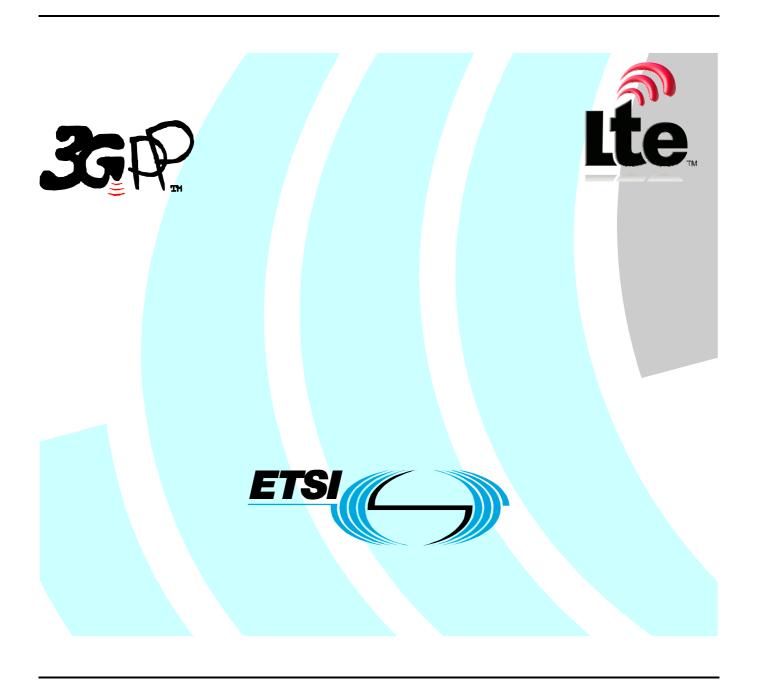
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1 Scope

The present document establishes the minimum RF characteristics and minimum performance requirements for E-UTRA User Equipment (UE).

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] ITU-R Recommendation SM.329-10, "Unwanted emissions in the spurious domain"
- [3] ITU-R Recommendation M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
- [4] 3GPP TS 36.211: "Physical Channels and Modulation".
- [5] 3GPP TS 36.212: "Multiplexing and channel coding".
- [6] 3GPP TS 36.213: "Physical layer procedures".
- [7] 3GPP TS 36.331: "Requirements for support of radio resource management".
- [8] 3GPP TS 36.307: "Requirements on User Equipments (UEs) supporting a release-independent frequency band ".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

Channel edge: The lowest and highest frequency of the carrier, separated by the channel bandwidth.

Channel bandwidth: The RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell. The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

Maximum Output Power: The mean power level per carrier of UE measured at the antenna connector in a specified reference condition.

Mean power: When applied to E-UTRA transmission this is the power measured in the operating system bandwidth of the carrier. The period of measurement shall be at least one subframe (1ms) unless otherwise stated.

Occupied bandwidth: The width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage $\beta/2$ of the total mean power of a given emission.

Output power: The mean power of one carrier of the UE, delivered to a load with resistance equal to the nominal load impedance of the transmitter.

Reference bandwidth: The bandwidth in which an emission level is specified.

Transmission bandwidth: Bandwidth of an instantaneous transmission from a UE or BS, measured in Resource Block units.

Transmission bandwidth configuration: The highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in Resource Block units.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

$\mathrm{BW}_{\mathrm{Channel}}$	Channel bandwidth
$E_{\scriptscriptstyle RS}$	Transmitted energy per RE for reference symbols during the useful part of the symbol, i.e.
	excluding the cyclic prefix, (average power normalized to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{E}_{s}	The received energy per RE of the wanted signal during the useful part of the symbol, i.e.
F	excluding the cyclic prefix, averaged across the allocated RB(s) (average power within the allocated RB(s), divided by the number of RE within this allocation, and normalized to the subcarrier spacing) at the UE antenna connector Frequency
F _{Interferer} (offset)	Frequency offset of the interferer
$F_{Interferer}$	Frequency of the interferer
F_{C}	Frequency of the carrier centre frequency
$F_{ m DL_low}$	The lowest frequency of the downlink operating band
$F_{ m DL_high}$	The highest frequency of the downlink operating band The lowest frequency of the uplink operating band
$F_{ m UL_low} \ F_{ m UL_high}$	The highest frequency of the uplink operating band
- OL_mgn	
I_o	The power spectral density of the total input signal (power averaged over the useful part of the
	symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the UE antenna connector, including the own-cell downlink signal
I_{or}	The total transmitted power spectral density of the own-cell downlink signal (power averaged over
-	the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{I}_{or}	The total received power spectral density of the own-cell downlink signal (power averaged over
	the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalised to the subcarrier spacing) at the UE antenna connector
I_{ot}	The received power spectral density of the total noise and interference for a certain RE (average
$N_{ m cp}$	power obtained within the RE and normalized to the subcarrier spacing) as measured at the UE antenna connector Cyclic prefix length
$N_{ m DL}$	Downlink EARFCN The power spectral density of a white paice source (average power per PE permeliced to the
N_{oc}	The power spectral density of a white noise source (average power per RE normalised to the
$N_{\mathrm{Offs\text{-}UL}}$	subcarrier spacing), simulating interference from cells that are not defined in a test procedure, as measured at the UE antenna connector $N_{\text{Offs-DL}}$ Offset used for calculating uplink EARFCN Offset used for calculating uplink EARFCN

 N_{otx} The power spectral density of a white noise source (average power per RE normalised to the

subcarrier spacing) simulating eNode B transmitter impairments as measured at the eNode B

transmit antenna connector

 N_{RB} Transmission bandwidth configuration, expressed in units of resource blocks

Uplink EARFCN N_{III}

Minimum average throughput per RB Rav

The measured configured maximum UE output power. P_{CMAX}

Maximum allowed UE output power signalled by higher layers. Same as IE *P-Max*, defined in [7]. P_{EMAX H}

 $P_{\text{Interferer}}$ Modulated mean power of the interfere

P_{PowerClass} is the nominal UE power (i.e., no tolerance)r $P_{PowerClass} \\$

 $P_{UMAX} \\$ Maximum UE Power with possible power reduction due to modulation type, network signalling

values and location near the edge of the band; it equals P_{CMAX} when the IE *P-Max*, defined in [7],

is not signalled.

 $\Delta F_{\rm OOB}$ Δ Frequency of Out Of Band emission

3.3 **Abbreviations**

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ACLR Adjacent Channel Leakage Ratio Adjacent Channel Selectivity **ACS**

Additional Maximum Power Reduction A-MPR **AWGN** Additive White Gaussian Noise

Base Station BS

CW Continuous Wave DL

Downlink

EARFCN E-UTRA Absolute Radio Frequency Channel Number

Energy Per Resource Element **EPRE**

E-UTRA **Evolved UMTS Terrestrial Radio Access**

EUTRAN Evolved UMTS Terrestrial Radio Access Network

EVM Error Vector Magnitude **FDD** Frequency Division Duplex Fixed Reference Channel FRC HD-FDD Half- Duplex FDD

Modulation and Coding Scheme MCS Maximum Output Power **MOP MPR** Maximum Power Reduction **MSD** Maximum Sensitivity Degradation **OCNG** OFDMA Channel Noise Generator

OFDMA Orthogonal Frequency Division Multiple Access

Out-of-band OOB PA Power Amplifier

Primary Synchronization Signal PSS

PSS_RA PSS-to-RS EPRE ratio for the channel PSS

Resource Element RE

Reference Sensitivity power level REFSENS

Root Mean Square r.m.s **SNR** Signal-to-Noise Ratio

Secondary Synchronization Signal SSS

SSS-to-RS EPRE ratio for the channel SSS SSS_RA

TDD Time Division Duplex UE User Equipment

UL Uplink

UMTS Universal Mobile Telecommunications System

UMTS Terrestrial Radio Access **UTRA**

UMTS Terrestrial Radio Access Network **UTRAN**

xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols not containing RS xCH_RA

xCH_RB xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols containing RS

4 General

4.1 Relationship between minimum requirements and test requirements

The Minimum Requirements given in this specification make no allowance for measurement uncertainty. The test specification TS 36.xxx section y defines Test Tolerances. These Test Tolerances are individually calculated for each test. The Test Tolerances are used to relax the Minimum Requirements in this specification to create Test Requirements.

The measurement results returned by the Test System are compared - without any modification - against the Test Requirements as defined by the shared risk principle.

The Shared Risk principle is defined in ITU-R M.1545 [3].

4.2 Applicability of minimum requirements

In this specification the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios

For specific scenarios for which an additional requirement is specified, in addition to meeting the general requirement, the UE is mandated to meet the additional requirements.

The reference sensitivity power levels defined in subclause 7.3 are valid for the specified reference measurement channels.

Note: Receiver sensitivity degradation may occur when:

- 1) the UE simultaneously transmits and receives with bandwidth allocations less than the transmission bandwidth configuration (see Figure 5.6-1), and
- 2) any part of the downlink transmission bandwidth is within an uplink transmission bandwidth from the downlink center subcarrier.

4.3 Uplink 64-QAM modulation format

Transmit signal quality requirements and maximum transmit power requirements for uplink 64-QAM modulation are not defined in this release of the specification

4.4 RF requirements in later releases

The standardisation of new frequency bands may be independent of a release. However, in order to implement a UE that conforms to a particular release but supports a band of operation that is specified in a later release, it is necessary to specify some extra requirements. TS 36.307 [9] specifies requirements on UEs supporting a frequency band that is independent of release.

NOTE: For terminals conforming to the 3GPP release of the present document, some RF requirements in later releases may be mandatory independent of whether the UE supports the bands specified in later releases or not. The set of requirements from later releases that is also mandatory for UEs conforming to the 3GPP release of the present document is determined by regional regulation.

5 Operating bands and channel arrangement

5.1 General

The channel arrangements presented in this clause are based on the operating bands and channel bandwidths defined in the present release of specifications.

NOTE: Other operating bands and channel bandwidths may be considered in future releases.

- 5.2 Void
- 5.3 Void
- 5.4 Void

5.5 Operating bands

E-UTRA is designed to operate in the operating bands defined in Table 5.5-1.

E-UTRA Uplink (UL) operating band Downlink (DL) operating band Duplex Operating **BS** receive Mode **BS** transmit **Band UE transmit UE** receive - F_{UL_high} F_{DL_low} - F_{DL_high} F_{UL_low} 2170 MHz FDD 1920 MHz 1980 MHz 2110 MHz 2 FDD 1850 MHz 1910 MHz 1930 MHz 1990 MHz 3 FDD 1710 MHz 1785 MHz 1805 MHz 1880 MHz FDD 4 1710 MHz 1755 MHz 2110 MHz 2155 MHz 824 MHz 849 MHz 869 MHz 894MHz FDD 5 6 830 MHz 840 MHz 875 MHz 885 MHz **FDD** FDD 7 2500 MHz 2570 MHz 2620 MHz 2690 MHz 8 FDD 880 MHz 915 MHz 925 MHz 960 MHz 9 1749.9 MHz 1784.9 MHz 1844.9 MHz 1879.9 MHz FDD 10 1710 MHz 1770 MHz 2110 MHz 2170 MHz **FDD** 11 1427.9 MHz 1447.9 MHz 1475.9 MHz 1495.9 MHz **FDD** FDD 12 698 MHz 716 MHz 728 MHz 746 MHz FDD 777 MHz 787 MHz 746 MHz 756 MHz 13 768 MHz 14 788 MHz 798 MHz 758 MHz FDD 17 704 MHz 716 MHz 734 MHz 746 MHz FDD 1900 MHz 1920 MHz 1900 MHz 1920 MHz TDD 33 34 2010 MHz 2025 MHz 2010 MHz 2025 MHz TDD 35 1850 MHz 1910 MHz 1850 MHz 1910 MHz TDD <u>TD</u>D 36 1930 MHz 1990 MHz 1930 MHz 1990 MHz 37 1910 MHz 193<u>0 MHz</u> TDD 1910 MHz 1930 MHz 38 2570 MHz 2620 MHz 2570 MHz 2620 MHz TDD 39 1880 MHz 1920 MHz 1880 MHz 1920 MHz TDD

Table 5.5-1 E-UTRA operating bands

5.6 Channel bandwidth

2300 MHz

40

Requirements in present document are specified for the channel bandwidths listed in Table 5.6-1.

2400 MHz

2300 MHz

2400 MHz

TDD

Table 5.6-1 Transmission bandwidth configuration N_{RB} in E-UTRA channel bandwidths

$\begin{array}{c} \textbf{Channel bandwidth} \\ \textbf{BW}_{\textbf{Channel}} \textbf{[MHz]} \end{array}$	1.4	3	5	10	15	20
Transmission bandwidth configuration N_{RB}	6	15	25	50	75	100

Figure 5.6-1 shows the relation between the Channel bandwidth ($BW_{Channel}$) and the Transmission bandwidth configuration (N_{RB}). The channel edges are defined as the lowest and highest frequencies of the carrier separated by the channel bandwidth, i.e. at F_C +/- $BW_{Channel}$ /2.

Channel Bandwidth [MHz]

Transmission Bandwidth Configuration [RB] Transmission Bandwidth Channel edge Resource Blocks Center subcarrier (corresponds to DC in baseband) is not transmitted in downlink

Figure 5.6-1 Definition of Channel Bandwidth and Transmission Bandwidth Configuration for one E-UTRA carrier

5.6.1 Channel bandwidths per operating band

a) The requirements in this specification apply to the combination of channel bandwidths and operating bands shown in Table 5.6.1-1. The transmission bandwidth configuration in Table 5.6.1-1 shall be supported for each of the specified channel bandwidths. The same (symmetrical) channel bandwidth is specified for both the TX and RX path.

Table 5.6.1-1: E-UTRA channel bandwidth

E-UTRA band / channel bandwidth									
E-UTRA Band	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz			
1			Yes	Yes	Yes	Yes			
2	Yes	Yes	Yes	Yes	Yes ^[1]	Yes ^[1]			
3	Yes	Yes	Yes	Yes	Yes ^[1]	Yes ^[1]			
4	Yes	Yes	Yes	Yes	Yes	Yes			
5	Yes	Yes	Yes	Yes ^[1]					
6			Yes	Yes ^[1]					
7			Yes	Yes	Yes	Yes ^[1]			
8	Yes	Yes	Yes	Yes ^[1]					
9			Yes	Yes	Yes ^[1]	Yes ^[1]			
10			Yes	Yes	Yes	Yes			
11			Yes	Yes ^[1]					
12	Yes	Yes	Yes ^[1]	Yes ^[1]					
13			Yes ^[1]	Yes ^[1]					
14			Yes ^[1]	Yes ^[1]					
17			Yes ^[1]	Yes ^[1]					
33			Yes	Yes	Yes	Yes			
34			Yes	Yes	Yes				
35	Yes	Yes	Yes	Yes	Yes	Yes			
36	Yes	Yes	Yes	Yes	Yes	Yes			
37			Yes	Yes	Yes	Yes			
38			Yes	Yes	Yes	Yes			
39			Yes	Yes	Yes	Yes			
40			Yes	Yes	Yes	Yes			

NOTE 1: bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (Clause 7.3) is allowed.

5.7 Channel arrangement

5.7.1 Channel spacing

The spacing between carriers will depend on the deployment scenario, the size of the frequency block available and the channel bandwidths. The nominal channel spacing between two adjacent E-UTRA carriers is defined as following:

Nominal Channel spacing =
$$(BW_{Channel(1)} + BW_{Channel(2)})/2$$

where $BW_{Channel(1)}$ and $BW_{Channel(2)}$ are the channel bandwidths of the two respective E-UTRA carriers. The channel spacing can be adjusted to optimize performance in a particular deployment scenario.

5.7.2 Channel raster

The channel raster is 100 kHz for all bands, which means that the carrier centre frequency must be an integer multiple of 100 kHz.

b) The use of different (asymmetrical)) channel bandwidth for the TX and RX is not precluded and is intended to form part of a later release.

5.7.3 Carrier frequency and EARFCN

The carrier frequency in the uplink and downlink is designated by the E-UTRA Absolute Radio Frequency Channel Number (EARFCN) in the range 0 - 65535. The relation between EARFCN and the carrier frequency in MHz for the downlink is given by the following equation, where F_{DL_low} and $N_{Offs-DL}$ are given in table 5.7.3-1 and N_{DL} is the downlink EARFCN.

$$F_{DL} = F_{DL \text{ low}} + 0.1(N_{DL} - N_{Offs\text{-}DL})$$

The relation between EARFCN and the carrier frequency in MHz for the uplink is given by the following equation where F_{UL_low} and $N_{Offs-UL}$ are given in table 5.7.3-1 and N_{UL} is the uplink EARFCN.

$$F_{UL} = F_{UL\ low} + 0.1(N_{UL} - N_{Offs\text{-}UL})$$

Table 5.7.3-1 E-UTRA channel numbers

E-UTRA		Downlink		Uplink			
Operating Band	F _{DL_low} (MHz)	$N_{\text{Offs-DL}}$	Range of N _{DL}	F _{UL_low} (MHz)	N _{Offs-UL}	Range of N _{UL}	
1	2110	0	0 – 599	1920	18000	18000 – 18599	
2	1930	600	600 – 1199	1850	18600	18600 – 19199	
3	1805	1200	1200 – 1949	1710	19200	19200 - 19949	
4	2110	1950	1950 – 2399	1710	19950	19950 - 20399	
5	869	2400	2400 - 2649	824	20400	20400 - 20649	
6	875	2650	2650 - 2749	830	20650	20650 - 20749	
7	2620	2750	2750 – 3449	2500	20750	20750 - 21449	
8	925	3450	3450 - 3799	880	21450	21450 - 21799	
9	1844.9	3800	3800 – 4149	1749.9	21800	21800 – 22149	
10	2110	4150	4150 – 4749	1710	22150	22150 - 22749	
11	1475.9	4750	4750 – 4949	1427.9	22750	22750 - 22949	
12	728	5000	5000 - 5179	698	23000	23000 - 23179	
13	746	5180	5180 – 5279	777	23180	23180 – 23279	
14	758	5280	5280 – 5379	788	23280	23280 – 23379	
17	734	5730	5730 - 5849	704	23730	23730 - 23849	
33	1900	36000	36000 - 36199	1900	36000	36000 - 36199	
34	2010	36200	36200 - 36349	2010	36200	36200 - 36349	
35	1850	36350	36350 - 36949	1850	36350	36350 - 36949	
36	1930	36950	36950 - 37549	1930	36950	36950 - 37549	
37	1910	37550	37550 – 37749	1910	37550	37550 – 37749	
38	2570	37750	37750 – 38249	2570	37750	37750 – 38249	
39	1880	38250	38250-38649	1880	38250	38250-38649	
40	2300	38650	38650-39649	2300	38650	38650-39649	

NOTE: The channel numbers that designate carrier frequencies so close to the operating band edges that the carrier extends beyond the operating band edge shall not be used. This implies that the first 7, 15, 25, 50, 75 and 100 channel numbers at the lower operating band edge and the last 6, 14, 24, 49, 74 and 99 channel numbers at the upper operating band edge shall not be used for channel bandwidths of 1.4, 3, 5, 10, 15 and 20 MHz respectively.

5.7.4 TX-RX frequency separation

a) The default E-UTRA TX channel (carrier centre frequency) to RX channel (carrier centre frequency) separation is specified in Table 5.7.4-1 for the TX and RX channel bandwidths defined in Table 5.6.1-1

Table 5.7.4-1: Default UE TX-RX frequency separation

Frequency Band	TX - RX carrier centre frequency separation
1	190 MHz
2	80 MHz.
3	95 MHz.
4	400 MHz
5	45 MHz
6	45 MHz
7	120 MHz
8	45 MHz
9	95 MHz
10	400 MHz
11	48 MHz
12	30 MHz
13	-31 MHz
14	-30 MHz
17	30 MHz

b) The use of other TX channel to RX channel carrier centre frequency separation is not precluded and is intended to form part of a later release.

6 Transmitter characteristics

6.1 General

Unless otherwise stated, the transmitter characteristics are specified at the antenna connector of the UE with a single transmit antenna. For UE with integral antenna only, a reference antenna with a gain of 0 dBi is assumed.

6.2 Transmit power

6.2.1 Void

6.2.2 UE Maximum Output Power

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth. The period of measurement shall be at least one sub frame (1ms).

Table 6.2.2-1: UE Power Class

EUTRA band	Class 1 (dBm)	Tolerance (dB)	Class 2 (dBm)	Tolerance (dB)	Class 3 (dBm)	Tolerance (dB)	Class 4 (dBm)	Tolerance (dB)
1	(abiii)	(ub)	(abiii)	(GD)	23	(GD)	(abiii)	(ub)
2					23	±2 ±2 ²		
3					23	±2 ²		
4					23	±2		
5					23	±2 ±2		
6								
7					23	±2 ±2 ²		
					23	±2 ±2 ²		
8					23	±2		
9					23	±2		
10					23	±2		
11					23	±2		
12					23	±2 ²		
13					23	±2		
14					23	±2		
17					23	±2		
33					23	±2		
34					23	±2		
35					23	±2		
36					23	±2		
37					23	±2		
38					23	±2		
39					23	±2		
40					23	±2		
					-			

Note 1: The above tolerances are applicable for UE(s) that support up to 4 E-UTRA operating bands. For UE(s) that support 5 or more E-UTRA bands the maximum output power is expected to decrease with each additional band and is FFS

Note 2: For transmission bandwidths (Figure 5.6-1) confined within F_{UL_low} and $F_{UL_low} + 4$ MHz or $F_{UL_high} - 4$ MHz and F_{UL_high} , the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB

Note 3: P_{PowerClass} is the maximum UE power specified without taking into account the tolerance

6.2.3 UE Maximum Output power for modulation / channel bandwidth

For UE Power Class 3, the allowed Maximum Power Reduction (MPR) for the maximum output power in Table 6.2.2-1due to higher order modulation and transmit bandwidth configuration (resource blocks) is specified in Table 6.2.3-1.

Table 6.2.3-1: Maximum Power Reduction (MPR) for Power Class 3

Modulation	Channel	Channel bandwidth / Transmission bandwidth configuration (RB)				MPR (dB)	
	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz	
QPSK	> 5	> 4	> 8	> 12	> 16	> 18	≤ 1
16 QAM	≤ 5	≤ 4	≤ 8	≤ 12	≤ 16	≤ 18	≤ 1
16 QAM	> 5	> 4	> 8	> 12	> 16	> 18	≤ 2

For the UE maximum output power modified by MPR, the power limits specified in subclause 6.2.5 apply.

6.2.4 UE Maximum Output Power with additional requirements

Additional ACLR and spectrum emission requirements can be signalled by the network to indicate that the UE shall also meet additional requirements in a specific deployment scenario. To meet these additional requirements, Additional Maximum Power Reduction A-MPR is allowed for the output power as specified in Table 6.2.2-1. Unless stated otherwise, an A-MPR of 0 dB shall be used.

For UE Power Class 3 the specific requirements and identified sub-clauses are specified in Table 6.2.4-1 along with the allowed A-MPR values that may be used to meet these requirements. The allowed A-MPR values specified below in Table 6.2.4-1 and 6.2.4-2 are in addition to the allowed MPR requirements specified in clause 6.2.3.

Table 6.2.4-1: Additional Maximum Power Reduction (A-MPR) / Spectrum Emission requirements

Network Signalling value	Requirements (sub-clause)	E-UTRA Band	Channel bandwidth (MHz)	Resources Blocks	A-MPR (dB)
NS_01	NA	NA	NA	NA	NA
	6.6.2.2.1	2, 4,10, 35, 36	3	>5	≤ 1
	6.6.2.2.1	2, 4,10, 35,36	5	>6	≤1
NS_03	6.6.2.2.1	2, 4,10, 35,36	10	>6	≤ 1
	6.6.2.2.1	2, 4,10,35,36	15	>8	≤1
	6.6.2.2.1	2, 4,10,35, 36	20	>10	≤ 1
NS_04	6.6.2.2.2	TBD	TBD	TBD	
NS_05	6.6.3.3.1	1	10,15,20	≥ 50	≤ 1
NS_06	6.6.2.2.3	12, 13, 14, 17	1.4, 3, 5, 10	n/a	n/a
NS_07	6.6.2.2.3	13	10	Table 6.2.4-2	Table 6.2.4-2
145_07	6.6.3.3.2	13	10	Table 0.2.4-2	Table 0.2.4-2
NS_32	-	-	-	-	-
			•	•	•

Table 6.2.4-2: A-MPR for 'NS0_7'

	Region A		on A Region B		Region C
RB_start ¹	(0 - 12	13 – 18	19 – 42	43 – 49
L_CRB ² [RBs]	6-8	1 to 5 and 9-50	≥8	≥18	≤2
A-MPR [dB]	≤ 8	≤ 12	≤ 12	≤ 6	≤ 3
Note					
1 RB_start indicates the lowest RB index of transmitted resource blocks					
2 L_CRB is the length of a contiguous resource block allocation					
For intra-subframe frequency hopping between two regions, notes 1 and 2 apply on a per slot basis.					
For intra-subframe frequency hopping between two regions, the larger A-MPR value of the two regions may be applied for both slots in the subframe.					

For the UE maximum output power modified by A-MPR, the power limits specified in subclause 6.2.5 apply.

6.2.5 Configured transmitted Power

The UE is allowed to set its configured maximum output power. The measured maximum output power P_{CMAX} shall be within the following bounds:

The configured maximum UE output power P_{CMAX} shall be within the limits defined as

$$P_{CMAX_L} - \ T(P_{CMAX_L}) \ \leq \ P_{CMAX} \ \leq \ P_{CMAX_H} + \ T(P_{CMAX_H})$$

Where

- $P_{CMAX_L} = MIN \{ P_{EMAX_H} \Delta T_C, P_{PowerClass} MPR A-MPR \Delta T_C \}$
- $P_{CMAX_H} = MIN \{P_{EMAX_H}, P_{PowerClass}\}$
- $T(P_{CMAX})$ is defined by the tolerance table below and applies to P_{CMAX_L} and P_{CMAX_H} separately
- $P_{EMAX H}$ is the value given to IE *P-Max*, defined in [7].
- $P_{PowerClass}$ is the maximum UE power specified in Table 6.2.2-1 without taking into account the tolerance specified in the Table 6.2.2-1
- $\Delta T_C = 1.5$ dB when Note 2 in Table 6.2.2-1 applies
- $\Delta T_C = 0$ dB when Note 2 in Table 6.2.2-1 does not apply

Table 6.2.5-1: P_{CMAX} tolerance

P _{CMAX} (dBm)	Tolerance T(P _{CMAX}) (dB)
21 ≤ P _{CMAX} ≤ 23	2.0
20 ≤ P _{CMAX} < 21	2.5
19 ≤ P _{CMAX} < 20	3.5
18 ≤ P _{CMAX} < 19	4.0
13 ≤ P _{CMAX} < 18	5.0
8 ≤ P _{CMAX} < 13	6.0
-40 ≤ P _{CMAX} < 8	7.0

- P_{UMAX} is the Maximum UE Power with possible power reduction due to modulation type, network signalling values and location near the edge of the band; it equals PCMAX when the IE P-Max, defined in [7], is not signalled.

6.3 Output power dynamics

6.3.1 (Void)

6.3.2 Minimum output power

The minimum controlled output power of the UE is defined as the broadband transmit power of the UE, i.e. the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

6.3.2.1 Minimum requirement

The minimum output power is defined as the mean power in one sub-frame (1ms). The minimum output power shall not exceed the values specified in Table 6.3.2.1-1.

Channel bandwidth / Minimum output power / measurement bandwidth MHz MHz MHz MHz MHz MHz Minimum output -40 dBm power Measurement 1.08 MHz 2.7 MHz 4.5 MHz 9.0 MHz 13.5 MHz 18 MHz bandwidth

Table 6.3.2.1-1: Minimum output power

6.3.3 Transmit OFF power

Transmit OFF power is defined as the mean power when the transmitter is OFF. The transmitter is considered to be OFF when the UE is not allowed to transmit or during periods when the UE is not transmitting a sub-frame. During measurements gaps, the UE is not considered to be OFF.

6.3.3.1. Minimum requirement

The transmit OFF power is defined as the mean power in a duration of at least one sub-frame (1ms) excluding any transient periods. The transmit OFF power shall not exceed the values specified in Table 6.3.3.1-1.

Channel bandwidth / Minimum output power / measurement bandwidth 1.4 3.0 15 20 MHz MHz MHz MHz **MHz** MHz Transmit OFF power -50 dBm Measurement 2.7 MHz 4.5 MHz 9.0 MHz 13.5 MHz 1.08 MHz 18 MHz bandwidth

Table 6.3.3.1-1: Transmit OFF power

6.3.4 ON/OFF time mask

6.3.4.1 General ON/OFF time mask

The General ON/OFF time mask defines the observation period between Transmit OFF and ON power and between Transmit ON and OFF power. ON/OFF scenarios include; the beginning or end of DTX, measurement gap, contiguous, and non contiguous transmission

The OFF power measurement period is defined in a duration of at least one sub-frame excluding any transient periods. The ON power measurement period is defined as the mean power over one sub-frame excluding any transient period.

There are no additional requirements on UE transmit power beyond that which is required in clause 6.2.2 and clause 6.6.2.3

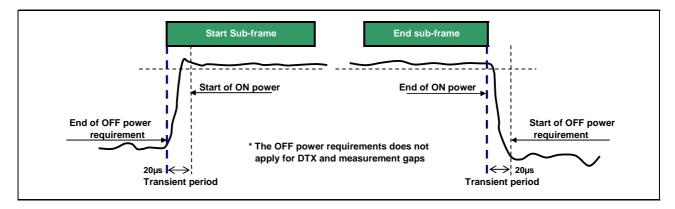


Figure 6.3.4.1-1: General ON/OFF time mask

6.3.4.2 PRACH and SRS time mask

6.3.4.2.1 PRACH time mask

The PRACH ON power is specified as the mean power over the PRACH measurement period excluding any transient periods as shown in Figure 6.3.4.2-1. The measurement period for different PRACH preamble format is specified in Table 6.3.4.2-1.

There are no additional requirements on UE transmit power beyond that which is required in clause 6.2.2 and clause 6.6.2.3

PRACH preamble format	Measurement period (ms)
0	0.9031
1	1.4844
2	1.8031
3	2.2844
4	0.1479

Table 6.3.4.2-1: PRACH ON power measurement period

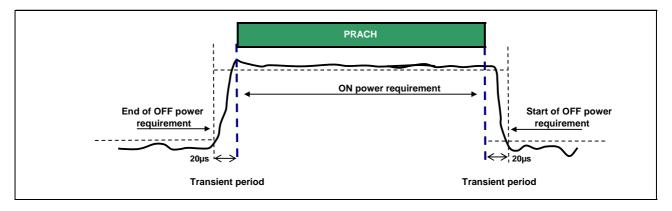


Figure 6.3.4.2-1: PRACH ON/OFF time mask

6.3.4.2.2 SRS time mask

In the case a single SRS transmission, the ON measurement period is defined as the mean power over the symbol duration excluding any transient period. Figure 6.3.4.2.2-1

In the case a dual SRS transmission, the ON measurement period is defined as the mean power for each symbol duration excluding any transient period. Figure 6.3.4.2.2-2

There are no additional requirements on UE transmit power beyond that which is required in clause 6.2.2 and clause 6.6.2.3

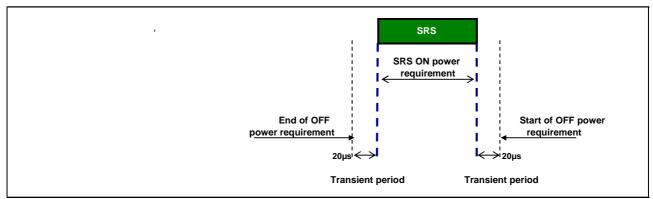


Figure 6.3.4.2.2-1: Single SRS time mask

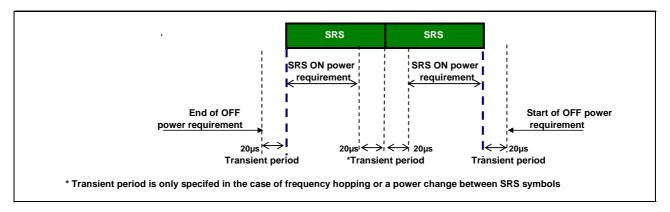


Figure 6.3.4.3-1: Dual SRS time mask for the case of UpPTS transmissions

6.3.4.3 Slot / Sub frame boundary time mask

The sub frame boundary time mask defines the observation period between the previous/subsequent sub–frame and the (reference) sub-frame. A transient period at a slot boundary within a sub-frame is only allowed in the case of Intra-sub frame frequency hopping. For the cases when the subframe contains SRS the time masks in subclause 6.3.4.4 apply.

There are no additional requirements on UE transmit power beyond that which is required in clause 6.2.2 and clause 6.6.2.3

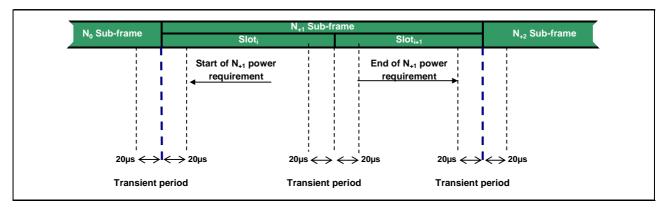


Figure 6.3.4.3-1: Transmission power template

6.3.4.4 PUCCH / PUSCH / SRS time mask

The PUCCH/PUSCH/SRS time mask defines the observation period between sounding reference symbol (SRS) and an adjacent PUSCH/PUCCH symbol and subsequent sub-frame.

There are no additional requirements on UE transmit power beyond that which is required in clause 6.2.2 and clause 6.6.2.3

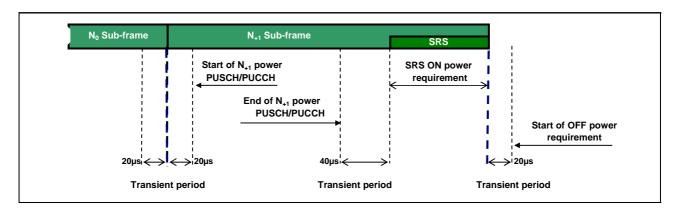


Figure 6.3.4.4-1: PUCCH/PUSCH/SRS time mask when there is a transmission before SRS but not after

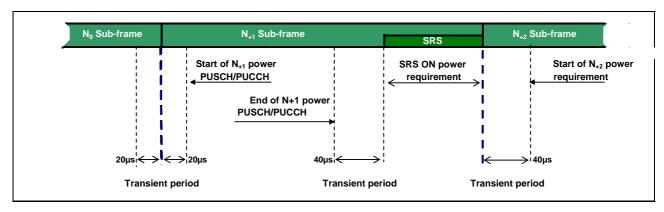


Figure 6.3.4.4-2: PUCCH/PUSCH/SRS time mask when there is transmission before and after SRS

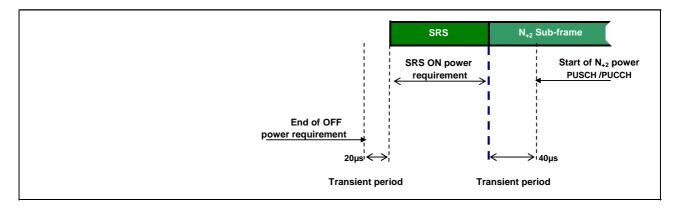


Figure 6.3.4.4-3: PUSCH/PUCCH/SRS time mask when there is a transmission after SRS but not before

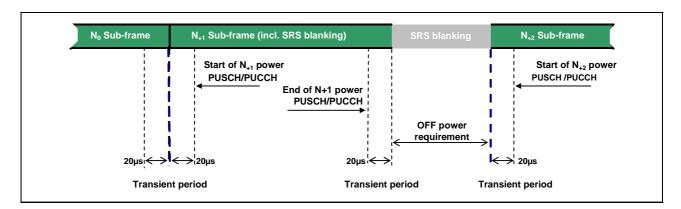


Figure 6.3.4.4-4: SRS time mask when there is FDD SRS blanking

6.3.5 Power Control

6.3.5.1 Absolute Power Tolerance

Absolute power tolerance is the ability of the UE transmitter to set its initial output power to a specific value for the first sub-frame at the start of a contiguous transmission or non-contiguous transmission with a transmission gap larger than 20ms. This tolerance includes the channel estimation error (the absolute RSRP accuracy requirement specified in clause 9.1 of TS 36.133)

In the case of a PRACH transmission, the absolute tolerance is specified for the first preamble. The absolute power tolerance includes the channel estimation error (the absolute RSRP accuracy requirement specified in clause 9.1 of TS 36.133).

6.3.5.1.1 Minimum requirements

The minimum requirement for absolute power tolerance is given in Table 6.3.5.1.1-1 over the power range bounded by the Maximum output power as defined in sub-clause 6.2.2 and the Minimum output power as defined in sub-clause 6.3.2.

For operating bands under Note 2 in Table 6.2.2-1, the absolute power tolerance as specified in Table 6.3.5.1.1-1 is relaxed by reducing the lower limit by 1.5 dB when the transmission bandwidth is confined within F_{UL_low} and F_{UL_low} + 4 MHz or F_{UL_high} – 4 MHz and F_{UL_high} .

Table 6.3.5.1.1-1: Absolute power tolerance

Conditions	Tolerance
Normal	± 9.0 dB
Extreme	± 12.0 dB

6.3.5.2 Relative Power tolerance

The relative power tolerance is the ability of the UE transmitter to set its output power in a target sub-frame relatively to the power of the most recently transmitted reference sub-frame if the transmission gap between these sub-frames is ≤ 20 ms.

For PRACH transmission, the relative tolerance is the ability of the UE transmitter to set its output power relatively to the power of the most recently transmitted preamble. The measurement period for the PRACH preamble is specified in Table 6.3.4.2-1.

6.3.5.2.1 Minimum requirements

The requirements specified in Table 6.3.5.2.1-1 apply when the power of the target and reference sub-frames are within the power range bounded by the Minimum output power as defined in subclause 6.3.2 and the measured P_{UMAX} as defined in subclause 6.2.5 (i.e, the actual power as would be measured assuming no measurement error). This power shall be within the power limits specified in subclause 6.2.5.

To account for RF Power amplifier mode changes 2 exceptions are allowed for each of two test patterns. The test patterns are a monotonically increasing power sweep and a monotonically decreasing power sweep over a range bounded by the requirements of minimum power and maximum power specified in clauses 6.3.2 and 6.2.2. For these exceptions the power tolerance limit is a maximum of ± 6.0 dB in Table 6.3.5.2.1-1

Table 6.3.5.2.1-1 Relative Power Tolerance for Transmission (normal conditions)

Power step ΔP (Up or down) [dB]	All combinations of PUSCH and PUCCH transitions [dB]	All combinations of PUSCH/PUCCH and SRS transitions between sub- frames [dB]	PRACH [dB]
ΔP < 2	±2.5 (Note 3)	±3.0	±2.5
2 ≤ ΔP < 3	±3.0	±4.0	±3.0
3 ≤ ΔP < 4	±3.5	±5.0	±3.5
4 ≤ ΔP ≤ 10	±4.0	±6.0	±4.0
10 ≤ ΔP < 15	±5.0	±8.0	±5.0
15 ≤ ΔP	±6.0	±9.0	±6.0

Note 1: For extreme conditions an additional ± 2.0 dB relaxation is allowed For operating bands under Note 2 in Table 6.2.2-1, the relative power tolerance is relaxed by reducing the lower limit by 1.5 dB if the transmission bandwidth of either the reference or target sub-frames is confined within F_{UL_low} and F_{UL_low} + 4 MHz or F_{UL_high} – 4 MHz and F_{UL_high}.

Note 3: For PUSCH to PUSCH transitions with the allocated resource blocks fixed in frequency and no transmission gaps other than those generated by downlink subframes, DwPTS fields or Guard Periods for TDD: for a power step $\Delta P \le 1$ dB, the relative power tolerance for transmission is ± 1.0 dB.

The power step (ΔP) is defined as the difference in the calculated setting of the UE Transmit power between the target and reference sub-frames with the power setting according to Clause 5.1 of [TS 36.213]. The error is the difference between ΔP and the power change measured at the UE antenna port with the power of the cell-specific reference signals kept constant. The error shall be less than the relative power tolerance specified in Table 6.3.5.2-1.

For sub-frames not containing an SRS symbol, the power change is defined as the relative power difference between the mean power of the original reference sub-frame and the mean power of the target subframe not including transient durations. The mean power of successive sub-frames shall be calculated according to Figure 6.3.4.3-1 and Figure 6.3.4.1-1 if there is a transmission gap between the reference and target sub-frames.

If at least one of the sub-frames contains an SRS symbol, the power change is defined as the relative power difference between the mean power of the last transmission within the reference sub-frame and the mean power of the first transmission within the target sub-frame not including transient durations. A transmission is defined as PUSCH, PUCCH or an SRS symbol. The mean power of the reference and target sub-frames shall be calculated according to Figures 6.3.4.1-1, 6.3.4.2-1, 6.3.4.4-1, 6.3.4.4-2 and 6.3.4.4-3 for these cases.

6.3.5.3 Aggregate power control tolerance

Aggregate power control tolerance is the ability of a UE to maintain its power in non-contiguous transmission within 21 ms in response to 0 dB TPC commands with respect to the first UE transmission, when the power control parameters specified in TS 36.213 are constant.

6.3.5.3.1 Minimum requirement

The UE shall meet the requirements specified in Table 6.3.5.3.1-1 foraggregate power control over the power range bounded by the minimum output power as defined in subclause 6.3.2 and the maximum output power as defined in subclause 6.2.2.

Table 6.3.5.3.1-1: Aggregate Power Control Tolerance

TPC command	UL channel	Aggregate power tolerance within 21 ms	
0 dB	PUCCH	±2.5 dB	
0 dB	PUSCH	±3.5 dB	
Note: 1. The UE transmission gap is 4 ms. TPC command is transmitted via PDCCH 4 subframes preceding each PUCCH/PUSCH transmission.			

6.4 Void

6.5 Transmit signal quality

6.5.1 Frequency error

The UE modulated carrier frequency shall be accurate to within ± 0.1 PPM observed over a period of one time slot (0.5 ms) compared to the carrier frequency received from the E-UTRA Node B

6.5.2 Transmit modulation quality

Transmit modulation quality defines the modulation quality for expected in-channel RF transmissions from the UE. The transmit modulation quality is specified in terms of:

- Error Vector Magnitude (EVM) for the allocated resource blocks (RBs)
- EVM equalizer spectrum flatness derived from the equalizer coefficients generated by the EVM measurement process
- Carrier leakage (caused by IQ offset)
- In-band emissions for the non-allocated RB

All the parameters defined in clause 6.5.2 are defined using the measurement methodology specified in Annex F.

6.5.2.1 Error Vector Magnitude

The Error Vector Magnitude is a measure of the difference between the reference waveform and the measured waveform. This difference is called the error vector. Before calculating the EVM the measured waveform is corrected by the sample timing offset and RF frequency offset. Then the IQ origin offset shall be removed from the measured waveform before calculating the EVM.

The measured waveform is further modified by selecting the absolute phase and absolute amplitude of the Tx chain. The EVM result is defined after the front-end IDFT as the square root of the ratio of the mean error vector power to the mean reference power expressed as a %.

The basic EVM measurement interval in the time domain is one preamble sequence for the PRACH and is one slot for the PUCCH and PUSCH in the time domain. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the EVM measurement interval is reduced by one symbol, accordingly. The PUSCH or PUCCH EVM measurement interval is also reduced when the mean power between slots is expected to change. In the case of PUSCH transmission, the measurement interval is reduced by a time interval equal to the sum of 5 μ s and the applicable exclusion period defined in subclause 6.3.4, adjacent to the boundary where the power change is expected to occur. The PUSCH exclusion period is applied to the signal obtained after the front-end IDFT. In the case of PUCCH transmission with power change, the PUCCH EVM measurement interval is reduced by one symbol adjacent to the boundary where the power change is expected to occur.

6.5.2.1.1 Minimum requirement

The RMS average of the basic EVM measurements for 10 sub-frames excluding any transient period for the average EVM case, and 60 sub-frames excluding any transient period for the reference signal EVM case, for the different modulations schemes shall not exceed the values specified in Table 6.5.2.1.1-1 for the parameters defined in Table 6.5.2.1.1-2. For EVM evaluation purposes, [all PRACH preamble formats 0-4 and] all PUCCH formats 1, 1a, 1b, 2, 2a and 2b are considered to have the same EVM requirement as QPSK modulated.

Table 6.5.2.1.1-1: Minimum requirements for Error Vector Magnitude

Parameter	Unit	Average EVM Level	Reference Signal EVM Level
QPSK or BPSK	%	17.5	[17.5]
16QAM	%	12.5	[12.5]

Table 6.5.2.1.1-2: Parameters for Error Vector Magnitude

Parameter	Unit	Level
UE Output Power	dBm	≥ -40
Operating conditions		Normal conditions

6.5.2.2 Carrier leakage

Carrier leakage (The IQ origin offset) is an additive sinusoid waveform that has the same frequency as the modulated waveform carrier frequency. The measurement interval is one slot in the time domain.

6.5.2.2.1 Minimum requirements

The relative carrier leakage power is a power ratio of the additive sinusoid waveform and the modulated waveform. The relative carrier leakage power shall not exceed the values specified in Table 6.5.2.2.1-1.

Table 6.5.2.2.1-1: Minimum requirements for Relative Carrier Leakage Power

Parameters	Relative Limit (dBc)

Output power >0 dBm	-25
-30 dBm ≤ Output power ≤0 dBm	-20
-40 dBm ≤ Output power < -30 dBm	-10

6.5.2.3 In-band emissions

The in-band emission is defined as the average across 12 sub-carrier and as a function of the RB offset from the edge of the allocated UL transmission bandwidth. The in-band emission is measured as the ratio of the UE output power in a non-allocated RB to the UE output power in an allocated RB.

The basic in-band emissions measurement interval is defined over one slot in the time domain. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one SC-FDMA symbol, accordingly.

6.5.2.3.1 Minimum requirements

The relative in-band emission shall not exceed the values specified in Table 6.5.2.3.1-1.

Table 6.5.2.3.1-1: Minimum requirements for in-band emissions

Parameter Description	Unit	Limit (Note 1)	Applicable Frequencies	
General	dB	$\max \left\{ -25 - 10 \cdot \log_{10} \left(N_{RB} / L_{CRBs} \right), \\ 20 \cdot \log_{10} EVM - 3 - 5 \cdot \left(\left \Delta_{RB} \right - 1 \right) / L_{CRBs}, \\ -57 \ dBm \ / 180 \ kHz - P_{RB} \right\}$	Any non-allocated (Note 2)	
IQ Image	dB	-25	Image frequencies (Notes 2, 3)	
Carrier leakage	dBc	-25 Output power > 0 dBm -20 -30 dBm ≤ Output power ≤ 0 dBm -10 -40 dBm ≤ Output power < -30 dBm	Carrier frequency (Notes 4, 5)	

- Note 1: An in-band emissions combined limit is evaluated in each non-allocated RB. For each such RB, the minimum requirement is calculated as the higher of P_{RB} 30 dB and the power sum of all limit values (General, IQ Image or Carrier leakage) that apply. P_{RB} is defined in Note 10.
- Note 2: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one non-allocated RB to the measured average power per allocated RB, where the averaging is done across all allocated RBs.
- Note 3: The applicable frequencies for this limit are those that are enclosed in the reflection of the allocated bandwidth, based on symmetry with respect to the centre carrier frequency, but excluding any allocated RBs.
- Note 4: The measurement bandwidth is 1 RB and the limit is expressed as a ratio of measured power in one nonallocated RB to the measured total power in all allocated RBs.
- Note 5: The applicable frequencies for this limit are those that are enclosed in the RBs containing the DC frequency if N_{RB} is odd, or in the two RBs immediately adjacent to the DC frequency if N_{RB} is even, but excluding any allocated RB.
- Note 6: $L_{\it CRBs}$ is the Transmission Bandwidth (see Figure 5.6-1).
- Note 7: N_{RR} is the Transmission Bandwidth Configuration (see Figure 5.6-1).
- Note 8: *EVM* is the limit specified in Table 6.5.2.1.1-1 for the modulation format used in the allocated RBs.
- Note 9: Δ_{RB} is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g.
 - $\Delta_{\it RB}=1$ or $\Delta_{\it RB}=-1$ for the first adjacent RB outside of the allocated bandwidth.
- Note 10: $P_{\rm RB}$ is the transmitted power per 180 kHz in allocated RBs, measured in dBm.

6.5.2.4 EVM equalizer spectrum flatness

The zero-forcing equalizer correction applied in the EVM measurement process (as described in Annex F) must meet a spectral flatness requirement for the EVM measurement to be valid. The EVM equalizer spectrum flatness is defined in

terms of the maximum peak-to-peak ripple of the equalizer coefficients (dB) across the allocated uplink block. The basic measurement interval is the same as for EVM.

6.5.2.4.1 Minimum requirements

The peak-to-peak variation of the EVM equalizer coefficients contained within the frequency range of the uplink allocation shall not exceed the maximum ripple specified in Table 6.5.2.4.1-1 for normal conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 5 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 7 dB (see Figure 6.5.2.4.1-1).

The EVM equalizer spectral flatness shall not exceed the values specified in Table 6.5.2.4.1-2 for extreme conditions. For uplink allocations contained within both Range 1 and Range 2, the coefficients evaluated within each of these frequency ranges shall meet the corresponding ripple requirement and the following additional requirement: the relative difference between the maximum coefficient in Range 1 and the minimum coefficient in Range 2 must not be larger than 6 dB, and the relative difference between the maximum coefficient in Range 2 and the minimum coefficient in Range 1 must not be larger than 10 dB (see Figure 6.5.2.4.1-1).

Table 6.5.2.4.1-1: Minimum requirements for EVM equalizer spectrum flatness (normal conditions)

	Frequency Range	Maximum Ripple [dB]			
F _{UL_Mea}	as – F _{UL_Low} ≥ 3 MHz and F _{UL_High} – F _{UL_Meas} ≥ 3 MHz (Range 1)	4 (p-p)			
F _{UL_Me}	_{eas} – F _{UL_Low} < 3 MHz or F _{UL_High} – F _{UL_Meas} < 3 MHz (Range 2)	8 (p-p)			
Note 1:	Note 1: FUL_Meas refers to the sub-carrier frequency for which the equalizer coefficient is evaluated				
Note 2:	Note 2: Ful_Low and Ful_High refer to each E-UTRA frequency band specified in Table 5.5-1				

Table 6.5.2.4.1-2: Minimum requirements for EVM equalizer spectrum flatness (extreme conditions)

	Frequency Range	Maximum Ripple [dB]
F _{UL_Mea}	s – F _{UL_Low} ≥ 5 MHz and F _{UL_High} – F _{UL_Meas} ≥ 5 MHz	4 (p-p)
	(Range 1)	
F _{UL_Me}	as - F _{UL_Low} < 5 MHz or F _{UL_High} - F _{UL_Meas} < 5 MHz	12 (p-p)
	(Range 2)	
Note 1:	F _{UL_Meas} refers to the sub-carrier frequency for which	the equalizer coefficient is
	evaluated	
Note 2:	F _{UL_Low} and F _{UL_High} refer to each E-UTRA frequency	band specified in Table
	5.5-1	

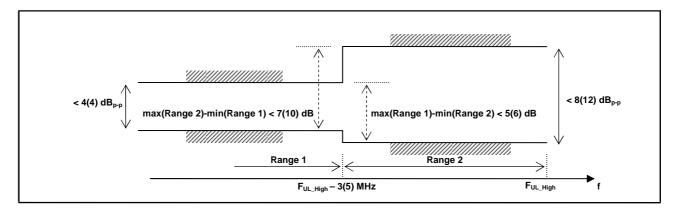


Figure 6.5.2.4.1-1: The limits for EVM equalizer spectral flatness with the maximum allowed variation of the coefficients indicated (the ETC minimum requirement within brackets).

6.6 Output RF spectrum emissions

The output UE transmitter spectrum consists of the three components; the emission within the occupied bandwidth (channel bandwidth), the Out Of Band (OOB) emissions and the far out spurious emission domain.

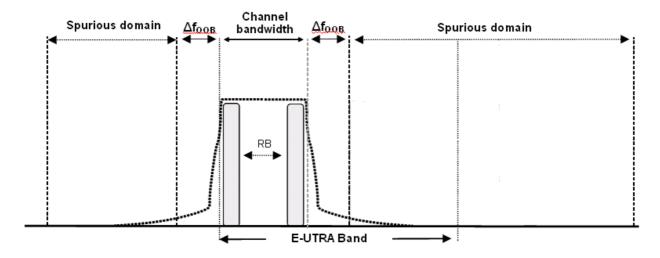


Figure 6.6-1: Transmitter RF spectrum

6.6.1 Occupied bandwidth

Occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel. The occupied bandwidth for all transmission bandwidth configurations (Resources Blocks) shall be less than the channel bandwidth specified in Table 6.6.1-1

Table 6.6.1-1: Occupied channel bandwidth

	Occupied channel bandwidth / channel bandwidth					
	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Channel bandwidth (MHz)	1.4	3	5	10	15	20

6.6.2 Out of band emission

The Out of band emissions are unwanted emissions immediately outside the assigned channel bandwidth resulting from the modulation process and non-linearity in the transmitter but excluding spurious emissions. This out of band emission limit is specified in terms of a spectrum emission mask and an Adjacent Channel Leakage power Ratio.

6.6.2.1 Spectrum emission mask

The spectrum emission mask of the UE applies to frequencies (Δf_{OOB}) starting from the \pm edge of the assigned E-UTRA channel bandwidth. For frequencies greater than (Δf_{OOB}) as specified in Table 6.6.2.1.1-1 the spurious requirements in clause 6.6.3 are applicable.

6.6.2.1.1 Minimum requirement

The power of any UE emission shall not exceed the levels specified in Table 6.6.2.1.1-1 for the specified channel bandwidth.

Spectrum emission limit (dBm)/ Channel bandwidth Measurement Δf_{OOB} 1.4 3.0 5 10 15 20 MHz (MHz) MHz MHz MHz MHz MHz bandwidth -10 -13 -15 -18 -20 -21 30 kHz ± 0-1 -10 -10 -10 \pm 1-2.5 -10 -10 -10 1 MHz \pm 2.5-2.8 -25 -10 -10 -10 -10 -10 1 MHz $\pm 2.8-5$ -10 -10 -10 -10 -10 1 MHz -25 -13 -13 -13 -13 1 MHz ± 5-6 -13 ± 6-10 -25 -13 -13 1 MHz -25 -13 -13 1 MHz ± 10-15 -25 -13 1 MHz ± 15-20 -25 1 MHz ± 20-25

Table 6.6.2.1.1-1: General E-UTRA spectrum emission mask

Note: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

6.6.2.2 Additional Spectrum Emission Mask

This requirement is specified in terms of an "additional spectrum emission" requirement.

6.6.2.2.1 Minimum requirement (network signalled value "NS_03")

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS_03" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.2.2.1-1.

Spectrum emission limit (dBm)/ Channel bandwidth 1.4 3.0 5 10 15 20 Measurement Δf_{OOB} (MHz) MHz MHz MHz MHz MHz MHz bandwidth -10 -13 -15 -18 -20 -21 30 kHz ± 0-1 -13 -13 -13 -13 1 MHz ± 1-2.5 -13 -13 ± 2.5-5 -25 -13 -13 -13 -13 1 MHz -13 -25 -13 -13 -13 -13 1 MHz \pm 5-6 ± 6-10 -25 -13 -13 -13 1 MHz -25 -13 -13 1 MHz $\pm 10-15$ -25 -13 1 MHz ± 15-20 -25 ± 20-25 1 MHz

Table 6.6.2.2.1-1: Additional requirements

Note: As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

6.6.2.2.2 Minimum requirement (network signalled value "NS_04")

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS_04" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.2.2.2-1.

	Spectrum emission limit (dBm)/ Channel bandwidth							
Δf _{OOB} (MHz)	1.4 MHz	3.0 MHz	5 MHz	10 MHz	15 MHz	20 MHz	Measurement bandwidth	
± 0-1	-10	-13	-15	-18	-20	-21	30 kHz	
± 1-2.5	-13	-13	-13	-13	-13	-13	1 MHz	
± 2.5-5	-25	-13	-13	-13	-13	-13	1 MHz	
± 5-6		-25	-25	-25	-25	-25	1 MHz	
± 6-10			-25	-25	-25	-25	1 MHz	
± 10-15				-25	-25	-25	1 MHz	
± 15-20					-25	-25	1 MHz	
± 20-25						-25	1 MHz	

Table 6.6.2.2.2-1: Additional requirements

Note:

As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

6.6.2.2.3 Minimum requirement (network signalled value "NS 06" or 'NS 07')

Additional spectrum emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

When "NS_06" or 'NS_07' is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.2.2.3-1.

	Spectrum emission limit (dBm)/ Channel bandwidth						
Δf _{OOB} (MHz)	1.4 MHz	3.0 MHz	5 MHz	10 MHz	Measurement bandwidth		
, ,							
± 0-0.1	-13	-13	-15	-18	30 kHz		
± 0.1-1	-13	-13	-13	-13	100 kHz		
± 1-2.5	-13	-13	-13	-13	1 MHz		
± 2.5-5	-25	-13	-13	-13	1 MHz		
± 5-6		-25	-13	-13	1 MHz		
± 6-10			-25	-13	1 MHz		
+ 10-15				-25	1 MHz		

Table 6.6.2.2.3-1: Additional requirements

Note:

As a general rule, the resolution bandwidth of the measuring equipment should be equal to the measurement bandwidth. However, to improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

6.6.2.3 Adjacent Channel Leakage Ratio

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency. ACLR requirements are specified for two scenarios for an adjacent E -UTRA and /or UTRA channel as shown in Figure 6.6.2.3 -1.

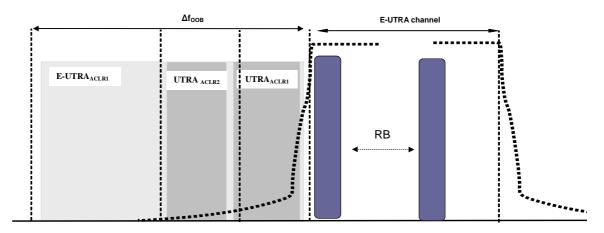


Figure 6.6.2.3-1: Adjacent Channel Leakage requirements

6.6.2.3.1 Minimum requirement E-UTRA

E-UTRA Adjacent Channel Leakage power Ratio (E-UTRA_{ACLR}) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency at nominal channel spacing. The assigned E-UTRA channel power and adjacent E-UTRA channel power are measured with rectangular filters with measurement bandwidths specified in Table 6.6.2.3.1-1. If the measured adjacent channel power is greater than -50dBm then the E-UTRA_{ACLR} shall be higher than the value specified in Table 6.6.2.3.1-1.

Table 6.6.2.3.1-1: General requirements for E-UTRA_{ACLR}

	Channel bandwidth / E-UTRA _{ACLR1} / measurement bandwidth						
	1.4	3.0	5	10	15	20	
	MHz	MHz	MHz	MHz	MHz	MHz	
E-UTRA _{ACLR1}	30 dB	30 dB	30 dB	30 dB	30 dB	30 dB	
Adjacent channel	+1.4	+3.0	+5	+10	+15	+20	
centre frequency	/	/	/	/	/	/	
offset (in MHz)	-1.4	-3.0	-5	-10	-15	-20	

6.6.2.3.2 Minimum requirements UTRA

UTRA Adjacent Channel Leakage power Ratio (UTRA_{ACLR}) is the ratio of the filtered mean power centred on the assigned E-UTRA channel frequency to the filtered mean power centred on an adjacent(s) UTRA channel frequency.

UTRA Adjacent Channel Leakage power Ratio is specified for both the first UTRA adjacent channel (UTRA_{ACLR1}) and the 2^{nd} UTRA adjacent channel (UTRA_{ACLR2}). The UTRA channel power is measured with a RRC bandwidth filter with roll-off factor α =0.22. The assigned E-UTRA channel power is measured with a rectangular filter with measurement bandwidth specified in Table 6.6.2.3.2-1. If the measured UTRA channel power is greater than –50dBm then the UTRA_{ACLR} shall be higher than the value specified in Table 6.6.2.3.2-1.

Table 6.6.2.3.2-1: Requirements for UTRA_{ACLR1/2}

		Channel bandwidth / UTRA _{ACLR1/2} / measurement bandwidth							
	1.4	3.0	5	10	15	20			
	MHz	MHz	MHz	MHz	MHz	MHz			
UTRA _{ACLR1}	33 dB	33 dB	33 dB	33 dB	33 dB	33 dB			
Adjacent channel centre frequency offset (in MHz)	0.7+BW _{UTRA} /2 / -0.7-BW _{UTRA} /2	1.5+BW _{UTRA} /2 / -1.5-BW _{UTRA} /2	+2.5+BW _{UTRA} /2 / -2.5-BW _{UTRA} /2	+5+BW _{UTRA} /2 / -5-BW _{UTRA} /2	+7.5+BW _{UTRA} /2 / -7.5-BW _{UTRA} /2	+10+BW _{UTRA} /2 / -10-BW _{UTRA} /2			
UTRA _{ACLR2}	-	-	36 dB	36 dB	36 dB	36 dB			
Adjacent channel centre frequency offset (in MHz)	-	-	+2.5+3*BW _{UTRA} /2 / -2.5-3*BW _{UTRA} /2	+5+3*BW _{UTRA} /2 / -5-3*BW _{UTRA} /2	+7.5+3*BW _{UTRA} /2 / -7.5-3*BW _{UTRA} /2	+10+3*BW _{UTRA} /2 / -10-3*BW _{UTRA} /2			
E-UTRA channel Measurement bandwidth	1.08 MHz	2.7 MHz	4.5 MHz	9.0 MHz	13.5 MHz	18 MHz			
UTRA 5MHz channel Measurement bandwidth*	3.84 MHz	3.84 MHz	3.84 MHz	3.84 MHz	3.84 MHz	3.84 MHz			
UTRA 1.6MHz channel measurement bandwidth**	1.28 MHz	1.28 MHz	1.28 MHz	1.28MHz	1.28MHz	1.28MHz			

^{*} Note: Applicable for E-UTRA FDD co-existence with UTRA FDD in paired spectrum.

6.6.2.4 Additional ACLR requirements

This requirement is specified in terms of an additional $UTRA_{ACLR2}$ requirement.

6.6.2.4.1 Void

6.6.3 Spurious emissions

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out of band emissions.

^{**} Note: Applicable for E-UTRA TDD co-existence with UTRA TDD in unpaired spectrum.

The spurious emission limits are specified in terms of general requirements inline with SM.329 [2] and E-UTRA operating band requirement to address UE co-existence.

Unless otherwise stated, the spurious emission limits apply for the frequency ranges that are more than Δf_{OOB} (MHz) in Table 6.6.3.1-1 from the edge of the channel bandwidth. To improve measurement accuracy, sensitivity and efficiency, the resolution bandwidth may be smaller than the measurement bandwidth. When the resolution bandwidth is smaller than the measurement bandwidth, the result should be integrated over the measurement bandwidth in order to obtain the equivalent noise bandwidth of the measurement bandwidth.

6.6.3.1 Minimum requirements

Table 6.6.3.1-1: Boundary between E-UTRA Δf_{OOB} and spurious emission domain

Channel	1.4	3.0	5	10	15	20
bandwidth	MHz	MHz	MHz	MHz	MHz	MHz
Δf_{OOB} (MHz)	2.8	6	10	15	20	25

The spurious emission limits in Table 6.6.3.1-2 apply for all transmitter band configurations (RB) and channel bandwidths.

NOTE: In order that the measurement of spurious emissions falls within the frequency ranges that are more than Δf_{OOB} (MHz) from the edge of the channel bandwidth, the minimum offset of the measurement frequency from each edge of the channel should be $\Delta f_{OOB} + MBW/2$. MBW denotes the measurement bandwidth defined in Table 6.6.3.1-2.

Table 6.6.3.1-2: Spurious emissions limits

Frequency Range	Maximum Level	Measurement Bandwidth
$9 \text{ kHz} \le f < 150 \text{ kHz}$	-36 dBm	1 kHz
$150 \text{ kHz} \le f < 30 \text{ MHz}$	-36 dBm	10 kHz
$30 \text{ MHz} \le f < 1000 \text{ MHz}$	-36 dBm	100 kHz
$1 \text{ GHz} \le f < 12.75 \text{ GHz}$	-30 dBm	1 MHz

6.6.3.2 Spurious emission band UE co-existence

This clause specifies the requirements for the specified E-UTRA band, for coexistence with protected bands

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

Table 6.6.3.2-1: Requirements

		Spurio	us e	mission			
E-UTRA Band	Protected band	Frequency range (MHz)			Maximum Level (dBm)	Measurement Bandwidth (MHz)	Comment
1	E-UTRA Band 1, 3, 7, 8, 9, 11, 34, 38, 40	FDL_low	-	FDL_high	-50	1	
	Frequency range	860	-	895	-50	1	

	Frequency range	1475.9	-	1510.9	-50	1	
		1884.5	-	1919.6			Note ⁶ ,Note ⁷
	Frequency range	1884.5	-	1915.7	-41	0.3	Note ⁶ , Note ⁸
	E-UTRA band 33	1900	-	1920	-50	1	Note ³
	E-UTRA band 39	1880	-	1920	-50	1	Note ³
2	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
3	E-UTRA Band 1, 3, 7, 8, 33, 34, 38	FDL_low	-	FDL_high	-50	1	
4	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
5	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
6	E-UTRA Band 1, 9, 11, 34	FDL_low	-	FDL_high	-50	1	
	Frequency range	860	-	875	-37	1	
	Frequency range	875	-	895	-50	1	
		1884.5	-	1919.6			Note ⁷
	Frequency range	1884.5	-	1915.7	-41	0.3	Note ⁸
7	E-UTRA Band 1, 3, 7, 8, 33, 34	FDL_low	-	FDL_high	-50	1	
	E-UTRA Band 38	2570	-	2620	-50	1	Note ³
8	E-UTRA Band 1, 8, 7, 33, 34, 38, 39, 40	FDL_low	-	FDL_high	-50	1	
	E-UTRA band 3	1805	_	1830	-50	1	Note ^{2,4}
	E-UTRA band 3	1805	_	1880	-36	0.1	Note ^{2,4}
	E-UTRA band 3	1830	-	1880	-50	1	Note ⁴
	E-UTRA band 7	2640	-	2690	-50	1	Note 4
	E-UTRA band 7	2640	-	2690	-36	0.1	Note 2,4
9	E-UTRA Band 1, 9, 11, 34	FDL_low	-	FDL_high	-50	1	
	Frequency range	860	-	895	-50	1	
	Frequency range	1475.9	-	1510.9	-50	1	
		1884.5	-	1919.6			Note ⁷
	Frequency range	1884.5	-	1915.7	-41	0.3	Note ⁸
10	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
11	E-UTRA Band 1, 9, 11, 34	FDL_low	-	FDL_high	-50	1	
	Frequency range	1457.9	-	1510.9	-50	1	
	Frequency range	860	-	895	-50	1	
		1884.5		1919.6			Note ⁷
	Frequency range	1884.5	-	1915.7	-41	0.3	Note ⁸
12	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
13	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
			l	_	-35	0.00625	

14	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
	Frequency range	763	-	775	-35	0.00625	
17	E-UTRA Band 2, 4, 5, 10, 12, 13, 14, 17	FDL_low	-	FDL_high	-50	1	
33	E-UTRA Band 1, 3, 7, 8, 34, 38, 39, 40	FDL_low	-	FDL_high	-50	1	Note ⁵
34	E-UTRA Band 1, 3, 7, 8, 9, 11, 33, 38,39, 40	FDL_low	-	FDL_high	-50	1	Note ⁵
	Frequency range	860	-	895	-50	1	
	Frequency range	1475.9	-	1510.9	-50	1	
		1884.5	-	1919.6			Note ⁷
	Frequency range	1884.5	-	1915.7	-41	0.3	Note ⁸
35							
36							
37			-				
38	E-UTRA Band 1, 3, 7, 8, 33, 34	FDL_low	-	FDL_high	-50	1	
39	E-UTRA Band 34, 40	FDL_low	-	FDL_high	-50	1	
40	E-UTRA Band 1, 3, 33, 34, 39	FDL_low	-	FDL_high	-50	1	

Note

- FDL_low and FDL_high refer to each E-UTRA frequency band specified in Table 5.5-1
- As exceptions, measurements with a level up to the applicable requirements defined in Table 6.6.3.1-2 are permitted for each assigned E-UTRA carrier used in the measurement due to 2nd or 3rd harmonic spurious emissions. An exception is allowed if there is at least one individual RE within the transmission bandwidth (see Figure 5.6-1) for which the 2nd or 3rd harmonic, i.e. the frequency equal to two or three times the frequency of that RE, is within the measurement bandwidth.
- To meet these requirements some restriction will be needed for either the operating band or protected band
- Requirements are specified in terms of E-UTRA sub-bands
- ⁵ For non synchronised TDD operation to meet these requirements some restriction will be needed for either the operating band or protected band
- Applicable when NS_05 in section 6.6.3.3.1 is signalled by the network.
- Applicable when co-existence with PHS system operating in. 1884.5-1919.6MHz.
- Applicable when co-existence with PHS system operating in 1884.5-1915.7MHz.

6.6.3.3 Additional spurious emissions

These requirements are specified in terms of an additional spectrum emission requirement. Additional spurious emission requirements are signalled by the network to indicate that the UE shall meet an additional requirement for a specific deployment scenario as part of the cell handover/broadcast message.

6.6.3.3.1 Minimum requirement (network signalled value "NS_05")

When "NS_05" is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.3.3.1-1. This requirement also applies for the frequency ranges that are less than Δf_{OOB} (MHz) in Table 6.6.3.1-1 from the edge of the channel bandwidth.

Table 6.6.3.3.1-1: Additional requirements (PHS)

Frequency band (MHz)	Cha	annel ba emiss	Measurement bandwidth		
	5 MHz	10 15 20			
$1884.5 \le f \le 1919.6^{*1}$	-41	-41	-41	-41	300 KHz
1884.5 ≤ f ≤1915.7*2	-41	-41	-41	-41	300 KHz

Note

- Applicable when the lower edge of the assigned E-UTRA UL channel bandwidth frequency is larger than or equal to the upper edge of PHS band (1919.6 MHz) + 4 MHz + the Channel BW assigned, where Channel BW is as defined in Subclause 5.6. Operations below this point are for further study.
- Applicable when the lower edge of the assigned E-UTRA UL channel bandwidth frequency is larger than or equal to the upper edge of PHS band (1915.7 MHz) + 4 MHz + the Channel BW assigned, where Channel BW is as defined in Subclause 5.6. Operations below this point are for further study.

NOTE: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth (300 kHz).

6.6.3.3.2 Minimum requirement (network signalled value 'NS_07')

When 'NS_07' is indicated in the cell, the power of any UE emission shall not exceed the levels specified in Table 6.6.3.3.2-1.

Table 6.6.3.3.2-1: Additional requirements

Frequency band (MHz)	Channel bandwidth / Spectrum emission limit (dBm) 10 MHz	Measurement bandwidth
763 ≤ f ≤ 775	-57	6.25 kHz

6.7 Transmit intermodulation

The transmit intermodulation performance is a measure of the capability of the transmitter to inhibit the generation of signals in its non linear elements caused by presence of the wanted signal and an interfering signal reaching the transmitter via the antenna.

6.7.1 Minimum requirement

User Equipment(s) transmitting in close vicinity of each other can produce intermodulation products, which can fall into the UE, or eNode B receive band as an unwanted interfering signal. The UE intermodulation attenuation is defined by the ratio of the mean power of the wanted signal to the mean power of the intermodulation product when an interfering CW signal is added at a level below the wanted signal at each of the transmitter antenna port with the other antenna port(s) if any is terminated. Both the wanted signal power and the intermodulation product power are measured through E-UTRA rectangular filter with measurement bandwidth shown in Table 6.7.1-1.

The requirement of transmitting intermodulation is prescribed in Table 6.7.1-1.

Table 6.7.1-1: Transmit Intermodulation

BW Channel (UL)	5MHz		10MHz		15MHz		20MHz	
Interference Signal Frequency Offset	5MHz	10MHz	10MHz	20MHz	15MHz	30MHz	20MHz	40MHz
Interference CW Signal Level				-400	dBc			
Intermodulation Product	-29dBc	-35dBc	-29dBc	-35dBc	-29dBc	-35dBc	-29dBc	-35dBc
Measurement bandwidth	4.5MHz	4.5MHz	9.0MHz	9.0MHz	13.5MHz	13.5MHz	18MHz	18MHz

7 Receiver characteristics

7.1 General

Unless otherwise stated the receiver characteristics are specified at the antenna connector(s) of the UE. For UE(s) with an integral antenna only, a reference antenna(s) with a gain of 0 dBi is assumed for each antenna port(s). UE with an integral antenna(s) may be taken into account by converting these power levels into field strength requirements, assuming a 0 dBi gain antenna. For UEs with more than one receiver antenna connector, identical interfering signals shall be applied to each receiver antenna port if more than one of these is used (diversity).

The levels of the test signal applied to each of the antenna connectors shall be as defined in the respective sections below.

With the exception of Clause 7.3, the requirements shall be verified with the network signalling value NS_01 configured (Table 6.2.4-1).

All the parameters in clause 7 are defined using the UL reference measurement channels specified in Annexes A.2.2 and A.2.3, the DL reference measurement channels specified in Annex A.3.2 and using the set-up specified in Annex C.3.1.

7.2 Diversity characteristics

The requirements in Section 7 assume that the receiver is equipped with two Rx port as a baseline. These requirements apply to all UE categories unless stated otherwise. Requirements for 4 ports are FFS. With the exception of clause 7.9 all requirements shall be verified by using both (all) antenna ports simultaneously.

7.3 Reference sensitivity power level

The reference sensitivity power level REFSENS is the minimum mean power applied to both the UE antenna ports at which the throughput shall meet or exceed the requirements for the specified reference measurement channel.

7.3.1 Minimum requirements (QPSK)

The throughput shall be $\geq 95\%$ of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.3.1-1 and table 7.3.1-2

Table 7.3.1-1: Reference sensitivity QPSK PREFSENS

		Ch	annel bar	ndwidth			
E-UTRA Band	1.4 MHz (dBm)	3 MHz (dBm)	5 MHz (dBm)	10 MHz (dBm)	15 MHz (dBm)	20 MHz (dBm)	Duplex Mode
1	-	-	-100	-97	-95.2	-94	FDD
2	-103.2	-100.2	-98	-95	-93.2	-92	FDD
3	-102.2	-99.2	-97	-94	-92.2	-91	FDD
4	-105.2	-101.7	-100	-97	-95.2	-94	FDD
5	-103.2	-100.2	-98	-95			FDD
6			-100	-97			FDD
7			-98	-95	-93.2	-92	FDD
8	-102.2	-99.2	-97	-94			FDD
9			-99	-96	-94.2	-93	FDD
10			-100	-97	-95.2	-94	FDD
11			-100	-97			FDD
12	-102.2	-99.2	-97	-94			FDD
13			-97	-94			FDD
14		-99.2	-97	-94			FDD
17			-97	-94			FDD
33			-100	-97	-95.2	-94	TDD
34	-	-	-100	-97	-95.2	-94	TDD
35	-106.2	-102.2	-100	-97	-95.2	-94	TDD
36	-106.2	-102.2	-100	-97	-95.2	-94	TDD
37			-100	-97	-95.2	-94	TDD
38			-100	-97	-95.2	-94	TDD
39			-100	-97	-95.2	-94	TDD
40		_	-100	-97	-95.2	-94	TDD

Note 1: The transmitter shall be set to P_{UMAX} as defined in clause 6.2.5

Note 2: Reference measurement channel is A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1

Note 3: The signal power is specified per port

Note 4: For the UE which supports both Band 3 and Band 9 the reference sensitivity level of Band 3 + 0.5 dB is applicable for band 9

Table 7.3.1-2 specifies the minimum number of allocated uplink resource blocks for which the reference receive sensitivity requirement must be met.

Table 7.3.1-2: Minimum uplink configuration for reference sensitivity

E-UTRA Band / Channel bandwidth / NRB / Duplex mode							
E-UTRA Band	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz	Duplex Mode
1			25	50	75	100	FDD
2	6	15	25	50	50 ¹	50 ¹	FDD
3	6	15	25	50	50 ¹	50 ¹	FDD
4	6	15	25	50	75	100	FDD
5	6	15	25	25 ¹	-	-	FDD
6			25	25 ¹	-	-	FDD
7			25	50	75 ¹	75 ¹	FDD
8	6	15	25	25 ¹	-	-	FDD
9			25	50	50 ¹	50 ¹	FDD
10			25	50	75	100	FDD
11			25	25 ¹			FDD
12	6	15	20 ¹	20 ¹			FDD
13			20 ¹	20 ¹			FDD
14		15	15 ¹	15 ¹			FDD
17			20 ¹	20 ¹			FDD
33			25	50	75	100	TDD
34			25	50	75	-	TDD
35	6	15	25	50	75	100	TDD
36	6	15	25	50	75	100	TDD
37			25	50	75	100	TDD
38			25	50	75	100	TDD
39			25	50	75	100	TDD
40			25	50	75	100	TDD

Note 1: The number of UL resource blocks allocated is less than the total resources blocks supported by the channel bandwidth. The UL resource blocks shall be located as close as possible to the downlink operating band but confined within the transmission bandwidth configuration for the channel bandwidth (Table 5.6-1).

Unless given by Table 7.3.1-3, the minimum requirements specified in Tables 7.3.1-1 and 7.3.1-2 shall be verified with the network signalling value NS_01 (Table 6.2.4-1) configured.

Table 7.3.1-3: Network Signalling Value for reference sensitivity

E-UTRA Band	Network Signalling value
2	NS_03
4	NS_03
10	NS_03
12	NS_06
13	NS_06
14	NS_06
17	NS_06
35	NS_03
36	NS_03

7.3.2 Requirement for large transmission configurations

For some combinations of bandwidths and operating bands, a certain relaxation of the UE performance is allowed when the transmission configuration is larger than that in Table 7.3.1-2. Table 7.3.2-1 specifies the allowed maximum sensitivity degradation (MSD) when the UL resource block allocation is the maximum supported transmission bandwidth configuration $N_{\rm RB}$ (Table 5.6-1). Unless given by Table 7.3.1-3, the MSD shall be verified with the network signalling value NS_01 (Table 6.2.4-1) configured.

Channel bandwidth E-UTRA 1.4 MHz 3 MHz 5 MHz 10 MHz 15 MHz 20 MHz Duplex **Band** (dB) (dB) (dB) (dB) (dB) (dB) Mode n/a FDD n/a n/a n/a 1 2 n/a TBD TBD **FDD** n/a n/a n/a **TBD TBD FDD** 3 n/a n/a n/a n/a FDD 4 n/a n/a n/a n/a n/a n/a TBD 5 n/a n/a n/a FDD FDD 6 n/a TBD 7 **TBD TBD FDD** n/a n/a 8 TBD **FDD** n/a n/a n/a 9 n/a n/a **TBD TBD FDD** 10 n/a n/a n/a n/a **FDD** TBD FDD 11 n/a 12 TBD TBD FDD 13 **TBD TBD FDD** 14 FDD 17 TBD **TBD FDD**

Table 7.3.2-1: Maximum Sensitivity Degradation

7.4 Maximum input level

This is defined as the maximum mean power received at the UE antenna port, at which the specified relative throughput shall meet or exceed the minimum requirements for the specified reference measurement channel.

7.4.1 Minimum requirements

The throughput shall be $\geq 95\%$ of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.4.1.

Note:

^{1.} The transmitter shall be set to P_{UMAX} as defined in clause 6.2.5 with MPR applied and with the maximum transmission configuration (Table 5.5-1) allocated

Table 7.4.1-1: Maximum input level

	Rx Parameter	Units	Channel bandwidth					
			1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Wanted	signal mean power	dBm	-25					
Note:								
1.	 The transmitter shall be set to 4dB below P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2 							
2.	Reference measur	ement char	nnel is Ani	nex A.3.2: (64QAM, R	=3/4 varia	ant with or	ne sided

dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1.

7.5 Adjacent Channel Selectivity (ACS)

7.5.1 Minimum requirements

Adjacent Channel Selectivity (ACS) is a measure of a receiver's ability to receive a E-UTRA signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

The UE shall fulfil the minimum requirement specified in Table 7.5.1-1 for all values of an adjacent channel interferer up to -25 dBm. However it is not possible to directly measure the ACS, instead the lower and upper range of test parameters are chosen in Table 7.5.1-2 and Table 7.5.1-3 where the throughput shall be $\geq 95\%$ of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1).

Table 7.5.1-1: Adjacent channel selectivity

		Channel bandwidth					
Rx Parameter	Units	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
ACS	dB	33.0	33.0	33.0	33.0	30	27

Table 7.5.1-2: Test parameters for Adjacent channel selectivity, Case 1

Rx Parameter	Units	Channel bandwidth							
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz		
Wanted signal mean power	dBm		REFSENS + 14 dB						
	dBm	REFSENS	REFSENS	REFSENS	REFSENS	REFSENS	REFSENS		
P _{Interferer}		+45.5dB	+45.5dB	+45.5dB*	+45.5dB	+42.5dB	+39.5dB		
BW _{Interferer}	MHz	1.4	3	5	5	5	5		
F _{Interferer} (offset)	MHz	1.4+0.0025 / -1.4-0.0025	3+0.0075 /	5+0.0025 /	7.5+0.0075	10+0.0125	12.5+0.0025		
			-3-0.0075	-5-0.0025	-7.5-0.0075	-10-0.0125	-12.5-0.0025		

Note:

- 1. The transmitter shall be set to 4dB below P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2..
- 2. The interferer consists of the Reference measurement channel specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set-up according to Annex C.3.1

Rx Parameter Channel bandwidth Units 5 MHz 1.4 MHz 3 MHz 10 MHz **15 MHz 20 MHz** Wanted signal dBm -56.5 -56.5 -56.5 -56.5 -53.5 -50.5 mean power dBm P_{Interferer} $BW_{\underline{Interferer}}$ MHz 1.4 3 5 5 5 5 F_{Interferer} (offset) MHz 1.4+0.0025 3+0.0075 5+0.0025 7.5 + 0.007510+0.0125 12.5+0.0025 -1.4-0.0025 -3-0.0075 -5-0.0025 -7.5-0.0075 -10-0.0125 -12.5-0.0025

Table 7.5.1-3: Test parameters for Adjacent channel selectivity, Case 2

Note:

- 1. The transmitter shall be set to 24dB P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2.
- 2. The interferer consists of the Reference measurement channel specified in Annex 3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set-up according to Annex C.3.1

7.6 Blocking characteristics

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occur.

7.6.1 In-band blocking

In-band blocking is defined for an unwanted interfering signal falling into the UE receive band or into the first 15 MHz below or above the UE receive band at which the relative throughput shall meet or exceed the minimum requirement for the specified measurement channels..

7.6.1.1 Minimum requirements

The throughput shall be \geq 95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Tables 7.6.1.1-1 and 7.6.1.1-2.

Table 7.6.1.1-1: In band blocking parameters

Rx Parameter	Units	Channel bandwidth						
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz	
Wanted signal	dBm		REFSENS	S + channel bandy	width specific va	lue below		
mean power	UDIII	6	6	6	6	7	9	
BW _{Interferer}	MHz	1.4	3	5	5	5	5	
F _{Ioffset, case 1}	MHz	2.1+0.0125	4.5+0.0075	7.5+0.0125	7.5+0.0025	7.5+0.0075	7.5+0.0125	
F _{Ioffset, case 2}	MHz	3.5+0.0075	7.5+0.0075	12.5+0.0075	12.5+0.0125	12.5+0.0025	12.5+0.0075	

Note 1: The transmitter shall be set to 4dB P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2.

Note 2: The interferer consists of the Reference measurement channel specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1 and set-up according to Annex C.3.1

E-UTRA band	Parameter	Units	Case 1	Case 2	Case 3
	P _{Interferer}	dBm	-56	-44	-30
	F		=-BW/2 - F _{loffset, case 1}	≤ -BW/2- F _{loffset, case 2}	-BW/2 – 9 MHz
	F _{Interferer} (Offset)	MHz	&	&	&
	(Olisel)		=+BW/2 + F _{loffset, case 1}	≥ +BW/2 + F _{loffset, case 2}	-BW/2 – 15 MHz
1, 2, 3, 4, 5, 6, 7, 8, 9,				F _{DL_low} -15	
10, 11,12, 13,	F _{Interferer}	MHz	(Note 2)	to	
33,34,35,36,37,38,39,40			(Note 2)	F _{DL_high} +15	
17	F _{Interferer}	MHz		F _{DL_low} -9.0	F _{DL_low} -15
				to	and
			(Note 2)	F _{DL_high} +15	F _{DL_low} -9.0
					(Note 3)

Table 7.6.1.1-2: In-band blocking

Note

- For certain bands, the unwanted modulated interfering signal may not fall inside the UE receive band, but within the first 15 MHz below or above the UE receive band.
- 2 For each carrier frequency the requirement is valid for two frequencies:
 - a. the carrier frequency -BW/2 -Floffset, case 1 and
 - b. the carrier frequency + BW/2 + Floffset, case 1.
- 3 Finterferer range values for unwanted modulated interfering signal are interferer center frequencies.
- 4 Case 3 only applies to assigned UE channel bandwidth of 5 MHz.

7.6.2 Out-of-band blocking

Out-of-band band blocking is defined for an unwanted CW interfering signal falling more than 15 MHz below or above the UE receive band. For the first 15 MHz below or above the UE receive band the appropriate in-band blocking or adjacent channel selectivity in sub-clause 7.5.1 and sub-clause 7.6.1 shall be applied.

7.6.2.1 Minimum requirements

. The throughput shall be \geq 95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Tables 7.6.2.1-1 and 7.6.2.1-2.

For Table 7.6.2.1-2 in frequency range 1, 2 and 3, up to $\max(24, 6 \cdot \lceil N_{RB} / 6 \rceil)$ exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a 1MHz step size, where N_{RB} is the number of resource blocks in the downlink transmission bandwidth configuration (see Figure 5.4.2-1). For these exceptions the requirements of clause 7.7 Spurious response are applicable.

For Table 7.6.2.1-2 in frequency range 4, up to $\max(8, \lceil (N_{RB}+2\cdot L_{CRBs})/8 \rceil)$ exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a 1MHz step size, where N_{RB} is the number of resource blocks in the downlink transmission bandwidth configurations (see Figure 5.4.2-1) and L_{CRBs} is the number of resource blocks allocated in the uplink. For these exceptions the requirements of clause 7.7 spurious response are applicable.

Table 7.6.2.1-1: Out-of-band blocking parameters

Rx Parameter	Units	Channel bandwidth					
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Wanted signal mean	dBm	REFSENS + channel bandwidth specific value below					
power	ubili	6	6	6	6	7	9

Note 1: The transmitter shall be set to 4dB below P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2.

Note 2: Reference measurement channel is specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.

Table 7.6.2.1-2: Out of band blocking

E-UTRA band	Parameter	Units	its Frequency				
			range 1	range 2	range 3	range 4	
	P _{Interferer}	dBm	-44	-30	-15	-15	
1, 2, 3, 4, 5 6, 7, 8, 9,	E		F_{DL_low} -15 to F_{DL_low} -60	F _{DL_low} -60 to F _{DL_low} -85	F _{DL_low} -85 to 1 MHz	-	
10,11,12, 13, 17, 33,34, 35, 36, 37, 38, 39, 40	F _{Interferer} (CW)	MHz	F_{DL_high} +15 to F_{DL_high} + 60	F _{DL_high} +60 to F _{DL_high} +85	F _{DL_high} +85 to +12750 MHz	-	
2, 5, 12, 17	F _{Interferer}	MHz	-	-	-	F _{UL_low} - F _{UL_high}	

7.6.3 Narrow band blocking

This requirement is measure of a receiver's ability to receive a E-UTRA signal at its assigned channel frequency in the presence of an unwanted narrow band CW interferer at a frequency, which is less than the nominal channel spacing.

7.6.3.1 Minimum requirements

. The relative throughput shall be $\geq 95\%$ of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.6.3.1-1

Table 7.6.3.1-1: Narrow-band blocking

Parameter	Unit	Channel Bandwidth							
Parameter	Unit	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz		
D	dBm	P _R	P _{REFSENS} + channel-bandwidth specific value below						
P_{w}	иын	22	18	16	13	14	16		
P _{uw} (CW)	dBm	-55	-55	-55	-55	-55	-55		
F_{uw} (offset for $\Delta f = 15 \text{ kHz}$)	MHz	0.9075	1.7025	2.7075	5.2125	7.7025	10.2075		
F_{uw} (offset for $\Delta f = 7.5 \text{ kHz}$)	MHz								

Note 1: The transmitter shall be set a 4 dB below P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2.

Note 2: Reference measurement channel is specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1.

7.7 Spurious response

Spurious response is a measure of the receiver's ability to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency at which a response is obtained i.e. for which the out of band blocking limit as specified in sub-clause 7.6.2 is not met.

7.7.1 Minimum requirements

The throughput shall be $\geq 95\%$ of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Tables 7.7.1-1 and 7.7.1-2.

Table 7.7.1-1: Spurious response parameters

Rx Parameter	Units	Channel bandwidth					
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Wanted signal	dBm	REFSENS + channel bandwidth specific value below					
mean power	UDIII	6	6	6	6	7	9

Note:

- 1. The transmitter shall be set to 4dB below P_{UMAX} at the minimum uplink configuration specified in Table 7.3.1-2.
- 2. Reference measurement channel is specified in Annex A.3.2 with one sided dynamic OCNG Pattern OP.1 FDD/TDD as described in Annex A.5.1.1/A.5.2.1.

Table 7.7.1-2: Spurious Response

Parameter	Unit	Level		
P _{Interferer} (CW)	dBm	-44		
F _{Interferer}	MHz	Spurious response frequencies		

7.8 Intermodulation characteristics

Intermodulation response rejection is a measure of the capability of the receiver to receiver a wanted signal on its assigned channel frequency in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal.

7.8.1 Wide band intermodulation

The wide band intermodulation requirement is defined following the same principles using modulated E-UTRA carrier and CW signal as interferer.

7.8.1.1 Minimum requirements

The throughput shall be \geq 95% of the maximum throughput of the reference measurement channels as specified in Annexes A.2.2, A.2.3 and A.3.2 (with one sided dynamic OCNG Pattern OP.1 FDD/TDD for the DL-signal as described in Annex A.5.1.1/A.5.2.1) with parameters specified in Table 7.8.1.1 for the specified wanted signal mean power in the presence of two interfering signals

Table 7.8.1.1-1: Wide band intermodulation

Rx Parai	meter	Units	Channel bandwidth						
			1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz	
Wanted sign	nal	dBm	REFSENS + channel bandwidth specific value below					•	
mean power	r	uDili	12	8	6	6	7	9	
P _{Interferer 1} (CW)		dBm			-46				
P _{Interferer 2} (Modulated)	dBm	-46						
BW _{Interferer 2}			1.4 3 5						
F _{Interferer 1}		MHz	-BW/2 -2.1 -BW/2 -4.5 -BW/2 - 7.5						
(Offset)									
			+BW/2+2.1 $+BW/2+4.5$ $+BW/2+7.5$						
F _{Interferer 2} (Offset)		MHz			2*F _{Interfer}	er 1			
Note:									
	The tran		all be set to 4dB	below P _{UMAX} at	the minimu	m uplink co	nfiguration s	specified in	
	A.3.2 wit A.5.1.1/	th one side 1.5.2.1 with	erferer consists of ed dynamic OCN h set-up accordin escribed in Annex	G Pattern OP.1 ng to Annex C.3	FDD/TDD .1The inter	as describe fering modu	d in Annex		

7.8.2 Void

7.9 Spurious emissions

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

7.9.1 Minimum requirements

The power of any narrow band CW spurious emission shall not exceed the maximum level specified in Table 7.9.1-1

Table 7.9.1-1: General receiver spurious emission requirements

Frequency Band	Measurement Bandwidth	Maximum level	Note
30MHz ≤ f < 1GHz	100 kHz	-57 dBm	
1GHz ≤ f ≤ 12.75 GHz	1 MHz	-47 dBm	

8 Performance requirement

This clause contains performance requirements for the physical channels specified in TS 36.211 [4]. The performance requirements for the UE in this clause are specified for the measurement channels specified in Annex A.3, the propagation conditions in Annex B and the downlink channels in Annex C.3.2.

8.1 General

8.1.1 Dual-antenna receiver capability

The performance requirements are based on UE(s) that utilize a dual-antenna receiver.

For all test cases, the SNR is defined as

$$SNR = \frac{\hat{E}_s^{(1)} + \hat{E}_s^{(2)}}{N_{oc}^{(1)} + N_{oc}^{(2)}}$$

where the superscript indicates the receiver antenna connector. The SNR requirement applies for the UE categories given for each test.

8.1.1.1 Simultaneous unicast and MBMS operations

8.1.1.2 Dual-antenna receiver capability in idle mode

8.2 Demodulation of PDSCH (Cell-Specific Reference Symbols)

8.2.1 FDD (Fixed Reference Channel)

The parameters specified in Table 8.2.1-1 are valid for all FDD tests unless otherwise stated.

Table 8.2.1-1: Common Test Parameters (FDD)

Parameter	Unit	Value
Inter-TTI Distance		1
Number of HARQ processes	Processes	8
Maximum number of HARQ transmission		4
Redundancy version coding sequence		{0,1,2,3} for QPSK and 16QAM {0,0,1,2} for 64QAM
Number of OFDM symbols for PDCCH	OFDM symbols	4 for 1.4 MHz bandwidth, 3 for 3 MHz and 5 MHz bandwidths, 2 for 10 MHz, 15 MHz and 20 MHz bandwidths
Cyclic Prefix		Normal
Cell_ID		0
Note:		

8.2.1.1 Single-antenna port performance

The single-antenna performance in a given multi-path fading environments is determined by the SNR for which a certain relative information bit throughput of the reference measurement channels in Annex A.3.3 is achieved. The

purpose of these tests is to verify the single-antenna performance with different channel models and MCS. The QPSK and 64QAM cases are also used to verify the performance for all bandwidths specified in Table 5.6.1-1.

8.2.1.1.1 Minimum Requirement

The requirements are specified in Table 8.2.1.1.1-2, with the addition of the parameters in Table 8.2.1.1.1-1 and the downlink physical channel setup according to Annex C.3.2.

Table 8.2.1.1.1-1: Test Parameters

Parameter		Unit	Test 1- 5	Test 6- 8	Test 9- 15	Test 16- 18
Downlink power $\rho_{\scriptscriptstyle A}$		dB	0	0	0	0
allocation	$ ho_{\scriptscriptstyle B}$	dB	0 (Note 1)	0 (Note 1)	0 (Note 1)	0 (Note 1)
$N_{\it oc}$ at antenna port		dBm/15kHz	-98	-98	-98	98
Symbols for unused PRBs			OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)
Modulation			QPSK	16QAM	64QAM	16QAM

Note 1: $P_B = 0$

Note 2: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated.

Table 8.2.1.1.1-2: Minimum performance (FRC)

Test number	Bandwidth	Reference Channel	OCNG Pattern	Propagation Condition	Correlation Matrix and	Reference	value	UE Category
					Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	
1	10 MHz	R.2 FDD	OP.1 FDD	EVA5	1x2 Low	70	-1.0	1-5
2	10 MHz	R.2 FDD	OP.1 FDD	ETU70	1x2 Low	70	-0.4	1-5
3	10 MHz	R.2 FDD	OP.1 FDD	ETU300	1x2 Low	70	0.0	1-5
4	10 MHz	R.2 FDD	OP.1 FDD	HST	1x2 Low	70	-2.4	1-5
5	1.4 MHz	R.4 FDD	OP.1 FDD	EVA5	1x2 Low	70	-0.5	1-5
6	10 MHz	R.3 FDD	OP.1 FDD	EVA5	1x2 Low	70	6.7	2-5
7	10 MHz	R.3 FDD	OP.1 FDD	ETU70	1x2 Low	30	1.4	2-5
8	10 MHz	R.3 FDD	OP.1 FDD	ETU300	1x2 High	70	9.4	2-5
9	3 MHz	R.5 FDD	OP.1 FDD	EVA5	1x2 Low	70	17.6	1-5
10	5 MHz	R.6 FDD	OP.1 FDD	EVA5	1x2 Low	70	17.4	2-5
11	10 MHz	R.7 FDD	OP.1 FDD	EVA5	1x2 Low	70	17.7	2-5
12	10 MHz	R.7 FDD	OP.1 FDD	ETU70	1x2 Low	70	19.0	2-5
13	10 MHz	R.7 FDD	OP.1 FDD	EVA5	1x2 High	70	19.1	2-5
14	15 MHz	R.8 FDD	OP.1 FDD	EVA5	1x2 Low	70	17.7	2-5
15	20 MHz	R.9 FDD	OP.1 FDD	EVA5	1x2 Low	70	17.6	3-5
16	3 MHz	R.0 FDD	OP.1 FDD	ETU70	1x2 Low	30	1.9	1-5
17	10 MHz	R.1 FDD	OP.1 FDD	ETU70	1x2 Low	30	1.9	1-5
18	20 MHz	R.1 FDD	OP.1 FDD	ETU70	1x2 Low	30	1.9	1-5

8.2.1.1.2 Void

8.2.1.1.3 Void

8.2.1.1.4 Minimum Requirement 1 PRB allocation in presence of MBSFN

The requirements are specified in Table 8.2.1.1.4-2, with the addition of the parameters in Table 8.2.1.1.4-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the single-antenna performance with a single PRB allocated at the lower band edge in presence of MBSFN.

Table 8.2.1.1.4-1: Test Parameters for Testing 1 PRB allocation

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	0
allocation	$ ho_{\scriptscriptstyle B}$	dB	0 (Note 1)
N_{oc} at antenna	port	dBm/15kHz	-98
Symbols for MBSFN MBSFN subframes			OCNG (Note 3)

Note 1: $P_B = 0$

Note 2: The MBSFN portion of an MBSFN subframe comprises the

whole MBSFN subframe except the first two symbols in the

first slot.

Note 3: The MBSFN portion of the MBSFN subframes shall contain QPSK modulated data. Cell-specific reference signals are

not inserted in the MBSFN portion of the MBSFN subframes,

QPSK modulated MBSFN data is used instead.

Table 8.2.1.1.4-2: Minimum performance 1PRB (FRC)

ſ	Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
	number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
	1	10 MHz	R.29 FDD	OP.3 FDD	ETU70	1x2 Low	30	2.0	1-5

8.2.1.2 Transmit diversity performance

8.2.1.2.1 Minimum Requirement 2 Tx Antenna Port

The requirements are specified in Table 8.2.1.2.1-2, with the addition of the parameters in Table 8.2.1.2.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of transmit diversity (SFBC) with 2 transmitter antennas.

Table 8.2.1.2.1-1: Test Parameters for Transmit diversity Performance (FRC)

Parameter		Unit	Test 1-2
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)
N_{oc} at antenna port		dBm/15kHz	-98
Note 1: $P_B = 1$			

Table 8.2.1.2.1-2: Minimum performance Transmit Diversity (FRC)

Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
number	width	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.11 FDD	OP.1 FDD	EVA5	2x2 Medium	70	6.8	2-5
2	10 MHz	R.10 FDD	OP.1 FDD	HST	2x2 Low	70	-2.3	1-5

8.2.1.2.2 Minimum Requirement 4 Tx Antenna Port

The requirements are specified in Table 8.2.1.2.2-2, with the addition of the parameters in Table 8.2.1.2.2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of transmit diversity (SFBC) with 4 transmitter antennas.

Table 8.2.1.2.2-1: Test Parameters for Transmit diversity Performance (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)
N_{oc} at antenna	port	dBm/15kHz	-98
Note 1: $P_B = 1$			

Table 8.2.1.2.2-2: Minimum performance Transmit Diversity (FRC)

ſ	Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
	number	width	Channel	Pattern	Condition	Matrix and	Fraction of	SNR	Category
						Antenna	Maximum	(dB)	
						Configuration	Throughput		
L							(%)		
	1	1.4 MHz	R.12 FDD	OP.1 FDD	EPA5	4x2 Medium	70	0.2	1-5

8.2.1.3 Open-loop spatial multiplexing performance

8.2.1.3.1 Minimum Requirement 2 Tx Antenna Port

The requirements are specified in Table 8.2.1.3.1-2, with the addition of the parameters in Table 8.2.1.3.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of large delay CDD with 2 transmitter antennas.

Table 8.2.1.3.1-1: Test Parameters for Large Delay CDD (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)
N_{oc} at antenna	port	dBm/15kHz	-98
Note 1: $P_B = 1$			

Table 8.2.1.3.1-2: Minimum performance Large Delay CDD (FRC)

ſ	Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
	number	width	Channel	Pattern	Condition	Matrix and	Fraction of	SNR	Category
						Antenna	Maximum	(dB)	
						Configuration	Throughput		
							(%)		
ſ	1	10 MHz	R.11 FDD	OP.1 FDD	EVA70	2x2 Low	70	13.0	2-5

8.2.1.3.2 Minimum Requirement 4 Tx Antenna Port

The requirements are specified in Table 8.2.1.3.2-2, with the addition of the parameters in Table 8.2.1.3.2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of large delay CDD with 4 transmitter antennas.

Table 8.2.1.3.2-1: Test Parameters for Large Delay CDD (FRC)

Parameter	i	Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98
Note 1: $P_B = 1$			

Table 8.2.1.3.2-2: Minimum performance Large Delay CDD (FRC)

Ī	Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
	number	width	Channel	Pattern	Condition	Matrix and	Fraction of	SNR	Category
						Antenna	Maximum	(dB)	
						Configuration	Throughput		
							(%)		
	1	10 MHz	R.14 FDD	OP.1 FDD	EVA70	4x2 Low	70	14.3	2-5

8.2.1.4 Closed-loop spatial multiplexing performance

8.2.1.4.1 Minimum Requirement Single-Layer Spatial Multiplexing 2 Tx Antenna Port

The requirements are specified in Table 8.2.1.4.1-2, with the addition of the parameters in Table 8.2.1.4.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-one performance with wideband and frequency selective precoding.

Table 8.2.1.4.1-1: Test Parameters for Single-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1	Test 2
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)	-3 (Note 1)
N_{oc} at antenna po	ort	dBm/15kHz	-98	-98
Precoding granular	ity	PRB	6	50
PMI delay (Note 2	2)	ms	8	8
Reporting interva		ms 1		1
Reporting mode	•		PUSCH 1-2	PUSCH 3-1

Note 1: $P_B = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before SF#(n+4)

Table 8.2.1.4.1-2: Minimum performance Single-Layer Spatial Multiplexing (FRC)

Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
number	width	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.10 FDD	OP.1 FDD	EVA5	2x2 Low	70	-2.5	1-5
2	10 MHz	R.10 FDD	OP.1 FDD	EPA5	2x2 High	70	-2.8	1-5

8.2.1.4.1A Minimum Requirement Single-Layer Spatial Multiplexing 4 Tx Antenna Port

The requirements are specified in Table 8.2.1.4.1A-2, with the addition of the parameters in Table 8.2.1.4.1A-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-one performance with wideband and frequency selective precoding.

Table 8.2.1.4.1A-1: Test Parameters for Single-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
$N_{\it oc}$ at antenna p	ort	dBm/15kHz	-98
Precoding granula	arity	PRB	6
PMI delay (Note	2)	ms	8
Reporting interv	al	ms	1
Reporting mod	е		PUSCH 1-2

Note 1: $P_B = 1$

Note 2: If the UE reports in an available uplink reporting

instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before

SF#(n+4)

Table 8.2.1.4.1A-2: Minimum performance Single-Layer Spatial Multiplexing (FRC)

Test	Band-	Reference	OCNG	Propagation	Correlation	Reference value		UE
number	width	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.13 FDD	OP.1 FDD	EVA5	4x2 Low	70	-3.4	1-5

8.2.1.4.2 Minimum Requirement Multi-Layer Spatial Multiplexing 2 Tx Antenna Port

The requirements are specified in Table 8.2.1.4. 2-2, with the addition of the parameters in Table 8.2.1.4. 2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-two performance with wideband and frequency selective precoding.

Table 8.2.1.4.2-1: Test Parameters for Multi-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1	Test 2
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)	-3 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98	-98
Precoding grant	larity	PRB	50	50
PMI delay (Not	e 2)	ms	8	8
Reporting inte	rval	ms	1	1
Reporting mo	de		PUSCH 3-1	PUSCH 3-1

Note 1: $P_{R} = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n

based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before SF#(n+4)

Table 8.2.1.4.2-2: Minimum performance Multi-Layer Spatial Multiplexing (FRC)

Test	Band-	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
number	width	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.11 FDD	OP.1 FDD	EVA5	2x2 Low	70	12.9	2-5
2	10 MHz	R.11 FDD	OP.1 FDD	ETU70	2x2 Low	70	14.3	2-5

8.2.1.4.3 Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port

The requirements are specified in Table 8.2.1.4.3-2, with the addition of the parameters in Table 8.2.1.4.3-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-two performance with wideband and frequency selective precoding.

Table 8.2.1.4.3-1: Test Parameters for Multi-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98
Precoding granu	larity	PRB	6
PMI delay (Not	e 2)	ms	8
Reporting inte	rval	ms	1
Reporting mo	de		PUSCH 1-2

Note 1: $P_{p} = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before SF#(n+4)

Table 8.2.1.4.3-2: Minimum performance Multi-Layer Spatial Multiplexing (FRC)

Test	Band-	Reference	OCNG	Propagation	Correlation	Reference value		UE
number	width	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput	SNR (dB)	Category
					J	(%)		
1	10 MHz	R.14 FDD	OP.1 FDD	EVA5	4x2 Low	70	10.5	2-5

8.2.1.5 MU-MIMO

8.2.1.6 [Control channel performance: D-BCH and PCH]

8.2.2 TDD (Fixed Reference Channel)

The parameters specified in Table 8.2.2-1 are valid for all TDD tests unless otherwise stated.

Table 8.2.2-1: Common Test Parameters (TDD)

Parameter	Unit	Value							
Uplink downlink configuration (Note 1)		1							
Special subframe configuration (Note 2)		4							
Cyclic prefix		Normal							
Cell ID		0							
Inter-TTI Distance		1							
Number of HARQ processes	Processes	7							
Maximum number of HARQ transmission		4							
Redundancy version coding sequence		{0,1,2,3} for QPSK and 16QAM {0,0,1,2} for 64QAM							
Number of OFDM symbols for PDCCH	OFDM symbols	4 for 1.4 MHz bandwidth, 3 for 3 MHz and 5 MHz bandwidths, 2 for 10 MHz, 15 MHz and 20 MHz bandwidths							
Note 1: as specified in									

Note 1: as specified in Table 4.2-2 in TS 36.211 [4] Note 2: as specified in Table 4.2-1 in TS 36.211 [4]

8.2.2.1 Single-antenna port performance

The single-antenna performance in a given multi-path fading environments is determined by the SNR for which a certain relative information bit throughput of the reference measurement channels in Annex A.3.4 is achieved. The purpose of these tests is to verify the single-antenna performance with different channel models and MCS. The QPSK and 64QAM cases are also used to verify the performance for all bandwidths specified in Table 5.6.1-1.

8.2.2.1.1 Minimum Requirement

The requirements are specified in Table 8.2.2.1.1-2, with the addition of the parameters in Table 8.2.2.1.1-1 and the downlink physical channel setup according to Annex C.3.2.

Table 8.2.2.1.1-1: Test Parameters

Paramete	r	Unit	Test 1- 5	Test 6-8	Test 9- 15	Test 16- 18
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	0	0	0	0
allocation	$ ho_{\scriptscriptstyle B}$	dB	0 (Note 1)	0 (Note 1)	0 (Note 1)	0 (Note 1)
N_{oc} at antenna port		dBm/15kHz	-98	-98	-98	-98
Symbols for un PRBs	used		OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)
Modulation			QPSK	16QAM	64QAM	16QAM
ACK/NACK feedback mode			Multiplexing	Multiplexing	Multiplexing	Multiplexing

Note 1: $P_{R} = 0$

Note 2: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated.

Table 8.2.2.1.1-2: Minimum performance (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference	value	UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.2 TDD	OP.1 TDD	EVA5	1x2 Low	70	-1.2	1-5
2	10 MHz	R.2 TDD	OP.1 TDD	ETU70	1x2 Low	70	-0.6	1-5
3	10 MHz	R.2 TDD	OP.1 TDD	ETU300	1x2 Low	70	-0.2	1-5
4	10 MHz	R.2 TDD	OP.1 TDD	HST	1x2 Low	70	-2.6	1-5
5	1.4 MHz	R.4 TDD	OP.1 TDD	EVA5	1x2 Low	70	-0.5	1-5
6	10 MHz	R.3 TDD	OP.1 TDD	EVA5	1x2 Low	70	6.7	2-5
7	10 MHz	R.3 TDD	OP.1 TDD	ETU70	1x2 Low	30	1.4	2-5
8	10 MHz	R.3 TDD	OP.1 TDD	ETU300	1x2 High	70	9.3	2-5
9	3 MHz	R.5 TDD	OP.1 TDD	EVA5	1x2 Low	70	17.6	1-5
10	5 MHz	R.6 TDD	OP.1 TDD	EVA5	1x2 Low	70	17.6	2-5
11	10 MHz	R.7 TDD	OP.1 TDD	EVA5	1x2 Low	70	17.6	2-5
12	10 MHz	R.7 TDD	OP.1 TDD	ETU70	1x2 Low	70	19.1	2-5
13	10 MHz	R.7 TDD	OP.1 TDD	EVA5	1x2 High	70	19.1	2-5
14	15 MHz	R.8 TDD	OP.1 TDD	EVA5	1x2 Low	70	17.8	2-5
15	20 MHz	R.9 TDD	OP.1 TDD	EVA5	1x2 Low	70	17.7	3-5
16	3 MHz	R.0 TDD	OP.1 TDD	ETU70	1x2 Low	30	2.1	1-5
17	10 MHz	R.1 TDD	OP.1 TDD	ETU70	1x2 Low	30	2.0	1-5
18	20 MHz	R.1 TDD	OP.1 TDD	ETU70	1x2 Low	30	2.1	1-5

8.2.2.1.2 Void

8.2.2.1.3 Void

8.2.2.1.4 Minimum Requirement 1 PRB allocation in presence of MBSFN

The requirements are specified in Table 8.2.2.1.4-2, with the addition of the parameters in Table 8.2.2.1.1.4-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the single-antenna performance with a single PRB allocated at the lower band edge in presence of MBSFN.

Table 8.2.2.1.4-1: Test Parameters for Testing 1 PRB allocation

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	0
allocation	$ ho_{\scriptscriptstyle B}$	dB	0 (Note 1)
N_{oc} at antenna	port	dBm/15kHz	-98
Symbols for MBSFN MBSFN subframes			OCNG (Note 3)
ACK/NACK feedba	ck mode		Multiplexing

Note 1: $P_B = 0$

The MBSFN portion of an MBSFN subframe comprises the Note 2: whole MBSFN subframe except the first two symbols in the

first slot.

Note 3: The MBSFN portion of the MBSFN subframes shall contain QPSK modulated data. Cell-specific reference signals are not inserted in the MBSFN portion of the MBSFN subframes. QPSK modulated MBSFN data is used instead.

Table 8.2.2.1.4-2: Minimum performance 1PRB (FRC)

Test	Bandwidth	dth Reference	OCNG	Propagation	Correlation	Reference value		UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.29 TDD	OP.3 TDD	ETU70	1x2 Low	30	2.0	1-5

8.2.2.2 Transmit diversity performance

8.2.2.2.1 Minimum Requirement 2 Tx Antenna Port

The requirements are specified in Table 8.2.2.2.1-2, with the addition of the parameters in Table 8.2.2.2.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of transmit diversity (SFBC) with 2 transmitter antennas.

Table 8.2.2.2.1-1: Test Parameters for Transmit diversity Performance (FRC)

Paramete	•	Unit	Test 1-2
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98
ACK/NACK feedba	ck mode		Multiplexing
Note 1: $P_B = 1$			

Table 8.2.2.2.1-2: Minimum performance Transmit Diversity (FRC)

Test	Bandwidth		Propagation	Correlation	Reference value		UE	
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.11 TDD	OP.1 TDD	EVA5	2x2 Medium	70	6.8	2-5
2	10 MHz	R.10 TDD	OP.1 TDD	HST	2x2 Low	70	-2.3	1-5

8.2.2.2.2 Minimum Requirement 4 Tx Antenna Port

The requirements are specified in Table 8.2.2.2.2-2, with the addition of the parameters in Table 8.2.2.2.2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of transmit diversity (SFBC) with 4 transmitter antennas.

Table 8.2.2.2.1: Test Parameters for Transmit diversity Performance (FRC)

Parameter	Ī	Unit	Test 1			
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3			
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)			
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98			
ACK/NACK feedba	ck mode		Multiplexing			
Note 1: $P_{\scriptscriptstyle R}=1$						

Table 8.2.2.2.2: Minimum performance Transmit Diversity (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	1.4 MHz	R.12 TDD	OP.1 TDD	EPA5	4x2 Medium	70	-0.2	1-5

8.2.2.3 Open-loop spatial multiplexing performance

8.2.2.3.1 Minimum Requirement 2 Tx Antenna Port

The requirements are specified in Table 8.2.2.3.1-2, with the addition of the parameters in Table 8.2.2.3.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of large delay CDD with 2 transmitter antennas.

Table 8.2.2.3.1-1: Test Parameters for Large Delay CDD (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)
N_{oc} at antenna	port	dBm/15kHz	-98
ACK/NACK feedba	ck mode		Bundling
Note 1: $P_B = 1$			

Table 8.2.2.3.1-2: Minimum performance Large Delay CDD (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference v	alue	UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.11-1 TDD	OP.1 TDD	EVA70	2x2 Low	70	13.1	2-5

8.2.2.3.2 Minimum Requirement 4 Tx Antenna Port

The requirements are specified in Table 8.2.2.3.2-2, with the addition of the parameters in Table 8.2.2.3.2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the performance of large delay CDD with 4 transmitter antennas.

Table 8.2.2.3.2-1: Test Parameters for Large Delay CDD (FRC)

Parameter	•	Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98
ACK/NACK feedba	ck mode		Bundling
Note 1: $P_{R} = 1$		_	_

Table 8.2.2.3.2-2: Minimum performance Large Delay CDD (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference value		UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.14 TDD	OP.1 TDD	EVA70	4x2 Low	70	14.2	2-5

8.2.2.4 Closed-loop spatial multiplexing performance

8.2.2.4.1 Minimum Requirement Single-Layer Spatial Multiplexing 2 Tx Antenna Port

The requirements are specified in Table 8.2.2.4.1-2, with the addition of the parameters in Table 8.2.2.4.1-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-one performance with wideband and frequency selective precoding.

Table 8.2.2.4.1-1: Test Parameters for Single-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1	Test 2	
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3	-3	
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)	-3 (Note 1)	
$N_{\it oc}$ at antenna p	N_{oc} at antenna port		-98	-98	
Precoding granula	rity	PRB	6	50	
Minimium PMI delay 2)	(Note	ms	8	8	
Reporting interva	al	ms	1 or 4 (Note 3)	1 or 4 (Note 3)	
Reporting mode	Reporting mode		PUSCH 1-2	PUSCH 3-1	
ACK/NACK feedback	NACK feedback mode		Multiplexing	Multiplexing	

Note 1: $P_{R} = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this

reported PMI cannot be applied at the eNB downlink before SF#(n+4)
Note 3: For Uplink - downlink configuration 1 the reporting interval will alternate

between 1ms and 4ms

Table 8.2.2.4.1-2: Minimum performance Single-Layer Spatial Multiplexing (FRC)

	Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference value		UE
n	umber		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
	1	10 MHz	R.10 TDD	OP.1 TDD	EVA5	2x2 Low	70	-3.1	1-5
	2	10 MHz	R.10 TDD	OP.1 TDD	EPA5	2x2 High	70	-3.3	1-5

8.2.2.4.1A Minimum Requirement Single-Layer Spatial Multiplexing 4 Tx Antenna Port

The requirements are specified in Table 8.2.2.4.1A-2, with the addition of the parameters in Table 8.2.2.4.1A-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-one performance with wideband and frequency selective precoding.

Table 8.2.2.4.1A-1: Test Parameters for Single-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
N_{oc} at antenna port		dBm/15kHz	-98
Precoding granula	arity	PRB	6
Minimium PMI delay 2)	(Note	ms	8
Reporting interv	al	ms	1 or 4 (Note 3)
Reporting mode	Э		PUSCH 1-2
ACK/NACK feedback mode			Multiplexing

Note 1: $P_B = 1$

Note 2: If the UE reports in an available uplink reporting

instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before

SF#(n+4)

Note 3: For Uplink - downlink configuration 1 the reporting

interval will alternate between 1ms and 4ms

Table 8.2.2.4.1A-2: Minimum performance Single-Layer Spatial Multiplexing (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference value		UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.13 TDD	OP.1 TDD	EVA5	4x2 Low	70	-3.7	1-5

8.2.2.4.2 Minimum Requirement Multi-Layer Spatial Multiplexing 2 Tx Antenna Port

The requirements are specified in Table 8.2.2.4.2-2, with the addition of the parameters in Table 8.2.2.4.2-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-two performance with wideband and frequency selective precoding.

Table 8.2.2.4.2-1: Test Parameters for Multi-Layer Spatial Multiplexing (FRC)

Parameter	•	Unit	Test 1	Test 2
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3	-3
allocation	$ ho_{\scriptscriptstyle B}$	dB	-3 (Note 1)	-3 (Note 1)
N_{oc} at antenna	N_{oc} at antenna port		-98	-98
Precoding grant	ularity	PRB	50	50
Minimium PMI delay	/ (Note 2)	ms	8	8
Reporting interval		ms	1 or 4 (Note 3)	1or 4 (Note 3)
Reporting mode			PUSCH 3-1	PUSCH 3-1
ACK/NACK feedback mode			Bundling	Bundling

Note 1: $P_R = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this

reported PMI cannot be applied at the eNB downlink before SF#(n+4)

Note 3: For Uplink - downlink configuration 1 the reporting interval will alternate between 1ms and 4ms

Table 8.2.2.4.2-2: Minimum performance Multi-Layer Spatial Multiplexing (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference	/alue	UE
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.11-1 TDD	OP.1 TDD	EVA5	2x2 Low	70	12.8	2-5
2	10 MHz	R.11-1 TDD	OP.1 TDD	ETU70	2x2 Low	70	13.9	2-5

8.2.2.4.3 Minimum Requirement Multi-Layer Spatial Multiplexing 4 Tx Antenna Port

The requirements are specified in Table 8.2.2.4.3-2, with the addition of the parameters in Table 8.2.2.4.3-1 and the downlink physical channel setup according to Annex C.3.2. The purpose of these tests is to verify the closed loop rank-two performance with wideband and frequency selective precoding.

Table 8.2.2.4.3-1: Test Parameters for Multi-Layer Spatial Multiplexing (FRC)

Parameter		Unit	Test 1
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-6
allocation	$ ho_{\scriptscriptstyle B}$	dB	-6 (Note 1)
$N_{\it oc}$ at antenna	port	dBm/15kHz	-98
Precoding granu	larity	PRB	6
Minimium PMI delay	(Note 2)	ms	8
Reporting inte	rval	ms	1 or 4 (Note 3)
Reporting mo	de		PUSCH 1-2
ACK/NACK feedba	ck mode		Bundling

Note 1: $P_{B} = 1$

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the eNB downlink before SF#(n+4)

Note 3: For Uplink - downlink configuration 1 the reporting interval will alternate between 1ms and 4ms

Table 8.2.2.4.3-2: Minimum performance Multi-Layer Spatial Multiplexing (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference v	value UE	
number		Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz	R.14 TDD	OP.1 TDD	EVA5	4x2 Low	70	10.7	2-5

8.2.2.5 MU-MIMO

8.2.2.6 [Control channel performance: D-BCH and PCH]

8.3 Demodulation of PDSCH (User-Specific Reference Symbols)

8.3.1 FDD

[TBD]

8.3.2 TDD

The parameters specified in Table 8.3.2-1 are valid for TDD unless otherwise stated.

Table 8.3.2-1: Common Test Parameters for DRS

Parameter	Unit	Value				
Uplink downlink configuration (Note 1)		1				
Special subframe configuration (Note 2)		4				
Cyclic prefix		Normal				
Cell ID		0				
Inter-TTI Distance		1				
Number of HARQ processes	Processes	7				
Maximum number of HARQ transmission		4				
Redundancy version coding sequence		{0,1,2,3} for QPSK and 16QAM {0,0,1,2} for 64QAM				
Number of OFDM symbols for PDCCH	OFDM symbols	2				
Beamforming Model		As specified in Section B.4				
Precoder update granularity		Frequency domain: 1 PRB Time domain: 1 ms				
ACK/NACK feedback mode		Multiplexing				
Note 1: as specified in Table 4.2-2 in TS 36.211 [4] Note 2: as specified in Table 4.2-1 in TS 36.211 [4]						

The requirements are specified in Table 8.3.2-3, with the addition of the parameters in Table 8.3.2-2 and the downlink physical channel setup according to Annex C.3.2. The purpose is to verify the demodulation performance using user-specific reference signals with full RB or single RB allocation.

Table 8.3.2-2: Test Parameters for Testing DRS

parameter		Unit	Test 1	Test 2	Test 3	Test 4
Downlink power ρ_A		dB	0	0	0	0
allocation	$ ho_{\scriptscriptstyle B}$	dB	0 (Note 1)	0 (Note 1)	0 (Note 1)	0 (Note 1)
N_{oc} at antenna port		dBm/15kHz	-98	-98	-98	-98
Symbols for unused PRBs			OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)	OCNG (Note 2)
Number of allocated resource blocks		PRB	50	50	50	1 (Note 2)

Note 1: $P_{p} = 0$

Note 2: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated.

Table 8.3.2-3: Minimum performance DRS (FRC)

Test	Bandwidth	Reference	OCNG	Propagation	Correlation	Reference	value	UE
number	and MCS	Channel	Pattern	Condition	Matrix and Antenna Configuration	Fraction of Maximum Throughput (%)	SNR (dB)	Category
1	10 MHz QPSK 1/3	R.25 TDD	OP.1 TDD	EPA5	1x2 Low	70	-0.8	1-5
2	10 MHz 16QAM 1/2	R.26 TDD	OP.1 TDD	EPA5	1x2 Low	70	7.0	2-5
3	10 MHz 64QAM 3/4	R.27 TDD	OP.1 TDD	EPA5	1x2 Low	70	17.0	2-5
4	10 MHz 16QAM 1/2	R.28 TDD	OP.1 TDD	EPA5	1x2 Low	30	1.7	1-5

8.4 Demodulation of PDCCH/PCFICH

The receiver characteristics of the PDCCH/PCFICH are determined by the probability of miss-detection of the Downlink Scheduling Grant (Pm-dsg). PDCCH and PCFICH are tested jointly, i.e. a miss detection of PCFICH implies a miss detection of PDCCH.

8.4.1 FDD

Table 8.4.1-1: Test Parameters for PDCCH/PCFICH

Parame	eter	Unit	Single antenna port	Transmit diversity
Number of PDC	CH symbols	symbols	2	2
Number of PHICH	H groups (N _g)		1	1
PHICH du	ration		Normal	Normal
Cell II	D		0	0
Downlink power allocation	PCFICH_RA PDCCH_RA PHICH_RA	dB	0	.ვ
	PCFICH_RB PDCCH_RB PHICH_RB	dB	0	-3
N_{oc} at antenna port		dBm/15kHz	-98	-98
Cyclic pi	refix		Normal	Normal

8.4.1.1 Single-antenna port performance

For the parameters specified in Table 8.4.1-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.1.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.1.1-1: Minimum performance PDCCH/PCFICH

Test	Bandwidth	Aggregation	Reference	Propagation	Antenna	Referen	ce value
number		level	Channel	Condition	configuration	Pm-dsg (%)	SNR (dB)
					and		
					correlation		
					Matrix		
1	10 MHz	8 CCE	R.15 FDD	ETU70	1x2 Low	1	-1.7

8.4.1.2 Transmit diversity performance

8.4.1.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.4.1-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.1.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.1.2.1-1: Minimum performance PDCCH/PCFICH

Test	Bandwidth	Aggregation	Reference	Propagation	Antenna	Referen	ce value
number		level	Channel	Condition	configuration	Pm-dsg (%)	SNR (dB)
					and		
					correlation		
					Matrix		
1	1.4 MHz	2 CCE	R.16 FDD	EPA5	2 x 2 Low	1	4.3

8.4.1.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.4.1-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.1.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.1.2.2-1: Minimum performance PDCCH/PCFICH

Test	Bandwidth	Aggregation	Reference	Propagation	Antenna	Referen	ce value
number		level	Channel	Condition	configuration	Pm-dsg (%)	SNR (dB)
					and		
					correlation		
					Matrix		
1	10 MHz	4 CCE	R.17 FDD	EVA5	4 x 2 Medium	1	0.9

8.4.2 TDD

Table 8.4.2-1: Test Parameters for PDCCH/PCFICH

Parame	ter	Unit	Single antenna port	Transmit diversity	
Uplink downlink c (Note 1	•		0	0	
Special subframe (Note 2	•		4	4	
Number of PDCC	CH symbols	symbols	2	2	
Number of PHICH			1	1	
PHICH dur	ration		Normal	Normal	
Cell ID)		0	0	
Downlink power	PCFICH_RA PDCCH_RA PHICH_RA	dB	0	-3	
allocation	PDFICH_RB PDCCH_RB PDCCH_RB	dB	0	-3	
N_{oc} at antenna port		dBm/15kHz	-98	-98	
Cyclic prefix			Normal	Normal	
ACK/NACK feed	back mode		Multiplexing	Multiplexing	

Note 1: as specified in Table 4.2-2 in TS 36.211 [4] Note 2: as specified in Table 4.2-1 in TS 36.211 [4]

8.4.2.1 Single-antenna port performance

For the parameters specified in Table 8.4.2-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.2.1-1: Minimum performance PDCCH/PCFICH

	Test number	Bandwidth	Aggregation level	Reference Channel	Propagation Condition	Antenna configuration and correlation Matrix	Referen	ce value SNR (dB)
ı	1	10 MHz	8 CCE	R.15 TDD	ETU70	1x2 Low	1	-1.6

8.4.2.2 Transmit diversity performance

8.4.2.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.4.2-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.2.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.2.2.1-1: Minimum performance PDCCH/PCFICH

Test number	Bandwidth	Aggregation level	Reference Channel	Propagation Condition	Antenna configuration	Referen	ce value
					and correlation Matrix	Pm-dsg (%)	SNR (dB)
1	1.4 MHz	2 CCE	R.16 TDD	EPA5	2 x 2 Low	1	4.2

8.4.2.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.4.2-1 the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 8.4.2.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.4.2.2.2-1: Minimum performance PDCCH/PCFICH

F	Test number	Bandwidth	Aggregation level	Reference Channel	Propagation Condition	Antenna configuration and correlation Matrix	Referen	ce value SNR (dB)
ļ								
	1	10 MHz	4 CCE	R.17 TDD	EVA5	4 x 2 Medium	1	1.2

8.5 Demodulation of PHICH

The receiver characteristics of the PHICH are determined by the probability of miss-detecting an ACK for a NACK (Pm-an). It is assumed that there is no bias applied to the detection of ACK and NACK (zero-threshold detection).

8.5.1 FDD

Table 8.5.1-1: Test Parameters for PHICH

Param	Parameter		Single antenna port	Transmit diversity	
	PHICH_RA	dB			
Downlink power allocation	PHICH_RB	dB	0	-3	
	PCFICH_RA	dB			
	PCFICH_RB	dB		-3	
	PDCCH_RA	dB	0		
	PDCCH_RB	dB			
PHICH do	PHICH duration		Normal	Normal	
Number of PHICH	groups (Note 1)		Ng = 1	Ng = 1	
Cell	D		0	0	
PDCCH content			All PDCCH resources shall be occupied by non-zero data		
N_{oc} at antenna port		dBm/15kHz	-98	-98	
Cyclic prefix			Normal	Normal	

Note 1: according to Clause 6.9 in TS 36.211 [4]

8.5.1.1 Single-antenna port performance

For the parameters specified in Table 8.5.1-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.1.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.1.1-1: Minimum performance PHICH

Test	Bandwidth	Reference	Propagation	Antenna	Reference value	
number		Channel	Condition	configuration and correlation Matrix	Pm-an (%)	SNR (dB)
1	10 MHz	R.18	ETU70	1 x 2 Low	0.1	5.5
2	10 MHz	R.24	ETU70	1 x 2 Low	0.1	0.6

8.5.1.2 Transmit diversity performance

8.5.1.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.5.1-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.1.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.1.2.1-1: Minimum performance PHICH

ſ	Test	Bandwidth	Reference	Propagation	Antenna	Reference value	
	number		Channel	Condition	configuration	Pm-an (%)	SNR (dB)
					and correlation		
					Matrix		
Ī	1	1.4 MHz	R.19	EPA5	2 x 2 Low	0.1	5.6

8.5.1.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.5.1-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.1.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.1.2.2-1: Minimum performance PHICH

ſ	Test	Bandwidth	Reference	Propagation	Antenna	Referen	nce value	
	number		Channel	Condition	configuration and correlation Matrix	Pm-an (%)	SNR (dB)	
	1	10 MHz	R.20	EVA5	4 x 2 Medium	0.1	6.0	

8.5.2 TDD

Table 8.5.2-1: Test Parameters for PHICH

Param	eter	Unit	Single antenna port	Transmit diversity	
Uplink downlink cor 1)	nfiguration (Note		1	1	
Special subframe (Note	•		4	4	
	PHICH_RA	dB			
Downlink power allocation	PHICH_RB	dB	0	-3	
	PCFICH_RA	dB			
	PCFICH_RB	dB		-3	
	PDCCH_RA	dB	0		
	PDCCH_RB	dB			
PHICH do	uration		Normal	Normal	
Number of PHICH	groups (Note 3)		Ng = 1	Ng = 1	
Cell I	D		0	0	
PDCCH content			All PDCCH resources shall be occupied by non-zero data		
$N_{\scriptscriptstyle oc}$ at antenna port		dBm/15kHz	-98	-98	
Cyclic p	Cyclic prefix		Normal	Normal	
ACK/NACK fee	dback mode		Multiplexing	Multiplexing	

Note 1: as specified in Table 4.2-2 in TS 36.211 [4]
Note 2: as specified in Table 4.2-1 in TS 36.211 [4]
Note 3: according to Clause 6.9 in TS 36.211 [4]

8.5.2.1 Single-antenna port performance

For the parameters specified in Table 8.5.2-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.2.1-1: Minimum performance PHICH

Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value	
number		Channel	Condition	configuration and correlation Matrix	Pm-an (%)	SNR (dB)	
1	10 MHz	R.18	ETU70	1 x 2 Low	0.1	5.8	
2	10 MHz	R.24	ETU70	1 x 2 Low	0.1	1.3	

8.5.2.2 Transmit diversity performance

8.5.2.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.5.2-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.2.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.2.2.1-1: Minimum performance PHICH

Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
number		Channel	Condition	configuration and correlation Matrix	Pm-an (%)	SNR (dB)
1	1.4 MHz	R.19	EPA5	2 x 2 Low	0.1	5.3

8.5.2.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.5.2-1 the average probability of a miss-detecting ACK for NACK (Pm-an) shall be below the specified value in Table 8.5.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.5.2.2.2-1: Minimum performance PHICH

Test	Bandwidth	Reference	Propagation	Antenna	Reference value	
number		Channel	Condition	configuration and correlation Matrix	Pm-an (%)	SNR (dB)
1	10 MHz	R.20	EVA5	4 x 2 Medium	0.1	6.1

8.6 Demodulation of PBCH

The receiver characteristics of the PBCH are determined by the probability of miss-detection of the PBCH (Pm-bch).

8.6.1 FDD

Table 8.6.1-1: Test Parameters for PBCH

Parame	eter	Unit	Single antenna port	Transmit diversity	
Downlink power	PBCH_RA	dB	0	-3	
allocation	PBCH_RB	dB	0	-3	
N_{oc} at antenna port		dBm/15kHz	-98	-98	
Cyclic pi	refix		Normal	Normal	
Cell II	D		0	0	
Note 1: as speci	fied in Table 4.3	2 in TC 26 244 [A	1	•	

Note 1: as specified in Table 4.2-2 in TS 36.211 [4] Note 2: as specified in Table 4.2-1 in TS 36.211 [4]

8.6.1.1 Single-antenna port performance

For the parameters specified in Table 8.6.1-1 the average probability of a miss-detecting PBCH (Pm-bch) shall be below the specified value in Table 8.6.1.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.1.1-1: Minimum performance PBCH

Test	Bandwidth	Reference	Propagation	Antenna	Reference value	
number		Channel	Condition	configuration and correlation Matrix	Pm-bch (%)	SNR (dB)
1	1.4 MHz	R.21	ETU70	1 x 2 Low	1	-6.1

8.6.1.2 Transmit diversity performance

8.6.1.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.6.1-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified value in Table 8.6.1.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.1.2.1-1: Minimum performance PBCH

Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
number		Channel	Condition	configuration	Pm-bch (%)	SNR (dB)
				and		
				correlation		
				Matrix		
1	1.4 MHz	R.22	EPA5	2 x 2 Low	1	-4.8

8.6.1.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.6.1-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified value in Table 8.6.1.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.1.2.2-1: Minimum performance PBCH

Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
number		Channel	Condition	configuration	Pm-bch (%)	SNR (dB)
				and		
				correlation		
				Matrix		
1	1.4 MHz	R.23	EVA5	4 x 2 Medium	1	-3.5

8.6.2 TDD

Table 8.6.2-1: Test Parameters for PBCH

Unit	Single antenna port	Transmit diversity	
	1	1	
	4	4	
dB	0	-3	
dB	0	-3	
dBm/15kHz	-98	-98	
	Normal	Normal	
	0	0	
	dB dB	Onit port	Onit port diversity 1 1 4 4 dB 0 -3 dB 0 -3 dBm/15kHz -98 -98

Note 1: as specified in Table 4.2-2 in TS 36.211 [4] Note 2: as specified in Table 4.2-1 in TS 36.211 [4]

8.6.2.1 Single-antenna port performance

For the parameters specified in Table 8.6.2-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified value in Table 8.6.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.2.1-1: Minimum performance PBCH

Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
number		Channel	Condition	configuration and correlation Matrix	Pm-bch (%)	SNR (dB)
1	1.4 MHz	R.21	ETU70	1 x 2 Low	1	-6.4

8.6.2.2 Transmit diversity performance

8.6.2.2.1 Minimum Requirement 2 Tx Antenna Port

For the parameters specified in Table 8.6.2-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified value in Table 8.6.2.2.1-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.2.2.1-1: Minimum performance PBCH

	Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
	number		Channel	Condition	configuration and correlation Matrix	Pm-bch (%)	SNR (dB)
	1	1.4 MHz	R.22	EPA5	2 x 2 Low	1	-4.8

8.6.2.2.2 Minimum Requirement 4 Tx Antenna Port

For the parameters specified in Table 8.6.2-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified value in Table 8.6.2.2.2-1. The downlink physical setup is in accordance with Annex C.3.2.

Table 8.6.2.2.2-1: Minimum performance PBCH

	Test	Bandwidth	Reference	Propagation	Antenna	Referen	ce value
ı	number		Channel	Condition	configuration and correlation Matrix	Pm-bch (%)	SNR (dB)
	1	1.4 MHz	R.23	EVA5	4 x 2 Medium	1	-4.1

9 Reporting of Channel State Information

9.1 General

This section includes requirements for the reporting of channel state information (CSI). For all test cases in this section, the definition of SNR is in accordance with the one given in clause 8.1.1.

9.2 CQI reporting definition under AWGN conditions

The reporting accuracy of the channel quality indicator (CQI) under frequency non-selective conditions is determined by the reporting variance and the BLER performance using the transport format indicated by the reported CQI median. The purpose is to verify that the reported CQI values are in accordance with the CQI definition given in TS 36.211 [4]. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

9.2.1 Minimum requirement PUCCH 1-0

9.2.1.1 FDD

For the parameters specified in Table 9.2.1.1-1, and using the downlink physical channels specified in tables C.3.2-1 and C.3.2-2, the reported CQI value according to Table A.4-1 shall be in the range of ± 1 of the reported median more than 90% of the time. If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, the BLER using the transport format indicated by the (median CQI + 1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, the BLER using transport format indicated by (median CQI - 1) shall be less than or equal to 0.1.

Table 9.2.1.1-1: PUCCH 1-0 static test (FDD)

Parameter	Parameter		Tes	st 1	Tes	st 2	
Bandwidth		MHz	10				
PDSCH transmission	n mode		1				
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	0				
allocation	$ ho_{\scriptscriptstyle B}$	dB			0		
Propagation condit antenna configur			AWGN (1 x 2)				
SNR (Note 2	2)	dB	0	1	6	7	
$\hat{I}_{or}^{(j)}$		dB[mW/15kHz]	-98	-97	-92	-91	
$N_{oc}^{(j)}$		dB[mW/15kHz]	-9	98	-98		
Max number of F transmission			1				
PUCCH Form		[Format 2]					
PUCCH Report Type			4				
	Reporting periodicity ms		$N_{P} = 5$				
cqi-pmi-Configurati	onIndex			•	5		

Note 1: Reference measurement channel according to Table A.4-1 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1.

Note 2: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s) and the respective wanted signal input level.

9.2.1.2 TDD

For the parameters specified in Table 9.2.1.2-1, and using the downlink physical channels specified in tables C.3.2-1 and C.3.2-2, the reported CQI value according to Table A.4-2 shall be in the range of ± 1 of the reported median more than 90% of the time. If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, the BLER using the transport format indicated by the (median CQI + 1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, the BLER using transport format indicated by (median CQI - 1) shall be less than or equal to 0.1.

Table 9.2.1.2-1: PUCCH 1-0 static test (TDD)

Parameter		Unit	Tes	st 1	Те	Test 2	
Bandwidth		MHz			10		
PDSCH transmission mode			1				
Uplink downlink conf	iguration				2		
Special subfrar			4				
Downlink power	$ ho_{\scriptscriptstyle A}$	dB			0		
allocation	$ ho_{\scriptscriptstyle B}$	dB			0		
Propagation condition antenna configur			AWGN (1 x 2)				
SNR (Note 2)	dB	0	1	6	7	
$\hat{I}_{or}^{(j)}$		dB[mW/15kHz]	-98	-97	-92	-91	
$N_{oc}^{(j)}$		dB[mW/15kHz]	-9	98	-!	98	
Max number of H transmission					1		
PUCCH Form	at			[For	mat 2]		
PUCCH Report Type					4		
Reporting periodicity		ms	<i>N</i> _P = 5				
cqi-pmi-ConfigurationIndex			3				
ACK/NACK feedbac	k mode			Multi	plexing		

Note 1: Reference measurement channel according to Table A.4-2 with one sided dynamic OCNG Pattern OP.1 TDD as described in Annex A.5.2.1.

Note 2: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s)

and the respective wanted signal input level.

9.2.2 Minimum requirement PUCCH 1-1

The minimum requirements for dual codeword transmission are defined in terms of a reporting spread of the wideband CQI value for codeword #1, and their BLER performance using the transport format indicated by the reported CQI median of codeword #0 and codeword #1. The precoding used at the transmitter is a fixed precoding matrix specified by the bitmap parameter *codebookSubsetRestriction*. The propagation condition assumed for the minimum performance requirement is defined in subclause B.1.

9.2.2.1 FDD

For the parameters specified in table 9.2.2.1-1, and using the downlink physical channels specified in tables C.3.2-1 and C.3.2-2, the reported offset level of the wideband spatial differential CQI for codeword #1 (Table 7.2.2 in TS 36.213 [6]) shall be used to determine the wideband CQI index for codeword #1 as

wideband CQI₁ = wideband CQI₀ - Codeword 1 offset level

The wideband CQI_1 shall be within the set {median CQI_1 -1, median CQI_1 +1} for more than 90% of the time, where the resulting wideband values CQI_1 shall be used to determine the median CQI values for codeword #1. For both codewords #0 and #1, the PDSCH BLER using the transport format indicated by the respective median CQI_0 -1 and median CQI_1 -1 shall be less than or equal to 0.1. Furthermore, for both codewords #0 and #1, the PDSCH BLER using the transport format indicated by the respective median CQI_0 +1 and median CQI_1 +1 shall be greater than or equal to 0.1.

Table 9.2.2.1-1: PUCCH 1-1 static test (FDD)

Parameter		Unit	Tes	st 1	Te	st 2	
Bandwidth		MHz	10				
PDSCH transmissio	n mode		4				
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3				
allocation	$ ho_{\scriptscriptstyle B}$	dB			-3		
Propagation conditi antenna configura				Clause	B.1 (2 x 2)		
CodeBookSubsetRe bitmap	striction		010000				
SNR (Note 2))	dB	10	11	16	17	
$\hat{I}_{or}^{(j)}$		dB[mW/15kHz]	-88	-87	-82	-81	
$N_{oc}^{(j)}$		dB[mW/15kHz]	-9	98	-9	98	
Max number of H transmissions					1		
PUCCH Forma	at		[Format 2]				
PUCCH Report Type			•	•	2		
Reporting periodicity		ms	•	N	∍ = 5		
cqi-pmi-ConfigurationIndex			5				
RI report			OFF				

Note 1: Reference measurement channel according to Table A.4-1 with one sided dynamic OCNG

Pattern OP.1 FDD as described in Annex A.5.1.1.

Note 2: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s)

and the respective wanted signal input level.

9.2.2.2 TDD

For the parameters specified in table 9.2.2.2-1, and using the downlink physical channels specified in tables C.3.2-1 and C.3.2-2, the reported offset level of the wideband spatial differential CQI for codeword #1 (Table 7.2.2 in TS 36.213 [6]) shall be used to determine the wideband CQI index for codeword #1 as

wideband CQI₁ = wideband CQI₀ - Codeword 1 offset level

The wideband CQI_1 shall be within the set {median CQI_1 -1, median CQI_1 +1} for more than 90% of the time, where the resulting wideband values CQI_1 shall be used to determine the median CQI values for codeword #1. For both codewords #0 and #1, the PDSCH BLER using the transport format indicated by the respective median CQI_0 -1 and median CQI_1 -1 shall be less than or equal to 0.1. Furthermore, for both codewords #0 and #1, the PDSCH BLER using the transport format indicated by the respective median CQI_0 +1 and median CQI_1 +1 shall be greater than or equal to 0.1.

Table 9.2.2.2-1: PUCCH 1-1 static test (TDD)

Parameter		Unit	Test 1 Test 2			st 2
Bandwidth		MHz			10	
PDSCH transmission	n mode				4	
Uplink downlink configuration					2	
Special subfration			4			
Downlink power	$ ho_{\scriptscriptstyle A}$	dB			-3	
allocation	$ ho_{\scriptscriptstyle B}$	dB			-3	
Propagation condit antenna configur				Clause I	B.1 (2 x 2)	
CodeBookSubsetRestriction bitmap			010000			
SNR (Note 2	.)	dB	10	11	16	17
$\hat{I}_{or}^{(j)}$		dB[mW/15kHz]	-88	-87	-82	-81
$N_{oc}^{(j)}$		dB[mW/15kHz]	-9	98	-9	98
Max number of H transmission					1	
PUCCH Form	at		[Format 2]			
PUCCH Report Type					2	
Reporting periodicity		ms		N _F	o = 5	
cqi-pmi-ConfigurationIndex			3			
RI report			OFF			
ACK/NACK feedbac	ck mode		·	Multi	plexing	0.0110

Note 1: Reference measurement channel according to Table A.4-2 with one sided dynamic OCNG

Pattern OP.1 TDD as described in Annex A.5.2.1.

Note 2: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s)

and the respective wanted signal input level.

9.3 CQI reporting under fading conditions

9.3.1 Frequency-selective scheduling mode

The accuracy of sub-band channel quality indicator (CQI) reporting under frequency selective fading conditions is determined by a double-sided percentile of the reported differential CQI offset level 0 per sub-band, and the relative increase of the throughput obtained when transmitting on any one of the sub-bands with the highest reported differential CQI offset level the corresponding transport format compared to the case for which a fixed format is transmitted on any sub-band in set *S* of TS 36.213 [6]. The purpose is to verify that preferred sub-bands can be used for frequently-selective scheduling. To account for sensitivity of the input SNR the sub-band CQI reporting under frequency selective fading conditions is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

9.3.1.1 Minimum requirement PUSCH 3-0

9.3.1.1.1 FDD

For the parameters specified in Table 9.3.1.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.1.1.1-2 and by the following

- a) sub-band differential CQI offset level of 0 shall be reported at least α % of the time but less than β % for each sub-band:
- b) the ratio of the throughput obtained when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS and that obtained when transmitting the TBS indicated by the reported wideband CQI median on a randomly selected sub-band in set S shall be $\geq \gamma$,

c) when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS, the average BLER for the indicated transport formats shall be greater or equal to 0.05.

The requirements only apply for sub-bands of full size and the random scheduling across the sub-bands is done by selecting a new sub-band in each TTI for FDD, each available downlink transmission instance for TDD. The transport block size TBS(wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the N_{PRB} entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the sub-band size.

Table 9.3.1.1.1-1 Sub-band test for single antenna transmission (FDD)

Parameter	Unit	Test 1 Test 2		st 2		
Bandwidth	MHz	10 MHz				
Transmission mode			1 (po	ort 0)		
SNR (Note 3)	dB	9	10	14	15	
$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	-89	-88	-84	-83	
$N_{oc}^{(j)}$	dB[mW/15kHz]	-6	98	-(98	
		Clause	B.2.4 wi	th $\tau_d = 0$).45 <i>μ</i> s,	
Propagation channel		$a = 1, f_D = 5 \text{ Hz}$				
Correlation			F	ull		
Reporting interval	ms		;	5		
CQI delay	ms		8	3		
Reporting mode			PUSC	CH 3-0		
Max number of HARQ transmissions						
Note 1: If the UE repo	rts in an available u	ıplink rep	orting ins	tance at		
subframe SF#	subframe SF#n based on CQI estimation at a downlink subframe					
not later than SF#(n-4), this reported subband or wideband CQI						

cannot be applied at the eNB downlink before SF#(n+4)

Note 2: Reference measurement channel according to Table A.4-4 with one/two sided dynamic OCNG Pattern OP.1/2 FDD as described in Annex A.5.1.1/2.

Note 3: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s) and the respective wanted signal input level

Table 9.3.1.1.1-2 Minimum requirement (FDD)

	Test 1	Test 2	
α[%]	2	2	
β[%]	55	55	
γ	1.1	1.1	

9.3.1.1.2 **TDD**

For the parameters specified in Table 9.3.1.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.1.1.2-2 and by the following

- a) a sub-band differential CQI offset level of 0 shall be reported at least α % of the time but less than β % for each sub-band;
- b) the ratio of the throughput obtained when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS and that obtained when transmitting the TBS indicated by the reported wideband CQI median on a randomly selected sub-band in set S shall be $\geq \gamma$;
- c) when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS, the average BLER for the indicated transport formats shall be greater or equal to TBD.

The requirements only apply for sub-bands of full size and the random scheduling across the sub-bands is done by selecting a new sub-band in each TTI for FDD, each available downlink transmission instance for TDD. The transport block size TBS (wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the N_{PRB} entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the sub-band size.

Table 9.3.1.1.2-1 Sub-band test for single antenna transmission (TDD)

Parameter	Unit	Tes	st 1	Tes	st 2
Bandwidth	MHz		10 l	MHz	
Transmission mode			1 (po	ort 0)	
Uplink downlink configuration		2			
Special subframe configuration			4	4	
SNR (Note 3)	dB	9	10	14	15
$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	-89	-88	-84	-83
$N_{oc}^{(j)}$	dB[mW/15kHz]	-98 -98		98	
		Clause	B.2.4 wit	th $\tau_d = 0$).45 <i>μ</i> s,
Propagation channel				$r_D = 5 \text{ Hz}$	
Correlation		Full			
Reporting interval	ms	5			
CQI delay	ms	8			
Reporting mode			PUSC	CH 3-0	
Max number of HARQ				1	
transmissions		· ·			
ACK/NACK feedback		Multiplexing			
mode		. •			
Note 1: If the UE reports in an available uplink reporting instance at subframe SF#n based on CQI estimation at a downlink subframe not later than SF#(n-4), this reported subband or wideband CQI					
cannot be applied at the eNB downlink before SF#(n+4)					
Note 2: Deference reconstructional according to Table A 1.5 with					

- Note 2: Reference measurement channel according to Table A.4-5 with one/two sided dynamic OCNG Pattern OP.1/2 TDD as described in Annex A 5.2.1/2
- Note 3: For each test, the minimum requirements shall be fulfilled for at least one of the two SNR(s) and the respective wanted signal input level.

Table 9.3.1.1.2-2 Minimum requirement (TDD)

	Test 1	Test 2	
<i>α</i> [%]	TBD	TBD	
β[%]	TBD	TBD	
γ	TBD	TBD	

9.3.2 Frequency non-selective scheduling mode

The reporting accuracy of the channel quality indicator (CQI) under frequency non-selective fading conditions is determined by a double-sided percentile of the reported CQI, and the relative increase of the throughput obtained when the transport format transmitted is that indicated by the reported CQI compared to the case for which a fixed transport format configured according to the reported median CQI is transmitted. In addition, the reporting accuracy is determined by a minimum BLER using the transport formats indicated by the reported CQI. The purpose is to verify that the UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for frequently non-selective scheduling. To account for sensitivity of the input SNR the CQI reporting under frequency non-selective fading conditions is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

9.3.2.1 Minimum requirement PUCCH 1-0

9.3.2.1.1 FDD

For the parameters specified in Table 9.3.2.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.2.1.1-2 and by the following

- a) a CQI index not in the set {median CQI -1, median CQI +1} shall be reported at least α % of the time;
- b) the ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband
 CQI index and that obtained when transmitting a fixed transport format configured according to the wideband
 CQI median shall be ≥ γ;
- c) when transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater or equal to 0.02

The transport block size TBS(wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the N_{PRB} entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the maximum transmission configuration (Table 5.6-1).

Table 9.3.2.1.1-1 Fading test for single antenna (FDD)

Pai	rameter	Unit	Test 1 Test 2		st 2	
Ва	ndwidth	MHz		101	ИНz	
Transm	ission mode			1 (po	ort 0)	
SNR	R (Note 3)	dB	6	7	12	13
	$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	-92	-91	-86	-85
	$N_{oc}^{(j)}$	dB[mW/15kHz]	-6	98	-9	8
Propaga	ation channel			EP	A5	
Co	rrelation				gh	
Repo	rting mode			PUCC	CH 1-0	
Reportir	ng periodicity	ms	N _P = 2			
	QI delay	ms	8			
	CH Format		[Format 2]			
PUCCH	Report Type		4			
	qi-pmi-		1			
	urationIndex ober of HARQ					
	smissions		1			
Note 1:						
	subframe SF#n based on CQI estimation at a downlink SF not later				ot later	
	than SF#(n-4), this reported wideband CQI cannot be applied at the				d at the	
	eNB downlink before SF#(n+4)					
Note 2:					ith one	
	sided dynamic OCNG Pattern OP.1 FDD as described in Annex					nex
	A.5.1.1.					
Note 3:						
	least one of the two SNR(s) and the respective wanted signal input			al input		
	level.					

Table 9.3.2.1.1-2 Minimum requirement (FDD)

	Test 1	Test 2	
<i>α</i> [%]	20	20	
γ	1.05	1.05	

9.3.2.1.2 **TDD**

For the parameters specified in Table 9.3.2.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.2.1.2-2 and by the following

- a) a CQI index not in the set {median CQI -1, median CQI +1} shall be reported at least α % of the time;
- b) the ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be $\geq \gamma$;
- c) when transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater or equal to TBD

The transport block size TBS(wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the $N_{\rm PRB}$ entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the maximum transmission configuration (Table 5.6-1).

Table 9.3.2.1.2-1 Fading test for single antenna (TDD)

Pa	rameter	Unit	Te	st 1	Tes	st 2
Ва	ındwidth	MHz	10 MHz			
Transm	nission mode			1 (po	ort 0)	
Uplin	k downlink				2	
	figuration			•		
	al subframe				4	
con	figuration				-	
SNF	R (Note 3)	dB	6	7	12	13
	$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	-92	-91	-86	-85
	$N_{oc}^{(j)}$	dB[mW/15kHz]	-(98	-6	98
Propag	ation channel		EPA5			
Co	rrelation		High			
Repo	rting mode		PUCCH 1-0			
Reporti	ng periodicity	ms	$N_{P} = 5$			
	QI delay	ms	8			
PUC	CH Format		[Format 2]			
	I Report Type		4			
	qi-pmi-		3			
	urationIndex			<u> </u>		
	nber of HARQ				1	
	smissions					
	ACK feedback			Multin	lexina	
	mode		Multiplexing			
Note 1:		orts in an available u		_		
		n based on CQI es			_	
	than SF#(n-4), this reported wideband CQI cannot be applied at the				d at the	
Note 2:	eNB downlink before SF#(n+4)					
Note 2.	Note 2: Reference measurement channel according to Table A.4-2 with one					
sided dynamic OCNG Pattern OP.1 TDD as described in Annex A.5.2.1.						
Note 3:		, the minimum requi				
		ne two SNR(s) and t	he respe	ctive war	nted signa	al input
	level					

Table 9.3.2.1.2-2 Minimum requirement (TDD)

	Test 1	Test 2	
<i>α</i> [%]	[20]	[20]	
γ	TBD	TBD	

9.3.3 Frequency-selective interference

The accuracy of sub-band channel quality indicator (CQI) reporting under frequency selective interference conditions is determined by a double-sided percentile of the reported differential CQI offset level +2 for a preferred sub-band, and the relative increase of the throughput obtained when transmitting on any one of the sub-bands with the highest reported differential CQI offset level the corresponding transport format compared to the case for which a fixed format is transmitted on any sub-band in set *S* of TS 36.213 [6]. The purpose is to verify that preferred sub-bands are used for frequently-selective scheduling under frequency-selective interference conditions.

9.3.3.1 Minimum requirement PUSCH 3-0

9.3.3.1.1 FDD

For the parameters specified in Table 9.3.3.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.3.1.1-2 and by the following

- a) a sub-band differential CQI offset level of +2 shall be reported at least α % for at least one of the sub-bands of full size at the channel edges;
- b) the ratio of the throughput obtained when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS and that obtained when transmitting the TBS indicated by the reported wideband CQI median on a randomly selected sub-band in set S shall be $\geq \gamma$;

The requirements only apply for sub-bands of full size and the random scheduling across the sub-bands is done by selecting a new sub-band in each TTI for FDD, each available downlink transmission instance for TDD. Sub-bands of a size smaller than full size are excluded from the test. The transport block size TBS(wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the $N_{\rm PRB}$ entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the sub-band size.

Table 9.3.3.1.1-1 Sub-band test for single antenna transmission (FDD)

Parameter	Unit	Test 1	Test 2
Bandwidth	MHz	10 MHz	10 MHz
Transmission mode		1 (port 0)	1 (port 0)
$I_{ot}^{(j)}$ for RB 0[5]	dB[mW/15kHz]	[-102]	[-93]
$I_{ot}^{(j)}$ for RB 6[41]	dB[mW/15kHz]	[-93]	[-93]
$I_{ot}^{(j)}$ for RB [42]49	dB[mW/15kHz]	[-93]	[-102]
$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	[-94]	[-94]
Max number of HARQ transmissions		1	
Propagation channel		Clause B.2.4 with $\tau_d = 0.45 \mu\text{s}$ $a = 1, \ f_D = 5 \text{Hz}$	
Correlation		Full	
Reporting interval	ms	5	
Minimum CQI delay	ms	8	
Reporting mode		PUSCH 3-0	
Sub-band size	RB	6 (full size)	

Note 1: If the UE reports in an available uplink reporting instance at subframe SF#n based on CQI estimation at a downlink subframe not later than SF#(n-4), this reported subband or wideband CQI cannot be applied at the eNB downlink before SF#(n+4).

Note 2: Reference measurement channel according to Table A.4-4 with one/two sided dynamic OCNG Pattern OP.1/2 FDD as described in Annex A.5.1.1/2.

Table 9.3.3.1.1-2 Minimum requirement (FDD)

	Test 1	Test 2
α[%]	60	60
γ	1.6	1.6

9.3.3.1.2 TDD

For the parameters specified in Table 9.3.3.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.3.3.1.2-2 and by the following

- a) a sub-band differential CQI offset level of +2 shall be reported at least α % for at least one of the sub-bands of full size at the channel edges;
- b) the ratio of the throughput obtained when transmitting on any one of the sub-bands with the highest differential CQI offset level the corresponding TBS and that obtained when transmitting the TBS indicated by the reported wideband CQI median on a randomly selected sub-band in set S shall be $\geq \gamma$,

The requirements only apply for sub-bands of full size and the random scheduling across the sub-bands is done by selecting a new sub-band in each TTI for FDD, each available downlink transmission instance for TDD. Sub-bands of a size smaller than full size are excluded from the test. The transport block size TBS (wideband CQI median) is that resulting from the code rate which is closest to that indicated by the wideband CQI median and the $N_{\rm PRB}$ entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the sub-band size.

Table 9.3.3.1.2-1 Sub-band test for single antenna transmission (TDD)

Parameter	Unit	Test 1	Test 2	
Bandwidth	MHz	10 MHz	10 MHz	
Transmission mode		1 (port 0)	1 (port 0)	
Uplink downlink configuration		2		
Special subframe configuration		2	4	
$I_{ot}^{(j)}$ for RB 0[5]	dB[mW/15kHz]	[-102]	[-93]	
$I_{ot}^{(j)}$ for RB 6[41]	dB[mW/15kHz]	[-93]	[-93]	
$I_{ot}^{(j)}$ for RB [42]49	dB[mW/15kHz]	[-93]	[-102]	
$\hat{I}_{or}^{(j)}$	dB[mW/15kHz]	[-94]	[-94]	
Max number of HARQ transmissions		1		
		Clause B.2.4 with $\tau_d = 0.45 \mu\text{s}$		
Propagation channel		$a = 1, f_D = 5 \text{ Hz}$		
Correlation		Full		
Reporting interval	ms	5		
Minimum CQI delay	ms	8		
Reporting mode		PUSCH 3-0		
Sub-band size	RB	6 (full size)		
ACK/NACK feedback mode		Multiplexing		

Note 1: If the UE reports in an available uplink reporting instance at subframe SF#n based on CQI estimation at a downlink subframe not later than SF#(n-4), this reported subband or wideband CQI cannot be applied at the eNB downlink before SF#(n+4)

Note 2: Reference measurement channel according to table A.4-5 with one/two sided dynamic OCNG Pattern OP.1/2 TDD as described in Annex A.5.2.1/2.

Table 9.3.3.1.2-2 Minimum requirement (TDD)

	Test 1	Test 2
α[%]	TBD	TBD
γ	TBD	TBD

9.4 Reporting of Precoding Matrix Indicator (PMI)

The minimum performance requirements of PMI reporting are defined based on the precoding gain, expressed as the relative increase in throughput when the transmitter is configured according to the UE reports compared to the case when the transmitter is using random precoding, respectively. Transmission mode 6 is used with a fixed transport format (FRC) configured. The requirements are specified in terms of the ratio

$$\gamma = \frac{t_{ue}}{t_{rnd}}$$

where t_{md} is 60% of the maximum throughput obtained at SNR_{rnd} using random precoding, and t_{ue} the throughput measured at SNR_{rnd} with precoders configured according to the UE reports.

9.4.1 Single PMI

9.4.1.1 Minimum requirement PUSCH 3-1

9.4.1.1.1 FDD

For the parameters specified in Table 9.4.1.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.4.1.1.1-2.

Table 9.4.1.1.1-1 PMI test for single-layer (FDD)

Parameter	Unit	Test 1
Bandwidth	MHz	10
Transmission mode		6
Propagation channel		EVA5
Precoding granularity	PRB	50
Correlation and antenna configuration		Low 2 x 2
$N_{oc}^{(j)}$	dB[mW/15kHz]	-98
Reporting mode		PUSCH 3-1
Reporting interval	ms	1
PMI delay (Note 2)	ms	8
Measurement channel		R.2 FDD
OCNG Pattern		OP.1 FDD
Max number of HARQ		4
transmissions		4
Redundancy version coding sequence		{0,1,2,3}

Note 1: For random precoder selection, the precoder shall be updated in each TTI (1 ms granularity)

Note 2: If the UE reports in an available uplink reporting instance at subrame SF#n based on PMI estimation at a downlink SF not later than SF#(n-4), this reported PMI cannot be applied at the

eNB downlink before SF#(n+4).

Table 9.4.1.1.1-2 Minimum requirement (FDD)

Parameter	Test 1
γ	1.1

9.4.1.1.2 TDD

For the parameters specified in Table 9.4.1.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in 9.4.1.1.2-2.

Table 9.4.1.1.2-1 PMI test for single-layer (TDD)

Pa	rameter	Unit	Test 1			
Ва	ndwidth	MHz	10			
Transm	nission mode		6			
Uplin	k downlink		1			
	figuration		ı			
	al subframe		4			
	figuration		•			
Propaga	ation channel		EVA5			
	ng granularity	PRB	50			
	elation and		Low 2 x 2			
antenna	configuration		LOWZXZ			
	$N_{oc}^{(j)}$	dB[mW/15kHz]	-98			
Reporting mode			PUSCH 3-1			
Reporting interval		ms	1			
Minimu	m PMI delay	ma	8			
1)	Note 2)	ms	_			
Measurement channel			R.2 TDD			
OCN	IG Pattern		OP.1 TDD			
Max nun	nber of HARQ		4			
trans	smissions		4			
	lancy version		{0,1,2,3}			
	g sequence		(0,1,2,0)			
	CK feedback		Multiplexing			
	mode					
Note 1:		recoder selection, th				
	shall be updated in each available downlink					
Note C	transmission instance					
Note 2:		orts in an available u				
instance at subrame SF#n based on PMI						
estimation at a downlink SF not later than SF#(n-						
4), this reported PMI cannot be applied at the						
eNB downlink before SF#(n+4)						

Table 9.4.1.1.2-2 Minimum requirement (TDD)

Parameter	Test 1
γ	1.1

9.4.2 Multiple PMI

9.4.2.1 Minimum requirement PUSCH 1-2

9.4.2.1.1 FDD

For the parameters specified in Table 9.4.2.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in 9.4.2.1.1-2.

Table 9.4.2.1.1-1 PMI test for single-layer (FDD)

Pai	rameter	Unit	Test 1		
Ва	ndwidth	MHz	20		
Transm	ission mode		6		
Propaga	ation channel		EPA5		
Precodir	ng granularity	PRB	8		
	elation and		Low 2 x 2		
	configuration				
	$N_{oc}^{(j)}$	dB[mW/15kHz]	-98		
Repo	rting mode		PUSCH 1-2		
Report	ting interval	ms	1		
PΝ	/II delay	ms	8		
Measurement channel			R.30 FDD		
OCN	G Pattern		OP.1 FDD		
Max num	ber of HARQ		4		
	smissions		- T		
Redund	ancy version		{0,1,2,3}		
coding	g sequence		(0,1,2,3)		
Note 1:		recoder selection, th			
	shall be updated in each TTI (1 ms granularity)				
Note 2:					
	instance at subrame SF#n based on PMI				
	estimation at a downlink SF not later than SF#(n-				
4), this reported PMI cannot be applied at the					
	eNB downlink	before SF#(n+4)			

Table 9.4.2.1.1-2 Minimum requirement (FDD)

Parameter	Test 1
γ	1.2

9.4.2.1.2 TDD

For the parameters specified in Table 9.4.2.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in 9.4.2.1.2-2.

Table 9.4.2.1.2-1 PMI test for single-layer (TDD)

Pa	rameter	Unit	Test 1			
Ва	ndwidth	MHz	20			
Transmission mode			6			
Uplink downlink			1			
conf	figuration		I			
	al subframe		4			
	figuration		-			
	ation channel		EPA5			
	ng granularity	PRB	8			
	lation and		Low 2 x 2			
antenna	configuration		LOW Z X Z			
	$N_{oc}^{(j)}$	dB[mW/15kHz]	-98			
Reporting mode			PUSCH 1-2			
Reporting interval		ms	1			
Minimum PMI delay		ms	8			
Measurement channel			R.30 TDD			
OCN	G Pattern		OP.1 TDD			
Max num	nber of HARQ		4			
trans	smissions					
Redund	ancy version		{0,1,2,3}			
codin	g sequence		(0,1,2,3)			
ACK/NA	CK feedback		M14:1:			
1	mode		Multiplexing			
Note 1:	For random p	recoder selection, th	ne precoders			
	shall be upda	ted in each available	e downlink			
	transmission instance					
Note 2:	te 2: If the UE reports in an available uplink reporting					
	instance at subrame SF#n based on PMI					
	estimation at a downlink SF not later than SF#(n-					
4), this reported PMI cannot be applied at the						
eNB downlink before SF#(n+4)						

Table 9.4.2.1.2-2 Minimum requirement (TDD)

Parameter	Test 1
γ	1.2

9.5 Reporting of Rank Indicator (RI)

The purpose of this test is to verify that the reported rank indicator accurately represents the channel rank. The accuracy of RI (CQI) reporting is determined by the relative increase of the throughput obtained when transmitting based on the reported rank compared to the case for which a fixed rank is used for transmission. Transmission mode 4 is used with the specified CodebookSubSetRestriction.

For fixed rank 1 transmission, the RI and PMI reporting is restricted to two single-layer precoders, For fixed rank 2 transmission, the RI and PMI reporting is restricted to one two-layer precoder, For follow RI transmission, the RI and PMI reporting is restricted to select the union of these precoders. Channels with low and high correlation are used to ensure that RI reporting reflects the channel condition.

9.5.1 Minimum requirement PUCCH 1-1

9.5.1.1 FDD

The minimum performance requirement in Table 9.5.1.1-2 is defined as

a) The ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 1 shall be $\geq \gamma_1$;

b) The ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 2 shall be $\geq \gamma_2$;

TBS selection is based on the UE wideband CQI feedback. The transport block size TBS is that resulting from the code rate which is closest to that indicated by M = wideband CQI and the N_{PRB} entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the transmission bandwidth configuration (Table 5.6-1).

For the parameters specified in Table 9.5.1.1-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.5.1.1-2.

Parameter Unit Test 1 Test 2 Test 3 Bandwidth MHz 10 PDSCH transmission mode 4 dB -3 Downlink power allocation -3 dB $\rho_{\scriptscriptstyle B}$ 000011 for fixed RI = 1CodeBookSubsetRestriction 010000 for fixed RI = 2bitmap 010011 for UE reported RI Propagation condition and 2 x 2 EPA5 antenna configuration Antenna correlation Low Low High Fixed RI=2 Fixed RI=2 and Fixed RI=1 RI configuration follow RI and follow RI and follow RI SNR dΒ [0] [20] [20] $N_{oc}^{(j)}$ dB[mW/15kHz] [-98][-98] [-98] $\overline{\hat{I}_{or}^{(j)}}$ dB[mW/15kHz] [-98][-78] [-78] Maximum number of HARQ [4] transmissions **PUCCH Format** Format 2 **PUCCH Report Type** Reporting periodicity ms $N_P = 5$ PMI and CQI delay 8 ms cqi-pmi-ConfigurationIndex 5 ri-ConfigurationInd [1]

Table 9.5.1.1-1 RI Test (FDD)

Note 1: In the case of rank 2 transmissoin, if one of the codewords terminates before another codeword, the base station shall not schedule new data for that codeword if the latest RI report is 1.

Note 3: Reference measurement channel according to Table A.4-1 with one sided dynamic OCNG Pattern OP.1 FDD as described in Annex A.5.1.1.

Table 9.5.1.1-2 Minimum requirement (FDD)

	Test 1	Test 2	Test 3
71	N/A	[TBD]	N/A
72	[TBD]	N/A	[TBD]

9.5.1.2 TDD

The minimum performance requirement in Table 9.5.1.2-2 is defined as

a) The ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 1 shall be $\geq \gamma_1$;

Note 2: If the UE reports in an available uplink reporting instance at subframe SF#n based on PMI and CQI estimation at a downlink subframe not later than SF#(n-4), this reported PMI and wideband CQI cannot be applied at the eNB downlink before SF#(n+4).

b) The ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 2 shall be $\geq \gamma_2$;

TBS selection is based on the UE wideband CQI feedback. The transport block size TBS is that resulting from the code rate which is closest to that indicated by M = wideband CQI and the N_{PRB} entry in Table 7.1.7.2.1-1 of TS 36.213 [6] that corresponds to the transmission bandwidth configuration (Table 5.6-1).

For the parameters specified in Table 9.5.1.2-1, and using the downlink physical channels specified in Annex C, the minimum requirements are specified in Table 9.5.1.2-2.

Table 9.5.1.2-1 RI Test (TDD)

Parameter		Unit	Test 1	Test 2	Test 3	
Bandwidth		MHz		10		
PDSCH transmission	on mode		4			
Downlink power	$ ho_{\scriptscriptstyle A}$	dB	-3			
allocation	$ ho_{\scriptscriptstyle B}$	dB		-3		
Uplink downlink con	figuration			2		
Special subfra configuration				4		
Propagation condit antenna configur				2 x 2 EPA5		
CodeBookSubsetRe	estriction			11 for fixed $RI = 1$		
bitmap	estriction			00 for fixed RI = 2		
			010011 for UE reported RI			
Antenna correlation			Low	Low	High	
RI configuration			Fixed RI=2 and follow RI	Fixed RI=1 and follow RI	Fixed RI=2 and follow RI	
SNR		dB	[0]	[20]	[20]	
$N_{oc}^{(j)}$		dB[mW/15kHz]	[-98]	[-98]	[-98]	
$\hat{I}_{or}^{(j)}$		dB[mW/15kHz]	[-98]	[-78]	[-78]	
Maximum number of transmission			[4]			
PUCCH Format			[Format 2]			
PUCCH Report Type				3		
Reporting periodicity		ms	·	$N_{\rm P} = 5$		
Minimum PMI and CQI delay		ms	<u>-</u>	8		
cqi-pmi-ConfigurationIndex			3			
ri-ConfigurationInd			[1]			
ACK/NACK feedback mode			Multiplexing			

Note 1: In the case of rank 2 transmissoin, if one of the codewords terminates before another codeword, the base station shall not schedule new data for that codeword if the latest RI report is 1.

Note 2: If the UE reports in an available uplink reporting instance at subframe SF#n based on PMI and CQI estimation at a downlink subframe not later than SF#(n-4), this reported PMI and wideband CQI cannot be applied at the eNB downlink before SF#(n+4).

Note 3: Reference measurement channel according to Table A.4-2 with one sided dynamic OCNG Pattern OP.1 TDD as described in Annex A.5.2.1.

Table 9.5.1.2-2 Minimum requirement (TDD)

	Test 1	Test 2	Test 3
71	N/A	[TBD]	N/A
15	[TBD]	N/A	[TBD]

Annex A (normative): Measurement channels

A.1 General

A.2 UL reference measurement channels

A.2.1 General

A.2.1.1 Applicability and common parameters

The following sections define the UL signal applicable to the Transmitter Characteristics (clause 6) and for the Receiver Characteristics (clause 7) where the UL signal is relevant.

The Reference channels in this section assume transmission of PUSCH and Demodulation Reference signal only. The following conditions apply:

- 1 HARQ transmission
- Cyclic Prefix normal
- PUSCH hopping off
- Link adaptation off
- Demodulation Reference signal as per TS 36.211 [4] subclause 5.5.2.1.2.

Where ACK/NACK is transmitted, it is assumed to be multiplexed on PUSCH as per TS 36.212 [5] subclause 5.2.2.6.

- ACK/NACK 1 bit
- ACK/NACK mapping adjacent to Demodulation Reference symbol
- ACK/NACK resources punctured into data
- Max number of resources for ACK/NACK: 4 SC-FDMA symbols per subframe
- No CQI transmitted, no RI transmitted

A.2.1.2 Determination of payload size

The algorithm for determining the payload size A is as follows; given a desired coding rate R and radio block allocation N_{RB}

- 1. Calculate the number of channel bits $N_{\rm ch}$ that can be transmitted during the first transmission of a given sub-frame
- 2. Find A such that the resulting coding rate is as close to R as possible, that is,

$$\min \left| R - (A + 24) / N_{ch} \right|,$$

subject to

- a) A is a valid TB size according to section 7.1.7 of TS 36.213 [6] assuming an allocation of N_{RB} resource blocks.
- b) Segmentation is not included in this formula, but should be considered in the TBS calculation.
- c) For RMC-s, which at the nominal target coding rate do not cover all the possible UE categories for the given modulation, reduce the target coding rate gradually (within the same modulation), until the maximal possible number of UE categories is covered.
- 3. If there is more than one A that minimises the equation above, then the larger value is chosen per default.

A.2.2 Reference measurement channels for FDD

A.2.2.1 Full RB allocation

A.2.2.1.1 QPSK

Table A.2.2.1.1-1 Reference Channels for QPSK with full RB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
DFT-OFDM Symbols per Sub-		12	12	12	12	12	12
Frame							
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3	1/5	1/6
Payload size	Bits	600	1544	2216	5160	4392	4584
Transport block CRC	Bits	24	24	24	24	24	24
Number of code blocks per Sub-		1	1	1	1	1	1
Frame (Note 1)							
Total number of bits per Sub-Frame	Bits	1728	4320	7200	14400	21600	28800
Total symbols per Sub-Frame		864	2160	3600	7200	10800	14400
UE Category		1-5	1-5	1-5	1-5	1-5	1-5

A.2.2.1.2 16-QAM

Table A.2.2.1.2-1 Reference Channels for 16-QAM with full RB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
DFT-OFDM Symbols per Sub-Frame		12	12	12	12	12	12
Modulation		16QAM	16QAM	16QAM	16QAM	16QAM	16QAM
Target Coding rate		3/4	1/2	1/3	3/4	1/2	1/3
Payload size	Bits	2600	4264	4968	21384	21384	19848
Transport block CRC	Bits	24	24	24	24	24	24
Number of code blocks per Sub-Frame		1	1	1	4	4	4
(Note 1)							
Total number of bits per Sub-Frame	Bits	3456	8640	14400	28800	43200	57600
Total symbols per Sub-Frame		864	2160	3600	7200	10800	14400
UE Category		1-5	1-5	1-5	2-5	2-5	2-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

A.2.2.1.3 64-QAM

[FFS]

A.2.2.2 Partial RB allocation

For each channel bandwidth, various partial RB allocations are specified. The number of allocated RBs is chosen according to values specified in the Tx and Rx requirements. The single allocated RB case is included.

The allocated RBs are contiguous and start from one end of the channel bandwidth. A single allocated RB is at one end of the channel bandwidth.

A.2.2.2.1 QPSK

Table A.2.2.2.1-1 Reference Channels for 1.4MHz QPSK with partial RB allocation

Unit	Value	Value
MHz	1.4	1.4
	1	5
	12	12
	QPSK	QPSK
	1/3	1/3
Bits	72	424
Bits	24	24
	1	1
Bits	288	1440
	144	720
	1-5	1-5
	MHz Bits Bits	MHz 1.4 1 1 12 QPSK 1/3 Bits 72 Bits 24 1 Bits 288 144

Table A.2.2.2.1-2 Reference Channels for 3MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	3	3
Allocated resource blocks		1	4
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		QPSK	QPSK
Target Coding rate		1/3	1/3
Payload size	Bits	72	392
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	288	1152
Total symbols per Sub-Frame		144	576
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise L=0 Bit)

Table A.2.2.2.1-3 Reference Channels for 5MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value
Channel bandwidth	MHz	5	5	5
Allocated resource blocks		1	8	20
DFT-OFDM Symbols per Sub-Frame		12	12	12
Modulation		QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3
Payload size	Bits	72	808	1736
Transport block CRC	Bits	24	24	24
Number of code blocks per Sub-Frame		1	1	1
(Note 1)				
Total number of bits per Sub-Frame	Bits	288	2304	5760
Total symbols per Sub-Frame		144	1152	2880
UE Category		1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2.1-4 Reference Channels for 10MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value	Value
Channel bandwidth	MHz	10	10	10	10
Allocated resource blocks		1	12	20	25
DFT-OFDM Symbols per Sub-Frame		12	12	12	12
Modulation		QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3
Payload size	Bits	72	1224	1736	2216
Transport block CRC	Bits	24	24	24	24
Number of code blocks per Sub-Frame		1	1	1	1
(Note 1)					
Total number of bits per Sub-Frame	Bits	288	3456	5760	7200
Total symbols per Sub-Frame		144	1728	2880	3600
UE Category		1-5	1-5	1-5	1-5

Table A.2.2.2.1-5 Reference Channels for 15MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value
Channel bandwidth	MHz	15	15	15
Allocated resource blocks		1	16	50
DFT-OFDM Symbols per Sub-Frame		12	12	12
Modulation		QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3
Payload size	Bits	72	1384	5160
Transport block CRC	Bits	24	24	24
Number of code blocks per Sub-Frame		1	1	1
(Note 1)				
Total number of bits per Sub-Frame	Bits	288	4608	14400
Total symbols per Sub-Frame		144	2304	7200
UE Category		1-5	1-5	1-5
1				

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.2.2.1-6 Reference Channels for 20MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value	Value	Value
Channel bandwidth	MHz	20	20	20	20	20
Allocated resource blocks		1	18	25	50	75
DFT-OFDM Symbols per Sub-Frame		12	12	12	12	12
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3	1/5
Payload size	Bits	72	1864	2216	5160	4392
Transport block CRC	Bits	24	24	24	24	24
Number of code blocks per Sub-Frame (Note 1)		1	1	1	1	1
Total number of bits per Sub-Frame	Bits	288	5184	7200	14400	21600
Total symbols per Sub-Frame		144	2592	3600	7200	10800
UE Category		1-5	1-5	1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

A.2.2.2.2 16-QAM

Table A.2.2.2-1 Reference Channels for 1.4MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	1.4	1.4
Allocated resource blocks		1	5
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size	Bits	408	2152
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	2880
Total symbols per Sub-Frame		144	720
UE Category		1-5	1-5

Table A.2.2.2.2 Reference Channels for 3MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	3	3
Allocated resource blocks		1	4
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size	Bits	408	1736
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	2304
Total symbols per Sub-Frame		144	576
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise L=0 Bit)

Table A.2.2.2.3 Reference Channels for 5MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	5	5
Allocated resource blocks		1	8
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size	Bits	408	3496
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	4608
Total symbols per Sub-Frame		144	1152
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise L=0 Bit)

Table A.2.2.2-4 Reference Channels for 10MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	10	10
Allocated resource blocks		1	12
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size	Bits	408	5160
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	6912
Total symbols per Sub-Frame		144	1728
UE Category		1-5	1-5

Table A.2.2.2.5 Reference Channels for 15MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	15	15
Allocated resource blocks		1	16
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	1/2
Payload size	Bits	408	4584
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	9216
Total symbols per Sub-Frame		144	2304
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise L=0 Bit)

Table A.2.2.2-6 Reference Channels for 20MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	20	20
Allocated resource blocks		1	18
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	1/2
Payload size	Bits	408	5160
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame		1	1
(Note 1)			
Total number of bits per Sub-Frame	Bits	576	10368
Total symbols per Sub-Frame		144	2592
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise L=0 Bit)

A.2.2.2.3 64-QAM

[FFS]

A.2.3 Reference measurement channels for TDD

For TDD, the measurement channel is based on DL/UL configuration ratio of 2DL:2UL.

A.2.3.1 Full RB allocation

A.2.3.1.1 QPSK

Table A.2.3.1.1-1 Reference Channels for QPSK with full RB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
Uplink-Downlink Configuration (Note 2)		1	1	1	1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12	12	12	12
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3	1/5	1/6
Payload size							
For Sub-Frame 2,3,7,8	Bits	600	1544	2216	5160	4392	4584
Transport block CRC	Bits	24	24	24	24	24	24
Number of code blocks per Sub-Frame							
(Note 1)							
For Sub-Frame 2,3,7,8		1	1	1	1	1	1
Total number of bits per Sub-Frame							
For Sub-Frame 2,3,7,8	Bits	1728	4320	7200	14400	21600	28800
Total symbols per Sub-Frame							
For Sub-Frame 2,3,7,8		864	2160	3600	7200	10800	14400
UE Category		1-5	1-5	1-5	1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached

to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

A.2.3.1.2 16-QAM

Table A.2.3.1.2-1 Reference Channels for 16-QAM with full RB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
Uplink-Downlink Configuration (Note 2)		1	1	1	1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12	12	12	12
Modulation		16QAM	16QAM	16QAM	16QAM	16QAM	16QAM
Target Coding rate		3/4	1/2	1/3	3/4	1/2	1/3
Payload size							
For Sub-Frame 2,3,7,8	Bits	2600	4264	4968	21384	21384	19848
Transport block CRC	Bits	24	24	24	24	24	24
Number of code blocks per Sub-Frame							
(Note 1)							
For Sub-Frame 2,3,7,8		1	1	1	4	4	4
Total number of bits per Sub-Frame							
For Sub-Frame 2,3,7,8	Bits	3456	8640	14400	28800	43200	57600
Total symbols per Sub-Frame							
For Sub-Frame 2,3,7,8		864	2160	3600	7200	10800	14400
UE Category		1-5	1-5	1-5	2-5	2-5	2-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each

Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

A.2.3.1.3 64-QAM

[FFS]

A.2.3.2 Partial RB allocation

For each channel bandwidth, various partial RB allocations are specified. The number of allocated RBs is chosen according to values specified in the Tx and Rx requirements. The single allocated RB case is included.

The allocated RBs are contiguous and start from one end of the channel bandwidth. A single allocated RB is at one end of the channel bandwidth.

A.2.3.2.1 QPSK

Table A.2.3.2.1-1 Reference Channels for 1.4MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	1.4	1.4
Allocated resource blocks		1	5
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		QPSK	QPSK
Target Coding rate		1/3	1/3
Payload size			
For Sub-Frame 2,3,7,8	Bits	72	424
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	288	1440
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	720
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.1-2 Reference Channels for 3MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	3	3
Allocated resource blocks		1	4
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		QPSK	QPSK
Target Coding rate		1/3	1/3
Payload size			
For Sub-Frame 2,3,7,8	Bits	72	392
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	288	1152
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	576
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2.1-3 Reference Channels for 5MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value
Channel bandwidth	MHz	5	5	5
Allocated resource blocks		1	8	20
Uplink-Downlink Configuration		1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12
Modulation		QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3
Payload size				
For Sub-Frame 2,3,7,8	Bits	72	808	1736
Transport block CRC	Bits	24	24	24
Number of code blocks per Sub-Frame				
(Note 1)				
For Sub-Frame 2,3,7,8		1	1	1
Total number of bits per Sub-Frame	Bits			
For Sub-Frame 2,3,7,8		288	2304	5760
Total symbols per Sub-Frame				
For Sub-Frame 2,3,7,8		144	1152	2880
UE Category		1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.1-4 Reference Channels for 10MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value	Value
Channel bandwidth	MHz	10	10	10	10
Allocated resource blocks		1	12	20	25
Uplink-Downlink Configuration (Note 2)		1	1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12	12
Modulation		QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3
Payload size					
For Sub-Frame 2,3,7,8	Bits	72	1224	1736	2216
Transport block CRC	Bits	24	24	24	24
Number of code blocks per Sub-Frame					
(Note 1)					
For Sub-Frame 2,3,7,8		1	1	1	1
Total number of bits per Sub-Frame					
For Sub-Frame 2,3,7,8	Bits	288	3456	5760	7200
Total symbols per Sub-Frame					
For Sub-Frame 2,3,7,8		144	1728	2880	3600
UE Category		1-5	1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2.1-5 Reference Channels for 15MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value
Channel bandwidth	MHz	15	15	15
Allocated resource blocks		1	16	50
Uplink-Downlink Configuration (Note 2)		1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12
Modulation		QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3
Payload size				
For Sub-Frame 2,3,7,8	Bits	72	1384	5160
Transport block CRC	Bits	24	24	24
Number of code blocks per Sub-Frame				
(Note 1)				
For Sub-Frame 2,3,7,8		1	1	1
Total number of bits per Sub-Frame				
For Sub-Frame 2,3,7,8	Bits	288	4608	14400
Total symbols per Sub-Frame				
For Sub-Frame 2,3,7,8		144	2304	7200
UE Category		1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.1-6 Reference Channels for 20MHz QPSK with partial RB allocation

Parameter	Unit	Value	Value	Value	Value	Value
Channel bandwidth	MHz	20	20	20	20	20
Allocated resource blocks		1	18	25	50	75
Uplink-Downlink Configuration (Note 2)		1	1	1	1	1
DFT-OFDM Symbols per Sub-Frame		12	12	12	12	12
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding rate		1/3	1/3	1/3	1/3	1/5
Payload size						
For Sub-Frame 2,3,7,8	Bits	72	1864	2216	5160	4392
Transport block CRC	Bits	24	24	24	24	24
Number of code blocks per Sub-Frame						
(Note 1)						
For Sub-Frame 2,3,7,8		1	1	1	1	1
Total number of bits per Sub-Frame						
For Sub-Frame 2,3,7,8	Bits	288	5184	7200	14400	21600
Total symbols per Sub-Frame						
For Sub-Frame 2,3,7,8		144	2592	3600	7200	10800
UE Category		1-5	1-5	1-5	1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

A.2.3.2.2 16-QAM

Table A.2.3.2.2-1 Reference Channels for 1.4MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	1.4	1.4
Allocated resource blocks		1	5
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size			
For Sub-Frame 2,3,7,8	Bits	408	2152
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	576	2880
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	720
UE Category		1-5	1-5

If more than one Code Block is present, an additional CRC sequence of Note 1: L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.2-2 Reference Channels for 3MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	3	3
Allocated resource blocks		1	4
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size			
For Sub-Frame 2,3,7,8	Bits	408	1736
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	576	2304
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	576
UE Category		1-5	1-5

If more than one Code Block is present, an additional CRC sequence of Note 1: L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2.2-3 Reference Channels for 5MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	5	5
Allocated resource blocks		1	8
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size			
For Sub-Frame 2,3,7,8	Bits	408	3496
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	576	4608
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	1152
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.2-4 Reference Channels for 10MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	10	10
Allocated resource blocks		1	12
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	3/4
Payload size			
For Sub-Frame 2,3,7,8	Bits	408	5160
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	576	6912
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	1728
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.2.3.2.2-5 Reference Channels for 15MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value		
Channel bandwidth	MHz	15	15		
Allocated resource blocks		1	16		
Uplink-Downlink Configuration (Note 2)		1	1		
DFT-OFDM Symbols per Sub-Frame		12	12		
Modulation		16QAM	16QAM		
Target Coding rate		3/4	1/2		
Payload size					
For Sub-Frame 2,3,7,8	Bits	408	4584		
Transport block CRC	Bits	24	24		
Number of code blocks per Sub-Frame					
(Note 1)					
For Sub-Frame 2,3,7,8		1	1		
Total number of bits per Sub-Frame					
For Sub-Frame 2,3,7,8	Bits	576	9216		
Total symbols per Sub-Frame					
For Sub-Frame 2,3,7,8		144	2304		
UE Category		1-5	1-5		
Note 4: If you will be a second of Disability and and different ODO assures of					

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

Table A.2.3.2.2-6 Reference Channels for 20MHz 16-QAM with partial RB allocation

Parameter	Unit	Value	Value
Channel bandwidth	MHz	20	20
Allocated resource blocks		1	18
Uplink-Downlink Configuration (Note 2)		1	1
DFT-OFDM Symbols per Sub-Frame		12	12
Modulation		16QAM	16QAM
Target Coding rate		3/4	1/2
Payload size			
For Sub-Frame 2,3,7,8	Bits	408	5160
Transport block CRC	Bits	24	24
Number of code blocks per Sub-Frame			
(Note 1)			
For Sub-Frame 2,3,7,8		1	1
Total number of bits per Sub-Frame			
For Sub-Frame 2,3,7,8	Bits	576	10368
Total symbols per Sub-Frame			
For Sub-Frame 2,3,7,8		144	2592
UE Category		1-5	1-5

Note 1: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: As per Table 4.2-2 in TS 36.211 [4]

A.2.3.2.3 64-QAM

[FFS]

A.3 DL reference measurement channels

A.3.1 General

The number of available channel bits varies across the sub-frames due to PBCH and PSS/SSS overhead. The payload size per sub-frame is varied in order to keep the code rate constant throughout a frame.

No user data is scheduled on subframes #5 in order to facilitate the transmission of system information blocks (SIB).

The algorithm for determining the payload size A is as follows; given a desired coding rate R and radio block allocation N_{DB}

- 1. Calculate the number of channel bits N_{ch} that can be transmitted during the first transmission of a given subframe.
- 2. Find A such that the resulting coding rate is as close to R as possible, that is,

$$\min |R - (A + 24)/N_{ch}|,$$

subject to

- a) A is a valid TB size according to section 7.1.7 of TS 36.213 [6] assuming an allocation of $N_{\rm RB}$ resource blocks
- b) Segmentation is not included in this formula, but should be considered in the TBS calculation.
- 3. If there is more than one A that minimizes the equation above, then the larger value is chosen per default.
- 4. For TDD, the measurement channel is based on DL/UL configuration ratio of 2DL+DwPTS (12 OFDM symbol): 2UL

A.3.2 Reference measurement channel for receiver characteristics

Tables A.3.2-1 and A.3.2-2 are applicable for measurements on the Receiver Characteristics (clause 7) with the exception of sub-clause 7.4 (Maximum input level).

Tables A.3.2-3 and A.3.2-4 are applicable for sub-clause 7.4 (Maximum input level).

Tables A.3.2-1 and A.3.2-2 also apply for the modulated interferer used in Clauses 7.5, 7.6 and 7.8 with test specific bandwidths.

Table A.3.2-1 Fixed Reference Channel for Receiver Requirements (FDD)

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
Subcarriers per resource block		12	12	12	12	12	12
Allocated subframes per Radio Frame		10	10	10	10	10	10
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target Coding Rate		1/3	1/3	1/3	1/3	1/3	1/3
Number of HARQ Processes	Processes	8	8	8	8	8	8
Maximum number of HARQ transmissions		1	1	1	1	1	1
Information Bit Payload per Sub-Frame							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	408	1320	2216	4392	6712	8760
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	152	872	1800	4392	6712	8760
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 4)							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	1	1	1	1	2	2
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	1	1	1	1	2	2
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	1368	3780	6300	13800	20700	27600
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	528	2940	5460	12960	19860	26760
Max. Throughput averaged over 1 frame	kbps	341.6	1143.	1952.	3952.	6040.	7884
			2	8	8	8	
UE Category		1-5	1-5	1-5	1-5	1-5	1-5

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10MHz channel BW. 3 symbols allocated to PDCCH for 5 MHz and 3 MHz. 4 symbols allocated to PDCCH for 1.4 MHz
Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]
If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to

Note 2:

Note 3: each Code Block (otherwise L = 0 Bit)

Table A.3.2-2 Fixed Reference Channel for Receiver Requirements (TDD)

Parameter	Unit	Value					
Channel Bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
Uplink-Downlink Configuration (Note 6)		1	1	1	1	1	1
Allocated subframes per Radio Frame (D+S)		4	4+2	4+2	4+2	4+2	4+2
Number of HARQ Processes	Processes	7	7	7	7	7	7
Maximum number of HARQ transmission		1	1	1	1	1	1
Modulation		QPSK	QPSK	QPSK	QPSK	QPSK	QPSK
Target coding rate		1/3	1/3	1/3	1/3	1/3	1/3
Information Bit Payload per Sub-Frame	Bits						
For Sub-Frame 4, 9		408	1320	2216	4392	6712	8760
For Sub-Frame 1, 6		n/a	968	1544	3240	4968	6712
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		208	1064	1800	4392	6712	8760
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 5)							
For Sub-Frame 4, 9		1	1	1	1	2	2
For Sub-Frame 1, 6		n/a	1	1	1	1	2
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		1	1	1	1	2	2
Binary Channel Bits Per Sub-Frame	Bits						
For Sub-Frame 4, 9		1368	3780	6300	13800	20700	27600
For Sub-Frame 1, 6		n/a	3276	5556	11256	16956	22656
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		672	3084	5604	13104	20004	26904
Max. Throughput averaged over 1 frame	kbps	102.4	564	932	1965.	3007.	3970.
					6	2	4
UE Category		1-5	1-5	1-5	1-5	1-5	1-5

For normal subframes(0,4,5,9), 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz Note 1: channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For special subframe (1&6), only 2 OFDM symbols are allocated to PDCCH for all BWs. For 1.4MHz, no data shall be scheduled on special subframes(1&6) to avoid problems with

Note 2: insufficient PDCCH performance

Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4] Note 3:

If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to Note 4: each Code Block (otherwise L = 0 Bit).

Note 5: As per Table 4.2-2 in TS 36.211 [4]

Table A.3.2-3 Fixed Reference Channel for Maximum input level for UE Categories 3-5 (FDD)

Parameter	Unit	Value						
Channel bandwidth	MHz	1.4	3	5	10	15	20	
Allocated resource blocks		6	15	25	50	75	100	
Subcarriers per resource block		12	12	12	12	12	12	
Allocated subframes per Radio Frame		10	10	10	10	10	10	
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM	
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	
Number of HARQ Processes	Processes	8	8	8	8	8	8	
Maximum number of HARQ transmissions		1	1	1	1	1	1	
Information Bit Payload per Sub-Frame								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	2984	8504	14112	30576	46888	61664	
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0	Bits	n/a	6456	12576	28336	45352	61664	
Transport block CRC	Bits	24	24	24	24	24	24	
Number of Code Blocks per Sub-Frame (Note 4)								
For Sub-Frames 1,2,3,4,6,7,8,9		1	2	3	5	8	11	
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0		n/a	2	3	5	8	11	
Binary Channel Bits Per Sub-Frame								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	4104	11340	18900	41400	62100	82800	
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0	Bits	n/a	8820	16380	38880	59580	80280	
Max. Throughput averaged over 1 frame	kbps	2387.2	7448.8	12547	27294	42046	55498	
Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW. 3 symbols allocated to PDCCH								

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW. 3 symbols allocated to PDCCH for 5 MHz and 3 MHz. 4 symbols allocated to PDCCH for 1.4 MHz

Table A.3.2-3a Fixed Reference Channel for Maximum input level for UE Category 1 (FDD)

Parameter	Unit	Value						
Channel bandwidth	MHz	1.4	3	5	10	15	20	
Allocated resource blocks		6	15	18	17	17	17	
Subcarriers per resource block		12	12	12	12	12	12	
Allocated subframes per Radio Frame		10	10	10	10	10	10	
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM	
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4	
Number of HARQ Processes	Processes	8	8	8	8	8	8	
Maximum number of HARQ transmissions		1	1	1	1	1	1	
Information Bit Payload								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	2984	8504	10296	10296	10296	10296	
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0	Bits	n/a	6456	8248	10296	10296	10296	
Transport block CRC	Bits	24	24	24	24	24	24	
Number of Code Blocks per Sub-Frame (Note 3)								
For Sub-Frames 1,2,3,4,6,7,8,9		1	2	2	2	2	2	
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0		n/a	2	2	2	2	2	
Binary Channel Bits Per Sub-Frame								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	4104	11340	13608	14076	14076	14076	
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a	
For Sub-Frame 0	Bits	n/a	8820	11088	14076	14076	14076	
Max. Throughput averaged over 1 frame	kbps	2387.2	7448.8	9079.6	9266.4	9266.4	9266.4	

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW. 3 symbols allocated to PDCCH for 5 MHz and 3 MHz. 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Note 2: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.3.2-3b Fixed Reference Channel for Maximum input level for UE Category 2 (FDD)

Parameter	Unit			Va	lue		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	83
Subcarriers per resource block		12	12	12	12	12	12
Allocated subframes per Radio Frame		10	10	10	10	10	10
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4
Number of HARQ Processes	Processes	8	8	8	8	8	8
Maximum number of HARQ transmissions		1	1	1	1	1	1
Information Bit Payload							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	2984	8504	14112	30576	46888	51024
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	6456	12576	28336	45352	48936
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 3)							
For Sub-Frames 1,2,3,4,6,7,8,9		1	2	3	5	8	9
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		n/a	2	3	5	8	8
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	4104	11340	18900	41400	62100	68724
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	8820	16380	38880	59580	66204
Max. Throughput averaged over 1 frame	kbps	2387.2	7448.8	12547	27294	42046	45713

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW. 3 symbols allocated to PDCCH for 5 MHz and 3 MHz. 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.3.2-4 Fixed Reference Channel for Maximum input level for UE Categories 3-5 (TDD)

Parameter	Unit			Va	lue		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	100
Subcarriers per resource block		12	12	12	12	12	12
Uplink-Downlink Configuration (Note 6)		1	1	1	1	1	1
Allocated subframes per Radio Frame		4	4+2	4+2	4+2	4+2	4+2
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4
Number of HARQ Processes	Processes	7	7	7	7	7	7
Maximum number of HARQ transmissions		1	1	1	1	1	1
Information Bit Payload per Sub-Frame							
For Sub-Frames 4,9	Bits	2984	8504	14112	30576	46888	61664
For Sub-Frames 1,6	Bits	n/a	6968	11448	23688	35160	46888
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	6968	12576	30576	45352	61664
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 5)							
For Sub-Frames 4,9		1	2	3	5	8	11
For Sub-Frames 1,6		n/a	2	3	5	7	9
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		n/a	2	3	5	8	11
Binary Channel Bits per Sub-Frame							
For Sub-Frames 4,9	Bits	4104	11340	18900	41400	62100	82800
For Sub-Frames 1,6		n/a	9828	16668	33768	50868	67968
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	9252	16812	39312	60012	80712
Max. Throughput averaged over 1 frame	kbps	596.8	3791.2	6369.6	13910	20945	27877

- Note 1: For normal subframes(0,4,5,9), 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For special subframe (1&6), only 2 OFDM symbols are allocated to PDCCH for all BWs.
- Note 2: For 1.4MHz, no data shall be scheduled on special subframes(1&6) to avoid problems with insufficient PDCCH performance
- Note 3: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]
- Note 4: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit).
- Note 5: As per Table 4.2-2 in TS 36.211 [4]

Table A.3.2-4a Fixed Reference Channel for Maximum input level for UE Category 1 (TDD)

Parameter	Unit			Va	lue		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	18	17	17	17
Subcarriers per resource block		12	12	12	12	12	12
Uplink-Downlink Configuration (Note 5)		1	1	1	1	1	1
Allocated subframes per Radio Frame		4	4+2	4+2	4+2	4+2	4+2
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4
Number of HARQ Processes	Processes	7	7	7	7	7	7
Maximum number of HARQ transmissions		1	1	1	1	1	1
Information Bit Payload per Sub-Frame							
For Sub-Frames 4,9	Bits	2984	8504	10296	10296	10296	10296
For Sub-Frames 1,6	Bits	n/a	6968	8248	7480	7480	7480
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	6968	8248	10296	10296	10296
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 5)							
For Sub-Frames 4,9		1	2	2	2	2	2
For Sub-Frames 1,6		n/a	2	2	2	2	2
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		n/a	2	2	2	2	2
Binary Channel Bits per Sub-Frame							
For Sub-Frames 4,9	Bits	4104	11340	13608	14076	14076	14076
For Sub-Frames 1,6		n/a	9828	11880	11628	11628	11628
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	9252	11520	14076	14076	14076
Max. Throughput averaged over 1 frame	kbps	596.8	3791.2	4533.6	4584.8	4584.8	4584.8

- Note 1: For normal subframes(0,4,5,9), 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For special subframe (1&6), only 2 OFDM symbols are allocated to PDCCH for all BWs.
- Note 2: For 1.4MHz, no data shall be scheduled on special subframes(1&6) to avoid problems with insufficient PDCCH performance
- Note 3: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]
- Note 4: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)
- Note 5: As per Table 4.2-2 in TS 36.211 [4]

Table A.3.2-4b Fixed Reference Channel for Maximum input level for UE Category 2 (TDD)

Parameter	Unit			Va	lue		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	15	25	50	75	83
Subcarriers per resource block		12	12	12	12	12	12
Uplink-Downlink Configuration (Note 5)		1	1	1	1	1	1
Allocated subframes per Radio Frame		4	4+2	4+2	4+2	4+2	4+2
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4
Number of HARQ Processes	Processes	7	7	7	7	7	7
Maximum number of HARQ transmissions		1	1	1	1	1	1
Information Bit Payload per Sub-Frame							
For Sub-Frames 4,9	Bits	2984	8504	14112	30576	46888	51024
For Sub-Frames 1,6	Bits	n/a	6968	11448	23688	35160	39232
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	6968	12576	30576	45352	51024
Transport block CRC	Bits	24	24	24	24	24	24
Number of Code Blocks per Sub-Frame							
(Note 5)							
For Sub-Frames 4,9		1	2	3	5	8	9
For Sub-Frames 1,6		n/a	2	3	5	7	7
For Sub-Frame 5		n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0		n/a	2	3	5	8	8
Binary Channel Bits per Sub-Frame							
For Sub-Frames 4,9	Bits	4104	11340	18900	41400	62100	68724
For Sub-Frames 1,6		n/a	9828	16668	33768	50868	56340
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	n/a	9252	16380	39312	60012	66636
Max. Throughput averaged over 1 frame	kbps	596.8	3791.2	6369.6	13910	20945	23154

- Note 1: For normal subframes(0,4,5,9), 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For special subframe (1&6), only 2 OFDM symbols are allocated to PDCCH for all BWs.
- Note 2: For 1.4MHz, no data shall be scheduled on special subframes(1&6) to avoid problems with insufficient PDCCH performance
- Note 3: Reference signal, Synchronization signals and PBCH allocated as per TS 36.211 [4]
- Note 4: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)
- Note 5: As per Table 4.2-2 in TS 36.211 [4]

A.3.3 Reference measurement channels for PDSCH performance requirements (FDD)

A.3.3.1 Single-antenna transmission (Common Reference Symbols)

Table A.3.3.1-1: Fixed Reference Channel QPSK R=1/3

Parameter	Unit			Va	lue		
Reference channel		R.4 FDD			R.2 FDD		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6			50		
Allocated subframes per Radio Frame		10			10		
Modulation		QPSK			QPSK		
Target Coding Rate		1/3			1/3		
Information Bit Payload							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	408			4392		
For Sub-Frame 5	Bits	n/a			n/a		
For Sub-Frame 0	Bits	152			4392		
Number of Code Blocks per Sub-Frame (Note 3)							
For Sub-Frames 1,2,3,4,6,7,8,9		1			1		
For Sub-Frame 5		n/a			n/a		
For Sub-Frame 0		1			1		
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	1368			13800		
For Sub-Frame 5	Bits	n/a			n/a		
For Sub-Frame 0	Bits	528			12960		
Max. Throughput averaged over 1 frame	Mbps	0.342			3.953		
UE Category		1-5			1-5		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Table A.3.3.1-2: Fixed Reference Channel 16QAM R=1/2

Parameter	Unit			Value				
Reference channel					R.3 FDD			
Channel bandwidth	MHz	1.4	3	5	10	15	20	
Allocated resource blocks					50			
Allocated subframes per Radio Frame					10			
Modulation					16QAM			
Target Coding Rate					1/2			
Information Bit Payload								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits				14112			
For Sub-Frame 5	Bits				n/a			
For Sub-Frame 0	Bits				12960			
Number of Code Blocks per Sub-Frame (Note 3)								
For Sub-Frames 1,2,3,4,6,7,8,9					3			
For Sub-Frame 5					n/a			
For Sub-Frame 0					3			
Binary Channel Bits Per Sub-Frame								
For Sub-Frames 1,2,3,4,6,7,8,9	Bits				27600			
For Sub-Frame 5	Bits				n/a			
For Sub-Frame 0	Bits				25920			
Max. Throughput averaged over 1 frame	Mbps				12.586			
UE Category					2-5	•		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.3.3.1-3: Fixed Reference Channel 64QAM R=3/4

Parameter	Unit			Va	lue		
Reference channel			R.5	R.6	R.7	R.8	R.9 FDD
			FDD	FDD	FDD	FDD	
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks			15	25	50	75	100
Allocated subframes per Radio Frame			10	10	10	10	10
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate		3/4	3/4	3/4	3/4	3/4	3/4
Information Bit Payload							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits		8504	14112	30576	46888	61664
For Sub-Frame 5	Bits		n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits		6456	12576	28336	45352	61664
Number of Code Blocks per Sub-Frame							
(Note 3)							
For Sub-Frames 1,2,3,4,6,7,8,9			2	3	5	8	11
For Sub-Frame 5			n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0			2	3	5	8	11
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 1,2,3,4,6,7,8,9	Bits		11340	18900	41400	62100	82800
For Sub-Frame 5	Bits		n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits		8820	16380	38880	59580	80280
Max. Throughput averaged over 1 frame	Mbps		7.449	12.547	27.294	42.046	55.498
UE Category			1-5	2-5	2-5	2-5	3-5

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Table A.3.3.1-4: Fixed Reference Channel Single PRB (Channel Edge)

Parameter	Unit	Value							
Reference channel			R.0 FDD		R.1 FDD				
Channel bandwidth	MHz	1.4	3	5	10/20	15	20		
Allocated resource blocks			1		1				
Allocated subframes per Radio Frame			10		10				
Modulation			16QAM		16QAM				
Target Coding Rate			1/2		1/2				
Information Bit Payload									
For Sub-Frames 1,2,3,4,6,7,8,9	Bits		224		256				
For Sub-Frame 5	Bits		n/a		n/a				
For Sub-Frame 0	Bits		224		256				
Number of Code Blocks per Sub-Frame									
(Note 3)									
For Sub-Frames 1,2,3,4,6,7,8,9			1		1				
For Sub-Frame 5			n/a		n/a				
For Sub-Frame 0			1		1				
Binary Channel Bits Per Sub-Frame									
For Sub-Frames 1,2,3,4,6,7,8,9	Bits		504		552				
For Sub-Frame 5	Bits		n/a		n/a		_		
For Sub-Frame 0	Bits		504		552				
Max. Throughput averaged over 1 frame	Mbps		0.202		0.230		_		
UE Category			1-5		1-5	•			

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.3.3.1-5: Fixed Reference Channel Single PRB (MBSFN Configuration)

Parameter	Unit	Value
Reference channel		R.29 FDD
		(MBSFN)
Channel bandwidth	MHz	10
Allocated resource blocks		1
MBSFN Configuration		TBD
Allocated subframes per Radio Frame		4
Modulation		16QAM
Target Coding Rate		1/2
Information Bit Payload		
For Sub-Frames 4,9	Bits	256
For Sub-Frame 5	Bits	n/a
For Sub-Frame 0	Bits	256
For Sub-Frame 1,2,3,6,7,8	Bits	0 (MBSFN)
Number of Code Blocks per Sub-Frame		
(Note 3)		
For Sub-Frames 4,9		1
For Sub-Frame 5		n/a
For Sub-Frame 0		1
For Sub-Frame 1,2,3,6,7,8		0 (MBSFN)
Binary Channel Bits Per Sub-Frame		
For Sub-Frames 4,9	Bits	552
For Sub-Frame 5	Bits	n/a
For Sub-Frame 0	Bits	552
For Sub-Frame 1,2,3,6,7,8	Bits	0 (MBSFN)
Max. Throughput averaged over 1 frame	kbps	76.8
UE Category		1-5
Note 1. 2 symbols allocated to DDCCH		·

Note 1: 2 symbols allocated to PDCCH

Note 2: Reference signal, synchronization signals and PBCH

allocated as per TS 36.211 [4]
If more than one Code Block is present, an additional Note 3: CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

A.3.3.2 Multi-antenna transmission (Common Reference Symbols)

A.3.3.2.1 Two antenna ports

Table A.3.3.2.1-1: Fixed Reference Channel two antenna ports

Parameter	Unit		Va	lue	
Reference channel		R.10	R.11		R.30
		FDD	FDD		FDD
Channel bandwidth	MHz	10	10		20
Allocated resource blocks		50	50		100
Allocated subframes per Radio Frame		10	10		10
Modulation		QPSK	16QAM		16QAM
Target Coding Rate		1/3	1/2		1/2
Information Bit Payload					
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	4392	12960		25456
For Sub-Frame 5	Bits	n/a	n/a		n/a
For Sub-Frame 0	Bits	4392	12960		25456
Number of Code Blocks per Sub-Frame					
(Note 3)					
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	1	3		5
For Sub-Frame 5	Bits	n/a	n/a		n/a
For Sub-Frame 0	Bits	1	3		5
Binary Channel Bits Per Sub-Frame					
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	13200	26400		52800
For Sub-Frame 5	Bits	n/a	n/a		n/a
For Sub-Frame 0	Bits	12384	24768		51168
Max. Throughput averaged over 1 frame	Mbps	3.953	11.664		22.910
UE Category		1-5	2-5		2-5

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz
Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

A.3.3.2.2 Four antenna ports

Table A.3.3.2.2-1: Fixed Reference Channel four antenna ports

Parameter	Unit			Valu	е	
Reference channel		R.12	R.13	R.14		
		FDD	FDD	FDD		
Channel bandwidth	MHz	1.4	10	10		
Allocated resource blocks		6	50	50		
Allocated subframes per Radio Frame		10	10	10		
Modulation		QPSK	QPSK	16QAM		
Target Coding Rate		1/3	1/3	1/2		
Information Bit Payload						
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	408	4392	12960		
For Sub-Frame 5	Bits	n/a	n/a	n/a		
For Sub-Frame 0	Bits	152	3624	11448		
Number of Code Blocks per Sub-Frame						
(Note 3)						
For Sub-Frames 1,2,3,4,6,7,8,9		1	1	3		
For Sub-Frame 5		n/a	n/a	n/a		
For Sub-Frame 0		1	1	2		
Binary Channel Bits Per Sub-Frame						
For Sub-Frames 1,2,3,4,6,7,8,9	Bits	1248	12800	25600		
For Sub-Frame 5	Bits	n/a	n/a	n/a		
For Sub-Frame 0	Bits	480	12032	24064		
Max. Throughput averaged over 1	Mbps	0.342	3.876	11.513		
frame	•					
UE Category		1-5	1-5	2-5		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

A.3.3.3 [RMC for UE-Specific Reference Symbols]

A.3.4 Reference measurement channels for PDSCH performance requirements (TDD)

A.3.4.1 Single-antenna transmission (Common Reference Symbols)

Table A.3.4.1-1: Fixed Reference Channel QPSK R=1/3

Parameter	Unit			Va	lue		
Reference channel		R.4			R.2		
		TDD			TDD		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6			50		
Uplink-Downlink Configuration (Note 4)		1			1		
Allocated subframes per Radio Frame (D+S)		4+2			4+2		
Modulation		QPSK			QPSK		
Target Coding Rate		1/3			1/3		
Information Bit Payload							
For Sub-Frames 4,9	Bits	408			4392		
For Sub-Frames 1,6	Bits	n/a			3240		
For Sub-Frame 5	Bits	n/a			n/a		
For Sub-Frame 0	Bits	208			4392		
Number of Code Blocks per Sub-Frame							
(Note 5)							
For Sub-Frames 4,9		1			1		
For Sub-Frames 1,6		n/a			1		
For Sub-Frame 5		n/a			n/a		
For Sub-Frame 0		1			1		
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 4,9	Bits	1368			13800		
For Sub-Frames 1,6	Bits	n/a			11256		
For Sub-Frame 5	Bits	n/a			n/a		
For Sub-Frame 0	Bits	672			13104		
Max. Throughput averaged over 1 frame	Mbps	0.102			1.966		
UE Category		1-5			1-5		

- Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.
- Note 2: For BW=1.4 MHz, the information bit payloads of special subframes are set to zero (no scheduling) to avoid problems with insufficient PDCCH performance at the test point.
- Note 3: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]
- Note 4: As per Table 4.2-2 in TS 36.211 [4]
- Note 5: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

Table A.3.4.1-2: Fixed Reference Channel 16QAM R=1/2

Parameter	Unit	Value					
Reference channel					R.3		
					TDD		
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks					50		
Uplink-Downlink Configuration (Note 3)					1		
Allocated subframes per Radio Frame (D+S)					4+2		
Modulation					16QAM		
Target Coding Rate					1/2		
Information Bit Payload							
For Sub-Frames 4,9	Bits				14112		
For Sub-Frames 1,6	Bits				11448		
For Sub-Frame 5	Bits				n/a		
For Sub-Frame 0	Bits				12960		
Number of Code Blocks per Sub-Frame							
(Note 4)							
For Sub-Frames 4,9					3		
For Sub-Frames 1,6					2		
For Sub-Frame 5					n/a		
For Sub-Frame 0					3		
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 4,9	Bits				27600		
For Sub-Frames 1,6	Bits				22512		
For Sub-Frame 5	Bits				n/a		
For Sub-Frame 0	Bits				26208		
Max. Throughput averaged over 1 frame	Mbps				6.408		
UE Category					2-5		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: As per Table 4.2-2 in TS 36.211 [4]

Table A.3.4.1-3: Fixed Reference Channel 64QAM R=3/4

Parameter	Unit			Val	ue		
Reference channel			R.5	R.6 TDD	R.7	R.8	R.9
			TDD		TDD	TDD	TDD
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks			15	25	50	75	100
Uplink-Downlink Configuration (Note 3)			1	1	1	1	1
Allocated subframes per Radio Frame (D+S)			4+2	4+2	4+2	4+2	4+2
Modulation		64QAM	64QAM	64QAM	64QAM	64QAM	64QAM
Target Coding Rate			3/4	3/4	3/4	3/4	3/4
Information Bit Payload							
For Sub-Frames 4,9	Bits		8504	14112	30576	46888	61664
For Sub-Frames 1,6	Bits		6968	11448	23688	35160	46888
For Sub-Frame 5	Bits		n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits		6968	12576	30576	45352	61664
Number of Code Blocks per Sub-Frame							
(Note 4)							
For Sub-Frames 4,9			2	3	5	8	11
For Sub-Frames 1,6			2	2	4	6	8
For Sub-Frame 5			n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0			2	3	5	8	11
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 4,9	Bits		11340	18900	41400	62100	82800
For Sub-Frames 1,6	Bits		9828	16668	33768	50868	67968
For Sub-Frame 5	Bits		n/a	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits		9252	16812	39312	60012	80712
Max. Throughput averaged over 1 frame	Mbps		3.791	6.370	13.910	20.945	27.877
UE Category			1-5	2-5	2-5	2-5	3-5

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: As per Table 4.2-2 TS 36.211 [4]

Table A.3.4.1-4: Fixed Reference Channel Single PRB

Parameter	Unit			Val	ue		
Reference channel			R.0 TDD		R.1 TDD		
Channel bandwidth	MHz	1.4	3	5	10/20	15	20
Allocated resource blocks			1		1		
Uplink-Downlink Configuration (Note 3)			1		1		<u> </u>
Allocated subframes per Radio Frame (D+S)			4+2		4+2		
Modulation			16QAM		16QAM		
Target Coding Rate			1/2		1/2		1
Information Bit Payload							
For Sub-Frames 4,9	Bits		224		256		i
For Sub-Frames 1,6	Bits		208		208		
For Sub-Frame 5	Bits		n/a		n/a		
For Sub-Frame 0	Bits		224		256		
Number of Code Blocks per Sub-Frame (Note 4)							
For Sub-Frames 4,9			1		1		
For Sub-Frames 1,6			1		1		
For Sub-Frame 5			n/a		n/a		
For Sub-Frame 0			1		1		
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 4,9	Bits		504		552		
For Sub-Frames 1,6	Bits		456		456		
For Sub-Frame 5	Bits		n/a		n/a		
For Sub-Frame 0	Bits		504		552		
Max. Throughput averaged over 1 frame	Mbps		0.109		0.118		
UE Category			1-5		1-5		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: As per Table 4.2-2 in TS 36.211 [4]

Table A.3.4.1-5: Fixed Reference Channel Single PRB (MBSFN Configuration)

Parameter	Unit	Value
Reference channel		R.29 TDD
		(MBSFN)
Channel bandwidth	MHz	10
Allocated resource blocks		1
MBSFN Configuration		[TBD]
Uplink-Downlink Configuration (Note 3)		1
Allocated subframes per Radio Frame (D+S)		2+2
Modulation		16QAM
Target Coding Rate		1/2
Information Bit Payload		
For Sub-Frames 4,9	Bits	0 (MBSFN)
For Sub-Frames 1,6	Bits	208
For Sub-Frame 5	Bits	n/a
For Sub-Frame 0	Bits	256
Number of Code Blocks per Sub-Frame		
(Note 4)		
For Sub-Frames 4,9	Bits	0 (MBSFN)
For Sub-Frames 1,6	Bits	1
For Sub-Frame 5	Bits	n/a
For Sub-Frame 0	Bits	1
Binary Channel Bits Per Sub-Frame		
For Sub-Frames 4,9	Bits	0 (MBSFN)
For Sub-Frames 1,6	Bits	456
For Sub-Frame 5	Bits	n/a
For Sub-Frame 0	Bits	552
Max. Throughput averaged over 1 frame	kbps	67.2
UE Category		1-5

2 symbols allocated to PDCCH Note 1:

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

as per Table 4.2-2 in TS 36.211 [4] Note 3:

Note 4:

If more than one Code Block is present, an additional CRC sequence of L=24 Bits is attached to each Code Block (otherwise

L = 0 Bit

A.3.4.2 Multi-antenna transmission (Common Reference Signals)

A.3.4.2.1 Two antenna ports

Table A.3.4.2.1-1: Fixed Reference Channel two antenna ports

Parameter	Unit		Va	lue	
Reference channel		R.10	R.11	[R.11-1	R.30
		TDD	TDD	TDD]	TDD
Channel bandwidth	MHz	10	10	10	20
Allocated resource blocks		50	50	50	100
Uplink-Downlink Configuration (Note 3)		1	1	1	1
Allocated subframes per Radio Frame		4+2	4+2	4+2	4+2
(D+S)					
Modulation		QPSK	16QAM	16QAM	16QAM
Target Coding Rate		1/3	1/2	1/2	1/2
Information Bit Payload					
For Sub-Frames 4,9	Bits	4392	12960	12960	25456
For Sub-Frames 1,6		3240	9528	9528	22920
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	4392	12960	n/a	25456
Number of Code Blocks per Sub-Frame					
(Note 4)					
For Sub-Frames 4,9		1	3	3	5
For Sub-Frames 1,6		1	2	2	4
For Sub-Frame 5		n/a	n/a	n/a	n/a
For Sub-Frame 0		1	3	n/a	5
Binary Channel Bits Per Sub-Frame					
For Sub-Frames 4,9	Bits	13200	26400	26400	52800
For Sub-Frames 1,6		10656	21312	21312	42912
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a
For Sub-Frame 0	Bits	12528	25056	n/a	51456
Max. Throughput averaged over 1 frame	Mbps	1.966	5.794	4.498	12.221
UE Category		1-5	2-5	2-5	2-5

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: As per Table 4.2-2 in TS 36.211 [4]

A.3.4.2.2 Four antenna ports

Table A.3.4.2.2-1: Fixed Reference Channel four antenna ports

Parameter	Unit			Valu	е	
Reference channel		R.12	R.13	R.14		
		TDD	TDD	TDD		
Channel bandwidth	MHz	1.4	10	10		
Allocated resource blocks		6	50	50		
Uplink-Downlink Configuration (Note 4)		1	1	1		
Allocated subframes per Radio Frame (D+S)		4+2	4+2	4+2		
Modulation		QPSK	QPSK	16QAM		
Target Coding Rate		1/3	1/3	1/2		
Information Bit Payload						
For Sub-Frames 4,9	Bits	408	4392	12960		
For Sub-Frames 1,6	Bits	n/a	3240	9528		
For Sub-Frame 5	Bits	n/a	n/a	n/a		
For Sub-Frame 0	Bits	208	4392	n/a		
Number of Code Blocks per Sub-Frame (Note 5)						
For Sub-Frames 4,9		1	1	3		
For Sub-Frames 1,6		n/a	1	2		
For Sub-Frame 5		n/a	n/a	n/a		
For Sub-Frame 0		1	1	n/a		
Binary Channel Bits Per Sub-Frame						
For Sub-Frames 4,9	Bits	1248	12800	25600		
For Sub-Frames 1,6		n/a	10256	20512		
For Sub-Frame 5	Bits	n/a	n/a	n/a		
For Sub-Frame 0	Bits	624	12176	n/a		
Max. Throughput averaged over 1 frame	Mbps	0.102	1.966	4.498		
UE Category		1-5	1-5	2-5		

- Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.
- Note 2: For BW=1.4 MHz, the information bit payloads of special subframes are set to zero (no scheduling) to avoid problems with insufficient PDCCH performance at the test point.
- Note 3: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]
- Note 4: As per Table 4.2-2 in TS 36.211 [4]
- Note 5: If more than one Code Block is present, an additional CRC sequence of L = 24 Bits is attached to each Code Block (otherwise L = 0 Bit)

A.3.4.3 [RMC for UE-Specific Reference Symbols]

Table A.3.4.3-1: Fixed Reference Channel for UE-specific reference symbols

Parameter	Unit		Value				
Reference channel		R.25 TDD	R.26 TDD	R.27 TDD	R.28 TDD		
Channel bandwidth	MHz	10	10	10	10		
Allocated resource blocks		50 ⁴	50 ⁴	50 ⁴	1		
Uplink-Downlink Configuration (Note 3)		1	1	1	1		
Allocated subframes per Radio Frame (D+S)		4+2	4+2	4+2	4+2		
Modulation		QPSK	16QAM	64QAM	16QAM		
Target Coding Rate		1/3	1/2	3/4	1/2		
Information Bit Payload							
For Sub-Frames 4,9	Bits	4392	12960	28336	224		
For Sub-Frames 1,6	Bits	3240	9528	22920	176		
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a		
For Sub-Frame 0	Bits	2984	9528	22152	224		
Number of Code Blocks per Sub-Frame (Note 5)							
For Sub-Frames 4,9		1	3	5	1		
For Sub-Frames 1,6		1	2	4	1		
For Sub-Frame 5		n/a	n/a	n/a	n/a		
For Sub-Frame 0		1	2	4	1		
Binary Channel Bits Per Sub-Frame							
For Sub-Frames 4,9	Bits	12600	25200	37800	504		
For Sub-Frames 1,6	Bits	10356	20712	31068	420		
For Sub-Frame 5	Bits	n/a	n/a	n/a	n/a		
For Sub-Frame 0	Bits	10332	20664	30996	504		
Max. Throughput averaged over 1 frame	Mbps	1.825	5.450	12.466	0.102		
UE Category		1-5	2-5	2-5	1-5		

Note 1: 2 symbols allocated to PDCCH for 20 MHz, 15 MHz and 10 MHz channel BW; 3 symbols allocated to PDCCH for 5 MHz and 3 MHz; 4 symbols allocated to PDCCH for 1.4 MHz. For subframe 1&6, only 2 OFDM symbols are allocated to PDCCH.

Note 2: Reference signal, synchronization signals and PBCH allocated as per TS 36.211 [4]

Note 3: as per Table 4.2-2 in TS 36.211 [4]

Note 4: For R.25, R.26 and R.27, 50 resource blocks are allocated in sub-frames 1–9 and 41 resource blocks (RB0–RB20 and RB30–RB49) are allocated in sub-frame 0.

A.3.5 Reference measurement channels for PDCCH/PCFICH performance requirements

A.3.5.1 FDD

Table A.3.5.1-1: Reference Channel FDD

Parameter	Unit		Value	
Reference channel		R.15 FDD	R.16 FDD	R.17 FDD
Number of transmitter antennas		1	2	4
Channel bandwidth	MHz	10	1.4	10
Number of OFDM symbols for PDCCH	symbols	2	2	2
Aggregation level	CCE	8	2	4
DCI Format		Format 1	Format 2	Format 2
Cell ID		0	0	0
Payload (without CRC)	Bits	31	31	46

A.3.5.2 TDD

Table A.3.5.2-1: Reference Channel TDD

Parameter	Unit	Value				
Reference channel		R.15 TDD	R.16 TDD	R.17 TDD		
Number of transmitter antennas		1	2	4		
Channel bandwidth	MHz	10	1.4	10		
Number of OFDM symbols for PDCCH	symbols	2	2	2		
Aggregation level	CCE	8	2	4		
DCI Format		Format 1	Format 2	Format 2		
Cell ID		0	0	0		
Payload (without CRC)	Bits	34	34	49		

A.3.6 Reference measurement channels for PHICH performance requirements

Table A.3.6-1: Reference Channel FDD/TDD

Parameter	Unit		Value					
Reference channel		R.18	R.19	R.20	R.24			
Number of transmitter antennas		1	2	4	1			
Channel bandwidth	MHz	10	1.4	10	10			
User roles (Note 1)		[W I1 I2]	[W I1 I2]	[W I1 I2]	[W I1]			
Resource allocation (Note 2)		[(0,0) (0,1) (0,4)]	[(0,0) (0,1) (0,4)]	[(0,0) (0,1) (0,4)]	[(0,0) (0,1)]			
Power offsets (Note 3)	dB	[-4 0 -3]	[-4 0 -3]	[-4 0 -3]	[0 -3]			
Payload (Note 4)		[A R R]	[A R R]	[A R R]	[A R]			

Note 1: W=wanted user, I1=interfering user 1, I2=interfering user 2.

Note 2: The resource allocation per user is given as (N_group_PHICH, N_seq_PHICH). The remaining PHICH groups (other than group zero) shall contain zeros.

Note 3: The power offsets (per user) represent the difference of the power of BPSK modulated symbol per PHICH relative to the first interfering user.

Note 4: A=fixed ACK, R=random ACK/NACK.

A.3.7 Reference measurement channels for PBCH performance requirements

Table A.3.7-1: Reference Channel FDD/TDD

Parameter	Unit		Value	
Reference channel		R.21	R.22	R.23
Number of transmitter antennas		1	2	4
Channel bandwidth	MHz	1.4	1.4	1.4
Modulation		QPSK	QPSK	QPSK
Target coding rate		40/1920	40/1920	40/1920
Payload (without CRC)	Bits	24	24	24

A.4 CSI reference measurement channels

This section defines the DL signal applicable to the reporting of channel quality information (Clause 9.2, 9.3 and 9.5).

The reference channel in Table A.4-1 complies with the CQI definition specified in Sec. 7.2.3 of [6]. Table A.4-3 specifies the transport format corresponding to each CQI for single antenna transmission. Table A.4-3a specifies the transport format corresponding to each CQI for dual antenna transmission.

Table A.4-1: Reference channel for CQI requirements (FDD) full PRB allocation

Parameter	Unit	Value						
Channel bandwidth	MHz	1.4	3	5	10)	15	20
Allocated resource blocks		6	15	25	50)	75	100
Subcarriers per resource block		12	12	12	12		12	12
Allocated subframes per Radio Frame		8	8	8	8		8	8
Modulation					Table A.4-3	Table A.4- 3a		
Target coding rate					Table A.4-3	Table A.4- 3a		
Number of HARQ Processes	Processes	8	8	8	8	•	8	8
Maximum number of HARQ transmissions		1	1	1	1	•	1	1

Note 1: 3 symbols allocated to PDCCH

Note 2: Only subframes 1,2,3,4,6,7,8, and 9 are allocated to avoid PBCH and synchronization signal overhead

Table A.4-2: Reference channel for CQI requirements (TDD) full PRB allocation

Parameter	Unit				Value			
Channel bandwidth	MHz	1.4	3	5	10	15	20	
Allocated resource blocks		6	15	25	50	75	100	
Subcarriers per resource block		12	12	12	12	12	12	
Allocated subframes per Radio Frame		4	4	4	4	4	4	
Modulation					A.4-3 A.	ble 4- a		
Target coding rate					A.4-3 A.	ble 4- a		
Number of HARQ Processes	Processes	10	10	10	10	10	10	
Maximum number of HARQ transmissions		1	1	1	1	1	1	

Note 1: 3 symbols allocated to PDCCH

Note 2: UL-DL configuration 2 is used and only subframes 3, 4, 8, and 9 are allocated to avoid PBCH and

synchronization signal overhead

Table A.4-3: Transport format corresponding to each CQI index for 50 PRB allocation single antenna transmission

CQI index	Modulation	Target code rate	Imcs	Information Bit Payload (Subframes 1,2,3,4,6,7,8,9)	Binary Channel Bits Per Sub- Frame (Subframes 1,2,3,4,6,7,8,9)	Actual Code rate
0	out of range	out of range	DTX	-	12600	-
1	QPSK	0.0762	0	1384	12600	0.1117
2	QPSK	0.1172	0	1384	12600	0.1117
3	QPSK	0.1885	2	2216	12600	0.1778
4	QPSK	0.3008	4	3624	12600	0.2895
5	QPSK	0.4385	6	5160	12600	0.4114
6	QPSK	0.5879	8	6968	12600	0.5549
7	16QAM	0.3691	11	8760	25200	0.3486
8	16QAM	0.4785	13	11448	25200	0.4552
9	16QAM	0.6016	16	15264	25200	0.6067
10	64QAM	0.4551	18	16416	37800	0.4349
11	64QAM	0.5537	21	21384	37800	0.5663
12	64QAM	0.6504	23	25456	37800	0.6741
13	64QAM	0.7539	25	28336	37800	0.7503
14	64QAM	0.8525	27	31704	37800	0.8394
15 Note1: Sub-fi	64QAM rame#0 and #5 a	0.9258 are not used for the co	27 orresponding	31704 requirement.	37800	0.8394

Table A.4-3a: Transport format corresponding to each CQI index for 50 PRB allocation dual antenna transmission

CQI index	Modulation	Target code rate	Imcs	Information Bit Payload (Subframes 1,2,3,4,6,7,8,9)	Binary Channel Bits Per Sub- Frame (Subframes 1,2,3,4,6,7,8,9)	Actual Code rate
0	out of range	out of range	DTX	-	12000	-
1	QPSK	0.0762	0	1384	12000	0.1173
2	QPSK	0.1172	0	1384	12000	0.1173
3	QPSK	0.1885	2	2216	12000	0.1867
4	QPSK	0.3008	4	3624	12000	0.3040
5	QPSK	0.4385	6	5160	12000	0.4320
6	QPSK	0.5879	8	6968	12000	0.5827
7	16QAM	0.3691	11	8760	24000	0.3660
8	16QAM	0.4785	13	11448	24000	0.4780
9	16QAM	0.6016	15	14112	24000	0.5890
10	64QAM	0.4551	18	16416	36000	0.4567
11	64QAM	0.5537	20	19848	36000	0.5520
12	64QAM	0.6504	22	22920	36000	0.6373
13	64QAM	0.7539	24	27376	36000	0.7611
14	64QAM	0.8525	26	30576	36000	0.8500
15	64QAM	0.9258	27	31704	36000	0.8813

Table A.4-4: Reference channel for CQI requirements (FDD) 6 PRB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	6	6	6	6	6
Subcarriers per resource block		12	12	12	12	12	12
Allocated subframes per Radio Frame		8	8	8	8	8	8
Modulation					Table		
					A.4-6		
Target coding rate					Table		
					A.4-6		
Number of HARQ Processes	Processes	8	8	8	8	8	8
Maximum number of HARQ transmissions		1	1	1	1	1	1

Note 1: 3 symbols allocated to PDCCH

Note 2: Only subframes 1,2,3,4,6,7,8, and 9 are allocated to avoid PBCH and synchronization signal overhead

Table A.4-5: Reference channel for CQI requirements (TDD) 6 PRB allocation

Parameter	Unit	Value					
Channel bandwidth	MHz	1.4	3	5	10	15	20
Allocated resource blocks		6	6	6	6	6	6
Subcarriers per resource block		12	12	12	12	12	12
Allocated subframes per Radio Frame		4	4	4	4	4	4
Modulation					Table		
					A.4-6		
Target coding rate					Table		
					A.4-6		
Number of HARQ Processes	Processes	10	10	10	10	10	10
Maximum number of HARQ transmissions		1	1	1	1	1	1

Note 1: 3 symbols allocated to PDCCH

Note 2: UL-DL configuration 2 is used and only subframes 3, 4, 8, and 9 are allocated to avoid PBCH and

synchronization signal overhead

Table A.4-6: Transport format corresponding to each CQI index for 6 PRB allocation

CQI index	Modulation	Target code rate	Imcs	Information Bit Payload (Subframes 1,2,3,4,6,7,8,9)	Binary Channel Bits Per Sub- Frame (Subframes 1,2,3,4,6,7,8,9)	Actual Code rate
0	out of range	out of range	DTX	-	1512	-
1	QPSK	0.0762	0	152	1512	0.1005
2	QPSK	0.1172	0	152	1512	0.1005
3	QPSK	0.1885	2	256	1512	0.1693
4	QPSK	0.3008	4	408	1512	0.2698
5	QPSK	0.4385	6	600	1512	0.3968
6	QPSK	0.5879	8	808	1512	0.5344
7	16QAM	0.3691	11	1032	3024	0.3413
8	16QAM	0.4785	13	1352	3024	0.4471
9	16QAM	0.6016	16	1800	3024	0.5952
10	64QAM	0.4551	19	2152	4536	0.4744
11	64QAM	0.5537	21	2600	4536	0.5732
12	64QAM	0.6504	23	2984	4536	0.6578
13	64QAM	0.7539	25	3496	4536	0.7707
14	64QAM	0.8525	27	3752	4536	0.8272
15	64QAM	0.9258	27	3752	4536	0.8272
Note1: Sub-fi	rame#0 and #5 a	are not used for the co	rresponding i	requirement.		

A.5 OFDMA Channel Noise Generator (OCNG)

A.5.1 OCNG Patterns for FDD

The following OCNG patterns are used for modelling allocations to virtual UEs (which are not under test). The OCNG pattern for each sub frame specifies the allocations that shall be filled with OCNG, and furthermore, the relative power level of each such allocation.

In each test case the OCNG is expressed by parameters OCNG_RA and OCNG_RB which together with a relative power level (γ) specifies the PDSCH EPRE-to-RS EPRE ratios in OFDM symbols with and without reference symbols, respectively. The relative power, which is used for modelling boosting per virtual UE allocation, is expressed by:

$$\gamma_i = PDSCH_i _RA/OCNG_RA = PDSCH_i _RB/OCNG_RB$$
,

where γ_i denotes the relative power level of the *i:th* virtual UE. The parameter settings of OCNG_RA, OCNG_RB, and the set of relative power levels γ are chosen such that when also taking allocations to the UE under test into account, as given by a PDSCH reference channel, a transmitted power spectral density that is constant on an OFDM symbol basis is targeted.

Moreover the OCNG pattern is accompanied by a PCFICH/PDCCH/PHICH reference channel which specifies the control region. For any aggregation and PHICH allocation, the PDCCH and any unused PHICH groups are padded with resource element groups with a power level given respectively by PDCCH_RA/RB and PHICH_RA/RB as specified in the test case such that a total power spectral density in the control region that is constant on an OFDM symbol basis is targeted.

A.5.1.1 OCNG FDD pattern 1: One sided dynamic OCNG FDD pattern

This OCNG Pattern fills with OCNG all empty PRB-s (PRB-s with no allocation of data or system information) of the DL sub-frames, when the unallocated area is continuous in frequency domain (one sided).

Table A.5.1.1-1: OP.1 FDD: One sided dynamic OCNG FDD Pattern

Relative power level γ_{PRB} [dB]					
Subframe					
0 5 1-4,6-9					
Allocation					
First unallocated PRB	First unallocated PRB	First unallocated PRB			
_	_	_			
Last unallocated PRB	Last unallocated PRB	Last unallocated PRB			
0	0	0	Note 1		
Note 1: These physical res	ource blocks are assigned to a	n arhitrary number of virtual I II	=s with one		

Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter γ_{PRB} is used to scale the power of PDSCH.

Note 2: If two or more transmit antennas are used in the test, the OCNG shall be transmitted to the virtual users by all the transmit antennas according to transmission mode 2. The transmit power shall be equally split between all the transmit antennas used in the test. The antenna transmission modes are specified in section 7.1 in 3GPP TS 36.213.

A.5.1.2 OCNG FDD pattern 2: Two sided dynamic OCNG FDD pattern

This OCNG Pattern fills with OCNG all empty PRB-s (PRB-s with no allocation of data or system information) of the DL sub-frames, when the unallocated area is discontinuous in frequency domain (divided in two parts by the allocated area – two sided), starts with PRB 0 and ends with PRB $N_{\rm RB}$ –1.

Table A.5.1.2-1: OP.2 FDD: Two sided dynamic OCNG FDD Pattern

	R	elative power level $\gamma_{\scriptscriptstyle PRB}$ [dE	3]			
Subframe						
	0 5 1-4,6-9					
		Allocation		Data		
,	t allocated PRB-1) and located PRB+1) –	0 – (First allocated PRB-1) and (Last allocated PRB+1) –	0 – (First allocated PRB-1) and (Last allocated PRB+1) –			
	$(N_{RB}-1)$	$(N_{RB}-1)$	$(N_{RB}-1)$			
	0	0	0	Note 1		
Note 1:			n arbitrary number of virtual UE the OCNG PDSCHs shall be un			
	pseudo random da	ta, which is QPSK modulated.	The parameter $\gamma_{\it PRB}$ is used to	scale the		
Note 2:	virtual users by all power shall be equ	the transmit antennas accordin	est, the OCNG shall be transmi g to transmission mode 2. The nit antennas used in the test. The GGPP TS 36.213.	transmit		

A.5.1.3 OCNG FDD pattern 3: 49 RB OCNG allocation with MBSFN in 10 MHz

Table A.5.1.3-1: OP.3 FDD: OCNG FDD Pattern 3

Allocation $n_{\it PRB}$	Re	lative power I Subfr	evel $\gamma_{{\scriptscriptstyle PRB}}$ [d	В]	PDSCH Data	PMCH Data
	0	5	4, 9	1-3,6-8		

1 – 49	0	0 (Allocation: all empty PRB-s)	0	N/A	Note 1	N/A
0 – 49	N/A	N/A	N/A	0	N/A	Note 2

- Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter γ_{PRB} is used to scale the power of PDSCH.
- Note 2: Each physical resource block (PRB) is assigned to MBSFN transmission. The data in each PRB shall be uncorrelated with data in other PRBs over the period of any measurement. The MBSFN data shall be QPSK modulated. PMCH subframes shall contain cell-specific Reference Signals only in the first symbol of the first time slot. The parameter γ_{PRB} is used to scale the power of PMCH.
- Note 3: If two or more transmit antennas are used in the test, the OCNG shall be transmitted to the virtual users by all the transmit antennas according to transmission mode 2. The transmit power shall be equally split between all the transmit antennas used in the test. The antenna transmission modes are specified in section 7.1 in 3GPP TS 36.213.

N/A: Not Applicable

A.5.2 OCNG Patterns for TDD

The following OCNG patterns are used for modelling allocations to virtual UEs (which are not under test). The OCNG pattern for each sub frame specifies the allocations that shall be filled with OCNG, and furthermore, the relative power level of each such allocation.

In each test case the OCNG is expressed by parameters OCNG_RA and OCNG_RB which together with a relative power level (γ) specifies the PDSCH EPRE-to-RS EPRE ratios in OFDM symbols with and without reference symbols, respectively. The relative power, which is used for modelling boosting per virtual UE allocation, is expressed by:

$$\gamma_i = PDSCH_i RA/OCNG RA = PDSCH_i RB/OCNG RB,$$

where γ_i denotes the relative power level of the *i:th* virtual UE. The parameter settings of OCNG_RA, OCNG_RB, and the set of relative power levels γ are chosen such that when also taking allocations to the UE under test into account, as given by a PDSCH reference channel, a transmitted power spectral density that is constant on an OFDM symbol basis is targeted.

Moreover the OCNG pattern is accompanied by a PCFICH/PDCCH/PHICH reference channel which specifies the control region. For any aggregation and PHICH allocation, the PDCCH and any unused PHICH groups are padded with resource element groups with a power level given respectively by PDCCH_RA/RB and PHICH_RA/RB as specified in the test case such that a total power spectral density in the control region that is constant on an OFDM symbol basis is targeted.

A.5.2.1 OCNG TDD pattern 1: One sided dynamic OCNG TDD pattern

This OCNG Pattern fills with OCNG all empty PRB-s (PRB-s with no allocation of data or system information) of the subframes available for DL transmission (depending on TDD UL/DL configuration), when the unallocated area is continuous in frequency domain (one sided).

Table A.5.2.1-1: OP.1 TDD: One sided dynamic OCNG TDD Pattern

		Relative power	level $\gamma_{\it PRB}$ [dB]				
Subframe (only if available for DL)							
0		5	3, 4, 7, 8, 9 and 6 (as normal subframe) Note 2	1 and 6 (as special subframe) ^{Note 2}	PDSCH Data		
Allocation							
First unallocate	d PRB	First unallocated PRB	First unallocated PRB	First unallocated PRB			
_		_	_	_			
Last unallocate	d PRB	Last unallocated PRB	Last unallocated PRB	Last unallocated PRB			
0		0	0	0	Note 1		
Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random							
data, which is QPSK modulated. The parameter $\gamma_{_{PRR}}$ is used to scale the power of PDSCH.							
Note 2: Subt	rames :	available for DL transmis	sion depends on the Unli	nk-Downlink configuration	in Table 4.2-		

Note 2: Subframes available for DL transmission depends on the Uplink-Downlink configuration in Table 4.2-2 in 3GPP TS 36.211

Note 3: If two or more transmit antennas are used in the test, the OCNG shall be transmitted to the virtual users by all the transmit antennas according to transmission mode 2. The transmit power shall be equally split between all the transmit antennas used in the test. The antenna transmission modes are specified in section 7.1 in 3GPP TS 36.213.

A.5.2.2 OCNG TDD pattern 2: Two sided dynamic OCNG TDD pattern

This OCNG Pattern fills with OCNG all empty PRB-s (PRB-s with no allocation of data or system information) of the subframes available for DL transmission (depending on TDD UL/DL configuration), when the unallocated area is discontinuous in frequency domain (divided in two parts by the allocated area – two sided), starts with PRB 0 and ends with PRB $N_{\tiny RR}$ -1.

Table A.5.2.2-1: OP.2 TDD: Two sided dynamic OCNG TDD Pattern

Subframe (only if available for DL)						
0	5	3, 4, 6, 7, 8, 9 (6 as normal subframe) Note 2	1,6 (6 as special subframe) Note 2			
Allocation						
0 –	0 –	0 –	0 —	PDSCH Data		
(First allocated PRB-	(First allocated PRB-	(First allocated PRB-	(First allocated PRB-			
1)	1)	1)	1)			
and	and	and	and			
(Last allocated	(Last allocated	(Last allocated	(Last allocated			
PRB+1) – $(N_{RB} - 1)$	PRB+1) – $(N_{RB} - 1)$	PRB+1) – $(N_{RB} - 1)$	PRB+1) – $(N_{RB} - 1)$			
[0]	[0]	[0]	[0]	Note 1		

Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter γ_{PRB} is used to scale the power of PDSCH.

Note 2: Subframes available for DL transmission depends on the Uplink-Downlink configuration in Table 4.2-2 in 3GPP TS 36.211

Note 3: If two or more transmit antennas are used in the test, the OCNG shall be transmitted to the virtual users by all the transmit antennas according to transmission mode 2. The transmit power shall be equally split between all the transmit antennas used in the test. The antenna transmission modes are specified in section 7.1 in 3GPP TS 36.213.

A.5.2.3 OCNG TDD pattern 3: 49 RB OCNG allocation with MBSFN in 10 MHz

Table A.5.2. 3-1: OP.3 TDD: OCNG TDD Pattern 3 for 5ms downlink-to-uplink switch-point periodicity

Alleration	Relative power level γ_{PRB} [dB]				PDSCH Data	PMCH Data
n_{PRB}	Allocation n_{PRB} Subframe					
	0	5	4, 9 ^{Note 2}	1, 6		
1 – 49	0	0 (Allocation: all empty PRB-s)	N/A	0	Note 1	N/A
0 – 49	N/A	N/A	0	N/A	N/A	Note 3

- Note 1: These physical resource blocks are assigned to an arbitrary number of virtual UEs with one PDSCH per virtual UE; the data transmitted over the OCNG PDSCHs shall be uncorrelated pseudo random data, which is QPSK modulated. The parameter γ_{PRB} is used to scale the power of PDSCH.
- Note 2: Subframes available for DL transmission depends on the Uplink-Downlink configuration in Table 4.2-2 in 3GPP TS 36.211.
- Note 3: Each physical resource block (PRB) is assigned to MBSFN transmission. The data in each PRB shall be uncorrelated with data in other PRBs over the period of any measurement. The MBSFN data shall be QPSK modulated. PMCH symbols shall not contain cell-specific Reference Signals
- Note 4: If two or more transmit antennas are used in the test, the OCNG shall be transmitted to the virtual users by all the transmit antennas according to transmission mode 2. The transmit power shall be equally split between all the transmit antennas used in the test. The antenna transmission modes are specified in section 7.1 in 3GPP TS 36.213.

N/A: Not Applicable

Annex B (normative): Propagation conditions

B.1 Static propagation condition

For 2 port transmission the channel matrix is defined in the frequency domain by

$$\mathbf{H} = \begin{pmatrix} 1 & j \\ 1 & -j \end{pmatrix}.$$

B.2 Multi-path fading propagation conditions

The multipath propagation conditions consist of several parts:

- A delay profile in the form of a "tapped delay-line", characterized by a number of taps at fixed positions on a sampling grid. The profile can be further characterized by the r.m.s. delay spread and the maximum delay spanned by the taps.
- A combination of channel model parameters that include the Delay profile and the Doppler spectrum, that is characterized by a classical spectrum shape and a maximum Doppler frequency
- A set of correlation matrices defining the correlation between the UE and eNodeB antennas in case of multi-antenna systems.
- Additional multi-path models used for CQI (Channel Quality Indication) tests

B.2.1 Delay profiles

The delay profiles are selected to be representative of low, medium and high delay spread environments. The resulting model parameters are defined in Table B.2.1-1 and the tapped delay line models are defined in Tables B.2.1-2, B.2.1-3 and B.2.1-4.

Table B.2.1-1 Delay profiles for E-UTRA channel models

Model	Number of channel taps	Delay spread (r.m.s.)	Maximum excess tap delay (span)
Extended Pedestrian A (EPA)	7	45 ns	410 ns
Extended Vehicular A model (EVA)	9	357 ns	2510 ns
Extended Typical Urban model (ETU)	9	991 ns	5000 ns

Table B.2.1-2 Extended Pedestrian A model (EPA)

Excess tap delay [ns]	Relative power [dB]
0	0.0
30	-1.0
70	-2.0
90	-3.0
110	-8.0
190	-17.2
410	-20.8

Table B.2.1-3 Extended Vehicular A model (EVA)

Excess tap delay [ns]	Relative power [dB]
0	0.0
30	-1.5
150	-1.4
310	-3.6
370	-0.6
710	-9.1
1090	-7.0
1730	-12.0
2510	-16.9

Table B.2.1-4 Extended Typical Urban model (ETU)

Excess tap delay [ns]	Relative power [dB]
0	-1.0
50	-1.0
120	-1.0
200	0.0
230	0.0
500	0.0
1600	-3.0
2300	-5.0
5000	-7.0

B.2.2 Combinations of channel model parameters

Table B.2.2-1 shows propagation conditions that are used for the performance measurements in multi-path fading environment for low, medium and high Doppler frequencies

Table B.2.2-1 Channel model parameters

Model	Maximum Doppler frequency
EPA 5Hz	5 Hz
EVA 5Hz	5 Hz
EVA 70Hz	70 Hz
ETU 70Hz	70 Hz
ETU 300Hz	300 Hz

B.2.3 MIMO Channel Correlation Matrices

B.2.3.1 Definition of MIMO Correlation Matrices

Table B.2.3.1-1 defines the correlation matrix for the eNodeB

Table B.2.3.1-1 eNodeB correlation matrix

	One antenna	Two antennas	Four antennas
eNode B Correlation	$R_{eNB} = 1$	$R_{eNB} = \begin{pmatrix} 1 & \alpha \\ \alpha^* & 1 \end{pmatrix}$	$R_{eNB} = \begin{pmatrix} 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} & \alpha \\ \alpha^{\frac{1}{9}} & 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} \\ \alpha^{\frac{4}{9}} & \alpha^{\frac{1}{9}} & 1 & \alpha^{\frac{1}{9}} \\ \alpha^* & \alpha^{\frac{4}{9}} & \alpha^{\frac{1}{9}} & 1 \end{pmatrix}$

Table B.2.3.1-2 defines the correlation matrix for the UE:

Table B.2.3.1-2 UE correlation matrix

	One antenna	Two antennas	Four antennas
UE Correlation	$R_{UE} = 1$	$R_{UE} = \begin{pmatrix} 1 & \beta \\ \beta^* & 1 \end{pmatrix}$	$R_{UE} = \begin{pmatrix} 1 & \beta^{1/9} & \beta^{4/9} & \beta \\ \beta^{1/9} & 1 & \beta^{1/9} & \beta^{4/9} \\ \beta^{4/9} & \beta^{1/9} & 1 & \beta^{1/9} \\ \beta^* & \beta^{4/9} & \beta^{1/9} & 1 \end{pmatrix}$

Table B.2.3.1-3 defines the channel spatial correlation matrix R_{spat} . The parameters, α and β in Table B.2.3.1-3 defines the spatial correlation between the antennas at the eNodeB and UE.

Table B.2.3.1-3: R_{spat} correlation matrices

1x2 case	$R_{spat} = R_{UE} = \begin{bmatrix} 1 & \beta \\ \beta^* & 1 \end{bmatrix}$
2x2 case	$R_{spat} = R_{eNB} \otimes R_{UE} = \begin{bmatrix} 1 & \alpha \\ \alpha^* & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & \beta \end{bmatrix} = \begin{bmatrix} 1 & \beta & \alpha & \alpha\beta \\ \beta^* & 1 & \alpha\beta^* & \alpha \\ \alpha^* & \alpha^*\beta & 1 & \beta \\ \alpha^*\beta^* & \alpha^* & \beta^* & 1 \end{bmatrix}$
4x2 case	$R_{spat} = R_{eNB} \otimes R_{UE} = \begin{bmatrix} 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} & \alpha \\ \alpha^{\frac{1}{9}^*} & 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} \\ \alpha^{\frac{4}{9}^*} & \alpha^{\frac{1}{9}^*} & 1 & \alpha^{\frac{1}{9}} \\ \alpha^* & \alpha^{\frac{4}{9}^*} & \alpha^{\frac{1}{9}^*} & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & \beta \\ \beta^* & 1 \end{bmatrix}$
4x4 case	$R_{spat} = R_{eNB} \otimes R_{UE} = \begin{bmatrix} 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} & \alpha \\ \alpha^{\frac{1}{9}} & 1 & \alpha^{\frac{1}{9}} & \alpha^{\frac{4}{9}} \\ \alpha^{\frac{4}{9}} & \alpha^{\frac{1}{9}} & 1 & \alpha^{\frac{1}{9}} \\ \alpha^{*} & \alpha^{\frac{4}{9}} & \alpha^{\frac{1}{9}} & 1 \end{bmatrix} \otimes \begin{bmatrix} 1 & \beta^{\frac{1}{9}} & \beta^{\frac{4}{9}} & \beta \\ \beta^{\frac{1}{9}} & 1 & \beta^{\frac{1}{9}} & \beta^{\frac{4}{9}} \\ \beta^{\frac{4}{9}} & \beta^{\frac{1}{9}} & 1 & \beta^{\frac{1}{9}} \\ \beta^{*} & \beta^{\frac{4}{9}} & \beta^{\frac{1}{9}} & 1 \end{bmatrix}$

For cases with more antennas at either eNodeB or UE or both, the channel spatial correlation matrix can still be expressed as the Kronecker product of R_{eNB} and R_{UE} according to $R_{spat} = R_{eNB} \otimes R_{UE}$.

B.2.3.2 MIMO Correlation Matrices at High, Medium and Low Level

The α and β for different correlation types are given in Table B.2.3.2-1.

Table B.2.3.2-1

Low correlation		Medium Correlation		High Correlation	
α	β	α β		α	β
0	0	0.3	0.9	0.9	0.9

The correlation matrices for high, medium and low correlation are defined in Table B.2.3.1-2, B.2.3.2-3 and B.2.3.2-4, as below.

The values in Table B.2.3.2-2 have been adjusted for the 4x2 and 4x4 high correlation cases to insure the correlation matrix is positive semi-definite after round-off to 4 digit precision. This is done using the equation:

$$\mathbf{R}_{high} = [\mathbf{R}_{spatial} + aI_n]/(1+a)$$

Where the value 'a' is a scaling factor such that the smallest value is used to obtain a positive semi-definite result. For the 4x2 high correlation case, a=0.00010. For the 4x4 high correlation case, a=0.00012.

The same method is used to adjust the 4x4 medium correlation matrix in Table B.2.3.2-3 to insure the correlation matrix is positive semi-definite after round-off to 4 digit precision with a = 0.00012.

Table B.2.3.2-2: MIMO correlation matrices for high correlation

1x2 case	$R_{high} = \begin{pmatrix} 1 & 0.9 \\ 0.9 & 1 \end{pmatrix}$
2x2 case	$R_{high} = \begin{pmatrix} 1 & 0.9 & 0.9 & 0.81 \\ 0.9 & 1 & 0.81 & 0.9 \\ 0.9 & 0.81 & 1 & 0.9 \\ 0.81 & 0.9 & 0.9 & 1 \end{pmatrix}$
4x2 case	$R_{high} = \begin{bmatrix} 1.0000 & 0.8999 & 0.9883 & 0.8894 & 0.9542 & 0.8587 & 0.8999 & 0.8099 \\ 0.8999 & 1.0000 & 0.8894 & 0.9883 & 0.8587 & 0.9542 & 0.8099 & 0.8999 \\ 0.9883 & 0.8894 & 1.0000 & 0.8999 & 0.9883 & 0.8894 & 0.9542 & 0.8587 \\ 0.8894 & 0.9883 & 0.8999 & 1.0000 & 0.8894 & 0.9883 & 0.8587 & 0.9542 \\ 0.9542 & 0.8587 & 0.9883 & 0.8894 & 1.0000 & 0.8999 & 0.9883 & 0.8894 \\ 0.8587 & 0.9542 & 0.8894 & 0.9883 & 0.8999 & 1.0000 & 0.8894 & 0.9883 \\ 0.8999 & 0.8099 & 0.9542 & 0.8587 & 0.9883 & 0.8894 & 1.0000 & 0.8999 \\ 0.8099 & 0.8999 & 0.8587 & 0.9542 & 0.8894 & 0.9883 & 0.8999 & 1.0000 \end{bmatrix}$
4x4 case	$R_{high} = \begin{cases} 1.0000 \ 0.9882 \ 0.9541 \ 0.8999 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.8894 \ 0.9541 \ 0.9430 \ 0.9105 \ 0.8587 \ 0.8999 \ 0.8894 \ 0.8587 \ 0.8099 \\ 0.9882 \ 1.0000 \ 0.9882 \ 0.9541 \ 0.9767 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.9105 \ 0.8894 \ 0.8587 \ 0.8999 \ 0.8894 \ 0.8587 \\ 0.9541 \ 0.9882 \ 1.0000 \ 0.9882 \ 0.9430 \ 0.9767 \ 0.9882 \ 0.8587 \ 0.9105 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.8587 \ 0.8894 \ 0.8999 \ 0.8894 \\ 0.8999 \ 0.9541 \ 0.9882 \ 1.0000 \ 0.8894 \ 0.9430 \ 0.9767 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.9541 \ 0.8099 \ 0.8587 \ 0.8894 \ 0.8999 \\ 0.9882 \ 0.9767 \ 0.9430 \ 0.8894 \ 1.0000 \ 0.9882 \ 0.9541 \ 0.8999 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.9105 \ 0.9430 \ 0.9767 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.9767 \ 0.9882 \ 0.9767 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.9541 \ 0.9430 \ 0.9105 \ 0.9430 \ 0.9541$

Table B.2.3.2-3: MIMO correlation matrices for medium correlation

case	N/A
case	$R_{medium} = \begin{pmatrix} 1 & 0.9 & 0.3 & 0.27 \\ 0.9 & 1 & 0.27 & 0.3 \\ 0.3 & 0.27 & 1 & 0.9 \\ 0.27 & 0.3 & 0.9 & 1 \end{pmatrix}$

			(1.0000	0.9000	0.8748	0.7873	0.5856	0.5271	0.3000	0.2700		
case				0.9000	1.0000	0.7873	0.8748	0.5271	0.5856	0.2700	0.3000		
				0.8748	0.7873	1.0000	0.9000	0.8748	0.7873	0.5856	0.5271		
	$R_{medium} =$			0.7873		0.9000		0.7873		0.5271	0.5856		
			=										
				0.5856	0.5271	0.8748		1.0000		0.8748	0.7873		
				0.5271	0.5856	0.7873	0.8748	0.9000	1.0000	0.7873	0.8748		
				0.3000	0.2700	0.5856	0.5271	0.8748	0.7873	1.0000	0.9000		
				0.2700	0.3000	0.5271	0.5856	0.7873	0.8748	0.9000	1.0000		

case	1	1.0000 0.	.9882	0.9541 0.	8999 0.8747	0.8645 0	.8347 0.7872	0.5855 (0.5787 0.5588	0.5270	0.3000 0.2965	0.2862	0.2700
		0.9882 1.	.0000	0.9882 0.	9541 0.8645	0.8747 0	.8645 0.8347	0.5787	0.5855 0.5787	0.5588	0.2965 0.3000	0.2965	0.2862
		0.9541 0.	.9882	1.0000 0.	9882 0.8347	0.8645 0	.8747 0.8645	0.5588 (0.5787 0.5855	0.5787	0.2862 0.2965	0.3000	0.2965
		0.8999 0.	.9541	0.9882 1.	0000 0.7872	0.8347 0	.8645 0.8747	0.5270	0.5588 0.5787	0.5855	0.2700 0.2862	0.2965	0.3000
		0.8747 0.	.8645	0.8347 0.	7872 1.0000	0.9882 0	.9541 0.8999	0.8747	0.8645 0.8347	0.7872	0.5855 0.5787	0.5588	0.5270
		0.8645 0.	.8747	0.8645 0.	8347 0.9882	1.0000 0	.9882 0.9541	0.8645 (0.8747 0.8645	0.8347	0.5787 0.5855	0.5787	0.5588
		0.8347 0.	.8645	0.8747 0.	8645 0.9541	0.9882 1.	0000 0.9882	0.8347	0.8645 0.8747	0.8645	0.5588 0.5787	0.5855	0.5787
	D _	0.7872 0.	.8347	0.8645 0.	8747 0.8999	0.9541 0	.9882 1.0000	0.7872	0.8347 0.8645	0.8747	0.5270 0.5588	0.5787	0.5855
	R_{medium} =	0.5855 0.	.5787	0.5588 0.	5270 0.8747	0.8645 0	.8347 0.7872	1.0000 (0.9882 0.9541	0.8999	0.8747 0.8645	0.8347	0.7872
		0.5787 0.	.5855	0.5787 0.	5588 0.8645	0.8747 0	.8645 0.8347	0.9882 1	1.0000 0.9882	0.9541	0.8645 0.8747	0.8645	0.8347
		0.5588 0.	.5787	0.5855 0.	5787 0.8347	0.8645 0	.8747 0.8645	0.9541 (0.9882 1.0000	0.9882	0.8347 0.8645	0.8747	0.8645
		0.5270 0.	.5588	0.5787 0.	5855 0.7872	0.8347 0	.8645 0.8747	0.8999 (0.9541 0.9882	1.0000	0.7872 0.8347	0.8645	0.8747
		0.3000 0.	.2965	0.2862 0.	2700 0.5855	0.5787 0	.5588 0.5270	0.8747	0.8645 0.8347	0.7872	1.0000 0.9882	0.9541	0.8999
		0.2965 0.	.3000	0.2965 0.	2862 0.5787	0.5855 0	.5787 0.5588	0.8645 (0.8747 0.8645	0.8347	0.9882 1.0000	0.9882	0.9541
		0.2862 0.	.2965	0.3000 0.	2965 0.5588	0.5787 0	.5855 0.5787	0.8347	0.8645 0.8747	0.8645	0.9541 0.9882	1.0000	0.9882
	l	0.2700 0.	.2862	0.2965 0.	3000 0.5270	0.5588 0	.5787 0.5855	0.7872 (0.8347 0.8645	0.8747	0.8999 0.9541	0.9882	1.0000

Table B.2.3.2-4: MIMO correlation matrices for low correlation

1x2 case	$R_{low} = \mathbf{I}_2$
2x2 case	$R_{low} = \mathbf{I}_4$
4x2 case	$R_{low} = \mathbf{I}_8$
4x4 case	$R_{low} = \mathbf{I}_{16}$

In Table B.2.3.2-4, \mathbf{I}_d is the $d \times d$ identity matrix.

B.2.4 Propagation conditions for CQI tests

 $[For \ Channel \ Quality \ Indication \ (CQI) \ tests, the \ following \ additional \ multi-path \ profile \ is \ used:$

$$h(t,\tau) = \delta(\tau) + a \exp(-i2\pi f_D t) \delta(\tau - \tau_d),$$

in continuous time (t,τ) representation, with τ_d the delay, a a constant and f_D the Doppler frequency.]

B.3 High speed train scenario

The high speed train condition for the test of the baseband performance is a non fading propagation channel with one tap. Doppler shift is given by

$$f_s(t) = f_d \cos \theta(t) \tag{B.3.1}$$

where $f_s(t)$ is the Doppler shift and f_d is the maximum Doppler frequency. The cosine of angle $\theta(t)$ is given by

$$\cos\theta(t) = \frac{D_s/2 - vt}{\sqrt{D_{\min}^2 + (D_s/2 - vt)^2}}, \ 0 \le t \le D_s/v$$
(B.3.2)

$$\cos \theta(t) = \frac{-1.5D_s + vt}{\sqrt{D_{\min}^2 + (-1.5D_s + vt)^2}}, \ D_s/v < t \le 2D_s/v$$
(B.3.3)

$$\cos\theta(t) = \cos\theta(t \mod (2D_s/v)), \ t > 2D_s/v \tag{B.3.4}$$

where $D_s/2$ is the initial distance of the train from eNodeB, and D_{\min} is eNodeB Railway track distance, both in meters; v is the velocity of the train in m/s, t is time in seconds.

Doppler shift and cosine angle are given by equation B.3.1 and B.3.2-B.3.4 respectively, where the required input parameters listed in table B.3-1 and the resulting Doppler shift shown in Figure B.3-1 are applied for all frequency bands.

Table B.3-1: High speed train scenario

Parameter	Value
D_s	300 m
D_{\min}	2 m
ν	300 km/h
f_d	750 Hz

NOTE 1: Parameters for HST conditions in table B.3-1 including f_d and Doppler shift trajectories presented on figure B.3-1 were derived for Band 7.

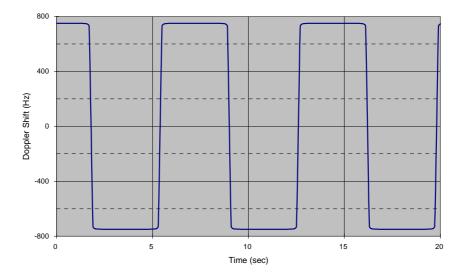


Figure B.3-1: Doppler shift trajectory

B.4 Beamforming Model

B.4.1 Single-layer beamforming (Antenna port 5)

The effective channel for the user-specific signal, including DRS, is defined as a product of a 2x2 MIMO matrix with the relevant propagation profile and a random single-layer 2x1 precoder from the CRS code-book, i.e.

$$H_{eff} = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix} W(j)$$

where the codebook index j (Table 6.3.4.2.3-1 in [4]) changes randomly. Note that H_{eff} is a 2x1 matrix representing a 1x2 SIMO channel.

Annex C (normative): Downlink Physical Channels

C.1 General

This annex specifies the downlink physical channels that are needed for setting a connection and channels that are needed during a connection.

C.2 Set-up

Table C.2-1 describes the downlink Physical Channels that are required for connection set up.

Table C.2-1: Downlink Physical Channels required for connection set-up

Physical Channel			
PBCH			
SSS			
PSS			
PCFICH			
PDCCH			
PHICH			
PDSCH			

C.3 Connection

The following clauses, describes the downlink Physical Channels that are transmitted during a connection i.e., when measurements are done.

C.3.1 Measurement of Receiver Characteristics

Table C.3.1-1 is applicable for measurements on the Receiver Characteristics (clause 7).

Table C.3.1-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)

Physical Channel	EPRE Ratio	
PBCH	PBCH_RA = 0 dB	
	PBCH_RB = 0 dB	
PSS	PSS_RA = 0 dB	
SSS	$SSS_RA = 0 dB$	
PCFICH	PCFICH_RB = 0 dB	
PDCCH	PDCCH_RA = 0 dB	
	PDCCH_RB = 0 dB	
PDSCH	PDSCH_RA = 0 dB	
	PDSCH_RB = 0 dB	
OCNG	OCNG_RA = 0 dB	
	OCNG_RB = 0 dB	

NOTE 1: No boosting is applied.

Table C.3.1-2: Power allocation for OFDM symbols and reference signals

Parameter	Unit	Value	Note
Transmitted power spectral density $I_{\it or}$	dBm/15 kHz	Test specific	1. I_{or} shall be kept constant throughout all OFDM symbols
Cell-specific reference		0 dB	
signal power ratio $E_{\it RS}$ / $I_{\it or}$			

C.3.2 Measurement of Performance requirements

Table C.3.2-1 is applicable for measurements in which uniform RS-to-EPRE boosting for all downlink physical channels.

Table C.3.2-1: Downlink Physical Channels transmitted during a connection (FDD and TDD)

Physical Channel	EPRE Ratio	
PBCH	$PBCH_RA = \rho_A$	
	$PBCH_RB = \rho_B$	
PSS	$PSS_RA = \rho_A$	
SSS	$SSS_RA = \rho_A$	
PCFICH	PCFICH_RB = ρ_B	
PDCCH	PDCCH_RA = ρ_A	
	PDCCH_RB = ρ_B	
PDSCH	PDSCH_RA = ρ_A	
	PDSCH_RB = ρ_B	
OCNG	OCNG_RA = ρ_A	
	OCNG_RB = ρ_B	

NOTE 1: $\rho_A = \rho_B = 0$ dB means no RS boosting.

Table C.3.2-2: Power allocation for OFDM symbols and reference signals

Parameter	Unit	Value	Note
Total transmitted power spectral density $I_{\it or}$	dBm/15 kHz	Test specific	1. I_{or} shall be kept constant throughout all OFDM symbols
Cell-specific reference signal power ratio $E_{\it RS}$ / $I_{\it or}$		Test specific	1. Applies for antenna port <i>p</i>

Annex D (normative): Characteristics of the interfering signal

D.1 General

When the channel band width is wider or equal to 5MHz, a modulated 5MHz full band width E-UTRA down link signal and CW signal are used as interfering signals when RF performance requirements for E-UTRA UE receiver are defined. For channel band widths below 5MHz, the band width of modulated interferer should be equal to band width of the received signal.

D.2 Interference signals

Table D.2-1 describes the modulated interferer for different channel band width options.

Table D.2-1: Description of modulated E-UTRA interferer

	Channel bandwidth						
	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz	
BW _{Interferer}	1.4 MHz	3 MHz	5 MHz	5 MHz	5 MHz	5 MHz	
RB	6	15	25	25	25	25	

Annex E (normative): Environmental conditions

E.1 General

This normative annex specifies the environmental requirements of the UE. Within these limits the requirements of the present documents shall be fulfilled.

E.2 Environmental

The requirements in this clause apply to all types of UE(s).

E.2.1 Temperature

The UE shall fulfil all the requirements in the full temperature range of:

Table E.2.1-1

+15°C to +35°C	for normal conditions (with relative humidity of 25 % to 75 %)
-10°C to +55°C	for extreme conditions (see IEC publications 68-2-1 and 68-2-2)

Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 for extreme operation.

E.2.2 Voltage

The UE shall fulfil all the requirements in the full voltage range, i.e. the voltage range between the extreme voltages.

The manufacturer shall declare the lower and higher extreme voltages and the approximate shutdown voltage. For the equipment that can be operated from one or more of the power sources listed below, the lower extreme voltage shall not be higher, and the higher extreme voltage shall not be lower than that specified below.

Table E.2.2-1

Power source	Lower extreme voltage	Higher extreme voltage	Normal conditions voltage
AC mains	0,9 * nominal	1,1 * nominal	nominal
Regulated lead acid battery	0,9 * nominal	1,3 * nominal	1,1 * nominal
Non regulated batteries:			
Leclanché	0,85 * nominal	Nominal	Nominal
Lithium	0,95 * nominal	1,1 * Nominal	1,1 * Nominal
Mercury/nickel & cadmium	0,90 * nominal		Nominal

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in clause 6.2 for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

E.2.3 Vibration

The UE shall fulfil all the requirements when vibrated at the following frequency/amplitudes.

Table E.2.3-1

Frequency	ASD (Acceleration Spectral Density) random vibration
5 Hz to 20 Hz	$0.96 \text{ m}^2/\text{s}^3$
20 Hz to 500 Hz	0,96 m ² /s ³ at 20 Hz, thereafter –3 dB/Octave

Outside the specified frequency range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 36.101 for extreme operation.

Annex F (normative): Transmit modulation

F.1 Measurement Point

Figure F.1-1 shows the measurement point for the unwanted emission falling into non-allocated RB(s) and the EVM for the allocated RB(s).

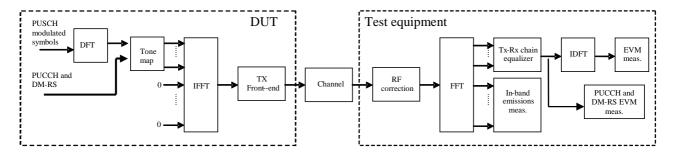


Figure F.1-1: EVM measurement points

F.2 Basic Error Vector Magnitude measurement

The EVM is the difference between the ideal waveform and the measured waveform for the allocated RB(s)

$$EVM = \sqrt{\frac{\sum_{v \in T_m} |z'(v) - i(v)|^2}{|T_m| \cdot P_0}},$$

where

 T_m is a set of $|T_m|$ modulation symbols with the considered modulation scheme being active within the measurement period,

z'(v) are the samples of the signal evaluated for the EVM,

i(v) is the ideal signal reconstructed by the measurement equipment, and

 P_0 is the average power of the ideal signal. For normalized modulation symbols P_0 is equal to 1.

The basic EVM measurement interval is defined over one slot in the time domain for PUCCH and PUSCH and over one preamble sequence for the PRACH.

F.3 Basic in-band emissions measurement

The in-band emissions are a measure of the interference falling into the non-allocated resources blocks. The in-band emission requirement is evaluated for PUCCH and PUSCH transmissions. The in-band emission requirement is not evaluated for PRACH transmissions.

The in-band emissions are measured as follows

$$Emissions_{absolute}(\Delta_{RB}) = \begin{cases} \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{\max(f_{\min}, f_{l} + 12 \cdot \Delta_{RB} * \Delta f)}^{f_{l} + (12 \cdot \Delta_{RB} * \Delta f)} |Y(t, f)|^{2}, \Delta_{RB} < 0 \\ \frac{1}{|T_{s}|} \sum_{t \in T_{s}} \sum_{f_{h} + (12 \cdot \Delta_{RB} - 11) * \Delta f}^{\min(f_{\max}, f_{h} + 12 \cdot \Delta_{RB} * \Delta f)} |Y(t, f)|^{2}, \Delta_{RB} > 0 \end{cases}$$

where

 T_s is a set of $|T_s|$ SC-FDMA symbols with the considered modulation scheme being active within the measurement period,

 Δ_{RB} is the starting frequency offset between the allocated RB and the measured non-allocated RB (e.g. $\Delta_{RB}=1$ or $\Delta_{RB}=-1$ for the first adjacent RB),

 f_{\min} (resp. f_{\max}) is the lower (resp. upper) edge of the UL system BW,

 f_l and f_h are the lower and upper edge of the allocated BW, and

Y(t, f) is the frequency domain signal evaluated for in-band emissions as defined in the subsection (ii)

The relative in-band emissions are, given by

$$Emissions_{relative}(\Delta_{RB}) = \frac{Emissions_{absolute}(\Delta_{RB})}{\frac{1}{\left|T_{s}\right| \cdot N_{RB}} \sum_{t \in T_{s}}^{f_{l} + (12 \cdot N_{RB} - 1) \Delta f} \left|Y(t, f)\right|^{2}}$$

where

 N_{RR} is the number of allocated RBs

The basic in-band emissions measurement interval is defined over one slot in the time domain. When the PUSCH or PUCCH transmission slot is shortened due to multiplexing with SRS, the in-band emissions measurement interval is reduced by one SC-FDMA symbol, accordingly.

In the evaluation of in-band emissions, the timing is set according to $\Delta \widetilde{t} = \Delta \widetilde{c}$, where sample time offsets $\Delta \widetilde{t}$ and $\Delta \widetilde{c}$ are defined in subclause F.4.

F.4 Modified signal under test

Implicit in the definition of EVM is an assumption that the receiver is able to compensate a number of transmitter impairments.

The PUSCH data or PRACH signal under test is modified and, in the case of PUSCH data signal, decoded according to::

$$Z'(t,f) = IDFT \left\{ \frac{FFT \left\{ z(v - \Delta \widetilde{t}) \cdot e^{-j2\pi\Delta \widetilde{f}v} \right\} e^{j2\pi j\Delta \widetilde{t}}}{\widetilde{a}(t,f) \cdot e^{j\widetilde{\varphi}(t,f)}} \right\}$$

where

z(v) is the time domain samples of the signal under test.

The PUCCH or PUSCH demodulation reference signal or PUCCH data signal under test is equalised and, in the case of PUCCH data signal decoded according to:

$$Z'(t,f) = \frac{FFT\left\{z(v - \Delta \tilde{t}) \cdot e^{-j2\pi \Delta \tilde{f}v}\right\} e^{j2\pi j\Delta \tilde{t}}}{\tilde{a}(t,f) \cdot e^{j\tilde{\varphi}(t,f)}}$$

where

z(v) is the time domain samples of the signal under test.

To minimize the error, the signal under test should be modified with respect to a set of parameters following the procedure explained below.

Notation:

 $\Delta \tilde{t}$ is the sample timing difference between the FFT processing window in relation to nominal timing of the ideal signal.

 $\Delta \tilde{f}$ is the RF frequency offset.

 $\widetilde{\varphi}(t,f)$ is the phase response of the TX chain.

 $\tilde{a}(t, f)$ is the amplitude response of the TX chain.

In the following $\Delta \tilde{c}$ represents the middle sample of the EVM window of length W (defined in the next subsections) or the last sample of the first window half if W is even.

The EVM analyser shall

- ightharpoonup detect the start of each slot and estimate $\Delta \widetilde{t}$ and $\Delta \widetilde{f}$,
- \triangleright determine $\Delta \tilde{c}$ so that the EVM window of length W is centred
 - on the time interval determined by the measured cyclic prefix minus 16 samples of the considered OFDM symbol for symbol 0 for normal CP, i.e. the first 16 samples of the CP should not be taken into account for this step. In the determination of the number of excluded samples, a sampling rate of 30.72MHz was assumed. If a different sampling rate is used, the number of excluded samples is scaled linearly.
 - on the measured cyclic prefix of the considered OFDM symbol symbol for symbol 1 to 6 for normal CP and for symbol 0 to 5 for extended CP.
 - on the measured preamble cyclic prefix for the PRACH

To determine the other parameters a sample timing offset equal to $\Delta \tilde{c}$ is corrected from the signal under test. The EVM analyser shall then

- ightharpoonup correct the RF frequency offset $\Delta \widetilde{f}$ for each time slot, and
- > apply an FFT of appropriate size. The chosen FFT size shall ensure that in the case of an ideal signal under test, there is no measured inter-subcarrier interference.

The IQ origin offset shall be removed from the evaluated signal before calculating the EVM and the in-band emissions; however, the removed relative IQ origin offset power (relative carrier leakage power) also has to satisfy the applicable requirement.

At this stage the allocated RBs shall be separated from the non-allocated RBs. In the case of PUCCH and PUSCH EVM, the signal on the non-allocated RB(s), Y(t, f), is used to evaluate the in-band emissions.

Moreover, the following procedure applies only to the signal on the allocated RB(s).

- In the case of PUCCH and PUSCH, the UL EVM analyzer shall estimate the TX chain equalizer coefficients $\widetilde{a}(t,f)$ and $\widetilde{\varphi}(t,f)$ used by the ZF equalizer for all subcarriers by time averaging at each signal subcarrier of the amplitude and phase of the reference and data symbols. The time-averaging length is 1 slot. This process creates an average amplitude and phase for each signal subcarrier used by the ZF equalizer. The knowledge of data modulation symbols may be required in this step because the determination of symbols by demodulation is not reliable before signal equalization.
- In the case of PRACH, the UL EVM analyzer shall estimate the TX chain coefficients $\widetilde{a}(t)$ and $\widetilde{\varphi}(t)$ used for phase and amplitude correction and are seleted so as to minimize the resulting EVM. The TX chain coefficients are not dependent on frequency, i.e. $\widetilde{a}(t,f)=\widetilde{a}(t)$ and $\widetilde{\varphi}(t,f)=\widetilde{\varphi}(t)$. The TX chain coefficient are chosen independently for each preamble transmission and for each $\Delta \widetilde{t}$.

At this stage estimates of $\Delta \widetilde{f}$, $\widetilde{\alpha}(t,f)$, $\widetilde{\varphi}(t,f)$ and $\Delta \widetilde{c}$ are available. $\Delta \widetilde{t}$ is one of the extremities of the window W, i.e. $\Delta \widetilde{t}$ can be $\Delta \widetilde{c} + \alpha - \left\lfloor \frac{W}{2} \right\rfloor$ or $\Delta \widetilde{c} + \left\lfloor \frac{W}{2} \right\rfloor$, where $\alpha = 0$ if W is odd and $\alpha = 1$ if W is even. The EVM analyser shall then

- ightharpoonup calculate EVM₁ with $\Delta \tilde{t}$ set to $\Delta \tilde{c} + \alpha \left\lfloor \frac{W}{2} \right\rfloor$,
- ightharpoonup calculate EVM_h with $\Delta \tilde{t}$ set to $\Delta \tilde{c} + \left| \frac{W}{2} \right|$.

F.5 Window length

F.5.1 Timing offset

As a result of using a cyclic prefix, there is a range of $\Delta \tilde{t}$, which, at least in the case of perfect Tx signal quality, would give close to minimum error vector magnitude. As a first order approximation, that range should be equal to the length of the cyclic prefix. Any time domain windowing or FIR pulse shaping applied by the transmitter reduces the $\Delta \tilde{t}$ range within which the error vector is close to its minimum.

F.5.2 Window length

The window length W affects the measured EVM, and is expressed as a function of the configured cyclic prefix length. In the case where equalization is present, as with frequency domain EVM computation, the effect of FIR is reduced. This is because the equalization can correct most of the linear distortion introduced by the FIR. However, the time domain windowing effect can"t be removed.

F.5.3 Window length for normal CP

The table below specifies the EVM window length at channel bandwidths 1.4, 3, 5, 10, 15, 20 MHz, for normal CP. The nominal window length for 3 MHz is rounded down one sample to allow the window to be centered on the symbol.

Table F.5.3-1 EVM window length for normal CP

Channel Bandwidth MHz	Cyclic prefix length N_{cp} for symbol 0		Nominal FFT size	Cyclic prefix for symbols 1 to 6 in FFT samples	EVM window length W in FFT samples	Ratio of W to CP for symbols 1 to 6 2
1.4	160	144	128	9	[5]	[55.6]
3			256	18	[12]	[66.7]
5			512	36	[32]	[88.9]
10			1024	72	[66]	[91.7]
15			1536	108	[102]	[94.4]
20			2048	144	[136]	[94.4]

Note 1: The unit is number of samples, sampling rate of 30.72MHz is assumed.

Note 2: These percentages are informative and apply to symbols 1 through 6. Symbol 0 has a longer CP and therefore a lower percentage.

F.5.4 Window length for Extended CP

The table below specifies the EVM window length at channel bandwidths 1.4, 3, 5, 10, 15, 20 MHz, for extended CP. The nominal window lengths for 3 MHz and 15 MHz are rounded down one sample to allow the window to be centered on the symbol.

Table F.5.4-1 EVM window length for extended CP

Channel Bandwidth MHz	$\begin{array}{c} \textbf{Cyclic}\\ \textbf{prefix}\\ \textbf{length}^{\textbf{1}}N_{cp} \end{array}$	Nominal FFT size	Cyclic prefix in FFT samples	EVM window length W in FFT samples	Ratio of W to CP ²
1.4	512	128	32	[28]	[87.5]
3		256	64	[58]	[90.6]
5		512	128	[124]	[96.9]
10		1024	256	[250]	[97.4]
15		1536	384	[374]	[97.4]
20		2048	512	[504]	[98.4]

Note 1: The unit is number of samples, sampling rate of 30.72MHz is assumed.

Note 2: These percentages are informative

F.5.4 Window length for PRACH

The table below specifies the EVM window length for PRACH preamble formats 0-4.

Table F.5.5-1 EVM window length for PRACH

Preamble format		Nominal FFT size ²	EVM window length <i>W</i> in FFT samples	Ratio of W to CP*
0	3168	24576	[TBD]	[TBD]
1	21024	24576	[TBD]	[TBD]
2	6240	49152	[TBD]	[TBD]
3	21024	49152	[TBD]	[TBD]
4	448	4096	[TBD]	[TBD]

Note 1: The unit is number of samples, sampling rate of 30.72MHz is assumed

Note 2: The use of other FFT sizes is possible as long as appropriate scaling of the window length is applied

Note 3: These percentages are informative

F.6 Averaged EVM

The general EVM is averaged over basic EVM measurements for 20 slots in the time domain.

$$\overline{EVM} = \sqrt{\frac{1}{20} \sum_{i=1}^{20} EVM_i^2}$$

The EVM requirements shall be tested against the maximum of the RMS average at the window W extremities of the EVM measurements:

Thus $\overline{\mathrm{EVM}}_1$ is calculated using $\Delta \widetilde{t} = \Delta \widetilde{t}_l$ in the expressions above and $\overline{\mathrm{EVM}}_h$ is calculated using $\Delta \widetilde{t} = \Delta \widetilde{t}_h$.

Thus we get:

$$EVM = \max(\overline{EVM}_1, \overline{EVM}_h)$$

The calculation of the EVM for the demodulation reference signal, EVM_{DMRS} , follows the same procedure as calculating the general EVM, with the exception that the modulation symbol set T_m defined in clause F.2 is restricted to symbols containing uplink demodulation reference signals.

The basic EVM_{DMRS} measurements are first averaged over 20 slots in the time domain to obtain an intermediate average \overline{EVM}_{DMRS} .

$$\overline{EVM}_{DMRS} = \sqrt{\frac{1}{20} \sum_{i=1}^{20} EVM_{DMRS,i}^2}$$

In the determination of each $EVM_{DMRS,i}$, the timing is set to $\Delta \tilde{t} = \Delta \tilde{t}_l$ if $\overline{EVM}_l > \overline{EVM}_h$, and it is set to $\Delta \tilde{t} = \Delta \tilde{t}_l$ otherwise, where \overline{EVM}_l and \overline{EVM}_h are the general average EVM values calculated in the same 20 slots over which the intermediate average \overline{EVM}_{DMRS} is calculated. Note that in some cases, the general average EVM may be calculated only for the purpose of timing selection for the demodulation reference signal EVM.

Then the results are further averaged to get the EVM for the demodulation reference signal, EVM_{DMRS} ,

$$EVM_{DMRS} = \sqrt{\frac{1}{6} \sum_{j=1}^{6} \overline{EVM}_{DMRS,j}^{2}}$$

The PRACH EVM, EVM_{PRACH} , is averaged over two preamble sequence measurements for preamble formats 0, 1, 2, 3, and it is averaged over 10 preamble sequence measurements for preamble format 4.

The EVM requirements shall be tested against the maximum of the RMS average at the window *W* extremities of the EVM measurements:

Thus $\overline{\text{EVM}}_{\text{PRACH,1}}$ is calculated using $\Delta \widetilde{t} = \Delta \widetilde{t_l}$ and $\overline{\text{EVM}}_{\text{PRACH,h}}$ is calculated using $\Delta \widetilde{t} = \Delta \widetilde{t_h}$.

Thus we get:

$$EVM_{PRACH} = \max(\overline{EVM}_{PRACH,1}, \overline{EVM}_{PRACH,h})$$

F.7 Spectrum Flatness

The data shall be taken from FFT coded data symbols and the demodulation reference symbols of the allocated resource block.

Annex G (informative): Change history

Table G.1: Change History

Date	TSG#	TSG Doc.	CR	Subject	Old	New
11-2007	R4#45	R4-72206		TS36.101V0.1.0 approved by RAN4	-	
12-2007	RP#38	RP-070979		Approved version at TSG RAN #38	1.0.0	8.0.0
03-2008	RP#39	RP-080123	3	TS36.101 - Combined updates of E-UTRA UE requirements	8.0.0	8.1.0
05-2008	RP#40	RP-080325	4	TS36.101 - Combined updates of E-UTRA UE requirements	8.1.0	8.2.0
09-2008	RP#41	RP-080638	5r1	Addition of Ref Sens figures for 1.4MHz and 3MHz Channel bandwiidths	8.2.0	8.3.0
09-2008	RP#41	RP-080638	7r1	Transmitter intermodulation requirements	8.2.0	8.3.0
09-2008	RP#41	RP-080638	10	CR for clarification of additional spurious emission requirement	8.2.0	8.3.0
09-2008	RP#41	RP-080638	15	Correction of In-band Blocking Requirement	8.2.0	8.3.0
09-2008	RP#41	RP-080638	18r1	TS36.101: CR for section 6: NS 06	8.2.0	8.3.0
09-2008	RP#41	RP-080638	19r1	TS36.101: CR for section 6: Tx modulation	8.2.0	8.3.0
09-2008	RP#41	RP-080638	20r1	TS36.101: CR for UE minimum power	8.2.0	8.3.0
09-2008	RP#41	RP-080638	21r1	TS36.101: CR for UE OFF power	8.2.0	8.3.0
09-2008	RP#41	RP-080638	24r1	TS36.101: CR for section 7: Band 13 Rx sensitivity	8.2.0	8.3.0
09-2008	RP#41	RP-080638	26	UE EVM Windowing	8.2.0	8.3.0
09-2008	RP#41	RP-080638	29	Absolute ACLR limit	8.2.0	8.3.0
09-2008	RP#41	RP-080731	23r2	TS36.101: CR for section 6: UE to UE co-existence	8.2.0	8.3.0
09-2008	RP#41	RP-080731	30	Removal of [] for UE Ref Sens figures	8.2.0	8.3.0
09-2008	RP#41	RP-080731	31	Correction of PA, PB definition to align with RAN1 specification	8.2.0	8.3.0
09-2008	RP#41	RP-080731	37r2	UE Spurious emission band UE co-existence	8.2.0	8.3.0
09-2008	RP#41	RP-080731	44	Definition of specified bandwidths	8.2.0	8.3.0
09-2008	RP#41	RP-080731	48r3	Addition of Band 17	8.2.0	8.3.0
09-2008	RP#41		50		8.2.0	8.3.0
09-2008	RP#41	RP-080731	52r1	Alignment of the UE ACS requirement	8.2.0	8.3.0
09-2008	RP#41	RP-080731		Frequency range for Band 12	8.2.0	8.3.0
09-2008		RP-080731	54r1	Absolute power tolerance for LTE UE power control		8.3.0
	RP#41	RP-080731	55	TS36.101 section 6: Tx modulation	8.2.0	
09-2008	RP#41	RP-080732	6r2	DL FRC definition for UE Receiver tests	8.2.0	8.3.0
09-2008	RP#41	RP-080732	46	Additional UE demodulation test cases	8.2.0	8.3.0
09-2008	RP#41	RP-080732	47	Updated descriptions of FRC	8.2.0	8.3.0
09-2008	RP#41	RP-080732	49	Definition of UE transmission gap	8.2.0	8.3.0
09-2008	RP#41	RP-080732	51	Clarification on High Speed train model in 36.101	8.2.0	8.3.0
09-2008	RP#41	RP-080732	53	Update of symbol and definitions	8.2.0	8.3.0
09-2008	RP#41	RP-080743	56	Addition of MIMO (4x2) and (4x4) Correlation Matrices	8.2.0	8.3.0
12-2008	RP#42	RP-080908	94r2	CR TX RX channel frequency separation	8.3.0	8.4.0
12-2008	RP#42	RP-080909	105r1	UE Maximum output power for Band 13	8.3.0	8.4.0
12-2008	RP#42	RP-080909	60	UL EVM equalizer definition	8.3.0	8.4.0
12-2008	RP#42	RP-080909	63	Correction of UE spurious emissions	8.3.0	8.4.0
12-2008	RP#42	RP-080909	66	Clarification for UE additional spurious emissions	8.3.0	8.4.0
12-2008	RP#42	RP-080909	72	Introducing ACLR requirement for coexistance with UTRA 1.6MHZ channel from 36.803	8.3.0	8.4.0
12-2008	RP#42	RP-080909	75	Removal of [] from Section 6 transmitter characteristcs	8.3.0	8.4.0
12-2008	RP#42	RP-080909	81	Clarification for PHS band protection	8.3.0	8.4.0
12-2008	RP#42	RP-080909	101	Alignement for the measurement interval for transmit signal quality	8.3.0	8.4.0
12-2008	RP#42	RP-080909	98r1	Maximum power	8.3.0	8.4.0
12-2008	RP#42	RP-080909	57r1	CR UE spectrum flatness	8.3.0	8.4.0
12-2008	RP#42	RP-080909	71r1	UE in-band emission	8.3.0	8.4.0
12-2008	RP#42	RP-080909	58r1	CR Number of TX exceptions	8.3.0	8.4.0
12-2008	RP#42	RP-080951	99r2	CR UE output power dynamic	8.3.0	8.4.0
12-2008	RP#42	RP-080951	79r1	LTE UE transmitter intermodulation	8.3.0	8.4.0
12-2008	RP#42	RP-080910	91	Update of Clause 8	8.3.0	8.4.0
12-2008	RP#42	RP-080950	106r1	Structure of Clause 9 including CSI requirements for PUCCH mode 1-0	8.3.0	8.4.0
12-2008	RP#42	RP-080911	59	CR UE ACS test frequency offset	8.3.0	8.4.0
12-2008	RP#42	RP-080911	65	Correction of spurious response parameters	8.3.0	8.4.0
12-2008	RP#42	RP-080911	80	Removal of LTE UE narrowband intermodulation	8.3.0	8.4.0
12-2008	RP#42	RP-080911	90r1	Introduction of Maximum Sensitivity Degradation	8.3.0	8.4.0
	1				1	

40.0000	DD#40	DD 000011	400	Demonstratifican Ocalis ZD	000	0.4.0
12-2008	RP#42	RP-080911	103	Removal of [] from Section 7 Receiver characteristic	8.3.0	8.4.0
12-2008	RP#42	RP-080912	62	Alignement of TB size n Ref Meas channel for RX characteristics	8.3.0	8.4.0
12-2008	RP#42	RP-080912	78	TDD Reference Measurement channel for RX characterisctics	8.3.0	8.4.0
12-2008 12-2008	RP#42 RP#42	RP-080912 RP-080912	73r1 74r1	Addition of 64QAM DL referenbce measurement channel Addition of UL Reference Measurement Channels	8.3.0 8.3.0	8.4.0
				Reference measurement channels for PDSCH performance		
12-2008	RP#42	RP-080912	104	requirements (TDD)	8.3.0	8.4.0
12-2008	RP#42	RP-080913	68	MIMO Correlation Matrix Corrections	8.3.0	8.4.0
12-2008	RP#42	RP-080915	67	Correction to the figure with the Transmission Bandwidth	8.3.0	8.4.0
				configuration		
12-2008	RP#42	RP-080916	77	Modification to EARFCN	8.3.0	8.4.0
12-2008	RP#42	RP-080917	85r1	New Clause 5 outline	8.3.0	8.4.0
12-2008 12-2008	RP#42 RP#42	RP-080919	102	Introduction of Bands 12 and 17 in 36.101	8.3.0 8.3.0	8.4.0
03-2009	RP#43	RP-080927 RP-090170	84r1 156r2	Clarification of HST propagation conditions A-MPR table for NS_07	8.4.0	8.5.0
03-2009	RP#43	RP-090170	170	Corrections of references (References to tables and figures)	8.4.0	8.5.0
03-2009	RP#43	RP-090170	108	Removal of [] from Transmitter Intermodulation	8.4.0	8.5.0
03-2009	RP#43	RP-090170	155	E-UTRA ACLR for below 5 MHz bandwidths	8.4.0	8.5.0
03-2009	RP#43	RP-090170	116	Clarification of PHS band including the future plan	8.4.0	8.5.0
03-2009	RP#43	RP-090170	119	Spectrum emission mask for 1.4 MHz and 3 MHz bandwidhts	8.4.0	8.5.0
				Removal of 'Out-of-synchronization handling of output power'		
03-2009	RP#43	RP-090170	120	heading	8.4.0	8.5.0
03-2009	RP#43	RP-090170	126	UE uplink power control	8.4.0	8.5.0
03-2009	RP#43	RP-090170	128	Transmission BW Configuration	8.4.0	8.5.0
03-2009	RP#43	RP-090170	130	Spectrum flatness	8.4.0	8.5.0
03-2009	RP#43	RP-090170	132r2	PUCCH EVM	8.4.0	8.5.0
03-2009	RP#43	RP-090170	134	UL DM-RS EVM	8.4.0	8.5.0
03-2009	RP#43	RP-090170	140	Removal of ACLR2bis requirements	8.4.0	8.5.0
03-2009	RP#43	RP-090171	113	In-band blocking	8.4.0	8.5.0
03-2009	RP#43	RP-090171	127	In-band blocking and sensitivity requirement for band 17	8.4.0	8.5.0
03-2009	RP#43	RP-090171	137r1	Wide band intermodulation	8.4.0	8.5.0
03-2009	RP#43	RP-090171	141	Correction of reference sensitivity power level of Band 9	8.4.0	8.5.0
03-2009	RP#43	RP-090172	109	AWGN level for UE DL demodulation performance tests	8.4.0	8.5.0
03-2009 03-2009	RP#43 RP#43	RP-090172	124	Update of Clause 8: additional test cases	8.4.0	8.5.0
		RP-090172	139r1	Performance requirement structure for TDD PDSCH Performance requirements and reference measurement channels for	8.4.0	8.5.0
03-2009	RP#43	RP-090172	142r1	TDD PDSCH demodulation with UE-specific reference symbols	8.4.0	8.5.0
02 2000	RP#43	DD 000470	145	·	0.4.0	0.5.0
03-2009	KP#43	RP-090172		Number of information bits in DwPTS	8.4.0	8.5.0
03-2009	RP#43	RP-090172	160r1	MBSFN-Unicast demodulation test case	8.4.0	8.5.0
			163r1			
03-2009	RP#43	RP-090172	10311	MBSFN-Unicast demodulation test case for TDD	8.4.0	8.5.0
02 2000	RP#43	DD 000472	162	Clarification of EADECN for 26 404	0.4.0	0 5 0
03-2009	KP#43	RP-090173		Clarification of EARFCN for 36.101	8.4.0	8.5.0
03-2009	RP#43	RP-090369	110	Correction to UL Reference Measurement Channel	8.4.0	8.5.0
			114			
03-2009	RP#43	RP-090369	114	Addition of MIMO (4x4, medium) Correlation Matrix	8.4.0	8.5.0
03-2009	RP#43	DD 000360	121	Correction of 36.101 DL RMC table notes	8.4.0	8.5.0
03-2009	NF#43	RP-090369		Correction of 30. To Fide Rivic Table notes	0.4.0	0.0.0
03-2009	RP#43	RP-090369	125	Update of Clause 9	8.4.0	8.5.0
			138r1	•		
03-2009	RP#43	RP-090369	13011	Clarification on OCNG	8.4.0	8.5.0
03-2009	RP#43	RP-090369	161	CQI reference measurement channels	8.4.0	8.5.0
00-2008	i νι # " ΙΟ	VL-090908		OWI TELETICE THEASULETHERIC CHAIRLES	0.4.0	0.0.0
03-2009	RP#43	RP-090369	164	PUCCH 1-1 Static Test Case	8.4.0	8.5.0
00.55	BE		111			
03-2009	RP#43	RP-090369		Reference Measurement Channel for TDD	8.4.0	8.5.0
03-2009	RP#44			Editorial correction in Table 6.2.4-1	8.5.0	8.5.1
0.5				Boundary between E-UTRA fOOB and spurious emission domain for	0 = 1	
05-2009	RP#44	RP-090540	167	1.4 MHz and 3 MHz bandwiths. (Technically Endorsed CR in R4-	8.5.1	8.6.0
				50bis - R4-091205) EARFCN correction for TDD DL bands. (Technically Endorsed CR in		
05-2009	RP#44	RP-090540	168	R4-50bis - R4-091206)	8.5.1	8.6.0
05-2009	RP#44	DD 000540	160	Editorial correction to in-band blocking table. (Technically Endorsed	8.5.1	8.6.0
		RP-090540	169	CR in R4-50bis - R4-091238)		
05-2009	RP#44	RP-090540	171	CR PRACH EVM. (Technically Endorsed CR in R4-50bis - R4-	8.5.1	8.6.0

09130			
05-2009 RP#44 RP-090540 172 CR EV 09130	/M correction. (Technically Endorsed CR in R4-50bis - R4-	8.5.1	8.6.0
	wer control accuracy. (Technically Endorsed CR in R4-50bis -	8.5.1	8.6.0
05-2009 RP#44 RP-090540 179 Correct	trion of SRS requirements. (Technically Endorsed CR in R4- - R4-091426)	8.5.1	8.6.0
	cation for EVM. (Technically Endorsed CR in R4-50bis - R4-	8.5.1	8.6.0
	val of [] from band 17 Refsens values and ACS offset	8.5.1	8.6.0
	letion of band17 requirements	8.5.1	8.6.0
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