

Deep Learning Seminar

Chapter 9-2. Convolutional Networks

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Chapter 9. Convolutional Networks

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Efficient Convolution Algorithms

- Modern CNN compute more than **one million units**
- Exploiting **parallel** computation resources are **essential**
- **Selecting an appropriate convolution algorithm** is important
- If the kernel is **separable**, naive convolution is inefficient
- Naive d -dimensional convolution requires $O(W^d)$ runtime
 - W is wide element's number in each dimension
- Separable convolution requires $O(W \times d)$ runtime

Separable kernel convolution definition

- Definition of convolution 2D

$$y[m, n] = h[m, n] * x[m, n] = \sum_{j=-\infty}^{\infty} \sum_{i=-\infty}^{\infty} h[i, j] \cdot x[m-i, n-j]$$

- $h[m, n]$ is separable

$$h[m, n] = h_1[m] \cdot h_2[n]$$

y : input image tensor

m : column index of y

n : row index of y

h : tensor of convolution kernels

source : http://www.songho.ca/dsp/convolution/convolution2d_separable.html

Separable kernel convolution definition

- Definition of convolution 2D

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- $h[m, n]$ is separable

$$h[m, n] = h_1[m] \cdot h_2[n]$$

- Substitute $h[m, n]$ into the equation

$$\begin{aligned} y[m, n] &= h[m, n] * x[m, n] = \sum_{j=-\infty}^{\infty} \sum_{i=-\infty}^{\infty} h[i, j] \cdot x[m-i, n-j] \\ &= \sum_{j=-\infty}^{\infty} \sum_{i=-\infty}^{\infty} h_1[i] \cdot h_2[j] \cdot x[m-i, n-j] \\ &= \sum_{j=-\infty}^{\infty} h_2[j] \cdot \left[\sum_{i=-\infty}^{\infty} h_1[i] \cdot x[m-i, n-j] \right] \end{aligned}$$

source : <https://www.slideshare.net/viisonartificial2012/grupo-2-convolution-separable>

Separable kernel definition

- Definition of convolution 1D

$$y[n] = x[n] * h[n] = \sum_{k=-\infty}^{\infty} x[k] \cdot h[n-k]$$

- Separable 2D convolution: twice of 1D convolution

$$\begin{aligned} y[m, n] &= (h_1[m] \cdot h_2[n]) * x[m, n] = h_2[n] * (h_1[m] * x[m, n]) \\ &= h_1[m] * (h_2[n] * x[m, n]) \end{aligned}$$

Separable kernel

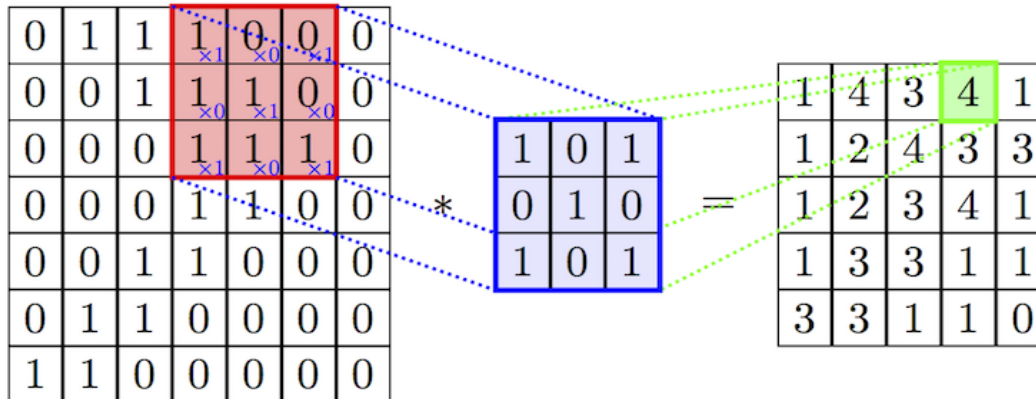
● Concept

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \quad \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}$$

Input

Separable Kernel

● Convolution process image



source : <https://cambridgespark.com/content/tutorials/convolutional-neural-networks-with-keras/index.html>

Computation costs

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \quad \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}$$

Input Separable Kernel

- **A: Convolution 2D**

$$1 \cdot 1 + 2 \cdot 2 + 3 \cdot 1 + 4 \cdot 2 + 5 \cdot 4 + 6 \cdot 2 + 7 \cdot 1 + 8 \cdot 2 + 9 \cdot 1 = 80$$

- **B: Separable convolution 2D**

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} * \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \cdot 1 + 4 \cdot 2 + 7 \cdot 1 & 2 \cdot 1 + 5 \cdot 2 + 8 \cdot 1 & 3 \cdot 1 + 6 \cdot 2 + 9 \cdot 1 \end{bmatrix} = \begin{bmatrix} 16 & 20 & 24 \end{bmatrix}$$

$$\begin{bmatrix} 16 & 20 & 24 \end{bmatrix} * \begin{bmatrix} 1 & 2 & 1 \end{bmatrix} = 16 \cdot 1 + 20 \cdot 2 + 24 \cdot 1 = 80$$

- **Computation cost**

- **A:** $O(W^d)$ vs **B:** $O(W \times d)$

Strategy for pixel-wise labeling of images



Convolution in 1D

Definition

$$y[k] = h[n] * x[n] = \sum_{i=-\infty}^{\infty} x[i]h[k-i] \quad k = 0, 1, \dots, 6$$

$$y[0] = \sum_{i=-\infty}^{\infty} x[i]h[-i] = x[0]h[0] + 0 + 0$$

$$y[1] = \sum_{i=-\infty}^{\infty} x[i]h[1-i] = x[0]h[1] + x[1]h[0] + 0$$

$$y[2] = \sum_{i=-\infty}^{\infty} x[i]h[2-i] = x[0]h[2] + x[1]h[1] + x[2]h[0]$$

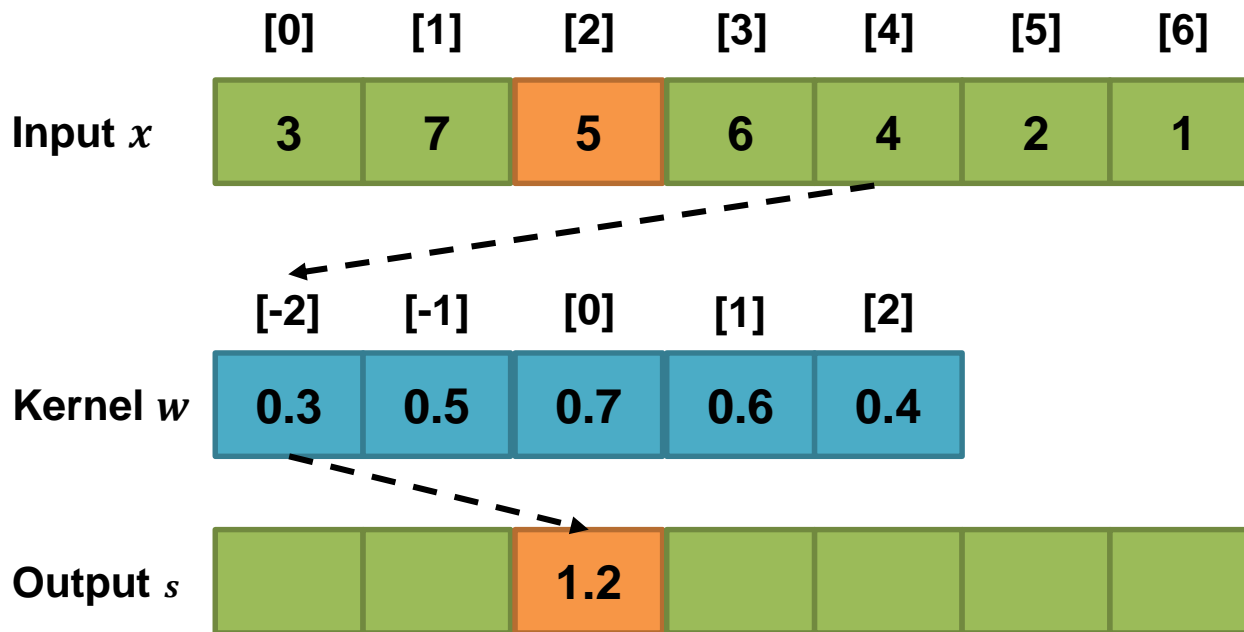
$$y[3] = \sum_{i=-\infty}^{\infty} x[i]h[3-i] = x[0]h[3] + x[1]h[2] + x[2]h[1]$$

$$y[4] = \sum_{i=-\infty}^{\infty} x[i]h[4-i] = x[1]h[3] + x[2]h[1] + 0$$

$$y[5] = \sum_{i=-\infty}^{\infty} x[i]h[5-i] = x[2]h[3] + 0 + 0$$

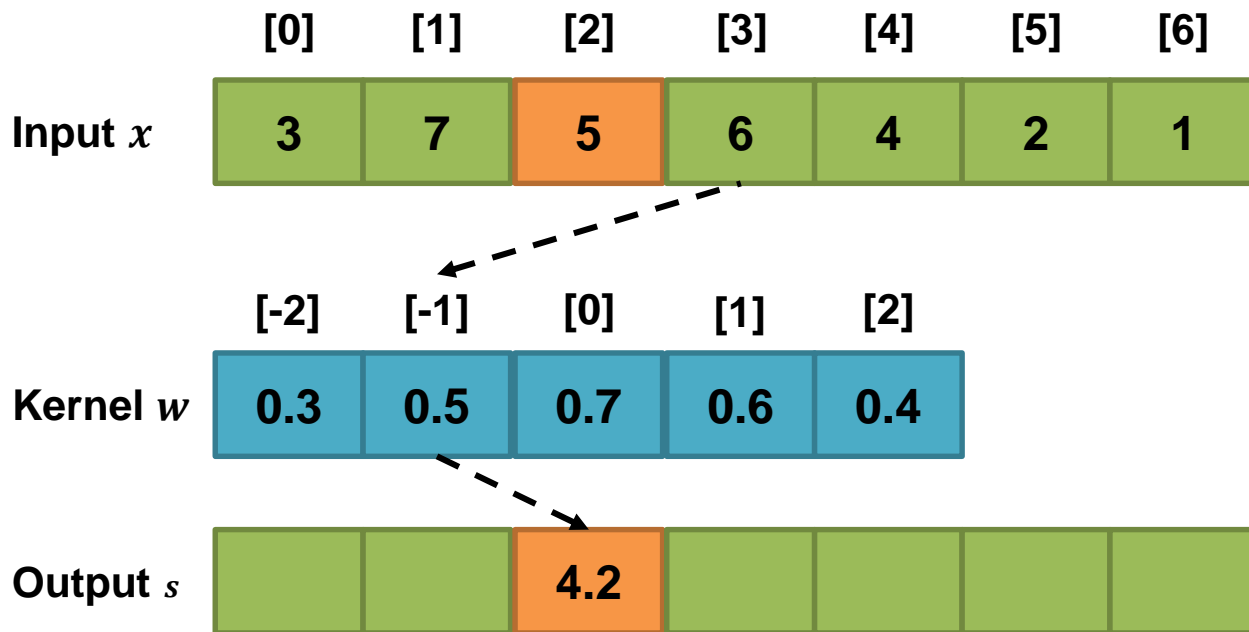
Convolution in 1D

- Discrete 1D convolution in computer



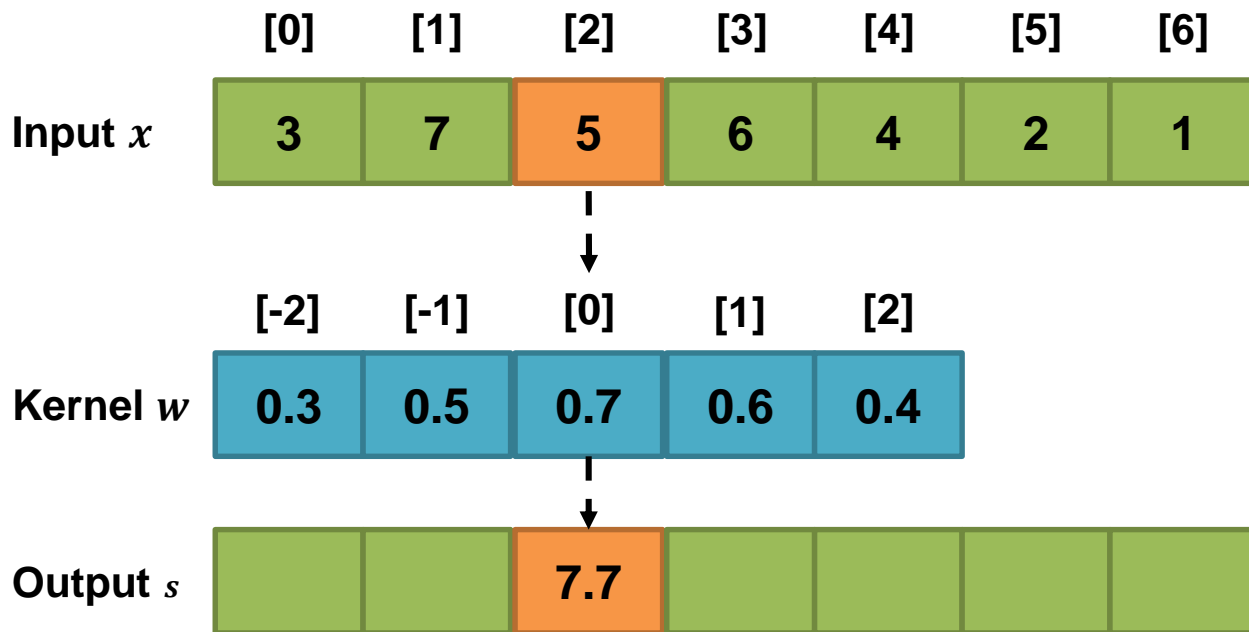
Convolution in 1D

- Discrete 1D convolution in computer



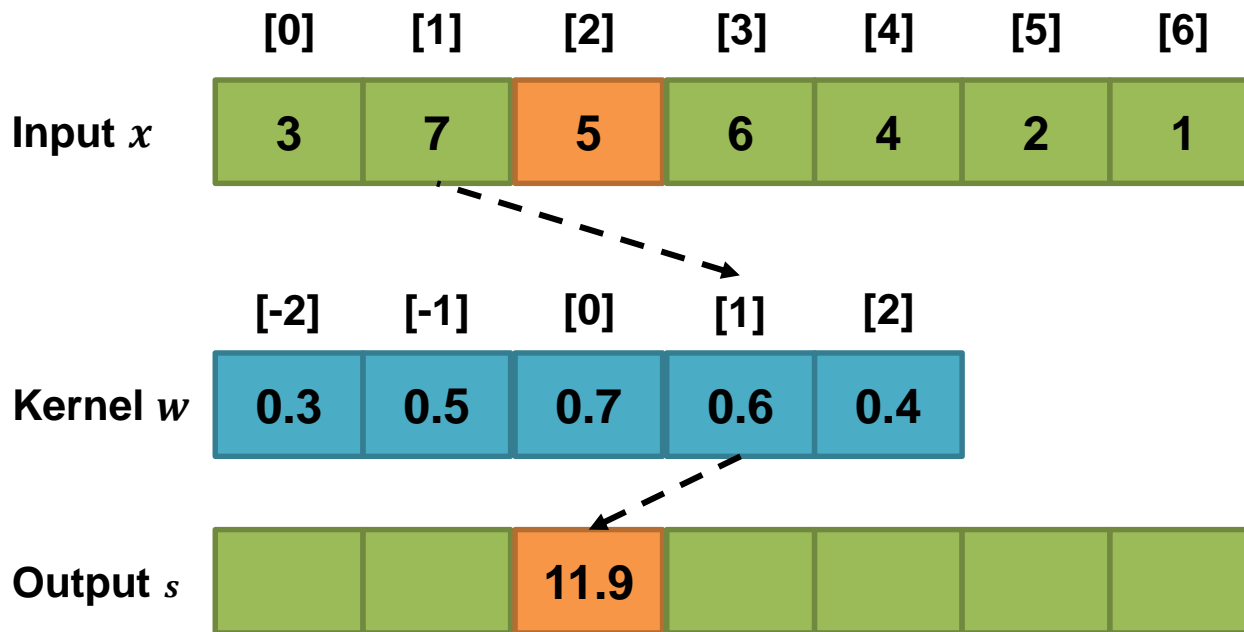
Convolution in 1D

- Discrete 1D convolution in computer



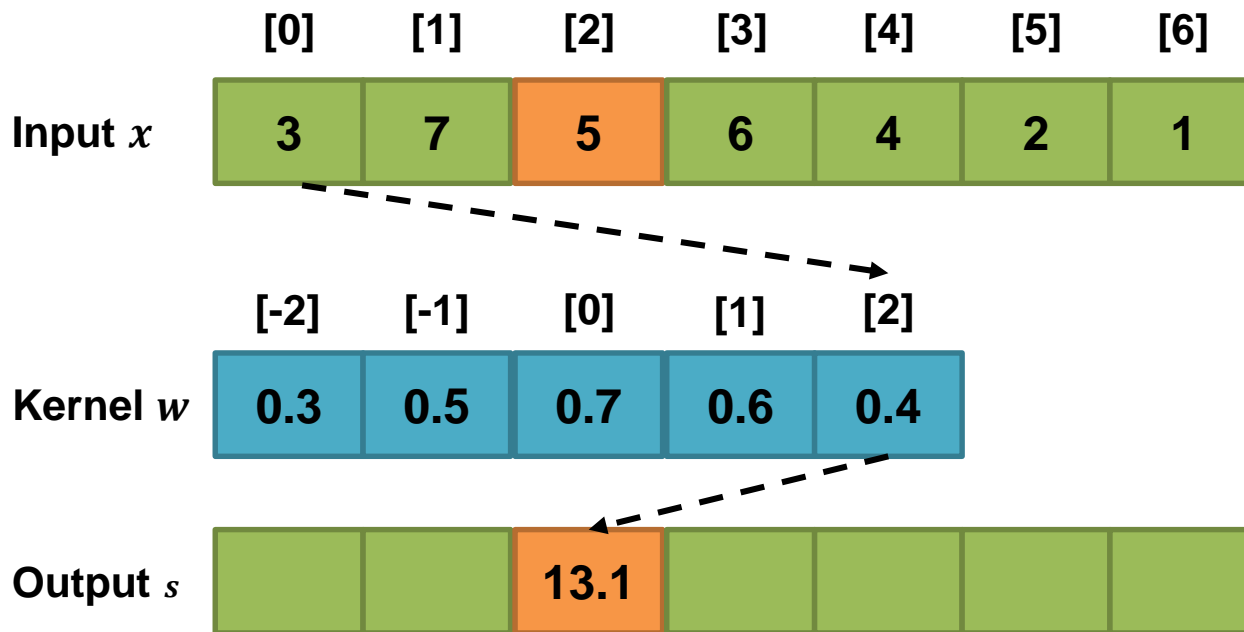
Convolution in 1D

- Discrete 1D convolution in computer



Convolution in 1D

- Discrete 1D convolution in computer



Convolution code in 2D

```
// find center position of kernel (half of kernel size)
kCenterX = kCols / 2;
kCenterY = kRows / 2;

for(i=0; i < rows; ++i)           // rows
{
    for(j=0; j < cols; ++j)       // columns
    {
        for(m=0; m < kRows; ++m) // kernel rows
        {
            mm = kRows - 1 - m;    // row index of flipped kernel

            for(n=0; n < kCols; ++n) // kernel columns
            {
                nn = kCols - 1 - n; // column index of flipped kernel

                // index of input signal, used for checking boundary
                ii = i + (m - kCenterY);
                jj = j + (n - kCenterX);

                // ignore input samples which are out of bound
                if( ii >= 0 && ii < rows && jj >= 0 && jj < cols )
                    out[i][j] += in[ii][jj] * kernel[mm][nn];
            }
        }
    }
}
```

Computation costs

| | | | | |
|--|---|---|---|--|
| | | | | |
| | 1 | 2 | 3 | |
| | 4 | 5 | 6 | |
| | 7 | 8 | 9 | |
| | | | | |

$$\begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}$$

Input

Separable Kernel

● A: Process using convolution 2D

$$1 \cdot 1 + 2 \cdot 2 + 3 \cdot 1 + 4 \cdot 2 + 5 \cdot 4 + 6 \cdot 2 + 7 \cdot 1 + 8 \cdot 2 + 9 \cdot 1 = 80$$

● Computation cost ($W = 3, d = 2$)

- $O(W^d)$
- $9 \times 9 = 81, 81 \div 9 = 9$

Computation costs

Definition of B

$$y[m, n] = \sum_{j=-\infty}^{\infty} h_2[j] \cdot \left[\sum_{i=-\infty}^{\infty} h_1[i] \cdot x[m-i, n-j] \right]$$

y : input image tensor

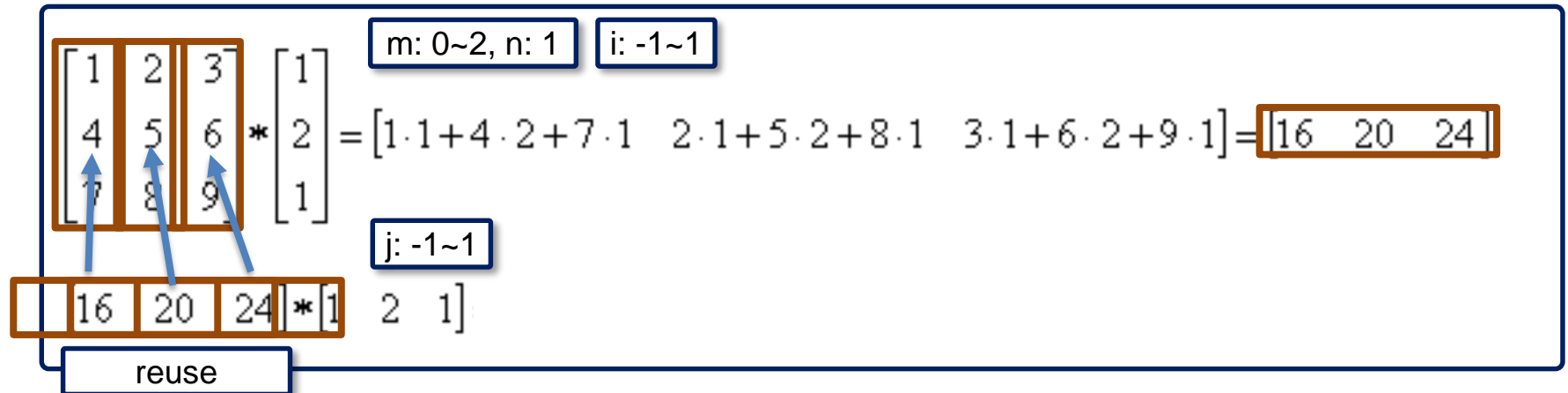
m : column index of y (0 ~ 2)

n : row index of y (0 ~ 2)

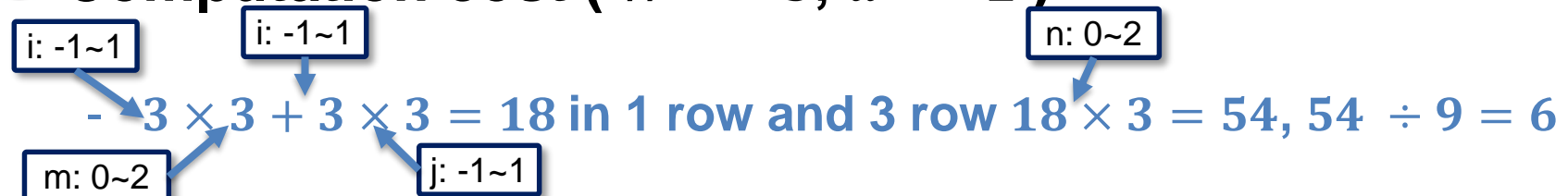
h : tensor of convolution kernels

i, j : (-1 ~ 1)

B: Process using separable convolution 2D



Computation cost ($W = 3, d = 2$)



Computation costs

$$\begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \quad \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 2 & 1 \end{bmatrix}$$

Input Separable Kernel

- **A: Process using convolution 2D**
- **B: Process using separable convolution 2D**
- **Computation cost ($W = 3, d = 2$)**
 - **A $\rightarrow O(W^d) \rightarrow 9 \times 9 = 81, 81 \div 9 = 9$**
 - **B $\rightarrow O(W \times d) \rightarrow$
 $3 \times 3 + 3 \times 3 = 18$ in 1 row and 3 row $18 \times 3 = 54, 54 \div 9 = 6$**

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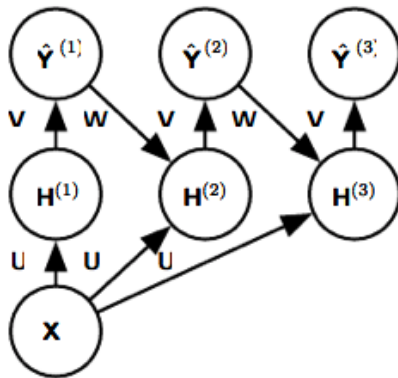
9.11 Convolutional Networks and the History of Deep Learning

Structured outputs

- Pixel-wise labeling of images
- Tensor $S_{i,j,k}$
 - The **probability** that pixel (i, j) of the input belongs to class k
 - Allows the model to **label every pixel**

Strategy for pixel-wise labeling of images

- Produce an **initial guess** of the image labels
- **Refine** this using the interactions between neighboring pixels
- **Repeating** this refinement step several times
- **Sharing** weights between the last layers of the deep net



X : Input image tensor

Y : Probability distribution over labels for each pixel

H : Hidden representation

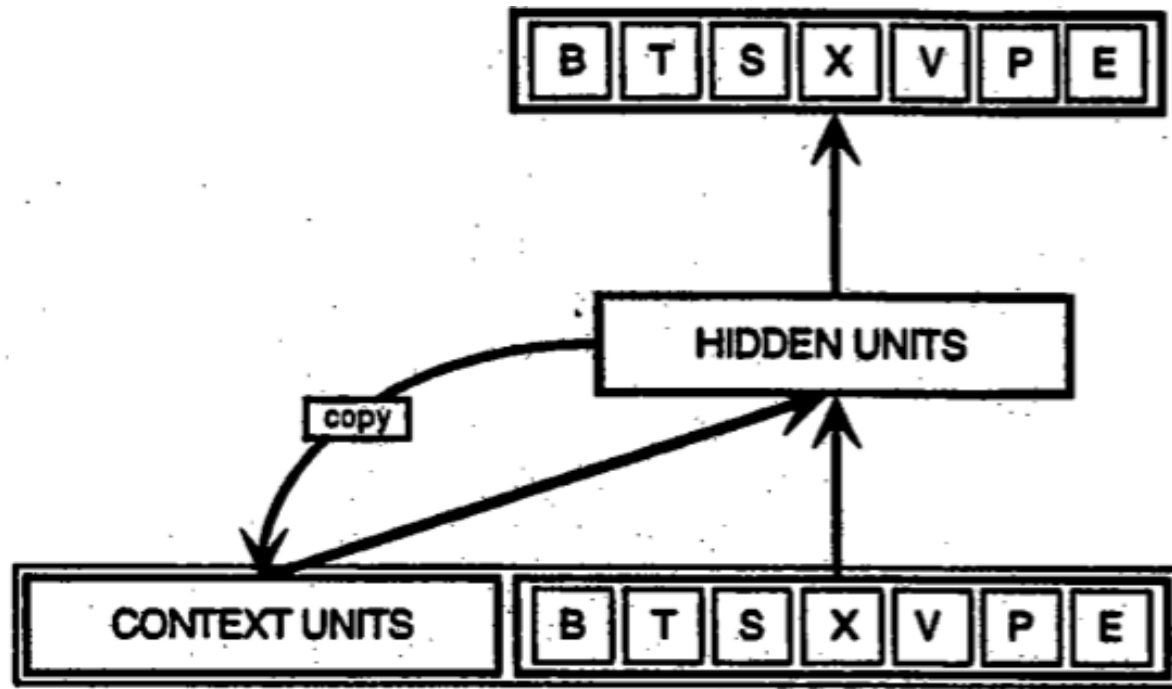
U : Tensor of convolution kernels

V : Tensor of kernels to produce an estimate of the labels

W : Kernel tensor to convolve over Y to provide input to H

Strategy for pixel-wise labeling of images

- Basic RNN structure introduced by Elman
- **Receiving** input data and context unit in hidden layer
- **Feedback** structure that seems like memory



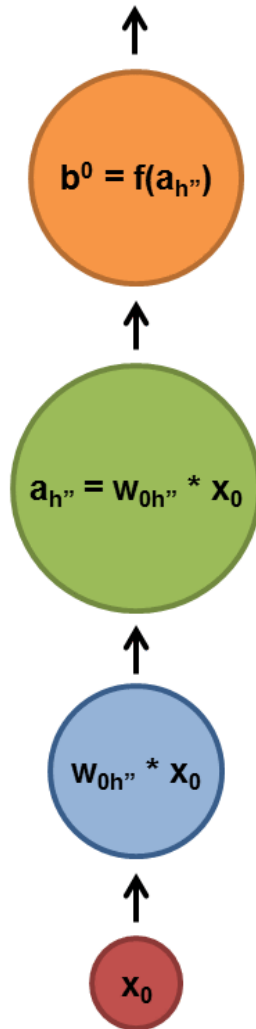
source : Elman, J. L. Finding structure in time. In *Cognitive Sciences*, 1990

Strategy for pixel-wise labeling of images



Strategy for pixel-wise labeling of images

b^0 is fed to next layer

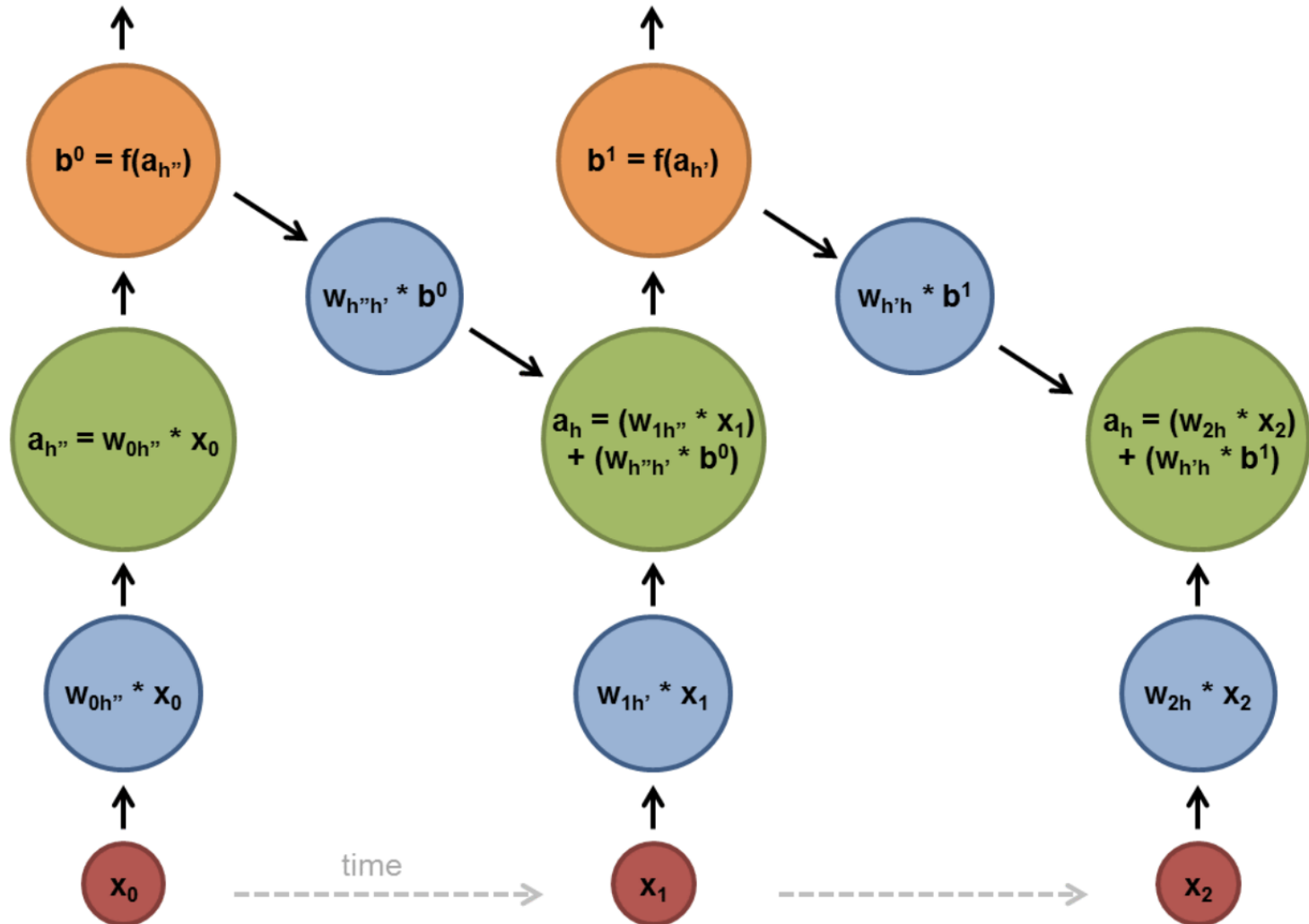


source : <https://imgur.com/kpZBDfV>

Strategy for pixel-wise labeling of images

b^0 is fed to next layer

b^1 is fed ...



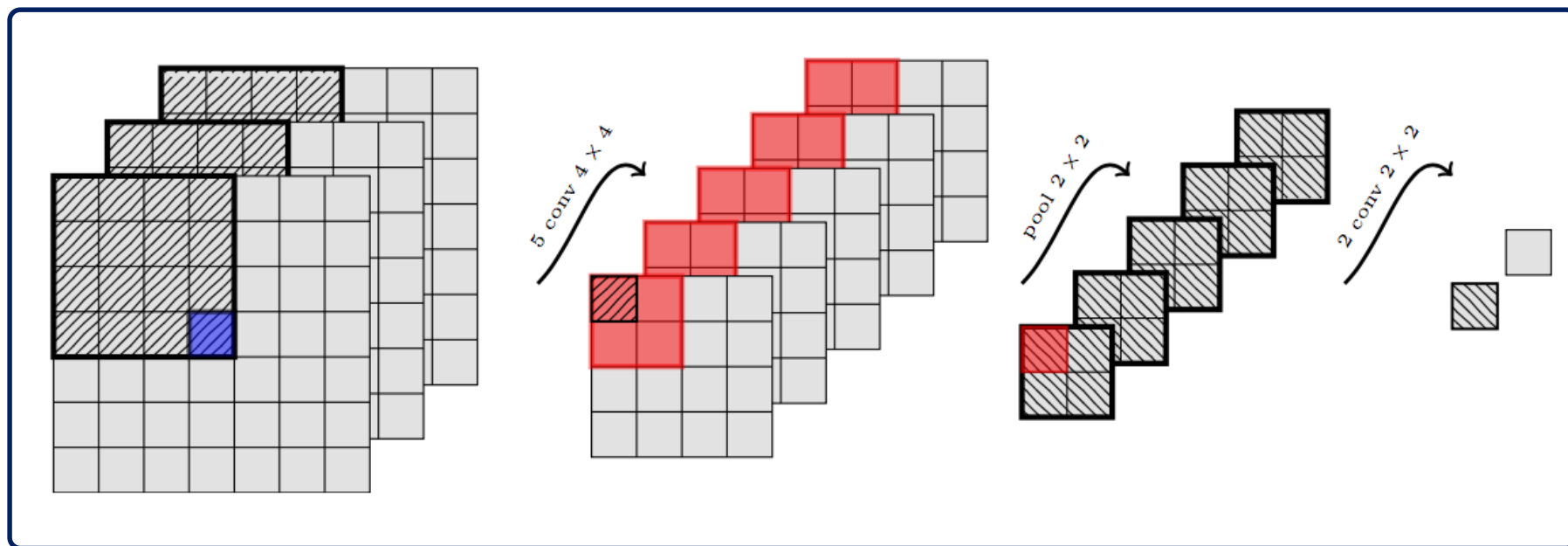
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Recurrent Convolutional Neural Networks for Scene Labeling

- The goal is to **assign a class label to each pixel**
- To ensure a high class accuracy,
it is essential to capture **long range (pixel) label dependencies**
- We propose a recurrent convolutional neural network

Recurrent Convolutional Neural Networks for Scene Labeling

- A simple convolutional network
- 4×4 convolutions, followed by one 2×2 pooling, followed by two 2×2 convolutions
- Each 1×1 output plane : A score for a given class



source : 2014 ICML--Pinheiro--Recurrent convolutional neural networks for scene labeling

Recurrent Network Approach

- $\mathbf{F}^p = [f(\mathbf{F}^{p-1}), I_{i,j,k}^p], \quad \mathbf{F}^1 = [\mathbf{0}, I_{i,j,k}]$
- $L(f) + L(f \circ f) + \dots + L(f \circ^P f) \quad (f \circ g)(x) = f(g(x))$
- $f(I_{i,j,k}; (\mathbf{W}, \mathbf{b})) = \mathbf{W}_M \mathbf{H}_{M-1}$
- $\mathbf{H}_m = \tanh(\text{pool}(\mathbf{W}_m \mathbf{H}_{m-1} + \mathbf{b}_m))$
- $p(c|I_{i,j,k}; (\mathbf{W}, \mathbf{b})) = \frac{e^{f_c(I_{i,j,k}; (\mathbf{W}, \mathbf{b}))}}{\sum_{d \in \{1, \dots, N\}} e^{f_d(I_{i,j,k}; (\mathbf{W}, \mathbf{b}))}}$

I : Input image data

(i, j) : Location (i, j) of the training image k

k : Training image channel number

p : Instance number of the network ($1 \leq p \leq P$)

L : Maximum likelihood

\mathbf{W} : weight parameter (toeplitz matrix)

\mathbf{b} : bias vector

\tanh : point-wise hyperbolic tangent function

pool : max-pooling function

$m : \{1, \dots, M\}$ and M is number of stages

source : 2014 ICML--Pinheiro--Recurrent convolutional neural networks for scene labeling

Toeplitz matrix

- $$y[k] = h[n] * x[n] = \sum_{i=-\infty}^{\infty} x[i]h[k-i] \quad k = 0, 1, \dots, 6$$

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- $$\begin{bmatrix} y[0] \\ y[1] \\ y[2] \\ y[3] \\ y[4] \\ y[5] \end{bmatrix} = \begin{bmatrix} h[0] & 0 & 0 \\ h[1] & h[0] & 0 \\ h[2] & h[1] & h[0] \\ h[3] & h[2] & h[1] \\ 0 & h[3] & h[2] \\ 0 & 0 & h[3] \end{bmatrix} \begin{bmatrix} x[0] \\ x[1] \\ x[2] \end{bmatrix}$$

source : <http://www.gaussianwaves.com/2014/02/polynomials-convolution-and-toeplitz-matrices-connecting-the-dots/>
<http://www.purplemath.com/modules/fcncomp4.htm>

Recurrent Network Approach

- $\mathbf{F}^p = [f(\mathbf{F}^{p-1}), I_{i,j,k}^p]$, $\mathbf{F}^1 = [\mathbf{0}, I_{i,j,k}]$
- $L(f) + L(f \circ f) + \dots + L(f \circ^P f)$
- $f(I_{i,j,k}; (\mathbf{W}, \mathbf{b})) = \mathbf{W}_M \mathbf{H}_{M-1}$
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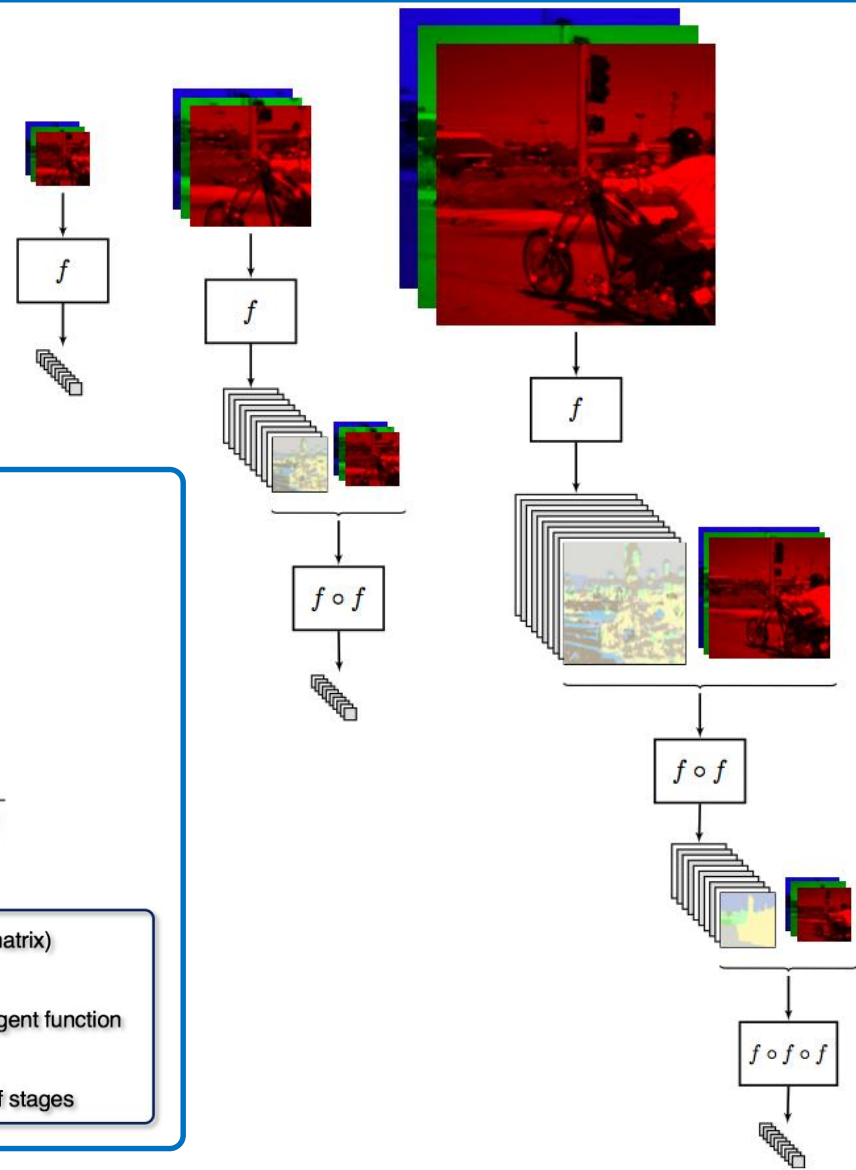
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Strategy for pixel-wise labeling of images

- Once a prediction for each pixel is made, **various methods** can be used to further process
- Various graphical models can describe that
- Refer to
 - 2005 IEEE, F Ning:
Toward automatic phenotyping of developing embryos from videos
 - 2014 NIPS, Jonathan Tompson:
Joint training of a convolutional network and a graphical model for human pose estimation

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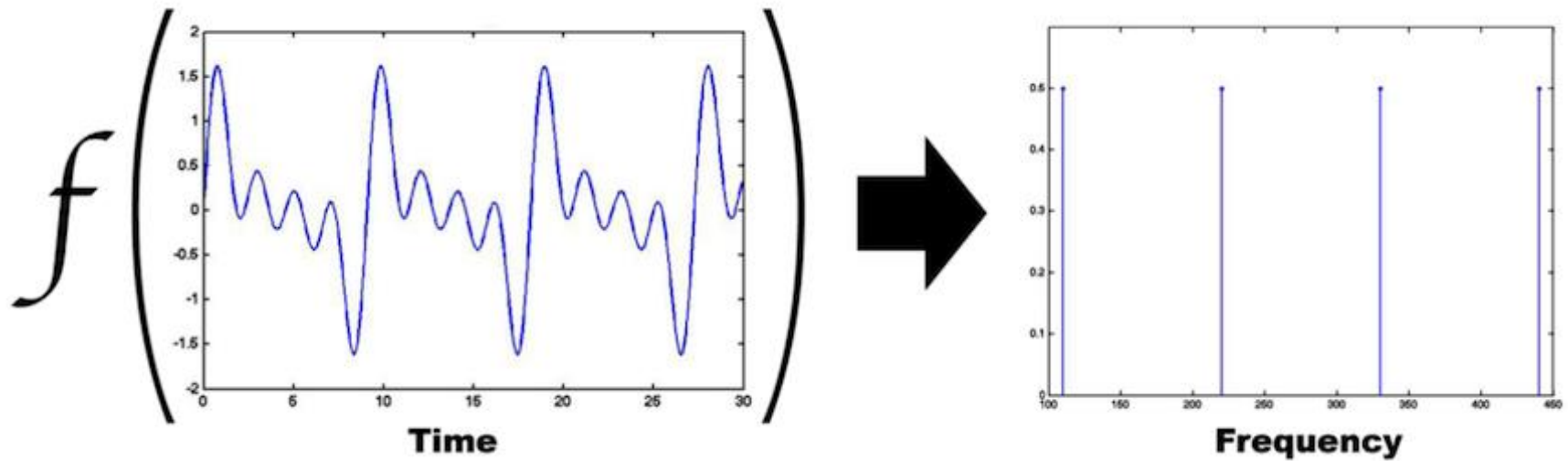
Data types

- The output of the network is allowed to have **variable size** as well as the input
- The data consists of several channels
 - Dimension: 1, 2, 3, ...
 - Channel: 1, 2, 3, ...

| Dimension | Single channel | Multi channel |
|-----------|--|-------------------------|
| 1 D | Audio waveform | Skeleton animation data |
| 2 D | Audio data that has been preprocessed with a Fourier transform | Color image data |
| 3 D | Volumetric data | Color video data |

Single channel

- Fourier transform



source : <http://devonbryant.github.io/blog/2013/03/02/fourier-transforms/>

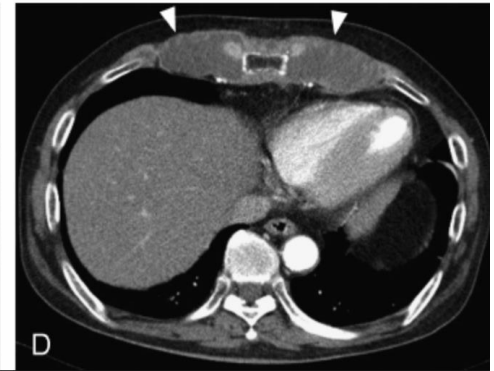
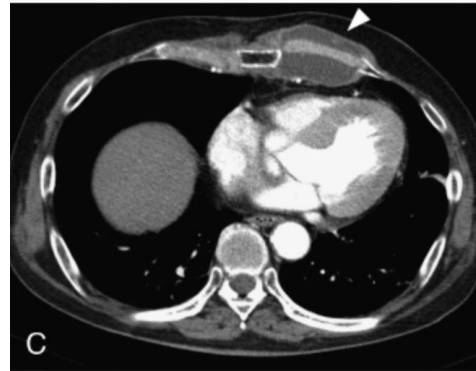
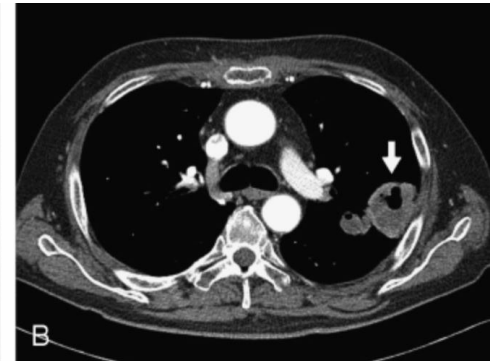
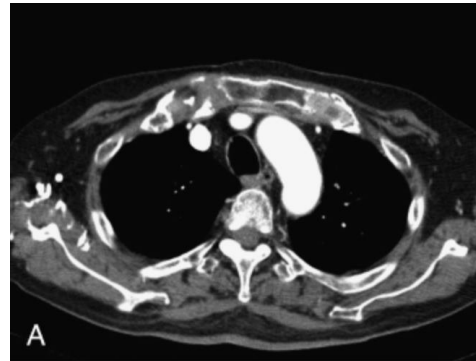
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Single channel

- Volumetric data



source : google image

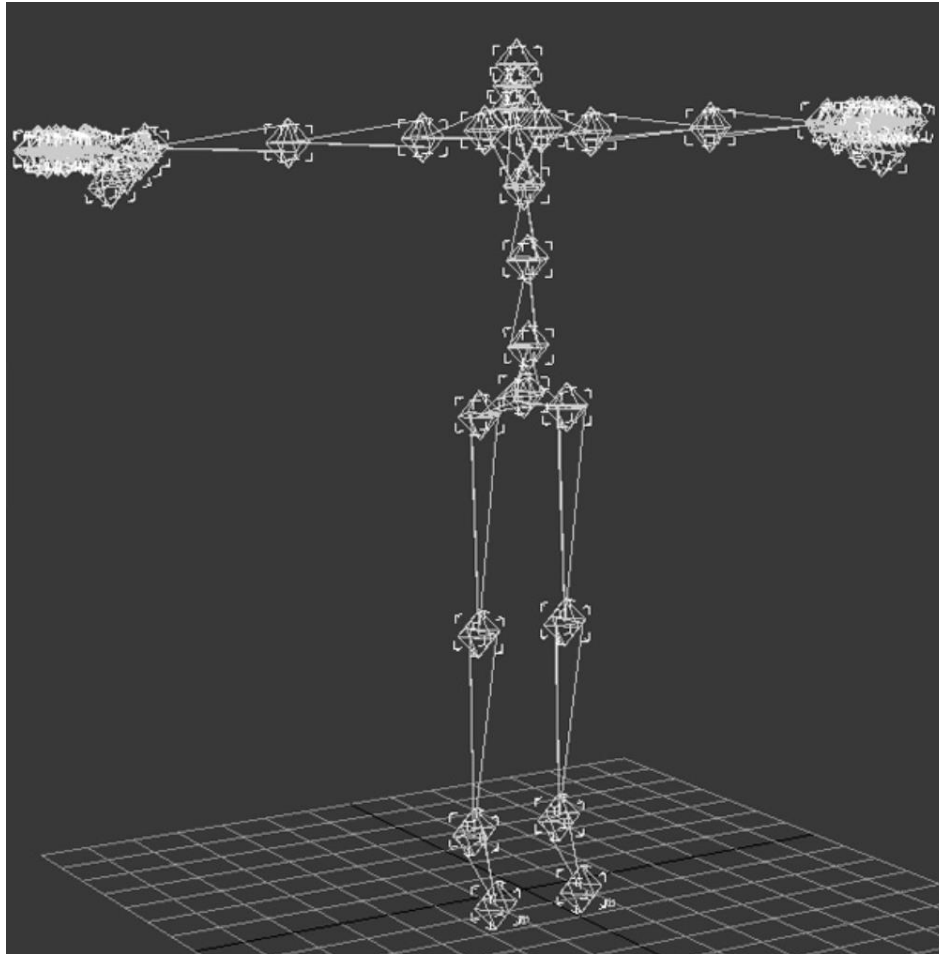
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| 3 D | Volumetric data | Color video data |

Multi-channel

- **Skeleton animation data**



source : google image

Data types

- The output of the network is allowed to have **variable size** as well as the input
- The data consists of several channels
 - Dimension : 1, 2, 3, ...
 - Channel : 1, 2, 3, ...

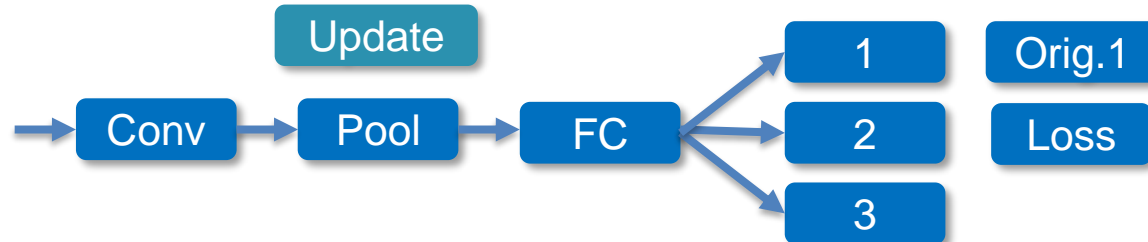
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|-----------|--|-------------------------|
| 1 D | Audio waveform | Skeleton animation data |
| 2 D | Audio data that has been preprocessed with a Fourier transform | Color image data |
| 3 D | Volumetric data | Color video data |

Data types

- CNN can also process inputs with **varying** spatial extents
- Variable size of input
 - To assign a class label to each pixel of the input
- Fixed-size of input
 - To assign a single class label to the entire image

| Dimension | Single channel | Multi channel |
|-----------|--|-------------------------|
| 1 D | Audio waveform | Skeleton animation data |
| 2 D | Audio data that has been preprocessed with a Fourier transform | Color image data |
| 3 D | Volumetric data | Color video data |

Produce some fixed-size output



| Device memory | | | | | |
|----------------------|--------------------------|------------------------|-----------------------------|-----------------------------|-------------|
| Input | Conv | Pool | FC | Loss | Orig. |
| data 32*3*227*227 | conv1 32*20*223*223 | pool1 32*20*111*111 | out_L10 32*10 | loss 1 | label 32 |
| | conv1_w 20*3*5*5 | | out_L10_w 10*246420 | | |
| | conv1_w_grad 20*3*5*5 | | out_L10_w_grad 10*246420 | | |
| | conv1_w_mo 20*3*5*5 | | out_L10_w_mo 10*246420 | | |
| | conv1_b 20 | | out_L10_b 10 | accuracy 1 | |
| | conv1_b_grad 20 | | out_L10_b_grad 10 | softmax 32*10 | |
| | conv1_b_mo 20 | | out_L10_b_mo 10 | conv_relu1 32*20*223*223 | |

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Random or Unsupervised Features

- This approach was popular from roughly **2007–2013**
- Today, CNN is trained in a **purely supervised fashion**
- **Initializing kernel**
 - Simply initialize them randomly.
 - Design them by hand
 - Learn the kernels with an unsupervised criterion
- **Refer to**
 - 2011 AISTATS, Coates Adam:
An analysis of single-layer networks in unsupervised feature learning
 - 2009 ICML, Honglak Lee Andrew y ng:
Convolutional deep belief networks for scalable unsupervised learning of hierarchical representations
 - 2009 ICCV, Kevin Jarrett:
What is the best multi-stage architecture for object recognition

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9.7 Data Types

9.8 Efficient Convolution Algorithms

9.9 Random or Unsupervised Features

9.10 **The Neuroscientific Basis for Convolutional Networks**

9.11 Convolutional Networks and the History of Deep Learning

The Neuroscientific Basis for Convolutional Networks

- Neural networks that **were drawn from** neuroscience
- Neurophysiologists David Hubel and Torsten Wiesel
- **Greatest influence** on deep learning models
- Brain function that are **beyond the scope** of this book

TDNN and CNN

- **Lang and Hinton (1988)**
 - Time delay neural networks (TDNNs)
- **LeCun (1989)**
 - Developing the modern convolutional network

The Neuroscientific Basis for Convolutional Networks

- On a simplified, cartoon view of brain function
- A part of the brain called **V1** (primary visual cortex)
- V1: First area of the brain processing of visual input
- Process
 - Light -> retina -> neuron in retina -> optic nerve -> lateral geniculate nucleus -> V1 at the back of the head

Three properties of V1

- 2-D spatial map
- Many **simple** cells
- Many **complex** cells
 - This inspires the **pooling** units of convolutional networks

Grandmother cells in medial temporal lobe

- **Cells that respond to some specific concept**
- **Regardless of**
 - **Whether** she appears in the left or right side of the image
 - **Whether** the image is a close-up or zoomed out shot of her entire body
 - **Whether** she is brightly lit, or in shadow, etc.
- **An individual neuron that is activated by certain human**
- **More general than modern convolutional networks**

The inferotemporal cortex

- When viewing an object, information flows
 - Retina -> LGN -> V1 -> V2 -> V4 -> IT
- This happens within the **first 100ms of glimpsing** an object
- If we **interrupt** the person's gaze only the firing rates, then **IT** proves to be **similar** to a CNN

Differences between CNN and the mammalian vision system

● The human eye

- Very low resolution as input
- Integrating many other senses
- Understanding entire scenes including many objects and relationships between objects
- Processing rich 3-D geometric information

● CNN

- Large full resolution photographs as input
- Purely visual

Gabor function

- Response of a simple cell formula

$$s(I) = \sum_{x \in \mathbb{X}} \sum_{y \in \mathbb{Y}} w(x, y) I(x, y)$$

- Definition of gabor function about $w(x, y)$ in book

$$w(x, y; \alpha, \beta_x, \beta_y, f, \phi, x_0, y_0, \tau) = \alpha \exp(-\beta_x x'^2 - \beta_y y'^2) \cos(fx' + \phi)$$

$$x' = (x - x_0) \cos(\tau) + (y - y_0) \sin(\tau)$$

$$y' = -(x - x_0) \sin(\tau) + (y - y_0) \cos(\tau)$$

Gabor function

- General definition

$$g(x, y; \lambda, \theta, \psi, \sigma, \gamma) = \exp\left(-\frac{x'^2 + \gamma^2 y'^2}{2\sigma^2}\right) \exp\left(i\left(2\pi\frac{x'}{\lambda} + \psi\right)\right)$$

- Euler's formula

$$e^{ix} = \cos x + i \sin x$$

- Gabor function in real space

$$g(x, y; \lambda, \theta, \psi, \sigma, \gamma) = \exp\left(-\frac{x'^2 + \gamma^2 y'^2}{2\sigma^2}\right) \cos\left(2\pi\frac{x'}{\lambda} + \psi\right)$$

source : https://en.wikipedia.org/wiki/Gabor_filter

Gabor function

- Definition of gabor function about $w(x, y)$ in book

$$w(x, y; \alpha, \beta_x, \beta_y, f, \phi, x_0, y_0, \tau) = \alpha \exp(-\beta_x x'^2 - \beta_y y'^2) \cos(fx' + \phi)$$

$$x' = (x - x_0) \cos(\tau) + (y - y_0) \sin(\tau)$$

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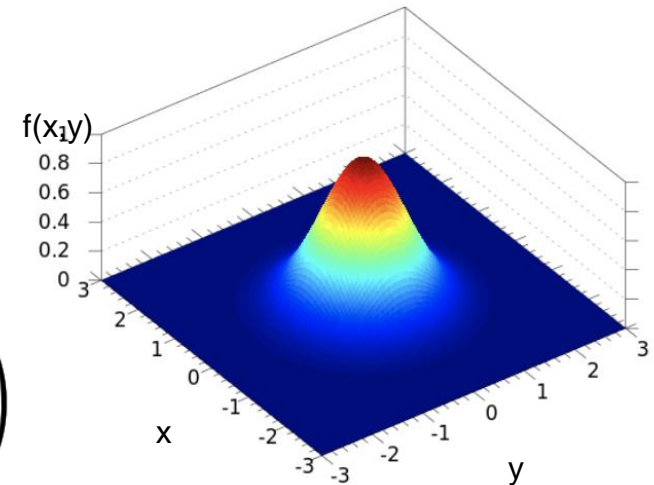
- Gaussian function

- 1-dimension

$$f(x) = ae^{-\frac{(x-b)^2}{2c^2}}$$

- 2-dimension

$$f(x, y) = A \exp\left(-\left(\frac{(x - x_o)^2}{2\sigma_x^2} + \frac{(y - y_o)^2}{2\sigma_y^2}\right)\right)$$



source : https://en.wikipedia.org/wiki/Gaussian_function

Gabor function

- Definition of gabor function about $w(x, y)$ in book

$$w(x, y; \alpha, \beta_x, \beta_y, f, \phi, x_0, y_0, \tau) = \alpha \exp(-\beta_x x'^2 - \beta_y y'^2) \cos(fx' + \phi)$$

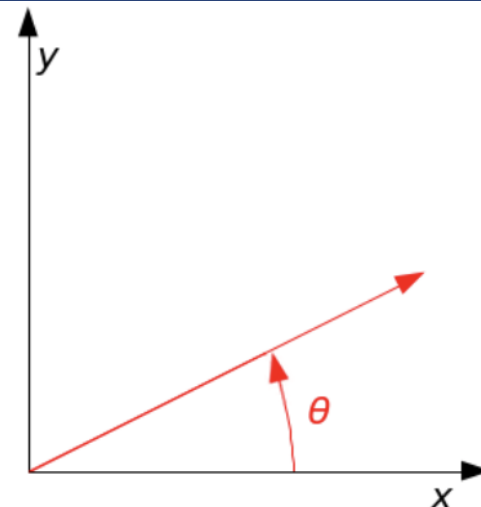
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- Rotation matrix

$$R(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \quad R(-\theta) = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$



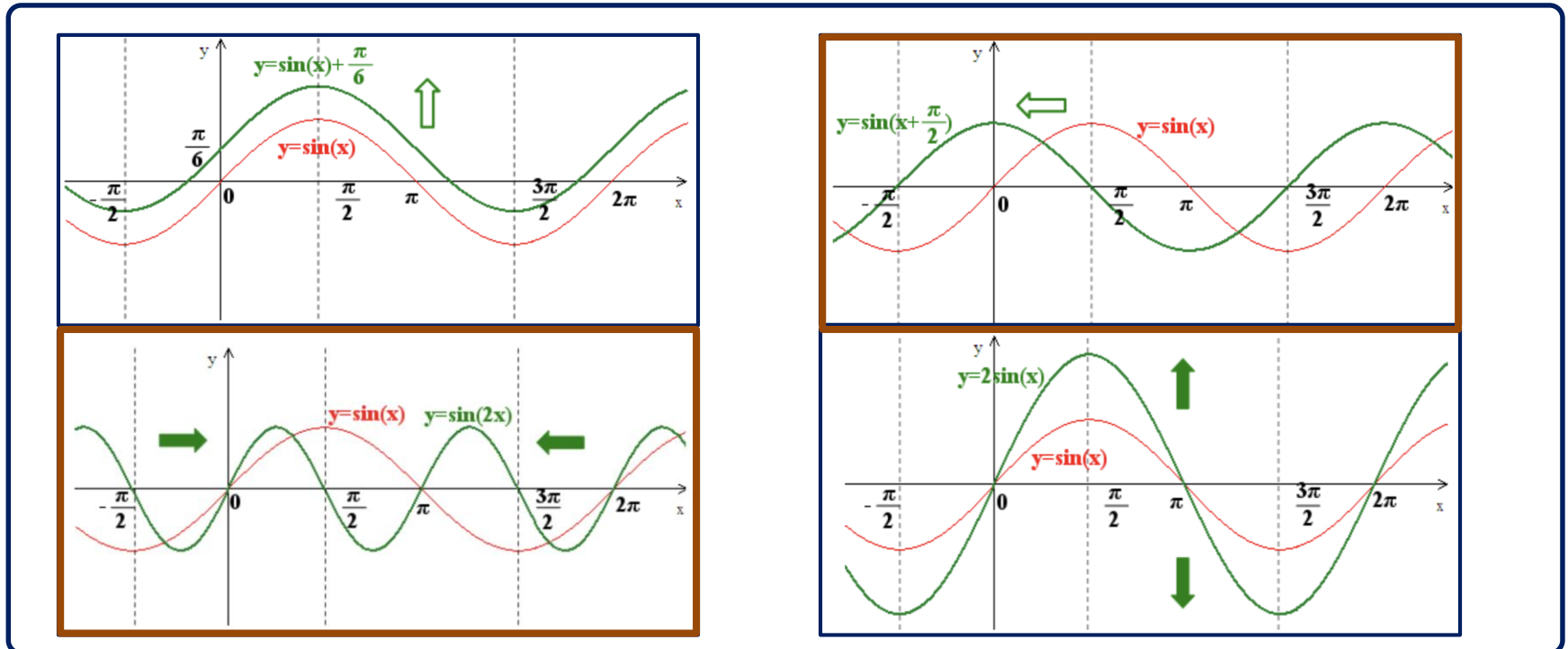
source : https://en.wikipedia.org/wiki/Rotation_matrix

Gabor function

- Definition of gabor function about $w(x, y)$ in book

$$w(x, y; \alpha, \beta_x, \beta_y, f, \phi, x_0, y_0, \tau) = \alpha \exp(-\beta_x x'^2 - \beta_y y'^2) \cos(fx' + \phi)$$

- Trigonometrical function



source : <http://mathbang.net/529>

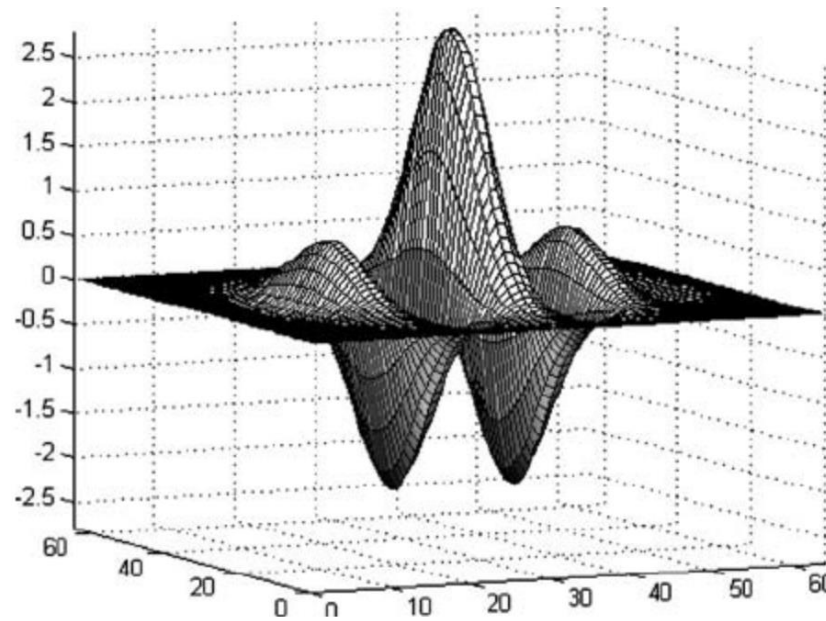
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source : https://www.researchgate.net/figure/250147548_fig1_Fig-1-Perspective-view-of-real-Gabor-function-in-spatial-domain

Thank you