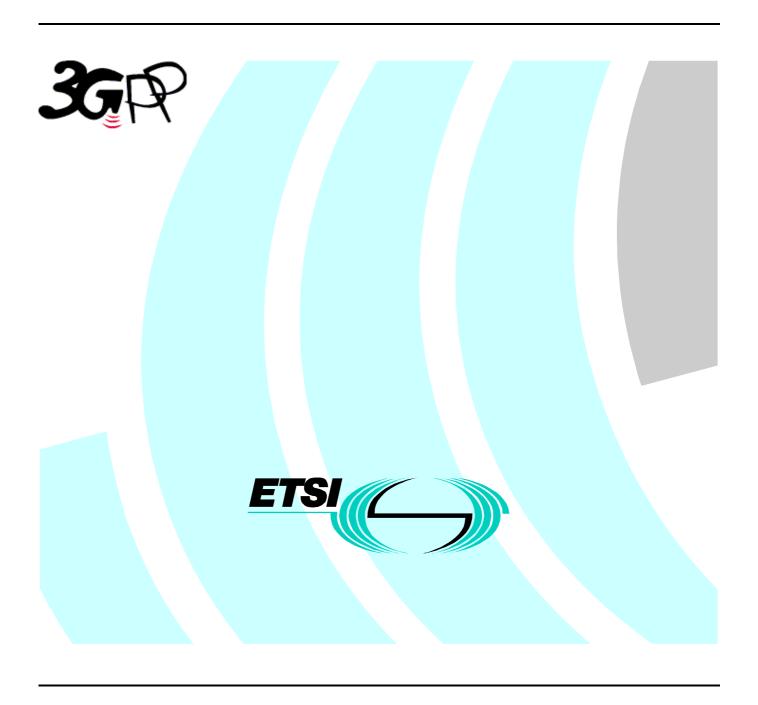
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(3GPP TS 31.121 version 4.0.0 Release 4)



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## **Foreword**

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## **Foreword**

This Technical Specification (TS) has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

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- x the first digit:
  - 1 presented to TSG for information;
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  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## Introduction

This document defines the Application Test specification.

The aim of the present document is to ensure interoperability between an UICC and a Terminal independently of the respective manufacturer, card issuer or operator. The present document does not define any aspects related to the administrative management phase of the UICC. Any internal technical realisation of either the UICC or the Terminal is only specified where these are reflected over the interface.

Application specific details for applications residing on an UICC are specified in the respective application specific documents. The logical and physical Characteristics of the UICC Terminal interface is specified in document TS 102 221 [5]. The Universal Subscriber Identity Module (USIM)-application for 3G telecommunication networks is specified in document 3G TS 31.102 [4].

# 1 Scope

The present document provides the UICC (Universal IC Card)-Terminal Interface Conformance Test Specification between the 3<sup>rd</sup> Generation Terminal and USIM (Universal Subscriber Identity Module) as an application on the UICC and the Terminal for 3G telecom network operation:

- the default setting of the USIM;
- the applicability of each test case;
- the test configurations;
- the conformance requirement and reference to the core specifications;
- the test purposes; and

[10]

[11]

[12]

[13] [14]

a brief description of the test procedure and the specific acceptance criteria.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

	r
[1]	ISO/IEC 7816-1 (1998): "Identification cards - Integrated circuit(s) cards with contacts, Part 1: Physical characteristics".
[2]	ISO/IEC 7816-6 (1996): "Identification cards - Integrated circuit(s) cards with contacts, Part 6: Interindustry data elements".
[3]	3GPP TS 23.038: "Alphabets and language-specific information".
[4]	3GPP TS 31.102: "Characteristics of the USIM application".
[5]	ETSI TS 102 221: "UICC-Terminal Interface; Physical and Logical Characteristics"
[6]	3GPP TS 22.011: "Service Accessibility"
[7]	3GPP TS 21.905: "Vocabulary for 3GPP Specifications"
[8]	3GPP TS 22.024: "Description of Charge Advice Information (CAI)"
[9]	3GPP TS 23.086: "Advice of Charge (AoC) supplementary services - Stage 2"

3GPP TS 24.086: "Advice of Charge (AoC) supplementary services - Stage 3"

3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)"

3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)"

3GPP TS 22.101: "Service aspects; Service principles"

3GPP TS 23.003: "Numbering, addressing and identification"

[15] 3GPP TS 44.018: "Radio Resource Control Protocol"

[16] 3GPP TS 24.008: "Mobile radio interface layer 3 specification, Core Network Protocols-Stage3"

# 3 Definitions, symbols, abbreviations and coding

## 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

**Application DF**: application DF is the entry point to an application.

Access conditions: set of security attributes associated with a file.

Access technology: Radio Access Technology of the Terminal (e.g. UTRAN or GSM)

**Application:** application consists of a set of security mechanisms, files, data and protocols (excluding transmission protocols).

**Application protocol:** set of procedures required by the application.

**Card session:** link between the card and the external world starting with the ATR and ending with a subsequent reset or a deactivation of the card.

Current directory: latest MF or DF or ADF selected.

Current EF: latest EF selected.

Data Object: information coded as TLV objects, i.e. consisting of a Tag, a Length and a Value part.

**Dedicated File (DF):** file containing access conditions and, optionally, Elementary Files (EFs) or other Dedicated Files (DFs).

**Directory:** general term for MF, DF and ADF.

Elementary File (EF): file containing access conditions and data and no other files.

File: directory or an organised set of bytes or records in the UICC.

**File identifier:** 2 bytes which address a file in the UICC.

Function: function contains a command and a response pair.

**GSM session:** that part of the card session dedicated to the GSM operation.

ID-1 UICC: UICC having the format of an ID-1 card (see ISO/IEC 7816-1 [1]).

Master File (MF): unique mandatory file containing access conditions and optionally DFs and/or EFs.

**Normal USIM operation:** relating to general, PIN related, 3G and or GSM security and subscription related procedures.

Plug-in UICC: second format of UICC.

**Record:** string of bytes within an EF handled as a single entity.

Record number: number, which identifies a record within an EF.

**Record pointer:** pointer, which addresses one record in an EF.

**Terminal**: a device into which a UICC can be inserted and which is capable of providing access to UMTS services to users, either alone or in conjunction with a UICC. **User Equipment:** A Terminal with one or several UMTS Subscriber Identity Module(s) (USIM).

**USIM session:** USIM session is a selectable application session for a USIM application.

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

bx Bit x of byte (leftmost bit is MSB)

Bn Byte No. n

#### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G 3<sup>rd</sup> Generation

3GPP 3<sup>rd</sup> Generation Partnership Project

ACC ACcess Class
ACL APN Control List
ACM Accumulated Call Meter
ACMmax ACM maximal value
ACT ACcess Technology
ADF Application Dedicated File

AoC Advice of Charge

AoCC Advice of Charge Charging

APN Access Point Name
ATR Answer To Reset
BCD Binary Coded Decimal
BCCH Broadcast Control Channel
BDN Barred Dialling Number

CCI Capability / Configuration Identifier

CCM Current Call Meter
CK Cipher key
DF Dedicated File
EF Elementary File

EMMI Electrical Man Machine InterfaceExt nExtension n

FDN Fixed Dialling Number FPLMN Forbidden PLMN

GSM Global System for Mobile communications

HPLMN Home PLMN

ICC Integrated Circuit Card

ID IDentifier

IEC International Electrotechnical Commission

IK Integrity key

IMSI International Mobile Subscriber Identity
ISO International Organization for Standardization

KSI Key Set Identifier
LAC Location Area Code
LAI Location Area Information
LSB Least Significant Bit
MCC Mobile Country Code

MF Master File

MMI Man Machine Interface
MNC Mobile Network Code
MSB Most Significant Bit
NPI Numbering Plan Identifier
OFM Operational Feature Monitor
OSI Open System Interconnection

P1 Parameter 1 P2 Parameter 2 P3 Parameter 3 PIN Personal Identification Number PLMN Public Land Mobile Network

PS Packet switched

RACH Random Access Channel
RFU Reserved for Future Use
RPLMN last Registered PLMN
SS System Simulator (GSM)
TE Terminal Equipment
TLV Tag Length Value

TMSI Temporary Mobile Subscriber Identity

TON Type Of Number UICC Universal ICC User Equipment

USS UMTS System Simulator

USIM Universal Subscriber Identity Module
UTRAN UMTS Terrestrial Radio Access Network

VPLMN Visitor PLMN

# 3.4 Coding Conventions

The following coding conventions apply to the present document.

All lengths are presented in bytes, unless otherwise stated. Each byte B is represented by eight bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation, the leftmost bit is the MSB.

In the UICC, all bytes specified as RFU shall be set to '00' and all bits specifies as RFU shall be set to '0'. If the GSM and/or USIM application exists on a UICC or is built on a generic telecommunications card, then other values may apply for the non- GSM or non-USIM applications. The values will be defined in the appropriate specifications for such cards and applications. These bytes and bits shall not be interpreted by a Terminal in a GSM or 3G session.

The coding of Data Objects in the present document is according to ISO/IEC 7816-6 [2].

# 4 Default Values

All Test defined in the subsequent clauses applies to Terminal using both type of currently specified UICC (ID-1 UICC or Plug-in UICC) in TS 102 221 clause 4 unless otherwise stated.

The following sequence of tests confirms:

- a) the correct interpretation of data read from the USIM (Universal Subscriber Identification Module) by the Terminal;
- b) the correct writing of data to the USIM by the Terminal;
- c) the initiation of appropriate procedures by the Terminal;
- d) High level protocols.

All tests apply to the USIM application on the UICC.

A USIM simulator will be required as part of the USS. Alternatively, to perform the logical tests, USIMs programmed with specific data may be used. The USIM data is not defined within the initial conditions of the tests unless it differs from the default values defined below.

# 4.1 Definition of default values for USIM-Terminal interface testing (Default UICC)

A USIM containing the following default values is used for all tests of this present document unless otherwise stated.

For each data item, the logical default values and the coding within the elementary files (EF) of the USIM follow.

NOTE 1: Bx represents byte x of the coding.

NOTE 2: Unless otherwise defined, the coding values are hexadecimal.

## 4.1.1 Values of the EF's (Default UICC)

#### 4.1.1.1 $EF_{IMSI}$ (IMSI)

Logically: 2460813579

Coding: B1 **B**2 В3 **B**4 **B5 B6 B**7 **B8 B9** 80 75 F9 FF FF Hex 06 21 64 31

#### 4.1.1.2 EF<sub>AD</sub> (Administrative Data)

Logically: Normal operation

OFM to be deactivated by the Terminal

MNC: 3 digit

Coding: B1 B2 B3 B4 Hex 00 00 00 03

## 4.1.1.3 EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246

LAI-MNC: 081 LAI-LAC: 0001 TMSI: "FF.. FF"

Coding: B1 B10 B2 **B**3 **B**4 **B5 B6 B**7 **B8 B9** B11 Hex FF FF FF FF 42 06 18 00 01 FF 00

#### 4.1.1.4 EF<sub>Kevs</sub> (Ciphering and Integrity Keys)

Logically: Key Set Identifier KSI: 0x

Ciphering Keys CK: xx Integrity Keys IK: xx

Coding: В1 B2 **B**3 B16 B17 B18 **B30** B31 B32 Hex 0xXX  $\mathbf{X}\mathbf{X}$ XXXXXXXXXXXX

#### 4.1.1.5 EF<sub>KevsPS</sub> (Ciphering and Integrity Keys for Packet Switched domain)

Logically: Key Set Identifier KSI: 0x

Ciphering Keys CK: xx Integrity Keys IK: xx

Coding: **B**2 B30 **B31** B32 **B**1 **B**3 **B**16 **B17 B18** Hex 0x $\mathbf{x}\mathbf{x}$ XXXXXXXXXXXXXX

#### 4.1.1.6 EF<sub>ACC</sub> (Access Control Class)

Logically: One and only one access class from 0 - 9, e.g. class 7 for which the coding is "00 80".

#### 4.1.1.7 EF<sub>FPLMN</sub> (Forbidden PLMNs)

Besides of the 4 mandatory EF<sub>FPLMN</sub> 2 optional EF<sub>FPLMN</sub> are defined according to TS 31.102 subclause 4.2.16

Logically: PLMN1: 234 001 (MCC MNC)

PLMN2: 234 002 PLMN3: 234 003 PLMN4: 234 004 PLMN5: 234 005 PLMN6: 234 006

Coding: **B**1 B2 **B**3 **B**4 **B5** B6 **B7 B8 B9** B10 B11 B12 Hex 32 04 10 32 04 20 32 04 30 32 04 40 B13 B14 B15 B16 B17 **B18** 32 04 50 32 04 60

#### 4.1.1.8 EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available

User controlled PLMN selector available

Fixed dialling numbers available

Barred dialling numbers available

The GSM Access available

The Group Identifier level 1 and level 2 not available

Service n 33 (Packed Switched Domain) shall be set to '1'

Coding: B1 B2 **B**3 **B**4 **B5** binary x1xx xx11 xxxx xxxx xxxx 1x00 xxxx x1xx xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### 4.1.1.9 EF<sub>EST</sub> (Enable Service Table)

Logically:

Fixed Dialling Numbers (FDN) disabled. Barred Dialling Numbers (BDN) disabled.

APN Control list (ACL) disabled

Coding: **B**1

0000 0000 binary

The coding of EF<sub>EST</sub> shall conform with the capabilities of the USIM, unused Bits are set to '0'.

#### 4.1.1.10 EF<sub>ADN</sub> (Abbreviated Dialling Number)

Logically:

At least 10 records. Record 1 to 10:

Length of alpha identifier: 32 characters;

Alpha identifier: "ABCDEFGHIJKLMNOPQRSTUVWXYZABCDEF";

Length of BCD number: "03";

TON and NPI: Telephony and Unknown;

Dialled number: 123; CCI: None; Ext1: None.

Record 1:

B34 Coding: **B**1 **B**2 **B35** B36 **B**3 **B32** B33 **B37 B**38 B39 B46 FF FF 42 03 81 21 F3 FF FF Hex 41 43 46 ...

## 4.1.1.11 EF<sub>PLMNwACT</sub> (User Controlled PLMN Selector with Access Technology)

Besides of the 8 mandatory  $EF_{PLMNwACT}$  4 optional  $EF_{PLMNwACT}$  are defined according to the TS 31.102 subclause 4.2.5. The Radio Access Technology identifier for the first two PLMN (1<sup>st</sup> PLMN and 2<sup>nd</sup> PLMN) are set to both UTRAN and GSM, all other PLMN to UTRAN only.

Logically: 1st PLMN:244 081 (MCC MNC)

1st ACT: UTRAN 2<sup>nd</sup> PLMN: 244 081 2<sup>nd</sup> ACT: **GSM** 3<sup>rd</sup> PLMN: 244 082 3<sup>rd</sup> ACT: UTRAN 4<sup>th</sup> PLMN: 244 082 4<sup>th</sup> ACT: **GSM** 5<sup>th</sup> PLMN: 244 003 5<sup>th</sup> ACT: UTRAN 6<sup>th</sup> PLMN: 244 004 6<sup>th</sup> ACT: UTRAN 7<sup>th</sup> PLMN: 244 005 7<sup>th</sup> ACT: UTRAN 8<sup>th</sup> PLMN: 244 006 8<sup>th</sup> ACT: UTRAN 9<sup>th</sup> PLMN: 244 007 9<sup>th</sup> ACT: **UTRAN** 10<sup>th</sup> PLMN: 244 008 10<sup>th</sup> ACT: **UTRAN** 11<sup>th</sup> PLMN: 244 009 11<sup>th</sup> ACT: **UTRAN** 12<sup>th</sup> PLMN: 244 010 12<sup>th</sup> ACT: **UTRAN** 

Coding: **B**1 **B2 B**3 **B**4 **B5 B6 B**7 **B8 B9** B10 B11 B12 B13 B14 B15 00 Hex 42 04 18 80 00 42 04 18 00 80 42 04 28 80 B16 B17 B18 B19 B20 B21 B22 **B23** B24 **B25** B26 B27 **B28 B29** B30 30 42 04 28 00 80 42 04 80 00 42 04 40 80 00 B31 **B32 B33 B34 B35 B**36 **B37 B38 B39 B40** B41 **B42 B43 B44 B45** 42 04 50 80 00 42 04 60 80 00 42 04 70 80 00 B46 **B47 B**48 B49 B50 B54 **B57 B58** B59 B60 B51 **B52 B53 B55 B56** 42 04 80 80 00 42 04 90 80 00 42 04 01 80 00

## 4.1.1.12 EF<sub>OPI MNwACT</sub> (Operator Controlled PLMN Selector with Access Technology)

Besides of the mandatory  $EF_{OPLMNwACT}$ , an optional  $EF_{OPLMNwACT}$  is defined according to the TS 31.102 subclause 4.2.53. The Radio Access Technology identifier for the first PLMN is set to both UTRAN and GSM, the other PLMN to UTRAN only.

Logically: 1st PLMN:254 001 (MCC MNC)

 $\begin{array}{lll} 1^{st} \, ACT: & UTRAN \\ 2^{nd} \, PLMN: & 254 \, 001 \\ 2^{nd} \, ACT: & GSM \end{array}$ 

Coding: **B**1 **B2 B**3 **B**4 **B5** B6 **B**7 **B8 B9** B10 Hex 52 04 10 80 00 52 04 10 00 80

## 4.1.1.13 EF<sub>RPLMNACT</sub> (RPLMN Last used Access Technology)

Logically: No information about the last used ACT available.

Coding: B1 B2 Hex 00 00

#### 4.1.1.14 PIN

Logically: 2468

Coding: **B**1 B2 **B**7 **B8 B**3 **B**4 B5 B6 32 FF 38 FF FF FF Hex 34 36

#### 4.1.1.15 PIN2

Logically: 3579

Coding: **B**1 B2 **B**3 **B**4 **B5 B6 B**7 **B8** FF FF Hex 33 35 37 39 FF FF

#### 4.1.1.16 Unblock PIN

Logically: 13243546

**B**3 **B8** Coding: **B**1 B2 **B**4 **B5 B6 B**7 31 33 32 34 33 35 34 Hex 36

#### 4.1.1.17 Unblock PIN2

Logically: 08978675

Coding: B2 В3 **B5 B6 B8 B**1 **B**4 **B**7 Hex 30 38 39 37 38 36 37 35

## 4.1.1.18 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the Annex E of TS 31.102. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

## 4.2 Definition of FDN UICC

The FDN test cases require a different configuration than the one described in subclause 4.1. For that purpose a default FDN UICC is defined. In general the values of the FDN UICC are identical to the default UICC, with the following exceptions:

## 4.2.1 Values of the EF's (FDN UICC)

#### 4.2.1.1 EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available

User controlled PLMN selector available

Fixed dialling numbers available

Barred dialling numbers available

The GSM Access available

The Group Identifier level 1 and level 2 not available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xx1x xx11
 xxxx xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### 4.2.1.2 EF<sub>EST</sub> (Enable Service Table)

Logically:

Fixed Dialling Numbers enabled. Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

binary 0000 0001

The coding of EF<sub>EST</sub> shall conform with the capabilities of the USIM, unused Bits are set to '0'...

#### 4.2.1.3 EF<sub>FDN</sub> (Fixed Dialling Numbers)

Logically: Record 1:

Length of alpha identifier: 6 characters; Alpha identifier: "FDN111"; Length of BCD number: "06";

TON and NPI: Telephony and International;

Dialled number: +1357924680;

CCI: None; Ext2: None.

Coding	g for rec	ord 1:											
	B1	B2	В3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13
Hex	46	44	4E	31	31	31	06	91	31	75	29	64	08
	B14	B15	B16	B17	B18	B19	B20						
	FF	FF	FF	FF	FF	FF	FF						

#### Record 2:

Length of alpha identifier: 6 characters; Alpha identifier: "FDN222"; Length of BCD number: "04";

TON and NPI: Telephony and Unknown;

Dialled number: +24680; CCI: None; Ext2: None.

#### Coding for record 2:

Hex	B1 46							B10 86		B13 FF
	B14 FF	B15 FF	B16 FF	B17 FF	_	B19 FF				

#### Record 3:

Length of alpha identifier: 6 characters; Alpha identifier: "FDN333"; Length of BCD number: "0B";

TON and NPI: Telephony and International; bialled number: +12345678901234567890;

CCI: None; Ext2: None.

#### Coding for record 3:

Hex						B10 43		B13 09
	_	B17 87	_					

## 4.2.1.4 EF<sub>ECC</sub> (Emergency Call Codes)

#### Logically:

Emergency call code: "122"; Emergency call code alpha identifier: "TEST";

Emergency call Service Category: Mountain Rescue.

Coding: **B**1 **B2** В3 **B**4 **B5** B6 **B**7 **B8** Hex 21 1F FF 54 45 53 54 10

#### 4.2.1.5 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the Annex E of TS 31.102. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

#### 4.3 Definition of BDN UICC

The BDN test cases require a different configuration than the one described in subclause 4.1. For that purpose a default BDN UICC is defined. In general the values of the BDN UICC are identical to the default UICC, with the following exceptions:

#### 4.3.1 Values of the EF's (BDN UICC)

#### 4.3.1.1 EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available

User controlled PLMN selector available

Fixed dialling numbers available

Barred dialling numbers available

The GSM Access available

The Group Identifier level 1 and level 2 not available.

Service n 33 (Packed Switched Domain) shall be set to '1'

Coding: **B**1 **B**2 **B**3 **B**4 **B5** 

binary xx1x xx11 xxxx 1x00 xxxx x1xx xxxx xxx1 XXXX XXXX

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

#### 4.3.1.2 EF<sub>EST</sub> (Enable Service Table)

Logically:

Fixed Dialling Numbers disabled. Barred Dialling Numbers enabled. APN Control list (ACL) disabled.

Coding: **B**1

binary 0000 0010

The coding of EF<sub>EST</sub> shall conform with the capabilities of the USIM, unused Bits are set to '0'...

#### 4.3.1.3 EF<sub>BDN</sub> (Barred Dialling Numbers)

Logically: Record 1:

> Length of alpha identifier: 6 characters; "BDN111"; Alpha identifier:

Length of BCD number: "06";

TON and NPI: Telephony and International;

Dialled number: +1357924680;

FF

CCI: None; Ext2: None.

Coding for record 1:

В1 **B2** В3 **B**4 **B5** В6 **B**7 **B8 B9** B10 B11 B12 B13 Hex 44 4E 91 31 42 31 31 31 06 75 29 64 08 **B14 B15 B16 B17 B18 B19** B20 FF FF FF FF

FF

FF

Record 2:

Length of alpha identifier: 6 characters; Alpha identifier: "BDN222";

Length of BCD number: "03";

TON and NPI: Telephony and Unknown;

Dialled number: 122; CCI: None; Ext2: None.

Coding for record 2:

**B**1 **B2 B**3 **B**4 **B5** В6 В7 **B8 B**9 B10 B11 B12 B13 Hex 42 44 4E 32 32 32 04 81 21 F3 FF FF FF

B14 B15 B16 B17 B18 B19 B20 FF FF FF FF FF FF FF

Record 3:

Length of alpha identifier: 6 characters; Alpha identifier: "BDN333";

Length of BCD number: "03";

TON and NPI: Telephony and Unknown;

Dialled number: 112; CCI: None; Ext2: None.

Coding for record 3:

B1 **B2** В3 **B**4 **B5 B6 B**7 **B8 B9** B10 B11 B12 B13 Hex 42 44 4E 33 33 33 03 81 11 F2 FF FF FF

B14 B15 B16 B17 B18 B19 B20 FF FF FF FF FF FF FF

#### 4.3.1.4 EF<sub>ECC</sub> (Emergency Call Codes)

Logically:

Emergency call code: "122"; Emergency call code alpha identifier: "TEST";

Emergency call Service Category: Mountain Rescue.

Coding: B1 **B2 B**3 **B**4 **B5** B6 **B**7 **B8** Hex 21 1F FF 54 45 53 54 10

#### 4.3.1.5 Other Values of the USIM

All other values of EFs provided by the USIM shall be set to the default values defined in the Annex E of TS 31.102. Some EFs (like the GSM Access files) may necessary for some tests and apply only to those test cases.

# 5 Subscription related tests

## 5.1 IMSI / TMSI handling

## 5.1.1 UE identification by short IMSI

#### 5.1.1.1 Definition and applicability

The IMSI is used for unique identification of the UE by UTRAN. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

This test applies to Terminals accessing UTRAN.

#### 5.1.1.2 Conformance requirement

On the receipt of an IMMEDIATE ASSIGNTMENT message the UE shall send PAGING RESPONSE containing the IMSI of the USIM.

#### Reference:

TS 31.102, subclause 5.1.1 and 5.2.2;

TS 24.008, subclause 10.5.1.4;

TS 102 221, subclause 14.1.1.

#### 5.1.1.3 Test purpose

- 1) To verify that the Terminal uses the IMSI of the USIM.
- 2) To verify that the Terminal can handle an IMSI of less than the maximum length.
- 3) To verify that the READ EF<sub>IMSI</sub> command is performed correctly by the terminal

#### 5.1.1.4 Method of test

#### 5.1.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC is installed into the Terminal and the UE is powered on.

#### 5.1.1.4.2 Procedure

- a) The USS sends PAGING REQUEST to the UE using the IMSI stored in the USIM.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a PAGING RESPONSE from the UE, the USS sends CHANNEL RELEASE to the UE.

#### 5.1.1.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.

## 5.1.2 UE identification by short IMSI using a 2 digit MNC

#### 5.1.2.1 Definition and applicability

In some networks the IMSI identifying the UTRAN can be consistence of a 2 digit MNC. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

This test applies to Terminals accessing UTRAN.

#### 5.1.2.2 Conformance requirement

On the receipt of an IMMEDIATE ASSIGNTMENT message the UE shall send PAGING RESPONSE containing the IMSI of the USIM.

#### Reference:

TS 31.102, subclause 4.2.18;

TS 24.008, subclause 10.5.1.4.

#### 5.1.2.3 Test purpose

1) To verify that the Terminal can handle an IMSI consistence of a 2 digit MNC.

#### 5.1.2.4 Method of test

#### 5.1.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled LAI (MCC/MNC/LAC): 246/81/0001 Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>IMSI</sub> (IMSI)

Logically: 246813579

Coding: **B**1 **B**3 **B**4 **B5 B6 B**8 **B9** B2 **B**7 Hex 05 29 18 97 FF FF FF

EF<sub>AD</sub> (Administrative Data)

Logically: Normal operation

OFM to be deactivated by the Terminal

MNC: 2 digit

Coding: B1 B2 B3 B4 Hex 00 00 00 02

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.2.4.2 Procedure

- a) The USS sends PAGING REQUEST to the UE using the IMSI stored in the USIM.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.

c) After receipt of a PAGING RESPONSE from the UE, the USS sends CHANNEL RELEASE to the UE.

#### 5.1.2.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.

#### 5.1.3 UE identification by "short" TMSI

#### 5.1.3.1 Definition and applicability

The TMSI is temporarily used for identification of the UE by UTRAN. It will have been previously assigned by the network. The TMSI is stored in the USIM by the Terminal and read during the USIM-Terminal initialisation procedure.

Note

According to TS 23.003, clause 2.4, a TMSI always consists of 8 digits (4 bytes). With this tests the handling of a TMSI with leasing zeros will be tested. The term "short" TMSI is used in order to distinguish between the tests as defined in subclause 5.1.3 and 5.1.4.

This test applies to Terminals accessing UTRAN.

#### 5.1.3.2 Conformance requirement

On the receipt of an IMMEDIATE ASSIGNMENT message the UE shall send PAGING RESPONSE containing the TMSI stored in the USIM.

#### Reference:

TS 31.102, subclause 5.1.1 and 5.2.2;

TS 24.008, subclause 10.5.1.4.

#### 5.1.3.3 Test purpose

- 1) To verify that the Terminal uses the TMSI stored in the USIM.
- 2) To verify that the Terminal can handle a TMSI of less than maximum length.

#### 5.1.3.4 Method of test

#### 5.1.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246

LAI-MNC: 081 LAI-LAC: 0001 TMSI: "2143"

Coding: В1 B2 **B**3 **B**4 **B**5 **B6 B7 B8 B9** B10 B11 Hex 00 00 21 43 42 06 18 00 01 FF 00

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.3.4.2 Procedure

- a) The USS sends PAGING REQUEST to the UE using the TMSI stored in the USIM.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a PAGING RESPONSE from the UE, the USS sends CHANNEL RELEASE to the UE.

#### 5.1.3.5 Acceptance criteria

After step b) the UE shall send PAGING RESPONSE to the USS containing the TMSI stored in the USIM.

## 5.1.4 UE identification by "long" TMSI

## 5.1.4.1 Definition and applicability

The TMSI is temporarily used for identification of the UE by UTRAN. It will have been previously assigned by the network. The TMSI is stored in the USIM by the Terminal and read during the USIM-Terminal initialisation procedure.

Note According to TS 23.003, clause 2.4, a TMSI always consists of 8 digits (4 bytes). With this tests the handling of a new assigned TMSI will be tested. The term "long" TMSI is used in order to distinguish between the tests as defined in subclause 5.1.3 and 5.1.4. This test applies to Terminals accessing UTRAN.

#### 5.1.4.2 Conformance requirement

On the receipt of an IMMEDIATE ASSIGNMENT message the UE shall send PAGING RESPONSE containing the correct TMSI stored in the USIM.

#### Reference:

TS 31.102, subclause 5.1.1 and 5.2.2;

TS 24.008, subclause 10.5.1.4.

#### 5.1.4.3 Test purpose

- 1) To verify that the Terminal uses the TMSI stored in the USIM.
- 2) To verify that the Terminal can handle a TMSI of maximum length.
- 3) To verify that the Terminal does not respond to page requests containing a previous TMSI.

#### 5.1.4.4 Method of test

#### 5.1.4.4.1 Initial conditions

Prior to this test, the Terminal shall have been operated with a USIM containing TMSI "2143". This may be achieved by executing the previous test (5.1.3) prior to this test. Only under this condition will test purpose 3) be verified.

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246

LAI-MNC: 081 LAI-LAC: 0001 TMSI: "21430000"

Codina: **B1** B2 **B3 B4 B**5 B6 **B7** B8 **B9** B10 B11 Hex 21 43 00 00 42 06 18 00 01 FF 00

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.4.4.2 Procedure

- a) The USS sends PAGING REQUEST to the UE using the TMSI "2143".
- b) The USS sends PAGING REQUEST to the UE using the TMSI stored in the USIM.
- c) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- d) After receipt of a PAGING RESPONSE from the UE, the USS sends CHANNEL RELEASE to the UE.

#### 5.1.4.5 Acceptance criteria

- 1) After step a) the UE shall not respond to the PAGING REQUEST.
- 2) After step c) the UE shall send PAGING RESPONSE to the USS containing the TMSI stored in the USIM.

# 5.1.5 UE identification by long IMSI, TMSI updating and key set identifier assignment

## 5.1.5.1 Definition and applicability

The IMSI and TMSI are used for identification of the UE by UTRAN. They are read from the USIM during the USIM-Terminal initialisation procedure. Within the authentication procedure the network sends a key set identifier to the UE. In addition the network may allocate a new TMSI to the UE. Key set identifier and TMSI are stored in the USIM after call termination and/or at a 3G session termination.

This test applies to Terminals accessing UTRAN.

#### 5.1.5.2 Conformance requirement

1) On the receipt of an IMMEDIATE ASSIGNMENT message, the UE shall send PAGING RESPONSE containing the correct IMSI stored in the USIM.

#### Reference:

TS 31.102, subclause 5.1.1 and 5.2.2;

TS 24.008, subclause 10.5.1.4.

2) After call termination the USIM shall contain the key set identifier and TMSI received by the UE during the authentication and TMSI reallocation procedures.

#### Reference:

TS 31.102, sections 5.1.2, 5.2.5 and 5.2.6;

TS 21.111 subclause 10.1.

3) After call termination the Terminal shall have updated EFLOCI.

#### Reference:

TS 102 221, subclause 14.1.2.

#### 5.1.5.3 Test purpose

- 1) To verify that the Terminal uses the IMSI stored in the USIM.
- 2) To verify that the Terminal does not respond to page requests containing a previous IMSI.
- 3) To verify that the Terminal can handle an IMSI of maximum length.
- 4) To verify that the Terminal correctly updates the key set identifier at call termination.
- 5) To verify that the Terminal correctly updates the TMSI at call termination.
- 6) To verify that the UPDATE EF<sub>LOCI</sub> command is performed correctly by the terminal

#### 5.1.5.4 Method of test

#### 5.1.5.4.1 Initial conditions

Prior to this test, the Terminal shall have been operated with a USIM containing IMSI "2460813579". This may be achieved by executing the previous test (5.1.4) prior to this test. Only under this condition will test purpose 2) be verified.

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>IMSI</sub> (IMSI)

Logically: 246081111111111

Coding:	B1	B2	В3	B4	B5	B6	B7	B8	В9
Hex	08	29	64	80	11	11	11	11	11

The UICC is installed into the Terminal and the UE is powered on.

#### 5.1.5.4.2 Procedure

- a) The USS sends PAGING REQUEST to the UE using the IMSI "2460813579".
- b) The USS sends PAGING REQUEST to the UE using the IMSI stored in the USIM.
- c) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- d) After receipt of a PAGING RESPONSE from the UE, the USS sends AUTHENTICATION REQUEST to the UE containing Key Set Identifier KSI set to binary 010.
- e) After receipt of AUTHENTICATION RESPONSE from the UE, the USS sends TMSI REALLOCATION to the UE containing TMSI "32547698".
- f) Within 5 seconds after receipt of TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.

g) To allow examination of the values in the USIM after call termination the UE shall not be soft powered down. If the test is performed with a USIM simulator, the simulation is stopped. If the test is performed with a USIM, the UICC is removed without soft powering down the UE. If this is not possible, the power supply of the Terminal is removed and then the UICC removed.

#### 5.1.5.5 Acceptance criteria

- 1) After step a) the UE shall not respond to the PAGING REQUEST.
- 2) After step c) the UE shall send PAGING RESPONSE to the USS containing the IMSI stored in the USIM.
- 3) After step e) the UE shall send TMSI REALLOCATION COMPLETE to the USS.
- 4) After step g) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246 LAI-MNC: 081

TMSI: "32547698"

Coding:	B1	B2	В3	B4	B5	B6	В7	В8	В9	B10	B11
Hex	32	54	76	98	42	06	18	XX	XX	XX	00

EF<sub>Key</sub> (Ciphering and Integrity Key)

Logically: Key Set Identifier KSI: 02

Ciphering Keys CK: xx (result of the authentication algorithm)
Integrity Keys IK: xx (result of the authentication algorithm)

Coding:	B1	B2	B3	 B16	B17	B18	 B30	B31	B32
Hex	02	XX	XX	 XX	XX	XX	 XX	XX	XX

# 5.2 Access Control handling

## 5.2.1 Access Control information handling

#### 5.2.1.1 Definition and applicability

Access Control allows restriction of call access attempts. All User Equipment are assigned to one out of ten randomly allocated classes, and optionally (for priority uses) also to one or more special categories.

An Access Class of the special Categories is only valid in the HPLMN or HPLMN country. Otherwise, the randomly allocated class is used.

The classes are programmed on the USIM. The network controls which classes at any time may be barred.

In addition, there is a separate mechanism for control of network access for emergency call attempts.

This test applies to Terminals accessing UTRAN.

#### 5.2.1.2 Conformance requirement

1. The Terminal shall read the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopt this value.

Reference:

TS 31.102, subclause 5.1.1.

- 2. If the UE is a member of at least one access class which corresponds to the permitted classes as signalled over the air interface, and the access class is applicable in the serving network, the UE may make call attempts. Otherwise call access attempts are not allowed.
- 3. If access class 10 is barred, then the UEs of classes 0 9 and the Terminals without UICCs shall not make emergency call attempts.
- 4. UE of classes 11 15 are not allowed to make emergency call attempts if access class 10 and the relevant access class(es) between 11 and 15 are barred. Otherwise, emergency call attempts are allowed irrespective of the conditions of access class 10.

All options are shown in figure 5-1 and are referenced to the tests.

#### Reference:

TS 22.011, subclause 4.3 and 4.4.

#### 5.2.1.3 Test purpose

- 1) To verify that the Terminal reads the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.
- 2) To verify that the UE controls its network access in accordance with its access control class and the conditions imposed by the serving network.

The tests verify Terminal performance for the following:

Tests (a) and (b) No UICC in Terminal.

Tests (c) to (e) UE with access class 0 to 9.

Test (f) UE with access class 11 and 15 not in HPLMN, and

UE with access class 12,13 and 14 not in HPLMN country.

Test (g) and (h) UE with access class 11 and 15 in HPLMN, and

UE with access class 12,13 and 14 in HPLMN country.

Each of the above are tested against all relevant combinations of access control and emergency call bits signalled by the network, as shown in table 5-1.

#### 5.2.1.4 Method of test

#### 5.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): see table 5-1
Access control: see table 5-1
RACH: see table 5-1

The default UICC is installed in the Terminal containing IMSI and access control values as given in table 5-1 and the UE is powered on.

NOTE: Depending on the initial value of the EF<sub>LOCI</sub>, the UE may perform a location update. This shall be accepted by the USS.

#### 5.2.1.4.2 Coding details

USIM IMSI EF<sub>IMSI</sub>: Data Field "6F 07"

Logically: IMSI: "2460813579"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	06	21	64	80	31	75	F9	FF	FF
Logically:	IMSI:	"2460	)8135x9"						
Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9
Hex	06	21	64	80	31	x5	F9	FF	FF

Access Control class EF<sub>ACC</sub>: Data field "6F 78"

Reference:

See TS 31.102 [4].

NETWORK (USS)

RACH: As defined in GSM 4.18 subclause 10.5.2.29.

Note: GSM 4.18 also apply for the Radio Resource management for UMTS. (see TS 24.008, subclause 10.5.2)

octet 1 0111 1000 octet 2 0000 1000 octet 3 } octet 4 } as table 5-1

#### 5.2.1.4.3 Procedure

- a) Using the MMI or EMMI a normal call set-up is attempted.
- b) Using the MMI or EMMI an emergency call set-up is attempted.
- c) The test is repeated for each set of values in table 5-1.

#### 5.2.1.5 Acceptance criteria

After steps a) and b) the UE shall access the network, or shall make no access attempt, in accordance with table 5-1.

NOTE: For conformance testing, to limit testing, in tests (c), (d) and (e) it is only necessary that one of the access classes is tested. This access class may randomly chosen.

Table 5-1

	USIM			Network			Test Results
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Emergency Call
		Access	Octet 3	Emergency Call	MCC		
		Class	Octet 4	Normal Call	MNC		
Test (a)	No UICC in	N/A	0000 0100	Yes	234	No	No
	Terminal		0000 0000	No	001		
Test (b)	No UICC in	N/A	0000 0000	No	234	No	Yes
	Terminal		0000 0000	No	001		
Test (c)	"2460813579"	0	0000 0100	Yes	246	No	No
			0000 0001	No, except for ACC	081		
	"2460813579"	1	0000 0100	Yes	246	No	No
			0000 0010	No, except for ACC	081		
	"2460813579"	2	0000 0100	Yes	246	No	No
			0000 0100	No, except for ACC	081		
	"2460813579"	3	0000 0100	Yes	246	No	No
			0000 1000	No, except for ACC	081		
	"2460813579"	4	0000 0100	Yes	246	No	No
			0001 0000	No, except for ACC	081		
	"2460813579"	5	0000 0100	Yes	246	No	No
			0010 0000	No, except for ACC	081		
	"2460813579"	6	0000 0100	Yes	246	No	No
			0100 0000	No, except for ACC	081		
	"2460813579"	7	0000 0100	Yes	246	No	No
			1000 0000	No, except for ACC	081		
	"2460813579"	8	0000 0101	Yes	246	No	No
			0000 0000	No, except for ACC	081		
	"2460813579"	9	0000 0110	Yes	246	No	No
			0000 0000	No, except for ACC	081		

Table 5-1 (continued)

	USIM			Network			Test Results
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Emergency Call
		Access	Octet 3	Emergency Call	MCC		
		Class	Octet 4	Normal Call	MNC		
Test (d)	"2460813579"	0	0000 0000 0000 0001	No None, except for ACC	246 081	No	Yes
	"2460813579"	1	0000 0000 0000 0010	No None, except for ACC	246 081	No	Yes
	"2460813579"	2	0000 0000 0000 0100	No None, except for ACC	246 081	No	Yes
	"2460813579"	3	0000 0000 0000 1000	No None, except for ACC	246 081	No	Yes
	"2460813579"	4	0000 0000 0001 0000	No None, except for ACC	246 081	No	Yes
	"2460813579"	5	0000 0000 0010 0000	No None, except for ACC	246 081	No	Yes
	"2460813579"	6	0000 0000 0100 0000	No None, except for ACC	246 081	No	Yes
	"2460813579"	7	0000 0000 1000 0000	No None, except for ACC	246 081	No	Yes
	"2460813579"	8	0000 0001 0000 0000	No None, except for ACC	246 081	No	Yes
	"2460813579"	9	0000 0010 0000 0000	No None, except for ACC	246 081	No	Yes

Table 5-1 (continued)

	USIM			Network			Test
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Results Emergency Call
		Access	Octet 3	Emergency Call	MCC		
		Class	Octet 4	Normal Call	MNC		
Test (e)	"2460813579"	0	1111 1011 1111 1110	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	1	1111 1011 1111 1101	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	2	1111 1011 1111 1011	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	3	1111 1011 1111 0111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	4	1111 1011 1110 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	5	1101 1011 1101 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	6	1111 1011 1011 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2406813579"	7	1111 1011 0111 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	8	1111 1010 1111 1111	No All, except ACC on USIM	246 081	Yes	Yes
	"2460813579"	9	1111 1001 1111 1111	No All, except ACC on USIM	246 081	Yes	Yes

Table 5-1 (continued)

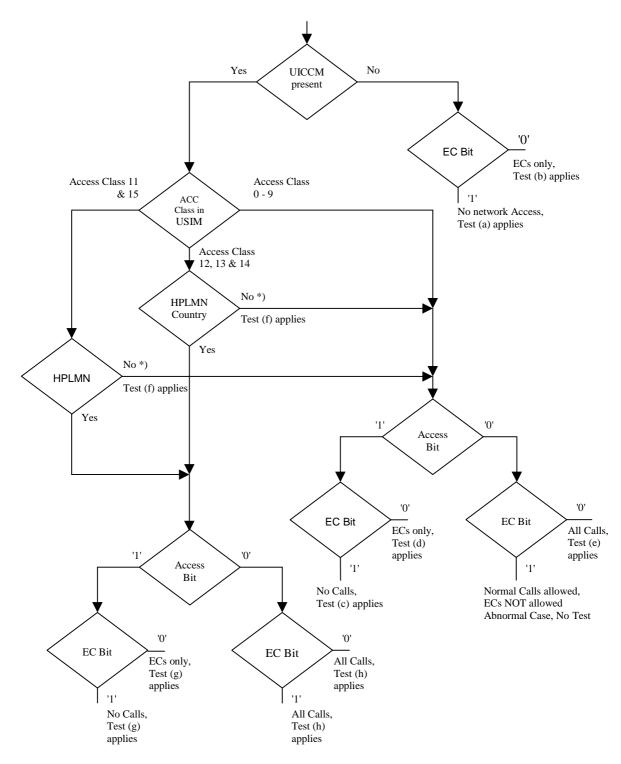
	USIM			Network			Test Results
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Emergency Call
		Access Class	Octet 3 Octet 4	Emergency Call Normal Call	MCC MNC		
Test (f)	"24608135x9"	11 & x	0000 0111	Yes	246	No	No
1001(1)	2100010000	11 4 1	1111 1111	All, except ACC greater then 11	082	110	110
	П	11 & x	0000 0011 1111 1111	No All, except ACC greater then 11	246 082	No	Yes
	п	11 & x	0000 0000	No None	246 082	Yes	Yes
	"24608135x9"	12 & x	0000 0111 1111 1111	Yes All, except ACC greater then 11	244 001	No	No
	п	12 & x	0000 0011 1111 1111	No All, except ACC greater then 11	244 001	No	Yes
	n	12 & x	0000 0000	No None	244 001	Yes	Yes
	"24608135x9"	13 & x	0000 0111 1111 1111	Yes All, except ACC greater then 11	244 001	No	No
	п	13 & x	0000 0011 1111 1111	No All, except ACC greater then 11	244 001	No	Yes
	n	13 & x	0000 0000	No None	244 001	Yes	Yes
	"24608135x9"	14 & x	0000 0111 1111 1111	Yes All, except ACC greater then 11	244 001	No	No
	п	14 & x	0000 0011 1111 1111	No All, except ACC greater then 11	244 001	No	Yes
	п	14 & x	0000 0000	No None	244 001	Yes	Yes
	"24608135x9"	15 & x	0000 0111 1111 1111	Yes All, except ACC greater then 11	246 082	No	No
	п	15 & x	0000 0011 1111 1111	No All, except ACC greater then 11	246 082	No	Yes
	Set "x" to an arbitrary value in the range 0 to 9	15 & x	0000 0000	No None	246 082	Yes	Yes

Table 5-1 (continued)

	USIM			Network	Test			
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Results Emergency Call	
		Access	Octet 3	Emergency Call	MCC			
		Class	Octet 4	Normal Call	MNC			
Test (g)	"2460813579"	11 & x	0000 1111 1111 1111	Yes All normal ACC and ACC on USIM	246 081	No	No	
	П	11 & x	0000 1011 1111 1111	No All normal ACC and ACC on USIM	246 081	No	Yes	
	"2460813579"	12 & x	0001 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No	
	п	12 & x	0001 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes	
	"2460813579"	13 & x	0010 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No	
	п	13 & x	0010 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes	
	"2460813579"	14 & x	0100 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 082	No	No	
	п	14 & x	0100 0011 1111 1111	No All normal ACC and ACC on USIM	246 082	No	Yes	
	"2460813579" 15 & x		1000 0111 1111 1111	Yes All normal ACC and ACC on USIM	246 081	No	No	
	" 15 & x Set "x" to an arbitrary value in the range 0 to 9		1000 0011 1111 1111	No All normal ACC and ACC on USIM	246 081	No	Yes	

Table 5-1 (continued)

	USIM			Network	Test		
	IMSI		RACH	Informative: Cell Barred for:	BCCH/ LAI	Normal Call	Results Emergency Call
		Access	Octet 3	Emergency Call	MCC		
		Class	Octet 4	Normal Call	MNC		
Test (h)	"2460813579"	11 & x	1111 0011 1111 1111	No All, except "special" ACC on USIM	246 081	Yes	Yes
	п	11 & x	1111 0111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	081		
	"2460813579"	12 & x	1110 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	п	12 & x	1110 1111	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	"2460813579"	13 & x	1101 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	п	13 & x	1101 1011	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	"2460813579"	14 & x	1011 1111	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	п	14 & x	1011 1011	Yes	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	082		
	"2460813579"	15 & x	0111 1011	No	246	Yes	Yes
			1111 1111	All, except "special" ACC on USIM	081		
	п	15 & x	0111 1111	Yes	246	Yes	Yes
	Set "x" to an arbitrary value in the range 0 to 9		1111 1111	All, except "special" ACC on USIM	081		



\*): UE adopts Access Class 0-9, based on IMSI, See TS 22.011

Access Class in USIM, See TS 31.102 EF ACC, "6F 78"

ECs: Emergency Calls

EC Bit: Bit 3 of Octet3 of RACH Control Parameters, See GSM 04.18 Section 10.5.2.29

AC Bit: See Bytes 3 & 4 of the RACH Control Parameters

HPLMN Country means that the MCC of the VPLMN is the same as the MCC of the HPLMN

Figure 5-1: Access control information

# 6 Security related Tests

# 6.1 PIN handling

### 6.1.1 Entry of PIN

### 6.1.1.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals.

### 6.1.1.2 Conformance requirement

Following insertion of the UICC and switching on the UE, the Terminal shall check the state of the PIN. If the PIN is enabled, the Terminal asks the user for PIN verification.

The VERIFY PIN function verifies the PIN presented by the Terminal to the UICC.

#### Reference:

TS 102 221, subclause 11.1.9;

TS 31.102, clause 6;

TS 22.030, subclause 6.6.1.

### 6.1.1.3 Test purpose

- 1) To verify that the PIN verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.1.4 Method of test

#### 6.1.1.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

### 6.1.1.4.2 Procedure

- a) The Terminal is powered on.
- b) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.

### 6.1.1.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "01".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.2 Change of PIN

### 6.1.2.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits.

This test applies to all 3G Terminals.

### 6.1.2.2 Conformance requirement

The Terminal shall support the change of PIN procedure as defined in TS 102 221 clause 11.10.

#### Reference:

TS 102 221, subclause 11.1.10;

TS 31.102, clause 6;

TS 22.030, subclause 6.6.2.

### 6.1.2.3 Test purpose

- 1) To verify that the PIN substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.2.4 Method of test

#### 6.1.2.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled.

The default UICC is used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.2.4.2 Procedure

- a) Enter "\*\*04\*2468\*01234567\*01234567#".
- b) The UE is switched off and on.
- c) When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered.
- d) The UE is switched off and on.
- e) When the UE is in the "PIN-check", mode the sequence "01234567#" shall be entered.

### 6.1.2.5 Acceptance criteria

- 1) After step a), the Terminal shall send a CHANGE PIN command to the UICC, with the parameter P2 set to "01".
- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN is accepted.
- 3) After step c), the UE shall give an indication that the entered PIN is not accepted.
- 3) After step e), the UE shall give an indication "OK".

### 6.1.3 Unblock PIN

### 6.1.3.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

This test applies to 3G Terminals.

### 6.1.3.2 Conformance requirement

The Terminal shall support the Unblock PIN command, as defined in TS 102 221 subclause 11.13.

#### Reference:

```
TS 102 221, subclause 11.1.13;
TS 31.102, clause 6;
TS 22.030, clause 6.6.3.
```

### 6.1.3.3 Test purpose

- 1) To verify that the PIN unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.3.4 Method of test

#### 6.1.3.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

#### 6.1.3.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*05\*13243546\*1234\*1234#"
- c) The Terminal is powered off and on.
- d) Enter the new PIN: "1234#".
- e) The Terminal is powered off and on.
- f) Enter a wrong PIN three times.
- g) Enter "\*\*05\*13243546\*2468\*2468#".
- h) The Terminal is powered off and on.
- i) Enter the new PIN: "2468#".

### 6.1.3.5 Acceptance criteria

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 2) After step d), the Terminal shall indicate that the PIN has been accepted.
- 3) After step f), the Terminal shall indicate that the PIN has been blocked.

- 4) After step g), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "01".
- 5) After step j), the Terminal shall indicate that the PIN has been accepted.

## 6.1.4 Entry of PIN2

### 6.1.4.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2-protected data to be accessed over the UICC-Terminal interface.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

### 6.1.4.2 Conformance requirement

Before allowing the access to PIN2 protected data, the Terminal shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

The VERIFY PIN function verifies the PIN2 presented by the Terminal to the UICC.

Reference:

TS 102 221, subclause 11.1.9;

TS 31.102, clause 6;

TS 22.030, subclause 6.6.1.

### 6.1.4.3 Test purpose

- 1) To verify that the PIN2 verification procedure is performed by the Terminal correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.4.4 Method of test

#### 6.1.4.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN enabled, and powered off.

The default UICC is used.

Note: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.4.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- c) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.

#### 6.1.4.5 Acceptance criteria

- 1) After step b) the Terminal shall send a VERIFY PIN command to the UICC, with parameter P2 = "02".
- 2) After step b) the UE shall give an indication "OK", following a successful execution of the command.

# 6.1.5 Change of PIN2

### 6.1.5.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

#### 6.1.5.2 Conformance requirement

The Terminal shall support the change of PIN2 procedure as defined in TS 102 221 clause 11.1.10.

#### Reference:

```
TS 102 221, subclause 11.1.10;
```

TS 31.102, clause 6;

TS 22.030, subclause 6.6.2.

### 6.1.5.3 Test purpose

- 1) To verify that the PIN2 substitution procedure is performed correctly by the Terminal.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.5.4 Method of test

#### 6.1.5.4.1 Initial conditions

The Terminal is connected to a UICC or UICC simulator with the PIN2 enabled.

The default UICC is used.

Note: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

The Terminal is powered-on, with the correct PIN entered.

#### 6.1.5.4.2 Procedure

- a) Enter "\*\*042\*3579\*12345678\*12345678#".
- b) The UE is switched off and on and the correct PIN is entered.
- c) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- d) When the UE is in the "PIN2 check" mode, the sequence "3579#" shall be entered.
- e) The UE is switched off and on and the correct PIN is entered.
- f) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- g) When the UE is in the "PIN2-check", mode the sequence "12345678#" shall be entered.

### 6.1.5.5 Acceptance criteria

1) After step a), the Terminal shall send a CHANGE PIN2 command to the UICC, with the parameter P2 set to "02".

- 2) Following the successful execution of the command, the UE shall give an indication that the new PIN2 is accepted.
- 3) After step d), the UE shall give an indication that the entered PIN2 is not accepted.
- 3) After step g), the UE shall give an indication "OK".

### 6.1.6 Unblock PIN2

### 6.1.6.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked.

This test applies to all 3G Terminals supporting a feature requiring PIN2 entry (such as e.g. AoC or FDN)

### 6.1.6.2 Conformance requirement

The Terminal shall support the Unblock PIN2 command, as defined in TS 102 221 subclause 11.1.13.

Reference:

```
TS 102 221, subclause 11.1.13;
```

TS 31.102, clause 6;

TS 22.030, clause 6.6.3.

### 6.1.6.3 Test purpose

- 1) To verify that the PIN2 unblocking procedure is performed correctly.
- 2) To verify that the basic public MMI string is supported.

#### 6.1.6.4 Method of test

#### 6.1.6.4.1 Initial conditions

The Terminal is connected to the UICC simulator.

The default UICC is used.

Note: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

#### 6.1.6.4.2 Procedure

- a) The Terminal is powered on and the correct PIN is entered.
- b) Enter "\*\*052\*089675\*1234\*1234#"
- c) The Terminal is powered off and on and the correct PIN is entered.
- d) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- e) Enter the new PIN2: "1234#".
- f) The Terminal is powered off and on and the correct PIN is entered.
- g) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- h) Enter a wrong PIN2 three times.

- i) Enter "\*\*052\*089675\*3579\*3579#".
- j) The Terminal is powered off and on and the correct PIN is entered.
- k) The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN)
- 1) Enter the new PIN2: "3579#".

### 6.1.6.5 Acceptance criterias

- 1) After step b), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "02".
- 2) After step e), the Terminal shall indicate that the PIN2 has been accepted.
- 3) After step h), the Terminal shall indicate that the PIN2 has been blocked.
- 4) After step i), the Terminal shall send an UNBLOCK PIN command to the UICC, with parameter P2 = "02".
- 5) After step l), the Terminal shall indicate that the PIN2 has been accepted.

# 6.2 Fixed Dialling Numbers (FDN) handling

# 6.2.1 Terminal and USIM with FDN enabled, EF<sub>ADN</sub> readable and updateable

### 6.2.1.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. During the initialisation the Terminal shall requests the Emergency call codes of the USIM  $EF_{ECC}$ . At the time an emergency call is setup using the emergency call code read from the  $EF_{ECC}$ , the UE shall use the category of the emergency service indicated.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

### 6.2.1.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub>.
- 3) The UE allows call set-up to a directory number as stored in EF<sub>FDN</sub> and extended by digits in the end.
- 4) The UE does not allow call set-up to a directory number stored in EF<sub>FDN</sub> but with missing digits at the end.
- 5) The UE does not allow call set-up to a directory number having no reference in EF<sub>FDN</sub>.
- 6) The UE allows call set-up of an emergency call using the emergency number stored in the Terminal.
- 7) The UE allows call set-up of an emergency call using the emergency number stored in the USIM.
- 8) The UE shall indicate the emergency service category as "Mountain Rescue", when using the emergency number stored in the USIM.

#### Reference:

TS 22.101, clause 8 and annex A.24;

TS 31.102, subclause 4.4.2, 5.1.1 and 5.3.2;

TS 24.008, subclause 10.5.4.33.

#### 6.2.1.3 Test purpose

- 1) To verify that the Terminal allows call set-up to a FDN number.
- 2) To verify that the Terminal allows call set-up to a FDN number extended by some digits in the end.
- 3) To verify that the Terminal rejects call set-up to number having a reference in EF<sub>FDN</sub>.
- 4) To verify that the Terminal rejects call set-up to a FDN number not completely corresponding to an entry in  $EF_{FDN}$ .
- 5) To verify that the Terminal allows emergency call set-up using the emergency number stored in the Terminal.
- 6) To verify that the Terminal allows emergency call set-up using the emergency number stored in the UISM.
- 7) To verify that the Terminal reads correctly the emergency service category.

#### 6.2.1.4 Method of test

#### 6.2.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted

The default FDN UICC with FDN service enabled and EF<sub>ADN</sub> readable and updateable is installed into the Terminal.

#### 6.2.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the fixed dialling number 1 (record 1) is attempted.
- c) Using the MMI a call set-up to the fixed dialling number 2 (record 2) extended by "123" in the end is attempted.
- d) Using the MMI a call set-up to a number which is equal to the fixed dialling number 3 (record 3) without the last digit is attempted, e.g. by recalling the fixed dialling number 3 and deleting the last digit (only in display).
- e) Using the MMI a call set-up to the number "1234567" is attempted.
- f) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the Terminal.
- g) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step f) one of the emergency call codes according to 22.101, subclause 8.1 shall be used (i.e. 000, 08, 112, 110, 911 or 999)

### 6.2.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After steps b) and c) the UE shall allow call set-up and send the requested number across the air interface.
- 3) After steps d) and e) the UE shall prevent call set-up.
- 4) After steps f) and g) the UE shall allow emergency call by indicating the call setup as "Emergency Call".
- 5) After step g) the UE shall send the emergency service category correctly as "Mountain Rescue".

### 6.2.2 Terminal and USIM with FDN disabled

### 6.2.2.1 Definition and applicability

Fixed Dialling Numbers (FDN) is a service defined for the USIM. An enabled FDN service results in call restrictions for the UE. Only directory numbers which are stored in the  $EF_{FDN}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

### 6.2.2.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in  $EF_{FDN}$ .
- 3) The UE allows call set-up to a directory number as stored in EF<sub>ADN</sub>.
- 4) The UE allows call set-up to a directory number given in manually.

#### Reference:

TS 22.101, clause 8 and annex A.24;

TS 31.102, subclause 4.4.2, 5.1.1 and 5.3.2.

### 6.2.2.3 Test purpose

- 1) To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a FDN number.
- 3) To verify that the Terminal allows call set-up to a ADN number.
- 4) To verify that the Terminal allows call set-up to manually given number.

#### 6.2.2.4 Method of test

#### 6.2.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted

The default FDN UICC is used with the following exception:

EF<sub>EST</sub> (Enable Service Table)

Logically:

Fixed Dialling Numbers disabled. Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

#### 6.2.2.4.2 Procedure

- a) Using the MMI a call set-up to the fixed dialling number 1 is attempted.
- b) Using the MMI a call set-up to the abbreviated dialling number 1 is attempted.
- c) Using the MMI a call set-up to the number "1234567" is attempted.

#### 6.2.2.5 Acceptance criteria

After steps a), b) and c) the UE shall allow call set-up and send the requested number across the air interface.

# 6.2.3 Enabling, disabling and updating of FDN

### 6.2.3.1 Definition and applicability

FDN may be enabled and disabled by the subscriber under control of PIN2. Fixed dialling numbers are read with PIN and updated under control of PIN2.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting FDN.

### 6.2.3.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE shall allow updating of EF<sub>FDN</sub> by the use of PIN2.
- 3) The UE provides means to disable the FDN service by the use of PIN2.
- 4) The UE shall allow the use of  $EF_{ADN}$  after disabling of FDN.

#### Reference:

TS 22.101, clause 8;

TS 31.102, subclause 4.4.2, 5.1.1 and 5.3.2.

### 6.2.3.3 Test purpose

- 1) To verify that the Terminal correctly performs the update of a number in EF<sub>FDN</sub>.
- 2) To verify that the Terminal correctly disables FDN service.
- 3) To verify that the Terminal recognises disabling of FDN and allows access to EF<sub>ADN</sub>.

#### 6.2.3.4 Method of test

#### 6.2.3.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted

The default FDN UICC with FDN service enabled is installed into the Terminal.

#### 6.2.3.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>FDN</sub> as fixed dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.
- c) Using the MMI the FDN disabling procedure is performed. On request of the UE PIN2 is entered.
- d) Using the MMI a call set-up to the abbreviated dialling number 1 (record 1) is attempted.
- e) The UE is soft-powered down.

### 6.2.3.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 2) After step c) the UE shall indicate that the FDN disabling procedure has been successful.
- 3) After step d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step e) record 1 in EF<sub>FDN</sub>, shall contain the following values:

Hex			_	_		-	-	B10 56		_
	B14 FF	B15 FF	B16 FF		B19 FF					

# 6.3 Barred Dialling numbers (BDN) handling

### 6.3.1 Terminal and USIM with BDN enabled

### 6.3.1.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of BDN the UE runs the BDN capability request procedure during UICC-Terminal initialisation. At the time an emergency call is setup using the emergency call code read from the  $EF_{ECC}$ , the UE shall use the category of the emergency service indicated.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

#### 6.3.1.2 Conformance requirement

- 1) Recognising the state of the USIM (BDN enabled) the UE shall perform the UICC initialisation procedure as specified.
- 2) The UE prevent call set-up to a any number stored in EF<sub>BDN</sub>.
- 3) The UE allows call set-up of an emergency call, even if this number is stored in the USIM.

#### Reference:

TS 22.101, Annex 19;

TS 31.102, subclause 5.1.1 and 5.3.2;

TS 24.008, subclause 10.5.4.33.

### 6.3.1.3 Test purpose

- 1) To verify that the Terminal rejects call set-up to any number that has an entry in  $EF_{BDN}$ .
- 2) To verify that the Terminal allows call set-up to any number other number not stored in  $EF_{BDN}$ .
- 3) To verify that the Terminal allows emergency call set-up even if the number is stored in EF<sub>BDN</sub>.
- 4) To verify that the Terminal reads correctly the emergency service category stored in  $EF_{ECC}$

#### 6.3.1.4 Method of test

#### 6.3.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted

The default BDN UICC with BDN service enabled is installed into the Terminal.

#### 6.3.1.4.2 Procedure

- a) The UE is powered on and PIN is entered.
- b) Using the MMI a call set-up to the barred dialling number 1 (record 1) is attempted.
- c) Using the ADN entry a call set-up to the abbreviated dialling number 1 (record 1) end is attempted.
- d) Using the MMI a call set-up to the number "123456" is attempted.
- e) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the Terminal
- f) Using the MMI an emergency call set-up is attempted using the emergency call code stored in the USIM (i.e. "122").

NOTE: For step e) one of the emergency call codes according to 22.101, subclause 8.1 is used (i.e. 000, 08, 112, 110, 911 or 999)

### 6.3.1.5 Acceptance criteria

- 1) After step a) the UE is registered and in idle state.
- 3) After steps b) and c) the UE shall prevent call set-up.
- 2) After step d) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After steps e) and f) the UE shall allow emergency call by indicating the call setup as "Emergency Call".
- 5) After step f) the UE shall send the emergency service category correctly as "Mountain Rescue".

### 6.3.2 Terminal and USIM with BDN disabled

### 6.3.2.1 Definition and applicability

Barred Dialling Numbers (BDN) is a service defined for the USIM. An enabled BDN service results in call restrictions for the UE. No numbers which are stored in the  $EF_{BDN}$  may be dialled by the UE. The call restrictions are controlled by the Terminal. To ascertain the type of USIM and state of FDN the UE runs the FDN capability request procedure during UICC-Terminal initialisation. Deactivation of the service by the subscriber is possible under the control of PIN2 and switches the USIM into a "normal", non restrictive USIM. When the BDN is disabled no special controls are specified. The BDN may be read as if they were normal ADN. However a modification or deletion of the a BDN is under PIN2 control.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting BDN.

### 6.3.2.2 Conformance requirement

- 1) Recognising the state of the USIM (FDN disabled) the UE correctly performs the UICC initialisation procedure.
- 2) The UE allows call set-up to a directory number as stored in EF<sub>BDN</sub>.
- 3) Any change to the EF<sub>BDN</sub> does requests PIN2.

#### Reference:

TS 22.101, clause 8 and annex A.19;

TS 31.102, subclause 5.1.1 and 5.3.2.

### 6.3.2.3 Test purpose

- 1) To verify that the Terminal as a result of the state of the USIM correctly performs the UICC-Terminal initialisation procedure.
- 2) To verify that the Terminal allows call set-up to a BDN number.
- 3) The UE shall allow updating of EF<sub>BDN</sub> by the use of PIN2.

#### 6.3.2.4 Method of test

#### 6.3.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted

The default FDN UICC is used with the following exception:

EF<sub>EST</sub> (Enable Service Table)

Logically:

Fixed Dialling Numbers disabled. Barred Dialling Numbers disabled. APN Control list (ACL) disabled.

Coding: B1

binary 0000 0000

The UICC is installed into the Terminal and the UE is powered on.

#### 6.3.2.4.2 Procedure

- a) Using the MMI a call set-up to the barred dialling number 1 is attempted.
- b) Using the MMI the directory number "+876543210" is stored in EF<sub>BDN</sub> as fixed dialling number 1 (record 1). The alpha identifier is not changed. On request of the UE PIN2 is entered.

### 6.3.2.5 Acceptance criteria

- 1) After step a) the UE shall allow call set-up and send the requested number across the air interface.
- 4) After step b) record 1 in EF<sub>BDN</sub>, shall contain the following values:

Coding: Hex	B1 42	B2 44		B4 31			B7 06	B8 91	-	B11 34	B12 12	B13 F0
	B14 FF	B15 FF	B16 FF	B17 FF	B18 FF	B19 FF	B20 FF					

# 6.4 Advice of charge (AoC) handling

# 6.4.1 AoC not supported by USIM

### 6.4.1.1 Definition and applicability

If the Terminal under test supports Advice of Charge Charging, it shall still look at the capability of the USIM, before responding to any AoCC information from the network.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

### 6.4.1.2 Conformance requirement

- An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) An UE not supporting AoCC and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) An UE not supporting AoCC and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) An UE not supporting AoCC and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### References:

```
TS 24.008, subclause 5.1.2.1;
```

TS 23.086, subclause 1.2, 1.3, 2.2 and 2.3;

TS 24.086, clause 2.

### 6.4.1.3 Test purpose

- 1) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a CONNECT message containing AoCC information shall acknowledge the CONNECT message but ignore and not acknowledge the AoCC information sent within the CONNECT.
- 2) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the outgoing call / U4 call delivered state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 3) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the incoming call / U9 call confirmed state, on receipt of a FACILITY message containing AoCC information shall ignore and not acknowledge the AoCC information sent within the FACILITY.
- 4) To verify that an UE not supporting AoCC (where the Terminal does support AoCC but the USIM does not) and in the U10 call active state, on receipt of a FACILITY message containing AoCC information, shall ignore and not acknowledge the AoCC information sent within the FACILITY.

#### 6.4.1.4 Method of test

#### 6.4.1.4.1 Initial conditions

The Terminal shall be installed with a UICC or USIM simulator, with all elementary files coded as for the default UICC, with the exception of:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available:

User controlled PLMN selector available;

Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC not available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx0 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

The generic call set up procedures are followed up to and including the reception, or transmission of the ALERTING message by the UE.

### 6.4.1.4.2 Procedure

- a) For an MO call in the U4 state the USS transmits CONNECT containing AoCC information.
- b) For an MO call in the U4 state the USS transmits FACILITY containing AoCC information.
- c) For an MT call in the U9 state the USS transmits FACILITY containing AoCC information.
- d) For an MO call in the U10 state the USS transmits FACILITY containing AoCC information.

### 6.4.1.5 Acceptance criteria

In all cases, the UE shall ignore the AoCC information sent to it in the Facility information elements as part of the CONNECT/FACILITY messages and not send any AoCC information acknowledgement. It shall be checked for 15 seconds that the UE does not transmit any AoCC information acknowledgement after the receipt of AoCC information.

### 6.4.2 Maximum frequency of ACM updating

### 6.4.2.1 Definition and applicability

The ACM shall be updated at the end of every interval, where the interval length is given by parameter e2. The Terminal shall update the ACM not more frequently than once every 5 seconds, even if the interval is less than 5 seconds. More frequent updating may affect the USIMs read/write cycles.

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

### 6.4.2.2 Conformance requirement

The ACM shall be incremented when the CCM is incremented or once every 5 seconds, whichever is the longer period.

Reference:

TS 22.024, subclause 4.3, part h.

### 6.4.2.3 Test purpose

To verify that the interval between increments is 5 seconds.

#### 6.4.2.4 Method of test

#### 6.4.2.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

EF<sub>ACM</sub> (Accumulated call meter)

Logically: 50 units

EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 150 units

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.2.4.2 Procedure

- a) The UE is made to initiate a call. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 second of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained for 90 seconds, then terminated by the USS. During the call, the USIM-simulator monitors the time intervals between successive INCREMENT commands.

Maximum Duration of Test:

2 minutes.

**Expected Sequence:** 

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	CHANNEL REQUEST	
3	USS -> UE	IMMEDIATE ASSIGNMENT	
4	UE -> USS	CM SERVICE REQUEST	
5	USS -> UE	CM SERVICE ACCEPT	
6	UE -> USS		
7	USS -> UE	CALL PROCEEDING	
8	USS -> UE	ASSIGNMENT COMMAND	To a supported channel type
9		ASSIGNMENT COMPLETE	
10		ALERTING	
11	USS -> UE	CONNECT	As default message except contains Facility IE with
			contents as indicated in i) below
			Either A or B branch is taken
A12	UE -> USS	CONNECT ACKNOWLEDGE	
A13	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B12	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B13	UE -> USS	CONNECT ACKNOWLEDGE	
14			call duration 90 seconds after CAI information sent by
			USS,
15	USS -> UE	DISCONNECT	
16	UE -> USS	_	
17		RELEASE COMPLETE	
18	USS -> UE	CHANNEL RELEASE	The main signalling link is released.

#### **Specific Message Contents:**

i) FACILITY Information Element with Invoke = ForwardChargeInformation component type as defined in TS 24.080 subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

e-parameters:

e7 parameter: e1 e2 e3 e4 e5 e6 value 0 0 1 1 1 0 0

Values shown in table are in the format and have units as in TS 22.024 clause 3.

**ii) FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

### 6.4.2.5 Acceptance criteria

The UE shall send INCREMENT commands to the USIM every 5 seconds.

# 6.4.3 Call terminated when ACM greater than ACMmax

### 6.4.3.1 Definition and applicability

ACMmax gives the maximum value of ACM, at which the current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

### 6.4.3.2 Conformance requirement

ACM shall be incremented by the value of CCM.

If the ACMmax is valid, and the ACM becomes equal to or exceeds the value of the ACMmax, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

#### Reference:

TS 22.024, subclause 4.2.2 and subclause 4.3 part h;

TS 102 221, subclause 14.1.3.

### 6.4.3.3 Test purpose

- To verify that the Terminal increments the ACM by the correct number of units, even though this may take ACM above ACMmax.
- 2) To verify that the Terminal terminates the call.
- 3) To verify that the INCREMENT EF<sub>ACM</sub> command is performed correctly by the terminal.

#### 6.4.3.4 Method of test

#### 6.4.3.4.1 Initial conditions

The Terminal shall be connected to a UICC or the USIM simulator, with all elementary files coded as default with the exception of:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

 Coding:
 B1
 B2
 B3
 B4
 B5

 binary
 xxxx xx11
 xxx1 xxxx
 xxxx 1x00
 xxxx x1xx
 xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

 $EF_{ACM}$  (Accumulated call meter)

Logically: 80 units

Coding: B1 B2 B3

binary 0000 0000 0000 0000 0101 0000

EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: 94 units

Coding: B1 B2 B3

binary 1111 1111 1111 0101 1110

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.3.4.2 Procedure

- a) The UE is made to initiate a call. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 second of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) The call is maintained until cleared by the UE (after 30 seconds).
- c) The contents of ACM are checked.

Maximum Duration of Test:

2 minutes.

**Expected Sequence:** 

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	CHANNEL REQUEST	
3		IMMEDIATE ASSIGNMENT	
4		CM SERVICE REQUEST	
5		CM SERVICE ACCEPT	
6	UE -> USS		
7		CALL PROCEEDING	
8	USS -> UE	ASSIGNMENT COMMAND	
_		to a supported channel type	
9		ASSIGNMENT COMPLETE	
10	USS -> UE	_	
11	USS -> UE	CONNECT	As default message except contains Facility IE with
			contents as indicated in i) below
			Either A or B branch is taken
A12		CONNECT ACKNOWLEDGE	
A13	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B12	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B13	UE -> USS	CONNECT ACKNOWLEDGE	
14			call duration 30 seconds after CAI information sent by
			USS
15		DISCONNECT	
16	USS -> UE		
17		RELEASE COMPLETE	
18	UE -> USS	CHANNEL RELEASE	The main signalling link is released.

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 subclause 3.6.1 table 3.3.

For ASN.1 description see default message contents in subclause 31.6.1.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

#### e-parameters:

parameter: e1 e2 e3 e4 e5 e6 e7 value 10 10 1 0 0 0 0

Values shown in table are in the format and have units as in TS 22.024 clause 3.

**ii) FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 subclause 3.6.1 table 3.4.

For ASN.1 description see default message contents in subclause 31.6.1.3.

### 6.4.3.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 30 seconds after CAI was sent.
- 2) The value of ACM shall be 100 units.

# 6.4.4 Response codes of increase command of ACM

### 6.4.4.1 Definition and applicability

ACM has a maximum value in terms of coding, and an attempt by the Terminal to exceed that value by sending an INCREASE command shall result in an error message from the USIM. As the maximum of the ACM is equal to the

maximum value of ACMmax, all current chargeable calls shall be terminated and no further calls may be made (except emergency calls).

This test applies to Terminals accessing UTRAN. Besides of that, this test is applicable only to those Terminals supporting AoCC.

### 6.4.4.2 Conformance requirement

The Terminal shall perform the increasing procedure, sending the amount to be increased.

The running accumulated charge shall be stored in the ACM of the USIM.

Where this charge cannot be stored in the UE, use of the telecommunications service shall be prevented.

At the time ACM exceeds it's maximum value, then all calls in progress, chargeable to the user, shall be terminated by the UE, once the chargeable interval determined by the CAI has elapsed, (except emergency calls).

#### References:

TS 31.102, subclause 5.3.4;

TS 22.086, subclauses 2.1 and 2.2.1.

### 6.4.4.3 Test purpose

To verify that the Terminal clears a charged call if the USIM indicates that the ACM cannot be increased.

#### 6.4.4.4 Method of test

#### 6.4.4.4.1 Initial conditions

The Terminal shall be connected to the USIM simulator, with all elementary files coded as default with the exception of:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available;

User controlled PLMN selector available;

Fixed dialling numbers available;

The GSM Access available;

The Group Identifier level 1 and level 2 not available;

AoC available.

Service n 33 (Packed Switched Domain) shall be set to '1'

Coding: B1 B2 B3 B4 B5

binary xxxx xx11 xxx1 xxxx xxxx 1x00 xxxx x1xx xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

EF<sub>ACM</sub> (Accumulated call meter)

Logically: (Maximum value - 10) units

Coding: B1 B2 B3

binary 1111 1111 1111 1111 1111 0101

EF<sub>ACMmax</sub> (Accumulated call meter maximum)

Logically: (Maximum value - 2) units

Coding: B1 B2 B3 binary 1111 1111 1111 1111 1111 1101

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 6.4.4.4.2 Procedure

- a) The UE is made to initiate a call. The call is established with AoCC e-parameters sent in a Facility IE in the CONNECT message, as given below. The UE returns the AoCC acknowledgement within 1 second of the CONNECT message. It is an implementation option whether the AoCC acknowledge is sent by the UE before or after the CONNECT ACKNOWLEDGE.
- b) After an interval has elapsed, the Terminal increments the ACM. When an INCREASE command is received, the USIM-simulator sends back the error "98 50".
- c) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "6F xx".
- d) Conditions are reset to those described in the initial conditions. Steps a) and b) of the test are repeated, except that the error code sent by the USIM simulator at step b) is now "65 81".

#### References:

TS 102 221, subclause 10.2.1.

Maximum Duration of Test:

3 minutes.

**Expected Sequence:** 

Step	Direction	Message	Comments
1	UE		The UE is made to initiate a call
2	UE -> USS	CHANNEL REQUEST	
3	USS -> UE	IMMEDIATE ASSIGNMENT	
4	UE -> USS	CM SERVICE REQUEST	
5	USS -> UE	CM SERVICE ACCEPT	
6	UE -> USS	SETUP	
7	USS -> UE	CALL PROCEEDING	
8	USS -> UE	ASSIGNMENT COMMAND	
		to a supported channel type	
9	UE -> USS	ASSIGNMENT COMPLETE	
10	USS -> UE	ALERTING	
11	USS -> UE	CONNECT	As default message except contains Facility IE with
			contents as indicated in i) below
			Either A or B branch is taken
A12	UE -> USS	CONNECT ACKNOWLEDGE	
A13	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B12	UE -> USS	FACILITY	As default message except contains Facility IE with
			contents as indicated in ii) below
B13	UE -> USS	CONNECT ACKNOWLEDGE	
14			call duration 10s after CAI information sent by USS
15	UE -> USS	DISCONNECT	
16	USS -> UE	=	
17	UE -> USS	RELEASE COMPLETE	
18	UE -> USS	CHANNEL RELEASE	The main signalling link is released.

Specific Message Contents:

i) **FACILITY Information Element** with **Invoke = ForwardChargeInformation** component type as defined in TS 24.080 subclause 3.6.1 table 3.3.

The values of the e-parameters within the parameter part of the Facility Information Element shall be set as below:

#### e-parameters:

e2 e3 e7 parameter: e1 e4 e5 e6 20 10 0 0 0 0 value 1

Values shown in table are in the format and have units as in TS 22.024 clause 3.

**ii) FACILITY Information Element** with **Return Result** component type as defined in TS 24.080 subclause 3.6.1 table 3.4.

### 6.4.4.5 Acceptance criteria

- 1) The UE shall terminate the call correctly 10 seconds after CAI was sent.
- 2) In each of the three cases, as described in steps b), c) and d) of the procedure, the UE shall terminate the call correctly when it receives an indication from the USIM that the ACM cannot be incremented.

# 7 PLMN related tests

# 7.1 FPLMN handling

## 7.1.1 Adding FPLMN to the Forbidden PLMN list

### 7.1.1.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM and providing storage for at least 4 entries is managed by the UE. In automatic PLMN selection mode the UE controls location updating attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a location update reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

After a location update, which is not followed by an authentication procedure, the Key Set Identifier indicates that the Key Set Identifier is undefined.

This test applies to Terminals accessing UTRAN.

### 7.1.1.2 Conformance requirement

In automatic PLMN selection mode the UE shall only attempt a LOCATION UPDATE if it receives a BCCH containing a LAI that is not indicated in the EF<sub>FPLMN</sub> in the USIM.

#### Reference:

```
TS 22.011, subclause 2.3;
TS 31.102, subclause 5.1.1 and 5.2.7.
```

2) After receipt of a LOCATION UPDATE REJECT message with the cause "PLMN not allowed" the Terminal shall update the EF<sub>FPLMN</sub> in the USIM.

#### Reference:

```
TS 22.011, subclause 2.3,;
TS 31.102, subclause 5.1.1 and 5.2.7.
```

3) After call termination the USIM shall contain the correct Key Set Identifier.

#### Reference:

```
TS 31.102, subclause 5.1.2, 5.2.5 and 5.2.6;
```

4) After call termination the USIM shall contain the correct TMSI and location information received by the UE.

#### Reference:

```
TS 31.102, subclause 5.1.2, 5.2.5 and 5.2.6;
TS 21.111 subclause 10.1.
```

### 7.1.1.3 Test purpose

TS 21.111 subclause 10.1.

 To verify that in automatic PLMN selection mode the UE does not attempt to access PLMNs stored in EF<sub>FPLMN</sub> on the USIM.

- 2) To verify that the EF<sub>FPLMN</sub> is correctly updated by the Terminal after receipt of a LOCATION UPDATE REJECT message with cause "PLMN not allowed".
- 3) To verify that the  $EF_{Kev}$  has been correctly updated by the Terminal.
- 4) To verify that the EF<sub>LOCI</sub> has been correctly updated by the Terminal.

#### 7.1.1.4 Method of test

#### 7.1.1.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 234/002/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>IMSI</sub> (IMSI)

Logically: 246081111111111

В3 **B**4 **B5 B6** В9 Coding: **B**1 B2 **B**7 **B8** Hex 08 29 64 80 11 11 11 11 11

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 234

LAI-MNC: 007

LAI-LAC: 0000 TMSI: "32547698"

**B2 B**8 **B9** B10 Coding: **B**1 **B**3 **B**4 **B5 B6 B**7 B11 32 54 32 04 FF Hex 76 98 10 00 00 00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

EF<sub>Key</sub> (Ciphering and Integrity Key)

Logically: Key Set Identifier KSI: 02

Ciphering Keys CK: undefined undefined undefined

Coding: **B**1 B2 **B**3 B16 B17 B18 B30 **B31** B32 ... ... Hex 02 XXXX $\mathbf{x}\mathbf{x}$  $\mathbf{x}\mathbf{x}$ XX XXXX $\mathbf{x}\mathbf{x}$ ... ...

#### 7.1.1.4.2 Procedure

a) The UE is powered on.

b) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC): 234/003

The USS then resumes RF output on the BCCH.

c) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC): 234/004

The USS then resumes RF output on the BCCH.

d) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC): 234/005

The USS then resumes RF output on the BCCH.

e) The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC): 234/007

The USS then resumes RF output on the BCCH.

- f) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- g) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE REJECT to the UE with cause "PLMN Not Allowed", followed by CHANNEL RELEASE.

The USS stops all RF output on the BCCH for a long enough period of time to cause a cell reselection procedure in the UE. The BCCH is changed to contain:

LAI (MCC/MNC): 234/008

The USS then resumes RF output on the BCCH.

- h) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- i) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 234/008 TMSI: "43658709"

to the UE.

- j) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE
- k) The UE is soft powered down.

#### 7.1.1.5 Acceptance criteria

- 1) After each of the steps a) to d) the UE shall not attempt a LOCATION UPDATE.
- 2) After step f) the UE shall send LOCATION UPDATE REQUEST to the USS.
- 3) After step h) the UE shall send LOCATION UPDATE REQUEST to the USS.
- 4) After step i) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 5) After step k) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 234 LAI-MNC: 008

	TMSI:	"43	3658709"											
Coding: Hex	B1 43	B2 65	B3 87	B4 09	B5 32	B6 04		B7 80	B8 xx	B9 xx	B10 xx	B11 00		
EF <sub>Key</sub> (Ci	EF <sub>Key</sub> (Ciphering and Integrity Key)													
Ci	Logically: Key Set Identifier KSI: 07 (not available) Ciphering Keys CK: xx Integrity Keys IK: xx  Coding: B1 B2 B3 B16 B17 B18 B30 B31 B32													
Coding:	B1	B2	В3		B16	6 B1	17	B18		B30	B31	B32		
Hex	07	XX	XX	•••	XX	XX		XX	•••	XX	XX	XX		
	Hex 07 xx x													
Coding: Hex	B1 32	B2 04	B3 20	B4 32	B5 04	B6 30	B7 32	B8 04	B9 40	B10 32	B11 04	B12 50		
1104							32	04	40	34	U <del>4</del>	30		
	B13 32	B14 04	B15 60	B16 32	B17 04	B18 70								

# 7.1.2 UE updating forbidden PLMNs

### 7.1.2.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM provides storage for at least 4 entries, and is managed by the UE. In automatic PLMN selection mode the UE controls location updating attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a location update reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

This test applies to Terminals accessing UTRAN.

### 7.1.2.2 Conformance requirement

After the receipt of a LOCATION UPDATE REJECT message with the cause "PLMN not allowed" the UE shall update the  $EF_{FPLMN}$  in the USIM.

#### Reference:

TS 22.011, subclause 3.2.2.4.

### 7.1.2.3 Test purpose

To verify that the UE correctly updates the  $EF_{FPLMN}$ , i.e. fill up existing gaps in the elementary file before overwriting any existing entries.

### 7.1.2.4 Method of test

#### 7.1.2.4.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 234/002/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)

04

50

PLMN2: empty PLMN3: 234 003 PLMN4: 234 004 PLMN5: 234 005 PLMN6: 234 006

Coding: B1 **B**2 **B3 B**4 **B5 B6 B**7 **B8 B9** B<sub>10</sub> B11 B12 Hex 32 04 10 FF FF FF 32 04 30 32 04 40 B15 **B13** B14 B16 **B17** B18

60

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

04

32

#### 7.1.2.4.2 Procedure

32

a) The UE is powered on.

- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE REJECT to the UE with the cause "PLMN not allowed", followed by CHANNEL RELEASE.
- d) The UE is soft powered down.

### 7.1.2.5 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST to the USS.
- 2) After step d) the USIM shall contain:

EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)

PLMN2: 234 002 PLMN3: 234 003 PLMN4: 234 004 PLMN5: 234 005 PLMN6: 234 006

Coding: **B**1 **B2** В3 **B**4 **B5** B6 **B**7 **B8 B9** B<sub>10</sub> B11 B12 Hex 32 04 10 32 20 32 04 30 32 04 40 04 **B13** B14 B15 B16 B17 B18 32 04 50 32 04 60

or

EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: 234 001 (MCC MNC)

PLMN2: 234 003 PLMN3: 234 004 PLMN4: 234 005 PLMN5: 234 006 PLMN6: 234 002

Coding: B1 B2 **B**3 **B**4 **B5 B6 B**7 **B8 B9** B10 B11 B12 Hex 32 04 10 32 04 30 32 04 40 50 32 04

B13 B14 B15 B16 B17 B18 32 04 60 32 04 20

### 7.1.3 UE deleting forbidden PLMNs

### 7.1.3.1 Definition and applicability

In manual PLMN selection mode the UE allows location update attempts to all available PLMNs, including forbidden PLMNs (as indicated by the forbidden PLMN list on the USIM). As a result of a successful location update procedure onto a PLMN which is in the forbidden PLMN list, the forbidden PLMN list is automatically updated by the UE.

This test applies to Terminals accessing UTRAN.

### 7.1.3.2 Conformance requirement

1) In manual PLMN selection mode the UE shall be able to perform a LOCATION UPDATE attempt to a PLMN which is in the forbidden PLMN list.

TS 22.011, subclause 3.2.2.2.

2) After receipt of LOCATION UPDATE ACCEPT the UE shall delete the forbidden PLMN from the forbidden PLMN list.

TS 22.011, subclause 3.2.2.4.

### 7.1.3.3 Test purpose

- 1) To verify that the UE is able to perform a LOCATION UPDATE on a forbidden PLMN in manual PLMN selection mode.
- 2) To verify that the UE after a successful LOCATION UPDATE deletes the PLMN in the EF<sub>FPLMN</sub> on the USIM.

### 7.1.3.1 Method of test

#### 7.1.3.3.1 Initial conditions

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 234/005/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: empty

PLMN2: empty PLMN3: empty PLMN4: empty

PLMN5: 234 005 (MCC MNC)

PLMN6: empty

Coding: **B**1 B2 **B**3 **B**4 **B5 B6 B7 B8 B9** B10 B11 B12 Hex FF FF

B13 B14 B15 B16 B17 B18 32 04 50 FF FF FF

The UICC is installed into the Terminal and the UE is set to manual PLMN selection mode.

#### 7.1.3.3.2 Procedure

- a) The UE is powered on.
- b) PLMN with MCC/MNC of 234/005 is manually selected.
- c) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- d) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 234/005 TMSI: "12345678"

to the UE.

- e) After receipt of TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE.
- f) The UE is soft powered down.

### 7.1.3.2 Acceptance criteria

- 1) After step c) the UE shall send LOCATION UPDATE REQUEST to the USS.
- 2) After step d) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step f) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 234 LAI-MNC: 005

TMSI: "12345678"

B2 B11 Coding: B1 **B**3 **B**4 **B5 B6 B**7 **B8 B9** B10 Hex 12 34 78 32 04 50 00 56 XXXX XX

EF<sub>FPLMN</sub> (Forbidden PLMNs)

Logically: PLMN1: empty

PLMN2: empty PLMN3: empty PLMN4: empty PLMN5: empty PLMN6: empty

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12
Hex	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF
	B13 FF	B14 FF	B15 FF	B16 FF	B17 FF	B18 FF						

# 7.2 User controlled PLMN selector handling

# 7.2.1 UE updating the User controlled PLMN selector list

### 7.2.1.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of PLMNs may be performed by the subscriber.

This test applies to Terminals accessing UTRAN.

### 7.2.1.2 Conformance requirement

The UE shall correctly replace the selected UPLMN in the User controlled PLMN selector list.

TS 31.102, subclause 5.3.6.

### 7.2.1.3 Test purpose

To verify that the UE correctly updates the EF<sub>PLMNwACT</sub>

#### 7.2.1.4 Method of test

#### 7.2.1.4.1 Initial conditions

No USS is required for this test.

The default UICC is used.

The UICC is installed into the Terminal and the UE is powered on.

#### 7.2.1.4.2 Procedure

- a) The user shall initiate an MMI dependent procedure to change the second UPLMN in the User controlled PLMN selector list to MCC/MNC of 567/002, the ACT identifier shall set to UTRAN only.
- b) The UE is soft powered down.

### 7.2.1.5 Acceptance criteria

After step b) the USIM shall contain the following values:

EF<sub>PLMNwACT</sub> (UPLMN Selector)

Logically: 1st PLMN:244 081 (MCC MNC)

1<sup>st</sup> ACT: UTRAN 2<sup>nd</sup> PLMN: 567 002 2<sup>nd</sup> ACT UTRAN 3<sup>rd</sup> PLMN: 244 082 3<sup>rd</sup> ACT UTRAN

	4 <sup>th</sup> PLM 4 <sup>th</sup> AC 5 <sup>th</sup> PLM 5 <sup>th</sup> AC 6 <sup>th</sup> PLM 6 <sup>th</sup> AC 7 <sup>th</sup> PLM 8 <sup>th</sup> AC 9 <sup>th</sup> PLM 9 <sup>th</sup> AC 10 <sup>th</sup> PLM 11 <sup>th</sup> PLM 11 <sup>th</sup> PLM 11 <sup>th</sup> AC 12 <sup>th</sup> PLM	T MN: T MN: T MN: T MN: T MN: T MN: C MN: C MN: C MN: C MN: C MN: C MN:	244 082 GSM 244 003 UTRAN 244 004 UTRAN 244 006 UTRAN 244 007 UTRAN 244 008 UTRAN 244 009 UTRAN 244 010												
Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11	B12	B13	B14	B15
Hex	42	04	18	80	00	65	07	20	80	00	42	04	28	80	00
	B16	B17	B18	B19	B20	B21	B22	B23	B24	B25	B26	B27	B28	B29	B30
	42	04	28	00	80	42	04	30	80	00	42	04	40	80	00
	B31	B32	B33	B34	B35	B36	B37	B38	B39	B40	B41	B42	B43	B44	B45
	42	04	50	80	00	42	04	60	80	00	42	04	70	80	00
	B46	B47	B48	B49	B50	B51	B52	B53	B54	B55	B56	B57	B58	B59	B60
	42	04	80	80	00	42	04	90	80	00	42	04	01	80	00

# 7.2.2 UE recognising the priority order of the User controlled PLMN selector list with the same access technology.

### 7.2.2.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN

This test applies to Terminals accessing UTRAN.

### 7.2.2.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority order of the UPLMNs in the preferred list on the USIM.

TS 22.011, subclause 3.2.2.

### 7.2.2.3 Test purpose

To verify that the UPLMN with the higher priority (defined by its position in  $EF_{PLMNWACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection.

### 7.2.2.4 Method of test

#### 7.2.2.4.1 Initial conditions

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/033/0001
Access control: unrestricted.

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/034/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>PLMNwACT</sub> (UPLMN Selector with Access Technology)

Logically: 1st PLMN:244 081 (MCC MNC)

 $\begin{array}{lll} 1^{st} \, ACT: & UTRAN \\ 2^{nd} \, PLMN: & 244 \, 081 \\ 2^{nd} \, ACT & GSM \\ 3^{rd} \, PLMN: & 244 \, 082 \\ 3^{rd} \, ACT & UTRAN \\ 3^{rd} \, PLMN: & 244 \, 082 \\ 3^{rd} \, ACT & GSM \\ \end{array}$ 

•••••

B1

Coding:

 10th PLMN:
 244 008

 10th ACT
 UTRAN

 11th PLMN:
 244 034

 11th ACT
 UTRAN

 12th PLMN:
 244 033

 12th ACT
 UTRAN

B2

**B**3

**B**4

**B**5

B6

нех	42	04	18	80	00	42	04	18	00	80	42	04	28	80	00
	B16 42	B17 04	B18 28	B19 00	B20 80										
	B46 42	B47 04	_	B49 80	B50 00		B52 04		B54 80		B56			B59 80	B60 00

**B**7

**B8** 

**B**9

B10

B11

B12

B13

B14

B15

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.2.4.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/034 TMSI: "34567890"

to the UE

d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.

e) The UE is soft powered down.

#### 7.2.2.5 Acceptance criteria

- After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 234/034 to the USS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244

LAI-MNC: 034

TMSI: "34567890"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	34	56	78	90	42	04	43	XX	XX	XX	00

# 7.2.3 UE recognising the priority order of the User controlled PLMN selector list using a ACT preference.

#### 7.2.3.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug-in UICC

#### 7.2.3.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated

TS 22.011, subclause 3.2.2 and TS 31.102, subclause 4.2.5, subclause 5.1.2

#### 7.2.3.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in  $EF_{PLMNwACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection and that the  $EF_{RPLMNACT}$  is correct updated.

#### 7.2.3.3 Method of test

#### 7.2.3.3.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmit on BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/081/0001
Access control: unrestricted.

The UMTS USS transmit on BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/082/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to UTRAN

Coding: B1 B2 Hex 80 00

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.3.3.2 Procedure

a) The UE is powered on.

- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/081 TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

#### 7.2.3.4 Acceptance criteria

- After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244 LAI-MNC: 081 TMSI: "34567890"

Coding: B1 B2 B3 **B**4 B5 B6 **B**7 B8 B9 B10 B11 Hex 34 56 78 90 42 04 18 00 XXXXXX

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT set to GSM

Coding: B1 B2 Hex 00 80

# 7.2.4 UE recognising the priority order of the User controlled PLMN selector list using a ACT preference; accessing UTRAN

#### 7.2.4.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNwACT}$ . Update and deletion of UPLMNs may be performed by the subscriber by the use of the PIN.

This test applies to Terminals accessing UTRAN. This test does not apply, if the previous test is performed.

#### 7.2.4.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of the ACT identifier in the preferred list on the USIM. After the successful registration the Registered PLMN, the last used ACcess Technology field EF<sub>RPLMNACT</sub> shall be updated

TS 22.011, subclause 3.2.2 and TS 31.102, subclause 4.2.5, subclause 5.1.2

#### 7.2.4.2.1 Test purpose

To verify that the ACT with the higher priority (defined by its position in  $EF_{PLMNwACT}$ ) takes precedence over the UPLMN with the lower priority when the UE performs a network selection and that the  $EF_{RPLMNACT}$  is correct updated.

#### 7.2.4.3 Method of test

#### 7.2.4.3.1 Initial conditions

The USS transmits on two BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/082/0001
Access control: unrestricted.

Attach/detach: disabled LAI (MCC/MNC/LAC): 244/003/0001 Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT shall be set to GSM

Coding: B1 B2 Hex 00 80

The UICCC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.2.4.3.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/082 TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

#### 7.2.4.4 Acceptance criteria

- After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/081 to the SS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244 LAI-MNC: 082

TMSI: "34567890"

B2 **B**4 B5 **B9** B10 B11 Coding: **B**1 **B**3 B6 B7 **B**8 34 90 42 Hex 56 78 04 28 ХX 00

EF<sub>RPLMNACT</sub> (Registered PLMN last used ACcess Technology)

Logically: Last registered ACT shall be set to UTRAN

Coding: B1 B2 Hex 80 00

# 7.3 Operator controlled PLMN selector handling

# 7.3.1 UE recognising the priority order of the Operator controlled PLMN selector list.

#### 7.3.1.1 Definition and applicability

The Operator controlled PLMN selector list gives in priority order the preferred OPLMNs on which the UE shall register if no network of the User controlled PLMN selector list is available. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EF<sub>OPLMNwACT</sub>. Update and deletion of OPLMNs shall not be possible by the subscriber by the use of the PIN.

This test applies to Terminals accessing UTRAN.

#### 7.3.1.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of OPLMNs in the preferred list on the USIM.

TS 22.011, subclause 3.2.2 and TS 31.102, subclause 4.2.53

#### 7.3.1.3 Test purpose

To verify that the OPLMN with the higher priority (defined by its position in  $EF_{OPLMNwACT}$ ) takes precedence over the OPLMN with the lower priority when the UE performs a network selection.

#### 7.3.1.4 Method of test

#### 7.3.1.4.1 Initial conditions

For this test a USS is needed.

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 254/011/0001
Access control: unrestricted.

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/012/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>OPLMNwACT</sub> (OPLMN Selector)

Logically: 1st PLMN:254 012 (MCC MNC)

 $\begin{array}{lll} 1^{st} \, ACT & UTRAN \\ 2^{nd} \, PLMN \colon & 254 \, 011 \\ 2^{nd} \, ACT & UTRAN \end{array}$ 

Coding: **B**1 B2 **B**3 **B**4 B5 B6 **B**7 **B8 B9** B10 Hex 52 04 12 80 00 52 04 11 00 80

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.3.1.4.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 254/012 TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

#### 7.3.1.5 Acceptance criteria

- 1) After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 254/012 to the USS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 254 LAI-MNC: 012

TMSI: "34567890"

В1 **B**2 В3 **B**4 **B5 B6 B**7 **B8 B9** B10 B11 Coding: Hex 34 56 78 90 42 04 21 00 ХX xxхx

# 7.3.2 UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list.

#### 7.3.2.1 Definition and applicability

The User controlled PLMN selector list has a higher priority as the OPLMN selector list on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{PLMNWACT}$ .

This test applies to Terminals accessing UTRAN.

#### 7.3.2.2 Conformance requirement

When registering onto a VPLMN the UE shall take into account the priority of UPLMNs first before the OPLMNs in the preferred list on the USIM.

TS 22.011, subclause 3.2.2.2 and TS 31.102, subclause 4.2.5, 4.2.53

#### 7.3.2.3 Test purpose

To verify that the UPLMN with a lower priority (defined by its position in  $EF_{OPLMNwACT}$ ) takes precedence over the OPLMN with a higher priority when the UE performs a network selection.

#### 7.3.2.4 Method of test

#### 7.3.2.4.1 Initial conditions

For this test a USS is needed.

The USS transmits on two BCCHs, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 254/001/0001
Access control: unrestricted.

Attach/detach: disabled LAI (MCC/MNC/LAC): 244/010/0001 Access control: unrestricted.

The default UICC is used.

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.3.2.4.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/010 TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.
- e) The UE is soft powered down.

#### 7.3.2.5 Acceptance criteria

- After step b) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 244/010 to the USS.
- 2) After step c) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 244 LAI-MNC: 010

TMSI: "34567890"

**B**7 B10 B11 Coding: B1 B2 B3 B4 B5 **B6 B8** B9 34 56 78 90 42 04 21 00 XX XX XX

## 7.4 HPLMN search handling

## 7.4.1 UE recognising the search period of the HPLMN

#### 7.4.1.1 Definition and applicability

The HPLMN list gives in priority order the Home PLMN on which the UE shall register first.. The HPLMN search period gives the time interval in which the UE shall search for a possible HPLMN registration.

This test applies to Terminals accessing UTRAN.

#### 7.4.1.2 Conformance requirement

After registered onto a VPLMN the UE shall take into account the HPLMN search period timer and the priority order of the HPLMNs in the preferred list on the USIM.

TS 22.011, subclause 3.2.2. and 3.2.2.5

#### 7.4.1.3 Test purpose

To verify that the HPLMN timer is read and the HPLMN takes precedence over the VPLMN in which the UE is currently registered in.

#### 7.4.1.4 Method of test

#### 7.4.1.4.1 Initial conditions

For this test a UTRAN USS is needed.

The USS transmits on BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/081/0001
Access control: unrestricted.

After the registration of UE the USS transmits on a second BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC shall be used with the following exception:

EF<sub>HPLMN</sub> (HPLMN Search period)

Logically: set to 6minutes

Coding: B1 Hex 01

The UICC shall be installed into the Terminal and the UE shall be set to automatic PLMN selection mode.

#### 7.4.1.4.2 Procedure

- a) The UE shall be powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the USS shall send IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/081 TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.
- e) The USS starts to send on the second BCCH with the MCC/MNC 246/081. An internal timer shall start to run.
- f) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE. The internal timer is stopped.
- g) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 246/081 TMSI: "12345678" to the UE.

- h) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE.
- i) The UE is soft powered down.

#### 7.4.1.5 Acceptance criteria

- After step e) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 246/081 to the USS.
- 2) After step g) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) The value of the internal timer shall not exceed 6 minutes.

Note: To take the systems processing time into account, the value of the internal timer may allowed to be a guard time of 1 second greater than the required 6 seconds.

4) After step i) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246 LAI-MNC: 081

TMSI: "12345678"

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	42	06	18	XX	XX	XX	00

# 7.4.2 GSM/UMTS dual mode UEs recognising the search period of the HPLMN

#### 7.4.2.1 Definition and applicability

The HPLMN list gives in priority order the Home PLMN on which the UE shall register first. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the  $EF_{HPLMNACT}$ . The HPLMN search period gives the time interval in which the UE shall search for a possible HPLMN registration. To avoid a duplication of a test,

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug-in UICC.

To avoid a duplication of tests, this test supersede the previous test case (7.4.1).

#### 7.4.2.2 Conformance requirement

After registered onto a VPLMN the UE shall take into account the HPLMN search period timer and the priority order of the HPLMNs in the preferred list on the USIM including the Access Technology Identifier.

TS 22.011, subclause 3.2.2. and 3.2.2.5

#### 7.4.2.3 Test purpose

To verify that the HPLMN timer is read and the HPLMN with the higher priority (defined by its position in EF<sub>HPLMNwACT</sub>) takes precedence over the VPLMN in which the UE is currently registered in.

#### 7.4.2.4 Method of test

#### 7.4.2.4.1 Initial conditions

For this test both a GSM SS and a UTRAN USS is needed.

The GSM SS transmits on BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 244/081/0001
Access control: unrestricted.

After the registration of UE the GSM SS transmits on a second BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

At the same time as the SS sends on a second BCCH, the UMTS USS transmit on BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

The default UICC is used with the following exception:

EF<sub>HPLMNwACT</sub> (HPLMN selector with Access Technology)

Logically: Set to MCC 246 and MNC 081

Set to UTRAN

Coding: B1 B2 B3 B4 B5 Hex 42 06 18 80 00

EF<sub>HPLMN</sub> (HPLMN Search period)

Logically: set to 6minutes

Coding: B1 Hex 01

The UICC is installed into the Terminal and the UE is set to automatic PLMN selection mode.

#### 7.4.2.4.2 Procedure

- a) The UE is powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS sends IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 244/081 TMSI: "34567890"

to the UE

d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE.

- e) The SS starts to send on the second BCCH with the MCC/MNC 246/081 and the USS starts to send with the Same MCC/MNC. An internal timer shall start to run.
- f) After receipt of a CHANNEL REQUEST from the UE, the USS sends IMMEDIATE ASSIGNMENT to the UE. The internal timer is stopped.
- g) After receipt of a LOCATION UPDATE REQUEST from the UE, the USS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 246/081 TMSI: "12345678"

to the UE

- h) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the USS sends CHANNEL RELEASE to the UE
- i) The UE is soft powered down.

#### 7.4.2.5 Acceptance criteria

- 1) After step e) the UE shall send LOCATION UPDATE REQUEST containing an MCC/MNC of 246/081 to the USS.
- 2) After step g) the UE shall respond with TMSI REALLOCATION COMPLETE.
- 3) The value of the internal timer shall not exceed 6 minutes.

Note: To take the systems processing time into account, the value of the internal timer may allowed to be a guard time of 1 second greater than the required 6 seconds.

4) After step i) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 246

LAI-MNC: 081

TMSI: "12345678"

Coding:	B1	B2	В3	B4	B5	B6	B7	B8	B9	B10	B11
Hex	12	34	56	78	42	06	18	XX	XX	XX	00

# 7.5 RPLMNACT handling

## 7.5.1 UE recognising the last registered ACT

#### 7.5.1.1 Definition and applicability

The RPLMNACT identifies the last Access Technology in which the UE was registered. Together with the identification of the last registered PLMN. This two lists shall be used for the network selection in the case the UE is within coverage (at switch-on) or returns to coverage of the PLMN on which it is already registered (as indicated by the registered PLMN stored in the USIM), the UE shall perform a location update to a new location area if necessary.

Note: According to 22.011 subclause 3.2.2.2, the last registered network take precedence even over the HPLMN.

This test applies to a GSM/UMTS dual mode UE accessing both UTRAN and GSM using either ID-1 or Plug-in UICC.

#### 7.5.1.2 Conformance requirement

- 1) Recognising the network, in which the UE was last registered.
- 2) Recognising the Access Technology, in which the UE has last used.
- 3) AT the time of power on, from all available network the above network and Access Technology shall be first selected.

TS 22.011, subclause 3.2.2. and 3.2.2.2; TS 31.102, subclause 5.1.1.

#### 7.5.1.3 Test purpose

To verify that the last registered network together with the last used Access technology takes precedence over all other available network.

#### 7.5.1.4 Method of test

#### 7.5.1.4.1 Initial conditions

For this test both a GSM SS and an UTRAN USS is needed.

The USS transmits on two BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

Attach/detach: disabled
LAI (MCC/MNC/LAC): 242/001/0001
Access control: unrestricted.

The GSM SS transmits on the BCCH with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 242/001/0001
Access control: unrestricted.

The default UICC shall be used with the following exception:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 242

LAI-MNC: 001 LAI-LAC: 9999 TMSI: "12345678"

Coding: **B**1 **B2 B**4 B11 **B**3 **B5 B6 B**7 **B8 B9** B10 Hex 12 34 56 78 42 02 10 99 99 FF 00

EF<sub>RPLMNACT</sub> (Registered PLMN Access Technology)

Logically: set to GSM

Coding: B1 B2 Hex 00 80

The UICC shall be installed into the Terminal and the UE shall be set to automatic PLMN selection mode.

#### 7.5.1.4.2 Procedure

- a) The UE shall be powered on.
- b) After receipt of a CHANNEL REQUEST from the UE, the SS shall send IMMEDIATE ASSIGNMENT to the UE.
- c) After receipt of a LOCATION UPDATE REQUEST from the UE, the SS sends LOCATION UPDATE ACCEPT with:

LAI (MCC/MNC): 242/001 LAC: 0001

TMSI: "34567890"

to the UE

- d) After receipt of a TMSI REALLOCATION COMPLETE from the UE, the SS sends CHANNEL RELEASE to the UE
- e) The UE is soft powered down.

#### 7.5.1.5 Acceptance criteria

After step e) the USIM shall contain the following values:

EF<sub>LOCI</sub> (Location Information)

Logically: LAI-MCC: 242

LAI-MNC: 001 LAI-LAC: 0001 TMSI: "34567890"

Coding: B1 B2 **B**3 **B**4 B5 **B6 B**7 **B8 B9** B10 B11 Hex 34 90 42 02 10 00 01 FF 00 56 78

# 8 Subscription independent tests

# 8.1 Phone book procedures

## 8.1.1 Recognition of a previously changed phonebook

#### 8.1.1.1 Definition and applicability

If the UICC is inserted into a GSM terminal, the phonebook my have been altered in this GSM session. If the ADN entry has been changed or deleted, the GSM terminal will not be able to change the appropriate additional phonebook entries (e.g.  $EF_{ANR}$  Additional Number). In that case the UICC shall set a flag in the appropriate  $EF_{PBC}$  (phonebook Control). If the UICC is inserted in a 3G Terminal later, the 3G Terminal shall recognise the flag and the phonebook shall be synchronised by the Terminal. Once the Terminal recognise the set flag in the  $EF_{PBC}$ , the Terminal shall update the Change Counter in the  $EF_{CC}$ .

This test applies to all 3G Terminal using either ID-1 UICC or Plug-In UICC.

#### 8.1.1.2 Conformance requirement

The 3G Terminal shall recognise the set flag in the  $EF_{PBC}$  and then synchronise the phonebook. The Terminal shall also update  $EF_{CC}$  (Change Counter).

TS 31.121, subclause 4.4.2

#### 8.1.1.3 Test purpose

- 1) To verify that the 3G Terminal has recognised that the phonebook has been altered by a GSM Terminal.
- 2) To verify that the 3G Terminal does the synchronising of the changed phonebook entries.
- 3) To verify that the 3G Terminal updates the  $EF_{PBC}$  and  $EF_{CC}$ .

#### 8.1.1.4 Method of test

#### 8.1.1.4.1 Initial conditions

No USS is needed for this test.

The default UICC is used with the following exception:

EF<sub>ADN</sub> (Abbreviated Dialling Number)

Logically:

Record 1:

Length of alpha identifier: 32 characters;

Alpha identifier: "ABCDEFGHIJKLMNOPQRSTUVWXYZABCDEF";

Length of BCD number: "03";

TON and NPI: Telephony and Unknown;

Dialled number: 123; CCI: None; Ext1: None.

Record 1:

Coding: **B**1 **B**2 **B**3 **B32** B33 B34 **B35 B**36 **B37 B38** B39 **B46** Hex 41 42 43 46 03 81 21 F3 FF FF FF FF ... ...

EF<sub>PBC</sub> (Phonebook Control)

Logically:

Record 1:

The ADN Record No. 1 has been hanged by a GSM terminal.

Related ADN record is not hidden.

Coding: B1 B2 Hex 01 00

EF<sub>CC</sub> (Change Counter)

Logically: "000F"

Coding: B1 B2 Hex 00 0F

The UICC is installed into the Terminal

#### 8.1.1.4.2 Procedure

a) The 3G Terminal is powered on.

b) The Terminal shall stay powered on until the phonebook synchronisation procedures are finished. If the synchronisation is indicated by the Terminal, the Terminal shall only powered down after this indication is vanished.

#### 8.1.1.5 Acceptance criteria

1) After step b) the USIM shall contain the following values:

EF<sub>PBC</sub> (Phonebook Control)

Logically:

Record 1:

The entry control information is reset. Related ADN record is not hidden.

Coding: B1 B2 Hex 00 00

EF<sub>CC</sub> (Change Counter)

Logically: The counter is incremented to "0010"

Coding: B1 B2 Hex 00 10

#### 8.1.2 Update of the Phonebook Synchronisation Counter (PSC)

#### 8.1.2.1 Definition and applicability

The phonebook synchronisation Counter is used to unambiguously identify the status of the phonebook. Every time the phonebook is reset/deleted or the UID and/or the CC has run out of range, the PSC hall be regenerated.

The PSC is a part of the phonebook identifier.

This test applies to all 3G Terminal using either ID-1 UICC or Plug-in UICC.

#### 8.1.2.2 Conformance requirement

Every time either the UID or the CC is incremented by the Terminal, the value of the contend of the appropriate EF shall be tested. If either UID or CC has reached "FF FF", the related EF shall be set to "00 01" and the PSC is incremented.

TS 31.102, subclause 4.4.2.12.2

#### 8.1.2.3 Test purpose

- 1) To verify that the 3G Terminal has recognised that the values of UID and CC has changed.
- 2) To verify that the 3G Terminal reset the maximal value of  $EF_{UID}$  and  $EF_{CC}$  back to "00 01".
- 3) To verify that the 3G Terminal updates EF<sub>PSC</sub>.

#### 8.1.2.4 Method of test

#### 8.1.2.4.1 Initial conditions

No USS is needed for this test.

The default UICC is used with the following exception:

EF<sub>UID</sub> (Unique Identifier)

Logically: one record is set to "FF FF"

Coding: B1 B2 Hex FF FF

EF<sub>PUID</sub> (Previous Unique Identifier)

Logically: is set to "FF FF"

Coding: B1 B2 Hex FF FF

EF<sub>CC</sub> (Change Counter)

Logically: set to "FF FF"

Coding: B1 B2 Hex FF FF

EF<sub>PSC</sub> (Phonebook Synchronisation Counter)

Logically: set to "00 00 FF FF"

Coding: B1 B2 B3 B4 Hex 00 00 FF FF

At least one phonebook entry shall be empty and available for creating a new entry (e.g. an appropriate ADN record).

The UICC is installed into the Terminal and the UE is powered on and the correct PIN is entered.

#### 8.1.2.4.2 Procedure

a) A new phonebook entry shall be created.

Note: This may be done by storing a new telephone number in an empty ADN record.

b) The UE shall have given the time to perform the regeneration of the UID records.

Note: It is assumed that the UE will indicate the time it needs to perform the regeneration by displaying a busy signal to the use.

#### 8.1.2.5 Acceptance criteria

1) After step b) the USIM shall contain the following values:

The EF<sub>UID</sub> (Unique Identifier) shall have been regenerated. The value FF FF shall have been replaced by an appropriate value which shall be distinguishable to the maximum value (e.g. by having only 11 ADN records).

Logically: set to "xx xx"

Coding: B1 B2 Hex xx xx

Note: "xx xx" may have any value except "FF FF".

EF<sub>PUID</sub> (Previous Unique Identifier)

Logically: set to "00 01"

Coding: B1 B2 Hex 00 01

EF<sub>CC</sub> (Change Counter)

Logically: set to "00 01"

Coding: B1 B2 Hex 00 01

EF<sub>PSC</sub> (Phonebook Synchronisation Counter)

Logically: set to "00 01 00 00"

Coding: B1 B2 B3 B4 Hex 00 01 00 00

## 8.2 Short message handling report

## 8.2.1 Correct storage of a SMS on the USIM

#### 8.2.1.1 Definition and applicability

Once a SMS is received by the UE, the Terminal shall store the SMS on the USIM, if this is indicated by the class 2 of the SMS (USIM specific SMS). For this it is assumed, that at least one relevant SMS field are available on the USIM and they are indicated as empty. If all SMS data field are full, this shall be indicated in the SMS Status filed.

This test applies to all 3G Terminal accessing UTRAN and supporting "receive SMS" functionality.

#### 8.2.1.2 Conformance requirement

The received class 2 SMS shall be stored on the USIM in  $EF_{SMS}$ . The status of a received SMS, which has not been read yet, shall be set to "3" (SMS to be read). After the last empty SMS field is filled with a received SMS, the memory full flag shall be set in the  $EF_{SMSS}$ .

TS 23.038, clause 4.

TS 24.040 [13]

TS 31.102, subclause 4.2.25 and 4.2.28

#### 8.2.1.3 Test purpose

- 1) To verify that the 3G Terminal stored correctly the class 2 SMS on the USIM.
- 2) To verify that the 3G Terminal sets the status of a received, and not yet read SMS to "3" (SMS to be read).
- 3) To verify that the 3G Terminal sets the memory full flag in  $EF_{SMSS}$ .

#### 8.2.1.4 Method of test

#### 8.2.1.4.1 Initial conditions

The default UICC is used with the following exception:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available

User controlled PLMN selector available

Fixed dialling numbers available

Barred dialling numbers available

The GSM Access available

The Group Identifier level 1 and level 2 not available

SMS available

SMS Status available

Service n 33 (Packed Switched Domain) shall be set to '1'

Coding: B1 B2 B3 B4 B5

binary x1xx xx11 x11x xxxx xxxx 1x00 xxxx x1xx xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

EF<sub>SMS</sub> (Short Message Service)

At least 10 records.

Record 1 shall be empty.

Logically: Status byte set to empty.

Record 1:

B9 B10 B176 Coding: **B**1 B2 **B**3 **B**4 **B5 B6 B**7 **B8** B11 B12 00 00 00 00 00 00 00 Hex 00 00 00 00 00 FF

All other Record shall be full.

Logically: Status byte set to SMS read.

The text body of the record shall be filled with any appropriate text.

Records

Coding: **B**1 **B**2 В9 **B3 B**4 **B5 B6 B**7 **B8** B10 B11 B12 B176 ••• Hex 01 XX XXXX

Note: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3G TS 23.038

which represents the received SMS.

EF<sub>SMSS</sub> (SMS Status)

Logically: Last used TP-MR not defined.

Memory capacity available (flag unset b1="1").

Coding: B1 B2 Hex FF FF

The USS transmits on the BCCH, with the following network parameters:

Attach/detach: disabled
LAI (MCC/MNC/LAC): 246/081/0001
Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 8.2.1.4.2 Procedure

- a) After the UE is set to idle mode, a defined SMS with 160 characters shall be send to the UE.
- b) After the UE has indicated that a SMS was received, the SMS shall not be read. The UE is powered off.

#### 8.2.1.5 Acceptance criteria

1) After step b) the record of the EF<sub>SMS</sub> which was empty, shall contain the following values:

Logically: Status byte set to SMS to be read

The text of the received SMS shall be present in the record.

Record 1:

Coding:	B1	B2	В3	B4	B5	B6	B7	B8	B9	B10	B11	B12	 B176
Hex	03	XX	XX	XX	 XX								

Note: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3G TS 23.038 which represents the received SMS.

2) After step b) the memory flag in the EF<sub>SMSS</sub> shall be set to full.

EF<sub>SMSS</sub> (SMS Status)

Logically: Last used TP-MR shall be set to any appropriate value. Memory capacity available (flag set b1="0").

Coding: B1 B2 Hex FE xx

# 8.2.2 Correct reading of a SMS on the USIM

#### 8.2.2.1 Definition and applicability

A SMS which is stored but not yet read, is indicated as Status "3" (SMS to be read) on  $EF_{SMS}$ . The Terminal may indicate the user this status. After the SMS is read by the user, the status of the SMS shall be changed to "1" (SMS read).

This test applies to all 3G Terminal accessing UTRAN and supporting "receive SMS" functionality.

#### 8.2.2.2 Conformance requirement

A received shall be stored on the USIM in  $EF_{SMS}$ . At the time the SMS is read by the user, the status of a received SMS, shall be changed to "1" (SMS read).

TS 23.038, clause 4.

TS 23.040 [13]

TS 31.102, subclause 4.2.25 and subclause 4.2.28.

#### 8.2.2.3 Test purpose

- 1) To verify that the 3G Terminal read correctly the SMS on the USIM.
- 2) To verify that the 3G Terminal changes the status of a read SMS to "1" (SMS read).

#### 8.2.2.4 Method of test

#### 8.2.2.4.1 Initial conditions

The default UICC is used with the following exception:

EF<sub>UST</sub> (USIM Service Table)

Logically:

Local Phone Book available

User controlled PLMN selector available

Fixed dialling numbers available

Barred dialling numbers available

The GSM Access available

The Group Identifier level 1 and level 2 not available

SMS available

SMS Status available

Service n 33 (Packed Switched Domain) shall be set to '1'

Coding: B1 B2 B3 B4 B5

binary x1xx xx11 x11x xxxx xxxx 1x00 xxxx x1xx xxxx xxx1

The coding of EF<sub>UST</sub> shall conform with the capabilities of the USIM used.

EF<sub>SMSS</sub> (SMS Status)

Logically: Last used TP-MR not set.

Memory capacity available (flag unset b1="1").

Coding: B1 B2 Hex FF FF

EF<sub>SMS</sub> (Short Message Service)

Logically: Status byte set to SMS to be read.

A chosen test is written in the text body of the EF<sub>SMS</sub>.

Record 1:

Coding: **B**1 **B2 B3 B**4 **B5 B6 B**7 **B8 B9** B10 B11 B12 B176 Hex 03 XXxxXXXXXXXX XX XX XX XX XX XX

Note: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3G TS 23.038

which represents the stored SMS.

At least 9 records.

Logically: Status byte set to empty

no text is written (Remainder Bytes set to "00").

Record:

Coding: B1 В9 B176 **B**2 **B3 B**4 **B5 B6 B**7 **B8** B10 B11 B12 Hex 00 00 00 00 00 00 00 00 00 00 00 00 FF

A USS is only needed to bring the UE into a defined idle mode. The USS transmit on the BCCH:

Attach/detach: disabled

LAI (MCC/MNC/LAC):246/081/0001 Access control: unrestricted.

User Equipment:

The UE is in MM-state "idle, updated".

#### 8.2.2.4.2 Procedure

- a) After the UE has brought in idle state, the SMS shall be read.
- b) The UE is powered off.

#### 8.2.2.5 Acceptance criteria

- 1) After a) the correct text of the SMS shall be read from the UE display.
- 2) After step b) the  $EF_{SMS}$  record 1 shall contains the following values:

Logically: Status byte set to SMS read.

the text of the SMS shall be unchanged.

Dagard	١.
Record	1.

Coding:	B1	B2	B3	B4	B5	B6	B7	B8	В9	B10	B11	B12	 B176
Hex	01	XX	XX	XX	 XX								

Note: "xx" shall be the appropriate text using the SMS default 7-bit coded alphabet as defined in 3G TS 23.038 which represents the stored SMS.

# Annex A (informative): Change history

The table below indicates all change requests that have been incorporated into the present document since it was initially approved by 3GPP TSG-T.

	Change history										
Date	TSG#	TSG Doc	CR	Rev	Cat	Subject/Comment	Old	New			
2000-12	TP-10	TP-000205				Final draft approved at TSG-T #10	2.0.0	3.0.0			
2001-06	TP-12	TP-010108	001		F	Correction of EF(UST) used in the Test USIM	3.0.0	4.0.0			
		TP-010108	002		F	Correction of EF(ECC) used in the Test USIM					
		TP-010108	003		F	Correction to EF(HPLMN) regarding test USIMs					
		TP-010108	004		F	Deletion of a duplicated test case					
		TP-010108	005		F	Modification of EF ECC used in the Test USIM					

# History

	Document history							
V4.0.0	June 2001	Publication						