

# MAT354 Lecture Notes

ARKY!! :3C

'25 Fall Semester

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## §1 Day 1: Recap of Preliminaries (Sep. 2, 2025)

We start by discussing the complex plane and complex numbers. Given  $z \in \mathbb{C}$ , we say that  $\Re(z)$  and  $\Im(z)$  are the real and imaginary parts of  $z$  respectively, i.e.,  $z = x + iy$ .  $\mathbb{C}$  is the set of all complex numbers. In this manner, we may identify  $z = x + iy$  with  $(x, y) \in \mathbb{R}^2$  using the standard complex plane.

- (a) The complex *conjugate* of  $z$  is given by  $\bar{z} = x - iy$ , where we have that

$$\Re(z) = \frac{z + \bar{z}}{2}, \quad \Im(z) = \frac{z - \bar{z}}{2i}.$$

- (b) We now define addition and multiplication for the complex numbers. For all  $z_1 = x_1 + iy_1$  and  $z_2 = x_2 + iy_2$ , we have that

$$\begin{aligned} z_1 + z_2 &= (x_1 + x_2) + i(y_1 + y_2), \\ z_1 z_2 &= (x_1 + iy_1)(x_2 + iy_2) \\ &= x_1 x_2 + ix_1 y_2 + iy_1 x_2 + i^2 y_1 y_2 = (x_1 x_2 - y_1 y_2) + i(x_1 y_2 + y_1 x_2). \end{aligned}$$

We have that  $(\mathbb{C}, +, \times)$  is a field, with  $(\mathbb{R}, +, \times)$  as a subfield. To verify this, we need to check that it indeed satisfies:

- Commutativity: for all  $z_1, z_2 \in \mathbb{C}$ , we have that  $z_1 + z_2 = z_2 + z_1$  and  $z_1 z_2 = z_2 z_1$ .
  - Associativity: for all  $z_1, z_2, z_3 \in \mathbb{C}$ , we have that  $(z_1 + z_2) + z_3 = z_1 + (z_2 + z_3)$  and  $(z_1 z_2) z_3 = z_1 (z_2 z_3)$ .
  - Distributivity: for all  $z_1, z_2, z_3 \in \mathbb{C}$ , we have that  $z_1(z_2 + z_3) = z_1 z_2 + z_1 z_3$ .
- (c) The absolute value of a complex number  $z = x + iy$  is given by  $|z| = \sqrt{x^2 + y^2}$ . In particular, this yields the triangle inequality, where for any  $z, w \in \mathbb{C}$ , we have that  $|z + w| \leq |z| + |w|$ . The proof either comes visually or through explicit computation, both of which I will not write out here for brevity.<sup>1</sup>

As an extension of the inequality, we also automatically have that

$$|\Re z| \leq |z|, \quad |\Im z| \leq |z|,$$

and that for all  $z, w \in \mathbb{C}$ , we have

$$||z| - |w|| \leq |z - w|.$$

*Proof.* Using the triangle inequality, we have that

$$\begin{aligned} |z| &= |(z - w) + w| \leq |z - w| + |w|, \\ |w| &= |(w - z) + z| \leq |z - w| + |z|, \end{aligned}$$

of which both imply that  $|z| - |w| \leq |z - w|$  and  $|w| - |z| \leq |z - w|$ . □

For any  $z \in \mathbb{C}$ , we have that  $|z|^2 = z \cdot \bar{z}$ .

*Proof.* Write  $z = x + iy$ ; then  $|z|^2 = x^2 + y^2$ , where we may note that  $z \cdot \bar{z} = (x + iy)(x - iy)$  which yields the right hand side of the earlier equation through expansion. □

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<sup>1</sup>no full credit if you draw a picture on the exam lmao

Finally, for  $z, w \in \mathbb{C}$ , we have that  $|zw| = |z||w|$ . This is left as an exercise to the student.

- (d) The polar form of a nonzero complex number  $z \neq 0$  is given by  $z = \gamma e^{i\theta}$ , where  $\gamma > 0$  and  $\theta \in \mathbb{R}$ . Let us assume the Euler formula; for all  $\theta \in \mathbb{R}$ , we have that

$$e^{i\theta} = \cos \theta + i \sin \theta.$$

Let  $r = |z|$ ; we have that  $|z| = |re^{i\theta}| = |r| |e^{i\theta}| = r \cdot 1 = r$ .  $\theta$  is the angle between the positive real axis to the half-line starting from 0 and passing through  $z$ . In this manner,  $z = re^{i\theta} = |z|(\cos \theta + i \sin \theta) = |z| \cos \theta + i |z| \sin \theta$ , which means we have that

$$\Re z = |z| \cos \theta, \quad \Im z = |z| \sin \theta.$$

As an example, let us find all the complex numbers  $z$  such that  $z^4 = i$ . Since  $i = e^{i\frac{\pi}{2}}$ ,  $z = \rho e^{i\theta}$  satisfying  $z^4 = i$  becomes  $\rho^4 e^{i4\theta} = e^{i\frac{\pi}{2}}$ , meaning

$$\begin{cases} \rho^4 = 1, \\ 4\theta = \frac{\pi}{2} + 2k\pi, \quad k \in \mathbb{Z}. \end{cases}$$

This means  $\rho = 1$  and  $\theta = \frac{\pi}{8} + \frac{k\pi}{2}$ , where  $k \in \mathbb{Z}$ . Considering the cases  $k = 0, 1, 2, 3$  and observing that there are only 4 equivalence classes modulo 4 to consider, we have that

$$z_0 = e^{i\frac{\pi}{8}}, \quad z_1 = e^{i\frac{5\pi}{8}}, \quad z_2 = e^{i\frac{9\pi}{8}}, \quad z_3 = e^{i\frac{13\pi}{8}}.$$

We now discuss convergence. We say that a set of complex numbers  $\{z_n\}_{n \in \mathbb{N}}$  converges to  $w \in \mathbb{C}$  if  $\lim_{n \rightarrow \infty} |z_n - w| = 0$ . We write it as  $\lim_{n \rightarrow \infty} z_n = w$ . In the complex plane, the convergence can be in any direction.

**Lemma 1.1.**  $\{z_n\}_{n \in \mathbb{N}}$  converges to  $w$  if and only if  $\{\Re z_n\}_{n \in \mathbb{N}}$  converges to  $\Re w$  and  $\{\Im z_n\}_{n \in \mathbb{N}}$  converges to  $\Im w$ .

*Proof.* We have that

$$\begin{aligned} |z_n - w| &= |(\Re z_n - \Re w) + i(\Im z_n - \Im w)| \\ &\leq |\Re z_n - \Re w| + |\Im z_n - \Im w|, \end{aligned}$$

where as  $n \rightarrow \infty$ , we have that the right hand side is given by  $0 + 0$ . For the opposite direction, we have that  $|z| \geq |\Re z|$  or  $|\Im z|$ , so we have that

$$|\Re z_n - \Re w| = |\Re(z_n - w)| \leq |z_n - w|,$$

which approaches 0 as  $n \rightarrow \infty$ . The same argument goes for the imaginary portion.  $\square$

A sequence of complex numbers  $\{z_n\}_{n \in \mathbb{N}}$  is called *Cauchy* if  $|z_n - z_m| \rightarrow 0$  as  $n, m \rightarrow \infty$ . In  $\varepsilon - \delta$ , this means that for all  $\varepsilon > 0$ , there exists  $N \in \mathbb{N}$  such that  $|z_n - z_m| < \varepsilon$  for all  $n, m > N$ .

**Theorem 1.2** (Bolzano–Weierstrass Theorem).  $\mathbb{R}$  is *complete*, i.e., every Cauchy sequence of real numbers converges to a real number.

**Theorem 1.3.**  $\mathbb{C}$  is complete.

*Proof.* Take any Cauchy sequence of complex numbers  $\{z_n\}$ . Using the inequalities  $|\Re z| \leq |z|$  and  $|\Im z| \leq |z|$ , we have that  $\{\Re z_n\}$  and  $\{\Im z_n\}$  are Cauchy sequences of real numbers. By Bolzano–Weierstrass, we have that  $\Re z_n \rightarrow x_0 \in \mathbb{R}$  and  $\Im z_n \rightarrow y_0 \in \mathbb{R}$ . By the previous lemma, we actually have  $\lim_{n \rightarrow \infty} z_n = x_0 + iy_0$ .  $\square$

We now move onto topology in the complex plane. Given  $z_0 \in \mathbb{C}$  and  $r > 0$ , we can form an open or closed disc centered at  $z_0$  of radius  $r$ . We write both of these as

$$D_r(z_0) = \{z \in \mathbb{C} \mid |z - z_0| < r\},$$

$$\bar{D}_r(z_0) = \{z \in \mathbb{C} \mid |z - z_0| \leq r\},$$

Given a set  $\Omega \subseteq \mathbb{C}$ , a point  $z_0$  is an interior point if there exists  $r > 0$  such that  $D_r(z_0) \subseteq \Omega$ . The interior of  $\Omega$  is given by the set of all such interior points. In particular, the interior of  $\bar{D}_r(i)$  is  $D_r(i)$ .

A set  $\Omega$  is called *open* if every point in  $\Omega$  is an interior point.  $\Omega$  is called *closed* if the complement of  $\Omega$ ,  $\Omega^c = \mathbb{C} \setminus \Omega$ , is open. As an example, the open right half-plane  $\{z \in \mathbb{C} \mid \Re z > 0\}$  is open.

*Proof.* For any  $z \in \Omega$ , let  $z = x + iy$ , and take  $r = \frac{x}{2} = \frac{\Re z}{2}$ . Then we claim that  $D_r(z) \subseteq \Omega$ . For all  $w \in D_r(z)$ , we clearly have that

$$\Re w = \Re z - (\Re z - \Re w) \geq \Re z - |z - w| \geq \frac{\Re z}{2} > 0,$$

and so all such  $w \in \Omega$ , and we are done.  $\square$

A point  $z \in \mathbb{C}$  is a *limit point* of  $\Omega$  if there exists a sequence  $\{z_n\} \subset \Omega$  with  $z_n \neq z$  such that  $z_n \rightarrow z$ .

As an example, we define  $D$  to be the open unit disc centered at 0. 0 and 1 are both limit points of  $D$ , but 1 is not contained in  $D$  itself.<sup>2</sup> The *closure* of  $\Omega$ ,  $\bar{\Omega}$ , is given by  $\Omega$  unioned with all its limit points. The *boundary* of a set  $\Omega$ , written  $\partial\Omega$ , is given by  $\bar{\Omega} \setminus \text{int } \Omega$ . A set  $\Omega \subseteq \mathbb{C}$  is said to be compact if it is closed and bounded, i.e., there exists  $M > 0$  such that  $|z| \leq M$  for all  $z \in \Omega$ .

**Theorem 1.4.** A set  $\Omega \subseteq \mathbb{C}$  is compact if and only if every sequence  $\{z_n\} \subset \Omega$  has a subsequence that converges to a point in  $\Omega$ .

**Proposition 1.5.** If  $\Omega_1 \supset \Omega_2 \supset \dots \supset \Omega_n \supset \dots$  is a sequence of nonempty compact sets in  $\mathbb{C}$ , where  $\text{diam}(\Omega_n) = \sup_{z, w \in \Omega_n} |z - w| \rightarrow 0$  as  $n \rightarrow \infty$ , then there exists a unique  $w \in \mathbb{C}$  such that  $w \in \Omega_n$  for every  $n \in \mathbb{N}$ .

*Proof.* For each  $\Omega_n$ , pick a point  $z_n \in \Omega_n$ . Then  $\{z_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence because the diameter of  $\Omega_n$  approaches 0. By the Bolzano-Weierstrass theorem for complex numbers, this means that  $\{z_n\}_{n \in \mathbb{N}}$  indeed does converge to some  $w \in \mathbb{C}$ . In particular, we have  $w$  is the limit of the subsequence  $\{z_m\}_{m \geq n} \subseteq \Omega_n$ , where  $\Omega_n$  is compact, meaning the limit  $w$  should be in  $\Omega_n$ . This means there exists a unique  $w \in \mathbb{C}$  such that  $w \in \Omega_n$  for every  $n \in \mathbb{N}$ .

To show the uniqueness of  $w$ , we argue by contradiction; assume  $w' \neq w$  satisfies the property. Then  $|w' - w| > 0$ . Since  $w, w' \in \Omega_n$  for all  $n$ , this contradicts that  $\text{diam}(\Omega_n) \rightarrow 0$ .  $\square$

An open set  $\Omega$  is called *connected* if it is not possible to find two disjoint nonempty open sets  $\Omega_1$  and  $\Omega_2$  such that  $\Omega = \Omega_1 \cup \Omega_2$ . A connected open set in  $\mathbb{C}$  is called a *region*.

<sup>2</sup>hell is it disc or disk YKW LET'S COMPROMISE it's spelled disque actually (paint nails)

## §2 Day 2: Functions on the Complex Plane (Sep. 4, 2025)

Let  $f : \Omega \rightarrow \mathbb{C}$ , where  $\Omega$  is an open subset of  $\mathbb{C}$ . We say that  $f$  is continuous if at  $z_0 \in \Omega$  if, for all  $\varepsilon > 0$ , there exists an open disk  $D_\gamma(z_0)$  such that  $|f(z) - f(z_0)| < \varepsilon$  for all  $z \in D_\gamma(z_0)$ . In particular,  $f$  is said to be continuous on  $\Omega$  if it is continuous at every point in  $\Omega$ .

**Example 2.1.** Consider  $f : \mathbb{C} \rightarrow \mathbb{C}$  given by  $f(z) = \bar{z}$ . Show that  $f$  is continuous.

*Solution.* For all complex  $z, z_0$ , we have that  $|f(z) - f(z_0)| = |\bar{z} - \bar{z}_0| = |z - z_0|$ . Thus, we have that for any  $\varepsilon > 0$ , we obtain<sup>3</sup>

$$f(D_\varepsilon(z_0)) = D_\varepsilon(\bar{z}_0). \quad \square$$

We now discuss holomorphic functions (i.e., complex differentiable functions). We say that  $f : \Omega \rightarrow \mathbb{C}$  is *holomorphic* at  $z_0 \in \Omega$  if

$$\frac{f(z_0 + h) - f(z_0)}{h}, \quad h \in \mathbb{C} \setminus \{0\},$$

converges as  $h \rightarrow 0$ . If the limit exists, we let

$$f'(z_0) = \lim_{h \rightarrow 0} \frac{f(z_0 + h) - f(z_0)}{h}$$

be the derivative.

**Example 2.2.** Consider the exact same function as in the previous example,  $f(z) = \bar{z}$ . Is  $f$  holomorphic?

*Solution.* For all  $z_0 \in \mathbb{C}$  and  $h \in \mathbb{C} \setminus \{0\}$ , we have that

$$\frac{f(z_0 + h) - f(z_0)}{h} = \frac{\overline{z_0 + h} - \bar{z}_0}{h} = \frac{\bar{h}}{h} = \frac{\rho e^{-i\theta}}{\rho e^{i\theta}} = e^{-2i\theta}.$$

If we take  $h \rightarrow 0$  along the real line, we may let  $h = \rho$ , which means the fraction is equal to 1 as  $h \rightarrow 0$ . If we take  $\rho \rightarrow 0$  along the complex axis, however, then we have that  $h = \rho e^{i\pi/2}$ , where we obtain the fraction is equal to  $-1$  as  $\rho \rightarrow 0$ . Thus,  $f$  cannot be holomorphic.  $\square$

**Proposition 2.3.** Let  $\Omega$  be open in  $\mathbb{C}$ . If  $f, g$  are holomorphic on  $\Omega$ , then

- (i)  $f + g$  is holomorphic on  $\Omega$ , and  $(f + g)' = f' + g'$ .
- (ii)  $fg$  is holomorphic on  $\Omega$ , and  $(fg)' = f'g + fg'$ .
- (iii) If  $g(z_0) \neq 0$  where  $z_0 \in \Omega$ , then  $\frac{f}{g}$  is also holomorphic at  $z_0$ , where

$$\left(\frac{f}{g}\right)' = \frac{f'(z_0)g(z_0) - f(z_0)g'(z_0)}{g^2(z_0)}.$$

- (iv) If  $f : \Omega \rightarrow U$  and  $g : U \rightarrow \mathbb{C}$  are holomorphic, then  $g \circ f$  is also holomorphic, and we obtain the chain rule

$$(g \circ f)'(z) = g'(f(z))f'(z).$$

<sup>3</sup>note to self: ol is better than bar for this stuff...

We now discuss complex differentiability versus real differentiability. A holomorphic function  $f : \Omega \rightarrow \mathbb{C}$  can be identified with a function  $F : \Omega \rightarrow \mathbb{R}^2$  given by  $(x, y) \mapsto (u(x, y), v(x, y)) = (\Re f(x, y), \Im f(x, y))$ . Consider the partial derivative of  $F$  at  $(x_0, y_0)$ ; these exist if there exists some linear transformation  $J : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  such that

$$\frac{\|F(P_0 + H) - F(P_0) - J(H)\|}{\|H\|} \rightarrow 0$$

as  $H \rightarrow 0$ . Or, we may define  $\Psi(H)$  to take on the fraction above, and we see that  $F$  is indeed differentiable at  $P_0 = (x_0, y_0)$  if  $\Psi(H) \rightarrow 0$  as  $H \rightarrow 0$ . We now deal with complex differentiability. Suppose  $f : \Omega \rightarrow \mathbb{C}$  is holomorphic at  $z_0 = x_0 + iy_0$ . Then we have partial derivatives

$$\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial v}{\partial x}, \frac{\partial v}{\partial y}.$$

Naturally,

$$f'(z_0) = \lim_{h \rightarrow 0} \frac{f(z_0 + h) - f(z_0)}{h}$$

along any path; in particular, we take  $h \in \mathbb{R} \setminus \{0\}$  and observe that

$$\begin{aligned} f'(z_0) &= \lim_{h \rightarrow 0} \frac{f(z_0 + h) - f(z_0)}{h} \\ &= \lim_{h \rightarrow 0} \frac{u(x_0 + h, y_0) + iv(x_0 + h, y_0) - u(x_0, y_0) - iv(x_0, y_0)}{h} \\ &= \frac{\partial u}{\partial x}(x_0, y_0) + i \frac{\partial v}{\partial x}(x_0, y_0), \end{aligned}$$

and so both exist, and they are  $\Re f'(z_0)$  and  $\Im f'(z_0)$  respectively. Similarly, we may take  $h = ik$  where  $k \in \mathbb{R} \setminus \{0\}$  and obtain

$$\begin{aligned} f'(z_0) &= \lim_{h \rightarrow 0} \frac{f(z_0 + h) - f(z_0)}{h} \\ &= \lim_{k \rightarrow 0} \frac{u(x_0, y_0 + k) + iv(x_0, y_0 + k) - u(x_0, y_0) - iv(x_0, y_0)}{ik} \\ &= \lim_{k \rightarrow 0} \frac{-i(u(x_0, y_0 + k) - u(x_0, y_0)) + v(x_0, y_0 + k) - v(x_0, y_0)}{k} \\ &= \frac{\partial v}{\partial y}(x_0, y_0) - i \frac{\partial u}{\partial y}(x_0, y_0), \end{aligned}$$

and so both partials also exist and they are  $\Re f'(z_0)$  and  $-\Im f'(z_0)$  respectively.

### §3 Day 3: Holomorphic Functions and Power Series (Sep. 9, 2025)

Let  $f : \Omega \rightarrow \mathbb{C}$  (where  $\Omega$  is an open set in  $\mathbb{C}$ ). We say that  $f$  is holomorphic at  $z_0$  if

$$\lim_{h \rightarrow 0} \frac{f(z_0 + h) - f(z_0)}{h}, \quad h \in \mathbb{C} \setminus \{0\}$$

exists. Recall that  $\mathbb{C}$  can be identified with  $\mathbb{R}^2$  by considering any  $z = x + iy \in \mathbb{C}$  as a tuple  $(x, y) \in \mathbb{R}^2$ . In this way, given a function  $f : \Omega \rightarrow \mathbb{C}$ , we can define  $F : \Omega \rightarrow \mathbb{R}^2$ , where  $F : (x, y) \mapsto (u(x, y), v(x, y))$ , given by  $u = \Re f$  and  $v = \Im f$ .

**Proposition 3.1.** If  $f = u + iv$  is holomorphic at  $z_0 = x_0 + iy_0$ , then we have that all four partial derivatives

$$\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial v}{\partial x}, \frac{\partial v}{\partial y}$$

exist and they satisfy the Cauchy–Riemann equations

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} = \Re f(z_0), \quad \frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x} = \Im f(z_0).$$

We also have that  $F$  is differentiable at  $P_0 = (x_0, y_0)$ .

**Definition 3.2.** We say that  $F$  is differentiable at  $P_0$  if there exists a linear transformation (the derivative)  $J = J_F(x_0, y_0) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  such that

$$\lim_{H \rightarrow 0} \frac{\|F(P_0 + H) - F(P_0) - J(H)\|}{\|H\|} = 0.$$

Before we discuss the complex definition, let us recall another property of real differentiability; if  $F$  is differentiable at  $P_0 = (x_0, y_0)$ , then all four partial derivatives exist, and

$$J = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix}$$

is called the Jacobian matrix of  $F$  at  $(x_0, y_0)$ . To see this, consider the association  $P_0 = (x_0, y_0)$  with  $z_0 = x_0 + iy_0$ , and  $H = (h_1, h_2)$  with  $h = h_1 + ih_2$ ; then we have that

$$\begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix} \begin{pmatrix} h_1 \\ h_2 \end{pmatrix} = \begin{pmatrix} \frac{\partial u}{\partial x} h_1 + \frac{\partial u}{\partial y} h_2 \\ \frac{\partial v}{\partial x} h_1 + \frac{\partial v}{\partial y} h_2 \end{pmatrix}.$$

This is a vector in  $\mathbb{R}^2$ , which we may associate with the complex number

$$\left( \frac{\partial u}{\partial x} h_1 + \frac{\partial u}{\partial y} h_2 \right) + i \left( \frac{\partial v}{\partial x} h_1 + \frac{\partial v}{\partial y} h_2 \right) = \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} \right) h_1 + \left( \frac{\partial u}{\partial y} + i \frac{\partial v}{\partial y} \right) h_2,$$

which, by the Cauchy–Riemann equations, we obtain

$$\left( \frac{\partial u}{\partial x} - i \frac{\partial u}{\partial y} \right) h_1 + i \left( \frac{\partial u}{\partial y} + \frac{\partial u}{\partial x} \right) h_2 = \left( \frac{\partial u}{\partial x} - i \frac{\partial u}{\partial y} \right) (h_1 + ih_2),$$

which is precisely equal to  $f(z_0 + h) - f(z_0) - f'(z_0)h$ . In particular,

$$\lim_{h \rightarrow 0} \left| \frac{f(z_0 + h) - f(z_0) - f'(z_0)h}{h} \right| = \lim_{h \rightarrow 0} \left| \frac{f(z_0 + h) - f(z_0)}{h} - f'(z_0) \right| = 0.$$

Similarly, per the definition of the Jacobian, we must have

$$\lim_{H \rightarrow 0} \frac{\|F(P_0 + H) - F(P_0) - J(H)\|}{\|H\|} = 0,$$

and this concludes the proof of proposition 3.1. □



**Theorem 3.3.** Suppose  $f = u + iv$  is a complex-valued function defined on an open set  $\Omega \subset \mathbb{C}$ . If  $u, v : \Omega \rightarrow \mathbb{R}$ , are continuously differentiable and satisfy the Cauchy–Riemann equations, then  $f$  is holomorphic on  $\Omega$  and  $f'(z) = \frac{1}{2} \left( \frac{\partial f}{\partial x} + \frac{1}{i} \frac{\partial f}{\partial y} \right)$ .

*Proof.* Since  $u$  is continuously differentiable at the point  $(x, y) \in \Omega$ , there exists a linear transformation  $J_u : \mathbb{R}^2 \rightarrow \mathbb{R}$  where

$$\frac{|u(x + h_1, y + h_2) - u(x, y) - J_u(h_1, h_2)|}{\|(h_1, h_2)\|} \rightarrow 0, \quad (h_1, h_2) \rightarrow 0.$$

In particular,  $J_u = \left( \frac{\partial u}{\partial x}, \frac{\partial u}{\partial y} \right)$ . The above fraction is equivalent to

$$u(x + h_1, y + h_2) - u(x, y) = \frac{\partial u}{\partial x} h_1 + \frac{\partial u}{\partial y} h_2 + \|h\| \psi_1(h),$$

where  $\psi_1 : U \rightarrow \mathbb{R}$ , where  $U$  is some open neighborhood of  $0 \in \mathbb{R}^2$ , with  $\psi_1(h) \rightarrow 0$  as  $h \rightarrow 0$ . Similarly, we have that

$$v(x + h_1, y + h_2) - v(x, y) = \frac{\partial v}{\partial x} h_1 + \frac{\partial v}{\partial y} h_2 + \|h\| \psi_2(h)$$

with  $\psi_2(h) \rightarrow 0$  as  $h \rightarrow 0$ . We want to show that  $f$  is holomorphic at  $z = x + iy$ . We have that

$$\begin{aligned} f(z + h) - f(z) &= (u(x + h_1, y + h_2) - u(x, y)) + i(v(x + h_1, y + h_2) - v(x, y)) \\ &= \left( \frac{\partial u}{\partial x} h_1 + \frac{\partial u}{\partial y} h_2 \right) + \|h\| \psi_1(h) + i \left( \frac{\partial v}{\partial x} h_1 + \frac{\partial v}{\partial y} h_2 \right) + i \|h\| \psi_2(h) \\ &= \left( \frac{\partial u}{\partial x} - i \frac{\partial u}{\partial y} \right) (h_1 + ih_2) + \|h\| \psi_1(h) + i \|h\| \psi_2(h) \end{aligned}$$

from Cauchy–Riemann. Thus, we have that<sup>4</sup>

$$\begin{aligned} \lim_{h \rightarrow 0} \frac{f(z + h) - f(z)}{h} &= \lim_{h \rightarrow 0} \frac{\partial u}{\partial x} + i \frac{\partial u}{\partial y} + \frac{\|h\|}{h} (\psi_1(h) + i\psi_2(h)) \\ &= \frac{\partial u}{\partial x} + i \frac{\partial u}{\partial y} = \frac{1}{2} \left( \frac{\partial f}{\partial x} + \frac{1}{i} \frac{\partial f}{\partial y} \right). \quad \square \end{aligned}$$

We now discuss complex power series.

**Definition 3.4.** A complex power series is an infinite sum of the form

$$\sum_{n=0}^{\infty} a_n z^n,$$

with  $a_n \in \mathbb{C}$  and  $z$  a complex variable. We say that  $\sum_{n=0}^{\infty} a_n z^n$  converges at  $z_0 \in \mathbb{C}$  if there exists some  $w \in \mathbb{C}$  such that, for all  $\varepsilon > 0$ , there exists  $N_0 \in \mathbb{N}$  such that  $N \geq N_0$  satisfies

$$\left| \sum_{n=0}^N a_n z^n - w \right| < \varepsilon.$$

The series converges *absolutely* at  $z_0$  if there exists  $w \in \mathbb{R}$  such that

$$\left| \sum_{n=0}^N |a_n| |z_0|^n - w \right| < \varepsilon.$$

<sup>4</sup>i swear wenyu has an invisible key wired into her back like nano from nichijou and it's permanently cranked on

**Proposition 3.5.** If  $\sum_{n=0}^{\infty} a_n z^n$  converges absolutely at  $z_0 \in \mathbb{C}$ , then  $\sum_{n=0}^{\infty} a_n z^n$  converges at  $z_1 \in \mathbb{C}$  with  $|z_1| \leq |z_0|$ .

*Proof.* For all  $z_1 \in \mathbb{C}$  with  $|z_1| \leq |z_0|$ , consider the sequence of partial sums  $\{S_m(z_1)\}_{m \in \mathbb{N}}$  given by

$$S_m(z_1) = \sum_{n=0}^m a_n z_1^n.$$

We want to show that such a sequence converges. Since  $\mathbb{C}$  is complete, it suffices to show that said sequence is Cauchy. For all  $m < k \in \mathbb{N}$ , we have that

$$|S_k(z_1) - S_m(z_1)| = \left| \sum_{n=m+1}^k a_n z_1^n \right| \leq \sum_{n=m+1}^k |a_n| |z_1|^n \leq \sum_{n=m+1}^k |a_n| |z_0|^k. \quad \square$$

We now provide a few examples.

- (i) The complex exponential function for all  $z \in \mathbb{C}$ , given by

$$e^z := \sum_{n=0}^{\infty} \frac{z^n}{n!}.$$

For all  $z \in \mathbb{C}$ , this sum converges because it converges absolutely (consider  $e^{|z|}$ ).

- (ii) The geometric series  $\sum_{n=0}^{\infty} z^n$ , where  $|z| < 1$ , converges; otherwise, is  $|z| \geq 1$ , it diverges. In particular, if  $\sum_{n=0}^{\infty} z^n$  converges, then  $|z^n| \rightarrow 0$  as  $n \rightarrow \infty$ .

**Theorem 3.6** (Shakarchi, Thm. 2.5). Given a power series  $\sum_{n=0}^{\infty} a_n z^n$ , there exists  $R \in [0, \infty)$  such that (i) if  $|z| < R$ , the series converges, and (ii) if  $|z| > R$ , the series diverges. We call  $R$  the *radius of convergence* of  $\sum_{n=0}^{\infty} a_n z^n$ , and  $\{z \in \mathbb{C} \mid |z| < R\}$  the disc<sup>5</sup> of convergence. Moreover,  $R$  is given by Hadamard's formula,

$$\frac{1}{R} = \limsup_{n \rightarrow \infty} |a_n|^{1/n} =: L,$$

where we use the convention that  $\frac{1}{0} = \infty$  and  $\frac{1}{\infty} = 0$ .

*Proof.* For all  $z \in \mathbb{C}$  with  $|z| < r < R$ , there exists some  $\varepsilon > 0$  such that

$$(L + \varepsilon) |z| = r < 1.$$

By definition of  $L$ , we have  $|a_n|^{1/n} \leq L + \varepsilon$  for all large  $n$ , meaning that

$$|a_n| |z|^n = \left( |a_n|^{1/n} |z| \right)^n \leq ((L + \varepsilon) |z|)^n = r^n, \quad r \in (0, 1),$$

whereby comparison with the geometric series  $\sum r^n$ , we see that  $\sum |a_n| |z|^n$  converges. Similarly, if  $|z| > R$ , we have that

$$\left( \frac{1}{r} - \varepsilon \right) |z| > 1,$$

where, using the definition of  $R$ , there exists an infinite subsequence  $a_{n_k}$  such that  $|a_{n_k}|^{1/n_k} \geq \frac{1}{R} - \varepsilon$ . We have that

$$|a_{n_k} z^{n_k}| = \left( |a_{n_k}|^{1/n_k} |z| \right)^{n_k} \geq \left[ \left( \frac{1}{R} - \varepsilon \right) |z| \right]^{n_k} > 1. \quad \square$$

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<sup>5</sup>disque. ok i'll stop

## §4 Day 4: Complex Power Series (Sep. 11, 2025)

As per given in the previous lecture, recall that the complex power series is defined as an infinite sum of the form

$$\sum_{n=0}^{\infty} a_n z^n, \quad a_n \in \mathbb{C}, z \in \mathbb{C},$$

i.e.,  $z$  as a complex variable.

**Theorem 4.1** (Thm. 2.6, Shakarchi). The power series  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  defines a holomorphic function on its disc of convergence. The derivative of  $f$  is given by

$$f'(z) = \sum_{n=1}^{\infty} n a_n z^{n-1}.$$

Moreover,  $f'$  has the same radius of convergence as  $f$ .

*Proof.* Let  $g$  be the power series defining  $f'$ , and let  $R \geq 0$  be the radius of convergence of  $f$ . The radius of convergence of  $g$  is also  $R$ , per Hadamard's formula,

$$\limsup_{n \rightarrow \infty} |n a_n|^{\frac{1}{n-1}} \stackrel{(*)}{=} \limsup_{n \rightarrow \infty} |a_n|^{\frac{1}{n} \cdot \frac{n}{n-1}} = \limsup_{n \rightarrow \infty} |a_n|^{\frac{1}{n}} = \frac{1}{R},$$

since

$$n^{\frac{1}{n-1}} = e^{\frac{\log n}{n-1}} \xrightarrow{n \rightarrow \infty} e^0 = 1. \quad (*)$$

For all  $z_0 \in \mathbb{C}$  with  $|z_0| < r < R$  and  $h \in \mathbb{C} \setminus \{0\}$  with  $|z_0 + h| < r$ , let us compute the following,

$$\left| \frac{f(z_0 + h) - f(z_0)}{h} - g(z_0) \right|;$$

to start,

$$f(z) = \underbrace{\sum_{n=0}^N a_n z^n}_{S_N(z)} + \underbrace{\sum_{n=N+1}^{\infty} a_n z^n}_{E_N(z)},$$

where  $N \in \mathbb{N}$  is to be determined; we have that

$$\begin{aligned} \frac{f(z_0 + h) - f(z_0)}{h} - g(z_0) &= \left( \frac{S_N(z_0 + h) - S_N(z_0)}{h} - S'_N(z_0) \right) + \\ &\quad (S'_N(z_0) - g(z_0)) + \left( \frac{E_N(z_0 + h) - E_N(z_0)}{h} \right). \end{aligned}$$

We compute each part individually.

$$\begin{aligned} \left| \frac{E_N(z_0 + h) - E_N(z_0)}{h} \right| &= \left| \frac{\sum_{n=N+1}^{\infty} a_n (z_0 + h)^n - \sum_{n=N+1}^{\infty} a_n z_0^n}{h} \right| \\ &\leq \sum_{n=N+1}^{\infty} \frac{|a_n|}{h} |(z_0 + h)^n - z_0^n| \\ &\leq \sum_{n=N+1}^{\infty} |a_n| |(z_0 + h)^{n-1} + (z_0 + h)^{n-2} + \cdots + z_0^{n-1}| \\ &\leq \sum_{n=N+1}^{\infty} |a_n| \gamma^{n-1} \cdot n \xrightarrow{N \rightarrow \infty} 0, \end{aligned}$$

as  $y$  has the radius of convergence of  $R > r$ . Next,

$$|S'_N(z_0) - g(z_0)| \xrightarrow{N \rightarrow \infty} 0,$$

since  $S'_N(z_0) = \sum_{n=1}^N n a_n z_0^{n-1}$  and  $g(z_0) = \sum_{n=1}^{\infty} n a_n z_0^{n-1}$ . Given any  $\varepsilon > 0$ , we may choose a sufficiently large  $N$  such that

$$|S'_N(z_0) - g(z_0)| < \varepsilon, \quad \left| \frac{E_N(z_0 + h) - E_N(z_0)}{h} \right| < \varepsilon,$$

per our two computations above. Since  $S_N(z)$  is a finite polynomial,  $S'_N(z_0)$  is the derivative of  $S_N(z)$  at  $z_0$ , and so there exists  $\delta > 0$  such that, for all  $0 \leq |h| < \delta$ , we have

$$\left| \frac{S_N(z_0 + h) - S_N(z_0)h}{h} - S'_N(z_0) \right| < \varepsilon,$$

which resolves all three parts of our expansion, and so we are done.  $\square$

**Corollary 4.2.** The power series  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  is infinitely complex differentiable on its disc of convergence. For  $k \in \mathbb{N}$ , its  $k$ th derivative  $f^{(k)}$  is given by

$$f^{(k)}(z) = \sum_{n=0}^{\infty} (a_n z^n)^k.$$

**Definition 4.3.** A function  $f : \Omega \rightarrow \mathbb{C}$  is said to be *analytic* at  $z_0 \in \Omega$  if there exists a power series  $\sum_{n=0}^{\infty} a_n (z - z_0)^n$  with positive radius of convergence such that

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n$$

on a neighborhood of  $z_0 \in \Omega$ .

In particular, this means that if  $f : \Omega \rightarrow \mathbb{C}$  is holomorphic, we have that  $f$  is holomorphic at  $z_0 \in \Omega$ , and so  $f$  is analytic at  $z_0 \in \Omega$  as well. The implication that analytic implies holomorphic was given by our earlier theorem; the direction that holomorphic implies analytic is given by Cauchy's integral formula, but we need to first define integration along curves.

- (i) A parameterized curve is a function  $z : [a, b] \rightarrow \mathbb{C}$ , where  $t \mapsto z(t)$ . This gives the orientation from  $z(a)$  to  $z(b)$ .
- (ii) (*Regularity conditions on curves*). We say that the parameterized curve is smooth if  $z'(t)$  exists, is continuous on  $[a, b]$ , and  $z'(t) \neq 0$  for  $t \in [a, b]$ . We say that the parameterized curve  $z$  is piecewise smooth if  $z$  is continuous on  $[a, b]$  and there exists a partition of  $[a, b]$  with  $a = a_0 < \dots < a_n = b$  such that  $z(t)$  is smooth on each  $[a_r, a_{r+1}]$ .

## §5 Day 5: Curves in the Complex Plane (Sep. 16, 2025)

We say that a parameterized curve is a function  $z : [a, b] \rightarrow \mathbb{C}$  where  $t \mapsto z(t)$ ; in particular,  $z$  gives the orientation from  $z(a)$  to  $z(b)$ . We say that  $z$  is *smooth* if  $z'(t)$  exists and is continuous on  $[a, b]$ , where  $z'(t) \neq 0$  for  $t \in [a, b]$ . We say it's *piecewise smooth* if  $z$  is continuous on  $[a, b]$  and we have a partition  $a = a_0 < \dots < a_n = b$  such that  $z(t)$  is smooth on each  $[a_k, a_{k+1}]$ .

**Example 5.1.** Let  $z : [0, 2\pi] \rightarrow \mathbb{C}$ , where  $t \mapsto z_0 + Re^{it}$ , and  $z_1 : [0, \frac{\pi}{2}] \rightarrow \mathbb{C}$ , where  $t_0 \mapsto z_0 = Re^{i4t}$ .

We say that two smooth parameterizations,  $z : [a, b] \rightarrow \mathbb{C}$  and  $\tilde{z} : [c, d] \rightarrow \mathbb{C}$ , are *equivalent* if they have the same image and orientation; i.e., if there exists a continuously differentiable bijection  $s \mapsto t(s)$  from  $[c, d]$  to  $[a, b]$  such that  $t'(s) > 0$  (read: same orientation) and  $\tilde{z} = z \circ t$ . In this way, all equivalent smooth parameterizations of  $z : [a, b] \rightarrow \mathbb{C}$  can be written as a smooth curve  $\gamma$  with image  $z([a, b])$  and orientation from  $z(a)$  to  $z(b)$ . In addition, we denote  $\gamma^-$  as said smooth curve, but with reversed orientation.

A smooth or piecewise smooth curve given by  $z : [a, b] \rightarrow \mathbb{C}$  is said to be *closed* if  $z(a) = z(b)$ , and *simple* if  $z(t) \neq z(s)$  for all  $t \neq s$  in the time interval (note that if the curve is closed, we allow  $s = a, t = b$  to satisfy  $z(s) = z(t)$ ). We now define integration along curves.

**Definition 5.2.** Let  $f : \Omega \rightarrow \mathbb{C}$  be a continuous function, and let  $\gamma$  be a smooth curve in  $\Omega$  parameterized by  $z : [a, b] \rightarrow \mathbb{C}$ . Then

$$\int_{\gamma} f(z) dz = \int_a^b f(z(t)) z'(t) dt,$$

where we may realize  $f \circ z : [a, b] \rightarrow \mathbb{C}$ . The length of  $\gamma$  is defined as  $\text{length}(\gamma) = \int_a^b |z'(t)| dt$ .

**Example 5.3.** Consider the function  $f(z) = z^{-1}$  on  $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$ .<sup>6</sup> Let  $C$  be a circle in  $\mathbb{C}^*$  centered at  $z_0$  with radius  $R > 0$ , equipped with an anticlockwise orientation. Compute  $\int_C f(z) dz$ .

While this example seems trivial, there is a lot of casework to work through, and we don't have the prerequisite knowledge for it yet.

**Proposition 5.4.** Integration of continuous functions along smooth (or piecewise smooth) curves satisfy the following properties,

(i) (*Linearity*) For all  $\alpha, \beta \in \mathbb{C}$ , we have that

$$\int_{\gamma} (\alpha f + \beta g)(z) = \alpha \int_{\gamma} f(z) dz + \beta \int_{\gamma} g(z) dz$$

(ii) If  $\gamma^-$  is  $\gamma$  with reversed orientation, then

$$\int_{\gamma^-} f(z) dz = - \int_{\gamma} f(z) dz.$$

<sup>6</sup>417 notation seeping into my 354 work

(iii) We have the following inequality,

$$\left| \int_{\gamma} f(z) dz \right| \leq \left( \sup_{z \in \gamma} |f(z)| \right) \cdot \text{length}(\gamma).$$

**Exercise 5.5.** Check that the definition of integration is well-defined.

We now prove the above proposition.

*Proof.* Assume  $\gamma$  is smooth and parameterized by  $z : [a, b] \rightarrow \mathbb{C}$ . Then

$$\left| \int_{\gamma} f(z) dz \right| = \left| \int_a^b f(z(t)) \cdot z'(t) dt \right| \leq \int_a^b |f(z(t)) \cdot z'(t)| dt,$$

which we note is true by considering

$$\left| \sum_i u(t_i) + iv(t_i) \Delta t \right| \leq \sum_i |u(t_i) + iv(t_i)| \Delta t,$$

so we indeed have that

$$\int_a^b |f(z(t)) \cdot z'(t)| dt \leq \left( \sup_{z \in [a, b]} |f(z)| \right) \cdot \int_a^b |z'(t)| dt = \left( \sup_{z \in [a, b]} |f(z)| \right) \cdot \text{length}(\gamma) \quad \square$$

Suppose  $f : \Omega \rightarrow \mathbb{C}$ . A *primitive* for  $f$  on  $\Omega$  is a holomorphic function  $F : \Omega \rightarrow \mathbb{C}$  such that  $F'(z) = f(z)$  for all  $z \in \Omega$ .

**Theorem 5.6** (Complex Fundamental Theorem of Calculus). If a continuous function  $f$  has a primitive  $F$  on  $\Omega$ , and  $\gamma$  is a curve that begins at  $w_1$  and ends at  $w_2$ , then

$$\int_{\gamma} f(z) dz = F(w_2) - F(w_1).$$

*Proof.* Suppose  $\gamma$  is smooth and parameterized by  $z : [a, b] \rightarrow \mathbb{C}$  with  $z(a) = w_1$  and  $z(b) = w_2$ . Then

$$\int_{\gamma} f(z) dz = \int_a^b f(z(t)) z'(t) dt = \int_a^b (F(z(t)))' dt,$$

since we may note that  $(F \circ z)' = (F' \circ z) \cdot z' = (f \circ z) \cdot z'$ , whereby we note that the above integral evaluates to  $F(z(b)) - F(z(a)) = F(w_2) - F(w_1)$ .  $\square$

**Corollary 5.7.** If  $f$  is holomorphic on a region  $\Omega$  and  $f' = 0$ , then  $f$  is constant.

Recall that  $\Omega$  is called a region if it is an open connected set. Alternatively, connectedness is equivalent to path connectedness here, since if  $\Omega$  is path connected, it is connected (by Medusa), and if it is connected, then it is locally path connected, and through a partition, local path connectedness implies path connectedness.

*Proof.* Note that  $\Omega$  is path connected per our earlier digression; fix  $z_0 \in \Omega$ . We will show that  $f(z) = f(z_0)$  for all  $z \in \Omega$ ; let  $z, z_0$  be joined by a piecewise smooth curve  $\gamma$ . Then we have

$$0 = \int_{\gamma} f'(z) dz = f(z) - f(z_0),$$

and so  $f$  is constant on  $\Omega$ .  $\square$

**Theorem 5.8** (Goursat's Theorem). If  $\Omega$  is an open set in  $\mathbb{C}$  and  $T \subset \Omega$  is a triangle whose interior is also in  $\Omega$ , then for any holomorphic function  $f$  on  $\Omega$ , we have  $\int_T f(z) dz = 0$ .

*Proof.* Let  $T^{(0)}$  be the original triangle. Let  $d^{(0)}, p^{(0)}$  be the diameter and perimeter of  $T^{(0)}$  respectively. Take the midpoints of each side of  $T^{(0)}$ , and form 4 smaller triangles with orientation consistent to the orientation of  $T^{(0)}$ ; we will call these triangles  $T_1^{(1)}, \dots, T_4^{(1)}$ . Clearly,

$$\int_{T^{(0)}} f(z) dz = \sum_{k=1}^4 \int_{T_k^{(1)}} f(z) dz,$$

along with

$$\left| \int_{T^{(0)}} f(z) dz \right| = \sum_{k=1}^4 \left| \int_{T_k^{(1)}} f(z) dz \right|.$$

Let  $T_j^{(1)}$  be chosen to be such that  $\left| \int_{T_k^{(1)}} f(z) dz \right|$  is maximal among  $k \in \{1, \dots, 4\}$ ; we will write  $T^{(1)} = T_j^{(1)}$ , and iterate this process to obtain a sequence of triangles  $\{T_0, T_1, \dots\}$ , where

$$\left| \int_{T^{(0)}} f(z) dz \right| \leq 4^n \left| \int_{T^{(n)}} f(z) dz \right|.$$

$d^{(k)}, p^{(k)}$  are defined analogously, where

$$d^{(k)} = \frac{1}{2^k} d^{(0)}, \quad p^{(k)} = \frac{1}{2^k} p^{(0)}.$$

Let  $\mathcal{T}^{(n)}$  be the solid triangle enclosed by  $T^{(n)}$ . Clearly,  $\mathcal{T}^{(0)} \supset \mathcal{T}^{(1)} \supset \dots \supset \mathcal{T}^{(n)}$ , and there exists a unique  $z_0 \in \mathbb{C}$  such that  $z_0 \in \mathcal{T}^{(n)}$  for every  $n$ ; since  $f$  is holomorphic at  $z_0$ , we have that

$$f(z) = f(z_0) + f'(z_0)(z - z_0) + \psi(z)(z - z_0)$$

with  $\psi(z) \rightarrow 0$  as  $z \rightarrow z_0$ . We may write,

$$\int_{T^{(n)}} f(z) dz = \int_{T^{(n)}} f(z_0) dz + \int_{T^{(n)}} f'(z_0)(z - z_0) dz + \int_{T^{(n)}} \psi(z)(z - z_0) dz.$$

The first two terms vanish, since  $f(z)$ ,  $f'(z_0)(z - z_0)$  have primitives  $f(z_0)z$  and  $\frac{1}{2}f'(z_0)(z - z_0)^2$  respectively. It remains to compute the last term; we have that

$$\left| \int_{T^{(n)}} \psi(z)(z - z_0) dz \right| \leq \left( \sup_{z \in T^{(n)}} |\psi(z)| \right) \left( \sup_{z \in T^{(n)}} |z - z_0| \right) \text{length } T^{(n)}$$

where we note the first term approaches 0 as  $n \rightarrow \infty$ , the second term is bounded above by  $2^{-n}d^{(0)}$ , and the third term is bounded above by  $2^{-n}p^{(n)}$ . We may combine everything to obtain

$$\left| \int_{T^{(0)}} f(z) dz \right| \leq 4^n \left| \int_{T^{(n)}} f(z) dz \right| \leq d^{(0)}p^{(0)} \left( \sup_{z \in T^{(n)}} |\psi(z)| \right) \xrightarrow{n \rightarrow \infty} 0. \quad \square$$

## §6 Day 6: Cauchy's Theorem on a Disc (Sep. 18, 2025)

Recall Goursat's theorem from last class, where if  $\Omega \subset \mathbb{C}$  is open and  $T \subset \Omega$  is a triangle whose interior is contained in  $\Omega$ , then for any holomorphic function  $f$  on  $\Omega$ , we have that

$$\int_T f(z) dz = 0.$$

We introduce a follow-up to this theorem.

**Theorem 6.1.** If  $f$  is holomorphic on a disc, then  $\int_\gamma f(z) dz = 0$  for any closed curve  $\gamma$  in that disc.

To prove this, we start by using Goursat's theorem to show  $f$  has a primitive, and then we complete the proof using the complex FTC, i.e., if  $f$  is holomorphic on a disc, then  $f$  has a primitive on that disc.

*Proof.* After a translation, we may assume that the center of the disc is 0. Define  $F : D \rightarrow \mathbb{C}$ , given by  $z \mapsto \int_{\gamma_z} f(u) du$ . To show that  $F$  is holomorphic and  $F'(z) = f(z)$ , fix  $z \in D$ , and observe that for any  $h \in \mathbb{C} \setminus \{0\}$  with  $z + h \in D$ , we have that

$$F(z + h) - F(z) = \int_{\gamma_{z+h}} f(u) du - \int_{\gamma_z} f(u) du.$$

Regard this as the path from  $z$  to 0 to  $z + h$ . Let us add to the expression the integrals over two paths, going both directions so that we do not change the value of  $F(z + h) - F(z)$ , one between  $z$  and  $\Re(z + h) + i\Im(z)$ , and one between  $z$  and  $z + h$  directly. In this manner, we've created a rectangular region and a triangular region on which we have path integrals over, and per Goursat's theorem, they all vanish, and we are left with the integral on the path  $\eta$  from  $z$  to  $z + h$ . This means all that remains is to compute<sup>7</sup>

$$F(z + h) - F(z) = \int_\eta f(w) dw$$

Since  $f$  is continuous at  $z$ , we may write  $f(w) = f(z) + \psi(w)$ , where  $\psi(w) \rightarrow 0$  as  $w \rightarrow z$ . This means we may write

$$\int_\eta f(w) dw = \int_\eta f(z) dw + \int_\eta \psi(w) dw = f(z)(z + h - z) + \int_\eta \psi(w) dw,$$

upon which we may rearrange and rewrite the above RHS to obtain

$$\begin{aligned} \left| \frac{F(z + h) - F(z)}{h} - f(z) \right| &= \left| \frac{1}{h} \int_\eta \psi(w) dw \right| \\ &\leq \frac{1}{|h|} \sup_{w \in \eta} |\psi(w)| \underbrace{\text{length}(\eta)}_{=|h|} = \sup_{w \in \eta} |\psi(w)| \xrightarrow{h \rightarrow 0} 0. \end{aligned}$$

This concludes the hard part of the proof in showing that  $f$  has a primitive; by complex FTC, we immediately see that  $\int_\gamma f(z) dz = 0$ , since  $\gamma$  is a closed curve and its endpoints are equal to each other.  $\square$

<sup>7</sup>GOD KNOWS if this is a  $w$  or an  $\omega$ , i'm just going to use  $w$  for now. forensic analysis on yalls handwriting holy shit



We now give an example.

**Problem 6.2.** For all  $\xi \in \mathbb{R}$ , let  $\mathcal{F}$  denote the Fourier transform, and let

$$(\mathcal{F}f)(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \xi} dx.$$

Show that if  $f(x) = e^{-\pi x^2}$ , we have that  $(\mathcal{F}f)(\xi) = f(\xi) = e^{-\pi \xi^2}$ .

*Solution.* In the  $\xi = 0$  case, we immediately have that

$$(\mathcal{F}f)(0) = \int_{-\infty}^{\infty} f(x) dx = \int_{-\infty}^{\infty} e^{-\pi x^2} = 1,$$

from computation through the Gaussian integral (polar coordinate transform). If  $\xi > 0$  (we note that  $\xi < 0$  follows analogously), let  $f : \mathbb{C} \rightarrow \mathbb{C}$  be given by  $f(z) = e^{-\pi z^2}$ . Then, for  $R > 0$ , let us integrate on the rectangle from  $-R$  to  $R$ ,  $R$  to  $R + i\xi$ ,  $R + i\xi$  to  $-R + i\xi$ , and  $-R + i\xi$  to  $-R$ , where the latter three paths are denoted  $I_1, I_3, I_2$  respectively (we intentionally number this way because the two opposing sides  $I_1, I_2$  can be tackled together at once). We have that

$$0 = \int_{\gamma_R} f(z) dz = \int_{-R}^R f(x) dx + \int_{I_1} f(z) dz + \int_{I_2} f(z) dz + \int_{I_3} f(z) dz.$$

Let us consider the integral  $\left| \int_{I_1} f(z) dz \right|$ , with parameter  $I_1 : [0, \xi] \rightarrow \mathbb{C}$ , given by  $t \mapsto R + it$ ; we have that

$$\left| \int_{I_1} f(z) dz \right| = \left| \int_0^\xi f(R + it) i dt \right| = \left| \int_0^\xi e^{-\pi(R+it)^2} i dt \right|,$$

for which we observe that the integrand

$$\left| e^{-\pi(R+it)^2} i \right| = \left| e^{-\pi(R^2 - t^2)} \right| |i| \left| e^{i\pi 2Rt} \right| \leq \left| e^{-\pi(R^2 - \xi^2)} \right| \rightarrow 0, \quad R \rightarrow +\infty,$$

so the integrals on  $I_1, I_2 \rightarrow 0$  for large enough  $R$  (we note that the same conclusion held for  $I_2$  because the computation follows analogously). For the last part, consider that

$$\int_{I_3} f(z) dz = \int_{-R}^R f(t + i\xi) dt = \int_{-R}^R e^{-\pi(t+i\xi)^2} dt = e^{\pi \xi^2} \int_{-R}^R e^{-\pi t^2} e^{-2\pi i \xi t} dt,$$

upon which we obtain  $e^{\pi \xi^2}(\mathcal{F}f)(\xi)$  as  $R \rightarrow \infty$ . This means we have that  $-e^{\pi \xi^2}(\mathcal{F}f)(\xi)$  vanishes, where the minus sign is from the orientation of  $I_3$ , and so we may conclude that  $(\mathcal{F}f)(\xi) = e^{-\pi \xi^2} = f(\xi)$ .  $\square$

## §7 Day 7: Cauchy's Integral Formula and Corollaries (Sep. 23, 2025)

We start with an example.

**Example 7.1** (Fresnel integrals; Shakarchi Ex. §2.1)). Prove that

$$\int_0^\infty \sin(x^2) dx = \int_0^\infty \cos(x^2) dx = \frac{\sqrt{2\pi}}{4}.$$

*Solution.* To do this, we proceed by Cauchy's theorem, i.e., using functions of complex variables. Let  $e^{ix^2}$ , which, per Euler's formula, is equal to  $\cos(x^2) + i\sin(x^2)$  for  $x \in \mathbb{R}$ . Let us reframe the question by integrating  $e^{z^2}$ , where  $z \in \mathbb{C}$ , over the contour (closed curve) given by a  $\frac{\pi}{4}$  radian sector of the circle of radius  $R > 0$  centered at 0; specifically, the contour is given by  $0 \rightarrow R$ ,  $R \rightarrow Re^{i\pi/4}$  along the arc, and  $Re^{i\pi/4} \rightarrow 0$ . In this manner, let  $z = \rho e^{i\pi/4}$ , where  $\rho \in (0, R)$ , we have that

$$e^{-(\rho e^{i\pi/4})^2} = e^{-\rho^2 \left( \frac{\sqrt{2}}{2} + i \frac{\sqrt{2}}{2} \right)^2} = e^{-\frac{\rho^2}{2}(1+i)^2} = e^{-\rho^2 i} = \cos(\rho^2) + i \sin(\rho^2).$$

Let the three paths in the contour (which we will call  $\gamma_R$ ) be given by  $I_1, I_2, I_3$  in order; we have that, by Cauchy's theorem,

$$0 = \int_{\gamma_R} f(z) dz = \int_{I_1} f(z) dz + \int_{I_2} f(z) dz + \int_{I_3} f(z) dz.$$

Directly compute as follows, where  $f(z) = e^{-z^2}$ ,

$$\int_{I_1} f(z) dz = \int_0^R e^{-x^2} dx \xrightarrow{R \rightarrow \infty} \frac{\sqrt{\pi}}{2},$$

Let  $-I_3 : [0, R] \rightarrow \mathbb{C}$  be given by  $t \mapsto te^{i\pi/4}$ ; we have,

$$\begin{aligned} \int_{-I_3} f(z) dz &= \int_0^R f(te^{i\pi/4}) e^{i\pi/4} dt \\ &= e^{i\pi/4} \int_0^R e^{-(te^{i\pi/4})^2} dt \\ &= e^{i\pi/4} \left[ \int_0^R \cos t^2 dt - i \int_0^R \sin(t^2) dt \right], \end{aligned}$$

and finally, for the integral on  $I_2$  (where  $I_2 : [0, \frac{\pi}{4}] \rightarrow \mathbb{C}$  and  $t \mapsto Re^{it}$ ), we have that

$$\int_{I_2} f(z) dz = \int_0^{\pi/4} e^{-(Re^{it})^2} iRe^{it} dt,$$

for which we may bound the integrand as follows,

$$\left| e^{-(Re^{it})^2} iRe^{it} \right| \leq R \left| e^{-(Re^{it})^2} \right| = R \left| e^{-R^2(\cos(2t) + i\sin(2t))} \right| = Re^{-R^2(\cos 2t)}.$$

This means we may write

$$\left| \int_{I_2} f(z) dz \right| \leq \int_0^{\pi/4} \left| e^{-(Re^{it})^2} iRe^{it} \right| dt = \int_0^{\pi/4} Re^{-R^2(\cos 2t)} dt.$$

Let us compute  $\cos(2t)$ ; we have that  $2t \in [0, \frac{\pi}{2}]$ , so  $\cos(2t) = \sin(\frac{\pi}{2} - 2t)$ , and  $\sin(\theta) \geq \frac{2}{\pi}\theta$  by appealing to geometric intuition; this means

$$\begin{aligned} \int_0^{\pi/4} Re^{-R^2(\cos 2t)} dt &\leq \int_0^{\pi/4} Re^{-R^2 \frac{2}{\pi}(\frac{\pi}{2} - 2t)} dt && (\text{Let } s = \frac{\pi}{2} - 2t) \\ &= \frac{1}{2} \int_0^{\pi/2} Re^{-R^2 \frac{2}{\pi}s} ds \\ &= \frac{1}{2} \int_0^{\pi/2} Rd \left( \frac{e^{-R^2 \frac{2}{\pi}s}}{-R^2 \frac{2}{\pi}} \right) \\ &= \frac{1}{2} \cdot \frac{1}{R^2 \frac{2}{\pi}} (e^{-R^2} - 1) \xrightarrow{R \rightarrow \infty} 0. \end{aligned}$$

Having established computations for  $I_1, I_2, I_3$ , we may now write

$$0 = \frac{\sqrt{\pi}}{2} - e^{i\pi/4} \left[ \int_0^\infty \cos(x^2) dx - i \int_0^\infty \sin(x^2) dx \right]$$

This means we have

$$\begin{aligned} \int_0^\infty \cos(x^2) dx - i \int_0^\infty \sin(x^2) dx &= e^{-i\pi/4} \frac{\sqrt{\pi}}{2} \\ &= \frac{\sqrt{\pi}}{2} \left( \frac{\sqrt{\pi}}{2} - i \frac{\sqrt{\pi}}{2} \right) \\ &= \frac{\sqrt{2\pi}}{4} - i \frac{\sqrt{2\pi}}{4}. \end{aligned} \quad \square$$

We now discuss Cauchy's integral formula. As another example, let  $D$  be a disc centered at  $z$ , and let  $f$  be a holomorphic function; we may express  $f(z)$  using the values of  $f$  on  $\partial D$ .

**Example 7.2** (Steady-State Heat Equation). Let  $g(x, y)$  be continuous on  $\mathbb{R}^2$ . Find  $u(x, y)$  satisfying

$$\begin{cases} \Delta u = 0 & \text{on } D, \\ u = g & \text{on } \partial D, \end{cases}$$

where  $\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$  is the Laplacian operator. The solution is given by considering  $(x, y) = (r \cos \theta, r \sin \theta)$ , where

$$u(r, \theta) = \int P_r(\theta, \varphi) g(\cos \varphi, \sin \varphi) d\varphi, \quad P_r(\theta, \varphi) = \frac{1 - r^2}{1 - 2r \cos(\theta - \varphi) + r^2}$$

where  $P_r$  is called the *Poisson kernel*.

**Theorem 7.3** (Cauchy's Integral Formula). Suppose  $f$  is holomorphic in an open set  $\Omega$  that contains the closure of a disc  $D$ . Let  $C = \partial D$  equipped with the anticlockwise orientation. Then for any  $z \in D$ ,

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta.$$

*Proof.* We start by constructing a “keyhole contour” on  $D$ , where  $\delta$  is the width of the corridor, and  $\varepsilon$  is the radius of the circle centered at  $z$ . The contour can be thought of as picking a point in  $C$  and connecting it to the  $\varepsilon$ -circle about  $z$  with a  $\delta$ -wide corridor.

Let the contour be called  $\Gamma_{\delta,\varepsilon}$ . Let  $F(\zeta) = \frac{f(\zeta)}{\zeta-z}$ ; clearly, it is holomorphic on  $\Omega \setminus \{z\}$ . By Cauchy's theorem,

$$0 = \int_{\Gamma_{\delta,\varepsilon}} F(\zeta) d\zeta = \int_{I_1} F(\zeta) d\zeta + \int_{I_2} F(\zeta) d\zeta + \int_{I_3} F(\zeta) d\zeta + \int_{I_4} F(\zeta) d\zeta,$$

where  $I_1, I_3$  represent the paths on  $C$  and the  $\varepsilon$ -circle about  $z$  respectively, and  $I_2, I_4$  the “walls of the corridor”. We start with some basic observations;

(i) If we let  $\delta \rightarrow 0^+$ , then

$$\int_{I_1} F(\zeta) d\zeta = \int_C \frac{f(\zeta)}{\zeta-z} d\zeta.$$

(ii) Again, if we let  $\delta \rightarrow 0^+$ , we have that

$$\int_{I_2} F(\zeta) d\zeta = - \int_{I_4} F(\zeta) d\zeta,$$

since they are simply two path integrals of the opposite orientation.

(iii) For  $I_3$ , we may first write

$$\int_{I_3} F(\zeta) d\zeta = \int_{I_3} \frac{f(\zeta)}{\zeta-z} d\zeta;$$

if we let  $\varepsilon \rightarrow 0^+$ , we see that this is problematic, since we have a singularity at  $z$ . However, we notice that the integrand resembles the definition of the derivative, i.e., we may write

$$\frac{f(\zeta)}{\zeta-z} = \frac{f(\zeta) - f(z)}{\zeta-z} + \frac{f(z)}{\zeta-z},$$

so we obtain

$$\int_{I_3} \frac{f(\zeta)}{\zeta-z} d\zeta = \int_{I_3} \frac{f(\zeta) - f(z)}{\zeta-z} d\zeta + f(z) \int_{I_3} \frac{1}{\zeta-z} d\zeta,$$

where the latter term is equal to  $-2\pi i f(z)$ , per (p.47 in Shakarchi)

$$\int_{I_3} \frac{f(z)}{\zeta-z} d\zeta = f(z) \int_{I_3} \frac{d\zeta}{\zeta-z} = -f(z) \int_0^{2\pi} \frac{\varepsilon i e^{-it}}{\varepsilon e^{-it}} dt = -f(z) 2\pi i.$$

For the former term, there exists  $\varepsilon_0 > 0$  such that for all  $\zeta \in D_{\varepsilon_0}(z)$ , we have that

$$\left| \frac{f(\zeta) - f(z)}{\zeta-z} \right| \leq |f'(z)| + 2.$$

We obtain

$$\left| \int_{I_3} \frac{f(\zeta) - f(z)}{\zeta-z} d\zeta \right| \leq (|f'(z)| + 2) \cdot 2\pi\varepsilon \xrightarrow{\varepsilon \rightarrow 0} 0.$$

Combining all these observations, we obtain

$$0 = \int_{\Gamma_{\delta,\varepsilon}} \frac{f(\zeta)}{\zeta-z} d\zeta \xrightarrow{\delta,\varepsilon \rightarrow 0^+} \int_C \frac{f(\zeta)}{\zeta-z} d\zeta - 2\pi i f(z),$$

from which we conclude Cauchy's integral formula.<sup>8</sup> □

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<sup>8</sup>reference: p.45-47 Shakarchi

**Theorem 7.4** (Cor. 4.2, Shakarchi). “A holomorphic function is infinitely complex differentiable.”<sup>9</sup> Suppose  $f$  is holomorphic in an open set  $\Omega$ . Then  $f$  has infinitely many complex derivatives in  $\Omega$ . Moreover, for any  $z \in \Omega$  and  $n \in \mathbb{Z}_{\geq 0}$ , we have that

$$f^{(n)}(z) = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{n+1}} d\zeta.$$

*Proof.* We proceed by induction on  $n$ . The base case  $n = 0$  is immediately given by Cauchy’s integral formula; assuming that the statement is true for  $n-1$ , for any  $h \in \mathbb{C} \setminus \{0\}$  such that  $z+h \in D$ , we have that

$$\begin{aligned} \frac{f^{(n-1)}(z+h) - f^{(n-1)}(z)}{h} &= \frac{(n-1)!}{2\pi i h} \int_C \left[ \frac{f(\zeta)}{(\zeta - z - h)^n} - \frac{f(\zeta)}{(\zeta - z)^n} \right] d\zeta \\ &= \frac{(n-1)!}{2\pi i h} \int_C f(\zeta) \left[ \frac{1}{(\zeta - z - h)^n} - \frac{1}{(\zeta - z)^n} \right] d\zeta. \end{aligned}$$

By binomial expansion, we have that

$$\begin{aligned} &\frac{1}{(\zeta - z - h)^n} - \frac{1}{(\zeta - z)^n} \\ &= \frac{1}{(\zeta - z - h)^n (\zeta - z)^n} [(\zeta - z)^n - (\zeta - z - h)^n] \\ &= \frac{h}{(\zeta - z - h)^n (\zeta - z)^n} [(\zeta - z)^{n-1} + (\zeta - z)^{n-2}(\zeta - z - h) + \cdots + (\zeta - z - h)^{n-1}]. \end{aligned}$$

By taking  $h$  sufficiently small, we obtain

$$\frac{(n-1)!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{2n}} n(\zeta - z)^{n-1} d\zeta = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{n+1}} d\zeta. \quad \square$$

**Theorem 7.5** (Thm. 4.4, Shakarchi). “A holomorphic function is locally a power series”. Suppose  $f$  is holomorphic in an open set  $\Omega$ . If  $D$  is a disc centered at  $z_0$  whose closure is contained in  $\Omega$ , then  $f$  has a power series expansion at  $z_0$

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n$$

for  $z \in D$ , and the coefficients are given by

$$a_n = \frac{f^{(n)}(z_0)}{n!}, \quad n \geq 0.$$

*Proof.* Fix any  $z \in D$ ; by Cauchy’s integral formula, we have that

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta.$$

Note that per our previous corollary, the idea is to write

$$\frac{1}{\zeta - z} = \frac{1}{\zeta - z_0 + z_0 - z} = \frac{1}{(\zeta - z_0)} \frac{1}{\left(1 - \frac{z - z_0}{\zeta - z_0}\right)},$$

<sup>9</sup>hell, i need to run a marathon with 20mg of thc in my system. props wenyu

where we observe that since  $z \in D$  is fixed and  $\zeta \in C$ , we know that there exists some  $r \in (0, 1)$  such that

$$\left| \frac{z - z_0}{\zeta - z_0} \right| < r,$$

so we may regard the geometric series representation

$$\frac{1}{1 - \frac{z - z_0}{\zeta - z_0}} = \sum_{n=0}^{\infty} \left( \frac{z - z_0}{\zeta - z_0} \right)^n,$$

for which the series converges uniformly for any  $\zeta \in C$ . This means we may interchange the integral and the sum to obtain

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n = \sum_{n=0}^{\infty} \left( \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z_0)^{n+1}} d\zeta \right) (z - z_0)^n. \quad \square$$

**Corollary 7.6** (Liouville's Theorem: Thm. 4.5, Shakarchi). If  $f$  is entire and bounded, then  $f$  is constant. We say that  $f$  is *entire* if it is holomorphic on the whole of  $\mathbb{C}$ .

*Proof.* We will prove this later on. Though, it is done by observing that  $\mathbb{C}$  is connected (hence a region, i.e., open connected set), then checking  $f' = 0$ , and so  $f$  is constant.  $\square$

**Corollary 7.7** (Cauchy's Inequality). If  $f$  is holomorphic in an open set that contains the closure of a disc  $D$  centered at  $z_0$  with radius  $R$ , then

$$\left| f^{(n)}(z_0) \right| \leq \frac{n! \|f\|_C}{R^n},$$

where  $\|f\|_C = \sup_{z \in C} |f(z)|$  (and  $C$  is the boundary of  $D$ .)

*Proof.* We have that

$$f^{(n)}(z_0) = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z_0)^{n+1}} d\zeta;$$

if we let  $C : [0, 2\pi] \rightarrow \mathbb{C}$  be given by  $t \mapsto z_0 + Re^{it}$ , then the above is equal to

$$\frac{n!}{2\pi i} \int_0^{2\pi} \frac{f(z_0 + Re^{it})}{R^{n+1} e^{i(n+1)t}} iRe^{it} dt,$$

for which we may write

$$\left| \frac{n!}{2\pi i} \int_0^{2\pi} \frac{f(z_0 + Re^{it})}{R^{n+1} e^{i(n+1)t}} iRe^{it} dt \right| \leq \frac{n!}{2\pi} \cdot \frac{\|f\|_C}{R^n} \cdot 2\pi = \frac{n! \|f\|_C}{R^n},$$

which finishes the proof.  $\square$

## §8 Day 8: Morera's Theorem and Distribution of Zeros of Holomorphic Functions (Sep. 26, 2025)

Recall Cauchy's integral formula, where if  $f$  is holomorphic on an open set  $\Omega$  containing the closure of disc  $D$ , then let  $C = \partial D$ ; we have

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta$$

$$f^{(n)}(z) = \frac{n!}{2\pi i} \int_C \frac{f(\zeta)}{(\zeta - z)^{n+1}} d\zeta, \quad n \in \mathbb{N},$$

i.e.,  $f$  is infinitely complex differentiable. Recall that we also have that if  $\gamma$  is a closed curve with interior in  $\Omega$ , then  $0 = \int_\gamma f$ .

**Theorem 8.1** (Morera's Theorem). Suppose  $f$  is continuous on an open disc  $D$  such that for any triangle  $T$  contained in  $D$ , we have  $\int_T f(z) dz = 0$ . Then  $f$  is holomorphic.

*Proof.* Recall our earlier proof of Cauchy's theorem on a disc, where we first used Goursat's theorem, then  $f$  has a primitive on  $D$ . In the second step, we only used that  $\int_T f(z) dz = 0$ , so  $f$  has a primitive on the disc, and we may apply the proof to our new  $f$  to find  $F$  with  $F' = f$ . Since  $F$  is holomorphic, it is infinitely complex differentiable, so we conclude that  $f$  is holomorphic as desired.  $\square$

**Theorem 8.2** (Distribution of zeros of holomorphic functions). Suppose  $f$  is holomorphic in a region  $\Omega$  that vanishes on a sequence of distinct points with a limit point in  $\Omega$  itself. Then  $f = 0$  on  $\Omega$  (i.e., the zeros are isolated).

*Proof.* We start by showing that  $f = 0$  on a neighborhood of the limit point  $z_0$ . Let  $D$  be a disc centered at  $z_0$  in  $\Omega$ ; we have that  $f$  coincides with a power series on  $D$ ,

$$f(z) = \sum_{n=0}^{\infty} a_n (z - z_0)^n$$

(where we assume  $f \neq 0$ ). Then there exists some non-negative  $a_n$ , per our assumption. Let  $m$  be the smallest index such that  $a_m \neq 0$ , and write

$$f(z) = a_m (z - z_0)^m \left[ 1 + \frac{1}{a_m} \sum_{n>m} a_n (z - z_0)^{n-m} \right],$$

where we let  $g(z)$  be given by  $f(z) = a_m (z - z_0)^m (1 + g(z))$ . Clearly,  $g(z)$  converges on  $D$ , since

$$|a_n|^{\frac{1}{n-m}} = |a_n|^{\frac{1}{n} \frac{n}{n-m}} \xrightarrow{n \rightarrow \infty} |a_n|^{\frac{1}{n}},$$

so by Hadamard's formula,  $g(z)$  has some radius of convergence, as  $f(z), g(z) \rightarrow 0$  with  $z \rightarrow z_0$ .

Set  $z = w_k \neq z_0$  in  $D$ , where  $w_k$  is some element of the sequence of distinct points. Then we have

$$0 = f(w_k) = a_m (w_k - z_0)^m (1 + g(w_k)),$$

for which all three terms are nonzero (the third can be made to be nonzero by picking  $k$  large enough such that  $|g(w_k)| < 1$ ). This means that for a sufficiently large  $k$ , we get a contradiction, and so  $a_m = 0$  and  $f = 0$  on  $D$ . This establishes that  $f$  vanishes on a local disc about  $z_0$ .

We now check that  $f = 0$  on the entire of  $\Omega$  by using the connectedness of  $\Omega$ . Let  $U$  be the interior of  $\{z \in \Omega \mid f(z) = 0\}$ , and observe that  $U \neq \emptyset$  as  $D \subset U$  and  $U$  is open. It suffices to check that  $U$  is closed; let  $\{z_n\} \subset U$  be any sequence such that  $z_n \rightarrow z$  for some  $z \in \Omega$ . Since  $f$  is continuous, we have that  $f(z) = 0$ . By our previous argument,  $f$  is zero on an open neighborhood of  $z$ , and so  $z \in U$ , meaning  $U$  contains all its limit points, and is therefore closed. We conclude that  $U$  is clopen in  $\Omega$ , so  $U = \Omega$  as desired.  $\square$

**Corollary 8.3.** Suppose  $f, g$  are holomorphic in a region  $\Omega$ , and  $f(z) = g(z)$  on a nonempty open subset of  $\Omega$ . Then  $f(z) = g(z)$  on all of  $\Omega$ .

**Remark 8.4.** Given  $f, F$  analytic in regions  $\Omega, \Omega'$  respectively with  $\Omega \subset \Omega'$ , if  $f$  and  $F$  agree on  $\Omega$  we say that  $F$  is an analytic continuation of  $f$  into  $\Omega'$ . Such analytic continuations are always unique.



## §9 Day 9: Applications of Cauchy's Integral Formula (Sep. 30, 2025)

Recall Liouville's theorem that if  $f$  is an entire (holomorphic on the whole complex plane) bounded function, then  $f$  is constant.

**Corollary 9.1** (Fundamental Theorem of Algebra). Every nonconstant polynomial  $P(z) = a_n z^n + \cdots + a_1 z + a_0$  with complex coefficients has a root in  $\mathbb{C}$ .

*Proof.* Proceed by contradiction by means of Liouville's theorem. Suppose  $P(z)$  is nonconstant and admits no roots in  $\mathbb{C}$ ; then  $P(z)^{-1}$  is entire, and it remains to check that it is bounded. It is enough to get a lower bound for  $a_n z^n$ , since the dominating term of  $P(z)$  is  $a_n z^n$ ; supposing  $a_n \neq 0$ , we have that

$$\frac{P(z)}{z^n} = a_n + \left( \frac{a_{n-1}}{z} + \cdots + \frac{a_1}{z^{n-1}} + \frac{a_0}{z^n} \right),$$

of which we know is defined on  $\mathbb{C} \setminus \{0\}$ ; taking  $|z| \rightarrow +\infty$ , we have that  $\frac{P(z)}{z^n} \rightarrow a_n$ , so there exists  $R > 0$  such that

$$|P(z)| \geq \frac{|a_n|}{2} |z|^n$$

for all  $|z| > R$ . This means

$$\frac{1}{|P(z)|} \leq \frac{1}{\frac{|a_n|}{2} |z|^n} \leq \frac{1}{\frac{|a_n|}{2} R^n}, \quad \text{for } |z| > R.$$

For any  $z \in \overline{D_R(0)}$ , we have that  $P(z) \neq 0$ . Since  $P$  is continuous, there exists an open neighborhood  $D_z$  of  $z$  and  $c_z > 0$  such that  $|P(z')| \geq c_z > 0$  for any  $z' \in D_z$ . Since  $\overline{D_R(0)}$  is compact, there exists finitely many  $D_{z_1}, \dots, D_{z_k}$  such that  $\overline{D_R(0)} \subset \bigcup_{i=1}^k D_{z_i}$ . Then  $|P(z)| \geq \min\{c_{z_1}, \dots, c_{z_k}\} > 0$  on  $\overline{D_R(0)}$ . Since we have a lower bound for  $P(z)$  on the compact set  $\overline{D_R(0)}$  and outside of it, we see that  $P(z)^{-1}$  is bounded on  $\mathbb{C}$ , and so per Liouville's theorem,  $P(z)^{-1}$  is constant, yielding that  $P(z)$  is constant, contradicting the assumption.  $\square$

**Corollary 9.2.** Every polynomial  $P(z) = a_n z^n + \cdots + a_1 z + a_0$  of degree  $n \geq 1$  has precisely  $n$  roots in  $\mathbb{C}$ .

*Proof.* Left as an exercise.  $\square$

We now discuss the applications of Cauchy's integral formula. Let  $\{f_n\}_{n=1}^\infty$  be a sequence of holomorphic functions.

**Theorem 9.3** (Thm. 5.2, Shakarchi). Let  $\Omega$  be an open subset of  $\mathbb{C}$ , and let  $\{f_n\}_{n=1}^\infty$  be a sequence of holomorphic functions that converge uniformly to a function  $f$  on every compact subset of  $\Omega$ . Then (i)  $f$  is holomorphic on  $\Omega$ , (ii)  $\{f'_n\}_{n=1}^\infty$  converges uniformly to  $f'$  on every compact subset of  $\Omega$ .

We give some examples of such sequences.

- (i) Let  $f_n(x) = \sqrt{x^2 + \frac{1}{n}}$  on  $\mathbb{R}$ ; we have that each  $f_n$  is differentiable and  $f_n(x) \rightarrow f(x) = |x|$  as  $n \rightarrow \infty$  on compact intervals, but  $f(x)$  itself is not differentiable at 0.

- (ii) (*Weierstrass approximation theorem*) Every continuous function on a closed bounded interval  $[a, b]$  can be uniformly approximate by a polynomial. Specifically, for every  $\varepsilon > 0$ , there exists a polynomial  $P(x)$  such that  $\sup_{x \in [a, b]} |f(x) - P(x)| < \varepsilon$ .

An additional side remark;  $C([a, b])$ , i.e., the set of all continuous functions on  $[a, b]$ , equipped with the uniform norm, has the set of polynomials dense in itself.

*Proof.* We now prove the theorem. Let us start by showing that  $\{f_n\}$  converges uniformly to  $f$  on every compact subset of  $\Omega$  and show that  $f$  is holomorphic. The proof idea here is to use Morera's theorem to show that  $f$  is continuous on a disc  $D$ , and so for every triangle  $T \subset D$ , we have that  $\int_T f = 0$ , meaning that  $f$  is holomorphic. We may use Cauchy's theorem to see that each  $\int_T f_n$  is equal to 0, so  $\int_T f = 0$ , since

$$\left| \int_T f_n - \int_T f \right| = \left| \int_T f_n - f \right| \leq \sup_T |f_n - f| \text{length}(T) \xrightarrow{n \rightarrow \infty} 0.$$

For the second part, we wish to show that  $\{f'_n\}$  converges uniformly to  $f'$  on every compact subset of  $\Omega$ . For  $\delta > 0$ , define  $\Omega_\delta = \{z \in \Omega \mid \overline{D_\delta(z)} \subset \Omega\}$ . Any compact subset of  $\Omega$  is contained in some  $\Omega_\delta$ , so it suffices to show that  $\{f'_n\}$  converges uniformly to  $f'$  on  $\Omega_\delta$  for each  $\delta > 0$ . We claim that if  $F$  is holomorphic on  $\Omega$ , then

$$\sup_{z \in \Omega_\delta} |F'| \leq \frac{1}{\delta} \sup_{z \in \Omega} |F|.$$

Applying the claim to  $f_n - f$ , we see that

$$\sup_{\Omega_\delta} |f'_n - f'| \leq \frac{1}{\delta} \sup_{\Omega} |f_n - f| \xrightarrow{n \rightarrow \infty} 0,$$

so it remains to prove the claim itself. For all  $z \in \Omega_\delta$ , by Cauchy's integral formula for the derivative, we have that

$$F'(z) = \frac{1}{2\pi i} \int_{C_\delta(z)} \frac{F(\zeta)}{(\zeta - z)^2} d\zeta,$$

where  $C_\delta(z) = \{w \mid |w - z| = \delta\}$ , so for all  $z \in \Omega_\delta$  we have,

$$|F'(z)| \leq \frac{1}{2\pi} \sup_{\zeta \in C_\delta(z)} \left| \frac{F(\zeta)}{(\zeta - z)^2} \right| \cdot 2\pi\delta = \frac{1}{\delta} \sup_{\zeta \in C_\delta(z)} |F(\zeta)|,$$

meaning we make take the supremum over  $\Omega_\delta$  to get<sup>10</sup>

$$\begin{aligned} \sup_{z \in \Omega_\delta} |F'(z)| &\leq \sup_{z \in \Omega_\delta} \left( \frac{1}{2\pi} \sup_{\zeta \in C_\delta(z)} \left| \frac{F(\zeta)}{(\zeta - z)^2} \right| 2\pi\delta \right) \\ &\leq \sup_{z \in \Omega_\delta} \left( \frac{1}{\delta} \sup_{\zeta \in C_\delta(z)} |F(\zeta)| \right) \\ &\leq \frac{1}{\delta} \sup_{z \in \Omega} |F(z)|. \end{aligned} \quad \square$$

The term test will be next Tuesday in class. It will be three problems; the first is to prove a theorem discussed in class, the second is a variation of a homework problem, and the third is a choice between another variation of a homework problem or a problem not in the homework, of which has higher marks (what?).

<sup>10</sup>page 54-55, shakarchi

We now discuss another application of Cauchy's integral formula; specifically, the Schwartz' reflection principle (Theorem 5.6 in Shakarchi, p. 60), which extends a holomorphic function analytically to a larger set. We start by presenting a counterexample.

**Theorem 9.4** (Fabry (Gap) Theorem). Consider a power series  $f(z) = \sum_{k=0}^{\infty} a_{n_k} z^{n_k}$ , where  $\{n_k\}$  is a strictly increasing sequence of positive integers. Reference [here](#).

Suppose that  $\frac{n_k}{k} \rightarrow \infty$  as  $k \rightarrow \infty$ , and the radius of convergence of the power series is 1. Then  $f$  cannot be analytically extended beyond any point of the unit circle. Let  $z \in \partial\mathbb{D}$ ; we want to show that we cannot find a holomorphic function  $\tilde{f}$  defined on an open subset  $U$  of  $z$  such that

$$\tilde{f}|_{U \cap \mathbb{D}} = f|_{U \cap \mathbb{D}}.$$

As an example, pick

$$f(z) = \sum_{n=1}^{\infty} \frac{z^{n^2}}{n^2},$$

for which  $\frac{n^2}{n} = n \rightarrow \infty$  as  $n \rightarrow \infty$ . By Hadamard's formula, we have that the radius of convergence is indeed 1. The computation showing that the analytic extension does not extend beyond the unit circle is left as an exercise.

**Theorem 9.5** (Schwartz reflection principle (Shakarchi 5.6)). Let  $\Omega$  be an open subset of  $\mathbb{C}$  that is symmetric with respect to the real line, i.e.,  $z \in \Omega$  if and only if  $\bar{z} \in \Omega$ . Define  $\Omega^+, \Omega^-$  to be subsets of  $\Omega$  with positive and negative imaginary part respectively, and let  $I = \Omega \cap \mathbb{R}$ . Let  $f$  be a holomorphic function on  $\Omega^+$  that extends continuously to  $I$  and such that  $f$  is real-valued on  $I$ . Then there exists  $F$  holomorphic on all of  $\Omega$  with  $F|_{\Omega^+ \cup I} = f$ .

To do this, we start by defining a holomorphic function  $F$  on  $\Omega^-$ , then we prove that  $F$  is holomorphic on  $\Omega$  (and  $F$  is holomorphic on  $I$ ).

**Theorem 9.6** (Symmetric principle). Let  $f^+, f^-$  be holomorphic functions on  $\Omega^+, \Omega^-$  respectively that extend continuously on  $I$  such that they agree on  $I$ . Then the function  $f$  on  $\Omega$  defined by

$$f(z) = \begin{cases} f^+(z) & z \in \Omega^+, \\ f^+(z) = f^-(z) & z \in I, \\ f^-(z) & z \in \Omega^- \end{cases}$$

is holomorphic on  $\Omega$ .

To see that  $f$  is holomorphic on  $I$ , we may use Morera's theorem; pick any open disc  $D$  centered at a point  $z \in I$  which is entirely contained in  $\Omega$ . We will show that  $f$  is holomorphic on  $D$ . Observe that any  $T \subset D$  is of four types; either it (i) does not intersect  $I$ , (ii) aligns with  $I$  with one of its sides, (iii) intersects with  $I$  at exactly one vertex, (iv) or intersects with  $I$  at two points.

For case (i), we have that Cauchy's theorem immediately shows that  $\int_T f(z) dz = 0$ . For cases (ii) and (iii), we may let  $T_\varepsilon$  (i.e., moved upwards or downwards by  $\varepsilon$  so it is of case (i)) be an affine shift of  $T$ ; then

$$\int_{T_\varepsilon} f(z) dz \xrightarrow{\varepsilon \rightarrow 0} \int_T f(z) dz = 0.$$

For case (iv), we can partition the triangle into subtriangles satisfying case (ii) or (iii), and so we immediately have that the integral vanishes too.

With this, we may now prove the Schwartz reflection principle.

*Proof.* Let  $f$  be holomorphic on  $\Omega^+$  and let it extend continuously to  $I$  such that it is real-valued on  $I$ . We claim that there exists  $F$  on  $\Omega$  such that the restriction of  $F$  onto  $\Omega^+$  is equal to  $f$  on  $\Omega^+$ . We may construct such  $F$  by having  $f^-(z) = \overline{f(z)}$  for  $z \in \Omega^-$ . It suffices to check that  $f^-$  is holomorphic on  $\Omega^-$ ; for all  $z, z_0 \in \Omega^-$ , we have that  $\bar{z}, \bar{z}_0 \in \Omega^+$ . Since  $f$  is holomorphic at  $\bar{z}_0$ ,  $f$  admits a power series

$$f(\bar{z}_0) = \sum_{n=0}^{\infty} a_n(\bar{z} - \bar{z}_0)^n,$$

which converges on some  $D_r(\bar{z}_0)$  with  $r > 0$ . In particular,

$$f^-(z) = \overline{f(\bar{z})} = \sum_{n=0}^{\infty} \overline{a_n}(z - z_0)^n,$$

which converges on  $D_r(z_0)$ , which by Hadamard's formula, admits the same radius of convergence as the power series about  $f(\bar{z}_0)$ , i.e., the power series for  $f^-(z)$  converges on  $D_r(z_0)$ . Hence,  $f^-$  is holomorphic at  $z_0$ , and since  $f$  extends continuous to  $I$  and is real valued on  $I$ , we have that  $\overline{f(\bar{x})} = f(x)$  for all  $x \in I$ , and so  $f^-$  can be extended continuously to  $I$  such that  $f^- = f^+$  on  $I$ . In this manner, we may apply the symmetric principle from earlier to obtain  $F$  satisfying the Schwartz reflection principle.<sup>11</sup>  $\square$

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<sup>11</sup>ref: p.60 shakarchi

## §10 Day 10: Third Application of Cauchy's Formula; Analytic Extension of Gamma Function (Oct. 2, 2025)

We now discuss a third application of the Cauchy integral formula. Let  $f$  be given by

$$f(z) = \int_a^b F(z, s) ds,$$

where  $F(z, s)$  is holomorphic for  $z \in \mathbb{C}$  and continuous in  $s \in \mathbb{R}$ . Is  $f$  holomorphic in  $z$ ?

**Example 10.1.** Consider the gamma function  $\Gamma(s) = \int_0^\infty e^{-t} t^{s-1} dt$ , which can be thought of as

$$\lim_{\varepsilon \rightarrow 0^+} \int_\varepsilon^{\frac{1}{\varepsilon}} e^{-t} t^{s-1} dt.$$

For  $s > 0$ , we have convergence, as for  $t \rightarrow 0$ , we have that  $e^{-t} t^{s-1} \approx t^{s-1}$ , which is integrable; for large  $t$ , the convergence is essentially “guaranteed” by the exponential decay of the integrand, i.e.,  $|e^{-t} t^{s-1}| \leq C e^{-\frac{t}{2}}$ , which is integrable over  $\varepsilon^{-1}$  to infinity. We ask, is  $\Gamma(s)$  convergent or analytic when  $\Re s > 0$ ?

**Theorem 10.2** (Thm. 5.4, Shakarchi). Let  $F(z, s)$  be defined for  $(z, s) \in \Omega \times [0, 1]$ , where  $\Omega$  is open in  $\mathbb{C}$ . Suppose  $F$  satisfies the following,

- (i)  $F(z, s)$  is holomorphic in  $z$  for all  $s \in [0, 1]$ ,
- (ii)  $F$  is continuous on  $\Omega \times [0, 1]$ .

Then  $f(z) = \int_0^1 F(z, s) ds$  is holomorphic on  $\Omega$ .

*Proof.* Observe that we may write  $\int_0^1 F(z, s) ds$  in terms of Riemann sums. Define  $\{f_n\}_{n \in \mathbb{N}}$  on  $\Omega$  by

$$f_n(z) = \frac{1}{n} \sum_{k=1}^n F(z, k/n),$$

for which we may note that each  $f_n$  is holomorphic in  $z$  by property (i). We claim that  $\{f_n\}$  converges uniformly to some  $f$ , i.e., as  $n \rightarrow \infty$ , we have that

$$\frac{1}{n} \sum_{k=1}^n F(z, k/n) \rightarrow \int_0^1 F(z, s) ds = f(z).$$

For any open disc  $D$  with closure  $\overline{D} \subset \Omega$ , we want to show that  $\{f_n\} \rightarrow f$  uniformly on  $D$ . Since  $F$  is continuous on  $\Omega \times [0, 1] \supset \overline{D} \times [0, 1]$ , which we observe is compact, so we indeed have uniform continuity. In particular, this means that for all  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $\sup_{z \in D} |F(z, s_1) - F(z, s_2)| < \varepsilon$  whenever  $|s_1 - s_2| < \delta$ .

In this manner, we may take that given  $n > \delta^{-1}$  and  $z \in D$ , we have that

$$\begin{aligned} |f_n(z) - f(z)| &= \left| \sum_{k=1}^n \int_{(k-1)/n}^{k/n} F(z, k/n) - F(z, s) ds \right| \\ &\leq \sum_{k=1}^n \int_{(k-1)/n}^{k/n} |F(z, k/n) - F(z, s)| ds && \text{(Triangle ineq.)} \\ &< \sum_{k=1}^n \int_{(k-1)/n}^{k/n} \varepsilon ds = \sum_{k=1}^n \frac{\varepsilon}{n} = \varepsilon. \end{aligned}$$

Thus, we establish that  $f_n \rightarrow f$  on  $D$ , and by the first consequence of Cauchy's integral formula (equivalently, Theorem 5.2 in Shakarchi and 9.3 in these notes), we have that  $f$  is holomorphic in  $z$  on  $\Omega \times [0, 1]$ .  $\square$

We now return to the Gamma function, which will follow Ch. 6 in Shakarchi.

**Proposition 10.3** (Prop. 1.1, Shakarchi; p. 160).  $\Gamma(s)$  extends analytically on  $\Re s > 0$ , and is given by the same formula.

*Proof.* It suffices to consider that this holds for every strip  $S_{\delta, M} = \{s \in \mathbb{C} \mid \delta < \Re s < M\}$ , where  $\delta, M$  are positive reals satisfying  $\delta < M$ . For all  $s \in S_{\delta, M}$ , denote  $\Re s$  by  $\sigma$ . Then

$$\left| \int_0^\infty e^{-t} t^{s-1} dt \right| \leq \int_0^\infty |e^{-t} t^{s-1}| dt = \int_0^\infty e^{-t} t^{\sigma-1} dt,$$

per Euler's formula, of which we may observe the latter integral converges by real convergence (as discussed earlier). Now, let  $f(s, t) = e^{-t} t^{s-1}$  be holomorphic in  $s$  and continuous in  $(s, t)$ . For  $\varepsilon > 0$ , define

$$F_\varepsilon(s) = \int_\varepsilon^{\frac{1}{\varepsilon}} e^{-t} t^{s-1} dt;$$

per our previous result, we have that  $F_\varepsilon(s)$  is holomorphic in  $s$  on  $S_{\delta, M}$ . Also, per the first result of Cauchy's formula, it suffices to check that  $F_\varepsilon$  converges uniformly to  $\Gamma$  on  $S_{\delta, M}$ . Directly write as follows,

$$|\Gamma(s) - F_\varepsilon(s)| \leq \int_0^\varepsilon e^{-t} t^{\sigma-1} dt + \int_{\frac{1}{\varepsilon}}^\infty e^{-t} t^{\sigma-1} dt,$$

for which we may observe that

$$\begin{aligned} \int_0^\varepsilon e^{-t} t^{\sigma-1} dt &= \frac{\varepsilon^\sigma}{\sigma} = \frac{\varepsilon^\sigma}{\delta} \xrightarrow{\varepsilon \rightarrow 0} 0, & (\text{on } 0 < \varepsilon < 1) \\ \left| \int_{\frac{1}{\varepsilon}}^\infty e^{-t} t^{\sigma-1} dt \right| &\leq \int_{\frac{1}{\varepsilon}}^\infty e^{-t} t^{M-1} dt \leq C \int_{\frac{1}{\varepsilon}}^\infty e^{-t} dt \xrightarrow{\varepsilon \rightarrow 0} 0. \end{aligned}$$

Thus, we obtain uniform convergence of  $F_\varepsilon \rightarrow \Gamma$  independent of  $s$ , and we indeed have an analytic continuation of  $\Gamma$  to the half-plane  $\Re s > 0$ .  $\square$

Let  $\zeta(s) = \sum_{n=1}^\infty n^{-s}$  be the Riemann  $\zeta$  function, defined on  $\Re s > 1$  (clearly, otherwise the series does not converge).  $\zeta$  is analytic in the half-plane  $\Re s > 1$ , and we may show that it has an analytic extension using  $\Gamma$ . We know  $\Gamma$  can be analytically extended to a meromorphic function on  $\mathbb{C}$  with simple poles at  $s = 0, -1, -2, \dots$  using the relation  $\Gamma(s+1) = s\Gamma(s)$  and the fact that  $\Gamma(s)^{-1}$  is entire. Then we have that

$$\eta(s) = \pi^{-\frac{s}{2}} \Gamma(s/2) \zeta(s).$$

## §11 Day 11: Singularities of Holomorphic Functions (Oct. 8, 2025)

Let  $f$  be a holomorphic function defined in an open set  $\Omega$ , except at  $z_0 \in \Omega$ . We call  $z_0$  an isolated singularity of  $f$ . For an example, let  $\Omega = \mathbb{D}$ ,  $z_0 = 0$ ;

- (i) Let  $f_1(z) = z$  and  $f_2(z) = z^2 + 1$ . We may extend  $f_1, f_2$  holomorphically to  $z_0 = 0$  by plugging in  $z = 0$  to the definitions of each to get  $f_1(0) = 0$  and  $f_2(0) = 1$ . Clearly,  $f_1, f_2$  in this manner are holomorphic on  $\mathbb{D}$ .
- (ii) Let  $f_1(z) = \frac{1}{z}$  and  $f_2(z) = \frac{1}{z^2}$ . In both cases,  $\lim_{z \rightarrow 0} |f_i(z)| \rightarrow +\infty$ .
- (iii) Let  $f(z) = e^{1/z}$ . We can show that the limit as  $z \rightarrow 0$  of  $f(z)$  does not exist. For all  $z \in \mathbb{C} \setminus \{0\}$ , write  $z = \rho e^{i\theta}$ ; we have that  $f(z) = f(\rho e^{i\theta}) = \exp(\frac{1}{\rho} e^{-i\theta})$ . Along  $\theta = 0$ , we have that  $\exp(1/\rho) \rightarrow \infty$ , while along  $\theta = \pi$ , we have that  $\exp(-1/\rho) \rightarrow 0$ , so the limit clearly does not exist.

Let  $f$  be a holomorphic function defined on an open set  $\Omega$ , except at  $z_0 \in \Omega$ .

- (i) If we can define  $f$  at  $z_0$  in such a way that  $f$  becomes holomorphic on  $\Omega$ , we say that  $z_0$  is a removable singularity.
- (ii) We say that  $z_0$  is a pole of  $f$  if  $1/f$  is holomorphic on  $U \setminus \{z_0\}$  with  $U$  being some open neighborhood of  $z_0$  in  $\Omega$ , and setting  $(1/f)(z_0) = 0$  makes  $1/f$  holomorphic on  $U$ .
- (iii) If  $z_0$  is neither removable nor a pole, then we say that it is an essential singularity of  $f$ .

**Theorem 11.1** (§3.3.1: Riemann's theorem on removable singularities). Suppose  $f$  is holomorphic on  $\Omega \setminus \{z_0\}$  for some  $z_0 \in \Omega$ ; if  $f$  is bounded on  $\Omega \setminus \{z_0\}$ , then  $z_0$  is a removable singularity.<sup>12</sup>

*Proof.* Assume  $\Omega = D_r(z_0)$ , where  $r > 0$  and  $C = \partial D$  with the counterclockwise orientation. It suffices to show that for all  $z \in D_r(z_0) \setminus \{z_0\}$ , we have

$$f(z) = \frac{1}{2\pi i} \int_C \frac{f(\zeta)}{\zeta - z} d\zeta,$$

i.e., Cauchy's integral formula holds. This is not immediate as  $\text{int } C \not\subset \Omega \setminus \{z_0\}$ . If we indeed have Cauchy's integral formula, we can show that  $f$  is holomorphic at  $z_0$  per the following,

$$\frac{1}{2\pi i} \int_0^{2\pi} \frac{f(\zeta)}{\zeta - z} d\zeta = \frac{1}{2\pi i} \int_0^{2\pi} \frac{z_0 + r e^{i\theta}}{z_0 + r e^{i\theta} - z} i e^{i\theta} d\theta,$$

where the integrand can be denoted  $F(z, \theta)$  on  $D_r(z_0) \times [0, 2\pi]$ . By the third application of Cauchy's integral formula, we have that  $f$  is indeed holomorphic. Having established this, we now show the formula holds for all  $z \in D$ . Consider the double keyhole contour on  $C$  and about  $z, z_0$ . By sending the width of the corridors between  $C$  and  $z, z_0$  to zero, we have that

$$0 = \int_C \frac{f(\zeta)}{\zeta - z} d\zeta + \int_{C_\varepsilon(z)} \frac{f(\zeta)}{\zeta - z} d\zeta + \int_{C_\varepsilon(z_0)} \frac{f(\zeta)}{\zeta - z} d\zeta,$$

<sup>12</sup>i'm going to start using this to label shakarchi sections.

where we impose a radius of  $\varepsilon$  for the keyholes about  $z$  and  $z_0$ . By parameterizing the respective contours, we have

$$\int_{-C_\varepsilon(z)} \frac{f(\zeta)}{\zeta - z} d\zeta = - \int_0^{2\pi} \frac{f(z + \varepsilon e^{i\theta})}{\varepsilon e^{i\theta}} i\varepsilon e^{i\theta} d\theta = -i \int_0^{2\pi} f(z + i\varepsilon e^{i\theta}) d\theta.$$

As  $\varepsilon \rightarrow 0$ , this is just  $-i2\pi f(z)$ . We may show that the contour integral about  $-C_\varepsilon(z_0)$  also vanishes; directly write as follows,

$$\begin{aligned} \left| \int_{C_\varepsilon(z_0)} \frac{f(\zeta)}{\zeta - z} d\zeta \right| &= \text{length}(C_\varepsilon(z_0)) \sup_{C_\varepsilon(z_0)} \left| \frac{f(\zeta)}{\zeta - z} \right| \\ &\leq \text{length}(C_\varepsilon(z_0)) \cdot \sup_{C_\varepsilon(z_0)} (f(\zeta)) \cdot \frac{1}{\frac{|z - z_0|}{2}} \cdot 2\pi\varepsilon, \end{aligned}$$

which converges to 0 as  $\varepsilon \rightarrow 0$  using the fact that  $f$  is bounded. From here, it is immediate that

$$2\pi i f(z) = \int_C \frac{f(\zeta)}{\zeta - z} d\zeta. \quad \square$$

**Corollary 11.2** (§3.3.2). Let  $f$  be holomorphically defined on an open  $\Omega$  except at  $z_0 \in \Omega$ . Then  $z_0$  is a pole if and only if  $|f(z)| \rightarrow \infty$  as  $z \rightarrow z_0$ .

*Proof.* We proceed in both directions.

( $\Leftarrow$ )  $|f(z)| \rightarrow \infty$  as  $z \rightarrow z_0$ , so  $(1/f)(z_0) = 0$ . This means that  $1/f$  is holomorphic on some  $U \setminus \{z_0\}$  where  $U$  is an open neighborhood of  $z_0$  in  $\Omega$ ; however, this means  $1/f$  is bounded on  $U \setminus \{z_0\}$ , so Riemann's theorem on removable singularities shows that  $z_0$  is a removable singularity, so  $z_0$  has to be a pole of  $f$ .

( $\Rightarrow$ ) Let  $\tilde{f}$  denote the holomorphic extension onto  $U$  of  $1/f$ . In particular, we have that  $1/f$  is continuous at  $z_0$ , so  $(1/f)(z_0) = \lim_{z \rightarrow z_0} (1/f)(z) = 0$ .  $\square$



## §12 Day 12: Singularities and Extended Complex Plane (Oct. 14, 2025)

Last time, we talked about singularities of holomorphic functions. Let  $f$  be holomorphic on an open set  $\Omega$  except at  $z_0 \in \Omega$ ; then  $z_0$  is called an isolated singularity of  $f$ .

- (i)  $z_0$  is a removable singularity if we can define  $f(z_0)$  such that  $f$  is holomorphic on  $\Omega$ .
- (ii)  $z_0$  is a pole if  $(1/f)(z_0) = 0$  makes  $1/f$  a holomorphic function in a neighborhood of  $z_0$ .
- (iii)  $z_0$  is an essential singularity if it is neither removable nor a pole. One such example is given by  $\exp(\frac{1}{z-z_0})$ .

**Theorem 12.1** (Casorati–Weierstrass, §3.3.3). Suppose  $f$  is holomorphic in the punctured disc  $D_r(z_0) \setminus \{z_0\}$  and has an essential singularity at  $z_0$ . Then the image of  $(D_r(z_0) \setminus \{z_0\})$  under  $f$  is dense.

*Proof.* We will prove by contradiction. Suppose  $f(D_r(z_0) \setminus \{z_0\})$  is not dense; then there exists  $w \in \mathbb{C}$  and  $\delta > 0$  such that  $D_\delta(w) \cap f(D_r(z_0) \setminus \{z_0\}) = \emptyset$ , i.e.,  $|f(z) - w| \geq \delta$  for all  $z \in D_r(z_0) \setminus \{z_0\}$ . Consider  $g : D_r(z_0) \setminus \{z_0\} \rightarrow \mathbb{C}$  defined by

$$g(z) = \frac{1}{f(z) - w},$$

for which we note that  $|g(z)| \leq \delta^{-1}$ . We have that  $g$  is holomorphic on  $D_r(z_0) \setminus \{z_0\}$ , and it is bounded. By Riemann's theorem on removable singularities, we conclude that  $z_0$  is a removable singularity of  $g$ , so  $g$  can be analytically extended to  $z_0$ . We usually denote the analytic extension by the same symbol. We have two cases to consider;

- (i) If  $g(z_0) = 0$ , then  $f(z) \rightarrow \infty$  as  $z \rightarrow z_0$ , so  $z_0$  is a pole of  $f$ , which is a contradiction.
- (ii) If  $g(z_0) \neq 0$ , then  $f(z) - w$  can be analytically extended to  $z_0$ , which also contradicts the assumption.  $\square$

We now describe the local behaviors of singularities. Consider the zeroes of a holomorphic function.

**Theorem 12.2** (§3.1.1). Suppose  $f$  is holomorphic in a connected open set  $\Omega$ , has a zero at  $z_0 \in \Omega$ , and does not vanish identically on  $\Omega$ . Then there exists an open neighborhood  $U \subset \Omega$  of  $z_0$  and a non-vanishing holomorphic  $g$  on  $U$  with a unique  $n \in \mathbb{N}$  such that

$$f(z) = (z - z_0)^n g(z), \quad \forall z \in U.$$

*Proof.* Since  $\Omega$  is a connected open set and  $f$  does not vanish identically on  $\Omega$ , we have that the zeroes of  $f$  are isolated. There exists an open disc  $D_r(z_0)$  such that  $z_0$  is the only zero of  $f$  on  $D_r(z_0)$ ; then on  $D_r(z_0)$ ,  $f$  has the power series expansion

$$f(z) = \sum_{k=0}^{\infty} a_k (z - z_0)^k = (z - z_0)^n [a_n + a_{n+1}(z - z_0) + \dots],$$

where we take  $n$  to be minimal and for which we denote the latter  $g(z)$  as per our previous proofs. By Hadamard's formula,  $g(z)$  is a convergent power series on  $D_r(z_0)$ ,

and hence holomorphic. Moreover,  $g(z_0) = a_n \neq 0$ , and so we may prove the uniqueness of  $n$ . Suppose that we can also write

$$(z - z_0)^n g(z) = (z - z_0)^m h(z)$$

with  $m > n$ . Then  $g(z) = (z - z_0)^{m-n} h(z)$ , where we take  $z \rightarrow z_0$  to see  $g(z_0) = 0$ , which is contradictory. Thus,  $m = n$  and  $h = g$ , so we are done here.  $\square$

**Theorem 12.3** (§3.1.2). If  $f$  has a pole at  $z_0 \in \Omega$ , then there exists an open neighborhood  $U \subset \Omega$  of  $z_0$ , a non-vanishing holomorphic function  $h$  on  $U$ , and a unique  $n \in \mathbb{N}$  such that

$$f(z) = (z - z_0)^{-n} h(z), \quad \forall z \in U \setminus \{z_0\}.$$

*Proof.* By the previous theorem, we have  $1/f(z) = (z - z_0)^n g(z)$ , where  $g$  is holomorphic and non-vanishing in a neighborhood of  $z_0$ , so the result is given by taking  $h(z) = 1/g(z)$ .  $\square$

**Theorem 12.4** (§3.1.3). If  $f$  has a pole of order  $n$  at  $z_0$ , we may write

$$f(z) = \frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{z - z_0} + G(z),$$

where  $G(z) = \sum_{n \geq 0} b_n (z - z_0)^n$  is holomorphic on  $U$ .<sup>13</sup>

*Proof.* Since  $z_0$  is a pole of  $f$ , we consider  $F(z) = (1/f)(z)$ , which admits  $z_0$  as a zero. By the previous theorem,  $F(z) = (z - z_0)^n g(z)$ .  $\square$

Note that specifically, in the context above, we call

$$\frac{a_{-n}}{(z - z_0)^n} + \frac{a_{-n+1}}{(z - z_0)^{n-1}} + \cdots + \frac{a_{-1}}{z - z_0}$$

the *principal part* of  $f$  at  $z_0$ , and  $a_{-1}$  the residue of  $f$  at  $z_0$ .

**Definition 12.5.** A function  $f$  on an open set  $\Omega$  is called *meromorphic* if there exists a sequence of points  $\{z_0, z_1, \dots\}$  that has no limit points in  $\Omega$ , and such that (i)  $f$  is holomorphic on  $\Omega \setminus \{z_0, z_1, \dots\}$ , and (ii)  $f$  has poles at  $\{z_0, z_1, \dots\}$ .

We define the extended complex plane  $\hat{\mathbb{C}}$  as  $\mathbb{C} \cup \{\infty\}$ , and we equip  $\hat{\mathbb{C}}$  with the following topology; we say that  $U$  is open in  $\hat{\mathbb{C}}$  if  $U$  is open in  $\mathbb{C}$ , or  $\hat{\mathbb{C}} \setminus U$  is closed and bounded in  $\mathbb{C}$ . As an example,  $U = \mathbb{C} \setminus \overline{D_r(z_0)} \cup \{\infty\}$  is open.

**Proposition 12.6.**  $\hat{\mathbb{C}}$  is homeomorphic to a sphere  $S$  in  $\mathbb{R}^3$ .

*Proof.* Identify the complex plane with the  $xy$ -plane in  $\mathbb{R}^3$ , and suppose that the sphere is centered at  $(0, 0, \frac{1}{2})$  with radius  $\frac{1}{2}$ . Let  $\Phi : S \setminus \{N\} \rightarrow \mathbb{C}$ , where  $N = (0, 0, 1)$ , be the stereographic projection of  $W = (X, Y, Z) \mapsto w = (x, y, 0)$ . We have that

$$\frac{X}{x} = \frac{Y}{y} = \frac{1 - z}{1} \implies x = \frac{x}{1 - z}, y = \frac{y}{1 - z}.$$

We may check that the stereographic projection  $\Phi$  is a bijective homeomorphism by writing  $\Phi^{-1} : \mathbb{C} \rightarrow S \setminus \{N\}$  is given by

$$\Phi^{-1}(x, y) = \left( \frac{x}{x^2 + y^2 + 1}, \frac{y}{x^2 + y^2 + 1}, \frac{x^2 + y^2}{x^2 + y^2 + 1} \right).$$

In fact, we may extend to  $\Phi : S \rightarrow \hat{\mathbb{C}}$  by observing that  $\Phi(W) \rightarrow \infty$  as  $W \rightarrow N$ , so we just set  $\Phi(N) = \infty$ .  $\square$

<sup>13</sup>this was bundled together with the proof of the previous theorem in class, but i'm separating because of shakarchi'

We say that a meromorphic function  $f$  in  $\mathbb{C}$  is meromorphic in  $\hat{\mathbb{C}}$  if (i)  $\infty$  is an isolated singularity of  $f$ , i.e.,  $f$  is holomorphic on  $\mathbb{C} \setminus \overline{D_r(z_0)}$  for some  $z_0 \in \mathbb{C}$  and  $r > 0$ , and (ii)  $f$  is either holomorphic at  $\infty$  or has a pole at  $\infty$ , i.e., if  $F(z) = f(1/z)$ , then 0 is an isolated singularity of  $F$ .  $f$  is holomorphic at  $\infty$  if  $F$  is holomorphic at 0, and  $f$  has a pole at  $\infty$  if  $F$  has a pole at 0.

**Theorem 12.7** (§3.3.4). The meromorphic functions on  $\hat{\mathbb{C}}$  (the extended complex plane) are rational functions  $P(z)/Q(z)$  (where  $P(z), Q(z)$  are polynomials).

*Proof.* Let  $f$  be any meromorphic function on  $\hat{\mathbb{C}}$ . This means  $\infty$  is an isolated singularity, which means  $f$  is holomorphic in  $\mathbb{C} \setminus \overline{D_r(0)}$ . Then  $f$  can only have finitely many poles in  $\mathbb{C}$ , say,  $z_1, \dots, z_n$ . For each pole  $z_k$ , there exists an open neighborhood  $U_k$  of  $z_k$ , and a non-vanishing holomorphic function  $g_k$  on  $U_k$  such that

$$f(z) = \frac{a_{-n_k}}{(z - z_k)^{n_k}} + \dots + \frac{a_{-1}}{z - z_k} + g_k(z)$$

on  $U_k$ , and define  $f_k(z)$  to be the principal part of  $f$  at  $z_k$ , for which  $f_k$  is a polynomial in  $\frac{1}{z - z_k}$ . Similarly, we can consider  $F(z) = f(1/z)$ , where 0 is an isolated singularity of  $F$ . If 0 is a pole of  $F$ , then there exists an open disc  $D_r(0)$  and a holomorphic function  $g_0$  such that

$$F(z) = \tilde{f}_0(z) + \tilde{g}_0(z)$$

on  $D_r(0) \setminus \{0\}$ ; note that  $\tilde{f}_0(z)$  denotes the principal part of  $F$  at 0 (and similarly for  $\tilde{g}$ ), which is a polynomial in  $1/z$ . We may perform a final change of coordinates

$$f(z) = F(1/z) = \tilde{f}_0(1/z) + \tilde{g}_0(1/z),$$

where  $\tilde{f}_0(1/z) = f_0(z)$  which is a polynomial in  $z$ . Finally, write

$$H(z) = f(z) - f_0(z) + \left[ \sum_{k=1}^n f_k(z) \right],$$

for which we note that each term of the summation is a polynomial in  $\frac{1}{z - z_k}$  and  $f_0$  is a polynomial in  $z$ . It suffices to check that  $H$  is entire and bounded so we may apply Liouville's theorem to conclude. To see that  $H$  is entire, it suffices to show that each  $z_k$  is a removable singularity of  $H$ . Recall that  $f_k$  is the principal part of  $f$  at  $z_k$ ; there exists an open neighborhood  $U_k$  of  $z_k$  and a holomorphic  $g_k$  on  $U_k$  such that  $f(z) - f_k(z) = g_k(z)$ , where  $g_k$  gives a holomorphic extension of  $f - f_k$  to  $z_k$ . This means  $\sum_{j \neq k} f_j(z) + f_0(z)$  is holomorphic on  $U_k$ , and so  $z_k$  is a removable singularity of  $H$ .

For boundedness, it suffices to check that  $H$  is bounded on  $\mathbb{C} \setminus \overline{D_R(0)}$  for some  $R > 0$  (per compactness implying boundedness immediately). Recall that  $f_0$  comes from the principal part of  $F(z) = f(1/z)$  at 0. We have that  $F(z) = \tilde{f}_0(z) + \tilde{g}_0(z)$ , which is holomorphic on  $D_r(0)$ , and  $\tilde{g}_0$  is bounded on  $\overline{D_{r/2}(0)}$ , so  $f(z) - f_0(z) = \tilde{g}_0(1/z)$  is bounded on  $\mathbb{C} \setminus \overline{D_{2/r}(0)}$ .  $\square$

## §13 Day 13: Residue Theorem (Oct. 16, 2025)

Recall the theorem from last class,

**Theorem 13.1.** Let  $f$  be holomorphic on  $\Omega \setminus \{z_0\}$ , with  $z_0 \in \Omega$ . Suppose  $z_0$  is a pole of  $f$ ; then there exists a neighborhood  $U \subset \Omega$  of  $z_0$ , a holomorphic  $g$  on  $U$ , and a unique  $n \in \mathbb{N}$  such that

$$f(z) = \frac{a_{-n}}{(z - z_0)^n} + \cdots + \frac{a_{-1}}{(z - z_0)} + g(z)$$

for all  $z \in U \setminus \{z_0\}$ , where we say  $n$  is the order of the pole  $z_0$  and  $a_{-1}$  is the residue of  $f$  at  $z_0$ , denoted  $\text{res}_{z_0} f$ .

We now introduce the residue formula, which is an extension of Cauchy's integral formula to meromorphic functions.

**Theorem 13.2** (Residue Formula; §3.2.1). Suppose  $f$  is holomorphic on an open set containing a circle and its interior, except for  $z_0 \in \text{int } C$ , where  $f$  has a pole. Then

$$\int_C f(z) dz = 2\pi i \text{res}_{z_0} f.$$

*Proof.* We will use the keyhole contour  $\Gamma_\varepsilon$  on  $C$ , with an  $\varepsilon$ -radius path  $C_\varepsilon$  about  $z_0$ . By Cauchy's integral formula, we have that

$$0 = \int_{\Gamma_\varepsilon} f(z) dz \xrightarrow{\delta \rightarrow 0^+} \int_C f(z) dz + \int_{C_\varepsilon} f(z) dz,$$

where  $\delta$  is the width of the corridor, which, per usual, we send to 0. To find  $\int_{C_\varepsilon} f(z) dz$ , we use an approximation for  $f$  near  $z_0$ . Take  $D_r(z_0)$ ,  $g$  holomorphic on  $D_r(z_0)$ , and  $n \in \mathbb{N}$  such that

$$f(z) = \frac{a_{-n}}{(z - z_0)^n} + \cdots + \frac{a_{-1}}{z - z_0} + g(z) \quad \text{on } D_r(z_0) \setminus \{z_0\};$$

since  $g$  is holomorphic, we have that  $\int_{-C_\varepsilon} g(z) dz = 0$ . For  $1 \leq j \leq n$ ,

$$\int_{-C_\varepsilon} \frac{a_{-j}}{(z - z_0)^j} dz = \int_0^{2\pi} \frac{a_{-j}}{\varepsilon^j e^{ij\theta}} i\varepsilon e^{i\theta} d\theta = ia_{-j} \varepsilon^{1-j} \int_0^{2\pi} e^{i(1-j)\theta} d\theta.$$

If  $j = 1$ , this is equal to  $ia_{-j}2\pi$ ; if  $j \neq 1$ , then it vanishes, so we are done.  $\square$

If there are multiple poles, take multiple keyholes; we get  $2\pi i \sum \text{res}_{z_j} f$ . Now, suppose  $f$  has no poles and does not vanish on  $C$ ; then what is

$$\int_C \frac{f'(z)}{f(z)} dz$$

given by? In the real setting, we have that the integrand is equal to  $(\log \circ f(x))'$  by the chain rule; but this does not hold for complex. In fact, we claim that the integral is equal to the  $2\pi i$  multiplied by the number of zeroes of  $f$  in  $C$ , subtracted by the number of poles of  $f$  in  $C$ .<sup>14</sup> Next time, we will study  $\log z$  in further detail. We now show that the above claim is true (while counting with order and multiplicity).

<sup>14</sup>§3.4.1

*Proof.* Let  $z_1, \dots, z_n$  be the zeroes of  $f$  in  $C$  and let  $w_1, \dots, w_m$  be the poles of  $f$  in  $C$ . Take keyholes around all of those points; we have that

$$\int_C \frac{f'(z)}{f(z)} dz = \sum_{j=1}^n \int_{-C_{j,\varepsilon}} \frac{f'(z)}{f(z)} dz + \sum_{k=1}^m \int_{-C_{k,\varepsilon}} \frac{f'(z)}{f(z)} dz.$$

Since  $z_j$  is a zero, we may find an open disc  $D_r(z_j)$ , a holomorphic non-vanishing  $g_j$  on  $D_r(z_j)$ , and a unique  $n_j \in \mathbb{N}$  such that

$$\begin{aligned} f(z) &= (z - z_j)^{n_j} g_j(z), \\ f'(z) &= n_j(z - z_j)^{n_j-1} g_j(z) + (z - z_j)^{n_j} g'_j(z), \end{aligned}$$

for all  $z \in D_r(z_j)$ . Thus, we have

$$\int_{-C_{z_j,\varepsilon}} \frac{f'(z)}{f(z)} dz = \int_{-C_{z_j,\varepsilon}} \frac{n_j}{z - z_j} + \int_{-C_{z_j,\varepsilon}} \frac{g'_j(z)}{g_j(z)} = 2\pi n_j$$

by the residue formula, since we may observe the latter integrand is holomorphic (as  $g \neq 0$  in the interior of the circle). For  $w_k$ , we have that  $f(z) = (z - w_k)^{-n_k} h_k(z)$ , and  $f'(z) = -n_k(z - w_k)^{-n_k-1} h_k(z) + (z - w_k)^{-n_k} h'_k(z)$ , so from the above, we obtain that their integrals are simply  $-2\pi n_k$ , and so this yields our sum as desired.  $\square$

## §14 Day 14: Rouché's Theorem and Maximum Modulus Principle (Oct. 21, 2025)

Recall the argument principle; suppose  $f$  is a meromorphic function in an open set containing a circle  $C$  and its interior. If  $f$  has no zeroes and poles on  $C$ , then

$$\frac{1}{2\pi i} \int_C \frac{f'(z)}{f(z)} dz$$

is given by the number of zeroes of  $f$  inside  $C$ , subtracted by the number of poles of  $f$  inside  $C$ , both of which are counted for multiplicity.

**Theorem 14.1** (Rouché's theorem §3.4.3). Suppose that  $f$  and  $g$  are holomorphic on an open set containing a circle  $C$  and its interior. If  $|f(z)| > |g(z)|$  for all  $z \in C$ , then the number of zeroes of  $f$  inside  $C$  is equal to the number of zeroes of  $f + g$  inside  $C$ .

We will prove this using the argument principle and by deforming  $f$  to  $f + g$ .

*Proof.* For  $t \in [0, 1]$ , define  $F_t(z) = f(z) + tg(z)$  so  $F_0 = f$  and  $F_1 = f + g$ . Let  $n_t$  denote the number of zeroes of  $F_t$  inside  $C$ . For all  $z \in C$ , observe that we have

$$|F_t(z)| = |f(z) + tg(z)| \geq |f(z)| - t|g(z)| \geq |f(z)| - |g(z)| > 0;$$

by the argument principle, we may write

$$n_t = \frac{1}{2\pi i} \int_C \frac{F_t'(z)}{F_t(z)} dz,$$

where  $n_t$  is always an integer. In order to show  $n_0 = n_1$ , it suffices to show that  $n_t$  is continuous with respect to  $t$ . Observe that

$$\frac{F_t'(z)}{F_t(z)} = \frac{f'(z) + tg'(z)}{f(z) + tg(z)}$$

is continuous on  $C \times [0, 1]$ , which is compact, so it is uniformly continuous. This means  $n_t$  is continuous with respect to  $t$ , so it must be constant.  $\square$

**Example 14.2.** Suppose  $f$  and  $g$  are holomorphic in a region containing the disc  $|z| \leq 1$ , for which  $f$  has a simple (order 1) zero at  $z = 0$ , and vanishes nowhere else in  $|z| \leq 1$ . We may perturb  $f$  using  $g$ ; let  $f_\varepsilon = f + \varepsilon g$ ; we will show that if  $\varepsilon$  is sufficiently small, then  $f_\varepsilon$  has a unique zero in  $|z| < 1$ . Moreover, if  $z_\varepsilon$  is such a unique zero, then the mapping  $\varepsilon \mapsto z_\varepsilon$  is continuous.

*Proof.* Indeed, we need to pick  $\varepsilon$  small enough such that  $|f(z)| > |\varepsilon g(z)|$  for all  $|z| = 1$  to apply Rouché's theorem. Since  $f$  does not vanish on  $|z| = 1$ , we may find  $\varepsilon_0 > 0$  such that  $|f| > \varepsilon_0 |g|$  on  $|z| = 1$ ; specifically,

$$\inf_{|z|=1} |f(z)| > \varepsilon_0 \sup_{|z|=1} |g(z)|.$$

In particular, for all  $\varepsilon \in \mathbb{C}$  with  $|\varepsilon| < \varepsilon_0$ , by Rouché's theorem, we have that

$$1 > \#\{z \mid f(z) = 0, |z| \leq 1\} = \#\{z \mid f_\varepsilon(z) = 0, |z| \leq 1\}.$$

We may modify the above proof by observing that for all  $r \in (0, 1)$ ,  $f$  does not vanish on  $|z| = r$ , so there exists  $\varepsilon_r$  (in place of  $\varepsilon_0$ ) such that the above holds. We may follow through with the earlier proof to obtain that the number of zeroes of  $f$  and  $f_\varepsilon$  in  $|z| \leq r$  must coincide to conclude continuity.  $\square$

A mapping between topological spaces is said to be open if it maps open sets to open sets. As an example, consider  $f : \mathbb{R} \rightarrow \mathbb{R}$  given by  $x \mapsto x^2$ ; we have  $f(\mathbb{R}) = [0, \infty)$ .

**Theorem 14.3** (Open mapping theorem §3.4.4). If  $f$  is holomorphic and non-constant in a region  $\Omega$ , then  $f$  is open.

*Proof.* It suffices to show that  $\Im f$  is open. Pick any  $w_0 \in \Im f$ ; then  $w_0 = f(z_0)$  for some  $z_0 \in \Omega$ . We want to find an open neighborhood  $U$  of  $w_0$  such that  $U \subset \Im f$ . Pick any  $w \in \mathbb{C}$ ; consider the function  $g$  on  $\Omega$  defined by  $g(z) = f(z) - w$  (we have that  $w \in \Im f$  if and only if  $g$  has a zero in  $\Omega$ ), which is equal to  $(f(z) - w_0) + (w_0 - w)$ . Let the former be  $F(z)$  and the latter  $G(z)$ . Since  $f$  is non-constant, so is  $F$ , and we have that  $z_0$  is a zero of  $F$ , so we may find some closed disc  $\overline{D_\delta(z_0)}$  such that  $z_0$  is the only zero of  $F$ . This implies  $\varepsilon_0 = \inf_{z \in \partial D_\delta(z_0)} |F(z)| > 0$ . For all  $w \in D_{\varepsilon_0/2}(w_0)$ , we have

$$\inf_{z \in \partial D_\delta(z_0)} |F(z)| > \sup_{z \in \partial D_\delta(z_0)} |G(z)| = |w_0 - w|;$$

by Rouché's theorem, we have that the number of zeroes of  $F$  is equal to the number of zeroes of  $F + G$  on  $D_\delta(z_0)$ , and we are done. <sup>15</sup>  $\square$

**Theorem 14.4** (Maximum modulus principle §3.4.5). If  $f$  is a nonconstant holomorphic function in a region  $\Omega$ , then  $f$  cannot obtain a maximum in  $\Omega$ .

*Proof.* Suppose  $f$  attains maximum at  $z_0$ ; since  $f$  is holomorphic, it is an open mapping, so by the open mapping theorem, there exists  $\delta > 0$  such that  $D_\delta(f(z_0)) \subset \Im f$ . This proves that  $|f(z)| > |f(z_0)|$  for some  $z \in \Omega$ , which is a contradiction.  $\square$

We now discuss homotopies and simply connected domains. Let  $\Omega$  be an open set, and let  $\gamma_0, \gamma_1 : [a, b] \rightarrow \Omega$  be two parameterized curves such that  $\gamma_0(a) = \gamma_1(a) = \alpha$  and  $\gamma_0(b) = \gamma_1(b) = \beta$ . These two curves are said to be homotopic in  $\Omega$  if we can deform  $\gamma_0$  continuously to  $\gamma_1$  in  $\Omega$ , i.e., if there exists a continuous map  $F(s, t) : [0, 1] \times [a, b] \rightarrow \Omega$  such that  $F(0, \cdot) = \gamma_0$ ,  $F(1, \cdot) = \gamma_1$  and  $F(\cdot, a) = \alpha$ ,  $F(\cdot, b) = \beta$ .

**Theorem 14.5** (§3.5.1). Let  $f$  be a holomorphic function on  $\Omega$ . Then  $\int_{\gamma_0} f(z) dz = \int_{\gamma_1} f(z) dz$  for any two homotopic curves  $\gamma_0, \gamma_1$  in  $\Omega$ .

*Proof.* Let  $F : [0, 1] \times [a, b] \rightarrow \Omega$  be a continuous map that deforms  $\gamma_0$  to  $\gamma_1$  in  $\Omega$ . Let  $K := F([0, 1] \times [a, b])$ . Clearly,  $K$  is compact, and there exists  $\varepsilon > 0$  such that, for all  $(s, t) \in [0, 1] \times [a, b]$ ,  $D_{3\varepsilon}(F(s, t)) \subset \Omega$ . Since  $F$  is uniformly continuous, there exists  $\delta > 0$  such that

$$\sup_{t \in [a, b]} |\gamma_{s_1}(t) - \gamma_{s_2}(t)| < \varepsilon$$

for all  $s_1, s_2 \in [0, 1]$  with  $|s_1 - s_2| < \delta$ . We choose discs  $\{D_0, \dots, D_n\}$  of radius  $2\varepsilon$ , and choose consecutive points  $\{z_0, \dots, z_{n+1}\}$  on  $\gamma_{s_1}$  and  $\{w_0, \dots, w_{n+1}\}$  on  $\gamma_{s_2}$  such that  $\gamma_{s_1}, \gamma_{s_2}$  are covered by the union of the discs and  $z_i, z_{i+1}, w_i, w_{i+1} \in D_i = D_{2\varepsilon}(z_i)$ . On each  $D_i$ ,  $f$  has a primitive  $F_i$ , and on each  $D_{i-1} \cap D_i$ ,  $f$  has two primitives  $F_{i-1}$  and  $F_i$ , of which they differ by a constant  $F_i - F_{i-1} = c_i$ , where

$$F_i(z_i) - F_{i-1}(z_i) = F_i(w_i) - F_{i-1}(w_i) \implies F_i(z_i) - F_i(w_i) = F_{i-1}(z_i) - F_{i-1}(w_i).$$

<sup>15</sup>read the proof in Shakarchi for this. it is much better

Directly write as follows,

$$\begin{aligned}
 \int_{\gamma_{s_1}} f(z) dz - \int_{\gamma_{s_2}} f(z) dz &= \sum_{i=0}^n [F_i(z_{i+1}) - F_i(z_i)] - \sum_{i=0}^n [F_i(w_{i+1}) - F_i(w_i)] \\
 &= \sum_{i=0}^n [F_i(z_{i+1}) - F_i(w_{i+1})] - [F_i(z_i) - F_i(w_i)] \\
 &= [F_n(z_{n+1}) - F_n(w_{n+1})] - [F_0(z_1) - F_0(w_1)] = 0. \quad \square
 \end{aligned}$$

A *region*  $\Omega$  in  $\mathbb{C}$  is simply connected if any pair of curves in  $\Omega$  with the same endpoints are homotopic in  $\Omega$ .

**Example 14.6.** Any open disc  $D \subset \mathbb{C}$  is simply connected.



## §15 Day 15: Morera's revisited, Complex Logarithm (Oct. 24, 2025)

Recall the definition of homotopy and simply connected domains.

**Theorem 15.1** (Morera's, revisited §3.5.2). In a simply connected domain, any holomorphic function has a primitive.

*Proof.* Let  $\Omega$  be a simply connected domain, and let  $f$  be a holomorphic function on  $\Omega$ . Fix  $z_0 \in \Omega$ ; define  $F : \Omega \rightarrow \mathbb{C}$  by  $F(z) = \int_{\gamma_z} f(w) dw$ , where  $\gamma_z$  is a curve going from  $z_0$  to  $z$ , and exists by the fact that  $\Omega$  is path connected. Per simple connectedness, we have that  $F(z)$  is independent of the choice of  $\gamma_z$ , so it is indeed well defined; we now check that it is a primitive. Observe that

$$F(z+h) - F(z) = \int_{\gamma_{z+h}} f(w) dw - \int_{\gamma_z} f(w) dw;$$

pick  $\gamma_z$  and  $\gamma_{z+h}$  as in the proof of Morera's theorem, from which we obtain the straight line path  $\eta$  from  $z$  to  $z+h$  such that the above is equal to  $\int_{\eta} f(w) dw$ . Then we obtain the same conclusion that

$$\left| \frac{F(z+h) - F(z)}{h} - f(z) \right| \xrightarrow{h \rightarrow 0} 0. \quad \square$$

We now introduce the complex logarithm. Recall that the real log is the inverse of the exponential function, i.e., there is a unique  $x$  such that  $e^{\log x} = x$ ; from this, we wish to define an analogous complex log such that  $e^{\log z} = z$ . Observe that

- (i) if we write  $z = \rho e^{i\theta}$ , then  $\rho = |z|$  and  $\theta = \arg z$ ; we may define  $\log z = \log |z| + i \arg z$ .
- (ii) we can choose a single value for  $\log z$  in a continuous and holomorphic way with respect to  $z$ .

Any such choice is called a branch of the complex logarithm. The existence of such a branch requires restriction on the domain  $\Omega$ ; as an example, consider  $\Omega = \mathbb{C} \setminus \{0\}$ ; on  $\Omega$ , such a branch does not exist, since we would have  $\log 1 = 0 + 0$  and a continuous choice of angle going about the unit circle such that  $\log 1 = 2\pi$  (read:  $\mathbb{R}/\mathbb{Z}$ ).

**Theorem 15.2** (§3.6.1). Let  $\Omega$  be a simply connected domain with  $1 \in \Omega$ ,  $0 \notin \Omega$ . Then in  $\Omega$ , there is a branch of log,  $F(z) = \log_{\Omega} z$ , such that (i)  $F$  is holomorphic in  $\Omega$ , (ii)  $e^{F(z)} = z$  for all  $z \in \Omega$ , and (iii)  $F(r) = \log r$  for all  $r \in \mathbb{R}$  close to 1.

Note that we require the last condition because the real logarithm doesn't necessarily agree with the complex logarithm. (?)

*Proof.* For any  $z \in \Omega$ , define  $F(z) = \int_{\gamma_z} \frac{1}{w} dw$ , where  $\gamma_z$  connects 1 to  $z$ ; similar to before,  $F$  is well-defined because  $\Omega$  is simply connected. Since  $F(z)$  is holomorphic in  $\Omega$ , we have that  $F'(z) = \frac{1}{z}$ , showing (i). We also see that (ii) is equivalent to  $ze^{-F(z)} = 1$ , where we see that  $1e^{-F(1)} = 1e^0 = 1$ , so

$$(ze^{-F(z)})' = e^{-F(z)} + ze^{-F(z)}(-F'(z)) = e^{-F(z)} - ze^{-F(z)} \cdot \frac{1}{z} = e^{-F(z)} - e^{-F(z)} = 0.$$

Thus,  $ze^{-F(z)}$  is constant, so it is equal to 1 for all  $z \in \Omega$  as desired. Finally, let  $r \in \mathbb{R}$  be close to 1; we may simply choose the line segment along  $\mathbb{R}$  to get the normal definition of the logarithm in  $\mathbb{R}$  as desired.  $\square$

The classic choice of  $\Omega$  is  $\mathbb{C} \setminus (-\infty, 0]$ ; here,  $\log_{\Omega} z = \log z + i \arg z$ , where  $|\arg z| < \pi$ . We may regard  $\log z$  as a curve going from 1 to  $r$  along the real line, then  $r \rightarrow z$  along the circle centered at zero with radius  $r$ ; this means we have

$$\log_{\Omega} z = \int_{\gamma_z} \frac{1}{w} dw = \int_1^r \frac{1}{x} dx + \int_0^{\theta} \frac{ire^{iz}}{re^{iz}} dz = \log r + \int_0^{\theta} i dz = \log r + i\theta,$$

and so we have  $|\theta| < \pi$  by design.

## §16 Day 16: Conformal Maps (Nov. 4, 2025)

**Theorem 16.1** (§3.6.2). If  $f$  is a nowhere vanishing holomorphic function in simply connected region  $\Omega$ , then there exists a holomorphic function  $g(z)$  on  $\Omega$  such that  $f(z) = e^{g(z)}$ .

*Proof.* Fix  $z_0 \in \Omega$ , and define  $g : \Omega \rightarrow \mathbb{C}$  by

$$g(z) = \int_{\gamma_z} \frac{f'(w)}{f(w)} dw + c_0,$$

where  $\gamma_z$  is a path from  $z_0$  to  $z$ , and  $c_0$  is a complex number picked such that  $e^{c_0} = f(z_0)$ . Since  $\Omega$  is simply connected, any two paths sharing the same endpoints are homotopic, so we see that the definition of  $g(z)$  is independent of our choice of  $\gamma_z$ . Since we know  $g$  is holomorphic as seen previously, we get that  $g'(z) = f'(z)/f(z)$ , so

$$\frac{d}{dt} \left( f(z) e^{-g(z)} \right) = f'(z) e^{-g(z)} + f(z) e^{-g(z)} (-g'(z)) = 0,$$

i.e.,  $f(z) e^{-g(z)}$  is constant. This means  $f(z) e^{-g(z)} = f(z_0) e^{-g(z_0)} = f(z_0) e^{-c_0} = 1$ .  $\square$

We now move onto conformal maps (this is section 8 in Shakarchi).

**Question 16.2.** Given any two open sets  $U$  and  $V$  in  $\mathbb{C}$ , does there exist a bijective holomorphic map  $f : U \rightarrow V$ ?

In particular, we can consider  $U$  to be any random open set and  $V = \mathbb{D}$ .

**Definition 16.3.** We call a bijective holomorphic map  $f : U \rightarrow V$  *conformal* (or a biholomorphism).

Note that this is the convention for our textbook. In some other literatures, a holomorphic function  $f : U \rightarrow V$  is called *conformal* if  $f'(z) \neq 0$  for all  $z \in U$ .

**Proposition 16.4** (§8.1.1). Let  $f : U \rightarrow V$  be a injective and holomorphic function. Then  $f'(z) \neq 0$  for all  $z \in U$  and the inverse of  $f$  on its range is holomorphic; in particular, the inverse of a conformal map is also holomorphic.

*Proof.* Suppose, for the sake of contradiction, that there exists  $z_0 \in U$  such that  $f'(z_0) = 0$ . Express  $f$  as a power series centered at  $z_0$ ,

$$f(z) = f(z_0) + \sum a_n (z - z_0)^n = f(z_0) + a_k (z - z_0)^k + G(z),$$

where  $a_k \neq 0$  and  $G(z)$  denotes the remaining higher order terms (we may note that  $k > 1$  because  $f'(z_0) = 0$ ). We have

$$f(z) - f(z_0) = a_k (z - z_0)^k + G(z),$$

and there exists some  $r > 0$  such that on  $D_r(z_0)$ ,

$$|a_k (z - z_0)^k| > |G(z)|$$

for all  $z \in D_r(z_0) \setminus \{z_0\}$ , i.e., there exists a sufficiently close non-zero complex number  $w$  such that

$$|a_k (z - z_0)^k - w| > |G(z)| \tag{1}$$

on  $C_{r/2}(z_0)$ . Notice that (1) allows us to use Rouché's theorem to see that the number of zeroes of  $F(z)$ ,  $k$ , is equal to the number of zeroes of  $f(z) - f(z_0) - w$  on  $D_{r/2}(z_0)$ , i.e.,  $f(z) = f(z_0) + w$  has at least two roots by multiplicity. Since  $f$  is injective,  $z_0$  is an isolated zero of  $f'$ ; then  $f'(z) \neq 0$  on  $D_{r/2}(z_0) \setminus \{z_0\}$ . Hence,  $f(z) = f(z_0) + w$  has at least two distinct solutions, which contradicts the fact that  $f$  is injective, and we are done.

We now prove that the inverse of  $f$  on its range is holomorphic. Assume  $f(U) = V$ , and denote  $f^{-1}$  on  $V$  by  $g$ . Pick any  $w_0 \in V$  and  $w$  sufficiently close to  $w_0$  such that

$$\frac{g(w) - g(w_0)}{w - w_0} = \frac{z - z_0}{f(z) - f(z_0)} = \frac{1}{\frac{f(z) - f(z_0)}{z - z_0}}.$$

As  $w \rightarrow w_0$ ,  $z \rightarrow z_0$ , so

$$\lim_{w \rightarrow w_0} \frac{g(w) - g(w_0)}{w - w_0} = \frac{1}{f'(z_0)} = \frac{1}{f'(g(w_0))}. \quad \square$$

We define the upper half-plane to be  $\mathbb{H} = \{z \in \mathbb{C} \mid \Im z > 0\}$ .  $\mathbb{H}$  is particularly useful because it gives a model for hyperbolic 2-space; let  $ds = \sqrt{dx^2 + dy^2}$ ; we have that the hyperbolic metric is given by

$$ds^2 = \frac{\sqrt{dx^2 + dy^2}}{y}.$$

**Theorem 16.5** (§8.1.2). The map  $F : \mathbb{H} \rightarrow \mathbb{D}$  is a conformal map with inverse  $G : \mathbb{D} \rightarrow \mathbb{H}$ , where

$$F(z) = \frac{i - z}{i + z}, \quad G(w) = i \frac{1 - w}{1 + w},$$

*Proof.* We see that  $F, G$  are holomorphic on their respective domains and  $F(\mathbb{H}) \subset \mathbb{D}$ ; for all  $z \in \mathbb{H}$ , we have that

$$|i - z| < |i + z| \implies |F(z)| < 1.$$

We also have that  $G(\mathbb{D}) \subset \mathbb{H}$ , since for all  $w = u + iv$ , we have

$$\Im G(w) = \Im \left( i \frac{1 - (u + iv)}{1 + (u + iv)} \right) = \Im \left( i \frac{(1 - u) - iv}{(1 + u) + iv} \right) = \frac{1 - u^2 - v^2}{(1 + u)^2 + v^2} > 0.$$

As an exercise, we leave the computation that  $F \circ G(w) = w$  and  $G \circ F(z) = z$ . This is clear from just expanding the definitions.  $\square$

What is the behavior of  $F$  on  $\partial\mathbb{H} = \mathbb{R}$ ? Observe that  $F(\partial\mathbb{H}) \subset \partial\mathbb{D}$ . Note that the image of the real line under  $F$  is the unit circle, but without the point  $-1$ .<sup>16</sup>

**Example 16.6** (Ex. 2, p. 210). For all naturals  $n \geq 2$ , let  $S : \{z \in \mathbb{C} \mid 0 < \arg z < \pi/n\} \rightarrow \mathbb{H}$ , and  $f : z \mapsto z^n$ , i.e.,  $\rho e^{i\theta} \mapsto \rho^n e^{in\theta}$ . The inverse of  $f$  is given by  $\mathbb{H} \rightarrow S$  with  $w \mapsto w^{1/n}$ .

Consider the behavior of  $f$  on the boundary.  $x$  travels from  $-\infty$  to  $0$  along the real line, and  $f(x) = x^n$  travels from  $\infty$  to  $0$  along the real line.

We then went through more examples.

**Exercise 16.7.** Let  $S = \{x + iy \mid -\pi/2 < x < \pi/2\}$ . Let  $f(z) = e^{iz}$ . (i) Find  $f(S)$  and verify that  $f$  maps  $S$  conformally to  $f(S)$ , and (ii) Try to investigate the behavior of  $f$  on  $\partial S$ .

<sup>16</sup>follow the discussion on p.209 of Shakarchi

## §17 Day 17: Automorphisms and Schwarz Lemma (Nov. 6, 2025)

A conformal map from an open set  $\Omega$  to itself is called an automorphism of  $\Omega$ . In particular, we write  $\text{Aut}(\Omega)$  to denote the set of all automorphisms of  $\Omega$ ; we may endow it with composition as a binary operation to obtain a group structure on  $\text{Aut}(\Omega)$ .

Let  $\Omega = \mathbb{D}$ . What are the elements of  $\text{Aut}(\mathbb{D})$ ? The identity on  $\mathbb{D}$  is one such example. We also have rotation by  $\theta \in \mathbb{R}$ , where  $R_\theta : \mathbb{D} \rightarrow \mathbb{D}$  with  $z \mapsto e^{i\theta}z$  is another example. Finally, the Blaschke factors,

$$\psi_\alpha(z) = \frac{\alpha - z}{1 - \bar{\alpha}z},$$

for all  $\alpha \in \mathbb{D}$ , are also automorphisms of  $\mathbb{D}$  (we proved this in the first homework).

**Theorem 17.1** (§8.2.2). Let  $f \in \text{Aut}(\mathbb{D})$ . Then there exists  $\theta \in \mathbb{R}$  and  $\alpha \in \mathbb{D}$  such that

$$f(z) = R_\theta \circ \psi_\alpha(z) = e^{i\theta} \frac{\alpha - z}{1 - \bar{\alpha}z}$$

for all  $z \in \mathbb{D}$ .

**Lemma 17.2** (Schwarz Lemma, §8.2.1). Let  $f : \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic with  $f(0) = 0$ . Then

- (i)  $|f(z)| \leq |z|$  for all  $z \in \mathbb{D}$ ,
- (ii) if  $|f(z_0)| = |z_0|$  for some  $z_0 \in \mathbb{D} \setminus \{0\}$ , then  $f$  is a rotation (meaning  $f(z) = e^{i\theta}z$  for some  $\theta \in \mathbb{R}$ , or alternatively written  $f(z) = cz$  with  $c \in \mathbb{C}$ ,  $|c| = 1$ ),
- (iii) if  $|f'(0)| = 1$ , then  $f$  is a rotation.

*Proof.* Let us express  $f$  as a power series at 0;

$$f(z) = a_0 + a_1z + a_2z^2 + \dots;$$

since  $f(0) = 0$ , we have  $a_0 = 0$ , so

$$\frac{f(z)}{z} = a_1 + a_2z + a_3z^2 + \dots$$

on  $\mathbb{D} \setminus \{0\}$ , where 0 is a removable singularity. Since  $f(z)/z$  is holomorphic on  $\mathbb{D}$ , for all  $r \in (0, 1)$ , we have

$$\left| \frac{f(z)}{z} \right| \leq \sup_{z \in \partial D_r(0)} \left| \frac{f(z)}{z} \right| \leq \frac{1}{r^2}$$

on each  $\overline{D_r(0)}$ , so by taking  $r \rightarrow 1$ , we get  $|f(z)| \leq |z|$  for all  $z \in \mathbb{D}$  as desired. To prove (ii) and (iii), set

$$g(z) = \frac{f(z)}{z}$$

on the punctured disc, and observe that it admits an analytic extension to 0, where  $g(0) = a_1 = f'(0)$ . By part (i),  $|g(z)| \leq 1$  on  $\mathbb{D} \setminus \{0\}$ , and by continuity, we also have  $|g(0)| \leq 1$ . Thus, to prove (ii), assume  $z_0 \in \mathbb{D} \setminus \{0\}$  such that  $|f(z_0)| = |z_0|$ ; then  $|g(z_0)| = 1$ , and by the maximum principle,  $g$  is a constant, i.e.,  $f(z) = cz$  for some  $c \in \mathbb{C}$  with  $|c| = 1$  for all  $z \in \mathbb{D}$ . To prove (iii), we may simply assume  $|f'(0)| = 1$  to get  $|g(0)| = 1$ .  $\square$

We now prove the theorem from earlier.

*Proof.* Since  $f$  is an automorphism, there exists a unique  $\alpha \in \mathbb{D}$  such that  $f(\alpha) = 0$ . Consider the automorphism  $g = f \circ \psi_\alpha$  with  $g(0) = f \circ \psi_\alpha(0) = f(\alpha) = 0$ . Applying the Schwarz lemma to  $g$ , we have that  $|g(z)| \leq |z|$  for all  $z \in \mathbb{D}$ , for which  $g^{-1} \in \text{Aut}(\mathbb{D})$  with  $g^{-1}(0) = 0$ . Applying the Schwarz lemma to  $g^{-1}$ , we get  $|g^{-1}(w)| \leq |w|$  for all  $w \in \mathbb{D}$ , so  $|g(z)| = |z|$  for all  $z \in \mathbb{D}$ . Using the Schwarz lemma again, there exists  $\theta \in \mathbb{R}$  such that

$$f \circ \psi_\alpha(z) = g(z) = e^{i\theta}z, \quad \forall z \in \mathbb{D},$$

so  $f = f \circ \psi_\alpha \circ \psi_\alpha = e^{i\theta} \psi_\alpha(z)$ .<sup>17</sup> □

We now discuss automorphisms of the upper half plane  $\mathbb{H}$ . Recall that  $\mathbb{H}$  and  $\mathbb{D}$  are conformally equivalent, which we see from constructing  $F : \mathbb{H} \rightarrow \mathbb{D}$  and  $G : \mathbb{D} \rightarrow \mathbb{H}$ , given by

$$F(z) = \frac{i - z}{i + z}, \quad G(w) = i \frac{1 - w}{1 + w}.$$

These induce an isomorphism of automorphism groups  $T : \text{Aut}(\mathbb{D}) \rightarrow \text{Aut}(\mathbb{H})$ , given by  $f \mapsto F^{-1} \circ f \circ F$ .

**Theorem 17.3** (§8.2.4). We have that  $\text{Aut}(\mathbb{H}) \cong \text{SL}_2(\mathbb{R}) / \{M \sim -M \mid M \in \text{SL}_2(\mathbb{R})\} \cong \text{SL}_2(\mathbb{R}) / \langle -I_2 \rangle$ , where  $\text{SL}_2(\mathbb{R})$  is the group of  $2 \times 2$  matrices with determinant 1.<sup>18</sup>

We write down some definitions in preparation for next lecture. Let

$$f_M(z) = \frac{az + b}{cz + d},$$

which is meromorphic on  $\mathbb{C}$  with poles  $-a/c$  if  $c \neq 0$ .  $f_M$  is a holomorphic map on  $\mathbb{H}$ , where  $f_M(\mathbb{H}) \subset \mathbb{H}$ , and

$$\Im \left( \frac{az + b}{cz + d} \right) = \frac{(ad - bc)\Im z}{|cz + d|^2} = \frac{\Im z}{|cz + d|^2} > 0.$$

<sup>17</sup>ill fix up the intuition of this proof later. for now, check shakarchi!

<sup>18</sup>really, we're saying the automorphisms of  $\mathbb{H}$  are the projective special linear group

## §18 Day 18: Automorphisms of the Upper Half Plane (Nov. 13, 2025)

Let  $M \in \mathrm{SL}_2(\mathbb{R})$ , and define a meromorphic function on  $\mathbb{C}$  by

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad f_M(z) = \frac{az + b}{cz + d}.$$

We call  $f_M$  a Möbius transformation. Last time, we proved that  $f_M \in \mathrm{Aut}(\mathbb{H})$ .

**Theorem 18.1** (§8.2.4). Any automorphism of  $\mathbb{H}$  is of the form  $f_M$  for  $M \in \mathrm{SL}_2(\mathbb{R})$ .

*Proof.* For the first step,<sup>19</sup> pick any  $z, w \in \mathbb{H}$ . We want to find  $M \in \mathrm{SL}_2(\mathbb{R})$  such that  $f_M(z) = w$ . We can assume  $w = i$ ; suppose such a matrix  $M$  exists; then we would have that

$$\Im f_M(z) = \Im \left( \frac{az + b}{cz + d} \right) = \frac{\Im z}{|cz + d|^2} = \frac{\Im z}{|cz|^2} = 1,$$

which we do by choosing  $d = 0$  and  $c \in \mathbb{R}$  such that the above works. Pick  $M_1 \in \mathrm{SL}_2(\mathbb{R})$  given by

$$M_1 = \begin{pmatrix} 0 & -c^{-1} \\ c & 0 \end{pmatrix};$$

we have that

$$f_{M_1}(z) = \frac{-c^{-1}}{cz} = \frac{-\bar{z}}{c^2 |z|^2} = -\frac{\Re z}{c^2 |z|^2} + i \frac{\Im z}{c^2 |z|^2},$$

so  $\Im f_{M_1}(z) = 1$ . Now, pick  $M_2$  with its associated  $f_{M_2}$  by

$$M_2 = \begin{pmatrix} 1 & -\Re z / c |z|^2 \\ 0 & 1 \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R}), \quad f_{M_2}(w) = w - \frac{\Re z}{c |z|^2}$$

for all  $w \in \mathbb{H}$ . In this manner, we obtain  $(f_{M_2} \circ f_{M_1})(z) = i$ , so we conclude that  $f_{M_2 M_1}(z) = i$ . Recall that the Schwarz lemma states that any rotation of  $\mathbb{D}$  that fixes 0 is an automorphism. Pick  $F : \mathbb{H} \rightarrow \mathbb{D}$  to be the canonical conformal map, and take

$$M_\theta = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R})$$

to be a rotation matrix by  $\theta$ . We have that

$$\begin{array}{ccc} \mathbb{H} & \xrightarrow{f_{M_\theta}} & \mathbb{H} \\ \downarrow F & & \downarrow F \\ \mathbb{D} & \xrightarrow{F \circ f_{M_\theta} \circ F^{-1}} & \mathbb{D} \end{array}$$

indeed yields an automorphism of the disc. We leave it as an exercise that  $F \circ f_M \circ F^{-1}(z) = e^{-2i\theta} F(z)$  for all  $z \in \mathbb{D}$ .

For the last step, let  $f \in \mathrm{Aut}(\mathbb{H})$ . There exists a unique  $z_0 \in \mathbb{H}$  such that  $f(z_0) = i$ , so by the first step, there exists  $M \in \mathrm{SL}_2(\mathbb{R})$  such that  $f_M(i) = z_0$ . Then  $f \circ f_M \in \mathrm{Aut}(\mathbb{H})$  with  $f \circ f_M(i) = i$ . Then  $F \circ f \circ f_M \circ F^{-1} \in \mathrm{Aut}(\mathbb{D})$  with  $F \circ f \circ f_M \circ F^{-1}(0) = 0$ . By a corollary of the Schwarz lemma, we obtain that  $F \circ f \circ f_M \circ F^{-1}$  is a reflection, and from step two, we have that there exists  $M_\theta$  such that  $F \circ f \circ f_M \circ F^{-1} = F \circ f_{M_\theta} \circ F^{-1}$ , so  $f = f_{M_\theta} \circ f_M^{-1} = f_{M_\theta M^{-1}}$ .  $\square$

<sup>19</sup>note that we're starting from step three in shakarchi

Having proved the homomorphism of the groups  $\Phi : \mathrm{SL}_2(\mathbb{R}) \rightarrow \mathrm{Aut}(\mathbb{H})$  by  $M \mapsto f_M$  is surjective. Observing that  $\ker \Phi = \{\pm I\}$ , we see that  $\mathrm{PSL}_2(\mathbb{R}) = \mathrm{SL}_2(\mathbb{R}) / \ker \Phi$ .

**Theorem 18.2** (Riemann mapping theorem §8.3.1). Let  $\Omega$  be a proper, simply connected open set. For any  $z_0 \in \Omega$ , there exists a unique conformal map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$ ,  $F'(z_0) > 0$ .

**Theorem 18.3** (Montel's theorem §8.3.2). Let  $\Omega$  be an open set in  $\mathbb{C}$ , and let  $\mathcal{F}$  be a family of holomorphic functions on  $\Omega$ .

- (i) (*Convergence*)  $\mathcal{F}$  is said to be *normal* if, for any sequence  $\{f_n\}$  in  $\mathcal{F}$ , there exists a subsequence of  $\{f_n\}$  such that this subsequence converges uniformly on every compact subset of  $\Omega$ . The limit does not need to be in  $\mathcal{F}$ .
- (ii) (*Boundedness*)  $\mathcal{F}$  is said to be uniformly bounded on every compact subset of  $\Omega$  if for each compact subset  $K$ , there exists  $M = M(K)$  such that  $|f(z)| \leq M$  for all  $f \in \mathcal{F}$  and  $z \in K$ .
- (iii) (*Continuity*)  $\mathcal{F}$  is said to be equicontinuous on a compact subset  $K \subset \Omega$  if, for all  $\varepsilon > 0$ , there exists  $\delta > 0$  such that, for all  $z, w \in K$ , we have that  $|z - w| < \delta$  implies  $|f(z) - f(w)| < \varepsilon$  for all  $f \in \mathcal{F}$ .

Montel's theorem states that uniform boundedness implies equicontinuity and normality.

**Lemma 18.4** (§8.3.4). Any open set  $\Omega$  in  $\mathbb{C}$  has an exhaustion, i.e., a sequence of compact subsets of  $\Omega$ , say  $\{K_\ell\}_{\ell=1}^\infty$ , such that  $K_\ell \subset K_{\ell+1}$  for all  $\ell \in \mathbb{N}$  and any compact subset  $K \subset \Omega$  is contained in some  $K_\ell$ .

*Proof.* In particular, we may pick  $K_\ell = \{z \in \Omega \mid |z| \leq \ell, d(z, \partial\Omega) \geq \ell^{-1}\}$ . □



## §19 Day 19: Riemann Mapping Theorem, Pt. 1 (Nov. 18, 2025)

We will now prove the Riemann mapping theorem. Recall its statement as follows,

**Theorem.** Let  $\Omega$  be a proper (i.e., non-empty and not the whole of  $\mathbb{C}$ ), simply connected open set. For any  $z_0 \in \Omega$ , there exists a unique conformal map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$  and  $F'(z_0) > 0$ .

The proof is long, so we will divide it into three parts, with the first being Montel's theorem. Let  $\Omega$  be an open set and  $\mathcal{F}$  a family of holomorphic functions on  $\Omega$ .

**Theorem 19.1** (§8.3.3). Suppose  $\mathcal{F}$  is uniformly bounded on every compact subset of  $\Omega$ . Then  $\mathcal{F}$  is equicontinuous on every compact subset of  $\Omega$ , and it is a normal family.

Recall that the definition of equicontinuity is that, given any compact subset  $K \subset \Omega$  and any  $\varepsilon > 0$ , there exists  $\delta = \delta(K) > 0$  such that  $z, w \in K$  with  $|z - w| < \delta$  implies  $|f(z) - f(w)| < \varepsilon$  for all  $f \in \mathcal{F}$ .  $\mathcal{F}$  is also said to be a normal family if, given any sequence  $\{f_n\} \in \mathcal{F}$  there exists a subsequence  $\{f_{n_k}\}$  that converges uniformly on every compact subset. We now prove Montel's theorem, following p.226 in Shakarchi.

*Proof.* We will start by proving that boundedness implies equicontinuity. Fix any compact subset  $K \subset \Omega$ ; there exists  $r > 0$  such that, for all  $z \in K$ ,  $\overline{D_{3r}(z)} \subset \Omega$ . Now, for all  $f \in \mathcal{F}$ , for any  $z, w \in K$  with  $|z - w| < r$ , we may use Cauchy's integral formula to compute

$$\begin{aligned} |f(z) - f(w)| &= \left| \frac{1}{2\pi i} \int_{\partial D_{3r}(z)} \frac{f(\zeta)}{\zeta - z} d\zeta - \frac{1}{2\pi i} \int_{\partial D_{3r}(z)} \frac{f(\zeta)}{\zeta - w} d\zeta \right| \\ &\leq \frac{1}{2\pi} \sup_{\zeta \in \partial D_{3r}(z)} \left| f(\zeta) \left( \frac{1}{\zeta - z} - \frac{1}{\zeta - w} \right) \right| \cdot 2\pi(3r) \\ &\leq 3r \cdot \sup_{\zeta \in \partial D_{3r}(z)} |f(\zeta)| \cdot \sup_{\zeta \in \partial D_{3r}(z)} \left| \frac{z - w}{(\zeta - z)(\zeta - w)} \right|, \end{aligned}$$

for which there exists some uniform bound  $B > 0$  such that, for all  $z \in N_{3r}(K)$  (read: neighborhood), we have that  $|g(z)| \leq B$  for any  $g \in \mathcal{F}$ . In this manner, we may continue our bounding as follows,

$$\dots \leq 3r \cdot B \cdot \sup_{\zeta \in \partial D_{3r}(z)} \left| \frac{z - w}{(\zeta - z)(\zeta - w)} \right| \leq \frac{3rB|z - w|}{r^2} \leq \frac{3}{r}B|z - w|,$$

which can be made arbitrarily small, and so we are done.

For the second part,<sup>20</sup> we will show that  $\mathcal{F}$  is a normal family. Let  $\{f_n\}$  be a sequence of functions in  $\mathcal{F}$ , for which  $\{f_{n_k}\}$  converges uniformly on every compact subset. Let  $K$  be any compact subset of  $\Omega$ , and choose a sequence of points  $\{w_j\}_{j \geq 1}$  of  $\Omega$  that is dense in  $\Omega$ ; we will prove that  $\{f_n(w_j)\}$  converges in  $n$  and  $j$  by the diagonalization argument. For  $w_1$ , we see that  $\{f_n(w_1)\}$  is a bounded sequence of complex numbers, so there must exist a convergent subsequence  $\{f_{n,1}(w_1)\}$ ; for  $w_2$ ,  $\{f_{n,1}(w_2)\}$  is bounded, so there must exist a convergent subsequence  $\{f_{n,2}(w_2)\}$ , and so on. In general,  $\{f_{n,j-1}(w_j)\}$  is a bounded sequence on  $\mathbb{C}$ , so there must exist some convergent subsequence  $\{f_{n,j}(w_j)\}$ .

Let  $g_n = f_{n,n}$ ; by the method we constructed  $f_{n,n}$ , for all  $w_j$ , we see that  $\{g_n(w_j)\}_{n \geq 1}$

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<sup>20</sup>arzela-ascoli, link [here](#)

converges. We ask; does this converge on  $K$ ? Given  $\varepsilon > 0$ , let  $\delta > 0$  be the constant for equicontinuity. We have

$$K \subset \bigcup_{j \geq 1} D_\delta(w_j).$$

Since  $K$  is compact, there exists  $J \in \mathbb{N}$  such that  $K \subset \bigcup_{j=1}^J D_\delta(w_j)$  is its finite subcover, for which we will show  $\{g_n(z)\}$  is also convergent on. We will demonstrate that the sequence is in fact, Cauchy. For all  $n, m \in \mathbb{N}$ , directly write as follows,

$$|g_n(z) - g_m(z)| \leq |g_n(z) - g_n(w_j)| + |g_n(w_j) - g_m(w_j)| + |g_m(w_j) - g_m(z)|.$$

The first and third terms are bounded by  $\varepsilon$  by equicontinuity, and the second term is bounded by  $\varepsilon$  given that we picked  $n, m > N$  for some  $N$  large enough to satisfy the Cauchy property of  $\{g_n(w_j)\}_{n \geq 1}$  (since we've previously established that it is convergent). Thus, the sum is bounded from above by  $3\varepsilon$ , and we have  $|g_n(z) - g_m(z)| \rightarrow 0$  uniformly, since  $N$  does not depend on  $z$ .

Recall that any open set  $\Omega$  in  $\mathbb{C}$  has an exhaustion sequence, i.e., there exists a sequence of compact subsets  $\{K_\ell\}_{\ell \geq 1}$  of  $\Omega$  such that  $K_\ell \subset K_{\ell+1}$  for all  $\ell \in \mathbb{N}$ , and that any compact subset  $K$  of  $\Omega$  is contained in some  $K_\ell$ . To finish our proof, we simply use the diagonalization argument (on  $K_j$  and  $f_j$ ) again to conclude that  $\{f_{n,j}\}$  converges uniformly on  $K_j$ .  $\square$

We now prove the Riemann mapping theorem.

*Proof.* Our first step is to establish that there exists an injective holomorphic map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$ . Since  $\Omega$  is proper, there exists  $\alpha \in \mathbb{C} \setminus \Omega$  such that  $z - \alpha$  is holomorphic and does not vanish on  $\Omega$ . Then, there exists a branch of  $\log$  such that  $f(z) = \log(z - \alpha)$  is holomorphic on  $\Omega$ , for which we see  $e^{f(z)} = z - \alpha$ , implying that  $f$  is injective and holomorphic on  $\Omega$ .

Fix  $w \in \Omega$  such that  $f(w) + 2\pi i$  is bounded away from  $f(\Omega)$ . We will proceed by contradiction; assume that this is not true. Then there exists a convergence sequence  $\{z_n\}$  in  $\Omega$  where  $f(z_n) \rightarrow f(w) + 2\pi i$ , for which we may exponentiate this relation to obtain  $z_n - \alpha \rightarrow w - \alpha$ , so  $f(z_n) \rightarrow f(w)$ , which is a contradiction. Now, consider the function

$$F(z) = \frac{1}{f(z) - (f(w) + 2\pi i)}$$

is bounded, injective, and holomorphic on  $\Omega$ . Composing  $F$  with a translation and a rescaling map, we prove step 1.

Assume that  $\Omega$  is an open subset of  $\mathbb{D}$  that contains 0, and consider the following family of functions  $\mathcal{F} = \{f : \Omega \rightarrow \mathbb{D} \mid f \text{ is inj., hol., and } f(0) = 0\}$ . Indeed,  $\mathcal{F}$  is nonempty, since it contains the inclusion function  $\iota : \Omega \rightarrow \mathbb{D}$  with  $z \mapsto z$ ; it is also uniformly bounded on every compact subset of  $\Omega$  because  $f(\Omega) \subset \mathbb{D}$ . Take  $\delta = \sup_{f \in \mathcal{F}} |f'(0)|$ ;  $\delta$  is necessarily greater than zero, since the inclusion function has nonzero derivative at the origin. We also have that  $\delta < \infty$ , since

$$\delta = \sup_{f \in \mathcal{F}} |f'(0)| = \sup_{f \in \mathcal{F}} \left| \frac{1}{2\pi i} \int_{\partial D_r(0)} \frac{f(\zeta)}{\zeta^2} d\zeta \right| \leq \frac{2\pi r}{2\pi r^2} = \frac{1}{r}.$$

Finally, we will show that there exists  $f \in \mathcal{F}$  such that  $|f(0)| = \delta$ . For now, though, let us assume that such an  $f$  exists. We will show that  $f \in \mathcal{F}$  with  $|f'(0)| = \sup_{g \in \mathcal{F}} |g'(0)|$  must

be surjective. Suppose, by contradiction, that there exists  $\alpha \in \mathbb{D}$  such that  $\alpha \notin f(\Omega)$ . Consider the Blaschke factor  $\psi_\alpha$  given by

$$\psi_\alpha(z) = \frac{\alpha - z}{1 - \bar{\alpha}z},$$

where  $\psi_\alpha$  interchanges 0 and  $\alpha$ . We see that  $0 \notin \psi_\alpha \circ f(\Omega)$ , so we may find a branch of the logarithm such that  $g(w) = \exp(\frac{1}{2} \log w) = \sqrt{w}$  is holomorphic on  $\psi_\alpha \circ f(\Omega)$ . Indeed, we obtain that  $g \circ \psi_\alpha \circ f : \Omega \rightarrow \mathbb{D}$  (for which we know this is into  $\mathbb{D}$  because  $\psi_\alpha \circ f$  is into  $\mathbb{D}$ ) is injective and holomorphic. Since  $\psi_{g(\alpha)}^{-1} \circ g \circ \psi_\alpha$  sends 0 to 0 and is also injective and holomorphic, let us consider the function

$$F = \psi_{g(\alpha)}^{-1} \circ g \circ \psi_\alpha \circ f : \Omega \rightarrow \mathbb{D},$$

is an injective holomorphic function with  $F(0) = 0$ , so  $F \in \mathcal{F}$ . Let  $h(w) = w^2$  be the square function, and observe that we now have

$$f = \psi_\alpha^{-1} \circ h \circ \psi_{g(\alpha)} \circ F = \Phi \circ F,$$

where  $\Phi := \psi_\alpha^{-1} \circ h \circ \psi_{g(\alpha)} : \mathbb{D} \rightarrow \mathbb{D}$  fixes zero. By the Schwarz lemma, we get  $|\Phi'(0)| < 1$ , where the inequality is strict because  $h$  is not injective. From this, we get  $|f'(0)| = |\Phi'(0)| |F'(0)| < |F'(0)|$ , contradicting the maximality of  $|f'(0)| = \sup_{g \in \mathcal{F}} |g'(0)|$  in  $\mathcal{F}$ .

Next class, we will use Montel's theorem to prove that such an  $f$  actually exists. □

## §20 Day 20: Riemann Mapping Theorem, Pt. 2, and Harmonic functions (Nov. 20, 2025)

Recall the statement of the Riemann mapping theorem: let  $\Omega$  be a proper simply connected open set in  $\mathbb{C}$ . For all  $z_0 \in \Omega$ , there exists a conformal map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$  and  $F'(z_0) = 0$ .

Last class, we showed that there is an injective holomorphic map  $F : \Omega \rightarrow \mathbb{D}$  such that  $F(z_0) = 0$  and  $0 \in \Omega$ , which, without loss of generality, we may assume  $\Omega$  is an open subset of  $\mathbb{D}$  as well. It remains to show that  $\mathcal{F} = \{f : \Omega \rightarrow \mathbb{D} \mid f \text{ is inj., hol., and } f(0) = 0\}$ , for which  $s = \sup_{f \in \mathcal{F}} |f'(0)|$  exists, and there being some  $f \in \mathcal{F}$  such that  $|f'(0)| = s$ .

*Proof.* By the definition of  $s$  and uniform boundedness of  $\mathcal{F}$ , there exists some sequence  $\{f_n\} \in \mathcal{F}$  such that  $|f'_n(0)| \xrightarrow{n \rightarrow \infty} s$ . By Montel's theorem, there exists a subsequence  $\{f_{n_k}\}$  that converges uniformly on compact subsets of  $\Omega$ . Now, the limit function  $f$  is holomorphic on  $\Omega$ . We will show that  $f \in \mathcal{F}$ , i.e.,  $f(\Omega) \subset \mathbb{D}$  and  $f$  is injective.

By definition,  $|f(z)| \leq 1$  on  $\Omega$ ; however, we cannot achieve a maximum on  $\Omega$  by the maximum modulus principle, so we indeed have  $|f(z)| < 1$ , so  $f(\Omega) \subset \mathbb{D}$ . Clearly,  $f$  is holomorphic so long as  $f$  is not constant, which holds since  $|f'(0)| = s \geq 1$ . We now show that  $f$  is injective.

**Proposition 20.1.** Let  $\Omega$  be a connected open set, and let  $\{f_n\}$  be a sequence of holomorphic injective functions converging to  $f$  on  $\Omega$ . Then  $f$  is injective or constant.

*Proof.* Assume that  $f$  is neither injective nor constant; then there exist distinct points  $z_1, z_2 \in \Omega$  such that  $f(z_1) = f(z_2)$ . For  $n \in \mathbb{N}$ , define the holomorphic injective functions  $g_n : \Omega \rightarrow \mathbb{C}$ , given by  $g_n(z) = f_n(z) - f_n(z_1)$ . Then  $z_1$  is the only zero of  $g_n$ ; set  $g(z) = f(z) - f(z_1)$ ; then  $g(z)$  admits two zeroes,  $z_1$  and  $z_2$ . Since  $f$  is nonconstant,  $z_2$  is an isolated zero of  $g$ , so there is a ball on which  $z_2$  is the only zero of  $g$ ; say,  $\overline{D_r(z_2)}$  (where  $r$  is chosen small enough to exclude  $z_1$ ). By the argument principle, we get that the number of zeroes of  $g$  on  $D_r(z_2)$  is given by evaluating

$$\frac{1}{2\pi i} \int_{\partial D_r(z_2)} \frac{g'(\xi)}{g(\xi)} d\xi > 0;$$

likewise, the number of zeroes of  $g_n$  in  $D_r(z_2)$  is given by

$$\frac{1}{2\pi i} \int_{\partial D_r(z_2)} \frac{g'_n(\xi)}{g_n(\xi)} d\xi = 0.$$

Thus, there is an integer sequence consisting of zeroes entirely, but somehow converges to a number greater than zero; this is clearly a contradiction, so we see that  $f$  must be either injective or constant.  $\square$

In our context, this proposition tells us that  $f$  must be injective, so  $f$  is indeed in  $\mathcal{F}$ , which means we may follow through with step two and three to conclude the Riemann mapping theorem.  $\square$

We now discuss harmonic functions, i.e., functions with the mean-value property. Let  $\Omega$  be a region in  $\mathbb{C}$ , and let  $u : \Omega \rightarrow \mathbb{R}$ .  $u$  is said to satisfy the mean value property if, for all  $z \in \overline{D_r(z_0)} \subset \Omega$ , we have that

$$u(z_0) = \frac{1}{2\pi} \int_0^{2\pi} u(z_0 + re^{i\theta}) d\theta.$$

As shown in problem set two, we've demonstrated that if  $u$  is a harmonic function, then  $u$  satisfies the mean value property.

**Theorem 20.2.** A continuous function  $u(z)$  on  $\Omega$  satisfying the mean value property is a harmonic function.

**Theorem 20.3** (Poisson's formula). Suppose  $u(z)$  is harmonic on  $|z| < R$  and continuous on  $|z| \leq R$ . Then<sup>21</sup>

$$u(a) = \frac{1}{2\pi} \int_{|z|=R} \frac{R^2 - |a|^2}{|z - a|^2} u(z) d\theta.$$

*Proof.* Consider the linear transformation

$$z = S(\xi) = \frac{R(R\xi + A)}{R + \bar{a}\xi} = R \cdot \frac{(\xi + \frac{a}{R})}{(1 + \frac{\bar{a}\xi}{R})},$$

which we may note looks like a Blaschke factor. Let  $u : D_R \rightarrow \mathbb{R}$ ; we want to show that  $u \circ S$  is harmonic by Cauchy–Riemann. By the mean value property of  $u \circ S$  at 0, we have that

$$u(a) = u \circ S(0) = \frac{1}{2\pi} \int_{|\xi|=1} u \circ S(\xi) d(\arg \xi);$$

we will find  $d(\arg \xi)$ . Observe, that  $d(\arg \xi) = \Im \frac{d\xi}{\xi}$ , and  $\arg = \arctan(y/x)$ , so

$$d \arctan \left( \frac{y}{x} \right) = -\frac{y}{x^2 + y^2} dx + \frac{x}{x^2 + y^2} dy.$$

Alternatively,  $\log \xi = \log r + i \arg \xi$ , so, locally, we have that  $d(\log \xi) = \frac{d\xi}{\xi} = \frac{dr}{r} + d(\arg \xi)$ , so  $d \arg(\xi) = \Im \left( \frac{d\xi}{\xi} \right)$ . □

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<sup>21</sup>I believe this is chapter 3, problem 2 in shakarchi.

## §21 Day 21: Harmonic functions, Pt. 2, and Harnack's Principle (Nov. 25, 2025)

A continuous, real-valued function  $u(z)$  on a region  $\Omega \subset \mathbb{C}$  is said to satisfy the mean value property

$$u(z_0) = \frac{1}{2\pi} \int_0^{2\pi} u(z_0 + re^{i\theta}) d\theta$$

when the disc  $|z - z_0| \leq r$  is contained in  $\Omega$ . It was previously shown on problem set 2 that harmonic functions satisfy the mean value property. Recall, that the problem stated that harmonic functions satisfy the mean value property, and that harmonic functions also satisfy the max/min principle.

**Theorem 21.1.** Any continuous real-valued function  $u(z)$  on a region  $\Omega$  which satisfies the mean value property is harmonic.

To prove this, we will use Poisson's formula and Schwarz's theorem to obtain solutions to Dirichlet's problem on discs. Recall,

**Theorem** (Poisson's formula). Let  $u$  be a harmonic function on  $|z| < R$  and continuous on  $|z| \leq R$ . We have that

$$u(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{R^2 - |z|^2}{|Re^{i\theta} - z|^2} u(Re^{i\theta}) d\theta.$$

Recall Dirichlet's problem; given a disc  $|z| \leq R$  and a function  $u$  on  $|z| = R$ , we want to find  $U$  on  $|z| \leq R$  such that

$$\begin{cases} \Delta u = 0, & |z| < R, \\ U = u. & \text{on } |z| = R \end{cases}$$

Given a (piecewise) continuous  $u$  on  $|z| = R$ , we have its Poisson integral is as follows,

$$P_u(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{R^2 - |z|^2}{|Re^{i\theta} - z|^2} u(Re^{i\theta}) d\theta.$$

Indeed,  $P_u$  is harmonic on  $|z| < R$ . Observe that

$$\frac{R^2 - |z|^2}{|Re^{i\theta} - z|^2} = \Re \left( \frac{Re^{i\theta} + z}{Re^{i\theta} - z} \right),$$

which we see from the computation

$$\Re \left( \frac{Re^{i\theta} + z}{Re^{i\theta} - z} \right) = \Re \left( \frac{(Re^{i\theta} + z)(Re^{i\theta} - z)}{|Re^{i\theta} - z|^2} \right) = \Re \left( \frac{(R^2 - |z|^2 + Re^{i\theta}z - Re^{i\theta}z)}{|Re^{i\theta} - z|^2} \right).$$

Thus, our Poisson integral may be rewritten to be of the form

$$P_u(z) = \Re \left( \frac{1}{2\pi} \int_0^{2\pi} \left( \frac{Re^{i\theta} + z}{Re^{i\theta} - z} \right) u(Re^{i\theta}) d\theta \right);$$

let the integrand be denoted  $g(z, \theta)$  on  $D_R(0) \times [0, 2\pi]$ ; clearly,  $g$  is continuous on its domain, so  $g(\cdot, \theta)$  is holomorphic on  $D_R(0)$ . This means that  $P_u$  is the real part of a holomorphic function (since the integral is of a holomorphic function, it itself is also holomorphic), so  $P_u$  is holomorphic.

**Theorem 21.2** (Schwarz's theorem). Given a continuous  $u$  on  $|z| = R$ , we have that  $P_u$  is harmonic on  $|z| < R$ . Moreover, for all  $\zeta_0 \in \partial D_R(0)$ , we have

$$\lim_{z \rightarrow \zeta_0} P_u(z) = u(\zeta_0).$$

*Proof.* Let  $C_2$  be an open arc on the circle  $|z| = R$  about some fixed  $\zeta_0$ , and let  $C_1$  be the complement of  $C_2$  on said circle. Let us define  $u_1 = u \circ \chi_{C_1}$  and  $u_2 = u \circ \chi_{C_2}$ . Indeed, we have  $P_u(z) = P_{u_1}(z) + P_{u_2}(z)$ ; directly write as follows,

$$P_{u_1}(z) = \frac{1}{2\pi} \int_{\zeta \in C_1} \frac{R^2 - |z|^2}{|\zeta - z|^2} u(\zeta) d\theta$$

as  $z \rightarrow \zeta_0$ ; indeed, we have that  $P_{u_1}(\zeta_0) = 0$  makes sense, since the denominator is nonvanishing on  $C_1$ , and that  $P_{u_1}(z) \rightarrow P_{u_1}(\zeta_0)$  as  $z \rightarrow \zeta_0 \in C_2$ . Recall, that we want to show  $\lim_{z \rightarrow \zeta_0} P_u(z) = u(\zeta_0)$ ; by replacing  $u = u(\zeta_0)$ , we assume that  $u(\zeta_0) = 0$ . Given any  $\varepsilon > 0$ , there exists an open arc  $C_2$  containing  $\zeta_0$  such that  $|u(z)| < \varepsilon/2$  for all  $z \in C_2$ ; in particular, this means  $|u_1| < \varepsilon/2$ . Observe that, for any  $|z| < R$ , we have that the integral of the Poisson kernel is given by

$$\frac{1}{2\pi} \int_0^{2\pi} \frac{R^2 - |z|^2}{|\zeta - z|^2} ds = 1(z) = 1,$$

since the kernel itself is equal to the function sending everything to one.<sup>22</sup> Thus, we have that

$$|P_{u_2}(z)| = \left| \frac{1}{2\pi} \int_0^{2\pi} \frac{R^2 - |z|^2}{|\zeta - z|^2} u(\zeta) d\theta \right| < \frac{\varepsilon}{2}.$$

Thus, we conclude  $P_u(z) < \varepsilon$ . □

**Theorem 21.3.** A constant real-valued function  $u(z)$  in a region  $\Omega \subset \mathbb{C}$  which satisfies the mean value property is harmonic.

Pick any  $z_0 \in \Omega$  and any disc  $|z - z_0| \leq r$  in  $\Omega$ . Then, by Schwarz's theorem,

$$P_u(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{r^2 - |z - z_0|^2}{|re^{i\theta} - z|^2} u(z_0 + re^{i\theta}) d\theta$$

is harmonic on  $|z - z_0| < r$  and  $P_u = u$  on  $|z - z_0| = r$ . Thus,  $u - P_u$  satisfies the mean value property by the max/min principle.

**Theorem 21.4.** The Dirichlet problem can be solved for any open set  $\Omega \subset \mathbb{C}$  such that each boundary point is the endpoint of a line segment whose other points are exterior to  $\Omega$ .

*Proof.* The proof is divided into three parts; Harnack's principle, subharmonic functions, and to solve a general version of the Dirichlet problem. Let  $u$  be harmonic on  $|z| < R$  and continuous on  $|z| \leq R$ ; we have that, for all  $|z| \leq R$ ,

$$u(z) = \frac{1}{2\pi} \int_0^{2\pi} \frac{R^2 - |z|^2}{|Re^{i\theta} - z|^2} u(Re^{i\theta}) d\theta.$$

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Harnack's inequality states that, for  $\rho := |z| < R$ ,

$$\frac{R - \rho}{R + \rho} = \frac{R^2 - \rho^2}{(R + \rho)^2} \leq \frac{R^2 - |z|^2}{|Re^{i\theta} - z|^2} \leq \frac{R^2 - \rho^2}{(R - \rho)^2} = \frac{R + \rho}{R - \rho}.$$

Thus,

$$u(z) \leq \frac{1}{2\pi} \cdot \frac{R + \rho}{R - \rho} \int_0^{2\pi} u(Re^{i\theta}) d\theta = \frac{1}{2\pi} \cdot \frac{R + \rho}{R - \rho} u(0).$$

By the same way, for the other side of Harnack's inequality, we have that  $u(z) \geq \frac{1}{2\pi} \cdot \frac{R - \rho}{R + \rho} u(0)$ .

**Theorem 21.5** (Harnack's principle). Consider a sequence of functions  $u_n(z)$ , each defined and harmonic in a certain region  $\Omega_n$ . Let  $\Omega$  be a region such that every point in  $\Omega$  has a neighborhood contained in all but finitely many  $\Omega_n$ . Assume, moreover, that in some neighborhood of  $z \in \Omega$ , we have that  $u_n(z) \leq u_{n+1}(z)$  for all  $n$  sufficiently large. Then there are only two possibilities for convergence: either  $u_n(z) \rightarrow +\infty$ , or  $u_n(z)$  converges to a harmonic function on  $\Omega_n$ ; in both cases, the converge is uniform on every compact subset of  $\Omega$ .

*Proof.* Assume that, at one point  $z_0 \in \Omega$ , we have

$$\lim_{n \rightarrow \infty} u_n(z_0) = \infty.$$

We will show that we get the first case. By assumption, there exists  $r > 0$  such that  $D_r(z_0) \subset \Omega_n$  for all  $n \geq m$  (for some fixed  $m$ ), and that the  $u_n$  are harmonic, forming a non-decreasing sequence on  $D_r(z_0)$ . Applying Harnack's inequality, we have that, for all  $z \in D_r(z_0)$ ,

$$\frac{1}{2\pi} \cdot \frac{R - |z|}{R + |z|} (u_n(z_0) - u_m(z_0)) \leq u_n(z) - u_m(z) \leq \frac{1}{2\pi} \cdot \frac{R + |z|}{R - |z|} (u_n(z_0) - u_m(z_0)).$$

Since the lower bound admits a term  $u_n(z_0) - u_m(z_0)$  which goes to infinity, it is clear that  $u_n(z)$  itself also goes to infinity by our inequality above. We've proven that for all  $z \in D_r(z_0)$ ,  $\lim_{n \rightarrow \infty} u_n(z) = \infty$ . Let us consider the open set  $\Omega_I = \{z \in \Omega \mid \lim_{n \rightarrow \infty} u_n(z) = \infty\}$ . Indeed,  $\Omega_I$  contains  $D_r(z_0)$ ; we will show that  $\Omega \setminus \Omega_I = \{z \in \Omega \mid \lim_{n \rightarrow \infty} u_n(z) < \infty\}$  is also open. By considering the upper bound from Harnack's inequality, we see that  $u_n(z_0)$  is a Cauchy sequence, which means  $u_n(z) - u_m(z)$  is also Cauchy, so we are done.  $\square$

We will finish the rest of the proofs next lecture.<sup>23</sup>  $\square$

<sup>23</sup>these are from ahlfors; i will annotate more once we write the compilation