                            ::= INTEGER (0..4095)
UEAggregateMaximumBitRate ::= SEOUENCE {
    uEaggregateMaximumBitRateDownlink BitRate,
    uEaggregateMaximumBitRateUplink
                                        BitRate,
                                        ProtocolExtensionContainer { {UEAggregate-MaximumBitrate-ExtIEs} } OPTIONAL,
    iE-Extensions
UEAggregate-MaximumBitrate-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
UESecurityCapabilities ::= SEQUENCE {
    encryptionAlgorithms
                                        EncryptionAlgorithms,
    integrityProtectionAlgorithms
                                        IntegrityProtectionAlgorithms,
    iE-Extensions
                                        ProtocolExtensionContainer { { UESecurityCapabilities-ExtIEs} }
                                                                                                           OPTIONAL,
UESecurityCapabilities-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
UL-GBR-PRB-usage::= INTEGER (0..100)
UL-non-GBR-PRB-usage::= INTEGER (0..100)
UL-Total-PRB-usage::= INTEGER (0..100)
UL-InterferenceOverloadIndication ::= SEOUENCE (SIZE(1..maxnoofPRBs)) OF UL-InterferenceOverloadIndication-Item
UL-InterferenceOverloadIndication-Item ::= ENUMERATED {
    high-interference,
    medium-interference,
   low-interference,
UL-HighInterferenceIndicationInfo ::= SEQUENCE (SIZE(1..maxCellineNB)) OF UL-HighInterferenceIndicationInfo-Item
UL-HighInterferenceIndicationInfo-Item ::= SEQUENCE {
    target-Cell-ID
                                    ECGI,
    ul-interferenceindication
                                    UL-HighInterferenceIndication,
    iE-Extensions
                                    ProtocolExtensionContainer { { UL-HighInterferenceIndicationInfo-Item-ExtIEs} } OPTIONAL,
UL-HighInterferenceIndicationInfo-Item-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
UL-HighInterferenceIndication ::= BIT STRING (SIZE(1..110, ...))
```

```
UE-RLF-Report-Container::= OCTET STRING
-- This IE is a transparent container and shall be encoded as the RLF-Report-r9 field contained in the UEInformationResponse message as defined in
TS 36.331 [9]
UE-RLF-Report-Container-for-extended-bands ::= OCTET STRING
-- This IE is a transparent container and shall be encoded as the RLF-Report-v9e0 field contained in the UEInformationResponse message as defined
in TS 36.331 [9]
UsableABSInformation ::= CHOICE {
                       UsableABSInformationFDD
    tdd
                        UsableABSInformationTDD,
    . . .
UsableABSInformationFDD ::= SEQUENCE {
    usable-abs-pattern-info
                                        BIT STRING (SIZE(40)),
                                        ProtocolExtensionContainer { { UsableABSInformationFDD-ExtIEs} } OPTIONAL,
   iE-Extensions
UsableABSInformationFDD-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
UsableABSInformationTDD ::= SEQUENCE {
    usaable-abs-pattern-info
                                        BIT STRING (SIZE(1..70, ...)),
    iE-Extensions
                                        ProtocolExtensionContainer { { UsableABSInformationTDD-ExtIEs} } OPTIONAL,
UsableABSInformationTDD-ExtIEs X2AP-PROTOCOL-EXTENSION ::= {
-- X
-- 7.
END
```

#### 9.3.6 Common definitions

```
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ **********************
-- Extension constants
****************
maxPrivateIEs
                                       INTEGER ::= 65535
maxProtocolExtensions
                                       INTEGER ::= 65535
maxProtocolIEs
                                       INTEGER ::= 65535
-- Common Data Types
__ **********************
             ::= ENUMERATED { reject, ignore, notify }
Criticality
             ::= ENUMERATED { optional, conditional, mandatory }
Presence
PrivateIE-ID ::= CHOICE {
   local
                   INTEGER (0.. maxPrivateIEs),
   qlobal
                   OBJECT IDENTIFIER
ProcedureCode
              ::= INTEGER (0..255)
             ::= INTEGER (0..maxProtocolIEs)
ProtocolIE-ID
TriggeringMessage ::= ENUMERATED { initiating-message, successful-outcome, unsuccessful-outcome}
END
```

#### 9.3.7 Constant definitions

BEGIN

```
IMPORTS
   ProcedureCode.
   ProtocolIE-ID
FROM X2AP-CommonDataTypes;
     ****************
-- Elementary Procedures
__ *********************
id-handoverPreparation
                                                          ProcedureCode ::= 0
id-handoverCancel
                                                          ProcedureCode ::= 1
id-loadIndication
                                                          ProcedureCode ::= 2
id-errorIndication
                                                          ProcedureCode ::= 3
id-snStatusTransfer
                                                          ProcedureCode ::= 4
id-uEContextRelease
                                                          ProcedureCode ::= 5
id-x2Setup
                                                          ProcedureCode ::= 6
id-reset
                                                          ProcedureCode ::= 7
id-eNBConfigurationUpdate
                                                          ProcedureCode ::= 8
id-resourceStatusReportingInitiation
                                                          ProcedureCode ::= 9
id-resourceStatusReporting
                                                          ProcedureCode ::= 10
id-privateMessage
                                                          ProcedureCode ::= 11
id-mobilitySettingsChange
                                                          ProcedureCode ::= 12
                                                          ProcedureCode ::= 13
id-rLFIndication
                                                          ProcedureCode ::= 14
id-handoverReport
id-cellActivation
                                                          ProcedureCode ::= 15
                                                          ProcedureCode ::= 16
id-x2Release
id-x2APMessageTransfer
                                                          ProcedureCode ::= 17
id-x2Removal
                                                          ProcedureCode ::= 18
id-seNBAdditionPreparation
                                                          ProcedureCode ::= 19
                                                          ProcedureCode ::= 20
id-seNBReconfigurationCompletion
id-meNBinitiatedSeNBModificationPreparation
                                                          ProcedureCode ::= 21
id-seNBinitiatedSeNBModification
                                                          ProcedureCode ::= 22
id-meNBinitiatedSeNBRelease
                                                          ProcedureCode ::= 23
id-seNBinitiatedSeNBRelease
                                                          ProcedureCode ::= 24
id-seNBCounterCheck
                                                          ProcedureCode ::= 25
  ******************
-- Lists
__ ********************
maxEARFCN
                                        INTEGER ::= 65535
maxEARFCNPlusOne
                                        INTEGER ::= 65536
newmaxEARFCN
                                        INTEGER ::= 262143
maxInterfaces
                                        INTEGER ::= 16
maxCellineNB
                                        INTEGER ::= 256
maxnoofBands
                                        INTEGER ::= 16
```

```
maxnoofBearers
                                           INTEGER ::= 256
maxNrOfErrors
                                           INTEGER ::= 256
                                           INTEGER ::= 16
maxnoofPDCP-SN
maxnoofEPLMNs
                                           INTEGER ::= 15
maxnoofEPLMNsPlusOne
                                           INTEGER ::= 16
maxnoofForbLACs
                                           INTEGER ::= 4096
maxnoofForbTACs
                                           INTEGER ::= 4096
maxnoofBPLMNs
                                           INTEGER ::= 6
                                           INTEGER ::= 512
maxnoofNeighbours
                                           INTEGER ::= 110
maxnoofPRBs
maxPools
                                           INTEGER ::= 16
maxnoofCells
                                           INTEGER ::= 16
maxnoofMBSFN
                                           INTEGER ::= 8
maxFailedMeasObjects
                                           INTEGER ::= 32
maxnoofCellIDforMDT
                                           INTEGER ::= 32
maxnoofTAforMDT
                                           INTEGER ::= 8
                                           INTEGER ::= 256
maxnoofMBMSServiceAreaIdentities
maxnoofMDTPLMNs
                                           INTEGER ::= 16
maxnoofCoMPHypothesisSet
                                           INTEGER ::= 256
maxnoofCoMPCells
                                           INTEGER ::= 32
maxUEReport
                                           INTEGER ::= 128
maxCellReport
                                           INTEGER ::= 9
maxnoofPA
                                           INTEGER ::= 3
      ****************
-- IEs
__ *********************************
id-E-RABs-Admitted-Item
                                                                          ProtocolTE-TD ::= 0
id-E-RABs-Admitted-List
                                                                          ProtocolIE-ID ::= 1
id-E-RAB-Item
                                                                          ProtocolIE-ID ::= 2
id-E-RABs-NotAdmitted-List
                                                                          ProtocolIE-ID ::= 3
id-E-RABs-ToBeSetup-Item
                                                                          ProtocolIE-ID ::= 4
id-Cause
                                                                          ProtocolIE-ID ::= 5
id-CellInformation
                                                                          ProtocolIE-ID ::= 6
id-CellInformation-Item
                                                                          ProtocolIE-ID ::= 7
id-New-eNB-UE-X2AP-ID
                                                                          ProtocolIE-ID ::= 9
id-Old-eNB-UE-X2AP-ID
                                                                          ProtocolIE-ID ::= 10
id-TargetCell-ID
                                                                          ProtocolIE-ID ::= 11
id-TargeteNBtoSource-eNBTransparentContainer
                                                                          ProtocolIE-ID ::= 12
id-TraceActivation
                                                                          ProtocolIE-ID ::= 13
id-UE-ContextInformation
                                                                          ProtocolIE-ID ::= 14
id-UE-HistoryInformation
                                                                          ProtocolIE-ID ::= 15
id-UE-X2AP-ID
                                                                          ProtocolIE-ID ::= 16
id-CriticalityDiagnostics
                                                                          ProtocolIE-ID ::= 17
id-E-RABs-SubjectToStatusTransfer-List
                                                                          ProtocolIE-ID ::= 18
id-E-RABs-SubjectToStatusTransfer-Item
                                                                          ProtocolIE-ID ::= 19
id-ServedCells
                                                                          ProtocolIE-ID ::= 20
id-GlobalENB-ID
                                                                          ProtocolIE-ID ::= 21
id-TimeToWait
                                                                          ProtocolIE-ID ::= 22
id-GUMMEI-ID
                                                                          ProtocolIE-ID ::= 23
```

| id didease TDI int                      | Descharal III ID |      | 24 |
|---|------------------|------|----|
|   | ProtocolIE-ID    |      |    |
| -                                       | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
| -                                       | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
| -                                       | ProtocolIE-ID    |      |    |
| -                                       | ProtocolIE-ID    |      |    |
| id-SRVCCOperationPossible               | ProtocolIE-ID    | ::=  | 36 |
| id-Measurement-ID                       | ProtocolIE-ID    | : := | 37 |
| id-ReportCharacteristics                | ProtocolIE-ID    | ::=  | 38 |
| id-ENB1-Measurement-ID                  | ProtocolIE-ID    | ::=  | 39 |
| id-ENB2-Measurement-ID                  | ProtocolIE-ID    | ::=  | 40 |
| id-Number-of-Antennaports               | ProtocolIE-ID    | ::=  | 41 |
| id-CompositeAvailableCapacityGroup      | ProtocolIE-ID    | ::=  | 42 |
|   | ProtocolIE-ID    | ::=  | 43 |
| id-ENB2-Cell-ID                         | ProtocolIE-ID    | ::=  | 44 |
|   | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    | ::=  | 46 |
|   | ProtocolIE-ID    |      |    |
| g .                                     | ProtocoliE-ID    |      |    |
|   |                  |      |    |
|   | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
| -                                       | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
| id-CompleteFailureCauseInformation-List | ProtocolIE-ID    | ::=  | 68 |
| id-CompleteFailureCauseInformation-Item | ProtocolIE-ID    | ::=  | 69 |
| id-CSG-Id                               | ProtocolIE-ID    | ::=  | 70 |
| id-CSGMembershipStatus                  | ProtocolIE-ID    | ::=  | 71 |
| id-MDTConfiguration                     | ProtocolIE-ID    | ::=  | 72 |
| id-ManagementBasedMDTallowed            | ProtocolIE-ID    | ::=  | 74 |
| id-RRCConnSetupIndicator                | ProtocolIE-ID    | ::=  | 75 |
| id-NeighbourTAC                         | ProtocolIE-ID    | ::=  | 76 |
| -                                       | ProtocolIE-ID    |      |    |
|   | ProtocolIE-ID    |      |    |
|   |                  |      |    |

| id-MBMS-Service-Area-List                            | ProtocolIE-ID ::= 79  |
|--|-----------------------|
| id-HO-cause  | ProtocolIE-ID ::= 80  |
| id-TargetCellInUTRAN                                 | ProtocolIE-ID ::= 81  |
| id-MobilityInformation                               | ProtocolIE-ID ::= 82  |
| id-SourceCellCRNTI                                   | ProtocolIE-ID ::= 83  |
| id-MultibandInfoList                                 | ProtocolIE-ID ::= 84  |
| id-M3Configuration                                   | ProtocolIE-ID ::= 85  |
| id-M4Configuration                                   | ProtocolIE-ID ::= 86  |
| id-M5Configuration                                   | ProtocolIE-ID ::= 87  |
| id-MDT-Location-Info                                 | ProtocolIE-ID ::= 88  |
| id-ManagementBasedMDTPLMNList                        | ProtocolIE-ID ::= 89  |
| id-SignallingBasedMDTPLMNList                        | ProtocolIE-ID ::= 90  |
| id-ReceiveStatusOfULPDCPSDUsExtended                 | ProtocolIE-ID ::= 91  |
| id-ULCOUNTValueExtended                              | ProtocolIE-ID ::= 92  |
| id-DLCOUNTValueExtended                              | ProtocolIE-ID ::= 93  |
| id-eARFCNExtension                                   | ProtocolIE-ID ::= 94  |
| id-UL-EARFCNExtension                                | ProtocolIE-ID ::= 95  |
| id-DL-EARFCNExtension                                | ProtocolIE-ID ::= 96  |
| id-AdditionalSpecialSubframe-Info                    | ProtocolIE-ID ::= 97  |
| id-Masked-IMEISV                                     | ProtocolIE-ID ::= 98  |
| id-IntendedULDLConfiguration                         | ProtocolIE-ID ::= 99  |
| id-ExtendedULInterferenceOverloadInfo                | ProtocolIE-ID ::= 100 |
| id-RNL-Header  | ProtocolIE-ID ::= 101 |
| id-x2APMessage                                       | ProtocolIE-ID ::= 102 |
| id-ProSeAuthorized                                   | ProtocolIE-ID ::= 103 |
| id-ExpectedUEBehaviour                               | ProtocolIE-ID ::= 104 |
| id-UE-HistoryInformationFromTheUE                    | ProtocolIE-ID ::= 104 |
| id-DynamicDLTransmissionInformation                  | ProtocolIE-ID ::= 105 |
| id-UE-RLF-Report-Container-for-extended-bands        | ProtocolIE-ID ::= 100 |
| id-CoMPInformation                                   | ProtocoliE-ID ::= 107 |
| id-ReportingPeriodicityRSRPMR                        | ProtocolIE-ID ::= 108 |
| id-RSRPMRList  |                       |
|  | ProtocolIE-ID ::= 110 |
| id-MeNB-UE-X2AP-ID                                   | ProtocolIE-ID ::= 111 |
| id-SeNB-UE-X2AP-ID                                   | ProtocolIE-ID ::= 112 |
| id-UE-SecurityCapabilities                           | ProtocolIE-ID ::= 113 |
| id-SenBSecurityKey                                   | ProtocolIE-ID ::= 114 |
| id-SenBUEAggregateMaximumBitRate                     | ProtocolIE-ID ::= 115 |
| id-ServingPLMN                                       | ProtocolIE-ID ::= 116 |
| id-E-RABs-ToBeAdded-List                             | ProtocolIE-ID ::= 117 |
| id-E-RABs-ToBeAdded-Item                             | ProtocolIE-ID ::= 118 |
| id-MeNBtoSeNBContainer                               | ProtocolIE-ID ::= 119 |
| id-E-RABs-Admitted-ToBeAdded-List                    | ProtocolIE-ID ::= 120 |
| id-E-RABs-Admitted-ToBeAdded-Item                    | ProtocolIE-ID ::= 121 |
| id-SeNBtoMeNBContainer                               | ProtocolIE-ID ::= 122 |
| ${\tt id	extsf{-}ResponseInformationSeNBReconfComp}$ | ProtocolIE-ID ::= 123 |
| id-UE-ContextInformationSeNBModReq                   | ProtocolIE-ID ::= 124 |
| id-E-RABs-ToBeAdded-ModReqItem                       | ProtocolIE-ID ::= 125 |
| id-E-RABs-ToBeModified-ModReqItem                    | ProtocolIE-ID ::= 126 |
| id-E-RABs-ToBeReleased-ModReqItem                    | ProtocolIE-ID ::= 127 |
| id-E-RABs-Admitted-ToBeAdded-ModAckList              | ProtocolIE-ID ::= 128 |
| id-E-RABs-Admitted-ToBeModified-ModAckList           | ProtocolIE-ID ::= 129 |
| id-E-RABs-Admitted-ToBeReleased-ModAckList           | ProtocolIE-ID ::= 130 |
| id-E-RABs-Admitted-ToBeAdded-ModAckItem              | ProtocolIE-ID ::= 131 |
| id-E-RABs-Admitted-ToBeModified-ModAckItem           | ProtocolIE-ID ::= 132 |
|  |                       |

```
id-E-RABs-Admitted-ToBeReleased-ModAckItem
                                                                             ProtocolIE-ID ::= 133
id-E-RABs-ToBeReleased-ModRegd
                                                                             ProtocolIE-ID ::= 134
id-E-RABs-ToBeReleased-ModRegdItem
                                                                             ProtocolIE-ID ::= 135
id-SCGChangeIndication
                                                                             ProtocolIE-ID ::= 136
id-E-RABs-ToBeReleased-List-RelReg
                                                                             ProtocolIE-ID ::= 137
id-E-RABs-ToBeReleased-RelRegItem
                                                                             ProtocolIE-ID ::= 138
id-E-RABs-ToBeReleased-List-RelConf
                                                                             ProtocolIE-ID ::= 139
id-E-RABs-ToBeReleased-RelConfItem
                                                                            ProtocolIE-ID ::= 140
id-E-RABs-SubjectToCounterCheck-List
                                                                             ProtocolIE-ID ::= 141
id-E-RABs-SubjectToCounterCheckItem
                                                                             ProtocolIE-ID ::= 142
```

END

#### 9.3.8 Container definitions

```
-- Container definitions
__ ***********************************
X2AP-Containers {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) x2ap (2) version1 (1) x2ap-Containers (5) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
  ******************
-- IE parameter types from other modules.
__ ********************
IMPORTS
   maxPrivateIEs,
  maxProtocolExtensions,
  maxProtocolIEs,
   Criticality,
   Presence,
   PrivateIE-ID,
   ProtocolIE-ID
FROM X2AP-CommonDataTypes;
__ *********************
-- Class Definition for Protocol IEs
__ *********************
X2AP-PROTOCOL-IES ::= CLASS {
         ProtocolIE-ID
                                 UNIQUE,
```

```
&criticality
                  Criticality,
   &Value,
   &presence
                  Presence
WITH SYNTAX {
                  &id
   ID
   CRITICALITY
                  &criticality
   TYPE
                  &Value
   PRESENCE
                  &presence
    -- Class Definition for Protocol IEs
X2AP-PROTOCOL-IES-PAIR ::= CLASS {
                         ProtocolIE-ID
                                           UNIQUE,
   &firstCriticality
                         Criticality,
   &FirstValue,
   &secondCriticality
                         Criticality,
   &SecondValue,
   &presence
                         Presence
WITH SYNTAX {
                         &id
   ID
                         &firstCriticality
   FIRST CRITICALITY
                         &FirstValue
   FIRST TYPE
                         &secondCriticality
   SECOND CRITICALITY
   SECOND TYPE
                         &SecondValue
   PRESENCE
                         &presence
-- Class Definition for Protocol Extensions
X2AP-PROTOCOL-EXTENSION ::= CLASS {
   &id
                     ProtocolIE-ID
                                       UNIQUE,
   &criticality
                     Criticality,
   &Extension,
   &presence
                     Presence
WITH SYNTAX {
                     &id
   CRITICALITY
                     &criticality
   EXTENSION
                     &Extension
   PRESENCE
                     &presence
__ **********************
```

```
-- Class Definition for Private IEs
__ *********************
X2AP-PRIVATE-IES ::= CLASS {
                     PrivateIE-ID,
   &criticality
                     Criticality,
   &Value,
   &presence
                     Presence
WITH SYNTAX {
   ID
                      &id
   CRITICALITY
                     &criticality
   TYPE
                      &Value
   PRESENCE
                      &presence
-- Container for Protocol IEs
   ProtocolIE-Container {X2AP-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Single-Container {X2AP-PROTOCOL-IES : IEsSetParam} ::=
    ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Field {X2AP-PROTOCOL-IES : IESSetParam} ::= SEQUENCE {
                X2AP-PROTOCOL-IES.&id
                                                      ({IEsSetParam}),
   criticality X2AP-PROTOCOL-IES.&criticality
                                                      ({IEsSetParam}{@id}),
                                                      ({IEsSetParam}{@id})
               X2AP-PROTOCOL-IES.&Value
  Container for Protocol IE Pairs
ProtocolIE-ContainerPair {X2AP-PROTOCOL-IES-PAIR : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-FieldPair {{IEsSetParam}}
ProtocolIE-FieldPair {X2AP-PROTOCOL-IES-PAIR : IESSetParam} ::= SEQUENCE {
                     X2AP-PROTOCOL-IES-PAIR.&id
                                                              ({IEsSetParam}),
   firstCriticality X2AP-PROTOCOL-IES-PAIR.&firstCriticality
                                                              ({IEsSetParam}{@id}),
   firstValue
                                                              ({IEsSetParam}{@id}),
               X2AP-PROTOCOL-IES-PAIR.&FirstValue
   secondCriticality X2AP-PROTOCOL-IES-PAIR.&secondCriticality
                                                             ({IEsSetParam}{@id}),
    secondValue
                     X2AP-PROTOCOL-IES-PAIR.&SecondValue
                                                              ({IEsSetParam}{@id})
```

```
-- Container Lists for Protocol IE Containers
  *********************
ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, X2AP-PROTOCOL-IES : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-Container {{IEsSetParam}}
ProtocolIE-ContainerPairList {INTEGER : lowerBound, INTEGER : upperBound, X2AP-PROTOCOL-IES-PAIR : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-ContainerPair {{IEsSetParam}}
     ******************
-- Container for Protocol Extensions
  *****************
ProtocolExtensionContainer {X2AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
   SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
   ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField {X2AP-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE
                                                    ({ExtensionSetParam}),
                   X2AP-PROTOCOL-EXTENSION.&id
   criticality
                   X2AP-PROTOCOL-EXTENSION.&criticality
                                                    ({ExtensionSetParam}{@id}),
                                                    ({ExtensionSetParam}{@id})
   extensionValue
                   X2AP-PROTOCOL-EXTENSION. & Extension
    -- Container for Private IEs
  *****************
PrivateIE-Container {X2AP-PRIVATE-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (1..maxPrivateIEs)) OF
   PrivateIE-Field {{IEsSetParam}}
PrivateIE-Field {X2AP-PRIVATE-IES : IESSetParam} ::= SEQUENCE {
                                          ({IEsSetParam}),
              X2AP-PRIVATE-IES.&id
   criticality X2AP-PRIVATE-IES.&criticality ({IEsSetParam}{@id}),
   value
                X2AP-PRIVATE-IES.&Value
                                           ({IEsSetParam}{@id})
END
```

#### 9.4 Message transfer syntax

X2AP shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax, as specified in ITU-T Rec. X.691 [5].

#### 9.5 Timers

#### $T_{RELOCprep}$

- Specifies the maximum time for the Handover Preparation procedure in the source eNB.

#### $TX2_{RELOCoverall} \\$

- Specifies the maximum time for the protection of the overall handover procedure in the source eNB.

#### $T_{DCprep}$

- Specifies the maximum time for the SeNB Addition Preparation or MeNB initiated SeNB Modification Preparation procedure in the MeNB.

#### $T_{DCoverall}$

- Specifies the maximum time in the SeNB for either the SeNB initiated SeNB Modification procedure or the protection of the E-UTRAN actions necessary to configure UE resources at SeNB Addition or MeNB initiated SeNB Modification.

## Handling of unknown, unforeseen and erroneous protocol data

Section 10 of TS 36.413 [4] is applicable for the purposes of the present document.

# Annex A (informative): Change History

| TSG #   | TSG Doc. | CR | Rev | Subject/Comment                           | New   |
|---------|----------|----|-----|---|-------|
| 09/2009 |          |    |     | Rel-9 version is created based on v.8.7.0 | 9.0.0 |

| 45       | DD 000===              | 0000         |     | lu   | 0.00   |
|----------|------------------------|--------------|-----|--|--------|
| 45<br>45 | RP-090787<br>RP-090787 | 0296<br>0297 | 1   | Handling of Emergency Calls in Limited Service Mode  Emergency Calls Mobility Handling                               | 9.0.0  |
| 45<br>46 | RP-090787              | 0307         | 1   | Introduction of signalling support for Composite Available Capacity with   | 9.0.0  |
| 40       | KF-091192              | 0307         |     | relative units   | 9.1.0  |
| 46       | RP-091192              | 0308         | 2   | Configuration adaptation for MLB on X2   | 9.1.0  |
| 46       | RP-091183              | 0310         | 1   | Clarification on operational use of updated configuration data   | 9.1.0  |
| 46       | RP-091192              | 0317         | 2   | Automatic PRACH information exchange over X2 for SON   | 9.1.0  |
| 46       | RP-091192              | 0333         | 1   | Introduction of Radio Link Failure Indication procedure  | 9.1.0  |
| 46       | RP-091192              | 0334         | 1   | Introduction of Handover Report procedure  | 9.1.0  |
| 46       | RP-091192              | 0335         |     | Introduction of signalling support for Composite Available Capacity with   | 9.1.0  |
| 47       | RP-100213              | 0337         |     | relative units  Correction to the Resource Status Reporting Initiation procedure                                     | 9.2.0  |
| 47       | RP-100213              | 0341         | 2   | Addition of MBSFN information on X2 interface  | 9.2.0  |
| 47       | RP-100228              | 0344         | 4   | Cell pair identification for Mobility Settings Change procedure  | 9.2.0  |
| 47       | RP-100213              | 0352         |     | Addition of cause value for not admitted E-RAB   | 9.2.0  |
| 47       | RP-100229              | 0355         | 1   | Rapporteur"s update of X2AP protocol   | 9.2.0  |
| 47       | RP-100230              | 0356         | 3   | RNL-based energy saving solution   | 9.2.0  |
| 47       | RP-100228              | 0358         | 1   | Inclusion of UE RLF Report in RLF INDICATION message   | 9.2.0  |
| 48       | RP-100599              | 0363         | 1   | Correction of RLF INDICATION message   | 9.3.0  |
| 48       | RP-100599              | 0364         | 1   | Missing error cause for Not supported QCI on Handover  | 9.3.0  |
| 48       | RP-100599              | 0370         | 1   | Introduction of PLMN-related abnormal conditions during X2 handover in   | 9.3.0  |
| 40       | DD 400500              | 0070         | 4   | network sharing scenarios.   | 0.0.0  |
| 48<br>48 | RP-100599<br>RP-100599 | 0372         | 1   | Outcome of RAN3#68 review of X2AP  Correction of forbidden inter-RAT   | 9.3.0  |
| 49       | RP-100599              | 0376         | 1   | Explicit PLMN coding in Trace IEs  | 9.4.0  |
| 49       | RP-100906              |              | 2   | The corrections for Last Visited Cell Information  | 9.4.0  |
| 49       | RP-100906              | 0383         | 1   | Handover Restriction List  | 9.4.0  |
| 49       | RP-100908              | 0384         | 1   | Complete list of served cells to be provided in X2 SETUP and eNB   | 9.4.0  |
|          | 141 100000             | 0001         | ļ · | Configuration Update messages  | 0.1.0  |
| 50       | RP-101271              | 0385         |     | Clarification on Handover Restriction List   | 9.5.0  |
| 50       | RP-101270              |              | 3   | Correction of semantics description  | 9.5.0  |
| 12/2010  |                        |              |     | Rel-10 version created based in v. 9.5.0   | 10.0.0 |
| 50       | RP-101304              | 0393         | 2   | Introduction of partial failure in Resource Status Reporting Initiation  | 10.0.0 |
|          | DD 404070              | 0.40=        |     | procedure including detailed reporting of failure cause  | 1000   |
| 50       | RP-101279              | 0407         | 4   | X2 handover support  | 10.0.0 |
| SP-49    | SP-100629              | 0.400        |     | Clarification on the use of References (TS 21.801 CR#0030)   | 10.1.0 |
| 51       | RP-110231              | 0408         |     | Conditions for Enhanced X2 mobility  | 10.1.0 |
| 51<br>51 | RP-110237<br>RP-110222 | 0409         | 1   | Introduction of X2 signalling support for eICIC  Correction of the usage of optional ShortMAC-I IE in RLF INDICATION | 10.1.0 |
| 31       | KF-110222              | 0411         | '   | message  | 10.1.0 |
| 51       | RP-110230              | 0413         | 2   | Support for MDT  | 10.1.0 |
| 51       | RP-110226              | 0419         |     | Clarification on TEID value range for X2AP   | 10.1.0 |
| 51       | RP-110231              | 0420         |     | Clarify X2 Handover Scenarios  | 10.1.0 |
| 51       | RP-110237              | 0427         | 1   | Enabling reporting of ABS resource status for eICIC purposes   | 10.1.0 |
| 52       | RP-110695              | 0435         | 1   | MDT correction for TAI   | 10.2.0 |
| 52       | RP-110698              | 0436         | 1   | Clarification on Radio Resource Status   | 10.2.0 |
| 52       | RP-110700              | 0443         | 1   | X2 support of RLF Report extension for SON MRO defined in R10  | 10.2.0 |
| 52       | RP-110695              |              | 3   | Support for MDT user consent   | 10.2.0 |
| 52       | RP-110686              | 0451         |     | Rapporteur"s proposal following review of TS 36.423  | 10.2.0 |
| 52       | RP-110689              | 0452         | 1   | Correction of the partial success mechanism in Resource Status   | 10.2.0 |
| 52       | RP-110695              | 0453         | 2   | Reporting MDT amendments   | 10.2.0 |
| 52       | RP-110695              | 0453         |     | Reference review outcome in TS 36.423  | 10.2.0 |
| 52       | RP-110665              | 0454         |     | Correction of trace function and trace session   | 10.2.0 |
| 53       | RP-111196              | 0464         | 2   | Clarification of procedures defined for MLB purposes   | 10.2.0 |
| 53       | RP-111196              | 0469         | 1   | ASN.1 definition conforms to ITU-T Recommendations   | 10.3.0 |
| 53       | RP-111194              | 0476         | 2   | Updates of reported quantities for eICIC   | 10.3.0 |
| 53       | RP-111195              | 0478         | 1   | Definition of value of bit in Measurements to Activate   | 10.3.0 |
| 53       | RP-111197              | 0479         |     | Clarification on PLMN Identity   | 10.3.0 |
| 54       | RP-111648              | 0480         | 2   | Correction on ABS Information  | 10.4.0 |
| 55       | RP-120234              | 0491         | 1   | Correct of reset   | 10.5.0 |
| 03/2012  |                        |              |     | Rel-11 version created based in v. 10.5.0  | 11.0.0 |
|          |                        |              |     |  |        |
| 55       | RP-120236              | 0487         | 1   | Addition of TAC to the neighbour information of a served cell for X2 setup   | 11.0.0 |

| 56      | RP-120751                           | 0496                 | I | Introduction of the Cogurity Algorithm (711C)  | 11.1.0           |
|---------|-------------------------------------|----------------------|---|--|------------------|
| 56      | RP-120751                           | 0498                 |   | Introduction of the Security Algorithm (ZUC)  Clarification on TAC in X2 Setup               | 11.1.0           |
| 56      | RP-120751                           |                      | 3 | Adding RRC re-establishment cause to RLF indication  | 11.1.0           |
| 56      | RP-120751                           |                      | 1 | Correction on Emergency ARP Value  | 11.1.0           |
| 56      | RP-120752                           | 0516                 |   | Improved granularity for the time UE stayed in cell  | 11.1.0           |
| 57      | RP-120732                           | 0520                 |   | Support of MBMS Service Continuity   | 11.1.0           |
| 57      | RP-121137                           |                      | 3 |  | 11.2.0           |
| 57      | RP-121140                           |                      | 1 | Multiband support per cell  Enhancement of HO REPORT to enable inter-RAT ping-pong detection |                  |
| 37      | RP-121135                           | 0540                 | 1 | and addition of HO cause value to the UE history information                                 | 11.2.0           |
| 57      | DD 121120                           | 05.46                |   | •  | 11.2.0           |
| 58      | RP-121139<br>RP-121731              | 0546<br>0548         |   | Support for new special subframe configurations  | 11.2.0           |
| 58      | RP-121731                           | 0549                 | 2 | Addition of Mobility Information   |                  |
| 58      |                                     |                      |   | Introduction of new MDT measurements   | 11.3.0           |
|         | RP-121732                           | 0550                 |   | HeNB Mobility enhancement when target is hybrid HeNB   | 11.3.0           |
| 58      | RP-121730                           | 0552                 | 2 | Multi-PLMN MDT   | 11.3.0           |
| 58      | RP-121731                           | 0564                 |   | Clarification on successful handover for HO report procedure                                 | 11.3.0           |
| 58      | RP-121737                           | 0569                 |   | X2AP Rapporteur Update   | 11.3.0           |
| 59      | RP-130208                           |                      | 3 | Correction on the Special Subframe Pattern   | 11.4.0           |
| 59      | RP-130208                           |                      | 2 | Support for Downlink-Only Bands  | 11.4.0           |
| 59      | RP-130207                           | 0581                 |   | Correction on use of Mobility Information  | 11.4.0           |
| 59      | RP-130207                           | 0582                 |   | Correction on MRO procedures   | 11.4.0           |
| 59      | RP-130237                           | 0583                 | 2 | Extending maxEARFCN  | 11.4.0           |
| 59      | RP-130237                           | 0584                 | 1 | Extending Maximum Frequency Band Index   | 11.4.0           |
| 59      | RP-130211                           | 0585                 | 1 | Rapporteur correction of X2AP  | 11.4.0           |
| 59      | RP-130207                           | 0586                 |   | Clarification on Signalling Based MDT PLMN List  | 11.4.0           |
| 59      | RP-130210                           |                      | 1 | X2AP modification for PDCP SN extension  | 11.4.0           |
| 60      | RP-130643                           | 0588                 |   | Correction on the Definition of Direct Neighbours  | 11.5.0           |
| 60      | RP-130641                           | 0589                 | 1 | Correction for the MDT Location Information IE   | 11.5.0           |
| 60      | RP-130640                           | 0590                 |   | Correction on RLF INDICATION procedure   | 11.5.0           |
| 60      | RP-130643                           | 0592                 | 1 | Security key generation in case of MFBI  | 11.5.0           |
| 60      | RP-130643                           | 0593                 | 2 | Correction on the Multiple Frequency Band Indicators   | 11.5.0           |
| 61      | RP-131181                           | 0598                 | 1 | Correction on Handover Report procedure  | 11.6.0           |
| 61      | RP-131179                           | 0602                 |   | Correction on ABS Information  | 11.6.0           |
| 61      | RP-131183                           | 0606                 |   | Correction of terminology concerning the mobility restriction function                       | 11.6.0           |
| 62      | RP-131902                           | 0609                 |   | Correction of Handover Restriction List  | 11.7.0           |
| 62      | RP-131902                           |                      | 1 | Correction for Load Balancing Related cause value CR for 36423                               | 11.7.0           |
| 62      | RP-131902                           | 0623                 | - | Correction for Load Balancing Related IE   | 12.0.0           |
| 62      | RP-131902                           |                      | 3 | Handling SIPTO@LN during UE Context Release procedure  | 12.0.0           |
| 63      | RP-140294                           | 0634                 | 3 | Correction to tabular of Served Cell Information IE  | 12.1.0           |
| 64      | RP-140294                           | 0629                 | 4 |  | 12.1.0           |
| 64      |                                     | 0630                 |   | TDD eIMTA support on X2AP Provide IMEISV to eNB to identify UE characteristics               | 12.2.0           |
| 64      |                                     |                      |   |  |                  |
|         |                                     | 0661                 | 1 | Correction of SN STATUS TRANSFER   | 12.2.0           |
| 64      | RP-140905                           | 0676                 |   | Clarification of DL ABS status   | 12.2.0           |
| 64      | RP-140897                           | 0641                 |   | Introduce X2GW procedures in Stage-3   | 12.2.0           |
| 65      | RP-141520                           | 0663                 |   | Introduction of the UE history reported from the UE  | 12.3.0           |
| 65      | RP-141518                           | 0690                 |   | Introduction of an indication of the expected UE behaviour                                   | 12.3.0           |
| 66      | RP-142089                           | 0691                 |   | Introduction of Dual Connectivity  | 12.4.0           |
| 66      | RP-142090                           | 0692                 |   | Introduction of inter-eNB CoMP signalling  | 12.4.0           |
| 66      | RP-142092                           | 0748                 |   | X2 support for Network Assisted Interference Cancellation                                    | 12,4.0           |
| 66      | RP-142094                           | 0754                 |   | X2AP Rapporteur Update   | 12.4.0           |
| 66      | RP-142094                           | 0759                 |   | Correction on RLF Report Container   | 12.4.0           |
| 66      | RP-142094                           | 0776                 |   | Setting of Re-establishment Cell ID in RLF Indication message                                | 12.4.0           |
| 66      | RP-142094                           | 0777                 | 3 | X2 Removal Signaling   | 12.4.0           |
| 12/2014 |                                     |                      |   | History table corrected  | 12.4.1           |
| 12/2014 |                                     |                      |   | ASN.1 correction to make it compilable   | 12.4.2           |
| 67      | RP-150353                           | 0693                 |   | ProSe authorized indication  | 12.5.0           |
| 67      | RP-150351                           | 0782                 |   | Corrections on the usage of SeNB UE AMBR in dual connectivity                                | 12.5.0           |
| 67      | RP-150351                           | 0790                 |   | Corrections of Dual Connectivity in general  | 12.5.0           |
| 67      | RP-150356                           | 0797                 | 1 | Correction on DC stage3  | 12.5.0           |
| 67      | RP-150348                           | 0801                 | 1 | Correction of the Usage of the MultibandInfoList IE  | 12.5.0           |
| 67      | RP-150351                           | 0802                 | 1 | Introduction of Cause values for Dual Connectivity   | 12.5.0           |
| 01      |                                     | 1                    |   | ASN.1 Corrections for X2AP   | 12.5.0           |
| 67      | RP-150356                           | 0803                 |   | 7 Con Collons for AZA  |                  |
|         | RP-150356<br>RP-150351              |                      |   |  |                  |
| 67      | RP-150356<br>RP-150351<br>RP-150356 | 0803<br>0804<br>0805 |   | Corrections for Dual Connectivity  Miscellaneous Editorials for X2AP                         | 12.5.0<br>12.5.0 |

| 68 | RP-150943 | 0807 | 1 | Correction on the definition of SeNB Reconfiguration Complete   | 12.6.0 |
|----|-----------|------|---|---|--------|
| 68 | RP-150943 | 0827 | 1 | Introduction of a new DC cause for not supported configurations | 12.6.0 |
| 68 | RP-150943 | 0831 |   | Clarification on UE-AMBR for split bearer                       | 12.6.0 |

### History

|         | Document history |             |  |  |  |
|---------|------------------|-------------|--|--|--|
| V12.3.0 | September 2014   | Publication |  |  |  |
| V12.4.2 | February 2015    | Publication |  |  |  |
| V12.5.0 | April 2015       | Publication |  |  |  |
| V12.6.0 | July 2015        | Publication |  |  |  |
|         |                  |             |  |  |  |