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Technical Specification

**LTE;
Evolved Universal Terrestrial Radio Access (E-UTRA)
and Evolved Packet Core (EPC);
Common test environments for User Equipment (UE)
conformance testing
(3GPP TS 36.508 version 8.1.0 Release 8)**

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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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Introduction

The definition of the Conformance Tests for UE in E-UTRAN will be a complex task as the complete test suite covers RF, EMC and Protocol aspects of the UE.

Each test requires a Test Environment to be defined in which the UE has to operate to defined standards, constraints and performance. The overall task can be simplified if there are a number of well defined and agreed Common Test Environments where every one can be used for a number of tests. Hence the present document defines testing conditions that are common to several tests avoiding the need to duplicate the same information for every single test.

The present document defines default values for a variety of common areas. Where values are not specified in test cases, the defaults in the present document will apply. If specified, the test case values will take precedence.

1 Scope

The present document contains definitions of reference conditions and test signals, default parameters, reference radio bearer configurations used in radio bearer interoperability testing, common radio bearer configurations for other test purposes, common requirements for test equipment and generic set-up procedures for use in conformance tests for the 3rd Generation E-UTRAN User Equipment (UE).

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.003: "Numbering, addressing and identification".

[3] 3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode".

[4] 3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core network protocols; Stage 3".

[5] 3GPP TS 34.108: "Common Test Environments for User Equipment (UE); Conformance testing".

[6] 3GPP TS 34.109: "Terminal logical test interface; Special conformance testing functions".

[7] 3GPP TS 34.123-1: "User Equipment (UE) conformance specification; Part 1: Protocol conformance specification".

[8] 3GPP TS 34.123-2: "User Equipment (UE) conformance specification; Part 2: Implementation conformance statement (ICS) specification".

[9] 3GPP TS 34.123-3: "User Equipment (UE) conformance specification; Part 3: Abstract test suites (ATSs)".

[10] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".

[11] 3GPP TS 36.302: "Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer".

[12] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".

[13] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".

[14] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".

[15] 3GPP TS 36.322: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Link Control (RLC) protocol specification".

[16]   3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification".

[17]   3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol Specification".

[18]   3GPP TS 36. 523-1: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part 1: Protocol conformance specification".

[19]   3GPP TS 36.523-2: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part 2: Implementation Conformance Statement (ICS) proforma specification".

[20]   3GPP TS 36.523-3: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); User Equipment (UE) conformance specification; Part 3: Abstract Test Suites (ATS)".

[21]   3GPP TS 36.521-1: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: conformance testing".

[22]   3GPP TS 36.521-2: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 2: Implementation Conformance Statement (ICS)".

[23]   3GPP TR 24.801: "3GPP System Architecture Evolution; CT WG1 aspects".

[24]   3GPP TS 23.401: "General Packet Radio Service(GPRS) enhancements for Evolved Universal Terrestrial Access Network (E-UTRAN) access".

[25]   3GPP TS 51.010-1: "Mobile Station (MS) conformance specification; Part 1: Conformance specification ".

[26]   ISO/IEC 9646 (all parts): "Information technology - Open Systems Interconnection - Conformance testing methodology and framework".

[27]   3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".

[28]   3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[29]   3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".

[30]   3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception".

[31]   3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[32]   3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics".

[33]   3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[34]   3GPP TS 36.521-3: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Radio Resource Management conformance testing".

[35]   3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".

[36]   3GPP2 TSG-C C.S0038-A v2.0: "Signaling Conformance Specification for High Rate Packet Data Air Interface".

[37]   3GPP2 TSG-C C.S0043-0 v1.0: "Signaling Conformance Test Specification for cdma2000 Spread Spectrum Systems".

[38]   3GPP TS 36.509: "Evolved Universal Terrestrial Radio Access (E-UTRA); Special conformance testing functions for User Equipment (UE)"

3   Definitions, symbols and abbreviations

3.1   Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] apply, unless specified below:

B: a value followed by "B" is a binary value.

H: a value followed by "H" is a hexadecimal value.

3.2   Symbols

For the purposes of the present document, the following symbols apply:

N_{DL}	Downlink EARFCN
N_{UL}	Uplink EARFCN

3.3   Abbreviations

For the purposes of the present document, the abbreviations specified in TR 21.905 [1] apply, with any additional abbreviations specified below:

1xRTT	1x Radio Transmission Technology
DRB	(user) Data Radio Bearer
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
ECM	EPS Connection Management
EMM	EPS Mobility Management
ENB	Evolved Node B
EPRE	Energy Per Resource Element
ESM	EPS Session Management
HRPD	High Rate Packet Data
MAC	Media Access Control
OFDM	Orthogonal Frequency Division Multiplexing
RBs	Resource Blocks
ROHC	Robust Header Compression
SS	System Simulator
TH	Temperature High
TL	Temperature Low
VH	Higher extreme Voltage
VL	Lower extreme Voltage
xCH_RA	xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols not containing RS
xCH_RB	xCH-to-RS EPRE ratio for the channel xCH in all transmitted OFDM symbols containing RS

4   Common test environment

4.1   Environmental conditions

The requirements in this clause apply to all types of UE(s).

4.1.1 Temperature

The UE shall fulfil all the requirements in the full temperature range of:

Table 4.1.1-1: Temperature Test Environment

+15°C to +35°C	for normal conditions (with relative humidity of 25 % to 75 %)
-10°C to +55°C	for extreme conditions (see IEC publications 68-2-1 and 68-2-2)

Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 36.101 [27] for extreme operation.

The normative reference for this requirement is TS 36.101 [27] Annex E.1.

Some tests are performed also in extreme temperature conditions. These test conditions are denoted as TL (temperature low, -10°C) and TH (temperature high, +55°C).

4.1.2 Voltage

The UE shall fulfil all the requirements in the full voltage range, i.e. the voltage range between the extreme voltages.

The manufacturer shall declare the lower and higher extreme voltages and the approximate shutdown voltage. For the equipment that can be operated from one or more of the power sources listed below, the lower extreme voltage shall not be higher, and the higher extreme voltage shall not be lower than that specified below.

Table 4.1.2-1: Voltage Test Environment

Power source	Lower extreme voltage	Higher extreme voltage	Normal conditions voltage
AC mains	0,9 * nominal	1,1 * nominal	nominal
Regulated lead acid battery	0,9 * nominal	1,3 * nominal	1,1 * nominal
Non regulated batteries:			
Leclanché	0,85 * nominal	Nominal	Nominal
Lithium	0,95 * nominal	1,1 * Nominal	1,1 * Nominal
Mercury/nickel & cadmium	0,90 * nominal		Nominal

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 36.101 [27] for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

The normative reference for this requirement is TS 36.101 [27] Annex E.2.

Some tests are performed also in extreme voltage conditions. These test conditions are denoted as VL (lower extreme voltage) and VH (higher extreme voltage).

4.2 Common requirements of test equipment

Mobile conformance testing can be categorized into 3 distinct areas:

- RF Conformance Testing.

- EMC Conformance Testing.

- Signalling Conformance Testing.

The test equipment required for each category of testing may or not be different, depending on the supplier of the test equipment. However, there will be some generic requirements of the test equipment that are essential for all three categories of test, and these are specified in this clause.

In addition, there will be requirements to test operation in multi-system configurations (e.g. EUTRAN plus UTRAN). However, these would not form a common test equipment requirement for the three test areas and are not considered in the present document.

4.2.1 General functional requirements

NOTE: This clause has been written such that it does not constrain the implementation of different architectures and designs of test equipment.

All test equipment used to perform conformance testing on a UE shall provide a platform suitable for testing UE's that are either:

a) FDD Mode; or

b) TDD Mode; or

c) both FDD/TDD Modes.

All test equipment shall provide (for the mode(s) supported) the following minimum functionality.

- The capability of emulating a single E-UTRA cell with the appropriate channels to allow the UE to register on the cell.

- The capability to allow the UE to set up an RRC connection with the system simulator, and to maintain the connection for the duration of the test.

- The capability (for the specific test):

  - to select and support an appropriate radio bearer for the downlink;

  - to set the appropriate downlink power levels;

  - to set up and support the appropriate radio bearer for the uplink;

  - to set and control the uplink power levels.

4.2.2 Minimum performance levels

4.3 Reference test conditions

This clause contains the reference test conditions, which apply to all test cases unless otherwise specified.

4.3.1 Test frequencies

The test frequencies are based on the E-UTRA frequency bands defined in the core specifications.

The raster spacing is 100 KHz.

E-UTRA/FDD is designed to operate in paired bands of 3GPP TS 36.101 [27]. The reference test frequencies for the RF and Signalling test environment for each of the 14 operating bands are defined in sub clause 4.3.1.1.

E-UTRA/TDD is designed to operate in unpaired bands of 3GPP TS 36.101 [27]. The reference test frequencies for the RF and Signalling test environment for each of the 8 operating bands are defined in sub clause 4.3.1.2.

NOTE: For Signalling testing, E-UTRA frequency to be tested is mid range and E-UTRA channel bandwidth to be tested is 5MHz for all operating bands for all test cases as the default configuration.

NOTE: For RF testing, E-UTRA frequencies to be tested are low range, mid range and high range for all supported operating bands by default. E-UTRA channel bandwidths to be tested are lowest bandwidth, 5MHz bandwidth and highest bandwidth for all supported operating bands by default. Actual test configurations are specified case by case and stated in test case itself as the initial conditions.

NOTE: The lowest bandwidth, 5MHz bandwidth and highest bandwidth are selected from the combined table which includes nominal and additional channel bandwidth.

NOTE: In the case 5MHz bandwidth is not supported by the UE (e.g. band 40), E-UTRA channel bandwidth to be tested are only lowest bandwidth and highest bandwidth.

4.3.1.1 FDD Mode Test frequencies

4.3.1.1.1 FDD reference test frequencies for operating band 1

Table 4.3.1.1.1-1: Test frequencies for E-UTRA channel bandwidth for operating band 1

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	5	18025	1922.5	25	2112.5
	10	18050	1925	50	2115
	15	18075	1927.5	75	2117.5
	20	18100	1930	100	2120
Mid Range	5/10/15/20	18300	1950	300	2140
High Range	5	18575	1977.5	575	2167.5
	10	18550	1975	550	2165
	15	18525	1972.5	525	2162.5
	20	18500	1970	500	2160

4.3.1.1.2 FDD reference test frequencies for operating band 2

Table 4.3.1.1.2-1: Test frequencies for E-UTRA channel bandwidth for operating band 2

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	18607	1850.7	607	1930.7
	3	18615	1851.5	615	1931.5
	5	18625	1852.5	625	1932.5
	10	18650	1855	650	1935
	15 [1]	18675	1857.5	675	1937.5
	20 [1]	18700	1860	700	1940
Mid Range	1.4/3/5/10 15 [1]/20 [1]	18900	1880	900	1960
High Range	1.4	19193	1909.3	1193	1989.3
	3	19185	1908.5	1185	1988.5
	5	19175	1907.5	1175	1987.5
	10	19150	1905	1150	1985
	15 [1]	19125	1902.5	1125	1982.5
	20 [1]	19100	1900	1100	1980
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.3 FDD reference test frequencies for operating band 3

Table 4.3.1.1.3-1: Test frequencies for E-UTRA channel bandwidth for operating band 3

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	19207	1710.7	1207	1805.7
	3	19215	1711.5	1215	1806.5
	5	19225	1712.5	1225	1807.5
	10	19250	1715	1250	1810
	15 [1]	19275	1717.5	1275	1812.5
	20 [1]	19300	1720	1300	1815

Mid Range	1.4/3/5/10 15 [1]/20 [1]	19575	1747.5	1575	1842.5
High Range	1.4	19943	1784.3	1943	1879.3
	3	19935	1783.5	1935	1878.5
	5	19925	1782.5	1925	1877.5
	10	19900	1780	1900	1875
	15 [1]	19875	1777.5	1875	1872.5
	20 [1]	19850	1775	1850	1870
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.4 FDD reference test frequencies for operating band 4

Table 4.3.1.1.4-1: Test frequencies for E-UTRA channel bandwidth for operating band 4

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	19957	1710.7	1957	2110.7
	3	19965	1711.5	1965	2111.5
	5	19975	1712.5	1975	2112.5
	10	20000	1715	2000	2115
	15	20025	1717.5	2025	2117.5
	20	20050	1720	2050	2120
Mid Range	1.4/3/5/10/15/20	20175	1732.5	2175	2132.5
High Range	1.4	20393	1754.3	2393	2154.3
	3	20385	1753.5	2385	2153.5
	5	20375	1752.5	2375	2152.5
	10	20350	1750	2350	2150
	15	20325	1747.5	2325	2147.5
	20	20300	1745	2300	2145

4.3.1.1.5 FDD reference test frequencies for operating band 5

Table 4.3.1.1.5-1: Test frequencies for E-UTRA channel bandwidth for operating band 5

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	20407	824.7	2407	869.7
	3	20415	825.5	2415	870.5
	5	20425	826.5	2425	871.5
	10 [1]	20450	829	2450	874
Mid Range	1.4/3/5 10 [1]	20525	836.5	2525	881.5
High Range	1.4	20643	848.3	2643	893.3
	3	20635	847.5	2635	892.5
	5	20625	846.5	2625	891.5
	10 [1]	20600	844	2600	889
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.6 FDD reference test frequencies for operating band 6

Table 4.3.1.1.6-1: Test frequencies for E-UTRA channel bandwidth for operating band 6

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]

Low Range	5	20675	832.5	2675	877.5
	10 [1]	20700	835	2700	880
Mid Range	5 10 [1]	20700	835	2700	880
High Range	5	20725	837.5	2725	882.5
	10 [1]	20700	835	2700	880
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

NOTE: For Band VI testing, the Mobile Country Code shall be set to (MCC = '442/443').

4.3.1.1.7   FDD reference test frequencies for operating band 7

Table 4.3.1.1.7-1: Test frequencies for E-UTRA channel bandwidth for operating band 7

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	5	20775	2502.5	2775	2622.5
	10	20800	2505	2800	2625
	15	20825	2507.5	2825	2627.5
	20 [1]	20850	2510	2850	2630
Mid Range	5/10/15 20 [1]	21100	2535	3100	2655
High Range	5	21425	2567.5	3425	2687.5
	10	21400	2565	3400	2685
	15	21375	2562.5	3375	2682.5
	20 [1]	21350	2560	3350	2680
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.8   FDD reference test frequencies for operating band 8

Table 4.3.1.1.8-1: Test frequencies for E-UTRA channel bandwidth for operating band 8

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	21457	880.7	3457	925.7
	3	21465	881.5	3465	926.5
	5	21475	882.5	3475	927.5
	10 [1]	21500	885	3500	930
Mid Range	1.4/3/5 10 [1]	21625	897.5	3625	942.5
High Range	1.4	21793	914.3	3793	959.3
	3	21785	913.5	3785	958.5
	5	21775	912.5	3775	957.5
	10 [1]	21750	910	3750	955
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.9   FDD reference test frequencies for operating band 9

Table 4.3.1.1.9-1: Test frequencies for E-UTRA channel bandwidth for operating band 9

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	5	21825	1752.4	3825	1847.4
	10	21850	1754.9	3850	1849.9

	15 [1]	21875	1757.4	3875	1852.4
	20 [1]	21900	1759.9	3900	1854.9
Mid Range	5/10 15 [1]/20 [1]	21975	1767.4	3975	1862.4
High Range	5	22125	1782.4	4125	1877.4
	10	22100	1779.9	4100	1874.9
	15 [1]	22075	1777.4	4075	1872.4
	20 [1]	22050	1774.9	4050	1869.9
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.10 FDD reference test frequencies for operating band 10

Table 4.3.1.1.10-1: Test frequencies for E-UTRA channel bandwidth for operating band 10

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	5	22175	1712.5	4175	2112.5
	10	22200	1715	4200	2115
	15	22225	1717.5	4225	2117.5
	20	22250	1720	4250	2120
Mid Range	5/10/15/20	22450	1740	4450	2140
High Range	5	22725	1767.5	4725	2167.5
	10	22700	1765	4700	2165
	15	22675	1762.5	4675	2162.5
	20	22650	1760	4650	2160

4.3.1.1.11 FDD reference test frequencies for operating band 11

Table 4.3.1.1.11-1: Test frequencies for E-UTRA channel bandwidth for operating band 11

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	5	22775	1430.4	4775	1478.4
	10 [1]	22800	1432.9	4800	1480.9
	15 [1]	22825	1435.4	4825	1483.4
	20 [1]	22850	1437.9	4850	1485.9
Mid Range	5 10 [1]/15 [1]/20 [1]	22875	1440.4	4875	1488.4
High Range	5	22975	1450.4	4975	1498.4
	10 [1]	22950	1447.9	4950	1495.9
	15 [1]	22925	1445.4	4925	1493.4
	20 [1]	22900	1442.9	4900	1490.9
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.12 FDD reference test frequencies for operating band 12

Table 4.3.1.1.12-1: Test frequencies for E-UTRA channel bandwidth for operating band 12

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	23007	698.7	5007	728.7
	3	23015	699.5	5015	729.5
	5 [1]	23025	700.5	5025	730.5
	10 [1]	23050	703	5050	733
Mid Range	1.4/3 5 [1]/10 [1]	23090	707	5090	737
High Range	1.4	23173	715.3	5173	745.3
	3	23165	714.5	5165	744.5

	5 [1]	23155	713.5	5155	743.5
	10 [1]	23130	711	5130	741
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.13 FDD reference test frequencies for operating band 13

Table 4.3.1.1.13-1: Test frequencies for E-UTRA channel bandwidth for operating band 13

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	23187	777.7	5187	746.7
	3	23195	778.5	5195	747.5
	5 [1]	23205	779.5	5205	748.5
	10 [1]	23230	782	5230	751
Mid Range	1.4/3 5 [1]/10 [1]	23230	782	5230	751
High Range	1.4	23273	786.3	5273	755.3
	3	23265	785.5	5265	754.5
	5 [1]	23255	784.5	5255	753.5
	10 [1]	23230	782	5230	751
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.14 FDD reference test frequencies for operating band 14

Table 4.3.1.1.14-1: Test frequencies for E-UTRA channel bandwidth for operating band 14

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	23287	788.7	5287	758.7
	3	23295	789.5	5295	759.5
	5 [1]	23305	790.5	5305	760.5
	10 [1]	23330	793	5330	763
Mid Range	1.4/3 5 [1]/10 [1]	23330	793	5330	763
High Range	1.4	23373	797.3	5373	767.3
	3	23365	796.5	5365	766.5
	5 [1]	23355	795.5	5355	765.5
	10 [1]	23330	793	5330	763
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.1.15 FDD reference test frequencies for operating band 15

[FFS; not yet specified in TS 36.101]

4.3.1.1.16 FDD reference test frequencies for operating band 16

[FFS; not yet specified in TS 36.101]

4.3.1.1.17 FDD reference test frequencies for operating band 17

Table 4.3.1.1.17-1: Test frequencies for E-UTRA channel bandwidth for operating band 17

Test Frequency ID	Bandwidth [MHz]	N_{UL}	Frequency of Uplink [MHz]	N_{DL}	Frequency of Downlink [MHz]
Low Range	1.4	23737	704.7	5737	734.7
	3	23745	705.5	5745	735.5

	5 [1]	23755	706.5	5755	736.5
	10 [1]	23780	709	5780	739
Mid Range	1.4/3 5 [1]/10 [1]	23790	710	5790	740
High Range	1.4	23843	715.3	5843	745.3
	3	23835	714.5	5835	744.5
	5 [1]	23825	713.5	5825	743.5
	10 [1]	23800	711	5800	741
NOTE 1: Bandwidth for which a relaxation of the specified UE receiver sensitivity requirement (TS 36.101 [27] Clause 7.3) is allowed.					

4.3.1.2 TDD Mode Test frequencies

4.3.1.2.1 TDD reference test frequencies for Operating Band 33

Table 4.3.1.2.1-1: Test frequencies for E-UTRA channel bandwidth for operating band 33

Test Frequency ID	Bandwidth [MHz]	EARFCN	Frequency (UL and DL) [MHz]
Low Range	5	36025	1902.5
	10	36050	1905
	15	36075	1907.5
	20	36100	1910
Mid Range	5/10/15/20	36100	1910
High Range	5	36175	1917.5
	10	36150	1915
	15	36125	1912.5
	20	36100	1910

4.3.1.2.2 TDD reference test frequencies for Operating Band 34

Table 4.3.1.2.2-1: Test frequencies for E-UTRA channel bandwidth for operating band 34

Test Frequency ID	Bandwidth [MHz]	EARFCN	Frequency (UL and DL) [MHz]
Low Range	5	36225	2012.5
	10	36250	2015
	15	36275	2017.5
Mid Range	5/10/15	36275	2017.5
High Range	5	36325	2022.5
	10	36300	2020
	15	36275	2017.5

4.3.1.2.3 TDD reference test frequencies for Operating Band 35

Table 4.3.1.2.3-1: Test frequencies for E-UTRA channel bandwidth for operating band 35

Test Frequency ID	Bandwidth [MHz]	EARFCN [MHz]	Frequency (UL and DL) [MHz]
Low Range	1.4	36357	1850.7
	3	36365	1851.5
	5	36375	1852.5
	10	36400	1855
	15	36425	1857.5
	20	36450	1860
Mid Range	1.4/3/5/10/15/20	36650	1880
High Range	1.4	36943	1909.3
	3	36935	1908.5
	5	36925	1907.5
	10	36900	1905
	15	36875	1902.5
	20	36850	1900

4.3.1.2.4 TDD reference test frequencies for Operating Band 36

Table 4.3.1.2.4-1: Test frequencies for E-UTRA channel bandwidth for operating band 36

Test Frequency ID	Bandwidth [MHz]	EARFCN [MHz]	Frequency (UL and DL) [MHz]
Low Range	1.4	36957	1930.7
	3	36965	1931.5
	5	36975	1932.5
	10	37000	1935
	15	37025	1937.5
	20	37050	1940
Mid Range	1.4/3/5/10/15/20	37250	1960
High Range	1.4	37543	1989.3
	3	37535	1988.5
	5	37525	1987.5
	10	37500	1985
	15	37475	1982.5
	20	37450	1980

4.3.1.2.5 TDD reference test frequencies for Operating Band 37

Table 4.3.1.2.5-1: Test frequencies for E-UTRA channel bandwidth for operating band 37

Test Frequency ID	Bandwidth [MHz]	EARFCN [MHz]	Frequency (UL and DL) [MHz]
Low Range	5	37575	1912.5
	10	37600	1915
	15	37625	1917.5
	20	37650	1920
Mid Range	5/10/15/20	37650	1925
High Range	5	37725	1927.5
	10	37700	1925
	15	37675	1922.5
	20	37650	1920

4.3.1.2.6 TDD reference test frequencies for Operating Band 38

Table 4.3.1.2.6-1: Test frequencies for E-UTRA channel bandwidth for operating band 38

Test Frequency ID	Bandwidth [MHz]	EARFCN	Frequency (UL and DL) [MHz]
Low Range	5	37775	2572.5
	10	37800	2575
Mid Range	5/10	38000	2595
High Range	5	38225	2617.5
	10	38200	2615

4.3.1.2.7 TDD reference test frequencies for Operating Band 39

Table 4.3.1.2.7-1: Test frequencies for E-UTRA channel bandwidth for operating band 39

Test Frequency ID	Bandwidth [MHz]	EARFCN	Frequency (UL and DL) [MHz]
Low Range	5	38275	1882.5
	10	38300	1885
	15	38325	1887.5
	20	38350	1890
Mid Range	5/10/15/20	38450	1900
High Range	5	38625	1917.5
	10	38600	1915
	15	38575	1912.5
	20	38550	1910

4.3.1.2.8 TDD reference test frequencies for Operating Band 40

Table 4.3.1.2.8-1: Test frequencies for E-UTRA channel bandwidth for operating band 40

Test Frequency ID	Bandwidth [MHz]	EARFCN	Frequency (UL and DL) [MHz]
Low Range	10	38700	2305
	15	38725	2307.5
	20	38750	2310
Mid Range	10/15/20	39150	2350
High Range	10	39600	2395
	15	39575	2392.5
	20	39550	2390

4.3.2 Radio conditions

4.3.2.1 Normal propagation condition

The downlink connection between the System Simulator and the UE is without Additive White Gaussian Noise, and has no fading or multipath effects.

The uplink connection between the UE and System Simulator is without Additive White Gaussian Noise, and has no fading or multipath effects.

4.3.3 Physical channel allocations

4.3.3.1 Antennas

One SS transmit antenna port is used. It may connect to one or two Rx antenna ports of the UE under test, as specified in the test case.

One SS receive antenna port is used unless otherwise stated in the test case, and may be duplexed with the SS transmit antennal port.

4.3.3.2   Downlink physical channels and physical signals

The Downlink Physical channels and Physical signals used and their relative powers are specified in table 4.3.3.2-1. The details of downlink power allocation for PDSCH channel are described in TS 36.213 [29] clause 5.2.

Table 4.3.3.2-1: Power allocation for OFDM symbols and reference signals, single SS Tx antenna

Physical Channel	EPRE Ratio
PBCH	PBCH_RA = 0 dB
	PBCH_RB = 0 dB
PSS	PSS_RA = 0 dB
SSS	SSS_RA = 0 dB
PCFICH	PCFICH_RB = 0 dB
PDCCH	PDCCH_RA = 0 dB
	PDCCH_RB = 0 dB
PDSCH	PDSCH_RA = 0 dB
	PDSCH_RB = 0 dB
PHICH	PHICH_RB = 0 dB

4.3.3.3   Mapping of downlink physical channels and signals to physical resources

Parameters for mapping of downlink physical channels and signals are specified as follows.

- Normal Cyclic Prefix
- N_{ID}^{cell}, Physical layer cell identity $= 0$ is used as the default physical layer cell identity
- CFI $= 3$ for 1.4, 3 and 5 MHz system bandwidths
  $= 2$ for 10, 15 and 20 MHz system bandwidths
- Ng $= 1$
- PHICH duration = Normal

For Signalling testing, the default system bandwidth is 5MHz and single SS Tx antenna is used unless specified otherwise in the test case. The mapping of downlink physical channels to physical resources for Single Tx Antenna and 5 MHz system bandwidth is described in table 4.3.3.3-1.

For RF testing, the mapping of DL physical channels to resource element is defined TS 36.521-1 [21] Annex C.1.

Table 4.3.3.3-1: Mapping of DL Physical Channels to Resource Elements for Single SS Tx Antenna and 5 MHz System Bandwidth (FDD)

Physical channel	Time Domain Location	Frequency Domain Location	Note
PBCH	Symbols 0 to 3 of slot 1 of subframe 0 of each radio frame	Occupies 72 subcarriers centred on the DC subcarrier	Mapping rule is specified in TS36.211 [35] sub clause 6.6.4
PSS	Symbol 6 of slot 0 and 10 of each radio frame	Occupies 62 subcarriers centred on the DC subcarrier	Mapping rule is specified in TS36.211 [35] sub clause 6.11.1.2
SSS	Symbol 5 of slots 0 and 10 of each radio frame	Occupies 62 subcarriers centred on the DC subcarrier	Mapping rule is specified in TS36.211 [35] sub clause 6.11.2.2
PCFICH	Symbol 0 of each subframe	Maps into 4 REGs uniformly spread in the frequency domain over the whole system bandwidth.	Mapping rule is specified in TS36.211 [35] sub clause 6.7.4 - CELL_ID = 0
PHICH	Symbol 0 of each subframe	Each PHICH group maps into 3 REGs in the frequency domain on the REGs not assigned to PCFICH over the whole system bandwidth,	Mapping rule is specified in TS36.211 [35] sub clause 6.9.3 - CELL_ID = 0 - Number of PHICH group = 4
PDCCH	Symbols 0, 1, 2 of each subframe	The remaining REQs not allocated to both PCFICH and PHICH are used for PDCCH	Mapping rule is specified in TS36.211 [35] sub clause 6.8.5 - CFI = 3
PDSCH	All remaining OFDM symbols of each subframe not allocated to PDCCH	For Subframe 0, REs not allocated to RS, PSS, SSS and PBCH is allocated to PDSCH For Subframe 5, REs not allocated to RS, PSS and SSS is allocated to PDSCH For other subframes, REs not allocated to RS is allocated to PDSCH	

NOTE: In case a single cell-specific RS is configured, cell-specific RS shall be assume to be present on antenna ports 0 and 1 for the purpose of mapping a symbol-quadruplet to a REG (resource element group). (See TS 36.211 [35] sub clause 6.2.4)

Table 4.3.3.3-2: Mapping of DL Physical Channels to Resource Elements for Single SS Tx Antenna and 5 MHz System Bandwidth (TDD)

Physical channel	Time Domain Location	Frequency Domain Location	Note
PBCH	Symbols 0 to 3 of slot 1 of subframe 0 of each radio frame	Occupies 72 subcarriers centered on the DC subcarrier	Mapping rule is specified in TS36.211 [35] subclause 6.6.4
PSS	Symbol 2 of slot 2 and 12 of each radio frame	Occupies 62 subcarriers centered on the DC subcarrier	Mapping rule is specified in TS36.211 [35] subclause 6.11.1.2
SSS	Symbol 6 of slots 1 and 11 of each radio frame	Occupies 62 subcarriers centered on the DC subcarrier	Mapping rule is specified in TS36.211 [35] subclause 6.11.2.2
PCFICH	Symbol 0 of each downlink subframe and Special subframe	Maps into 4 REGs uniformly spread in the frequency domain over the whole system bandwidth.	Mapping rule is specified in TS36.211 [35] subclause 6.7.4 - CELL_ID = 0
PHICH	Symbol 0 of each downlink subframe and Special subframe	Each PHICH group maps into 3 REGs in the frequency domain on the REGs not assigned to PCFICH over the whole system bandwidth,	Mapping rule is specified in TS36.211 [35] subclause 6.9.3 - CELL_ID = 0 - Number of PHICH group = 4
PDCCH	Symbols 0, 1 of subframe 1, 6 and Symbols 0, 1, 2 of other downlink subframes	The remaining REQs not allocated to both PCFICH and PHICH are used for PDCCH	Mapping rule is specified in TS36.211 [35] subclause 6.8.5 - CFI = 3
PDSCH	All remaining OFDM symbols of each downlink subframe and DwPTS not allocated to PDCCH	For Subframe 0, REs not allocated to RS, SSS and PBCH is allocated to PDSCH For Subframe 5, REs not allocated to RS and SSS is allocated to PDSCH For Subframe 1 and 6, REs not allocated to RS, PSS, GP and UpPTS is allocated to PDSCH For other downlink subframes, REs not allocated to RS is allocated to PDSCH	

NOTE 1: In case a single cell-specific RS is configured, cell-specific RS shall be assume to be present on antenna ports 0 and 1 for the purpose of mapping a symbol-quadruplet to a REG (resource element group). (See TS 36.211 [35] subclause 6.2.4)

NOTE 2: In case the default TDD configuration for subframe assignment and special subframe patterns (see subclause 4.6.3)4.3.3.4   Uplink physical channels and physical signals

[FFS].

4.3.3.5   Mapping of uplink physical channels and signals to physical resources

[FFS].

4.3.4 Signal levels

4.3.4.1 Downlink signal levels

When the SS downlink connects to the UE via one Rx antenna port, the downlink power settings in table 4.3.4.1-1 are used unless otherwise specified in a test case.

When the SS downlink connects to the UE via two Rx antennas ports, the downlink power settings in table 4.3.4.1-2 are used unless otherwise specified in a test case.

Table 4.3.4.1-1: Default Downlink power levels for 1 UE Rx antenna

	Unit	Channel bandwidth					
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Number of RBs		6	15	25	50	75	100
Channel BW Power	dBm	-66	-62	-60	-57	-55	-54
RS EPRE	dBm/15kHz	-85	-85	-85	-85	-85	-85
Note 1: The channel bandwidth powers are informative, based on -85dBm/15kHz RS_EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. Full RE allocation with no boost or deboost is assumed.							

Table 4.3.4.1-2: Default Downlink power levels for 2 UE Rx antennas

	Unit	Channel bandwidth					
		1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20 MHz
Number of RBs		6	15	25	50	75	100
Channel BW Power	dBm	-69	-65	-63	-60	-58	-57
RS EPRE	dBm/15kHz	-88	-88	-88	-88	-88	-88
Note 1: The channel bandwidth powers are informative, based on -85dBm/15kHz RS_EPRE, then scaled according to the number of RBs and rounded to the nearest integer dBm value. The power is then split between the two antennas, and therefore specified per port. Full RE allocation with no boost or deboost is assumed.							

It is [FFS] whether there is a requirement to specify constant power throughout all OFDM symbols, and if so how unallocated Resource elements should be treated.

The default signal level uncertainty is +/-3dB at each test port, for any level specified. If the uncertainty value is critical for the test purpose a tighter uncertainty is specified for the related test case in TS 36.521-1 [21] Annex F or in TS 36.521-3 [34] Annex F

4.3.4.2 Uplink signal levels

[FFS]

4.3.5 Standard test signals

4.3.5.1 Downlink test signals

[FFS]

4.3.5.2 Uplink test signals

[FFS]

4.4 Reference system configurations

The reference system configurations specified in this sub clause apply to all test cases unless otherwise specified.

4.4.1 Simulated network scenarios

The UE will eventually have to operate in either single mode networks (FDD or TDD), dual mode networks (FDD+TDD), or inter-RAT networks ((FDD or TDD) + (UTRA, GSM, HRPD or 1xRTT)).

Simulated network scenarios to be tested are listed up in this sub clause.

> NOTE: For NAS test cases see sub clause 6.3.2.

4.4.1.1 Single cell network scenarios

For FDD and TDD basic single cell environment, Cell 1 is used.

4.4.1.2 Intra E-UTRA multi cell network scenarios

For FDD and TDD basic intra-frequency multi cell environment, Cell 1, Cell 2 and Cell 4 are used.

For FDD and TDD basic inter-frequency multi cell environment, Cell 1, Cell 3 and Cell 6 are used.

For FDD and TDD basic inter-band cell environment, Cell 1 and Cell 10 are used.

For FDD and TDD multi tracking area intra-frequency multi cell environment, Cell 1 and Cell 11 are used.

For FDD and TDD multi PLMN inter-frequency multi cell environment, Cell 1, Cell 12, Cell 13, Cell 14 are used.

4.4.1.3 Dual mode network scenarios

[FFS for FDD+TDD]

4.4.1.4 3GPP Inter-RAT network scenarios

For FDD and TDD basic inter-RAT cell environment with UTRA, Cell 1 and Cell 5 are used.

For FDD and TDD inter-RAT cell environment with multi UTRA cells, Cell 1 and Cell 7, Cell 8 and Cell 9 is used.

For FDD and TDD inter-RAT cell environment with GSM, Cell 1 and [FFS] are used.

4.4.1.5 3GPP2 Inter-RAT network scenarios

For FDD and TDD inter-RAT cell environment with HRPD, Cell 1 and Cell 15 are used.

For FDD and TDD inter-RAT cell environment with multi HRPD cells, Cell 1 and Cell 16, Cell 17 and Cell 18 are used.

For FDD and TDD inter-RAT cell environment with 1xRTT, Cell 1 and Cell 19 are used.

For FDD and TDD inter-RAT cell environment with multi 1xRTT cells, Cell 1 and Cell 20, Cell 21 and Cell 22 are used.

4.4.2 Simulated cells

> *Editor's Note: It is FFS how many simultaneous cells are needed for testing.*

> NOTE: For NAS test cases, see subclause 6.3.2.

> NOTE: Test frequency and range defined in table 4.4.2-1 do not apply to 36.521-1 test cases.

Test frequencies and simulated cells are defined in table 4.4.2-1. For E-UTRA cells, f1 is the default test frequency. For UTRA cells, f8 is the default test frequency. For GERAN cells, f11 is the default test frequency. For CDMA2000 HRPD cells, f14 is the default test frequency. For CDMA 2000 1xRTT cells, f17 is the default test frequency.

Default parameters for simulated cells are specified in table 4.4.2-1A and table 4.4.2-2.

Common parameters for simulated cells are specified in subclauses 4.4.3 to 4.4.6.

Other cell specific parameters are specified in subclause 4.4.7.

Table 4.4.2-1: Definition of test frequencies and simulated cells

Test frequency	RAT	Operating band	Range	Simulated cells
f1	E-UTRA	Operating band under test	Mid	Cell 1, Cell 2, Cell 4, Cell 11
f2	E-UTRA	Operating band under test	High	Cell 3, Cell 12
f3	E-UTRA	Operating band under test	Low	Cell 6, Cell 13
f4	E-UTRA	Operating band under test	[FFS]	Cell 14
f5	E-UTRA	Operating band for inter-band cells	Mid	Cell 10
f6	E-UTRA	Operating band for inter-band cells	High	
f7	E-UTRA	Operating band for inter-band cells	Low	
f8	UTRA	Operating band for UTRA cells	Mid	Cell 5, Cell 7, Cell 8, Cell 9
f9	UTRA	Operating band for UTRA cells	High	
f10	UTRA	Operating band for UTRA cells	Low	
f11	GERAN	Operating band for GERAN cells	Mid	
f12	GERAN	Operating band for GERAN cells	High	
f13	GERAN	Operating band for GERAN cells	Low	
f14	CDMA2000 HRPD	Operating band for CDMA2000 HRPD cells	FFS	Cell 15, Cell 16, Cell 17, Cell 18
f15	CDMA2000 HRPD	Operating band for CDMA2000 HRPD cells	FFS	
f16	CDMA2000 HRPD	Operating band for CDMA2000 HRPD cells	FFS	
f17	CDMA2000 1xRTT	Operating band for CDMA2000 1xRTT cells	FFS	Cell 19, Cell 20, Cell 21, Cell 22
f18	CDMA2000 1xRTT	Operating band for CDMA2000 1xRTT cells	FFS	
f19	CDMA2000 1xRTT	Operating band for CDMA2000 1xRTT cells	FFS	

Table 4.4.2-1A: Default E-UTRA parameters for simulated cells

cell ID	E-UTRAN Cell Identifier		Physical layer cell identity
	eNB Identifier	Cell Identity	
Cell 1	'0000 0000 0000 0000 0001'B	'0000 0001'B	1
Cell 2	'0000 0000 0000 0000 0001'B	'0000 0010'B	2
Cell 3	'0000 0000 0000 0000 0010'B	'0000 0011'B	3
Cell 4	'0000 0000 0000 0000 0011'B	'0000 0100'B	4
Cell 6	'0000 0000 0000 0000 0100'B	'0000 0110'B	6
Cell 10	'0000 0000 0000 0000 0101'B	'0000 1010'B	10
Cell 11	'0000 0000 0000 0000 0110'B	'0000 1011'B	11
Cell 12	'0000 0000 0000 0000 0010'B	'0000 1100'B	12
Cell 13	'0000 0000 0000 0000 0100'B	'0000 1101'B	13
Cell 14	'0000 0000 0000 0000 0111'B	'0000 1110'B	14

Table 4.4.2-2: Default NAS parameters for simulated cells

cell ID	Tracking Area				TA# list (Note 1)	GUTI (Note 2)			M-TMSI
	TA#	PLMN		TAC		MME Identifier			
		MCC	MNC			MME Group ID	MME Code		
Cell 1	TAI-1	(Note 3)		1	TAI-1	1	1		Arbitrarily selected according to TS 23.003 subclause 2.8 [2].
Cell 2	TAI-1	(Note 3)		1	TAI-1	1	1		
Cell 3	TAI-1	(Note 3)		1	TAI-1	1	1		
Cell 4	TAI-1	(Note 3)		1	TAI-1	1	1		
Cell 6	TAI-1	(Note 3)		1	TAI-1	1	1		
Cell 10	TAI-1	(Note 3)		1	TAI-1	1	1		
Cell 11	TAI-2	(Note 3)		2	TAI-2	2	1		
Cell 12	TAI-1	002	11	1	TAI-1	1	1		
Cell 13	TAI-1	003	21	1	TAI-1	1	1		
Cell 14	TAI-1	004	31	1	TAI-1	1	1		

NOTE 1: The value(s) in the column TA# list indicates TAI(s) included in the response messages of the registration procedure (ATTACH ACCEPT or TRACKING AREA UPDATE ACCEPT) when the UE performs the registration procedure on a corresponding cell.

NOTE 2: The value in the column GUTI indicates GUTI included in the response messages of the registration procedure (ATTACH ACCEPT or TRACKING AREA UPDATE ACCEPT) when the UE performs the registration procedure on a corresponding cell.

NOTE 3: Set to the same Mobile Country Code and Mobile Network Code stored in EF$_{IMSI}$ on the test USIM card (subclause 4.9.3).

4.4.3 Common parameters for simulated E-UTRA cells

The parameters specified in this sub clause apply to all simulated E-UTRA cells unless otherwise specified.

4.4.3.1 Common configurations of system information blocks

4.4.3.1.1 Combinations of system information blocks

The combination of system information blocks required by a test case depends on the test case scenario. In this clause, the following combinations of system information blocks are defined.

Combination 1 is the default combination which applies to the following test case scenarios:

 - E-UTRA FDD single cell scenario

 - E-UTRA TDD single cell scenario

 - E-UTRA FDD intra-frequency multi cell scenario

 - E-UTRA TDD intra-frequency multi cell scenario

 - E-UTRA FDD+TDD dual mode multi cell scenario

Combination 2 applies to the following test case scenarios:

 - E-UTRA FDD intra-frequency multi cell scenario with neighbouring cell related information

 - E-UTRA TDD intra-frequency multi cell scenario with neighbouring cell related information

Combination 3 applies to the following test case scenarios:

 - E-UTRA FDD inter-frequency multi cell scenario

 - E-UTRA TDD inter-frequency multi cell scenario

 - E-UTRA FDD inter-band multi cell scenario

 - E-UTRA TDD inter-band multi cell scenario

Combination 4 applies to the following test case scenarios:

- 3GPP inter-RAT E-UTRA FDD + UTRA FDD multi cell scenario

- 3GPP inter-RAT E-UTRA TDD + UTRA TDD multi cell scenario

Editor's note: 3GGP Inter-RAT multi cell scenarios with other combinations of E-UTRA and UTRA modes are FFS.

Combination 5 applies to the following test case scenarios:

- 3GPP inter-RAT E-UTRA FDD + GERAN multi cell scenario

- 3GPP inter-RAT E-UTRA TDD + GERAN multi cell scenario

Combination 6 applies to the following test case scenarios:

- 3GPP2 inter-RAT E-UTRA FDD + HRPD multi cell scenario

- 3GPP2 inter-RAT E-UTRA TDD + HRPD multi cell scenario

- 3GPP2 inter-RAT E-UTRA FDD + 1xRTT multi cell scenario

- 3GPP2 inter-RAT E-UTRA TDD + 1xRTT multi cell scenario

Editor's note: 3GPP2 Inter-RAT multi cell scenarios with E-UTRA + HRPD + 1xRTT are FFS.

Combination 7 applies to the following test case scenarios:

- E-UTRA FDD + home eNB multi cell scenario

- E-UTRA TDD + home eNB multi cell scenario

Combination 8 applies to the following test case scenarios:

- E-UTRA FDD ETWS single cell scenario

- E-UTRA TDD ETWS single cell scenario

Combination 9 applies to the following test case scenarios:

- E-UTRA FDD inter-frequency + 3GPP inter-RAT UTRA multi-cell scenario

- E-UTRA TDD inter-frequency + 3GPP inter-RAT UTRA multi-cell scenario

The combinations of system information blocks are defined in table 4.4.3.1.1-1.

Table 4.4.3.1.1-1: Combinations of system information blocks

Combination No.	System information block type									
	SIB2	SIB3	SIB4	SIB5	SIB6	SIB7	SIB8	SIB9	SIB10	SIB11
1	X	X								
2	X	X	X							
3	X	X		X						
4	X	X			X					
5	X	X				X				
6	X	X					X			
7	X	X	X					X		
8	X	X							X	X
9	X	X		X	X					

4.4.3.1.2 Scheduling of system information blocks

The scheduling configurations for combinations of system information blocks are defined in the following tables.

Table 4.4.3.1.2-1: Scheduling for combination 1

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3

Table 4.4.3.1.2-2: Scheduling for combination 2

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB4

Table 4.4.3.1.2-3: Scheduling for combination 3

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB5

Table 4.4.3.1.2-4: Scheduling for combination 4

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB6

Table 4.4.3.1.2-5: Scheduling for combination 5

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB7

Table 4.4.3.1.2-6: Scheduling for combination 6

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB8

Table 4.4.3.1.2-7: Scheduling for combination 7

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See subclause 4.4.3.4	SIB4
4	FFS	SIB9

Table 4.4.3.1.2-8: Scheduling for combination 8

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	FFS	SIB10
4	FFS	SIB11

Table 4.4.3.1.2-9: Scheduling for combination 9

Scheduling Information No.	Periodicity [radio frames]	Mapping of system information blocks
1	16	SIB2
2	See sub clause 4.4.3.4	SIB3
3	See sub clause 4.4.3.4	SIB5, SIB6

4.4.3.2 Common contents of system information messages

- *MasterInformationBlock*

The *MasterInformationBlock* includes the system information transmitted on BCH.

Table 4.4.3.2-1: *MasterInformationBlock*

Derivation Path: 36.331 clause 6.2.2			
Information Element	Value/remark	Comment	Condition
MasterInformationBlock ::= SEQUENCE {			
dl-Bandwidth	Downlink system bandwidth under test.		
phich-Configuration SEQUENCE {}	PHICH-Configuration-DEFAULT	See subclause 4.6.3	
systemFrameNumber	A valid value as defined in TS 36.331 [17]		
spare	'0000 0000 00'B		
}			

- *SystemInformation*

The *SystemInformation* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Table 4.4.3.2-2: *SystemInformation*

Derivation Path: 36.331 clause 6.2.2			
Information Element	Value/remark	Comment	Condition
SystemInformation ::= SEQUENCE {			
criticalExtensions CHOICE {			
systemInformation-r8 SEQUENCE {			
sib-TypeAndInfo SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {}	See subclause 4.4.3.1		
criticalExtensionsFuture SEQUENCE {}	Not present		
}			
}			
}			

- *SystemInformationBlockType1*

SystemInformationBlockType1 contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Table 4.4.3.2-3: *SystemInformationBlockType1*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SystemInformationBlockType1 ::= SEQUENCE {			
cellAccessRelatedInformation SEQUENCE {			
plmn-IdentityList SEQUENCE (SIZE (1..6)) OF SEQUENCE {	1 entry		
plmn-Identity SEQUENCE {			
mcc SEQUENCE (SIZE (3)) OF MCC-NMC-Digit	See table 4.4.2-2	For NAS test cases, see table 6.3.2.2-1.	
mnc SEQUENCE (SIZE (2..3)) OF MCC-NMC-Digit	See table 4.4.2-2	For NAS test cases, see table 6.3.2.2-1.	
}			
cellReservedForOperatorUse	notReserved		
}			
trackingAreaCode	See table 4.4.2-2	For NAS test cases, see table 6.3.2.2-1.	
cellIdentity	Cell ID for the simulated cell		
cellBarred	notBarred		
intraFrequencyReselection	notAllowed		
csg-Indication	FALSE		
csg-Identity	Not present		
}			
cellSelectionInfo SEQUENCE {			
q-RxLevMin	-65 (-130 dBm)	For signalling test cases, see table 6.2.2.1-1.	
q-RxLevMinOffset	Not present		
}			
p-Max	Not present		
frequencyBandIndicator	Operating band under test.		
schedulingInformation SEQUENCE (SIZE (1..maxSI-Message)) OF SEQUENCE {}	See subclause 4.4.3.1		
tdd-Configuration SEQUENCE {}	Not present		FDD
tdd-Configuration SEQUENCE {}	TDD-Configuration-DEFAULT	See subclause 4.6.3	TDD
si-WindowLength	ms20	To allow sufficient number of retransmissions.	
systemInformationValueTag	0		
nonCriticalExtension SEQUENCE {}	Not present		
}			

Condition	Explanation
FDD	FDD cell environment
TDD	TDD cell environment

4.4.3.3 Common contents of system information blocks

- *SystemInformationBlockType2*

The IE *SystemInformationBlockType2* contains radio resource configuration information that is common for all UEs.

Table 4.4.3.3-1: *SystemInformationBlockType2*

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.1			
SystemInformationBlockType2 ::= SEQUENCE {			
accessBarringInformation SEQUENCE {}	Not present		
radioResourceConfigCommon SEQUENCE {}	RadioResourceConfigCommonSIB-DEFAULT		
ue-TimersAndConstants {			
t300	ms1000	Typical value in real network	
t301	ms1000	Typical value in real network	
t310	ms1000	Typical value in real network	
n310	1		
t311	ms10000	Typical value in real network	
n311	1		
}			
frequencyInformation SEQUENCE {			
ul-EARFCN	Not present	Default UL EARFCN applies	
ul-Bandwidth	Uplink Bandwidth under test.		FDD
ul-Bandwidth	Not Present		TDD
additionalSpectrumEmission	NS_01	A-MPR doesn't apply by default. See TS 36.101 table 6.2.4-1.	
}			
ul-CyclicPrefixLength	len1		
mbsfn-SubframeConfiguration	Not present		
timeAlignmentTimerCommon	sf500	'sf500' is applicable to the widest range of mobility (up to about 360km/h).	
}			

Condition	Explanation
FDD	FDD cell environment
TDD	TDD cell environment

- *SystemInformationBlockType3*

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

Table 4.4.3.3-2: *SystemInformationBlockType3*

Derivation Path: 36.331 clause 6.3.1			
Information Element	**Value/remark**	**Comment**	**Condition**
SystemInformationBlockType3 ::= SEQUENCE {			
cellReselectionInfoCommon SEQUENCE {			
q-Hyst	dB3	Typical value in real network	
speedDependentReselection SEQUENCE {}	Not present		
sameRefSignalsInNeighbour	FALSE	Same reference signals are not available by default (valid only in TDD operation).	
}			
cellReselectionServingFreqInfo SEQUENCE {			
s-NonIntraSearch	Not present		
threshServingLow	0	Typical value in real network	
cellReselectionPriority	4	A middle value in the range has been selected.	
}			
intraFreqCellReselectionInfo SEQUENCE {			
q-RxLevMin	-65 (-130 dBm)	For signalling test cases, see table 6.2.2.1-1.	
p-Max	Not present		
s-IntraSearch	Not present		
measurementBandwidth	Not present	The downlink bandwidth of the serving cell applies.	
neighbourCellConfiguration	'01'B (No MBSFN subframes are present in all neighbour cells)	MBSFN doesn't apply by default.	
t-ReselectionEUTRAN	0	Typical value in real network	
speedDependentScalingParameters	Not present		
}			
}			

- *SystemInformationBlockType4*

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

Table 4.4.3.3-3: *SystemInformationBlockType4*

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.1			
SystemInformationBlockType4 ::= SEQUENCE {			
intraFreqNeighbouringCellList SEQUENCE (SIZE (1..maxCellIntra)) OF SEQUENCE {}	Not present	Not required unless Qoffset configuration is tested.	
intraFreqBlacklistedCellList SEQUENCE (SIZE (1..maxCellBlack)) OF SEQUENCE {}	Not present	Not required unless Blacklisted cell list configuration is tested.	
csg-PCI-Range	Not present		
}			

- *SystemInformationBlockType5*

The IE *SystemInformationBlockType5* contains information relevant only for inter-frequency cell re-selection i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

Table 4.4.3.3-4: *SystemInformationBlockType5*

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.1			
SystemInformationBlockType5 ::= SEQUENCE {			
interFreqCarrierFreqList SEQUENCE (SIZE (1..maxFreq)) OF SEQUENCE {	The same number of entries as the configured inter-freq carriers		
eutra-CarrierFreq	Downlink EARFCN under test		
q-RxLevMin	-65 (-130 dBm)	For signalling test cases, see table 6.2.2.1-1.	
p-Max	Not present		
t-ReselectionEUTRAN	0	Typical value in real network	
speedDependentScalingParameters SEQUENCE {}	Not present	Not required unless speed-dependent cell re-selection is tested.	
threshX-High	2 (4 dB)	This value should be higher than threshServingLow of the serving cell to avoid ping-pong with lower priority cells.	
threshX-Low	1 (2 dB)		
measurementBandwidth	See subclause 4.4.3.4	Channel-bandwidth-dependent parameter	
cellReselectionPriority	4	The same priority as the one used for serving cell in SIB 3.	
q-OffsetFreq	dB-0	Q_{offset} doesn't apply by default.	
interFreqNeighbouringCellList SEQUENCE (SIZE (1..maxCellInter)) OF SEQUENCE {}	Not present	Not required unless Qoffset configuration is tested.	
interFreqBlacklistedCellList SEQUENCE (SIZE (1..maxCellBlack)) OF SEQUENCE {}	Not present	Not required unless Blacklisted cell list configuration is tested.	
}			
}			

- *SystemInformationBlockType6*

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

Table 4.4.3.3-5: *SystemInformationBlockType6*

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.1			
SystemInformationBlockType6 ::= SEQUENCE {			
utra-FDD-CarrierFreqList SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF SEQUENCE {}	Not present		UTRA-TDD
utra-FDD-CarrierFreqList SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF SEQUENCE {	The same number of entries as the configured UTRA FDD carriers		UTRA-FDD
utra-CarrierFreq SEQUENCE {			
uarfcn-DL	Downlink UARFCN under test		
}			
utra-CellReselectionPriority	Set according to specific test case	3 is applicable when UTRA is lower priority than E-UTRA. 5 is applicable when UTRA is higher priority than E-UTRA.	
threshX-High	2 (4 dB)		
threshX-Low	1 (2 dB)		
q-RxLevMin	-40 (-79 dBm)	The same value as defined in TS 34.108 [5], table 6.1.1.	
maxAllowedTxPower	21 (21 dBm)	The same value as defined in TS 34.108 [5], table 6.1.1.	
q-QualMin	-24 (-24 dBm)	The same value as defined in TS 34.108 [5], table 6.1.1.	
}			
utra-TDD-CarrierFreqList SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF SEQUENCE {}	Not present		UTRA-FDD
utra-TDD-CarrierFreqList SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF SEQUENCE {	The same number of entries as the configured UTRA TDD carriers		UTRA-TDD
utra-CarrierFreq SEQUENCE {			
uarfcn-DL	Downlink UARFCN under test		
}			
utra-CellReselectionPriority	Set according to specific test case	3 is applicable when UTRA is lower priority than E-UTRA. 5 is applicable when UTRA is higher priority than E-UTRA.	
threshX-High	2 (4dB)		
threshX-Low	1 (2 dB)		
q-RxLevMin	-41 (-81 dBm)	The same value as defined in TS 34.108 [5], table 6.1.6a	
maxAllowedTxPower	21 (21 dBm)	The same value	

		as defined in TS 34.108 [5], table 6.1.6a	
}			
t-ReselectionUTRA	0	Typical value in real network	
speedDependentScalingParameters SEQUENCE {}	Not present		
}			

Condition	Explanation
UTRA-FDD	UTRA FDD cell environment
UTRA-TDD	UTRA TDD cell environment

Editor's note: Need for condition 'UTRA-FDD-TDD' where both UTRA FDD cell and UTRA TDD cell exist simultaneously is FFS.

- *SystemInformationBlockType7*

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

Table 4.4.3.3-6: *SystemInformationBlockType7*

Derivation Path: 36.331 clause 6.3.1			
Information Element	**Value/remark**	**Comment**	**Condition**
SystemInformationBlockType7 ::= SEQUENCE {			
t-ReselectionGERAN	FFS	INTEGER (0..7)	
speedDependentScalingParameters SEQUENCE {}	Not present		
geran-NeigbourFreqList SEQUENCE (SIZE (1..maxGNFG)) OF SEQUENCE {	The same number of entries as the configured GERAN carriers		
geran-BCCH-FrequencyGroup SEQUENCE {			
startingARFCN	1		
bandIndicator	FFS	ENUMERATED {dcs1800, pcs1900}	
followingARFCNs CHOICE {			
equallySpacedARFCNs SEQUENCE {			
arfcn-Spacing	FFS	INTEGER (1..8)	
numberOfFollowingARFCNs	FFS	INTEGER (0..31)	
}			
}			
}			
geran-BCCH-Configuration SEQUENCE {			
geran-CellReselectionPriority	Set according to specific test case		
ncc-Permitted	FFS	BIT STRING (SIZE (8))	
q-RxLevMin	2		
threshX-High	2		
threshX-Low	2		
}			
}			
}			

- *SystemInformationBlockType8*

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

Table 4.4.3.3-7: *SystemInformationBlockType8*

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.1			
SystemInformationBlockType8 ::= SEQUENCE {			
cdma2000-SystemTimeInfo SEQUENCE {			
cdma-EUTRA-Synchronisation	TRUE		
cdma-SystemTime CHOICE {			
cdma-SynchronousSystemTime	A valid value as per TS 36.331 and calculated by the SS		
}			
}			
searchWindowSize	5		
hrpd-Parameters SEQUENCE {}	Not present		1XRTT
hrpd-Parameters SEQUENCE {			HRPD
hrpd-PreRegistrationInfo SEQUENCE {			
hrpd-PreRegistrationAllowed	FALSE		
hrpd-PreRegistrationZoneId	Not present		
hrpd-SecondaryPreRegistrationZoneIdList SEQUENCE (SIZE (1..2)) OF SEQUENCE {	Set the number of entries according to specific test case		
hrpd-SecondaryPreRegistrationZoneId	Set according to specific test case		
}			
}			
hrpd-CellReselectionParameters SEQUENCE {			
hrpd-BandClassList SEQUENCE (SIZE (1..maxCDMA -BandClass)) OF SEQUENCE {	The same number of entries as the configured HRPD carriers		
hrpd-BandClass	FFS		
hrpd-CellReselectionPriority	[Set according to specific test case]	[3 is applicable when HRPD is lower priority than E-UTRA. 5 is applicable when HRPD is higher priority than E-UTRA]	
threshX-High	FFS	INTEGER (0..63)	
threshX-Low	FFS	INTEGER (0..63)	
}			
hrpd-NeighborCellList SEQUENCE (SIZE (1..16)) OF SEQUENCE {	The same number of entries as the configured HRPD neighbor cells		
bandClass	FFS		
frequencyList SEQUENCE (SIZE (1..16)) OF SEQUENCE {			
frequency	FFS		
cellIdList SEQUENCE (SIZE (1..16)) OF {INTEGER (0..maxPNOffset) }	FFS	INTEGER (0..maxPNOffset)	
}			
t-ReselectionCDMA-HRPD	FFS	INTEGER (0..7)	
speedDependentScalingParameters SEQUENCE {}	Not Present		
}			

}			
oneXRTT-Parameters SEQUENCE {}	Not present		HRPD
oneXRTT-Parameters SEQUENCE {			1XRTT
oneXRTT-CSFB-RegistrationInfo SEQUENCE {			
oneXRTT-CSFB-RegistrationAllowed	FFS	BOOLEAN	
oneXRTT-RegistrationParameters SEQUENCE {}	FFS		
}			
oneXRTT-LongCodeState	FFS	BIT STRING (SIZE (42)) OPTIONAL	
oneXRTT-CellReselectionParameters SEQUENCE {			
oneXRTT-BandClassList SEQUENCE (SIZE (1..maxCDMA -BandClass)) OF SEQUENCE {	The same number of entries as the configured 1xRTT carriers		
oneXRTT-BandClass	FFS	ENUMERATED { bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8, bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16, bc17, spare14, spare13, spare12, spare11, spare10, spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1, ...}	
oneXRTT-CellReselectionPriority	[Set according to specific test case]	[3 is applicable when HRPD is lower priority than E-UTRA. 5 is applicable when HRPD is higher priority than E-UTRA]	
threshX-High	FFS	INTEGER (0..63)	
threshX-Low	FFS	INTEGER (0..63)	
}			
oneXRTT-NeighborCellList SEQUENCE (SIZE (1..16)) OF SEQUENCE {	The same number of entries as the configured 1xRTT neighbor cells		
bandClass	FFS	ENUMERATED { bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8, bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16, bc17, spare14, spare13, spare12, spare11, spare10, spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1, ...}	
frequencyList SEQUENCE (SIZE (1..16)) OF SEQUENCE {	FFS	INTEGER (0..2047)	
frequency	FFS		
cellIdList SEQUENCE (SIZE (1..16)) OF {INTEGER (0..maxPNOffset) }	FFS	INTEGER (0..maxPNOffset)	

}			
}			
t-ReselectionCDMA-OneXRTT	FFS	INTEGER (0..7),	
speedDependentScalingParameters	Not Present		
}			
}			
}			

Condition	Explanation
HRPD	CDMA2000 HRPD cell environment
1XRTT	CDMA2000 1XRTT cell environment

Editor's note: Need for condition 'HRPD-1XRTT' where both CDMA2000 HRPD cell and CDMA2000 1xRTT cell exist simultaneously is FFS.

- *SystemInformationBlockType9*

The IE *SystemInformationBlockType9* contains a home eNB identifier (HNBID).

Table 4.4.3.3-8: *SystemInformationBlockType9*

Derivation Path: 36.331 clause 6.3.1			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType9 ::= SEQUENCE {}	Set according to specific test case		

- *SystemInformationBlockType10*

The IE *SystemInformationBlockType10* contains an ETWS primary notification.

Table 4.4.3.3-9: *SystemInformationBlockType10*

Derivation Path: 36.331 clause 6.3.1			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType10 ::= SEQUENCE {}	Set according to specific test case		

- *SystemInformationBlockType11*

The IE *SystemInformationBlockType11* contains an ETWS secondary notification.

Table 4.4.3.3-10: *SystemInformationBlockType11*

Derivation Path: 36.331 clause 6.3.1			
Information Element	Value/remark	Comment	Condition
SystemInformationBlockType11 ::= SEQUENCE {}	Set according to specific test case		

4.4.3.4 Channel-bandwidth-dependent parameters in system information blocks

The default values of parameters in system information blocks which depend on the channel bandwidth are defined in table 4.4.3.4-1.

Table 4.4.3.4-1: Channel-bandwidth-dependent parameters

Information Element	Channel bandwidth						Comment
	1.4 MHz	3 MHz	5 MHz	10 MHz	15 MHz	20MHz	
SIB3 periodicity	64	64	32	32	32	32	
SIB4 periodicity	128	128	64	64	64	64	
SIB5 periodicity	128	128	64	64	64	64	
SIB6 periodicity	128	128	64	64	64	64	
SIB7 periodicity	128	128	64	64	64	64	
SIB8 periodicity	128	128	64	64	64	64	
measurement Bandwidth in SIB5	mbw6	mbw15	mbw25	mbw50	mbw75	mbw100	

4.4.4 Common parameters for simulated UTRA cells

The parameters specified in this subclause apply to all simulated UTRA cells unless otherwise specified.

Default UTRA parameters for simulated cells are specified in table 4.4.4-1 and table 4.4.4-2.

Other parameters are specified in TS 34.108 [5].

Table 4.4.4-1: Default parameters for simulated UTRA cells

cell ID	Primary scrambling code for FDD	Cell parameters ID for TDD
Cell 5	100	FFS
Cell 7	150	FFS
Cell 8	200	FFS
Cell 9	250	FFS

Table 4.4.4-2: Default NAS parameters for simulated UTRA cells

	PLMN		Location Area Identification		Routing Area Identification		TMSI	P-TMSI signature
	MCC	MNC	LA#	LAC	RA#	RAC		
Cell 5	(Note 1)		LAI-1	1	RAI-1	1	Arbitrarily selected according to TS 23.003 subclause 2.4 [2].	Arbitrarily selected according to TS 23.003 subclause 2.7 [2].
Cell 7	(Note 1)		LAI-1	1	RAI-1	1		
Cell 8	(Note 1)		LAI-1	1	RAI-1	1		
Cell 9	(Note 1)		LAI-1	1	RAI-1	1		
Note 1:	Set to the same Mobile Country Code and Mobile Network Code stored in EF$_{IMSI}$ on the test USIM card (subclause 4.9.3).							

4.4.5 Common parameters for simulated GERAN cells

The parameters specified in this subclause apply to all simulated GERAN cells unless otherwise specified.

See TS 51.010 [25].

4.4.6 Common parameters for simulated CDMA2000 cells

The parameters specified in this subclause apply to all simulated HRPD or 1xRTT cells unless otherwise specified.

See C.S0038-A [36] for HRPD cells and C.S0043-0 [37] for 1xRTT cells.

4.4.7 Default parameters specific for simulated cells

Default parameters specific for simulated cells are specified in this subclause.

Editor's Note: This section is reserved for cell specific default parameters.

4.5 Generic procedures

This clause describes UE test states which can be used in the initial condition of many test cases defined in TS 36.521-1 [21], TS 36.523-1 [18] and TS 36.523-3 [34] or other procedures defined in this specification. This section also defines a set of procedures to bring the UE into these states.

4.5.1 UE test states

NOTE: The need to have a procedure for the transition from State 4/State 3 to State 2 is for further study and it can added if the technical motivation for this procedure can be justified.
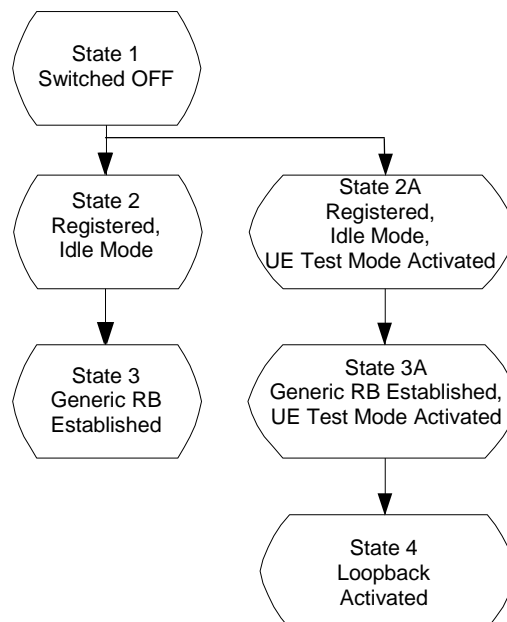
Figure 4.5.1-1: UE Test States for Basic Generic Procedures

In order that the UE can set up a call or session in E-UTRAN, there are a number of procedures to be undertaken in a hierachical sequence to move between known states. The sequences are shown in figure 4.5.1-1 and the status of the relevant protocols in the UE in the different states are given in table 4.5.1-1.

Table 4.5.1-1: The UE states

		RRC	ECM	EMM	ESM	UE Test Mode
State 1	Switched OFF	-----	-----	-----	-----	-----
State 2	Registered, Idle Mode	RRC_IDLE	ECM-IDLE	EMM-REGISTERED	1 default EPS bearer context active.	Not active
State 2A	Registered, Idle Mode, UE Test Mode Activated	RRC_IDLE	ECM-IDLE	EMM-REGISTERED	1 default EPS bearer context active.	Active
State 3	Generic RB Established	RRC_CONNECTED 1 + N (0 ≤ N ≤ 7) data radio bearers configured as specified in the test cases	ECM-CONNECTED	EMM-REGISTERED	1 default EPS bearer context active and N (0 ≤ N ≤ 7) dedicated EPS bearers active as specified in the test cases	Not active
State 3A	Generic RB Established, UE Test Mode Activated	RRC_CONNECTED 1 + N (0 ≤ N ≤ 7) data radio bearers configured as specified in the test cases	ECM-CONNECTED	EMM-REGISTERED	1 default EPS bearer context active and N (0 ≤ N ≤ 7) dedicated EPS bearers active as specified in the test cases	Active
State 4	Loopback Activated	RRC_CONNECTED 1 + N (0 ≤ N ≤ 7) data radio bearers configured as specified in the test cases	ECM-CONNECTED	EMM-REGISTERED	1 default EPS bearer context active and N (0 ≤ N ≤ 7) dedicated EPS bearers active as specified in the test cases	Active

NOTE: Refer to TS 24.301 [28] subclause 5.5.1.1 for more details on the ESM state.

NOTE: Refer to TS 36.509 [38] for details regarding UE test mode and UE Loopback.

4.5.2 UE Registration (State 2)

Editor's Note:

- *The default parameters and system information will depend on the progress in Clause 4.4, once it is finalised RAN5 can refer to them accordingly.*

4.5.2.1 Initial conditions

System Simulator:

- 1 cell, default parameters.

- The procedure shall be performed under ideal radio conditions as defined in clause 5

User Equipment:

- The Test USIM shall be inserted.

4.5.2.2 Definition of system information messages

The default system information messages are used.

4.5.2.3 Procedure

Table 4.5.2.3-1: UE registration procedure (state 1 to state 2)

Step	Procedure	U - S	Message Sequence Message
1		<--	RRC: SYSTEM INFORMATION (BCCH)
2	UE transmits an *RRCConnectionRequest* message.	-->	RRC: *RRCConnectionRequest*
3	SS transmit a *RRCConnectionSetup* message.	<--	RRC: *RRCConnectionSetup*
4	The UE transmits a *RRCConnectionSetupComplete* message to confirm the successful completion of the connection establishment and to initiate the Attach procedure by including the ATTACH REQUEST message. The PDN CONNECTIVITY REQUEST message is piggybacked in ATTACH REQUEST	-->	RRC: *RRCConnectionSetupComplete* NAS: ATTACH REQUEST NAS: PDN CONNECTIVITY REQUEST
5	The SS transmits an AUTHENTICATION REQUEST message to initiate the EPS authentication and AKA procedure.	<--	RRC: *DLInformationTransfer* NAS: AUTHENTICATION REQUEST
6	The UE transmits an AUTHENTICATION RESPONSE message and establishes mutual authentication.	-->	RRC: *ULInformationTransfer* NAS: AUTHENTICATION RESPONSE
7	The SS transmits a NAS SECURITY MODE COMMAND message to activate NAS security.	<--	RRC: *DLInformationTransfer* NAS: SECURITY MODE COMMAND
8	The UE transmits a NAS SECURITY MODE COMPLETE message and establishes the initial security configuration.	-->	RRC: *ULInformationTransfer* NAS: SECURITY MODE COMPLETE
9	The SS transmits a *SecurityModeCommand* message to activate AS security.	<--	RRC: *SecurityModeCommand*
10	The UE transmits a *SecurityModeComplete* message and establishes the initial security configuration.	-->	RRC: *SecurityModeComplete*
11	The SS transmits an *UECapabilityEnquiry* message to initiate the UE radio access capability transfer procedure.	<--	RRC: *UECapabilityEnquiry*
12	The UE transmits an *UECapabilityInformation* message to transfer UE radio access capability.	-->	RRC: *UECapabilityInformation*
-	EXCEPTION: Steps 13a1 to 13a2 describe behaviour that depends on UE configuration; the "lower case letter" identifies a step sequence that take place if the UE has ESM information which needs to be transferred after SECURITY MODE COMPLETE message.	-	-
13a1	IF the UE sets the ESM information transfer flag in the last PDN CONNECTIVITY REQUEST message THEN the SS transmits an ESM INFORMATION REQUEST message to initiate exchange of protocol configuration options.	<--	RRC: *DLInformationTransfer* NAS: ESM INFORMATION REQUEST
13a2	The UE transmits an ESM INFORMATION RESPONSE message to transfer protocol configuration options.	-->	RRC: *ULInformationTransfer* NAS: ESM INFORMATION RESPONSE
-	EXCEPTION: Steps 14a1 to 14b1 describe behaviour that depends on condition	-	-
14a1	IF this procedure is called with condition CombinedAttach THEN the SS transmits a *RRCConnectionReconfiguration* message to establish the default bearer with condition SRB2-DRB(1, 0) according to 4.8.2.2.1.1. This message includes the ATTACH ACCEPT message with condition CombinedAttach. The	<--	RRC: *RRCConnectionReconfiguration* NAS: ATTACH ACCEPT NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST

	ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message is piggybacked in ATTACH ACCEPT.		
14b1	ELSE The SS transmits a *RRCConnectionReconfiguration* message to establish the default bearer with condition SRB2-DRB(1, 0) according to 4.8.2.2.1.1. This message includes the ATTACH ACCEPT message. The ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message is piggybacked in ATTACH ACCEPT.	<--	RRC: *RRCConnectionReconfiguration* NAS: ATTACH ACCEPT NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST
15	The UE transmits a *RRCConnectionReconfigurationComplete* message to confirm the establishment of default bearer.	-->	RRC: *RRCConnectionReconfigurationComplete*
16	This message includes the ATTACH COMPLETE message. The ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT message is piggybacked in ATTACH COMPLETE.	-->	RRC: *ULInformationTransfer* NAS: ATTACH COMPLETE NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT
17	The SS transmits a *RRCConnectionRelease* message to release RRC connection and move to RRC_IDLE (State 2).	<--	RRC: *RRCConnectionRelease*

4.5.2.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7 with the exceptions below.

Table 4.5.2.4-1: *RRCConnectionRequest* (Step 2)

Derivation Path: Table 4.6.1-16			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
rrcConnectionRequest-r8 SEQUENCE {			
ue-Identity	Any allowed value		
}			
}			
}			

4.5.2A UE Registration, UE Test Mode Activated (State 2A)

Editor's Note:

- *It needs to be defined what the default bearer is in terms of its characteristics.(step 14).*

4.5.2A.1 Initial conditions

System Simulator:

- 1 cell, default parameters.

- The procedure shall be performed under ideal radio conditions as defined in clause 5

User Equipment:

- The Test USIM shall be inserted.

4.5.2A.2 Definition of system information messages

The default system information messages are used.

4.5.2A.3 Procedure

Table 4.5.2A.3-1: UE registration with test mode activation procedure (state 1 to state 2A)

Step	Procedure	Message Sequence	
		U - S	Message
1 to 13a2	Same procedure for steps 1 to 13a2 as specified in the procedure in clause 4.5.2.3	-	-
13b	The SS transmits an ACTIVATE TEST MODE message to activate UE radio bearer test mode procedure.	<--	RRC: *DLInformationTransfer* TC: ACTIVATE TEST MODE
13c	The UE transmits an ACTIVATE TEST MODE COMPLETE message.	-->	RRC: *ULInformationTransfer* TC: ACTIVATE TEST MODE COMPLETE
-	EXCEPTION: Steps 14a1 to 14b1 describe behaviour that depends on condition	-	-
14a1	IF this procedure is called with condition CombinedAttach THEN the SS transmits a *RRCConnectionReconfiguration* message to establish the default bearer with condition SRB2-DRB(1, 0) according to 4.8.2.2.1.1. This message includes the ATTACH ACCEPT message with condition CombinedAttach. The ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message is piggybacked in ATTACH ACCEPT.	<--	RRC: *RRCConnectionReconfiguration* NAS: ATTACH ACCEPT NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST
14b	ELSE The SS transmits a *RRCConnectionReconfiguration* message to establish the default bearer with condition SRB2-DRB(1, 0) according to 4.8.2.2.1.1. This message includes the ATTACH ACCEPT message. The ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message is piggybacked in ATTACH ACCEPT.	<--	RRC: *RRCConnectionReconfiguration* NAS: ATTACH ACCEPT NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST
15	The UE transmits a *RRCConnectionReconfigurationComplete* message to confirm the establishment of default bearer.	-->	RRC: *RRCConnectionReconfigurationComplete*
16	This message includes the ATTACH COMPLETE message. The ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT message is piggybacked in ATTACH COMPLETE.	-->	RRC: *ULInformationTransfer* NAS: ATTACH COMPLETE NAS: ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT
17	The SS transmits a *RRCConnectionRelease* message to release RRC connection and move to RRC_IDLE (State 2A).	<--	RRC: *RRCConnectionRelease*

4.5.2A.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7 with the exceptions below.

Table 4.5.2.4-1: *RRCConnectionRequest* (Step 2)

Derivation Path: Table 4.6.1-16			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
rrcConnectionRequest-r8 SEQUENCE {			
ue-Identity	Any allowed value		
}			
}			
}			

4.5.3 Generic Radio Bearer Establishment (State 3)

Editor note: The default parameter and system information will depend on progress in Clause 4.4, once it is finalised RAN5 can refer to them accordingly.

4.5.3.1 Initial conditions

System Simulator:

- Parameters are set to the default parameters for the basic single cell environment, as defined in subclause 4.4, unless otherwise specified in the test case.

User Equipment:

- The UE shall be in Registered, Idle Mode state (State 2).

4.5.3.2 Definition of system information messages

The default system information messages are used.

4.5.3.3 Procedure

The establishment of generic radio bearer connection is assumed to always be mobile terminated.

Editor's note: the need for a mobile originated procedure is FFS (use case needed)

Table 4.5.3.3-1: Generic Radio Bearer establishment procedure (state 2 to state 3)

Step	Procedure	U - S	Message Sequence Message
1		<--	RRC: SYSTEM INFORMATION (BCCH)
2	SS sends a *Paging* message to the UE on the appropriate paging block, and including the UE identity in one entry of the IE *pagingRecordLists*.	<--	RRC: *Paging* (PCCH)
3	UE transmits an *RRCConnectionRequest* message.	-->	RRC: *RRCConnectionRequest*
4	SS transmit a *RRCConnectionSetup* message.	<--	RRC: *RRCConnectionSetup*
5	The UE transmits a *RRCConnectionSetupComplete* message to confirm the successful completion of the connection establishment and to initiate the session management procedure by including the SERVICE REQUEST message. (State3)	-->	RRC: *RRCConnectionSetupComplete* NAS: SERVICE REQUEST
6	The SS transmits a *SecurityModeCommand* message to activate AS security.	<--	RRC: *SecurityModeCommand*
7	The UE transmits a *SecurityModeComplete* message and establishes the initial security configuration.	-->	RRC: *SecurityModeComplete*
8	The SS configures a new data radio bearer, associated with the default EPS bearer context. IF the test case using state 3 as an initial state is using N additional data radio bearers (0 ≤ N ≤ 7), the *RRCConnectionReconfiguration* message contains the configuration of these additional data radio bearers in the drb-ToAddModify IE and one ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message per additional data radio bearer. The *RRCConnectionReconfiguration* message is using condition SRB2-DRB(1+n, m) where n and m are the number of DRBs associated with dedicated EPS bearer contexts and configured respectively with RLC-AM and RLC-UM (n+m = N).	<--	RRC: *RRCConnectionReconfiguration* NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST ... ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST
-	EXCEPTION: the steps 9 and 10a1 to 10a3 (if present) happen in any order.	-	-
9	The UE transmits a *RRCConnectionReconfigurationComplete* message to confirm the establishment of the new data radio bearer, associated with the default EPS bearer context.	-->	RRC: *RRCConnectionReconfigurationComplete*
10a1	IF N ≥ 1 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 1st ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT
10a2	IF N ≥ 2 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 2nd ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT

10a3	IF N ≥ 3 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 3rd ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT
10a4	IF N ≥ 4 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 4th ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT
10a5	IF N ≥ 5 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 5th ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT
10a6	IF N ≥ 6 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 6th ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT
10a7	IF N = 7 (see step 8), the UE transmits an ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message matching with the 7th ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message included in step 8.	-->	RRC: ULInformationTransfer NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT

4.5.3.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7.

4.5.3A Generic Radio Bearer Establishment, UE Test Mode Activated (State 3A)

Editor note: The default parameter and system information will depend on progress in Clause 4.4, once it is finalised RAN5 can refer to them accordingly.

4.5.3A.1 Initial conditions

System Simulator:

- Parameters are set to the default parameters for the basic single cell environment, as defined in subclause 4.4, unless otherwise specified in the test case.

User Equipment:

- The UE shall be in Registered, Idle Mode state, UE Test Mode Activated (State 2A).

4.5.3A.2 Definition of system information messages

The default system information messages are used.

4.5.3A.3 Procedure

Same procedure as specified in the procedure in clause 4.5.3.3.

4.5.3A.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7.

4.5.4 Loopback Activation (State 4)

4.5.4.1 Initial conditions

System Simulator:

- Parameters are set to the default parameters for the basic single cell environment, as defined in subclause 4.4, unless otherwise specified in the test case.

User Equipment:

- The UE shall be in Generic RB Establishment state, UE Test Mode Activated (State 3A).

4.5.4.2 Definition of system information messages

The default system information messages are used.

4.5.4.3 Procedure

Table 4.5.3.3-1: Loopback activation procedure (state 3A to state 4)

Step	Procedure	Direction	Message Sequence
		UE - SS	Message
1	The SS transmits a CLOSE UE TEST LOOP message to enter the UE test loop mode.	<--	RRC: *DLInformationTransfer* TC: CLOSE UE TEST LOOP
2	The UE transmits a CLOSE UE TEST LOOP COMPLETE message to confirm that loopback entities for the radio bearer(s) have been created and loop back is activated (State 4).	-->	RRC: *ULInformationTransfer* TC: CLOSE UE TEST LOOP COMPLETE

4.5.4.4 Specific message contents

All specific message contents shall be referred to clause 4.6.

4.6 Default RRC message and information elements contents

This clause contains the default values of common RRC messages and information elements, which unless indicated otherwise in specific clauses of TS 36.521-1 [21], TS 36.521-3 [34], TS 36.523-1 [18] and other clauses in this specification. All the messages and information elements are listed in alphabetical order.

4.6.1 Contents of RRC messages

– *CDMA2000-CSFBParametersRequest*

Table 4.6.1-1: *CDMA2000-CSFBParametersRequest*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
CDMA2000-CSFBParametersRequest ::= SEQUENCE {			
rrc-TransactionIdentifier	The SS stores the value		
criticalExtensions CHOICE {			
cdma2000-CSFBParametersRequest-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			

– *CDMA2000-CSFBParametersResponse*

Table 4.6.1-2: *CDMA2000-CSFBParametersResponse*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
CDMA2000-CSFBParametersResponse ::= SEQUENCE {			
rrc-TransactionIdentifier	The SS sets it to the value previously stored from the received *CDMA2000-CSFBParametersRequest* message		
criticalExtensions CHOICE {			
cdma2000-1xParametersForCSFB-r8 SEQUENCE {			
cdma2000-RAND	Set according to specific message content		
cdma2000-MobilityParameters	Set according to specific message content		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			

–   *DLInformationTransfer*

Table 4.6.1-3: *DLInformationTransfer*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
DLInformationTransfer ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
dlInformationTransfer-r8 SEQUENCE {			
informationType CHOICE {			
nas3GPP	Set according to specific message content		
}			
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

–   *HandoverFromEUTRAPreparationRequest*

Table 4.6.1-4: *HandoverFromEUTRAPreparationRequest*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
handoverFromEUTRAPreparationRequest-r8 SEQUENCE {			
cdma2000-Type	type1XRTT		
cmda2000-RAND	A random value, generated by the SS		
cdma2000-MobilityParameters	Set according to specific message content		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *MeasurementReport*

Table 4.6.1-5: *MeasurementReport*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasurementReport ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
measurementReport-r8 SEQUENCE {			
measuredResults	Set according to specific message content		
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			
}			

– *MobilityFromEUTRACommand*

Table 4.6.1-6: *MobilityFromEUTRACommand*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
MobilityFromEUTRACommand ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
mobilityFromEUTRACommand-r8 SEQUENCE {			
csFallbackIndicator	Not present		
purpose CHOICE {	Set according to specific message content		
handover			
cellChangeOrder			
}			
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *Paging*

Table 4.6.1-7: *Paging*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
Paging ::= SEQUENCE {			
pagingRecordList SEQUENCE (SIZE (1..maxPageRec)) OF SEQUENCE {	1 entry		
ue-Identity[1] CHOICE {			
s-TMSI	Set to the value of the S-TMSI of the UE		
}			
cn-Domain[1]	ps		
}			
systemInfoModification	Not present		
etws-Indication	Not present		
nonCriticalExtension SEQUENCE {}	Not present		
}			

– *RRCConnectionReconfiguration*

Table 4.6.1-8: *RRCConnectionReconfiguration*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReconfiguration ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE{			
rrcConnectionReconfiguration-r8 SEQUENCE {			
measurementConfiguration	Not present		
	MeasurementConfiguration-DEFAULT		MEAS
mobilityControlInformation	Not present		
	MobilityControlInformation-HO		HO
nas-DedicatedInformationList	Not present		
	Set according to specific message content		SRB2-DRB(n, m) DRB(n, m) SRB1-SRB2-DRB(n,m)
radioResourceConfiguration	Not present		
	RadioResourceConfigDedicated-SRB2-DRB(n, m)		SRB2-DRB(n, m)
	RadioResourceConfigDedicated-DRB(n, m)		DRB(n, m)
	RadioResourceConfigDedicated-SRB1-SRB2-DRB(n, m)		SRB1-SRB2-DRB(n,m)
	RadioResourceConfigDedicated-HO		HO
securityConfiguration	Not present		
	SecurityConfiguration-HO		HO
nas-SecurityParamToEUTRA	Not present		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

Condition	**Explanation**
SRB2-DRB(n,m)	Establishment of a SRB and DRB combination with n x AM DRB and m x UM DRB (including establishment of SRB2)
DRB(n,m)	Establishment of additional n x AM DRB:s and m x UM DRB:s (SRB2 should already be established)
SRB1-SRB2-DRB(n,m)	Establishment of a SRB and DRB combination with n x AM DRB and m x UM DRB (including establishment of SRB1 and SRB2)
HO	Intra LTE handover
MEAS	A measurement is configured

– *RRCConnectionReconfigurationComplete*

Table 4.6.1-9: *RRCConnectionReconfigurationComplete*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReconfigurationComplete ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
rrcConnectionReconfigurationComplete-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			

– *RRCConnectionReestablishment*

Table 4.6.1-10: *RRCConnectionReestablishment*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReestablishment ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE{			
rrcConnectionReestablishment-r8 SEQUENCE {			
radioResourceConfiguration	RadioResourceConfigDedicated-SRB1		
nextHopChainingCount	0		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *RRCConnectionReestablishmentComplete*

Table 4.6.1-11: *RRCConnectionReestablishmentComplete*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReestablishmentComplete ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
rrcConnectionReestablishmentComplete-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			

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– *RRCConnectionReestablishmentReject*

Table 4.6.1-12: *RRCConnectionReestablishmentReject*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReestablishmentReject ::= SEQUENCE {			
criticalExtensions CHOICE {			
rrcConnectionReestablishmentReject-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			

– *RRCConnectionReestablishmentRequest*

Table 4.6.1-13: *RRCConnectionReestablishmentRequest*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReestablishmentRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
rrcConnectionReestablishmentRequest-r8 SEQUENCE {			
ue-Identity	Set according to specific message content		
reestablishmentCause	Set according to specific message content		
spare	Content not checked		
}			
}			
}			

– *RRCConnectionReject*

Table 4.6.1-14: *RRCConnectionReject*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionReject ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionReject-r8 SEQUENCE {			
waitTime	3(seconds)		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *RRCConnectionRelease*

Table 4.6.1-15: *RRCConnectionRelease*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionRelease ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionRelease-r8 SEQUENCE {			
releaseCause	other		
redirectionInformation	Not present		
idleModeMobilityControlInfo	Not present		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *RRCConnectionRequest*

Table 4.6.1-16: *RRCConnectionRequest*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionRequest ::= SEQUENCE {			
criticalExtensions CHOICE {			
rrcConnectionRequest-r8 SEQUENCE {			
ue-Identity CHOICE {			
s-TMSI	Any allowed value		
}			
establishmentCause	Not checked		
spare	Content not checked		
}			
}			
}			

– *RRCConnectionSetup*

Table 4.6.1-17: *RRCConnectionSetup*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionSetup ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
rrcConnectionSetup-r8 SEQUENCE {			
radioResourceConfiguration	RadioResourceConfigDedicated-SRB1		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			

– *RRCConnectionSetupComplete*

Table 4.6.1-18: *RRCConnectionSetupComplete*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RRCConnectionSetupComplete ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
c1 CHOICE {			
rrcConnectionSetupComplete-r8 SEQUENCE {			
selectedPLMN-Identity	Set to the PLMN selected by upper layers		
registeredMME	Not present		
nas-DedicatedInformation	Not checked		
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			
}			

– *SecurityModeCommand*

Table 4.6.1-19: *SecurityModeCommand*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SecurityModeCommand ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE{			
securityModeCommand-r8 SEQUENCE {			
securityConfiguration	SecurityConfiguration-SMC		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

– *SecurityModeComplete*

Table 4.6.1-20: *SecurityModeComplete*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SecurityModeComplete ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
securityModeComplete-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			

–   *SecurityModeFailure*

Table 4.6.1-21: *SecurityModeFailure*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SecurityModeFailure ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
securityModeFailure-r8 SEQUENCE {			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			

–   *UECapabilityEnquiry*

Table 4.6.1-22: *UECapabilityEnquiry*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
UECapabilityEnquiry ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-DL		
criticalExtensions CHOICE {			
c1 CHOICE {			
ueCapabilityEnquiry-r8 SEQUENCE {			
ue-RadioAccessCapRequest	Set according to specific message content		
nonCriticalExtension SEQUENCE {}	Not present		
}			
}			
}			
}			

–   *UECapabilityInformation*

Table 4.6.1-23: *UECapabilityInformation*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
UECapabilityInformation ::= SEQUENCE {			
rrc-TransactionIdentifier	RRC-TransactionIdentifier-UL		
criticalExtensions CHOICE {			
c1 CHOICE{			
ueCapabilityInformation-r8	Stated capability shall be compatible with 3GPP TS 36.523-2 (ICS statements) and the user settings		
}			
}			
}			

- *ULHandoverPreparationTransfer*

Table 4.6.1-24: *ULHandoverPreparationTransfer*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
ULHandoverPreparationTransfer ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
ulHandoverPreparationTransfer-r8 SEQUENCE {			
cdma2000-Type	type1XRTT		
cdma2000-MEID	Set to the 56 bit CDMA2000 mobile identification number of the UE		
cdma2000-DedicatedInfo	Set according to specific message content		
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			
}			

– *ULInformationTransfer*

Table 4.6.1-25: *ULInformationTransfer*

Derivation Path: 36.331 clause 6.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
ULInformationTransfer ::= SEQUENCE {			
criticalExtensions CHOICE {			
c1 CHOICE {			
ulInformationTransfer-r8 SEQUENCE {			
informationType CHOICE {			
nas3GPP	Set according to specific message content		
}			
nonCriticalExtension SEQUENCE {}	Not checked		
}			
}			
}			
}			

4.6.2　System information blocks

See subclause 4.4.3.3 in this document.

4.6.3 Radio resource control information elements

– BCCH-Configuration-DEFAULT

Table 4.6.3-1: BCCH-Configuration-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
BCCH-Configuration-DEFAULT::= SEQUENCE {			
modificationPeriodCoeff	n4	To provide reliable delivery of SI change notifications.	
}			

– CQI-Reporting-DEFAULT

Table 4.6.3-2: CQI-Reporting-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
CQI-Reporting-DEFAULT ::= SEQUENCE {			
cqi-ReportingModeAperiodic	rm30		
nomPDSCH-RS-EPRE-Offset	0		
cqi-ReportingPeriodic	Not present		
cqi-ReportingPeriodic CHOICE {			CQI_PERIODIC
enable SEQUENCE {			
cqi-PUCCH-ResourceIndex	0		
cqi-pmi-ConfigIndex	25	(see Table 7.2.2-1A in TS 36.213)	FDD
cqi-pmi-ConfigIndex	24	(see Table 7.2.2-1C in TS 36.213)	TDD
cqi-FormatIndicatorPeriodic CHOICE {			
widebandCQI	NULL		
}			
ri-ConfigIndex	483	(see Table 7.2.2-1B in TS 36.213)	FDD
ri-ConfigIndex	484	(see Table 7.2.2-1B in TS 36.213) This value for TDD is selected together with cqi-pmi-ConfigIndex based on TDD configuration 1.	TDD
simultaneousAckNackAndCQI	FALSE		
}			
}			
}			

Condition	Explanation
CQI_PERIODIC	When periodic CQI reporting should be enabled
FDD	FDD cell environment
TDD	TDD cell environment

– DRB-ToAddModifyList-RECONFIG

Table 4.6.3-2A: DRB-ToAddModifyList-RECONFIG

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
DRB-ToAddModifyList ::= SEQUENCE (SIZE (1..maxDRB)) OF SEQUENCE {	2 Entries		
eps-BearerIdentity[1]	5		
drb-Identity[1]	1		
pdcp-Configuration[1]	PDCP-Configuration-RECONFIG-AM		
rlc-Configuration[1]	RLC-Configuration-DRB-AM-RECONFIG		
logicalChannelIdentity[1]	Not present		
logicalChannelConfig[1]	Not present		
eps-BearerIdentity[2]	6		
drb-Identity[2]	2		
pdcp-Configuration[2]	PDCP-Configuration-RECONFIG-UM		
rlc-Configuration[2]	RLC-Configuration-DRB-UM-RECONFIG		
logicalChannelIdentity[2]	Not present		
logicalChannelConfig[2]	Not present		
}			

– PCCH-Configuration-DEFAULT

Table 4.6.3-3: PCCH-Configuration-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
PCCH-Configuration-DEFAULT::= SEQUENCE {			
defaultPagingCycle	rf128	Typical value in real network.	
nB	oneT		
}			

– PDCP-Configuration-RECONFIG-AM

Table 4.6.3-3A: PDCP-Configuration-RECONFIG-AM

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.508 table 4.8.2.1.2.2-1: PDCP-Configuration-DRB-AM			
PDCP-Configuration-DRB-AM ::= SEQUENCE {			
discardTimer	ms750		
}			

– PDCP-Configuration-RECONFIG-UM

Table 4.6.3-3B: PDCP-Configuration-RECONFIG-UM

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.508 table 4.8.2.1.2.1-1: PDCP-Configuration-DRB-UM			
PDCP-Configuration-DRB-UM ::= SEQUENCE {			
discardTimer	ms150		
}			

– PHICH-Configuration-DEFAULT

Table 4.6.3-4: PHICH-Configuration-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PHICH-Configuration-DEFAULT ::= SEQUENCE {			
phich-Duration	normal		
phich-Resource	one	Ng=1 has been selected to ensure enough PHICH resources from the real network point of view.	
}			

– PDSCH-ConfigCommon-DEFAULT

Table 4.6.3-5: PDSCH-ConfigCommon-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PDSCH-ConfigCommon-DEFAULT ::= SEQUENCE {			
referenceSignalPower	21 (dBm)		1TX
referenceSignalPower	18 (dBm)		2TX
p-b	pb0 ($\rho_B / \rho_A = 1$)		1TX
p-b	pb1 ($\rho_B / \rho_A = 1$)		2TX
}			

Condition	**Explanation**
1TX	1 SS Tx antenna environment
2TX	2 SS Tx antenna environment

– PDSCH-ConfigDedicated-DEFAULT

Table 4.6.3-6: PDSCH-ConfigDedicated-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PDSCH-ConfigDedicated-DEFAULT ::= SEQUENCE {			
p-a	dB0		
}			

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–   PRACH-ConfigCommon-DEFAULT

Table 4.6.3-7: PRACH-ConfigCommon-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
PRACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
rootSequenceIndex	22	Corresponding to u = 1 (See table 5.7.2-4 in TS 36.211for PRACH format 0-3)	FDD
rootSequenceIndex	0	Corresponding to u = 1 (See table 5.7.2-5 in TS 36.211 for PRACH format 4)	TDD
prach-ConfigInfo SEQUENCE {			
prach-ConfigurationIndex	3	Typical value in real network for FDD (see table 5.7.1-1 and 5.7.1-2 in TS 36.211)	FDD
prach-ConfigurationIndex	51	Typical value in real network for TDD (see table 5.7.1-3 and 5.7.1-4 in TS 36.211)	TDD
highSpeedFlag	FALSE (Unrestricted set)	High speed train configuration doesn't apply by default.	
zeroCorrelationZoneConfig	5 (N_{cs} configuration = 5)	Assuming cell radius is up to approximately 3 km.	FDD
zeroCorrelationZoneConfig	4 (N_{cs} configuration = 4)	Assuming cell radius is up to approximately 1 km.	TDD
prach-FrequencyOffset	See subclause 4.6.8	Channel-bandwidth-dependent parameter	
}			
}			
Note: Although PRACH format 0-3 is applicable for both FDD and TDD, the PRACH format 4 is used as default for testing for TDD.			

Condition	Explanation
FDD	FDD cell environment
TDD	TDD cell environment

– PUCCH-ConfigCommon-DEFAULT

Table 4.6.3-8: PUCCH-ConfigCommon-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PUCCH-ConfigCommon-DEFAULT ::= SEQUENCE {			
deltaPUCCH-Shift	ds2	Assuming typical values of the maximum delay spread	
nRB-CQI	See subclause 4.6.8	Channel-bandwidth-dependent parameter	
nCS-AN	6		
n1PUCCH-AN	0		
}			

– PUCCH-ConfigDedicated-DEFAULT

Table 4.6.3-9: PUCCH-ConfigDedicated-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PUCCH-ConfigDedicated-DEFAULT ::= SEQUENCE {			
ackNackRepetition CHOICE {			
disable			
}			
tddAckNackFeedbackMode	Not present		FDD
tddAckNackFeedbackMode	[bundling]	ENUMERATED {bundling, multiplexing}	TDD
}			

Condition	Explanation
FDD	FDD cell environment
TDD	TDD cell environment

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–   PUSCH-ConfigCommon-DEFAULT

Table 4.6.3-10: PUSCH-ConfigCommon-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PUSCH-ConfigCommon-DEFAULT ::= SEQUENCE {			
pusch-ConfigBasic SEQUENCE {			
n-SB	nsb1	Typical configuration in real network	
hoppingMode	interSubFrame	Typical configuration in real network	
pusch-HoppingOffset	See subclause 4.6.8	Channel-bandwidth-dependent parameter	
enable64Qam	FALSE		
}			
ul-ReferenceSignalsPUSCH SEQUENCE {			
groupHoppingEnabled	TRUE	In accordance with "the RAN5 LTE UE Feature list".	
groupAssignmentPUSCH	0	Typical value in real network	
sequenceHoppingEnabled	FALSE	In accordance with "the RAN5 LTE UE Feature list".	
cyclicShift	0		
}			
}			

–   PUSCH-ConfigDedicated-DEFAULT

Table 4.6.3-11: PUSCH-ConfigDedicated-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PUSCH-ConfigDedicated-DEFAULT ::= SEQUENCE {			
deltaOffset-ACK-Index	9		
deltaOffset-RI-Index	6		
deltaOffset-CQI-Index	6		
}			

– RACH-ConfigCommon-DEFAULT

Table 4.6.3-12: RACH-ConfigCommon-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
RACH-ConfigCommon-DEFAULT ::= SEQUENCE {			
preambleInformation SEQUENCE {			
numberOfRA-Preambles	n52	Assuming the number of dedicated preambles is 12.	
preamblesGroupAConfig SEQUENCE {}	Not present		
}			
powerRampingParameters SEQUENCE {			
powerRampingStep	dB2		
preambleInitialReceivedTargetPower	dBm-104 (default)	Thermal noise = -113 dBm NF = 5 dB IoT = 6 dB Required SNR = -8 dB (See table 8.4.2-1 in TS 36.104 [30]) -> -110 dB (default value is acceptable)	
}			
ra-SupervisionInformation SEQUENCE {			
preambleTransMax	n6	Under the condition of Case 1 in RAN1 simulation assumptions, an UE with pathloss of CDF = 90% reaches the maximum transmit power in 4 successive retransmissions. 6 has been selected considering the margin of 2.	
ra-ResponseWindowSize	sf10	The maximum value is preferable.	
mac-ContentionResolutionTimer	sf48	Allows for a sufficient number of msg3 retransmissions.	
}			
maxHARQ-Msg3Tx	4	Under the condition of Target SIR = 2 dB, the probability of 2 transmissions is less than 1%. 4 has been selected considering the margin of 2.	
}			

– RadioResourceConfigCommon-DEFAULT

Table 4.6.3-13: RadioResourceConfigCommon-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RadioResourceConfigCommon-DEFAULT ::= SEQUENCE {			
rach-Configuration	RACH-ConfigCommon-DEFAULT		
prach-Configuration	PRACH-Configuration-DEFAULT		
pdsch-Configuration	Not present		
pusch-Configuration	PUSCH-ConfigCommon-DEFAULT		
phich-Configuration	Not present		
pucch-Configuration	Not present		
soundingRsUl-Config	SoundingRsUl-ConfigCommon-DEFAULT		
uplinkPowerControl	Not present		
antennaInformationCommon	Not present		
tdd-Configuration	Not present		FDD
tdd-Configuration	TDD-Configuration-DEFAULT		TDD
ul-CyclicPrefixLength	len1		
}			

Condition	**Explanation**
FDD	FDD cell environment
TDD	TDD cell environment

– RadioResourceConfigCommonSIB-DEFAULT

Table 4.6.3-14: RadioResourceConfigCommonSIB-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RadioResourceConfigCommonSIB-DEFAULT ::= SEQUENCE {			
rach-Configuration	RACH-ConfigCommon-DEFAULT		
bcch-Configuration	BCCH-Configuration-DEFAULT		
pcch-Configuration	PCCH-Configuration-DEFAULT		
prach-Configuration	PRACH-Configuration-DEFAULT		
pdsch-Configuration	PDSCH-ConfigCommon-DEFAULT		
pusch-Configuration	PUSCH-ConfigCommon-DEFAULT		
pucch-Configuration	PUCCH-ConfigCommon-DEFAULT		
soundingRsUl-Config	SoundingRsUl-ConfigCommon-DEFAULT		
uplinkPowerControl	UplinkPowerControlCommon-DEFAULT		
ul-CyclicPrefixLength	len1		
}			

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– RadioResourceConfigDedicated-SRB1

Table 4.6.3-15: RadioResourceConfigDedicated-SRB1

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
RadioResourceConfigDedicated-SRB1 ::= SEQUENCE {			
srb-ToAddModifyList SEQUENCE (SIZE (1..2)) OF SEQUENCE {}	1 entry, with value SRB-ToAddModify-DEFAULT using condition SRB1		
drb-ToAddModifyList	Not present		
drb-ToReleaseList	Not present		
mac-MainConfig CHOICE {			
DefaultValue			
}			
sps-Configuration	Not present		
physicalConfigDedicated	PhysicalConfigDedicated-DEFAULT using condition SRB1	See subclause 4.8.2	
}			

–   RadioResourceConfigDedicated-SRB2-DRB(n,m)

Table 4.6.3-16: RadioResourceConfigDedicated-SRB2-DRB(n,m)

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
RadioResourceConfigDedicated-SRB2-DRB(n, m) ::= SEQUENCE {		n is the number of AM RLC DRBs (1..N) m is the number of UM RLC DRBs (0..M)	
srb-ToAddModifyList SEQUENCE (SIZE (1..2)) OF SEQUENCE {	1 entry		
srb-ToAddModify[1]	SRB-ToAddModify-DEFAULT using condition SRB2	See subclause 4.8.2	
}			
drb-ToAddModifyList SEQUENCE (SIZE (1..maxDRB)) OF SEQUENCE {	n+m entries		
drb-ToAddModify[k, k=1..n]	DRB-ToAddModify(k) using condition AM	n AM RLC DRBs See subclause 4.8.2	
drb-ToAddModify[k, k=n+1..n+m]	DRB-ToAddModify(k) using condition UM	m UM RLC DRBs See subclause 4.8.2	m>0
}			
drb-ToReleaseList	Not present		
mac-MainConfig CHOICE {			
explicitValue	MAC-MainConfiguration-RBC using condition DRX_L (note)	See subclause 4.8.2	m=0
	MAC-MainConfiguration-RBC using condition DRX_S (note)	See subclause 4.8.2	m>0
}			
sps-Configuration	Not present		
physicalConfigDedicated	PhysicalConfigDedicated - DEFAULT using condition RBC	See subclause 4.8.2	
}			
NOTE: In cases where no RLC-UM bearer is configured, large DRX Cycle length is used. In cases where at least one RLC-UM bearer is configured, small DRX Cycle length is used.			

Condition	Explanation
m=0	m is equal to zero
m>0	m is greater than zero

–   RadioResourceConfigDedicated-DRB(n,m)

Table 4.6.3-17: RadioResourceConfigDedicated-DRB(n,m)

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RadioResourceConfigDedicated-DRB(n, m) ::= SEQUENCE {		n is the number of AM RLC DRBs (0..N) m is the number of UM RLC DRBs (0..M)	
srb-ToAddModifyList	Not present		
drb-ToAddModifyList SEQUENCE (SIZE (1..maxDRB)) OF SEQUENCE {	n+m entries	BID is the total number of established DRBs in the UE, before applying the contents of this IE	
drb-ToAddModify[k, k=BID+1..BID+n]	DRB-ToAddModify(k) using condition AM	n AM RLC DRBs See subclause 4.8.2	n>0
drb-ToAddModify[k, k= BID+1+n.. BID+n+m]	DRB-ToAddModify(k) using condition UM	m UM RLC DRBs See subclause 4.8.2	m>0
}			
drb-ToReleaseList	Not present		
mac-MainConfig	Not present (note)		m=0
mac-MainConfig CHOICE {			m>0
explicitValue	MAC-MainConfiguration-RBC using condition DRX_S (note)	See subclause 4.8.2	
}			
sps-Configuration	Not present		
physicalConfigDedicated	Not present		
}			
NOTE: In cases where no RLC-UM bearer is added, existing DRX configuration is used without modification. In cases where at least one RLC-UM bearer is added, small DRX Cycle length is used.			

Condition	Explanation
n>0	n is greater than zero
m=0	m is equal to zero
m>0	m is greater than zero

– RadioResourceConfigDedicated-SRB1-SRB2-DRB(n,m)

Table 4.6.3-18: RadioResourceConfigDedicated-SRB1-SRB2-DRB(n,m)

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
RadioResourceConfigDedicated-SRB1-SRB2-DRB(n, m) ::= SEQUENCE {		n is the number of AM RLC DRBs (1..N) m is the number of UM RLC DRBs (0..M)	
srb-ToAddModifyList SEQUENCE (SIZE (1..2)) OF SEQUENCE {	2 entries		
srb-ToAddModify[1]	SRB-ToAddModify-DEFAULT using condition SRB1	See subclause 4.8.2	
srb-ToAddModify[2]	SRB-ToAddModify-DEFAULT using condition SRB2	See subclause 4.8.2	
}			
drb-ToAddModifyList SEQUENCE (SIZE (1..maxDRB)) OF SEQUENCE {	n+m entries		
drb-ToAddModify[k, k=1..n]	DRB-ToAddModify(k) using condition AM	n AM RLC DRBs See subclause 4.8.2	
drb-ToAddModify[k, k=n+1..n+m]	DRB-ToAddModify(k) using condition UM	m UM RLC DRBs See subclause 4.8.2	m>0
}			
drb-ToReleaseList	Not present		
mac-MainConfig CHOICE {			
explicitValue	MAC-MainConfiguration-RBC using condition DRX_L (note)	See subclause 4.8.2	m=0
	MAC-MainConfiguration-RBC using condition DRX_S (note)	See subclause 4.8.2	m>0
}			
sps-Configuration	Not present		
physicalConfigDedicated	PhysicalConfigDedicated - DEFAULT using condition RBC	See subclause 4.8.2	
sps-Configuration	Not present		
}			
NOTE: In cases where no RLC-UM bearer is configured, large DRX Cycle length is used. In cases where at least one RLC-UM bearer is configured, small DRX Cycle length is used.			

Condition	Explanation
m=0	m is equal to zero
m>0	m is greater than zero

– RadioResourceConfigDedicated-HO

Table 4.6.3-19: RadioResourceConfigDedicated-HO

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RadioResourceConfigDedicated-HO ::= SEQUENCE {			
srb-ToAddModifyList	Not present		
drb-ToAddModifyList	Not present		
drb-ToReleaseList	Not present		
mac-MainConfig	Not present		
sps-Configuration	Not present		
physicalConfigDedicated	PhysicalConfigDedicated-DEFAULT using condition RBC	See subclause 4.8.2	
}			

– RLC-Configuration-DRB-AM-RECONFIG

Table 4.6.3-19A: RLC-Configuration-DRB-AM-RECONFIG

Derivation Path: 36.508 table 4.8.2.1.3.2-1: RLC-Configuration-DRB-AM			
Information Element	**Value/remark**	**Comment**	**Condition**
RLC-Configuration-DRB-AM ::= CHOICE {			
am SEQUENCE {			
ul-AM-RLC SEQUENCE {			
t-PollRetransmit	ms80		
pollPDU	p64		
pollByte	kB250		
maxRetxThreshold	t8		
}			
dl-AM-RLC SEQUENCE {			
t-Reordering	ms85		
t-StatusProhibit	ms50		
}			
}			
}			

– RLC-Configuration-DRB-UM-RECONFIG

Table 4.6.3-19B: RLC-Configuration-DRB-UM-RECONFIG

Derivation Path: 36.508 table 4.8.2.1.3.1-1: RLC-Configuration-DRB-UM			
Information Element	**Value/remark**	**Comment**	**Condition**
RLC-Configuration-DRB-UM ::= CHOICE {			
um-Bi-Directional SEQUENCE {			
dl-UM-RLC SEQUENCE {			
t-Reordering	ms55		
}			
}			
}			

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–   RLC-Configuration-SRB-AM-RECONFIG

Table 4.6.3-19C: RLC-Configuration-SRB-AM-RECONFIG

Derivation Path: 36.331 clause 6.3.2, 9.2.1			
Information Element	**Value/remark**	**Comment**	**Condition**
RLC-Configuration ::= CHOICE {			
am SEQUENCE {			
ul-AM-RLC SEQUENCE {			
t-PollRetransmit	ms50		
pollPDU	pInfinity	Default	
pollByte	kBinfinity	Default	
maxRetxThreshold	t6		
}			
dl-AM-RLC SEQUENCE {			
t-Reordering	ms40		
t –StatusProhibit	ms0	Default	
}			
}			
}			

–   SchedulingRequest-Configuration-DEFAULT

Table 4.6.3-20: SchedulingRequest-Configuration-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SchedulingRequest-Configuration-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
sr-PUCCH-ResourceIndex	See subclause 4.6.8	Channel-bandwidth-dependent parameter	
sr-ConfigurationIndex	30		
dsr-TransMax	n4		
}			
}			

– SoundingRsUl-ConfigCommon-DEFAULT

Table 4.6.3-21: SoundingRsUl-ConfigCommon-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
SoundingRsUl-ConfigCommon-DEFAULT ::= SEQUENCE {			
srsBandwidthConfiguration	See subclause 4.6.8	Channel-bandwidth-dependent parameter	
srsSubframeConfiguration	0	Assuming SRS density is high (see Table 5.5.3.3-1 in TS 36.211)	FDD
srsSubframeConfiguration	4	Assuming SRS density is high (see Table 5.5.3.3-2 in TS 36.211)	TDD
ackNackSrsSimultaneousTransmission	TRUE	Typical value in real network	
srsMaxUpPts	Not Present		FDD
srsMaxUpPts	FALSE		TDD
}			

Condition	Explanation
FDD	FDD cell environment
TDD	TDD cell environment

– SoundingRsUl-ConfigDedicated-DEFAULT

Table 4.6.3-22: SoundingRsUl-ConfigDedicated-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
SoundingRsUl-ConfigDedicated-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
srsBandwidth	bw0	bw0 used with no frequency hopping. bw3 used with frequency hopping	
srsHoppingBandwidth	hbw0		
frequencyDomainPosition	0		
duration	TRUE		
srs-ConfigurationIndex	20	See Table 8.2-1 in TS 36.213	FDD
srs-ConfigurationIndex	31	INTEGER (0..1023) See Table 8.2-2 in TS 36.213	TDD
transmissionComb	0		
cyclicShift	cs0		
}			
}			

Condition	Explanation

FDD	FDD cell environment
TDD	TDD cell environment

– SRB-ToAddModifyList-RECONFIG

Table 4.6.3-22A: SRB-ToAddModifyList-RECONFIG

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
SRB-ToAddModifyList ::= SEQUENCE (SIZE (1..2)) OF SEQUENCE {	2 Entries		
srb-Identity[1]	1		
rlc-Configuration[1] CHOICE {			
explicitValue	RLC-Configuration-SRB-AM-RECONFIG		
logicalChannelConfig[1] CHOICE {			
defaultValue	NULL		
}			
srb-Identity[2]	2		
rlc-Configuration[2] CHOICE {			
explicitValue	RLC-Configuration-SRB-AM-RECONFIG		
}			
logicalChannelConfig[2] CHOICE {			
defaultValue	NULL		
}			
}			

– TDD-Configuration-DEFAULT

Table 4.6.3-23: TDD-Configuration-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
TDD-Configuration-DEFAULT ::= SEQUENCE {			
subframeAssignment	sa1		
specialSubframePatterns	ssp7		
}			

– TPC-PDCCH-Configuration-DEFAULT

Table 4.6.3-24: TPC-PDCCH-Configuration-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
TPC-PDCCH-Configuration-DEFAULT ::= CHOICE {			
enable SEQUENCE {			
tpc-RNTI	'03FF'H		PUCCH
	'01FA'H		PUSCH
tpc-Index CHOICE {			
indexOfFormat3	1		
}			
}			
}			

Condition	Explanation
PUCCH	For PUCCH
PUSCH	For PUSCH

–   UplinkPowerControlCommon-DEFAULT

Table 4.6.3-25: UplinkPowerControlCommon-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
UplinkPowerControlCommon-DEFAULT ::= SEQUENCE {			
p0-NominalPUSCH	-85 (-85 dBm)	Typical value in real network	
alpha	al08 (0.8)	Typical value in real network	
p0-NominalPUCCH	-117 (-117 dBm)	Thermal noise = -121 dBm NF = 5 dB IoT = 6 dB Required SNR = -7.5 dB (1-bit A/N) -> -117 dB	
deltaFList-PUCCH SEQUENCE {			
deltaF-PUCCH-Format1	deltaF0	In accordance with RAN1 simulation results	
deltaF-PUCCH-Format1b	deltaF3	In accordance with RAN1 simulation results	
deltaF-PUCCH-Format2	deltaF0	In accordance with RAN1 simulation results	
deltaF-PUCCH-Format2a	deltaF0	In accordance with RAN1 simulation results	
deltaF-PUCCH-Format2b	deltaF0	In accordance with RAN1 simulation results	
}			
deltaPreambleMsg3	4		
}			

–   UplinkPowerControlDedicated-DEFAULT

Table 4.6.3-26: UplinkPowerControlDedicated-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
UplinkPowerControlDedicated-DEFAULT ::= SEQUENCE {			
p0-UePUSCH	0		
deltaMCS-Enabled	en0		
accumulationEnabled	TRUE		
p0-uePUCCH	0		
pSRS-Offset	3 (-6 dB)		
}			

4.6.4 Security control information elements

– SecurityConfiguration-HO

Table 4.6.4-1: SecurityConfiguration-HO

Derivation Path: 36.331 clause 6.3.3			
Information Element	**Value/remark**	**Comment**	**Condition**
SecurityConfiguration-HO ::= SEQUENCE {			
integrityProtAlgorithm	Not present		
cipheringAlgorithm	Not present		
keyChangeIndicator	FALSE		
nextHopChainingCount	0		
}			

– SecurityConfiguration-SMC

Table 4.6.4-2: SecurityConfiguration-SMC

Derivation Path: 36.331 clause 6.3.3			
Information Element	**Value/remark**	**Comment**	**Condition**
SecurityConfiguration-SMC ::= SEQUENCE {			
integrityProtAlgorithm	Set according to PIXIT parameter for default integrity protection algorithm [FFS]		
cipheringAlgorithm	Set according to PIXIT parameter for default ciphering algorithm [FFS]		
keyChangeIndicator	FALSE		
nextHopChainingCount	0		
}			

4.6.5 Mobility control information elements

– MobilityControlInformation-HO

Table 4.6.5-1: MobilityControlInformation-HO

Derivation Path: 36.331 clause 6.3.4			
Information Element	**Value/remark**	**Comment**	**Condition**
MobilityControlInformation-HO ::= SEQUENCE {			
targetCellIdentity	Set according to specific message content		
eutra-CarrierFreq	Set according to specific message content		
eutra-CarrierBandwidth	Not present		
additionalSpectrumEmission	Not present		
p-Max	Not present		
t304	ms1000		
newUE-Identity	SS arbitrarily selects a value between '003C'H and 'FFF2'H.		
radioResourceConfigCommon	RadioResourceConfigCommon-DEFAULT		
rach-ConfigDedicated	Not present		
}			

4.6.6 Measurement information elements

- MeasurementConfiguration-DEFAULT

Table 4.6.6-1: MeasurementConfiguration-DEFAULT

Derivation Path: 36.331, clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasurementConfiguration-DEFAULT ::= SEQUENCE {			
measObjectToRemoveList	Not present		
measObjectToAddModifyList	Not present		
reportConfigToRemoveList	Not present		
reportConfigToAddModifyList	Not present		
measIdToRemoveList	Not present		
measIdToAddModifyList	Not present		
quantityConfig	Not present		
measGapConfig	Not present		
	MeasGapConfig-GP1		INTER-FREQ, UTRAN
	MeasGapConfig-GP2		GERAN
s-Measure	Not present		
hrpd-PreRegistrationInfo	Not present		
neighbourCellConfiguration	Not present		
speedDependentParameters	Not present		
}			

Condition	Explanation
INTER-FREQ	For E-UTRA inter-freq measurements
UTRAN	For inter-RAT measurements with UTRAN
GERAN	For inter-RAT measurements with GERAN

- MeasGapConfig-GP1

Table 4.6.6-1A: MeasGapConfig-GP1

Derivation Path: 36.331, clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasGapConfig-GP1 ::= SEQUENCE {			
gapActivation CHOICE {			
activate SEQUENCE {			
gapPattern CHOICE {			
gp1 SEQUENCE {		TGRP = 40 ms	
gapOffset	0		
}			
}			
}			
deactivate			
}			
}			

- MeasGapConfig-GP2

Table 4.6.6-1B: MeasGapConfig-GP2

Derivation Path: 36.331, clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasGapConfig-GP2 ::= SEQUENCE {			
gapActivation CHOICE {			
activate SEQUENCE {			
gapPattern CHOICE {			
gp2 SEQUENCE {		TGRP = 80 ms	
gapOffset	0		
}			
}			
}			
deactivate			
}			
}			

– MeasObjectCDMA2000-GENERIC

Table 4.6.6-1C: MeasObjectCDMA2000-GENERIC

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasObjectCDMA2000-GENERIC ::= SEQUENCE {			
cdma2000-Type	FFS	[type1XRTT, typeHRPD]	
cdma2000-CarrierInfo SEQUENCE {			
bandClass	FFS	Should be one of the following: bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8, bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16, bc17, spare14, spare13, spare12, spare11, spare10,spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1, ...	
bandClassfrequency	Downlink channel numberFFS	Range: 0 to 2047	
}			
offsetFreq	db0		
cellsToRemoveList	Not present		
cellsToAddModifyList CHOICE {}	[Not present]		
cellForWhichToReportCGI	Not present		
}			

- MeasObjectEUTRA-GENERIC

Table 4.6.6-2: MeasObjectEUTRA-GENERIC(Freq)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasObjectEUTRA-GENERIC(Freq) ::= SEQUENCE {			
eutra-CarrierInfo SEQUENCE {}	Downlink EARFCN for Freq		
measurementBandwidth	The number of the resource blocks for Freq		
offsetFreq	0		
cellsToRemoveList	Not present		
cellsToAddModifyList	Not present		
blackListedCellsToRemoveList	Not present		
blackListedCellsToAddModifyList	Not present		
cellForWhichToReportCGI	Not present		
}			

- MeasObjectUTRA-GENERIC

Table 4.6.6-3: MeasObjectUTRA-GENERIC(Freq)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
MeasObjectUTRA-GENERIC(Freq) ::= SEQUENCE {			
utra-CarrierInfo SEQUENCE {			
uarfcn-DL	Downlink UARFCN of Freq		
}			
offsetFreq	0		
cellsToRemoveList	Not present		
cellsToAddModifyList CHOICE {}	Not present	For UTRA, the neighbouring cell list needs to be provided in specific test cases.	
cellForWhichToReportCGI	Not present		
}			

-　　　　　ReportConfigEUTRA-A1

Table 4.6.6-4: ReportConfigEUTRA-A1(Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigEUTRA-A1(Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA1 SEQUENCE {			
a1-Threshold CHOICE {			
threshold-RSRP	Thres+140	Thres is actual threshold value in dBm	
}			
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms200		
}			
}			
triggerQuantity	rsrp		
reportQuantity	both		
maxReportCells	1		
reportInterval	ms1024		
reportAmount	Infinity		
}			

-　　　　　ReportConfigEUTRA-A2

Table 4.6.6-5: ReportConfigEUTRA-A2(Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigEUTRA-A2(Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA2 SEQUENCE {			
a2-Threshold CHOICE {			
threshold-RSRP	Thres+140	Thres is actual threshold value in dBm	
}			
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms320		
}			
}			
triggerQuantity	rsrp		
reportQuantity	both		
maxReportCells	1		
reportInterval	ms1024		
reportAmount	Infinity		
}			

- ReportConfigEUTRA-A3

Table 4.6.6-6: ReportConfigEUTRA-A3

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigEUTRA-A3 ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventA3 SEQUENCE {			
a3-Offset	4 (2 dB)		
reportOnLeave	FALSE		
}			
}			
hysteresis	0 (0 dB)		
timeToTrigger	ms640		
}			
}			
triggerQuantity	rsrp		
reportQuantity	both		
maxReportCells	1		
reportInterval	ms1024		
reportAmount	Infinity		
}			

- ReportConfigEUTRA-PERIODICAL

Table 4.6.6-7: ReportConfigEUTRA-PERIODICAL

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigEUTRA-PERIODICAL ::= SEQUENCE {			
triggerType CHOICE {			
periodical SEQUENCE {			
purpose CHOICE {			
reportStrongestCells	NULL		
}			
}			
}			
triggerQuantity	rsrp		
reportQuantity	both		
maxReportCells	1		
reportInterval	ms1024		
reportAmount	infinity		
}			

- ReportConfigInterRAT-B1-GERAN

Table 4.6.6-7A: ReportConfigInterRAT-B1-GERAN(GERAN-Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B1-UTRA(UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB1 SEQUENCE {			
b1-Threshold CHOICE {			
b1-Threshold-GERAN CHOICE {			
thresholdGERAN	(GERAN-Thres + 110)	GERAN-Thres is actual value in dBm	
}			
}			
}			
}			
timeToTrigger	ms0	Value range FFS	
}			
}			
maxReportCells	6	In line with RAN4 requirement	
reportInterval	ms1024		
reportAmount	infinity		
}			

- ReportConfigInterRAT-B1-UTRA

Table 4.6.6-7B: ReportConfigInterRAT-B1-UTRA(UTRA-Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B1-UTRA(UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB1 SEQUENCE {			
b1-Threshold CHOICE {			
b1-Threshold-UTRA CHOICE {			
thresholdUTRA-EcN0	(UTRA-Thres * 2 + 49)	UTRA-Thres is actual Ec/NO value in dB	UTRA-FDD
thresholdUTRA-RSCP	UTRA-Thres + 115	UTRA-Thres is actual RSCP value in dBm	UTRA-TDD
}			
}			
}			
}			
timeToTrigger	ms0	Value range FFS	
}			
}			
maxReportCells	6	In line with RAN4 requirement	
reportInterval	ms1024		
reportAmount	infinity		
}			

Condition	Explanation
UTRA-FDD	UTRA FDD cell environment
UTRA-TDD	UTRA TDD cell environment

- ReportConfigInterRAT-B2-CDMA2000

Table 4.6.6-7C: ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B2-CDMA2000(EUTRA-Thres, CDMA2000-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	EUTRA-Thres+140	EUTRA-Thres is actual threshold value in dBm	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2-CDMA2000	FFS	Integer (0..63)	
}			
}			
}			
hysteresis	FFS	INTEGER(0..30)	
timeToTrigger	0	Value range FFS	
}			
}			
maxReportCells	[8]		
reportInterval	[2sms2048]	Range: ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, min1, min6, min12, min30, min60, spare3, spare2, spare1	
reportAmount	[infinity]	Range: r1, r2, r4, r8, r16, r32, r64, infinity	
}			

- ReportConfigInterRAT-B2-CDMA2000-PERIODICAL

Table 4.6.6-7D: ReportConfigInterRAT-B2-CDMA2000-PERIODICAL

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B2-CDMA2000-PERIODICAL ::= SEQUENCE {			
triggerType CHOICE {			
periodical SEQUENCE {			
purpose CHOICE {			
reportStrongestCells	[NULL]		
reportStrongestCellsForSON	[NULL]		
reportCGI	[NULL]		
}			
}			
}			
triggerQuantity	rsrp		
reportQuantity	sameAsTriggerQuantity		
maxReportCells	1		
reportInterval	[ms2048]	Range: ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240, min1, min6, min12, min30, min60, spare3, spare2, spare1	
reportAmount	[infinity]	Range: r1, r2, r4, r8, r16, r32, r64, infinity	
}			

- ReportConfigInterRAT-B2-GERAN

Table 4.6.6-7E: ReportConfigInterRAT-B2-GERAN(EUTRA-Thres, GERAN-Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	EUTRA-Thres+140	EUTRA-Thres is actual threshold value in dBm	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2-GERAN CHOICE {			
thresholdGERAN	GERAN-Thres + 110	GERAN-Thres is actual value in dBm	
}			
}			
}			
}			
timeToTrigger	ms0	Value range FFS	
}			
}			
maxReportCells	6	In line with RAN4 requirement	
reportInterval	ms1024		
reportAmount	infinity		
}			

- ReportConfigInterRAT-B2-UTRA

Table 4.6.6-8: ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres)

Derivation Path: 36.331 clause 6.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
ReportConfigInterRAT-B2-UTRA(EUTRA-Thres, UTRA-Thres) ::= SEQUENCE {			
triggerType CHOICE {			
event SEQUENCE {			
eventId CHOICE {			
eventB2 SEQUENCE {			
b2-Threshold1 CHOICE {			
threshold-RSRP	EUTRA-Thres+140	EUTRA-Thres is actual threshold value in dBm	
}			
b2-Threshold2 CHOICE {			
b2-Threshold2-UTRA CHOICE {			
thresholdUTRA-EcN0	UTRA-Thres * 2 + 49	UTRA-Thres is actual Ec/NO value in dB	UTRA-FDD
thresholdUTRA-RSCP	UTRA-Thres + 115	UTRA-Thres is actual RSCP value in dBm	UTRA-TDD
}			
}			
}			
}			
timeToTrigger	ms0	Value range FFS	
}			
}			
maxReportCells	6	In line with RAN4 requirement	
reportInterval	ms1024		
reportAmount	infinity		
}			

Condition	Explanation
UTRA-FDD	UTRA FDD cell environment
UTRA-TDD	UTRA TDD cell environment

4.6.7   Other information elements

–   RRC-TransactionIdentifier-DL

Table 4.6.7-1: RRC-TransactionIdentifier-DL

Derivation Path: 36.331 clause 6.3.6			
Information Element	**Value/remark**	**Comment**	**Condition**
RRC-TransactionIdentifier-DL ::=	SS arbitrarily selects a value between 0 and 3		

– RRC-TransactionIdentifier-UL

Table 4.6.7-2: RRC-TransactionIdentifier-UL

Derivation Path: 36.331 clause 6.3.6			
Information Element	**Value/remark**	**Comment**	**Condition**
RRC-TransactionIdentifier-UL ::=	SS checks that it has the same value as the downlink message initiating the procedure		

4.6.8   Channel-bandwidth-dependent parameters

The default values of parameters which depend on the channel bandwidth are defined in table 4.6.8-1.

Table 4.6.8-1: Channel-bandwidth-dependent parameters

Information Element	Channel bandwidth						Comment
	1.4 MHz	**3 MHz**	**5 MHz**	**10 MHz**	**15 MHz**	**20MHz**	
Prach-FrequencyOffset	FFS	FFS	2	4	6	8	Typical value in real network
nRB-CQI	FFS	FFS	2	4	6	8	Selected based on typical maximum number of UEs.
Pusch-HoppingOffset	FFS	FFS	4	8	12	16	Typical value in real network
sr-PUCCH-ResourceIndex	FFS	FFS	20	41	62	84	
srsBandwidth Configuration	FFS	FFS	bw3 ($m_{SRS,b}$, N_b) = (20, 1), (4, 5), (4, 1), (4, 1)	bw2 ($m_{SRS,b}$, N_b) = (40, 1), (20, 2), (4, 5), (4, 1)	bw2 ($m_{SRS,b}$, N_b) = (60, 1), (20, 3), (4, 5), (4, 1)	bw2 ($m_{SRS,b}$, N_b) = (80, 1), (40, 2), (20, 2), (4, 5)	Selected in accordance with pucch-ResourceSize.

4.7 Default NAS message and information element contents

This clause contains the default values of common NAS messages and information elements, which apply to all test cases unless otherwise specified. All the messages and information elements are listed in alphabetical order.

4.7.1 Security protected NAS messages

In subclauses 4.7.2 and 4.7.3 in this document, all the NAS messages are described in the plain NAS message format.

When a NAS message is security protected, the message shall be contained by SECURITY PROTECTED NAS MESSAGE unless contained by another NAS message.

The default contents of SECURITY PROTECTED NAS MESSAGE message are defined in table 4.7.1-1.

Table 4.7.1-1: SECURITY PROTECTED NAS MESSAGE

Derivation Path: 24.301 clause 8.2.20			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0001'B	Integrity protected	UNCIPHERED
	'0010'B	Integrity protected and ciphered	CIPHERED
	'0011'B	Integrity protected with new EPS security context	UNCIPHERED-NEW
	'0100'B	Integrity protected and ciphered with new EPS security context	CIPHERED-NEW
Message authentication code	The calculated value of MAC-I for this message.	The value of MAC-I is calculated by SS using Sequence number sent by UE.	SENT-BY-SS
Message authentication code	The same value as the XMAC-I value calculated by SS.		SENT-BY-UE
Sequence number	The internal counter of the SS		SENT-BY-SS
Sequence number	Any allowed value		SENT-BY-UE
NAS message	Set according to specific message content		

Condition	Explanation
UNCIPHERED	This condition applies to unciphered NAS message exchange
CIPHERED	This condition applies to ciphered NAS message exchange
UNCIPHERED-NEW	This condition applies to unciphered NAS message exchange with new EPS security context
CIPHERED-NEW	This condition applies to ciphered NAS message exchange with new EPS security context
SENT-BY-SS	Use for the message sent from SS to UE
SENT-BY-UE	Use for the message sent from UE to SS

4.7.2 Contents of EMM messages

- ATTACH ACCEPT

This message is sent by the SS to the UE.

Table 4.7.2-1: ATTACH ACCEPT

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.2.1			
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Attach accept message identity	'0100 0010'B	Attach accept	
EPS attach result	'0001'B	EPS only	
	'0010'B	combined EPS/IMSI attach	CombinedAttach
Spare half octet	'0000'B		
T3412 value			
Timer value	'0 0000'B		
Unit	'111'B	value indicates that the timer is deactivated.	
TAI list			
Length of tracking area identity list contents	'0000 0110'B	6 octets	
Partial tracking area identity list 1			
Number of elements	'0 0000'B	1 element	
Type of list	'00'B	list of TACs belonging to one PLMN, with non-consecutive TAC values	
MCC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MNC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
TAC 1	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
ESM message container	ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message to activate the default bearer		
GUTI			
Length of EPS mobile identity contents	'0000 1010'B	10 octets	
Type of identity	'110'B	GUTI	
Odd/even indication	'0'B	even number of identity digits and also when the GUTI is used	
MCC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MNC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MME Group ID	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MME Code	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	

M-TMSI	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
Location area identification	Not present		
Location area identification			CombinedAttach
MCC	See subclause 4.4 in this document		
MNC	See subclause 4.4 in this document		
LAC	See subclause 4.4 in this document		
MS identity	Not present		
MS identity			CombinedAttach
Length of mobile identity contents	'0000 0101'B	5 octets	
Type of identity	'100'B	TMSI/P-TMSI/M-TMSI	
Odd/even indication	'0'B	even number of identity digits and also when the TMSI/P-TMSI or TMGI and optional MBMS Session Identity is used	
TMSI	See subclause 4.4 in this document		
EMM cause	Not present		
T3402 value	Not present		
T3423 value	Not present		
Equivalent PLMNs	Not present		

Condition	Explanation
CombinedAttach	Used for CS fallback test cases

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

-   ATTACH COMPLETE

This message is sent by the UE to the SS.

Table 4.7.2-2: ATTACH COMPLETE

Derivation Path: 24.301 clause 8.2.2			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Attach complete message identity	'0100 0011'B	Attach complete	
ESM message container	ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT message		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ATTACH REJECT

This message is sent by the SS to the UE.

Table 4.7.2-3: ATTACH REJECT

Derivation Path: 24.301 clause 8.2.3			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Attach reject message identity	'0100 0100'B	Attach reject	
EMM cause	Set according to specific message content.		
ESM message container	Set according to specific message content.		

NOTE: This message is sent without integrity protection.

- ATTACH REQUEST

This message is sent by the UE to the SS.

Table 4.7.2-4: ATTACH REQUEST

Derivation Path: 24.301 clause 8.2.4			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Attach request message identity	'0100 0001'B	Attach request	
EPS attach type	'0001'B	EPS attach	
	'0010'B	combined EPS/IMSI attach	pc_CSfallback
NAS key set identifier	Any allowed value		
Old GUTI or IMSI	Any allowed value		
UE network capability	Any allowed value		
ESM message container	PDN CONNECTIVITY REQUEST message to request PDN connectivity to the default PDN		
Last visited registered TAI	Any allowed value		
DRX parameter	Any allowed value		
MS network capability	Any allowed value		
Old location area identification	Any allowed value		
TMSI status	Any allowed value		
Mobile station classmark 2	Any allowed value		
Mobile station classmark 3	Any allowed value		
Supported Codecs	Any allowed value		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

- AUTHENTICATION FAILURE

This message is sent by the UE to the SS.

Table 4.7.2-5: AUTHENTICATION FAILURE

Derivation Path: 24.301 clause 8.2.5			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Authentication failure message type	'0101 1100'B	Authentication failure	
EMM cause	'0001 0100'B	Mac failure	
Authentication failure parameter	Not present	See TS 24.301 [28] subclause 8.2.5.2	

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

- AUTHENTICATION REJECT

This message is sent by the SS to the UE.

Table 4.7.2-6: AUTHENTICATION REJECT

Derivation Path: 24.301 clause 8.2.6			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Authentication reject message type	'0101 0100'B	Authentication reject	

NOTE: This message is sent without integrity protection.

- AUTHENTICATION REQUEST

This message is sent by the SS to the UE.

Table 4.7.2-7: AUTHENTICATION REQUEST

Derivation Path: 24.301 clause 8.2.7			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Authentication request message type	'0101 0010'B	Authentication request	
NAS key set identifier$_{ASME}$			
NAS key set identifier	An arbitrarily selected value between '000'B and '110'B, different from the valid NAS key set identifier of the UE if such a value exists.		
TSC	'0'B	cached security context	
Spare half octet	'0000'B		
Authentication parameter RAND (EPS challenge)	An arbitrarily selected 128 bits value		
Authentication parameter AUTN (EPS challenge)	See TS 24.301 [28] subclause 9.9.3.2		

NOTE: This message is sent without integrity protection.

- AUTHENTICATION RESPONSE

This message is sent by the UE to the SS.

Table 4.7.2-8: AUTHENTICATION RESPONSE

Derivation Path: 24.301 clause 8.2.8			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Authentication response message type	'0101 0011'B	Authentication response	
Authentication response parameter	See TS 24.301 [28] subclause 9.9.3.4		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

- DETACH ACCEPT (UE originating detach)

This message is sent by the SS to the UE.

Table 4.7.2-9: DETACH ACCEPT

Derivation Path: 24.301 clause 8.2.9.1			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Detach accept message identity	'0100 0110'B	Detach accept	

NOTE: This message is sent without integrity protection.

- DETACH ACCEPT (UE terminated detach)

This message is sent by the UE to the SS.

Table 4.7.2-10: DETACH ACCEPT

Derivation Path: 24.301 clause 8.2.9.2			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Detach accept message identity	'0100 0110'B	Detach accept	

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

- DETACH REQUEST (UE originating detach)

This message is sent by the UE to the SS.

Table 4.7.2-11: DETACH REQUEST

Derivation Path: 24.301 clause 8.2.10.1			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Detach request message identity	'0100 0101'B	Detach request	
Detach type			
Type of detach	'001'B	EPS detach	
Switch off	'1'B	switch off	
Spare half octet	Any allowed value		
GUTI or IMSI	If the UE has a valid GUTI, set to the GUTI, otherwise set to the IMSI of the UE.		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

-   DETACH REQUEST (UE terminated detach)

This message is sent by the SS to the UE.

Table 4.7.2-12: DETACH REQUEST

Derivation Path: 24.301 clause 8.2.10.2			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Detach request message identity	'0100 0101'B	Detach request	
Detach type	Set according to specific message content.		
Spare half octet	'0000'B		
EMM cause	Set according to specific message content.		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

-   DOWNLINK NAS TRANSPORT

This message is sent by the SS to the UE.

Table 4.7.2-12A: DOWNLINK NAS TRANSPORT

Derivation Path: 24.301 clause 8.2.11			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Downlink NAS transport message identity	'0110 0010'B	Downlink NAS transport	
NAS message container	Set according to specific message content		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- EMM INFORMATION

This message is sent by the SS to the UE.

Table 4.7.2-13: EMM INFORMATION

Derivation Path: 24.301 clause 8.2.12			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
EMM information message identity	'0110 0001'B	EMM information	
Full name for network	Set according to specific message content.		
Short name for network	Set according to specific message content.		
Local time zone	Set according to specific message content.		
Universal time and local time zone	Set according to specific message content.		
Network daylight saving time	Set according to specific message content.		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- EMM STATUS

This message is sent by the UE or by the SS.

Table 4.7.2-14: EMM STATUS

Derivation Path: 24.301 clause 8.2.13			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
EMM status message identity	'0110 0000'B	EMM status	
EMM cause	Set according to specific message content.		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- EXTENDED SERVICE REQUEST

This message is sent by the UE to the SS.

Table 4.7.2-14A: EXTENDED SERVICE REQUEST

Derivation Path: 24.301 clause 8.2.14			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Extended service request message identity	'0100 1100'B	Extended service request	
Service type	'0000'B	mobile originating CS fallback	
NAS key set identifier			
NAS key set identifier	The valid NAS key set identifier.		
TSC	'0'B	cached security context	
M-TMSI	If the UE has a valid M-TMSI, set to the M-TMSI, otherwise set to the IMSI of the UE.		
CSFB response	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- GUTI REALLOCATION COMMAND

This message is sent by the SS to the UE.

Table 4.7.2-15: GUTI REALLOCATION COMMAND

Derivation Path: 24.301 clause 8.2.15			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
GUTI reallocation command message identity	'0101 0000'B	GUTI reallocation command	
GUTI	Set according to specific message content.		
TAI list	Set according to specific message content.		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- GUTI REALLOCATION COMPLETE

This message is sent by the UE to the SS.

Table 4.7.2-16: GUTI REALLOCATION COMPLETE

Derivation Path: 24.301 clause 8.2.16			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
GUTI reallocation complete message identity	'0101 0001'B	GUTI reallocation complete	

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- IDENTITY REQUEST

This message is sent by the SS to the UE.

Table 4.7.2-17: IDENTITY REQUEST

Derivation Path: 24.301 clause 8.2.17			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Identity request message identity	'0101 0101'B	Identity request	
Identity type	'0001'B	IMSI	
Spare half octet	'0000'B		

NOTE: This message is sent without integrity protection.

\- IDENTITY RESPONSE

This message is sent by the UE to the SS.

Table 4.7.2-18: IDENTITY RESPONSE

Derivation Path: 24.301 clause 8.2.18			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Identity response message	'0101 0110'B	Identity response	
Mobile identity	IMSI of the UE		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed. If identity type in IDENTITY REQUEST message indicates IMSI, this message is sent without integrity protection even if after NAS security mode control procedure has been successfully completed.

- SECURITY MODE COMMAND

This message is sent by the SS to the UE.

Table 4.7.2-19: SECURITY MODE COMMAND

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.2.20			
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Security mode command message identity	'0101 1101'B	Security mode command	
Selected NAS security algorithms			
Type of integrity protection algorithm	Set according to PIXIT parameter for default integrity protection algorithm [FFS]		
Type of ciphering algorithm	Set according to PIXIT parameter for default ciphering algorithm [FFS]		
NAS key set identifier$_{ASME}$			
NAS key set identifier	The valid NAS key set identifier.		
TSC	'0'B	cached security context	
NAS key set identifier$_{SGSN}$			
NAS key set identifier	'111'B	no key is available	
TSC	'0'B	TSC does not apply for NAS key set identifier value "111".	
Replayed UE security capabilities	Set according to the received UE security capabilities		
IMEISV request	Not present		
Replayed nonce$_{UE}$	Not present		
Nonce$_{MME}$	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- SECURITY MODE COMPLETE

This message is sent by the UE to the SS.

Table 4.7.2-20: SECURITY MODE COMPLETE

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.2.21			
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Security mode complete message identity	'0101 1110'B	Security mode complete	
IMEISV	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- SECURITY MODE REJECT

This message is sent by the UE to the SS.

Table 4.7.2-21: SECURITY MODE REJECT

Derivation Path: 24.301 clause 8.2.22			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Security mode reject message identity	'0101 1111'B	Security mode reject	
EMM cause	The value is set according to specific message content.		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

\- SERVICE REJECT

This message is sent by the SS to the UE.

Table 4.7.2-22: SERVICE REJECT

Derivation Path: 24.301 clause 8.2.24			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Service reject message identity	'0100 1110'B	Service reject	
EMM cause	Set according to specific message content.		
T3442 value	Not present		

NOTE: This message is sent without integrity protection.

-          SERVICE REQUEST

This message is sent by the UE to the SS.

Table 4.7.2-23: SERVICE REQUEST

Derivation Path: 24.301 clause 8.2.25			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'1100'B	Security header for the SERVICE REQUEST message	
KSI and sequence number			
Sequence number (short)	The 5 least significant bits of the NAS COUNT value applicable when this message is sent for sequence number.		
KSI$_{asme}$	The effective KSI$_{ASME}$ value.		
Message authentication code (short)	The 2 least significant octets of the resulting message authentication code		

- TRACKING AREA UPDATE ACCEPT

This message is sent by the SS to the UE.

Table 4.7.2-24: TRACKING AREA UPDATE ACCEPT

Derivation Path: 24.301 clause 8.2.26			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Tracking area update accept message identity	'0100 1001'B	Tracking area update accept	
EPS update result	'0000'B	TA updated	
	'0001'B	combined TA/LA updated	CombinedAttach
Spare half octet	'0000'B		
T3412 value	Not present		
GUTI			
Length of EPS mobile identity contents	'0000 1010'B	10 octets	
Type of identity	'110'B	GUTI	
Odd/even indication	'0'B	even number of identity digits and also when the GUTI is used	
MCC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MNC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MME Group ID	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MME Code	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
M-TMSI	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
TAI list			
Length of tracking area identity list contents	'0000 0110'B	6 octets	
Partial tracking area identity list 1			
Number of elements	'0 0000'B	1 element	
Type of list	'00'B	list of TACs belonging to one PLMN, with non-consecutive TAC values	
MCC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
MNC	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
TAC 1	See table 4.4.2-2in this document	For NAS test cases, see table 6.3.2.2-1.	
EPS bearer context status	Not present		
Location area identification	Not present		
Location area identification			CombinedAttach
MCC	See subclause 4.4 in this document		
MNC	See subclause 4.4 in this document		

LAC	See subclause 4.4 in this document		
MS identity	Not present		
MS identity			CombinedAttach
Length of mobile identity contents	'0000 0101'B	5 octets	
Type of identity	'100'B	TMSI/P-TMSI/M-TMSI	
Odd/even indication	'0'B	even number of identity digits and also when the TMSI/P-TMSI or TMGI and optional MBMS Session Identity is used	
TMSI	See subclause 4.4 in this document		
EMM cause	Not present		
T3402 value	Not present		
T3423 value	Not present		
Equivalent PLMNs	Not present		

Condition	Explanation
CombinedAttach	Used for CS fallback test cases

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- TRACKING AREA UPDATE COMPLETE

This message is sent by the UE to the SS.

Table 4.7.2-25: TRACKING AREA UPDATE COMPLETE

Derivation Path: 24.301 clause 8.2.27			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Tracking area update complete message identity	'0100 1010'B	Tracking area update complete	

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- TRACKING AREA UPDATE REJECT

This message is sent by the SS to the UE.

Table 4.7.2-26: TRACKING AREA UPDATE REJECT

Derivation Path: 24.301 clause 8.2.28			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Tracking area update reject message identity	'0100 1011'B	Tracking area update reject	
EMM cause	Set according to specific message content.		

NOTE: This message is sent without integrity protection.

- TRACKING AREA UPDATE REQUEST

This message is sent by the UE to the SS.

Table 4.7.2-27: TRACKING AREA UPDATE REQUEST

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.2.29			
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Tracking area update request message identity	'0100 1000'B	Tracking area update request	
EPS update type			
EPS update type Value	'000'B	TA updating	
"Active" flag	'0'B	No bearer establishment requested	
EPS update type			pc_CSfallback
EPS update type Value	'001'B	Combined TA/LA updating	
"Active" flag	'0'B	No bearer establishment requested	
Spare half octet	Any allowed value		
Old GUTI	Any allowed value		
NAS key set identifier_ASME			
NAS key set identifier	The valid NAS key set identifier of the UE		
TSC	'0'B	cached security context	
NAS key set identifier_SGSN			
NAS key set identifier	'111'B	no key is available	
TSC	'0'B	TSC does not apply for NAS key set identifier value "111".	
Old P-TMSI signature	Not present		
Additional GUTI	Not present		
Nonce_UE	Not present		
UE network capability	Any allowed value		
Last visited registered TAI	Any allowed value		
DRX parameter	Not present		
UE radio capability information update needed	Not present		
EPS bearer context status	Not present		
MS network capability	Any allowed value		
Old location area identification	Any allowed value		
TMSI status	Any allowed value		
Mobile station classmark 2	Any allowed value		
Mobile station classmark 3	Any allowed value		
Supported Codecs	Any allowed value		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- UPLINK NAS TRANSPORT

This message is sent by the UE to the SS.

Table 4.7.2-27A: UPLINK NAS TRANSPORT

Derivation Path: 24.301 clause 8.2.30			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	EMM		
Security header type	'0000'B	Plain NAS message, not security protected	
Uplink NAS transport message identity	'0100 0011'B	Uplink NAS transport	
NAS message container	Set according to specific message content		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

4.7.3 Contents of ESM messages

- ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT

This message is sent by the UE to the SS.

Table 4.7.3-1: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT

Derivation Path: 24.301 clause 8.3.1			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message		
Procedure transaction identity	The same value as the value set in ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message		
Activate dedicated EPS bearer context accept message identity	'1100 0110'B	Activate dedicated EPS bearer context accept	
Protocol configuration options	Any allowed value		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ACTIVATE DEDICATED EPS BEARER CONTEXT REJECT

This message is sent by UE to the SS.

Table 4.7.3-2: ACTIVATE DEDICATED EPS BEARER CONTEXT REJECT

Derivation Path: 24.301 clause 8.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.		
Procedure transaction identity	The same value as the value set in ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST message.		
Activate dedicated EPS bearer context reject message identity	'1100 0111'B	Activate dedicated EPS bearer context reject	
ESM cause	The value is set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST

This message is sent by the SS to the UE.

Table 4.7.3-3: ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.3.3			
Protocol discriminator	ESM		
EPS bearer identity	Arbitrarily selected value between '0101'B and '1111'B.		
Procedure transaction identity	'0000 0000'B		NETWORK-INITIATED
	The same value as the value set in BEARER RESOURCE MODIFICATION REQUEST message		UE-INITIATED
Activate dedicated EPS bearer context request message identity	'1100 0101'B	Activate dedicated EPS bearer context request	
Linked EPS bearer identity	The EPS bearer identity of the associated default bearer		
Spare half octet	'0000'B		
EPS QoS	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		
TFT	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		
Transaction identifier	Not present		
Negotiated QoS	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		
Negotiated LLC SAPI	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		
Radio priority	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		
Packet flow Identifier	Not present		
Protocol configuration options	See Reference dedicated EPS bearer context #1 in table 6.6.2-1		

Condition	Explanation
NETWORK-INITIATED	Network initiated ESM procedures
UE-INITIATED	UE initiated ESM procedures

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT

This message is sent by the UE to the SS.

Table 4.7.3-4: ACTIVATE DEFAULT EPS BEARER CONTEXT ACCEPT

Derivation Path: 24.301 clause 8.3.4			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message		
Procedure transaction identity	The same value as the value set in ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message		
Activate default EPS bearer context accept message identity	'1100 0010'B	Activate default EPS bearer context accept	
Protocol configuration options	Any allowed value		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

\- ACTIVATE DEFAULT EPS BEARER CONTEXT REJECT

This message is sent by UE to the SS.

Table 4.7.3-5: ACTIVATE DEFAULT EPS BEARER CONTEXT REJECT

Derivation Path: 24.301 clause 8.3.5			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message.		
Procedure transaction identity	The same value as the value set in ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST message.		
Activate default EPS bearer context reject message identity	'1100 0011'B	Activate default EPS bearer context reject	
ESM cause	The value is set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST

This message is sent by the SS to the UE.

Table 4.7.3-6: ACTIVATE DEFAULT EPS BEARER CONTEXT REQUEST

Derivation Path: 24.301 clause 8.3.6			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	An arbitrarily selected value between '0101'B and '1111'B.		
Procedure transaction identity	The same value as the value set in PDN CONNECTIVITY REQUEST message		
Activate default EPS bearer context request message identity	'1100 0001'B	Activate default EPS bearer context request	
EPS QoS	See Reference default EPS bearer context #1 in table 6.6.1-1		
Access point name	See Reference default EPS bearer context #1 in table 6.6.1-1		
PDN address	See Reference default EPS bearer context #1 in table 6.6.1-1		
Transaction identifier	Not present		
Negotiated QoS	See Reference default EPS bearer context #1 in table 6.6.1-1		
Negotiated LLC SAPI	See Reference default EPS bearer context #1 in table 6.6.1-1		
Radio priority	See Reference default EPS bearer context #1 in table 6.6.1-1		
Packet flow Identifier	Not present		
APN-AMBR	See Reference default EPS bearer context #1 in table 6.6.1-1		
ESM cause	Not present		
Protocol configuration options	See Reference default EPS bearer context #1 in table 6.6.1-1		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- BEARER RESOURCE MODIFICATION REJECT

This message is sent by the SS to the UE.

Table 4.7.3-7: BEARER RESOURCE MODIFICATION REJECT

Derivation Path: 24.301 clause 8.3.7			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	The value indicated in BEARER RESOURCE MODIFICATION REQUEST message.		
Bearer resource modification reject message identity	'1101 0101'B	Bearer resource modification reject	
ESM cause	Set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- BEARER RESOURCE MODIFICATION REQUEST

This message is sent by the UE to the SS.

Table 4.7.3-8: BEARER RESOURCE MODIFICATION REQUEST

Derivation Path: 24.301 clause 8.3.8			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	Any allowed value		
Bearer resource modification request message identity	'1101 0100'B	Bearer resource modification request	
Linked EPS bearer identity	The EPS bearer identity of the associated default bearer.		
Spare half octet	Any allowed value		
Traffic flow aggregate	Any allowed value		
Required traffic flow QoS	Any allowed value		
ESM cause	Not present		
	'0010 0100'B	Regular deactivation	RELEASE-REQUESTED
Protocol configuration options	Any allowed value		

Condition	Explanation
RELEASE-REQUESTED	UE requests the release of bearer resources.

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

Table 4.7.3-9: (Void)

Table 4.7.3-10: (Void)

- DEACTIVATE EPS BEARER CONTEXT ACCEPT

This message is sent by the UE to the SS.

Table 4.7.3-11: DEACTIVATE EPS BEARER CONTEXT ACCEPT

Derivation Path: 24.301 clause 8.3.11			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in DEACTIVATE EPS BEARER CONTEXT REQUEST message.		
Procedure transaction identity	The same value as the value set in DEACTIVATE EPS BEARER CONTEXT REQUEST message.		
Deactivate EPS bearer context accept message identity	'1100 1110'B	Deactivate EPS bearer context accept	
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- DEACTIVATE EPS BEARER CONTEXT REQUEST

This message is sent by the SS to the UE.

Table 4.7.3-12: DEACTIVATE EPS BEARER CONTEXT REQUEST

Derivation Path: 24.301 clause 8.3.12			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	Set according to specific message content.		
Procedure transaction identity	'0000 0000'B		NETWORK-INITIATED
	The same value as the value set in PDN DISCONNECT REQUEST message or BEARER RESOURCE MODIFICATION REQUEST message.		UE-INITIATED
Deactivate EPS bearer context request message identity	'1100 1101'B	Deactivate EPS bearer context request	
ESM cause	Set according to specific message content.		
Protocol configuration options	Not present		

Condition	Explanation
NETWORK-INITIATED	Network initiated ESM procedures
UE-INITIATED	UE initiated ESM procedures

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ESM INFORMATION REQUEST

This message is sent by the SS to the UE.

Table 4.7.3-13: ESM INFORMATION REQUEST

Derivation Path: 24.301 clause 8.3.13			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	The value indicated in PDN CONNECTIVITY REQUEST message.		
ESM information request message identity	'1101 1001'B	ESM information request	

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ESM INFORMATION RESPONSE

This message is sent by the UE to the SS..

Table 4.7.3-14: ESM INFORMATION RESPONSE

Derivation Path: 24.301 clause 8.3.14			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	The same value as the value set in ESM INFORMATION REQUEST message.		
ESM information response message identity	'1101 1010'B	ESM information response	
Access point name	Not present		
Protocol configuration options	Any allowed value		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- ESM STATUS

This message is sent by the UE or by the SS.

Table 4.7.3-15: ESM STATUS

Derivation Path: 24.301 clause 8.3.15			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	Set according to specific message content.		
Procedure transaction identity	'0000 0000'B		
ESM status message identity	'1110 1000'B	ESM status	
ESM cause	Set according to specific message content.		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- MODIFY EPS BEARER CONTEXT ACCEPT

This message is sent by the UE to the SS.

Table 4.7.3-16: MODIFY EPS BEARER CONTEXT ACCEPT

Derivation Path: 24.301 clause 8.3.16			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in MODIFY EPS BEARER CONTEXT REQUEST message.		
Procedure transaction identity	The same value as the value set in MODIFY EPS BEARER CONTEXT REQUEST message.		
Modify EPS bearer context accept message identity	'1100 1010'B	Modify EPS bearer context accept	
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- MODIFY EPS BEARER CONTEXT REJECT

This message is sent by the UE to the SS.

Table 4.7.3-17: MODIFY EPS BEARER CONTEXT REJECT

Derivation Path: 24.301 clause 8.3.17			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	The same value as the value set in MODIFY EPS BEARER CONTEXT REQUEST message.		
Procedure transaction identity	The same value as the value set in MODIFY EPS BEARER CONTEXT REQUEST message.		
Modify EPS bearer context reject message identity	'1100 1011'B	Modify EPS bearer context reject	
ESM cause	The value is set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- MODIFY EPS BEARER CONTEXT REQUEST

This message is sent by the SS to the UE.

Table 4.7.3-18: MODIFY EPS BEARER CONTEXT REQUEST

Information Element	Value/remark	Comment	Condition
Derivation Path: 24.301 clause 8.3.18			
Protocol discriminator	ESM		
EPS bearer identity	Set according to specific message content.		
Procedure transaction identity	'0000 0000'B		NETWORK-INITIATED
	The same value as the value set in BEARER RESOURCE MODIFICATION REQUEST message.		UE-INITIATED
Modify EPS bearer context request message identity	'1100 1001'B	Modify EPS bearer context request	
New EPS QoS	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
TFT	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
New QoS	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
Negotiated LLC SAPI	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
Radio priority	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
Packet flow Identifier	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
APN-AMBR	For a default EPS bearer, see subclause 6.6.1. For a dedicated EPS bearer, see subclause 6.6.2.		
Protocol configuration options	Not present		

Condition	Explanation
NETWORK-INITIATED	Network initiated ESM procedures
UE-INITIATED	UE initiated ESM procedures

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- PDN CONNECTIVITY REJECT

This message is sent by the SS to the UE.

Table 4.7.3-19: PDN CONNECTIVITY REJECT

Derivation Path: 24.301 clause 8.3.19			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	The same value as the value set in PDN CONNECTIVITY REQUEST message.		
PDN connectivity reject message identity	'1101 0001'B	PDN connectivity reject	
ESM cause	The value is set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is sent without integrity protection.

- PDN CONNECTIVITY REQUEST

This message is sent by the UE to the SS.

Table 4.7.3-20: PDN CONNECTIVITY REQUEST

Derivation Path: 24.301 clause 8.3.20			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	Any allowed value		
PDN connectivity request message identity	'1101 0000'B	PDN connectivity request	
Request type	initial attach		
PDN type	Any allowed value		
ESM information transfer flag	Any allowed value		
Access point name	Any allowed value		
Protocol configuration options	Any allowed value		

NOTE: This message is sent without integrity protection before NAS security mode control procedure has been successfully completed and sent within SECURITY PROTECTED NAS MESSAGE message after NAS security mode control procedure has been successfully completed.

- PDN DISCONNECT REJECT

This message is sent by the SS to the UE.

Table 4.7.3-21: PDN DISCONNECT REJECT

Derivation Path: 24.301 clause 8.3.21			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	The value indicated in PDN DISCONNECT REQUEST message.		
PDN disconnect reject message identity	'1101 0011'B	PDN disconnect reject	
ESM cause	Set according to specific message content.		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

- PDN DISCONNECT REQUEST

This message is sent by the UE to the SS.

Table 4.7.3-22: PDN DISCONNECT REQUEST

Derivation Path: 24.301 clause 8.3.22			
Information Element	**Value/remark**	**Comment**	**Condition**
Protocol discriminator	ESM		
EPS bearer identity	'0000'B		
Procedure transaction identity	Any allowed value		
PDN disconnect request message identity	'1101 0010'B	PDN disconnect request	
Linked EPS bearer identity	The EPS bearer identity of the associated default bearer.		
Spare half octet	Any allowed value		
Protocol configuration options	Not present		

NOTE: This message is always sent within SECURITY PROTECTED NAS MESSAGE message.

4.8 Reference radio bearer configurations

4.8.1 General

4.8.2 SRB and DRB parameters and combinations

4.8.2.1 SRB and DRB parameters

4.8.2.1.1 SRB configurations

Table 4.8.2.1.1-1: SRB-ToAddModify-DEFAULT

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
SRB-ToAddModify-DEFAULT ::= SEQUENCE {		This type does not exist in 36.331 but corresponds to one SEQUENCE list item in srb-ToAddModifyList	
srb-Identity	1		SRB1
	2		SRB2
rlc-Configuration CHOICE {			
defaultValue			
}			
logicalChannelConfig CHOICE {			
defaultValue			
}			
}			

4.8.2.1.2 DRB PDCP configurations

4.8.2.1.2.1 DRB PDCP configurations for UM RLC

Table 4.8.2.1.2.1-1: PDCP-Configuration-DRB-UM

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PDCP-Configuration-DRB-UM ::= SEQUENCE {			
discardTimer	ms100	Suitable for real time services	
rlc-AM SEQUENCE {}	Not present		
rlc-UM SEQUENCE {			
pdcp-SN-Size	len7bits	Suitable for real time services	
}			
headerCompression CHOICE {			
notUsed	NULL		
}			
}			

4.8.2.1.2.2 DRB PDCP configurations for AM RLC

Table 4.8.2.1.2.2-1: PDCP-Configuration-DRB-AM

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
PDCP-Configuration-DRB-AM ::= SEQUENCE {			
discardTimer	Infinity		
rlc-AM SEQUENCE {			
statusReportRequired	TRUE		
}			
rlc-UM SEQUENCE {}	Not present		
headerCompression CHOICE {			
notUsed	NULL		
}			
}			

4.8.2.1.3 DRB RLC configurations

4.8.2.1.3.1 DRB UM RLC configurations

Table 4.8.2.1.3.1-1: RLC-Configuration-DRB-UM

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RLC-Configuration-DRB-UM ::= CHOICE {			
um-Bi-Directional SEQUENCE {			
ul-UM-RLC SEQUENCE {			
sn-FieldLength	size5	Suitable for real time services	
}			
dl-UM-RLC SEQUENCE {			
sn-FieldLength	size5	Suitable for real time services	
t-Reordering	ms50	ms50 provides sufficient margin	
}			
}			
}			

4.8.2.1.3.2 DRB AM RLC configurations

Table 4.8.2.1.3.2-1: RLC-Configuration-DRB-AM

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
RLC-Configuration-DRB-AM ::= CHOICE {			
am SEQUENCE {			
ul-AM-RLC SEQUENCE {			
t-PollRetransmit	ms80		
pollPDU	p128		
pollByte	kB125		
maxRetxThreshold	t4		
}			
dl-AM-RLC SEQUENCE {			
t-Reordering	ms80	Is sufficient for 5 HARQ transmissions	
t-StatusProhibit	ms100		
}			
}			
}			

4.8.2.1.4 DRB Logical Channel configurations

Table 4.8.2.1.4-1: LogicalChannelConfig-DRB

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
LogicalChannelConfig-DRB ::= SEQUENCE {			
ul-SpecificParameters SEQUENCE {			
priority	6		HI
	13		LO
prioritizedBitRate	kBps0 (disabled)		
bucketSizeDuration	ms100		
logicalChannelGroup	1		HI
	2		LO
}			
}			

Condition	Explanation
HI	Used for DRBs with high logical channel priority
LO	Used for DRBs with low logical channel priority

4.8.2.1.5 MAC configurations

Table 4.8.2.1.5-1: MAC-MainConfiguration-RBC

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
MAC-MainConfiguration-RBC ::= SEQUENCE {			
dl-SCH-Configuration SEQUENCE {}	Not present		
ul-SCH-Configuration SEQUENCE {			
maxHARQ-Tx	n5		
periodicBSR-Timer	sf20		
retxBSR-Timer	sf1280		
ttiBundling	FALSE		
}			
drx-Configuration CHOICE {			DRX_S
enable SEQUENCE {			
onDurationTimer	psf2		
drx-InactivityTimer	psf100		
drx-RetransmissionTimer	sf16		
longDRX-CycleStartOffset CHOICE {		sf40 typical value in real network for real-time services.	
sf40	0		
}			
shortDRX	Not present		
}			
}			
drx-Configuration CHOICE {			DRX_L
enable SEQUENCE {			
onDurationTimer	psf6		
drx-InactivityTimer	psf1920		
drx-RetransmissionTimer	sf16		
longDRX-CycleStartOffset CHOICE {		sf1280 typical value in real network for best-effort services.	
sf1280	0		
}			
shortDRX	Not present		
}			
}			
timeAlignmentTimerDedicated	sf750		
phr-Configuration CHOICE {			
enable SEQUENCE {			
periodicPHR-Timer	sf500		
prohibitPHR-Timer	sf200		
dl-PathlossChange	dB3		
}			
}			
}			

Condition	Explanation
DRX_S	Used for DRX configuration with small DRX cycle length
DRX_L	Used for DRX configuration with large DRX cycle length

4.8.2.1.6 Physical Layer configurations

Table 4.8.2.1.6-1: PhysicalConfigDedicated-DEFAULT

Information Element	Value/remark	Comment	Condition
Derivation Path: 36.331 clause 6.3.2			
PhysicalConfigDedicated-DEFAULT ::= SEQUENCE {			
pdsch-Configuration	PDSCH-ConfigDedicated-DEFAULT	See subclause 4.6.3	SRB1
	Not present		RBC
pucch-Configuration	PUCCH-ConfigDedicated-DEFAULT	See subclause 4.6.3	SRB1
	Not present		RBC
pusch-Configuration	PUSCH-ConfigDedicated-DEFAULT	See subclause 4.6.3	SRB1
	Not present		RBC
uplinkPowerControl	UplinkPowerControlDedicated-DEFAULT	See subclause 4.6.3	SRB1
	Not present		RBC
tpc-PDCCH-ConfigPUCCH	Not present		SRB1
	TPC-PDCCH-Configuration-DEFAULT using condition PUCCH	See subclause 4.6.3	RBC
tpc-PDCCH-ConfigPUSCH	Not present		SRB1
	TPC-PDCCH-Configuration-DEFAULT using condition PUSCH	See subclause 4.6.3	RBC
cqi-Reporting	CQI-Reporting-DEFAULT	See subclause 4.6.3	SRB1
	CQI-Reporting-DEFAULT using condition CQI_PERIODIC	See subclause 4.6.3	RBC
soundingRsUl-Config	Not present		SRB1
	SoundingRsUl-ConfigDedicated-DEFAULT	See subclause 4.6.3	RBC
antennaInformation CHOICE {			
defaultValue	NULL		
}			
schedulingRequestConfig	Not present		SRB1
	SchedulingRequest-Configuration-DEFAULT	See subclause 4.6.3	RBC
}			

Condition	Explanation
SRB1	Used at configuration of SRB1 during RRC connection (re-)establishment
RBC	Used at configuration of a radio bearer combination during SRB2+DRB establishment

4.8.2.1.7 DRB configurations

Table 4.8.2.1.7-1: One entry of DRB-ToAddModifyList

Derivation Path: 36.331 clause 6.3.2			
Information Element	**Value/remark**	**Comment**	**Condition**
DRB-ToAddModify(bid) ::= SEQUENCE {		This type does not exist in 36.331 but corresponds to one SEQUENCE list item in drb-ToAddModifyList. bid is the bearer identity (1..8)	
eps-BearerIdentity	bid+4		
drb-Identity	bid		
pdcp-Configuration	PDCP-Configuration-DRB-AM		AM
	PDCP-Configuration-DRB-UM		UM
rlc-Configuration	RLC-Configuration-DRB-AM		AM
	RLC-Configuration-DRB-UM		UM
logicalChannelIdentity	bid+2		
logicalChannelConfig	LogicalChannelConfig-DRB using condition HI		UM
	LogicalChannelConfig-DRB using condition LO		AM
}			

Condition	Explanation
AM	Used for AM DRB
UM	Used for UM DRB

4.8.2.2 SRB and DRB combinations

4.8.2.2.1 Combinations on DL-SCH and UL-SCH

4.8.2.2.1.1 SRB1 and SRB2 for DCCH + n x AM DRB + m x UM DRB, where n=1..N and m=0..M

This SRB and DRB combination is setup with UE Registration procedure and the Generic Radio Bearer Establishment or Generic Radio Bearer Establishment, UE Test Mode Activated procedure using specific message content - the default *RRCConnectionReconfiguration* message with condition SRB2-DRB(n, m).

4.9 Common test USIM parameters

This clause defines default parameters for programming the elementary files of the test USIM when running conformance test cases defined in 3GPP TS 36.523-1[18].

4.9.1 General

See clause 8.1 in 3GPP TS 34.108 [5].

4.9.1.1 Definitions

See clause 8.1.1 in 3GPP TS 34.108 [5].

4.9.1.2 Definition of the test algorithm for authentication

Same as clause 8.1.2 in 3GPP TS 34.108[5].

4.9.1.2.1 Authentication and key derivation in the test USIM and SS

UE and SS calculate Ck, Ik, AUTN, RES[XRES] as in clause 8.1.2.1 in 3GPP TS 34.108 [5]. Derivation of K_{ASME} and other E-UTRA Keys shall be as defined in Annex A of 3GPP TS 33.401 [31], using Key derivation function HMAC-SHA-256 algorithm.

4.9.1.2.2 Generation of re-synchronization parameters in the USIM

Same as clause 8.1.2.1 in 3GPP TS 34.108[5].

4.9.1.2.3 Using the authentication test algorithm for UE conformance testing

See clause 8.1.2.3 in 3GPP TS 34.108 [5].

4.9.2 Default parameters for the test USIM

Same as clause 8.2 in 3GPP TS 34.108 [5].

4.9.3 Default settings for the Elementary Files (EFs)

The format and coding of elementary files of the USIM are defined in 3GPP TS 31.101 [32] and 3GPP TS 31.102 [33]. The following clauses define the default parameters to be programmed into each elementary file. Some files may be updated by the UE based on information received from the SS. These are identified in the following clauses.

If EFs have an unassigned value, it may not be clear from the main text what this value should be. This clause suggests values in these cases.

The settings of the the elementary files is the same as section 8.3 in 3GPP TS 34.108 [5] with the exceptions listed below:

- $EF_{PLMNwAcT}$(User controlled PLMN selector with Access Technology):

Bytes 4 to 5:	40 00	(Access Technology) - Translates to E-UTRAN
Bytes 9 to 10:	40 00	(Access Technology)
....		
....		
....		
Bytes (5n-1) to 5n:	40 00	(Access Technology)

- EF_{UST} (USIM Service Table):

Services		Activated	Version
Service n°15:	Cell Broadcast Message Identifier	Optional	
Service n°16:	Cell Broadcast Message Identifier Ranges	Optional	

5 Test environment for RF test

Editor's Note: This section is reserved for common test environment specific to test cases in TS 36.521-1 or TS 36.521-3. Expected contents are:

- *Requirements of test equipment*

- *Reference system configurations*

- *Generic procedures*

- *Default RRC/NAS message contents*

6 Test environment for Signalling test

6.1 Requirements of test equipment

Editor's Note: The content within this subsection is FFS while RAN5 concentrate on the corresponding subsection in COMMON section.

6.2 Reference test conditions

The reference test conditions specified in this subclause apply to all Signalling test cases defined in TS 36.523-1 [18] unless otherwise specified, in addition to the common reference test conditions specified in subclause 4.3 of this specification.

6.2.1 Physical channel allocations

6.2.1.1 Antennas

[FFS]

6.2.1.2 Downlink physical channels and physical signals

Same as clause 4.3.3.2

6.2.1.3 Mapping of downlink physical channels and signals to physical resources

Same as clause 4.3.3.3

6.2.1.4 Uplink physical channels and physical signals

[FFS].

6.2.1.5 Mapping of uplink physical channels and signals to physical resources

[FFS].

6.2.2 Signal levels

6.2.2.1 Downlink signal levels

The default settings of suitable cells and non-suitable cells for E-UTRA are specified in table 6.2.2.1-1.

Cells which are expected to be undetectable for UE under test shall fulfil the condition of non-suitable "Off" cell in table 6.2.2.1-1.

Table 6.2.2.1-1: Default settings of suitable / non-suitable cells for E-UTRA

Power level type / Parameter	Unit	Power level
Serving cell RS EPRE	dBm/15kHz	-85
Suitable neighbour intra-frequency cell RS EPRE	dBm/15kHz	-97
Suitable neighbour inter-frequency cell RS EPRE	dBm/15kHz	-97
Qrxlevmin	dBm	-106
Non-suitable cell RS EPRE	dBm/15kHz	-115
Non-suitable "Off" cell RS EPRE	dBm/15kHz	≤ -124
Note: The power level is specified in terms of cell-specific RS EPRE instead of RSRP as RSRP is a measured value and cannot be directly controlled by the SS.		

The default signal level uncertainty is +/-3dB at the test port, for any level specified, unless a tighter uncertainty is specified by a test case in TS 36.523-1 [18].

For test cases using multiple cells, the signal level uncertainty is +/-3dB for each cell, for any level specified, unless a tighter uncertainty is specified by a test case in TS 36.523-1 [18].

6.3 Reference system configurations

The reference system configurations specified in this subclause apply to all Signalling test cases defined in TS 36.523-1 [18] unless otherwise specified, in addition to the common reference system configurations specified in subclause 4.4 of this specification.

6.3.1 General

For Signalling testing, MIMO (Multiple Input Multiple Output) is not applied for all cell configurations regardless of UE MIMO functionality. Only one SS Tx antenna is used.

One or two UE antennas are used for all signalling test cases. (*1)

(*1) Two UE antennas configuration is possible for UE diversity case.

6.3.2 Default configurations for NAS test cases

The default configurations specified in this subclause apply only to NAS test cases. They apply to all NAS test cases unless otherwise specified.

6.3.2.1 Simulated network scenarios for NAS test cases

Simulated network scenarios for NAS test cases to be tested are listed below.

- Single cell network scenario

Cell A is used.

- Single PLMN multi cell network scenario

Cell A, Cell B and Cell C are used.

- Basic Multi PLMN multi cell network scenario

Cell A and Cell G are used.

6.3.2.2 Simulated NAS cells

Simulated NAS cells and default parameters are specified in table 6.3.2.2-1.

Unless otherwise specified, the default parameters specified in section 4 also apply to all NAS cells.

Table 6.3.2.2-1: Default parameters for simulated NAS cells

NAS cell ID	Tracking Area			TA# list (Note 1)	GUTI (Note 2)		M-TMSI
	TA#	PLMN	TAC		MME Identifier		
		MCC	MNC			MME Group ID	MME Code	

NAS cell ID	TA#	MCC	MNC	TAC	TA# list (Note 1)	MME Group ID	MME Code	M-TMSI
Cell A	TAI-1	(Note 3)		1	TAI-1	1	1	Arbitrarily selected according to TS 23.003 subclause 2.8 [2].
Cell B	TAI-2	(Note 3)		2	TAI-2	2	1	
Cell C	TAI-3	(Note 3)		3	TAI-3	3	1	
Cell D	TAI-4	(Note 3)		4	TAI-4	4	1	
Cell E	TAI-5	(Note 3)		5	TAI-5	5	1	
Cell F	TAI-6	(Note 3)		6	TAI-6	6	1	
Cell G	TAI-7	(Note 4)	02	1	TAI-7	7	1	
Cell H	TAI-8	(Note 4)	02	2	TAI-8	8	1	
Cell I	TAI-9	002	101	1	TAI-9	9	1	
Cell J	TAI-10	003	101	1	TAI-10	10	1	

NOTE 1: The value(s) in the column TA# list indicates TAI(s) included in the response messages of the registration procedure (ATTACH ACCEPT or TRACKING AREA UPDATE ACCEPT) when the UE performs the registration procedure on a corresponding cell.

NOTE 2: The value in the column GUTI indicates GUTI included in the response messages of the registration procedure (ATTACH ACCEPT or TRACKING AREA UPDATE ACCEPT) when the UE performs the registration procedure on a corresponding cell.

NOTE 3: Set to the same Mobile Country Code and Mobile Network Code stored in EF$_{IMSI}$ on the test USIM card (subclause 4.9.3).

NOTE 4: Set to the same Mobile Country Code stored in EF$_{IMSI}$ on the test USIM card (subclause 4.9.3).

NOTE 5: Cell A is a serving cell and the other cells are suitable neighbour cells. The definitions are specified in subclause 6.2.2.1.

6.3.3   Cell configurations

For the purpose of test, three types of SS cell configurations are defined, full (Active) cell configuration, broadcast only cell configuration and minimum uplink cell configuration.

6.3.3.1   Full cell configuration

Full cell configuration is also called active cell configuration. The cell configuration, in minimum, has all defined DL and UL physical channels configured, i.e.

>   in DL: PBCH, PCFICH, PDCCH, PDSCH, PHICH,

>   in UL: PRACH, PUCCH, PUSCH.

>   The DL and UL Reference and synchronization (both primary and secondary) signals are also configured.

6.3.3.2   Minimum uplink cell configuration

In this cell configuration,

>   in DL: physical channels capable of transmission, i.e. PBCH, PCFICH, PDCCH, PDSCH are configured;

>   DL physical reference and synchronization (both primary and secondary) signals are also configured.

>   In UL: PRACH is configured. SS shall report any detection of PRACH preambles, in order to assign test verdicts. Decoding the preambles is not required.

6.3.3.3   Broadcast only cell configuration

In this cell configuration,

in DL: physical channels capable of transmission, i.e. PBCH, PCFICH, PDCCH, PDSCH are configured;

DL physical reference and synchronization (both primary and secondary) signals are also configured.

in UL: no physical resources are configured, neither channels, nor signals.

6.3.3.4   Application of different cell configurations

By default, the cells specified in 36.523-1 are defined with the full cell configuration, unless it is explicitly specified as either the broadcast only, or the minimum uplink cell configuration. The full cell configuration is suitable for UE to start camping, establish RRC connection or hand over from another active cell.

The broadcast only cells can be applied in some RRC measurement test cases to those neighbour cells which UE shall not camp on during the test case execution.

The capability of a minimum uplink cell is much weaker than a full cell, but stronger than a broadcast only cell in UL. This cell configuration can be applied in the idle mode test cases to those neighbour cells which a conformant UE shall not camp on during the test case execution.

If a cell configuration is specified in a test case it shall remain unchanged throughout the test case specification. In addition, there shall not be any requirement in the test specification or test implementation for a cell reconfiguration from one of the above configurations to the other.

For the UE conformance test, the broadcast only cell configuration can be replaced and implemented with the other two configurations. The minimum uplink cell configuration can also be implemented with the full cell configuration. The replacements in the implementation have no impact on the test purposes and the test requirements. The implementation guidelines are referred to 36.523-3.

6.4   Generic procedures

This clause describes UE test states which can be used in the initial condition of many test cases defined in TS 36.523-1 [18] in addition to the states already specified in clause 4.5 of this specification.

6.4.1   Initial UE states and setup procedures

6.4.1.1   Initial UE states and setup procedures
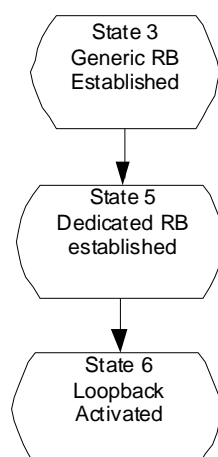
Figure 6.4.1.1-1: Initial UE states

In order that the UE can set up a call or session in E-UTRAN, there are a number of setup procedures to be undertaken in a hierachical sequence to move between known states. The sequences are shown in figure 6.4.1.1-1 and the status of the relevant protocols in the UE in the different states are given in table 6.4.1.1-1. State 3 is defined in clause 4.5.1.

Table 6.4.1.1-1: Protocol state for each initial UE state

		RRC	ECM	EMM	ESM
State 5	Dedicated RB established	RRC_CONNECTED	ECM_CONNECTED	EMM-REGISTERED	1 default EPS bearer context active N dedicated EPS bearer context(s) active
State 6	Loopback Activated	RRC_CONNECTED	ECM_CONNECTED	EMM-REGISTERED	1 default EPS bearer context active N dedicated EPS bearer context(s) active

6.4.1.2 Dedicated Bearer Establishment (to state 5)

6.4.1.2.1 Initial conditions

System Simulator:

- Parameters are set to the default parameters for the basic single cell environment, as defined in subclause 4.4, unless otherwise specified in the test case.

User Equipment:

- The UE shall be in Generic RB established (State 3).

6.4.1.2.2 Definition of system information messages

The default system information messages are used.

6.4.1.2.3 Procedure

The establishment of dedicated radio bearer connection is assumed to always be mobile terminated.

Table 6.4.1.2.3-1: Procedure for dedicated bearer establishment

Step	Procedure	Message Sequence	
		U - S	Message
1	The SS configures new data radio bearer(s) and the associated dedicated EPS bearer context(s).	<--	RRC: RRCConnectionReconfiguration NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT REQUEST
2	The UE transmits a *RRCConnectionReconfigurationComplete* message to confirm the establishment of the new data radio bearer(s), associated with the dedicated EPS bearer context(s) in the NAS message.	-->	RRC: RRCConnectionReconfigurationComplete
3	The UE transmits a ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT message.	-->	NAS: ACTIVATE DEDICATED EPS BEARER CONTEXT ACCEPT

6.4.1.2.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7.

6.4.1.3 Loopback Activation (to state 6)

Editor's Note: This section will be completed when message for loopback activation is defined in TS 36.509. The table below is just an example and should be alighed with TS 36.509.

6.4.1.3.1 Initial conditions

System Simulator:

- Parameters are set to the default parameters for the basic single cell environment, as defined in subclause 4.4, unless otherwise specified in the test case.

User Equipment:

- The UE shall be in Dedicated Radio Bearer Established (State 5).

6.4.1.3.2 Definition of system information messages

The default system information messages are used.

6.4.1.3.3 Procedure

Table 6.4.1.3.3-1: Procedure for loopback activation

Step	Procedure	Message Sequence	
		U - S	Message
1	The SS transmits an ACTIVATE RB TEST MODE message to activate UE radio bearer test mode procedure.	<--	RRC: DLInformationTransfer TC: ACTIVATE RB TEST MODE
2	The UE transmits an ACTIVATE RB TEST MODE COMPLETE message.	-->	RRC: ULInformationTransfer TC: ACTIVATE RB TEST MODE COMPLETE
3	The SS transmits a CLOSE UE TEST LOOP message to enter the UE test loop mode.	<--	RRC: DLInformationTransfer TC: CLOSE UE TEST LOOP
4	The UE transmits a CLOSE UE TEST LOOP COMPLETE message to confirm that loopback entities for the radio bearer(s) have been created and loop back is activated (State 6).	-->	RRC: ULInformationTransfer TC: CLOSE UE TEST LOOP COMPLETE

6.4.1.3.4 Specific message contents

All specific message contents shall be referred to clause 4.6 and 4.7.

6.4.2 Test procedures

6.4.2.1 Introduction

This section defines test procedures which can be used within test procedure sequences for test steps where checking the UE state is needed.

For each test procedure,

- at the start of the test procedure,

- the System Simulator condition and the value of system information messages are the ones applicable in the test case referring to this test procedure, as they are after the execution of the test step immediately preceding the test step where the test procedure is used;

- the initial UE condition is one indicated in the test case referring to this procedure, as it is after the execution of the test step immediately preceding the test step where the test procedure is used.

- at the end of the test procedure,

- the System Simulator condition after the test procedure execution is complete is the same as before it is started (this should not be changed by the test procedure).

6.4.2.2 Test procedure to check RRC_IDLE state

This procedure aims at checking whether the UE is in RRC_IDLE on a certain cell of a test case or not.

Table 6.4.2.2-1: Test procedure sequence

St	Procedure	Message Sequence		TP	Verdict
		U - S	Message/PDU/SDU		
1	The SS sends RRC *Paging* message with UE S-TMSI on the cell(s) specified in the test case.	<--	Paging	-	-
2	Check: Does the UE send a *RRCConnectionRequest* message on the cell specified in the test case ?	-->	RRCConnectionRequest		P
3	The SS waits for longer than T300 as indicated in *SystemInformationBlockType2*	-	-	-	-

6.4.2.3 Test procedure to check RRC_CONNECTED state

Table 6.4.2.3-1: Test procedure sequence

St	Procedure	Message Sequence		TP	Verdict
		U - S	Message/PDU/SDU		
1	The SS sends *UECapabilityEnquiry* message to the UE.	<--	UECapabilityEnquiry	-	-
2	Check: Does the UE send a *UECapabilityInformation* message?	-->	UECapabilityInformation		P

6.4.2.4 Test procedure Paging (for NAS testing)

This procedure aims at checking whether the UE is in registered with a certain S-TMSI.

This procedure is identical to the procedure in 6.4.2.2 except that the S-TMSI as indicated in step 1 is the one explicitly specified in the test step calling this procedure.

6.4.2.5 Test procedure for no response to paging (for NAS testing)

This procedure aims at checking that the UE ignores paging messages with a specified identity.

The procedure is defined in table 6.4.2.5-1.

Table 6.4.2.5-1: Test procedure sequence

St	Procedure	Message Sequence		TP	Verdict
		U - S	Message		
1	The SS transmits a paging message using the UE identity and the CN domain which are both specified in the referring test step, and on the cell which is specified in the referring test step.	<--	Paging	-	-
2	Check: Does the UE send a *RRCConnectionRequest* message on the cell where the paging was transmitted within the next 3s?	-->	RRCConnectionRequest		F

6.4.2.6 Test procedure to check that a dedicated EPS bearer context is active (for NAS testing)

This procedure aims at checking that a dedicated EPS bearer context is active.

The procedure is defined in table 6.4.2.6-1.

Table 6.4.2.6-1: Test procedure sequence

St	Procedure	Message Sequence		TP	Verdict
		U - S	Message		
1	The SS modifies existing data radio bearer(s) and the associated EPS bearer context(s) with Bearer QoS update.	<--	RRC: *RRCConnectionReconfiguration* NAS: MODIFY EPS BEARER CONTEXT REQUEST	-	-
2	The UE transmits a *RRCConnectionReconfigurationComplete* message to confirm the modification of the existing data radio bearer(s), associated with the EPS bearer context(s) in the NAS message.	-->	RRC: *RRCConnectionReconfigurationComplete*	-	-
3	Check: Does the UE transmit a MODIFY EPS BEARER CONTEXT ACCEPT message?	-->	RRC: *ULInformationTransfer* NAS: MODIFY EPS BEARER CONTEXT ACCEPT		P

6.5 Default RRC message and information element contents

The default RRC message and information element contents specified in this subclause apply to all Signalling test cases defined in TS 36.523-1 [18] unless otherwise specified, in addition to the default RRC message and information element contents specified in subclause 4.6 of this specification.

6.6 Default NAS message and information element contents

The default NAS message and information element contents specified in this subclause apply to all Signalling test cases defined in TS 36.523-1 [18] unless otherwise specified, in addition to the default NAS message and information element contents specified in subclause 4.7 of this specification.

6.6.1 Reference default EPS bearer contexts

The following table defines Reference default EPS bearer contexts. Default EPS bearer context1 is the default "default EPS bearer context" which is used in the common procedures and test cases where no particular default EPS bearer context is specified.

Table 6.6.1-1: Reference default EPS bearer contexts

Parameters	Reference default EPS bearer context #1	Reference default EPS bearer context #2	Reference default EPS bearer context #3
EPS QoS			
QCI (Note 1)	9 (non-GBR QCI)	FFS	FFS
Maximum bit rate for uplink	64 kbps (Note 2)	FFS	FFS
Maximum bit rate for downlink	64 kbps (Note 2)	FFS	FFS
Guaranteed bit rate for uplink	64 kbps (Note 2)	FFS	FFS
Guaranteed bit rate for downlink	64 kbps (Note 2)	FFS	FFS
Maximum bit rate for uplink (extended)	0	FFS	FFS
Maximum bit rate for downlink (extended)	0	FFS	FFS
Guaranteed bit rate for uplink (extended)	0	FFS	FFS
Guaranteed bit rate for downlink (extended)	0	FFS	FFS
APN	Arbitrarily chosen	FFS	FFS
PDN address	Static	FFS	FFS
Negotiated QoS	Note 3	FFS	FFS
Negotiated LLC SAPI	Note 3	FFS	FFS
Radio priority	Note 3	FFS	FFS
APN-AMBR	Not present	FFS	FFS
Protocol configuration options	PPP	FFS	FFS
Note 1: For all non-GBR QCIs, the maximum and guaranted bit rates shall be ignored. Note 2: According to TS 24.301, the UE ignores these parameters for a non-GBR QCI. Note 3: Parameters included for UEs capable of UTRAN and/or GERAN according to TS 51.010 clause 40.5 – Test PDP Context 2			

6.6.2　Reference dedicated EPS bearer contexts

The following table defines Reference dedicated EPS bearer contexts. Dedicated EPS bearer context #1 is the default "dedicated EPS bearer context" which is used in the common procedures and test cases where no particular dedicated EPS bearer context is specified.

Table 6.6.2-1: Reference dedicated EPS bearer contexts

Parameters	Reference dedicated EPS bearer context #1	Reference dedicated EPS bearer context #2	Reference dedicated EPS bearer context #3
Linked EPS bearer identity	Reference default EPS bearer #1	Reference default EPS bearer #1	FFS
EPS QoS			
QCI (Note 1)	4 (GBR QCI)	5 (non-GBR QCI)	FFS
Maximum bit rate for uplink	384 kbps	384 kbps (Note 2)	FFS
Maximum bit rate for downlink	384 kbps	384 kbps (Note 2)	FFS
Guaranteed bit rate for uplink	128 kbps	128 kbps (Note 2)	FFS
Guaranteed bit rate for downlink	128 kbps	128 kbps (Note 2)	FFS
Maximum bit rate for uplink (extended)	0	0	FFS
Maximum bit rate for downlink (extended)	0	0	FFS
Guaranteed bit rate for uplink (extended)	0	0	FFS
Guaranteed bit rate for downlink (extended)	0	0	FFS
TFT			
TFT operation code	"create new TFT"	"create new TFT"	FFS
E bit	0	0	FFS
Number of packet filters	0 (Note 4)	1	FFS
Packet filter identifier 1	N/A	1	FFS
Packet filter evaluation precedence 1	N/A	0	FFS
Packet filter contents 1	N/A	- IPv4 or IPv6 source address type: fixed - Protocol identifier - Destination port range type: * - Source port range type: *	FFS
Negotiated QoS	Note 3	Note 3	FFS
Negotiated LLC SAPI	Note 3	Note 3	FFS
Radio priority	Note 3	Note 3	FFS
Protocol configuration options	PPP	PPP	FFS
Note 1: For all non-GBR QCIs, the maximum and guaranted bit rates shall be ignored. Note 2: According to TS 24.301, the UE ignores these parameters for a non-GBR QCI. Note 3: Parameters included for UEs capable of UTRAN and/or GERAN according to TBD (TS 51.010 clause 40.5 has no Test PDP Contexts for conversational traffic class) Note 4: It may be necessary to provide packet filters for GBR QCI bearers (FFS).			

6.7 Timer Tolerances

The timer tolerances specified in this subclause apply to all Signalling test cases defined in TS 36.523-1 [18] unless otherwise specified

All the timers used during testing are within a tolerance margin given by the equation below. If for a specific test a different tolerance value is required then this should be specified in the relevant test document (i.e. the document where the test is described).

Timer tolerance = 10%, or $5 \times \text{RTT}$, whichever value is the greater.

Where RTT = 8 TTIs for FDD, and RTT=16 TTIs for TDD. (See Note)

NOTE: Since the exact RTT for TDD varies depending on the UL/DL configurations and subframe number [29], the maximum RTT is used here.

Annex A (informative): Connection Diagrams

Definition of Terms

System Simulator or SS – A device or system, that is capable of generating simulated Node B signalling and analysing UE signalling responses on one or more RF channels, in order to create the required test environment for the UE under test. It will also include the following capabilities:

1. Measurement and control of the UE Tx output power through TPC commands

2. Measurement of Throughput

3. Measurement of signalling timing and delays

4. Ability to simulate UTRAN and/or E-UTRAN and/or GERAN signalling

Test System – A combination of devices brought together into a system for the purpose of making one or more measurements on a UE in accordance with the test case requirements. A test system may include one or more System Simulators if additional signalling is required for the test case. The following diagrams are all examples of Test Systems.

NOTE: The above terms are logical definitions to be used to describe the test methods used in the documents TS36.521-1 , TS 36.523-1and TS36.521-3 in practice, real devices called 'System Simulators' may also include additional measurement capabilities or may only support those features required for the test cases they are designed to perform.

NOTE: Components in the connection diagrams:
The components in the connection diagrams represent ideal components. They are intended to display the wanted signal flow. They don't mandate real implementations. An alternative to Fig. A3 is shown below as an example: It is nearer to real implementations. The signal levels are the same as in Fig A3. The signal flow cannot be displayed as detailled as in Fig A.3.
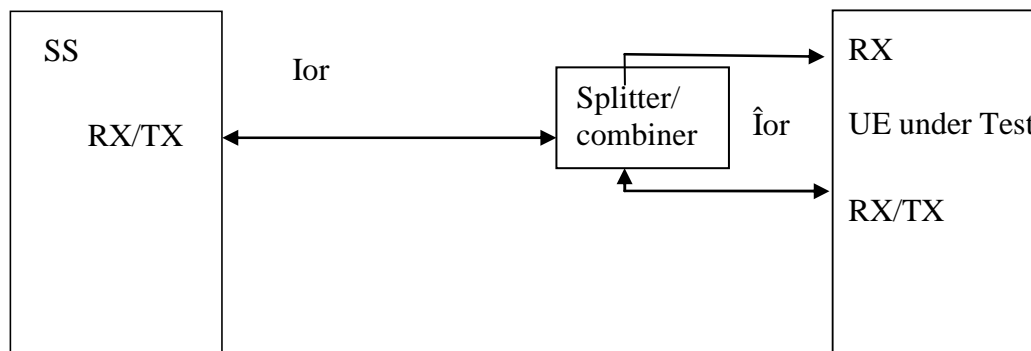
Alternative to Fig A.3

Connetion: Each connection is displayed as a one or two sided arrow, showing the intended signal flow.

Circulator: The singal, entering one port, is conducted to the adjacent port, indicated by the arrow. The attenuation among the above mentioned ports is ideally 0 and the isolation among the other ports is ideally ∞.

Splitter: a spliter has one input and 2 or more outputs. The signal at the input is equally divided to the outputs. The attenuation from input to the outputs is ideally 0 and the isolation between the outputs is ideally ∞.

Combiner: a combiner has one output and 2 or more inputs. The signals at the inputs are conducted to the output, all with the same, ideally 0 attenuation. The isolation between the inputs is ideally ∞.

Switch: contacts a sink (or source) alternatively to two or more sources (or sinks).

Fader: The fader has one input and one output. The MIMO fading channel is reperesented by several single faders (e.g. 8 in case of a MIMO antenna configuration 4x2) The correlation among the faders is described in TS 36.521-1 clause B.2.2

Attenuator: tbd
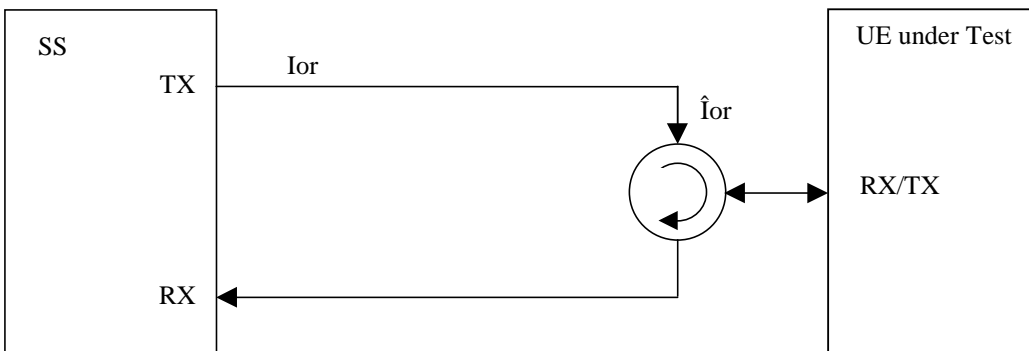
Figure A.1: Connection for basic single cell tests
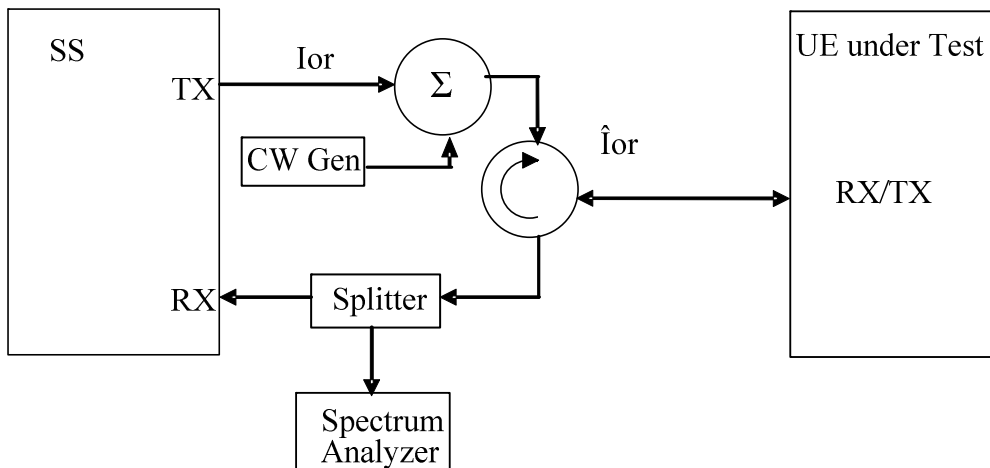
Figure A.2: Connection for Transmitter Intermodulation tests
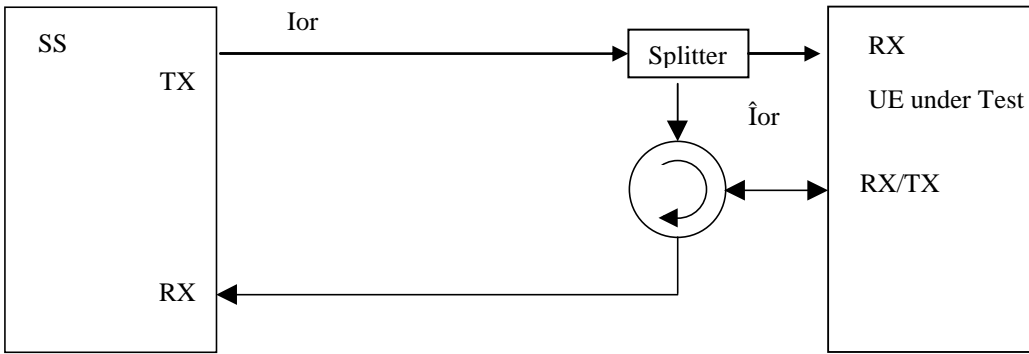
Figure A.3: Connection for basic receiver test
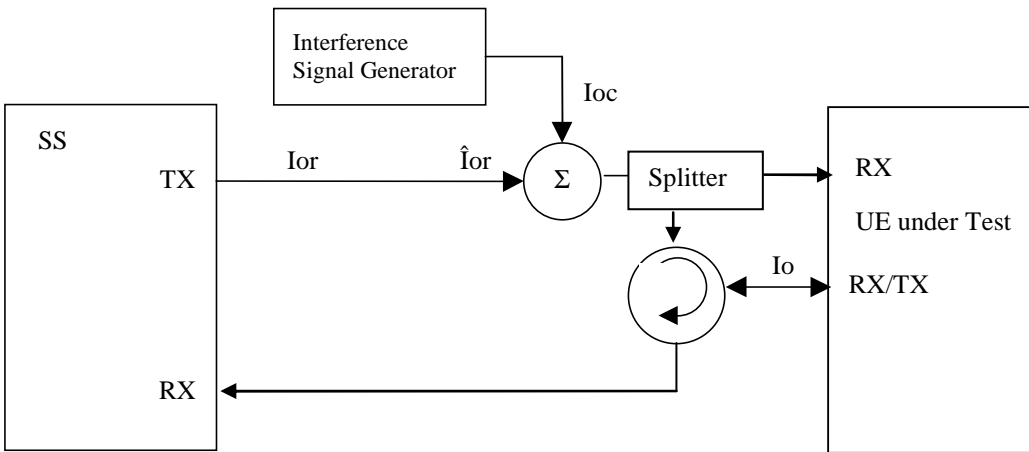
Figure A.4: Connection for Receiver tests with E-UTRA-Interference
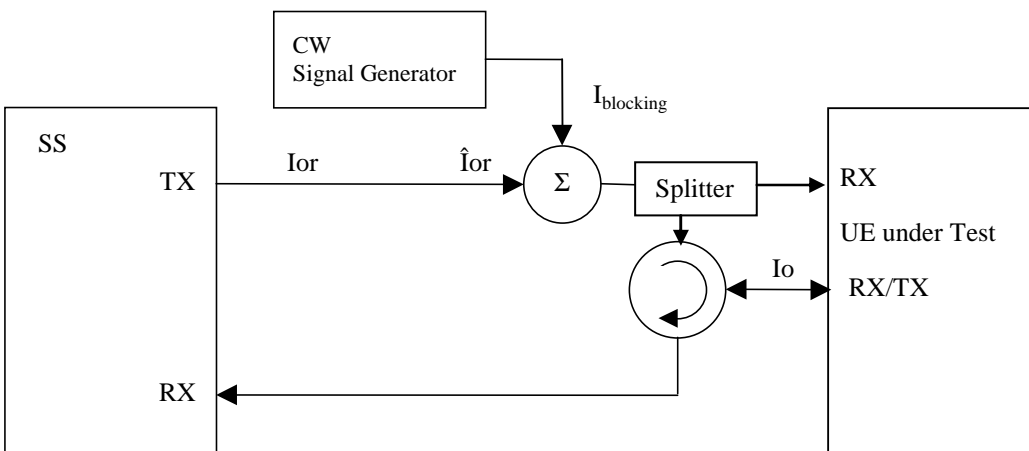
Figure A.5: Connection for Receiver tests with CW interferer
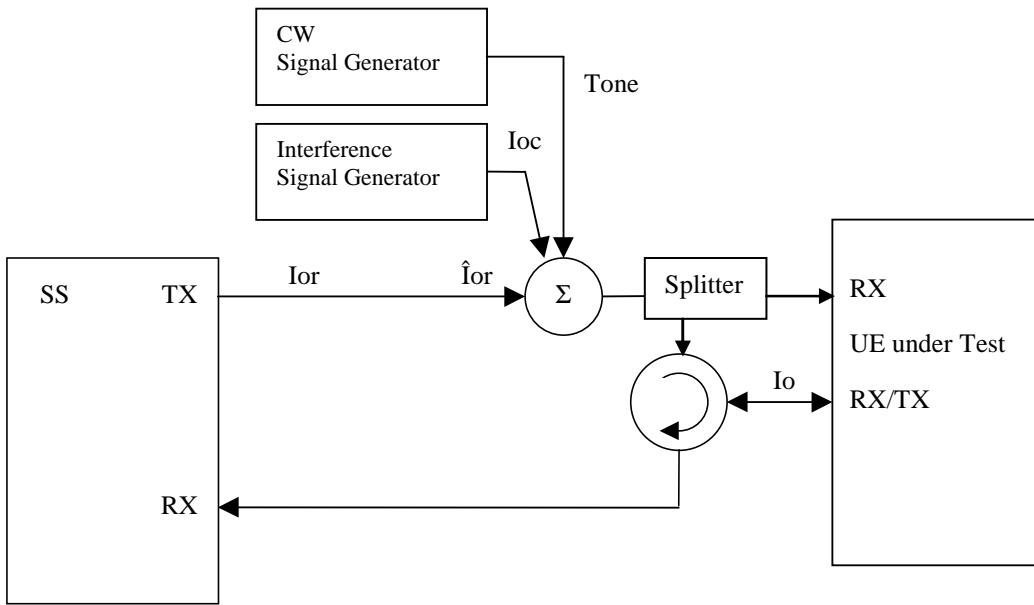
Figure A.6: Connection for Receiver tests with both E-UTRA Interference and additional CW signal
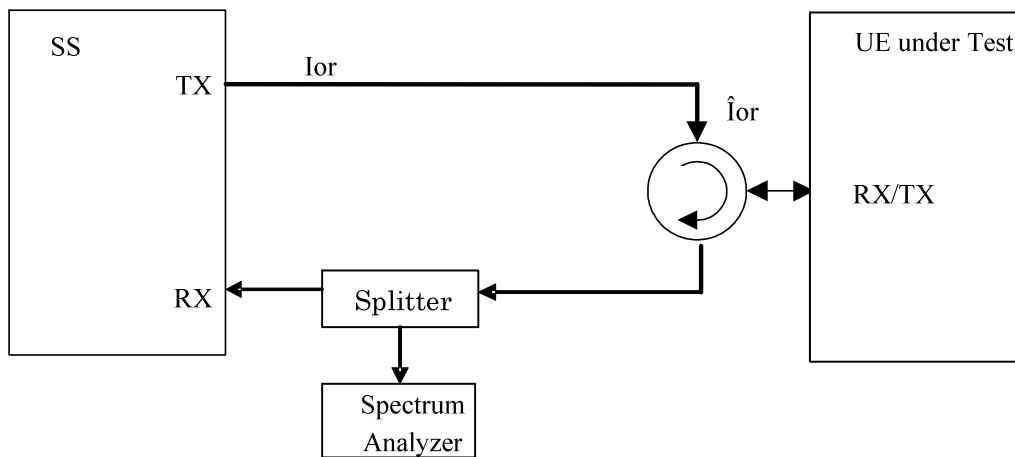
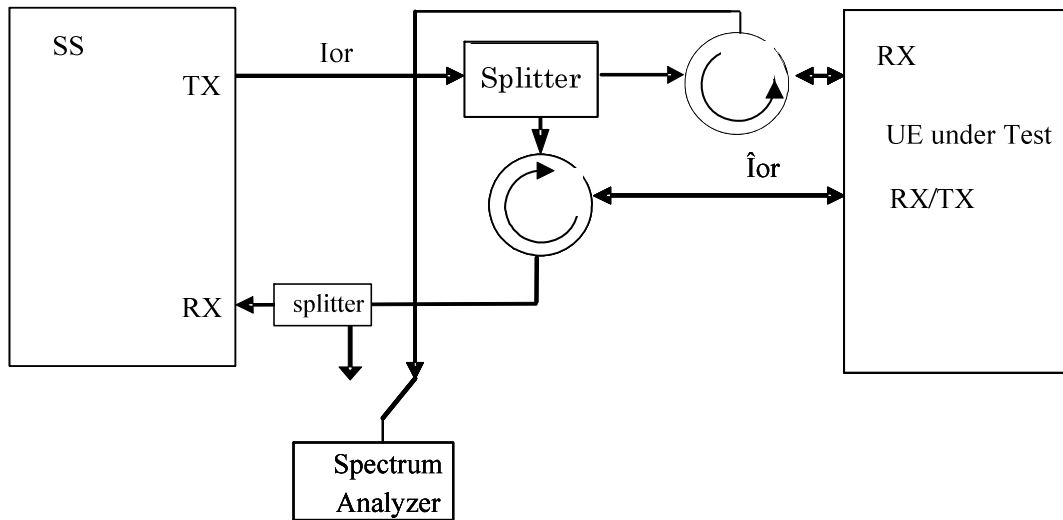**Figure A.7: Connection for TX-tests with additional Spectrum Analyzer**

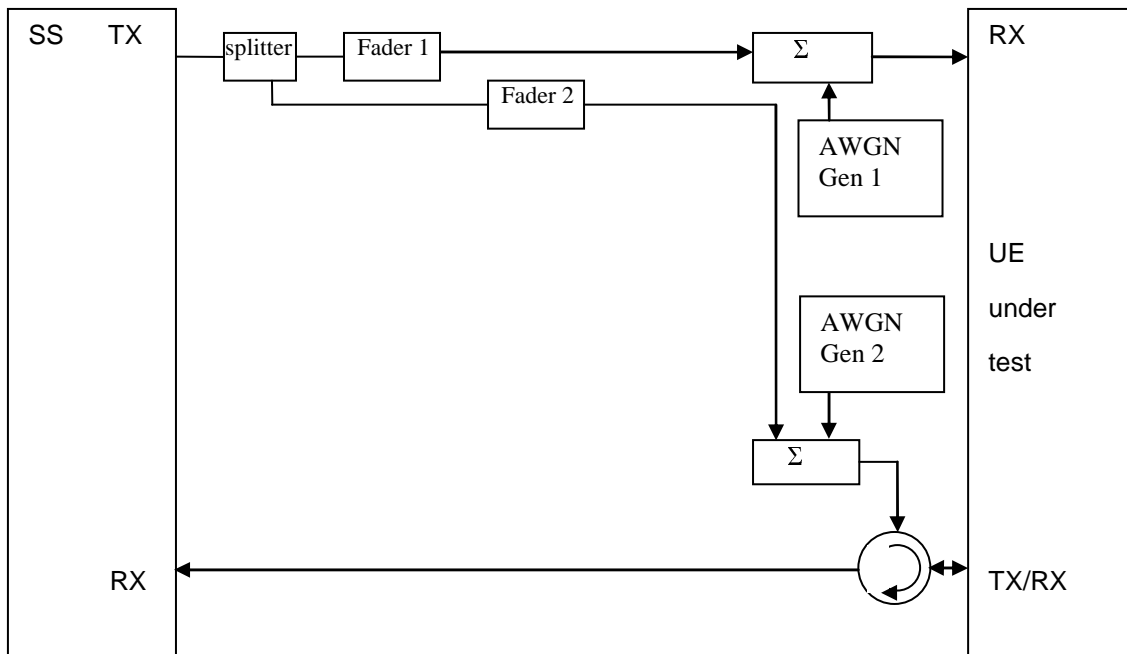**Figure A.8: Connection for RX-tests with additional Spectrum Analyzer**

Figure A.9: Connection for RX performance tests with antenna configuration 1x2 (single antenna port)
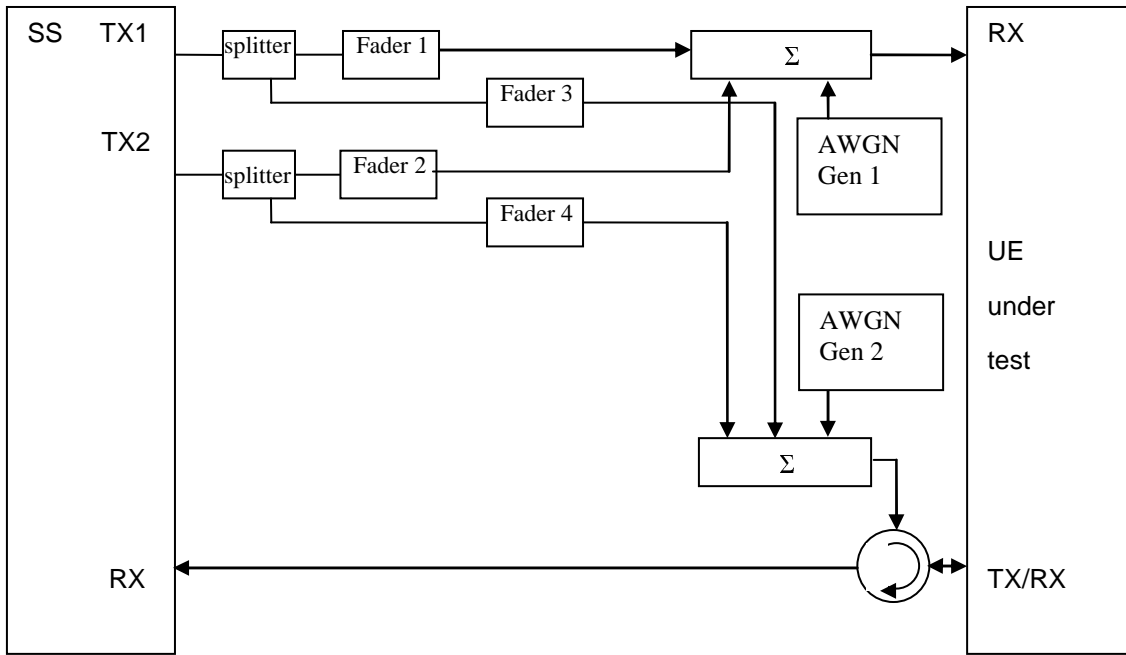
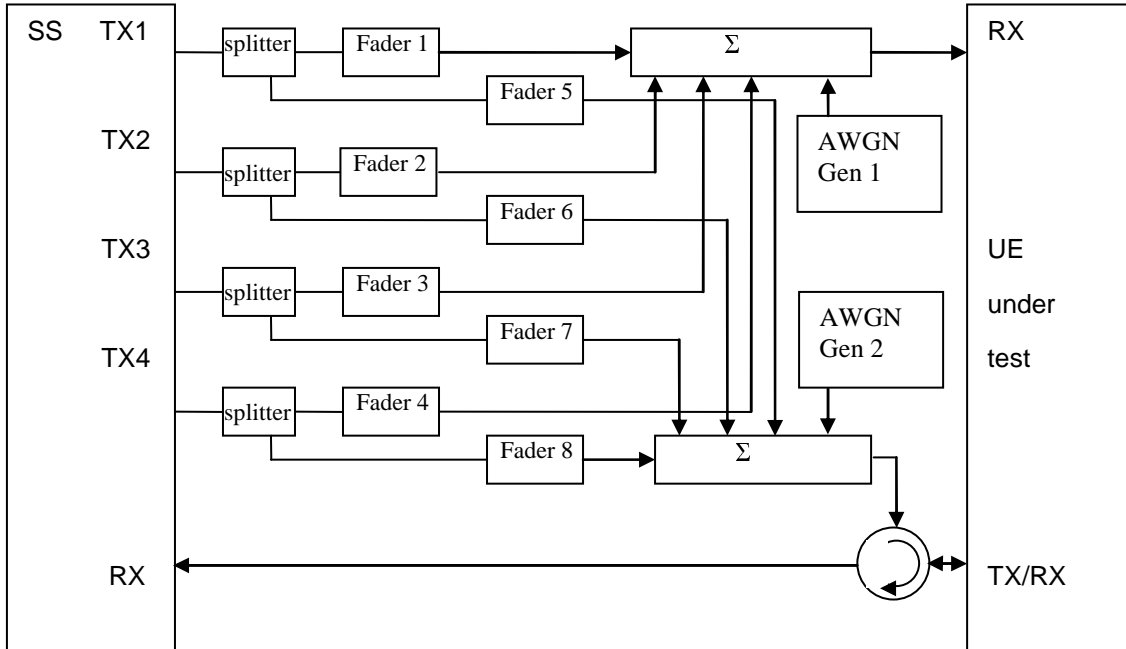**Figure A.10: Connection for RX performance tests with antenna configuration 2x2 (transmit diversity)**

Figure A.11: Connection for RX performance tests with antenna configuration 4x2 (transmit diversity)
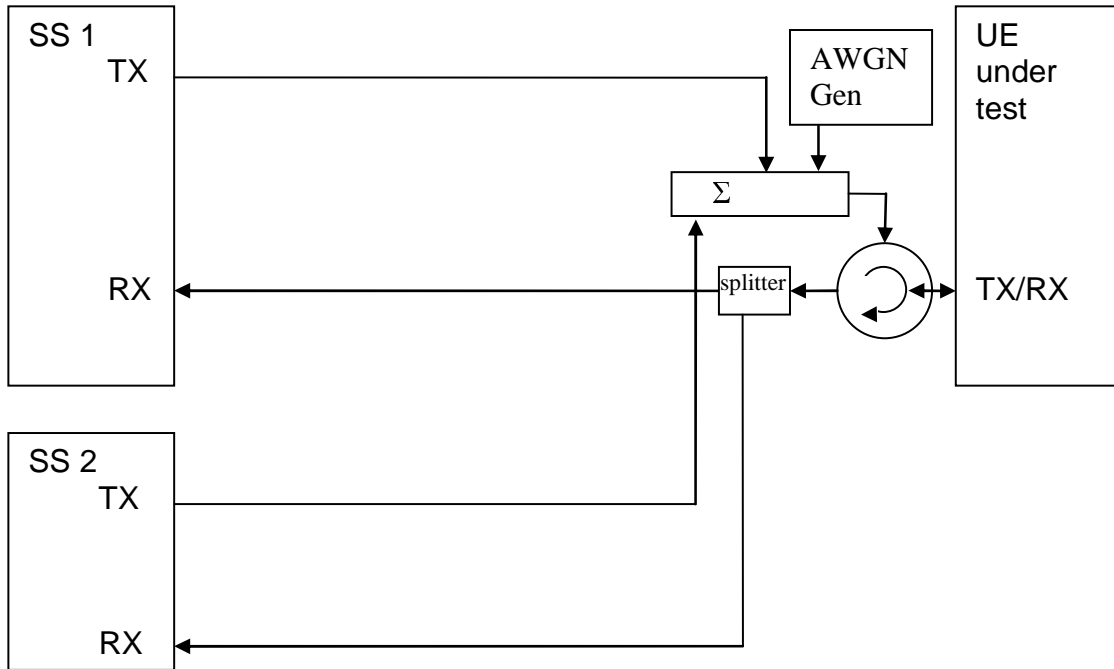
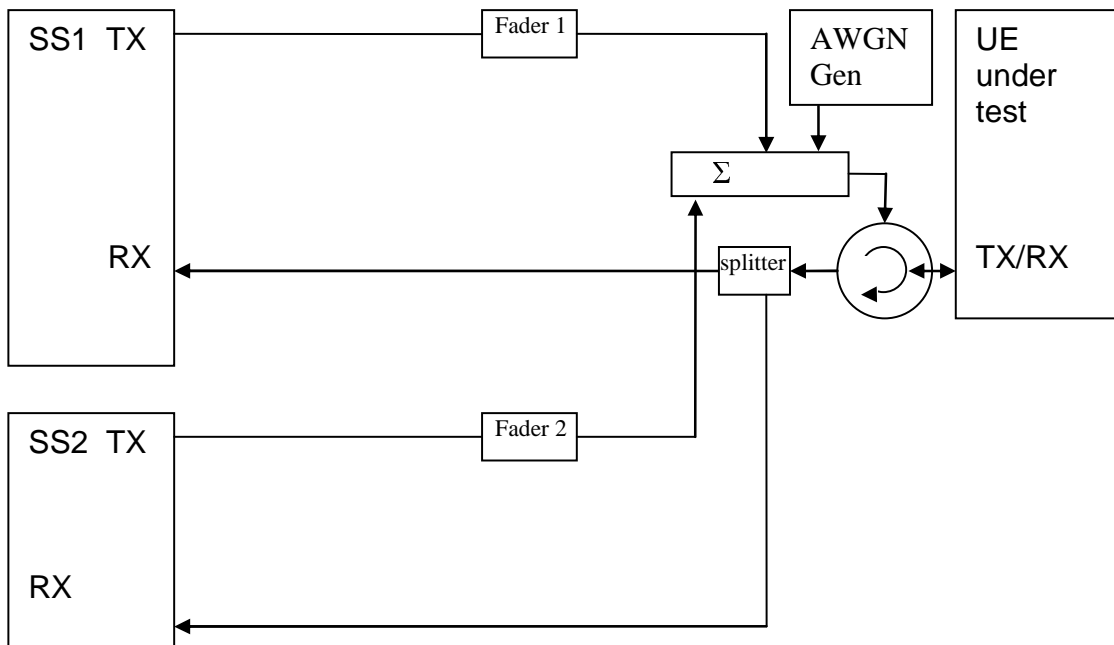**Figure A.12: Connection for 2 cells with static propagation**

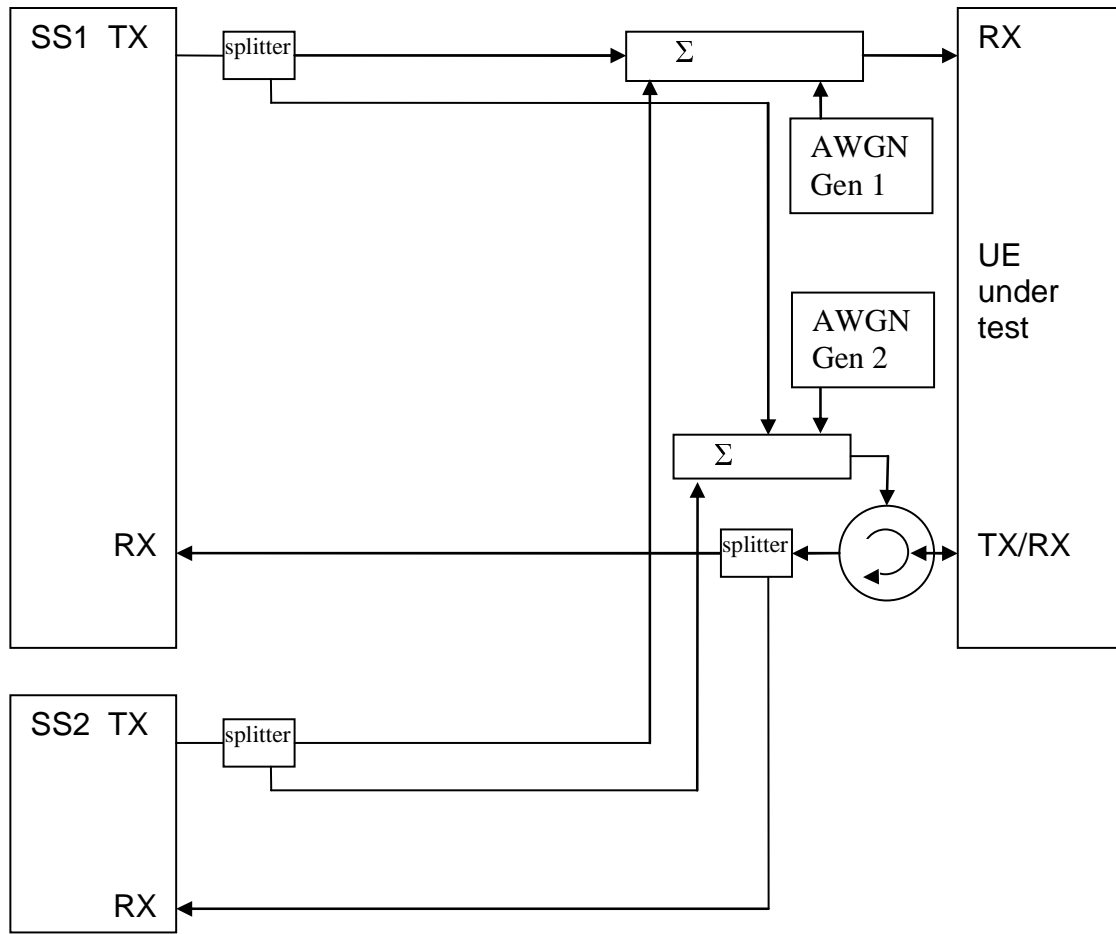**Figure A.13: Connection for 2 cells with multipath fading propagation**

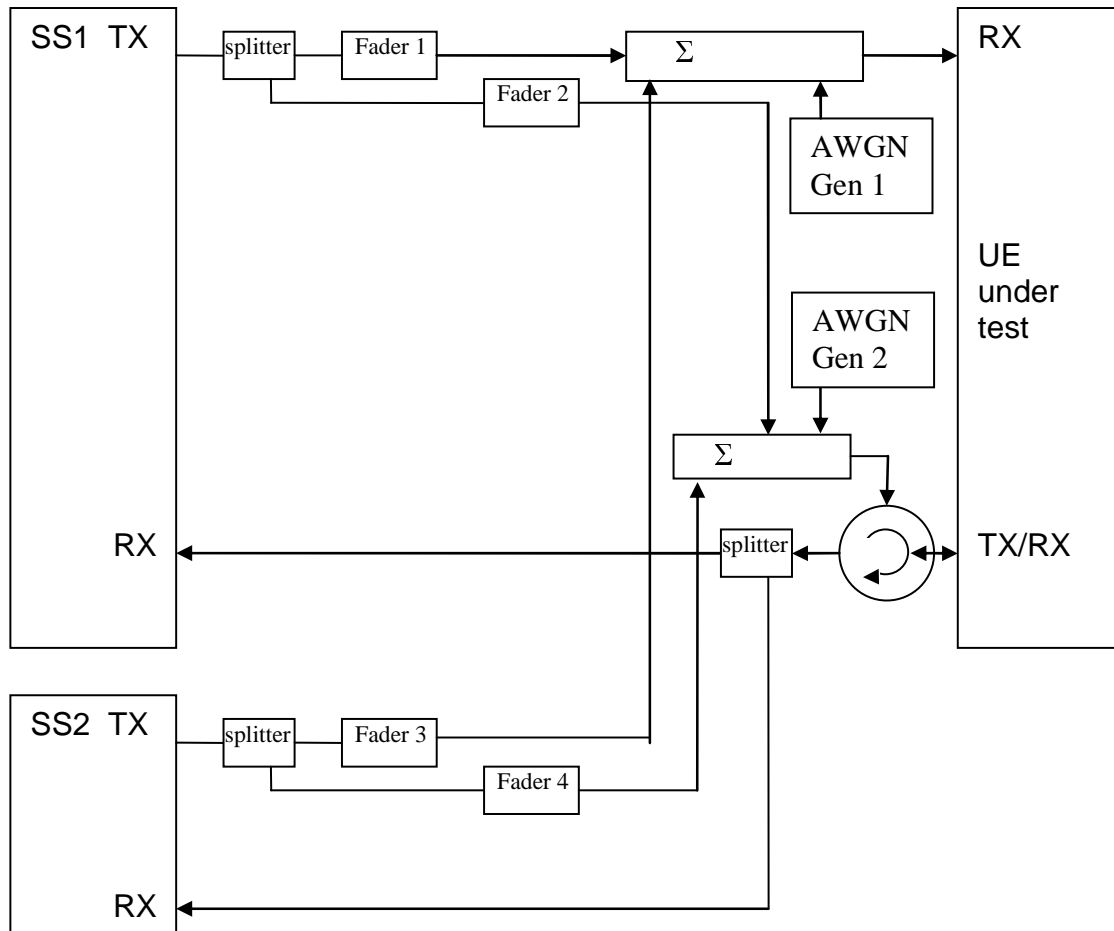**Figure A.14: Connection for 2 cells with static propagation and receive diversity**

Figure A.15: Connection for 2 cells with multipath fading propagation and receive diversity

Annex B (informative): Change history

Meeting-1st-Level	Doc-1st-Level	CR	Rev	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level
RAN5 #37	R5-073107			Skeleton proposed for RAN5#37 Jeju			0.0.1	
RAN5 LTE workshop	R5w080007			Proposed for RAN5 LTE workshop, Sophia Antipolis		0.0.1	0.0.2	
RAN5 #39	R5-081167			Following proposals have been incorporated: R5w080046 R5w080026 R5w080036		0.0.2	0.0.3	
RAN5 #39	R5-081615			Following proposals and many editorial corrections have been incorporated: R5-081564, R5-081561, R5-081248, R5-081530, R5-081126, R5-081443, R5-081382, R5-081200		0.0.3	0.1.0	
RAN5 #39bis	R5-082141			Following proposals and many editorial corrections have been incorporated: R5-082149, "Updates of reference test conditions for TS 36.508" R5-082148, "Addition of E-UTRA TDD Test frequencies for TS36.508" R5-082150, "Default downlink signal channel powers for LTE UE test" R5-082146, "Addition of Cell Environment for multi Cell Configuration" R5-082140, "Proposal of LTE reference system configurations for TS 36.508" R5-082204, "Addition of Cell and UE configuration for TS 36.508" R5-082090, "Update of default RRC message contents" R5-082100, "Proposal on Structure of Default Message Contents for TS 36.508" R5-082091, "Addition of SRB and DRB radio bearer combinations to 36.508" R5-082173, "Connection Diagrams for TX and RX tests"		0.1.0	0.2.0	
RAN5 #40	R5-083399			Following proposals have been incorporated: R5-083800, "Mapping of DL physical channels to physical resources for TS 36.508", NEC R5-083403, "Addition of New Cell Environment for multi Cell Configuration", NTT DOCOMO R5-083529, "Proposal on default system information contents for TS 36.508", NTT DOCOMO R5-083395, "Corrections to generic procedures in TS 36.508", NTT DOCOMO R5-083623, "Update of RRC default message contents and RB combination parameters", Ericsson R5-083622, "Radio Resource Configuration specification for TS 36.508", NEC R5-083397, "Addition of Default NAS message contents in TS 36.508", NTT DOCOMO		0.2.0	1.0.0	
RAN5 #40bis	R5-084102			Following proposals have been incorporated: R5-084101, "Missing corrections to TS 36.508" R5-084110, "Updates of Test frequencies for TS 36.508" R5-084144, "The mapping of DL physical channels to physical resources for TS 36.508" R5-084198, "Update of RA and RB power ratios definition in TS 36.508" R5-084199, "Update of Reference System Configuration in 36.508" R5-084109, "Addition of default RRC message contents to TS 36.508" R5-084202, "Update of RRC Message Contents and RB Configurations in 36.508" R5-084265, "Addition of default RRC message contents for handover" R5-084162, "Connection Diagrams for performance		1.0.0	1.1.0	

Meeting-1st-Level	Doc-1st-Level	CR	Rev	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level
				tests"				
RAN5 #41	R5-085145			Following proposals have been incorporated: R5-085087, "Updates of Test frequencies for TS 36.508" R5-085701, "Cleaning up section 5 in TS 36.508" R5-085252, "Correction to Section 4.3.3.2 of TS 36.508" R5-085315, "Connection Diagrams: delete the editorial note" R5-085454, "Addition of timer tolerances" R5-085566, "Addition of default settings of suitable / non-suitable cells in TS 36.508" R5-085541, "Update to default configurations of simulated cells in TS 36.508" R5-085514, "Update to default configurations of system information blocks in TS 36.508" R5-085472, "Addition of default settings of suitable / non-suitable cells in TS 36.508" R5-085394, "Update of Reference system configurations in 36.508" R5-085457, "Update to generic procedure in TS 36.508" R5-085458, "Addition of new generic procedure to check the UE does not answer to paging" R5-085523, "Update of default RRC message contents" R5-085381, "Addition to default RRC IE contents for measurement configuration" R5-085469, "Update to default NAS message contents in TS 36.508" R5-085451, "Parameter settings for reference RB configurations" R5-085556, "Common test USIM parameters for EPS testing"		1.1.0	2.0.0	
RAN#42	RP-085145			Approval of version 2.0.0 at RAN#42, then updated to v 8.0.0.		2.0.0	8.0.0	
				Editorial corrections.		8.0.0	8.0.1	
RAN5 #41bis	R5-086021	0001	-	Introduction of half cell configurations in eUTRA SS		8.0.1	8.1.0	
RAN5 #41bis	R5-086166	0002	-	Removal of Redundant Environmental Conditions		8.0.1	8.1.0	
RAN5 #41bis	R5-086221	0003	-	CR to 36.508: correction of EARFCN		8.0.1	8.1.0	
RAN5 #41bis	R5-086226	0004	-	Correction to the default system informations in TS 36.508		8.0.1	8.1.0	
RAN5 #41bis	R5-086236	0005	-	Connection diagrams for RRM		8.0.1	8.1.0	
RAN5 #41bis	R5-086346	0006	-	Update of the default message AUTHENTICATION FAILURE		8.0.1	8.1.0	
RAN5 #41bis	R5-086362	0007	-	update of reference configuration systems for CDMA2000 in 36.508		8.0.1	8.1.0	
RAN5 #41bis	R5-086363	0008	-	Updated of common and default parameters for CDMA2000 cells		8.0.1	8.1.0	
RAN5 #41bis	R5-086364	0009	-	Update of SystemInformationBlockType8 in 36.508		8.0.1	8.1.0	
RAN5 #41bis	R5-086369	0010	-	Addition of reference EPS bearer contexts		8.0.1	8.1.0	
RAN5 #41bis	R5-086370	0011	-	Mapping of default DL Physical Channels for TDD in 36.508		8.0.1	8.1.0	
RAN5 #41bis	R5-086400	0012	-	Addition of RS_EPRE powers to default DL signal levels		8.0.1	8.1.0	
RAN5 #42	R5-090084	0013	-	Test procedure to verify that an EPS bearer context is active		8.0.1	8.1.0	
RAN5 #42	R5-090362	0014	-	Correction to the definition of simulated NAS cells in TS 36.508		8.0.1	8.1.0	
RAN5 #42	R5-090464	0015	-	Clean up the test algorithm for authentication		8.0.1	8.1.0	
RAN5 #42	R5-090586	0016	-	Add specific information elements for RRC reconfiguration		8.0.1	8.1.0	
RAN5 #42	R5-090630	0017	-	Introduction of alternative DRX configurations		8.0.1	8.1.0	
RAN5 #42	R5-090681	0018	-	Correction to the default NAS message contents in TS 36.508		8.0.1	8.1.0	
RAN5 #42	R5-090682	0019	-	Correction to the definition of simulated cells in TS 36.508		8.0.1	8.1.0	

Meeting-1st-Level	Doc-1st-Level	CR	Rev	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level
RAN5 #42	R5-090698	0020	-	Update of 4.5 generic procedures in 36.508		8.0.1	8.1.0	
RAN5 #42	R5-090699	0021	-	TDD RTT correction for timer tolerance		8.0.1	8.1.0	
RAN5 #42	R5-090759	0022	-	Correction to the default RRC message contents in TS 36.508		8.0.1	8.1.0	
RAN5 #42	R5-091000	0023	-	Correction to clause 4.3.3.3		8.0.1	8.1.0	
RAN5 #42	R5-091001	0024	-	LTE-RF: Clarification to 36.508 Simulated Cells for RF tests		8.0.1	8.1.0	

History

Document history		
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