

CS 519-005, Algorithms (MS/MEng-level), Winter 2018
HW4 - Priority Queue and Heaps

Due via submit program on Monday Feb 5, 11:59pm.
No late submission will be accepted.

Need to submit: report.txt, nbest.py, kmergesort.py, datastream.py.
DO NOT ZIP YOUR SUBMISSION.
datastream.py will be graded for correctness (1%).

Textbooks for References:

- [1] CLRS Ch. 6
- [2] Python heapq module

0. There are two methods for building a heap from an unsorted array:

- (1) insert each element into the heap --- $O(n \log n)$
- (2) heapify (top-down) --- $O(n)$

(a) Derive these time complexities.

(b) Use a long list of random numbers to show the difference in time. (Hint: random.shuffle)

(c) What about sorted or reversely-sorted numbers?

1. (taken from my first paper: see "Algorithm 1" in Huang and Chiang (2005).)

Given two lists A and B, each with n integers, return
a sorted list C that contains the smallest n elements from $A \times B$:

$$A \times B = \{ (x, y) \mid x \in A, y \in B \}$$

i.e., $A \times B$ is the Cartesian Product of A and B.

ordering: $(x, y) < (x', y')$ iff. $x+y < x'+y'$ or $(x+y == x'+y'$ and $y < y')$

You need to implement three algorithms and compare:

- (a) enumerate all n^2 pairs, sort, and take top n.
- (b) enumerate all n^2 pairs, but use qselect from hw1.
- (c) Dijkstra-style best-first, only enumerate $O(n)$ (at most $2n$) pairs.
Hint: you can use Python's heapq module for priority queue.

Q: What are the time complexities of these algorithms?

```
>>> a, b = [4, 1, 5, 3], [2, 6, 3, 4]
>>> nbesta(a, b) # algorithm (a), slowest
[(1, 2), (1, 3), (3, 2), (1, 4)]
>>> nbestb(a, b) # algorithm (b), slow
[(1, 2), (1, 3), (3, 2), (1, 4)]
>>> nbestc(a, b) # algorithm (c), fast
[(1, 2), (1, 3), (3, 2), (1, 4)]
```

Filename: nbest.py

2. k-way mergesort (the classical mergesort is a special case where $k=2$).

```
>>> kmergesort([4,1,5,2,6,3,7,0], 3)
[0,1,2,3,4,5,6,7]
```

Q: What is the complexity? Write down the detailed analysis in report.txt.

Filename: kmergesort.py

3. [WILL BE GRADED]

Find the k smallest numbers in a data stream of length n ($k \leq n$),
using only $O(k)$ space (the stream itself might be too big to fit in memory).

```
>>> ksmallest(4, [10, 2, 9, 3, 7, 8, 11, 5, 7])
[2, 3, 5, 7]
```

```
>>> ksmallest(3, range(1000000, 0, -1))  
[1, 2, 3]
```

Note:

- a) it should work with both lists and lazy lists
- b) the output list should be sorted

Q: What is your complexity? Write down the detailed analysis in report.txt.

Filename: datastream.py

- 4. (optional) Analyze the time complexities of the two "slow" solutions in HW3 we provided for the `closest_sorted` problem.
- 5. (optional) Summarize the time complexities of the basic operations (push, pop-min, peak, heapify) for these implementations of priority queue:

- unsorted array,
- sorted array (highest priority first),
- sorted array (lowest priority first),
- linked list,
- binary heap

Debriefing (required!): -----

- 0. What's your name?
- 1. Approximately how many hours did you spend on this assignment?
- 2. Would you rate it as easy, moderate, or difficult?
- 3. Did you work on it mostly alone, or mostly with other people?
Note you are encouraged to discuss with your classmates,
but each student should submit his/her own code.
- 4. How deeply do you feel you understand the material it covers (0%-100%)?
- 5. Which part(s) of the course you like the most so far?
- 6. Which part(s) of the course you dislike the most so far?

This section is intended to help us calibrate the homework assignments.
Your answers to this section will **not** affect your grade; however, skipping it will certainly do.