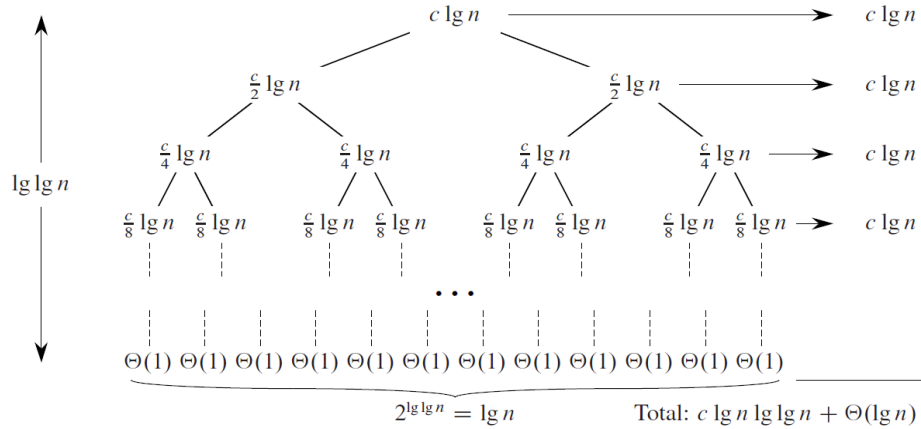


1. Problem 4-3:

Solution:

- Let  $m = \log_2 n$ , then  $n = 2^m$  and  $T(n) = T(2^m) = 2T\left(\frac{m}{2}\right) + \theta(m)$ . Define new recurrence  $S(m) = T(n)$ , then  $S(m) = 2S\left(\frac{m}{2}\right) + \theta(m)$ .
- Use master theorem, we have  $S(m) = \theta(m \log_2 m)$ .
- Substituting  $S(m)$  back into  $T(n)$ , we have  $T(n) = \log_2 n (\log_2(\log_2 n))$ .
- Here is the recursion tree:



The cost of root =  $c \log_2 n$ . Each of root child =  $c \log_2 n^{1/2} = \frac{c}{2} \log_2 n$ , so total cost of children's cost =  $c \log_2 n$ . At the next level down, the cost is the same. Until getting down to a size of 1, we have number of level =  $\log_2(\log_2 n)$  and  $\log_2 n$  number of leavers ( $\theta(1) = c$ ). Since the problem requires  $\log_2 n$  bits to represent  $n$ , and number of level =  $\log_2(\log_2 n)$ . The total cost of all levels above the leaves + total leavers cost = # levels  $\times$  level cost +  $c \log_2 n = \log_2(\log_2 n) \times c \log_2 n + c \log_2 n = \theta(\log_2 n \log_2(\log_2 n))$ .

- In order to use Divide-and-Conquer, we need to convert  $\sqrt{n}$  to  $f\left(\frac{n}{2}\right)$ . Therefore, we let  $m = \log_2 n$ , then  $\sqrt{n} = 2^{m/2}$ . Therefore, we define a new recurrence  $S(m) = T(n) = 2S\left(\frac{m}{2}\right) + \theta(1)$ . Use master theorem, we have  $S(m) = \theta(m) = \theta(\log_2 n)$ .
- Use the same idea of solving the above question, we have  $m = \log_3 n$ ,  $S(m) = T(n) = 3S\left(\frac{m}{3}\right) + \theta(3^m)$ . Then using the recursive tree (see d), we have # of level =  $\log_3 m$ , then the total cost =  $3 \times 3^{m/3} + 3^2 \times 3^{m/3^2} + 3^3 \times 3^{m/3^3} + \dots \leq c3^m = \theta(3^m) = \theta(n)$ .

2. Problem 4-4:

Solutions:

- We have  $f(n) = n \log n$  and  $n^{\log_b a} = n^{\log_3 5} \approx n^{1.465}$ . Since  $n^{\log_3 4 - \epsilon}$  for any  $0 < \epsilon \leq 0.46$  by case 1 of the master theorem, we have  $T(n) = \theta(n^{\log_3 5})$ .
- According to the recursion tree,  $T(n)$  has  $\log_3 n$  levels, and number of leaves =  $3^{\log_3 n} = n$ . Since each leaf cost =  $\theta(1)$ , the cost of leaves =  $\theta(n)$ . For the level cost: Total cost of  $i$ th level =  $\frac{n}{\log_2 3^i}$ . Thus,  $T(n) = \sum_{i=0}^{\log_3 n-1} \frac{n}{\log_2 3^i} + \theta(n)$ . Since,

$\log_b a = \frac{\log_c a}{\log_c b}$ ,  $\log_2 \frac{n}{3^i} = \frac{\log_3 \frac{n}{3^i}}{\log_3 2} = \frac{\log_3 n - i}{\log_3 2}$ . Thus,  $T(n) = \sum_{i=0}^{\log_3 n-1} \frac{n}{\log_2 \frac{n}{3^i}} + \theta(n) = n \log_3 2 \times \left( \sum_{i=0}^{\log_3 n-1} \frac{1}{\log_3 n - i} \right) + \theta(n) = n \log_3 2 \times \left( \sum_{i=0}^{\log_3 n-1} \frac{1}{i} \right) + \theta(n)$ . Since  $\sum_{i=0}^n \frac{1}{i} = \ln n + O(1)$ ,  $T(n) = n \log_3 2 \ln \log_3 n - 1 + \theta(n) = \theta(n \log_3 \log_3 n)$ .

- c. We have  $f(n) = n^3 \sqrt{n} = n^{7/2}$  and  $n^{\log_b a} = n^{\log_2 8}$ . Since  $n^{7/2} = \Omega(n^{3+\epsilon})$  for  $\epsilon = 1/2$ ,  $f\left(\frac{n}{b}\right) = 8(n/2)^3 \sqrt{n/2} = \frac{n^{7/2}}{\sqrt{2}} \leq cn^{7/2}$ , if  $\frac{1}{\sqrt{2}} \leq c < 1$ . Applying case 3 of master theorem, we have  $T(n) = \theta(n^3 \sqrt{n})$ .

- d. Since  $2T\left(\frac{n}{2} - 2\right) + \frac{n}{2}$  is similar to  $2T\left(\frac{n}{2}\right) + n$ , we guess  $T(n) = \theta(n \log n)$ .

Proof:

1. Upper bound:

Since  $2T\left(\frac{n}{2} - 2\right) + \frac{n}{2} \leq 2T\left(\frac{n}{2}\right) + \frac{n}{2} \leq 2T\left(\frac{n}{2}\right) + n$ ,  $T(n) = O((n \log n))$

2. Lower bound:

Assume,  $T(n) \geq cn \log n$ , we have:  $T(n) \geq 2c\left(\frac{n}{2} - 2\right) \log\left(\frac{n}{2} - 2\right) + \frac{n}{2} = n(cn \log\left(\frac{n}{2} - 2\right) - 4c \log\left(\frac{n}{2} - 2\right)) + \frac{n}{2} \geq cn \log\left(\frac{n}{4}\right) - 4c \log\left(\frac{n}{2}\right) + \frac{n}{2}$ , where  $n \geq 8$ . So  $T(n) \geq cn \log n - 2cn - 4c \log n + 4c + \frac{n}{2} \geq cn \log n$ .

Therefore,  $T(n) = \theta(n \log n)$

- e. With the same idea that solve the sub-question b and replace  $\log_3$  to  $\log_2$ ,  $\frac{n}{3^i}$  to  $\frac{n}{2^i}$ , we can guess  $T(n) = \theta(n \log_2 \log_2 n)$ .

Proof:

1. Upper bound:  $T(n) \leq n(1 + H_{\lfloor \log n \rfloor})$ , we have:  $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n} \leq$

$2\left(\left(\frac{n}{2}\right)\left(1 + H_{\lfloor \log \frac{n}{2} \rfloor}\right)\right) + \frac{n}{\log n} = n\left(1 + H_{\lfloor \log n - 1 \rfloor}\right) + \frac{n}{\log n} \leq n\left(1 + H_{\lfloor \log n \rfloor - 1} + \frac{1}{\log n}\right) \leq n\left(1 + H_{\lfloor \log n \rfloor - 1} + \frac{1}{\log n}\right)$ . since  $H_k = H_{k-1} + \frac{1}{k}$ ,  $T(n) \leq n(1 + H_{\lfloor \log n \rfloor})$ .

2. Lower bound:  $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n} \geq 2\left(\left(\frac{n}{2}\right)\left(H_{\lfloor \log \frac{n}{2} \rfloor}\right)\right) + \frac{n}{\log n} =$

$n\left(H_{\lfloor \log n - 1 \rfloor}\right) + \frac{n}{\log n} \geq n\left(H_{\lfloor \log n \rfloor - 1} + \frac{1}{\log n}\right) \geq n\left(H_{\lfloor \log n \rfloor - 1} + \frac{1}{\log n}\right) = n(H_{\lfloor \log n \rfloor})$ .

Therefore,  $T(n) = \theta(n \log_2 \log_2 n)$ .

- f. Since  $T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{4}\right) + T\left(\frac{n}{8}\right) + n = T\left(\frac{7n}{8}\right)$ , and choose the longest tree as the level number, we have # level is  $\log n$ , the total cost is  $T(n) = \sum_{i=1}^{\log n} \left(\frac{7}{8}\right)^i n + cn \leq \sum_{i=1}^{\infty} \left(\frac{7}{8}\right)^i n + cn = \frac{n}{1 - \frac{7}{8}} + cn = 8n + cn$ . So  $T(n) = O(n)$

Check with lower bound: since  $T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{4}\right) + T\left(\frac{n}{8}\right) + n \geq n$ ,  $T(n) = \Omega(n)$

Therefore,  $T(n) = \theta(n)$

g. Since  $T(n) = T(n-1) + \frac{1}{n}$ ,  $T(1) = \frac{1}{1} = 1$ ,  $T(2) = T(1) + \frac{1}{2} = 1 + \frac{1}{2}$ ,  $T(3) = T(1) + T(2) + \frac{1}{3}$ , we can see that:  $T(n) = \sum_{i=1}^n \frac{1}{i} + \frac{1}{n}$ . Since  $\sum_{i=1}^n \frac{1}{i} = \ln n$ ,  $T(n) = \theta(\log n)$

h. Since  $T(n) = T(n-1) + \log n$ ,  $T(1) = 0$ ,  $T(2) = T(1) + \log 2$ ,  $T(3) = T(1) + T(2) + \log 3$ ,  $T(4) = T(1) + T(2) + T(3) + \log 4$ , we have  $T(n) = \sum_{i=1}^n \log i$ . Since  $\sum_{i=1}^n \log i \leq n \log n$ , we can guess  $T(n) = \theta(n \log n)$ .

Proof:

Upper bound: we have  $T(n) = \sum_{i=1}^n \log i \leq \sum^n \log n = n \log n$ , then  $T(n) = O(n \log n)$ .

Lower bound: we have  $T(n) = \sum_{i=n/2}^n \log i \geq \frac{n}{2} \log \frac{n}{2} \geq \left(\frac{n}{2} - 1\right) \log n - \left(\frac{n}{2} - 1\right) = \Omega(n \log n)$ .

Therefore,  $T(n) = \theta(\log n)$ .

i. Since  $T(n) = T(n-2) + \frac{1}{\log n} = T(n-4) + \frac{1}{\log(n-2)} + \frac{1}{\log n} = \frac{1}{\log_2 n} + \frac{1}{\log_2(n-2)} + \frac{1}{\log_2(n-4)} + \dots = \begin{cases} T(0) + \sum_{i=1}^{\frac{n}{2}} \frac{1}{\log_2(2i)} & n \text{ is even} \\ T(1) + \sum_{i=1}^{\frac{n}{2}+1} \frac{1}{\log_2(2i+1)} & n \text{ is odd} \end{cases}$ . So  $T(n) \geq \frac{n}{2} \times \frac{1}{\log_2(n)}$ ,

then  $T(n) = \Omega(n/\log n)$ . For upper bound,  $T(n) = \sum_{i=1}^{\frac{\sqrt{n}}{2}} \frac{1}{\log_2(2i)} + \sum_{i=\frac{\sqrt{n}}{2}+1}^{\frac{n-\sqrt{n}}{2}} \frac{1}{\log_2(2i)}$ .

Since  $\frac{1}{\log_2(2i)} \leq 1$ ,  $\sum_{i=1}^{\frac{\sqrt{n}}{2}} \frac{1}{\log_2(2i)} \leq \frac{\sqrt{n}}{2}$ . Since  $\frac{1}{\log_2(2i)} \leq \frac{2}{\log n}$  where  $i \geq \frac{\sqrt{n}}{2}$ ,

$\sum_{i=\frac{\sqrt{n}}{2}}^{\frac{n-\sqrt{n}}{2}} \frac{1}{\log_2(2i)} \leq \frac{n-\sqrt{n}}{2} \times \frac{2}{\log n} = \frac{n-\sqrt{n}}{\log n}$ . Therefore,  $T(n) \leq \frac{\sqrt{n}}{2} + \frac{n-\sqrt{n}}{\log n} = \frac{\sqrt{n}(\log n - 2) + n}{2 \log n}$ .

So  $T(n) = O(n/\log n)$ , if  $n \geq 4$ . Therefore,  $T(n) = \theta(n/\log n)$ .

j. From  $T(n)$  recursion tree, we can see the root cost is  $n$ ; 1<sup>st</sup> level, the cost =  $\sqrt{n} \times \sqrt{n} = n$ ; 2<sup>nd</sup> level, the cost =  $n^{\frac{1}{2}} \times n^{\frac{1}{4}} = n^{\frac{3}{4}}$ . The  $i$ th level, the cost =  $n^{1-\frac{1}{2^i}}$ . Therefore, the cost of any level would not be greater than  $n$ . Learning from problem 4-3 (d), we know the number of level of the recursive tree is  $\log \log n$ . Therefore, we can guess that  $T(n) = \theta(n \log \log n)$ .

Proof:

We substitute  $n \log \log n$  to  $T(n)$ . We have:  $T(n) = \sqrt{n}(\sqrt{n} \log \log \sqrt{n}) + n = n \log \log \sqrt{n} + n = n(-1 + \log \log n) + n = n \log \log n$ . Therefore  $T(n) = \theta(n \log \log n)$ .