ETSI TS 138 212 V15.9.0 (2020-07)



5G; NR;

Multiplexing and channel coding (3GPP TS 38.212 version 15.9.0 Release 15)



Reference RTS/TSGR-0138212vf90 Keywords 5G

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from: <u>http://www.etsi.org/standards-search</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommitteeSupportStaff.aspx

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2020. All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M™ logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

Contents

Intelle	ectual Property Rights	2
Legal	Notice	2
Modal	l verbs terminology	2
Forew	vord	6
1	Scope	7
2	References	7
	Definitions, symbols and abbreviations	
3.1	Definitions	
3.2	Symbols	
3.3	Abbreviations	
4	Mapping to physical channels	8
4.1	Uplink	8
4.2	Downlink	9
5	General procedures	
5.1	CRC calculation	
5.2	Code block segmentation and code block CRC attachment	10
5.2.1	Polar coding	10
5.2.2	Low density parity check coding	11
5.3	Channel coding.	12
5.3.1	Polar coding	13
5.3.1.1		
5.3.1.2		
5.3.2	Low density parity check coding	
5.3.3	Channel coding of small block lengths	
5.3.3.1	· · · · · · · · · · · · · · · · · · ·	
5.3.3.2		
5.3.3.3		
5.4	Rate matching	
5.4.1	Rate matching for Polar code	
5.4.1.1	· · · · · · · · · · · · · · · · · · ·	
5.4.1.2		
5.4.1.2		
5.4.1.3 5.4.2	Rate matching for LDPC code	
5.4.2.1	· · · · · · · · · · · · · · · · · · ·	
5.4.2.2	ϵ	
5.4.3	Rate matching for channel coding of small block lengths	
5.5	Code block concatenation	
	Uplink transport channels and control information	
6.1	Random access channel	
6.2	Uplink shared channel	
6.2.1	Transport block CRC attachment	
6.2.2	LDPC base graph selection	
6.2.3	Code block segmentation and code block CRC attachment	
6.2.4	Channel coding of UL-SCH	
6.2.5	Rate matching	
6.2.6	Code block concatenation	
6.2.7	Data and control multiplexing	34
6.3	Uplink control information	
6.3.1	Uplink control information on PUCCH	44
6.3.1.1		
6.3.1.1		
6.3.1.1	·	

6.3.1.1.3	HARQ-ACK/SR and CSI	52
6.3.1.2	Code block segmentation and CRC attachment	53
6.3.1.2.1	UCI encoded by Polar code	
6.3.1.2.2	UCI encoded by channel coding of small block lengths	
6.3.1.3	Channel coding of UCI	
6.3.1.3.1	UCI encoded by Polar code	
6.3.1.3.2	UCI encoded by channel coding of small block lengths	
6.3.1.4	Rate matching	
6.3.1.4.1	UCI encoded by Polar code	
6.3.1.4.2	UCI encoded by channel coding of small block lengths	
6.3.1.5	Code block concatenation	
6.3.1.6	Multiplexing of coded UCI bits to PUCCH	
6.3.2	Uplink control information on PUSCH	
6.3.2.1	UCI bit sequence generation	
6.3.2.1.1	HARQ-ACK	
6.3.2.1.2	CSI	
6.3.2.2	Code block segmentation and CRC attachment	
6.3.2.2.1	UCI encoded by Polar code	
6.3.2.2.2 6.3.2.3	UCI encoded by channel coding of small block lengths	
6.3.2.3.1	Channel coding of UCI	
6.3.2.3.1	UCI encoded by Polar code	
6.3.2.4	Rate matching	
6.3.2.4.1	UCI encoded by Polar code	
6.3.2.4.1	· · · · · · · · · · · · · · · · · · ·	
6.3.2.4.1.1		
6.3.2.4.1.3	I	
6.3.2.4.2	UCI encoded by channel coding of small block lengths	
6.3.2.4.2.1		
6.3.2.4.2.2		
6.3.2.4.2.3	1	
6.3.2.5	Code block concatenation	
6.3.2.6	Multiplexing of coded UCI bits to PUSCH	
	ownlink transport channels and control information	
7.1	Broadcast channel	
7.1.1	PBCH payload generation	
7.1.2	Scrambling	
7.1.3	Transport block CRC attachment	
7.1.4	Channel coding	
7.1.5	Rate matching	
7.2	Downlink shared channel and paging channel	
7.2.1	Transport block CRC attachment	
7.2.2	LDPC base graph selection	
7.2.3 7.2.4	Code block segmentation and code block CRC attachment	
	Channel coding	
7.2.5 7.2.6	Rate matching	
7.2.6	Downlink control information	
7.3 7.3.1	DCI formats	
7.3.1.0	DCI size alignment	
7.3.1.0	DCI formats for scheduling of PUSCH	
7.3.1.1	Format 0_0	
7.3.1.1.1	Format 0_1	
7.3.1.1.2	DCI formats for scheduling of PDSCH	
7.3.1.2.1	Format 1_0	
7.3.1.2.1	Format 1_1	
7.3.1.2.2	DCI formats for other purposes	
7.3.1.3.1	Format 2_0	
7.3.1.3.1	Format 2_1	
7.3.1.3.2	Format 2_2	
7.3.1.3.4	Format 2 3	

7.3.2	CRC attachment		102
7.3.4			
Annex <	A> (informative):	Change history	104
History			105

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document specifies the coding, multiplexing and mapping to physical channels for 5G NR.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 38.201: "NR; Physical Layer – General Description"
[3]	3GPP TS 38.202: "NR; Services provided by the physical layer"
[4]	3GPP TS 38.211: "NR; Physical channels and modulation"
[5]	3GPP TS 38.213: "NR; Physical layer procedures for control"
[6]	3GPP TS 38.214: "NR; Physical layer procedures for data"
[7]	3GPP TS 38.215: "NR; Physical layer measurements"
[8]	3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification"
[9]	3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification"

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

3.2 Symbols

For the purposes of the present document, the following symbols apply:

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BCH Broadcast channel CBG Code block group

CBGTI Code block group transmission information

CORESET Control resource set Channel quality indicator CQI **CRC** Cyclic redundancy check CSI-RS resource indicator CRI CSI Channel state information CSI-RS CSI reference signal DAI Downlink assignment index DCI Downlink control information

DL Downlink

DL-SCH Downlink shared channel

DMRS Dedicated demodulation reference signal

HARQ Hybrid automatic repeat request

HARQ-ACK Hybrid automatic repeat request acknowledgement

LDPC Low density parity check

LI Layer indicator

MCS Modulation and coding scheme

OFDM Orthogonal frequency division multiplex

PBCH Physical broadcast channel

PCH Paging channel

PDCCH Physical downlink control channel
PDSCH Physical downlink shared channel
PMI Precoding matrix indicator

PMI Precoding matrix indicator PRB Physical resource block

PRACH Physical random access channel
PTRS Phase-tracking reference signal
PUCCH Physical uplink control channel
PUSCH Physical uplink shared channel
RACH Random access channel

RI Rank indicator

RSRP Reference signal received power

SFN System frame number
SR Scheduling request
SRS Sounding reference signal
SS Synchronisation signal
SUL Supplementary uplink
TPC Transmit power control
TrCH Transport channel

UCI Uplink control information

UE User equipment

UL Uplink

UL-SCH Uplink shared channel VRB Virtual resource block ZP CSI-RS Zero power CSI-RS

4 Mapping to physical channels

4.1 Uplink

Table 4.1-1 specifies the mapping of the uplink transport channels to their corresponding physical channels. Table 4.1-2 specifies the mapping of the uplink control channel information to its corresponding physical channel.

Table 4.1-1

TrCH	Physical Channel
UL-SCH	PUSCH
RACH	PRACH

Table 4.1-2

Control information	Physical Channel
UCI	PUCCH, PUSCH

4.2 Downlink

Table 4.2-1 specifies the mapping of the downlink transport channels to their corresponding physical channels. Table 4.2-2 specifies the mapping of the downlink control channel information to its corresponding physical channel.

Table 4.2-1

TrCH	Physical Channel
DL-SCH	PDSCH
BCH	PBCH
PCH	PDSCH

Table 4.2-2

Control information	Physical Channel
DCI	PDCCH

5 General procedures

Data and control streams from/to MAC layer are encoded /decoded to offer transport and control services over the radio transmission link. Channel coding scheme is a combination of error detection, error correcting, rate matching, interleaving and transport channel or control information mapping onto/splitting from physical channels.

5.1 CRC calculation

Denote the input bits to the CRC computation by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, and the parity bits by $p_0, p_1, p_2, p_3, ..., p_{L-1}$, where A is the size of the input sequence and L is the number of parity bits. The parity bits are generated by one of the following cyclic generator polynomials:

- $g_{\text{CRC24A}}(D) = [D^{24} + D^{23} + D^{18} + D^{17} + D^{14} + D^{11} + D^{10} + D^7 + D^6 + D^5 + D^4 + D^3 + D + 1]$ for a CRC length L = 24:
- $g_{\text{CRC24B}}(D) = [D^{24} + D^{23} + D^6 + D^5 + D + 1]$ for a CRC length L = 24;
- $g_{\text{CRC24C}}(D) = [D^{24} + D^{23} + D^{21} + D^{20} + D^{17} + D^{15} + D^{13} + D^{12} + D^{8} + D^{4} + D^{2} + D + 1] \text{ for a CRC length } L = 24;$
- $g_{\text{CRC16}}(D) = [D^{16} + D^{12} + D^5 + 1]$ for a CRC length L = 16;
- $g_{CRC11}(D) = [D^{11} + D^{10} + D^9 + D^5 + 1]$ for a CRC length L = 11;
- $g_{CRC6}(D) = [D^6 + D^5 + 1]$ for a CRC length L = 6.

The encoding is performed in a systematic form, which means that in GF(2), the polynomial:

$$a_0 D^{A+L-1} + a_1 D^{A+L-2} + ... + a_{A-1} D^L + p_0 D^{L-1} + p_1 D^{L-2} + ... + p_{L-2} D^1 + p_{L-1}$$

yields a remainder equal to 0 when divided by the corresponding CRC generator polynomial.

The bits after CRC attachment are denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$, where B = A + L. The relation between a_k and b_k is:

$$b_k = a_k$$
 for $k = 0,1,2,...,A-1$
$$b_k = p_{k-A}$$
 for $k = A, A+1, A+2,..., A+L-1$.

5.2 Code block segmentation and code block CRC attachment

5.2.1 Polar coding

The input bit sequence to the code block segmentation is denoted by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, where A > 0.

if
$$I_{seg} = 1$$

Number of code blocks: $C = 2$;
else

Number of code blocks: $C = 1$
end if
$$A' = \lceil A/C \rceil \cdot C$$
;

for i = 0 to A'-A-1

 $a'_{i} = 0$;

end for

for i = A' - A to A' - 1

$$a'_{i} = a_{i-(A'-A)};$$

end for

s = 0;

for r = 0 to C - 1

for k = 0 to A'/C-1

 $c_{rk} = a'_s$;

 $s=s+1\,;$

end for

The sequence $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(A'/C-1)}$ is used to calculate the CRC parity bits $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$ according to Clause 5.1 with a generator polynomial of length L.

for k = A'/C to A'/C + L - 1

$$c_{rk} = p_{r(k-A'/C)};$$

end for

end for

The value of A is no larger than 1706.

5.2.2 Low density parity check coding

The input bit sequence to the code block segmentation is denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$, where B > 0. If B is larger than the maximum code block size K_{cb} , segmentation of the input bit sequence is performed and an additional CRC sequence of L = 24 bits is attached to each code block.

For LDPC base graph 1, the maximum code block size is:

- $K_{\rm cb} = 8448$.

For LDPC base graph 2, the maximum code block size is:

 $-K_{cb} = 3840.$

Total number of code blocks *C* is determined by:

if $B \le K_{cb}$

L = 0

Number of code blocks: C = 1

B' = B

else

L = 24

Number of code blocks: $C = [B/(K_{cb} - L)].$

 $B' = B + C \cdot L$

end if

The bits output from code block segmentation are denoted by $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r - 1)}$, where $0 \le r < C$ is the code block number, and $K_r = K$ is the number of bits for the code block number r.

The number of bits K in each code block is calculated as:

K'=B'/C;

For LDPC base graph 1,

 $K_b = 22$.

For LDPC base graph 2,

if B > 640

 $K_b = 10$;

elseif B > 560

 $K_b = 9$;

elseif B > 192

 $K_b = 8$;

else

 $K_b = 6$;

end if

find the minimum value of Z in all sets of lifting sizes in Table 5.3.2-1, denoted as Z_c , such that $K_b \cdot Z_c \ge K'$, and set $K = 22Z_c$ for LDPC base graph 1 and $K = 10Z_c$ for LDPC base graph 2;

The bit sequence c_{rk} is calculated as:

```
s=0.
for r = 0 to C - 1
    for k = 0 to K'-L-1
       c_{rk} = b_s:
        s = s + 1:
    end for
    if C > 1
        The sequence c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K'-L-1)} is used to calculate the CRC parity bits p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}
        according to Clause 5.1 with the generator polynomial g_{CRC24B}(D).
        for k = K'-L to K'-1
            c_{rk} = p_{r(k+L-K')}.
        end for
    end if
    for k = K' to K - 1 -- Insertion of filler bits
        c_{rk} = < NULL >
    end for
end for
```

5.3 Channel coding

Usage of coding scheme for the different types of TrCH is shown in table 5.3-1. Usage of coding scheme for the different control information types is shown in table 5.3-2.

Table 5.3-1: Usage of channel coding scheme for TrCHs

TrCH	Coding scheme
UL-SCH	
DL-SCH	LDPC
PCH	
BCH	Polar code

Table 5.3-2: Usage of channel coding scheme for control information

Control Information	Coding scheme
DCI	Polar code
UCI	Block code
UCI	Polar code

5.3.1 Polar coding

The bit sequence input for a given code block to channel coding is denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits to encode. After encoding the bits are denoted by $d_0, d_1, d_2, ..., d_{N-1}$, where $N = 2^n$ and the value of n is determined by the following:

Denote by E the rate matching output sequence length as given in Clause 5.4.1;

If
$$E \leq (9/8) \cdot 2^{(\lceil \log_2 E \rceil - 1)}$$
 and $K/E < 9/16$

$$n_1 = \lceil \log_2 E \rceil - 1;$$
else
$$n_1 = \lceil \log_2 E \rceil;$$
end if
$$R_{\min} = 1/8;$$

$$n_2 = \lceil \log_2 (K/R_{\min}) \rceil;$$

 $n = \max \left\{ \min \left\{ n_1, n_2, n_{\max} \right\}, n_{\min} \right\}$

where $n_{\min} = 5$.

UE is not expected to be configured with $K + n_{PC} > E$, where n_{PC} is the number of parity check bits defined in Clause 5.3.1.2.

5.3.1.1 Interleaving

The bit sequence $c_0, c_1, c_2, c_3, ..., c_{K-1}$ is interleaved into bit sequence $c_0, c_1, c_2, c_3, ..., c_{K-1}$ as follows:

$$c'_{k} = c_{\Pi(k)}, k = 0,1,...,K-1$$

where the interleaving pattern $\Pi(k)$ is given by the following:

if
$$I_{IL} = 0$$

$$\Pi(k) = k , k = 0,1,...,K-1$$
 else
$$k = 0 ;$$
 for $m = 0$ to $K_{IL}^{\max} - 1$ if $\Pi_{IL}^{\max}(m) \ge K_{IL}^{\max} - K$
$$\Pi(k) = \Pi_{IL}^{\max}(m) - \left(K_{IL}^{\max} - K\right);$$
 $k = k+1$;

end if end for end if

where $\Pi_{IL}^{\text{max}}(m)$ is given by Table 5.3.1.1-1 and $K_{IL}^{\text{max}} = 164$.

m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$
0	0	28	67	56	122	84	68	112	33	140	38
1	2	29	69	57	123	85	73	113	36	141	144
2	4	30	70	58	126	86	78	114	44	142	39
3	7	31	71	59	127	87	84	115	47	143	145
4	9	32	72	60	129	88	90	116	64	144	40
5	14	33	76	61	132	89	92	117	74	145	146
6	19	34	77	62	134	90	94	118	79	146	41
7	20	35	81	63	138	91	96	119	85	147	147
8	24	36	82	64	139	92	99	120	97	148	148
9	25	37	83	65	140	93	102	121	100	149	149
10	26	38	87	66	1	94	105	122	103	150	150
11	28	39	88	67	3	95	107	123	117	151	151
12	31	40	89	68	5	96	109	124	125	152	152
13	34	41	91	69	8	97	112	125	131	153	153
14	42	42	93	70	10	98	114	126	136	154	154
15	45	43	95	71	15	99	116	127	142	155	155
16	49	44	98	72	21	100	121	128	12	156	156
17	50	45	101	73	27	101	124	129	17	157	157
18	51	46	104	74	29	102	128	130	23	158	158
19	53	47	106	75	32	103	130	131	37	159	159
20	54	48	108	76	35	104	133	132	48	160	160
21	56	49	110	77	43	105	135	133	75	161	161
22	58	50	111	78	46	106	141	134	80	162	162
23	59	51	113	79	52	107	6	135	86	163	163
24	61	52	115	80	55	108	11	136	137		
25	62	53	118	81	57	109	16	137	143		
26	65	54	119	82	60	110	22	138	13		
27	66	55	120	83	63	111	30	139	18		

Table 5.3.1.1-1: Interleaving pattern $\Pi_{IL}^{\max}(m)$

5.3.1.2 Polar encoding

The Polar sequence $\mathbf{Q}_0^{N_{\max}-1} = \left\{ Q_0^{N_{\max}}, Q_1^{N_{\max}}, ..., Q_{N_{\max}-1}^{N_{\max}} \right\}$ is given by Table 5.3.1.2-1, where $0 \leq Q_i^{N_{\max}} \leq N_{\max} - 1$ denotes a bit index before Polar encoding for $i = 0,1,...,N_{\max} - 1$ and $N_{\max} = 1024$. The Polar sequence $\mathbf{Q}_0^{N_{\max}-1}$ is in ascending order of reliability $W(Q_0^{N_{\max}}) < W(Q_1^{N_{\max}}) < ... < W(Q_{N_{\max}-1}^{N_{\max}})$, where $W(Q_i^{N_{\max}})$ denotes the reliability of bit index $Q_i^{N_{\max}}$.

For any code block encoded to N bits, a same Polar sequence $\mathbf{Q}_0^{N-1} = \left\{ Q_0^N, Q_1^N, Q_2^N, ..., Q_{N-1}^N \right\}$ is used. The Polar sequence \mathbf{Q}_0^{N-1} is a subset of Polar sequence $\mathbf{Q}_0^{N_{\max}-1}$ with all elements $Q_i^{N_{\max}}$ of values less than N, ordered in ascending order of reliability $W\left(Q_0^N\right) < W\left(Q_1^N\right) < W\left(Q_2^N\right) < ... < W\left(Q_{N-1}^N\right)$.

Denote $\overline{\mathbf{Q}}_{I}^{N}$ as a set of bit indices in Polar sequence \mathbf{Q}_{0}^{N-1} , and $\overline{\mathbf{Q}}_{F}^{N}$ as the set of other bit indices in Polar sequence \mathbf{Q}_{0}^{N-1} , where $\overline{\mathbf{Q}}_{I}^{N}$ and $\overline{\mathbf{Q}}_{F}^{N}$ are given in Clause 5.4.1.1, $\left|\overline{\mathbf{Q}}_{I}^{N}\right| = K + n_{PC}$, $\left|\overline{\mathbf{Q}}_{F}^{N}\right| = N - \left|\overline{\mathbf{Q}}_{I}^{N}\right|$, and n_{PC} is the number of parity check bits.

Denote
$$\mathbf{G}_N = (\mathbf{G}_2)^{\otimes n}$$
 as the *n*-th Kronecker power of matrix \mathbf{G}_2 , where $\mathbf{G}_2 = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$.

For a bit index j with j=0,1,...,N-1, denote \mathbf{g}_j as the j-th row of \mathbf{G}_N and $w(\mathbf{g}_j)$ as the row weight of \mathbf{g}_j , where $w(\mathbf{g}_j)$ is the number of ones in \mathbf{g}_j . Denote the set of bit indices for parity check bits as \mathbf{Q}_{PC}^N , where $|\mathbf{Q}_{PC}^N| = n_{PC}$. A number of $(n_{PC}-n_{PC}^{wm})$ parity check bits are placed in the $(n_{PC}-n_{PC}^{wm})$ least reliable bit indices in $\overline{\mathbf{Q}}_I^N$. A number of n_{PC}^{wm} other parity check bits are placed in the bit indices of minimum row weight in $\widetilde{\mathbf{Q}}_I^N$, where $\widetilde{\mathbf{Q}}_I^N$ denotes the $|\overline{\mathbf{Q}}_I^N| - n_{PC}$ most reliable bit indices in $\overline{\mathbf{Q}}_I^N$; if there are more than n_{PC}^{wm} bit indices of the same minimum row weight

in $\tilde{\mathbf{Q}}_{I}^{N}$, the n_{PC}^{wm} other parity check bits are placed in the n_{PC}^{wm} bit indices of the highest reliability and the minimum row weight in $\tilde{\mathbf{Q}}_{I}^{N}$.

```
Generate \mathbf{u} = [u_0 \ u_1 \ u_2 \dots u_{N-1}] according to the following:
     k = 0;
    if n_{PC} > 0
         y_0 = 0; y_1 = 0; y_2 = 0; y_3 = 0; y_4 = 0;
         for n = 0 to N - 1
             y_t = y_0; y_0 = y_1; y_1 = y_2; y_2 = y_3; y_3 = y_4; y_4 = y_t;
             if n \in \overline{\mathbf{Q}}_{I}^{N}
                 if n \in \mathbf{Q}_{PC}^N
                     u_n = y_0;
                      u_n = c_k;
                      k = k + 1;
                      y_0 = y_0 \oplus u_n;
                  end if
             else
                  u_n = 0;
             end if
         end for
    else
         for n = 0 to N - 1
             if n \in \overline{\mathbf{Q}}_{I}^{N}
                  u_n = c_k;
                  k = k + 1;
             else
                  u_n = 0;
             end if
```

end for

end if

The output after encoding $\mathbf{d} = \begin{bmatrix} d_0 & d_1 & d_2 & \dots & d_{N-1} \end{bmatrix}$ is obtained by $\mathbf{d} = \mathbf{u}\mathbf{G}_N$. The encoding is performed in GF(2).

Table 5.3.1.2-1: Polar sequence $\mathbf{Q}_0^{N_{\max}-1}$ and its corresponding reliability $W(Q_i^{N_{\max}})$

$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$
0	0	128	518	256	94	384	214	512	364	640	414	768	819	896	966
1	1	129	54	257	204	385	309	513	654	641	223	769	814	897	755
3	2	130 131	83 57	258 259	298 400	386 387	188 449	514 515	659 335	642 643	663 692	770 771	439 929	898 899	859 940
4	8	132	521	260	608	388	217	516	480	644	835	772	490	900	830
5	16	133	112	261	352	389	408	517	315	645	619	773	623	901	911
6	32	134	135	262	325	390	609	518	221	646	472	774	671	902	871
7	3	135	78	263	533	391	596	519	370	647	455	775	739	903	639
8	5 64	136 137	289 194	264 265	155 210	392 393	551 650	520 521	613 422	648 649	796 809	776 777	916 463	904 905	888 479
10	9	138	85	266	305	394	229	522	425	650	714	778	843	906	946
11	6	139	276	267	547	395	159	523	451	651	721	779	381	907	750
12	17	140	522	268	300	396	420	524	614	652	837	780	497	908	969
13 14	10 18	141 142	58 168	269 270	109 184	397 398	310 541	525 526	543 235	653 654	716 864	781 782	930 821	909 910	508 861
15	128	143	139	271	534	399	773	527	412	655	810	783	726	911	757
16	12	144	99	272	537	400	610	528	343	656	606	784	961	912	970
17	33	145	86	273	115	401	657	529	372	657	912	785	872	913	919
18 19	65 20	146 147	60 280	274 275	167 225	402 403	333 119	530 531	775 317	658 659	722 696	786 787	492 631	914 915	875 862
20	256	148	89	276	326	404	600	532	222	660	377	788	729	916	758
21	34	149	290	277	306	405	339	533	426	661	435	789	700	917	948
22	24	150	529	278	772	406	218	534	453	662	817	790	443	918	977
23	36 7	151 152	524 196	279 280	157	407 408	368 652	535 536	237 559	663 664	319 621	791 792	741 845	919 920	923 972
25	129	152	141	281	656 329	408	230	537	833	665	812	792	920	920	761
26	66	154	101	282	110	410	391	538	804	666	484	794	382	922	877
27	512	155	147	283	117	411	313	539	712	667	430	795	822	923	952
28 29	11 40	156 157	176 142	284 285	212 171	412 413	450 542	540 541	834 661	668 669	838 667	796 797	851 730	924 925	495 703
30	68	157	530	285	776	413	334	541	808	670	488	797	498	925	935
31	130	159	321	287	330	415	233	543	779	671	239	799	880	927	978
32	19	160	31	288	226	416	555	544	617	672	378	800	742	928	883
33	13 48	161 162	200 90	289	549	417 418	774 175	545 546	604 433	673 674	459 622	801 802	445 471	929 930	762 503
35	14	163	545	290 291	538 387	418	123	547	720	675	627	802	635	930	925
36	72	164	292	292	308	420	658	548	816	676	437	804	932	932	878
37	257	165	322	293	216	421	612	549	836	677	380	805	687	933	735
38	21 132	166 167	532	294 295	416 271	422 423	341 777	550 551	347 897	678 679	818	806 807	903 825	934 935	993 885
40	35	168	263 149	295	279	423	220	552	243	680	461 496	808	500	936	939
41	258	169	102	297	158	425	314	553	662	681	669	809	846	937	994
42	26	170	105	298	337	426	424	554	454	682	679	810	745	938	980
43	513	171	304	299	550	427	395	555	318	683	724	811	826	939	926
45	80 37	172 173	296 163	300 301	672 118	428 429	673 583	556 557	675 618	684 685	841 629	812 813	732 446	940 941	764 941
46	25	174	92	302	332	430	355	558	898	686	351	814	962	942	967
47	22	175	47	303	579	431	287	559	781	687	467	815	936	943	886
48	136 260	176 177	267 385	304 305	540 389	432 433	183 234	560 561	376 428	688 689	438 737	816 817	475 853	944 945	831 947
50	264	177	546	306	173	434	125	562	665	690	251	818	867	945	507
51	38	179	324	307	121	435	557	563	736	691	462	819	637	947	889
52	514	180	208	308	553	436	660	564	567	692	442	820	907	948	984
53 54	96	181 182	386 150	309 310	199 784	437 438	616 342	565 566	840 625	693 694	441 469	821 822	487 695	949 950	751 942
55	67 41	183	153	311	179	439	316	567	238	695	247	823	746	951	996
56	144	184	165	312	228	440	241	568	359	696	683	824	828	952	971
57	28	185	106	313	338	441	778	569	457	697	842	825	753	953	890
58 59	69 42	186 187	55 328	314 315	312 704	442 443	563 345	570 571	399 787	698 699	738 899	826 827	854 857	954 955	509 949
60	516	188	536	316	390	444	452	572	591	700	670	828	504	956	973
61	49	189	577	317	174	445	397	573	678	701	783	829	799	957	1000
62	74	190	548	318	554	446	403	574	434	702	849	830	255	958	892
63 64	272 160	191 192	113 154	319 320	581 393	447 448	207 674	575 576	677 349	703 704	820 728	831 832	964 909	959 960	950 863
65	520	192	79	320	283	448	558	577	245	704	928	833	719	961	759
66	288	194	269	322	122	450	785	578	458	706	791	834	477	962	1008
67	528	195	108	323	448	451	432	579	666	707	367	835	915	963	510
68 69	192 544	196 197	578 224	324 325	353 561	452 453	357 187	580 581	620 363	708 709	901 630	836 837	638 748	964 965	979 953
70	70	197	166	325	203	453 454	236	582	127	709	685	838	944	966	763
71	44	199	519	327	63	455	664	583	191	711	844	839	869	967	974
72	131	200	552	328	340	456	624	584	782	712	633	840	491	968	954
73 74	81 50	201 202	195 270	329 330	394 527	457 458	587 780	585 586	407 436	713 714	711 253	841 842	699 754	969 970	879 981
75	73	202	641	331	582	450	705	587	626	714	691	843	858	970	982
76	15	204	523	332	556	460	126	588	571	716	824	844	478	972	927
77	320	205	275	333	181	461	242	589	465	717	902	845	968	973	995
78 79	133 52	206 207	580	334 335	295	462 463	565 398	590 591	681 246	718 719	686 740	846 847	383 910	974 975	765
80	23	207	291 59	335	285 232	464	398	591	707	719	850	847	815	975	956 887
81	134	209	169	337	124	465	456	593	350	721	375	849	976	977	985
82	384	210	560	338	205	466	358	594	599	722	444	850	870	978	997
83 84	76 137	211 212	114 277	339 340	182	467 468	405	595 596	668 790	723 724	470 483	851 852	917 727	979 980	986
84 85	137 82	212	156	340	643 562	468	303 569	596 597	460	724	483	852 853	493	980	943 891
86	56	214	87	342	286	470	244	598	249	726	485	854	873	982	998
87	27	215	197	343	585	471	595	599	682	727	905	855	701	983	766

88	97	216	116	344	299	472	189	600	573	728	795	856	931	984	511
89	39	217	170	345	354	473	566	601	411	729	473	857	756	985	988
90	259	218	61	346	211	474	676	602	803	730	634	858	860	986	1001
91	84	219	531	347	401	475	361	603	789	731	744	859	499	987	951
92	138	220	525	348	185	476	706	604	709	732	852	860	731	988	1002
93	145	221	642	349	396	477	589	605	365	733	960	861	823	989	893
94	261	222	281	350	344	478	215	606	440	734	865	862	922	990	975
95	29	223	278	351	586	479	786	607	628	735	693	863	874	991	894
96	43	224	526	352	645	480	647	608	689	736	797	864	918	992	1009
97	98	225	177	353	593	481	348	609	374	737	906	865	502	993	955
98	515	226	293	354	535	482	419	610	423	738	715	866	933	994	1004
99	88	227	388	355	240	483	406	611	466	739	807	867	743	995	1010
100	140	228	91	356	206	484	464	612	793	740	474	868	760	996	957
101	30	229	584	357	95	485	680	613	250	741	636	869	881	997	983
102	146	230	769	358	327	486	801	614	371	742	694	870	494	998	958
103	71	231	198	359	564	487	362	615	481	743	254	871	702	999	987
104	262	232	172	360	800	488	590	616	574	744	717	872	921	1000	1012
105	265	233	120	361	402	489	409	617	413	745	575	873	501	1001	999
106	161	234	201	362	356	490	570	618	603	746	913	874	876	1002	1016
107	576	235	336	363	307	491	788	619	366	747	798	875	847	1003	767
108	45	236	62	364	301	492	597	620	468	748	811	876	992	1004	989
109	100	237	282	365	417	493	572	621	655	749	379	877	447	1005	1003
110	640	238	143	366	213	494	219	622	900	750	697	878	733	1006	990
111	51	239	103	367	568	495	311	623	805	751	431	879	827	1007	1005
112	148	240	178	368	832	496	708	624	615	752	607	880	934	1008	959
113	46	241	294	369	588	497	598	625	684	753	489	881	882	1009	1011
114	75	242	93	370	186	498	601	626	710	754	866	882	937	1010	1013
115	266	243	644	371	646	499	651	627	429	755	723	883	963	1011	895
116	273	244	202	372	404	500	421	628	794	756	486	884	747	1012	1006
117	517	245	592	373	227	501	792	629	252	757	908	885	505	1013	1014
118	104	246	323	374	896	502	802	630	373	758	718	886	855	1014	1017
119	162	247	392	375	594	503	611	631	605	759	813	887	924	1015	1018
120	53	248	297	376	418	504	602	632	848	760	476	888	734	1016	991
121	193	249	770	377	302	505	410	633	690	761	856	889	829	1017	1020
122	152	250	107	378	649	506	231	634	713	762	839	890	965	1018	1007
123	77	251	180	379	771	507	688	635	632	763	725	891	938	1019	1015
124	164	252	151	380	360	508	653	636	482	764	698	892	884	1020	1019
125	768	253	209	381	539	509	248	637	806	765	914	893	506	1021	1021
126	268	254	284	382	111	510	369	638	427	766	752	894	749	1022	1022
127	274	255	648	383	331	511	190	639	904	767	868	895	945	1023	1023

5.3.2 Low density parity check coding

The bit sequence input for a given code block to channel coding is denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits to encode as defined in Clause 5.2.2. After encoding the bits are denoted by $d_0, d_1, d_2, ..., d_{N-1}$, where $N = 66Z_c$ for LDPC base graph 1 and $N = 50Z_c$ for LDPC base graph 2, and the value of Z_c is given in Clause 5.2.2.

For a code block encoded by LDPC, the following encoding procedure applies:

1) Find the set with index i_{LS} in Table 5.3.2-1 which contains Z_c .

2) for
$$k = 2Z_c$$
 to $K - 1$

if $c_k \neq < NULL >$

$$d_{k-2Z_c} = c_k;$$
else
$$c_k = 0;$$

$$d_{k-2Z_c} = < NULL >;$$
end if

3) Generate
$$N + 2Z_c - K$$
 parity bits $\mathbf{w} = \begin{bmatrix} w_0, w_1, w_2, ..., w_{N+2Z_c - K - 1} \end{bmatrix}^T$ such that $\mathbf{H} \times \begin{bmatrix} \mathbf{c} \\ \mathbf{w} \end{bmatrix} = \mathbf{0}$, where $\mathbf{c} = \begin{bmatrix} c_0, c_1, c_2, ..., c_{K - 1} \end{bmatrix}^T$; $\mathbf{0}$ is a column vector of all elements equal to 0. The encoding is performed in GF(2).

For LDPC base graph 1, a matrix of \mathbf{H}_{BG} has 46 rows with row indices i=0,1,2,...,45 and 68 columns with column indices j=0,1,2,...,67. For LDPC base graph 2, a matrix of \mathbf{H}_{BG} has 42 rows with row indices i=0,1,2,...,41 and 52 columns with column indices j=0,1,2,...,51. The elements in \mathbf{H}_{BG} with row and column indices given in Table 5.3.2-2 (for LDPC base graph 1) and Table 5.3.2-3 (for LDPC base graph 2) are of value 1, and all other elements in \mathbf{H}_{BG} are of value 0.

The matrix **H** is obtained by replacing each element of \mathbf{H}_{BG} with a $Z_c \times Z_c$ matrix, according to the following:

- Each element of value 0 in \mathbf{H}_{BG} is replaced by an all zero matrix $\mathbf{0}$ of size $Z_c \times Z_c$;
- Each element of value 1 in \mathbf{H}_{BG} is replaced by a circular permutation matrix $\mathbf{I}(P_{i,j})$ of size $Z_c \times Z_c$, where i and j are the row and column indices of the element, and $\mathbf{I}(P_{i,j})$ is obtained by circularly shifting the identity matrix \mathbf{I} of size $Z_c \times Z_c$ to the right $P_{i,j}$ times. The value of $P_{i,j}$ is given by $P_{i,j} = \mathrm{mod}(V_{i,j}, Z_c)$. The value of $V_{i,j}$ is given by Tables 5.3.2-2 and 5.3.2-3 according to the set index i_{LS} and LDPC base graph.

4) for
$$k = K$$
 to $N + 2Z_c - 1$

$$d_{k-2Z_c} = w_{k-K};$$

end for

Table 5.3.2-1: Sets of LDPC lifting size Z

Set index (i_{LS})	Set of lifting sizes (Z)
0	{2, 4, 8, 16, 32, 64, 128, 256}
1	{3, 6, 12, 24, 48, 96, 192, 384}
2	{5, 10, 20, 40, 80, 160, 320}
3	{7, 14, 28, 56, 112, 224}
4	{9, 18, 36, 72, 144, 288}
5	{11, 22, 44, 88, 176, 352}
6	{13, 26, 52, 104, 208}
7	{15, 30, 60, 120, 240}

Table 5.3.2-2: LDPC base graph 1 (\mathbf{H}_{BG}) and its parity check matrices ($V_{i,j}$)

H	\mathbf{I}_{BG}				V_{i}	i, j				$\mathbf{H}_{ ext{BG}}$ $V_{i,j}$									
Row index	Column index				Set ind	ex i_{LS}				Row index	Column index				Set ind	ex i_{LS}			
i	j	0	1	2	3	4	5	6	7	i	j	0	1	2	3	4	5	6	7
	0 1	250 69	307 19	73 15	223 16	211 198	294 118	0	135 227		10	96 65	210	290 60	120 131	0 183	348 15	6 81	138 220
	3	226 159	50 369	103 49	94 91	188 186	167 330	0	126 134	15	13 18	63 75	318 55	130 184	209 209	108 68	81 176	182 53	173 142
	5	100	181	240	74	219	207	0	84		25	179	269	51	81	64	113	46	49
	<u>6</u> 9	10 59	216 317	39 15	10 0	4 29	165 243	0	83 53		37 1	0 64	13	0 69	0 154	0 270	0 190	0 88	0 78
	10	229	288	162	205	144	250	0	225		3	49	338	140	164	13	293	198	152
0	11 12	110 191	109 17	215 164	216 21	116 216	1 339	0	205 128	16	11 20	49 51	57 289	45 115	43 189	99 54	332 331	160 122	84 5
	13	9	357	133	215	115	201	0	75		22	154	57	300	101	0	114	182	205
	15 16	195 23	215 106	298 110	14 70	233 144	53 347	0	135 217		38 0	7	0 260	0 257	0 56	0 153	0 110	0 91	0 183
	18	190	242	113	141	95	304	0	220		14	164	303	147	110	137	228	184	112
	19 20	35 239	180 330	16 189	198 104	216 73	167 47	0	90 105	17	16 17	59 1	81 358	128 51	200 63	0	247 116	30	106 219
	21	31	346	32	81	261	188	0	137		21	144	375	228	4	162	190	155	129
	22 23	0	0	0	0	0	0	0	0		39 1	0 42	130	0 260	0 199	0 161	0 47	0	0 183
	0	2	76 76	303	141	179	77	22	96		12	233	163	294	110	151	286	41	215
	3	239 117	76 73	294 27	45 151	162 223	225 96	11 124	236 136	18	13 18	8 155	280 132	291 141	200 143	0 241	246 181	167 68	180 143
	4 5	124 71	288 144	261 161	46 119	256 160	338 268	0 10	221 128		19 40	147 0	4	295 0	186 0	144	73 0	148 0	14 0
	7	222	331	133	157	76	112	0	92		0	60	145	64	8	0	87	12	179
	8	104 173	331 178	4 80	133 87	202 117	302 50	0	172 56		7	73 72	213 344	181 101	6 103	0 118	110 147	6 166	108 159
	11	220	295	129	206	109	167	16	11	19	8	127	242	270	198	144	258	184	138
1	12 14	102 109	342 217	300 76	93 79	15 72	253 334	60 0	189 95		10 41	224 0	197 0	41 0	8	0	204	191 0	196 0
	15	132	99	266	9	152	242	6	85		0	151	187	301	105	265	89	6	77
	16 17	142 155	354 114	72 83	118 194	158 147	257 133	30 0	153 87		<u>3</u> 9	186 217	206 264	162 40	210 121	81 90	65 155	12 15	187 203
	19	255	331	260	31	156	9	168	163	20	11	47	341	130	214	144	244	5	167
	21 22	28 0	112 0	301 0	187 0	119 0	302 0	31 105	216 0		22 42	160 0	59 0	10 0	183 0	228 0	30 0	30	130
	23	0	0	0	0	0	0	0	0		1	249	205	79	192	64	162	6	197
	24 0	0 106	0 205	0 68	0 207	0 258	0 226	0 132	0 189		5 16	121 109	102 328	175 132	131 220	46 266	264 346	86 96	122 215
	1	111	250	7	203	167	35	37	4	21	20	131	213	283	50	9	143	42	65
	2 4	185 63	328 332	80 280	31 176	220 133	213 302	21 180	225 151		21 43	171	97 0	103	106 0	18 0	109	199	216 0
	5	117	256	38	180	243	111	4	236		0	64	30	177	53	72	280	44	25
	6 7	93 229	161 267	227 202	186 95	202 218	265 128	149 48	117 179	22	12 13	142 188	11 233	20 55	3	189 72	157 236	58 130	47 126
	8	177 95	160 63	200 71	153 177	63 0	237 294	38 122	92 24		17 44	158 0	22 0	316 0	148 0	257 0	113 0	131 0	178 0
2	10	39	129	106	70	3	127	195	68		1	156	24	249	88	180	18	45	185
	13 14	142 225	200 88	295 283	77 214	74 229	110 286	155 28	6 101	23	10	147 170	89 61	50 133	203 168	0	6 181	18 132	127 117
	15	225	53	301	77	0	125	85	33	25	18	152	27	105	122	165	304	100	199
	17 18	245 205	131 240	184 246	198 117	216 269	131 163	47 179	96 125		45 0	0 112	0 298	0 289	0 49	0 236	0 38	9	0 32
	19	251	205	230	223	200	210	42	67		3	86	158	280	157	199	170	125	178
	20 24	117 0	13 0	276 0	90	234 0	7	66 0	230	24	<u>4</u> 11	236 116	235 339	110 187	64 193	0 266	249 288	191 28	2 156
	25	0	0	0	0	0	0	0	0		22	222	234	281	124	0	194	6	58
	0 1	121 89	276 87	220 208	201 18	187 145	97 94	6	128 23		46 1	23	72	0 172	1	0 205	0 279	4	0 27
	3	84	0	30	165	166	49	33	162	05	6	136	17	295	166	0	255	74	141
	6	20 150	275 199	197 61	5 45	108 82	279 139	113 49	220 43	25	7 14	116 182	383 312	96 46	65 81	183	111 54	16 28	11 181
	7	131	153	175	142	132	166	21	186		47	0	0	0	0	0	0	0	0
	10	243 136	56 132	79 281	16 34	197 41	91 106	6 151	96 1		2	195 243	71 81	270 110	107 176	0	325 326	21 142	163 131
2	11	86	305	303	155	162	246	83	216	26	4	215	76	318	212	0	226	192	169
3	12 13	246 219	231 341	253 164	213 147	57 36	345 269	154 87	22 24		15 48	61 0	136 0	67 0	127 0	277 0	99	197 0	98
	14 16	211 240	212	53 44	69 96	115 242	185 249	5 92	167		1 6	25 104	194 194	210	208	45 36	91	98	165 232
	16	76	304 300	28	96 74	165	249	173	200 32	27	8	104	101	29 304	141 174	72	326 268	140 22	9
	18 20	244 144	271 39	77 319	99 30	0 113	143 121	120 2	235 172		49 0	0 128	0 222	0 11	0 146	0 275	0 102	0	0 32
	21	12	357	68	158	108	121	142	219		4	165	19	293	153	0	102	1	43
	22 25	1	1	1	1	1	1	0	1 0	28	19 21	181 63	244 274	50 234	217 114	155 62	40 167	40 93	200 205
	0	157	332	233	170	246	42	24	64		50	0	0	0	0	0	0	0	0
4	1 26	102 0	181 0	205 0	10 0	235	256 0	204 0	211 0		1 14	86 236	252 5	27	150 11	0 180	273 104	92 136	232 32
	0	205	195	83	164	261	219	185	2	29	18	84	147	308 117	53	0	243	106	118
5	1 3	236 194	14 115	292 50	59 86	181 72	130 251	100 24	171 47		25 51	6	78 0	29 0	68 0	42 0	107 0	6	103 0
	12	231	166	318	80	283	322	65	143	30	0	216	159	91	34	0	171	2	170

	16	28	241	201	182	254	295	207	210		10	73	229	23	130	90	16	88	199
	21	123	51	267	130	79	258	161	180		13	120	260	105	210	252	95	112	26
	22	115	157	279	153	144	283	72	180		24	9	90	135	123	173	212	20	105
	27	0	0	0	0	0	0	0	0		52	0	0	0	0	0	0	0	0
	0	183	278	289	158	80	294	6	199		1	95	100	222	175	144	101	4	73
	6 10	22 28	257 1	21 293	119 113	144 169	73 330	27 163	22 23	31	7 22	177 172	215 258	308 66	49 177	144 166	297 279	49 125	149 175
	11	67	351	13	21	90	99	50	100	31	25	61	256	162	128	19	222	194	108
6	13	244	92	232	63	59	172	48	92		53	0	0	0	0	0	0	0	0
	17	11	253	302	51	177	150	24	207		0	221	102	210	192	0	351	6	103
	18	157	18	138	136	151	284	38	52		12	112	201	22	209	211	265	126	110
	20	211	225	235	116	108	305	91	13	32	14	199	175	271	58	36	338	63	151
	28 0	0 220	9	0 12	0 17	0 169	3	0 145	77		24 54	121	287 0	217 0	30 0	162 0	83	20 0	211 0
	1	44	62	88	76	189	103	88	146		1	2	323	170	114	0	56	10	199
	4	159	316	207	104	154	224	112	209		2	187	8	20	49	0	304	30	132
7	7	31	333	50	100	184	297	153	32	33	11	41	361	140	161	76	141	6	172
	8	167	290	25	150	104	215	159	166		21	211	105	33	137	18	101	92	65
	14 29	104	114	76 0	158 0	164 0	39 0	76 0	18 0		55 0	0 127	230	0 187	0 82	0 197	0 60	0 4	0 161
	0	112	307	295	33	54	348	172	181		7	167	148	296	186	0	320	153	237
	1	4	179	133	95	0	75	2	105	34	15	164	202	5	68	108	112	197	142
	3	7	165	130	4	252	22	131	141		17	159	312	44	150	0	54	155	180
	12	211	18	231	217	41	312	141	223		56	0	0	0	0	0	0	0	0
8	16 19	102 164	39 224	296 110	204 39	98 46	224 17	96 99	177 145		<u>1</u>	161 197	320 335	207 158	192 173	199 278	100 210	4 45	231 174
	21	109	368	269	58	15	59	101	199	35	12	207	2	55	26	0	195	168	145
	22	241	67	245	44	230	314	35	153		22	103	266	285	187	205	268	185	100
	24	90	170	154	201	54	244	116	38		57	0	0	0	0	0	0	0	0
	30	0	0	0	0	0	0	0	0		0	37	210	259	222	216	135	6	11
	0	103 182	366 232	189 244	9 37	162 159	156 88	6 10	169 12	36	14 15	105 51	313 297	179 178	157 0	16 0	15 35	200 177	207 42
	10	109	321	36	213	93	293	145	206	30	18	120	21	160	6	0	188	43	100
	11	21	133	286	105	134	111	53	221		58	0	0	0	0	0	0	0	0
9	13	142	57	151	89	45	92	201	17		1	198	269	298	81	72	319	82	59
	17	14	303	267	185	132	152	4	212	37	13	220	82	15	195	144	236	2	204
	18 20	61 216	63 82	135 209	109 218	76 209	23 337	164 173	92 205		23 59	122	115 0	115 0	138 0	0	85 0	135 0	161 0
	31	0	0	0	0	0	0	0	0		0	167	185	151	123	190	164	91	121
	1	98	101	14	82	178	175	126	116		9	151	177	179	90	0	196	64	90
	2	149	339	80	165	1	253	77	151	38	10	157	289	64	73	0	209	198	26
10	7	167	274	211	174	28	27	156	70		12	163	214	181 0	10	0	246	100	140
10	8	160 49	111 383	75 161	19 194	267 234	231 49	16 12	230 115		60 1	173	0 258	102	0 12	0 153	0 236	0 4	0 115
	14	58	354	311	103	201	267	70	84		3	139	93	77	77	0	264	28	188
	32	0	0	0	0	0	0	0	0	39	7	149	346	192	49	165	37	109	168
	0	77	48	16	52	55	25	184	45		19	0	297	208	114	117	272	188	52
	1 12	41 83	102 8	147 290	11	23 274	322 200	194 123	115 134		61 0	0 157	0 175	0 32	0 67	0 216	0 304	10	0 4
	16	182	47	289	35	181	351	16	1		8	137	37	80	45	144	237	84	103
11	21	78	188	177	32	273	166	104	152	40	17	149	312	197	96	2	135	12	30
	22	252	334	43	84	39	338	109	165		62	0	0	0	0	0	0	0	0
	23	22	115	280	201	26	192	124	107		1	167	52	154	23	0	123	2	53
	33	0 160	77	0 229	0 142	0 225	0 123	0 6	0 186	41	9	173 139	314 139	47 124	215 60	0	77 25	75 142	189 215
	1	42	186	235	175	162	217	20	215	71	18	151	288	207	167	183	272	128	24
	10	21	174	169	136	244	142	203	124		63	0	0	0	0	0	0	0	0
12	11	32	232	48	3	151	110	153	180		0	149	113	226	114	27	288	163	222
	13	234	50	105	28	238	176	104	98	42	4	157	14	65	91	0	83	10	170
	18 34	7	74 0	52 0	182 0	243 0	76 0	207 0	80		24 64	137	218 0	126 0	78 0	35 0	17 0	162 0	71 0
	0	177	313	39	81	231	311	52	220		1	151	113	228	206	52	210	1	22
	3	248	177	302	56	0	251	147	185		16	163	132	69	22	243	3	163	127
13	7	151	266	303	72	216	265	1	154	43	18	173	114	176	134	0	53	99	49
.5	20	185	115	160	217	47	94	16	178		25	139	168	102	161	270	167	98	125
	23 35	62 0	370 0	37 0	78 0	36 0	81 0	46 0	150 0		65 0	139	0	234	0 84	0 18	0 79	0 4	0 191
	0	206	142	78	14	0	22	1	124		7	157	80 78	227	4	0	244	6	211
	12	55	248	299	175	186	322	202	144	44	9	163	163	259	9	0	293	142	187
	15	206	137	54	211	253	277	118	182		22	173	274	260	12	57	272	3	148
14	16	127	89	61	191	16	156	130	95		66	0	0	0	0	0	0	0	0
	17 21	16 229	347 12	179 258	51 43	79	66 78	2	72 76		6	149 151	135 149	101 228	184 121	168 0	82 67	181 45	177 114
	36	0	0	258	0	0	0	0	0	45	10	167	15	126	29	144	235	153	93
15	0	40	241	229	90	170	176	173	39		67	0	0	0	0	0	0	0	0

Table 5.3.2-3: LDPC base graph 2 (\mathbf{H}_{BG}) and its parity check matrices ($V_{i,j}$)

F	\mathbf{I}_{BG}	$V_{i,j}$						H	$\mathbf{H}_{ ext{BG}}$ $V_{i,j}$										
Row	Column				Set ind					Row	Column				Set ind				
index i	index i	0	1	2	3	4	5	6	7	index i	index $ar{j}$	0	1	2	3	4	5	6	7
	0	9	174	0	72	3	156	143	145	16	26	0	0	0	0	0	0	0	0
	2	117 204	97 166	0	110 23	26 53	143 14	19 176	131 71		<u>1</u> 5	254 124	158 23	0 24	48 132	120 43	134 23	57 201	196 173
	3	26	66	0	181	35	3	165	21	17	11	114	9	109	206	65	62	142	195
0	6	189	71	0	95	115	40	196	23		12	64	6	18	2	42	163	35	218
	9	205 0	172 0	0	8	127 0	123 0	13 0	112		27 0	0 220	0 186	0	0 68	0 17	0 173	0 129	0 128
	11	0	0	0	0	0	0	0	0	18	6	194	6	18	16	106	31	203	211
	0	167	27	137	53	19	17	18	142	10	7	50	46	86	156	142	22	140	210
	3 4	166 253	36 48	124 0	156 115	94	65 63	27 3	174 183		28 0	0 87	0 58	0	0 35	0 79	0 13	0 110	0 39
	5	125	92	0	156	66	1	102	27	19	1	20	42	158	138	28	135	124	84
1	<u>6</u> 7	226 156	31 187	88	115 200	84 98	55 37	185 17	96 23	15	10 29	185 0	156 0	154 0	86 0	41 0	145 0	52 0	88
	8	224	185	0	29	69	171	14	9		1	26	76	0	6	2	128	196	117
	9	252	3	55	31	50	133	180	167	20	4	105	61	148	20	103	52	35	227
	11 12	0	0	0	0	0	0	0	0		11 30	29 0	153 0	104 0	141 0	78 0	173 0	114 0	6 0
	0	81	25	20	152	95	98	126	74		0	76	157	0	80	91	156	10	238
	1	114	114	94	131	106	168	163	31	21	8	42	175	17	43	75	166	122	13
	3 4	44 52	117 110	99	46 191	92 110	107 82	47 183	3 53		13 31	210	67 0	33	81 0	81	40 0	23 0	11 0
2	8	240	114	108	91	111	142	132	155		1	222	20	0	49	54	18	202	195
	10 12	1 0	1	1	0	1 0	1 0	1 0	0	22	2 32	63 0	52 0	4	1	132 0	163 0	126	44 0
	13	0	0	0	0	0	0	0	0		0	23	106	0	156	68	110	0 52	5
	1	8	136	38	185	120	53	36	239	23	3	235	86	75	54	115	132	170	94
	2	58 158	175 113	15 102	6 36	121 22	174 174	48 18	171 95		5 33	238	95 0	158 0	134 0	56 0	150 0	13 0	111 0
	5	104	72	146	124	4	127	111	110		1	46	182	0	153	30	113	113	81
3	6	209	123	12	124	73	17	203	159	24	2	139	153	69	88	42	108	161	19
	7 8	54 18	118 28	57 53	110 156	49 128	89 17	3 191	199 43		9 34	8	64 0	87 0	63	101	61 0	88 0	130
	9	128	186	46	133	79	105	160	75		0	228	45	0	211	128	72	197	66
	10	0	0	0	1	0	0	0	1	25	5	156	21	65	94	63	136	194	95
	13 0	0 179	72	0	0 200	0 42	0 86	0 43	0 29		35 2	0 29	0 67	0	90	0 142	0 36	0 164	0 146
4	1	214	74	136	16	24	67	27	140		7	143	137	100	6	28	38	172	66
•	11 14	71 0	29 0	157 0	101 0	51 0	83 0	117 0	180	26	12 13	160 122	55 85	13 7	221 6	100 133	53 145	49 161	190 86
	0	231	10	0	185	40	79	136	121		36	0	0	0	0	0	0	0	0
	1	41	44	131	138	140	84	49	41	07	0	8	103	0	27	13	42	168	64
5	<u>5</u>	194 159	121 80	142 141	170 219	84 137	35 103	36 132	169 88	27	6 37	151 0	50 0	32 0	118 0	10	104 0	193 0	181 0
	11	103	48	64	193	71	60	62	207		1	98	70	0	216	106	64	14	7
	15 0	0 155	0 129	0	0 123	109	0 47	7	0 137	28	<u>2</u> 5	101 135	111 168	126 110	212 193	77 43	24 149	186 46	144 16
	5	228	92	124	55	87	154	34	72		38	0	0	0	0	0	0	0	0
6	7	45	100	99	31	107	10	198	172		0	18	110	0	108	133	139	50	25
	9	28 158	49 184	45 148	222 209	133 139	155 29	168 12	124 56	29	<u>4</u> 39	28 0	17 0	154 0	61 0	25 0	161 0	27 0	57 0
	16	0	0	0	0	0	0	0	0		2	71	120	0	106	87	84	70	37
	1	129	80	0	103	97	48	163	86	20	5	240	154	35	44	56	173	17	139
_	5 7	147 140	186 16	45 148	13 105	135 35	125 24	78 143	186 87	30	<u>7</u> 9	9 84	52 56	51 134	185 176	104 70	93 29	50 6	221 17
7	11	3	102	96	150	108	47	107	172		40	0	0	0	0	0	0	0	0
	13 17	116 0	143 0	78 0	181 0	65 0	55 0	58 0	154 0	31	1 13	106	3 170	20	147 182	80 139	117 148	115 189	201 46
	0	142	118	0	147	70	53	101	176	ÿ i	41	0	0	0	0	0	0	0	0
8	1 12	94	70	65 87	43	69	31	177	169		0	242	84 g	0	108	32	116	110	179
	18	230	152 0	87 0	152 0	88	161 0	22 0	225 0	32	5 12	44 166	8 17	20 122	21 110	89 71	73 142	0 163	14 116
	1	203	28	0	2	97	104	186	167		42	0	0	0	0	0	0	0	0
9	8 10	205 61	132 185	97 51	30 184	40 24	142 99	27 205	238 48		7	132 164	165 179	0 88	71 12	135 6	105 137	163 173	46 2
	11	247	178	85	83	49	64	81	68	33	10	235	124	13	109	2	29	179	106
	19	0	0	0	0	0	0	0	0		43	0	0	0	0	0	0	0	0
	0	11 185	59 104	0 17	174 150	46 41	111 25	125 60	38 217		0 12	147 85	173 177	0 19	29 201	37 25	11 41	197 191	184 135
10	6	0	22	156	8	101	174	177	208	34	13	36	12	78	69	114	162	193	141
	7 20	117 0	52 0	20	56 0	96 0	23 0	51 0	232		44 1	0 57	77	0	0 91	0 60	0 126	0 157	0 85
	0	11	32	0	99	28	91	39	178	25	5	40	184	157	165	137	152	167	225
	7	236	92	7	138	30	175	29	214	35	11	63	18	6	55	93	172	181	175
11	9	210 56	174 154	4 2	110 99	116 64	24 141	35 8	168 51		45 0	0 140	0 25	0	0	0 121	73	0 197	0 178
	21	0	0	0	0	0	0	0	0	36	2	38	151	63	175	129	154	167	112
	1	63	39	0	46	33	122	18	124	36	7	154	170	82	83	26	129	179	106
12	3 11	111 14	93	113 48	217 109	122 131	11 4	155 49	122 72	37	46 10	0 219	0 37	0	0 40	0 97	0 167	0 181	0 154
	22	0	0	0	0	0	0	0	0		13	151	31	144	12	56	38	193	114
13	0	83	49 125	0 112	37 113	76 37	29 91	32 53	48 57	38	47 1	0 31	0 84	0	0 37	0	0 112	0 157	0 42
		_	120	112	113	31	וטו	აა	J1	30		J 31	04	U	31		112	10/	44

	8	38	35	102	143	62	27	95	167		5	66	151	93	97	70	7	173	41
	13	222	166	26	140	47	127	186	219		11	38	190	19	46	1	19	191	105
	23	0	0	0	0	0	0	0	0		48	0	0	0	0	0	0	0	0
	1	115	19	0	36	143	11	91	82		0	239	93	0	106	119	109	181	167
	6	145	118	138	95	51	145	20	232	39	7	172	132	24	181	32	6	157	45
14	11	3	21	57	40	130	8	52	204	39	12	34	57	138	154	142	105	173	189
	13	232	163	27	116	97	166	109	162		49	0	0	0	0	0	0	0	0
	24	0	0	0	0	0	0	0	0		2	0	103	0	98	6	160	193	78
	0	51	68	0	116	139	137	174	38	40	10	75	107	36	35	73	156	163	67
15	10	175	63	73	200	96	103	108	217	40	13	120	163	143	36	102	82	179	180
15	11	213	81	99	110	128	40	102	157		50	0	0	0	0	0	0	0	0
	25	0	0	0	0	0	0	0	0		1	129	147	0	120	48	132	191	53
	1	203	87	0	75	48	78	125	170	41	5	229	7	2	101	47	6	197	215
16	9	142	177	79	158	9	158	31	23	41	11	118	60	55	81	19	8	167	230
10	11	8	135	111	134	28	17	54	175		51	0	0	0	0	0	0	0	0
	12	242	64	143	97	8	165	176	202										

5.3.3 Channel coding of small block lengths

The bit sequence input for a given code block to channel coding is denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits to encode. After encoding the bits are denoted by $d_0, d_1, d_2, ..., d_{N-1}$.

5.3.3.1 Encoding of 1-bit information

For K = 1, the code block is encoded according to Table 5.3.3.1-1, where $N = Q_m$ and Q_m is the modulation order for the code block.

Table 5.3.3.1-1: Encoding of 1-bit information

Q_m	Encoded bits $d_0, d_1, d_2, \dots, d_{N-1}$
1	$[c_0]$
2	$[c_0 y]$
4	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x}]$
6	$[c_0 y x x x x]$
8	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x}]$

The "x" and "y" in Table 5.3.3.1-1 are placeholders for Clause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

5.3.3.2 Encoding of 2-bit information

For K = 2, the code block is encoded according to Table 5.3.3-2, where $c_2 = (c_0 + c_1) \mod 2$, $N = 3Q_m$, and Q_m is the modulation order for the code block.

Table 5.3.3.2-1: Encoding of 2-bit information

Q_m	Encoded bits $d_0, d_1, d_2, \dots, d_{N-1}$
1	$[c_0c_1c_2]$
2	$[c_0 c_1 c_2 c_0 c_1 c_2]$
4	$[c_0 c_1 \times \times c_2 c_0 \times \times c_1 c_2 \times X]$
6	$[c_0 c_1 \times \times \times \times c_2 c_0 \times \times \times \times c_1 c_2 \times \times \times]$
8	$[c_0 c_1 \times \times \times \times \times c_2 c_0 \times \times \times \times \times c_1 c_2 \times \times \times \times \times]$

The "x" in Table 5.3.3.2-1 are placeholders for Clause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

5.3.3.3 Encoding of other small block lengths

For $3 \le K \le 11$, the code block is encoded by $d_i = \left(\sum_{k=0}^{K-1} c_k \cdot M_{i,k}\right) \mod 2$, where $i = 0, 1, \dots, N-1$, N = 32, and $M_{i,k}$ represents the basis sequences as defined in Table 5.3.3.3-1.

M_{i,4} $M_{i,5}$ Mi,6 $M_{i,7}$ M_{i,10}

Table 5.3.3.3-1: Basis sequences for (32, K) code

5.4 Rate matching

5.4.1 Rate matching for Polar code

The rate matching for Polar code is defined per coded block and consists of sub-block interleaving, bit collection, and bit interleaving. The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$. The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{F-1}$.

5.4.1.1 Sub-block interleaving

The bits input to the sub-block interleaver are the coded bits $d_0, d_1, d_2, ..., d_{N-1}$. The coded bits $d_0, d_1, d_2, ..., d_{N-1}$ are divided into 32 sub-blocks. The bits output from the sub-block interleaver are denoted as $y_0, y_1, y_2, ..., y_{N-1}$, generated as follows:

for
$$n=0$$
 to $N-1$
$$i = \lfloor 32n/N \rfloor;$$

$$J(n) = P(i) \times (N/32) + \operatorname{mod}(n, N/32);$$

$$y_n = d_{J(n)};$$
 end for

where the sub-block interleaver pattern P(i) is given by Table 5.4.1.1-1.

Table 5.4.1.1-1: Sub-block interleaver pattern P(i)

i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)
0	0	4	3	8	8	12	10	16	12	20	14	24	24	28	27
1	1	5	5	9	16	13	18	17	20	21	22	25	25	29	29
2	2	6	6	10	9	14	11	18	13	22	15	26	26	30	30
3	4	7	7	11	17	15	19	19	21	23	23	27	28	31	31

The sets of bit indices $\overline{\mathbf{Q}}_I^N$ and $\overline{\mathbf{Q}}_F^N$ are determined as follows, where K, n_{PC} , and \mathbf{Q}_0^{N-1} are defined in Clause 5.3.1

$$\begin{split} \overline{\mathbf{Q}}_{F,mp}^{N} &= \varnothing \\ &\text{if } E < N \\ &\text{if } K/E \leq 7/16 \quad \text{-- puncturing} \\ &\text{for } n = 0 \text{ to } N - E - 1 \\ &\overline{\mathbf{Q}}_{F,mp}^{N} &= \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{J(n)\}; \\ &\text{end for} \\ &\text{if } E \geq 3N/4 \\ &\overline{\mathbf{Q}}_{F,mp}^{N} &= \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{0,1,\dots,\lceil 3N/4 - E/2\rceil - 1\}; \\ &\text{else} \\ &\overline{\mathbf{Q}}_{F,mp}^{N} &= \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{0,1,\dots,\lceil 9N/16 - E/4\rceil - 1\}; \\ &\text{end if} \\ &\text{else } &-\text{shortening} \\ &\text{for } n = E \text{ to } N - 1 \\ &\overline{\mathbf{Q}}_{F,mp}^{N} &= \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{J(n)\}; \\ &\text{end for } \\ &\text{end if} \\ &\text{end if} \\ &\overline{\mathbf{Q}}_{I,mp}^{N} &= \mathbf{Q}_{0}^{N-1} \setminus \overline{\mathbf{Q}}_{F,mp}^{N}; \\ &\overline{\mathbf{Q}}_{I}^{N} \text{ comprises } \left(K + n_{PC}\right) \text{ most reliable bit indices in } \overline{\mathbf{Q}}_{I,mp}^{N}; \\ &\overline{\mathbf{Q}}_{F}^{N} &= \mathbf{Q}_{0}^{N-1} \setminus \overline{\mathbf{Q}}_{I}^{N}; \end{split}$$

5.4.1.2 Bit selection

The bit sequence after the sub-block interleaver $y_0, y_1, y_2, ..., y_{N-1}$ from Clause 5.4.1.1 is written into a circular buffer of length N.

Denoting by E the rate matching output sequence length, the bit selection output bit sequence e_k , k = 0,1,2,...,E-1, is generated as follows:

```
if E \ge N -- repetition for k = 0 to E - 1 e_k = y_{\text{mod}(k,N)}; end for else if K/E \le 7/16 -- puncturing for k = 0 to E - 1 e_k = y_{k+N-E}; end for else -- shortening for k = 0 to E - 1 e_k = y_k; end for end if end if
```

5.4.1.3 Interleaving of coded bits

The bit sequence $e_0, e_1, e_2, ..., e_{E-1}$ is interleaved into bit sequence $f_0, f_1, f_2, ..., f_{E-1}$, as follows:

```
If I_{BIL} = 1
```

Denote T as the smallest integer such that $T(T+1)/2 \ge E$;

```
k=0;

for i=0 to T-1

for j=0 to T-1-i

if k < E

v_{i,j} = e_k;

else

v_{i,j} = < NULL >;
```

end if

```
k = k + 1;
       end for
   end for
    k = 0;
   for j = 0 to T - 1
       for i = 0 to T - 1 - j
           if v_{i,j} \neq < NULL >
               f_k = v_{i,j};
               k = k + 1
           end if
       end for
   end for
else
   for i = 0 to E - 1
        f_i = e_i;
   end for
end if
```

The value of E is no larger than 8192.

5.4.2 Rate matching for LDPC code

The rate matching for LDPC code is defined per coded block and consists of bit selection and bit interleaving. The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$. The output bit sequence after rate matching is denoted as

$$f_0, f_1, f_2, ..., f_{E-1}$$
.

5.4.2.1 Bit selection

The bit sequence after encoding $d_0, d_1, d_2, ..., d_{N-1}$ from Clause 5.3.2 is written into a circular buffer of length N_{cb} for the r-th coded block, where N is defined in Clause 5.3.2.

For the
$$r$$
-th code block, let $N_{cb} = N$ if $I_{LBRM} = 0$ and $N_{cb} = \min(N, N_{ref})$ otherwise, where $N_{ref} = \left| \frac{TBS_{LBRM}}{C \cdot R_{LBRM}} \right|$,

 $R_{\rm LBRM} = 2/3$, $TBS_{\rm LBRM}$ is determined according to Clause 6.1.4.2 in [6, TS 38.214] for UL-SCH and Clause 5.1.3.2 in [6, TS 38.214] for DL-SCH/PCH, assuming the following:

- maximum number of layers for one TB for UL-SCH is given by X, where
 - if the higher layer parameter *maxMIMO-Layers* of *PUSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
 - elseif the higher layer parameter *maxRank* of *pusch-Config* of the serving cell is configured, X is given by the maximum value of *maxRank* across all BWPs of the serving cell
 - otherwise, X is given by the maximum number of layers for PUSCH supported by the UE for the serving cell

- maximum number of layers for one TB for DL-SCH/PCH is given by the minimum of X and 4, where
 - if the higher layer parameter *maxMIMO-Layers* of *PDSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
 - otherwise, X is given by the maximum number of layers for PDSCH supported by the UE for the serving cell
- if the higher layer parameter mcs-Table given by a pdsch-Config for at least one DL BWP of the serving cell is set to 'qam256', maximum modulation order $Q_m = 8$ is assumed for DL-SCH; otherwise a maximum modulation order $Q_m = 6$ is assumed for DL-SCH;
- if the higher layer parameter mcs-Table or mcs-Table TransformPrecoder given by a pusch-Config or configuredGrantConfig for at least one UL BWP of the serving cell is set to 'qam256', maximum modulation order $Q_m = 8$ is assumed for UL-SCH; otherwise a maximum modulation order $Q_m = 6$ is assumed for UL-SCH
- maximum coding rate of 948/1024;
- $n_{PRB} = n_{PRB,LBRM}$ is given by Table 5.4.2.1-1, where the value of $n_{PRB,LBRM}$ for DL-SCH is determined according to the initial downlink bandwidth part if there is no other downlink bandwidth part configured to the UE;
- $N_{RE} = 156 \cdot n_{PRB};$
- C is the number of code blocks of the transport block determined according to Clause 5.2.2.

Table 5.4.2.1-1: Value of $n_{PRB,LBRM}$

Maximum number of PRBs across all configured DL BWPs and UL BWPs of a carrier for DL- SCH and UL-SCH, respectively	$n_{PRB,LBRM}$
Less than 33	32
33 to 66	66
67 to 107	107
108 to 135	135
136 to 162	162
163 to 217	217
Larger than 217	273

Denoting by E_r the rate matching output sequence length for the r-th coded block, where the value of E_r is determined as follows:

Set
$$j = 0$$

for
$$r = 0$$
 to $C - 1$

if the r-th coded block is not scheduled for transmission as indicated by CBGTI according to Clause 5.1.7.2 for DL-SCH and 6.1.5.2 for UL-SCH in [6, TS 38.214]

$$E_r = 0$$
;

else

if
$$j \leq C' - \operatorname{mod}(G/(N_I \cdot Q_m), C') - 1$$

$$E_r = N_L \cdot Q_m \cdot \left| \frac{G}{N_L \cdot Q_m \cdot C'} \right|;$$

else

$$E_r = N_L \cdot Q_m \cdot \left\lceil \frac{G}{N_L \cdot Q_m \cdot C'} \right\rceil;$$
 end if
$$j = j+1;$$
 end if end for

where

- N_{I} is the number of transmission layers that the transport block is mapped onto;
- Q_m is the modulation order;
- G is the total number of coded bits available for transmission of the transport block;
- C'=C if CBGTI is not present in the DCI scheduling the transport block and C' is the number of scheduled code blocks of the transport block if CBGTI is present in the DCI scheduling the transport block.

Denote by rv_{id} the redundancy version number for this transmission ($rv_{id} = 0, 1, 2 \text{ or } 3$), the rate matching output bit sequence e_k , k = 0,1,2,...,E-1, is generated as follows, where k_0 is given by Table 5.4.2.1-2 according to the value of rv_{id} and LDPC base graph:

```
k=0; j=0; while k < E if d_{(k_0+j) \bmod N_{cb}} \neq < NULL > e_k = d_{(k_0+j) \bmod N_{cb}}; k=k+1; end if j=j+1; end while
```

Table 5.4.2.1-2: Starting position of different redundancy versions, k_0

rv _{id}	k_0								
, id	LDPC base graph 1	LDPC base graph 2							
0	0	0							
1	$\left\lfloor \frac{17N_{cb}}{66Z_c} \right\rfloor Z_c$	$\left\lfloor \frac{13N_{cb}}{50Z_c} \right\rfloor Z_c$							
2	$\left[\frac{33N_{cb}}{66Z_c}\right]Z_c$	$\left\lfloor \frac{25N_{cb}}{50Z_c} \right\rfloor\!Z_c$							
3	$\left\lfloor \frac{56N_{cb}}{66Z_c} \right\rfloor Z_c$	$\left[\frac{43N_{cb}}{50Z_c}\right]Z_c$							

5.4.2.2 Bit interleaving

The bit sequence $e_0, e_1, e_2, ..., e_{E-1}$ is interleaved to bit sequence $f_0, f_1, f_2, ..., f_{E-1}$, according to the following, where the value of Q_m is the modulation order.

```
for j=0 to E/Q_m-1

for i=0 to Q_m-1

f_{i+j\cdot Q_m}=e_{i\cdot E/Q_m+j};
end for
```

5.4.3 Rate matching for channel coding of small block lengths

The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$. The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$, where E is the rate matching output sequence length. The bit sequence $f_0, f_1, f_2, ..., f_{E-1}$ is obtained by the following:

```
for k = 0 to E - 1 f_k = d_{k \bmod N}; end for
```

5.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences f_{rk} , for r = 0,..., C-1 and $k = 0,..., E_r - 1$, where E_r is the number of rate matched bits for the r-th code block. The output bit sequence from the code block concatenation block is the sequence g_k for k = 0,...,G-1.

The code block concatenation consists of sequentially concatenating the rate matching outputs for the different code blocks. Therefore,

```
Set k = 0 and r = 0

while r < C

Set j = 0

while j < E_r

g_k = f_{rj}

k = k + 1

j = j + 1

end while

r = r + 1

end while
```

6 Uplink transport channels and control information

6.1 Random access channel

The sequence index for the random access channel is received from higher layers and is processed according to [4, TS 38.211].

6.2 Uplink shared channel

6.2.1 Transport block CRC attachment

Error detection is provided on each UL-SCH transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, and the parity bits by $p_0, p_1, p_2, p_3, ..., p_{L-1}$, where A is the payload size and L is the number of parity bits. The lowest order information bit a_0 is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the UL-SCH transport block according to Clause 5.1, by setting L to 24 bits and using the generator polynomial $g_{\text{CRC24A}}(D)$ if A > 3824; and by setting L to 16 bits and using the generator polynomial $g_{\text{CRC16}}(D)$ otherwise.

The bits after CRC attachment are denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$, where B = A + L.

6.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate R indicated by the MCS index according to Clause 6.1.4.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if $A \le 292$, or if $A \le 3824$ and $R \le 0.67$, or if $R \le 0.25$, LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size as described in Clause 6.2.1.

6.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$ where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Clause 5.2.2.

The bits after code block segmentation are denoted by c_{r0} , c_{r1} , c_{r2} , c_{r3} ,..., $c_{r(K_r-1)}$, where r is the code block number and K_r is the number of bits for code block number r according to Clause 5.2.2.

6.2.4 Channel coding of UL-SCH

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$, where r is the code block number, and K_r is the number of bits in code block number r.

The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Clause 5.3.2.

After encoding the bits are denoted by $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N-1)}$, where the values of N_r is given in Clause 5.3.2.

6.2.5 Rate matching

Coded bits for each code block, denoted as $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$, are delivered to the rate match block, where r is the code block number, and N_r is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Clause 5.4.2 by setting $I_{LBRM} = 1$ if higher layer parameter rateMatching is set to limitedBufferRM and by setting $I_{LBRM} = 0$ otherwise.

After rate matching, the bits are denoted by f_{r0} , f_{r1} , f_{r2} , f_{r3} ,..., $f_{r(E_r-1)}$, where E_r is the number of rate matched bits for code block number r.

6.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences f_{r0} , f_{r1} , f_{r2} , f_{r3} ,..., $f_{r(E_r-1)}$, for r = 0,..., C-1 and where E_r is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by $g_0, g_1, g_2, g_3, ..., g_{G-1}$, where G is the total number of coded bits for transmission.

6.2.7 Data and control multiplexing

Denote the coded bits for UL-SCH as $g_0^{\text{UL-SCH}}, g_1^{\text{UL-SCH}}, g_2^{\text{UL-SCH}}, g_3^{\text{UL-SCH}}, \dots, g_{G^{\text{UL-SCH}}-1}^{\text{UL-SCH}}$

Denote the coded bits for HARQ-ACK, if any, as g_0^{ACK} , g_1^{ACK} , g_2^{ACK} , g_3^{ACK} ,..., $g_{G^{ACK}-1}^{ACK}$

Denote the coded bits for CSI part 1, if any, as $g_0^{\text{CSI-part1}}, g_1^{\text{CSI-part1}}, g_2^{\text{CSI-part1}}, g_3^{\text{CSI-part1}}, \dots, g_{G^{\text{CSI-part1}}-1}^{\text{CSI-part1}}$.

Denote the coded bits for CSI part 2, if any, as $g_0^{\text{CSI-part2}}, g_1^{\text{CSI-part2}}, g_2^{\text{CSI-part2}}, g_3^{\text{CSI-part2}}, \dots, g_{G^{\text{CSI-part2}}-1}^{\text{CSI-part2}}$

Denote the multiplexed data and control coded bit sequence as $g_0, g_1, g_2, g_3, ..., g_{G-1}$

Denote l as the OFDM symbol index of the scheduled PUSCH, starting from 0 to $N_{\text{symb,all}}^{\text{PUSCH}} - 1$, where $N_{\text{symb,all}}^{\text{PUSCH}}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS.

Denote k as the subcarrier index of the scheduled PUSCH, starting from 0 to $M_{\text{sc}}^{\text{PUSCH}} = 1$, where $M_{\text{sc}}^{\text{PUSCH}}$ is expressed as a number of subcarriers.

Denote $\Phi_l^{\text{UL-SCH}}$ as the set of resource elements, in ascending order of indices k, available for transmission of data in OFDM symbol l, for $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$.

Denote $M_{\text{sc}}^{\text{UL-SCH}}(l) = |\Phi_l^{\text{UL-SCH}}|$ as the number of elements in set $\Phi_l^{\text{UL-SCH}}$. Denote $\Phi_l^{\text{UL-SCH}}(j)$ as the j-th element in $\Phi_l^{\text{UL-SCH}}$.

Denote Φ_l^{UCI} as the set of resource elements, in ascending order of indices k, available for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$. Denote $M_{\text{sc}}^{\text{UCI}}(l) = |\Phi_l^{\text{UCI}}|$ as the number of elements in set Φ_l^{UCI} . Denote

 $\Phi_l^{\text{UCI}}(j)$ as the j-th element in Φ_l^{UCI} . For any OFDM symbol that carriers DMRS of the PUSCH, $\Phi_l^{\text{UCI}} = \emptyset$. For any OFDM symbol that does not carry DMRS of the PUSCH, $\Phi_l^{\text{UCI}} = \Phi_l^{\text{UL-SCH}}$.

If frequency hopping is configured for the PUSCH,

- denote $l^{(1)}$ as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the first hop;
- denote $l^{(2)}$ as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the second hop.
- denote $l_{\text{CSI}}^{(1)}$ as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the first hop;
- denote $l_{\text{CSI}}^{(2)}$ as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the second hop;
- if HARQ-ACK is present for transmission on the PUSCH with UL-SCH, let

-
$$G^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left[G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right]$$
 and $G^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right]$;

- if CSI is present for transmission on the PUSCH with UL-SCH, let
 - $G^{\text{CSI-part1}}(1) = N_L \cdot Q_m \cdot \left| G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right|;$
 - $G^{\text{CSI-part1}}(2) = N_L \cdot Q_m \cdot \left[G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right];$
 - $G^{\text{CSI-part2}}(1) = N_L \cdot Q_m \cdot \left| G^{\text{CSI-part2}} / (2 \cdot N_L \cdot Q_m) \right|$; and
 - $G^{\text{CSI-part2}}(2) = N_L \cdot Q_m \cdot \left[G^{\text{CSI-part2}} / (2 \cdot N_L \cdot Q_m) \right]$;
- if only HARQ-ACK and CSI part 1 are present for transmission on the PUSCH without UL-SCH, let
 - $G^{\text{ACK}}(1) = \min \left(N_L \cdot Q_m \cdot \middle| G^{\text{ACK}} / \left(2 \cdot N_L \cdot Q_m \right) \middle| , M_3 \cdot N_L \cdot Q_m \right);$
 - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$;
 - $G^{\text{CSI-part1}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1)$; and
 - $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$;
- if HARQ-ACK, CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let
 - $G^{\text{ACK}}(1) = \min \left(N_L \cdot Q_m \cdot \left\lfloor G^{\text{ACK}} / \left(2 \cdot N_L \cdot Q_m \right) \right\rfloor, M_3 \cdot N_L \cdot Q_m \right);$
 - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$;
- if the number of HARQ-ACK information bits is more than 2, $G^{\text{CSI-part1}}(1) = \min \left(N_L \cdot Q_m \cdot \left[G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right], M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) \right); \text{ otherwise,}$ $G^{\text{CSI-part1}}(1) = \min \left(N_L \cdot Q_m \cdot \left[G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right], M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}_{rvd}(1) \right)$
 - $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$;
 - $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$ if the number of HARQ-ACK information bits is no more than 2, and $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) G^{\text{CSI-part1}}(1)$ otherwise; and

- $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(2)$ if the number of HARQ-ACK information bits is no more than 2, and $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{ACK}}(2) G^{\text{CSI-part1}}(2)$ otherwise;
- if CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let

$$G^{\text{CSI-part1}}(1) = \min \left(N_L \cdot Q_m \cdot \left\lfloor G^{\text{CSI-part1}} / \left(2 \cdot N_L \cdot Q_m \right) \right\rfloor, M_1 \cdot N_L \cdot Q_m - G_{rvd}^{\text{ACK}}(1) \right).$$

- $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$;
- $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$; and
- $G^{\text{CSI-part2}}(2) = M_{\gamma} \cdot N_{L} \cdot Q_{m} G^{\text{CSI-part1}}(2)$;
- let $N_{\text{hop}}^{\text{PUSCH}} = 2$, and denote $N_{\text{symb,hop}}^{\text{PUSCH}}(1)$, $N_{\text{symb,hop}}^{\text{PUSCH}}(2)$ as the number of OFDM symbols of the PUSCH in the first and second hop, respectively;
- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH;

$$M_{1} = \sum_{l=0}^{N_{\text{symb, hop}}^{\text{PUSCH}}(1)-1} M_{\text{SC}}^{\text{UCI}}(l),$$

$$\boldsymbol{M}_{2} = \sum_{l=N_{\text{symb,hop}}(1)}^{N_{\text{ymb,hop}}(2)+N_{\text{ymb,hop}}^{\text{PUSCH}}(2)-1} \boldsymbol{M}_{\text{SC}}^{\text{UCI}}(l)$$

$$M_{3} = \sum_{l=l^{(1)}}^{N_{\text{symb,hop}}^{\text{PUSCH}}(1)-1} M_{\text{SC}}^{\text{UCI}}(l)$$

If frequency hopping is not configured for the PUSCH,

- denote $l^{(1)}$ as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS;
- denote $l_{CSI}^{(1)}$ as the OFDM symbol index of the first OFDM symbol that does not carry DMRS;
- if HARQ-ACK is present for transmission on the PUSCH, let $G^{ACK}(1) = G^{ACK}$;
- if CSI is present for transmission on the PUSCH, let $G^{\text{CSI-part1}}(1) = G^{\text{CSI-part2}}$ and $G^{\text{CSI-part2}}(1) = G^{\text{CSI-part2}}$;
- let $N_{\text{hop}}^{\text{PUSCH}} = 1$ and $N_{\text{symb,hop}}^{\text{PUSCH}}(1) = N_{\text{symb,all}}^{\text{PUSCH}}$.

The multiplexed data and control coded bit sequence $g_0, g_1, g_2, g_3, ..., g_{G-1}$ is obtained according to the following:

Step 1:

Set
$$\overline{\Phi}_{l}^{\text{UL-SCH}} = \Phi_{l}^{\text{UL-SCH}}$$
 for $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$;

Set
$$\overline{M}_{\text{sc}}^{\text{UL-SCH}}(l) = \left|\overline{\Phi}_{l}^{\text{UL-SCH}}\right|$$
 for $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$;

Set
$$\overline{\Phi}_{l}^{\text{UCI}} = \Phi_{l}^{\text{UCI}}$$
 for $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$;

Set
$$\overline{M}_{sc}^{UCI}(l) = |\overline{\Phi}_{l}^{UCI}|$$
 for $l = 0, 1, 2, ..., N_{symb, all}^{PUSCH} - 1$;

if the number of HARQ-ACK information bits to be transmitted on PUSCH is 0, 1 or 2 bits

the number of reserved resource elements for potential HARQ-ACK transmission is calculated according to Clause 6.3.2.4.2.1, by setting $O_{\rm ACK}=2$;

denote $G_{\text{rvd}}^{\text{ACK}}$ as the number of coded bits for potential HARQ-ACK transmission using the reserved resource elements;

if frequency hopping is configured for the PUSCH, let $G_{\text{rvd}}^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left[G_{\text{rvd}}^{\text{ACK}} / \left(2 \cdot N_L \cdot Q_m \right) \right]$ and $G_{\text{rvd}}^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[G_{\text{rvd}}^{\text{ACK}} / \left(2 \cdot N_L \cdot Q_m \right) \right]$;

if frequency hopping is not configured for the PUSCH, let $G_{\text{rvd}}^{\text{ACK}}(1) = G_{\text{rvd}}^{\text{ACK}}$;

denote $\overline{\Phi}_l^{\text{rvd}}$ as the set of reserved resource elements for potential HARQ-ACK transmission, in OFDM symbol l, for $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$;

Set $m_{\text{count}}^{\text{ACK}}(1) = 0$;

Set $m_{\text{count}}^{\text{ACK}}(2) = 0$;

$$\overline{\Phi}_{l}^{\text{rvd}} = \emptyset$$
 for $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$;

for i = 1 to $N_{\text{hop}}^{\text{PUSCH}}$

 $l = l^{(i)};$

while $m_{\text{count}}^{\text{ACK}}(i) < G_{\text{rvd}}^{\text{ACK}}(i)$

if
$$\overline{M}_{so}^{UCI}(l) > 0$$

if
$$G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$$

d=1;

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UL-SCH}}(l);$$

end if

$$\text{if } G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left| \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m / \left(G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) \right|;$$

$$m_{\mathrm{count}}^{\mathrm{RE}} = \left[\left(G_{\mathrm{rvd}}^{\mathrm{ACK}}(i) - m_{\mathrm{count}}^{\mathrm{ACK}}(i) \right) / \left(N_L \cdot Q_m \right) \right];$$

end if

for
$$j = 0$$
 to $m_{\text{count}}^{\text{RE}} - 1$

$$\overline{\Phi}_{l}^{\text{rvd}} = \overline{\Phi}_{l}^{\text{rvd}} \cup \left\{ \overline{\Phi}_{l}^{\text{UL-SCH}} \left(j \cdot d \right) \right\}$$

$$m_{\text{count}}^{\text{ACK}}(i) = m_{\text{count}}^{\text{ACK}}(i) + N_L \cdot Q_m;$$

end for

end if

l = l + 1;

end while

end for

else

$$\overline{\Phi}_{l}^{\text{rvd}} = \emptyset \text{ for } l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1;$$

end if

Denote $\overline{M}_{\mathrm{sc,rvd}}^{\,\overline{\Phi}}(l) = \left| \overline{\Phi}_l^{\,\mathrm{rvd}} \right|$ as the number of elements in $\overline{\Phi}_l^{\,\mathrm{rvd}}$.

Step 2:

if HARQ-ACK is present for transmission on the PUSCH and the number of HARQ-ACK information bits is more than 2.

$$\begin{split} & \text{Set } m_{\text{count}}^{\text{ACK}}(1) = 0 \,; \\ & \text{Set } m_{\text{count}}^{\text{ACK}}(2) = 0 \,; \\ & \text{Set } m_{\text{countall}}^{\text{ACK}} = 0 \,; \\ & \text{for } i = 1 \text{ to } N_{\text{hop}}^{\text{PUSCH}} \\ & l = l^{(i)} \,; \\ & \text{while } m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i) \\ & \text{ if } \overline{M}_{\text{sc}}^{\text{UCI}}(l) > 0 \\ & \text{ if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m \\ & d = 1 \,; \\ & m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l) \,; \\ & \text{ end if } \\ & \text{ if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m \\ & d = \left\lfloor \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m / \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) \right\rfloor \,; \\ & m_{\text{count}}^{\text{RE}} = \left\lceil \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) / (N_L \cdot Q_m) \right\rceil \,; \\ & \text{ end if } \\ & \text{ for } j = 0 \text{ to } m_{\text{count}}^{\text{RE}} - 1 \\ & k = \overline{\Phi}_l^{\text{UCI}}(j \cdot d) \,; \\ & \text{ for } v = 0 \text{ to } N_L \cdot Q_m - 1 \end{split}$$

 $\overline{g}_{l,k,v} = g_{m_{\text{countabl}}}^{\text{ACK}};$

$$\begin{split} m_{\text{count,all}}^{\text{ACK}} &= m_{\text{count,all}}^{\text{ACK}} + 1; \\ m_{\text{count}}^{\text{ACK}}(i) &= m_{\text{count}}^{\text{ACK}}(i) + 1; \\ \text{end for} \\ &\bar{\Phi}_{l,mp}^{\text{UCI}} = \varnothing; \\ \text{for } j = 0 \text{ to } m_{\text{count}}^{\text{RE}} - 1 \\ &\bar{\Phi}_{l,mp}^{\text{UCI}} = \bar{\Phi}_{l,mp}^{\text{UCI}} \cup \bar{\Phi}_{l}^{\text{UCI}} \left(j \cdot d \right); \\ \text{end for} \\ &\bar{\Phi}_{l}^{\text{UCI}} &= \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}}; \\ &\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}}; \\ &\bar{M}_{\text{sc}}^{\text{UCI}}(l) = \left| \bar{\Phi}_{l}^{\text{UCI}} \right|; \\ &\bar{m}_{\text{sc}}^{\text{UL-SCH}}(l) = \left| \bar{\Phi}_{l}^{\text{UL-SCH}} \right|; \\ \text{end if} \\ &l = l + 1; \\ \text{end while} \end{split}$$

Step 3:

end if

end for

if CSI is present for transmission on the PUSCH,

Set
$$m_{\mathrm{count}}^{\mathrm{CSI-part1}}(1) = 0$$
;
Set $m_{\mathrm{count}}^{\mathrm{CSI-part1}}(2) = 0$;
Set $m_{\mathrm{count,all}}^{\mathrm{CSI-part1}} = 0$;
for $i = 1$ to $N_{\mathrm{hop}}^{\mathrm{PUSCH}}$
 $l = l_{\mathrm{CSI}}^{(i)}$;
while $\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) - \overline{M}_{\mathrm{sc, rvd}}^{\overline{\Phi}}(l) \leq 0$
 $l = l + 1$;
end while
while $m_{\mathrm{count}}^{\mathrm{CSI-part1}}(i) < G^{\mathrm{CSI-part1}}(i)$

if
$$\bar{M}_{\rm sc}^{\, {
m UCI}} \left(l\right) - \bar{M}_{\rm sc,\, rvd}^{\, \bar{\Phi}} \left(l\right) > 0$$

$$\text{if } G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \geq \left(\overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m$$

d=1;

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l) - \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}(l);$$

end if

$$\text{if } G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) < \left(\overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m$$

$$d = \left| \left(\bar{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - M_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m \middle/ \left(G^{\text{CSI-part1}}\left(i\right) - m_{\text{count}}^{\text{CSI-part1}}\left(i\right) \right) \right|;$$

$$m_{\text{count}}^{\text{RE}} = \left[\left(G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \right) / \left(N_L \cdot Q_m \right) \right];$$

end if

$$\overline{\Phi}_{I}^{\text{temp}} = \overline{\Phi}_{I}^{\text{UCI}} \setminus \overline{\Phi}_{I}^{\text{rvd}};$$

for
$$j = 0$$
 to $m_{\text{count}}^{\text{RE}} - 1$

$$k = \overline{\Phi}_{i}^{\text{temp}}(j \cdot d);$$

for
$$v = 0$$
 to $N_L \cdot Q_m - 1$

$$\overline{g}_{l,k,v} = g_{m_{\text{count all}}}^{\text{CSI-part1}};$$

$$m_{\text{count,all}}^{\text{CSI-part1}} = m_{\text{count,all}}^{\text{CSI-part1}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part1}}(i) = m_{\text{count}}^{\text{CSI-part1}}(i) + 1;$$

end for

end for

$$\mathbf{ar{\Phi}}_{l,tmp}^{ ext{UCI}}=\mathbf{\emptyset};$$

for
$$j = 0$$
 to $m_{\text{count}}^{\text{RE}} - 1$

$$\overline{\Phi}_{l,tmp}^{\text{UCI}} = \overline{\Phi}_{l,tmp}^{\text{UCI}} \cup \overline{\Phi}_{l}^{\text{temp}} (j \cdot d);$$

end for

$$ar{m{\Phi}}_l^{ ext{UCI}} = ar{m{\Phi}}_l^{ ext{UCI}} \setminus ar{m{\Phi}}_{l,\textit{tmp}}^{ ext{UCI}}$$
 :

$$\overline{\Phi}_l^{ ext{UL-SCH}} = \overline{\Phi}_l^{ ext{UL-SCH}} \setminus \overline{\Phi}_{l,\mathit{tmp}}^{ ext{UCI}}$$
 .

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UCI}}\right|;$$

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UL-SCH}}\right|;$$

end if

$$l = l + 1;$$

end while

end for

Set
$$m_{\text{count}}^{\text{CSI-part2}}(1) = 0$$
;

Set
$$m_{\text{count}}^{\text{CSI-part2}}(2) = 0$$
;

Set
$$m_{\text{count,all}}^{\text{CSI-part2}} = 0$$
;

for
$$i = 1$$
 to $N_{\text{hop}}^{\text{PUSCH}}$

$$l = l_{\text{CSI}}^{(i)}$$
;

while
$$\bar{M}_{\mathrm{sc}}^{\mathrm{UCI}}\!\left(l\right) \leq 0$$

$$l = l + 1;$$

end while

while
$$m_{\text{count}}^{\text{CSI-part2}}(i) < G^{\text{CSI-part2}}(i)$$

if
$$\overline{M}_{\rm sc}^{\rm UCI}(l) > 0$$

if
$$G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$$

$$d = 1$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l);$$

end if

$$\text{if } G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left\lfloor \bar{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m \middle/ \left(G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i)\right) \right\rfloor;$$

$$m_{\mathrm{count}}^{\mathrm{RE}} = \left\lceil \! \left(\! G^{\mathrm{CSI-part2}}(i) \! - \! m_{\mathrm{count}}^{\mathrm{CSI-part2}}(i) \right) \! / \! \left(\! N_L \cdot Q_m \right) \! \right\rceil \, ; \label{eq:energy_relation}$$

end if

for
$$j = 0$$
 to $m_{\text{count}}^{\text{RE}} - 1$

$$k = \overline{\Phi}_{l}^{\text{UCI}}(j \cdot d);$$

for
$$v = 0$$
 to $N_L \cdot Q_m - 1$

$$\overline{g}_{l,k,v} = g_{m_{\text{count,all}}^{\text{CSI-part2}}}^{\text{CSI-part2}};$$

$$m_{\text{count,all}}^{\text{CSI-part2}} = m_{\text{count,all}}^{\text{CSI-part2}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part2}}(i) = m_{\text{count}}^{\text{CSI-part2}}(i) + 1;$$

end for $\bar{\Phi}_{l,mp}^{\text{UCI}} = \varnothing;$ for j = 0 to $m_{\text{count}}^{\text{RE}} - 1$ $\bar{\Phi}_{l,mp}^{\text{UCI}} = \bar{\Phi}_{l,mp}^{\text{UCI}} \cup \bar{\Phi}_{l}^{\text{UCI}} \left(j \cdot d \right);$ end for $\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}};$ $\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}};$ $\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}};$ $\bar{M}_{\text{sc}}^{\text{UCI}} \left(l \right) = \left| \bar{\Phi}_{l}^{\text{UCI}} \right|;$ end if l = l + 1; end while end for

Step 4:

end if

if UL-SCH is present for transmission on the PUSCH,

```
Set m_{\mathrm{count}}^{\mathrm{UL-SCH}} = 0;

for l = 0 to N_{\mathrm{symb,all}}^{\mathrm{PUSCH}} - 1

if \overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) > 0

for j = 0 to \overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) - 1

k = \overline{\Phi}_{l}^{\mathrm{UL-SCH}}(j);

for v = 0 to N_{L} \cdot Q_{m} - 1

\overline{g}_{l,k,v} = g_{m_{\mathrm{count}}^{\mathrm{UL-SCH}}}^{\mathrm{UL-SCH}};

m_{\mathrm{count}}^{\mathrm{UL-SCH}} = m_{\mathrm{count}}^{\mathrm{UL-SCH}} + 1;

end for

end for

end for
```

end if

Step 5:

if HARQ-ACK is present for transmission on the PUSCH and the number of HARQ-ACK information bits is no more than 2.

$$\begin{split} & \text{Set } m_{\text{count}}^{\text{ACK}}(1) = 0 \,; \\ & \text{Set } m_{\text{countall}}^{\text{ACK}}(2) = 0 \,; \\ & \text{Set } m_{\text{countall}}^{\text{ACK}} = 0 \,; \\ & \text{for } i = 1 \text{ to } N_{\text{hop}}^{\text{PUSCH}} \\ & l = l^{(i)} \,; \\ & \text{while } m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i) \\ & \text{ if } \overline{M}_{\text{sc, rvd}}^{\Phi}(l) > 0 \\ & \text{ if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \geq \overline{M}_{\text{sc, rvd}}^{\Phi}(l) \cdot N_L \cdot Q_m \\ & d = 1 \,; \\ & m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc, rvd}}^{\Phi}(l) \,; \\ & \text{ end if } \\ & \text{ if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc, rvd}}^{\Phi}(l) \cdot N_L \cdot Q_m \\ & d = \left\lfloor \overline{M}_{\text{sc, rvd}}^{\Phi}(l) \cdot N_L \cdot Q_m / \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) \right\rfloor \,; \\ & m_{\text{count}}^{\text{RE}} = \left\lceil \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) / (N_L \cdot Q_m) \right\rceil \,; \\ & \text{ end if } \\ & \text{ for } j = 0 \text{ to } m_{\text{count}}^{\text{RE}} - 1 \\ & k = \overline{\Phi}_l^{\text{rvd}}(j \cdot d) \,; \\ & \text{ for } v = 0 \text{ to } N_L \cdot Q_m - 1 \\ & \overline{g}_{l,k,v} = g_{\text{ack}}^{\text{ACK}} \,; \\ & m_{\text{count,all}}^{\text{ACK}} = m_{\text{count,all}}^{\text{ACK}} + 1 \,; \\ & m_{\text{count,all}}^{\text{ACK}} = m_{\text{count,all}}^{\text{ACK}} + 1 \,; \\ & \text{ end for } \\ & \text{ end for } \end{aligned}$$

end if

```
l = l + 1; end while end for end if
```

Step 6:

end for

```
Set t=0;

for l=0 to N_{\text{symb,all}}^{\text{PUSCH}}-1

for j=0 to M_{\text{sc}}^{\text{UL-SCH}}\left(l\right)-1

k=\Phi_{l}^{\text{UL-SCH}}\left(j\right);

for v=0 to N_{L}\cdot Q_{m}-1

g_{t}=\overline{g}_{l,k,v};

t=t+1;

end for

end for
```

6.3 Uplink control information

6.3.1 Uplink control information on PUCCH

The procedure in this clause applies to PUCCH formats 2/3/4.

6.3.1.1 UCI bit sequence generation

6.3.1.1.1 HARQ-ACK/SR only

If only HARQ-ACK bits are transmitted on a PUCCH, the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ is determined by setting $a_i = \tilde{o}_i^{ACK}$ for $i = 0, 1, ..., O^{ACK} - 1$ and $A = O^{ACK}$, where the HARQ-ACK bit sequence $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$ is given by Clause 9.1 of [5, TS38.213].

If only HARQ-ACK and SR bits are transmitted on a PUCCH, the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ is determined by setting $a_i = \widetilde{o_i}^{ACK}$ for $i = 0, 1, ..., O^{ACK} - 1$, $a_i = \widetilde{o_i}^{SR}$ for $i = O^{ACK}, O^{ACK} + 1, ..., O^{ACK} + O^{SR} - 1$, and $A = O^{ACK} + O^{SR}$, where the HARQ-ACK bit sequence $\widetilde{o_0}^{ACK}, \widetilde{o_1}^{ACK}, ..., \widetilde{o_O^{ACK}}_{O^{ACK}-1}$ is given by Clause 9.1 of [5, TS 38.213], and the SR bit sequence $\widetilde{o_0}^{SR}, \widetilde{o_1}^{SR}, ..., \widetilde{o_O^{SR}}_{O^{SR}-1}$ is given by Clause 9.2.5.1 of [5, TS 38.213].

6.3.1.1.2 CSI only

The bitwidth for PMI of *codebookType=typeI-SinglePanel* with 2 CSI-RS ports is 2 for Rank=1 and 1 for Rank=2, according to Clause 5.2.2.2.1 in [6, TS 38.214].

The bitwidth for PMI of codebookType=typeI-SinglePanel with more than 2 CSI-RS ports is provided in Tables 6.3.1.1.2-1, where the values of (N_1, N_2) and (O_1, O_2) are given by Clause 5.2.2.2.1 in [6, TS 38.214].

Table 6.3.1.1.2-1: PMI of codebookType=typeI-SinglePanel

	Information field $X_1^{}$ for wideband PMI			P	X_2 for wideband MI bband PMI	
	$(i_{l,l}$	$(i_{1,2})$	$i_{1,3}$	i_2		
	codebookMode=1	codebookMode=2	1,3	codebookMode=1	codebookMode=2	
Rank = 1 with >2 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$\left(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil \right)$	N/A	2	4	
Rank = 1 with >2 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	N/A	2	4	
Rank=2 with 4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	1	1	3	
Rank=2 with >4 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$\left(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil \right)$	2	1	3	
Rank=2 with >4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	2	1	3	
Rank=3 or 4, with 4 CSI-RS ports	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left , \left\lceil \log_2 N_2 O_2 \right\rceil \right $	0		1	
Rank=3 or 4, with 8 or 12 CSI- RS ports	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$		2	1		
Rank=3 or 4, with >=16 CSI- RS ports	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 N_2 O_2 \right\rceil)$		2	1		
Rank=5 or 6	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$		N/A	1		
Rank=7 or 8, $N_1 = 4, N_2 = 1$	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 N_2 O_2 \right\rceil)$			1		
Rank=7 or 8, $N_1 > 2, N_2 = 2$	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left , \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil \right)$	N/A	1		

Rank=7 or 8, with $N_1 > 4, N_2 = 1$ or $N_1 = 2, N_2 = 2$ or $N_1 > 2, N_2 > 2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	1
---	--	-----	---

The bitwidth for PMI of codebookType = typeI-MultiPanel is provided in Tables 6.3.1.1.2-2, where the values of (N_g, N_1, N_2) and (O_1, O_2) are given by Clause 5.2.2.2.2 in [6, TS 38.214].

Table 6.3.1.1.2-2: PMI of codebookType= typel-MultiPanel

	Information f	ields X	for wie	deband		Information fields X_2 for wideband or per subband			
	$(i_{1,1},i_{1,2})$	$i_{1,3}$	$i_{1,4,1}$	$i_{1,4,2}$	$i_{1,4,3}$	i_2	$i_{2,0}$	$i_{2,1}$	$i_{2,2}$
Rank=1 with $N_g = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	N/A	N/A	2	N/A	N/A	N/A
Rank=1 with $N_g = 4$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	2	2	N/A	N/A	N/A
Rank=2 with $N_g = 2$, $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	N/A	N/A	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 2$, $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_g = 2$, $N_1 N_2 > 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 with $N_g = 4$, $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	2	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 4$, $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	2	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_g = 4$, $N_1 N_2 > 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	2	1	N/A	N/A	N/A

Rank=1 with $N_g = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	N/A	N/A	2	1	1
Rank=2 with $N_g = 2$, $N_1 N_2 = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	N/A	N/A	1	1	1
Rank=3 or 4 with $N_g = 2$, $N_1 N_2 = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	N/A	N/A	1	1	1
Rank=2 or 3 or 4 with $N_g=2$, $N_1N_2>2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	N/A	N/A	1	1	1

The bitwidth for PMI with 1 CSI-RS port is 0.

The bitwidth for RI/LI/CQI/CRI of *codebookType=typeI-SinglePanel* is provided in Tables 6.3.1.1.2-3.

Table 6.3.1.1.2-3: RI, LI, CQI, and CRI of codebookType=typel-SinglePanel

			Bitwidth			
Field	1 antenna port	2 antenna 4 antenna		>4 anten	nna ports	
	i antenna port	ports	ports	Rank1~4	Rank5~8	
Rank Indicator	0	$\min(1, \lceil \log_2 n_{\rm RI} \rceil)$	$\min(2, \lceil \log_2 n_{\rm RI} \rceil)$	$\log_2 n_{\mathrm{RI}}$	$\log_2 n_{\mathrm{RI}}$	
Layer Indicator	0	$\lceil \log_2 v \rceil$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	
Wide-band CQI for the first TB	4	4	4	4	4	
Wideband CQI for the second TB	0	0	0	0	4	
Subband differential CQI for the first TB	2	2	2	2	2	
Subband differential CQI for the second TB	0	0	0	0	2	
CRI	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	

 n_{RI} in Table 6.3.1.1.2-3 is the number of allowed rank indicator values according to Clause 5.2.2.2.1 [6, TS 38.214].

v is the value of the rank. The value of $K_s^{\text{CSI-RS}}$ is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI/CRI of *codebookType= typeI-MultiPanel* is provided in Table 6.3.1.1.2-4.

Table 6.3.1.1.2-4: RI, LI, CQI, and CRI of codebookType=typel-MultiPanel

Field	Bitwidth
Rank Indicator	$\min(2,\lceil \log_2 n_{\rm RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
CRI	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$

where n_{RI} is the number of allowed rank indicator values according to Clause 5.2.2.2.2 [6, TS 38.214], v is the value of the rank, and K_s^{CSI-RS} is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI of *codebookType=typeII* or *codebookType=typeII-PortSelection* is provided in Table 6.3.1.1.2-5.

Table 6.3.1.1.2-5: RI, LI, and CQI of codebookType=typell or typell-PortSelection

Field	Bitwidth
Rank Indicator	$\min(1, \lceil \log_2 n_{\rm RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
Indicator of the number of non-zero wideband amplitude coefficients M_l for layer l	$\lceil \log_2(2L-1) \rceil$

where n_{RI} is the number of allowed rank indicator values according to Clauses 5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and v is the value of the rank. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for CRI, SSBRI, RSRP, and differential RSRP are provided in Table 6.3.1.1.2-6.

Table 6.3.1.1.2-6: CRI, SSBRI, and RSRP

Field	Bitwidth
CRI	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$
SSBRI	$\lceil \log_2(K_s^{ ext{SSB}}) ceil$
RSRP	7
Differential RSRP	4

where $K_s^{\text{CSI-RS}}$ is the number of CSI-RS resources in the corresponding resource set, and K_s^{SSB} is the configured number of SS/PBCH blocks in the corresponding resource set for reporting 'ssb-Index-RSRP'.

Table 6.3.1.1.2-7: Mapping order of CSI fields of one CSI report, pmi-FormatIndicator=widebandPMI and cqi-FormatIndicator=widebandCQI

CSI report number	CSI fields
	CRI as in Tables 6.3.1.1.2-3/4, if reported
	Rank Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Zero padding bits $O_{\!\scriptscriptstyle P}$, if needed
CSI report #n	PMI wideband information fields X_{1} , from left to right as in Tables 6.3.1.1.2-1/2, if reported
	PMI wideband information fields X_{2} , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if reported
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4, if reported
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4, if reported

The number of zero padding bits O_P in Table 6.3.1.1.2-7 is 0 for 1 CSI-RS port and $O_P = N_{\text{max}} - N_{\text{reported}}$ for more than 1 CSI-RS port, where

- $-N_{\max} = \max_{r \in S_{\text{Rank}}} B(r) \text{ and } S_{\text{Rank}} \text{ is the set of rank values } r \text{ that are allowed to be reported;}$
- $N_{\text{reported}} = B(R)$, where R is the reported rank;
- For 2 CSI-RS ports, $B(r) = N_{PMI}(r) + N_{COI}(r) + N_{LI}(r)$;
- For more than 2 CSI-RS ports, $B(r) = N_{\text{PMI},i}(r) + N_{\text{PMI},i2}(r) + N_{\text{COI}}(r) + N_{\text{LI}}(r)$;
- if PMI is reported, $N_{PMI}(1) = 2$ and $N_{PMI}(2) = 1$; otherwise, $N_{PMI}(r) = 0$;
- if PMI $_{i1}$ is reported, $N_{\text{PMI},i1}(r)$ is obtained according to Tables 6.3.1.1.2-1/2; otherwise, $N_{\text{PMI},i1}(r) = 0$;
- if PMI $_{i2}$ is reported, $N_{\text{PMI}_{i2}}(r)$ is obtained according to Tables 6.3.1.1.2-1/2; otherwise, $N_{\text{PMI}_{i2}}(r) = 0$;
- if CQI is reported, $N_{\text{COI}}(r)$ is obtained according to Tables 6.3.1.1.2-3/4; otherwise, $N_{\text{COI}}(r) = 0$;
- if LI is reported, $N_{IJ}(r)$ is obtained according to Tables 6.3.1.1.2-3/4; otherwise, $N_{IJ}(r) = 0$.

Table 6.3.1.1.2-8: Mapping order of CSI fields of one report for CRI/RSRP or SSBRI/RSRP reporting

CSI report number	CSI fields
	CRI or SSBRI #1 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #2 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #3 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #4 as in Table 6.3.1.1.2-6, if reported
CSI report #n	RSRP #1 as in Table 6.3.1.1.2-6, if reported
CSI Teport #II	Differential RSRP #2 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #3 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #4 as in Table 6.3.1.1.2-6, if reported

Table 6.3.1.1.2-9: Mapping order of CSI fields of one CSI report, CSI part 1, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields				
	CRI as in Tables 6.3.1.1.2-3/4, if reported				
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5, if reported				
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5, if reported				
	Subband differential CQI for the first TB with increasing order of subband number as in				
CCI roport #n	Tables 6.3.1.1.2-3/4/5, if reported				
CSI report #n CSI part 1	Indicator of the number of non-zero wideband amplitude coefficients M_0 for layer 0 as in				
OOI part 1	Table 6.3.1.1.2-5, if reported				
	Indicator of the number of non-zero wideband amplitude coefficients M_1 for layer 1 as in Table				
	6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all				
	zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Clauses 5.2.2.2.3				
	and 5.2.2.2.4 [6, TS 38.214] and if reported				
	or given CSI report <i>n</i> indicated by the higher layer parameter csi-ReportingBand are numbered				
continuously	continuously in the increasing order with the lowest subband of csi-ReportingBand as subband 0.				

Table 6.3.1.1.2-10: Mapping order of CSI fields of one CSI report, CSI part 2 wideband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n CSI part 2	PMI wideband information fields X_{1} , from left to right as in Tables 6.3.1.1.2-1/2, if reported
wideband	PMI wideband information fields X_{2} , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if pmi- FormatIndicator= widebandPMI and if reported

Table 6.3.1.1.2-11: Mapping order of CSI fields of one CSI report, CSI part 2 subband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

	Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields X_2 of all even subbands with increasing order of subband number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of
CSI report #n	subband number, if <i>pmi-FormatIndicator</i> = subbandPMI and if reported
Part 2 subband	Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields X_{2} of all odd subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

If none of the CSI reports for transmission on a PUCCH is of two parts, the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ starting with a_0 . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to a_0 .

Table 6.3.1.1.2-12: Mapping order of CSI reports to UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$, without two-part CSI report(s)

UCI bit sequence	CSI report number
a_0	CSI report #1 as in Table 6.3.1.1.2-7/8
a_1 a_2	CSI report #2 as in Table 6.3.1.1.2-7/8
a_3 :	
a_{A-1}	CSI report #n as in Table 6.3.1.1.2-7/8

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated, $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$ and $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$. The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$ starting with $a_0^{(1)}$. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to $a_0^{(1)}$. The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$ starting with $a_0^{(2)}$. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of each field is mapped to $a_0^{(2)}$. If the length of UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$ is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

Table 6.3.1.1.2-13: Mapping order of CSI reports to UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$, with two-part CSI report(s)

UCI bit sequence	CSI report number
	CSI report #1 if CSI report #1 is not of two parts, or
$a_0^{(1)}$	CSI report #1, CSI part 1, if CSI report #1 is of two parts, as in Table 6.3.1.1.2-7/8/9
$a_1^{(1)}$	CSI report #2 if CSI report #2 is not of two parts, or
$a_2^{(1)}$	CSI report #2, CSI part 1, if CSI report #2 is of two parts,
_	as in Table 6.3.1.1.2-7/8/9
$a_3^{(1)}$	
:	
a ⁽¹⁾	CSI report #n if CSI report #n is not of two parts, or
$a_{A^{(1)}-1}^{(1)}$	CSI report #n, CSI part 1, if CSI report #n is of two parts,
	as in Table 6.3.1.1.2-7/8/9

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-13 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

Table 6.3.1.1.2-14: Mapping order of CSI reports to UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$, with two-part CSI report(s)

UCI bit sequence	CSI report number							
	CSI report #1, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #1							
	CSI report #2, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #2							
$a_0^{(2)}$:							
$a_{1}^{(2)} \ a_{2}^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #n							
$a_{3}^{(2)}$ \vdots $a_{A^{(2)}-1}^{(2)}$	CSI report #1, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #1							
	CSI report #2, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #2							
	CSI report #n, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #n							

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-14 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

6.3.1.1.3 HARQ-ACK/SR and CSI

If none of the CSI reports for transmission on a PUCCH is of two parts, the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ is generated according to the following, where $A = Q^{ACK} + Q^{SR} + Q^{CSI}$:

- if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{O^{ACK}_{-1}}$, where $a_i = \widetilde{o}_i^{ACK}$ for $i = 0, 1, ..., O^{ACK}_{-1}$, the HARQ-ACK bit sequence $\widetilde{o}_0^{ACK}, \widetilde{o}_1^{ACK}, ..., \widetilde{o}_{O^{ACK}_{-1}}^{ACK}$ is given by Clause 9.1 of [5, TS38.213], and O^{ACK}_{-1} is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set $O^{ACK}_{-1} = 0$;
- if there is SR for transmission on the PUCCH, set $a_i = \tilde{o}_i^{SR}$ for $i = O^{\text{ACK}}$, $O^{\text{ACK}} + 1,...,O^{\text{ACK}} + O^{\text{SR}} 1$, where the SR bit sequence \tilde{o}_0^{SR} , \tilde{o}_1^{SR} ,..., $\tilde{o}_{O^{\text{SR}}-1}^{SR}$ is given by Clause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set $O^{\text{SR}} = 0$;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence $a_{O^{\text{ACK}}+O^{\text{SR}}}, a_{O^{\text{ACK}}+O^{\text{SR}}+1}, ..., a_{O^{\text{ACK}}+O^{\text{SR}}+O^{\text{CSI}}-1}$ starting with $a_{O^{\text{ACK}}+O^{\text{SR}}}$, where O^{CSI} is the number of CSI bits.

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated, $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ and $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$, according to the following, where $A^{(1)} = Q^{ACK} + Q^{SR} + Q^{CSI-part1}$ and $A^{(2)} = Q^{CSI-part2}$:

- if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{O^{ACK}_{-1}}^{(1)}$, where $a_i^{(1)} = \tilde{o}_i^{ACK}$ for $i = 0, 1, ..., O^{ACK}_{-1}$, the HARQ-ACK bit sequence $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}_{-1}}^{ACK}$ is given by Clause 9.1 of [5, TS38.213], and O^{ACK}_{-1} is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set $O^{ACK}_{-1} = 0$;

- if there is SR for transmission on the PUCCH, set $a_i = \tilde{o}_i^{SR}$ for $i = O^{ACK}$, $O^{ACK} + 1,...,O^{ACK} + O^{SR} 1$, where the SR bit sequence \tilde{o}_0^{SR} , \tilde{o}_1^{SR} ,..., $\tilde{o}_{O^{SR}-1}^{SR}$ is given by Clause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set $O^{SR} = 0$;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}, a_{O^{\text{ACK}}+O^{\text{SR}}+1}^{(1)}, ..., a_{O^{\text{ACK}}+O^{\text{SR}}+O^{\text{CSI-partI}}-1}^{(1)}$ starting with $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}$, where $O^{\text{CSI-partI}}$ is the number of CSI bits in CSI part 1 of all CSI reports;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ starting with $a_0^{(2)}$, where $O^{\text{CSI-part2}}$ is the number of CSI bits in CSI part 2 of all CSI reports. If the length of UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

6.3.1.2 Code block segmentation and CRC attachment

The UCI bit sequence from clause 6.3.1.1 is denoted by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, where A is the payload size. The procedure in 6.3.1.2.1 applies for $A \ge 12$ and the procedure in Clause 6.3.1.2.2 applies for $A \le 11$.

6.3.1.2.1 UCI encoded by Polar code

If the payload size $A \ge 12$, code block segmentation and CRC attachment is performed according to Clause 5.2.1. If $(A \ge 360 \text{ and } E \ge 1088)$ or if $A \ge 1013$, $I_{seg} = 1$; otherwise $I_{seg} = 0$, where E is the rate matching output sequence length as given in Clause 6.3.1.4.1.

If $12 \le A \le 19$, the parity bits $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$ in Clause 5.2.1 are computed by setting L to 6 bits and using the generator polynomial $g_{\text{CRC6}}(D)$ in Clause 5.1, resulting in the sequence $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ where r is the code block number and K_r is the number of bits for code block number r.

If $A \ge 20$, the parity bits $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$ in Clause 5.2.1 are computed by setting L to 11 bits and using the generator polynomial $g_{\text{CRCII}}(D)$ in Clause 5.1, resulting in the sequence $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ where r is the code block number and K_r is the number of bits for code block number r.

6.3.1.2.2 UCI encoded by channel coding of small block lengths

If the payload size $A \le 11$, CRC bits are not attached.

The output bit sequence is denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where $c_i = a_i$ for i = 0, 1, ..., A-1 and K = A.

6.3.1.3 Channel coding of UCI

6.3.1.3.1 UCI encoded by Polar code

Information bits are delivered to the channel coding block. They are denoted by $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$, where r is the code block number, and K_r is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually encoded by the following:

If $18 \le K_r \le 25$, the information bits are encoded via Polar coding according to Clause 5.3.1, by setting $n_{\max} = 10$, $I_{IL} = 0$, $n_{PC} = 3$, $n_{PC}^{wm} = 1$ if $E_r - K_r + 3 > 192$ and $n_{PC}^{wm} = 0$ if $E_r - K_r + 3 \le 192$, where E_r is the rate matching output sequence length as given in Clause 6.3.1.4.1.

If $K_r > 30$, the information bits are encoded via Polar coding according to Clause 5.3.1, by setting $n_{\max} = 10$, $I_{IL} = 0$, $n_{PC} = 0$, and $n_{PC}^{wm} = 0$.

After encoding the bits are denoted by $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$, where N_r is the number of coded bits in code block number r.

6.3.1.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits.

The information bits are encoded according to Clause 5.3.3.

After encoding the bits are denoted by $d_0, d_1, d_2, d_3, \dots, d_{N-1}$, where N is the number of coded bits.

6.3.1.4 Rate matching

For PUCCH formats 2/3/4, the total rate matching output sequence length $E_{\rm tot}$ is given by Table 6.3.1.4-1, where $N_{\rm symb,UCI}^{\rm PUCCH,2}$, $N_{\rm symb,UCI}^{\rm PUCCH,3}$, and $N_{\rm symb,UCI}^{\rm PUCCH,4}$ are the number of symbols carrying UCI for PUCCH formats 2/3/4 respectively; $N_{\rm PRB}^{\rm PUCCH,2}$ and $N_{\rm PRB}^{\rm PUCCH,3}$ are the number of PRBs that are determined by the UE for PUCCH formats 2/3 transmission respectively according to Clause 9.2 of [5, TS38.213]; and $N_{\rm SE}^{\rm PUCCH,4}$ is the spreading factor for PUCCH format 4.

Table 6.3.1.4-1: Total rate matching output sequence length E_{tot}

DUCCH format	Modulation order					
PUCCH format	QPSK	π/2-BPSK				
PUCCH format 2	$16 \cdot N_{ ext{symb,UCI}}^{ ext{PUCCH,2}} \cdot N_{ ext{PRB}}^{ ext{PUCCH,2}}$	N/A				
PUCCH format 3	$24 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,3}} \cdot N_{\mathrm{PRB}}^{\mathrm{PUCCH,3}}$	$12 \cdot N_{\text{symb,UCI}}^{\text{PUCCH,3}} \cdot N_{\text{PRB}}^{\text{PUCCH,3}}$				
PUCCH format 4	$24 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,4}} / N_{\mathrm{SF}}^{\mathrm{PUCCH,4}}$	$12 \cdot N_{\text{symb,UCI}}^{\text{PUCCH,4}} / N_{\text{SF}}^{\text{PUCCH,4}}$				

6.3.1.4.1 UCI encoded by Polar code

The input bit sequence to rate matching is $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$ where r is the code block number, and N_r is the number of coded bits in code block number r.

UCI(s) for transmission on a PUCCH	UCI for encoding	Value of $E_{ m \scriptscriptstyle UCI}$
HARQ-ACK	HARQ-ACK	$E_{ m UCI} = E_{ m tot}$
HARQ-ACK, SR	HARQ-ACK, SR	$E_{\mathrm{UCI}} = E_{\mathrm{tot}}$
CSI (CSI not of two parts)	CSI	$E_{ m UCI} = E_{ m tot}$
HARQ-ACK, CSI (CSI not of two parts)	HARQ-ACK, CSI	$E_{ m \scriptscriptstyle UCI} = E_{ m \scriptscriptstyle tot}$
HARQ-ACK, SR, CSI (CSI not of two parts)	HARQ-ACK, SR, CSI	$E_{\mathrm{UCI}} = E_{\mathrm{tot}}$
CSI	CSI part 1	$E_{\text{UCI}} = \min \left(E_{\text{tot}}, \left\lceil \left(O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \left \left(O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right \cdot Q_m)$
HARQ-ACK, CSI	HARQ-ACK, CSI part 1	$E_{\text{UCI}} = \min \left(E_{\text{tot}}, \left\lceil \left(O^{\text{ACK}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \lceil (O^{\text{ACK}} + O^{\text{CSI-part1}} + L) / R_{\text{UCI}}^{\text{max}} / Q_m \rceil \cdot Q_m)$
HARQ-ACK, SR, CSI (CSI of two parts)	HARQ-ACK, SR, CSI part 1	$E_{\text{UCI}} = \min \left(E_{\text{tot}}, \left\lceil \left(O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min \left(E_{\text{tot}}, \left\lceil \left(O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_{m} \right\rceil \cdot Q_{m} \right)$

Table 6.3.1.4.1-1: Rate matching output sequence length $E_{\text{\tiny LICT}}$

Rate matching is performed according to Clause 5.4.1 by setting $I_{BIL} = 1$ and the rate matching output sequence length to $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$, where C_{UCI} is the number of code blocks for UCI determined according to Clause 6.3.1.2.1 and the value of E_{UCI} is given by Table 6.3.1.4.1-1:

- O^{ACK} is the number of bits for HARQ-ACK for transmission on the current PUCCH;
- O^{SR} is the number of bits for SR for transmission on the current PUCCH;
- $O^{\text{CSI-part1}}$ is the number of bits for CSI part 1 for transmission on the current PUCCH;
- $O^{\text{CSI-part2}}$ is the number of bits for CSI part 2 for transmission on the current PUCCH;
- if $A \ge 360$, L = 11; otherwise, L is the number of CRC bits determined according to clause 6.3.1.2.1, where A equals $O^{\text{CSI-part1}}$ for "CSI (CSI of two parts)", equals $O^{\text{ACK}} + O^{\text{CSI-part1}}$ for "HARQ-ACK, CSI (CSI of two parts)", and equals $O^{\text{ACK}} + O^{\text{CSI-part1}}$ for "HARQ-ACK, SR, CSI (CSI of two parts)" respectively in Table 6.3.1.4.1-1;;
- $R_{\text{UCI}}^{\text{max}}$ is the configured maximum PUCCH coding rate;
- E_{tot} is given by Table 6.3.1.4-1.

The output bit sequence after rate matching is denoted as $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$ where E_r is the length of rate matching output sequence in code block number r.

6.3.1.4.2 UCI encoded by channel coding of small block lengths

The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$.

The value of E_{LICL} is determined according to Table 6.3.1.4.1-1 by setting L=0.

Rate matching is performed according to Clause 5.4.3 by setting the rate matching output sequence length $E = E_{\text{UCI}}$.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

6.3.1.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$, for r = 0, ..., C-1 and where E_r is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by $g_0, g_1, g_2, g_3, ..., g_{G'-1}$, where $G' = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor \cdot C_{\text{UCI}}$ with the values of E_{UCI} and C_{UCI} given in Clause 6.3.1.4.1. Let G be the total number of coded bits for transmission and $G = G' + \text{mod}(E_{\text{UCI}}, C_{\text{UCI}})$. Set $g_i = 0$ for i = G', G' + 1, ..., G - 1.

6.3.1.6 Multiplexing of coded UCI bits to PUCCH

If CSI of two parts are transmitted on a PUCCH, the coded bits corresponding to UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ is denoted by $g_0^{(1)}, g_1^{(1)}, g_2^{(1)}, g_3^{(1)}, ..., g_{G^{(1)}-1}^{(1)}$ and the coded bits corresponding to UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ is denoted by $g_0^{(2)}, g_1^{(2)}, g_2^{(2)}, g_3^{(2)}, ..., g_{G^{(2)}-1}^{(2)}$. The coded bit sequence $g_0, g_1, g_2, g_3, ..., g_{G-1}$, where $G = G^{(1)} + G^{(2)}$, is generated according to the following.

Number of UCI **PUCCH** 1st UCI symbol 2nd UCI symbol 3rd UCI symbol **PUCCH DMRS** symbol indices duration indices set $S_{
m UCI}^{
m (1)}$ indices set $S_{
m UCI}^{(2)}$ indices set $S_{
m UCI}^{(3)}$ symbol indices sets $N_{
m UCI}^{
m set}$ (symbols) {1} $\{0,2\}$ {3} 4 $\{0,2\}$ 1 {1, 2, 4} 1 5 $\{0, 3\}$ {0, 2, 3, 5} 1 $\{1, 4\}$ 6 {1, 4} 2 $\{0, 2, 3, 5\}$ {6} 8 {1, 5} 2 $\{0, 2, 4, 6\}$ ${3, 7}$ 2 $\{0, 2, 5, 7\}$ $\{3, 4, 8\}$ 9 $\{1, 6\}$ 10 $\{2, 7\}$ {1, 3, 6, 8} $\{0, 4, 5, 9\}$ 10 {1, 3, 6, 8} 1 {0,2,4,5,7,9} {0,4,5,9} 11 $\{2, 7\}$ 3 {1,3,6,8} {10} {0,2,4,5,7,8,10} 11 {1,3,6,9} 1 {0,4,6,10} {5, 11} 12 3 $\{2, 8\}$ {1,3,7,9} {0,2,3,5,6,8,9,11} 12 {1,4,7,10} 1 {1,3,8,10} $\{2, 9\}$ {0,4,7,11} {5,6,12} 13 3 {1,4,7,11} {0,2,3,5,6,8,10,12} 13 {9} {1,5,8,12} {0,6,7,13} ${3, 10}$ {2,4,9,11} {0,2,4,6,7,9,11,13} 14 {1,5,8,12} ${3, 10}$

Table 6.3.1.6-1: PUCCH DMRS and UCI symbols

Denote s_l as UCI OFDM symbol index. Denote $N_{\text{UCI}}^{(i)}$ as the number of elements in UCI symbol indices set $S_{\text{UCI}}^{(i)}$ for $i=1,...,N_{\text{UCI}}^{\text{set}}$, where $S_{\text{UCI}}^{(i)}$ and $N_{\text{UCI}}^{\text{set}}$ are given by Table 6.3.1.6-1 according to the PUCCH duration and the PUCCH DMRS configuration. Denote $N_{\text{symb,UCI}}^{\text{PUCCH,}} = \sum_{i=1}^{N_{\text{UCI}}^{\text{set}}} N_{\text{UCI}}^{(i)}$ as the number of OFDM symbols carrying UCI in the PUCCH.

Denote Q_m as the modulation order of the PUCCH.

For PUCCH format 3, set $N_{\rm UCI}^{\rm symbol} = 12 \cdot N_{\rm PRB}^{\rm PUCCH,3}$, where $N_{\rm PRB}^{\rm PUCCH,3}$ is the number of PRBs that is determined by the UE for PUCCH format 3 transmission according to Clause 9.2 of [5, TS 38.213].

For PUCCH format 4, set $N_{\rm UCI}^{\rm symbol} = 12/N_{\rm SF}^{\rm PUCCH,4}$, where $N_{\rm SF}^{\rm PUCCH,4}$ is the spreading factor for PUCCH format 4.

 $\text{Find the smallest } j > 0 \text{ such that } \left(\sum_{i=1}^{j} N_{\text{UCI}}^{(i)}\right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \geq G^{(1)} \,.$

Set $n_1 = 0$;

Set $n_2 = 0$;

$$\text{Set } \overline{N}_{\text{UCI}}^{\text{symbol}} = \left| \left(G^{(1)} - \left(\sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)} \right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \right) \middle/ \left(N_{\text{UCI}}^{(j)} \cdot Q_m \right) \right|;$$

$$\text{Set } M = \text{mod} \left(\left(G^{(1)} - \left(\sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)} \right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \right) \middle/ Q_m, N_{\text{UCI}}^{(j)} \right);$$

for
$$l = 0$$
 to $N_{\text{symb, UCI}}^{\text{PUCCH,}} - 1$

if
$$s_l \in \bigcup_{i=1}^{j-1} S_{\text{UCI}}^{(i)}$$

for
$$k = 0$$
 to $N_{\text{UCI}}^{\text{symbol}} - 1$

for
$$v = 0$$
 to $Q_m - 1$

$$\overline{g}_{l,k,v} = g_{n_1}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

elseif $s_l \in S_{\text{UCI}}^{(j)}$

if M > 0

$$\gamma = 1$$
;

else

$$\gamma = 0$$
;

end if

$$M = M - 1$$
;

for
$$k = 0$$
 to $\overline{N}_{\text{UCI}}^{\text{symbol}} + \gamma - 1$

for
$$v = 0$$
 to $Q_m - 1$

$$\overline{g}_{l,k,v}=g_{n_l}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

for
$$k = \overline{N}_{\text{UCI}}^{\text{symbol}} + \gamma$$
 to $N_{\text{UCI}}^{\text{symbol}} - 1$

```
for v = 0 to Q_m - 1
                     \overline{g}_{l,k,v} = g_{n_2}^{(2)};
                     n_2 = n_2 + 1;
               end for
          end for
     else
          for k = 0 to N_{\text{UCI}}^{\text{symbol}} - 1
               for v = 0 to Q_m - 1
                     \overline{g}_{l,k,\nu} = g_{n_2}^{(2)};
                     n_2 = n_2 + 1;
                end for
          end for
     end if
end for
Set n = 0
for l = 0 to N_{\text{symb,UCI}}^{\text{PUCCH,}} - 1
     for k = 0 to N_{\text{UCI}}^{\text{symbol}} - 1
          for v = 0 to Q_m - 1
                g_n = \overline{g}_{l,k,v};
                n = n + 1;
          end for
     end for
end for
```

6.3.2 Uplink control information on PUSCH

6.3.2.1 UCI bit sequence generation

6.3.2.1.1 HARQ-ACK

If HARQ-ACK bits are transmitted on a PUSCH, the UCI bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ is determined as follows:

- If UCI is transmitted on PUSCH without UL-SCH and the UCI includes CSI part 1 without CSI part 2,
 - if there is no HARQ-ACK bit given by Clause 9.1 of [5, TS 38.213], set $a_0=0$, $a_1=0$, and A=2;

- if there is only one HARQ-ACK bit \tilde{o}_0^{ACK} given by Clause 9.1 of [5, TS 38.213], set $a_0 = \tilde{o}_0^{ACK}$, $a_1 = 0$, and A = 2;
- otherwise, set $a_i = \tilde{o}_i^{ACK}$ for $i = 0, 1, ..., O^{ACK} 1$ and $A = O^{ACK}$, where the HARQ-ACK bit sequence $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$ is given by Clause 9.1 of [5, TS 38.213].

6.3.2.1.2 CSI

The bitwidth for PMI of *codebookType=typeI-SinglePanel* and *codebookType=typeI-MultiPanel* is specified in Clause 6.3.1.1.2.

The bitwidth for RI/LI/CQI/CRI of *codebookType=typeI-SinglePanel* and *codebookType=typeI-MultiPanel* is specified in Clause 6.3.1.1.2.

The bitwidth for PMI of codebookType=typeII is provided in Tables 6.3.2.1.2-1, where the values of (N_1, N_2) , (O_1, O_2) , L, N_{PSK} , M_1 , M_2 , and $K^{(2)}$ are given by Clause 5.2.2.2.3 in [6, TS 38.214].

Table 6.3.2.1.2-1: PMI of codebookType= typell

	Information fields X_1 for wideband PMI						Information field	ds X_2 for wideba	and PMI or p	er subband
	$i_{1,1}$	$i_{1,2}$	$i_{1,3,1}$	$i_{1,4,1}$	$i_{1,3,2}$	$i_{1,4,2}$	$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$
Rank=1 SBAmp off	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1 - 1) \cdot \log_2 N_{\text{PSK}}$	N/A	N/A	N/A
Rank=2 SBAmp off	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1 - 1) \cdot \log_2 N_{PSK}$	$(M_2 - 1) \cdot \log_2 N_{PSK}$	N/A	N/A
Rank=1 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{aligned} & \min(M_{1}, K^{(2)}) \cdot \log_{2} N_{\text{PSK}} \\ & - \log_{2} N_{\text{PSK}} \\ & + 2 \cdot \left(M_{1} - \min(M_{1}, K^{(2)})\right) \end{aligned}$	N/A	$\min(M_1, K^{(2)}) - 1$	N/A
Rank=2 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{split} & \min \left(\! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! $	$\min(M_{2}, K^{(2)}) \cdot \log_{2} N_{PSK}$ $-\log_{2} N_{PSK}$ $+ 2 \cdot (M_{2} - \min(M_{2}, K^{(2)}))$	$\min(M_1,K^{(2)})-1$	$\min(M_2,K^{(2)})-1$

The bitwidth for PMI of codebookType = typeII-PortSelection is provided in Tables 6.3.2.1.2-2, where the values of P_{CSI-RS} , d, L, N_{PSK} , M_1 , M_2 , and $K^{(2)}$ are given by Clause 5.2.2.2.4 in [6, TS 38.214].

Table 6.3.2.1.2-2: PMI of codebookType= typell-PortSelection

	Information fields $X_1^{}$ for wideband PMI						ls X_2 for wideba	and PMI or p	er subband
	$i_{1,1}$	$i_{1,3,1}$	$i_{1,4,1}$	$i_{1,3,2}$	$i_{1,4,2}$	$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$
Rank=1	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1-1)\cdot \log_2 N_{PSK}$	N/A	N/A	N/A

SBAmp off									
Rank=2 SBAmp off	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1 - 1) \cdot \log_2 N_{PSK}$	$(M_2 - 1) \cdot \log_2 N_{PSK}$	N/A	N/A
Rank=1 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{split} & \min \left(\! M_1, K^{(2)} \right) \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left(\! M_1 - \min \left(\! M_1, K^{(2)} \right) \! \right) \end{split}$	N/A	$\min(M_1,K^{(2)})-1$	N/A
Rank=2 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{split} & \min \left(\! \boldsymbol{M}_1, \boldsymbol{K}^{(2)} \right) \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left(\boldsymbol{M}_1 - \min \left(\boldsymbol{M}_1, \boldsymbol{K}^{(2)} \right) \right) \end{split}$	$\min(M_{2}, K^{(2)}) \cdot \log_{2} N_{PSK}$ $- \log_{2} N_{PSK}$ $+ 2 \cdot (M_{2} - \min(M_{2}, K^{(2)}))$	$\min(M_1,K^{(2)})-1$	$\min(M_2, K^{(2)}) - 1$

For CSI on PUSCH, two UCI bit sequences are generated, $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ and $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$. The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-6, are mapped to the UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ starting with $a_0^{(1)}$. The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-7, are mapped to the UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ starting with $a_0^{(2)}$.

The mapping order of CSI fields of one report for CRI/RSRP or SSBRI/RSRP reporting is provided in Table 6.3.1.1.2-8. The procedure in clause 6.3.2 described for CSI part 1 is also applicable for one report for CRI/RSRP or SSBRI/RSRP reporting.

Table 6.3.2.1.2-3: Mapping order of CSI fields of one CSI report, CSI part 1

CSI report number	CSI fields
	CRI as in Tables 6.3.1.1.2-3/4/6, if reported
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5, if reported
001	Subband differential CQI for the first TB with increasing order of subband number as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n CSI part 1	Indicator of the number of non-zero wideband amplitude coefficients M_0 for layer 0 as in Table 6.3.1.1.2-5, if reported
	Indicator of the number of non-zero wideband amplitude coefficients M_1 for layer 1 as in Table 6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Clauses 5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and if reported
	or given CSI report <i>n</i> indicated by the higher layer parameter <i>csi-ReportingBand</i> are numbered
continuously	in the increasing order with the lowest subband of csi-ReportingBand as subband 0.

Table 6.3.2.1.2-4: Mapping order of CSI fields of one CSI report, CSI part 2 wideband

CSI report number	CSI fields
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n	PMI wideband information fields $X_{\rm 1}$, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-
CSI part 2 wideband	1/2, if reported
	PMI wideband information fields X_{2} , from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-
	1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if pmi-FormatIndicator= widebandPMI and if reported

Table 6.3.2.1.2-5: Mapping order of CSI fields of one CSI report, CSI part 2 subband

Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
PMI subband information fields X_{2} of all even subbands with increasing order of subband
number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported
Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
PMI subband information fields X_{2} of all odd subbands with increasing order of subband
number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

Table 6.3.2.1.2-6: Mapping order of CSI reports to UCI bit sequence $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$, with two-part CSI report(s)

UCI bit sequence	CSI report number						
$a_0^{(1)}$	CSI part 1 of CSI report #1 as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8						
$a_1^{(1)} \ a_2^{(1)}$	CSI part 1 of CSI report #2 as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8						
$a_3^{(1)}$ \vdots							
$a_{{}_{A^{(1)}-1}}^{(1)}$	CSI part 1 of CSI report #n as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8						

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-6 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

Table 6.3.2.1.2-7: Mapping order of CSI reports to UCI bit sequence $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$, with two-part CSI report(s)

UCI bit sequence	CSI report number							
	CSI report #1, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #1							
	CSI report #2, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #2							
$a_0^{(2)}$								
$a_{1}^{(2)} \ a_{2}^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #n							
$a_3^{(2)} \\ \vdots$	CSI report #1, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #1							
$a_{{}^{(2)}_{A^{(2)}-1}}^{(2)}$	CSI report #2, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #2							
	CSI report #n, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #n							

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-7 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

6.3.2.2 Code block segmentation and CRC attachment

Denote the bits of the payload by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, where A is the payload size. The procedure in 6.3.2.2.1 applies for $A \ge 12$ and the procedure in Clause 6.3.2.2.2 applies for $A \le 11$.

6.3.2.2.1 UCI encoded by Polar code

Code block segmentation and CRC attachment is performed according to Clause 6.3.1.2.1.

6.3.2.2.2 UCI encoded by channel coding of small block lengths

The procedure in Clause 6.3.1.2.2 applies.

6.3.2.3 Channel coding of UCI

6.3.2.3.1 UCI encoded by Polar code

Channel coding is performed according to Clause 6.3.1.3.1, except that the rate matching output sequence length E_r is given in Clause 6.3.2.4.1.

6.3.2.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits.

The information bits are encoded according to Clause 5.3.3.

After encoding the bits are denoted by $d_0, d_1, d_2, d_3, \dots, d_{N-1}$, where N is the number of coded bits.

6.3.2.4 Rate matching

6.3.2.4.1 UCI encoded by Polar code

6.3.2.4.1.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as Q'_{ACK} , is determined as follows:

$$Q_{\text{ACK}}' = \min \left\{ \begin{bmatrix} (O_{\text{ACK}} + L_{\text{ACK}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ C_{\text{UL}-\text{SCH}}^{-1} K_r \end{bmatrix}, \begin{bmatrix} \alpha \cdot \sum_{l=l_0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \end{bmatrix} \right\}$$

where

- $O_{
 m ACK}$ is the number of HARQ-ACK bits;
- if $O_{ACK} \ge 360$, $L_{ACK} = 11$; otherwise L_{ACK} is the number of CRC bits for HARQ-ACK determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$;
- $C_{\mathrm{UL-SCH}}$ is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block, K_r =0; otherwise, K_r is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{\rm sc}^{\rm UCI}\left(l\right) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}\left(l\right)$;
- α is configured by higher layer parameter *scaling*;
- l_0 is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission.

For HARQ-ACK transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as Q'_{ACK} , is determined as follows:

$$Q'_{\text{ACK}} = \min \left\{ \left\lceil \frac{\left(O_{\text{ACK}} + L_{\text{ACK}}\right) \cdot \beta_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_{m}} \right\rceil, \left\lceil \alpha \cdot \sum_{l=l_{0}}^{N_{\text{symball}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \right\rceil \right\}$$

where

- $O_{
 m ACK}$ is the number of HARQ-ACK bits;
- if $O_{ACK} \ge 360$, $L_{ACK} = 11$; otherwise L_{ACK} is the number of CRC bits for HARQ-ACK defined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$
- $M_{\rm sc}^{\rm PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$;
- l_0 is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission;
- R is the code rate of the PUSCH, determined according to Clause 6.1.4.1 of [6, TS38.214];
- Q_m is the modulation order of the PUSCH;
- α is configured by higher layer parameter *scaling*.

The input bit sequence to rate matching is d_{r0} , d_{r1} , d_{r2} , d_{r3} ,..., $d_{r(N_r-1)}$ where r is the code block number, and N_r is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting $I_{BIL} = 1$ and the rate matching output sequence length to $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$, where

- C_{UCI} is the number of code blocks for UCI determined according to Clause 5.2.1;
- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{ACK}} \cdot Q_m$.

The output bit sequence after rate matching is denoted as $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$ where E_r is the length of rate matching output sequence in code block number r.

6.3.2.4.1.2 CSI part 1

For CSI part 1 transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as $Q'_{\text{CSI-part1}}$, is determined as follows:

$$Q_{\text{CSI-1}}' = \min \left\{ \begin{bmatrix} \left(O_{\text{CSI-1}} + L_{\text{CSI-1}} \right) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ \sum_{r=0}^{C_{\text{UL--SCH}} - l} \boldsymbol{K}_{r} \end{bmatrix}, \begin{bmatrix} \boldsymbol{\alpha} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) \end{bmatrix} - Q_{\text{ACK}}' \right\}$$

where

- $O_{\text{CSI-1}}$ is the number of bits for CSI part 1;
- if $O_{\text{CSI-1}} \ge 360$, $L_{\text{CSI-1}} = 11$; otherwise $L_{\text{CSI-1}}$ is the number of CRC bits for CSI part 1 determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}}$;
- $C_{\rm UL-SCH}$ is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block, K_r =0; otherwise, K_r is the r-th code block size for UL-SCH of the PUSCH transmission:
- $M_{\rm sc}^{\rm PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- Q'_{ACK} is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and $Q'_{\text{ACK}} = \sum_{l=0}^{N_{\text{symball}}^{\text{PUSCH}}-1} \overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$ if the number of HARQ-ACK information bits is no more than 2 bits, where $\overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$ is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$, in the PUSCH transmission, defined in Clause 6.2.7;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$;
- α is configured by higher layer parameter *scaling*.

For CSI part 1 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as $Q'_{\text{CSI-part1}}$, is determined as follows:

if there is CSI part 2 to be transmitted on the PUSCH,

$$Q'_{\text{CSI-1}} = \min \left\{ \left[\frac{\left(O_{\text{CSI-1}} + L_{\text{CSI-1}} \right) \cdot \beta_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_m} \right], \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}} \right\}$$

else

$$Q'_{\text{CSI-1}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}}$$

end if

where

- $O_{\text{CSI-1}}$ is the number of bits for CSI part 1;
- if $O_{\text{CSI-1}} \ge 360$, $L_{\text{CSI-1}} = 11$; otherwise $L_{\text{CSI-1}}$ is the number of CRC bits for CSI part 1 determined according to Clause 6.3.1.2.1:
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}}$;
- $M_{
 m sc}^{
 m PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- Q'_{ACK} is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and $Q'_{ACK} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} \overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$ if the number of HARQ-ACK information bits is no more than 2 bits, where $\overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$ is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$, in the PUSCH transmission, defined in Clause 6.2.7;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$;
- R is the code rate of the PUSCH, determined according to Clause 6.1.4.1 of [6, TS38.214];
- Q_m is the modulation order of the PUSCH.

The input bit sequence to rate matching is d_{r0} , d_{r1} , d_{r2} , d_{r3} ,..., $d_{r(N_r-1)}$ where r is the code block number, and N_r is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting $I_{BIL} = 1$ and the rate matching output sequence length to $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$, where

- C_{UCI} is the number of code blocks for UCI determined according to Clause 5.2.1;
- N_L is the number of transmission layers of the PUSCH;

- Q_m is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSLI}} \cdot Q_m$.

The output bit sequence after rate matching is denoted as $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$ where E_r is the length of rate matching output sequence in code block number r.

6.3.2.4.1.3 CSI part 2

For CSI part 2 transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as $Q'_{\text{CSI-part2}}$, is determined as follows:

$$Q'_{\text{CSI-2}} = \min \left\{ \begin{bmatrix} (O_{\text{CSI-2}} + L_{\text{CSI-2}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} M_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ \sum_{r=0}^{C_{\text{UL}-\text{SCH}} - l} K_r \end{bmatrix}, \begin{bmatrix} \alpha \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} M_{\text{sc}}^{\text{UCI}}(l) \end{bmatrix} - Q'_{\text{ACK}} - Q'_{\text{CSI-1}} \right\}$$

where

- $O_{\mathrm{CSI-2}}$ is the number of bits for CSI part 2;
- if $O_{\text{CSI-2}} \ge 360$, $L_{\text{CSI-2}} = 11$; otherwise $L_{\text{CSI-2}}$ is the number of CRC bits for CSI part 2 determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part2}};$
- $C_{\rm UL-SCH}$ is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block, K_r =0; otherwise, K_r is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{
 m PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- Q'_{ACK} is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and $Q'_{ACK} = 0$ if the number of HARQ-ACK information bits is 1 or 2 bits;
- $Q'_{\text{CSI-1}}$ is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$.

- α is configured by higher layer parameter scaling.

For CSI part 2 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as $Q'_{\text{CSI-part2}}$, is determined as follows:

$$Q'_{\text{CSI-2}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}} - Q'_{\text{CSI-1}}$$

where

- $M_{\rm sc}^{\rm PUSCH}$ is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$ is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- Q'_{ACK} is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and $Q'_{ACK} = 0$ if the number of HARQ-ACK information bits is 1 or 2 bits;
- $Q'_{\mathrm{CSI-1}}$ is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{\rm sc}^{\rm UCI}(l)$ is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$, in the PUSCH transmission and $N_{\rm symb, all}^{\rm PUSCH}$ is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
 - for any OFDM symbol that carries DMRS of the PUSCH, $M_{sc}^{UCI}(l) = 0$;
 - for any OFDM symbol that does not carry DMRS of the PUSCH, $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$.

The input bit sequence to rate matching is d_{r0} , d_{r1} , d_{r2} , d_{r3} ,..., $d_{r(N_r-1)}$ where r is the code block number, and N_r is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting $I_{BIL} = 1$ and the rate matching output sequence length to $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$, where

- C_{UCI} is the number of code blocks for UCI determined according to Clause 5.2.1;
- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSI},2} \cdot Q_m$.

The output bit sequence after rate matching is denoted as $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$ where E_r is the length of rate matching output sequence in code block number r.

6.3.2.4.2 UCI encoded by channel coding of small block lengths

6.3.2.4.2.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as $Q'_{\rm ACK}$, is determined according to Clause 6.3.2.4.1.1, by setting the number of CRC bits L=0.

The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$.

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length $E = N_L \cdot Q'_{ACK} \cdot Q_m$, where

- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

6.3.2.4.2.2 CSI part 1

For CSI part 1 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as $Q'_{\text{CSI},1}$, is determined according to Clause 6.3.2.4.1.2, by setting the number of CRC bits L=0.

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length $E = N_L \cdot Q'_{CSL1} \cdot Q_m$, where

- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

6.3.2.4.2.3 CSI part 2

For CSI part 2 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as $Q'_{\text{CSI},2}$, is determined according to Clause 6.3.2.4.1.3, by setting the number of CRC bits L=0.

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length $E = N_L \cdot Q'_{CSI,2} \cdot Q_m$, where

- N_L is the number of transmission layers of the PUSCH;
- Q_m is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

6.3.2.5 Code block concatenation

Code block concatenation is performed according to Clause 6.3.1.5, except that the values of $E_{\rm UCI}$ and $C_{\rm UCI}$ given in Clause 6.3.2.4.1.

6.3.2.6 Multiplexing of coded UCI bits to PUSCH

The coded UCI bits are multiplexed onto PUSCH according to the procedures in Clause 6.2.7.

7 Downlink transport channels and control information

7.1 Broadcast channel

Data arrives to the coding unit in the form of a maximum of one transport block every 80ms. The following coding steps can be identified:

- Payload generation
- Scrambling
- Transport block CRC attachment
- Channel coding
- Rate matching

7.1.1 PBCH payload generation

Denote the bits in a transport block delivered to layer 1 by \overline{a}_0 , \overline{a}_1 , \overline{a}_2 , \overline{a}_3 ,..., $\overline{a}_{\overline{A}-1}$, where \overline{A} is the payload size generated by higher layers. The lowest order information bit \overline{a}_0 is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [8, TS 38.321].

Generate the following additional timing related PBCH payload bits $\overline{a}_{\overline{A}}, \overline{a}_{\overline{A}+1}, \overline{a}_{\overline{A}+2}, \overline{a}_{\overline{A}+3}, ..., \overline{a}_{\overline{A}+7}$, where:

- $\overline{a}_{\overline{A}}$, $\overline{a}_{\overline{A}+1}$, $\overline{a}_{\overline{A}+2}$, $\overline{a}_{\overline{A}+3}$ are the 4th, 3rd, 2nd, and 1st LSB of SFN, respectively;
- $\overline{a}_{\overline{A}+4}$ is the half frame bit $\overline{a}_{\mathrm{HRF}}$;
- if $L_{\text{max}} = 64$

$$\overline{a}_{\overline{A}+5}, \overline{a}_{\overline{A}+6}, \overline{a}_{\overline{A}+7}$$
 are the 6th, 5th, and 4th bits of SS/PBCH block index, respectively.

else

 $\overline{a}_{\overline{A}+5}$ is the MSB of $k_{\rm SSB}$ as defined in Clause 7.4.3.1 of [4, TS 38.211].

$$\overline{a}_{\overline{A}+6}, \overline{a}_{\overline{A}+7}$$
 are reserved.

end if

Let
$$A = \overline{A} + 8$$
; $j_{SFN} = 0$; $j_{HRF} = 10$; $j_{SSB} = 11$; $j_{other} = 14$;

for i = 0 to A - 1

if \overline{a}_i is an SFN bit

$$a_{G(i_{SEN})} = \overline{a}_i$$
;

$$j_{\text{SFN}} = j_{\text{SFN}} + 1;$$

elseif \overline{a}_i is the half radio frame bit

$$\begin{split} a_{G(j_{\text{HRF}})} &= \overline{a}_i \\ \text{elseif } \overline{A} + 5 \leq i \leq \overline{A} + 7 \\ a_{G(j_{\text{SSB}})} &= \overline{a}_i \, ; \\ j_{\text{SSB}} &= j_{\text{SSB}} + 1 \, ; \\ \text{else} \\ a_{G(j_{\text{Other}})} &= \overline{a}_i \, ; \\ j_{\text{Other}} &= j_{\text{Other}} + 1 \, ; \\ \text{end if} \end{split}$$

where L_{\max} is the number of candidate SS/PBCH blocks in a half frame according to Clause 4.1 of [5, TS38.213], and the value of G(j) is given by Table 7.1.1-1.

Table 7.1.1-1: Value of PBCH payload interleaver pattern G(j)

j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)
0	16	4	8	8	24	12	3	16	9	20	14	24	21	28	27
1	23	5	30	9	7	13	2	17	11	21	15	25	22	29	28
2	18	6	10	10	0	14	1	18	12	22	19	26	25	30	29
3	17	7	6	11	5	15	4	19	13	23	20	27	26	31	31

7.1.2 Scrambling

For PBCH transmission in a frame, the bit sequence $a_0, a_1, a_2, a_3, ..., a_{A-1}$ is scrambled into a bit sequence $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$, where $a'_i = (a_i + s_i) \mod 2$ for i = 0,1,...,A-1 and $s_0, s_1, s_2, s_3, ..., s_{A-1}$ is generated according to the following:

i = 0;

end for

j = 0;

while i < A

if a_i corresponds to any one of the bits belonging to the SS/PBCH block index, the half frame index, and 2^{nd} and 3^{rd} least significant bits of the system frame number

$$s_i = 0$$
.

else

$$s_i = c(j + vM)$$

$$j = j + 1$$
;

end if

i = i + 1;

end while

The scrambling sequence c(i) is given by Clause 5.2.1of [4, TS38.211] and initialized with $c_{\rm init} = N_{ID}^{cell}$ at the start of each SFN satisfying ${\rm mod}(SFN,8)=0$; M=A-3 for $L_{\rm max}=4$ or $L_{\rm max}=8$, and M=A-6 for $L_{\rm max}=64$, where $L_{\rm max}$ is the number of candidate SS/PBCH blocks in a half frame according to Clause 4.1 of [5, TS38.213]; and v is determined according to Table 7.1.2-1 using the $3^{\rm rd}$ and $2^{\rm nd}$ LSB of the SFN in which the PBCH is transmitted.

Table 7.1.2-1: Value of ν for PBCH scrambling

(3 rd LSB of SFN, 2 nd LSB of SFN)	Value of v
(0, 0)	0
(0, 1)	1
(1, 0)	2
(1, 1)	3

7.1.3 Transport block CRC attachment

Error detection is provided on BCH transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. The input bit sequence is denoted by $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$, and the parity bits by $p_0, p_1, p_2, p_3, ..., p_{L-1}$, where A is the payload size and L is the number of parity bits.

The parity bits are computed and attached to the BCH transport block according to Clause 5.1 by setting L to 24 bits and using the generator polynomial $g_{\text{CRC24C}}(D)$, resulting in the sequence $b_0, b_1, b_2, b_3, ..., b_{B-1}$, where B = A + L.

The bit sequence $b_0, b_1, b_2, b_3, ..., b_{B-1}$ is the input bit sequence $c_0, c_1, c_2, c_3, ..., c_{K-1}$ to the channel encoder, where $c_i = b_i$ for i = 0, 1, ..., B-1 and K = B.

7.1.4 Channel coding

Information bits are delivered to the channel coding block. They are denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits, and they are encoded via Polar coding according to Clause 5.3.1, by setting $n_{\max} = 9$, $I_{IL} = 1$, $n_{PC} = 0$, and $n_{PC}^{wm} = 0$.

After encoding the bits are denoted by $d_0, d_1, d_2, d_3, \dots, d_{N-1}$, where N is the number of coded bits.

7.1.5 Rate matching

The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$.

The rate matching output sequence length E = 864.

Rate matching is performed according to Clause 5.4.1 by setting $I_{BII} = 0$.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

7.2 Downlink shared channel and paging channel

7.2.1 Transport block CRC attachment

Error detection is provided on each transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, and the parity bits by $p_0, p_1, p_2, p_3, ..., p_{L-1}$, where A is the payload size and L is the

number of parity bits. The lowest order information bit a_0 is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the DL-SCH transport block according to Clause 5.1, by setting L to 24 bits and using the generator polynomial $g_{\text{CRC24A}}(D)$ if A > 3824; and by setting L to 16 bits and using the generator polynomial $g_{\text{CRC16}}(D)$ otherwise.

The bits after CRC attachment are denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$, where B = A + L.

7.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate *R* indicated by the MCS index according to Clause 5.1.3.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if $A \le 292$, or if $A \le 3824$ and $R \le 0.67$, or if $R \le 0.25$, LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size in Clause 7.2.1.

7.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by $b_0, b_1, b_2, b_3, ..., b_{B-1}$ where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Clause 5.2.2.

The bits after code block segmentation are denoted by c_{r0} , c_{r1} , c_{r2} , c_{r3} ,..., $c_{r(K_r-1)}$, where r is the code block number and K_r is the number of bits for code block number r according to Clause 5.2.2.

7.2.4 Channel coding

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$, where r is the code block number, and K_r is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Clause 5.3.2.

After encoding the bits are denoted by $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N-1)}$, where the values of N_r is given in Clause 5.3.2.

7.2.5 Rate matching

Coded bits for each code block, denoted as $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$, are delivered to the rate match block, where r is the code block number, and N_r is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Clause 5.4.2 by setting $I_{LBRM} = 1$

After rate matching, the bits are denoted by f_{r0} , f_{r1} , f_{r2} , f_{r3} ,..., $f_{r(E_r-1)}$, where E_r is the number of rate matched bits for code block number r.

7.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences $f_{r0}, f_{r1}, f_{r2}, f_{r3}, ..., f_{r(E_r-1)}$, for r = 0, ..., C-1 and where E_r is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by $g_0, g_1, g_2, g_3, ..., g_{G-1}$, where G is the total number of coded bits for transmission.

7.3 Downlink control information

A DCI transports downlink control information for one or more cells with one RNTI.

The following coding steps can be identified:

- Information element multiplexing
- CRC attachment
- Channel coding
- Rate matching

7.3.1 DCI formats

The DCI formats defined in table 7.3.1-1 are supported.

2_3

DCI format **Usage** 0 0 Scheduling of PUSCH in one cell Scheduling of PUSCH in one cell 0 1 Scheduling of PDSCH in one cell 1 0 1_1 Scheduling of PDSCH in one cell 2_0 Notifying a group of UEs of the slot format Notifying a group of UEs of the PRB(s) and OFDM symbol(s) where UE may assume no transmission is 2_1 intended for the UE 2 2 Transmission of TPC commands for PUCCH and PUSCH Transmission of a group of TPC commands for SRS

transmissions by one or more UEs

Table 7.3.1-1: DCI formats

The fields defined in the DCI formats below are mapped to the information bits a_0 to a_{A-1} as follows.

Each field is mapped in the order in which it appears in the description, including the zero-padding bit(s), if any, with the first field mapped to the lowest order information bit a_0 and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to a_0 .

If the number of information bits in a DCI format is less than 12 bits, zeros shall be appended to the DCI format until the payload size equals 12.

The size of each DCI format is determined by the configuration of the corresponding active bandwidth part of the scheduled cell and shall be adjusted as described in clause 7.3.1.0 if necessary.

7.3.1.0 DCI size alignment

If necessary, padding or truncation shall be applied to the DCI formats according to the following steps executed in the order below:

Step 0:

- Determine DCI format 0_0 monitored in a common search space according to clause 7.3.1.1.1 where $N_{RB}^{UL,BWP}$ is the size of the initial UL bandwidth part.
- Determine DCI format 1_0 monitored in a common search space according to clause 7.3.1.2.1 where $N_{\rm RB}^{\rm DLBWP}$ is given by
 - the size of CORESET 0 if CORESET 0 is configured for the cell; and
 - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
- If DCI format 0_0 is monitored in common search space and if the number of information bits in the DCI format 0_0 prior to padding is less than the payload size of the DCI format 1_0 monitored in common search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0_0 until the payload size equals that of the DCI format 1_0.
- If DCI format 0_0 is monitored in common search space and if the number of information bits in the DCI format 0_0 prior to truncation is larger than the payload size of the DCI format 1_0 monitored in common search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0_0 equals the size of the DCI format 1_0.

Step 1:

- Determine DCI format 0_0 monitored in a UE-specific search space according to clause 7.3.1.1.1 where $N_{RB}^{UL,BWP}$ is the size of the active UL bandwidth part.
- Determine DCI format 1_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where $N_{\rm RB}^{\rm DLBWP}$ is the size of the active DL bandwidth part.
- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in DCI format 0_0 in UE-specific search space for the SUL is not equal to the number of information bits in DCI format 0_0 in UE-specific search space for the non-SUL, a number of zero padding bits are generated for the smaller DCI format 0_0 until the payload size equals that of the larger DCI format 0_0.
- If DCI format 0_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 0_0 prior to padding is less than the payload size of the DCI format 1_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0_0 until the payload size equals that of the DCI format 1_0.
- If DCI format 1_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 1_0 prior to padding is less than the payload size of the DCI format 0_0 monitored in UE-specific search space for scheduling the same serving cell, zeros shall be appended to the DCI format 1_0 until the payload size equals that of the DCI format 0_0

Step 2:

- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in format 0_1 for the SUL is not equal to the number of information bits in format 0_1 for the non-SUL, zeros shall be appended to smaller format 0_1 until the payload size equals that of the larger format 0_1.
- If the size of DCI format 0_1 monitored in a UE-specific search space equals that of a DCI format 0_0/1_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 0_1.
- If the size of DCI format 1_1 monitored in a UE-specific search space equals that of a DCI format 0_0/1_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 1_1.

Step 3:

- If both of the following conditions are fulfilled the size alignment procedure is complete
 - the total number of different DCI sizes configured to monitor is no more than 4 for the cell

- the total number of different DCI sizes with C-RNTI configured to monitor is no more than 3 for the cell

Step 4:

- Otherwise
 - Remove the padding bit (if any) introduced in step 2 above.
 - Determine DCI format 1_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where $N_{\rm RR}^{\rm DLBWP}$ is given by
 - the size of CORESET 0 if CORESET 0 is configured for the cell; and
 - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
 - Determine DCI format 0_0 monitored in a UE-specific search space according to clause 7.3.1.1.1 where $N_{RB}^{UL,BWP}$ is the size of the initial UL bandwidth part.
 - If the number of information bits in the DCI format 0_0 monitored in a UE-specific search space prior to padding is less than the payload size of the DCI format 1_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0_0 monitored in a UE-specific search space until the payload size equals that of the DCI format 1_0 monitored in a UE-specific search space.
 - If the number of information bits in the DCI format 0_0 monitored in a UE-specific search space prior to truncation is larger than the payload size of the DCI format 1_0 monitored in UE-specific search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0_0 monitored in a UE-specific search space equals the size of the DCI format 1_0 monitored in a UE-specific search space.

The UE is not expected to handle a configuration that, after applying the above steps, results in

- the total number of different DCI sizes configured to monitor is more than 4 for the cell; or
- the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell; or
- the size of DCI format 0_0 in a UE-specific search space is equal to DCI format 0_1 in another UE-specific search space; or
- the size of DCI format 1_0 in a UE-specific search space is equal to DCI format 1_1 in another UE-specific search space

7.3.1.1 DCI formats for scheduling of PUSCH

7.3.1.1.1 Format 0 0

DCI format 0_0 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0_0 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
 - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment $\left[\log_2(N_{RB}^{UL,BWP}(N_{RB}^{UL,BWP}+1)/2)\right]$ bits where $N_{RB}^{UL,BWP}$ is defined in clause 7.3.1.0
 - For PUSCH hopping with resource allocation type 1:

- $N_{\rm UL_hop}$ MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where $N_{\rm UL_hop} = 1$ if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and $N_{\rm UL_hop} = 2$ if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
- $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL_hop}}$ bits provides the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
- For non-PUSCH hopping with resource allocation type 1:
 - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$ bits provides the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
- Time domain resource assignment 4 bits as defined in Clause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Clause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS 38.213]
- Padding bits, if required.
- UL/SUL indicator 1 bit for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell as defined in Table 7.3.1.1.1-1 and the number of bits for DCI format 1_0 before padding is larger than the number of bits for DCI format 0_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0_0, after the padding bit(s).
 - If the UL/SUL indicator is present in DCI format 0_0 and the higher layer parameter *pusch-Config* is not configured on both UL and SUL the UE ignores the UL/SUL indicator field in DCI format 0_0, and the corresponding PUSCH scheduled by the DCI format 0_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured;
 - If the UL/SUL indicator is not present in DCI format 0_0 and *pucch-Config* is configured, the corresponding PUSCH scheduled by the DCI format 0_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured.
 - If the UL/SUL indicator is not present in DCI format 0_0 and *pucch-Config* is not configured, the corresponding PUSCH scheduled by the DCI format 0_0 is for the uplink on which the latest PRACH is transmitted.

The following information is transmitted by means of the DCI format 0_0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
 - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment $-\lceil \log_2(N_{RR}^{UL,BWP}(N_{RR}^{UL,BWP}+1)/2) \rceil$ bits where
 - $N_{\text{pR}}^{\text{UL,BWP}}$ is the size of the initial UL bandwidth part.
 - For PUSCH hopping with resource allocation type 1:
 - $N_{\rm UL_hop}$ MSB bits are used to indicate the frequency offset according to Table 8.3-1 in Clause 8.3 of [5, TS 38.213], where $N_{\rm UL_hop} = 1$ if $N_{\rm RB}^{\rm UL,BWP} < 50$ and $N_{\rm UL_hop} = 2$ otherwise

- $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL_hop}}$ bits provides the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
- For non-PUSCH hopping with resource allocation type 1:
- Time domain resource assignment 4 bits as defined in Clause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Clause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit, reserved
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits, reserved
- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS 38.213]
- Padding bits, if required.
- UL/SUL indicator 1 bit if the cell has two ULs and the number of bits for DCI format 1_0 before padding is larger than the number of bits for DCI format 0_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0_0, after the padding bit(s).
 - If 1 bit, reserved, and the corresponding PUSCH is always on the same UL carrier as the previous transmission of the same TB

Table 7.3.1.1.1-1: UL/SUL indicator

Value of UL/SUL indicator	Uplink	
0	The non-supplementary uplink	
1	The supplementary uplink	

Table 7.3.1.1.1-2: Redundancy version

Value of the Redundancy version field	Value of rv_{id} to be applied
00	0
01	1
10	2
11	3

Table 7.3.1.1.1-3: Frequency hopping indication

Bit field mapped to index	PUSCH frequency hopping
0	Disabled
1	Enabled

7.3.1.1.2 Format 0 1

DCI format 0 1 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0_1 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
 - The value of this bit field is always set to 0, indicating an UL DCI format
- Carrier indicator 0 or 3 bits, as defined in Clause 10.1 of [5, TS38.213].
- UL/SUL indicator 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of UL BWPs $n_{\text{BWP,RRC}}$ configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as $\lceil \log_2(n_{\text{BWP}}) \rceil$ bits, where
 - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$ if $n_{\text{BWP,RRC}} \le 3$, in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
 - otherwise $n_{\text{BWP}} = n_{\text{BWP,RRC}}$, in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where $N_{RB}^{UL,BWP}$ is the size of the active UL bandwidth part:
 - N_{RBG} bits if only resource allocation type 0 is configured, where N_{RBG} is defined in Clause 6.1.2.2.1 of [6, TS 38.214],
 - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$ bits if only resource allocation type 1 is configured, or $\max\left(\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right], N_{\text{RBG}}\right)+1$ bits if both resource allocation type 0 and 1 are configured.
 - If both resource allocation type 0 and 1 are configured, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
 - For resource allocation type 0, the N_{RBG} LSBs provide the resource allocation as defined in Clause 6.1.2.2.1 of [6, TS 38.214].
 - For resource allocation type 1, the $\left\lceil \log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2) \right\rceil$ LSBs provide the resource allocation as follows:
 - For PUSCH hopping with resource allocation type 1:
 - $N_{\rm UL_hop}$ MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where $N_{\rm UL_hop} = 1$ if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and $N_{\rm UL_hop} = 2$ if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
 - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL_hop}}$ bits provides the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
 - For non-PUSCH hopping with resource allocation type 1:
 - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$ bits provides the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if both resource allocation type 0 and 1 are configured for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment 0, 1, 2, 3, or 4 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as $\lceil \log_2(I) \rceil$ bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationList* if the higher layer parameter is configured; otherwise *I* is the number of entries in the default table.
- Frequency hopping flag 0 or 1 bit:
 - 0 bit if only resource allocation type 0 is configured or if the higher layer parameter *frequencyHopping* is not configured;
 - 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Clause 6.3 of [6, TS 38.214].
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- 1st downlink assignment index 1 or 2 bits:
 - 1 bit for semi-static HARQ-ACK codebook;
 - 2 bits for dynamic HARQ-ACK codebook.
- 2^{nd} downlink assignment index 0 or 2 bits:
 - 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks;
 - 0 bit otherwise.
- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS38.213]
- SRS resource indicator $-\left[\log_2\left(\sum_{k=1}^{\min\{L_{\max},N_{\text{SRS}}\}}\binom{N_{\text{SRS}}}{k}\right)\right]$ or $\left[\log_2(N_{\text{SRS}})\right]$ bits, where N_{SRS} is the number of

configured SRS resources in the SRS resource set associated with the higher layer parameter *usage* of value 'codeBook' or 'nonCodeBook',

$$- \left\lceil \log_2 \left(\sum_{k=1}^{\min\{L_{\max}, N_{\text{SRS}}\}} \binom{N_{\text{SRS}}}{k} \right) \right\rceil \text{ bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter}$$

txConfig = nonCodebook, where N_{SRS} is the number of configured SRS resources in the SRS resource set associated with the higher layer parameter usage of value 'nonCodeBook' and

- if UE supports operation with maxMIMO-Layers and the higher layer parameter maxMIMO-Layers of PUSCH-Serving CellConfig of the serving cell is configured, L_{max} is given by that parameter
- otherwise, L_{max} is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.
- $\lceil \log_2(N_{SRS}) \rceil$ bits according to Tables 7.3.1.1.2-32 if the higher layer parameter txConfig = codebook, where N_{SRS} is the number of configured SRS resources in the SRS resource set associated with the higher layer parameter usage of value 'codeBook'.
- Precoding information and number of layers number of bits determined by the following:

- 0 bits if the higher layer parameter txConfig = nonCodeBook;
- 0 bits for 1 antenna port and if the higher layer parameter txConfig = codebook;
- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;
- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;
- 2 or 4 bits according to Table 7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*:
- 1 or 3 bits according to Table 7.3.1.1.2-5 for 2 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*.
- Antenna ports number of bits determined by the following
 - 2 bits as defined by Tables 7.3.1.1.2-6, if transform precoder is enabled, dmrs-Type=1, and maxLength=1;
 - 4 bits as defined by Tables 7.3.1.1.2-7, if transform precoder is enabled, dmrs-Type=1, and maxLength=2;
 - 3 bits as defined by Tables 7.3.1.1.2-8/9/10/11, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
 - 4 bits as defined by Tables 7.3.1.1.2-12/13/14/15, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
 - 4 bits as defined by Tables 7.3.1.1.2-16/17/18/19, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
 - 5 bits as defined by Tables 7.3.1.1.2-20/21/22/23, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*.

where the number of CDM groups without data of values 1, 2, and 3 in Tables 7.3.1.1.2-6 to 7.3.1.1.2-23 refers to CDM groups $\{0\}$, $\{0,1\}$, and $\{0,1,2\}$ respectively.

If a UE is configured with both dmrs-UplinkForPUSCH-MappingTypeA and dmrs-UplinkForPUSCH-MappingTypeB, the bitwidth of this field equals $\max\left\{x_A, x_B\right\}$, where x_A is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeA and x_B is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeB. A number of $\left|x_A - x_B\right|$ zeros are padded in the MSB of this field, if the mapping type of the PUSCH corresponds to the smaller value of x_A and x_B .

- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Clause 6.1.1.2 of [6, TS 38.214].
- CSI request 0, 1, 2, 3, 4, 5, or 6 bits determined by higher layer parameter reportTriggerSize.

- CBG transmission information (CBGTI) 0 bit if higher layer parameter *codeBlockGroupTransmission* for PUSCH is not configured, otherwise, 2, 4, 6, or 8 bits determined by higher layer parameter *maxCodeBlockGroupsPerTransportBlock* for PUSCH.
- PTRS-DMRS association number of bits determined as follows
 - 0 bit if *PTRS-UplinkConfig* is not configured and transform precoder is disabled, or if transform precoder is enabled, or if *maxRank=1*;
 - 2 bits otherwise, where Table 7.3.1.1.2-25 and 7.3.1.1.2-26 are used to indicate the association between PTRS port(s) and DMRS port(s) for transmission of one PT-RS port and two PT-RS ports respectively, and the DMRS ports are indicated by the Antenna ports field.

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the "PTRS-DMRS association" field is present for the indicated bandwidth part but not present for the active bandwidth part, the UE assumes the "PTRS-DMRS association" field is not present for the indicated bandwidth part.

- beta_offset indicator -0 if the higher layer parameter betaOffsets = semiStatic; otherwise 2 bits as defined by Table 9.3-3 in [5, TS 38.213].
- DMRS sequence initialization 0 bit if transform precoder is enabled; 1 bit if transform precoder is disabled.
- UL-SCH indicator 1 bit. A value of "1" indicates UL-SCH shall be transmitted on the PUSCH and a value of "0" indicates UL-SCH shall not be transmitted on the PUSCH. Except for DCI format 0_1 with CRC scrambled by SP-CSI-RNTI, a UE is not expected to receive a DCI format 0_1 with UL-SCH indicator of "0" and CSI request of all zero(s).

A UE does not expect that the bit width of a field in DCI format 0_1 with CRC scrambled by CS-RNTI is larger than corresponding bit width of same field in DCI format 0_1 with CRC scrambled by C-RNTI for the same serving cell. If the bit width of a field in the DCI format 0_1 with CRC scrambled by CS-RNTI is not equal to that of the corresponding field in the DCI format 0_1 with CRC scrambled by C-RNTI for the same serving cell, a number of most significant bits with value set to '0' are inserted to the field in DCI format 0_1 with CRC scrambled by CS-RNTI until the bit width equals that of the corresponding field in the DCI format 0_1 with CRC scrambled by C-RNTI for the same serving cell.

Table 7.3.1.1.2-1: Bandwidth part indicator

Value of BWP indicator field 2 bits	Bandwidth part
00	Configured BWP with BWP-Id = 1
01	Configured BWP with BWP-Id = 2
10	Configured BWP with BWP-Id = 3
11	Configured BWP with BWP-Id = 4

Table 7.3.1.1.2-2: Precoding information and number of layers, for 4 antenna ports, if transform precoder is disabled and *maxRank* = 2 or 3 or 4

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	2 layers: TPMI=0	4	2 layers: TPMI=0	4	2 layers: TPMI=0
			•••		
9	2 layers: TPMI=5	9	2 layers: TPMI=5	9	2 layers: TPMI=5
10	3 layers: TPMI=0	10	3 layers: TPMI=0	10	3 layers: TPMI=0
11	4 layers: TPMI=0	11	4 layers: TPMI=0	11	4 layers: TPMI=0
12	1 layer: TPMI=4	12	1 layer: TPMI=4	12-15	reserved
19	1 layer: TPMI=11	19	1 layer: TPMI=11		
20	2 layers: TPMI=6	20	2 layers: TPMI=6		
27	2 layers: TPMI=13	27	2 layers: TPMI=13		
28	3 layers: TPMI=1	28	3 layers: TPMI=1		
29	3 layers: TPMI=2	29	3 layers: TPMI=2		
30	4 layers: TPMI=1	30	4 layers: TPMI=1		
31	4 layers: TPMI=2	31	4 layers: TPMI=2		
32	1 layers: TPMI=12				
47	1 layers: TPMI=27				
48	2 layers: TPMI=14				
55	2 layers: TPMI=21				
56	3 layers: TPMI=3				
59	3 layers: TPMI=6				
60	4 layers: TPMI=3				
61	4 layers: TPMI=4				
62-63	reserved				

Table 7.3.1.1.2-3: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled, or if transform precoder is disabled and *maxRank* = 1

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset= partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	1 layer: TPMI=4	4	1 layer: TPMI=4		
11	1 layer: TPMI=11	11	1 layer: TPMI=11		
12	1 layers: TPMI=12	12-15	reserved		
27	1 layers: TPMI=27				
28-31	reserved				

Table 7.3.1.1.2-4: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled and *maxRank* = 2

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	2 layers: TPMI=0	2	2 layers: TPMI=0
3	1 layer: TPMI=2	3	reserved
4	1 layer: TPMI=3		
5	1 layer: TPMI=4		
6	1 layer: TPMI=5		
7	2 layers: TPMI=1		
8	2 layers: TPMI=2		
9-15	reserved		

Table 7.3.1.1.2-5: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled, or if transform precoder is disabled and *maxRank* = 1

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	1 layer: TPMI=2		
3	1 layer: TPMI=3		
4	1 layer: TPMI=4		
5	1 layer: TPMI=5		
6-7	reserved		

Table 7.3.1.1.2-6: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0
1	2	1
2	2	2
3	2	3

Table 7.3.1.1.2-7: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0	1
1	2	1	1
2	2	2	1
3	2	3	1
4	2	0	2
5	2	1	2
6	2	2	2
7	2	3	2
8	2	4	2
9	2	5	2
10	2	6	2
11	2	7	2
12-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-8: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6-7	Reserved	Reserved

Table 7.3.1.1.2-9: Antenna port(s), transform precoder is disabled, dmrs-Type=1, maxLength=1, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	2	0,2
4-7	Reserved	Reserved

Table 7.3.1.1.2-10: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
2-7	Reserved	Reserved

Table 7.3.1.1.2-11: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
2-7	Reserved	Reserved

Table 7.3.1.1.2-12: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	2	0	2
7	2	1	2
8	2	2	2
9	2	3	2
10	2	4	2
11	2	5	2
12	2	6	2
13	2	7	2
14-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-13: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	2	0,2	1
4	2	0,1	2
5	2	2,3	2
6	2	4,5	2
7	2	6,7	2
8	2	0,4	2
9	2	2,6	2
10-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-14: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	2	0,1,4	2
2	2	2,3,6	2
3-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-15: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	2	0,1,4,5	2
2	2	2,3,6,7	2
3	2	0,2,4,6	2
4-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-16: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6	3	0
7	3	1
8	3	2
9	3	3
10	3	4
11	3	5
12-15	Reserved	Reserved

Table 7.3.1.1.2-17: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=1, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	3	0,1
4	3	2,3
5	3	4,5
6	2	0,2
7-15	Reserved	Reserved

Table 7.3.1.1.2-18: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank =3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
1	3	0-2
2	3	3-5
3-15	Reserved	Reserved

Table 7.3.1.1.2-19: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank =4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
1	3	0-3
2-15	Reserved	Reserved

Table 7.3.1.1.2-20: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	3	0	1
7	3	1	1
8	3	2	1
9	3	3	1
10	3	4	1
11	3	5	1
12	3	0	2
13	3	1	2
14	3	2	2
15	3	3	2
16	3	4	2
17	3	5	2
18	3	6	2
19	3	7	2
20	3	8	2
21	3	9	2
22	3	10	2
23	3	11	2
24	1	0	2
25	1	1	2
26	1	6	2
27	1	7	2
28-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-21: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=2, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	3	0,1	1
4	3	2,3	1
5	3	4,5	1
6	2	0,2	1
7	3	0,1	2
8	3	2,3	2
9	3	4,5	2
10	3	6,7	2
11	3	8,9	2
12	3	10,11	2
13	1	0,1	2
14	1	6,7	2
15	2	0,1	2
16	2	2,3	2
17	2	6,7	2
18	2	8,9	2
19-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-22: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	3	0-2	1
2	3	3-5	1
3	3	0,1,6	2
4	3	2,3,8	2
5	3	4,5,10	2
6-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-23: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	3	0-3	1
2	3	0,1,6,7	2
3	3	2,3,8,9	2
4	3	4,5,10,11	2
5-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-24: SRS request

Value of SRS request field	Triggered aperiodic SRS resource set(s) for DCI format 0_1, 1_1, and 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeB'	Triggered aperiodic SRS resource set(s) for DCI format 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeA'
00	No aperiodic SRS resource set triggered	No aperiodic SRS resource set triggered
01	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 1 or an entry in aperiodicSRS-ResourceTriggerList set to 1	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 1st set of serving cells configured by higher layers
10	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 2 or an entry in aperiodicSRS-ResourceTriggerList set to 2	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 2 nd set of serving cells configured by higher layers
11	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 3 or an entry in aperiodicSRS-ResourceTriggerList set to 3	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 3 rd set of serving cells configured by higher layers

Table 7.3.1.1.2-25: PTRS-DMRS association for UL PTRS port 0

Value	DMRS port
0	1st scheduled DMRS port
1	2 nd scheduled DMRS port
2	3 rd scheduled DMRS port
3	4 th scheduled DMRS port

Table 7.3.1.1.2-26: PTRS-DMRS association for UL PTRS ports 0 and 1

Value of MSB	DMRS port	Value of LSB	DMRS port
0	1 st DMRS port which shares PTRS port 0	0	1 st DMRS port which shares PTRS port 1
1	2 nd DMRS port which shares PTRS port 0	1	2 nd DMRS port which shares PTRS port 1

Table 7.3.1.1.2-27: void

Table 7.3.1.1.2-28: SRI indication for non-codebook based PUSCH transmission, $L_{\rm max}=1$

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{SRS} = 3$	Bit field mapped to index	SRI(s), $N_{SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
		2	2	2	2
		3	reserved	3	3

Table 7.3.1.1.2-29: SRI indication for non-codebook based PUSCH transmission, $L_{\rm max}=2$

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{SRS} = 3$	Bit field mapped to index	SRI(s), $N_{SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6-7	reserved	6	0,3
				7	1,2
				8	1,3
				9	2,3
				10-15	reserved

Table 7.3.1.1.2-30: SRI indication for non-codebook based PUSCH transmission, $L_{\rm max}=3$

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
				14-15	reserved

Table 7.3.1.1.2-31: SRI indication for non-codebook based PUSCH transmission, $L_{\rm max} = 4$

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
				14	0,1,2,3
				15	reserved

Table 7.3.1.1.2-32: SRI indication for codebook based PUSCH transmission

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$
0	0
1	1

Table 7.3.1.1.2-33: Void

7.3.1.2 DCI formats for scheduling of PDSCH

7.3.1.2.1 Format 1_0

DCI format 1_0 is used for the scheduling of PDSCH in one DL cell.

The following information is transmitted by means of the DCI format 1_0 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
 - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment $\left[\log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2)\right]$ bits where $N_{\rm RB}^{\rm DL,BWP}$ is given by clause 7.3.1.0

If the CRC of the DCI format 1_0 is scrambled by C-RNTI and the "Frequency domain resource assignment" field are of all ones, the DCI format 1_0 is for random access procedure initiated by a PDCCH order, with all remaining fields set as follows:

- Random Access Preamble index 6 bits according to *ra-PreambleIndex* in Clause 5.1.2 of [8, TS38.321]
- UL/SUL indicator 1 bit. If the value of the "Random Access Preamble index" is not all zeros and if the UE is configured with *supplementaryUplink* in *ServingCellConfig* in the cell, this field indicates which UL carrier in the cell to transmit the PRACH according to Table 7.3.1.1.1-1; otherwise, this field is reserved

- SS/PBCH index 6 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the SS/PBCH that shall be used to determine the RACH occasion for the PRACH transmission; otherwise, this field is reserved.
- PRACH Mask index 4 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the RACH occasion associated with the SS/PBCH indicated by "SS/PBCH index" for the PRACH transmission, according to Clause 5.1.1 of [8, TS38.321]; otherwise, this field is reserved
- Reserved bits 10 bits

Otherwise, all remaining fields are set as follows:

- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS 38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits as defined in Clause 9.1.3 of [5, TS 38.213], as counter DAI
- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ_feedback timing indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]

The following information is transmitted by means of the DCI format 1_0 with CRC scrambled by P-RNTI:

- Short Messages Indicator 2 bits according to Table 7.3.1.2.1-1.
- Short Messages 8 bits, according to Clause 6.5 of [9, TS38.331]. If only the scheduling information for Paging is carried, this bit field is reserved.
- Frequency domain resource assignment $-\lceil \log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2) \rceil$ bits. If only the short message is carried, this bit field is reserved.
 - $N_{RR}^{DL,BWP}$ is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5. If only the short message is carried, this bit field is reserved.
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1. If only the short message is carried, this bit field is reserved.
- TB scaling 2 bits as defined in Clause 5.1.3.2 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- Reserved bits 6 bits

The following information is transmitted by means of the DCI format 1_0 with CRC scrambled by SI-RNTI:

- Frequency domain resource assignment $-\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$ bits

- $N_{\rm RB}^{\rm DL,BWP}$ is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- System information indicator 1 bit as defined in Table 7.3.1.2.1-2
- Reserved bits 15 bits

The following information is transmitted by means of the DCI format 1_0 with CRC scrambled by RA-RNTI:

- Frequency domain resource assignment $-\left[\log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2)\right]$ bits
 - $N_{RB}^{DL,BWP}$ is the size of CORESET 0 if CORESET 0 is configured for the cell and $N_{RB}^{DL,BWP}$ is the size of initial DL bandwidth part if CORESET 0 is not configured for the cell
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- TB scaling 2 bits as defined in Clause 5.1.3.2 of [6, TS38.214]
- Reserved bits 16 bits

The following information is transmitted by means of the DCI format 1_0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
 - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment $-\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$ bits
 - $N_{\text{pg}}^{\text{DL,BWP}}$ is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits, reserved
- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]
- PDSCH-to-HARQ_feedback timing indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]

Table 7.3.1.2.1-1: Short Message indicator

Bit field	Short Message indicator
00	Reserved
01	Only scheduling information for Paging is present in the DCI
10	Only short message is present in the DCI
11	Both scheduling information for Paging and short message are present in the DCI

Table 7.3.1.2.1-2: System information indicator

Bit field	System information indicator
0	SIB1 [9, TS38.331, Clause 5.2.1]
1	SI message [9, TS38.331, Clause 5.2.1]

7.3.1.2.2 Format 1 1

DCI format 1_1 is used for the scheduling of PDSCH in one cell.

The following information is transmitted by means of the DCI format 1_1 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
 - The value of this bit field is always set to 1, indicating a DL DCI format
- Carrier indicator 0 or 3 bits as defined in Clause 10.1 of [5, TS 38.213].
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of DL BWPs $n_{\text{BWP,RRC}}$ configured by higher layers, excluding the initial DL bandwidth part. The bitwidth for this field is determined as $\lceil \log_2(n_{\text{BWP}}) \rceil$ bits, where
 - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$ if $n_{\text{BWP,RRC}} \le 3$, in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
 - otherwise $n_{\text{BWP}} = n_{\text{BWP,RRC}}$, in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where $N_{RB}^{DL,BWP}$ is the size of the active DL bandwidth part:
 - N_{RBG} bits if only resource allocation type 0 is configured, where N_{RBG} is defined in Clause 5.1.2.2.1 of [6, TS38.214].
 - $\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP} + 1)/2) \right]$ bits if only resource allocation type 1 is configured, or
 - $\max\left(\left\lceil\log_{2}(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right\rceil,N_{RBG}\right)+1$ bits if both resource allocation type 0 and 1 are configured.
 - If both resource allocation type 0 and 1 are configured, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
 - For resource allocation type 0, the $N_{\rm RBG}$ LSBs provide the resource allocation as defined in Clause 5.1.2.2.1 of [6, TS 38.214].

- For resource allocation type 1, the $\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$ LSBs provide the resource allocation as defined in Clause 5.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if both resource allocation type 0 and 1 are configured for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment 0, 1, 2, 3, or 4 bits as defined in Clause 5.1.2.1 of [6, TS 38.214]. The bitwidth for this field is determined as $\lceil \log_2(I) \rceil$ bits, where *I* is the number of entries in the higher layer parameter *pdsch-TimeDomainAllocationList* if the higher layer parameter is configured; otherwise *I* is the number of entries in the default table.
- VRB-to-PRB mapping 0 or 1 bit:
 - 0 bit if only resource allocation type 0 is configured or if interleaved VRB-to-PRB mapping is not configured by high layers;
 - 1 bit according to Table 7.3.1.2.2-5 otherwise, only applicable to resource allocation type 1, as defined in Clause 7.3.1.6 of [4, TS 38.211].
- PRB bundling size indicator 0 bit if the higher layer parameter *prb-BundlingType* is not configured or is set to 'staticBundling', or 1 bit if the higher layer parameter *prb-BundlingType* is set to 'dynamicBundling' according to Clause 5.1.2.3 of [6, TS 38.214].
- Rate matching indicator 0, 1, or 2 bits according to higher layer parameters *rateMatchPatternGroup1* and *rateMatchPatternGroup2*, where the MSB is used to indicate *rateMatchPatternGroup1* and the LSB is used to indicate *rateMatchPatternGroup2* when there are two groups.
- ZP CSI-RS trigger 0, 1, or 2 bits as defined in Clause 5.1.4.2 of [6, TS 38.214]. The bitwidth for this field is determined as $\lceil \log_2(n_{ZP} + 1) \rceil$ bits, where n_{ZP} is the number of aperiodic ZP CSI-RS resource sets configured by higher layer.

For transport block 1:

- Modulation and coding scheme 5 bits as defined in Clause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

For transport block 2 (only present if maxNrofCodeWordsScheduledByDCI equals 2):

- Modulation and coding scheme 5 bits as defined in Clause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the value of <code>maxNrofCodeWordsScheduledByDCI</code> for the indicated bandwidth part equals 2 and the value of <code>maxNrofCodeWordsScheduledByDCI</code> for the active bandwidth part equals 1, the UE assumes zeros are padded when interpreting the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 according to Clause 12 of [5, TS38.213], and the UE ignores the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 for the indicated bandwidth part.

- HARQ process number 4 bits
- Downlink assignment index number of bits as defined in the following
 - 4 bits if more than one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI;

- 2 bits if only one serving cell is configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, where the 2 bits are the counter DAI;
- 0 bits otherwise.
- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ_feedback timing indicator 0, 1, 2, or 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]. The bitwidth for this field is determined as $\lceil \log_2(I) \rceil$ bits, where I is the number of entries in the higher layer parameter dl-DataToUL-ACK.
- Antenna port(s) 4, 5, or 6 bits as defined by Tables 7.3.1.2.2-1/2/3/4, where the number of CDM groups without data of values 1, 2, and 3 refers to CDM groups $\{0\}$, $\{0,1\}$, and $\{0,1,2\}$ respectively. The antenna ports $\{p_{0,\dots},p_{v-1}\}$ shall be determined according to the ordering of DMRS port(s) given by Tables 7.3.1.2.2-1/2/3/4.
 - If a UE is configured with both dmrs-DownlinkForPDSCH-MappingTypeA and dmrs-DownlinkForPDSCH-MappingTypeB, the bitwidth of this field equals $\max\left\{x_A, x_B\right\}$, where x_A is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeA and x_B is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeB. A number of $\left|x_A x_B\right|$ zeros are padded in the MSB of this field, if the mapping type of the PDSCH corresponds to the smaller value of x_A and x_B .
- Transmission configuration indication 0 bit if higher layer parameter *tci-PresentInDCI* is not enabled; otherwise 3 bits as defined in Clause 5.1.5 of [6, TS38.214].
 - If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part,
 - if the higher layer parameter *tci-PresentInDCI* is not enabled for the CORESET used for the PDCCH carrying the DCI format 1_1,
 - the UE assumes tci-PresentInDCI is not enabled for all CORESETs in the indicated bandwidth part;
 - otherwise,
 - the UE assumes tci-PresentInDCI is enabled for all CORESETs in the indicated bandwidth part.
- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Clause 6.1.1.2 of [6, TS 38.214].
- CBG transmission information (CBGTI) 0 bit if higher layer parameter *codeBlockGroupTransmission* for PDSCH is not configured, otherwise, 2, 4, 6, or 8 bits as defined in Clause 5.1.7 of [6, TS38.214], determined by the higher layer parameters *maxCodeBlockGroupsPerTransportBlock* and *maxNrofCodeWordsScheduledByDCI* for the PDSCH.
- CBG flushing out information (CBGFI) 1 bit if higher layer parameter *codeBlockGroupFlushIndicator* is configured as "TRUE", 0 bit otherwise.
- DMRS sequence initialization 1 bit.

If DCI formats 1_1 are monitored in multiple search spaces associated with multiple CORESETs in a BWP for scheduling the same serving cell, zeros shall be appended until the payload size of the DCI formats 1_1 monitored in the multiple search spaces equal to the maximum payload size of the DCI format 1_1 monitored in the multiple search spaces.

Table 7.3.1.2.2-1: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=1

One Codeword: Codeword 0 enabled, Codeword 1 disabled					
Value	Number of DMRS CDM group(s) without data	DMRS port(s)			
0	1	0			
1	1	1			
2	1	0,1			
3	2	0			
4	2	1			
5	2	2			
6	2	3			
7	2	0,1			
8	2	2,3			
9	2	0-2			
10	2	0-3			
11	2	0,2			
12-15	Reserved	Reserved			

Table 7.3.1.2.2-2: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=2

	Codeword	odeword: d 0 enabled, d 1 disabled			Code Code	o Codewords: eword 0 enabled, eword 1 enabled	
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	2	0-4	2
1	1	1	1	1	2	0,1,2,3,4,6	2
2	1	0,1	1	2	2	0,1,2,3,4,5,6	2
3	2	0	1	3	2	0,1,2,3,4,5,6,7	2
4	2	1	1	4-31	reserved	reserved	reserved
5	2	2	1				
6	2	3	1				
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	2	0,2	1				
12	2	0	2				
13	2	1	2				
14	2	2	2				
15	2	3	2				
16	2	4	2				
17	2	5	2				
18	2	6	2				
19	2	7	2				
20	2	0,1	2				
21	2	2,3	2				
22	2	4,5	2				
23	2	6,7	2				_
24	2	0,4	2				_
25	2	2,6	2				
26	2	0,1,4	2				
27	2	2,3,6	2				
28	2	0,1,4,5	2				_
29	2	2,3,6,7	2				_
30	2	0,2,4,6	2				
31	Reserved	Reserved	Reserved				

Table 7.3.1.2.2-3: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=1

	One codeword: odeword 0 enable odeword 1 disabl		Two codewords: Codeword 0 enabled, Codeword 1 enabled			
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	
0	1	0	0	3	0-4	
1	1	1	1	3	0-5	
2	1	0,1	2-31	reserved	reserved	
3	2	0				
4	2	1				
5	2	2				
6	2	3				
7	2	0,1				
8	2	2,3				
9	2	0-2				
10	2	0-3				
11	3	0				
12	3	1				
13	3	2				
14	3	3				
15	3	4				
16	3	5				
17	3	0,1				
18	3	2,3				
19	3	4,5				
20	3	0-2				
21	3	3-5				
22	3	0-3				
23	2	0,2				
24-31	Reserved	Reserved				

Table 7.3.1.2.2-4: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=2

	Codewor	odeword: rd 0 enabled, rd 1 disabled			Code	o Codewords: eword 0 enabled, eword 1 enabled	
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	3	0-4	1
1	1	1	1	1	3	0-5	1
2	1	0,1	1	2	2	0,1,2,3,6	2
3	2	0	1	3	2	0,1,2,3,6,8	2
4	2	1	1	4	2	0,1,2,3,6,7,8	2
5	2	2	1	5	2	0,1,2,3,6,7,8,9	2
6	2	3	1	6-63	Reserved	Reserved	Reserved
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	3	0	1				
12	3	1	1				
13	3	2	1				
14	3	3	1				
15	3	4	1				
16	3	5	1				
17	3	0,1	1				
18	3	2,3	1				
19	3	4,5	1				
20	3	0-2	1				
21	3	3-5	1				
22	3	0-3	1				
23	2	0,2	1				
24	3	0	2				
25	3	1	2				
26	3	2	2				
27	3	3	2				
28	3	4	2				
29	3	5	2				
30	3	6	2				
31	3	7	2				
32	3	8	2				
33	3	9	2				
34	3	10	2				
35	3	11	2				
36	3	0,1	2				
37	3	2,3	2				
38	3	4,5	2	<u> </u>			
39	3	6,7	2	1			
40	3	8,9	2				
41	3	10,11	2				
42	3	0,1,6	2				
43	3	2,3,8	2				
44	3	4,5,10	2				
45	3	0,1,6,7	2				
46	3	2,3,8,9	2				
47	3	4,5,10,11	2	-			
48	1	0	2	-			
49	1	1	2				
50	1	6	2				
51	1	7	2				
52	1	0,1	2				
53	1	6,7	2				
54	2	0,1	2				
55	2	2,3	2				
56	2	6,7	2				

57	2	8,9	2		
58-63	Reserved	Reserved	Reserved		

Table 7.3.1.2.2-5: VRB-to-PRB mapping

Bit field mapped to index	VRB-to-PRB mapping
0	Non-interleaved
1	Interleaved

7.3.1.3 DCI formats for other purposes

7.3.1.3.1 Format 2 0

DCI format 2_0 is used for notifying the slot format.

The following information is transmitted by means of the DCI format 2_0 with CRC scrambled by SFI-RNTI:

- Slot format indicator 1, Slot format indicator 2, ..., Slot format indicator N.

The size of DCI format 2_0 is configurable by higher layers up to 128 bits, according to Clause 11.1.1 of [5, TS 38.213].

7.3.1.3.2 Format 2 1

DCI format 2_1 is used for notifying the PRB(s) and OFDM symbol(s) where UE may assume no transmission is intended for the UE.

The following information is transmitted by means of the DCI format 2_1 with CRC scrambled by INT-RNTI:

- Pre-emption indication 1, Pre-emption indication 2, ..., Pre-emption indication N.

The size of DCI format 2_1 is configurable by higher layers up to 126 bits, according to Clause 11.2 of [5, TS 38.213]. Each pre-emption indication is 14 bits.

7.3.1.3.3 Format 2 2

DCI format 2_2 is used for the transmission of TPC commands for PUCCH and PUSCH.

The following information is transmitted by means of the DCI format 2_2 with CRC scrambled by TPC-PUSCH-RNTI or TPC-PUCCH-RNTI:

- block number 1, block number 2,..., block number N

The parameter *tpc-PUSCH* or *tpc-PUCCH* provided by higher layers determines the index to the block number for an UL of a cell, with the following fields defined for each block:

- Closed loop indicator 0 or 1 bit.
 - For DCI format 2_2 with TPC-PUSCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUSCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2_2 is of 3 bits;
 - For DCI format 2_2 with TPC-PUCCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUCCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2_2 is of 3 bits;
- TPC command -2 bits

The number of information bits in format 2_2 shall be equal to or less than the payload size of format 1_0 monitored in common search space in the same serving cell. If the number of information bits in format 2_2 is less than the payload

size of format 1_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2_2 until the payload size equals that of format 1_0 monitored in common search space in the same serving cell.

7.3.1.3.4 Format 2 3

DCI format 2_3 is used for the transmission of a group of TPC commands for SRS transmissions by one or more UEs. Along with a TPC command, a SRS request may also be transmitted.

The following information is transmitted by means of the DCI format 2_3 with CRC scrambled by TPC-SRS-RNTI:

block number 1, block number 2, ..., block number B
 where the starting position of a block is determined by the parameter *startingBitOfFormat2-3* or *startingBitOfFormat2-3SUL-v1530* provided by higher layers for the UE configured with the block.

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeA* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block is configured for the UE by higher layers, with the following fields defined for the block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Clause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command number 1, TPC command number 2, ..., TPC command number N, where each TPC command applies to a respective UL carrier provided by higher layer parameter *cc-IndexInOneCC-Set*

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeB* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block or more blocks is configured for the UE by higher layers where each block applies to an UL carrier, with the following fields defined for each block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Clause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command -2 bits

The number of information bits in format 2_3 shall be equal to or less than the payload size of format 1_0 monitored in common search space in the same serving cell. If the number of information bits in format 2_3 is less than the payload size of format 1_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2_3 until the payload size equals that of format 1_0 monitored in common search space in the same serving cell.

7.3.2 CRC attachment

Error detection is provided on DCI transmissions through a Cyclic Redundancy Check (CRC).

The entire payload is used to calculate the CRC parity bits. Denote the bits of the payload by $a_0, a_1, a_2, a_3, ..., a_{A-1}$, and the parity bits by $p_0, p_1, p_2, p_3, ..., p_{L-1}$, where A is the payload size and L is the number of parity bits. Let $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$ be a bit sequence such that $a'_i = 1$ for i = 0,1,...,L-1 and $a'_i = a_{i-L}$ for i = L, L+1,...,A+L-1. The parity bits are computed with input bit sequence $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$ and attached according to Clause 5.1 by setting L to 24 bits and using the generator polynomial $g_{\text{CRC24C}}(D)$. The output bit $b_0, b_1, b_2, b_3, ..., b_{K-1}$ is

$$b_k = a_k \quad \text{for } k = 0,1,2,\dots,A-1$$

$$b_k = p_{k-A} \quad \text{for } k = A,A+1,A+2,\dots,A+L-1,$$

where K = A + L.

After attachment, the CRC parity bits are scrambled with the corresponding RNTI $x_{rnti,0}, x_{rnti,1}, ..., x_{rnti,15}$, where $x_{rnti,0}$ corresponds to the MSB of the RNTI, to form the sequence of bits $c_0, c_1, c_2, c_3, ..., c_{K-1}$. The relation between c_k and b_k is:

$$c_k = b_k$$
 for $k = 0, 1, 2, ..., A + 7$
 $c_k = (b_k + x_{mti,k-A-8}) \mod 2$ for $k = A + 8, A + 9, A + 10, ..., A + 23$.

7.3.3 Channel coding

Information bits are delivered to the channel coding block. They are denoted by $c_0, c_1, c_2, c_3, ..., c_{K-1}$, where K is the number of bits, and they are encoded via Polar coding according to Clause 5.3.1, by setting $n_{\max} = 9$, $I_{IL} = 1$, $n_{PC} = 0$, and $n_{PC}^{wm} = 0$.

After encoding the bits are denoted by $d_0, d_1, d_2, d_3, \dots, d_{N-1}$, where N is the number of coded bits.

7.3.4 Rate matching

The input bit sequence to rate matching is $d_0, d_1, d_2, ..., d_{N-1}$.

Rate matching is performed according to Clause 5.4.1 by setting $I_{BIL} = 0$.

The output bit sequence after rate matching is denoted as $f_0, f_1, f_2, ..., f_{E-1}$.

Annex <A> (informative): Change history

	Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New	
2017-05	RAN1#89	R1-1707082				Draft skeleton	version 0.0.0	
2017-07	AH_NR2	R1-1712014				Inclusion of LDPC related agreements	0.0.1	
2017-08	RAN1#90	R1-1714564				Inclusion of Polar coding related agreements	0.0.2	
2017-08	RAN1#90	R1-1714659				Endorsed version by RAN1#90 as basis for further updates	0.1.0	
2017-09	RAN1#90	R1-1715322				Capturing additional agreements on LDPC and Polar code from RAN1 #90	0.1.1	
2017-09	RAN#77	RP-171991				For information to plenary	1.0.0	
2017-09	RAN1#90b	R1-1716928				Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3	1.0.1	
2017-10	RAN1#90b	R1-1719106				Endorsed as v1.1.0	1.1.0	
2017-11	RAN1#91	R1-1719225				Capturing additional agreements on channel coding, etc.	1.1.1	
2017-11	RAN1#91	R1-1719245				Capturing additional agreements on DCI format, channel coding, etc.	1.1.2	
2017-11	RAN1#91	R1-1721049				Endorsed as v1.2.0	1.2.0	
2017-12	RAN1#91	R1-1721342				Capturing additional agreements on UCI, DCI, channel coding, etc.	1.2.1	
2017-12	RAN#78	RP-172668				Endorsed version for approval by plenary.	2.0.0	
2017-12	RAN#78					Approved by plenary – Rel-15 spec under change control	15.0.0	
2018-03	RAN#79	RP-180200	0001	-	F	CR capturing the Jan18 ad-hoc and RAN1#92 meeting agreements	15.1.0	
2018-04	RAN#79					MCC: correction of typo in DCI format 0_1 (time domain resource assignment) – higher layer parameter should be <i>pusch-AllocationList</i>	15.1.1	
2018-06	RAN#80	RP-181172	0002	1	F	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements	15.2.0	
2018-06	RAN#80	RP-181257	0003	-	В	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements related to URLLC	15.2.0	
2018-09	RAN#81	RP-181789	0004	-	F	CR to 38.212 capturing the RAN1#94 meeting agreements	15.3.0	
2018-12	RAN#82	RP-182523	0005	3	F	Combined CR of all essential corrections to 38.212 from RAN1#94bis and RAN1#95		
2019-03	RAN#83	RP-190448	0006	-	F	Correction of wrong implementation on frequency domain resource assignment bitwidth	15.5.0	
2019-03	RAN#83	RP-190448	8000	-	F	Correction to UCI multiplexing	15.5.0	
2019-03	RAN#83	RP-190448	0009	-	F	Correction on DCI format 2_3 for SUL cell in TS 38.212	15.5.0	
2019-03	RAN#83	RP-190448	0010	-	F	Corrections to TS38.212		
2019-03	RAN#83	RP-190448	0011	-	F	On bitwidth calculation for DCI fields using RRC parameter indicating maximum number of MIMO layers per serving cell	15.5.0	
2019-03	RAN#83	RP-190448	0012	_	F	CR on zero-padding of DCI 1_1 in cross-carrier scheduling case	15.5.0	
2019-03	RAN#83	RP-190448	0013		F	Clarification on UL_SUL indicator field and SRS request field	15.5.0	
2019-06	RAN#84	RP-191282	0014	-	F	CR on correction to bitwidth of NNZC indicator	15.6.0	
2019-06	RAN#84	RP-191282	0015	-	F	Correction on DCI size alignment in TS 38.212	15.6.0	
2019-06	RAN#84	RP-191282	0016	-	F	Correction on UL/SUL indicator in DCI format 0_0	15.6.0	
2019-06	RAN#84	RP-191282	0017	-	F	Corrections to 38.212 including alignment of terminology across specifications	15.6.0	
2019-06	RAN#84	RP-191282	0018		F	CR on maximum modulation order configured for serving cell	15.6.0	
2019-06	RAN#84		0019	1	F	Corrections to 38.212 including alignment of terminology across specifications from RAN1#97	15.6.0 15.7.0	
2019-09	RAN#85	RP-191941	0020	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98		
2019-12	RAN#86	RP-192625	0021		F	CR on UL/SUL indicator in DCI format 0_1	15.8.0	
2019-12	RAN#86		0022	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98bis and RAN1#99	15.8.0	
2020-06	RAN#88-e	RP-200683	0037	-	F	CR on L1-RSRP report on PUSCH	15.9.0	

History

	Document history					
V15.2.0	July 2018	Publication				
V15.3.0	October 2018	Publication				
V15.4.0	April 2019	Publication				
V15.5.0	May 2019	Publication				
V15.6.0	July 2019	Publication				
V15.7.0	October 2019	Publication				
V15.8.0	January 2020	Publication				
V15.9.0	July 2020	Publication				