

# MEAN CURVATURE VERSUS DIAMETER AND ENERGY QUANTIZATION

YASHA SAVELYEV

**ABSTRACT.** Using a theorem of Topping we give a simple relation between mean curvature and intrinsic diameter for closed submanifolds of general compact Riemannian manifolds. We use this to prove quantization of energy for immersed pseudo-holomorphic curves in a locally conformally symplectic manifold.

In [9] Topping gave via a concise but sophisticated argument based on ideas of Ricci flows a simple relation between intrinsic diameter and mean curvature for immersed submanifold of  $\mathbb{R}^n$ . Let us state it here:

**Theorem 0.1** ([9]). *For  $\Sigma^m$  a smoothly immersed closed submanifold of  $\mathbb{R}^n$  we have:*

$$\text{diam}(\Sigma) \leq \text{Const}(m) \int_{\Sigma} |\mathbf{H}|^{m-1} d\text{vol},$$

for  $\mathbf{H}$  the mean curvature vector field along  $\Sigma$ ,  $\text{vol}$  the volume measure induced by the standard ambient metric, and  $\text{diam}$  the intrinsic diameter:  $\max_{x,y \in \Sigma} \text{dist}_{(\Sigma, g_{st})}(x, y)$ .

Here we use the above and Nash embedding theorem to give via an otherwise elementary argument the following (mostly) simple relation between diameter and mean curvature of closed submanifolds of general compact Riemannian manifolds. We use this to give an application to energy quantization of holomorphic curves in locally conformally symplectic manifolds. In plain words the main statement is the following: if the volume of a given closed immersed submanifold of a compact Riemannian manifold is “small” but diameter “large” then the mean curvature must be somewhere large. Here is the more precise statement.

**Theorem 0.2.** *Consider the set  $S = S(C)$  of immersed  $\Sigma \subset X$ , for  $\Sigma$  a closed smooth  $m$ -manifold and  $(X, g)$  a fixed compact Riemannian manifold, with the mean curvature of  $\Sigma$  bounded from above by  $C > 0$ . Let  $\text{Vol}(\Sigma)$  denote the  $g$ -volume, and  $\text{diam}(\Sigma)$  the (intrinsic) diameter in  $X, g$ . Then for all  $\Sigma \in S$*

$$\text{diam}(\Sigma) \leq F(g, C, m) \text{vol}(\Sigma),$$

for some function  $F$ .

*Proof.* Pick an isometric Nash embedding  $N$  of  $(M, g)$  into  $\mathbb{R}^n$ , where  $n$  is large enough.

**Lemma 0.3.** *For all  $\Sigma \in S(C)$  the magnitude of the mean curvature vector field along  $N(\Sigma)$  in  $\mathbb{R}^n$  is bounded from above by some  $C'$ .*

*Proof.* In what follows we conflate the notation for  $\Sigma$  and its images  $u : \Sigma \rightarrow M$ ,  $N \circ f : \Sigma \rightarrow \mathbb{R}^n$ . In other words we just think in terms of subspaces  $\Sigma \subset M \subset \mathbb{R}^n$ . Let  $h$  be the second fundamental form on  $T_p M$ :

$$h(v, w) = \tilde{\nabla}_v w - \nabla_v w,$$

where  $\tilde{\nabla}$  is the Levi-Civita connection of  $(\mathbb{R}^n, g_{st})$ ,  $\nabla$  is the Levi-Civita connection of  $(\Sigma, g)$  and where we locally extend  $v, w \in T_p \Sigma$  to vector fields tangent to  $\Sigma$ . If  $\dim \Sigma = m$ , the mean curvature vector of  $\Sigma$  in  $\mathbb{R}^n$  at  $p$  is given by:

$$\mathbf{H}(p) = \frac{1}{m} \sum_i h(e_i, e_i),$$

---

1991 *Mathematics Subject Classification.* 53C42.

Partially supported by PRODEP grant of SEP, Mexico.

where  $\{e_i\}$  is an orthonormal basis for  $T_p\Sigma$ . Likewise  $\tilde{\nabla}$  will denote in what follows the Levi-Civita connection of  $(M, g)$ . So we have:

$$\begin{aligned} m|\mathbf{H}(p)| &= \left| \sum_i (\tilde{\nabla}_{e_i} e_i - \nabla_{e_i} e_i) \right| = \left| \sum_i (\tilde{\nabla}_{e_i} e_i - \tilde{\nabla}_{e_i} e_i + \tilde{\nabla}_{e_i} e_i - \nabla_{e_i} e_i) \right| \\ &\leq \left| \sum_i (\tilde{\nabla}_{e_i} e_i - \nabla_{e_i} e_i) \right| + mB \\ &\leq mC + mB, \end{aligned}$$

where  $B = \sup_{e \in TM, |e|=1} |\tilde{\nabla}_e e - \nabla_e e|$ . □

Since  $\Sigma$  is closed we get by Topping's theorem:

$$\text{diam}(N\Sigma) \leq \text{Const}(m) \int_{\Sigma} |\mathbf{H}_{\mathbb{R}^n}|^{m-1} d\text{Vol}.$$

By the lemma above the function  $|\mathbf{H}_{\mathbb{R}^n}|$  on  $\Sigma$  is universally (independently of  $u$ ) bounded from above by some  $C'$ . So we get:

$$\text{diam}(\Sigma) = \text{diam}(N\Sigma) \leq \text{Const}(m) \cdot (C')^{m-1} \cdot \text{vol}(\Sigma),$$

and so we get the required inequality. □

## 1. APPLICATION TO ENERGY QUANTIZATION

A locally conformally symplectic manifold or l.c.s. manifold, is a smooth  $2n$ -fold  $M$ , with a non-degenerate 2-form  $\omega$ , which is locally diffeomorphic to  $e^f \omega_0$  for some functions  $f$ , and  $\omega_0$  the standard symplectic form on  $\mathbb{R}^{2n}$ . There has been some interest recently in developing Gromov-Witten theory for l.c.s. manifolds, [7], [1]. This is partly impeded by our lack of understanding of how badly can holomorphic curves behave in such a manifold. For instance, in the context of holomorphic curves in symplectic manifolds, we have the following energy quantization phenomenon:

**Theorem 1.1.** *Given a closed symplectic  $(M, \omega)$ ,  $J$  an  $\omega$ -compatible almost complex structure there exists a constant  $\hbar = \hbar(\omega, J)$ , s.t. for any  $u : (\Sigma, j) \rightarrow M$  a non-constant  $J$ -holomorphic map of a closed Riemann surface  $(\Sigma, j)$ , the energy of the map satisfies:*

$$e(u) = \int_{\Sigma} |du|^2 d\text{vol} = \int_{\Sigma} u^* \omega \geq \hbar,$$

for  $\text{vol}$  the measure induced by the ambient  $(\omega, J)$  metric.

For  $\Sigma = S^2$  this holds via a generalized mean value inequality, [5], [10] and the symplectic condition on  $M$  can be loosened to just almost complex. For more general  $\Sigma$ , but with  $M$  symplectic we can give a simple argument via geometric measure theory. We give this proof here for completeness, as I am not aware if this previously appeared and the proof is relatively elementary, given state of the art.

*Proof.* Suppose otherwise, then we have a sequence  $\{u_i\}$  of  $J$ -holomorphic curves with  $e(u_i) \rightarrow 0$  as  $i \rightarrow \infty$ . In particular,  $|u_i| \rightarrow 0$ , where  $|\cdot|$  is the mass norm, and  $u_i$  are understood as integral 2-currents, Federer [2]. By the main compactness theorem for currents,  $\{u_i\}$  has a convergent subsequence  $\{u_{i_k}\}$  to an integral 2-current with mass necessarily 0, and hence 0 in the vector space of closed integral 2-currents  $\mathcal{I}_2(M)$ , since mass norm is a norm. Next it is proved in [2] that the space  $\mathcal{H}_k(M)$  of closed integral  $k$ -currents modulo exact integral  $k$ -currents, is discrete with respect to the topology induced by the mass norm, and isomorphic to singular integral  $k$ -homology. Moreover the natural map

$$q_k : \mathcal{I}_k(M) \rightarrow \mathcal{H}_k(M)$$

is continuous. Thus  $\{q_2(u_{i_k})\}$  is eventually constant, which means that  $u_{i_k}$  are eventually in class 0, which is a contradiction, since all  $u_i$  have positive symplectic area. □

We point out here that a partial extension of the above can be made to l.c.s. manifolds.

**Theorem 1.2.** *Given a closed l.c.s.  $(M, \omega)$ ,  $J$  an  $\omega$ -compatible almost complex structure there exists a constant  $\hbar = \hbar(\omega, J)$ , s.t. for any  $u : (\Sigma, j) \rightarrow M$  a  $J$ -holomorphic immersion of a closed Riemann surface  $(\Sigma, j)$ , the energy of the map satisfies:*

$$e(u) = \int_{\Sigma} |du|^2 d\text{vol} = \int_{\Sigma} u^* \omega \geq \hbar,$$

for  $\text{vol}$  the measure induced by the ambient  $(\omega, J)$  metric.

*Remark 1.3.* Note that Theorem 1.1 is not particularly relevant in Gromov-Witten theory for  $\Sigma \neq S^2$ , because one tends to work relative to fixed homology classes, and so have automatic energy bounds, given by the symplectic form. This changes dramatically in l.c.s. geometry, because in this case there are no a priori bounds on energy, and we may need to work with trivial homology classes, how do we know that upon deforming  $J$  our holomorphic curves don't disappear into the energy floor, or into the energy sky, cf. [8], [3], [6]? Thus the above theorem is much more relevant in l.c.s. geometry, from the Gromov-Witten theory angle.

*Proof.* First we need the following.

**Theorem 1.4.** *For  $u : \Sigma \rightarrow M$  a  $J$ -holomorphic immersion of a Riemann surface into an l.c.s.  $(M, \omega)$ , as above, with  $J$   $\omega$ -compatible, the mean curvature  $|\mathbf{H}|$  of the image of  $u$  is bounded from above by a universal constant  $C(\omega, J) > 0$ .*

*Proof.* We may fix a finite cover of  $M$  by charts  $\phi_i : U_i \subset \mathbb{R}^{2n} \rightarrow M$ ,  $\phi_i^* \omega = e^{f_i} \omega_0$  with  $\omega_0$  the standard symplectic form, and with  $U_i$  contractible. Then  $\phi_i^{-1} \circ u$  is a  $\phi_i^* J$ -holomorphic map defined on  $u^{-1}(\phi_i(U_i))$  into  $\mathbb{R}^{2n}$ , and  $\phi_i^* J$  is compatible with  $f_i \omega_0$  and hence with  $\omega_0$ . Fix a cover of  $\Sigma$  by disk domains  $\{V_{ij}\}$ , with each  $V_{ij} \subset u^{-1}(\phi_i(U_i))$  for some  $i$ . Then  $\phi_i^{-1} \circ u|_{V_{ij}}$  is an immersed  $\phi_i^* J$ -holomorphic curve in  $\mathbb{R}^{2n}$ . So  $(\omega_0, \phi_i^* J)$  is an almost Kahler manifold, and the image  $D_{ij}$  of  $\phi_i^{-1} \circ u|_{V_{ij}}$  is hence a minimal surface, with respect to the metric  $(\omega_0, \phi_i^* J)$ , since  $\omega$  is then a calibration, Harvey-Lawson [4]. Thus  $D_{ij}$  has mean curvature 0 since the cover  $\{U_i\}$  is finite, the  $C^\infty$  norm of the functions  $f_i$  is universally bounded:  $A < |f_i| < B$ , for some  $A, B$  and all  $i$ . It follows that mean curvature of the surface  $D_{ij}$ , with respect to the metric induced by  $(e^{f_i} \omega_0, \phi_i^* J)$  is bounded from above by some universal constant  $C(\omega, J)$ , since the distortion of the mean curvature corresponding to the conformal distortion  $e^{f_i}$  can be readily bounded in terms of the functions  $f_i$  and hence  $A, B$ . Consequently the mean curvature of image  $u|_{V_{ij}}$  with respect to  $(\omega, J)$  is likewise bounded by  $C(\omega, J)$ , from which the result follows.  $\square$

Now let  $\epsilon$  be the Lebesgue covering number of  $\{U_i\}$  with respect to the metric  $g$ . Combining Lemma 1.4 and Theorem 0.2 we get that for  $u$  non-constant as in the hypothesis if  $\text{area}(u) < \hbar$  then  $\text{diam}(u) < \epsilon$ , for some  $\hbar$  independent of  $u$ . Consequently the image of  $u$  is contained in some  $U_i$ , and so  $\phi_i^{-1} \circ u$  is a  $\phi_i^* J$ -holomorphic map of a sphere into the almost Kahler contractible manifold  $(U_i, \omega_0, \phi_i^* J)$  and so must be constant, which is a contradiction.  $\square$

*Question 1.* Can the condition of  $u$  being an immersion in the statement of Theorem 1.2 be replaced by  $u$  being non-constant?

**Acknowledgements.** I am grateful to Peter Topping for comments on earlier ideas, Robert Kushner for noticing some typos.

## REFERENCES

- [1] C. BAPTISTE AND A. MURPHY, *Conformal symplectic geometry of cotangent bundles*, arXiv, (2016).
- [2] H. FEDERER, *Geometric measure theory. Repr. of the 1969 ed.*, Berlin: Springer-Verlag, repr. of the 1969 ed. ed., 1996.
- [3] F. FULLER, *Note on trajectories in a solid torus.*, Ann. Math. (2), 56 (1952), pp. 438–439.
- [4] R. HARVEY AND H. B. LAWSON, *Calibrated geometries.*, Acta Math., 148 (1982), pp. 47–157.
- [5] D. McDUFF AND D. SALAMON, *J-holomorphic curves and symplectic topology*, no. 52 in American Math. Society Colloquium Publ., Amer. Math. Soc., 2004.

- [6] Y. SAVELYEV, *Extended Fuller index, sky catastrophes and the Seifert conjecture*, International Journal of mathematics, to appear.
- [7] ———, *Gromov Witten theory of a locally conformally symplectic manifold and the Fuller index*, arXiv, (2016).
- [8] A. SHILNIKOV, L. SHILNIKOV, AND D. TURAEV, *Blue-sky catastrophe in singularly perturbed systems.*, Mosc. Math. J., 5 (2005), pp. 269–282.
- [9] P. TOPPING, *Relating diameter and mean curvature for submanifolds of Euclidean space.*, Comment. Math. Helv., 83 (2008), pp. 539–546.
- [10] K. WEHRHEIM, *Energy quantization and mean value inequalities for nonlinear boundary value problems.*, J. Eur. Math. Soc. (JEMS), 7 (2005), pp. 305–318.

*E-mail address:* `yasha.savelyev@gmail.com`

UNIVERSITY OF COLIMA, CUICBAS