



# The Invoice Whisperer

Liad Magen

The image shows the flag of the European Union, which consists of a blue field with twelve gold stars arranged in a circle. In the center of the flag, the number 919.5 is written in white.

919.5



1015

32,000,000,000

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**KNCCS** *Connecting your business together*

**Invoice Number:** 10672017

**Invoice Date:** 06/07/2017

**Invoice Month:** 0007067201755

**Invoice Expiry:**

**Account Name:** XYZ

**Account No:** 070617103046



Sl. Item	Item Description	Item Amount	ALIAS
1.	Item 100, Max 1000.0		
<b>Total Item Amount</b>		<b>EUR 10000.0</b>	
<b>Certificate of Goods or Services</b>			
	Block Hinge	0.0	000717103023
	Block % Foo	0.0	
	Block Final Changelog	4.4	
	Block Category		Business Number
<b>Total Invoice Amount</b>		<b>EUR 4.4</b>	

**Payment Instructions:**

Please send below information to help us to deposit your payment against this invoice.

1. Mobile Money

Please use QR code payment via Paystack for 100000. Please use the Invoice No. for the Account No. Note that all QR payments are expiring in 60 days.

2. Bank Transfer or Agent

Please use Early Bank-to-Bank transfer or Agent using the account information below to make the transfer to the bank or representative for your bank.

3. Cash, OTC, or Cheque

Please bring any of the above document along with the account information below to make the transfer to the bank or representative for your bank.


**Account Information**

**Account Name:** KENYA NATIONAL CHAMBER OF COMMERCE AND INDUSTRY

**Accounts:**

- 1. KEN 15100869100
- 2. USD 15100869100
- 3. USD 15100871101
- 4. GBP 15100871101

**Bank Name:** Citibank Ltd, Limited  
**Branch Name:** Superior Centre  
**Branch Code:** 051  
**SWIFT CODE:** CITIENKEN



**GitLab**

AUTHORIZED RESELLER

1233 Howard Street, Suite 2F  
 San Francisco, California 94103  
 United States  
[about.gitlab.com](http://about.gitlab.com)  
[sales@gitlab.com](mailto:sales@gitlab.com)

<b>Bill To:</b> <b>(Reseller Accounting Contact)</b> <b>(Reseller Name)</b> <b>(Reseller Address)</b> <b>Quote Number: (UID)</b>	<b>For resale only to:</b> <b>(End User Name)</b> <b>(End User Company)</b> <b>(End User Address)</b> <b>Quote Date: (YYYY MM DD)</b> <b>Currency: (USD)</b>
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## TAX INVOICE

**Amazon**

GSTIN 26ADDCE3836R1ZQ

Q-city, 2nd Floor-Block A & Block B Survey Number-109,110,111/2,  
Nanakavaramda Village Serilingampally Mandal, Ranga Reddy Dist.  
Hydrabad, 38-THELANGANA, 500032

Mobile 9999999999

ORIGINAL FOR RECIPIENT

**Invoice # :- INV-13**

**Customer Details:**

**Gaurav Gupta**

**Invoice Date: 06 April 2022**

**Billing address:**

Babugani, Hasanjanj  
Lucknow, 09-UTTARPRADESH, 226007

**Shipping address:**

Babuganj, Hasanjanj  
Lucknow, 09-UTTARPRADESH, 226007

**Place of Supply: 09-UTTARPRADESH**

#	Item	Rate/Item	Qty	Trailable Value	Tax Amount	Amount
1	<b>Samsung Galaxy F23</b> <b>HSN: 8517</b> Color - Aquar Green Storage - 128 GB Ram - 6 GB	15,677.10	1	15,677.12	2,621.08 (16%)	18,499.00
2	<b>Samsung 45 Watt Travel</b> <b>Adapter</b> <b>HSN: 8504</b> EP-TABSA5V20G1N Color - Black	2,541.63	1	2,541.52	457.48 (18%)	2,999.00
<b>Taxable Amount</b> INR 18,000						₹ 18,216.63
<b>IGST 18.00%</b>						₹ 3,279.35
<b>Total</b>						<b>₹ 21,496.00</b>
<div style="display: flex; justify-content: space-between; margin-top: 10px;"> <span>Total Items / Qty : 2 / 2.00</span> <span>Total amount (in words): INR Twenty-one Thousand, Four Hundred And Ninety-eight Rupees Only.</span> </div>						
<b>Amount Payable:</b>						<b>₹ 21,496.00</b>

To accept this quote your customer must accept the terms of the GitLab subscription agreement found at: [https://about.gitlab.com/terms/print/gitlab\\_subscription\\_terms.pdf](https://about.gitlab.com/terms/print/gitlab_subscription_terms.pdf)

They can do this be either :

1. Order the subscription online with a **credit card** at <http://www.gitlab.com/subscription/>
2. Signing the GitLab subscription agreement and emailing a scanned copy to [sales@gitlab.com](mailto:sales@gitlab.com).
3. Accepting the GitLab Click Through EULA at time of license key download

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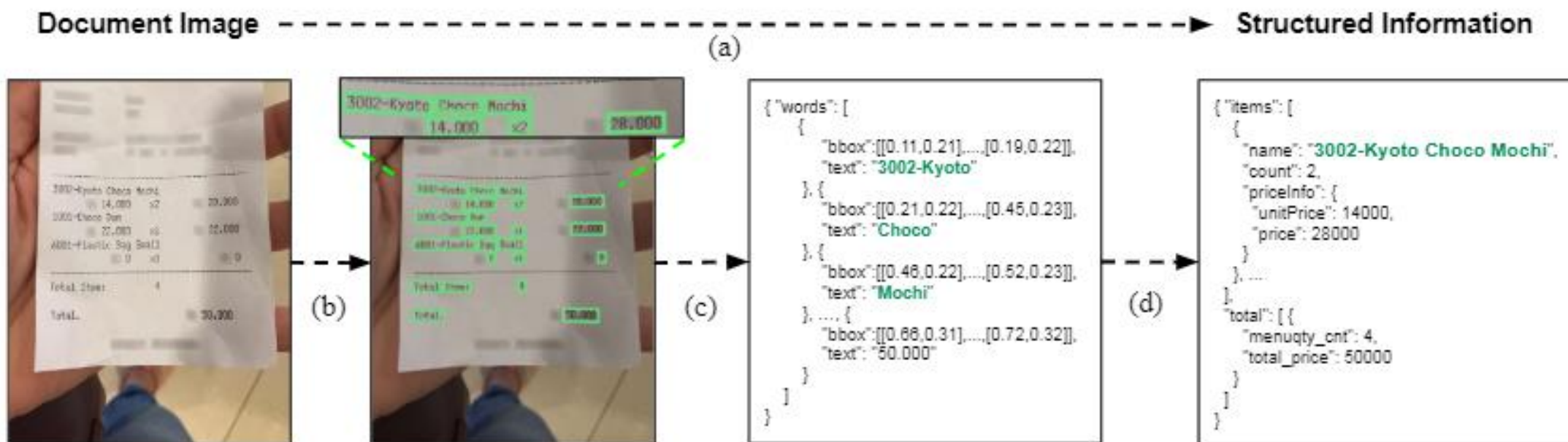


Diversity



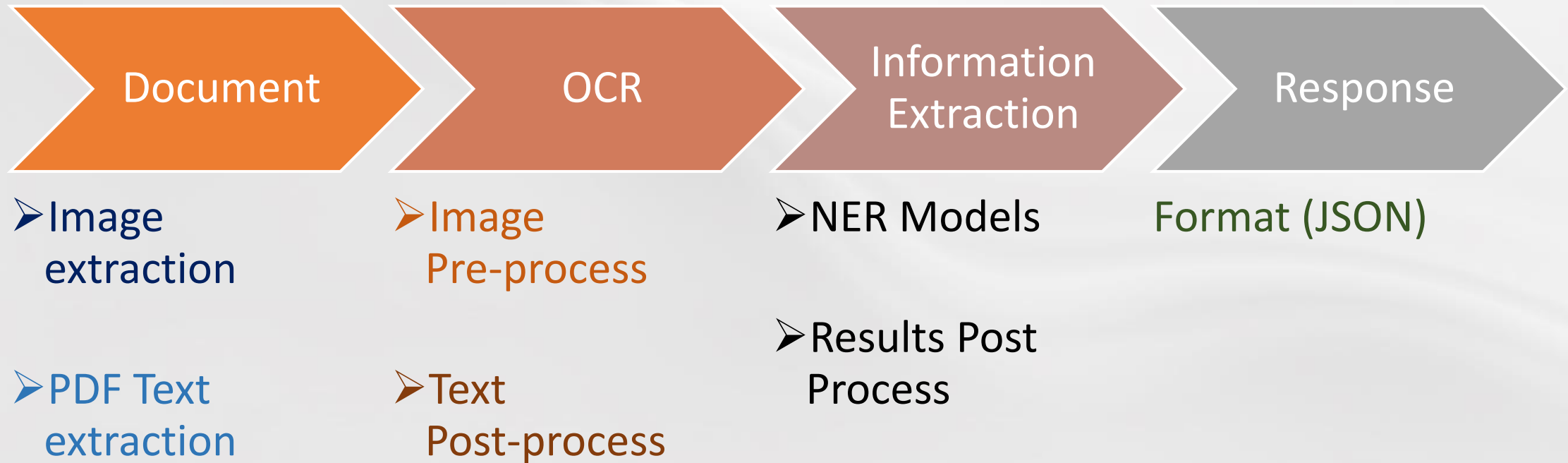


# Document Processing Pipeline

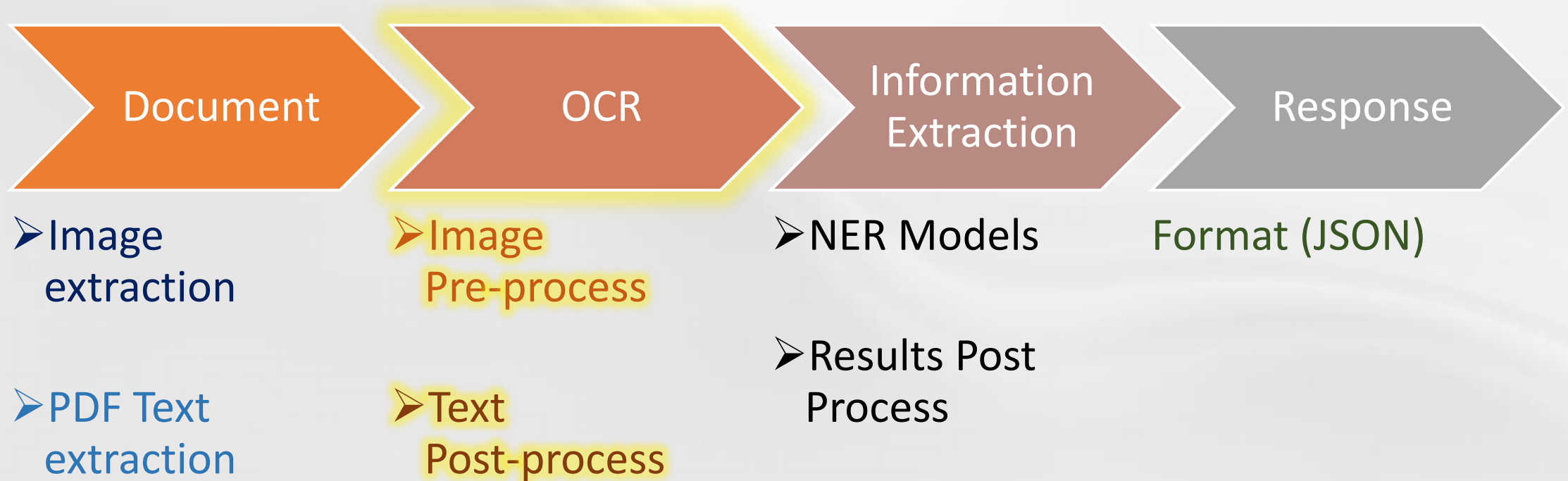




# Traditional Document Processing Pipeline



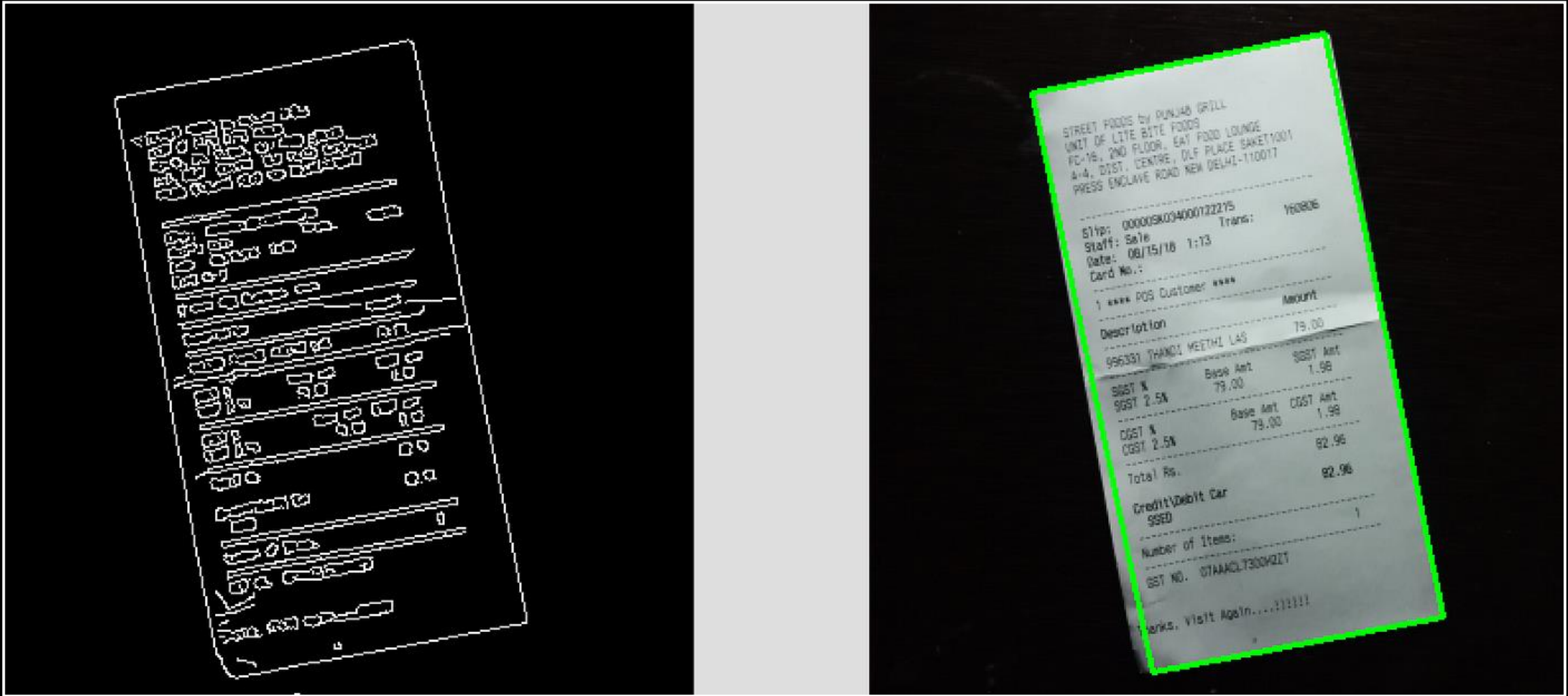
# Traditional Document Processing Pipeline



Optical Character  
Recognition

OCR



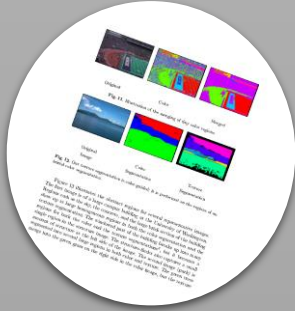


Often requires preprocessing

# OCR: Preprocessing Steps



Normalization



Skew  
Correction

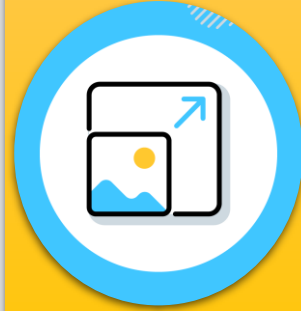
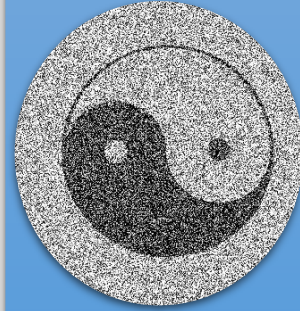


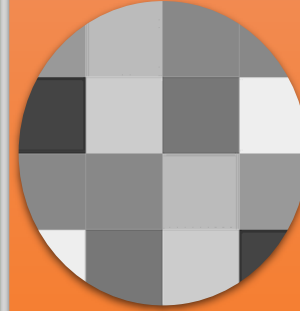
Image Scaling



Noise Removal



Morphological  
Operations:  
Thinning,  
Erosion and  
Dilation



Gray scaling

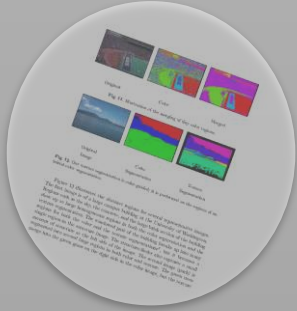


Thresholding /  
Binarization

# OCR: Preprocessing Steps



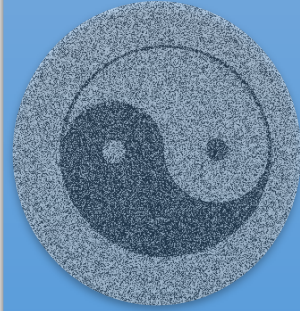
Normalization



Skew  
Correction



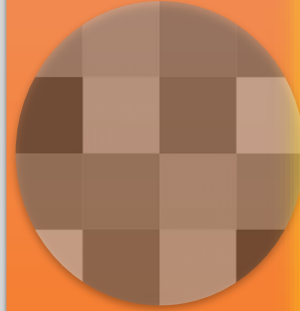
Image Scaling



Noise Removal



Morphological  
Operations:  
Thinning,  
Erosion and  
Dilation



Gray scaling



Thresholding /  
Binarization





1311

JN 1891?

CAO 8 doc.

146

28 de Agosto

Meu caro Barão,

D'aqui he nado a ultima despedida cheia de toda afflicção que semelhante momento ainda uma vez mais me suggera sobre as viagens, a vida, a amizade, o nosso paiz. Espero tornar a ver-vos, mas como isto se pode dar d'este lado receio muito que se passe d'esta vez bastante tempo e o parenthesis seja o mais longo que tenha havido em nossa selha convivencia. O imprevisito pora representa um papel tão



JN 1891?

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## Case Study: Thresholding

- Global:  
Two-peaks, Otsu, Entropy, ...
- Global Multi-Threshold  
Liao, Kapur, ...
- Local:  
Local-Mean, Gaussian, Sauvola, Wolf, ...

OCR Engine: **Tesseract**

Metric: **Character Error Rate (CER)**

$$CER = \frac{i_c + s_c + d_c}{n_c}$$

Original Image



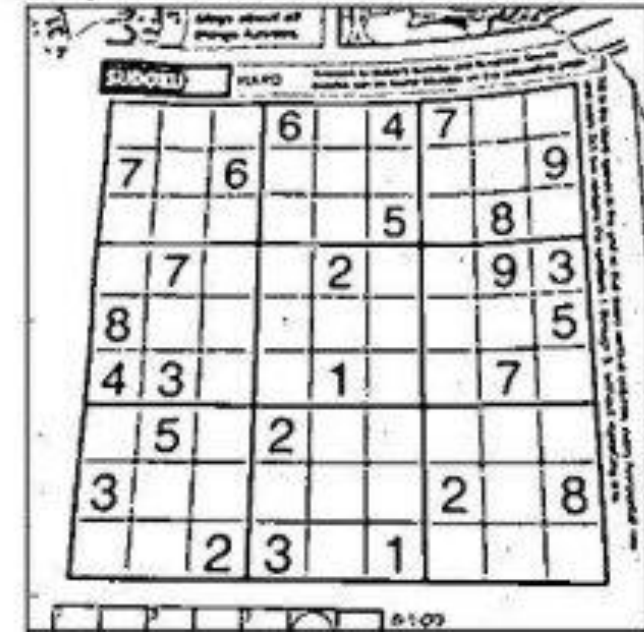
Global Thresholding ( $v = 127$ )



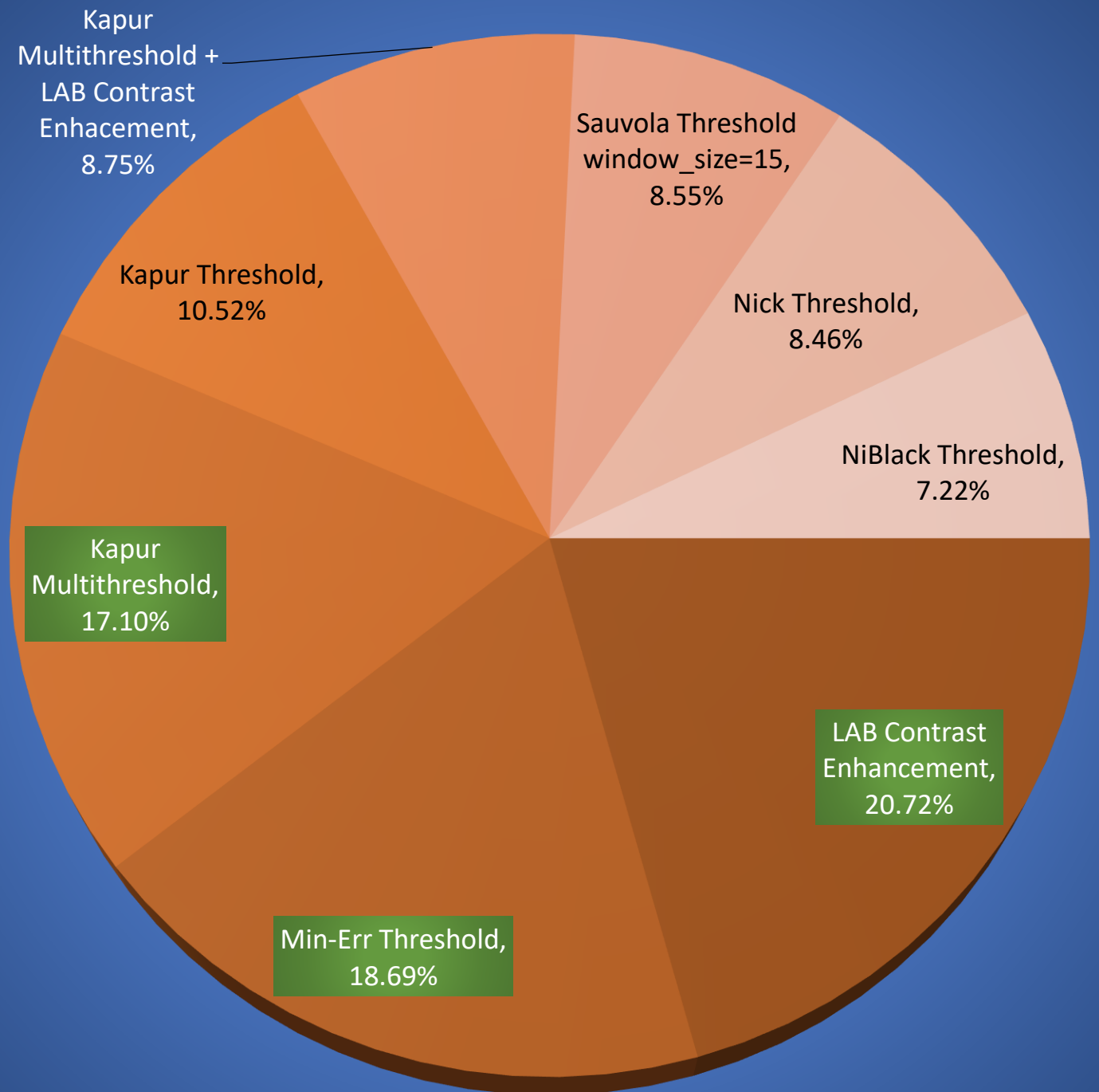
Adaptive Mean Thresholding



Adaptive Gaussian Thresholding

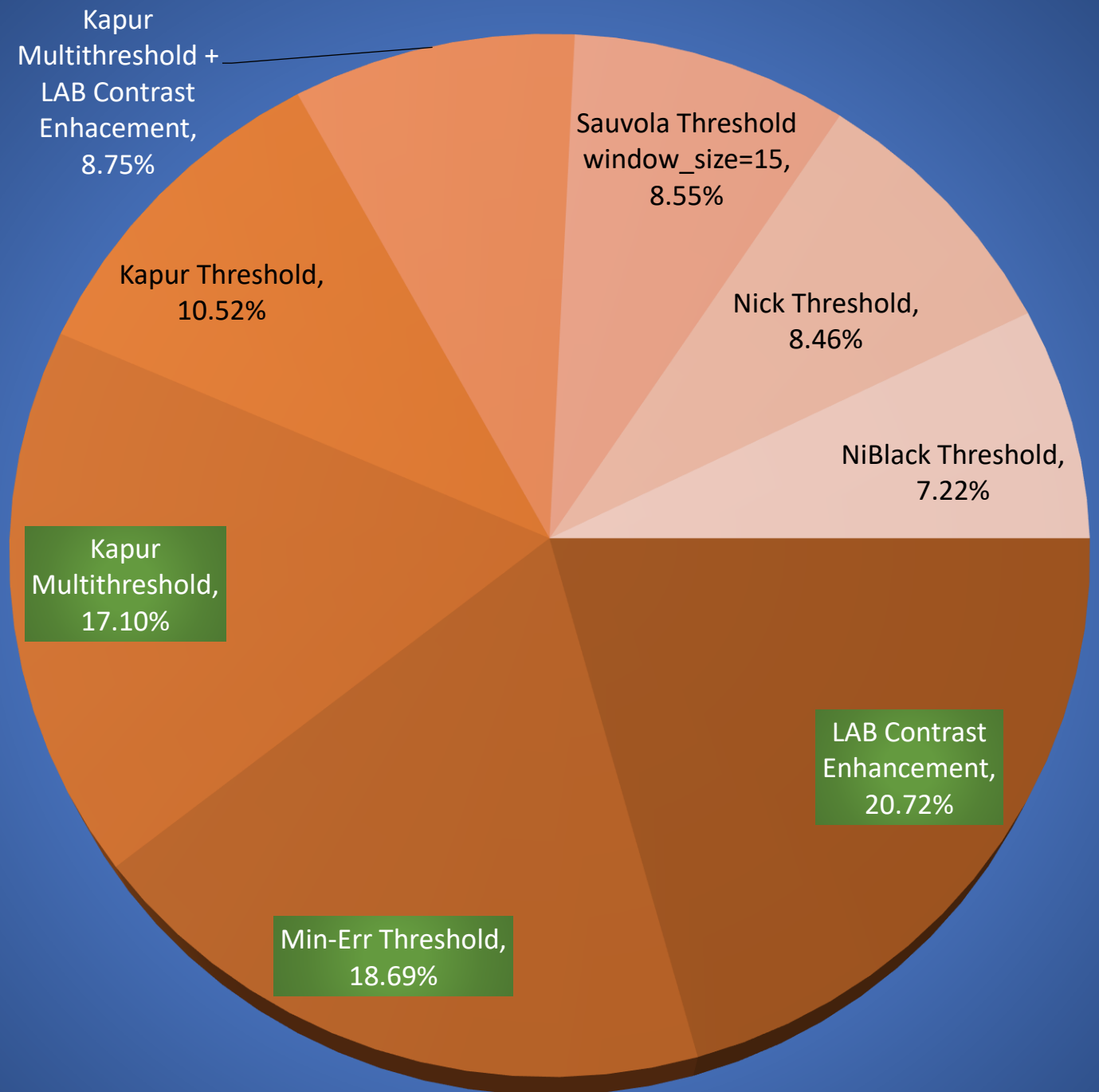


# Best CER Results Per Thresholding Method





# How to Choose?

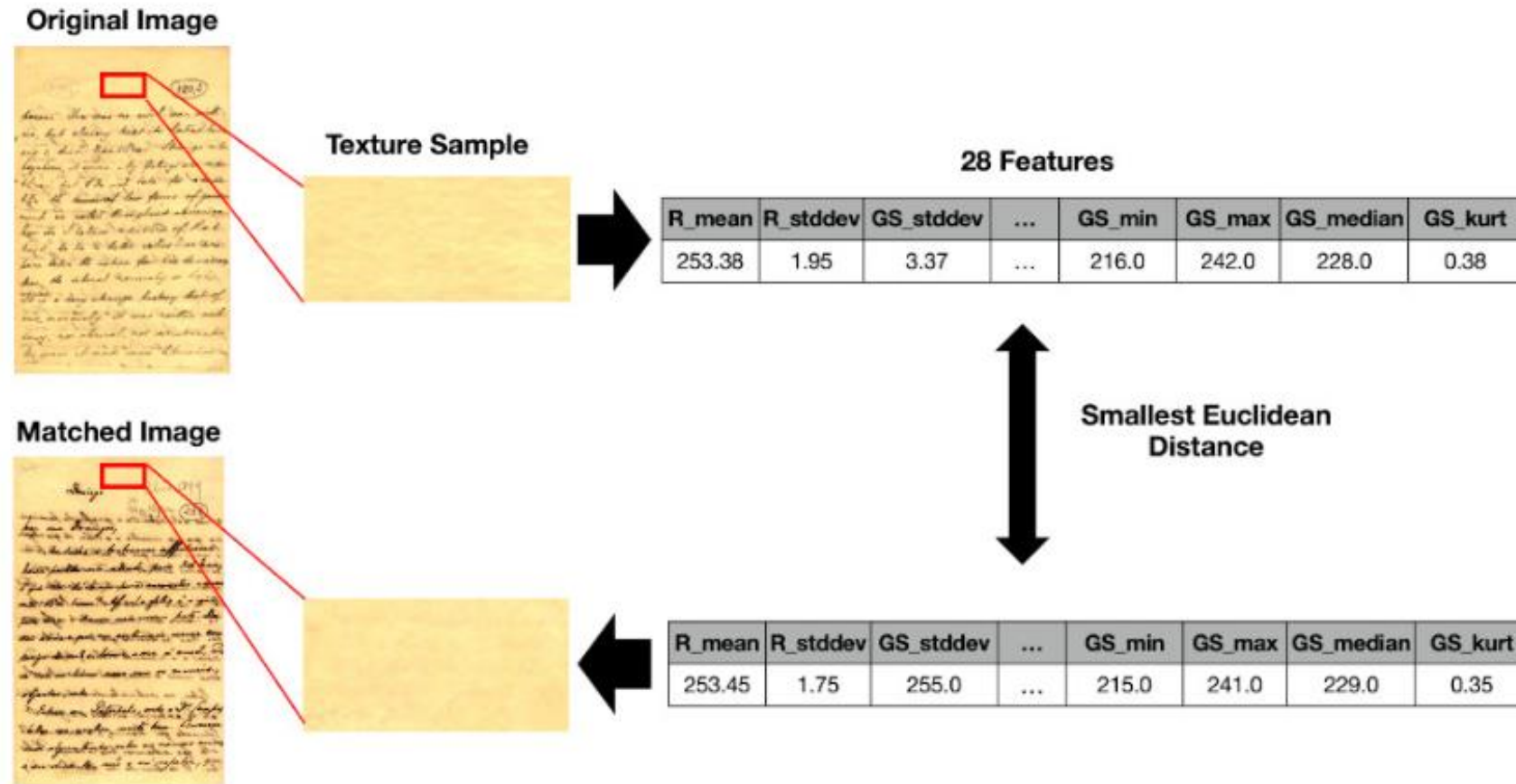


## How to Choose?

“Most of the submitted algorithms employed machine learning techniques and performed best on the most complex images.

**Traditional algorithms provided very good results at a fraction of the time”**

# How to Choose?





# How to Choose?

Still an open question.

# OCR Post-Processing

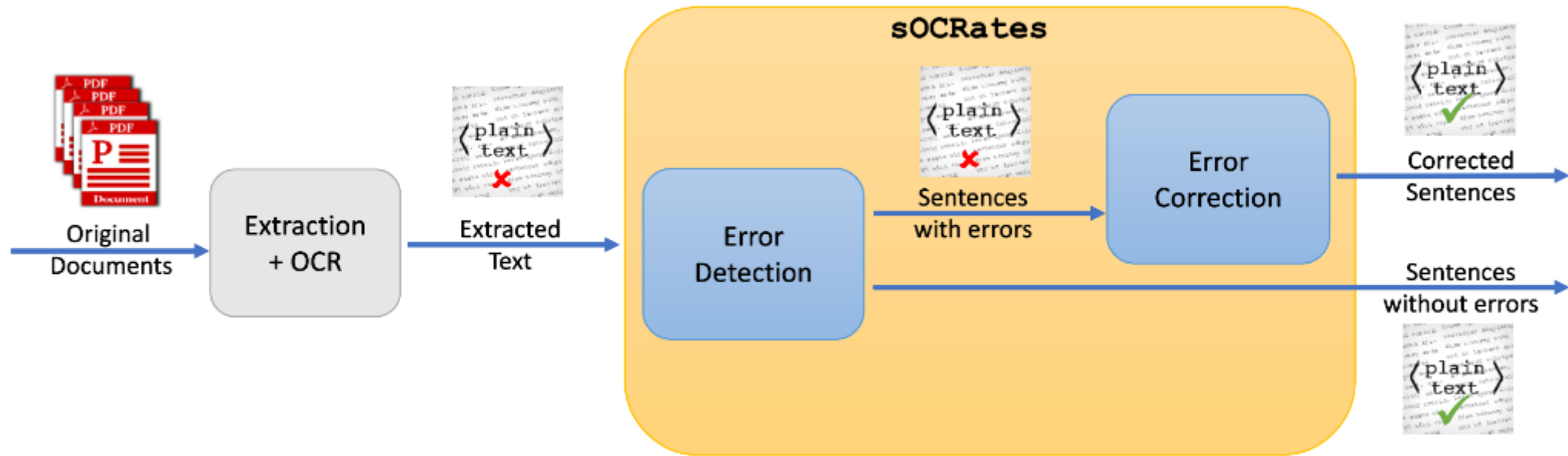


Figure 1. sOCRates and the pipeline for OCR extraction and correction.

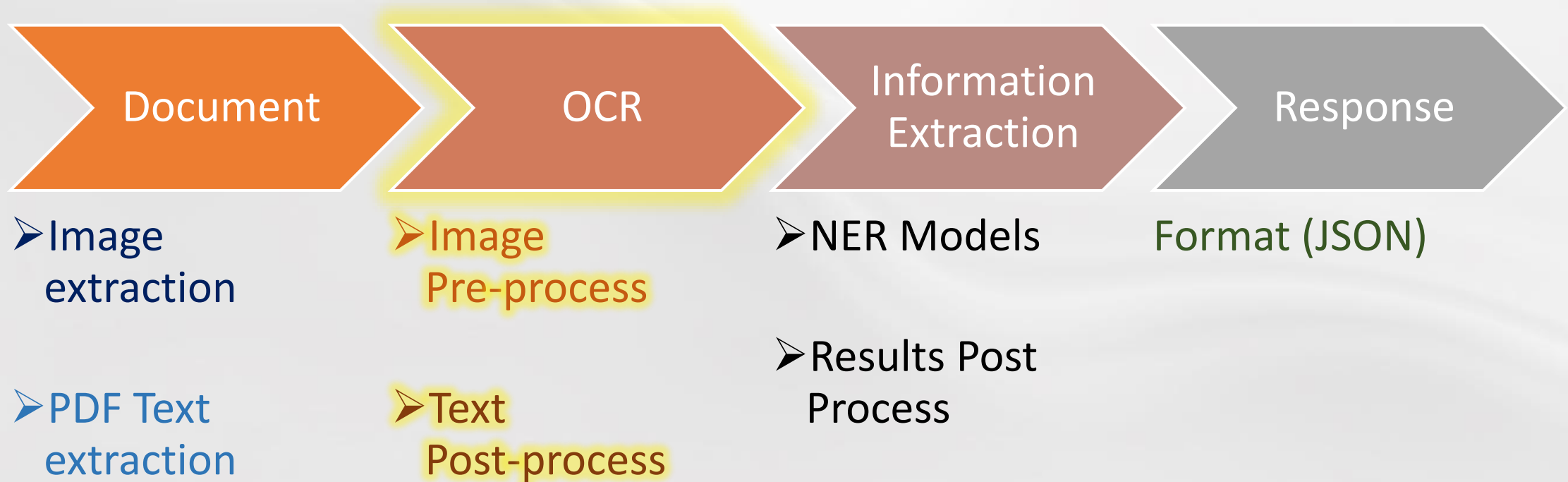
# OCR: Post-processing

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## Error correction

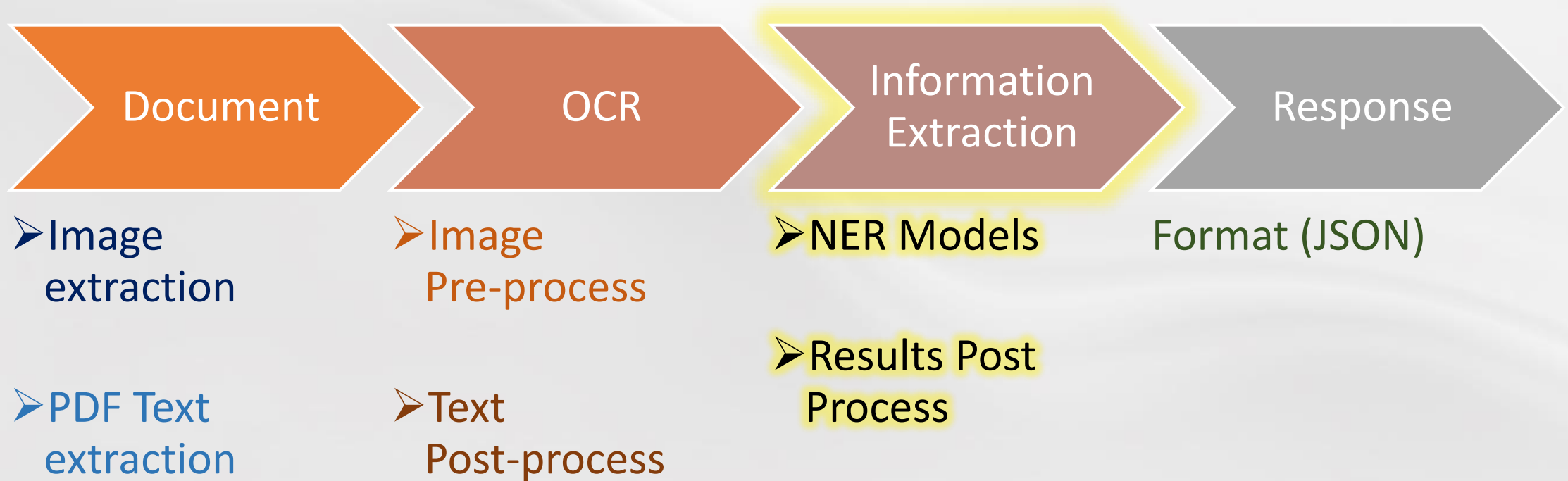
- Lexical - 40% non-words
- Context-dependent (Language Models)
- Seq2Seq - Translation

# Traditional Document Processing Pipeline





# Traditional Document Processing Pipeline



# Named Entity Recognition (NER)

# Named Entity Recognition (NER)

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- Input: List of tokens (+ Position/Bounding Boxes)
- Output: List of Labels:
  - Invoice Date
  - Brut amount
  - Net amount
  - Tax percentage
  - Tax value
  - Recipient
  - Sender
  - ...

sagt mein Hausverstand.

1060 Wien

Webgasse 37

Tel.: 01 - 9610661

ATU 15255907

File: 00661 Kassa: 2 Bon-Nr: 6609

Pos: 4 Kassier: Hr. Kness /9

Re-Nr: 0661-20170404-02-6609

Datum: 04.04.2017 Zeit: 19:48

DKIH Eier aus BH s	B	1.99
Coca-Cola Flasche	C	1.45
Landgraf Ds.	C	0.45
Russian Standard	C	14.49

Summe

EUR

18.38

Gegeben KK Visa 18.38

B E Z A H L T 17073218

Visa Electron 181497

\*\*\*\* \* 9339 (7) 02/20

32298916 \*\*\* Beleg Nr.: 114162

0000000032010 C03

20170404 194901

Betrag dankend erhalten

# Named Entity Recognition (NER) - BIO

BIO Format:

- BILLA B-Issuer
- Sagt O
- Mein O
- Hausverstand O
- 1060 B-Zip
- Wien B-City
- Webgasse B-Address
- 37 I-Address



# NER Post-Processing

---

Filter and join predicted labels

---



But LLMs!



What is the amount on this receipt?



The amount on the receipt in the image you sent is **10.80 euros**.

The line that says **MONTANT:** is the amount of the purchase, and it is followed by the number 10,80 EUR.

The receipt is also in French, and the line at the bottom that says **TICKET CLIENT A CONSERVER** means "Customer Receipt to be kept".

I hope this is helpful!

# Large Language Models

## Pros:

- Out of the box
- Can perform OCR
- Can analyze PDFs
- Multilingual support

## Cons:

- Prompt-dependent
- Requires examples for more than basics
- Security risk:
  - Attacks
  - Prompt injections
- No on-premises option
- Reproducibility issues
  - Model Updates
  - Lack of quality control
- Liability issues



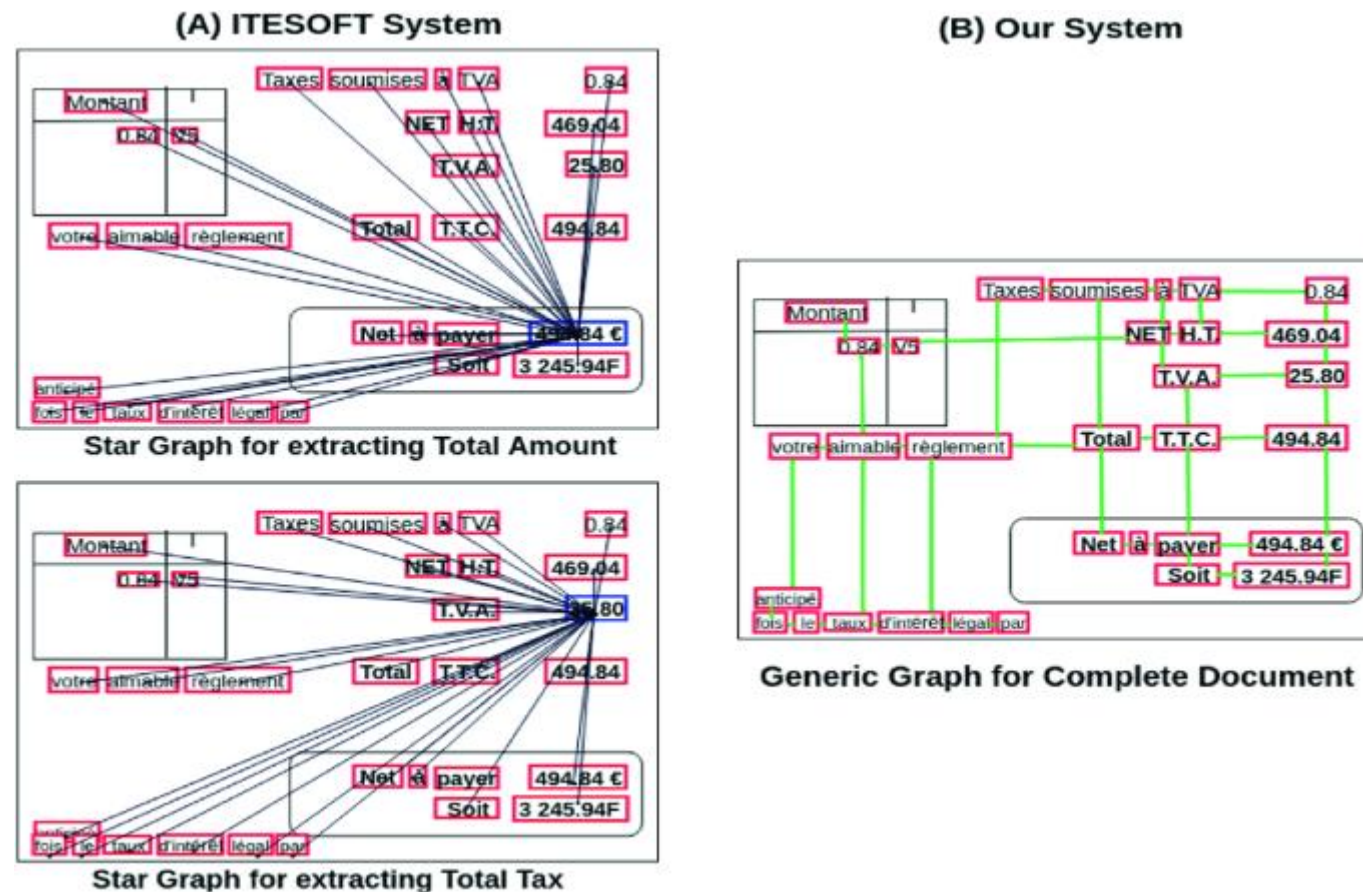
# Trustworthy AI

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- [leiwand.ai - fair and trustworthy AI](https://leiwand.ai)
- Consulting & Training



# Position-based NER/IE Models: GNNs



# Position-based NER/IE Models: LayoutLM

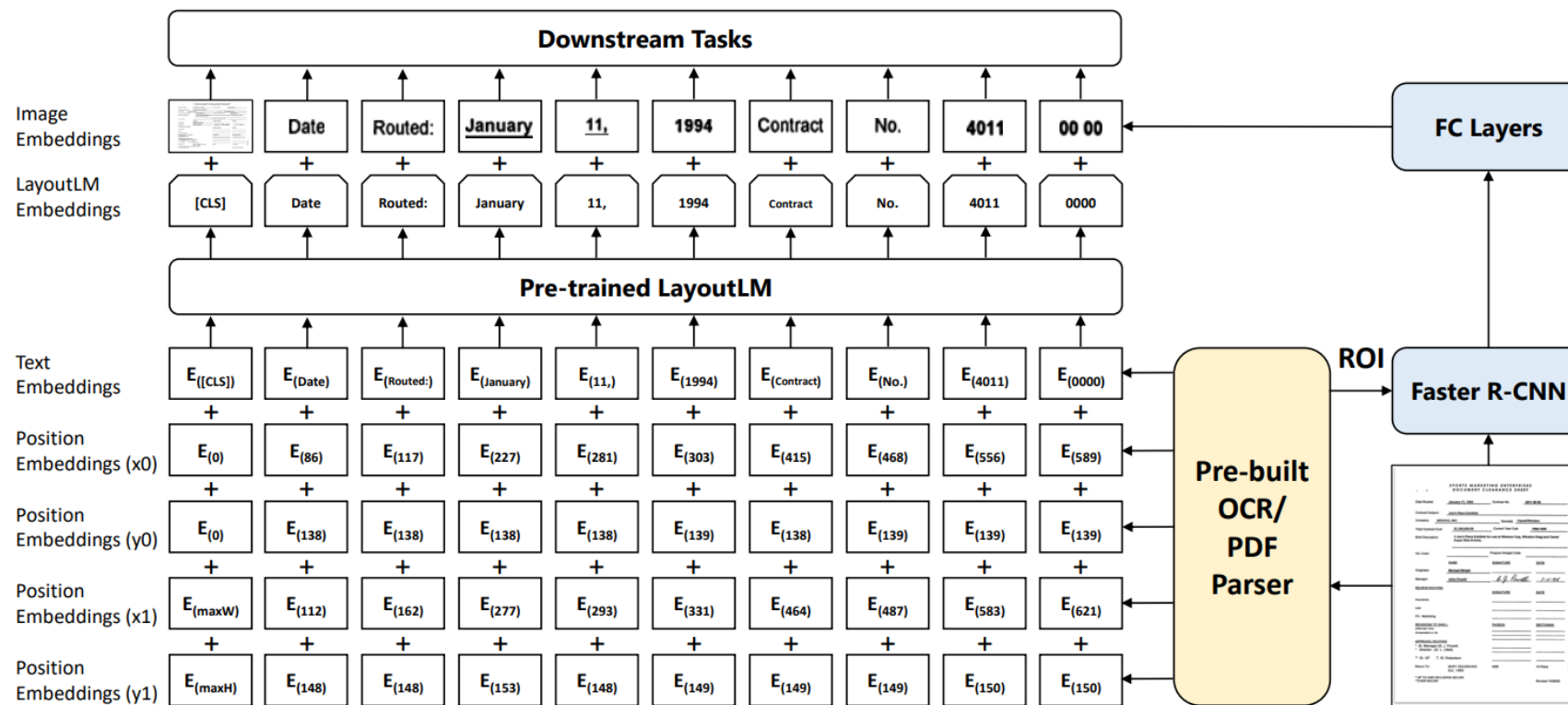


Figure 2: An example of LayoutLM, where 2-D layout and image embeddings are integrated into the original BERT architecture. The LayoutLM embeddings and image embeddings from Faster R-CNN work together for downstream tasks.

# Position-based NER/IE Models: LayoutLMv2

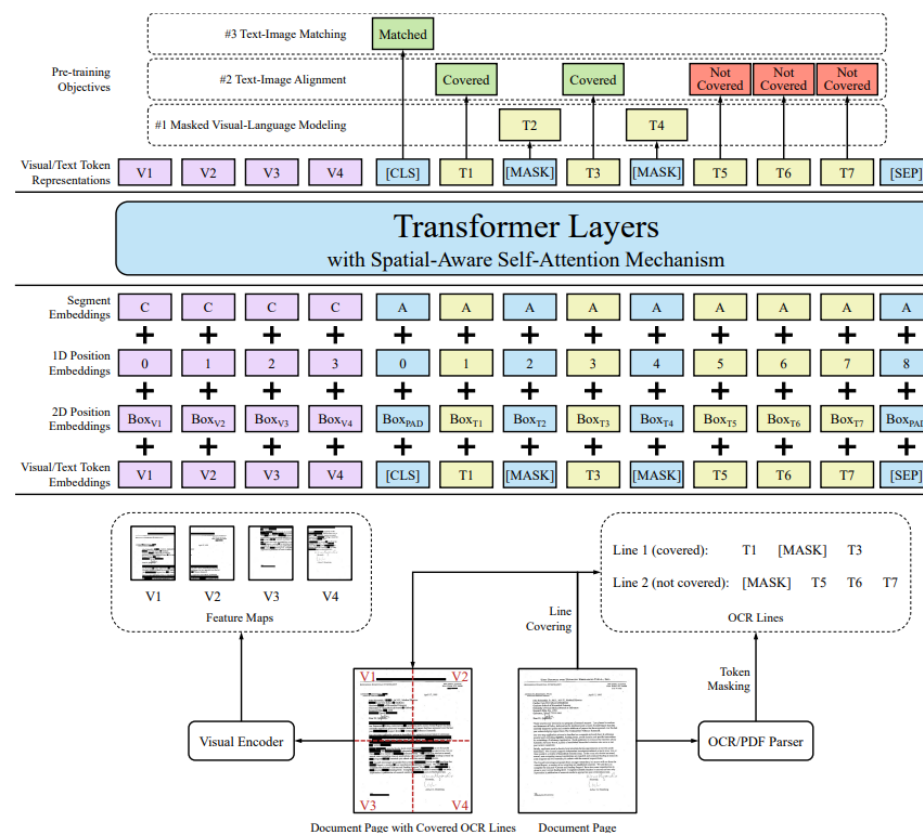


Figure 1: An illustration of the model architecture and pre-training strategies for LayoutLMv2

# Position-based NER/IE Models: LayoutLMv3

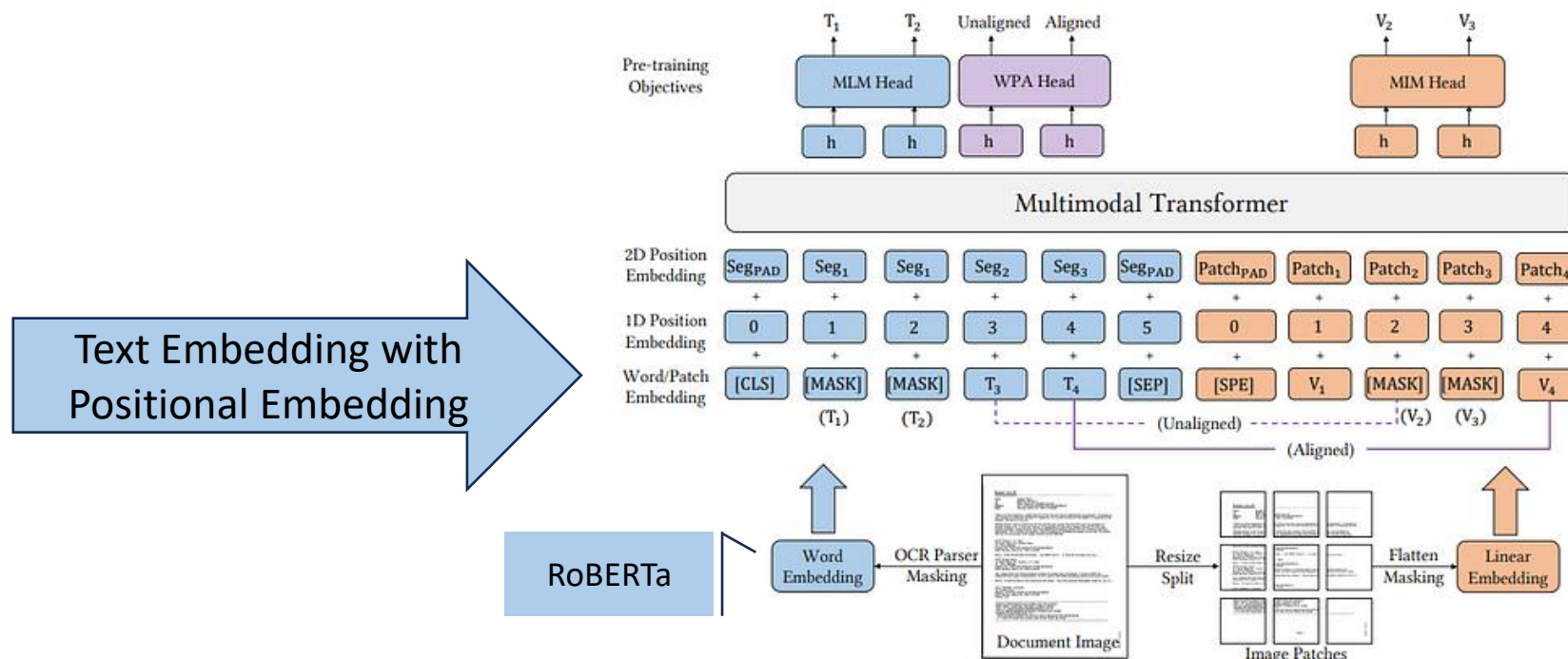


Figure 3: The architecture and pre-training objectives of LayoutLMv3. LayoutLMv3 is a pre-trained multimodal Transformer for Document AI with unified text and image masking objectives. Given an input document image and its corresponding text and layout position information, the model takes the linear projection of patches and word tokens as inputs and encodes them into contextualized vector representations. LayoutLMv3 is pre-trained with discrete token reconstructive objectives of Masked Language Modeling (MLM) and Masked Image Modeling (MIM). Additionally, LayoutLMv3 is pre-trained with a Word-Patch Alignment (WPA) objective to learn cross-modal alignment by predicting whether the corresponding image patch of a text word is masked. “Seg” denotes segment-level positions. “[CLS]”, “[MASK]”, “[SEP]” and “[SPE]” are special tokens.



# Position-based NER/IE Models: BROS\*

\* BERT Relying on Spatiality.

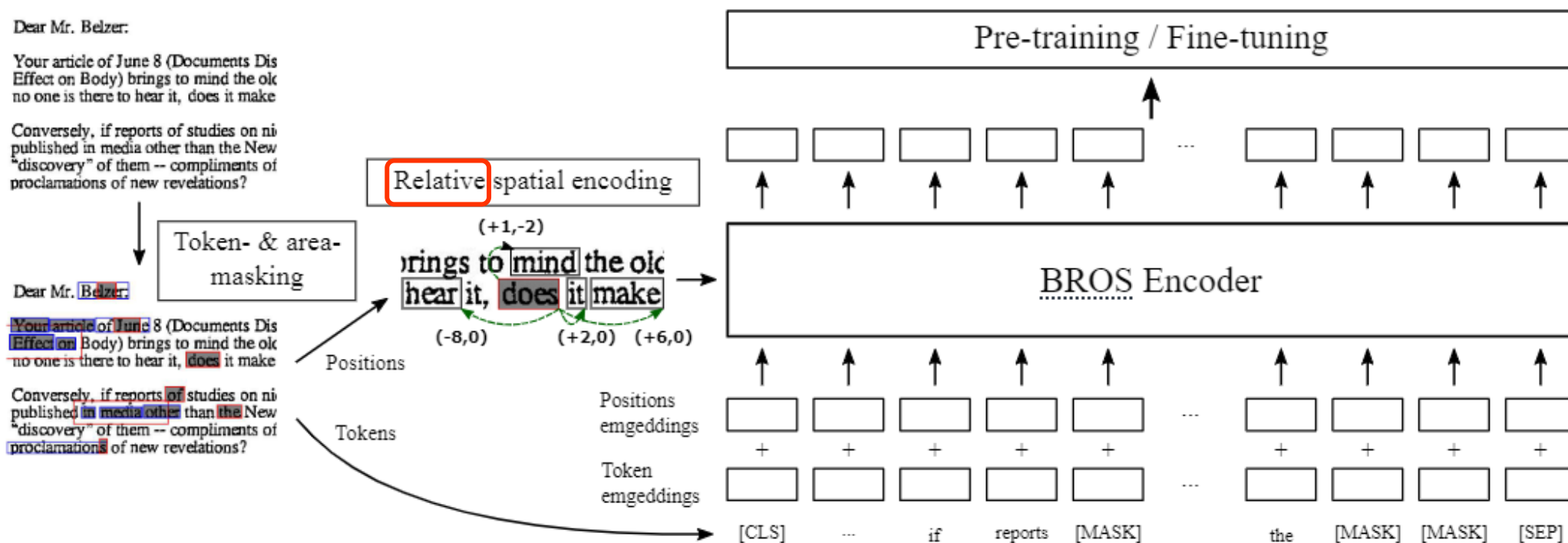


Figure 2: An overview of BROS. The tokens in the document image are masked through token- and area-masking strategy. The position difference between text blocks is encoded directly to the attention mechanism in Transformer. The output token representations are used in both pre-training and fine-tuning.

[\[2108.04539\] BROS: A Pre-trained Language Model Focusing on Text and Layout for Better Key Information Extraction from Documents \(arxiv.org\)](#)

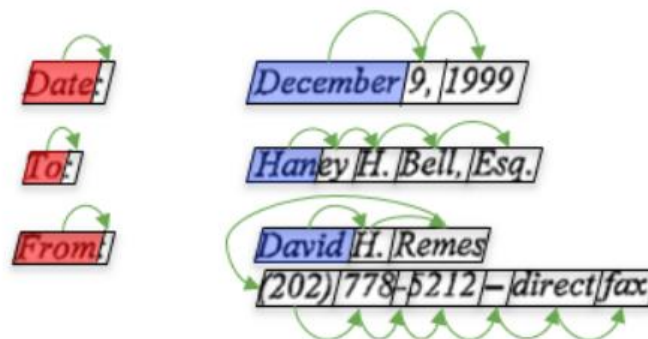
# Position-based NER/IE Models: BROS\*

\* BERT Relying on Spatiality.

## SPADE Decoder



(a) Initial token classification



(b) Subsequent token classification



(c) Entity linking (EL) task

[\[2108.04539\] BROS: A Pre-trained Language Model Focusing on Text and Layout for Better Key Information Extraction from Documents \(arxiv.org\)](#)

# Position-based NER/IE Models: GeoLayoutLM

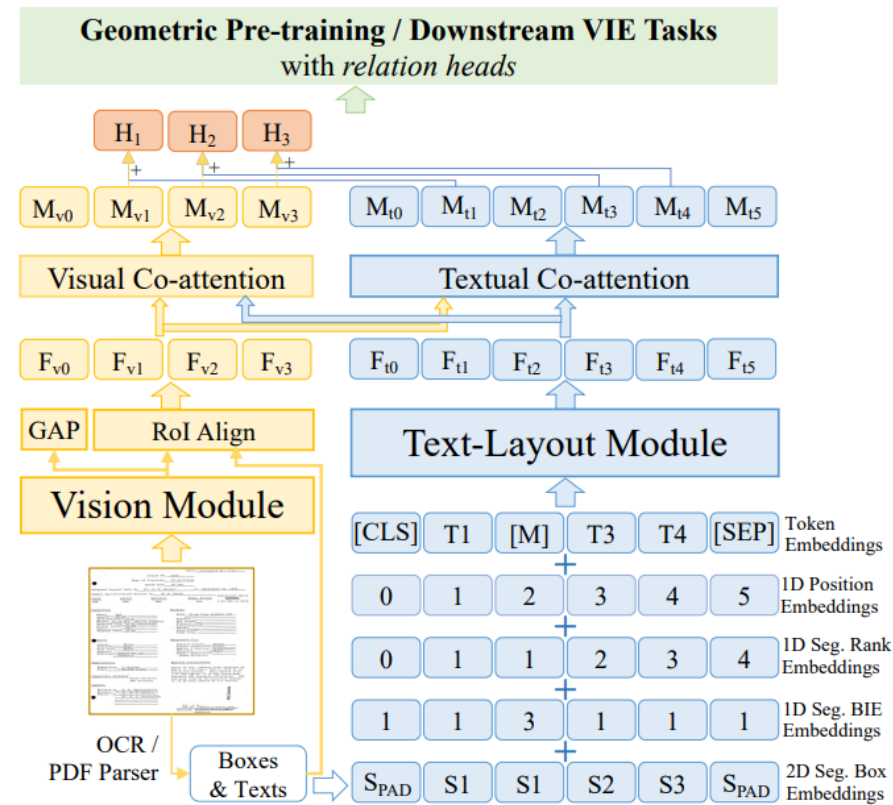
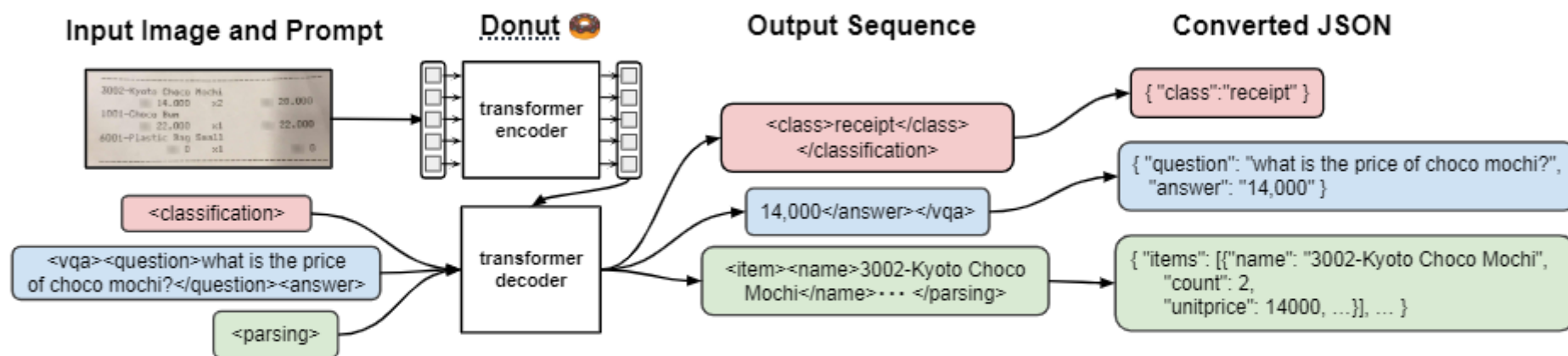


Figure 2. An overview of GeoLayoutLM.

# Position-based NER/IE Models: Donut

4 G. Kim et al.

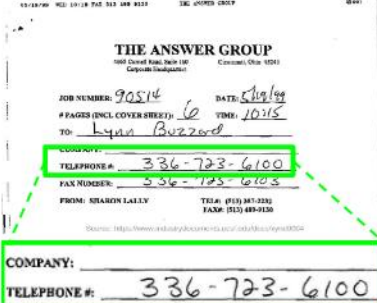


**Fig. 3.** The pipeline of Donut. The encoder maps a given document image into embeddings. With the encoded embeddings, the decoder generates a sequence of tokens that can be converted into a target type of information in a structured form

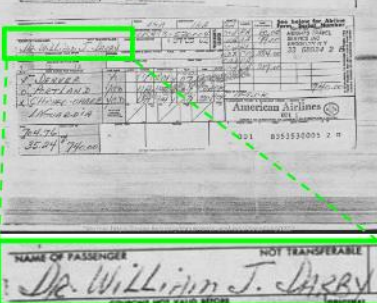
# Position-based NER/IE Models: Donut

	Fine-tuning set	OCR	#Params <sup>†</sup>	Time (ms)	ANLS test set	ANLS* handwritten
BERT [64]	train set	✓	110M + $\alpha^{\dagger}$	1517	63.5	n/a
LayoutLM [65]	train set	✓	113M + $\alpha^{\dagger}$	1519	69.8	n/a
LayoutLMv2 [64]	train set	✓	200M + $\alpha^{\dagger}$	1610	78.1	n/a
<u>Donut</u>	train set		176M	<b>782</b>	67.5	<b>72.1</b>
LayoutLMv2-Large-QG [64]	train + dev + QG	✓	390M + $\alpha^{\dagger}$	1698	<b>86.7</b>	67.3


- Pretrain + Finetune
  - SWIN Transformer
- Handwriting
- Synthetic training data



Q: What is the phone number given?  
 Answer: 336-723-6100  
 Donut: 336-723-6100  
 LayoutLMv2-Large-QG: 336-723- 4100



Q: What is the name of the passenger?  
 Answer: DR. William J. Darby  
 Donut: DR. William J. Darby  
 LayoutLMv2-Large-QG: DR. William J. Jarry

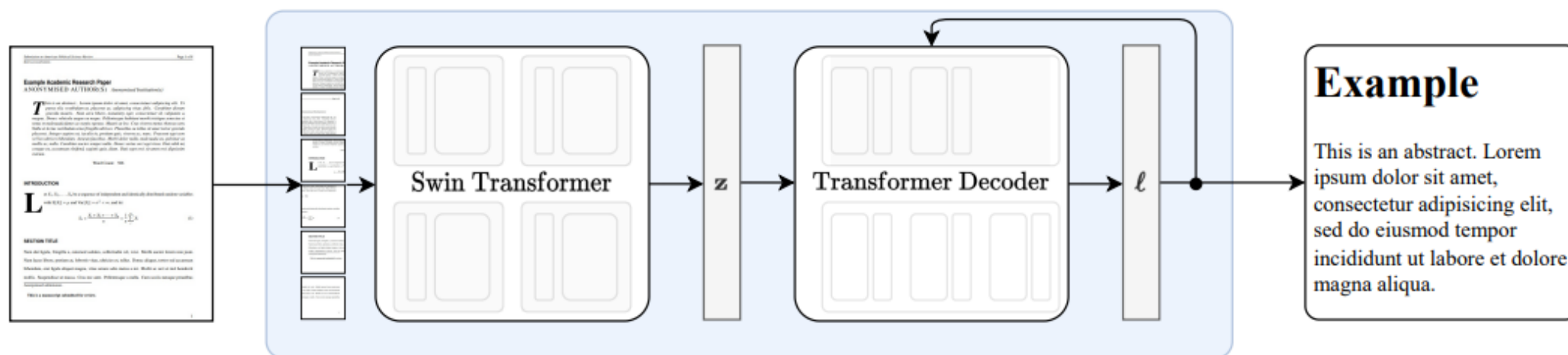


Q: What is the Publication No.?  
 Answer: 540  
 Donut: 943 (another number in the image is extracted)  
 LayoutLMv2-Large-QG: 540

# Position-based NER/IE Models: Nougat

Nougat

Blecher et al.



**Figure 1:** Our simple end-to-end architecture following Donut [28]. The Swin Transformer encoder takes a document image and converts it into latent embeddings, which are subsequently converted to a sequence of tokens in an autoregressive manner

[Nougat \(facebookresearch.github.io\)](https://github.com/facebookresearch/nougat)



# Position-based NER/IE Models: Nougat

Nougat

Blecher et al.

<p>Original</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Bitmap</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Erosion</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$
<p>Dilation</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Affine</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Shift Scale Rotate</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent ar with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$
<p>Grid Distortion</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Elastic Transform</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identical with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Random Brightness Contrast</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$
<p>Image Compression</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Gauss Noise</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$	<p>Gaussian Blur</p> <p><b>INTRODUCTION</b></p> <p><b>L</b> et <math>X_1, X_2, \dots, X_n</math> be a sequence of independent and identically with <math>E[X_i] = \mu</math> and <math>\text{Var}[X_i] = \sigma^2 &lt; \infty</math>, and let</p> $S_n = \frac{X_1 + X_2 + \dots + X_n}{n} = \frac{1}{n} \sum_{i=1}^n X_i$

**Figure 2:** List of the different image augmentation methods used during training on an example snippet form a sample document.

# Position-based NER/IE Models: DUBLIN

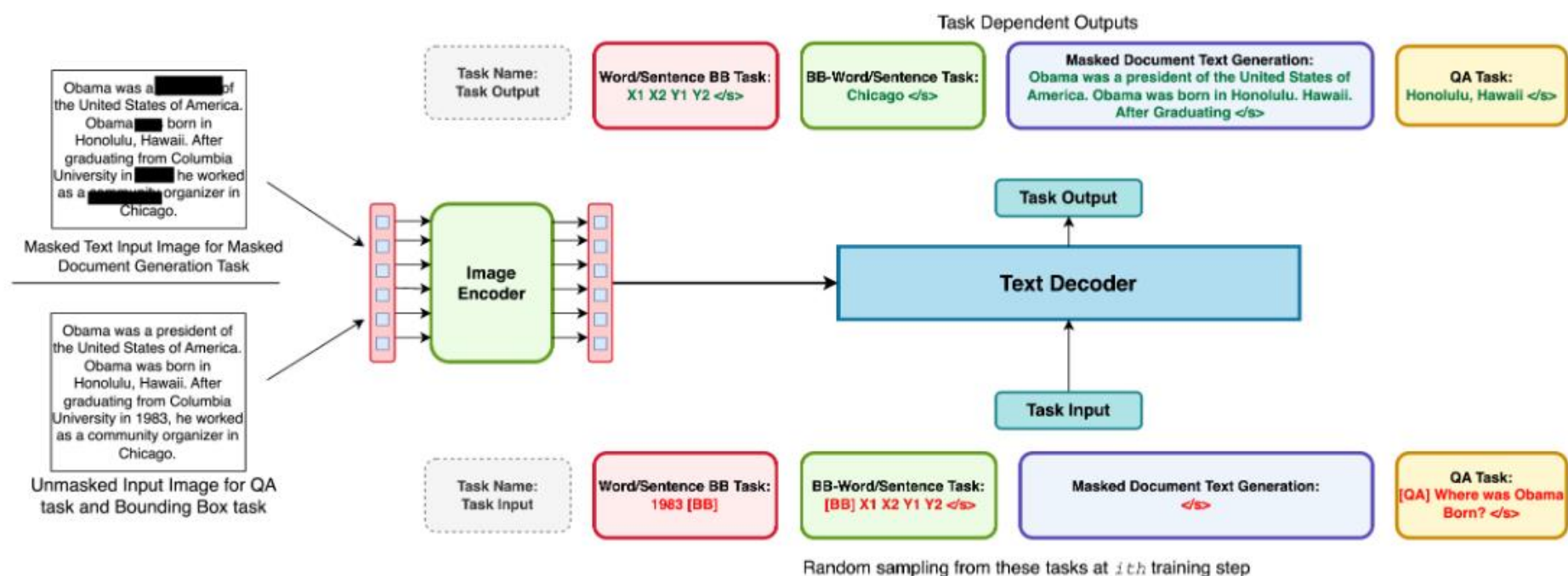
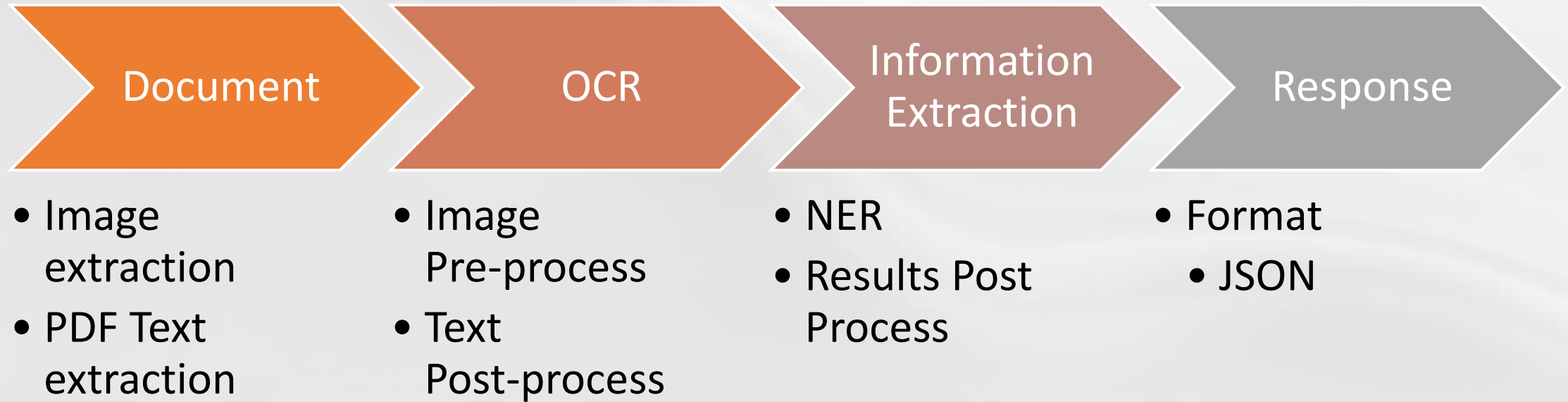
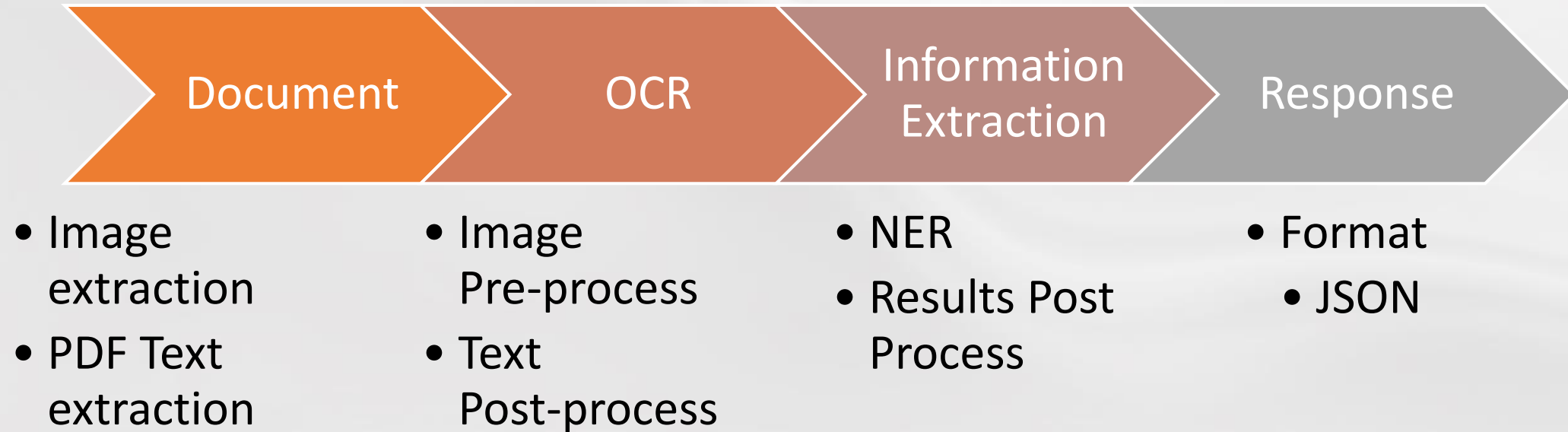


Figure 2: Illustration of three tasks in the DUBLIN pretraining framework: Bounding Box, Rendered QA, and Masked Document Text Generation.

# Traditional Document Processing Pipeline



# Modern Document Processing Pipeline



# Take-Aways



Diversity and  
Collaboration

Doubt fuels science

No one (method) is  
perfect

Thank you for your attention!



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A group of colorful wooden human figures standing in a line, representing diversity. The figures are in various colors including blue, yellow, green, red, and brown. They are standing on a light-colored surface against a blurred background.

# Diversity

Diversity can make your teams, your data, and your models – **stronger!**



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