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## 1 Scope

The present document specifies the coding, multiplexing and mapping to physical channels for 5G NR.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
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[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2]	3GPP TS 38.201: "NR; Physical Layer - General Description"
[3]	3GPP TS 38.202: "NR; Services provided by the physical layer"
[4]	3GPP TS 38.211: "NR; Physical channels and modulation"
[5]	3GPP TS 38.213: "NR; Physical layer procedures for control"
[6]	3GPP TS 38.214: "NR; Physical layer procedures for data"
[7]	3GPP TS 38.215: "NR; Physical layer measurements"
[8]	3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification"
[9]	3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification"

## 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

#### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

BCH	Broadcast channel
CBG	Code block group

CBGTI Code block group transmission information

**CORESET** Control resource set Channel quality indicator CQI **CRC** Cyclic redundancy check CRI CSI-RS resource indicator **CSI** Channel state information CSI-RS CSI reference signal DAI Downlink assignment index DCI Downlink control information

DL Downlink

DL-SCH Downlink shared channel

DMRS Dedicated demodulation reference signal

HARQ Hybrid automatic repeat request

HARQ-ACK Hybrid automatic repeat request acknowledgement

LDPC Low density parity check

LI Layer indicator

MCS Modulation and coding scheme

OFDM Orthogonal frequency division multiplex

PBCH Physical broadcast channel

PCH Paging channel

PDCCH Physical downlink control channel
PDSCH Physical downlink shared channel

PMI Precoding matrix indicator
PRB Physical resource block
PRACH Physical random access cha

PRACH Physical random access channel
PTRS Phase-tracking reference signal
PUCCH Physical uplink control channel
PUSCH Physical uplink shared channel
RACH Random access channel

RI Rank indicator

RSRP Reference signal received power

SFN System frame number
SR Scheduling request
SRS Sounding reference signal
SS Synchronisation signal
SUL Supplementary uplink
TPC Transmit power control
TrCH Transport channel

UCI Uplink control information

UE User equipment

UL Uplink

UL-SCH Uplink shared channel VRB Virtual resource block ZP CSI-RS Zero power CSI-RS

## 4 Mapping to physical channels

## 4.1 Uplink

Table 4.1-1 specifies the mapping of the uplink transport channels to their corresponding physical channels. Table 4.1-2 specifies the mapping of the uplink control channel information to its corresponding physical channel.

**Table 4.1-1** 

TrCH	Physical Channel
UL-SCH	PUSCH
RACH	PRACH

**Table 4.1-2** 

Control information	Physical Channel				
UCI	PUCCH, PUSCH				

#### 4.2 Downlink

Table 4.2-1 specifies the mapping of the downlink transport channels to their corresponding physical channels. Table 4.2-2 specifies the mapping of the downlink control channel information to its corresponding physical channel.

Table 4.2-1

TrCH	Physical Channel
DL-SCH	PDSCH
BCH	PBCH
PCH	PDSCH

**Table 4.2-2** 

Control information	Physical Channel				
DCI	PDCCH				

## 5 General procedures

Data and control streams from/to MAC layer are encoded /decoded to offer transport and control services over the radio transmission link. Channel coding scheme is a combination of error detection, error correcting, rate matching, interleaving and transport channel or control information mapping onto/splitting from physical channels.

#### 5.1 CRC calculation

Denote the input bits to the CRC computation by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the size of the input sequence and L is the number of parity bits. The parity bits are generated by one of the following cyclic generator polynomials:

- $g_{\text{CRC24A}}(D) = [D^{24} + D^{23} + D^{18} + D^{17} + D^{14} + D^{11} + D^{10} + D^7 + D^6 + D^5 + D^4 + D^3 + D + 1]$  for a CRC length L = 24:
- $g_{CRC24B}(D) = [D^{24} + D^{23} + D^6 + D^5 + D + 1]$  for a CRC length L = 24;
- $g_{\text{CRC24C}}(D) = [D^{24} + D^{23} + D^{21} + D^{20} + D^{17} + D^{15} + D^{13} + D^{12} + D^{8} + D^{4} + D^{2} + D + 1] \text{ for a CRC length } L = 24;$
- $g_{CRC16}(D) = [D^{16} + D^{12} + D^5 + 1]$  for a CRC length L = 16;
- $g_{CRCII}(D) = [D^{11} + D^{10} + D^9 + D^5 + 1]$  for a CRC length L=11;
- $g_{CRC6}(D) = [D^6 + D^5 + 1]$  for a CRC length L = 6.

The encoding is performed in a systematic form, which means that in GF(2), the polynomial:

yields a remainder equal to 0 when divided by the corresponding CRC generator polynomial.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L. The relation between  $a_k$  and  $b_k$  is:

$$b_k = a_k$$
 for  $k = 0,1,2,...,A-1$  
$$b_k = p_{k-A}$$
 for  $k = A, A+1, A+2,...,A+L-1$ .

## 5.2 Code block segmentation and code block CRC attachment

#### 5.2.1 Polar coding

The input bit sequence to the code block segmentation is denoted by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A > 0.

if 
$$I_{seg} = 1$$

Number of code blocks: C = 2;

else

Number of code blocks: C=1

end if

$$A' = \lceil A/C \rceil \cdot C;$$

for i = 0 to A'-A-1

$$a'_{i} = 0$$
;

end for

for i = A' - A to A' - 1

$$a'_{i} = a_{i-(A'-A)};$$

end for

s=0;

for r = 0 to C - 1

for k = 0 to A'/C-1

 $c_{rk} = a'_s$ ;

s = s + 1;

end for

The sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(A'/C-l)}$  is used to calculate the CRC parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-l)}$  according to Subclause 5.1 with a generator polynomial of length L.

for k = A'/C to A'/C + L - 1

$$c_{rk} = p_{r(k-A'/C)};$$

end for

end for

The value of A is no larger than 1706.

#### 5.2.2 Low density parity check coding

The input bit sequence to the code block segmentation is denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B > 0. If B is larger than the maximum code block size  $K_{cb}$ , segmentation of the input bit sequence is performed and an additional CRC sequence of L = 24 bits is attached to each code block.

For LDPC base graph 1, the maximum code block size is:

 $-K_{\rm cb} = 8448.$ 

For LDPC base graph 2, the maximum code block size is:

 $-K_{cb} = 3840.$ 

Total number of code blocks *C* is determined by:

if  $B \le K_{cb}$ 

L = 0

Number of code blocks: C = 1

B' = B

else

L=24

Number of code blocks:  $C = \lceil B/(K_{cb} - L) \rceil$ .

 $B' = B + C \cdot L$ 

end if

The bits output from code block segmentation are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where  $0 \le r < C$  is the code block number, and  $K_r = K$  is the number of bits for the code block number r.

The number of bits K in each code block is calculated as:

K'=B'/C;

For LDPC base graph 1,

 $K_b = 22.$ 

For LDPC base graph 2,

if B > 640

 $K_b = 10$ ;

elseif B > 560

 $K_b = 9$ ;

elseif B > 192

 $K_b = 8$ ;

else

 $K_b = 6$ ;

end if

find the minimum value of Z in all sets of lifting sizes in Table 5.3.2-1, denoted as  $Z_c$ , such that  $K_b \cdot Z_c \ge K'$ , and set  $K = 22Z_c$  for LDPC base graph 1 and  $K = 10Z_c$  for LDPC base graph 2;

The bit sequence  $c_{rk}$  is calculated as:

```
s = 0.
for r = 0 to C - 1
    for k = 0 to K'-L-1
       c_{rk} = b_s:
        s = s + 1:
    end for
    if C > 1
        The sequence c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K'-L-1)} is used to calculate the CRC parity bits p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}
        according to Subclause 5.1 with the generator polynomial g_{CRC24B}(D).
        for k = K'-L to K'-1
            c_{rk} = p_{r(k+L-K')}.
        end for
    end if
    for k = K' to K - 1 -- Insertion of filler bits
        c_{rk} = < NULL >.
    end for
end for
```

## 5.3 Channel coding

Usage of coding scheme for the different types of TrCH is shown in table 5.3-1. Usage of coding scheme for the different control information types is shown in table 5.3-2.

Table 5.3-1: Usage of channel coding scheme for TrCHs

TrCH	Coding scheme
UL-SCH	
DL-SCH	LDPC
PCH	
BCH	Polar code

Table 5.3-2: Usage of channel coding scheme for control information

Control Information	Coding scheme
DCI	Polar code
UCI	Block code
UCI	Polar code

#### 5.3.1 Polar coding

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ , where  $N = 2^n$  and the value of n is determined by the following:

Denote by E the rate matching output sequence length as given in Subclause 5.4.1;

If 
$$E \le (9/8) \cdot 2^{(\lceil \log_2 E \rceil - 1)}$$
 and  $K/E < 9/16$ 

$$n_1 = \lceil \log_2 E \rceil - 1;$$

else

$$n_1 = \lceil \log_2 E \rceil;$$

end if

$$R_{\min} = 1/8;$$

$$n_2 = \lceil \log_2(K / R_{\min}) \rceil;$$

$$n = \max\{\min\{n_1, n_2, n_{\max}\}, n_{\min}\}$$

where  $n_{\min} = 5$ .

UE is not expected to be configured with  $K + n_{PC} > E$ , where  $n_{PC}$  is the number of parity check bits defined in Subclause 5.3.1.2.

#### 5.3.1.1 Interleaving

The bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  is interleaved into bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  as follows:

$$c'_{k} = c_{\Pi(k)}, k = 0,1,...,K-1$$

where the interleaving pattern  $\Pi(k)$  is given by the following:

if 
$$I_{II} = 0$$

$$\Pi(k) = k$$
,  $k = 0,1,...,K-1$ 

else

$$k=0$$
;

for 
$$m = 0$$
 to  $K_{II}^{\text{max}} - 1$ 

if 
$$\Pi_{IL}^{\max}(m) \ge K_{IL}^{\max} - K$$

$$\Pi(k) = \Pi_{IL}^{\max}(m) - (K_{IL}^{\max} - K);$$

$$k = k + 1$$
;

end if end for end if

where  $\Pi_{IL}^{\text{max}}(m)$  is given by Table 5.3.1.1-1 and  $K_{IL}^{\text{max}} = 164$ .

m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$	m	$\Pi_{IL}^{\max}(m)$
0	0	28	67	56	122	84	68	112	33	140	38
1	2	29	69	57	123	85	73	113	36	141	144
2	4	30	70	58	126	86	78	114	44	142	39
3	7	31	71	59	127	87	84	115	47	143	145
4	9	32	72	60	129	88	90	116	64	144	40
5	14	33	76	61	132	89	92	117	74	145	146
6	19	34	77	62	134	90	94	118	79	146	41
7	20	35	81	63	138	91	96	119	85	147	147
8	24	36	82	64	139	92	99	120	97	148	148
9	25	37	83	65	140	93	102	121	100	149	149
10	26	38	87	66	1	94	105	122	103	150	150
11	28	39	88	67	3	95	107	123	117	151	151
12	31	40	89	68	5	96	109	124	125	152	152
13	34	41	91	69	8	97	112	125	131	153	153
14	42	42	93	70	10	98	114	126	136	154	154
15	45	43	95	71	15	99	116	127	142	155	155
16	49	44	98	72	21	100	121	128	12	156	156
17	50	45	101	73	27	101	124	129	17	157	157
18	51	46	104	74	29	102	128	130	23	158	158
19	53	47	106	75	32	103	130	131	37	159	159
20	54	48	108	76	35	104	133	132	48	160	160
21	56	49	110	77	43	105	135	133	75	161	161
22	58	50	111	78	46	106	141	134	80	162	162
23	59	51	113	79	52	107	6	135	86	163	163
24	61	52	115	80	55	108	11	136	137		
25	62	53	118	81	57	109	16	137	143		
26	65	54	119	82	60	110	22	138	13		
27	66	55	120	83	63	111	30	139	18		

Table 5.3.1.1-1: Interleaving pattern  $\Pi_{IL}^{\max}(m)$ 

#### 5.3.1.2 Polar encoding

The Polar sequence  $\mathbf{Q}_0^{N_{\max}-1} = \left\{Q_0^{N_{\max}}, Q_1^{N_{\max}}, ..., Q_{N_{\max}-1}^{N_{\max}}\right\}$  is given by Table 5.3.1.2-1, where  $0 \le Q_i^{N_{\max}} \le N_{\max} - 1$  denotes a bit index before Polar encoding for  $i = 0, 1, ..., N_{\max} - 1$  and  $N_{\max} = 1024$ . The Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  is in ascending order of reliability  $W\left(Q_0^{N_{\max}}\right) < W\left(Q_1^{N_{\max}}\right) < ... < W\left(Q_{N_{\max}-1}^{N_{\max}}\right)$ , where  $W\left(Q_i^{N_{\max}}\right)$  denotes the reliability of bit index  $Q_i^{N_{\max}}$ .

For any code block encoded to N bits, a same Polar sequence  $\mathbf{Q}_0^{N-1} = \left\{Q_0^N, Q_1^N, Q_2^N, ..., Q_{N-1}^N\right\}$  is used. The Polar sequence  $\mathbf{Q}_0^{N-1}$  is a subset of Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  with all elements  $Q_i^{N_{\max}}$  of values less than N, ordered in ascending order of reliability  $W\left(Q_0^N\right) < W\left(Q_1^N\right) < W\left(Q_2^N\right) < ... < W\left(Q_{N-1}^N\right)$ .

Denote  $\overline{\mathbf{Q}}_{I}^{N}$  as a set of bit indices in Polar sequence  $\mathbf{Q}_{0}^{N-1}$ , and  $\overline{\mathbf{Q}}_{F}^{N}$  as the set of other bit indices in Polar sequence  $\mathbf{Q}_{0}^{N-1}$ , where  $\overline{\mathbf{Q}}_{I}^{N}$  and  $\overline{\mathbf{Q}}_{F}^{N}$  are given in Subclause 5.4.1.1,  $\left|\overline{\mathbf{Q}}_{I}^{N}\right| = K + n_{PC}$ ,  $\left|\overline{\mathbf{Q}}_{F}^{N}\right| = N - \left|\overline{\mathbf{Q}}_{I}^{N}\right|$ , and  $n_{PC}$  is the number of parity check bits.

Denote  $\mathbf{G}_N = (\mathbf{G}_2)^{\otimes n}$  as the *n*-th Kronecker power of matrix  $\mathbf{G}_2$ , where  $\mathbf{G}_2 = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ .

For a bit index j with j=0,1,...,N-1, denote  $\mathbf{g}_j$  as the j-th row of  $\mathbf{G}_N$  and  $w(\mathbf{g}_j)$  as the row weight of  $\mathbf{g}_j$ , where  $w(\mathbf{g}_j)$  is the number of ones in  $\mathbf{g}_j$ . Denote the set of bit indices for parity check bits as  $\mathbf{Q}_{PC}^N$ , where  $|\mathbf{Q}_{PC}^N| = n_{PC}$ . A number of  $(n_{PC}-n_{PC}^{wm})$  parity check bits are placed in the  $(n_{PC}-n_{PC}^{wm})$  least reliable bit indices in  $\overline{\mathbf{Q}}_I^N$ . A number of  $n_{PC}^{wm}$  other parity check bits are placed in the bit indices of minimum row weight in  $\widetilde{\mathbf{Q}}_I^N$ , where  $\widetilde{\mathbf{Q}}_I^N$  denotes the  $(\overline{\mathbf{Q}}_I^N) = n_{PC}$  most reliable bit indices in  $\overline{\mathbf{Q}}_I^N$ ; if there are more than  $n_{PC}^{wm}$  bit indices of the same minimum row weight

in  $\tilde{\mathbf{Q}}_{l}^{N}$ , the  $n_{PC}^{wm}$  other parity check bits are placed in the  $n_{PC}^{wm}$  bit indices of the highest reliability and the minimum row weight in  $\tilde{\mathbf{Q}}_{l}^{N}$ .

```
Generate \mathbf{u} = [u_0 \ u_1 \ u_2 \dots u_{N-1}] according to the following:
```

```
k = 0;
if n_{PC} > 0
    y_0 = 0; y_1 = 0; y_2 = 0; y_3 = 0; y_4 = 0;
    for n = 0 to N - 1
         y_t = y_0; y_0 = y_1; y_1 = y_2; y_2 = y_3; y_3 = y_4; y_4 = y_t;
        if n \in \overline{\mathbf{Q}}_{I}^{N}
             if n \in \mathbf{Q}_{PC}^N
                u_n = y_0;
             else
                  u_n = c_k;
                 k=k+1\,;
                  y_0 = y_0 \oplus u_n;
             end if
         else
             u_n = 0;
        end if
    end for
else
    for n = 0 to N - 1
        if n \in \overline{\mathbf{Q}}_{I}^{N}
             u_n = c_k;
             k = k + 1;
        else
             u_n = 0;
        end if
    end for
```

end if

The output after encoding  $\mathbf{d} = \begin{bmatrix} d_0 & d_1 & d_2 & \dots & d_{N-1} \end{bmatrix}$  is obtained by  $\mathbf{d} = \mathbf{u}\mathbf{G}_N$ . The encoding is performed in GF(2).

Table 5.3.1.2-1: Polar sequence  $\mathbf{Q}_0^{N_{\max}-1}$  and its corresponding reliability  $W(Q_i^{N_{\max}})$ 

$W(Q_i^{N_{\max}})$	$Q_i^{N_{ m max}}$														
0	0	128	518	256	94	384	214	512	364	640	414	768	819	896	966
1	1	129	54	257	204	385	309	513	654	641	223	769	814	897	755
2	2	130	83	258	298	386	188	514	659	642	663	770	439	898	859
3 4	8	131 132	57 521	259 260	400 608	387 388	449 217	515 516	335 480	643 644	692 835	771 772	929 490	899 900	940 830
5	16	133	112	261	352	389	408	517	315	645	619	773	623	901	911
6	32	134	135	262	325	390	609	518	221	646	472	774	671	902	871
7	3	135	78	263	533	391	596	519	370	647	455	775	739	903	639
8	5	136	289	264	155	392	551	520	613	648	796	776	916	904	888
9	64	137 138	194 85	265 266	210 305	393 394	650 229	521 522	422 425	649 650	809 714	777 778	463 843	905 906	479 946
11	9	139	276	267	547	395	159	523	425	651	714	779	381	907	750
12	17	140	522	268	300	396	420	524	614	652	837	780	497	908	969
13	10	141	58	269	109	397	310	525	543	653	716	781	930	909	508
14	18	142	168	270	184	398	541	526	235	654	864	782	821	910	861
15	128	143	139	271	534	399	773	527	412	655	810	783	726	911	757
16 17	12 33	144 145	99 86	272 273	537 115	400 401	610 657	528 529	343 372	656 657	606 912	784 785	961 872	912 913	970 919
18	65	146	60	274	167	402	333	530	775	658	722	786	492	914	875
19	20	147	280	275	225	403	119	531	317	659	696	787	631	915	862
20	256	148	89	276	326	404	600	532	222	660	377	788	729	916	758
21	34	149	290	277	306	405	339	533	426	661	435	789	700	917	948
22	24 36	150 151	529 524	278 279	772 157	406 407	218 368	534 535	453 237	662 663	817 319	790 791	443 741	918 919	977 923
23	7	151	196	280	656	407	652	535 536	559	664	621	791 792	845	919	923
25	129	153	141	281	329	409	230	537	833	665	812	793	920	921	761
26	66	154	101	282	110	410	391	538	804	666	484	794	382	922	877
27	512	155	147	283	117	411	313	539	712	667	430	795	822	923	952
28	11	156	176	284	212	412	450	540	834	668	838	796	851	924	495
29	40 68	157	142	285	171 776	413 414	542	541 542	808	669 670	667	797	730	925	703
30 31	68 130	158 159	530 321	286 287	776 330	414 415	334 233	542 543	808 779	670 671	488 239	798 799	498 880	926 927	935 978
32	19	160	31	288	226	416	555	544	617	672	378	800	742	928	883
33	13	161	200	289	549	417	774	545	604	673	459	801	445	929	762
34	48	162	90	290	538	418	175	546	433	674	622	802	471	930	503
35	14	163	545	291	387	419	123	547	720	675	627	803	635	931	925
36 37	72 257	164	292 322	292 293	308 216	420 421	658 612	548	816	676 677	437 380	804 805	932 687	932	878 735
38	257	165 166	532	293	416	421	341	549 550	836 347	678	818	806	903	933 934	993
39	132	167	263	295	271	423	777	551	897	679	461	807	825	935	885
40	35	168	149	296	279	424	220	552	243	680	496	808	500	936	939
41	258	169	102	297	158	425	314	553	662	681	669	809	846	937	994
42	26	170	105	298	337	426	424	554	454	682	679	810	745	938	980
43	513	171	304	299	550	427	395	555	318	683	724	811	826	939	926
44 45	80 37	172 173	296 163	300 301	672 118	428 429	673 583	556 557	675 618	684 685	841 629	812 813	732 446	940 941	764 941
46	25	174	92	302	332	430	355	558	898	686	351	814	962	942	967
47	22	175	47	303	579	431	287	559	781	687	467	815	936	943	886
48	136	176	267	304	540	432	183	560	376	688	438	816	475	944	831
49	260	177	385	305	389	433	234	561	428	689	737	817	853	945	947
50	264	178 179	546	306	173	434 435	125	562	665	690	251	818	867	946	507
51 52	38 514	180	324 208	307 308	121 553	435	557 660	563 564	736 567	691 692	462 442	819 820	637 907	947 948	889 984
53	96	181	386	309	199	437	616	565	840	693	441	821	487	949	751
54	67	182	150	310	784	438	342	566	625	694	469	822	695	950	942
55	41	183	153	311	179	439	316	567	238	695	247	823	746	951	996
56	144	184	165	312	228	440	241	568	359	696	683	824	828	952	971
57	28	185	106	313	338	441 442	778	569	457	697	842	825	753	953	890
58 59	69 42	186 187	55 328	314 315	312 704	442	563 345	570 571	399 787	698 699	738 899	826 827	854 857	954 955	509 949
60	516	188	536	316	390	444	452	572	591	700	670	828	504	956	973
61	49	189	577	317	174	445	397	573	678	701	783	829	799	957	1000
62	74	190	548	318	554	446	403	574	434	702	849	830	255	958	892
63	272	191	113	319	581	447	207	575 576	677	703	820	831	964	959	950
64 65	160 520	192 193	154 79	320 321	393 283	448 449	674 558	576 577	349 245	704 705	728 928	832 833	909 719	960 961	863 759
66	288	194	269	322	122	450	785	578	458	706	791	834	477	962	1008
67	528	195	108	323	448	451	432	579	666	707	367	835	915	963	510
68	192	196	578	324	353	452	357	580	620	708	901	836	638	964	979
69	544	197	224	325	561	453	187	581	363	709	630	837	748	965	953
70 71	70 44	198	166	326	203	454 455	236	582 583	127	710 711	685	838	944 869	966	763
71	131	199 200	519 552	327 328	63 340	455 456	664 624	583 584	191 782	711 712	844 633	839 840	491	967 968	974 954
73	81	201	195	329	394	457	587	585	407	713	711	841	699	969	879
74	50	202	270	330	527	458	780	586	436	714	253	842	754	970	981
75	73	203	641	331	582	459	705	587	626	715	691	843	858	971	982
76	15	204	523	332	556	460	126	588	571	716	824	844	478	972	927
77 78	320 133	205 206	275	333 334	181 295	461 462	242	589 590	465 681	717 718	902	845 846	968 383	973 974	995 765
78	52	206	580 291	334	285	463	565 398	590	681 246	718	686 740	846	910	974	956
80	23	208	59	336	232	464	346	592	707	720	850	848	815	976	887
81	134	209	169	337	124	465	456	593	350	721	375	849	976	977	985
82	384	210	560	338	205	466	358	594	599	722	444	850	870	978	997
83	76	211	114	339	182	467	405	595	668	723	470	851	917	979	986
84	137	212	277	340	643	468	303	596	790	724	483	852	727	980	943
85 86	82 56	213 214	156 87	341 342	562 286	469 470	569 244	597 598	460 249	725 726	415 485	853 854	493 873	981 982	891 998
87	27	215	197	343	585	471	595	599	682	727	905	855	701	983	766
	•														

B89	88	97	216	116	344	299	472	189	600	573	728	795	856	931	984	511
911         84         219         531         347         401         475         361         603         789         731         744         859         499         987         951           92         138         220         525         348         185         476         706         604         709         732         852         860         731         988         1002           93         145         221         642         349         396         477         589         605         365         733         960         861         823         999         893           94         261         222         281         350         344         478         215         606         440         734         865         862         922         990         975           95         29         223         278         351         586         479         786         607         628         735         93         863         874         991         884         226         352         645         480         647         608         689         731         749         864         918         992         980	89	39	217	170	345	354	473	566	601	411	729	473	857	756	985	988
92         138         220         525         348         185         476         706         604         709         732         852         860         731         988         1002           93         145         221         642         349         396         477         589         605         365         733         960         861         823         989         893           94         261         222         281         350         344         478         215         606         440         774         865         862         922         990         975           95         29         223         278         351         586         479         786         607         628         735         683         844         491         860         676         688         897         863         874         98         98         255         177         353         593         481         348         609         374         737         906         865         502         993         955           98         515         226         293         354         535         4482         419         610	90	259	218	61	346	211	474	676	602	803	730	634	858	860	986	1001
93         145         221         642         349         396         477         589         605         365         733         960         861         823         999         997           94         261         222         281         350         344         478         215         606         440         734         865         862         922         990         975         98         225         278         351         586         479         786         607         628         735         693         863         874         991         894         996         43         224         526         352         645         480         647         608         689         736         797         864         918         992         1009         99         825         177         353         593         481         348         609         374         373         906         865         502         993         955         998         515         226         293         354         535         482         419         610         423         738         716         866         933         994         1004           100	91	84	219	531	347	401	475	361	603	789	731	744	859	499	987	951
94         261         222         221         350         344         478         215         606         440         734         865         862         922         990         975           95         29         223         278         351         586         479         786         607         628         735         693         863         874         991         894         990         97         98         225         177         353         593         481         348         609         374         737         906         865         502         993         995           98         515         226         293         354         535         482         419         610         423         738         715         866         933         994         1004           99         88         227         388         355         240         483         406         611         466         739         807         867         743         995         1010           100         140         228         564         357         95         485         680         613         250         741         636         8	92	138	220	525	348	185	476	706	604	709	732	852	860	731	988	1002
95         29         223         278         351         586         479         786         607         628         735         693         863         874         991         894           96         43         224         526         352         645         480         647         608         689         736         797         864         918         992         1009           97         98         225         177         353         593         481         348         609         374         737         906         865         502         993         955           98         515         226         293         354         535         482         419         610         423         738         715         866         933         994         1001           100         140         228         91         356         206         484         464         612         793         740         474         868         760         996         957           101         30         229         584         357         95         485         680         613         250         741         636         869<	93	145	221	642	349	396	477	589	605	365	733	960	861	823	989	893
96	94	261	222	281	350	344	478	215	606	440	734	865	862	922	990	975
97         98         225         177         353         593         481         348         609         374         737         906         865         502         993         955           98         515         226         293         354         535         482         419         610         423         738         715         866         933         994         1004           99         88         227         388         355         240         483         406         611         466         739         807         867         743         995         1010           100         140         228         91         356         206         484         464         612         793         740         474         868         760         996         957           101         30         229         584         357         95         485         680         613         250         741         636         869         881         997         983           103         71         231         198         359         564         487         362         615         481         774         744         777	95	29	223	278	351	586	479	786	607	628	735	693	863	874	991	894
98 515 226 293 354 535 482 419 610 423 738 715 866 933 994 1004 99 88 227 388 355 240 483 406 611 466 739 807 867 743 995 1010 100 140 228 91 356 206 484 464 612 793 740 474 868 760 996 957 101 30 229 584 357 95 485 680 613 250 741 636 869 881 997 983 102 146 230 769 358 327 486 801 614 371 742 694 870 494 998 958 103 71 231 198 359 564 487 362 615 481 743 254 871 702 999 987 104 262 232 172 360 800 488 590 616 574 744 717 872 921 1000 1012 105 265 233 120 361 402 489 409 617 413 745 575 873 501 1001 999 106 161 234 201 362 336 490 570 618 603 746 913 874 876 1002 1016 107 576 235 336 363 307 491 788 619 366 747 798 875 847 1003 767 108 45 236 62 364 301 492 597 620 468 748 811 876 992 1004 989 100 237 282 365 417 493 572 621 655 749 379 877 447 1005 1003 110 640 238 143 366 213 494 219 622 900 750 697 878 733 1006 990 111 51 248 240 178 368 389 588 497 598 625 684 753 489 881 882 1009 1011 115 266 243 644 371 646 499 651 627 429 755 759 938 881 882 1009 1011 115 266 243 644 371 646 499 651 627 429 755 759 803 885 805 1011 115 266 243 644 371 646 499 651 627 429 755 759 888 881 882 1009 1011 115 266 243 644 371 646 499 651 627 429 755 759 888 881 882 1009 1011 115 266 243 644 371 646 499 651 627 429 755 759 888 885 887 924 1010 1011 895 113 46 241 294 369 588 497 598 625 684 753 489 881 882 1009 1011 115 266 243 644 371 646 499 651 627 429 755 723 883 963 1011 895 116 273 244 202 372 404 500 421 628 794 756 486 882 397 1010 1013 115 266 243 644 371 646 499 651 627 429 755 723 883 963 1011 895 116 273 244 202 372 404 500 421 628 794 756 486 882 397 1010 1013 115 266 247 392 375 594 503 611 631 605 759 881 887 924 1015 1018 121 193 249 770 377 302 505 410 633 690 761 856 889 829 1017 1020 122 152 250 107 378 649 508 863 633 636 482 764 698 882 884 1020 1017 1020 122 152 250 107 378 649 508 638 633 636 637 756 881 387 924 1016 911 125 768 253 249 377 302 505 410 633 690 761 856 889 829 1017 1020 122 152 250 107 378 649 508 638 635 632 763 725 884 749 1022 1022 122 152 250 107 378 649 508 638 638 636 637 806 765 91	96	43	224	526	352	645	480	647	608	689	736	797	864	918	992	1009
99 88 227 388 355 240 483 406 611 466 739 807 867 743 995 1010 100 140 228 91 356 206 484 464 612 793 740 474 868 760 996 957 1011 30 229 584 357 95 485 680 613 250 741 636 869 881 997 983 102 146 230 769 358 327 486 801 614 371 742 694 870 494 998 958 103 71 231 198 359 564 487 362 615 481 743 254 871 702 999 987 104 262 232 172 360 800 488 590 616 574 744 717 872 921 1000 1012 105 265 233 120 361 402 489 409 617 413 745 575 873 501 1001 999 106 161 234 201 362 356 490 570 618 603 746 913 874 876 1002 1016 107 576 235 336 363 307 491 788 619 366 747 798 875 847 1003 767 108 45 236 62 364 301 492 597 620 468 748 811 876 992 1004 989 109 100 237 282 365 417 493 572 621 655 749 379 877 447 1005 1003 110 640 238 143 366 213 494 219 622 900 750 697 878 873 1006 990 111 51 239 103 367 568 495 311 623 805 751 431 879 827 1007 1005 112 148 240 178 368 832 496 708 624 615 752 431 879 827 1007 1005 111 14 75 242 93 370 186 499 651 627 429 755 988 188 293 101 1014 114 75 242 93 370 186 499 651 627 429 755 988 188 209 371 1010 1013 115 266 243 644 371 646 499 651 627 429 755 723 883 963 1011 995 111 114 75 242 93 370 186 499 651 627 429 755 759 888 963 1011 1081 118 104 246 323 374 896 601 626 710 754 866 882 937 1010 1013 115 266 243 644 371 646 499 651 627 429 755 759 888 963 1011 1081 119 119 162 247 392 375 594 500 421 628 794 756 486 884 747 1012 1006 1113 115 266 243 644 371 646 499 651 627 429 755 723 883 963 1011 895 111 118 104 246 323 374 896 502 802 630 373 758 718 886 855 1014 1017 119 162 247 392 375 594 500 421 628 794 756 486 884 747 1012 1006 1113 115 266 243 644 371 646 499 651 627 429 755 723 883 963 1011 995 1013 1014 118 104 246 323 374 896 502 802 630 373 758 718 886 855 1014 1017 1013 115 266 243 644 371 646 499 651 627 429 755 759 887 988 881 882 1009 1011 114 115 102 53 248 297 376 418 504 500 242 628 252 757 908 885 505 1013 1014 1195 122 152 250 107 378 649 506 231 634 713 762 839 890 965 1018 1007 122 152 250 107 378 649 506 231 634 713 762 839 890 965 1018 1007 122 152 250 107 378 649 506 231 6	97	98	225	177	353	593	481	348	609	374	737	906	865	502	993	955
100		515	226	293	354	535	482	419	610	423	738	715	866	933	994	1004
101   30   229   584   357   95   485   680   613   250   741   636   869   881   997   983   102   146   230   769   358   327   486   801   614   371   742   694   870   494   4998   958   103   71   231   198   359   564   487   362   615   481   743   254   871   702   999   987   104   262   232   172   360   800   488   590   616   574   744   717   872   921   1000   1012   105   265   233   120   361   402   489   409   617   413   745   575   873   501   1001   999   106   161   234   201   362   356   490   570   618   603   746   913   874   876   1002   1016   107   576   235   336   363   307   491   788   619   366   747   798   875   847   1003   767   108   45   236   62   364   301   492   597   620   468   748   811   876   992   1004   889   109   100   237   282   365   417   493   572   621   655   749   379   877   447   1005   1003   110   640   238   143   366   213   494   219   622   900   750   697   878   733   1006   990   111   51   239   103   367   568   495   311   623   805   751   431   879   827   1007   1005   112   148   240   178   368   832   496   708   624   615   752   607   880   934   1008   959   114   75   242   93   370   186   498   601   626   710   754   866   882   937   1010   1013   115   266   243   644   371   646   499   651   627   429   755   723   883   963   1011   114   75   245   592   373   227   501   792   629   252   757   908   885   505   1013   1014   118   104   246   323   374   896   502   802   632   848   760   476   888   734   1016   991   121   193   249   770   377   302   505   410   633   639   765   849   749   755   891   938   1019   1015   122   152   250   107   376   649   506   231   634   713   762   839   890   965   1018   1007   122   122   152   250   107   376   649   506   231   634   776   675   894   749   1022   1022   122   125   250   107   376   649   506   231   634   776   675   894   749   1022   1022   122   125   250   107   376   649   506   231   634   776   675   894   749   1022   1022   122   125   2						240							867			
102													868			
103																
104   262   232   172   360   800   488   590   616   574   744   717   872   921   1000   1012   105   265   233   120   361   402   489   409   617   413   745   575   873   501   1001   999   106   161   234   201   362   356   490   570   618   603   746   913   874   876   1002   1016   107   576   235   336   363   307   491   788   619   366   747   798   875   847   1003   767   108   45   236   62   364   301   492   597   620   468   748   811   876   992   1004   989   109   100   237   282   365   417   493   572   621   655   749   379   877   447   1005   1003   110   640   238   143   366   213   494   219   622   900   750   697   878   733   1006   990   111   51   239   103   367   568   495   311   623   805   751   431   879   827   1007   1005   112   148   240   178   368   832   496   708   624   615   752   607   880   934   1008   959   113   46   241   294   369   588   497   598   625   684   753   489   881   882   1009   1011   115   266   243   644   371   646   499   651   627   429   755   723   883   963   1011   895   116   273   244   202   372   404   500   421   628   794   756   486   884   747   1012   1006   117   119   162   247   392   375   594   503   611   631   605   759   813   887   924   1015   1018   120   53   248   297   376   418   504   602   632   848   760   476   888   734   1016   991   121   193   249   770   377   302   505   410   633   690   761   856   889   829   1017   1025   122   152   250   107   378   649   506   231   634   713   762   839   890   965   1018   1007   123   77   251   180   379   771   507   688   635   632   763   725   894   749   1022   1022   126   268   254   284   382   111   510   369   638   427   766   752   894   749   1022   1022   126   268   254   284   382   111   510   369   638   427   766   752   894   749   1022   1022   122   126   268   254   284   382   111   510   369   638   427   766   752   894   749   1022   1022   1022   1022   1022   1022   1022   1022   1022   1022   1022   1022   1022   1022   1																
105																
106																
107         576         235         336         363         307         491         788         619         366         747         798         875         847         1003         767           108         45         236         62         364         301         492         597         620         468         748         811         876         992         1004         989           109         100         237         282         365         417         493         572         621         655         749         379         877         447         1005         1003           110         640         238         143         366         213         494         219         622         900         750         697         878         733         1006         990           111         51         239         103         367         568         495         311         622         900         750         697         878         733         1006         990           112         148         240         178         368         832         496         708         624         615         752         607																
108         45         236         62         364         301         492         597         620         468         748         811         876         992         1004         989           109         100         237         282         365         417         493         572         621         655         749         379         877         447         1005         1003           110         640         238         143         366         213         494         219         622         900         750         697         878         733         1006         990           111         51         239         103         367         568         495         311         622         900         750         697         878         733         1006         990           112         148         240         178         368         832         496         708         624         615         752         607         880         934         1008         990           113         46         241         294         369         588         497         598         625         684         753         489																
109         100         237         282         365         417         493         572         621         655         749         379         877         447         1005         1003           110         640         238         143         366         213         494         219         622         900         750         697         878         733         1006         990           111         51         239         103         367         568         495         311         623         805         751         431         879         827         1007         1005           112         148         240         178         368         832         496         708         624         615         752         607         880         934         1008         959           113         46         241         294         369         588         497         598         625         684         753         489         881         882         1009         1011           114         75         242         93         370         186         498         601         626         710         754         866																
110         640         238         143         366         213         494         219         622         900         750         697         878         733         1006         990           111         51         239         103         367         568         495         311         623         805         751         431         879         827         1007         1005           112         148         240         178         368         832         496         708         624         615         752         607         880         934         1008         959           113         46         241         294         369         588         497         598         625         684         753         489         81         882         1009         1011           114         75         242         93         370         186         498         601         626         710         754         866         882         937         1010         1013           115         266         243         644         371         646         499         651         627         429         755         723																
111         51         239         103         367         568         495         311         623         805         751         431         879         827         1007         1005           112         148         240         178         368         832         496         708         624         615         752         607         880         934         1008         959           113         46         241         294         369         588         497         598         625         684         753         489         881         882         1009         1011           114         75         242         93         370         186         498         601         626         710         754         866         882         937         1010         1013           115         266         243         644         371         646         499         651         627         429         755         723         883         963         1011         895           116         273         244         202         372         404         500         421         628         794         756         486																
112         148         240         178         368         832         496         708         624         615         752         607         880         934         1008         959           113         46         241         294         369         588         497         598         625         684         753         489         881         882         1009         1011           114         75         242         93         370         186         498         601         626         710         754         866         882         937         1010         1013           115         266         243         644         371         646         499         651         627         429         755         723         883         963         1011         895           116         273         244         202         372         404         500         421         628         794         756         486         884         747         1012         1006           117         517         245         592         373         227         501         792         629         252         757         908																
113         46         241         294         369         588         497         598         625         684         753         489         881         882         1009         1011           114         75         242         93         370         186         498         601         626         710         754         866         882         937         1010         1013           115         266         243         644         371         646         499         651         627         429         755         723         883         963         1011         895           116         273         244         202         372         404         500         421         628         794         755         723         883         963         1011         895           117         517         245         592         373         227         501         792         629         252         757         908         885         505         1013         1014           118         104         246         323         374         896         502         802         630         373         758         718																
114         75         242         93         370         186         498         601         626         710         754         866         882         937         1010         1013           115         266         243         644         371         646         499         651         627         429         755         723         883         963         1011         895           116         273         244         202         372         404         500         421         628         794         756         486         884         747         1012         1006           117         517         245         592         373         227         501         792         629         252         757         908         885         505         1013         1014           118         104         246         323         374         896         502         802         630         373         758         718         886         855         505         1013         1014           119         162         247         392         375         594         503         611         631         605         759																
115         266         243         644         371         646         499         651         627         429         755         723         883         963         1011         895           116         273         244         202         372         404         500         421         628         794         756         486         884         747         1012         1006           117         517         245         592         373         227         501         792         629         252         757         908         885         505         1013         1014           118         104         246         323         374         896         502         802         630         373         758         718         886         855         1014         1017           119         162         247         392         375         594         503         611         631         605         759         813         887         924         1015         1018           120         53         248         297         376         418         504         602         632         848         760         476																
116         273         244         202         372         404         500         421         628         794         756         486         884         747         1012         1006           117         517         245         592         373         227         501         792         629         252         757         908         885         505         1013         1014           118         104         246         323         374         896         502         802         630         373         758         718         886         855         1014         1017           119         162         247         392         375         594         503         611         631         605         759         813         887         924         1015         1018           120         53         248         297         376         418         504         602         632         848         760         476         888         734         1016         991           121         193         249         770         377         302         505         410         633         690         761         856																
117         517         245         592         373         227         501         792         629         252         757         908         885         505         1013         1014           118         104         246         323         374         896         502         802         630         373         758         718         886         855         1014         1017           119         162         247         392         375         594         503         611         631         605         759         813         887         924         1015         1018           120         53         248         297         376         418         504         602         632         848         760         476         888         734         1016         991           121         193         249         770         377         302         505         410         633         690         761         856         889         829         1017         1020           122         152         250         107         378         649         506         231         634         713         762         839																
118         104         246         323         374         896         502         802         630         373         758         718         886         855         1014         1017           119         162         247         392         375         594         503         611         631         605         759         813         887         924         1015         1018           120         53         248         297         376         418         504         602         632         848         760         476         888         734         1016         991           121         193         249         770         377         302         505         410         633         690         761         886         889         829         1017         1020           122         152         250         107         378         649         506         231         634         713         762         839         890         965         1018         1007           123         77         251         180         379         771         507         688         635         632         763         725																
119         162         247         392         375         594         503         611         631         605         759         813         887         924         1015         1018           120         53         248         297         376         418         504         602         632         848         760         476         888         734         1016         991           121         193         249         770         377         302         505         410         633         690         761         856         889         829         1017         1020           122         152         250         107         378         649         506         231         634         713         762         839         890         965         1018         1007           123         77         251         180         379         771         507         688         635         632         763         725         891         938         1019         1015           124         164         252         151         380         360         508         653         636         482         764         698																
120         53         248         297         376         418         504         602         632         848         760         476         888         734         1016         991           121         193         249         770         377         302         505         410         633         690         761         856         889         829         1017         1020           122         152         250         107         378         649         506         231         634         713         762         839         890         965         1018         1007           123         77         251         180         379         771         507         688         635         632         763         725         891         938         1019         1015           124         164         252         151         380         360         508         653         636         482         764         698         892         884         1020         1019           125         768         253         209         381         539         509         248         637         806         765         914																
121         193         249         770         377         302         505         410         633         690         761         856         889         829         1017         1020           122         152         250         107         378         649         506         231         634         713         762         839         890         965         1018         1007           123         77         251         180         379         771         507         688         635         632         763         725         891         938         1019         1015           124         164         252         151         380         360         508         653         636         482         764         698         892         884         1020         1019           125         768         253         209         381         539         509         248         637         806         765         914         893         506         1021         1021           126         268         254         284         382         111         510         369         638         427         766         752																
122     152     250     107     378     649     506     231     634     713     762     839     890     965     1018     1007       123     77     251     180     379     771     507     688     635     632     763     725     891     938     1019     1015       124     164     252     151     380     360     508     653     636     482     764     698     892     884     1020     1019       125     768     253     209     381     539     509     248     637     806     765     914     893     506     1021     1021       126     268     254     284     382     111     510     369     638     427     766     752     894     749     1022     1022																
123     77     251     180     379     771     507     688     635     632     763     725     891     938     1019     1015       124     164     252     151     380     360     508     653     636     482     764     698     892     884     1020     1019       125     768     253     209     381     539     509     248     637     806     765     914     893     506     1021     1021       126     268     254     284     382     111     510     369     638     427     766     752     894     749     1022     1022																
124     164     252     151     380     360     508     653     636     482     764     698     892     884     1020     1019       125     768     253     209     381     539     509     248     637     806     765     914     893     506     1021     1021       126     268     254     284     382     111     510     369     638     427     766     752     894     749     1022     1022																
125         768         253         209         381         539         509         248         637         806         765         914         893         506         1021         1021           126         268         254         284         382         111         510         369         638         427         766         752         894         749         1022         1022																
126         268         254         284         382         111         510         369         638         427         766         752         894         749         1022         1022																
127   274   255   648   383   331   511   190   639   904   767   868   895   945   1023   1023																
	127	274	255	648	383	331	511	190	639	904	767	868	895	945	1023	1023

## 5.3.2 Low density parity check coding

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode as defined in Subclause 5.2.2. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ , where  $N = 66Z_c$  for LDPC base graph 1 and  $N = 50Z_c$  for LDPC base graph 2, and the value of  $Z_c$  is given in Subclause 5.2.2.

For a code block encoded by LDPC, the following encoding procedure applies:

```
1) Find the set with index i_{LS} in Table 5.3.2-1 which contains Z_c.
```

```
2) for k = 2Z_c to K - 1

if c_k \neq < NULL >

d_{k-2Z_c} = c_k;
else
c_k = 0;
d_{k-2Z_c} = < NULL >;
end if
```

3) Generate  $N + 2Z_c - K$  parity bits  $\mathbf{w} = \begin{bmatrix} w_0, w_1, w_2, ..., w_{N+2Z_c-K-1} \end{bmatrix}^T$  such that  $\mathbf{H} \times \begin{bmatrix} \mathbf{c} \\ \mathbf{w} \end{bmatrix} = \mathbf{0}$ , where

 $\mathbf{c} = \begin{bmatrix} c_0, c_1, c_2, ..., c_{K-1} \end{bmatrix}^T; \mathbf{0} \text{ is a column vector of all elements equal to 0. The encoding is performed in GF(2)}.$ 

For LDPC base graph 1, a matrix of  $\mathbf{H}_{\mathrm{BG}}$  has 46 rows with row indices i=0,1,2,...,45 and 68 columns with column indices j=0,1,2,...,67. For LDPC base graph 2, a matrix of  $\mathbf{H}_{\mathrm{BG}}$  has 42 rows with row indices i=0,1,2,...,41 and 52 columns with column indices j=0,1,2,...,51. The elements in  $\mathbf{H}_{\mathrm{BG}}$  with row and column indices given in Table 5.3.2-2 (for LDPC base graph 1) and Table 5.3.2-3 (for LDPC base graph 2) are of value 1, and all other elements in  $\mathbf{H}_{\mathrm{BG}}$  are of value 0.

The matrix **H** is obtained by replacing each element of  $\mathbf{H}_{BG}$  with a  $Z_c \times Z_c$  matrix, according to the following:

- Each element of value 0 in  $\mathbf{H}_{BG}$  is replaced by an all zero matrix  $\mathbf{0}$  of size  $Z_c \times Z_c$ ;
- Each element of value 1 in  $\mathbf{H}_{\mathrm{BG}}$  is replaced by a circular permutation matrix  $\mathbf{I}(P_{i,j})$  of size  $Z_c \times Z_c$ , where i and j are the row and column indices of the element, and  $\mathbf{I}(P_{i,j})$  is obtained by circularly shifting the identity matrix  $\mathbf{I}$  of size  $Z_c \times Z_c$  to the right  $P_{i,j}$  times. The value of  $P_{i,j}$  is given by  $P_{i,j} = \mathrm{mod}(V_{i,j}, Z_c)$ . The value of  $V_{i,j}$  is given by Tables 5.3.2-2 and 5.3.2-3 according to the set index  $i_{LS}$  and LDPC base graph.

4) for 
$$k = K$$
 to  $N + 2Z_c - 1$ 

$$d_{k-2Z_{-}} = w_{k-K};$$

end for

Table 5.3.2-1: Sets of LDPC lifting size Z

Set index $(i_{LS})$	Set of lifting sizes (Z)
0	{2, 4, 8, 16, 32, 64, 128, 256}
1	{3, 6, 12, 24, 48, 96, 192, 384}
2	{5, 10, 20, 40, 80, 160, 320}
3	{7, 14, 28, 56, 112, 224}
4	{9, 18, 36, 72, 144, 288}
5	{11, 22, 44, 88, 176, 352}
6	{13, 26, 52, 104, 208}
7	{15, 30, 60, 120, 240}

Table 5.3.2-2: LDPC base graph 1 (  $\mathbf{H}_{\mathrm{BG}}$  ) and its parity check matrices (  $V_{i,j}$  )

H	$\mathbf{I}_{\mathrm{BG}}$				$V_{i}$	i, j				H	$I_{\mathrm{BG}}$				$V_{i}$	, j			
Row	Column index				Set ind	ex $i_{LS}$				Row index	Column index				Set inde	$ex i_{LS}$			
i	j	0	1	2	3	4	5	6	7	i	j	0	1	2	3	4	5	6	7
	0	250 69	307 19	73 15	223 16	211 198	294 118	0	135 227		1 10	96 65	210	290 60	120 131	0 183	348 15	6 81	138 220
	2	226	50	103	94	188	167	0	126	15	13	63	318	130	209	108	81	182	173
	<u>3</u>	159 100	369 181	49 240	91 74	186 219	330 207	0	134 84		18 25	75 179	55 269	184 51	209 81	68 64	176 113	53 46	142 49
	6 9	10 59	216 317	39 15	10 0	4 29	165 243	0	83 53		37 1	0 64	0 13	0 69	0 154	0 270	0	0 88	0 78
	10	229	288	162	205	144	250	0	225		3	49	338	140	164	13	190 293	198	152
0	11 12	110 191	109 17	215 164	216 21	116 216	1 339	0	205 128	16	11 20	49 51	57 289	45 115	43 189	99 54	332 331	160 122	84 5
	13	9	357	133	215	115	201	0	75		22	154	57	300	101	0	114	182	205
	15 16	195 23	215 106	298 110	14 70	233 144	53 347	0	135 217		38 0	7	0 260	0 257	0 56	0 153	0 110	91	0 183
	18 19	190 35	242 180	113 16	141 198	95 216	304 167	0	220 90		14 16	164 59	303 81	147 128	110 200	137	228 247	184 30	112 106
	20	239	330	189	104	73	47	0	105	17	17	1	358	51	63	0	116	3	219
	21 22	31 1	346 1	32 1	81 1	261 1	188 1	0	137		21 39	144 0	375 0	228 0	0	162 0	190 0	155 0	129 0
	23 0	0	0 76	0 303	0 141	0 179	0 77	0 22	0 96		1 12	42	130	260 294	199	161	47	1 41	183
	2	239	76	294	45	162	225	11	236	18	13	233 8	163 280	294	110 200	151 0	286 246	167	215 180
	3 4	117 124	73 288	27 261	151 46	223 256	96 338	124 0	136 221	16	18 19	155 147	132 4	141 295	143 186	241 144	181 73	68 148	143 14
	5	71	144	161	119	160	268	10	128		40	0	0	0	0	0	0	0	0
	7 8	222 104	331	133 4	157 133	76 202	112 302	0	92 172		0 1	60 73	145 213	64 181	8 6	0	87 110	12 6	179 108
	9	173	178	80	87	117	50	2	56	19	7	72	344	101	103	118	147	166	159
1	11 12	220 102	295 342	129 300	206 93	109 15	167 253	16 60	11 189		8 10	127 224	242 197	270 41	198 8	144 0	258 204	184 191	138 196
	14 15	109 132	217 99	76 266	79 9	72 152	334 242	0	95 85		41 0	0 151	0 187	0 301	0 105	0 265	0 89	0 6	0 77
	16	142	354	72	118	158	257	30	153		3	186	206	162	210	81	65	12	187
	17 19	155 255	114 331	83 260	194 31	147 156	133 9	0 168	87 163	20	9 11	217 47	264 341	40 130	121 214	90 144	155 244	15 5	203 167
	21	28	112	301	187	119	302	31	216		22	160	59	10	183	228	30	30	130
	22 23	0	0	0	0	0	0	105 0	0		42 1	0 249	0 205	79	0 192	0 64	0 162	0 6	0 197
	24 0	0 106	0 205	0 68	0 207	0 258	0 226	0 132	0 189		5 16	121 109	102 328	175 132	131 220	46 266	264 346	86 96	122 215
	1	111	250	7	203	167	35	37	4	21	20	131	213	283	50	9	143	42	65
	2 4	185 63	328 332	80 280	31 176	220 133	213 302	21 180	225 151		21 43	171 0	97 0	103 0	106 0	18 0	109 0	199 0	216 0
	5	117	256	38	180	243	111	4	236		0	64	30	177	53	72	280	44	25
	6 7	93 229	161 267	227 202	186 95	202 218	265 128	149 48	117 179	22	12 13	142 188	11 233	20 55	3	189 72	157 236	58 130	47 126
	8	177 95	160 63	200 71	153 177	63 0	237 294	38 122	92 24		17 44	158 0	22 0	316 0	148 0	257 0	113 0	131	178 0
2	10	39	129	106	70	3	127	195	68		1	156	24	249	88	180	18	45	185
	13 14	142 225	200 88	295 283	77 214	74 229	110 286	155 28	6 101	23	10	147 170	89 61	50 133	203 168	0	6 181	18 132	127 117
	15	225	53	301	77	0	125	85	33		18	152	27	105	122	165	304	100	199
	17 18	245 205	131 240	184 246	198 117	216 269	131 163	47 179	96 125		45 0	0 112	0 298	0 289	0 49	236	38	9	32
	19 20	251 117	205 13	230 276	223 90	200 234	210 7	42 66	67 230		3	86 236	158 235	280 110	157 64	199 0	170 249	125 191	178 2
	24	0	0	0	0	0	0	0	0	24	11	116	339	187	193	266	288	28	156
	25 0	0 121	0 276	0 220	0 201	0 187	0 97	0 4	0 128		22 46	222 0	234 0	281 0	124 0	0	194 0	6 0	58 0
	1	89	87	208	18	145	94	6	23		1	23	72	172	1	205	279	4	27
	3 4	84 20	0 275	30 197	165 5	166 108	49 279	33 113	162 220	25	6 7	136 116	17 383	295 96	166 65	0	255 111	74 16	141 11
	6 7	150 131	199 153	61 175	45 142	82 132	139 166	49 21	43 186		14 47	182 0	312 0	46 0	81 0	183 0	54 0	28 0	181 0
	8	243	56	79	16	197	91	6	96		0	195	71	270	107	0	325	21	163
	10 11	136 86	132 305	281 303	34 155	41 162	106 246	151 83	216	26	2 4	243 215	81 76	110 318	176 212	0	326 226	142 192	131 169
3	12 13	246	231	253	213	57 36	345	154	22 24		15 48	61 0	136 0	67 0	127 0	277	99	197 0	98 0
	14	219 211	341 212	164 53	147 69	115	269 185	87 5	167		1	25	194	210	208	0 45	0 91	98	165
	16 17	240 76	304 300	44 28	96 74	242 165	249 215	92 173	200 32	27	6 8	104 194	194 101	29 304	141 174	36 72	326 268	140 22	232 9
	18	244	271	77	99	0	143	120	235	21	49	0	0	0	0	0	0	0	0
	20 21	144 12	39 357	319 68	30 158	113 108	121 121	2 142	172 219		0 4	128 165	222 19	11 293	146 153	275 0	102	1	32 43
	22 25	1	1	1	1	1	1	0	1	28	19 21	181 63	244 274	50 234	217 114	155 62	40 167	40 93	200 205
	0	157	332	233	170	246	42	24	64		50	0	0	0	0	0	0	0	0
4	1 26	102 0	181	205	10 0	235	256 0	204	211		1 14	86 236	252 5	27 308	150 11	0 180	273 104	92 136	232 32
	0	205	195	83	164	261	219	185	2	29	18	84	147	117	53	0	243	106	118
5	3	236 194	14 115	292 50	59 86	181 72	130 251	100 24	171 47		25 51	6 0	78 0	29 0	68 0	42 0	107 0	6 0	103 0
	12	231	166	318	80	283	322	65	143	30	0	216	159	91	34	0	171	2	170
	16	28	241	201	182	254	295	207	210		10	73	229	23	130	90	16	88	199

	1 04	1 400			1 400		0=0	101	400		40	100		405		0=0			
	21	123	51	267	130	79	258	161	180		13	120	260	105	210	252	95	112	26
	22 27	115 0	157 0	279 0	153 0	144 0	283	72 0	180 0		24 52	9	90	135 0	123 0	173 0	212 0	20 0	105 0
	0	183	278	289	158	80	294	6	199		1	95	100	222	175	144	101	4	73
	6	22	257	21	119	144	73	27	22		7	177	215	308	49	144	297	49	149
	10	28	1	293	113	169	330	163	23	31	22	172	258	66	177	166	279	125	175
	11	67	351	13	21	90	99	50	100		25	61	256	162	128	19	222	194	108
6	13	244	92	232	63	59	172	48	92		53	0	0	0	0	0	0	0	0
	17	11	253	302	51	177	150	24	207		0	221	102	210	192	0	351	6	103
	18	157	18	138	136	151	284	38	52		12	112	201	22	209	211	265	126	110
	20	211	225	235	116	108	305	91	13	32	14	199	175	271	58	36	338	63	151
	28	0	0	0	0	0	0	0	0		24	121	287	217	30	162	83	20	211
	0	220	9	12	17	169	3	145	77		54	0	0	0	0	0	0	0	0
	1	44	62	88	76	189	103	88	146		1	2	323	170	114	0	56	10	199
7	7	159 31	316 333	207 50	104 100	154 184	224 297	112 153	209 32	33	2 11	187 41	8 361	20 140	49 161	0 76	304 141	30 6	132 172
,	8	167	290	25	150	104	215	159	166	33	21	211	105	33	137	18	101	92	65
	14	104	114	76	158	164	39	76	18		55	0	0	0	0	0	0	0	0
	29	0	0	0	0	0	0	0	0		0	127	230	187	82	197	60	4	161
	0	112	307	295	33	54	348	172	181		7	167	148	296	186	0	320	153	237
	1	4	179	133	95	0	75	2	105	34	15	164	202	5	68	108	112	197	142
	3	7	165	130	4	252	22	131	141		17	159	312	44	150	0	54	155	180
	12	211	18	231	217	41	312	141	223		56	0	0	0	0	0	0	0	0
8	16	102	39	296	204	98	224	96	177		1	161	320	207	192	199	100	4	231
	19	164	224	110	39	46	17	99	145	25	6	197	335	158	173	278	210	45	174
	21 22	109 241	368 67	269 245	58 44	15 230	59 314	101 35	199 153	35	12 22	207 103	2 266	55 285	26 187	0 205	195 268	168 185	145 100
	24	90	67 170	154	201	54	244	116	38		57	0	266	285	0	0	0	0	0
	30	0	0	0	0	0	0	0	0		0	37	210	259	222	216	135	6	11
	0	103	366	189	9	162	156	6	169		14	105	313	179	157	16	15	200	207
	1	182	232	244	37	159	88	10	12	36	15	51	297	178	0	0	35	177	42
	10	109	321	36	213	93	293	145	206		18	120	21	160	6	0	188	43	100
	11	21	133	286	105	134	111	53	221		58	0	0	0	0	0	0	0	0
9	13	142	57	151	89	45	92	201	17		11	198	269	298	81	72	319	82	59
	17	14	303	267	185	132	152	4	212	37	13	220	82	15	195	144	236	2	204
	18	61	63	135	109	76	23	164	92		23	122	115	115	138	0	85	135	161
	20 31	216	82 0	209	218 0	209	337	173 0	205 0		59 0	167	0 185	0 151	0 123	0 190	0 164	91	0 121
	1	98	101	14	82	178	175	126	116		9	151	177	179	90	0	196	64	90
	2	149	339	80	165	1	253	77	151	38	10	157	289	64	73	0	209	198	26
	4	167	274	211	174	28	27	156	70		12	163	214	181	10	0	246	100	140
10	7	160	111	75	19	267	231	16	230		60	0	0	0	0	0	0	0	0
	8	49	383	161	194	234	49	12	115		11	173	258	102	12	153	236	4	115
	14	58	354	311	103	201	267	70	84		3	139	93	77	77	0	264	28	188
	32	0	0	0	0	0	0	0	0	39	7	149	346	192	49	165	37	109	168
	0	77 41	48 102	16 147	52 11	55 23	25 322	184 194	45 115		19 61	0	297 0	208 0	114 0	117 0	272 0	188 0	52 0
	12	83	8	290	2	274	200	123	134		0	157	175	32	67	216	304	10	4
	16	182	47	289	35	181	351	16	1		8	137	37	80	45	144	237	84	103
11	21	78	188	177	32	273	166	104	152	40	17	149	312	197	96	2	135	12	30
	22	252	334	43	84	39	338	109	165		62	0	0	0	0	0	0	0	0
	23	22	115	280	201	26	192	124	107		1	167	52	154	23	0	123	2	53
	33	0	0	0	0	0	0	0	0		3	173	314	47	215	0	77	75	189
	0	160	77	229	142	225	123	6	186	41	9	139	139	124	60	0	25	142	215
	1	42	186	235	175	162	217	20	215		18	151	288	207	167	183	272	128	24
12	10	21 32	174 232	169 48	136	244	142	203	124		63 0	149	0 113	0 226	0 114	0 27	0 288	163	0 222
12	13	234	50	105	3 28	151 238	110 176	153 104	180 98		4	157	113	65	91	0	83	163 10	170
	18	7	74	52	182	243	76	207	80	42	24	137	218	126	78	35	17	162	71
	34	0	0	0	0	0	0	0	0		64	0	0	0	0	0	0	0	0
	0	177	313	39	81	231	311	52	220		1	151	113	228	206	52	210	1	22
	3	248	177	302	56	0	251	147	185		16	163	132	69	22	243	3	163	127
4.0	7	151	266	303	72	216	265	1	154	43	18	173	114	176	134	0	53	99	49
1.3	20	185	115	160	217	47	94	16	178		25	139	168	102	161	270	167	98	125
13	23	62	370	37	78	36	81	46	150		65	0	0	0	0	0	0	0	0
13		0	0	70	0	0	0	0 1	0		7	139	80	234	84	18	79	4	191
13	35	200		78	14	0	22 322	202	124 144	44	9	157 163	78 163	227 259	9	0	244 293	6 142	211 187
13	35 0	206	142	200	175	196			144	44	9	103	103	209	ı J				107
13	35 0 12	55	248	299 54	175 211	186 253			182		22	173	274	260	12				142
	35 0 12 15	55 206	248 137	54	211	253	277	118	182 95		22 66	173	274 0	260 0	12 0	57 0	272	3	148 0
13	35 0 12	55	248						182 95 72		22 66 1		274 0 135		12 0 184	57			
	35 0 12 15 16	55 206 127	248 137 89	54 61	211 191	253 16	277 156	118 130	95	AF.	66	0	0	0	0	57 0	272 0	3	0
	35 0 12 15 16	55 206 127 16	248 137 89 347	54 61 179	211 191 51	253 16 0	277 156 66	118 130 1	95 72	45	66 1	0 149	0 135	0 101	0 184	57 0 168	272 0 82	3 0 181	0 177

Table 5.3.2-3: LDPC base graph 2 (  $\mathbf{H}_{\mathrm{BG}}$  ) and its parity check matrices (  $V_{i,j}$  )

Row	Н	$\mathbf{I}_{\mathrm{BG}}$	V <sub>i,j</sub>							Н	I <sub>BG</sub>				$V_{i}$	, <i>j</i>				
1	Row	Column									Row	Column								
1	_		0	1	2	3	4	5	6	7			0	1	2	3	4	5	6	7
2											16							0	0	0
0					_													134 23	57 201	196 173
0	0						35	3	165	21	17	11	114	9			65	62	142	195
10	U																42	163	35	218
11																		0 173	0 129	0 128
1		11	0		0	0	0		0	0	10	6					106	31	203	211
1											10						142	22 0	140	210
1																	79	13	110	39
1			125			156	66		102	27	19	1	20	42	158	138	28	135	124	84
8	1										.0							145 0	52 0	88
111																		128	196	117
122											20						103	52	35	227
1																		173 0	114 0	6
2																		156	10	238
A											21						75	166	122	13
R																		40 0	23 0	11 0
12	2	8	240	114	108	91	111	142	132	155		1	222	20	0	49	54	18	202	195
13											22						132	163 0	126 0	44 0
1																	68	110	52	5
A		1	8		38	185	120	53	36	239	23	3	235	86	75	54	115	132	170	94
S																		150 0	13	111 0
The color of the																	30	113	113	81
11	3										24						42	108	161	19
9																		61 0	88	130
133																	128	72	197	66
4   1 214 74 136 16 24 67 27 140   26											25						63	136	194	95
4																	142	0 36	0 164	0 146
11	4																28	38	172	66
Table   Tabl	4										26						100	53	49	190
1																		145 0	161 0	86 0
Toleran		1	41	44	131	138	140	84	49	41		0	8	103	0	27	13	42	168	64
11	5										27						10	104 0	193 0	181 0
15																	106	64	14	7
6   129   0   129   0   123   109   47   7   137   137   138   0   0   0   0   0   0   0   0   0						0	0		0	0	28					212	77	24	186	144
6         7         45         100         99         31         107         10         198         172         9         28         49         45         222         133         155         168         124         29         4         28         17         154         61         25           11         158         184         148         209         13         155         168         124         29         4         28         17         154         61         25           16         0											20							149 0	46 0	16 0
11	6		45			31											133	139	50	25
The color of the	б	-									29						25	161	27	57
The following in the image is a second of the image. The image is a second of the image. The image is a second of the i																		0 84	70	0 37
7																	56	173	17	139
11											30						104	93	50	221
13	7																	29 0	6 0	17 0
8         0         142         118         0         147         70         53         101         176           1         94         70         65         43         69         31         177         169           12         230         152         87         152         88         161         22         225           18         0         0         0         0         0         0         0         0           8         205         132         97         30         40         142         27         238           9         10         61         185         51         184         24         99         205         48           11         247         178         85         83         49         64         81         68           19         0			116	143	78		65					1					80	117	115	201
8         1         94         70         65         43         69         31         177         169           12         230         152         87         152         88         161         22         225           18         0 </td <td></td> <td>31</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>139</td> <td>148 0</td> <td>189 0</td> <td>46 0</td>											31						139	148 0	189 0	46 0
12	0	1															32	116	110	179
1	0				_						32						89	73	0	14
8         205         132         97         30         40         142         27         238           10         61         185         51         184         24         99         205         48           11         247         178         85         83         49         64         81         68           19         0			_	_	_													142 0	163 0	116 0
11		8	205	132	97	30	40	142	27	238		2	132	165	0	71	135	105	163	46
19 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	9				_					_	33							137	173	2
10																		29 0	179 0	106 0
10 6 0 22 156 8 101 174 177 208 7 117 52 20 56 96 23 51 232 20 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		0	11	59	0	174	46	111	125	38		0	147	173	0	29	37	11	197	184
7         117         52         20         56         96         23         51         232           20         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         0         99         28         91         39         178         35         5         40         184         157         165         133           7         236         92         7         138         30         175         29         214         11         63         18         6         55         93           11         9         210         174         4         110         116         24         35         168         45         0	10										34						25	41	191	135
11   20   0   0   0   0   0   0   0   0	10																	162 0	193 0	141 0
7     236     92     7     138     30     175     29     214       9     210     174     4     110     116     24     35     168       13     56     154     2     99     64     141     8     51       21     0     0     0     0     0     0     0       1     63     39     0     46     33     122     18     124		20	0	0	0	0	0	0	0	0		1	57	77	0	91	60	126	157	85
11 9 210 174 4 110 116 24 35 168 45 0 0 0 0 0 0 0 1 13 56 154 2 99 64 141 8 51 21 0 0 0 0 0 0 0 0 0 0 0 0 0 1 12 12 18 124 163 39 0 46 33 122 18 124 36 7 154 170 82 83 26											35						137	152	167	225
13 56 154 2 99 64 141 8 51 21 0 0 0 0 0 0 0 0 0 0 0 1 63 39 0 46 33 122 18 124	11																	172 0	181 0	175 0
1 63 39 0 46 33 122 18 124 36 7 154 170 82 83 26		13	56	154	2	99	64	141	8	51		0	140	25	0	1	121	73	197	178
											36						129	154	167	112
1 40   3   111   33   113   217   122   11   133   122         40   0   0   0   0   0   0	40	3	111	93	113	217	122	122	155	124		46	154	0	0	0	0	129 0	179 0	106
12 11 14 11 48 109 131 4 49 72 10 219 37 0 40 97	12	11	14	11	48	109	131	4	49	72		10	219	37	0	40	97	167	181	154
22         0         0         0         0         0         0         0         37         13         151         31         144         12         56           0         83         49         0         37         76         29         32         48         47         0         0         0         0         0											37						56 0	38 0	193 0	114 0
13 1 2 125 112 113 37 91 53 57 1 31 84 0 37 1	13										20							112	157	42
		8									38		66	151	93		70	7	173	41

	13	222	166	26	140	47	127	186	219		11	38	190	19	46	1	19	191	105
	23	0	0	0	0	0	0	0	0		48	0	0	0	0	0	0	0	0
	1	115	19	0	36	143	11	91	82		0	239	93	0	106	119	109	181	167
	6	145	118	138	95	51	145	20	232	39	7	172	132	24	181	32	6	157	45
14	11	3	21	57	40	130	8	52	204	39	12	34	57	138	154	142	105	173	189
	13	232	163	27	116	97	166	109	162		49	0	0	0	0	0	0	0	0
	24	0	0	0	0	0	0	0	0		2	0	103	0	98	6	160	193	78
	0	51	68	0	116	139	137	174	38	40	10	75	107	36	35	73	156	163	67
15	10	175	63	73	200	96	103	108	217	40	13	120	163	143	36	102	82	179	180
15	11	213	81	99	110	128	40	102	157		50	0	0	0	0	0	0	0	0
	25	0	0	0	0	0	0	0	0		1	129	147	0	120	48	132	191	53
	1	203	87	0	75	48	78	125	170	41	5	229	7	2	101	47	6	197	215
16	9	142	177	79	158	9	158	31	23	41	11	118	60	55	81	19	8	167	230
10	11	8	135	111	134	28	17	54	175		51	0	0	0	0	0	0	0	0
	12	242	64	143	97	8	165	176	202										

#### 5.3.3 Channel coding of small block lengths

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits to encode. After encoding the bits are denoted by  $d_0, d_1, d_2, ..., d_{N-1}$ .

#### 5.3.3.1 Encoding of 1-bit information

For K = 1, the code block is encoded according to Table 5.3.3.1-1, where  $N = Q_m$  and  $Q_m$  is the modulation order for the code block.

Table 5.3.3.1-1: Encoding of 1-bit information

$Q_m$	Encoded bits $d_0, d_1, d_2, \dots, d_{N-1}$
1	$[c_0]$
2	$[c_0 y]$
4	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x}]$
6	$[c_0 y x x x x]$
8	$[c_0 \ \mathbf{y} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x} \ \mathbf{x}]$

The "x" and "y" in Table 5.3.3.1-1 are placeholders for Subclause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

#### 5.3.3.2 Encoding of 2-bit information

For K = 2, the code block is encoded according to Table 5.3.3-2, where  $c_2 = (c_0 + c_1) \mod 2$ ,  $N = 3Q_m$ , and  $Q_m$  is the modulation order for the code block.

Table 5.3.3.2-1: Encoding of 2-bit information

$Q_m$	Encoded bits $d_0, d_1, d_2,, d_{N-1}$
1	$[c_0 c_1 c_2]$
2	$[c_0 c_1 c_2 c_0 c_1 c_2]$
4	$[c_0 c_1 \times \times c_2 c_0 \times \times c_1 c_2 \times X]$
6	$[c_0 c_1 \times \times \times \times c_2 c_0 \times \times \times \times c_1 c_2 \times \times \times]$
8	$[c_0\ c_1\ \mathbf{x}\ \mathbf{x}\$

The "x" in Table 5.3.3.2-1 are placeholders for Subclause 6.3.1.1 of [4, TS 38.211] to scramble the information bits in a way that maximizes the Euclidean distance of the modulation symbols carrying the information bits.

#### 5.3.3.3 Encoding of other small block lengths

For  $3 \le K \le 11$ , the code block is encoded by  $d_i = \left(\sum_{k=0}^{K-1} c_k \cdot M_{i,k}\right) \mod 2$ , where  $i = 0, 1, \dots, N-1$ , N = 32, and  $M_{i,k}$  represents the basis sequences as defined in Table 5.3.3.3-1.

 $M_{i,3}$  $M_{i,4}$  $M_{i,5}$  $M_{i,6}$  $M_{i,7}$  $M_{i,8}$  $M_{i,10}$ 

Table 5.3.3.3-1: Basis sequences for (32, K) code

## 5.4 Rate matching

## 5.4.1 Rate matching for Polar code

The rate matching for Polar code is defined per coded block and consists of sub-block interleaving, bit collection, and bit interleaving. The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 5.4.1.1 Sub-block interleaving

The bits input to the sub-block interleaver are the coded bits  $d_0, d_1, d_2, ..., d_{N-1}$ . The coded bits  $d_0, d_1, d_2, ..., d_{N-1}$  are divided into 32 sub-blocks. The bits output from the sub-block interleaver are denoted as  $y_0, y_1, y_2, ..., y_{N-1}$ , generated as follows:

for 
$$n=0$$
 to  $N-1$  
$$i = \lfloor 32n/N \rfloor;$$
 
$$J(n) = P(i) \times (N/32) + \operatorname{mod}(n, N/32);$$
 
$$y_n = d_{J(n)};$$
 end for

where the sub-block interleaver pattern P(i) is given by Table 5.4.1.1-1.

Table 5.4.1.1-1: Sub-block interleaver pattern P(i)

i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)	i	P(i)
0	0	4	3	8	8	12	10	16	12	20	14	24	24	28	27
1	1	5	5	9	16	13	18	17	20	21	22	25	25	29	29
2	2	6	6	10	9	14	11	18	13	22	15	26	26	30	30
3	4	7	7	11	17	15	19	19	21	23	23	27	28	31	31

The sets of bit indices  $\overline{\mathbf{Q}}_I^N$  and  $\overline{\mathbf{Q}}_F^N$  are determined as follows, where K,  $n_{PC}$ , and  $\mathbf{Q}_0^{N-1}$  are defined in Subclause 5.3.1

$$\begin{split} \overline{\mathbf{Q}}_{F,mnp}^N &= \varnothing \\ \text{if } E < N \\ \text{if } K/E \leq 7/16 \quad \text{-- puncturing} \\ \text{for } n = 0 \text{ to } N - E - 1 \\ \overline{\mathbf{Q}}_{F,mnp}^N &= \overline{\mathbf{Q}}_{F,mnp}^N \cup \{J(n)\}; \\ \text{end for} \\ \text{if } E \geq 3N/4 \\ \overline{\mathbf{Q}}_{F,mnp}^N &= \overline{\mathbf{Q}}_{F,mnp}^N \cup \{0,1,\dots,\lceil 3N/4 - E/2\rceil - 1\}; \\ \text{else} \\ \overline{\mathbf{Q}}_{F,mnp}^N &= \overline{\mathbf{Q}}_{F,mnp}^N \cup \{0,1,\dots,\lceil 9N/16 - E/4\rceil - 1\}; \\ \text{end if} \\ \text{else} \quad \text{-- shortening} \\ \text{for } n = E \text{ to } N - 1 \\ \overline{\mathbf{Q}}_{F,mnp}^N &= \overline{\mathbf{Q}}_{F,mnp}^N \cup \{J(n)\}; \\ \text{end for} \\ \text{end if} \\ \text{end if} \\ \\ \mathbf{Q}_{I,mnp}^N &= \mathbf{Q}_0^{N-1} \setminus \overline{\mathbf{Q}}_{F,mnp}^N; \\ \\ \overline{\mathbf{Q}}_I^N \text{ comprises } \left(K + n_{PC}\right) \text{ most reliable bit indices in } \overline{\mathbf{Q}}_{I,mnp}^N; \\ \\ \overline{\mathbf{Q}}_I^N \text{ comprises } \left(K + n_{PC}\right) \text{ most reliable bit indices in } \overline{\mathbf{Q}}_{I,mnp}^N; \\ \end{split}$$

 $\overline{\mathbf{Q}}_{F}^{N} = \mathbf{Q}_{0}^{N-1} \setminus \overline{\mathbf{Q}}_{I}^{N};$ 

#### 5.4.1.2 Bit selection

The bit sequence after the sub-block interleaver  $y_0, y_1, y_2, ..., y_{N-1}$  from Subclause 5.4.1.1 is written into a circular buffer of length N.

Denoting by E the rate matching output sequence length, the bit selection output bit sequence  $e_k$ , k = 0,1,2,...,E-1, is generated as follows:

```
if E \ge N -- repetition for k = 0 to E - 1 e_k = y_{\text{mod}(k,N)}; end for else if K/E \le 7/16 -- puncturing for k = 0 to E - 1 e_k = y_{k+N-E}; end for else -- shortening for k = 0 to E - 1 e_k = y_k; end for end if end if
```

#### 5.4.1.3 Interleaving of coded bits

The bit sequence  $e_0, e_1, e_2, ..., e_{E-1}$  is interleaved into bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$ , as follows:

```
If I_{BIL} = 1
```

Denote T as the smallest integer such that  $T(T+1)/2 \ge E$ ;

```
k=0;

for i=0 to T-1

for j=0 to T-1-i

if k < E

v_{i,j} = e_k;

else

v_{i,j} = < NULL >;
```

end if

```
k = k + 1;
       end for
   end for
    k=0;
   for j = 0 to T - 1
       for i = 0 to T - 1 - j
           if v_{i,j} \neq < NULL >
               f_k = v_{i,j};
               k = k + 1
           end if
       end for
   end for
else
   for i = 0 to E - 1
        f_i = e_i;
   end for
end if
```

The value of E is no larger than 8192.

## 5.4.2 Rate matching for LDPC code

The rate matching for LDPC code is defined per coded block and consists of bit selection and bit interleaving. The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as

$$f_0, f_1, f_2, ..., f_{E-1}$$
.

#### 5.4.2.1 Bit selection

The bit sequence after encoding  $d_0, d_1, d_2, ..., d_{N-1}$  from Subclause 5.3.2 is written into a circular buffer of length  $N_{cb}$  for the r-th coded block, where N is defined in Subclause 5.3.2.

For the 
$$r$$
-th code block, let  $N_{cb} = N$  if  $I_{LBRM} = 0$  and  $N_{cb} = \min(N, N_{ref})$  otherwise, where  $N_{ref} = \left| \frac{TBS_{LBRM}}{C \cdot R_{LBRM}} \right|$ ,

 $R_{\rm LBRM} = 2/3$ ,  $TBS_{\rm LBRM}$  is determined according to Subclause 6.1.4.2 in [6, TS 38.214] for UL-SCH and Subclause 5.1.3.2 in [6, TS 38.214] for DL-SCH/PCH, assuming the following:

- maximum number of layers for one TB for UL-SCH is given by X, where
  - if the higher layer parameter *maxMIMO-Layers* of *PUSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
  - elseif the higher layer parameter *maxRank* of *pusch-Config* of the serving cell is configured, X is given by the maximum value of *maxRank* across all BWPs of the serving cell
  - otherwise, X is given by the maximum number of layers for PUSCH supported by the UE for the serving cell

- maximum number of layers for one TB for DL-SCH/PCH is given by the minimum of X and 4, where
  - if the higher layer parameter *maxMIMO-Layers* of *PDSCH-ServingCellConfig* of the serving cell is configured, X is given by that parameter
  - otherwise, X is given by the maximum number of layers for PDSCH supported by the UE for the serving cell
- if the higher layer parameter mcs-Table given by a pdsch-Config for at least one DL BWP of the serving cell is set to 'qam256', maximum modulation order  $Q_m = 8$  is assumed for DL-SCH; otherwise a maximum modulation order  $Q_m = 6$  is assumed for DL-SCH;
- if the higher layer parameter mcs-Table or mcs-Table TransformPrecoder given by a pusch-Config or configuredGrantConfig for at least one UL BWP of the serving cell is set to 'qam256', maximum modulation order  $Q_m = 8$  is assumed for UL-SCH; otherwise a maximum modulation order  $Q_m = 6$  is assumed for UL-SCH
- maximum coding rate of 948/1024;
- $n_{PRB} = n_{PRB,LBRM}$  is given by Table 5.4.2.1-1, where the value of  $n_{PRB,LBRM}$  for DL-SCH is determined according to the initial downlink bandwidth part if there is no other downlink bandwidth part configured to the UE;
- $N_{RE} = 156 \cdot n_{PRB};$
- C is the number of code blocks of the transport block determined according to Subclause 5.2.2.

Table 5.4.2.1-1: Value of  $n_{PRB,LBRM}$ 

Maximum number of PRBs across all configured DL BWPs and UL BWPs of a carrier for DL-SCH and UL-SCH, respectively	$n_{PRB,LBRM}$
Less than 33	32
33 to 66	66
67 to 107	107
108 to 135	135
136 to 162	162
163 to 217	217
Larger than 217	273

Denoting by  $E_r$  the rate matching output sequence length for the r-th coded block, where the value of  $E_r$  is determined as follows:

Set 
$$j = 0$$

for 
$$r = 0$$
 to  $C - 1$ 

if the r-th coded block is not scheduled for transmission as indicated by CBGTI according to Subclause 5.1.7.2 for DL-SCH and 6.1.5.2 for UL-SCH in [6, TS 38.214]

$$E_r = 0$$
;

else

if 
$$j \leq C' - \operatorname{mod}(G/(N_L \cdot Q_m), C') - 1$$

$$E_r = N_L \cdot Q_m \cdot \left\lfloor \frac{G}{N_L \cdot Q_m \cdot C'} \right\rfloor;$$

else

$$E_r = N_L \cdot Q_m \cdot \left\lceil \frac{G}{N_L \cdot Q_m \cdot C'} \right\rceil;$$
 end if 
$$j = j+1;$$
 end if end for

where

- $N_L$  is the number of transmission layers that the transport block is mapped onto;
- $Q_m$  is the modulation order;
- G is the total number of coded bits available for transmission of the transport block;
- C'=C if CBGTI is not present in the DCI scheduling the transport block and C' is the number of scheduled code blocks of the transport block if CBGTI is present in the DCI scheduling the transport block.

Denote by  $rv_{id}$  the redundancy version number for this transmission ( $rv_{id} = 0, 1, 2 \text{ or } 3$ ), the rate matching output bit sequence  $e_k$ , k = 0,1,2,...,E-1, is generated as follows, where  $k_0$  is given by Table 5.4.2.1-2 according to the value of  $rv_{id}$  and LDPC base graph:

```
k=0;
j=0;
while k < E
if d_{(k_0+j) \mod N_{cb}} \neq < NULL > 
e_k = d_{(k_0+j) \mod N_{cb}};
k=k+1;
end if
j=j+1;
end while
```

Table 5.4.2.1-2: Starting position of different redundancy versions,  $k_0$ 

rv <sub>id</sub>	$k_0^{}$								
id id	LDPC base graph 1	LDPC base graph 2							
0	0	0							
1	$\left\lfloor \frac{17N_{cb}}{66Z_c} \right\rfloor \!\! Z_c$	$\left\lfloor \frac{13N_{cb}}{50Z_c} \right\rfloor Z_c$							
2	$\left[\frac{33N_{cb}}{66Z_c}\right]Z_c$	$\left[ rac{25N_{cb}}{50Z_c}  ight]\! Z_c$							
3	$\left\lfloor \frac{56N_{cb}}{66Z_c} \right\rfloor Z_c$	$\left[\frac{43N_{cb}}{50Z_c}\right]Z_c$							

#### 5.4.2.2 Bit interleaving

The bit sequence  $e_0, e_1, e_2, ..., e_{E-1}$  is interleaved to bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$ , according to the following, where the value of  $Q_m$  is the modulation order.

```
for j=0 to E/Q_m-1

for i=0 to Q_m-1

f_{i+j\cdot Q_m}=e_{i\cdot E/Q_m+j};
end for
```

## 5.4.3 Rate matching for channel coding of small block lengths

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ . The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ , where E is the rate matching output sequence length. The bit sequence  $f_0, f_1, f_2, ..., f_{E-1}$  is obtained by the following:

for k = 0 to E - 1  $f_k = d_{k \bmod N};$  end for

#### 5.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{rk}$ , for r=0,...,C-1 and  $k=0,...,E_r-1$ , where  $E_r$  is the number of rate matched bits for the r-th code block. The output bit sequence from the code block concatenation block is the sequence  $g_k$  for k=0,...,G-1.

The code block concatenation consists of sequentially concatenating the rate matching outputs for the different code blocks. Therefore,

```
Set k = 0 and r = 0

while r < C

Set j = 0

while j < E_r

g_k = f_{rj}

k = k + 1

j = j + 1

end while

r = r + 1
```

end while

## 6 Uplink transport channels and control information

#### 6.1 Random access channel

The sequence index for the random access channel is received from higher layers and is processed according to [4, TS 38.211].

## 6.2 Uplink shared channel

#### 6.2.1 Transport block CRC attachment

Error detection is provided on each UL-SCH transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in Subclause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the UL-SCH transport block according to Subclause 5.1, by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24A}}(D)$  if A > 3824; and by setting L to 16 bits and using the generator polynomial  $g_{\text{CRC16}}(D)$  otherwise.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

## 6.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate R indicated by the MCS index according to Subclause 6.1.4.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if  $A \le 292$ , or if  $A \le 3824$  and  $R \le 0.67$ , or if  $R \le 0.25$ , LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size as described in Subclause 6.2.1.

## 6.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Subclause 5.2.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where r is the code block number and  $K_r$  is the number of bits for code block number r according to Subclause 5.2.2.

## 6.2.4 Channel coding of UL-SCH

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Subclause 5.3.2.

After encoding the bits are denoted by  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$ , where the values of  $N_r$  is given in Subclause 5.3.2.

#### 6.2.5 Rate matching

Coded bits for each code block, denoted as  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$ , are delivered to the rate match block, where r is the code block number, and  $N_r$  is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Subclause 5.4.2 by setting  $I_{LBRM} = 1$  if higher layer parameter rateMatching is set to limitedBufferRM and by setting  $I_{LBRM} = 0$  otherwise.

After rate matching, the bits are denoted by  $f_{r_0}, f_{r_1}, f_{r_2}, f_{r_3}, ..., f_{r(E_r-1)}$ , where  $E_r$  is the number of rate matched bits for code block number r.

#### 6.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , for r = 0,..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Subclause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where G is the total number of coded bits for transmission.

#### 6.2.7 Data and control multiplexing

Denote the coded bits for UL-SCH as  $g_0^{\text{UL-SCH}}, g_1^{\text{UL-SCH}}, g_2^{\text{UL-SCH}}, g_3^{\text{UL-SCH}}, ..., g_{G^{\text{UL-SCH}}-1}^{\text{UL-SCH}}$ 

Denote the coded bits for HARQ-ACK, if any, as  $g_0^{\text{ACK}}, g_1^{\text{ACK}}, g_2^{\text{ACK}}, g_3^{\text{ACK}}, ..., g_{G^{\text{ACK}}-1}^{\text{ACK}}$ 

Denote the coded bits for CSI part 1, if any, as  $g_0^{\text{CSI-part1}}, g_1^{\text{CSI-part1}}, g_2^{\text{CSI-part1}}, g_3^{\text{CSI-part1}}, \dots, g_{G^{\text{CSI-part1}}-1}^{\text{CSI-part1}}$ 

Denote the coded bits for CSI part 2, if any, as  $g_0^{\text{CSI-part2}}, g_1^{\text{CSI-part2}}, g_2^{\text{CSI-part2}}, g_3^{\text{CSI-part2}}, \dots, g_{c^{\text{CSI-part2}}-1}^{\text{CSI-part2}}$ 

Denote the multiplexed data and control coded bit sequence as  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ .

Denote l as the OFDM symbol index of the scheduled PUSCH, starting from 0 to  $N_{\text{symb,all}}^{\text{PUSCH}} - 1$ , where  $N_{\text{symb,all}}^{\text{PUSCH}}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS.

Denote k as the subcarrier index of the scheduled PUSCH, starting from 0 to  $M_{sc}^{PUSCH} = 1$ , where  $M_{sc}^{PUSCH}$  is expressed as a number of subcarriers.

Denote  $\Phi_l^{\text{UL-SCH}}$  as the set of resource elements, in ascending order of indices k, available for transmission of data in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ .

Denote  $M_{\text{sc}}^{\text{UL-SCH}}(l) = |\Phi_l^{\text{UL-SCH}}|$  as the number of elements in set  $\Phi_l^{\text{UL-SCH}}$ . Denote  $\Phi_l^{\text{UL-SCH}}(j)$  as the j-th element in  $\Phi_l^{\text{UL-SCH}}$ .

Denote  $\Phi_l^{\text{UCI}}$  as the set of resource elements, in ascending order of indices k, available for transmission of UCI in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$ . Denote  $M_{\text{sc}}^{\text{UCI}}(l) = \left|\Phi_l^{\text{UCI}}\right|$  as the number of elements in set  $\Phi_l^{\text{UCI}}$ . Denote  $\Phi_l^{\text{UCI}}(j)$  as the j-th element in  $\Phi_l^{\text{UCI}}$ . For any OFDM symbol that carriers DMRS of the PUSCH,  $\Phi_l^{\text{UCI}}=\varnothing$ . For any OFDM symbol that does not carry DMRS of the PUSCH,  $\Phi_l^{\text{UCI}}=\Phi_l^{\text{UL-SCH}}$ .

If frequency hopping is configured for the PUSCH,

- denote  $l^{(1)}$  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the first hop;
- denote  $l^{(2)}$  as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS in the second hop.
- denote  $l_{CSI}^{(1)}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the first hop;
- denote  $l_{CSI}^{(2)}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS in the second hop;
- if HARQ-ACK is present for transmission on the PUSCH with UL-SCH, let

- 
$$G^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left[ G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right] \text{ and } G^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[ G^{\text{ACK}} / (2 \cdot N_L \cdot Q_m) \right];$$

- if CSI is present for transmission on the PUSCH with UL-SCH, let
  - $G^{\text{CSI-part1}}(1) = N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right];$
  - $G^{\text{CSI-part1}}(2) = N_I \cdot Q_m \cdot \left[ G^{\text{CSI-part1}} / (2 \cdot N_I \cdot Q_m) \right];$
  - $G^{\text{CSI-part2}}(1) = N_L \cdot Q_m \cdot \left| G^{\text{CSI-part2}} / (2 \cdot N_L \cdot Q_m) \right|$ ; and
  - $G^{\text{CSI-part2}}(2) = N_I \cdot Q_m \cdot \left[ G^{\text{CSI-part2}} / (2 \cdot N_I \cdot Q_m) \right];$
- if only HARQ-ACK and CSI part 1 are present for transmission on the PUSCH without UL-SCH, let
  - $G^{ACK}(1) = \min \left( N_L \cdot Q_m \cdot \middle| G^{ACK} / \left( 2 \cdot N_L \cdot Q_m \right) \middle| , M_3 \cdot N_L \cdot Q_m \right);$
  - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$ ;
  - $G^{\text{CSI-part1}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1)$ ; and
  - $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$  ;
- if HARQ-ACK, CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let
  - $G^{ACK}(1) = \min \left( N_L \cdot Q_m \cdot \middle| G^{ACK} / \left( 2 \cdot N_L \cdot Q_m \right) \middle| , M_3 \cdot N_L \cdot Q_m \right);$
  - $G^{ACK}(2) = G^{ACK} G^{ACK}(1)$ ;
- if the number of HARQ-ACK information bits is more than 2,  $G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right], M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) \right); \text{ otherwise,}$   $G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left[ G^{\text{CSI-part1}} / (2 \cdot N_L \cdot Q_m) \right], M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}_{rvd}(1) \right)$ 
  - $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$ ;
  - $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$  if the number of HARQ-ACK information bits is no more than 2, and  $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{ACK}}(1) G^{\text{CSI-part1}}(1)$  otherwise; and
  - $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(2)$  if the number of HARQ-ACK information bits is no more than 2, and  $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{ACK}}(2) G^{\text{CSI-part1}}(2)$  otherwise;
- if CSI part 1 and CSI part 2 are present for transmission on the PUSCH without UL-SCH, let

$$G^{\text{CSI-part1}}(1) = \min \left( N_L \cdot Q_m \cdot \left\lfloor G^{\text{CSI-part1}} / \left( 2 \cdot N_L \cdot Q_m \right) \right\rfloor, \ M_1 \cdot N_L \cdot Q_m - G_{rvd}^{\text{ACK}}(1) \right).$$

- $G^{\text{CSI-part1}}(2) = G^{\text{CSI-part1}} G^{\text{CSI-part1}}(1)$ ;
- $G^{\text{CSI-part2}}(1) = M_1 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(1)$ ; and
- $G^{\text{CSI-part2}}(2) = M_2 \cdot N_L \cdot Q_m G^{\text{CSI-part1}}(2)$ ;
- let  $N_{\text{hop}}^{\text{PUSCH}} = 2$ , and denote  $N_{\text{symb,hop}}^{\text{PUSCH}}(1)$ ,  $N_{\text{symb,hop}}^{\text{PUSCH}}(2)$  as the number of OFDM symbols of the PUSCH in the first and second hop, respectively;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;

$$M_{1} = \sum_{l=0}^{N_{\text{symb,hop}}^{\text{PUSCH}}(1)-1} M_{\text{SC}}^{\text{UCI}}(l),$$

$$\boldsymbol{M}_{2} = \sum_{l=N_{\text{symh,hop}}^{\text{PUSCH}}(1)}^{N_{\text{Symh,hop}}(1)+N_{\text{SUM,hop}}^{\text{PUSCH}}(2)-1} \boldsymbol{M}_{\text{SC}}^{\text{UCI}}(l)$$

$$M_{3} = \sum_{l=l^{(1)}}^{N_{\text{symb,hop}}^{\text{PUSCH}}} M_{\text{SC}}^{\text{UCI}}(l)$$

If frequency hopping is not configured for the PUSCH,

- denote l<sup>(1)</sup> as the OFDM symbol index of the first OFDM symbol after the first set of consecutive OFDM symbol(s) carrying DMRS;
- denote  $l_{\mathrm{CSI}}^{\scriptscriptstyle{(1)}}$  as the OFDM symbol index of the first OFDM symbol that does not carry DMRS;
- if HARQ-ACK is present for transmission on the PUSCH, let  $G^{ACK}(1) = G^{ACK}$ ;
- if CSI is present for transmission on the PUSCH, let  $G^{\text{CSI-part1}}(1) = G^{\text{CSI-part2}}$  and  $G^{\text{CSI-part2}}(1) = G^{\text{CSI-part2}}$ ;
- let  $N_{\text{hop}}^{\text{PUSCH}} = 1$  and  $N_{\text{symb,hop}}^{\text{PUSCH}}(1) = N_{\text{symb,all}}^{\text{PUSCH}}$

The multiplexed data and control coded bit sequence  $g_0, g_1, g_2, g_3, ..., g_{G-1}$  is obtained according to the following:

#### Step 1:

Set 
$$\overline{\Phi}_l^{\text{UL-SCH}} = \Phi_l^{\text{UL-SCH}}$$
 for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{M}_{\text{sc}}^{\text{UL-SCH}}\left(l\right) = \left|\overline{\Phi}_{l}^{\text{UL-SCH}}\right|$$
 for  $l = 0, 1, 2, ..., N_{\text{symb, all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{\Phi}_{l}^{\text{UCI}} = \Phi_{l}^{\text{UCI}}$$
 for  $l = 0, 1, 2, ..., N_{\text{symb, all}}^{\text{PUSCH}} - 1$ ;

Set 
$$\overline{M}_{sc}^{UCI}(l) = |\overline{\Phi}_{l}^{UCI}|$$
 for  $l = 0, 1, 2, ..., N_{symb, all}^{PUSCH} - 1$ ;

if the number of HARQ-ACK information bits to be transmitted on PUSCH is 0, 1 or 2 bits

the number of reserved resource elements for potential HARQ-ACK transmission is calculated according to Subclause 6.3.2.4.2.1, by setting  $O_{\rm ACK}=2$ ;

denote  $G_{\text{rvd}}^{\text{ACK}}$  as the number of coded bits for potential HARQ-ACK transmission using the reserved resource elements:

if frequency hopping is configured for the PUSCH, let  $G_{\text{rvd}}^{\text{ACK}}(1) = N_L \cdot Q_m \cdot \left| G_{\text{rvd}}^{\text{ACK}} / \left( 2 \cdot N_L \cdot Q_m \right) \right|$  and

$$G_{\text{rvd}}^{\text{ACK}}(2) = N_L \cdot Q_m \cdot \left[ G_{\text{rvd}}^{\text{ACK}} / \left( 2 \cdot N_L \cdot Q_m \right) \right] ;$$

if frequency hopping is not configured for the PUSCH, let  $G_{rvd}^{ACK}(1) = G_{rvd}^{ACK}$ ;

denote  $\overline{\Phi}_l^{\text{rvd}}$  as the set of reserved resource elements for potential HARQ-ACK transmission, in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\text{symb, all}}^{\text{PUSCH}} - 1$ ;

Set  $m_{\text{count}}^{\text{ACK}}(1) = 0$ ;

Set  $m_{\text{count}}^{\text{ACK}}(2) = 0$ ;

$$\overline{\Phi}_{l}^{\text{rvd}} = \emptyset \text{ for } l = 0, 1, 2, ..., N_{\text{symb, all}}^{\text{PUSCH}} - 1;$$

for i = 1 to  $N_{hop}^{PUSCH}$ 

 $l = l^{(i)};$ 

while  $m_{\text{count}}^{\text{ACK}}(i) < G_{\text{rvd}}^{\text{ACK}}(i)$ 

if 
$$\overline{M}_{sc}^{UCI}(l) > 0$$

if 
$$G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$$

d = 1:

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UL-SCH}}(l);$$

end if

if 
$$G_{\mathrm{rvd}}^{\mathrm{ACK}}(i) - m_{\mathrm{count}}^{\mathrm{ACK}}(i) < \overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left| \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m / \left( G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) \right|;$$

$$m_{\text{count}}^{\text{RE}} = \left\lceil \left( G_{\text{rvd}}^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) / \left( N_L \cdot Q_m \right) \right\rceil;$$

end if

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$\overline{\Phi}_{l}^{\text{rvd}} = \overline{\Phi}_{l}^{\text{rvd}} \cup \left\{ \overline{\Phi}_{l}^{\text{UL-SCH}} \left( j \cdot d \right) \right\}$$

$$m_{\text{count}}^{\text{ACK}}(i) = m_{\text{count}}^{\text{ACK}}(i) + N_L \cdot Q_m;$$

end for

end if

l = l + 1;

end while

end for

else

$$\overline{\Phi}_{l}^{\text{rvd}} = \emptyset$$
 for  $l = 0, 1, 2, ..., N_{\text{symb, all}}^{\text{PUSCH}} - 1$ ;

end if

Denote  $\overline{M}_{\mathrm{sc,rvd}}^{\,\overline{\Phi}}(l) = \left| \overline{\Phi}_l^{\,\mathrm{rvd}} \right|$  as the number of elements in  $\overline{\Phi}_l^{\,\mathrm{rvd}}$ .

#### Step 2:

if HARQ-ACK is present for transmission on the PUSCH and the number of HARQ-ACK information bits is more than 2.

Set 
$$m_{\text{count}}^{\text{ACK}}(1) = 0$$
;  
Set  $m_{\text{count}}^{\text{ACK}}(2) = 0$ ;  
Set  $m_{\text{countall}}^{\text{ACK}} = 0$ ;  
for  $i = 1$  to  $N_{\text{hop}}^{\text{PUSCH}}$   
 $l = l^{(i)}$ ;  
while  $m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i)$   
if  $\overline{M}_{\text{sc}}^{\text{UCI}}(l) > 0$   
if  $G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$   
 $d = 1$ ;  
 $m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l)$ ;  
end if  
if  $G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m$   
 $d = \lfloor \overline{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m / (G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i)) \rfloor$ ;  
end if  
for  $j = 0$  to  $m_{\text{count}}^{\text{RE}} = \lceil (G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i)) / (N_L \cdot Q_m) \rceil$ ;  
end if  
for  $v = 0$  to  $v$ 

 $m_{\text{count}}^{\text{ACK}}(i) = m_{\text{count}}^{\text{ACK}}(i) + 1;$ 

end for

end for  $\overline{\Phi}_{l,tmp}^{\text{UCI}} = \varnothing;$ 

for j = 0 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$\overline{\Phi}_{l,tmp}^{\text{UCI}} = \overline{\Phi}_{l,tmp}^{\text{UCI}} \cup \overline{\Phi}_{l}^{\text{UCI}}(j \cdot d);$$

end for

$$ar{m{\Phi}}_l^{ ext{UCI}} = ar{m{\Phi}}_l^{ ext{UCI}} \setminus ar{m{\Phi}}_{l,tmp}^{ ext{UCI}}$$

$$ar{\Phi}_l^{ ext{UL-SCH}} = ar{\Phi}_l^{ ext{UL-SCH}} \setminus ar{\Phi}_{l,mp}^{ ext{UCI}}$$
 :

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UCI}}\right|;$$

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}\left(l\right) = \left|\overline{\Phi}_{l}^{\mathrm{UL-SCH}}\right|;$$

end if

$$l = l + 1$$
;

end while

end for

end if

# **Step 3:**

if CSI is present for transmission on the PUSCH,

Set  $m_{\text{count}}^{\text{CSI-part1}}(1) = 0$ ;

Set  $m_{\text{count}}^{\text{CSI-part1}}(2) = 0$ ;

Set  $m_{\text{count,all}}^{\text{CSI-part1}} = 0$ ;

for i = 1 to  $N_{\text{hop}}^{\text{PUSCH}}$ 

 $l = l_{\text{CSI}}^{(i)}$ ;

while  $\bar{M}_{\rm sc}^{\rm UCI}(l) - \bar{M}_{\rm sc, rvd}^{\bar{\Phi}}(l) \le 0$ 

l = l + 1;

end while

while  $m_{\text{count}}^{\text{CSI-part1}}(i) < G^{\text{CSI-part1}}(i)$ 

if 
$$\overline{M}_{sc}^{UCI}(l) - \overline{M}_{sc, rvd}^{\overline{\Phi}}(l) > 0$$

if 
$$G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \ge \left(\overline{M}_{\text{sc}}^{\text{UCI}}(l) - \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}(l)\right) \cdot N_L \cdot Q_m$$

$$d = 1;$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l) - \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}(l);$$

end if

$$\text{if } G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) < \left( \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) - \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \right) \cdot N_L \cdot Q_m$$

$$d = \left\lfloor \left( \overline{M}_{\text{sc}}^{\text{UCI}}(l) - M_{\text{sc, rvd}}^{\overline{\Phi}}(l) \right) \cdot N_L \cdot Q_m / \left( G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i) \right) \right\rfloor;$$

$$m_{\text{count}}^{\text{RE}} = \left\lceil \left(G^{\text{CSI-part1}}(i) - m_{\text{count}}^{\text{CSI-part1}}(i)\right) / \left(N_L \cdot Q_m\right)\right\rceil;$$

end if

$$\overline{\Phi}_l^{\, ext{temp}} = \overline{\Phi}_l^{\, ext{UCI}} \setminus \overline{\Phi}_l^{\, ext{rvd}}$$
 ;

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$k = \overline{\Phi}_{l}^{\text{temp}}(j \cdot d);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{m_{\text{count all}}}^{\text{CSI-part1}};$$

$$m_{\text{countall}}^{\text{CSI-part1}} = m_{\text{countall}}^{\text{CSI-part1}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part1}}(i) = m_{\text{count}}^{\text{CSI-part1}}(i) + 1;$$

end for

end for

$$ar{\Phi}_{l,tmp}^{ ext{UCI}}=arnothing;$$

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$\bar{\Phi}_{l,tmp}^{\text{UCI}} = \bar{\Phi}_{l,tmp}^{\text{UCI}} \cup \bar{\Phi}_{l}^{\text{temp}} \left( j \cdot d \right);$$

end for

$$ar{\Phi}_l^{ ext{UCI}} = ar{\Phi}_l^{ ext{UCI}} \setminus ar{\Phi}_{l,\mathit{tmp}}^{ ext{UCI}}$$
 .

$$ar{m{\Phi}}_l^{ ext{UL-SCH}} = ar{m{\Phi}}_l^{ ext{UL-SCH}} \setminus ar{m{\Phi}}_{l,\mathit{tmp}}^{ ext{UCI}}$$
 .

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UCI}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UCI}}\right|;$$

$$\overline{M}_{\mathrm{sc}}^{\mathrm{UL-SCH}}(l) = \left|\overline{\Phi}_{l}^{\mathrm{UL-SCH}}\right|;$$

end if

$$l = l + 1;$$

end while

end for

Set 
$$m_{\text{count}}^{\text{CSI-part2}}(1) = 0$$
;

Set 
$$m_{\text{count}}^{\text{CSI-part2}}(2) = 0$$
;

Set 
$$m_{\text{count,all}}^{\text{CSI-part2}} = 0$$
;

for 
$$i = 1$$
 to  $N_{\text{hop}}^{\text{PUSCH}}$ 

$$l = l_{\text{CSI}}^{(i)}$$
;

while 
$$ar{M}_{ ext{sc}}^{ ext{UCI}}\!\left(l
ight)\!\leq\!0$$

$$l = l + 1;$$

end while

while 
$$m_{\text{count}}^{\text{CSI-part2}}(i) < G^{\text{CSI-part2}}(i)$$

if 
$$\bar{M}_{\rm sc}^{\rm UCI}(l) > 0$$

$$\text{if } G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) \geq \bar{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = 1;$$

$$m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc}}^{\text{UCI}}(l);$$

end if

$$\text{if } G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) < \overline{M}_{\text{sc}}^{\text{UCI}}\left(l\right) \cdot N_L \cdot Q_m$$

$$d = \left\lfloor \bar{M}_{\text{sc}}^{\text{UCI}}(l) \cdot N_L \cdot Q_m / \left( G^{\text{CSI-part2}}(i) - m_{\text{count}}^{\text{CSI-part2}}(i) \right) \right\rfloor;$$

$$m_{\mathrm{count}}^{\mathrm{RE}} = \left\lceil \left( G^{\mathrm{CSI-part2}}(i) - m_{\mathrm{count}}^{\mathrm{CSI-part2}}(i) \right) / \left( N_L \cdot Q_m \right) \right\rceil \; ;$$

end if

for 
$$j = 0$$
 to  $m_{\text{count}}^{\text{RE}} - 1$ 

$$k = \overline{\Phi}_{i}^{\text{UCI}}(j \cdot d);$$

for 
$$v = 0$$
 to  $N_L \cdot Q_m - 1$ 

$$\overline{g}_{l,k,v} = g_{m_{\text{count,all}}^{\text{CSI-part2}}}^{\text{CSI-part2}};$$

$$m_{\text{count,all}}^{\text{CSI-part2}} = m_{\text{count,all}}^{\text{CSI-part2}} + 1;$$

$$m_{\text{count}}^{\text{CSI-part2}}(i) = m_{\text{count}}^{\text{CSI-part2}}(i) + 1;$$

end for

end for

$$\overline{\Phi}_{l,tmp}^{ ext{UCI}} = \emptyset;$$

$$\begin{aligned} &\text{for } j = 0 \text{ to } m_{\text{count}}^{\text{RE}} - 1 \\ &\bar{\Phi}_{l,mp}^{\text{UCI}} = \bar{\Phi}_{l,mp}^{\text{UCI}} \cup \bar{\Phi}_{l}^{\text{UCI}} \left( j \cdot d \right); \\ &\text{end for} \\ &\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UCI}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}}; \\ &\bar{\Phi}_{l}^{\text{UCI}} = \bar{\Phi}_{l}^{\text{UL-SCH}} \setminus \bar{\Phi}_{l,mp}^{\text{UCI}}; \\ &\bar{M}_{\text{sc}}^{\text{UCI}}(l) = \left| \bar{\Phi}_{l}^{\text{UCI}} \right|; \\ &\bar{M}_{\text{sc}}^{\text{UL-SCH}} \left( l \right) = \left| \bar{\Phi}_{l}^{\text{UL-SCH}} \right|; \\ &\text{end if} \\ &l = l + 1; \\ &\text{end while} \\ &\text{end for} \end{aligned}$$

# **Step 4:**

if UL-SCH is present for transmission on the PUSCH,

```
Set m_{\text{count}}^{\text{UL-SCH}} = 0;

for l = 0 to N_{\text{symb,all}}^{\text{PUSCH}} - 1

if \overline{M}_{\text{sc}}^{\text{UL-SCH}}(l) > 0

for j = 0 to \overline{M}_{\text{sc}}^{\text{UL-SCH}}(l) - 1

k = \overline{\Phi}_{l}^{\text{UL-SCH}}(j);

for v = 0 to N_{L} \cdot Q_{m} - 1

\overline{g}_{l,k,v} = g_{m_{\text{count}}^{\text{UL-SCH}}}^{\text{UL-SCH}};

m_{\text{count}}^{\text{UL-SCH}} = m_{\text{count}}^{\text{UL-SCH}} + 1;

end for
end for
end if
end for
```

# **Step 5:**

if HARQ-ACK is present for transmission on the PUSCH and the number of HARQ-ACK information bits is no more than 2,

```
Set m_{\text{count}}^{\text{ACK}}(1) = 0;
Set m_{\text{count}}^{\text{ACK}}(2) = 0;
Set m_{\text{count,all}}^{\text{ACK}} = 0;
for i = 1 to N_{\text{hop}}^{\text{PUSCH}}
           l = l^{(i)};
         while m_{\text{count}}^{\text{ACK}}(i) < G^{\text{ACK}}(i)
                   if \overline{M}_{\text{sc. rvd}}^{\overline{\Phi}}(l) > 0
                              if G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \ge \overline{M}_{\text{sc, rvd}}^{\overline{\Phi}}(l) \cdot N_L \cdot Q_m
                                          d = 1;
                                         m_{\text{count}}^{\text{RE}} = \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}(l);
                               end if
                               \text{if } G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) < \overline{M}_{\text{sc, rvd}}^{\bar{\Phi}}\left(l\right) \cdot N_L \cdot Q_m
                                          \boldsymbol{d} = \left\lfloor \boldsymbol{\bar{M}}_{\text{sc, rvd}}^{\boldsymbol{\bar{\Phi}}} \left(\boldsymbol{l}\right) \cdot \boldsymbol{N}_{L} \cdot \boldsymbol{Q}_{\boldsymbol{m}} \middle/ \left(\boldsymbol{G}^{\text{ACK}}(\boldsymbol{i}) - \boldsymbol{m}_{\text{count}}^{\text{ACK}}(\boldsymbol{i})\right) \right\rfloor;
                                         m_{\text{count}}^{\text{RE}} = \left[ \left( G^{\text{ACK}}(i) - m_{\text{count}}^{\text{ACK}}(i) \right) / \left( N_L \cdot Q_m \right) \right];
                               end if
                              for j = 0 to m_{\text{count}}^{\text{RE}} - 1
                                          k = \overline{\Phi}_{l}^{\text{rvd}}(j \cdot d);
                                         for v = 0 to N_L \cdot Q_m - 1
                                                    \overline{g}_{l,k,v} = g_{m_{\text{count oll}}^{\text{ACK}}}^{\text{ACK}};
                                                    m_{\text{count,all}}^{\text{ACK}} = m_{\text{count,all}}^{\text{ACK}} + 1;
                                                    m_{\text{count}}^{\text{ACK}}(i) = m_{\text{count}}^{\text{ACK}}(i) + 1;
                                         end for
                               end for
                     end if
                     l = l + 1;
          end while
end for
```

end if

#### Step 6:

end for

```
Set t=0;

for l=0 to N_{\text{symb,all}}^{\text{PUSCH}}-1

for j=0 to M_{\text{sc}}^{\text{UL-SCH}}(l)-1

k=\Phi_l^{\text{UL-SCH}}(j);

for v=0 to N_L\cdot Q_m-1

g_t=\overline{g}_{l,k,v};

t=t+1;

end for

end for
```

# 6.3 Uplink control information

# 6.3.1 Uplink control information on PUCCH

The procedure in this subclause applies to PUCCH formats 2/3/4.

# 6.3.1.1 UCI bit sequence generation

#### 6.3.1.1.1 HARQ-ACK/SR only

If only HARQ-ACK bits are transmitted on a PUCCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined by setting  $a_i = \widetilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} - 1$  and  $A = O^{ACK}$ , where the HARQ-ACK bit sequence  $\widetilde{o}_0^{ACK}, \widetilde{o}_1^{ACK}, ..., \widetilde{o}_{O^{ACK}-1}^{ACK}$  is given by Subclause 9.1 of [5, TS38.213].

If only HARQ-ACK and SR bits are transmitted on a PUCCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined by setting  $a_i = \widetilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} - 1$ ,  $a_i = \widetilde{o}_i^{SR}$  for  $i = O^{ACK}, O^{ACK} + 1, ..., O^{ACK} + O^{SR} - 1$ , and  $A = O^{ACK} + O^{SR}$ , where the HARQ-ACK bit sequence  $\widetilde{o}_0^{ACK}, \widetilde{o}_1^{ACK}, ..., \widetilde{o}_{O^{ACK}-1}^{ACK}$  is given by Subclause 9.1 of [5, TS 38.213], and the SR bit sequence  $\widetilde{o}_0^{SR}, \widetilde{o}_1^{SR}, ..., \widetilde{o}_{O^{SR}-1}^{SR}$  is given by Subclause 9.2.5.1 of [5, TS 38.213].

#### 6.3.1.1.2 CSI only

The bitwidth for PMI of *codebookType=typeI-SinglePanel* with 2 CSI-RS ports is 2 for Rank=1 and 1 for Rank=2, according to Subclause 5.2.2.2.1 in [6, TS 38.214].

The bitwidth for PMI of codebookType=typeI-SinglePanel with more than 2 CSI-RS ports is provided in Tables 6.3.1.1.2-1, where the values of  $(N_1, N_2)$  and  $(O_1, O_2)$  are given by Subclause 5.2.2.2.1 in [6, TS 38.214].

Table 6.3.1.1.2-1: PMI of codebookType=typeI-SinglePanel

	Information field $X_1$ for wideband PMI			P	$X_2$ for wideband MI bband PMI	
	$(i_{1,1}$	$,i_{1,2}$ )	$i_{1,3}$	$i_2$		
	codebookMode=1	codebookMode=2	-,-	codebookMode=1	codebookMode=2	
Rank = 1 with >2 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$\left[\log_2 \frac{N_1 O_1}{2}\right],$ $\left[\log_2 \frac{N_2 O_2}{2}\right]$	N/A	2	4	
Rank = 1 with >2 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	N/A	2	4	
Rank=2 with 4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	1	1	3	
Rank=2 with >4 CSI-RS ports, $N_2 > 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \\ \left\lceil \log_2 \frac{N_2 O_2}{2} \right\rceil)$	2	1	3	
Rank=2 with >4 CSI-RS ports, $N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	$(\left\lceil \log_2\left(\frac{N_1O_1}{2}\right)\right\rceil, 0)$	2	1	3	
Rank=3 or 4, with 4 CSI-RS ports	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$		0	1		
Rank=3 or 4, with 8 or 12 CSI- RS ports	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left ,\left\lceil \log_2 N_2 O_2 \right\rceil\right $	2	1		
Rank=3 or 4, with >=16 CSI- RS ports	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 N_2 O_2 \right\rceil)$		2	1		
Rank=5 or 6	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$		N/A	1		
Rank=7 or 8, $N_1 = 4, N_2 = 1$	$(\left\lceil \log_2 \frac{N_1 O_1}{2} \right\rceil, \left\lceil \log_2 N_2 O_2 \right\rceil)$		N/A	1		
Rank=7 or 8, $N_1 > 2, N_2 = 2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 \frac{N_2 O_2}{2} \rceil)$		N/A	1		
Rank=7 or 8, with $N_1 > 4, N_2 = 1$	$(\lceil \log_2 N_1 O_1 \rceil)$	$\left ,\left\lceil \log_2 N_2 O_2 \right\rceil\right $	N/A	1		

or		
$N_1 = 2, N_2 = 2$		
or		
$N_1 > 2, N_2 > 2$		

The bitwidth for PMI of codebookType = typeI-MultiPanel is provided in Tables 6.3.1.1.2-2, where the values of  $\left(N_g, N_1, N_2\right)$  and  $\left(O_1, O_2\right)$  are given by Subclause 5.2.2.2.2 in [6, TS 38.214].

Table 6.3.1.1.2-2: PMI of codebookType= typel-MultiPanel

	Information fields $X_1$ for wideband			Information fields $X_2$ for wideband or per subband					
	$(i_{1,1},i_{1,2})$	$i_{1,3}$	$i_{1,4,1}$	$i_{1,4,2}$	$i_{1,4,3}$	$i_2$	$i_{2,0}$	$i_{2,1}$	$i_{2,2}$
Rank=1 with $N_g = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	N/A	N/A	2	N/A	N/A	N/A
Rank=1 with $N_g = 4$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	2	2	N/A	N/A	N/A
Rank=2 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	N/A	N/A	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_g = 2$ , $N_1 N_2 > 2$ $codebookMode = I$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	N/A	N/A	1	N/A	N/A	N/A
Rank=2 with $N_g = 4$ , $N_1 N_2 = 2$ $codebookMode = I$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	2	1	N/A	N/A	N/A
Rank=3 or 4 with $N_g = 4$ , $N_1 N_2 = 2$ $codebookMode=1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	2	1	N/A	N/A	N/A
Rank=2 or 3 or 4 with $N_g = 4$ , $N_1 N_2 > 2$ $codebookMode = 1$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	2	1	N/A	N/A	N/A

Rank=1 with $N_g = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	N/A	2	2	N/A	N/A	2	1	1
Rank=2 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	1	2	2	N/A	N/A	1	1	1
Rank=3 or 4 with $N_g = 2$ , $N_1 N_2 = 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	0	2	2	N/A	N/A	1	1	1
Rank=2 or 3 or 4 with $N_g = 2$ , $N_1 N_2 > 2$ $codebookMode=2$	$(\lceil \log_2 N_1 O_1 \rceil, \lceil \log_2 N_2 O_2 \rceil)$	2	2	2	N/A	N/A	1	1	1

The bitwidth for PMI with 1 CSI-RS port is 0.

The bitwidth for RI/LI/CQI/CRI of *codebookType=typeI-SinglePanel* is provided in Tables 6.3.1.1.2-3.

Table 6.3.1.1.2-3: RI, LI, CQI, and CRI of codebookType=typel-SinglePanel

			Bitwidth			
Field	1 antenna port	2 antenna	4 antenna	>4 antenna ports		
	i antenna port	ports	ports	Rank1~4	Rank5~8	
Rank Indicator	0	$\min(1,\lceil \log_2 n_{\text{RI}} \rceil)$	$\min(2, \lceil \log_2 n_{\text{RI}} \rceil)$	$\log_2 n_{\mathrm{RI}}$	$\lceil \log_2 n_{\mathrm{RI}} \rceil$	
Layer Indicator	0	$\lceil \log_2 v \rceil$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	$\min(2,\lceil \log_2 v \rceil)$	
Wide-band CQI for the first TB	4	4	4	4	4	
Wideband CQI for the second TB	0	0	0	0	4	
Subband differential CQI for the first TB	2	2	2	2	2	
Subband differential CQI for the second TB	0	0	0	0	2	
CRI	$\left\lceil \log_2 \left( K_s^{\text{CSI-RS}} \right) \right\rceil$	$\left\lceil \log_2\left(K_s^{\text{CSI-RS}}\right) \right\rceil$	$\left\lceil \log_2 \left( K_s^{\text{CSI-RS}} \right) \right\rceil$	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$	

 $n_{\rm RI}$  in Table 6.3.1.1.2-3 is the number of allowed rank indicator values according to Subclause 5.2.2.2.1 [6, TS 38.214]. v is the value of the rank. The value of  $K_s^{\rm CSI-RS}$  is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI/CRI of *codebookType= typeI-MultiPanel* is provided in Table 6.3.1.1.2-4.

Table 6.3.1.1.2-4: RI, LI, CQI, and CRI of codebookType=typel-MultiPanel

Field	Bitwidth
Rank Indicator	$\min(2,\lceil \log_2 n_{RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
CRI	$\lceil \log_2(K_s^{\text{CSI-RS}}) \rceil$

where  $n_{\rm RI}$  is the number of allowed rank indicator values according to Subclause 5.2.2.2.2 [6, TS 38.214],  $\nu$  is the value of the rank, and  $K_s^{\rm CSI-RS}$  is the number of CSI-RS resources in the corresponding resource set. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for RI/LI/CQI of *codebookType=typeII* or *codebookType=typeII-PortSelection* is provided in Table 6.3.1.1.2-5.

Table 6.3.1.1.2-5: RI, LI, and CQI of codebookType=typell or typell-PortSelection

Field	Bitwidth
Rank Indicator	$\min(1, \lceil \log_2 n_{\rm RI} \rceil)$
Layer Indicator	$\min(2,\lceil \log_2 v \rceil)$
Wide-band CQI	4
Subband differential CQI	2
Indicator of the number of non-zero wideband amplitude coefficients $\mathbfilde{M}_l$ for layer $l$	$\lceil \log_2(2L-1) \rceil$

where  $n_{RI}$  is the number of allowed rank indicator values according to Subclauses 5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and  $\mathcal{U}$  is the value of the rank. The values of the rank indicator field are mapped to allowed rank indicator values with increasing order, where '0' is mapped to the smallest allowed rank indicator value.

The bitwidth for CRI, SSBRI, RSRP, and differential RSRP are provided in Table 6.3.1.1.2-6.

Table 6.3.1.1.2-6: CRI, SSBRI, and RSRP

Field	Bitwidth
CRI	$\left\lceil \log_2(K_s^{\text{CSI-RS}}) \right\rceil$
SSBRI	$\lceil \log_2(K_s^{\text{SSB}}) \rceil$
RSRP	7
Differential RSRP	4

where  $K_s^{\text{CSI-RS}}$  is the number of CSI-RS resources in the corresponding resource set, and  $K_s^{\text{SSB}}$  is the configured number of SS/PBCH blocks in the corresponding resource set for reporting 'ssb-Index-RSRP'.

Table 6.3.1.1.2-7: Mapping order of CSI fields of one CSI report, pmi-FormatIndicator=widebandPMI and cqi-FormatIndicator=widebandCQI

CSI report number	CSI fields
	CRI as in Tables 6.3.1.1.2-3/4, if reported
	Rank Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4, if reported
	Zero padding bits $\mathit{O}_{\scriptscriptstyle{P}}$ , if needed
CSI report #n	PMI wideband information fields $X_{1}^{}$ , from left to right as in Tables 6.3.1.1.2-1/2, if reported
	PMI wideband information fields $X_{2}$ , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214], if reported
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4, if reported
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4, if reported

The number of zero padding bits  $O_p$  in Table 6.3.1.1.2-7 is 0 for 1 CSI-RS port and  $O_P = N_{\text{max}} - N_{\text{reported}}$  for more than 1 CSI-RS port, where

- $-N_{\max} = \max_{r \in S_{\text{Rank}}} B(r) \text{ and } S_{\text{Rank}} \text{ is the set of rank values } r \text{ that are allowed to be reported;}$
- $N_{\text{reported}} = B(R)$ , where R is the reported rank;
- For 2 CSI-RS ports,  $B(r) = N_{\text{PMI}}(r) + N_{\text{COI}}(r) + N_{\text{LI}}(r)$ ;
- For more than 2 CSI-RS ports,  $B(r) = N_{\text{PMLi1}}(r) + N_{\text{PMLi2}}(r) + N_{\text{COI}}(r) + N_{\text{LI}}(r)$ ;
- if PMI is reported,  $N_{\text{PMI}}(1) = 2$  and  $N_{\text{PMI}}(2) = 1$ ; otherwise,  $N_{\text{PMI}}(r) = 0$ ;
- if PMI  $i_1$  is reported,  $N_{\text{PMI},i_1}(r)$  is obtained according to Tables 6.3.1.1.2-1/2; otherwise,  $N_{\text{PMI},i_1}(r) = 0$ ;
- if PMI  $i_2$  is reported,  $N_{\text{PMI},i_2}(r)$  is obtained according to Tables 6.3.1.1.2-1/2; otherwise,  $N_{\text{PMI},i_2}(r) = 0$ ;
- if CQI is reported,  $N_{\text{COI}}(r)$  is obtained according to Tables 6.3.1.1.2-3/4; otherwise,  $N_{\text{COI}}(r) = 0$ ;
- if LI is reported,  $N_{LI}(r)$  is obtained according to Tables 6.3.1.1.2-3/4; otherwise,  $N_{LI}(r) = 0$ .

Table 6.3.1.1.2-8: Mapping order of CSI fields of one report for CRI/RSRP or SSBRI/RSRP reporting

CSI report number	CSI fields
	CRI or SSBRI #1 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #2 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #3 as in Table 6.3.1.1.2-6, if reported
	CRI or SSBRI #4 as in Table 6.3.1.1.2-6, if reported
CSI report #n	RSRP #1 as in Table 6.3.1.1.2-6, if reported
CSI Tepoit #II	Differential RSRP #2 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #3 as in Table 6.3.1.1.2-6, if reported
	Differential RSRP #4 as in Table 6.3.1.1.2-6, if reported

Table 6.3.1.1.2-9: Mapping order of CSI fields of one CSI report, CSI part 1, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields				
	CRI as in Tables 6.3.1.1.2-3/4, if reported				
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5, if reported				
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5, if reported				
	Subband differential CQI for the first TB with increasing order of subband number as in				
CSI report #n	Tables 6.3.1.1.2-3/4/5, if reported				
CSI part 1	Indicator of the number of non-zero wideband amplitude coefficients $M_0$ for layer 0 as in				
	Table 6.3.1.1.2-5, if reported				
	Indicator of the number of non-zero wideband amplitude coefficients $M_1$ for layer 1 as in Table				
	6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all				
	zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Subclauses				
	5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and if reported				
Note: Subbands for	Note: Subbands for given CSI report <i>n</i> indicated by the higher layer parameter <i>csi-ReportingBand</i> are numbered				
continuously in the increasing order with the lowest subband of csi-ReportingBand as subband 0.					

Table 6.3.1.1.2-10: Mapping order of CSI fields of one CSI report, CSI part 2 wideband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

CSI report number	CSI fields
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n CSI part 2	PMI wideband information fields $X_1^{}$ , from left to right as in Tables 6.3.1.1.2-1/2, if reported
wideband	PMI wideband information fields $X_{2}$ , from left to right as in Tables 6.3.1.1.2-1/2, or codebook
	index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214], if <i>pmi-</i> FormatIndicator= widebandPMI and if reported

Table 6.3.1.1.2-11: Mapping order of CSI fields of one CSI report, CSI part 2 subband, pmi-FormatIndicator= subbandPMI or cqi-FormatIndicator=subbandCQI

	Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_2$ of all even subbands with increasing order of subband number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of
CSI report #n	subband number, if <i>pmi-FormatIndicator= subbandPMI</i> and if reported
Part 2 subband	Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all odd subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2, or codebook index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

If none of the CSI reports for transmission on a PUCCH is of two parts, the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  starting with  $a_0$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

Table 6.3.1.1.2-12: Mapping order of CSI reports to UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , without two-part CSI report(s)

UCI bit sequence	CSI report number
$a_0$	CSI report #1 as in Table 6.3.1.1.2-7/8
$a_1$ $a_2$	CSI report #2 as in Table 6.3.1.1.2-7/8
$a_3$ :	
$a_{\scriptscriptstyle A-1}$	CSI report #n as in Table 6.3.1.1.2-7/8

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, \dots, a_{A^{(1)}-1}^{(1)}$  starting with  $a_0^{(1)}$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0^{(1)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ . The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0^{(2)}$ . If the length of UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, \dots, a_{A^{(2)}-1}^{(2)}$  is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

Table 6.3.1.1.2-13: Mapping order of CSI reports to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
$a_0^{(1)}$	CSI report #1 if CSI report #1 is not of two parts, or CSI report #1, CSI part 1, if CSI report #1 is of two parts, as in Table 6.3.1.1.2-7/8/9
$a_1^{(1)} \ a_2^{(1)}$	CSI report #2 if CSI report #2 is not of two parts, or CSI report #2, CSI part 1, if CSI report #2 is of two parts, as in Table 6.3.1.1.2-7/8/9
$a_3^{(1)}$ $\vdots$	
$a_{A^{(1)}-1}^{(1)}$	CSI report #n if CSI report #n is not of two parts, or CSI report #n, CSI part 1, if CSI report #n is of two parts, as in Table 6.3.1.1.2-7/8/9

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-13 correspond to the CSI reports in increasing order of CSI report priority values according to Subclause 5.2.5 of [6, TS38.214].

Table 6.3.1.1.2-14: Mapping order of CSI reports to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number
	CSI report #1, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #1
	CSI report #2, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #2
$a_0^{(2)}$	
$a_1^{(2)} \ a_2^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.1.1.2-10 if CSI part 2 exists for CSI report #n
$a_{3}^{(2)}$ $\vdots$ $a_{A^{(2)}-1}^{(2)}$	CSI report #1, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #1
	CSI report #2, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #2
	CSI report #n, CSI part 2 subband, as in Table 6.3.1.1.2-11 if CSI part 2 exists for CSI report #n

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.1.1.2-14 correspond to the CSI reports in increasing order of CSI report priority values according to Subclause 5.2.5 of [6, TS38.214].

# 6.3.1.1.3 HARQ-ACK/SR and CSI

If none of the CSI reports for transmission on a PUCCH is of two parts, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is generated according to the following, where  $A = O^{ACK} + O^{SR} + O^{CSI}$ :

- if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{O^{ACK}-1}$ , where  $a_i = \tilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} 1$ , the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is given by Subclause 9.1 of [5, TS38.213], and  $O^{ACK}$  is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set  $O^{ACK} = 0$ ;
- if there is SR for transmission on the PUCCH, set  $a_i = \tilde{o}_i^{SR}$  for  $i = O^{ACK}$ ,  $O^{ACK} + 1,...,O^{ACK} + O^{SR} 1$ , where the SR bit sequence  $\tilde{O}_0^{SR}$ ,  $\tilde{O}_1^{SR}$ ,..., $\tilde{O}_{O^{SR}-1}^{SR}$  is given by Subclause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set  $O^{SR} = 0$ ;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-12, are mapped to the UCI bit sequence  $a_{O^{\text{ACK}}+O^{\text{SR}}}, a_{O^{\text{ACK}}+O^{\text{SR}}+1}, ..., a_{O^{\text{ACK}}+O^{\text{SR}}+O^{\text{CSI}}-1}$  starting with  $a_{O^{\text{ACK}}+O^{\text{SR}}}$ , where  $O^{\text{CSI}}$  is the number of CSI bits.

If at least one of the CSI reports for transmission on a PUCCH is of two parts, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , according to the following, where  $A^{(1)} = O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}}$  and  $A^{(2)} = O^{\text{CSI-part2}}$ :

- if there is HARQ-ACK for transmission on the PUCCH, the HARQ-ACK bits are mapped to the UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{O^{ACK}_{-1}}^{(1)}$ , where  $a_i^{(1)} = \tilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK}_{-1}$ , the HARQ-ACK bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}_{-1}}^{ACK}$  is given by Subclause 9.1 of [5, TS38.213], and  $O^{ACK}_{-1}$  is number of HARQ-ACK bits; if there is no HARQ-ACK for transmission on the PUCCH, set  $O^{ACK}_{-1} = 0$ ;

- if there is SR for transmission on the PUCCH, set  $a_i = \tilde{o}_i^{SR}$  for  $i = O^{ACK}$ ,  $O^{ACK} + 1,...,O^{ACK} + O^{SR} 1$ , where the SR bit sequence  $\tilde{o}_0^{SR}$ ,  $\tilde{o}_1^{SR}$ ,..., $\tilde{o}_{O^{SR}-1}^{SR}$  is given by Subclause 9.2.5.1 of [5, TS 38.213]; if there is no SR for transmission on the PUCCH, set  $O^{SR} = 0$ ;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-13, are mapped to the UCI bit sequence  $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}, a_{O^{\text{ACK}}+O^{\text{SR}}+1}^{(1)}, ..., a_{O^{\text{ACK}}+O^{\text{SR}}+O^{\text{CSI-partI}}-1}^{(1)}$  starting with  $a_{O^{\text{ACK}}+O^{\text{SR}}}^{(1)}$ , where  $O^{\text{CSI-partI}}$  is the number of CSI bits in CSI part 1 of all CSI reports;
- the CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.1.1.2-14, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ , where  $O^{\text{CSI-part2}}$  is the number of CSI bits in CSI part 2 of all CSI reports. If the length of UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  is less than 3 bits, zeros shall be appended to the UCI bit sequence until its length equals 3.

# 6.3.1.2 Code block segmentation and CRC attachment

The UCI bit sequence from subclause 6.3.1.1 is denoted by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A is the payload size. The procedure in 6.3.1.2.1 applies for  $A \ge 12$  and the procedure in Subclause 6.3.1.2.2 applies for  $A \le 11$ .

#### 6.3.1.2.1 UCI encoded by Polar code

If the payload size  $A \ge 12$ , code block segmentation and CRC attachment is performed according to Subclause 5.2.1. If  $(A \ge 360 \text{ and } E \ge 1088)$  or if  $A \ge 1013$ ,  $I_{seg} = 1$ ; otherwise  $I_{seg} = 0$ , where E is the rate matching output sequence length as given in Subclause 6.3.1.4.1.

If  $12 \le A \le 19$ , the parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  in Subclause 5.2.1 are computed by setting L to 6 bits and using the generator polynomial  $g_{\text{CRC6}}(D)$  in Subclause 5.1, resulting in the sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$  where r is the code block number and  $K_r$  is the number of bits for code block number r.

If  $A \ge 20$ , the parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  in Subclause 5.2.1 are computed by setting L to 11 bits and using the generator polynomial  $g_{\text{CRCII}}(D)$  in Subclause 5.1, resulting in the sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$  where r is the code block number and  $K_r$  is the number of bits for code block number r.

#### 6.3.1.2.2 UCI encoded by channel coding of small block lengths

If the payload size  $A \le 11$ , CRC bits are not attached.

The output bit sequence is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where  $c_i = a_i$  for i = 0, 1, ..., A-1 and K = A.

#### 6.3.1.3 Channel coding of UCI

#### 6.3.1.3.1 UCI encoded by Polar code

Information bits are delivered to the channel coding block. They are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually encoded by the following:

If  $18 \le K_r \le 25$ , the information bits are encoded via Polar coding according to Subclause 5.3.1, by setting  $n_{\max} = 10$ ,  $I_{IL} = 0$ ,  $n_{PC} = 3$ ,  $n_{PC}^{\text{wm}} = 1$  if  $E_r - K_r + 3 > 192$  and  $n_{PC}^{\text{wm}} = 0$  if  $E_r - K_r + 3 \le 192$ , where  $E_r$  is the rate matching output sequence length as given in Subclause 6.3.1.4.1.

If  $K_r > 30$ , the information bits are encoded via Polar coding according to Subclause 5.3.1, by setting  $n_{\rm max} = 10$ ,  $I_{IL} = 0$ ,  $n_{PC} = 0$ , and  $n_{PC}^{wm} = 0$ .

After encoding the bits are denoted by  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$ , where  $N_r$  is the number of coded bits in code block number r.

#### 6.3.1.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits.

The information bits are encoded according to Subclause 5.3.3.

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

# 6.3.1.4 Rate matching

For PUCCH formats 2/3/4, the total rate matching output sequence length  $E_{\rm tot}$  is given by Table 6.3.1.4-1, where  $N_{\rm symb,UCI}^{\rm PUCCH2}$ ,  $N_{\rm symb,UCI}^{\rm PUCCH3}$ , and  $N_{\rm symb,UCI}^{\rm PUCCH4}$  are the number of symbols carrying UCI for PUCCH formats 2/3/4 respectively;  $N_{\rm PRB}^{\rm PUCCH,2}$  and  $N_{\rm PRB}^{\rm PUCCH,3}$  are the number of PRBs that are determined by the UE for PUCCH formats 2/3 transmission respectively according to Subclause 9.2 of [5, TS38.213]; and  $N_{\rm SF}^{\rm PUCCH,4}$  is the spreading factor for PUCCH format 4.

Table 6.3.1.4-1: Total rate matching output sequence length  $E_{\text{tot}}$ 

DUCCU formed	Modulation order					
PUCCH format	QPSK	π/2-BPSK				
PUCCH format 2	$16 \cdot N_{ ext{symb,UCI}}^{ ext{PUCCH,2}} \cdot N_{ ext{PRB}}^{ ext{PUCCH,2}}$	N/A				
PUCCH format 3	$24 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,3}} \cdot N_{\mathrm{PRB}}^{\mathrm{PUCCH,3}}$	$12 \cdot N_{\text{symb,UCI}}^{\text{PUCCH,3}} \cdot N_{\text{PRB}}^{\text{PUCCH,3}}$				
PUCCH format 4	$24 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,4}} / N_{\mathrm{SF}}^{\mathrm{PUCCH,4}}$	$12 \cdot N_{\mathrm{symb,UCI}}^{\mathrm{PUCCH,4}} / N_{\mathrm{SF}}^{\mathrm{PUCCH,4}}$				

# 6.3.1.4.1 UCI encoded by Polar code

The input bit sequence to rate matching is  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

UCI(s) for transmission on a PUCCH	UCI for encoding	Value of $E_{ m UCI}$
HARQ-ACK	HARQ-ACK	$E_{ m UCI} = E_{ m tot}$
HARQ-ACK, SR	HARQ-ACK, SR	$E_{ m UCI} = E_{ m tot}$
CSI (CSI not of two parts)	CSI	$E_{\mathrm{UCI}} = E_{\mathrm{tot}}$
HARQ-ACK, CSI (CSI not of two parts)	HARQ-ACK, CSI	$E_{\text{UCI}} = E_{\text{tot}}$
HARQ-ACK, SR, CSI (CSI not of two parts)	HARQ-ACK, SR, CSI	$E_{\text{UCI}} = E_{\text{tot}}$
CSI	CSI part 1	$E_{\text{UCI}} = \min \left( E_{\text{tot}}, \left\lceil \left( O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min \left( E_{\text{tot}}, \left[ \left( O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right] \cdot Q_m \right)$
HARQ-ACK, CSI	HARQ-ACK, CSI part 1	$E_{\text{UCI}} = \min \left( E_{\text{tot}}, \left\lceil \left( O^{\text{ACK}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right\rceil \cdot Q_m \right)$
(CSI of two parts)	CSI part 2	$E_{\text{UCI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \left  \left( O^{\text{ACK}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_m \right  \cdot Q_m)$
HARQ-ACK, SR, CSI	HARQ-ACK, SR, CSI part 1	$E_{\text{UCI}} = \min \left( E_{\text{tot}}, \left\lceil \left( O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{UCI}}^{\text{max}} / Q_{m} \right\rceil \cdot Q_{m} \right)$
(CSI of two parts)	CSI part 2	$E_{\text{LICI}} = E_{\text{tot}} - \min(E_{\text{tot}}, \left[ \left( O^{\text{ACK}} + O^{\text{SR}} + O^{\text{CSI-part1}} + L \right) / R_{\text{LICI}}^{\text{max}} / Q_m \right] \cdot Q_m$

Table 6.3.1.4.1-1: Rate matching output sequence length  $E_{
m UCI}$ 

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where  $C_{\text{UCI}}$  is the number of code blocks for UCI determined according to Subclause 6.3.1.2.1 and the value of  $E_{\text{UCI}}$  is given by Table 6.3.1.4.1-1:

- $O^{ACK}$  is the number of bits for HARQ-ACK for transmission on the current PUCCH;
- $Q^{SR}$  is the number of bits for SR for transmission on the current PUCCH;
- O<sup>CSI-part1</sup> is the number of bits for CSI part 1 for transmission on the current PUCCH;
- $O^{\text{CSI-part2}}$  is the number of bits for CSI part 2 for transmission on the current PUCCH;
- if  $A \ge 360$ , L = 11; otherwise, L is the number of CRC bits determined according to subclause 6.3.1.2.1, where A equals  $O^{\text{CSI-part1}}$  for "CSI (CSI of two parts)", equals  $O^{\text{ACK}} + O^{\text{CSI-part1}}$  for "HARQ-ACK, CSI (CSI of two parts)", and equals  $O^{\text{ACK}} + O^{\text{CSI-part1}}$  for "HARQ-ACK, SR, CSI (CSI of two parts)" respectively in Table 6.3.1.4.1-1;;
- $R_{\text{LICI}}^{\text{max}}$  is the configured maximum PUCCH coding rate;
- $E_{\text{tot}}$  is given by Table 6.3.1.4-1.

The output bit sequence after rate matching is denoted as  $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

## 6.3.1.4.2 UCI encoded by channel coding of small block lengths

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

The value of  $E_{\rm UCL}$  is determined according to Table 6.3.1.4.1-1 by setting L=0 .

Rate matching is performed according to Subclause 5.4.3 by setting the rate matching output sequence length  $E = E_{\text{LICI}}$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 6.3.1.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,...,  $f_{r(E_r-1)}$ , for r = 0,..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Subclause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G'-1}$ , where  $G' = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor \cdot C_{\text{UCI}}$  with the values of  $E_{\text{UCI}}$  and  $C_{\text{UCI}}$  given in Subclause 6.3.1.4.1. Let G be the total number of coded bits for transmission and  $G = G' + \text{mod}(E_{\text{UCI}}, C_{\text{UCI}})$ . Set  $g_i = 0$  for i = G', G' + 1, ..., G - 1.

# 6.3.1.6 Multiplexing of coded UCI bits to PUCCH

If CSI of two parts are transmitted on a PUCCH, the coded bits corresponding to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  is denoted by  $g_0^{(1)}, g_1^{(1)}, g_2^{(1)}, g_3^{(1)}, ..., g_{G^{(1)}-1}^{(1)}$  and the coded bits corresponding to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  is denoted by  $g_0^{(2)}, g_1^{(2)}, g_2^{(2)}, g_3^{(2)}, ..., g_{G^{(2)}-1}^{(2)}$ . The coded bit sequence  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where  $G = G^{(1)} + G^{(2)}$ , is generated according to the following.

Number of UCI **PUCCH** 1st UCI symbol 2<sup>nd</sup> UCI symbol 3rd UCI symbol **PUCCH DMRS** symbol indices duration indices set  $S_{\mathrm{UCI}}^{(2)}$ indices set  $S_{\rm UCI}^{(1)}$ indices set  $S_{
m UCI}^{(3)}$ symbol indices sets  $N_{
m UCI}^{
m set}$ (symbols) {1}  $\{0,2\}$ {3}  $\{0,2\}$  $\{1,3\}$ 1  $\{1, 2, 4\}$ 5  $\{0, 3\}$ {0, 2, 3, 5} 1 6  $\{1, 4\}$ {1, 4}  $\{0, 2, 3, 5\}$ {6} 8  $\{1, 5\}$ 2  $\{0, 2, 4, 6\}$  ${3, 7}$  $\{0, 2, 5, 7\}$ 9  $\{1, 6\}$ 2  $\{3, 4, 8\}$ 2 {1, 3, 6, 8} 10  $\{2, 7\}$ {0, 4, 5, 9} 10 {1, 3, 6, 8} {0,2,4,5,7,9} 11 3 {1,3,6,8}  $\{0,4,5,9\}$ {10}  $\{2, 7\}$ 11 {1,3,6,9} 1  $\{0,2,4,5,7,8,10\}$ {0,4,6,10} {5, 11} 3 12  $\{2, 8\}$ {1,3,7,9} {0,2,3,5,6,8,9,11} 12 {1,4,7,10} 1 {0,4,7,11} {1,3,8,10} 13 3 {5,6,12}  $\{2, 9\}$ {0,2,3,5,6,8,10,12} 13 {1,4,7,11} 2 {9} {1,5,8,12} {0,6,7,13}  ${3, 10}$ {2,4,9,11} 14 {0,2,4,6,7,9,11,13} {1,5,8,12}  ${3, 10}$ 

Table 6.3.1.6-1: PUCCH DMRS and UCI symbols

Denote  $s_l$  as UCI OFDM symbol index. Denote  $N_{\text{UCI}}^{(i)}$  as the number of elements in UCI symbol indices set  $S_{\text{UCI}}^{(i)}$  for  $i=1,...,N_{\text{UCI}}^{\text{set}}$ , where  $S_{\text{UCI}}^{(i)}$  and  $N_{\text{UCI}}^{\text{set}}$  are given by Table 6.3.1.6-1 according to the PUCCH duration and the PUCCH DMRS configuration. Denote  $N_{\text{symb,UCI}}^{\text{PUCCH,}} = \sum_{i=1}^{N_{\text{UCI}}^{\text{set}}} N_{\text{UCI}}^{(i)}$  as the number of OFDM symbols carrying UCI in the PUCCH.

Denote  $Q_m$  as the modulation order of the PUCCH.

For PUCCH format 3, set  $N_{\rm UCI}^{\rm symbol} = 12 \cdot N_{\rm PRB}^{\rm PUCCH,3}$ , where  $N_{\rm PRB}^{\rm PUCCH,3}$  is the number of PRBs that is determined by the UE for PUCCH format 3 transmission according to Subclause 9.2 of [5, TS 38.213].

For PUCCH format 4, set  $N_{\rm UCI}^{\rm symbol} = 12/N_{\rm SF}^{\rm PUCCH,4}$ , where  $N_{\rm SF}^{\rm PUCCH,4}$  is the spreading factor for PUCCH format 4.

Find the smallest j > 0 such that  $\left(\sum_{i=1}^{j} N_{\text{UCI}}^{(i)}\right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \ge G^{(1)}$ .

Set  $n_1 = 0$ ;

Set  $n_2 = 0$ ;

$$\text{Set} \ \ \overline{N}_{\text{UCI}}^{\text{symbol}} = \left \lfloor \left( G^{(1)} - \left( \sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)} \right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m \right) \middle / \left( N_{\text{UCI}}^{(j)} \cdot Q_m \right) \right \vert;$$

Set 
$$M = \text{mod}\left(\left(G^{(1)} - \left(\sum_{i=1}^{j-1} N_{\text{UCI}}^{(i)}\right) \cdot N_{\text{UCI}}^{\text{symbol}} \cdot Q_m\right) \middle/ Q_m, N_{\text{UCI}}^{(j)}\right);$$

for 
$$l = 0$$
 to  $N_{\text{symb,UCI}}^{\text{PUCCH,}} - 1$ 

if 
$$S_l \in \bigcup_{i=1}^{j-1} S_{\text{UCI}}^{(i)}$$

for 
$$k = 0$$
 to  $N_{\text{UCI}}^{\text{symbol}} - 1$ 

for 
$$v = 0$$
 to  $Q_m - 1$ 

$$\overline{g}_{l,k,\nu} = g_{n_1}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

elseif  $s_l \in S_{\text{UCI}}^{(j)}$ 

if M > 0

$$\gamma = 1$$
;

else

$$\gamma = 0$$
;

end if

$$M = M - 1$$
;

for 
$$k = 0$$
 to  $\overline{N}_{\text{UCI}}^{\text{symbol}} + \gamma - 1$ 

for 
$$v = 0$$
 to  $Q_m - 1$ 

$$\overline{g}_{l,k,\nu}=g_{n_l}^{(1)};$$

$$n_1 = n_1 + 1$$
;

end for

end for

for 
$$k = \overline{N}_{\mathrm{UCI}}^{\mathrm{symbol}} + \gamma$$
 to  $N_{\mathrm{UCI}}^{\mathrm{symbol}} - 1$ 

```
for v = 0 to Q_m - 1
                     \overline{g}_{l,k,v} = g_{n_2}^{(2)};
                     n_2 = n_2 + 1;
               end for
          end for
     else
          for k = 0 to N_{\text{UCI}}^{\text{symbol}} - 1
               for v = 0 to Q_m - 1
                     \overline{g}_{l,k,v} = g_{n_2}^{(2)};
                     n_2 = n_2 + 1;
               end for
          end for
     end if
end for
Set n = 0
for l = 0 to N_{\text{symb,UCI}}^{\text{PUCCH,}} - 1
     for k = 0 to N_{\text{UCI}}^{\text{symbol}} - 1
          for v = 0 to Q_m - 1
                g_n = \overline{g}_{l,k,v};
                n = n + 1;
          end for
     end for
```

# 6.3.2 Uplink control information on PUSCH

# 6.3.2.1 UCI bit sequence generation

#### 6.3.2.1.1 HARQ-ACK

end for

If HARQ-ACK bits are transmitted on a PUSCH, the UCI bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is determined as follows:

- If UCI is transmitted on PUSCH without UL-SCH and the UCI includes CSI part 1 without CSI part 2,
  - if there is no HARQ-ACK bit given by Subclause 9.1 of [5, TS 38.213], set  $a_0 = 0$ ,  $a_1 = 0$ , and A = 2;

- if there is only one HARQ-ACK bit  $\widetilde{o}_0^{ACK}$  given by Subclause 9.1 of [5, TS 38.213], set  $a_0 = \widetilde{o}_0^{ACK}$ ,  $a_1 = 0$ , and A = 2;
- otherwise, set  $a_i = \widetilde{o}_i^{ACK}$  for  $i = 0, 1, ..., O^{ACK} 1$  and  $A = O^{ACK}$ , where the HARQ-ACK bit sequence  $\widetilde{o}_0^{ACK}, \widetilde{o}_1^{ACK}, ..., \widetilde{o}_{O^{ACK}-1}^{ACK}$  is given by Subclause 9.1 of [5, TS 38.213].

# 6.3.2.1.2 CSI

The bitwidth for PMI of *codebookType=typeI-SinglePanel* and *codebookType=typeI-MultiPanel* is specified in Subclause 6.3.1.1.2.

The bitwidth for RI/LI/CQI/CRI of *codebookType=typeI-SinglePanel* and *codebookType=typeI-MultiPanel* is specified in Subclause 6.3.1.1.2.

The bitwidth for PMI of codebookType=typeII is provided in Tables 6.3.2.1.2-1, where the values of  $(N_1, N_2)$ ,  $(O_1, O_2)$ , L,  $N_{PSK}$ ,  $M_1$ ,  $M_2$ , and  $K^{(2)}$  are given by Subclause 5.2.2.2.3 in [6, TS 38.214].

Table 6.3.2.1.2-1: PMI of codebookType= typell

	Info	mation fie	elds $X_1$ for	or wide	band PMI		Information fields $X_2$ for wideband PMI or per subband PMI			
	$i_{1,1}$	$i_{1,2}$	$i_{1,3,1}$	$i_{1,4,1}$	$i_{1,3,2}$	$i_{1,4,2}$	$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$
Rank=1 SBAmp off	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1 - 1) \cdot \log_2 N_{\text{PSK}}$	N/A	N/A	N/A
Rank=2 SBAmp off	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1-1)\cdot \log_2 N_{\text{PSK}}$	$(M_2 - 1) \cdot \log_2 N_{\text{PSK}}$	N/A	N/A
Rank=1 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{split} & \min \! \left( \! M_1, K^{(2)} \right) \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left( \! M_1 - \min \! \left( \! M_1, K^{(2)} \right) \! \right) \end{split}$	N/A	$\min\left(M_{1},K^{(2)}\right)-1$	N/A
Rank=2 SBAmp on	$\lceil \log_2(O_1O_2) \rceil$	$\left\lceil \log_2 \binom{N_1 N_2}{L} \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{split} & \min \! \left( \! M_1, K^{(2)} \right) \! \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left( \! M_1 \! - \! \min \! \left( \! M_1, K^{(2)} \right) \! \right) \end{split}$	$\begin{aligned} & \min(M_2, K^{(2)}) \cdot \log_2 N_{\text{PSK}} \\ & - \log_2 N_{\text{PSK}} \\ & + 2 \cdot \left(M_2 - \min(M_2, K^{(2)})\right) \end{aligned}$	$\min(M_1, K^{(2)}) - 1$	$\min(M_2, K^{(2)}) - 1$

The bitwidth for PMI of codebookType = typeII-PortSelection is provided in Tables 6.3.2.1.2-2, where the values of  $P_{CSI-RS}$ , d, L,  $N_{PSK}$ ,  $M_1$ ,  $M_2$ , and  $K^{(2)}$  are given by Subclause 5.2.2.2.4 in [6, TS 38.214].

Table 6.3.2.1.2-2: PMI of codebookType= typell-PortSelection

	Informa	tion fields	$X_1$ for wi	deband PN	ЛI	Information field	ds $X_2$ for wideba	and PMI or p	er subband
	$i_{1,1}$	$i_{1,3,1}$	$i_{1,4,1}$	$i_{1,3,2}$	$i_{1,4,2}$	$i_{2,1,1}$	$i_{2,1,2}$	$i_{2,2,1}$	$i_{2,2,2}$
Rank=1	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$(M_1-1)\cdot \log_2 N_{PSK}$	N/A	N/A	N/A

SBAmp off									
Rank=2 SBAmp off	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$(M_1 - 1) \cdot \log_2 N_{PSK}$	$(M_2 - 1) \cdot \log_2 N_{\text{PSK}}$	N/A	N/A
Rank=1 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	N/A	N/A	$\begin{split} & \min \left( \! M_1, K^{(2)} \right) \cdot \log_2 N_{\mathrm{PSK}} \\ & - \log_2 N_{\mathrm{PSK}} \\ & + 2 \cdot \left( \! M_1 - \min \left( \! M_1, K^{(2)} \right) \! \right) \end{split}$	N/A	$\min(M_1, K^{(2)}) - 1$	N/A
Rank=2 SBAmp on	$\left\lceil \log_2 \left\lceil \frac{P_{CSI-RS}}{2d} \right\rceil \right\rceil$	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\lceil \log_2(2L) \rceil$	3(2L-1)	$\begin{split} & \min \! \left( \! M_1, K^{(2)} \right) \cdot \log_2 N_{\text{PSK}} \\ & - \log_2 N_{\text{PSK}} \\ & + 2 \cdot \! \left( \! M_1 \! - \! \min \! \left( \! M_1, K^{(2)} \right) \! \right) \end{split}$	$\min(M_{2}, K^{(2)}) \cdot \log_{2} N_{PSK}$ $- \log_{2} N_{PSK}$ $+ 2 \cdot (M_{2} - \min(M_{2}, K^{(2)}))$	$\min(M_1, K^{(2)}) - 1$	$\min(M_2,K^{(2)})-1$

For CSI on PUSCH, two UCI bit sequences are generated,  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  and  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-6, are mapped to the UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$  starting with  $a_0^{(1)}$ . The CSI fields of all CSI reports, in the order from upper part to lower part in Table 6.3.2.1.2-7, are mapped to the UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$  starting with  $a_0^{(2)}$ .

Table 6.3.2.1.2-3: Mapping order of CSI fields of one CSI report, CSI part 1

CSI report number	CSI fields					
	CRI or SSBRI as in Tables 6.3.1.1.2-3/4/6, if reported					
	Rank Indicator as in Tables 6.3.1.1.2-3/4/5, if reported					
	Wideband CQI for the first TB as in Tables 6.3.1.1.2-3/4/5, if reported					
	Subband differential CQI for the first TB with increasing order of subband number as in Tables 6.3.1.1.2-3/4/5, if reported					
CSI report #n CSI part 1	Indicator of the number of non-zero wideband amplitude coefficients $M_0$ for layer 0 as in Table 6.3.1.1.2-5, if reported					
Ooi part 1	Indicator of the number of non-zero wideband amplitude coefficients $M_1$ for layer 1 as in Table 6.3.1.1.2-5 (if the rank according to the reported RI is equal to one, this field is set to all					
	zeros), if 2-layer PMI reporting is allowed according to the rank restriction in Subclauses					
	5.2.2.2.3 and 5.2.2.2.4 [6, TS 38.214] and if reported					
	RSRP as in Table 6.3.1.1.2-6, if reported					
	Differential RSRP as in Table 6.3.1.1.2-6, if reported					
Note: Subbands for given CSI report <i>n</i> indicated by the higher layer parameter <i>csi-ReportingBand</i> are numbered						
continuously	continuously in the increasing order with the lowest subband of csi-ReportingBand as subband 0.					

Table 6.3.2.1.2-4: Mapping order of CSI fields of one CSI report, CSI part 2 wideband

CSI report number	CSI fields
	Wideband CQI for the second TB as in Tables 6.3.1.1.2-3/4/5, if present and reported
	Layer Indicator as in Tables 6.3.1.1.2-3/4/5, if reported
CSI report #n	PMI wideband information fields $X_{\rm 1}$ , from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-
CSI part 2	1/2, if reported
wideband	PMI wideband information fields $X_{2}$ , from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-
	1/2, or codebook index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214], if pmi-FormatIndicator= widebandPMI and if reported

Table 6.3.2.1.2-5: Mapping order of CSI fields of one CSI report, CSI part 2 subband

	Subband differential CQI for the second TB of all even subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all even subbands with increasing order of subband
CSI report #n Part 2 subband	number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214] of all even subbands with increasing order of subband number, if <i>pmi-FormatIndicator=</i> subbandPMI and if reported
	Subband differential CQI for the second TB of all odd subbands with increasing order of subband number, as in Tables 6.3.1.1.2-3/4/5, if cqi-FormatIndicator=subbandCQI and if reported
	PMI subband information fields $X_{2}$ of all odd subbands with increasing order of subband
	number, from left to right as in Tables 6.3.1.1.2-1/2 or 6.3.2.1.2-1/2, or codebook index for 2 antenna ports according to Subclause 5.2.2.2.1 in [6, TS38.214] of all odd subbands with increasing order of subband number, if pmi-FormatIndicator= subbandPMI and if reported

Note: Subbands for given CSI report *n* indicated by the higher layer parameter *csi-ReportingBand* are numbered continuously in the increasing order with the lowest subband of *csi-ReportingBand* as subband 0.

Table 6.3.2.1.2-6: Mapping order of CSI reports to UCI bit sequence  $a_0^{(1)}, a_1^{(1)}, a_2^{(1)}, a_3^{(1)}, ..., a_{A^{(1)}-1}^{(1)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number						
$a_0^{(1)}$	CSI part 1 of CSI report #1 as in Table 6.3.2.1.2-3						
$a_1^{(1)} \ a_2^{(1)}$	CSI part 1 of CSI report #2 as in Table 6.3.2.1.2-3						
$a_3^{(1)} \ dots$							
$a_{_{A^{(1)}-1}}^{(1)}$	CSI part 1 of CSI report #n as in Table 6.3.2.1.2-3						

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-6 correspond to the CSI reports in increasing order of CSI report priority values according to Subclause 5.2.5 of [6, TS38.214].

Table 6.3.2.1.2-7: Mapping order of CSI reports to UCI bit sequence  $a_0^{(2)}, a_1^{(2)}, a_2^{(2)}, a_3^{(2)}, ..., a_{A^{(2)}-1}^{(2)}$ , with two-part CSI report(s)

UCI bit sequence	CSI report number						
	CSI report #1, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #1						
	CSI report #2, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #2						
$a_0^{(2)}$	::						
$a_1^{(2)} \ a_2^{(2)}$	CSI report #n, CSI part 2 wideband, as in Table 6.3.2.1.2-4 if CSI part 2 exists for CSI report #n						
$a_3^{(2)}$ $\vdots$	CSI report #1, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #1						
$a_{{}_{A^{(2)}-1}}^{(2)}$	CSI report #2, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #2						
	CSI report #n, CSI part 2 subband, as in Table 6.3.2.1.2-5 if CSI part 2 exists for CSI report #n						

where CSI report #1, CSI report #2, ..., CSI report #n in Table 6.3.2.1.2-7 correspond to the CSI reports in increasing order of CSI report priority values according to Subclause 5.2.5 of [6, TS38.214].

# 6.3.2.2 Code block segmentation and CRC attachment

Denote the bits of the payload by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , where A is the payload size. The procedure in 6.3.2.2.1 applies for  $A \ge 12$  and the procedure in Subclause 6.3.2.2.2 applies for  $A \le 11$ .

# 6.3.2.2.1 UCI encoded by Polar code

Code block segmentation and CRC attachment is performed according to Subclause 6.3.1.2.1.

# 6.3.2.2.2 UCI encoded by channel coding of small block lengths

The procedure in Subclause 6.3.1.2.2 applies.

# 6.3.2.3 Channel coding of UCI

#### 6.3.2.3.1 UCI encoded by Polar code

Channel coding is performed according to Subclause 6.3.1.3.1, except that the rate matching output sequence length  $E_{\rm r}$  is given in Subclause 6.3.2.4.1.

# 6.3.2.3.2 UCI encoded by channel coding of small block lengths

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits.

The information bits are encoded according to Subclause 5.3.3.

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

# 6.3.2.4 Rate matching

#### 6.3.2.4.1 UCI encoded by Polar code

#### 6.3.2.4.1.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{\text{ACK}}' = \min \left\{ \begin{bmatrix} (O_{\text{ACK}} + L_{\text{ACK}}) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ \sum_{r=0}^{C_{\text{UL}-\text{SCH}} - 1} \boldsymbol{K}_{r} \end{bmatrix}, \boldsymbol{\alpha} \cdot \sum_{l=l_{0}}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) \right\}$$

where

- $O_{\rm ACK}$  is the number of HARQ-ACK bits;
- if O<sub>ACK</sub> ≥ 360, L<sub>ACK</sub> =11; otherwise L<sub>ACK</sub> is the number of CRC bits for HARQ-ACK determined according to Subclause 6.3.1.2.1;
- $-\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}};$
- $C_{\mathrm{UL-SCH}}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter *scaling*;
- $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission.

For HARQ-ACK transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{ACK}$ , is determined as follows:

$$Q_{\text{ACK}}' = \min \left\{ \left\lceil \frac{\left(O_{\text{ACK}} + L_{\text{ACK}}\right) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_{m}} \right\rceil, \left\lceil \alpha \cdot \sum_{l=l_{0}}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) \right\rceil \right\}$$

where

- $O_{
  m ACK}$  is the number of HARQ-ACK bits;
- if  $O_{\text{ACK}} \ge 360$ ,  $L_{\text{ACK}} = 11$ ; otherwise  $L_{\text{ACK}}$  is the number of CRC bits for HARQ-ACK defined according to Subclause 6.3.1.2.1;;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{HARQ-ACK}}$
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ ;
- $l_0$  is the symbol index of the first OFDM symbol that does not carry DMRS of the PUSCH, after the first DMRS symbol(s), in the PUSCH transmission;
- R is the code rate of the PUSCH, determined according to Subclause 6.1.4.1 of [6, TS38.214];
- $Q_m$  is the modulation order of the PUSCH;
- $\alpha$  is configured by higher layer parameter scaling.

The input bit sequence to rate matching is  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\rm UCI}$  is the number of code blocks for UCI determined according to Subclause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{ACK}} \cdot Q_m$ .

The output bit sequence after rate matching is denoted as  $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

#### 6.3.2.4.1.2 CSI part 1

For CSI part 1 transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI-part1}}$ , is determined as follows:

$$Q'_{\text{CSI-1}} = \min \left\{ \frac{\left(O_{\text{CSI-1}} + L_{\text{CSI-1}}\right) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l)}{\sum_{r=0}^{C_{\text{UI}_{-}\text{SCH}} - 1} \boldsymbol{K}_{r}} \right\}, \boldsymbol{\alpha} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} \boldsymbol{M}_{\text{sc}}^{\text{UCI}}(l) - \boldsymbol{Q}'_{\text{ACK}}$$

where

- $O_{\mathrm{CSI-1}}$  is the number of bits for CSI part 1;
- if  $O_{\text{CSI-1}} \ge 360$ ,  $L_{\text{CSI-1}} = 11$ ; otherwise  $L_{\text{CSI-1}}$  is the number of CRC bits for CSI part 1 determined according to Subclause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}}$ ;
- $C_{\text{UL-SCH}}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission:
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{\text{ACK}}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{\text{ACK}} = \sum_{l=0}^{N_{\text{symball}}^{\text{PUSCH}}-1} \overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$  if the number of HARQ-ACK information bits is no more than 2 bits, where  $\overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$  is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$ , in the PUSCH transmission, defined in Subclause 6.2.7;
- $M_{\text{sc}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} 1$ , in the PUSCH transmission and  $N_{\text{symb,all}}^{\text{PUSCH}}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ ;
- $\alpha$  is configured by higher layer parameter scaling.

For CSI part 1 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI-part1}}$ , is determined as follows:

if there is CSI part 2 to be transmitted on the PUSCH,

$$Q'_{\text{CSI-1}} = \min \left\{ \left[ \frac{\left( O_{\text{CSI-1}} + L_{\text{CSI-1}} \right) \cdot \boldsymbol{\beta}_{\text{offset}}^{\text{PUSCH}}}{R \cdot Q_m} \right], \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} \boldsymbol{M}_{\text{sc}}^{\text{UCI}} \left( l \right) - Q'_{\text{ACK}} \right\}$$

else

$$Q'_{\text{CSI-1}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}}$$

end if

where

- $O_{\text{CSI-1}}$  is the number of bits for CSI part 1;
- if  $O_{\text{CSI-1}} \ge 360$ ,  $L_{\text{CSI-1}} = 11$ ; otherwise  $L_{\text{CSI-1}}$  is the number of CRC bits for CSI part 1 determined according to Subclause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part1}}$ ;
- $M_{\rm sc}^{
  m PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{\text{ACK}}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{\text{ACK}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}}-1} \overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$  if the number of HARQ-ACK information bits is no more than 2 bits, where  $\overline{M}_{\text{sc, rvd}}^{\text{ACK}}(l)$  is the number of reserved resource elements for potential HARQ-ACK transmission in OFDM symbol l, for  $l=0,1,2,...,N_{\text{symb,all}}^{\text{PUSCH}}-1$ , in the PUSCH transmission, defined in Subclause 6.2.7;
- $M_{\rm sc}^{\rm UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\rm symb, all}^{\rm PUSCH} 1$ , in the PUSCH transmission and  $N_{\rm symb, all}^{\rm PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ ;
- R is the code rate of the PUSCH, determined according to Subclause 6.1.4.1 of [6, TS38.214];
- $Q_m$  is the modulation order of the PUSCH.

The input bit sequence to rate matching is  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, ..., d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = \lfloor E_{\text{UCI}} / C_{\text{UCI}} \rfloor$ , where

- $C_{\text{LICT}}$  is the number of code blocks for UCI determined according to Subclause 5.2.1;
- $N_L$  is the number of transmission layers of the PUSCH;

- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSL1}} \cdot Q_m.$

The output bit sequence after rate matching is denoted as  $f_{r_0}, f_{r_1}, f_{r_2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

#### 6.3.2.4.1.3 CSI part 2

For CSI part 2 transmission on PUSCH with UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI-part2}}$ , is determined as follows:

$$Q'_{\text{CSI-2}} = \min \left\{ \begin{bmatrix} (O_{\text{CSI-2}} + L_{\text{CSI-2}}) \cdot \beta_{\text{offset}}^{\text{PUSCH}} \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} M_{\text{sc}}^{\text{UCI}}(l) \\ \vdots \\ \sum_{r=0}^{C_{\text{UL-SCH}} - l} K_r \end{bmatrix}, \begin{bmatrix} \alpha \cdot \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - l} M_{\text{sc}}^{\text{UCI}}(l) \end{bmatrix} - Q'_{\text{ACK}} - Q'_{\text{CSI-1}} \end{bmatrix} \right\}$$

where

- $O_{\text{CSI-2}}$  is the number of bits for CSI part 2;
- if  $O_{\text{CSI-2}} \ge 360$ ,  $L_{\text{CSI-2}} = 11$ ; otherwise  $L_{\text{CSI-2}}$  is the number of CRC bits for CSI part 2 determined according to Subclause 6.3.1.2.1;
- $\beta_{\text{offset}}^{\text{PUSCH}} = \beta_{\text{offset}}^{\text{CSI-part2}}$ ;
- $C_{\mathrm{UL-SCH}}$  is the number of code blocks for UL-SCH of the PUSCH transmission;
- if the DCI format scheduling the PUSCH transmission includes a CBGTI field indicating that the UE shall not transmit the r-th code block,  $K_r$ =0; otherwise,  $K_r$  is the r-th code block size for UL-SCH of the PUSCH transmission;
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{ACK} = 0$  if the number of HARQ-ACK information bits is 1 or 2 bits;
- $Q'_{\text{CSI-1}}$  is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{\text{sc}}^{\text{UCI}}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{\text{symb,all}}^{\text{PUSCH}} 1$ , in the PUSCH transmission and  $N_{\text{symb,all}}^{\text{PUSCH}}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{\rm sc}^{\rm UCI}(l) = M_{\rm sc}^{\rm PUSCH} M_{\rm sc}^{\rm PT-RS}(l)$ .

-  $\alpha$  is configured by higher layer parameter *scaling*.

For CSI part 2 transmission on PUSCH without UL-SCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI-part2}}$ , is determined as follows:

$$Q'_{\text{CSI-2}} = \sum_{l=0}^{N_{\text{symb,all}}^{\text{PUSCH}} - 1} M_{\text{sc}}^{\text{UCI}}(l) - Q'_{\text{ACK}} - Q'_{\text{CSI-1}}$$

where

- $M_{\rm sc}^{
  m PUSCH}$  is the scheduled bandwidth of the PUSCH transmission, expressed as a number of subcarriers;
- $M_{\rm sc}^{\rm PT-RS}(l)$  is the number of subcarriers in OFDM symbol l that carries PTRS, in the PUSCH transmission;
- $Q'_{ACK}$  is the number of coded modulation symbols per layer for HARQ-ACK transmitted on the PUSCH if number of HARQ-ACK information bits is more than 2, and  $Q'_{ACK} = 0$  if the number of HARQ-ACK information bits is 1 or 2 bits;
- $Q'_{\text{CSI-1}}$  is the number of coded modulation symbols per layer for CSI part 1 transmitted on the PUSCH;
- $M_{sc}^{UCI}(l)$  is the number of resource elements that can be used for transmission of UCI in OFDM symbol l, for  $l = 0, 1, 2, ..., N_{symb,all}^{PUSCH} 1$ , in the PUSCH transmission and  $N_{symb,all}^{PUSCH}$  is the total number of OFDM symbols of the PUSCH, including all OFDM symbols used for DMRS;
  - for any OFDM symbol that carries DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = 0$ ;
  - for any OFDM symbol that does not carry DMRS of the PUSCH,  $M_{sc}^{UCI}(l) = M_{sc}^{PUSCH} M_{sc}^{PT-RS}(l)$ .

The input bit sequence to rate matching is  $d_{r0}$ ,  $d_{r1}$ ,  $d_{r2}$ ,  $d_{r3}$ ,...,  $d_{r(N_r-1)}$  where r is the code block number, and  $N_r$  is the number of coded bits in code block number r.

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 1$  and the rate matching output sequence length to  $E_r = |E_{UCI}/C_{UCI}|$ , where

- $C_{\rm UCI}$  is the number of code blocks for UCI determined according to Subclause 5.2.1;
- $N_{i}$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH;
- $E_{\text{UCI}} = N_L \cdot Q'_{\text{CSI}2} \cdot Q_m$ .

The output bit sequence after rate matching is denoted as  $f_{r0}, f_{r1}, f_{r2}, ..., f_{r(E_r-1)}$  where  $E_r$  is the length of rate matching output sequence in code block number r.

# 6.3.2.4.2 UCI encoded by channel coding of small block lengths

#### 6.3.2.4.2.1 HARQ-ACK

For HARQ-ACK transmission on PUSCH, the number of coded modulation symbols per layer for HARQ-ACK transmission, denoted as  $Q'_{\rm ACK}$ , is determined according to Subclause 6.3.2.4.1.1, by setting the number of CRC bits L=0.

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Subclause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{ACK} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ 

#### 6.3.2.4.2.2 CSI part 1

For CSI part 1 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 1 transmission, denoted as  $Q'_{\text{CSI},1}$ , is determined according to Subclause 6.3.2.4.1.2, by setting the number of CRC bits L=0.

Rate matching is performed according to Subclause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{\text{CSI,1}} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 6.3.2.4.2.3 CSI part 2

For CSI part 2 transmission on PUSCH, the number of coded modulation symbols per layer for CSI part 2 transmission, denoted as  $Q'_{\text{CSI},2}$ , is determined according to Subclause 6.3.2.4.1.3, by setting the number of CRC bits L=0.

Rate matching is performed according to Subclause 5.4.3, by setting the rate matching output sequence length  $E = N_L \cdot Q'_{CSL2} \cdot Q_m$ , where

- $N_L$  is the number of transmission layers of the PUSCH;
- $Q_m$  is the modulation order of the PUSCH.

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

#### 6.3.2.5 Code block concatenation

Code block concatenation is performed according to Subclause 6.3.1.5, except that the values of  $E_{\rm UCI}$  and  $C_{\rm UCI}$  given in Subclause 6.3.2.4.1.

# 6.3.2.6 Multiplexing of coded UCI bits to PUSCH

The coded UCI bits are multiplexed onto PUSCH according to the procedures in Subclause 6.2.7.

# 7 Downlink transport channels and control information

# 7.1 Broadcast channel

Data arrives to the coding unit in the form of a maximum of one transport block every 80ms. The following coding steps can be identified:

- Payload generation
- Scrambling
- Transport block CRC attachment
- Channel coding
- Rate matching

# 7.1.1 PBCH payload generation

Denote the bits in a transport block delivered to layer 1 by  $\overline{a}_0$ ,  $\overline{a}_1$ ,  $\overline{a}_2$ ,  $\overline{a}_3$ ,...,  $\overline{a}_{\overline{A}-1}$ , where  $\overline{A}$  is the payload size generated by higher layers. The lowest order information bit  $\overline{a}_0$  is mapped to the most significant bit of the transport block as defined in Subclause 6.1.1 of [8, TS 38.321].

Generate the following additional timing related PBCH payload bits  $\overline{a}_{\overline{A}}, \overline{a}_{\overline{A}+1}, \overline{a}_{\overline{A}+2}, \overline{a}_{\overline{A}+3}, ..., \overline{a}_{\overline{A}+7}$ , where:

- $\overline{a}_{\overline{A}}$ ,  $\overline{a}_{\overline{A}+1}$ ,  $\overline{a}_{\overline{A}+2}$ ,  $\overline{a}_{\overline{A}+3}$  are the 4<sup>th</sup>, 3<sup>rd</sup>, 2<sup>nd</sup>, and 1<sup>st</sup> LSB of SFN, respectively;
- $\overline{a}_{\overline{A}+4}$  is the half frame bit  $\overline{a}_{HRF}$ ;
- if  $L_{\text{max}} = 64$

$$\overline{a}_{\overline{A}+5}, \overline{a}_{\overline{A}+6}, \overline{a}_{\overline{A}+7}$$
 are the 6th, 5th, and 4th bits of SS/PBCH block index, respectively.

else

 $\overline{a}_{\overline{A}+5}$  is the MSB of  $k_{\rm SSB}$  as defined in Subclause 7.4.3.1 of [4, TS 38.211].

$$\overline{a}_{\overline{A}+6}, \overline{a}_{\overline{A}+7}$$
 are reserved.

end if

Let 
$$A = \overline{A} + 8$$
;  $j_{\text{SFN}} = 0$ ;  $j_{\text{HRF}} = 10$ ;  $j_{\text{SSB}} = 11$ ;  $j_{\text{other}} = 14$ ;

for i = 0 to A - 1

if  $\overline{a}_i$  is an SFN bit

$$a_{G(i_{SEN})} = \overline{a}_i$$
;

$$j_{\rm SFN} = j_{\rm SFN} + 1;$$

elseif  $\overline{a}_i$  is the half radio frame bit

$$\begin{aligned} a_{G(j_{\text{HRF}})} &= \overline{a}_i \\ \text{elseif } \overline{A} + 5 \leq i \leq \overline{A} + 7 \\ a_{G(j_{\text{SSB}})} &= \overline{a}_i \,; \\ j_{\text{SSB}} &= j_{\text{SSB}} + 1 \,; \\ \text{else} \\ a_{G(j_{\text{Other}})} &= \overline{a}_i \,; \\ j_{\text{Other}} &= j_{\text{Other}} + 1 \,; \\ \text{end if} \end{aligned}$$

end for

where  $L_{\text{max}}$  is the number of candidate SS/PBCH blocks in a half frame according to Subclause 4.1 of [5, TS38.213], and the value of G(j) is given by Table 7.1.1-1.

Table 7.1.1-1: Value of PBCH payload interleaver pattern G(j)

j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)	j	G(j)
0	16	4	8	8	24	12	3	16	9	20	14	24	21	28	27
1	23	5	30	9	7	13	2	17	11	21	15	25	22	29	28
2	18	6	10	10	0	14	1	18	12	22	19	26	25	30	29
3	17	7	6	11	5	15	4	19	13	23	20	27	26	31	31

# 7.1.2 Scrambling

For PBCH transmission in a frame, the bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is scrambled into a bit sequence  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$ , where  $a'_i = (a_i + s_i) \mod 2$  for i = 0,1,...,A-1 and  $s_0, s_1, s_2, s_3, ..., s_{A-1}$  is generated according to the following:

i=0;

j = 0;

while i < A

if  $a_i$  corresponds to any one of the bits belonging to the SS/PBCH block index, the half frame index, and  $2^{nd}$  and  $3^{rd}$  least significant bits of the system frame number

$$s_i = 0$$
.

else

$$s_i = c(j + vM)$$

$$j = j + 1$$
;

end if

i = i + 1;

end while

The scrambling sequence c(i) is given by Subclause 5.2.1of [4, TS38.211] and initialized with  $c_{\rm init} = N_{ID}^{cell}$  at the start of each SFN satisfying  ${\rm mod}(SFN,8)=0$ ; M=A-3 for  $L_{\rm max}=4$  or  $L_{\rm max}=8$ , and M=A-6 for  $L_{\rm max}=64$ , where  $L_{\rm max}$  is the number of candidate SS/PBCH blocks in a half frame according to Subclause 4.1 of [5, TS38.213]; and v is determined according to Table 7.1.2-1 using the  $3^{\rm rd}$  and  $2^{\rm rd}$  LSB of the SFN in which the PBCH is transmitted.

Table 7.1.2-1: Value of  $\nu$  for PBCH scrambling

(3 <sup>rd</sup> LSB of SFN, 2 <sup>nd</sup> LSB of SFN)	Value of V
(0, 0)	0
(0, 1)	1
(1, 0)	2
(1, 1)	3

## 7.1.3 Transport block CRC attachment

Error detection is provided on BCH transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. The input bit sequence is denoted by  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits.

The parity bits are computed and attached to the BCH transport block according to Subclause 5.1 by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24C}}(D)$ , resulting in the sequence  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

The bit sequence  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  is the input bit sequence  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  to the channel encoder, where  $c_i = b_i$  for i = 0, 1, ..., B-1 and K = B.

## 7.1.4 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits, and they are encoded via Polar coding according to Subclause 5.3.1, by setting  $n_{\max} = 9$ ,  $I_{IL} = 1$ ,  $n_{PC} = 0$ , and  $n_{PC}^{wm} = 0$ .

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, \dots, d_{N-1}$ , where N is the number of coded bits.

## 7.1.5 Rate matching

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

The rate matching output sequence length E = 864.

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 0$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

## 7.2 Downlink shared channel and paging channel

## 7.2.1 Transport block CRC attachment

Error detection is provided on each transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the

number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in Subclause 6.1.1 of [TS38.321].

The parity bits are computed and attached to the DL-SCH transport block according to Subclause 5.1, by setting L to 24 bits and using the generator polynomial  $g_{\text{CRC24A}}(D)$  if A > 3824; and by setting L to 16 bits and using the generator polynomial  $g_{\text{CRC16}}(D)$  otherwise.

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B = A + L.

## 7.2.2 LDPC base graph selection

For initial transmission of a transport block with coding rate R indicated by the MCS index according to Subclause 5.1.3.1 in [6, TS 38.214] and subsequent re-transmission of the same transport block, each code block of the transport block is encoded with either LDPC base graph 1 or 2 according to the following:

- if  $A \le 292$ , or if  $A \le 3824$  and  $R \le 0.67$ , or if  $R \le 0.25$ , LDPC base graph 2 is used;
- otherwise, LDPC base graph 1 is used,

where A is the payload size in Subclause 7.2.1.

## 7.2.3 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to Subclause 5.2.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where r is the code block number and  $K_r$  is the number of bits for code block number r according to Subclause 5.2.2.

## 7.2.4 Channel coding

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where r is the code block number, and  $K_r$  is the number of bits in code block number r. The total number of code blocks is denoted by C and each code block is individually LDPC encoded according to Subclause 5.3.2.

After encoding the bits are denoted by  $d_{r0}, d_{r1}, d_{r2}, d_{r3}, \dots, d_{r(N-1)}$ , where the values of  $N_r$  is given in Subclause 5.3.2.

## 7.2.5 Rate matching

Coded bits for each code block, denoted as  $d_{r_0}, d_{r_1}, d_{r_2}, d_{r_3}, ..., d_{r(N_r-1)}$ , are delivered to the rate match block, where r is the code block number, and  $N_r$  is the number of encoded bits in code block number r. The total number of code blocks is denoted by C and each code block is individually rate matched according to Subclause 5.4.2 by setting  $I_{LBRM} = 1$ .

After rate matching, the bits are denoted by  $f_{r0}$ ,  $f_{r1}$ ,  $f_{r2}$ ,  $f_{r3}$ ,...,  $f_{r(E_r-1)}$ , where  $E_r$  is the number of rate matched bits for code block number r.

#### 7.2.6 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $f_{r_0}, f_{r_1}, f_{r_2}, f_{r_3}, ..., f_{r(E_r-1)}$ , for r = 0, ..., C-1 and where  $E_r$  is the number of rate matched bits for the r-th code block.

Code block concatenation is performed according to Subclause 5.5.

The bits after code block concatenation are denoted by  $g_0, g_1, g_2, g_3, ..., g_{G-1}$ , where G is the total number of coded bits for transmission.

#### 7.3 Downlink control information

A DCI transports downlink control information for one or more cells with one RNTI.

The following coding steps can be identified:

- Information element multiplexing
- CRC attachment
- Channel coding
- Rate matching

#### 7.3.1 DCI formats

The DCI formats defined in table 7.3.1-1 are supported.

2\_3

**DCI** format **Usage** 0 0 Scheduling of PUSCH in one cell Scheduling of PUSCH in one cell 0 1 Scheduling of PDSCH in one cell 1 0 1 1 Scheduling of PDSCH in one cell 2 0 Notifying a group of UEs of the slot format Notifying a group of UEs of the PRB(s) and OFDM symbol(s) where UE may assume no transmission is 2\_1 intended for the UE 2 2 Transmission of TPC commands for PUCCH and PUSCH Transmission of a group of TPC commands for SRS

transmissions by one or more UEs

Table 7.3.1-1: DCI formats

The fields defined in the DCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows.

Each field is mapped in the order in which it appears in the description, including the zero-padding bit(s), if any, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

If the number of information bits in a DCI format is less than 12 bits, zeros shall be appended to the DCI format until the payload size equals 12.

The size of each DCI format is determined by the configuration of the corresponding active bandwidth part of the scheduled cell and shall be adjusted as described in clause 7.3.1.0 if necessary.

#### 7.3.1.0 DCI size alignment

If necessary, padding or truncation shall be applied to the DCI formats according to the following steps executed in the order below:

Step 0:

- Determine DCI format  $0_0$  monitored in a common search space according to clause 7.3.1.1.1 where  $N_{RB}^{UL,BWP}$  is the size of the initial UL bandwidth part.
- Determine DCI format 1\_0 monitored in a common search space according to clause 7.3.1.2.1 where  $N_{RB}^{DL,BWP}$  is given by
  - the size of CORESET 0 if CORESET 0 is configured for the cell; and
  - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
- If DCI format 0\_0 is monitored in common search space and if the number of information bits in the DCI format 0\_0 prior to padding is less than the payload size of the DCI format 1\_0 monitored in common search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 until the payload size equals that of the DCI format 1\_0.
- If DCI format 0\_0 is monitored in common search space and if the number of information bits in the DCI format 0\_0 prior to truncation is larger than the payload size of the DCI format 1\_0 monitored in common search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0\_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0\_0 equals the size of the DCI format 1\_0.

#### Step 1:

- Determine DCI format  $0_0$  monitored in a UE-specific search space according to clause 7.3.1.1.1 where  $N_{RB}^{UL,BWP}$  is the size of the active UL bandwidth part.
- Determine DCI format 1\_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where  $N_{\rm RB}^{\rm DLBWP}$  is the size of the active DL bandwidth part.
- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in DCI format 0\_0 in UE-specific search space for the SUL is not equal to the number of information bits in DCI format 0\_0 in UE-specific search space for the non-SUL, a number of zero padding bits are generated for the smaller DCI format 0\_0 until the payload size equals that of the larger DCI format 0\_0.
- If DCI format 0\_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 0\_0 prior to padding is less than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 until the payload size equals that of the DCI format 1\_0.
- If DCI format 1\_0 is monitored in UE-specific search space and if the number of information bits in the DCI format 1\_0 prior to padding is less than the payload size of the DCI format 0\_0 monitored in UE-specific search space for scheduling the same serving cell, zeros shall be appended to the DCI format 1\_0 until the payload size equals that of the DCI format 0\_0

#### Step 2:

- For a UE configured with *supplementaryUplink* in *ServingCellConfig* in a cell, if PUSCH is configured to be transmitted on both the SUL and the non-SUL of the cell and if the number of information bits in format 0\_1 for the SUL is not equal to the number of information bits in format 0\_1 for the non-SUL, zeros shall be appended to smaller format 0\_1 until the payload size equals that of the larger format 0\_1.
- If the size of DCI format 0\_1 monitored in a UE-specific search space equals that of a DCI format 0\_0/1\_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 0\_1.
- If the size of DCI format 1\_1 monitored in a UE-specific search space equals that of a DCI format 0\_0/1\_0 monitored in another UE-specific search space, one bit of zero padding shall be appended to DCI format 1\_1.

#### Step 3:

- If both of the following conditions are fulfilled the size alignment procedure is complete
  - the total number of different DCI sizes configured to monitor is no more than 4 for the cell

- the total number of different DCI sizes with C-RNTI configured to monitor is no more than 3 for the cell

#### Step 4:

- Otherwise
  - Remove the padding bit (if any) introduced in step 2 above.
  - Determine DCI format 1\_0 monitored in a UE-specific search space according to clause 7.3.1.2.1 where  $N_{\rm RB}^{\rm DLBWP}$  is given by
    - the size of CORESET 0 if CORESET 0 is configured for the cell; and
    - the size of initial DL bandwidth part if CORESET 0 is not configured for the cell.
  - Determine DCI format 0\_0 monitored in a UE-specific search space according to clause 7.3.1.1.1 where  $N_{RR}^{UL,BWP}$  is the size of the initial UL bandwidth part.
  - If the number of information bits in the DCI format 0\_0 monitored in a UE-specific search space prior to padding is less than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, a number of zero padding bits are generated for the DCI format 0\_0 monitored in a UE-specific search space until the payload size equals that of the DCI format 1\_0 monitored in a UE-specific search space.
  - If the number of information bits in the DCI format 0\_0 monitored in a UE-specific search space prior to truncation is larger than the payload size of the DCI format 1\_0 monitored in UE-specific search space for scheduling the same serving cell, the bitwidth of the frequency domain resource assignment field in the DCI format 0\_0 is reduced by truncating the first few most significant bits such that the size of DCI format 0\_0 monitored in a UE-specific search space equals the size of the DCI format 1\_0 monitored in a UE-specific search space.

The UE is not expected to handle a configuration that, after applying the above steps, results in

- the total number of different DCI sizes configured to monitor is more than 4 for the cell; or
- the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell; or
- the size of DCI format 0\_0 in a UE-specific search space is equal to DCI format 0\_1 in another UE-specific search space; or
- the size of DCI format 1\_0 in a UE-specific search space is equal to DCI format 1\_1 in another UE-specific search space

#### 7.3.1.1 DCI formats for scheduling of PUSCH

#### 7.3.1.1.1 Format 0 0

DCI format 0\_0 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format  $0_0$  with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment  $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits where  $N_{\text{RB}}^{\text{UL,BWP}}$  is defined in subclause 7.3.1.0
  - For PUSCH hopping with resource allocation type 1:

- $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Subclause 6.3 of [6, TS 38.214], where  $N_{\rm UL\_hop} = 1$  if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and  $N_{\rm UL\_hop} = 2$  if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
- $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL\_hop}}$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]
- For non-PUSCH hopping with resource allocation type 1:
  - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]
- Time domain resource assignment 4 bits as defined in Subclause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Subclause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Subclause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- TPC command for scheduled PUSCH 2 bits as defined in Subclause 7.1.1 of [5, TS 38.213]
- Padding bits, if required.
- UL/SUL indicator 1 bit for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell as defined in Table 7.3.1.1.1-1 and the number of bits for DCI format 1\_0 before padding is larger than the number of bits for DCI format 0\_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0\_0, after the padding bit(s).
  - If the UL/SUL indicator is present in DCI format 0\_0 and the higher layer parameter *pusch-Config* is not configured on both UL and SUL the UE ignores the UL/SUL indicator field in DCI format 0\_0, and the corresponding PUSCH scheduled by the DCI format 0\_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured;
  - If the UL/SUL indicator is not present in DCI format 0\_0 and *pucch-Config* is configured, the corresponding PUSCH scheduled by the DCI format 0\_0 is for the UL or SUL for which high layer parameter *pucch-Config* is configured.
  - If the UL/SUL indicator is not present in DCI format 0\_0 and *pucch-Config* is not configured, the corresponding PUSCH scheduled by the DCI format 0\_0 is for the uplink on which the latest PRACH is transmitted.

The following information is transmitted by means of the DCI format 0\_0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Frequency domain resource assignment  $-\left[\log_2(N_{\rm RB}^{\rm UL,BWP}(N_{\rm RB}^{\rm UL,BWP}+1)/2)\right]$  bits where
  - $N_{RR}^{UL,BWP}$  is the size of the initial UL bandwidth part.
  - For PUSCH hopping with resource allocation type 1:
    - $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Table 8.3-1 in Subclause 8.3 of [5, TS 38.213], where  $N_{\rm UL\_hop}$  = 1 if  $N_{\rm RB}^{\rm UL\_BWP}$  < 50 and  $N_{\rm UL\_hop}$  = 2 otherwise

- $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right] N_{\text{UL\_hop}}$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]
- For non-PUSCH hopping with resource allocation type 1:
  - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]
- Time domain resource assignment 4 bits as defined in Subclause 6.1.2.1 of [6, TS 38.214]
- Frequency hopping flag 1 bit according to Table 7.3.1.1.1-3, as defined in Subclause 6.3 of [6, TS 38.214]
- Modulation and coding scheme 5 bits as defined in Subclause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit, reserved
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits, reserved
- TPC command for scheduled PUSCH 2 bits as defined in Subclause 7.1.1 of [5, TS 38.213]
- Padding bits, if required.
- UL/SUL indicator 1 bit if the cell has two ULs and the number of bits for DCI format 1\_0 before padding is larger than the number of bits for DCI format 0\_0 before padding; 0 bit otherwise. The UL/SUL indicator, if present, locates in the last bit position of DCI format 0\_0, after the padding bit(s).
  - If 1 bit, reserved, and the corresponding PUSCH is always on the same UL carrier as the previous transmission of the same TB

Table 7.3.1.1.1-1: UL/SUL indicator

Value of UL/SUL indicator	Uplink
0	The non-supplementary uplink
1	The supplementary uplink

Table 7.3.1.1.1-2: Redundancy version

Value of the Redundancy version field	Value of $rv_{id}$ to be applied
00	0
01	1
10	2
11	3

Table 7.3.1.1.1-3: Frequency hopping indication

Bit field mapped to index	PUSCH frequency hopping
0	Disabled
1	Enabled

#### 7.3.1.1.2 Format 0 1

DCI format 0\_1 is used for the scheduling of PUSCH in one cell.

The following information is transmitted by means of the DCI format 0\_1 with CRC scrambled by C-RNTI or CS-RNTI or SP-CSI-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 0, indicating an UL DCI format
- Carrier indicator 0 or 3 bits, as defined in Subclause 10.1 of [5, TS38.213].
- UL/SUL indicator 0 bit for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell or UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell but only one carrier in the cell is configured for PUSCH transmission; otherwise, 1 bit as defined in Table 7.3.1.1.1-1.
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of UL BWPs  $n_{\text{BWP,RRC}}$  configured by higher layers, excluding the initial UL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{\text{BWP}}) \rceil$  bits, where
  - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$  if  $n_{\text{BWP,RRC}} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{\text{BWP}} = n_{\text{BWP,RRC}}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where  $N_{RB}^{UL,BWP}$  is the size of the active UL bandwidth part:
  - $N_{\text{RBG}}$  bits if only resource allocation type 0 is configured, where  $N_{\text{RBG}}$  is defined in Subclause 6.1.2.2.1 of [6, TS 38.214],
  - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits if only resource allocation type 1 is configured, or  $\max\left(\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right], N_{\text{RBG}}\right)+1$  bits if both resource allocation type 0 and 1 are configured.
  - If both resource allocation type 0 and 1 are configured, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
  - For resource allocation type 0, the  $N_{\rm RBG}$  LSBs provide the resource allocation as defined in Subclause 6.1.2.2.1 of [6, TS 38.214].
  - For resource allocation type 1, the  $\left\lceil \log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2) \right\rceil$  LSBs provide the resource allocation as follows:
    - For PUSCH hopping with resource allocation type 1:
      - $N_{\rm UL\_hop}$  MSB bits are used to indicate the frequency offset according to Subclause 6.3 of [6, TS 38.214], where  $N_{\rm UL\_hop} = 1$  if the higher layer parameter frequencyHoppingOffsetLists contains two offset values and  $N_{\rm UL\_hop} = 2$  if the higher layer parameter frequencyHoppingOffsetLists contains four offset values
      - $\left[\log_2(N_{\rm RB}^{\rm UL,BWP}(N_{\rm RB}^{\rm UL,BWP}+1)/2)\right] N_{\rm UL\_hop}$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]
    - For non-PUSCH hopping with resource allocation type 1:
      - $\left[\log_2(N_{\text{RB}}^{\text{UL,BWP}}(N_{\text{RB}}^{\text{UL,BWP}}+1)/2)\right]$  bits provides the frequency domain resource allocation according to Subclause 6.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if both resource allocation type 0 and 1 are configured for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment -0, 1, 2, 3, or 4 bits as defined in Subclause 6.1.2.1 of [6, TS38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter *pusch-TimeDomainAllocationList* if the higher layer parameter is configured; otherwise I is the number of entries in the default table.
- Frequency hopping flag 0 or 1 bit:
  - 0 bit if only resource allocation type 0 is configured or if the higher layer parameter *frequencyHopping* is not configured;
  - 1 bit according to Table 7.3.1.1.1-3 otherwise, only applicable to resource allocation type 1, as defined in Subclause 6.3 of [6, TS 38.214].
- Modulation and coding scheme 5 bits as defined in Subclause 6.1.4.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- 1<sup>st</sup> downlink assignment index 1 or 2 bits:
  - 1 bit for semi-static HARQ-ACK codebook;
  - 2 bits for dynamic HARQ-ACK codebook.
- 2<sup>nd</sup> downlink assignment index 0 or 2 bits:
  - 2 bits for dynamic HARQ-ACK codebook with two HARQ-ACK sub-codebooks;
  - 0 bit otherwise.
- TPC command for scheduled PUSCH 2 bits as defined in Subclause 7.1.1 of [5, TS38.213]
- SRS resource indicator  $-\left[\log_2\left(\sum_{k=1}^{\min\{L_{\max},N_{\text{SRS}}\}}\binom{N_{\text{SRS}}}{k}\right)\right]$  or  $\left[\log_2(N_{\text{SRS}})\right]$  bits, where  $N_{\text{SRS}}$  is the number of

configured SRS resources in the SRS resource set associated with the higher layer parameter *usage* of value 'codeBook' or 'nonCodeBook',

$$- \left\lceil \log_2 \left( \sum_{k=1}^{\min\{L_{\max}, N_{\text{SRS}}\}} \binom{N_{\text{SRS}}}{k} \right) \right\rceil \text{ bits according to Tables 7.3.1.1.2-28/29/30/31 if the higher layer parameter}$$

txConfig = nonCodebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set associated with the higher layer parameter usage of value 'nonCodeBook' and

- if UE supports operation with maxMIMO-Layers and the higher layer parameter maxMIMO-Layers of PUSCH-Serving CellConfig of the serving cell is configured,  $L_{max}$  is given by that parameter
- otherwise,  $L_{max}$  is given by the maximum number of layers for PUSCH supported by the UE for the serving cell for non-codebook based operation.
- $\lceil \log_2(N_{SRS}) \rceil$  bits according to Tables 7.3.1.1.2-32 if the higher layer parameter txConfig = codebook, where  $N_{SRS}$  is the number of configured SRS resources in the SRS resource set associated with the higher layer parameter usage of value 'codeBook'.
- Precoding information and number of layers number of bits determined by the following:

- 0 bits if the higher layer parameter *txConfig* = *nonCodeBook*;
- 0 bits for 1 antenna port and if the higher layer parameter txConfig = codebook;
- 4, 5, or 6 bits according to Table 7.3.1.1.2-2 for 4 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;
- 2, 4, or 5 bits according to Table 7.3.1.1.2-3 for 4 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank*, and *codebookSubset*;
- 2 or 4 bits according to Table 7.3.1.1.2-4 for 2 antenna ports, if *txConfig = codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*:
- 1 or 3 bits according to Table 7.3.1.1.2-5 for 2 antenna ports, if *txConfig* = *codebook*, and according to whether transform precoder is enabled or disabled, and the values of higher layer parameters *maxRank* and *codebookSubset*.
- Antenna ports number of bits determined by the following
  - 2 bits as defined by Tables 7.3.1.1.2-6, if transform precoder is enabled, dmrs-Type=1, and maxLength=1;
  - 4 bits as defined by Tables 7.3.1.1.2-7, if transform precoder is enabled, dmrs-Type=1, and maxLength=2;
  - 3 bits as defined by Tables 7.3.1.1.2-8/9/10/11, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
  - 4 bits as defined by Tables 7.3.1.1.2-12/13/14/15, if transform precoder is disabled, *dmrs-Type*=1, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
  - 4 bits as defined by Tables 7.3.1.1.2-16/17/18/19, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=1, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*;
  - 5 bits as defined by Tables 7.3.1.1.2-20/21/22/23, if transform precoder is disabled, *dmrs-Type*=2, and *maxLength*=2, and the value of rank is determined according to the SRS resource indicator field if the higher layer parameter *txConfig* = *nonCodebook* and according to the Precoding information and number of layers field if the higher layer parameter *txConfig* = *codebook*.

where the number of CDM groups without data of values 1, 2, and 3 in Tables 7.3.1.1.2-6 to 7.3.1.1.2-23 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively.

If a UE is configured with both dmrs-UplinkForPUSCH-MappingTypeA and dmrs-UplinkForPUSCH-MappingTypeB, the bitwidth of this field equals  $\max\left\{x_A, x_B\right\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeA and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-UplinkForPUSCH-MappingTypeB. A number of  $\left|x_A - x_B\right|$  zeros are padded in the MSB of this field, if the mapping type of the PUSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .

- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Subclause 6.1.1.2 of [6, TS 38.214].
- CSI request 0, 1, 2, 3, 4, 5, or 6 bits determined by higher layer parameter *reportTriggerSize*.

- CBG transmission information (CBGTI) 0 bit if higher layer parameter *codeBlockGroupTransmission* for PUSCH is not configured, otherwise, 2, 4, 6, or 8 bits determined by higher layer parameter *maxCodeBlockGroupsPerTransportBlock* for PUSCH.
- PTRS-DMRS association number of bits determined as follows
  - 0 bit if *PTRS-UplinkConfig* is not configured and transform precoder is disabled, or if transform precoder is enabled, or if *maxRank=1*;
  - 2 bits otherwise, where Table 7.3.1.1.2-25 and 7.3.1.1.2-26 are used to indicate the association between PTRS port(s) and DMRS port(s) for transmission of one PT-RS port and two PT-RS ports respectively, and the DMRS ports are indicated by the Antenna ports field.

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the "PTRS-DMRS association" field is present for the indicated bandwidth part but not present for the active bandwidth part, the UE assumes the "PTRS-DMRS association" field is not present for the indicated bandwidth part.

- beta\_offset indicator -0 if the higher layer parameter betaOffsets = semiStatic; otherwise 2 bits as defined by Table 9.3-3 in [5, TS 38.213].
- DMRS sequence initialization 0 bit if transform precoder is enabled; 1 bit if transform precoder is disabled.
- UL-SCH indicator 1 bit. A value of "1" indicates UL-SCH shall be transmitted on the PUSCH and a value of "0" indicates UL-SCH shall not be transmitted on the PUSCH. Except for DCI format 0\_1 with CRC scrambled by SP-CSI-RNTI, a UE is not expected to receive a DCI format 0\_1 with UL-SCH indicator of "0" and CSI request of all zero(s).

A UE does not expect that the bit width of a field in DCI format  $0_1$  with CRC scrambled by CS-RNTI is larger than corresponding bit width of same field in DCI format  $0_1$  with CRC scrambled by C-RNTI for the same serving cell. If the bit width of a field in the DCI format  $0_1$  with CRC scrambled by CS-RNTI is not equal to that of the corresponding field in the DCI format  $0_1$  with CRC scrambled by C-RNTI for the same serving cell, a number of most significant bits with value set to '0' are inserted to the field in DCI format  $0_1$  with CRC scrambled by CS-RNTI until the bit width equals that of the corresponding field in the DCI format  $0_1$  with CRC scrambled by C-RNTI for the same serving cell.

Table 7.3.1.1.2-1: Bandwidth part indicator

Value of BWP indicator field 2 bits	Bandwidth part
00	Configured BWP with BWP-Id = 1
01	Configured BWP with BWP-Id = 2
10	Configured BWP with BWP-Id = 3
11	Configured BWP with BWP-Id = 4

Table 7.3.1.1.2-2: Precoding information and number of layers, for 4 antenna ports, if transform precoder is disabled and *maxRank* = 2 or 3 or 4

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	2 layers: TPMI=0	4	2 layers: TPMI=0	4	2 layers: TPMI=0
9	2 layers: TPMI=5	9	2 layers: TPMI=5	9	2 layers: TPMI=5
10	3 layers: TPMI=0	10	3 layers: TPMI=0	10	3 layers: TPMI=0
11	4 layers: TPMI=0	11	4 layers: TPMI=0	11	4 layers: TPMI=0
12	1 layer: TPMI=4	12	1 layer: TPMI=4	12-15	reserved
19	1 layer: TPMI=11	19	1 layer: TPMI=11		
20	2 layers: TPMI=6	20	2 layers: TPMI=6		
27	2 layers: TPMI=13	27	2 layers: TPMI=13		
28	3 layers: TPMI=1	28	3 layers: TPMI=1		
29	3 layers: TPMI=2	29	3 layers: TPMI=2		
30	4 layers: TPMI=1	30	4 layers: TPMI=1		
31	4 layers: TPMI=2	31	4 layers: TPMI=2		
32	1 layers: TPMI=12				
47	1 layers: TPMI=27				
48	2 layers: TPMI=14				
55	2 layers: TPMI=21				
56	3 layers: TPMI=3				
59	3 layers: TPMI=6				
60	4 layers: TPMI=3				
61	4 layers: TPMI=4				
62-63	reserved				

Table 7.3.1.1.2-3: Precoding information and number of layers for 4 antenna ports, if transform precoder is enabled, or if transform precoder is disabled and *maxRank* = 1

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset= partialAndNonCoherent	Bit field mapped to index	codebookSubset= nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1	1	1 layer: TPMI=1
3	1 layer: TPMI=3	3	1 layer: TPMI=3	3	1 layer: TPMI=3
4	1 layer: TPMI=4	4	1 layer: TPMI=4		
			•••		
11	1 layer: TPMI=11	11	1 layer: TPMI=11		
12	1 layers: TPMI=12	12-15	reserved		
			_		
27	1 layers: TPMI=27				
28-31	reserved				

Table 7.3.1.1.2-4: Precoding information and number of layers, for 2 antenna ports, if transform precoder is disabled and *maxRank* = 2

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	2 layers: TPMI=0	2	2 layers: TPMI=0
3	1 layer: TPMI=2	3	reserved
4	1 layer: TPMI=3		
5	1 layer: TPMI=4		
6	1 layer: TPMI=5		
7	2 layers: TPMI=1		
8	2 layers: TPMI=2		
9-15	reserved		

Table 7.3.1.1.2-5: Precoding information and number of layers, for 2 antenna ports, if transform precoder is enabled, or if transform precoder is disabled and *maxRank* = 1

Bit field mapped to index	codebookSubset = fullyAndPartialAndNonCoherent	Bit field mapped to index	codebookSubset = nonCoherent
0	1 layer: TPMI=0	0	1 layer: TPMI=0
1	1 layer: TPMI=1	1	1 layer: TPMI=1
2	1 layer: TPMI=2		
3	1 layer: TPMI=3		
4	1 layer: TPMI=4		
5	1 layer: TPMI=5		
6-7	reserved		

Table 7.3.1.1.2-6: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0
1	2	1
2	2	2
3	2	3

Table 7.3.1.1.2-7: Antenna port(s), transform precoder is enabled, dmrs-Type=1, maxLength=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0	1
1	2	1	1
2	2	2	1
3	2	3	1
4	2	0	2
5	2	1	2
6	2	2	2
7	2	3	2
8	2	4	2
9	2	5	2
10	2	6	2
11	2	7	2
12-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-8: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6-7	Reserved	Reserved

Table 7.3.1.1.2-9: Antenna port(s), transform precoder is disabled, dmrs-Type=1, maxLength=1, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	2	0,2
4-7	Reserved	Reserved

Table 7.3.1.1.2-10: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
2-7	Reserved	Reserved

Table 7.3.1.1.2-11: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=1, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
2-7	Reserved	Reserved

Table 7.3.1.1.2-12: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	2	0	2
7	2	1	2
8	2	2	2
9	2	3	2
10	2	4	2
11	2	5	2
12	2	6	2
13	2	7	2
14-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-13: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	2	0,2	1
4	2	0,1	2
5	2	2,3	2
6	2	4,5	2
7	2	6,7	2
8	2	0,4	2
9	2	2,6	2
10-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-14: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	2	0,1,4	2
2	2	2,3,6	2
3-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-15: Antenna port(s), transform precoder is disabled, *dmrs-Type*=1, *maxLength*=2, rank = 4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	2	0,1,4,5	2
2	2	2,3,6,7	2
3	2	0,2,4,6	2
4-15	Reserved	Reserved	Reserved

Table 7.3.1.1.2-16: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0
1	1	1
2	2	0
3	2	1
4	2	2
5	2	3
6	3	0
7	3	1
8	3	2
9	3	3
10	3	4
11	3	5
12-15	Reserved	Reserved

Table 7.3.1.1.2-17: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0,1
1	2	0,1
2	2	2,3
3	3	0,1
4	3	2,3
5	3	4,5
6	2	0,2
7-15	Reserved	Reserved

Table 7.3.1.1.2-18: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank =3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-2
1	3	0-2
2	3	3-5
3-15	Reserved	Reserved

Table 7.3.1.1.2-19: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=1, rank =4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	2	0-3
1	3	0-3
2-15	Reserved	Reserved

Table 7.3.1.1.2-20: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=1

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1
1	1	1	1
2	2	0	1
3	2	1	1
4	2	2	1
5	2	3	1
6	3	0	1
7	3	1	1
8	3	2	1
9	3	3	1
10	3	4	1
11	3	5	1
12	3	0	2
13	3	1	2
14	3	2	2
15	3	3	2
16	3	4	2
17	3	5	2
18	3	6	2
19	3	7	2
20	3	8	2
21	3	9	2
22	3	10	2
23	3	11	2
24	1	0	2
25	1	1	2
26	1	6	2
27	1	7	2
28-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-21: Antenna port(s), transform precoder is disabled, dmrs-Type=2, maxLength=2, rank=2

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0,1	1
1	2	0,1	1
2	2	2,3	1
3	3	0,1	1
4	3	2,3	1
5	3	4,5	1
6	2	0,2	1
7	3	0,1	2
8	3	2,3	2
9	3	4,5	2
10	3	6,7	2
11	3	8,9	2
12	3	10,11	2
13	1	0,1	2
14	1	6,7	2
15	2	0,1	2
16	2	2,3	2
17	2	6,7	2
18	2	8,9	2
19-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-22: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=3

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-2	1
1	3	0-2	1
2	3	3-5	1
3	3	0,1,6	2
4	3	2,3,8	2
5	3	4,5,10	2
6-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-23: Antenna port(s), transform precoder is disabled, *dmrs-Type*=2, *maxLength*=2, rank=4

Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	2	0-3	1
1	3	0-3	1
2	3	0,1,6,7	2
3	3	2,3,8,9	2
4	3	4,5,10,11	2
5-31	Reserved	Reserved	Reserved

Table 7.3.1.1.2-24: SRS request

Value of SRS request field	Triggered aperiodic SRS resource set(s) for DCI format 0_1, 1_1, and 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeB'	Triggered aperiodic SRS resource set(s) for DCI format 2_3 configured with higher layer parameter srs-TPC-PDCCH-Group set to 'typeA'
00	No aperiodic SRS resource set triggered	No aperiodic SRS resource set triggered
01	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 1 or an entry in aperiodicSRS-ResourceTriggerList set to 1	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 1st set of serving cells configured by higher layers
10	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 2 or an entry in aperiodicSRS-ResourceTriggerList set to 2	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 2 <sup>nd</sup> set of serving cells configured by higher layers
11	SRS resource set(s) configured with higher layer parameter aperiodicSRS-ResourceTrigger set to 3 or an entry in aperiodicSRS-ResourceTriggerList set to 3	SRS resource set(s) configured with higher layer parameter usage in SRS-ResourceSet set to 'antennaSwitching' and resourceType in SRS-ResourceSet set to 'aperiodic' for a 3 <sup>rd</sup> set of serving cells configured by higher layers

Table 7.3.1.1.2-25: PTRS-DMRS association for UL PTRS port 0

Value	DMRS port
0	1st scheduled DMRS port
1	2 <sup>nd</sup> scheduled DMRS port
2	3 <sup>rd</sup> scheduled DMRS port
3	4 <sup>th</sup> scheduled DMRS port

Table 7.3.1.1.2-26: PTRS-DMRS association for UL PTRS ports 0 and 1

Value of MSB	DMRS port	Value of LSB	DMRS port
0	1 <sup>st</sup> DMRS port which shares PTRS port 0	0	1 <sup>st</sup> DMRS port which shares PTRS port 1
1	2 <sup>nd</sup> DMRS port which shares PTRS port 0	1	2 <sup>nd</sup> DMRS port which shares PTRS port 1

Table 7.3.1.1.2-27: void

Table 7.3.1.1.2-28: SRI indication for non-codebook based PUSCH transmission,  $L_{\mathrm{max}} = 1$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
		2	2	2	2
		3	reserved	3	3

Table 7.3.1.1.2-29: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max}=2$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6-7	reserved	6	0,3
				7	1,2
				8	1,3
				9	2,3
				10-15	reserved

Table 7.3.1.1.2-30: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max}=3$ 

Bit field mapped to	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to	SRI(s), $N_{\rm SRS} = 4$
index	Site	index	SAS	index	DAD
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
				14-15	reserved

Table 7.3.1.1.2-31: SRI indication for non-codebook based PUSCH transmission,  $L_{\rm max} = 4$ 

Bit field mapped to index	SRI(s), $N_{\rm SRS} = 2$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 3$	Bit field mapped to index	SRI(s), $N_{\rm SRS} = 4$
0	0	0	0	0	0
1	1	1	1	1	1
2	0,1	2	2	2	2
3	reserved	3	0,1	3	3
		4	0,2	4	0,1
		5	1,2	5	0,2
		6	0,1,2	6	0,3
		7	reserved	7	1,2
				8	1,3
				9	2,3
				10	0,1,2
				11	0,1,3
				12	0,2,3
				13	1,2,3
				14	0,1,2,3
				15	reserved

Table 7.3.1.1.2-32: SRI indication for codebook based PUSCH transmission

Bit field mapped to index	$SRI(s), N_{SRS} = 2$
0	0
1	1

Table 7.3.1.1.2-33: Void

#### 7.3.1.2 DCI formats for scheduling of PDSCH

#### 7.3.1.2.1 Format 1\_0

DCI format 1\_0 is used for the scheduling of PDSCH in one DL cell.

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment  $\left\lceil \log_2(N_{\rm RB}^{\rm DL,BWP}(N_{\rm RB}^{\rm DL,BWP}+1)/2) \right\rceil$  bits where  $N_{\rm RB}^{\rm DL,BWP}$  is given by subclause 7.3.1.0

If the CRC of the DCI format 1\_0 is scrambled by C-RNTI and the "Frequency domain resource assignment" field are of all ones, the DCI format 1\_0 is for random access procedure initiated by a PDCCH order, with all remaining fields set as follows:

- Random Access Preamble index 6 bits according to *ra-PreambleIndex* in Subclause 5.1.2 of [8, TS38.321]
- UL/SUL indicator 1 bit. If the value of the "Random Access Preamble index" is not all zeros and if the UE is configured with *supplementaryUplink* in *ServingCellConfig* in the cell, this field indicates which UL carrier in the cell to transmit the PRACH according to Table 7.3.1.1.1-1; otherwise, this field is reserved

- SS/PBCH index 6 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the SS/PBCH that shall be used to determine the RACH occasion for the PRACH transmission; otherwise, this field is reserved.
- PRACH Mask index 4 bits. If the value of the "Random Access Preamble index" is not all zeros, this field indicates the RACH occasion associated with the SS/PBCH indicated by "SS/PBCH index" for the PRACH transmission, according to Subclause 5.1.1 of [8, TS38.321]; otherwise, this field is reserved
- Reserved bits 10 bits

Otherwise, all remaining fields are set as follows:

- Time domain resource assignment 4 bits as defined in Subclause 5.1.2.1 of [6, TS 38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits as defined in Subclause 9.1.3 of [5, TS 38.213], as counter DAI
- TPC command for scheduled PUCCH 2 bits as defined in Subclause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Subclause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ\_feedback timing indicator 3 bits as defined in Subclause 9.2.3 of [5, TS38.213]

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by P-RNTI:

- Short Messages Indicator 2 bits according to Table 7.3.1.2.1-1.
- Short Messages 8 bits, according to Subclause 6.5 of [9, TS38.331]. If only the scheduling information for Paging is carried, this bit field is reserved.
- Frequency domain resource assignment  $-\lceil \log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2) \rceil$  bits. If only the short message is carried, this bit field is reserved.
  - $N_{RB}^{DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Subclause 5.1.2.1 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5. If only the short message is carried, this bit field is reserved.
- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1. If only the short message is carried, this bit field is reserved.
- TB scaling 2 bits as defined in Subclause 5.1.3.2 of [6, TS38.214]. If only the short message is carried, this bit field is reserved.
- Reserved bits 6 bits

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by SI-RNTI:

- Frequency domain resource assignment  $-\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  bits

- $N_{RR}^{DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Subclause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- System information indicator 1 bit as defined in Table 7.3.1.2.1-2
- Reserved bits 15 bits

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by RA-RNTI:

- Frequency domain resource assignment  $-\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  bits
  - $N_{RB}^{DL,BWP}$  is the size of CORESET 0 is configured for the cell and  $N_{RB}^{DL,BWP}$  is the size of initial DL bandwidth part if CORESET 0 is not configured for the cell
- Time domain resource assignment 4 bits as defined in Subclause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- TB scaling 2 bits as defined in Subclause 5.1.3.2 of [6, TS38.214]
- Reserved bits 16 bits

The following information is transmitted by means of the DCI format 1\_0 with CRC scrambled by TC-RNTI:

- Identifier for DCI formats 1 bit
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Frequency domain resource assignment  $-\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  bits
  - $N_{\rm RB}^{\rm DL,BWP}$  is the size of CORESET 0
- Time domain resource assignment 4 bits as defined in Subclause 5.1.2.1 of [6, TS38.214]
- VRB-to-PRB mapping 1 bit according to Table 7.3.1.2.2-5
- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3 of [6, TS38.214], using Table 5.1.3.1-1
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2
- HARQ process number 4 bits
- Downlink assignment index 2 bits, reserved
- TPC command for scheduled PUCCH 2 bits as defined in Subclause 7.2.1 of [5, TS38.213]
- PUCCH resource indicator 3 bits as defined in Subclause 9.2.3 of [5, TS38.213]
- PDSCH-to-HARQ\_feedback timing indicator 3 bits as defined in Subclause 9.2.3 of [5, TS38.213]

Table 7.3.1.2.1-1: Short Message indicator

Bit field	Short Message indicator
00	Reserved
01	Only scheduling information for Paging is present in the DCI
10	Only short message is present in the DCI
11	Both scheduling information for Paging and short message are present in the DCI

Table 7.3.1.2.1-2: System information indicator

Bit field	System information indicator
0	SIB1 [9, TS38.331, Subclause 5.2.1]
1	SI message [9, TS38.331, Subclause 5.2.1]

#### 7.3.1.2.2 Format 1 1

DCI format 1\_1 is used for the scheduling of PDSCH in one cell.

The following information is transmitted by means of the DCI format 1\_1 with CRC scrambled by C-RNTI or CS-RNTI or MCS-C-RNTI:

- Identifier for DCI formats 1 bits
  - The value of this bit field is always set to 1, indicating a DL DCI format
- Carrier indicator 0 or 3 bits as defined in Subclause 10.1 of [5, TS 38.213].
- Bandwidth part indicator 0, 1 or 2 bits as determined by the number of DL BWPs  $n_{\text{BWP,RRC}}$  configured by higher layers, excluding the initial DL bandwidth part. The bitwidth for this field is determined as  $\lceil \log_2(n_{\text{BWP}}) \rceil$  bits, where
  - $n_{\text{BWP}} = n_{\text{BWP,RRC}} + 1$  if  $n_{\text{BWP,RRC}} \le 3$ , in which case the bandwidth part indicator is equivalent to the ascending order of the higher layer parameter BWP-Id;
  - otherwise  $n_{\text{BWP}} = n_{\text{BWP,RRC}}$ , in which case the bandwidth part indicator is defined in Table 7.3.1.1.2-1;

If a UE does not support active BWP change via DCI, the UE ignores this bit field.

- Frequency domain resource assignment number of bits determined by the following, where  $N_{RB}^{DL,BWP}$  is the size of the active DL bandwidth part:
  - $N_{\text{RBG}}$  bits if only resource allocation type 0 is configured, where  $N_{\text{RBG}}$  is defined in Subclause 5.1.2.2.1 of [6, TS38.214],
  - $\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  bits if only resource allocation type 1 is configured, or
  - $\max\left(\left\lceil \log_2\left(N_{RB}^{DL,BWP}\left(N_{RB}^{DL,BWP}+1\right)/2\right)\right\rceil,N_{RBG}\right)+1$  bits if both resource allocation type 0 and 1 are configured.
  - If both resource allocation type 0 and 1 are configured, the MSB bit is used to indicate resource allocation type 0 or resource allocation type 1, where the bit value of 0 indicates resource allocation type 0 and the bit value of 1 indicates resource allocation type 1.
  - For resource allocation type 0, the N<sub>RBG</sub> LSBs provide the resource allocation as defined in Subclause 5.1.2.2.1 of [6, TS 38.214].

- For resource allocation type 1, the  $\left[\log_2(N_{RB}^{DL,BWP}(N_{RB}^{DL,BWP}+1)/2)\right]$  LSBs provide the resource allocation as defined in Subclause 5.1.2.2.2 of [6, TS 38.214]

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and if both resource allocation type 0 and 1 are configured for the indicated bandwidth part, the UE assumes resource allocation type 0 for the indicated bandwidth part if the bitwidth of the "Frequency domain resource assignment" field of the active bandwidth part is smaller than the bitwidth of the "Frequency domain resource assignment" field of the indicated bandwidth part.

- Time domain resource assignment 0, 1, 2, 3, or 4 bits as defined in Subclause 5.1.2.1 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter pdsch-TimeDomainAllocationList if the higher layer parameter is configured; otherwise I is the number of entries in the default table.
- VRB-to-PRB mapping 0 or 1 bit:
  - 0 bit if only resource allocation type 0 is configured or if interleaved VRB-to-PRB mapping is not configured by high layers;
  - 1 bit according to Table 7.3.1.2.2-5 otherwise, only applicable to resource allocation type 1, as defined in Subclause 7.3.1.6 of [4, TS 38.211].
- PRB bundling size indicator 0 bit if the higher layer parameter *prb-BundlingType* is not configured or is set to 'staticBundling', or 1 bit if the higher layer parameter *prb-BundlingType* is set to 'dynamicBundling' according to Subclause 5.1.2.3 of [6, TS 38.214].
- Rate matching indicator 0, 1, or 2 bits according to higher layer parameters *rateMatchPatternGroup1* and *rateMatchPatternGroup2*, where the MSB is used to indicate *rateMatchPatternGroup1* and the LSB is used to indicate *rateMatchPatternGroup2* when there are two groups.
- ZP CSI-RS trigger 0, 1, or 2 bits as defined in Subclause 5.1.4.2 of [6, TS 38.214]. The bitwidth for this field is determined as  $\lceil \log_2(n_{ZP} + 1) \rceil$  bits, where  $n_{ZP}$  is the number of aperiodic ZP CSI-RS resource sets configured by higher layer.

#### For transport block 1:

- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

For transport block 2 (only present if maxNrofCodeWordsScheduledByDCI equals 2):

- Modulation and coding scheme 5 bits as defined in Subclause 5.1.3.1 of [6, TS 38.214]
- New data indicator 1 bit
- Redundancy version 2 bits as defined in Table 7.3.1.1.1-2

If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part and the value of *maxNrofCodeWordsScheduledByDCI* for the indicated bandwidth part equals 2 and the value of *maxNrofCodeWordsScheduledByDCI* for the active bandwidth part equals 1, the UE assumes zeros are padded when interpreting the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 according to Subclause 12 of [5, TS38.213], and the UE ignores the "Modulation and coding scheme", "New data indicator", and "Redundancy version" fields of transport block 2 for the indicated bandwidth part.

- HARQ process number 4 bits
- Downlink assignment index number of bits as defined in the following
  - 4 bits if more than one serving cell are configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, where the 2 MSB bits are the counter DAI and the 2 LSB bits are the total DAI;

- 2 bits if only one serving cell is configured in the DL and the higher layer parameter *pdsch-HARQ-ACK-Codebook=dynamic*, where the 2 bits are the counter DAI;
- 0 bits otherwise.
- TPC command for scheduled PUCCH 2 bits as defined in Subclause 7.2.1 of [5, TS 38.213]
- PUCCH resource indicator 3 bits as defined in Subclause 9.2.3 of [5, TS 38.213]
- PDSCH-to-HARQ\_feedback timing indicator 0, 1, 2, or 3 bits as defined in Subclause 9.2.3 of [5, TS 38.213]. The bitwidth for this field is determined as  $\lceil \log_2(I) \rceil$  bits, where I is the number of entries in the higher layer parameter dl-DataToUL-ACK.
- Antenna port(s) 4, 5, or 6 bits as defined by Tables 7.3.1.2.2-1/2/3/4, where the number of CDM groups without data of values 1, 2, and 3 refers to CDM groups  $\{0\}$ ,  $\{0,1\}$ , and  $\{0,1,2\}$  respectively. The antenna ports  $\{p_{0,...},p_{v-1}\}$  shall be determined according to the ordering of DMRS port(s) given by Tables 7.3.1.2.2-1/2/3/4.
  - If a UE is configured with both dmrs-DownlinkForPDSCH-MappingTypeA and dmrs-DownlinkForPDSCH-MappingTypeB, the bitwidth of this field equals  $\max\left\{x_A, x_B\right\}$ , where  $x_A$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeA and  $x_B$  is the "Antenna ports" bitwidth derived according to dmrs-DownlinkForPDSCH-MappingTypeB. A number of  $\left|x_A x_B\right|$  zeros are padded in the MSB of this field, if the mapping type of the PDSCH corresponds to the smaller value of  $x_A$  and  $x_B$ .
- Transmission configuration indication 0 bit if higher layer parameter *tci-PresentInDCI* is not enabled; otherwise 3 bits as defined in Subclause 5.1.5 of [6, TS38.214].
  - If "Bandwidth part indicator" field indicates a bandwidth part other than the active bandwidth part,
  - if the higher layer parameter *tci-PresentInDCI* is not enabled for the CORESET used for the PDCCH carrying the DCI format 1\_1,
    - the UE assumes tci-PresentInDCI is not enabled for all CORESETs in the indicated bandwidth part;
  - otherwise,
    - the UE assumes tci-PresentInDCI is enabled for all CORESETs in the indicated bandwidth part.
- SRS request 2 bits as defined by Table 7.3.1.1.2-24 for UEs not configured with *supplementaryUplink* in *ServingCellConfig* in the cell; 3 bits for UEs configured with *supplementaryUplink* in *ServingCellConfig* in the cell where the first bit is the non-SUL/SUL indicator as defined in Table 7.3.1.1.1-1 and the second and third bits are defined by Table 7.3.1.1.2-24. This bit field may also indicate the associated CSI-RS according to Subclause 6.1.1.2 of [6, TS 38.214].
- CBG transmission information (CBGTI) 0 bit if higher layer parameter *codeBlockGroupTransmission* for PDSCH is not configured, otherwise, 2, 4, 6, or 8 bits as defined in Subclause 5.1.7 of [6, TS38.214], determined by the higher layer parameters *maxCodeBlockGroupsPerTransportBlock* and *maxNrofCodeWordsScheduledByDCI* for the PDSCH.
- CBG flushing out information (CBGFI) 1 bit if higher layer parameter *codeBlockGroupFlushIndicator* is configured as "TRUE", 0 bit otherwise.
- DMRS sequence initialization 1 bit.

If DCI formats 1\_1 are monitored in multiple search spaces associated with multiple CORESETs in a BWP for scheduling the same serving cell, zeros shall be appended until the payload size of the DCI formats 1\_1 monitored in the multiple search spaces equal to the maximum payload size of the DCI format 1\_1 monitored in the multiple search spaces.

Table 7.3.1.2.2-1: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=1

One Codeword: Codeword 0 enabled, Codeword 1 disabled					
Value	Number of DMRS CDM group(s) without data	DMRS port(s)			
0	1	0			
1	1	1			
2	1	0,1			
3	2	0			
4	2	1			
5	2	2			
6	2	3			
7	2	0,1			
8	2	2,3			
9	2	0-2			
10	2	0-3			
11	2	0,2			
12-15	Reserved	Reserved			

Table 7.3.1.2.2-2: Antenna port(s) (1000 + DMRS port), dmrs-Type=1, maxLength=2

	Codeword	odeword: d 0 enabled, d 1 disabled			Code Code	o Codewords: eword 0 enabled, eword 1 enabled	
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	2	0-4	2
1	1	1	1	1	2	0,1,2,3,4,6	2
2	1	0,1	1	2	2	0,1,2,3,4,5,6	2
3	2	0	1	3	2	0,1,2,3,4,5,6,7	2
4	2	1	1	4-31	reserved	reserved	reserved
5	2	2	1				
6	2	3	1				
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	2	0,2	1				
12	2	0	2				
13	2	1	2				
14	2	2	2				
15	2	3	2				
16	2	4	2				
17	2	5	2				
18	2	6	2				
19	2	7	2				
20	2	0,1	2				
21	2	2,3	2				
22	2	4,5	2				
23	2	6,7	2				_
24	2	0,4	2				_
25	2	2,6	2				
26	2	0,1,4	2				
27	2	2,3,6	2				
28	2	0,1,4,5	2				_
29	2	2,3,6,7	2				_
30	2	0,2,4,6	2				
31	Reserved	Reserved	Reserved				

Table 7.3.1.2.2-3: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=1

Co	One codeword: odeword 0 enable odeword 1 disable	ed, ed	Co	Two codewords odeword 0 enable odeword 1 enable	ed,
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Value	Number of DMRS CDM group(s) without data	DMRS port(s)
0	1	0	0	3	0-4
1	1	1	1	3	0-5
2	1	0,1	2-31	reserved	reserved
3	2	0			
4	2	1			
5	2	2			
6	2	3			
7	2	0,1			
8	2	2,3			
9	2	0-2			
10	2	0-3			
11	3	0			
12	3	1			
13	3	2			
14	3	3			
15	3	4			
16	3	5			
17	3	0,1			
18	3	2,3			
19	3	4,5			
20	3	0-2			
21	3	3-5			
22	3	0-3			
23	2	0,2			
24-31	Reserved	Reserved			

Table 7.3.1.2.2-4: Antenna port(s) (1000 + DMRS port), dmrs-Type=2, maxLength=2

	Codewor	odeword: rd 0 enabled, rd 1 disabled			Code	o Codewords: eword 0 enabled, eword 1 enabled	
Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols	Value	Number of DMRS CDM group(s) without data	DMRS port(s)	Number of front-load symbols
0	1	0	1	0	3	0-4	1
1	1	1	1	1	3	0-5	1
2	1	0,1	1	2	2	0,1,2,3,6	2
3	2	0	1	3	2	0,1,2,3,6,8	2
4	2	1	1	4	2	0,1,2,3,6,7,8	2
5	2	2	1	5	2	0,1,2,3,6,7,8,9	2
6	2	3	1	6-63	Reserved	Reserved	Reserved
7	2	0,1	1				
8	2	2,3	1				
9	2	0-2	1				
10	2	0-3	1				
11	3	0	1				
12	3	1	1				
13	3	2	1				
14	3	3	1				
15	3	4	1				
16	3	5	1				
17	3	0,1	1				
18	3	2,3	1				
19	3	4,5	1				
20	3	0-2	1				
21	3	3-5	1				
22	3	0-3	1				
23	2	0,2	1				
24	3	0	2				
25	3	1	2				
26	3	2	2				
27	3	3	2				
28	3	4	2				
29	3	5	2				
30	3	6	2				
31	3	7	2				
32	3	8	2				
33	3	9	2				
34	3	10	2				
35	3	11	2				
36	3	0,1	2				
37	3	2,3	2				
38	3	4,5	2				
39	3	6,7	2				
40	3	8,9	2				
41	3	10,11	2				
42	3	0,1,6	2				
43	3	2,3,8	2				
44	3	4,5,10	2				
45	3	0,1,6,7	2				
46	3	2,3,8,9	2				
47	3	4,5,10,11	2				
48	1	0	2				
49	1	1	2				
50	1	6	2				
51	1	7	2				
52	1	0,1	2				
53	1	6,7	2				
54	2	0,1	2				
55	2	2,3	2				
56	2	6,7	2				

57	2	8,9	2		
58-63	Reserved	Reserved	Reserved		

#### Table 7.3.1.2.2-5: VRB-to-PRB mapping

Bit field mapped to index	VRB-to-PRB mapping
0	Non-interleaved
1	Interleaved

#### 7.3.1.3 DCI formats for other purposes

#### 7.3.1.3.1 Format 2 0

DCI format 2\_0 is used for notifying the slot format.

The following information is transmitted by means of the DCI format 2\_0 with CRC scrambled by SFI-RNTI:

- Slot format indicator 1, Slot format indicator 2, ..., Slot format indicator N.

The size of DCI format 2\_0 is configurable by higher layers up to 128 bits, according to Subclause 11.1.1 of [5, TS 38.213].

#### 7.3.1.3.2 Format 2 1

DCI format 2\_1 is used for notifying the PRB(s) and OFDM symbol(s) where UE may assume no transmission is intended for the UE.

The following information is transmitted by means of the DCI format 2\_1 with CRC scrambled by INT-RNTI:

- Pre-emption indication 1, Pre-emption indication 2, ..., Pre-emption indication N.

The size of DCI format 2\_1 is configurable by higher layers up to 126 bits, according to Subclause 11.2 of [5, TS 38.213]. Each pre-emption indication is 14 bits.

#### 7.3.1.3.3 Format 2 2

DCI format 2\_2 is used for the transmission of TPC commands for PUCCH and PUSCH.

The following information is transmitted by means of the DCI format 2\_2 with CRC scrambled by TPC-PUSCH-RNTI or TPC-PUCCH-RNTI:

- block number 1, block number 2,..., block number N

The parameter *tpc-PUSCH* or *tpc-PUCCH* provided by higher layers determines the index to the block number for an UL of a cell, with the following fields defined for each block:

- Closed loop indicator 0 or 1 bit.
  - For DCI format 2\_2 with TPC-PUSCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUSCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2\_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2\_2 is of 3 bits;
  - For DCI format 2\_2 with TPC-PUCCH-RNTI, 0 bit if the UE is not configured with high layer parameter *twoPUCCH-PC-AdjustmentStates*, in which case UE assumes each block in the DCI format 2\_2 is of 2 bits; 1 bit otherwise, in which case UE assumes each block in the DCI format 2\_2 is of 3 bits;
- TPC command -2 bits

The number of information bits in format 2\_2 shall be equal to or less than the payload size of format 1\_0 monitored in common search space in the same serving cell. If the number of information bits in format 2\_2 is less than the payload

size of format 1\_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2\_2 until the payload size equals that of format 1\_0 monitored in common search space in the same serving cell.

#### 7.3.1.3.4 Format 2 3

DCI format 2\_3 is used for the transmission of a group of TPC commands for SRS transmissions by one or more UEs. Along with a TPC command, a SRS request may also be transmitted.

The following information is transmitted by means of the DCI format 2\_3 with CRC scrambled by TPC-SRS-RNTI:

block number 1, block number 2, ..., block number B
 where the starting position of a block is determined by the parameter *startingBitOfFormat2-3* or *startingBitOfFormat2-3SUL-v1530* provided by higher layers for the UE configured with the block.

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeA* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block is configured for the UE by higher layers, with the following fields defined for the block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Subclause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command number 1, TPC command number 2, ..., TPC command number N, where each TPC command applies to a respective UL carrier provided by higher layer parameter *cc-IndexInOneCC-Set*

If the UE is configured with higher layer parameter *srs-TPC-PDCCH-Group* = *typeB* for an UL without PUCCH and PUSCH or an UL on which the SRS power control is not tied with PUSCH power control, one block or more blocks is configured for the UE by higher layers where each block applies to an UL carrier, with the following fields defined for each block:

- SRS request 0 or 2 bits. The presence of this field is according to the definition in Subclause 11.4 of [5, TS38.213]. If present, this field is interpreted as defined by Table 7.3.1.1.2-24.
- TPC command -2 bits

The number of information bits in format 2\_3 shall be equal to or less than the payload size of format 1\_0 monitored in common search space in the same serving cell. If the number of information bits in format 2\_3 is less than the payload size of format 1\_0 monitored in common search space in the same serving cell, zeros shall be appended to format 2\_3 until the payload size equals that of format 1\_0 monitored in common search space in the same serving cell.

#### 7.3.2 CRC attachment

Error detection is provided on DCI transmissions through a Cyclic Redundancy Check (CRC).

The entire payload is used to calculate the CRC parity bits. Denote the bits of the payload by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ , where A is the payload size and L is the number of parity bits. Let  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$  be a bit sequence such that  $a'_i = 1$  for i = 0,1,...,L-1 and  $a'_i = a_{i-L}$  for i = L, L+1,...,A+L-1. The parity bits are computed with input bit sequence  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A+L-1}$  and attached according to Subclause 5.1 by setting L to 24 bits and using the generator polynomial  $g_{CRC24C}(D)$ . The output bit  $b_0, b_1, b_2, b_3, ..., b_{K-1}$  is

$$b_k = a_k \quad \text{for } k = 0,1,2,...,A-1$$
 
$$b_k = p_{k-A} \quad \text{for } k = A,A+1,A+2,...,A+L-1,$$

where K = A + L.

After attachment, the CRC parity bits are scrambled with the corresponding RNTI  $x_{mti,0}, x_{mti,1}, ..., x_{mti,15}$ , where  $x_{mti,0}$  corresponds to the MSB of the RNTI, to form the sequence of bits  $C_0, C_1, C_2, C_3, ..., C_{K-1}$ . The relation between  $c_k$  and  $b_k$  is:

$$c_k = b_k$$
 for  $k = 0, 1, 2, ..., A + 7$   
 $c_k = (b_k + x_{mi,k-A-8}) \mod 2$  for  $k = A + 8, A + 9, A + 10, ..., A + 23$ .

## 7.3.3 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where K is the number of bits, and they are encoded via Polar coding according to Subclause 5.3.1, by setting  $n_{\max} = 9$ ,  $I_{IL} = 1$ ,  $n_{PC} = 0$ , and  $n_{PC}^{\text{wm}} = 0$ .

After encoding the bits are denoted by  $d_0, d_1, d_2, d_3, ..., d_{N-1}$ , where N is the number of coded bits.

## 7.3.4 Rate matching

The input bit sequence to rate matching is  $d_0, d_1, d_2, ..., d_{N-1}$ .

Rate matching is performed according to Subclause 5.4.1 by setting  $I_{BIL} = 0$ .

The output bit sequence after rate matching is denoted as  $f_0, f_1, f_2, ..., f_{E-1}$ .

# Annex <A> (informative): Change history

						Change history	
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New
							version
2017-05	RAN1#89	R1-1707082				Draft skeleton	0.0.0
2017-07	AH_NR2	R1-1712014				Inclusion of LDPC related agreements	0.0.1
2017-08	RAN1#90	R1-1714564				Inclusion of Polar coding related agreements	0.0.2
2017-08	RAN1#90	R1-1714659				Endorsed version by RAN1#90 as basis for further updates	0.1.0
2017-09	RAN1#90	R1-1715322				Capturing additional agreements on LDPC and Polar code from RAN1 #90	0.1.1
2017-09	RAN#77	RP-171991				For information to plenary	1.0.0
2017-09	RAN1#90b	R1-1716928				Capturing additional agreements on LDPC and Polar code from RAN1 NR AH#3	1.0.1
2017-10	RAN1#90b	R1-1719106				Endorsed as v1.1.0	1.1.0
2017-11	RAN1#91	R1-1719225				Capturing additional agreements on channel coding, etc.	1.1.1
2017-11	RAN1#91	R1-1719245				Capturing additional agreements on DCI format, channel coding, etc.	1.1.2
2017-11	RAN1#91	R1-1721049				Endorsed as v1.2.0	1.2.0
2017-12	RAN1#91	R1-1721342				Capturing additional agreements on UCI, DCI, channel coding, etc.	1.2.1
2017-12	RAN#78	RP-172668				Endorsed version for approval by plenary.	2.0.0
2017-12	RAN#78					Approved by plenary – Rel-15 spec under change control	15.0.0
2018-03	RAN#79	RP-180200	0001	-	F	CR capturing the Jan18 ad-hoc and RAN1#92 meeting agreements	15.1.0
2018-04	RAN#79					MCC: correction of typo in DCI format 0_1 (time domain resource assignment) – higher layer parameter should be <i>pusch-AllocationList</i>	15.1.1
2018-06	RAN#80	RP-181172	0002	1	F	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements	15.2.0
2018-06	RAN#80	RP-181257	0003	-	В	CR to 38.212 capturing the RAN1#92bis and RAN1#93 meeting agreements related to URLLC	15.2.0
2018-09	RAN#81	RP-181789	0004	-	F	CR to 38.212 capturing the RAN1#94 meeting agreements	15.3.0
2018-12	RAN#82	RP-182523	0005	3	F	Combined CR of all essential corrections to 38.212 from RAN1#94bis and RAN1#95	15.4.0
2019-03	RAN#83	RP-190448	0006	-	F	Correction of wrong implementation on frequency domain resource assignment bitwidth	15.5.0
2019-03	RAN#83	RP-190448	0008	-	F	Correction to UCI multiplexing	15.5.0
2019-03	RAN#83	RP-190448	0009	-	F	Correction on DCI format 2_3 for SUL cell in TS 38.212	15.5.0
2019-03	RAN#83	RP-190448	0010	-	F	Corrections to TS38.212	
2019-03	RAN#83	RP-190448	0011	-	F	On bitwidth calculation for DCI fields using RRC parameter indicating maximum number of MIMO layers per serving cell	15.5.0
2019-03	RAN#83	RP-190448	0012	-	F	CR on zero-padding of DCI 1_1 in cross-carrier scheduling case	15.5.0
2019-03	RAN#83	RP-190448		-	F	Clarification on UL_SUL indicator field and SRS request field	15.5.0
2019-06	RAN#84	RP-191282	0014	-	F	CR on correction to bitwidth of NNZC indicator	15.6.0
2019-06	RAN#84	RP-191282	0015	-	F	Correction on DCI size alignment in TS 38.212	15.6.0
2019-06	RAN#84	RP-191282	0016	-	F	Correction on UL/SUL indicator in DCI format 0_0	15.6.0
2019-06	RAN#84	RP-191282	0017	-	F	Corrections to 38.212 including alignment of terminology across specifications	15.6.0
2019-06	RAN#84	RP-191282	0018	-	F	CR on maximum modulation order configured for serving cell	15.6.0
2019-06	RAN#84	RP-191282	0019	1	F	Corrections to 38.212 including alignment of terminology across specifications from RAN1#97	15.6.0
2019-09	RAN#85	RP-191941	0020	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98	15.7.0
2019-12	RAN#86	RP-192625	0021	-	F	CR on UL/SUL indicator in DCI format 0_1	15.8.0
2019-12	RAN#86	RP-192625	0022	-	F	Corrections to 38.212 including alignment of terminology across specifications in RAN1#98bis and RAN1#99	15.8.0

## History

	Document history						
V15.2.0	July 2018	Publication					
V15.3.0	October 2018	Publication					
V15.4.0	April 2019	Publication					
V15.5.0	May 2019	Publication					
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