

ENCODE Workshop 'AI AND ANCIENT WRITING CULTURES' Bologna 23-27 January 2023

# Challenges and issues of using *Transkribus* in large late medieval manuscript collections: The Memoriali Project (*MemoBo*)



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### 1) The Memoriali

Definition

The collection in the State Archive of Bologna

### 2) The MemoBo project

Aims and objectives

X-Dams, Regesta and the state of the art

Website layout

### 3) The Memoriali and Transkribus

Data input format: Diplomatic transcription + tags vs direct transcription

First model: MemoBo, Me. 69, Model 1 Second model: MemoBo, Me. 69, Model 2

Third Model: MemoBo, Me. 69, Model 3

### 4) Next steps and future plans





#### **Definition:**

A series of notarial records –
contracts, wills, dowry
stipulations, emancipations etc –
registered by a specific office, the
«ufficio dei Memoriali» and
created in order to avoid fraud
and falsification.



• Product of a statutory (statuta) disposition: April of 1265

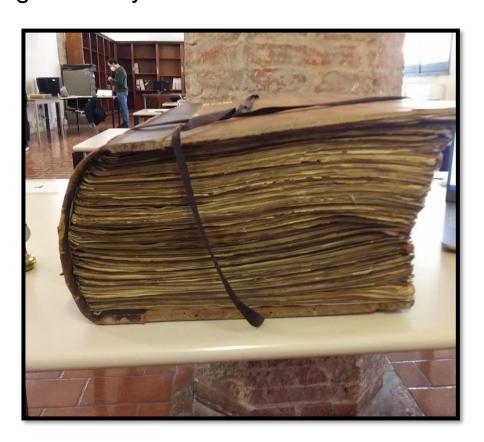
Rubrica XLIII- Qualiter contractus et ultime voluntates per notarios in memorialibus reducantur et qualiter ipsi notarii elligantur et qualiter ipsa memorialia fiant. Statuimus et ordinamus ut falsitatibus que circa instrumenta fiebant omnimode obvietur quod omnes contrahentes deinceps in civitate bononie et burgis, (...) Statuti di Bologna dall'anno 1245 all'anno 1267, III, a cura di Luigi Frati, Bologna, 1877, pp. 625-631.

The series only contains documents in the value of 20 bolognese lire or more.



# The *Memoriali* series in the State Archive of Bologna (*ASBo*)

Composed of 322 volumes produced between 1265 and 1452, organized by semester.



More than a million acts uninterruptly covering 200 years of bolognese economic and social activities.



# ...documents that were continuously evolving»

The state was for foreign to the state of th

Will of Jubetina, wife of Gerado, blacksmith (09/01/1266)





Will of Dota, daughter of Francesco dei Clarissimi – 20/02/1338



# MemoBo

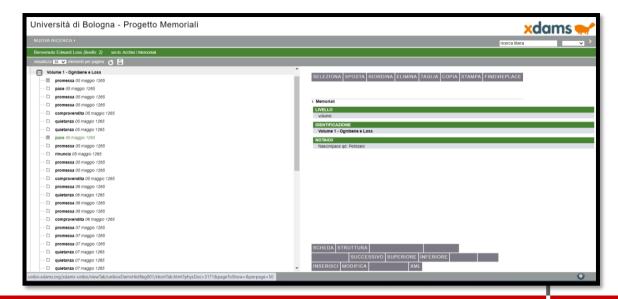


https://site.unibo.it/memobo/it/progetto

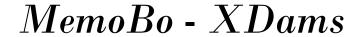


# MemoBo

- 1) The creation of an open-access search tool with different access keys to the documentary series.
- 2) The organization of **serial data** that will provide scholars with a solid basis for more detailed research on economic, social, and legal history of a main medieval city.
- Together with Regesta, we created a data recording and indexing software in 2020 (on X-Dams)









SCI			
onata la scheda: Volume 1 - Ognibene e Loss			
UDI informazioni di relazione			
O figlio			
fratello precedente			
fratello successivo			
+ inserimento multiplo di schede			
IDENTIFICAZIONE DELL'UNITÀ			
visibilità della scheda	scegli 🗸		
livello di descrizione	atto 🔻		
categoria	<b>v</b>		
- DATA DI REDAZIONE			
da			
a			
forma visualizzata		APPLICA	
forma normalizzata		SENZA DATA	
indizione			
nota alla data			
+ DATA TOPICA: LUOGHI DI CITTÀ			
+ DATA TOPICA: GUARDIA CIVITATIS			
+ DATA TOPICA: LUOGHI DEL CONTADO			
+ DATA TOPICA: LUOGHI EXTRA GIURSDIZIO	NE		



### MemoBo - XDams



MODIFICA			CHIUDI
IDENTIFICAZIONE	E E DESCRIZIONE   INDICI NOMI	EDIZIONI, NOTE E COMPILAZIONE	
PRECEDENTE	Area indici nomi		
SUCCESSIVO SUPERIORE	- PERSONE		
oor ErtionE	•	AGGIUNGI	
	genere	<b>v</b>	
SALVA	nome		
SALVA E CHIUDI	cognome		
CHIUDI	età	/c/controlaccess(@altrender='persone']/list/item[1]/persname[@altrender='cognome']/text()	
	provenienza o residenza		
	qualifica	INSERISCI	
	ente di appartenenza/riferimento	RIMUOVI	
	condizione		
	mestiere		
	funzione	•	
	APRI note		



### MemoBo - XDams

Some of the information catalogued and indexed:

- 1) Type of the act
- 2) People involved and their roles
- 2.a) name, patronyc and surname
- 2.b) geographical provenance
- 2.c) profession

Etc

- 3) The object
- 3.1) transferable goods, rights and etc
- 3.2) their value and type of currency

• Main challenge: the size of the collection!



# MemoBo and A. I.

The search for A. I.

- 1)Supply the lack of manpower
- 2) Possible solution for the challenge of time

• Study of the options available in the market:







### Advantages:

Easier to install

Easier to use than most softwares

Sustainable structure (more possibilities of lasting)

### Disadvantages:

Private for profit endeavour: no Italian university can establish a direct partneship according to current legislation, which complicates funding.

Costs considering the size of the collection



### First phase:

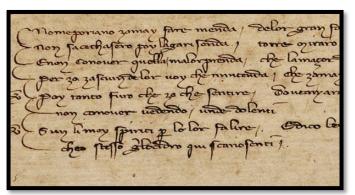
Selection of a *memoriale* to start training the software and creating specific models.

**Criteria:** the clarity of script, the clarity the of support (*parchment*) and the existence of a (almost) complete manmade perfected transcription.

### Selected:

Memoriale of Enrichetto delle Querce (1287)

- Clear thirteenth-century notarial minuscule
- Precise grammar and syntax notary of high intellectual and cultural relevance.
- Document of high historical relevance: Dante's poems appear in his Memoriale
- Partially transcribed by Armando Antonelli (State Archive of Bologna)



«The Garisenda Sonet»



### Models of data input for the creation of «ground truth»

### 1) Diplomatic transcription + «tags»

- Transcription of graphic elements as we see them on the parchment, copying medieval characters and specific abbreviation trace from MUFI (Medieval Unicode Font Initiative)
- Expansion of abbreviations through the «tag» system.

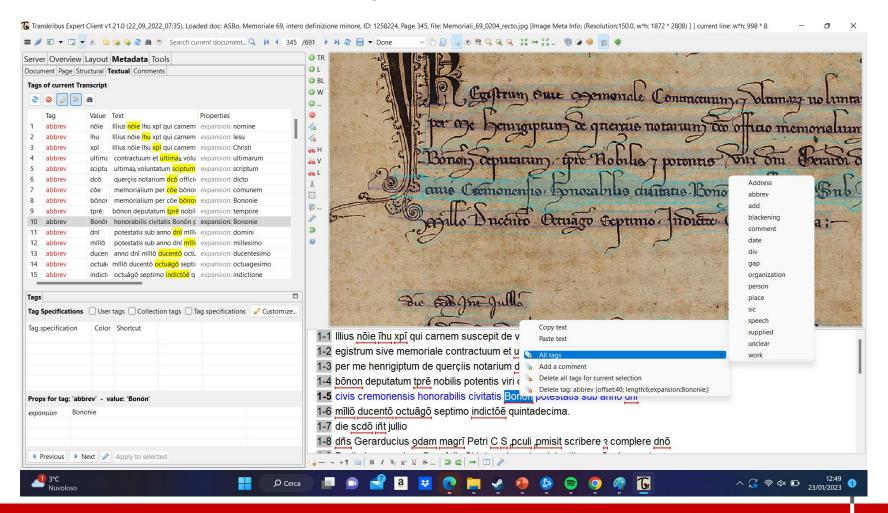


https://mufi.info/m.php?p=muficodechart#&ui-state=dialog



### MemoBo and Transkribus: Models of data input

### 1) Diplomatic transcription + «tags»





### MemoBo models of data imput: Diplomatic transcription + «tags»

#### Advantages:

- Smaller number of pages necessary to create a model
- More precise reading models (in theory)
- Especially useful for the production of critical editions

#### **Disadvantages:**

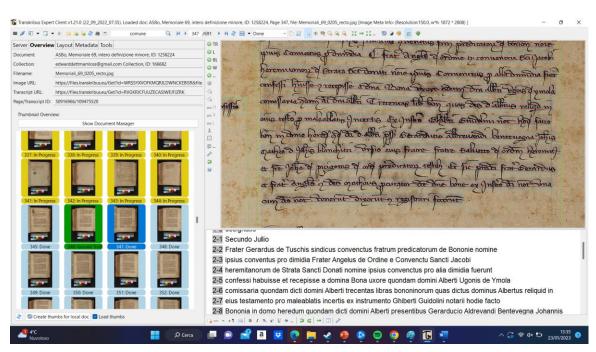
- Time-consuming process: 2-3 hours of preparation for each page.
- Very arbitrary process: the selection of characters input depends on the observer's individual understanding of the script. Es. coe, coe or coe for?
- Not all characters available on MUFI are supported by transkribus: « > »

Put aside in the initial test



### Models of data input for the creation of «ground truth»

- 2) Direct transcription
  - Expansion of abbreviations directly in the main text





### MemoBo models of data imput: Direct transcription

#### Advantages:

- Quicker to produce ground truth: estimated 10 minutes a page
- No need to use external characters and fonts (avoid subsequent errors)

#### **Disadvantages:**

- Larger amount of material necessary to produce first model
- Necessity of more experienced and prepared trainers (paleography and latin)
- Long preparatory phases (decisions on how to transcribe vowels and consants, on how to expand abbreviations and etc.

Chosen for the initial test



### General description:

Data input method: direct transcription

Some of the transcription specifics:

«U» transcribed as «v» in between vowels. «j» kept as «j» in the beginning of sentences, but transcribed as «i» between consants

Capitals introduced for names, surnames, patronymic and locations

Decorations and large capitals excluded from the general «layout» - too few to explore in the model. Aim: try to establish a functioning model with the least amount of material (ratio between effort and result)

Numbers of pages transcribed: 15

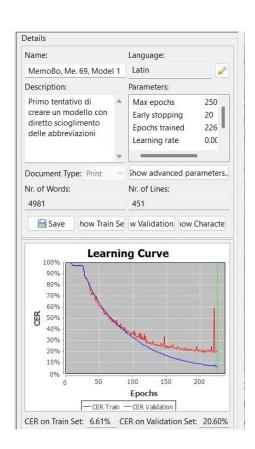
Number of lines: 451

Number of words: 4981

Number of **hours**: 3 hours

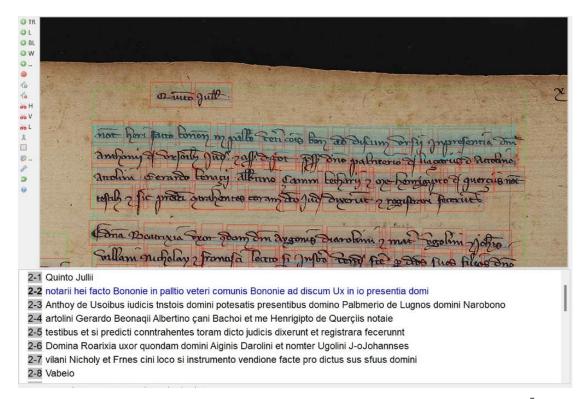


### Results:



**CER on Train Set: 6,61%** 

CER of Validation Set: 20,60% (poor) – ideal: under 10%



In practical terms: 8 mistakes every 15 words



#### **General description:**

Data input method and transcription specifics: same as *MemoBo*, *Me.* 69, *Model* 1

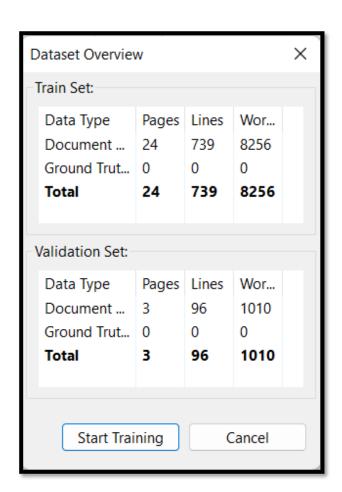
Numbers of pages transcribed:

25

Number of lines: 729

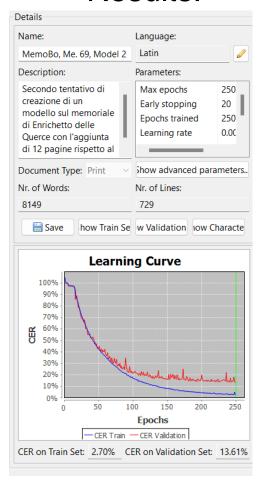
Number of words: 8149

Number of hours: 5 hours

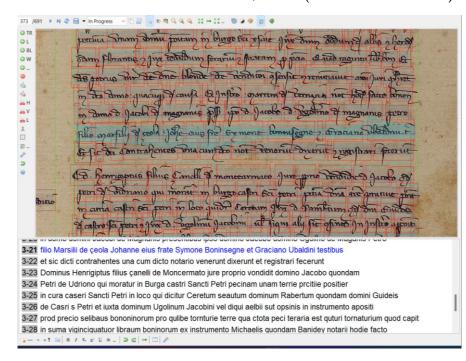




### Results:



CER on Train Set: 2,70% (reduced in 60%)
CER on Validation Set: 13,61% (reduced in 34%)



Practical terms: 5 mistakes every 15 words, with some perfect lines.



#### General description:

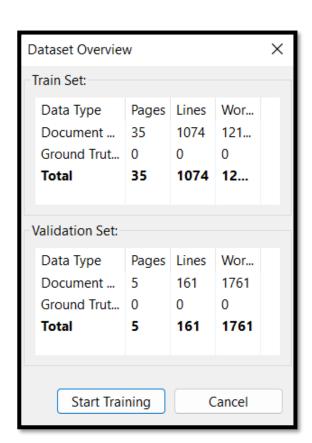
Data input method and transcription specifics: same as MemoBo, Me. 69, Model 1 and MemoBo, Me. 69, Model 2

Numbers of pages transcribed: 40

Number of lines: 1074

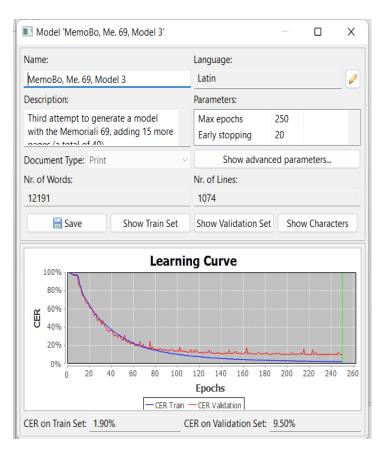
Number of words:

Number of hours: 8 hours

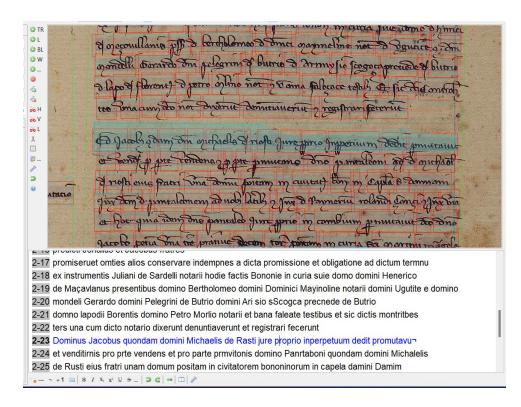




#### **Results**



CER on Train Set: 1,90% (reduced in 30%)
CER on Validation Set: 9,50% (reduced in 30%)



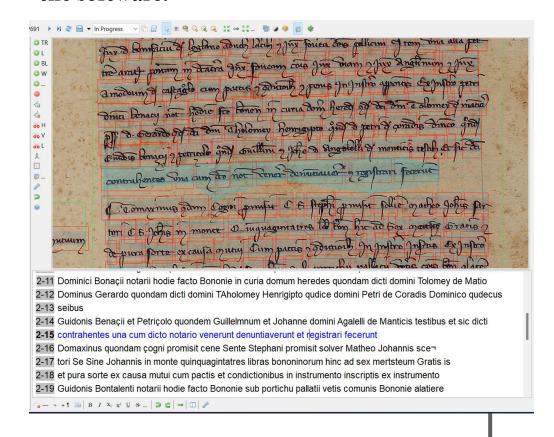
Practical terms: 2-3 mistakes every 15 words, with an average of perfect lines per page.



According to Transkribus, MemoBo, Me. 69, Model 3 could be considered an already optimal model: CER on validation under 10%

In diplomatic terms, the model is based on only 20 folios (recto and verso) out of the 300 that compose the entire archival unit = less than 10% of the entire unit allowed us to create an effective model!

Es.) Model MemoBo, Me. 69, Model 3 applied on a page completely not previously known to the software.



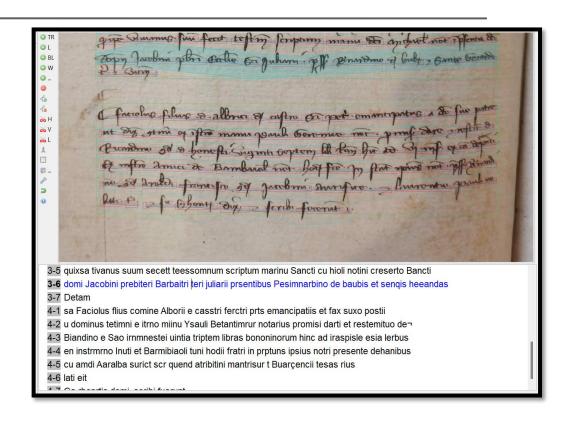


### Does the model work for other units of the Memoriali series?

### First attempt

Memoriale of Nicola from Lastignano (1286) – only one year earlier than Enrichetto' unit

Same abbreviation system
Same script style
Similar clarity of support and similar gramatic consistency
Identical cutural background



- Yes...but, not really.
- The model is able to recognise many correct characters but there are mistakes in almost every word.



### Some (partial) conclusions of the work in progress:

- Transkribus is very sensitive to the change of hands even inside the same volume of the memoriali
- The software finds it very difficult to transkribe words it has never seen (necessity to augment the sample)
- The homogeneity of the script seems to be more important than the the abbreviation system (the software learnt quickly how to associate abbreviations with the expanded words in the direct transcription)



### Future steps

• Create models with mixed «ground truth» in equally representative parts: larger sample.

 Accept the necessity for multiple models: pay close attention to the ratio of time vs cost vs benefit



# Questions?

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