# LambdaScript Syntax and Semantics

Alex Kozik

July 17, 2023

## Contents

1	Syn	tax 3							
	1.1	Metavariables							
	1.2	Expressions							
	1.3	Patterns							
	1.4	Values							
	1.5	Types							
<b>2</b>	Dvr	Dynamic Semantics 6							
_	$\frac{2.1}{2.1}$	Dynamic Environment							
	2.2	Evaluation Relation							
	2.3	Pattern Matching Relation							
	2.4	Dynamic Semantics For Patterns							
	2.1	2.4.1 Wildcard Pattern							
		2.4.2 Variable Identifier							
		2.4.3 Nothing Pattern							
		2.4.4 Boolean Pattern							
		2.4.5 Integer Pattern							
		2.4.6 String Pattern							
		2.4.7 Nil Pattern							
		2.4.8 Vector Pattern							
		2.4.9 Cons Pattern							
	2.5	Basic Dynamic Semantics							
	2.0	2.5.1 Value							
		2.5.2 Variable Identifiers							
		2.5.3 Vector							
		2.5.4 Cons							
	2.6	Switch Expression							
	$\frac{2.0}{2.7}$	Ternary Expression							
	2.8	Function							
	$\frac{2.0}{2.9}$	Function Application							
	2.10	11							
		Recursive Bind Expression							
	2.11	Recursive Bind Expression							
3		tic Semantics 13							
	3.1	Static Environment							
	3.2	Type Equations							
	3.3	Type Relation							
	3.4	Type Inference of Patterns							

3.5	Type	nference of Basic Expressions	14
	3.5.1	Integer	14
	3.5.2	Boolean	14
	3.5.3	String	14
	3.5.4	Nothing	14
	3.5.5	Nil	14
	3.5.6	Vector	15
	3.5.7	Cons	15

## 1 Syntax

## 1.1 Metavariables

Below is a list of meta-variables for different fundamental language constructs

```
\begin{array}{lll} x \in Var & \text{Variable indentifier} \\ b \in \{true, false\} & \text{Boolean} \\ n \in \mathbb{N} & \text{Natural number} \\ s \in \Sigma^* & \text{String} \\ \oplus & \in \ \{+,-,*,/,\%,<,>,<=,>=,== \text{Binary operator} \\ ,! = \} & \text{Unary operator} \end{array}
```

## 1.2 Expressions

$\langle e \rangle ::= n$	Integer
b	Boolean
S	String
	Nothing
X	Identifier
$e_1 \oplus e_2$	Binary Operation
$  (e_1, e_2,, e_n)$	Vector
	Nil (empty list)
$  e_1 :: e_2$	Cons (nonempty list)
$\int \mathbf{fn} \ p \to e$	Function
bind $p \leftarrow e_1$ in $e_2$	Bind expression
bind $p$ $p_1 \dots p_n \leftarrow e_1$ in $e_2$	Bind expression
bind rec $f \leftarrow$ fn p $\rightarrow e_1$ in $e_2$	Recursive function
bind rec $f$ $p_1 \dots p_n \leftarrow e_1$ in $e_2$	Recursive function
$\mid e_1 \mid e_2$	Function application
$\mathbf{if} \ e_1 \ \mathbf{then} \ e_2 \ \mathbf{else} \ e_3$	Ternary expressions
switch $e_0 =>  p_1 \rightarrow e_1 \dots  p_n \rightarrow e_n$ end	Switch expression

## 1.3 Patterns

$\langle p \rangle ::= \_$	Wildcard pattern*
X	Identifier pattern*
()	Nothing pattern
b	Boolean pattern
l n	Integer pattern
S	String pattern
$(p_1, p_2,, p_n)$	Vector pattern
	Nil pattern
$p_1 :: p_2$	Cons pattern***

<sup>\*</sup> The wildcard pattern matches any value

## 1.4 Values

$\langle v \rangle ::= n$	Integer value
s	String value
b	Boolean value
()	Nothing value
	Nil value
$  v_1 :: v_2$	Cons value
$(\Delta, p, e)$	Function Closure

## 1.5 Types

$\langle t \rangle ::= \mathrm{int}$	Integer type
bool	Boolean type
str	String type
ng	Nothing type
$\mid t_i \mid$	Type variable
$t_1 \rightarrow t_2$	Function type*
[t]	List type
$(t_1, t_2,, t_n)$	Vector type
(t)	Parenthesized type*

<sup>\*</sup> The function type operator  $\rightarrow$  associates to the right For example, the type  $t_1 \rightarrow t_2 \rightarrow t_3$  is parsed as  $t_1 \rightarrow (t_2 \rightarrow t_3)$ 

<sup>\*\*</sup> The identifier pattern matches any value and produces a binding to it

<sup>\*\*\*</sup> The cons pattern matches a non empty list, but only  $p_1$  matches the head of the list and  $p_2$  matches the remainder of the list

Parentheses are the highest precedence operator in the type grammar, and they can be used to counter the right associativity of the arrrow operator.

For example

fn f 
$$\rightarrow$$
 fn x  $\rightarrow$  f x :  $(t_1 \rightarrow t_2) \rightarrow t_1 \rightarrow t_2$ 

## 2 Dynamic Semantics

In order to discuss the dynamic semantics of the programming language, we first need to define a few things.

## 2.1 Dynamic Environment

LambdaScript uses an environment model to make substitutions in function bodies. The environment is an object defined as follows

$$\Delta \in Var \rightarrow Value$$

It is essentially a function from a set of variable identifiers to a set of values. Note that it is a partial function because its domain will be a subset of Var

- $\Delta(x)$  represents the value x maps to in environment  $\Delta$
- {} is the empty environment
- $\Delta[x \to v]$  represents the environment where  $\Delta(y) = v$  if y = x, and  $\Delta(y)$  otherwise
- $D(\Delta)$  is the domain of  $\Delta$
- $\Delta_1 \circ \Delta_2$  represents the environment  $\Delta$  where  $\forall y \in D(\Delta_2), \Delta(y) = \Delta_2(y), \forall y \in D(\Delta_1) D(\Delta_2), \Delta(y) = \Delta_1(y)$ . Otherwise,  $\Delta(y)$  is not defined.

#### 2.2 Evaluation Relation

The evaluation relation is what describes how an expression is evaluated to a value under a certain environment

Define it as follows

$$(\Delta, e) \Rightarrow v$$

It means the following: Under environment  $\Delta$ , expression e evaluates to value v

#### 2.3 Pattern Matching Relation

In order to model a value matching some pattern, and producing some bindings, we will use the following relation

$$v \in p \to \Delta$$

This can be read as "value v matches pattern p and produces bindings  $\Delta$ " We will also use the following relation

$$v \notin p$$

This can be read as "value v does not patch pattern p"

## 2.4 Dynamic Semantics For Patterns

## 2.4.1 Wildcard Pattern

$$v \in \_ \rightarrow \{\}$$

2.4.2 Variable Identifier

$$v \in x \to \{\}[x \to v]$$

2.4.3 Nothing Pattern

$$()\in ()\to \{\}$$

2.4.4 Boolean Pattern

$$b \in b \to \{\}$$

2.4.5 Integer Pattern

$$i \in i \to \{\}$$

2.4.6 String Pattern

$$s \in s \to \{\}$$

2.4.7 Nil Pattern

$$[]\in[]\to\{\}$$

2.4.8 Vector Pattern

$$(v_1, v_2, ..., v_n) \in (p_1, p_2, ..., p_n) \rightarrow \Delta_1 \circ \Delta_2 \circ ... \circ ... \Delta_n$$

$$v_1 \in p_1 \to \Delta_1$$

$$v_2 \in p_2 \to \Delta_2$$

. . .

$$v_n \in p_n \to \Delta_n$$

#### 2.4.9 Cons Pattern

$$v_1 :: v_2 \in p_1 :: p_2 \to \Delta_1 \circ \Delta_2$$

$$v_1 \in p_1 \to \Delta_1$$

$$v_2 \in p_2 \to \Delta_2$$

## 2.5 Basic Dynamic Semantics

#### 2.5.1 Value

$$(\Delta, v) \Rightarrow v$$

A value always evaluates to itself

#### 2.5.2 Variable Identifiers

$$(\Delta, x) \Rightarrow \Delta(x)$$

To evaluate an identifier x, it is simply looked up in the environment  $\Delta$ 

## 2.5.3 Vector

$$(\Delta, (e_1, e_2, ..., e_n)) \Rightarrow (v_1, v_2, ..., v_n)$$

$$(\Delta, e_1) \Rightarrow v_1$$

$$(\Delta, e_2) \Rightarrow v_2$$

$$...$$

$$(\Delta, e_n) \Rightarrow v_n$$

To evaluate a vector, evaluate each sub expression, then construct a new vector with the values

#### 2.5.4 Cons

$$(\Delta, e_1 :: e_2) \Rightarrow v_1 :: v_2$$

$$(\Delta, e_1) \Rightarrow v_1$$

$$(\Delta, e_2) \Rightarrow v_2$$

To evaluate a cons expression, evaluate the two operands, then return the first argument prepended to the second

#### 2.6 Switch Expression

A switch expression uses an expression, call it  $e_0$  and a list of branches. Each branch consists of a pattern and a body.

First,  $e_0$  is evaluted to a value  $v_0$  using the current environment  $\Delta$ 

Starting from the first branch,  $v_0$  is compared to its pattern. If it matches, certain bindings are produced, which are used to evaluate its body. That value is then returned.

This process of comparing  $v_0$  to the pattern of a branch continues until a match is made.

$$(\Delta, \text{switch e} => |p_1 \to e_1...|p_n \to e_n \text{ end}) \implies v'$$

$$(\Delta, e) \implies v$$

$$v \notin p_i \text{ for } i < m$$

$$v \in p_m \to \Delta_m \text{ where } 1 \le m \le n$$

$$(\Delta \circ \Delta_m, e_m) \implies v'$$

Let's go through those statements one by one

- 1.  $(\Delta, e) \implies v$  shows that e evalutes to v under environment  $\Delta$
- 2.  $v \notin p_i$  for i < m shows that v doesn't match the first m-1 patterns
- 3.  $v \in p_m \to \Delta_m$  where  $1 \le m \le n$  shows that v matches the  $m^{\text{th}}$  pattern and produces bindings  $\Delta_m$
- 4.  $(\Delta \circ \Delta_m, e_m) \implies v'$  shows that the body of the  $m^{\text{th}}$  branch evaluates to a value v' under the external environment  $\Delta$  composed with the new bindings  $\Delta_m$ . v' is what the entire switch expression evaluates to.

## 2.7 Ternary Expression

There are two rules regarding the dynamic semantics of ternary expressions. There is one for when the predicate is true and one for when the predicate is false.

$$(\Delta, \text{if } e_1 \text{ then } e_2 \text{ else } e_3) \implies v$$

$$(\Delta, e_1) \implies \text{true}$$

$$(\Delta, e_2) \implies v$$

$$(\Delta, \text{if } e_1 \text{ then } e_2 \text{ else } e_3) \implies v$$

$$(\Delta, e_1) \implies \text{false}$$

$$(\Delta, e_3) \implies v$$
bro

#### 2.8 Function

A function in LambdaScript evaluates to a function closure, which consists of three parts: the environment, the pattern, and the body.

$$(\Delta, \text{fn } p \to e) \implies (\Delta, p, e)$$

## 2.9 Function Application

$$(\Delta, e_1 \ e_2) \implies v$$

$$(\Delta, e_1) \implies (\Delta_c, p_c, e_c)$$

$$(\Delta, e_2) \implies v_2$$

$$v_2 \in p_c \to \Delta_n$$

$$(\Delta_c \circ \Delta_n, e_c) \implies v$$

Let's go through these statements one by one

- 1.  $(\Delta, e_1) \implies (\Delta_c, p_c, e_c)$  states that  $e_1$  evaluates to a function closure. This is crucial because if  $e_1$  is not a function closure, it cannot be applied.
- 2.  $(\Delta, e_2) \implies v_n$  states that  $e_2$ , the argument, evaluates to a value  $v_2$
- 3.  $v_2 \in p_c \implies \Delta_2$  states that  $v_2$ , the value of the argument, matches the pattern of the function closure  $p_c$  and produces bindings  $\Delta_n$
- 4.  $(\Delta_c \circ \Delta_n, e_c) \to v$  states that  $e_2$ , the body of the function closure, evaluates to a value v under the environment of the function closure,  $\Delta_c$  composed with the new bindings  $\Delta_n$ , which result from matching the value of the argument v against the pattern  $p_c$ . The entire expression evaluates to v.

#### 2.10 Bind Expression

A bind expression is just syntactic sugar for the application of an anonymous function to an argument

bind 
$$p \leftarrow e_1$$
 in  $e_2$ 

Is equivalent to

(fn 
$$p \rightarrow e_2$$
)  $e_1$ 

Bind expressions also have syntactic sugar for function arguments as follows

bind 
$$p x_1 x_2 \dots x_n \leftarrow e_1$$
 in  $e_2$ 

Is equivalent to

(fn 
$$p \to e_2$$
) (fn  $x_1 \to \text{ fn } x_2 \to \dots \text{ fn } x_n \to e_1$ )

Consequently, the dynamic semantics of the bind expression is already defined by the dynamic semantics of the function and function application

## 2.11 Recursive Bind Expression

Moreover, a recursive function can be defined as follows

bind rec 
$$f x_1 x_2 \dots x_n \leftarrow e_1$$
 in  $e_2$ 

The only difference between this and the standard bind expression is the following rule: Suppose we have a recursive bind expression of the following form

bind rec 
$$f x_1 x_2 \dots x_n \leftarrow e_1$$
 in  $e_2$ 

De-sugar that to yield the following

bind rec 
$$f \leftarrow \text{ fn } x_1 \rightarrow \text{ fn } x_2 \rightarrow \dots \text{ fn } x_n \rightarrow e_1 \text{ in } e_2$$

Now, suppose for the purposes of intuition that we are discussing a non-recursive bind expression, such at this

bind 
$$f \leftarrow \text{ fn } x_1 \rightarrow \text{ fn } x_2 \rightarrow \dots \text{ fn } x_n \rightarrow e_1 \text{ in } e_2$$

Evaluating the expression between  $\leftarrow$  and "in" would yield the following

$$(\Delta_{\text{standard}}, x_1, \text{ fn } x_2 \to \dots \text{ fn } x_n \to e_1)$$

Now, create a special type of dynamic environment that satisfies the following two properties

1.

$$\forall g \in Var - f, \ \Delta_{\text{recursive}}(g) = \Delta_{\text{standard}}(g)$$

2.

$$\Delta_{\text{recursive}}(f) = (\Delta_{\text{recursive}}, x_1, \text{ fn } x_2 \to \dots \text{ fn } x_n \to e_1)$$

Now we go back to discussing the recursive bind expression

bind rec 
$$f \leftarrow \text{ fn } x_1 \rightarrow \text{ fn } x_2 \rightarrow \dots \text{ fn } x_n \rightarrow e_1 \text{ in } e_2$$

The expression between  $\leftarrow$  and "in" evaluates to

$$(\Delta_{\text{recursive}}, x_1, \text{ fn } x_2 \to \dots \text{ fn } x_n \to e_1)$$

So we now have the following. Note that this is a theoretical representation, not a syntactic one.

bind rec 
$$f \leftarrow (\Delta_{\text{recursive}}, x_1, \text{ fn } x_2 \rightarrow \dots \text{ fn } x_n \rightarrow e_1) \text{ in } e_2$$

Desugar this to yield the following

(fn 
$$f \to e_2$$
)( $\Delta_{\text{recursive}}, x_1$ , fn  $x_2 \to \dots$  fn  $x_n \to e_1$ )

This expression can now be further evaluated using the dynamic semantics regarding function application.

## 3 Static Semantics

#### 3.1 Static Environment

Similar to the dynamic environment, which represents mappings from identifiers to values, we can define a static environment, which represents mappings from identifiers to types.

The static environment is defined as follows

$$\Sigma \in Var \to Type$$

It is a partial function from identifiers to types It has the following operations

- $\Sigma(x)$  represents the type x maps to in environment  $\Sigma$
- {} is the empty environment
- $\Sigma[x \to v]$  represents the environment where  $\Sigma(y) = v$  if y = x, and  $\Sigma(y)$  otherwise
- $D(\Sigma)$  is the domain of  $\Sigma$
- $\Sigma_1 \circ \Sigma_2$  represents the environment  $\Sigma$  where  $\forall y \in D(\Sigma_2), \Sigma(y) = \Sigma_2(y), \forall y \in D(\Sigma_1) D(\Sigma_2), \Sigma(y) = \Sigma_1(y)$ . Otherwise,  $\Sigma(y)$  is not defined.

## 3.2 Type Equations

A type equation can be thought of intuitively as an equality between two types. Formally, a system of type equations is defined as follows.

$$\mathcal{E} \in Type \times Type$$

Mathematically, it is nothing more than a set of pairs, where each pair consists of two types that are thought to be equal.

- 1. The empty system is denoted {}
- 2. The union of two systems is denoted  $\mathcal{E}_1 \cup \mathcal{E}_2$

## 3.3 Type Relation

In order to discuss the relationships between the expressions of LambdaScript and the corresponding types, we must first define a type relation.

The type relation is defined as follows

$$\Sigma \to e: t \to \mathcal{E}$$

It can be read as follows: "under static environment  $\Sigma$ , expression e is of type t and produces a set of type equations  $\mathcal{E}$ "

- 3.4 Type Inference of Patterns
- 3.5 Type Inference of Basic Expressions
- 3.5.1 Integer

$$\Sigma \to i : int \to \{\}$$

3.5.2 Boolean

$$\Sigma \to b : bool \to \{\}$$

3.5.3 String

$$\Sigma \to s : str \to \{\}$$

3.5.4 Nothing

$$\Sigma \to (): ng \to \{\}$$

3.5.5 Nil

$$\Sigma \rightarrow [\ ]:[t] \rightarrow \{\}$$

## **3.5.6** Vector

$$\Sigma \to (e_1, e_2, \dots, e_n) : (t_1, t_2, \dots, t_n) \to \bigcup_{i=1}^n \mathcal{E}_i$$

$$\Sigma \to e_1 : t_1 \to \mathcal{E}_1$$

$$\Sigma \to e_2 : t_2 \to \mathcal{E}_2$$

. . .

$$\Sigma \to e_n : t_n \to \mathcal{E}_n$$

## 3.5.7 Cons

$$\Sigma \to e_1 :: e_2 : t_2 \to \{[t_1] = t_2\} \cup \mathcal{E}_1 \cup \mathcal{E}_2$$

$$\Sigma \to e_1 : t_1 \to \mathcal{E}_1$$

$$\Sigma \to e_2 : t_2 \to \mathcal{E}_2$$