

# COMP 9414 Week 4 - Planning and Reasoning About Action

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## 1 W4: Planning

### 1.1 First-Order Logic

**Def: First-Order Logic (FOL) is a formal language for stating facts about objects and their relationships, and for reasoning from those facts.**

#### 1.1.1 Syntax of First-Order Logic

Constant symbols:  $a, b, \dots$ , Mary (objects)

Variables:  $x, y, \dots$

Function symbols:  $f$ , mother\_of, sine, . . .

Predicate symbols: Mother, likes, . . .

Quantifiers:  $\forall$  (universal);  $\exists$  (existential)

#### 1.1.2 Language of First-Order Logic

- Terms: constants, variables, functions applied to terms (refer to objects)
  - e.g.  $a, f(a)$ , mother\_of ( Mary ), . . .
- Atomic formulae: predicates applied to tuples of terms
  - e.g. likes(Mary, mother\_of(Mary)), likes(  $x, a$  )
- Quantified formulae:
  - e.g.  $\forall x$  likes (  $x, a$  ),  $\exists x$  likes (  $x$ , mother\_of (  $y$  ) )
  - here the second occurrences of  $x$  are bound by the quantifier (  $\forall$  in the first case,  $\exists$  in the second) and  $y$  in the second formula is free
- Converting English into First-Order Logic
  - Everyone likes lying on the beach  $\neg \forall x$  likes\_lying\_on\_beach (  $x$  )
  - Someone likes Fido  $\neg \exists x$  likes (  $x$ , Fido )
  - No one likes Fido  $\neg \neg \exists x$  likes (  $x$ , Fido ) ( or  $\forall x \neg$  likes (  $x$ , Fido ) )

#### 1.1.3 Universal & Existential & Nested Quantifiers

- $\forall x P$  is (almost) equivalent to the conjunction of instantiations of  $P$ .
- $\exists x P$  is (almost) equivalent to the disjunction of instantiations of  $P$ .
- For nested quantifiers, the order of quantification is very important.

#### 1.1.4 Defining Semantic Properties

兄弟一定是手足

$\forall x \forall y (\text{brother}(x, y) \rightarrow \text{sibling}(x, y))$

任何  $x$  是  $y$  的 brother, 就必然是  $y$  的 sibling。

"手足" 是对称的

$\forall x \forall y (\text{sibling}(x, y) \leftrightarrow \text{sibling}(y, x))$

如果  $x$  和  $y$  是手足, 那么顺序互换也成立。

母亲 = 女性且为家长 (双向定义)

$\forall x \forall y (\text{mother}(x, y) \leftrightarrow (\text{female}(x) \wedge \text{parent}(x, y)))$

#### 1.1.5 Scope Ambiguity & Scope of Quantifiers

量词作用域不同导致的 Scope Ambiguity (作用域歧义)

$\forall x (\text{student}(x) \rightarrow \exists y (\text{exam}(y) \wedge \text{took}(x, y)))$  每个学生都参加了某场考试 (可以各自不同)。

$\exists y (\text{exam}(y) \wedge \forall x (\text{student}(x) \rightarrow \text{took}(x, y)))$

有一场考试, 所有学生都参加了同一场。

某个 Scope of Quantifiers (量词的作用域) 就是它直接支配的子公式, 某个变量名  $x$  被最靠近它的量词 (  $\forall x$  /  $\exists x$  ) “认领” 了, 如果有好几层对同名变量的量词, 离这个变量最近 (嵌套最深) 的那一个算数; 它会遮蔽外层同名量词。

## 1.2 Reasoning About Action

- Semantics: Divide the world into a sequence of (notional) time points
  - Situation is a (complete) state of world at a time point

- Action is a transition between situations
- Nothing (of relevance) happens between situations

- Planner: Maintain an incomplete description of situations

- Confusingly, also called a state of the world
- Search for path from initial state to a goal state
- State transitions correspond to actions
- Major problem is to specify actions
  - \* 难点: 如何“正确地”刻画动作

### 1.2.1 Problems in Reasoning About Action

- Frame Problem
  - How to characterize what in the state does not change by performing an action
    - \* Problem is there are a lot of such facts
    - \* Both "epistemological" and "computational" problem

即: 当执行一个动作时, 世界中绝大多数事实其实不变。如果用逻辑规则把“未改变的一切”都列出来, 几乎无穷无尽, 知识表示会臃肿且易错。

- Ramification Problem
  - What are the direct and indirect effects of performing an action?
    - \* Problem is that indirect effects depend on initial situation

即: 动作除了直接效果, 还会通过因果/约束产生间接效果; 这些间接效果常常取决于初始情景。间接效果可能很多、层层传递, 不可能把所有后果都当作“直接效果”硬写进 Add/Delete。

- Qualification Problem
  - What preconditions are required in a specification of an action?
    - \* Problem is that qualifications depend on context

即: 一个动作在现实中常需要极多甚至不可穷尽的前提条件; 我们不可能把所有“但”都写进动作前提。

## 1.3 STRIPS Planner

Action Description - name of action

- Action Description: move  $(x, y, z)(x \neq y \neq z?)$

Preconditions - action can be performed in a situation only if precondition holds in situation prior to action being performed

- Preconditions: on  $(x, y)$ , clear  $(x)$ , clear  $(z)$

Delete List - literals to be deleted from the state (description) after action is performed

- Delete List: clear  $(z)$ , on  $(x, y)$

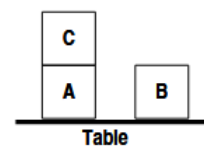
Add List - literals to be added to the state (description) after action is performed

- Add List: on  $(x, z)$ , clear  $(y)$ , clear(Table)
  - Add clear(Table) to ensure table is always clear

STRIPS Assumption - any literals in the state (description) not contained in the delete list remain the same after the action is performed (c.f. frame problem)

### 1.3.1 Blocks World

- Blocks can be placed on the table and can be stacked on one another
- All blocks the same size and table large enough to hold all blocks



State: on  $(C, A)$ , on  $(A, Table)$ , on  $(B, Table)$ , clear  $(B)$ , clear  $(C)$

- Action Description: move  $(x, y, z)(x \neq y \neq z?)$
- Preconditions: on  $(x, y)$ , clear  $(x)$ , clear  $(z)$
- Delete List: clear  $(z)$ , on  $(x, y)$
- Add List: on  $(x, z)$ , clear  $(y)$ , clear(Table)
  - Add clear(Table) to ensure table is always clear

## 1.4 GraphPlan

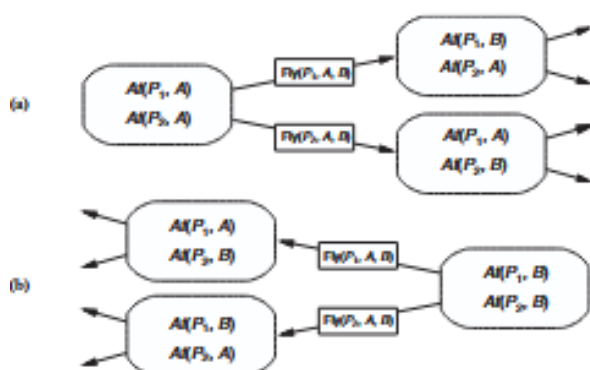
### 1.4.1 Planning

- Plan - sequence (or ordered set) of actions to achieve some goal
- Planner - problem solver that produces plans
- Goal - typically a conjunction of literals
- Initial State - typically a conjunction of literals
- Blocks World example for goal on  $(B, C) \wedge on(C, Table)$ 
  - move( $C, A, Table$ ), move( $B, Table, C$ )

### 1.4.2 Simple Planning Algorithms

3 Algorithms: Forward search, goal regression and non-linear.

- Forward search and goal regression.
  - Forward search: 从初始状态出发扩展动作  $\rightarrow$  状态; 优点直观, 缺点: 状态空间巨大。
  - Goal regression: 从目标往回“消解”需要的前置; 优点能聚焦相关性, 缺点: 设计难、不总是奏效。



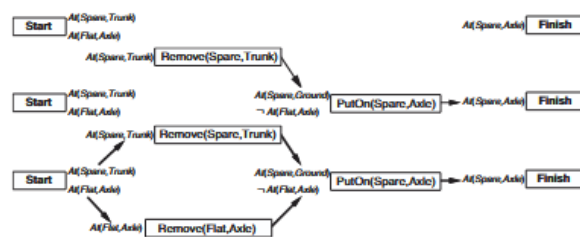
Problem with forward search is state space can be very large.

Problem with regression is that it is hard and doesn't always work.

### 1.4.3 Nonlinear Planning

先做目标回归得到“部分序”计划, 逐步修复冲突 (threats/flaws); 最少承诺原则 (least commitment): 只在必要时确定顺序, 执行时按任何允许顺序进行。

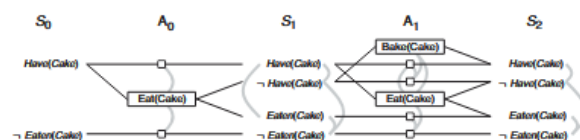
Start with goal regression and try to fix “flaws” in the plan.



Least commitment: execution can be in any permissible order.

### 1.4.4 Forward Search with Plan Graphs

- Only consider “propositional” plans
- $S_i$  contains all literals that could hold at time  $i$
- $A_i$  contains all actions that could have preconditions satisfied at time  $i$
- Actions linked to preconditions
- Literals that persist from time  $i$  to time  $i + 1$  linked via actions
- Mutual exclusion (mutex) links between actions/literals at same time



### 1.4.5 Mutual Exclusion

Actions

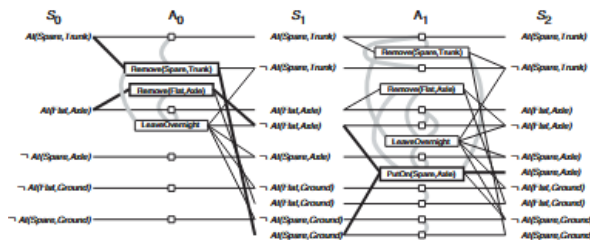
- Inconsistent effects: One action negates an effect of the other
- Interference: Effect of one action is the negation of a precondition of the other
- Competing needs: Precondition of one action is mutually exclusive with a precondition of the other

Literals

- One literal is the negation of the other
- Inconsistent support: Each possible pair of actions that could achieve the two literals is mutually exclusive

#### 1.4.6 GraphPlan Expansion Step

- Add actions to  $A_i$  whose preconditions are at  $S_i$
- Add "persistence actions" to  $A_i$  for literals from  $S_i$
- Add mutex links to  $A_i$  for actions that cannot occur together
- Add effects of all actions in  $A_i$  to  $S_{i+1}$
- Add literals to  $S_{i+1}$  for persistence actions from  $A_i$
- Add mutex links to  $S_{i+1}$  for literals that cannot occur together



## 1.5 Planning as Constraint Satisfaction

CSP for each planning horizon  $k$  (vary  $k$  as needed)

- Variables
  - Create a variable for each literal and time  $0, \dots, k$
  - Create a variable for each action and time  $0, \dots, k - 1$
- Constraints
  - State constraints: literals at time  $t$
  - Precondition constraints: actions and states at time  $t$
  - Effect constraints: actions at time  $t$ , literals at times  $t$  and  $t + 1$
  - Action constraints: actions at time  $t$  (mutual exclusion)
  - Initial state constraints: literals at time  $0$
  - Goal constraints: literals at time  $k$