

# TURING ANALOGUES OF GÖDEL STATEMENTS AND COMPUTABILITY OF INTELLIGENCE

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ABSTRACT. We show that there is a mathematical obstruction to complete Turing computability of intelligence. This obstruction can be circumvented only if human reasoning is fundamentally unsound, with the latter formally interpreted here as certain stable soundness. The most compelling original argument for existence of such an obstruction was proposed by Penrose, however Gödel, Turing and Lucas have also proposed such arguments. We review the main issues with the Penrose argument, as well as outline a partial direct fix. We then completely re-frame the argument in the language of Turing machines, and by defining our subject just enough, we show that a certain analogue of a Gödel statement, or a Gödel string as we call it in the language of Turing machines, can be readily constructed directly, without appeal to the Gödel incompleteness theorem. One crucial upshot of this new argument is that the above Gödel string works in the context of stable soundness, and not just soundness, and thus we eliminate the final objections.

In what follows we understand *human intelligence* very much like Turing in [2], purely as a machine, a black box which receives inputs and produces outputs. More specifically, this black box  $B$  is meant to be some system which contains a human subject. We do not care about what is happening inside  $B$ . So we are not directly concerned here with such intangible things as understanding, intuition, consciousness - all the things that are valued of humans, and are supposed as special. The only thing that concerns us is what output  $B$  produces given an input, it matters not in the present discussion how it is produced. Given this *very* limited interpretation, the question that we are interested in is this:

*Question 1.* Can human intelligence be completely modelled by a Turing machine?

An informal definition of a Turing machine (see [1]) is as follows: it is an abstract machine  $M$  which permits certain inputs, and produces outputs. The outputs are determined from the inputs by a fixed finite algorithm, defined in a certain precise sense. For a non-expert reader we point out that this “fixed” does not preclude the algorithm from “learning”, it just means that how it “learns” is completely determined by the initial algorithm. In particular anything that can be computed by computers as we know them can be computed by a Turing machine. For our purposes the reader may simply understand a Turing machine as a digital computer with unbounded memory running some particular program. Unbounded memory is just a mathematical convenience. In specific arguments, also of the kind we make, we can work with non-explicitly bounded memory.

Turing himself has started on a form of Question 1 in his “Computing machines and Intelligence”, [2], where he also informally outlined a possible obstruction to a yes answer coming from Gödel’s incompleteness theorem.

For the incompleteness theorem to have any relevance, we need some assumption on the soundness or consistency of human reasoning. Informally, a human is sound if whenever they asserts something in absolute faith, this something is indeed true. This requires context, as truth in general is undefinable. For our arguments later on the context will be in certain mathematical models. Moreover we cannot honestly hope for soundness, as even mathematicians are not on the surface sound at all times, they may assert mathematical untruths at various times, (but usually not in absolute faith). But we can certainly hope for some kind of fundamental soundness.

In this work we will formally interpret fundamental soundness as stable soundness. Essentially, our machine  $B$  is now allowed to make corrections, and if a statement printed by  $B$  survives for all time, then this statement is true, under this stable soundness assumption. This reflects our basic

understanding of how science progresses. Of course even stable soundness needs idealizations to make sense for humans. The human brain deteriorates, and eventually fails, so that either we idealize the human brain to never deteriorate, or  $B$  now refers not to an individual human but to the evolving scientific community.

Around the same time as Turing, Gödel argued for a no answer to Question 1, see [12, 310], relating the question to existence of absolutely undecidable problems, see also Feferman [7] for a discussion. Since existence of absolutely undecidable problems is such a difficult and contentious issue, even if Gödel's argument is in essence correct it is not completely compelling.

Later Lucas [11] and later again and more robustly Penrose [17] argued for a no answer, based only on soundness. Such an argument if correct would be much more compelling. They further elaborated the obstruction coming from Gödel's incompleteness theorem. And they reject the possibility that humans could be unsound on a fundamental level, as does Gödel but for him it is apparently not even a possibility, it does not seem to be stated in [12].

It should also be noted that for Penrose in particular, non-computability of intelligence is evidence for new physics, and he has specific and *very* intriguing proposals with Hameroff [10], on how this can take place in the human brain. Here is also a partial list of some partially related work on mathematical models of brain activity and or quantum collapse models: [13], [16], [8], [9].

The arguments of Penrose and Lucas have well known issues, which we intend to completely resolve here. The following is a slightly informal version of our main Theorem 5.2.

**Theorem 0.1.** *Either there are cognitively meaningful, absolutely non Turing computable processes in the human brain, or human beings are fundamentally unsound. This theorem is indeed a mathematical fact, after formally interpreting fundamental soundness as stable soundness.*

By *absolutely* we mean in any physical model. Note that even existence of absolutely non Turing computable processes in nature is not known. For example we expect beyond reasonable doubt that solutions of fluid flow or  $N$ -body problems are generally non Turing computable, (over  $\mathbb{Z}$ , if not over  $\mathbb{R}$  cf. [3]), as modeled in essentially classical mechanics. But in a more physically accurate and fundamental model they may both become computable, possibly if the nature of the universe is ultimately discreet. Our theorem in particular says that either there are absolutely non-computable processes in physical nature, in fact in the functioning of the brain, or human beings are fundamentally unsound. It would be good to compare this with Deutch [6], where computability of any suitably finite physical system is conjectured, although this is not immediately at odds with us, as this finiteness condition may certainly not be satisfiable.

**The original argument of Penrose.** Penrose has given variations of the argument for a no answer to Question 1 in his books [17], [18]. The final argument can be found in [19], and it goes roughly as follows. Loosely, a formal system consists of a language: alphabet and grammar, a collection of sentences in this language understood as axioms, and finally a deductive system. Given a formal system  $\mathcal{F}$  the statement  $\Theta_{\mathcal{F}}$ :

$$\text{I am } \mathcal{F},$$

will mean that any statement in arithmetic that I assert to be true is provable in  $\mathcal{F}$ , e.g. "There are infinitely many primes." may be such a statement. The statement  $\Theta_{\mathcal{F}}$  for an  $\mathcal{F}$  satisfying certain properties is equivalent to me being computable as a machine printing statements in arithmetic. We will call such an  $\mathcal{F}$  *good*.<sup>1</sup> So we suppose from now on that  $\mathcal{F}$  is good, since computability is what we are interested in.

Now I assert I am consistent, which entails more specifically that I assert:

$$(0.2) \quad \text{If } \Theta_{\mathcal{F}} \text{ then } \mathcal{F} \text{ is consistent.}$$

We ignore for now whether asserting self-consistency is rational. By  $\mathcal{F}$  being consistent we just mean that the formal system  $\mathcal{F}$  does not prove a statement and its logical negation. (0.2) is not yet a

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<sup>1</sup>Explicitly, it is a condition for the axioms of  $\mathcal{F}$  being recursively enumerable, plus another minor condition on  $\mathcal{F}$  being able to prove enough basic things about numbers.

statement of arithmetic, but we will get there. Now, the celebrated Gödel incompleteness theorem says that for  $\mathcal{F}$  good and consistent there is a statement  $G(\mathcal{F})$  which is true but cannot be proved by  $\mathcal{F}$ . As I assert (0.2) then I also assert by implication  $I_{\mathcal{F}}$ :

$$\text{If } \Theta_{\mathcal{F}} \text{ then } G(\mathcal{F}) \text{ holds.}$$

If I assert  $G(\mathcal{F})$ , then this would be a contradiction to either my consistency or to  $\Theta_{\mathcal{F}}$ , since  $G(\mathcal{F})$  is something that  $\mathcal{F}$  cannot prove. Unfortunately I cannot assert  $G(\mathcal{F})$ , since I don't know  $\Theta_{\mathcal{F}}$ . I only assert  $I_{\mathcal{F}}$ , so there is no contradiction here. But we may fix this idea as follows. For this fix we need to get a bit more technical.

**0.1. Outline of a partial fix of the Penrose argument.** While this outline uses some of the language of formal systems, we will *not* use this language in our main argument, which is based purely on the language of Turing machines, and is much more elementary.

Let  $P$  be a human subject, which we understand at the moment as a machine printing statements in arithmetic, given some input. That is for each  $\Sigma$  some string input: an ordered collection of symbols chosen from a fixed finite alphabet,  $P(\Sigma)$  is a statement in arithmetic, e.g. "There are infinitely many primes." Say now  $P$  is in contact with experimenter/operator  $E$ . The input strings that  $E$  gives  $P$  are pairs  $(\Sigma_T, n)$  for  $\Sigma_T$  specification of a Turing machines  $T$ , and  $n \in \mathbb{N}$ .

Let  $\Theta_T$  be the statement:

$$(0.3) \quad T \text{ computes } P.$$

For each  $(\Sigma_T, n)$ ,  $P$  prints his statement  $P(\Sigma_T, n)$ , which he asserts to hold if  $\Theta_T$  holds. We ask that for each fixed  $T$ :  $\{P(\Sigma_T, n)\}_n$  is the complete list of statements that  $P$  asserts to be true conditionally on  $\Theta_T$ . Finally, we put the condition on our  $P$  that he asserts himself to be consistent. More specifically,  $P$  asserts for each  $T$  the statement  $I_T$ :

$$(0.4) \quad \Theta_T \implies T \text{ is consistent.}$$

By  $T$  being consistent we mean here:

$$T(\Sigma_T, n) \neq \neg(T(\Sigma_T, m)),$$

for any  $n, m$  with  $\neg$  the logical negation of the statement, and where inequality is just string inequality of the corresponding sentences.

Let then  $T_0$  be a specified Turing machine, and suppose that  $E$  passes to  $P$  input of the form  $(\Sigma_{T_0}, n)$ . Now, as is well known<sup>2</sup>, the statements  $\{T_0(\Sigma_{T_0}, n)\}_n$  must be the complete list of provable statements in a certain formal system  $\mathcal{F}(T_0)$  explicitly constructible given  $T_0$ . And  $\mathcal{F}(T_0)$  would be consistent if  $\Theta_{T_0}$  and if  $I_{T_0}$ . In particular if  $\Theta_{T_0}$  and if  $I_{T_0}$ , then there would be a true (in the standard model of arithmetic) Gödel statement  $G(T_0)$  for for this  $\mathcal{F}(T_0)$  such that  $T_0(\Sigma_{T_0}, n) \neq G(T_0)$ , for all  $n$ .

But  $P$  asserts  $I_{T_0}$ , hence he must assert by implication that

$$\Theta_{T_0} \implies G(T_0).$$

And so if  $P$  knew how to construct  $G(T_0)$  then this statement must be in the list  $\{P(\Sigma_{T_0}, n)\}_n$ , and so in the list  $\{T_0(\Sigma_{T_0}, n)\}_n$ , so we would get a contradiction. So either not  $\Theta_{T_0}$ , that is  $P$  is not computed by  $T_0$  or  $P$  is not consistent, but  $T_0$  is arbitrary so we obtain an obstruction to computability of  $P$ .

The above outline would be a partial fix of the idea of Penrose if  $G(T_0)$  was constructible by  $P$ . From this author's point of view constructibility of  $G(T_0)$  is not in principle an issue. This is because the specification of  $\mathcal{F}(T_0)$  could be explicitly obtained by  $P$ , given the finite specification of  $T_0$ . And the Gödel statement could always, at least in principle, be explicitly constructed once one knows the formal system, even if in practice this may be hopelessly difficult. However, this is still only a partial fix, because the above argument only proves: either we are non-computable or inconsistent. Even worse, the assertion by  $P$  of their self-consistency is arguably not rational, so that inconsistency would hardly be surprising. Of course as we have argued we must talk of fundamental soundness/consistency,

<sup>2</sup>I don't know a standard reference but see for example [7].

so that asserting this may be quite rational. But then the argument cannot work exactly as above, since Gödel's theorem necessitates total consistency. We will delve no further into critiquing the Penrose argument. One such critique is given in Koellner [14], [15], see also Penrose [19], and Chalmers [4] for discussions on related issues. (Note of course that our argument (the partial fix) above is significantly different, and so the issues are different.)

So motivated by the discussion above, the ideal thing to do, is to formally define fundamental soundness and construct a new type of Gödel statements, which works under this weaker hypothesis. This is actually what we will do, in the limited setting above. We completely solve both problems: formally defining fundamental soundness in terms of a certain notion of stable soundness, and *explicit* construction of the “Gödel statement”, which crucially works under this stable soundness hypothesis. See the preamble to Section 4 to get a more precise idea for the meaning of stable soundness.

To this end, we reformulate the above idea using a more elementary approach, more heavily based in Turing machines. Then, we partially define our subject henceforth denoted by  $S$ , by means of formalizing properties of a certain function associated to  $S$ . We do this so that a certain analogue of the Gödel statement can be readily constructed directly, avoiding the general incompleteness theorem. This will not be exactly “Gödel statement”, but rather a “Gödel string” as we call it, because we will not even be dealing with formal systems, but purely with Turing machines. But this string has analogous properties.

As a final remark, technically the paper is mostly elementary and should be widely readable in entirety.

## 1. SOME PRELIMINARIES

This section can be just skimmed on a first reading. Really what we are interested in is not Turing machines per se, but computations that can be simulated by Turing machine computations. These can for example be computations that a mathematician performs with paper and pencil, and indeed is the original motivation for Turing's specific model. However to introduce Turing computations we need Turing machines, here is our version which is a computationally equivalent, minor variation of Turing's original machine.

**Definition 1.1.** *A Turing machine  $M$  consists of:*

- *Three infinite (1-dimensional) tapes  $T_i, T_o, T_c$ , (input, output and computation) divided into discreet cells, next to each other. Each cell contains a symbol from some finite alphabet. A special symbol  $b$  for blank, (the only symbol which may appear infinitely many often).*
- *Three heads  $H_i, H_o, H_c$  (pointing devices),  $H_i$  can read each cell in  $T_i$  to which it points,  $H_o, H_c$  can read/write each cell in  $T_o, T_c$  to which they point. The heads can then move left or right on the tape.*
- *A set of internal states  $Q$ , among these is “start” state  $q_0$ . And a non-empty set  $F \subset Q$  of final, “finish” states.*
- *Input string  $\Sigma$ : the collection of symbols on the tape  $T_i$ , so that to the left and right of  $\Sigma$  there are only symbols  $b$ . We assume that in state  $q_0$   $H_i$  points to the beginning of the input string, and that the  $T_c, T_o$  have only  $b$  symbols.*
- *A finite set of instructions  $I$  that given the state  $q$  the machine is in currently, and given the symbols the heads are pointing to, tells  $M$  to do the following, the taken actions 1-3 below will be (jointly) called an **executed instruction set**, or just **step**:*
  - (1) *Replace symbols with another symbol in the cells to which the heads  $H_c, H_o$  point (or leave them).*
  - (2) *Move each head  $H_i, H_c, H_o$  left, right, or leave it in place, (independently).*
  - (3) *Change state  $q$  to another state or keep it.*
- *Output string  $\Sigma_{out}$ , the collection of symbols on the tape  $T_o$ , so that to the left and right of  $\Sigma_{out}$  there are only symbols  $b$ , when the machine state is final. When the internal state is one of the final states we ask that the instructions are to do nothing, so that these are frozen states.*

We also have the following minor variations on standard definitions, and notation.

**Definition 1.2.** A **complete configuration** of a Turing machine  $M$  or **total state** is the collection of all current symbols on the tapes, position of the heads, and current internal state. A **Turing computation**, or **computation sequence** for  $M$  is a possibly not eventually constant sequence

$$*M(\Sigma) := \{s_i\}_{i=0}^{i=\infty}$$

of total states of  $M$ , determined by the input  $\Sigma$  and  $M$ , with  $s_0$  the initial configuration whose internal state is  $q_0$ ,  $s_{i+1}$  is the total state that results from executing the instructions set of  $M$  on the total state  $s_i$ . If elements of  $\{s_i\}_{i=0}^{i=\infty}$  are eventually in some final machine state, so that the sequence is eventually constant, then we say that the computation **halts**. In this case we denote by  $s_f$  the final configuration, so that the sequence is eventually constant with terms  $s_f$ . We define the **length** of a computation sequence to be the first occurrence of  $n > 0$  s.t.  $s_n = s_f$ . For a given Turing computation  $*M(\Sigma)$ , we shall write

$$*M(\Sigma) \rightarrow x,$$

if  $*M(\Sigma)$  halts and  $x$  is the output string.

We write  $M(\Sigma)$  for the output string of  $M$ , given the input string  $\Sigma$ , if the associated Turing computation  $*M(\Sigma)$  halts.

**Definition 1.3.** Let *Strings* denote the set of all finite strings, including the empty string  $\emptyset$ , of symbols in some fixed finite alphabet, with at least 2 elements, for example  $\{0,1\}$ . Given a partially defined function  $f : \text{Strings} \rightarrow \text{Strings}$ , that is a function defined on some subset of *Strings* - we say that a Turing machine  $M$  **computes**  $f$  if  $*M(\Sigma) \rightarrow f(\Sigma)$ , whenever  $f(\Sigma)$  is defined.

We may just call a partially defined function  $f : \text{Strings} \rightarrow \text{Strings}$  as a function, for simplicity. So a Turing machine  $T$  itself determines a function, which is defined on all  $\Sigma \in \text{Strings}$  s.t.  $*T(\Sigma)$  halts, by  $\Sigma \mapsto T(\Sigma)$ . The following definition is purely for writing purposes.

**Definition 1.4.** Given Turing computations (for possibly distinct Turing machines)  $*T_1(\Sigma_1)$ ,  $*T_2(\Sigma_2)$  we say that they are **equivalent** if they both halt with the same output string or both do not halt. We write  $T_1(\Sigma_1) = T_2(\Sigma_2)$  if  $*T_1(\Sigma_1)$ ,  $*T_2(\Sigma_2)$  both halt with the same value.

In practice we will allow our Turing machine  $T$  to reject some elements of *Strings* as valid input. We may formalize this by asking that there is a special final machine state  $q_{\text{reject}}$ , so that  $T(\Sigma)$  halts with  $q_{\text{reject}}$  for

$$\Sigma \notin I \subset \text{Strings},$$

where  $I$  is some set of all valid, that is  $T$ -**permissible** input strings. We do not ask that for  $\Sigma \in I$   $*T(\Sigma)$  halts. If  $*T(\Sigma)$  does halt then we shall say that  $\Sigma$  is  $T$ -**acceptable**. It will be convenient to forget  $q_{\text{reject}}$  and instead write

$$T : I \rightarrow O,$$

where  $I \subset \text{Strings}$  is understood as the subset of all  $T$ -permissible strings, or just **input set** and  $O$  is the set output strings or **output set**, keeping all other data implicit. The specific interpretation should be clear in context.

We will sometimes use abstract sets to refer to input and output sets. However, these are understood to be subsets of *Strings* under some implicit, *fixed* encoding. Concretely an **encoding** of  $A$  is an injective set map  $i : A \rightarrow \text{Strings}$ . For example if the input set is  $\text{Strings}^2$ , we may encode it as a subset of *Strings* as follows. The encoding string of  $\Sigma \in \text{Strings}^2$  will be of the type: “this string encodes an element  $\text{Strings}^2$ , its components are  $\Sigma_1$  and  $\Sigma_2$ .” In particular the sets of integers  $\mathbb{N}, \mathbb{Z}$ , which we use often, will under some encoding correspond to subsets of *Strings*. Indeed this abstracting of sets from their encoding in *Strings* is partly what computer languages do. The fixing of the encoding can be understood as fixing the computer language.

The above elaborations partly just have to do with minor set theoretic issues. For example we will want to work with a “set”  $\mathcal{T}$  of Turing machines, with abstract sets of inputs and outputs.  $\mathcal{T}$  will truly be a set if implicitly all these abstract sets of inputs and outputs are encoded as subsets of *Strings* as above. In this case  $\mathcal{T}$  itself will have an induced encoding. Of course, concretely  $\mathcal{T}$  is nothing more than the set of Turing machines, with a distinguished final state called  $q_{\text{reject}}$ .

**Definition 1.5.** We say that a Turing machine  $T$  computes a (partially defined) function  $f : I \rightarrow J$ , if  $I$  is contained in the set of permissible inputs of  $T$  and  $*T(\Sigma) \rightarrow f(\Sigma)$ , whenever  $f(\Sigma)$  is defined, for  $\Sigma \in I$ .

Given Turing machines

$$M_1 : I \rightarrow O, M_2 : J \rightarrow P,$$

we may naturally **compose** them to get a Turing machine  $M_2 \circ M_1 : C \rightarrow P$ , for  $C = M_1^{-1}(O \cap J)$ , ( $O \cap J$  is understood as intersection of subsets of *Strings*).  $C$  can be empty in which case this is a Turing machine which rejects all input. Let us not elaborate further.

**1.1. Join of Turing machines.** Our Turing machine of Definition 1.1 is a multi-tape enhancement of a more basic notion of a Turing machine with a single tape, but we need to iterate this further.

We replace a single tape by tapes  $T^1, \dots, T^n$  in parallel, which we denote by  $(T^1 \dots T^n)$  and call this  $n$ -tape. The head  $H$  on the  $n$ -tape has components  $H^i$  pointing on the corresponding tape  $T^i$ . When moving a head we move all of its components separately. A string of symbols on  $(T^1 \dots T^n)$  is an  $n$ -string, formally just an element  $\Sigma \in \text{Strings}^n$ , with  $i$ 'th component of  $\Sigma$  specifying a string of symbols on  $T^i$ . The blank symbol  $b$  is the symbol  $(b^1, \dots, b^n)$  with  $b^i$  blank symbols of  $T^i$ .

Given Turing machines  $M^1, M^2$  we can construct what we call a **join**  $M^1 \star M^2$ , which is roughly a Turing machine where we alternate the operations of  $M^1, M^2$ . In what follows symbols with superscript 1, 2 denote the corresponding objects of  $M^1$ , respectively  $M^2$ , cf. Definition 1.1.

$M^1 \star M^2$  has three 2-tapes:

$$(T_i^1 T_i^2), (T_c^1 T_c^2), (T_o^1 T_o^2),$$

three heads  $H_i, H_c, H_o$  which have component heads  $H_i^j, H_c^j, H_o^j$ ,  $j = 1, 2$ . It has machine states:

$$Q_{M^1 \star M^2} = Q^1 \times Q^2 \times \mathbb{Z}_2,$$

with initial state  $(q_0^1, q_0^2, 0)$  and final states:

$$F_{M^1 \star M^2} = F^1 \times Q^2 \times \{1\} \sqcup Q^1 \times F^2 \times \{0\}.$$

Then given machine state  $q = (q^1, q^2, 0)$  and the symbols  $(\sigma_i^1 \sigma_i^2), (\sigma_c^1 \sigma_c^2), (\sigma_o^1 \sigma_o^2)$  to which the heads  $H_i, H_c, H_o$  are currently pointing, we first check instructions in  $I^1$  for  $q^1, \sigma_i^1, \sigma_c^1, \sigma_o^1$ , and given those instructions as step 1 execute:

- (1) Replace symbols  $\sigma_c^1, \sigma_o^1$  to which the head components  $H_c^1, H_o^1$  point (or leave them in place, the second components are unchanged).
- (2) Move each head component  $H_i^1, H_c^1, H_o^1$  left, right, or leave it in place, (independently). (The second component of the head is unchanged.)
- (3) Change the first component of  $q$  to another or keep it. (The second component is unchanged.)  
The third component of  $q$  changed to 1.

Then likewise given machine state  $q = (q^1, q^2, 1)$ , we check instructions in  $I^2$  for  $q^2, \sigma_i^2, \sigma_c^2, \sigma_o^2$  and given those instructions as step 2 execute:

- (1) Replace symbols  $\sigma_c^2, \sigma_o^2$  to which the head components  $H_c^2, H_o^2$  point (or leave them in place, the first components are unchanged).
- (2) Move each head component  $H_i^2, H_c^2, H_o^2$  left, right, or leave it in place.
- (3) Change the second component of  $q$  to another or keep it, (first component is unchanged) and change the last component to 0.

Thus formally the above 2-step procedure is two consecutive terms of the corresponding computation sequence.

**1.1.1. Input.** The input for  $M^1 \star M^2$  is a 2-string or in other words pair  $(\Sigma_1, \Sigma_2)$ , with  $\Sigma_1$  an input string for  $M^1$ , and  $\Sigma_2$  an input string for  $M^2$ .

1.1.2. *Output.* The output for

$$*M^1 \star M^2(\Sigma_1, \Sigma_2)$$

is defined as follows. If this computation halts then the 2-tape  $(T_o^1 T_o^2)$  contains a 2-string, bounded by  $b$  symbols, with  $T_o^1$  component  $\Sigma_o^1$  and  $T_o^2$  component  $\Sigma_o^2$ . Then the output  $M^1 \star M^2(\Sigma_1, \Sigma_2)$  is defined to be  $\Sigma_o^1$  if the final state is of the form  $(q_f, q, 1)$  for  $q_f$  final, or  $\Sigma_o^2$  if the final state is of the form  $(q, q_f, 0)$ , for  $q_f$  likewise final. Thus for us the output is a 1-string on one of the tapes.

1.2. **Universality.** It will be convenient to refer to the universal Turing machine

$$U : \mathcal{T} \times \text{Strings} \rightarrow \text{Strings},$$

for  $\mathcal{T}$  the set of Turing machines as already indicated above. This universal Turing machine already appears in Turing's [1]. It permits as input a pair  $(T, \Sigma)$  for  $T$  an encoding of a Turing machine and  $\Sigma$  input to this  $T$ . It can be partially characterized by the property that for every Turing machine  $T$  and string  $\Sigma$  we have:

$$*T(\Sigma) \text{ is equivalent to } *U(T, \Sigma).$$

1.3. **Notation.** In what follows  $\mathbb{Z}$  is the set of all integers and  $\mathbb{N}$  non-negative integers. We will sometimes specify a Turing machine simply by specifying a function

$$T : I \rightarrow O,$$

with the full data of the underlying Turing machine being implicitly specified, in a way that should be clear from context. When we intend to suppress dependence of a variable  $V$  on some parameter  $p$  we often write  $V = V(p)$ , this equality is then an equality of notation not of mathematical objects.

## 2. SETUP FOR THE PROOF OF THEOREM 0.1

**Definition 2.1.** A **machine** will be a synonym for a partially defined function  $A : I \rightarrow O$ , with  $I, O$  abstract sets with a fixed, prescribed encoding as subsets of *Strings*, (cf. *Preliminaries*).

$\mathcal{M}$  will denote the set of machines. Given a Turing machine  $T : I \rightarrow O$ , we have an associated machine  $\text{fog}(T)$  by forgetting all structure except the structure of a partially defined function.  $\mathcal{T}$  will denote the set of machines, which in addition have the structure of a Turing machine. So we have a forgetful map  $\text{fog} : \mathcal{T} \rightarrow \mathcal{M}$ .

2.1. **Diagonalization machines.** There is a well known connection between Turing machines and formal systems to which we already alluded in Section 0.1. So Gödel statements can already be interpreted in Turing machine language as certain Gödel strings. But we will be aiming to construct, in a specific setting relevant to the computability problem, a more flexible and in a certain sense universal such Gödel string  $\mathcal{G}$ . Generalizing this would be very interesting, but at the moment it is not clear what that would mean.

To make this  $\mathcal{G}$  exceptionally simple we will need to formulate some specific properties for our machines, which will require a bit of setup. We denote by  $\mathcal{T}_{\mathbb{Z}} \subset \mathcal{T}$  the subset of Turing machines of the type:

$$X : (S_X \times \mathbb{N} \subset \text{Strings} \times \mathbb{N}) \rightarrow \mathbb{Z}.$$

In other words, the input set of  $X \in \mathcal{T}_{\mathbb{Z}}$  is of the form  $S_X \times \mathbb{N}$ , for  $S_X \subset \text{Strings}$ , and the output set of  $X$  is  $\mathbb{Z}$ .

Let  $\mathcal{O} \subset \mathcal{T}_{\mathbb{Z}} \times \text{Strings}$  consist of  $(X, \Sigma) \in \mathcal{T}_{\mathbb{Z}} \times \text{Strings}$  with  $\Sigma \in S_X$ , defined as above. And set

$$\mathcal{O}' := \mathcal{O} \times \mathbb{N} \subset \mathcal{T}_{\mathbb{Z}} \times \text{Strings} \times \mathbb{N}.$$

Let

$$D_1 : \mathbb{Z} \sqcup \{\infty\} \rightarrow \mathbb{Z},$$

be a fixed Turing machine which satisfies

$$(2.2) \quad D_1(x) = x + 1 \text{ if } x \in \mathbb{Z} \subset \mathbb{Z} \sqcup \{\infty\}$$

$$(2.3) \quad D_1(\infty) = 1.$$



Here  $\{\infty\}$  is the one point set containing the element  $\infty$ , which is just a particular distinguished symbol, also implicitly encoded as an element of *Strings*, s.t.  $\{\infty\} \cap \mathbb{Z} = \emptyset$ , where the intersection is taken in *Strings*. In what follows we sometimes understand  $D_1$  as an element of  $\mathcal{T}_{\mathbb{Z}}$ , denoting the Turing machine:

$$(2.4) \quad (x, m) \mapsto D_1(x),$$

for all  $(x, m) \in (\mathbb{Z} \sqcup \{\infty\}) \times \mathbb{N}$ .

We need one more Turing machine.

**Definition 2.5.** *We say that a Turing machine*

$$R : D \supset \mathcal{O}' \rightarrow \mathbb{Z} \sqcup \{\infty\},$$

*has property G if the following is satisfied:*

- *R halts on the entire  $\mathcal{O}'$ , that is  $\mathcal{O}'$  is contained in the set of R-acceptable strings.*
- *$R(X, \Sigma, m) \neq \infty \implies R(X, \Sigma, m) = X(\Sigma, m)$ , for  $(\Sigma, m) \in S_X \times \mathbb{N}$ , and  $X \in \mathcal{T}_{\mathbb{Z}}$ .*
- *$\forall m : R(D_1, \infty, m) \neq \infty$ , and so  $\forall m : R(D_1, \infty, m) = 1$ , by the previous property.*

**Lemma 2.6.** *There is a Turing machine R satisfying property G.*

*Proof.* Let  $W_n$  be some Turing machine  $W_n : \{\emptyset\} \rightarrow \{\infty\}$ , for  $\emptyset$  the empty string. So as a function it is not very interesting since the input and output sets are singletons. We ask that the length of  $*W_n(\emptyset)$  is  $n > 0$ , (cf. Preliminaries).

Let  $R_n$  be the Turing machine, specified as

$$R_n(Z) = W_n \star U(\emptyset, Z),$$

in the language of the join operation described in Section 1, for  $Z \in \text{Strings}$ , and for  $U$  the universal Turing machine. Clearly  $R_n$  always halts, although it may halt with machine state  $q_{reject}$ . Moreover by construction every  $Z = (X, (\Sigma, m)) \in \mathcal{O}' \subset \text{Strings}$  is permitted. Additionally, for  $(X, \Sigma, m) \in \mathcal{O}'$ ,

$$R_n(X, \Sigma, m) \neq \infty \implies R_n(X, \Sigma, m) = X(\Sigma, m),$$

in particular every  $(X, \Sigma, m) \in \mathcal{O}'$  is  $R_n$ -acceptable. As a function  $\mathbb{Z} \sqcup \{\infty\} \rightarrow \mathbb{Z}$ ,  $D_1$  is completely determined but it could have various implementations as a Turing machine, so that the length  $l_m$  of  $*D_1(\infty, m)$  depends on this implementation. Clearly we may assume that  $\forall m : l = l_m$  for some  $l$ , by definition of  $D_1$  as an element of  $\mathcal{T}_{\mathbb{Z}}$ , as in (2.4). We then ask that  $n > l$  is fixed. Then by construction we get:

$$\forall m : R_n(D_1, \infty, m) = D_1(\infty, m) = 1.$$

So set  $R := R_n$ , and this gives the desired Turing machine. Note that the domain  $D \subset \mathcal{T} \times \text{Strings}$  of  $R$ -permissible strings is not explicitly determined by our construction, as we cannot tell without additional information when a general  $Z$  is rejected by  $R$ . We can only say that  $D \supset \mathcal{O}'$ .  $\square$

Define  $\mathcal{M}_0$  to be the set of machines whose input set is  $\mathcal{I} = \mathcal{T} \times \mathbb{N}$  and whose output set is *Strings*. That is

$$\mathcal{M}_0 := \{M \in \mathcal{M} \mid M : \mathcal{T} \times \mathbb{N} \rightarrow \text{Strings}\}.$$

We set

$$\mathcal{T}_0 := \{T \in \mathcal{T} \mid \text{fog}(T) \in \mathcal{M}_0\},$$

and we set  $\mathcal{I}_0 := \mathcal{T}_0 \times \mathbb{N}$ . Given  $M \in \mathcal{M}_0$  and  $M' \in \mathcal{T}_0$  let  $\Theta_{M, M'}$  be the statement:

$$(2.7) \quad M \text{ is computed by } M'.$$

For each  $M \in \mathcal{M}_0$ , we define a machine:

$$\widetilde{M} : \mathcal{I} \rightarrow \text{Strings} \times \mathbb{N}$$

$$(2.8) \quad \widetilde{M}(B, m) = (M(B, m), m),$$

which is naturally a Turing machine when  $M$  is a Turing machine.



In what follows when we write  $M'(M', m)$  we mean  $M'(\Sigma_{M'}, m)$  for  $\Sigma_{M'}$  the string encoding of the Turing machine  $M'$ . So we conflate the notation for the Turing machine and its string specification.

**Definition 2.9.** For  $M \in \mathcal{M}_0$ ,  $M' \in \mathcal{T}_0$ , an abstract string  $O \in \text{Strings}$  is said to have **property**  $C = C(M, M')$  if:

$$\begin{aligned} \Theta_{M, M'} \implies \forall m : (&*M'(M', m) \text{ does not halt}) \vee (M'(M', m) \notin \mathcal{O}) \\ \vee (M'(M', m) \in \mathcal{O}, O \in \mathcal{O} \text{ and } &X(\Sigma, m) = D_1 \circ R \circ \widetilde{M}'(M', m), \text{ where } O = (X, \Sigma)) \end{aligned}$$

for  $\widetilde{M}'$  determined by  $M'$  as in (2.8).

At a glance, this is a somewhat complicated property, but essentially it just says that if  $\Theta_{M, M'}$  then for all  $m$  “ $O \neq M'(M', m)$ ” unless either  $*M'(M', m)$  does not halt, or the output does not have the right (data) type, or  $R(O, m) = \infty$ . Thus the string  $O$  with property  $C(M, M')$  is “diagonal” in a certain sense, where by “diagonal” we mean that something analogous to Cantor’s diagonalization is happening, but we will not elaborate. And of course  $C$  here stands for Cantor.

*Remark 2.10.* The fact that data types get intricate is perhaps not surprising. On one hand there is a well known correspondence, the Curry-Howard correspondence [5], between proof theory in logic and type theory in computer science, and on the other hand we are doing something related to Gödel incompleteness, but on the computer science side.

**Definition 2.11.** We say that  $M \in \mathcal{M}_0$  is  **$C$ -sound** if for each  $(M', m) \in \mathcal{I}_0$ , with  $M(M', m) = O$  defined,  $O$  has property  $C(M, M')$ . We say that  $M$  is  **$C$ -sound on  $M'$**  if the list  $\{M(M', m)\}_m$  has only elements with property  $C(M, M')$ .

Define a  $C$ -sound  $M' \in \mathcal{T}_0$  analogously.

**Definition 2.12.** If  $M, M'$  as above are  $C$ -sound we will say that  $\text{sound}(M)$ ,  $\text{sound}(M')$  hold. If  $M$  is  $C$ -sound on  $M'$  we say that  $\text{sound}(M, M')$  holds.

*Example 1.* A trivially  $C$ -sound machine  $M$  is one for which

$$M(M', m) = (D_1 \circ R \circ \widetilde{M}', M')$$

for every  $(M', m) \in \mathcal{I}$ . As  $(D_1 \circ R \circ \widetilde{M}', M')$  automatically has property  $C(M, M')$  for each  $M' \in \mathcal{T}_0$ . In general, for any  $M, M'$  the list of all strings  $\{(X, \Sigma)\}$  with property  $C(M, M')$  is always infinite, as by this example there is at least one such string  $(D_1 \circ R \circ \widetilde{M}', M')$ , which can then be modified to produce infinitely many such strings.

**Theorem 2.13.** If  $\text{sound}(M, M') \wedge \Theta_{M, M'}$  then

$$\forall m : M(M', m) \neq (D_1, \infty).$$

On the other hand, if  $\text{sound}(M, M')$  then the string

$$\mathcal{G} := (D_1, \infty) \in \mathcal{O}$$

has property  $C(M, M')$ . In particular if  $\text{sound}(M)$  then  $\mathcal{G}$  has property  $C(M, M')$  for all  $M'$ .

So given any  $C$ -sound  $M \in \mathcal{M}_0$  there is a certain string  $\mathcal{G}$  with property  $C(M, M')$  for all  $M'$ , such that for each  $M'$  if  $\Theta_{M, M'}$  then

$$\mathcal{G} \neq M(M', m)$$

for all  $m$ . This “Gödel string”  $\mathcal{G}$  is what we are going to use further on. What makes  $\mathcal{G}$  particularly suitable for our application, is that it is independent of the particulars of  $M$ , all that is needed is  $M \in \mathcal{M}_0$  and is  $C$ -sound. So  $\mathcal{G}$  is in a sense universal.

*Proof.* Suppose not and let  $M'_0$  be such that  $\Theta_{M, M'_0} \wedge \text{sound}(M, M'_0)$  and such that

$$M(M'_0, m_0) = \mathcal{G} \text{ for some } m_0.$$

Set  $T = (M'_0, m_0)$  then we have that:

$$\begin{aligned}
1 &= D_1(\infty, m_0), \\
D_1(\infty, m_0) &= D_1 \circ R \circ \widetilde{M}'(T), \text{ by } \textit{sound}(M, M'), \text{ and by } *M'(T) \rightarrow \mathcal{G} \in \mathcal{O} \text{ since } \Theta_{M, M'}, \\
D_1 \circ R \circ \widetilde{M}'(T) &= D_1 \circ R(D_1, \infty, m_0) \quad \text{by } M'(T) = \mathcal{G} \\
D_1 \circ R(D_1, \infty, m_0) &= 2 \quad \text{by property } G \text{ of } R \text{ and by (2.2).} \\
1 &= 2.
\end{aligned}$$

So we obtain a contradiction.

We now verify the second part of the theorem. Given  $M' \in \mathcal{T}_0$ , we show that:

$$(2.14) \quad \forall m : \left( \textit{sound}(M, M') \wedge (M'(T) \in \mathcal{O}) \wedge \Theta_{M, M'} \implies R(\widetilde{M}'(T)) = \infty \right),$$

where  $T = (M', m)$ . Suppose otherwise that for some  $m_0$  and  $T_0 = (M', m_0)$  we have:

$$\textit{sound}(M, M') \wedge (*M'(T_0) \text{ halts}) \wedge (M'(T_0) \in \mathcal{O}) \wedge \Theta_{M, M'} \wedge (R(\widetilde{M}'(T_0)) \neq \infty).$$

So we have:

$$(2.15) \quad *M'(T_0) \rightarrow (X, \Sigma) \in \mathcal{O},$$

for some  $(X, \Sigma)$  having property  $C(M, M')$ . And so, since  $R$  is defined on all of  $\mathcal{O}'$ :

$$R(\widetilde{M}'(T_0)) = R(X, \Sigma, m_0) = X(\Sigma, m_0) = x \in \mathbb{Z}, \text{ for some } x,$$

by Property  $G$  of  $R$  and by  $R(\widetilde{M}'(T_0)) \neq \infty$ .

Then we get:

$$x = X(\Sigma, m_0) = D_1 \circ R \circ \widetilde{M}'(T_0) = D_1(x) = x + 1$$

by  $(X, \Sigma)$  having property  $C(M, M')$ , by  $\Theta_{M, M'}$ , and by (2.15). So we get a contradiction and (2.14) follows. Our conclusion readily follows.  $\square$

### 3. A SYSTEM WITH A HUMAN SUBJECT $S$ AS A MACHINE IN $\mathcal{M}_0$

Let  $S$  be in an isolated environment, in communication with an experimenter/operator  $E$  that as input passes to  $S$  elements of  $\mathcal{I} = \mathcal{T} \times \mathbb{N}$ . Here *isolated environment* means primarily that no information i.e. stimulus, that is not explicitly controlled by  $E$  and that is usable by  $S$ , passes to  $S$  while he is in this environment. For practical purposes  $S$  has in his environment a general purpose digital computer with arbitrarily, as necessary, expendable memory, (in other words a universal Turing machine).

We suppose that upon receiving any  $T \in \mathcal{I}$ , as a string in his computer, after possibly using his computer in some way,  $S$  instructs his computer to print after some indeterminate time a string  $S(T)$ . We are not actually assuming that  $S(T)$  is defined on every  $T$ , (although this would likely be a safe assumption). So  $S$  also denotes a machine in our language, or a partially defined function:

$$S : \mathcal{I} \rightarrow \textit{Strings},$$

**Definition 3.1.** We say that  $S$  the human subject is **computable** if the corresponding machine  $S$  above is computable.

**3.1. Additional conditions.** We now consider a more specific  $S_0$  of the type above, which additionally behaves in the following way. For any fixed  $B \in \mathcal{T}_0$

$$\{S_0(B, m)\}_m$$

is the complete list of strings that  $S_0$  asserts to have property  $C(S_0, B)$ . Of course we don't actually need  $S_0$  to list infinitely many strings, we only need that  $S_0$  can list as many strings as we like, and that given any particular  $B$ , eventually any particular string that  $S_0$  asserts to have property  $C(S_0, B)$  will appear.

Also as in the Penrose argument we ask that  $S_0$  asserts that he is sound, which entails in this case that he asserts  $\text{sound}(S_0)$  for  $S_0$  the above machine. This is preliminary, since asserting soundness is at least on the surface irrational, and we formally treat fundamental soundness only in the next section.

**Theorem 3.2.**

$$S_0 \text{ is computable} \implies \neg \text{sound}(S_0).$$

In fact we prove more, for any  $S' \in \mathcal{T}_0$ :

$$\Theta_{S_0, S'} \implies \neg \text{sound}(S_0, S').$$

This partly formalizes Theorem 0.1, to completely formalize it we must wait till next section.

*Proof.* Suppose  $\Theta_{S_0, S'}$  for some  $S' \in \mathcal{T}_0$ . Suppose in addition  $\text{sound}(S_0, S')$ . Then by Theorem 2.13

$$S_0(S', m) \neq (D_1, \infty)$$

for any  $m$ . On the other hand  $S_0$  asserts  $\text{sound}(S_0)$  and hence must assert that  $(D_1, \infty)$  has property  $C(S_0, S')$ , by the second half of Theorem 2.13. In particular the string  $(D_1, \infty)$  must be in the list  $\{S_0(S', m)\}_m$ , since this list is assumed to be complete. So we have reached a contradiction.  $\square$

#### 4. FUNDAMENTAL SOUNDNESS AS STABLE SOUNDNESS

Imagine a machine  $M$  which sequentially prints statements of arithmetic, which it asserts are true, but so that  $M$  can also go back and delete a statement it later decided was untrue after all. We say that  $M$  is stably sound if any printed statement by  $M$  that survives to infinity is in fact true. More formally, for each  $n \in \mathbb{N}$ ,  $M(n)$  will correspond to an operation denoted by the string  $(\Sigma, +)$  or  $(\Sigma, -)$  meaning add  $\Sigma$  to the list or remove  $\Sigma$  from list, respectively, where  $\Sigma$  is a statement of arithmetic. If there is an  $n_0$  with  $M(n_0) = (\Sigma, +)$ , s.t. there is no  $m > n_0$  with  $M(m) = (\Sigma, -)$ , then  $\Sigma$  is called *M-stable* and we say that  $M$  **prints**  $\Sigma$  **stably**.

**Definition 4.1.** We say that  $M$  is **stably sound** if every  $M$ -stable  $\Sigma$  is true.

We now translate this to our setting. The crucial point of our Gödel string is that it will still function in this stable soundness context. Let  $\mathcal{M}^\pm$  denote the set of machines

$$M : \mathcal{I} = \mathcal{T} \times \mathbb{N} \rightarrow \text{Strings} \times \{\pm\},$$

where  $\{\pm\}$  is the set containing two symbols  $+$ ,  $-$ , likewise implicitly encoded as a subset of *Strings*. We set

$$\mathcal{T}^\pm := \{T \in \mathcal{T} \mid \text{fog}(T) \in \mathcal{M}^\pm\}.$$

Let

$$\text{pr} : \text{Strings} \times \{\pm\} \rightarrow \text{Strings},$$

be the natural projection. For each  $M \in \mathcal{M}^\pm$ , we define a machine:

$$\widetilde{M} : \mathcal{I} \rightarrow \text{Strings} \times \mathbb{N},$$

$$(4.2) \quad \widetilde{M}(B, m) = (\text{pr} \circ M(B, m), m),$$

which is naturally a Turing machine when  $M$  is a Turing machine.

**Definition 4.3.** For  $M \in \mathcal{M}^\pm$ , and for  $T = (B, m) \in \mathcal{I}$  s.t.  $M(T) = (O, +)$ , we say that  $O$  is *M-stable*, and that  $M$  **prints**  $O$  **stably** if there is no  $k > m$  s.t.  $M(B, k) = (O, -)$ .

In what follows  $\mathcal{O} \subset \mathcal{T}_{\mathbb{Z}} \times \text{Strings}$  is as before.

**Definition 4.4.** For  $M \in \mathcal{M}^\pm$ ,  $M' \in \mathcal{T}^\pm$ , an abstract string  $O \in \text{Strings}$  is said to have property  $sC = sC(M, M')$  if:

$$\Theta_{M, M'} \implies \forall m : (*M'(M', m) \text{ does not halt}) \vee (pr \circ M'(M', m) \notin \mathcal{O}) \vee (pr \circ M'(M', m) \text{ is not } M'\text{-stable}) \\ \vee (pr \circ M'(M', m) \in \mathcal{O}, O \in \mathcal{O} \text{ and } X(\Sigma, m) = D_1 \circ R \circ \widetilde{M}'(M', m), \text{ where } O = (X, \Sigma)),$$

for  $\widetilde{M}'$  determined by  $M'$  as in (4.2).

**Definition 4.5.** We say that  $M \in \mathcal{M}^\pm$  is **stably C-sound** on  $M'$ , and we write that  $s\text{-sound}(M, M')$  holds, if for each  $m$  with  $(X, \Sigma) = pr \circ M(M', m)$   $M$ -stable,  $(X, \Sigma)$  has property  $sC(M, M')$ . We say that  $M$  is **stably C-sound** if it is stably C-sound on all  $M'$ , and in this case we write that  $s\text{-sound}(M)$  holds.

*Example 2.* As before an example of a trivially stably C-sound machine  $M$  is one for which

$$M(M', m) = (D_1 \circ R \circ \widetilde{M}', M', +)$$

for every  $(M', m) \in \mathcal{I}$ .

**Theorem 4.6.** If  $s\text{-sound}(M, M') \wedge \Theta_{M, M'}$  then

$$\forall m \text{ s.t. } pr \circ M(M', m) \text{ is } M\text{-stable} : M(M', m) \neq (D_1, \infty, +).$$

On the other hand, if  $s\text{-sound}(M, M')$  then the string

$$\mathcal{G} := (D_1, \infty) \in \mathcal{O}$$

has property  $sC(M, M')$ . In particular if  $s\text{-sound}(M)$  then  $\mathcal{G}$  has property  $sC(M, M')$  for all  $M'$ .

*Proof.* This is mostly analogous to the proof of Theorem 2.13. Suppose not and let  $M'$  be such that  $\Theta_{M, M'} \wedge s\text{-sound}(M, M')$  and such that for some  $m_0$ :

$$M(M', m_0) = (\mathcal{G}, +) \text{ and } \mathcal{G} \text{ is } M\text{-stable.}$$

To recall we have that:

$$1 = D_1(\infty, m_0).$$

If we set  $T = (M', m_0)$ , then by  $s\text{-sound}(M, M')$ , by  $*M'(T) \rightarrow (\mathcal{G}, +)$ ,  $\mathcal{G} \in \mathcal{O}$  since  $\Theta_{M, M'}$  and by  $\mathcal{G}$  is  $M'$ -stable since  $\Theta_{M, M'}$  and since  $\mathcal{G}$  is  $M$ -stable:

$$D_1(\infty, m_0) = D_1 \circ R \circ \widetilde{M}'(T).$$

On the other hand:

$$\begin{aligned} D_1 \circ R \circ \widetilde{M}'(T) &= D_1 \circ R(D_1, \infty, m_0) \quad \text{by } M'(T) = (\mathcal{G}, +) \\ D_1 \circ R(D_1, \infty, m_0) &= 2 \quad \text{by property } G \text{ of } R \text{ and by (2.2).} \\ 1 &= 2. \end{aligned}$$

So we obtain a contradiction.

We now verify the second part of the theorem. Given  $M' \in \mathcal{T}_0$ ,  $T = (M', m)$  for any  $m$ , we show that:

$$(4.7) \quad s\text{-sound}(M, M') \wedge (M'(T) \in \mathcal{O}) \wedge (pr \circ M'(T) \text{ is } M'\text{-stable}) \wedge \Theta_{M, M'} \implies R(\widetilde{M}'(T)) = \infty.$$

Suppose otherwise that for some  $m_0$  and  $T_0 = (M', m_0)$  we have:

$$s\text{-sound}(M, M') \wedge (*M'(T_0) \text{ halts}) \wedge (M'(T_0) \in \mathcal{O}) \wedge (pr \circ M'(T_0) \text{ is } M'\text{-stable}) \wedge \Theta_{M, M'} \wedge (R(\widetilde{M}'(T_0)) \neq \infty).$$

Then by the above condition we get:

$$(4.8) \quad *M'(T_0) \rightarrow (X, \Sigma, +) \in \mathcal{O},$$

for some  $(X, \Sigma)$ , which is  $M'$ -stable, and with property  $sC(M, M')$ . Since  $R$  is defined on all of  $\mathcal{O}'$  we get:

$$R(\widetilde{M}'(T_0)) = R(X, \Sigma, m_0) = X(\Sigma, m_0) = x \in \mathbb{Z}, \text{ for some } x,$$

by Property  $G$  of  $R$  and by  $R(\widetilde{M}'(T_0)) \neq \infty$ . Then we have:

$$x = X(\Sigma, m_0) = D_1 \circ R \circ \widetilde{M}'(T_0) = D_1(x) = x + 1,$$

by  $(X, \Sigma)$  having property  $sC(M, M')$ , by  $\Theta_{M, M'}$ , and by (4.8). So we get a contradiction and (4.7) follows. Our conclusion readily follows.  $\square$

## 5. A SYSTEM WITH A HUMAN SUBJECT $S$ AS A MACHINE IN $\mathcal{M}^\pm$

Let  $S$  be a human subject in an isolated environment as before. We may then suppose as in Section 3 that  $S$  determines an element of  $\mathcal{M}^\pm$ :

$$S : \mathcal{I} \rightarrow \text{Strings} \times \{\pm\}.$$

**Definition 5.1.** *As before, we say that  $S$  the human subject is **computable** if the corresponding machine  $S$  above is computable.*

**5.1. Additional assumptions.** We now add the following additional assumptions. For any fixed  $B \in \mathcal{T}^\pm$ , if  $S$  ever asserts that  $(X, \Sigma)$  has property  $sC(S, B)$  then

$$S(B, m) = (X, \Sigma, +)$$

for some  $m$ . Conversely if  $S(B, m) = (X, \Sigma, +)$  for some  $m$  then at some point  $S$  asserts that  $(X, \Sigma)$  has property  $sC(S, B)$ . We also ask that  $S$  stably asserts that they are fundamentally sound, which in the specific setting here means that  $S$  stably asserts  $s - \text{sound}(S)$ . Here, “stably”, analogously to previous usage means, means that  $S$  is never to change their mind on this.

It makes good sense now for  $S$  to stably assert  $s - \text{sound}(S)$ , at least for an idealized  $S$  whose brain is not subject to expiration. Given such an idealization,  $S$  is simply asserting, that the list of things that they assert to have a certain property, converges in the exact sense above to a list of things which actually have this property. For example I assert in absolute faith  $L$ : 5 is an odd number. This statement  $L$  is likely stably on my list, unless I would have lost my sanity and hence would no longer be me. If the reader does not like the idealization above, then they may replace  $S$  by “the evolving scientific community”  $C$ , as we have already mentioned in the introduction. The fact it is “evolving”, because its members change, presents no problems. If each individual human is Turing computable, then so is this  $C$ . So applying the argument to  $C$  yields the same obstruction.

### Theorem 5.2.

$$S \text{ is computable} \implies \neg s - \text{sound}(S).$$

*That is if our subject  $S$  is computable they cannot be fundamentally sound, specifically meaning stably sound. In fact we prove more, for any  $S' \in \mathcal{T}^\pm$ :*

$$\Theta_{S, S'} \implies \neg s - \text{sound}(S, S').$$

This formalizes Theorem 0.1.

*Proof.* Suppose  $\Theta_{S, S'}$  for some  $S' \in \mathcal{T}^\pm$ . Suppose in addition  $s - \text{sound}(S, S')$ . Then by Theorem 4.6 for all  $m$  s.t.  $pr \circ S(S', m)$  is  $S$ -stable:

$$S(S', m) \neq (D_1, \infty, +).$$

On the other hand  $S$  stably asserts  $s - \text{sound}(S)$  and hence must stably assert that  $(D_1, \infty)$  has property  $sC(S, S')$ , by the second half of Theorem 4.6. In particular the string  $(D_1, \infty, +)$  must be on the list  $\{S(S', m)\}_m$ , by the additional assumptions above, and moreover  $(D_1, \infty)$  is  $S$ -stable since by assumption  $S$  asserts  $s - \text{sound}(S)$  stably. So we have reached a contradiction.  $\square$

**5.2. Formal system interpretation.** This is not necessary for our main theorem, and is more technical, but in practice it might be helpful to interpret the above in terms of formal systems. For simplicity we will base everything of standard set theory  $\mathcal{ST}$ . Turing machines are assumed to be naturally formalized in  $\mathcal{ST}$ . In what follows,  $\mathcal{F} \vdash P$  means that  $P$  is provable in  $\mathcal{F}$ .

**Definition 5.3.** We will say that  $S \in \mathcal{M}^\pm$ , the machine as above, is captured by a formal system  $\mathcal{F} \supset \mathcal{ST}$  if:

$$(\exists m : (S(S', m) = (X, \Sigma, +)) \wedge ((X, \Sigma) \text{ is } S\text{-stable})) \iff (\mathcal{F} \vdash ((X, \Sigma) \text{ has property } sC(S, S'))).$$

Note that  $\mathcal{F}$  is not uniquely determined by this condition.

Let  $Con(S)$  denote the meta-statement:

$$\exists \mathcal{F} : (\mathcal{F} \supset \mathcal{ST}) \wedge (\mathcal{F} \text{ captures } S) \wedge (\mathcal{F} \text{ is consistent}).$$

In what follows by “provably” we mean provably in  $\mathcal{ST}$ .

**Theorem 5.4.** Let  $S$  be as above then:

$$(\exists S' \in \mathcal{T}^\pm \text{ so that provably } \Theta_{S, S'}) \implies \neg Con(S),$$

or in more logic symbols,

$$(\exists S' \in \mathcal{T}^\pm : \mathcal{ST} \vdash \Theta_{S, S'}) \implies \neg Con(S).$$

In particular if provably  $\Theta_{S, S'}$ , for some  $S'$ , and if  $S$  is captured by  $\mathcal{F} \supset \mathcal{ST}$  then

$$\{pr \circ S(S', m) | m \in \mathbb{N}, \text{ s.t. } pr \circ S(S', m) \text{ is } S\text{-stable}\} = \text{Strings}.$$

Note that “provably  $\Theta_{S, S'}$ ” does not mean that  $S$  can prove  $\Theta_{S, S'}$  in the practical sense. It just means that after the terms  $S, S'$  in the statement  $\Theta_{S, S'}$  have been completely interpreted in set theory  $\mathcal{ST}$ ,  $\Theta_{S, S'}$  is provable in  $\mathcal{ST}$ . But interpretation of the term  $S$  may not even be practically attainable by  $S$ , as  $S$  is underlaid by some very complex physical system. And even if this interpretation was attainable,  $S$  may not be clever enough to find the proof of  $\Theta_{S, S'}$ , again in the practical sense. Also note that  $\neg Con(S)$  expresses *fundamental* inconsistency of  $S$ , as we only take stable assertions of  $S$  above. The second part of the theorem expresses a bizarre consequence that  $S$  stably asserts that even completely non-sense strings have property  $sC(S, S')$ .

*Proof.* Let  $\mathcal{F}(S)$  capture  $S$  as above, and  $S' \in \mathcal{T}^\pm$ . By the second part of Theorem 5.2,

$$\mathcal{ST} \vdash (\Theta_{S, S'} \implies L),$$

where  $L = L(S, S')$  is:

$$\exists m : (pr \circ S(S', m) \text{ is defined and is } S\text{-stable}) \wedge (pr \circ S(S', m) \text{ does not have property } sC(S, S')).$$

So if provably  $\Theta_{S, S'}$ ,  $L$  is provable in  $\mathcal{ST}$  and hence in  $\mathcal{F}(S)$ . On the other hand, by assumption that  $S$  is captured by  $\mathcal{F}(S)$ ,  $\neg L$  is provable in  $\mathcal{F}(S)$ . Then the first part of the theorem follows. The second part is then immediate, since if  $\mathcal{F}(S)$  is inconsistent it proves that every string has property  $sC(S, S')$ .  $\square$

## 6. CONCLUDING REMARK

While it can be argued that humans are not sound, it would be very difficult to argue that we are not stably sound. Scientists operate on the unshakeable faith that scientific progress converges on truth. And our interpretation above of this convergence as stable soundness is very simple and natural. Thus our results put a very serious obstruction to computability of intelligence.

In addition, at least under the stronger hypothesis of Theorem 5.4, stable unsoundness is testable/observable, at least in principle. For if  $S'$  provably computes  $S$ , then the second part of Theorem 5.4 implies that  $S$  and so  $S'$  must *stably* print non-sense analogous to:  $0 = 1$ . In other words not only does  $S$  stably assert some esoteric statement, which for esoteric reasons is untrue, but  $S$  must eventually stably assert exactly  $0 = 1$ . Then as  $S'$  is a Turing machine, we can simulate it on a powerful computer and see if such non-sense strings really do appear. In addition we can expect “sane” people to

eventually *stably* assert  $0 = 1$ . Given our basic understanding of humanity, such a consequence seems too ridiculous.

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