Resonant Shattering of Neutron Star Crusts

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The resonant excitation of neutron star (NS) modes by tides is investigated as a source of short gamma-ray burst (sGRB) precursors. We find that the driving of a crust-core interface mode can lead to shattering of the NS crust, liberating $\sim 10^{46} - 10^{47}$ erg of energy seconds before the merger of a NS-NS or NS-black hole binary. Such properties are consistent with Swift/BAT detections of sGRB precursors, and we use the timing of the observed precursors to place weak constraints on the crust equation of state. We describe how a larger sample of precursor detections could be used alongside coincident gravitational wave detections of the inspiral by Advanced LIGO class detectors to probe the NS structure. These two types of observations nicely complement one another, since the former constrains the equation of state and structure near the crust-core boundary, while the latter is more sensitive to the core equation of state.

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Introduction. Short-hard gamma-ray bursts (sGRBs) are intense bursts of gamma-rays with isotropic equivalent energies of $E_{\rm iso} \sim 10^{50} - 10^{51}$ erg, and durations < 2 s [1]. The leading model for the progenitors of sGRBs is the merger of a neutron star (NS) with another NS or the tidal disruption of a NS by a black hole (BH) [2, 3]. Studying the response of NSs in dynamic systems provides a window into the physics of their crust and core, probing material up to many times nuclear density in states inaccessible to terrestrial laboratories.

Flares occurring before the main GRB—precursor flares—were recently identified for a handful of sGRBs [4, 5]. In particular, precursors $\sim$ 1–10 s prior to the main flare were detected with high significance for three sGRBs out of the 49 considered in Troja et al. [5]. Until these discoveries, precursor flares had only been identified prior to some Long Gamma Ray Bursts (e.g. [6, 7]). Detailed sGRB precursor mechanisms remain largely unexplored, but since the precursors occur when the NSs are strongly interacting, they present an exciting opportunity to learn about the interior or region around the NSs. Magnetospheric interaction has been proposed as one explanation for the precursors, but is unlikely to be sufficiently strong unless the magnetic fields exceed magnetar strength [8]. Direct tidal crust cracking has also been suggested [9, 10]. The crust cracks when the crust breaking strain $\epsilon_b \simeq 0.1$ [11] is exceeded by the direct tidal ellipsoidal deformation [12]

$$\frac{\delta R}{R} \sim 0.1 \frac{h_1}{0.8} \frac{q}{1+q} \frac{R_{12}^3}{M_{1.4}} \left(\frac{f_{gw}}{10^3 \,{\rm Hz}}\right)^2 (1+PN), \quad (1)$$

where h_1 is the quadrupole shape Love number of the deformed body, $M_{1.4} = M/1.4 \, M_\odot$ and $R_{12} = R/12$ km are its mass and radius, respectively, q is the mass

ratio of the binary, and f_{gw} is the gravitational wave frequency (twice the orbital frequency); post-Newtonian corrections to the tidal potential (PN) are found in [13]. At the frequency when $\delta R/R \sim \epsilon_b$, the gravitational wave inspiral timescale [14], is

$$t_{gw} = \frac{f_{gw}}{\dot{f}_{gw}} = 4.7 \times 10^{-3} \,{\rm s} \left(\frac{\mathcal{M}}{1.2 M_\odot}\right)^{-5/3} \left(\frac{f_{gw}}{10^3 \,{\rm Hz}}\right)^{-8/3}, \quad (2)$$

where $\mathcal{M} = M_1^{3/5} M_2^{3/5}/(M_1 + M_2)^{1/5}$ is the chirp mass. Since this timescale is so short, unless the GRB emission is delayed for seconds after the objects coalesce (not generally expected), direct tidal deformation cannot explain the majority of observed precursors.

In this letter we present an alternate tidal mechanism that can shatter the crust and cause flares: the excitation of a resonant mode by periodic tidal deformation. We identify a particular mode that has an amplitude concentrated at the crust-core interface, and show that its coupling to the tidal field is sufficient to fracture the crust. Further driving of this mode can continue to cause fractures and deposit energy into seismic oscillations until the elastic limit is reached, releasing the entire elastic energy of the crust as it shatters. Such an event may be observable as a precursor flare.

Steiner and Watts [15] showed that the identification of quasiperiodic oscillations in magnetar flares with toroidal shear modes can constrain physical parameters such as nuclear symmetry energy and we similarly demonstrate how multi-messenger probes of sGRB precursor bursts can be used to measure the interface mode frequency and constrain the crust equations of state.

Analysis. The tidal excitation of NS normal modes in a binary has been well studied for fluid modes (e.g. [16, 17]), and Reisenegger and Goldreich [18] hinted at

possible associated electromagnetic signatures. Including the solid crust of a NS into the mode analysis changes the normal mode spectrum significantly [19]. In addition to the fluid modes of the core (e.g. f-modes, g-modes), the solid crust induces several new modes, including crustal shear modes and interface modes between the crust and core.

For the present study we focus attention on the mode most likely to be tidally excited and subsequently crack the crust. The toroidal crust shear modes have large shear strains, but do not couple significantly to the spheroidal tidal field and are negligibly excited. Low order core g-modes can couple to the tidal field [16], but they do not penetrate the crust. The f-mode of the NS has the strongest tidal coupling, and can strongly perturb the crust. However, the f-mode frequencies are typically $\gtrsim 10^3$ Hz. These frequencies are not reached by the binary before merger, or are in resonance only at late times (see eq. [2]). This would make any observable signal of this mode difficult to separate from the sGRB emission. In contrast to these other modes, the $l = 2$ spheroidal crust-core interface mode (first identified by [19]), or i-mode, is ideal for resonant crust fracture as it couples significantly to the tidal field and has a large shear strain near the base of the crust. In addition, its frequency is low enough ($\sim 100\,\mathrm{Hz}$) for tidal resonance to be well separated from the merger ($t_{gw} \simeq 2$ s at $f_{gw} \simeq 100$ Hz using eq. [2]).

We construct background models of spherical neutron stars with the Oppenheimer-Volkoff equations. For the discussion below, we use the $1.4M_\odot$ (12 km) NS with Skyrme Lyon (SLy4) EOS [15] and a core/crust transition at baryon density of $n_b \sim 0.065\,\mathrm{fm}^{-3}$ as a fiducial case. Taking the NS perturbation equations from [18, 19] we solve for the crust-core i-mode with a crust shear modulus μ given by [20] (which ignores free neutron interactions, and superfluid entrainment effects),

$$\mu = \frac{0.1194}{1 + 0.595(173/\Gamma)^2} \frac{n_i (Ze)^2}{a} \,, \quad (3)$$

where Z is the atomic number of the ions, n_i is the ion density, $\Gamma \equiv (Ze)^2/ak_bT$ is the ratio of the Coulomb to thermal energies and $a = (3/4\pi n_i)^{1/3}$ is the average inter-ion spacing. We assume $T \approx 10^8$ K and ignore any magnetic field since a field strength $\gtrsim 10^{15}$G is required for the magnetic energy density to be comparable to the shear modulus $\mu \sim 10^{30}\,\mathrm{erg\,cm}^{-3}$ at the base of the crust, and such a field has decay time that is likely much shorter than the binary lifetime of the system [21]. The exact mode frequency and eigenfunction depend on properties near the crust-core boundary including EOS, crust shear modulus and crust-core transition density. There is also a weaker dependence on bulk properties of the star such as its mass. For this model the i-mode frequency is found to be $f_{\mathrm{mode}} \simeq 188\,\mathrm{Hz}$, with eigenfunction shown in Fig. 1.
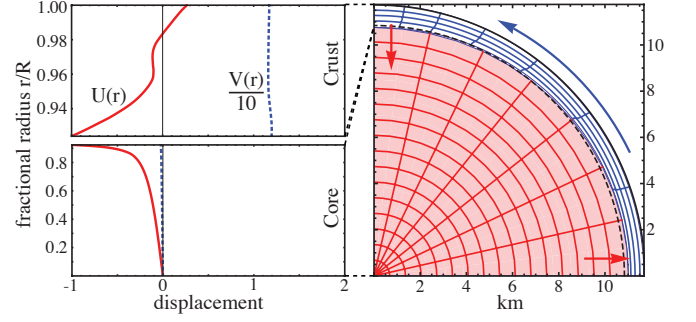

FIG. 1: Left Panels: The $l = 2$ crust-core i-mode eigenfunctions as a function of radius where the Lagrangian displacement is $\xi(r,\theta,\phi) = U(r)Y_{2\pm2}(\theta,\phi)\hat{r} + V(r)\nabla Y_{2\pm2}(\theta,\phi)$. A $1.4M_\odot$ 12 km NS with SLy4 [15] equation of state is used for the background model. Right Panel: Cross section of the NS deformation due the mode. The binary companion is located along the horizontal axis, about which the deformation has rotational symmetry. The fluid core is ellipsoidally deformed towards the binary companion. The solid crust is sheared strongly and compressed to make up for the deformation of the core such that the outer surface is only slightly perturbed radially. The horizontal strain and radial deformation peak at the base of the crust, making excitation of this mode ideal to crack the crust. The solid black line denotes the surface of the unperturbed star, while the dashed black line denotes the unperturbed crust/core transition radius.

As the binary inspirals, it sweeps through successively higher frequencies until it passes through a resonance with the mode frequency. The timescale of during which the tidal driving is approximately phase coherent with the i-mode is estimated with a random phase approximation to find $t_{\mathrm{res}} \sim \sqrt{t_{gw}/\pi f_{gw}}$ [16],

$$t_{\mathrm{res}} \sim 8 \times 10^{-2}\,\mathrm{s} \left(\frac{\mathcal{M}}{1.2\,M_\odot}\right)^{-5/6} \left(\frac{f_{\mathrm{mode}}}{100\,\mathrm{Hz}}\right)^{-11/6} \,, \quad (4)$$

where we have used the fact that $f_{\mathrm{mode}} \approx f_{gw}$ during the resonance. During this time, we estimate the degree of resonant excitation of the i-mode using the formalism of Lai [16]. From this we calculate the dimensionless overlap integral between the $l = 2$ i-mode and the tidal field finding

$$Q \equiv \frac{1}{MR^2} \int d^3x \rho\, \boldsymbol{\xi}^* \cdot \nabla[r^2 Y_{2\pm2}(\theta,\phi)] \simeq 0.041 \,, \quad (5)$$

where $\boldsymbol{\xi} \equiv U(r,t)Y_{2\pm2}\hat{r} + V(r,t)\nabla Y_{2\pm2}$ is Lagrangian displacement mode eigenvector normalized such that $\int d^3x\,\rho\,\boldsymbol{\xi} \cdot \boldsymbol{\xi}^* = MR^2$, and $Y_{2\pm2}(\theta,\phi)$ is the spherical harmonic with $l = 2$ and $m = \pm2$ with both m modes excited equally, and the numerical factor on the right-hand side is from our calculation using the SLy4 equation of state.

During the resonance, the energy of the i-mode increases quickly over the timescale t_{res}. The maximum possible energy the mode can attain was explored by [16],

ignoring crust fracture, orbital back reaction and other non-linear effects. Using equation (6.11) from this work, we estimate the maximum possible energy of the i-mode to be

$$E_{\max} \simeq 5 \times 10^{50} \text{ erg } f_{188}^{1/3} Q_{0.04}^2 M_{1.4}^{-2/3} R_{12}^2 \ q \left(\frac{2}{1+q}\right)^{5/3} \tag{6}$$

where $f_{188} \equiv f_{\mathrm{mode}}/188\,\mathrm{Hz}$ and $Q_{0.04} \equiv Q/0.04$. We next compare this to the mode energy needed to create a break in the crust E_{b}. For a given amplitude mode, we can numerically calculate the dimensionless strain ϵ (see e.g. [22]), and identify the location of maximum strain within the crust. In general, this is found at the base of the crust and concentrated near the NS equator. We numerically find the minimum amplitude $|\boldsymbol{\xi}_{\mathrm{b}}|$ needed for $\epsilon = \epsilon_{\mathrm{b}}$ at some location within the crust, which in turn implies a minimum energy,

$$E_{\mathrm{b}} = (2\pi f_{\mathrm{mode}})^2 \int d^3x\, \rho\, \boldsymbol{\xi}_{\mathrm{b}}^* \cdot \boldsymbol{\xi}_{\mathrm{b}} \simeq 5 \times 10^{46} \mathrm{erg}\, \epsilon_{0.1}^2 \tag{7}$$

where $\epsilon_{0.1} \equiv \epsilon_{\mathrm{b}}/0.1$. Since $E_{\mathrm{b}} \ll E_{\max}$ the i-mode will be amplified enough to break the crust early in the resonant energy transfer.

These initial breaks have a characteristic size similar to the thickness of the crust $\Delta r \sim 0.1R$, and thus release elastic energy $\sim \epsilon_b^2 \mu \Delta r^3 \simeq 10^{43}$ erg from the excited mode. This energy is converted primarily into a broad spectrum of seismic waves, peaked at characteristic frequency $\sim (\mu/\rho)^{1/2}/(2\pi\Delta r) \sim 200\,\mathrm{Hz}$. Seismic waves at these low frequencies do not couple efficiently to the magnetic field, so the energy remains mostly in the crust [23]. But the fracture is not limited to a single event; the crust continues to fracture as the location of maximum strain moves around the star, since the NS is not tidally locked [24] and the crust heals extremely quickly [11]. Seismic energy injected by these fractures can build up in the crust until it reaches the elastic limit and the crust shatters. The elastic limit can be estimated as

$$E_{\mathrm{elastic}} \equiv \oint \epsilon_b^2 \mu\, dx^3 \sim 4\pi R^2 \epsilon_b^2 \mu \Delta r \sim 2 \times 10^{46} \text{ erg } \epsilon_{0.1}^2. \tag{8}$$

The crust fractures cause the i-mode amplitude to saturate at amplitude $|\boldsymbol{\xi}_{\mathrm{b}}|$, with the tidal energy transfer rate [16]

$$\dot{E}_{\mathrm{tidal}} = \int d^3x \rho \frac{\partial \boldsymbol{\xi}_b^*}{\partial t} \cdot \boldsymbol{\nabla}\Phi_g, \tag{9}$$

where $\Phi_g = \sum_{lm} \Phi_{lm}$ is the gravitational potential due to the companion. We have the components $\boldsymbol{\nabla}\Phi_{2\pm2} = (f_{\mathrm{gw}}/\pi)^2 q(q+1)^{-1} W_{2\pm2}\boldsymbol{\nabla}(r^2 Y_{2\pm2})$, where $W_{2\pm2} = (6\pi/5)^{1/2}$ and taking $\partial\boldsymbol{\xi}_b^*/\partial t = 2\pi f_{\mathrm{mode}}\boldsymbol{\xi}_b^*$, we can use equations (5) and (7) to rewrite this expression as

$$\dot{E}_{\mathrm{tidal}} \approx \left(\frac{3\pi}{40}\right)^{1/2} (2\pi f_{gw})^2 M^{1/2} R Q E_{\mathrm{b}}^{1/2} \frac{q}{q+1},$$
$$\approx 10^{50} \text{ ergs s}^{-1} f_{188}^2 E_{46}^{1/2} Q_{0.04}, \tag{10}$$

EOS	f_{mode} [Hz]	Q	$\Delta E_{\max}$ [erg]	E_b [erg]	$\dot{E}_{\mathrm{tidal}}$ [erg/s]
SLy4	188	0.041	5×10^{50}	5×10^{46}	1×10^{50}
APR	170	0.061	1×10^{51}	2×10^{46}	9×10^{49}
SkI6	67.3	0.017	8×10^{49}	3×10^{45}	1×10^{48}
SkO	69.1	0.053	7×10^{50}	1×10^{46}	1×10^{49}
Rs	32.0	0.059	7×10^{50}	1×10^{46}	3×10^{48}
Gs	28.8	0.060	8×10^{50}	1×10^{46}	3×10^{48}

TABLE I: Resonant mode properties for the $l = 2$ i-mode. The background star is taken to be a $1.4\,M_\odot$ NS, with various equations of state given in [15]. The crust/core transition baryon density is fixed to be $n_{\mathrm{t}} = 0.065\,\mathrm{fm}^{-3}$ for each model.

where $E_{46} \equiv E_b/10^{46}$ erg. This high rate implies that only a small fraction of the resonant time, $E_{\mathrm{elastic}}/\dot{E}_{\mathrm{tidal}} \sim 10^{-3}$ s $\ll t_{\mathrm{res}}$, is required for the energy in the crust to build up to the elastic limit.

When this limit is reached and the crust shatters, this scatters the elastic energy and mode energy to higher frequency oscillations, which can couple efficiently to the magnetic field [25, 26]. If emission occurs over the observed precursor timescale this implies a luminosity $L \sim (E_b + E_{\mathrm{elastic}})/0.1\,\mathrm{s} \sim 10^{48}$ erg s^{-1}. If the magnetic field is strong enough that the perturbations are linear, $B \gg 10^{13}\,\mathrm{G}\, R_{12}(L/10^{48}\mathrm{erg\,s}^{-1})^{1/2}$, the energy propagates as Alfvén waves along open magnetic field lines, and the resultant emission will be non-thermal. For weaker fields the magnetic perturbations are highly non-linear and can generate strong electric fields, which can in turn accelerate particles to high energy, and spark a pair-photon fireball with a near-thermal spectrum (e.g. [3]). The observed temperature of such a flare depends sensitively on the baryon load carried in the fireball [27, 28]. The total fluence of the precursor flare can also provide an estimate for a lower bound on the breaking strain, since both E_b and E_{elastic} scale with ϵ_b^2.

Motivated by the above calculations, we next explore the range of energetics and timescales expected from a sample of NS crust EOSs, and describe what constraints the observations may provide between them.

Model Exploration. Using the theoretical framework described above, we perform the same analysis with the EOSs APR, SkI6, SkO, Rs, and Gs, following Steiner and Watts [15] and references therein. Results are summarized in Table I. Our main conclusion is that the energetics are robust, since they mainly depend on the density of the crust and displacement eigenfunction, which do not vary greatly between the models. Much like the toroidal shear modes [15, 29], the i-mode frequency is only weakly dependent on NS mass, but varies with shear speed at the base of the crust. This implies that the resonant excitation of the i-mode would occur at different times during the merger event.

To quantify the relationship between the crust EOS

and the time at which the i-mode resonance occurs, we plot the i-mode and gravitational wave frequency versus time until (PN) coalescence, $t_c - t = 3t_{gw}/8$ (see e.g. [30]), in Figure 2. The dashed lines trace the leading order frequency evolution for a given chirp mass $\mathcal{M}$, going from left to right. When the dashed line intersects a colored column, it indicates the time and frequency at which resonance occurs. From this set of EOSs and $\mathcal{M}$, a wide range of timescale are possible, from $\lesssim 0.1$ s up to ≈ 20 s before merger. Also plotted as horizontal dotted lines are the observed precursor times reported in [5]. Although this comparison assumes that the main flare is nearly coincident with the binary coalescence, certain constraints can already be inferred. The relatively high frequency of the i-mode for the SLy4 EOS means that the resonance only occurs at late times, close to merger. Only if $\mathcal{M} \lesssim 1 M_\odot$ can such a model give timescales similar to the shortest precursors and the longer precursors may be especially difficult for this model to replicate. Other EOS models, such as Gs, Rs, SkI6, and SkO, are largely consistent with the timescale of precursors, but as a larger sample of precursor observations are made, diagrams such as this will be useful for constraining EOSs.

A binary with unequal mass NSs may excite two precursor flares separated by a small time delay, due to the slight difference in the i-mode frequency. However, the two precursors (13 s, 0.55 s) observed in GRB 090510, are too far separated to both be explained by our resonant shattering model of precursors, using two NSs with the same EOS. The 0.55 s flare may alternatively be evidence of direct crust cracking [10] and a delayed main GRB burst, the formation of a hyper-massive magnetar before collapse into a black hole [31], or some other flare mechanism.

Discussion. We explored the resonant excitation by tides of a mode that is concentrated at the crust/core boundary of NSs. We demonstrated that the resonance occurs between $\sim 0.1 - 20$ s prior to merger in NS-NS or NH-NS binaries. Further work remains to be done exploring the details of this model, including the effects of damping on the mode excitation, the effect of more realistic NS structure, and the detailed physics of the magnetospheric emission. However, we have shown that the energetics of the release of mode and elastic energy and the timescale at which the resonance occurs are suggestive of the precursors of sGRBS. Using this theoretical framework we demonstrated that interesting constraints can be placed on the NS crust EOS with comparisons to precursor observations.

The direct phase change of the gravitational waveform due to the resonant excitation of the mode, $\Delta\phi \sim (t_{gw}E_b)/(t_{orbit}E_{orbit}) \sim 10^{-3}$ rad, is too small to be directly measured for signal to noise (SNR) $\lesssim 1000$. However, coincident timing between the γ-ray burst detectors and the GW detector would allow precise determination of the mode frequency, coalescence time, main burst de-
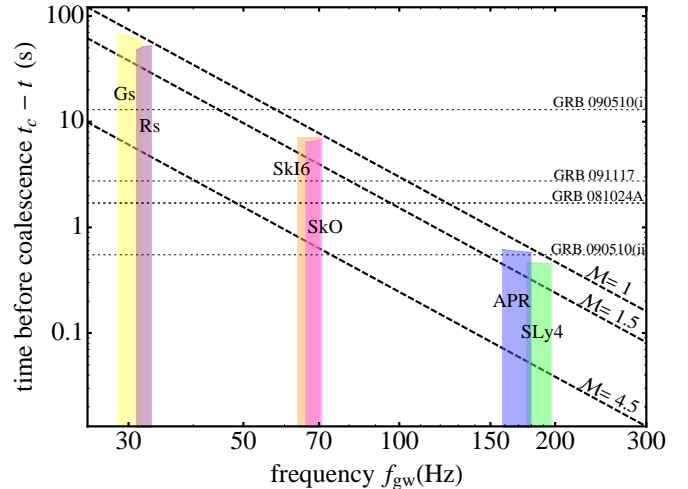

FIG. 2: The time until PN coalescence ($t_c - t$) as a function of gravitational wave frequency. The dashed lines show the frequency evolution of inspiraling binaries for different chirp masses $\mathcal{M}$ as labeled in units of $M_\odot$. A given binary moves from left to right in time. The colored columns show the resonance frequencies $f_{mode} = f_{gw}$ of a set of crust EOSs from [15], over a neutron star mass range of $1.2\,M_\odot$ (higher frequency) to $1.7\,M_\odot$ (lower frequency). We take $1.2\,M_\odot$ as the smallest plausible companion mass, giving an upper bound on the precursor times for each EOS. NS-NS systems will have chirp masses of $1.0 - 1.5\,M_\odot$, and NS-BH systems with $10 - 20\,M_\odot$ BH have chirp masses of $2.7 - 4.5\,M_\odot$. The precursor times for the GRBs reported in [5] are plotted as horizontal dotted lines.

lay time, and chirp mass. With parameter extraction from the GW inspiral at the detection threshold with SNR ~ 10, the dominant error in determining the resonant frequency is due to the uncertainty in the timing of the precursor flare, which is of order the precursor duration. This implies that the mode frequency can be determined to fractional accuracy $\Delta f/f \sim 0.1\,\text{s}/t_{gw} \sim 2\%\,(\mathcal{M}/1.2)^{5/3}f_{100}^{8/3}$. Such a measurement would allow us to tightly constrain the NS physics and parameters that determine the mode frequency. This is complementary to the constraints given by GW coalescence measurement alone, which are sensitive primarily to the core EOS (e.g. [32, 33]).

Resonant shattering precursor flares are likely to be fairly isotropic, and thus may be observable even for sGRBs where the main flare is beamed away from the Earth. Such flares may also be a source of electromagnetic emission for higher mass ratio, lower spin NS-BH mergers where the neutron star does not disrupt to produce a torus and main sGRB flare [34, 35].

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