# Collatz 81

# September 26, 2012

The *N*th *Collatz sequence* is defined by the following process, starting with the integer *N*:

- 1. if the number is even, divide it by 2,
- 2. if the number is odd, triple it and add 1.

Continue this process until you reach 1.

We will estimate the number of different values in the first million Collatz sequences, a stream of 132,434,271 values. To make this interesting, we pretend that we have at our disposal a Sinclair ZX81 with 1 kB of memory.

### Algorithm D

Algorithm D approximates the number of distinct elements in a stream of values  $x_1, x_2, \dots$  We use a pairwise independent hash function h mapping the stream elements to a range  $\{0, \dots, R-1\}$ , where R an integer much larger than the number of distinct elements we can imagine. (Typically one will use *R* to be the number of positive integers available in a machine word.) After seeing i elements, the algorithm stores a set  $V \subseteq \{(h(x_i), x_i) \mid i = 1, ..., i\}$ , corresponding to the t distinct elements having the smallest hash values. (Ties can be broken arbitrarily.) When we see the *i*th element  $x_i$ , we compute its hash value  $h(x_i)$ . If this is smaller than some hash value in V, and  $(h(x_i), x_i) \notin V$ , we add  $(h(x_i), x_i)$  to V and throw away an element having the largest hash value. To estimate the number of distinct elements seen we look at the largest hash value v in H, and compute tR/v. The relative error of this estimate can be shown to be a factor  $1 \pm O(t^{-0.5})$  with probability 3/4. To increase the confidence to arbitrarily close to 1, run the algorithm several times (in "parallel") and take the median of the estimates.

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c<sub>1</sub>: 1

c<sub>2</sub>: 2, 1

c<sub>3</sub>: 3, 10, 5, 16, 8, 4, 2, 1

c<sub>4</sub>: 4, 2, 1

c<sub>5</sub>: 5, 16, 8, 4, 2, 1

c<sub>6</sub>: 6, 3, 10, 5, 16, 8, 4, 2, 1.

c<sub>7</sub>: 7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1.

c<sub>8</sub>: 8, 4, 2, 1

c<sub>9</sub>: 9, 28, 14, 7, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1.

c<sub>10</sub>: 10, 5, 16, 8, 4, 2, 1.
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### Collatz 81 Report

by Alice Cooper and Bob Marley<sup>1</sup>

#### 1. Dictionaries

We let  $C_N$  denote the ("flattened") sequence of the sequences  $c_1, \ldots, c_N$ . For instance, *C*<sub>3</sub> is 1, 2, 1, 3, 10, 5, 16, 8, 4, 2, 1.

The following table gives the maximum value appearing in  $C_N$ , the number of distinct values in  $C_N$  (i.e., the cardinality of  $C_N$  viewed as a set), and the total length of the sequence  $|c_1| + \cdots + |c_N|$ , for increasing values of N.<sup>2</sup>

N	$\max C_N$	$ C_N $	$len(C_N)$
10	52	22	77
100	9,232	251	3,242
1,000	250,504	2,228	60,542
10,000	27,117,424	21,664	859,666
100,000	1,570,824,736	217,212	10,853,840
1,000,000			

### <sup>1</sup> Complete the report by filling in your names and the parts marked [...]. Remove the sidenotes in your final hand-in.

<sup>2</sup> Write a simulator that produces the collatz sequences and computes the table values. Use a dictionary to compute the cardinalities, otherwise you'll run out of space.

# 2. Quadratic Time

The first solution in small space uses the following idea: For given N, produce every value of  $C_N$  (without storing the entire sequence!) to determine max  $C_N$ . Start a counter at o. Then, for every i = $1, \ldots, \max C_N$ , produce the entire sequence to see if *i* appears. If so, increase the counter. The running time will grow as the product of len  $C_N$  and max  $C_n$ .

The largest N for which this idea works within 60 seconds on our machine was  $[\cdots]$ .

### 3. Randomized Approximation in Small Space

The following table shows the output of our implementation of Algorithm D, together with the error (in percent) relative to the correct values of  $|C_N|$  computed in the first part of the report.

N	output	relative error
10	$[\cdots]$	
100		
1,000		
10,000		
100,000		
1,000,000		

# Perspective

The approximation algorithm is from XXX.

For a nice project idea, install a ZX81 emulator and actually solve the problem on that, preferably in ZX Basic. (If you're really cool, get a ZX81. Or make it run on the hardware of your washing machine or one of those annoying annoying greeting cards that play *Happy Birthday*.)