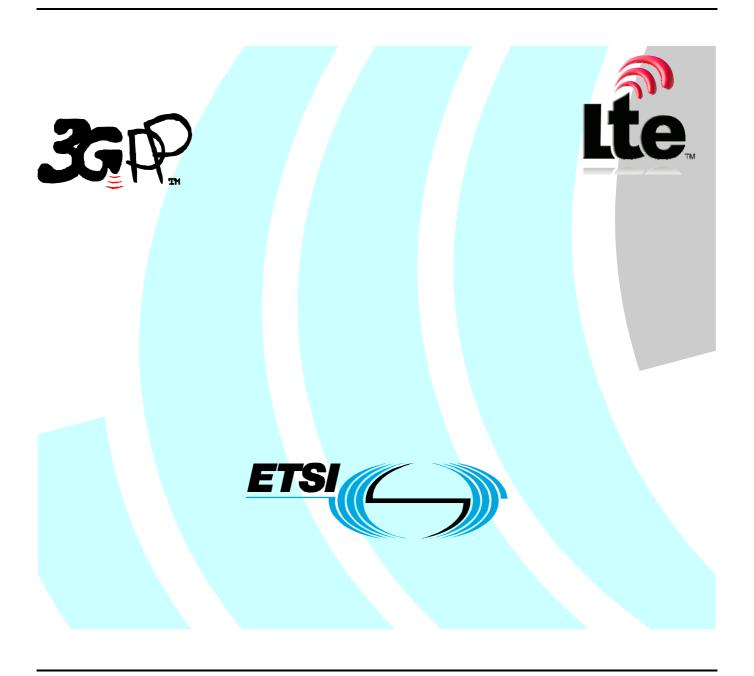
# ETSITS 136 455 V9.0.0 (2010-02)

Technical Specification

LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); LTE Positioning Protocol A (LPPa) (3GPP TS 36.455 version 9.0.0 Release 9)



Reference
DTS/TSGR-0336455v900

Keywords
LTE

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

### Important notice

Individual copies of the present document can be downloaded from: <u>http://www.etsi.org</u>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a></a>

## **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2010.
All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup>, **TIPHON**<sup>TM</sup>, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP**<sup>™</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **LTE**<sup>™</sup> is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners. **GSM**® and the GSM logo are Trade Marks registered and owned by the GSM Association.

# Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

## **Foreword**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

# Contents

Intelle	ectual Property Rights	2
Forew	vord	2
Forew	vord	5
1	Scope	6
2	References	6
3	Definitions, symbols and abbreviations	
3.1	Definitions	
3.2	Symbols	
3.3	Abbreviations	
4	General	7
4.1	Procedure specification principles	
4.2	Forwards and backwards compatibility	
4.3	Specification notations	
5	*	
5 5.1	LPPa services	
5.2	Parallel transactions	
6	Services expected from lower layer	8
7	Functions of LPPa	8
8	LPPa procedures	q
8.1	Elementary procedures	
8.2	Location Information Transfer Procedures.	
8.2.1	E-CID Measurement Initiation	
8.2.1.1		
8.2.1.2		
8.2.1.3	1	
8.2.1.4	1	
8.2.1.4	E-CID Measurement Failure Indication.	
8.2.2.1		
8.2.2.2		
8.2.2.3	1	
8.2.2.4		
8.2.3	E-CID Measurement Report	
8.2.3.1		
8.2.3.2		
8.2.3.3		
8.2.3.4	±	
8.2.3.4	E-CID Measurement Termination	
8.2.4.1		
8.2.4.2		
8.2.4.3		
8.2.4.4		
8.2.5	OTDOA Information Exchange	
8.2.5.1		
8.2.5.2		
8.2.5.3	*	
8.2.5.4	1	
8.3	Management Procedures	
8.3.1	Error Indication	
8.3.1.1		
8.3.1.2		
8.3.1.3	1	

9	Elements for LPPa Communication	14
9.0	General	14
9.1	Message Functional Definition and Content	
9.1.1	Messages for Location Information Transfer Procedures	14
9.1.1.	1 E-CID MEASUREMENT INITIATION REQUEST	14
9.1.1.2	2 E-CID MEASUREMENT INITIATION RESPONSE	15
9.1.1.3	3 E-CID MEASUREMENT INITIATION FAILURE	15
9.1.1.4	4 E-CID MEASUREMENT FAILURE INDICATION	15
9.1.1.5		
9.1.1.6	6 E-CID MEASUREMENT TERMINATION COMMAND	16
9.1.1.7	7 OTDOA INFORMATION REQUEST	16
9.1.1.8	8 OTDOA INFORMATION RESPONSE	16
9.1.1.9	9 OTDOA INFORMATION FAILURE	17
9.1.2	Messages for Management Procedures	17
9.1.2.	1 ERROR INDICATION	17
9.2	Information Element definitions	
9.2.0	General	
9.2.1	Cause	
9.2.2	Criticality Diagnostics	
9.2.3	Message Type	
9.2.4	LPPa Transaction ID	
9.2.5	E-CID Measurement Result	
9.2.6	ECGI	
9.2.7	Cell OTDOA Information	
9.3	Message and Information Element Abstract Syntax (with ASN.1)	
9.3.1	General	
9.3.2	Usage of Private Message Mechanism for Non-standard Use	
9.3.3	Elementary Procedure Definitions	
9.3.4	PDU Definitions	
9.3.5	Information Element definitions	
9.3.6	Common definitions	
9.3.7	Constant definitions	
9.3.8	Container definitions	
9.4	Message transfer syntax	
9.5	Timers	
10	Handling of unknown, unforeseen and erroneous protocol data	46
Anne	ex A (informative): Change History	47
Histor	rv	48

## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

#### where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document specifies the control plane radio network layer signalling procedures between eNB and E-SMLC. LPPa supports the concerned functions by signalling procedures defined in this document. LPPa is developed to accordance to the general principles stated in [2].

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Architecture Description".
- [3] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".
- [4] ITU-T Recommendation X.691 (07/2002): "Information technology ASN.1 encoding rules Specification of Packed Encoding Rules (PER) ".
- [5] 3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Base Station (BS) radio transmission and reception".
- [6] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Physical Channels and Modulation".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in [1].

**Elementary Procedure:** LPPa protocol consists of Elementary Procedures (EPs). A LPPa Elementary Procedure is a unit of interaction between the eNB and the E-SMLC. An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- Class 1: Elementary Procedures with response (success or failure),
- Class 2: Elementary Procedures without response.

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in [1].

CID Cell-ID (positioning method)

DL Downlink

E-CID Enhanced Cell-ID (positioning method)

eNB E-UTRAN NodeB
EP Elementary Procedure
EPC Evolved Packet Core

E-SMLC Evolved Serving Mobile Location Centre

E-UTRAN Evolved UTRAN
IE Information Element
LCS LoCation Services
LPP LTE Positioning Protocol
LPPa LTE Positioning Protocol Annex
MME Mobility Management Entity

NW Network

S1AP S1 Application Protocol

UE User Equipment

UL Uplink

# 4 General

# 4.1 Procedure specification principles

The principle for specifying the procedure logic is to specify the functional behaviour of the terminating eNB exactly and completely. Any rule that specifies the behaviour of the originating eNB shall be possible to be verified with information that is visible within the system.

The following specification principles have been applied for the procedure text in clause 8:

- The procedure text discriminates between:
  - 1) Functionality which "shall" be executed

The procedure text indicates that the receiving node "shall" perform a certain function Y under a certain condition. If the receiving node supports procedure X but cannot perform functionality Y requested in the initiating message of a Class 1 EP, the receiving node shall respond with the message used to report unsuccessful outcome for this procedure, containing an appropriate cause value.

2) Functionality which "shall, if supported" be executed

The procedure text indicates that the receiving node "shall, if supported," perform a certain function Y under a certain condition. If the receiving node supports procedure X, but does not support functionality Y, the receiving node shall proceed with the execution of the EP, possibly informing the requesting node about the not supported functionality.

- Any required inclusion of an optional IE in a response message is explicitly indicated in the procedure text. If the procedure text does not explicitly indicate that an optional IE shall be included in a response message, the optional IE shall not be included. For requirements on including *Criticality Diagnostics* IE, see section 10.

## 4.2 Forwards and backwards compatibility

The forwards and backwards compatibility of the protocol is assured by a mechanism where all current and future messages, and IEs or groups of related IEs, include ID and criticality fields that are coded in a standard format that will not be changed in the future. These parts can always be decoded regardless of the standard version.

## 4.3 Specification notations

For the purposes of the present document, the following notations apply:

Procedure When referring to an elementary procedure in the specification the Procedure Name is written with

the first letters in each word in upper case characters followed by the word "procedure", e.g.

Handover Preparation procedure.

Message When referring to a message in the specification the MESSAGE NAME is written with all letters

in upper case characters followed by the word "message", e.g. ERROR INDICATION message.

IE When referring to an information element (IE) in the specification the *Information Element Name* 

is written with the first letters in each word in upper case characters and all letters in Italic font

followed by the abbreviation "IE", e.g. Cause IE.

Value of an IE When referring to the value of an information element (IE) in the specification the "Value" is

written as it is specified in sub clause 9.2 enclosed by quotation marks, e.g. "Value".

## 5 LPPa services

The present clause describes the services an eNB offers to the E-SMLC.

# 5.1 LPPa procedure modules

The procedures are divided into two modules as follows:

- 1. LPPa Location Information Transfer Procedures;
- 2. LPPa Management Procedures;

The LPPa Location Information Transfer Procedures module contains procedures used to handle the transfer of positioning related information between eNB and E-SMLC.

The Management Procedures module contains procedures that are not related specifically to LCS, i.e. error handling.

## 5.2 Parallel transactions

Unless explicitly indicated in the procedure specification, at any instance in time one protocol peer may have more than one ongoing LPPa procedure.

# 6 Services expected from lower layer

Within E-UTRAN, LPPa is carried by the S1AP protocol.

S1AP signalling is described in [3].

# 7 Functions of LPPa

The LPPa protocol provides the following functions:

- E-CID Location Information Transfer. This function allows the eNB to exchange location information with the E-SMLC for the purpose of E-CID positioning.
- OTDOA Information Transfer. This function allows the eNB to exchange information with the E-SMLC for the purpose of OTDOA positioning.
- Reporting of General Error Situations. This function allows reporting of general error situations, for which function specific error messages have not been defined.

The mapping between the above functions and LPPa EPs is shown in the table below.

Table 7-1: Mapping between LPPa functions and LPPa EPs

Function	Elementary Procedure(s)
OTDOA Information Transfer	OTDOA Information Exchange
E-CID Location Information Transfer	a) E-CID Measurement Initiation
	b) E-CID Measurement Failure Indication
	c) E-CID Measurement Report
	d) E-CID Measurement Termination
Reporting of General Error Situations	Error Indication

# 8 LPPa procedures

# 8.1 Elementary procedures

In the following tables, all EPs are divided into Class 1 and Class 2 EPs.

**Table 8.1-1: Class 1 Elementary Procedures** 

Elementary	Initiating Message	Successful Outcome	Unsuccessful Outcome
Procedure		Response message	Response message
OTDOA	OTDOA	OTDOA	OTDOA INFORMATION
Information	INFORMATION	INFORMATION	FAILURE
Exchange	REQUEST	RESPONSE	
E-CID	E-CID	E-CID	E-CID MEASUREMENT
Measurement	MEASUREMENT	MEASUREMENT	INITIATION FAILURE
Initiation	INITIATION REQUEST	INITIATION	
		RESPONSE	

**Table 8.1-2: Class 2 Elementary Procedures** 

Elementary Procedure	Initiating Message
E-CID Measurement Failure	E-CID MEASUREMENT FAILURE
Indication	INDICATION
E-CID Measurement Report	E-CID MEASUREMENT REPORT
E-CID Measurement Termination	E-CID MEASUREMENT
	TERMINATION COMMAND
Error Indication	ERROR INDICATION

# 8.2 Location Information Transfer Procedures

## 8.2.1 E-CID Measurement Initiation

#### 8.2.1.1 General

The purpose of E-CID Measurement Control procedure is to allow the E-SMLC to request the eNB to report E-CID measurements used by E-SMLC to compute or assist computing of the location of the UE.

## 8.2.1.2 Successful Operation

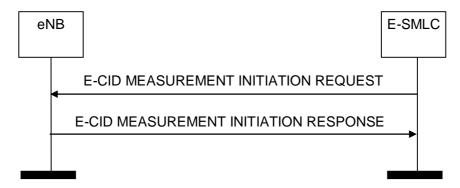


Figure 8.2.1.2-1: E-CID Measurement Initiation procedure. Successful operation.

The E-SMLC initiates the procedure by sending an E-CID MEASUREMENT INITIATION REQUEST message. If the eNB is able to initiate requested measurement, it shall reply with the E-CID MEASUREMENT INITIATION RESPONSE message.

If the *Report Characteristics* IE is set to "On Demand", the eNB shall return the result of the measurement in the E-CID MEASUREMENT INITIATION RESPONSE message, and the E-SMLC shall consider that the E-CID measurement for the UE has been terminated by the eNB.

If the *Report Characteristics* IE is set to "Periodic", the eNB shall periodically initiate the E-CID Measurement Report procedure for this measurement, with the requested reporting periodicity.

## 8.2.1.3 Unsuccessful Operation

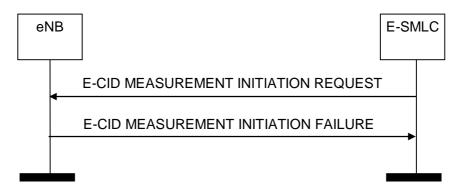


Figure 8.2.1.3-1: E-CID Measurement Initiation procedure. Successful operation.

If the eNB is not able to initiate the requested E-CID measurement, the eNB shall respond with an E-CID MEASUREMENT INITIATION FAILURE message.

#### 8.2.1.4 Abnormal Conditions

Void

#### 8.2.2 E-CID Measurement Failure Indication

#### 8.2.2.1 General

This procedure is used by the eNB to notify the E-SMLC that a measurement previously requested with the E-CID Measurement Initiation procedure can no longer be reported.

## 8.2.2.2 Successful Operation

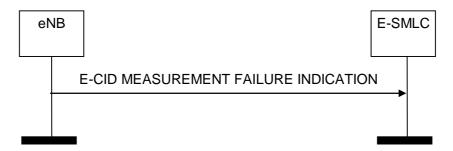


Figure 8.2.2.2-1: E-CID Measurement Failure Indication.

Upon reception of the E-CID MEASUREMENT FAILURE INDICATION message, the E-SMLC shall consider that the E-CID measurement for the UE has been terminated by the eNB.

## 8.2.2.3 Unsuccessful Operation

Not applicable.

#### 8.2.2.4 Abnormal Conditions

Void.

## 8.2.3 E-CID Measurement Report

#### 8.2.3.1 General

The purpose of E-CID Measurement Report procedure is for the eNB to provide the E-CID measurements for the UE to the E-SMLC.

## 8.2.3.2 Successful Operation



Figure 8.2.3.2-1: E-CID Measurement Reporting procedure.

The eNB initiates the procedure by sending an E-CID MEASUREMENT REPORT message. The E-CID MEASUREMENT REPORT message contains the E-CID measurement results according to the measurement configuration in the respective E-CID MEASUREMENT INITIATION REQUEST message.

## 8.2.3.3 Unsuccessful Operation

Not applicable.

#### 8.2.3.4 Abnormal Conditions

Void.

#### 8.2.4 E-CID Measurement Termination

#### 8.2.4.1 General

The purpose of E-CID Measurement Termination procedure is to terminate periodical E-CID measurements for the UE performed by the eNB.

## 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: E-CID Measurement Reporting Control procedure.

The E-SMLC initiates the procedure by generating an E-CID MEASUREMENT TERMINATION COMMAND message.

## 8.2.4.3 Unsuccessful Operation

Not applicable.

#### 8.2.4.4 Abnormal Conditions

Void.

## 8.2.5 OTDOA Information Exchange

#### 8.2.5.1 General

The purpose of OTDOA Information Exchange procedure is to allow the E-SMLC to request the eNB to transfer OTDOA information to the E-SMLC.

## 8.2.5.2 Successful Operation

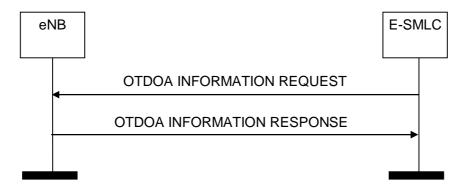


Figure 8.2.5.2-1: OTDOA Information Exchange procedure. Successful operation.

The E-SMLC initiates the procedure by sending an OTDOA INFORMATION REQUEST message. The eNB responds with OTDOA INFORMATION RESPONSE message that contains OTDOA information related to the relevant cells.

## 8.2.5.3 Unsuccessful Operation

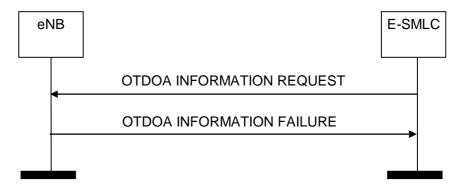


Figure 8.2.5.3-1: OTDOA Information Exchange procedure. Unsuccessful operation.

If the eNB does not have any OTDOA information to report, the eNB shall respond with an OTDOA INFORMATION FAILURE message.

### 8.2.5.4 Abnormal Conditions

Void.

# 8.3 Management Procedures

#### 8.3.1 Error Indication

#### 8.3.1.1 General

The Error Indication procedure is initiated by a node to report detected errors in one incoming message, provided they cannot be reported by an appropriate failure message.

## 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: Error Indication procedure, E-SMLC originated. Successful operation.



Figure 8.3.1.2-2: Error Indication procedure, eNB originated. Successful operation.

When the conditions defined in clause 10 are fulfilled, the Error Indication procedure is initiated by an ERROR INDICATION message sent from the receiving node.

The ERROR INDICATION message shall contain at least either the Cause IE or the Criticality Diagnostics IE.

#### 8.3.1.3 Abnormal Conditions

Not applicable.

# 9 Elements for LPPa Communication

## 9.0 General

Sub clauses 9.1 and 9.2 describe the structure of the messages and information elements required for the LPPa protocol in tabular format. Sub clause 9.3 provides the corresponding ASN.1 definition.

The following attributes are used for the tabular description of the messages and information elements: Presence, Range Criticality and Assigned Criticality. Their definition and use can be found in [3].

# 9.1 Message Functional Definition and Content

## 9.1.1 Messages for Location Information Transfer Procedures

## 9.1.1.1 E-CID MEASUREMENT INITIATION REQUEST

This message is sent by E-SMLC to eNB to initiate and report E-CID measurements.

Direction: E-SMLC  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics descriptio n	Criticalit y	Assigne d Criticalit y
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	М		9.2.4		-	
E-SMLC Measurement ID			INTEGER(115,)		YES	reject
Report Characteristics	М		ENUMERATED(OnDemand, Periodic,)		YES	reject
Measurement Periodicity	C- ifReportCharacteristics Periodic		ENUMERATED(120ms, 240ms, 480ms, 640ms, 1024ms, 2048ms, 5120ms, 10240ms, 1min, 6min, 12min, 30min, 60min,)		YES	reject
Measured Quantities		1 to <maxno Meas&gt;</maxno 			EACH	reject
> Measured Quantities Item	М		ENUMERATED (Cell-ID, Angle of Arrival, Timing Advance Type 1, Timing Advance Type 2, RSRP, RSRO)		-	-

Range bound	Explanation
maxnoofMeas	Maximum no. of measured quantities that can be configured and
	reported with one message. Value is 63.

Condition	Explanation
ifReportCharacteristicsPeriodic	This IE shall be present if the Report Characteristics IE is set to the
	value 'Periodic'.

## 9.1.1.2 E-CID MEASUREMENT INITIATION RESPONSE

This message is sent by eNB to indicate that the requested E-CID measurement is successfully initiated.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	Reject
LPPa Transaction ID	M		9.2.4		-	
E-SMLC UE Measurement ID	М		INTEGER(115,		YES	Reject
eNB UE Measurement ID	М		INTEGER(115,		YES	Reject
E-CID Measurement Result	0		9.2.5		YES	Ignore
Criticality Diagnostics	0		9.2.2		YES	Ignore

#### 9.1.1.3 E-CID MEASUREMENT INITIATION FAILURE

This message is sent by eNB to indicate that the requested E-CID measurement cannot be initiated.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	Reject
LPPa Transaction ID	M		9.2.4		-	
E-SMLC UE Measurement	M		INTEGER(115,		YES	reject
ID			)			
Cause	M		9.2.1		YES	ignore
Criticality Diagnostics	0		9.2.2		YES	ignore

## 9.1.1.4 E-CID MEASUREMENT FAILURE INDICATION

This message is sent by eNB to indicate that the measurement previously requested E-CID measurement can no longer be reported.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M	•	9.2.4		-	
E-SMLC UE Measurement ID	M		INTEGER(115,		YES	reject
eNB UE Measurement ID	M		INTEGER(115,		YES	reject
Cause	M		9.2.1		YES	ignore
Criticality Diagnostics	0		9.2.2		YES	ignore

## 9.1.1.5 E-CID MEASUREMENT REPORT

This message is sent by eNB to report the results of the requested E-CID measurement.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M		9.2.4		-	
E-SMLC UE Measurement ID	М		INTEGER(115,		YES	reject
eNB UE Measurement ID	М		INTEGER(115,		YES	reject
E-CID Measurement Result	M		9.2.5		YES	ignore

## 9.1.1.6 E-CID MEASUREMENT TERMINATION COMMAND

This message is sent by eNB to report the results of the requested E-CID measurement.

Direction: E-SMLC  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M		9.2.4		-	
E-SMLC UE Measurement ID	М		INTEGER(115,		YES	reject
eNB UE Measurement ID	М		INTEGER(115,		YES	reject

## 9.1.1.7 OTDOA INFORMATION REQUEST

This message is sent by E-SMLC to request OTDOA information.

Direction: E-SMLC  $\rightarrow$  eNB.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M		9.2.4		-	
OTDOA Information Type		1 to <maxnootd OAtypes&gt;</maxnootd 			EACH	reject
> OTDOA Information Item	M		ENUMERATED (pci, cellid, tac, earfcn, prsBandwidth, prsConfigIndex, cpLength, noDIFrames, noAntennaPorts, sFNInitTime,)			

Range bound	Explanation			
maxnoOTDOAtypes	Maximum no. of OTDOA information types that can be requested			
	and reported with one message. Value is 63.			

## 9.1.1.8 OTDOA INFORMATION RESPONSE

This message is sent by eNB to provide OTDOA information.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M		9.2.4		-	
OTDOA Cells		1 to maxCellineN B		Served cells that broadcast PRS	YES	ignore
> Cell OTDOA Information	M		9.2.7			
Criticality Diagnostics	0		9.2.2		YES	ignore

Range bound	Explanation			
maxCellineNB	Maximum no. cells that can be served by an eNB. Value is 256.			

#### 9.1.1.9 OTDOA INFORMATION FAILURE

This message is sent by eNB to indicate that the OTDOA information can not be provided.

Direction: eNB  $\rightarrow$  E-SMLC.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	reject
LPPa Transaction ID	M		9.2.4		-	
Cause	M		9.2.1		YES	ignore
Criticality Diagnostics	0		9.2.2		YES	ignore

## 9.1.2 Messages for Management Procedures

#### 9.1.2.1 ERROR INDICATION

This message is used to indicate that some error has been detected in the eNB or in the E-SMLC.

Direction: E-SMLC  $\rightarrow$  eNB and eNB  $\rightarrow$  E-SMLC

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	M		9.2.3		YES	ignore
LPPa Transaction ID	M		9.2.4		_	
Cause	0		9.2.1		YES	ignore
Criticality Diagnostics	0		9.2.2		YES	ignore

## 9.2 Information Element definitions

## 9.2.0 General

When specifying information elements which are to be represented by bit strings, if not otherwise specifically stated in the semantics description of the concerned IE or elsewhere, the following principle applies with regards to the ordering of bits:

- The first bit (leftmost bit) contains the most significant bit (MSB);
- The last bit (rightmost bit) contains the least significant bit (LSB);
- When importing bit strings from other specifications, the first bit of the bit string contains the first bit of the concerned information.

## 9.2.1 Cause

The purpose of the cause information element is to indicate the reason for a particular event for the whole protocol.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE Cause Group	M			
>Radio Network Layer				
>>Radio Network Layer Cause	М		ENUMERATED (Unspecified, 	
>Protocol				
>>Protocol Cause	M		ENUMERATED (Transfer Syntax Error, Abstract Syntax Error (Reject), Abstract Syntax Error (Ignore and Notify), Message not Compatible with Receiver State, Semantic Error, Unspecified, Abstract Syntax Error (Falsely Constructed Message),)	
>Misc				
>>Miscellaneous Cause	М		ENUMERATED (Unspecified,)	

The meaning of the different cause values is described in the following table. In general, "not supported" cause values indicate that the concerned capability is missing. On the other hand, "not available" cause values indicate that the concerned capability is present, but insufficient resources were available to perform the requested action.

Radio Network Layer cause	Meaning
Unspecified	Sent when none of the above cause values applies but still the cause is
	Radio Network Layer related

Protocol cause	Meaning
Abstract Syntax Error (Reject)	The received message included an abstract syntax error and the
	concerned criticality indicated "reject" (see sub clause 10.3)
Abstract Syntax Error (Ignore and	The received message included an abstract syntax error and the
Notify)	concerned criticality indicated "ignore and notify" (see sub clause 10.3)
Abstract syntax error (falsely	The received message contained IEs or IE groups in wrong order or with
constructed message)	too many occurrences (see sub clause 10.3)
Message not Compatible with	The received message was not compatible with the receiver state (see
Receiver State	sub clause 10.4)
Semantic Error	The received message included a semantic error (see sub clause 10.4)
Transfer Syntax Error	The received message included a transfer syntax error (see sub clause
	10.2)
Unspecified	Sent when none of the above cause values applies but still the cause is
	Protocol related

Miscellaneous cause	Meaning
Unspecified	Sent when none of the above cause values applies and the cause is not related to any of the categories Radio Network Layer, Transport Network Layer or Protocol.

# 9.2.2 Criticality Diagnostics

The *Criticality Diagnostics* IE is sent by the eNB or E-SMLC when parts of a received message have not been comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs were not comprehended or were missing.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
Triggering Message	0		ENUMERAT ED(initiating message, successful outcome, unsuccessful outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
Procedure Criticality	0		ENUMERAT ED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure).
LPPa Transaction ID	0		9.2.4	
Information Element Criticality Diagnostics		0 to <maxnroferrors></maxnroferrors>		
>IE Criticality	M		ENUMERAT ED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value "ignore" shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Type Of Error	M		ENUMERAT ED(not understood, missing,)	

Range bound	Explanation		
maxNrOfErrors	Maximum no. of IE errors allowed to be reported with a single		
	message. The value for maxnooferrors is 256.		

# 9.2.3 Message Type

The Message Type IE uniquely identifies the message being sent. It is mandatory for all messages.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Procedure Code	M		INTEGER (0255)	"0" = Error Indication "1" = Private Message "2" = E-CID Measurement Initiation "3" = E-CID Measurement Failure Indication "4" = E-CID Measurement Report "5" = E-CID Measurement Termination "6" = OTDOA Information Exchange
Type of Message	M		CHOICE (Initiating Message, Successful Outcome, Unsuccessful Outcome,)	

## 9.2.4 LPPa Transaction ID

The *LPPa Transaction ID* IE is used to associate all the messages belonging to the same procedure. Messages belonging to the same procedure shall use the same LPPa Transaction ID.

The LPPa Transaction ID is determined by the initiating peer of a procedure.

The LPPa Transaction ID shall uniquely identify a procedure among all ongoing parallel procedures using the same procedure code, and initiated by the same protocol peer.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
CHOICE LPPa Transaction ID Length				The LPPa Transaction ID shall be interpreted for its integer value, not for the type of encoding ("short' or "long').
>Short				
>>LPPa Transaction ID Value	M		INTEGER (0127)	
>Long				
>>LPPa Transaction ID Value	M		INTEGER (032767)	

## 9.2.5 E-CID Measurement Result

The purpose of the E-CID Measurement Result information element is to provide the E-CID measurement result.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
Serving Cell ID	M		ECGI	E-UTRAN Cell Identifier
			9.2.6	of the serving cell
Serving Cell TAC	M		OCTET STRING(2)	Tracking Area Code of the serving cell
Measured Results		0 to <maxnomeas></maxnomeas>		
> CHOICE Result Measurement Quantity	M			
>> Value Angle of Arrival	M		INTEGER	
>> Value Timing Advance Type 1	M		INTEGER	
>> Value Timing Advance Type 2	M		INTEGER	
>> Result RSRP		1 to maxCellReport		
>>> PCI	M		INTEGER (0503,)	Physical Cell Identifier of the reported cell
>>> ECGI	0		ECGI 9.2.6	E-UTRAN Cell Global Identifier of the reported
			0.2.0	cell
>>> Value RSRP	M		INTEGER(097,)	
>> Result RSRQ	M	1 to maxCellReport		
>>> PCI	М		INTEGER (0503,)	Physical Cell Identifier of the reported cell
>>> ECGI	0		ECGI 9.2.6	E-UTRAN Cell Global Identifier of the reported cell
>>> Value RSRQ	M		INTEGER(034,)	

Range bound	Explanation
maxnoMeas	Maximum no. of measured quantities that can be configured and reported with one message. Value is 63.
maxCellReport	Maximum no. of cells that can be reported with one message. Value is 8.

# 9.2.6 ECGI

The E-UTRAN Cell Global Identifier (ECGI) is used to globally identify a cell.

IE/Group Name	Presence	Range	IE Type and Reference	Semantics Description
PLMN identity	M		OCTET STRING (SIZE (3))	PLMN identity - digits 0 to 9, encoded 0000 to 1001, - 1111 used as filler digit, two digits per octet, - bits 4 to 1 of octet n encoding digit 2n-1 - bits 8 to 5 of octet n encoding digit 2n -The Selected PLMN identity consists of 3 digits from MCC followed by either -a filler digit plus 2 digits from MNC (in case of 2 digit MNC) or -3 digits from MNC (in case of a 3 digit MNC).
E-UTRAN Cell Identifier	М		BIT STRING (28)	

## 9.2.7 Cell OTDOA Information

This IE contains OTDOA information of a cell.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Reported OTDOA Information		1 to <maxnootdoat ypes&gt;</maxnootdoat 		•
> CHOICE OTDOA Information Item	М			
>>PCI	М		INTEGER (0503,)	Physical Cell ID
>>Cell ID	M		ECGI 9.2.6	
>>TAC	M		OCTET STRING(2)	Tracking Area Code
>>EARFCN	M		INTEGER (065535)	Corresponds to N <sub>DL</sub> for FDD and N <sub>DL/UL</sub> for TDD in ref. [5]
>>PRS Bandwidth	M		ENUMERAT ED (bw6, bw15, bw25, bw50, bw75, bw100,)	Transmissio n bandwidth of PRS
>>PRS Configuration Index	M		INTEGER (04095)	PRS Configuratio n Index, ref [6]
>>CP Length	М		ENUMERAT ED (Normal, Extended,)	Cyclic prefix length of the PRS
>>Number of DL Frames	М		ENUMERAT ED (sf1, sf2, sf4, sf6,)	Number of consecutive downlink subframes N <sub>PRS</sub> with PRS, ref [6]
>>Number of Antenna Ports	M		ENUMERAT ED(n1-or- n2, n4,)	Number of used antenna ports, where n1-or-n2 corresponds to 1 or 2 ports, n4 corresponds to 4 ports
>>SFN Initialisation Time	M		BIT STRING (64)	Time in seconds relative to 00:00:00 on 1 January 1900 where the integer part is in the first 32 bits and the fraction part in the last 32 bits

Range bound	Explanation
maxnoOTDOAtypes	Maximum no. of OTDOA information types that can be requested
	and reported with one message. Value is 63.

# 9.3 Message and Information Element Abstract Syntax (with ASN.1)

## 9.3.1 General

Sub clause 9.3 presents the Abstract Syntax of the LPPa protocol with ASN.1. In case there is contradiction between the ASN.1 definition in this sub clause and the tabular format in sub clause 9.1 and 9.2, the ASN.1 shall take precedence, except for the definition of conditions for the presence of conditional elements, in which the tabular format shall take precedence.

The ASN.1 definition specifies the structure and content of LPPa messages. LPPa messages can contain any IEs specified in the object set definitions for that message without the order or number of occurrence being restricted by ASN.1. However, for this version of the standard, a sending entity shall construct a LPPa message according to the PDU definitions module and with the following additional rules (Note that in the following IE means an IE in the object set with an explicit id. If one IE needed to appear more than once in one object set, then the different occurrences have different IE ids):

- IEs shall be ordered (in an IE container) in the order they appear in object set definitions.
- Object set definitions specify how many times IEs may appear. An IE shall appear exactly once if the presence field in an object has value "mandatory". An IE may appear at most once if the presence field in an object has value "optional" or "conditional". If in a tabular format there is multiplicity specified for an IE (i.e. an IE list) then in the corresponding ASN.1 definition the list definition is separated into two parts. The first part defines an IE container list in which the list elements reside. The second part defines list elements. The IE container list appears as an IE of its own. For this version of the standard an IE container list may contain only one kind of list elements.

If a LPPa message that is not constructed as defined above is received, this shall be considered as Abstract Syntax Error, and the message shall be handled as defined for Abstract Syntax Error in clause 10.

## 9.3.2 Usage of Private Message Mechanism for Non-standard Use

The private message mechanism for non-standard use may be used:

- for special operator (and/or vendor) specific features considered not to be part of the basic functionality, i.e. the functionality required for a complete and high-quality specification in order to guarantee multivendor inter-operability.
- by vendors for research purposes, e.g. to implement and evaluate new algorithms/features before such features are proposed for standardisation.

The private message mechanism shall not be used for basic functionality. Such functionality shall be standardised.

## 9.3.3 Elementary Procedure Definitions

```
__ ********************
-- Elementary Procedure definitions
__ ********************
LPPA-PDU-Descriptions {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) lppa (2) version1 (1) lppa-PDU-Descriptions (0) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
__ ********************
-- IE parameter types from other modules.
__ *******************
IMPORTS
   Criticality,
   ProcedureCode,
   LPPATransactionID
FROM LPPA-CommonDataTypes
   ErrorIndication,
   PrivateMessage,
   E-CIDMeasurementInitiationRequest,
   E-CIDMeasurementInitiationResponse,
   E-CIDMeasurementInitiationFailure,
   E-CIDMeasurementFailureIndication,
   E-CIDMeasurementReport,
   E-CIDMeasurementTerminationCommand,
   OTDOAInformationRequest,
   OTDOAInformationResponse,
   OTDOAInformationFailure
FROM LPPA-PDU-Contents
   id-errorIndication,
   id-privateMessage,
   id-e-CIDMeasurementInitiation,
   id-e-CIDMeasurementFailureIndication.
   id-e-CIDMeasurementReport,
   id-e-CIDMeasurementTermination,
   id-oTDOAInformationExchange
FROM LPPA-Constants;
```

```
__ *******************
  Interface Elementary Procedure Class
      LPPA-ELEMENTARY-PROCEDURE ::= CLASS {
   &InitiatingMessage
   &SuccessfulOutcome
                                OPTIONAL,
   &UnsuccessfulOutcome
                                    OPTIONAL,
   &procedureCode
                         ProcedureCode UNIQUE,
   &criticality
                         Criticality
                                       DEFAULT ignore
WITH SYNTAX {
   INITIATING MESSAGE
                         &InitiatingMessage
   [SUCCESSFUL OUTCOME
                         &SuccessfulOutcome]
                             &UnsuccessfulOutcome]
   [UNSUCCESSFUL OUTCOME
   PROCEDURE CODE
                         &procedureCode
                         &criticality]
   [CRITICALITY
    ***************
  Interface PDU Definition
     ----
LPPA-PDU ::= CHOICE {
   initiatingMessage
                     InitiatingMessage,
   successfulOutcome
                     SuccessfulOutcome,
   unsuccessfulOutcome UnsuccessfulOutcome,
InitiatingMessage ::= SEOUENCE
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}),
   procedureCode
                     LPPA-ELEMENTARY-PROCEDURE.&procedureCode
                                                                 ({LPPA-ELEMENTARY-PROCEDURES} {@procedureCode}),
   criticality
                     LPPA-ELEMENTARY-PROCEDURE.&criticality
   lppatransactionID
                     LPPATransactionID,
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}{@procedureCode})
   value
                     LPPA-ELEMENTARY-PROCEDURE.&InitiatingMessage
SuccessfulOutcome ::= SEOUENCE {
   procedureCode
                     LPPA-ELEMENTARY-PROCEDURE.&procedureCode
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}),
   criticality
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}{@procedureCode}),
                     LPPA-ELEMENTARY-PROCEDURE.&criticality
   lppatransactionID
                    LPPATransactionID.
                     LPPA-ELEMENTARY-PROCEDURE. & Successful Outcome
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}{@procedureCode})
   value
UnsuccessfulOutcome ::= SEQUENCE {
   procedureCode
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}),
                     LPPA-ELEMENTARY-PROCEDURE.&procedureCode
   criticality
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}{@procedureCode}),
                     LPPA-ELEMENTARY-PROCEDURE.&criticality
   lppatransactionID
                    LPPATransactionID,
   value
                     LPPA-ELEMENTARY-PROCEDURE. &UnsuccessfulOutcome
                                                                 ({LPPA-ELEMENTARY-PROCEDURES}{@procedureCode})
```

```
******************
-- Interface Elementary Procedure List
LPPA-ELEMENTARY-PROCEDURES LPPA-ELEMENTARY-PROCEDURE ::= {
   LPPA-ELEMENTARY-PROCEDURES-CLASS-1
   LPPA-ELEMENTARY-PROCEDURES-CLASS-2
LPPA-ELEMENTARY-PROCEDURES-CLASS-1 LPPA-ELEMENTARY-PROCEDURE ::=
   e-CIDMeasurementInitiation
   oTDOAInformationExchange
LPPA-ELEMENTARY-PROCEDURES-CLASS-2 LPPA-ELEMENTARY-PROCEDURE ::=
   e-CIDMeasurementFailureIndication
   e-CIDMeasurementReport
   e-CIDMeasurementTerminationCommand
   errorIndication
   privateMessage
  *****************
-- Interface Elementary Procedures
e-CIDMeasurementInitiation LPPA-ELEMENTARY-PROCEDURE ::= {
                          E-CIDMeasurementInitiationRequest
   INITIATING MESSAGE
   SUCCESSFUL OUTCOME
                          E-CIDMeasurementInitiationResponse
                         E-CIDMeasurementInitiationFailure
   UNSUCCESSFUL OUTCOME
                          id-e-CIDMeasurementInitiation
   PROCEDURE CODE
   CRITICALITY
                          reject
e-CIDMeasurementFailureIndication LPPA-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE
                          E-CIDMeasurementFailureIndication
   PROCEDURE CODE
                          id-e-CIDMeasurementFailureIndication
   CRITICALITY
                         ignore
e-CIDMeasurementReport LPPA-ELEMENTARY-PROCEDURE ::= {
   INITIATING MESSAGE
                          E-CIDMeasurementReport
   PROCEDURE CODE
                          id-e-CIDMeasurementReport
```

```
CRITICALITY
                            ignore
e-CIDMeasurementTermination LPPA-ELEMENTARY-PROCEDURE ::=
    INITIATING MESSAGE
                            E-CIDMeasurementTerminationCommand
                            id-e-CIDMeasurementTerminationCommand
    PROCEDURE CODE
    CRITICALITY
                            reject
oTDOAInformationExchange LPPA-ELEMENTARY-PROCEDURE ::= \{
    INITIATING MESSAGE
                            OTDOAInformationRequest
                            OTDOAInformationResponse
    SUCCESSFUL OUTCOME
                            OTDOAInformationFailure
    UNSUCCESSFUL OUTCOME
    PROCEDURE CODE
                            id-oTDOAInformationExchange
                            reject
    CRITICALITY
errorIndication LPPA-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            ErrorIndication
    PROCEDURE CODE
                            id-errorIndication
    CRITICALITY
                            ignore
privateMessage
                        LPPA-ELEMENTARY-PROCEDURE ::= {
    INITIATING MESSAGE
                            PrivateMessage
    PROCEDURE CODE
                            id-privateMessage
                            ignore
    CRITICALITY
END
```

## 9.3.4 PDU Definitions

```
__ *********************
IMPORTS
   Cause.
   CriticalityDiagnostics,
   E-CID-MeasurementResult,
   OTDOACells,
   Measurement-ID,
   MeasurementPeriodicity,
   MeasurementQuantities,
   ReportCharacteristics
FROM LPPA-IES
   PrivateIE-Container{},
   ProtocolExtensionContainer{},
   ProtocolIE-Container{},
   ProtocolIE-ContainerList{},
   ProtocolIE-ContainerPair{},
   ProtocolIE-ContainerPairList{},
   ProtocolIE-Single-Container{},
   LPPA-PRIVATE-IES,
   LPPA-PROTOCOL-EXTENSION,
   LPPA-PROTOCOL-IES,
   LPPA-PROTOCOL-IES-PAIR
FROM LPPA-Containers
   id-Cause,
   id-CriticalityDiagnostics,
   id-E-SMLC-UE-Measurement-ID,
   id-OTDOACells,
   id-ReportCharacteristics,
   id-MeasurementPeriodicity,
   id-MeasurementQuantities,
   id-eNB-UE-Measurement-ID,
   id-E-CID-MeasurementResult
FROM LPPA-Constants;
__ ********************
-- E-CID MEASUREMENT INITIATION REOUEST
  *****************
E-CIDMeasurementInitiationRequest ::= SEQUENCE {
                                ProtocolIE-Container
                                                         {{E-CIDMeasurementInitiationRequest-IEs}},
   protocolIEs
E-CIDMeasurementInitiationRequest-IEs LPPA-PROTOCOL-IES ::= {
```

```
ID id-E-SMLC-UE-Measurement-ID
                                    CRITICALITY reject TYPE Measurement-ID
                                                                                      PRESENCE mandatory }
     ID id-ReportCharacteristics
                                    CRITICALITY reject TYPE ReportCharacteristics
                                                                                      PRESENCE mandatory }
     ID id-MeasurementPeriodicity
                                    CRITICALITY reject TYPE MeasurementPeriodicity
                                                                                      PRESENCE mandatory}
     ID id-MeasurementQuantities
                                    CRITICALITY reject TYPE MeasurementOuantities
                                                                                      PRESENCE mandatory },
-- E-CID MEASUREMENT INITIATION RESPONSE
__ **********************
E-CIDMeasurementInitiationResponse ::= SEQUENCE {
   protocolIEs
                                ProtocolIE-Container
                                                        {{E-CIDMeasurementInitiationResponse-IEs}},
   . . .
E-CIDMeasurementInitiationResponse-IEs LPPA-PROTOCOL-IES ::= {
     ID id-E-SMLC-UE-Measurement-ID
                                   CRITICALITY reject TYPE Measurement-ID
                                                                                      PRESENCE mandatory }
                                                                                      PRESENCE mandatory }
     ID id-eNB-UE-Measurement-ID
                                   CRITICALITY reject TYPE Measurement-ID
     ID id-E-CID-MeasurementResult
                                   CRITICALITY ignore TYPE E-CID-MeasurementResult
                                                                                      PRESENCE optional } |
                                   CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                      PRESENCE optional }.
     ID id-CriticalityDiagnostics
      ****************
-- E-CID MEASUREMENT INITIATION FAILURE
__ *******************************
E-CIDMeasurementInitiationFailure ::= SEQUENCE {
   protocolIEs
                                ProtocolIE-Container
                                                         {{E-CIDMeasurementInitiationFailure-IEs}},
E-CIDMeasurementInitiationFailure-IEs LPPA-PROTOCOL-IES ::= {
     ID id-E-SMLC-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                      PRESENCE mandatory }
     ID id-Cause
                                       CRITICALITY ignore TYPE Cause
                                                                                      PRESENCE mandatory}
     ID id-CriticalityDiagnostics
                                       CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                      PRESENCE optional }.
    ***************
-- E-CID MEASUREMENT FAILURE INDICATION
__ **********************
```

```
E-CIDMeasurementFailureIndication ::= SEQUENCE {
   protocolIEs
                                ProtocolIE-Container
                                                          {{E-CIDMeasurementFailureIndication-IEs}},
E-CIDMeasurementFailureIndication-IEs LPPA-PROTOCOL-IES ::= {
     ID id-E-SMLC-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                       PRESENCE mandatory }
     ID id-eNB-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                       PRESENCE mandatory
     ID id-Cause
                                       CRITICALITY ignore TYPE Cause
                                                                                       PRESENCE mandatory}
   ID id-CriticalityDiagnostics
                                       CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                       PRESENCE optional },
-- E-CID MEASUREMENT REPORT
__ *********************
E-CIDMeasurementReport ::= SEQUENCE {
                                                          {{E-CIDMeasurementReport-IEs}},
   protocolIEs
                                ProtocolIE-Container
   . . .
E-CIDMeasurementReport-IEs LPPA-PROTOCOL-IES ::= {
     ID id-E-SMLC-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                       PRESENCE mandatory
                                                                                       PRESENCE mandatory}
     ID id-eNB-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
     ID id-E-CID-MeasurementResult
                                       CRITICALITY ignore TYPE E-CID-MeasurementResult
                                                                                       PRESENCE mandatory },
   ********************
-- E-CID MEASUREMENT TERMINATION
__ *********************
E-CIDMeasurementTerminationCommand ::= SEQUENCE {
                                                          {{E-CIDMeasurementTerminationCommand-IEs}},
   protocolIEs
                                ProtocolIE-Container
E-CIDMeasurementTerminationCommand-IEs LPPA-PROTOCOL-IES ::= {
     ID id-E-SMLC-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                       PRESENCE mandatory } |
    ID id-eNB-UE-Measurement-ID
                                       CRITICALITY reject TYPE Measurement-ID
                                                                                       PRESENCE mandatory },
__ **********************
```

```
-- OTDOA INFORMATION REQUEST
__ *********************
OTDOAInformationRequest ::= SEQUENCE {
                                                      {{OTDOAInformationRequest-IEs}},
   protocolIEs
                              ProtocolIE-Container
   . . .
OTDOAInformationRequest-IEs LPPA-PROTOCOL-IES ::= {
-- OTDOA INFORMATION RESPONSE
__ *********************
OTDOAInformationResponse ::= SEQUENCE {
                                                      {{OTDOAInformationResponse-IEs}},
   protocolIEs
                              ProtocolIE-Container
   . . .
OTDOAInformationResponse-IEs LPPA-PROTOCOL-IES ::= {
    ID id-OTDOACells
                                     CRITICALITY ignore TYPE OTDOACells
                                                                                  PRESENCE mandatory}
   ID id-CriticalityDiagnostics
                                     CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                  PRESENCE optional },
  *****************
-- OTDOA INFORMATION FAILURE
OTDOAInformationFailure ::= SEQUENCE {
   protocolIEs
                              ProtocolIE-Container
                                                       {{OTDOAInformationFailure-IEs}},
   . . .
OTDOAInformationFailure-IEs LPPA-PROTOCOL-IES ::= {
    ID id-Cause
                                   CRITICALITY ignore TYPE Cause
                                                                                  PRESENCE mandatory } |
   { ID id-CriticalityDiagnostics
                               CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                  PRESENCE optional },
__ *********************
-- ERROR INDICATION
```

```
ErrorIndication ::= SEQUENCE {
   protocolIEs
                                 ProtocolIE-Container
                                                          {{ErrorIndication-IEs}},
ErrorIndication-IEs LPPA-PROTOCOL-IES ::= {
     ID id-Cause
                                    CRITICALITY ignore TYPE Cause
                                                                                    PRESENCE optional }
    { ID id-CriticalityDiagnostics
                                    CRITICALITY ignore TYPE CriticalityDiagnostics
                                                                                   PRESENCE optional } ,
-- PRIVATE MESSAGE
__ **********************
PrivateMessage ::= SEQUENCE {
   privateIEs
               PrivateIE-Container {{PrivateMessage-IEs}},
   . . .
PrivateMessage-IEs LPPA-PRIVATE-IES ::= {
END
```

## 9.3.5 Information Element definitions

```
FROM LPPA-Constants
    Criticality,
    LPPATransactionID,
    ProcedureCode.
    ProtocolIE-ID,
    TriggeringMessage
FROM LPPA-CommonDataTypes
    ProtocolExtensionContainer{},
    ProtocolIE-Single-Container{},
    LPPA-PROTOCOL-EXTENSION,
    LPPA-PROTOCOL-IES
FROM LPPA-Containers;
-- A
-- B
Cause ::= CHOICE {
    radioNetwork
                        CauseRadioNetwork,
    protocol
                        CauseProtocol,
    misc
                        CauseMisc,
    . . .
CauseMisc ::= ENUMERATED {
    unspecified,
CauseProtocol ::= ENUMERATED {
    transfer-syntax-error,
    abstract-syntax-error-reject,
    abstract-syntax-error-ignore-and-notify,
    message-not-compatible-with-receiver-state,
    semantic-error,
    unspecified,
    abstract-syntax-error-falsely-constructed-message,
CauseRadioNetwork ::= ENUMERATED {
    unspecified,
    . . .
CriticalityDiagnostics ::= SEQUENCE
    procedureCode
                                     ProcedureCode
    triggeringMessage
                                     TriggeringMessage
```

OPTIONAL,

```
procedureCriticality
                                                                                                           OPTIONAL,
                                    Criticality
    lppatransactionID
                                    LPPATransactionID
                                                                                                            OPTIONAL,
    iEsCriticalityDiagnostics
                                    CriticalityDiagnostics-IE-List
                                                                                                           OPTIONAL.
                                    ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} }
    iE-Extensions
                                                                                                           OPTIONAL,
CriticalityDiagnostics-ExtIEs LPPA-PROTOCOL-EXTENSION ::= {
CriticalityDiagnostics-IE-List ::= SEOUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
        iECriticality
                                Criticality,
       iE-ID
                                ProtocolIE-ID,
        typeOfError
                                TypeOfError,
                                ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL,
        iE-Extensions
        . . .
CriticalityDiagnostics-IE-List-ExtIEs LPPA-PROTOCOL-EXTENSION ::= {
-- E
E-CID-MeasurementResult ::= SEQUENCE {
    servingCell-ID
                                    ECGI,
    servingCellTAC
    resultMeasurementQuantity
                                    ResultMeasurementQuantity,
    . . .
ECGI ::= SEQUENCE {
   pLMN-Identity
                                PLMN-Identity,
    eUTRANcellIdentifier
                                EUTRANCellIdentifier,
                                ProtocolExtensionContainer { {ECGI-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
ECGI-ExtIEs LPPA-PROTOCOL-EXTENSION ::= {
EUTRANCellIdentifier ::= BIT STRING (SIZE (28))
EARFCN ::= INTEGER (0..65535, ...)
-- F
```

```
-- G
-- H
-- J
-- L
-- M
Measurement-ID ::= INTEGER (1..15, ...)
MeasurementPeriodicity ::= ENUMERATED {
   ms120,
   ms240,
    ms480,
    ms640,
    ms1024,
    ms2048,
    ms5120,
    ms10240,
    min1,
    min6,
    min12,
    min30,
    min60,
MeasurementQuantities ::= ENUMERATED {
    cell-ID,
    angleOfArrival,
    timingAdvanceType1,
    timingAdvanceType2,
    rSRP,
    rSRQ,
    . . .
NumberOfAntennaPorts ::= ENUMERATED {
        n1-or-n2,
        n4,
        . . .
NumberOfDlFrames ::= ENUMERATED {
        sf1,
        sf2,
        sf4,
        sf6,
        . . .
-- 0
```

37

```
OTDOACells ::= SEQUENCE (SIZE (1.. maxCellineNB)) OF SEQUENCE {
    oTDOACellInfo
                                   OTDOACell-Information,
                                    ProtocolExtensionContainer { {OTDOACell-ExtIEs} } OPTIONAL,
    iE-Extensions
OTDOACell-ExtIEs LPPA-PROTOCOL-EXTENSION ::= {
OTDOACell-Information ::= SEQUENCE {
    cellId
                                ECGI,
    tAC
                                TAC,
    eARFCN
                                EARFCN,
    pRS-Bandwidth
                                PRS-Bandwidth,
    pRS-ConfigurationIndex
                                PRS-Configuration-Index,
    numberOfDlFrames
                                NumberOfDlFrames,
    numberOfAntennaPorts
                                NumberOfAntennaPorts,
    sFNInitialisationTime
                                SFNInitialisationTime,
                                ProtocolExtensionContainer { {OTDOACell-Information-ExtIEs} } OPTIONAL,
    iE-Extensions
OTDOACell-Information-ExtIEs LPPA-PROTOCOL-EXTENSION ::= {
PCI ::= INTEGER (0..503, ...)
PLMN-Identity ::= OCTET STRING (SIZE(3))
PCI ::= INTEGER (0..503, ...)
PLMN-Identity ::= OCTET STRING (SIZE(3))
PRS-Bandwidth ::= ENUMERATED {
       bw6,
       bw15,
       bw25,
       bw50,
        bw75,
       bw100,
        . . .
PRS-Configuration-Index ::= INTEGER (0..4095, ...)
-- 0
```

```
-- R
ReportCharacteristics ::= ENUMERATED {
    onDemand,
    periodic,
    . . .
ResultMeasurementQuantity ::= CHOICE {
    valueAngleOfArrival
                                     INTEGER,
    valueTimingAdvanceType1
                                     INTEGER,
    valueTimingAdvanceType2
                                     INTEGER,
    resultRSRP
                                     ResultRSRP,
    resultRSRQ
                                     ResultRSRQ,
    . . .
ResultRSRP ::= SEQUENCE {
                    PCI,
    pCI
    eCGI
                    ECGI,
    valueRSRP
                    ValueRSRP,
    . . .
ResultRSRQ ::= SEQUENCE {
    pCI
                    PCI,
                    ECGI,
    eCGI
                    ValueRSRQ,
    valueRSRO
    . . .
-- S
SFNInitialisationTime ::= BIT STRING (SIZE (64))
-- T
TAC ::= OCTET STRING (SIZE(2))
TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
-- U
-- V
ValueRSRP ::= INTEGER (0..97, ...)
ValueRSRQ ::= INTEGER (0..34, ...)
```

```
-- W
-- X
-- Y
-- Z
```

#### 9.3.6 Common definitions

```
-- Common definitions
LPPA-CommonDataTypes {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) lppa (2) version1 (1) lppa-CommonDataTypes (3) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
  *****************
-- Extension constants
__ *******************************
maxPrivateIEs
                                       INTEGER ::= 65535
maxProtocolExtensions
                                       INTEGER ::= 65535
                                       INTEGER ::= 65535
maxProtocolIEs
__ *********************
-- Common Data Types
__ *******************
             ::= ENUMERATED { reject, ignore, notify }
Criticality
LPPATransactionID
                   ::= CHOICE {
   shortlppaTransActionId INTEGER (0..127),
   longlppaTransActionId INTEGER (0..32767)
Presence
             ::= ENUMERATED { optional, conditional, mandatory }
PrivateIE-ID ::= CHOICE {
   local
                 INTEGER (0.. maxPrivateIEs),
                 OBJECT IDENTIFIER
   qlobal
```

```
ProcedureCode ::= INTEGER (0..255)

ProtocolIE-ID ::= INTEGER (0..maxProtocolIEs)

TriggeringMessage ::= ENUMERATED { initiating-message, successful-outcome, unsuccessful-outcome}

END
```

#### 9.3.7 Constant definitions

```
__ *******************
-- Constant definitions
  *****************
LPPA-Constants {
itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
eps-Access (21) modules (3) lppa (2) version1 (1) lppa-Constants (4) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
   ProcedureCode,
   ProtocolIE-ID
FROM LPPA-CommonDataTypes;
__ ********************
-- Elementary Procedures
                                                      ProcedureCode ::= 0
id-errorIndication
id-privateMessage
                                                      ProcedureCode ::= 1
id-e-CIDMeasurementInitiation
                                                      ProcedureCode ::= 2
                                                      ProcedureCode ::= 3
id-e-CIDMeasurementFailureIndication
id-e-CIDMeasurementReport
                                                      ProcedureCode ::= 4
id-e-CIDMeasurementTermination
                                                      ProcedureCode ::= 5
id-oTDOAInformationExchange
                                                      ProcedureCode ::= 6
```

```
-- Lists
__ ********************
maxNrOfErrors
                                         INTEGER ::= 256
maxCellineNB
                                         INTEGER ::= 256
maxCellineNB
                                         INTEGER ::= 256
-- IEs
id-Cause
                                                                       ProtocolIE-ID ::= 0
id-CriticalityDiagnostics
                                                                       ProtocolIE-ID ::= 1
id-E-SMLC-UE-Measurement-ID
                                                                       ProtocolIE-ID ::= 2
id-ReportCharacteristics
                                                                       ProtocolIE-ID ::= 3
id-MeasurementPeriodicity
                                                                       ProtocolIE-ID ::= 4
id-MeasurementOuantities
                                                                       ProtocolIE-ID ::= 5
id-eNB-UE-Measurement-ID
                                                                       ProtocolIE-ID ::= 6
id-E-CID-MeasurementResult
                                                                       ProtocolIE-ID ::= 7
id-OTDOACells
                                                                       ProtocolIE-ID ::= 8
```

END

#### 9.3.8 Container definitions

```
-- IE parameter types from other modules.
__ ********************
IMPORTS
   maxPrivateIEs,
   maxProtocolExtensions.
   maxProtocolIEs,
   Criticality,
   Presence,
   PrivateIE-ID,
   ProtocolIE-ID
FROM LPPA-CommonDataTypes;
__ ********************
-- Class Definition for Protocol IEs
__ ******************
LPPA-PROTOCOL-IES ::= CLASS {
   &id
                 ProtocolIE-ID
                                     UNIQUE,
   &criticality
                 Criticality,
   &Value,
   &presence
                 Presence
WITH SYNTAX {
   ID
                 &id
                 &criticality
   CRITICALITY
   TYPE
                 &Value
   PRESENCE
                 &presence
-- Class Definition for Protocol IEs
__ ********************
LPPA-PROTOCOL-IES-PAIR ::= CLASS {
                       ProtocolIE-ID
   &id
                                        UNIQUE,
   &firstCriticality
                       Criticality,
   &FirstValue,
   &secondCriticality
                       Criticality,
   &SecondValue,
   &presence
                        Presence
WITH SYNTAX {
                        &id
                        &firstCriticality
   FIRST CRITICALITY
                        &FirstValue
   FIRST TYPE
   SECOND CRITICALITY
                        &secondCriticality
   SECOND TYPE
                        &SecondValue
                        &presence
   PRESENCE
```

```
-- Class Definition for Protocol Extensions
  ******************
LPPA-PROTOCOL-EXTENSION ::= CLASS {
                    ProtocolIE-ID
                                    UNIQUE,
   &criticality
                    Criticality,
   &Extension,
   &presence
                    Presence
WITH SYNTAX {
   ID
                    &id
   CRITICALITY
                    &criticality
                    &Extension
   EXTENSION
   PRESENCE
                    &presence
   ****************
-- Class Definition for Private IEs
  ****************
LPPA-PRIVATE-IES ::= CLASS {
   &id
                    PrivateIE-ID,
   &criticality
                    Criticality,
   &Value,
   &presence
                    Presence
WITH SYNTAX {
                    &id
   CRITICALITY
                    &criticality
   TYPE
                    &Value
   PRESENCE
                    &presence
-- Container for Protocol IEs
  *****************
ProtocolIE-Container { LPPA-PROTOCOL-IES : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Single-Container { LPPA-PROTOCOL-IES : IEsSetParam} ::=
   ProtocolIE-Field {{IEsSetParam}}
ProtocolIE-Field { LPPA-PROTOCOL-IES : IEsSetParam} ::= SEQUENCE {
```

44

```
({IEsSetParam}),
                 LPPA-PROTOCOL-IES.&id
   criticality
                LPPA-PROTOCOL-IES.&criticality
                                                   ({IEsSetParam}{@id}),
                                                   ({IEsSetParam}{@id})
   value
                 LPPA-PROTOCOL-IES. &Value
  Container for Protocol IE Pairs
  ********************
ProtocolIE-ContainerPair { LPPA-PROTOCOL-IES-PAIR : IEsSetParam} ::=
   SEQUENCE (SIZE (0..maxProtocolIEs)) OF
   ProtocolIE-FieldPair {{IEsSetParam}}
ProtocolIE-FieldPair { LPPA-PROTOCOL-IES-PAIR : IEsSetParam} ::= SEQUENCE {
                                                          ({IEsSetParam}),
                   LPPA-PROTOCOL-IES-PAIR.&id
                                                          ({IEsSetParam}{@id}),
   firstCriticality LPPA-PROTOCOL-IES-PAIR.&firstCriticality
                                                          ({IEsSetParam}{@id}),
   firstValue
                   LPPA-PROTOCOL-IES-PAIR.&FirstValue
   secondCriticality LPPA-PROTOCOL-IES-PAIR.&secondCriticality
                                                          ({IEsSetParam}{@id}),
   secondValue
                    LPPA-PROTOCOL-IES-PAIR. & SecondValue
                                                          ({IEsSetParam}{@id})
    *****
  Container Lists for Protocol IE Containers
     ProtocolIE-ContainerList {INTEGER : lowerBound, INTEGER : upperBound, LPPA-PROTOCOL-IES : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-Container {{IEsSetParam}}
ProtocolIE-ContainerPairList {INTEGER : lowerBound, INTEGER : upperBound, LPPA-PROTOCOL-IES-PAIR : IESSetParam} ::=
   SEQUENCE (SIZE (lowerBound..upperBound)) OF
   ProtocolIE-ContainerPair {{IEsSetParam}}
    ******************
  Container for Protocol Extensions
     ProtocolExtensionContainer { LPPA-PROTOCOL-EXTENSION : ExtensionSetParam} ::=
   SEQUENCE (SIZE (1..maxProtocolExtensions)) OF
   ProtocolExtensionField {{ExtensionSetParam}}
ProtocolExtensionField { LPPA-PROTOCOL-EXTENSION : ExtensionSetParam} ::= SEQUENCE
   iд
                    LPPA-PROTOCOL-EXTENSION.&id
                                                       ({ExtensionSetParam}),
   criticality
                                                       ({ExtensionSetParam}{@id}),
                    LPPA-PROTOCOL-EXTENSION.&criticality
   extensionValue
                                                       ({ExtensionSetParam}{@id})
                    LPPA-PROTOCOL-EXTENSION. &Extension
__ **********************
```

#### 9.4 Message transfer syntax

LPPa shall use the ASN.1 Basic Packed Encoding Rules (BASIC-PER) Aligned Variant as transfer syntax as specified in ref. [4].

#### 9.5 Timers

Void.

## Handling of unknown, unforeseen and erroneous protocol data

Section 10 of [3] is applicable for the purposes of the present document, with the following additions:

- In case of Abstract Syntax Error, when reporting the *Criticality Diagnostics* IE for not comprehended IE/IEgroups or missing IE/IE groups, the *Transaction ID* IE shall also be included;
- In case of Logical Error, when reporting the *Criticality Diagnostics* IE, the *Transaction ID* IE shall also be included.

# Annex A (informative): Change History

TSG #	TSG Doc.	CR	Rev	Subject/Comment	New
11/2009				First version is created	0.0.0
12/2009				Increasing the version to 2.0.0 for approval at RAN#46	2.0.0
46	RP-091213			Approved at RAN#46	9.0.0

### History

Document history						
V9.0.0	February 2010	Publication				