

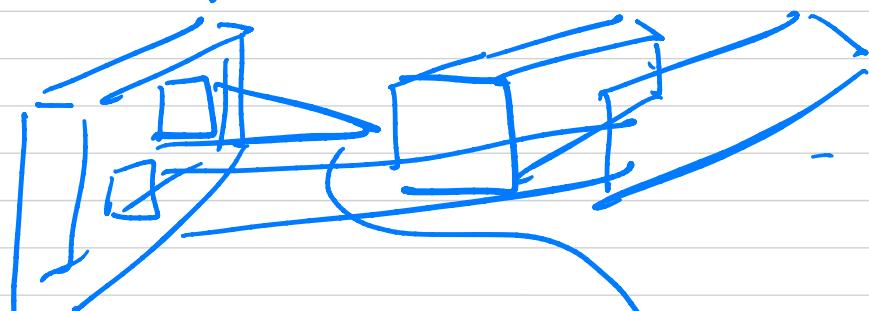
# FYS5429/9429, Lecture

## February 13, 2025

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## CNNs basic ingredients

- 1) Several convolutional in parallel



28x28x1

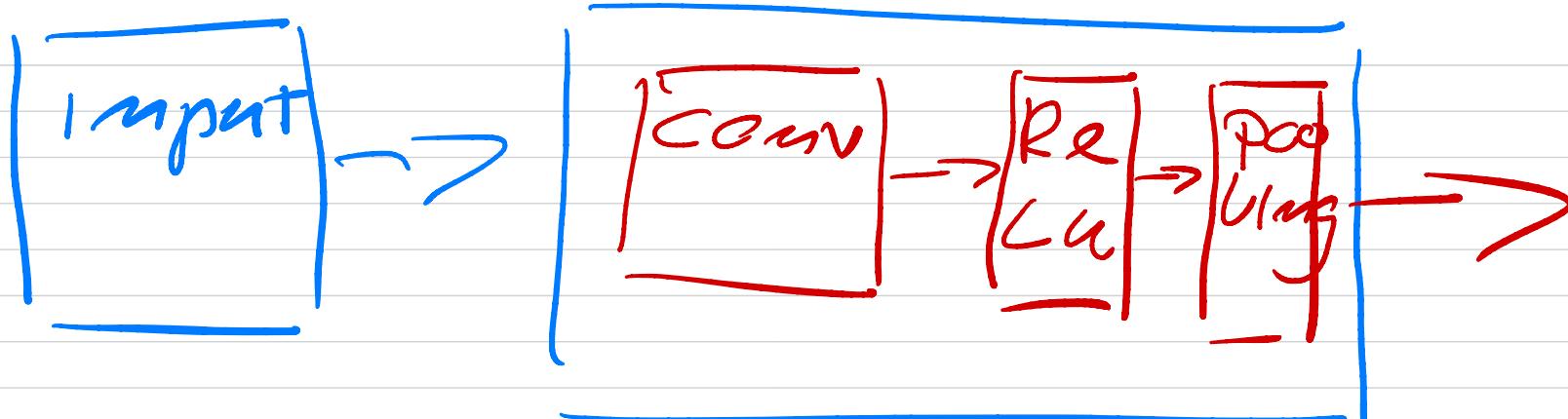
... memory  
scaled  
down  
copies

parameters to be trained  
by backpropagation

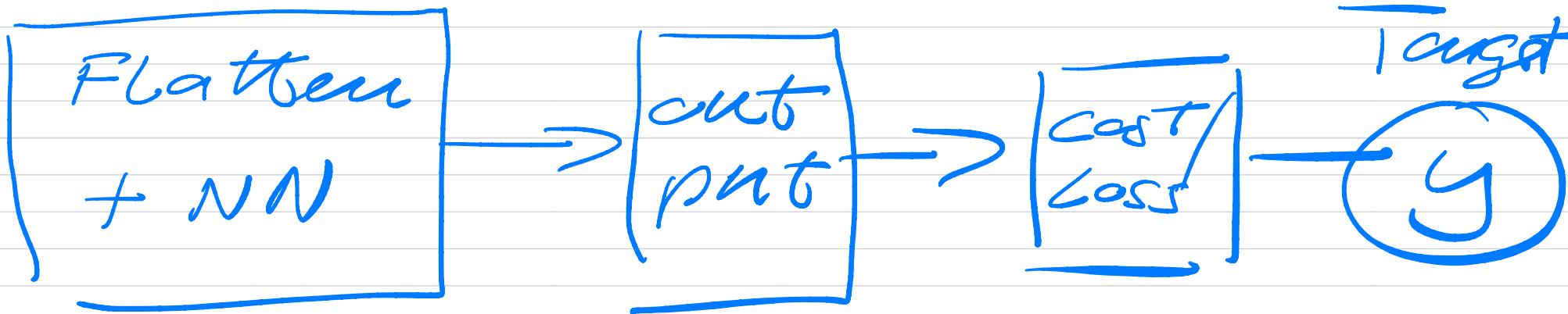
2) Detector stage or  
ReLU activation stage  
(only hyperparameters)

3) Pooling stage (hyper  
parameters)  
- max pooling  
- average pooling  
scale further down image

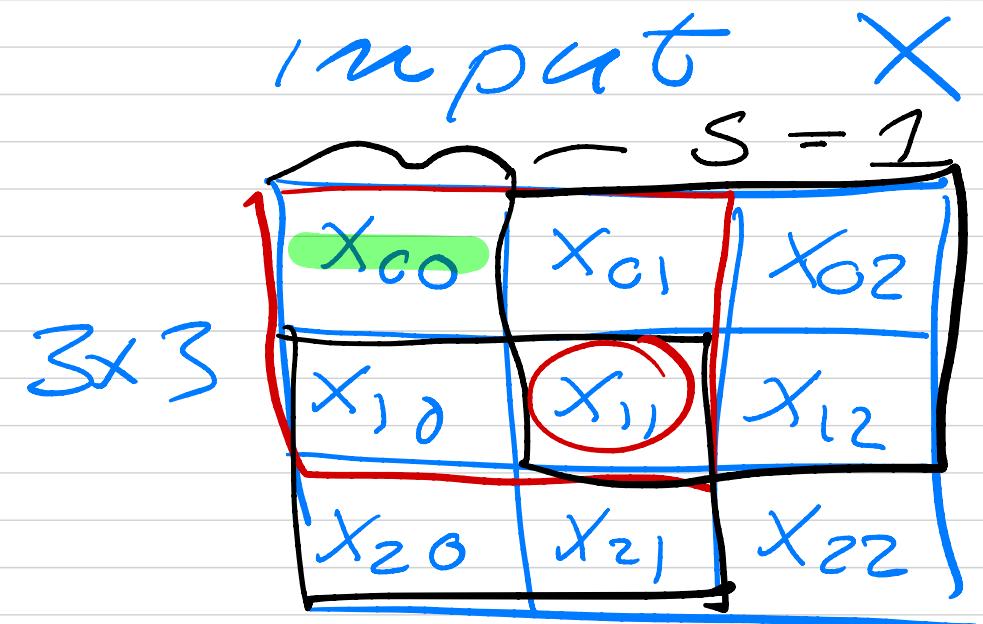
4) Flattening stage + NN  
train with back propagation.



conv part

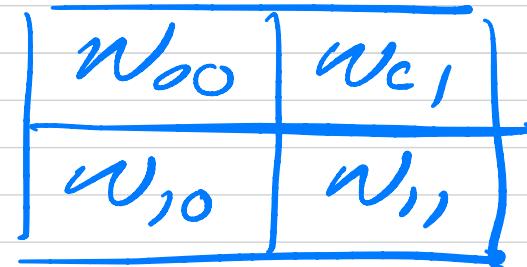


# Convolution stage



Filter  $W$

$s = \text{stride}$



$2 \times 2$

$$\begin{bmatrix}
 x_{00}w_{00} + x_{01}w_{01} & x_{01}w_{00} + x_{02}w_{01} \\
 x_{10}w_{10} + x_{11}w_{11} + x_{11}w_{10} + x_{12}w_{11} \\
 \hline
 x_{10}w_{00} + x_{11}w_{01} & x_{11}w_{00} + x_{12}w_{01} \\
 + x_{20}w_{10} + x_{21}w_{11} & + x_{21}w_{10} + x_{22}w_{11}
 \end{bmatrix}$$

output

with a bias      4 (weights) + 1  
parameters to train

$$N = \text{Dim of } x \quad (N \times n)$$

$$F = \text{Dim of } W \quad (F \times F)$$

output dimensionality

$$N_2 = (N - F)/s + 1$$

$$N_1 = 3 \quad F = 2 \quad s = 1$$

$$N_2 = 2$$

with padding  $P$

$$N_2 = (N_1 - F + 2P)/s + 1$$

Example 2

$N_1 = 32$       input volume  
 $32 \times 32 \times 3$

10 copies through  $5 \times 5$   
filter,  $P = 0$ ,  $s = 1$

$$N_2 = (32 - 5)/1 + 1 = 28$$

$28 \times 28 \times 10$  replicat  
with colors for each filter

$$5 \times 5 \times 3 + 1 = 76$$

↑

Bias

parameters

with 10 images 760

parameters

Require 4 new hyperparameters

-  $K$  = number of filters

-  $F$  = spatial extent

-  $S$  = stride

-  $P$  = amount of padding

input volume :

$W_1 \times H_1 \times D_1$   
width height Depth

producers an output

$$W_2 \times H_2 \times D_2$$

$$W_2 = (W_1 - F + 2P)/S + 1$$

$$H_2 = (H_1 - F + 2P)/S + 1$$

Typical character

$$F = 3 \quad S = 1 \quad P = 1$$

$$F = 5 \quad S = 1 \quad P = 2$$

$$F = 5 \quad S = 2 \quad P = \text{open}$$

convolution, Example

$$p(t) = \alpha_0 + \alpha_1 t + \alpha_2 t^2$$

$$s(t) = \beta_0 + \beta_1 t + \beta_2 t^2 + \beta_3 t^3$$

$$z(t) = p(t) \cdot s(t)$$

$$\begin{aligned} &= \delta_0 + \delta_1 t + \delta_2 t^2 + \delta_3 t^3 \\ &\quad + \delta_4 t^4 + \delta_5 t^5 \end{aligned}$$

$$\delta_0 = \alpha_0 \beta_0 ; \delta_1 = \alpha_1 \beta_0 + \alpha_0 \beta_1$$

$$\delta_2 = \alpha_0 \beta_2 + \alpha_1 \beta_1 + \alpha_2 \beta_0$$

$$\delta_3 = \alpha_1 \beta_2 + \alpha_2 \beta_1 + \alpha_0 \beta_3$$

$$\delta_4 = \alpha_2 \beta_2 + \alpha_1 \beta_3$$

$$\delta_5 = \alpha_2 \beta_3$$

$\alpha_i = 0$  except for  $i = \{0, 1, 2\}$

$\beta_i = 0$  — , —  $\{0, 1, 2, 3\}$

$$\begin{aligned} \delta_j &= \sum_{i=-\infty}^{\infty} \alpha_i \beta_{j-i} \\ &= (\alpha * \beta)_j \\ &\text{convolution} \end{aligned}$$

$$y(t) = \int_{s \in D} x(s) w(t-s) ds$$

$$= (X * w)$$

$$\mathcal{S} = \begin{bmatrix} \alpha_0 & 0 & 0 & 0 \\ \alpha_1 & \alpha_0 & 0 & 0 \\ \alpha_2 & \alpha_1 & \alpha_0 & 0 \\ 0 & \alpha_2 & \alpha_1 & \alpha_0 \\ 0 & 0 & 0 & \alpha_2 \end{bmatrix} \cdot \begin{bmatrix} \beta_0 \\ \beta_1 \\ \beta_2 \\ \beta_3 \end{bmatrix}$$

$$\mathcal{S} = \begin{bmatrix} \beta_0 & 0 & 0 \\ \beta_1 & \beta_0 & 0 \\ \beta_2 & \beta_1 & \beta_0 \\ \beta_3 & \beta_2 & \beta_1 \\ 0 & \beta_3 & \beta_2 \\ c & c & \beta_3 \end{bmatrix} \begin{bmatrix} \alpha_0 \\ \alpha_1 \\ \alpha_2 \end{bmatrix}$$

# Toeplitz matrix

$$A = \begin{bmatrix} q_0 & 0 & 0 \\ q_1 & q_0 & 0 \\ q_2 & q_1 & q_0 \\ q_3 & q_2 & q_1 \\ 0 & q_3 & q_2 \\ 0 & 0 & q_3 \end{bmatrix}$$

$$q_{i,j} = q_{i+j, j+1} = q_{i-j}$$

replace  $\beta$  with  $x$  (input)

—  $\gamma - \alpha$  with  $w$  (filter)

—  $\gamma - S$  with  $y$  (output)

$$y(i) = (x * w)(i) =$$

$k = m-1$

$$\sum w(k) \times (i-k)$$

$k=0$

$$m = 3 \quad \overset{d_0}{\curvearrowleft} \quad \overset{d_1}{\curvearrowleft} \quad \overset{d_2}{\curvearrowleft}$$

$$w = \{w(0), w(1), w(2)\}$$

$$x = \{x(0), x(1), x(2), x(3)\}$$

For specific values - i -  
we get  $x(-1)$  and  $x(-2)$

Increase size of  $x$

$$n = 4 \Rightarrow n + 2P$$

$$P = 2$$

$$x(0) = 0; x(1) = 0$$

$$x(2) = \beta_0 (= x(0)); x(3) = \beta_1$$

$$x(4) = \beta_2; x(5) = \beta_3$$

$$x(6) = x(7) = 0$$

$$y(i) = \sum_{k=0}^{k=m-1} w(k) \times (i + (m-1) - k)$$

$$\begin{aligned} y(4) &= x(0)w(0) + x(1)w(1) \\ &\quad + x(2)w(2) \end{aligned}$$

$$= \alpha_0 \cdot \alpha_0 + \beta_3 \alpha_1 + \beta_2 \alpha_2$$

$$\begin{aligned} y(5) &= x(0)w(0) + x(1)w(1) \\ &\quad + x(2)w(2) \\ &= \alpha_2 \beta_3 \end{aligned}$$

redefine  $w \Rightarrow \tilde{w}$

$$\tilde{w}(0) = w(2) = d_2$$

$$\tilde{w}(1) = w(1) = d_1$$

$$\tilde{w}(2) = w(0) = d_0 \Rightarrow$$

$$y(i) = x(i:i+(m-1)) \tilde{w}$$