# ETSI TS 132 526 V11.7.0 (2014-01)



Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE:

Telecommunication management;
Self-Organizing Networks (SON);
Policy Network Resource Model (NRM)
Integration Reference Point (IRP);
Solution Set (SS) definitions
(3GPP TS 32.526 version 11.7.0 Release 11)



# Reference RTS/TSGS-0532526vb70 Keywords GSM,LTE,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

Individual copies of the present document can be downloaded from: <a href="http://www.etsi.org">http://www.etsi.org</a>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a>

If you find errors in the present document, please send your comment to one of the following services: <u>http://portal.etsi.org/chaircor/ETSI\_support.asp</u>

#### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2014. All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup> and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**<sup>TM</sup> and **LTE**<sup>TM</sup> are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**GSM**® and the GSM logo are Trade Marks registered and owned by the GSM Association.

## Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://ipr.etsi.org).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

# Contents

Intellectual Property Rights	2
Foreword	2
Foreword	4
Introduction	4
1 Scope	5
2 References	5
3 Definitions and abbreviations	6
3.1 Definitions	
4 Solution Set definitions	7
Annex A (normative): CORBA Solution Set	8
A.1 Architectural Features	8
A.1.1 Syntax for Distinguished Names and Versions	
A.2 Mapping	
A.2.1 General mapping	
A.2.2.1 IOC SONTargets	
A.2.2.2 IOC SONControl	
A.2.2.3 IOC ESPolicies	
A.2.2.4 IOC EUtranCellSON	9
A.2.2.5 Void	
A.2.2.6 IOC EnergySavingProperties	
A.2.2.7 IOC InterRatEsPolicies	
A.2.2.8 IOC SONFuncInfo	
A.2.2.9 IOC SONCoordinationPolicies	
A.3 Solution Set definitions	
A.3.1 IDL definition structure	
	15
B.1 Architectural features	
B.1.1 Syntax for Distinguished Names	
B.2 Mapping	15
B.2.1 General mapping	
B.2.2 Information Object Class (IOC) mapping	15
B.3 Solution Set definitions	16
B.3.1 XML definition structure	
B.3.2 XML Schema "sonPolicyNrm.xsd"	16
Annex C (informative): Change history	22
History	23

#### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

#### Introduction

The present document is part of a TS-family covering the 3<sup>rd</sup> Generation Partnership Project: Technical Specification Group Services and System Aspects; Telecommunication management; as identified below:

32.521:	Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Requirements
32.522:	Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Information Service (IS)
32.526:	Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Solution Set (SS) definitions

### 1 Scope

The present document specifies the Solution Set definitions for the IRP whose semantics is specified in 3GPP TS 32.522 [4] SON Policy Network Resource Model IRP: Information Service (IS).

This Solution Set definitions specification is related to 3GPP TS 32.522 V11.7.X [4].

#### 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

  3GPP TS 32.101: "Telecommunication management; Principles and high level requirements".

  3GPP TS 32.102: "Telecommunication management; Architecture".

  3GPP TS 32.522: "Telecommunication management; Self-Organizing Networks (SON) Policy Network Resource Model (NRM) Integration Reference Point (IRP): Information Service (IS)".

  3GPP TS 32.616: "Telecommunication management; Configuration Management (CM); Bulk CM Integration Reference Point (IRP); Solution Set (SS) definitions".

  3GPP TS 32.606: "Telecommunication management; Configuration Management (CM); Basic CM Integration Reference Point (IRP); Solution Set (SS) definitions".
- [7] W3C REC-xml-20001006: "Extensible Markup Language (XML) 1.0 (Second Edition)".
- [8] W3C REC-xmlschema-0-20010502: "XML Schema Part 0: Primer".
- [9] W3C REC-xmlschema-1-20010502: "XML Schema Part 1: Structures".
- [10] W3C REC-xmlschema-2-20010502: "XML Schema Part 2: Datatypes".
- [11] W3C REC-xml-names-19990114: "Namespaces in XML".
- [12] 3GPP TS 32.300: "Telecommunication management; Configuration Management (CM); Name convention for Managed Objects".
- [13] 3GPP TS 32.316: "Telecommunication management; Generic Integration Reference Point (IRP) management; Solution Set (SS) definitions".

#### 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TS 32.101 [2], 3GPP TS 32.102 [3] and TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any6, in 3GPP TS 32.22 [4], 3GPP TS 32.101 [2], 3GPP TS 32.102 [3] and TR 21.905 [1], in that order.

XML file: file containing an XML document

XML document: composed of the succession of an optional XML declaration followed by a root XML element

NOTE 1: See [7]; in the scope of the present document.

XML declaration: it specifies the version of XML being used

NOTE 2: See [7].

XML element: has a type, is identified by a name, may have a set of XML attribute specifications and is either composed of the succession of an XML start-tag followed by the XML content of the XML element followed by an XML end-tag, or composed simply of an XML empty-element tag; each XML element may contain other XML elements

NOTE 3: See [7].

**empty XML element:** having an empty XML content; an empty XML element still possibly has a set of XML attribute specifications; an empty XML element is either composed of the succession of an XML start-tag directly followed by an XML end-tag, or composed simply of an XML empty-element tag

NOTE 4: See [7].

**XML content (of an XML element):** empty if the XML element is simply composed of an XML empty-element tag; otherwise the part, possibly empty, of the XML element between its XML start-tag and its XML end-tag

**XML start-tag:** the beginning of a non-empty XML element is marked by an XML start-tag containing the name and the set of XML attribute specifications of the XML element

NOTE 5: See [7].

XML end-tag: the end of a non-empty XML element is marked by an XML end-tag containing the name of the XML element

NOTE 6: See [7].

**XML empty-element tag:** composed simply of an empty-element tag containing the name and the set of XML attribute specifications of the XML element

NOTE 7: See [7].

XML attribute specification: has a name and a value

NOTE 8: See [7].

**DTD:** defines structure and content constraints to be respected by an XML document to be valid with regard to this DTD

NOTE 9: See [7].

XML schema: more powerful than a DTD, an XML schema defines structure and content constraints to be respected by an XML document to conform with this XML schema; through the use of XML namespaces several XML schemas can be used together by a single XML document; an XML schema is itself also an XML document that shall conform with the XML schema for XML schemas

NOTE 10:See [8], [9] and [10].

**XML** namespace: enables qualifying element and attribute names used in XML documents by associating them with namespaces identified by different XML schemas

NOTE 11:See [11], in the scope of the present document.

**XML complex type:** defined in an XML schema; cannot be directly used in an XML document; can be the concrete type or the derivation base type for an XML element type or for another XML complex type; ultimately defines constraints for an XML element on its XML attribute specifications and/or its XML content

NOTE 12: See [8], [9] and [10].

**XML element type:** declared by an XML schema; can be directly used in an XML document; as the concrete type of an XML element, directly or indirectly defines constraints on its XML attribute specifications and/or its XML content; can also be the concrete type or the derivation base type for another XML element type

NOTE 13:See [8], [9] and [10].

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], 3GPP TS 32.522 [4] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TS 32.522 [4], 3GPP TS 32.101 [2], 3GPP TS 32.102 [3] and TR 21.905 [1], in that order.

CMConfiguration Management **CORBA** Common Object Request Broker Architecture Document Type Definition DTD evolved NodeB eNodeB Interface Definition Language (OMG) **IDL** IOC **Information Object Class IRP Integration Reference Point** IS Information Service MO Managed Object MOC Managed Object Class **NRM** Network Resource Model Object Management Group OMG SS Solution Set eXtensible Markup Language **XML** 

#### 4 Solution Set definitions

This specification defines the following 3GPP SON Policy NRM IRP Solution Set definitions:

- 3GPP SON Policy NRM IRP CORBA SS (see Annex A)
- 3GPP SON Policy NRM IRP XML definitions (see Annex B)

# Annex A (normative): CORBA Solution Set

This annex contains the CORBA Solution Set for the IRP whose semantics is specified in SON Policy NRM IRP: Information Service (3GPP TS 32.522 [4]).

#### A.1 Architectural Features

The overall architectural feature of CS IRP is specified in 3GPP TS 32.522 [4].

This clause specifies features that are specific to the CORBA SS.

#### A.1.1 Syntax for Distinguished Names and Versions

The syntax of a Distinguished Name is defined in 3GPP TS 32.300 [12].

# A.2 Mapping

#### A.2.1 General mapping

Attributes modelling associations as defined in the NRM (here also called "reference attributes") are in this SS mapped to attributes. The names of the reference attributes in the NRM are mapped to the corresponding attribute names in the MOC. When the cardinality for an association is 0..1 or 1..1 the datatype for the reference attribute is defined as an MOReference. The value of an MO reference contains the distinguished name of the associated MO. When the cardinality for an association allows more than one referred MO, the reference attribute will be of type MOReferenceSet, which contains a sequence of MO references.

#### A.2.2 Information Object Class (IOC) mapping

#### A.2.2.1 IOC SONTargets

Attribute of IOC SONTargets in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifie
id	id	string	M	M
hoFailureRate	hoFailureRate	GenericSONPolicyNRMAttributeTypes:: HooTarget	O *)	М
rrcConnection EstablishmentFailure RateCharacteristic	rrcConnection EstablishmentFailure RateCharacteristic	GenericSONPolicyNRMAttributeTypes: CacTargetLink	O *)	М
rrcConnection AbnormalReleaseRate Characteristic	rrcConnection AbnormalReleaseRate Characteristic	GenericSONPolicyNRMAttributeTypes: CacTargetLink	O *)	М
eRabSetupFailure RateCharacteristic	eRabSetupFailure RateCharacteristic	GenericSONPolicyNRMAttributeTypes: CacTargetLink	O *)	М
eRabAbnormalRelease RateCharacteristic	eRabAbnormalRelease RateCharacteristic	GenericSONPolicyNRMAttributeTypes: CacTargetLink	O *)	М
rachOptAccessProbability	rachOptAccessProbability	GenericSONPolicyNRMAttributeTypes: AccessProbabilityROTargetSet	CM **)	М
rachOptAccessDelayProbability	rachOptAccessDelayProbability	GenericSONPolicyNRMAttributeTypes: AccessDelayProbabilityROTargetSet	CM **)	М

<sup>\*)</sup> NOTE 1: At least one of the attributes shall be supported.\*\*) NOTE 2: Only one of these attributes shall be present.

#### A.2.2.2 IOC SONControl

Attribute of IOC SONTargets in 3GPP TS 32.522	SS	SS	Support	Read	Write		
[4]	Attribute	Type	Qualifier	Qualifier	Qualifier		
id	id	string	M	M	-		
hooSwitch	hooSwitch	boolean	CM	M	M		
lboSwitch	lboSwitch	boolean	CM	M	M		
cocSwitch	cocSwitch	boolean	CM	M	M		
esSwitch	esSwitch	boolean	CM	M	M		
roSwitch	roSwitch	boolean	CM	М	M		
NOTE: For all conditional qualifiers, see attribute constraints in TS 32.522 [4].							

#### A.2.2.3 IOC ESPolicies

Attribute of IOC SONTargets in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifier	Write Qualifier
id	id	string	M	M	-
esActivationOriginalCellLoad Parameters	esActivationOrigina lCellLoadParameters	CellLoadParameters	0	M	М
esActivationCandidateCellsLo adParameters	esActivationCandida teCellsLoadParamete rs	CellLoadParameters	0	M	М
esDeactivationCandidateCells LoadParameters	esDeactivationCandi dateCellsLoadParame ters	CellLoadParameters	0	M	М
esNotAllowedTimePeriod	esNotAllowedTimePer iod	ESNotAllowedTimePe riod	0	M	M

## A.2.2.4 IOC EUtranCellSON

Attribute of IOC SONTargets in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifier	Write Qualifier
id	id	string	M	M	-
maximumDeviationHoTrigger	maximumDeviationH oTrigger	GenericSONPolicyNR MAttributeTypes:: MaximumDeviationHo TriggerType	СМ	M	М
minimumTimeBetweenHoTrigg erChange	minimumTimeBetwee nHoTriggerChange	GenericSONPolicyNR MAttributeTypes:: MinimumTimeBetwee nHoTriggerChangeTy pe	СМ	М	M

#### A.2.2.5 Void

# A.2.2.6 IOC EnergySavingProperties

Attribute of IOC EnergySavingProperties in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifier	Write Qualifier
id	id	string	M	M	-
energySavingState	energySavingState	GenericSONPolicyNR MAttributeTypes::Ener gySavingStateEnumT ype	M	M	-
energySavingControl	energySavingContr ol	GenericSONPolicyNR MAttributeTypes::Ener gySavingControlEnum Type	СМ	M	M
isProbingCapable	isProbingCapable	Boolean	0	М	-

#### A.2.2.7 IOC InterRatEsPolicies

Attribute of IOC SONTargets in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifier	Write Qualifier
id	id	string	M	М	-
<pre>interRatEsActivationOrigi nalCellParameters</pre>	interRatEsActivat ionOriginalCellPa rameters	RelativeCellLoadPara meters	СМ	М	М
interRatEsActivationCandidat eCellParameters	interRatEsActivatio nCandidateCellParam eters	RelativeCellLoadPara meters	СМ	M	M
interRatEsDeactivationCandid ateCellParameters	<pre>interRatEsDeactivat ionCandidateCellPar ameters</pre>	RelativeCellLoadPara meters	СМ	M	M

#### A.2.2.8 IOC SONFuncInfo

Attribute of IOC SONFuncInfo in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifie
id	id	string	М	М
sonFuncCapabilityBelowItfN	sonFuncCapabilityBelowItfN	GenericSONPolicyNRMAttributeTypes: SonFuncNameListType	M	M

#### A.2.2.9 IOC SONCoordinationPolicies

Attribute of IOC SONCoordinationPolicies in 3GPP TS 32.522 [4]	SS Attribute	SS Type	Support Qualifier	Read Qualifier	Writ Qualif
id	id	string	М	М	-
selectedSonCoordPolicy	selectedSonCoordPolicy	GenericSONPolicyNRMAttributeTypes:: SonCoordPoliciesType	СМ	M	М
sonFuncPriorityOrder	sonFuncPriorityOrder	GenericSONPolicyNRMAttributeTypes:: SonFuncNameListType	СМ	М	М

#### A.3 Solution Set definitions

#### A.3.1 IDL definition structure

Clause A.3.2 defines the constants and types used by the SON Policy NRM IRP.

### A.3.2 IDL specification "SONPolicyNetworkResourcesNRMDefs.idl"

```
//File:SONPolicyNetworkResourcesNRMDefs.idl
#ifndef _SONPOLICYNETWORKRESOURCESNRMDEFS_IDL_
#define _SONPOLICYNETWORKRESOURCESNRMDEFS_IDL_
#include "GenericNetworkResourcesNRMDefs.idl"
#include "TimeBase.idl"
#pragma prefix "3gppsa5.org"
\ensuremath{^{\star}} This module defines constants for each MO class name and
 \mbox{\scriptsize \star} the attribute names for each defined MO class.
module SONPolicyNetworkResourcesNRMDefs
     * Definitions for MO class SONTargets
    interface SONTargets: GenericNetworkResourcesNRMDefs::Top
        const string CLASS = "SONTargets";
        // Attribute Names
        //
        const string id = "id";
        const string hoFailureRate = "hoFailureRate";
        const string rrcConnectionEstablishmentFailureRateCharacteristic =
"rrcConnectionEstablishmentFailureRateCharacteristic";
        const string rrcConnectionAbnormalReleaseRateCharacteristic =
"rrcConnectionAbnormalReleaseRateCharacteristic";
        const string eRabSetupFailureRateCharacteristic = "eRabSetupFailureRateCharacteristic";
        const string eRabAbnormalReleaseRateCharacteristic =
"eRabAbnormalReleaseRateCharacteristic";
        const string rachOptAccessProbability = "rachOptAccessProbability";
        const string rachOptAccessDelayProbability = "rachOptAccessDelayProbability";
     * Definitions for MO class SONControl
    interface SONControl: GenericNetworkResourcesNRMDefs::Top
        const string CLASS = "SONControl";
        // Attribute Names
        const string id = "id";
        const string hooSwitch = "hooSwitch";
        const string lboSwitch = "lboSwitch";
        const string cocSwitch = "cocSwitch";
        const string esSwitch = "esSwitch";
        const string roSwitch = "roSwitch";
    };
    * Definitions for MO class ESPolicies
    interface ESPolicies: GenericNetworkResourcesNRMDefs::Top
        const string CLASS = "ESPolicies";
        // Attribute Names
        const string id = "id";
        const string esActivationOriginalCellLoadParameters =
"esActivationOriginalCellLoadParameters";
```

```
const string esActivationCandidateCellsLoadParameters =
"esActivationCandidateCellsLoadParameters";
       const string esDeactivationCandidateCellsLoadParameters =
"esDeactivationCandidateCellsLoadParameters";
       const string esNotAllowedTimePeriod = "esNotAllowedTimePeriod";
   };
    * Definitions for MO class InterRatEsPolicies
   interface ESPolicies: GenericNetworkResourcesNRMDefs::Top
       const string CLASS = "InterRatEsPolicies";
       // Attribute Names
       //
       const string id = "id";
       const string interRatEsActivationOriginalCellParameters =
"interRatEsCellActivationOriginalCell Parameters";
       const string interRatEsActivationCandidateCellParameters =
"interRatEsActivationCandidateCellParameters";
       const string interRatEsDeactivationCandidateCellParameters =
"interRatEsDeactivationCandidateCellParameters";
    * Definitions for MO class EUtranCellSON
    * /
   interface EUtranCellSON: GenericNetworkResourcesNRMDefs::Top
       const string CLASS = "EUtranCellSON";
       // Attribute Names
       const string id = "id";
       const string maximumDeviationHoTrigger = "maximumDeviationHoTrigger";
       const string minimumTimeBetweenHoTriggerChange = "minimumTimeBetweenHoTriggerChange";
    * Definitions for MO class EnergySavingProperties
   interface EnergySavingProperties: GenericNetworkResourcesNRMDefs::Top
   {
       const string CLASS = "EnergySavingProperties";
       // Attribute Names
       //
       const string id = "id";
       const string energySavingState= "energySavingState";
       const string energySavingControl= "energySavingControl";
       const string isProbingCapable = "isProbingCapable";
   };
    * Definitions for MO class SONFuncInfo
   interface SONFuncInfo: GenericNetworkResourcesNRMDefs::Top
       const string CLASS = "SONFuncInfo";
       // Attribute Names
       const string id = "id";
       const string sonFuncCapabilityBelowItfN = "sonFuncCapabilityBelowItfN";
   };
    * Definitions for MO class SONCoordinationPolicies
    * /
   interface SONCoordinationPolicies: GenericNetworkResourcesNRMDefs::Top
       const string id = "id";
       const string CLASS = "SONCoordinationPolicies";
       // Attribute Names
       const string selectedSonCoordPolicy = "selectedSonCoordPolicy";
       const string sonFuncPriorityOrder = "sonFuncPriorityOrder";
   };
```

```
};
module GenericSONPolicyNRMAttributeTypes
    * Composite Availble Capacity (CAC) target type related to RRC/eRAB setup
    struct CacTarget
        unsigned short lower_end_of_cac_range;
        unsigned short upper_end_of_cac_range;
        unsigned short target_value;
        unsigned short target_weight;
    };
    typedef sequence<CacTarget> CacTargetList;
    struct CacTargetLink
    {
        CacTargetList uplink_cac_target;
        CacTargetList downlink_cac_target;
    * HOO target type
    struct HooTarget
        unsigned short target_value;
        unsigned short target_priority;
    typedef sequence<HooTarget> HooTargetList;
    * Cell load parameters type related to energy saving
    struct CellLoadParameters
        unsigned short load_threshold;
        unsigned short time_duration;
    };
    * Cell load parameters type related to energy saving
    struct RelativeCellLoadParameters
        unsigned short relative_load_threshold;
        unsigned short time_duration;
    };
  typedef TimeBase::UtcT UTCTime;
  struct PeriodOfDay
      UTCTime start_time;
      UTCTime end_time;
   };
  enum WeekDayType
    {
        MONDAY,
        TUESDAY,
        WEDNESDAY.
        THURSDAY,
        FRIDAY,
        SATURDAY,
        SUNDAY
   typedef sequence <WeekDayType> DaysOfWeek;
  struct TimePeriodElement
   {
      DaysOfWeek days;
      PeriodOfDay period_of_day;
  typedef sequence<TimePeriodElement> ESNotAllowedTimePeriod;
```

```
* Rach Optimization target type
    enum ROTargetType
        RO_ACCESS_PROBABILITY,
       RO_ACCESS_DELAY_PROBABILITY
    enum ROProbability
       25percent,
       50percent,
       75percent,
       90percent
   };
    typedef unsigned short (10..560) AccessDelayRange;
    typedef unsigned short (1..200) AccessNumberAttemptRange;
    struct accessProbabilityROTarget
       ROProbability rOProbability;
       AccessNumberAttemptRange attemptNumber;
    struct accessDelayProbabilityROTarget
      ROProbability rOProbability;
      AccessDelayRange accessDelay;
    typedef sequence <accessProbabilityROTarget,4> AccessProbabilityROTargetSet;
    typedef sequence <accessDelayProbabilityROTarget,4> AccessDelayProbabilityROTargetSet;
    union RachOptTarget switch (ROTargetType)
      \verb|case RO_ACCESS_PROBABILITY: AccessProbabilityROTargetSet aPTargets||
      case RO_ACCESS_DELAY_PROBABILITY: AccessDelayProbabilityROTargetSet aDPTargets;
   typedef unsigned short (1..96) MaximumDeviationHoTriggerType;
   typedef unsigned short (0..1440) MinimumTimeBetweenHoTriggerChangeType;
   enum energySavingStateEnumType
    isEnergySaving,
     isNotEnergySaving
   enum energySavingControlEnumType
    toBeEnergySaving,
    toBeNotEnergySaving
   };
    enum SonFuncNameType
        anr,
       hoo,
       lbo,
        es,
        COC.
    };
    typedef sequence <SonFuncNameType> SonFuncNameListType;
    enum SonCoordPoliciesType
        baseOnPriority,
       baseOnState
    };
#endif // _SONPOLICYNETWORKRESOURCESNRMDEFS_IDL_
```

# Annex B (normative): XML definitions

The annex specifies the XML definitions for the SON Policy NRM IRP as it applies to Itf-N, in accordance with SON NRM IRP IS definitions [4].

An application of these XML definitions is to build a configuration file for transfer with the Bulk CM IRP using either CORBA Solution Set or the SOAP Solution Set of 3GPP TS 32.616 [5]. For this annex, the basic part of the XML file format definition is provided by 3GPP TS 32.616 [5].

Other applications of these XML definitions are the SOAP solution sets of other IRPs that perform operations on managed objects, for example the Basic CM IRP SOAP SS of 3GPP TS 32.606 [6].

#### B.1 Architectural features

The overall architectural feature of SON Policy Network Resource Model IRP is specified in 3GPP TS 32.522 [4]. This clause specifies features that are specific to the XML definitions.

The XML definitions of this document specify the schema for a configuration content.

When using the XML definitions for a configuration file transfer with the Bulk CM IRP, using either CORBA Solution Set or SOAP Solution Set of 3GPP TS 32.616 [5], the basic part of the XML file format definition is provided by 3GPP TS 32.616 [5]. The XML definitions of this document provide the schema for the configuration content to be included in such a configuration file.

When using the XML definitions with a SOAP solution set of any interface IRP that perform operations on managed objects, for example the Basic CM IRP SOAP SS of 3GPP TS 32.606 [6], the XML definitions of this document provides the schema for the configuration content operated on by the interface IRP. Such configuration content can be name of managed object and, if applicable, IOC attributes.

#### B.1.1 Syntax for Distinguished Names

The syntax of a Distinguished Name is defined in 3GPP TS 32.300 [12].

## B.2 Mapping

#### B.2.1 General mapping

An IOC maps to an XML element of the same name as the IOC's name in the IS. An IOC attribute maps to a subelement of the corresponding IOC's XML element, and the name of this sub-element is the same as the attribute's name in the IS.

#### B.2.2 Information Object Class (IOC) mapping

The overall description of the file format of configuration data XML files is provided by 3GPP TS 32.616 [5].

Annex A of the present document defines the NRM-specific XML schema sonPolicyNrm.xsd for the SON Policy NRM IRP IS defined in 3GPP TS 32.522 [4].

XML schema sonPolicyNrm.xsd explicitly declares NRM-specific XML element types for the related NRM.

The definition of those NRM-specific XML element types complies with the generic mapping rules defined in 3GPP TS 32.616 [5].

#### B.3 Solution Set definitions

#### B.3.1 XML definition structure

Clause B.3.2 provides XML definitions of SON Policy NRM IRP IOCs as defined in 3GPP TS 32.522 [4].

#### B.3.2 XML Schema "sonPolicyNrm.xsd"

The following XML schema sonPolicyNrm.xsd is the NRM-specific schema for the SON Policy Network Resource Model IRP NRM defined in 3GPP TS 32.522 [4]:

```
<?xml version="1.0" encoding="UTF-8"?>
 3GPP TS 32.526 SON Policy Network Resource Model IRP
 XML schema definition
 sonPolicyNrm.xsd
<schema
 targetNamespace="http://www.3gpp.org/ftp/specs/archive/32_series/32.526#sonPolicyNrm"
 elementFormDefault="qualified"
 attributeFormDefault="unqualified"
 xmlns="http://www.w3.org/2001/XMLSchema"
 xmlns:sp="http://www.3gpp.org/ftp/specs/archive/32_series/32.526#sonPolicyNrm"
 <import namespace="http://www.3gpp.org/ftp/specs/archive/32_series/32.626#genericNrm"/>
 <!--SON Policy NRM IRP IS class associated XML elements -->
 <!-- CAC Range: 0~10000 -->
 <simpleType name="cacRange">
   <restriction base="unsignedShort">
       <maxInclusive value="10000"/>
   </restriction>
 </simpleType>
 <!-- Relative Cell Load Range: 0~10000 -->
 <simpleType name="relativeCellLoadRange">
   <restriction base="unsignedShort">
       <maxInclusive value="10000"/>
   </restriction>
 </simpleType>
 <!--time duration Range: 0-900 -->
 <simpleType name="timeDurationRange">
   <restriction base="unsignedShort">
       <maxInclusive value="900"/>
   </restriction>
 </simpleType>
 <!-- Rate: representing a percentage -->
 <simpleType name="rateRange">
   <restriction base="unsignedShort">
     <maxInclusive value="100"/>
   </restriction>
 </simpleType>
 <!-- RACH Optimization Probability -->
 <simpleType name="ROProbability">
   <restriction base="unsignedShort">
     <enumeration value="25"/>
     <enumeration value="50"/>
     <enumeration value="75"/>
     <enumeration value="90"/>
   </restriction>
 </simpleType>
 <simpleType name="WeekDay">
    <restriction base="string">
     <enumeration value="Monday"/>
     <enumeration value="Tuesday"/>
     <enumeration value="Wednesday"/>
```

```
<enumeration value="Thursday"/>
      <enumeration value="Friday"/>
      <enumeration value="Saturday"/>
      <enumeration value="Sunday"/>
   </restriction>
   </simpleType>
   <complexType name="WeekDays">
      <sequence>
      <element name="day" type="sp:WeekDay" maxOccurs="7"/>
      </sequence>
   </complexType>
  <!-- Weight: 1~N. Higher the number, higher the weight -->
  <complexType name="LBOTarget">
    <sequence>
      <element name="lowerEndOfCacRange" type="sp:cacRange" minOccurs="0"/>
<element name="upperEndOfCacRange" type="sp:cacRange" minOccurs="0"/>
      <element name="Rate" type="sp:rateRange" minOccurs="0"/>
      <element name="Weight" type="unsignedShort" minOccurs="0"/>
    </sequence>
  </complexType>
   <complexType name="LBOLinkTarget">
   <sequence>
    <element name="UplinkTarget" type="sp:LBOTarget" minOccurs="0"/>
    <element name="DownlinkTarget" type="sp:LBOTarget" minOccurs="0"/>
   </sequence>
   </complexType>
  <complexType name="HooTarget">
    <sequence>
      <element name="Rate" type="sp:rateRange" minOccurs="0"/>
      <element name="Weight" type="unsignedShort" minOccurs="0"/>
    </sequence>
  </complexType>
  <complexType name="CellLoadParameters">
    <sequence>
      <element name="LoadThreshold" type="sp:rateRange" minOccurs="0"/>
      <element name="TimeDuration" type="unsignedShort" minOccurs="0"/>
    </sequence>
  </complexType>
  <complexType name="RelativeCellLoadParameters">
    <sequence>
      <element name="LoadThreshold" type="sp:relativeCellLoadRange"/>
      <element name="TimeDuration" type="sp:timeDurationRange"/>
    </sequence>
  </complexType>
<!-- Time shall be specified in UTC format -->
 <complexType name="DailyPeriod">
      <sequence>
       <element name="StartTime" type="time"/>
       <element name="EndTime" type="time"/>
      </sequence>
   </complexType>
 <complexType name="TimePeriod">
   <sequence>
      <element name="Day" type="sp:WeekDays"/>
      <element name="PeriodOfDay" type="sp:DailyPeriod"/>
   </sequence>
   </complexType>
<!--Time period type in which energy saving is not allowed-->
 <complexType name="ESNotAllowedTimePeriod">
    <sequence>
       <element name="TimePeriodList" type="sp:TimePeriod"/>
    </sequence>
  </complexType>
 <simpleType name="AccessDelayRange">
```

```
<restriction base="unsignedShort">
      <minInclusive value="10"/>
      <maxInclusive value="560"/>
    </restriction>
  </simpleType>
  <complexType name="AccessDelayProbabilityROTarget">
    <sequence>
      <element name="Probability" type="sp:ROProbability"/>
      <element name="AccessDelay" type="sp:AccessDelayRange"/>
    </sequence>
  </complexType>
  <complexType name="AccessDelayProbabilityTargetSet">
    <sequence>
     <element name="AccessDelayProbabilityTarget" type="sp:AccessDelayProbabilityROTarget"</pre>
maxOccurs="4"/>
    </sequence>
  </complexType>
  <simpleType name="AccessNumberAttemptRange">
    <restriction base="unsignedShort">
      <minInclusive value="1"/>
      <maxInclusive value="200"/>
    </restriction>
  </simpleType>
  <complexType name="AccessProbabilityROTarget">
    <sequence>
     <element name="Probability" type="sp:ROProbability"/>
      <element name="AccessNumber" type="sp:AccessNumberAttemptRange"/>
    </sequence>
  </complexType>
  <complexType name="AccessProbabilityTargetSet">
    <sequence>
      <element name="AccessProbabilityTarget" type="sp:AccessProbabilityROTarget" maxOccurs="4"/>
    </sequence>
  </complexType>
  <complexType name="rachOptTarget">
    <choice maxOccurs="4">
      <element name="rachOptAccessProbability" type="sp:AccessProbabilityTargetSet"/>
      <element name="rachOptAccessDelayProbability" type="sp:AccessDelayProbabilityTargetSet"/>
    </choice>
  </complexType>
    <simpleType name="MaximumDeviationHoTriggerType">
       <restriction base="unsignedShort">
         <minInclusive value="1"/>
         <maxInclusive value="96"/>
       </restriction>
    </simpleType>
    <simpleType name="MinimumTimeBetweenHoTriggerChangeType">
       <restriction base="unsignedShort">
         <maxInclusive value="1440"/>
       </restriction>
    </simpleType>
  <simpleType name="energySavingStateEnumType">
    <restriction base="string">
      <enumeration value="isEnergySaving"/>
      <enumeration value="isNotEnergySaving"/>
    </restriction>
  </simpleType>
  <simpleType name="energySavingControlEnumType">
    <restriction base="string">
      <enumeration value="toBeEnergySaving"/>
      <enumeration value="toBeNotEnergySaving"/>
    </restriction>
  </simpleType>
  <simpleType name="SonFuncNameType">
    <restriction base="string">
```

```
<enumeration value="anr"/>
      <enumeration value="hoo"/>
      <enumeration value="lbo"/>
      <enumeration value="es"/>
      <enumeration value="coc"/>
      <enumeration value="cco"/>
    </restriction>
  </simpleType>
  <simpleType name="SonCoordPoliciesType">
    <restriction base="string">
      <enumeration value="baseOnPriority"/>
      <enumeration value="baseOnState"/>
    </restriction>
  </simpleType>
  <complexType name="SonFuncNameListType">
    <sequence>
      <element name="SonFuncName" type="sp:SonFuncNameType" maxOccurs="unbounded"/>
    </sequence>
  </complexType>
  <!-- At most one instance under SubNetwork instance -->
  <element name="SONTargets" substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
             <element name="attributes" minOccurs="0">
               <complexType>
                 <all>
                   <element name="hoFailureRate" type="sp:HooTarget" minOccurs="0"/>
                   <element name="rrcConnectionEstablishmentFailureRate" type="sp:LBOLinkTarget"</pre>
minOccurs="0"/>
                   <element name="rrcConnectionAbnormalReleaseRate" type="sp:LBOLinkTarget"</pre>
minOccurs="0"/>
                   <element name="eRabSetupFailureRate" type="sp:LBOLinkTarget" minOccurs="0"/>
                   <element name="eRabAbnormalReleaseRate" type="sp:LBOLinkTarget" minOccurs="0"/>
                   <element name="rachOpt" type="sp:rachOptTarget" minOccurs="0"/>
                 </all>
               </complexType>
             </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <!-- At most one instance under SubNetwork instance -->
  <element name="SONControl" substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
             <element name="attributes" minOccurs="0">
               <complexType>
                   <!--Switch:ON/OFF-->
                   <element name="hooSwitch" type="boolean" minOccurs="0"/>
<element name="lboSwitch" type="boolean" minOccurs="0"/>
                   <element name="cocSwitch" type="boolean" minOccurs="0"/>
                   <element name="esSwitch" type="boolean" minOccurs="0"/>
<element name="roSwitch" type="boolean" minOccurs="0"/>
                 </all>
               </complexType>
             </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <!-- At most one instance under SubNetwork instance -->
  <element name="ESPolicies" substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
```

```
<extension base="xn:NrmClass">
          <sequence>
            <element name="attributes" minOccurs="0">
              <complexType>
                <all>
                  <element name="esActivationOriginalCellLoadParameters"</pre>
type="sp:CellLoadParameters" minOccurs="0"/>
                  <element name="esActivationCandidateCellsLoadParameters"</pre>
type="sp:CellLoadParameters" minOccurs="0"/>
                  <element name="esDeactivationCandidateCellsLoadParameters"</pre>
type="sp:CellLoadParameters" minOccurs="0"/>
              <element name="esNotAllowedTimePeriod" type="sp:ESNotAllowedTimePeriod"/>
                </all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
 <!-- At most one instance under SubNetwork instance -->
  <element name="InterRatEsPolicies" substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
            <element name="attributes">
              <complexType>
                <all>
                  <element name="interRatEsActivationOriginalCellParameters"</pre>
type="sp:RelativeCellLoadParameters" minOccurs="0"/>
                  <element name="interRatEsActivationCandidateCellParameters"</pre>
type="sp:RelativeCellLoadParameters" minOccurs="0"/>
                  <element name="interRatEsDeactivationCandidateCellParameters"</pre>
type="sp:RelativeCellLoadParameters" minOccurs="0"/>
                </all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <element name="EUtranCellSON">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
            <element name="attributes">
              <complexType>
                <all>
                   <element name="maximumDeviationHoTrigger"</pre>
                                 type="sp:MaximumDeviationHoTriggerType" minOccurs="0"/>
                  <element name="minimumTimeBetweenHoTriggerChange"</pre>
                                  type="sp:MinimumTimeBetweenHoTriggerChangeType" minOccurs="0"/>
                </all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <element name="EnergySavingProperties">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
            <element name="attributes" minOccurs="0">
              <complexType>
                <all>
                   <element name="energySavingState" type="sp:energySavingStateEnumType"/>
                  <element name="energySavingControl" type="sp:energySavingControlEnumType"</pre>
                                minOccurs="0"/>
                  <element name="isProbingCapable" type="boolean" minOccurs="0"/>
```

```
</all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <!-- At most one instance under SubNetwork instance -->
  <element name="SONFuncInfo" substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
            <element name="attributes" minOccurs="0">
              <complexType>
                <all>
                  <element name="sonFuncCapabilityBelowItfN" type="sp:SonFuncNameListType"/>
                </all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
  <!-- At most one instance under SubNetwork instance -->
  <element name="SONCoordinationPolicies"</pre>
substitutionGroup="xn:SubNetworkOptionallyContainedNrmClass">
    <complexType>
      <complexContent>
        <extension base="xn:NrmClass">
          <sequence>
            <element name="attributes" minOccurs="0">
              <complexType>
                <all>
                  <element name="selectedSonCoordPolicy" type="sp:SonCoordPoliciesType"</pre>
minOccurs="0"/>
                  <element name="sonFuncPriorityOrder" type="sp:SonFuncNameListType" minOccurs="0"/>
                </all>
              </complexType>
            </element>
          </sequence>
        </extension>
      </complexContent>
    </complexType>
  </element>
</schema>
```

# Annex C (informative): Change history

	Change history							
Date	TSG#	TSG Doc.	CR	Rev	Subject/Comment	Cat	Old	New
2010-12	SP-50	SP-100767			Submitted to SA#50 for Information and Approval		0.0.1	1.0.0
2011-01					Publication of SA approved version		1.0.0	10.0.0
2011-03	SP-51				Correcting the support qualifiers of SONControl attributes - Align with		10.0.0	10.1.0
		SP-110095	001	-	32.522 SON NRM IRP Information Service	F		
2011-03	SP-51				Network Resource Model (NRM) for Energy Saving Management (ESM)		10.0.0	10.1.0
					Policies and Switch - Align with 32.522 SON NRM IRP Information			
		SP-110100			Service	F		
2011-03		SP-110098	003	2	Introducing RACH optimization management - Align with updated 32.522	В		10.1.0
2011-03	SP-51				Add a new attribute into SONControl object class to switch on/off Cell		10.0.0	10.1.0
		SP-110097		-	Outage Compensation	В		
2011-06		SP-110284		1	Finalization of Rel-10 SON functionalities to align with IS	В		10.2.0
2011-06		SP-110284		-	Corrections on solution sets definitions	F		10.2.0
2011-06		SP-110284		2	Add parameters to control Mobility Robustness Optimization	В		10.2.0
2011-12	SP-54	SP-110721	011	1	Correction MRO management of HO Trigger	Α		11.0.0
2012-06	SP-56	SP-120371	016	3	Add time period configuration to allow inter-RAT energy saving – Align with 32.522	В	11.0.0	11.1.0
2012-09	SP-57	SP-120645	019	1	Remove Inter-RAT ES restriction for esAllowedTimePeriod (align with 32.522)	С	11.1.0	11.2.0
2012-09	SP-57	SP-120573	023	-	Add support for Inter-RAT Energy Saving Management	В	11.1.0	11.2.0
2012-12	SP-58	SP-120800	026	2	Add load threshold parameters to inter-RAT energy saving policy -Align with 32.522	В	11.2.0	11.3.0
		SP-120783	028	2	Addition of information on probing to Energy Saving	В		
2042.02	SP-59	SP-130056	024	2	SON Coordination NRMs	В	44.0.0	11.4.0
2013-03	SP-59	SP-130048	029	-	Corrections of XML schema compilation errors	F	11.3.0	11.4.0
2013-06	SP-60	SP-130304	030	1	Correction on XML schema compile error	F	11.4.0	11.5.0
2013-09	SP-61	SP-130440	032	1	Add missing Object class id for SONPolicy IOCs	Α		
		SP-130433	033	-	Add missing XML elements for SON coordination		11.5.0	11.6.0
		SP-130433	035	-	Add missing XML element for energy saving containment	F	1	
2013-12	SP-62	SP-130613	037	1	Add missing XML elements for SONControl and SONTargets	Α	11.6.0	11.7.0

# History

	Document history				
V11.2.0	September 2012	Publication			
V11.3.0	February 2013	Publication			
V11.4.0	April 2013	Publication			
V11.5.0	July 2013	Publication			
V11.6.0	October 2013	Publication			
V11.7.0	January 2014	Publication			