

# Vienna Ab Initio Package – Crash course

3<sup>rd</sup> Lecture – 16/09/21

Dr. Federico Dattila  
[fdattila@iciq.es](mailto:fdattila@iciq.es)



# Structure of the course

| Day<br>September  | Hours<br>Madrid time  | Location     | Topic   |
|---|-----------------------|--------------|---|
| Monday 6 <sup>th</sup>                                  | 10:00-12:00           | E4 /<br>Zoom | Introduction + Handson 1<br>(molecules; frequencies; molecular dynamics)  |
| Tuesday 7 <sup>th</sup>                                 | 12:00-13:00           | E4 /<br>Zoom | Tutorial Handson 1  |
| Wednesday 8 <sup>th</sup>                               | 10:00-12:00           | E4 /<br>Zoom | Correction exercises 1 + Handson 2 (bulk optimization and electronic properties) + Instructions for python / bash project |
| Thursday 9 <sup>th</sup>                                | 10:00-11:00           | E3 /<br>Zoom | Tutorial Handson 2  |
| Friday 10 <sup>th</sup> /<br>Wednesday 15 <sup>th</sup> | Python / bash project |              |   |
| Thursday 16 <sup>th</sup>                               | 10:00-12:00           | E4 /<br>Zoom | Correction exercises 2 and python / bash project + Handson 3<br>(surface optimization and electronic properties)          |
| Friday 17 <sup>th</sup>                                 | 10:00-11:00           | E4 /<br>Zoom | Tutorial Handson 3  |
| Monday 20 <sup>th</sup>                                 | 10:00-12:00           | E4 /<br>Zoom | Correction exercises 3 + Handson 4<br>(magnetic properties and Hubbard correction)  |
| Tuesday 21 <sup>st</sup>                                | 10:00-11:00           | E4 /<br>Zoom | Tutorial Handson 4  |
| Wednesday 22 <sup>nd</sup>                              | 10:00-11:00           | E4 /<br>Zoom | Correction exercises 4  |

# Materials

- Materials available on [OneDrive](#);
- All lectures and tutorials will be registered and later available on OneDrive;
- Detailed explanation online. The current course gives only an introduction!
- Save the link to the VASP manual online:  
[https://www.vasp.at/wiki/index.php/The\\_VASP\\_Manual](https://www.vasp.at/wiki/index.php/The_VASP_Manual)

# Today's class

1. Few tips
2. Update on status of python / bash project;
3. Correction of exercises of Handson 2;
4. Lecture 3 and instructions for Handson 3.

Based on:

- [1\\_optionic](#) (ionic optimization)
- [2\\_optelectron](#) (electronic optimization)

Further materials:

- [3\\_performance](#) (install and compile VASP)

Related handson session:

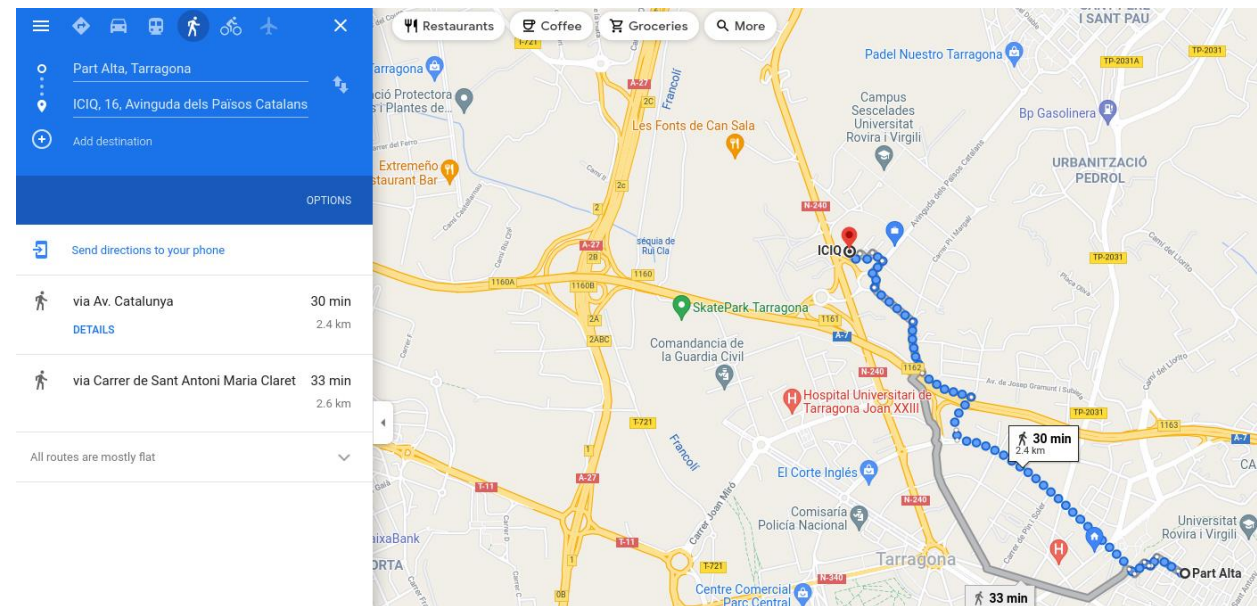
([3](#)) Surface optimization and electronic properties

# 1<sup>st</sup> tip: Take it easy!

Can you walk 1000 km?

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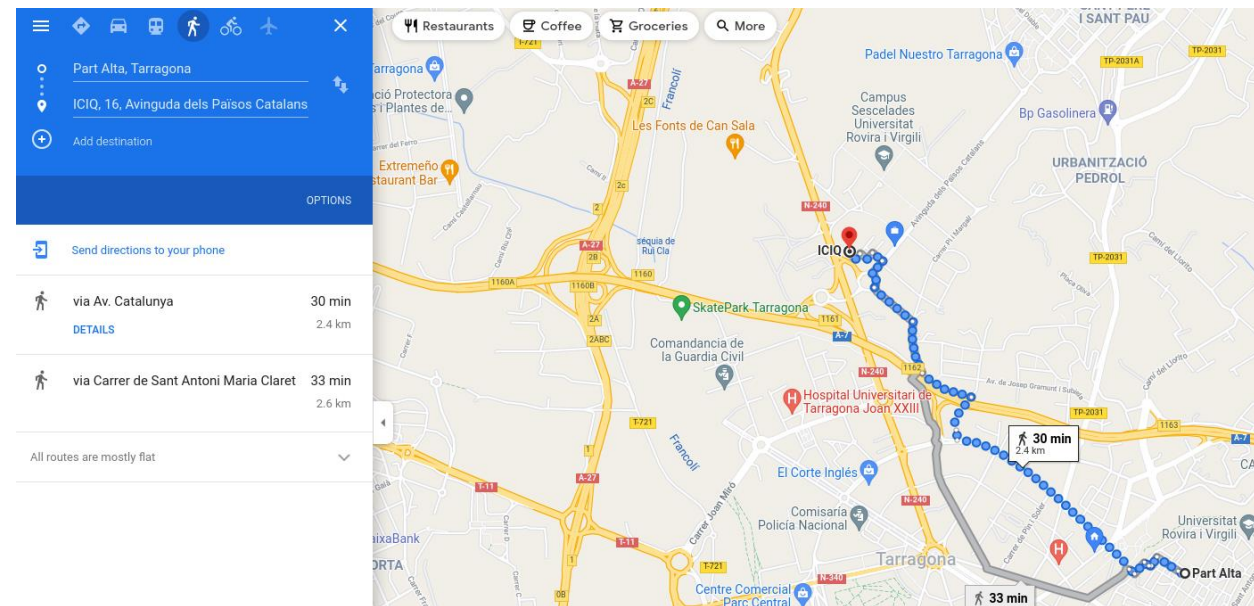
Can you walk 1000 km?



$2.4 \text{ km} \times 2 \text{ times} \times 230 \text{ working days} = 1100 \text{ km}$

# 1<sup>st</sup> tip: Take it easy!

Can you walk 1000 km?



$2.4 \text{ km} \times 2 \text{ times} \times 230 \text{ working days} = 1100 \text{ km}$

Your Ph.D. / Research project

- Understand properly the basics;
- Read the state-of-the-art;
- Plan your simulations;
- . . . .
- . . . .
- Submit simulations / Write paper.

## 2<sup>nd</sup> tip: Master degree vs Ph.D. degree

### Master degree

- Established knowledge;
- Exercises: application of this established knowledge;
- Correction of exercises because there is already a defined solution.

### Ph.D. degree

- New science;
- Exercises: try to apply your knowledge to solve the puzzle of this new science;
- Update (~~correction~~) on the status of the exercises since there is not yet a solution. You need to find your own and this takes time!



# Python / Bash projects – How is it going?

Maryam / Santiago – Python

- Cut surface facets from bulk (input: POSCAR; output: POSCAR\_xyz)

In case you finish the first (or it is too complex):

- Calculate the surface energy  $\gamma$  for a facet in  $\text{J m}^{-2}$  or  $\text{eV \AA}^{-2}$  (input: POSCAR surface/bulk, OUTCAR surface/bulk; output: the value of  $\gamma$ )

Enric / Sichen – bash

- Order a surface and fix bulk layer (input: POSCAR; output: POSCAR);
- Generate  $k$ -points mesh (input: POSCAR; output: KPOINTS);

In case you finish the first two:

- Add a molecule on top of an ordered POSCAR (input: CONTCAR; output: POSCAR)

```
fdattila@tekla2:~/00-vasp-course/scripting> for i in bash python ; do echo $i ; cd $i ; ls ; cd .. ; done
bash
add-mol  k-gen  ordtor
python
get-surface-energy  slab-gen
```

# Handson 2

Question:

What is the most stable crystal structure of Si? Does your prediction agree with experiments?

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| Crystal structure | Atom per unit cell | Energy / eV  | Energy per atom / eV |
|-------------------|--------------------|--------------|----------------------|
| fcc Si            | 2                  | -4.9         | -2.4                 |
| <b>Diamond Si</b> | <b>2</b>           | <b>-10.8</b> | <b>-5.4</b>          |
| Beta-tin Si       | 2                  | -10.2        | -5.1                 |

**Silicon,  $_{14}\text{Si}$**



**Silicon**

**Crystal structure** face-centered diamond-cubic



<https://en.wikipedia.org/wiki/Silicon>

# Handson 2

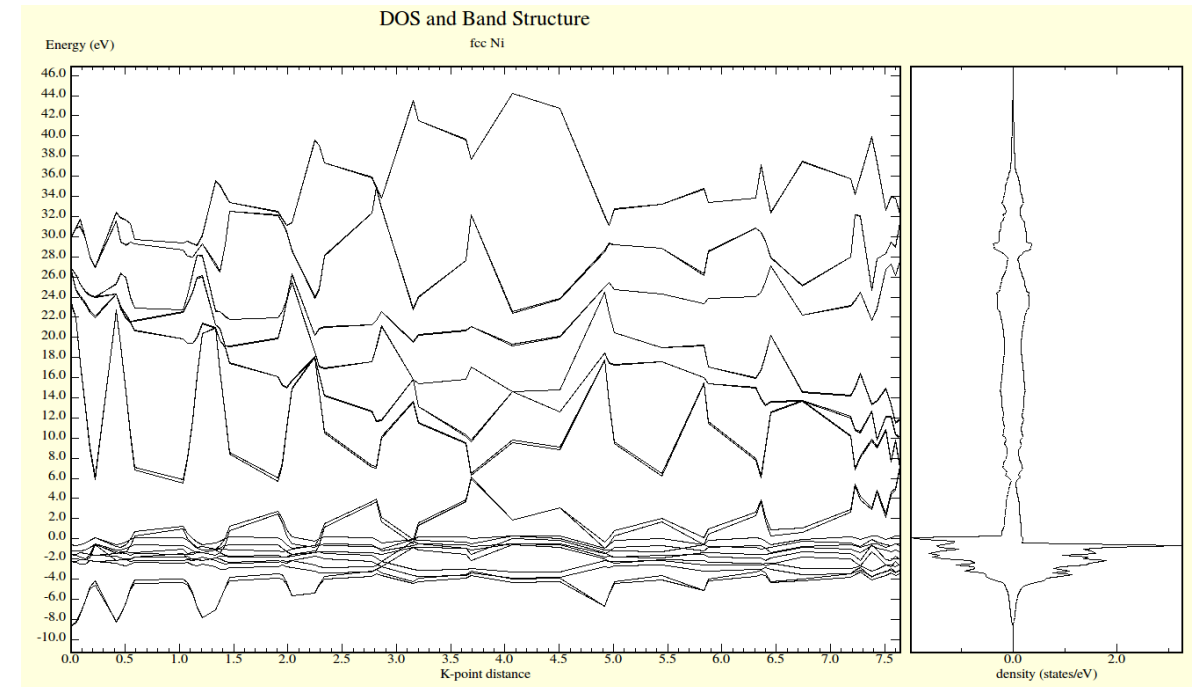
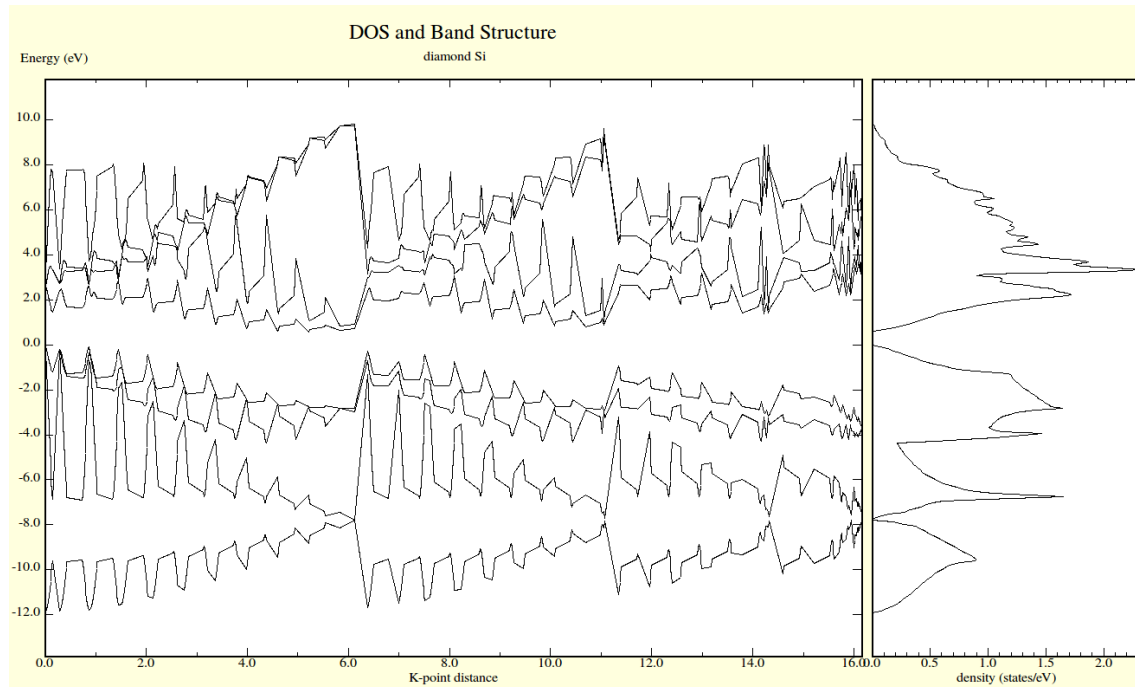
Question:

From the density of states, do you consider Si and Ni metals or semiconductors?  
Motivate the answer.

# Handson 2

Question:

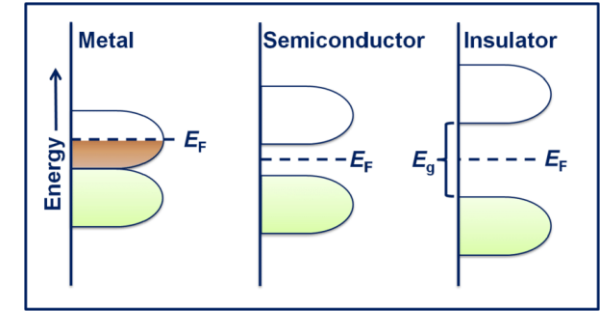
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Motivate the answer.



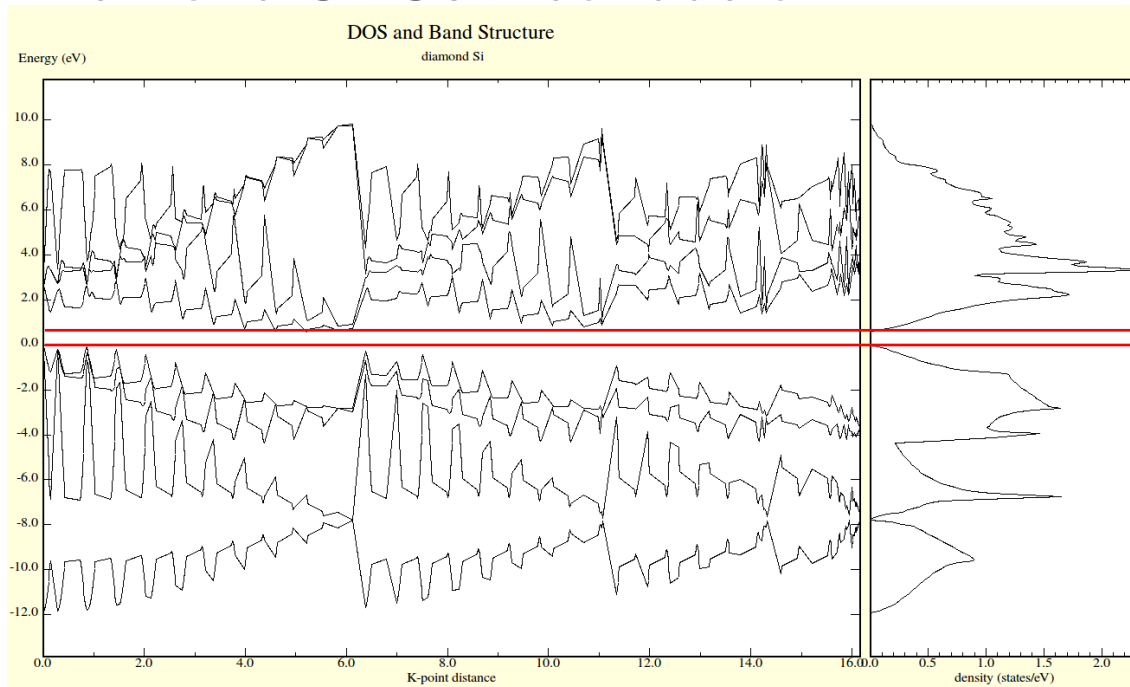
# Handson 2

Question:

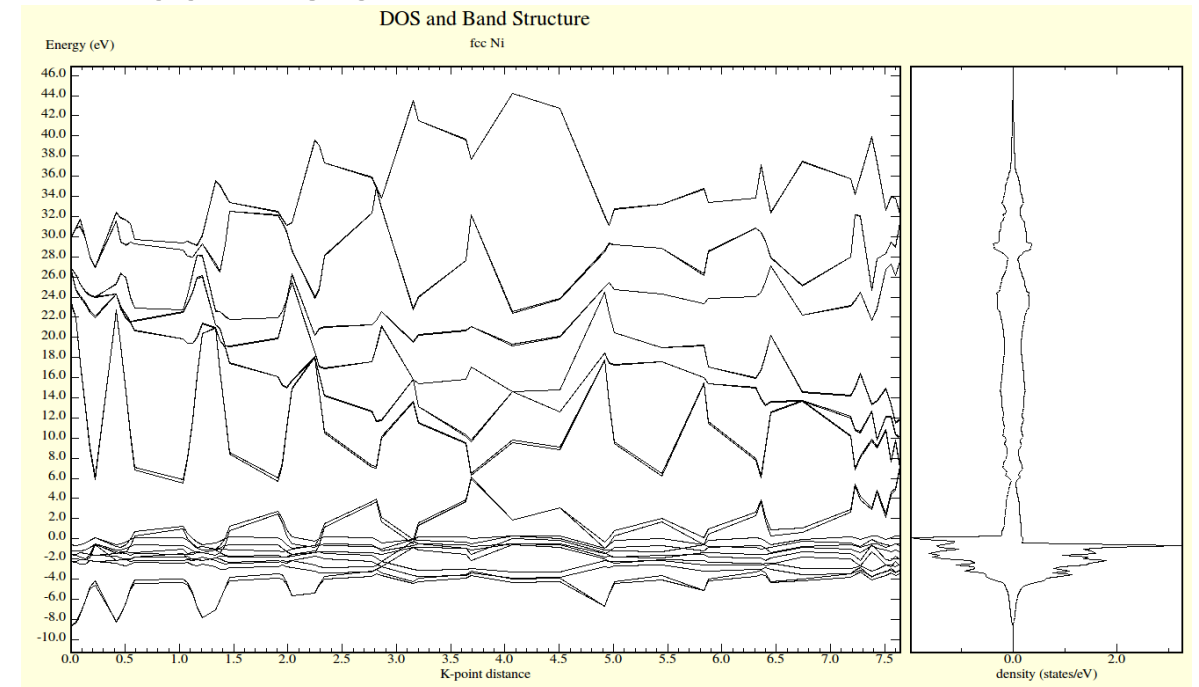
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Motivate the answer.



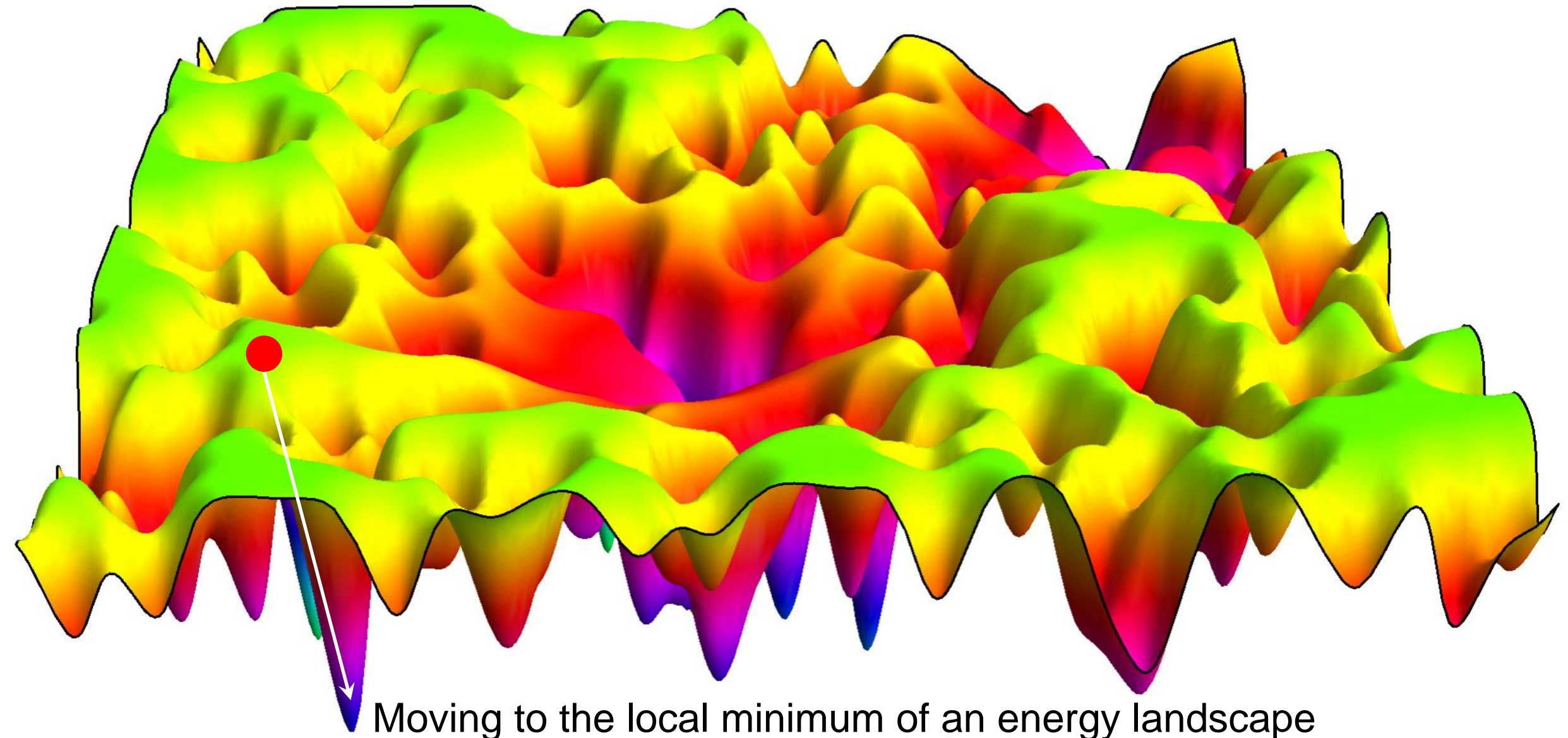
Diamond Si: Semiconductor



Ni fcc: Metal



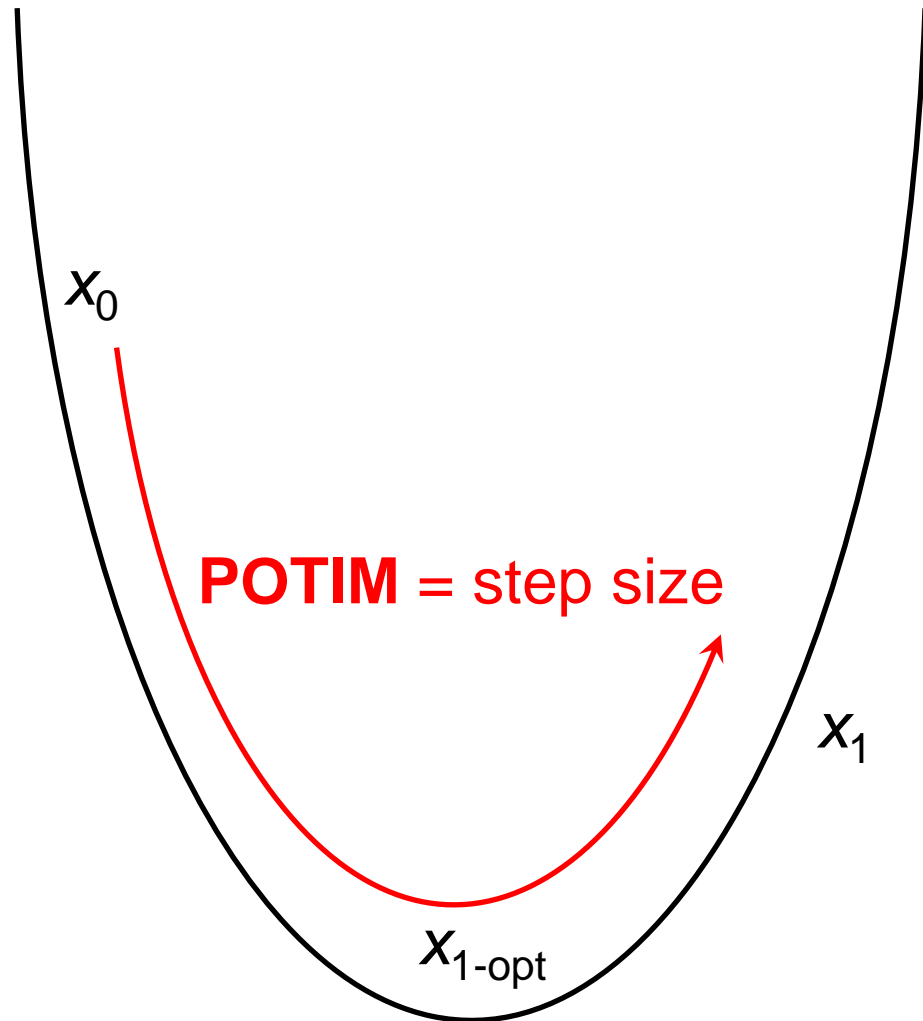
# Ionic optimization algorithms





# Full DIIS algorithm – IBRION = 1

Side view

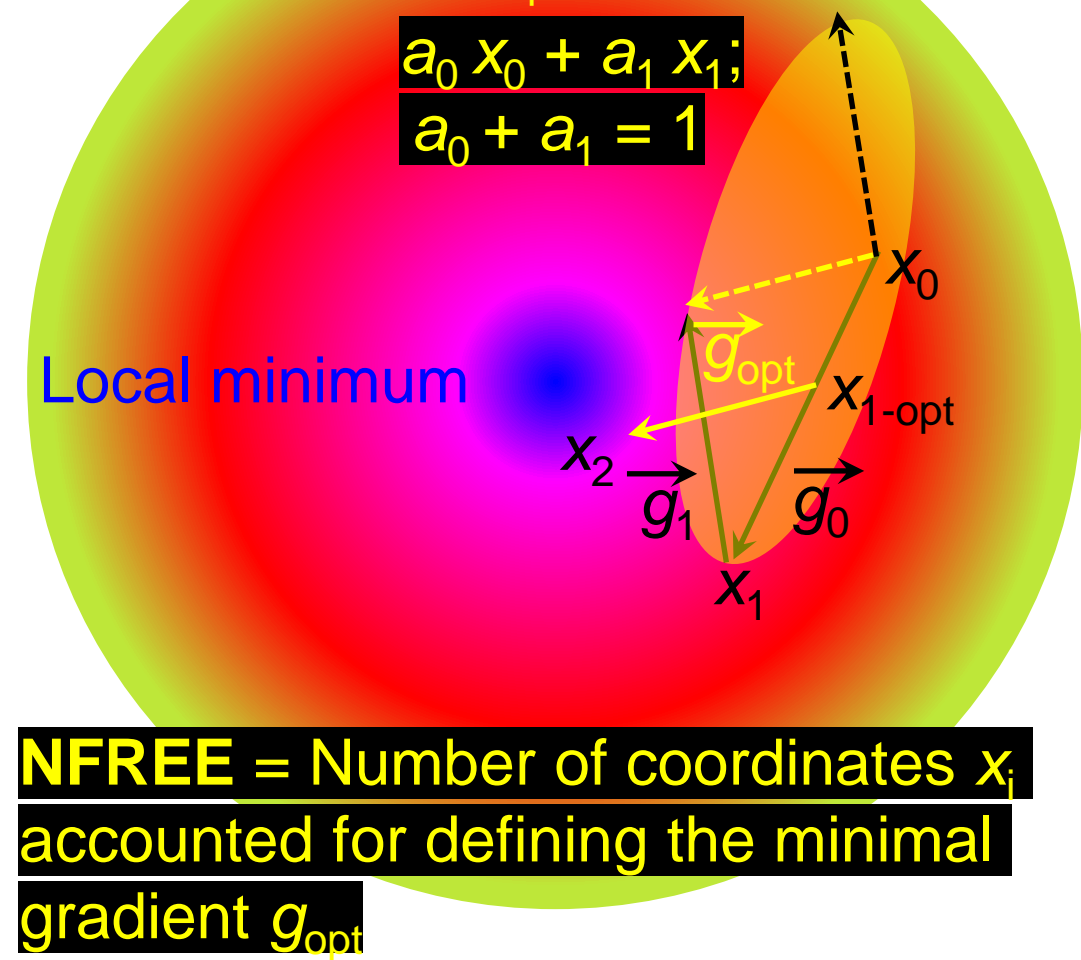


Top view

Linear combination of coordinates  $(x_0, x_1)$ , which minimizes the gradient  $g_{opt}$ .  $N = 1$

$$\begin{aligned} a_0 x_0 + a_1 x_1; \\ a_0 + a_1 = 1 \end{aligned}$$

Local minimum

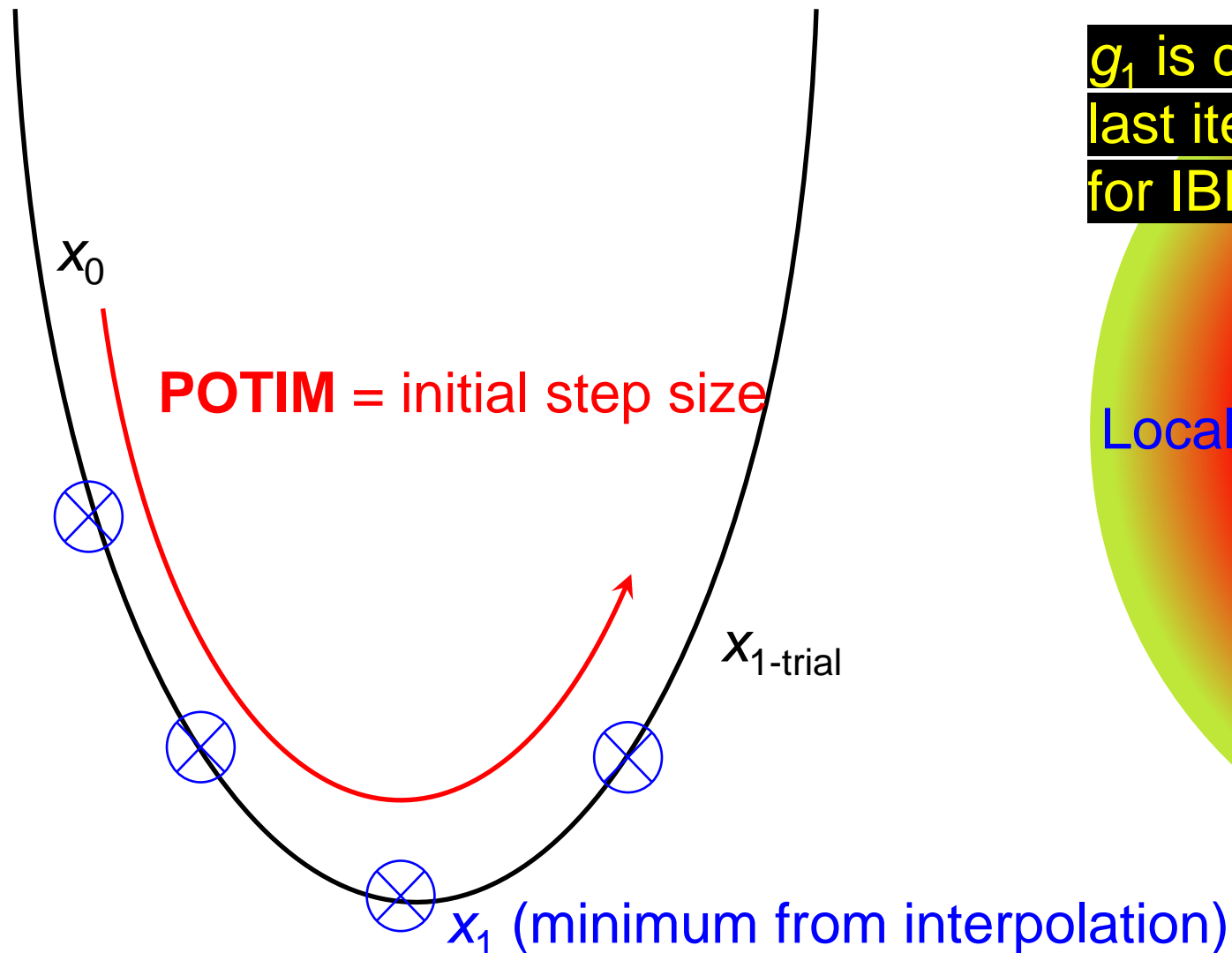


**NFREE** = Number of coordinates  $x_i$  accounted for defining the minimal gradient  $g_{opt}$



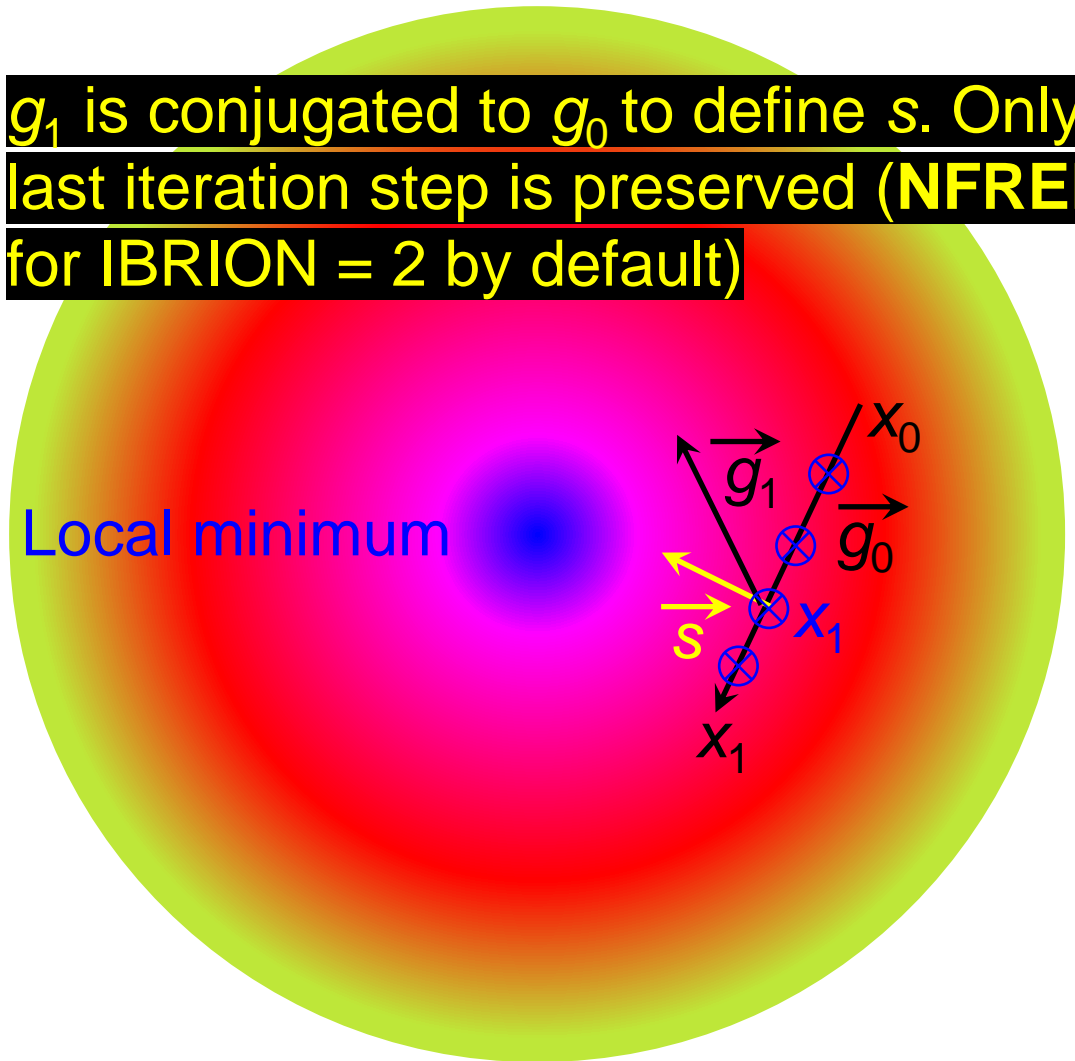
# Conjugate gradient algorithm – IBRION = 2

Side view



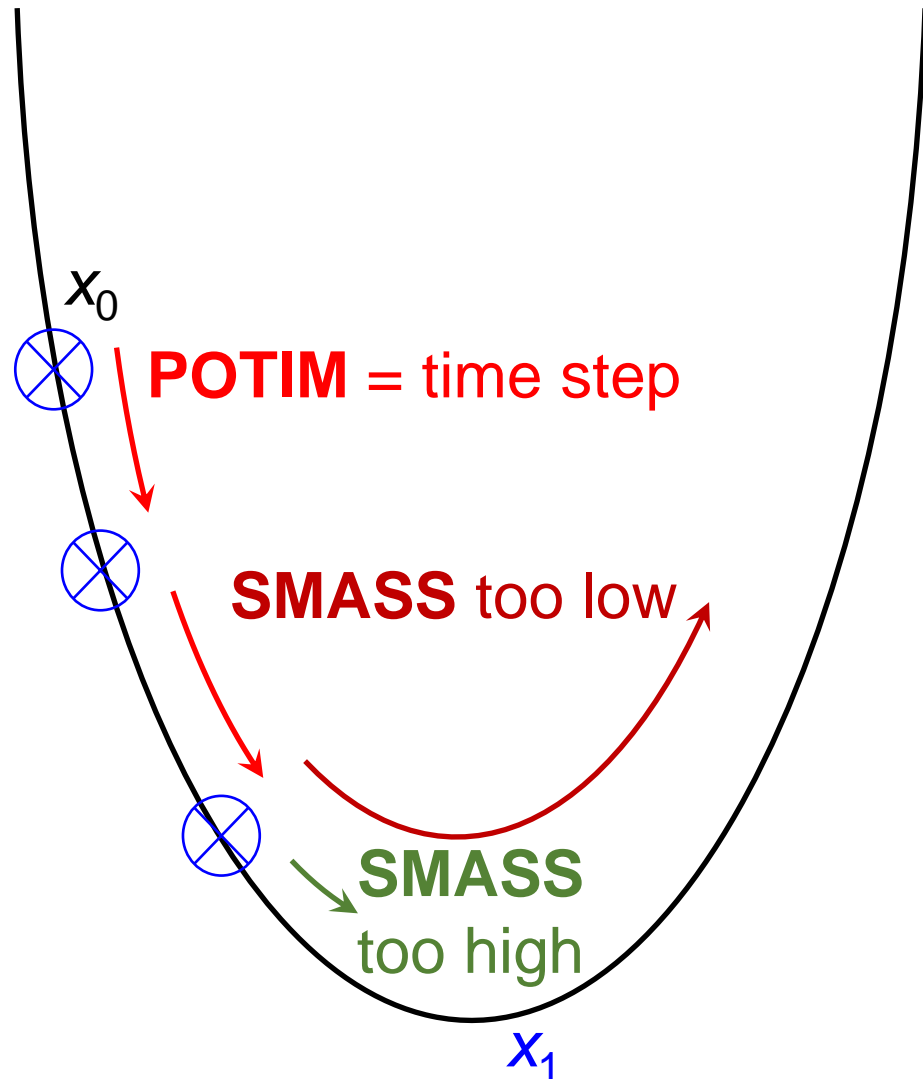
Top view

$g_1$  is conjugated to  $g_0$  to define  $s$ . Only the last iteration step is preserved (**NFREE = 1** for IBRION = 2 by default)

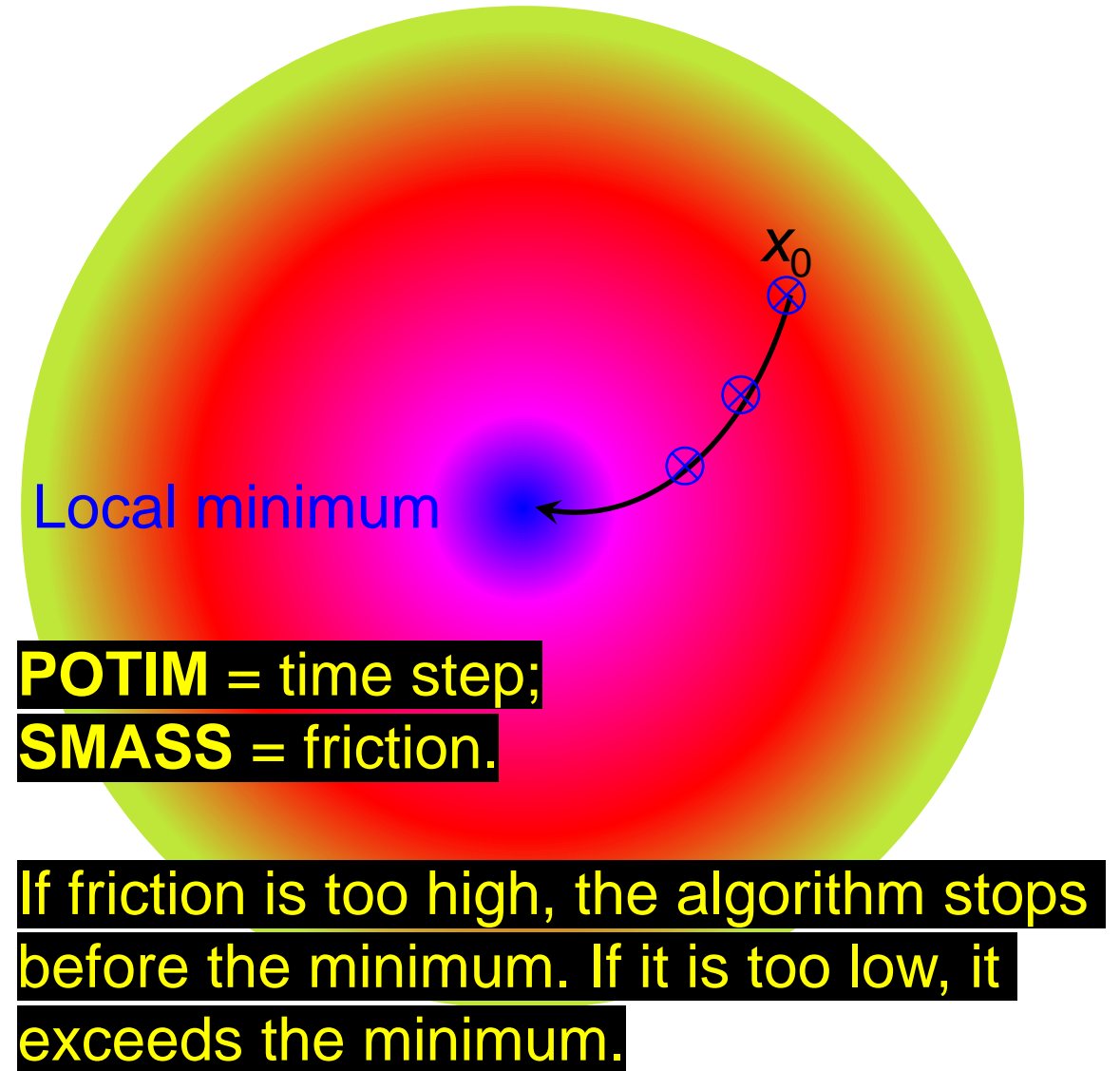


# Damped molecular dynamics – IBRION = 3

Side view



Top view



# Handson 3

## Exercises

- 3\_1\_Ni100clean\_rel
- 3\_2\_Ni100clean\_LDOS
- 3\_3\_Ni100clean\_BAND
- 3\_4\_Ni111clean\_rel
- 3\_5\_COonNi111\_rel
- 3\_6\_Ni111clean\_400eV
- 3\_7\_COonNi111\_LDOS
- 3\_8\_COonNi111\_freq

## Investigations

- Most stable surface facets → most abundant in the experimental system
- Comparison with experimental surface energy;
- Bandgap;
- Density of states;
- Adsorption energy.
- Vibrational frequencies;

# Handson 3

## Ionic optimization of the surface

- 3\_1\_Ni100clean\_rel
- 3\_4\_Ni111clean\_rel

$$\gamma = \frac{1}{A} \left\{ \frac{1}{2} [E_{\text{unrel}} - n \cdot (E_{\text{bulk}})] + \Delta E_{\text{rel}} \right\}$$

$A$  = Area =  $a_x \times b_y$

$E_{\text{unrel}}$  = energy of the first ionic step

(`grep "energy w" OUTCAR | head -n 1`)

$E_{\text{rel}}$  = energy of the last ionic step

(`grep "energy w" OUTCAR | tail -n 1`)

$\Delta E_{\text{rel}} = E_{\text{rel}} - E_{\text{unrel}}$

$E_{\text{bulk}}$  = energy of the bulk crystal structure (2\_7\_fccNi\_opt)

$n$  = number of unit cell in the surface

## Question:

Define the surface energies for Ni(100) and Ni(111). Which is the most stable crystalline facet between Ni(100) and Ni(111)? Compare with experimental values. Which facet is the most abundant on a Ni nanoparticle? Check paper below to answer.

[Ref. 1](#): *Top. Catal.* **2013**, 56, 1262–1272

# Handson 3

## Electronic properties

- 3\_2\_Ni100clean\_LDOS
- 3\_3\_Ni100clean\_BAND

- Use the CONTCAR from 3\_1\_Ni100clean\_rel as POSCAR;
- For 3\_3\_Ni100clean\_BAND use the CHGCAR from 3\_1\_Ni100clean\_rel and adapt the INCAR accordingly

```
fdattila@tekla2:~/00-vasp-course/handson3/3_3_Ni100clean_BAND> cat INCAR
```

```
ICHARG = 11
general:
SYSTEM = clean (100) nickel surface
ENMAX = 270
ISMEAR = 2 ; SIGMA = 0.2
ALGO=V
```

```
spin:
ISPIN=2
MAGMOM = 5*1
```

```
NPAR=1
RWIGS = 1.4
```

Adapt the INCAR

```
for consistence with parallel run:
```

```
NGX = 10 ; NGY = 10 ; NGZ = 72
```

```
NGXF= TBD ; NGYF= TBD ; NGZF= TBD # Retrieve these values from the CHGCAR of 3_1_Ni100clean_rel
```

Check the structure of CHGCAR and retrieve these values

```
clean (100) nickel surface
3.5300000000000000
0.500000 0.500000 0.000000
-0.500000 0.500000 0.000000
0.000000 0.000000 5.000000
Ni
5
Direct
0.000000 0.000000 0.000000
0.500000 0.500000 0.100000
0.000000 0.000000 0.200000
0.500000 0.500000 0.301807
0.000000 0.000000 0.397925
20 20 144
```

# Handson 3

Adsorption energy for CO

- 3\_5\_COonNi111\_rel
- 3\_6\_Ni111clean\_400eV

$$E_{\text{ads}} = E_{\text{total}} - E_{\text{clean}} - E_{\text{CO}}$$

3\_6\_Ni111clean\_400eV

3\_5\_COonNi111\_rel

1\_5\_CO

Question:

How much is CO adsorption energy ( $E_{\text{ads}}$ ) on Ni(111)? Compare with experimental values (Thermal desorption spectroscopy)

# Handson 3

## Exercises

- 3\_7\_COonNi111\_LDOS
- 3\_8\_COonNi111\_freq

- Use the CONTCAR from 3\_5\_COonNi111\_rel as POSCAR;
- For 3\_8\_COonNi111\_freq fix the coordinates accordingly

```
Ni - (111) + CO on-top
3.5300000000000000
 0.7071067800000000  0.0000000000000000  0.0000000000000000
-0.3535533900000000  0.6123724000000000  0.0000000000000000
 0.0000000000000000  0.0000000000000000  5.1961523999999999
```

```
  Ni    C    O
   5     1     1
```

```
Selective dynamics
```

```
Direct
```

```
 0.0000000000000000  0.0000000000000000  0.0000000000000000
 0.3333333300000021  0.6666666699999979  0.1111111100000031
 0.6666666699999979  0.3333333300000021  0.2222222199999990
-0.0000000000000000  0.0000000000000000  0.3330387119385086
 0.3333333300000021  0.6666666699999979  0.4445350284187818
 0.3333333300000021  0.6666666699999979  0.5402086464236896
 0.3333333300000021  0.6666666699999979  0.6031625865481731
```

|   |   |   |
|---|---|---|
| F | F | F |
| F | F | F |
| F | F | F |
| F | F | F |
| F | F | F |
| F | F | T |
| F | F | T |

Question:

List Ni-CO vibrational frequencies and compare with experimental values.

# Handson 3

## Questions:

1. Define the surface energies for Ni(100) and Ni(111). Which is the most stable crystalline facet between Ni(100) and Ni(111)? Compare with experimental values. Which facet is the most abundant on a Ni nanoparticle?
2. How much is CO adsorption energy ( $E_{\text{ads}}$ ) on Ni(111)? Compare with experimental values (Thermal desorption spectroscopy)
3. List Ni-CO vibrational frequencies and compare with experimental values.



# Handson 3 – copy the exercises (supercomputer)

- Enter the virtual machine (password: guest1\$) or AnyDesk;
- Open a terminal: *Ctrl + Alt + t*
- Enter tekla home (from ICIQ workstation): *ssh tekla2.iciq.es*
- From outside ICIQ: enable Betelgeuse tunnel and then enter tekla home  
*ssh -p2004 -X [yourusername@betelgeuse.iciq.es](mailto:yourusername@betelgeuse.iciq.es)*  
*ssh tekla2.iciq.es*
- Make a directory *handson* and a subdirectory 3  
*mkdir handson ; mkdir handson/3*
- Copy the exercises from my tekla home to yours  
*cp -r /home/fdattila/00-vasp-course/handson3/\* ~/handson/3*
- Enter the folder and check to have successfully copied the files  
*cd handson/3 ; ls -lt ; cd ../..*

# Handson 3 – check the exercises

## Check the VASP input (supercomputer / locally)

- Enter each simulation and check INCAR / KPOINTS  
*cd handson/3 ; cd **simulation-folder** ; vi **INCAR** ; cd .. ; cd ../..*
- Use the [VASP manual](#) to understand properly all the tag of the INCAR.

# Handson 3 – submit simulation (supercomputer)

Only for Maryam!

- Create a bin directory and copy the rungen file  
`mkdir /home/yourusername/bin ; cp -r /home/fdattila/bin/rungen ~/bin/.`
- Check the file with the [vi](#) editor. Change all the fdattila to **yourusername** through the editor  
`vi ~/bin/rungen ;`
- Alternatively, replace all the fdattila in rungen with **yourusername** automatically  
`sed -i "s/fdattila/yourusername/g" ~/bin/rungen`
- Enter the handson/3 folder and create a run.sh file in each simulation to be run (e.g. 3\_1\_O\_atom)  
`cd handson/3/simulation-folder; ~/bin/rungen name-of-sim 4 4 5.3.5 ; sed -i "s/vasp_std/vasp/g" run.sh ; cd ../../..`
- Enter the handson folder, check that you have INCAR, KPOINTS, POTCAR, POSCAR and run.sh, submit the simulation.  
`cd handson/3/simulation-folder; qsub run.sh; cd ../../..`

**Tip:** consider iterating the creation of run.sh file and the submission of each simulation with the for command. E.g. `cd handson/3 ; for i in 3_* ; do cd $i ; action-to-be-performed ; cd .. ; done ; cd ../../`

# Handson 3 – check the VASP output (locally)

- Only if you access through virtual machine. Run the commands tunnel1 and tunnel2 in 2 terminals  
*tunnel1* *yourusername* (*terminal 1*)  
*tunnel2* *yourusername* (*terminal 2*)
- Open a new terminal and enter the tekla home folder  
*cd ~/tekla/home*
- Enter a simulation and check the structure through the p4v utility  
*cd handson/3 ; cd simulation-folder ; p4v vasprun.xml ; cd .. ; cd ../..*