

## Problem 4.3

### 4.3 Solving recurrences with a change of variables

Sometimes, a little algebraic manipulation can make an unknown recurrence similar to one you have seen before. Let's solve the recurrence

$$T(n) = 2T(\sqrt{n}) + \Theta(\lg n) \quad (4.25)$$

by using the change-of-variables method.

- Define  $m = \lg n$  and  $S(m) = T(2^m)$ . Rewrite recurrence (4.25) in terms of  $m$  and  $S(m)$ .
- Solve your recurrence for  $S(m)$ .
- Use your solution for  $S(m)$  to conclude that  $T(n) = \Theta(\lg n \lg \lg n)$ .
- Sketch the recursion tree for recurrence (4.25), and use it to explain intuitively why the solution is  $T(n) = \Theta(\lg n \lg \lg n)$ .

4.a, b, c

$$T(n) = 2T(\sqrt{n}) + \Theta(\lg n) =$$

$$T(n) = 2T(n^{1/2}) + \Theta(\lg(n)) = \text{say } n = 2^m$$

$$T(2^m) = 2T((2^m)^{1/2}) + \Theta(\lg(2^m))$$

$$T(2^m) = 2T((2^m)^{1/2}) + \Theta(m \lg(2))$$

$$T(2^m) = 2T(2^{m/2}) + \Theta(m)$$

So now,  $T(2^m) = S(m)$  implies that

$$T(2^{m/2}) = S(m/2) \text{ and then}$$

$$\text{a. } S(m) = 2S(m/2) + \Theta(m)$$

Now, this takes the form of an extended Master's Theorem solution...

$$\text{Ext. Master's Theorem: } T(n) = aT(n/b) + \Theta(n^k \log^p m)$$

In this case:  $a=2$ ,  $b=2$ ,  $k=1$ , &  $p=0$

Using the extended Masters Theorem we have:

$$S(m) = \Theta(m^{\lg(b)} \lg^{\rho+1}(m)) \quad \text{so,}$$

$$= \Theta(m^{\lg_2 2} \lg^{\rho+1}(m))$$

$$= \Theta(m^1 \lg^{\rho+1}(m))$$

$$= \Theta(m \lg(m))$$

b.

c. Now plugging in  $S(m)$  we have...

$$S(m) = T(2^m) = T(n) \quad \approx$$

$$T(n) = \Theta(m \lg(m))$$

Finally, plug in  $n$  for  $n = 2^m$  as stated.

$$\lg(n) = \lg(2^m)$$

$$\lg(n) = m \lg(2)$$

$$\lg(n) = m$$

Now knowing  $m = \lg(n)$  ....

In terms of  $m \notin$

$$T(n) = \Theta(m \lg(m)) \rightarrow$$

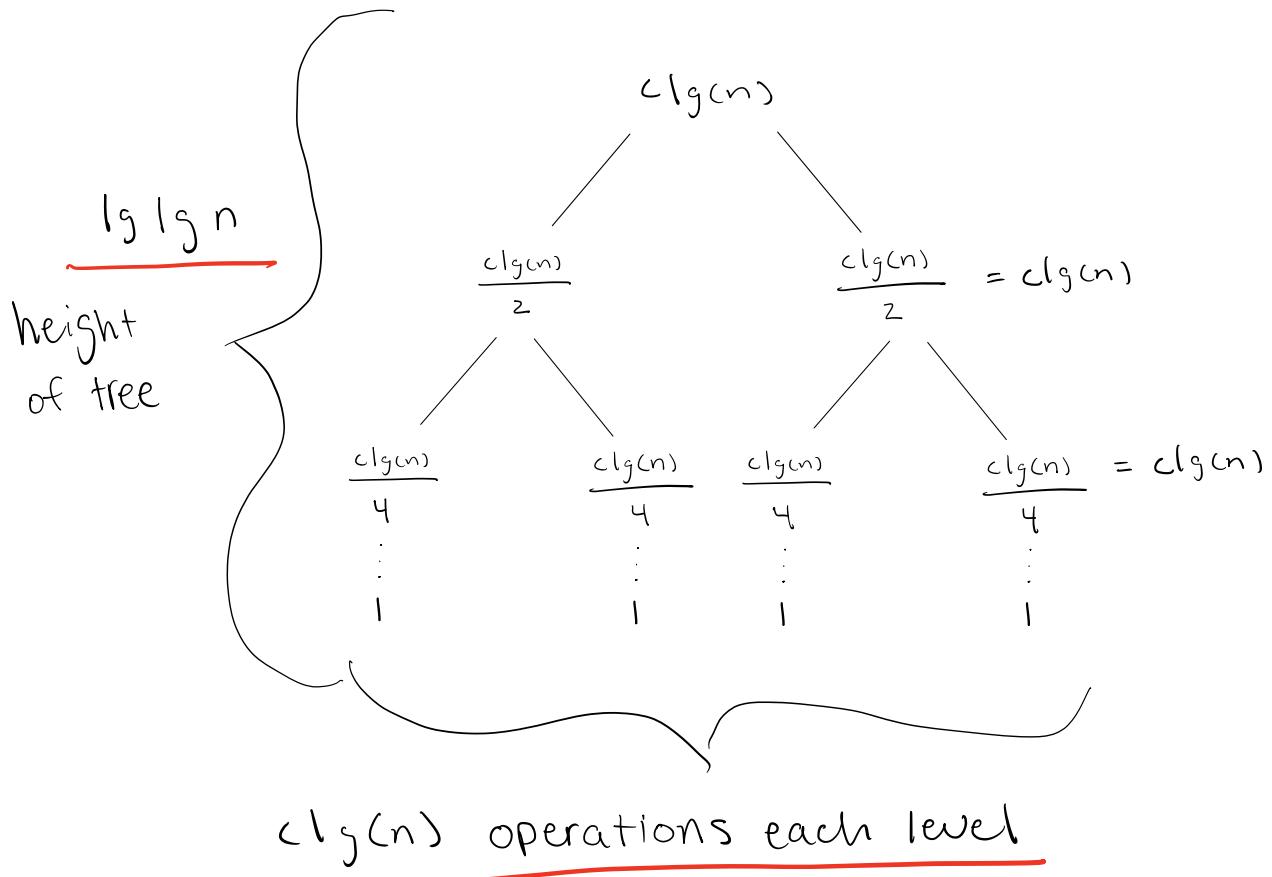
$S(m)$

$$T(n) = \Theta(\underbrace{\lg(n)}_m \lg(\underbrace{\lg(n)}_m))$$

c.

d.

$$\text{Recursion Tree } T(n) = 2T(n^{1/2}) + \Theta(\lg n)$$



Intuitively, we can easily see the height of the tree is  $\lg \lg n$ . A  $c\lg n$  is getting square rooted each time & halved.

$$\begin{matrix} \lg \lg n & \times \lg n = \lg n \lg \lg n \\ \text{height} & \text{width} \end{matrix}$$

$T(n) = \Theta(\lg n \lg \lg n)$  as seen by the width & height of the tree.

e. solve using change of variables

$$T(n) = 2T(\sqrt{n}) + \Theta(1)$$

$$T(n) = 2T(n^{1/2}) + \Theta(1)$$

define a new recurrence  $R \rightarrow R(k) = T(2^k)$

$$= 2T(\sqrt{2^k}) + 1$$

$$= 2T(2^{k/2}) + 1$$

$$= 2R(k/2) + 1 \dots \text{in form of } aR(k/b) + f(n)$$

Now,

$$R(k) = \Theta(k) \Rightarrow T(n) \simeq R(\lg n)$$

$$\& R(\lg n) = T(2^{\lg n}) = T(n)$$

$n$  must be  $\geq 2$  to divide.

$$T(n) = R(\lg n) = \Theta(\lg n)$$

$$\sqrt[2^k]{n} = 0$$
$$n = 2^{2^k}$$

$$k = \lg \lg n$$

$$2(2^{\lg \lg n}) + 1 = 2 \lg n - 1$$

$$\boxed{\text{Therefore, } T(n) = \Theta(\lg n)}$$

as shown above

f.

solve using change of variables

$$T(n) = 3T(\sqrt[3]{n}) + \Theta(n)$$

$$T(n) = 3T(n^{1/3}) + \Theta(n)$$

$$n = 3^m$$

$$n^{1/3} = 3^{m/3}$$

$$n = m$$

$$\underbrace{T(3^m)}_{S(m)} = 3\underbrace{T(3^{m/3})}_{S(m/3)} + m$$

This looks very similar to merge sort

$$S(m) = 3S(m/3) + m$$

$$S(m) = \Theta(m \lg m) \implies T(3^m) = \Theta(m \lg m)$$

$$T(n) = \Theta(m \lg m)$$

$$T(n) = \Theta(n \lg n)$$

Problem 4-4 b, f, g, h, i, j

b.  $T(n) = 3T(n/3) + n/\lg n.$

$$t(n) = 3t(n/3) + \frac{n}{\lg n}$$

Rate of increase for subproblems: 3

Rate of decrease in subproblem size: 3

Hence, at depth  $i=0, 1, 2, \dots \lg n$  of the tree, there are  $3^i$  nodes each with a cost of  $n/3^i$

$$t(n) = 3t(n/3) + \frac{n}{\lg n}$$

Master's Theorem

$$t(n) = a t\left(\frac{n}{b}\right) + f(n)$$

where

$$a = 3, b = 3 \text{ so}$$

$$n^{\log_b a} = n^{\log_3 3} = n^1 = n$$

Similarly  
 $n^{-1} < n^{\log_b a} < n+1$

$$n > f(n) = \frac{n}{\lg n} \text{ So this falls under case 1 of Master Theorem}$$

Therefore,  $O(n^{\log_3 3}) = \Theta(n).$

$$\begin{aligned} T(n) &= n+1 = O(n) \\ T(n) &= n-1 = \Omega(n) \end{aligned}$$

f.  $T(n) = T(n/2) + T(n/4) + T(n/8) + n.$

$$T(n) = T(n/2) + T(n/4) + T(n/8) + n$$

$$T(n) = T(n/2) + T(n/4) + T(n/8) + n$$

$$= T(n/8) + n$$

$$= \sum_{i=1}^{\lg(n)} (7/8)^i n + n \leq \sum_{i=1}^{\infty} \left(\frac{7}{8}\right)^i n + n = \frac{n}{1-\frac{7}{8}} + n = 8n$$

$$8n = \Theta(n) \rightarrow T(n) = \Theta(n)$$

$$T(n) = O(n+1) \rightarrow O(n)$$

$$T(n) = \Omega(n-1) \rightarrow \Omega(n)$$

**g.**  $T(n) = T(n-1) + 1/n.$

$$T(n) = T(n-1) + \frac{1}{n}$$

Substitutions

$$T(n) = T(n-1) + \frac{1}{n}$$

$$T(n) = T(n-2) + \frac{2}{n}$$

$$T(n-1) = T(n-2) + \frac{1}{n}$$

$$T(n) = T(n-3) + \frac{3}{n}$$

$$T(n-2) = T(n-3) + \frac{1}{n}$$

:

continue for  $K$  times

$$T(n) = T(n-K) + \frac{K}{n}$$

Now, assume  $n-K=0$

then,  $n=K$

$$T(1) = 1$$

$$T(2) = T(1) + \frac{1}{2}$$

$$T(3) = T(2) + \frac{1}{3}$$

$$T(3) = T(1) + \frac{1}{2} + \frac{1}{3}$$

$$= 1 + \frac{1}{2} + \frac{1}{3}$$

$$T(4) = 1 + \frac{1}{2} + \frac{1}{3} + \frac{1}{4}$$

$$T(n) = 1 + \frac{1}{2} + \dots + \frac{1}{n}$$

$$T(n) = T(n-n) + \frac{n}{n}$$

$$T(n) = T(0) + 1$$

$$T(n) = \frac{1}{n} + 1$$

$$\text{So, } T(n) = \Theta(\lg n)$$

$$\sum_{i=1}^n \frac{1}{i} = \lg cn$$

$$T(n) = O(\lg n) \text{ iff } T(n) = \lg n c \quad \text{where } c \geq 1$$

$$T(n) = \Omega(\lg n) \text{ iff } T(n) = \lg n c \quad \text{where } c < 0$$

**h.**  $T(n) = T(n - 1) + \lg n.$

$$T(1) = 1$$

$$T(2) = T(1) + \lg 2$$

$$T(3) = T(2) + \lg 3$$

$$T(4) = 1 + \lg 2 + \lg 3 + \lg 4$$

$$T(n) = 1 + \sum_{i=1}^n \lg(i)$$

In other words,  $T(n) = T(n-1) + \lg n$

$$= T(n-2) + \lg(n-1) + \lg n$$

$$= T(n-3) + \lg(n-2) + \lg(n-1) + \lg n$$

:

$$= T(0) + \lg 1 + \lg 2 + \dots + \lg(n-1) + \lg n$$

$$= T(0) + \lg n!$$

So  $T(n) = \Theta(\lg n!)$

\*Note\*  $\Theta(\lg n!) = \Theta(n \lg n)$  according to

Stirling's  
Approximation  
for factorials

Worst case  $\Theta(\lg n!)$

Best case  $\Omega(\lg n! - 1) = \Omega(\lg n!)$

i.  $T(n) = T(n-2) + 1/\lg n.$

$$T(n) = T(n-2) + \frac{1}{\lg n}$$

$$T(n-1) = T(n-3) + \frac{1}{\lg(n-1)}$$

$$T(n-2) = T(n-4) + \frac{1}{\lg(n-2)}$$

Suppose repeats  $k$  times

$$T(n) = T(n-k-1) + \frac{1}{\lg(n)} \quad n=k+1$$

$$T(n-n) + \frac{1}{\lg(n)(n-1)(n-2)\dots(1)}$$

$$T(0) + \lg(n!) \quad T(n) = \lg(n!+1) = O(\lg n!)$$

$$T(n) = \lg(n!-1) \stackrel{\text{Best}}{=} \underline{L}(\lg n!)$$

$$T(n) = \Theta(\lg(n!))$$

or

$$\Theta(n \lg n) \text{ if using Stirling's}$$

Approximation for Factorials

$$\lg n! - 1 < \lg n! < \lg n! + 1$$

j.

$$T(n) = \sqrt{n} T(\sqrt{n}) + n.$$

$$\begin{aligned} T(n) &= n^{1/2} T(n^{1/2}) + n \\ &= n^{1/2} \left( n^{1/2} \right) T(n^{1/2}) + n^{1/2} + n \\ &= n^{3/2} T(n^{1/2}) + 2n \\ &= n^{3/2} n^{1/2} T(n^{1/2}) + n^{1/2} + 2n \end{aligned}$$

$$\vdots$$

assume  $n^{1/2^k} = 2$

$$1/2^k = \lg n = 1$$

$$\lg n = 2^k$$

$$\lg \lg n = k$$

$$= n^{1/2^k} T(n^{1/2^k}) + kn$$

$$n \lg \lg n - 1 \leq n \lg \lg n \leq n \lg \lg n + n$$

$$= \frac{n}{n} \left( \frac{1}{2^k} \right) T(2) + kn$$

$$= 1/2 * 2 + n \lg \lg n$$

$$= n + n \lg \lg n$$

Best Case  
 $T(n) = n \lg \lg n - 1 = \Omega(n \lg \lg n)$

Worst case

$$T(n) = \Theta(n \lg \lg n) \quad \not\in T(n) = n + n \lg \lg n = O(n \lg \lg n)$$