

Database Systems: The Complete Book

▼□Chapter 17

▼□Section 1

▼□1

- □a Yes
- □b No
- □c Yes

▼□2

- □a Read(A, t)
Read(b, u)
 $t = t + u$
Write(A, t)
 $u = t + u$
Write(B, u)
Output(B)
crash here ok
Output(A)
- □b Read(A, t)
Read(B, u)
 $u = \quad + u$
Write(B, u)
Output(B)
crash here ok
 $t = t + u$
Write(A)
Output(A)
- □c Read(A, t)
Read(B, u)
 $t = u + 1$
Write(A)
 $u = t + 1$
Write(B)
Output(B)
crash here ok
Output(A)

▼□Section 2

▼□1

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = t + u$	15	10	5	10	5	10	
5	Write(A, t)	15	10	15	10	5	10	T, A, 5
6	$u = t + u$	15	25	15	10	5	10	
7	Write(B, u)	15	25	15	25	5	10	T, B, 10
8	Output(B)	15	25	15	25	15	10	
9	Output(A)	15	25	15	25	15	25	
10		15	25	15	25	15	25	Commit T
11	Flush Log	15	25	15	25	15	25	

• □a

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$u = t + u$	5	15	5	10	5	10	
5	Write(B, u)	5	15	5	15	5	10	
6	Output(B)	5	15	5	15	5	15	T, B, 10
7	$t = t + u$	20	15	5	15	5	15	
8	Write(A)	20	15	20	15	5	15	
9	Output(A)	20	15	20	15	20	15	T, A, 5
10		20	15	20	15	20	15	Commit T
11	Flush Log	20	15	20	15	20	15	

• □b

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = u + 1$	11	10	5	10	5	10	
5	Write(A)	11	10	11	10	5	10	T, A, 5
6	$u = t + 1$	11	12	11	10	5	10	
7	Write(B)	11	12	11	12	5	10	T, B, 10
8	Output(B)	11	12	11	12	5	12	
9	Output(A)	11	12	11	12	11	12	
10		11	12	11	12	11	12	Commit T
11	Flush Log	11	12	11	12	11	12	

• □c

▼ □2

Log record to disk	A to disk	B to disk
Start T	No	No
T, A, 10	No	No
T, B, 20	Yes	No
	Yes	Yes
Commit T	No	No

• □a

Log record to disk	A to disk	B to disk	C to disk
Start T	No	No	No
T, A, 10	No	No	No
T, B, 20	Yes	No	No
T, C, 30	Yes	Yes	No
	Yes	Yes	Yes
	Yes	Yes	Yes
Commit	No	No	No

- ☐ b
- ☐ 3 n!
- ▼ ☐ 4
 - ☐ a T is undone.
 - ☐ b U is not undone.
T is undone.
 - ☐ c U is not undone.
T is undone.
 - ☐ d Nothing is undone.
- ▼ ☐ 5
 - ▼ ☐ a
 - ▼ ☐ T
 - ☐ must: { }
 - ☐ might: {A}
 - ▼ ☐ U
 - ☐ must: { }
 - ☐ might: { }
 - ▼ ☐ b
 - ▼ ☐ T
 - ☐ must: { }
 - ☐ might: {A, C}
 - ▼ ☐ U
 - ☐ must: {B, D}
 - ☐ might: { }
 - ▼ ☐ c
 - ▼ ☐ T
 - ☐ must: { }
 - ☐ might: {A, C, E}

▼□U

- □must: {B, D}
- □might: { }

▼□d

▼□T

- □must: all

▼□U

- □must: all

- □6 If a crash occurs before <U, D, 40>, A is recoverable for transaction T. If a cash occurs after, A is recoverable for transaction U. Logging does not preserve atomicity.

▼□7

	Transaction T	Transaction U	Transaction V	Checkpoints	failure occurs here:
<S, A, 60>					S undone
<COMMIT S>				<START CKPT(S)>	S undone
					S undone
	<START T>			<END CKPT>	nothing undone
	<T, A, 10>				nothing undone
		<START U>			T undone
		<U, B, 20>			T undone
	<T, C, 30>				T,U undone
			<START V>		T,U undone
		<U, D, 40>			T,U undone
			<V, F, 70>		T,U,V undone
		<COMMIT U>			T,U,V undone
	<T, E, 50>				T,U,V undone
	<COMMIT T>				T,U,V undone
			<V, B, 80>		T,U,V undone
			<COMMIT V>		T,U,V undone

- □a

	Transaction T	Transaction U	Transaction V	Checkpoints	failure occurs here:
<S, A, 60>					S undone
<COMMIT S>					S undone
	<START T>				S undone
	<T, A, 10>				T undone
				<START CKPT(T)>	T undone
		<START U>			T undone
		<U, B, 20>			T,U undone
	<T, C, 30>				T,U undone
			<START V>		T,U undone
		<U, D, 40>			T,U,V undone
			<V, F, 70>		T,U,V undone
		<COMMIT U>			T,U,V undone
	<T, E, 50>				T,U,V undone
	<COMMIT T>				T,U,V undone
				<END CKPT>	U,V undone
			<V, B, 80>		U,V undone
			<COMMIT V>		U,V undone

- □b

	Transaction T	Transaction U	Transaction V	Checkpoints	failure occurs here:
<S, A, 60>					S
<COMMIT S>					S
	<START T>				S
	<T, A, 10>				S,T
		<START U>			S,T
		<U, B, 20>			S,T,U
				<START CKPT(T, U)>	T,U
	<T, C, 30>				T,U
			<START V>		T,U
		<U, D, 40>			T,U
			<V, F, 70>		T,U,V
		<COMMIT U>			T,U,V
	<T, E, 50>				T,U,V
	<COMMIT T>				T,U,V
				<END CKPT>	V
			<V, B, 80>		V
			<COMMIT V>		V

• □c

	Transaction T	Transaction U	Transaction V	Checkpoints	failure occurs here:
<S, A, 60>					S
<COMMIT S>					S
	<START T>				S
	<T, A, 10>				S,T
		<START U>			S,T
		<U, B, 20>			S,T,U
	<T, C, 30>				S,T,U
			<START V>		S,T,U
		<U, D, 40>			S,T,U
				<START CKPT(T, U, V)>	T,U
			<V, F, 70>		T,U,V
		<COMMIT U>			T,U,V
	<T, E, 50>				T,U,V
	<COMMIT T>				T,U,V
			<V, B, 80>		T,U,V
			<COMMIT V>		T,U,V
				<END CKPT>	nothing undone

• □d

	Transaction T	Transaction U	Transaction V	Checkpoints	failure occurs here:
<S, A, 60>					S
<COMMIT S>					S
	<START T>				S
	<T, A, 10>				S,T
		<START U>			S,T
		<U, B, 20>			S,T,U
	<T, C, 30>				S,T,U
			<START V>		S,T,U
		<U, D, 40>			S,T,U
			<V, F, 70>		S,T,U,V
		<COMMIT U>			S,T,U,V
	<T, E, 50>				S,T,U,V
				<START CKPT(T, V)>	T,V
	<COMMIT T>				T,V
			<V, B, 80>		T,V
			<COMMIT V>		T,V
				<END CKPT>	nothing undone

• □e

▼ □ Section 3

▼ □ 1

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = t + u$	15	10	5	10	5	10	
5	Write(A, t)	15	10	15	10	5	10	T, A, 15
6	$u = t + u$	15	25	15	10	5	10	
7	Write(B, u)	15	25	15	25	5	10	T, B, 25
8		15	25	15	25	5	10	Commit
9	Flush Log	15	25	15	25	5	10	
10	Output(A)	15	25	15	25	15	10	
11	Output(B)	15	25	15	25	15	25	

• ☐ a

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$u = t + u$	5	15	5	10	5	10	
5	Write(B, u)	5	15	5	15	5	10	T, B, 15
6	$t = t + u$	20	15	5	15	5	10	
7	Write(A)	20	15	20	15	5	10	T, A, 20
8		20	15	20	15	5	10	Commit
9	Flush Log	20	15	20	15	5	10	
10	Output(A)	20	15	20	15	20	10	
11	Output(B)	20	15	20	15	20	15	

• ☐ b

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = u + 1$	11	10	5	10	5	10	
5	Write(A)	11	10	11	10	5	10	T, A, 11
6	$u = t + 1$	11	12	11	10	5	10	
7	Write(B)	11	12	11	12	5	10	T, B, 12
8		11	12	11	12	5	10	Commit
9	Flush Log	11	12	11	12	5	10	
10	Output(A)	11	12	11	12	11	10	
11	Output(B)	11	12	11	12	11	12	

• ☐ c

▼ ☐ 2

Log record to disk	A to disk	B to disk
Start T	No	No
T, A, 10	No	No
T, B, 20	No	No
Commit T	No	No
	Yes	Yes

• ☐ a

- □b Same as 17.3.2a

▼□3

- □a Write: Abort T
- □b Write: Abort T
Redo: U, B, 20
Redo: U, D, 40
- □c Same as 17.3.3b
- □d Redo: T, A, 10
Redo: U, B, 20
Redo: T, C, 30
Redo: U, D, 40
Redo: T, E, 50

▼□4

▼□a

▼□T

- □must: { }
- □might { }

▼□U

- □must: { }
- □might { }

▼□b

▼□T

- □must: { }
- □might: { }

▼□U

- □must: { }
- □might: {B, D}

▼□c

▼□T

- □must: { }
- □might { }

▼□U

- □must: { }
- □might: {B, D}

▼□d

▼□T

- □must: { }

- ☐ might: {A, C}

▼ ☐ U

- ☐ must: { }
- ☐ might: {B, D}

▼ ☐ 5

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>				<START CKPT(S)>		before END CKPT: redo nothing
<COMMIT S>					ok here	after END CKPT: redo S writes
	<START T>			<END CKPT>	ok here	
	<T, A, 10>				ok here	
		<START U>			ok here	
		<U, B, 20>			ok here	
	<T, C, 30>				ok here	
		<U, D, 40>	<START V>		ok here	
			<V, F, 70>		ok here	
		<COMMIT U>			ok here	
	<T, E, 50>				ok here	
	<COMMIT T>				ok here	
			<V, B, 80>		ok here	
			<COMMIT V>		ok here	

• ☐ a

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>						
<COMMIT S>						
	<START T>					
	<T, A, 10>					
		<START U>		<START CKPT(T)>		
		<U, B, 20>			ok here	before END CKPT: redo S writes
	<T, C, 30>				ok here	after END CKPT: redo T writes
		<U, D, 40>	<START V>		ok here	
			<V, F, 70>		ok here	
		<COMMIT U>			ok here	
	<T, E, 50>				ok here	
	<COMMIT T>				ok here	
			<V, B, 80>	<END CKPT>	ok here	
			<COMMIT V>		ok here	

• ☐ b

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>						
<COMMIT S>						
	<START T>					
	<T, A, 10>					
		<START U>				
		<U, B, 20>				
	<T, C, 30>			<START CKPT(T, U)>		
		<U, D, 40>	<START V>		ok here	before END CKPT: redo S writes
			<V, F, 70>		ok here	after END CKPT: redo T, U writes
		<COMMIT U>			ok here	
	<T, E, 50>				ok here	
	<COMMIT T>				ok here	
			<V, B, 80>	<END CKPT>	ok here	
			<COMMIT V>		ok here	

• ☐ c

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>						
<COMMIT S>						
	<START T>					
	<T, A, 10>					
		<START U>				
		<U, B, 20>				
	<T, C, 30>					
		<U, D, 40>	<START V>			
			<V, F, 70>	<START CKPT(T, U, V)>		
		<COMMIT U>			ok here	before END CKPT: redo S writes
	<T, E, 50>				ok here	after END CKPT: redo T, U, V writes
	<COMMIT T>				ok here	
			<V, B, 80>		ok here	
			<COMMIT V>		ok here	
				<END CKPT>	ok here	

• ☐ d

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>						
<COMMIT S>						
	<START T>					
	<T, A, 10>					
		<START U>				
		<U, B, 20>				
	<T, C, 30>					
			<START V>			
		<U, D, 40>				
			<V, F, 70>			
		<COMMIT U>				
	<T, E, 50>					
				<START CKPT(T, V)>		
	<COMMIT T>				ok here	before END CKPT: redo S,U writes
			<V, B, 80>		ok here	after END CKPT: redo T,V writes
			<COMMIT V>		ok here	
				<END CKPT>	ok here	

• ☐ e

▼ ☐ Section 4

▼ ☐ 1

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = t + u$	15	10	5	10	5	10	
5	Write(A, t)	15	10	15	10	5	10	T, A, 5, 15
6	$u = t + u$	15	25	15	10	5	10	
7	Write(B, u)	15	25	15	25	5	10	T, B, 10, 25
8		15	25	15	25	5	10	Commit
9	Flush Log	15	25	15	25	5	10	
10	Output(A)	15	25	15	25	15	10	
11	Output(B)	15	25	15	25	15	25	

• ☐ a

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$u = t + u$	5	15	5	10	5	10	
5	Write(B, u)	5	15	5	15	5	10	T, B, 10, 15
6	$t = t + u$	20	15	5	15	5	10	
7	Write(A)	20	15	20	15	5	10	T, A, 5, 20
8		20	15	20	15	5	10	Commit
9	Flush Log	20	15	20	15	5	10	
10	Output(A)	20	15	20	15	20	10	
11	Output(B)	20	15	20	15	20	15	

• ☐ b

Step	Action	t	u	M-A	M-B	D-A	D-B	Log
1								Start T
2	Read(A, t)	5		5		5	10	
3	Read(B, u)	5	10	5	10	5	10	
4	$t = u + 1$	11	10	5	10	5	10	
5	Write(A)	11	10	11	10	5	10	T, A, 5, 11
6	$u = t + 1$	11	12	11	10	5	10	
7	Write(B)	11	12	11	12	5	10	T, B, 10, 12
8		11	12	11	12	5	10	Commit
9	Flush Log	11	12	11	12	5	10	
10	Output(A)	11	12	11	12	11	10	
11	Output(B)	11	12	11	12	11	12	

- □c
- □2 Same as 17.2.2
- ▼□3
 - ▼□a
 - □T undone
 - ▼□b
 - ▼□U redone
 - □Write: B, 21
 - □Write: D, 41
 - □T undone
 - □c Same as 17.4.3b
 - □d Both T and U redone
- □4 Same as 17.2.5
- ▼□5

	Transaction T	Transaction U	Transaction V	Checkpoints	i	ii
<S, A, 60>						undo S
				<START CKPT(S)>		redo S
<COMMIT S>					ok here	
				<END CKPT>	ok here	
	<START T>				ok here	
	<T, A, 10>				ok here	
		<START U>			ok here	
		<U, B, 20>			ok here	
	<T, C, 30>				ok here	
			<START V>		ok here	
		<U, D, 40>			ok here	
			<V, F, 70>		ok here	
		<COMMIT U>			ok here	
	<T, E, 50>				ok here	
	<COMMIT T>				ok here	
			<V, B, 80>		ok here	
			<COMMIT V>		ok here	

- □a

- | | Transaction T | Transaction U | Transaction V | Checkpoints | i | ii |
|------------|---------------|---------------|---------------|-----------------|---------|------------------|
| <S, A, 60> | | | | | | |
| <COMMIT S> | | | | | | |
| | <START T> | | | | | |
| | <T, A, 10> | | | | | |
| | | | | <START CKPT(T)> | | |
| | | <START U> | | | ok here | merge of G and J |
| | | <U, B, 20> | | | ok here | |
| | <T, C, 30> | | | | ok here | |
| | | | <START V> | | ok here | |
| | | <U, D, 40> | | | ok here | |
| | | | <V, F, 70> | | ok here | |
| | | <COMMIT U> | | | ok here | |
| | <T, E, 50> | | | | ok here | |
| | <COMMIT T> | | | | ok here | |
| | | | | <END CKPT> | ok here | |
| | | | <V, B, 80> | | ok here | |
| | | | <COMMIT V> | | ok here | |
- ☐ b
- | | Transaction T | Transaction U | Transaction V | Checkpoints | i | ii |
|------------|---------------|---------------|---------------|--------------------|---------|------------------|
| <S, A, 60> | | | | | | |
| <COMMIT S> | | | | | | |
| | <START T> | | | | | |
| | <T, A, 10> | | | | | |
| | | <START U> | | | | |
| | | <U, B, 20> | | | | |
| | | | | <START CKPT(T, U)> | | |
| | <T, C, 30> | | | | ok here | merge of G and J |
| | | | <START V> | | ok here | |
| | | <U, D, 40> | | | ok here | |
| | | | <V, F, 70> | | ok here | |
| | | <COMMIT U> | | | ok here | |
| | <T, E, 50> | | | | ok here | |
| | <COMMIT T> | | | | ok here | |
| | | | | <END CKPT> | ok here | |
| | | | <V, B, 80> | | ok here | |
| | | | <COMMIT V> | | ok here | |
- ☐ c
- | | Transaction T | Transaction U | Transaction V | Checkpoints | i | ii |
|------------|---------------|---------------|---------------|-------------|---------|------------------|
| <S, A, 60> | | | | | | |
| <COMMIT S> | | | | | | |
| | <START T> | | | | | |
| | <T, A, 10> | | | | | |
| | | <START U> | | | | |
| | | <U, B, 20> | | | | |
| | <T, C, 30> | | | | | |
| | | <U, D, 40> | | | | |
| | | | <START V> | | | |
| | | | <V, F, 70> | | ok here | merge of G and J |
| | | <COMMIT U> | | | ok here | |
| | <T, E, 50> | | | | ok here | |
| | <COMMIT T> | | | | ok here | |
| | | | <V, B, 80> | | ok here | |
| | | | <COMMIT V> | | ok here | |
| | | | | <END CKPT> | ok here | |
- ☐ d
- | | Transaction T | Transaction U | Transaction V | Checkpoints | i | ii |
|------------|---------------|---------------|---------------|-------------|---------|------------------|
| <S, A, 60> | | | | | | |
| <COMMIT S> | | | | | | |
| | <START T> | | | | | |
| | <T, A, 10> | | | | | |
| | | <START U> | | | | |
| | | <U, B, 20> | | | | |
| | <T, C, 30> | | | | | |
| | | <U, D, 40> | | | | |
| | | | <START V> | | | |
| | | | <V, F, 70> | | ok here | merge of G and J |
| | | <COMMIT U> | | | ok here | |
| | <T, E, 50> | | | | ok here | |
| | <COMMIT T> | | | | ok here | |
| | | | <V, B, 80> | | ok here | |
| | | | <COMMIT V> | | ok here | |
| | | | | <END CKPT> | ok here | |
- ☐ e
- | | Transaction T | Transaction U | Transaction V | Checkpoints | i | ii |
|------------|---------------|---------------|---------------|--------------------|---|------------------|
| <S, A, 60> | | | | | | |
| <COMMIT S> | | | | | | |
| | <START T> | | | | | |
| | <T, A, 10> | | | | | |
| | | <START U> | | | | |
| | | <U, B, 20> | | | | |
| | <T, C, 30> | | | | | |
| | | | <START V> | | | |
| | | <U, D, 40> | | | | |
| | | | <V, F, 70> | | | |
| | | <COMMIT U> | | | | |
| | <T, E, 50> | | | | | |
| | <COMMIT T> | | | <START CKPT(T, V)> | | |
| | | | <V, B, 80> | | | merge of G and J |
| | | | <COMMIT V> | | | |
| | | | | <END CKPT> | | |