

## Antialiasing Oscillator Algorithms for Digital Subtractive Synthesis

S-89.3580/S-89.4820 Audio Signal Processing Seminar, Lecture 5

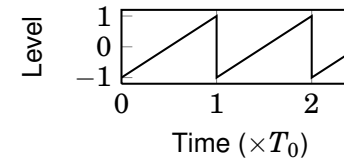
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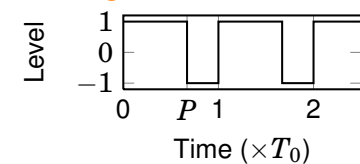
October 15, 2010

## Oscillators Used in Subtractive Synthesis

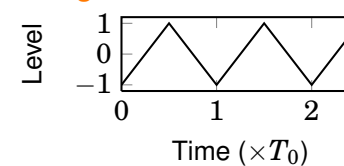
Sawtooth waveform



Rectangular waveform

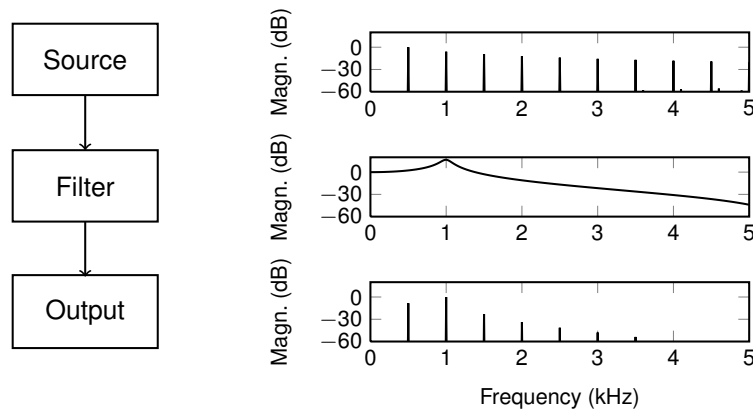


Triangle waveform



$P$  is the duty cycle or the pulse width  
Discontinuous  $\Rightarrow$  **Aliasing!**

## Subtractive Sound Synthesis



## Contents of This Lecture

### Objectives and Outline

Operation principles of oscillators that reduce/remove aliasing

### Outline

1. Ideally Bandlimited Oscillators
2. Quasi-Bandlimited Oscillators  
Break
3. Alias-Suppressing Oscillators
4. Special Approaches to Classical Waveform Synthesis

**Not covered:** Filters (covered by Mikko in the seminar) and oscillator effects (covered by Jari next week)

# 1

## Ideally Bandlimited Oscillator Algorithms

# 1

## Wavetable Synthesis

Chamberlin, 1985, Book & Burk, 2004, Book

1. Precompute single cycles of the sums of Fourier series terms (like in additive synthesis)
2. Tabulate the precomputed cycles
3. On the synthesis stage read the table computed for that fundamental frequency in a loop

**Computational complexity per sample** Only control logic and table reads in the synthesis stage, hence constant  $O(1)$

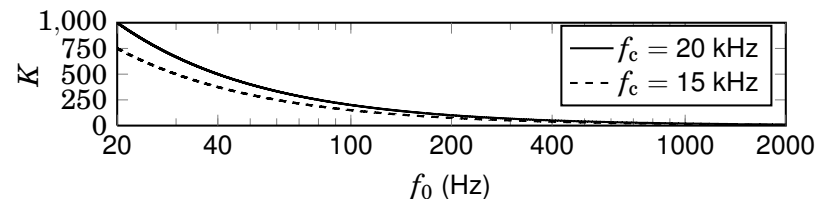
**Memory requirements** Huge! There are techniques to reduce the requirements, however, they are still large. . .

# 1

## Additive Synthesis

Chaudhary, 1998, AES 105th Convention

Synthesize the components of the waveform's Fourier series representation below a given cutoff frequency  $f_c$  (the highest harmonic index  $K = \lfloor f_c/f_0 \rfloor$ ) and add them up



**Computational complexity per sample**  $O(1/f_0)$

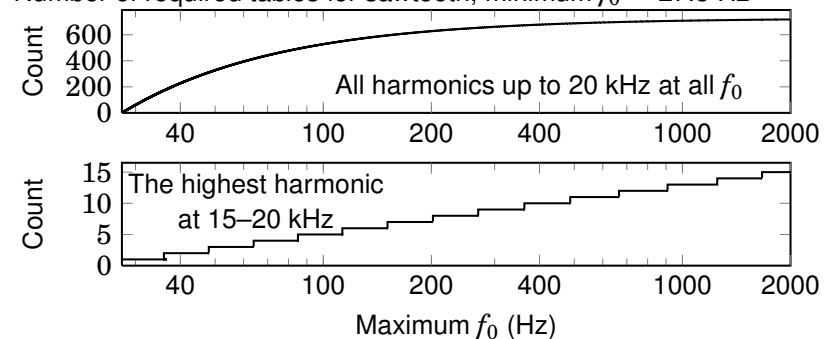
**Memory requirements** Depends on the sinusoidal oscillator

# 1

## Wavetable Synthesis II

Chamberlin, 1985, Book & Burk, 2004, Book

Number of required tables for sawtooth, minimum  $f_0 = 27.5$  Hz



# 1 Discrete Summation Formulas

Winham and Steiglitz, 1970, JASA & Moorer, 1976, JAES

Using the identities of trigonometric functions reduce a sum of sinusoids into a “simpler” expression

**Example (Winham and Steiglitz, 1970):**

$$\sum_{k=1}^N \cos(k\omega n) = \frac{\sin((2N+1)\omega n/2)}{2 \sin(\omega n/2)} - \frac{1}{2}$$

## Issues

- Numerical issues when the denominator is close to zero
- Amplitude mismatches – requires a post-equalizing filter

# 1 Summary of Idelly Bandlimited Oscillators

**Additive synthesis** Accurate, but computationally heavy

**Wavetable synthesis** Computationally light, memory requirements large, complicated control with time-varying phenomena

**Discrete Summation Formulas** Computationally moderate/light, numerical issues

**Inverse FFT synthesis** Computationally moderate, trade-off between temporal and spectral resolution, interpolation issues

Theoretical approaches useful for testing the other algorithms

# 1 Inverse FFT Synthesis

Deslauriers and Leider, 2009, AES 127th Convention

Compose the waveform in frequency-domain and apply inverse fast Fourier transform (IFFT) to the synthetic spectrum

## Issues

- Trade-off between temporal and spectral resolution
- Data interpolation due to finite spectral resolution
- Noise due to errors in spectrum data
- Assumes linear amplitude and phase evolution within a frame

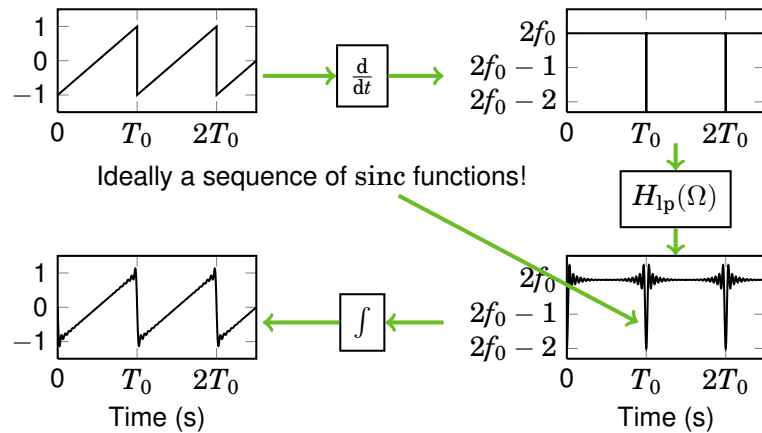
## Computational complexity and memory consumption

Depend on the block size of the IFFT

# 2

## Quasi-Bandlimited Oscillator Algorithms

## 2 Bandlimited Impulse Train Synthesis (BLIT) Continuous-Time Derivation (Stilson and Smith, 1996, ICMC)



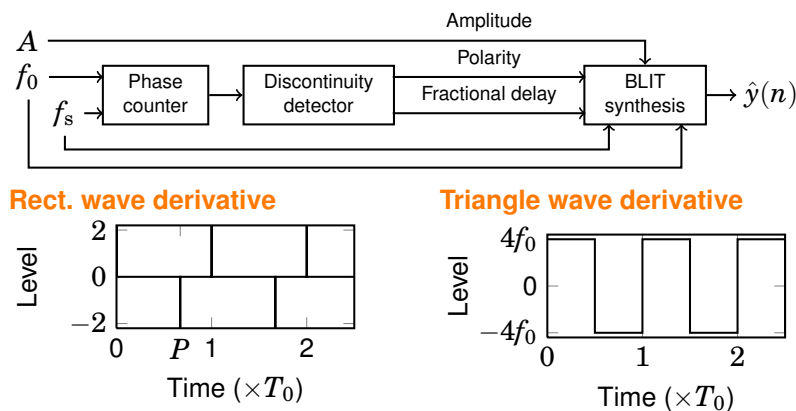
## 2 Computational Load and Memory Requirements of BLIT

- sinc function infinitely long!  
⇒ Truncate to length  $N$ , window & tabulate
- Discontinuity located between sampling instants  
⇒ Oversampling by factor  $M$  required to get proper positioning, can be further improved by table interpolation

**Computational Load** For a **discontinuity**, the computational load is  $O(N)$ . Per sample the load is  $O(Nf_0)$

**Memory Requirements** The table length is  $NM(+1)$ ; hence the memory requirement is  $O(NM)$

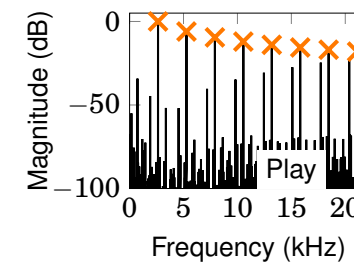
## 2 BLIT Algorithm Stilson and Smith, 1996, ICMC & Stilson, 2006, PhD Thesis



## 2 Alias Reduction Performance of BLIT Pekonen et al., 2010a, DAFx

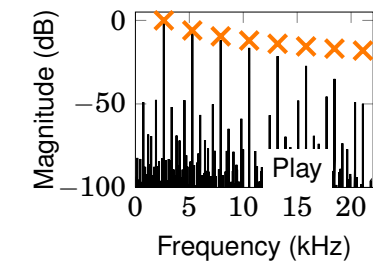
Hann-windowed sinc

$N = 4, M = 8$



Plain Hann window

$N = 4, M = 8$



The windowed sinc function is **not** the optimal!

## 2 Approaches to Improve the Performance of BLIT

### Look-Up Table Approaches (Pekonen et al., 2010a, DAFx)

**Parametric window function** Use a controllable window function as the look-up table

**Optimized tables** Optimize the table entries according to selected criteria

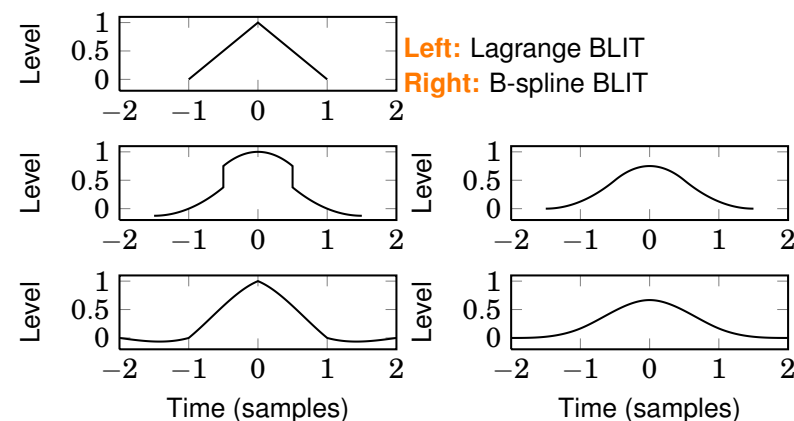
### Approaches Not Using Look-Up Tables

**Modified FM pulses** Use a modified FM synthesizer to generate bandlimited pulses (Timoney et al., 2008, DAFx)

**Fractional delay filters** A handy approach

## 2 Direct BLIT Synthesis Using FD Filters

Nam et al., 2010, IEEE TransASLP



## 2 Fractional Delay Filters in BLIT Synthesis

Pekonen et al., 2010b, ICGCS

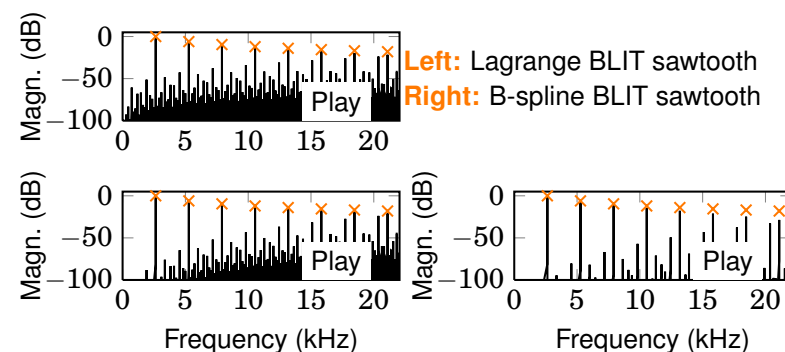
- The purpose of fractional delay (FD) filters?
  - ⇒ To approximate ideal bandlimited interpolation!
- The basis function of ideal bandlimited interpolation?
  - ⇒ The sinc function!
- ⇒ Use FD filters to synthesize the bandlimited impulses!

**Modify the algorithm:**



## 2 Direct BLIT Synthesis Using FD Filters II

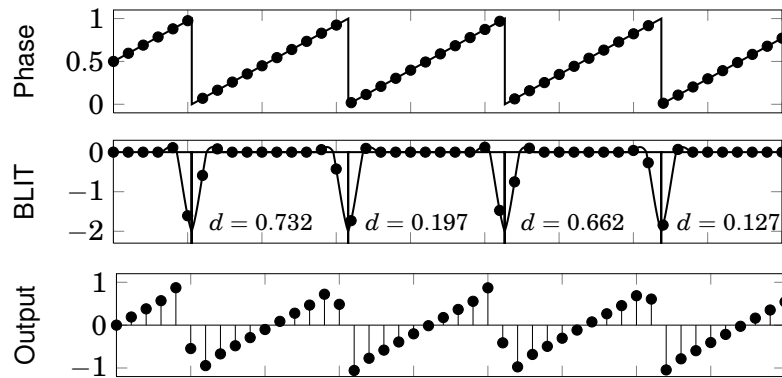
Nam et al., 2010, IEEE TransASLP



**Trade-off:** Alias reduction vs. Amplitude drop of higher harmonics

## 2 Example of FD-BLIT

### Third-order Lagrange FD Filter



## 2 Issues With BLIT

### Prone to Numerical Errors

Replace the integrator with a second-order leaky integrator  
(Brandt, 2001, ICMC)

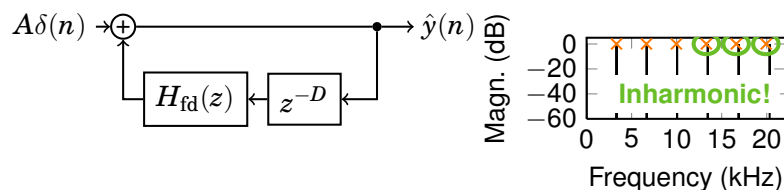
$$H_{\text{int},2}(z) = \frac{1 - z^{-1}}{(1 - cz^{-1})^2}$$

### Boosting of Aliasing at Low Frequencies

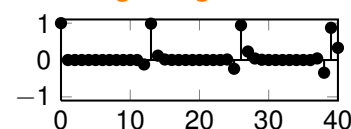
Inherent property of the algorithm, cannot be avoided

## 2 Feedback Loop Oscillator

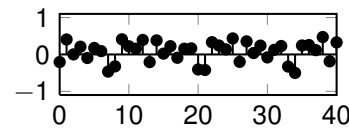
### Nam et al., 2009, DAFx



In the beginning



After one second



## 2 Bandlimited Step Function Synthesis (BLEP)

### Brandt, 2001, ICMC

Avoid integration in the synthesis stage

1. Integrate the BLIT function
2. At each discontinuity, trigger the integral

**In principle:** Accumulate the BLIT look-up table and reading it through and output a constant one when the table size is exceeded

**In practice:** Compute the difference between the bandlimited step function and unit step function and add it onto the waveform around the discontinuity (Välimäki and Huovilainen, 2007, IEEE SPM & Leary and Bright, 2009, U.S. Patent)

**Computational load and memory requirements** the same as with BLIT

## 2 Summary of Quasi-Bandlimited Oscillators

**Bandlimited Impulse Train (BLIT)** Synthesize a sequence of bandlimited impulses and integrate, issues with the integration and boosting of aliasing at low frequencies

**Bandlimited Step Function (BLEP)** Synthesize a sequence of bandlimited step functions or a sequence of correction functions

## 3 Oversampled Trivial Approach

Chamberlin, 1985, Book & Puckette, 2007, Book

Synthesize the trivial waveform with a high sampling rate  
⇒ aliased components will be at lower level

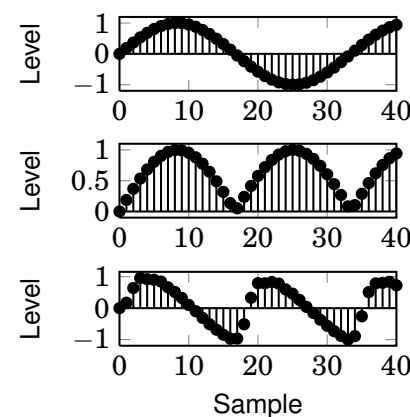
### Issues

- Spectral envelope of these waveforms decay gently  
⇒ Very high oversampling factor  $L$  required!
- Highly oversampled oscillator consumes computational power  
⇒ **Computational load:**  $O(L)$

## 3 Alias Suppressing Oscillator Algorithms

## 3 Filtering of Full-Wave Rectified Sine Wave

Lane et al., 1997, CMJ



1. Sinusoid with half of the target frequency
2. Full-wave rectify
3. Fixed lowpass filter
4.  $f_0$ -tracking highpass filter

Other waveforms with approximations (see Lowenfels, 2003, AES 115th Convention, for practical approaches)

### 3 Differentiation of Piecewise Polynomial Waveforms (DPW)

Välimäki et al., 2010a, IEEE TransASLP

Utilizes the following Fourier Transform properties:

$$\mathcal{F}\left(\frac{d}{dt}f(t)\right) = (i\omega)\mathcal{F}(f(t))$$

$$\mathcal{F}\left(\int f(t)dt\right) = \frac{\mathcal{F}(f(t))}{i\omega} + C$$

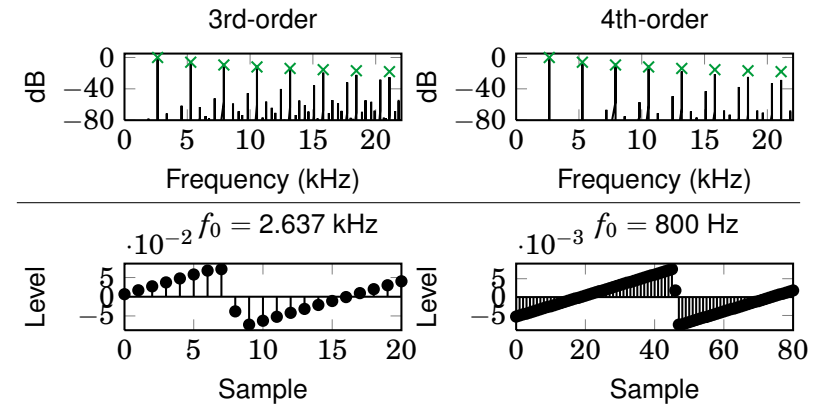
- Differentiation **increases** spectral tilt by about 6 dB per octave
- Integration **decreases** spectral tilt by about 6 dB per octave

Sawtooth waveform linear within a period

⇒ Analytic integration possible

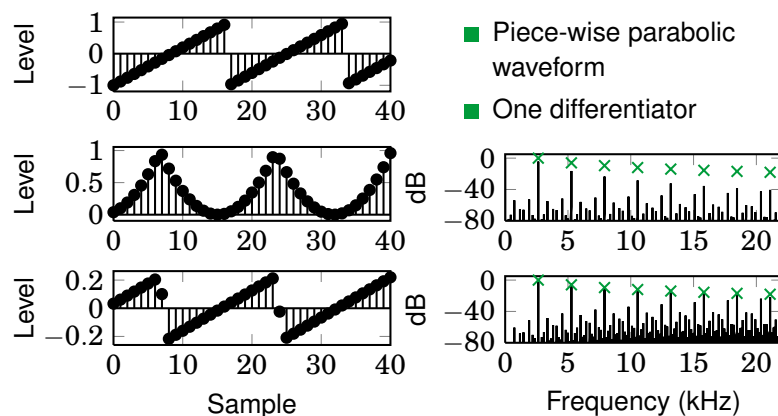
### 3 Higher-Order DPWs

Välimäki et al., 2010a, IEEE TransASLP



### 3 Second-Order DPW

Välimäki, 2005, IEEE SPL & Huovilainen and Välimäki, 2005, ICMC



### 3 DPW Scaling

Välimäki, 2005, IEEE SPL; Välimäki and Huovilainen, 2006, CMJ & Välimäki et al., 2010a, IEEE TransASLP

The output of the differentiator(s) needs to be scaled due to nonideal differentiation

**Scaling factor issues:**

- Inversely proportional to the fundamental frequency!
  - The fundamental frequency is in the power of the order!
- ⇒ At low frequencies very large scaling (e.g. 200 dB) required
- ⇒ Numerical problems...



### 3 Summary of Alias-Suppressing Algorithms

Sample a waveform with a tilted spectrum

**Oversampling** Very high oversampling factor required

**Filtered full-wave rectified sinusoid** Approximations, approximations. . .

**Differentiated parabolic waveforms** Sample integrals of linear function, problems with scaling

### 4 Digital Post-Suppression Algorithms Pekonen and Välimäki, 2008, ICASSP

We have an oscillator that has aliasing, what can we do?

**Below the fundamental frequency** Highpass filtering

**Between harmonics** Comb filtering

- FIR comb filter to pass the harmonic components and to **remove some aliasing** between the harmonics, or
- IIR comb filter to pass mainly the harmonic components and to **suppress the aliasing** between the harmonics

Comb filters require the highpass filter also as they will pass DC

## 4 Special Approaches to Classical Waveform Synthesis

### 4 Distortion (Waveshaping) Synthesis Timoney et al., 2009a, AES 126th Convention

Distort a sinusoid with a waveshaper like in the filtered full-wave rectified sinusoid

- Different waveshapers for different waveforms (Timoney et al., 2009a, AES 126th Convention & Kleimola, 2008, DAFx)
- Not necessarily aliasing-free
- Requires control to avoid aliasing (Timoney et al., 2009a, AES 126th Convention & Lazzarini and Timoney, 2010, CMJ)
  - Example: Use Chebyshev polynomials with the number of polynomials controlled by the fundamental frequency (Pekonen, 2007, Master's thesis)

## 4 Phase Distortion Synthesis

Ishibashi, 1987, U.S. Patent

Like waveshaping, but for phase instead of amplitude

### Papers Dealing with This Topic

Timoney et al., 2009b, ICASSP; Timoney et al., 2009a, AES 126th Convention; Lazzarini et al., 2009b, DAFx; Kleimola et al., 2009, DAFx & Lazzarini et al., 2009a, DAFx

Approaches to control aliasing discussed in Lazzarini and Timoney, 2010, CMJ

## 5 Summary of the Lecture

**Ideally bandlimited oscillators** No aliasing at all, different issues in different algorithms, useful for testing the other approaches

**Quasi-bandlimited oscillators** Aliasing allowed mainly at high frequencies, BLIT and BLEP approaches, integration issues in BLIT

**Alias-suppressing oscillators** Sample a signal that has a tilted spectrum, oversampling, filtered full-wave rectified sine wave, DPW, scaling issues in DPW

**Special approaches** Ad hoc approaches, post-suppression by filtering, wave- and phaseshaping, issues with aliasing in distortion approaches

## 5 Summary of the Lecture

## 5 Future of Bandlimited Oscillator Design

### Oscillator with Desired Properties

1. Perceptually aliasing-free in the range of musical frequencies
2. Computationally efficient and low memory requirements
3. Does not require a division that depends on an oscillation parameter, e.g. fundamental frequency!

The first two are obtainable, the last one still unsolved problem

### Modeling of Analog Oscillator Outputs

First attempts done by De Sanctis and Sarti, 2010, IEEE TransASLP, and by Kleimola et al., 2010, SMC

# Appendix

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