# SEARCH FOR GAMMA-RAY EMISSION FROM LOCAL PRIMORDIAL BLACK HOLES WITH THE FERMI LARGE AREA TELESCOPE

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### ABSTRACT

Black holes with masses below approximately  $10^{15}$  g are expected to emit gamma rays with energies above a few tens of MeV, which can be detected by the Fermi Large Area Telescope (LAT). Although black holes with these masses cannot be formed as a result of stellar evolution, they may have formed in the early Universe and are therefore called Primordial Black Holes (PBHs). Previous searches for PBHs have focused on either short timescale bursts or the contribution of PBHs to the isotropic gamma-ray emission. We show that, in case of individual PBHs, the Fermi LAT is most sensitive to PBHs with temperatures above approximately 16 GeV and masses  $6 \times 10^{11}$ g, which it can detect out to a distance of about 0.03 pc. These PBHs have a remaining lifetime of months to years at the start of the Fermi mission. They would appear as potentially moving point sources with gamma-ray emission that becomes spectrally harder and brighter with time until the PBH completely evaporates. In this paper, we develop a new algorithm to detect the proper motion of a gamma-ray point sources, and apply it to 318 unassociated point sources at high galactic latitude in the third Fermi-LAT source catalog (3FGL). None of unassociated point sources with spectra consistent with PBH evaporation show significant proper motion. Using the non-detection of PBH candidates, we derive a 99% confidence limit on PBH evaporation rate in the vicinity of the Earth  $\dot{\rho}_{PBH} < 7.2 \times 10^3 \,\mathrm{pc^{-3}yr^{-1}}$ . This limit is similar to the limits obtained with ground-based gamma-ray observatories.

#### 1. INTRODUCTION

The formation of primordial black holes (PBHs) is a prediction of some models of the early Universe (Zel'dovich & Novikov 1966; Hawking 1971). In this paper, we search for evidence of gamma rays produced by the Hawking radiation of low-mass, high-temperature PBHs in the Fermi Large Area Telescope (LAT) data.

In classical general relativity, black holes have zero temperature and do not emit particles. The concept of a black hole temperature was formally introduced as a way to resolve the paradox of information loss for matter falling into the black hole (Bekenstein 1973, 1974). Hawking (1974, 1975) argued that black holes should emit particles due to pair creation near the horizon with grey body emission spectra with the temperature

$$T_{\rm BH} = \frac{\hbar c^3}{8\pi GMk} \approx 10^{-7} \left(\frac{M}{M_{\odot}}\right)^{-1} K,\tag{1}$$

where M is the black hole mass and  $M_{\odot}$  is the Solar mass. Astrophysical black holes, such as those created in a collapse of a massive star, have masses larger than  $M_{\odot}$ . The corresponding temperature is less than  $10^{-7}$  K, which is less than the fluctuations in the cosmic microwave background (CMB) radiation; this makes the signal from these BHs extremely difficult to detect. However, PBHs with masses as small as the Planck mass ( $\sim 10^{-5}$  g) may have been created in the early Universe (Zel'dovich & Novikov 1966; Hawking 1971).

The mass of a PBH created at time t after the Big Bang is proportional to the mass within the particle horizon at time t (for a review see Halzen et al. 1991; Carr 2005; Khlopov 2010),

$$M(t) \approx 10^{15} \left(\frac{t}{10^{-23} \text{s}}\right) \text{g}.$$
 (2)

Limits on density of PBHs at mass M constrain the magnitude of density fluctuations (or the equation of state)

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at time t. These constraints are complementary to the constraints obtained from observations of the CMB since they are sensitive to fluctuations on spatial scales much smaller than the scales observed in the CMB (e.g., Linde et al. 2013).

Since Hawking radiation is emitted in all available particle species, the total emitted power and therefore the lifetime of a PBH depends on the number of available particle states. For the Standard Model of particles, the lifetime of a PBH can be approximated as (Carr et al. 2010)

$$\tau \approx 400 \left(\frac{M}{10^{10} \text{g}}\right)^3 \text{s.} \tag{3}$$

The PBHs which were formed in the early Universe with a mass around  $M_* = 5 \times 10^{14}$  g have a lifetime close to the lifetime of the Universe and reach late stages of evaporation at the present time. The PBHs with the remaining lifetime of months to years will be the subject of the search in this paper. The temperature corresponding to  $M_*$  is  $T_{\rm BH} \approx 20$  MeV (MacGibbon 1991; Carr et al. 2010). A limit on the average cosmological density of PBHs can be obtained by integrating the flux from PBHs over the lifetime of the Universe. This flux has to be smaller than the observed extragalactic gamma-ray background (Carr & MacGibbon 1998; Carr et al. 2010). This method is most sensitive to PBHs with initial masses  $M \approx M_*$ . Since PBHs are expected to be concentrated in galaxies similarly to dark matter, stronger constraints for initial masses slightly larger than  $M_*$  can be obtained by searching for diffuse emission from PBHs in the halo of the Milky way galaxy (Lehoucq et al. 2009). A comparison of extragalactic and Galactic gamma-ray background constraints with the constraints from the search for individual PBHs will be presented in Section 5.

Constraints on the local PBH evaporation rate have been obtained by looking for bursts of high-energy gamma-ray emission with duration of a fraction of a second to several seconds. In particular, PBHs with a mass of  $M \sim 10^9$  g have temperature  $T_{\rm BH} \approx (10^{10}\,{\rm g/M_{BH}})\,{\rm TeV} \sim 10\,{\rm TeV}$  (Carr et al. 2010) and lifetime  $\tau \sim 0.4$  sec. A search for such PBHs in the context of the Standard Model has been carried out by searching for high-energy gamma rays ( $\approx 100~{\rm GeV} < {\rm E} < \approx 50~{\rm TeV}$ ) with Cherenkov telescopes (e.g., Tešić & VERITAS Collaboration 2012; Glicenstein et al. 2013; Abdo et al. 2015). In the case of the Hagedorn model (Hagedorn 1968), which was proposed before the Standard Model of particle physics was confirmed, the number of states available for the PBH evaporation increases exponentially when the temperature reaches the Hagedorn transition energy around 160 MeV. This leads to a microsecond burst of gamma rays around a few hundred MeV (Page & Hawking 1976). A search for such bursts was carried out using EGRET data (Fichtel et al. 1994). One can also expect short radio bursts in this model (Rees 1977; Blandford 1977), which can be used to constrain the PBH evaporation rate (e.g., Cutchin et al. 2015).

Fermi LAT is a pair conversion telescope which is sensitive to gamma rays from  $\approx 20$  MeV to more than 300 GeV. Overviews of the Fermi-LAT design and performance can be found in Atwood et al. (2009) and Ackermann et al. (2012). PBHs with masses  $M \lesssim 10^{15}$  g have temperatures  $T \gtrsim 10$  MeV and emit gamma rays (Page & Hawking 1976) that can be detected with the Fermi LAT.

In this paper, we search for PBHs in the context of the Standard Model of particles using the Fermi-LAT gammaray data. In Section 2 we estimate that for the differential point source (PS) sensitivity in 4 years of observation the Fermi LAT is most sensitive to PBHs with temperature  $T_{\rm BH} \sim 16~{\rm GeV}({\rm corresponding}$  to a remaining lifetime of  $\sim$ 4 years). The corresponding distance to which such a PBH can be detected is  $\lesssim 0.02~{\rm pc}$ . Assuming a random relative velocity of PBHs around the Earth similar to that of particle dark matter velocity dispersion, the displacement of a PBH over 4 years within 0.02 pc is, on average, greater than 1°. For comparison, the point source (PS) localization radius for a near-threshold source is approximately 0.1° (Ackermann et al. 2012). Thus a smoking-gun signature

<sup>&</sup>lt;sup>1</sup> Here and in the following we convert temperature to energy units by multiplying with the Boltzmann constant k.

of a PBH in Fermi-LAT data is a moving source with a hard spectrum of gamma rays. A search for such sources is a novel feature of our analysis that has not been discussed in the literature related to PBH searches.

In Section 3 we search for PBH candidates among the sources of the third Fermi-LAT catalog (3FGL, Acero et al. 2015). The majority of sources can be excluded as PBH candidates based on association with known sources or on their spectra, but several sources cannot be excluded based on these criteria alone. For these sources, we analyze the Fermi-LAT data near the position of the PBH candidates and find one candidate whose proper motion is inconsistent with zero. We discuss this source in more detail in Section 3.2. In Section 4 we use Monte Carlo simulations to derive the efficiency of our PBH selection criteria, which we then use to derive an upper limit on the PBH evaporation rate. We find that PBHs can be detected up to a distance of  $\sim 0.03$  pc at the expense of a reduction of volume in phase space: the PBHs should be moving preferentially along the line of sight to be detected as a PS. The detectability distance of  $\approx 0.03$  pc derived with MC simulations and the full PS analysis pipeline is generally consistent with the simple estimate of 0.02 pc, which we obtain for non-moving PBHs using the known differential sensitivity of Fermi LAT to point sources. In Section 5 we present the conclusions. In Appendix A we discuss some uncertainties on the spectrum of gamma rays emitted by PBHs.

### 2. SENSITIVITY OF Fermi LAT TO INDIVIDUAL PRIMORDIAL BLACK HOLES

We start our analysis by estimating the sensitivity domain, i.e., the relevant energies and timescales, of the Fermi LAT to individual PBHs. One of the main questions is whether the Fermi LAT is more sensitive to a population of PBHs with "low" temperature (e.g.,  $\lesssim 10$  GeV), hence, smaller intensity of emission but large spatial density, or to a population with "high" temperature (e.g.,  $\gtrsim 10$  GeV) but low spatial density.

In this section, we derive the range of masses, temperatures, and distance to the Earth where PBHs are detectable by Fermi LAT. In Figure 1, we compare the spectra of PBHs with the differential PS sensitivity for 4 years of Pass 7 reprocessed data (Ackermann et al. 2012; Bregeon et al. 2013). The same data sample was used in the derivation of the 3FGL catalog (Acero et al. 2015), which we use in the next section to search for PBH candidates. To determine the four-year equivalent flux from a PBH, we integrate the PBH spectrum either over the lifetime of the PBH or over four years, whichever is smaller, and divide by four years. The PBH spectra are derived taking into account both primary and secondary (mostly from hadronic showers of quarks and gluons) production of gamma rays by the black holes (MacGibbon & Carr 1991). Although all Standard Model particles can be emitted by PBHs in principle, the  $\gamma$ -ray spectrum is dominated by the Quantum chromodynamics (QCD) degrees of freedom (MacGibbon et al. 2015). The normalization for each of the curves is chosen such that the PBH flux is equal to the differential PS sensitivity in one of the energy bins.

Since the intrinsic luminosity of PBHs for a given temperature is fixed, we can use the condition that the flux should be larger than the PS sensitivity to estimate the maximal distance at which the PBHs can be detected as a function of initial temperature (shown by the solid line in Figure 2 right). Typical values near the maximum are  $\lesssim$  0.02 pc for initial temperatures between 10 GeV and 40 GeV. The corresponding remaining lifetime is between 20 years and 4 months. Over the age of the Universe, we expect PBHs to virialize in the same manner as dark matter. If we take into account the orbital velocity of the solar system around the GC  $v_{\rm rot} \sim 250~{\rm km~s^{-1}}$  (Wilkinson & Evans 1999; Xue et al. 2008; Sofue et al. 2009; Gnedin et al. 2010; McMillan & Binney 2010; McMillan 2011) and add a velocity dispersion similar to the expected dark matter velocity dispersion near the Sun  $v_{\rm disp} \sim 270~{\rm km~s^{-1}}$  (e.g., Kuhlen et al. 2010) then the displacement of a PBH during 3 years at  $R=0.02~{\rm pc}$  from the Earth could be as large as  $\alpha=v_{\rm perp}t/R \propto 3^{\circ}$ . Here we estimated the average velocity perpendicular to the line of sight in a random direction on the sky as  $v_{\rm perp}=\sqrt{2/3(v_{\rm rot}^2+v_{\rm disp}^2)}\sim 300~{\rm km~s^{-1}}$ . Because this displacement is  $\sim 15~{\rm times}$  larger than the Fermi-LAT PS localization radius for a threshold source, such a black hole will appear as a linear streak of gamma-ray emission instead of a point source.

Since the large majority of *Fermi*-LAT sources are point-like, linear spatial extension is a powerful criterium for identifying PBHs. One of the difficulties in observing a moving PS is that the flux becomes smeared out into

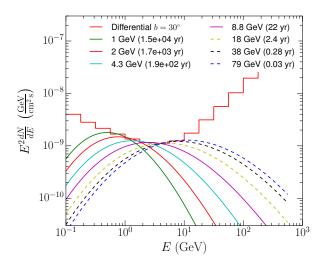


Figure 1. Comparison of spectra of PBHs with different initial temperatures and Fermi-LAT PS differential sensitivity at  $b = 30^{\circ}$ , which is representative for Fermi-LAT PS sensitivity away from the Galactic plane. Lines correspond to PBHs with different initial temperatures specified in the labels, with the corresponding lifetimes shown in parentheses. PBHs with lifetimes longer than 4 years are shown as solid lines, while PBHs with lifetime shorter than 4 years are shown as dashed lines. The distance to each PBH is chosen such that the flux from the PBH is equal to the PS sensitivity in one of the energy bins (the corresponding detectability distances are shown as a solid line in Figure 2 on the right).

an extended track following the trajectory of the source, but PS catalogs are optimized for sensitivity to point sources as opposed to extended sources. A simple estimate of the *Fermi*-LAT sensitivity to a moving source can be obtained by integrating the flux during the time when the moving source appears as a point-like source, e.g., when the displacement is less than the PS localization radius. The corresponding time is shown by in Figure 2, left.<sup>2</sup> Using the reduced integration time, we also derive the corresponding detectability distance (red dash-dotted line in Figure 2 on the right). For large temperature (small remaining lifetime) the detectability distance for a moving source is the same as for a stationary one because the expected displacement is smaller than the PS localization radius.

Given the characteristic detection radius for PBHs including the proper motion  $R \sim 0.01$  pc for 4 years of observations (Figure 2, right panel), we estimate that the Fermi LAT is sensitive to a PBH evaporation rate of  $\dot{\rho} = 1/Vt \propto 6 \times 10^4 \, \mathrm{pc^{-3}yr^{-1}}$ , where  $V = 4\pi R^3/3$  is the detectability volume and t = 4 yr is the observations time. The main result of this section is that the Fermi-LAT sensitivity is potentially competitive with sensitivity to individual PBHs of Cherenkov observatories (Tešić & VERITAS Collaboration 2012; Glicenstein et al. 2013; Abdo et al. 2015; Ukwatta et al. 2016), e.g.,  $\dot{\rho} = 1.4 \times 10^4 \, \mathrm{pc^{-3}yr^{-1}}$  derived by the H.E.S.S. collaboration (Glicenstein et al. 2013), but one has to take the proper motion of PBHs into account. In the following sections we use the 3FGL catalog, which employs the full PS sensitivity of the Fermi LAT rather than the differential sensitivity. We also treat the proper motion more rigorously. As a result, the derived Fermi-LAT sensitivity is a factor of a few better than the simple estimate presented in this section.

<sup>&</sup>lt;sup>2</sup> The time for a PBH with an average velocity to appear as a point-like source is smaller than 4 years, which is the observation time for the 3FGL catalog. Better sensitivity to PBHs can be obtained by searching for PS with duration in the range from approximately a month to a year due to lower background for shorter integration time. Such a search of PS with a sliding time window goes beyond the scope of this work, where we restrict the analysis to PS detected in the 3FGL catalog.

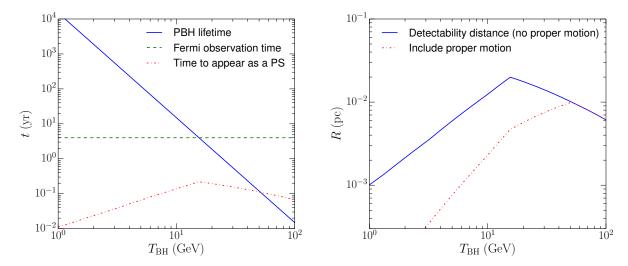


Figure 2. Left: solid line - PBH lifetime as a function of initial temperature, dashed line - 4 years, dash-dotted line - time during which the displacement is less than  $0.2^{\circ}$  which is approximately equal to twice the PS localization radius at 10 GeV for Pass 7 reprocessed data assuming relative velocity perpendicular to the line of sight  $\sim 300~{\rm km~s^{-1}}$  and distance represented by the solid line on the right plot. Right: solid line - detectability distance for a PBH as a function of initial temperature T from the Fermi-LAT PS differential sensitivity (Figure 1); dash-dotted line - detectability distance taking into account relative motion of PBHs due to orbital motion in the Galaxy and dark matter-like velocity dispersion (dash dotted line on the left plot). PBHs with lifetimes of 4 years have a temperature of about 16 GeV; the break at 16 GeV results from lower-temperature PBHs having longer lifetimes than the observation time, i.e., they evaporate only partially, while PBHs with temperatures higher than 16 GeV have a smaller initial mass, i.e., produce smaller total fluxes than PBHs with initial temperature of 16 GeV.

### 3. A SEARCH FOR PBH CANDIDATES IN Fermi-LAT 3FGL CATALOG

The Fermi-LAT surveys the entire sky approximately every three hours, and has relatively uniform exposure over long time scales (at 1 GeV over 4 years the exposure varies by approximately 40% over the entire sky). Combined with a large effective area (approximately 1 m<sup>2</sup> between 1 GeV and 1 TeV), this makes it an ideal instrument for detecting a large number of gamma-ray point sources. The most complete catalog of point sources is currently the third Fermi point source catalog (3FGL, Acero et al. 2015). It contains sources that are significantly detected above 100 MeV and spans the first 4 years of the Fermi mission. We used the 3FGL catalog to search for PBH candidates and to constrain the local PBH evaporation rate.

To find PBH candidates in the 3FGL catalog, we first excluded from further consideration point sources associated with known astrophysical sources (such as blazars). Of 3033 sources in the 3FGL catalog, 1010 are unassociated sources. We also excluded sources that are within 10° of the Galactic plane, which removed a further 468 sources. Our analysis was restricted to high-latitude sources because (a) detectable PBHs are expected be distributed isotropically given the detectability distances estimated in Section 2 while astrophysical sources are concentrated along the Galactic plane, and (b) association of extragalactic sources such as blazars is easier at high latitude (see, e.g. Ackermann et al. 2015).

To test the remaining unassociated 3FGL sources as PBH candidates, we fit their spectra with the time-integrated gamma-ray spectra emitted by a PBH. For this analysis we used the fluxes of the candidate sources as reported in the 3FGL, which are provided in 5 energy bands (0.1 - 0.3, 0.3 - 1, 1 - 3, 3 - 10, and 10 - 100 GeV). The time-integrated PBH spectrum depends on two parameters: initial mass (or temperature) and the distance to the Earth (equivalent to an overall normalization). We varied these two parameters to obtain the best fit to the PS spectrum in the five energy bands. The quality of the spectral fit to the reported spectrum was determined by calculating the value of the  $\chi^2$  over the five energy bands:

$$\chi^2 = \sum_{i=1}^5 \frac{(\Phi_i - \Psi_i)^2}{\sigma_i^2},\tag{4}$$

where  $\Phi_i$  is the PBH spectrum integrated over the width of bin i and  $\Psi_i$  is the flux in bin i from the 3FGL. Here  $\sigma_i$  represents the uncertainty on the flux in bin i. In the 3FGL, flux uncertainty is represented by a 68% confidence interval; the value of the uncertainty can then be written as the difference between the best-fit flux and either the upper or lower bound. We set  $\sigma_i$  to be the larger of the two in order to be conservative. We require the value of the best-fit  $\chi^2$  to be below the critical value of 11.3, which corresponds to 99% exclusion for 5 degrees of freedom and 2 parameters. In other words, sources with a  $\chi^2$  value greater than 11.3 have only a 1% chance of being spectrally consistent with a PBH. After the spectral consistency was computed, 318 sources out of the 542 unassociated candidates remained as PBH candidates.

The candidate sources next underwent a check for proper motion. We use the following algorithm to determine the magnitude and significance of proper motion:

- 1. All source-class photons above 1 GeV within 5° of the source's reported 3FGL location were collected. The time range (August 2008 to July 2012) and data reconstruction (P7REP\_SOURCE\_V15) were consistent with that of the data used to construct the 3FGL. Since the angular resolution of the Fermi LAT decreases quickly below 1 GeV, including photons below 1 GeV did not have a significant impact on the final results.
- 2. Data covering a longer time range (August 2008 to July 2017) and a more recent event reconstruction (P8R2\_SOURCE\_V6) were held in reserve for validation, and was used to test the PBH hypothesis for any sources that passed the proper motion cut.
- 3. The expected number of photons N from the source of interest was calculated by multiplying the flux in each energy bin by the *Fermi*-LAT exposure at the bin's midpoint energy, and summing over the three relevant bins (1 3 GeV, 3 10 GeV, 10 100 GeV).
- 4. In order to estimate the velocity of a PS we compare the maxima of the likelihood function  $\mathcal{L}(\vec{x_i}, t_i, \vec{x_0}, \vec{v_0})$  in two cases: fixed  $\vec{v_0} = 0$  and free  $\vec{v_0}$ . We approximate the point spread function of Fermi LAT by a Gaussian for simplicity. The likelihood function is given by multiplying over N photons around the initial position of the source:

$$\mathcal{L} = \prod_{i=1}^{N} w_i \times \exp\left\{\frac{-(\vec{x_i} - \vec{x_0} - \vec{v_0}t_i)^2}{\sigma_i^2}\right\}$$
 (5)

where  $\vec{x_i}$  is the coordinates of the photon,  $\vec{v_0}$  is the proper motion of the source,  $t_i$  is the photon arrival time,  $\vec{x_0}$  is the source location at the beginning of the observation time, and  $\sigma_i$  is the 68% angular containment radius for a photon at energy  $E_i$ , which is  $\sim 0^{\circ}.7$  at 1 GeV (Bregeon et al. 2013). Here  $w_i$  is a weight assigned to each photon, the calculation of which is defined in Section 3.1.

In practice, we use the natural logarithm of the likelihood:

$$\log \mathcal{L} = \sum_{i=1}^{N} \log w_i - \frac{(\vec{x_i} - \vec{x_0} - \vec{v_0}t_i)^2}{\sigma_i^2}$$
 (6)

The main difficulty is separating the N photons attributed to the source from background photons. Our algorithm chooses a 4-dimensional grid of points around an initial value of  $\vec{x_0}$  and  $\vec{v_0} = 0$ , and for each grid point finds the N photons inside the 5° ROI that have the highest contribution to  $\log \mathcal{L}$ , i.e. the photons which most likely belong to the source given a particular position and velocity. Therefore the weights  $w_i$  do not appear as a prefactor in Eqs 5 and 6 because the N best-fit photons change given different assumptions

of  $\vec{x_0}$  and  $\vec{v_0}$ . The best-fit  $\vec{x_0}$  and  $\vec{v_0}$  are found by maximizing  $\Delta \log \mathcal{L} = \log \mathcal{L} - \log \mathcal{L}(\vec{v_0} = 0)$  on the grid. With the additional degrees of freedom from allowing  $\vec{v_0}$  to float, the value of  $\Delta \log \mathcal{L}$  is always nonnegative.

We find in MC simulations (described in Section 4) that this algorithm tends to underestimate the input velocity by  $\approx 25\%$ ; the best-fit velocity should therefore be considered a lower bound on the true velocity and sufficient for our purpose of separation of moving and stationary sources. The underestimation occurs because source photons that are far away from the average source position have lower weights and so are less often included in the likelihood calculation. In their stead are background photons, whose distribution in time is random, and therefore cause the algorithm to favor a slower overall velocity.

5. The significance of  $\Delta \log \mathcal{L}$  for each source was found by assigning random times  $t_i$  drawn from a flat distribution to each photon but fixing the positions  $\vec{x_i}$  of all the photons, and reoptimizing  $\Delta \log \mathcal{L}$ . This process was repeated 50 times for each source, and the original value of  $\Delta \log \mathcal{L}$  was compared with the distribution of  $\Delta \log \mathcal{L}$  for the data sets scrambled in time to find a local significance  $\sigma$ :

$$\sigma = \frac{\Delta \log \mathcal{L}_0 - \overline{\Delta \log \mathcal{L}_s}}{\operatorname{std}(\Delta \log \mathcal{L}_s)},\tag{7}$$

where  $\Delta \log \mathcal{L}_0$  is the original value of the improvement in likelihood,  $\overline{\Delta \log \mathcal{L}_s}$  is the mean of the scrambled likelihood improvements, and  $\operatorname{std}(\Delta \log \mathcal{L}_s)$  is the standard deviation of the scrambled likelihood improvements.

6. A cut on the local significance for each source was made at  $3.6\sigma$  which corresponds to a global significance of  $2\sigma$  for 318 sources.

A single source (3FGL J2310.1–0557, see Section 3.2 for a discussion of this source) exceeded this cut on local significance, and the standard deviation of the local significances of the entire set of candidates was 1.03, which is consistent with statistical fluctuations. After examining the data held in reserve for J2310.1–0557 (described in Section 3.2), we concluded that no likely PBH candidates exist in the 3FGL catalog.

## 3.1. Calculating Photon Weights

The photon weights  $w_i$  in equations 5 and 6 are defined as the probability that a given photon originated from the candidate PS, and are calculated by performing a standard likelihood optimization with the Fermi Science Tool gtlike<sup>3</sup>. The model used includes all 3FGL PS within 5° of the candidate source, as well as the standard Pass 7 models for Galactic and isotropic diffuse emission. The candidate source is modeled as an extended source with a radial Gaussian profile with  $\sigma = 0.25^{\circ}$  instead of a PS, in order to account for the possibility of proper motion. The data were binned into three logarithmically spaced energy bands between 1 GeV and 100 GeV and in  $0.1^{\circ} \times 0.1^{\circ}$  spatial pixels. After the model was optimized by gtlike, weights were assigned to each photon (described its coordinates x, y, and energy E) by calculating the fraction of the flux belonging to the candidate source in each pixel:

$$w_{x,y,E} = \frac{\Phi'(x,y,E)}{\sum_{i} \Phi_{i}(x,y,E)}$$
(8)

where  $\Phi'(x, y, E)$  is the predicted flux from the candidate source in the pixel and  $\Phi_i(x, y, E)$  are the fluxes from all the sources in the model. In addition, the 3FGL PS were masked by assigning a weight of zero to all the photons which fell in a pixel more than 1° from the candidate source position where the summed contribution of

<sup>&</sup>lt;sup>3</sup> Science Tools version v10r0p5, available at http://fermi.gsfc.nasa.gov/ssc/data/analysis/software

the non-candidate PS fluxes exceeded 10% of the total flux in that pixel. This meant that all the photons in the calculation had a high probability of originating either from the candidate source or the diffuse background.

The weighting has little impact on the reconstruction of proper motion because individual photon weights do not change as the likelihood maximization from step 4 optimizes  $\vec{x_0}$  and  $\vec{v_0}$ . However, weighting the photons in this way prevents the algorithm from interpreting photons from nearby sources as originating from the candidate source. Without weighting, we found that flaring nearby sources could mimic a moving source and therefore lead to false positive results.

The source J2310.1–0557 passed the proper motion cut with a significance of  $4.2\sigma$ , and was therefore investigated further. Approximately 9 years (August 2008 to July 2017) of Pass 8 (P8\_SOURCE\_V6) data above 1 GeV in an ROI of 5° around the source location were collected. The increased statistics and improved angular resolution of the Pass 8 data set clearly indicated that J2310.1–0557 lies approximately 1° away from a separate, highly variable source of gamma rays which is not in the 3FGL catalog. This source flared brightly (approximately 150 photons) on 2011 March 7, near the end of the 3FGL time period but was quiet for the remainder of the period. We found that the position of the source was consistent with the Sun, which flared brightly on the same date (Allafort et al. 2011). gamma-ray emission from the Sun and Moon not included in our models of the ROI. The effect of the solar flare near a candidate PBH was to mimic a moving source, which explains why the proper motion algorithm returned a positive result. Because the sources in the Monte Carlo simulation described in Section 4 are placed at random points on the sky, we expect that similar false positives will occur in the simulations. Therefore, we report the upper limit on PBH evaporation rate as if one source passed our criteria, even though J2310.1–0557 is not a good PBH candidate. Incidentally after the publication of the 3FGL source list, J2310.1–0557 was found to be a millisecond pulsar<sup>4</sup>.

### 4. Fermi-LAT LIMITS ON PBHs

We used Monte Carlo (MC) simulations to derive the efficiency for detecting PBHs, and used the efficiency to place upper limits on the local PBH evaporation rate. We generated a sample of PBHs within 0.08 pc of the Earth with uniform spatial density and random velocities with an average speed of 250 km s<sup>-1</sup>, which is close to an upper bound on orbital velocity of the Sun around the Galactic center (Wilkinson & Evans 1999; Xue et al. 2008; Sofue et al. 2009; Gnedin et al. 2010; McMillan & Binney 2010; McMillan 2011), and 3-dimensional velocity dispersion equal to the local velocity dispersion of dark matter, 270 km s<sup>-1</sup> (Kuhlen et al. 2010). At the end of this section, we also derive the limits for different assumptions about the PBH distribution, such as the relative velocity and velocity dispersion, to estimate the corresponding systematic uncertainty.

We assume the PBH population has a constant rate of PBH evaporations,  $\dot{\rho}_{PBH} = const.$  We also assume a uniform PBH density distribution in the vicinity of the Earth. A constant rate of evaporation implies that the derivative of the PBH density is related to the PBH temperature as:

$$\frac{d\rho_{\rm PBH}}{dT} \propto T^{-4}.\tag{9}$$

The following steps were performed in the derivation of the PBH evaporation rate limit:

1. A sample of PBHs  $(T_i, \vec{x}_i, \vec{v}_i)$  was simulated with temperatures  $T_i > 5$  GeV and  $T_i < 60$  GeV distributed according to Equation (9), and distances  $R_i$  within R < 0.08 pc around the Earth. The velocities  $v_i$  of the sample PBHs were distributed with mean equal to the orbital velocity of the Sun,  $v_{\text{rot}} = 250 \text{ km s}^{-1}$ , and dispersion  $v_{\text{disp}} = 270 \text{ km s}^{-1}$ .

<sup>&</sup>lt;sup>4</sup> See https://confluence.slac.stanford.edu/display/SCIGRPS/LAT+Pulsations+from+PSR+J2310-0555

2. For each PBH, we simulated the detection of the photons emitted over the 4 year 3FGL time period, consistent with the PBH evolution. The energies were distributed according to the instantaneous PBH spectrum of the appropriate temperature, and the positions of the photons were smeared according to the Fermi-LAT point-spread function (modeled as a Gaussian distribution). The emission spectra of PBHs  $\Phi(E,t)$  are discussed in Appendix A. We used a time step of  $\Delta t = 1$  day in modeling the evolution of the PBH position and temperature, and the number of photons detected by the Fermi LAT each day was given by a Poisson random value with a mean of

$$\overline{N(t)} = \frac{\Delta t}{4\pi R^2} \int_{E=100 \text{ MeV}}^{E=500 \text{ GeV}} \Phi(E, t) A(E) dE,$$
(10)

where A is defined as the average Fermi-LAT exposure per unit time at the position of the simulated PBH, and R is the distance from the Earth. The energy of each photon was found by random sampling of  $\Phi(E,t) \times A(E)$ . Fermi LAT has relatively uniform exposure on time periods longer than 1 day.

- 3. The list of simulated PBH photons was concatenated to the real photons present within 5° of the final location of the PBH, with the same data selection as the 3FGL. A likelihood fit using the Fermi Science Tool gtlike was performed in a 7° × 7° ROI centered at the same location, using a model of the sky which included all 3FGL sources within 5° of the ROI center, as well as models of the isotropic diffuse and Galactic diffuse emission<sup>5</sup>. The PBH was modeled as a source with a LogParabola spectrum, with fitting parameters restricted to the ranges  $1.2 < \alpha < 3.0$  and  $0.0 < \beta < 1.0$ . Once the likelihood maximization was complete, the PBH was considered detected if its TS value was greater than 25, which is consistent with the 3FGL cutoff.
- 4. If the PBH source was detected, the results from the likelihood fit were used to find the source flux in the five energy bins reported in the 3FGL catalog. The spectral consistency with a PBH spectrum was then calculated in the same way as described in Section 3.
- 5. If the source was found to be spectrally consistent with a PBH, the significance of any proper motion was evaluated by the algorithm described in Section 3. The combined efficiency of steps 3−5 is displayed in Figure 3. We smoothed the results by convolving the detectability map with a 3×3 matrix of ones, which had a minor (≈ 8%) impact on the resulting limit. The impact of fluctuations was quantified by observing the change in the limit as the number of simulations increased; we found that an increase of the number of simulations by 100% had less than a 20% change in the resulting limit.
- 6. To derive an upper limit on the number of PBH evaporations in our search region, we begin with the number of expected detections:

$$N = \rho \epsilon V, \tag{11}$$

where  $\rho$  is the true density of PBHs and V is the volume searched.  $\epsilon$  is the average PBH detection efficiency in time t=4 yr and within the search volume V (a sphere with radius 0.08 pc, with the wedge corresponding to  $|b| < 10^{\circ}$  removed); it is calculated by taking the mean over the pixels in Figure 3 with the weight  $R^2T^{-4}$ :

$$\epsilon = \frac{\iint \epsilon(R, T) \frac{R^2}{T^4} dR dT}{\iint \frac{R^2}{T^4} dR dT},\tag{12}$$

<sup>&</sup>lt;sup>5</sup> The models used were the standard Pass 7 (for consistency with the 3FGL) diffuse emission models available from https://fermi.gsfc.nasa.gov/ssc/data/access/lat/BackgroundModels.html

where the integrals run over the space of parameters described in step 1. Equation 11 can be inverted to find the PBH density  $\rho$  as a function of the number of detections N, or the upper limit on  $\rho$  given an upper limit on N. Given that one PBH candidate passed the selection criteria described in Section 3, we set an upper limit N < 6.64, which is the 99% confidence upper limit on the mean of a Poisson distribution with 1 observed event.

7. We convert the upper limit on  $\rho$  to an upper limit on  $\dot{\rho}$  by finding the fraction f of PBHs that would have evaporated during the search time t. Given a time of observation of 4 years, we find that all PBHs with initial temperature above 16.4 GeV would evaporate. Therefore,

$$f = \frac{\int_{16.4 \text{ GeV}}^{60 \text{ GeV}} T^{-4} dT}{\int_{5 \text{ GeV}}^{60 \text{ GeV}} T^{-4} dT}.$$
 (13)

We calculate the 99% upper limit on  $\dot{\rho}_{PBH}$  to be:

$$\dot{\rho}_{\text{PBH}} < f \frac{6.64}{\epsilon V t} = 7.2 \times 10^3 \text{ pc}^{-3} \text{ year}^{-1}.$$
 (14)

8. We estimated the systematic uncertainties arising from the uncertaintes in the PBH spectrum by varying the overall normalization of the PBH spectrum (see Appendix A) and varying the velocity distributions of the Milky Way disk and DM halo. We consider two scenarios ("aggressive" and "conservative") which give the best and worst sensitivity, respectively. Steps 1–7 are then repeated to find the resulting limit. The parameters of the aggressive and conservative models, as well as the resulting limits, are listed in Table 1.

| Model        | Spectrum Normalization | Orbital Velocity $(km s^{-1})$ | DM Halo Velocity $(\text{km s}^{-1})$ | Limit  |
|--------------|------------------------|--------------------------------|---------------------------------------|--|
| Aggressive   | $\frac{0.45}{0.35}$    | 100                            | 150                                   | $4.8 \times 10^3 \text{ pc}^{-3} \text{yr}^{-1}$     |
| Conservative | $\frac{0.25}{0.35}$    | 300                            | 350                                   | $15.3 \times 10^{3} \text{ pc}^{-3} \text{ yr}^{-1}$ |

Table 1. Parameters used in estimation of systematic uncertainty. To be more conservative in the estimates of the systematic uncertainties, we have tested the ranges of orbital velocities and the DM dispersion velocities which are larger than most of the values reported in the literature (Wilkinson & Evans 1999; Xue et al. 2008; Sofue et al. 2009; Gnedin et al. 2010; Kuhlen et al. 2010; McMillan & Binney 2010; McMillan 2011).

The limit including the systematic uncertainties is

$$\dot{\rho}_{\text{PBH}} < (7.2^{+8.1}_{-2.4}) \times 10^3 \text{ pc}^{-3} \text{ yr}^{-1}.$$
 (15)

## 5. DISCUSSION AND CONCLUSIONS

The potential existence of PBHs that emit detectable Hawking radiation is one of the most intriguing features of some theories of cosmological evolution. In addition to providing evidence for these theories, the possibility for direct observation of Hawking radiation (which would be a major discovery in its own right) was our main motivation for the search of PBHs with the *Fermi* LAT.

In this paper we searched for individual PBHs among 3FGL catalog sources. We performed calculations showing that the characteristic distance to a detectable PBH is of order  $\sim 0.03$  pc, in which case the proper motion of the PBH must be taken into account. We developed a new algorithm which can detect the proper motion of a point source in the presence of a known background. We found several 3FGL sources that have spectra consistent with PBHs, but none of these sources exhibit proper motion which would be the smoking-gun signature of a PBH in the Fermi-LAT sensitivity domain. As a result, we derived upper limits on the local PBH evaporation rate.

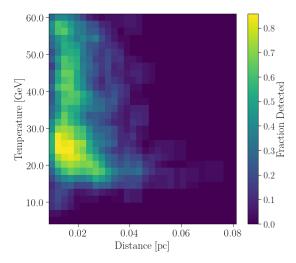


Figure 3. Fraction of simulated PBHs which are detected as a point source with a spectrum compatible with a PBH evaporation spectrum and with significant proper motion. The detectability peaks for PBHs with initial temperatures above 16.4 GeV because the lifetime of a 16.4 GeV PBH is 4 years, which is the same as the observation period of the 3FGL. Few PBHs are detected past a distance of 0.05 pc or below 10 GeV.

To derive the efficiency of a PBH passing our selection criteria, we developed a Monte Carlo that simulates PBHs with realistic velocity distribution and initial temperature distribution expected for the steady-state evaporation rate of the PBHs. The efficiency was then used to find the upper limits on the local PBH evaporation rate. The inferred systematic uncertainties are related to the uncertainties in the PBH gamma-ray spectrum as well as the uncertainties in the Galactic rotational velocity and the DM velocity dispersion. We calculated upper limits in scenarios for which these parameters covered a wide range of reasonable values.

In Figure 4, we compare the *Fermi*-LAT upper limit on the rate of PBH evaporations with the limits from Cherenkov telescopes and observe that they are similar. Although ground-based gamma-ray observatories are sensitive to timescales of a minute or less, and *Fermi* LAT is sensitive to timescales of months to years, both the Cherenkov telescopes and the *Fermi* LAT are probing the same quasi-stationary population of PBHs.

The local evaporation rate can be related to the local mass density (relative to the critical density  $\rho_c$ ) as (Halzen et al. 1991)

$$\Omega_{\rm pbh}^{\rm loc} = \frac{M_*}{(\alpha - 2)} \frac{\tau_0}{\rho_{\rm c}} \dot{\rho}_{\rm pbh},\tag{16}$$

where  $\alpha$  is the index of the initial distribution of PBH masses,  $dn/dM \sim M^{-\alpha}$ , and  $M_*$  is the mass of a PBH with the lifetime equal to the age of the Universe. In the following, we will assume  $\alpha=2.5$ , which corresponds to PBHs formed in the radiation-dominated era (Halzen et al. 1991). The local density of PBHs corresponding to the evaporation rate in Equation (15) is  $\Omega_{\rm pbh}^{\rm loc} \leq (3.6^{+4.1}_{-1.2}) \times 10^2$ . In general, the distribution of the PBH masses does not need to follow a power-law function. If there is a short period of low-pressure dustlike equation of state or a phase transition (see, e.g., the discussion in Carr et al. (2010) and references therein), then the PBH masses will be distributed around the mass in Equation 1, where t is the time of the PBH formation. In order for the PBHs to be evaporating now we need  $M \sim 10^{15}$  g which corresponds to the formation time  $t \sim 10^{-23}$  s after the Big Bang (Carr et al. 2010). Thus, in general, limits on local PBH evaporation can constrain models where PBHs are formed with lifetimes close to the lifetime of the Universe, i.e., the PBHs which are close to evaporation now. This requires certain "fine-tuning" of the time when the PBHs are formed. If, for example, the PBHs are formed close to the QCD phase transition with  $t_Q \sim 10^{-4}$  s and  $T_Q \sim 100$  MeV, then the PBH masses are  $M \gtrsim 5 M_{\odot} \approx 10^{34}$  g (Dolgov & Blinnikov 2014). These PBHs have a temperature much smaller than  $10^{-7}$  K and lifetime much longer

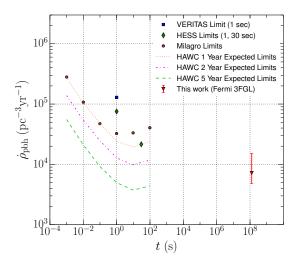


Figure 4. Comparison of the Fermi-LAT 99% confidence upper limit with the limits from VERITAS (Tešić & VERITAS Collaboration 2012), H.E.S.S. (Glicenstein et al. 2013), Milagro (Abdo et al. 2015), and the expected limits from HAWC (Abdo et al. 2015). The error bars around the Fermi-LAT limit correspond to the systematic uncertainty described in the text.

than the lifetime of the Universe, i.e., they cannot be detected by the Fermi LAT.

The local density of PBHs is expected to be enhanced compared to the average density in the Universe in a similar way that the density of DM in the Galaxy is larger than the average density of DM in the Universe. The enhancement factor for DM near the Sun (Bovy & Tremaine 2012) compared to the average DM density (Hinshaw et al. 2013) is  $k \sim 2.2 \times 10^5$ . With this enhancement, the limit on the average PBH density is  $\Omega_{\rm pbh} \leq (1.5^{+1.7}_{-0.5}) \times 10^{-3}$ . This limit is several orders of magnitude less constraining than the limits obtained from extragalactic and Galactic gamma-ray backgrounds  $\Omega_{\rm pbh} \leq 10^{-8} - 5 \times 10^{-10}$  (Carr & MacGibbon 1998; Lehoucq et al. 2009; Carr et al. 2010). The latter limits are calculated either by integrating the PBH evaporations inside the visible Universe or inside the halo of our Galaxy, i.e., they are derived on kpc to Gpc scales, while the limit in this paper is derived for distances less than a fraction of a pc.

The limit on the average current density of PBHs can be translated to a limit on the density of PBHs at the time of formation,  $\beta \sim 10^{-18} \Omega_{\rm pbh} \sqrt{M/10^{15}} {\rm g}$  (Carr 2005; Carr et al. 2010). This limit, in turn, can be used to constrain the spectrum of density fluctuations in the early Universe (Josan & Green 2010; Linde et al. 2013; Torres-Lomas & Ureña-López 2013). In some cases, non-observation of PBHs provides the only way to limit theories of inflation, especially the theories that predict large fluctuations of density at small distances (e.g., Linde et al. 2013).

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Facility: Fermi.

Software: Astropy (http://www.astropy.org, Robitaille et al. 2013), matplotlib (Hunter 2007).

#### APPENDIX

### A. SPECTRUM OF GAMMA RAYS FROM PRIMORDIAL BLACK HOLES

One of the most important factors in the systematic uncertainty of the limit on PBH evaporation is the spectrum of emitted gamma rays. The spectrum of fundamental particles emitted by the black hole was computed by Hawking (1975). Quarks and gluons emitted by PBHs hadronize into mesons and baryons, which subsequently decay into stable particles. The spectra of the stable particles emitted by PBHs at high temperatures were computed by MacGibbon & Webber (1990).

To obtain the spectra of gamma-ray emission from PBHs we use the values given in MacGibbon & Webber (1990) for  $T_{PBH} = 0.3, 1, 10, 50, 100$  GeV and interpolate for different values of PBH temperatures. To cross-check numerical calculations, we use two different interpolation methods:

• We use an analytic approximation by fitting the PBH emission rate  $N_{\gamma}(E,T)$  with a cubic log polynomial

$$\log \dot{N} = c_0(T) + c_1(T)\log x + c_2(T)(\log x)^2 + c_3(T)(\log x)^3,$$

where x = E/T and interpolate the fit coefficients  $c_i(T)$  (we use this appriximation in Section 2).

• We create a table for a set of E and T values and use a 2-dimensional interpolation directly from the results in MacGibbon & Webber (1990) (this approximation is used in Sections 3 and 4).

We compare the first interpolation with other parametrizations available in the literature (Halzen et al. 1991; Ukwatta et al. 2013) in Figure A1 left. There seems to be a rather significant discrepancy in the total energy emitted in gamma rays. For instance, MacGibbon & Webber (1990) found that 24 - 25\% of the energy is emitted in gamma rays for a large range of initial temperatures, while analytical integration of the parametrization (Bugaev et al. 2008; Petkov et al. 2008)

$$\frac{dN_{\gamma}}{dE_{\gamma}} = 9 \times 10^{35} \begin{cases} \left(\frac{1 \text{ GeV}}{T_{\tau}}\right)^{3/2} \left(\frac{1 \text{ GeV}}{E_{\gamma}}\right)^{3/2} \text{ GeV}^{-1} & E_{\gamma} < T_{\tau}; \\ \left(\frac{1 \text{ GeV}}{E_{\gamma}}\right)^{3} \text{ GeV}^{-1} & E_{\gamma} \ge T_{\tau} \end{cases}$$
(A1)

gives about 47% of the energy in gamma rays. A more-recent parametrization of the gamma-ray spectra at temperatures  $\gtrsim 1$  TeV (Figure 11 of Ukwatta et al. 2016) gives about 35% of the energy in gamma rays.

For our baseline model, we take the spectrum of MacGibbon & Webber (1990) rescaled to give 35% of energy in gamma rays to match the more-recent calculation in Ukwatta et al. (2016). For the calculations, we use the interpolation presented in Figure A1 (right). At low temperatures, the "Interpolation" curve is calculated by taking instantaneous PBH spectrum from MacGibbon & Webber (1990) rescaled to 35% energy going to gamma rays times 4 years. At temperatures above the temperature of a PBH with the 4 years lifetime, the "Interpolation" curve is the Bugaev et al. (2008) parametrization rescaled by 0.45. There is a good agreement between the integrated spectra of MacGibbon & Webber (1990) (rescaled to 35% efficiency) and the "Interpolation" curve.

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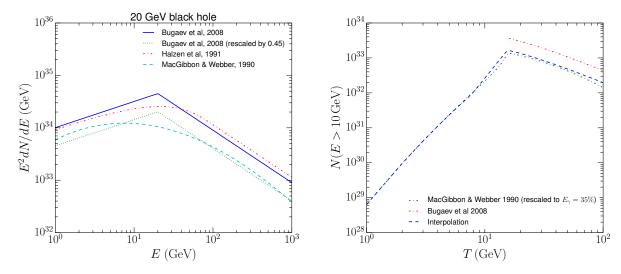


Figure A1. Left: spectrum of a PBH with initial temperature T = 20 GeV integrated over its lifetime of 2.1 years. Right: total number of photons emitted above 10 GeV during 4 years as a function of initial PBH temperature. See text for the description of the "Interpolation" curve.

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