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#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

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## Foreword

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## 1 Scope

The present document specifies the coding, multiplexing and mapping to physical channels for 5G NR.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 38.201: "NR; Physical Layer - General Description"
[3]	3GPP TS 38.202: "NR; Services provided by the physical layer"
[4]	3GPP TS 38.211: "NR; Physical channels and modulation"
[5]	3GPP TS 38.213: "NR; Physical layer procedures for control"
[6]	3GPP TS 38.214: "NR; Physical layer procedures for data"
[7]	3GPP TS 38.215: "NR; Physical layer measurements"
[8]	3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification"
[9]	3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification"
[10]	3GPP TS 38.473: "NG-RAN; F1 Application Protocol (F1AP)"
[11]	3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding"
[12]	3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services"

## 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BCH Broadcast channel CBG Code block group

CBGTI Code block group transmission information

CG Configured grant

CG-DFI CG downlink feedback information CG-UCI CG uplink control information

CORESET Control resource set

COT Channel occupancy time

CQI Channel quality indicator

CRC Cyclic redundancy check

CRI CSI-RS resource indicator

CSI Channel state information

CSI-RS CSI reference signal

DAI Downlink assignment index DCI Downlink control information

DL Downlink

DL-SCH Downlink shared channel

DMRS Dedicated demodulation reference signal

HARQ Hybrid automatic repeat request

HARQ-ACK Hybrid automatic repeat request acknowledgement

LDPC Low density parity check

LI Layer indicator

MCS Modulation and coding scheme

OFDM Orthogonal frequency division multiplex

PBCH Physical broadcast channel

PCH Paging channel

PDCCH Physical downlink control channel
PDSCH Physical downlink shared channel
PMI Precoding matrix indicator
PRB Physical resource block

**PRACH** Physical random access channel Physical sidelink broadcast channel **PSBCH** Physical sidelink control channel **PSCCH** Physical sidelink feedback channel **PSFCH** Physical sidelink shared channel **PSSCH PTRS** Phase-tracking reference signal **PUCCH** Physical uplink control channel **PUSCH** Physical uplink shared channel Random access channel **RACH** 

RI Rank indicator

RSRP Reference signal received power SCI Sidelink control information

SFCI Sidelink feedback control information

SFN System frame number

SL Sidelink

SL-BCH Sidelink broadcast channel SL-SCH Sidelink shared channel SR Scheduling request SRS Sounding reference signal SS Synchronisation signal Supplementary uplink **SUL** Transmit power control **TPC** Transport channel **TrCH** 

UCI Uplink control information

UE User equipment

UL Uplink

UL-SCH Uplink shared channel VRB Virtual resource block ZP CSI-RS Zero power CSI-RS

## 4 Mapping to physical channels

## 4.1 Uplink

Table 4.1-1 specifies the mapping of the uplink transport channels to their corresponding physical channels. Table 4.1-2 specifies the mapping of the uplink control channel information to its corresponding physical channel.

**Table 4.1-1** 

TrCH	Physical Channel
UL-SCH	PUSCH
RACH	PRACH

**Table 4.1-2** 

Control information	Physical Channel
UCI	PUCCH, PUSCH

#### 4.2 Downlink

Table 4.2-1 specifies the mapping of the downlink transport channels to their corresponding physical channels. Table 4.2-2 specifies the mapping of the downlink control channel information to its corresponding physical channel.

**Table 4.2-1** 

TrCH	Physical Channel
DL-SCH	PDSCH
BCH	PBCH
PCH	PDSCH

**Table 4.2-2** 

Control information	Physical Channel
DCI	PDCCH

#### 4.3 Sidelink

Table 4.3-1 specifies the mapping of the sidelink transport channels to their corresponding physical channels. Table 4.3-2 specifies the mapping of the sidelink control information and sidelink feedback control information to their corresponding physical channels.

**Table 4.3-1** 

TrCH	Physical Channel
SL-SCH	PSSCH
SL-BCH	PSBCH

**Table 4.3-2** 

Control information	Physical Channel
1 <sup>st</sup> -stage SCI	PSCCH
2 <sup>nd</sup> -stage SCI	PSSCH
SFCI	PSFCH

## 5 General procedures

Data and control streams from/to MAC layer are encoded /decoded to offer transport and control services over the radio transmission link. Channel coding scheme is a combination of error detection, error correcting, rate matching, interleaving and transport channel or control information mapping onto/splitting from physical channels.

#### 5.1 CRC calculation

Denote the input bits to the CRC computation by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the size of the input sequence and L is the number of parity bits. The parity bits are generated by one of the following cyclic generator polynomials:

- $g_{\text{CRC24A}}(D) = [D^{24} + D^{23} + D^{18} + D^{17} + D^{14} + D^{11} + D^{10} + D^7 + D^6 + D^5 + D^4 + D^3 + D + 1]$  for a CRC length L = 24;
- $g_{CRC24B}(D) = [D^{24} + D^{23} + D^6 + D^5 + D + 1]$  for a CRC length L = 24;
- $g_{\text{CRC24C}}(D) = [D^{24} + D^{23} + D^{21} + D^{20} + D^{17} + D^{15} + D^{13} + D^{12} + D^{8} + D^{4} + D^{2} + D + 1]$  for a CRC length L = 24;
- $g_{CPC16}(D) = [D^{16} + D^{12} + D^5 + 1]$  for a CRC length L = 16;
- $g_{CRCII}(D) = [D^{11} + D^{10} + D^9 + D^5 + 1]$  for a CRC length L=11;
- $g_{CRC6}(D) = [D^6 + D^5 + 1]$  for a CRC length L = 6.

The encoding is performed in a systematic form, which means that in GF(2), the polynomial:

$$a_0 D^{A+L-1} + a_1 D^{A+L-2} + ... + a_{A-1} D^L + p_0 D^{L-1} + p_1 D^{L-2} + ... + p_{L-2} D^1 + p_{L-1}$$

yields a remainder equal to 0 when divided by the corresponding CRC generator polynomial.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L. The relation between  $a_k$  and  $b_k$  is:

$$b_k = a_k$$
 for  $k = 0,1,2,...,A-1$ 

$$b_k = p_{k-A}$$
 for  $k = A, A+1, A+2,..., A+L-1$ .

## 5.2 Code block segmentation and code block CRC attachment

## 5.2.1 Polar coding

The input bit sequence to the code block segmentation is denoted by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A > 0.

if 
$$I_{seg} = 1$$

Number of code blocks: C = 2;

else

Number of code blocks: C=1

end if

$$A' = \lceil A/C \rceil \cdot C;$$

for i = 0 to A'-A-1

$$a'_{i} = 0$$
;

end for

for i = A' - A to A' - 1

$$a'_{i} = a_{i-(A'-A)};$$

end for

s=0;

for r=0 to C-1

for k = 0 to A'/C-1

$$c_{rk} = a'_s;$$

$$s = s + 1$$
;

end for

The sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(A^{\prime}/C-1)}$  is used to calculate the CRC parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  according to Clause 5.1 with a generator polynomial of length L.

for k = A'/C to A'/C + L - 1

$$c_{rk} = p_{r(k-A'/C)};$$

end for

end for

The value of A is no larger than 1706.

## 5.2.2 Low density parity check coding

The input bit sequence to the code block segmentation is denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B > 0. If B is larger than the maximum code block size  $K_{cb}$ , segmentation of the input bit sequence is performed and an additional CRC sequence of L = 24 bits is attached to each code block.

For LDPC base graph 1, the maximum code block size is:

- 
$$K_{\rm cb} = 8448$$
.

For LDPC base graph 2, the maximum code block size is:

$$-K_{\rm ch} = 3840.$$

Total number of code blocks *C* is determined by:

if 
$$B \leq K_{cb}$$

L=0

Number of code blocks: C = 1

B' = B

else

L = 24

Number of code blocks:  $C = \lceil B/(K_{cb} - L) \rceil$ .

 $B' = B + C \cdot L$ 

end if

The bits output from code block segmentation are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where  $0 \le r < C$  is the code block number, and  $K_r = K$  is the number of bits for the code block number r.

The number of bits K in each code block is calculated as:

K'=B'/C;

For LDPC base graph 1,

 $K_b = 22$ .

For LDPC base graph 2,

if B > 640

 $K_b = 10$ ;

elseif B > 560

 $K_b = 9$ ;

elseif B > 192

 $K_b = 8$ ;

else

 $K_b = 6$ ;

end if

find the minimum value of Z in all sets of lifting sizes in Table 5.3.2-1, denoted as  $Z_c$ , such that  $K_b \cdot Z_c \ge K'$ , and set  $K = 22Z_c$  for LDPC base graph 1 and  $K = 10Z_c$  for LDPC base graph 2;

The bit sequence  $c_{rk}$  is calculated as:

s=0;

for r=0 to C-1

for k = 0 to K'-L-1

 $c_{rk}=b_{s};$ 

s = s + 1:

```
end for  \text{if } C > 1         The sequence c_{r0}, c_{r1}, c_{r2}, c_{r3}, \dots, c_{r(K'-L-1)}  is used to calculate the CRC parity bits p_{r0}, p_{r1}, p_{r2}, \dots, p_{r(L-1)}  according to Clause 5.1 with the generator polynomial g_{\text{CRC24B}}(D).  \text{for } k = K' - L \text{ to } K' - 1   c_{rk} = p_{r(k+L-K')};  end for end if  \text{for } k = K' \text{ to } K - 1 \text{ --- Insertion of filler bits }   c_{rk} = \langle NULL \rangle;  end for end for end for
```

## 5.3 Channel coding

Usage of coding scheme for the different types of TrCH is shown in table 5.3-1. Usage of coding scheme for the different control information types is shown in table 5.3-2.

Table 5.3-1: Usage of channel coding scheme for TrCHs

TrCH	Coding scheme
UL-SCH	
DL-SCH	LDPC
PCH	
BCH	Polar code

Table 5.3-2: Usage of channel coding scheme for control information

Control Information	Coding scheme
DCI	Polar code
UCI	Block code
OCI	Polar code

## 5.3.1 Polar coding

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ , where  $N = 2^n$  and the value of n is determined by the following:

Denote by E the rate matching output sequence length as given in Clause 5.4.1;

If 
$$E \le (9/8) \cdot 2^{(\lceil \log_2 E \rceil - 1)}$$
 and  $K/E < 9/16$   
 $n_1 = \lceil \log_2 E \rceil - 1;$ 

```
else n_1 = \lceil \log_2 E \rceil; end if R_{\min} = 1/8; n_2 = \lceil \log_2 (K/R_{\min}) \rceil; n = \max \{\min \{n_1, n_2, n_{\max}\}, n_{\min}\} where n_{\min} = 5.
```

UE is not expected to be configured with  $K + n_{PC} > E$ , where  $n_{PC}$  is the number of parity check bits defined in Clause 5.3.1.2.

#### 5.3.1.1 Interleaving

The bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  is interleaved into bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  as follows:

$$c'_{k} = c_{\Pi(k)}, k = 0,1,...,K-1$$

where the interleaving pattern  $\Pi(k)$  is given by the following:

```
if I_{lL} = 0 \Pi(k) = k , \ k = 0,1,...,K-1 else k = 0 ; for m = 0 to K_{lL}^{\max} - 1 if \Pi_{lL}^{\max}(m) \ge K_{lL}^{\max} - K \Pi(k) = \Pi_{lL}^{\max}(m) - \left(K_{lL}^{\max} - K\right); k = k+1; end if end for end if
```

where  $\Pi_L^{\text{max}}(m)$  is given by Table 5.3.1.1-1 and  $K_L^{\text{max}} = 164$ .

m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$
0	0	28	67	56	122	84	68	112	33	140	38
1	2	29	69	57	123	85	73	113	36	141	144
2	4	30	70	58	126	86	78	114	44	142	39
3	7	31	71	59	127	87	84	115	47	143	145
4	9	32	72	60	129	88	90	116	64	144	40
5	14	33	76	61	132	89	92	117	74	145	146
6	19	34	77	62	134	90	94	118	79	146	41
7	20	35	81	63	138	91	96	119	85	147	147
8	24	36	82	64	139	92	99	120	97	148	148
9	25	37	83	65	140	93	102	121	100	149	149
10	26	38	87	66	1	94	105	122	103	150	150
11	28	39	88	67	3	95	107	123	117	151	151
12	31	40	89	68	5	96	109	124	125	152	152
13	34	41	91	69	8	97	112	125	131	153	153
14	42	42	93	70	10	98	114	126	136	154	154
15	45	43	95	71	15	99	116	127	142	155	155
16	49	44	98	72	21	100	121	128	12	156	156
17	50	45	101	73	27	101	124	129	17	157	157
18	51	46	104	74	29	102	128	130	23	158	158
19	53	47	106	75	32	103	130	131	37	159	159
20	54	48	108	76	35	104	133	132	48	160	160
21	56	49	110	77	43	105	135	133	75	161	161
22	58	50	111	78	46	106	141	134	80	162	162
23	59	51	113	79	52	107	6	135	86	163	163
24	61	52	115	80	55	108	11	136	137		
25	62	53	118	81	57	109	16	137	143		
26	65	54	119	82	60	110	22	138	13		
27	66	55	120	83	63	111	30	139	18		

Table 5.3.1.1-1: Interleaving pattern  $\Pi_{LL}^{\max}(m)$ 

#### 5.3.1.2 Polar encoding

The Polar sequence  $\mathbf{Q}_0^{N_{\max}-1} = \{Q_0^{N_{\max}}, Q_1^{N_{\max}}, ..., Q_{N_{\max}-1}^{N_{\max}}\}$  is given by Table 5.3.1.2-1, where  $0 \le Q_i^{N_{\max}} \le N_{\max} - 1$  denotes a bit index before Polar encoding for  $i = 0,1,...,N_{\max} - 1$  and  $N_{\max} = 1024$ . The Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  is in ascending order of reliability  $W(Q_0^{N_{\max}}) < W(Q_1^{N_{\max}}) < ... < W(Q_N^{N_{\max}})$ , where  $W(Q_i^{N_{\max}})$  denotes the reliability of bit index  $Q_i^{N_{\max}}$ .

For any code block encoded to N bits, a same Polar sequence  $\mathbf{Q}_0^{N-1} = \{Q_0^N, Q_1^N, Q_2^N, ..., Q_{N-1}^N\}$  is used. The Polar sequence  $\mathbf{Q}_0^{N-1}$  is a subset of Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  with all elements  $Q_i^{N_{\max}}$  of values less than N, ordered in ascending order of reliability  $W(Q_0^N) < W(Q_1^N) < W(Q_2^N) < ... < W(Q_{N-1}^N)$ .

Denote  $\overline{\mathbf{Q}}_{I}^{N}$  as a set of bit indices in Polar sequence  $\mathbf{Q}_{0}^{N-1}$ , and  $\overline{\mathbf{Q}}_{F}^{N}$  as the set of other bit indices in Polar sequence  $\mathbf{Q}_{0}^{N-1}$ , where  $\overline{\mathbf{Q}}_{I}^{N}$  and  $\overline{\mathbf{Q}}_{F}^{N}$  are given in Clause 5.4.1.1,  $\left|\overline{\mathbf{Q}}_{I}^{N}\right| = K + n_{PC}$ ,  $\left|\overline{\mathbf{Q}}_{F}^{N}\right| = N - \left|\overline{\mathbf{Q}}_{I}^{N}\right|$ , and  $n_{PC}$  is the number of parity check bits.

Denote 
$$\mathbf{G}_N = (\mathbf{G}_2)^{\otimes n}$$
 as the *n*-th Kronecker power of matrix  $\mathbf{G}_2$ , where  $\mathbf{G}_2 = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ .

For a bit index j with j=0,1,...,N-1, denote  $\mathbf{g}_j$  as the j-th row of  $\mathbf{G}_N$  and  $w(\mathbf{g}_j)$  as the row weight of  $\mathbf{g}_j$ , where  $w(\mathbf{g}_j)$  is the number of ones in  $\mathbf{g}_j$ . Denote the set of bit indices for parity check bits as  $\mathbf{Q}_{PC}^N$ , where  $|\mathbf{Q}_{PC}^N| = n_{PC}$ . A number of  $(n_{PC} - n_{PC}^{wm})$  parity check bits are placed in the  $(n_{PC} - n_{PC}^{wm})$  least reliable bit indices in  $\overline{\mathbf{Q}}_I^N$ . A number of  $n_{PC}^{wm}$  other parity check bits are placed in the bit indices of minimum row weight in  $\widetilde{\mathbf{Q}}_I^N$ , where  $\widetilde{\mathbf{Q}}_I^N$  denotes the  $|\overline{\mathbf{Q}}_I^N| - n_{PC}$  most reliable bit indices in  $\overline{\mathbf{Q}}_I^N$ ; if there are more than  $n_{PC}^{wm}$  bit indices of the same minimum row weight in  $\widetilde{\mathbf{Q}}_I^N$ , the  $n_{PC}^{wm}$  other parity check bits are placed in the  $n_{PC}^{wm}$  bit indices of the highest reliability and the minimum row weight in  $\widetilde{\mathbf{Q}}_I^N$ .

Generate  $\mathbf{u} = [u_0 \ u_1 \ u_2 \dots u_{N-1}]$  according to the following:

$$k = 0$$
;

if 
$$n_{PC} > 0$$

```
y_0 = 0; y_1 = 0; y_2 = 0; y_3 = 0; y_4 = 0;
    for n = 0 to N - 1
         y_t = y_0; y_0 = y_1; y_1 = y_2; y_2 = y_3; y_3 = y_4; y_4 = y_t;
        if n \in \overline{\mathbf{Q}}_{I}^{N}
            if n \in \mathbf{Q}_{PC}^N
               u_n = y_0;
             else
                 u_n = c_k;
                 k = k + 1;
                 y_0 = y_0 \oplus u_n;
             end if
        else
             u_n = 0;
        end if
    end for
else
    for n = 0 to N - 1
        if n \in \overline{\mathbf{Q}}_{I}^{N}
            u_n = c_k;
             k = k + 1;
        else
            u_n = 0;
        end if
    end for
end if
```

The output after encoding  $\mathbf{d} = \begin{bmatrix} d_0 & d_1 & d_2 & \dots & d_{N-1} \end{bmatrix}$  is obtained by  $\mathbf{d} = \mathbf{u}\mathbf{G}_N$ . The encoding is performed in GF(2).

Table 5.3.1.2-1: Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  and its corresponding reliability  $W(Q_i^{N_{\max}})$ 

$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{ m max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$	$W(Q_i^{N_{\max}})$	$Q_i^{N_{\max}}$
0	0	128	518	256	94	384	214	512	364	640	414	768	819	896	966
1 2	2	129 130	54 83	257 258	204 298	385 386	309 188	513 514	654 659	641 642	223 663	769 770	814 439	897 898	755 859
3	4	131	57	259	400	387	449	515	335	643	692	771	929	899	940
4	8	132	521	260	608	388	217	516	480	644	835	772	490	900	830
<u>5</u>	16 32	133 134	112 135	261 262	352 325	389 390	408 609	517 518	315 221	645 646	619 472	773 774	623 671	901 902	911 871
7	3	135	78	263	533	391	596	519	370	647	455	775	739	903	639
8	5	136	289	264	155	392	551	520	613	648	796	776	916	904	888
9	64 9	137 138	194 85	265 266	210 305	393 394	650 229	521 522	422 425	649 650	809 714	777 778	463 843	905 906	479 946
11	6	139	276	267	547	395	159	523	451	651	721	779	381	907	750
12	17	140	522	268	300	396	420	524	614	652	837	780	497	908	969
13 14	10 18	141 142	58 168	269 270	109 184	397 398	310 541	525 526	543 235	653 654	716 864	781 782	930 821	909 910	508 861
15	128	143	139	271	534	399	773	527	412	655	810	783	726	911	757
16	12	144	99	272	537	400	610	528	343	656	606	784	961	912	970
17 18	33 65	145 146	86 60	273 274	115 167	401 402	657 333	529 530	372 775	657 658	912	785 786	872 492	913 914	919 875
19	20	147	280	275	225	402	119	531	317	659	722 696	787	631	915	862
20	256	148	89	276	326	404	600	532	222	660	377	788	729	916	758
21	34	149	290	277	306	405	339	533	426	661	435	789	700	917	948
22	24 36	150 151	529 524	278 279	772 157	406 407	218 368	534 535	453 237	662 663	817 319	790 791	443 741	918 919	977 923
24	7	152	196	280	656	408	652	536	559	664	621	792	845	920	972
25	129	153	141	281	329	409	230	537	833	665	812	793	920	921	761
26 27	66 512	154 155	101 147	282 283	110 117	410 411	391 313	538 539	804 712	666 667	484 430	794 795	382 822	922 923	877 952
28	11	156	176	284	212	411	450	540	834	668	838	795	851	923	495
29	40	157	142	285	171	413	542	541	661	669	667	797	730	925	703
30	68	158	530	286	776	414	334	542	808 779	670	488	798	498	926	935
31 32	130 19	159 160	321 31	287 288	330 226	415 416	233 555	543 544	617	671 672	239 378	799 800	880 742	927 928	978 883
33	13	161	200	289	549	417	774	545	604	673	459	801	445	929	762
34	48	162	90	290	538	418	175	546	433	674	622	802	471	930	503
35 36	14 72	163 164	545 292	291 292	387 308	419 420	123 658	547 548	720 816	675 676	627 437	803 804	635 932	931 932	925 878
37	257	165	322	293	216	421	612	549	836	677	380	805	687	933	735
38	21	166	532	294	416	422	341	550	347	678	818	806	903	934	993
39 40	132 35	167 168	263 149	295 296	271 279	423 424	777 220	551 552	897 243	679 680	461 496	807 808	825 500	935 936	885 939
41	258	169	102	297	158	425	314	553	662	681	669	809	846	937	994
42	26	170	105	298	337	426	424	554	454	682	679	810	745	938	980
43 44	513	171	304	299	550	427	395	555	318	683	724	811	826	939	926
45	80 37	172 173	296 163	300 301	672 118	428 429	673 583	556 557	675 618	684 685	841 629	812 813	732 446	940 941	764 941
46	25	174	92	302	332	430	355	558	898	686	351	814	962	942	967
47	22	175	47	303	579	431	287	559	781	687	467	815	936	943	886
48 49	136 260	176 177	267 385	304 305	540 389	432 433	183 234	560 561	376 428	688 689	438 737	816 817	475 853	944 945	831 947
50	264	178	546	306	173	434	125	562	665	690	251	818	867	946	507
51	38	179	324	307	121	435	557	563	736	691	462	819	637	947	889
52 53	514 96	180 181	208 386	308 309	553 199	436 437	660 616	564 565	567 840	692 693	442 441	820 821	907 487	948 949	984 751
54	67	182	150	310	784	438	342	566	625	694	469	822	695	950	942
55	41	183	153	311	179	439	316	567	238	695	247	823	746	951	996
56	144	184	165	312	228	440	241	568	359	696	683	824	828	952	971
57 58	28 69	185 186	106 55	313 314	338 312	441 442	778 563	569 570	457 399	697 698	738	825 826	753 854	953 954	890 509
59	42	187	328	315	704	443	345	571	787	699	899	827	857	955	949
60	516	188	536	316	390	444	452	572	591	700	670	828	504	956	973
61 62	49 74	189 190	577 548	317 318	174 554	445 446	397 403	573 574	678 434	701 702	783 849	829 830	799 255	957 958	1000 892
63	272	191	113	319	581	447	207	575	677	703	820	831	964	959	950
64	160	192	154	320	393	448	674	576	349	704	728	832	909	960	863
65 66	520 288	193 194	79 269	321 322	283 122	449 450	558 785	577 578	245 458	705 706	928 791	833 834	719 477	961 962	759 1008
67	528	194	108	323	448	450	432	579	666	706	367	835	915	962	510
68	192	196	578	324	353	452	357	580	620	708	901	836	638	964	979
69	544	197	224	325	561	453	187 236	581	363 127	709	630	837	748 944	965	953
70 71	70 44	198 199	166 519	326 327	203 63	454 455	664	582 583	191	710 711	685 844	838 839	869	966 967	763 974
72	131	200	552	328	340	456	624	584	782	712	633	840	491	968	954
73	81	201	195	329	394	457	587	585	407	713	711	841	699	969	879
74 75	50 73	202 203	270 641	330 331	527 582	458 459	780 705	586 587	436 626	714 715	253 691	842 843	754 858	970 971	981 982
76	15	204	523	332	556	460	126	588	571	716	824	844	478	972	927
77	320	205	275	333	181	461	242	589	465	717	902	845	968	973	995
78 79	133 52	206 207	580 291	334 335	295 285	462 463	565	590 591	681 246	718 719	686 740	846 847	383 910	974 975	765 956
80	23	207	59	336	232	464	398 346	591	707	719	850	848	815	975	887
81	134	209	169	337	124	465	456	593	350	721	375	849	976	977	985
82	384	210	560	338	205	466	358	594	599	722	444	850	870	978	997
83 84	76 137	211 212	114 277	339 340	182 643	467 468	405 303	595 596	668 790	723 724	470 483	851 852	917 727	979 980	986 943
85	82	213	156	341	562	469	569	597	460	725	415	853	493	981	891
86	56	214	87	342	286	470	244	598	249	726	485	854	873	982	998

87	27	215	197	343	585	471	595	599	682	727	905	855	701	983	766
88	97	216	116	344	299	472	189	600	573	728	795	856	931	984	511
89	39	217	170	345	354	473	566	601	411	729	473	857	756	985	988
90	259	218	61	346	211	474	676	602	803	730	634	858	860	986	1001
91	84	219	531	347	401	475	361	603	789	731	744	859	499	987	951
92	138	220	525	348	185	476	706	604	709	732	852	860	731	988	1002
93	145	221	642	349	396	477	589	605	365	733	960	861	823	989	893
94	261	222	281	350	344	478	215	606	440	734	865	862	922	990	975
95	29	223	278	351	586	479	786	607	628	735	693	863	874	991	894
96	43	224	526	352	645	480	647	608	689	736	797	864	918	992	1009
97	98	225	177	353	593	481	348	609	374	737	906	865	502	993	955
98	515	226	293	354	535	482	419	610	423	738	715	866	933	994	1004
99	88	227	388	355	240	483	406	611	466	739	807	867	743	995	1010
100	140	228	91	356	206	484	464	612	793	740	474	868	760	996	957
101	30	229	584	357	95	485	680	613	250	741	636	869	881	997	983
102	146	230	769	358	327	486	801	614	371	742	694	870	494	998	958
103	71	231	198	359	564	487	362	615	481	743	254	871	702	999	987
104	262	232	172	360	800	488	590	616	574	744	717	872	921	1000	1012
105	265	233	120	361	402	489	409	617	413	745	575	873	501	1001	999
106	161	234	201	362	356	490	570	618	603	746	913	874	876	1002	1016
107	576	235	336	363	307	491	788	619	366	747	798	875	847	1003	767
108	45	236	62	364	301	492	597	620	468	748	811	876	992	1004	989
109	100	237	282	365	417	493	572	621	655	749	379	877	447	1005	1003
110	640	238	143	366	213	494	219	622	900	750	697	878	733	1006	990
111	51	239	103	367	568	495	311	623	805	751	431	879	827	1007	1005
112	148	240	178	368	832	496	708	624	615	752	607	880	934	1008	959
113	46	241	294	369	588	497	598	625	684	753	489	881	882	1009	1011
114	75	242	93	370	186	498	601	626	710	754	866	882	937	1010	1013
115	266	243	644	371	646	499	651	627	429	755	723	883	963	1011	895
116	273	244	202	372	404	500	421	628	794	756	486	884	747	1012	1006
117	517	245	592	373	227	501	792	629	252	757	908	885	505	1013	1014
118	104	246	323	374	896	502	802	630	373	758	718	886	855	1014	1017
119	162	247	392	375	594	503	611	631	605	759	813	887	924	1015	1018
120	53	248	297	376	418	504	602	632	848	760	476	888	734	1016	991
121	193	249	770	377	302	505	410	633	690	761	856	889	829	1017	1020
122	152	250	107	378	649	506	231	634	713	762	839	890	965	1018	1007
123	77	251	180	379	771	507	688	635	632	763	725	891	938	1019	1015
124	164	252	151	380	360	508	653	636	482	764	698	892	884	1020	1019
125	768	253	209	381	539	509	248	637	806	765	914	893	506	1021	1021
126	268	254	284	382	111	510	369	638	427	766	752	894	749	1022	1022
127	274	255	648	383	331	511	190	639	904	767	868	895	945	1023	1023

## 5.3.2 Low density parity check coding

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode as defined in Clause 5.2.2. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ , where  $N = 66Z_c$  for LDPC base graph 1 and  $N = 50Z_c$  for LDPC base graph 2, and the value of  $Z_c$  is given in Clause 5.2.2.

For a code block encoded by LDPC, the following encoding procedure applies:

```
1) Find the set with index i_{LS} in Table 5.3.2-1 which contains Z_c.
```

2) for 
$$k = 2Z_c$$
 to  $K-1$ 

if  $c_k \neq < NULL >$ 

$$d_{k-2Z_c} = c_k;$$
else
$$c_k = 0;$$

$$d_{k-2Z_c} = < NULL >;$$
end if

3) Generate  $N + 2Z_c - K$  parity bits  $\mathbf{w} = \begin{bmatrix} w_0, w_1, w_2, ..., w_{N+2Z_c-K-1} \end{bmatrix}^T$  such that  $\mathbf{H} \times \begin{bmatrix} \mathbf{c} \\ \mathbf{w} \end{bmatrix} = \mathbf{0}$ , where

 $\mathbf{c} = \begin{bmatrix} c_0, c_1, c_2, ..., c_{K-1} \end{bmatrix}^T; \ \mathbf{0} \ \text{ is a column vector of all elements equal to } 0. \ \text{The encoding is performed in GF}(2).$ 

For LDPC base graph 1, a matrix of  $\mathbf{H}_{\mathrm{BG}}$  has 46 rows with row indices i=0,1,2,...,45 and 68 columns with column indices j=0,1,2,...,67. For LDPC base graph 2, a matrix of  $\mathbf{H}_{\mathrm{BG}}$  has 42 rows with row indices i=0,1,2,...,41 and 52 columns with column indices j=0,1,2,...,51. The elements in  $\mathbf{H}_{\mathrm{BG}}$  with row and column indices given in Table 5.3.2-2 (for LDPC base graph 1) and Table 5.3.2-3 (for LDPC base graph 2) are of value 1, and all other elements in  $\mathbf{H}_{\mathrm{BG}}$  are of value 0.

The matrix **H** is obtained by replacing each element of  $\mathbf{H}_{BG}$  with a  $Z_c \times Z_c$  matrix, according to the following:

- Each element of value 0 in  $\mathbf{H}_{BG}$  is replaced by an all zero matrix  $\mathbf{0}$  of size  $Z_c \times Z_c$ ;
- Each element of value 1 in  $\mathbf{H}_{BG}$  is replaced by a circular permutation matrix  $\mathbf{I}(P_{i,j})$  of size  $Z_c \times Z_c$ , where i and j are the row and column indices of the element, and  $\mathbf{I}(P_{i,j})$  is obtained by circularly shifting the identity matrix  $\mathbf{I}$  of size  $Z_c \times Z_c$  to the right  $P_{i,j}$  times. The value of  $P_{i,j}$  is given by  $P_{i,j} = \text{mod}(V_{i,j}, Z_c)$ . The value of  $V_{i,j}$  is given by Tables 5.3.2-2 and 5.3.2-3 according to the set index  $i_{LS}$  and LDPC base graph.

4) for 
$$k = K$$
 to  $N + 2Z_c - 1$ 

$$d_{k-2Z} = w_{k-K};$$

end for

Table 5.3.2-1: Sets of LDPC lifting size Z

Set index ( $i_{LS}$ )	Set of lifting sizes ( $Z$ )
0	{2, 4, 8, 16, 32, 64, 128, 256}
1	{3, 6, 12, 24, 48, 96, 192, 384}
2	{5, 10, 20, 40, 80, 160, 320}
3	{7, 14, 28, 56, 112, 224}
4	{9, 18, 36, 72, 144, 288}
5	{11, 22, 44, 88, 176, 352}
6	{13, 26, 52, 104, 208}
7	{15, 30, 60, 120, 240}

Table 5.3.2-2: LDPC base graph 1 (  $\mathbf{H}_{\mathrm{BG}}$  ) and its parity check matrices (  $V_{i,j}$  )

H	$\mathbf{I}_{\mathrm{BG}}$	$V_{i,j}$								H	I <sub>BG</sub>				$V_{i}$	, j			
Row	Column				Set ind	$ex i_{LS}$				Row	Column				Set inde	$ex i_{LS}$			
i	j	0	1	2	3	4	5	6	7	i	j	0	1	2	3	4	5	6	7
	0	250	307	73	223	211	294	0	135		1	96	2	290	120	0	348	6	138
	2	69 226	19 50	15 103	16 94	198 188	118 167	0	227 126		10 13	65 63	210 318	60 130	131 209	183 108	15 81	81 182	220 173
	3	159	369	49	91	186	330	0	134	15	18	75	55	184	209	68	176	53	142
	5	100	181	240	74	219	207	0	84		25	179	269	51	81	64	113	46	49
	<u>6</u> 9	10 59	216 317	39 15	10	4 29	165 243	0	83 53		37 1	0 64	0 13	0 69	0 154	0 270	0 190	0 88	0 78
	10	229	288	162	205	144	250	0	225		3	49	338	140	164	13	293	198	152
0	11 12	110 191	109 17	215 164	216 21	116 216	1 339	0	205 128	16	11 20	49 51	57 289	45 115	43 189	99 54	332 331	160 122	84 5
U	13	9	357	133	215	115	201	0	75		22	154	57	300	101	0	114	182	205
	15	195	215	298	14	233	53	0	135		38	0	0	0	0	0	0	0	0
	16 18	23 190	106 242	110 113	70 141	144 95	347 304	0	217 220		0 14	7 164	260 303	257 147	56 110	153 137	110 228	91 184	183 112
	19	35	180	16	198	216	167	0	90	17	16	59	81	128	200	0	247	30	106
	20	239	330	189	104	73	47	0	105	17	17	1	358	51	63	0	116	3	219
	21 22	31 1	346 1	32 1	81 1	261 1	188 1	0	137		21 39	144 0	375 0	228 0	4 0	162 0	190 0	155 0	129 0
	23	0	0	0	0	0	0	0	0		1	42	130	260	199	161	47	1	183
	2	239	76 76	303 294	141 45	179 162	77 225	22 11	96 236		12 13	233 8	163 280	294 291	110 200	151 0	286 246	41 167	215 180
	3	117	73	27	151	223	96	124	136	18	18	155	132	141	143	241	181	68	143
	4	124	288	261	46	256	338	0	221		19	147	4	295	186	144	73	148	14
	5 7	71 222	144 331	161 133	119 157	160 76	268 112	10 0	128 92		40 0	0 60	0 145	0 64	0 8	0	0 87	0 12	0 179
	8	104	331	4	133	202	302	0	172		1	73	213	181	6	0	110	6	108
	9	173	178	80	87	117	50	2	56	19	7	72	344	101	103	118	147	166	159
1	11 12	220 102	295 342	129 300	206 93	109 15	167 253	16 60	11 189		8 10	127 224	242 197	270 41	198 8	144 0	258 204	184 191	138 196
	14	109	217	76	79	72	334	0	95		41	0	0	0	0	0	0	0	0
	15 16	132 142	99 354	266 72	9 118	152	242	6	85		0	151	187	301	105	265	89 65	6 12	77 187
	17	155	114	83	194	158 147	257 133	30 0	153 87		<u>3</u> 9	186 217	206 264	162 40	210 121	81 90	155	15	203
	19	255	331	260 31 156 9 168 163	20	11	47	341	130	214	144	244	5	167					
	21	28 0	112 0	301 0	187 0	119 0	302 0	31 105	216 0		22 42	160 0	59 0	10 0	183 0	228 0	30 0	30	130
	23	0	0	0	0	0	0	0	0		1	249	205	79	192	64	162	6	197
	24	0	0	0	0	0	0	0	0		5	121	102	175	131	46	264	86	122
	0	106 111	205 250	68 7	207	258 167	226 35	132 37	189 4	21	16 20	109 131	328 213	132 283	220 50	266 9	346 143	96 42	215 65
	2	185	328	80	31	220	213	21	225		21	171	97	103	106	18	109	199	216
	4	63	332	280	176	133	302	180	151		43 0	0	0	0	0	0	0	0	0
	<u>5</u>	117 93	256 161	38 227	180 186	243 202	111 265	4 149	236 117		12	64 142	30 11	177 20	53 0	72 189	280 157	44 58	25 47
	7	229	267	202	95	218	128	48	179	22	13	188	233	55	3	72	236	130	126
	8 9	177 95	160 63	200 71	153 177	63 0	237 294	38 122	92 24		17 44	158 0	22 0	316 0	148 0	257 0	113 0	131	178 0
2	10	39	129	106	70	3	127	195	68		1	156	24	249	88	180	18	45	185
	13	142	200	295	77	74	110	155	6		2	147	89	50	203	0	6	18	127
	14 15	225 225	53	283 301	214 77	229 0	286 125	28 85	101 33	23	10 18	170 152	61 27	133 105	168 122	0 165	181 304	132 100	117 199
	17	245	131	184	198	216	131	47	96		45	0	0	0	0	0	0	0	0
	18	205 251	240	246	117	269	163	179	125		0	112	298	289	49	236	38	9	32
	19 20	117	205 13	230 276	223 90	200 234	210 7	42 66	67 230		3 4	86 236	158 235	280 110	157 64	199 0	170 249	125 191	178 2
	24	0	0	0	0	0	0	0	0	24	11	116	339	187	193	266	288	28	156
	25 0	0 121	0 276	0 220	0 201	0 187	97	0 4	0 128		22 46	222 0	234	281 0	124 0	0	194 0	6	58 0
	1	89	87	208	18	145	94	6	23		1	23	72	172	1	205	279	4	27
	3	84	0	30	165	166	49	33	162	05	6	136	17	295	166	0	255	74	141
	6	20 150	275 199	197 61	5 45	108 82	279 139	113 49	220 43	25	7 14	116 182	383 312	96 46	65 81	0 183	111 54	16 28	11 181
	7	131	153	175	142	132	166	21	186		47	0	0	0	0	0	0	0	0
	8 10	243 136	56 132	79 281	16 34	197 41	91 106	6 151	96 1		2	195 243	71 81	270 110	107 176	0	325 326	21 142	163 131
	11	86	305	303	155	162	246	83	216	26	4	215	76	318	212	0	226	192	169
3	12	246	231	253	213	57	345	154	22		15	61	136	67	127	277	99	197	98
	13 14	219 211	341 212	164 53	147 69	36 115	269 185	87 5	24 167		48 1	0 25	0 194	210	0 208	0 45	0 91	0 98	0 165
	16	240	304	44	96	242		92	200	27	6	104	194	29	141	36	326	140	232
	17	76	300	28 74 165	215	173	32	21	8	194	101	304	174	72	268	22	9		
	18 20	244 144	271 39	77 319	99 30	113	143 121	120 2	235 172		49 0	0 128	0 222	0 11	0 146	0 275	102	0 4	0 32
	21	12	357	68	158	108	121	142	219		4	165	19	293	153	0	1	1	43
	22	1	1	1	1	1	1	0	1	28	19	181	244	50	217	155	40	40	200
	25 0	0 157	332	0 233	0 170	0 246	0 42	0 24	0 64		21 50	63	274 0	234 0	114 0	62 0	167 0	93	205 0
4	1	102	181	205	10	235	256	204	211		1	86	252	27	150	0	273	92	232
	26 0	0	0	0	0 164	0	0	185	0	29	14 18	236 84	5 147	308	11	180 0	104	136 106	32
_	1	205 236	195 14	83 292	164 59	261 181	219 130	185 100	2 171	29	25	6	78	117 29	53 68	42	243 107	6	118 103
5	3	194	115	50	86	72	251	24	47	7	51	0	0	0	0	0	0	0	0
	12	231	166	318	80	283	322	65	143	30	0	216	159	91	34	0	171	2	170

	16	28	241	201	182	254	295	207	210		10	73	229	23	130	90	16	88	199
	21	123	51	267	130	79	258	161	180		13	120	260	105	210	252	95	112	26
	22	115	157	279	153	144	283	72	180		24	9	90	135	123	173	212	20	105
	27	0	0	0	0	0	0	0	0		52	0	0	0	0	0	0	0	0
	0 6	183 22	278 257	289 21	158 119	80 144	294 73	6 27	199 22		7	95 177	100 215	222 308	175 49	144 144	101 297	49	73 149
	10	28	1	293	113	169	330	163	23	31	22	172	258	66	177	166	279	125	175
	11	67	351	13	21	90	99	50	100		25	61	256	162	128	19	222	194	108
6	13	244	92	232	63	59	172	48	92		53	0	0	0	0	0	0	0	0
	17	11	253	302	51	177	150	24	207		0	221	102	210	192	0	351	6	103
	18 20	157 211	18 225	138 235	136 116	151 108	284 305	38 91	52 13	32	12 14	112 199	201 175	22 271	209 58	211 36	265 338	126 63	110 151
	28	0	0	0	0	0	0	0	0	02	24	121	287	217	30	162	83	20	211
	0	220	9	12	17	169	3	145	77		54	0	0	0	0	0	0	0	0
	4	44	62	88 207	76 104	189	103 224	88 112	146 209		2	2 187	323	170 20	114 49	0	56	10 30	199 132
7	7	159 31	316 333	50	104	154 184	297	153	32	33	11	41	8 361	140	161	76	304 141	6	172
•	8	167	290	25	150	104	215	159	166	00	21	211	105	33	137	18	101	92	65
	14	104	114	76	158	164	39	76	18		55	0	0	0	0	0	0	0	0
	29	0	0	0	0	0	0	0	0		0	127	230	187	82	197	60	4	161
	0 1	112 4	307 179	295 133	33 95	54 0	348 75	172 2	181 105	34	7 15	167 164	148 202	296 5	186 68	0 108	320 112	153 197	237 142
	3	7	165	130	4	252	22	131	141	04	17	159	312	44	150	0	54	155	180
	12	211	18	231	217	41	312	141	223		56	0	0	0	0	0	0	0	0
8	16	102	39	296	204	98	224	96	177		1	161	320	207	192	199	100	4	231
	19 21	164 109	224 368	110 269	39 58	46 15	17 59	99 101	145 199	35	6 12	197 207	335 2	158 55	173 26	278 0	210 195	45 168	174 145
	22	241	67	245	44	230	314	35	153	33	22	103	266	285	187	205	268	185	100
	24	90	170	154	201	54	244	116	38		57	0	0	0	0	0	0	0	0
	30	0	0	0	0	0	0	0	0		0	37	210	259	222	216	135	6	11
	0	103	366	189 244	9	162	156	6	169	36	14 15	105	313	179	157	16	15	200	207
	10	182 109	232 321	36	37 213	159 93	88 293	10 145	12 206	36	18	51 120	297 21	178 160	0 6	0	35 188	177 43	42 100
	11	21	133	286	105	134	111	53	221		58	0	0	0	0	0	0	0	0
9	13	142	57	151	89	45	92	201	17		1	198	269	298	81	72	319	82	59
	17	14	303	267	185	132	152	4	212	37	13	220	82	15	195	144	236	2	204
	18 20	61 216	63 82	135 209	109 218	76 209	23 337	164 173	92 205		23 59	122	115 0	115 0	138 0	0	85 0	135 0	161 0
	31	0	0	0	0	0	0	0	0		0	167	185	151	123	190	164	91	121
	1	98	101	14	82	178	175	126	116		9	151	177	179	90	0	196	64	90
	2	149	339	80	165	1	253	77	151	38	10	157	289	64	73	0	209	198	26
10	7	167 160	274 111	211 75	174 19	28 267	27 231	156 16	70 230		12 60	163 0	214 0	181 0	10	0	246 0	100	140 0
10	8	49	383	161	194	234	49	12	115		1	173	258	102	12	153	236	4	115
	14	58	354	311	103	201	267	70	84		3	139	93	77	77	0	264	28	188
	32	0	0	0	0	0	0	0	0	39	7	149	346	192	49	165	37	109	168
	0 1	77 41	48 102	16 147	52 11	55 23	25 322	184 194	45 115		19 61	0	297 0	208 0	114 0	117 0	272 0	188 0	52 0
	12	83	8	290	2	274	200	123	134		0	157	175	32	67	216	304	10	4
11	16	182	47	289	35	181	351	16	1	40	8	137	37	80	45	144	237	84	103
	21	78	188	177	32	273	166	104	152		17	149	312	197	96	2	135	12	30
	22 23	252 22	334 115	43 280	84 201	39 26	338 192	109 124	165 107		62 1	0 167	0 52	0 154	0 23	0	0 123	2	0 53
	33	0	0	0	0	0	0	0	0		3	173	314	47	215	0	77	75	189
	0	160	77	229	142	225	123	6	186	41	9	139	139	124	60	0	25	142	215
	1	42	186	235	175	162	217	20	215		18	151	288	207	167	183	272	128	24
12	10 11	21 32	174 232	169 48	136 3	244 151	142 110	203 153	124 180		63 0	149	0 113	0 226	0 114	0 27	0 288	0 163	0 222
12	13	234	50	105	28	238	176	104	98	40	4	157	14	65	91	0	83	103	170
	18	7	74	52	182	243	76	207	80	42	24	137	218	126	78	35	17	162	71
	34	177	0	0	0	0	0	0	0		64	0	0	0	0	0	0	0	0
	3	177 248	313 177	39 302	81 56	231	311 251	52 147	220 185		1 16	151 163	113 132	228 69	206 22	52 243	210 3	1 163	22 127
40	7	151	266	303	72	216	265	1	154	43	18	173	114	176	134	0	53	99	49
13	20	185	115	160	217	47	47 94 16 178		25	139	168	102	161	270	167	98	125		
	23	62	370	37	78	78 36 81 46 150		65	0	0	0	0	0	0	0	0			
	35 0	0 206	0 142	0 78	0 14	0	0 22	0	0 124		7	139 157	80 78	234 227	84	18 0	79 244	4 6	191 211
	12	55	248	299	175	186	322	202	144	44	9	163	163	259	9	0	293	142	187
	15	206	137	54	211	253	277	118	182	2	22	173	274	260	12	57	272	3	148
14	16	127	89	61	191	16	156	130	95		66	0	0	0	0	0	0	0	0
	17 21	16 229	347	179	51	70	66 78	1	72 76		1	149	135	101	184	168	82 67	181	177
	36	0	12 0	258 0	43	79 0	78 0	0	76 0	45	6 10	151 167	149 15	228 126	121 29	0 144	67 235	45 153	114 93
15	0	40	241	229	90	170	176	173	39		67	0	0	0	0	0	0	0	0

Table 5.3.2-3: LDPC base graph 2 (  $\mathbf{H}_{\mathrm{BG}}$  ) and its parity check matrices (  $V_{i,j}$  )

H	$\mathbf{I}_{\mathrm{BG}}$				$V_{i}$	i, j				H	$\mathbf{I}_{\mathrm{BG}}$				$V_{i}$	i, j			
Row	Column				Set ind					Row	Column				Set ind	$ex i_{LS}$			
i	j	0	1	2	3	4	5	6	7	i	j	0	1	2	3	4	5	6	7
	0	9	174	0	72	3	156	143	145	16	26	0	0	0	0	0	0	0	0
	1 2	117 204	97 166	0	110 23	26 53	143 14	19 176	131 71		1 5	254 124	158 23	0 24	48 132	120 43	134 23	57 201	196 173
0	3	26	66	0	181	35	3	165	21	17	11	114	9	109	206	65	62	142	195
U	6	189	71	0	95	115	40	196	23		12	64	6	18	2	42	163	35	218
	9	205 0	172 0	0	8	127 0	123 0	13 0	112		27 0	0 220	0 186	0	0 68	0 17	0 173	0 129	0 128
	11	0	0	0	0	0	0	0	0	40	6	194	6	18	16	106	31	203	211
	0	167	27	137	53	19	17	18	142	18	7	50	46	86	156	142	22	140	210
	3 4	166 253	36 48	124 0	156 115	94 104	65 63	27 3	174 183		28 0	0 87	0 58	0	0 35	0 79	0 13	0 110	0 39
	5	125	92	0	156	66	1	102	27	40	1	20	42	158	138	28	135	124	84
1	6	226	31	88	115	84	55	185	96	19	10	185	156	154	86	41	145	52	88
	7 8	156 224	187 185	0	200 29	98 69	37 171	17 14	23 9		29 1	0 26	76	0	0 6	2	0 128	0 196	0 117
	9	252	3	55	31	50	133	180	167	00	4	105	61	148	20	103	52	35	227
	11	0	0	0	0	0	0	0	0	20	11	29	153	104	141	78	173	114	6
	12 0	0 81	0 25	0 20	0 152	95	0 98	0 126	0 74		30 0	76	0 157	0	0 80	0 91	0 156	0 10	238
	1	114	114	94	131	106	168	163	31	04	8	42	175	17	43	75	166	122	13
	3	44	117	99	46	92	107	47	3	21	13	210	67	33	81	81	40	23	11
2	8	52 240	110 114	9 108	191 91	110 111	82 142	183 132	53 155		31 1	0 222	0 20	0	0 49	0 54	0 18	0 202	0 195
	10	1	114	108	0	1	142	132	0	22	2	63	52	4	1	132	163	126	44
	12	0	0	0	0	0	0	0	0		32	0	0	0	0	0	0	0	0
	13 1	0	0 136	0 38	0 185	0 120	0 53	0 36	0 239		3	23 235	106	75	156 54	68 115	110 132	52 170	5 94
	2	- 8 - 58	175	15	185	120	174	48	171	23	5	235	86 95	158	134	56	150	170	111
	4	158	113	102	36	22	174	18	95		33	0	0	0	0	0	0	0	0
	5	104	72	146	124	4	127	111	110		1	46	182	0	153	30	113	113	81
3	<u>6</u> 7	209 54	123 118	12 57	124 110	73 49	17 89	203	159 199	24	9	139 8	153 64	69 87	88 63	42 101	108 61	161 88	19 130
	8	18	28	53	156	128	17	191	43		34	0	0	0	0	0	0	0	0
	9	128	186	46	133	79	105	160	75	05	0	228	45	0	211	128	72	197	66
	10 13	0	0	0	1 0	0	0	0	0	25	5 35	156 0	21 0	65 0	94	63	136 0	194 0	95 0
	0	179	72	0	200	42	86	43	29		2	29	67	0	90	142	36	164	146
4	1	214	74	136	16	24	67	27	140		7	143	137	100	6	28	38	172	66
	11 14	71 0	29 0	157 0	101 0	51 0	83 0	117 0	180 0	26	12 13	160 122	55 85	13 7	221 6	100 133	53 145	49 161	190 86
	0	231	10	0	185	40	79	136	121		36	0	0	0	0	0	0	0	0
	1	41	44	131	138	140	84	49	41	07	0	8	103	0	27	13	42	168	64
5	5 7	194 159	121 80	142 141	170 219	84 137	35 103	36 132	169 88	27	6 37	151 0	50 0	32 0	118 0	10	104 0	193 0	181 0
	11	103	48	64	193	71	60	62	207		1	98	70	0	216	106	64	14	7
	15	0	0	0	0	0	0	0	0	28	2	101	111	126	212	77	24	186	144
	<u>0</u> 5	155 228	129 92	0 124	123 55	109 87	47 154	7 34	137 72		5 38	135 0	168 0	110 0	193 0	43	149 0	46 0	16 0
6	7	45	100	99	31	107	10	198	172		0	18	110	0	108	133	139	50	25
6	9	28	49	45	222	133	155	168	124	29	4	28	17	154	61	25	161	27	57
	11 16	158 0	184 0	148 0	209	139	29 0	12 0	56 0		39 2	71	0 120	0	0 106	0 87	0 84	70	0 37
	1	129	80	0	103	97	48	163	86		5	240	154	35	44	56	173	17	139
	5	147	186	45	13	135	125	78	186	30	7	9	52	51	185	104	93	50	221
7	7	140 3	16 102	148 96	105 150	35 108	24 47	143 107	87 172		9 40	84	56 0	134 0	176 0	70	29 0	6 0	17 0
	13	116	143	78	181	65	55	58	154		1	106	3	0	147	80	117	115	201
	17	0	0	0	0	0	0	0	0	31	13	1	170	20	182	139	148	189	46
	0	142 94	118 70	0 65	147 43	70 69	53 31	101 177	176 169		41 0	242	0 84	0	0 108	32	0 116	110	0 179
8	12	230	152	87	152	88	161	22	225	22	5	44	8	20	21	89	73	0	14
	18	0	0	0	0	0	0	0	0	32	12	166	17	122	110	71	142	163	116
	1 8	203	28 132	0 97	30	97 40	104 142	186 27	167 238		42 2	132	0 165	0	71	135	0 105	0 163	0 46
9	10	61	185	51	184	24	99	205	48	00	7	164	179	88	12	6	137	173	2
	11	247	178	85	83	49	64	81	68	33	10	235	124	13	109	2	29	179	106
	19	0	0 50	0	174	0	0	125	0		43	147	173	0	0	0 37	0	107	184
	0	11 185	59 104	17	174 150	46 41	111 25	125 60	38 217		0 12	147 85	173 177	0 19	29 201	37 25	11 41	197 191	184 135
10	6	0	22	156	8	101	174	177	208	34	13	36	12	78	69	114	162	193	141
	7	117	52	20	56	96	23	51	232		44	0	0	0	0	0	0	0	0
	20 0	0 11	0 32	0	0 99	0 28	0 91	0 39	0 178		1 5	57 40	77 184	0 157	91 165	60 137	126 152	157 167	85 225
	7	236	92	7	138	30	175	29	214		11	63	18	6	55	93	172	181	175
11	9	210	174	4	110	116	24	35	168	8	45	0	0	0	0	0	0	0	0
	13	56	154	2	99	64 0	141	8	51		2	140	25	0	1 175	121	73	197	178
	21 1	0 63	39	0	0 46	33	0 122	0 18	0 124	0 36	7	38 154	151 170	63 82	83	129 26	154 129	167 179	112 106
12	3	111	93	113	217	122	11	155	122		46	0	0	0	0	0	0	0	0
12	11	14	11	48	109	131	4	49	72	27	10	219	37	0	40	97	167	181	154
	22 0	0 83	0 49	0	0 37	76	0 29	0 32	0 48	37	13 47	151	31 0	144 0	12 0	56 0	38 0	193 0	114 0
13	1	2	125	112	113	37	91	53	57	38	1	31	84	0	37	1	112	157	42

	8	38	35	102	143	62	27	95	167		5	66	151	93	97	70	7	173	41
	13	222	166	26	140	47	127	186	219		11	38	190	19	46	1	19	191	105
	23	0	0	0	0	0	0	0	0		48	0	0	0	0	0	0	0	0
	1	115	19	0	36	143	11	91	82		0	239	93	0	106	119	109	181	167
	6	145	118	138	95	51	145	20	232	39	7	172	132	24	181	32	6	157	45
14	11	3	21	57	40	130	8	52	204	39	12	34	57	138	154	142	105	173	189
	13	232	163	27	116	97	166	109	162		49	0	0	0	0	0	0	0	0
	24	0	0	0	0	0	0	0	0		2	0	103	0	98	6	160	193	78
	0	51	68	0	116	139	137	174	38	40	10	75	107	36	35	73	156	163	67
15	10	175	63	73	200	96	103	108	217	40	13	120	163	143	36	102	82	179	180
15	11	213	81	99	110	128	40	102	157		50	0	0	0	0	0	0	0	0
	25	0	0	0	0	0	0	0	0		1	129	147	0	120	48	132	191	53
	1	203	87	0	75	48	78	125	170	41	5	229	7	2	101	47	6	197	215
16	9	142	177	79	158	9	158	31	23	41	11	118	60	55	81	19	8	167	230
10	11	8	135	111	134	28	17	54	175		51	0	0	0	0	0	0	0	0
	12	242	64	143	97	8	165	176	202										

#### 5.3.3 Channel coding of small block lengths

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ .

#### 5.3.3.1 Encoding of 1-bit information

For K = 1, the code block is encoded according to Table 5.3.3.1-1, where  $N = Q_m$  and  $Q_m$  is the modulation order for the code block.

Table 5.3.3.1-1: Encoding of 1-bit information

$Q_m$	Encoded bits $d_0, d_1, d_2, \dots, d_{N-1}$
1	$[c_0]$
2	$[c_0 y]$
4	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x}]$
6	$[c_0 y x x x x]$
8	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x}]$

The "x" and "y" in Table 5.3.3.1-1 are placeholders for Clause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

#### 5.3.3.2 Encoding of 2-bit information

For K=2, the code block is encoded according to Table 5.3.3-2, where  $c_2=(c_0+c_1) \bmod 2$ ,  $N=3Q_m$ , and  $Q_m$  is the modulation order for the code block.

Table 5.3.3.2-1: Encoding of 2-bit information

$Q_m$	Encoded bits $d_0, d_1, d_2,, d_{N-1}$
1	$[c_0c_1c_2]$
2	$[c_0 c_1 c_2 c_0 c_1 c_2]$
4	$[c_0 c_1 \times \times c_2 c_0 \times \times c_1 c_2 \times X]$
6	$[c_0 c_1 \times \times \times \times c_2 c_0 \times \times \times \times c_1 c_2 \times \times \times]$
8	$[c_0 c_1 \times \times \times \times \times \times c_2 c_0 \times \times \times \times \times c_1 c_2 \times \times \times \times \times \times]$

The "x" in Table 5.3.3.2-1 are placeholders for Clause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

#### 5.3.3.3 Encoding of other small block lengths

For  $3 \le K \le 11$ , the code block is encoded by  $d_i = \left(\sum_{k=0}^{K-1} c_k \cdot M_{i,k}\right) \mod 2$ , where  $i = 0, 1, \dots, N-1$ , N = 32, and  $M_{i,k}$  represents the basis sequences as defined in Table 5.3.3.3-1.

 $M_{i,3}$  $M_{i,4}$  $M_{i,10}$  $M_{i,5}$ M<sub>i,6</sub> M<sub>i,7</sub> 

Table 5.3.3.3-1: Basis sequences for (32, K) code

## 5.4 Rate matching

## 5.4.1 Rate matching for Polar code

The rate matching for Polar code is defined per coded block and consists of sub-block interleaving, bit collection, and bit interleaving. The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 5.4.1.1 Sub-block interleaving

The bits input to the sub-block interleaver are the coded bits  $d_0, d_1, d_2, ..., d_{N-1}$ . The coded bits  $d_0, d_1, d_2, ..., d_{N-1}$  are divided into 32 sub-blocks. The bits output from the sub-block interleaver are denoted as  $y_0, y_1, y_2, ..., y_{N-1}$ , generated as follows:

for 
$$n=0$$
 to  $N-1$  
$$i = \lfloor 32n/N \rfloor;$$
 
$$J(n) = P(i) \times (N/32) + \operatorname{mod}(n, N/32);$$
 
$$y_n = d_{J(n)};$$
 end for

where the sub-block interleaver pattern P(i) is given by Table 5.4.1.1-1.

Table 5.4.1.1-1: Sub-block interleaver pattern P(i)

i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)
0	0	4	3	8	8	12	10	16	12	20	14	24	24	28	27
1	1	5	5	9	16	13	18	17	20	21	22	25	25	29	29
2	2	6	6	10	9	14	11	18	13	22	15	26	26	30	30
3	4	7	7	11	17	15	19	19	21	23	23	27	28	31	31

The sets of bit indices  $\overline{\mathbf{Q}}_I^N$  and  $\overline{\mathbf{Q}}_F^N$  are determined as follows, where K,  $n_{PC}$ , and  $\mathbf{Q}_0^{N-1}$  are defined in Clause 5.3.1

$$\overline{\mathbf{Q}}_{F,mp}^{N} = \emptyset$$
if  $E < N$ 

if  $K/E \le 7/16$  — puncturing

for  $n = 0$  to  $N - E - 1$ 

$$\overline{\mathbf{Q}}_{F,mp}^{N} = \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{J(n)\};$$
end for

if  $E \ge 3N/4$ 

$$\overline{\mathbf{Q}}_{F,mp}^{N} = \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{0,1,...,\lceil 3N/4 - E/2 \rceil - 1\};$$
else

$$\overline{\mathbf{Q}}_{F,mp}^{N} = \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{0,1,...,\lceil 9N/16 - E/4 \rceil - 1\};$$
end if
else — shortening

for  $n = E$  to  $N - 1$ 

$$\overline{\mathbf{Q}}_{F,mp}^{N} = \overline{\mathbf{Q}}_{F,mp}^{N} \cup \{J(n)\};$$
end for
end if
end if
end if
$$\overline{\mathbf{Q}}_{I,mp}^{N} = \mathbf{Q}_{0}^{N-1} \setminus \overline{\mathbf{Q}}_{F,mp}^{N};$$

$$\overline{\mathbf{Q}}_{I}^{N} \text{ comprises } (K + n_{PC}) \text{ most reliable bit indices in } \overline{\mathbf{Q}}_{I,mp}^{N};$$

 $\overline{\mathbf{Q}}_{E}^{N} = \mathbf{Q}_{0}^{N-1} \setminus \overline{\mathbf{Q}}_{L}^{N};$ 

#### 5.4.1.2 Bit selection

The bit sequence after the sub-block interleaver  $y_0, y_1, y_2, ..., y_{N-1}$  from Clause 5.4.1.1 is written into a circular buffer of length N.

Denoting by E the rate matching output sequence length, the bit selection output bit sequence  $e_k$ , k = 0,1,2,...,E-1, is generated as follows:

```
if E \ge N -- repetition for k = 0 to E - 1 e_k = y_{\text{mod}(k,N)}; end for else if K/E \le 7/16 -- puncturing for k = 0 to E - 1 e_k = y_{k+N-E}; end for else -- shortening for k = 0 to E - 1 e_k = y_k; end for end if end if
```

#### 5.4.1.3 Interleaving of coded bits

The bit sequence  $e_0, e_1, e_2, ..., e_{E-1}$  is interleaved into bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$ , as follows:

```
If I_{BIL} = 1
```

Denote T as the smallest integer such that  $T(T+1)/2 \ge E$ ;

```
k=0;

for i=0 to T-1

for j=0 to T-1-i

if k < E

v_{i,j} = e_k;

else

v_{i,j} = < NULL > ;
```

end if

```
k = k + 1;
       end for
   end for
    k=0;
   for j = 0 to T - 1
       for i = 0 to T - 1 - j
           if v_{i,j} \neq < NULL >
               f_k = v_{i,j};
               k = k + 1
           end if
       end for
   end for
else
   for i = 0 to E - 1
        f_i = e_i;
   end for
end if
```

The value of E is no larger than 8192.

## 5.4.2 Rate matching for LDPC code

The rate matching for LDPC code is defined per coded block and consists of bit selection and bit interleaving. The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as

$$f_0, f_1, f_2, ..., f_{E-1}$$
.

#### 5.4.2.1 Bit selection

The bit sequence after encoding  $d_0, d_1, d_2, ..., d_{N-1}$  from Clause 5.3.2 is written into a circular buffer of length  $N_{cb}$  for the r-th coded block, where N is defined in Clause 5.3.2.

For the 
$$r$$
 -th code block, let  $N_{cb} = N$  if  $I_{LBRM} = 0$  and  $N_{cb} = \min(N, N_{ref})$  otherwise, where  $N_{ref} = \left\lfloor \frac{TBS_{LBRM}}{C \cdot R_{LBRM}} \right\rfloor$ ,

 $R_{\rm LBRM} = 2/3$ ,  $TBS_{\rm LBRM}$  is determined according to Clause 6.1.4.2 in [6, TS 38.214] for UL-SCH and Clause 5.1.3.2 in [6, TS 38.214] for DL-SCH/PCH, assuming the following:

- maximum number of layers for one TB for UL-SCH is given by X, where
  - if the higher layer parameter *maxMIMO-Layers* of *PUSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
  - elseif the higher layer parameter *maxRank* of *pusch-Config* of the serving cell is configured, X is given by the maximum value of *maxRank* across all BWPs of the serving cell
  - otherwise, X is given by the maximum number of layers for PUSCH supported by the UE for the serving cell

- maximum number of layers for one TB for DL-SCH/PCH is given by the minimum of X and 4, where
  - if the higher layer parameter *maxMIMO-Layers* of *PDSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
  - otherwise, X is given by the maximum number of layers for PDSCH supported by the UE for the serving cell
- if the higher layer parameter mcs-Table given by a pdsch-Config for at least one DL BWP of the serving cell is set to 'qam256', maximum modulation order  $Q_m = 8$  is assumed for DL-SCH; otherwise a maximum modulation order  $Q_m = 6$  is assumed for DL-SCH;
- if the higher layer parameter mcs-Table or mcs-Table TransformPrecoder given by a pusch-Config or configuredGrantConfig for at least one UL BWP of the serving cell is set to 'qam256', maximum modulation order  $Q_m = 8$  is assumed for UL-SCH; otherwise a maximum modulation order  $Q_m = 6$  is assumed for UL-SCH
- maximum coding rate of 948/1024;
- $n_{PRB} = n_{PRB,LBRM}$  is given by Table 5.4.2.1-1, where the value of  $n_{PRB,LBRM}$  for DL-SCH is determined according to the initial downlink bandwidth part if there is no other downlink bandwidth part configured to the UE;
- $N_{RE} = 156 \cdot n_{PRB};$
- C is the number of code blocks of the transport block determined according to Clause 5.2.2.

Table 5.4.2.1-1: Value of  $n_{PRB,LBRM}$ 

Maximum number of PRBs across all configured DL BWPs and UL BWPs of a carrier for DL-SCH and UL-SCH, respectively	$n_{PRB,LBRM}$
Less than 33	32
33 to 66	66
67 to 107	107
108 to 135	135
136 to 162	162
163 to 217	217
Larger than 217	273

Denoting by  $E_r$  the rate matching output sequence length for the r-th coded block, where the value of  $E_r$  is determined as follows:

Set 
$$j = 0$$

for 
$$r = 0$$
 to  $C - 1$ 

if the r-th coded block is not scheduled for transmission as indicated by CBGTI according to Clause 5.1.7.2 for DL-SCH and 6.1.5.2 for UL-SCH in [6, TS 38.214]

$$E_r = 0$$
;

else

if 
$$j \leq C' - \operatorname{mod}(G/(N_L \cdot Q_m), C') - 1$$

$$E_r = N_L \cdot Q_m \cdot \left| \frac{G}{N_L \cdot O_m \cdot C'} \right|;$$

else

$$E_r = N_L \cdot Q_m \cdot \left\lceil \frac{G}{N_L \cdot Q_m \cdot C'} \right\rceil;$$
 end if 
$$j = j+1;$$
 end if end for

#### where

- $N_{I}$  is the number of transmission layers that the transport block is mapped onto;
- $Q_m$  is the modulation order;
- G is the total number of coded bits available for transmission of the transport block;
- C'=C if CBGTI is not present in the DCI scheduling the transport block and C' is the number of scheduled code blocks of the transport block if CBGTI is present in the DCI scheduling the transport block.

Denote by  $rv_{id}$  the redundancy version number for this transmission ( $rv_{id} = 0, 1, 2 \text{ or } 3$ ), the rate matching output bit sequence  $e_k$ , k = 0,1,2,...,E-1, is generated as follows, where  $k_0$  is given by Table 5.4.2.1-2 according to the value of  $rv_{id}$  and LDPC base graph:

```
k=0;
j=0;
while k < E
if d_{(k_0+j) \mod N_{cb}} \neq < NULL >
e_k = d_{(k_0+j) \mod N_{cb}};
k = k+1;
end if
j = j+1;
end while
```

Table 5.4.2.1-2: Starting position of different redundancy versions,  $k_0$ 

$rv_{id}$	$k_0$	
	LDPC base graph 1	LDPC base graph 2
0	0	0
1	$\left\lfloor \frac{17N_{cb}}{66Z_c} \right\rfloor \!\! Z_c$	$\left\lfloor \frac{13N_{cb}}{50Z_c} \right\rfloor \!\! Z_c$
2	$\left[\frac{33N_{cb}}{66Z_c}\right]Z_c$	$\left[ rac{25N_{cb}}{50Z_c}  ight]\!Z_c$
3	$\left\lfloor \frac{56N_{cb}}{66Z_c} \right\rfloor Z_c$	$\left\lfloor \frac{43N_{cb}}{50Z_c} \right\rfloor \!\! Z_c$

#### 5.4.2.2 Bit interleaving

The bit sequence  $e_0, e_1, e_2, ..., e_{E-1}$  is interleaved to bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$ , according to the following, where the value of  $Q_m$  is the modulation order.

```
for j=0 to E/Q_m-1 for i=0 to Q_m-1 f_{i+j\cdot Q_m}=e_{i\cdot E/Q_m+j}; end for
```

## 5.4.3 Rate matching for channel coding of small block lengths

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ , where E is the rate matching output sequence length. The bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$  is obtained by the following:

for k = 0 to E - 1  $f_k = d_{k \bmod N};$ 

end for

## 5.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{rk}$ , for r=0,...,C-1 and  $k=0,...,E_r-1$ , where  $E_r$  is the number of rate matched bits for the r-th code block. The output bit sequence from the code block concatenation block is the sequence  $g_k$  for k=0,...,G-1.

The code block concatenation consists of sequentially concatenating the rate matching outputs for the different code blocks. Therefore,

```
Set k = 0 and r = 0

while r < C

Set j = 0

while j < E_r

g_k = f_{rj}

k = k + 1

j = j + 1

end while

r = r + 1
```

end while

## 6 Uplink transport channels and control information

#### 6.1 Random access channel

The sequence index for the random access channel is received from higher layers and is processed according to [4, TS 38.211].

## 6.2 Uplink shared channel

#### 6.2.1 Transport block CRC attachment

Error detection is provided on each UL-SCH transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the UL-SCH transport block according to Clause 5.1, by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24A}}(D)$  if A > 3824; and by setting L to 16 bits and using the generator polynomial  $g_{\text{CRC16}}(D)$  otherwise.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

#### 6.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate R indicated by the MCS index according to Clause 6.1.4.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if  $A \le 292$ , or if  $A \le 3824$  and  $R \le 0.67$ , or if  $R \le 0.25$ , LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size as described in Clause 6.2.1.

## 6.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Clause 5.2.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where r is the code block number and  $K_r$  is the number of bits for code block number r according to Clause 5.2.2.

## 6.2.4 Channel coding of UL-SCH

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r.

The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Clause 5.3.2.

After encoding the bits are denoted by  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N-1)}$ , where the values of  $N_r$  is given in Clause 5.3.2.

#### 6.2.5 Rate matching

Coded bits for each code block, denoted as  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$ , are delivered to the rate match block, where r is the code block number, and  $N_r$  is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Clause 5.4.2 by setting  $I_{LBRM} = 1$  if higher layer parameter rateMatching is set to limitedBufferRM and by setting  $I_{LBRM} = 0$  otherwise.

After rate matching, the bits are denoted by  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , where  $E_r$  is the number of rate matched bits for code block number r.

#### 6.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , for r = 0,..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where G is the total number of coded bits for transmission.

#### 6.2.7 Data and control multiplexing

Denote the coded bits for UL-SCH as  $g_0^{\text{UL-SCH}}, g_1^{\text{UL-SCH}}, g_2^{\text{UL-SCH}}, g_3^{\text{UL-SCH}}, \dots, g_{G^{\text{UL-SCH}}-1}^{\text{UL-SCH}}$ 

Denote the coded bits for HARQ-ACK or jointly coded bits for HARQ-ACK and CG-UCI when the high layer parameter cg-UCI-Multiplexing is configured, if any, as  $g_0^{ACK}$ ,  $g_1^{ACK}$ ,  $g_2^{ACK}$ ,  $g_3^{ACK}$ ,..., $g_{G}^{ACK}$ ...

Denote the coded bits for CSI part 1, if any, as  $g_0^{\text{CSI-part1}}, g_1^{\text{CSI-part1}}, g_2^{\text{CSI-part1}}, g_3^{\text{CSI-part1}}, \dots, g_{G^{\text{CSI-part1}}-1}^{\text{CSI-part1}}$ 

Denote the coded bits for CSI part 2, if any, as  $g_0^{\text{CSI-part2}}, g_1^{\text{CSI-part2}}, g_2^{\text{CSI-part2}}, g_3^{\text{CSI-part2}}, \dots, g_{c^{\text{CSI-part2}}-1}^{\text{CSI-part2}}$ 

Denote the coded bits for CG-UCI without HARQ-ACK, if any, as  $g_0^{\text{CG-UCI}}$ ,  $g_1^{\text{CG-UCI}}$ ,  $g_2^{\text{CG-UCI}}$ ,  $g_3^{\text{CG-UCI}}$ , ...,  $g_{g}^{\text{CG-UCI}}$ , ...,  $g_{g}^{\text{CG-UCI}}$ .

Denote the multiplexed data and control coded bit sequence as  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ .

Denote l as the OFDM symbol index of the scheduled PUSCH, starting from 0 to  $N_{\text{symb,all}}^{\text{PUSCH}} - 1$ , where  $N_{\text{symb,all}}^{\text{PUSCH}}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS.

Denote k as the subcarrier index of the scheduled PUSCH, starting from 0 to  $M_{sc}^{PUSCH} = 1$ , where  $M_{sc}^{PUSCH}$  is expressed as a number of subcarriers.

Denote  $\Phi_l^{\text{UL-SCH}}$  as the set of resource elements, in ascending order of indices k, available for transmission of data in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$ .

Denote  $M_{\text{sc}}^{\text{UL-SCH}}(l) = |\Phi_l^{\text{UL-SCH}}|$  as the number of elements in set  $\Phi_l^{\text{UL-SCH}}$ . Denote  $\Phi_l^{\text{UL-SCH}}(j)$  as the j-th element in  $\Phi_l^{\text{UL-SCH}}$ .

Denote  $\Phi_l^{\text{UCI}}$  as the set of resource elements, in ascending order of indices k, available for transmission of UCI in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$ . Denote  $M_{\text{sc}}^{\text{UCI}}(l)=\left|\Phi_l^{\text{UCI}}\right|$  as the number of elements in set  $\Phi_l^{\text{UCI}}$ . Denote  $\Phi_l^{\text{UCI}}(j)$  as the j-th element in  $\Phi_l^{\text{UCI}}$ . For any OFDM symbol that carriers DMRS of the PUSCH,  $\Phi_l^{\text{UCI}}=\emptyset$ . For any OFDM symbol that does not carry DMRS of the PUSCH,  $\Phi_l^{\text{UCI}}=\Phi_l^{\text{UL-SCH}}$ .

If frequency hopping is configured for the PUSCH,

- denote  $l^{(1)}$  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the first hop;
- denote  $l^{(2)}$  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the second hop.
- denote  $l_{\text{CSI}}^{(1)}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the first hop;
- denote  $l_{CSI}^{(2)}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the second hop;
- if HARQ-ACK is present for transmission on the PUSCH with UL-SCH or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH, let

- 
$$G^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left[ G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right]$$
 and  $G^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[ G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right]$ ;

- if CSI is present for transmission on the PUSCH with UL-SCH, let
  - $G^{\text{CSI-part1}}(1) = N_I \cdot Q_m \cdot \left| G^{\text{CSI-part1}} / (2 \cdot N_I \cdot Q_m) \right|;$
  - $G^{\text{CSI-part1}}(2) = N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right];$
  - $G^{\text{CSI-part2}}(1) = N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part2}} / (2 \cdot N_L \cdot Q_m) \right]$ ; and
  - $G^{\text{CSI-part2}}(2) = N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part2}} / (2 \cdot N_L \cdot Q_m) \right];$
- if CG-UCI is present for transmission on the PUSCH with UL-SCH and without HARQ-ACK, let

$$- G^{CG-UCI}(1) = N_L \cdot Q_m \cdot \lfloor G^{CG-UCI}/(2 \cdot N_L \cdot Q_m) \rfloor \text{ and } G^{CG-UCI}(2) = N_L \cdot Q_m \cdot \lceil G^{CG-UCI}/(2 \cdot N_L \cdot Q_m) \rceil$$

- if only HARQ-ACK and CSI part 1 are present for transmission on the PUSCH without UL-SCH, let
  - $G^{\text{ACK}}(1) = \min \left( N_L \cdot Q_m \cdot \middle| G^{\text{ACK}} / \left( 2 \cdot N_L \cdot Q_m \right) \middle| , M_3 \cdot N_L \cdot Q_m \right);$
  - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$ ;
  - $G^{\text{CSI-part1}}(1) = M_1 \cdot N_1 \cdot Q_m G^{\text{ACK}}(1)$ ; and
  - $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$ ;
- if HARQ-ACK, CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let
  - $G^{ACK}(1) = \min \left( N_L \cdot Q_m \cdot \middle| G^{ACK} / \left( 2 \cdot N_L \cdot Q_m \right) \middle| , M_3 \cdot N_L \cdot Q_m \right);$
  - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$ ;
- if the number of HARQ-ACK information bits is more than 2 or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH,  $G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left| G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right|, M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) \right)$ ; otherwise,  $G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left| G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right|, M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}_{rvd}(1) \right)$

- $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$ ;
- $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$  if the number of HARQ-ACK information bits is no more than 2, and  $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) G^{\text{CSI-part1}}(1)$  otherwise; and
- $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(2)$  if the number of HARQ-ACK information bits is no more than 2, and  $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{ACK}}(2) G^{\text{CSI-part1}}(2)$  otherwise;
- if CG-UCI is present for transmission on the PUSCH with UL-SCH and without HARQ-ACK, let
  - $G^{CSI-part1}(1) = \min(N_L \cdot Q_m \cdot \lfloor G^{CSI-part1}/(2 \cdot N_L \cdot Q_m) \rfloor, \ M_1 \cdot N_L \cdot Q_m G^{CG-UCI}(1));$
  - $G^{CSI-part1}(2) = G^{CSI-part1} G^{CSI-part1}(1);$
  - $G^{CSI-part2}(1) = M_1 \cdot N_L \cdot Q_m G^{CG-UCI}(1) G^{CSI-part1}(1)$ ; and
  - $G^{CSI-part2}(2) = M_2 \cdot N_L \cdot Q_m G^{CG-UCI}(2) G^{CSI-part1}(2);$
- if CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let

$$G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left\lfloor G^{\text{CSI-part1}} / \left( 2 \cdot N_L \cdot Q_m \right) \right\rfloor, M_1 \cdot N_L \cdot Q_m - G_{rvd}^{\text{ACK}}(1) \right).$$

- $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$  ;
- $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$ ; and
- $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(2)$ ;
- let  $N_{\text{hop}}^{\text{PUSCH}} = 2$ , and denote  $N_{\text{symb,hop}}^{\text{PUSCH}}(1)$ ,  $N_{\text{symb,hop}}^{\text{PUSCH}}(2)$  as the number of OFDM symbols of the PUSCH in the first and second hop, respectively;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;

$$M_{1} = \sum_{l=0}^{N_{\text{symb,hop}}^{\text{PUSCH}}(1)-1} M_{\text{SC}}^{\text{UCI}}(l),$$

$$\boldsymbol{M}_{2} = \frac{N_{\text{symh, bop}}^{\text{PUSCH}}(1) + N_{\text{symh, bop}}^{\text{PUSCH}}(2) - 1}{\sum_{l = N_{\text{symh, bop}}(1)} \boldsymbol{M}_{\text{SC}}^{\text{UCI}}(l)}$$

$$\boldsymbol{M}_{3} = \sum_{l=l^{(1)}}^{N_{\text{symbhop}}^{\text{PUSCH}}} \boldsymbol{M}_{\text{SC}}^{\text{UCI}}(l)$$

If frequency hopping is not configured for the PUSCH,

- denote l<sup>(1)</sup> as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS;
- denote  $I_{\text{CSI}}^{(1)}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS;
- if HARQ-ACK is present for transmission on the PUSCH or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH, let  $G^{ACK}(1) = G^{ACK}$ ;
- if CSI is present for transmission on the PUSCH, let  $G^{\text{CSI-part1}}(1) = G^{\text{CSI-part2}}$  and  $G^{\text{CSI-part2}}(1) = G^{\text{CSI-part2}}(1)$ ;
- if CG-UCI is present for transmission on the PUSCH without HARQ-ACK, let  $G^{\text{CG-UCI}}(1) = G^{\text{CG-UCI}}$ ;

- let 
$$N_{\text{hop}}^{\text{PUSCH}} = 1$$
 and  $N_{\text{symb,hop}}^{\text{PUSCH}}(1) = N_{\text{symb,all}}^{\text{PUSCH}}$ .

The multiplexed data and control coded bit sequence  $g_0, g_1, g_2, g_3, ..., g_{G-1}$  is obtained according to the following:

#### Step 1:

Set 
$$\overline{\Phi}_l^{\text{UL-SCH}} = \Phi_l^{\text{UL-SCH}}$$
 for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{M}_{\text{sc}}^{\text{UL-SCH}}\left(l\right) = \left|\overline{\Phi}_{l}^{\text{UL-SCH}}\right|$$
 for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{\Phi}_{l}^{\text{UCI}} = \Phi_{l}^{\text{UCI}}$$
 for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{M}_{sc}^{UCI}(l) = |\overline{\Phi}_{l}^{UCI}|$$
 for  $l = 0, 1, 2, ..., N_{symb,all}^{PUSCH} - 1$ ;

if the number of HARQ-ACK information bits to be transmitted on PUSCH is 0, 1 or 2 bits and without CG-UCI

the number of reserved resource elements for potential HARQ-ACK transmission is calculated according to Clause 6.3.2.4.2.1, by setting  $O_{\rm ACK}=2$ ;

denote  $G_{\text{rvd}}^{\text{ACK}}$  as the number of coded bits for potential HARQ-ACK transmission using the reserved resource elements:

if frequency hopping is configured for the PUSCH, let  $G_{\text{rvd}}^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left[ G_{\text{rvd}}^{\text{ACK}} / \left( 2 \cdot N_L \cdot Q_m \right) \right]$  and

$$G_{\text{rvd}}^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[ G_{\text{rvd}}^{\text{ACK}} / \left( 2 \cdot N_L \cdot Q_m \right) \right];$$

if frequency hopping is not configured for the PUSCH, let  $G_{\text{rvd}}^{\text{ACK}}(1) = G_{\text{rvd}}^{\text{ACK}}$ ;

denote  $\overline{\Phi}_l^{\text{rvd}}$  as the set of reserved resource elements for potential HARQ-ACK transmission, in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

Set 
$$m_{\text{count}}^{\text{ACK}}(1) = 0$$
;

Set 
$$m_{\text{count}}^{\text{ACK}}(2) = 0$$
;

$$\overline{\Phi}_{l}^{\text{rvd}} = \emptyset$$
 for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

for 
$$i = 1$$
 to  $N_{\text{hop}}^{\text{PUSCH}}$ 

$$l = l^{(i)}$$
:

while 
$$m_{\text{count}}^{\text{ACK}}(i) < G_{\text{rvd}}^{\text{ACK}}(i)$$

if 
$$\overline{M}_{sc}^{UCI}(l) > 0$$

if 
$$G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$$

$$d = 1;$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UL-SCH}}(l);$$

end if

$$\text{if } G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left\lfloor \overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) \cdot N_L \cdot Q_m / \left( G_{\mathrm{rvd}}^{\mathrm{ACK}}(i) - m_{\mathrm{count}}^{\mathrm{ACK}}(i) \right) \right\rfloor;$$
 
$$m_{\mathrm{count}}^{\mathrm{RE}} = \left\lceil \left( G_{\mathrm{rvd}}^{\mathrm{ACK}}(i) - m_{\mathrm{count}}^{\mathrm{ACK}}(i) \right) / \left( N_L \cdot Q_m \right) \right\rceil;$$
 end if 
$$\text{for } j = 0 \text{ to } m_{\mathrm{count}}^{\mathrm{RE}} - 1$$
 
$$\overline{\Phi}_l^{\mathrm{rvd}} = \overline{\Phi}_l^{\mathrm{rvd}} \cup \left\{ \overline{\Phi}_l^{\mathrm{UL-SCH}}(j \cdot d) \right\}$$
 
$$m_{\mathrm{count}}^{\mathrm{ACK}}(i) = m_{\mathrm{count}}^{\mathrm{ACK}}(i) + N_L \cdot Q_m;$$
 end for end if 
$$l = l + 1;$$
 end while end for else 
$$\overline{\Phi}_l^{\mathrm{rvd}} = \varnothing \text{ for } l = 0, 1, 2, ..., N_{\mathrm{symb,all}}^{\mathrm{PUSCH}} - 1;$$
 end if 
$$\mathrm{Denote} \ \overline{M}_{\mathrm{sc,rvd}}^{\overline{\Phi}}(l) = \left| \overline{\Phi}_l^{\mathrm{rvd}} \right| \text{ as the number of elements in } \overline{\Phi}_l^{\mathrm{rvd}}.$$

#### **Step 2:**

if HARQ-ACK is present for transmission on the PUSCH and the number of HARQ-ACK information bits is more than 2 or if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH,

```
\begin{split} & \text{Set } m_{\text{count}}^{\text{ACK}}(1) = 0 \,; \\ & \text{Set } m_{\text{count}}^{\text{ACK}}(2) = 0 \,; \\ & \text{Set } m_{\text{countall}}^{\text{ACK}} = 0 \,; \\ & \text{for } i = 1 \text{ to } N_{\text{hop}}^{\text{PUSCH}} \\ & l = l^{(i)} \,; \\ & \text{while } m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i) \\ & \text{ if } \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) > 0 \\ & \text{ if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m \\ & d = 1 \,; \\ & m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \,; \\ & \text{ end if } \end{split}
```

$$\begin{split} &\text{if } G^{\text{ACK}}(i) - m^{\text{ACK}}_{\text{count}}(i) < \overline{M}^{\text{UCI}}_{\text{sc}}(l) \cdot N_L \cdot Q_m \\ & d = \left \lfloor \overline{M}^{\text{UCI}}_{\text{sc}}(l) \cdot N_L \cdot Q_m \middle / \left( G^{\text{ACK}}(i) - m^{\text{ACK}}_{\text{count}}(i) \right) \right \rfloor; \\ & m^{\text{RE}}_{\text{count}} = \left \lceil \left( G^{\text{ACK}}(i) - m^{\text{ACK}}_{\text{count}}(i) \right) \middle / \left( N_L \cdot Q_m \right) \right \rceil; \\ & \text{end if} \\ & \text{for } j = 0 \text{ to } m^{\text{RE}}_{\text{count}} - 1 \\ & k = \overline{\Phi}^{\text{UCI}}_l(j \cdot d); \\ & \text{for } v = 0 \text{ to } N_L \cdot Q_m - 1 \\ & \overline{g}_{l,k,v} = g^{\text{ACK}}_{m_{\text{count,all}}}; \\ & m^{\text{ACK}}_{\text{count,all}} = m^{\text{ACK}}_{m_{\text{count,all}}} + 1; \\ & m^{\text{ACK}}_{\text{count,all}} = m^{\text{ACK}}_{\text{count,all}} + 1; \\ & \text{end for} \\ & \text{end for} \\ & \text{end for} \\ & \overline{\Phi}^{\text{UCI}}_{l,mp} = \varnothing; \\ & \text{for } j = 0 \text{ to } m^{\text{RE}}_{\text{count}} - 1 \\ & \overline{\Phi}^{\text{UCI}}_{l,mp} = \overline{\Phi}^{\text{UCI}}_{l,mp} \cup \overline{\Phi}^{\text{UCI}}_l(j \cdot d); \\ & \text{end for} \\ & \overline{\Phi}^{\text{UCI}}_l = \overline{\Phi}^{\text{UCI}}_l \setminus \overline{\Phi}^{\text{UCI}}_{l,mp}; \\ & \overline{\Phi}^{\text{UL-SCH}}_l = \overline{\Phi}^{\text{UL-SCH}}_l \setminus \overline{\Phi}^{\text{UCI}}_{l,mp}; \\ & \overline{M}^{\text{UL-SCH}}_{sc}(l) = \left| \overline{\Phi}^{\text{UL-SCH}}_l \right|; \\ & \text{end if} \\ & l = l + 1; \end{aligned}$$
end while

## Step 2A:

end if

end for

If CG-UCI is present for transmission on the PUSCH without HARQ-ACK,

Set 
$$m_{count}^{CG-UCI}(1) = 0$$
;

Set 
$$m_{count}^{CG-UCI}(2) = 0$$
;

Set  $m_{count}^{CG-UCI}(2) = 0$ ;

for  $i = 1$  to  $N_{nop}^{PUSCH}$ 
 $l = l^{(l)}$ ;

while  $m_{count}^{CG-UCI}(i) < G^{CG-UCI}(i)$ 

if  $\overline{M}_{sc}^{UCI}(l) > 0$ 

if  $G^{CG-UCI}(i) - m_{count}^{CG-UCI}(1) \ge \overline{M}_{sc}^{UCI}(l) \cdot N_l \cdot Q_m$ 
 $d = 1$ ;

 $m_{count}^{RE} = \overline{M}_{sc}^{UCI}(l)$ ;

end if

if  $G^{CG-UCI}(i) - m_{count}^{CG-UCI}(1) < \overline{M}_{sc}^{UCI}(l) \cdot N_l \cdot Q_m$ 
 $d = [\overline{M}_{sc}^{UCI}(l) \cdot N_l \cdot Q_m / (G^{CG-UCI}(i) - m_{count}^{CG-UCI}(i))];$ 
 $m_{count}^{RE} = [(G^{CG-UCI}(i) - m_{count}^{CG-UCI}(i)) / (N_l \cdot Q_m)];$ 

end if

for  $j = 0$  to  $m_{count}^{RE} - 1$ 
 $k = \overline{\Phi}_{l}^{UCI}(j, d);$ 

for  $v = 0$  to  $N_l \cdot Q_m - 1$ 
 $\overline{g}_{l,k,v} = g_{mcount,all}^{CG-UCI};$ 
 $m_{count,all}^{CG-UCI}(i) = m_{count}^{CG-UCI}(i) + 1;$ 

end for

end for

 $\overline{\Phi}_{l,tmp}^{UCI} = \emptyset;$ 

for  $j = 0$  to  $m_{count}^{RE} - 1$ 
 $\overline{\Phi}_{l,tmp}^{UCI} = \overline{\Phi}_{l,tmp}^{UCI} \cup \overline{\Phi}_{l,tmp}^{UCI};$ 
 $\overline{\Phi}_{l}^{UCI} = \overline{\Phi}_{l}^{UCI} \setminus \overline{\Phi}_{l,tmp}^{UCI};$ 
 $\overline{\Phi}_{l,tmp}^{UCI} = \overline{\Phi}_{l}^{UCI} \setminus \overline{\Phi}_{l,tmp}^{UCI};$ 
 $\overline{\Phi}_{l}^{UCI} = \overline{\Phi}_{l}^{UCI} \setminus \overline{\Phi}_{l,tmp}^{UCI};$ 
 $\overline{\Phi}_{l}^{UCI} = \overline{\Phi}_{l}^{UCI} \setminus \overline{\Phi}_{l,tmp}^{UCI};$ 
 $\overline{\Phi}_{l}^{UCI} = \overline{\Phi}_{l}^{UCI} \cup \overline{\Phi}_{l}^{UCI};$ 
 $\overline{M}_{sc}^{UCI}(1) = |\overline{\Phi}_{l}^{UCI}|;$ 
 $\overline{M}_{sc}^{UCI} - SCH(1) = |\overline{\Phi}_{l}^{UCI} - SCH|;$ 

end if

 $l = l + 1;$ 

end while

end for

end if

#### **Step 3:**

if CSI is present for transmission on the PUSCH,

Set 
$$m_{\text{count}}^{\text{CSI-part1}}(1) = 0$$
;

Set 
$$m_{\text{count}}^{\text{CSI-part1}}(2) = 0$$
;

Set 
$$m_{\text{count,all}}^{\text{CSI-part1}} = 0$$
;

for 
$$i = 1$$
 to  $N_{\text{hop}}^{\text{PUSCH}}$ 

$$l = l_{\text{CSI}}^{(i)}$$
;

while 
$$\bar{M}_{\rm sc}^{\rm UCI}(l) - \bar{M}_{\rm sc, rvd}^{\bar{\Phi}}(l) \le 0$$

$$l = l + 1;$$

end while

while 
$$m_{\text{count}}^{\text{CSI-part1}}(i) < G^{\text{CSI-part1}}(i)$$

if 
$$\overline{M}_{sc}^{UCI}(l) - \overline{M}_{sc, rvd}^{\overline{\Phi}}(l) > 0$$

if 
$$G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \ge \left(\overline{M}_{\text{sc}}^{\text{UCI}}(l) - \overline{M}_{\text{sc, rvd}}^{\Phi}(l)\right) \cdot N_L \cdot Q_m$$

$$d = 1;$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l) - \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}(l);$$

end if

$$\text{if } G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) < \left( \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m$$

$$d = \left\lfloor \left( \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - M_{\text{sc, rvd}}^{\overline{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m / \left( G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \right) \right\rfloor;$$

$$m_{\mathrm{count}}^{\mathrm{RE}} = \left\lceil \left( G^{\mathrm{CSI-part1}}(i) - m_{\mathrm{count}}^{\mathrm{CSI-part1}}(i) \right) / \left( N_L \cdot Q_m \right) \right\rceil \; ;$$

end if

$$\overline{\Phi}_l^{ ext{temp}} = \overline{\Phi}_l^{ ext{UCI}} \setminus \overline{\Phi}_l^{ ext{rvd}}$$
;

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$k = \overline{\Phi}_{l}^{\text{temp}}(j \cdot d);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{m_{\text{count all}}}^{\text{CSI-part1}};$$

$$m_{\text{countall}}^{\text{CSI-part1}} = m_{\text{countall}}^{\text{CSI-part1}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part1}}(i) = m_{\text{count}}^{\text{CSI-part1}}(i) + 1;$$

end for

end for

$$\overline{\Phi}_{l,tmp}^{ ext{UCI}} = \emptyset;$$

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$\overline{\Phi}_{l,tmp}^{\text{UCI}} = \overline{\Phi}_{l,tmp}^{\text{UCI}} \cup \overline{\Phi}_{l}^{\text{temp}} (j \cdot d);$$

end for

$$\overline{\Phi}_l^{ ext{UCI}} = \overline{\Phi}_l^{ ext{UCI}} \setminus \overline{\Phi}_{l,tmp}^{ ext{UCI}}$$

$$\overline{\Phi}_l^{ ext{UL-SCH}} = \overline{\Phi}_l^{ ext{UL-SCH}} \setminus \overline{\Phi}_{l,\mathit{tmp}}^{ ext{UCI}}$$
 :

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UCI}}\right|;$$

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UL-SCH}}\right|;$$

end if

$$l = l + 1$$
;

end while

end for

Set 
$$m_{\text{count}}^{\text{CSI-part2}}(1) = 0$$
;

Set 
$$m_{\text{count}}^{\text{CSI-part2}}(2) = 0$$
;

Set 
$$m_{\text{count,all}}^{\text{CSI-part2}} = 0$$
;

for 
$$i = 1$$
 to  $N_{\text{hop}}^{\text{PUSCH}}$ 

$$l = l_{\text{CSI}}^{(i)}$$
;

while 
$$\bar{M}_{\rm sc}^{\rm UCI}(l) \leq 0$$

$$l = l + 1;$$

end while

while 
$$m_{\text{count}}^{\text{CSI-part2}}(i) < G^{\text{CSI-part2}}(i)$$

if 
$$\bar{M}_{\rm sc}^{\rm UCI}(l) > 0$$

$$\text{if } G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) \geq \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = 1;$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l);$$

end if

$$\text{if } G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left| \left. \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_{\scriptscriptstyle m} \middle/ \left( G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) \right) \right|;$$

$$m_{\mathrm{count}}^{\mathrm{RE}} = \left\lceil \left( G^{\mathrm{CSI-part2}}(i) - m_{\mathrm{count}}^{\mathrm{CSI-part2}}(i) \right) / \left( N_L \cdot Q_m \right) \right\rceil \; ;$$

end if

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$k = \overline{\Phi}_{l}^{\text{UCI}}(j \cdot d);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{m_{\text{count,all}}^{\text{CSI-part2}}}^{\text{CSI-part2}};$$

$$m_{\text{count,all}}^{\text{CSI-part2}} = m_{\text{count,all}}^{\text{CSI-part2}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part2}}(i) = m_{\text{count}}^{\text{CSI-part2}}(i) + 1;$$

end for

end for

$$\overline{\Phi}_{l,tmp}^{ ext{UCI}} = \emptyset;$$

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$\overline{\Phi}_{l,tmp}^{\text{UCI}} = \overline{\Phi}_{l,tmp}^{\text{UCI}} \cup \overline{\Phi}_{l}^{\text{UCI}} (j \cdot d);$$

end for

$$ar{\Phi}_l^{ ext{UCI}} = ar{\Phi}_l^{ ext{UCI}} \setminus ar{\Phi}_{l,tmp}^{ ext{UCI}}$$
 :

$$ar{m{\Phi}}_l^{ ext{UL-SCH}} = ar{m{\Phi}}_l^{ ext{UL-SCH}} \setminus ar{m{\Phi}}_{l,\mathit{tmp}}^{ ext{UCI}}$$
 :

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UCI}}\right|;$$

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}\left(l\right) = \left|\overline{\Phi}_{l}^{\mathrm{UL-SCH}}\right|;$$

end if

$$l = l + 1;$$

end while

end for

end if

#### **Step 4:**

if UL-SCH is present for transmission on the PUSCH,

```
Set m_{\mathrm{count}}^{\mathrm{UL-SCH}} = 0;

for l = 0 to N_{\mathrm{symb,all}}^{\mathrm{PUSCH}} - 1

if \overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) > 0

for j = 0 to \overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) - 1

k = \overline{\Phi}_{l}^{\mathrm{UL-SCH}}(j);

for v = 0 to N_{L} \cdot Q_{m} - 1

\overline{g}_{l,k,v} = g_{m_{\mathrm{count}}^{\mathrm{UL-SCH}}}^{\mathrm{UL-SCH}};

m_{\mathrm{count}}^{\mathrm{UL-SCH}} = m_{\mathrm{count}}^{\mathrm{UL-SCH}} + 1;

end for
end if
end for
```

#### **Step 5:**

if HARQ-ACK is present for transmission on the PUSCH without CG-UCI and the number of HARQ-ACK information bits is no more than 2,

```
\begin{split} & \text{Set } m_{\text{count}}^{\text{ACK}}(1) = 0 \,; \\ & \text{Set } m_{\text{count}}^{\text{ACK}}(2) = 0 \,; \\ & \text{Set } m_{\text{countall}}^{\text{ACK}} = 0 \,; \\ & \text{for } i = 1 \text{ to } N_{\text{hop}}^{\text{PUSCH}} \\ & l = l^{(i)} \,; \\ & \text{while } m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i) \\ & \text{if } \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}\left(l\right) > 0 \\ & \text{if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}\left(l\right) \cdot N_L \cdot Q_m \\ & d = 1 \,; \\ & m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}\left(l\right) \,; \end{split}
```

$$\begin{split} &\text{if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \cdot N_L \cdot Q_m \\ & d = \left\lfloor \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \cdot N_L \cdot Q_m \middle/ \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i)\right) \right\rfloor; \\ & m_{\text{count}}^{\text{RE}} = \left\lceil \left(G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i)\right) \middle/ \left(N_L \cdot Q_m\right) \right\rceil; \end{split}$$

end if

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$k = \overline{\Phi}_{l}^{\text{rvd}}(j \cdot d);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{m_{\text{count,all}}}^{\text{ACK}};$$

$$m_{\text{count,all}}^{\text{ACK}} = m_{\text{count,all}}^{\text{ACK}} + 1;$$

$$m_{\text{count}}^{\text{ACK}}(i) = m_{\text{count}}^{\text{ACK}}(i) + 1;$$

end for

end for

end if

l = l + 1;

end while

end for

end if

## Step 6:

Set t = 0;

for 
$$l = 0$$
 to  $N_{\text{symb,all}}^{\text{PUSCH}} - 1$ 

for 
$$j = 0$$
 to  $M_{sc}^{UL-SCH}(l) - 1$ 

$$k = \Phi_l^{\text{UL-SCH}}(j);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$g_t = \overline{g}_{l,k,v};$$

t = t + 1;

end for

end for

end for

# 6.3 Uplink control information

# 6.3.1 Uplink control information on PUCCH

The procedure in this clause applies to PUCCH formats 2/3/4.

## 6.3.1.1 UCI bit sequence generation

## 6.3.1.1.1 HARQ-ACK/SR only

If only HARQ-ACK bits are transmitted on a PUCCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined by setting  $a_i = \tilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} - 1$  and  $A = O^{ACK}$ , where the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is given by Clause 9.1 of [5, TS38.213].

If only HARQ-ACK and SR bits are transmitted on a PUCCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined by setting  $a_i = \widetilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} - 1$ ,  $a_i = \widetilde{o}_{i-O}^{SR}$  for  $i = O^{ACK}$ ,  $O^{ACK} + 1, ..., O^{ACK} + O^{SR} - 1$ , and  $A = O^{ACK} + O^{SR}$ , where the HARQ-ACK bit sequence  $\widetilde{o}_0^{ACK}$ ,  $\widetilde{o}_1^{ACK}$ , ...,  $\widetilde{o}_{O^{ACK}-1}^{ACK}$  is given by Clause 9.1 of [5, TS 38.213], and the SR bit sequence  $\widetilde{o}_0^{SR}$ ,  $\widetilde{o}_1^{SR}$ , ...,  $\widetilde{o}_{O^{SR}-1}^{SR}$  is given by Clause 9.2.5.1 of [5, TS 38.213].

## 6.3.1.1.2 CSI only

The bitwidth for PMI of *codebookType=typeI-SinglePanel* with 2 CSI-RS ports is 2 for Rank=1 and 1 for Rank=2, according to Clause 5.2.2.2.1 in [6, TS 38.214].

The bitwidth for PMI of codebookType=typeI-SinglePanel with more than 2 CSI-RS ports is provided in Tables 6.3.1.1.2-1, where the values of  $(N_1, N_2)$  and  $(O_1, O_2)$  are given by Clause 5.2.2.2.1 in [6, TS 38.214].

Table 6.3.1.1.2-1: PMI of codebookType=typeI-SinglePanel

	Information fie	ld $X_1^{}$ for wideband PMI	P	$X_2$ for wideband MI bband PMI	
	$(i_{1,1}$	$(i_{1,2})$	i <sub>1,3</sub>		$i_2$
	codebookMode=1	codebookMode=2	-,-	codebookMode=1	codebookMode=2
Rank = 1 with >2 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \\ \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil)$	N/A	2	4
Rank = 1 with >2 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	N/A	2	4
Rank=2 with 4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	1	1	3

Rank=2 with >4 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$\left( \left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \\ \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil \right)$	2	1	3
Rank=2 with >4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$\left(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0\right)$	2	1	3
Rank=3 or 4, with 4 CSI-RS ports	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left ,\left\lceil \log_2 N_2 O_2 \right\rceil\right)$	0		1
Rank=3 or 4, with 8 or 12 CSI- RS ports	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left ,\left\lceil\log_2N_2O_2\right\rceil\right)$	2		1
Rank=3 or 4, with >=16 CSI- RS ports	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil)$	$\left , \left\lceil \log_2 N_2 O_2 \right\rceil \right)$	2		1
Rank=5 or 6	$(\lceil \log_2 N_1 O_1 \rceil)$	$\lceil , \lceil \log_2 N_2 O_2 \rceil )$	N/A		1
Rank=7 or 8, $N_1 = 4, N_2 = 1$	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil)$	$, \lceil \log_2 N_2 O_2 \rceil)$	N/A		1
Rank=7 or 8, $N_1 > 2, N_2 = 2$	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left  , \left[ \log_2 \frac{N_2 O_2}{2} \right] \right $	N/A		1
Rank=7 or 8, with $N_1 > 4, N_2 = 1$ or $N_1 = 2, N_2 = 2$ or $N_1 > 2, N_2 > 2$	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left ,\left\lceil\log_2N_2O_2\right\rceil\right)$	N/A		1

The bitwidth for PMI of codebookType = typeI-MultiPanel is provided in Tables 6.3.1.1.2-2, where the values of  $(N_g, N_1, N_2)$  and  $(O_1, O_2)$  are given by Clause 5.2.2.2.2 in [6, TS 38.214].

Table 6.3.1.1.2-2: PMI of codebookType= typel-MultiPanel

	Information fields $X_1^{}$ for wideband			Information fields $X_2$ for wideband or per subband					
	$(i_{1,1}, i_{1,2})$	<i>i</i> <sub>1,3</sub>	$i_{1,4,1}$	$i_{1,4,2}$	$i_{1,4,3}$	$i_2$	$i_{2,0}$	$i_{2,1}$	$i_{2,2}$
Rank=1 with $N_g = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	N/A	N/A	2	N/A	N/A	N/A

Rank=1 with $N_g = 4$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	2	2	N/A	N/A	N/A
Rank=2 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	N/A	N/A	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	0	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_{\rm g}=2$ , $N_{\rm l}N_{\rm 2}>2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	2	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 with $N_g = 4$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	2	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 4$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	2	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_g = 4$ , $N_1 N_2 > 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	2	1	N/A	N/A	N/A
Rank=1 with $N_g = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	N/A	N/A	2	1	1
Rank=2 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	N/A	N/A	1	1	1
Rank=3 or 4 with $N_g=2$ , $N_1N_2=2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	N/A	N/A	1	1	1
Rank=2 or 3 or 4 with $N_{\rm g}=2$ , $N_{\rm l}N_{\rm 2}>2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \\ \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	N/A	N/A	1	1	1

The bitwidth for PMI with 1 CSI-RS port is 0.

The bitwidth for RI/LI/CQI/CRI of codebookType = typeI-SinglePanel is provided in Tables 6.3.1.1.2-3.

Table 6.3.1.1.2-3: RI, LI, CQI, and CRI of codebookType=typel-SinglePanel

			Bitwidth			
Field	1 antenna port	2 antenna	4 antenna	>4 antenna ports		
	i antenna port	ports	ports	Rank1~4	Rank5~8	
Rank Indicator	0	$\min(1, \lceil \log_2 n_{\rm RI} \rceil)$	$\min(2,\lceil \log_2 n_{\text{RI}} \rceil)$	$\log_2 n_{\mathrm{RI}}$	$\log_2 n_{\mathrm{RI}}$	
Layer Indicator	0	$\lceil \log_2 v \rceil$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	
Wide-band CQI for the first TB	4	4	4	4	4	
Wideband CQI for the second TB	0	0	0	0	4	
Subband differential CQI for the first TB	2	2	2	2	2	
Subband differential CQI for the second TB	0	0	0	0	2	
CRI	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	$\left\lceil \log_2 \left( K_s^{\text{CSI-RS}} \right) \right\rceil$	$\left\lceil \log_2 \left( K_s^{\text{CSI-RS}} \right) \right\rceil$	$\left\lceil \log_2 \left( K_s^{\text{CSI-RS}} \right) \right\rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	

 $n_{\rm RI}$  in Table 6.3.1.1.2-3 is the number of allowed rank indicator values according to Clause 5.2.2.2.1 [6, TS 38.214]. v is the value of the rank. The value of  $K_s^{\rm CSI-RS}$  is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI/CRI of codebookType= typeI-MultiPanel is provided in Table 6.3.1.1.2-4.

Table 6.3.1.1.2-4: RI, LI, CQI, and CRI of codebookType=typel-MultiPanel

Field	Bitwidth
Rank Indicator	$\min(2,\lceil \log_2 n_{\rm RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
CRI	$\left\lceil \log_2\left(K_s^{\text{CSI-RS}}\right) \right\rceil$

where  $n_{RI}$  is the number of allowed rank indicator values according to Clause 5.2.2.2.2 [6, TS 38.214], v is the value of the rank, and  $K_s^{CSI-RS}$  is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI of *codebookType=typeII* or *codebookType=typeII-PortSelection* is provided in Table 6.3.1.1.2-5.

Table 6.3.1.1.2-5: RI, LI, and CQI of codebookType=typell or typell-PortSelection

Field	Bitwidth
Rank Indicator	$\min(1, \lceil \log_2 n_{RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
Indicator of the number of non-zero wideband amplitude coefficients $M_l$ for layer $l$	$\lceil \log_2(2L-1) \rceil$

where  $n_{RI}$  is the number of allowed rank indicator values according to Clauses 5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and v is the value of the rank. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for CRI, SSBRI, RSRP, and differential RSRP are provided in Table 6.3.1.1.2-6.

Table 6.3.1.1.2-6: CRI, SSBRI, and RSRP

Field	Bitwidth
CRI	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$
SSBRI	$\lceil \log_2(K_s^{\text{SSB}}) \rceil$
RSRP	7
Differential RSRP	4

where  $K_s^{\text{CSI-RS}}$  is the number of CSI-RS resources in the corresponding resource set, and  $K_s^{\text{SSB}}$  is the configured number of SS/PBCH blocks in the corresponding resource set for reporting 'ssb-Index-RSRP'.

The bitwidth for CRI, SSBRI, SINR, and differential SINR are provided in Table 6.3.1.1.2-6A.

Table 6.3.1.1.2-6A: CRI, SSBRI, and SINR

Field	Bitwidth
CRI	$[\log_2(K_s^{CSI-RS})]$
SSBRI	$[\log_2(K_S^{SSB})]$
SINR	7
Differential SINR	4

where  $K_s^{CSI-RS}$  is the number of CSI-RS resources in the corresponding resource set, and  $K_s^{SSB}$  is the configured number of SS/PBCH blocks in the corresponding resource set for reporting 'ssb-Index-SINR'.

Table 6.3.1.1.2-7: Mapping order of CSI fields of one CSI report, pmi-FormatIndicator=widebandPMI and cqi-FormatIndicator=widebandCQI

CSI report number	CSI fields
	CRI as in Tables 6.3.1.1.2-3/4, if reported
	Rank Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Zero padding bits $\mathit{O}_{\scriptscriptstyle{P}}$ , if needed
CSI report #n	PMI wideband information fields $X_{1}^{}$ , from left to right as in Tables 6.3.1.1.2-1/2, if reported
	PMI wideband information fields $X_{2}$ , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if reported
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4, if reported
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4, if reported

The number of zero padding bits  $O_P$  in Table 6.3.1.1.2-7 is 0 for 1 CSI-RS port and  $O_P = N_{\text{max}} - N_{\text{reported}}$  for more than 1 CSI-RS port, where

- $-N_{\max} = \max_{r \in S_{\text{Rank}}} B(r) \text{ and } S_{\text{Rank}} \text{ is the set of rank values } \textbf{r} \text{ that are allowed to be reported;}$
- $N_{\text{reported}} = B(R)$ , where R is the reported rank;
- For 2 CSI-RS ports,  $B(r) = N_{PMI}(r) + N_{COI}(r) + N_{LI}(r)$ ;
- For more than 2 CSI-RS ports,  $B(r) = N_{\text{PMLi1}}(r) + N_{\text{PMLi2}}(r) + N_{\text{COI}}(r) + N_{\text{LI}}(r)$ ;
- if PMI is reported,  $N_{\text{PMI}}(1) = 2$  and  $N_{\text{PMI}}(2) = 1$ ; otherwise,  $N_{\text{PMI}}(r) = 0$ ;
- if PMI  $_{i1}$  is reported,  $N_{\text{PMI},i1}(r)$  is obtained according to Tables 6.3.1.1.2-1/2; otherwise,  $N_{\text{PMI},i1}(r) = 0$ ;
- if PMI  $_{i2}$  is reported,  $N_{\text{PMI,i2}}(r)$  is obtained according to Tables 6.3.1.1.2-1/2; otherwise,  $N_{\text{PMI,i2}}(r) = 0$ ;
- if CQI is reported,  $N_{\text{CQI}}(r)$  is obtained according to Tables 6.3.1.1.2-3/4; otherwise,  $N_{\text{CQI}}(r) = 0$ ;
- if LI is reported,  $N_{LI}(r)$  is obtained according to Tables 6.3.1.1.2-3/4; otherwise,  $N_{LI}(r) = 0$ .

Table 6.3.1.1.2-8: Mapping order of CSI fields of one report for CRI/RSRP or SSBRI/RSRP reporting

CSI report number	CSI fields
	CRI or SSBRI #1 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #2 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #3 as in Table 6.3.1.1.2-6, if reported
CSI report #n	CRI or SSBRI #4 as in Table 6.3.1.1.2-6, if reported
CSI Teport #II	RSRP #1 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #2 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #3 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #4 as in Table 6.3.1.1.2-6, if reported

Table 6.3.1.1.2-8A: Mapping order of CSI fields of one report for CRI/SINR or SSBRI/SINR reporting

CSI report number	CSI fields
	CRI or SSBRI #1 as in Table 6.3.1.1.2-6A, if reported
	CRI or SSBRI #2 as in Table 6.3.1.1.2-6A, if reported
	CRI or SSBRI #3 as in Table 6.3.1.1.2-6A, if reported
CSI report #n	CRI or SSBRI #4 as in Table 6.3.1.1.2-6A, if reported
CSI Teport #IT	SINR #1 as in Table 6.3.1.1.2-6A, if reported
	Differential SINR #2 as in Table 6.3.1.1.2-6A, if reported
	Differential SINR #3 as in Table 6.3.1.1.2-6A, if reported
	Differential SINR #4 as in Table 6.3.1.1.2-6A, if reported

Table 6.3.1.1.2-9: Mapping order of CSI fields of one CSI report, CSI part 1, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields
	CRI as in Tables 6.3.1.1.2-3/4, if reported
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5, if reported
	Subband differential CQI for the first TB with increasing order of subband number as in
001	Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n CSI part 1	Indicator of the number of non-zero wideband amplitude coefficients $M_0$ for layer 0 as in
Ooi part i	Table 6.3.1.1.2-5, if reported
	Indicator of the number of non-zero wideband amplitude coefficients $M_1$ for layer 1 as in Table
	6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all
	zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Clauses 5.2.2.2.3
	and 5.2.2.2.4 [6, TS 38.214] and if reported
	or given CSI report <i>n</i> indicated by the higher layer parameter <i>csi-ReportingBand</i> are numbered
continuously	in the increasing order with the lowest subband of csi-ReportingBand as subband 0.

Table 6.3.1.1.2-10: Mapping order of CSI fields of one CSI report, CSI part 2 wideband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n CSI part 2	PMI wideband information fields $X_1$ , from left to right as in Tables 6.3.1.1.2-1/2, if reported
wideband	PMI wideband information fields $X_{2}$ , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if pmi- FormatIndicator= widebandPMI and if reported

Table 6.3.1.1.2-11: Mapping order of CSI fields of one CSI report, CSI part 2 subband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

	Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all even subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of
CSI report #n	subband number, if <i>pmi-FormatIndicator= subbandPMI</i> and if reported
Part 2 subband	Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all odd subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if <i>pmi-Formatlndicator= subbandPMI</i> and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

If none of the CSI reports for transmission on a PUCCH is of two parts, the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  starting with  $a_0$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

Table 6.3.1.1.2-12: Mapping order of CSI reports to UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , without two-part CSI report(s)

UCI bit sequence	CSI report number
$a_0$	CSI report #1 as in Table 6.3.1.1.2-7/8
$egin{array}{c} a_1 \ a_2 \end{array}$	CSI report #2 as in Table 6.3.1.1.2-7/8
$a_3$ :	
$a_{A-1}$	CSI report #n as in Table 6.3.1.1.2-7/8

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$  starting with  $a_0^{(1)}$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0^{(1)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0^{(2)}$ . If the length of UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$  is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

Table 6.3.1.1.2-13: Mapping order of CSI reports to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
	CSI report #1 if CSI report #1 is not of two parts, or
$a_0^{(1)}$	CSI report #1, CSI part 1, if CSI report #1 is of two parts,
	as in Table 6.3.1.1.2-7/8/9
$a_1^{(1)}$	CSI report #2 if CSI report #2 is not of two parts, or
(1)	CSI report #2, CSI part 1, if CSI report #2 is of two parts,
$a_2^{(1)}$	as in Table 6.3.1.1.2-7/8/9
$a_3^{(1)}$	
;	
a <sup>(1)</sup>	CSI report #n if CSI report #n is not of two parts, or
$a_{A^{(1)}-1}^{(1)}$	CSI report #n, CSI part 1, if CSI report #n is of two parts,
	as in Table 6.3.1.1.2-7/8/9

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-13 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

Table 6.3.1.1.2-14: Mapping order of CSI reports to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
	CSI report #1, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #1
	CSI report #2, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #2
$a_0^{(2)}$	
$a_1^{(2)} \ a_2^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #n
$a_3^{(2)} \ dots$	CSI report #1, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #1
$a_{{}^{(2)}_{-1}}^{(2)}$	CSI report #2, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #2
	CSI report #n, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #n

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-14 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

#### 6.3.1.1.3 HARQ-ACK/SR and CSI

If none of the CSI reports for transmission on a PUCCH is of two parts, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is generated according to the following, where  $A = O^{ACK} + O^{SR} + O^{CSI}$ :

if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{O^{ACK}-1}$ , where  $a_i = \tilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} - 1$ , the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is given by Clause 9.1 of [5, TS38.213], and  $O^{ACK}$  is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set  $O^{ACK} = 0$ ;

- if there is SR for transmission on the PUCCH, set  $a_i = \tilde{o}_{i-0}^{SR}{}_{ACK}$  for  $i = O^{ACK}$ ,  $O^{ACK} + 1,...,O^{ACK} + O^{SR} 1$ , where the SR bit sequence  $\tilde{O}_0^{SR}$ ,  $\tilde{O}_1^{SR}$ ,..., $\tilde{O}_{O^{SR}-1}^{SR}$  is given by Clause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set  $O^{SR} = 0$ ;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence  $a_{O^{\text{ACK}} + O^{\text{SR}}}, a_{O^{\text{ACK}} + O^{\text{SR}} + 1}, ..., a_{O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI}} 1}$  starting with  $a_{O^{\text{ACK}} + O^{\text{SR}}}$ , where  $O^{\text{CSI}}$  is the number of CSI bits.

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , according to the following, where  $A^{(1)} = O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}}$  and  $A^{(2)} = O^{\text{CSI-part2}}$ :

- if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{O^{ACK}_{-1}}^{(1)}$ , where  $a_i^{(1)} = \tilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK}_{-1}$ , the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}_{-1}}^{ACK}$  is given by Clause 9.1 of [5, TS38.213], and  $O^{ACK}_{-1}$  is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set  $O^{ACK}_{-1} = 0$ ;
- if there is SR for transmission on the PUCCH, set  $a_i = \tilde{o}_{i-0}^{SR}{}_{ACK}$  for  $i = O^{ACK}$ ,  $O^{ACK} + 1,...,O^{ACK} + O^{SR} 1$ , where the SR bit sequence  $\tilde{O}_0^{SR}$ ,  $\tilde{O}_1^{SR}$ ,..., $\tilde{O}_{O^{SR}-1}^{SR}$  is given by Clause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set  $O^{SR} = 0$ ;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence  $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}, a_{O^{\text{ACK}}+O^{\text{SR}}+1}^{(1)}, ..., a_{O^{\text{ACK}}+O^{\text{SR}}+O^{\text{CSI-partl}}-1}^{(1)}$  starting with  $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}$ , where  $O^{\text{CSI-partl}}$  is the number of CSI bits in CSI part 1 of all CSI reports;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ , where  $O^{\text{CSI-part2}}$  is the number of CSI bits in CSI part 2 of all CSI reports. If the length of UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

## 6.3.1.2 Code block segmentation and CRC attachment

The UCI bit sequence from clause 6.3.1.1 is denoted by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A is the payload size. The procedure in 6.3.1.2.1 applies for  $A \ge 12$  and the procedure in Clause 6.3.1.2.2 applies for  $A \le 11$ .

#### 6.3.1.2.1 UCI encoded by Polar code

If the payload size  $A \ge 12$ , code block segmentation and CRC attachment is performed according to Clause 5.2.1. If  $(A \ge 360 \text{ and } E \ge 1088)$  or if  $A \ge 1013$ ,  $I_{seg} = 1$ ; otherwise  $I_{seg} = 0$ , where E is the rate matching output sequence length as given in Clause 6.3.1.4.1.

If  $12 \le A \le 19$ , the parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  in Clause 5.2.1 are computed by setting L to 6 bits and using the generator polynomial  $g_{\text{CRC6}}(D)$  in Clause 5.1, resulting in the sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$  where r is the code block number and  $K_r$  is the number of bits for code block number r.

If  $A \ge 20$ , the parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  in Clause 5.2.1 are computed by setting L to 11 bits and using the generator polynomial  $g_{\text{CRCII}}(D)$  in Clause 5.1, resulting in the sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$  where r is the code block number and  $K_r$  is the number of bits for code block number r.

#### 6.3.1.2.2 UCI encoded by channel coding of small block lengths

If the payload size  $A \le 11$ , CRC bits are not attached.

The output bit sequence is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where  $c_i = a_i$  for i = 0, 1, ..., A-1 and K = A.

## 6.3.1.3 Channel coding of UCI

#### 6.3.1.3.1 UCI encoded by Polar code

Information bits are delivered to the channel coding block. They are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually encoded by the following:

If  $18 \le K_r \le 25$ , the information bits are encoded via Polar coding according to Clause 5.3.1, by setting  $n_{\max} = 10$ ,  $I_{IL} = 0$ ,  $n_{PC} = 3$ ,  $n_{PC}^{wm} = 1$  if  $E_r - K_r + 3 > 192$  and  $n_{PC}^{wm} = 0$  if  $E_r - K_r + 3 \le 192$ , where  $E_r$  is the rate matching output sequence length as given in Clause 6.3.1.4.1.

If  $K_r > 30$ , the information bits are encoded via Polar coding according to Clause 5.3.1, by setting  $n_{\text{max}} = 10$ ,  $I_{IL} = 0$ ,  $n_{PC} = 0$ , and  $n_{PC}^{wm} = 0$ .

After encoding the bits are denoted by  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r_{(N_r-1)}}$ , where  $N_r$  is the number of coded bits in code block number r.

#### 6.3.1.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits.

The information bits are encoded according to Clause 5.3.3.

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, ..., d_{N-1}$ , where N is the number of coded bits.

## 6.3.1.4 Rate matching

For PUCCH formats 2/3/4, the total rate matching output sequence length  $E_{\rm tot}$  is given by Table 6.3.1.4-1, where  $N_{\rm symb,UCI}^{\rm PUCCH2}$ ,  $N_{\rm symb,UCI}^{\rm PUCCH3}$ , and  $N_{\rm symb,UCI}^{\rm PUCCH4}$  are the number of symbols carrying UCI for PUCCH formats 2/3/4 respectively;  $N_{\rm PRB}^{\rm PUCCH,2}$  and  $N_{\rm PRB}^{\rm PUCCH,3}$  are the number of PRBs that are determined by the UE for PUCCH formats 2/3 transmission respectively according to Clause 9.2 of [5, TS38.213]; and  $N_{\rm SF}^{\rm PUCCH,2}$ ,  $N_{\rm SF}^{\rm PUCCH,3}$ , and  $N_{\rm SF}^{\rm PUCCH,4}$  are the spreading factors for PUCCH format 2, PUCCH format 3, and PUCCH format 4, respectively.

Table 6.3.1.4-1: Total rate matching output sequence length  $E_{\text{tot}}$ 

PUCCH format	Modulation order					
POCCH format	QPSK	π/2-BPSK				
PUCCH format 2	$16 \cdot N_{\text{symb,UCI}}^{\text{PUCCH,2}} \cdot N_{\text{PRB}}^{\text{PUCCH,2}} / N_{\text{SF}}^{\text{PUCCH,2}}$	N/A				
PUCCH format 3	$24 \cdot N_{\text{symb,UCI}}^{\text{PUCCH,3}} \cdot N_{\text{PRB}}^{\text{PUCCH,3}} / N_{\text{SF}}^{\text{PUCCH,3}}$	12 · N <sub>symb,UCI</sub> · N <sub>PRB</sub> · N <sub>SF</sub>				
PUCCH format 4	$24 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,4}} / N_{\mathrm{SF}}^{\mathrm{PUCCH,4}}$	$12 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,4}} / N_{\mathrm{SF}}^{\mathrm{PUCCH,4}}$				

#### 6.3.1.4.1 UCI encoded by Polar code

The input bit sequence to rate matching is  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

UCI(s) for transmission on a PUCCH	UCI for encoding	Value of $E_{ m UCI}$
HARQ-ACK	HARQ-ACK	$E_{ m UCI} = E_{ m tot}$
HARQ-ACK, SR	HARQ-ACK, SR	$E_{ m UCI} = E_{ m tot}$
CSI (CSI not of two parts)	CSI	$E_{ m UCI} = E_{ m tot}$
HARQ-ACK, CSI (CSI not of two parts)	HARQ-ACK, CSI	$E_{ m \scriptscriptstyle UCI} = E_{ m \scriptscriptstyle tot}$
HARQ-ACK, SR, CSI (CSI not of two parts)	HARQ-ACK, SR, CSI	$E_{ m UCI} = E_{ m tot}$
CSI	CSI part 1	$E_{\text{UCI}} = \min(E_{\text{tot}}, \lceil (O^{\text{CSI-part1}} + L) / R_{\text{UCI}}^{\text{max}} / Q_m \rceil \cdot Q_m)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}},  (O^{\text{CSI-part1}} + L) / R_{\text{UCI}}^{\text{max}} / Q_m  \cdot Q_m)$
HARQ-ACK, CSI	HARQ-ACK, CSI part 1	$E_{\text{UCI}} = \min \left( E_{\text{tot}}, \left\lceil \left( O^{\text{ACK}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \left\lceil \left(O^{\text{ACK}} + O^{\text{CSI-part1}} + L\right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m)$
HARQ-ACK, SR, CSI	HARQ-ACK, SR, CSI part 1	$E_{\text{UCI}} = \min \left( E_{\text{tot}}, \left\lceil \left( O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_{m} \right\rceil \cdot Q_{m} \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \left  \left( O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right  \cdot Q_m $

Table 6.3.1.4.1-1: Rate matching output sequence length  $E_{\scriptscriptstyle ext{UCI}}$ 

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where  $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Clause 6.3.1.2.1 and the value of  $E_{\text{UCI}}$  is given by Table 6.3.1.4.1-1:

- O<sup>ACK</sup> is the number of bits for HARQ-ACK for transmission on the current PUCCH;
- $O^{SR}$  is the number of bits for SR for transmission on the current PUCCH;
- $O^{\text{CSI-part1}}$  is the number of bits for CSI part 1 for transmission on the current PUCCH;
- O<sup>CSI-part2</sup> is the number of bits for CSI part 2 for transmission on the current PUCCH;
- if  $A \ge 360$ , L = 11; otherwise, L is the number of CRC bits determined according to clause 6.3.1.2.1, where A equals  $O^{\text{CSI-part1}}$  for "CSI (CSI of two parts)", equals  $O^{\text{ACK}} + O^{\text{CSI-part1}}$  for "HARQ-ACK, CSI (CSI of two parts)", and equals  $O^{\text{ACK}} + O^{\text{CSI-part1}}$  for "HARQ-ACK, SR, CSI (CSI of two parts)" respectively in Table 6.3.1.4.1-1;;
- $R_{\text{HCI}}^{\text{max}}$  is the configured maximum PUCCH coding rate;
- $E_{\text{tot}}$  is given by Table 6.3.1.4-1.

The output bit sequence after rate matching is denoted as  $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

## 6.3.1.4.2 UCI encoded by channel coding of small block lengths

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

The value of  $E_{\rm UCL}$  is determined according to Table 6.3.1.4.1-1 by setting L=0.

Rate matching is performed according to Clause 5.4.3 by setting the rate matching output sequence length  $E = E_{\text{HCL}}$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 6.3.1.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,...,  $f_{r(E_r-1)}$ , for r = 0,..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G'-1}$ , where  $G' = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor \cdot C_{\text{UCI}}$  with the values of  $E_{\text{UCI}}$  and  $C_{\text{UCI}}$  given in Clause 6.3.1.4.1. Let G be the total number of coded bits for transmission and  $G = G' + \text{mod}(E_{\text{UCI}}, C_{\text{UCI}})$ . Set  $g_i = 0$  for i = G', G' + 1, ..., G - 1.

## 6.3.1.6 Multiplexing of coded UCI bits to PUCCH

If CSI of two parts are transmitted on a PUCCH, the coded bits corresponding to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  is denoted by  $g_0^{(1)}, g_1^{(1)}, g_2^{(1)}, g_3^{(1)}, ..., g_{G^{(1)}-1}^{(1)}$  and the coded bits corresponding to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  is denoted by  $g_0^{(2)}, g_1^{(2)}, g_2^{(2)}, g_3^{(2)}, ..., g_{G^{(2)}-1}^{(2)}$ . The coded bit sequence  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where  $G = G^{(1)} + G^{(2)}$ , is generated according to the following.

Number of UCI **PUCCH** 1st UCI symbol 2<sup>nd</sup> UCI symbol 3<sup>rd</sup> UCI symbol **PUCCH DMRS** symbol indices duration indices set  $S_{
m UCI}^{(2)}$ indices set  $S_{
m UCI}^{
m (1)}$ indices set  $S_{
m UCI}^{(3)}$ symbol indices sets  $N_{
m UCI}^{
m set}$ (symbols) {1}  $\{0,2\}$ {3} 4  $\{0,2\}$ 1 {1, 2, 4} 1 5  $\{0, 3\}$ {0, 2, 3, 5} 1  $\{1, 4\}$ 6 {1, 4} 2  $\{0, 2, 3, 5\}$ {6} 8  $\{1, 5\}$ 2  $\{0, 2, 4, 6\}$  $\{3, 7\}$ 9  $\{1, 6\}$ 2  $\{0, 2, 5, 7\}$  $\{3, 4, 8\}$ 2 10  $\{2, 7\}$ {1, 3, 6, 8} {0, 4, 5, 9} 10 {1, 3, 6, 8} {0,2,4,5,7,9} 11 3 {1,3,6,8} {0,4,5,9} {10}  $\{2, 7\}$ 11 {1,3,6,9} 1 {0,2,4,5,7,8,10} {0,4,6,10} 3 {5, 11} 12  $\{2, 8\}$ {1,3,7,9}  $\{0,2,3,5,6,8,9,11\}$ 12 {1,4,7,10} 1 {0,4,7,11} 13 3 {1,3,8,10} {5,6,12}  $\{2, 9\}$ {0,2,3,5,6,8,10,12} 13 {1,4,7,11} {9} {0,6,7,13} {1,5,8,12}  ${3, 10}$ {2,4,9,11} 14 {0,2,4,6,7,9,11,13} {1,5,8,12}  ${3, 10}$ 

Table 6.3.1.6-1: PUCCH DMRS and UCI symbols

Denote  $s_l$  as UCI OFDM symbol index. Denote  $N_{\text{UCI}}^{(i)}$  as the number of elements in UCI symbol indices set  $S_{\text{UCI}}^{(i)}$  for  $i=1,...,N_{\text{UCI}}^{\text{set}}$ , where  $S_{\text{UCI}}^{(i)}$  and  $N_{\text{UCI}}^{\text{set}}$  are given by Table 6.3.1.6-1 according to the PUCCH duration and the PUCCH DMRS configuration. Denote  $N_{\text{symb,UCI}}^{\text{PUCCH,}} = \sum_{i=1}^{N_{\text{UCI}}^{\text{set}}} N_{\text{UCI}}^{(i)}$  as the number of OFDM symbols carrying UCI in the PUCCH.

Denote  $Q_m$  as the modulation order of the PUCCH.

For PUCCH format 3, set  $N_{\rm UCl}^{\rm symbol} = 12 \cdot N_{\rm PRB}^{\rm PUCCH,3}/N_{\rm SF}^{\rm PUCCH,3}$ , where  $N_{\rm PRB}^{\rm PUCCH,3}$  is the number of PRBs that is determined by the UE for PUCCH format 3 transmission according to Clause 9.2 of [5, TS 38.213], and  $N_{\rm SF}^{\rm PUCCH,3}$  is the spreading factor for PUCCH format 3 [4, TS 38.211].

For PUCCH format 4, set  $N_{\rm UCI}^{\rm symbol} = 12/N_{\rm SF}^{\rm PUCCH,4}$ , where  $N_{\rm SF}^{\rm PUCCH,4}$  is the spreading factor for PUCCH format 4.

Find the smallest j > 0 such that  $\left(\sum_{i=1}^{j} N_{\text{UCI}}^{(i)}\right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \ge G^{(1)}$ .

Set  $n_1 = 0$ ;

Set  $n_2 = 0$ ;

$$\text{Set} \ \ \overline{N}_{\text{UCI}}^{\text{symbol}} = \left| \left( G^{(1)} - \left( \sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)} \right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \right) \middle| \left( N_{\text{UCI}}^{(j)} \cdot Q_m \right) \right|;$$

Set 
$$M = \text{mod}\left(\left(G^{(1)} - \left(\sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)}\right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m\right) \middle/ Q_m, N_{\text{UCI}}^{(j)}\right);$$

for 
$$l = 0$$
 to  $N_{\text{symb,UCI}}^{\text{PUCCH,}} - 1$ 

if 
$$S_l \in \bigcup_{i=1}^{j-1} S_{\text{UCI}}^{(i)}$$

for 
$$k = 0$$
 to  $N_{\text{UCI}}^{\text{symbol}} - 1$ 

for 
$$v = 0$$
 to  $Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{n_1}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

elseif  $S_l \in S_{\text{UCI}}^{(j)}$ 

if M > 0

$$\gamma = 1$$
;

else

$$\gamma = 0$$
;

end if

$$M = M - 1;$$

for 
$$k = 0$$
 to  $\overline{N}_{\text{UCI}}^{\text{symbol}} + \gamma - 1$ 

for 
$$v = 0$$
 to  $Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{n_l}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

for 
$$k = \overline{N}_{\text{UCI}}^{\text{symbol}} + \gamma$$
 to  $N_{\text{UCI}}^{\text{symbol}} - 1$ 

for 
$$v=0$$
 to  $Q_m-1$ 

$$\overline{g}_{l,k,v}=g_{n_2}^{(2)};$$

$$n_2=n_2+1;$$
end for
end for
else
$$\text{for }k=0 \text{ to } N_{\text{UCI}}^{\text{symbol}}-1$$

$$\overline{g}_{l,k,v}=g_{n_2}^{(2)};$$

$$n_2=n_2+1;$$
end for
end for
end for
end for
$$\text{for }k=0 \text{ to } N_{\text{symb,UCI}}^{\text{PUCCH}}-1$$

$$\text{for }k=0 \text{ to } N_{\text{symb,UCI}}^{\text{PUCCH}}-1$$

$$\text{for }k=0 \text{ to } N_{\text{UCI}}^{\text{symbol}}-1$$

$$for v=0 \text{ to } Q_m-1$$

$$g_n=\overline{g}_{l,k,v};$$

$$n=n+1;$$
end for
end for

# 6.3.2 Uplink control information on PUSCH

## 6.3.2.1 UCI bit sequence generation

## 6.3.2.1.1 HARQ-ACK

end for

If HARQ-ACK bits are transmitted on a PUSCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined as follows:

- If UCI is transmitted on PUSCH without UL-SCH and the UCI includes CSI part 1 without CSI part 2,
  - if there is no HARQ-ACK bit given by Clause 9.1 of [5, TS 38.213], set  $a_0=0$ ,  $a_1=0$ , and A=2;

- if there is only one HARQ-ACK bit  $\tilde{o}_0^{ACK}$  given by Clause 9.1 of [5, TS 38.213], set  $a_0 = \tilde{o}_0^{ACK}$ ,  $a_1 = 0$ , and A = 2;
- otherwise, set  $a_i = \widetilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} 1$  and  $A = O^{ACK}$ , where the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is given by Clause 9.1 of [5, TS 38.213].

#### **CSI** 6.3.2.1.2

The bitwidth for PMI of codebookType=typeI-SinglePanel and codebookType=typeI-MultiPanel is specified in Clause 6.3.1.1.2.

The bitwidth for RI/LI/CQI/CRI of codebookType=typeI-SinglePanel and codebookType=typeI-MultiPanel is specified in Clause 6.3.1.1.2.

Table 6.3.2.1.2-1: PMI of codebookType= typell

The bitwidth for PMI of codebookType=typeII is provided in Tables 6.3.2.1.2-1, where the values of  $(N_1, N_2)$ ,  $(O_1, O_2)$ , L,  $N_{PSK}$ ,  $M_1$ ,  $M_2$ , and  $K^{(2)}$  are given by Clause 5.2.2.2.3 in [6, TS 38.214].

	Info	rmation fie	elds $X_1$ fo	or wide	band PMI		Information fields $X_2$ for wideband PMI or per subband PMI			
	$i_{1,1}$	$i_{1,2}$	$egin{array}{ c c c c c c c c c c c c c c c c c c c$			$i_{1,4,2}$	$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$
Rank=1 SBAmp off	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1 - 1) \cdot \log_2 N_{\text{PSK}}$	N/A	N/A	N/A
Rank=2 SBAmp off		$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1-1)\cdot \log_2 N_{\text{PSK}}$	$(M_2-1)\cdot \log_2 N_{\text{PSK}}$	N/A	N/A
Rank=1 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{split} & \min \! \left( \! M_1, K^{(2)} \right) \! \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left( \! M_1 \! - \! \min \! \left( \! M_1, K^{(2)} \right) \! \right) \end{split}$	N/A	$\min\left(M_1,K^{(2)}\right)-1$	N/A
Rank=2 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{split} & \min(\boldsymbol{M}_{1}, \boldsymbol{K}^{(2)}) \cdot \log_{2} N_{\text{PSK}} \\ & - \log_{2} N_{\text{PSK}} \\ & + 2 \cdot \left(\boldsymbol{M}_{1} - \min(\boldsymbol{M}_{1}, \boldsymbol{K}^{(2)})\right) \end{split}$	$\begin{aligned} & \min(M_2, K^{(2)}) \cdot \log_2 N_{\text{PSK}} \\ & - \log_2 N_{\text{PSK}} \\ & + 2 \cdot \left(M_2 - \min(M_2, K^{(2)})\right) \end{aligned}$	$\min\left(M_{1},K^{(2)}\right)-1$	$\min(M_2,K^{(2)})-1$

The bitwidth for PMI of codebookType=typeII-r16 is provided in Tables 6.3.2.1.2-1A, where the values of  $(N_1, N_2)$ ,  $(O_1, O_2), L, K^{NZ}, N_3$ , and  $\{M_l\}_{l=1,\dots,\nu}$  are given by Clause 5.2.2.2.5 in [6, TS 38.214].

Table 6.3.2.1.2-1A: PMI of codebookType= typell-r16

	Information fields $X_1$									
	i <sub>1,1</sub>	i <sub>1,2</sub>	i <sub>1,8,1</sub>	$i_{1,8,2}$	i <sub>1,8,3</sub>	i <sub>1,8,4</sub>				
Rank=1	$\lceil \log_2(O_1O_2) \rceil$	$\left[\log_2\binom{N_1N_2}{L}\right]$	$\lceil \log_2 K^{NZ} \rceil$	N/A	N/A	N/A				
$N_3 \le 19$										
Rank=2	$\lceil \log_2(O_1O_2) \rceil$	$\left[\log_2\binom{N_1N_2}{L}\right]$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	N/A	N/A				
$N_3 \le 19$										

Rar	nk=3		[log <sub>2</sub>	$(O_1O_2)$	)] [ <sub>log</sub>	$g_2 \binom{N_1 N_2}{I}$	$\lceil \log_2(2L) \rceil$		[log <sub>2</sub> (	(2 <i>L</i> )]	[]	og <sub>2</sub> (2 <i>L</i> )]	N	N/A	
N <sub>3</sub> :	≤ 19														
Rar	nk=4		[log <sub>2</sub>	$(O_1O_2$	)] <sub>[log</sub>	$g_2 {N_1 N_2 \choose L}$	$\lceil \log_2(2L) \rceil$		$\lceil \log_2(2L) \rceil$		[]	$og_2(2L)$	[log	$\lceil \log_2(2L) \rceil$	
	≤ 19														
	nk=1		[log <sub>2</sub>	(O <sub>1</sub> O <sub>2</sub>	)]   <sub>[log</sub>	$g_2 {N_1 N_2 \choose L}$	$\lceil \log_2 K^{NZ} \rceil$		N/A	A		N/A	N	N/A	
	> 19		Г	(0.0	\1 r	(AI AI > 1	[1			20.12.3		27/4		T/A	
	nk=2 > 19		log <sub>2</sub>	(O <sub>1</sub> O <sub>2</sub>	)     log	$g_2 {N_1 N_2 \choose L}$	$\lceil \log_2(2L) \rceil$		[log <sub>2</sub> (	[2L]]		N/A	r	N/A	
	nk=3		ĺloσο	$O_1O_2$	)] [,	(N <sub>1</sub> N <sub>2</sub> \1	$\lceil \log_2(2L) \rceil$		[log <sub>2</sub> (	21)]	Γı	og <sub>2</sub> (2 <i>L</i> )]	N	V/A	
	× 19		11082	(0102		$g_2 {N_1 N_2 \choose L}$	11062(21)1		11062(	20)1	11	062(21)1		V/11	
	nk=4		[log <sub>2</sub>	$O_1O_2$	)] []	$g_2 \binom{N_1 N_2}{L}$	$\lceil \log_2(2L) \rceil$		[log <sub>2</sub> (	[2 <i>L</i> )]	[1	$og_2(2L)$	[log	<sub>2</sub> (2 <i>L</i> )]	
N <sub>3</sub> :	> 19		- "	_	1.08	$P^2 \setminus L \mid I \mid$	, ,		- " \						
							Information	field	s X <sub>2</sub>						
	i <sub>2,3,1</sub>	i <sub>2,3,2</sub>	i <sub>2,3,3</sub>	i <sub>2,3,4</sub>	i <sub>1,5</sub>	i <sub>1,6,1</sub>	i <sub>1,6,2</sub>		i <sub>1,6,3</sub>	i <sub>1,6,4</sub>		$\{i_{2,4,l}\}_{l=1,,v}$	$\{i_{2,5,l}\}_{l=1,,v}$	$\{i_{1,7,l}\}_{l=1,,v}$	
Rank= 1	4	N/A	N/ A	N/ A	N/A	$\left\lceil \log_2 \binom{N_3 - 1}{M_1 - 1} \right\rceil$	N/A	N/A		N/A		3 (K <sup>NZ</sup> - 1)	$4(K^{NZ} - 1)$	2LM <sub>1</sub>	
N <sub>3</sub> ≤ 19															
Rank=	4	4	N/ A	N/ A	N/A			N/A	4	N/A		3 (K <sup>NZ</sup> - 2)	4(K <sup>NZ</sup> – 2)	4LM <sub>2</sub>	
N <sub>3</sub> ≤ 19													·		
Rank=	4	4	4	N/ A	N/A	$\left\lceil \log_2 \binom{N_3 - 1}{M_3 - 1} \right\rceil$	$\left\lceil \log_2 \binom{N_3 - 1}{M_3 - 1} \right\rceil$	log	$S_2 \begin{pmatrix} N_3 - 1 \\ M_3 - 1 \end{pmatrix}$	N/A		3 (K <sup>NZ</sup> - 3)	4(K <sup>NZ</sup> – 3)	6LM <sub>3</sub>	
N <sub>3</sub> ≤ 19															
Rank=	4	4	4	4	N/A	$\left\lceil \log_2 \binom{N_3 - 1}{M_4 - 1} \right\rceil$	$\left\lceil \log_2 \binom{N_3 - 1}{M_4 - 1} \right\rceil$	log	$\left\{2 \binom{N_3-1}{M_4-1}\right\}$	$\log_2 \binom{N_3 - 1}{M_4 - 1}$	[(1	3 (K <sup>NZ</sup> - 4)	$4(K^{NZ} - 4)$	8LM <sub>4</sub>	
N <sub>3</sub> ≤ 19															
Rank=	4	N/A	N/ A	N/ A	[log <sub>2</sub> (2M <sub>1</sub> )]	$\left\lceil \log_2 \binom{2M_1 - 1}{M_1 - 1} \right\rceil$	N/A	N/A	<b>L</b>	N/A		3 (K <sup>NZ</sup> - 1)	$4(K^{NZ} - 1)$	$2LM_1$	
N <sub>3</sub> > 19															
Rank=	4	4	N/ A	N/ A	[log <sub>2</sub> (2M <sub>2</sub> )]	$\left\lceil \log_2 \binom{2M_2 - 1}{M_2 - 1} \right\rceil$	$\log_2\binom{2M_2-1}{M_2-1}$	N/A		N/A		3 (K <sup>NZ</sup> – 2)	4(K <sup>NZ</sup> – 2)	4LM <sub>2</sub>	
N <sub>3</sub> > 19															
Rank=	4	4	4	N/ A	[log <sub>2</sub> (2M <sub>3</sub> )]	$\left\lceil \log_2 \binom{2M_3 - 1}{M_3 - 1} \right\rceil$	$\left[\log_2\binom{2M_3-1}{M_3-1}\right]$	log	$32 \binom{2M_3-1}{M_3-1}$	N/A		3 (K <sup>NZ</sup> - 3)	4(K <sup>NZ</sup> – 3)	6LM <sub>3</sub>	
N <sub>3</sub> > 19															
Rank=	4	4	4	4	$\lceil \log_2(2M_4) \rceil$	$\left\lceil \log_2 \binom{2M_4 - 1}{M_4 - 1} \right\rceil$	$\log_2\binom{2M_4-1}{M_4-1}$	log	$\left\{2 \binom{2M_4-1}{M_4-1}\right\}$	$\log_2 \binom{2M_4 - 2M_4 - 2M_4 - 2M_4}{M_4 - 2M_4}$	1 )	3 (K <sup>NZ</sup> - 4)	$4(K^{NZ} - 4)$	8LM <sub>4</sub>	
N <sub>3</sub> > 19															

Note: the bitwidth for  $\{i_{1,7,l}\}_{l=1,\dots,\upsilon}$ ,  $\{i_{2,4,l}\}_{l=1,\dots,\upsilon}$  and  $\{i_{2,5,l}\}_{l=1,\dots,\upsilon}$  shown in Table 6.3.2.1.2-1A is the total bitwidth of  $\{i_{1,7,l}\}$ ,  $\{i_{2,4,l}\}$  and  $\{i_{2,5,l}\}$  up to Rank =  $\upsilon$ , respectively, and the corresponding per layer bitwidths are  $2LM_{\upsilon}$ ,  $3(K_l^{NZ}-1)$ , and  $4(K_l^{NZ}-1)$ , (i.e., 1, 3, and 4 bits for each respective indicator elements  $k_{l,i,f}^{(3)}$ ,  $k_{l,i,f}^{(2)}$ , and  $c_{l,i,f}$ , respectively), where  $K_l^{NZ}$  as defined in Clause 5.2.2.2.5 in [6, TS 38.214] is the number of nonzero coefficients for layer l such that  $K^{NZ}=\sum_{l=1}^{\upsilon}K_l^{NZ}$ .

The bitwidth for PMI of codebookType = typeII-PortSelection is provided in Tables 6.3.2.1.2-2, where the values of  $P_{CSI-RS}$ , d, L,  $N_{PSK}$ ,  $M_1$ ,  $M_2$ , and  $K^{(2)}$  are given by Clause 5.2.2.2.4 in [6, TS 38.214].

Table 6.3.2.1.2-2: PMI of codebookType= typell-PortSelection

	Informa	tion fields	$X_1$ for wi	deband PN	ИI	Information fields $X_2$ for wideband PMI or per subband PMI				
	$i_{1,1}$	$i_{1,3,1}$ $i_{1,4,1}$ $i_{1,3,2}$ $i_{1,4,2}$		$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$			
Rank=1 SBAmp off	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1-1)\cdot \log_2 N_{\text{PSK}}$	N/A	N/A	N/A	
Rank=2 SBAmp off	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1 - 1) \cdot \log_2 N_{\text{PSK}}$	$(M_2-1)\cdot \log_2 N_{\text{PSK}}$	N/A	N/A	
Rank=1 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{aligned} & \min \left( \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! \! $	N/A	$\min\left(M_{1},K^{(2)}\right)-1$	N/A	
Rank=2 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{aligned} & \min \! \left( \! M_1, K^{(2)} \right) \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \! \left( \! M_1 \! - \! \min \! \left( \! M_1, K^{(2)} \right) \! \right) \end{aligned}$	$ \min(M_{2}, K^{(2)}) \cdot \log_{2} N_{\text{PSK}}  -\log_{2} N_{\text{PSK}}  + 2 \cdot (M_{2} - \min(M_{2}, K^{(2)})) $	$\min\left(M_1,K^{(2)}\right)-1$	$\min(M_2,K^{(2)})-1$	

The bitwidth for PMI of codebookType=typeII-PortSelection-r16 is provided in Tables 6.3.2.1.2-2A, where the values of  $P_{CSI-RS}$ , d, L,  $K^{NZ}$ ,  $N_3$ , and  $\{M_i\}_{i=1,...,v}$  are given by Clause 5.2.2.2.6 in [6, TS 38.214].

Table 6.3.2.1.2-2A: PMI of codebookType= typell-PortSelection-r16

	Information fields $X_1$				
	i <sub>1,1</sub>	$i_{1,8,1}$	$i_{1,8,2}$	$i_{1,8,3}$	i <sub>1,8,4</sub>
Rank=1	$\left[\log_2\left[\frac{P_{CSI-RS}}{2d}\right]\right]$	$\lceil \log_2 K^{NZ} \rceil$	N/A	N/A	N/A
$N_3 \le 19$	1 24 1				
Rank=2	$\left[\log_2\left[\frac{P_{CSI-RS}}{2d}\right]\right]$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	N/A	N/A
$N_3 \le 19$	1 24 1				
Rank=3	$\log_2 \left[ \frac{P_{CSI-RS}}{2d} \right]$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	N/A
$N_3 \le 19$	1 2 <i>u</i> 1				
Rank=4	$\log_2 \left[ \frac{P_{CSI-RS}}{2d} \right]$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$	$\lceil \log_2(2L) \rceil$
<i>N</i> <sub>3</sub> ≤ 19	1 20 1				

]	Rank=	:1		log	$[P_{CSI-RS}]$	[log <sub>2</sub> ]	$K^{NZ}$	N/A	N/A	Λ	N/A	Λ
1	$V_3 > 1$	19		liog <sub>2</sub>	$\left  \frac{P_{CSI-RS}}{2d} \right $							
]	Rank=	=2		loga	$\left[\frac{P_{CSI-RS}}{2d}\right]$	[log <sub>2</sub> (	(2 <i>L</i> )]	$\lceil \log_2(2L) \rceil$	N/A	Α	N/A	Λ
1	$V_3 > 1$	19		82	2d							
]	Rank=	:3		$\log_2$	$\left[\frac{P_{CSI-RS}}{2d}\right]$	[log <sub>2</sub> (	[2 <i>L</i> )]	$\lceil \log_2(2L) \rceil$	[log <sub>2</sub> (2	2 <i>L</i> )]	N/A	7
	$V_3 > 1$			ı	1 2u 1							
	Rank=			$\log_2$	$\left[\frac{P_{CSI-RS}}{2d}\right]$	[log <sub>2</sub> (	(2L)	$\lceil \log_2(2L) \rceil$	[log <sub>2</sub> (	2 <i>L</i> )]	$\lceil \log_2(2) \rceil$	2 <i>L</i> )]
I I	$V_3 > 1$	L9 						0.11				
							Informati	on fields $X_2$				
	i <sub>2,3,1</sub>	i <sub>2,3,2</sub>	$i_{2,3,3}$	i <sub>2,3,4</sub>	i <sub>1,5</sub>	i <sub>1,6,1</sub>	i <sub>1,6,2</sub>	i <sub>1,6,3</sub>	i <sub>1,6,4</sub>	$\{i_{2,4,l}\}_{l=1,}$		$\{i_{1,7,l}\}_{l=1,,v}$
Rank=	4	N/ A	N/A	N/ A	N/A	$\left\lceil \log_2 \binom{N_3 - 1}{M_1 - 1} \right\rceil$	N/A	N/A	N/A	3 (K <sup>NZ</sup> – 1)	4(K <sup>NZ</sup> - 1)	2LM <sub>1</sub>
N <sub>3</sub> ≤ 19												
Rank=	4	4	N/A	N/ A	N/A	$\left[\log_2\binom{N_3-1}{M_2-1}\right]$	$\log_2 \binom{N_3 - 1}{M_2 - 1}$	) N/A	N/A	3 (K <sup>NZ</sup> – 2)	4(K <sup>NZ</sup> – 2)	4 <i>LM</i> <sub>2</sub>
N <sub>3</sub> ≤ 19												
Rank=	4	4	4	N/ A	N/A	$\left[\log_2\binom{N_3-1}{M_3-1}\right]$	$\log_2 \binom{N_3 - 1}{M_3 - 1}$		N/A	3 (K <sup>NZ</sup> – 3)	4(K <sup>NZ</sup> – 3)	6LM <sub>3</sub>
N <sub>3</sub> ≤ 19												
Rank=	4	4	4	4	N/A	$\left[\log_2\binom{N_3-1}{M_4-1}\right]$	$\log_2 \binom{N_3 - 1}{M_4 - 1}$		$\left\lceil \log_2 \binom{N_3 - 1}{M_4 - 1} \right\rceil$	3 (K <sup>NZ</sup> - 4)	4(K <sup>NZ</sup> - 4)	8LM <sub>4</sub>
N <sub>3</sub> ≤ 19												
Rank=	4	N/ A	N/A	N/ A	$\lceil \log_2(2M_1) \rceil$	$\left[\log_2\binom{2M_1-1}{M_1-1}\right]$	N/A	N/A	N/A	3 (K <sup>NZ</sup> - 1)	4(K <sup>NZ</sup> – 1)	2 <i>LM</i> <sub>1</sub>
N <sub>3</sub> > 19												
Rank=	4	4	N/A	N/ A	$\lceil \log_2(2M_2) \rceil$	$\left[\log_2\binom{2M_2-1}{M_2-1}\right]$	$\log_2 \binom{2M_2 - 1}{M_2 - 1}$	1) N/A	N/A	3 (K <sup>NZ</sup> – 2)	4(K <sup>NZ</sup> – 2)	$4LM_2$
N <sub>3</sub> > 19												
Rank=	4	4	4	N/ A	$\lceil \log_2(2M_3) \rceil$	$\left[\log_2\binom{2M_3-1}{M_3-1}\right]$	$\log_2 \binom{2M_3 - 1}{M_3 - 1}$		N/A	3 (K <sup>NZ</sup> – 3)	4(K <sup>NZ</sup> – 3)	6 <i>LM</i> <sub>3</sub>
N <sub>3</sub> > 19												
Rank=	4	4	4	4	$\lceil \log_2(2M_4) \rceil$	$\left[\log_2\binom{2M_4-1}{M_4-1}\right]$	$\log_2 \binom{2M_4 - 1}{M_4 - 1}$		$\left\lceil \log_2 \binom{2M_4 - 1}{M_4 - 1} \right\rceil$	3 (K <sup>NZ</sup> - 4)	4(K <sup>NZ</sup> - 4)	8 <i>LM</i> <sub>4</sub>
N <sub>3</sub> > 19												
	•											

Note: the bitwidth for  $\{i_{1,7,l}\}_{l=1,\dots,v}$ ,  $\{i_{2,4,l}\}_{l=1,\dots,v}$  and  $\{i_{2,5,l}\}_{l=1,\dots,v}$  shown in Table 6.3.2.1.2-2A is the total bitwidth of  $\{i_{1,7,l}\}$ ,  $\{i_{2,4,l}\}$  and  $\{i_{2,5,l}\}$  up to Rank =v, respectively, and the corresponding per layer bitwidths are  $2LM_v$ ,  $3(K_l^{NZ}-1)$ , and  $4(K_l^{NZ}-1)$ , (i.e., 1, 3, and 4 bits for each respective indicator elements  $k_{l,i,f}^{(3)}$ ,  $k_{l,i,f}^{(2)}$ , and  $c_{l,i,f}$ , respectively), where  $K_l^{NZ}$  as defined in Clause 5.2.2.2.5 in [6, TS 38.214] is the number of nonzero coefficients for layer l such that  $K^{NZ} = \sum_{l=1}^{v} K_l^{NZ}$ .

For CSI on PUSCH, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-6, are mapped to the UCI

bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  starting with  $a_0^{(1)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-7, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ .

The mapping order of CSI fields of one report for CRI/RSRP or SSBRI/RSRP reporting is provided in Table 6.3.1.1.2-8. The mapping order of CSI fields of one report for CRI/SINR or SSBRI/SINR reporting is provided in Table 6.3.1.1.2-8A. The procedure in clause 6.3.2 described for CSI part 1 is also applicable for one report for CRI/RSRP, SSBRI/RSRP, CRI/SINR, or SSBRI/SINR reporting.

Table 6.3.2.1.2-3: Mapping order of CSI fields of one CSI report, CSI part 1

CSI report number	CSI fields			
	CRI as in Tables 6.3.1.1.2-3/4/6, if reported			
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5 or 6.3.2.1.2-8, if reported			
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5 or 6.3.2.1.2-8, if reported			
	Subband differential CQI for the first TB with increasing order of subband number as in			
	Tables 6.3.1.1.2-3/4/5 or 6.3.2.1.2-8, if reported			
CSI report #n	Indicator of the number of non-zero wideband amplitude coefficients $M_0$ for layer 0 as in			
CSI part 1	Table 6.3.1.1.2-5, if reported			
00. pa	Indicator of the number of non-zero wideband amplitude coefficients $M_1$ for layer 1 as in Table			
	6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all			
	zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Clauses 5.2.2.2.3			
	and 5.2.2.2.4 [6, TS 38.214] and if reported			
	Indicator of the total number of non-zero coefficients summed across all layers $K^{NZ}$ as in			
Table 6.3.2.1.2-8, if reported				
Note: Subbands for given CSI report <i>n</i> indicated by the higher layer parameter <i>csi-ReportingBand</i> are numbered				
continuously in the increasing order with the lowest subband of csi-ReportingBand as subband 0.				

Table 6.3.2.1.2-4: Mapping order of CSI fields of one CSI report, CSI part 2 wideband

CSI report number	CSI fields
CSI report #n CSI part 2 wideband	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
	PMI wideband information fields $X_1$ , from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, if reported
	PMI wideband information fields $X_2$ , from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-
	1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214], if pmi-FormatIndicator= widebandPMI and if reported

Table 6.3.2.1.2-5: Mapping order of CSI fields of one CSI report, CSI part 2 subband

CSI report #n Part 2 subband	Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all even subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported
	Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all odd subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Clause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

Table 6.3.2.1.2-5A: Mapping order of CSI fields of one CSI report, CSI part 2 of codebookType=typell-r16 or typell-PortSelection-r16

CSI report number	CSI fields
CSI report #n CSI part 2, group 0	PMI fields $X_1$ , from left to right as in Tables 6.3.2.1.2-1A/2A, if reported
CSI report #n CSI part 2, group 1	The following PMI fields $X_2$ , from left to right, as in Tables 6.3.2.1.2-1A/2A: $\{i_{2,3,l}: l=1,,v\}$ , $i_{1,5}$ , $\{i_{1,6,l}: l=1,,v\}$ and $(\left\lceil \frac{K^{NZ}}{2} \right\rceil - v) \times 3$ highest priority bits of $\{i_{2,4,l}: l=1,,v\}$ , $(\left\lceil K^{NZ}/2 \right\rceil - v) \times 4$ highest priority bits of $\{i_{2,5,l}: l=1,,v\}$ and $v*2LM_v-[K^{NZ}/2]$ highest priority bits of $\{i_{1,7,l}: l=1,,v\}$ , in decreasing order of priority based on function $\Pr(l,i,f)$ defined in clause 5.2.3 of TS38.214, if reported
CSI report #n CSI part 2, group 2	The following PMI fields $X_2$ , from left to right, as in Tables 6.3.2.1.2-1A/2A: $\lfloor K^{NZ}/2 \rfloor \times 3$ lowest priority bits of $\{i_{2,4,l}: l=1,\dots,v\}$ , $\lfloor K^{NZ}/2 \rfloor \times 4$ lowest priority bits of $\{i_{2,5,l}: l=1,\dots,v\}$ and $\lfloor K^{NZ}/2 \rfloor$ lowest priority bits of $\{i_{1,7,l}: l=1,\dots,v\}$ , in decreasing order of priority based on function $\Pr(l,i,f)$ defined in clause 5.2.3 of TS38.214, if reported

Table 6.3.2.1.2-6: Mapping order of CSI reports to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
$a_0^{(1)}$	CSI part 1 of CSI report #1 as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8 or Table 6.3.1.1.2-8A
$a_1^{(1)} \ a_2^{(1)}$	CSI part 1 of CSI report #2 as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8 or Table 6.3.1.1.2-8A
$a_3^{(1)}$ $\vdots$	
$a_{{}_{A^{(1)}-1}}^{(1)}$	CSI part 1 of CSI report #n as in Table 6.3.2.1.2-3 or Table 6.3.1.1.2-8 or Table 6.3.1.1.2-8A

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-6 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

Table 6.3.2.1.2-7: Mapping order of CSI reports to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
	CSI report #1, CSI part 2 wideband, as in Table 6.3.2.1.2-4, or CSI part 2 with group 0, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #1
	CSI report #2, CSI part 2 wideband, as in Table 6.3.2.1.2-4, or CSI part 2 with group 0, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #2
$a_0^{(2)}$	
$a_1^{(2)} \ a_2^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.2.1.2-4, or CSI part 2 with group 0, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #n
$a_3^{(2)}$ $\vdots$	CSI report #1, CSI part 2 subband, as in Table 6.3.2.1.2-5, or CSI part 2 with group 1 and 2, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #1
$a_{{}_{A^{(2)}-1}}^{(2)}$	CSI report #2, CSI part 2 subband, as in Table 6.3.2.1.2-5, or CSI part 2 with group 1 and 2, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #2
	CSI report #n, CSI part 2 subband, as in Table 6.3.2.1.2-5, or CSI part 2 with group 1 and 2, as in Table 6.3.2.1.2-5A, if CSI part 2 exists for CSI report #n

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-7 correspond to the CSI reports in increasing order of CSI report priority values according to Clause 5.2.5 of [6, TS38.214].

The bitwidth for RI/CQI of *codebookType=typeII-r16* or *codebookType=typeII-PortSelection-r16* is provided in Table 6.3.2.1.2-8.

Table 6.3.2.1.2-8: RI and CQI of codebookType=typell-r16 or typell-PortSelection-r16

Field	Bitwidth		
Rank Indicator	$min(2, \lceil log_2 n_{RI} \rceil)$		
Wide-band CQI	4		
Subband differential CQI	2		
Indicator of the total number of non-zero coefficients summed across all layers $\mathit{K}^{\mathit{NZ}}$	$\lceil \log_2(K_0) \rceil$ if max allowed rank is 1; $\lceil \log_2(2K_0) \rceil$ otherwise		

where  $n_{RI}$  is the number of allowed rank indicator values according to Clauses 5.2.2.2.5 and 5.2.2.2.6 [6, TS 38.214],  $K_0 = \left[2L\left[p_1 \times \frac{N_3}{R}\right]\beta\right]$ , where  $p_1$ ,  $N_3$ , R, and  $\beta$  are given by Clause 5.2.2.2.5 and 5.2.2.2.6 in [6, TS 38.214]. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

#### 6.3.2.1.3 CG-UCI

For CG-UCI bits transmitted on a CG PUSCH, the CG-UCI bit sequence  $a_0, a_1, a_2, a_3, \dots, a_{A-1}$  is determined as follows:

set  $a_i = \tilde{o}_i^{CG-UCI}$  for  $i = 0,1,...,0^{CG-UCI}-1$  and  $A = 0^{CG-UCI}$ , where the CG-UCI bit sequence  $\tilde{o}_0^{CG-UCI}, \tilde{o}_1^{CG-UCI}, ..., \tilde{o}_0^{CG-UCI}_{OCG-UCI}$  is given by Table 6.3.2.1.3-1, mapped in the order from upper part to lower part.

Table 6.3.2.1.3-1: Mapping order of CG-UCI fields

Field	Bitwidth
HARQ process number	4
Redundancy version	2
New data indicator	1
Channel Occupancy Time (COT) sharing information	[log <sub>2</sub> C] if both higher layer parameter <i>ul-toDL-COT-SharingED-Threshold</i> and higher layer parameter <i>cg-COT-SharingList</i> are configured, where C is the number of combinations configured in <i>cg-COT-SharingList</i> ;  1 if higher layer parameter <i>ul-toDL-COT-SharingED-Threshold</i> is not configured and higher layer parameter <i>cg-COT-SharingOffset</i> is configured;  0 otherwise;  If a UE indicates COT sharing other than "no sharing" in a CG PUSCH within the UE's initiated COT, the UE should provide consistent COT sharing information in all the subsequent CG PUSCHs, if any, occurring within the same UE's initiated COT such that the same DL starting point and duration are maintained.

#### 6.3.2.1.4 HARQ-ACK and CG-UCI

When higher layer parameter cg-UCI-Multiplexing is configured, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined as follows, where  $A = O^{CG - UCI} + O^{ACK}$ .

- The CG-UCI bits are mapped to the UCI bit sequence  $a_0$ ,  $a_1$ ,  $a_2$ ,  $a_3$ , ...,  $a_0$ <sub>CG-UCI</sub>, where  $a_i = \tilde{o}_i^{CG-UCI}$  for  $i = 0,1,...,0^{CG-UCI} 1$ . The CG-UCI bit sequence  $\tilde{o}_0^{CG-UCI}$ ,  $\tilde{o}_1^{CG-UCI}$ , ...,  $\tilde{o}_0^{CG-UCI}$  is given by Table 6.3.2.1.3-1 mapped in the order from upper part to lower part, and  $O^{CG-UCI}$  is number of CG-UCI bits;
- The HARQ-ACK bits are mapped to the UCI bit sequence  $a_0cg-ucI$ ,  $a_0cg-ucI_{+1}$ , ...,  $a_0cg-ucI_{+O}ACK_{-1}$ , where  $a_{i+0}cg-ucI = \tilde{o}_i^{ACK}$  for i=0,1,...,  $O^{ACK}-1$ . The HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}$ ,  $\tilde{o}_1^{ACK}$ , ...,  $\tilde{o}_0^{ACK}$  is given by Clause 9.1 of [5, TS38.213], and  $O^{ACK}$  is number of HARQ-ACK bits.

## 6.3.2.2 Code block segmentation and CRC attachment

Denote the bits of the payload by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A is the payload size. The procedure in 6.3.2.2.1 applies for  $A \ge 12$  and the procedure in Clause 6.3.2.2.2 applies for  $A \le 11$ .

#### 6.3.2.2.1 UCI encoded by Polar code

Code block segmentation and CRC attachment is performed according to Clause 6.3.1.2.1.

#### 6.3.2.2.2 UCI encoded by channel coding of small block lengths

The procedure in Clause 6.3.1.2.2 applies.

## 6.3.2.3 Channel coding of UCI

## 6.3.2.3.1 UCI encoded by Polar code

Channel coding is performed according to Clause 6.3.1.3.1, except that the rate matching output sequence length  $E_r$  is given in Clause 6.3.2.4.1.

## 6.3.2.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits.

The information bits are encoded according to Clause 5.3.3.

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

## 6.3.2.4 Rate matching

#### 6.3.2.4.1 UCI encoded by Polar code

#### 6.3.2.4.1.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH not using repetition type B with UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{\text{ACK}}' = \min \left\{ \begin{bmatrix} (O_{\text{ACK}} + L_{\text{ACK}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ \sum_{r=0}^{C_{\text{UL}-\text{SCH}} - 1} K_r \end{bmatrix}, \begin{bmatrix} \alpha \cdot \sum_{l=l_0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \end{bmatrix} \right\}$$

where

- $O_{
  m ACK}$  is the number of HARQ-ACK bits;
- if  $O_{ACK} \ge 360$ ,  $L_{ACK} = 11$ ; otherwise  $L_{ACK}$  is the number of CRC bits for HARQ-ACK determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$ ;
- $C_{\mathrm{UL-SCH}}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter *scaling*;

-  $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission.

For HARQ-ACK transmission on an actual repetition of a PUSCH with repetition Type B with UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{ACK}' = \min \left\{ \begin{bmatrix} (O_{ACK} + L_{ACK}) & \beta_{\text{offset}}^{\text{PUSCH}} & \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}} - 1} M_{\text{sc,nominal}}^{\text{PUSCH}}(l) \\ \hline \sum_{r=0}^{C} L_{\text{L-SCH}}^{\text{CUL-SCH}} K_r \end{bmatrix}, \begin{bmatrix} \alpha & \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}} - 1} M_{\text{sc,nominal}}^{\text{UCI}}(l) \\ \hline \sum_{l=0}^{N_{\text{symb,actual}}^{\text{PUSCH}} - 1} M_{\text{sc,actual}}^{\text{UCI}}(l) \end{bmatrix} \right\}$$

where

- $M_{\text{sc,nominal}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, \dots, N_{\text{symb,nominal}}^{\text{PUSCH}} 1$ , in the PUSCH transmission assuming a nominal repetition without segmentation, and  $N_{\text{symb,nominal}}^{\text{PUSCH}}$  is the total number of OFDM symbols in a nominal repetition of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc,nominal}}^{\text{UCI}}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc,nominal}}^{\text{UCl}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission assuming a nominal repetition without segmentation;
- $M_{\text{sc,actual}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l=0,1,2,\cdots,N_{\text{symb,actual}}^{\text{PUSCH}}-1$ , in the actual repetition of the PUSCH transmission, and  $N_{\text{symb,actual}}^{\text{PUSCH}}$  is the total number of OFDM symbols in the actual repetition of the PUSCH transmission, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the actual repetition of the PUSCH transmission;
- and all the other notations in the formula are defined the same as for PUSCH not using repetition type B.

For HARQ-ACK transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{\text{ACK}}' = \min \left\{ \left\lceil \frac{\left(O_{\text{ACK}} + L_{\text{ACK}}\right) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_{m}} \right\rceil, \left\lceil \alpha \cdot \sum_{l=l_{0}}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \right\rceil \right\}$$

where

- $O_{\rm ACK}$  is the number of HARQ-ACK bits;
- if  $O_{ACK} \ge 360$ ,  $L_{ACK} = 11$ ; otherwise  $L_{ACK}$  is the number of CRC bits for HARQ-ACK defined according to Clause 6.3.1.2.1;

$$\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$$

- $M_{\rm sc}^{
  m PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ ;
- $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission;
- R is the code rate of the PUSCH, determined according to Clause 6.1.4.1 of [6, TS38.214];
- $Q_m$  is the modulation order of the PUSCH;
- $\alpha$  is configured by higher layer parameter *scaling*.

The input bit sequence to rate matching is  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\rm UCI}$  is the number of code blocks for UCI determined according to Clause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{ACK}} \cdot Q_m.$

The output bit sequence after rate matching is denoted as  $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

### 6.3.2.4.1.2 CSI part 1

For CSI part 1 transmission on PUSCH not using repetition type B with UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI-part1}}$ , is determined as follows:

$$Q_{\text{CSI-1}}' = \min \left\{ \left[ \frac{(O_{\text{CSI-1}} + L_{\text{CSI-1}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l)}{\sum_{r=0}^{C_{\text{UL-SCH}}^{\text{UCI}} + K_r}} \right], \left[ \alpha \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}^{\text{UCI}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \right] - Q_{ACK/CG-UCI}' \right\}$$

where

-  $O_{\mathrm{CSI-1}}$  is the number of bits for CSI part 1;

- if  $O_{\text{CSI-1}} \ge 360$ ,  $L_{\text{CSI-1}} = 11$ ; otherwise  $L_{\text{CSI-1}}$  is the number of CRC bits for CSI part 1 determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}}$ ;
- $C_{\rm UL-SCH}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{ACK/CG-UCI} = Q'_{ACK}$  if HARQ-ACK is present for transmission on the same PUSCH with UL-SCH and without CG-UCI, where  $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH as defined in clause 6.3.2.4.1.1 if number of HARQ-ACK information bits is more than 2, and

$$Q'_{\text{ACK}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} \overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l) \text{ if the number of HARQ-ACK information bits is no more than 2 bits, where}$$

 $\overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$  is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symball}}^{\text{PUSCH}}-1$ , in the PUSCH transmission, defined in Clause 6.2.7; or

- $Q'_{ACK/CG-UCI} = Q'_{ACK}$  if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH, where  $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK and CG-UCI transmitted on the PUSCH as defined in clause 6.3.2.4.1.5; or
- $Q'_{ACK/CG-UCI} = Q'_{CG-UCI}$  if CG-UCI is present on the same PUSCH with UL-SCH and without HARQ-ACK, where  $Q'_{CG-UCI}$  is the number of coded modulation symbols per layer for CG-UCI transmitted on the PUSCH as defined in clause 6.3.2.4.1.4;
- $M_{sc}^{UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{symb,all}^{PUSCH} 1$ , in the PUSCH transmission and  $N_{symb,all}^{PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter *scaling*.

For CSI part 1 transmission on an actual repetition of a PUSCH with repetition Type B with UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI-part1}}$ , is determined as follows:

$$Q'_{\text{CSI-1}} = \min \left\{ \left[ \frac{(O_{\text{CSI-1}} + L_{\text{CSI-1}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}} - 1} M_{\text{sc,nominal}}^{\text{UCI}}(l)}{\sum_{r=0}^{C_{\text{UL-SCH}} - 1} K_r} \right], \quad \left[ \alpha \cdot \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}} - 1} M_{\text{sc,nominal}}^{\text{UCI}}(l) - Q'_{ACK/CG-UCI} \right] - Q'_{ACK/CG-UCI}$$

where

- $M_{\text{sc,nominal}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, \dots, N_{\text{symb,nominal}}^{\text{PUSCH}} 1$ , in the PUSCH transmission assuming a nominal repetition without segmentation, and  $N_{\text{symb,nominal}}^{\text{PUSCH}}$  is the total number of OFDM symbols in a nominal repetition of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc.nominal}}^{\text{UCI}}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc,nominal}}^{\text{UCI}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission assuming a nominal repetition without segmentation;
- $M_{\text{sc,actual}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, \dots, N_{\text{symb,actual}}^{\text{PUSCH}} 1$ , in the actual repetition of the PUSCH transmission, and  $N_{\text{symb,actual}}^{\text{PUSCH}}$  is the total number of OFDM symbols in the actual repetition of the PUSCH transmission, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the actual repetition of the PUSCH transmission;
- and all the other notations in the formula are defined the same as for PUSCH not using repetition type B.

For CSI part 1 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI-part1}}$ , is determined as follows:

if there is CSI part 2 to be transmitted on the PUSCH,

$$Q'_{\text{CSI-1}} = \min \left\{ \left\lceil \frac{\left(O_{\text{CSI-1}} + L_{\text{CSI-1}}\right) \cdot \beta_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_m} \right\rceil, \sum_{l=0}^{N_{\text{symb,all}}^{\text{UCI}} - l} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}} \right\}$$

else

$$Q'_{\text{CSI-1}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}}$$

end if

where

- $O_{\text{CSI-1}}$  is the number of bits for CSI part 1;
- if  $O_{\text{CSI-1}} \ge 360$ ,  $L_{\text{CSI-1}} = 11$ ; otherwise  $L_{\text{CSI-1}}$  is the number of CRC bits for CSI part 1 determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}};$
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;

- $Q'_{
  m ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{
  m ACK} = \sum_{l=0}^{N_{
  m symball}^{
  m PUSCH}-1} \overline{M}_{
  m sc,\,rvd}^{
  m ACK}(l)$  if the number of HARQ-ACK information bits is no more than 2 bits, where  $\overline{M}_{
  m sc,\,rvd}^{
  m ACK}(l)$  is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for  $l=0,1,2,...,N_{
  m symb,all}^{
  m PUSCH}-1$ , in the PUSCH transmission, defined in Clause 6.2.7;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ ;
- R is the code rate of the PUSCH, determined according to Clause 6.1.4.1 of [6, TS38.214];
- $Q_m$  is the modulation order of the PUSCH.

The input bit sequence to rate matching is  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Clause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSLI}} \cdot Q_m$ .

The output bit sequence after rate matching is denoted as  $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

#### 6.3.2.4.1.3 CSI part 2

For CSI part 2 transmission on PUSCH not using repetition type B with UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI-part2}}$ , is determined as follows:

$$Q'_{\text{CSI-2}} = \min \left\{ \left[ \frac{(o_{\text{CSI-2}} + L_{\text{CSI-2}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}(l)}}{\sum_{r=0}^{C} L_{\text{cSCH-1}} K_{r}} \right], \left[ \alpha \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}(l)} \right] - Q'_{ACK/CG-UCI} - Q'_{\text{CSI-1}} \right\}$$

where

- $O_{\text{CSI-2}}$  is the number of bits for CSI part 2;
- if  $O_{\text{CSI-2}} \ge 360$ ,  $L_{\text{CSI-2}} = 11$ ; otherwise  $L_{\text{CSI-2}}$  is the number of CRC bits for CSI part 2 determined according to Clause 6.3.1.2.1:

- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part2}}$ ;
- $C_{\rm UL-SCH}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{
  m PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{ACK/CG-UCI} = Q'_{ACK}$  if HARQ-ACK is present for transmission on the same PUSCH with UL-SCH and without CG-UCI, where  $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH as defined in clause 6.3.2.4.1.1 if number of HARQ-ACK information bits is more than 2, and  $Q'_{ACK} = 0$  if the number of HARQ-ACK information bits is 1 or 2 bits; or
- $Q'_{ACK/CG-UCI} = Q'_{ACK}$  if both HARQ-ACK and CG-UCI are present on the same PUSCH with UL-SCH, where  $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK and CG-UCI transmitted on the PUSCH as defined in clause 6.3.2.4.1.5; or
- $Q'_{ACK/CG-UCI} = Q'_{CG-UCI}$  if CG-UCI is present on the same PUSCH with UL-SCH and without HARQ-ACK, where  $Q'_{CG-UCI}$  is the number of coded modulation symbols per layer for CG-UCI transmitted on the PUSCH as defined in clause 6.3.2.4.1.4;
- $Q'_{\text{CSI-1}}$  is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{so}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}\left(l\right) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}\left(l\right)$ .
- $\alpha$  is configured by higher layer parameter *scaling*.

For CSI part 2 transmission on an actual repetition of a PUSCH with repetition Type B with UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI-part2}}$ , is determined as follows:

$$Q'_{\text{CSI-2}} = \min \left\{ \begin{bmatrix} (O_{\text{CSI-2}} + L_{\text{CSI-2}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}}} M_{\text{sc,nominal}}^{\text{UCI}}(l) \\ \sum_{r=0}^{C_{\text{UL-SCH}}^{-1}} K_r \end{bmatrix}, \begin{bmatrix} \alpha \cdot \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}}} M_{\text{sc,nominal}}^{\text{UCI}}(l) \\ \alpha \cdot \sum_{l=0}^{N_{\text{symb,nominal}}^{\text{PUSCH}}} M_{\text{sc,nominal}}^{\text{UCI}}(l) \end{bmatrix} \\ - Q'_{ACK/CG-UCI} - Q'_{\text{CSI-1}}, \sum_{l=0}^{N_{\text{symb,actual}}^{\text{PUSCH}}^{-1}} M_{\text{sc,actual}}^{\text{UCI}}(l) - Q'_{ACK/CG-UCI} - Q'_{\text{CSI-1}} \end{bmatrix}$$

where

-  $M_{\text{sc,nominal}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, \dots, N_{\text{symb,nominal}}^{\text{PUSCH}} - 1$ , in the PUSCH transmission assuming a nominal repetition without segmentation, and  $N_{\text{symb,nominal}}^{\text{PUSCH}}$  is the total number of OFDM symbols in a nominal repetition of the PUSCH, including all OFDM symbols used for DMRS;

- for any OFDM symbol that carries DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc,nominal}}^{\text{UCI}}(l) = 0$ ;
- for any OFDM symbol that does not carry DMRS of the PUSCH assuming a nominal repetition without segmentation,  $M_{\text{sc,nominal}}^{\text{UCI}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,nominal}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission assuming a nominal repetition without segmentation;
- $M_{\text{sc,actual}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, \dots, N_{\text{symb,actual}}^{\text{PUSCH}} 1$ , in the actual repetition of the PUSCH transmission, and  $N_{\text{symb,actual}}^{\text{PUSCH}}$  is the total number of OFDM symbols in the actual repetition of the PUSCH transmission, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = 0$ :
  - for any OFDM symbol that does not carry DMRS of the actual repetition of the PUSCH transmission,  $M_{\text{sc,actual}}^{\text{UCI}}(l) = M_{\text{sc}}^{\text{PUSCH}} M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  where  $M_{\text{sc,actual}}^{\text{PT-RS}}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the actual repetition of the PUSCH transmission;
- and all the other notations in the formula are defined the same as for PUSCH not using repetition type B.

For CSI part 2 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI-part2}}$ , is determined as follows:

$$Q'_{\text{CSI-2}} = \sum_{l=0}^{N_{\text{symb,ail}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}} - Q'_{\text{CSI-1}}$$

where

- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{ACK} = 0$  if the number of HARQ-ACK information bits is 1 or 2 bits;
- $Q'_{\mathrm{CSI-1}}$  is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ .

The input bit sequence to rate matching is  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Clause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSL2}} \cdot Q_m.$

The output bit sequence after rate matching is denoted as  $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

### 6.3.2.4.1.4 CG-UCI

For CG-UCI transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for CG-UCI transmission, denoted as  $Q'_{\text{CG-UCI}}$ , is determined as follows:

$$Q_{\text{CG-UCI}}' = \min \left\{ \left[ \frac{(o_{\text{CG-UCI}} + L_{\text{CG-UCI}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l)}{\sum_{r=0}^{C_{\text{UL-SCH}} - 1} K_r} \right], \left[ \alpha \cdot \sum_{l=l_0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) \right] \right\}$$

where

- $O_{CG-UCI}$  is the number of CG-UCI bits;
- $L_{CG-UCI}$  is the number of CRC bits for CG-UCI determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CG-UCI}}$ ;
- $C_{UL-SCH}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for l=0,1,2,...,  $N_{\rm symb,all}^{\rm PUSCH}$  1, in the PUSCH transmission and  $N_{\rm symb,all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter *scaling*;
- $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission.

The input bit sequence to rate matching is  $d_{r0}$ ,  $d_{r1}$ ,  $d_{r2}$ ,  $d_{r3}$ , ...,  $d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Clause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;

$$- E_{UCI} = N_L \cdot Q'_{CG-UCI} \cdot Q_m.$$

The output bit sequence after rate matching is denoted as  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ , ...,  $f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

#### 6.3.2.4.1.5 HARQ-ACK and CG-UCI

For HARQ-ACK and CG-UCI transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK and CG-UCI transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{ACK}' = \min \left\{ \left[ \frac{(o_{\text{ACK}} + o_{CG-UCI} + L_{\text{ACK}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}(l)}}{\sum_{r=0}^{C_{UL} - \text{SCH}^{-1}} K_r} \right], \left[ \alpha \cdot \sum_{l=l_0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}(l)} \right] \right\}$$

where

- $O_{ACK}$  is the number of HARQ-ACK bits;
- $O_{CG-UCI}$  is the number of CG-UCI bits;
- if  $O_{ACK} + O_{CG-UCI} > 360$ ,  $L_{ACK} = 11$ ; otherwise  $L_{ACK}$  is the number of CRC bits for HARQ-ACK and CG-UCI determined according to Clause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$ ;
- $C_{UL-SCH}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\text{sc}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for l=0,1,2,...,  $N_{\text{symb,all}}^{\text{PUSCH}}$  1, in the PUSCH transmission and  $N_{\text{symb,all}}^{\text{PUSCH}}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter *scaling*;
- $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission.

The input bit sequence to rate matching is  $d_{r0}$ ,  $d_{r1}$ ,  $d_{r2}$ ,  $d_{r3}$ , ...,  $d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Clause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{UCI} = N_L \cdot Q'_{ACK} \cdot Q_m$ .

The output bit sequence after rate matching is denoted as  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ , ...,  $f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

### 6.3.2.4.2 UCI encoded by channel coding of small block lengths

### 6.3.2.4.2.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{\rm ACK}$ , is determined according to Clause 6.3.2.4.1.1, by setting the number of CRC bits L=0.

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{ACK} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

### 6.3.2.4.2.2 CSI part 1

For CSI part 1 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI.1}}$ , is determined according to Clause 6.3.2.4.1.2, by setting the number of CRC bits L=0.

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{\text{CSLI}} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{F-1}$ .

### 6.3.2.4.2.3 CSI part 2

For CSI part 2 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI},2}$ , is determined according to Clause 6.3.2.4.1.3, by setting the number of CRC bits L=0.

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{CSL2} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

### 6.3.2.4.2.4 CG-UCI

For CG-UCI transmission on PUSCH, the number of coded modulation symbols per layer for CG-UCI transmission, denoted as  $Q'_{CG-UCI}$ , is determined according to Clause 6.3.2.4.1.4, by setting the number of CRC bits  $L_{CG-UCI} = 0$ .

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length

$$E = N_L \cdot Q'_{CG-UCI} \cdot Q_m$$
, where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 6.3.2.4.2.5 HARQ-ACK and CG-UCI

For HARQ-ACK and CG-UCI transmission on PUSCH, the number of coded modulation symbols per layer for HARQ-ACK and CG-UCI transmission, denoted as  $Q'_{ACK}$ , is determined according to Clause 6.3.2.4.1.5, by setting the number of CRC bits  $L_{ACK} = 0$ .

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Clause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{ACK} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

### 6.3.2.5 Code block concatenation

Code block concatenation is performed according to Clause 6.3.1.5, except that the values of  $E_{\rm UCI}$  and  $C_{\rm UCI}$  given in Clause 6.3.2.4.1.

## 6.3.2.6 Multiplexing of coded UCI bits to PUSCH

The coded UCI bits are multiplexed onto PUSCH according to the procedures in Clause 6.2.7.

# 7 Downlink transport channels and control information

### 7.1 Broadcast channel

Data arrives to the coding unit in the form of a maximum of one transport block every 80ms. The following coding steps can be identified:

- Payload generation
- Scrambling
- Transport block CRC attachment
- Channel coding
- Rate matching

# 7.1.1 PBCH payload generation

Denote the bits in a transport block delivered to layer 1 by  $\overline{a}_0$ ,  $\overline{a}_1$ ,  $\overline{a}_2$ ,  $\overline{a}_3$ ,...,  $\overline{a}_{\overline{A}-1}$ , where  $\overline{A}$  is the payload size generated by higher layers. The lowest order information bit  $\overline{a}_0$  is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [8, TS 38.321].

Generate the following additional timing related PBCH payload bits  $\overline{a}_{\overline{A}}, \overline{a}_{\overline{A}+1}, \overline{a}_{\overline{A}+2}, \overline{a}_{\overline{A}+3}, ..., \overline{a}_{\overline{A}+7}$ , where:

- $\overline{a}_{\overline{A}}$ ,  $\overline{a}_{\overline{A}+1}$ ,  $\overline{a}_{\overline{A}+2}$ ,  $\overline{a}_{\overline{A}+3}$  are the 4<sup>th</sup>, 3<sup>rd</sup>, 2<sup>nd</sup>, and 1<sup>st</sup> LSB of SFN, respectively;
- $\overline{a}_{\overline{A}+4}$  is the half frame bit  $\overline{a}_{HRF}$ ;
- if  $\overline{L}_{max} = 10$  as defined in Clause 4.1 of [5, TS38.213],

 $\bar{a}_{\bar{A}+5}$  is the MSB of  $k_{\rm SSB}$  as defined in Clause 7.4.3.1 of [4, TS 38.211].

 $\bar{a}_{\bar{A}+6}$  is reserved.

 $\bar{a}_{\bar{A}+7}$  is the MSB of candidate SS/PBCH block index.

- else if  $\overline{L}_{max} = 20$  as defined in Clause 4.1 of [5, TS38.213],

 $\bar{a}_{\bar{A}+5}$  is the MSB of  $k_{SSB}$  as defined in Clause 7.4.3.1 of [4, TS 38.211].

 $\bar{a}_{\bar{A}+6}$ ,  $\bar{a}_{\bar{A}+7}$  are the 5<sup>th</sup> and 4<sup>th</sup> bits of the candidate SS/PBCH block index, respectively.

- else if  $\overline{L}_{max} = 64$  as defined in Clause 4.1 of [5, TS38.213],

 $\bar{a}_{\bar{A}+5}$ ,  $\bar{a}_{\bar{A}+6}$ ,  $\bar{a}_{\bar{A}+7}$  are the 6<sup>th</sup>, 5<sup>th</sup>, and 4<sup>th</sup> bits of the candidate SS/PBCH block index, respectively.

- else

 $\bar{a}_{A+5}$  is the MSB of  $k_{SSB}$  as defined in Clause 7.4.3.1 of [4, TS 38.211].

 $\bar{a}_{\bar{A}+6}$ ,  $\bar{a}_{\bar{A}+7}$  are reserved.

- end if

Let 
$$A = \overline{A} + 8$$
;  $j_{SFN} = 0$ ;  $j_{HRF} = 10$ ;  $j_{SSB} = 11$ ;  $j_{other} = 14$ ;

for i = 0 to A - 1

if  $\overline{a}_i$  is an SFN bit

$$a_{G(j_{SEN})} = \overline{a}_i$$
;

$$j_{\text{SFN}} = j_{\text{SFN}} + 1;$$

elseif  $\overline{a}_i$  is the half radio frame bit

$$a_{G(j_{HRF})} = \overline{a}_i$$

elseif  $\overline{A} + 5 \le i \le \overline{A} + 7$ 

$$a_{G(j_{\text{SSB}})} = \overline{a}_i;$$

$$j_{\rm SSB} = j_{\rm SSB} + 1;$$

else

$$a_{G(i_{Other})} = \overline{a}_i$$
;

$$j_{\text{Other}} = j_{\text{Other}} + 1;$$

end if

end for

where  $\overline{L}_{max}$  is the number of candidate SS/PBCH blocks in a half frame according to Clause 4.1 of [5, TS38.213], and the value of G(j) is given by Table 7.1.1-1.

Table 7.1.1-1: Value of PBCH payload interleaver pattern G(j)

j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)
0	16	4	8	8	24	12	3	16	9	20	14	24	21	28	27
1	23	5	30	9	7	13	2	17	11	21	15	25	22	29	28
2	18	6	10	10	0	14	1	18	12	22	19	26	25	30	29
3	17	7	6	11	5	15	4	19	13	23	20	27	26	31	31

# 7.1.2 Scrambling

For PBCH transmission in a frame, the bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is scrambled into a bit sequence  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$ , where  $a'_i = (a_i + s_i) \mod 2$  for i = 0,1,...,A-1 and  $s_0, s_1, s_2, s_3, ..., s_{A-1}$  is generated according to the following:

i = 0;

j = 0;

while i < A

if  $a_i$  corresponds to any one of the bits belonging to the candidate SS/PBCH block index, the half frame index, and  $2^{nd}$  and  $3^{rd}$  least significant bits of the system frame number

$$s_i = 0$$
.

else

$$s_i = c(j + vM);$$

$$j = j + 1$$
;

end if

i = i + 1;

end while

The scrambling sequence c(i) is given by Clause 5.2.1of [4, TS38.211] and initialized with  $c_{\text{init}} = N_{ID}^{cell}$  at the start of each SFN satisfying mod(SFN,8) = 0; M = A - 3 for  $\overline{L}_{max} = 4$  or  $\overline{L}_{max} = 8$ , M = A - 4 for  $\overline{L}_{max} = 10$ , M = A - 5 for  $\overline{L}_{max} = 20$ , and M = A - 6 for  $\overline{L}_{max} = 64$ , where  $\overline{L}_{max}$  is the number of candidate SS/PBCH blocks in a half frame according to Clause 4.1 of [5, TS38.213]; and v is determined according to Table 7.1.2-1 using the 3<sup>rd</sup> and 2<sup>nd</sup> LSB of the SFN in which the PBCH is transmitted.

Table 7.1.2-1: Value of v for PBCH scrambling

(3 <sup>rd</sup> LSB of SFN, 2 <sup>nd</sup> LSB of SFN)	Value of V
(0, 0)	0
(0, 1)	1
(1, 0)	2
(1, 1)	3

# 7.1.3 Transport block CRC attachment

Error detection is provided on BCH transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. The input bit sequence is denoted by  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits.

The parity bits are computed and attached to the BCH transport block according to Clause 5.1 by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24C}}(D)$ , resulting in the sequence  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

The bit sequence  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  is the input bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  to the channel encoder, where  $c_i = b_i$  for i = 0, 1, ..., B-1 and K = B.

# 7.1.4 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits, and they are encoded via Polar coding according to Clause 5.3.1, by setting  $n_{\max} = 9$ ,  $I_{IL} = 1$ ,  $n_{PC} = 0$ , and  $n_{PC}^{wm} = 0$ .

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

# 7.1.5 Rate matching

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

The rate matching output sequence length E = 864.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{RII} = 0$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

# 7.2 Downlink shared channel and paging channel

# 7.2.1 Transport block CRC attachment

Error detection is provided on each transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in Clause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the DL-SCH transport block according to Clause 5.1, by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24A}}(D)$  if A > 3824; and by setting L to 16 bits and using the generator polynomial  $g_{\text{CRC16}}(D)$  otherwise.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

# 7.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate R indicated by the MCS index according to Clause 5.1.3.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if  $A \le 292$ , or if  $A \le 3824$  and  $R \le 0.67$ , or if  $R \le 0.25$ , LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size in Clause 7.2.1.

# 7.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Clause 5.2.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where r is the code block number and  $K_r$  is the number of bits for code block number r according to Clause 5.2.2.

# 7.2.4 Channel coding

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Clause 5.3.2.

After encoding the bits are denoted by  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N-1)}$ , where the values of  $N_r$  is given in Clause 5.3.2.

# 7.2.5 Rate matching

Coded bits for each code block, denoted as  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$ , are delivered to the rate match block, where r is the code block number, and  $N_r$  is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Clause 5.4.2 by setting  $I_{LBRM} = 1$ .

After rate matching, the bits are denoted by  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , where  $E_r$  is the number of rate matched bits for code block number r.

# 7.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , for r = 0,..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Clause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where G is the total number of coded bits for transmission.

## 7.3 Downlink control information

A DCI transports downlink control information for one or more cells with one RNTI.

The following coding steps can be identified:

- Information element multiplexing
- CRC attachment
- Channel coding

Rate matching

## 7.3.1 DCI formats

The DCI formats defined in table 7.3.1-1 are supported.

Table 7.3.1-1: DCI formats

DCI format	Usage
0_0	Scheduling of PUSCH in one cell
0_1	Scheduling of one or multiple PUSCH in one cell, or indicating downlink feedback information for configured grant PUSCH (CG-DFI)
0_2	Scheduling of PUSCH in one cell
1_0	Scheduling of PDSCH in one cell
1_1	Scheduling of PDSCH in one cell, and/or triggering one shot HARQ-ACK codebook feedback
1_2	Scheduling of PDSCH in one cell
2_0	Notifying a group of UEs of the slot format, available RB sets, COT duration and search space set group switching
2_1	Notifying a group of UEs of the PRB(s) and OFDM symbol(s) where UE may assume no transmission is intended for the UE
2_2	Transmission of TPC commands for PUCCH and PUSCH
2_3	Transmission of a group of TPC commands for SRS transmissions by one or more UEs
2_4	Notifying a group of UEs of the PRB(s) and OFDM symbol(s) where UE cancels the corresponding UL transmission from the UE
2_5	Notifying the availability of soft resources as defined in Clause 9.3.1 of [10, TS 38.473]
2_6	Notifying the power saving information outside DRX Active Time for one or more UEs
3_0	Scheduling of NR sidelink in one cell
3_1	Scheduling of LTE sidelink in one cell

The fields defined in the DCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows.

Each field is mapped in the order in which it appears in the description, including the zero-padding bit(s), if any, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

If the number of information bits in a DCI format is less than 12 bits, zeros shall be appended to the DCI format until the payload size equals 12.

The size of each DCI format is determined by the configuration of the corresponding active bandwidth part of the scheduled cell and shall be adjusted as described in clause 7.3.1.0 if necessary.

## 7.3.1.0 DCI size alignment

If necessary, padding or truncation shall be applied to the DCI formats according to the following steps executed in the order below:

#### Step 0:

- Determine DCI format 0\_0 monitored in a common search space according to clause 7.3.1.1.1 where  $N_{RB}^{UL,BWP}$  is the size of the initial UL bandwidth part.

- Determine DCI format 1\_0 monitored in a common search space according to clause 7.3.1.2.1 where  $N_{\rm RB}^{\rm DL,BWP}$  is given by
  - the size of CORESET 0 if CORESET 0 is configured for the cell; and
  - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
- If DCI format 0\_0 is monitored in common search space and if the number of information bits in the DCI format 0\_0 prior to padding is less than the payload size of the DCI format 1\_0 monitored in common search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 until the payload size equals that of the DCI format 1\_0.
- If DCI format 0\_0 is monitored in common search space and if the number of information bits in the DCI format 0\_0 prior to truncation is larger than the payload size of the DCI format 1\_0 monitored in common search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0\_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0\_0 equals the size of the DCI format 1\_0.

#### Step 1:

- Determine DCI format  $0_0$  monitored in a UE-specific search space according to clause 7.3.1.1.1 where  $N_{RB}^{UL,BWP}$  is the size of the active UL bandwidth part.
- Determine DCI format 1\_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where  $N_{\rm RB}^{\rm DLBWP}$  is the size of the active DL bandwidth part.
- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in DCI format 0\_0 in UE-specific search space for the SUL is not equal to the number of information bits in DCI format 0\_0 in UE-specific search space for the non-SUL, a number of zero padding bits are generated for the smaller DCI format 0\_0 until the payload size equals that of the larger DCI format 0\_0.
- If DCI format 0\_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 0\_0 prior to padding is less than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 until the payload size equals that of the DCI format 1\_0.
- If DCI format 1\_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 1\_0 prior to padding is less than the payload size of the DCI format 0\_0 monitored in UE-specific search space for scheduling the same serving cell, zeros shall be appended to the DCI format 1\_0 until the payload size equals that of the DCI format 0\_0

### Step 2:

- Determine DCI format 0\_1 monitored in a UE-specific search space according to clause 7.3.1.1.2.
- Determine DCI format 1 1 monitored in a UE-specific search space according to clause 7.3.1.2.2.
- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in format 0\_1 for the SUL is not equal to the number of information bits in format 0\_1 for the non-SUL, zeros shall be appended to smaller format 0\_1 until the payload size equals that of the larger format 0\_1.
- If the size of DCI format 0\_1 monitored in a UE-specific search space equals that of a DCI format 0\_0/1\_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 0\_1.
- If the size of DCI format 1\_1 monitored in a UE-specific search space equals that of a DCI format 0\_0/1\_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 1\_1.

### Step 2A:

- Determine DCI format 0\_2 monitored in a UE-specific search space according to clause 7.3.1.1.3.
- Determine DCI format 1\_2 monitored in a UE-specific search space according to clause 7.3.1.2.3.

- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in format 0\_2 for the SUL is not equal to the number of information bits in format 0\_2 for the non-SUL, zeros shall be appended to smaller format 0\_2 until the payload size equals that of the larger format 0\_2.

#### Step 3:

- If both of the following conditions are fulfilled the size alignment procedure is complete
  - the total number of different DCI sizes configured to monitor is no more than 4 for the cell
  - the total number of different DCI sizes with C-RNTI configured to monitor is no more than 3 for the cell

### Step 4:

Otherwise

#### Step 4A:

- Remove the padding bit (if any) introduced in step 2 above.
- Determine DCI format 1\_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where  $N_{\rm RB}^{\rm DL,BWP}$  is given by
  - the size of CORESET 0 if CORESET 0 is configured for the cell; and
  - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
- Determine DCI format  $0_0$  monitored in a UE-specific search space according to clause 7.3.1.1.1 where  $N_{\text{RR}}^{\text{UL},\text{BWP}}$  is the size of the initial UL bandwidth part.
- If the number of information bits in the DCI format 0\_0 monitored in a UE-specific search space prior to padding is less than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 monitored in a UE-specific search space until the payload size equals that of the DCI format 1\_0 monitored in a UE-specific search space.
- If the number of information bits in the DCI format 0\_0 monitored in a UE-specific search space prior to truncation is larger than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0\_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0\_0 monitored in a UE-specific search space equals the size of the DCI format 1\_0 monitored in a UE-specific search space.

#### Step 4B:

- If the total number of different DCI sizes configured to monitor is more than 4 for the cell after applying the above steps, or if the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell after applying the above steps
  - If the number of information bits in the DCI format 0\_2 prior to padding is less than the payload size of the DCI format 1\_2 for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_2 until the payload size equals that of the DCI format 1\_2.
  - If the number of information bits in the DCI format 1\_2 prior to padding is less than the payload size of the DCI format 0\_2 for scheduling the same serving cell, zeros shall be appended to the DCI format 1\_2 until the payload size equals that of the DCI format 0\_2.

#### Step 4C:

- If the total number of different DCI sizes configured to monitor is more than 4 for the cell after applying the above steps, or if the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell after applying the above steps

- If the number of information bits in the DCI format 0\_1 prior to padding is less than the payload size of the DCI format 1\_1 for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_1 until the payload size equals that of the DCI format 1\_1.
- If the number of information bits in the DCI format 1\_1 prior to padding is less than the payload size of the DCI format 0\_1 for scheduling the same serving cell, zeros shall be appended to the DCI format 1\_1 until the payload size equals that of the DCI format 0\_1.

The UE is not expected to handle a configuration that, after applying the above steps, results in

- the total number of different DCI sizes configured to monitor is more than 4 for the cell; or
- the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell; or
- the size of DCI format 0\_0 in a UE-specific search space is equal to DCI format 0\_1 in another UE-specific search space; or
- the size of DCI format 1\_0 in a UE-specific search space is equal to DCI format 1\_1 in another UE-specific search space; or
- the size of DCI format 0\_0 in a UE-specific search space is equal to DCI format 0\_2 in another UE-specific search space when at least one pair of the corresponding PDCCH candidates of DCI formats 0\_0 and 0\_2 are mapped to the same resource; or
- the size of DCI format 1\_0 in a UE-specific search space is equal to DCI format 1\_2 in another UE-specific search space when at least one pair of the corresponding PDCCH candidates of DCI formats 1\_0 and 1\_2 are mapped to the same resource; or
- the size of DCI format 0\_1 in a UE-specific search space is equal to DCI format 0\_2 in the same or another UE-specific search space when at least one pair of the corresponding PDCCH candidates of DCI formats 0\_1 and 0\_2 are mapped to the same resource; or
- the size of DCI format 1\_1 in a UE-specific search space is equal to DCI format 1\_2 in the same or another UE-specific search space when at least one pair of the corresponding PDCCH candidates of DCI formats 1\_1 and 1\_2 are mapped to the same resource.

### 7.3.1.0.1 DCI size alignment for DCI formats for scheduling of sidelink

If DCI format 3\_0 or DCI format 3\_1 is monitored on a cell, DCI size alignment for DCI format 3\_0 and DCI format 3\_1 is performed as described in this clause after performing the DCI size alignment described in Clause 7.3.1.0. The size(s) of the DCI formats configured to monitor for a cell in this clause refers to that after performing the DCI size alignment described in Clause 7.3.1.0.

If DCI format 3\_0 or DCI format 3\_1 is monitored on a cell and the total number of DCI sizes of the DCI formats configured to monitor for the cell and DCI format 3\_0 or DCI format 3\_1 is more than 4, zeros shall be appended to DCI format 3\_0 if configured and DCI format 3\_1 if configured, until the payload size of DCI format 3\_0 or DCI format 3\_1 equals that of the smallest DCI format configured to monitor for the cell that is larger than DCI format 3\_0 or DCI format 3\_1.

The UE is not expected to handle a configuration that results in:

- the total number of different DCI sizes configured to monitor for the cell and DCI format 3\_0 or DCI format 3\_1 is more than 4; and
- the payload size of DCI format 3\_0 or DCI format 3\_1 is larger than the payload size of all other DCI formats configured to monitor for the cell.

### 7.3.1.1 DCI formats for scheduling of PUSCH

### 7.3.1.1.1 Format 0\_0

DCI format 0\_0 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0\_0 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment number of bits determined by the following:
  - $\left[\log_2(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}+1)/2)\right]$  bits if neither of the higher layer parameters *useInterlacePUCCH-PUSCH* in *BWP-UplinkCommon* and *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated* is configured, where  $N_{\mathrm{RB}}^{\mathrm{UL,BWP}}$  is defined in clause 7.3.1.0
    - For PUSCH hopping with resource allocation type 1:
      - $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where  $N_{\rm UL\_hop}=1$  if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and  $N_{\rm UL\_hop}=2$  if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
      - $\left[\log_2(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}+1)/2)\right] N_{\mathrm{UL\_hop}}$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
    - For non-PUSCH hopping with resource allocation type 1:
      - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
  - If any of the higher layer parameters *useInterlacePUCCH-PUSCH* in *BWP-UplinkCommon* and *useInterlacePUCCH-PUSCH* in *BWP-UplinkDedicated* is configured
    - 5+Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 30 kHz.
    - 6+Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 15 kHz.

If the DCI format  $0_0$  is monitored in a UE-specific search space, the value of Y is determined by  $\left[ \log_2 \left( \frac{N_{\text{RB-set,UL}}^{\text{BWP}}(N_{\text{RB-set,UL}}^{\text{BWP}}+1)}{2} \right) \right] \text{ where } N_{\text{RB-set,UL}}^{\text{BWP}} \text{ is the number of RB sets contained in the active UL BWP as defined in clause 7 of [6, TS38.214]. If the DCI <math>0_0$  is monitored in a common search space Y = 0.

- Time domain resource assignment 4 bits as defined in Clause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Clause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS 38.213]
- ChannelAccess-CPext 2 bits indicating combinations of channel access type and CP extension as defined in Table 7.3.1.1.1-4, or Table 7.3.1.1.1.4A, if *ChannelAccessMode-r16* = "semistatic" is provided for operation in a cell with shared spectrum channel access; 0 bit otherwise.
- Padding bits, if required.

- UL/SUL indicator 1 bit for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell as defined in Table 7.3.1.1.1-1 and the number of bits for DCI format 1\_0 before padding is larger than the number of bits for DCI format 0\_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0\_0, after the padding bit(s).
  - If the UL/SUL indicator is present in DCI format 0\_0 and the higher layer parameter *pusch-Config* is not configured on both UL and SUL the UE ignores the UL/SUL indicator field in DCI format 0\_0, and the corresponding PUSCH scheduled by the DCI format 0\_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured;
  - If the UL/SUL indicator is not present in DCI format 0\_0 and *pucch-Config* is configured, the corresponding PUSCH scheduled by the DCI format 0\_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured.
  - If the UL/SUL indicator is not present in DCI format 0\_0 and *pucch-Config* is not configured, the corresponding PUSCH scheduled by the DCI format 0\_0 is for the uplink on which the latest PRACH is transmitted.

The following information is transmitted by means of the DCI format 0\_0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment number of bits determined by the following:
  - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits if the higher layer parameter *useInterlacePUCCH-PUSCH* in *BWP-UplinkCommon* is not configured, where
    - $N_{RB}^{UL,BWP}$  is the size of the initial UL bandwidth part.
    - For PUSCH hopping with resource allocation type 1:
      - $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Table 8.3-1 in Clause 8.3 of [5, TS 38.213], where  $N_{\rm UL\_hop} = 1$  if  $N_{\rm RB}^{\rm UL\_BWP} < 50$  and  $N_{\rm UL\_hop} = 2$  otherwise
      - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL,hop}}$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
    - For non-PUSCH hopping with resource allocation type 1:
      - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
    - If the higher layer parameter useInterlacePUCCH-PUSCH in BWP-UplinkCommon is configured
      - 5 bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 30 kHz
      - 6 bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 15 kHz
- Time domain resource assignment 4 bits as defined in Clause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Clause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit, reserved
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits, reserved

- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS 38.213]
- ChannelAccess-CPext 2 bits indicating combinations of channel access type and CP extension as defined in Table 7.3.1.1.1-4, or Table 7.3.1.1.1.4A, if *ChannelAccessMode-r16* = "semistatic" is provided for operation in a cell with shared spectrum channel access; 0 bit otherwise
- Padding bits, if required.
- UL/SUL indicator 1 bit if the cell has two ULs and the number of bits for DCI format 1\_0 before padding is larger than the number of bits for DCI format 0\_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0\_0, after the padding bit(s).
  - If 1 bit, reserved, and the corresponding PUSCH is always on the same UL carrier as the previous transmission of the same TB

Table 7.3.1.1.1-1: UL/SUL indicator

Value of UL/SUL indicator	Uplink		
0	The non-supplementary uplink		
1	The supplementary uplink		

Table 7.3.1.1.1-2: Redundancy version

Value of the Redundancy version field	Value of $rv_{id}$ to be applied
00	0
01	1
10	2
11	3

Table 7.3.1.1.1-3: Frequency hopping indication

Bit field mapped to index	PUSCH frequency hopping	
0	Disabled	
1	Enabled	

Table 7.3.1.1.1-4: Channel access type & CP extension for DCI format 0\_0 and DCI format 1\_0

Bit field mapped to index	Channel Access Type	The CP extension T_"ext" index defined in Clause 5.3.1 of [4, TS 38.211]
0	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2
1	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3
2	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1
3	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0

Table 7.3.1.1.1-4A: Channel access type & CP extension if *ChannelAccessMode-r16* = "semistatic" is provided

Bit field mapped to index	Channel Access Type	The CP extension T_"ext" index defined in Clause 5.3.1 of [4, TS 38.211]
0	No sensing as defined in Clause 4.3 in TS 37.213	0
1	No sensing as defined in Clause 4.3 in TS 37.213	2
2	9us sensing within a 25us interval as defined in Clause 4.3 in TS 37.213	0
3	-	-

### 7.3.1.1.2 Format 0\_1

DCI format 0\_1 is used for the scheduling of one or multiple PUSCH in one cell, or indicating CG downlink feedback information (CG-DFI) to a UE.

The following information is transmitted by means of the DCI format 0\_1 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Carrier indicator 0 or 3 bits, as defined in Clause 10.1 of [5, TS38.213].
- DFI flag 0 or 1 bit
  - 1 bit if the UE is configured to monitor DCI format 0\_1 with CRC scrambled by CS-RNTI and for operation in a cell with shared spectrum channel access. For a DCI format 0\_1 with CRC scrambled by CS-RNTI, the bit value of 0 indicates activating type 2 CG transmission and the bit value of 1 indicates CG-DFI. For a DCI format 0\_1 with CRC scrambled by C-RNTI/SP-CSI-RNTI/MCS-C-RNTI and for operation in a cell with shared specrum channel access, the bit is reserved.
  - 0 bit otherwise;

If DCI format 0\_1 is used for indicating CG-DFI, all the remaining fields are set as follows:

- HARQ-ACK bitmap 16 bits, where the order of the bitmap to HARQ process index mapping is such that HARQ process indices are mapped in ascending order from MSB to LSB of the bitmap. For each bit of the bitmap, value 1 indicates ACK, and value 0 indicates NACK.
- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS38.213]
- All the remaining bits in format 0\_1 are set to zero.

Otherwise, all the remaining fields are set as follows:

- UL/SUL indicator 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of UL BWPs  $n_{\text{BWP,RRC}}$  configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{\text{BWP}}) \rceil$  bits, where
  - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$  if  $n_{\text{BWP,RRC}} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{\text{BWP}} = n_{\text{BWP,RRC}}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where  $N_{RB}^{UL,BWP}$  is the size of the active UL bandwidth part:
  - If higher layer parameter useInterlacePUCCH-PUSCH in BWP-UplinkDedicated is not configured
    - $N_{\text{RBG}}$  bits if only resource allocation type 0 is configured, where  $N_{\text{RBG}}$  is defined in Clause 6.1.2.2.1 of [6, TS 38.214],
    - $\left[\log_2(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}+1)/2)\right]$  bits if only resource allocation type 1 is configured, or  $\max\left(\left[\log_2(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}+1)/2)\right],N_{\mathrm{RBG}}\right)+1$  bits if resourceAllocation is configured as 'dynamicSwitch'.
    - If *resourceAllocation* is configured as 'dynamicSwitch', the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
    - For resource allocation type 0, the  $N_{\rm RBG}$  LSBs provide the resource allocation as defined in Clause 6.1.2.2.1 of [6, TS 38.214].
    - For resource allocation type 1, the  $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  LSBs provide the resource allocation as follows:
      - For PUSCH hopping with resource allocation type 1:
        - $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where  $N_{\rm UL\_hop}=1$  if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and  $N_{\rm UL\_hop}=2$  if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
        - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL,hop}}$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
      - For non-PUSCH hopping with resource allocation type 1:
        - $\left[\log_2(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}(N_{\mathrm{RB}}^{\mathrm{UL,BWP}}+1)/2)\right]$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if *resourceAllocation* is configured as 'dynamicSwitch' for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- If the higher layer parameter useInterlacePUCCH-PUSCH in BWP-UplinkDedicated is configured
  - 5 + Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 30 kHz. The 5 MSBs provide the interlace allocation and the Y LSBs provide the RB set allocation.
  - 6 + Y bits provide the frequency domain resource allocation according to Clause 6.1.2.2.3 of [6, TS 38.214] if the subcarrier spacing for the active UL bandwidth part is 15 kHz. The 6 MSBs provide the interlace allocation and the Y LSBs provide the RB set allocation.

The value of Y is determined by  $\left[\log_2\left(\frac{N_{\text{RB-set,UL}}^{\text{BWP}}(N_{\text{RB-set,UL}}^{\text{BWP}}+1)}{2}\right)\right]$  where  $N_{\text{RB-set,UL}}^{\text{BWP}}$  is the number of RB sets contained in the active UL BWP as defined in clause 7 of [6, TS38.214].

Time domain resource assignment -0, 1, 2, 3, 4, 5, or 6 bits

- If the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* is not configured and if the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH* is not configured and if the higher layer parameter *pusch-TimeDomainAllocationList* is configured, 0, 1, 2, 3, or 4 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationList*;
- If the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* is configured or if the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH* is configured, 0, 1, 2, 3, 4, 5 or 6 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where *I* is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationListDCI-0-1* or *pusch-TimeDomainAllocationListForMultiPUSCH*;
- otherwise the bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the default table.
- Frequency hopping flag 0 or 1 bit:
  - 0 bit if only resource allocation type 0 is configured, or if the higher layer parameter *frequencyHopping* is not configured and the higher layer parameter *pusch-RepTypeIndicatorDCI-0-1* is not configured to *pusch-RepTypeB*, or if the higher layer parameter *frequencyHoppingDCI-0-1* is not configured and *pusch-RepTypeIndicatorDCI-0-1* is configured to *pusch-RepTypeB*, or if only resource allocation type 2 is configured;
  - 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Clause 6.3 of [6, TS 38.214].
- Modulation and coding scheme 5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit if the number of scheduled PUSCH indicated by the Time domain resource assignment field is 1; otherwise 2, 3, 4, 5, 6, 7 or 8 bits determined based on the maximum number of schedulable PUSCH among all entries in the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH*, where each bit corresponds to one scheduled PUSCH as defined in clause 6.1.4 in [6, TS 38.214].
- Redundancy version number of bits determined by the following:
  - 2 bits as defined in Table 7.3.1.1.1-2 if the number of scheduled PUSCH indicated by the Time domain resource assignment field is 1;
  - otherwise 2, 3, 4, 5, 6, 7 or 8 bits determined by the maximum number of schedulable PUSCHs among all entries in the higher layer parameter *pusch-TimeDomainAllocationListForMultiPUSCH*, where each bit corresponds to one scheduled PUSCH as defined in clause 6.1.4 in [6, TS 38.214] and redundancy version is determined according to Table 7.3.1.1.2-34.
- HARQ process number 4 bits
- $1^{st}$  downlink assignment index 1, 2 or 4 bits:
  - 1 bit for semi-static HARQ-ACK codebook;
  - 2 bits for dynamic HARQ-ACK codebook, or for enhanced dynamic HARQ-ACK codebook without *UL-TotalDAI-Included* configured;
  - 4 bits for enhanced dynamic HARQ-ACK codebook and with *UL-TotalDAI-Included = true*.

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-1* is configured, if the bit width of the 1<sup>st</sup> downlink assignment index in DCI format 0\_1 for one HARQ-ACK codebook is not equal to that of the 1<sup>st</sup> downlink assignment index in DCI format 0\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller 1<sup>st</sup> downlink assignment index until the bit width of the 1<sup>st</sup> downlink assignment index in DCI format 0\_1 for the two HARQ-ACK codebooks are the same.

 $2^{\text{nd}}$  downlink assignment index – 0, 2 or 4 bits:

- 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks, or for enhanced dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks and without *UL-TotalDAI-Included* configured;
- 4 bits for enhanced dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks and with *UL-TotalDAI-Included = true*;
- 0 bit otherwise.

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-1* is configured, if the bit width of the 2<sup>nd</sup> downlink assignment index in DCI format 0\_1 for one HARQ-ACK codebook is not equal to that of the 2<sup>nd</sup> downlink assignment index in DCI format 0\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller 2<sup>nd</sup> downlink assignment index until the bit width of the 2<sup>nd</sup> downlink assignment index in DCI format 0\_1 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUSCH 2 bits as defined in Clause 7.1.1 of [5, TS38.213]
- SRS resource indicator  $-\left[\log_2\left(\sum_{k=1}^{\min\{L_{\max},N_{\text{SRS}}\}}\binom{N_{\text{SRS}}}{k}\right)\right]$  or  $\left[\log_2(N_{\text{SRS}})\right]$  bits, where  $N_{\text{SRS}}$  is the number of

configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModList*, and associated with the higher layer parameter *usage* of value 'codeBook' or 'nonCodeBook'.

 $-\left[\log_2\left(\sum_{k=1}^{\min\{L_{\max},N_{SRS}\}}\binom{N_{SRS}}{k}\right)\right] \text{ bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter}$ 

txConfig = nonCodebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter srs-ResourceSetToAddModList, and associated with the higher layer parameter usage of value 'nonCodeBook' and

- if UE supports operation with maxMIMO-Layers and the higher layer parameter maxMIMO-Layers of PUSCH-ServingCellConfig of the serving cell is configured,  $L_{max}$  is given by that parameter
- otherwise,  $L_{max}$  is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.
- $\lceil \log_2(N_{SRS}) \rceil$  bits according to Tables 7.3.1.1.2-32, 7.3.1.1.2-32A and 7.3.1.1.2-32B if the higher layer parameter txConfig = codebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter srs-ResourceSetToAddModList, and associated with the higher layer parameter usage of value 'codeBook'.
- Precoding information and number of layers number of bits determined by the following:
  - 0 bits if the higher layer parameter *txConfig* = *nonCodeBook*;
  - 0 bits for 1 antenna port and if the higher layer parameter *txConfig* = *codebook*;
  - 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;
  - 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, *maxRank*=2, transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;
  - 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, *maxRank*=3 or 4, transform precoder is disabled, and according to the values of higher layer parameter *codebookSubset*;

- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if txConfig = codebook, ul-FullPowerTransmission is not configured or configured to fullpowerMode2 or configured to fullpower, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters maxRank, and codebookSubset;
- 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, *maxRank*=1, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameter *codebookSubset*;
- 2 or 4 bits according to Table 7.3.1.1.2-4 for 2 antenna ports, if txConfig = codebook, ul-FullPowerTransmission is not configured or configured to fullpowerMode2 or configured to fullpower, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters maxRank and codebookSubset;
- 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission = fullpowerMode1*, transform precoder is disabled, *maxRank*=2, and *codebookSubset=nonCoherent*;
- 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*;
- 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission = fullpowerMode1*, *maxRank=1*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameter *codebookSubset*;

For the higher layer parameter txConfig = codebook, if ul-FullPowerTransmission is configured to fullpowerMode2, maxRank is configured to be larger than 2, and at least one SRS resource with 4 antenna ports is configured in an SRS resource set with usage set to 'codebook' and an SRS resource with 2 antenna ports is indicated via SRI in the same SRS resource set, then Table 7.3.1.1.2-4 is used.

For the higher layer parameter *txConfig* = *codebook*, if different SRS resources with different number of antenna ports are configured, the bitwidth is determined according to the maximum number of ports in an SRS resource among the configured SRS resources in an SRS resource set with usage set to 'codebook'. If the number of ports for a configured SRS resource in the set is less than the maximum number of ports in an SRS resource among the configured SRS resources, a number of most significant bits with value set to '0' are inserted to the field.

- Antenna ports number of bits determined by the following
  - 2 bits as defined by Tables 7.3.1.1.2-6, if transform precoder is enabled, dmrs-Type=1, and maxLength=1, except that dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured and π/2 BPSK modulation is used;
  - 2 bits as defined by Tables 7.3.1.1.2-6A, if transform precoder is enabled and *dmrs-UplinkTransformPrecoding* and *tp-pi2BPSK* are both configured, π/2 BPSK modulation is used, *dmrs-Type*=1, and *maxLength*=1, where n<sub>SCID</sub> is the scrambling identity for antenna ports defined in [Clause 6.4.1.1.2, TS38.211];
  - 4 bits as defined by Tables 7.3.1.1.2-7, if transform precoder is enabled, dmrs-Type=1, and maxLength=2, except that dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured and π/2 BPSK modulation is used;
  - 4 bits as defined by Tables 7.3.1.1.2-7A, if transform precoder is enabled and *dmrs-UplinkTransformPrecoding* and *tp-pi2BPSK* are both configured, π/2 BPSK modulation is used, *dmrs-Type*=1, and *maxLength*=2, where n<sub>SCID</sub> is the scrambling identity for antenna ports defined in [Clause 6.4.1.1.2, TS38.211];
  - 3 bits as defined by Tables 7.3.1.1.2-8/9/10/11, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
  - 4 bits as defined by Tables 7.3.1.1.2-12/13/14/15, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher

layer parameter txConfig = nonCodebook and according to the Precoding information and number of layers field if the higher layer parameter txConfig = codebook;

- 4 bits as defined by Tables 7.3.1.1.2-16/17/18/19, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
- 5 bits as defined by Tables 7.3.1.1.2-20/21/22/23, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*.

where the number of CDM groups without data of values 1, 2, and 3 in Tables 7.3.1.1.2-6 to 7.3.1.1.2-23 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively.

If a UE is configured with both dmrs-UplinkForPUSCH-MappingTypeA and dmrs-UplinkForPUSCH-MappingTypeB, the bitwidth of this field equals  $\max \left\{ x_A, x_B \right\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeA and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeB. A number of  $\left| x_A - x_B \right|$  zeros are padded in the MSB of this field, if the mapping type of the PUSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .

- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Clause 6.1.1.2 of [6, TS 38.214].
- CSI request 0, 1, 2, 3, 4, 5, or 6 bits determined by higher layer parameter reportTriggerSize.
- CBG transmission information (CBGTI) 0 bit if higher layer parameter codeBlockGroupTransmission for PUSCH is not configured or if the number of scheduled PUSCH indicated by the Time domain resource assignment field is larger than 1; otherwise, 2, 4, 6, or 8 bits determined by higher layer parameter maxCodeBlockGroupsPerTransportBlock for PUSCH.
- PTRS-DMRS association number of bits determined as follows
  - 0 bit if PTRS-UplinkConfig is not configured in either dmrs-UplinkForPUSCH-MappingTypeA or dmrs-UplinkForPUSCH-MappingTypeB and transform precoder is disabled, or if transform precoder is enabled, or if maxRank=1;
  - 2 bits otherwise, where Table 7.3.1.1.2-25 and 7.3.1.1.2-26 are used to indicate the association between PTRS port(s) and DMRS port(s) when one PT-RS port and two PT-RS ports are configured by maxNrofPorts in PTRS-UplinkConfig respectively, and the DMRS ports are indicated by the Antenna ports field.

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the "PTRS-DMRS association" field is present for the indicated bandwidth part but not present for the active bandwidth part, the UE assumes the "PTRS-DMRS association" field is not present for the indicated bandwidth part.

beta\_offset indicator – 0 if the higher layer parameter *betaOffsets* = *semiStatic*; otherwise 2 bits as defined by Table 9.3-3 in [5, TS 38.213].

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-1* is configured, if the bit width of the beta\_offset indicator in DCI format 0\_1 for one HARQ-ACK codebook is not equal to that of the beta\_offset indicator in DCI format 0\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller beta\_offset indicator until the bit width of the beta\_offset indicator in DCI format 0\_1 for the two HARQ-ACK codebooks are the same.

- DMRS sequence initialization – 0 bit if transform precoder is enabled; 1 bit if transform precoder is disabled.

- UL-SCH indicator 0 or 1 bit as follows
  - 0 bit if the number of scheduled PUSCH indicated by the Time domain resource assignment field is larger than 1;
  - 1 bit otherwise. A value of "1" indicates UL-SCH shall be transmitted on the PUSCH and a value of "0" indicates UL-SCH shall not be transmitted on the PUSCH. Except for DCI format 0\_1 with CRC scrambled by SP-CSI-RNTI, a UE is not expected to receive a DCI format 0\_1 with UL-SCH indicator of "0" and CSI request of all zero(s).
- ChannelAccess-CPext-CAPC 0, 1, 2, 3, 4, 5 or 6 bits. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter ul-AccessConfigListDCI-0-1 or in Table 7.3.1.1.1-4A if ChannelAccessMode-r16 = "semistatic" is provided for operation in a cell with shared spectrum channel access; otherwise 0 bit. One or more entries from Table 7.3.1.1.2-35 are configured by the higher layer parameter ul-AccessConfigListDCI-0-1.
- Open-loop power control parameter set indication 0 or 1 or 2 bits.
  - 0 bit if the higher layer parameter *p0-PUSCH-SetList* is not configured;
  - 1 or 2 bits otherwise,
    - 1 bit if SRS resource indicator is present in the DCI format 0\_1;
    - 1 or 2 bits as determined by higher layer parameter *olpc-ParameterSetDCI-0-1* if SRS resource indicator is not present in the DCI format 0\_1.
- Priority indicator 0 bit if higher layer parameter *priorityIndicatorDCI-0-1* is not configured; otherwise 1 bit as defined in Clause 9 in [5, TS 38.213].
- Invalid symbol pattern indicator 0 bit if higher layer parameter *invalidSymbolPatternIndicatorDCI-0-1* is not configured; otherwise 1 bit as defined in Clause 6.1.2.1 in [6, TS 38.214].
- Minimum applicable scheduling offset indicator 0 or 1 bit
  - 0 bit if higher layer parameter *minimumSchedulingOffsetK2* is not configured;
  - 1 bit if higher layer parameter *minimumSchedulingOffsetK2* is configured. The 1 bit indication is used to determine the minimum applicable K2 for the active UL BWP and the minimum applicable K0 value for the active DL BWP, if configured respectively, according to Table 7.3.1.1.2-33. If the minimum applicable K0 is indicated, the minimum applicable value of the aperiodic CSI-RS triggering offset for an active DL BWP shall be the same as the minimum applicable K0 value.
- SCell dormancy indication 0 bit if higher layer parameter *dormancyGroupWithinActiveTime* is not configured; otherwise 1, 2, 3, 4 or 5 bits bitmap determined according to higher layer parameter *dormancyGroupWithinActiveTime*, where each bit corresponds to one of the SCell group(s) configured by higher layers parameter *dormancyGroupWithinActiveTime*, with MSB to LSB of the bitmap corresponding to the first to last configured SCell group. The field is only present when this format is carried by PDCCH on the primary cell within DRX Active Time and the UE is configured with at least two DL BWPs for an SCell.
- Sidelink assignment index 0, 1 or 2 bits:
  - 1 bit if the UE is configured with *pdsch-HARQ-ACK-Codebook* = *semi-static* and, in addition, the UE is configured with a SL configured grant type 1 or to monitor DCI format 3\_0 with CRC scrambled by SL-RNTI or SL-CS-RNTI;
  - 2 bits if the UE is configured with pdsch-HARQ-ACK-Codebook = dynamic and, in addition, the UE is configured with a SL configured grant type 1 or to monitor DCI format 3\_0 with CRC scrambled by SL-RNTI or SL-CS-RNTI;
  - 0 bit otherwise.

A UE does not expect that the bit width of a field in DCI format  $0_1$  with CRC scrambled by CS-RNTI is larger than corresponding bit width of same field in DCI format  $0_1$  with CRC scrambled by C-RNTI for the same serving cell. If the bit width of a field in the DCI format  $0_1$  with CRC scrambled by CS-RNTI is not equal to that of the corresponding field in the DCI format  $0_1$  with CRC scrambled by C-RNTI for the same serving cell, a number of most

significant bits with value set to '0' are inserted to the field in DCI format 0\_1 with CRC scrambled by CS-RNTI until the bit width equals that of the corresponding field in the DCI format 0\_1 with CRC scrambled by C-RNTI for the same serving cell.

If the number of information bits in DCI format  $0_1$  scheduling a single PUSCH prior to padding is not equal to the number of information bits in DCI format  $0_1$  scheduling multiple PUSCHs for the same serving cell, zeros shall be appended to the DCI format  $0_1$  with smaller size until the payload size is the same for scheduling a single PUSCH and multiple PUSCHs.

Table 7.3.1.1.2-1: Bandwidth part indicator

Value of BWP indicator field	Pandwidth part		
2 bits	Bandwidth part		
00	Configured BWP with BWP-Id = 1		
01	Configured BWP with BWP-Id = 2		
10	Configured BWP with BWP-Id = 3		
11	Configured BWP with BWP-Id = 4		

Table 7.3.1.1.2-2: Precoding information and number of layers, for 4 antenna ports, if transform precoder is disabled, maxRank = 2 or 3 or 4, and ul-FullPowerTransmission is not configured or configured to fullpowerMode2 or configured to fullpower

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	2 layers: TPMI=0	4	2 layers: TPMI=0	4	2 layers: TPMI=0
9	2 layers: TPMI=5	9	2 layers: TPMI=5	9	2 layers: TPMI=5
10	3 layers: TPMI=0	10	3 layers: TPMI=0	10	3 layers: TPMI=0
11	4 layers: TPMI=0	11	4 layers: TPMI=0	11	4 layers: TPMI=0
12	1 layer: TPMI=4	12	1 layer: TPMI=4	12-15	reserved
19	1 layer: TPMI=11	19	1 layer: TPMI=11		
20	2 layers: TPMI=6	20	2 layers: TPMI=6		
27	2 layers: TPMI=13	27	2 layers: TPMI=13		
28	3 layers: TPMI=1	28	3 layers: TPMI=1		
29	3 layers: TPMI=2	29	3 layers: TPMI=2		
30	4 layers: TPMI=1	30	4 layers: TPMI=1		
31	4 layers: TPMI=2	31	4 layers: TPMI=2		
32	1 layers: TPMI=12				
47	1 layers: TPMI=27				
48	2 layers: TPMI=14				
55	2 layers: TPMI=21				
56	3 layers: TPMI=3				
59	3 layers: TPMI=6				
60	4 layers: TPMI=3				
61	4 layers: TPMI=4				
62-63	reserved				

Table 7.3.1.1.2-2A: Precoding information and number of layers for 4 antenna ports, if transform precoder is disabled, maxRank = 2, and ul-FullPowerTransmission = fullpowerMode1

Bit field mapped to index	codebookSubset = partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
	•••		
3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	2 layers: TPMI=0	4	2 layers: TPMI=0
	•••		•••
9	2 layers: TPMI=5	9	2 layers: TPMI=5
10	1 layer: TPMI=13	10	1 layer: TPMI=13
11	2 layer: TPMI=6	11	2 layer: TPMI=6
12	1 layer: TPMI=4	12-15	Reserved
	•••		
20	1 layer: TPMI=12		
21	1 layer: TPMI=14		
22	1 layer: TPMI=15		
23	2 layers: TPMI=7		·
29	2 layers: TPMI=13		
30-31	Reserved		

Table 7.3.1.1.2-2B: Precoding information and number of layers for 4 antenna ports, if transform precoder is disabled, maxRank = 3 or 4, and ul-FullPowerTransmission = fullpowerMode1

Bit field mapped to index	codebookSubset = partialAndNonCoherent	Bit field mapped to index	codebookSubset⊨ nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
	•••		•••
3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	2 layers: TPMI=0	4	2 layers: TPMI=0
	•••		
9	2 layers: TPMI=5	9	2 layers: TPMI=5
10	3 layers: TPMI=0	10	3 layers: TPMI=0
11	4 layers: TPMI=0	11	4 layers: TPMI=0
12	1 layer: TPMI=13	12	1 layer: TPMI=13
13	2 layer: TPMI=6	13	2 layer: TPMI=6
14	3 layer: TPMI=1	14	3 layer: TPMI=1
15	1 layer: TPMI=4	15	Reserved
23	1 layer: TPMI=12		
24	1 layer: TPMI=14		
25	1 layer: TPMI=15		
26	2 layers: TPMI=7		
32	2 layers: TPMI=13		_
33	3 layers: TPMI=2		
34	4 layers: TPMI=1		
35	4 layers: TPMI=2		
36-63	Reserved		

Table 7.3.1.1.2-3: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission* is either not configured or configured to *fullpowerMode2*, or if transform precoder is disabled, *maxRank* = 1, and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower* 

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset= partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
			•••		
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	1 layer: TPMI=4	4	1 layer: TPMI=4		
11	1 layer: TPMI=11	11	1 layer: TPMI=11		
12	1 layers: TPMI=12	12-15	reserved		
27	1 layers: TPMI=27				
28-31	reserved				

Table 7.3.1.1.2-3A: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission* = *fullpowerMode1*, or if transform precoder is disabled, *maxRank* = 1, and *ul-FullPowerTransmission* = *fullpowerMode1* 

Bit field mapped to index	codebookSubset= partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	1 layer: TPMI=13	4	1 layer: TPMI=13
5	1 layer: TPMI=4	5-7	Reserved
13	1 layer: TPMI=12		
14	1 layer: TPMI=14		
15	1 layer: TPMI=15		

Table 7.3.1.1.2-4: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled, maxRank = 2, and ul-FullPowerTransmission is not configured or configured to fullpower fullpower

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	2 layers: TPMI=0	2	2 layers: TPMI=0
3	1 layer: TPMI=2	3	reserved
4	1 layer: TPMI=3		
5	1 layer: TPMI=4		
6	1 layer: TPMI=5		
7	2 layers: TPMI=1		
8	2 layers: TPMI=2		
9-15	reserved		

Table 7.3.1.1.2-4A: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled, *maxRank* = 2, and *ul-FullPowerTransmission* = *fullpowerMode1* 

Bit field mapped to index	codebookSubset= nonCoherent	
0	1 layer: TPMI=0	
1	1 layer: TPMI=1	
2	2 layers: TPMI=0	
3	1 layer: TPMI=2	

Table 7.3.1.1.2-5: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, or if transform precoder is disabled, *maxRank* = 1, and and *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower* 

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	1 layer: TPMI=2		
3	1 layer: TPMI=3		
4	1 layer: TPMI=4		
5	1 layer: TPMI=5		
6-7	reserved		

Table 7.3.1.1.2-5A: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled and *ul-FullPowerTransmission* = *fullpowerMode1*, or if transform precoder is disabled, *maxRank* = 1, and *ul-FullPowerTransmission* = *fullpowerMode1* 

Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0
1	1 layer: TPMI=1
2	1 layer: TPMI=2
3	Reserved

Table 7.3.1.1.2-6: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=1, except that dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured and  $\pi$ /2-BPSK modulation is used

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0
1	2	1
2	2	2
3	2	3

Table 7.3.1.1.2-6A: Antenna port(s), transform precoder is enabled, *dmrs-UplinkTransformPrecoding* and *tp-pi2BPSK* are both configured, π/2-BPSK modulation is used, *dmrs-Type*=1, *maxLength*=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	$0, n_{SCID} = 0$
1	2	0, n <sub>SCID</sub> = 1
2	2	2, n <sub>SCID</sub> = 0
3	2	2. n <sub>scin</sub> = 1

Table 7.3.1.1.2-7: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=2, except that dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured and  $\pi$ /2-BPSK modulation is used

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0	1
1	2	1	1
2	2	2	1
3	2	3	1
4	2	0	2
5	2	1	2
6	2	2	2
7	2	3	2
8	2	4	2
9	2	5	2
10	2	6	2
11	2	7	2
12-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-7A: Antenna port(s), transform precoder is enabled, dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured,  $\pi$ /2-BPSK modulation is used, dmrs-Type=1, maxLength=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0, n <sub>SCID</sub> = 0	1
1	2	0, n <sub>SCID</sub> = 1	1
2	2	2, n <sub>SCID</sub> = 0	1
3	2	2, n <sub>SCID</sub> = 1	1
4	2	0, n <sub>SCID</sub> = 0	2
5	2	0, n <sub>SCID</sub> = 1	2
6	2	2, n <sub>SCID</sub> = 0	2
7	2	2, n <sub>SCID</sub> = 1	2
8	2	4, n <sub>SCID</sub> = 0	2
9	2	4, n <sub>SCID</sub> = 1	2
10	2	6, n <sub>SCID</sub> = 0	2
11	2	6, n <sub>SCID</sub> = 1	2
12-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-8: Antenna port(s), transform precoder is disabled, dmrs-Type=1, maxLength=1, rank

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6-7	Reserved	Reserved

Table 7.3.1.1.2-9: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	2	0,2
4-7	Reserved	Reserved

Table 7.3.1.1.2-10: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
2-7	Reserved	Reserved

Table 7.3.1.1.2-11: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
2-7	Reserved	Reserved

Table 7.3.1.1.2-12: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	2	0	2
7	2	1	2
8	2	2	2
9	2	3	2
10	2	4	2
11	2	5	2
12	2	6	2
13	2	7	2
14-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-13: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	2	0,2	1
4	2	0,1	2
5	2	2,3	2
6	2	4,5	2
7	2	6,7	2
8	2	0,4	2
9	2	2,6	2
10-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-14: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	2	0,1,4	2
2	2	2,3,6	2
3-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-15: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	2	0,1,4,5	2
2	2	2,3,6,7	2
3	2	0,2,4,6	2
4-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-16: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=1, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6	3	0
7	3	1
8	3	2
9	3	3
10	3	4
11	3	5
12-15	Reserved	Reserved

Table 7.3.1.1.2-17: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=1, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	3	0,1
4	3	2,3
5	3	4,5
6	2	0,2
7-15	Reserved	Reserved

Table 7.3.1.1.2-18: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank =3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
1	3	0-2
2	3	3-5
3-15	Reserved	Reserved

Table 7.3.1.1.2-19: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=1, rank

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
1	3	0-3
2-15	Reserved	Reserved

Table 7.3.1.1.2-20: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	3	0	1
7	3	1	1
8	3	2	1
9	3	3	1
10	3	4	1
11	3	5	1
12	3	0	2
13	3	1	2
14	3	2	2
15	3	3	2
16	3	4	2
17	3	5	2
18	3	6	2
19	3	7	2
20	3	8	2
21	3	9	2
22	3	10	2
23	3	11	2
24	1	0	2
25	1	1	2
26	1	6	2
27	1	7	2
28-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-21: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	3	0,1	1
4	3	2,3	1
5	3	4,5	1
6	2	0,2	1
7	3	0,1	2
8	3	2,3	2
9	3	4,5	2
10	3	6,7	2
11	3	8,9	2
12	3	10,11	2
13	1	0,1	2
14	1	6,7	2
15	2	0,1	2
16	2	2,3	2
17	2	6,7	2
18	2	8,9	2
19-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-22: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	3	0-2	1
2	3	3-5	1
3	3	0,1,6	2
4	3	2,3,8	2
5	3	4,5,10	2
6-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-23: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	3	0-3	1
2	3	0,1,6,7	2
3	3	2,3,8,9	2
4	3	4,5,10,11	2
5-31	Reserved	Reserved	Reserved

**Table 7.3.1.1.2-24: SRS request** 

Value of SRS request field	Triggered aperiodic SRS resource set(s) for DCI format 0_1, 0_2, 1_1, 1_2, and 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeB'	Triggered aperiodic SRS resource set(s) for DCI format 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeA'
00	No aperiodic SRS resource set triggered	No aperiodic SRS resource set triggered
01	SRS resource set(s) configured by SRS-ResourceSet with higher layer parameter aperiodicSRS- ResourceTrigger set to 1 or an entry in aperiodicSRS-ResourceTriggerList set to 1	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 1st set of serving cells configured by higher layers
	SRS resource set(s) configured by SRS-PosResourceSet with an entry in aperiodicSRS-ResourceTriggerList set to 1 when triggered by DCI formats 0_1, 0_2, 1_1, and 1_2	
10	SRS resource set(s) configured by SRS-ResourceSet with higher layer parameter aperiodicSRS- ResourceTrigger set to 2 or an entry in aperiodicSRS-ResourceTriggerList set to 2	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 2 <sup>nd</sup> set of serving cells configured by higher layers
	SRS resource set(s) configured by SRS-PosResourceSet with an entry in aperiodicSRS-ResourceTriggerList set to 2 when triggered by DCI formats 0_1, 0_2, 1_1, and 1_2	
11	SRS resource set(s) configured by SRS-ResourceSet with higher layer parameter aperiodicSRS-ResourceTrigger set to 3 or an entry in aperiodicSRS-ResourceTriggerList set to 3	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 3rd set of serving cells configured by higher layers
	SRS resource set(s) configured by SRS-PosResourceSet with an entry in aperiodicSRS-ResourceTriggerList set to 3 when triggered by DCI formats 0_1, 0_2, 1_1, and 1_2	

Table 7.3.1.1.2-25: PTRS-DMRS association for UL PTRS port 0

Value DMRS port	
0	1st scheduled DMRS port
1	2 <sup>nd</sup> scheduled DMRS port
2	3 <sup>rd</sup> scheduled DMRS port
3	4 <sup>th</sup> scheduled DMRS port

Table 7.3.1.1.2-26: PTRS-DMRS association for UL PTRS ports 0 and 1

Value of MSB	DMRS port	Value of LSB	DMRS port
0	1 <sup>st</sup> DMRS port which shares PTRS port 0	0	1 <sup>st</sup> DMRS port which shares PTRS port 1
1	2 <sup>nd</sup> DMRS port which shares PTRS port 0	1	2 <sup>nd</sup> DMRS port which shares PTRS port 1

## Table 7.3.1.1.2-27: void

Table 7.3.1.1.2-28: SRI indication for non-codebook based PUSCH transmission,  $L_{\mathrm{max}} = 1$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{SRS} = 3$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
		2	2	2	2
		3	reserved	3	3

Table 7.3.1.1.2-29: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max}$   $=\!2$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6-7	reserved	6	0,3
				7	1,2
				8	1,3
				9	2,3
				10-15	reserved

Table 7.3.1.1.2-30: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max}$   $=\!3$ 

Bit field mapped to	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to	SRI(s), $N_{\text{SRS}} = 3$	Bit field mapped to	SRI(s), $N_{\rm SRS} = 4$
index	Silo	index	SALS	index	DIO
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
		_		14-15	reserved

Table 7.3.1.1.2-31: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max} = 4$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
				14	0,1,2,3
				15	reserved

Table 7.3.1.1.2-32: SRI indication for codebook based PUSCH transmission, if *ul-FullPowerTransmission* is not configured, or *ul-FullPowerTransmission* = fullpowerMode1, or ul-FullPowerTransmission = fullpowerMode2, or ul-FullPowerTransmission = fullpowerMode2, or ul-FullPowerTransmission = fullpowerMode2, or ul-FullPowerTransmission = fullpowerMode3, ul-FullPowerTransmission = fullpowerTransmission = fullpowerTransmi

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$
0	0
1	1

Table 7.3.1.1.2-32A: SRI indication for codebook based PUSCH transmission, if *ul-*FullPowerTransmission = fullpowerMode2 and  $N_{SRS} = 3$ 

Bit field mapped to index	$SRI(s), N_{SRS} = 3$
0	0
1	1
2	2
3	Reserved

Table 7.3.1.1.2-32B: SRI indication for codebook based PUSCH transmission, if *ul-*FullPowerTransmission = fullpowerMode2 and  $N_{SRS} = 4$ 

Bit field mapped to index	$SRI(s), N_{SRS} = 4$
0	0
1	1
2	2
3	3

Table 7.3.1.1.2-33: Joint indication of minimum applicable scheduling offset K0/K2

Bit field mapped to index	Minimum applicable K0 for the active DL BWP, if minimumSchedulingOffsetK0 is configured for the DL BWP	Minimum applicable K2 for the active UL BWP, if minimumSchedulingOffsetK2 is configured for the UL BWP
0	The first value configured by	The first value configured by
	minimumSchedulingOffsetK0 for the active DL BWP	minimumSchedulingOffsetK2 for the active UL BWP
1	The second value configured by	The second value configured by
	minimumSchedulingOffsetK0 for the	minimumSchedulingOffsetK2 for the
	active DL BWP if the second value is	active UL BWP if the second value is
	configured; 0 otherwise	configured; 0 otherwise

Table 7.3.1.1.2-34: Redundancy version

Value of the Redundancy version field	Value of $rv_{id}$ to be applied	
0	0	
1	2	

Table 7.3.1.1.2-35: Allowed entries for DCI format 0\_1, configured by higher layer parameter *ul-AccessConfigListDCI-0-1* 

Entry index	Channel Access Type	The CP extension T_"ext" index defined in Clause 5.3.1 of [4, 38.211]	CAPC
0	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	1
1	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	2
2	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	3
3	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	4
4	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	1
5	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	2
6	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	3
7	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	4
8	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	1
9	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	2
10	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	3
11	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0	4
12	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	1
13	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	2
14	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	3
15	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2	4
16	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	0	1
17	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	0	2
18	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	0	3
19	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	0	4
20	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1	1
21	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1	2
22	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1	3
23	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1	4
24	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3	1
25	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3	2
26	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3	3
27	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3	4
28	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0	1
29	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0	2
30	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0	3
31	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0	4
32	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	1	1
33	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	1	2
34	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	1	3
35	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	1	4
36	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	2	1
37	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	2	2
38	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	2	3
39	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	2	4
40	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	3	1
41	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	3	2
42	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	3	3
43	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	3	4

## 7.3.1.1.3 Format 0\_2

DCI format 0\_2 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0\_2 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Carrier indicator 0, 1, 2 or 3 bits determined by higher layer parameter *carrierIndicatorSizeDCI-0-2*, as defined in Clause 10.1 of [5, TS38.213].
- UL/SUL indicator 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.

- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of UL BWPs  $n_{BWP,RRC}$  configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{BWP}) \rceil$  bits, where
  - $n_{BWP} = n_{BWP,RRC} + 1$  if  $n_{BWP,RRC} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{BWP} = n_{BWP,RRC}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following:
  - $N_{RBG}$  bits if only resource allocation type 0 is configured, where  $N_{RBG}$  is defined in Clause 6.1.2.2.1 of [6, TS 38.214]
  - $\left[\log_2\left(N_{RBG,K1}\left(N_{RBG,K1}+1\right)/2\right)\right]$  bits if only resource allocation type 1 is configured, or  $\max\left(\left[\log_2\left(N_{RBG,K1}\left(N_{RBG,K1}+1\right)/2\right)\right],N_{RBG}\right)+1$  bits if resourceAllocationDCI-0-2-r16 is configured as 'dynamicSwitch', where  $N_{RBG,K1}=\left[\left(N_{RB}^{UL,BWP}+\left(N_{UL,BWP}^{start} \mod K1\right)\right)/K1\right],N_{RB}^{UL,BWP}$  is the size of the active UL bandwidth part,  $N_{UL,BWP}^{start}$  is defined as in clause 4.4.4.4 of [4, TS 38.211] and K1 is given by higher layer parameter resourceAllocationType1GranularityDCI-0-2. If the higher layer parameter resourceAllocationType1GranularityDCI-0-2 is not configured, K1 is equal to 1.
  - If resourceAllocationDCI-0-2-r16 is configured as 'dynamicSwitch', the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
  - For resource allocation type 0, the  $N_{RBG}$  LSBs provide the resource allocation as defined in Clause 6.1.2.2.1 of [6, TS 38.214].
  - For resource allocation type 1, the  $\left[\log_2\left(N_{RBG,K1}\left(N_{RBG,K1}+1\right)/2\right)\right]$  LSBs provide the resource allocation as follows:
    - For PUSCH hopping with resource allocation type 1:
      - $N_{UL\_hop}$  MSB bits are used to indicate the frequency offset according to Clause 6.3 of [6, TS 38.214], where  $N_{UL\_hop} = 1$  if the higher layer parameter *frequencyHoppingOffsetListsDCI-0-2* contains two offset values and  $N_{UL\_hop} = 2$  if the higher layer parameter *frequencyHoppingOffsetListsDCI-0-2* contains four offset values
      - $\left[\log_2\left(N_{RBG,K1}\left(N_{RBG,K1}+1\right)/2\right)\right] N_{UL\_hop}$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]
    - For non-PUSCH hopping with resource allocation type 1:
      - $\left[\log_2\left(N_{RBG,K1}\left(N_{RBG,K1}+1\right)/2\right)\right]$  bits provide the frequency domain resource allocation according to Clause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if *resourceAllocationDCI-0-2-r16* is configured as '*dynamicSwitch*' for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment 0, 1, 2, 3, 4, 5 or 6 bits as defined in Clause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter pusch-TimeDomainAllocationListDCI-0-2 if the higher layer parameter is configured, or I is the number of entries in the higher layer parameter PUSCH-TimeDomainResourceAllocationList is configured and the higher layer parameter pusch-TimeDomainAllocationListDCI-0-2 is not configured; otherwise I is the number of entries in the default table.
- Frequency hopping flag 0 or 1 bit:

- 0 bit if the higher layer parameter frequencyHoppingDCI-0-2 is not configured;
- 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Clause 6.3 of [6, TS 38.214].
- Modulation and coding scheme –5 bits as defined in Clause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 0, 1 or 2 bits determined by higher layer parameter numberOfBitsForRV-DCI-0-2
  - If 0 bit is configured,  $rv_{id}$  to be applied is 0;
  - 1 bit according to Table 7.3.1.2.3-1;
  - 2 bits according to Table 7.3.1.1.1-2.
- HARQ process number 0, 1, 2, 3 or 4 bits determined by higher layer parameter *harq-ProcessNumberSizeDCI-0-2*
- Downlink assignment index -0, 1, 2 or 4 bits
  - 0 bit if the higher layer parameter downlinkAssignmentIndexDCI-0-2 is not configured;
  - 1, 2 or 4 bits otherwise,
    - 1<sup>st</sup> downlink assignment index 1 or 2 bits:
      - 1 bit for semi-static HARQ-ACK codebook;
      - 2 bits for dynamic HARQ-ACK codebook.
    - 2<sup>nd</sup> downlink assignment index 0 or 2 bits
      - 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks;
      - 0 bit otherwise.

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-2* is configured, if the bit width of the Downlink assignment index in DCI format 0\_2 for one HARQ-ACK codebook is not equal to that of the Downlink assignment index in DCI format 0\_2 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller Downlink assignment index until the bit width of the Downlink assignment index in DCI format 0\_2 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUSCH – 2 bits as defined in Clause 7.1.1 of [5, TS38.213]

- SRS resource indicator 
$$-\left[\log_2\left(\sum_{k=1}^{\min\{L_{\max}, N_{SRS}\}} {N_{SRS} \choose k}\right)\right]$$
 or  $[\log_2 N_{SRS}]$  bits, where  $N_{SRS}$  is the number of

configured SRS resources in the SRS resource set configured by higher layer parameter *srs-ResourceSetToAddModListDCI-0-2*, and associated with the higher layer parameter *usage* of value '*codeBook*' or '*nonCodeBook*',

$$- \left[ \log_2 \left( \sum_{k=1}^{\min\{L_{\max}, N_{\text{SRS}}\}} \binom{N_{\text{SRS}}}{k} \right) \right] \text{ bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter} \right]$$

txConfig = nonCodebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter srs-ResourceSetToAddModListDCI-0-2, and associated with the higher layer parameter usage of value 'nonCodeBook' and

- if UE supports operation with *maxMIMO-LayersDCI-0-2* and the higher layer parameter *maxMIMO-LayersDCI-0-2* of *PUSCH-ServingCellConfig* of the serving cell is configured, *L*<sub>max</sub> is given by that parameter

- otherwise,  $L_{max}$  is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.
- $\lceil \log_2 N_{SRS} \rceil$  bits according to Tables 7.3.1.1.2-32 if the higher layer parameter txConfig = codebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set configured by higher layer parameter srs-ResourceSetToAddModListDCI-0-2, and associated with the higher layer parameter usage of value 'codeBook'.
- Precoding information and number of layers number of bits determined by the following:
  - 0 bits if the higher layer parameter *txConfig* = *nonCodeBook*;
  - 0 bits for 1 antenna port and if the higher layer parameter txConfig = codebook;
  - 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2*, and *codebookSubsetDCI-0-2*;
  - 4 or 5 bits according to Table 7.3.1.1.2-2A for 4 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, the values of higher layer parameters *maxRankDCI-0-2=2*, transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;
  - 4 or 6 bits according to Table 7.3.1.1.2-2B for 4 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, the values of higher layer parameters *maxRankDCI-0-2=3* or 4, transform precoder is disabled, and according to the value of higher layer parameter *codebookSubsetDCI-0-2*;
  - 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;
  - 3 or 4 bits according to Table 7.3.1.1.2-3A for 4 antenna ports, if *txConfig = codebook*, *ul-FullPowerTransmission = fullpowerMode1*, *maxRankDCI-0-2=1*, and according to whether transform precoder is enabled or disabled, and the value of higher layer parameter *codebookSubsetDCI-0-2*;
  - 2 or 4 bits according to Table 7.3.1.1.2-4 for 2 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;
  - 2 bits according to Table 7.3.1.1.2-4A for 2 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* = *fullpowerMode1*, transform precoder is disabled, the *maxRankDCI-0-2=2*, and *codebookSubsetDCI-0-2=nonCoherent*;
  - 1 or 3 bits according to Table7.3.1.1.2-5 for 2 antenna ports, if *txConfig* = *codebook*, *ul-FullPowerTransmission* is not configured or configured to *fullpowerMode2* or configured to *fullpower*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRankDCI-0-2* and *codebookSubsetDCI-0-2*;
  - 2 bits according to Table 7.3.1.1.2-5A for 2 antenna ports, if txConfig = codebook, ul-FullPowerTransmission = fullpowerMode1, maxRankDCI-0-2=1, and according to whether transform precoder is enabled or disabled, and the value of higher layer parameter codebookSubsetDCI-0-2.

For the higher layer parameter *txConfig=codebook*, if *ul-FullPowerTransmission* is configured to *fullpowerMode2*, the values of higher layer parameters *maxRankDCI-0-2* is configured to be larger than 2, and at least one SRS resource with 4 antenna ports is configured in an SRS resource set with usage set to 'codebook' and an SRS resource with 2 antenna ports is indicated via SRI in the same SRS resource set, then Table 7.3.1.1.2-4 is used.

For the higher layer parameter *txConfig* = *codebook*, if different SRS resources with different number of antenna ports are configured, the bitwidth is determined according to the maximum number of ports in an SRS resource among the configured SRS resources in an SRS resource set with usage set to 'codebook'. If the number of ports for a configured SRS resource in the set is less than the maximum number of ports in an SRS resource among the configured SRS resources, a number of most significant bits with value set to '0' are inserted to the field.

- Antenna ports number of bits determined by the following:
  - 0 bit if higher layer parameter antennaPortsFieldPresenceDCI-0-2 is not configured;
  - 2, 3, 4, or 5 bits otherwise,
    - 2 bits as defined by Tables 7.3.1.1.2-6, if transform precoder is enabled, *dmrs-Type*=1, and *maxLength*=1, except that *dmrs-UplinkTransformPrecoding* and *tp-pi2BPSK* are both configured and π/2 BPSK modulation is used;
    - 2 bits as defined by 7.3.1.1.2-6A, if transform precoder is enabled, and dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured,  $\pi/2$  BPSK modulation is used, dmrs-Type=1, and maxLength=1, where  $n_{SCID}$  is the scrambling identity for antenna ports defined in Clause 6.4.1.1.1.2, in [4, TS38.211];
    - 4 bits as defined by Tables 7.3.1.1.2-7, if transform precoder is enabled, dmrs-Type=1, and maxLength=2, except that dmrs-UplinkTransformPrecoding and tp-pi2BPSK are both configured and π/2 BPSK modulation is used;
    - 4 bits as defined by Tables 7.3.1.1.2-7A, if transform precoder is enabled, and *dmrs-UplinkTransformPrecoding* and *tp-pi2BPSK* are both configured, π/2 BPSK modulation is used, *dmrs-Type*=1, and *maxLength*=2, where *n<sub>SCID</sub>* is the scrambling identity for antenna ports defined in Clause 6.4.1.1.1.2, in [4, TS38.211];
    - 3 bits as defined by Tables 7.3.1.1.2-8/9/10/11, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
    - 4 bits as defined by Tables 7.3.1.1.2-12/13/14/15, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
    - 4 bits as defined by Tables 7.3.1.1.2-16/17/18/19, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
    - 5 bits as defined by Tables 7.3.1.1.2-20/21/22/23, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*.

where the number of CDM groups without data of values 1, 2, and 3 in Tables 7.3.1.1.2-6 to 7.3.1.1.2-23 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively.

If a UE is configured with both dmrs-UplinkForPUSCH-MappingTypeA-DCI-0-2 and dmrs-UplinkForPUSCH-MappingTypeB-DCI-0-2 and is configured with antennaPortsFieldPresenceDCI-0-2, the bitwidth of this field equals  $\max\{x_A, x_B\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeA-DCI-0-2 and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeB-DCI-0-2. A number of  $|x_A - x_B|$  zeros are padded in the MSB of this field, if the mapping type of the PUSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .

If a UE is not configured with higher layer parameter *antennaPortsFieldPresenceDCI-0-2*, antenna port(s) are defined assuming bit field index value 0 in Tables 7.3.1.1.2-6 to 7.3.1.1.2-23.

- SRS request -0, 1, 2 or 3 bits
  - 0 bit if the higher layer parameter srs-RequestDCI-0-2 is not configured;
  - 1 bit as defined by Table 7.3.1.1.3-1 if higher layer parameter *srs-RequestDCI-0-2 = 1* and for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell;

- 2 bits if higher layer parameter *srs-RequestDCI-0-2* = 1 and for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell, where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second bit is defined by Table 7.3.1.1.3-1;
- 2 bits as defined by Table 7.3.1.1.2-24 if higher layer parameter *srs-RequestDCI-0-2* = 2 and for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell;
- 3 bits if higher layer parameter *srs-RequestDCI-0-2* = 2 and for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell, where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24;
- CSI request 0, 1, 2, 3, 4, 5, or 6 bits determined by higher layer parameter reportTriggerSizeDCI-0-2.
- PTRS-DMRS association number of bits determined as follows
  - 0 bit if PTRS-UplinkConfig is not configured in either dmrs-UplinkForPUSCH-MappingTypeA or dmrs-UplinkForPUSCH-MappingTypeB and transform precoder is disabled, or if transform precoder is enabled, or if maxRankDCI-0-2=1;
  - 2 bits otherwise, where Table 7.3.1.1.2-25 and 7.3.1.1.2-26 are used to indicate the association between PTRS port(s) and DMRS port(s) when one PT-RS port and two PT-RS ports are configured by maxNrofPorts in PTRS-UplinkConfig respectively, and the DMRS ports are indicated by the Antenna ports field.

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the "PTRS-DMRS association" field is present for the indicated bandwidth part but not present for the active bandwidth part, the UE assumes the "PTRS-DMRS association" field is not present for the indicated bandwidth part.

- beta\_offset indicator – 0 bit if the higher layer parameter *betaOffsets* = *semiStatic*; otherwise 1 bit if 2 offset indexes are configured by higher layer parameter *dynamicDCI-0-2* as defined by Table 9.3-3A in [5, TS 38.213], and 2 bits if 4 offset indexes are configured by higher layer parameter *dynamicDCI-0-2* as defined by Table 9.3-3 in [5, TS 38.213].

When two HARQ-ACK codebooks are configured for the same serving cell and if higher layer parameter *priorityIndicatorDCI-0-2* is configured, if the bit width of the beta\_offset indicator in DCI format 0\_2 for one HARQ-ACK codebook is not equal to that of the beta\_offset indicator in DCI format 0\_2 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller beta\_offset indicator until the bit width of the beta\_offset indicator in DCI format 0\_2 for the two HARQ-ACK codebooks are the same.

- DMRS sequence initialization 0 or 1 bit
  - 0 bit if the higher layer parameter *dmrs-SequenceInitializationDCI-0-2* is not configured or if transform precoder is enabled;
  - 1 bit if transform precoder is disabled and the higher layer parameter *dmrs-SequenceInitializationDCI-0-2* is configured.
- UL-SCH indicator 1 bit. A value of "1" indicates UL-SCH shall be transmitted on the PUSCH and a value of "0" indicates UL-SCH shall not be transmitted on the PUSCH. Except for DCI format 0\_2 with CRC scrambled by SP-CSI-RNTI, a UE is not expected to receive a DCI format 0\_2 with UL-SCH indicator of "0" and CSI request of all zero(s).
- Open-loop power control parameter set indication 0 or 1 or 2 bits.
  - 0 bit if the higher layer parameter *p0-PUSCH-SetList* is not configured;
  - 1 or 2 bits otherwise,
    - 1 bit if SRS resource indicator is present in the DCI format 0\_2;
    - 1 or 2 bits as determined by higher layer parameter *olpc-ParameterSetDCI-0-2* if SRS resource indicator is not present in the DCI format 0\_2;

- Priority indicator 0 bit if higher layer parameter *priorityIndicatorDCI-0-2* is not configured; otherwise 1 bit as defined in Clause 9 in [5, TS 38.213].
- Invalid symbol pattern indicator 0 bit if higher layer parameter *invalidSymbolPatternIndicatorDCI-0-2* is not configured; otherwise 1 bit as defined in Clause 6.1.2.1 in [6, TS 38.214].

A UE does not expect that the bit width of a field in DCI format  $0_2$  with CRC scrambled by CS-RNTI is larger than corresponding bit width of same field in DCI format  $0_2$  with CRC scrambled by C-RNTI for the same serving cell. If the bit width of a field in the DCI format  $0_2$  with CRC scrambled by CS-RNTI is not equal to that of the corresponding field in the DCI format  $0_2$  with CRC scrambled by C-RNTI for the same serving cell, a number of most significant bits with value set to '0' are inserted to the field in DCI format  $0_2$  with CRC scrambled by CS-RNTI until the bit width equals that of the corresponding field in the DCI format  $0_2$  with CRC scrambled by C-RNTI for the same serving cell.

 Value of SRS request field
 Triggered aperiodic SRS resource set(s) for DCI format 0\_2 and 1\_2

 0
 No aperiodic SRS resource set triggered

 SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 1 or an entry in aperiodicSRS-ResourceTriggerList set

Table 7.3.1.1.3-1: 1 bit SRS request in DCI format 0\_2 and DCI format 1\_2

## 7.3.1.2 DCI formats for scheduling of PDSCH

#### 7.3.1.2.1 Format 1 0

DCI format 1\_0 is used for the scheduling of PDSCH in one DL cell.

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment  $\left[\log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2)\right]$  bits where  $N_{\rm RB}^{\rm DL,BWP}$  is given by clause 7.3.1.0

If the CRC of the DCI format 1\_0 is scrambled by C-RNTI and the "Frequency domain resource assignment" field are of all ones, the DCI format 1\_0 is for random access procedure initiated by a PDCCH order, with all remaining fields set as follows:

- Random Access Preamble index 6 bits according to ra-PreambleIndex in Clause 5.1.2 of [8, TS38.321]
- UL/SUL indicator 1 bit. If the value of the "Random Access Preamble index" is not all zeros and if the UE is configured with *supplementaryUplink* in *ServingCellConfig* in the cell, this field indicates which UL carrier in the cell to transmit the PRACH according to Table 7.3.1.1.1-1; otherwise, this field is reserved
- SS/PBCH index 6 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the SS/PBCH that shall be used to determine the RACH occasion for the PRACH transmission; otherwise, this field is reserved.
- PRACH Mask index 4 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the RACH occasion associated with the SS/PBCH indicated by "SS/PBCH index" for the PRACH transmission, according to Clause 5.1.1 of [8, TS38.321]; otherwise, this field is reserved
- Reserved bits 12 bits for operation in a cell with shared spectrum channel access; otherwise 10 bits

Otherwise, all remaining fields are set as follows:

- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS 38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits as defined in Clause 9.1.3 of [5, TS 38.213], as counter DAI
- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ\_feedback timing indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]
- Channel Access-CPext 2 bits indicating combinations of channel access type and CP extension as defined in Table 7.3.1.1.1-4, or Table 7.3.1.1.1.4A, if *Channel Access Mode-r16* = "semistatic" is provided for operation in a cell with shared spectrum channel access; 0 bits otherwise

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by P-RNTI:

- Short Messages Indicator 2 bits according to Table 7.3.1.2.1-1.
- Short Messages 8 bits, according to Clause 6.5 of [9, TS38.331]. If only the scheduling information for Paging is carried, this bit field is reserved.
- Frequency domain resource assignment  $-\lceil \log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2) \rceil$  bits. If only the short message is carried, this bit field is reserved.
  - $N_{RR}^{DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5. If only the short message is carried, this bit field is reserved.
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1. If only the short message is carried, this bit field is reserved.
- TB scaling 2 bits as defined in Clause 5.1.3.2 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- Reserved bits 8 bits for operation in a cell with shared spectrum channel access; otherwise 6 bits

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by SI-RNTI:

- Frequency domain resource assignment  $\left[\log_2(N_{\mathrm{RB}}^{\mathrm{DL,BWP}}(N_{\mathrm{RB}}^{\mathrm{DL,BWP}}+1)/2)\right]$  bits
  - $N_{\rm RB}^{\rm DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5

- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- System information indicator 1 bit as defined in Table 7.3.1.2.1-2
- Reserved bits 17 bits for operation in a cell with shared spectrum channel access; otherwise 15 bits

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by RA-RNTI or MsgB-RNTI:

- Frequency domain resource assignment  $-\left[\log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2)\right]$  bits
  - $N_{RB}^{DL,BWP}$  is the size of CORESET 0 if CORESET 0 is configured for the cell and  $N_{RB}^{DL,BWP}$  is the size of initial DL bandwidth part if CORESET 0 is not configured for the cell
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- TB scaling 2 bits as defined in Clause 5.1.3.2 of [6, TS38.214]
- LSBs of SFN 2 bits for the DCI format 1\_0 with CRC scrambled by MsgB-RNTI as defined in Clause 8.2A of [5, TS 38.213] if *msgB-responseWindow* is configured to be larger than 10 ms; or 2 bits for the DCI format 1\_0 with CRC scrambled by RA-RNTI as defined in Clause 8.2 of [5, TS 38.213] for operation in a cell with shared spectrum channel access if *ra-ResponseWindow or ra-ResponseWindow-v1610* is configured to be larger than 10 ms; 0 bit otherwise
- Reserved bits -(16-A) bits for operation in a cell without shared spectrum access, (18-A) for operation in a cell with shared spectrum access, where the value of A is the number of bits for the field of 'LSBs of SFN' as defined above

The following information is transmitted by means of the DCI format 1 0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment  $-\lceil \log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2) \rceil$  bits
  - $N_{RR}^{DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Clause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits, reserved
- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]
- PDSCH-to-HARQ\_feedback timing indicator 3 bits as defined in Clause 9.2.3 of [5, TS38.213]

- ChannelAccess-CPext – 2 bits indicating combinations of channel access type and CP extension as defined in Table 7.3.1.1.1-4, or Table 7.3.1.1.1.4A, if *ChannelAccessMode-r16* = "semistatic" is provided for operation in a cell with shared spectrum channel access; otherwise 0 bit

Table 7.3.1.2.1-1: Short Message indicator

Bit field	Short Message indicator					
00	Reserved					
01	Only scheduling information for Paging is present in the DCI					
10	Only short message is present in the DCI					
11	Both scheduling information for Paging and short message are present in the DCI					

Table 7.3.1.2.1-2: System information indicator

Bit field	System information indicator
0	SIB1 [9, TS38.331, Clause 5.2.1]
1	SI message [9, TS38.331, Clause 5.2.1]

## 7.3.1.2.2 Format 1 1

DCI format 1\_1 is used for the scheduling of PDSCH in one cell.

The following information is transmitted by means of the DCI format 1\_1 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Carrier indicator 0 or 3 bits as defined in Clause 10.1 of [5, TS 38.213].
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of DL BWPs  $n_{\text{BWP,RRC}}$  configured by higher layers, excluding the initial DL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{\text{BWP}}) \rceil$  bits, where
  - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$  if  $n_{\text{BWP,RRC}} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{\text{BWP}} = n_{\text{BWP,RRC}}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where  $N_{RB}^{DL,BWP}$  is the size of the active DL bandwidth part:
  - $N_{\text{RBG}}$  bits if only resource allocation type 0 is configured, where  $N_{\text{RBG}}$  is defined in Clause 5.1.2.2.1 of [6, TS38.214].
  - $\left[\log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2)\right]$  bits if only resource allocation type 1 is configured, or
  - $\max\left(\left\lceil\log_{2}(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right\rceil,N_{RBG}\right)+1$  bits if resourceAllocation is configured as 'dynamicSwitch'.
  - If *resourceAllocation* is configured as '*dynamicSwitch*', the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.

- For resource allocation type 0, the  $N_{\rm RBG}$  LSBs provide the resource allocation as defined in Clause 5.1.2.2.1 of [6, TS 38.214].
- For resource allocation type 1, the  $\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  LSBs provide the resource allocation as defined in Clause 5.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if *resourceAllocation* is configured as '*dynamicSwitch*' for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment -0, 1, 2, 3, or 4 bits as defined in Clause 5.1.2.1 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter pdsch-TimeDomainAllocationList if the higher layer parameter is configured; otherwise I is the number of entries in the default table.
- VRB-to-PRB mapping 0 or 1 bit:
  - 0 bit if only resource allocation type 0 is configured or if interleaved VRB-to-PRB mapping is not configured by high layers;
  - 1 bit according to Table 7.3.1.2.2-5 otherwise, only applicable to resource allocation type 1, as defined in Clause 7.3.1.6 of [4, TS 38.211].
- PRB bundling size indicator 0 bit if the higher layer parameter *prb-BundlingType* is not configured or is set to 'staticBundling', or 1 bit if the higher layer parameter *prb-BundlingType* is set to 'dynamicBundling' according to Clause 5.1.2.3 of [6, TS 38.214].
- Rate matching indicator 0, 1, or 2 bits according to higher layer parameters *rateMatchPatternGroup1* and *rateMatchPatternGroup2*, where the MSB is used to indicate *rateMatchPatternGroup1* and the LSB is used to indicate *rateMatchPatternGroup2* when there are two groups.
- ZP CSI-RS trigger 0, 1, or 2 bits as defined in Clause 5.1.4.2 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(n_{ZP} + 1) \rceil$  bits, where  $n_{ZP}$  is the number of aperiodic ZP CSI-RS resource sets configured by higher layer.

#### For transport block 1:

- Modulation and coding scheme 5 bits as defined in Clause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

For transport block 2 (only present if maxNrofCodeWordsScheduledByDCI equals 2):

- Modulation and coding scheme 5 bits as defined in Clause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the value of *maxNrofCodeWordsScheduledByDCI* for the indicated bandwidth part equals 2 and the value of *maxNrofCodeWordsScheduledByDCI* for the active bandwidth part equals 1, the UE assumes zeros are padded when interpreting the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 according to Clause 12 of [5, TS38.213], and the UE ignores the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 for the indicated bandwidth part.

- HARQ process number 4 bits
- Downlink assignment index number of bits as defined in the following

- 6 bits if more than one serving cell are configured in the DL and the higher layer parameter *nfi-TotalDAI-Included=true = enable*. The 4 MSB bits are the counter DAI and the total DAI for the scheduled PDSCH group, and the 2 LSB bits are the total DAI for the non-scheduled PDSCH group.
- 4 bits if only one serving cell are configured in the DL and the higher layer parameter *nfi-TotalDAI-Included=true = enable*. The 2 MSB bits are the counter DAI for the scheduled PDSCH group, and the 2 LSB bits are the total DAI for the non-scheduled PDSCH group;
- 4 bits if more than one serving cell are configured in the DL, the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic* or *pdsch-HARQ-ACK-Codebook-r16= enhancedDynamic*, and *nfi-TotalDAI-Included=true* is not configured, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI;
- 4 bits if one serving cell is configured in the DL, and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, and the UE is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with value 0 for one or more first CORESETs and is provided *coresetPoolIndex* with value 1 for one or more second CORESETs, and is provided *ackNackFeedbackMode = joint*, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI;
- 2 bits if only one serving cell is configured in the DL, the higher layer parameter pdsch-HARQ-ACK-Codebook=dynamic or pdsch-HARQ-ACK-Codebook-r16=enhancedDynamic, and nfi-TotalDAI-Included=true is not configured, when the UE is not configured with coresetPoolIndex or the value of coresetPoolIndex is the same for all CORESETs if coresetPoolIndex is provided or the UE is not configured with ackNackFeedbackMode = joint, where the 2 bits are the counter DAI;
- 0 bits otherwise.

If higher layer parameter *priorityIndicatorDCI-1-1* is configured, if the bit width of the Downlink assignment index in DCI format 1\_1 for one HARQ-ACK codebook is not equal to that of the Downlink assignment index in DCI format 1\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller Downlink assignment index until the bit width of the Downlink assignment index in DCI format 1\_1 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ\_feedback timing indicator 0, 1, 2, or 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter dl-DataToUL-ACK.

If higher layer parameter *priorityIndicatorDCI-1-1* is configured, if the bit width of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_1 for one HARQ-ACK codebook is not equal to that of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller PDSCH-to-HARQ\_feedback timing indicator until the bit width of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_1 for the two HARQ-ACK codebooks are the same.

- One-shot HARQ-ACK request 0 or 1 bit.
  - 1 bit if higher layer parameter pdsch-HARQ-ACK-OneShotFeedback-r16 is configured;
  - 0 bit otherwise.
- PDSCH group index 0 or 1 bit.
  - 1 bit if the higher layer parameter pdsch-HARQ-ACK-Codebook-r16= enhancedDynamic;
  - 0 bit otherwise.
- New feedback indicator 0, 1 or 2 bits.
  - 1 bit if the higher layer parameter *pdsch-HARQ-ACK-Codebook-r16= enhancedDynamic* and the higher layer parameter *nfi-TotalDAI-Included* is not configured;

- 2 bits if the higher layer parameter *pdsch-HARQ-ACK-Codebook-r16= enhancedDynamic* and the higher layer parameter *nfi-TotalDAI-Included=true*; the MSB corresponds to the scheduled PDSCH group, and the LSB corresponds to the non-scheduled PDSCH group, as defined in [TS38.213] clause 9.1.3.3
- 0 bit otherwise.
- Number of requested PDSCH group(s) 0 or 1 bit.
  - 1 bit if the higher layer parameter pdsch-HARQ-ACK-Codebook-r16= enhancedDynamic;
  - 0 bit otherwise.
- Antenna port(s) -4, 5, or 6 bits as defined by Tables 7.3.1.2.2-1/2/3/4 and Tables 7.3.1.2.2-1A/2A/3A/4A, where the number of CDM groups without data of values 1, 2, and 3 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively. The antenna ports  $\{p_{0,\dots,}p_{v-1}\}$  shall be determined according to the ordering of DMRS port(s) given by Tables 7.3.1.2.2-1/2/3/4 or Tables 7.3.1.2.2-1A/2A/3A/4A. When a UE receives an activation command that maps at least one codepoint of DCI field '*Transmission Configuration Indication*' to two TCI states, the UE shall use Table 7.3.1.2.2-1A/2A/3A/4A; otherwise, it shall use Tables 7.3.1.2.2-1/2/3/4. The UE can receive an entry with DMRS ports equals to 1000, 1002, 1003 when two TCI states are indicated in a codepoint of DCI field '*Transmission Configuration Indication*'.

If a UE is configured with both dmrs-DownlinkForPDSCH-MappingTypeA and dmrs-DownlinkForPDSCH-MappingTypeB, the bitwidth of this field equals  $\max \left\{ x_A, x_B \right\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeA and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeB. A number of  $\left| x_A - x_B \right|$  zeros are padded in the MSB of this field, if the mapping type of the PDSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .

- Transmission configuration indication – 0 bit if higher layer parameter *tci-PresentInDCI* is not enabled; otherwise 3 bits as defined in Clause 5.1.5 of [6, TS38.214].

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part,

- if the higher layer parameter *tci-PresentInDCI* is not enabled for the CORESET used for the PDCCH carrying the DCI format 1\_1,
  - the UE assumes tci-PresentInDCI is not enabled for all CORESETs in the indicated bandwidth part;
- otherwise,
  - the UE assumes tci-PresentInDCI is enabled for all CORESETs in the indicated bandwidth part.
- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Clause 6.1.1.2 of [6, TS 38.214].
- CBG transmission information (CBGTI) 0 bit if higher layer parameter *codeBlockGroupTransmission* for PDSCH is not configured, otherwise, 2, 4, 6, or 8 bits as defined in Clause 5.1.7 of [6, TS38.214], determined by the higher layer parameters *maxCodeBlockGroupsPerTransportBlock* and *maxNrofCodeWordsScheduledByDCI* for the PDSCH.

If higher layer parameter *priorityIndicatorDCI-1-1* is configured, if the bit width of the CBG transmission information in DCI format 1\_1 for one HARQ-ACK codebook is not equal to that of the CBG transmission information in DCI format 1\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller CBG transmission information until the bit width of the CBG transmission information in DCI format 1\_1 for the two HARQ-ACK codebooks are the same.

- CBG flushing out information (CBGFI) – 1 bit if higher layer parameter *codeBlockGroupFlushIndicator* is configured as "TRUE", 0 bit otherwise.

If higher layer parameter *priorityIndicatorDCI-1-1* is configured, if the bit width of the CBG flushing out information in DCI format 1\_1 for one HARQ-ACK codebook is not equal to that of the CBG flushing out

information in DCI format 1\_1 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller CBG flushing out information until the bit width of the CBG flushing out information in DCI format 1\_1 for the two HARQ-ACK codebooks are the same.

- DMRS sequence initialization 1 bit.
- Priority indicator 0 bit if higher layer parameter *priorityIndicatorDCI-1-1* is not configured; otherwise 1 bit as defined in Clause 9 in [5, TS 38.213].
- ChannelAccess-CPext 0, 1, 2, 3 or 4 bits. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter ul-AccessConfigListDCI-1-1 or in Table 7.3.1.1.1-4A if ChannelAccessMode-r16 = "semistatic" is provided for operation in a cell with shared spectrum channel access; otherwise 0 bit. One or more entries from Table 7.3.1.2.2-6 are configured by the higher layer parameter ul-AccessConfigListDCI-1-1.
- Minimum applicable scheduling offset indicator 0 or 1 bit
  - 0 bit if higher layer parameter *minimumSchedulingOffsetK0* is not configured;
  - 1 bit if higher layer parameter *minimumSchedulingOffsetK0* is configured. The 1 bit indication is used to determine the minimum applicable K0 for the active DL BWP and the minimum applicable K2 value for the active UL BWP, if configured respectively, according to Table 7.3.1.1.2-33. If the minimum applicable K0 is indicated, the minimum applicable value of the aperiodic CSI-RS triggering offset for an active DL BWP shall be the same as the minimum applicable K0 value.
- SCell dormancy indication 0 bit if higher layer parameter *dormancyGroupWithinActiveTime* is not configured; otherwise 1, 2, 3, 4 or 5 bits bitmap determined according to higher layer parameter *dormancyGroupWithinActiveTime*, where each bit corresponds to one of the SCell group(s) configured by higher layers parameter *dormancyGroupWithinActiveTime*, with MSB to LSB of the bitmap corresponding to the first to last configured SCell group. The field is only present when this format is carried by PDCCH on the primary cell within DRX Active Time and the UE is configured with at least two DL BWPs for an SCell.

If one-shot HARQ-ACK request is not present or set to '0', and all bits of frequency domain resource assignment are set to 0 for resource allocation type 0 or set to 1 for resource allocation type 1 or set to 0 or 1 for dynamic switch resource allocation type, this field is reserved and the following fields among the fields above are used for SCell dormancy indication, where each bit corresponds to one of the configured SCell(s), with MSB to LSB of the following fields concatenated in the order below corresponding to the SCell with lowest to highest SCell index

- Modulation and coding scheme of transport block 1
- New data indicator of transport block 1
- Redundancy version of transport block 1
- HARQ process number
- Antenna port(s)
- DMRS sequence initialization

If DCI formats 1\_1 are monitored in multiple search spaces associated with multiple CORESETs in a BWP for scheduling the same serving cell, zeros shall be appended until the payload size of the DCI formats 1\_1 monitored in the multiple search spaces equal to the maximum payload size of the DCI format 1\_1 monitored in the multiple search spaces.

Table 7.3.1.2.2-1: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=1

One Codeword: Codeword 0 enabled, Codeword 1 disabled						
Value Number of DMRS DMRS CDM group(s) port(s)						
0	1	0				
1	1	1				
2	1	0,1				
3	2	0				
4	2	1				
5	2	2				
6	2	3				
7	2	0,1				
8	2	2,3				
9	2	0-2				
10	2	0-3				
11	2	0,2				
12-15	Reserved	Reserved				

Table 7.3.1.2.2-1A: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=1

One Codeword: Codeword 0 enabled, Codeword 1 disabled						
Value Number of DMRS DMRS CDM group(s) port(s						
0	1	0				
1	1	1				
2	1	0,1				
3	2	0				
4	2	1				
5	2	2				
6	2	3				
7	2	0,1				
8	2	2,3				
9	2	0-2				
10	2	0-3				
11	2	0,2				
12	2	0,2,3				
13-15	Reserved	Reserved				

Table 7.3.1.2.2-2: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=2

One Codeword: Codeword 0 enabled, Codeword 1 disabled				Code Code	o Codewords: eword 0 enabled, eword 1 enabled		
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	2	0-4	2
1	1	1	1	1	2	0,1,2,3,4,6	2
2	1	0,1	1	2	2	0,1,2,3,4,5,6	2
3	2	0	1	3	2	0,1,2,3,4,5,6,7	2
4	2	1	1	4-31	reserved	reserved	reserved
5	2	2	1				
6	2	3	1				
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	2	0,2	1				
12	2	0	2				
13	2	1	2				
14	2	2	2				
15	2	3	2				
16	2	4	2				
17	2	5	2				
18	2	6	2				
19	2	7	2				
20	2	0,1	2				
21	2	2,3	2				
22	2	4,5	2				
23	2	6,7	2				
24	2	0,4	2				
25	2	2,6	2				
26	2	0,1,4	2				
27	2	2,3,6	2				
28	2	0,1,4,5	2				
29	2	2,3,6,7	2				
30	2	0,2,4,6	2				
31	Reserved	Reserved	Reserved				

Table 7.3.1.2.2-2A: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=2

One Codeword: Codeword 0 enabled, Codeword 1 disabled				Code Code	o Codewords: eword 0 enabled, eword 1 enabled		
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	2	0-4	2
1	1	1	1	1	2	0,1,2,3,4,6	2
2	1	0,1	1	2	2	0,1,2,3,4,5,6	2
3	2	0	1	3	2	0,1,2,3,4,5,6,7	2
4	2	1	1	4-31	reserved	reserved	reserved
5	2	2	1				
6	2	3	1				
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	2	0,2	1				
12	2	0	2				
13	2	1	2				
14	2	2	2				
15	2	3	2				
16	2	4	2				
17	2	5	2				
18	2	6	2				
19	2	7	2				
20	2	0,1	2				
21	2	2,3	2				
22	2	4,5	2				
23	2	6,7	2				
24	2	0,4	2				
25	2	2,6	2				
26	2	0,1,4	2				
27	2	2,3,6	2				
28	2	0,1,4,5	2				
29	2	2,3,6,7	2				
30	2	0,2,4,6	2				
31	2	0,2,3	1				

Table 7.3.1.2.2-3: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=1

One codeword: Codeword 0 enabled, Codeword 1 disabled			Co	Two codewords odeword 0 enablo odeword 1 enabl	ed,
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0	0	3	0-4
1	1	1	1	3	0-5
2	1	0,1	2-31	reserved	reserved
3	2	0			
4	2	1			
5	2	2			
6	2	3			
7	2	0,1			
8	2	2,3			
9	2	0-2			
10	2	0-3			
11	3	0			
12	3	1			
13	3	2			
14	3	3			
15	3	4			
16	3	5			
17	3	0,1			
18	3	2,3			
19	3	4,5			
20	3	0-2			
21	3	3-5			
22	3	0-3			
23	2	0,2			
24-31	Reserved	Reserved			

Table 7.3.1.2.2-3A: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=1

	One codeword: odeword 0 enable odeword 1 disable		Co	Two codewords odeword 0 enable odeword 1 enabl	ed,
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0	0	3	0-4
1	1	1	1	3	0-5
2	1	0,1	2-31	reserved	reserved
3	2	0			
4	2	1			
5	2	2			
6	2	3			
7	2	0,1			
8	2	2,3			
9	2	0-2			
10	2	0-3			
11	3	0			
12	3	1			
13	3	2			
14	3	3			
15	3	4			
16	3	5			
17	3	0,1			
18	3	2,3			
19	3	4,5			
20	3	0-2			
21	3	3-5			
22	3	0-3			
23	2	0,2			
24	2	0,2,3			
25-31	Reserved	Reserved			

Table 7.3.1.2.2-4: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=2

	One codeword: Codeword 0 enabled, Codeword 1 disabled			Two Codewords: Codeword 0 enabled, Codeword 1 enabled			
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	3	0-4	1
1	1	1	1	1	3	0-5	1
2	1	0,1	1	2	2	0,1,2,3,6	2
3	2	0	1	3	2	0,1,2,3,6,8	2
4	2	1	1	4	2	0,1,2,3,6,7,8	2
5	2	2	1	5	2	0,1,2,3,6,7,8,9	2
6	2	3	1	6-63	Reserved	Reserved	Reserved
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	3	0	1				
12	3	1	1				
13	3	2	1				
14	3	3	1				
15	3	4	1				
16	3	5	1				
17	3	0,1	1				
18	3	2,3	1				
19	3	4,5	1				
20	3	0-2	1				
21	3	3-5	1				
22	3	0-3	1				
23	2	0,2	1				
24	3	0	2				
25	3	1	2				
26	3	2	2				
27	3	3	2				
28	3	4	2				
29	3	5	2				
30	3	6	2				
31	3	7	2				
32	3	8	2				
33	3	9	2				
34	3	10	2				
35	3	11	2				
36	3	0,1	2				
37	3	2,3	2				
38	3	4,5	2				
39	3	6,7	2				
40	3	8,9	2				
41	3	10,11	2				
42	3	0,1,6	2				
43	3	2,3,8	2				
44	3	4,5,10	2				
45	3	0,1,6,7	2				
46	3	2,3,8,9	2				
47	3	4,5,10,11	2				
48	1	0	2				
49	1	1	2				
50	1	6	2				
51	1	7	2				
52	1	0,1	2				
53	1	6,7	2	1			
54	2	0,1	2				
55	2	2,3	2				
56	2	6,7	2				

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57	2	8,9	2		
58-63	Reserved	Reserved	Reserved		

Table 7.3.1.2.2-4A: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=2

	Codewor	odeword: d 0 enabled, d 1 disabled			Code Code	o Codewords: eword 0 enabled, eword 1 enabled	
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	3	0-4	1
1	1	1	1	1	3	0-5	1
2	1	0,1	1	2	2	0,1,2,3,6	2
3	2	0	1	3	2	0,1,2,3,6,8	2
4	2	1	1	4	2	0,1,2,3,6,7,8	2
5	2	2	1	5	2	0,1,2,3,6,7,8,9	2
6	2	3	1	6-63	Reserved	Reserved	Reserved
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	3	0	1				
12	3	1	1				
13	3	2	1	1			
14	3	3	1				
15	3	4	1				
16	3	5	1				
17	3	0,1	1				
18	3	2,3	1				
19	3	4,5	1				
20	3	0-2	1				
21	3	3-5	1				
22	3	0-3	1				
23	2	0.2	1				
24	3	0,2	2				
25	3	1	2				
26	3	2	2				
27	3	3	2				
28	3	4	2				
29	3	5	2				
30	3	6	2				
31	3	7	2				
32	3	8	2				
33	3	9	2				
	,	ŭ					
34	3	10	2				
35	3	11	2				
36	3	0,1	2				
37	3	2,3	2	1			
38		4,5		1			
39	3	6,7	2				
40 41	3	8,9	2				
	3	10,11		1			
42	3	0,1,6	2				
43	3	2,3,8	2				
44	3	4,5,10	2				
45	3	0,1,6,7	2	<u> </u>			
46	3	2,3,8,9	2				
47	3	4,5,10,11	2	<u> </u>			
48	1	0	2	<u> </u>			
49	1	1	2				
50	1	6	2	1			
51	1	7	2				
52	1	0,1	2	1			
53	1	6,7	2				
54	2	0,1	2				
55	2	2,3	2	1			
56	2	6,7	2				

57	2	8,9	2		
58	2	0,2,3	1		
59-63	Reserved	Reserved	Reserved		

Table 7.3.1.2.2-5: VRB-to-PRB mapping

Bit field mapped to index	VRB-to-PRB mapping
0	Non-interleaved
1	Interleaved

Table 7.3.1.2.2-6: Allowed entries for DCI format 1\_1, configured by higher layer parameter *ul-AccessConfigListDCI-1-1* 

Entry index	Channel Access Type	The CP extension Text index defined in Clause 5.3.1 of [4, TS 38.211]
0	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0
1	Type2C-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2
2	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	0
3	Type2B-ULChannelAccess defined in [clause 4.2.1.2.3 in 37.213]	2
4	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	0
5	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	1
6	Type2A-ULChannelAccess defined in [clause 4.2.1.2.1 in 37.213]	3
7	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	0
8	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	1
9	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	2
10	Type1-ULChannelAccess defined in [clause 4.2.1.1 in 37.213]	3

### 7.3.1.2.3 Format 1 2

DCI format 1\_2 is used for the scheduling of PDSCH in one cell.

The following information is transmitted by means of the DCI format 1\_2 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
  - The value of this bit field is always set to 1, indicating a DL DCI format.
- Carrier indicator 0, 1, 2 or 3 bits determined by higher layer parameter *carrierIndicatorSizeDCI-1-2*, as defined in Clause 10.1 of [5, TS38.213].
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of DL BWPs  $n_{BWP,RRC}$  configured by higher layers, excluding the initial DL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{BWP}) \rceil$  bits, where
  - $n_{BWP} = n_{BWP,RRC} + 1$  if  $n_{BWP,RRC} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{BWP} = n_{BWP,RRC}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following:
  - N<sub>RBG</sub> bits if only resource allocation type 0 is configured, where N<sub>RBG</sub> is defined in Clause 5.1.2.2.1 of [6, TS 38.2.14]:
  - $\left[\log_2\left(N_{RBG,K2}\left(N_{RBG,K2}+1\right)/2\right)\right]$  bits if only resource allocation type 1 is configured, or  $\max\left(\left[\log_2\left(N_{RBG,K2}\left(N_{RBG,K2}+1\right)/2\right)\right],N_{RBG}\right)+1$  bits if resourceAllocationDCI-1-2-r16 is configured as

'dynamicSwitch', where  $N_{RBG,K2} = \left[ \left( N_{RB}^{DL,BWP} + \left( N_{DL,BWP}^{start} \, mod \, K2 \right) \right) / K2 \right], N_{RB}^{DL,BWP}$  is the size of the active DL bandwidth part,  $N_{DL,BWP}^{start}$  is defined as in clause 4.4.4.4 of [4, TS 38.211] and K2 is determined by higher layer parameter resourceAllocationType1GranularityDCI-1-2. If the higher layer parameter resourceAllocationType1GranularityDCI-1-2 is not configured, K2 is equal to 1.

- If resourceAllocationDCI-1-2-r16 is configured as 'dynamicSwitch', the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
- For resource allocation type 0, the  $N_{RBG}$  LSBs provide the resource allocation as defined in Clause 5.1.2.2.1 of [6, TS 38.214].
- For resource allocation type 1, the  $\left[\log_2\left(N_{RBG,K2}\left(N_{RBG,K2}+1\right)/2\right)\right]$  LSBs provide the resource allocation as defined in Clause 5.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if resourceAllocationDCI-1-2-r16 is configured as 'dynamicSwitch' for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment 0, 1, 2, 3, or 4 bits as defined in Clause 5.1.2.1 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter pdsch-TimeDomainAllocationListDCI-I-I if the higher layer parameter is configured, or I is the number of entries in the higher layer parameter pdsch-TimeDomainAllocationList is configured when the higher layer parameter pdsch-TimeDomainAllocationList is not configured; otherwise I is the number of entries in the default table.
- VRB-to-PRB mapping 0 or 1 bit:
  - 0 bit if the higher layer parameter vrb-ToPRB-InterleaverDCI-1-2 is not configured;
  - 1 bit according to Table 7.3.1.2.2-5 otherwise, only applicable to resource allocation type 1, as defined in Clause 7.3.1.6 of [4, TS 38.211].
- PRB bundling size indicator 0 bit if the higher layer parameter *prb-BundlingTypeDCI-1-2* is not configured or is set to 'static', or 1 bit if the higher layer parameter *prb-BundlingTypeDCI-1-2* is set to 'dynamic' according to Clause 5.1.2.3 of [6, TS 38.214].
- Rate matching indicator 0, 1, or 2 bits according to higher layer parameters *rateMatchPatternGroup1DCI-1-2* and *rateMatchPatternGroup2DCI-1-2*, where the MSB is used to indicate *rateMatchPatternGroup1DCI-1-2* and the LSB is used to indicate *rateMatchPatternGroup2DCI-1-2* when there are two groups.
- ZP CSI-RS trigger 0, 1, or 2 bits as defined in Clause 5.1.4.2 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(n_{ZP} + 1) \rceil$  bits, where  $n_{ZP}$  is the number of aperiodic ZP CSI-RS resource sets configured by higher layer parameter *aperiodic ZP-CSI-RS-Resource Sets ToAddModListDCI-1-2*.
- Modulation and coding scheme 5 bits as defined in Clause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 0, 1 or 2 bits determined by higher layer parameter numberOfBitsForRV-DCI-1-2
  - If 0 bit is configured,  $rv_{id}$  to be applied is 0;
  - 1 bit according to Table 7.3.1.2.3-1;
  - 2 bits according to Table 7.3.1.1.1-2.
- HARQ process number 0, 1, 2, 3 or 4 bits determined by higher layer parameter *harq-ProcessNumberSizeDCI-1-2*
- Downlink assignment index -0, 1, 2 or 4 bits
  - 0 bit if the higher layer parameter downlinkAssignmentIndexDCI-1-2 is not configured;

- 1, 2 or 4 bits determined by higher layer parameter downlinkAssignmentIndexDCI-1-2 otherwise,
  - 4 bits if more than one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI
  - 4 bits if one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, and the UE is not provided *coresetPoolIndex* or is provided *coresetPoolIndex* with value 0 for one or more first CORESETs and is provided *coresetPoolIndex* with value 1 for one or more second CORESETs, and is provided *ackNackFeedbackMode = joint*, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI.
  - 1 or 2 bits if only one serving cell is configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, when the UE is not configured with *coresetPoolIndex* or the value of *coresetPoolIndex* is the same for all CORESETs if *coresetPoolIndex* is provided or the UE is not configured with *ackNackFeedbackMode = joint*, where the 1 bit or 2 bits are the counter DAI.

If higher layer parameter *priorityIndicatorDCI-1-2* is configured, if the bit width of the Downlink assignment index in DCI format 1\_2 for one HARQ-ACK codebook is not equal to that of the Downlink assignment index in DCI format 1\_2 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller Downlink assignment index until the bit width of the Downlink assignment index in DCI format 1\_2 for the two HARQ-ACK codebooks are the same.

- TPC command for scheduled PUCCH 2 bits as defined in Clause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 0 or 1 or 2 or 3 bits determined by higher layer parameter numberOfBitsForPUCCH-ResourceIndicatorDCI-1-2
- PDSCH-to-HARQ\_feedback timing indicator 0, 1, 2, or 3 bits as defined in Clause 9.2.3 of [5, TS 38.213]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where *I* is the number of entries in the higher layer parameter *DL-DataToUL-ACK-DCI-1-2*.

If higher layer parameter *priorityIndicatorDCI-1-2* is configured, if the bit width of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_2 for one HARQ-ACK codebook is not equal to that of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_2 for the other HARQ-ACK codebook, a number of most significant bits with value set to '0' are inserted to smaller PDSCH-to-HARQ\_feedback timing indicator until the bit width of the PDSCH-to-HARQ\_feedback timing indicator in DCI format 1\_2 for the two HARQ-ACK codebooks are the same.

- Antenna port(s) -0, 4, 5, or 6 bits
  - 0 bit if higher layer parameter antennaPortsFieldPresenceDCI-1-2 is not configured;
  - Otherwise 4, 5 or 6 bits as defined by Tables 7.3.1.2.2-1/2/3/4, where the number of CDM groups without data of values 1, 2, and 3 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively. The antenna ports  $\{p_0, ..., p_{v-1}\}$  shall be determined according to the ordering of DMRS port(s) given by Tables 7.3.1.2.2-1/2/3/4. If a UE is configured with both dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2 and dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2 and is configured with higher layer parameter antennaPortsFieldPresenceDCI-1-2, the bitwidth of this field equals  $\max\{x_A, x_B\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2 and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2. A number of  $|x_A x_B|$  zeros are padded in the MSB of this field, if the mapping type of the PDSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .

If a UE is not configured with higher layer parameter *antennaPortsFieldPresenceDCI-1-2*, antenna port(s) are defined assuming bit field index value 0 in Tables 7.3.1.2.2-1/2/3/4.

- Transmission configuration indication – 0 bit if higher layer parameter *tci-PresentDCI-1-2* is not configured; otherwise 1 or 2 or 3 bits determined by higher layer parameter *tci-PresentDCI-1-2* as defined in Clause 5.1.5 of [6, TS38.214].

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part,

- if the higher layer parameter *tci-PresentDCI-1-2* is not configured for the CORESET used for the PDCCH carrying the DCI format 1\_2,
  - the UE assumes tci-PresentDCI-1-2 is not configured for all CORESETs in the indicated bandwidth part;
- otherwise,
  - the UE assumes *tci-PresentDCI-1-2* is configured for all CORESETs in the indicated bandwidth part with the same value configured for the CORESET used for the PDCCH carrying the DCI format 1\_2.
- SRS request -0, 1, 2 or 3 bits
  - 0 bit if the higher layer parameter srs-RequestDCI-1-2 is not configured;
  - 1 bit as defined by Table 7.3.1.1.3-1 if the higher layer parameter *srs-RequestDCI-1-2 = 1* and for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell;
  - 2 bits if the higher layer parameter *srs-RequestDCI-1-2 = 1* and for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell, where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second bit is defined by Table 7.3.1.1.3-1;
  - 2 bits as defined by Table 7.3.1.1.2-24 if the higher layer parameter *srs-RequestDCI-1-2* = 2 and for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell;
  - 3 bits if the higher layer parameter *srs-RequestDCI-1-2* = 2 and for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell, where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24;
- DMRS sequence initialization 0 or 1 bit
  - 0 bit if the higher layer parameter dmrs-SequenceInitializationDCI-1-2 is not configured;
  - 1 bit otherwise.
- Priority indicator 0 bit if higher layer parameter *priorityIndicatorDCI-1-2* is not configured; otherwise 1 bit as defined in Clause 9 in [5, TS 38.213].

If DCI formats 1\_2 are monitored in multiple search spaces associated with multiple CORESETs in a BWP for scheduling the same serving cell, zeros shall be appended until the payload size of the DCI formats 1\_2 monitored in the multiple search spaces equal to the maximum payload size of the DCI format 1\_2 monitored in the multiple search spaces.

Table 7.3.1.2.3-1: Redundancy version

Value of the Redundancy version field	Value of $r_{{\cal V}_{id}}$ to be applied
0	0
1	3

## 7.3.1.3 DCI formats for other purposes

#### 7.3.1.3.1 Format 2 0

DCI format 2\_0 is used for notifying the slot format, COT duration, available RB set, and search space set group switching.

The following information is transmitted by means of the DCI format 2 0 with CRC scrambled by SFI-RNTI:

- If the higher layer parameter slotFormatCombToAddModList is configured,
  - Slot format indicator 1, Slot format indicator 2, ..., Slot format indicator *N*,
- If the higher layer parameter available RB-SetsToAddModList is configured,

- Available RB set Indicator 1, Available RB set Indicator 2, ..., Available RB set Indicator NI,
- If the higher layer parameter co-DurationsPerCellToAddModList is configured
  - COT duration indicator 1, COT duration indicator 2, ..., COT duration indicator N2.
- If the higher layer parameter *switchTriggerToAddModList* is configured
  - Search space set group switching flag 1, Search space set group switching flag 2, ..., Search space set group switching flag *M*.

The size of DCI format 2\_0 is configurable by higher layers up to 128 bits, according to Clause 11.1.1 of [5, TS 38.213].

#### 7.3.1.3.2 Format 2 1

DCI format 2\_1 is used for notifying the PRB(s) and OFDM symbol(s) where UE may assume no transmission is intended for the UE.

The following information is transmitted by means of the DCI format 2\_1 with CRC scrambled by INT-RNTI:

- Pre-emption indication 1, Pre-emption indication 2, ..., Pre-emption indication N.

The size of DCI format 2\_1 is configurable by higher layers up to 126 bits, according to Clause 11.2 of [5, TS 38.213]. Each pre-emption indication is 14 bits.

#### 7.3.1.3.3 Format 2 2

DCI format 2 2 is used for the transmission of TPC commands for PUCCH and PUSCH.

The following information is transmitted by means of the DCI format 2\_2 with CRC scrambled by TPC-PUSCH-RNTI or TPC-PUCCH-RNTI:

- block number 1, block number 2,..., block number N

The parameter *tpc-PUSCH* or *tpc-PUCCH* provided by higher layers determines the index to the block number for an UL of a cell, with the following fields defined for each block:

- Closed loop indicator -0 or 1 bit.
  - For DCI format 2\_2 with TPC-PUSCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUSCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2\_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2\_2 is of 3 bits;
  - For DCI format 2\_2 with TPC-PUCCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUCCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2\_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2\_2 is of 3 bits;
- TPC command –2 bits

The number of information bits in format 2\_2 shall be equal to or less than the payload size of format 1\_0 monitored in common search space in the same serving cell. If the number of information bits in format 2\_2 is less than the payload size of format 1\_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2\_2 until the payload size equals that of format 1\_0 monitored in common search space in the same serving cell.

#### 7.3.1.3.4 Format 2 3

DCI format 2\_3 is used for the transmission of a group of TPC commands for SRS transmissions by one or more UEs. Along with a TPC command, a SRS request may also be transmitted.

The following information is transmitted by means of the DCI format 2 3 with CRC scrambled by TPC-SRS-RNTI:

- block number 1, block number 2, ..., block number B

where the starting position of a block is determined by the parameter *startingBitOfFormat2-3* or *startingBitOfFormat2-3SUL-v1530* provided by higher layers for the UE configured with the block.

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeA* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block is configured for the UE by higher layers, with the following fields defined for the block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Clause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command number 1, TPC command number 2, ..., TPC command number N, where each TPC command applies to a respective UL carrier provided by higher layer parameter *cc-IndexInOneCC-Set*

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeB* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block or more blocks is configured for the UE by higher layers where each block applies to an UL carrier, with the following fields defined for each block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Clause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command -2 bits

The number of information bits in format 2\_3 shall be equal to or less than the payload size of format 1\_0 monitored in common search space in the same serving cell. If the number of information bits in format 2\_3 is less than the payload size of format 1\_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2\_3 until the payload size equals that of format 1\_0 monitored in common search space in the same serving cell.

#### 7.3.1.3.5 Format 2 4

DCI format 2\_4 is used for notifying the PRB(s) and OFDM symbol(s) where UE cancels the corresponding UL transmission from the UE according to Clause 11.2A of [5, TS 38.213].

The following information is transmitted by means of the DCI format 2 4 with CRC scrambled by ci-RNTI:

- Cancellation indication 1, Cancellation indication 2, ..., Cancellation indication indication N.

The size of DCI format 2\_4 is configurable by higher layers parameter *dci-PayloadSizeForCI* up to 126 bits, according to Clause 11.2A of [5, TS 38.213]. The number of bits for each cancellation indication is configurable by higher layer parameter *ci-PayloadSize*. For a UE, there is at most one cancellation indication for an UL carrier.

#### 7.3.1.3.6 Format 2 5

DCI format 2\_5 is used for notifying the availability of soft resources as defined in Clause 9.3.1 of [10, TS 38.473]

The following information is transmitted by means of the DCI format 2\_5 with CRC scrambled by AI-RNTI:

- Availability indicator 1, Availability indicator 2, ..., Availability indicator *N*.

The size of DCI format 2\_5 is configurable by higher layers up to 128 bits, according to Clause 14 of [5, TS 38.213].

#### 7.3.1.3.7 Format 2\_6

DCI format 2\_6 is used for notifying the power saving information outside DRX Active Time for one or more UEs.

The following information is transmitted by means of the DCI format 2\_6 with CRC scrambled by PS-RNTI:

block number 1, block number 2,..., block number N
 where the starting position of a block is determined by the parameter *ps-PositionDCI-2-6* provided by higher layers for the UE configured with the block.

If the UE is configured with higher layer parameter *ps-RNTI* and *dci-Format2-6*, one block is configured for the UE by higher layers, with the following fields defined for the block:

- Wake-up indication 1 bit
- SCell dormancy indication 0 bit if higher layer parameter dormancyGroupOutsideActiveTime is not configured; otherwise 1, 2, 3, 4 or 5 bits bitmap determined according to higher layer parameter dormancyGroupOutsideActiveTime, where each bit corresponds to one of the SCell group(s) configured by higher layers parameter dormancyGroupOutsideActiveTime, with MSB to LSB of the bitmap corresponding to the first to last configured SCell group.

The size of DCI format 2\_6 is indicated by the higher layer parameter *sizeDCI-2-6*, according to Clause 10.3 of [5, TS 38.213].

## 7.3.1.4 DCI formats for scheduling of sidelink

### 7.3.1.4.1 Format 3\_0

DCI format 3\_0 is used for scheduling of NR PSCCH and NR PSSCH in one cell.

The following information is transmitted by means of the DCI format 3\_0 with CRC scrambled by SL-RNTI or SL-CS-RNTI:

- Resource pool index  $-\lceil \log_2 I \rceil$  bits, where I is the number of resource pools for transmission configured by the higher layer parameter sl-TxPoolScheduling.
- Time gap 3 bits determined by higher layer parameter *sl-DCI-ToSL-Trans*, as defined in clause 8.1.2.1 of [6, TS 38.214]
- HARQ process number 4 bits as defined in clause 16.4 of [5, TS 38.213]
- New data indicator 1 bit as defined in clause 16.4 of [5, TS 38.213]
- Lowest index of the subchannel allocation to the initial transmission  $-\left[\log_2(N_{\text{subChannel}}^{\text{SL}})\right]$  bits as defined in clause 8.1.2.2 of [6, TS 38.214]
- SCI format 1-A fields according to clause 8.3.1.1:
  - Frequency resource assignment.
  - Time resource assignment.
- PSFCH-to-HARQ feedback timing indicator  $\log_2 N_{\text{fb\_timing}}$  bits, where  $N_{\text{fb\_timing}}$  is the number of entries in the higher layer parameter *sl-PSFCH-ToPUCCH*, as defined in clause 16.5 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in clause 16.5 of [5, TS 38.213].
- Configuration index 0 bit if the UE is not configured to monitor DCI format 3\_0 with CRC scrambled by SL-CS-RNTI; otherwise 3 bits as defined in clause 8.1.2 of [6, TS 38.214]. If the UE is configured to monitor DCI format 3\_0 with CRC scrambled by SL-CS-RNTI, this field is reserved for DCI format 3\_0 with CRC scrambled by SL-RNTI.
- Counter sidelink assignment index 2 bits
  - 2 bits as defined in clause 16.5.2 of [5, TS 38.213] if the UE is configured with *pdsch-HARQ-ACK-Codebook* = *dynamic*
  - 2 bits as defined in clause 16.5.1 of [5, TS 38.213] if the UE is configured with *pdsch-HARQ-ACK-Codebook* = *semi-static*
- Padding bits, if required

If multiple transmit resource pools are provided in *sl-TxPoolScheduling*, zeros shall be appended to the DCI format 3\_0 until the payload size is equal to the size of a DCI format 3\_0 given by a configuration of the transmit resource pool resulting in the largest number of information bits for DCI format 3\_0.

If the UE is configured to monitor DCI format 3\_1 and the number of information bits in DCI format 3\_0 is less than the payload of DCI format 3\_1, zeros shall be appended to DCI format 3\_0 until the payload size equals that of DCI format 3\_1.

#### 7.3.1.4.2 Format 3 1

DCI format 3\_1 is used for scheduling of LTE PSCCH and LTE PSSCH in one cell.

The following information is transmitted by means of the DCI format 3\_1 with CRC scrambled by SL Semi-Persistent Scheduling V-RNTI:

- Timing offset 3 bits determined by higher layer parameter *sl-TimeOffsetEUTRA*, as defined in clause 16.6 of [5, TS 38.213]
- Carrier indicator –3 bits as defined in 5.3.3.1.9A of [11, TS 36.212].
- Lowest index of the subchannel allocation to the initial transmission  $\left[\log_2(N_{\text{subchannel}}^{\text{SL}})\right]$  bits as defined in 5.3.3.1.9A of [11, TS 36.212].
- Frequency resource location of initial transmission and retransmission, as defined in 5.3.3.1.9A of [11, TS 36.212]
- Time gap between initial transmission and retransmission, as defined in 5.3.3.1.9A of [11, TS 36.212]
- SL index 2 bits as defined in 5.3.3.1.9A of [11, TS 36.212]
- SL SPS configuration index 3 bits as defined in clause 5.3.3.1.9A of [11, TS 36.212].
- Activation/release indication 1 bit as defined in clause 5.3.3.1.9A of [11, TS 36.212].

If the UE is configured to monitor DCI format 3\_0 and the number of information bits in DCI format 3\_1 is less than the payload of DCI format 3\_0, zeros shall be appended to DCI format 3\_1 until the payload size equals that of DCI format 3\_0.

## 7.3.2 CRC attachment

Error detection is provided on DCI transmissions through a Cyclic Redundancy Check (CRC).

The entire payload is used to calculate the CRC parity bits. Denote the bits of the payload by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits. Let  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$  be a bit sequence such that  $a'_i = 1$  for i = 0,1,...,L-1 and  $a'_i = a_{i-L}$  for i = L, L+1,...,A+L-1. The parity bits are computed with input bit sequence  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$  and attached according to Clause 5.1 by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24C}}(D)$ . The output bit  $b_0, b_1, b_2, b_3, ..., b_{K-1}$  is

$$b_k = a_k$$
 for  $k = 0,1,2,...,A-1$ 

$$b_k = p_{k-A}$$
 for  $k = A, A+1, A+2,..., A+L-1$ ,

where K = A + L.

After attachment, the CRC parity bits are scrambled with the corresponding RNTI  $x_{rnti,0}, x_{rnti,1}, ..., x_{rnti,15}$ , where  $x_{rnti,0}$  corresponds to the MSB of the RNTI, to form the sequence of bits  $C_0, C_1, C_2, C_3, ..., C_{K-1}$ . The relation between  $c_k$  and  $b_k$  is:

$$c_k = b_k$$
 for  $k = 0, 1, 2, ..., A + 7$   
 $c_k = (b_k + x_{mti,k-A-8}) \mod 2$  for  $k = A + 8, A + 9, A + 10, ..., A + 23$ .

## 7.3.3 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits, and they are encoded via Polar coding according to Clause 5.3.1, by setting  $n_{\max} = 9$ ,  $I_{IL} = 1$ ,  $n_{PC} = 0$ , and  $n_{PC}^{wm} = 0$ .

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

## 7.3.4 Rate matching

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Clause 5.4.1 by setting  $I_{RH} = 0$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

# 8 Sidelink transport channels and control information

## 8.1 Sidelink broadcast channel

The processing for SL-BCH transport channel follows the BCH according to clause 7.1, with the following changes:

- In Clause 7.1, 'maximum of one transport block every 80ms' is replaced with 'maximum of one transport block'.
- Clause 7.1.1 for PBCH payload generation is not performed.
- Clause 7.1.2 for scrambling is not performed.
- In clause 7.1.5, the rate matching output sequence length E = 1386 when higher layer parameter *cyclicPrefix* is configured, otherwise, E = 1782.

## 8.1.1 (void)

## 8.2 Sidelink shared channel

The processing for SL-SCH transport channel follows the UL-SCH according to clause 6.2, with the following changes:

- Rate matching of SL-SCH follows the rate matching according to clause 6.2.5 by setting  $I_{LBRM} = 0$
- Clause 6.2.7 is replaced by clause 8.2.1

## 8.2.1 Data and control multiplexing

Denote the coded bits for SL-SCH as  $g_0^{SL-SCH}$ ,  $g_1^{SL-SCH}$ ,  $g_2^{SL-SCH}$ ,  $g_3^{SL-SCH}$ , ...,  $g_G^{SL-SCH}$ , ...

Denote the coded bits for the 2<sup>nd</sup>-stage SCI, as  $g_0^{SCI2}$ ,  $g_1^{SCI2}$ ,  $g_2^{SCI2}$ ,  $g_3^{SCI2}$ , ...,  $g_G^{SCI2}$ ,  $g_3^{SCI2}$ , ...

Denote the multiplexed data and control coded bit sequence as  $g_0, g_1, \dots, g_{G-1}$ , where G is the total number of coded bits for transmission.

Assuming that  $N_L$  is the number of layers onto which the SL-SCH transport block is mapped, the multiplexed data and control coded bit sequence  $g_0, g_1, \dots, g_{g-1}$  is obtained as follows:

Denote  $Q_m^{SCI2}$  is modulation order of the 2<sup>nd</sup>-stage SCI.

if  $N_L = 1$ ,

```
for i = 0 to G^{SCI2} + G^{SL-SCH} - 1
         if 0 \le i < G^{SCI2}
              g_i = g_i^{SCI2}
         end if
         if G^{SCI2} \le i \le G^{SCI2} + G^{SL-SCH} - 1
              g_i = g_{i-G}^{SL-SCH}_{sci2}
         end if
    end for
end if
if N_L = 2,
    let M_{count,SCI2}^{RE} = G^{SCI2}/Q_m^{SCI2}
    set m_{count}^{RE} = 0
    for i = 0 to M_{count,SCI2}^{RE} - 1
         for v = 0 to N_L - 1
              for q = 0 to Q_m^{SCI2} - 1
                   if v = 0
                        g_{m_{count}^{RE}} = g_{i \cdot Q_m^{SCI2} + q}^{SCI2}
                        g_{m_{count}^{RE}} = x // placeholder bit
                   m_{count}^{RE} = m_{count}^{RE} + 1
              end for
         end for
    end for
    for i = 0 to G^{SL-SCH} - 1
         \mathbf{g}_{m_{count}^{RE}} = \mathbf{g}_{i}^{SL-SCH}
         m_{count}^{RE} = m_{count}^{RE} + 1
     end for
```

# 8.3 Sidelink control information on PSCCH

end if

SCI carried on PSCCH is a 1<sup>st</sup>-stage SCI, which transports sidelink scheduling information.

## 8.3.1 1st-stage SCI formats

The fields defined in each of the 1st-stage SCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows:

Each field is mapped in the order in which it appears in the description, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

#### 8.3.1.1 SCI format 1-A

SCI format 1-A is used for the scheduling of PSSCH and 2<sup>nd</sup>-stage-SCI on PSSCH

The following information is transmitted by means of the SCI format 1-A:

- Priority 3 bits as specified in clause 5.4.3.3 of [12, TS 23.287] and clause 5.22.1.3.1 of [8, TS 38.321].
- Frequency resource assignment  $-\left[\log_2(\frac{N_{\text{subChannel}}^{\text{SL}}(N_{\text{subChannel}}^{\text{SL}}+1)}{2})\right]$  bits when the value of the higher layer parameter sl-MaxNumPerReserve is configured to 2; otherwise  $\left[\log_2(\frac{N_{\text{subChannel}}^{\text{SL}}(N_{\text{subChannel}}^{\text{SL}}+1)(2N_{\text{subChannel}}^{\text{SL}}+1)}{6})\right]$  bits when the value of the higher layer parameter sl-MaxNumPerReserve is configured to 3, as defined in clause 8.1.5 of [6, TS 38.214].
- Time resource assignment 5 bits when the value of the higher layer parameter *sl-MaxNumPerReserve* is configured to 2; otherwise 9 bits when the value of the higher layer parameter *sl-MaxNumPerReserve* is configured to 3, as defined in clause 8.1.5 of [6, TS 38.214].
- Resource reservation period  $\log_2 N_{\text{rsv\_period}}$  bits as defined in clause 16.4 of [5, TS 38.213], where  $N_{\text{rsv\_period}}$  is the number of entries in the higher layer parameter *sl-ResourceReservePeriodList*, if higher layer parameter *sl-MultiReserveResource* is configured; 0 bit otherwise.
- DMRS pattern  $|\log_2 N_{\text{pattern}}|$  bits as defined in clause 8.4.1.1.2 of [4, TS 38.211], where  $N_{\text{pattern}}$  is the number of DMRS patterns configured by higher layer parameter *sl-PSSCH-DMRS-TimePatternList*.
- 2<sup>nd</sup>-stage SCI format 2 bits as defined in Table 8.3.1.1-1.
- Beta\_offset indicator 2 bits as provided by higher layer parameter sl-BetaOffsets2ndSCI and Table 8.3.1.1-2.
- Number of DMRS port 1 bit as defined in Table 8.3.1.1-3.
- Modulation and coding scheme 5 bits as defined in clause 8.1.3 of [6, TS 38.214].
- Additional MCS table indicator as defined in clause 8.1.3.1 of [6, TS 38.214]: 1 bit if one MCS table is configured by higher layer parameter *sl-Additional-MCS-Table*; 2 bits if two MCS tables are configured by higher layer parameter *sl-Additional-MCS-Table*; 0 bit otherwise.
- PSFCH overhead indication 1 bit as defined clause 8.1.3.2 of [6, TS 38.214] if higher layer parameter *sl-PSFCH-Period* = 2 or 4; 0 bit otherwise.
- Reserved a number of bits as determined by higher layer parameter sl-NumReservedBits, with value set to zero.

Table 8.3.1.1-1: 2<sup>nd</sup>-stage SCI formats

Value of 2nd-stage SCI format field	2nd-stage SCI format
00	SCI format 2-A
01	SCI format 2-B
10	Reserved
11	Reserved

Table 8.3.1.1-2: Mapping of Beta\_offset indicator values to indexes in Table 9.3-2 of [5, TS38.213]

Value of Beta_offset indicator	Beta_offset index in Table 9.3-2 of [5, TS38.213]
00	1st index provided by higher layer parameter sl- BetaOffsets2ndSCI
01	2nd index provided by higher layer parameter sl- BetaOffsets2ndSCI
10	3rd index provided by higher layer parameter s/- BetaOffsets2ndSCI
11	4th index provided by higher layer parameter s/- BetaOffsets2ndSCI

Table 8.3.1.1-3: Number of DMRS port(s)

Value of the Number of DMRS port field	Antenna ports
0	1000
1	1000 and 1001

## 8.3.2 CRC attachment

CRC attachement is performed according to clause 7.3.2 except that scrambling is not performed.

## 8.3.3 Channel coding

Channel coding is performed according to clause 7.3.3.

## 8.3.4 Rate Matching

Rate matching is performed according to clause 7.3.4.

## 8.4 Sidelink control information on PSSCH

SCI carried on PSSCH is a  $2^{nd}$ -stage SCI, which transports sidelink scheduling information.

## 8.4.1 2<sup>nd</sup>-stage SCI formats

The fields defined in each of the  $2^{nd}$ -stage SCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows:

Each field is mapped in the order in which it appears in the description, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

#### 8.4.1.1 SCI format 2-A

SCI format 2-A is used for the decoding of PSSCH, with HARQ operation when HARQ-ACK information includes ACK or NACK, when HARQ-ACK information includes only NACK, or when there is no feedback of HARQ-ACK information.

The following information is transmitted by means of the SCI format 2-A:

- HARQ process number 4 bits.
- New data indicator 1 bit.
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2.
- Source ID 8 bits as defined in clause 8.1 of [6, TS 38.214].

- Destination ID 16 bits as defined in clause 8.1 of [6, TS 38.214].
- HARQ feedback enabled/disabled indicator 1 bit as defined in clause 16.3 of [5, TS 38.213].
- Cast type indicator 2 bits as defined in Table 8.4.1.1-1 and in clause 8.1 of [6, TS 38.214].
- CSI request 1 bit as defined in clause 8.2.1 of [6, TS 38.214] and in clause 8.1 of [6, TS 38.214].

Table 8.4.1.1-1: Cast type indicator

Value of Cast type indicator	Cast type
00	Broadcast
01	Groupcast when HARQ-ACK information includes ACK or NACK
10	Unicast
11	Groupcast when HARQ-ACK information includes only NACK

## 8.4.1.2 SCI format 2-B

SCI format 2-B is used for the decoding of PSSCH, with HARQ operation when HARQ-ACK information includes only NACK, or when there is no feedback of HARQ-ACK information.

The following information is transmitted by means of the SCI format 2-B:

- HARQ process number 4 bits.
- New data indicator 1 bit.
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2.
- Source ID 8 bits as defined in clause 8.1 of [6, TS 38.214].
- Destination ID 16 bits as defined in clause 8.1 of [6, TS 38.214].
- HARQ feedback enabled/disabled indicator 1 bit as defined in clause 16.3 of [5, TS 38.213].
- Zone ID 12 bits as defined in clause 5.8.11 of [9, TS 38.331].
- Communication range requirement 4 bits determined by higher layer parameter sl-ZoneConfigMCR-Index.

## 8.4.2 CRC attachment

CRC attachment is performed according to clause 7.3.2 except that scrambling is not performed.

## 8.4.3 Channel coding

Channel coding is performed according to clause 7.3.3.

## 8.4.4 Rate Matching

For  $2^{\text{nd}}$ -stage SCI transmission on PSSCH with SL-SCH, the number of coded modulation symbols generated for  $2^{\text{nd}}$ -stage SCI transmission prior to duplication for the 2nd layer if present, denoted as  $Q'_{SCI2}$ , is determined as follows:

$$Q_{SCI2}^{'} = \min \left\{ \left[ \frac{(O_{SCI2} + L_{SCI2}) \cdot \beta_{offset}^{SCI2}}{Q_m^{SCI2} \cdot R} \right], \left[ \alpha \sum_{l=0}^{N_{symbol}^{PSSCH} - 1} M_{sc}^{SCI2}(l) \right] \right\} + \gamma$$

where

- $O_{SCI2}$  is the number of the 2<sup>nd</sup>-stage SCI bits
- $L_{SCI2}$  is the number of CRC bits for the 2<sup>nd</sup>-stage SCI, which is 24 bits.
- $\beta_{offset}^{SCI2}$  is indicated in the corresponding 1<sup>st</sup>-stage SCI.
- $M_{SC}^{PSSCH}(l)$  is the scheduled bandwidth of PSSCH transmission, expressed as a number of subcarriers.
- $M_{sc}^{PSCCH}(l)$  is the number of subcarriers in OFDM symbol l that carry PSCCH and PSCCH DMRS associated with the PSSCH transmission.
- $M_{sc}^{SC12}(l)$  is the number of resource elements that can be used for transmission of the  $2^{nd}$ -stage SCI in OFDM symbol l, for  $l=0,1,2\cdots$ ,  $N_{symbol}^{PSSCH}-1$  and for  $N_{symbol}^{PSSCH}=N_{symb}^{sh}-N_{symb}^{PSFCH}$ , in PSSCH transmission, where  $N_{symb}^{sh}=sl$ -lengthSymbols 2, where sl-lengthSymbols is the number of sidelink symbols within the slot provided by higher layers as defined in [6, TS 38.214]. If higher layer parameter sl-PSFCH-Period = 2 or 4,  $N_{symb}^{PSFCH}=3$  if "PSFCH overhead indication" field of SCI format 1-A indicates "1", and  $N_{symb}^{PSFCH}=0$  otherwise. If higher layer parameter sl-PSFCH-Period is 1,  $N_{symb}^{PSFCH}=3$ .
  - $M_{sc}^{SCI2}(l) = M_{sc}^{PSSCH}(l) M_{sc}^{PSCCH}(l)$
- γ is the number of vacant resource elements in the resource block to which the last coded symbol of the 2<sup>nd</sup>-stage SCI belongs.
- R is the coding rate as indicated by "Modulation and coding scheme" field in SCI format 1-A.
- $\alpha$  is configured by higher layer parameter *sl-Scaling*.

The input bit sequence to rate matching is  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

Rate matching is performed according to Clause 5.4.1 by setting  $I_{BIL} = 1$ .

The output bit sequence after rate matching is denoted as  $g_0^{SC12}$ ,  $g_1^{SC12}$ ,  $g_2^{SC12}$ ,  $g_3^{SC12}$ , ...,  $g_{G}^{SC12}$ , where  $G^{SC12} = Q_{SC12}^{'}$ .  $Q_m^{SC12}$  and  $Q_m^{SC12}$  is modulation order of the 2<sup>nd</sup>-stage SCI. A UE is not expected to have  $G^{SC12} > 4096$ .

## 8.4.5 Multiplexing of coded 2<sup>nd</sup>-stage SCI bits to PSSCH

The coded 2<sup>nd</sup>-stage SCI bits are multiplexed onto PSSCH according to the procedures in Clause 8.2.1.

# Annex A: Change history

2017-05 2017-07 2017-08 2017-08 2017-09 2017-09 2017-09 2017-10 2017-11 2017-11	AH_NR2 RAN1#90 RAN1#90 RAN1#90 RAN1#90b RAN1#90b RAN1#90b RAN1#91	R1-1707082 R1-1712014 R1-1714564 R1-1714659 R1-1715322 RP-171991 R1-1716928 R1-171906 R1-1719245 R1-1721049 R1-1721342 RP-172668 RP-180200		Rev	Cat	Draft skeleton Inclusion of LDPC related agreements Inclusion of Polar coding related agreements Endorsed version by RAN1#90 as basis for further updates Capturing additional agreements on LDPC and Polar code from RAN1 #90 For information to plenary Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3 Endorsed as v1.1.0 Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc. Endorsed as v1.2.0	New version 0.0.0 0.0.1 0.0.2 0.1.0 0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-07 2017-08 2017-09 2017-09 2017-09 2017-09 2017-10 2017-11 2017-11 2017-11 2017-12 2017-12 2017-12 2018-03	RAN1#90 RAN1#90 RAN1#90 RAN1#90b RAN1#90b RAN1#91	R1-1712014 R1-1714564 R1-1714659 R1-1715322 RP-171991 R1-1716928 R1-1719106 R1-1719245 R1-1721049 R1-1721342 RP-172668				Inclusion of LDPC related agreements Inclusion of Polar coding related agreements Endorsed version by RAN1#90 as basis for further updates Capturing additional agreements on LDPC and Polar code from RAN1 #90 For information to plenary Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3 Endorsed as v1.1.0 Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc.	0.0.0 0.0.1 0.0.2 0.1.0 0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-07 2017-08 2017-09 2017-09 2017-09 2017-09 2017-10 2017-11 2017-11 2017-11 2017-12 2017-12 2017-12 2018-03	RAN1#90 RAN1#90 RAN1#90 RAN1#90b RAN1#90b RAN1#91	R1-1712014 R1-1714564 R1-1714659 R1-1715322 RP-171991 R1-1716928 R1-1719106 R1-1719245 R1-1721049 R1-1721342 RP-172668				Inclusion of LDPC related agreements Inclusion of Polar coding related agreements Endorsed version by RAN1#90 as basis for further updates Capturing additional agreements on LDPC and Polar code from RAN1 #90 For information to plenary Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3 Endorsed as v1.1.0 Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc.	0.0.1 0.0.2 0.1.0 0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-08 2017-09 2017-09 2017-09 2017-09 2017-10 2017-11 2017-11 2017-12 2017-12 2017-12 2018-03	RAN1#90 RAN1#90 RAN1#90 RAN1#90b RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78	R1-1714564 R1-1714659 R1-1715322 RP-171991 R1-1716928 R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Inclusion of Polar coding related agreements Endorsed version by RAN1#90 as basis for further updates Capturing additional agreements on LDPC and Polar code from RAN1 #90 For information to plenary Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3 Endorsed as v1.1.0 Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc.	0.0.2 0.1.0 0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-08 2017-09 2017-09 2017-09 2017-10 2017-11 2017-11 2017-12 2017-12 2017-12 2018-03	RAN1#90 RAN1#90 RAN1#90b RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1714659 R1-1715322 RP-171991 R1-1716928 R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Endorsed version by RAN1#90 as basis for further updates  Capturing additional agreements on LDPC and Polar code from RAN1 #90  For information to plenary  Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3  Endorsed as v1.1.0  Capturing additional agreements on channel coding, etc.  Capturing additional agreements on DCI format, channel coding, etc.	0.1.0 0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-09 2017-09 2017-09 2017-10 2017-11 2017-11 2017-12 2017-12 2017-12 2018-03	RAN1#90 RAN#77 RAN1#90b RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1715322 RP-171991 R1-1716928 R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Capturing additional agreements on LDPC and Polar code from RAN1 #90  For information to plenary Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3  Endorsed as v1.1.0 Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc.	0.1.1 1.0.0 1.0.1 1.1.0 1.1.1 1.1.2
2017-09   2017-10   2017-11   2017-11   2017-12   2017-12   2017-12   2018-03   2018-04	RAN1#90b RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1716928 R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				RAN1 #90  For information to plenary  Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3  Endorsed as v1.1.0  Capturing additional agreements on channel coding, etc.  Capturing additional agreements on DCI format, channel coding, etc.	1.0.1 1.1.0 1.1.1 1.1.2
2017-09   2017-10   2017-11   2017-11   2017-12   2017-12   2017-12   2018-03   2018-04	RAN1#90b RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1716928 R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3  Endorsed as v1.1.0  Capturing additional agreements on channel coding, etc.  Capturing additional agreements on DCI format, channel coding, etc.	1.0.1 1.1.0 1.1.1 1.1.2
2017-10   2017-11   2017-11   2017-12   2017-12   2017-12   2018-03   2018-04	RAN1#90b RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1719106 R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				RAN1 NR AH#3  Endorsed as v1.1.0  Capturing additional agreements on channel coding, etc.  Capturing additional agreements on DCI format, channel coding, etc.	1.1.0 1.1.1 1.1.2
2017-11 2017-11 2017-12 2017-12 2017-12 2017-12 2018-03 2018-04	RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Endorsed as v1.1.0  Capturing additional agreements on channel coding, etc.  Capturing additional agreements on DCI format, channel coding, etc.	1.1.1 1.1.2
2017-11 2017-11 2017-12 2017-12 2017-12 2017-12 2018-03 2018-04	RAN1#91 RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1719225 R1-1719245 R1-1721049 R1-1721342 RP-172668				Capturing additional agreements on channel coding, etc. Capturing additional agreements on DCI format, channel coding, etc.	1.1.1 1.1.2
2017-11 2017-11 2017-12 2017-12 2017-12 2018-03 2018-04	RAN1#91 RAN1#91 RAN1#91 RAN#78 RAN#78 RAN#79	R1-1719245 R1-1721049 R1-1721342 RP-172668	0001			Capturing additional agreements on DCI format, channel coding, etc.	1.1.2
2017-12 2017-12 2017-12 2018-03 2018-04	RAN1#91 RAN#78 RAN#78 RAN#79	R1-1721342 RP-172668	0001				400
2017-12 2017-12 2017-12 2018-03 2018-04	RAN1#91 RAN#78 RAN#78 RAN#79	R1-1721342 RP-172668	0001				120
2017-12 2017-12 2018-03 2018-04	RAN#78 RAN#78 RAN#79	RP-172668	0001			Capturing additional agreements on UCI, DCI, channel coding, etc.	1.2.1
2017-12 2018-03 2018-04	RAN#78 RAN#79		0001	1	Ī	Endorsed version for approval by plenary.	2.0.0
2018-03	RAN#79	RP-180200	0001			Approved by plenary – Rel-15 spec under change control	15.0.0
2018-04				_	F	CR capturing the Jan18 ad-hoc and RAN1#92 meeting	15.1.0
	RAN#/9					agreements	
2018-06						MCC: correction of typo in DCI format 0_1 (time domain resource assignment) – higher layer parameter should be <i>pusch-AllocationList</i>	15.1.1
	RAN#80	RP-181172	0002	1	F	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements	15.2.0
2018-06	RAN#80	RP-181257	0003	-	В	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements related to URLLC	15.2.0
2018-09	RAN#81	RP-181789	0004	_	F	CR to 38.212 capturing the RAN1#94 meeting agreements	15.3.0
2018-12	RAN#82	RP-182523	0005	3	F	Combined CR of all essential corrections to 38.212 from RAN1#94bis and RAN1#95	15.4.0
2019-03	RAN#83	RP-190448	0006	-	F	Correction of wrong implementation on frequency domain resource	15.5.0
2019-03	RAN#83	RP-190448	0008	_	F	assignment bitwidth	15.5.0
2019-03	RAN#83	RP-190448	0009	-	F	Correction to UCI multiplexing Correction on DCI format 2_3 for SUL cell in TS 38.212	15.5.0
2019-03	RAN#83			-	F	Corrections to TS38.212	15.5.0
2019-03	RAN#83	RP-190448	0010	-	F	On bitwidth calculation for DCI fields using RRC parameter indicating maximum number of MIMO layers per serving cell	15.5.0
2019-03	RAN#83	RP-190448	0012	_	F	CR on zero-padding of DCI 1_1 in cross-carrier scheduling case	15.5.0
2019-03	RAN#83	RP-190448		_	F	Clarification on UL_SUL indicator field and SRS request field	15.5.0
2019-06	RAN#84	RP-191282		-	F	CR on correction to bitwidth of NNZC indicator	15.6.0
2019-06	RAN#84	RP-191282		-	F	Correction on DCI size alignment in TS 38.212	15.6.0
2019-06	RAN#84	RP-191282	0016	-	F	Correction on UL/SUL indicator in DCI format 0_0	15.6.0
2019-06	RAN#84	RP-191282		-	F	Corrections to 38.212 including alignment of terminology across	15.6.0
2010.06	D 4 N # 0 4	DD 404000	0010		F	specifications	15.6.0
2019-06 2019-06	RAN#84 RAN#84	RP-191282 RP-191282	0018 0019	1	F	CR on maximum modulation order configured for serving cell Corrections to 38.212 including alignment of terminology across	15.6.0 15.6.0
2019-06			0019	ļ	Г	specifications from RAN1#97	
2019-09	RAN#85	RP-191941	0020	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98	15.7.0
2019-12	RAN#86	RP-192625	0021	-	F	CR on UL/SUL indicator in DCI format 0_1	15.8.0
2019-12	RAN#86	RP-192625	0022	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98bis and RAN1#99	15.8.0
2019-12	RAN#86	RP-192636	0023	-	В	Introduction of NR based access to unlicensed spectrum into 38.212	16.0.0
2019-12	RAN#86	RP-192637	0024	-	В	Introduction of IAB into 38.212	16.0.0
2019-12	RAN#86	RP-192638	0025	-	В	Introduction of 5G V2X sidelink features into TS 38.212	16.0.0
2019-12	RAN#86	RP-192639	0026	-	В	Introduction of Physical Layer Enhancements for NR URLLC	16.0.0
2019-12	RAN#86	RP-192641	0027	-	В	Introduction of Enhancements on NR MIMO	16.0.0
2019-12	RAN#86	RP-192642	0028	-	В	Introduction of power saving in 38.212	16.0.0
2019-12	RAN#86	RP-192645	0029	-	В	Introduction of MR DC/CA	16.0.0
2019-12	RAN#86	RP-192643	0030	-	В	Introduction of NR positioning suppport	16.0.0
2019-12	RAN#86	RP-192635	0031	-	В	Introduction of two-step RACH	16.0.0
	RAN#87-e	RP-200185	0032	_	F	Corrections for Rel-16 NR-U after RAN1#100-e	16.1.0

2020-03	RAN#87-e	RP-200190	0033	-	F	Corrections for NR MIMO after RAN1#100-e	16.1.0
2020-03	RAN#87-e	RP-200188	0034	-	F	Corrections for URLLC after RAN1#100-e	16.1.0
2020-03	RAN#87-e	RP-200191	0035	-	F	Corrections for power saving after RAN1#100-e	16.1.0
2020-03	RAN#87-e	RP-200187	0036	-	F	Corrections on 5G V2X sidelink features after RAN1#100-e	16.1.0
2020-06	RAN#88-e	RP-200683	0038	-	Α	CR on L1-RSRP report on PUSCH	16.2.0
2020-06	RAN#88-e	RP-200693	0039	1	F	Corrections for power saving	16.2.0
2020-06	RAN#88-e	RP-200689	0040	1	F	Corrections on 5G V2X sidelink features after RAN1#100bis-e and RAN1#101-e	16.2.0
2020-06	RAN#88-e	RP-200694	0041	1	F	Corrections in TS 38.212 for NR postioning	16.2.0
2020-06	RAN#88-e	RP-200692	0042	1	F	Corrections in TS 38.212 for NR MIMO	16.2.0
2020-06	RAN#88-e	RP-200696	0043	-	F	Corrections for Rel-16 MR-DC/CA after RAN1#100bis-e	16.2.0
2020-06	RAN#88-e	RP-200690	0044	1	F	Corrections on NR eURLLC	16.2.0
2020-06	RAN#88-e	RP-200687	0045	1	F	Corrections for Rel-16 NR-U	16.2.0
2020-06	RAN#88-e	RP-200688	0046	-	F	Corrections for NR IAB	16.2.0
2020-09	RAN#89-e	RP-201814	0047	-	F	Correction on UCI bit sequence generation	16.3.0
2020-09	RAN#89-e	RP-201803	0049	-	Α	CR on PTRS for TS 38.212	16.3.0
2020-09	RAN#89-e	RP-201810	0050	-	F	Alignment of RRC parameter ps-RNTI	16.3.0
2020-09	RAN#89-e	RP-201813	0051	-	F	CR to 38.212 on RRC parameter alignment for SCell dormancy	16.3.0
2020-09	RAN#89-e	RP-201807	0052	-	F	Corrections on 5G V2X sidelink features	16.3.0
2020-09	RAN#89-e	RP-201809	0053	-	F	Corrections to MIMO enhancements	16.3.0
2020-09	RAN#89-e	RP-201805	0054	-	F	Corrections to MIMO enhancements	16.3.0
2020-09	RAN#89-e	RP-201808	0055	-	F	Corrections on NR eURLLC	16.3.0
2020-12	RAN#90-e	RP-202390	0056	-	F	RRC IE name fix to dynamic frequency domain resource allocation type selection (Rel-15 origin)	16.4.0
2020-12	RAN#90-e	RP-202384	0057	-	F	Correction on Transmission configuration indication in DCI format 1_2	16.4.0
2020-12	RAN#90-e	RP-202398	0058	-	F	Alignment CR for TS 38.212	16.4.0
2021-03	RAN#91-e	RP-210052	0059	-	F	CR on DMRS	16.5.0
2021-03	RAN#91-e	RP-210049	0060	-	F	Correction to description of FDRA field size in DCI 0_0	16.5.0
2021-03	RAN#91-e	RP-210049	0061	-	F	Correction to description of FDRA field interpretation in DCI 0_1	16.5.0
2021-03	RAN#91-e	RP-210050	0062	-	F	Correction on Sidelink Broadcast channel	16.5.0
2021-03	RAN#91-e	RP-210049	0063	-	F	Correction on LBT Type and CP Extension Indication for Semi- Static Channel Occupancy	16.5.0
2021-03	RAN#91-e	RP-210059	0064	-	F	Alignment CR for TS 38.212	16.5.0

# History

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