

# NOTES ON

## From Identification to Signatures via the Fiat-Shamir Transform: Minimizing Assumptions for Security and Forward-Security

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### 1 Background

- A **canonical** id scheme  $ID = (G, P, V)$  is simply a three-round, public-coin id scheme. In other words, a key pair  $(pub, pri)$  is generated by running  $G$  on  $1^n$ , the prover  $P_{pri}$  goes first and the verifier  $V_{pub}$ 's only message, called the *challenge* and denoted by CH, consists of his random bits.  $P$ 's two messages are called *commitment* and *response*, denoted by CMT and RSP, respectively.

The *completeness* property asserts that  $P_{pri}$  can convince  $V_{pub}$  to accept no matter what the random challenge CH is.

NOTE: Throughout, we assume that the public key  $pub$  is part of the private key  $pri$  and that  $|pub|$  uniquely determines  $n$ , say  $|pub| = \ell(n) \geq n$ , where  $\ell^{-1}$  is a polytime computable function.

Also, we view  $V$ 's private coins, CH, as being chosen externally, so that  $V_{pub}(\text{CMT}, \text{CH}, \text{RSP})$  is a deterministic (boolean) function.

- Consider A canonical id scheme is **nontrivial** if the **min entropy** of the commitments distribution is superlogarithmic in the security parameter  $n$ .

Recall that the min entropy of an arbitrary discrete distribution  $D = \{p_i\}_{i=0}^k$  on  $k$  points is defined as  $H_{min}(D) = \log_2(1/p_{max}) = -\log_2(p_{max})$ , where  $p_{max} = \max\{p_i\}_{i=0}^k$  is the largest probability mass. Since each  $pri$  generated by  $G(1^n)$  induces its own commitments distribution  $P_{pri}$  and we'd like to express the min entropy  $H_{min}(n)$  as a function of the security parameter  $n$  only, we compute  $H(pri) = H_{min}(P(pri))$  for each  $pri$  and set

$$H_{min}(n) = \min_{(pub, pri) \leftarrow G(1^n)} \{H(pri)\}.$$

In the special (but ubiquitous) case that  $P_{pri}$  is uniformly distributed over  $\{0, 1\}^{f(n)}$  for every  $pri$ , where  $f : \mathbb{N} \rightarrow \mathbb{N}$  is some function, we have  $p_{max} = \frac{1}{|\{0, 1\}^{f(n)}|} = \frac{1}{2^{f(n)}}$  and  $H_{min}(n) = \log_2(1/p_{max}) = \log_2(2^{f(n)}) = f(n)$ . Hence  $H_{min}(n)$  is superlogarithmic in  $n$  iff

$f(n)$  is superlogarithmic,  $f(n) \equiv n$  say. Note that if  $f(n)$  is superlogarithmic in  $n$ , i.e.  $f(n) = \omega(\log(n))$ , then  $|\{0, 1\}^{f(n)}| = 2^{f(n)}$  is superpolynomial in  $n$ : if  $2^{f(n)} = n^c$  for some  $c$ , then  $f(n) = c \cdot \log(n) = \Omega(\log(n))$ , contradicting  $f(n) = \omega(\log(n))$ .

We may therefore informally say that a canonical id scheme is nontrivial if the prover's commitment space is “large”, i.e. of size superpolynomial in  $n$ . Observe that sampling such a commitment space polynomially many times is unlikely to yield repetitions, in the sense that the probability of getting the same element twice is negligible in  $n$  (this can be shown using the union bound).

- Informally, an id scheme is **secure against passive attacks**, or **passively secure**, if the probability that a probabilistic polytime impersonator  $I$  gets the verifier  $V_{pub}$  to accept – given  $pub$  and having seen polynomially many transcripts of interactions between  $V_{pub}$  and  $P_{pri}$  – is negligible in  $n$ . Here the probability is taken over all key pairs  $(pub, pri) \leftarrow G(1^n)$ .

In other words, although  $I$  can *eavesdrop* on conversations between  $P_{pri}$  and  $V_{pub}$ , he cannot attempt to extract information from  $P_{pri}$  by interacting with it arbitrarily.

This is a weaker notion of security than the standard *active* one, where  $I_{pub}$  gets to interact with  $P_{pri}$  in the role of  $V_{pub}$  before attempting impersonation.

- The **Fiat-Shamir transform** is a way of converting a canonical id scheme  $ID = (G, P, V)$  into a signature scheme  $SIG_{\mathcal{H}}(ID) = (G, SIGN_{\mathcal{H}}, VER_{\mathcal{H}})$  using a function  $\mathcal{H} : \{0, 1\}^* \rightarrow \{0, 1\}^{c(n)}$  (or, more precisely, an ensemble  $\{\mathcal{H}_n\}_{n \in \mathbb{N}}$  of such functions), where  $c(n) : \mathbb{N} \rightarrow \mathbb{N}$  is the length of  $V$ 's challenge on security parameter  $n$ .

We are mostly interested in *efficiently computable*  $\mathcal{H}$ , i.e.  $\mathcal{H}$  for which there exists a deterministic Turing machine  $\mathcal{M}_{\mathcal{H}}$  such that  $\mathcal{M}_{\mathcal{H}}(m) = \mathcal{H}(m)$  for all  $m \in \{0, 1\}^*$ . However, our definition of  $SIG_{\mathcal{H}}(ID)$  makes sense even if  $\mathcal{H}$  isn't efficiently computable.

To sign a message  $m \in \{0, 1\}^*$  with respect to  $(pub, pri) \leftarrow G(1^n)$ ,  $SIGN_{\mathcal{H}}(pri, m)$  simulates  $P(pri)$  to obtain a commitment CMT, deterministically computes a challenge  $CH = \mathcal{H}(\text{CMT}, m)$ , and again simulates  $P(pri, \text{CMT}, CH)$  to obtain a response RSP. It then outputs  $\sigma_m = (\text{CMT}, \text{RSP})$  as the signature of  $m$ .

To verify that  $(\alpha, \gamma)$  really is the signature of  $m$  with respect to  $(pub, pri)$ ,  $VER_{\mathcal{H}}(pub, m, (\alpha, \gamma))$  first computes  $\beta = \mathcal{H}(\alpha, m)$  and then outputs 1 if  $V(pub, \alpha, \beta, \gamma) = 1$  and 0 otherwise.

Notice that the completeness property of  $ID$  guarantees that, for every message  $m$ ,

$$\Pr[VER_{\mathcal{H}}(pub, m, (\text{CMT}, \text{RSP})) = 1] = \Pr[V(pub, \text{CMT}, \mathcal{H}(\text{CMT}, m), \text{RSP}) = 1] = 1,$$

where  $SIGN_{\mathcal{H}}(pri, m) = (\text{CMT}, \text{RSP})$  and the probability is taken over  $(pub, pri) \leftarrow G(1^n)$ .

In other words,  $SIGN_{\mathcal{H}}(pri, m)$  always outputs a legitimate signature (with respect to  $(pub, pri)$ ) of  $m$ .

- A few words about the **Random Oracle Model** and the **Random Oracle Methodology**, both formally introduced in [BR93], are in order.

Consider a cryptographic primitive  $\pi_{\mathcal{H}}$  which makes use of a hash function  $\mathcal{H} : \{0, 1\}^* \rightarrow \{0, 1\}^{c(n)}$ , for example a signature scheme  $SIG_{\mathcal{H}}(ID)$  obtained by applying the Fiat-Shamir transform (with respect to  $\mathcal{H}$ ) to some canonical id scheme  $ID$ . Our goal is to show that  $\pi_{\mathcal{H}}$

is secure in some appropriate sense, e.g. that  $SIG_{\mathcal{H}}(ID)$  is secure against existential forgery under chosen message attack. Since  $\mathcal{H}$  is just an efficiently computable function, we should think of our adversaries as being given  $\mathcal{H}$  as part of their input.

If  $\mathcal{H}$  is a “cryptographic hash function”, then it is assumed to be collision resistant in some sense (note that this implies one-wayness). The strongest assumption typically made is that collisions, i.e. strings  $m_1 \neq m_2$  such that  $\mathcal{H}(m_1) = \mathcal{H}(m_2)$ , are infeasible to find. However, we would need to introduce function ensembles  $\{\mathcal{H}_n\}_{n \in \mathbb{N}}$  to really define collision-resistance properly.

In any event, it may not be clear how to prove the security of  $\pi_{\mathcal{H}}$  if the only assumption we make about  $\mathcal{H}$  is collision-resistance of some kind. The Random Oracle Methodology suggests that we instead model  $\mathcal{H}$  as a random function  $\rho : \{0, 1\}^* \rightarrow \{0, 1\}^{c(n)}$ . In other words, rather than show that  $\pi_{\mathcal{H}}$  is secure for any particular  $\mathcal{H}$ , we settle for showing that  $\pi$  is secure against adversaries which have oracle access to  $\rho$ , where – notionally, at least –  $\rho$  is randomly chosen from the set of all functions mapping  $\{0, 1\}^*$  into  $\{0, 1\}^{c(n)}$ .

Since there are infinitely many such functions, we prefer to think of  $\rho$  as being defined incrementally: whenever the adversary asks to see  $\rho(m)$  for a new message  $m \in \{0, 1\}^*$  (i.e. one the oracle hasn’t been queried on already), it will be given a randomly chosen  $y \in \{0, 1\}^{c(n)}$ ; if the adversary subsequently queries  $\rho$  on  $m$  again, it will be shown  $y$  once more. This ensures that  $\rho$  is a well-defined function and results in the same distribution as randomly choosing  $\rho$  in advance.

Proving that  $\pi$  is secure in the Random Oracle Model (which we’ll refer to as **the ROM** from now on) is often much easier than showing that  $\pi_{\mathcal{H}}$  is secure for any particular choice of  $\mathcal{H}$ . However, security in the ROM is no guarantee of “real-world” security, as shown in [CGH98], where Canetti et al construct a signature scheme and a public key encryption primitive (PKEP) secure in the ROM but not in the standard model. Both constructions are quite artificial – Micali’s CS proofs, defined in [Mic00], make an appearance – and exploit the fact that standard-model adversaries effectively get to see the actual code of  $\mathcal{H}$ , instead of just being given oracle access to it; a similar idea was used by Barak in [Bar01].

Consider the signature scheme obtained by applying the Fiat-Shamir transform with respect to  $\rho : \{0, 1\}^* \rightarrow \{0, 1\}^{c(n)}$  to a canonical id scheme  $ID = (G, P, V)$ , where  $c(n)$  is the length of  $V$ ’s challenge on security parameter  $n$ , wholly determined by  $ID$ ; we will denote this signature scheme by  $SIG(ID)$ . Although  $\rho$  isn’t really a fixed function, our meaning is hopefully clear.

We say that  $SIG(ID) = (G, SIGN, VER)$  is secure in the ROM if no probabilistic polytime adversary  $ADV$  which is given the public key  $pub$  and oracle access to both  $SIGN_{pri}$  and  $\rho$  succeeds in producing a new message  $m \in \{0, 1\}^*$  (i.e. one  $SIGN_{pri}$  hasn’t been queried on), together with a supposed signature  $\sigma$  such that  $VER_{pub}(m, \sigma) = 1$ , with probability non-negligible in the security parameter  $n$ . Here the probability is taken over  $(pub, pri) \leftarrow G(1^n)$ ,  $ADV$ ’s coins **and the randomness of  $\rho$** .

## 2 Results

NOTE: results regarding **forward-secure signature schemes** and the **randomized Fiat-Shamir transform** are of no relevance to us and hence have been omitted.

Let  $ID = (G, P, V)$  be a **canonical** id scheme.

1.  $ID$  is **passively secure** and **nontrivial**  $\Rightarrow SIG(ID)$  is **secure in the ROM**

PROOF SKETCH: The proof is by a standard black-box reducibility argument. We show how to convert a forger  $F$  which breaks the security of  $SIG(ID)$  in the ROM into an impersonator  $I$  which breaks the passive security of  $ID$ .

Suppose that we are given a probabilistic polytime forger  $F$  which breaks the security of  $SIG(ID)$  in the ROM.  $F$  is given the public key  $pub$  and has access to both a random oracle  $\mathcal{R}$  and a signing oracle  $\mathcal{S}$ : every time  $\mathcal{S}$  is queried on a message  $m' \in \{0, 1\}^*$ , it outputs a signature  $\sigma' = (\text{CMT}', \text{RSP}')$  of  $m'$  such that  $V_{pub}(\text{CMT}', \text{CH}', \text{RSP}') = 1$ , where  $\text{CH}' = \mathcal{R}(\text{CMT}', m')$ .

The probability that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  eventually outputs a new message  $m$  together with a signature  $\sigma = (\text{CMT}, \text{RSP})$  such that  $V_{pub}(\text{CMT}, \text{CH}, \text{RSP}) = 1$ , where  $\text{CH} = \mathcal{R}(\text{CMT}, m)$ , taken over  $(pub, pri) \leftarrow G(1^n)$ , the randomness of  $\mathcal{R}$  and  $\mathcal{S}$  and the coins of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ , is non-negligible in  $n$ .

Notice that signing in  $SIG(ID)$  is probabilistic, so it makes sense for  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  to query  $\mathcal{S}$  on the same string multiple times. On the other hand, there's nothing to gain from querying  $\mathcal{R}$  on the same string more than once, so we may assume (wlog) that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  does no such thing.

We can also safely assume that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  queries  $\mathcal{R}$  on  $(\text{CMT}, m)$  before outputting  $m$  and  $\sigma$ . If it doesn't, we can easily construct another forger,  $F_{pub}'^{\mathcal{R}, \mathcal{S}}$ , that does:  $F_{pub}'^{\mathcal{R}, \mathcal{S}}$  simply simulates  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  until the latter outputs a message  $m$  and a signature  $\sigma$ , then queries  $\mathcal{R}$  on  $(\text{CMT}, m)$  and outputs  $(m, \sigma)$ . This additional random oracle query, which we'll call the "crucial query", has no effect on  $F_{pub}'^{\mathcal{R}, \mathcal{S}}$ 's success probability, since it does not affect the choice of  $m$  or  $\sigma$ .

We construct a probabilistic polytime impersonator  $I$  which, given a public key  $pub$  and access to a transcript-generating oracle  $\mathcal{T}$ , gets  $V_{pub}$  to accept with probability non-negligible in the security parameter  $n$ . Here the probability is taken over  $(pub, pri) \leftarrow G(1^n)$ , the randomness of  $\mathcal{T}$  and the coins of  $I_{pub}^{\mathcal{T}}$ .

Say that the running time of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  is bounded above by  $n^c$ , no matter what the outcome of its coin tosses is; such a  $c$  exists since  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  is assumed to run in strict polynomial time. This means that for any random tape,  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  makes at most  $n^c$  random oracle queries, which we'll number from 1 to  $n^c$ .

$I_{pub}^{\mathcal{T}}$  begins its simulation of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  by guessing the index of the "crucial query":  $I_{pub}^{\mathcal{T}}$  uniformly selects an index  $i \in \{1, \dots, n^c\}$  and hopes that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ 's  $i^{\text{th}}$  random oracle query is about  $\text{CMT} \circ m$ ; since we've assumed that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  does make the crucial query at some point and that it never queries  $\mathcal{R}$  on the same string twice, this happens with probability  $\frac{1}{n^c}$ .

What does  $I_{pub}^{\mathcal{T}}$  gain by correctly guessing  $i$ ? It learns the value of  $\text{CMT}$ , which it can send to  $V_{pub}$  to obtain a challenge  $\text{CH}$ .  $I_{pub}^{\mathcal{T}}$  can then give  $\text{CH}$  to  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  as the answer to the crucial query, thereby ensuring that the message  $\text{RSP}$ , which the latter eventually outputs as part of  $\sigma$ , is the correct answer to  $\text{CH}$ .

During the simulation,  $I_{pub}^{\mathcal{T}}$  responds to all of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ 's random oracle queries but the  $i^{\text{th}}$  with a uniformly chosen string from  $\{0, 1\}^{c(n)}$  (recall that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  never queries  $\mathcal{R}$  on the same string twice, by assumption); the  $i^{\text{th}}$  query is handled specially.

Suppose that for its  $i^{th}$  random oracle query,  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  asks to see  $\mathcal{R}(m')$  for some  $m' \in \{0, 1\}^*$ .  $I_{pub}^{\mathcal{T}}$  parses  $m'$  as  $\text{CMT} \circ m$ , sends  $\text{CMT}$  to  $V_{pub}$  and receives a challenge  $\text{CH} \in \{0, 1\}^{c(n)}$  in response. It then gives  $\text{CH}$  to  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  as the answer to its query and continues the simulation.

Whenever  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  asks to see a signature of a message  $m'$ ,  $I_{pub}^{\mathcal{T}}$  queries  $\mathcal{T}$  to obtain a random transcript  $\text{Tr}' = (\text{CMT}', \text{CH}', \text{RSP}')$  and then gives  $\text{Tr}'$  to  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ .

There is a problem with this approach if  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  queries  $\mathcal{S}$  on the same message  $m'$  many times, which is a reasonable thing to do because signing in  $\text{SIG}(\text{ID})$  is probabilistic. In order for the resulting transcripts to be legitimate signatures of  $m'$ , it must be the case that  $\mathcal{R}(\text{CMT}', m') = \text{CH}'$  for all of them.  $\mathcal{T}$ , however, generates  $\text{CH}'$  randomly.

If the commitments  $\text{CMT}'$  are distinct then  $\text{CMT}' \circ m'$  is a new message, so that  $\mathcal{R}(\text{CMT}', m')$  is distributed uniformly over  $\{0, 1\}^{c(n)}$  and we're fine. If the commitments match, on the other hand, then we will likely run into trouble: should the challenges  $\text{CH}'$  differ, as they almost certainly will (since they're chosen randomly from  $\{0, 1\}^{c(n)}$ ),  $\mathcal{R}(\text{CMT}', m')$  will appear to have multiple values, preventing  $\mathcal{R}$  from being a well-defined function. Therefore a new commitment  $\text{CMT}'$  is added to the (notional) set of “forbidden commitments” every time  $\mathcal{S}$  is queried on  $m'$ . In the worse case,  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  does nothing but query  $\mathcal{S}$  on some message  $m'$ , which means that the size of the “forbidden commitment set” is bounded above by  $n^c$ .

A similar situation can occur even if  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  doesn't query  $\mathcal{S}$  on the same message more than once. Observe that if  $\text{Tr}' = (\text{CMT}', \text{CH}', \text{RSP}')$  is to be a legitimate signature of  $m'$ , we must have  $\mathcal{R}(\text{CMT}', m') = \text{CH}'$ . So every  $\mathcal{S}$  query effectively involves an implicit  $\mathcal{R}$  query. But what if  $\mathcal{R}$  has been queried on  $\text{CMT}' \circ m'$  already? Unless we're very lucky and  $\text{CH}'$  matches the value previously assigned to  $\mathcal{R}(\text{CMT}', m')$ , this, too, prevents  $\mathcal{R}$  from being well-defined. Therefore a new commitment  $\text{CMT}'$  is added to the “forbidden commitment set” every time  $\mathcal{R}$  is queried on  $x \in \{0, 1\}^*$  – simply parse  $x$  as  $\text{CMT}' \circ m'$ . In the worst case,  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  queries  $\mathcal{R}$  on as many strings  $x_1, x_2, x_3, \dots$ , which parse as  $\text{CMT}'_1 \circ m', \text{CMT}'_2 \circ m', \text{CMT}'_3 \circ m', \dots$ , as possible, and then queries  $\mathcal{S}$  on  $m'$ . Here the size of the “forbidden commitment set” is bounded above by  $n^c - 1$ , because the signing query contributes no forbidden commitment.

Both of these difficulties can be resolved by appealing to the nontriviality assumption: since  $\text{ID}$  is nontrivial, the probability that a randomly chosen commitment  $\text{CMT}'$  belongs to the “forbidden commitment set” is negligible in  $n$ , so we can safely ignore that eventuality.

Eventually,  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  outputs a message  $m$  together with a supposed signature  $\sigma = (\text{CMT}, \text{RSP})$  of  $m$ . This only happens after all of its random oracle queries, and in particular the  $i^{th}$ , have been answered, which means that  $V_{pub}$  has already challenged  $I_{pub}^{\mathcal{T}}$  by this point.

$I_{pub}^{\mathcal{T}}$  responds by sending  $\text{RSP}$  to  $V_{pub}$ . What is the probability that  $V_{pub}$  accepts?

Since  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  succeeds in outputting a legitimate signature  $\sigma = (\text{CMT}, \text{RSP})$  of the message  $m$  with non-negligible probability, there is a  $d$  such that

$$p(n) = \Pr[V_{pub}(\text{CMT}, \mathcal{R}(\text{CMT}, m), \text{RSP}) = 1] > \frac{1}{n^d} \text{ for infinitely many } n,$$

where the probability is taken over the coins of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ , the randomness of  $\mathcal{S}$  – recall that signing in  $\text{SIG}(\text{ID})$  is probabilistic – and the randomness of  $\mathcal{R}$ .

What is the probability that  $I_{pub}^T$  gets  $V_{pub}$  to accept after sending it CMT and RSP, i.e. that  $V_{pub}(\text{CMT}, \text{CH}, \text{RSP}) = 1$ , taken over  $V_{pub}$ 's coins (CH), the randomness of the transcript oracle  $\mathcal{T}$  and the coins of  $I_{pub}^T$ ?

If  $I_{pub}^T$  correctly guesses the index  $i$  of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$ 's  $\mathcal{R}(\text{CMT}, m)$  query and its simulation of  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  is not plagued by any “commitment collision” issues – which only crop up with negligible probability and can therefore be safely ignored (informally, at least) – then the answer is again  $p(n)$ . Since the guessing is independent from the simulation, we obtain:

$$\Pr[V_{pub}(\text{CMT}, \text{CH}, \text{RSP}) = 1] \approx \frac{1}{n^c} \cdot p(n) > \frac{1}{n^c} \cdot \frac{1}{n^d} = \frac{1}{n^{c+d}} \text{ for infinitely many } n.$$

This shows that  $I_{pub}^T$ 's success probability is non-negligible in  $n$ , so  $I_{pub}^T$  breaks the passive security of  $ID$  and we are done.

REMARKS: Observe that the interaction between  $\mathcal{S}$  and  $\mathcal{R}$  – namely the whole implicit queries business – forced us to invoke the nontriviality assumption even in the case that  $F_{pub}^{\mathcal{R}, \mathcal{S}}$  did not query  $\mathcal{S}$  on the same message more than once. More precisely, we required *some* assumption strong enough to guarantee that the probability of landing in the forbidden commitment set when sampling the commitment space is negligible in  $n$ .

However, there would be no need for this type of assumption if  $F_{pub}$  were not allowed to query  $\mathcal{S}$  at all, which yields the following result:

$$ID \text{ passively secure} \Rightarrow SIG(ID) \text{ passively secure in the ROM},$$

where a signature scheme is deemed **passively secure in the ROM** if it is secure in the ROM against adversaries not allowed any  $\mathcal{S}$  queries; note that ordinary ROM security implies passive ROM security.

## 2. $ID$ is **passively secure** $\Leftarrow SIG(ID)$ is **secure in the ROM**

PROOF SKETCH: The proof is again by a black-box reducibility argument. We show how to convert an impersonator that breaks the passive security of  $ID$  into a forger that breaks the security of  $SIG(ID)$  in the ROM.

Suppose that we are given a probabilistic polytime impersonator  $I$  that breaks the passive security of  $ID$ : a key pair  $(pub, pri)$  is generated by running  $G$  on  $1^n$  together with random bits;  $I$  is given the public key  $pub$  and has access to a transcript-generating oracle  $\mathcal{T}$  which probabilistically simulates the interaction between  $P_{pri}$  and  $V_{pub}$  to produce a transcript  $\text{TR} = (\text{CMT}, \text{CH}, \text{RSP})$  every time it's queried; the probability that  $I_{pub}^T$  gets  $V_{pub}$  to accept after being shown a bunch of legitimate transcripts by  $\mathcal{T}$ , taken over the choice of  $(pub, pri)$ , the randomness of  $\mathcal{T}$  and the coins of  $I_{pub}^T$ , is non-negligible in  $n$ .

We construct a probabilistic polytime forger  $F$  which, given a public key  $pub$  and access to a random oracle  $\mathcal{R}$  and a signing oracle  $\mathcal{S}$ , produces a signature  $\sigma_m = (\text{CMT}, \text{RSP})$  such that  $\Pr[V_{pub}(\text{CMT}, \mathcal{R}(\text{CMT}, m), \text{RSP}) = 1]$  is non-negligible in  $n$ , for any message  $m \in \{0, 1\}^*$ ; here the probability is taken over  $(pub, pri) \leftarrow G(1^n)$ , the randomness of  $\mathcal{R}$  and  $\mathcal{S}$ , and the coins of  $F_{pub, m}^{\mathcal{R}, \mathcal{S}}$ .

Given a public key  $pub$  and a message  $m$  to sign,  $F_{pub, m}^{\mathcal{R}, \mathcal{S}}$  simulates  $I_{pub}^T$  as follows: whenever  $I_{pub}^T$  queries  $\mathcal{T}$ ,  $F_{pub, m}^{\mathcal{R}, \mathcal{S}}$  computes  $(\text{CMT}', \text{RSP}') = \mathcal{S}(m')$ ,  $\text{CH}' = \mathcal{R}(\text{CMT}', m')$  and gives the

transcript  $\text{Tr} = (\text{CMT}', \text{CH}', \text{RSP}')$  to  $I_{pub}^T$ . The choice of messages  $m' \in \{0, 1\}^*$  is immaterial as long as they are distinct and different from  $m$ . We need them to be distinct so that  $\mathcal{R}$  is queried on a new string every time, ensuring that  $\mathcal{R}(\text{CMT}', m')$  is uniformly distributed over  $\{0, 1\}^{c(n)}$  and  $\text{Tr}$  is distributed exactly like a random transcript. They should differ from  $m$  because  $F$  can't win by forging the signatures of messages it queried  $\mathcal{S}$  on.

Eventually,  $I_{pub}^T$  outputs a commitment  $\text{CMT}$  and awaits  $V_{pub}$ 's challenge. At this point,  $F_{pub,m}^{\mathcal{R},\mathcal{S}}$  computes  $\text{CH} = \mathcal{R}(\text{CMT}, m)$  and gives  $\text{CH}$  to  $I_{pub}^T$ , which responds with  $\text{RSP}$ ; notice that up till now  $\mathcal{R}$  has only been queried on strings of the form  $\text{CMT}' \circ m'$ , where  $m' \neq m$ , so  $\text{CMT} \circ m$  is a new string and  $\mathcal{R}(\text{CMT}, m)$  is distributed uniformly over  $\{0, 1\}^{c(n)}$ .  $F_{pub,m}^{\mathcal{R},\mathcal{S}}$  then outputs  $\sigma = (\text{CMT}, \text{CH}, \text{RSP})$  as the signature of  $m$ .

The probability that  $\sigma$  is a legitimate signature of  $m$  with respect to  $(pub, pri)$  is equal to the probability that  $I_{pub}^T$  gets  $V_{pub}$  to accept, which is non-negligible by assumption. Hence  $F_{pub,m}^{\mathcal{R},\mathcal{S}}$  breaks the security of  $SIG(ID)$  in the ROM.

REMARKS: Notice that the proof works whether  $ID$  is trivial or not, since  $F_{pub,m}^{\mathcal{R},\mathcal{S}}$  can easily generate properly distributed transcripts by appropriately choosing the messages it queries  $\mathcal{S}$  and  $\mathcal{R}$  on. This shows that the nontriviality of  $ID$  is **not** a necessary condition for the security of  $SIG(ID)$  in the ROM, although the authors appear to claim that it is. In other words, we can't argue that  $ID$  is non-trivial just because  $SIG(ID)$  is secure in the ROM.

Hence it might be the case that the nontriviality assumption used by the authors to prove the converse direction, i.e. that  $SIG(ID)$  is secure in the ROM if  $ID$  is both passively secure and nontrivial, might be either too strong or unnecessary altogether.

### 3 Open Questions

- What if  $ID$  is actively secure, can we get rid of the nontriviality assumption then? If so, how?
- Let us informally label a canonical id scheme “hypertrivial” if its commitment space consists of only a single message, say  $\lambda$  (any fixed  $m \in \{0, 1\}^*$  will do).

Although Bellare et al appear to claim that nontriviality of  $ID$  is necessary for ROM-security of  $SIG(ID)$ , i.e. that **every trivial passively secure canonical id scheme yields a signature scheme insecure in the ROM**, all they actually show is that, assuming that factoring certain integers is hard, **there exists a “hypertrivial” passively secure id scheme which yields a signature scheme insecure in the ROM**.

Might there not exist, under plausible assumptions, a passively secure “hypertrivial” id scheme which *does* yield a ROM-secure signature scheme? All signs point to “yes”; give a concrete example.

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