# CS636: Shared Memory Programming and POSIX Threads

Swarnendu Biswas

Semester 2018-2019-II CSE, IIT Kanpur

# How can we sum up all elements in an array?

```
int array[1000] = {0, 1, 34, 2, 89, -5, 67, 8, 4, 56, 23, 67, 0, 9, ...}
```

$$sum = \sum_{i=1}^{n} array[i]$$

# Comparing Implementations

```
Main Thread

long sum = 0;

for (int i =0; i < LEN; i++) {
   sum += array[i];
}</pre>
```



CS636

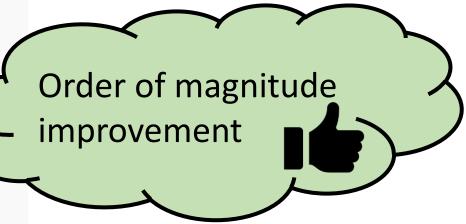
#### Comparing Implementations

```
Main Thread
long sum = 0;
for (int i =0; i < LEN; i++) {
  sum += array[i];
```

```
Main Thread
  Spawn n threads
  long thr_sum[n] = \{0\}
  for (int i = 0; i < n; i++) {
    sum += thr_sum[i];
Thread i
  Compute CHUNK i of array[]
for (int i = CHUNK_START; i + CHUNK_START <
CHUNK_END; i++) {</pre>
    thr_sum[i] += array[i];
```

# Gains from Extra Complexity

```
1: fish /home/swarnendu/iitk-workspace/c++-examples/src ▼
~/i/c/src $ ./a.out
Sequential sum: 499158189 Time (ns): 2119657
Parallel sum: 499158189 Time (ns): 147934
~/i/c/src $ ./a.out
Sequential sum: 499019481 Time (ns): 2063707
Parallel sum: 499019481 Time (ns): 259234
~/i/c/src $ ./a.out
Sequential sum: 498973205 Time (ns): 2113602
Parallel sum: 498973205 Time (ns): 257328
~/i/c/src $ ./a.out
Sequential sum: 499697650 Time (ns): 2110496
Parallel sum: 499697650 Time (ns): 252351
~/i/c/src $
```



#### Parallel Programming Overview



Find parallelization opportunities in the problemDecompose the problem into parallel units

# Parallel Programming Overview



Find parallelization opportunities in the problem

• Decompose the problem into parallel units



Create parallel units of execution

Manage efficient execution of the parallel units

#### Parallel Programming Overview



Find parallelization opportunities in the problem

• Decompose the problem into parallel units



Create parallel units of execution

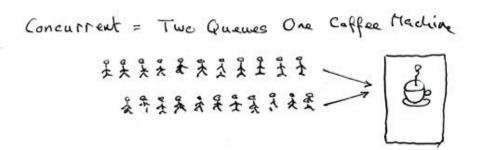
Manage efficient execution of the parallel units



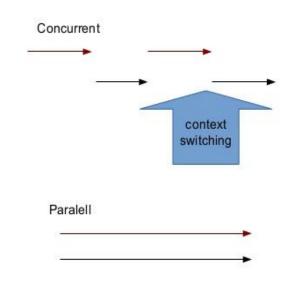
Problem may require inter-unit communication

• Communication between threads, cores, ...

# Parallelism vs Concurrency



#### Concurrency vs Paralellism



#### Parallelism vs Concurrency

#### Parallel programming

- Use additional resources to speed up computation
- Performance perspective

#### Concurrent programming

- Correct and efficient control of access to shared resources
- Correctness perspective

#### Distinction is not absolute

Systems Bootcamp 2018 Concurrent Programming CSE, IIT Kanpur

#### Inter-unit Communication

The problem logic will possibly require inter-unit communication



Units may be on the same processor or across processors or across nodes

# What do we communicate in sequential programs?





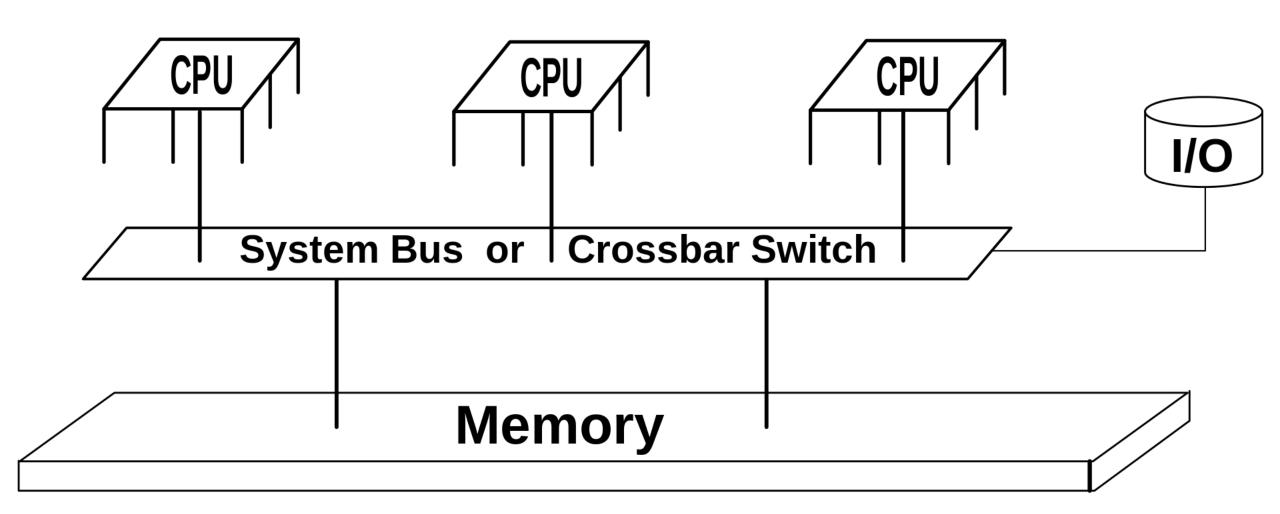


- Global variables or data structures
- Function arguments and call parameters

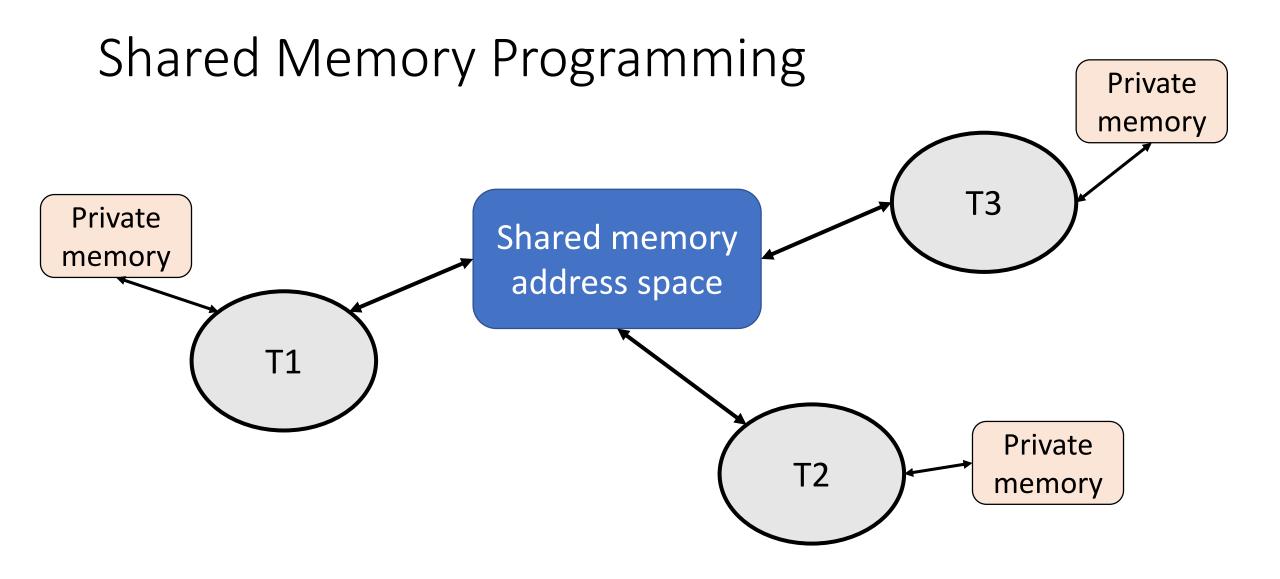
#### Shared Memory Programming

- Single address space shared by multiple processes
  - Can also be threads: static or dynamic
  - A memory location can be accessed by any process

Relatively easy to program, avoids redundant data



Wikipedia.



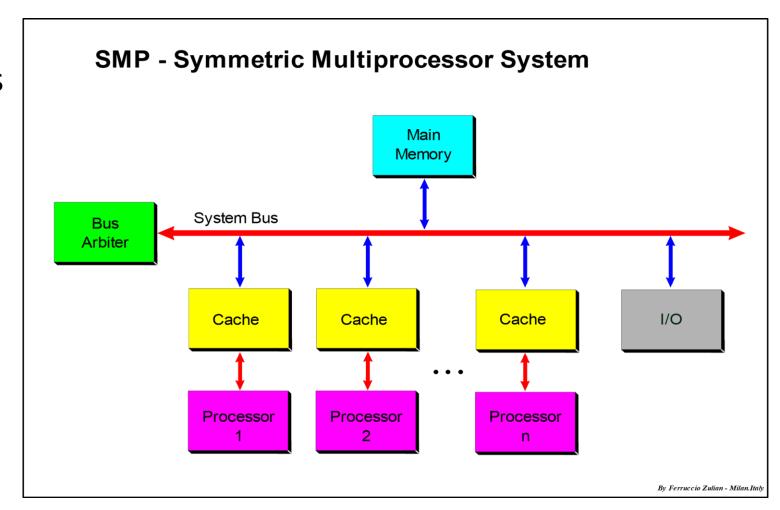
#### Shared Memory Programming

Threads also have a private memory

- All threads access the shared address space
- Synchronization is required to access shared resources
  - Can otherwise lead to pernicious bugs

# Implementing Shared Memory

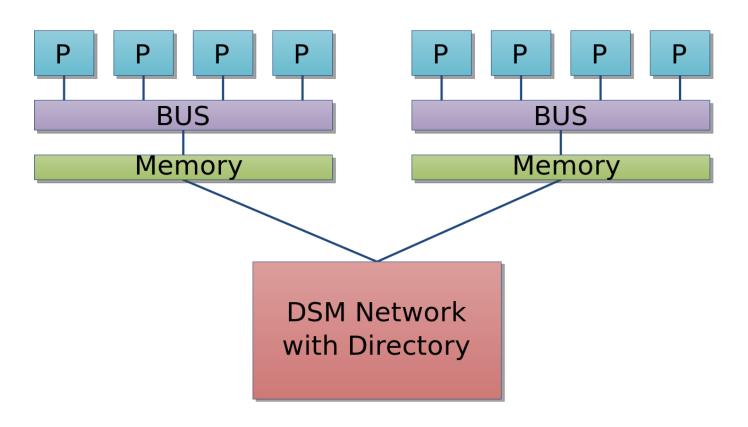
Uniform memory access



Wikipedia.

#### Implementing Shared Memory

 Non-uniform memory access

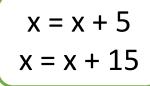


# Challenges with Shared Memory

#### Challenges with Shared Memory

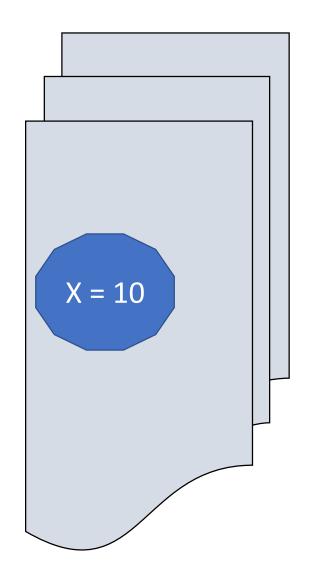
Need support for cache coherence

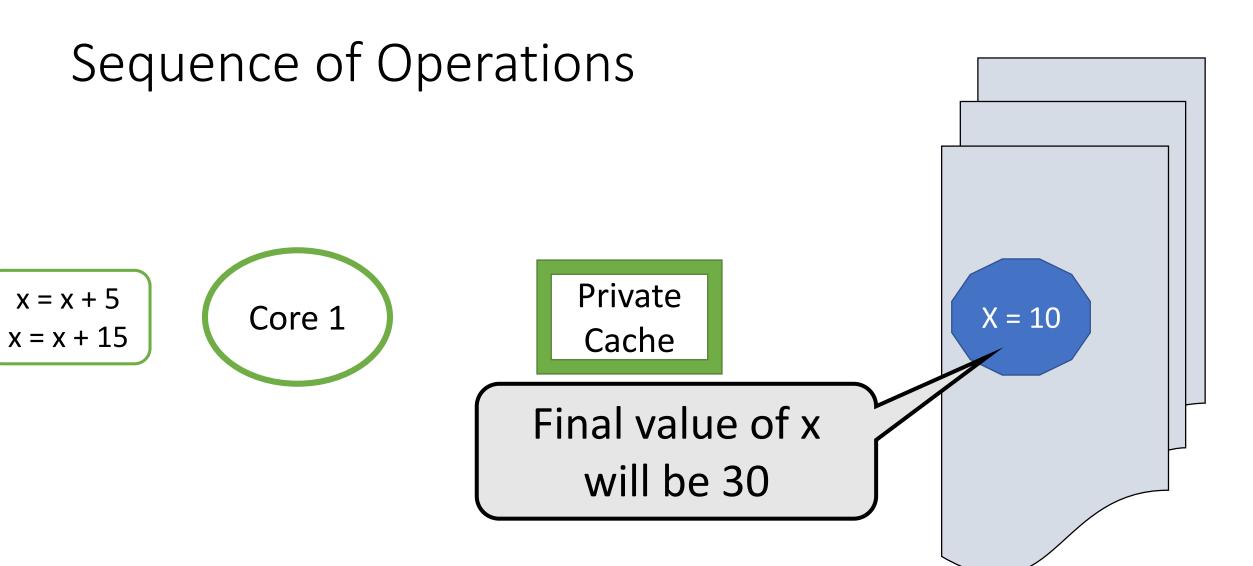
# Sequence of Operations

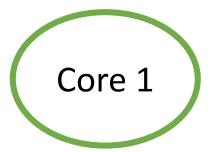


Core 1

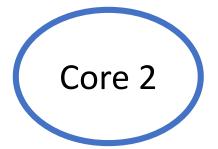
Private Cache



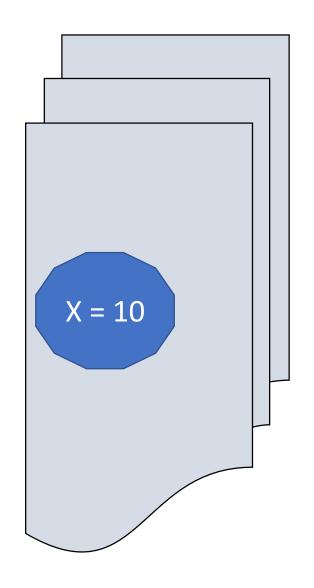




Private Cache



Private Cache



Read x

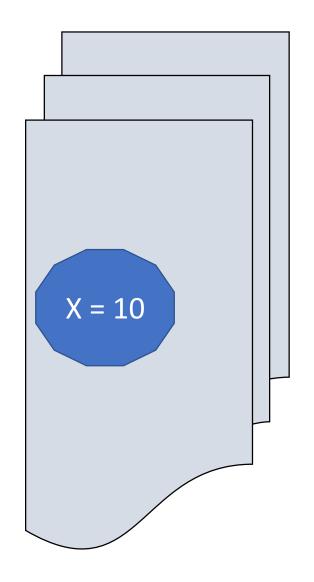
Core 1

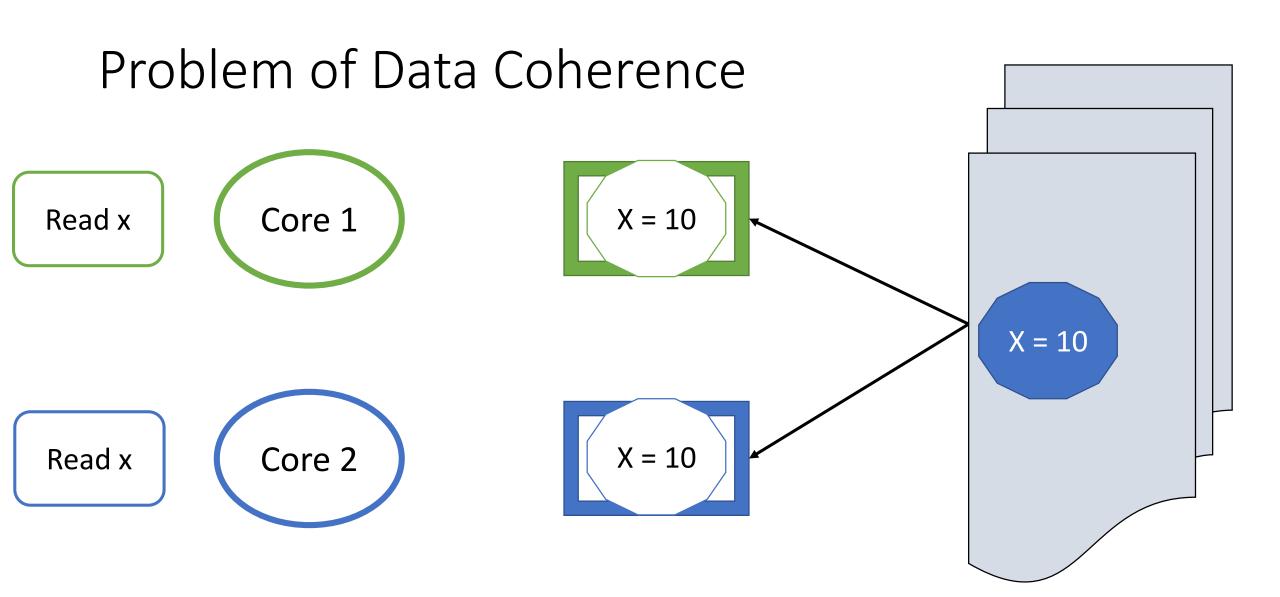
Private Cache

Read x

Core 2

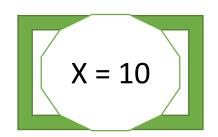
Private Cache



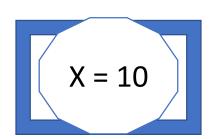


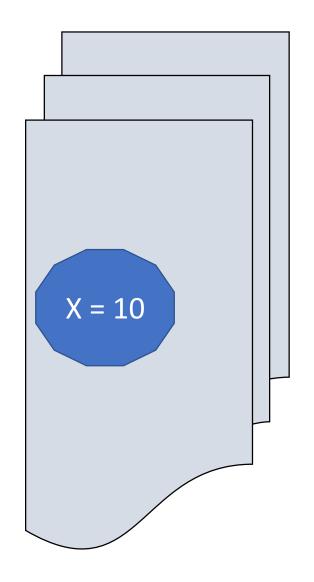
$$x = x + 5$$

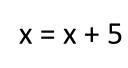
Core 1



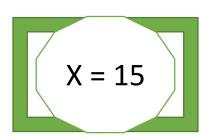
$$x = x + 15$$



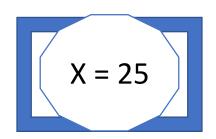


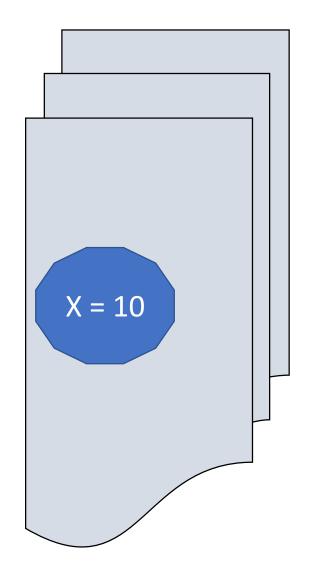


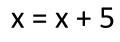
Core 1



$$x = x + 15$$

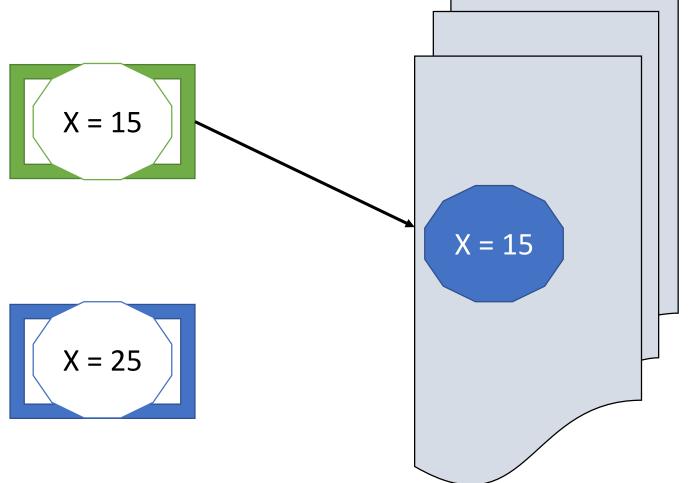


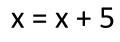




Core 1

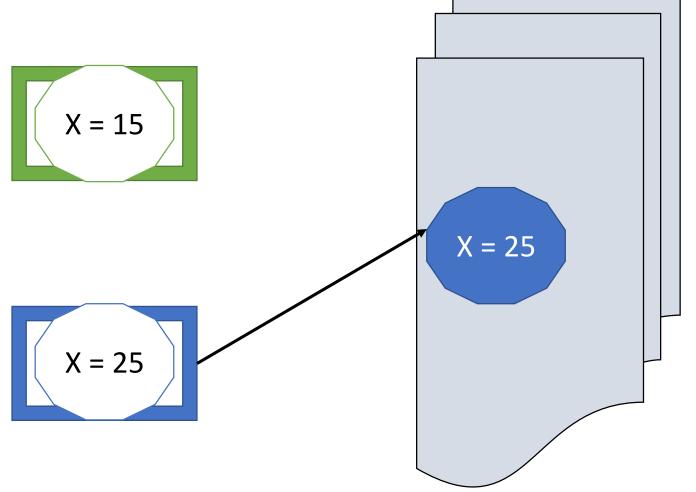
$$x = x + 15$$





Core 1

$$x = x + 15$$

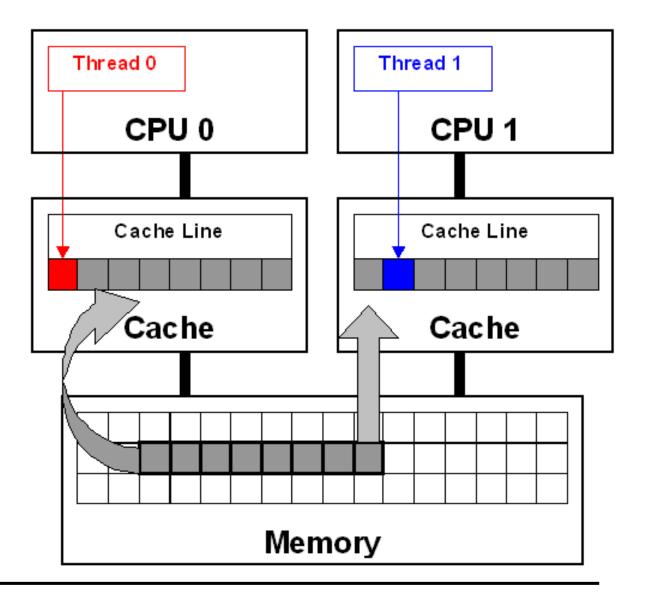


#### Challenges with Shared Memory

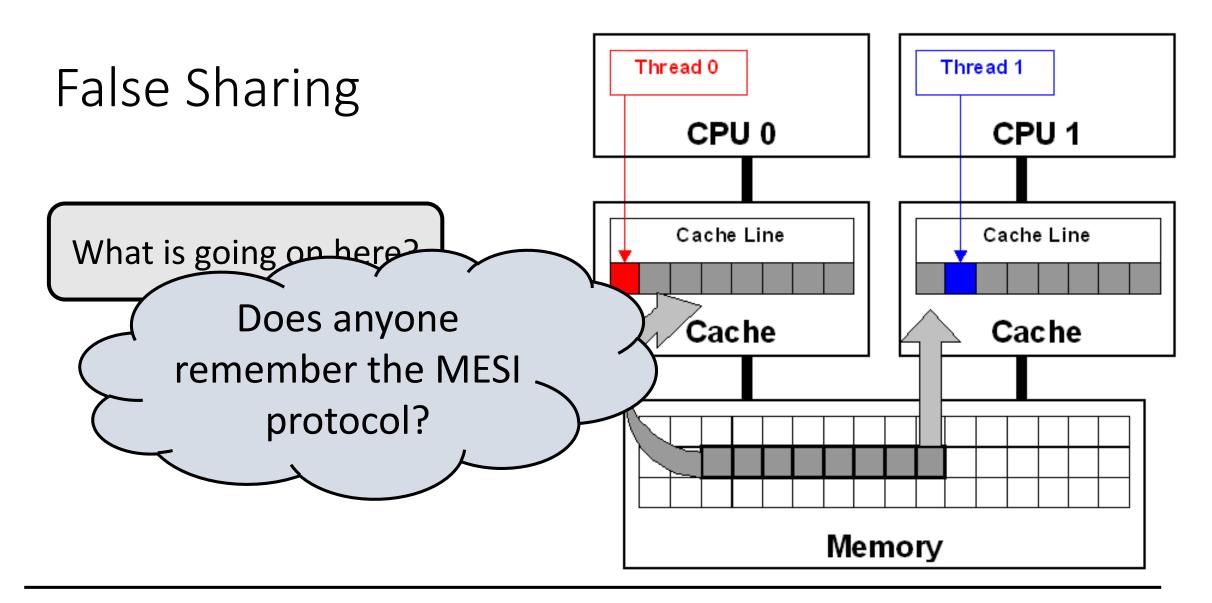
- Access conflicts
  - Several threads can try to access the same shared location
  - Other performance hazards false sharing
  - Coherence operations can become a bottleneck

# False Sharing

What is going on here?

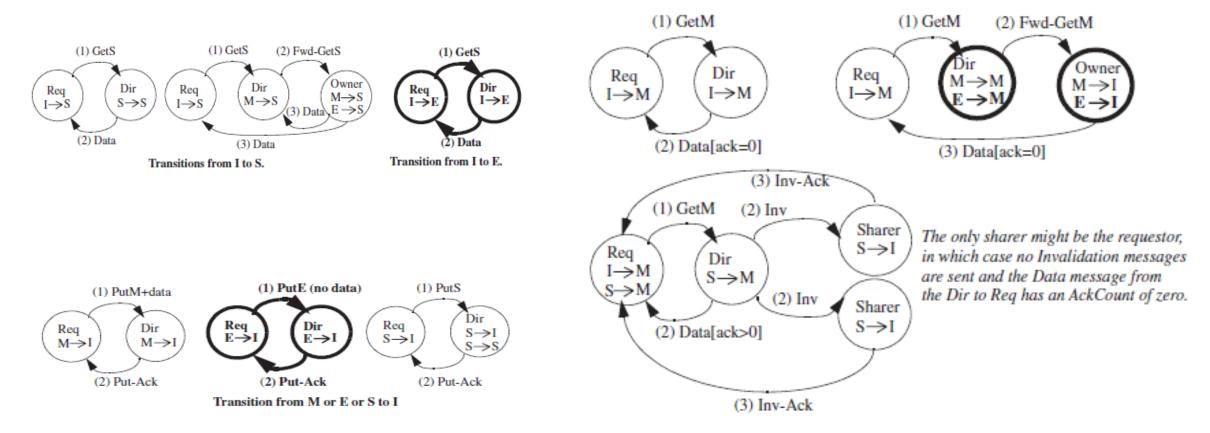


Intel. Avoiding and Identifying False Sharing Among Threads.



Intel. Avoiding and Identifying False Sharing Among Threads.

#### State Transitions in MESI



Transitions from I or S to M. Transition from E to M is silent.

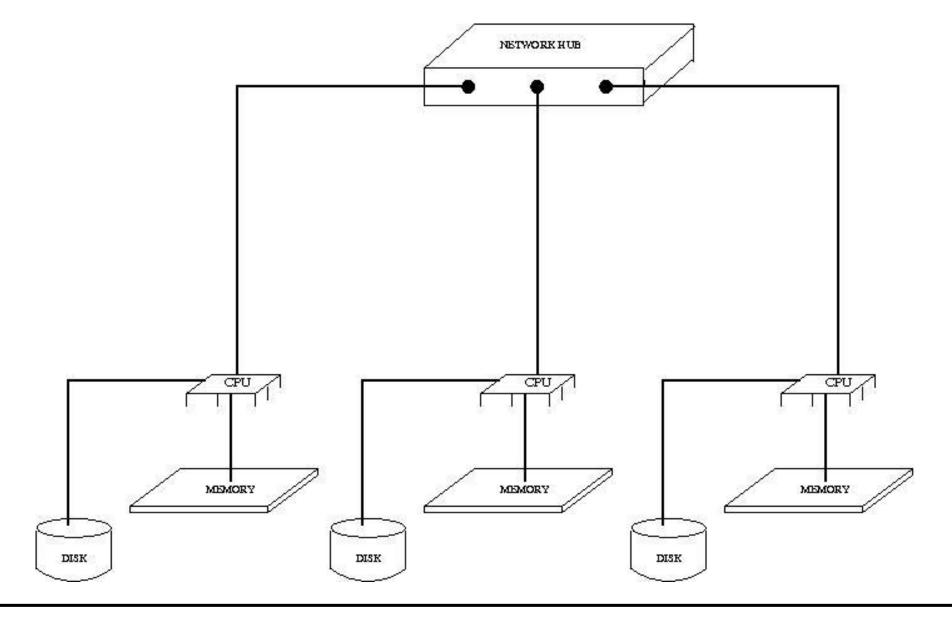
A Primer on Memory Consistency and Cache Coherence.

#### Software Support for Shared Memory

- Unix-like systems
  - POSIX shared memory shm\_open(), shmget(), shmctl()
  - mmap()

# Distributed Memory Programming

- The problem size may not fit on a single machine
  - Graph analytics
  - Obvious step: Go distributed!
- Distributed computing model
  - Launch multiple processes on multiple systems
  - Processes carry out work
  - Processes may communicate through message passing
  - Processes coordinate either through message passing or synchronization



Wikipedia.

# Challenges with Distributed Memory

Often, communication turns out to be the primary bottleneck

- How do you partition the data between different nodes?
- Network topology is very important for scalability

Since communication is explicit, therefore it **excludes** race conditions.

### Be clear with uses!



- Parallel computing
  - Multiple tasks in a program cooperate to solve a problem efficiently
- Concurrent programming
  - Multiple tasks in a program can be in progress at the same time
- Distributed computing
  - A program needs to cooperate with other programs to solve a problem

# POSIX Threads

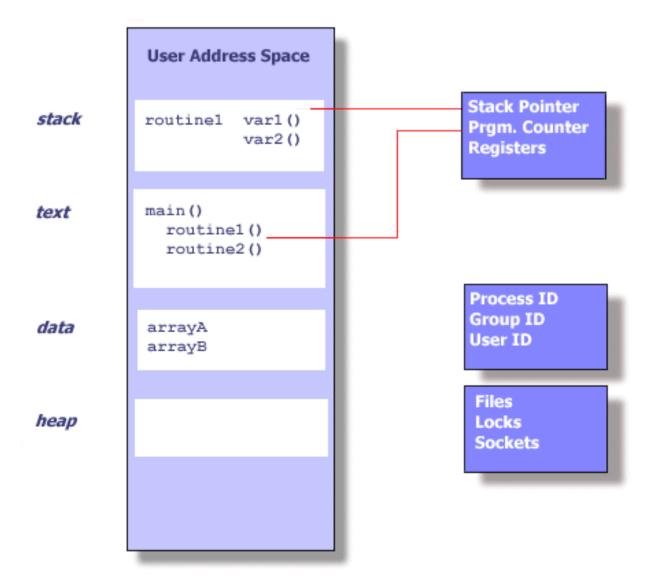
# Multithreading with C/C++

C/C++ languages do not provide built-in support for threads

Several thread libraries have been proposed

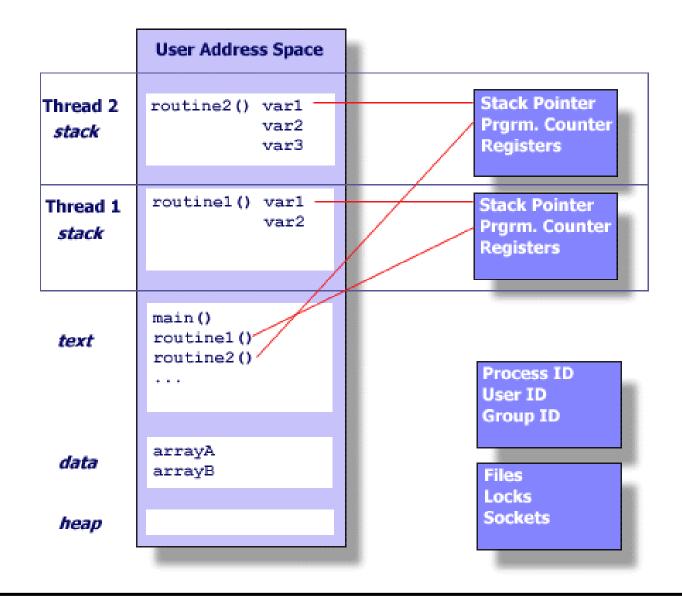
- Pthreads
- OpenMP
- Intel TBB

### **Unix Process**



Blaise Barney, LLNL. POSIX Threads Programming.

### Threads in Unix



Blaise Barney, LLNL. POSIX Threads Programming.

### What are Threads?

- Software analog of cores
  - Each thread has its own PC, SP, registers, etc
  - All threads share the process heap and the global data structures
- Runtime system schedules threads to cores
  - If there are more threads than cores, the runtime will time-slice threads on to the cores

# POSIX Threads (Pthreads)

- POSIX: Portable Operation System Interface for Unix
  - Standardized programming interface by IEEE POSIX 1003.1c for Unix-like systems
- Pthreads: POSIX threading interface
  - Provides system calls to create and manage threads
  - Contains ~100 subroutines

### When to use Pthreads?

- Pthreads provide good performance on shared-memory single-node systems
  - Compare with MPI on a single node
- Ideal for shared-memory parallel programming
- HPC: # threads == # cores

## Pthread routines

Call Prefix	Functional Group
pthread_	Thread management
pthread_attr_	Thread attributes objects
pthread_mutex	Mutexes
pthread_mutexattr_	Mutex attribute objects
pthread_cond_	Condition Variables
pthread_condattr_	Condition attributes objects
pthread_key_	Thread-specific data keys
pthread_rwlock_	Read/write locks
pthread_barrier_	Synchronization barriers

# Compile Pthread Programs

- GNU GCC
  - gcc/g++ <options> <file\_name(s)> -lpthread

- Clang
  - clang/clang++ <options> <file\_name(s)> -lpthread

# Creating Threads

Program begins execution with the MAIN thread

## Thread Creation Example

```
errcode = pthread_create(&tid, &attribute, &thread_function, &fun_args);
```

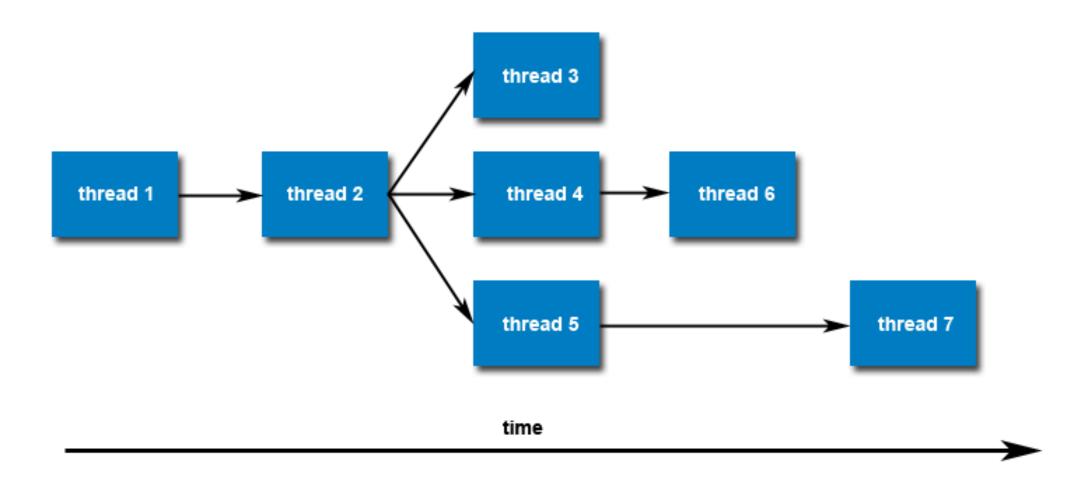
- A pthread with handle "tid" is created
- Thread will execute the code defined in thread\_function with optional arguments captured in fun\_args
- attribute captures different thread features
  - Default values are used if you pass NULL
- errcode will be nonzero if thread creation fails

```
#include <cstdint>
#include <iostream>
#include <pthread.h>
#define NUM THREADS 1
void *thr_func(void *thread id) {
  uint32 t id = (intptr t)thread id;
  std::cout << "Hello World from</pre>
Thread " << id << "\n";
  pthread_exit(NULL);
     CS636
```

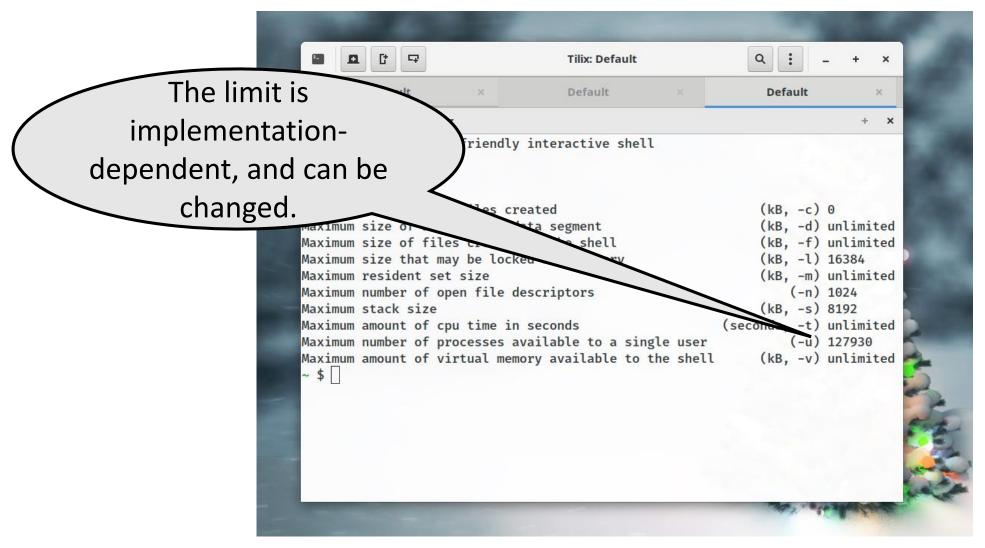
```
int main() {
  pthread_t threads[NUM_THREADS];
  int errcode;
  uint32 t id;
  for (id = 0; id < NUM THREADS; id++) {
    std::cout << "In main: creating thread: " << id <<</pre>
"\n":
    errcode =
pthread_create(&threads[id], NULL, thr_func, (void)
*)(intptr t)id);
    if (errcode) {
      std::cout << "ERROR: return code from</pre>
pthread create() is " << errcode</pre>
<< "\n";
      exit(-1);
  pthread_exit(NULL);
```

```
int main() {
#include <cstdint>
#include <iostream>
                                            pthread_t threads[NUM_THREADS];
#include <pthread.h>
                                            int errcode;
                                            uint32 t id•
#define NU
           1: fish /home/swarnendu/iitk-workspace/c++-examples/src -
                                                                                         " << id <<
void *thr
/i/c/src $ g++ pthread_helloworld.cpp -lpthread
uint32_ /i/c/src $ ./a.out
  std::couIn main: creating thread: 0
                                                                                        t, (void
Thread "
         Hello World from Thread 0
  pthread /i/c/src $
                                            pthread_exit(NULL);
                                              Swarnendu Biswas
     CS636
                                                                                               54
```

# No Implied Hierarchy Between Threads



### Number of Pthreads



# Terminating Threads

A thread is terminated with

```
void pthread_exit(void* retval);
```

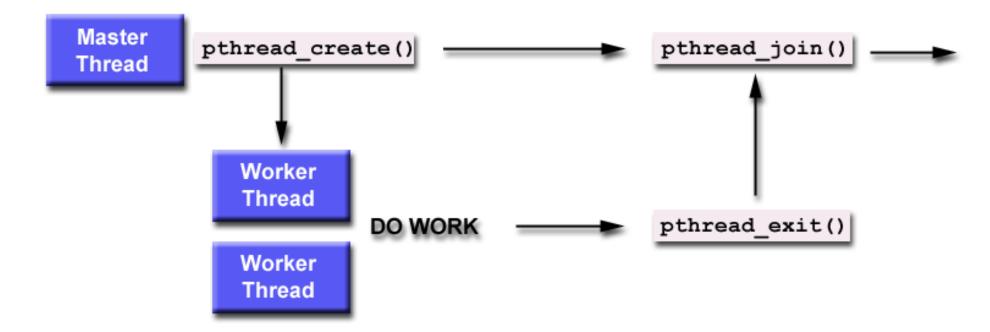
- Process-shared resources (e.g., mutexes, file descriptors) are not released
- Process terminates after the last thread terminates
  - Like calling exit()
  - Shared resources are released
- Child threads will continue to run if called from main thread

# Other Ways to Terminate

- Thread completes executing thr\_func
- Thread is canceled by another thread via pthread\_cancel()
- Entire process is terminated by exit()
- If main thread finishes first without calling pthread\_exit() explicitly

## Joining Threads

int pthread\_join(pthread\_t thread, void \*\* value\_ptr);



# Subtle Issues to Keep in Mind

- Only threads that are created as "joinable" can be joined
  - If a thread is created as "detached", it can never be joined

- A joining thread can match one pthread\_join() call
  - It is a logical error to attempt multiple joins on the same thread

• If a thread requires joining, it is recommended to explicitly mark it as joinable.

### Other Thread Management Routines

```
pthread_t pthread_self(void);
```

```
int pthread_equal(pthread_t t1, pthread_t t2);
```

```
#define NUM THREADS 10
uint32 t counter;
struct thr args {
  uint16_t id;
};
void *thrBody(void *arguments) {
  struct thr args *tmp =
static_cast<struct thr args
*>(arguments);
  for (uint32_t i = 0; i < 1000; i++) {
    counter += 1;
  pthread exit(NULL);
```

```
int main() {
  int i = 0;
  int error;
  pthread_t tid[NUM_THREADS];
  pthread_attr_t attr;
  pthread_attr_init(&attr);
  struct thr_args args[NUM_THREADS] = {0};
  while (i < NUM THREADS) {</pre>
    args[i].id = i;
    error = pthread create(&tid[i], &attr, thrBody,
args + i);
    i++:
  pthread_attr_destroy(&attr);
  cout << "Value of counter: " << counter << "\n";
  // Join with child threads
  pthread exit(NULL);
```

```
#define NUM THREADS 10
uint32 t counter;
struct thr args {
 uint16_t id;
};
void *thrBody(void *argum ~/i/c/src $ ./a.out
 struct thr args *tmp =
*>(arguments);
 for (uint32 t i = 0; i
   counter += 1;
 pthread exit(NULL);
```

```
~/i/c/src $ g++ pthread_datarace.cpp -lpthread
~/i/c/src $ ./a.out
Value of counter: 10000
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 9569
~/i/c/src $ ./a.out
Value of counter: 9218
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 9636
```

```
EADS];
tr);
[NUM\_THREADS] = \{0\};
S) {
ate(&tid[i], &attr, thrBody,
&attr);
nter: " << counter << "\n";
reads
```

CS636

```
#define NUM THREADS 10
uint32 t counter;
struct thr args {
 uint16_t id;
};
void *thrBody(void *argum ~/i/c/src $ ./a.out
 struct thr args *tmp =
*>(arguments);
 for (uint32 t i = 0; i
   counter += 1;
 pthread exit(NULL);
```

```
~/i/c/src $ g++ pthread_datarace.cpp -lpthread
~/i/c/src $ ./a.out
Value of counter: 10000
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 9569
~/i/c/src $ ./a.out
Value of counter: 9218
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 9636
```

```
EADS];
tr);
[NUM\_THREADS] = \{0\};
S) {
ate(&tid[i], &attr, thrBody,
&attr):
nter: " << counter << "\n";
reads
```

CS636

```
#define NUM THREADS 10
                              ~/i/c/src $ g++ pthread_datarace.cpp -lpthread
                              ~/i/c/src $ ./a.out
                              Value of counter: 10000
uint32 t counter;
                              ~/i/c/src $ ./a.out
                              Value of counter: 10000
                                                                             EADS];
struct thr args {
                              ~/i/c/src $ ./a.out
                              Value of counter: 10000
  uint16_t id;
                              ~/i/c/src $ ./a.out
                                                                             tr);
                              Value of counter: 10000
};
                              ~/i/c/src $ ./a.out
                                                                             [NUM\_THREADS] = \{0\};
                              Value of counter: 10000
void *thrBody(void **
                                                                             S) {
                        Data race which
  struct thr ap
static_cast<s
                           results in an
*>(arguments);
                                                                             ate(&tid[i], &attr, thrBody,
                       atomicity violation
  for (uint32 t
    counter += 1;
                              Value of counter: 10000
                              ~/i/c/src $ ./a.out
                              Value of counter: 10000
  pthread exit(NULL);
                              ~/i/c/src $ ./a.out
                                                                             &attr);
                              Value of counter: 9569
                                                                             nter: " << counter << "\n";
                              ~/i/c/src $ ./a.out
                                                                             reads
                              Value of counter: 9218
                              ~/i/c/src $ ./a.out
                              Value of counter: 10000
                              ~/i/c/src $ ./a.out
                              Value of counter: 9636
    CS636
                                                                                                      65
```

### Mutual Exclusion

### Mutual exclusion (locks)

- Synchronize access to a shared data structure
- Cannot prevent bad behavior if other threads do not take locks

Checkout pthread\_mutex\_ ...

```
lock l =
alloc_init()
acq(l)
access data
rel(l)
acq(l)
access data
rel(l)
```

### Creating Mutexes

```
int pthread_mutex_init(pthread_mutex_t *restrict
mutex, const pthread_mutexattr_t *restrict attr);
```

- Mutex variables must be initialized before use
  - pthread\_mutex\_t mutex = PTHREAD\_MUTEX\_INITIALIZER;
  - pthread\_mutex\_init()

```
int pthread_mutex_destroy(pthread_mutex_t * mutex);
```

### Locking and Unlocking Mutexes

```
int pthread_mutex_lock(pthread_mutex_t *mutex);
int pthread_mutex_trylock(pthread_mutex_t *mutex);
int pthread_mutex_unlock(pthread_mutex_t *mutex);
```

## Types of Mutexes

#### NORMAL

- Attempt to relock a mutex by the same thread will deadlock
- Attempt to unlock an unowned locked or unlocked mutex results in undefined behavior

### ERRORCHECK

- Returns error if a thread tries to relock the same mutex.
- Attempt to unlock an unowned locked or unlocked mutex results in an error

### RECURSIVE

Allows the concept of reentrancy by maintaining a count

#### DEFAULT

Wrong use results in undefined behavior

```
int main() {
#define NUM THREADS 10
                                              int i = 0;
uint32 t counter;
                                              int error;
pthread_mutex_t count_mutex;
                                              pthread_t tid[NUM_THREADS];
                                              pthread attr t attr;
struct thr args {
                                              pthread attr init(&attr);
  uint16 t id;
                                              struct thr args args[NUM THREADS] = {0};
};
                                              while (i < NUM THREADS) {</pre>
void *thrBody(void *arguments) {
                                                args[i].id = i;
  pthread_mutex_lock(&count_mutex);
                                                error = pthread_create(&tid[i], &attr, thrBody,
  for (uint32_t i = 0; i < 1000; i++) {
                                            args + i);
    counter += 1;
                                                i++:
                                              pthread_attr_destroy(&attr);
  pthread_mutex_unlock(&count_mutex);
                                              cout << "Value of counter: " << counter << "\n";
  pthread exit(NULL);
                                              // Join with child threads
                                              pthread exit(NULL);
```

```
#define NUM THREADS 10
uint32 t counter;
pthread_mutex_t count_mutex
struct thr args {
  uint16 t id;
};
void *thrBody(void *argumen value of counter: 10000
  pthread_mutex_lock(&count_Value of counter: 10000
  for (uint32_t i = 0; i <
    counter += 1;
  pthread_mutex_unlock(&cou|Value of counter: 10000
  pthread exit(NULL);
    CS636
```

```
Default
 1: fish /home/swarnendu/iitk-workspace/c++-examples/src ▼
 ~/i/c/src $ g++ pthread_mutex.cpp -lpthread
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
~/i/c/src $ ./a.out
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ ./a.out
~/i/c/src $ ./a.out
Value of counter: 10000
~/i/c/src $ |
```

```
HREADS];
attr);
s[NUM THREADS] = \{0\};
ADS) {
reate(&tid[i], &attr, thrBody,
y(&attr);
ounter: " << counter << "\n";</pre>
threads
```

## POSIX Sempahores in Pthreads

### Semaphores

- Generalize locks to allow "n" threads to access
- Useful if you have > 1 resource units

```
#include <semaphore.h>
sem_init()
sem_wait()
sem_post()

#include <semaphore.h>

gcc/g++ <options> <file_name(s)>
-lpthread -lrt
```

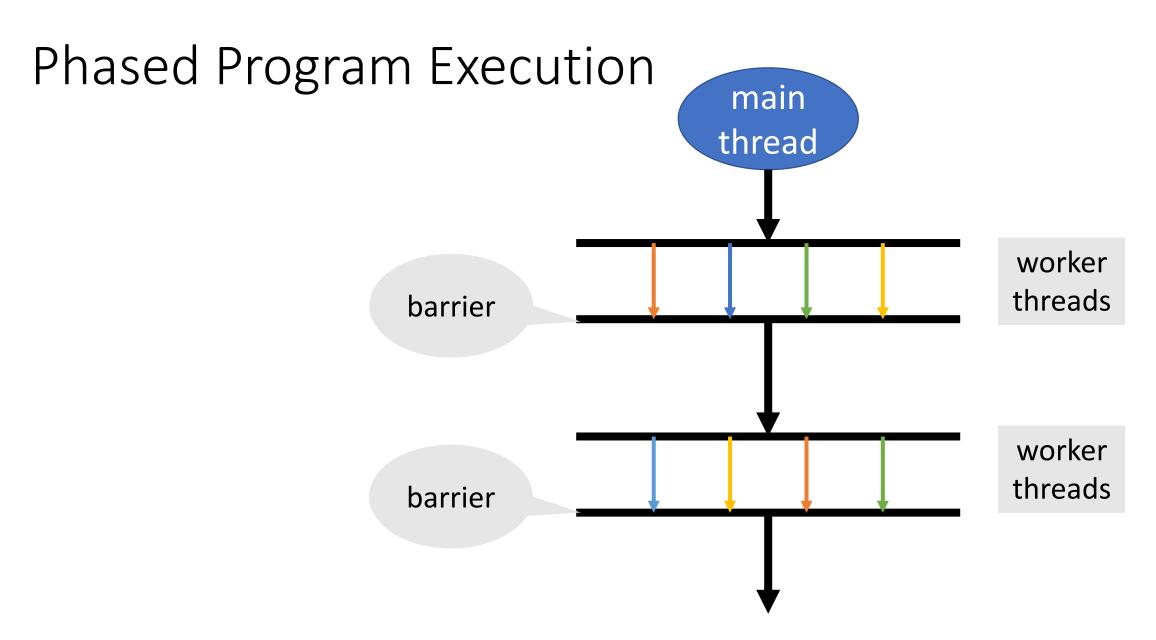
# Synchronization in Pthreads

### Barrier

- Form of global synchronization
- Commonly used on GPUs

Checkout pthread barrier ...

```
dowork()
barrier
...
domorework()
barrier()
```



# Remember this Java Snippet?

```
Object X = null;
boolean done= false;
```

### **Thread T1**

### **Thread T2**

```
X = new Object();
done = true;
```

```
while (!done) {}
X.compute();
```

```
#define NUM THREADS 2
volatile int i = 0;
void *thr1Body(void *arguments) {
 while (i == 0) {};
  cout << "Value of i has changed\n";</pre>
  pthread_exit(NULL);
void *thr2Body(void *arguments) {
  sleep(1000);
  i = 42;
  pthread_exit(NULL);
```

```
int main() {
  pthread_t tid1, tid2;

pthread_create(&tid1, NULL, thr1Body, NULL);
  pthread_create(&tid2, NULL, thr2Body, NULL);

pthread_exit(NULL);
}
```

```
int main() {
#define NUM THREADS 2
                                       pthread_t tid1, tid2;
volatile int i = 0;
                                       pthread_create(&tid1, NULL, thr1Body, NULL);
void *thr1Body(void *arguments) {
                                       pthread create(&tid2, NULL, thr2Body, NULL);
 while (i == 0) {};
 pthread_exit(NULL);
 pthread_exit(NULL);
                                Busy waiting leads to wasted work
                                   Often used when we need to
void *thr2Body(void *argument
                                   synchronize on the data value
 sleep(1000);
 i = 42;
 pthread_exit(NULL);
```

```
int main() {
#define NUM THREADS 2
                                          pthread_t tid1, tid2;
volatile int i = 0;
                                          pthread_create(&tid1, NULL, thr1Body, NULL);
void *thr1Body(void *arguments) {
                                          pthread_create(&tid2, NULL, thr2Body, NULL);
 while (i == 0) {};
 cout << "Valu
              Can you think of situations where busy
 pthread_exit
              waiting is actually advantageous?
void *thr2Body(void *arguments) {
 sleep(1000);
 i = 42;
 pthread_exit(NULL);
```

### Condition Variables

### Signaling mechanism

 Always used along with a mutex lock which protects accesses to shared data

Checkout pthread\_cond\_ ...

Slightly more involved usage

# Nuances of using Pthreads

- Low-level abstraction
- Pthreads scheduler may not be well-suited to manage large number of threads
  - Load balancing
- OpenMP is commonly used in scientific computing
  - Compiler extensions
  - Higher level of abstraction
- Other abstractions like Transactional Memory

### References

- D. Sorin et al. A Primer on Memory Consistency and Cache Coherence
- James Demmel and Katherine Yelick CS 267: Shared Memory Programming: Threads and OpenMP
- Keshav Pingali CS 377P: Programming Shared-memory Machines, UT Austin.
- Blaise Barney, LLNL. POSIX Threads Programming.