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Beam optics support functions 5D

Volker Ziemann, 220101

In this script we define the functions for the 5D beam optics calculations, such as calcmat() that a frequently used in other calculations. All described functions reside in the subdirectory 5D. Any scripts using these function need to include that subirectory with the command "addpath ./5D".

The function calcmat() to calculate all transfer matrices

The following function receives the beamline description as input and returns

- Racc(5,5,nmat): transfer matrices from the start to the each of each segment, such that R(:,:,end) is the transfer matrix from the start to the end of the beamline.
- spos: position along the beamline after each segment, useful when plotting.
- nmat: number of segments
- nlines: number of lines in the beamline
- ibl: reverse lookup of the line from the segment, such that line=ibl(k) with k=1,...,nmat.

```
function [Racc, spos, nmat, nlines, ibl] = calcmat(beamline)
ndim=size(DD(1),1);
nlines=size(beamline,1);
                            % number of lines in beamline
nmat=sum(beamline(:,2))+1; % sum over repeat-count in column 2
Racc(:,:,1) = eye(ndim);
                         % initialize first with unit matrix
                            % position in beamline file
ibl=zeros(nmat,1);
spos=zeros(nmat,1);
                            % longitudinal position
                            % element counter
ic=1;
ibl(1)=1;
for line=1:nlines
                           % loop over input elements
  for seg=1:beamline(line,2) % loop over repeat-count
    ic=ic+1;
                           % next element
    Rcurr=eye(ndim);
                            % matrix in next element
    switch beamline(line,1)
      case 1 % drift
        Rcurr=DD(beamline(line,3));
      case 2 % thin quadrupole
        Rcurr=Q(beamline(line,4));
      case 4 % sector dipole
        phi=beamline(line,4)*pi/180; % convert to radians
        rho=beamline(line,3)/phi;
        Rcurr=SB(beamline(line, 3), rho);
      case 5 % thick quadrupole
        Rcurr=QQ(beamline(line, 3), beamline(line, 4));
      case 20 % coordinate roll
        Rcurr=ROLL(beamline(line,4));
      case 60 % skew qquadrupole
        Rcurr=SQ(beamline(line,4));
```

```
case 201 % phase advance in X
        phasex=beamline(line,4)*pi/180;
        cx=cos(phasex); sx=sin(phasex);
        Rcurr(1,1)=cx; Rcurr(1,2)=sx; Rcurr(2,1)=-sx; Rcurr(2,2)=cx;
      case 202 % phase advance in Y
         phasey=beamline(line,4)*pi/180;
         cy=cos(phasey); sy=sin(phasey);
         Rcurr(3,3)=cy; Rcurr(3,4)=sy; Rcurr(4,3)=-sy; Rcurr(4,4)=cy;
      otherwise
        current line=line;
        disp('unsupported code')
    end
    spos(ic)=spos(ic-1)+beamline(line,3); % position of element
    ibl(ic)=line;
                                       % reverse lookup of segment
 end
end
end
```

Transfer matrix for a drift space DD(L)

The function DD() receives the length L of a drift space and resturns the 5x5 transfer matrix out for a drift space.

```
function out=DD(L)
out=eye(5);
out(1,2)=L;
out(3,4)=L;
end
```

Transfer matrix for a thin-lens quadrupole Q(F)

The function Q() receives the focal length F as input and returns the 5x5 transfer matrix out for a thin-lens quadrupole.

```
function out=Q(F)
out=eye(5);
if abs(F)<1e-8, return; end
out(2,1)=-1/F;
out(4,3)=1/F;
end</pre>
```

Transfer matrix for a thick quadrupole QQ(F)

The function QQ() receives the length L and k1 as input and returns the 5x5 transfer matrix out for a thick quadrupole.

```
function out=QQ(L,k)
ksq=sqrt(abs(k));
out=eye(5);
if abs(k) < 1e-6
   out(1,2)=L;
   out(3,4)=L;</pre>
```

```
else
    A=[cos(ksq*L),sin(ksq*L)/ksq;-ksq*sin(ksq*L),cos(ksq*L)];
    B=[cosh(ksq*L),sinh(ksq*L)/ksq;ksq*sinh(ksq*L),cosh(ksq*L)];
    if k>0
        out(1:2,1:2)=A;
        out(3:4,3:4)=B;
    else
        out(1:2,1:2)=B;
        out(3:4,3:4)=A;
    end
end
```

Transfer matrix for a thin-lens skew quadrupole SQ(F)

The function SQ() receives the focal length F as input and returns the 5x5 transfer matrix out for a thin-lens skew quadrupole.

```
function out=SQ(F)
out=eye(5);
if abs(F)<1e-8, return; end
out(2,3)=1/F;
out(4,1)=1/F;
end</pre>
```

Transfer matrix for a sector dipole SB(L,rho)

The function SB() receives the length L and bending radius rho of a horizontally deflecting sector dipole magnet and returns its 5x5 transfer matrix out.

Transfer matrix for coordinate rotation ROLL(phi)

The function ROLL() receives the roll angle phi (in degree) around the s-direction as input and returns the corresponding 5x5 transfer matrix out.

```
function out=ROLL(phi) % phi in degree
c=cos(phi*pi/180); s=sin(phi*pi/180);
out=eye(5);
out(1,1)=c; out(1,3)=s; out(2,2)=c; out(2,4)=s;
```

```
out(3,1)=-s; out(3,3)=c; out(4,2)=-s; out(4,4)=c; end
```

R2beta()

The function R2beta() receives a transfer matrix R as input and returns the "tune" $Q = \mu/2\pi$ for the transfer matrix R, as well as the periodic Twiss parameters α , β , and γ following Equation 3.60.

```
function [Q,alpha,beta,gamma]=R2beta(R)
mu=acos(0.5*(R(1,1)+R(2,2)));
if (R(1,2)<0), mu=2*pi-mu; end
Q=mu/(2*pi);
beta=R(1,2)/sin(mu);
alpha=(0.5*(R(1,1)-R(2,2)))/sin(mu);
gamma=(1+alpha^2)/beta;
end</pre>
```

plot_betas()

The function plot_betas() receives the beamline description and the initial 5x5 beam matrix sigma0 as input an produces a plot of the horizontal and the vertical beta function. This function assumes that the emittance of sigma0 is 1, or $\det \sigma_0 = 1$ in both planes, such that $\sigma_{11} = \beta_x$ and $\sigma_{33} = \beta_y$ are the beta functions. It then uses Equation 3.43 to propagate σ .

```
function plot_betas(beamline, sigma0)
[Racc, spos] = calcmat(beamline);
betax = zeros(1,length(spos)); betay = betax;
for k = 1:length(spos)
    sigma = Racc(:,:,k) * sigma0 * Racc(:,:,k)';
    betax(k) = sigma(1,1); betay(k) = sigma(3,3);
end
plot(spos, betax, 'k', spos, betay, 'r - .', 'LineWidth', 2);
xlabel(' s[m]'); ylabel('\beta_x, \beta_y [m]')
legend('\beta_x', '\beta_y')
axis([0, max(spos), 0, 1.05 * max([betax, betay])])
end
```

plot_betas_unrolled()

The function plot_betas_unrolled() receives the beamline description and the parameters flipped and show as input and returns arrays with the periodic beta functions beta1 and beta2, the periodic dispersion disp and the evolution of the tunes Qtune along the beamline. If flipped==1 it uses the flipped solution from Sagan and Rubin, if show==1, it produces nicely annotated plots. Note that this routine is adpated by hand to ensure that the fractinal part of the vertical tunes is smaller than that of the horizontal tune. It also unrolls the coordinate system, before returning the beta function and dispersions.

```
[O,A,T,p]=sagrub(Rturn,flipped);
if p(4) < p(1), flipped=~flipped; [0,A,T,p]=sagrub(Rturn,flipped); end % ensure [Qy]>|
S1=A*T; S1inv=inv(S1);
D0=periodic dispersion(Rturn);
inroll=0; Rs=eye(5); % prepare for the unrolling of coordinate rotations
beta1=zeros(1,nmat); beta2=beta1; disp=zeros(nmat,2);
Qtune=zeros(nmat,2); dQ11=0; dQ21=0; % needed for phase advances
for k=1:nmat
  if unroll==1 && beamline(ibl(k),1)==20 % coordinate rotation found
    if inroll==0 % rotation found and NOT in rolled region
      inroll=1; Rs=ROLL(-beamline(ibl(k),4)); % Rs = unroll matrix
                 % found the un-rotation after the element
      inroll=0; Rs=eye(5); % no unrolling needed any more
   end
 end
 R=Rs*Racc(:,:,k)*Rturn*inv(Rs*Racc(:,:,k)); % move FTM to point k
  [0,A,T,p]=sagrub(R,flipped); %beta1(k)=p(3); beta2(k)=p(6); % betas
  sig=periodic_beammatrix2(R,1,1,0,flipped);
 beta1(k)=sig(1,1); beta2(k)=sig(3,3);
 D=Rs*Racc(:,:,k)*D0; disp(k,1)=D(1); disp(k,2)=D(3); % dispersions
 if k>1 %.....phase advances from second element onwards
   R12=Rs*Racc(:,:,k);
                                      % unrolled!
   S2=A*T;
   OO=S2*R12(1:4,1:4)*Slinv;
                                      % two rotations on diagonal, 211224
   if abs(det(00(1:2,1:2))) < 1e-12 % flipped mode</pre>
      [O,A,T,p]=sagrub(R,~flipped); % use the other mode
     S2=A*T;
     OO=S2*R12(1:4,1:4)*S1inv;
   mu1=acos(0.5*(00(1,1)+00(2,2))); % phase a
   if OO(1,2)<-le-12, mul=2*pi-mul; end; dQ1=mul/(2*pi);</pre>
   Qtune(k,1)=Qtune(k-1,1)+dQ1-dQ11;
   if dQ11 > dQ1+0.25, Qtune(k,1)=Qtune(k,1)+1; end
   mu2=acos(0.5*(00(3,3)+00(4,4))); % phase b
   if OO(3,4) < -1e-12, mu2 = 2*pi-mu2; end; dQ2 = mu2/(2*pi);
   Qtune(k, 2) = Qtune(k-1, 2) + dQ2-dQ21;
   if dQ21 > dQ2+0.25, Qtune(k,2)=Qtune(k,2)+1; end
   dQ11=dQ1; dQ21=dQ2;
 end
if show==0, return; end
%..... only plotting below
subplot(2,1,1);
plot(spos,real(beta1),'k',spos,real(beta2),'r-.','LineWidth',2);
xlim([min(spos),max(spos)])
xlabel('s [m]'); ylabel('\beta_a, \beta_b'); legend('\beta_a','\beta_b')
title(['Beta functions: Q_a= ',num2str(Qtune(end,1),'%8.3f'),' Q_b= ',num2str(Qtune(end,1),'%8.3f'),'
drawmag(beamline, 1, 2)
set(gca,'FontSize',16)
subplot(2,1,2);
plot(spos,real(disp(:,1)),'k',spos,real(disp(:,2)),'r-.','LineWidth',2);
xlim([min(spos),max(spos)])
xlabel('s [m]'); ylabel('D_a, D_b'); legend('D_a', 'D_b')
```

```
title('Dispersions')
set(gca,'FontSize',16)
set(gcf,'Position',[270,-100,1400,900])

figure
plot(spos,real(Qtune(:,1)),'k',spos,real(Qtune(:,2)),'r-.','LineWidth',2)
xlabel('s [m]'); ylabel('\mu_a/2\pi, \mu_b/2\pi')
legend('\mu_a/2\pi','\mu_b/2\pi','Location','NorthWest');
xlim([min(spos),max(spos)]);
set(gca,'FontSize',16)
set(gcf,'Position',[270,-100,1400,900])
end
```

plot_sigmamatrix()

The function plot_sigmamatrix() receives the beamline description and the initial 5x5 beam matrix sigma0 as input an produces a plot of the horizontal and the vertical beam sizes σ_x and σ_y . It uses Equation 3.43 to propagate σ . Note that this routine always plots the beam sizes in the lab frame. If it detects a coordinate rotation (with element code 20) it always rolls back the coordinate system before plotting σ_x and σ_y .

```
function [sigx,sigy]=plot_sigmamatrix(beamline,sigma0)
[Racc, spos, nmat, nlines, ibl] = calcmat(beamline);
inroll=0; Rs=eye(5);
sigx=zeros(1,nmat); sigy=sigx;
for k=1:nmat
  if beamline(ibl(k),1)==20
                                % coordinate rotation found
    if inroll==0
      inroll=1; Rs=ROLL(-beamline(ibl(k),4));
    else
      inroll=0; Rs=eye(5);
    end
  end
  RR=Rs*Racc(:,:,k); sig=RR*sigma0*RR';
  sigx(k)=sqrt(sig(1,1)); sigy(k)=sqrt(sig(3,3));
end
plot(spos,sigx*1e3,'k',spos,sigy*1e3,'r-.','LineWidth',2)
xlim([min(spos),max(spos)])
xlabel('s [m]'); ylabel('\sigma_x, \sigma_y [mm]')
legend('\sigma_x','\sigma_y')
title('Beam sizes')
% set(gca,'FontSize',16)
% set(gcf,'Position',[800,-200,1400,500])
end
```

periodic_beammatrix()

The function periodic_beammatrix() receives the 5x5 transfer matrix Rend and the emittances epsx and epsy as input and returns the 5x5 beam matrix σ that obeys $\sigma = R_{end}\sigma R_{end}^t$. In other words, it is periodic. This routine only works for uncoupled beamlines!

```
[Qy,alphay,betay,gammay]=R2beta(Rend(3:4,3:4));
sigma=zeros(4,4);
sigma(1:2,1:2)=epsx*[betax,-alphax;-alphax,gammax]; % eq. 3.78
sigma(3:4,3:4)=epsy*[betay,-alphay;-alphay,gammay];
end
```

periodic_beammatrix2()

The function periodic_beammatrix() receives the 5x5, possibly coupled, transfer matrix Rend and the emittances epsx, epsy and sigp as well as the parameter flipped [0,1] as input and returns the 5x5 beam matrix σ that obeys $\sigma = R_{end}\sigma R_{end}^t$. In other words, it is periodic. This routine also works for coupled beamlines! Note that if flipped==1 the subroutine uses the flipped solution referred to in Sagan and Rubin's PRAB paper.

```
function sigma=periodic_beammatrix2(Rend,epsx,epsy,sigp,flipped)
if nargin<5, flipped=0; end
[0,A,T,para]=sagrub(Rend(1:4,1:4),flipped);
sigma=zeros(5);
iAT=inv(A*T);
sigma(1:4,1:4)=iAT*diag([epsx,epsx,epsy,epsy])*iAT';  % more stable
if sigp > 0
   D0=periodic_dispersion(Rend);
   sigma=sigma+D0*D0'*sigp^2;  % add momentum spread
end
end
```

periodic_dispersion()

The function periodic_dispersion() receives the 5x5 transfer matrix Rend as input and returns the periodic dispersion D0, which obeys $D_0 = R_{end}D_0$.

```
function D0=periodic_dispersion(Rend)
D=(eye(4)-Rend(1:4,1:4))\Rend(1:4,5);
D0=[D;1];
end
```

calculate_dispersion()

The function calculate_dispersion() receives the description of the beamline and the initial dispersion DO as input and returns the dispersion D and its derivative Dp.

```
function [D,Dp]=calculate_dispersion(beamline,D0)
[Racc,spos]=calcmat(beamline);
D=zeros(length(spos),1); Dp=D;
for k=1:length(spos)
    D(k)=Racc(1,:,k)*D0;
    Dp(k)=Racc(2,:,k)*D0;
end
end
```

tunes()

The function tunes() receives the 5x5 transfer matrix Rend and returns the horizontal and vertical tunes, Q_x and Q_y , respectively. This routine only works for uncoupled beamlines!

```
function Q=tunes(Rend);
[Qx,alphax,betax,gammax]=R2beta(Rend(1:2,1:2));
[Qy,alphay,betay,gammay]=R2beta(Rend(3:4,3:4));
Q=[Qx,Qy];
end
```

drawmag()

The function <code>drawmag()</code> receives the beamline description and the vertical position <code>vpos</code> and <code>height</code> of the magnets on the plot as input and produces a graphical rendition of the quadrupoles and dipoles on a plot.

```
% drawmag.m, draw magnet lattice
function drawmag(beamline, vpos, height);
hold on
legend('AutoUpdate','off')
nlines=size(beamline,1);
nmat=sum(beamline(:,2))+1;
spos=zeros(nmat,1);
ic=1;
for line=1:nlines
  for seq=1:beamline(line,2)
    ic=ic+1;
    switch beamline(line,1)
      case 2 % thin quadrupole
            dv=0.15*height*sign(beamline(line,4));
            rectangle('Position',[spos(ic-1),vpos+dv,0.1,height])
      case 4 % sector dipole
            L=beamline(line,3);
            rectangle('Position', ...
                [spos(ic-1), vpos+0.25*height, L, 0.5*height])
      case 5 % thick quadrupole
            L=beamline(line,3);
            dv=0.15*height*sign(beamline(line,4));
            rectangle('Position',[spos(ic-1),vpos+dv,L,height])
      case 60 % thin skew quadrupole
            dv=0.3*height; %*sign(beamline(line,4));
            rectangle('Position',[spos(ic-1),vpos+dv,0.1,0.6*height],'EdgeColor',[1,0,0
    end
    spos(ic) = spos(ic-1) + beamline(line, 3);
  end
plot([spos(1),spos(end)],[vpos+0.5*height,vpos+0.5*height],'k:');
end
```

sagrub()

The function sagrub() implements the decomposition of a full-turn matrix R according to Sagan and Rubin's paper. It receives a 5x5 full-turn matrix R and a parameter flipped, which, if it is unity, instructs the routine to calculate the flipped solution, as input and returns the 4x4 matrices \mathcal{O} , \mathcal{A} , and T that obey Equation 3.104. The

fourth output p, defined in the last line of the function, contains the eigentunes and beta functions as well as other parameters related to coupled beam lines.

```
function [0,A,T,p]=sagrub(R,flipped)
if nargin==1, flipped=0; end
M=R(1:2,1:2); N=R(3:4,3:4); m=R(1:2,3:4); n=R(3:4,1:2);
nplus=[n(2,2),-n(1,2);-n(2,1),n(1,1)];
H=m+nplus;
TRMN=trace(M-N);
if TRMN==0, TRMN=1e-16; end
qamma=sqrt(0.5+0.5*sqrt(TRMN^2/(TRMN^2+4*det(H))));
C=-H*sign(TRMN)/(gamma*sqrt(TRMN^2+4*det(H)));
Cplus=[C(2,2),-C(1,2);-C(2,1),C(1,1)];
AA=gamma^2*M-gamma*(C*n+m*Cplus)+C*N*Cplus;
BB=gamma^2*N+gamma*(n*C+Cplus*m)+Cplus*M*C;
if flipped==1
                        % calculate the fipped mode
  gammaf=sqrt(1-gamma^2);
  AAf=C*BB*Cplus/gammaf^2;
  BBf=Cplus*AA*C/gammaf^2;
  C=-qamma*C/qammaf; % update C to flipped version
  Cplus=[C(2,2),-C(1,2);-C(2,1),C(1,1)]; % and Cplus
  gamma=gammaf; AA=AAf; BB=BBf;
                                         % and the others
end
T=[gamma*eye(2),-C;Cplus,gamma*eye(2)];
[Q1,alpha1,beta1,gamma1]=R2beta(AA);
[Q2,alpha2,beta2,gamma2]=R2beta(BB);
if ~isreal(Q1), disp('Mode 1 unstable'); end
if ~isreal(Q2), disp('Mode 2 unstable'); end
A=zeros(4);
A(1,1)=1/sqrt(beta1); A(2,1)=alpha1/sqrt(beta1); A(2,2)=sqrt(beta1);
A(3,3)=1/\sqrt{beta2}; A(4,3)=alpha2/\sqrt{beta2}; A(4,4)=\sqrt{beta2};
O=zeros(4);
O(1,1) = cos(2*pi*Q1); O(1,2) = sin(2*pi*Q1);
O(2,1) = -O(1,2); O(2,2) = O(1,1);
O(3,3) = cos(2*pi*Q2); O(3,4) = sin(2*pi*Q2);
O(4,3) = -O(3,4); O(4,4) = O(3,3);
p=[Q1,alpha1,beta1,Q2,alpha2,beta2,gamma,C(1,1),C(1,2),C(2,1),C(2,2)];
end
```

dipole_slicer()

The function <code>dipole_slicer()</code> receives the description of the <code>beamline</code> and a parameter <code>nslice</code> as input. It then replaces each dipole by nslice segments, which improves the accuracy for a subsequent calculation of the radiation integrals.

```
end
end
end
end
```

radiation_integrals()

The function radiation_integrals() receives the description of the beamline and the parameter flipped as input and returns the radiation integrals for coupled lattices, as discussed in [V. Ziemann, A. Streun, Equilibrium parameters in coupled storage ring lattices and practical applications, forthcoming].

```
function [I1,I2,I3,I4,I5]=radiation_integrals(beamline,flipped)
if nargin==1, flipped=0; end
[Racc, spos, nmat, nlines] = calcmat(beamline);
Rturn=Racc(:,:,end);
[O,A,T,p]=sagrub(Rturn,flipped);
D0=periodic_dispersion(Rturn);
rho=zeros(nmat,1);
ic=1;
                   % copied from calcmat
for line=1:nlines % needed because of segmentation
 for seg=1:beamline(line,2)
     ic=ic+1;
     switch beamline(line,1)
              % sector dipole
         phi=beamline(line,4)*pi/180; % convert to radians
         rho(ic)=beamline(line,3)/phi;
     end
  end
end
I1=0; I2=0; I3=0; I4a=0; I4b=0; I5a=0; I5b=0; % initialize to zero
for k=2:nmat-1
                                               % loop over lattice
 if abs(rho(k)) > 1e-9
    fudge=1;
    if abs(rho(k-1)) < 1e-9, fudge=0.5; end
                                              % end segments at start
                                              % and exit of element
   if abs(rho(k+1)) < 1e-9, fudge=0.5; end
    ds=spos(k)-spos(k-1);
                                               % length of segment
   R=Racc(:,:,k)*Rturn*inv(Racc(:,:,k));
                                               % move FTM to point k
    [O,A,T,p]=sagrub(R,flipped); Sinv=A*T;
    try
      S=inv(Sinv);
      disp('Warning in radiation_integrals: failed inverse!')
      S=0*Sinv;
    end
   Dxy=Racc(:,:,k)*D0; D=Sinv*Dxy(1:4);
   Ha=D(1)^2+D(2)^2; Hb=D(3)^2+D(4)^2;
    I1=I1+ds*fudge*Dxy(1)/rho(k);
                                                          % [m]
    I2=I2+ds*fudge/rho(k)^2;
                                                          % [1/m]
    I3=I3+ds*fudge/abs(rho(k))^3;
                                                          % [1/m<sup>2</sup>]
    I4a=I4a+ds*fudge*(S(1,1)*D(1)+S(1,2)*D(2))/rho(k)^3; % [1/m]
    I4b=I4b+ds*fudge*(S(1,3)*D(3)+S(1,4)*D(4))/rho(k)^3; % [1/m]
    I5a=I5a+ds*fudge*Ha/rho(k)^3;
                                                          % [1/m]
    I5b=I5b+ds*fudge*Hb/rho(k)^3;
                                                          % [1/m]
  end
end
```

```
I4=[I4a,I4b];
I5=[I5a,I5b];
end
```

Bmags()

The function Bmags() receives two 2×2 beam matrices sig1 and sig2 as input and returns the mismatch parameter Bmag, which is defined in Equation 8.15.

```
function out=Bmags(sig1,sig2)
eps1=sqrt(det(sig1)); beta1=sig1(1,1)/eps1; alpha1=-sig1(1,2)/eps1;
eps2=sqrt(det(sig2)); beta2=sig2(1,1)/eps2; alpha2=-sig2(1,2)/eps2;
out=0.5*(beta1/beta2+beta2/beta1+beta1*beta2*(alpha1/beta1-alpha2/beta2)^2);
end
```