

Formal Methods (形式化方法)

Lecture 9. Sequence (序列)

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Research

- General game playing (通用博弈)
- Knowledge representation & reasoning (知识表示与推理)

For more information about my research, please visit

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1 Definition and Representation

2 Sequence Operators



Outline

1 Definition and Representation

2 Sequence Operators



Why we need sequences?

Example

Below is a list of customers visited a shop (ordered by visiting time):

Tom, Mike, Jone, Jone, Mary

How to represent such a list in language Z?

- In a set, we do not care about the **order** among elements; however, in many applications, the order among elements is important
- **Multiple occurrences** of an element is not able to be recorded in a set



How to represent a sequence

Definition ($\langle \cdot \rangle$ -representation)

In language Z , a **sequence** (序列) is a **finite** enumerated collection of elements of the same type:

$$\langle a_1, a_2, \dots, a_n \rangle$$

In particular, we call it the **empty sequence** (denoted $\langle \rangle$) if $n = 0$.

Example

$\langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Jones}, \text{Mary} \rangle$

$\langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary} \rangle$

Example

$\langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary} \rangle \neq \langle \text{Mike}, \text{Tom}, \text{Jones}, \text{Mary} \rangle$

Alternative definition: Sequence as function

Definition (Sequence as function)

One can represent the sequence

$$\langle a_1, a_2, \dots, a_n \rangle$$

as $f : \mathbb{N}_1 \rightarrow \{a_1, a_2, \dots, a_n\}$, defined as bellows:

$$f == \{1 \mapsto a_1, 2 \mapsto a_2, \dots, n \mapsto a_n\}$$

Formally, in language Z, given a set X, a **sequence** on X is defined as a function $f : 1..n \rightarrow X$ for some natural number n.

In particular, we have

$$\langle \rangle = \emptyset$$

Example

$\langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary} \rangle = \{1 \mapsto \text{Tom}, 2 \mapsto \text{Mike}, 3 \mapsto \text{Jones}, 4 \mapsto \text{Mary}\}.$

Declaration of a sequence

Definition (Notations)

Given a set X , let

$\text{seq } X$

denote the set of all sequences on X .

Furthermore, we use

$s : \text{seq } X$

to declare that s is a sequence on X .

Definition

$$\begin{aligned}\text{seq } X &== \{f : \mathbb{N} \rightarrow X \mid \text{dom } f = 1..\#f\}. \\ \text{seq}_1 X &== \{f : \text{seq } X \mid \#f > 0\} \\ \text{iseq } X &== \text{seq } X \cap (\mathbb{N} \rightarrow X).\end{aligned}$$

More notations

Definition

Given a sequence s and suppose $i \in \text{dom } s$, let $s\ i$ denote the i -th element of s .

Example

Let $\text{customers} == \langle \text{Tom}, \text{Mike}, \text{Jone}, \text{Mary} \rangle$. Then $\text{customers } 2 = \text{Mike}$.

Definition (Length of a sequence)

Let s be a sequence. Then the **length** (长度) of s is defined as the number of elements of s , i.e., $\#s$.

Example

$$\begin{aligned}\#\langle \text{Tom}, \text{Mike}, \text{Jone}, \text{Mary} \rangle &= 4, \\ \#\langle \text{Tom}, \text{Mike}, \text{Jone}, \text{Jone}, \text{Mary} \rangle &= 5.\end{aligned}$$

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Concatenation (连接)

Example

$\text{record} = \langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary} \rangle$
 $\text{newrecord} = \langle \text{Alice}, \text{Bob} \rangle$
 $\text{record} \hat{\ } \text{newrecord} = \langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary}, \text{Alice}, \text{Bob} \rangle$

Definition (Concatenation)

Let $s, t : \text{seq } X$. The **concatenation** of s and t , denoted $s \hat{\ } t$, is a sequence on X obtained by chaining together s and t .

Formally, $s \hat{\ } t$ is a sequence on X of length $\#s + \#t$ such that

$$(s \hat{\ } t)_i = \begin{cases} s_i & \text{if } i \in 1.. \#s \\ t(i - \#s) & \text{if } i \in (\#s + 1)..(\#s + \#t) \end{cases}$$

Definition (Alternative definition)

$$s \hat{\ } t == s \cup (\lambda n : \mathbb{N} \mid n > \#s \bullet n - \#s) \circ t.$$

Reverse (逆置)

Example

record = $\langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Mary} \rangle$
rev record = $\langle \text{Mary}, \text{Jones}, \text{Mike}, \text{Tom} \rangle$

Definition (Reverse)

Let $s : \text{seq } X$. The **reverse** of s , denoted **rev** s , is a sequence on X obtained from s by reversing the order of elements in s .

Formally, $\text{rev } s$ is a sequence on X of length $\#s$ such that

$$(\text{rev } s)_i = s_{(\#s - i + 1)}.$$

- rev is a total function from $\text{seq } X$ to $\text{seq } X$.



Concatenation and reverse: Generic definitions

\frown : Concatenation
rev: Reverse

Definition

$[X]$

$-\frown- : \text{seq } X \times \text{seq } X \rightarrow \text{seq } X$

$\text{rev} : \text{seq } X \rightarrow \text{seq } X$

$\forall s, t : \text{seq } X \bullet s \frown t = s \cup \{ n : \text{dom } t \bullet n + \#s \mapsto t(n) \}$

$\forall s : \text{seq } X \bullet \text{rev } s = \{ n : \mathbb{N} \mid n \in \text{dom } s \bullet (n, s(\#s - n + 1)) \}$



Properties of concatenation and reverse

Let $s, t, u : \text{seq } X$. Then we have

$$① (s \frown t) \frown u = s \frown (t \frown u)$$

$$② \langle \rangle \frown s = s$$

$$③ s \frown \langle \rangle = s$$

$$④ \#(s \frown t) = \#s + \#t$$

$$⑤ \text{rev } \langle \rangle = \langle \rangle$$

$$⑥ \text{rev } \langle x \rangle = \langle x \rangle$$

$$⑦ \text{rev}(s \frown t) = (\text{rev } t) \frown (\text{rev } s)$$

$$⑧ \text{rev}(\text{rev } s) = s$$



head, last, tail and front

Example

$$\text{head}\langle a, b, c \rangle = a$$

$$\text{tail}\langle a, b, c \rangle = \langle b, c \rangle$$

$$\text{last}\langle a, b, c \rangle = c$$

$$\text{front}\langle a, b, c \rangle = \langle a, b \rangle$$

Definition

Let $s : \text{seq}_1 X$. We define

- head s : the **first element** of s
- tail s : the sequence obtained from s by removing the first element
- front s : the sequence obtained from s by removing the last element
- last s : the **last element** of s

head, last, tail, front: Generic definitions

head, last
tail, front

Definition (head, last, tail, front)

$$[X]$$

$$\text{head, last} : \text{seq}_1 X \rightarrow X$$

$$\text{tail, front} : \text{seq}_1 X \rightarrow \text{seq } X$$

$$\forall s : \text{seq}_1 X \bullet$$

$$\text{head } s = s \ 1 \wedge$$

$$\text{last } s = s \ \#s \wedge$$

$$\text{tail } s = \{n : \mathbb{N} \mid n \in 1 \dots (\#s - 1) \bullet n \mapsto s \ (n + 1)\} \wedge$$

$$\text{front } s = 1 \dots (\#s - 1) \triangleleft s$$

Properties of head, last, tail and front

Let $s, t : \text{seq } X$. Then we have

- ① $\text{head } \langle x \rangle = \text{last } \langle x \rangle = x$
- ② $\text{tail } \langle x \rangle = \text{front } \langle x \rangle = \langle \rangle$
- ③ $s \neq \langle \rangle \Rightarrow \text{head}(s \frown t) = \text{head } s \wedge \text{tail}(s \frown t) = (\text{tail } s) \frown t$
- ④ $t \neq \langle \rangle \Rightarrow \text{last}(s \frown t) = \text{last } t \wedge \text{front}(s \frown t) = s \frown (\text{front } t)$
- ⑤ $s \neq \langle \rangle \Rightarrow \langle \text{head } s \rangle \frown (\text{tail } s) = s$
- ⑥ $s \neq \langle \rangle \Rightarrow (\text{front } s) \frown \langle \text{last } s \rangle = s$
- ⑦ $s \neq \langle \rangle \Rightarrow \text{head}(\text{rev } s) = \text{last } s \wedge \text{tail}(\text{rev } s) = \text{rev}(\text{front } s)$
- ⑧ $s \neq \langle \rangle \Rightarrow \text{last}(\text{rev } s) = \text{head } s \wedge \text{front}(\text{rev } s) = \text{rev}(\text{tail } s)$



Squash (压缩)

Example

$$\begin{aligned}s &== \{1 \mapsto x, 2 \mapsto y, 3 \mapsto z, 4 \mapsto y, 5 \mapsto y, 6 \mapsto x\} = \langle x, y, z, y, y, x \rangle \\4..6 \triangleleft s &= \{4 \mapsto y, 5 \mapsto y, 6 \mapsto x\} \\ \text{squash}(4..6 \triangleleft s) &= \{1 \mapsto y, 2 \mapsto y, 3 \mapsto x\} = \langle y, y, x \rangle\end{aligned}$$

- The operator squash converts every finite injective partial function $f : \mathbb{N}_1 \mapsto X$ into a sequence on X .



Squash: Generic definition

Definition (squash)

 $[X]$ $\text{squash} : (\mathbb{N}_1 \rightsquigarrow X) \rightarrow \text{seq } X$ $\text{squash}\{\} = \langle \rangle$

$$\forall f : \mathbb{N}_1 \rightsquigarrow X; i : \mathbb{N}_1 \mid (\exists n : \mathbb{N}_1 \bullet \#f = n) \wedge i = \min(\text{dom } f) \bullet$$

$$\text{squash } f = \langle fi \rangle \frown \text{squash}(\{i\} \triangleleft f)$$

- where $\min(\text{dom } f)$ denotes the minimum number in $\text{dom } f$.



Squash: Examples

Example

$$\begin{aligned}\text{squash } \{\} &= \langle \rangle \\ \text{squash } \{3 \mapsto x, 6 \mapsto y, 10 \mapsto x\} &= \langle x, y, x \rangle \\ \text{squash } \langle x, x, y \rangle &= \langle x, x, y \rangle\end{aligned}$$



$$\text{squash } f = \langle f i \rangle \frown \text{squash } (\{i\} \triangleleft f)$$

Example

Let $f == \{2 \mapsto x, 4 \mapsto y\}$. Then we have

$$\begin{aligned} \text{squash } f &= \text{squash } \{2 \mapsto x, 4 \mapsto y\} \\ &= \langle x \rangle \frown \text{squash } (\{2\} \triangleleft \{2 \mapsto x, 4 \mapsto y\}) \\ &= \langle x \rangle \frown \text{squash } \{4 \mapsto y\} \\ &= \langle x \rangle \frown (\langle y \rangle \frown \text{squash } (\{4\} \triangleleft \{4 \mapsto y\})) \\ &= \langle x \rangle \frown (\langle y \rangle \frown \text{squash } (\{\})) \\ &= \langle x \rangle \frown (\langle y \rangle \frown \langle \rangle) \\ &= \langle x \rangle \frown \langle y \rangle \\ &= \langle x, y \rangle \end{aligned}$$



Extraction (抽取)

Example

$$\begin{aligned}\{1, 3\} \upharpoonright \langle a, b, c \rangle &= \langle a, c \rangle \\ \{3, 4\} \upharpoonright \langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Jones}, \text{Mary} \rangle &= \langle \text{Jones}, \text{Jones} \rangle\end{aligned}$$

Definition (Extraction)

Let $s : \text{seq } X$ and $I \subseteq \text{dom } s$. Then the **extraction** of s by I , denoted $I \upharpoonright s$, is defined as the sequence on X obtained from s by removing all elements at positions $i \in \text{dom } s \setminus I$.

Definition (Alternative definition)

$$I \upharpoonright s == \text{squash}(I \triangleleft s).$$



Filter (过滤)

Example

$$\begin{aligned}\langle a, b, c \rangle \upharpoonright \{a, c\} &= \langle a, c \rangle \\ \langle \text{Tom}, \text{Mike}, \text{Jones}, \text{Jones}, \text{Mary} \rangle \upharpoonright \{\text{Tom}, \text{Jones}\} &= \langle \text{Tom}, \text{Jones}, \text{Jones} \rangle\end{aligned}$$

Definition (Filter)

Let $s : \text{seq } X$ and $V \subseteq X$. Then the **filtered sequence** of s by V , denoted $s \upharpoonright V$, is defined as the sequence on X obtained from s by removing all elements $e \in X \setminus V$.

Definition (Alternative definition)

$$s \upharpoonright V == \text{squash}(s \triangleright V).$$



Extraction and Filter: Generic definitions

\downarrow : Extraction
 \uparrow : Filter

Definition

$$\begin{array}{l}
 \text{---} [X] \text{---} \\
 \text{---} \downarrow \text{---} : \mathbb{P} \mathbb{N}_1 \times \text{seq } X \rightarrow \text{seq } X \\
 \text{---} \uparrow \text{---} : \text{seq } X \times \mathbb{P} X \rightarrow \text{seq } X \\
 \hline
 \forall I : \mathbb{P} \mathbb{N}_1; s : \text{seq } X \bullet I \downarrow s = \text{squash } (I \triangleleft s) \\
 \forall s : \text{seq } X; V : \mathbb{P} X \bullet s \uparrow V = \text{squash } (s \triangleright V)
 \end{array}$$



Properties of Extraction and Filter

Let $s, t : \text{seq } X$, $I \subseteq \text{dom } s$ and $V, W : \mathbb{P} X$. Then we have

- ① $\langle \rangle \upharpoonright V = I \upharpoonright \langle \rangle = \langle \rangle$
- ② $(s \frown t) \upharpoonright V = (s \upharpoonright V) \frown (t \upharpoonright V)$
- ③ $\text{ran } s \subseteq V \Leftrightarrow s \upharpoonright V = s$
- ④ $s \upharpoonright \emptyset = \emptyset \upharpoonright s = \langle \rangle$
- ⑤ $\#(s \upharpoonright V) \leq \#s$
- ⑥ $(s \upharpoonright V) \upharpoonright W = s \upharpoonright (V \cap W)$



Distributed catenation (分布连接) / flattening (平展)

Example

$$s == \langle \langle a, b, c \rangle, \langle d, e, f, g \rangle, \langle h, i \rangle \rangle$$
$$\hat{\cdot} / s = \langle a, b, c, d, e, f, g, h, i \rangle$$

Definition

Let $s : \text{seq}(\text{seq } X)$. Then the **distributed catenation** of s , denoted $\hat{\cdot} / s$, is defined as the sequence on X consisting of the constituent sequences of s concatenated in order.



Distributed catenation / flattening: Generic definition

Definition

 $[X]$ $\frown / : \text{seq}(\text{seq } X) \rightarrow \text{seq } X$ $\frown / \langle \rangle = \langle \rangle$ $\forall s : \text{seq } X \bullet \frown / \langle s \rangle = s$ $\forall q, r : \text{seq}(\text{seq } X) \bullet \frown / (q \frown r) = (\frown / q) \frown (\frown / r)$ 

Distributed catenation / flattening: Examples

Example

$$\frown / \langle \langle a, b, c \rangle, \langle d, e \rangle \rangle = \langle a, b, c, d, e \rangle$$

$$\frown / \langle \langle \rangle, \langle \rangle, \langle \rangle, \langle \rangle \rangle = \langle \rangle$$

$$\frown / \langle \langle a \rangle, \langle a \rangle, \langle a \rangle \rangle = \langle a, a, a \rangle$$

$$\frown / \langle \langle \langle a, b, c \rangle \rangle, \langle \langle d, e \rangle \rangle, \langle \langle f, g, h \rangle \rangle, \langle \langle i, j, k \rangle \rangle \rangle = \\ \langle \langle a, b, c \rangle, \langle d, e \rangle, \langle f, g, h \rangle, \langle i, j, k \rangle \rangle$$



Exercises

- ④ What are the function representations of the following sequences?
 - ① $\langle 1, 1, 1 \rangle$;
 - ② $\langle 3, 2, 1 \rangle$;
 - ③ $\langle \{\text{Ben}, \text{Kate}\}, \{\text{Alice}, \text{Mike}\} \rangle$.
- ② What are the $\langle \cdot \rangle$ -representations of the following sequences?
 - ① $\{1 \mapsto \text{Kate}, 2 \mapsto \text{Kate}\}$;
 - ② $\{3 \mapsto \text{Alice}, 1 \mapsto \text{Alice}, 4 \mapsto \text{Mike}, 2 \mapsto \text{Mike}\}$;
 - ③ $\{x : \mathbb{N} \mid 0 < x < 5 \bullet x \mapsto x^2\}$.
- ⑤ Let $s == \langle \text{Ben} \rangle$ and $t == \langle \text{Kate}, \text{Alice}, \text{Mike} \rangle$. What are the sequences defined by the following expressions?
 - ① $(\text{tail } t) \hat{\ } s$;
 - ② $\langle \text{last } t \rangle \hat{\ } (\text{front } t)$.
- ④ Let $s, t : \text{seq } X$. Prove $\text{rev}(s \hat{\ } t) = (\text{rev } t) \hat{\ } (\text{rev } s)$.

Prove $\text{revs} \hat{\ } t = (\text{rev } t) \hat{\ } (\text{rev } s)$.

Proof.

By definition, we know that

$$(\text{rev } s)_i = s_{(\#s - i + 1)}.$$

For $1 \leq i \leq \#s + \#t$, we have $(\text{rev } s \hat{\ } t)_i = s \hat{\ } t_{(\#s + \#t - i + 1)}$.

Case 1 ($1 \leq i \leq \#t$):

$$(\text{rev } s \hat{\ } t)_i = s \hat{\ } t_{(\#s + \#t - i + 1)} = t_{(\#t - i + 1)} = (\text{rev } t)_i = (\text{rev } t) \hat{\ } (\text{rev } s)_i.$$

Case 2 ($\#t < i \leq \#s + \#t$):

$$\begin{aligned} (\text{rev } s \hat{\ } t)_i &= s \hat{\ } t_{(\#s + \#t - i + 1)} = s_{(\#s + \#t - i + 1)} = \\ &= (\text{rev } s)_{(\#s - (\#s + \#t - i + 1) + 1)} = (\text{rev } s)_{(i - \#t)} = \\ &= (\text{rev } t) \hat{\ } (\text{rev } s)_{(i - \#t + \#t)} = (\text{rev } t) \hat{\ } (\text{rev } s)_i. \end{aligned}$$

