

**THE CHINESE UNIVERSITY OF HONG KONG**  
**Department of Mathematics**  
**MATH4010 Functional Analysis 2021-22 Term 1**  
**Solutions to Final Examination**

**Q1 (15 pts)**

- (a) (7 pts) It is readily checked that  $E$  is a subspace. Next we prove  $\overline{E} = E$ . Let  $x \in \overline{E}$ . Let  $M > 0$  such that  $\sup \|T_n\| \leq M$ . For any  $\varepsilon > 0$ , there exists  $\tilde{x} \in E$  such that  $\|x - \tilde{x}\| \leq \frac{\varepsilon}{3M}$ . By  $\tilde{x} \in E$ , there exists  $N \in \mathbb{N}$  such that  $\forall n, m \geq N$ ,  $\|T_n \tilde{x} - T_m \tilde{x}\| \leq \frac{\varepsilon}{3}$ . Then

$$\begin{aligned} \|T_n x - T_m x\| &\leq \|T_n x - T_n \tilde{x}\| + \|T_n \tilde{x} - T_m \tilde{x}\| + \|T_m \tilde{x} - T_m x\| \\ &\leq M \times \frac{\varepsilon}{3M} + \frac{\varepsilon}{3} + M \times \frac{\varepsilon}{3M} = \varepsilon. \end{aligned}$$

Hence  $\lim_{n \rightarrow \infty} T_n x$  exists by the completeness of  $X$ , thus  $\overline{E} = E$ .

- (b) (8 pts) Since  $|a_{n,k}| \leq 1$  for all  $n, k \in \mathbb{N}$ , we have for  $x \in \ell^1$ ,

$$|\phi_n(x)| = \left| \sum_{k=0}^{\infty} x(k) a_{n,k} \right| \leq \sum_{k=0}^{\infty} |x(k)| |a_{n,k}| \leq \|x\|_1.$$

Hence  $\|\phi_n\| \leq 1$ . By (a), it suffices to establish the existence of  $\lim_{n \rightarrow \infty} \phi_n(x)$  on  $c_{00}$  which is dense in  $\ell^1$  with respect to  $\|\cdot\|_1$ .

Let  $x = (x(k)) \in c_{00}$ . Then there exists  $n_x \in \mathbb{N}$  such that  $x(k) = 0$  for all  $k > n_x$ . Hence

$$\lim_{n \rightarrow \infty} \phi_n = \lim_{n \rightarrow \infty} \sum_{k=0}^{n_x} x(k) a_{n,k} = \sum_{k=0}^{n_x} x(k) \lim_{n \rightarrow \infty} a_{n,k}$$

exists by the assumption that  $\lim_{n \rightarrow \infty} a_{n,k}$  exists.

**Q2 (15 pts)**

$$\begin{array}{ccc} X^* & \xleftarrow{i^*} & X^{***} \\ & \searrow j & \uparrow \\ X & \xrightarrow{i} & X^{**} \end{array} \quad \text{with a red curved arrow } Q \text{ from } X^{***} \text{ to } X^{**}.$$

- (a) (7 pts) Since  $i^*, j$  are linear isometries, we have  $Q = j \circ c^*$  is a bounded linear map. To prove  $Q^2 = Q$ , i.e.,  $j \circ i^* \circ j \circ i^* = j \circ i^*$ , it suffices to check  $i^* \circ j = id$  on  $X^*$ . For any  $x \in X$ , by  $i, j$  being natural,

$$\langle x, i^* \circ j x^* \rangle = \langle i x, j x^* \rangle = \langle x^*, i x \rangle = \langle x, x^* \rangle = \langle x, id x^* \rangle.$$

Hence  $i^* \circ j = id$  on  $X^*$ .

- (b) (8 pts) By (a),  $X^{***} = \text{Im } Q \oplus \text{Ker } Q$ . It suffices to check  $\text{Im } Q = jX^* \cong X^*$ .

( $\text{Im } Q \subset jX^*$ ) For any  $x^{***} \in \text{Im } Q$ , there exists  $y^{***}$  such that

$$x^{***} = j \circ i^*(y^{***}) = j(i^* y^{***}) \in jX^*.$$

( $jX^* \subset \text{Im } Q$ ) Let  $x^{***} \in jX^*$ . Then  $x^{***} = jx^*$  for some  $x^* \in X^*$ . Since  $j \circ i^* = id$  by (a),

$$(I - Q)x^{***} = jx^* - j \circ i^* \circ jx^* = jx^* - jx^* = 0.$$

Hence  $x^{***} \in \text{Ker}(I - Q) = \text{Im } Q$ .

### Q3 (15 pts)

- (a) (   pts) Immediately by Riesz-Fréchet Representation.  $\ell^1$  separable but  $\ell^\infty = (\ell^1)^*$  non-separable. ...
- (b) (   pts) e.g.,  $L^2([0, 1], \#)$ ...

### Q4 (15 pts)

- (a) (   pts) Let  $x \in X$ . For any  $y \in H$ , there exists  $M_{x,y} > 0$  such that for all  $n \in \mathbb{N}$ ,

$$|\langle T_n x, y \rangle| \leq M_{x,y}.$$

By *Uniform Boundedness Theorem*, there exists  $M_y > 0$  such that

$$\|T_n x\| = \|\langle T_n x, \cdot \rangle\| \leq M_y \quad \text{for all } n \in \mathbb{N}.$$

Since the above inequality holds for all  $x \in H$ , by *Uniform Boundedness Theorem*, there exists  $M > 0$  such that for all  $n \in \mathbb{N}$ , we have

$$\|T_n\| \leq M$$

thus  $\sup \|T_n\| \leq M$ .

- (b) (   pts) Fix any  $x \in X$ . Denote  $f_x(y) := \lim_{n \rightarrow \infty} \langle T_n x, y \rangle$ . Then  $f_x$  is linear and

$$|f_x(y)| \leq \lim_{n \rightarrow \infty} \|T_n x\| \|y\| \leq M \|x\| \|y\|.$$

Thus  $f_x(y) \in H^*$  and  $\|f_x\| \leq M \|x\|$ . By Riesz-Fréchet, there exists a unique  $v_x \in H$  such that

$$\langle v_x, y \rangle = f_x(y) = \lim_{n \rightarrow \infty} \langle T_n x, y \rangle \quad \text{and} \quad \|v_x\| = \|f_x\| \leq M \|x\|.$$

Define  $T: H \rightarrow H$  by  $Tx := v_x$ . It is readily checked that  $T$  is linear and  $\|T\| \leq M$ , thus  $T \in B(H)$ .

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