

## LING 572 – HW1

### Q1(a)

$$P(X_1) = \sum_Y P(X, Y) = 0.10 + 0.05 = 0.15$$

$$P(X_2) = \sum_Y P(X, Y) = 0.20 + 0.15 = 0.35$$

$$P(X_3) = \sum_Y P(X, Y) = 0.30 + 0.20 = 0.50$$

### Q1(b)

$$P(Y_a) = \sum_X P(Y, X) = 0.10 + 0.20 + 0.30 = 0.60$$

$$P(Y_b) = \sum_X P(Y, X) = 0.05 + 0.15 + 0.20 = 0.40$$

### Q1(c)

$$P(X_1/Y_a) = P(X_1, Y_a) / P(Y_a) = 0.10 / 0.60 = 0.167$$

$$P(X_1/Y_b) = P(X_1, Y_b) / P(Y_b) = 0.05 / 0.40 = 0.125$$

$$P(X_2/Y_a) = P(X_2, Y_a) / P(Y_a) = 0.20 / 0.60 = 0.333$$

$$P(X_2/Y_b) = P(X_2, Y_b) / P(Y_b) = 0.15 / 0.40 = 0.375$$

$$P(X_3/Y_a) = P(X_3, Y_a) / P(Y_a) = 0.30 / 0.60 = 0.5$$

$$P(X_3/Y_b) = P(X_3, Y_b) / P(Y_b) = 0.20 / 0.40 = 0.5$$

### Q1(d)

$$P(Y_a/X_1) = P(X_1, Y_a) / P(X_1) = 0.10 / 0.15 = 0.667$$

$$P(Y_a/X_2) = P(X_2, Y_a) / P(X_2) = 0.20 / 0.35 = 0.571$$

$$P(Y_a/X_3) = P(X_3, Y_a) / P(X_3) = 0.30 / 0.50 = 0.6$$

$$P(Y_b/X_1) = P(X_1, Y_b) / P(X_1) = 0.05 / 0.15 = 0.333$$

$$P(Y_b/X_2) = P(X_2, Y_b) / P(X_2) = 0.15 / 0.35 = 0.429$$

$$P(Y_b/X_3) = P(X_3, Y_b) / P(X_3) = 0.20 / 0.50 = 0.4$$

### **Q1(e)**

*X and Y are not independent.*

*Proof 1:*

$$\begin{aligned} P(X_2, Y_a) &= 0.20 \\ P(X_2)P(Y_a) &= 0.35 * 0.60 = 0.21 \end{aligned}$$

$$P(X_2, Y_a) \neq P(X_2)P(Y_a)$$

*Proof 2:*

$$\begin{aligned} P(Y_a/X_2) &= 0.571 \\ P(Y_a) &= 0.60 \end{aligned}$$

$$P(Y_a/X_2) \neq P(Y_a)$$

*Proof 3:*

$$\begin{aligned} P(X_2/Y_a) &= 0.333 \\ P(X_2) &= 0.35 \end{aligned}$$

$$P(X_2/Y_a) \neq P(X_2)$$

### **Q1(f)**

$$\begin{aligned} H(X) &= - \sum_x P(x) \log P(x) \\ &= - (0.15 \log(0.15) + 0.35 \log(0.35) + 0.5 \log(0.5)) \\ &= - (0.15(-2.737) + 0.35(-1.515) + 0.5(-1)) \\ &= 0.411 + 0.53 + 0.5 \\ &= 1.441 \text{ bits} \end{aligned}$$

### **Q1(g)**

$$\begin{aligned} H(Y) &= - \sum_y P(y) \log P(y) \\ &= - (0.6 \log(0.6) + 0.4 \log(0.4)) \\ &= - (0.6(-0.737) + 0.4(-1.322)) \\ &= 0.442 + 0.529 \end{aligned}$$

$$= 0.971 \text{ bits}$$

**Q1(h)**

$$H(X,Y) = - \sum_x \sum_y P(x,y) \log P(x,y)$$

When X=1,

$$\sum_y P(x,y) \log P(x,y) = 0.10 \log(0.10) + 0.05 \log(0.05) = -0.548$$

When X=2,

$$\sum_y P(x,y) \log P(x,y) = 0.20 \log(0.20) + 0.15 \log(0.15) = -0.875$$

When X=3,

$$\sum_y P(x,y) \log P(x,y) = 0.30 \log(0.30) + 0.20 \log(0.20) = -0.985$$

$$\begin{aligned} H(X,Y) &= - (-0.548 - 0.875 - 0.985) \\ &= 2.408 \text{ bits} \end{aligned}$$

**Q1(i)**

$$\begin{aligned} H(X/Y) &= H(X,Y) - H(Y) \\ &= 2.408 - 0.971 \\ &= 1.437 \text{ bits} \end{aligned}$$

**Q1(j)**

$$\begin{aligned} H(Y/X) &= H(X,Y) - H(X) \\ &= 2.408 - 1.441 \\ &= 0.967 \text{ bits} \end{aligned}$$

**Q1(k)**

$$\begin{aligned} MI(X,Y) &= H(X) + H(Y) - H(X,Y) \\ &= 1.441 + 0.971 - 2.408 \\ &= 0.004 \text{ bits} \end{aligned}$$

### Q1(i)

Part 1:

$$H_c(X,Y) = - \sum_x \sum_y P(x,y) \log Q(x,y)$$

When X=1,

$$\sum_y P(x,y) \log Q(x,y) = 0.10 \log(0.10) + 0.05 \log(0.01) = -0.664$$

When X=2,

$$\sum_y P(x,y) \log Q(x,y) = 0.20 \log(0.20) + 0.15 \log(0.09) = -0.985$$

When X=3,

$$\sum_y P(x,y) \log Q(x,y) = 0.30 \log(0.40) + 0.20 \log(0.20) = -0.861$$

$$H_c(X,Y) = - (-0.664 - 0.985 - 0.861) = 2.51 \text{ bits}$$

$$\begin{aligned} KL(P(X,Y) // Q(X,Y)) &= H_c(X,Y) - H(X,Y) \\ &= 2.51 - 2.408 \\ &= 0.102 \text{ bits} \end{aligned}$$

Part 2:

Find  $KL(Q(X,Y) // P(X,Y))$ ;

$$H_c(X,Y) = - \sum_x \sum_y Q(x,y) \log P(x,y)$$

When X=1,

$$\sum_y Q(x,y) \log P(x,y) = 0.10 \log(0.10) + 0.01 \log(0.05) = -0.375$$

When X=2,

$$\sum_y Q(x,y) \log P(x,y) = 0.20 \log(0.20) + 0.09 \log(0.15) = -0.711$$

When X=3,

$$\sum_y Q(x,y) \log P(x,y) = 0.40 \log(0.30) + 0.20 \log(0.20) = -1.159$$

$$H_c(X,Y) = - (-0.375 - 0.711 - 1.159) = 2.245 \text{ bits}$$

$$H(X,Y) = - \sum_x \sum_y Q(x,y) \log Q(x,y)$$

When X=1,

$$\sum_y Q(x,y) \log Q(x,y) = 0.10 \log(0.10) + 0.01 \log(0.01) = -0.399$$

When X=2,

$$\sum_y Q(x,y) \log Q(x,y) = 0.20 \log(0.20) + 0.09 \log(0.09) = -0.777$$

When X=3,

$$\sum_y Q(x,y) \log Q(x,y) = 0.40 \log(0.40) + 0.20 \log(0.20) = -0.993$$

$$H(X,Y) = - (-0.399 - 0.777 - 0.993) = 2.169 \text{ bits}$$

$$\begin{aligned} KL(Q(X,Y) \parallel P(X,Y)) &= H_c(X,Y) - H(X,Y) \\ &= 2.245 - 2.169 \\ &= 0.076 \text{ bits} \end{aligned}$$

$$KL(P(X,Y) \parallel Q(X,Y)) = 0.102 \text{ bits}$$

$$KL(Q(X,Y) \parallel P(X,Y)) = 0.076 \text{ bits}$$

Hence,  $KL(P(X,Y) \parallel Q(X,Y))$  is not the same as  $KL(Q(X,Y) \parallel P(X,Y))$ .

### **Q2(a)**

$$H(X) = - \sum_x P(x) \log P(x) = - (p \log p + (1-p) \log(1-p))$$

### **Q2(b)**

$$H(X) = - \sum_x P(x) \log P(x) = - (p \log p + (1-p) \log(1-p))$$

A uniform distribution would achieve the maxima value of  $H(X)$ . For a coin, a uniform distribution would be  $P(X = h) = \frac{1}{2}$  and  $P(X = t) = \frac{1}{2}$ .

$$H(X) = - (0.5 \log 0.5 + (1-0.5) \log(1-0.5)) = - (-0.5 - 0.5) = 1$$

Hence  $p = 0.5$  would give the maximal value of  $H(X) = 1$

### Q2(c)

Proof

$$H(X) = -(p \log p + (1-p) \log(1-p))$$

$$\begin{aligned} \text{The first derivative of } H(X) &= -[\log p + p*(1/p) - \log(1-p) + (1-p)*1/(1-p)] \\ &= -\log p + \log(1-p) - 2 \end{aligned}$$

$$\begin{aligned} \text{The second derivative of } H(X) &= -\log p + \log(1-p) - 2 \\ &= -(1/p) + 1/(1-p) \end{aligned}$$

We have an inflection point when  $-(1/p) + 1/(1-p) = 0$

Solving for p to find the maximal point;

$$\begin{aligned} -(1/p) + 1/(1-p) &= 0 \\ 1/(1-p) &= 1/p \\ p &= 1-p \\ 2p &= 1 \\ p &= 1/2 \text{ or } 0.5 \end{aligned}$$

### Q3(a)

$$\text{Number of color sequences} = 10! / (5! * 3! * 2!) = 2520$$

### Q3(b)

Total possible number of documents =  $N!$

Let  $t$  be the count of word  $w$ , so that count of  $w_1 = t_1, w_2 = t_2, \dots, w_i = t_i$

The number of documents that satisfy the condition stated in Q3(b)  
 $= N! / (t_1! * t_2! * t_3! \dots * t_i!)$

### Q3(c)

Probability of picking a word  $w_i = P(w_i) = p_i$

Number of ways to get exactly  $t_i = N! / t_i!$

Probability of getting exactly  $t_i = (N! / t_i!) / N! = 1/t_i!$

Probability where the occurrence of  $w_i$  is exactly  $t_i$  for each  $w_i$   
 $= \sum_i p_i * (I / t_i!)$   
 $= \sum_i p_i / t_i!$

**Q4(a)**

$$\text{Trigram} = \prod_i P(w_i/t_i)P(t_i/t_{i-2}, t_{i-1})$$

**Q4(b)**

Each state in a trigram model corresponds to a tag pairs.

For example: *From State (IN, NN) => To State (NN, RB)*

If T is the number of tagset then the total number of states will be  
 $= [T! / (T-2)!] + T$

$a_{ij}$  is the transition probability that corresponds to  $P(t_i/t_{i-2}, t_{i-1})$

$b_{jk}$  is the emission probability that corresponds to  $P(w_i/t_i)$

**Q5(a)**

Number of features will be  $= 3*|V| + |V|^2 + |T| + |T|^2$

**Q5(b)**

x is the feature vector that contains the current word.

y is the targeted POS tag based on x.

**Q5(c)**

Sentence = Mike/NN likes/VBP cats/NNS

Using binary value feature:

Mike NN prevW=<s> 1 curW=Mike 1 nextW=likes 1 surroundW=<s>+likes 1  
prevT=BOS 1

likes VBP prevW=Mike 1 curW=likes 1 nextW=cats 1 surroundW=Mike+cats 1  
prevT=NN 1 prevTwoTags=BOS+NN 1

cats NNS prevW=likes 1 curW=cats 1 nextW=</s> 1 surroundW=likes+</s> 1  
prevT=VBP 1 prevTwoTags=NN+VBP 1

*End of HW1 – submitted by Wee Teck Tan*

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