

Formal Methods (形式化方法)

Lecture 14. Reasoning about Specifications

智能与计算学部 章衡

2021年上学期



Motivation

Features of Z notation

- By using Z notations one can define the specification precisely, which could reduce the misunderstandings in requirement analyses largely



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What can be done by reasoning

- How to assure the specification admitting a desired property?
- How to know whether a program meets the requirements stated in the specification?



Outline

- 1 Introduction by Example
- 2 Rigorous Proofs
- 3 Reasoning about Specifications



Example: Hobby club

- Basic type:

[Person]



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$$\Delta \text{HoClub} \quad \hat{=} \quad \text{HoClub} \wedge \text{HoClub}'$$

$$\Xi \text{HoClub} \quad \hat{=} \quad \Delta \text{HoClub} \mid s' = s$$



Example: Hobby club

EnterClub

ΔHoClub

$p? : \text{Person}$

$\#s < \text{Max}$

$p? \notin s$

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From $p? \notin s$, we know that $(s \cup \{p?\}) \setminus \{p?\} = s$. Consequently,



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- They believe that every rigorous proof can be converted into a formal proof
- In a rigorous proof, one is allowed to use **the properties in set theory** and **number theory**, as well as **the method of induction**



Method of induction

Definition (Mathematical induction, 数学归纳法)

To prove “for every natural number n it holds that $P(n)$ ”, it suffices to prove both of the following:

- 1 $P(0)$ holds;
- 2 $\forall i : \mathbb{N} \bullet (P(i) \Rightarrow P(i + 1))$.

Definition (Structural induction, 结构归纳法)

To prove “for every sequence $s : \text{seq } X$ it holds that $P(s)$ ”, it suffices to prove both of the following:

- 1 $P(\langle \rangle)$ holds;
- 2 $\forall x : X; s : \text{seq } X \bullet (P(s) \Rightarrow P(\langle x \rangle \frown s))$.

Method of induction: Example 1

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Please prove that, for all sequences $s, t, u : \text{seq } X$, we have

$$s \frown (t \frown u) = (s \frown t) \frown u.$$



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which completes the proof. □

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Base case: $\text{rev}(\langle \rangle \frown t) = \text{rev } t = (\text{rev } t) \frown \langle \rangle = (\text{rev } t) \frown \text{rev } \langle \rangle$.

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which completes the proof. □

Exercise

Prove the following by induction: for every sequence s , we have that $\text{rev}(\text{rev } s) = s$.



Outline

- 1 Introduction by Example
- 2 Rigorous Proofs
- 3 Reasoning about Specifications



Example: Fan ID management

- Basic types:

[Person, ID]



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FID

members : ID \mapsto Person

banned : \mathbb{P} ID

banned \subseteq dom members



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$\text{banned}' \subseteq \text{dom members}'$



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The initialization theorem (初始化定理)

- Operational schemas: Initialization

InitFID

FID'

members' = \emptyset

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The initialization theorem (初始化定理)

- Operational schemas: Initialization

InitFID	
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members' = \emptyset	
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- The initialization theorem:** $\models \exists \text{FID}' \bullet \text{InitFID}$

The above is an abbreviation of the following theorem:

$$\models \exists \text{members}' : \text{Person} \rightarrow \text{ID}; \text{banned}' : \mathbb{P} \text{ID} \bullet$$

$$(\text{banned}' \subseteq \text{dom members}' \wedge \text{members}' = \emptyset \wedge \text{banned}' = \emptyset)$$



Prove the initialization theorem

$$\models \exists \text{members}' : \text{Person} \multimap \text{ID}; \text{banned}' : \mathbb{P} \text{ID} \bullet$$
$$(\text{banned}' \subseteq \text{dom members}' \wedge \text{members}' = \emptyset \wedge \text{banned}' = \emptyset) \quad (1)$$



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1-point rule (bidirection)

$$\frac{\Sigma \models \exists x : S \bullet (\varphi \wedge x = t)}{\Sigma \models t \in S \wedge \varphi[t/x]} \quad [1\text{-point}] \quad \langle x \text{ does not occur in } t \rangle$$



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- By applying the above rule, (1) can be simplified as

$$\models \emptyset \in \text{Person} \leftrightarrow \text{ID} \wedge \emptyset \in \mathbb{P} \text{ID} \wedge \emptyset \subseteq \text{dom } \emptyset \quad (2)$$



Prove the initialization theorem

$$\models \exists \text{members}' : \text{Person} \leftrightarrow \text{ID}; \text{banned}' : \mathbb{P} \text{ID} \bullet$$

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- To prove this, it is equivalent to prove all of the following:

$$\models \emptyset \in \text{Person} \leftrightarrow \text{ID},$$

$$\models \emptyset \in \mathbb{P} \text{ID},$$

$$\models \emptyset \subseteq \text{dom } \emptyset.$$



Precondition of an operation

AddMember

Δ FID

applicant? : Person

id! : ID

applicant? \notin ran members

id! \notin dom members

members' = members \cup {id! \mapsto applicant?}

banned' = banned



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- We need to know when the operation can be executed.



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- We need to know when the operation can be executed.
- If such a condition is not true, we need to report an error.



Precondition of an operation

PreAddMember

FID

applicant? : Person

$\exists \text{FID}'; \text{id!} : \text{ID} \bullet$

$(\text{applicant?} \notin \text{ran members} \wedge$

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- Unfolding the predicate of the above schema, we have

$\exists \text{members}' : \text{ID} \rightrightarrows \text{Person}; \text{banned}' : \mathbb{P} \text{ID}; \text{id!} : \text{ID} \bullet$

$(\text{banned}' \subseteq \text{dom members}' \wedge \text{applicant?} \notin \text{ran members} \wedge$

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Simplification of precondition

Most often used rules for precondition simplification

$$\frac{\Sigma \models \exists x : S \bullet (\varphi \wedge x = t)}{\Sigma \models t \in S \wedge \varphi[t/x]} \quad [1\text{-point}] \quad \langle x \text{ does not occur in } t \rangle$$

$$\frac{\Sigma \models \varphi \wedge \psi}{\Sigma \models \varphi} \quad [\wedge] \quad \langle \Sigma, \varphi \models \psi \rangle$$

$$\frac{\Sigma \models \varphi}{\Sigma \models \varphi'} \quad [=] \quad \langle \Sigma \models t_1 = t_2 \text{ and } \varphi' \text{ is obtained from } \varphi \text{ by substituting } t_2 \text{ for some occurrence of } t_1 \rangle$$



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$\exists \text{members}' : \text{ID} \multimap \text{Person}; \text{banned}' : \mathbb{P} \text{ID}; \text{id}! : \text{ID} \bullet$

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- By applying 1-point rule for variable banned' , (3) can be simplified as

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Simplification of precondition

$\exists \text{ members}' : \text{ID} \rightsquigarrow \text{Person}; \text{banned}' : \mathbb{P} \text{ID}; \text{id}! : \text{ID} \bullet$

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- By applying 1-point rule for variable $\text{members}'$, (4) can be simplified as

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- By the declaration $\text{banned} : \mathbb{P} \text{ID}$ we know $\text{banned} \in \mathbb{P} \text{ID}$. Consequently, (6) can be equivalently rewritten as

$$\begin{aligned} \exists \text{id!} : \text{ID} \bullet & (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \\ & \text{id!} \notin \text{dom members} \wedge \text{members} \cup \{\text{id!} \mapsto \text{applicant?}\} \in \text{ID} \rightsquigarrow \text{Person}) \end{aligned} \quad (7)$$



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- By $\text{members} : \text{ID} \rightsquigarrow \text{Person}$; $\text{id!} : \text{ID}$; $\text{applicant?} : \text{Person}$ and $\text{id!} \notin \text{dom members}$, we have that $\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\} \in \text{ID} \rightsquigarrow \text{Person}$. By $\text{applicant?} \notin \text{ran members}$, we obtain that $\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\} \in \text{ID} \rightsquigarrow \text{Person}$. Thus, (7) can be simplified as

$$\begin{aligned} \exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \\ \text{id!} \notin \text{dom members}) \end{aligned} \quad (8)$$



Simplification of precondition

$$\begin{aligned} \exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \\ \text{id!} \notin \text{dom members}) \end{aligned} \quad (9)$$



Simplification of precondition

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (9)$$

- By properties $\text{dom}(A \cup B) = \text{dom } A \cup \text{dom } B$ and $\text{dom}\{\text{id!} \mapsto \text{applicant?}\} = \{\text{id!}\}$, we conclude that $\text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) = (\text{dom members}) \cup \{\text{id!}\}$. Thus, (9) can be simplified as

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq (\text{dom members}) \cup \{\text{id!}\} \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (10)$$



Simplification of precondition

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (9)$$

- By properties $\text{dom}(A \cup B) = \text{dom } A \cup \text{dom } B$ and $\text{dom}\{\text{id!} \mapsto \text{applicant?}\} = \{\text{id!}\}$, we conclude that $\text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) = (\text{dom members}) \cup \{\text{id!}\}$. Thus, (9) can be simplified as

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- By the definition of FID we know that $\text{banned} \subseteq \text{dom members}$. Thus, (10) can be simplified as

$$\exists \text{id!} : \text{ID} \bullet (\text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members})$$



Simplification of precondition

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (9)$$

- By properties $\text{dom}(A \cup B) = \text{dom } A \cup \text{dom } B$ and $\text{dom}\{\text{id!} \mapsto \text{applicant?}\} = \{\text{id!}\}$, we conclude that $\text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) = (\text{dom members}) \cup \{\text{id!}\}$. Thus, (9) can be simplified as

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq (\text{dom members}) \cup \{\text{id!}\} \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (10)$$

- By the definition of FID we know that $\text{banned} \subseteq \text{dom members}$. Thus, (10) can be simplified as

$$\begin{aligned} & \exists \text{id!} : \text{ID} \bullet (\text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \\ \equiv & \text{applicant?} \notin \text{ran members} \wedge \exists \text{id!} : \text{ID} \bullet \text{id!} \notin \text{dom members} \end{aligned} \quad (11)$$



Simplification of precondition

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq \text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (9)$$

- By properties $\text{dom}(A \cup B) = \text{dom } A \cup \text{dom } B$ and $\text{dom}\{\text{id!} \mapsto \text{applicant?}\} = \{\text{id!}\}$, we conclude that $\text{dom}(\text{members} \cup \{\text{id!} \mapsto \text{applicant?}\}) = (\text{dom members}) \cup \{\text{id!}\}$. Thus, (9) can be simplified as

$$\exists \text{id!} : \text{ID} \bullet (\text{banned} \subseteq (\text{dom members}) \cup \{\text{id!}\} \wedge \text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (10)$$

- By the definition of FID we know that $\text{banned} \subseteq \text{dom members}$. Thus, (10) can be simplified as

$$\exists \text{id!} : \text{ID} \bullet (\text{applicant?} \notin \text{ran members} \wedge \text{id!} \notin \text{dom members}) \quad (11)$$

$$\equiv \text{applicant?} \notin \text{ran members} \wedge \exists \text{id!} : \text{ID} \bullet \text{id!} \notin \text{dom members} \quad (12)$$

$$\equiv \text{applicant?} \notin \text{ran members} \wedge \text{dom members} \neq \text{ID} \quad (13)$$



Simplification of precondition

PreAddMember

FID

applicant? : Person

applicant? \notin ran members

dom members \neq ID

Simplified precondition schema PreAddMember

